



Heavy neutrinos and the search for their Dirac/Majorana nature

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FLASY 2018

University of Basel, 2-5 July 2018

Overview

- 1 Motivation for extra neutrinos
- 2 Searches for heavy Dirac/Majorana neutrinos
- 3 Summary

Motivation for extra neutrinos

- Evidence of neutrino oscillations imply:
Neutrinos are massive:

$$m_1, m_2, m_3 : \quad \Delta m_{12}^2 \sim 10^{-5} \text{ eV}^2, \quad \Delta m_{23}^2 \sim 10^{-3} \text{ eV}^2.$$

$$\sum_i m_i \lesssim 0.1 \text{ eV} \quad (\text{Cosmology})$$

and mix: ν_1, ν_2, ν_3 combinations of ν_e, ν_μ, ν_τ :

$$\sin^2 \theta_{12} \sim 0.3, \quad \sin^2 \theta_{23} \sim 0.5, \quad \sin^2 \theta_{13} \sim 0.02$$

- In the SM, neutrinos are massless (left handed Weyl fermions)

Open questions:

- Why neutrinos are so much lighter than all other fermions?
- How is the SM modified to include the massive neutrinos?

Motivation for extra neutrinos

A top down approach:

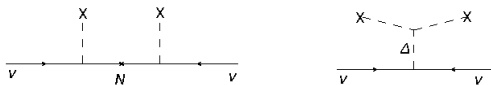
A fundamental theory that explains m_ν as a consequence.

- How can the SM be modified to include massive neutrinos?
- SM + Higgs was already not a satisfactory theory.
- Extended gauge symmetries:
 - new interactions
 - larger fermion representations (extra ν_R fields?)
 - composite scalars?
 - other exotics?
- In terms of purely SM fields, the only (effective) term: [S. Weinberg (1979)]

$$\mathcal{L} \sim \frac{L\phi L\phi}{\Lambda} \quad \Rightarrow \quad m_\nu \sim \frac{v^2}{\Lambda}.$$

- Add extra fields to get a renormalizable operator at tree level.
Only 3 ways:
 - Type I seesaw: $(L\phi)$ forms a fermion singlet: extra N .
 - Type II seesaw: (LL) forms a scalar triplet: extra $\Delta = (\Delta^{++}, \Delta^+, \Delta^0)$
 - Type III seesaw: $(L\phi)$ forms a fermion triplet: extra $\Sigma = (\Sigma^+, \Sigma^0, \Sigma^-)$

Motivation for extra neutrinos



- More possibilities... masses from loops, RpV SUSY,...

Let us focus on Type 1 (extra singlet fermions, N).

- A Majorana mass of the singlet N violates Lepton number (and thus $B - L$):

$$-\mathcal{L} \sim M_\Lambda \overline{N^c} N + \dots$$

with $M_\Lambda \sim \Lambda$ (the scale of the extra gauge and L breaking)

- E.g.: a simple UV completion is the LR symmetric model, where $N \equiv \nu_R$ is part of a *right handed* doublet.
- ...other gauge groups such as $SO(10)$, E_6

Motivation for extra neutrinos

- Consider a single $N \equiv \nu_R$ (per family) as in the LR symmetric model:

$$-\mathcal{L} = y\bar{L}\phi^c\nu_R + \frac{1}{2}M\bar{\nu}_R^c\nu_R + \dots$$

\Rightarrow After EWSB:

$$-\mathcal{L} = \frac{1}{2}(\bar{\nu}_L, \bar{\nu}_R^c) \begin{pmatrix} 0 & m_D \\ m_D^T & M \end{pmatrix} \begin{pmatrix} \nu_L^c \\ \nu_R \end{pmatrix} + \dots$$

with $m_D = yv/\sqrt{2}$.

- This is the original Type 1 seesaw model: [P. Minkowski (1977), T. Yanagida (1979)]
Two Majorana neutrinos, ν_l and N , with masses $m_l \sim \frac{m_D^2}{M}$, $m_N \sim M$,

and mixing in the EW currents: $J_\mu^{(-)} = \bar{e}\gamma_\mu P_L (V_{e l} \nu_l + V_{e N} N)$:

$$V_{eN} \sim \frac{m_D}{M} \sim \sqrt{\frac{m_l}{M}} \sim 10^{-5} \sqrt{\frac{\text{GeV}}{M}}$$

Motivation for extra neutrinos

- Other Type 1, with more extra neutrinos per family:
e.g. *low scale seesaw models* [R.N. Mohapatra & J.W.F. Valle (1986)]

$$-\mathcal{L} = y\bar{L}\phi^c\nu_R + M\bar{S}_L\nu_R + \frac{1}{2}\mu_R\bar{\nu}_R^c\nu_R + \frac{1}{2}\mu_S\bar{S}_L S_L^c + \dots$$

$$\Rightarrow -\mathcal{L} = \frac{1}{2}(\bar{\nu}_L, \bar{\nu}_R^c, \bar{S}_L) \begin{pmatrix} 0 & m_D & 0 \\ m_D^T & \mu_R & M \\ 0 & M^T & \mu_S \end{pmatrix} \begin{pmatrix} \nu_L^c \\ \nu_R \\ S_L^c \end{pmatrix} + \dots$$

- Now the masses: $m_I \sim \mu_S \frac{m_D^2}{M^2}$, $m_{N_{1,2}} \sim M$, $\Delta m_N \sim \mu_S$
- L is conserved and one massless mode if $\mu_S = \mu_R = 0$.
- ν_I : one light Majorana; the smallness is dictated by a small μ_S
- $N_{1,2}$: two heavy Majorana (quasi-Dirac if $\Delta m_N \lesssim \Gamma_N$).
- Mixing is less constrained: $V_{eN} \sim \frac{m_D}{M} \sim \sqrt{\frac{m_I}{\mu_S}}$
- More seesaw scenarios (linear, double, lobsided, ...) [E. Ma (2009)]

Motivation for extra neutrinos

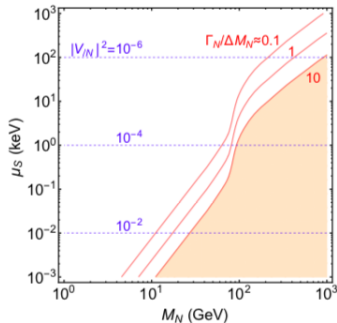
- The decay width also depends on m_N and $V_{\ell N}$:

$$\Gamma_N \sim \frac{G_F^2}{10\pi^3} m_N^5 \sum_{\ell} |V_{\ell N}|^2$$

$$\frac{\Gamma_N}{\Delta m_N} \sim \left(\frac{1\text{keV}}{\mu\text{S}} \right)^2 \left(\frac{m_N}{100\text{GeV}} \right)^5$$

See figure:

- white zone: N is Majorana.
- red zone: N is Dirac.



from F. Deppisch, P.S.B. Dev & A. Pilaftsis (2015)

Motivation for extra neutrinos

Therefore:

- Extra neutrinos can be in a wide range of masses (keV \rightarrow GUT scale).
- Heavy-to-light mixings also cover a wide theoretical range (there are experimental constraints).
- It is important to determine the Dirac or Majorana character of N 's to distinguish between different scenarios.

Searches for heavy Dirac/Majorana neutrinos

Current bounds on V_{eN} :

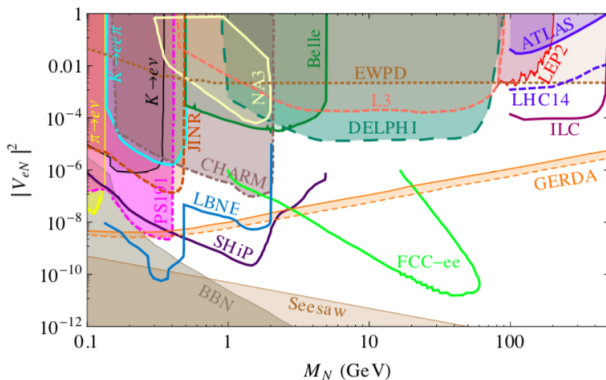


Figure from F. Deppisch, P.S.B. Dev & A. Pilaftsis, *New J.Phys.* 17, 075019 (2015).

Searches for heavy Dirac/Majorana neutrinos

Current bounds on $V_{\mu N}$:

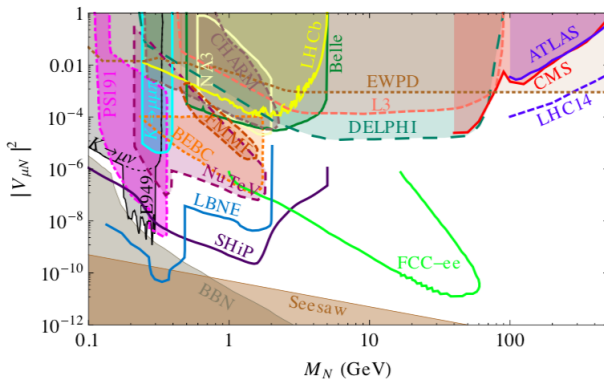


Figure from F. Deppisch, P.S.B. Dev & A. Pilaftsis, *New J.Phys.* 17, 075019 (2015).
 ...and more recent bound from LHCb [S. Antusch, E. Cazzato & O. Fisher (2017)].

Searches for heavy Dirac/Majorana neutrinos

Current bounds on $V_{\tau N}$:

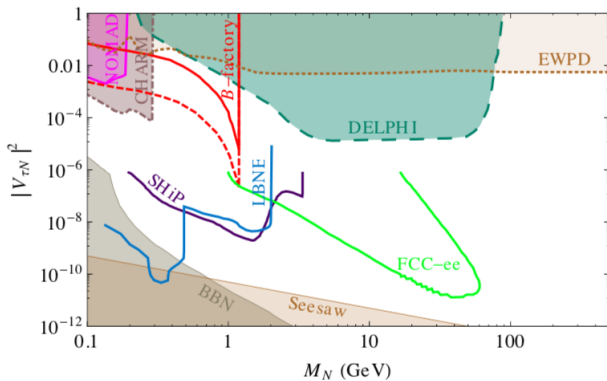
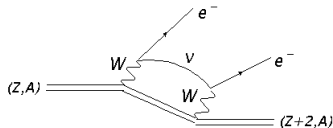


Figure from F. Deppisch, P.S.B. Dev & A. Pilaftsis, *New J.Phys.* 17, 075019 (2015).

Searches for heavy Dirac/Majorana neutrinos

- ◇ Search for heavy sterile neutrinos in processes:
 - Majorana:
 - violation of Lepton Flavor (LFV),
 - violation of Lepton Number (LNV).
 - Dirac:
 - violation of LFV only ($L\#$ is conserved).

- ◇ Search for Majorana N : best processes are nuclear $0\nu\beta\beta$ decays
 ...but there can be cancellations even if N are Majorana (e.g. CP phases).



Searches for heavy Dirac/Majorana neutrinos

◇ Search for heavy sterile neutrinos at colliders:

- For $m_N > M_W$, preferred channel is $pp \rightarrow \ell^\pm \ell^\pm jj$ (Majorana N only).
(see also talk by O. Fischer on LFV $e^\pm \mu^\mp jj$ at future colliders).

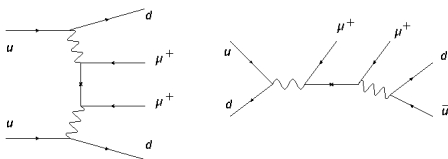


Figure : Left: N in the t -channel; right: dominant for resonant N .

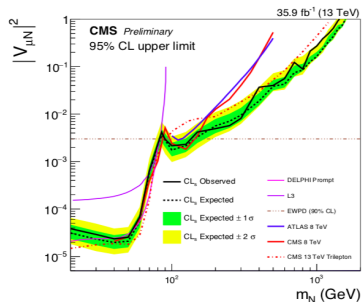
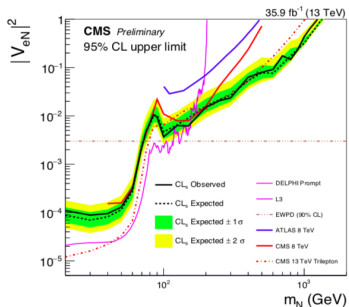
- $\sigma(pp \rightarrow W)$ decreases with m_N : LHC sensitive up to ~ 1 TeV
- Larger $\sigma(pp \rightarrow W_R)$ for larger m_N : stronger bounds for LR sym. model.

Searches for heavy Dirac/Majorana neutrinos

Search by CMS at $\sqrt{s} = 13$ TeV with 35.9 fb^{-1} pp collisions into same-sign dilepton + jets.

[CMS PAS-EXO 17-028 (2018)]

- Found no signal above background: bounds on $|U_{eN}|$ and $|U_{\mu N}|$ for $m_N < 1$ TeV.

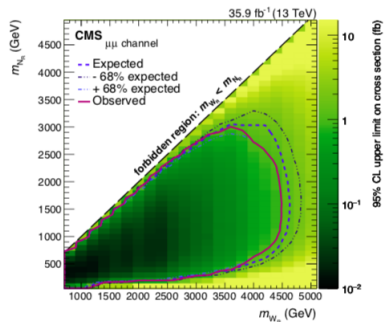
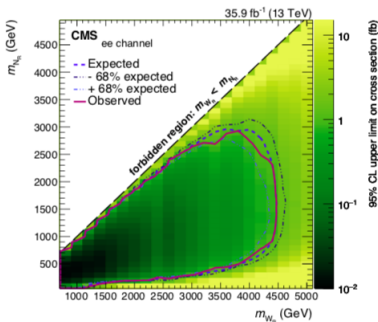


Searches for heavy Dirac/Majorana neutrinos

Search for W_R and N by CMS at $\sqrt{s} = 13$ TeV with 35.9 fb^{-1}
 $pp \rightarrow W_R \rightarrow \ell N \rightarrow \ell \ell W_R^* \rightarrow \ell \ell j j$

[CMS coll., JHEP 1805, 148 (2018)]

- Larger expected rates imply bounds $M_{W_R} > 4.5$ TeV and m_N up to 3 TeV.



Searches for heavy Dirac/Majorana neutrinos

◇ Search for heavy sterile neutrinos at colliders:

- For $m_N > M_W$, preferred channel is $pp \rightarrow \ell^\pm \ell^\pm jj$ (Majorana N only)...
- ...but for $m_N < M_W$, jets are softer \Rightarrow trilepton modes are preferred.

$$pp \rightarrow e^\pm e^\pm \mu^\mp \nu, \quad pp \rightarrow \mu^\pm \mu^\pm e^\mp \nu$$

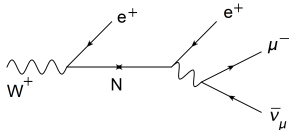
[E. Izaguirre & B. Shuve (2015)]

- Missing final ν : cannot test L conservation directly.
- Majorana N :
sum of two rates (LNV + LNC):
e.g. $W^+ \rightarrow e^+ e^+ \mu^- \bar{\nu}_\mu + W^+ \rightarrow e^+ e^+ \mu^- \nu_e$
- Dirac N :
LNC rate only: $W^+ \rightarrow e^+ e^+ \mu^- \nu_e$.

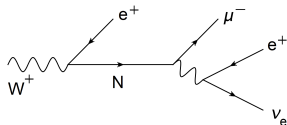
Searches for heavy Dirac/Majorana neutrinos

$$W^+ \rightarrow e^+ e^+ \mu^- \nu:$$

- Lepton Number Violating (LNV) mode:
caused by Majorana N only: $W^+ \rightarrow e^+ e^+ \mu^- \bar{\nu}_\mu$:



- Lepton Number Conserving (LNC) mode:
caused by both Majorana and Dirac N : $W^+ \rightarrow e^+ e^+ \mu^- \nu_e$:



- How can we tell whether N is Dirac or Majorana?
- Exploit the fact that μ^- (the odd lepton) in the N decay is attached to a different current.

Searches for heavy Dirac/Majorana neutrinos

$$W^+ \rightarrow e^+ e^+ \mu^- \nu:$$

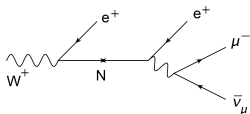
- How to tell a Majorana from a Dirac N :
- Exploit the fact that μ^- (the odd lepton) in the N decay is attached to a different current.
- Three possible differences:
 1. Different heavy-to-light mixing elements (different rates).
 2. Different μ energy spectrum.
 3. Different μ angular distributions.

Dirac/Majorana distinction in trilepton events ($\ell^\pm \ell \pm \ell'^\mp \nu$)

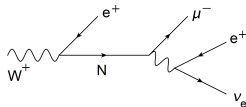
1. Different heavy-to-light mixing elements (different rates).

[C.D., C.S. Kim, K. Wang, J. Zhang (2016)]

- Consider e.g. $W^+ \rightarrow e^+ e^+ \mu^- \nu$:



$$\Gamma_{LNV} \sim |V_{eN}|^4$$



$$\Gamma_{LNC} \sim |V_{eN}|^2 |V_{\mu N}|^2$$

- If N is Dirac:

$$\begin{aligned} \Gamma(e^+ e^+ \mu^-) &\sim |U_{eN}|^2 |U_{\mu N}|^2 \\ \Gamma(\mu^+ \mu^+ e^-) &\sim |U_{eN}|^2 |U_{\mu N}|^2 \end{aligned} \quad \Rightarrow \Gamma(e^+ e^+ \mu^-) = \Gamma(\mu^+ \mu^+ e^-)$$

- If N is Majorana:

$$\begin{aligned} \Gamma(e^+ e^+ \mu^-) &\sim |V_{eN}|^4 + |V_{eN}|^2 |V_{\mu N}|^2 \\ \Gamma(\mu^+ \mu^+ e^-) &\sim |V_{\mu N}|^4 + |V_{eN}|^2 |V_{\mu N}|^2 \end{aligned} \quad \Rightarrow \Gamma(e^+ e^+ \mu^-) \neq \Gamma(\mu^+ \mu^+ e^-) \\ \text{if } |V_{eN}| \neq |V_{\mu N}|$$

Dirac/Majorana distinction in trilepton events ($\ell^\pm \ell \pm \ell'^\mp \nu$)

1. Different heavy-to-light mixing elements (different rates).

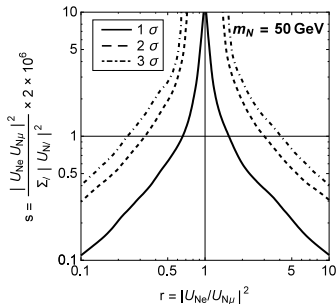
[C.D., C.S. Kim, K. Wang, J. Zhang (2016)]

- $|V_{eN}| \neq |V_{\mu N}| \dots$ but how different must they be?
- Simulations for 14 TeV pp collisions with 3000 fb^{-1} :

Figure: confidence levels for excluding the Dirac case given a Majorana scenario, for $m_N = 50 \text{ GeV}$.

- For larger s (more events),

$$r \equiv \frac{|V_{eN}|^2}{|V_{\mu N}|^2} \text{ can be closer to 1.}$$



Dirac/Majorana distinction in trilepton events ($\ell^\pm \ell \pm \ell'^\mp \nu$)2. Different μ energy spectrum in $W^+ \rightarrow e^+ e^+ \mu^- \nu$.

[C.D. & C.S. Kim, PRD 92, 093009 (2015)]

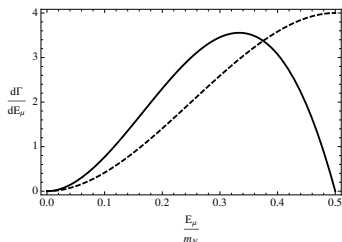
- Muon energy in the N rest frame: $\epsilon_\mu = E_\mu/m_N$
- The spectra:

$$\Gamma(W^+ \rightarrow e^+ e^+ \mu^- \bar{\nu}_\mu)_{(LNV)} \sim r \cdot s \times \int_0^{1/2} d\epsilon_\mu \left(\epsilon_\mu^2 - 2\epsilon_\mu^3 \right),$$

$$\Gamma(W^+ \rightarrow e^+ e^+ \mu^- \nu_e)_{(LNC)} \sim s \times \int_0^{1/2} d\epsilon_\mu \left(\frac{1}{2}\epsilon_\mu^2 - \frac{2}{3}\epsilon_\mu^3 \right).$$

Muon spectrum:

- LNV (solid);
- LNC (dashed);

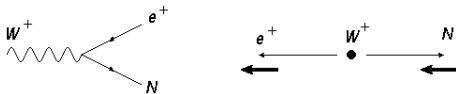


Dirac/Majorana distinction in trilepton events ($\ell^\pm \ell \pm \ell'^\mp \nu$)3. Different μ angular distributions.

[C. Arbelaez, C.D., I. Schmidt & J.C. Vasquez (2018)]

 $W^+ \rightarrow e^+ N$, followed by $N \rightarrow e^+ \mu^- \bar{\nu}_\mu$ or $N \rightarrow \mu^- e^+ \nu_e$:

- N produced mainly with left handed helicity in $W^+ \rightarrow e^+ N$:

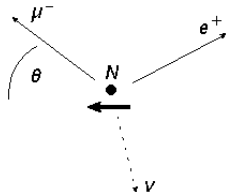


$$\mathcal{P}_{\text{left}} = \frac{2M_W^2}{2M_W^2 + m_N^2} \quad \mathcal{P}_{\text{right}} = \frac{m_N^2}{2M_W^2 + m_N^2}$$

- Polarized N decays have different angular distributions:

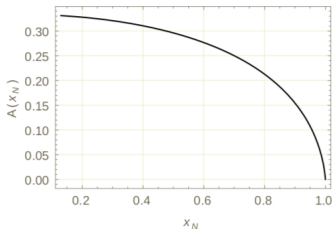
$$\frac{d\Gamma(N_{\text{pol}} \rightarrow e^+ \mu^- \bar{\nu}_\mu)}{d \cos \theta} \sim (1 - \cos \theta),$$

$$\frac{d\Gamma(N_{\text{pol}} \rightarrow \mu^- e^+ \nu_e)}{d \cos \theta} \sim (1 + A(m_N) \cos \theta)$$



Dirac/Majorana distinction in trilepton events ($\ell^\pm \ell \pm \ell'^\mp \nu$)3. Different μ angular distributions.

...where $A(m_N)$ is
the *analyzing power* :



Different forward-backward asymmetries of the muon in the N frame,
depending on whether N is Dirac or Majorana:

$$A_{FB} = \frac{\mathcal{N}(\cos \theta > 0) - \mathcal{N}(\cos \theta < 0)}{\mathcal{N}(\cos \theta > 0) + \mathcal{N}(\cos \theta < 0)}.$$

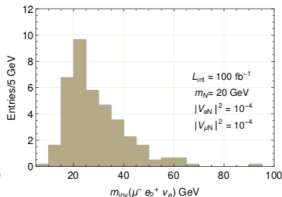
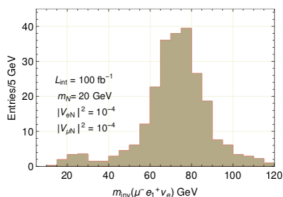
- Dirac: $A_{FB} = -1/6$ independent of mixings $|V_{\ell N}|$.
- Majorana: depends on $|V_{\ell N}|$.
If $|V_{eN}| > |V_{\mu N}|$, $A_{FB} = -1/2$ ($\rightarrow -1/6$ as $m_N \rightarrow M_W$).
If $|V_{eN}| < |V_{\mu N}|$, use the mode $\mu^\pm \mu^\pm e^\mp$ instead.

Dirac/Majorana distinction in trilepton events ($\ell^\pm \ell \pm \ell'^\mp \nu$)

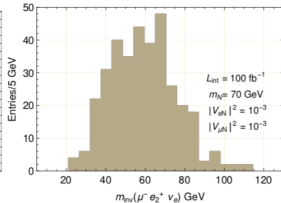
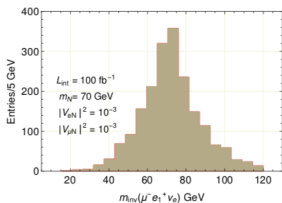
Some difficulties:

- need to determine the N rest frame: need to identify the primary positron.

For $m_N = 20$ GeV
(define $E_{e_1} > E_{e_2}$):



For $m_N = 70$ GeV
(define $E_{e_1} > E_{e_2}$):



- Primary lepton is the least energetic for large m_N and the most energetic for smaller m_N .

Dirac/Majorana distinction in trilepton events ($\ell^\pm \ell \pm \ell'^\mp \nu$)

4. (there is always a forth way) put everything together:

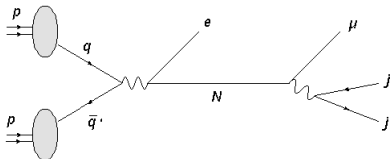
MVA (multivariate analysis)

[C.D., C.S. Kim, Kechen Wang (2017)]

- Simulations for 14 TeV pp collisions with integrated luminosity of 3000 fb^{-1} .
- Majorana-Dirac distinction can be reached at 5σ significance for m_N between 20 GeV and 75 GeV, provided:
 - $|V_{eN}|^2 \sim |V_{\mu N}|^2 \gtrsim 10^{-5}$
 - $|V_{eN}|^2$ or $|V_{\mu N}|^2 \gtrsim 10^{-6}$ and the other is considerably smaller.
- For $m_N \lesssim 15$ GeV the N production and decay vertices are spatially separated in the detector.
This vertex displacement drastically helps on the kinematics and on BKG reduction.

Searches for heavy Dirac/Majorana neutrinos

- ◇ Search for $m_N < 15$ GeV at colliders: displaced vertices.
 - For $m_N \lesssim 15$ GeV, N lives long. i.e. decay vertex visibly displaced from production vertex:



- New bounds on $|V_{\mu N}|^2$ in the range $m_N = 4.5 - 10$ GeV from LHCb [S. Antusch, E. Cazzato & O. Fischer, Phys.Lett. B774, 114 (2017)].

Searches for heavy Dirac/Majorana neutrinos

- ◇ Search for $m_N < 15$ GeV at colliders: exclusive semileptonic modes.
 - Semileptonic modes may be difficult to detect for low m_N (low p_t jets lost in bkgnd).
 - Exclusive semileptonic with displaced vertices may help: try

$$N \rightarrow \ell^\pm \pi^\mp, \quad N \rightarrow \ell^\pm \pi^\mp \pi^0, \quad N \rightarrow \ell^\pm \pi^\pm \pi^\mp \pi^\mp.$$

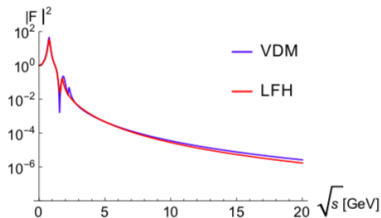
[C.D., C.S. Kim, N. Neill & X-B. Yuan (2017)]

For 2-pion and 3-pion modes, need for factors.

VDM for 2-pion and 3-pion. [BaBar coll., PRD 86, 032013 (2012)] and

Light Front Holography (LFH) for 2-pion

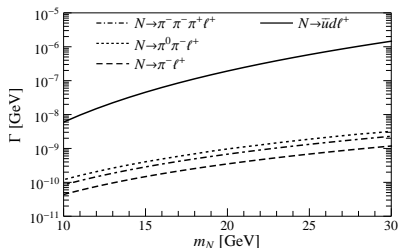
[S. Brodsky et al, P. Rept. 584, 1 (2015)] .



Searches for heavy Dirac/Majorana neutrinos

◇ Search for $m_N < 15$ GeV at colliders: exclusive semileptonic modes.

- Single pion mode is suppressed by f_π/m_N .
- Two-pion and three-pion modes are comparable.



- Can 2-pion and 3-pion modes be seen at the LHC?
- 2-pion mode has one neutral pion (two-photon signal???)
- 3-pion signal has no neutrals, but it is a bit smaller.
- Must study the observability of modes: $pp \rightarrow W \rightarrow \ell\ell(n\pi)$.
- For a sample of $\sim 10^9$ W 's by the end of LHC Run 2, ATLAS / CMS should be able to put new limits $|V_{\ell N}|^2 \sim 2 \times 10^{-6}$ in the mass range $5 \text{ GeV} < m_N < 15 \text{ GeV}$.
- Study of particle id. and background rejection (in progress).

Summary

- Neutrino masses lead us to physics beyond the Standard Model.
- Most explanations for the very small neutrino masses imply the existence of extra neutrinos, either Majorana or Dirac ...or in between.
- It is important to know the Dirac / Majorana character of neutrinos to distinguish between theoretical scenarios.
- Here we focus on searches for heavy neutrinos in high energy collisions:
- Semileptonic modes: $\ell^\pm \ell^\pm jj$, $e^\pm \mu^\mp jj$.
- Trilepton modes $e^\pm e^\pm \mu^\mp \nu$, $\mu^\pm \mu^\pm e^\mp \nu$.
- Dirac/Majorana character difficult to detect in trileptons ...
... but it can be done.
- For $m_N < 15$ GeV, displaced vertices due to long living N helps in background reduction (can use semileptonic modes again).



Thank you