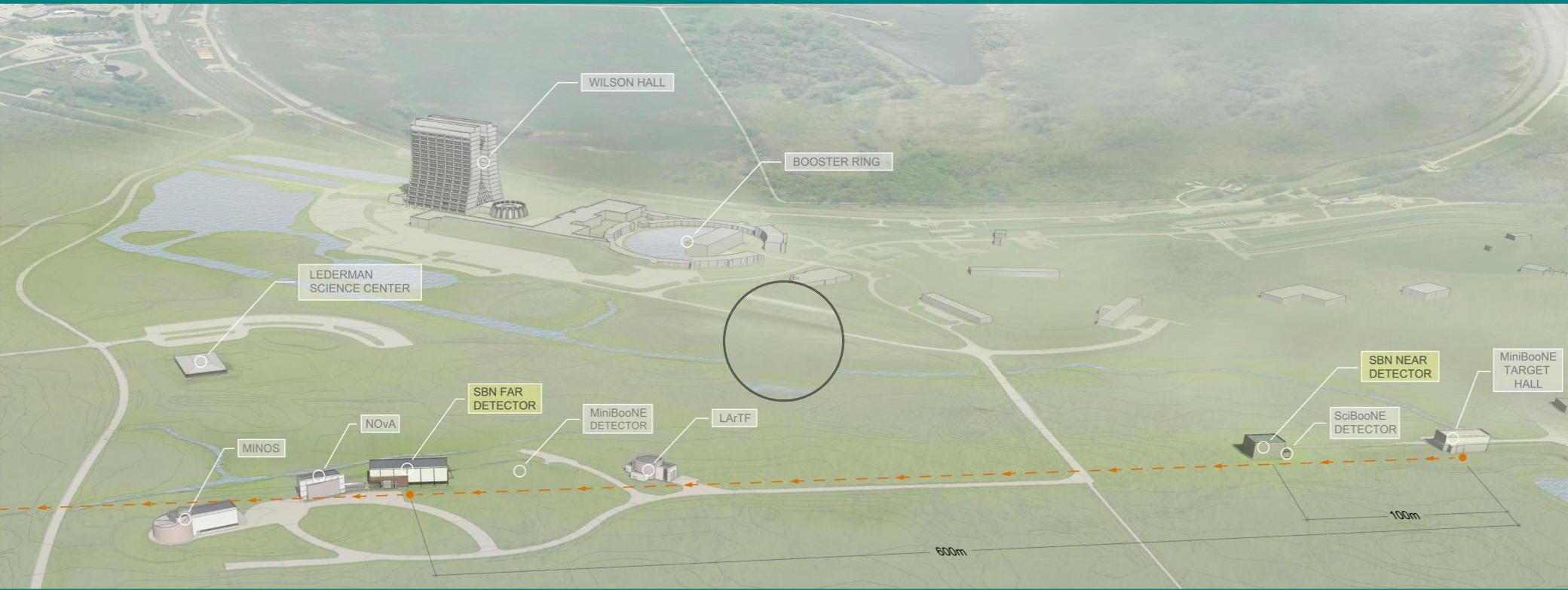


Sterile ν searches with the ICARUS detector from CNGS to SBN



Andrea Zani (CERN)

on behalf of the ICARUS/NP01/WA104 Collaboration

FLASY 2018, Basel, 2-5 July 2018

Outline

- *ICARUS LAr-TPC technology:
ICARUS T600 performance and results @ LNGS;
Sterile neutrino searches on CNGS data.*
- *ICARUS T600 overhauling @ CERN.*
- *Search for sterile neutrinos @ FNAL:
the Short Baseline Neutrino Experiment.*
- *T600 current status.*
- *Conclusions.*

ICARUS T600: the first large Liquid Argon TPC

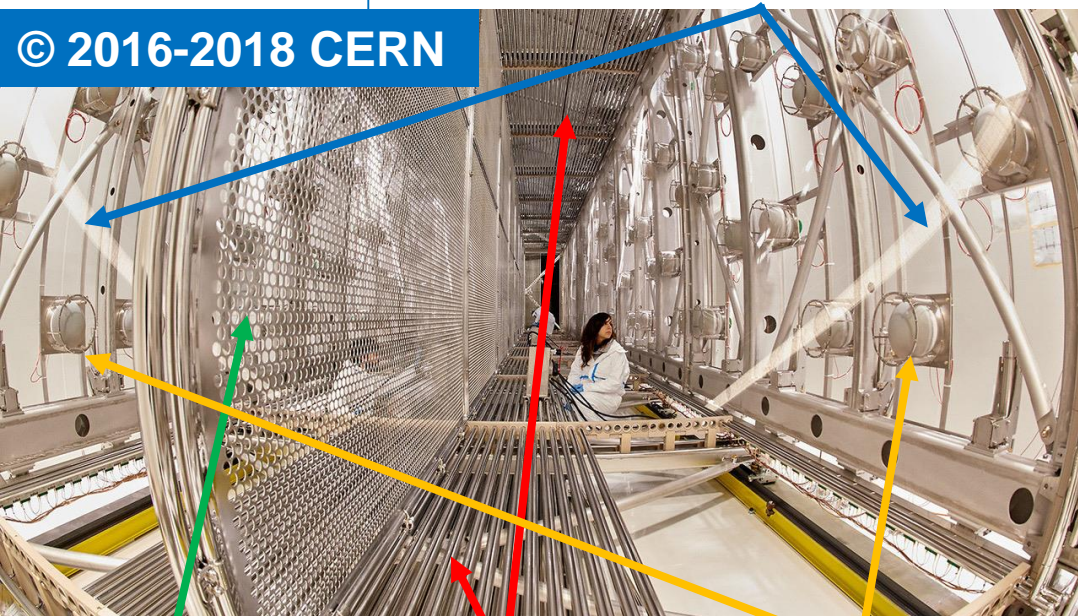
- ICARUS-T600 LAr TPC is a high granularity uniform self-triggering detector with 3D imaging and calorimetric capabilities, ideal for ν physics. It allows accurately reconstructing a wide variety of ionizing events with complex topology.
- Exposed to CNGS beam, ICARUS concluded in 2013 a very successful 3-year run at Gran Sasso INFN underground lab, collecting 8.6×10^{19} pot event statistics, with a detector live time $>93\%$, and cosmic ray events.

Two identical modules: 760t total LAr mass / 476t active

1 T600 module

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Wire planes (anode)



Cathode

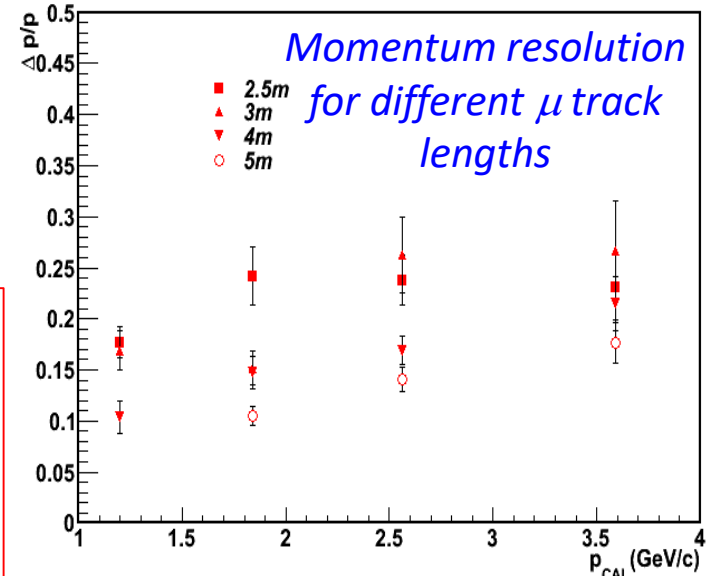
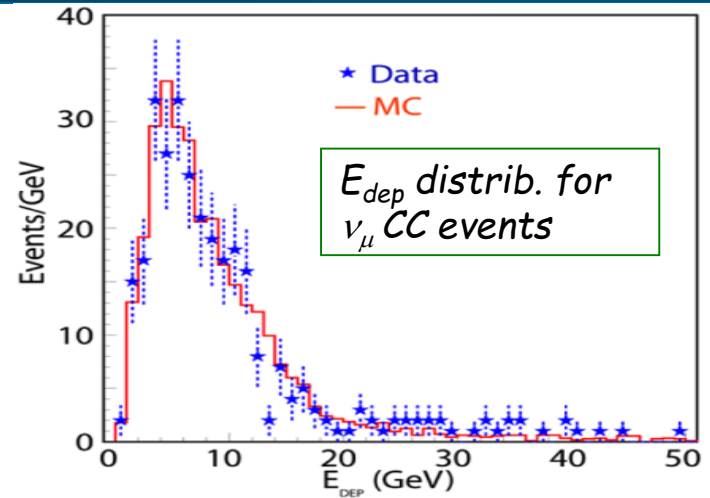
Field cage

PMTs

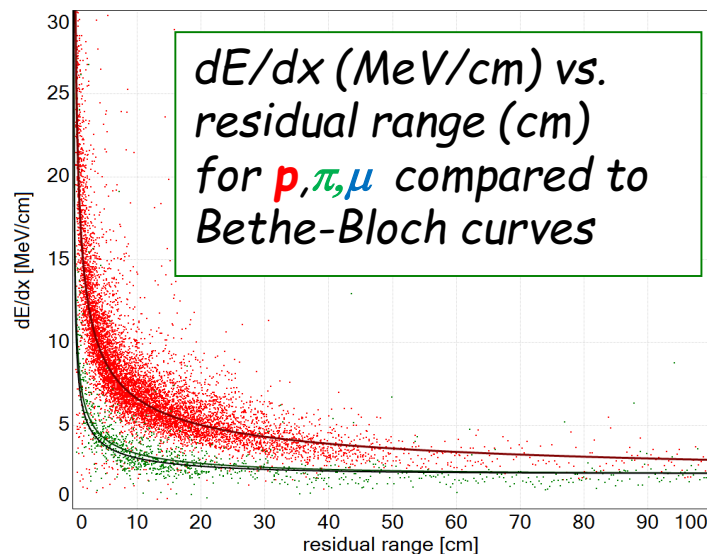
- 2 TPC's per module, with a common central cathode: $E_{\text{Drift}} = 0.5 \text{ kV/cm}$, $v_{\text{Drift}} \sim 1.6 \text{ mm}/\mu\text{s}$, 1.5 m drift length;
- 3 "non-destructive" readout wire planes per TPC, ≈ 54000 wires at $0^\circ, \pm 60^\circ$ w.r.t. horizontal: Induction 1, Induction 2 and Collection views;
- Ionization charge continuously read ($0.4 \mu\text{s}$ sampling time);
- 74 8" PMT's, coated with TPB wls, for t_0 , timing and triggering.

LAr-TPC performance (CNGS ν 's and cosmics)

- **Tracking device:** precise 3D event topology, ~ 1 mm³ resolution for any ionizing particle;
- **Global calorimeter:** full sampling homogeneous calorimeter; total energy reconstructed by charge integration with excellent accuracy for contained events; momentum of non contained μ by Multiple Coulomb Scattering (MCS) with $\Delta p/p \sim 15\%$;
- **Measurement of local energy deposition dE/dx :** remarkable e/γ separation ($0.02 X_0$ sampling, $X_0 = 14$ cm and a powerful particle identification by dE/dx vs range):



Validation on p_{MCS} of stopping μ 's, compared with calo estimate.

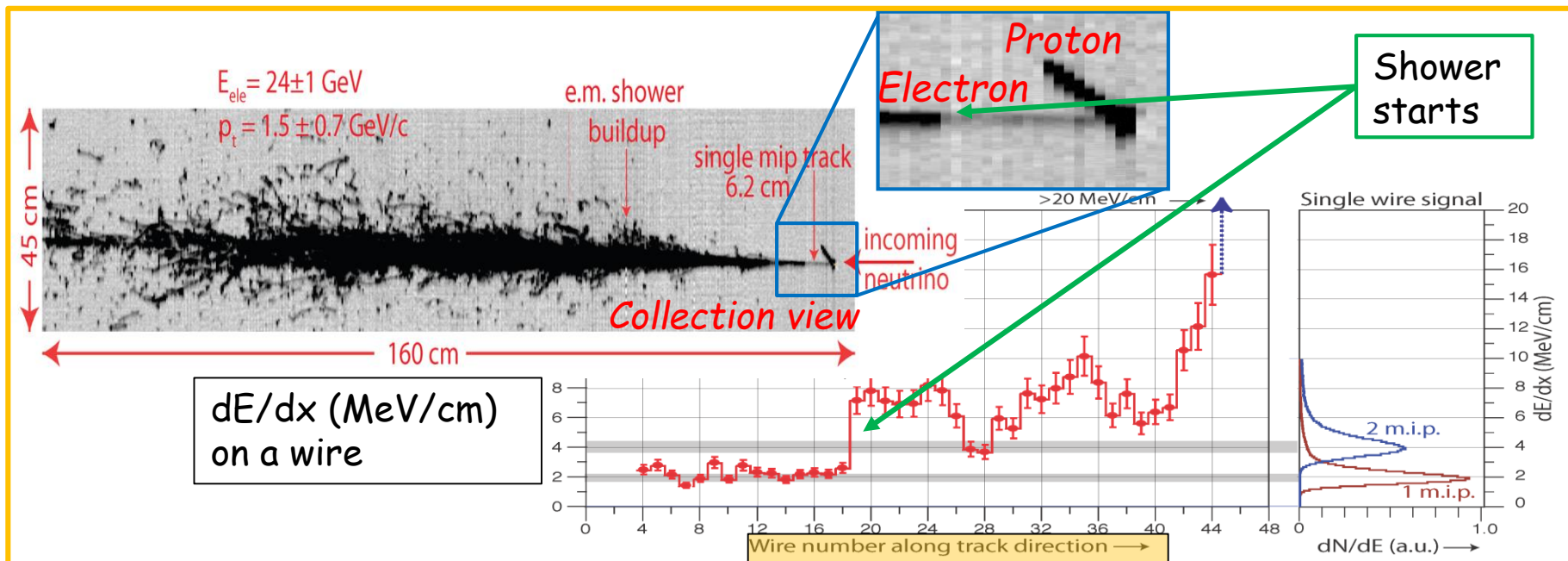
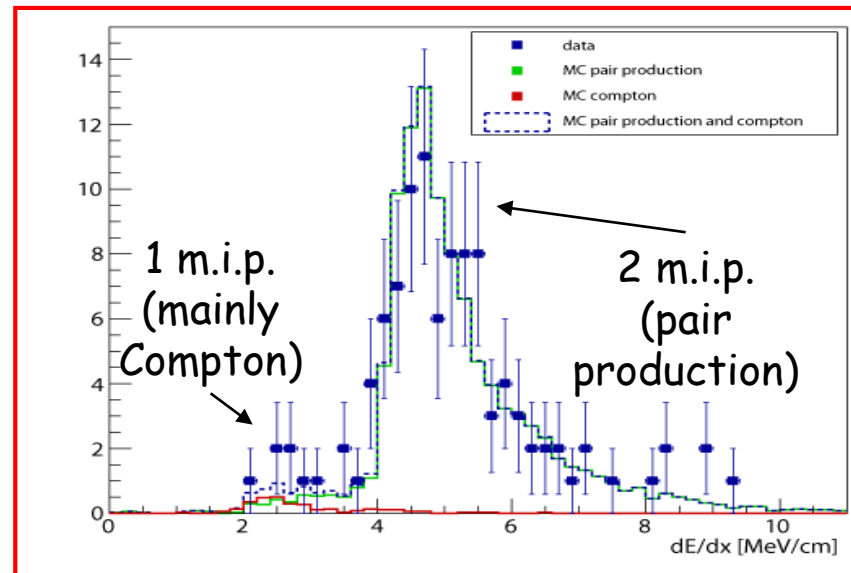
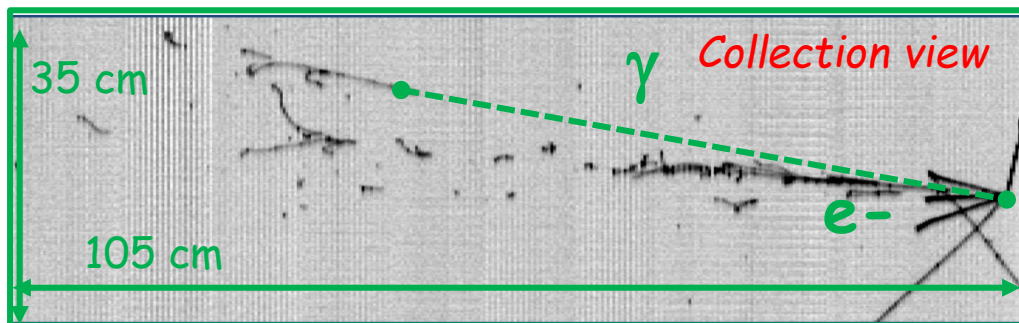


Low energy electrons:
 $\sigma(E)/E = 11\%/\sqrt{E(\text{MeV})} + 2\%$
Electromagnetic showers:
 $\sigma(E)/E = 3\%/\sqrt{E(\text{GeV})}$
Hadron showers:
 $\sigma(E)/E \approx 30\%/\sqrt{E(\text{GeV})}$

ν_e CC identification in CNGS beam: e/γ separation

Three "handles" to separate e/γ and reject NC background:

- reconstruction of π^0 invariant mass
- dE/dx : single vs. double m.i.p.
- γ conversion separated from primary vertex



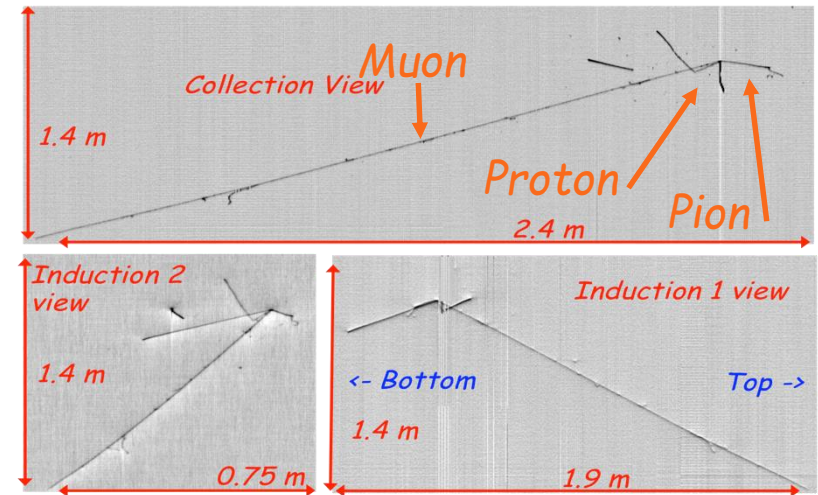
Atmospheric neutrino events @ LNGS

ICARUS collected @ LNGS also atmospheric ν_e and ν_μ CC interactions

These events are particularly suitable to emulate the ν interactions expected with FNAL beams (more on this later) because of the similar energy range

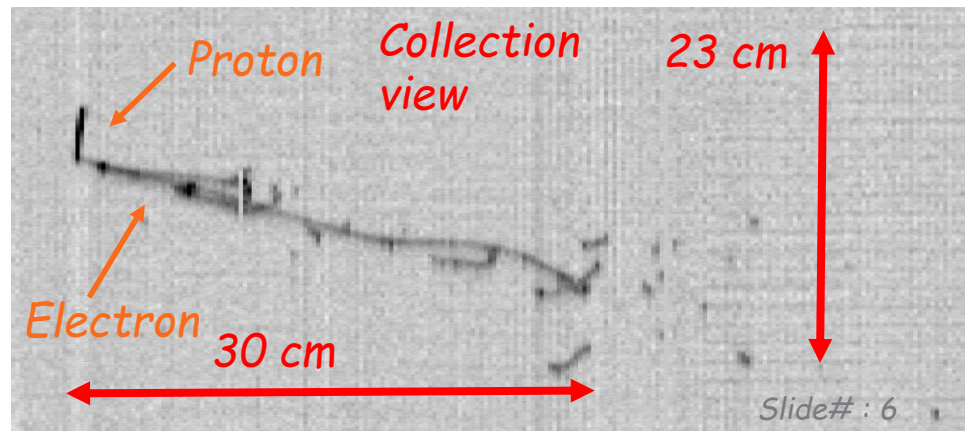
Example of upward-going ν_μ CC even with a deposited energy ~ 1.7 GeV:

- 4m escaping μ , 1.8 ± 0.3 GeV/c from MCS;
- Two pions ($E_{\text{dep}} \sim 80$ MeV) and a proton ($E_{\text{dep}} \sim 250$ MeV) at vertex.
- Reconstructed $E_\nu \sim 2$ GeV with $\sim 78^\circ$ zenith angle



Downward-going, quasi elastic ν_e event.
deposited energy: 240 MeV

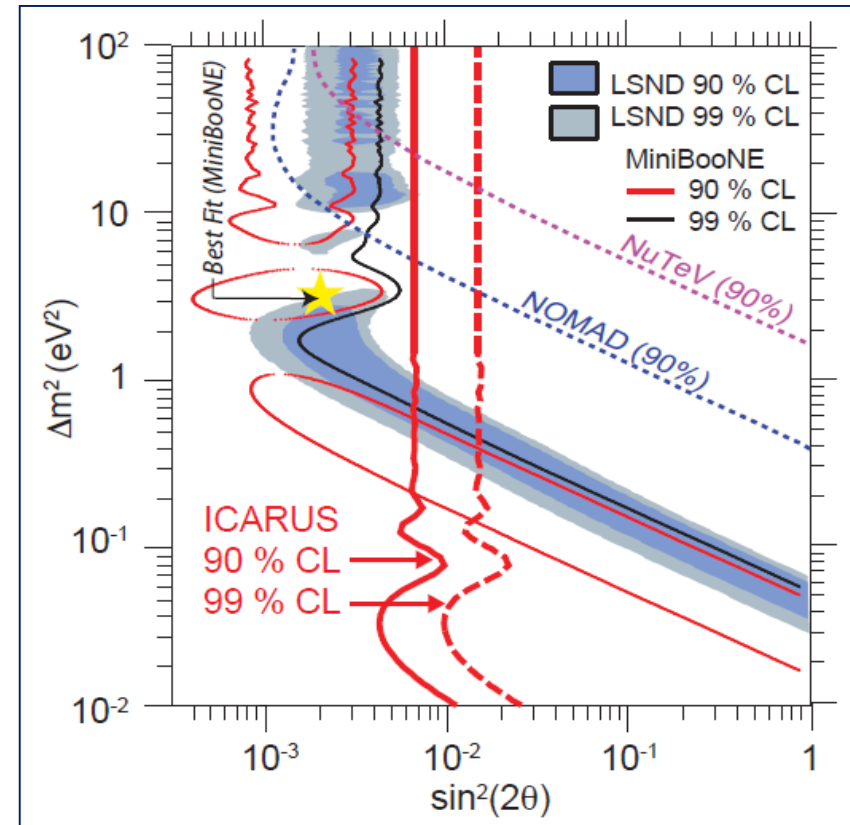
- $dE/dx \sim 2.1$ MeV/cm measured on first wires corresponds to a m.i.p.
- Short proton track recognized.



ICARUS LAr-TPC technology achievements

ICARUS run at LNGS allowed reaching several physics/technical results demonstrating the maturity of the LAr-TPC technology:

- An exceptionally low level ~ 20 p.p.t. $[O_2]$ eq. of electronegative impurities in LAr; the measured e^- lifetime $\tau_{ele} > 15$ ms ensured few m long drift path of ionization e^- signal without attenuation;
- Demonstrated detector performance, especially in ν_e identification and π^0 bkg rejection in $\nu_\mu \rightarrow \nu_e$ study to unprecedented level;
- Performed a sensitive search for LSND-like anomaly with CNGS beam, constraining the LSND window to narrow region at:
 $\Delta m^2 < 1 \text{ eV}^2, \sin^2 2\theta \sim 0.005$
where all positive/ negative experimental results can be coherently accommodated at 90% C.L., confirmed by OPERA.



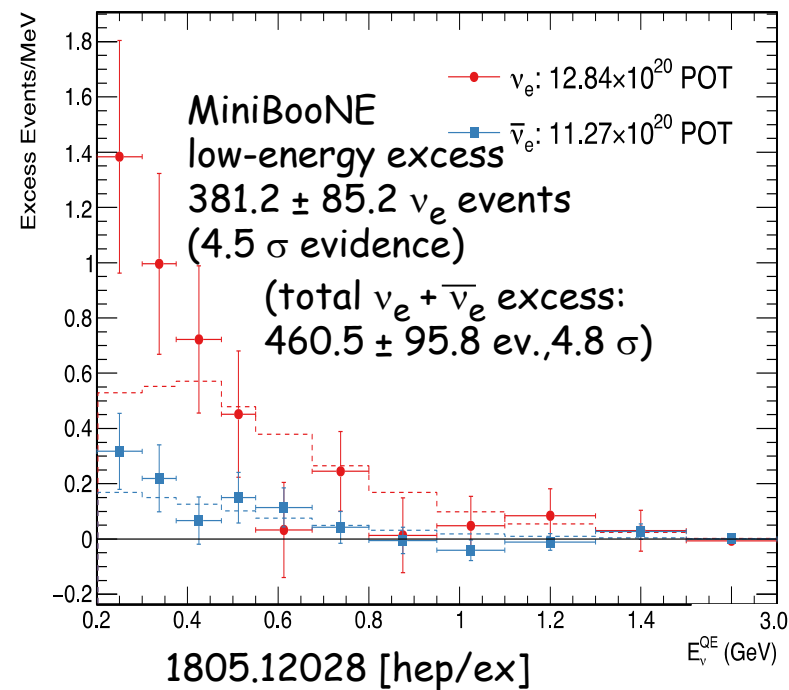
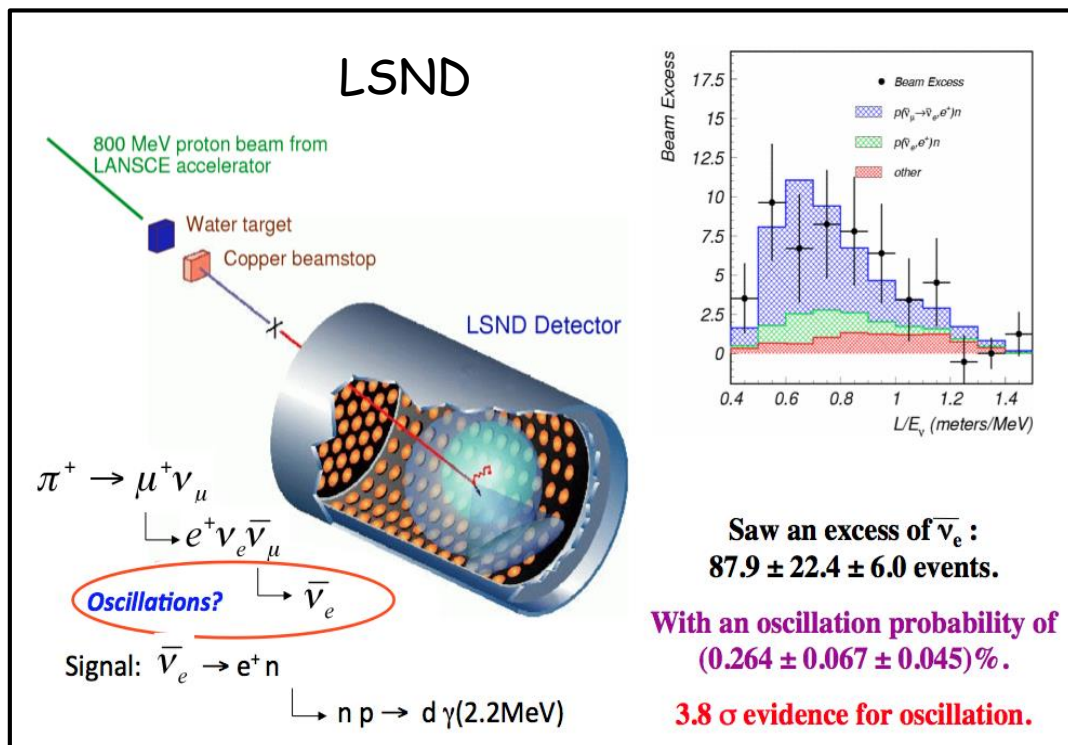
Eur. Phys. J. C (2013) 73:2599

Success of LAr-TPC technology with large impact on neutrino and astro-particle physics projects: Short Baseline Neutrino program at FNAL (SBN) with 3 LAr-TPC's (SBND, MicroBooNE and ICARUS) and the multi-kt DUNE LAr-TPC.

Sterile neutrino puzzle - I

Anomalies have been collected in last years in neutrino sector, despite the well-established 3-flavour mixing picture within Standard Model:

- **appearance of $\nu_e/\bar{\nu}_e$** from ν_μ beams in accelerator experiments (LSND + MiniBooNE, combined evidence from new MiniBooNE results $> 6\sigma$);
- **disappearance of $\bar{\nu}_e$** , hinted by near-by nuclear reactor experiments (ratio observed/predicted event rates $R = 0.938 \pm 0.024$);
- **disappearance of ν_e** , hinted by solar ν experiments during their calibration with Mega-Curie sources (SAGE, GALLEX, $R = 0.84 \pm 0.05$).

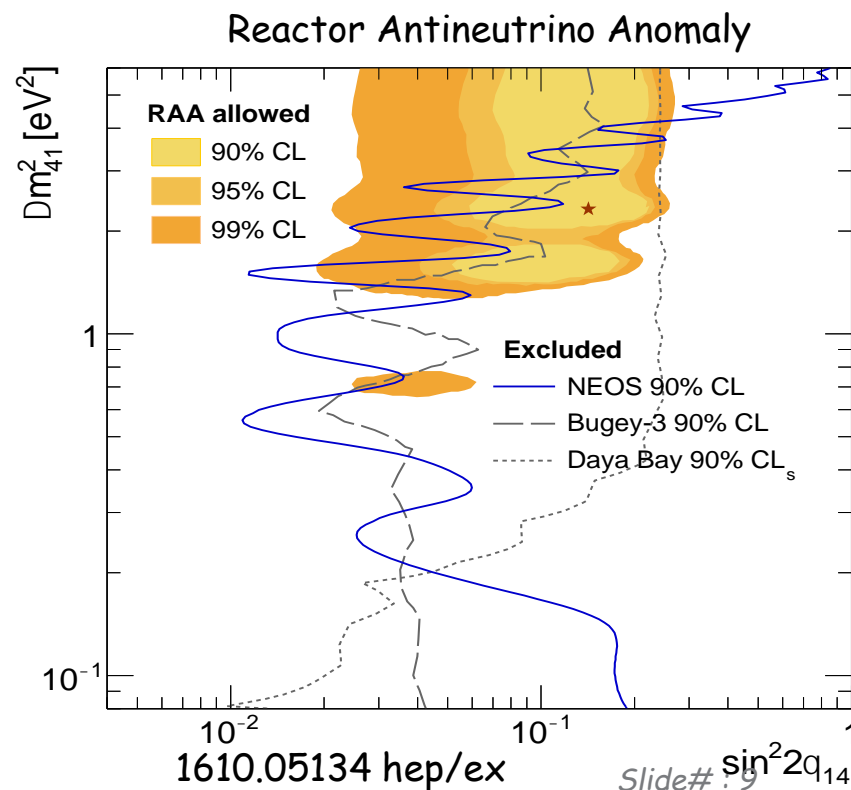


Sterile neutrino puzzle - II

Results **hint to a new "sterile" flavor**, described by $\Delta m^2 \sim eV^2$ and small mixing angle, driving oscillations at short distance:

- ICARUS constrained $\Delta m^2_{new} \leq 1 eV^2$, small mixing;
- Planck data and Big Bang cosmology point to at most one further flavor with $m_{new} < 0.24 eV$;
- **No evidence of ν_μ disappearance** in MINOS and IceCube in 0.32-20 TeV;
- Recent reactor data (NEOS, DANSS) can be inserted in 3+1 frameworks supporting one sterile neutrino, but they are not conclusive.
- ν_e appearance results of accelerator-based experiments are in tension with mentioned ν_μ disappearance data.

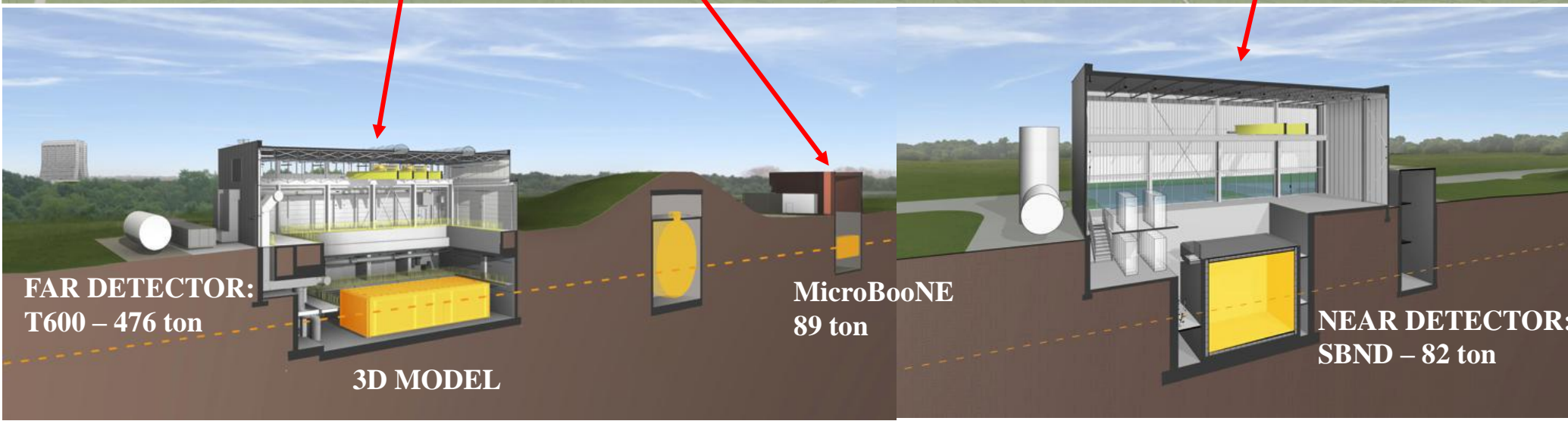
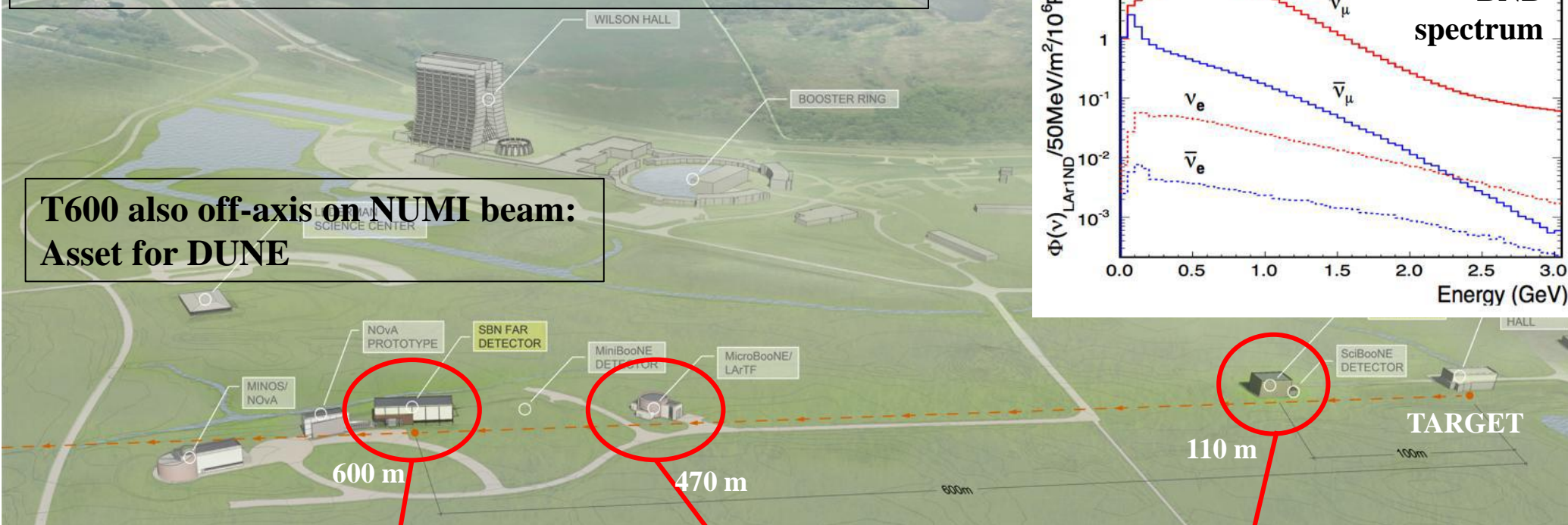
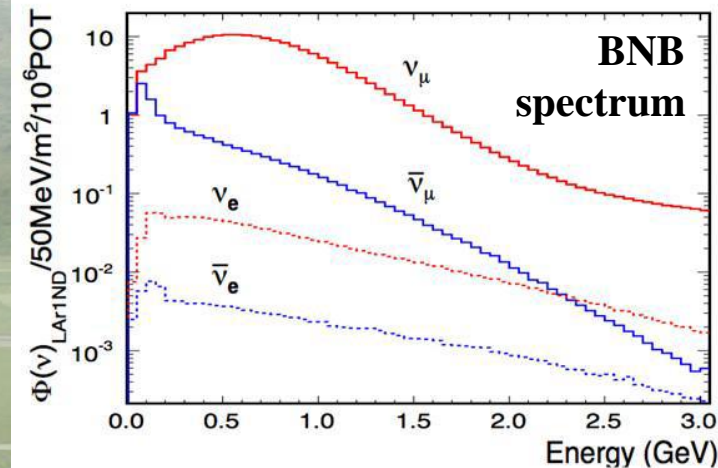
THE EXPERIMENTAL SCENARIO CALLS FOR A DEFINITIVE CLARIFICATION!



Short Baseline Neutrino (SBN) in a nutshell

$$L/E_\nu \sim 600 \text{ m} / 700 \text{ MeV} \sim \mathcal{O}(1 \text{ m/MeV})$$

**T600 also off-axis on NUMI beam:
Asset for DUNE**

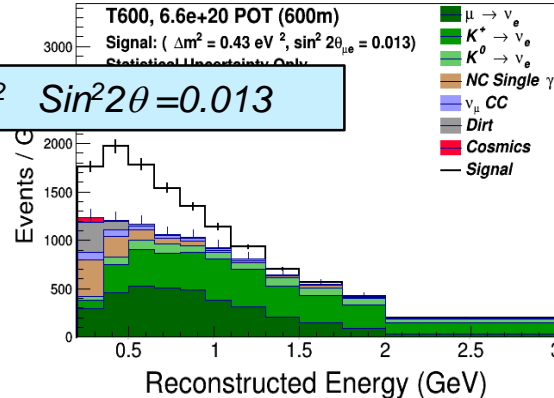
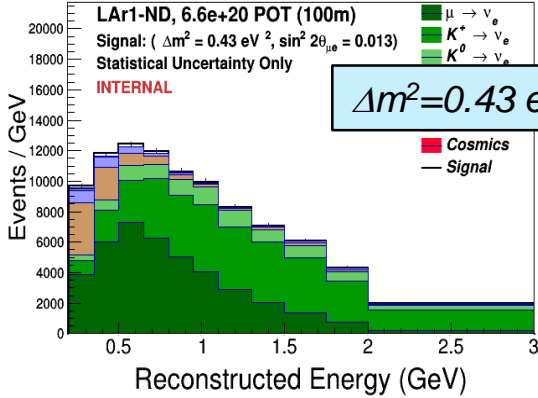


Appearances and disappearances, 6.6×10^{20} pot (3 years)

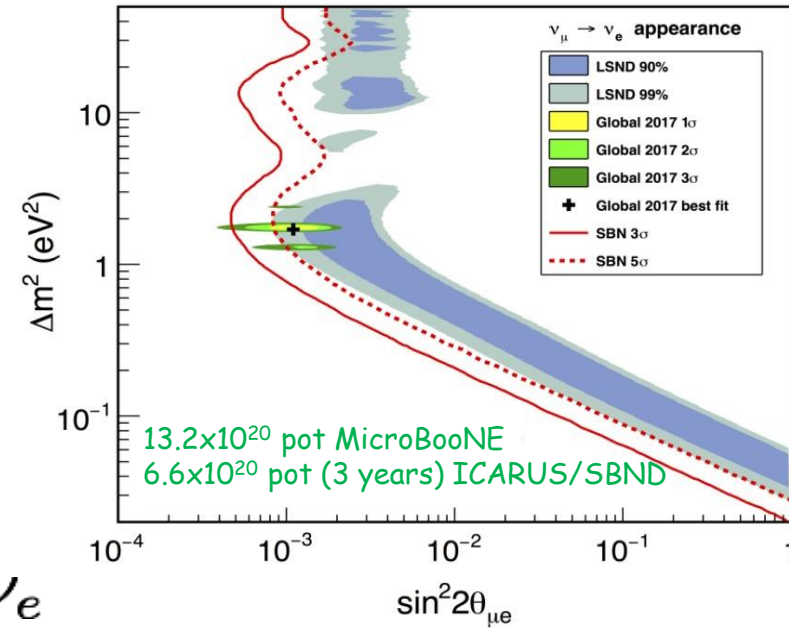
LSND 99%CL region covered at $\sim 5\sigma$ in ν_e app.

SBND @ 110 m

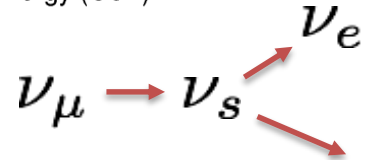
ICARUS-T600 @ 600 m



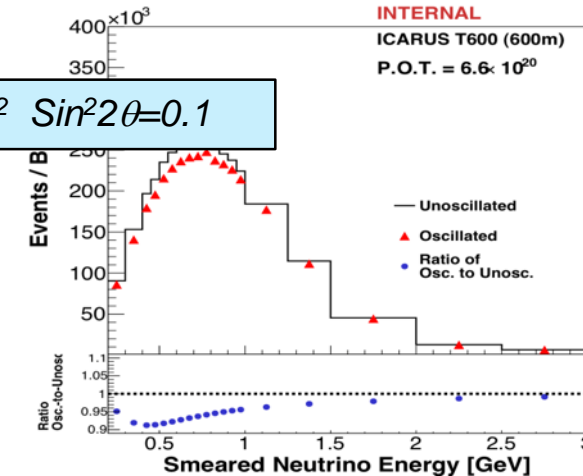
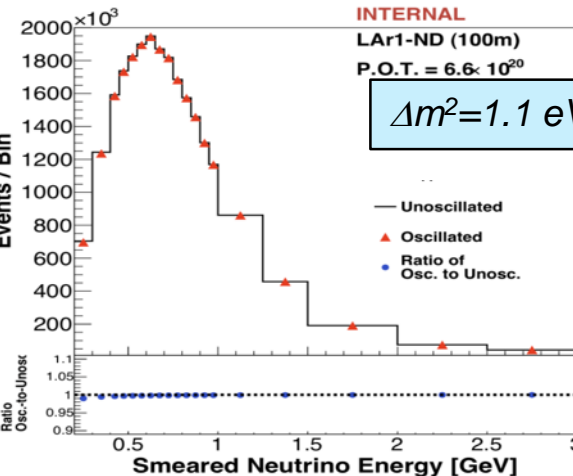
$$\Delta m^2 = 0.43 \text{ eV}^2 \quad \sin^2 2\theta = 0.013$$



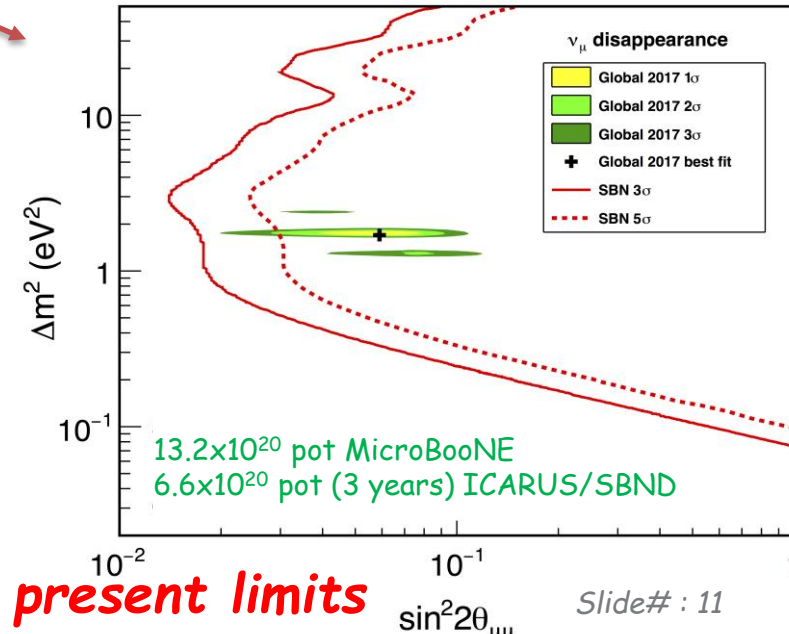
$$\sin^2(2\theta_{\mu e}) \leq \frac{1}{4} \sin^2(2\theta_{\mu x}) \sin^2(2\theta_{ex})$$



arXiv: 1703.00860 [hep-ph]



$$\Delta m^2 = 1.1 \text{ eV}^2 \quad \sin^2 2\theta = 0.1$$

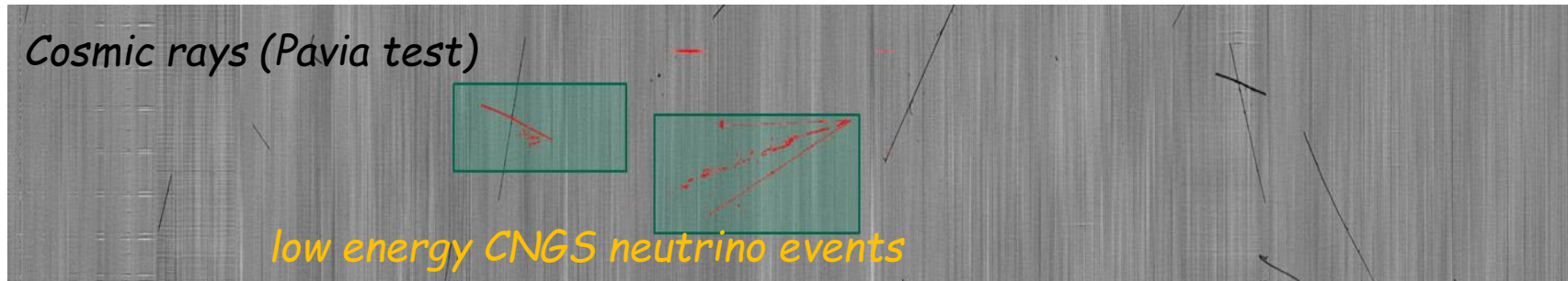


Sensitivity in ν_{μ} dis. extended by factor 10 wrt present limits

Taking data @ shallow depth

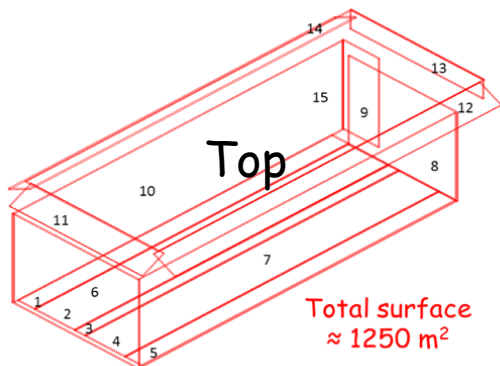
ICARUS at FNAL is facing a more challenging experimental condition than at LNGS, requiring the recognition of ν interactions amongst 11 KHz of cosmic rays.

- **A 3 m concrete overburden** will remove contribution from charged hadrons/ γ 's.
- $\sim 11 \mu$ tracks will occur per triggering event in 1 ms TPC drift readout: associated γ 's represent a serious background source for ν_e search, since e 's produced via Compton scattering/pair production can mimic a genuine ν_e CC.



Rejecting cosmic background, i.e. identifying the triggering events, requires to precisely know the time of each track in the TPC image. This is achieved with:

- A much improved **light detection system**, with $\sim ns$ time resolution;
- An external **cosmic ray tagger (CRT)** to detect incoming particles and measure their direction of propagation by time-of-flight:



- ✓ Scintillating bars surrounding T600 (aim: 98% coverage) equipped with optical fibers to convey light to PM arrays.
- ✓ Top coverage under INFN/ CERN responsibility. FNAL is recovering modules by MINOS/Double Chooz for side/bottom.

T600 Overhauling at CERN (WA104/NP01)

ICARUS T600 detector **underwent an intensive overhauling at CERN** in 2015/17 in the framework of CERN Neutrino Platform (**WA104/NP01 project**) before being shipped to FNAL:

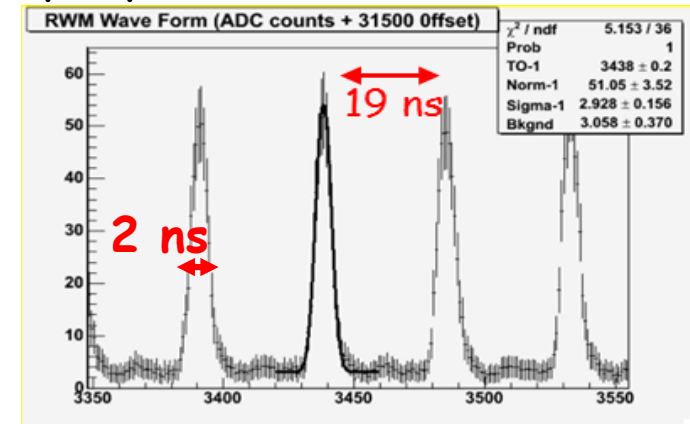
- **New cold vessels**, purely passive insulation;
- **Renovated cryogenic / LAr purification** equipment;
- **Flattening of TPC cathode**: few mm planarity;
- **Upgrade of light collection system**;
- **New higher performance TPC read-out electronics**



Light collection system - I

In ICARUS, light collection is used to:

- Identify precisely the time of occurrence (T_0) of each interaction;
- Identify the event topology for fast selection purposes;
- Generate a trigger signal to enable the event read-out by combining:
 - Pattern/majority of hit PMT signals
 - BNB/NuMI bunched beam spill
 - Veto from CRT

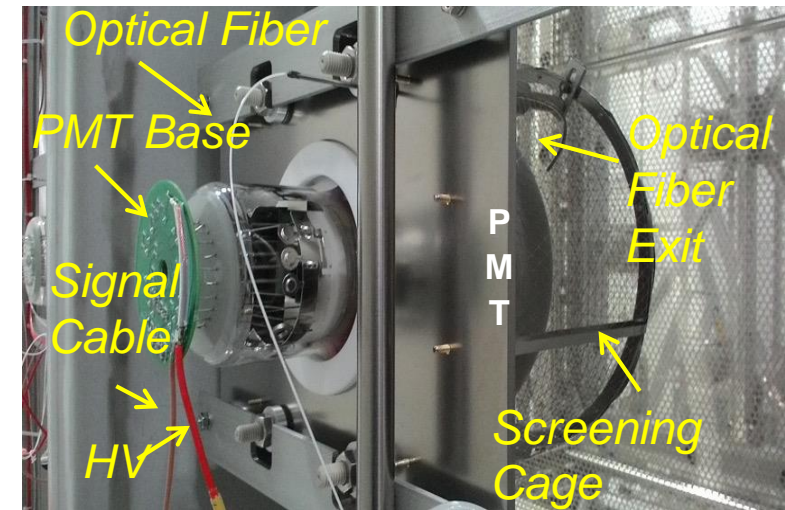
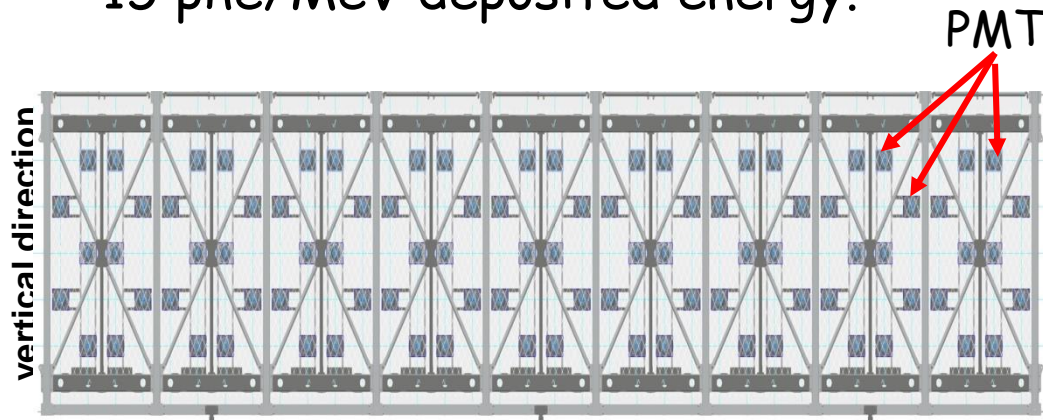


The light collection system is based on 360 PMT's, 90/chamber, to have:

1. High detection coverage, to be sensitive to the lowest-expected neutrino energy deposition in the TPC (approximately 100 MeV), also using the light fast-component only;
2. High detection granularity, longitudinal resolution is better than 0.5 m (effective Q.E. = 5%).
3. Fast response time/ high time resolution (≈ 1 ns), with a PMT timing calibration provided by a laser system (Hamamatsu PLP10, $\lambda \sim 450$ nm, FWHM < 100 ps, peak power ~ 400 mW) + 50 μm optical fiber.

Light collection system - II

- **90 PMT's per TPC layout:** 5% cathode coverage area, allowing to collect 15 phe/MeV deposited energy.



- Hamamatsu R5912-MOD (8", 10 dynodes) are rated for cryogenic temperature, as they feature a cathode with platinum under-layer.
- Each PMT is enclosed in a wire screening cage to prevent induction of PMT pulses on the facing TPC wires
- PMT sand blasted glass windows coated by $\sim 200 \mu\text{g}/\text{cm}^2$ of Tetra-Phenyl-Butadiene (TPB) wavelength shifter to detect the $\lambda = 128 \text{ nm}$ scintillation light in LAr.

A clear cosmic μ 's identification (vs elm showers) will be achieved with neural networks ($\sim 2\%$ expected residual misidentification).

New TPC read-out electronics

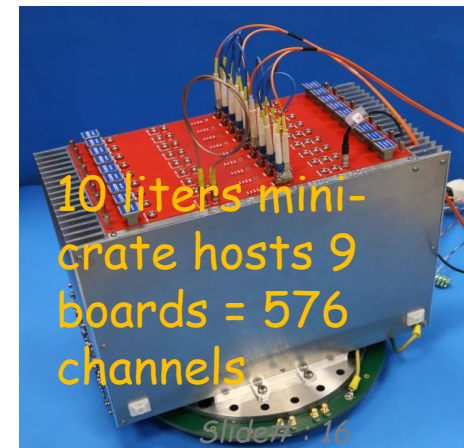
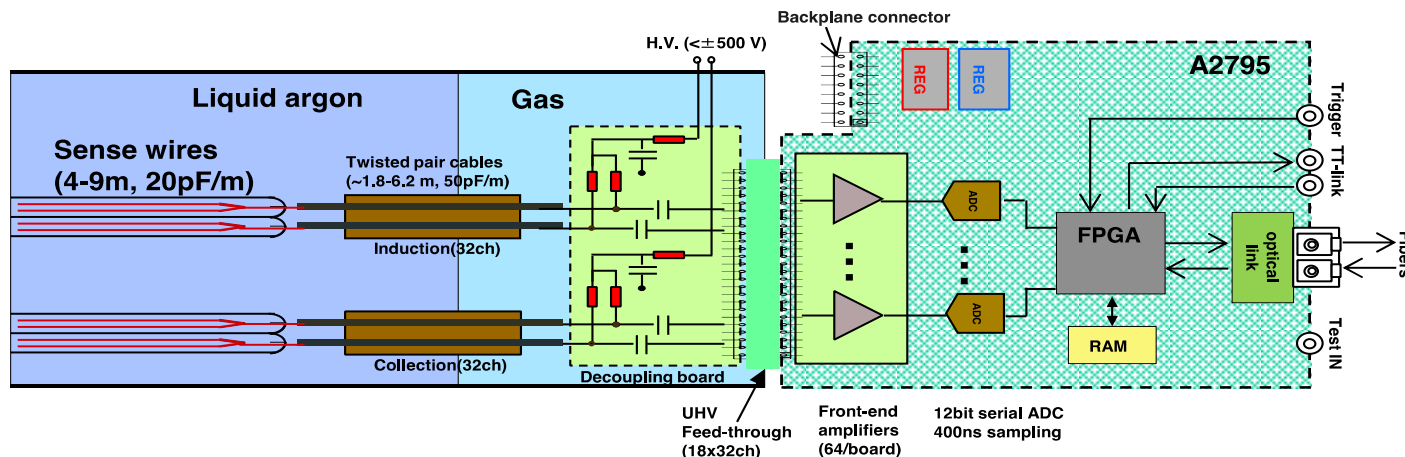
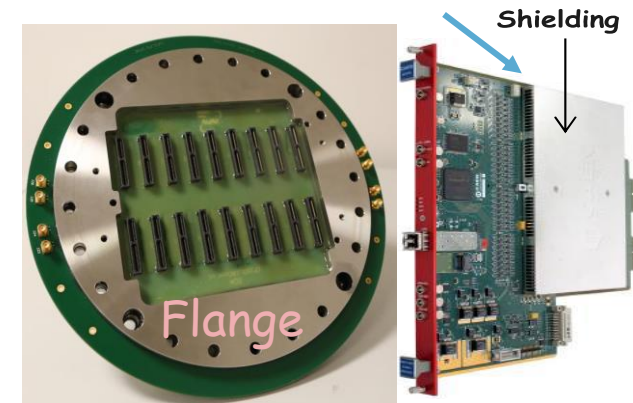
- ICARUS electronics at LNGS was based on analogue low noise "warm" front-end amplifier, a multiplexed 10-bit 2.5 MHz ADC and a digital VME module for local storage, data compression, trigger information:

S/N ~9 in Collection, ~0.7 mm single hit resolution, resulting in a precise spatial event reconstr. and μ momentum measurement by MCS.

- Improvements concern:

- Serial 12 bits ADC, **one per ch**, 400 ns sampling **synchronous** on the whole detector;
- Serial bus architecture with Gbit/s optical links to increase the bandwidth (10 MHz);
- Both analogue/digital electronics are housed in a single board inserted in a new mini-crate directly installed on ad-hoc signal feedthrough flanges

CAEN A2795 board, 64 chs



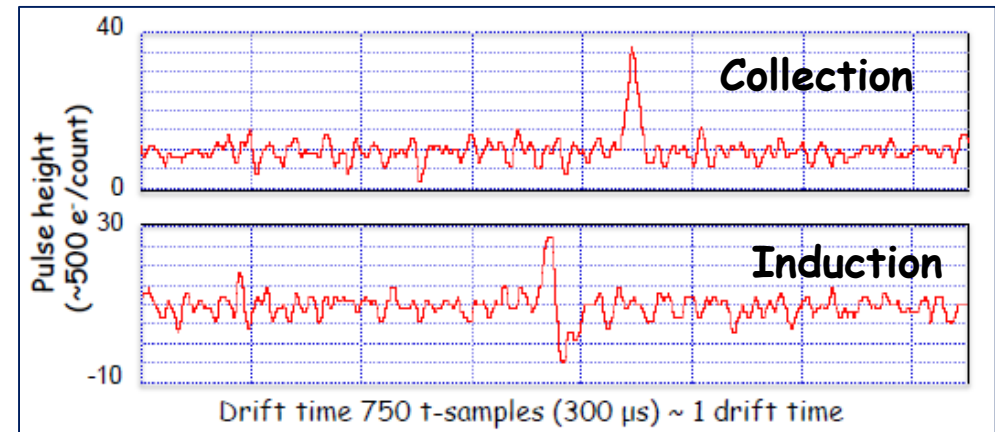
Improved front-end for T600 (tested at CERN)

- Adopted improvements in the analogue front-end:
 - ➔ faster shaping time $\sim 1.5 \mu\text{s}$ of analogue signals to match electron transit time in wire plane spacing;
 - ➔ drastic reduction of undershoot in the preamp response as well as of the low frequency noise, while maintaining same or better S/N;
 - ➔ same preamplifier for Ind/Coll planes, so induction view can be used for dE/dx measurement as well.

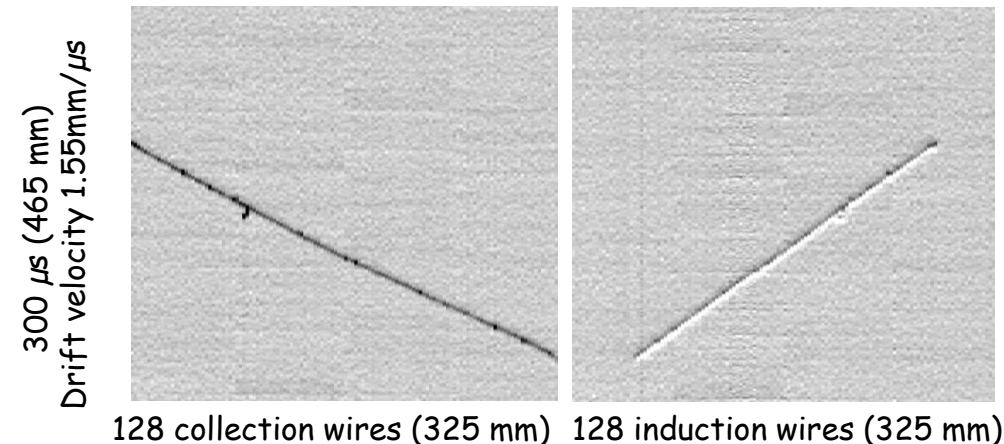
A better event reconstruction is then possible

Example of single m.i.p. track:

- Cosmic μ 's collected with 50l LAr-TPC (FLIC) at CERN;
- Same ~ 2 ADC counts ($\sim 1000 e^-$) noise for both Collect. & Induct.;



Slide# : 17



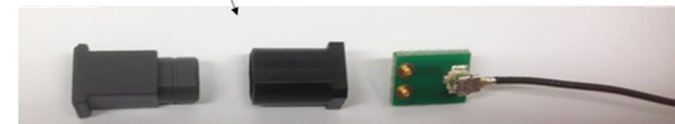
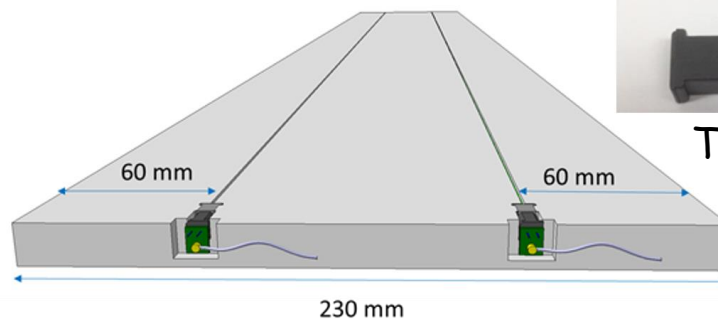
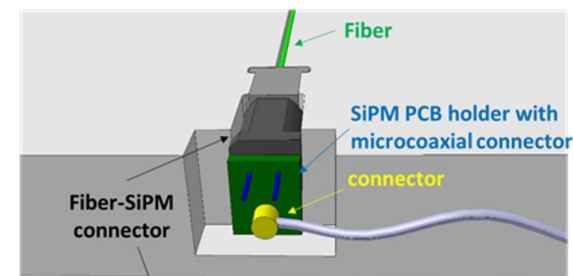
- Unipolar Coll signal: ~ 25 ADC counts;
- Symmetric bipolar Ind. Signal;
- No filter applied to any data.

Cosmic Ray Tagger – Top section

84 + 38 modules.
Each module has 8(X) + 8(Y)
bars for 2D localization.
Around 2000 bars in total.

Bar production and quality
controls in two stations:

- Prague (NUVIA bars);
- Dubna (bars from ISMA,
Kharkov, Ukraine).



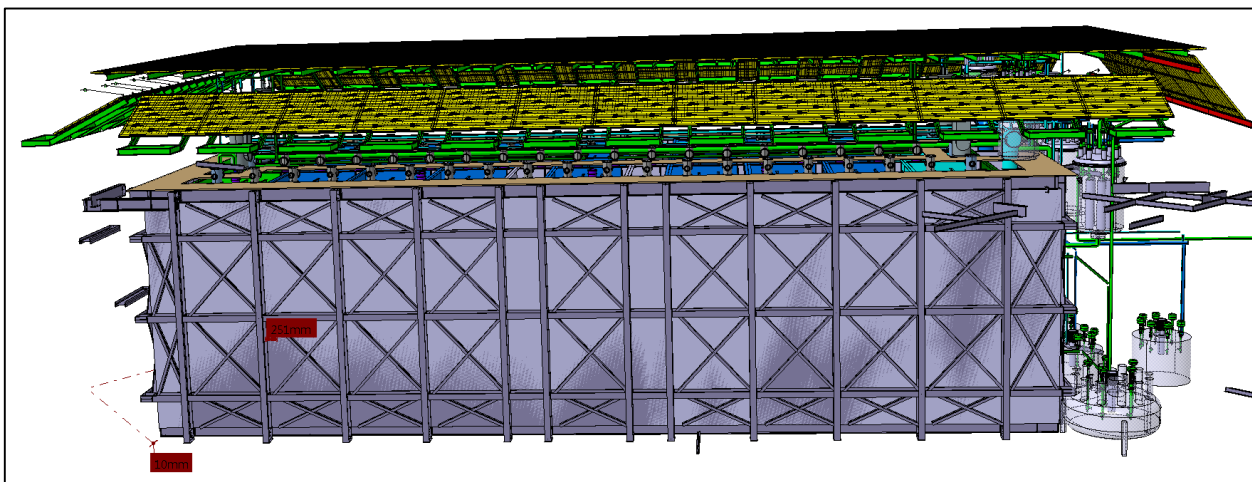
Top CRT single module detail
Bars painting @ CERN
Assembly @ INFN-Bo/LNF

Fibers: Kuraray Y11, multicladd, $\varnothing = 1$ mm

SiPMs: Hamamatsu MPPC
S13360-1350CS, active area
of 1.3×1.3 cm².

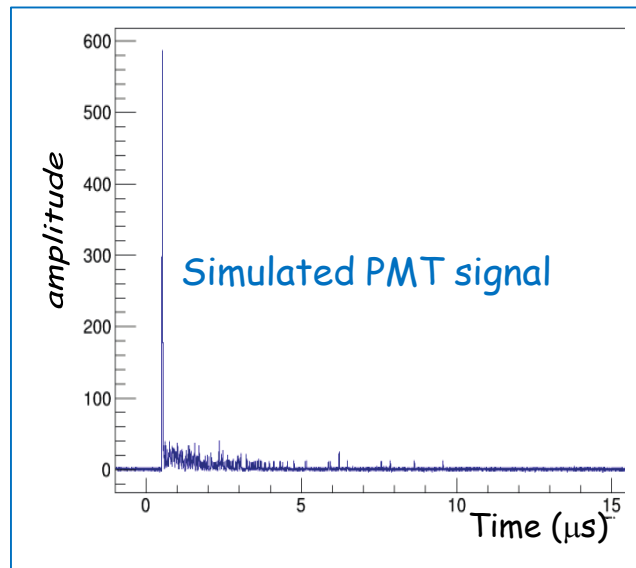
Module production underway.

Estimated tagging efficiency
of the Top-CRT alone is 80%.

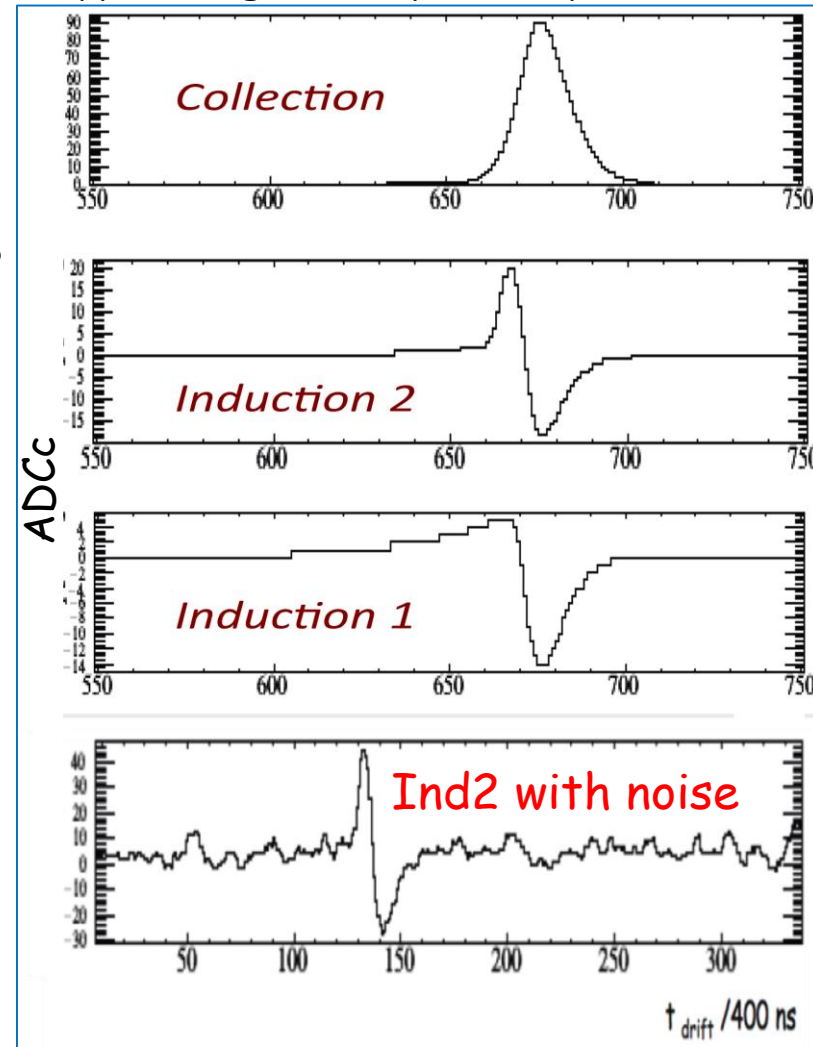


T600 @ SBN software status - I

- Common SBN framework (LarSoft) provides tools to simulate, reconstruct/identify events (cosmic μ 's, elm showers, neutrinos, ...).
- Exp. geometry setup is described in LarSoft.
- Some reco/analysis tools inherited from LNGS ICARUS software and ported to LarSoft.
- **LAR scintillation light** simulated for studies on event recognition with neural networks, and trigger configuration.



Typical Signal Shape - m.i.p. μ (ADCC)

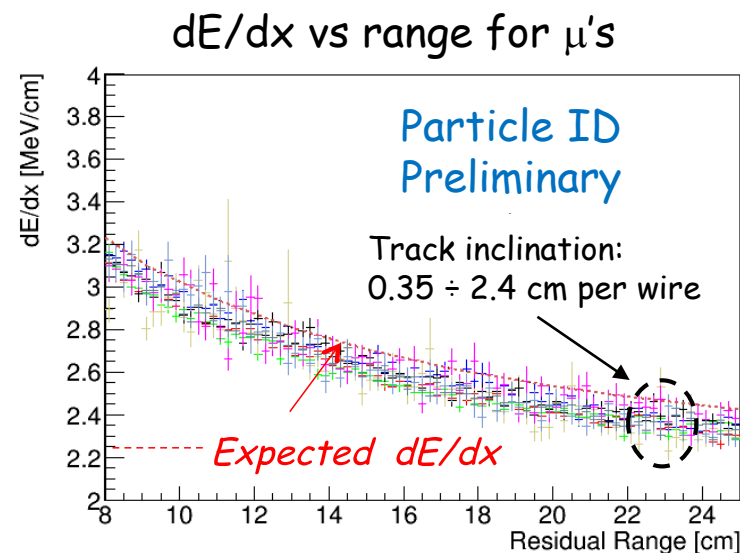
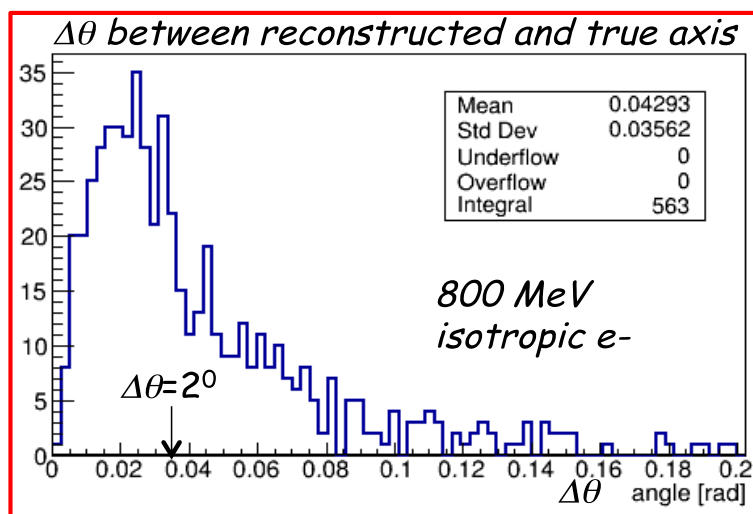
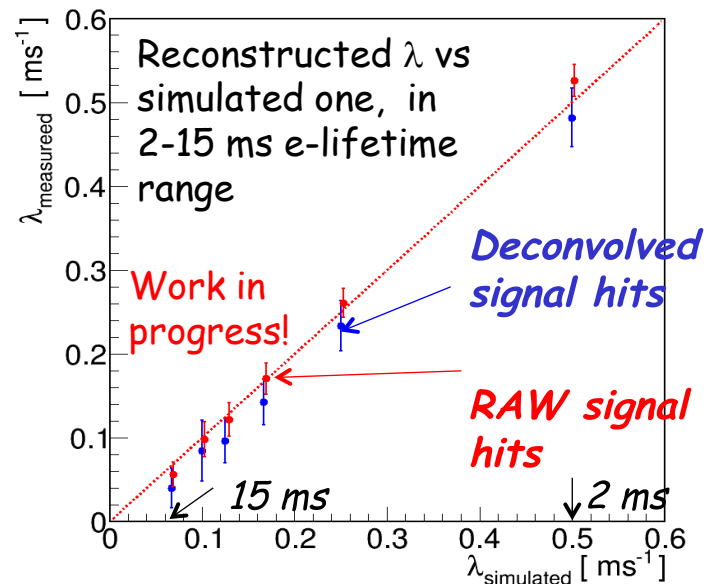


- **MC simulations** include new wire electronic response/realistic noise, as well as PMT scintillation light signals.

T600 @ SBN software status - II

Some advanced tools already ported in LarSoft:

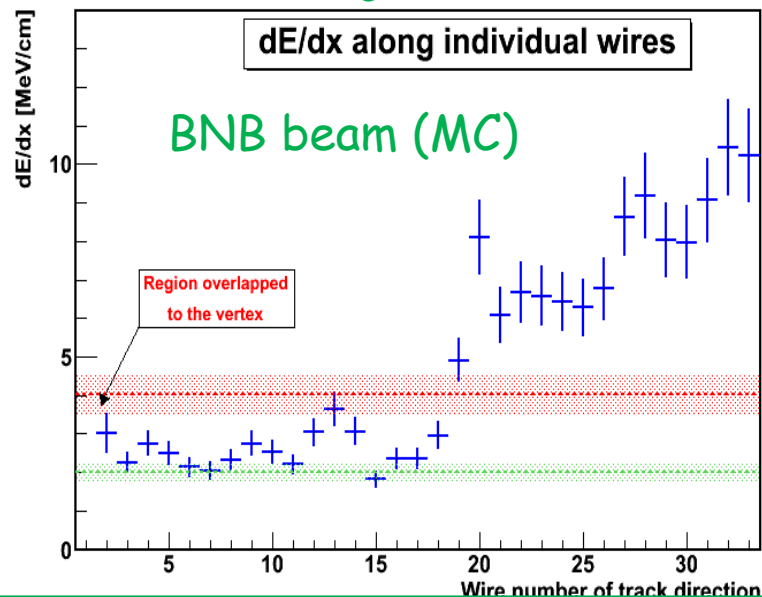
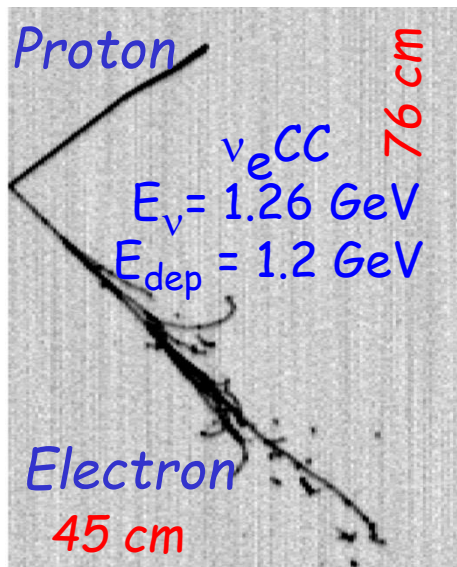
- **LAr purity** $\lambda=1/\tau_{ele}$ (τ_{ele} : electron lifetime) measurement from charge attenuation of cosmic μ 's tracks along the drift
→ Track selection at shallow depth difficult due to crowded events and lower energy μ 's
- **Particle ID**, based on dE/dx vs range
- **Electromagnetic shower axis identification**
→ Provides 3D reconstruction of shower



Software is mature enough to realistically simulate events with BNB beam

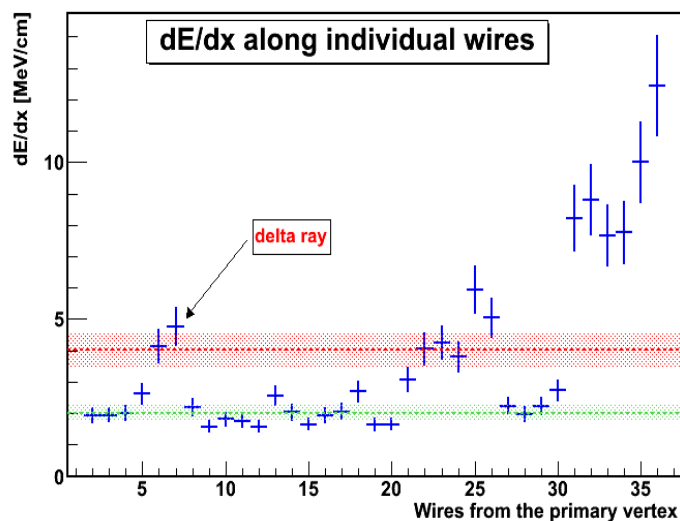
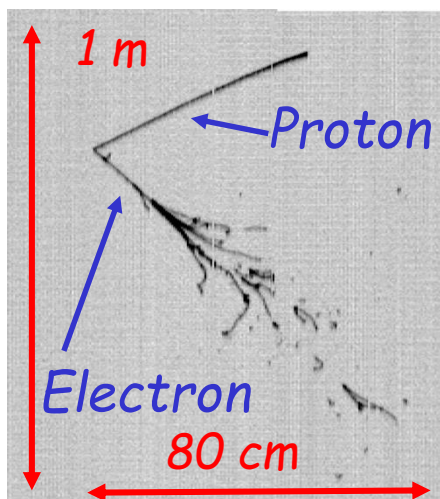
BNB (MC) and real atmospheric ν_e CC events comparison

MC SBN ν_e CC interactions



- very alike to typical atmospheric ν_e CC events @ LNGS (below)
- Similar results hold for ν_μ CC interactions

LNGS ν_e ATMOSPHERIC EVENT

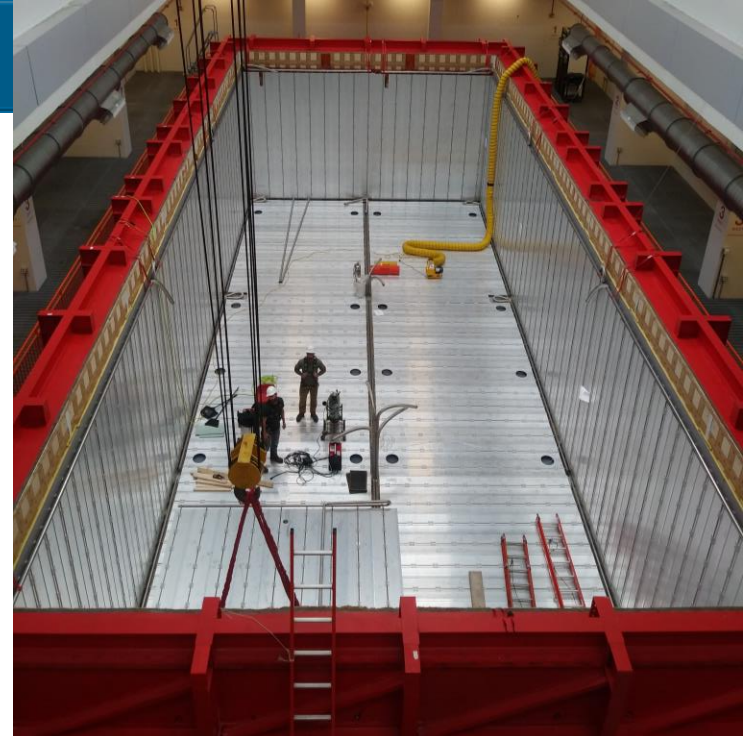


- Quasi-elastic ν_e CC
 $E_{Dep} = 0.9$ GeV.
- Proton identified by dE/dx.
- Electron identified by single m.i.p. before showering

T600 @ FNAL – Status

- Warm vessel floor/walls were assembled inside the Far Detector (FD) building at FNAL by summer 2017.
- 14 modules of the bottom CRT (200 m² total area) were installed by summer 2017.
 - Each module (4m x 1.6m x 3.2cm) consists of 2 layers of 32 parallel scintillator strips (5 cm width), read out by a 64-pixel multi-anode PMT.
- Assembly of cold shields; installation of bottom/side completed by May/June 2018.
- Installation of detector supports is in progress. **As of May 30th**.
- Main vessels doors must be welded to main bodies: **Welding is in progress**. Helium leak tests will follow (June 2018).

"Caged" ICARUS, waiting cover to start doors welding

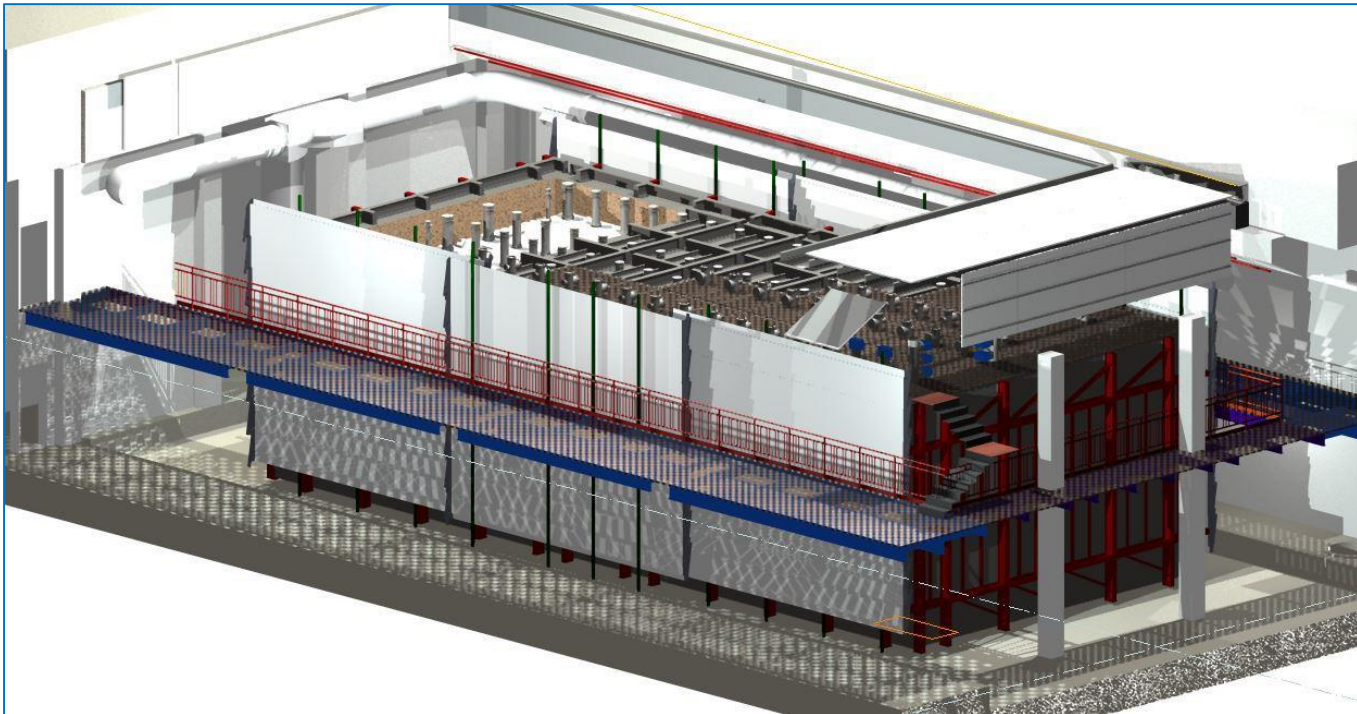


T600 @ FNAL – 2018 plans

- Cold Vessels will be equipped with strain gauges for monitoring of stress during vacuum/cool-down/filling. Validation of mech. engineering models very useful also for next-gen LAr-TPC experiments (DUNE) - July 2018.
- **Detectors insertion in Warm Vessel - End of July 2018.**
- Chimney installation for electronics housing, followed by connectivity/continuity tests (from cold vessels to outside of thermal insulation).
- Top part of cold shield will be installed and tested, followed by installation of top part of warm vessel (starting Aug-Sep 2018).
- Read-out board production should start in fall for side CRT (double layer, ~1000m² total):
 - each module (8m x 80.5cm x 1 cm) has 20 parallel scintillator strips, SiPM-based readout.
- From Fall 2018, activities on top of detector will start (installation of cryo, purification and vacuum systems, ext. cabling, read-out & decoupling boards, feedthrough flanges, optical fibers,...).
- Vacuum pumping should start by late 2018 / early 2019 and last until ready to start cool-down.

T600 @ FNAL – Commissioning

- Cryogenic commissioning steps: Vacuum (1 month minimum), Cooling (15 days), Filling (15 days), Purification (1 month), Stabilization (1 month).
- TPC and PMT system commissioning (2 months in total): HV system, PMT's supply, calibrations, DAQ & trigger commissioning.
- Side+bottom CRT can be installed and commissioned in parallel with the activities for the completion of cryo, TPC and PMT system commissioning.
- Top CRT "barn-style" installation should start by Dec 2018 and be completed after stabilization of cryo and purification systems;



Conclusions

- LAr-TPC detection technique taken to full maturity with ICARUS-T600.
- ICARUS completed in 2013 a successful continuous 3-year run at LNGS exposed to CNGS neutrinos and cosmic rays, and performed a **sensitive search for a potential ν_e excess related to a LSND-like anomaly**, defining a narrow allowed region at $(\Delta m^2, \sin^2 2\theta) \sim (1 \text{ eV}^2, 0.005)$.
No excess evidence, as confirmed by OPERA.
- The T600 underwent a major overhauling at CERN and was transported to FNAL to be exposed to Booster and NuMI neutrinos, as part of the Short Baseline Neutrino Program (SBN), along with SBND & MicroBooNE.
- SBN experiment will provide a clarification of the sterile neutrino issue, both in appearance and disappearance modes.
- Installation of the T600 in the Far Site building @ FNAL is in progress:
 - vacuum pumping should start at the earliest in winter 2018.
 - Detector **commissioning** while waiting for clearance by FNAL (by Jan - Feb 2019) to start cool-down. Then **data taking for physics!**



Thank you !