

# Neutrino mixing from finite modular groups

Takuya H. Tatsuishi (Hokkaido Univ.)

Based on

- [1] Tatsuo Kobayashi, Kentaro Tanaka, T.H.T [arXiv:1803.10391]
- [2] T.K, T.H.T, N.Omoto (Hokkaido U.),  
Y.Shimizu, K.Takagi (Hiroshima U.),  
M.Tanimoto (Niigata U.)

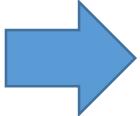
Work in progress

# Introduction

The Standard Model is successfull.

However, there are unsolved mysteries:

- gravity
- dark matter, inflation
- Flavor anomalies
- etc.

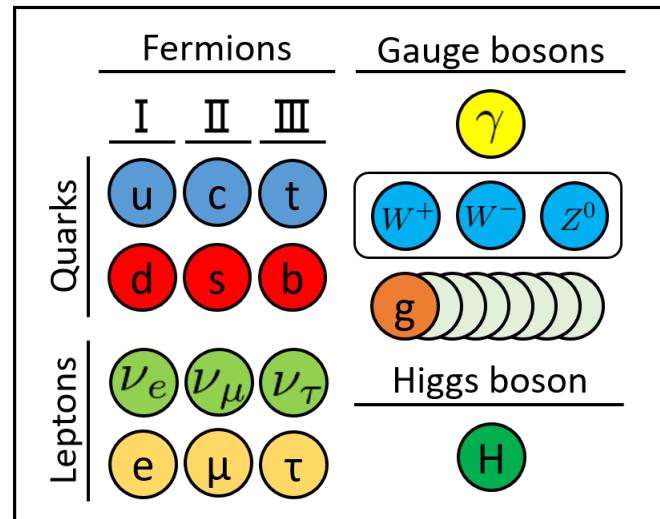
 Theories beyond the SM are studied

candidate:

Superstring theory (10D)

- Include gravity
- (4D effective theory) include various gauge groups
- include various matters

The Standard Model



# Introduction

String Phenomenology: derive **the SM** from **String theory**

Superstring theory:  $10D$



Compactification  $10D \rightarrow 4D$

The Standard Model (-like structure)

Qualitative aspects:

- Gauge symmetry:  $SU(3)_C \times SU(2)_L \times U(1)_Y$
- Chiral structure:  $Q_L = \begin{pmatrix} u_L \\ d_L \end{pmatrix}, u_R, d_R$
- 3 generations

Quantitative aspects:

- Gauge couplings
- Quark mass, mixing
- lepton mass, mixing
- Etc.



Today's Topic

# Introduction

Superstring theory

$10D \rightarrow 4D$   
(assumption)

Neutrino mixing from finite modular groups

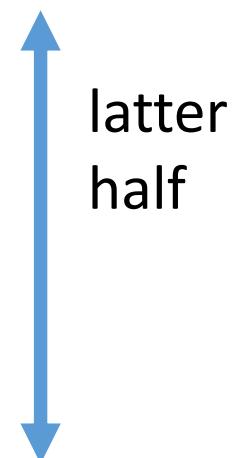
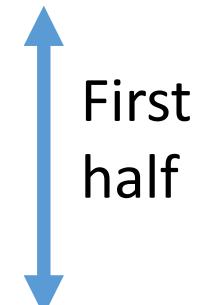
$\Gamma(N)$ : finite discrete groups

Weinberg operator for effective neutrino mass

$$\mathcal{L}_{\text{eff}} \supset \frac{1}{\Lambda} H H \textcolor{blue}{LLY}$$

Neutrino  $L$ , coupling constant  $Y$   
→ Non-trivial rep. of  $\Gamma(N)$

Model search for realistic  $U_{PMNS}$



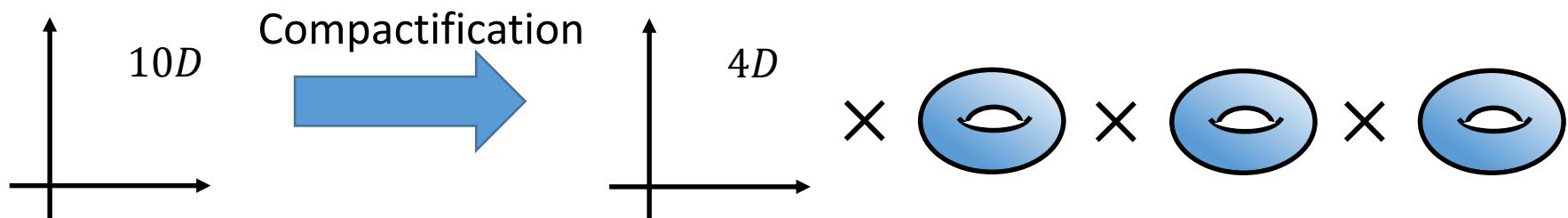
# Effective Theory and Moduli

- Superstring theory is  $10D$
- Our universe is  $4D$



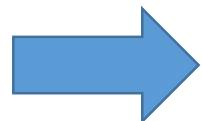
The extra  $6D$  should be compact.

## Torus compactification

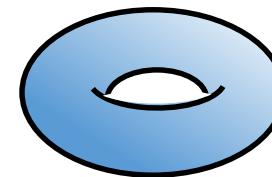


We get  $4D$  effective Lagrangian by integrating out over  $6D$ .

$$S = \int d^4x d^6y \mathcal{L}_{10D} \rightarrow \int d^4x \mathcal{L}_{\text{eff}}$$



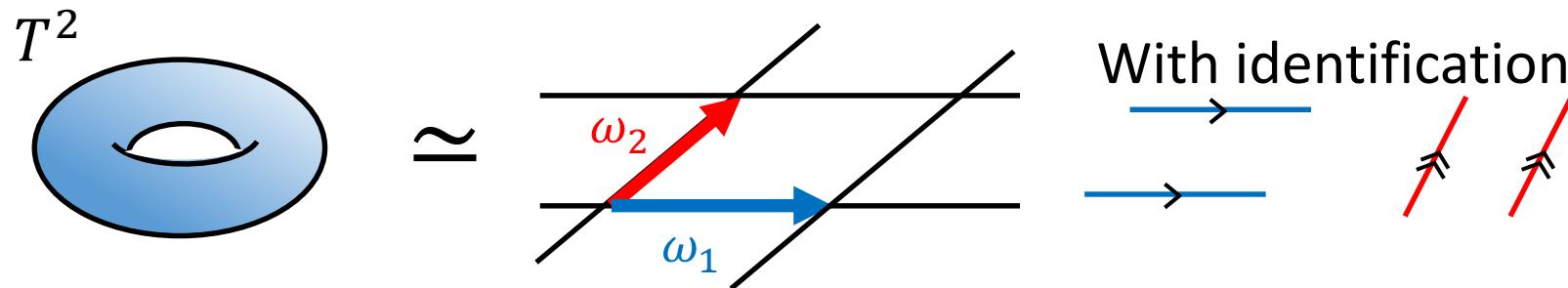
$\mathcal{L}_{\text{eff}}$  depends on the structure of



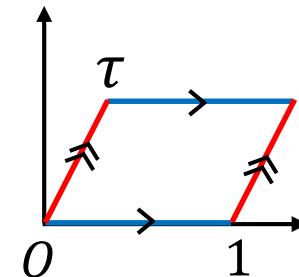
➤  $4D$  effective theory depends on internal space

# Effective Theory and Moduli

The structure of a torus  $T^2 \simeq$  The structure of a lattice on  $\mathbb{C}$ -plane



Without loss of generality,  
 $(\omega_1, \omega_2) \rightarrow \left(1, \frac{\omega_2}{\omega_1}\right) \equiv (1, \tau)$



→  $\mathcal{L}_{\text{eff}}$  depends on  $\tau$ . e.g.)  $\mathcal{L}_{\text{eff}} \supset Y(\tau)_{ij} \phi \bar{\psi}_i \psi_j + \dots$

➤ 4D effective theory depends on a modulus  $\tau$

# Modular Transformation

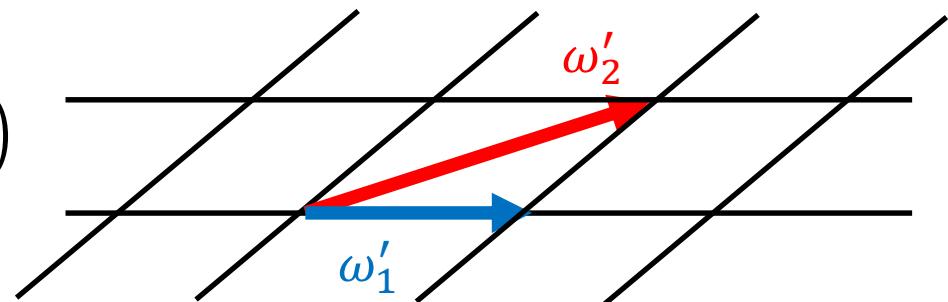
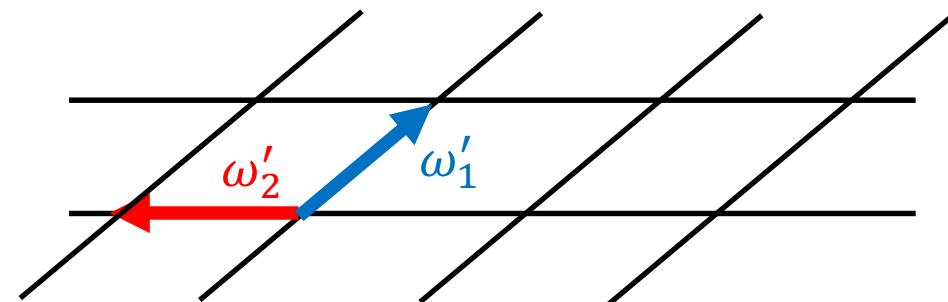
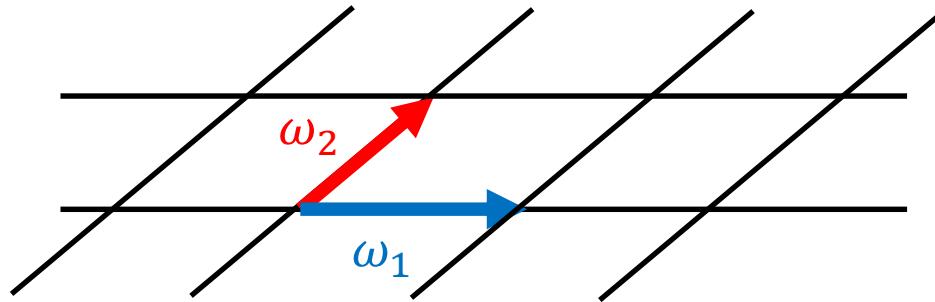
There are two independent lattice invariant transformations.

$S$ -transformation

$$\begin{pmatrix} \omega'_1 \\ \omega'_2 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} \omega_1 \\ \omega_2 \end{pmatrix} = \begin{pmatrix} \omega_2 \\ -\omega_1 \end{pmatrix}$$

$T$ -transformation

$$\begin{pmatrix} \omega'_1 \\ \omega'_2 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} \omega_1 \\ \omega_2 \end{pmatrix} = \begin{pmatrix} \omega_1 \\ \omega_2 + \omega_1 \end{pmatrix}$$



# Modular Transformation

General form of lattice invariant transformation

$$\begin{pmatrix} \omega'_1 \\ \omega'_2 \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} \omega_1 \\ \omega_2 \end{pmatrix} \quad ad - bc = 1$$
$$a, b, c, d \in \mathbb{Z}$$



It is equivalent to

$$\tau' = \frac{a\tau + b}{c\tau + d}, \quad ad - bc = 1$$
$$a, b, c, d \in \mathbb{Z}$$

**Modular transformation**  
Modular group  $\Gamma$

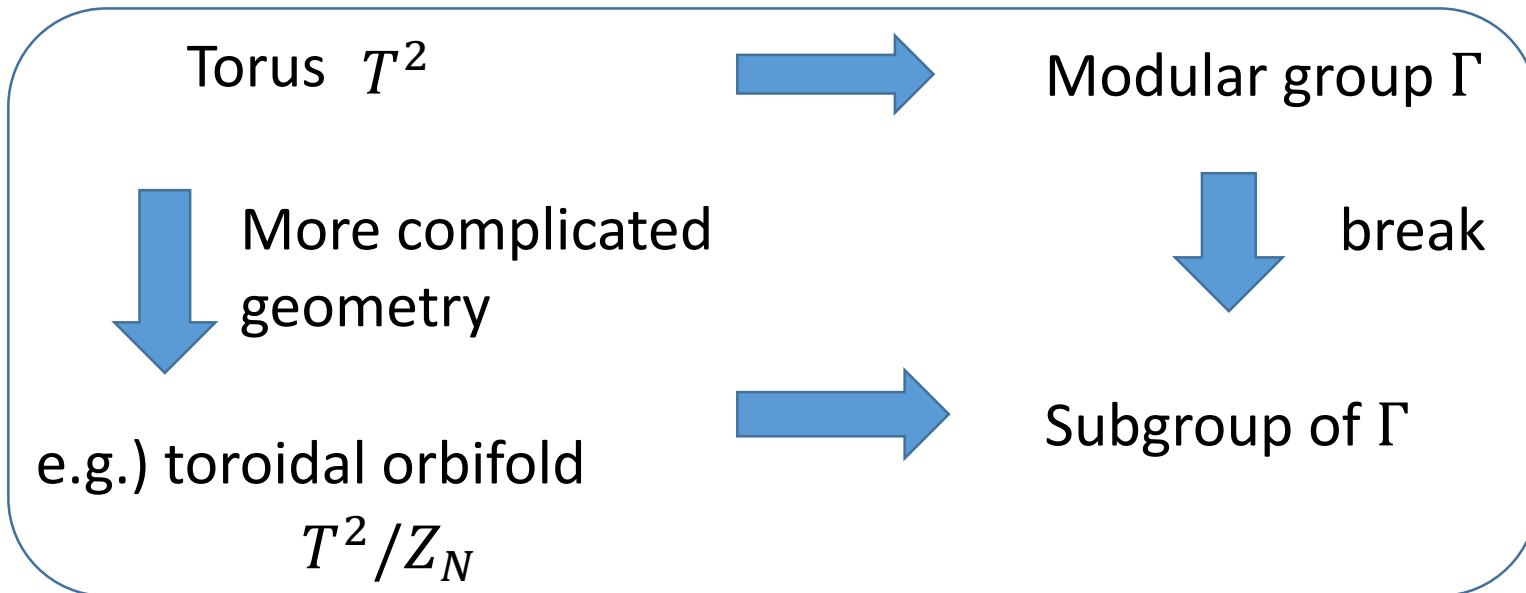
Modular transf. does not change the lattice (torus)



4D effective theory (depends on  $\tau$ )  
must be invariant under modular transf.

# Subgroups of Modular Group

When we use more complicated compactification than torus, modular group  $\Gamma$  can be (partially) broken.



Modular group

$$\Gamma \simeq \{S, T | S^2 = \mathbb{I}, (ST)^3 = \mathbb{I}\}$$

$$\Gamma(2) \simeq S_3$$

$$\Gamma(3) \simeq A_4$$

$$\Gamma(4) \simeq S_4$$

$$\Gamma(5) \simeq A_5$$

Principle congruence subgroup of  $\Gamma$

$$\Gamma(N) \simeq \{S, T | S^2 = \mathbb{I}, (ST)^3 = \mathbb{I}, T^N = \mathbb{I}\}$$

# Subgroups of Modular Group

Modular group

$$\Gamma \simeq \{S, T | S^2 = \mathbb{I}, (ST)^3 = \mathbb{I}\}$$

Principle congruence subgroup

$$\Gamma(N) \simeq \{S, T | S^2 = \mathbb{I}, (ST)^3 = \mathbb{I}, \textcolor{blue}{T}^N = \mathbb{I}\}$$

Examples: [T.K, S.N, S.T, S.T, T.H.T, arXiv:1804.06644]

- Magnetized  $T^2$  with  $M = 2$    $S^2 = \mathbb{I}, (ST)^3 = e^{\pi i/4} \mathbb{I}, \textcolor{blue}{T}^4 = \mathbb{I}$   
 $(Z_8 \times Z_4) \rtimes \textcolor{blue}{S}_3$
- Magnetized  $T^2$  with  $M = 4$  
- $T^2/Z_2$  orbifold   $S^2 = \mathbb{I}, (ST)^3 = e^{\pi i/4} \mathbb{I}, \textcolor{blue}{T}^8 = \mathbb{I}$   
 $(Z_8 \times Z_8) \rtimes \textcolor{blue}{A}_4$

# Modular Form

An example for modular invariant  $\mathcal{L}$

$$\mathcal{L}_{\text{ex}} = f(\tau) \phi^{(1)} \dots \phi^{(n)} \quad \left\{ \begin{array}{l} f(\tau): \text{coupling constant} \\ \phi^{(I)}: \text{fields} \end{array} \right.$$

- $f(\tau), \phi^{(I)}$  are non-trivial representations of modular group  $\Gamma$

Modular transformation:

$$\gamma \in \Gamma, \quad \tau \rightarrow \frac{a\tau + b}{c\tau + d}, \quad ad - bc = 1$$

$$f(\tau) \rightarrow (c\tau + d)^k \rho(\gamma) f(\tau) \quad \xleftarrow{\text{Modular form with weight } k}$$

$$\phi^{(I)} \rightarrow (c\tau + d)^{-k_I} \rho^{(I)}(\gamma) \phi^{(I)}$$



When  $k = \sum_I k_I$ ,  $\mathcal{L}_{\text{ex}}$  is modular invariant.

# Modular Form

We can expect effective theories with  $\Gamma(N)$  symmetry.

$$\mathcal{L}_{\text{eff}} \in f(\tau) \phi^{(1)} \cdots \phi^{(n)}$$

$f(\tau), \phi^{(I)}$ : non-trivial rep. of  $\Gamma(N)$

In some cases, concrete form of function  $f(\tau)$  is found.

The modular form with weight 2 for

- $\Gamma(3) \simeq A_4 \rightarrow [F.Feruglio, arXiv:1706.08749]$
- $\Gamma(2) \simeq S_3 \rightarrow \text{Our work}$
- $\Gamma(4) \simeq S_4 \rightarrow [J.T.Penedo, S.T.Petcov, arXiv1806.11040]$

Weight “even” = the product of weight 2

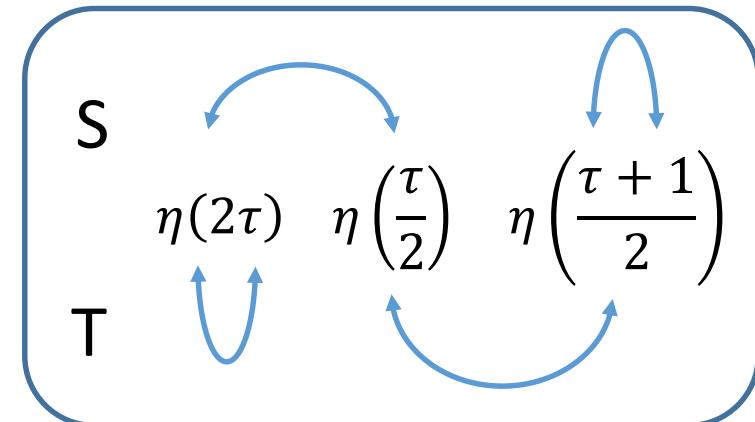
# Modular Form

$S_3$  doublet (weight 2) Representations: 1,1',2

$$Y_1(\tau) = \frac{i}{4\pi} \left( \frac{\eta'(\tau/2)}{\eta(\tau/2)} + \frac{\eta'((\tau+1)/2)}{\eta((\tau+1)/2)} - \frac{8\eta'(2\tau)}{\eta(2\tau)} \right)$$

$$Y_2(\tau) = \frac{\sqrt{3}i}{4\pi} \left( \frac{\eta'(\tau/2)}{\eta(\tau/2)} - \frac{\eta'((\tau+1)/2)}{\eta((\tau+1)/2)} \right)$$

Multiplied by overall coefficient



Dedekind Eta function

Definition:  $\eta(\tau) = q^{\frac{1}{24}} \prod_{n=1}^{\infty} (1 - q^n), \quad q = e^{2\pi i \tau}$

Modular transformation:  $\eta\left(-\frac{1}{\tau}\right) = \sqrt{-i\tau} \eta(\tau), \quad \eta(\tau+1) = e^{\frac{i\pi}{12}} \eta(\tau)$

- $\eta^{24}(\tau)$  is a modular form with weight 12

# Model Search

- We don't consider the concrete setup in stringtheory
- We assume the form of  $\mathcal{L}_{\text{eff}}$

We have studied two models

- $\Gamma(3) \simeq A_4$ 
  - The same model as [F.Feruglio,arXiv:1706.08749]
  - Extended models
- $\Gamma(2) \simeq S_3$

# Observables

## PMNS Matrix

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U_{PMNS} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} \quad \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{CP}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha_2/2} & 0 \\ 0 & 0 & e^{i\alpha_3/2} \end{pmatrix}$$

Mass squared difference

$$\delta m^2 = m_2^2 - m_1^2$$

$$\Delta m^2 = m_3^2 - \frac{m_1^2 + m_2^2}{2}$$

$$r = \frac{\delta m^2}{|\Delta m^2|}$$

Parameter	Normal Ordering	Inverted Ordering
$\delta m^2 / 10^{-5} \text{eV}^2$	$7.37^{+0.17}_{-0.16}$	$7.37^{+0.17}_{-0.16}$
$ \Delta m^2  / 10^{-3} \text{eV}^2$	$2.525^{+0.042}_{-0.030}$	$2.505^{+0.034}_{-0.032}$
$\sin^2 \theta_{12} / 10^{-1}$	$2.97^{+0.17}_{-0.16}$	$2.97^{+0.17}_{-0.16}$
$\sin^2 \theta_{13} / 10^{-2}$	$2.15^{+0.07}_{-0.07}$	$2.16^{+0.08}_{-0.07}$
$\sin^2 \theta_{23} / 10^{-1}$	$4.25^{+0.21}_{-0.15}$	$5.89^{+0.16}_{-0.22} \oplus 4.33^{+0.15}_{-0.16}$
$\delta_{CP} / \pi$	$1.38^{+0.23}_{-0.20}$	$1.31^{+0.31}_{-0.19}$
$r$	$2.92^{+0.10}_{-0.11} \times 10^{-2}$	$2.94^{+0.11}_{-0.10} \times 10^{-2}$

[Global constraints, arXiv:1703.04471] (other than  $r$ )

# $A_4$ model

- Rep. of  $A_4 : 1, 1', 1'', 3$
  - Flavon  $\phi$  takes VEV  $\langle \phi \rangle = (u, 0, 0)$ : assumption
  - No right-handed neutrino
- The same model as  
[F.Feruglio,arXiv:1706.08749]

	$SU(2)_L, U(1)_Y$	$A_4$	$k_I$
$e_{R_1}^c$	(1, +1)	1	-4
$e_{R_2}^c$	(1, +1)	1''	-4
$e_{R_3}^c$	(1, +1)	1'	-4
$L$	(2, -1/2)	3	1
$H_u$	(2, +1/2)	1	0
$H_d$	(2, -1/2)	1	0
$\phi$	(1, 0)	3	3

## Superpotential

◆ Charged lepton mass term: **diagonalized**

$$w_e = \beta_1 e_1^c H_d (L\phi)_1 + \beta_2 e_2^c H_d (L\phi)_{1'} + \beta_3 e_3^c H_d (L\phi)_{1''}$$



$$m_e = uv_d \text{ diag}(\beta_1, \beta_2, \beta_3)$$

# $A_4$ model

◆ Neutrino mass term:

$$w_\nu = \frac{1}{\Lambda} (H_u H_u LLY)_1$$

$$Y(\tau) = (Y_1, Y_2, Y_3):$$

Triplet, modular form with weight 2



$$m_\nu = \frac{v_u^2}{\Lambda} \begin{pmatrix} 2Y_1 & -Y_3 & -Y_2 \\ & 2Y_2 & -Y_1 \\ & & 2Y_3 \end{pmatrix}$$

- Mass matrix is strongly restricted
- No realistic values of  $Y_i$  for ( $\pm 3\sigma$ )

# Extended models in $A_4$ (Preliminary)

Collaborate with Y. Shimizu, K. Takagi, M. Tanimoto

## Model A

- Charged lepton mass: diagonalized by flavon
- Dirac neutrino, no see-saw

## Model B

- Charged lepton mass: No flavon
  - B-1: Dirac neutrino, no see-saw
  - B-2: Majorana neutrino, no right-handed neutrino



$$w_e = \sum_{i=1,2,3} \alpha_i (Y L H_d e_i)_1$$

There are no realistic solution in Model A, B.

# Extended models in $A_4$ (Preliminary)

## Model C

- Charged lepton mass: No flavon
- Dirac neutrino
- See-saw

$$w_e = \sum_{i=1,2,3} \alpha_i (Y L H_d e_i)_1$$

$$w_\nu = g_1 \left( (Y)_3 (L H_u N^c)_{3s} \right)_1 + g_1 \left( (Y)_3 (L H_u N^c)_{3A} \right)_1 + \Lambda (Y N^c N^c)_1$$

$Y(\tau)$ :  $A_4$  triplet, modular form with weight 2

$\alpha_i$ : real

$g_i$ : complex

	$SU(2)_L, U(1)_Y$	$A_4$	$k_I$
$e_1^c$	(1, +1)	1	1
$e_2^c$	(1, +1)	1''	1
$e_3^c$	(1, +1)	1'	1
$L$	(2, -1/2)	3	1
$N^c$	(1, 0)	3	1
$H_u$	(2, +1/2)	1	0
$H_d$	(2, -1/2)	1	0

# Extended models in $A_4$ (Preliminary)

$$w_e = \sum_{i=1,2,3} \alpha_i (YLH_d e_i)_1$$

$$w_\nu = g_1 \left( (Y)_3 (LH_u N^c)_{3s} \right)_1 + g_1 \left( (Y)_3 (LH_u N^c)_{3A} \right)_1 + \Lambda (Y N^c N^c)_1$$

- $\alpha_i$  are determined by charged lepton mass

Parameters for neutrino sector: 4 reals

$$\left( \frac{g_1}{g_2} \right), \tau$$

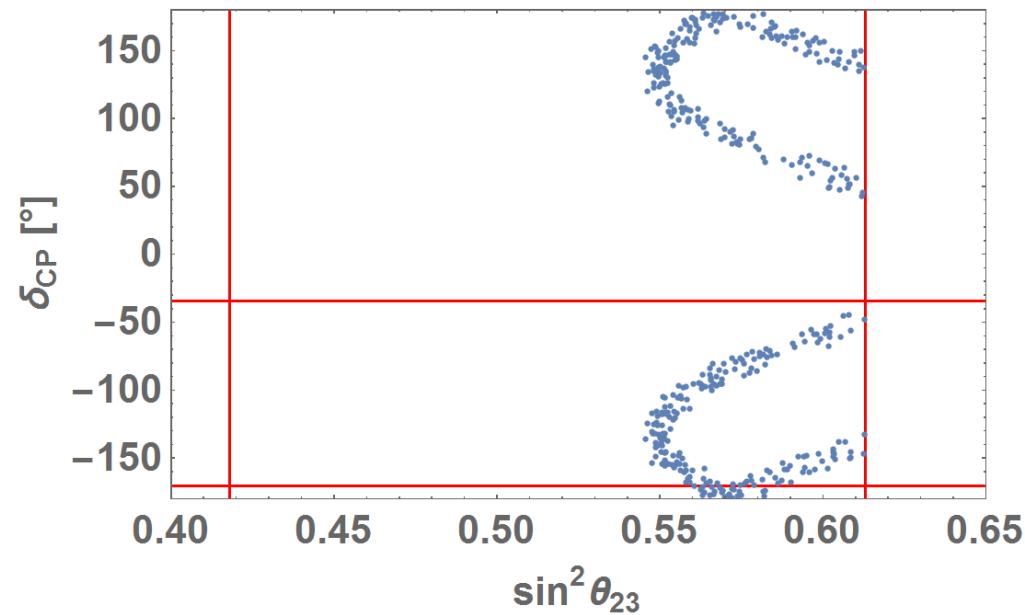
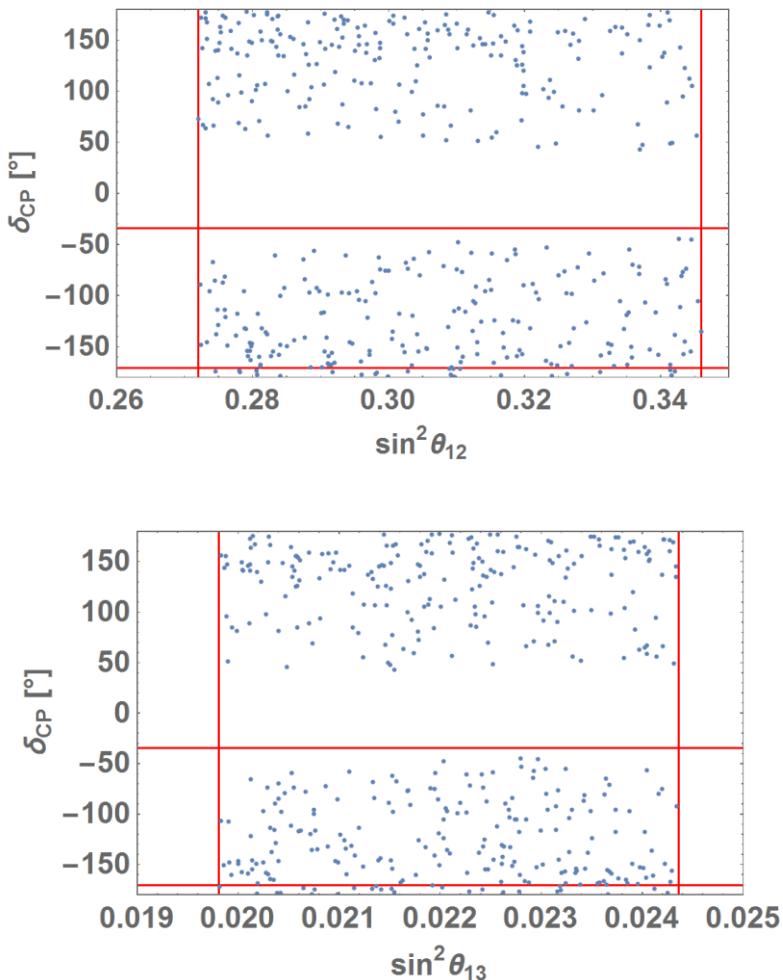
Input observables: 4 reals

$$\frac{\Delta m_{\text{sol}}^2}{\Delta m_{\text{atm}}^2}, \theta_{12}, \theta_{13}, \theta_{23}$$

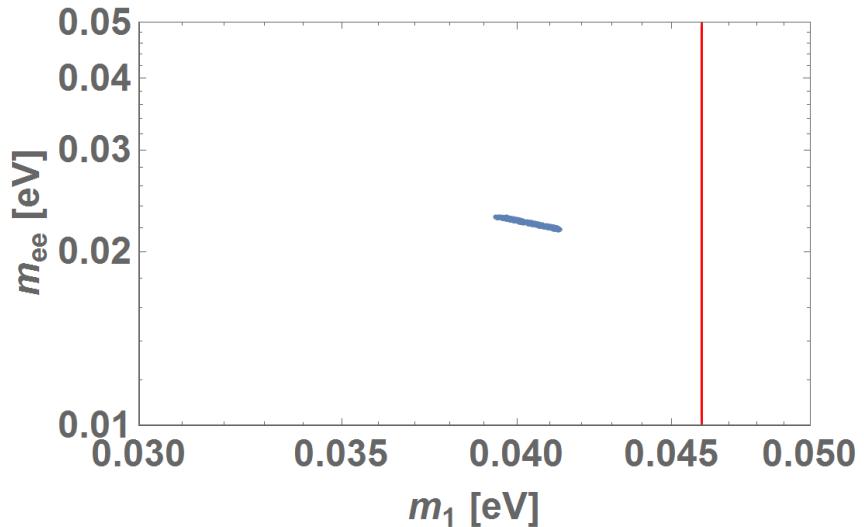
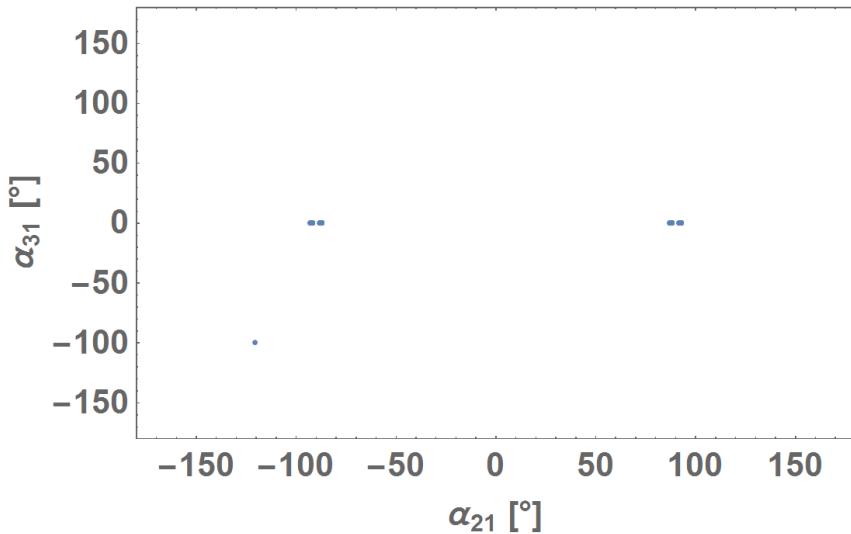
Prediction:

$$\delta_{CP}, \alpha_{12}, \alpha_{13}$$

# Extended models in $A_4$ (Preliminary)



# Extended models in $A_4$ (Preliminary)



# $S_3$ model

- Rep. of  $S_3 : 1, 1', 2$
- Flavons  $\phi^{(1)}, \phi^{(2)}$  take VEV  $\langle \phi^{(1)} \rangle = u_1, \langle \phi^{(2)} \rangle = (u_2, 0)$ : assumption
- No right-handed neutrino

	$e_1^c$	$e_2^c$	$e_3^c$	$L^{(1)}$	$L^{(2)}$	$H_d$	$H_u$	$\phi^{(1)}$	$\phi^{(2)}$
$SU(2)_L \times U(1)_Y$	(1, +1)	(1, +1)	(1, +1)	(2, -1/2)	(2, -1/2)	(2, -1/2)	(2, +1/2)	(1, 0)	(1, 0)
$S_3$	1	1	1'	1	2	1	1	1	2
$n$	-3	-4	-4	1	1	0	0	2	3

## Superpotential

- ◆ Charged lepton mass term: **diagonalized**

$$w_e = \beta_1 e_1^c H_d (L^{(1)} \phi^{(1)})_1 + \beta_2 e_2^c H_d (L^{(2)} \phi^{(2)})_1 - \beta_3 e_3^c H_d (L^{(2)} \phi^{(2)})_{1'}$$

- ◆ Neutrino mass term:

$$w_\nu = \frac{HH}{\Lambda} \left[ d (L^{(2)} L^{(2)})_2 Y^{(2)} + a (L^{(1)} L^{(2)})_2 Y^{(2)} + b (L^{(1)} L^{(1)})_1 Y^{(1)} + c (L^{(2)} L^{(2)})_1 Y^{(1)} \right]$$

Modular forms with weight 2

$$Y^{(1)} = Y$$

$$Y^{(2)} = (Y_1, Y_2)$$

# $S_3$ model

## Three ways of generation assignment

Model	$L^{(1)}$	$L^{(2)}$	$m_\nu$
1	$L_3$	$(L_1, L_2)$	$\begin{pmatrix} dY_2 & dY_1 & aY_1 \\ dY_1 & -dY_2 & aY_2 \\ aY_1 & aY_2 & 0 \end{pmatrix} + \begin{pmatrix} cY & 0 & 0 \\ 0 & cY & 0 \\ 0 & 0 & bY \end{pmatrix}$
2	$L_2$	$(L_1, L_3)$	$\begin{pmatrix} dY_2 & aY_1 & dY_1 \\ aY_1 & 0 & aY_2 \\ dY_1 & aY_2 & -dY_2 \end{pmatrix} + \begin{pmatrix} cY & 0 & 0 \\ 0 & bY & 0 \\ 0 & 0 & cY \end{pmatrix}$
3	$L_1$	$(L_2, L_3)$	$\begin{pmatrix} 0 & aY_1 & aY_2 \\ aY_1 & -dY_2 & dY_1 \\ aY_2 & dY_1 & dY_2 \end{pmatrix} + \begin{pmatrix} bY & 0 & 0 \\ 0 & cY & 0 \\ 0 & 0 & cY \end{pmatrix}$

4 complex parameters :  $a, b, c, \tau$  (excluding an overall coefficient)

$Y_1(\tau), Y_2(\tau)$  are modular forms

5 experimental values : the ratio of mass differences 1, mixing angles 3, (CP phase 1)

# $S_3$ model

## Numerical results

Model	$\frac{r}{10^{-2}}$	$\frac{\sin^2 \theta_{12}}{10^{-1}}$	$\frac{\sin^2 \theta_{13}}{10^{-2}}$	$\frac{\sin^2 \theta_{23}}{10^{-1}}$	$\frac{\delta_{CP}}{\pi}$	$\frac{\alpha_2}{\pi}$
Best fit	2.94	2.97	2.16	5.89	1.31	
1	2.91	2.79	2.37	5.95	1.79	0.26
2	2.93	2.94	2.30	5.81	0.37	0.16
3	2.89	3.02	2.16	5.89	0.48	0.14

Model	$\tau$
1	$-0.507 + 0.781i$
2	$0.480 + 1.052i$
3	$-0.507 + 0.960i$

## 4:Summary

- Modular sym. can be originated from torus compactification
- In 4D effective theories of string, modular sym. can be broken into finite discrete symmetry in some setup
- We assumed flavor sym. of leptons come from modular group, and performed numerical study on PMNS matrix
- Coupling constant is **non-trivial rep. of flavor sym.** and **modular form**
- We studied  $A_4$  and  $S_3$  models
  - $A_4$  model: Mass matrix is strongly restricted  
**We found realistic solutions in NO**
  - $S_3$  model: There are more free parameters than  $A_4$  model  
**We found realistic solution in IO**

# Kinetic Term

Modular transformation:

$$\tau \rightarrow \frac{a\tau + b}{c\tau + d}, \quad ad - bc = 1$$

$$f(\tau) \rightarrow (c\tau + d)^k f(\tau)$$

$$\phi'_i \rightarrow (c\tau + d)^{-k_i} \phi_i$$

Kinetic term is invariant

$$\mathcal{L}_{\text{KE}} = \frac{|\partial_\mu \tau|^2}{\langle -i\tau + i\bar{\tau} \rangle^2} + \frac{|\partial_\mu \phi^{(I)}|^2}{\langle -i\tau + i\bar{\tau} \rangle^{k_I}}$$

# Kinetic Term

Kinetic term of the modulus  $\tau$

$$\frac{|\partial_\mu \tau|^2}{\langle -i\tau + i\bar{\tau} \rangle^2}$$

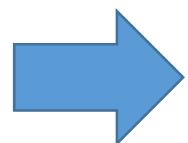
Modular transformation       $\tau' = \frac{a\tau + b}{c\tau + d}, ad - bc = 1$

■ numerator

$$\partial_\mu \tau' = \frac{(a\partial_\mu \tau)(c\tau + d) - (a\tau + b)(c\partial_\mu \tau)}{(c\tau + d)^2} = \frac{(ad - bc)\partial_\mu \tau}{(c\tau + d)^2} = \frac{\partial_\mu \tau}{(c\tau + d)^2}$$

■ denominator

$$\tau' - \bar{\tau}' = \frac{(a\tau + b)(c\bar{\tau} + d) - (a\bar{\tau} + b)(c\tau + d)}{|c\tau + d|^2} = \frac{(ad - bc)(\tau - \bar{\tau})}{|c\tau + d|^2} = \frac{\tau - \bar{\tau}}{|c\tau + d|^2}$$



$$\frac{|\partial_\mu \tau'|^2}{\langle -i\tau' + i\bar{\tau}' \rangle^2} = \frac{|\partial_\mu \tau|^2}{\langle -i\tau + i\bar{\tau} \rangle^2} \quad \text{Modular invariant}$$

# Modular Form

How to find the concrete form of modular form with weight 2 and non-trivial rep. of  $\Gamma(N)$

- Suppose functions  $f_i(\tau)$  to be modular forms with weight  $k_i$
- Also suppose  $\sum_i k_i = 0$

→  $\frac{d}{d\tau} \sum_i \log f_i(\tau)$  is a modular form with **weight 2**

Proof

Modular transformation:  $\tau' = \frac{a\tau + b}{c\tau + d}$ ,  $ad - bc = 1$

$$\frac{d}{d\tau'} = \frac{d\tau}{d\tau'} \frac{d}{d\tau} = (c\tau + d)^2 \frac{d}{d\tau}, \quad f_i(\tau') = (c\tau + d)^{k_i} f_i(\tau)$$

$$\begin{aligned} \frac{d}{d\tau'} \sum_i \log f_i(\tau') &= (c\tau + d)^2 \frac{d}{d\tau} \sum_i [\log f_i(\tau) + \underline{k_i(c\tau + d)}] \\ &= (c\tau + d)^2 \frac{d}{d\tau} \sum_i \log f_i(\tau) \end{aligned}$$

➤ When we find a set of  $f_i(\tau)$ ,  
we can construct modular form with weight 2

# Modular Form

Dedekind Eta function is convenient to construct modular function.

$S_3$  doublet

$\eta(2\tau), \eta\left(\frac{\tau}{2}\right), \eta\left(\frac{\tau+1}{2}\right)$  are closed with S- and T-transf.

S-transf.

$$\eta(2\tau) \rightarrow \sqrt{\frac{-i\tau}{2}} \eta(\tau/2),$$

$$\eta(\tau/2) \rightarrow \sqrt{-i3\tau} \eta(2\tau),$$

$$\eta((\tau+1)/2) \rightarrow e^{-i\pi/12} \sqrt{-i\tau} \eta((\tau+1)/2).$$

T-transf.

$$\eta(2\tau) \rightarrow e^{i\pi/6} \eta(2\tau),$$

$$\eta(\tau/2) \rightarrow \eta((\tau+1)/2),$$

$$\eta((\tau+1)/2) \rightarrow e^{i\pi/12} \eta(\tau/2).$$

→ 
$$Y(\alpha, \beta, \gamma | \tau) = \frac{d}{d\tau} (\alpha \log \eta(\tau/2) + \beta \log \eta((\tau+1)/2) + \gamma \log \eta(2\tau))$$

with  $\alpha + \beta + \gamma = 0$  is a modular form with weight 2.

# Modular Form

Conditions for  $\alpha, \beta, \gamma$

$$\begin{pmatrix} Y_1(-1/\tau) \\ Y_2(-1/\tau) \end{pmatrix} = \tau^2 \rho(S) \begin{pmatrix} Y_1(\tau) \\ Y_2(\tau) \end{pmatrix}, \quad \begin{pmatrix} Y_1(\tau+1) \\ Y_2(\tau+1) \end{pmatrix} = \rho(T) \begin{pmatrix} Y_1(\tau) \\ Y_2(\tau) \end{pmatrix}.$$

$$\rho(S) = \frac{1}{2} \begin{pmatrix} -1 & -\sqrt{3} \\ -\sqrt{3} & 1 \end{pmatrix}, \quad \rho(T) = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

→  $Y_1(\tau) = cY(1, 1, -2|\tau), \quad Y_2(\tau) = \sqrt{3}cY(1, -1, 0|\tau)$

# Modular Form

$A_4$  triplet (weight 2)      Representations of  $A_4$ :  $1, 1', 1'', 3$

$$Y_1(\tau) = \frac{i}{2\pi} \left( \frac{\eta'(\tau/3)}{\eta(\tau/3)} + \frac{\eta'((\tau+1)/3)}{\eta((\tau+1)/3)} + \frac{\eta'((\tau+2)/3)}{\eta((\tau+2)/3)} - \frac{27\eta'(3\tau)}{\eta(3\tau)} \right)$$

$$Y_2(\tau) = \frac{-i}{\pi} \left( \frac{\eta'(\tau/3)}{\eta(\tau/3)} + \omega^2 \frac{\eta'((\tau+1)/3)}{\eta((\tau+1)/3)} + \omega \frac{\eta'((\tau+2)/3)}{\eta((\tau+2)/3)} \right)$$

$$Y_3(\tau) = \frac{-i}{\pi} \left( \frac{\eta'(\tau/3)}{\eta(\tau/3)} + \omega \frac{\eta'((\tau+1)/3)}{\eta((\tau+1)/3)} + \omega^2 \frac{\eta'((\tau+2)/3)}{\eta((\tau+2)/3)} \right)$$

Multiplied by overall coefficient

$S_3$  doublet (weight 2)      Representations of  $S_3$ :  $1, 1', 2$

$$Y_1(\tau) = \frac{i}{4\pi} \left( \frac{\eta'(\tau/2)}{\eta(\tau/2)} + \frac{\eta'((\tau+1)/2)}{\eta((\tau+1)/2)} - \frac{8\eta'(2\tau)}{\eta(2\tau)} \right)$$

$$Y_2(\tau) = \frac{\sqrt{3}i}{4\pi} \left( \frac{\eta'(\tau/2)}{\eta(\tau/2)} - \frac{\eta'((\tau+1)/2)}{\eta((\tau+1)/2)} \right)$$

Multiplied by overall coefficient

# Subgroups of Modular Group

When we use more complicated compactification than torus, modular group  $\Gamma$  can be (partially) broken.

Torus  $T^2$



Modular group  $\Gamma$



More complicated  
geometry

e.g.) toroidal orbifold

$$T^2/Z_N$$

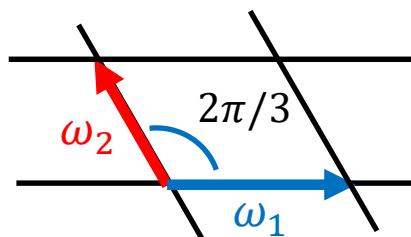
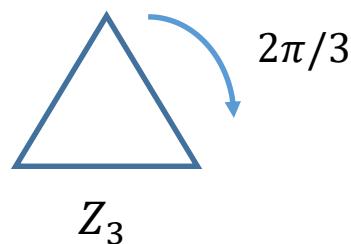


Subgroup of  $\Gamma$



break

$Z_N$ : rotational sym. of order  $N$



$T^2/Z_3$  orbifold

With identification of  
and  $\longrightarrow$

# $S_3$ model

## $S_3$ model: Inverted Ordering

$$m_\nu = U^* \begin{pmatrix} m_1 & & \\ & m_2 & \\ & & m_3 \end{pmatrix} U^\dagger = m_1 m^{(1)} + e^{-i\alpha_2} m_2 m^{(2)} + e^{-i\alpha_3} m_3 m^{(3)}$$
$$\simeq m_1 m^{(1)} + e^{-i\alpha_2} m_2 m^{(2)}$$



The value of  $\alpha_2$  is a prediction of the model.