

The KDK (^{40}K decay) project: Measuring a rare decay of ^{40}K with implications for dark-matter claims

N. Brewer² **P. Di Stefano**^{1b} A. Fijalkowska^{2,4,5} Z. Gai²
C. Goetz³ R. Grzywacz^{2,3,5} J. Kostensalo⁸ P. Lechner⁷
Y. Liu² E. Lukosi³ M. Mancuso⁶ D. McKinnon³
C. Melcher³ J. Ninkovic⁷ F. Petricca⁶ C. Rasco²
C. Rouleau² K. Rykaczewski² D. Stracener² M. Stukel¹
J. Suhonen⁸ M. Wolińska-Cichocka^{2,4,5} I. Yavin

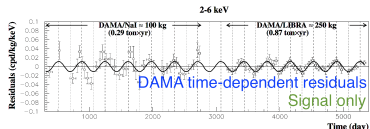
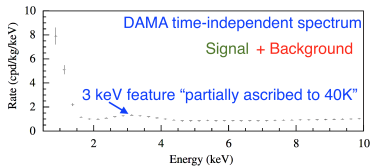
¹Queen's University, ²Oak Ridge National Laboratory, ³University of Tennessee, ⁴University of Warsaw,
⁵Joint Institute for Nuclear Physics and Application, ⁶Max Planck Institute Munich,
⁷MPG Semiconductor Laboratory Munich, ⁸University of Jyväskylä, [Student/Postdoc/RA](#)

Tech. support: M. Constable, F. Retiere (TRIUMF), K. Dering (Queen's MRS), P. Davis (U Alberta MRS)

arXiv:1711.04004

Dark matter, DAMA/LIBRA, and ^{40}K [2, 3]

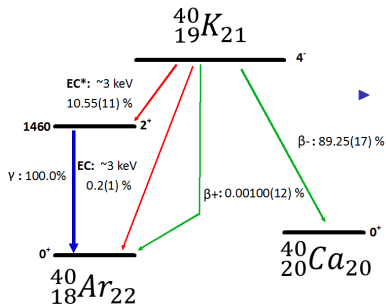
- ▶ Exotic new dark particles may make up bulk of matter in universe.
- ▶ DAMA: ~ 250 kg low-background NaI experiment
- ▶ Since 1997, DAMA claims detection based on annual modulation caused by rotation of Earth around Sun, through particle halo of galaxy:



- ▶ $\frac{\text{signal}}{\text{modulation amplitude}} \approx \frac{1}{100}$
 $\text{signal} + \text{background}$
- ▶ DAMA controversial:
 - ▶ tension with other experimental results
 - ▶ disagreement on background model, eg [1]
- ▶ Consensus that 3 keV X-rays/Augers from ^{40}K contribute to low-energy DAMA spectrum
- ▶ Contribution may be of the order of the amplitude of modulation
- ▶ Pradler et al, PLB 2013 [2]: precise understanding of ^{40}K necessary to constrain modulation fraction of signal, and dark matter interpretation
- ▶ ^{40}K also a background in other rare-event searches

Decays of ^{40}K [6]

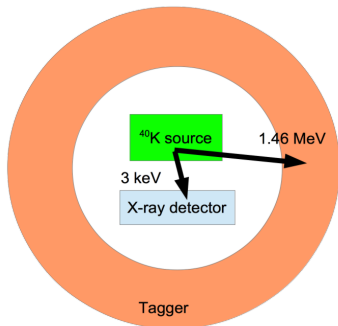
- ▶ ^{40}K : naturally occurring; 0.012% abundance
- ▶ $T_{1/2} = 1.2 \times 10^9$ years; main decay is β^- with branching ratio of 90%:



- ▶ Also electron capture (EC):
 - ▶ 3 keV X-rays and Auger electrons from K-shell electron capture:
 $^{40}\text{K} + e^- \rightarrow ^{40}\text{Ar} + \nu_e$.
 - ▶ Main contribution: **EC* to excited state** of ^{40}Ar , which decays in ps, emitting a 1.46 MeV γ . Branching ratio (BR*) is 10%. Can be tagged by 1.46 MeV γ .
 - ▶ Also contribution that can not be tagged, from direct **EC to ground state**. BR predicted from β^+ decay [4] of ^{40}K to ^{40}Ar as $0.2 \pm 0.1\%$ (theory dependent)^a, and from total decay rate as $0.8 \pm 0.8\%$ (theory independent), but has **never been measured** [2]
 - ▶ EC to ground state would be the only known EC unique third-forbidden transition.

^aUpdate: $0.045 \pm 0.006\%$ [5]

Measuring EC with KDK [7]: X-ray detector and tagger



- ▶ Trigger on small inner detector
 - ▶ Low threshold (~ 1 keV) and high efficiency to detect 3 keV X-rays (and Augers?)
 - ▶ Transparent to $E \gtrsim 10$ keV to reduce scattering, background
- ▶ Surround with 4π veto to tag 1.46 MeV γ with high efficiency (bonus if threshold low enough to measure 511 keV γ as cross check of BR_{EC} estimation from BR_{β^+}).
 - ▶ For a signal-to-noise of 1, need an efficiency of 98%
 - ▶ 98% absorption efficiency of 1.46 MeV γ requires 22 cm of NaI (or 77 cm of LAB, or 59 cm of LAr)
- ▶ Compare tagged to untagged triggers to determine ratio of EC to EC*.

Modular Total Absorption Spectrometer (MTAS) tagger [8]

- ▶ At Oak Ridge (ORNL), surface site
- ▶ Made up of 19 NaI(Tl) modules, ≈ 55 kg each, ~ 1 ton total
- ▶ Central tunnel (≈ 6.5 cm diam):
source & X-ray detector go here
- ▶ Efficiency for tagging 1.46 MeV gammas from center is 98–99% (SNR: 1–2)

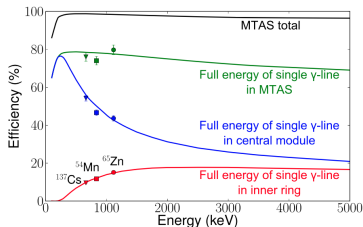
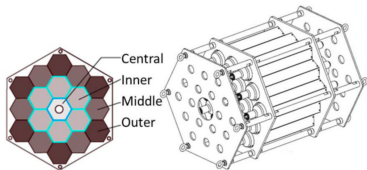
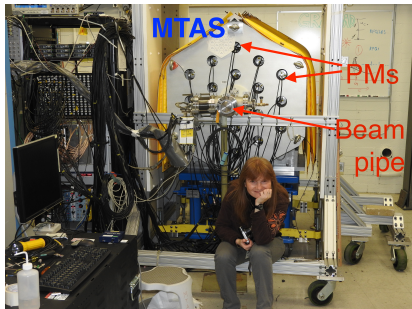


FIG. 3. The efficiencies of MTAS to detect single γ -ray transition are compared to GEANT4 simulations.

- ▶ Total BG rate ≤ 1.46 MeV ≈ 2.8 kHz (probability one of these events arrives in random $1 \mu\text{s}$ window is 2.8×10^{-3})
- ▶ 0.1% error on efficiency leads to 10% error on branching ratio \Rightarrow more calibrations needed to improve precision

MTAS and X-ray detector at ORNL

MTAS



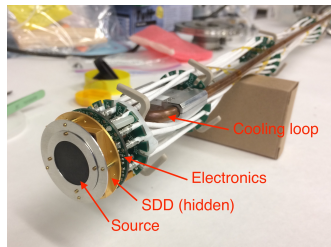
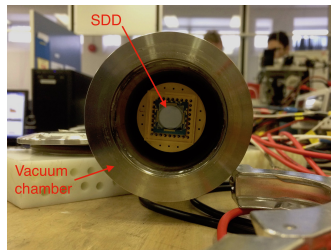
Vacuum insert with X-ray detector slides into beam pipe



Material minimized around source to avoid γ scattering

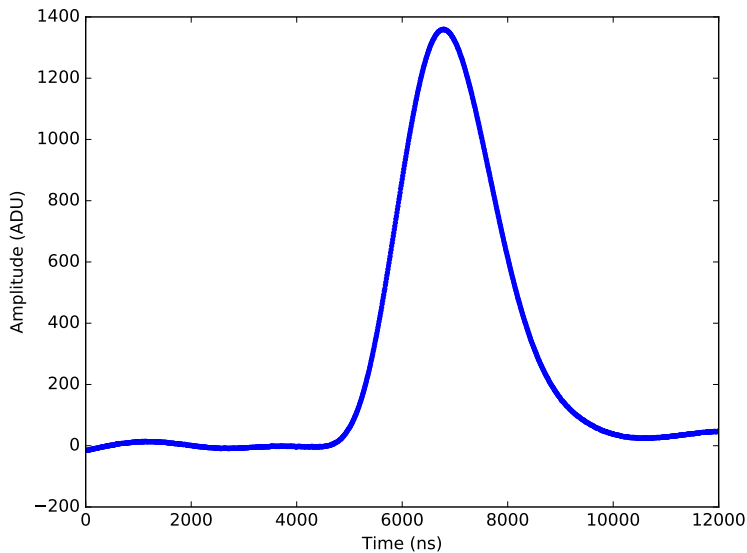
X-ray detector

- ▶ Requirements include $\lesssim 1$ keV threshold and 1 cm^2 surface area, placed ≈ 1 mm from sources of standard geometry.
- ▶ Have switched from commercial large-area avalanche photodiode (APD) to custom silicon drift detector (SDD) provided by HLL Munich.
- ▶ Resolution FWHM @ 6 keV improves from 1 keV to 170 eV.



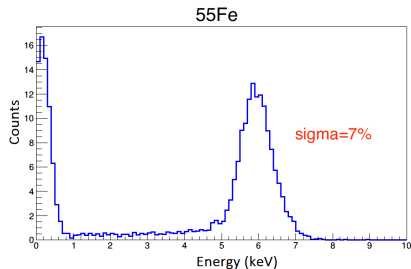
6 keV SDD pulse (1 μ s shaping)

Event ID:14390271

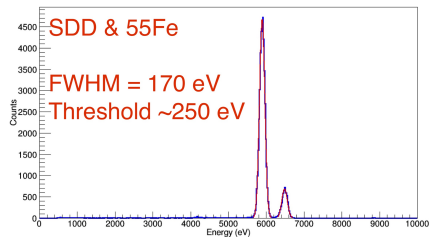


APD vs SDD: ^{55}Fe calibration

APD

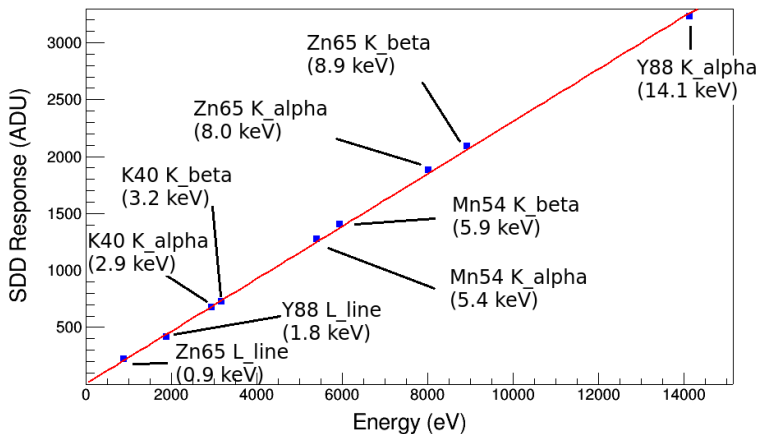


SDD



Resolution improved by factor 5, can now resolve K_{α} and K_{β} .

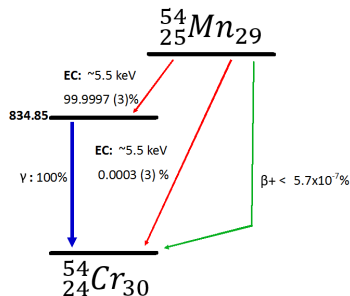
All energy calibrations



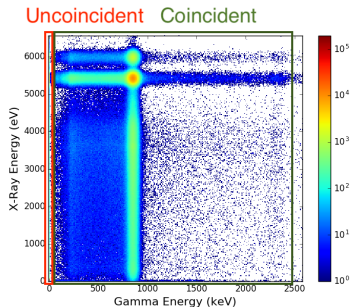
Calibrating tagging efficiency with ^{54}Mn

Overwhelmingly decays by EC*

Data: ≈ 2 days, $\gtrsim 10^6$ events

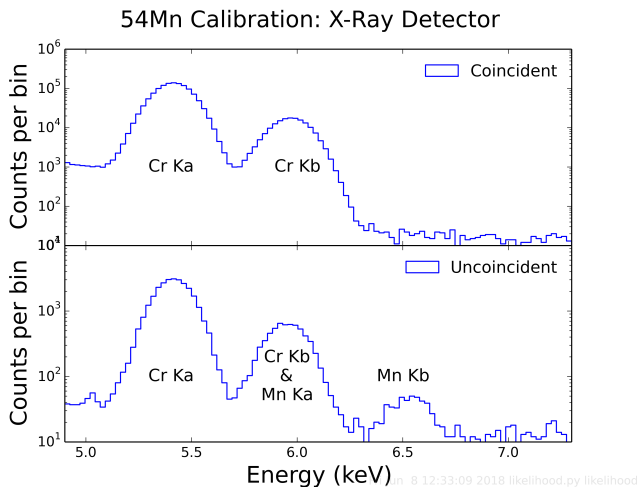


$E_X = 5.5$ keV (also 4–6 keV Augers), $E_\gamma = 835$ keV
Standard geometry source



(spurious coinc. with ^{40}K BG visible)
Also using ^{65}Zn ($EC/EC^* \approx 1$, $E_X = 8$ keV, $E_\gamma = 1115$ keV), and Monte Carlos to extrapolate to $E_\gamma = 1.46$ MeV.

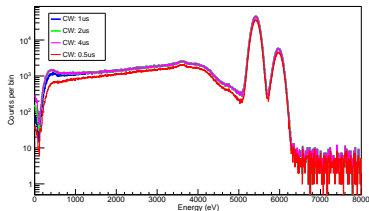
^{54}Mn efficiency calibration and background



Improved resolution reveals ^{55}Fe (eg Mn X-rays) contamination in ^{54}Mn (Cr X-rays) source that must be accounted for in efficiency calculation.

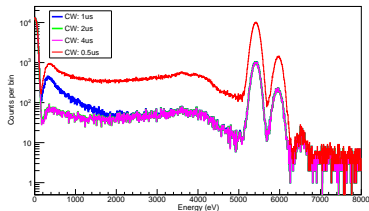
^{54}Mn : effect of coincidence window on SDD spectra

Coincident with MTAS



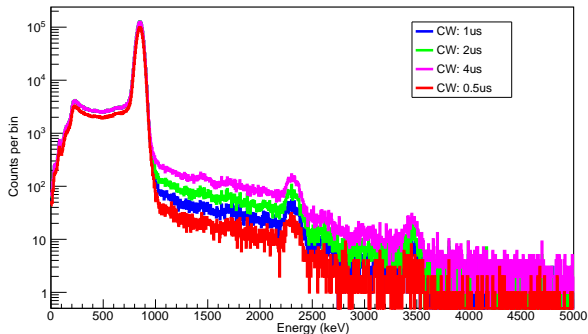
- Longer coincidence \longleftrightarrow more ^{54}Mn events

Uncoincident



- Longer coincidence \longleftrightarrow fewer ^{54}Mn events
- ^{55}Fe relatively unaffected

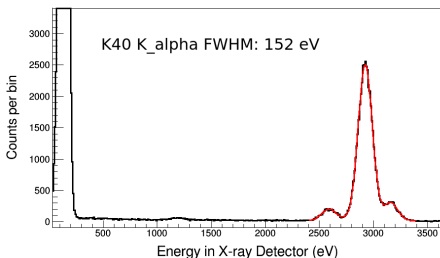
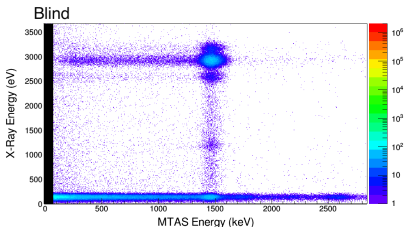
^{54}Mn : effect of coincidence window on MTAS spectrum



Above 835 keV see effect of spurious coincidences with 1.4 MeV ^{40}K γ s and 2.6 MeV ^{208}Tl (from ^{232}Th) γ s BGs

^{40}K run: Dec 2017 – Feb 2018 — BLINDED — PRELIM

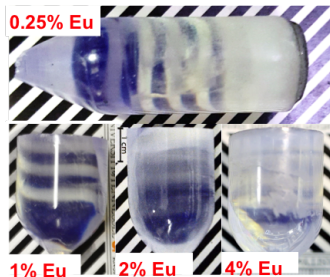
- ▶ Using a thermally deposited ^{enr}KCl source
- ▶ 33 days of usable data
- ▶ ^{40}K visible in MTAS and SDD



- ▶ $K_{\alpha,\beta}$ from EC* to Ar visible
- ▶ Ongoing work to understand
 - ▶ Cl fluorescence visible at 2.6 keV
 - ▶ Expected K fluorescence at 3.3 keV
 - ▶ Si escape line @
 $2.9 - 1.7 = 1.2$ keV
 - ▶ Uncoincident BGs

Complementary approach: $\text{KSr}_2\text{I}_5\text{:Eu}$ scintillator (KSI)^c

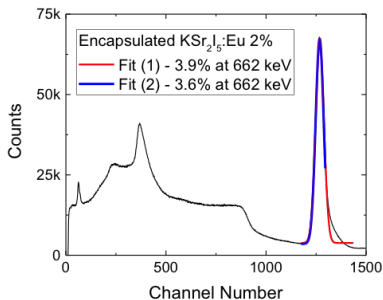
- ▶ Novel scintillator [9], high light yield ≈ 100 photons/keV, $\lambda \approx 450$ nm
- ▶ Density 4.4 g/cm^3 , total ^{40}K activity 6.6 Hz/cm^3
- ▶ Available in several cm^3
- ▶ 1" diameter crystals:



- ▶ Hygroscopic \rightarrow encapsulate



- ▶ Excellent energy resolution (^{137}Cs):



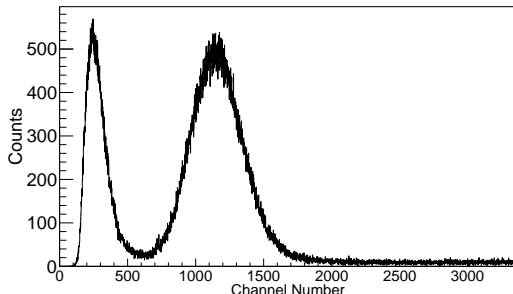
^cC. Goetz, E. Lukosi, C. Melcher, L. Stand — U. Tennessee

KSI in MTAS (preliminary)

Setup inserted into MTAS



Scintillator spectrum of events coincident with MTAS



- ▶ 4 g KSI

- ▶ $7 \times 7 \times 20 \text{ mm}^3$
 - ▶ Total ^{40}K activity
 $\sim 6 \text{ Hz}$

- ▶ 2 PMs

- ▶ 3 keV X-rays and Auger electrons visible
- ▶ Analysis ongoing

Conclusions and prospects for KDK

- ▶ Measuring branching ratio of electron capture of ^{40}K to ground state of ^{40}Ar will:
 - ▶ provide better understanding of backgrounds in DAMA claim for discovery of dark matter, and in other dark matter searches
 - ▶ be first observation of EC unique third-forbidden decay
 - ▶ inform nuclear shell models
- ▶ Data, including γ -efficiency calibration, and ^{40}K , in hand; coincidences visible
- ▶ Excellent performance of SDD X-ray detector
- ▶ Analysis progressing: γ -tagging efficiency, backgrounds, simulations, and ^{40}K data
- ▶ Complementary analysis of KSr_2I_5 data advancing in parallel

^{40}K contribution to DAMA

- ▶ 2–6 keVee energy range: DAMA observes time-independent component of ~ 4 events/d/kg, and **modulation component of ~ 0.05 events/d/kg** [10].
- ▶ DAMA reports **13 ppb contamination of ^{nat}K** based on 3 keV–1.4 MeV coincidence between detectors and on Monte-Carlo of unknown efficiency (Sec. 5.3 of [10], and Sec. 5.4 of [3]).
- ▶ Given natural abundance of ^{40}K and half-life [?], and atomic mass, leads to a total rate of ^{40}K decays in NaI of 23/d/kg.
- ▶ 2–6 keVee range: EC and EC* contribute $\sim 7\%$ of these decays as an Auger electron and $\sim 1\%$ as an X-ray [?]
- ▶ Since these radiations are all absorbed by DAMA, but EC* are tagged with an efficiency ϵ , EC* contribute $\sim 1.8(1 - \epsilon)$ events/d/kg to the 2-6 keVee range. **Assuming a 90% tagging efficiency, EC* contributes ~ 0.18 events/d/kg.**
- ▶ The contribution of EC is $\sim 0.03 \frac{\zeta}{0.02}$ events/d/kg to the 2-6 keVee range, ie of the order of the modulation, ie **~ 0.03 events/d/kg for EC** at the expected value of ζ . **The modulation-to-constant fraction of DAMA signal interpretation is therefore quite sensitive to EC contribution.**
- ▶ There should also be a contribution from the β^- decays of ^{40}K that are abundant, but spread over 0–1.3 MeV.

DAMA: effect of EC BG on signal [2]

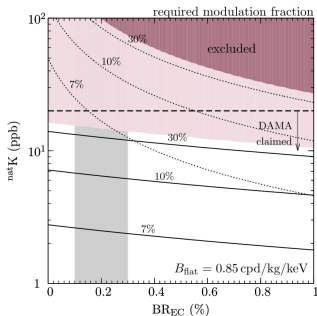


Figure 1: Solid: assuming some vetoed EC*.

Dashed: no EC* at all. For fixed BR, as K concentration increases, one squeezes out signal DC, resulting in larger signal modulation fractions. For fixed K concentration, as BR increases, one squeezes the signal DC, and increases signal modulation fraction as well. Signal modulation must always be $\leq 100\%$ (dark/light red area for

dashed/solid).

- ▶ In region of interest, DAMA observes a $\sim 1\%$ modulation (AC — signal only) over a constant level (DC — signal and BG).
- ▶ Level of BG will squeeze level of DC signal
- ▶ This may push signal AC/DC to values $\geq 20\%$ difficult to reconcile with usual halo assumptions.
- ▶ Assuming 90% tagging of EC*, BG contribution of EC* relative to that of EC is $5 \times 0.2\%/BR$ [2].

Effect of systematics: tagging efficiency

- Likelihood-based analysis, here on simulated data
- How is reconstruction of $\zeta \equiv 1/\eta$ (ratio of branching ratios for EC and EC*) affected by uncertainty on ϵ_γ (veto efficiency)?
- Very sensitive!

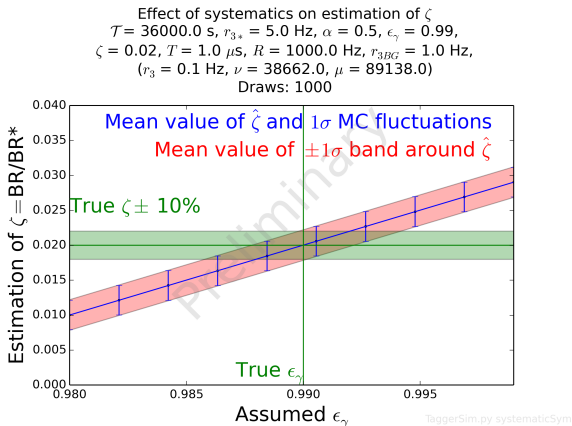


Figure 2: Simulations: how uncertainty on tagging efficiency affects reconstruction of ζ (ratio of branching ratios).

Preparing ^{40}K source (Y.Liu, ORNL)

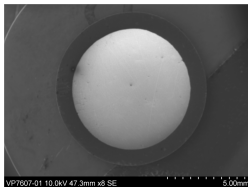
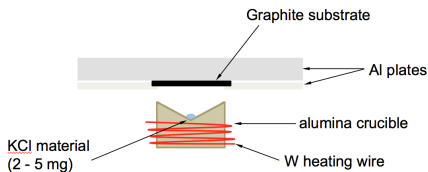


Equivalent ^{40}K content

- ▶ Driver: $\sim 3 \times 10^4$ untagged 3 keV counts required to provide 10% stat error on ratio of EC/EC* branching ratios
- ▶ Source goals:
 - ▶ 10^{18} ^{40}K nuclei (4×10^3 EC events/day)
 - ▶ Area 1 cm^2 , thickness $< 10 \mu\text{m}$ (to reduced self-absorption and losses of efficiency)
- ▶ First approach: ion beam implantation
 1. Ion source: $\text{KCl} \rightarrow ^{39,40,41}\text{K}^+ @ 20\text{--}40 \text{ keV}$
 2. Magnetic mass separator $\rightarrow ^{40}\text{K}^+$
 3. Implant into C foil (depth a few μm)
- ▶ Expected efficiency 10%; started with natural K, then K enriched to 3% ^{40}K

^{40}K source upgrade: thermal deposition (Y. Liu, ORNL)

Tests with ^{nat}KCl



(SEM work K. G. Myhre, ORNL)

- ▶ Smooth KCl films obtained; thickness $11 \pm 1 \mu\text{m}$
- ▶ 30–50% efficiency demonstrated

^{40}K sources

- ▶ 2 sources manufactured with 3% enriched ^{40}K (1.5 mg and 3 mg)
- ▶ Tested early July in setup at ORNL; analysis underway: **no more ^{125}Sb contamination**
- ▶ Also procuring 10% enriched ^{40}K

References I

- [1] V.A. Kudryavtsev, M. Robinson, and N.J.C. Spooner.
The expected background spectrum in NaI dark matter detectors and the DAMA result.
[Astropart. Phys.](#), 33(2):91–96, March 2010.
- [2] Josef Pradler, Balraj Singh, and Itay Yavin.
On an unverified nuclear decay and its role in the DAMA experiment.
[Phys. Lett. B](#), 720(4–5):399–404, March 2013.
- [3] R. Bernabei, P. Belli, S. d'ANGELO, A. Di Marco, F. Montecchia, F. Cappella, A. d'ANGELO, A. Incicchitti, V. Caracciolo, S. Castellano, R. Cerulli, C. J. Dai, H. L. He, X. H. Ma, X. D. Sheng, R. G. Wang, and Z. P. Ye.
DARK MATTER INVESTIGATION BY DAMA AT GRAN SASSO.
[Int. J. Mod. Phys. A](#), 28(16):1330022, June 2013.
- [4] D. W. Engelkemeir, K. F. Flynn, and L. E. Glendenin.
Positron Emission in the Decay of K 40.
[Physical Review](#), 126(5):1818, 1962.
- [5] Jun Chen.
Nuclear Data Sheets for A=40.
[Nuclear Data Sheets](#), 140:1–376, February 2017.
- [6] X. Mougeot and R. G. Helmer.
K-40_tables.pdf.

References II

- [7] P. C. F. Di Stefano, N. Brewer, A. Fijałkowska, Z. Gai, K. C. Goetz, R. Grzywacz, D. Hamm, P. Lechner, Y. Liu, E. Lukosi, M. Mancuso, C. Melcher, J. Ninkovic, F. Petricca, B. C. Rasco, C. Rouleau, K. P. Rykaczewski, P. Squillari, L. Stand, D. Stracener, M. Stukel, M. Wolińska-Cichocka, and I. Yavin.
The KDK (potassium decay) experiment.
[arXiv:1711.04004 \[nucl-ex, physics:physics\]](#), November 2017.
[arXiv: 1711.04004](#).
- [8] M. Karny, K.P. Rykaczewski, A. Fijałkowska, B.C. Rasco, M. Wolińska-Cichocka, R.K. Grzywacz, K.C. Goetz, D. Miller, and E.F. Zganjar.
Modular total absorption spectrometer.
[Nucl. Instr. Meth. Phys. Res. A](#), 836:83–90, November 2016.
- [9] L. Stand, M. Zhuravleva, G. Camarda, A. Lindsey, J. Johnson, C. Hobbs, and C.L. Melcher.
Exploring growth conditions and Eu²⁺ concentration effects for KSr₂Si₅:Eu scintillator crystals.
[Journal of Crystal Growth](#), 439:93–98, April 2016.
- [10] R. Bernabei, P. Belli, A. Bussolotti, F. Cappella, R. Cerulli, C. J. Dai, A. d'Angelo, H. L. He, A. Incicchitti, H. H. Kuang, J. M. Ma, A. Mattei, F. Montecchia, F. Nozzoli, D. Prosperi, X. D. Sheng, and Z. P. Ye.
The DAMA/LIBRA apparatus.
[arXiv:0804.2738](#), April 2008.
[Nucl.Instrum.Meth.A592:297-315,2008](#).