

# Probing the SM: Top quarks and beyond

Michele Gallinaro

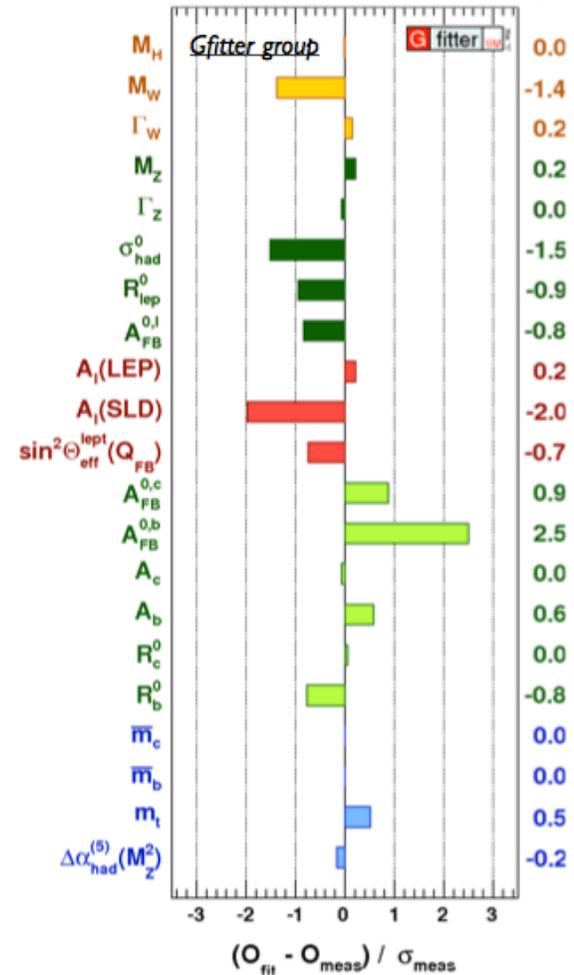
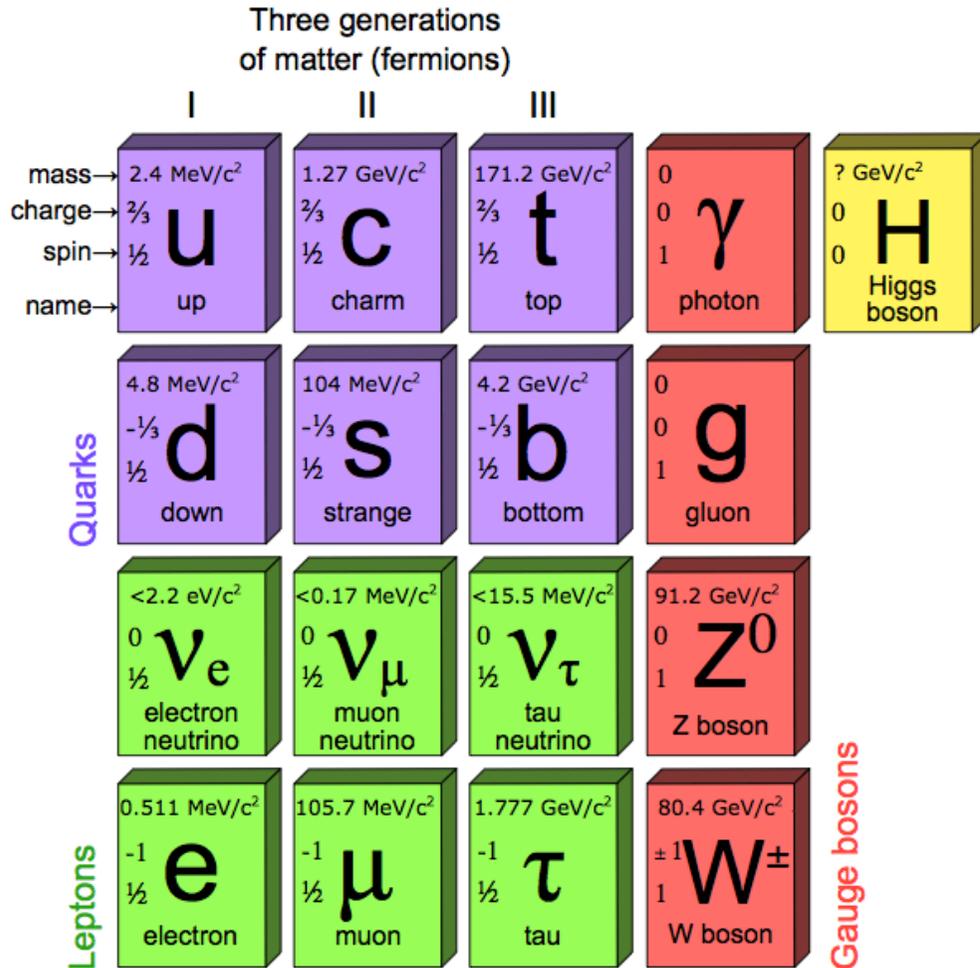
*LIP Lisbon*

*March 23, 2018*

- ✓ Top quarks as window to New Physics
- ✓ Top-Higgs associated production
- ✓ Top quark signatures in SUSY
- ✓ Higgs and Dark Matter

# SM confirmed by the data

## Standard model of elementary particles

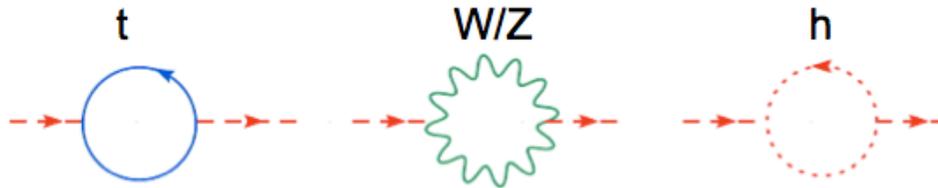


Excellent agreement with all experimental results

# Top quarks as window to BSM physics

Top quark affects stability of Higgs mass

Contributions grow with  $\Lambda$ :



$$m^2 = m_0^2 + g^2 \Lambda^2$$

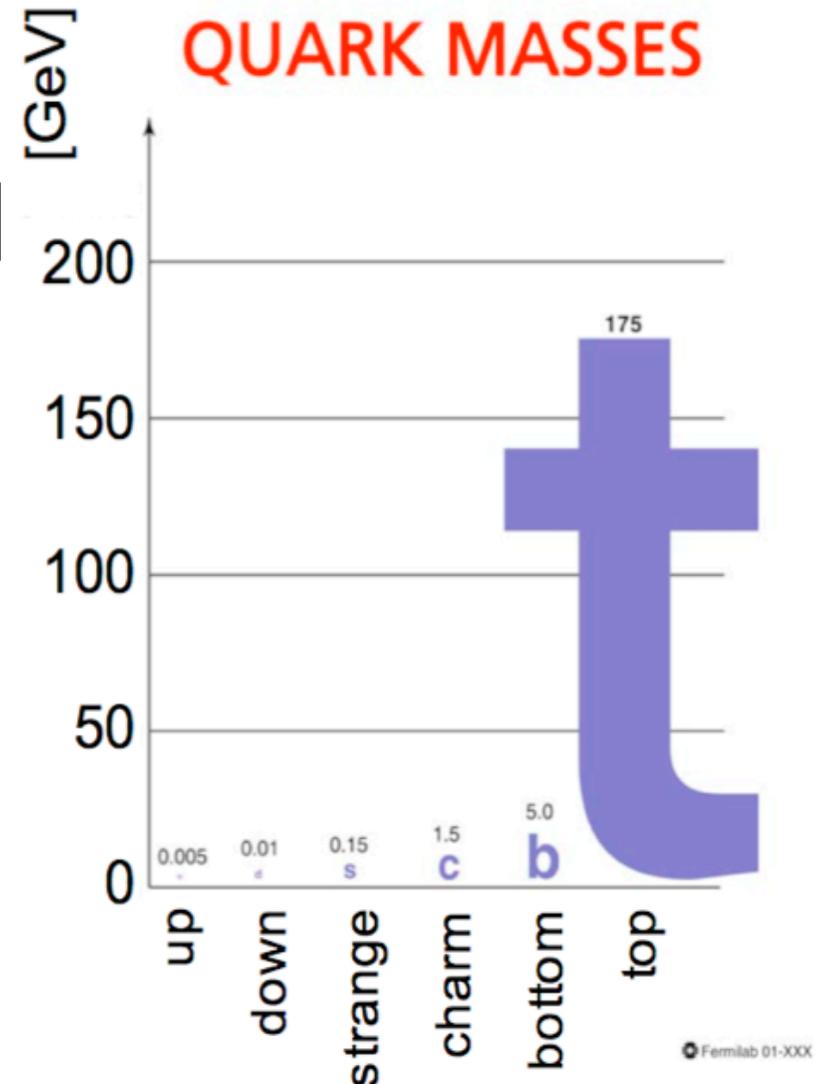
Cancellation?

## Solutions:

- Naturalness: There is no problem
- Weakly-coupled model at TeV scale
  - New particles to cancel SM divergences
  - Top partners: new scalar/vectors coupled to top, exotic top decays
- Strongly-coupled model at TeV scale
  - $t\bar{t}$  resonances, bound states, 4-top production, etc.
- New space-time structure
  - Introduce extra space dimensions to lower Planck scale cutoff to  $\sim 1\text{TeV}$
  - KK excitations

# The top quark

- The heaviest known elementary particle
- Large coupling to the Higgs:  $\sim 1$
- Short lifetime  $\tau = 0.4 \times 10^{-24}$  sec
  - for  $m_{\text{top}} = 175$  GeV  $\Rightarrow \Gamma = 1.4$  GeV  $\Rightarrow$  no hadronization
  - large contributions to EWK corrections  $\sim G_F m_{\text{top}}^2$
  - very short lifetime  $\Rightarrow$  bound states are not formed  $\Rightarrow$  opportunity to study a free quark
- Large samples of top quarks available
- Top quarks are main background for many New Physics searches
- Precision measurements may provide insight into physics beyond SM

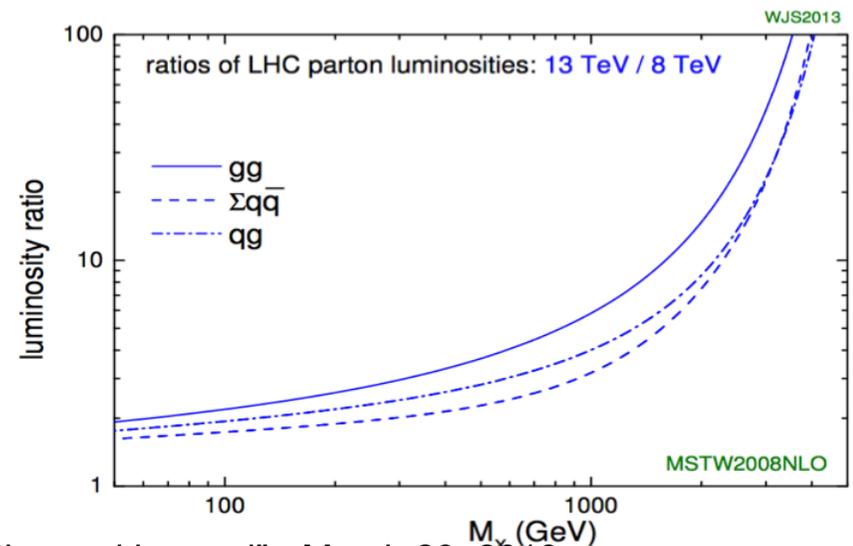
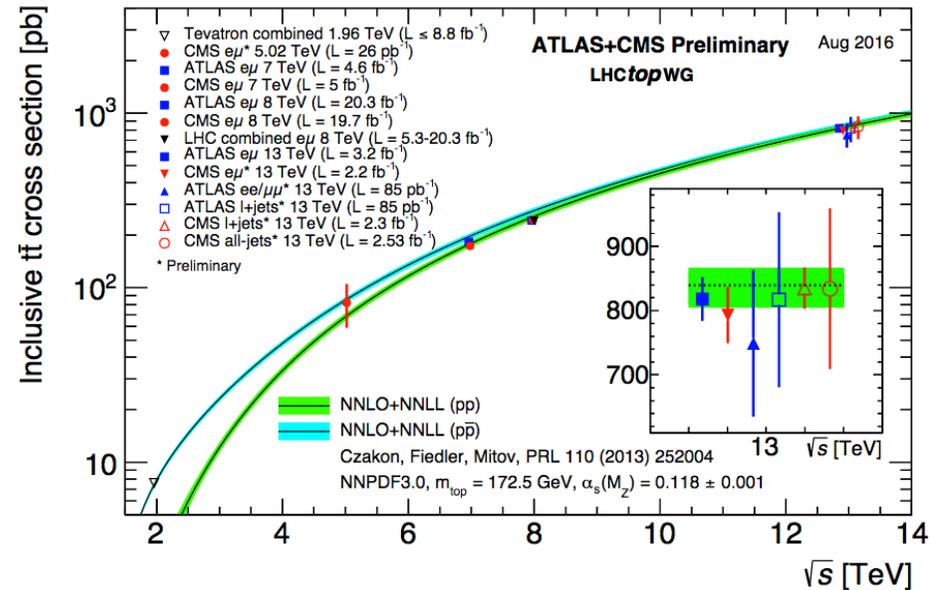


# Role of top quark physics

- Top quark physics after the Higgs discovery

- Heavy particle, preferential coupling?
- Special role in EWSB mechanism?
- Does it play a role in non-SM physics?
- Are the couplings affected?
- Main background for many NP searches

- Monitoring of production mechanism
- Is there any sign of NP in top production/decay?

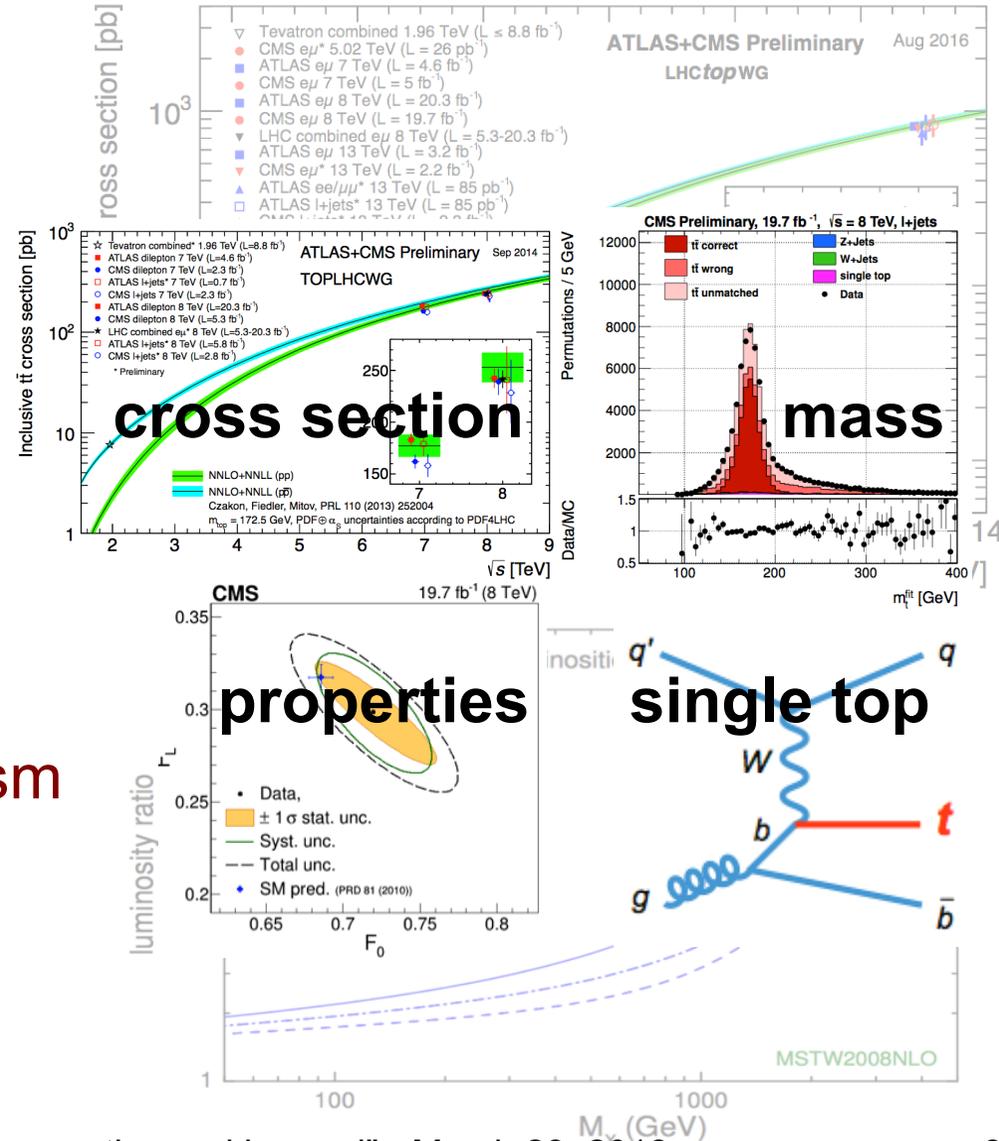


# Role of top quark physics

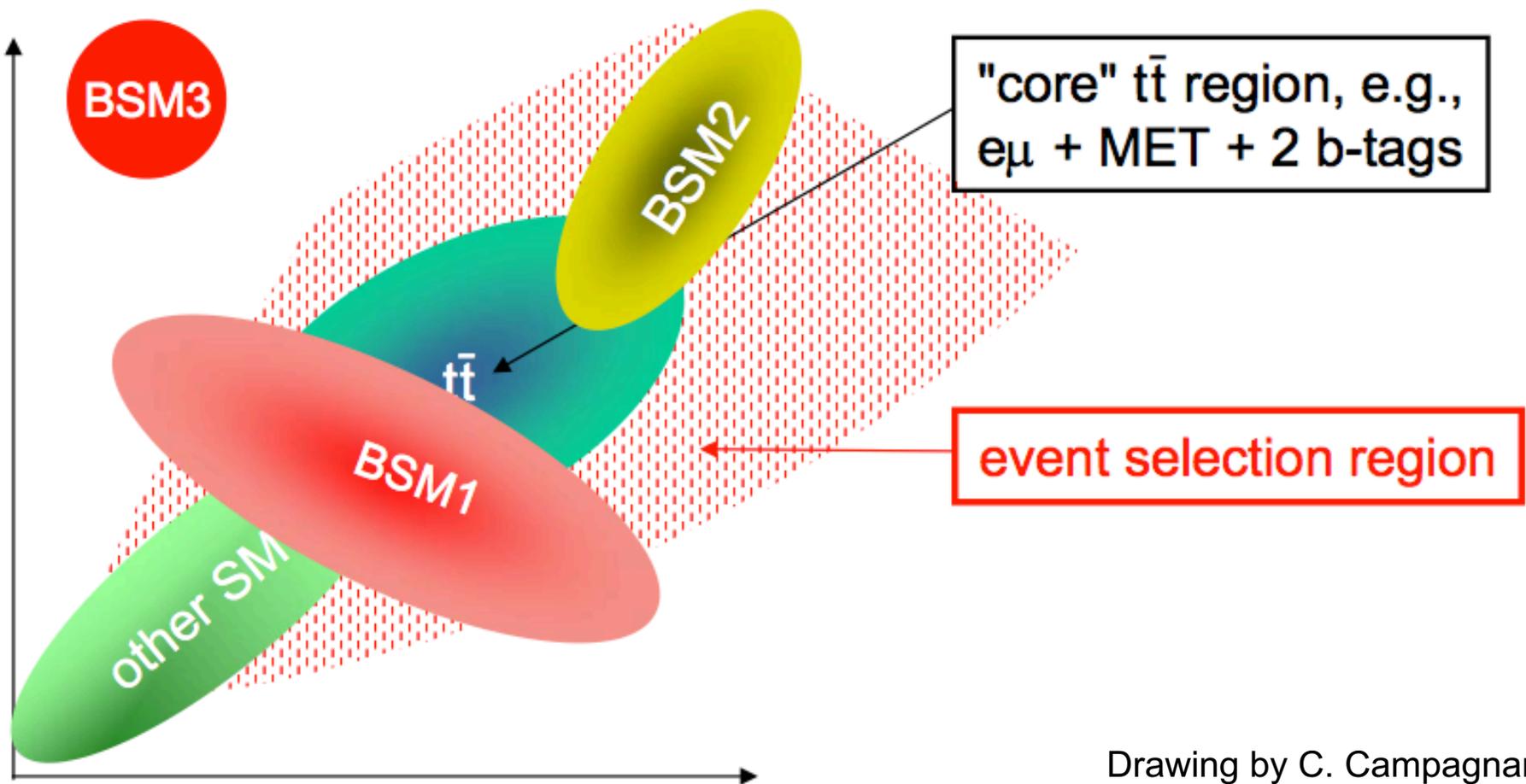
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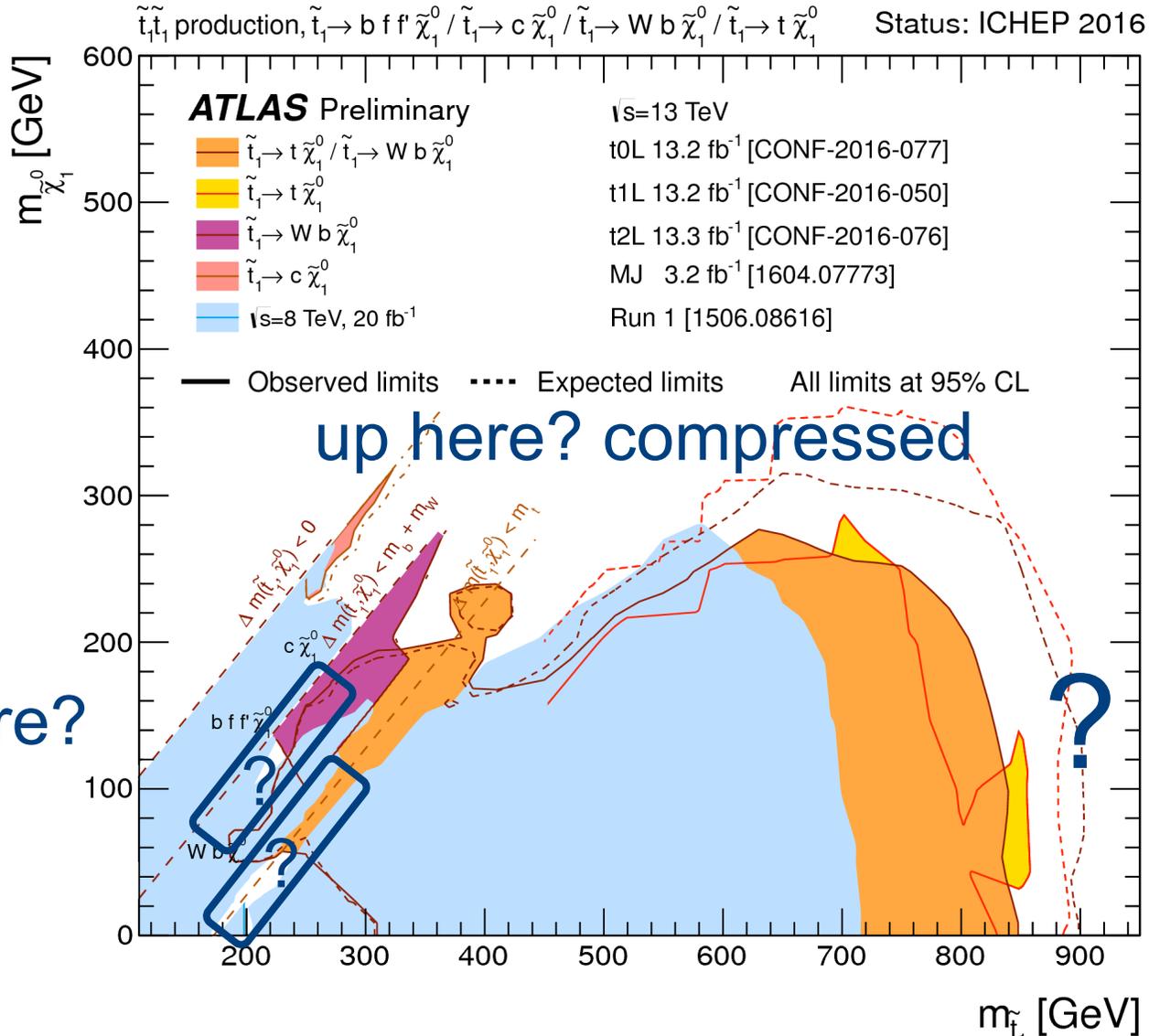
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# Study characteristics

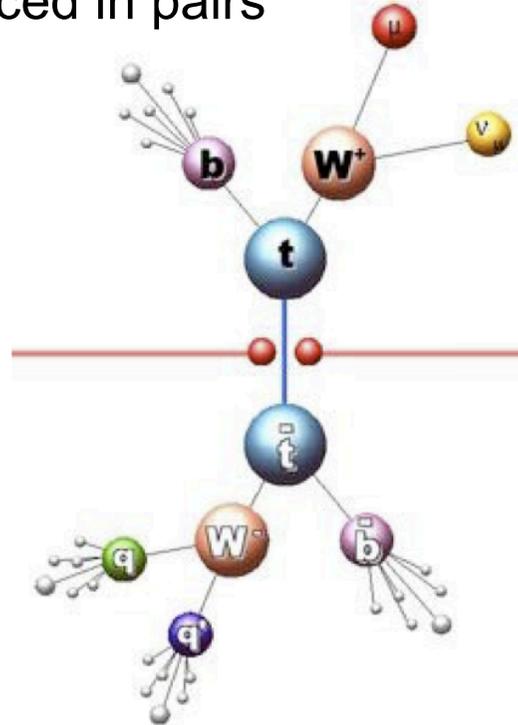


# Regions hard to explore

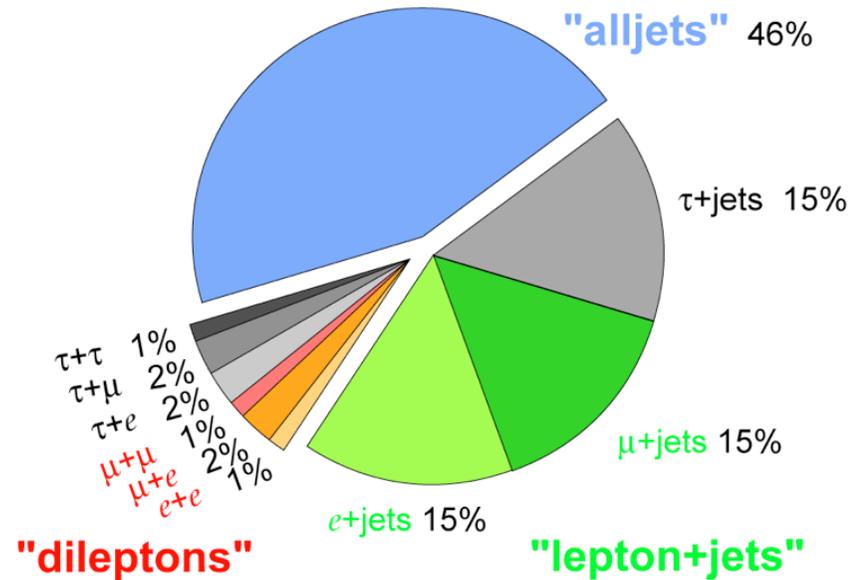


# Top quark decays

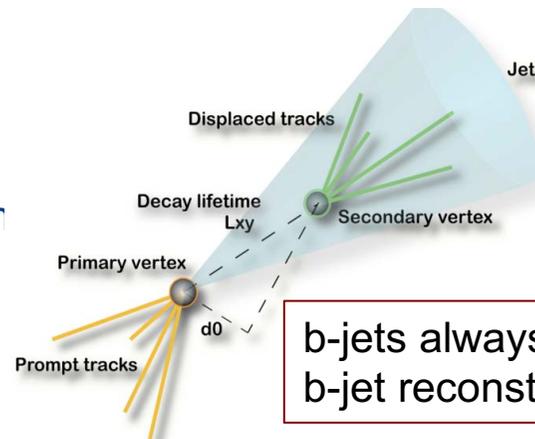
Top quarks (mostly) produced in pairs



Top Pair Branching Fractions



- Dilepton ( $ee$ ,  $\mu\mu$ ,  $e\mu$ ):
  - BR~5%, 2 leptons+2 b-jets+2 neutrinos
- Lepton ( $e$  or  $\mu$ ) + jets
  - BR~30%, one lepton+4jets (2 from b)+1  $\nu$
- All hadronic
  - BR~44%, 6 jets (2 from b), no neutrinos

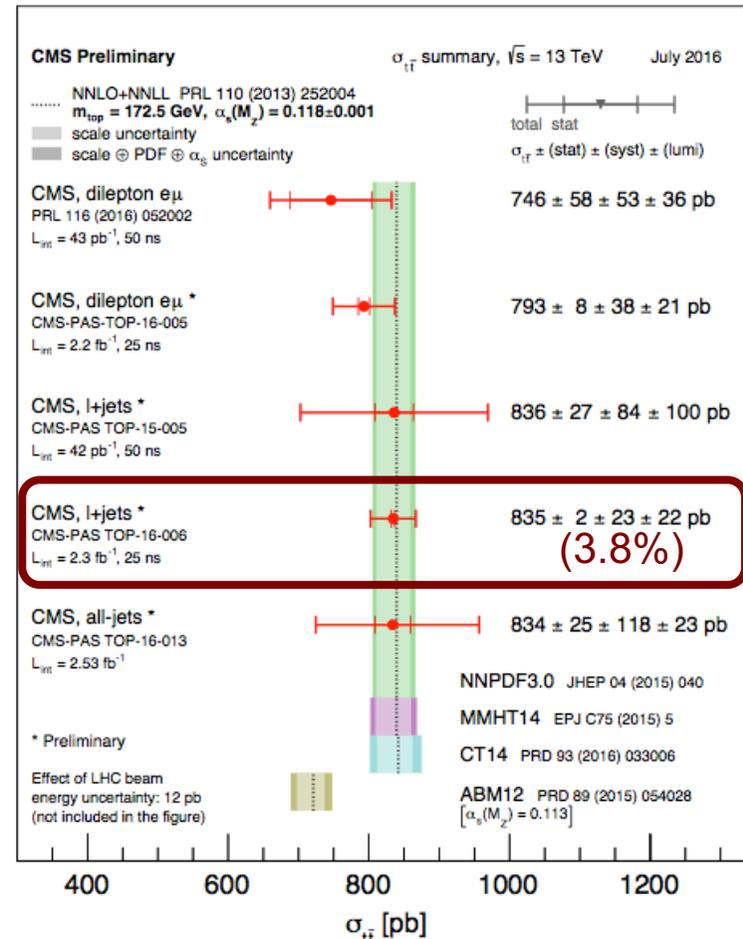
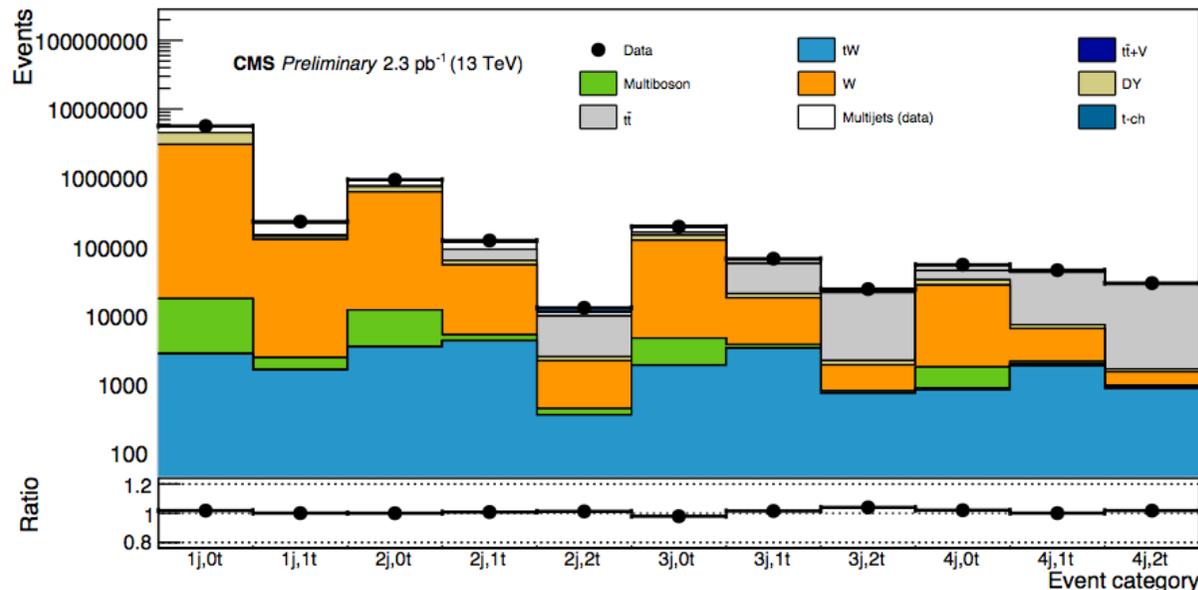


b-jets always present  
b-jet reconstruction plays important role

# Cross section: multi-dimensional fit

CMS-TOP-16-006

- Lepton+jet final state
- Keep selection as inclusive as possible
- Categorize events according to (b-)jet multiplicity
  - high-purity vs background dominated
  - Constrain systematics (JES, ISR/FSR, modeling, etc)
- Combined fit of  $M_{lb}$  to signal and backgrounds
- Precise cross section measurement



# Probing the $Wtb$ vertex

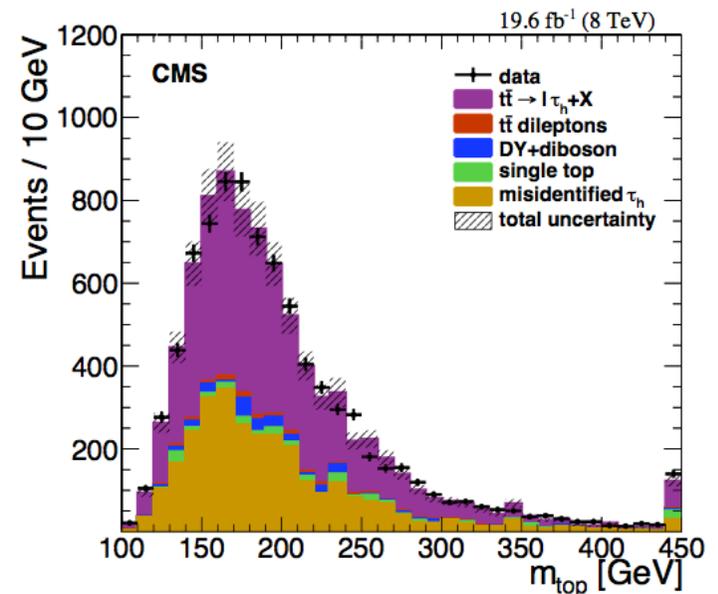
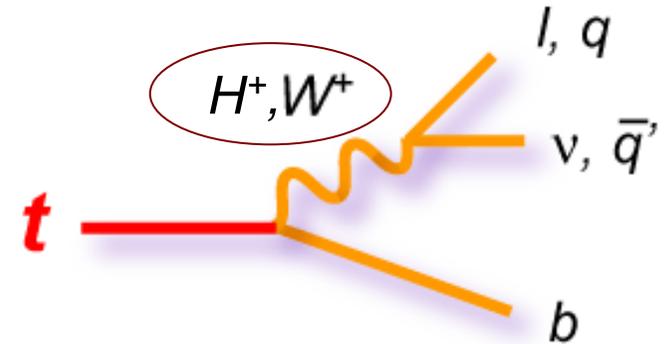
PRD 85 (2012) 112007, PLB 739 (2014) 23

## Dileptons with taus

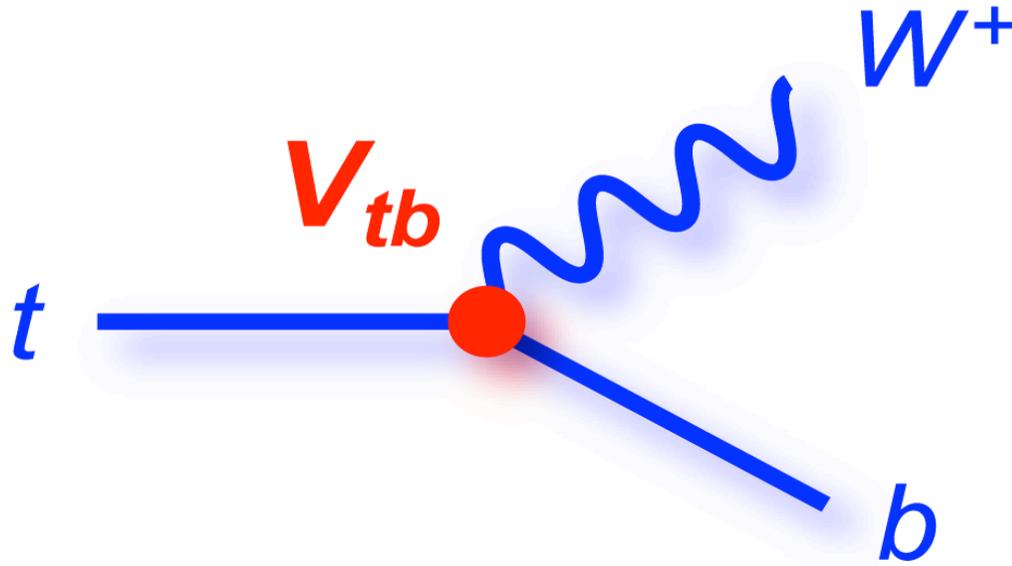
- cross section measurement including  $\tau$ s
- Includes only 3<sup>rd</sup> generation quarks/leptons
- Syst unc:  $\tau$ aid, fakes

| Channel             | Signature                         | BR          |
|---------------------|-----------------------------------|-------------|
| Dilepton( $e/\mu$ ) | $ee, \mu\mu, e\mu + 2b$ -jets     | 4/81        |
| Single lepton       | $e, \mu + \text{jets} + 2b$ -jets | 24/81       |
| All-hadronic        | $\text{jets} + 2b$ -jets          | 36/81       |
| <b>Tau dilepton</b> | $e\tau, \mu\tau + 2b$ -jets       | <b>4/81</b> |
| Tau+jets            | $\tau + \text{jets} + 2b$ -jets   | 12/81       |

- If top quark plays special role in EWK symmetry breaking, couplings to  $W$  may change
- Charged Higgs may alter coupling to  $W$
- Search for final states with **taus**: charged Higgs



# How does a top quark decay?



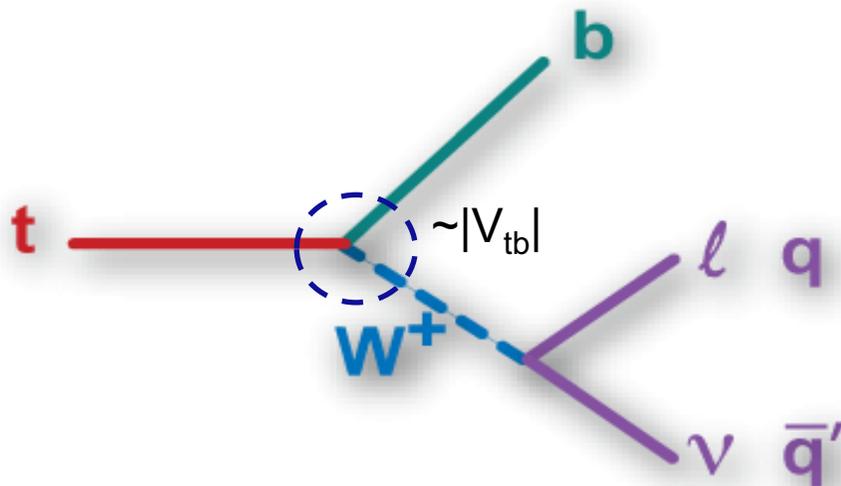
- almost always  $t \rightarrow Wb$  (i.e.  $V_{tb} \sim 1$ )
- lifetime is short, and it decays before hadronizing
- the  $W$  is real:
  - can decay  $W \rightarrow l\nu$  ( $l=e, \mu, \tau$ ),  $BR \sim 1/9$  per lepton
  - can decay  $W \rightarrow qq$ ,  $BR \sim 2/3$

# Measure R in dilepton channel

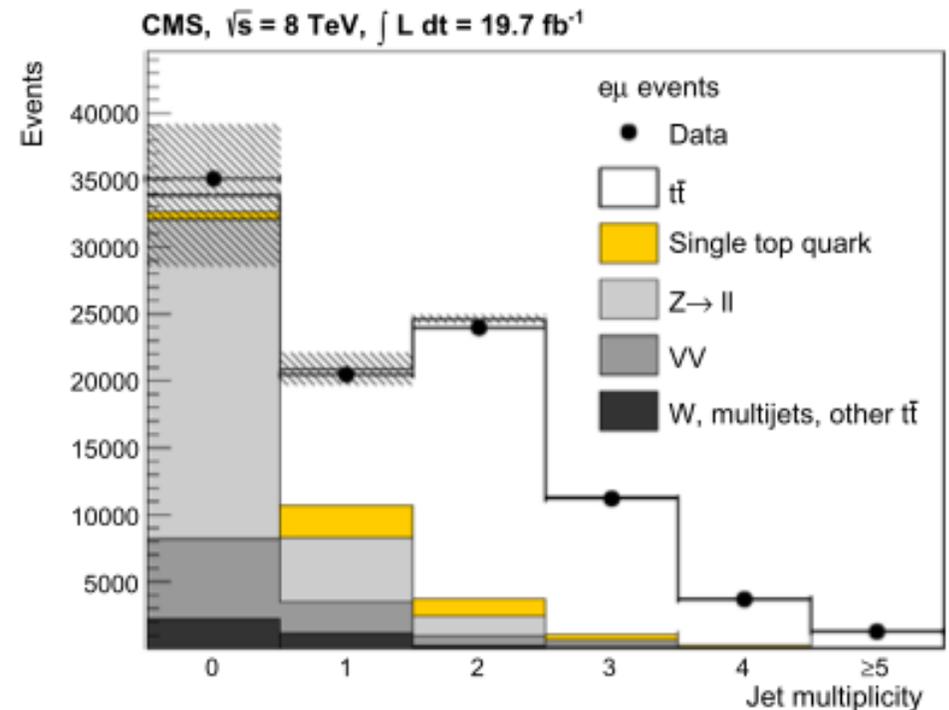
N.Cim. B125(2010)983, PLB 736(2014)33

- Probe heavy flavor content of  $t\bar{t}$  events
- Use  $t\bar{t}$  dilepton final state
  - small background
- Measure:

$$R \equiv \frac{BR(t \rightarrow Wb)}{BR(t \rightarrow Wq)}$$



- Selection:
  - 2 leptons +  $\geq 2$  jets + MET
  - no b-tagging in preselection
- Goals:
  - measure  $\epsilon(b)$  and R



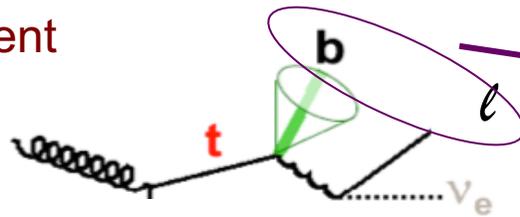
# Signal or background?

N.Cim. B125(2010)983, PLB 736(2014)33

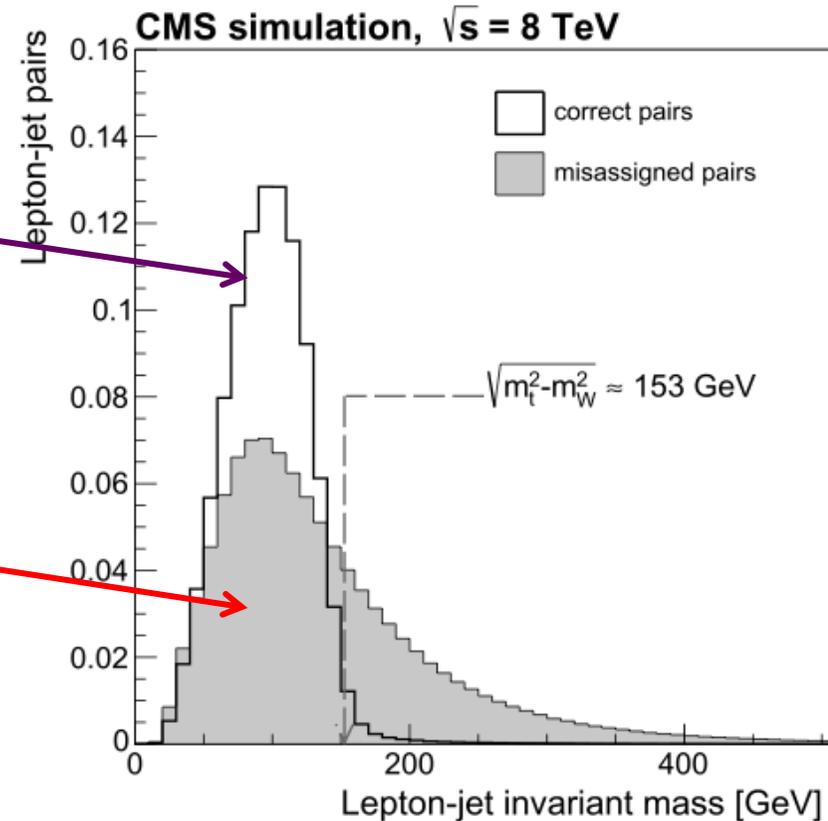
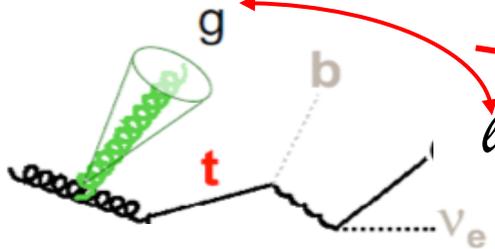
Data-driven determination of background

- Reconstruct lepton-jet invariant mass

- Correct assignment



- Wrong assignment

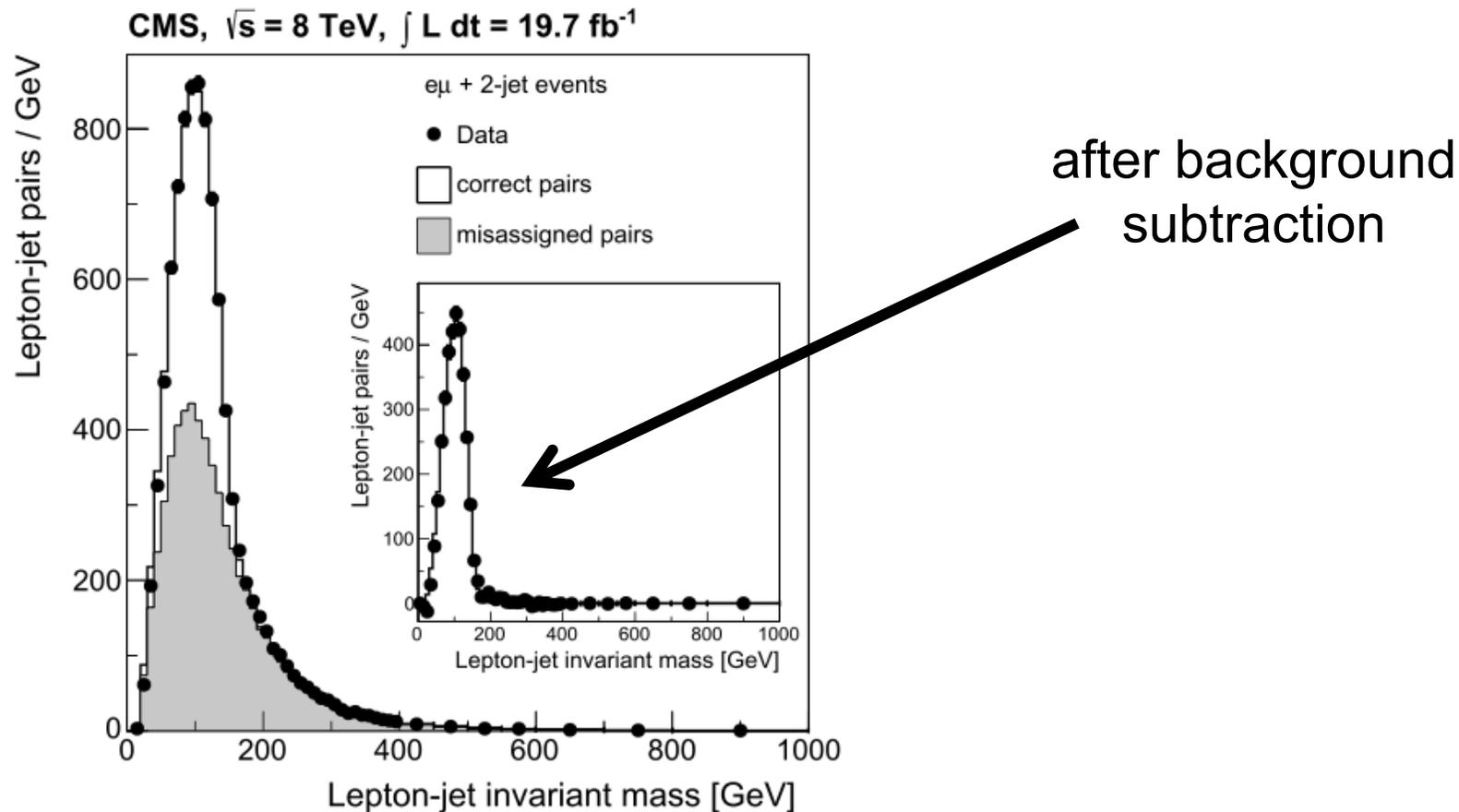


- Use **tail** to model background in **signal** region

# Signal vs. background

N.Cim. B125(2010)983, PLB 736(2014)33

Scale shape to match spectrum observed with  $M_{lj} > 180$  GeV



# Heavy flavor content

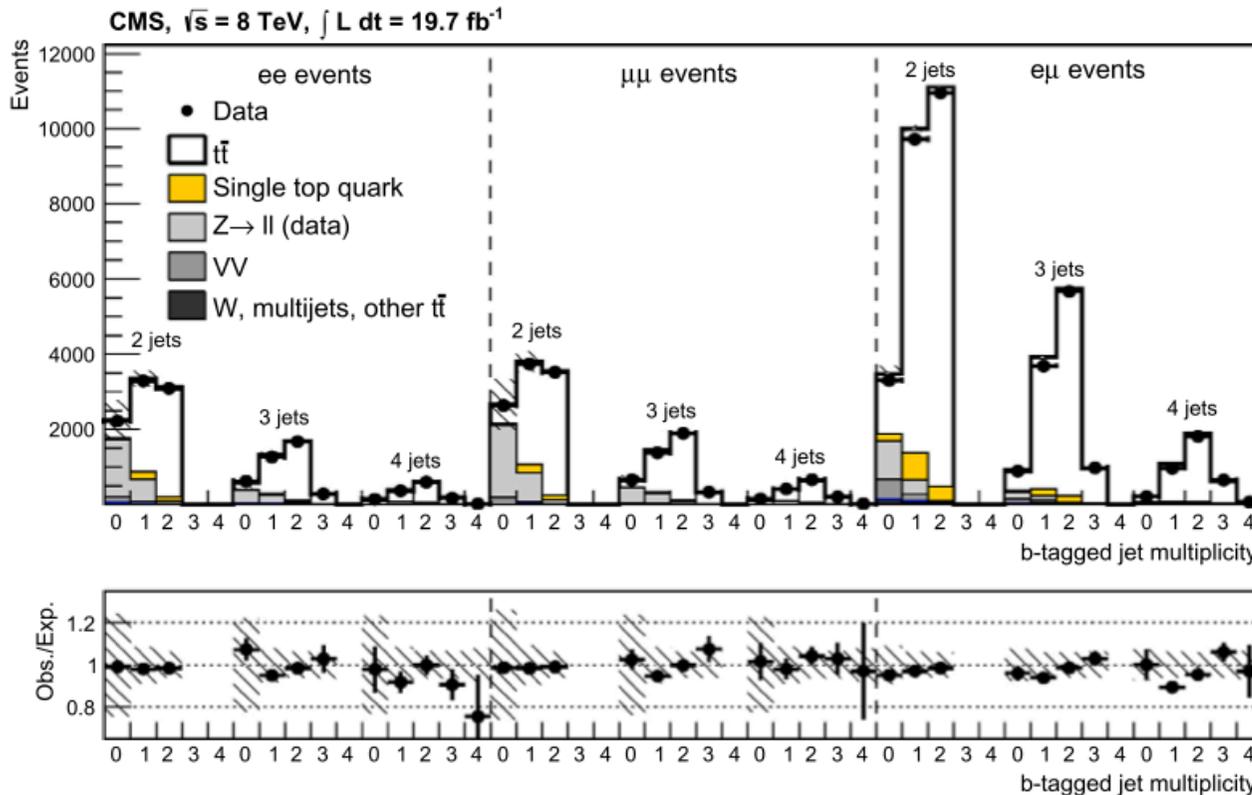
N.Cim. B125(2010)983, PLB 736(2014)33

- Measurement

- b-tagging multiplicity parametrized as function of  $R$   $\varepsilon_b$ ,  $\varepsilon_q$ , top contribution
- Number of reconstructed  $t \rightarrow Wq$  is estimated from lepton-jet invariant mass

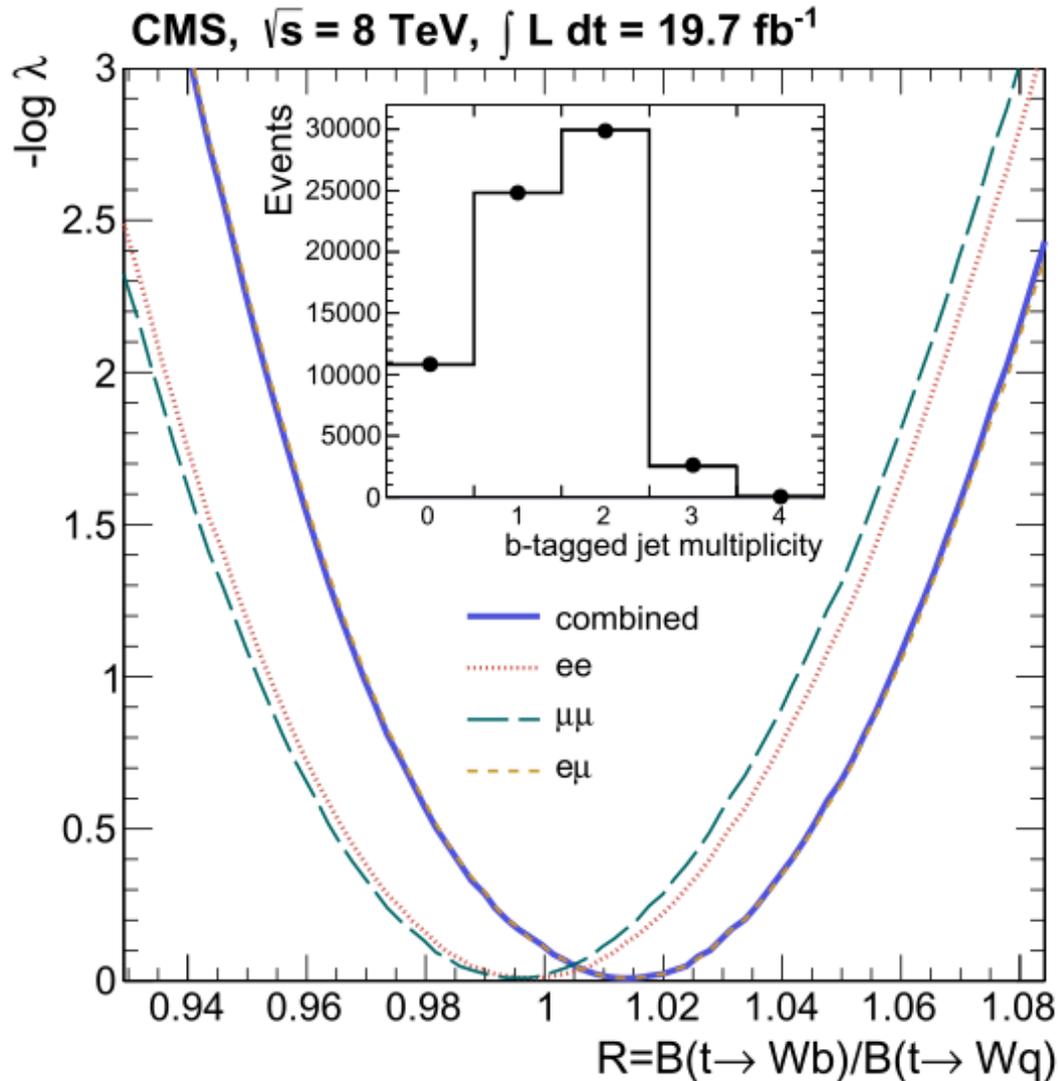
- $R = 1.01 \pm 0.03$  (stat.  $\oplus$  syst.)

- Lower boundary with confidence interval @95%CL after requiring  $R \leq 1 \Rightarrow R > 0.955$  @95%CL



# Measure R

N.Cim. B125(2010)983, PLB 736(2014)33

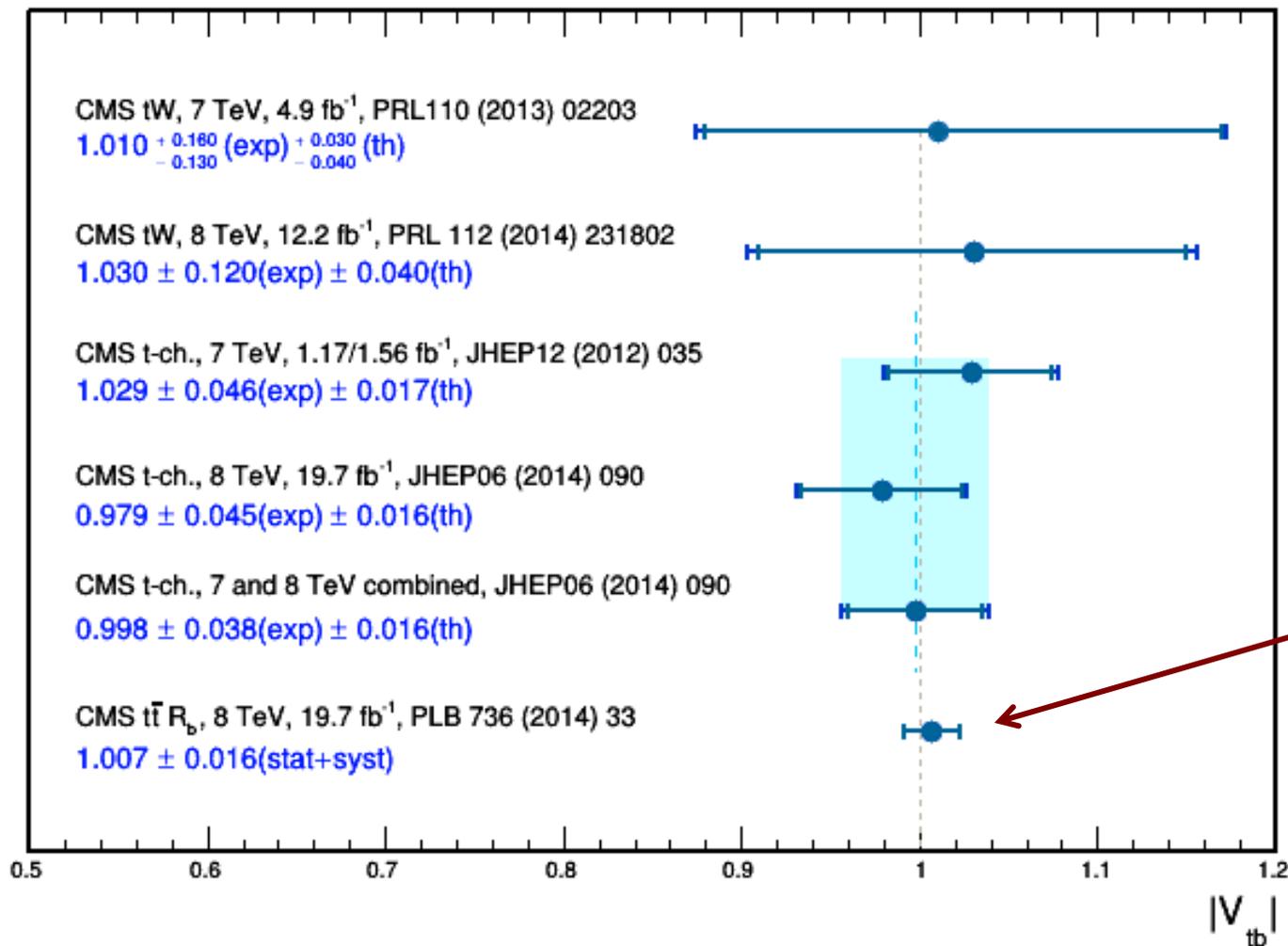


- Variation of the likelihood used to measure R from data
- Fit different categories

# Summary of R results

CMS Preliminary

August 2014



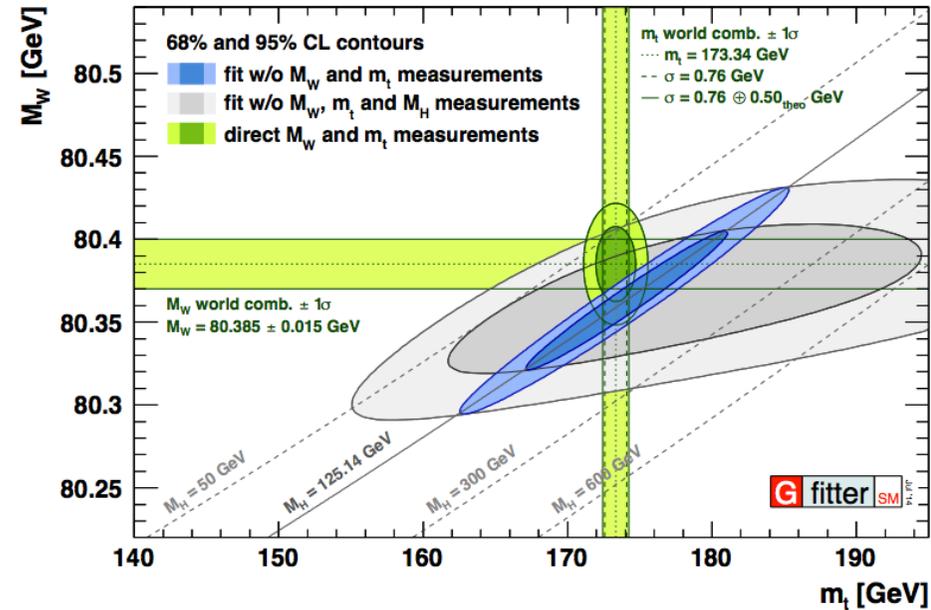
Most accurate measurement

# Why top quark properties?

- Top quark mass is a fundamental parameter of the SM



- Precise measurement needed for checking consistency of the SM

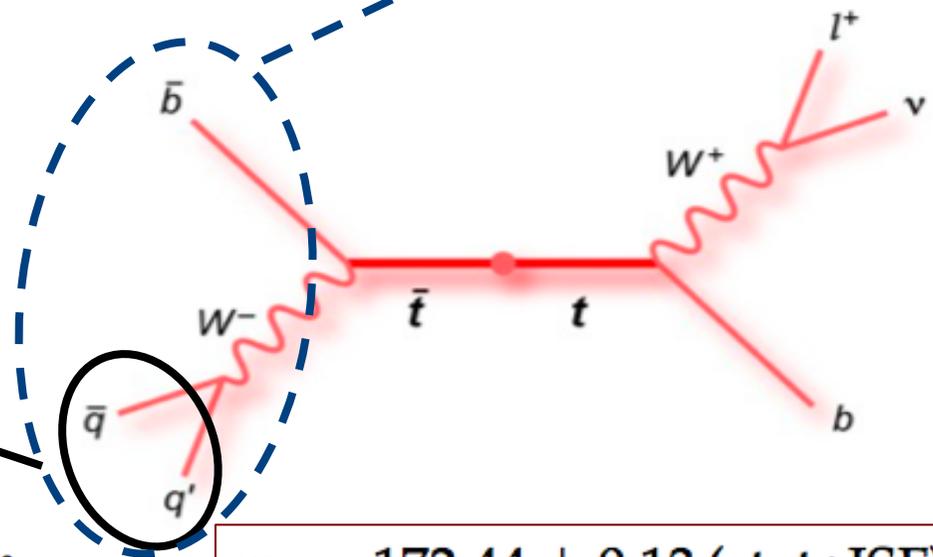
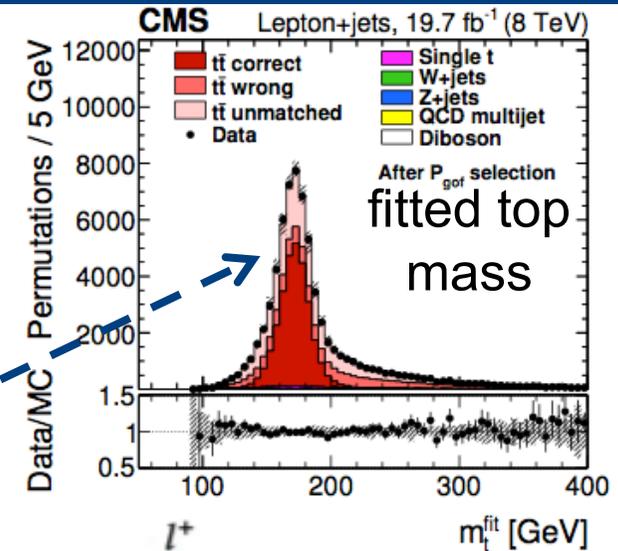
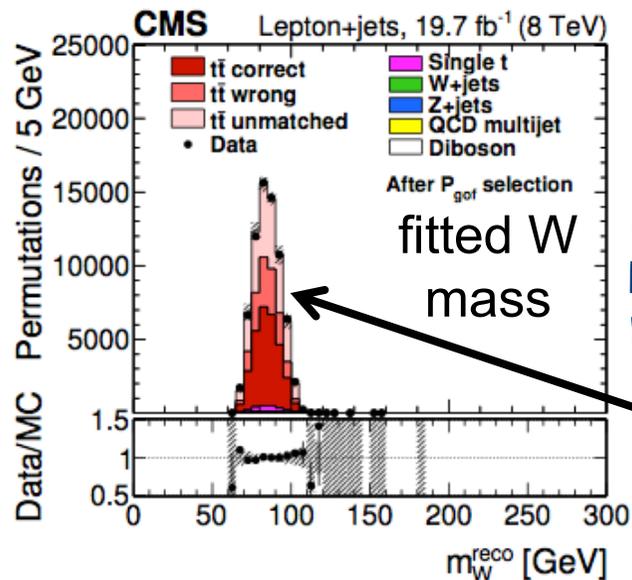


- Top is the only fermion with the mass of the order of EWSB scale
- Discovered Higgs boson fits well with precise determinations of  $m_W$  and  $m_{\text{top}}$
- Other properties (EWK coupling, production asymmetries, etc.) are predicted by SM
- Precise measurements could reveal breakdown of SM

# Precise mass measurement

arXiv:1509.04044

- Select lepton+jet final state
  - Best channel to measure  $m_{\text{top}}$
  - well defined final state (1 lepton, 1 $\nu$ , 2b  $W_{qq'}$ )
- Select  $t\bar{t}$  events: hadronic decays ( $m_{\text{top}}$ ,  $m_W$ )
- Kinematic fit: constrain  $W$  mass, top-antitop masses
  - In-situ JES calibration
- Measure  $m_{\text{top}}$  and JSF

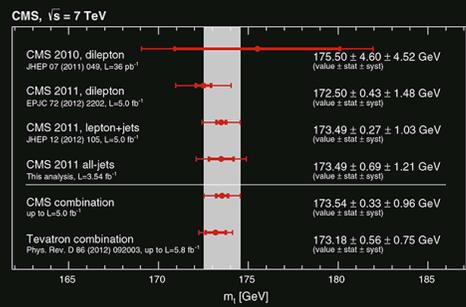
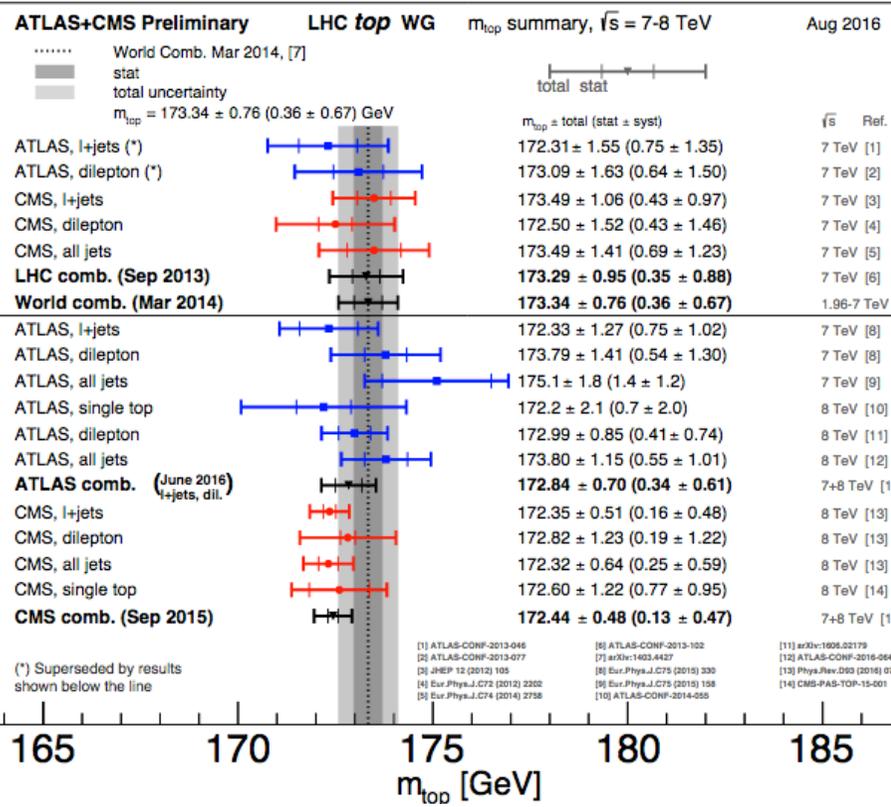
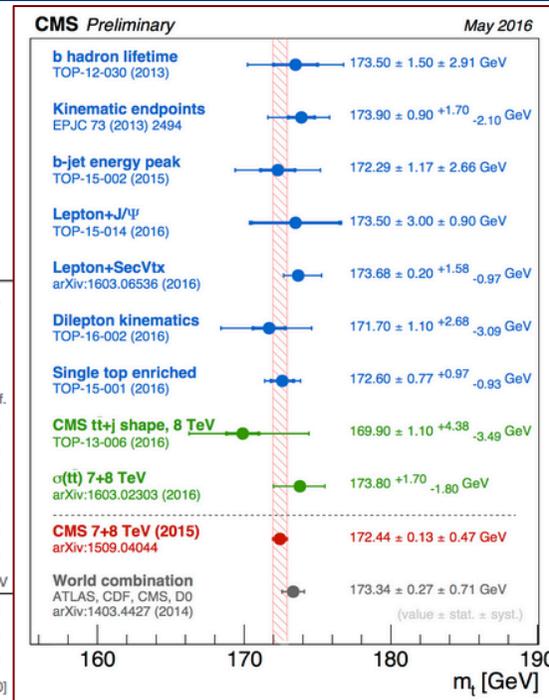


$\pm 0.3\%$

$m_t = 172.44 \pm 0.13 \text{ (stat+JSF)} \pm 0.47 \text{ (syst) GeV}$

# Top quark mass results

- accurate (~0.3%) measurement



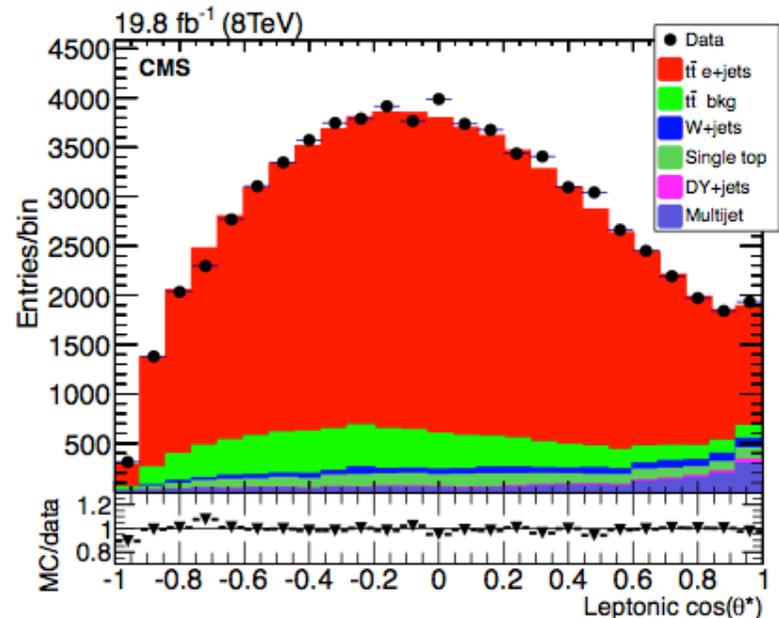
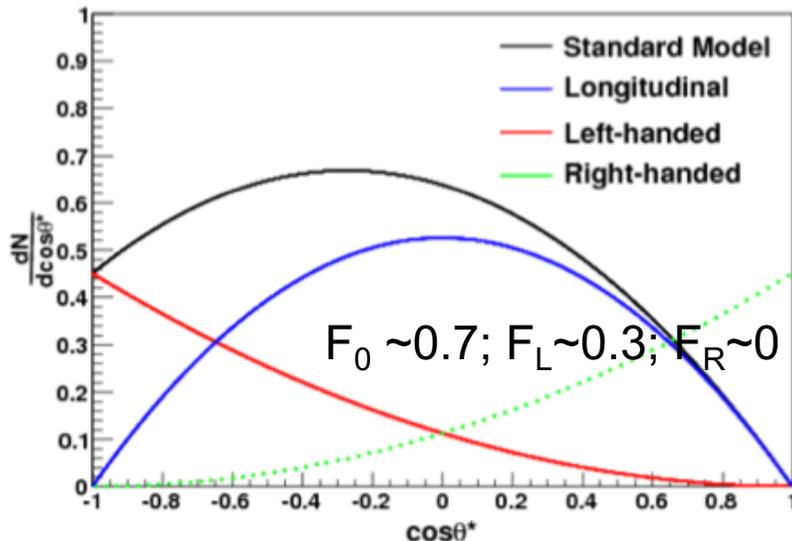
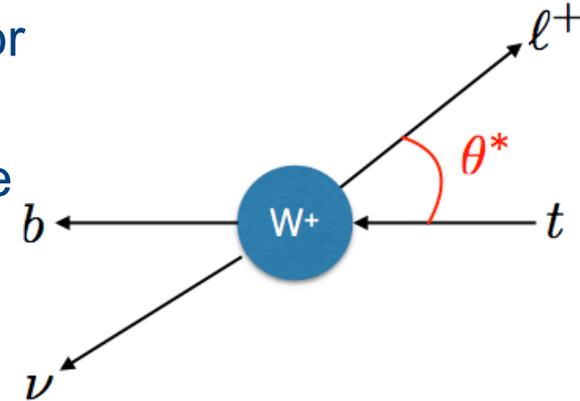
Overview of the CMS top-quark measurements, including the latest results of the all-jets channel. The shaded band shows the combined CMS result. The combined Tevatron average is also shown. From The CMS Collaboration: Measurement of the top-quark mass in all-jets events in pp collisions at  $\sqrt{s} = 7$  TeV.



# W boson polarization

arXiv:1612.02577, PRD 93(2016)052007

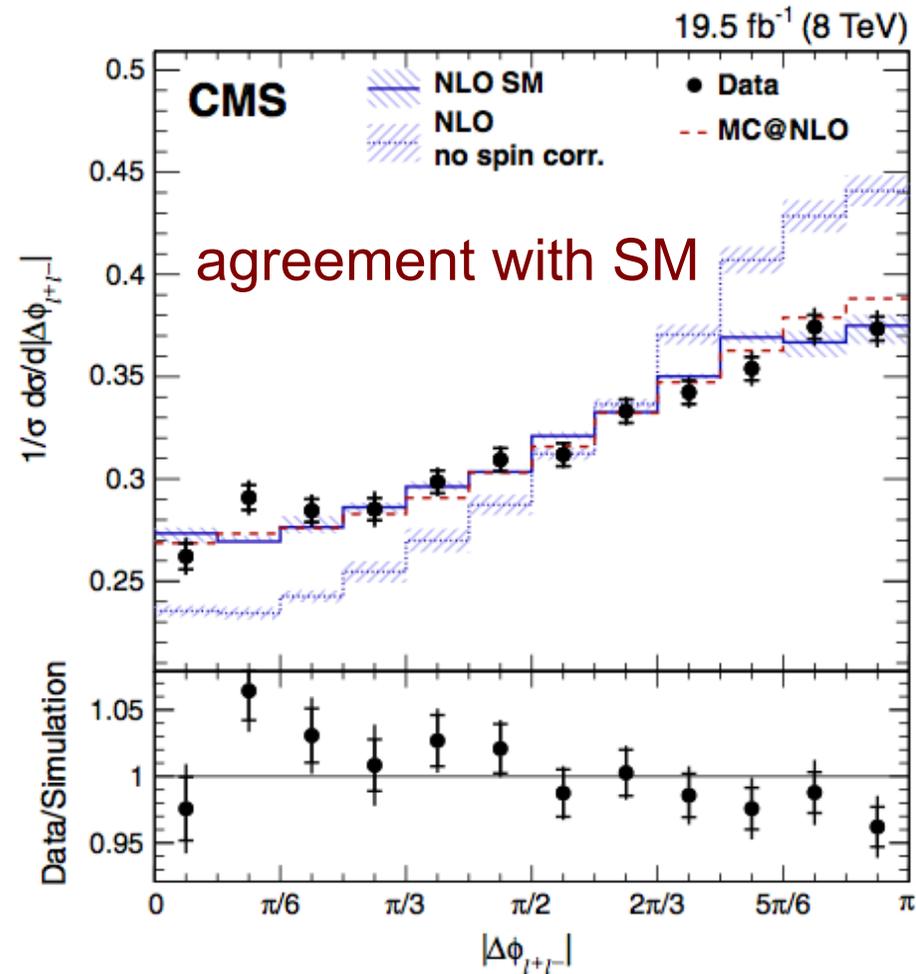
- W bosons can be produced with left-handed, right-handed, or longitudinal polarization
- Top decay vertex in the SM is characterized by V-A structure
  - Fractions of polarization states are well predicted
- Can probe by measuring the angular distributions of the W boson decay products
- New physics could alter the polarization



# Spin correlation

PRD 93(2016)052007

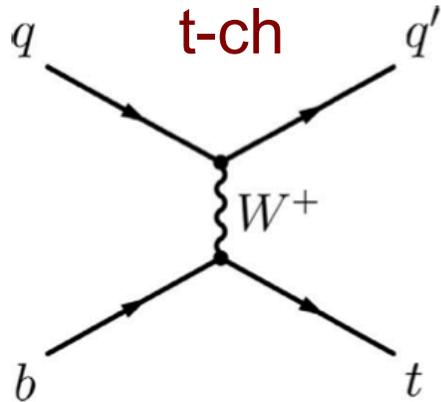
- Important tool for precise studies
- Top quark produced are not polarized
  - ...but spins between quark and anti-quark are correlated
- Top quark decays before spins decorrelate
  - It decays before hadronization ( $\tau \sim 10^{-25}$  s)  $\Rightarrow$  spin information transmitted to decay products
  - No need to reconstruct full  $t\bar{t}$  system
- Spin correlation depends on production mode
- It may differ from SM expectations
  - Decays to charged Higgs and b quark ( $t \rightarrow H^+ b$ )
  - Other BSM scenarios



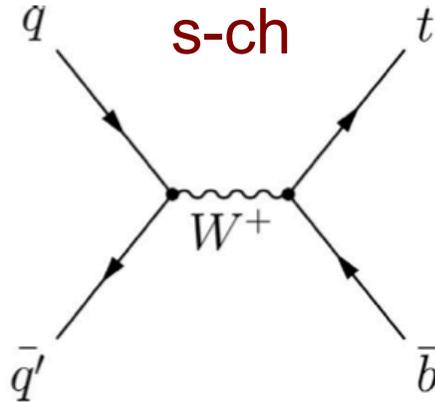
# How else is Top produced?

PRD102(2009)182003, PRD81(2010)054028

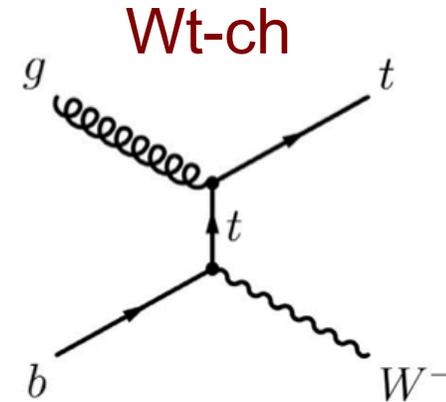
- Single top quark production



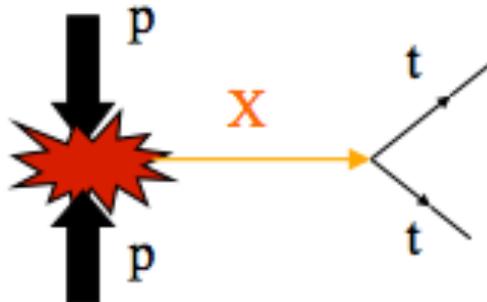
$\sigma(13\text{TeV}) = 217 \text{ pb}$



10 pb



72 pb



Resonance Production?  
Top Color-Assisted Technicolor  
OR  
?????

# Probing top quark production

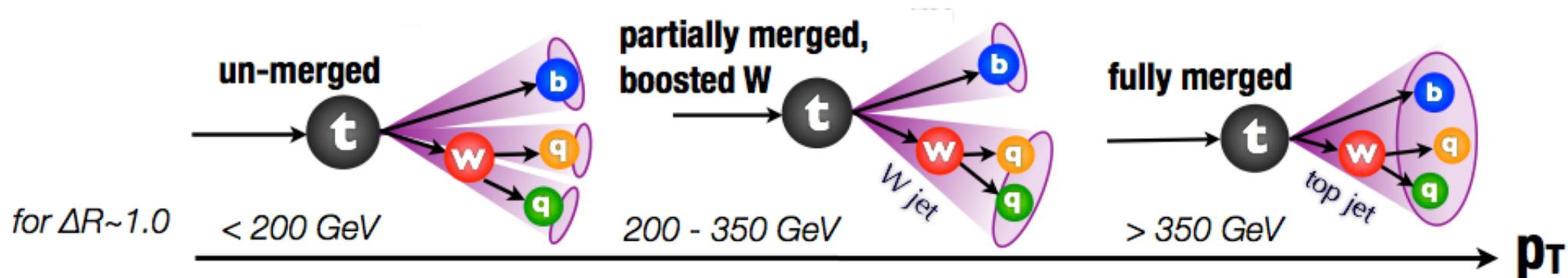
- Differential measurements

- Testing QCD, measuring properties, searching for new physics, ...
- Function of kinematics, global variables, associated production

- Increased sensitivity: top quark pairs produced at rest

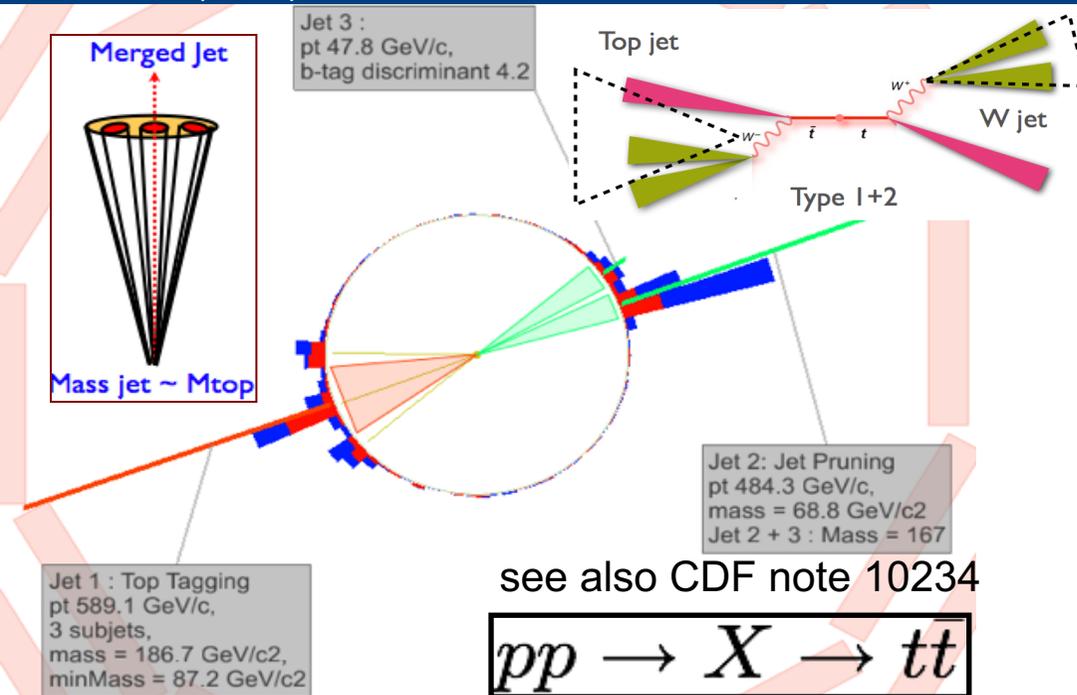
- $\sigma(M_{t\bar{t}} > 1 \text{ TeV at } 13 \text{ TeV}) = 8 \times \sigma(M_{t\bar{t}} > 1 \text{ at } 8 \text{ TeV})$

⇒ Unique opportunity to probe boosted production at 13 TeV

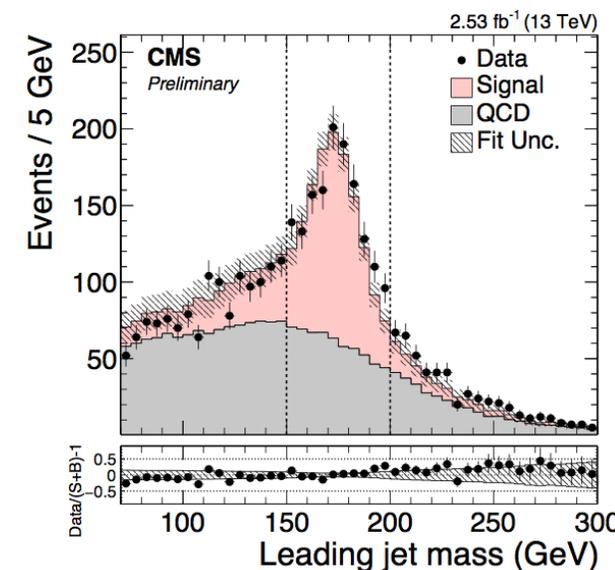
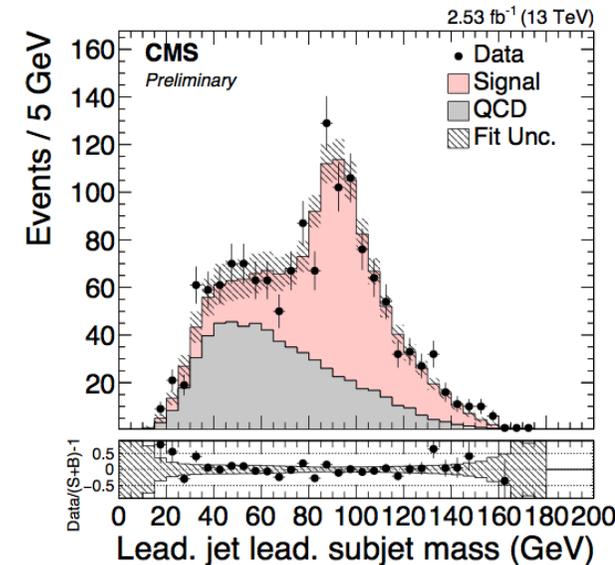


# Boosted topology

JHEP 1209(2012)029, TOP-16-013



- At high energy, particles produced beyond threshold
- All-hadronic topology
  - Top  $p_T$  boosted, jets are collimated
  - Decay products and FSR collected in a “fat” jet
- Look at jet substructure
- Measure mass (no neutrinos)

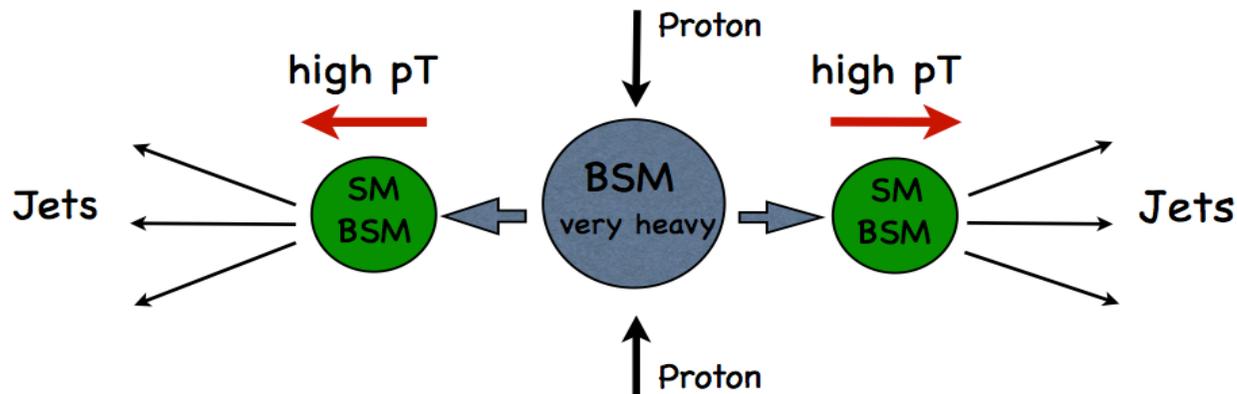


# Boosted topology

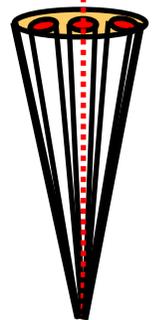
- In many models there is high potential to discover new physics in the top sector in search for heavy resonances

$$pp \rightarrow X \rightarrow t\bar{t}$$

- Simple approach to merge neighboring jets



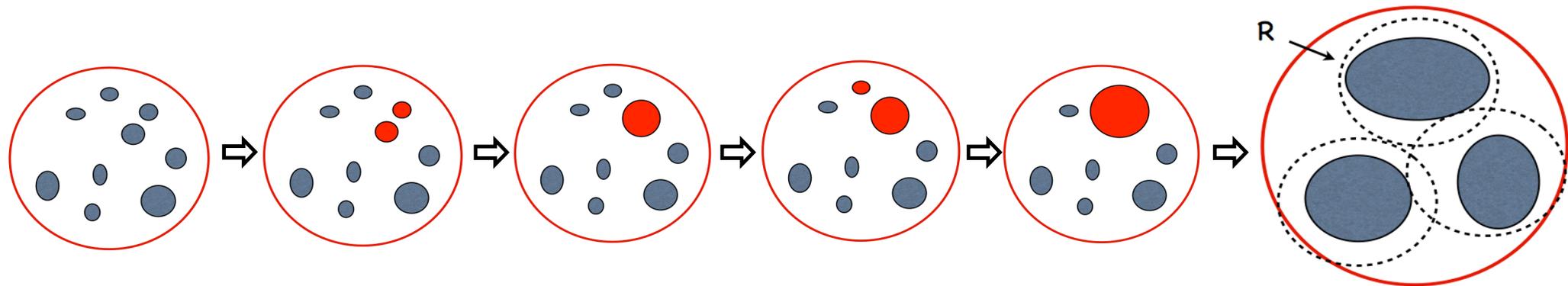
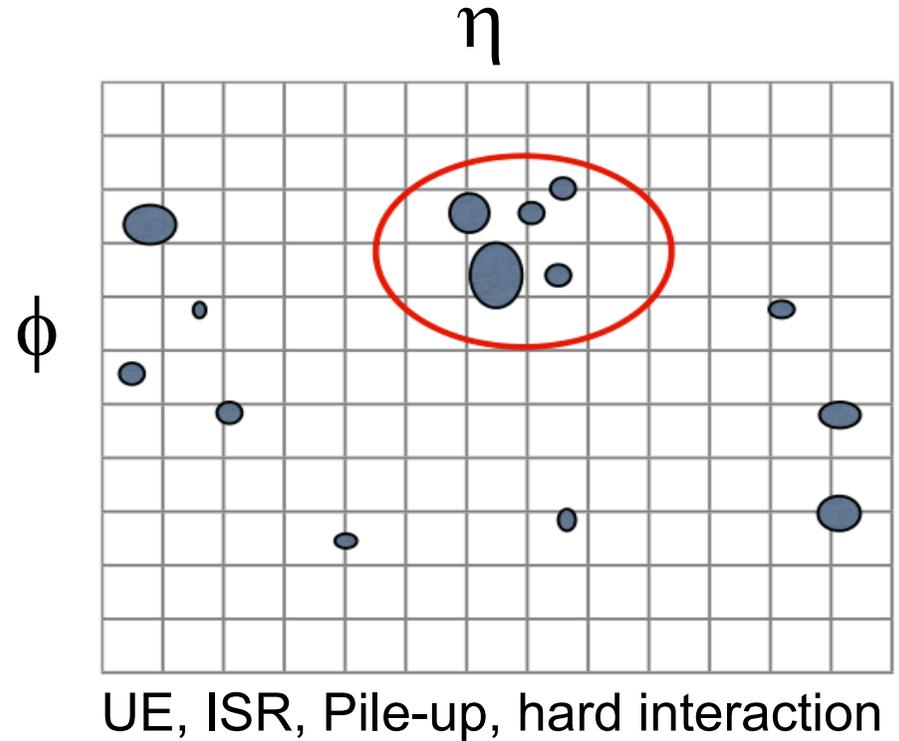
Merged Jet  
Mass jet  $\sim M_{\text{top}}$



- At LHC energy, EWK scale particles produced beyond threshold
- Jets are highly collimated
- Decay products and FSR collected in a fat jet

# Jet/Event selection

- Locate hadronic energy deposit in detector by choosing initial jet finding algorithm
- Impose jet selection cuts on fat jet
  - Recombine jet constituents with new algorithm
  - Filtering: recombine  $n$  sub-jets min  $d(i,j)$
  - Trimming: recombine sub-jets with min  $p_T$
- Minimum distance between jets is  $R$

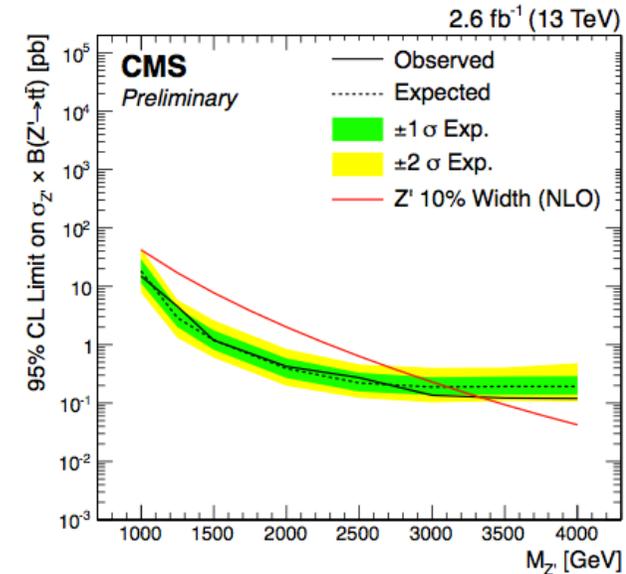
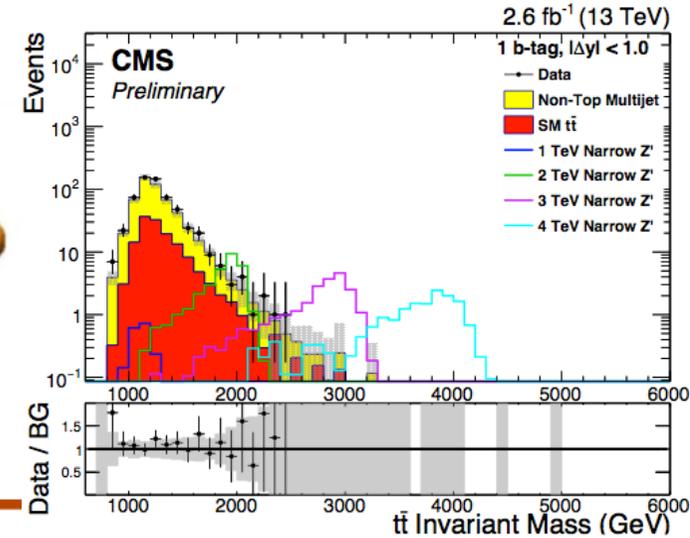
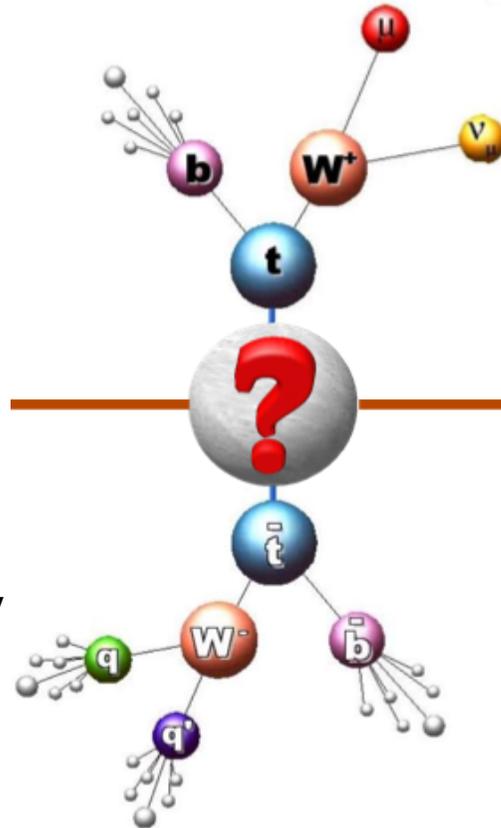




# Top quark pair resonance

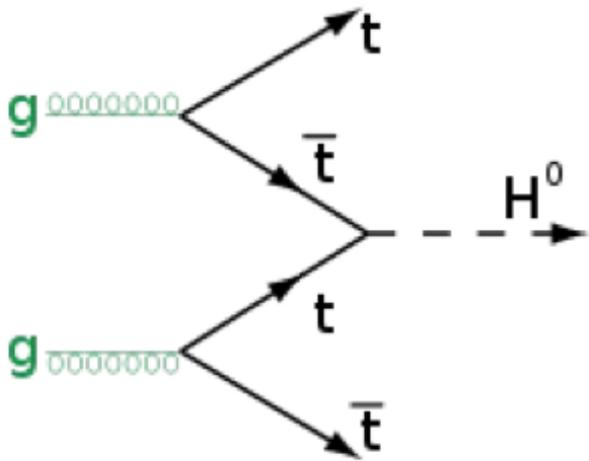
CMS-B2G-15-002, B2G-15-003

- No resonance expected in SM
- Why is top so heavy?
  - new physics?
  - is third generation ‘special’?
- Search for massive neutral bosons decaying via a  $t\bar{t}$  quark pair
- Experimental check
  - search for bump in the inv. mass spectrum
  - progressive loss in reconstruction ability due to jet merging
  - reconstruct  $M_{t\bar{t}}$  in different categories ( $e/\mu$ ,  $n$ -jets,  $n$  b-tags)
  - $l$ +jet events: full event reconstruction



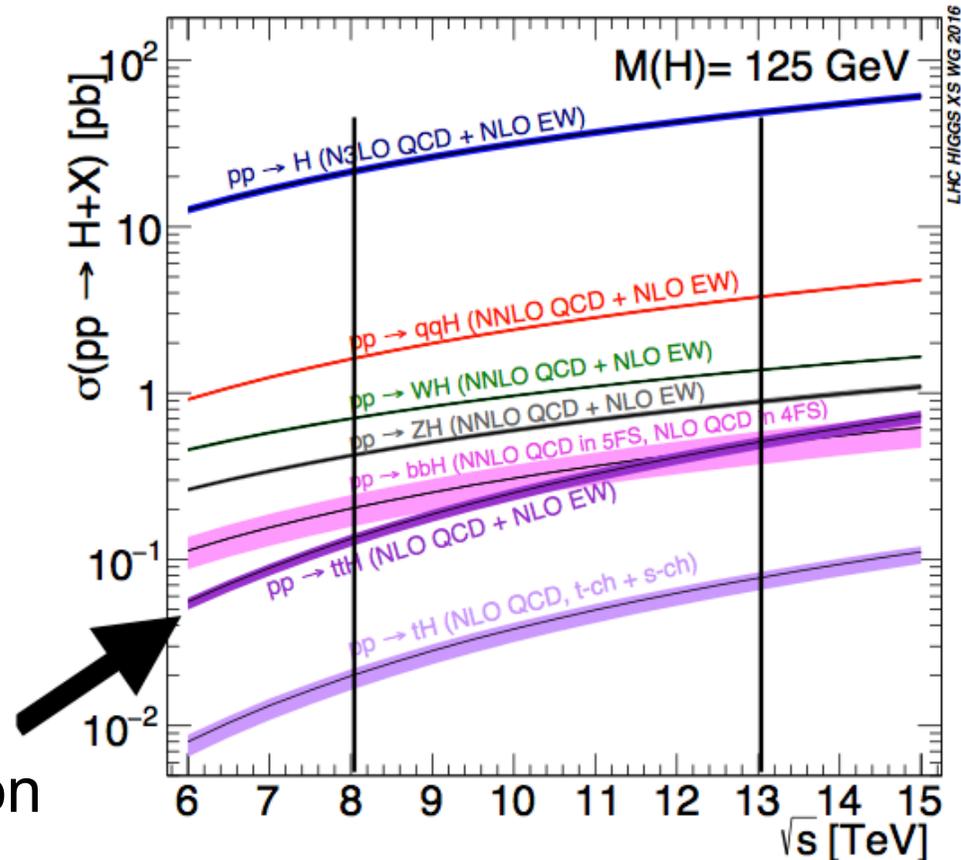
# ttbar+Higgs

- ttbar produced in association with H
  - ttbar is a “clean” tag
- direct measurement of Higgs couplings



Cross section for ttH at the LHC:  
 0.13 pb (8 TeV)  
 0.61 pb (14 TeV)

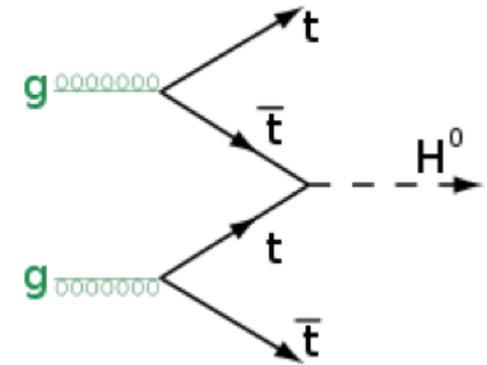
ttH ~1% of total Higgs cross section



# ttbar+heavy flavour

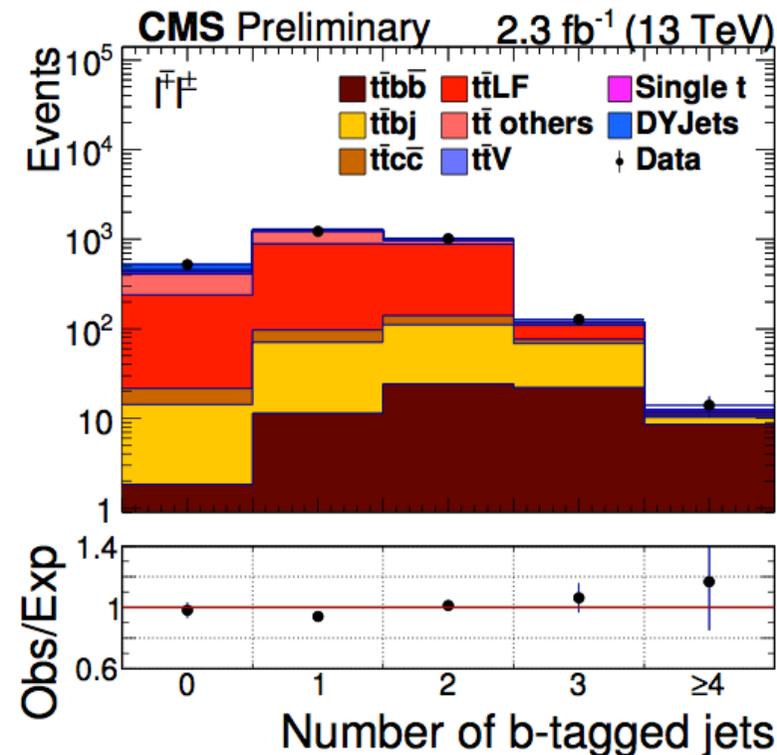
arXiv:1411.5621, TOP-16-010

- Study rate of ttbb:  $\sigma(t\bar{t}b\bar{b})/\sigma(t\bar{t}jj)$
- Anomalous tt+jets could signal BSM final states
- First direct measurement of typical bkg to top-Higgs coupling
  - Irreducible non-resonant bkg from ttbb
- Improved theoretical understanding of ttH(bb) crucial to ttH and NP searches



$$\sigma_{t\bar{t}b\bar{b}}/\sigma_{t\bar{t}jj} = 0.022 \pm 0.003 \text{ (stat)} \pm 0.005 \text{ (syst)}$$

- In Run1 measured value higher but compatible ( $1.6\sigma$ ) with NLO calculation

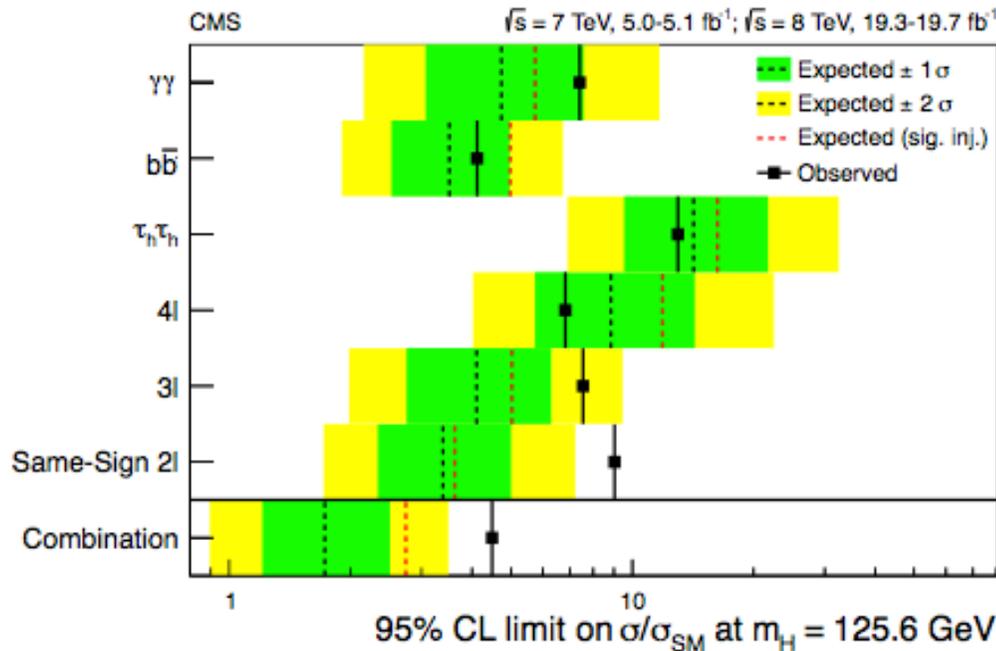
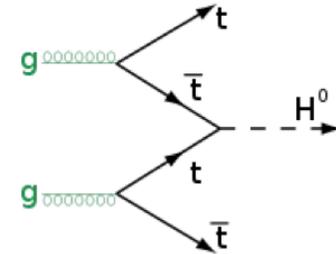


# ttH

JHEP09(2014)087

- Direct study of top Yukawa coupling
- Explore all accessible Higgs decay modes
  - $H \rightarrow bb, WW, ZZ$  with multilepton final states

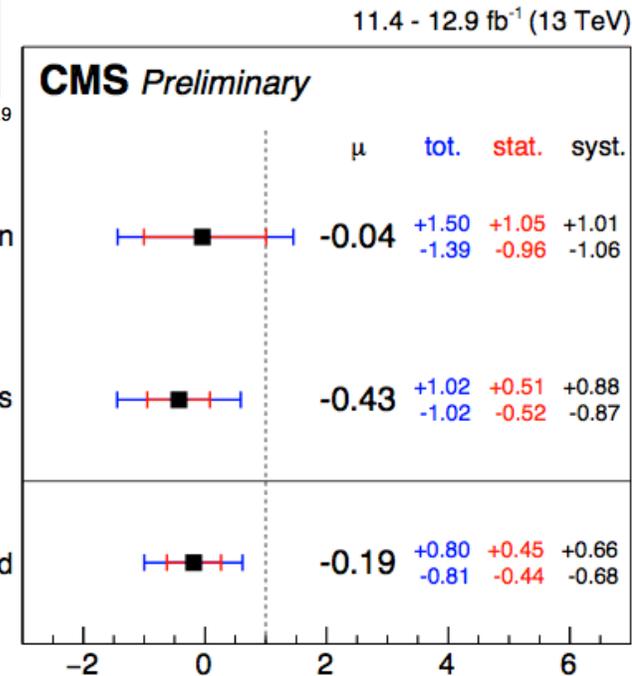
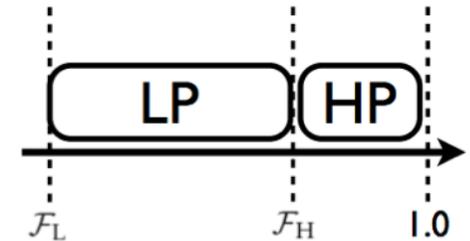
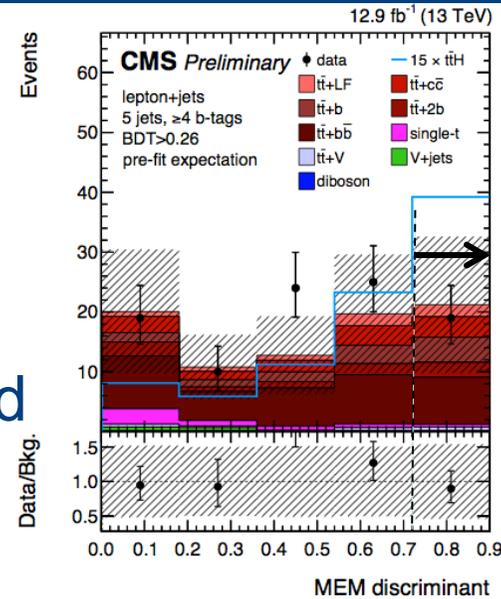
Run1 best fit:  
 $\mu = 2.80 \pm 1.00$



# ttH, H → bb

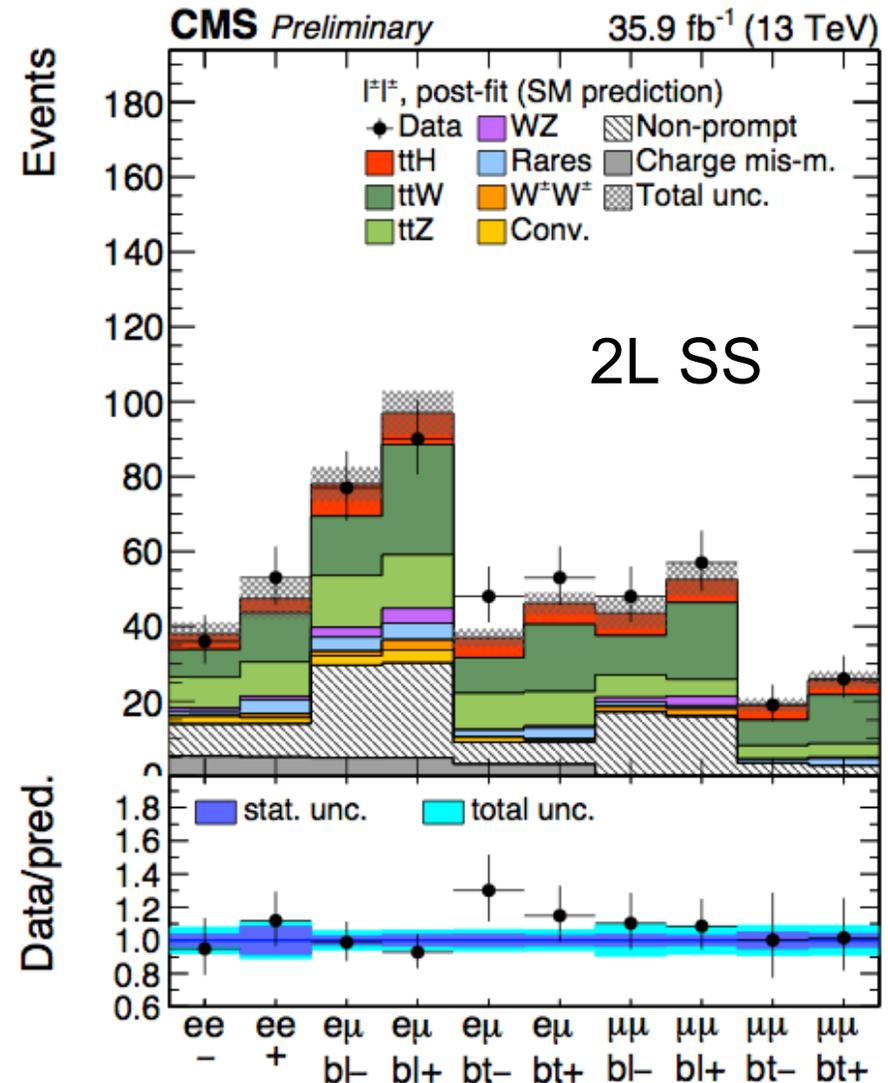
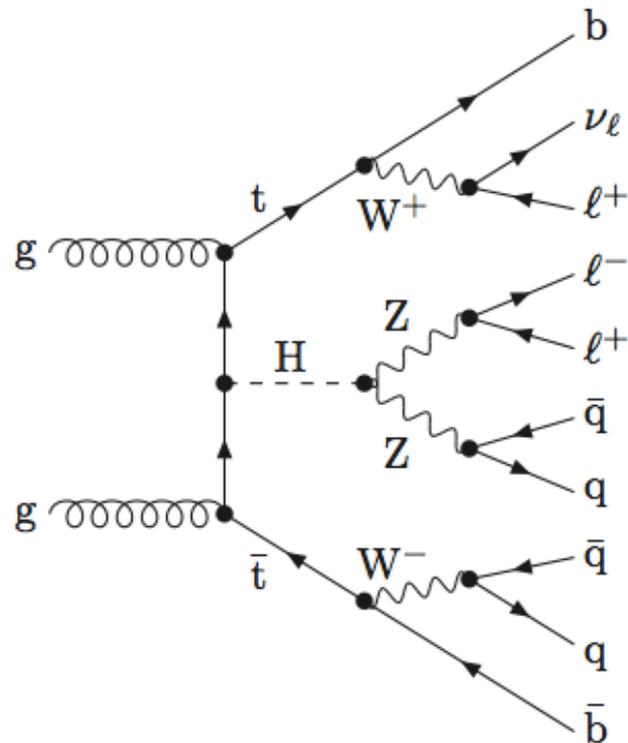
JHEP 09(2014)087, EPJC 75(2015)251, HIG-16-038

- Study ttH(→bb) final state
- Select SL and DL events
- Categorize  $N_{\text{jets}}, N_{\text{lep}}, N_{\text{btags}}$
- Assign events a b-tag likelihood
- low- and high-purity categories
  - Signal: ttH
  - Background: tt+bb
- ttH and tH allows direct access to Yukawa coupling



# ttH: multi-leptons, $\tau\tau$

- Multi-leptons: SS, 3L and 4L
  - ttH with  $H \rightarrow \tau\tau$
- $\Rightarrow$  categories per charge, flavor

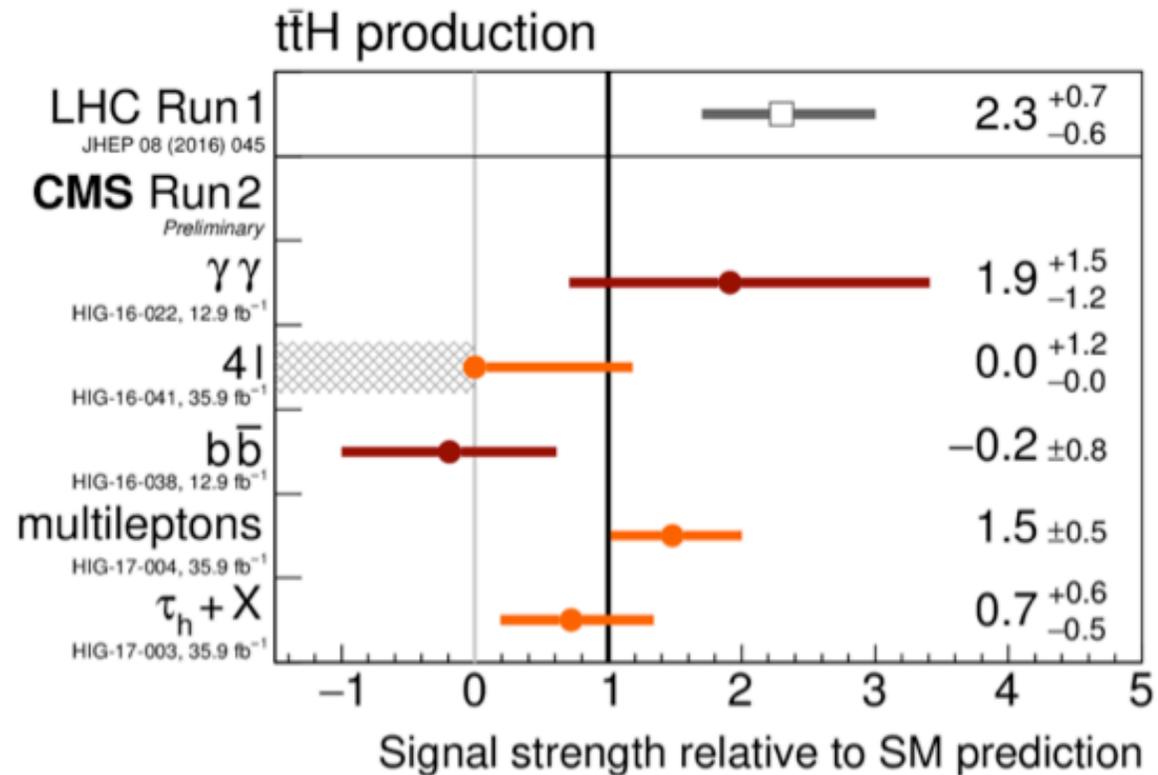
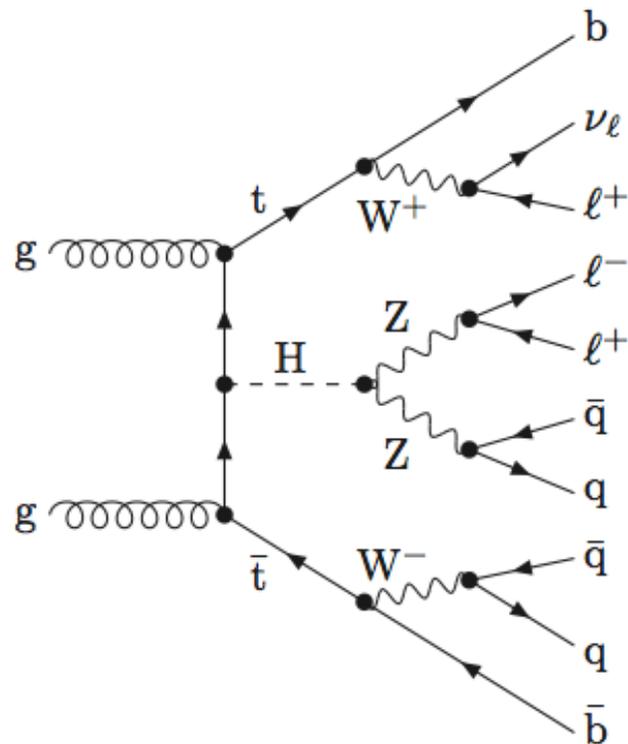


# ttH: multi-leptons, $\tau\tau$

- Multi-leptons: SS, 3L and 4L

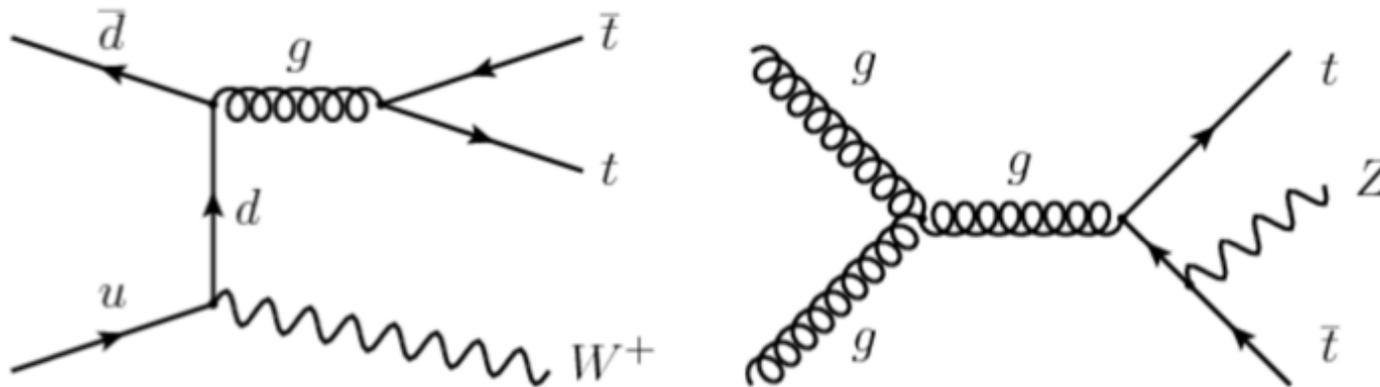
- ttH with  $H \rightarrow \tau\tau$

$\Rightarrow$  categories per charge, flavor



# $ttV$ production ( $V=\gamma, W, Z$ )

- Large datasets give access to rare  $tt+W$  and  $tt+Z$  processes
- $ttZ$ : direct probe of top- $Z$  coupling (new physics?)
- $ttW$ : important background to NP searches

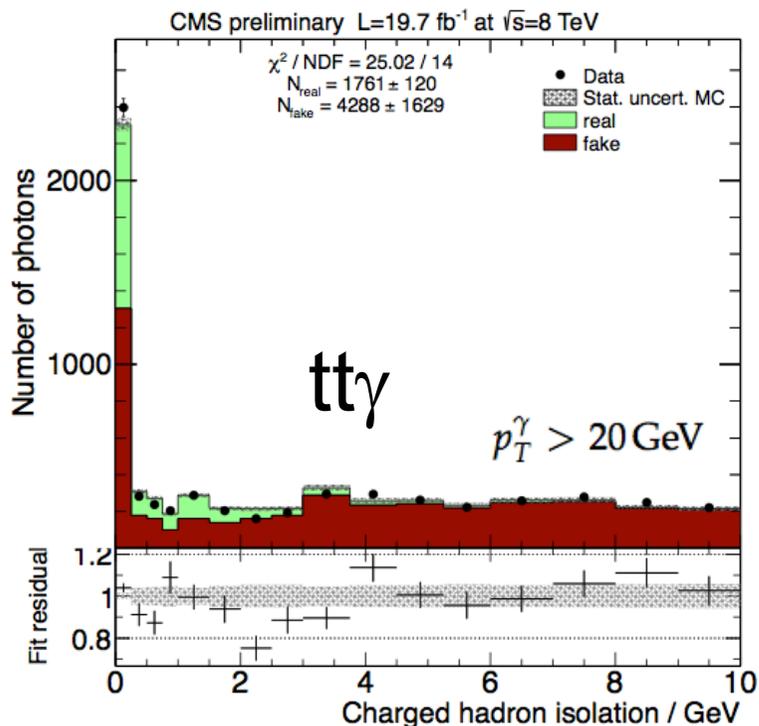


- Use multi-lepton final states
  - 2 same-sign charge leptons, 3 or 4 lepton final states

# ttV production (V=γ,W,Z)

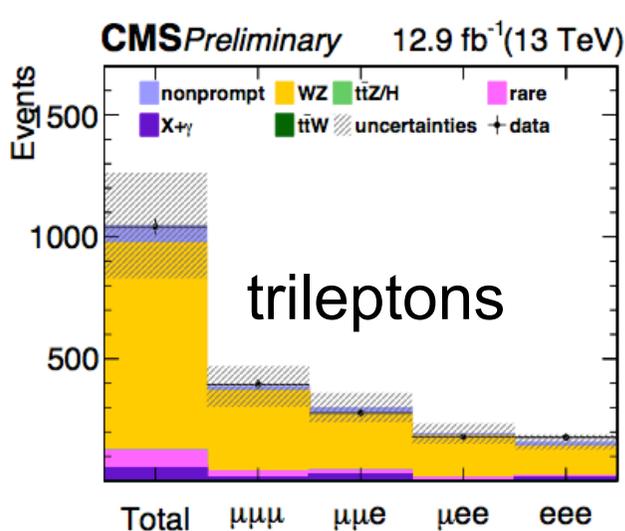
CMS-TOP-13-011, EPJC 74(2014)3060, TOP-14-008, TOP-16-017

- Measurements will give access to EW couplings of the top

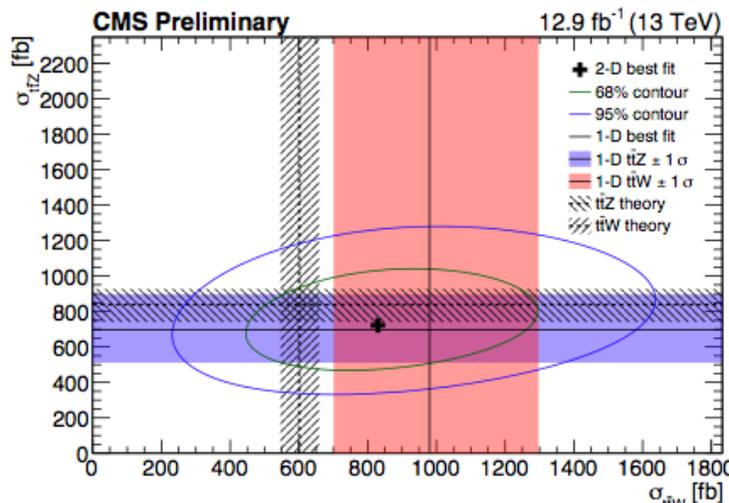
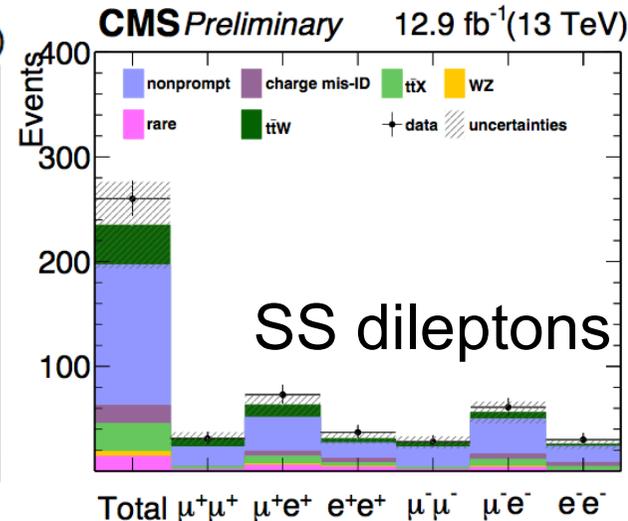


$$\sigma_{t\bar{t}\gamma} = 2.4 \pm 0.2 \text{ (stat.)} \pm 0.6 \text{ (syst.) pb.}$$

Consistent with theoretical predictions



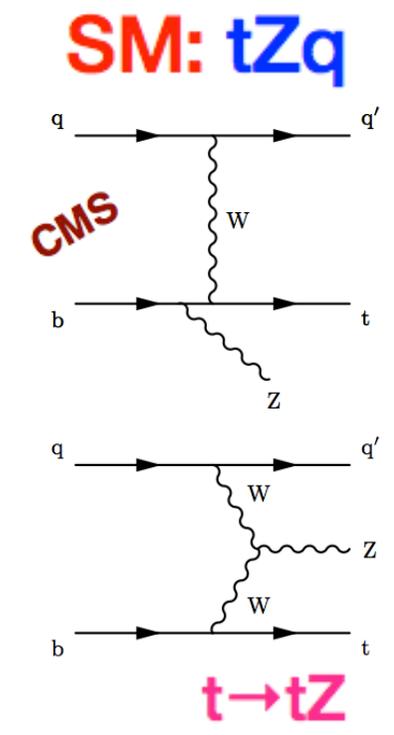
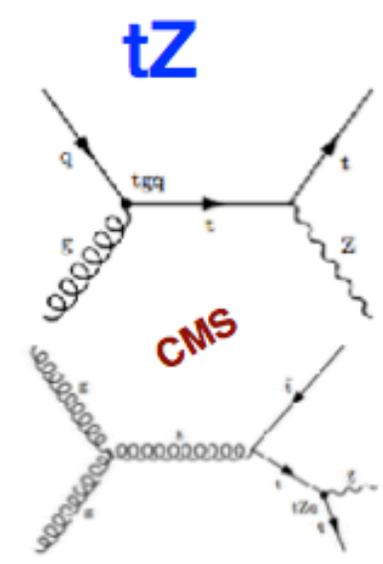
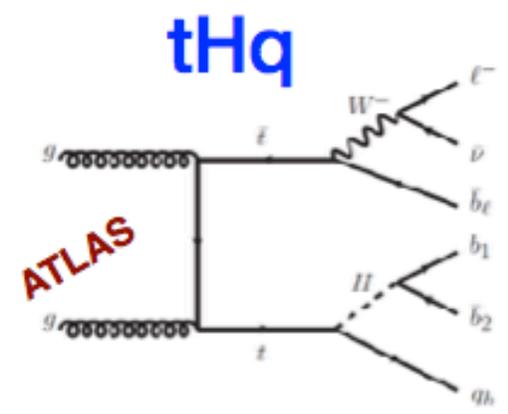
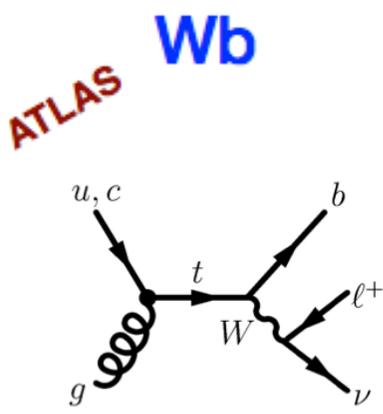
Combine 2- 3- and 4-  
lepton final states  
⇒ ttV xsec in  
agreement with SM



# Flavor Changing Neutral Currents

- Expect small signal from SM
- ...but signal may be large in BSM models

Final states:



Couplings:

$t \rightarrow ug$   
 $t \rightarrow cg$

$t \rightarrow uH$   
 $t \rightarrow cH$

$t \rightarrow ug, t \rightarrow cg$   
 $t \rightarrow uZ, t \rightarrow cZ$

$t \rightarrow tZ$

$\sigma_{qg \rightarrow t} \times B(t \rightarrow Wb) < 3.4 \text{ pb}$   
 $\sigma_{qg \rightarrow t} \times B(t \rightarrow Wb) < 2.9 \text{ pb}$

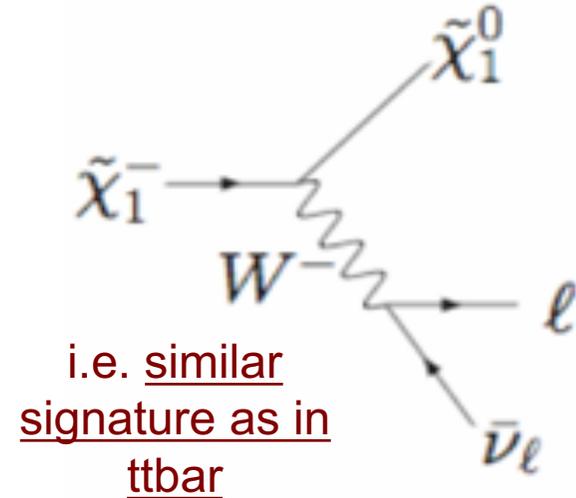
$B(t \rightarrow Hc) < 0.40\%$   
 $B(t \rightarrow Hu) < 0.55\%$

$B(t \rightarrow Zu) < 0.022\%$   
 $B(t \rightarrow Zc) < 0.049\%$

SM  $\sigma(tZq) = 10^{+8-7} \text{ fb}$

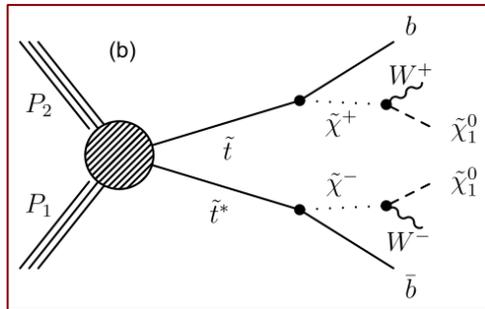
# Scalar top quark

- SUSY is one plausible extension of the SM
- due to the heavy top quark, mass splitting between  $\tilde{f}_1$  and  $\tilde{f}_2$  can be large, such that the lighter stop  $\tilde{f}_1$  can be even lighter than the top quark
- Decays dictated by mass spectrum of other SUSY particles



- Light stop:

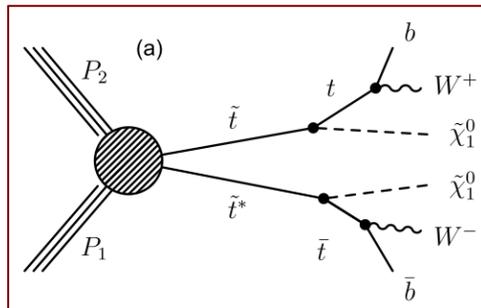
$$m_{\tilde{t}_1} \lesssim m_t$$



$$\tilde{t} \rightarrow b \tilde{\chi}_1^+ \rightarrow b W \tilde{\chi}_1^0$$

- Heavy stop:

$$\tilde{t} \rightarrow t \tilde{\chi}_1^0$$



$$\tilde{t} \rightarrow t \tilde{\chi}_1^0 \rightarrow b W \tilde{\chi}_1^0$$

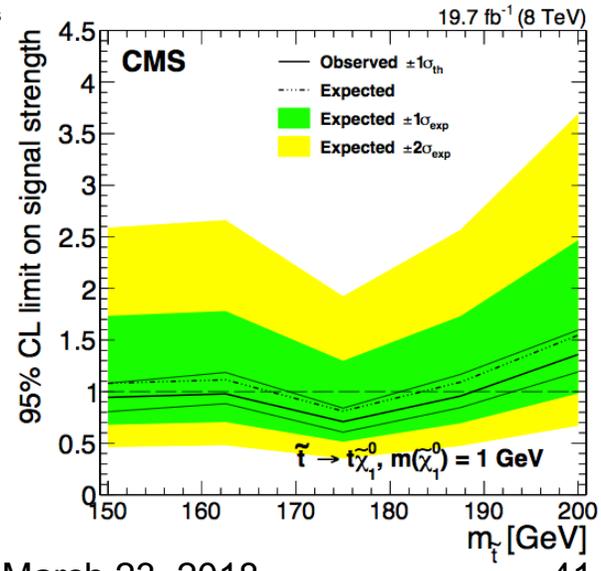
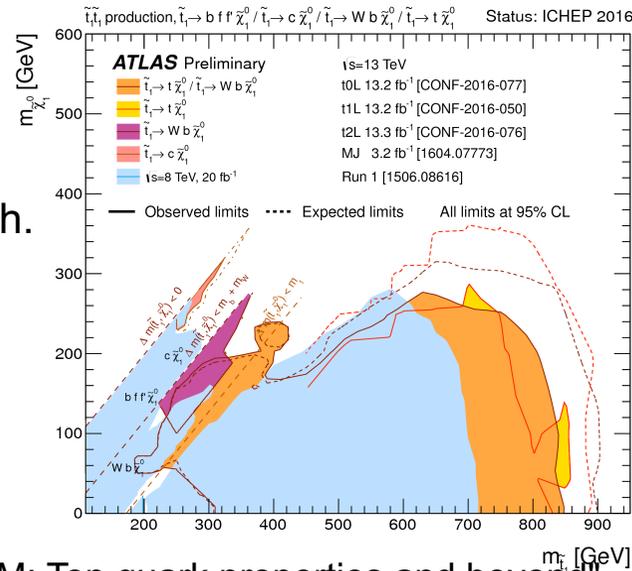
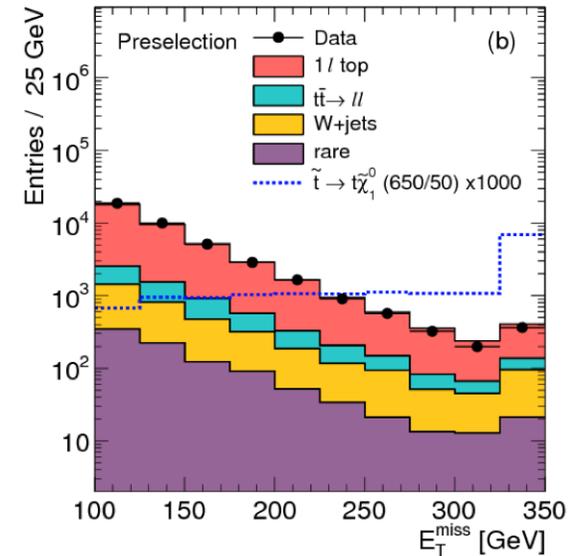
# Top and SUSY

EPJC 74 (2014) 3109, arXiv:1603.02303, SUS-16-002

- If SUSY exists and is responsible for solution of hierarchy problem, naturalness arguments suggest that SUSY partners of top quark (*stop*) may have mass close to  $m_{top}$  to cancel top quark loop contributions to Higgs mass

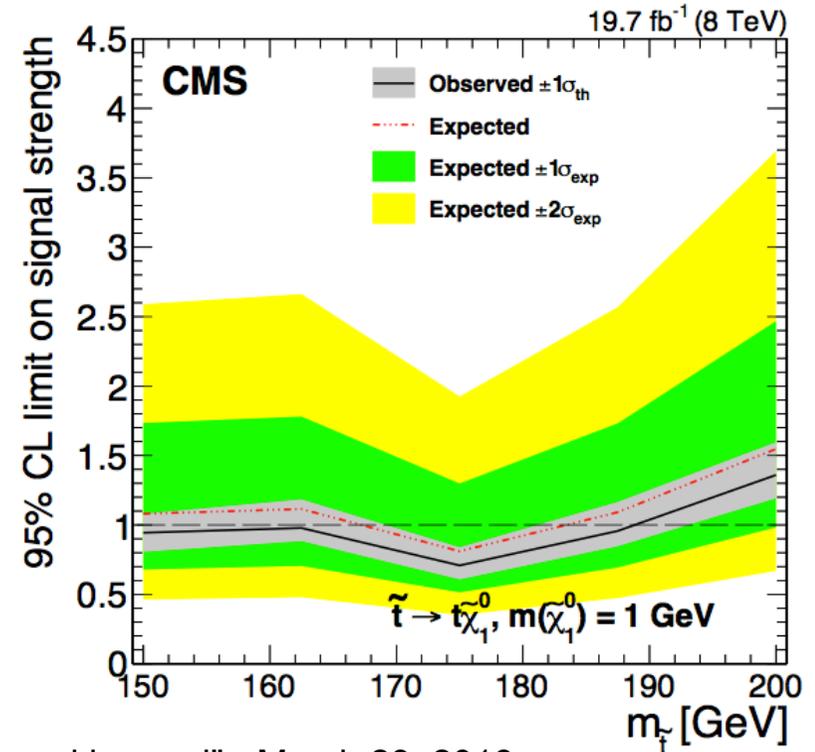
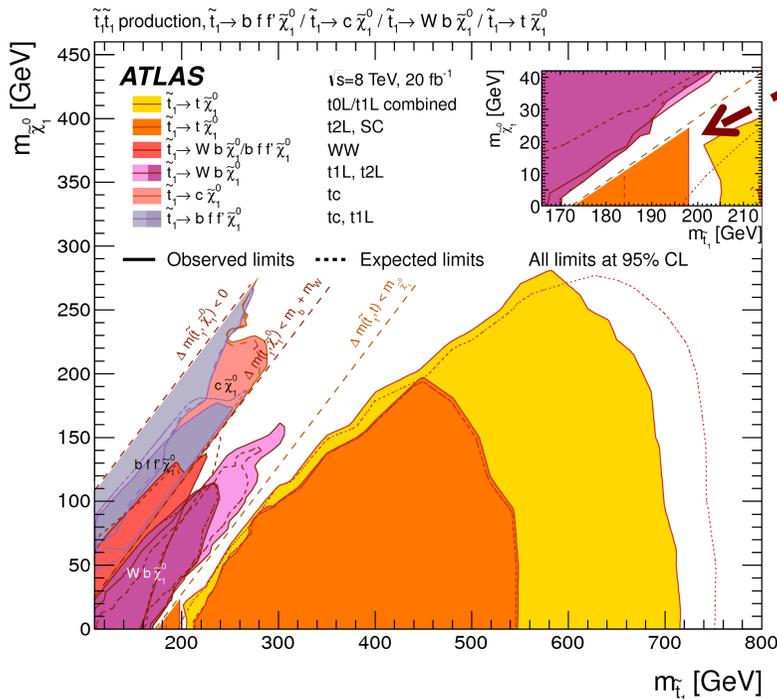
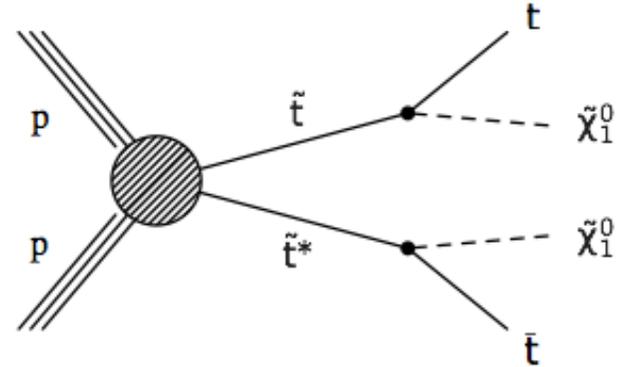
$$\begin{aligned} \tilde{t} &\rightarrow t \tilde{\chi}_1^0 \rightarrow b W \tilde{\chi}_1^0 \text{ "heavy"} \\ \tilde{t} &\rightarrow b \tilde{\chi}_1^+ \rightarrow b W \tilde{\chi}_1^0 \text{ "light"} \end{aligned}$$

- Small predicted cross section
  - for 175GeV: 40pb@8TeV
- Stop pair production:  $t\bar{t} \tilde{\chi}_1^0 \tilde{\chi}_1^0$ 
  - similar to  $t\bar{t}$  lepton+jet and dilepton ch.
  - Additional MET from neutralinos
- change in  $t\bar{t}$  cross section



# Top cross section: dileptons

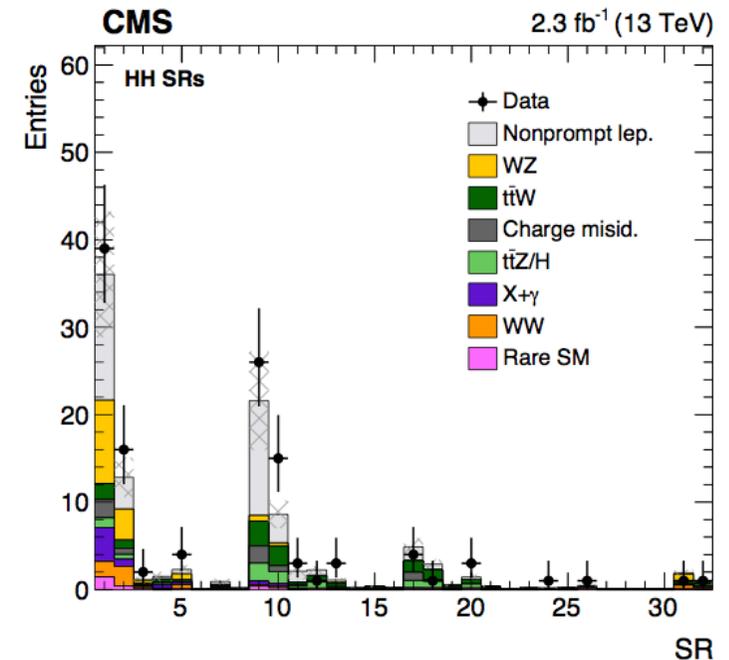
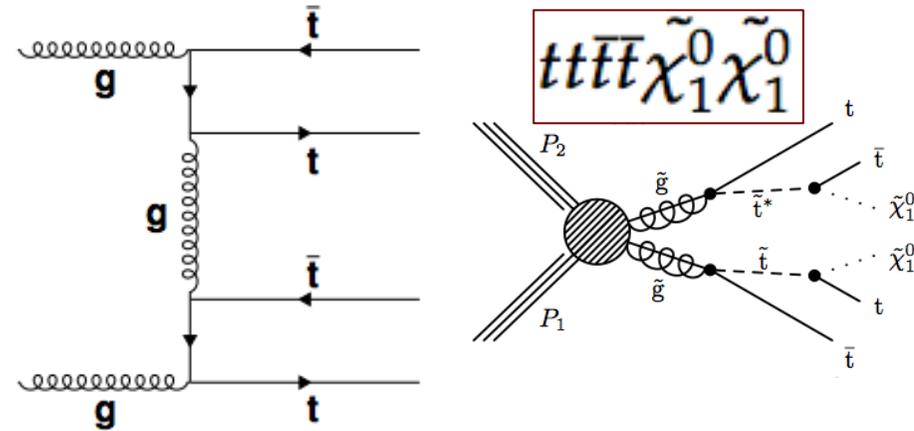
- Indirect searches
- SUSY models could produce final states very similar (with additional MET)
- For ex. in dilepton channel



# Multi-top production

arXiv:1605.03171, TOP-16-016, 1702.06164

- Production of 4 tops is an attractive scenario in a number of new physics models
- **The SM cross section is 9fb@13TeV**
- Use lepton+jets final state
- Combination of kinematical variables and multivariate techniques
- Data are consistent with bkg expectations
- Set **upper limit cross section 69fb @95%CL**
- Search for same-sign dileptons
- Several models considered
- Consider multiple **search regions** defined by MET, hadronic energy, number of (b-) jets, and  $p_T$  of the leptons in the events



# Searches for new particles

## ATLAS Exotics Searches\* - 95% CL Exclusion

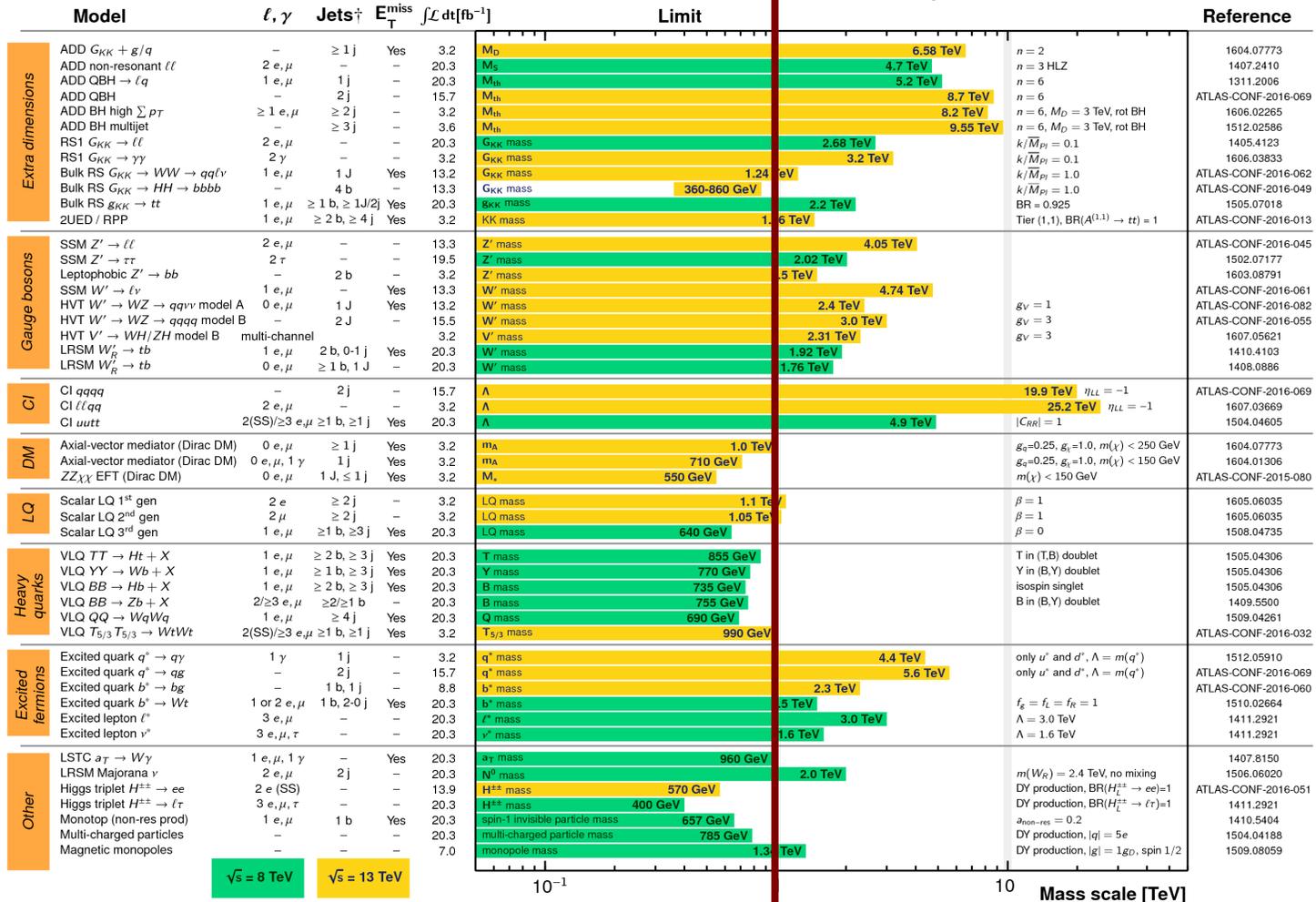
Status: August 2016

# 1 TeV

ATLAS Preliminary

$$\int \mathcal{L} dt = (3.2 - 20.3) \text{ fb}^{-1}$$

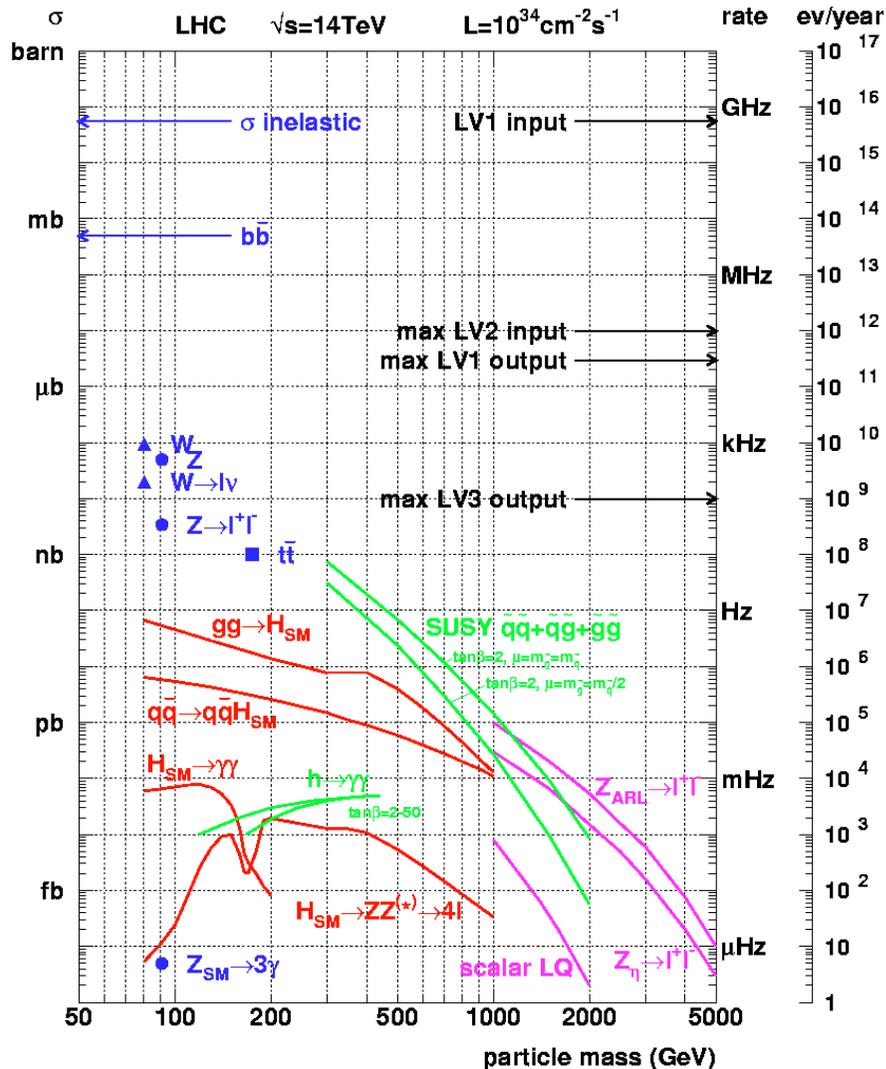
$$\sqrt{s} = 8, 13 \text{ TeV}$$



\*Only a selection of the available mass limits on new states or phenomena is shown. Lower bounds are specified only when explicitly not excluded.

†Small-radius (large-radius) jets are denoted by the letter j (J).

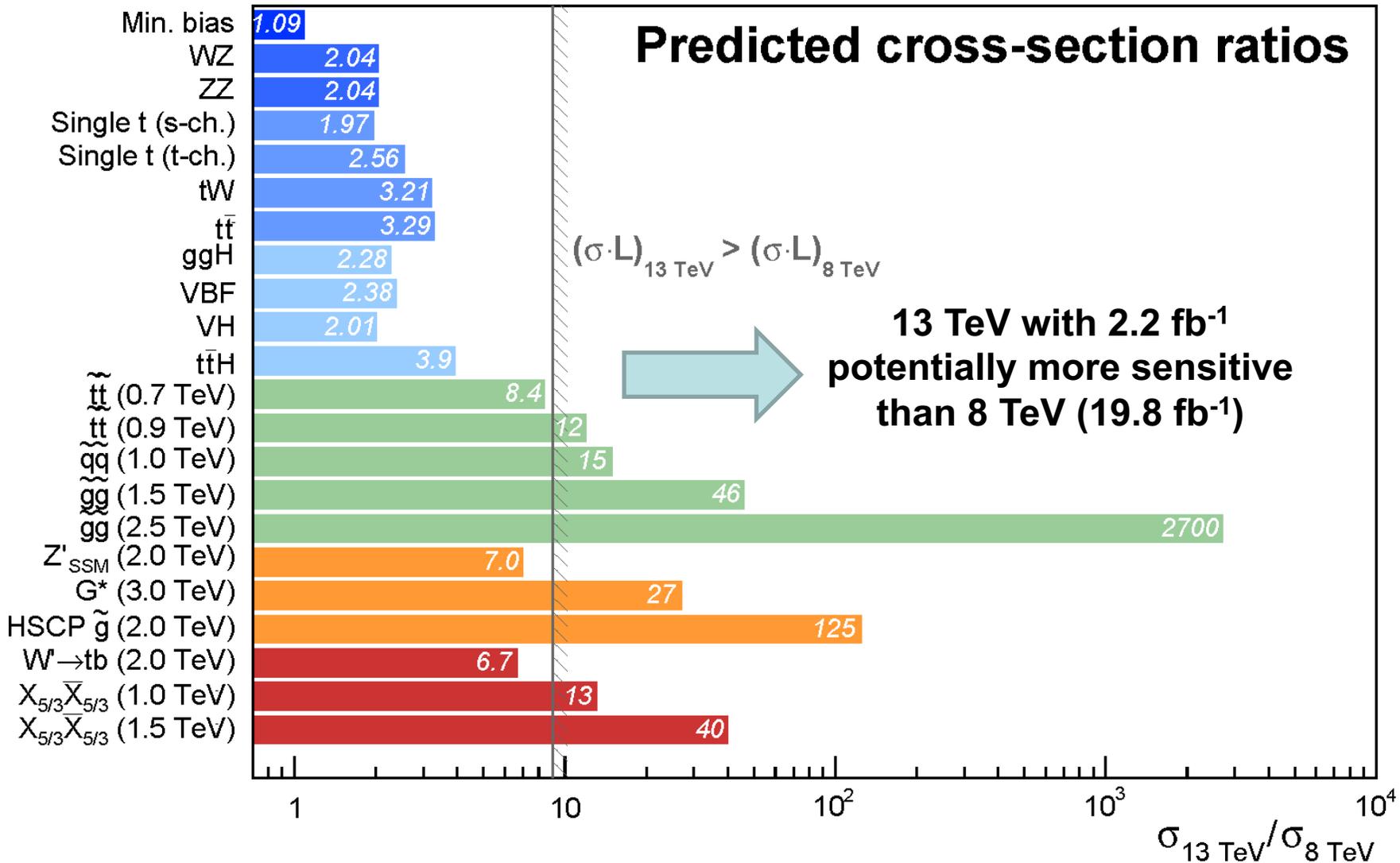
# Cross sections at the LHC



“Well known” processes, don’t need to keep all of them ...

**New Physics!!**  
 This is where to look

# Increased reach at 13 TeV



# Summary

- Top quarks are valuable probes of SM
- Excellent consistency but **SM is incomplete**
  - Extensions foresee existence of additional bosons
  - Searches for BSM bosons ongoing
- Dominant background for New Physics searches
- Due to large mass, top quarks may couple to heavy objects
- Deviations from SM may indicate New Physics
- More data will enhance the sensitivity
  - **Higgs, multi-top, boosted objects, SUSY, Dark matter, etc.**