

# Rare kaon decays from lattice QCD

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# Summary of lattice study on rare kaon decays

## Proposal to study rare kaon decays using lattice QCD

- Isidori, Martinelli, Turchetti, hep-lat/0506026 - PLB 2006

## Method paper and first calculation of $K \rightarrow \pi \ell^+ \ell^-$ @ $m_\pi = 430$ MeV

- Christ, XF, Portelli, Sachrajda, 1507.03094 - PRD 2015
- Christ, XF, Jüttner, Lawson, Portelli, Sachrajda, 1608.07585 - PRD 2016

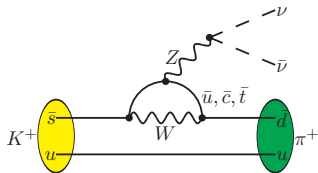
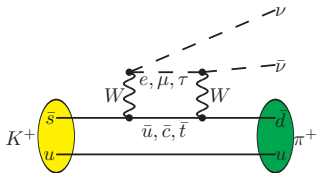
## Method paper and first calculation of $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ @ $m_\pi = 420$ MeV

- Christ, XF, Portelli, Sachrajda, 1605.04442 - PRD 2016
- Bai, Christ, XF, Lawson, Portelli, Sachrajda, 1701.02858 - PRL 2017  
1806.11520 - submit to PRD

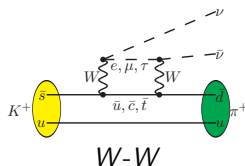
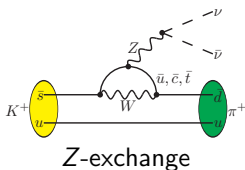
$$K^+ \rightarrow \pi^+ \nu \bar{\nu}, 32^3 \times 64, m_\pi = 170 \text{ MeV}, m_c^{\overline{\text{MS}}}(2 \text{ GeV}) = 750 \text{ MeV}$$

$$K^+ \rightarrow \pi^+ \nu \bar{\nu}, 64^3 \times 128, m_\pi = 140 \text{ MeV}, m_c^{\overline{\text{MS}}}(2 \text{ GeV}) = 1.2 \text{ GeV}$$

$$K^+ \rightarrow \pi^+ \nu \bar{\nu}$$



# $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ : Experiment vs Standard model



$K^+ \rightarrow \pi^+ \nu \bar{\nu}$ : largest contribution from top quark loop, thus theoretically clean

$$\mathcal{H}_{\text{eff}} \sim \frac{G_F}{\sqrt{2}} \cdot \underbrace{\frac{\alpha_{\text{EM}}}{2\pi \sin^2 \theta_W} \lambda_t X_t(x_t)}_{\mathcal{N} \sim 2 \times 10^{-5}} \cdot (\bar{s}d)_{V-A} (\bar{\nu}\nu)_{V-A}$$

Probe the new physics at scales of  $\mathcal{N}^{-\frac{1}{2}} M_W = O(10 \text{ TeV})$

**Past experimental measurement** is 2 times larger than SM prediction

$$\text{Br}(K^+ \rightarrow \pi^+ \nu \bar{\nu})_{\text{exp}} = 1.73_{-1.05}^{+1.15} \times 10^{-10} \quad [\text{BNL E949, '08}]$$

$$\text{Br}(K^+ \rightarrow \pi^+ \nu \bar{\nu})_{\text{SM}} = 9.11 \pm 0.72 \times 10^{-11} \quad [\text{Buras et. al., '15}]$$

but still consistent with > 60% exp. error

# New experiments

## New generation of experiment: NA62 at CERN [talk by J. Engelfried]

- aims at observation of  $O(100)$  events [2016-2018, 2021-2023]
- 10%-precision measurement of  $\text{Br}(K^+ \rightarrow \pi^+ \nu \bar{\nu})$



## NA62 timeline

- 2016 run:  $2 \times 10^{11}$   $K^+$  decays
- 2017 run:  $6.7 \times 10^{11}$   $K^+$  decays
- 2018 run: starting from April 9, 210 days run

Analysis of 2016 data  $\Rightarrow$  1 candidate event is identified

$K_L \rightarrow \pi^0 \nu \bar{\nu}$  - KOTO experiment at J-PARC [talk by K. Nakagiri]

# $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ in the Standard Model

**Branching ratio** for  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  [Buras, Buttazzo, Girrbach-Noe, Knegjens, '15]

$$\text{Br} = \kappa_+ (1 + \Delta_{\text{EM}}) \cdot \left[ \underbrace{\left( \frac{\text{Im } \lambda_t}{\lambda^5} X(x_t) \right)^2}_{0.270 \times 1.481(9)} + \left( \underbrace{\frac{\text{Re } \lambda_c}{\lambda} P_c}_{-0.974 \times 0.405(23)} + \underbrace{\frac{\text{Re } \lambda_t}{\lambda^5} X(x_t)}_{-0.533 \times 1.481(9)} \right)^2 \right]$$

- $X(x_t)$ : top quark contribution;  $P_c$ : charm and LD contribution

Without  $P_c$ , branching ratio is **50%** smaller

## Uncertainty budget

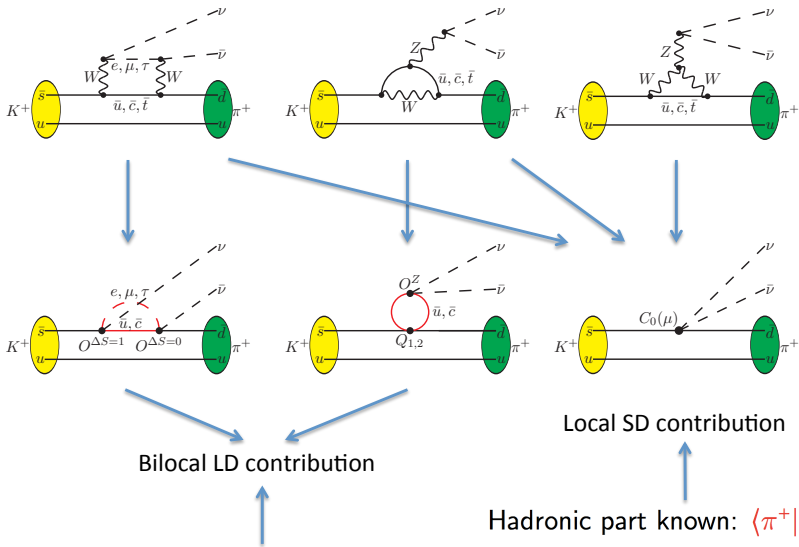
- dominant uncertainty from CKM factor  $\lambda_t$
- once fixing CKM factor, then  $P_c$  dominates the uncertainty
  - $P_c$ 's uncertainty mainly come from LD

Important to determine the LD contribution to  $P_c$  accurately

**Current estimate**  $\delta P_{c,u} = 0.04(2)$  [Isidori, Mescia, Smith, '05]

- OPE+ $\chi$ PT, estimate LD correction by including dim-8 operators

# OPE: integrate out the heavy fields, $Z, W, t, \dots$



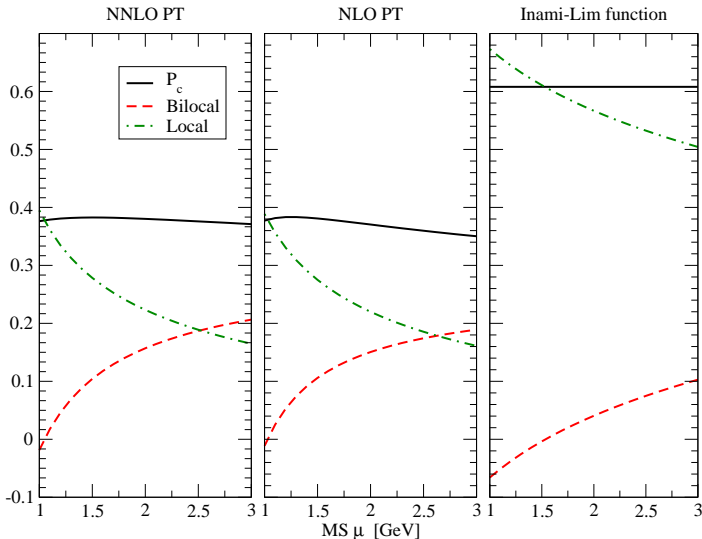
$\langle \pi^+ \nu \bar{\nu} | Q_A(x) Q_B(0) | K^+ \rangle$ : need lattice QCD



# Bilocal contribution vs local contribution

Bilocal  $C_A^{\overline{MS}}(\mu) C_B^{\overline{MS}}(\mu) r_{AB}^{\overline{MS}}(\mu)$  vs Local  $C_0^{\overline{MS}}(\mu)$

[Buras, Gorbahn, Haisch, Nierste, '06]



At  $\mu = 2.5$  GeV, 50% charm quark contribution from bilocal term

# Exponential contamination at large Euclidean time

Hadronic matrix element for the 2nd weak interaction

$$\int_{-T}^T dt \langle \pi^+ \nu \bar{\nu} | T [ Q_A(t) Q_B(0) ] | K^+ \rangle$$
$$= \sum_n \left\{ \frac{\langle \pi^+ \nu \bar{\nu} | Q_A | n \rangle \langle n | Q_B | K^+ \rangle}{M_K - E_n} + \frac{\langle \pi^+ \nu \bar{\nu} | Q_B | n \rangle \langle n | Q_A | K^+ \rangle}{M_K - E_n} \right\} (1 - e^{(M_K - E_n)T})$$

- For  $E_n > M_K$ , the exponential terms exponentially vanish at large  $T$
- For  $E_n < M_K$ , the exponentially growing terms must be removed
- $\sum_n$ : principal part of the integral replaced by finite-volume summation
  - ▶ possible large finite volume correction when  $E_n \rightarrow M_K$

[Christ, XF, Martinelli, Sachrajda, '15]

# New short-distance divergence

Christ, XF, Portelli, Sachrajda, PRD 93 (2016) 114517

## New SD divergence appears in $Q_A(x)Q_B(0)$ when $x \rightarrow 0$

- Introduce a counter term  $X \cdot Q_0$  to remove the SD divergence

$$\langle \{Q_A Q_B\}^{\text{RI}} \rangle \Big|_{p_i^2 = \mu_0^2} = \text{Diagram 1} - X(\mu_0, a) \times \text{Diagram 2} = 0$$

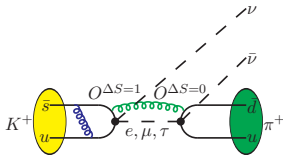
The coefficient  $X$  is determined in the RI/(S)MOM scheme

- The bilocal operator in the  $\overline{\text{MS}}$  scheme can be written as

$$\left\{ \int d^4x T[Q_A^{\overline{\text{MS}}}(x)Q_B^{\overline{\text{MS}}}(0)] \right\}^{\overline{\text{MS}}} \\ = Z_A Z_B \left\{ \int d^4x T[Q_A^{\text{lat}}Q_B^{\text{lat}}] \right\}^{\text{lat}} + (-X^{\text{lat} \rightarrow \text{RI}} + Y^{\text{RI} \rightarrow \overline{\text{MS}}}) Q_0(0)$$

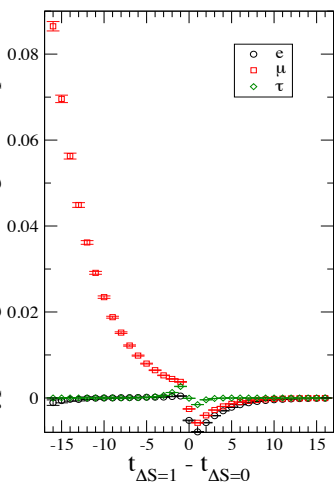
- $X^{\text{lat} \rightarrow \text{RI}}$  is calculated using NPR and  $Y^{\text{RI} \rightarrow \overline{\text{MS}}}$  calculated using PT

# W-W diagram, type 1

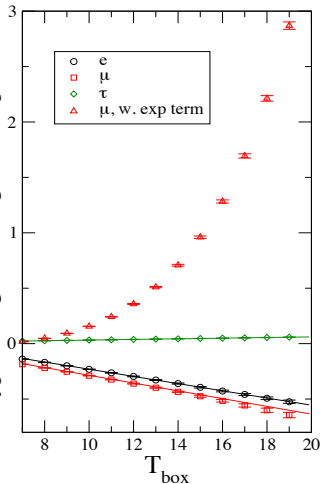


$F_{WW}$	Type 1
$e$	$-1.685(47) \times 10^{-2}$
$\mu$	$-1.818(40) \times 10^{-2}$
$\tau$	$1.491(36) \times 10^{-3}$

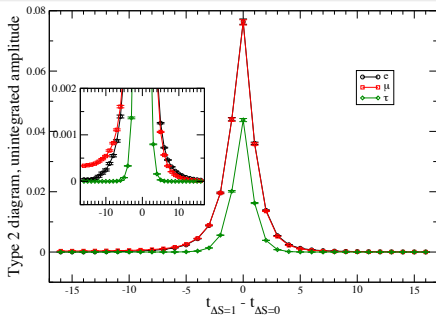
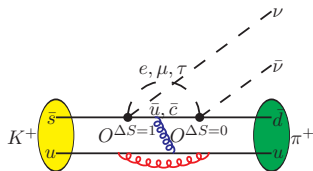
Type 1 diagram, unintegrated amplitude



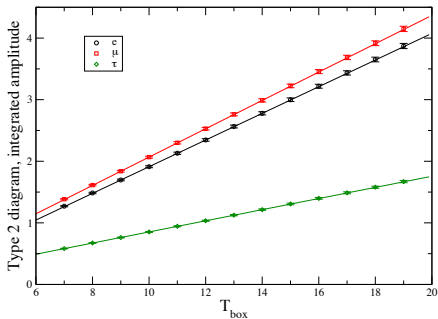
Type 1 diagram, integrated amplitude



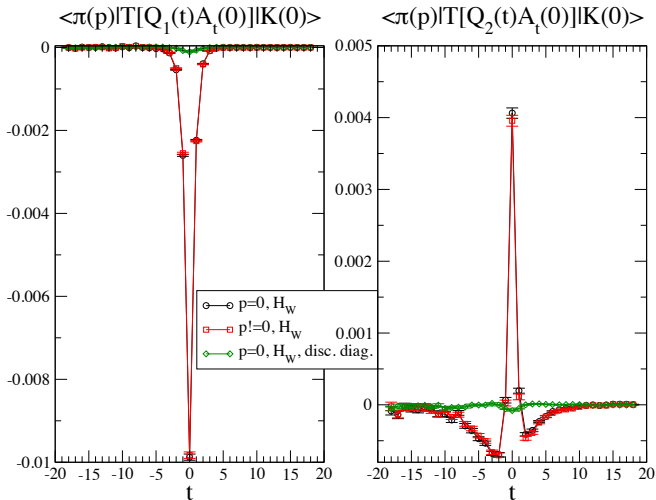
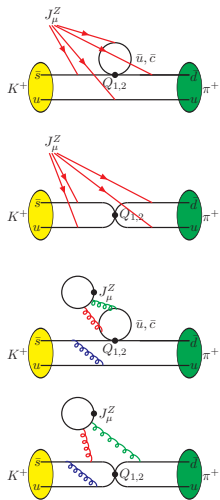
# W-W diagram, type 2



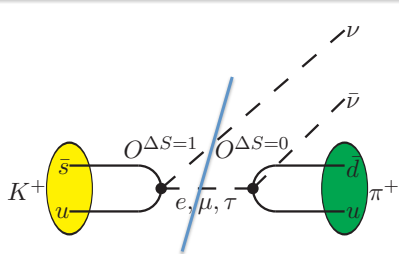
$F_{WW}$	Type 2
$e$	$1.123(17) \times 10^{-1}$
$\mu$	$1.194(18) \times 10^{-1}$
$\tau$	$4.690(77) \times 10^{-2}$



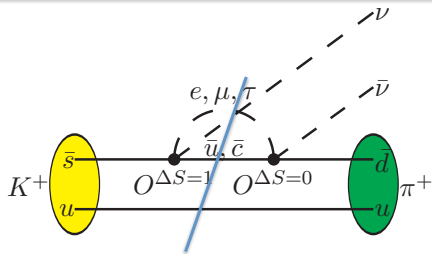
# Z-exchange diagram



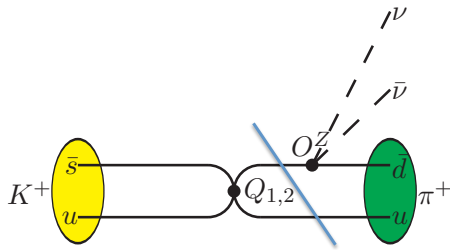
# Low lying intermediate states



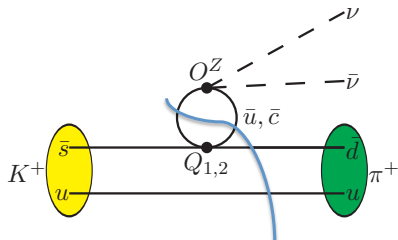
$$K^+ \rightarrow l^+ \nu \quad \& \quad l^+ \rightarrow \pi^+ \bar{\nu}$$



$$K^+ \rightarrow \pi^0 l^+ \nu \quad \& \quad \pi^0 l^+ \rightarrow \pi^+ \bar{\nu}$$



$$K^+ \xrightarrow{H_W} \pi^+ \quad \& \quad \pi^+ \xrightarrow{V_\mu} \pi^+$$



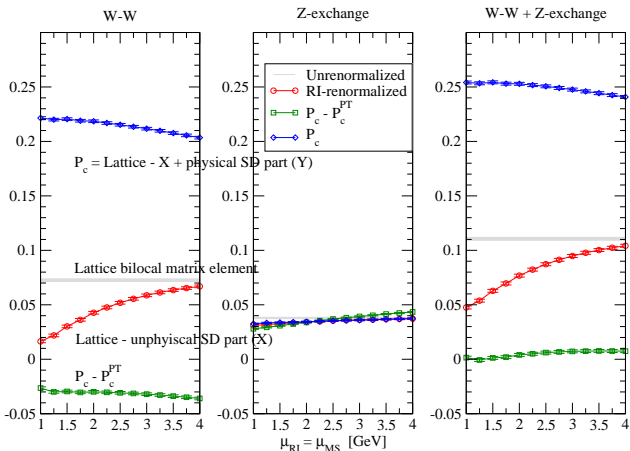
$$K^+ \xrightarrow{H_W} \pi^+ \pi^0 \quad \& \quad \pi^+ \pi^0 \xrightarrow{A_\mu} \pi^+$$

# Lattice results

Published results @  $m_\pi = 420$  MeV,  $m_c = 860$  MeV

[Bai, Christ, XF, Lawson, Portelli, Schrajda, PRL 118 (2017) 252001 ]

$$P_c = 0.2529(\pm 13)_{\text{stat}}(\pm 32)_{\text{scale}}(-45)_{\text{FV}}$$

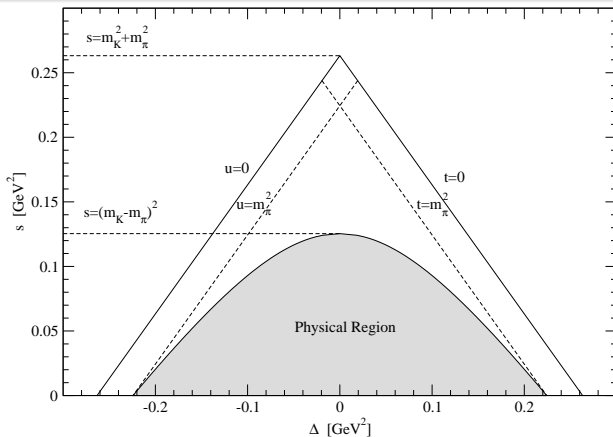


Lattice QCD is now capable of first-principles calculation of rare kaon decay

- The remaining task is to control various systematic effects



# Momentum choice



- Two Lorentz invariant variables

$$s = (p_K - p_\pi)^2, \quad \Delta = (p_K - p_\nu)^2 - (p_K - p_{\bar{\nu}})^2$$

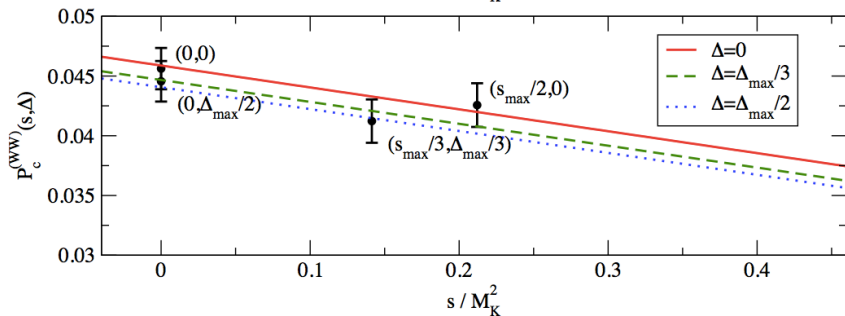
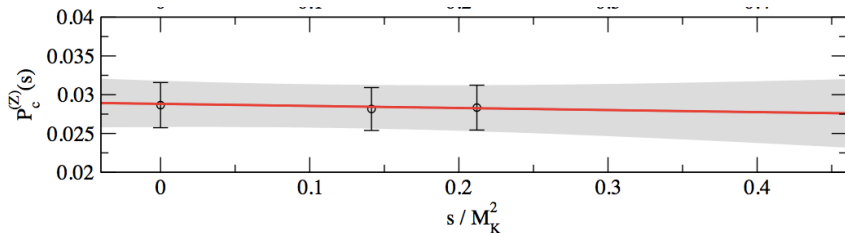
- $s_{\max} = (M_K - M_\pi)^2$ ,  $\Delta_{\max} = M_K^2 - M_\pi^2$

- Momentum choice

$$(s, \Delta) = (0, 0), (s_{\max}/2, 0), (0, \Delta_{\max}), (s_{\max}/3, \Delta_{\max}/3)$$

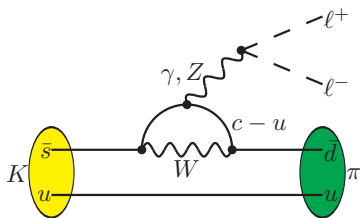
# Momentum dependence

calculation @  $m_\pi = 170$  MeV,  $L^3 \times T = 32^3 \times 64$



Momentum dependence is mild at near-physical pion mass

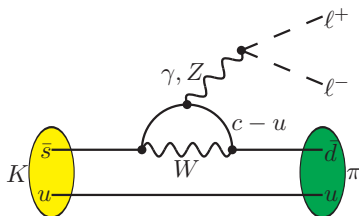
$$K \rightarrow \pi l^+ l^-$$



# $K \rightarrow \pi l^+ l^-$ : CP conserving channel

CP conserving decay:  $K^+ \rightarrow \pi^+ l^+ l^-$  and  $K_S \rightarrow \pi^0 l^+ l^-$

- Involve both  $\gamma$ - and Z-exchange diagram, but  $\gamma$ -exchange is much larger



- Unlike Z-exchange, the  $\gamma$ -exchange diagram is LD dominated
  - By power counting, loop integral is quadratically UV divergent
  - EM gauge invariance reduces divergence to logarithmic
  - $c - u$  GIM cancellation further reduces log divergence to be UV finite

## Focus on $\gamma$ -exchange

- Hadronic part of decay amplitude is described by a form factor

$$\begin{aligned} T_{+,S}^\mu(p_K, p_\pi) &= \int d^4x e^{iqx} \langle \pi(p_\pi) | T \{ J_{em}^\mu(x) \mathcal{H}^{\Delta S=1}(0) \} | K^+ / K_S(p_K) \rangle \\ &= \frac{G_F M_K^2}{(4\pi)^2} V_{+,S}(z) [z(k+p)^\mu - (1-r_\pi^2)q^\mu] \end{aligned}$$

with  $q = p_K - p_\pi$ ,  $z = q^2/M_K^2$ ,  $r_\pi = M_\pi/M_K$

The target for lattice QCD is to calculate the form factor  $V_{+,S}(z)$

- Lattice calculation strategy: [RBC-UKQCD, PRD92 (2015) 094512]
  - Use conserved vector current to protect the EM gauge invariance
  - Use charm as an active quark flavor to maintain GIM cancellation

# First exploratory calculation on $K^+ \rightarrow \pi^+ \ell^+ \ell^-$

Use  $24^3 \times 64$  ensemble,  $N_{\text{conf}} = 128$   
 [RBC-UKQCD, PRD94 (2016) 114516]

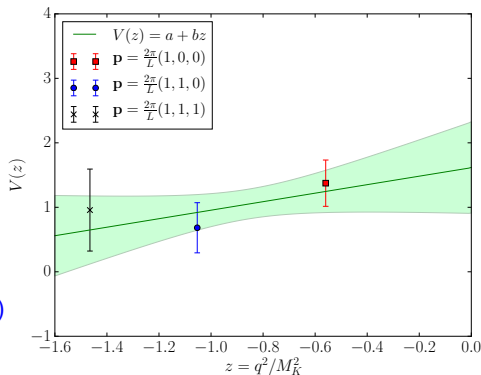
$$a^{-1} = 1.78 \text{ GeV}, m_\pi = 430 \text{ MeV}$$

$$m_K = 625 \text{ MeV}, m_c = 530 \text{ MeV}$$

Momentum dependence of  $V_+(z)$

$$V_+(z) = a_+ + b_+ z$$

$$\Rightarrow a_+ = 1.6(7), b_+ = 0.7(8)$$



$K^+ \rightarrow \pi^+ e^+ e^-$  data + phenomenological analysis:  $a_+ = -0.58(2)$ ,  $b_+ = -0.78(7)$   
 [Cirigliano, et. al., Rev. Mod. Phys. 84 (2012) 399]

$$V_j(z) = a_j + b_j z + \underbrace{\frac{\alpha_j r_\pi^2 + \beta_j (z - z_0)}{G_F M_K^2 r_\pi^4}}_{K \rightarrow \pi\pi} \underbrace{\left[1 + \frac{z}{r_V^2}\right]}_{F_V(z)} \underbrace{\left[\phi(z/r_\pi^2) + \frac{1}{6}\right]}_{\text{loop}}, \quad j = +, S$$

- Experimental data only provide  $\frac{d\Gamma}{dz} \Rightarrow$  square of form factor  $|V_+(z)|^2$
- Need phenomenological knowledge to determine the sign for  $a_+$ ,  $b_+$

# $K_L \rightarrow \pi^0 \ell^+ \ell^-$ decay: $CP$ violating channel

## $K_L \rightarrow \pi^0 \ell^+ \ell^-$ decay contains important $CPV$ information

- Indirect  $CPV$ :  $K_L \xrightarrow{\epsilon} K_+^0 \rightarrow \pi^0 \gamma^* \rightarrow \pi^0 \ell^+ \ell^-$
- Direct + indirect  $CPV$  contribution to branching ratio

[Cirigliano et. al., Rev. Mod. Phys. 84 (2012) 399]

$$\text{Br}(K_L \rightarrow \pi^0 e^+ e^-)_{CPV} = 10^{-12} \times \left[ 15.7 |a_S|^2 \pm 6.2 |a_S| \left( \frac{\text{Im } \lambda_t}{10^{-4}} \right) + 2.4 \left( \frac{\text{Im } \lambda_t}{10^{-4}} \right)^2 \right]$$

- $\text{Im } \lambda_t$ -term from direct  $CPV$ ,  $\lambda_t \approx 1.35 \times 10^{-4}$
- $|a_S|$ -term from indirect  $CPV$ ,  $a_S = V_S(0)$
- $\pm$  arises due to the unknown sign of  $a_S$

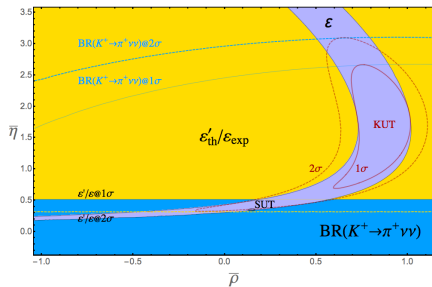
Even a determination of the sign of  $a_S$  from lattice is desirable

# Outlook: $K$ -unitarity triangle

[C. Lehner, E. Lunghi, A. Soni, PLB759 (2016) 82]

Advances in experiments + lattice QCD simulations

⇒ construction of a unitarity triangle purely from Kaon physics?

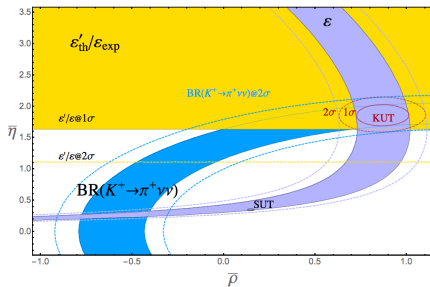


Current status

$$\delta[A_2] = 12\%$$

$$\delta[A_0] = 86\%$$

$$\delta[\text{Br}(K^+ \rightarrow \pi^+ \nu \bar{\nu})] = 64\%$$



Future scenario

$$\delta[A_2] = 5\%$$

$$\delta[A_0] = 18\%$$

$$\delta[\text{Br}(K^+ \rightarrow \pi^+ \nu \bar{\nu})] = 10\%$$

When will the future scenario become true?



- We present the lattice calculation on
  - $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  @  $m_\pi = 420$  MeV,  $m_c = 860$  MeV  
@  $m_\pi = 170$  MeV,  $m_c = 750$  MeV
  - $K^+ \rightarrow \pi^+ \ell^+ \ell^-$  @  $m_\pi = 430$  MeV,  $m_c = 530$  MeV

Calculation at physical point is timely since NA62 is underway

- $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  @  $m_\pi = 140$  MeV,  $m_c = 1.2$  GeV  $\Rightarrow$  a few configurations analyzed
- Other interesting rare kaon modes
  - $K_L \rightarrow \mu^+ \mu^-$
  - $K_S \rightarrow \pi^0 \ell^+ \ell^-$
  - $K_L \rightarrow \pi^0 \ell^+ \ell^-$

# Backup slides