

Measurement of the production cross-section of a single top quark in association with a Z boson at 13 TeV with ATLAS

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Georg-August-Universität Göttingen - 17.11.2017

Outline

- ▶ Introduction
 - ▶ The top quark
 - ▶ Single top quark production at the LHC
 - ▶ Single top quark production in association with a Z boson
- ▶ The ATLAS Experiment
- ▶ Standard Model tZq production
 - ▶ Event selection
 - ▶ Background estimation
 - ▶ Multivariate analysis
 - ▶ Results
- ▶ ATLAS & CMS comparison
- ▶ Conclusions and outlook

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▶ Conclusions and outlook

The top quark



- ▶ Discovered at Tevatron by the D0 and CDF experiments in 1995.
 - ▶ Since then, extensively studied both at Tevatron and at the LHC.
- ▶ Experimentally confirmed facts:
 - ▶ top is the **heaviest** known fundamental particle
 - ▶ $m(t) \sim 173 \text{ GeV}$
 - ▶ it is a quark (sees the strong force)
 - ▶ charge $2/3e$
 - ▶ spin $1/2$
 - ▶ decays almost exclusively to Wb
 - ▶ produced by strong and weak interactions.
- ▶ Why studying the top quark?
 - ▶ Only place to study the properties of a bare quark.
 - ▶ Special role in EWWSB?
 - ▶ First place a new particle could be observed (e.g. if new particle couples to mass).
 - ▶ Top is a background to many other searches.

The top quark

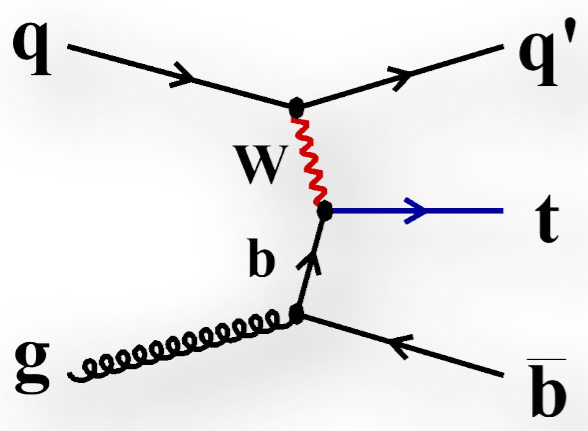


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How is the top quark produced at the LHC?

Single top quark production @LHC

t-channel

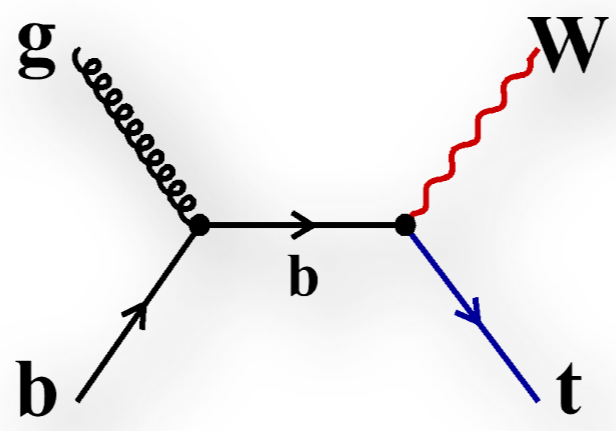


$$\sigma(7 \text{ TeV}) = 63.9^{+2.9}_{-2.5} \text{ pb}$$

$$\sigma(8 \text{ TeV}) = 84.7^{+3.8}_{-3.2} \text{ pb}$$

$$\sigma(13 \text{ TeV}) = 217.0^{+9.0}_{-7.7} \text{ pb}$$

tW channel

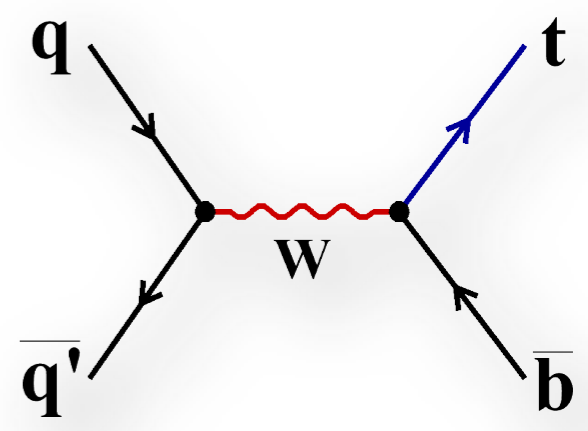


$$\sigma(7 \text{ TeV}) = 15.7 \pm 1.2 \text{ pb}$$

$$\sigma(8 \text{ TeV}) = 22.4 \pm 1.5 \text{ pb}$$

$$\sigma(13 \text{ TeV}) = 71.7 \pm 3.8 \text{ pb}$$

s-channel

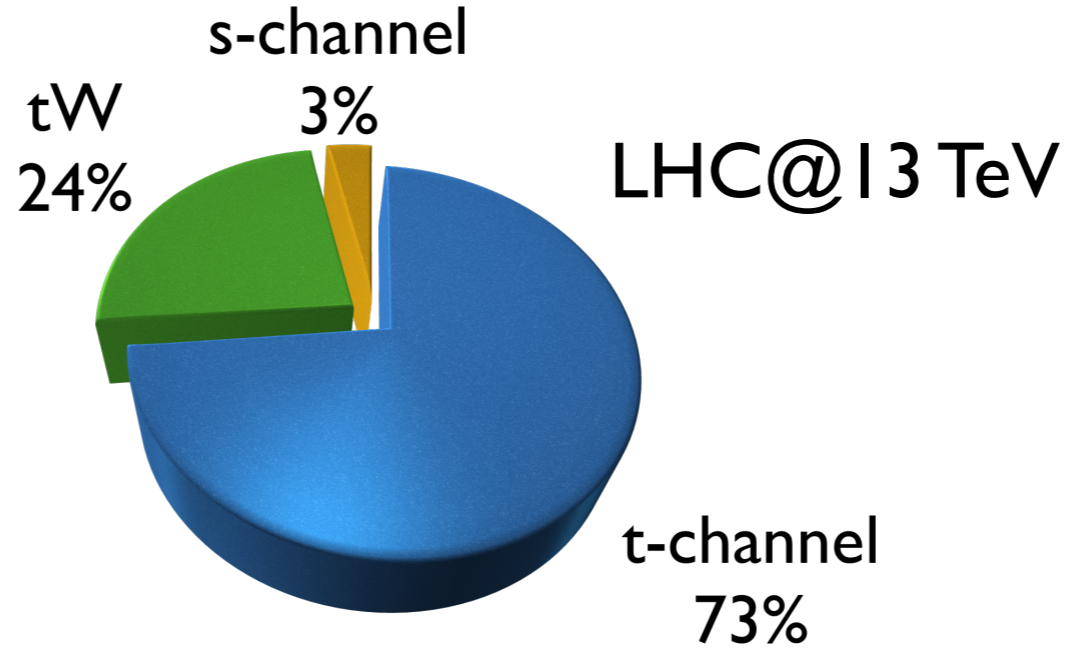


$$\sigma(7 \text{ TeV}) = 4.3 \pm 0.2 \text{ pb}$$

$$\sigma(8 \text{ TeV}) = 5.2 \pm 0.2 \text{ pb}$$

$$\sigma(13 \text{ TeV}) = 10.3 \pm 0.4 \text{ pb}$$

NLO x-sec. from LHC Top WG



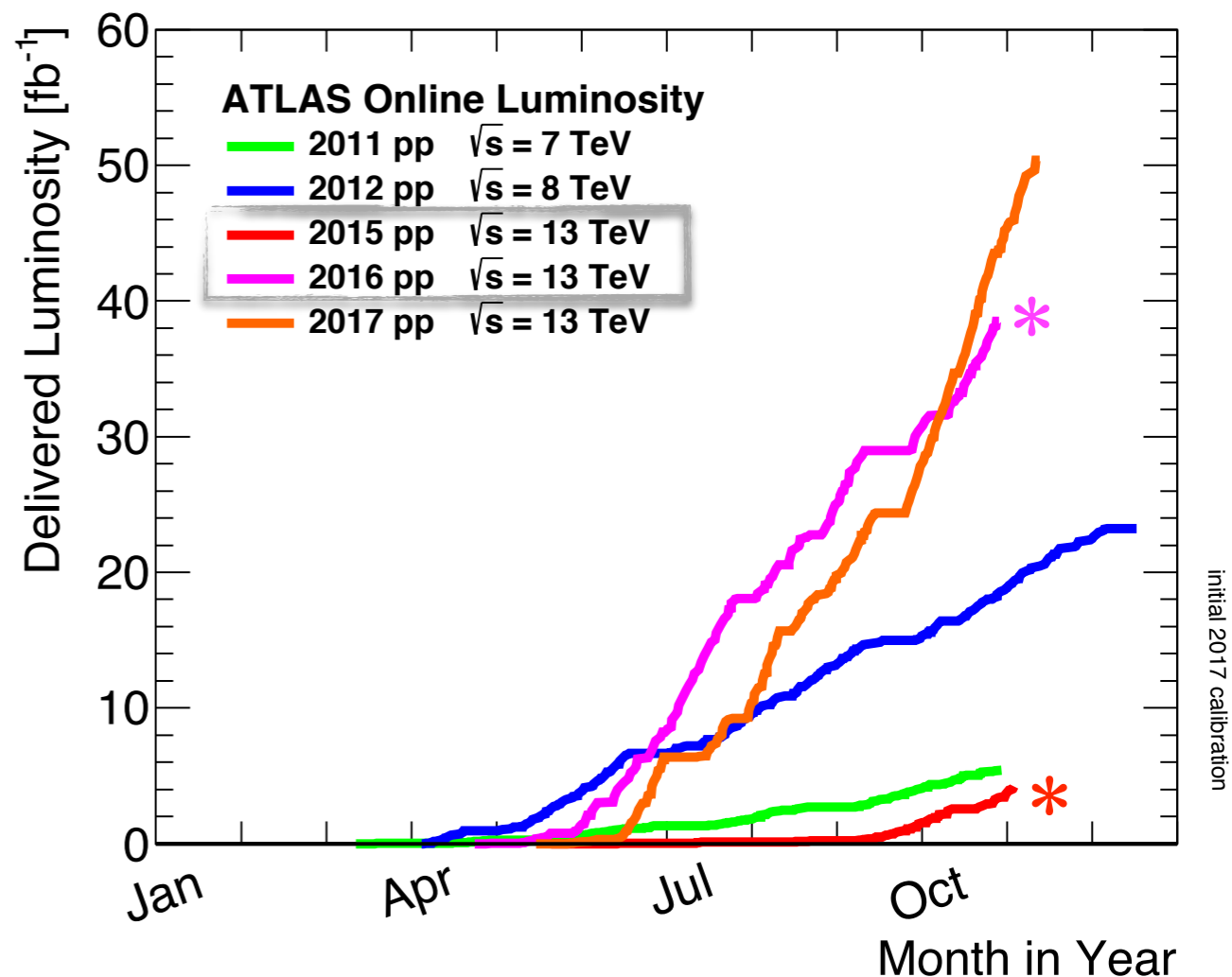
Single top quark production @LHC

- ▶ Important test of the **Standard Model**.
 - ▶ Measurement of V_{tb} of CKM matrix.
 - ▶ Indirect measurement of the top-quark mass.
- ▶ Improve knowledge of **PDFs**.
 - ▶ Cross-section ratio $R_t = \sigma(t)/\sigma(\bar{t})$: sensitive to u/d-quark ratio in PDF sets.
 - ▶ Test of b-quark PDF.
- ▶ Precise measurement input to **Monte Carlo tuning**, using unfolded distributions.
- ▶ Looking for **new physics**.
 - ▶ Modification of $\sigma(t)$ shape or in a variation of coupling w.r.t. SM expectations.

LHC Run2 data

- ▶ Fantastic LHC performance.
 - ▶ High integrated luminosity collected by the experiments.
- ▶ **LHC is a top factory.**
 - ▶ Allows for precision measurements in the top sector.
- ▶ Makes it possible to look for rare processes, e.g. single top production in association with a Z boson (tZq).
- ▶ Search performed on 2015+2016 data from LHC pp collisions at 13 TeV.

	13 TeV
2015	3.3 fb ⁻¹
2016	32.8 fb ⁻¹
	36.1 fb ⁻¹



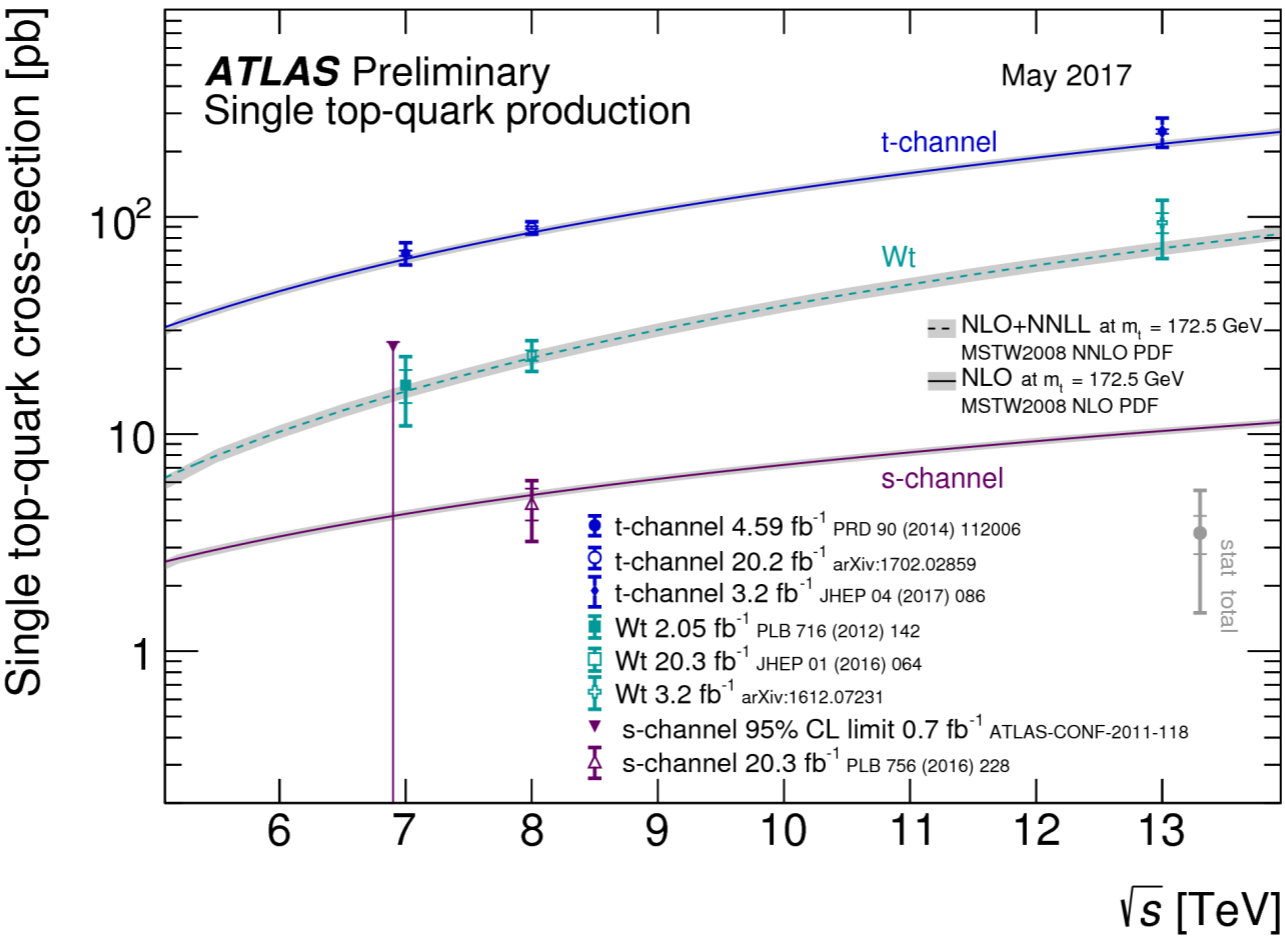
- ▶ How many single top events were produced in this dataset?

	x-sec (pb)	#events*
t-channel	217	8 M
tW	71.1	2.5 M
s-channel	10.3	300 K
tZq	0.8	30 K

*These do not include branching ratios.

Single top quark production @LHC

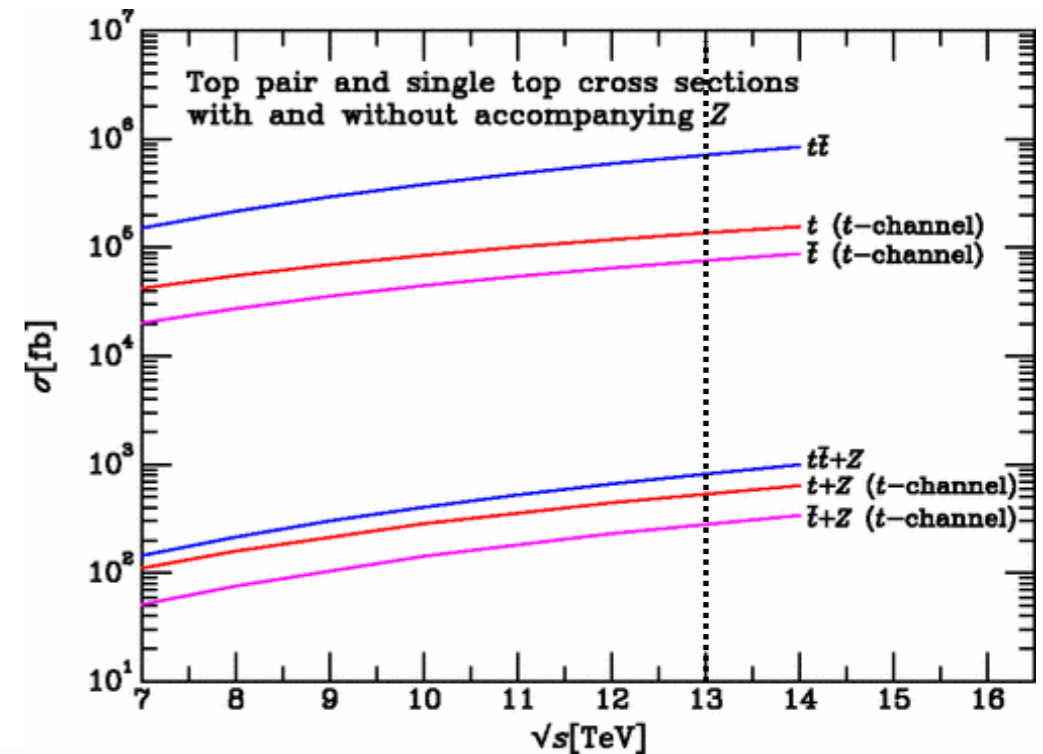
	7 TeV	8 TeV	13 TeV*
t-channel	<u>PRD 90 (2014) 112006</u> cross sect. (with differential) + $R_t + V_{tb}$	<u>EPJC 77 (2017) 531</u> cross sect. (with diff+fiducial) + $R_t + V_{tb}$	<u>JHEP 04 (2017) 086</u> cross-section + $R_t + V_{tb}$
tW	<u>PLB 716 (2012) 142</u> cross section + V_{tb}	<u>JHEP 01 (2016) 064</u> cross sect. (with fiducial) + V_{tb}	<u>arXiv:1612.07231</u> + paper in preparation cross sect. (with differential)
s-channel	<u>ATLAS-CONF-2011-118</u> 95% CL upper limit on cross section	<u>PLB 756 (2016) 228</u> cross section 3.2 σ observed	—



*With partial datasets.

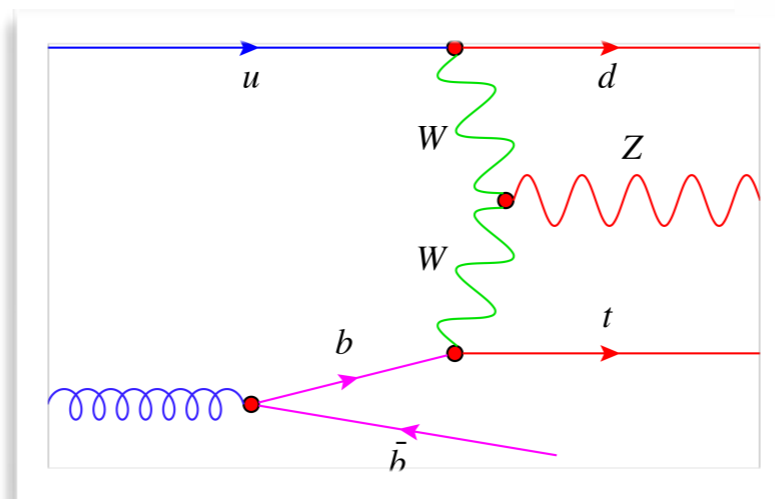
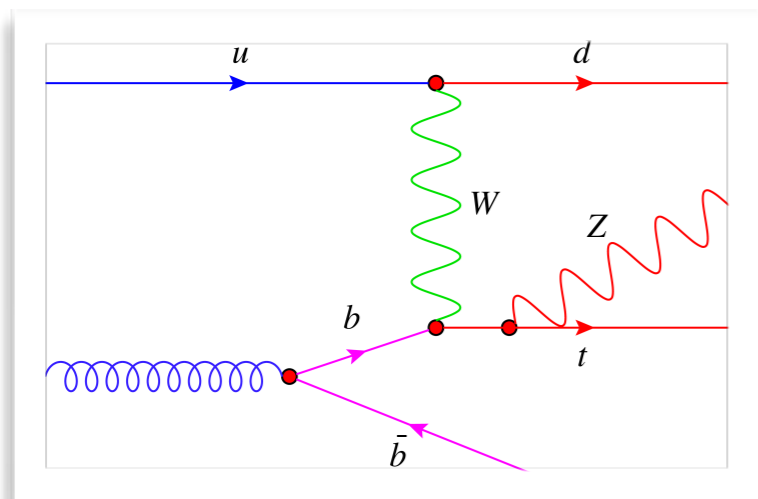
Single top in association with a Z boson

- ▶ Standard Model single-top production in association with a Z boson (t-channel) not measured before Run2.
 - ▶ CMS search on 8 TeV data [[JHEP 07 \(2017\) 003](#)].
 - ▶ Observed (expected) significance 2.4σ (1.8σ).
- ▶ SM tZq probes both tZ and WWZ couplings.
 - ▶ $t\bar{t}Z$ only probes tZ - previously measured by ATLAS and CMS.
- ▶ SM tZq background for:
 - ▶ FCNC tZ production,
 - ▶ tH final state.



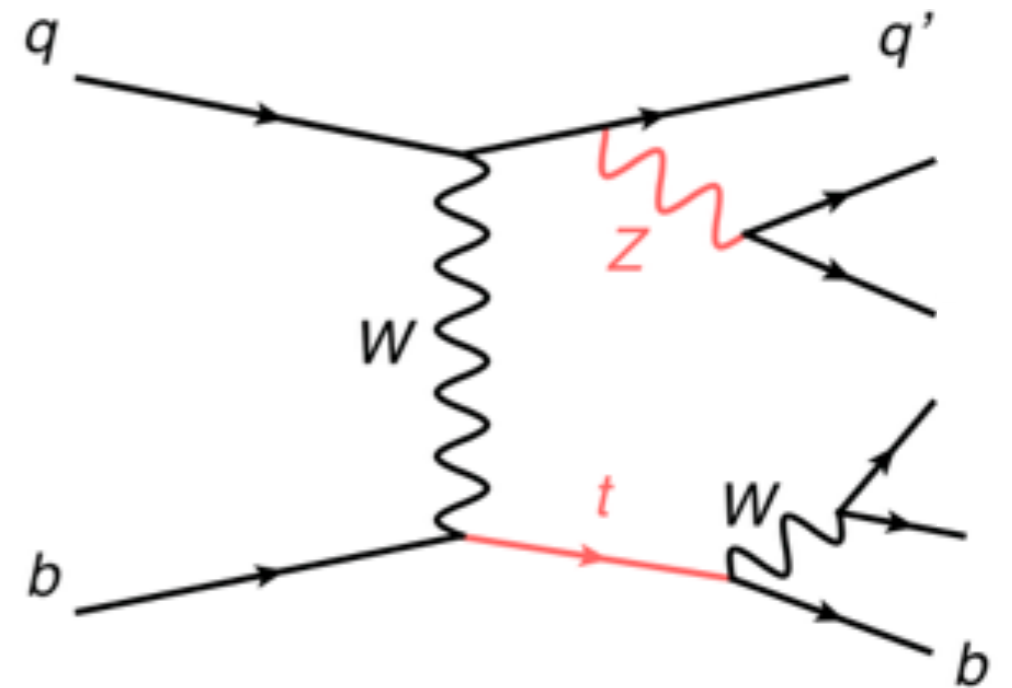
from [10.1103/PhysRevD.87.114006](#)

$$\sigma_{\text{NLO}}(tZq) = 800 \text{ fb}$$



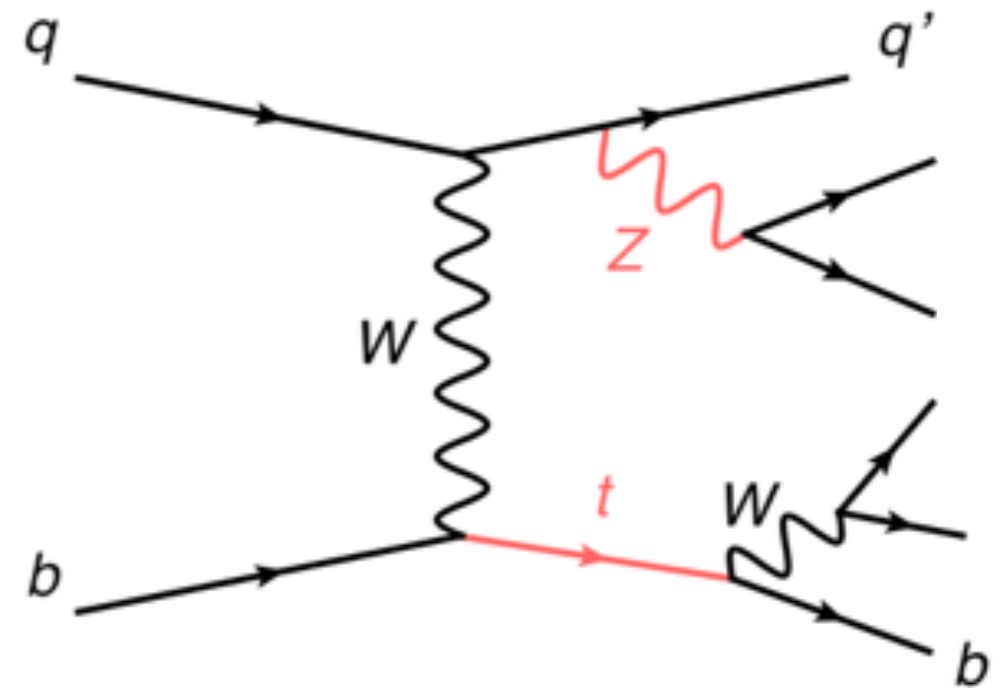
What are we looking for?

- ▶ Four different final states available, depending on:
 - ▶ decay of the W boson from the top
 - ▶ decay of the Z boson.
- ▶ **Fully hadronic channel**
 - ▶ both W and Z decay hadronically
 - ▶ ≥ 6 jets
- ▶ **Single lepton channel**
 - ▶ W decays leptonically, Z decays hadronically
 - ▶ 1 lepton, ≥ 4 jets
- ▶ **Dilepton channel**
 - ▶ W decays hadronically, Z decays leptonically
 - ▶ 2 leptons, ≥ 4 jets
 - ▶ Z to charged leptons ($\sim 5.3\%$) promising but large Z+jets background
 - ▶ Z to neutrinos ($\sim 5.2\%$) interesting for mono top searches
- ▶ **Trilepton channel - BR $\sim 2.2\%$**
 - ▶ both W and Z decay leptonically
 - ▶ 3 leptons, ≥ 2 jets
 - ▶ relatively low background



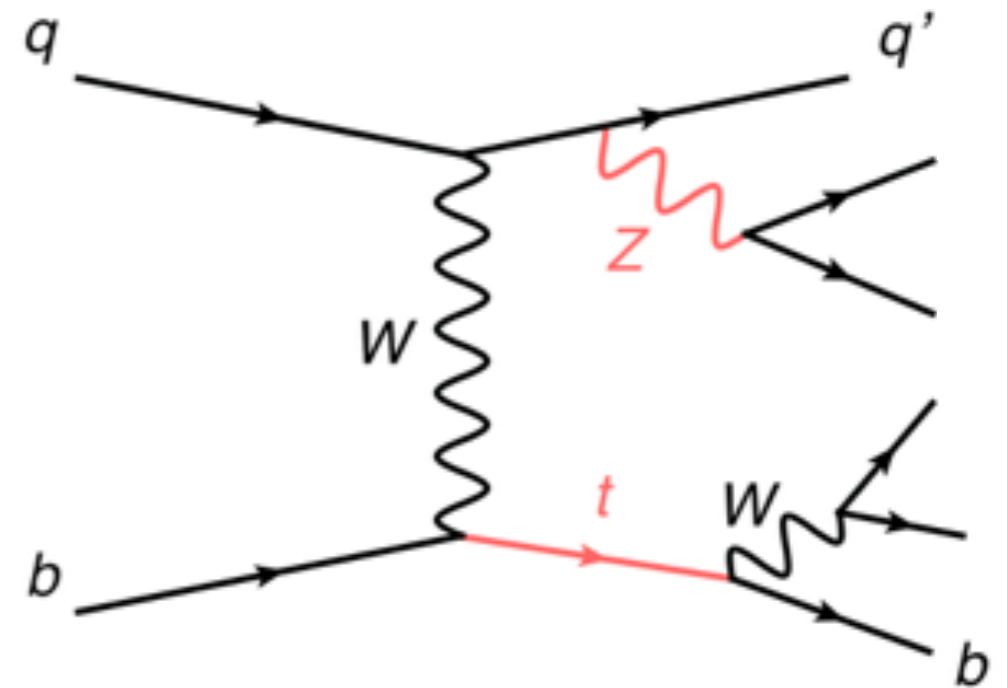
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How do we reconstruct these objects?

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▶ **The ATLAS Experiment**

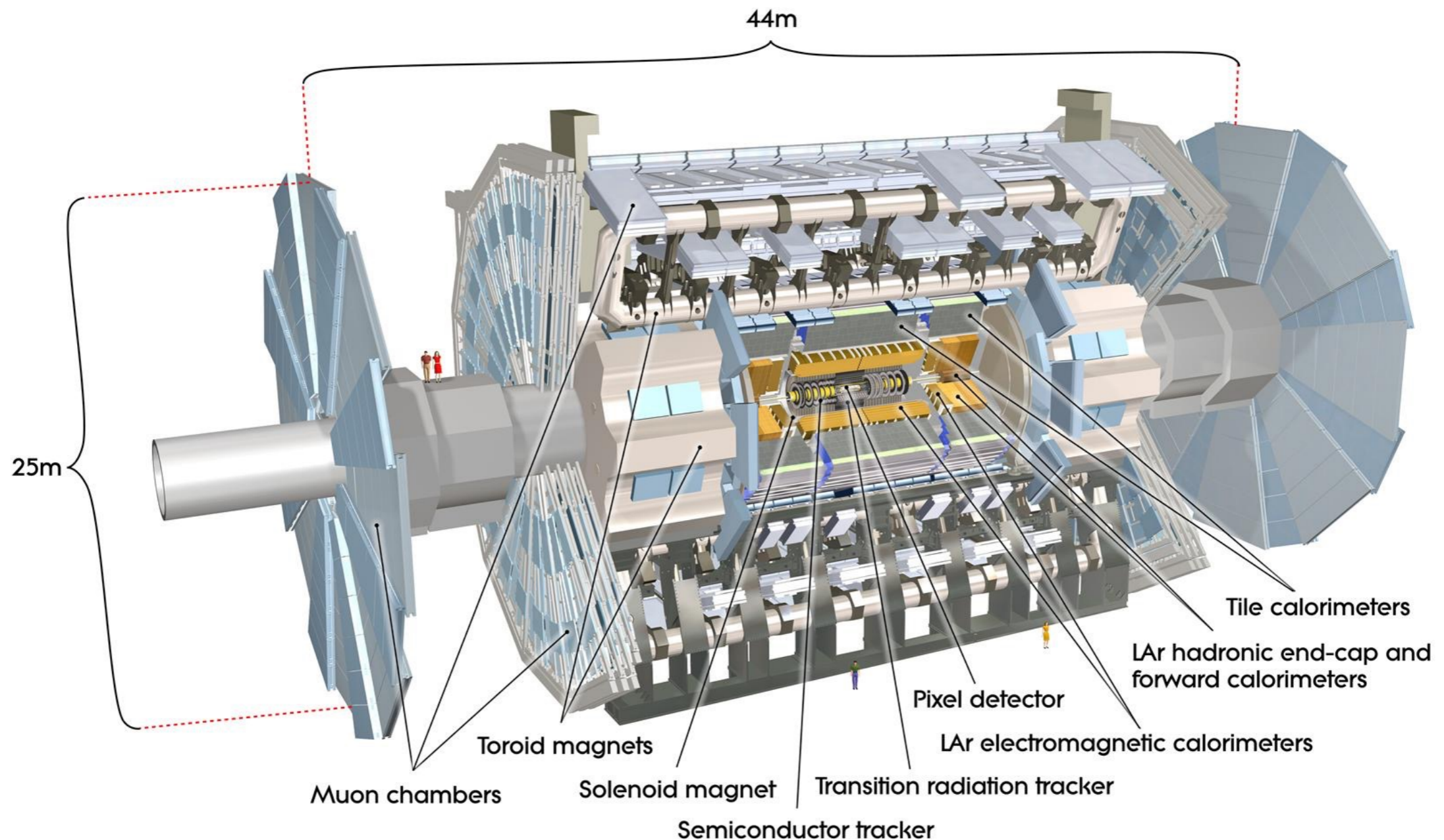
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▶ Conclusions and outlook

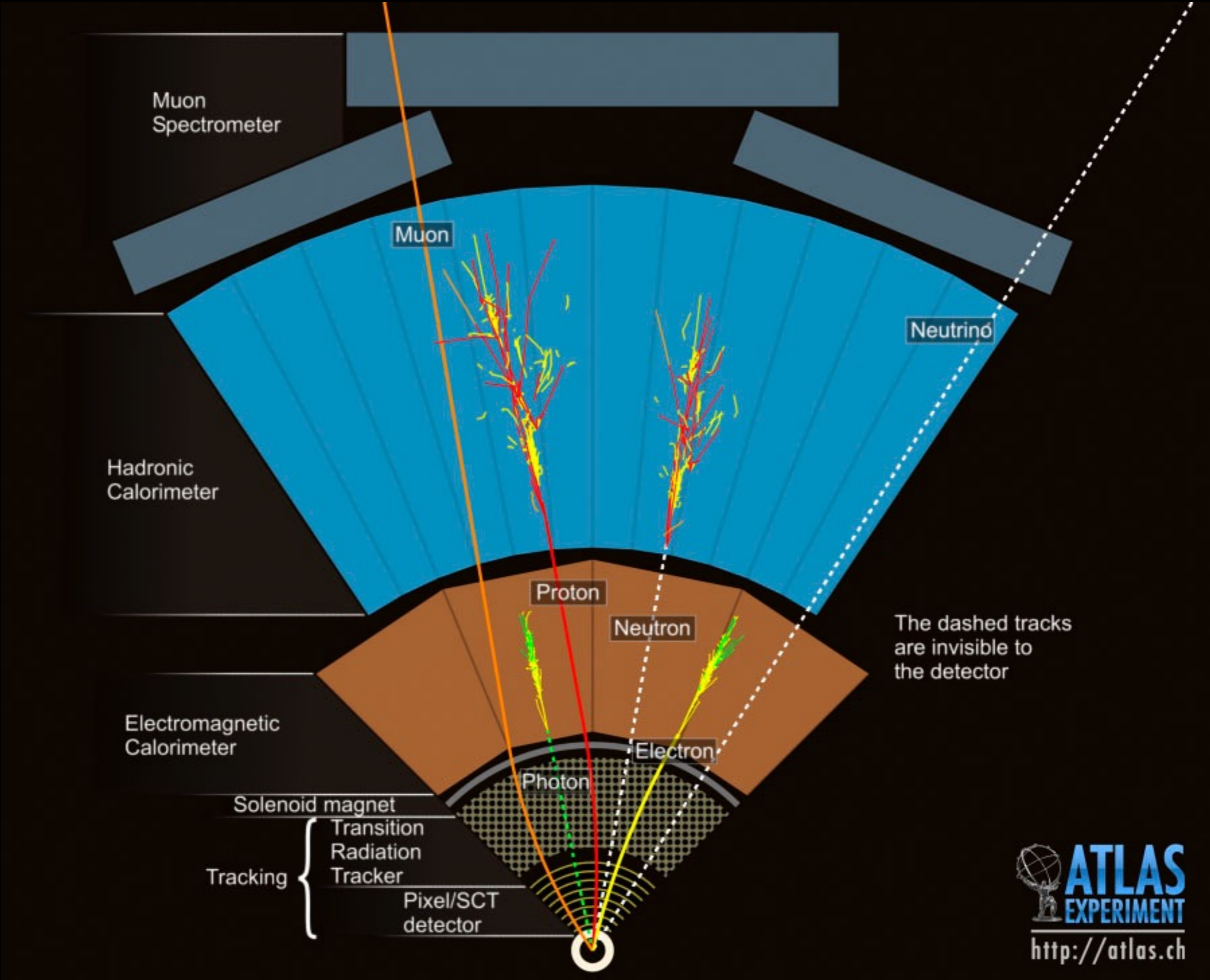
The ATLAS detector



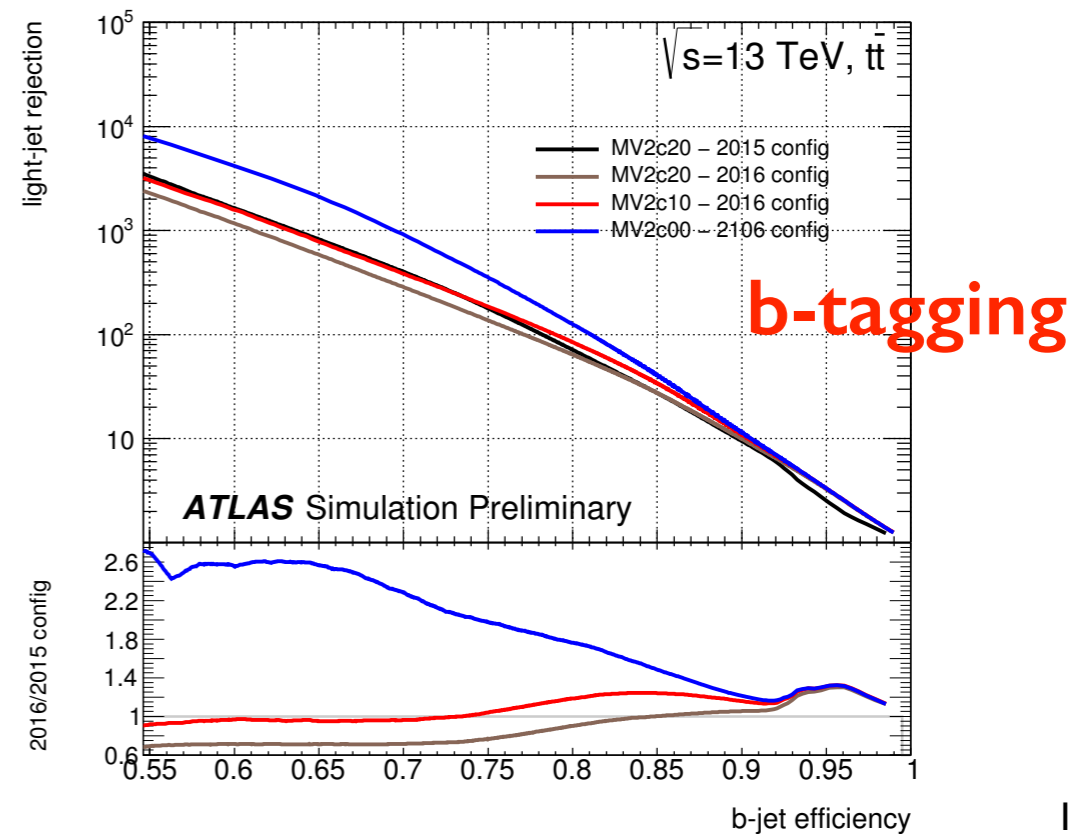
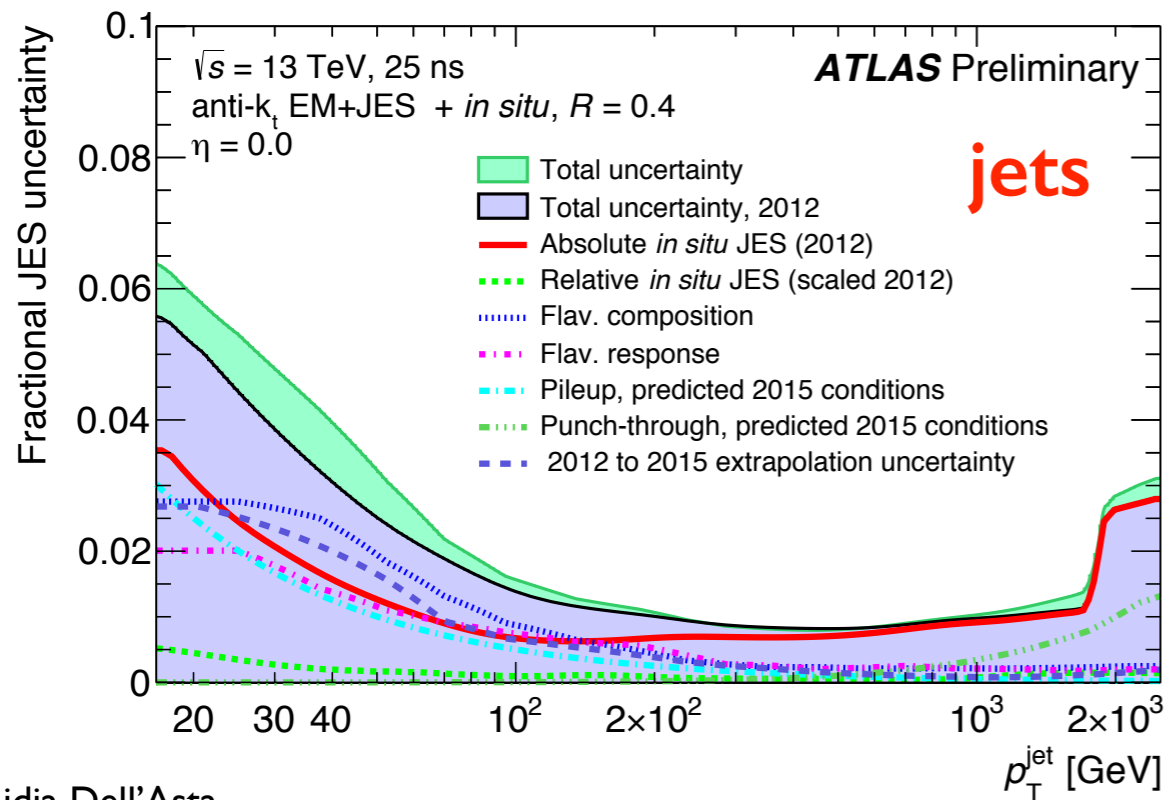
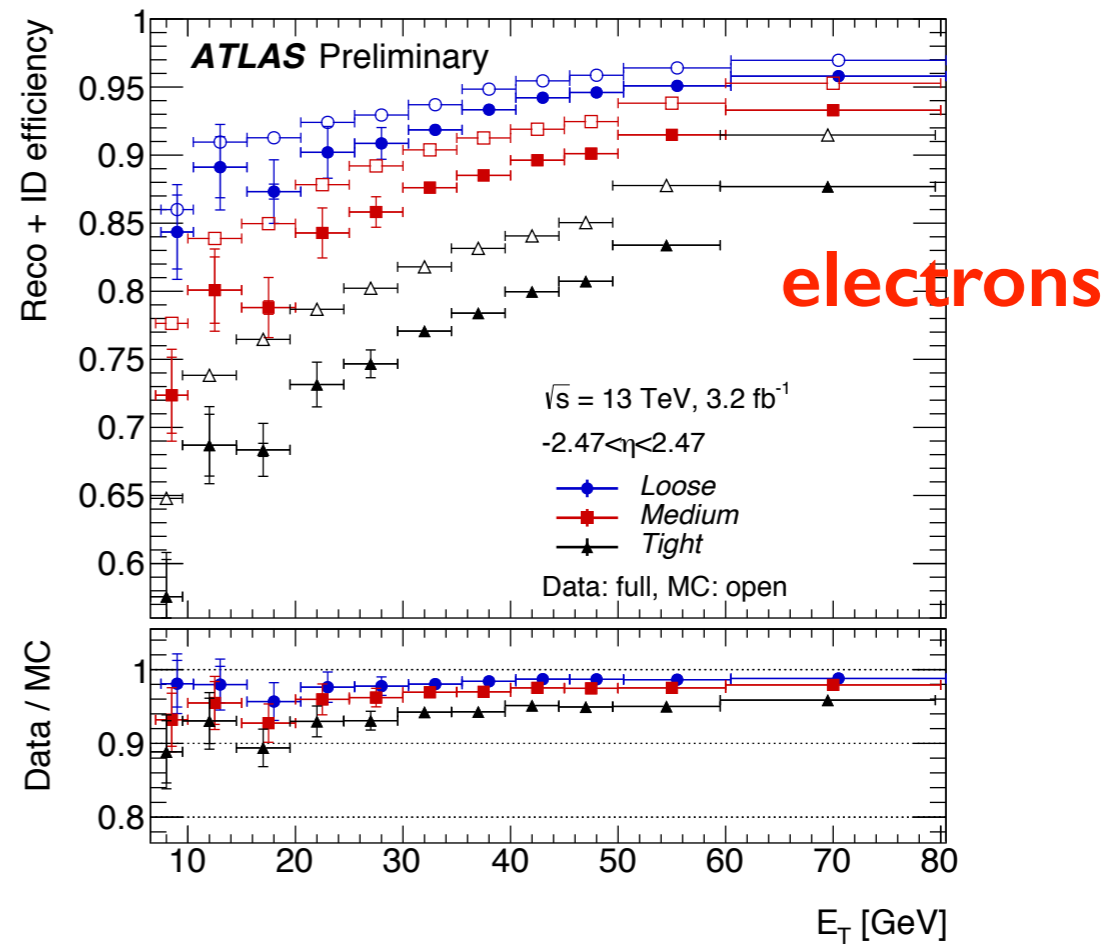
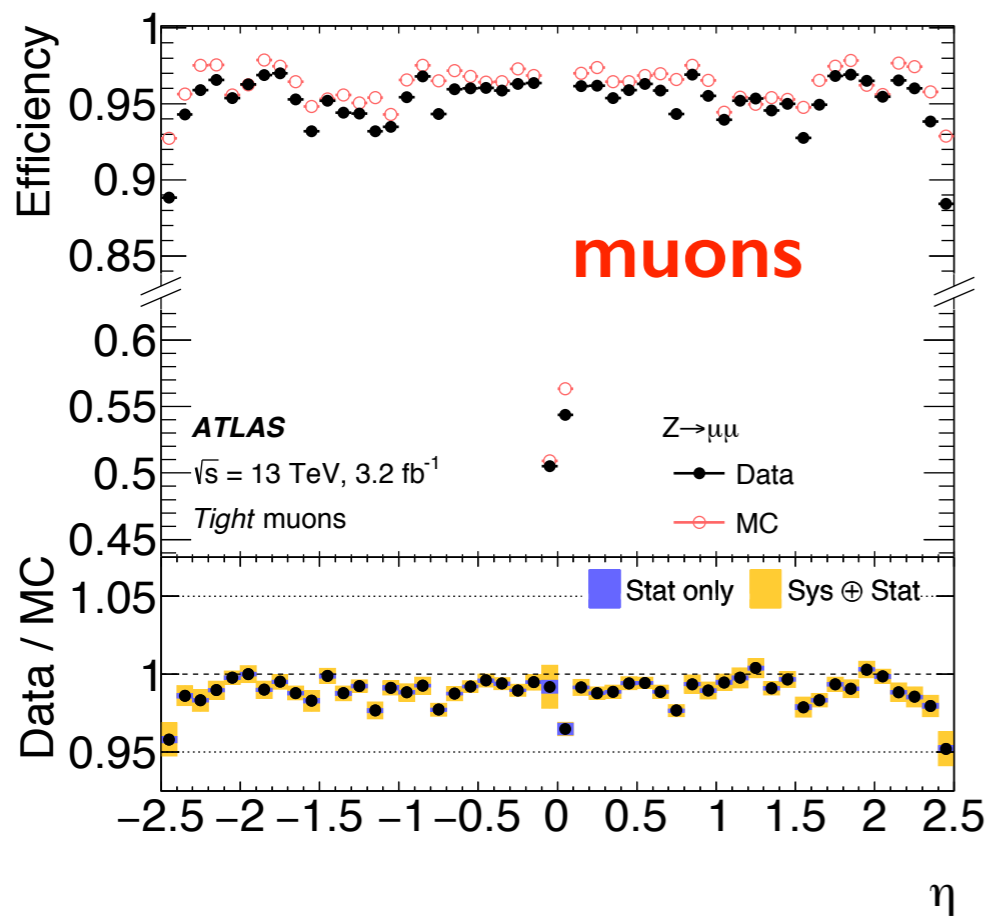
► Key detector upgrades for Run2:

- trigger: L1 rates increased from 75 to 100 kHz, and High Level Trigger rates from 400 to 1000 Hz.
- pixel detector: from a 3- to a 4-layer detector, with the addition of the Insertable B-Layer and a new beam pipe.

Particle identification



Performance



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Event selection

▶ Leptons

▶ exactly 3

▶ $|\eta| < 2.5$

▶ $p_T(l_1) > 28 \text{ GeV}$

▶ $p_T(l_2) > 25 \text{ GeV}$

▶ $p_T(l_2) > 15 \text{ GeV}$

▶ Jets

▶ exactly 2

▶ $|\eta| < 4.5$

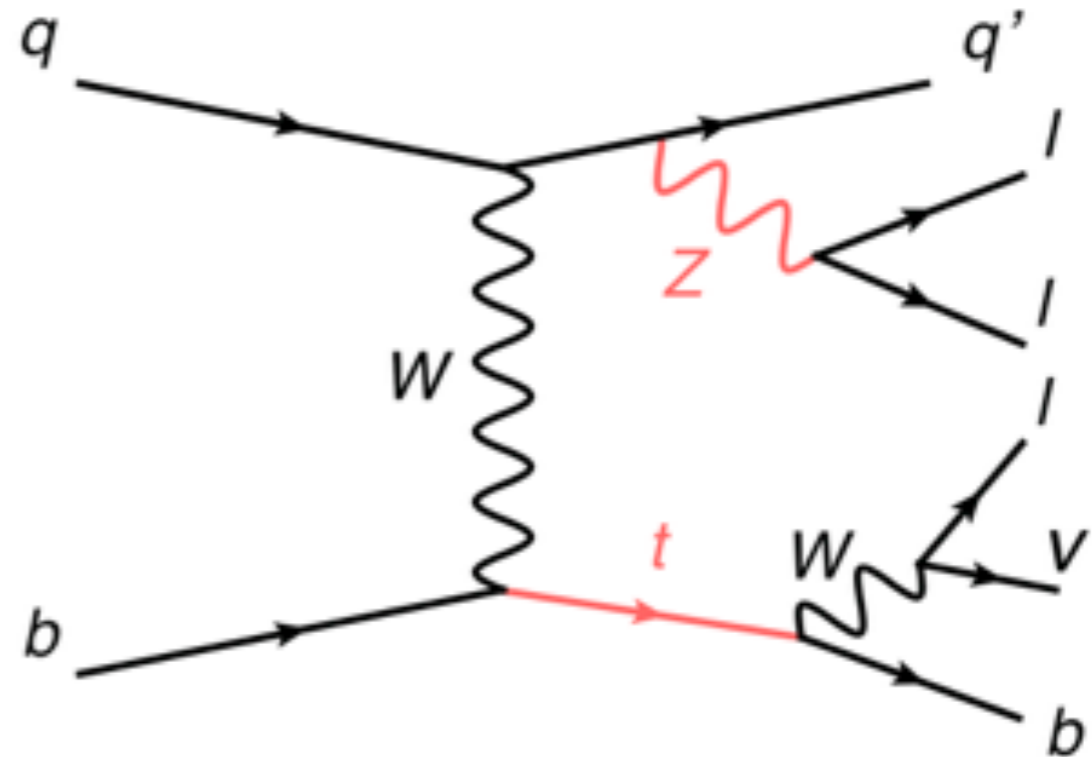
▶ 1 b-tagged ($|\eta| < 2.5$)

▶ $p_T(\text{jets}) > 30 \text{ GeV}$

▶ In addition:

▶ ≥ 1 opposite-sign same-flavour lepton pair with $|m_{ll} - m_Z| < 10 \text{ GeV}$,

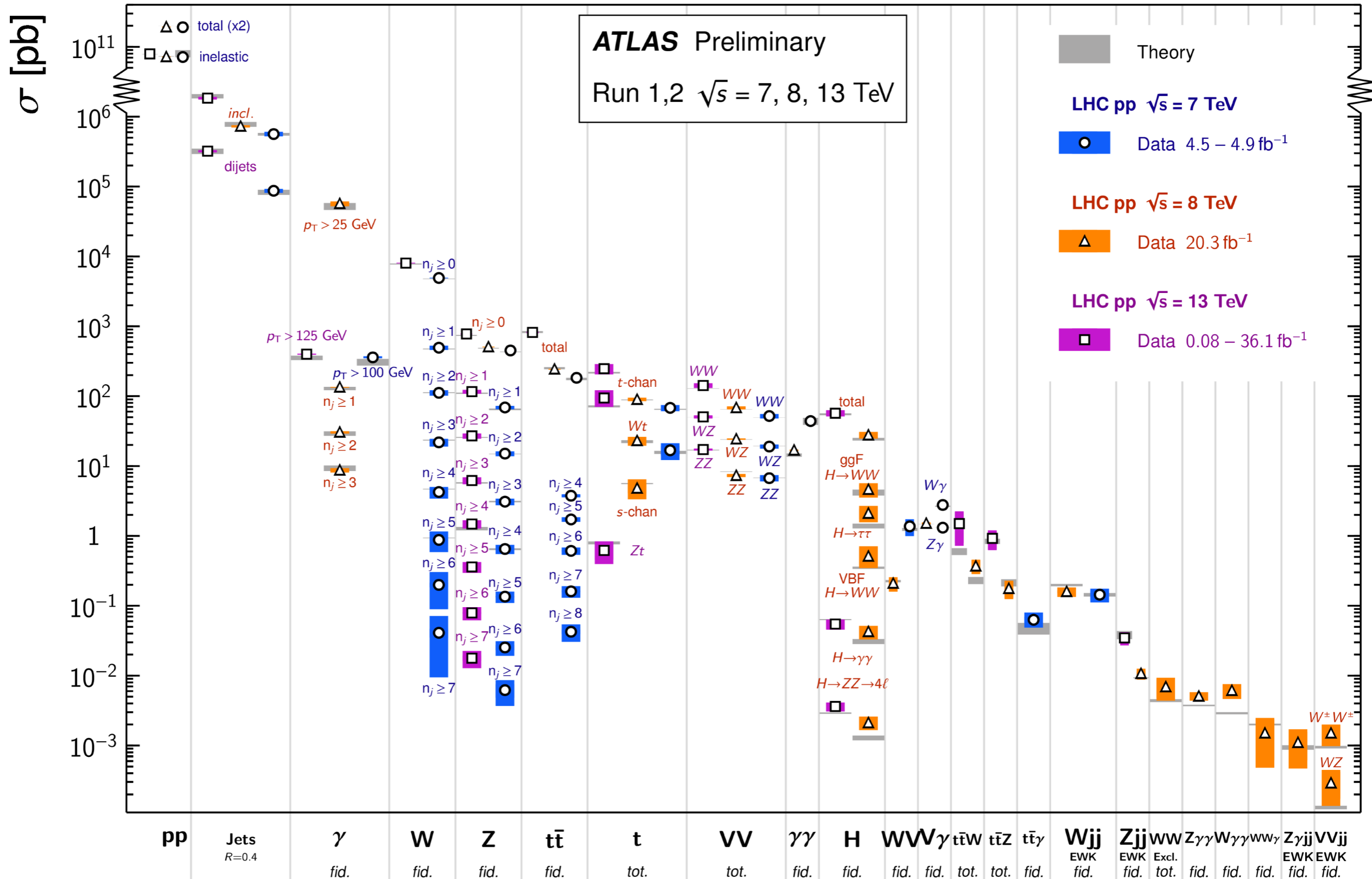
▶ $m_T(l_W, \nu) > 20 \text{ GeV}$.



Backgrounds

Standard Model Production Cross Section Measurements

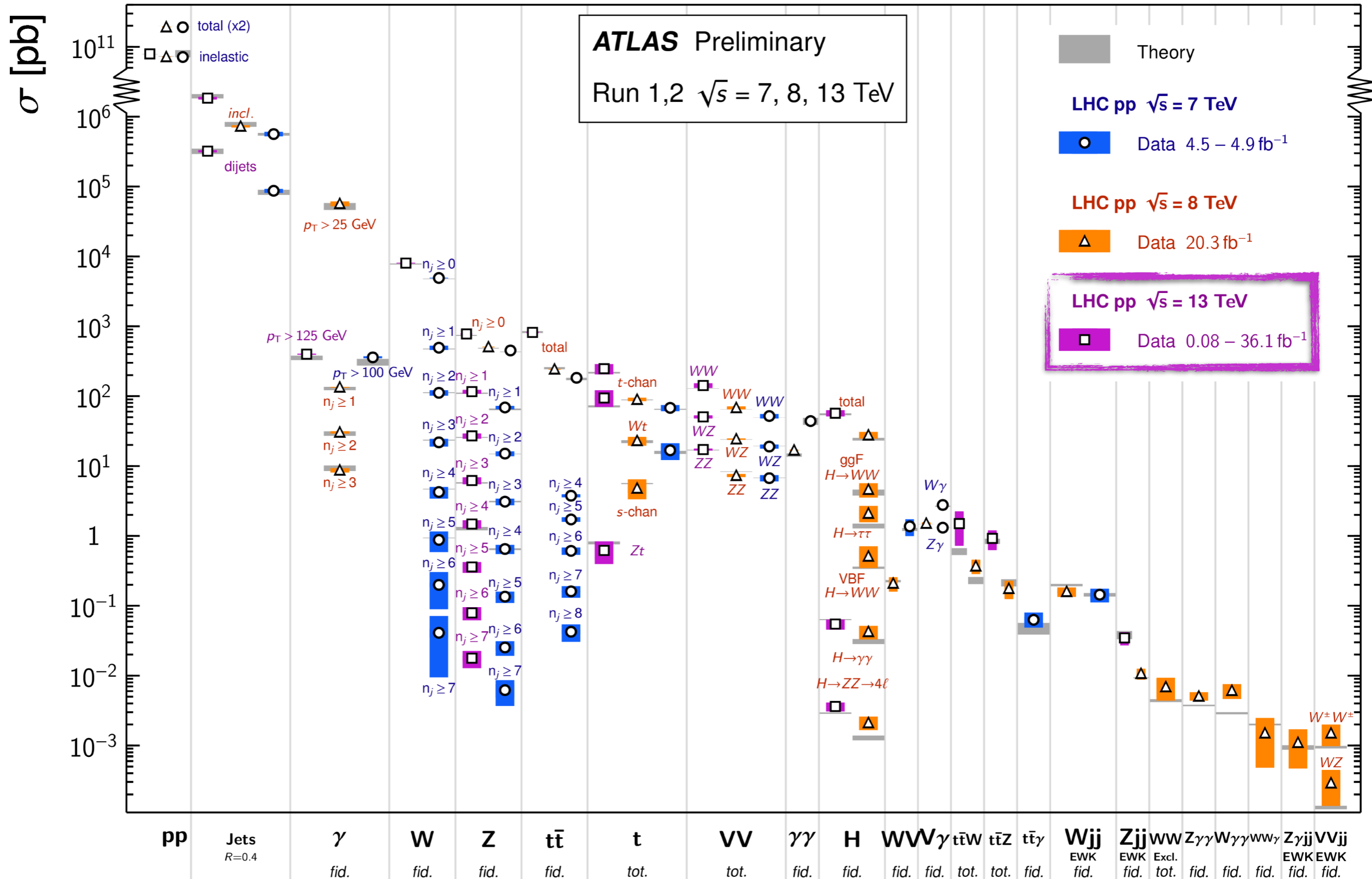
Status: July 2017



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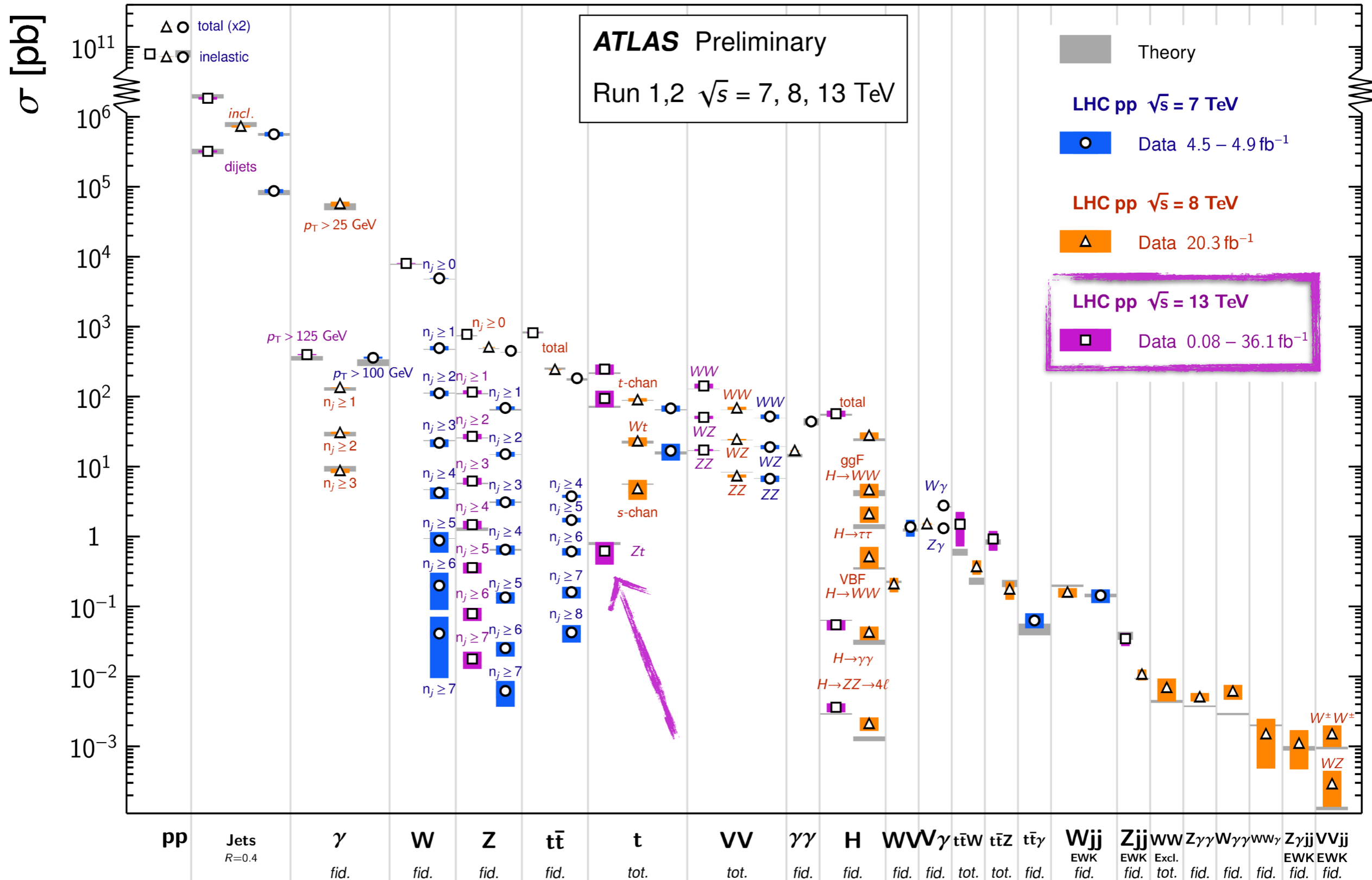
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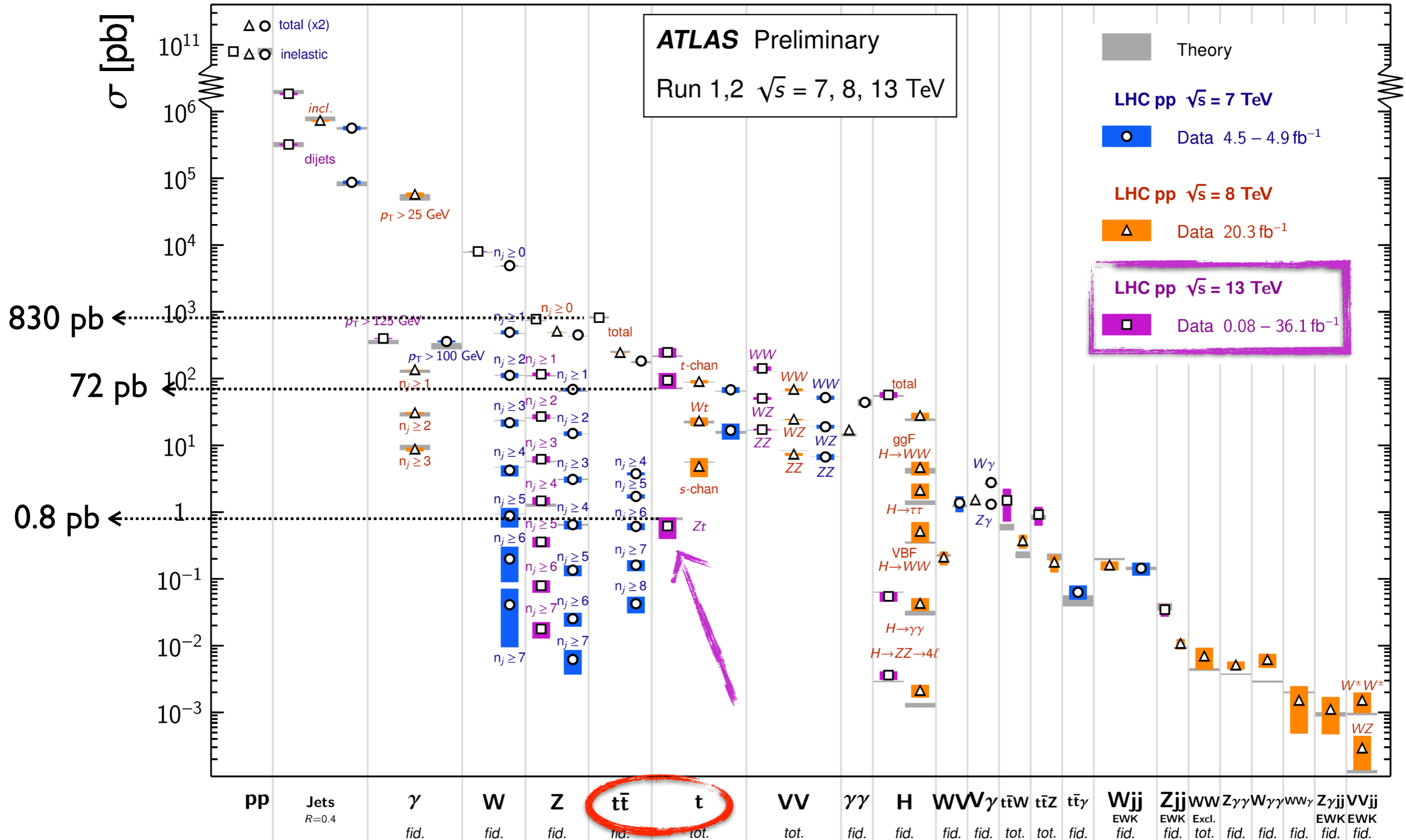
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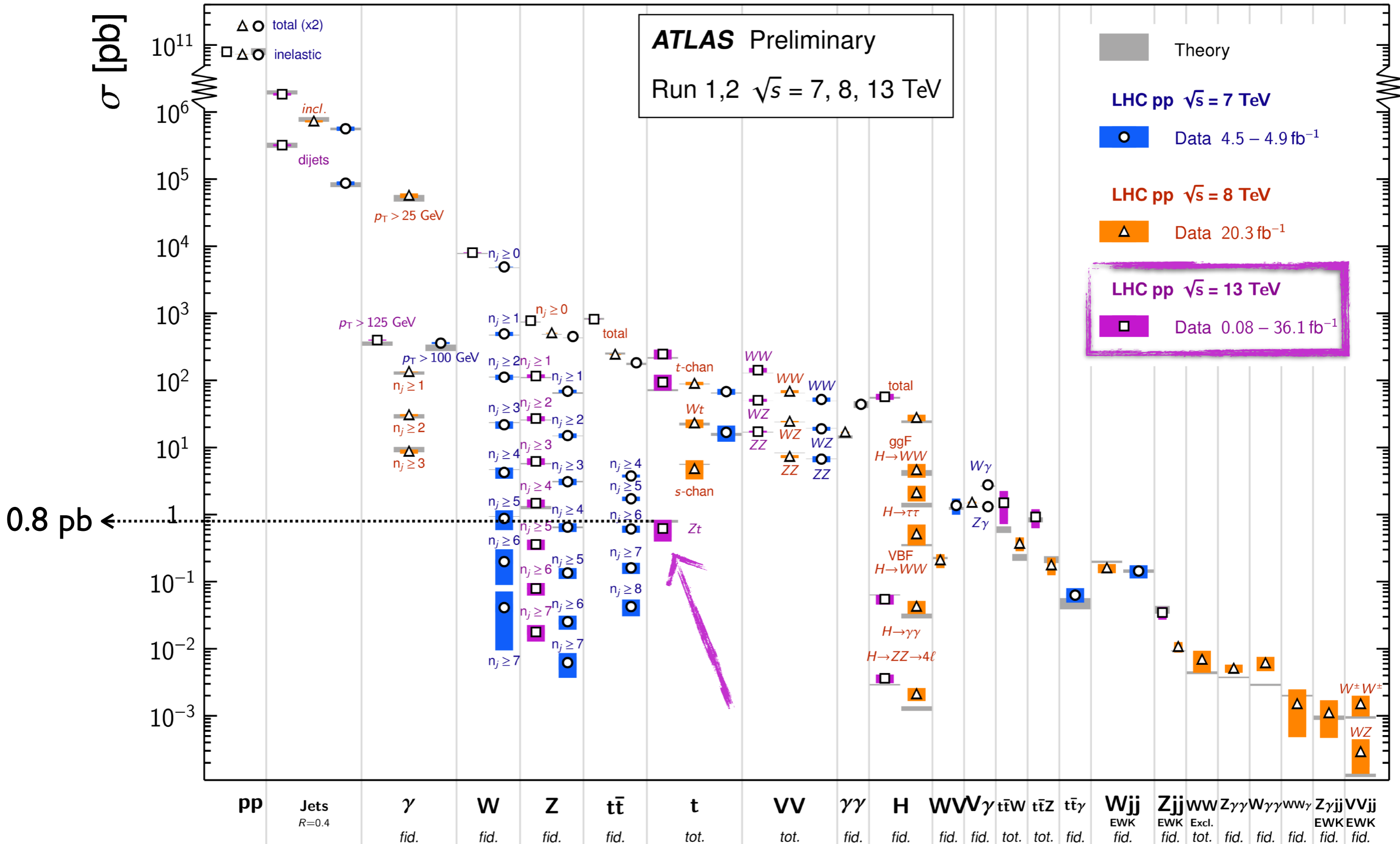
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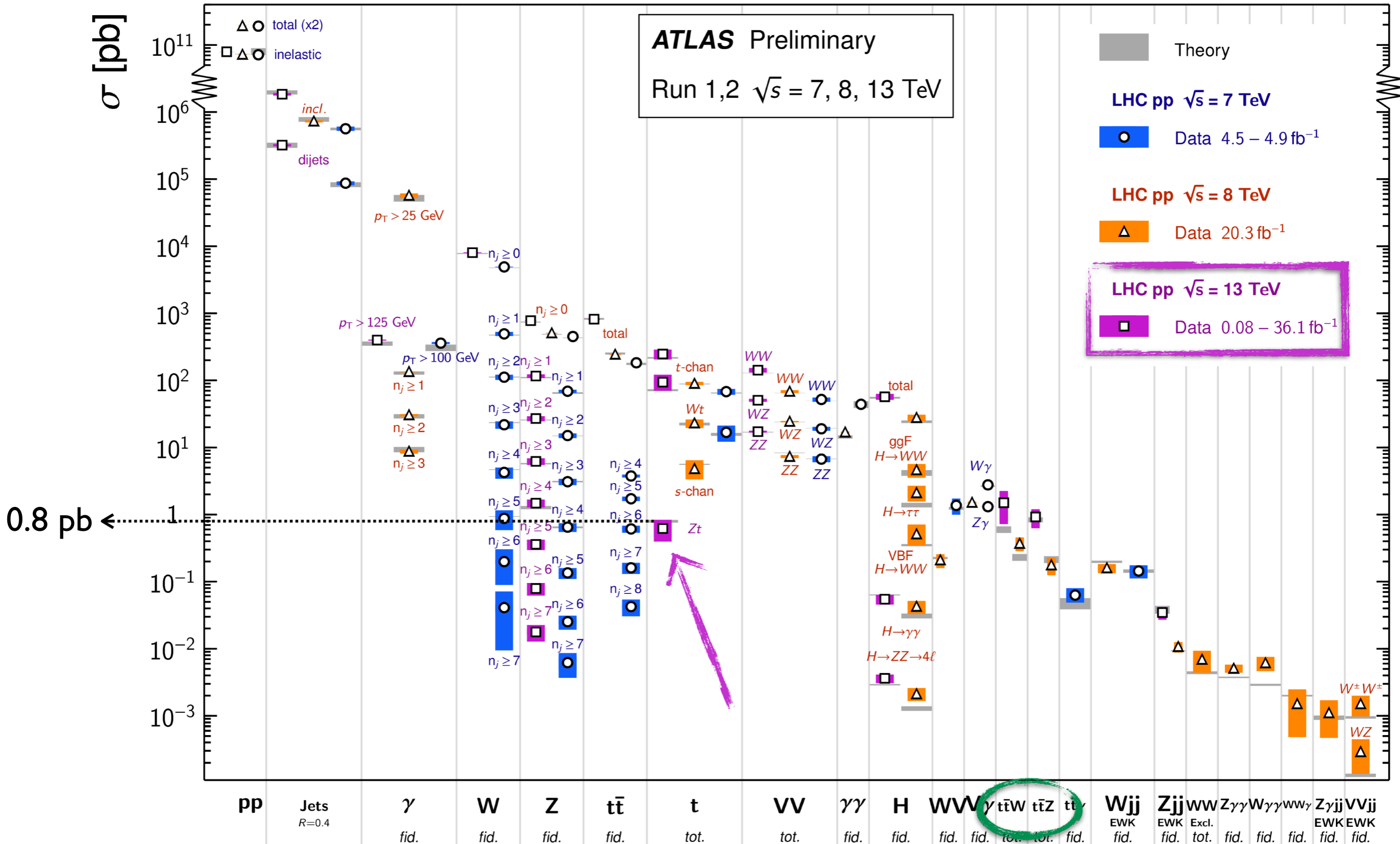
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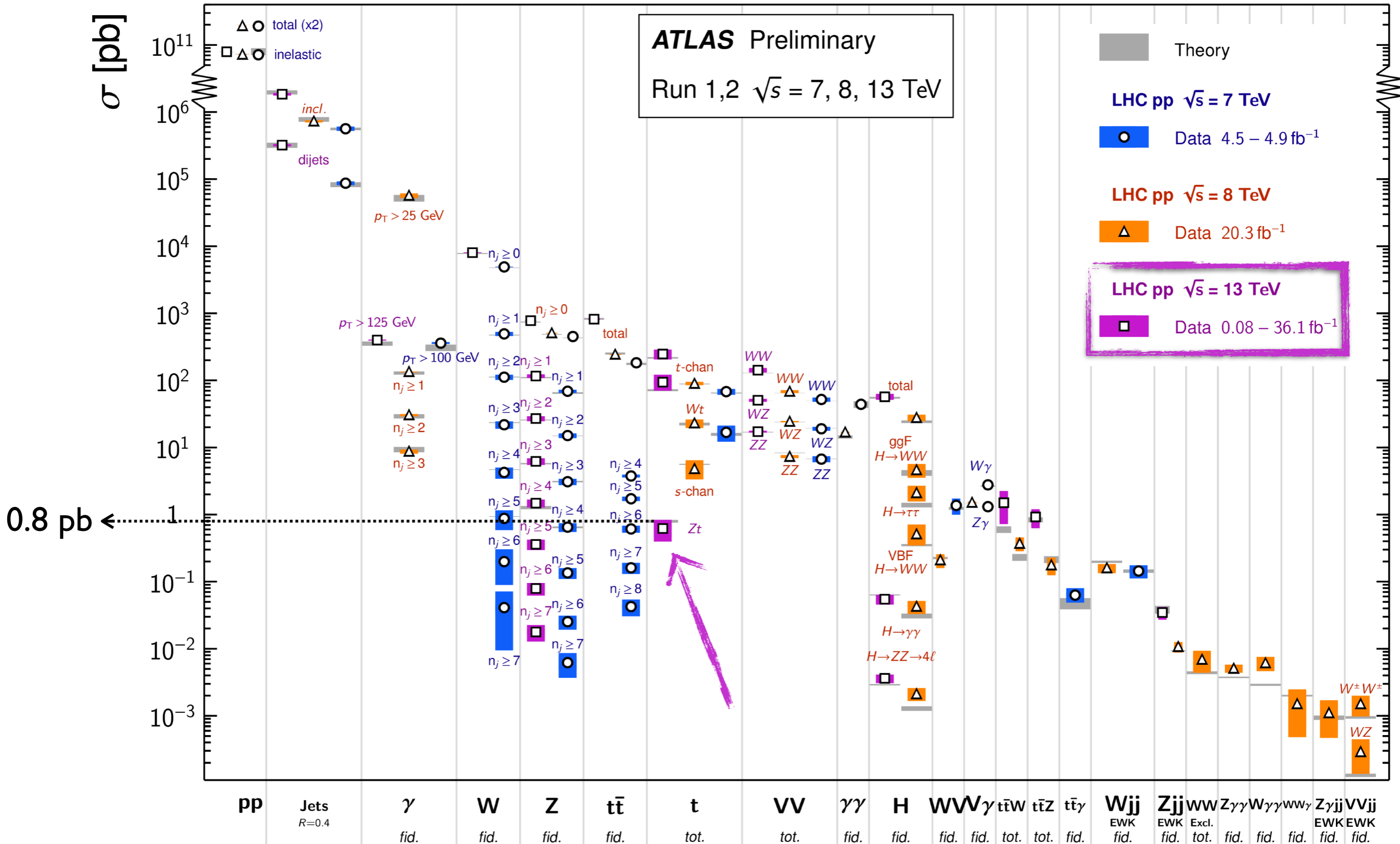
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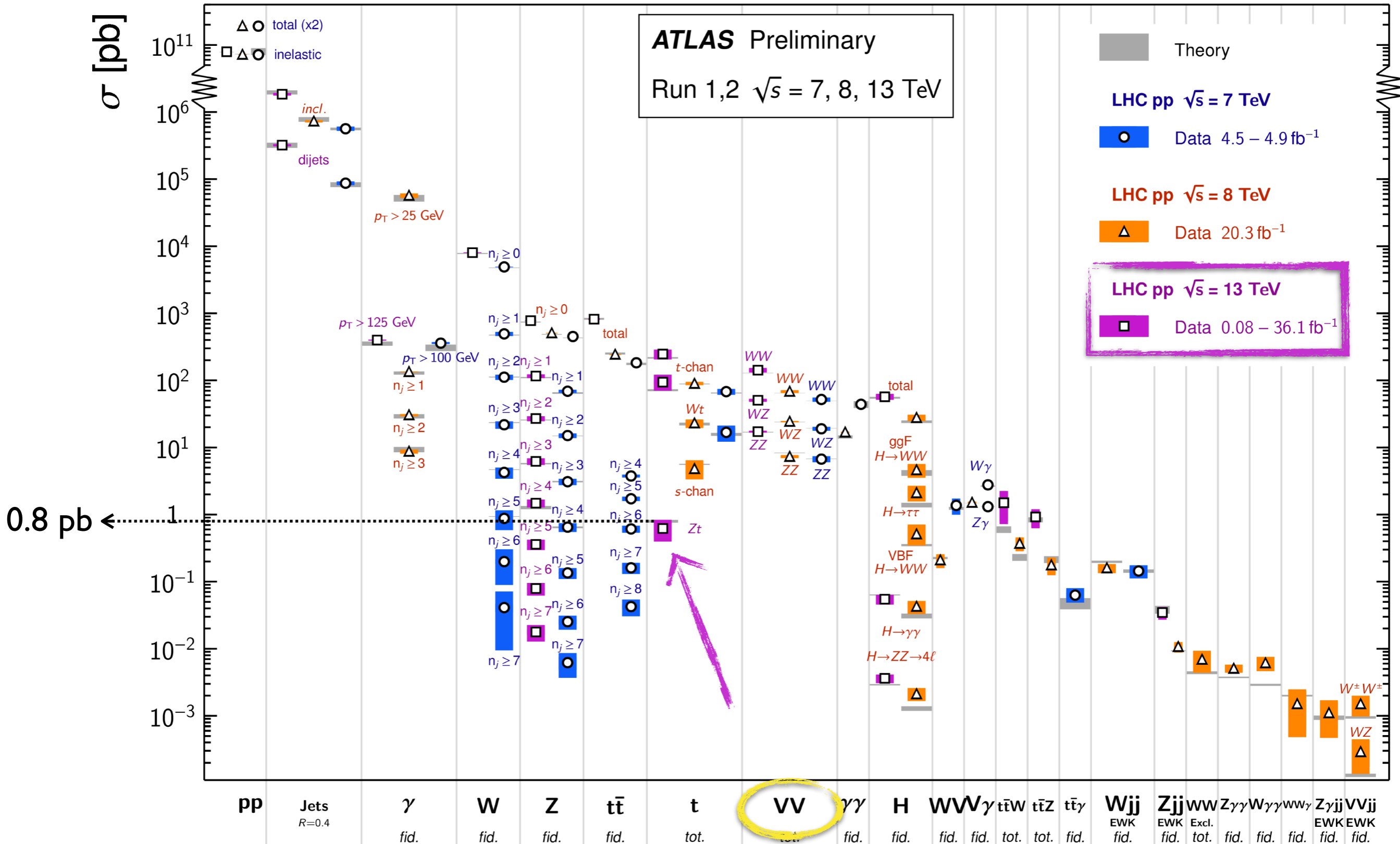
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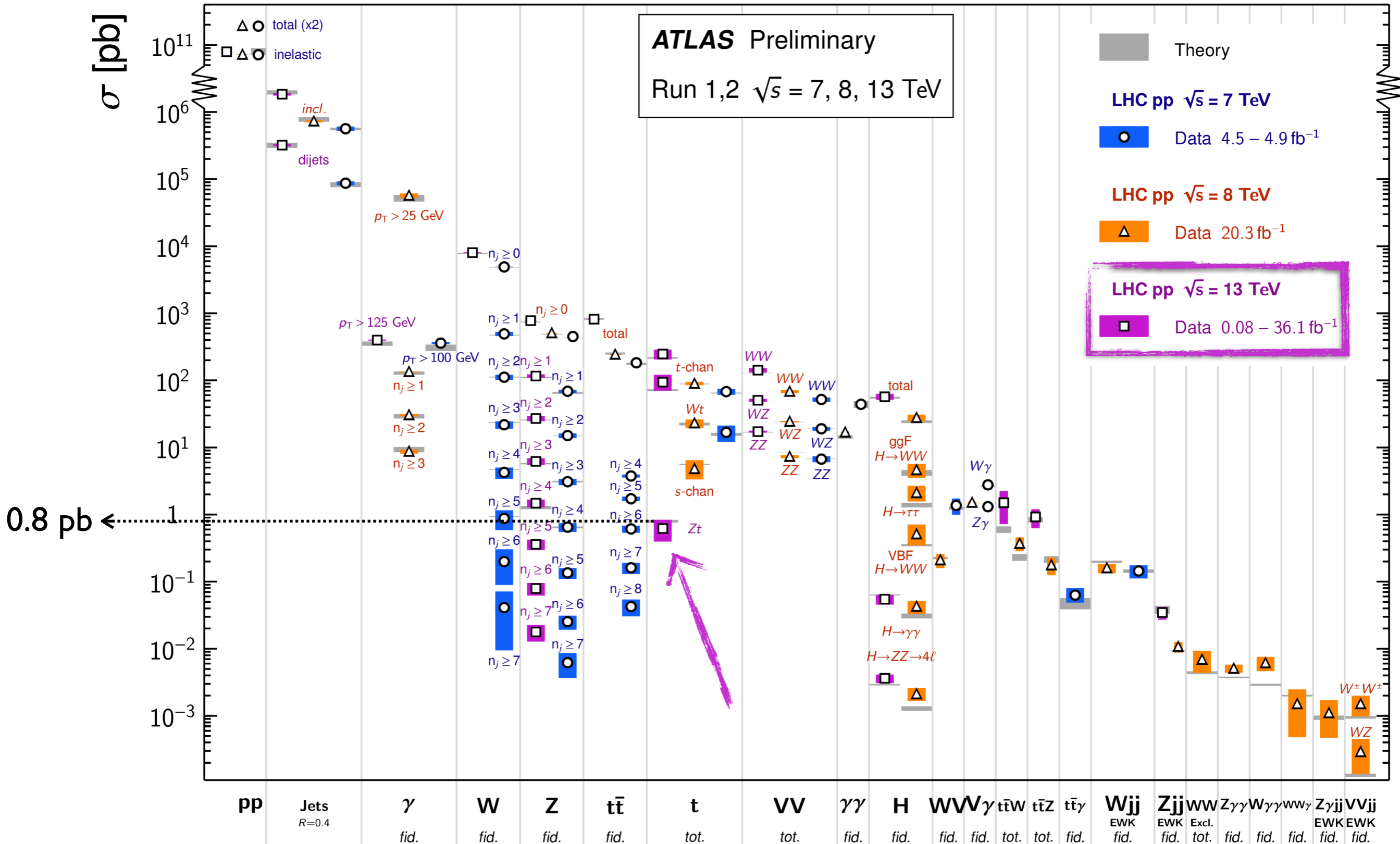
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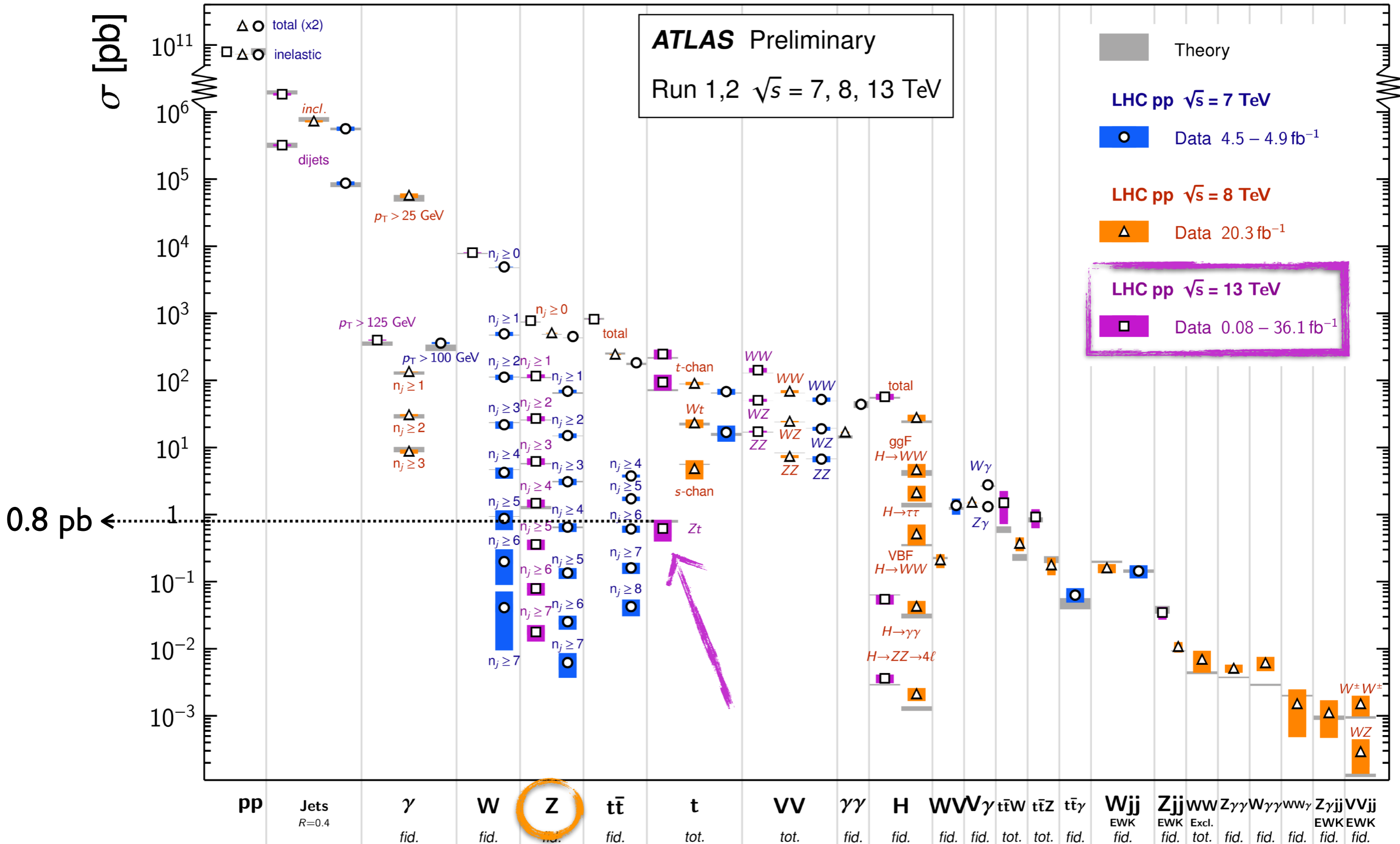
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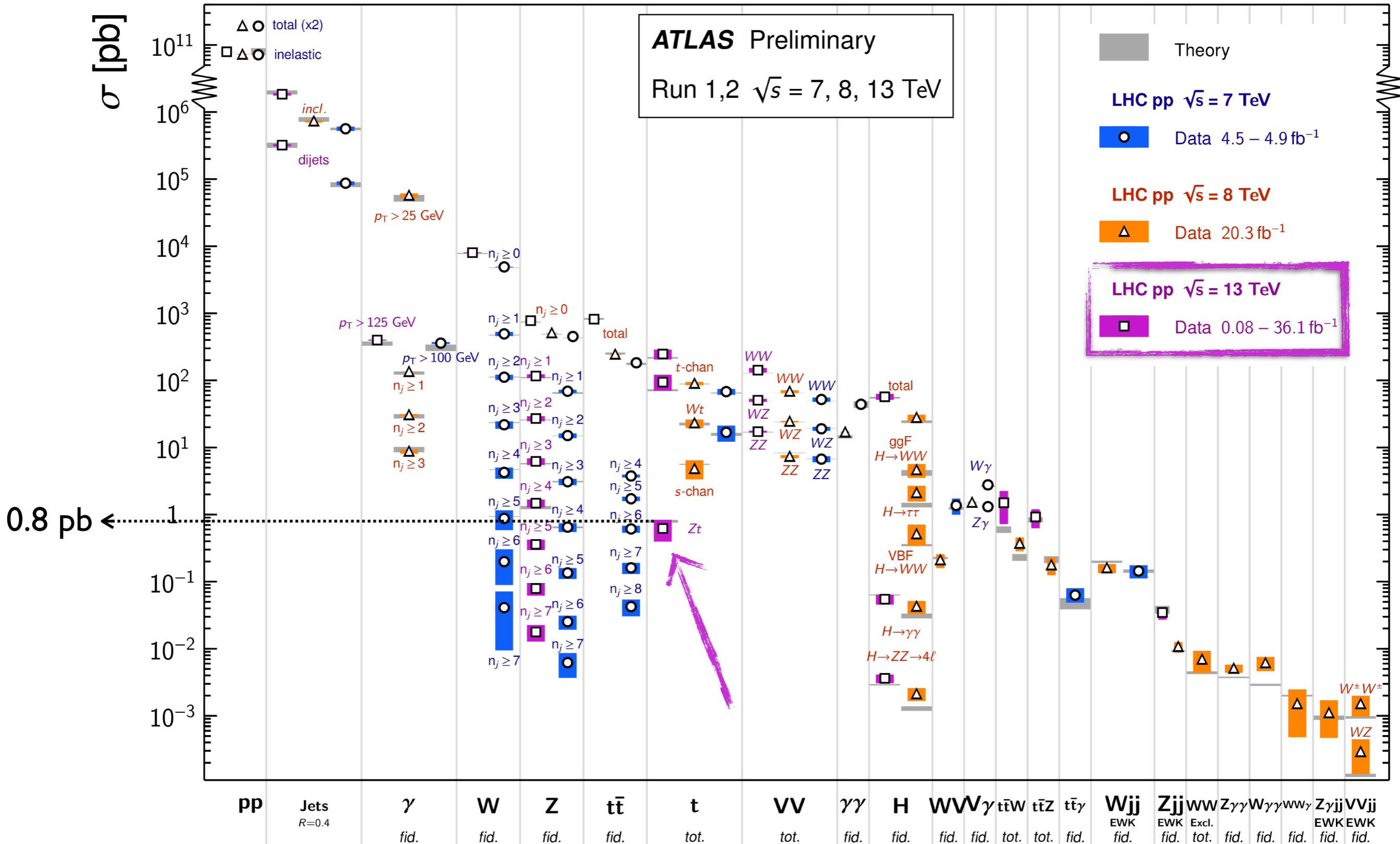
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Backgrounds

Standard Model Production Cross Section Measurements

Status: July 2017



Signal, validation and control regions

Common selections

Exactly 3 leptons with $|\eta| < 2.5$ and $p_T > 15$ GeV
 $p_T(\ell_1) > 28$ GeV, $p_T(\ell_2) > 25$ GeV, $p_T(\ell_3) > 15$ GeV
 $p_T(\text{jet}) > 30$ GeV
 $m_T(\ell_W, \nu) > 20$ GeV

SR

Diboson VR / CR

$t\bar{t}$ VR

$t\bar{t}$ CR

≥ 1 OSSF pair
 $|m_{\ell\ell} - m_Z| < 10$ GeV
 2 jets, $|\eta| < 4.5$
 1 b -jet, $|\eta| < 2.5$

≥ 1 OSSF pair
 $|m_{\ell\ell} - m_Z| < 10$ GeV
 1 jet, $|\eta| < 4.5$

≥ 1 OSSF pair
 $|m_{\ell\ell} - m_Z| > 10$ GeV
 2 jets, $|\eta| < 4.5$
 1 b -jet, $|\eta| < 2.5$

≥ 1 OSDF pair
 No OSSF pair
 2 jets, $|\eta| < 4.5$
 1 b -jet, $|\eta| < 2.5$

—

VR/CR: $m_T(\ell_W, \nu) > 20/60$ GeV

—

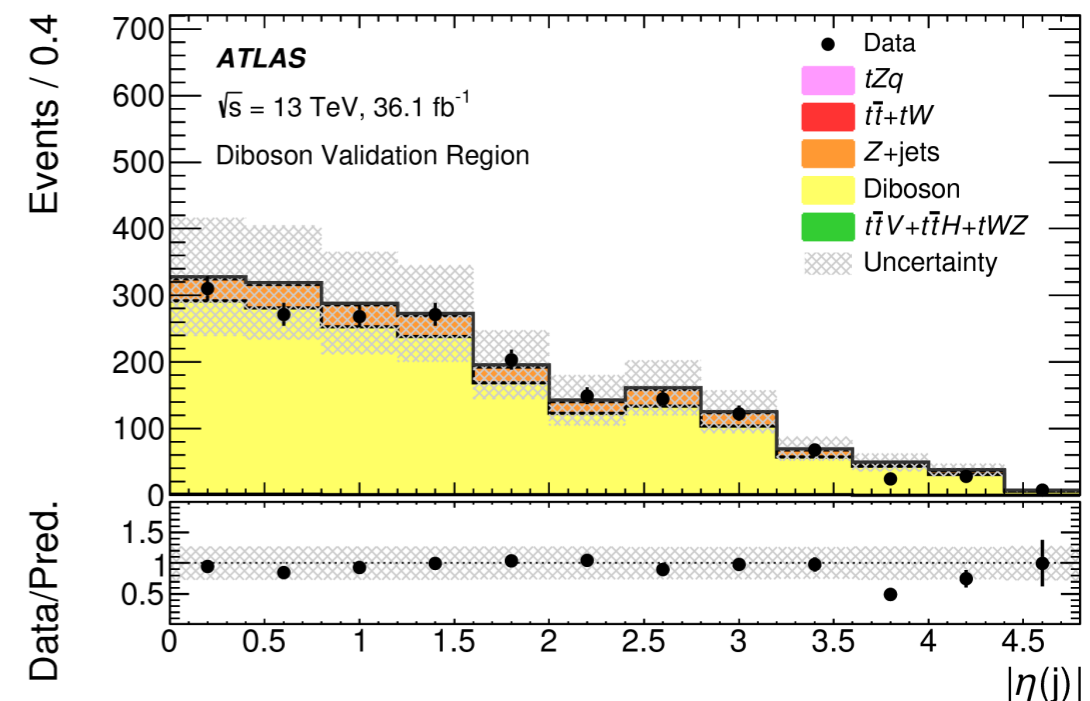
—

- ▶ Control regions (CRs) to normalize background sources to data.
- ▶ Validation regions (VRs) to validate background modeling.

Background estimation - Dibosons

- ▶ Diboson (+ jets) events
 - ▶ mostly coming from WZ ,
 - ▶ ZZ (where 4th lepton missed) contributing to 9% of total diboson.
- ▶ Estimated from MC
 - ▶ Sherpa (2.1.1).
- ▶ Normalisation corrected using scale factor derived in diboson VR ($m_T(W) > 60$ GeV, to reduce Z +jets contamination).
 - ▶ Kinematic distribution shapes well described.
 - ▶ Uncertainty on scale factor from:
 - ▶ variation of the requirement on $m_T(W)$,
 - ▶ difference in SR between Sherpa and Powheg normalisations.

$$SF_{\text{diboson}} = 1.47 \pm 0.44$$

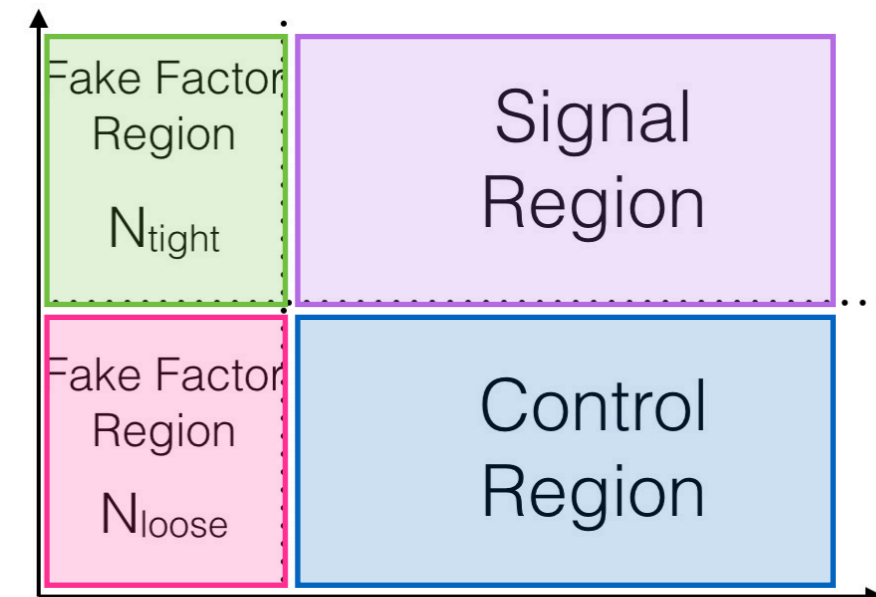


Background estimation - Z+jets fakes

- ▶ Using fake-factor method.
- ▶ Estimation done separately for electron and muon channels (as number of non-prompt or fake electrons and muons can be very different).
- ▶ Region defined by selecting events with $m_T(W) < 20$ GeV.
- ▶ Fake factors calculated as the ratio of data events that have three isolated leptons to events in which one of the leptons fails the isolation requirement.
 - ▶ Derived in bins of the $p_T(l_W)$ of the lepton not associated to the Z boson.
- ▶ Factors are then applied to events passing SR selection (including a $m_T(W) > 20$ GeV cut) that have one of the three leptons failing the isolation requirement.
- ▶ Contamination from other background sources taken into account and subtracted.
- ▶ Uncertainty: 40%.

3 real leptons
(all tight)

2 tight +
1 loose



M_T^W

$$F = \frac{N_{\text{tight}}^{\text{FF}}}{N_{\text{loose}}^{\text{FF}}}$$

$$F \times N_{\text{loose}}^{\text{CR}} = N^{\text{SR}}$$

Background estimation - Others

▶ $t\bar{t}V + t\bar{t}H$

- ▶ Estimated from MC
 - ▶ MadGraph5_aMC@NLO (2.2.3) + Pythia8.
- ▶ Normalised to NLO theoretical cross section.
- ▶ 10% of total background.

▶ tWZ

- ▶ Estimated from MC
 - ▶ MadGraph5_aMC@NLO (2.2.3) + Pythia8.
- ▶ Normalised to NLO theoretical cross section.
- ▶ Contributes with 4 events.

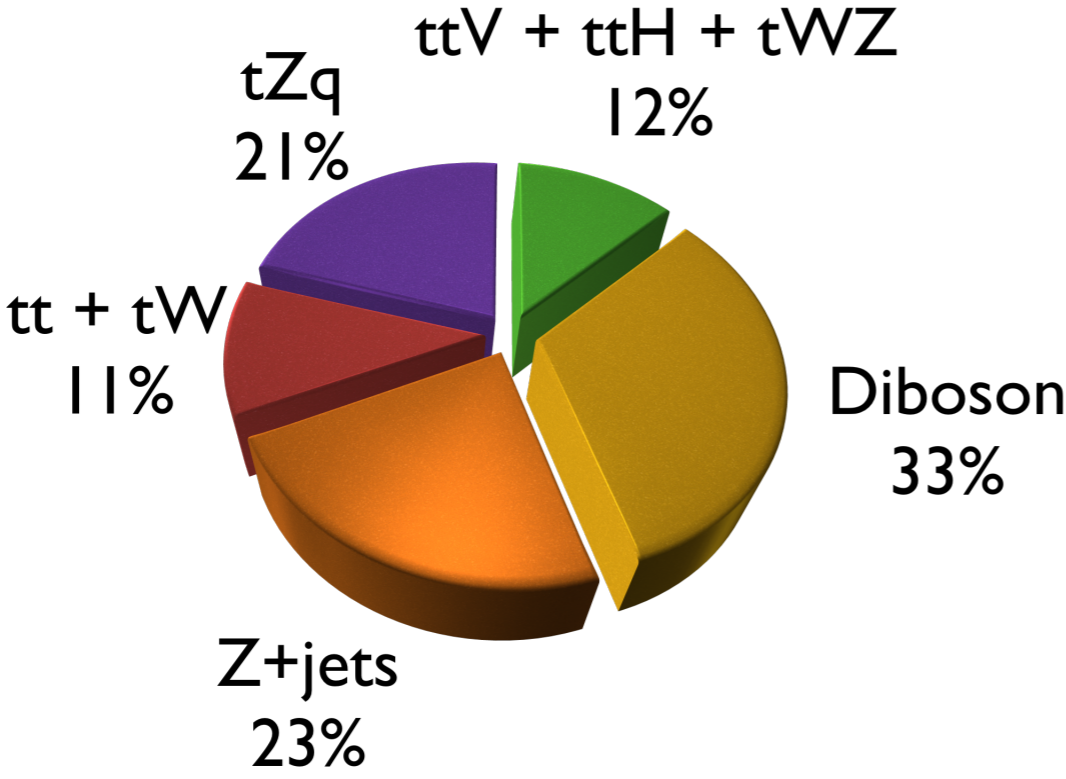
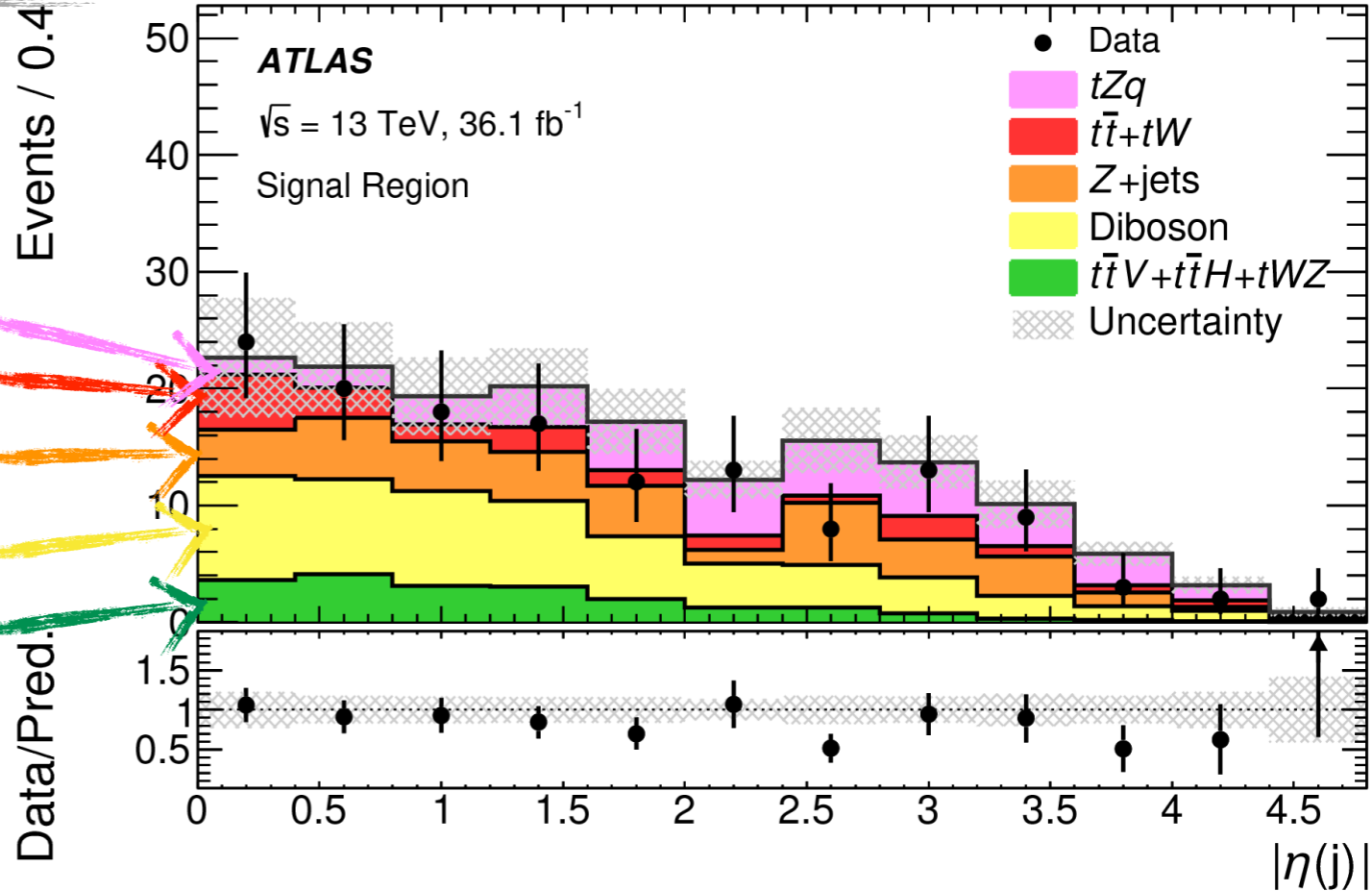
▶ tW

- ▶ Estimated from MC
 - ▶ Powheg + Pythia6.
- ▶ Normalisation corrected with data-driven scale factor (same as $t\bar{t}$).
- ▶ Less than 1 event in SR.

Signal region

Channel	Number of events *
tZq	35 ± 9
$t\bar{t} + tW$	18 ± 7
$Z + \text{jets}$	37 ± 11
Diboson	53 ± 13
$t\bar{t}V + t\bar{t}H + tWZ$	20 ± 3
Total	163 ± 12

*from Asimov dataset



- ▶ tZq 3-lepton
 - ▶ BR = 2.2%
 - ▶ Selection eff = 5%

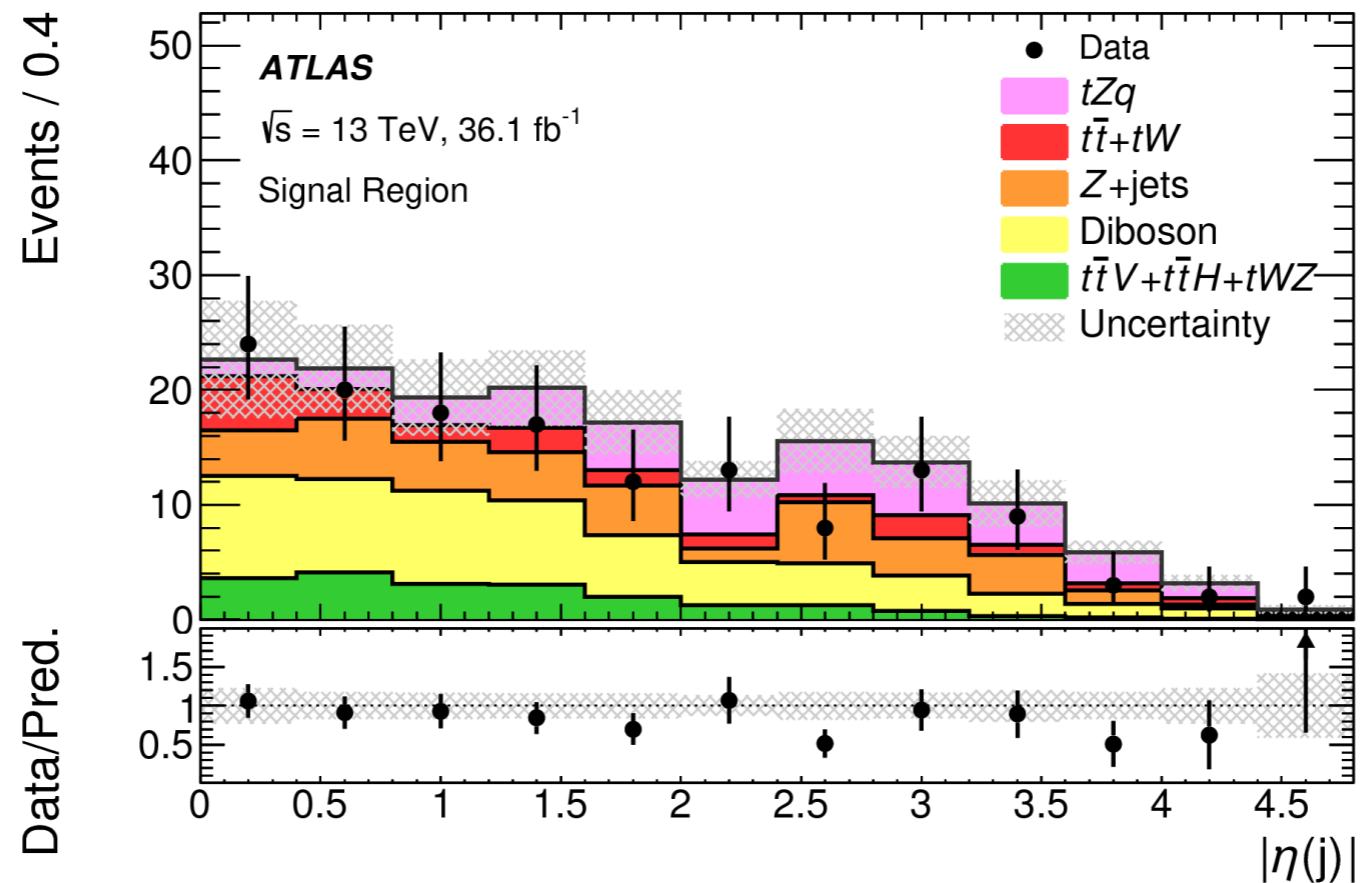
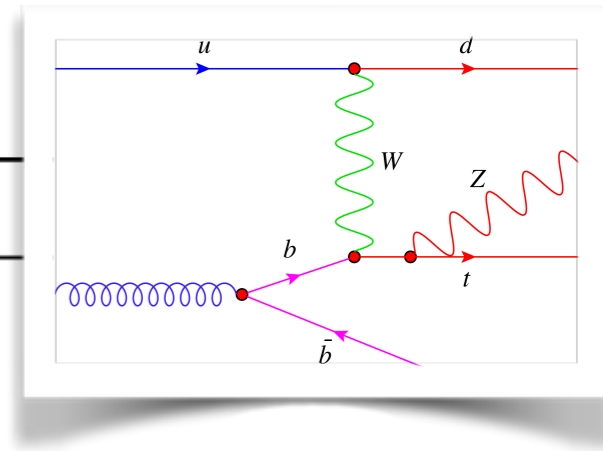
Multivariate analysis

- ▶ Need better separation between signal and background.
 - ▶ Use multivariate analysis.
- ▶ NeuroBayes package used for training a neural network.
- ▶ Signal trained against all backgrounds (except $t\bar{t}$ because of low statistics).
- ▶ 10 variables kept for training.

- ▶ Several checks performed to make sure the procedure is sound.
- ▶ Checking:
 - ▶ NN stability
 - ▶ input variables and NN output in VRs
 - ▶ input variables and NN output in SR with $O_{NN} < 0.5$
 - ▶ (after unblinding) input variables and NN output in SR with $O_{NN} > 0.5$
 - ▶ (after unblinding) input variables and NN output in SR.

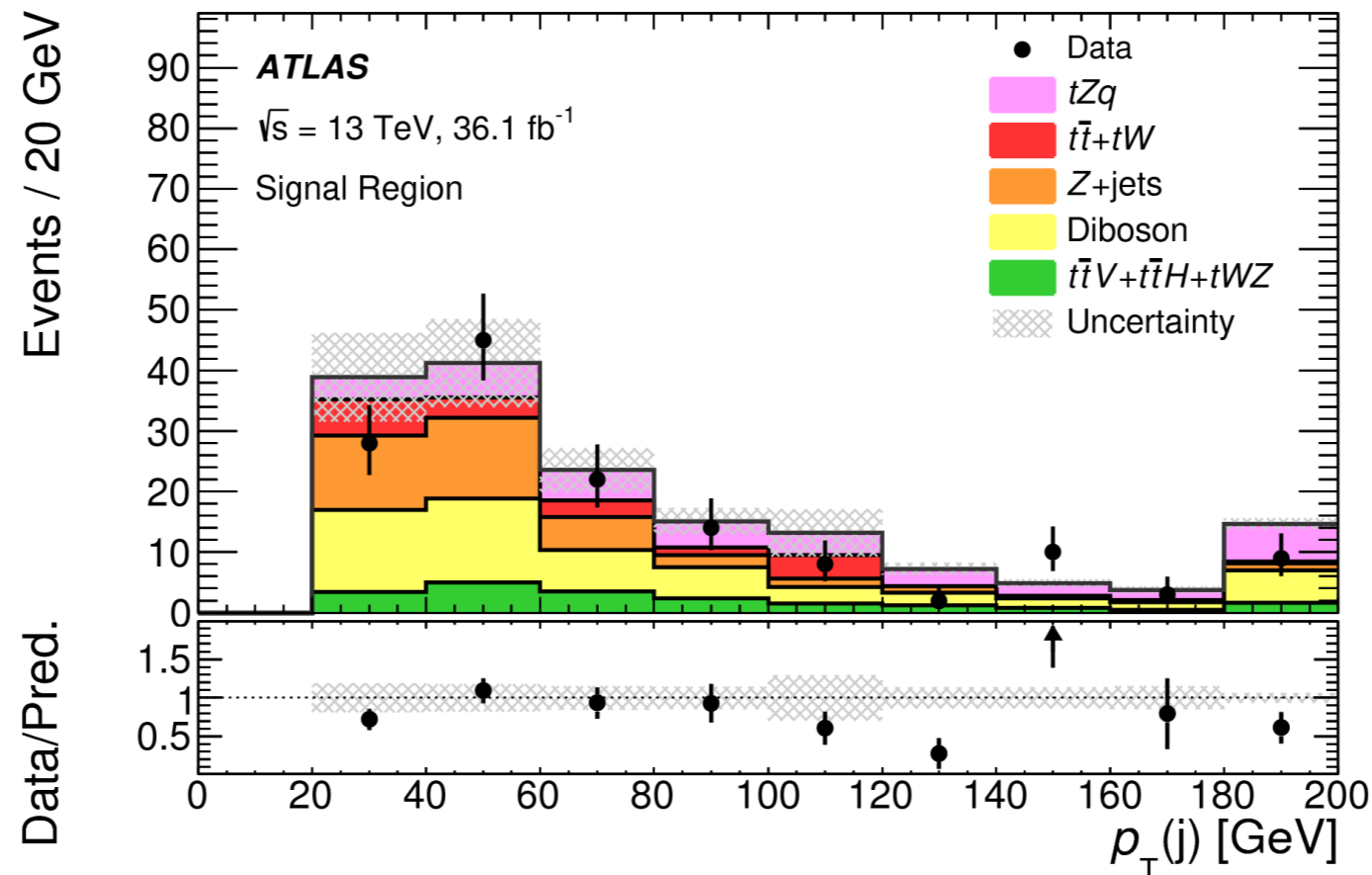
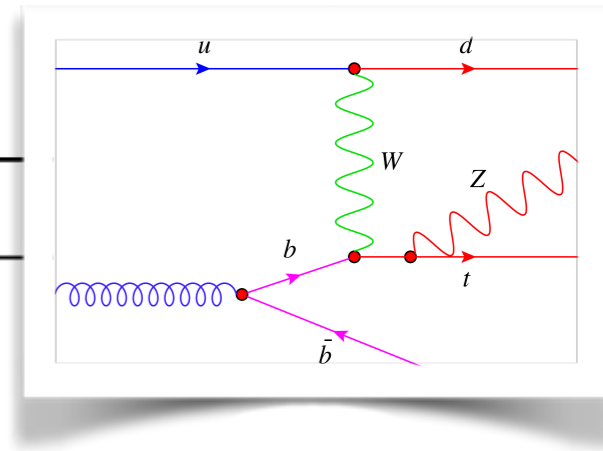
NN input variables

Variable	Definition
$ \eta(j) $	Absolute value of untagged jet η
$p_T(j)$	Untagged jet p_T
m_t	Reconstructed top-quark mass
$p_T(\ell^W)$	p_T of the lepton from the W -boson decay
$\Delta R(j, Z)$	ΔR between the untagged jet and the Z boson
$m_T(\ell, E_T^{\text{miss}})$	Transverse mass of W boson
$p_T(t)$	Reconstructed top-quark p_T
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$p_T(Z)$	p_T of the reconstructed Z boson
$ \eta(\ell^W) $	Absolute value of η of the lepton coming from the W -boson decay



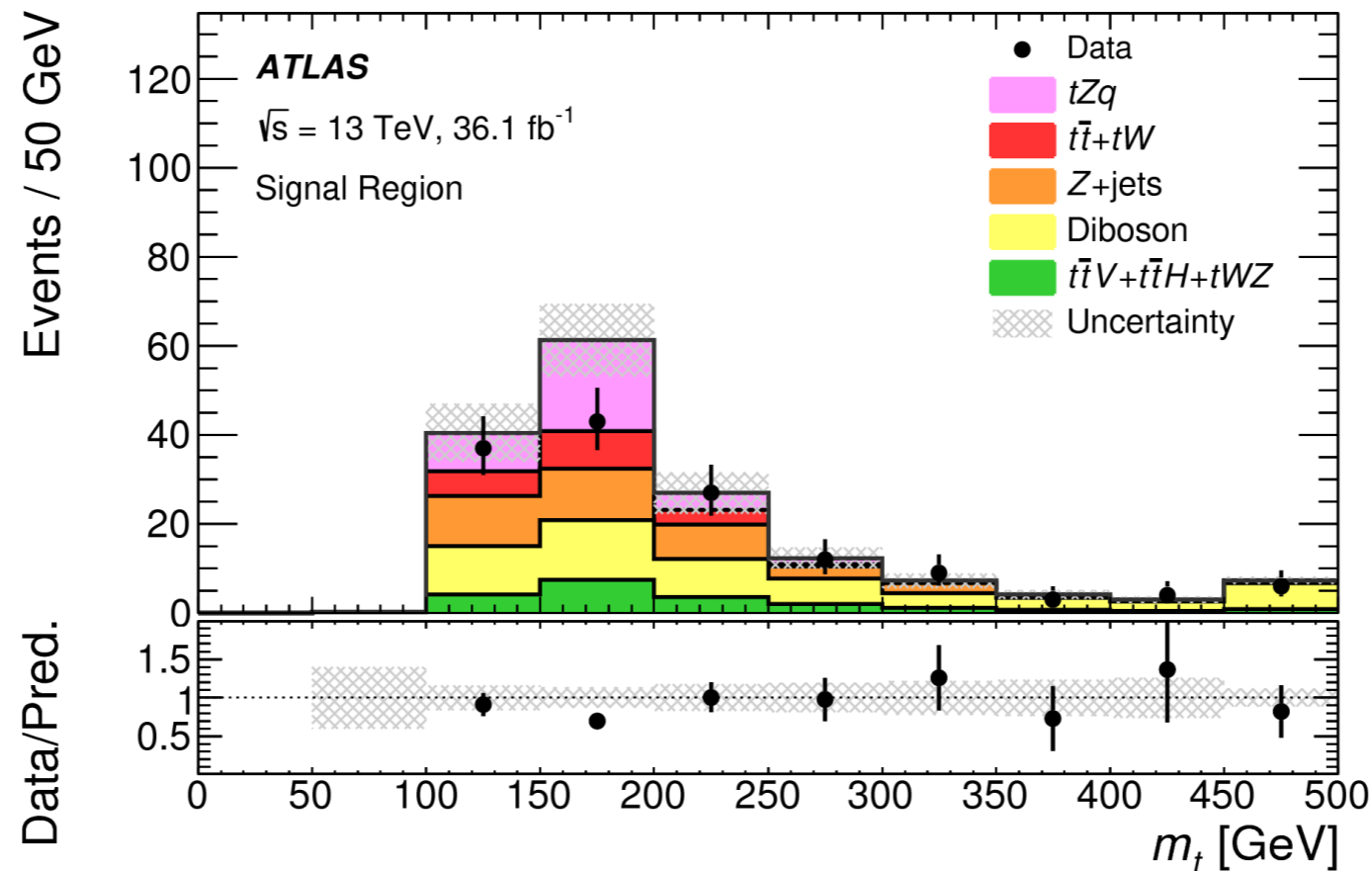
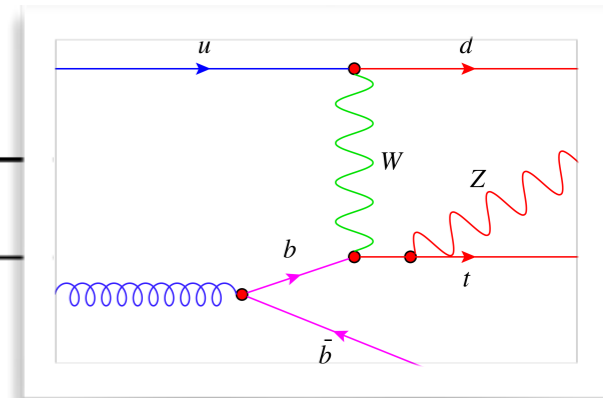
NN input variables

Variable	Definition
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$p_T(j)$	Untagged jet p_T
m_t	Reconstructed top-quark mass
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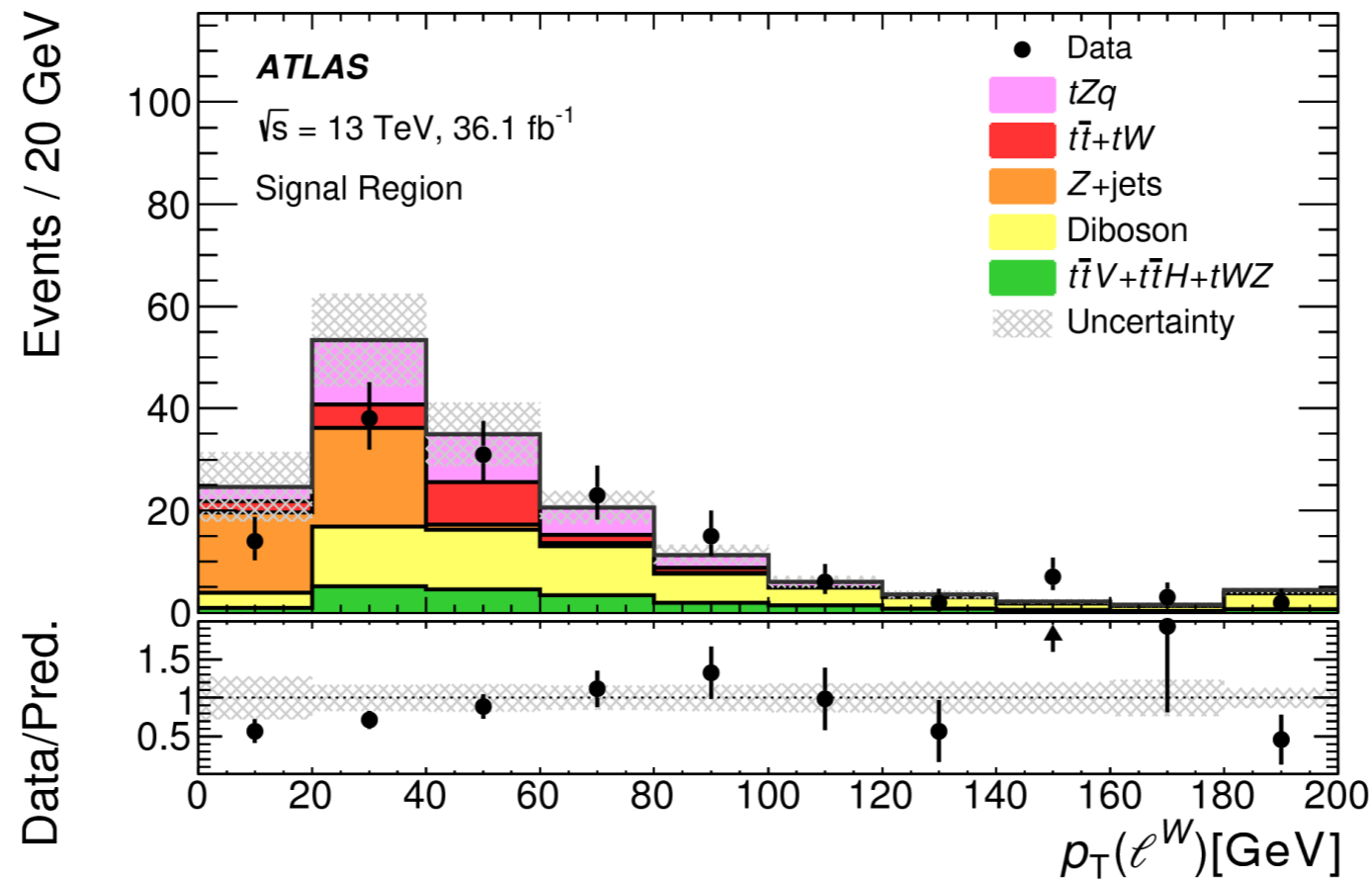
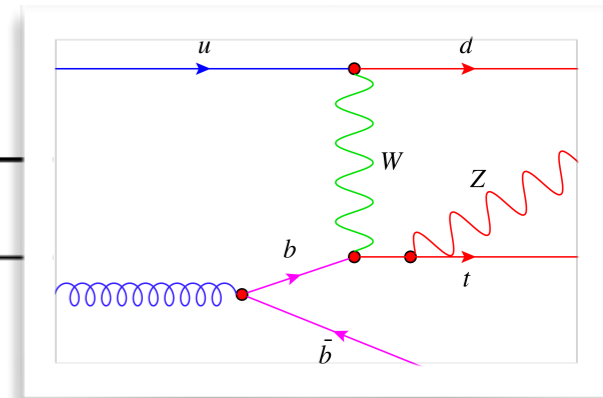
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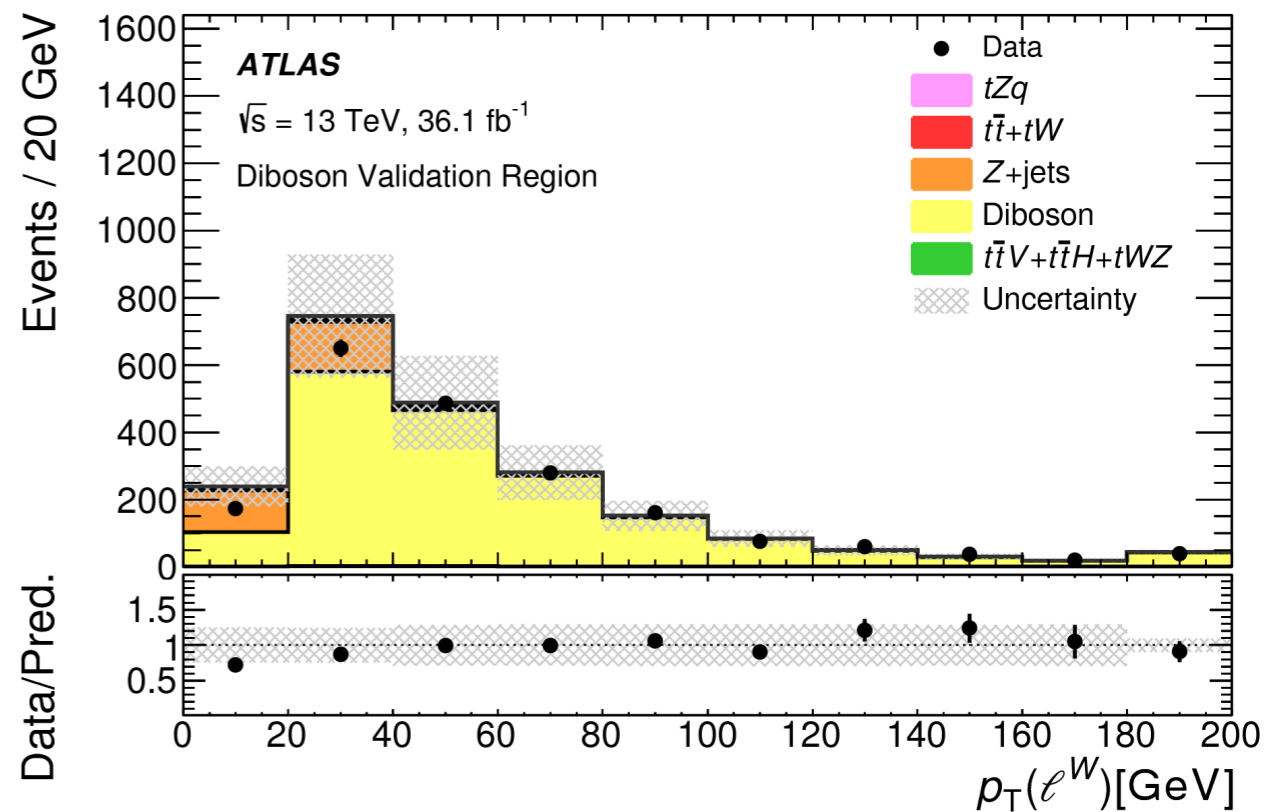
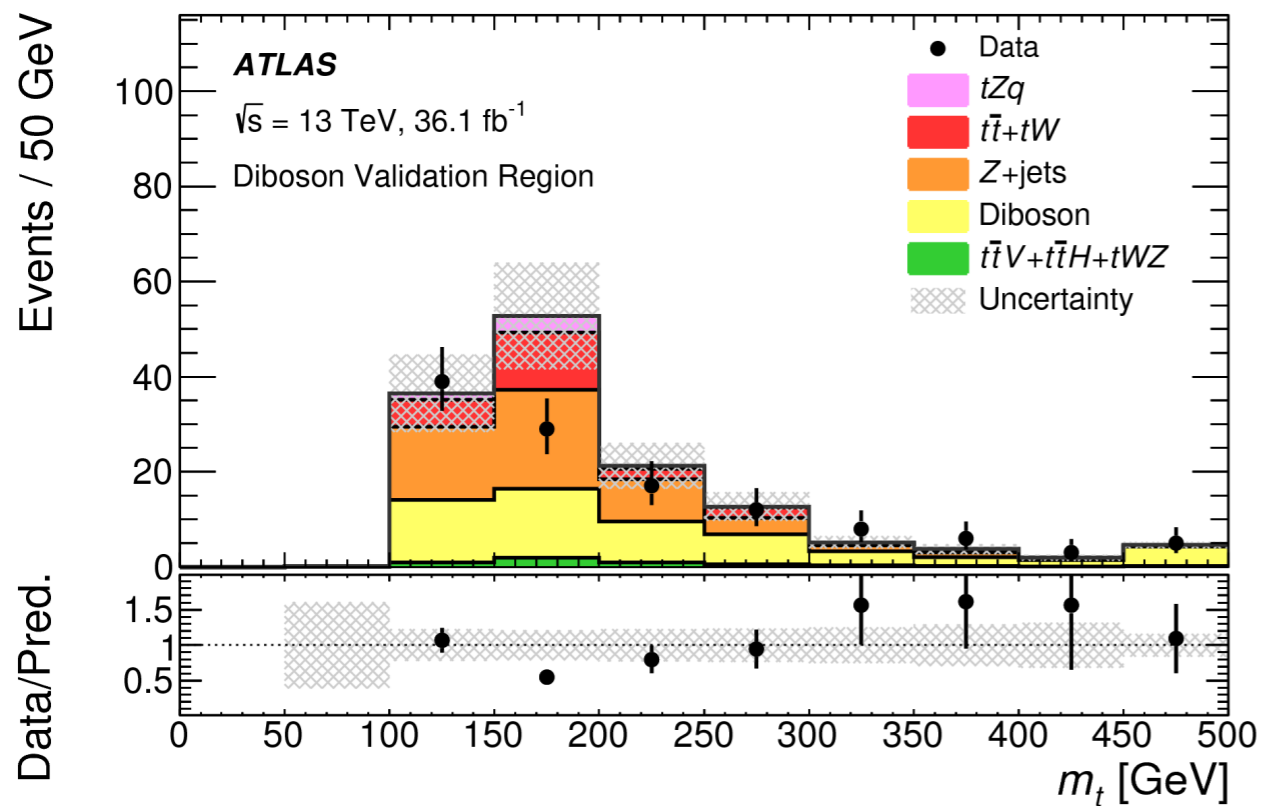
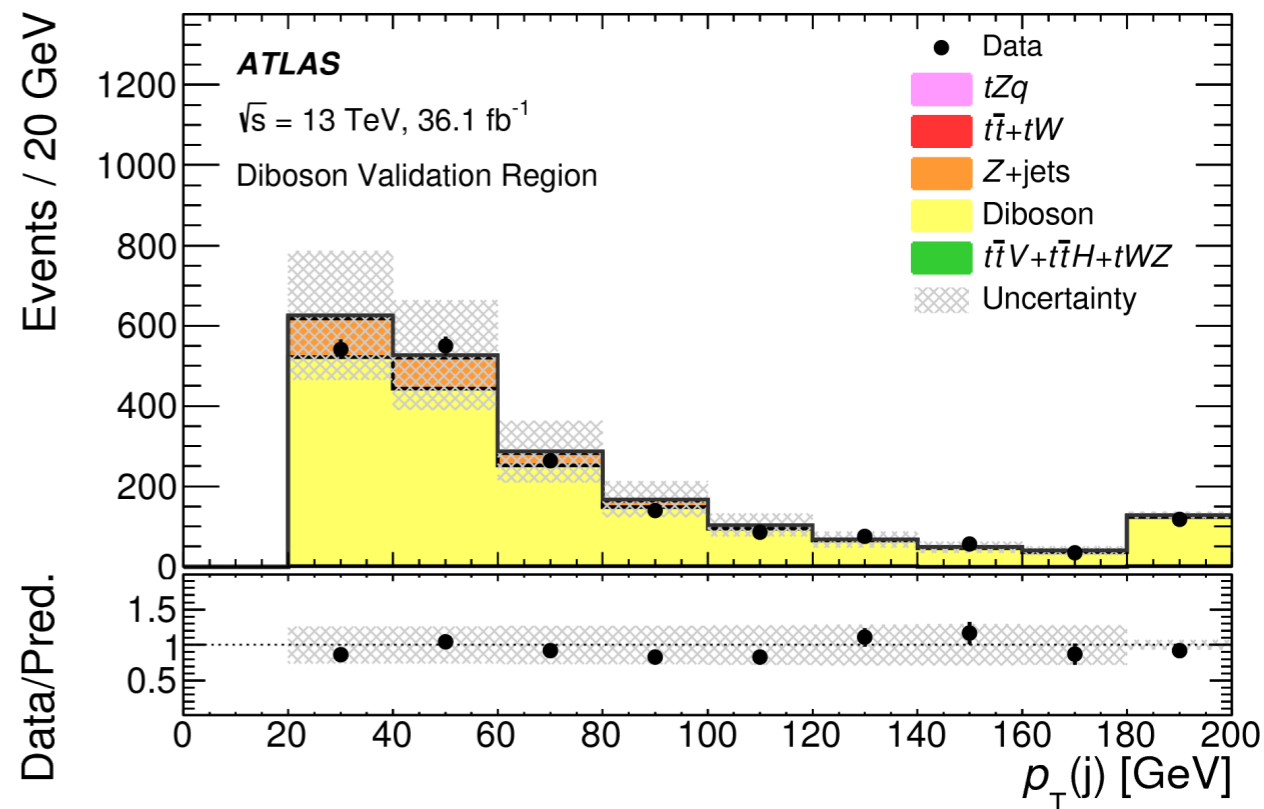
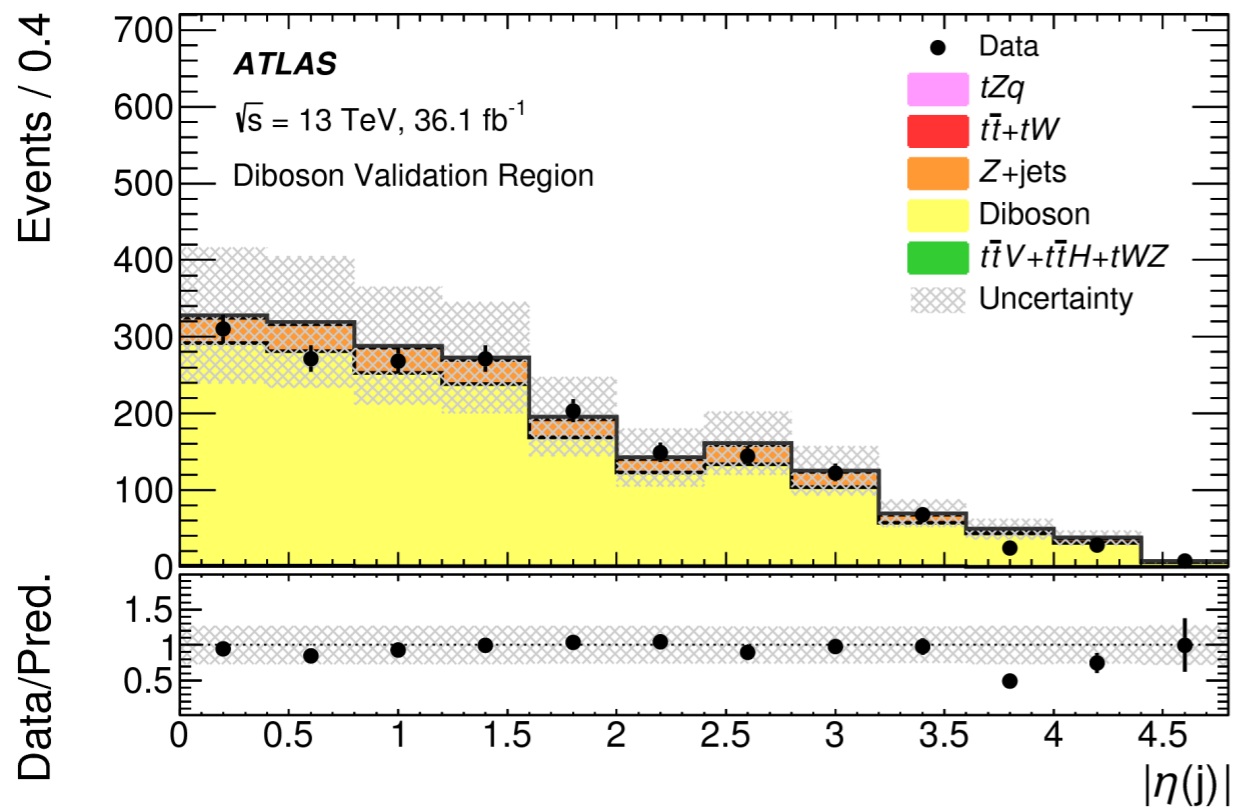


NN input variables

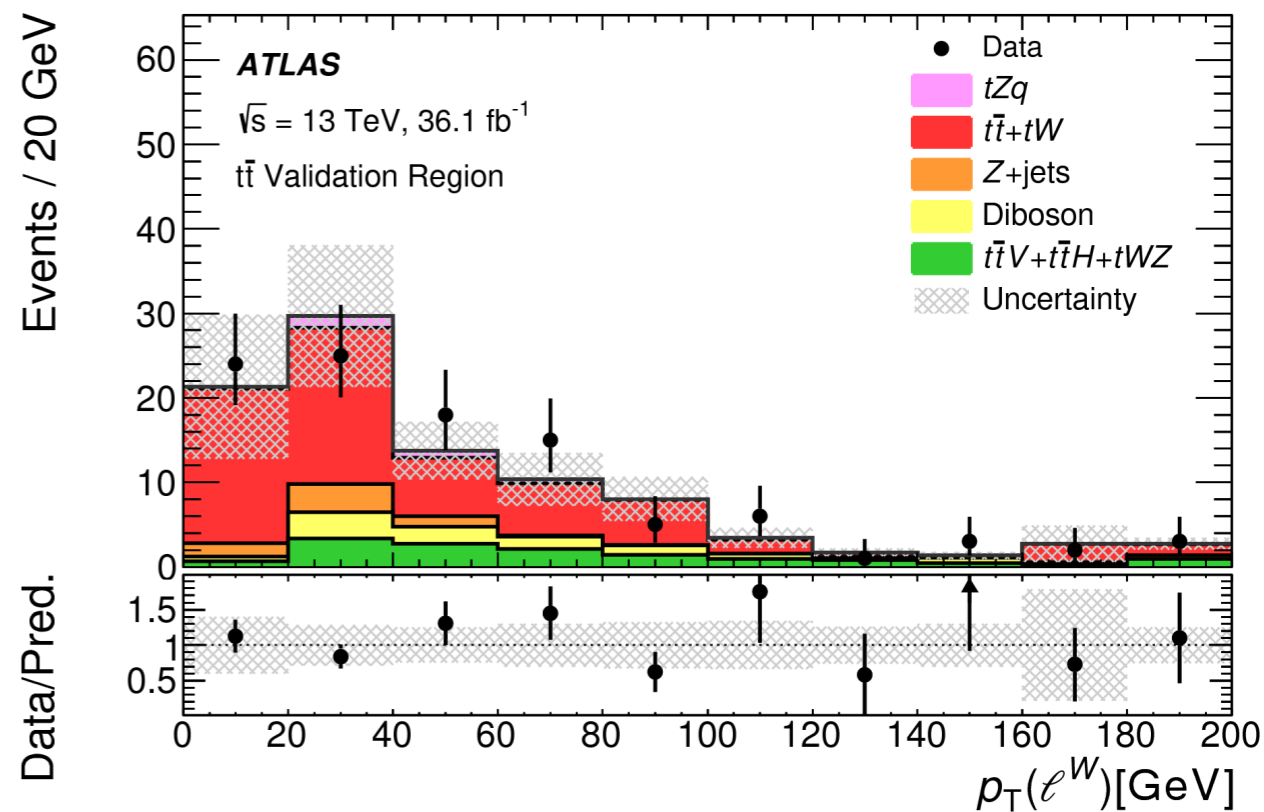
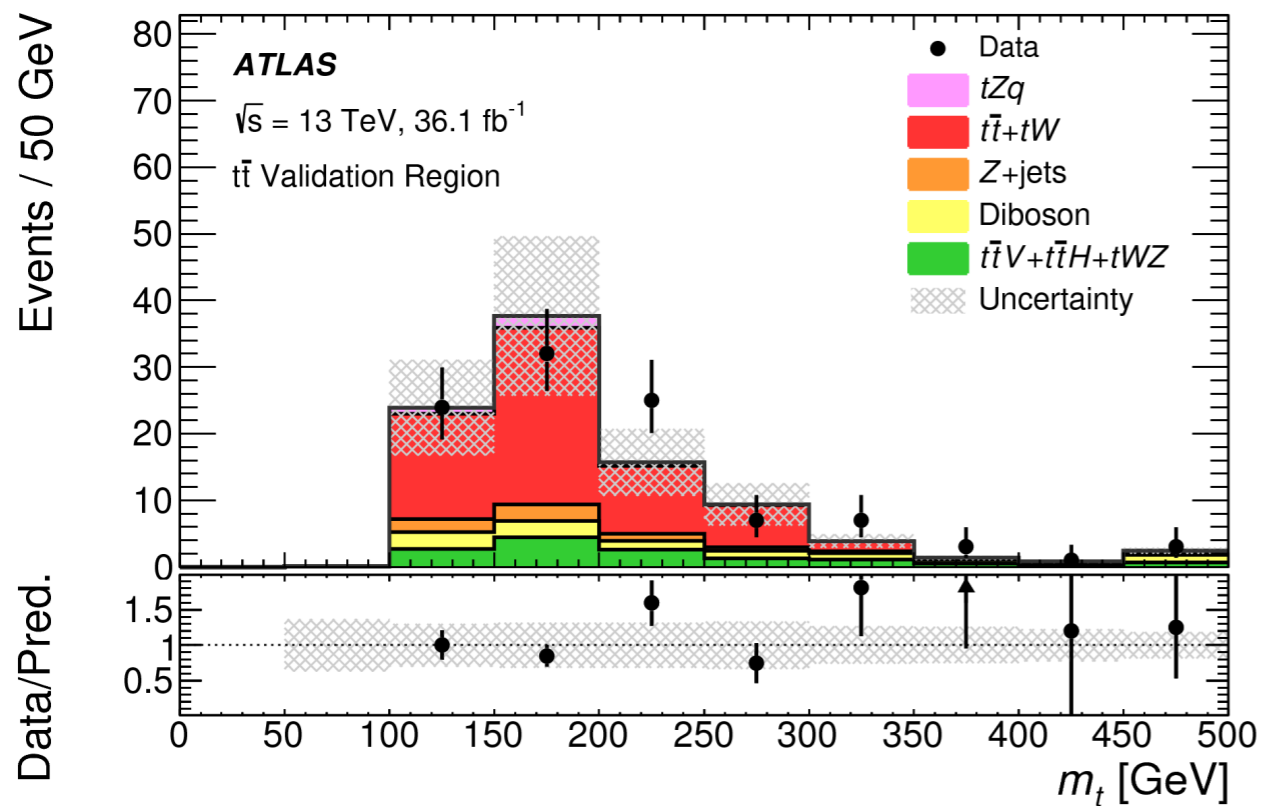
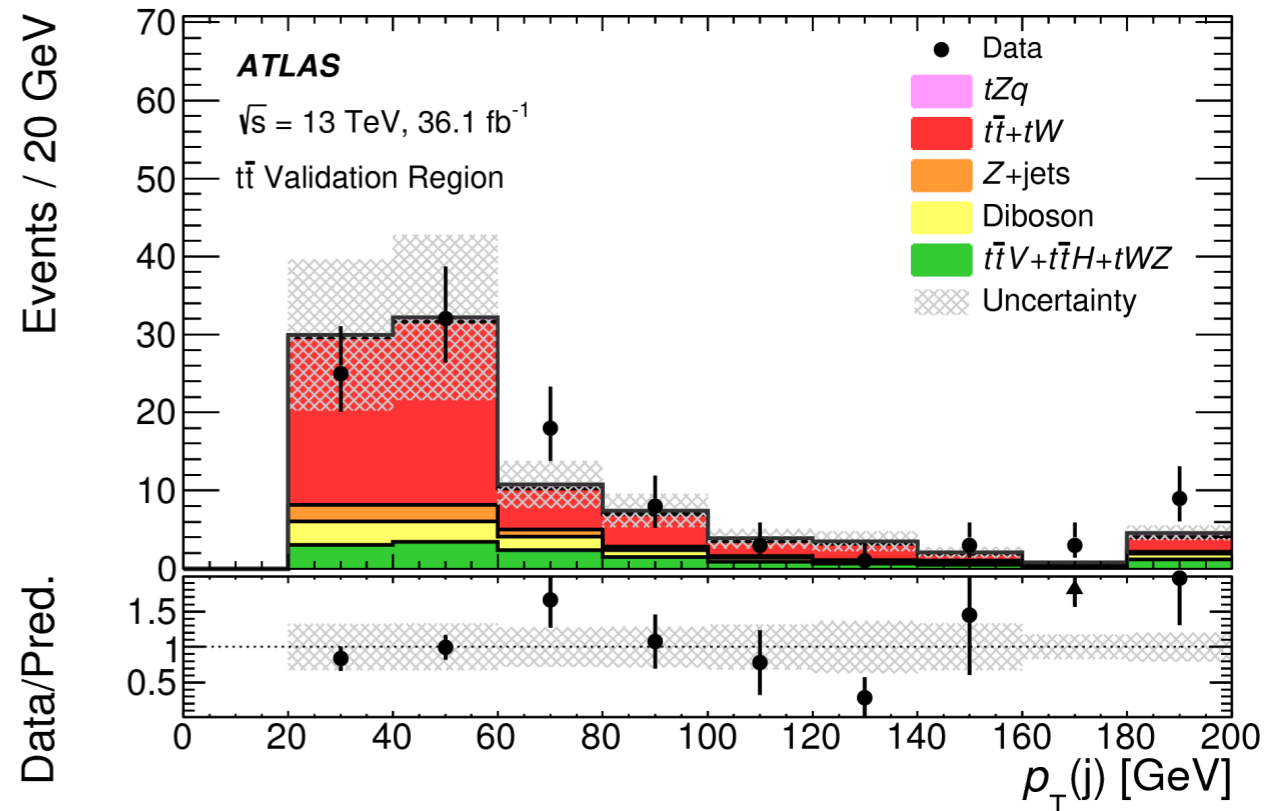
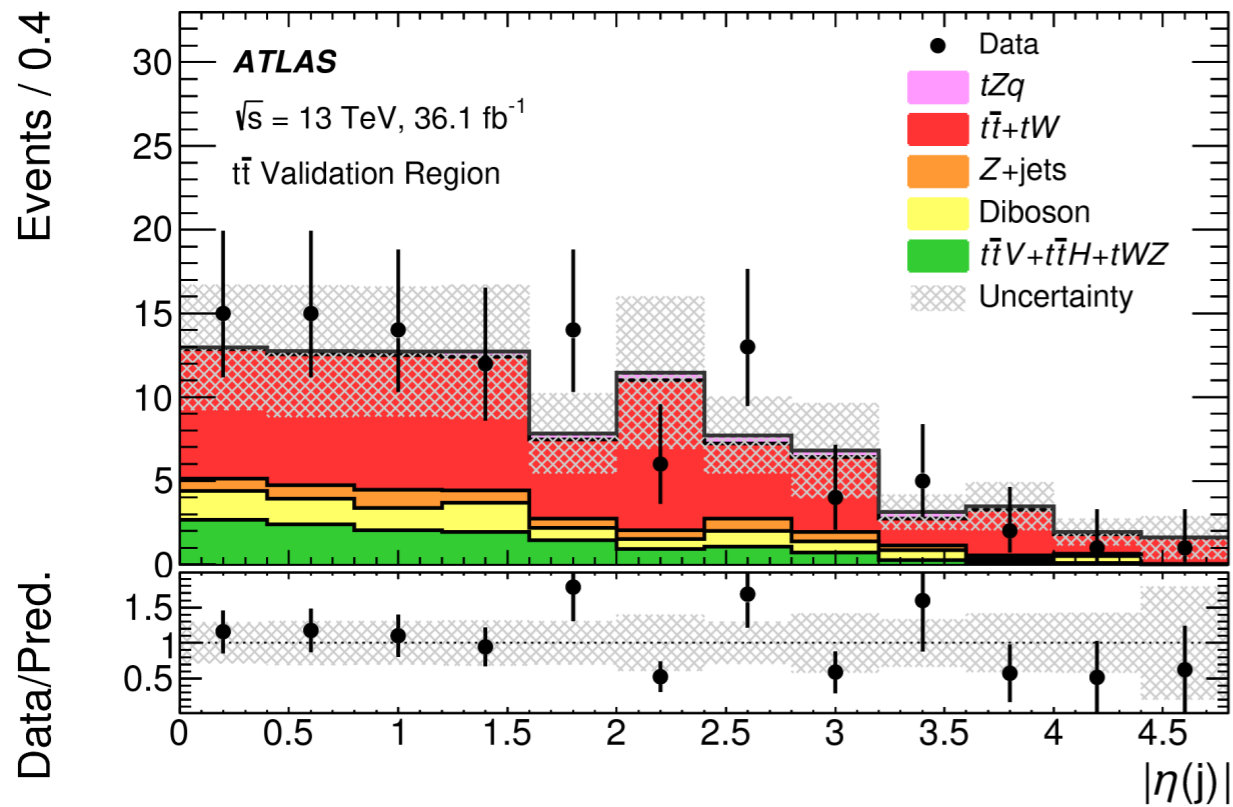
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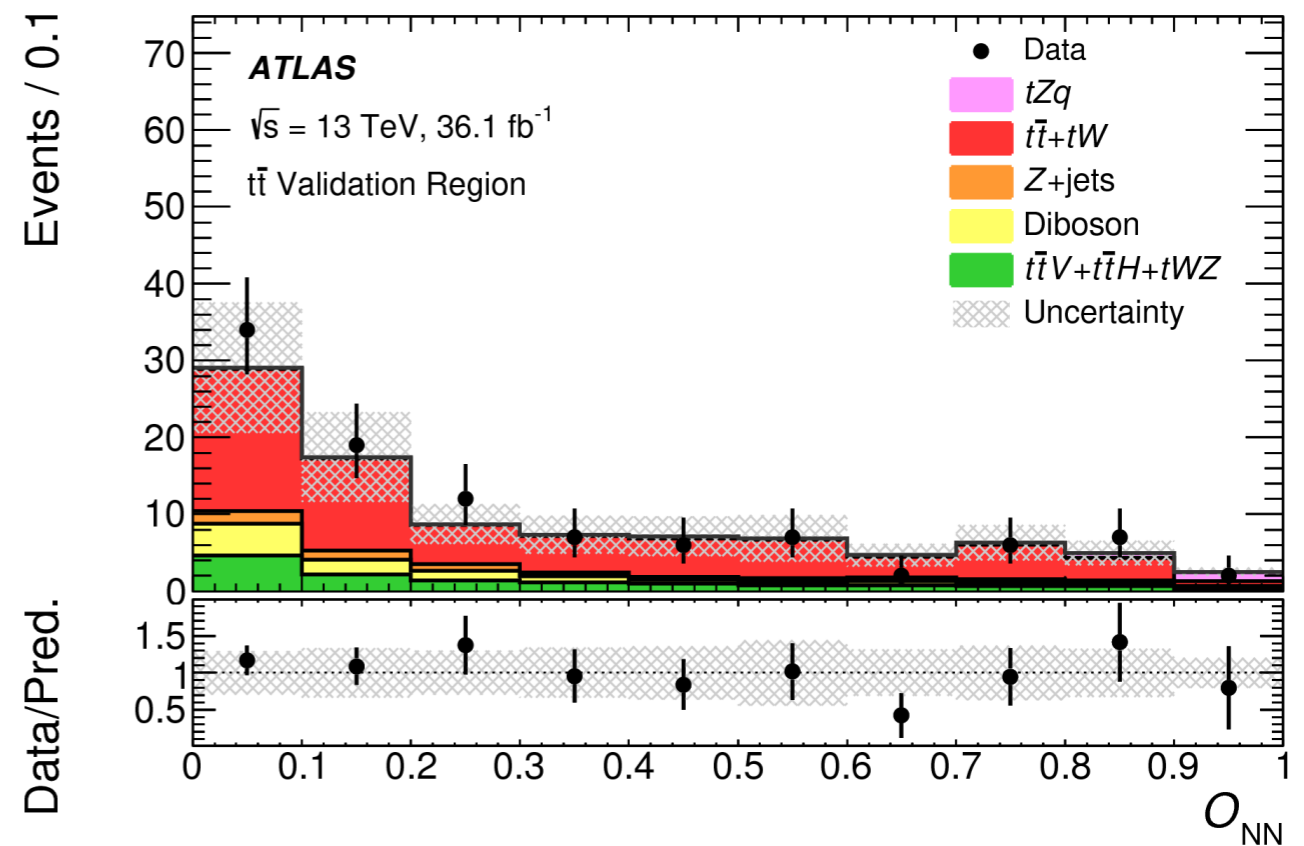
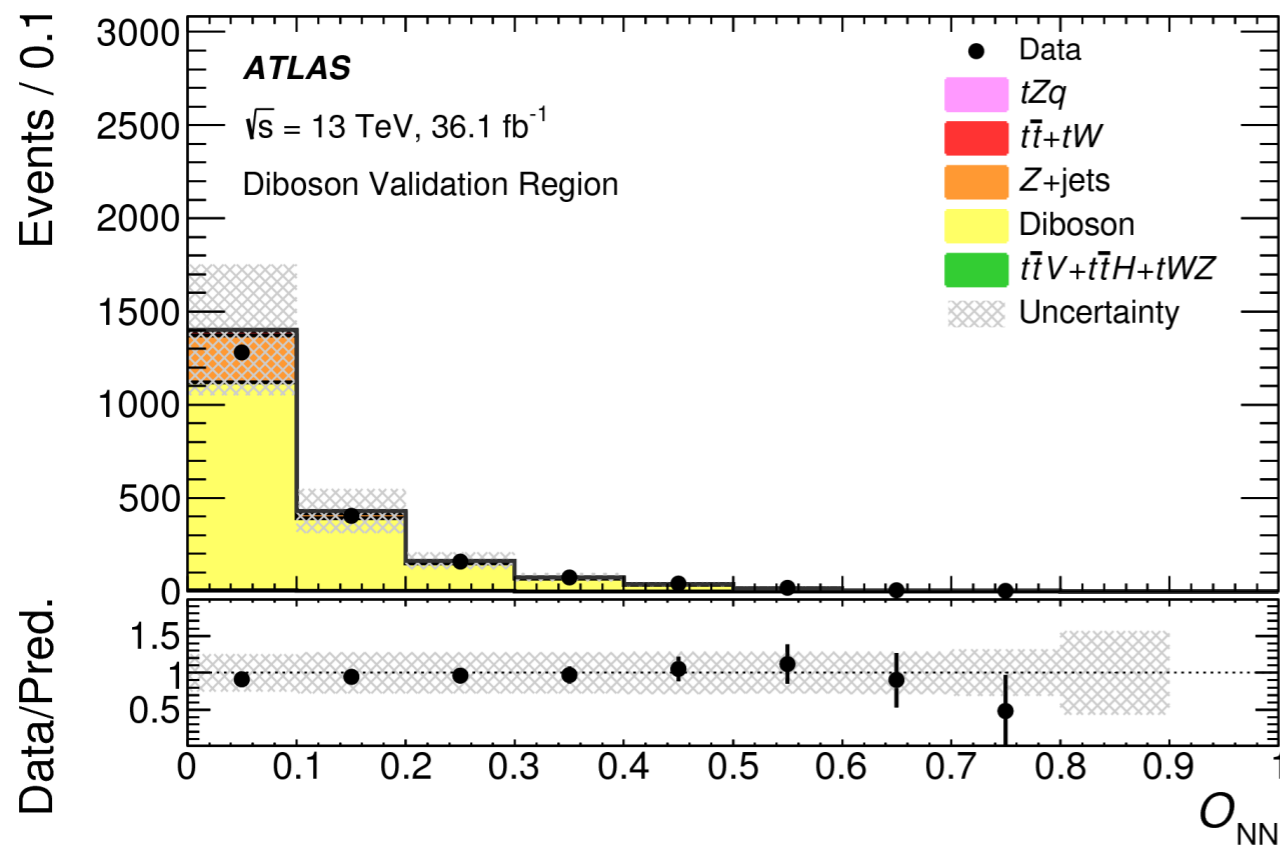
NN input variables - Diboson VR



NN input variables - $t\bar{t}VR$

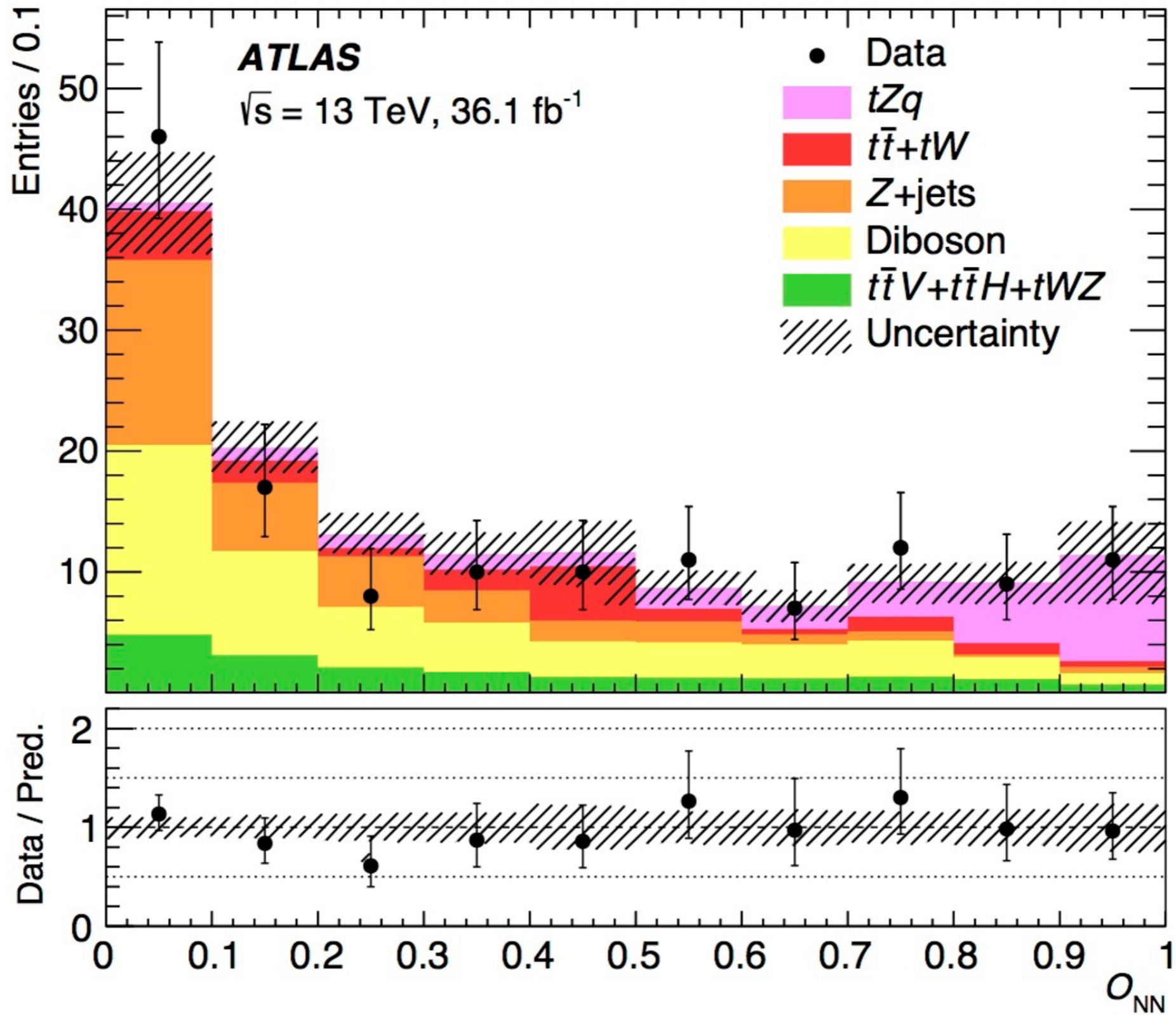


NN output in VRs



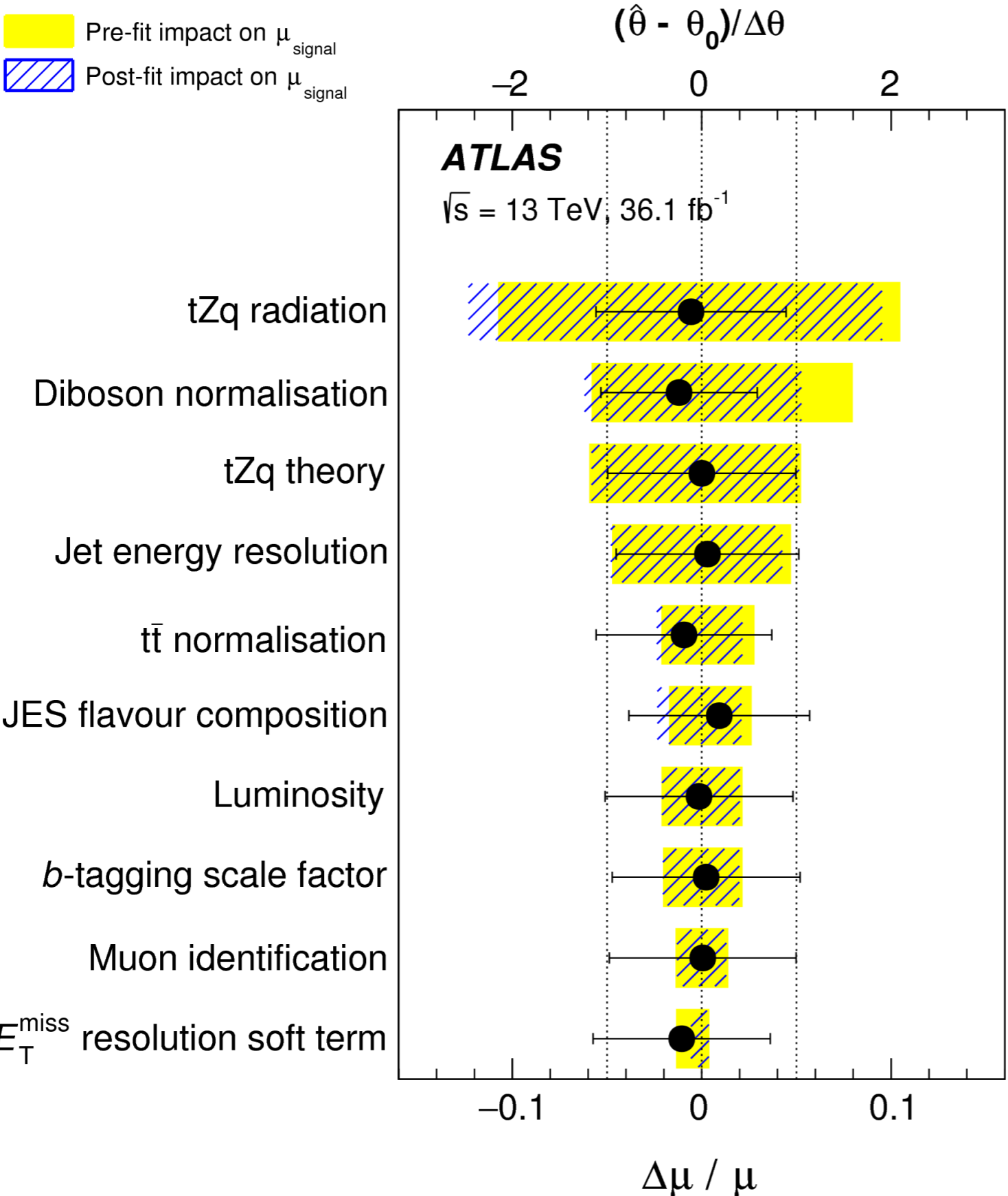
► Very nice confirmation of good modeling of backgrounds, including scale factors, NN input variables, NN output distribution.

NN output



Systematic uncertainties

Pre-fit impact on μ_{signal}
 Post-fit impact on μ_{signal}



- ▶ Object reconstruction and calibration uncertainties
- ▶ Signal PDF and radiation
- ▶ Background normalizations.
- ▶ Luminosity
- ▶ 3.2 % for 2015 and 2016 datasets.

Results

- ▶ Maximum-likelihood fit performed O_{NN} .

- ▶ Extract μ , ratio of the measured signal yield to the NLO SM expectation:

$$0.75 \pm 0.28 \text{ (stat. + syst.)} \pm 0.07 \text{ (th.)}$$

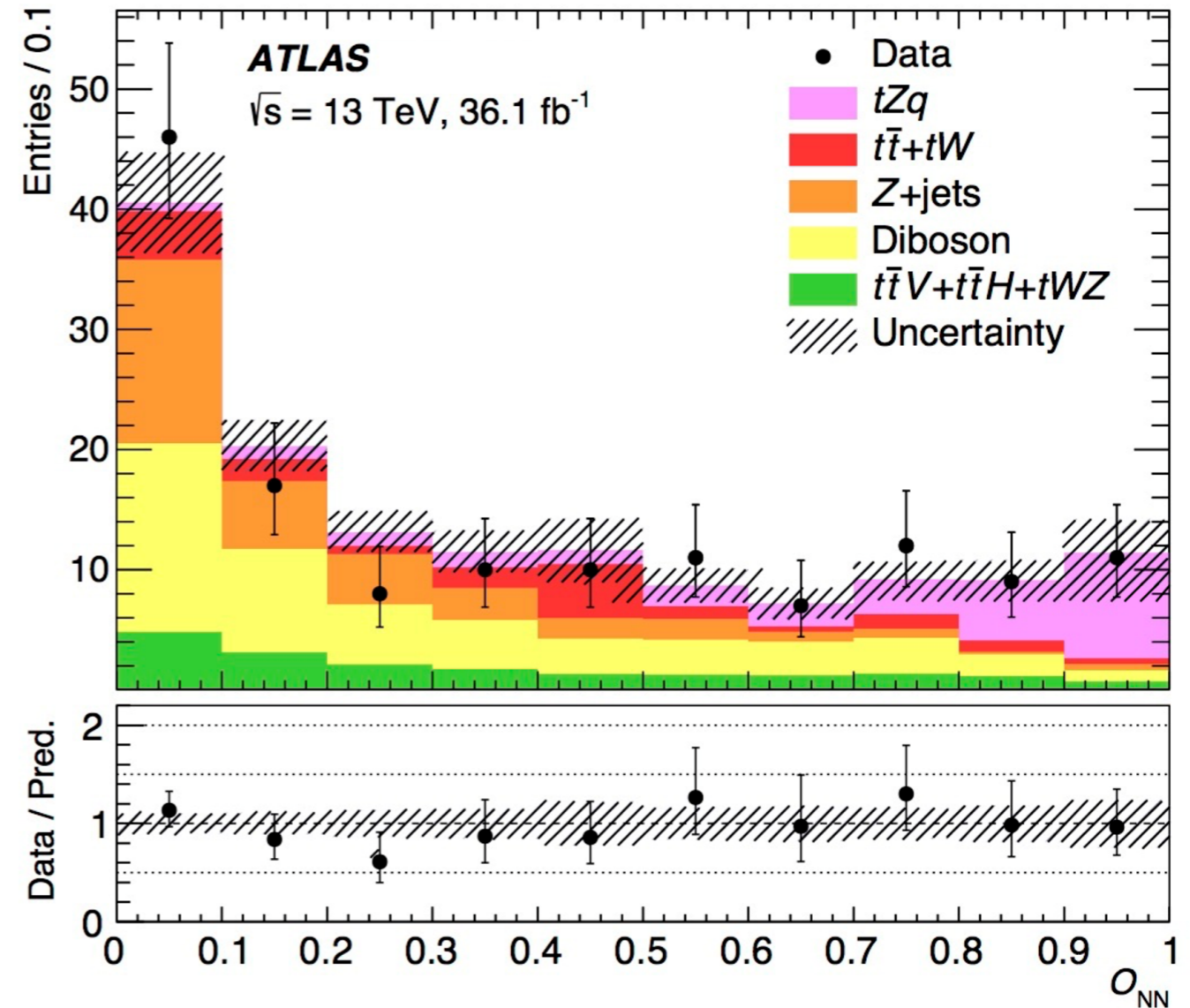
- ▶ Observed (expected) significance of extracted signal:

$$4.2\sigma \text{ (} 5.4\sigma \text{)}$$

- ▶ From μ , obtain cross-section:

$$600 \pm 170 \text{ (stat.)} \pm 140 \text{ (syst.) fb}$$

- ▶ to be compared to the NLO prediction of 800 fb.



Clear evidence of single top production in association with a Z boson in the t-channel.

$p_T(e_1) = 78 \text{ GeV}$
 $\eta(e_1) = 0.16$

$p_T(e_2) = 55 \text{ GeV}$
 $\eta(e_2) = -0.19$

$O_{NN} = 0.93$



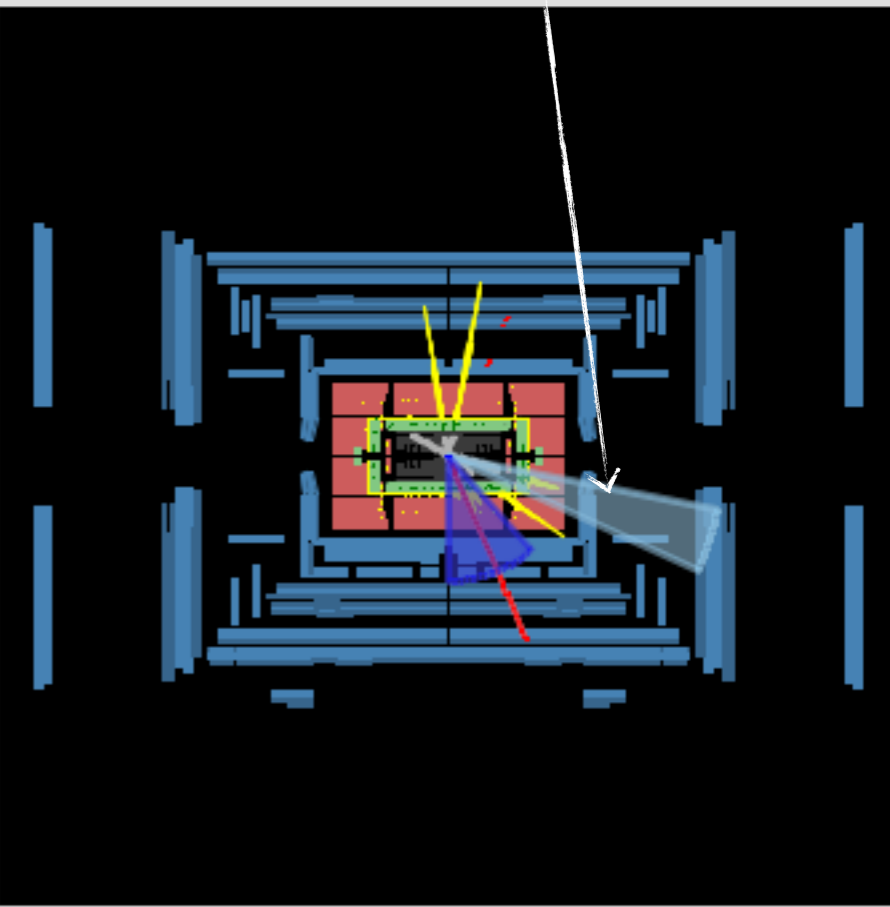
Run Number: 281385, Event Number: 1292162133

Date: 2015-10-10 20:46:27 CEST

$p_T(\text{jet}) = 107 \text{ GeV}$
 $\eta(\text{jet}) = 1.93$

$p_T(\text{b-jet}) = 86 \text{ GeV}$
 $\eta(\text{b-jet}) = 0.40$

$p_T(e_3) = 54 \text{ GeV}$
 $\eta(e_3) = 1.13$



$p_T(\text{b-jet}) = 68 \text{ GeV}$
 $\eta(\text{b-jet}) = -0.03$

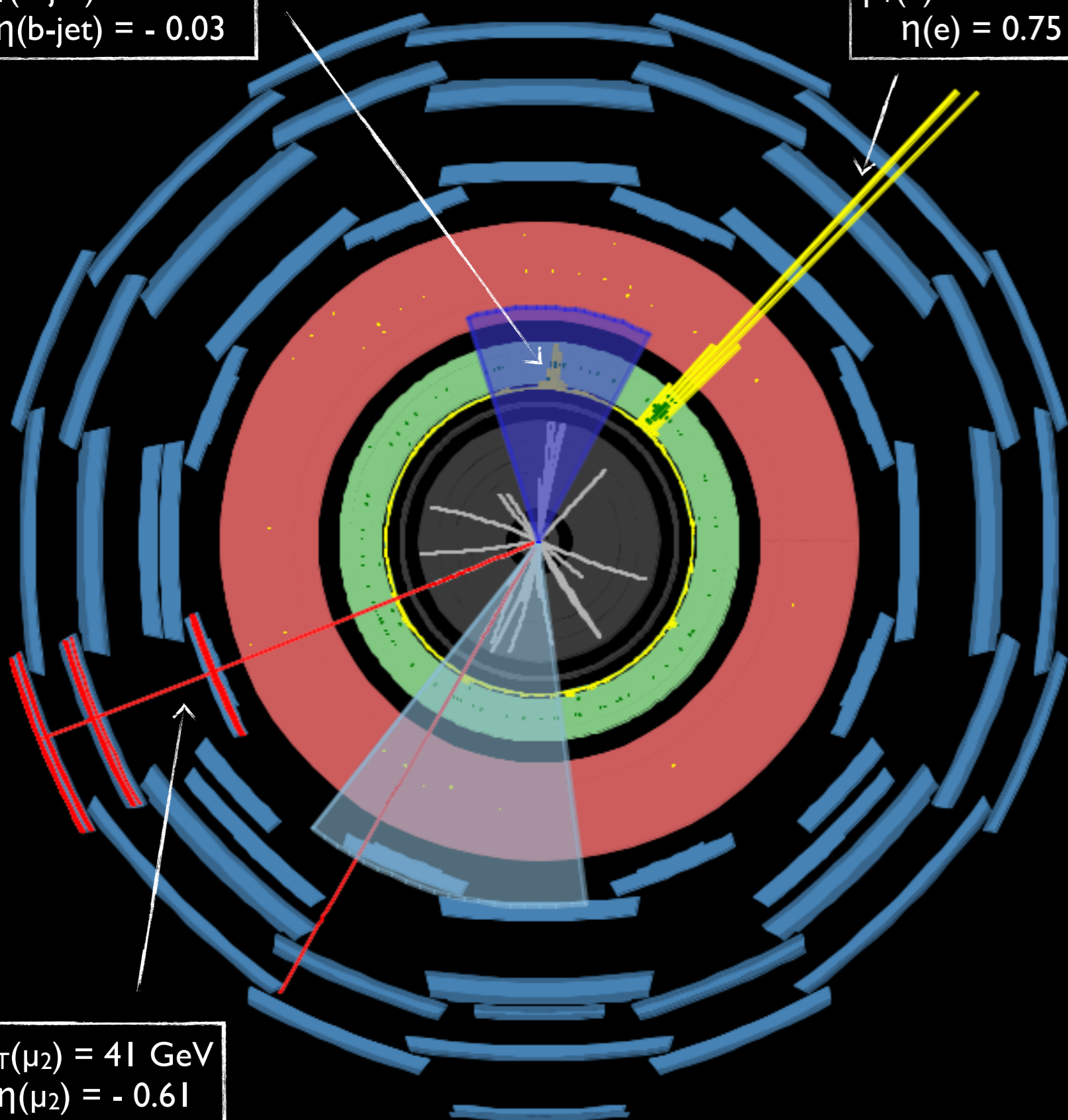
$p_T(e) = 144 \text{ GeV}$
 $\eta(e) = 0.75$

$O_{NN} = 0.94$



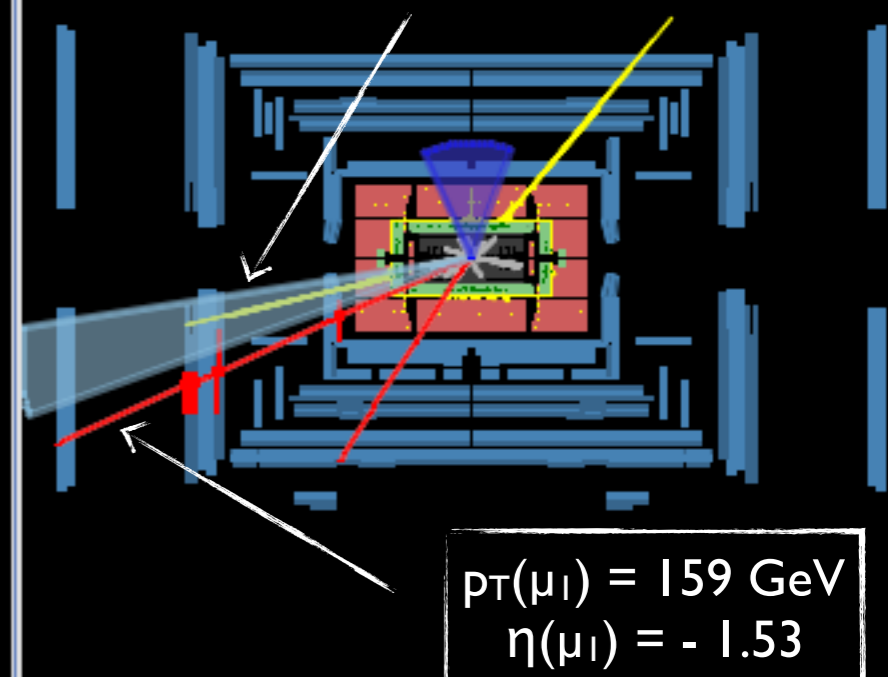
Run Number: 303304, Event Number: 4100335171

Date: 2016-07-05 17:00:07 CEST



$p_T(\mu_2) = 41 \text{ GeV}$
 $\eta(\mu_2) = -0.61$

$p_T(\text{jet}) = 252 \text{ GeV}$
 $\eta(\text{jet}) = -2.16$



$p_T(\mu_1) = 159 \text{ GeV}$
 $\eta(\mu_1) = -1.53$

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 - ▶ Results
- ▶ **ATLAS & CMS comparison**
- ▶ Conclusions and outlook



CMS

ALICE

ATLAS

LHCb





CMS

LHCb

ALICE



ATLAS





CMS



LHCb



ALICE



ATLAS

ATLAS & CMS

□ TOPQ-2016-14

ATLAS

(submitted to PLB)

□ 36.1 fb^{-1}

□ Significance obs(exp):
 $4.2 (5.4)\sigma$

□ $\mu = 0.75$
 ± 0.21 (stat.)
 ± 0.17 (syst.)
 ± 0.05 (th.)

□ $\sigma(tZq) = 600$
 ± 170 (stat.)
 ± 140 (syst.) fb

■ CMS-PAS-TOP-16-020

CMS

■ 35.9 fb^{-1}

■ Significance obs(exp):
 $3.7 (3.1)\sigma$

■ $\mu = 1.31$
 $+0.35-0.33$ (stat.)
 $+0.31-0.25$ (syst.)

■ $\sigma(tllq) = 123$
 $+33-31$ (stat.)
 $+29-23$ (syst.)

- ▶ Where does the difference between the cross sections come from?
- ▶ Is there any significant difference in the analysis strategy?

Signal samples & theory cross section

□ Signal MC: LO rescaled to NLO.

□ Theory cross section:

□ Z boson is forced to be on shell,

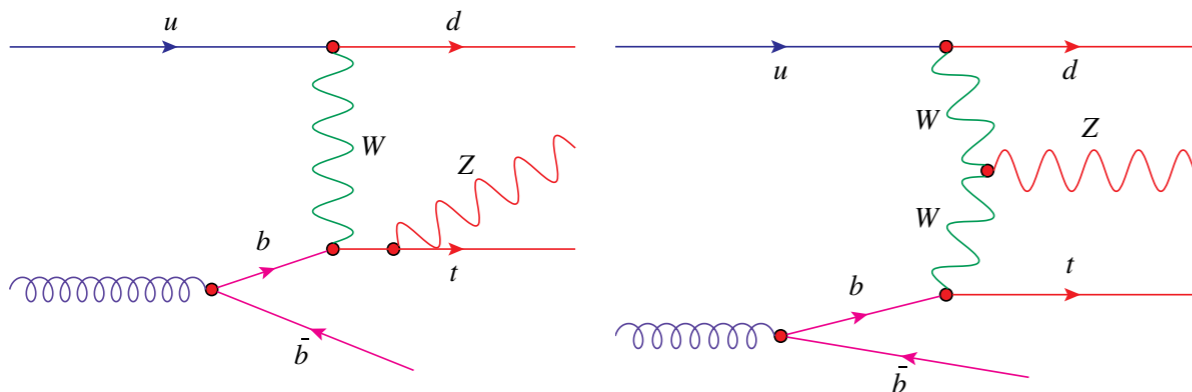
□ no cuts are applied,

□ 4-flavour scheme.

□ $\sigma_{\text{NLO}}(\text{tZq}) = 800 \text{ fb}$

□ $\pm 6/7\%$ scale

ATLAS



▶ Tau leptonic decays included.

▶ Different scale choice between ATLAS and CMS.

▶ Theory paper <https://arxiv.org/abs/1302.3856>

▶ $\sigma_{\text{NLO}}(\text{tZq}) \sim 820 \text{ fb}$.

■ Signal MC: NLO.

■ Theory cross section:

■ Z boson can be off shell/ γ^* is also included,

■ $m_{\parallel} > 30 \text{ GeV}$,

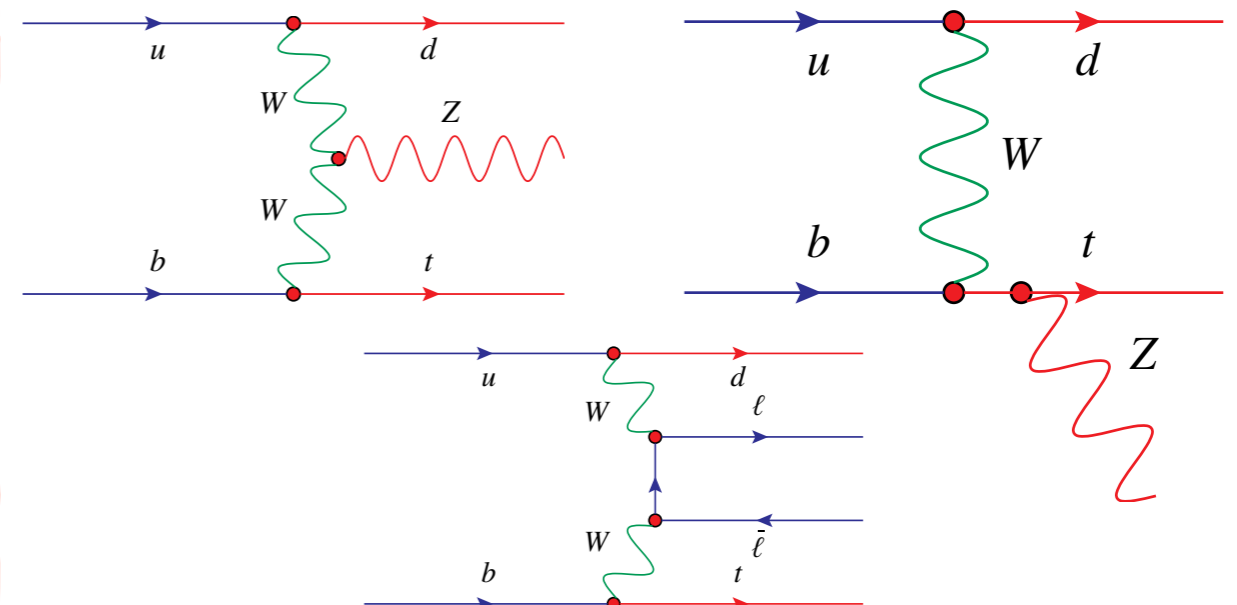
■ 5-flavour scheme (4FS for MC generation).

CMS

■ $\sigma_{\text{NLO}}(\text{tllq}) = 94 \text{ fb}$

■ $\pm 2\%$ scale

■ $\pm 2.5\%$ PDF



Event selection

□ Trigger

- single lepton triggers

ATLAS

□ Leptons

- exactly three
- $p_T(\text{lep}) > 28/25/15 \text{ GeV}$
- ≥ 1 OSSF pair
- $|m_{ll} - m_Z| < 10 \text{ GeV}$

□ Jets

- exactly two
- $p_T(\text{jet}) > 30 \text{ GeV}$
- 1 b-tagged (77% WP, 1% mistag)

- $m_T(W) > 20 \text{ GeV}$

■ Trigger

- OR of 1/2/3 lepton triggers

CMS

■ Leptons

- exactly three
- $p_T(\text{lep}) > 25 \text{ GeV}$
- ≥ 1 OSSF pair
- $|m_{ll} - m_Z| < 15 \text{ GeV}$

■ Jets

- two or three
- $p_T(\text{jet}) > 30 \text{ GeV}$
- 1 b-tagged (83% WP, 10% mistag)

▶ TRIGGER & LEPTON p_T

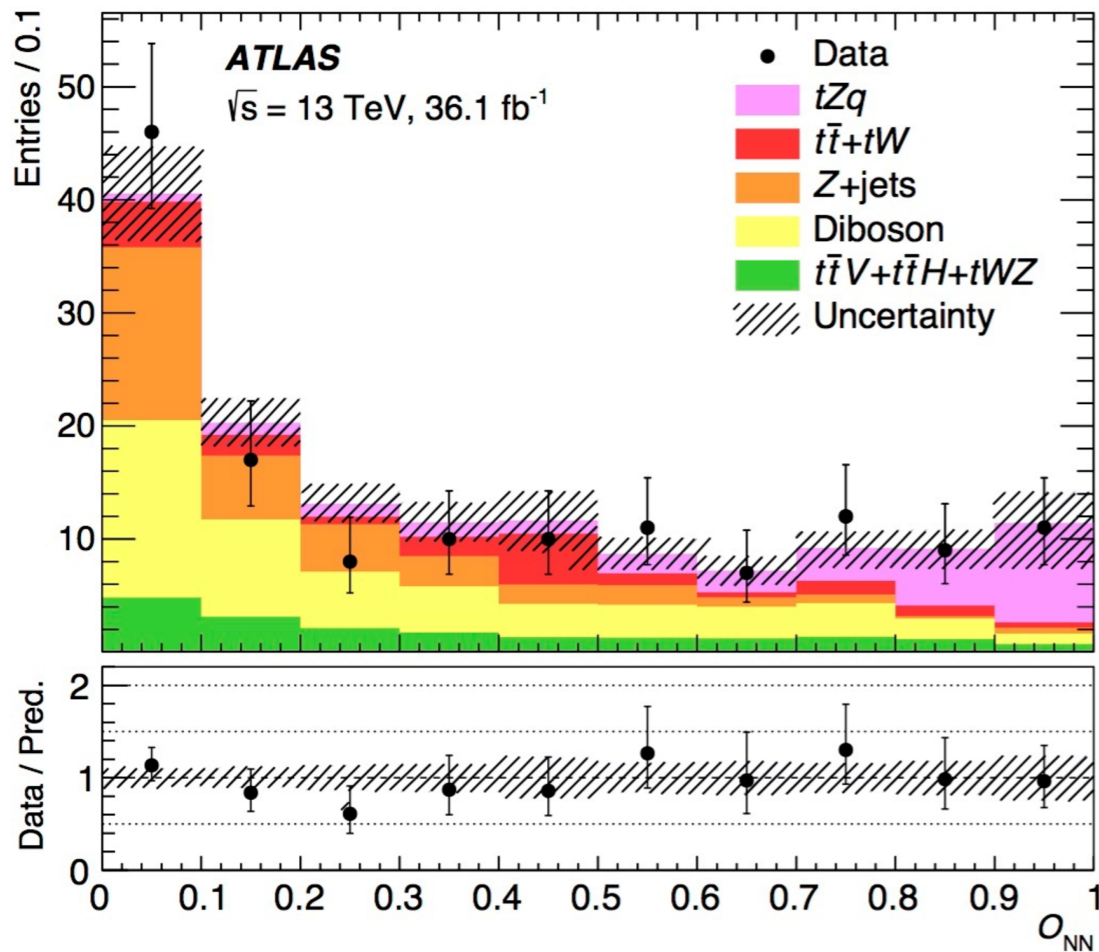
- ▶ Keeping the 3rd lepton p_T lower increases the Z+jet contamination, giving a better handle on this bkg when training the NN.

▶ n_{jets}

- ▶ Connected with LO vs NLO signal MC (LO does not take into account large fraction of signal in the 3 jets bin)
- ▶ Having 3 jets might create ambiguity in defining the forward jet.

▶ b-tagging WP

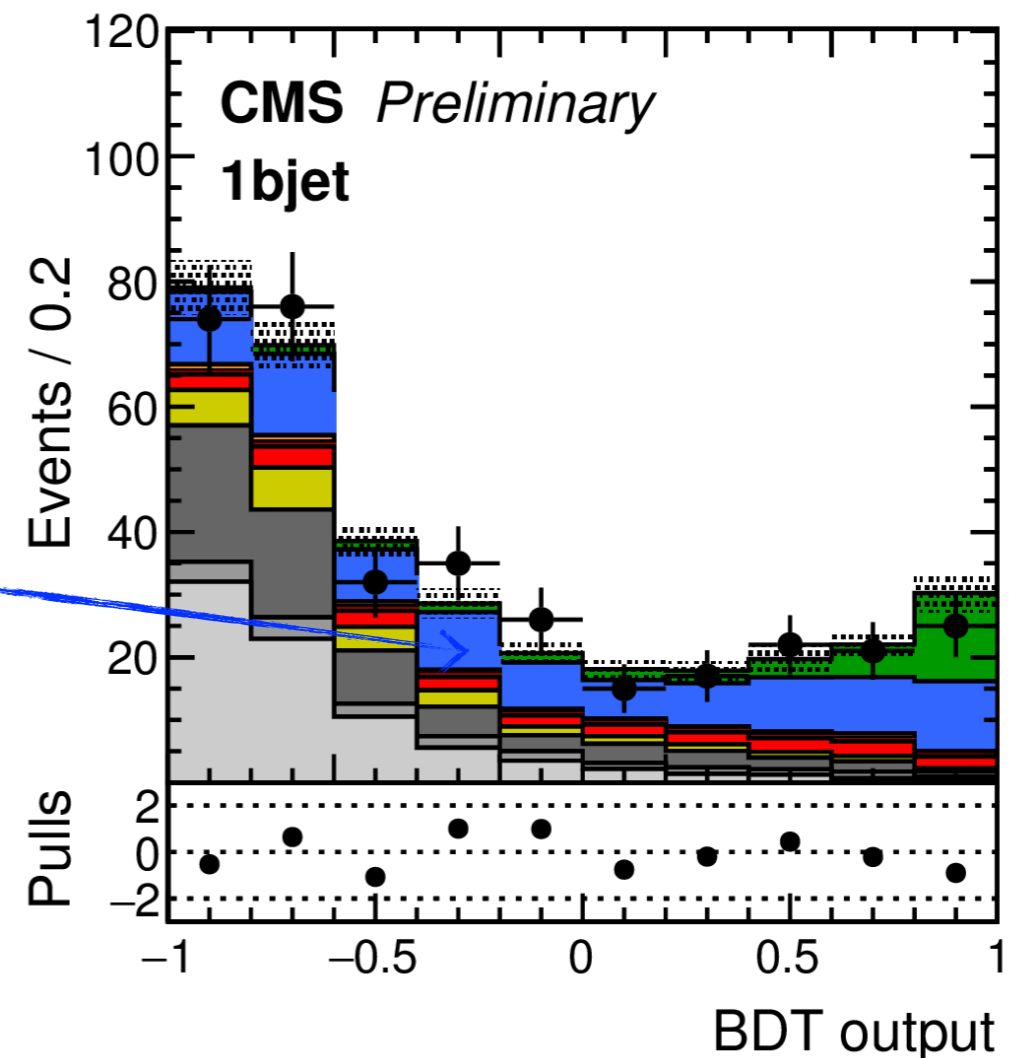
Background estimation



- ▶ Signal $\rightarrow tZq = tZq$
- ▶ Fakes $\rightarrow t\bar{t} + tW + Z+jets = \text{NPL}$
- ▶ Diboson $\rightarrow \text{Diboson} = ZZ + WZ+c/b/light$
- ▶ top $\rightarrow t\bar{t}V + t\bar{t}H + tWZ = tWZ + t\bar{t}H + t\bar{t}W + t\bar{t}Z$

	ATLAS		CMS	
Signal	26	18%	32	9%
Fakes	51	35%	91	26%
Diboson	48	33%	186	54%
top	19	13%	35	10%

- ▶ Similar multivariate approach.
 - ▶ ATLAS uses NN,
 - ▶ CMS uses Boosted Decision Trees (BDT).
 - ▶ Training w/o fake estimation included.
- ▶ Different fitting.
 - ▶ ATLAS uses only 1 SR.
 - ▶ CMS uses one SR per channel (eee, eeμ, eμμ and μμμ) and control regions to constrain backgrounds.



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- ▶ ATLAS & CMS comparison
- ▶ **Conclusions and outlook**

Conclusions and outlook

► Clear evidence of single top production in association with a Z boson in the t-channel.

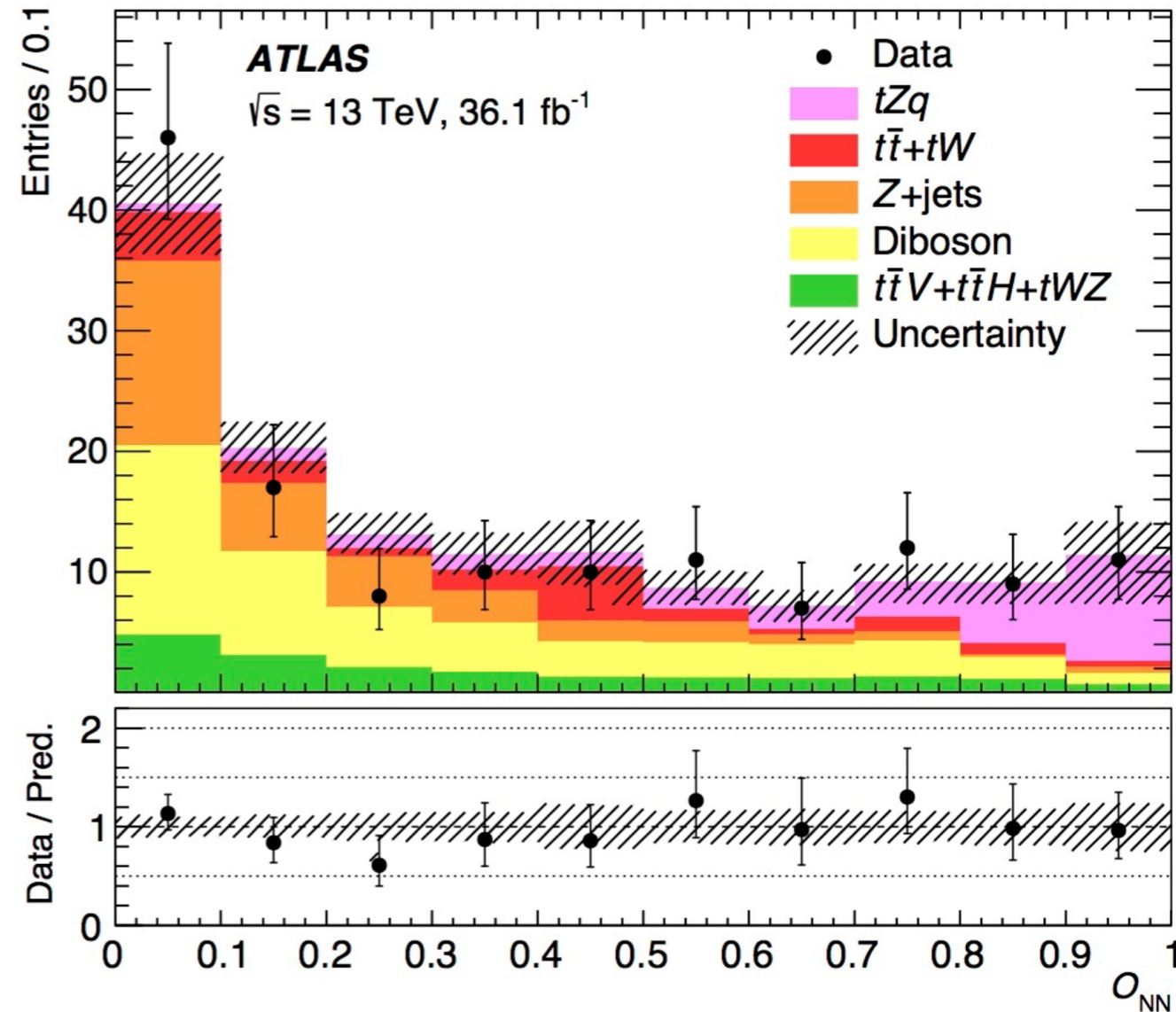
► Observed (expected) significance of extracted signal:

4.2σ (5.4σ)

► Measured cross-section:

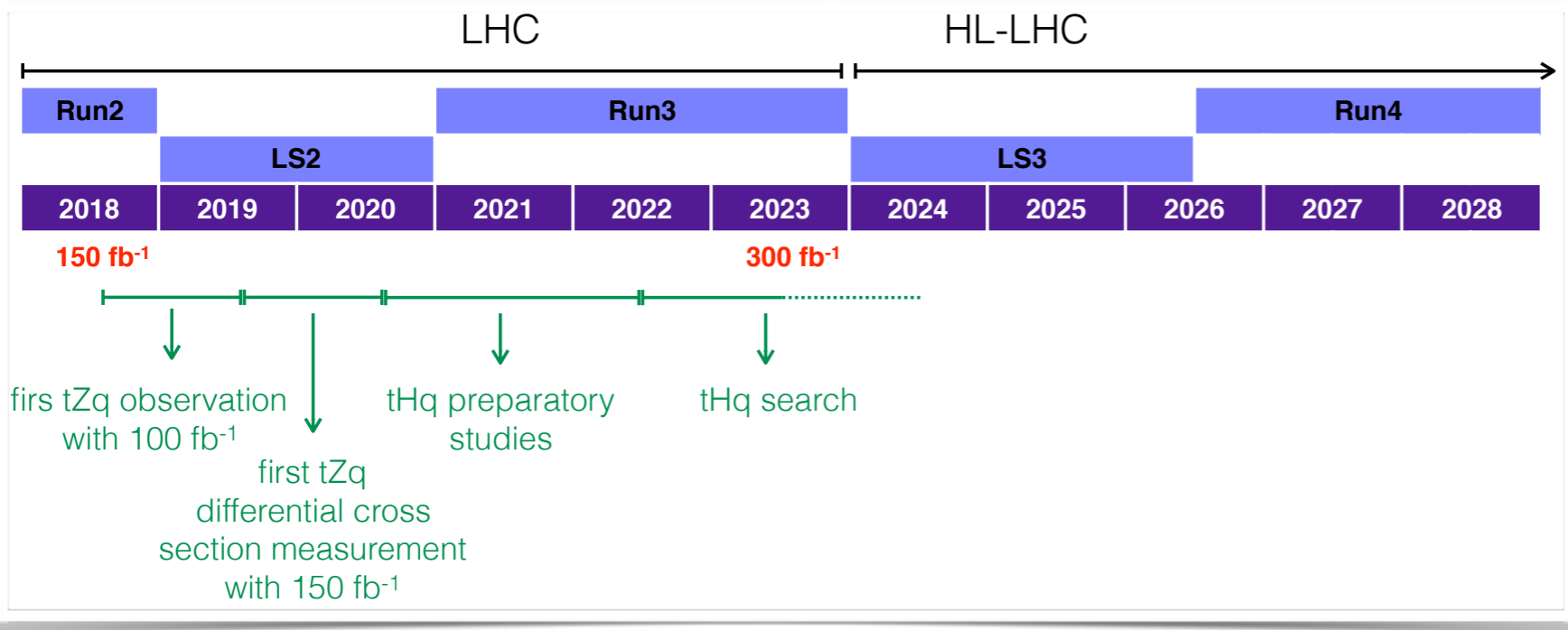
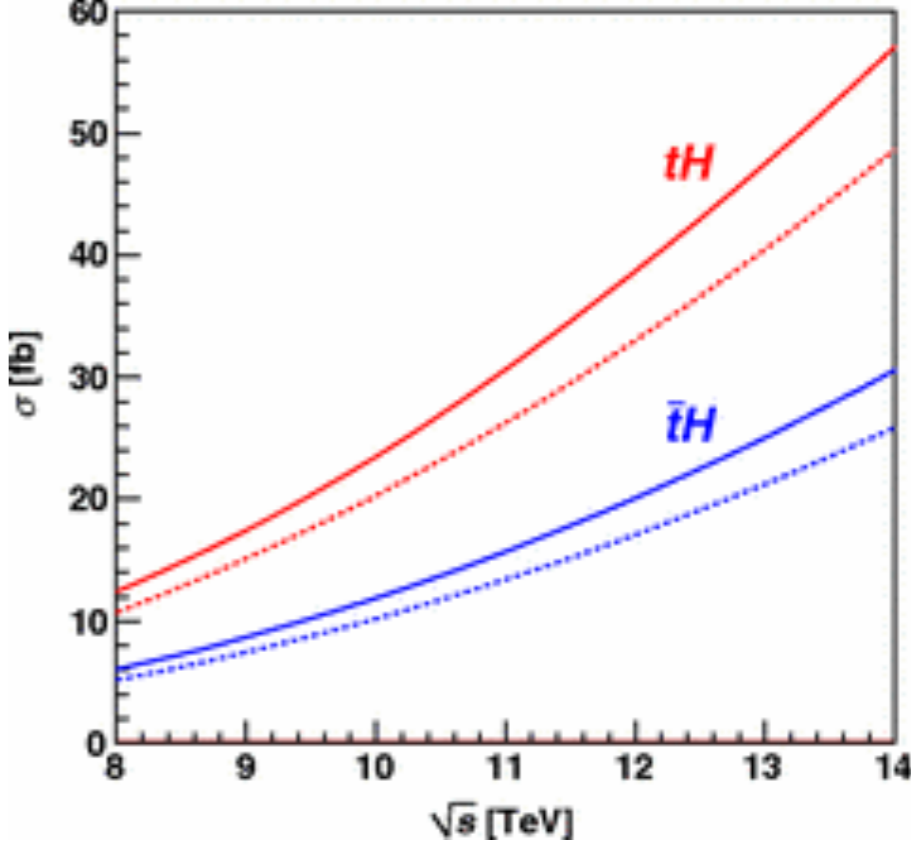
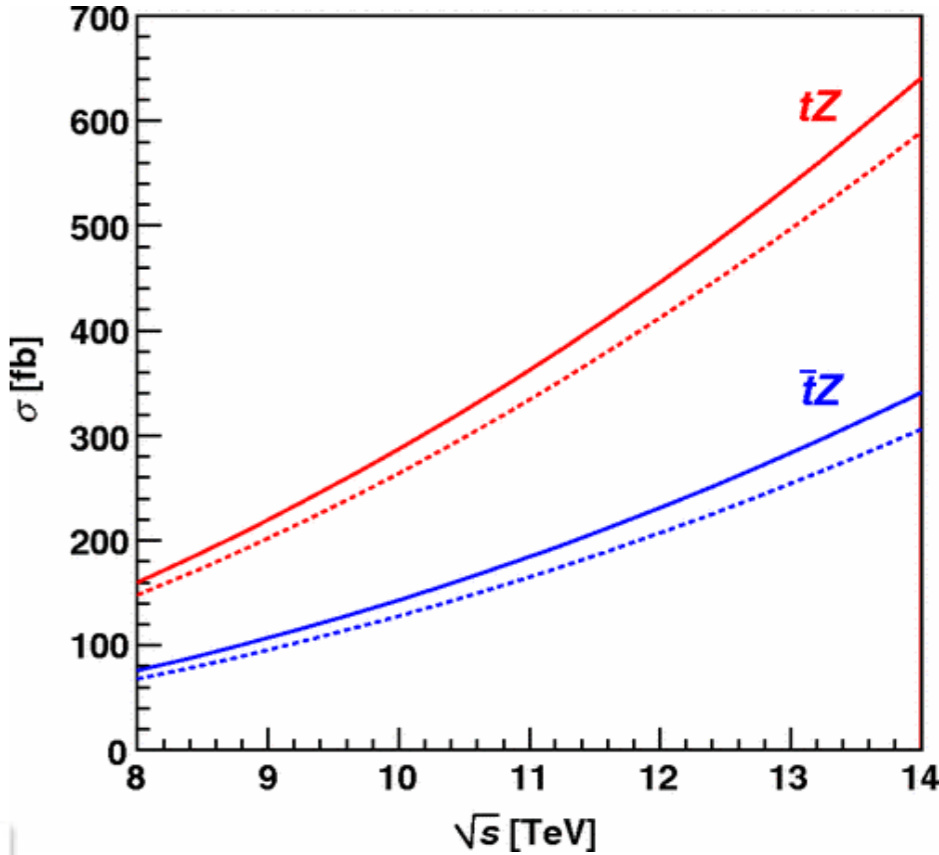
600 ± 170 (stat.) ± 140 (syst.) fb

to be compared to the NLO prediction of 800 fb.



Conclusions and outlook

- ▶ Evidence is just the beginning of a long journey.
- ▶ The LHC Run2&3 and the HL-LHC will give the opportunity to study the top sector in more detail.
 - ▶ tZq observation with 100 fb^{-1} .
 - ▶ Possibility to look for the production of a single top in association with a Higgs boson with HL-LHC data.



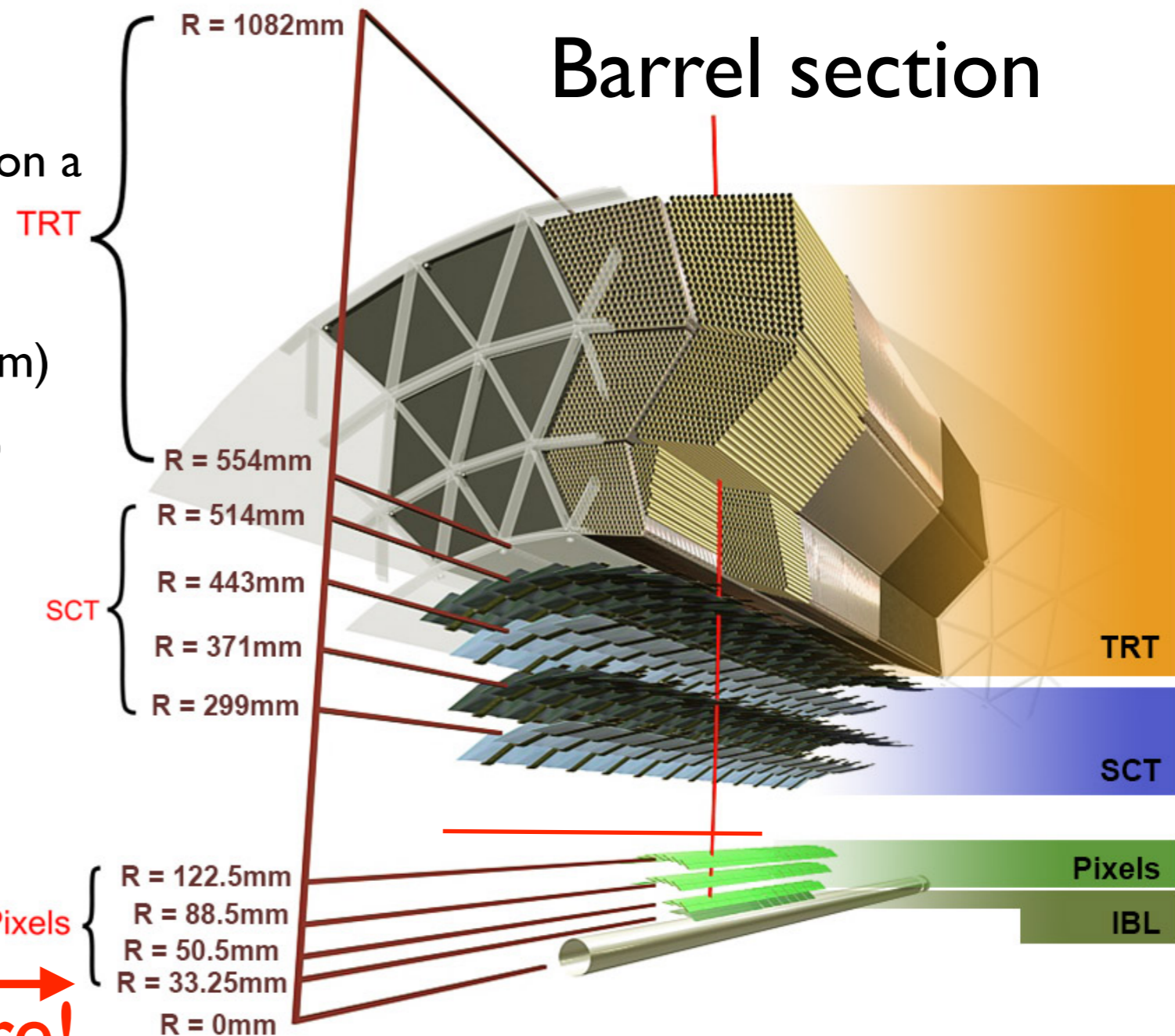
Stay tuned for new interesting results!

from [10.1103/PhysRevD.87.114006](https://arxiv.org/abs/10.1103/PhysRevD.87.114006)

BackUp

Pixel Detector Upgrade

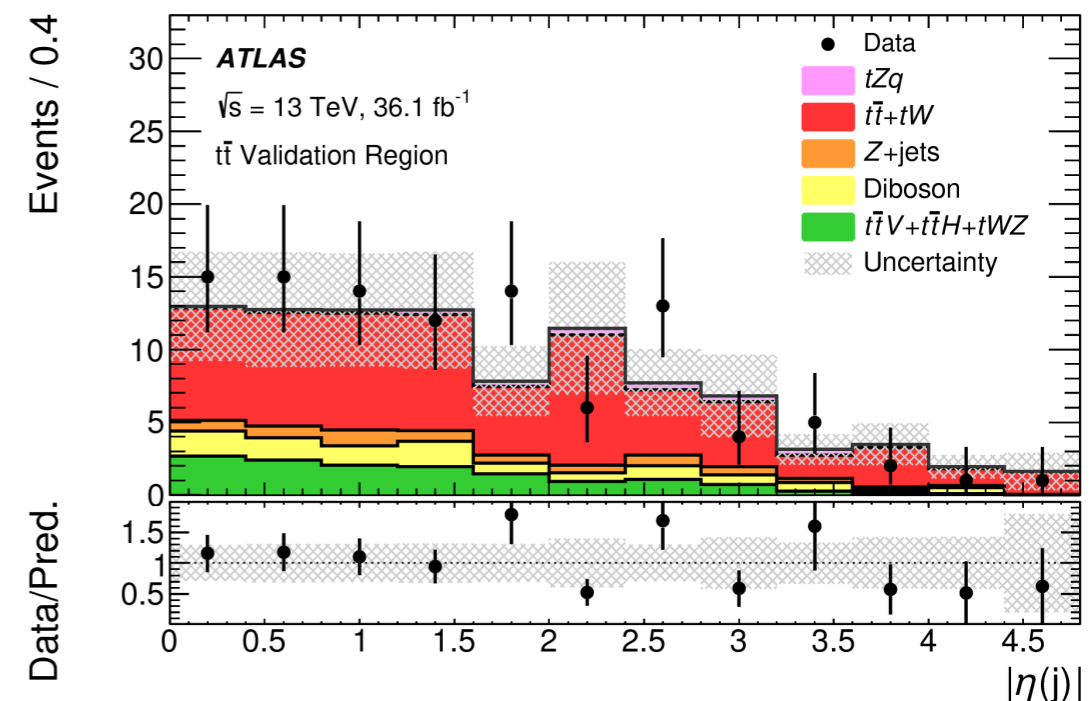
- The ATLAS Inner Detector is made of three sub-detectors:
 - **Silicon Pixel**, **Silicon Strip** and **TRT** (Drift Tubes)
- A new innermost layer (IBL), mounted on a narrower beam pipe, was installed in ATLAS in May 2014
- Smaller pixel size (50x250 vs 50x400 μm)
- Closer to interaction region ($R \sim 3.3\text{cm}$)
- Significantly more radiation hard
- $H \rightarrow b\bar{b}$ primary physics motivation for the new detector!



Background estimation - $t\bar{t}$

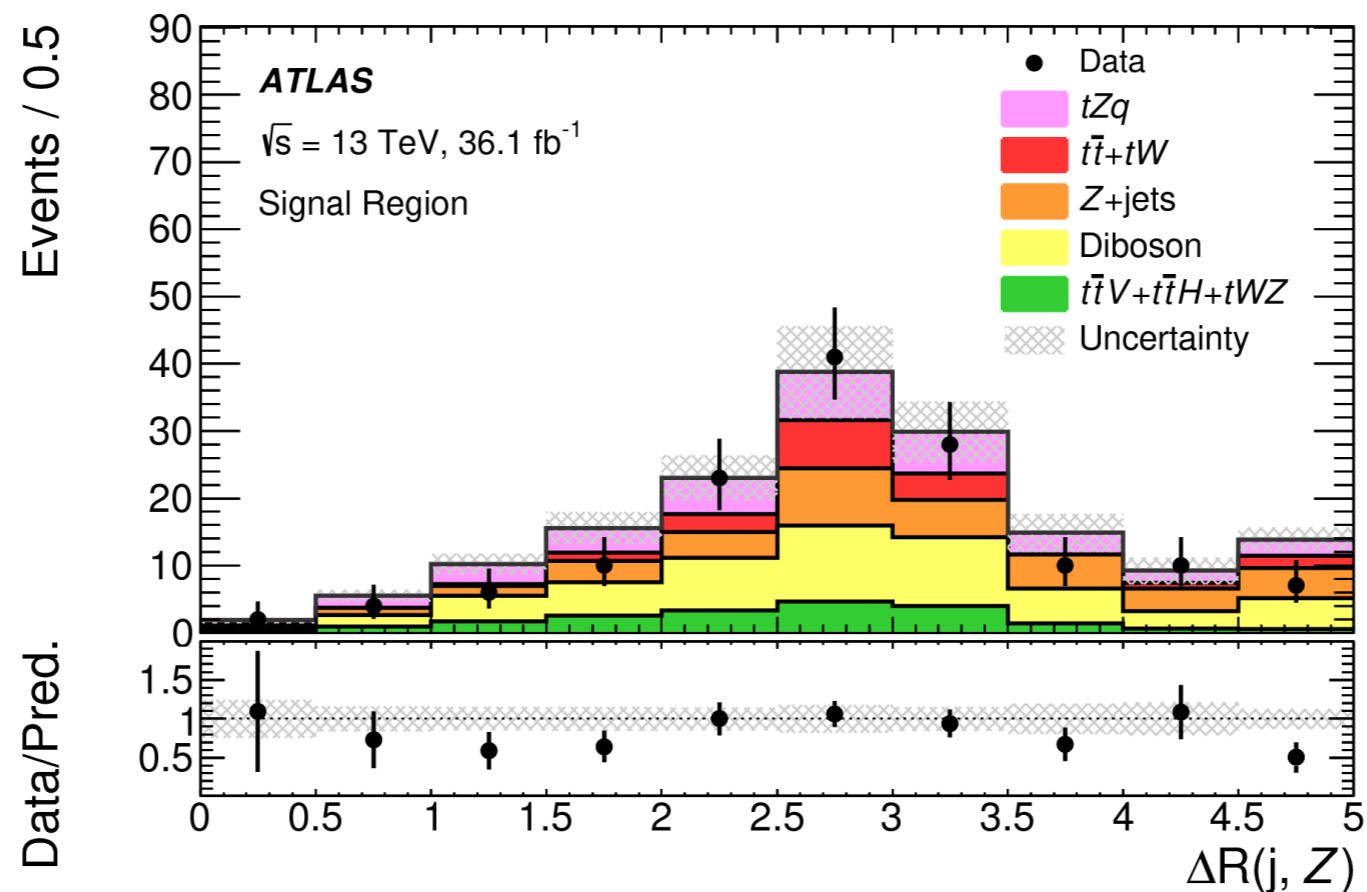
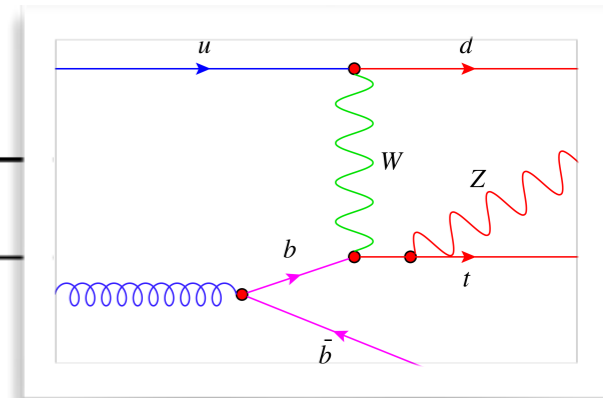
- ▶ Normalisation corrected using scale factor derived in $t\bar{t}$ VR (OSOF, to reduce Z+jets contamination).
- ▶ Average of various scale factors obtained from different dilepton invariant mass cuts.
- ▶ Uncertainty on scale factor from:
 - ▶ variation of the m_{ll} requirement,
 - ▶ statistical uncertainty of the sample.

$$SF_{t\bar{t}} = 1.21 \pm 0.51$$



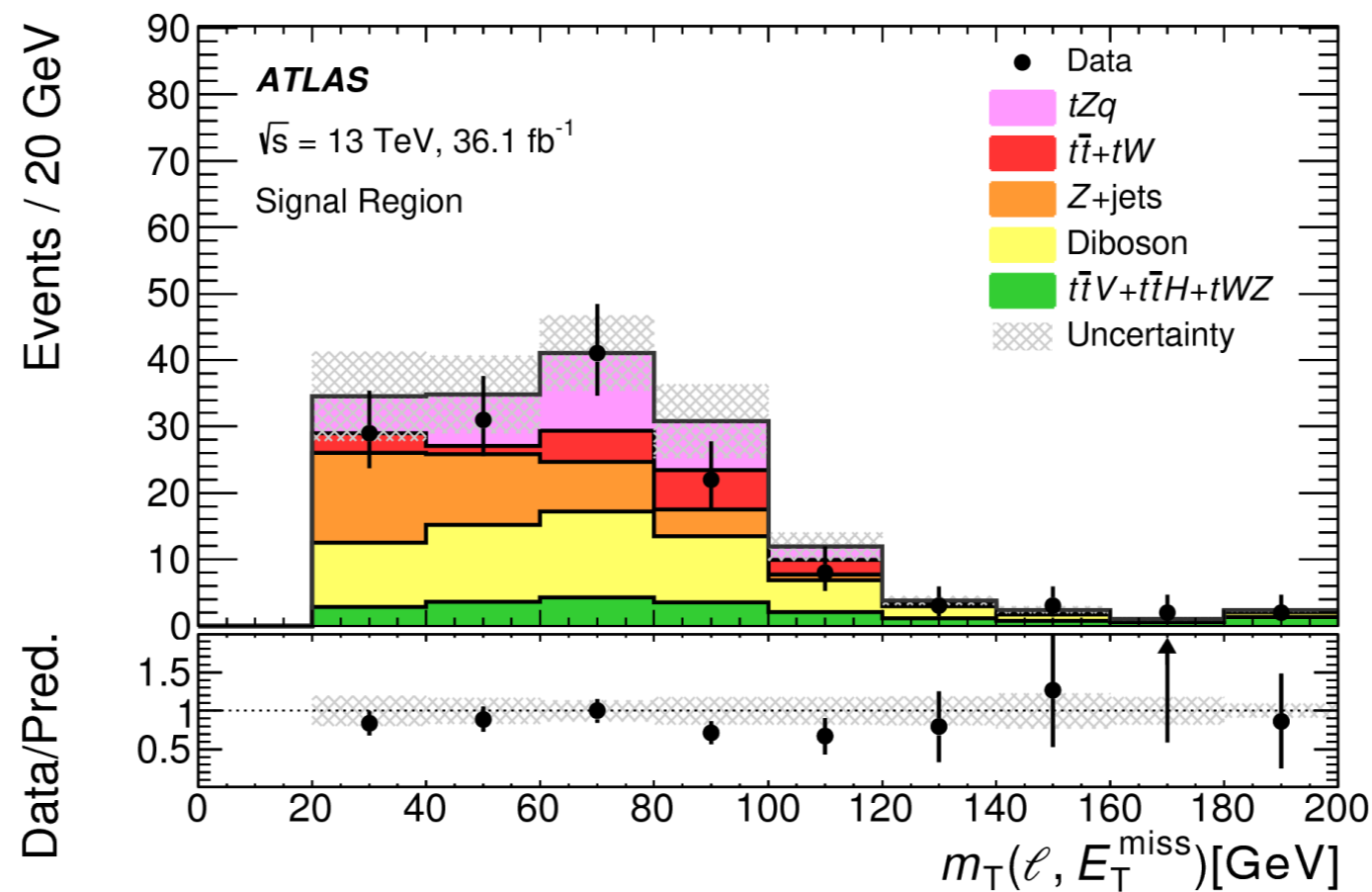
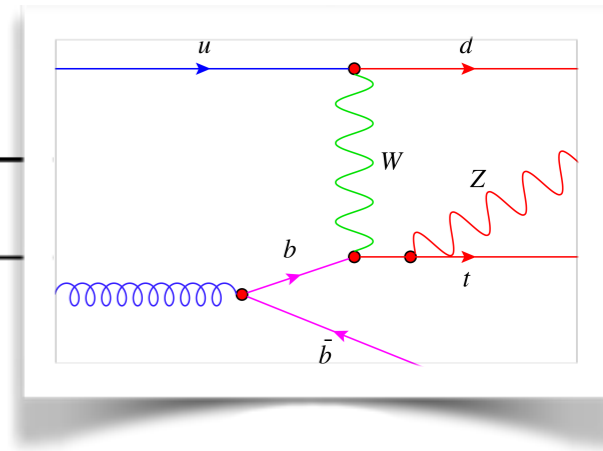
NN input variables

Variable	Definition
$ \eta(j) $	Absolute value of untagged jet η
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m_t	Reconstructed top-quark mass
$p_T(\ell^W)$	p_T of the lepton from the W -boson decay
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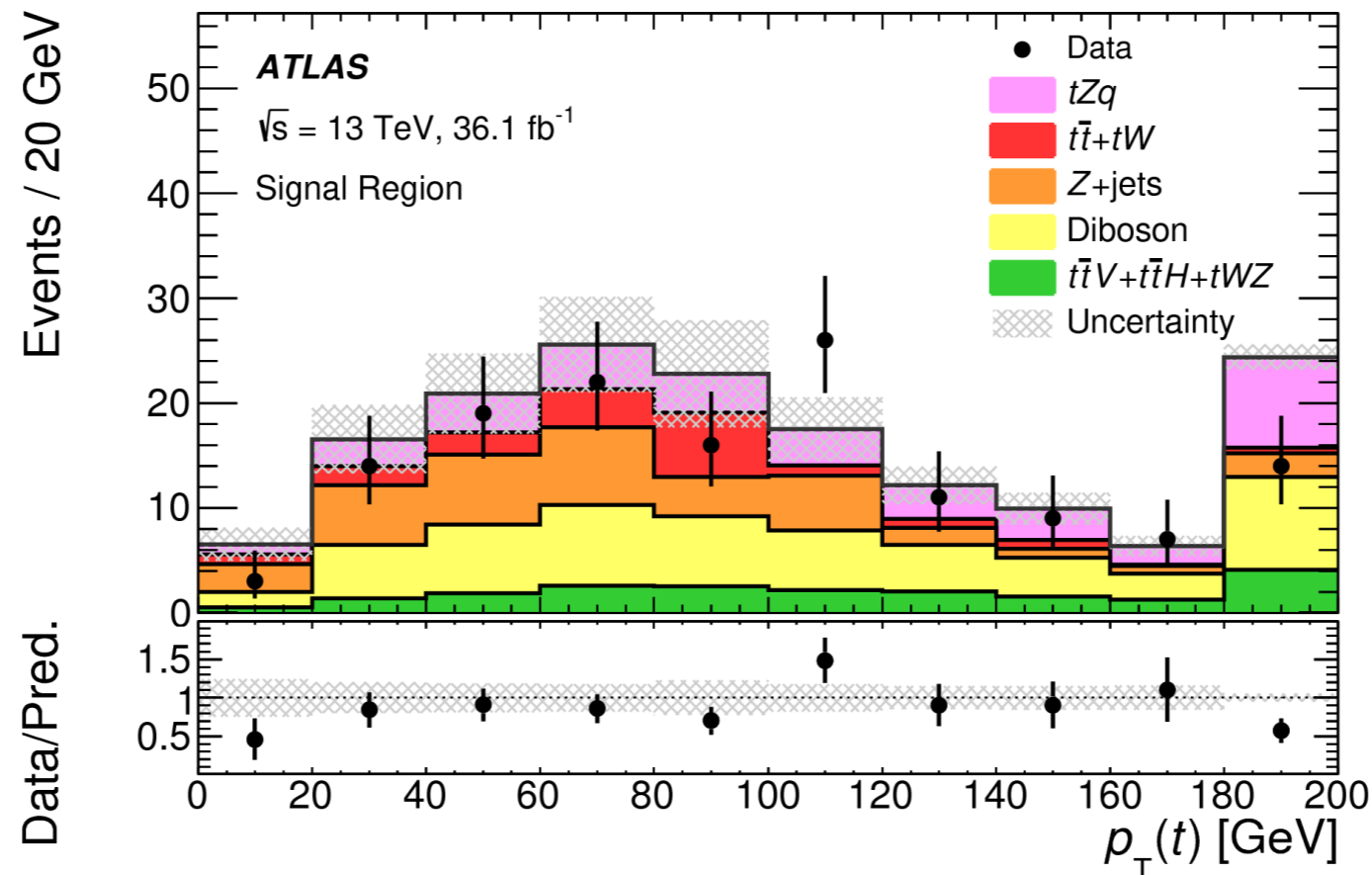
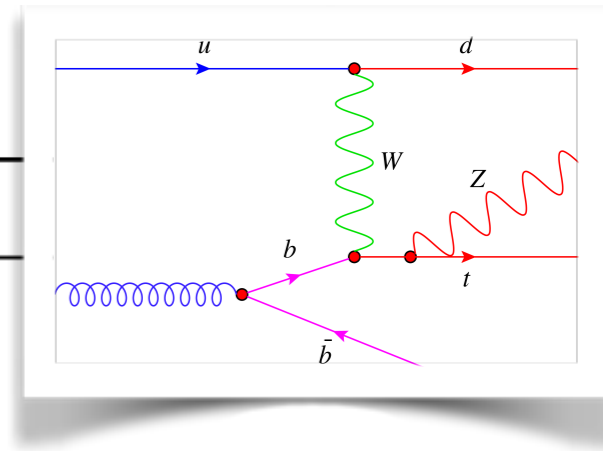
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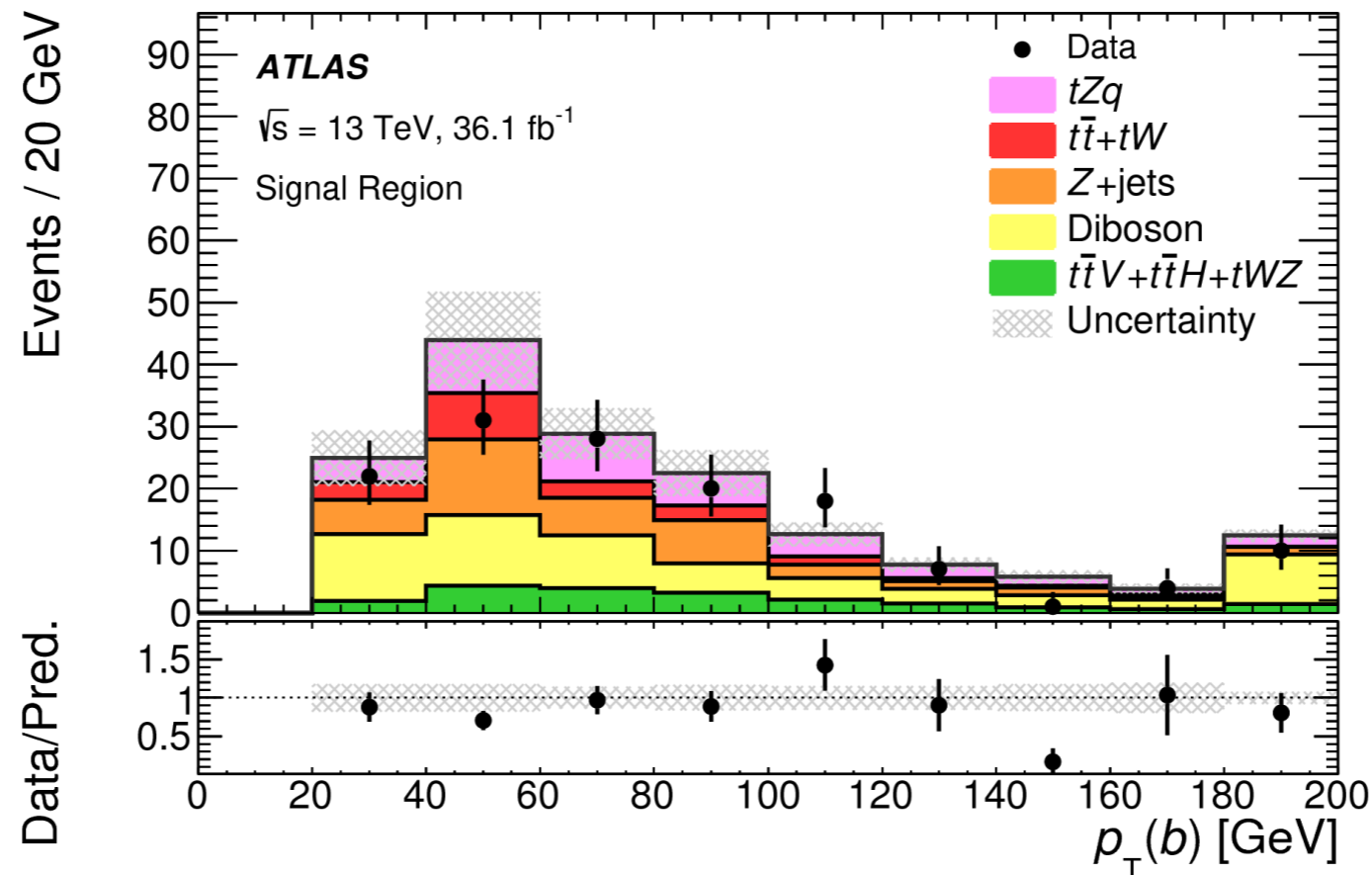
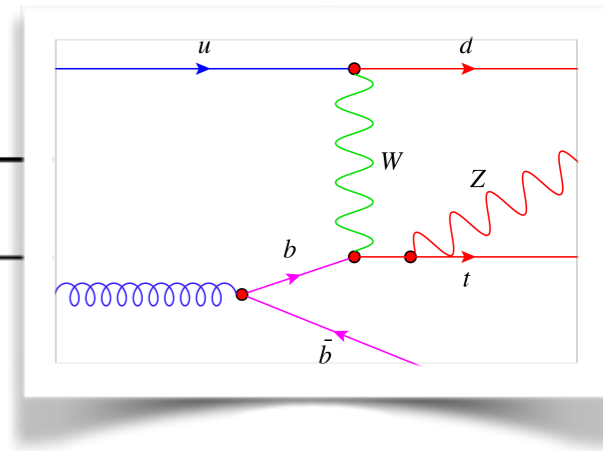
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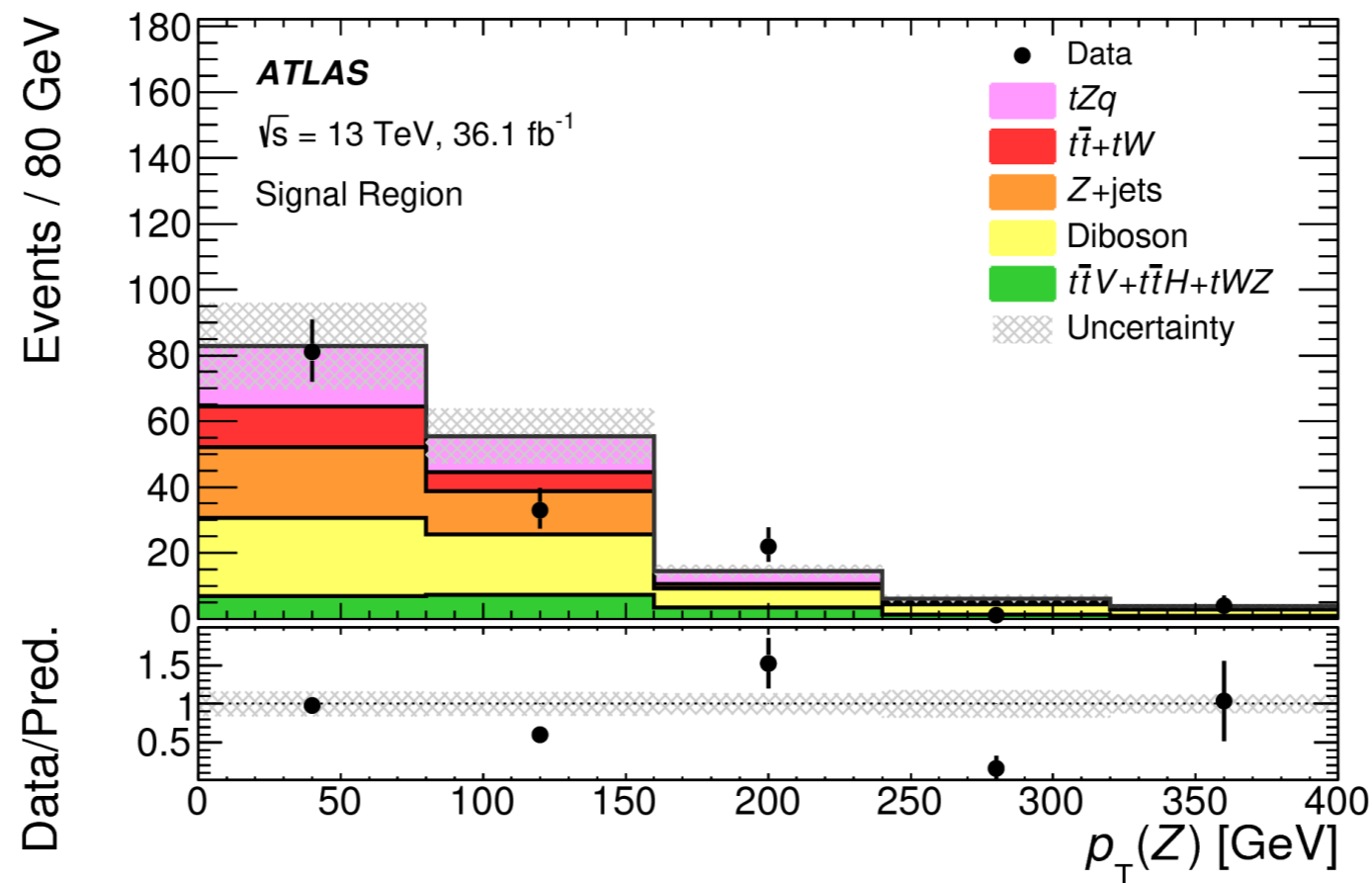
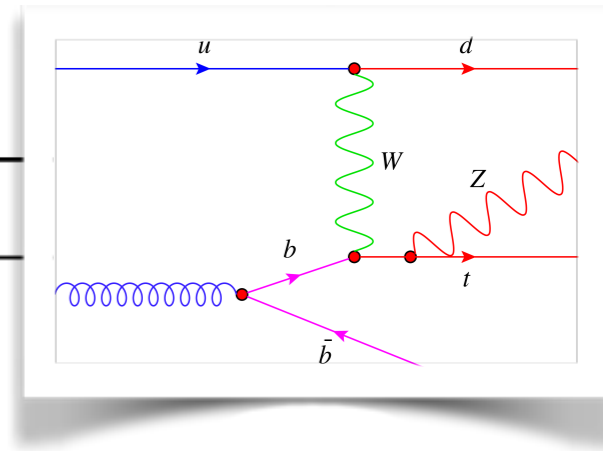
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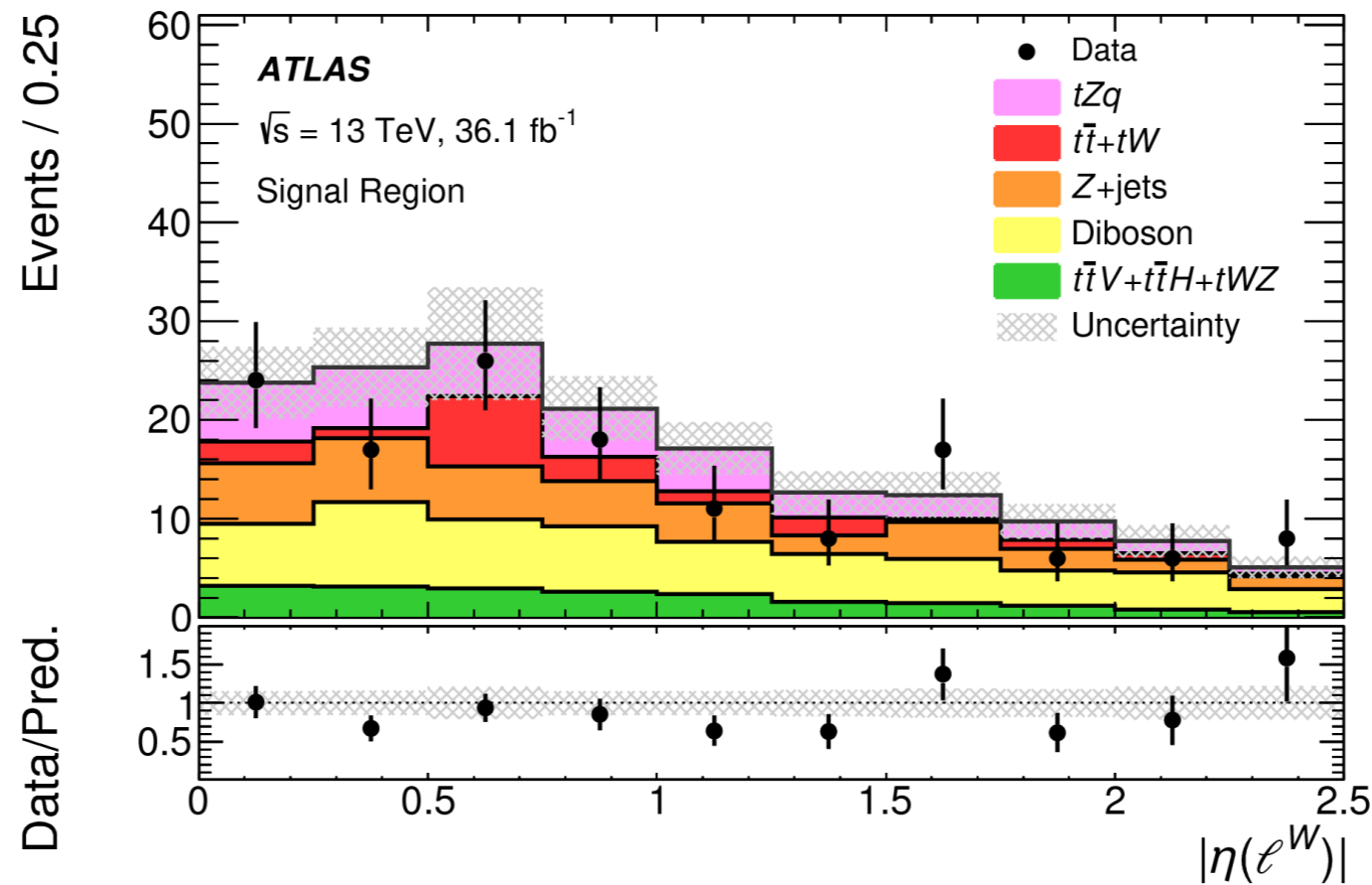
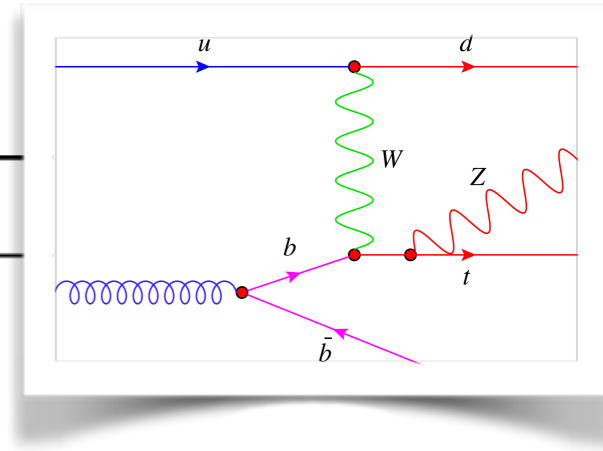
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m_t	Reconstructed top-quark mass
$p_T(\ell^W)$	p_T of the lepton from the W -boson decay
$\Delta R(j, Z)$	ΔR between the untagged jet and the Z boson
$m_T(\ell, E_T^{\text{miss}})$	Transverse mass of W boson
$p_T(t)$	Reconstructed top-quark p_T
$p_T(b)$	Tagged jet p_T
$p_T(Z)$	p_T of the reconstructed Z boson
$ \eta(\ell^W) $	Absolute value of η of the lepton coming from the W -boson decay



ATLAS & CMS

Signal samples & theory cross section

	FS	Scale	Cuts	x-sec (fb)	notes
tllq	5	$\mu = \frac{1}{2} \Sigma \sqrt{E^2 - p_z^2}$	-	94	CMS default
tllq	4	$\mu = \frac{1}{2} \Sigma \sqrt{E^2 - p_z^2}$	-	76	4 vs 5 FS 20% effect
tllq	5	$\mu = \frac{1}{2} \Sigma \sqrt{E^2 - p_z^2}$	$m_{ll} > 80 \text{ GeV}$	89	
tZ(\rightarrow ll)q	5	$\mu = \frac{1}{2} \Sigma \sqrt{E^2 - p_z^2}$	-	86	effect of missing contributions from off-shell/ γ^* and extra diagrams
tZq	4	$\mu = 4 \sqrt{m_b^2 + p_{T,b}^2}$	-	800	ATLAS default
tZq	4	$\mu = \frac{1}{2} \Sigma \sqrt{E^2 - p_z^2}$	-	690	scale 15% effect
tZq	5	$\mu = \frac{1}{2} \Sigma \sqrt{E^2 - p_z^2}$	-	860	4 vs 5 FS 20% effect

► Need to converge on a common setup.

- Include or not γ^* contribution \rightarrow current thinking is to include it
- If including γ^* , need to fix an $m(ll)$ requirement \rightarrow 30 GeV seems reasonable from the experimental side
- Whether to use 4FS or 5FS \rightarrow current thinking is 5FS (expected to be more precise for inclusive XS)
- Which scale to use \rightarrow theory guidance appreciated

Background estimation

- $t\bar{t}$ and Z+jets non-prompt lepton backgrounds estimated separately.
- ATLAS
- $t\bar{t}$: data/MC SF from OSOF region
 - shape from MC.
- Z+jets: Fake Factor method
 - e/ μ treated separately
 - binned in p_T of W lepton
 - FF: TTT/LTT in region with $m_T(W) < 20$ GeV
 - applied to LTT data.
 - Uncertainty:
 - 30/40% normalisation.

- All “NPL” (non-prompt leptons) sources estimated together.
- “templates” from data with LTT leptons.
- e/ μ treated separately.
- “2 step normalisation”
 - fit $m_T(W)$ in the Object CR and get first normalisation factors for all channels.
 - NPL e and μ yields: two free parameters independent of each other in the fit.
 - Uncertainty:
 - shape uncertainty based on changing isolation requirements.

CMS

Background estimation

- ▶ Signal $\rightarrow tZq = tZq$
- ▶ Fakes $\rightarrow t\bar{t}+tW + Z+jets = NPL$
- ▶ Diboson $\rightarrow Diboson = ZZ + WZ+c/b/light$
- ▶ top $\rightarrow t\bar{t}V+t\bar{t}H+tWZ = tWZ + t\bar{t}H + t\bar{t}W + t\bar{t}Z$

ATLAS

Channel	Number of events Real data	CMS
tZq	26 ± 8	32.3 ± 5.0
$t\bar{t} + tW$	17 ± 7	91.3 ± 12.1
$Z + jets$	34 ± 11	
Diboson	48 ± 12	
$t\bar{t}V + t\bar{t}H + tWZ$	19 ± 3	186.4 ± 11.5 34.8 ± 2.5
Total	143 ± 11	

	ATLAS		CMS	
Signal	26	18%	32	9%
Fakes	51	35%	91	26%
Diboson	48	33%	186	54%
top	19	13%	35	10%

CMS
post-fit values

Process	eee	ee μ	$\mu\mu e$	$\mu\mu\mu$	All channels	$\frac{N_{obs}}{N_{pred}}$
tZq	5.0 ± 1.5	6.6 ± 1.9	8.5 ± 2.5	12.3 ± 3.6	32.3 ± 5.0	–
$t\bar{t}Z$	3.7 ± 0.7	4.7 ± 0.9	6.1 ± 1.2	8.0 ± 1.5	22.4 ± 2.2	0.9 ± 0.2
$t\bar{t}W$	0.3 ± 0.1	0.3 ± 0.1	0.7 ± 0.2	0.6 ± 0.2	1.9 ± 0.3	1.0 ± 0.2
ZZ	4.8 ± 1.3	3.2 ± 0.9	9.0 ± 2.5	7.8 ± 2.2	24.7 ± 3.6	1.3 ± 0.3
$WZ+b$	3.0 ± 0.9	3.4 ± 1.1	4.6 ± 1.4	5.5 ± 1.7	16.6 ± 2.6	1.0 ± 0.2
$WZ+c$	9.0 ± 2.4	13.7 ± 3.7	18.0 ± 4.9	24.2 ± 6.5	64.8 ± 9.3	1.0 ± 0.2
$WZ+light$	12.2 ± 1.6	16.6 ± 2.0	22.4 ± 2.8	29.1 ± 3.4	80.3 ± 5.1	0.7 ± 0.1
$t\bar{t}H$	0.6 ± 0.2	0.9 ± 0.3	1.0 ± 0.3	1.5 ± 0.4	4.0 ± 0.6	1.0 ± 0.2
tWZ	1.0 ± 0.3	1.3 ± 0.4	1.7 ± 0.5	2.4 ± 0.7	6.5 ± 1.0	1.0 ± 0.2
NPL: electrons	19.2 ± 3.1	0.6 ± 0.1	17.9 ± 2.8	–	37.7 ± 4.2	–
NPL: muons	–	7.2 ± 2.3	31.1 ± 9.9	15.3 ± 4.9	53.6 ± 11.3	–
Total	58.8 ± 4.8	58.4 ± 5.5	120.9 ± 12.4	106.6 ± 10.1	344.8 ± 17.6	
Data	56	58	104	125	343	

Multivariate analysis

□ NN

□ Training with signal and all backgrounds
($t\bar{t}$ excluded, fakes included)

□ 10 variables

□ List in the paper

□ Most discriminating: $\eta(\text{jet}_{\text{forward}})$ and $p_T(\text{jet}_{\text{forward}})$.

ATLAS

■ BDT

CMS

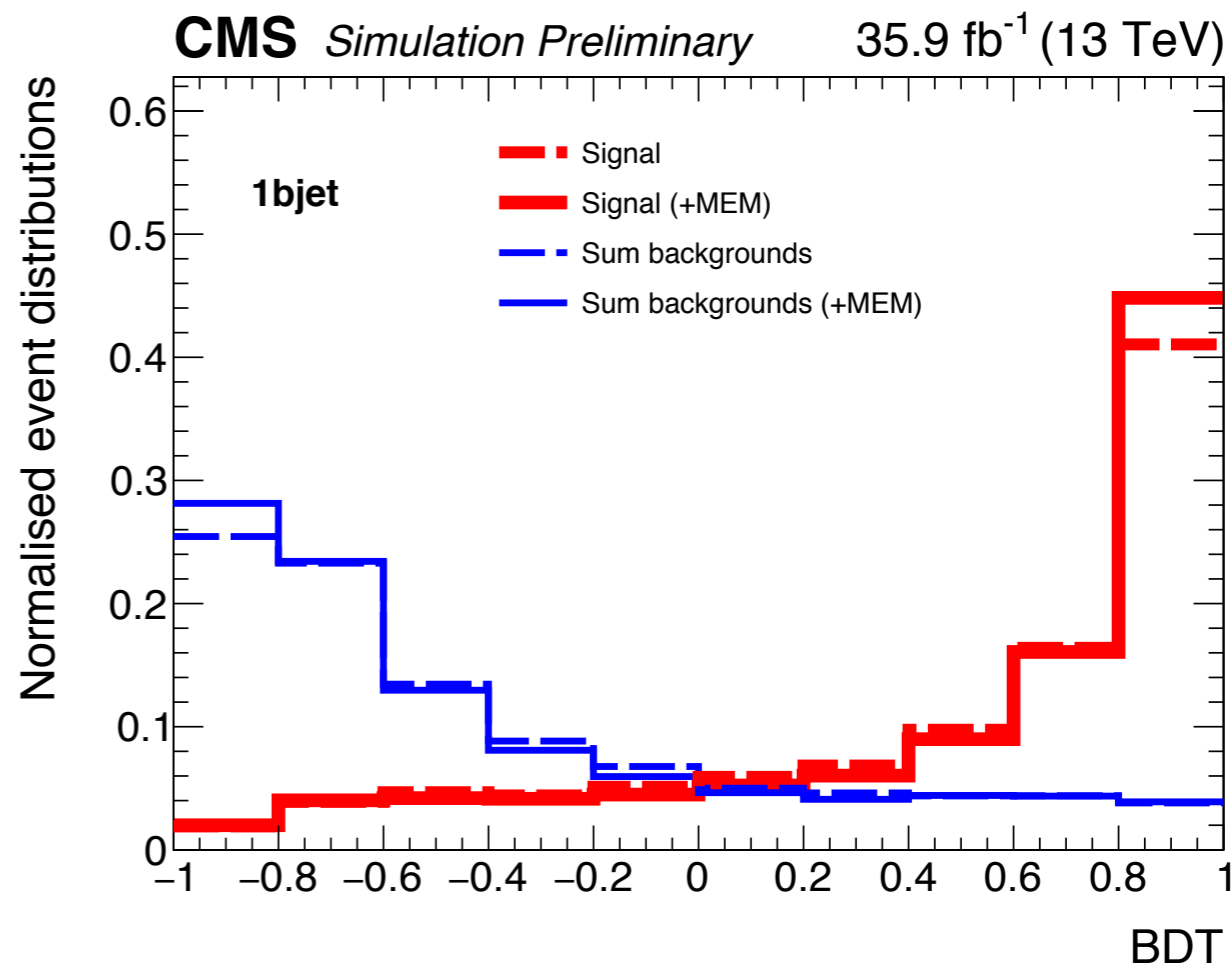
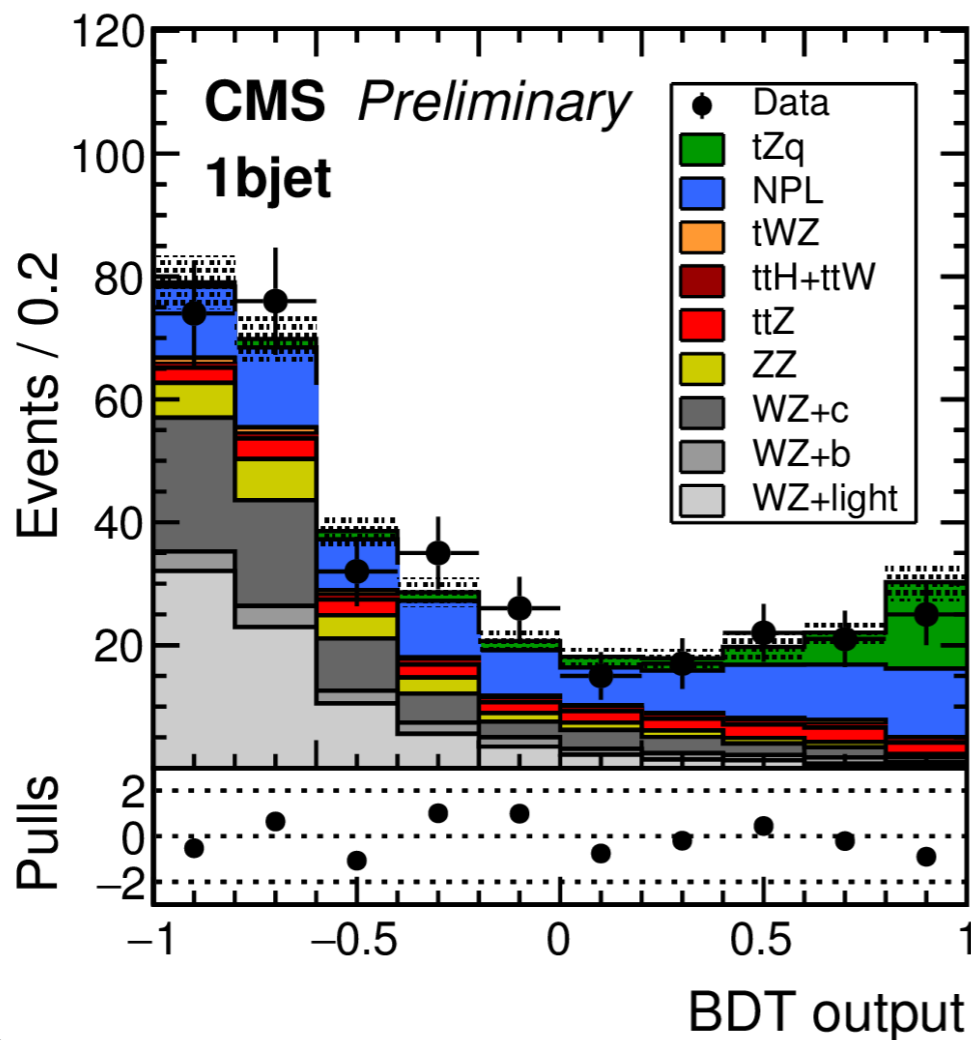
■ Training with signal and all backgrounds
(excluding **fakes** because of lack of stat.)

■ Two BDTs for the 1bj and 2bj SRs.

■ Various variables used for training.

■ Including **MEM** (Matrix Element Method) as input variables.

■ 10% significance improvement.



Fitting

ATLAS

- Fitting O_{NN} in SR (all channels summed together).

CMS

- Fitting 12 regions simultaneously.
 - $eee, ee\mu, e\mu\mu, \mu\mu\mu$.
 - BDT in 1bjet (signal region).
 - BDT in 2bjet (to control $t\bar{t}Z$).
 - $m_T(W)$ in 0bjet (to control WZ +jets).

ATLAS & CMS

- ▶ MC signal samples @LO for ATLAS and @NLO for CMS.
- ▶ Theory cross section calculations compatible but with several differences (tZq vs tllq, 4 vs 5 FS, scale choice).
 - ▶ Need to converge to a common approach.
- ▶ Some different approaches for the event selection (e.g. lepton p_T cuts, number of jets, b-tagging WP) and background estimation.
 - ▶ Visible effect on the background composition in the SR.
- ▶ Multivariate analysis (NN for ATLAS and BDT for CMS).
 - ▶ Main difference coming from the use of fakes in training.
- ▶ Different way of fitting NN/BDT output distributions.