

Marco Drewes, Université catholique de Louvain

FLAVOUR EFFECTS IN LOW SCALE LEPTOGENESIS

04.07.2018

FLASY 2018

Basel, Switzerland

Recent review:

Leptogenesis: Current Challenges for Model Building, Phenomenology and Non-Equilibrium Field Theory
<https://www.worldscientific.com/toc/ijmpa/33/05n06>

Low Scale Leptogenesis

Flavour Effects

What can ν oscillation data “predict”?

What can Leptogenesis “predict”?

Experimental Searches

Flavour Mixing Pattern + Dirac Phase
= Fully Testable Leptogenesis!

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Baryon Asymmetry of the Universe

The observable universe contains almost no antimatter
and a lot more photons than baryons.

e.g. Canetti/MaD/Shaposhnikov
[arXiv:1204.4186](https://arxiv.org/abs/1204.4186)

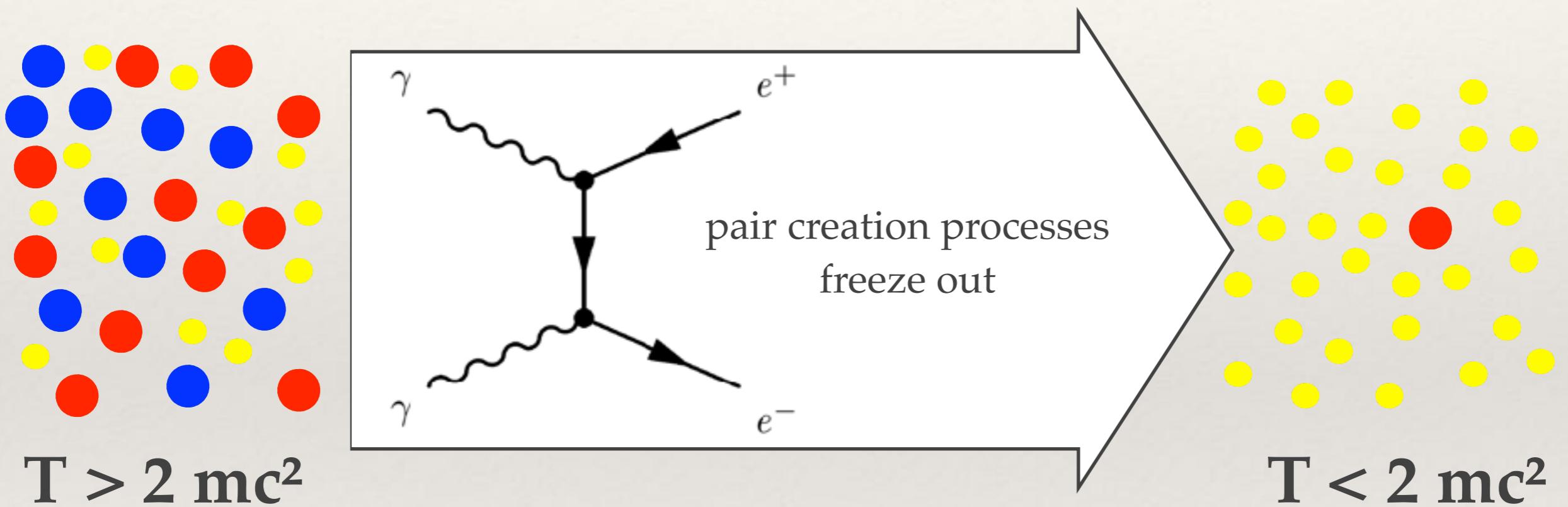
CMB constraint on
baryon-to-photon ratio η :
 $6.03 \times 10^{-10} < \eta < 6.15 \times 10^{-10}$
(Planck Collaboration)

BBN constraint on baryon-to-
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 $5.8 \times 10^{-10} < \eta < 6.6 \times 10^{-10}$
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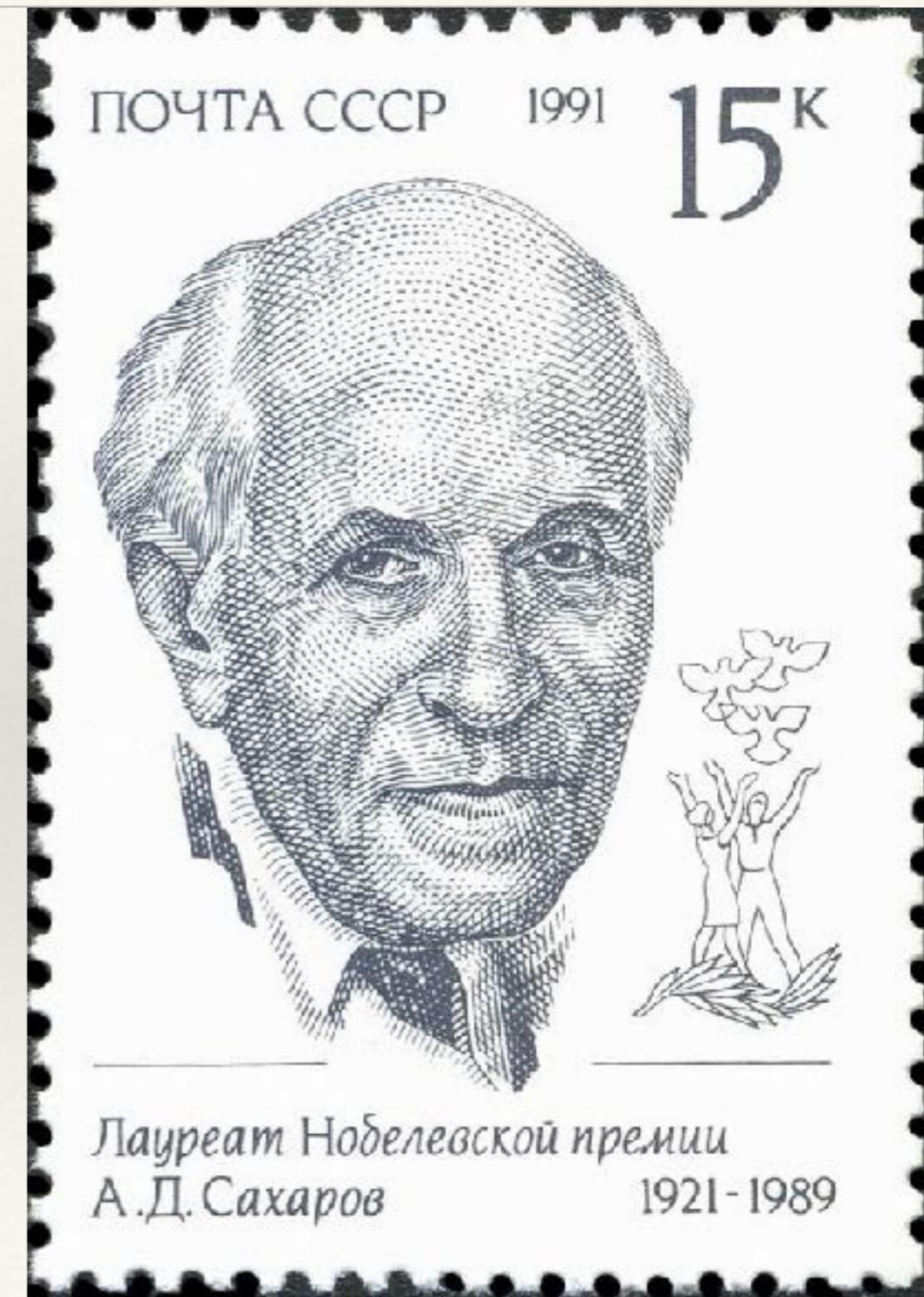
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Where does the asymmetry come from?

Sakharov Conditions (1967)

- ❖ Baryon number violation
- ❖ C and CP violation
- ❖ Deviation from thermal equilibrium



Where does the asymmetry come from?

Sakharov Conditions (1967)

- ❖ Baryon number violation
 - ❖ C and CP violation
 - ❖ Deviation from thermal equilibrium
-
- Exists in Standard Model
at $T > 130$ GeV
(sphaleron)
- Exists in Standard Model
(weak interaction, CKM phase)
...but Jarlskogg invariant too small!
- Exists in Standard Model
(Hubble expansion of the universe)
...but deviation too small!

Type I Seesaw: Right Handed Neutrinos

$$\mathcal{L} = \mathcal{L}_{SM} + i\bar{\nu}_R \partial^\mu \nu_R - \bar{L}_L F \nu_R \tilde{H} - \tilde{H}^\dagger \bar{\nu}_R F^\dagger L$$

Three Generations of Matter (Fermions) spin 1/2			
	I	II	III
mass →	2.4 MeV	1.27 GeV	171.2 GeV
charge →	2/3	2/3	2/3
name →	u Left up	c Left charm	t Left top
Quarks			
mass →	4.8 MeV	104 MeV	4.2 GeV
charge →	-1/3	-1/3	-1/3
name →	d Left down	s Left strange	b Left bottom
Leptons			
mass →	0 eV	0 eV	0 eV
charge →	0	0	0
name →	ν_e Left electron neutrino	ν_μ Left muon neutrino	ν_τ Left tau neutrino
mass →	0.511 MeV	105.7 MeV	1.777 GeV
charge →	-1	-1	-1
name →	e Left electron	μ Left muon	τ Left tau

Bosons (Forces) spin 1				
mass →	0	0	0	
charge →	0	0	0	
name →	g gluon	γ photon	Z^0 weak force	H Higgs boson
spin 0				
mass →	80.4 GeV	125 GeV	0	
charge →	+1	0	0	
name →	W^\pm weak force			

$$\frac{1}{2} (\bar{\nu}_R^c M_M \nu_R + \bar{\nu}_R M_M^\dagger \nu_R^c)$$

In the following:
Minimal model with two right handed neutrinos.

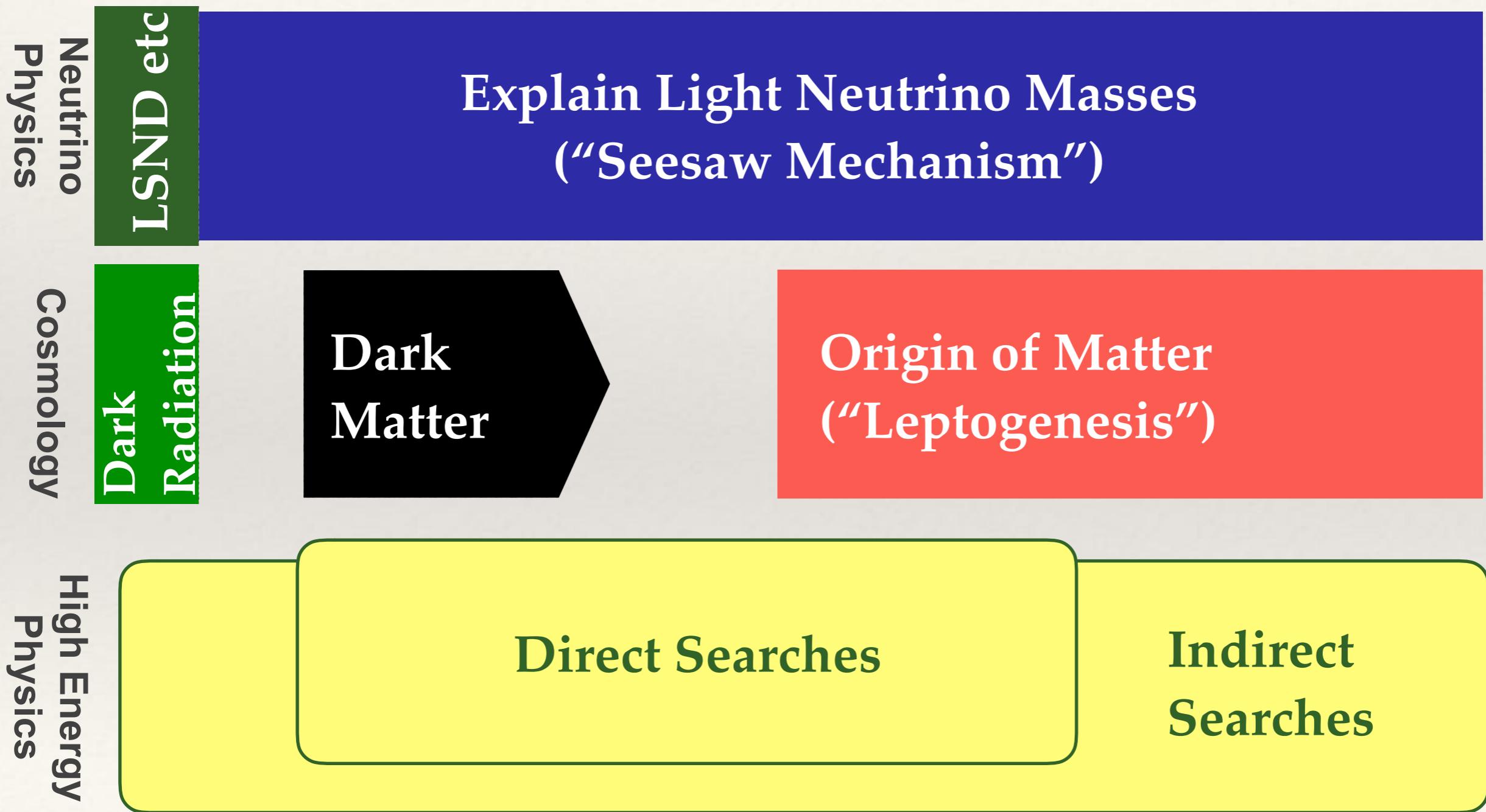
three light neutrinos mostly "active" SU(2) doublet
 $\nu \simeq U_\nu (\nu_L + \theta \nu_R^c)$
 with masses $m_\nu \simeq \theta M_M \theta^T = v^2 F M_M^{-1} F^T$



three heavy mostly singlet neutrinos
 $N \simeq \nu_R + \theta^T \nu_L^c$
 with masses $M_N \simeq M_M$

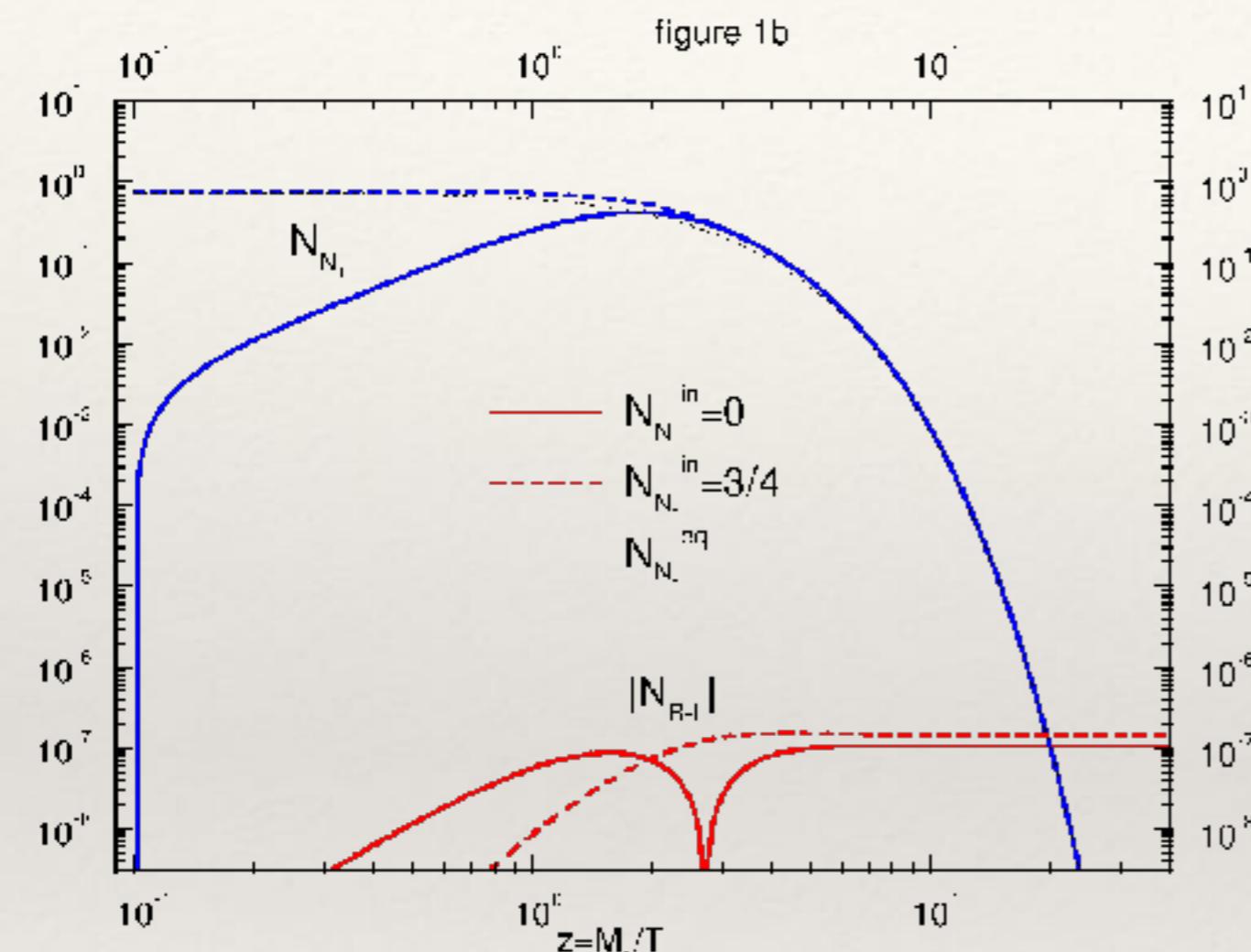
Minkowski 79, Gell-Mann/Ramond/
 Slansky 79, Mohapatra/Senjanovic 79,
 Yanagida 80, Schechter/Valle 80

Right Handed Neutrino Mass Scale



see e.g. MaD [1303.6912](#)

Leptogenesis with small M ?



What about the famous
Davidson-Ibarra bound
 $M > 10^9 \text{ GeV? }$ [0202239](#)

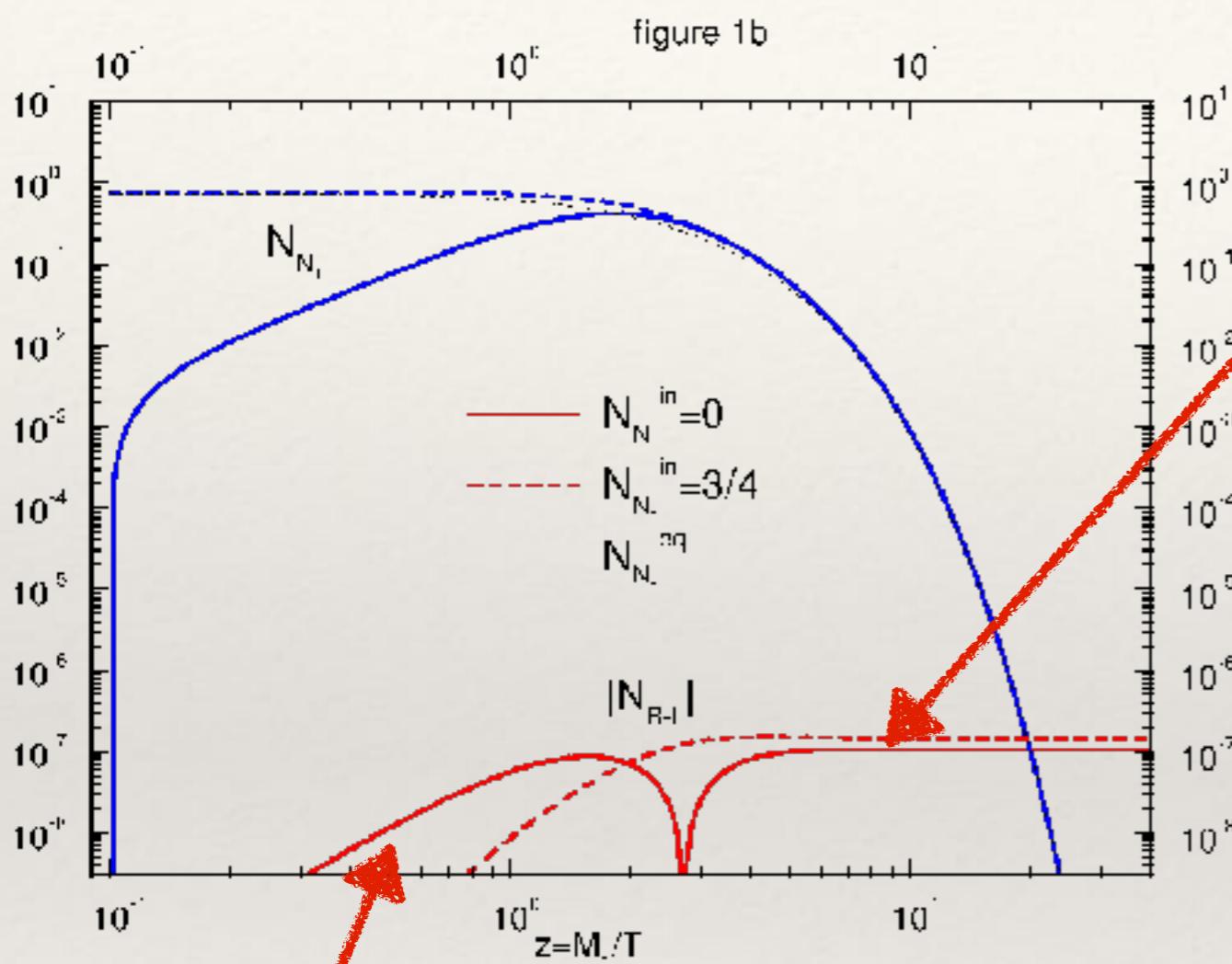
Buchmuller/Di Bari/Plumacher [0205349](#)

$$xH \frac{dY_N}{dx} = -\Gamma_N(Y_N - Y_N^{\text{eq}}) \quad x = M/T$$

$$xH \frac{dY_{B-L}}{dx} = \epsilon \Gamma_N(Y_N - Y_N^{\text{eq}}) - c_W \Gamma_N Y_{B-L}$$

“source” “washout”

Leptogenesis with small M ?



asymmetry generated
during N production
("freeze-in scenario")

asymmetry generated
during N decay
("freeze-out scenario")

Sakharov's nonequilibrium
condition can be fulfilled in
two ways.

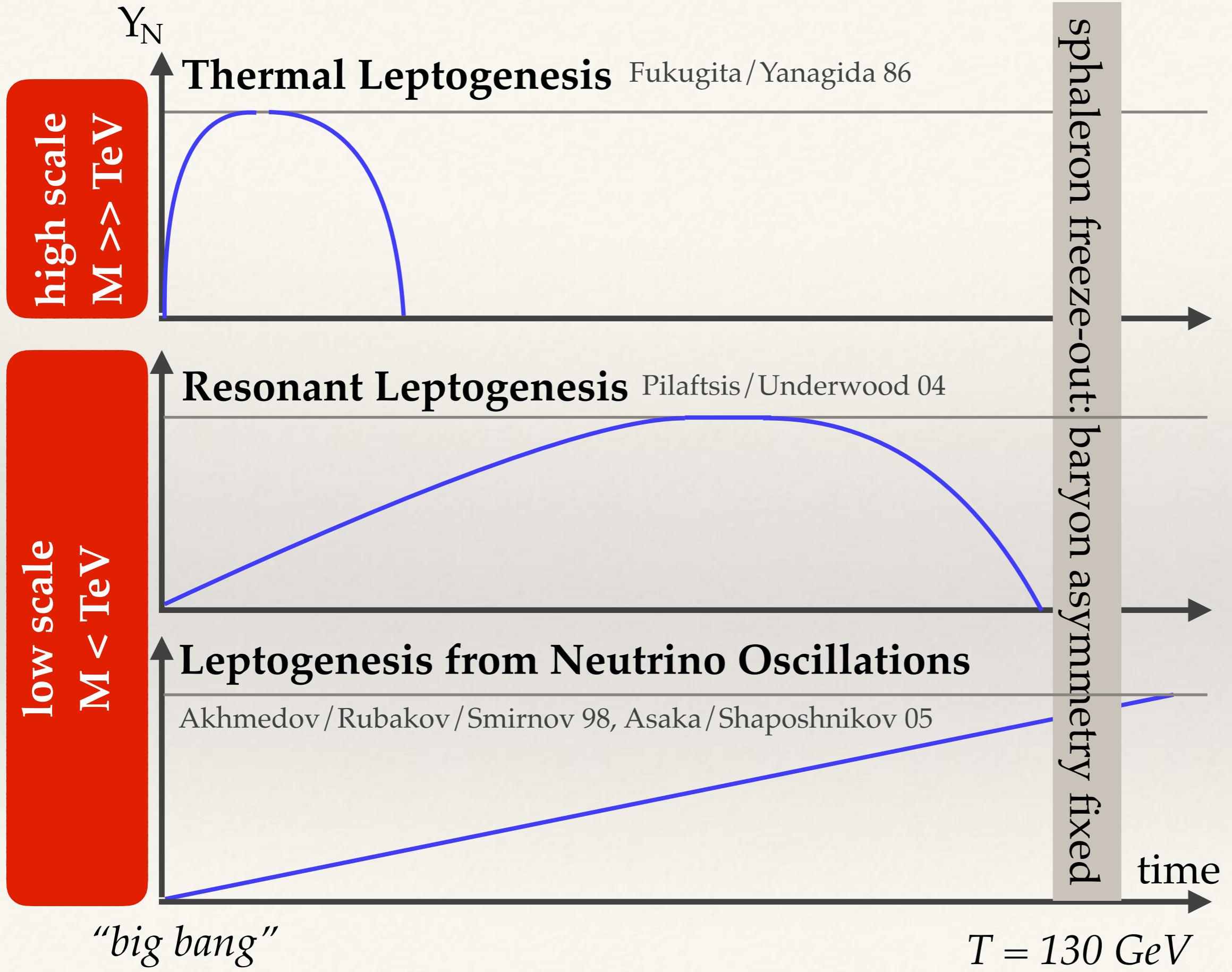
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"source" "washout"

asymmetry generated in
freeze-out and decay

asymmetry
generated in
freeze-in



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What can ν oscillation data “predict”?

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Flavour Mixing Pattern + Dirac Phase
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“Vanilla Leptogenesis”

Temperature $T > 10^{12}$ GeV

- gauge interactions in equilibrium
- charged lepton Yukawa interactions slower than cosmic expansion

SM flavours indistinguishable!

CP violating parameter ϵ

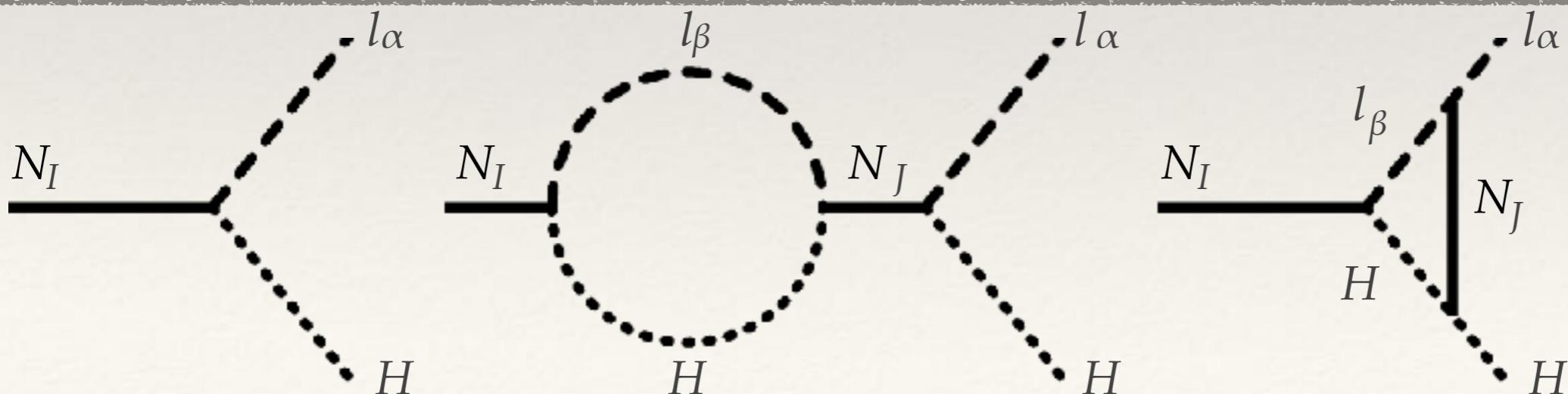
$$\epsilon = \frac{\Gamma_{N \rightarrow \ell H} - \Gamma_{N \rightarrow \bar{\ell} H^*}}{\Gamma_{N \rightarrow \ell H} + \Gamma_{N \rightarrow \bar{\ell} H^*}}$$

final asymmetry

$$Y_{B-L} \propto \epsilon / g_*$$

Asymmetry only depends
on the combination $F^\dagger F$

$$\epsilon \simeq -\frac{3}{16\pi} \frac{1}{(F^\dagger F)_{11}} \sum_I \text{Im} [(F^\dagger F)_{I1}]^2 \frac{M_1}{M_I}$$



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But $F = \frac{1}{v} U_\nu \sqrt{m_\nu^{\text{diag}}} \mathcal{R} \sqrt{M^{\text{diag}}}$ Casas/Ibarra

gives $F^\dagger F = \sqrt{M^{\text{diag}}} \mathcal{R}^\dagger \frac{m_\nu^{\text{diag}}}{v^2} \mathcal{R} \sqrt{M^{\text{diag}}}$

ϵ is independent of the
PMNS matrix!

So is the asymmetry...

“Flavoured Leptogenesis”

$$10^{12} \text{ GeV} > T > 160 \text{ GeV}$$

- gauge interactions in equilibrium
- charged lepton Yukawa interactions faster than cosmic expansion

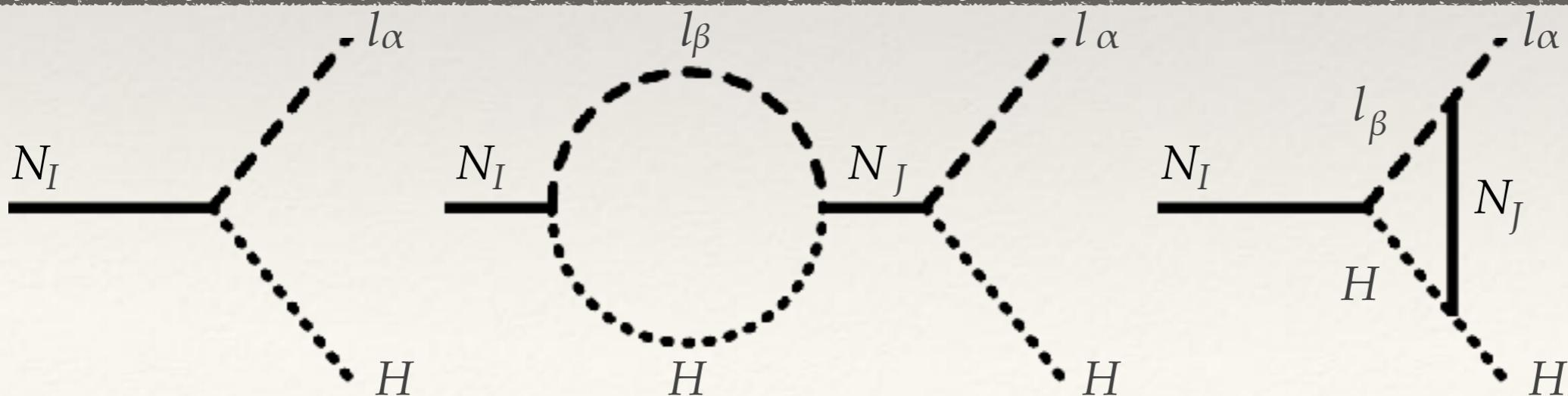
SM flavours distinguishable!

Flavoured asymmetries
depend on individual $F_{\alpha I}$



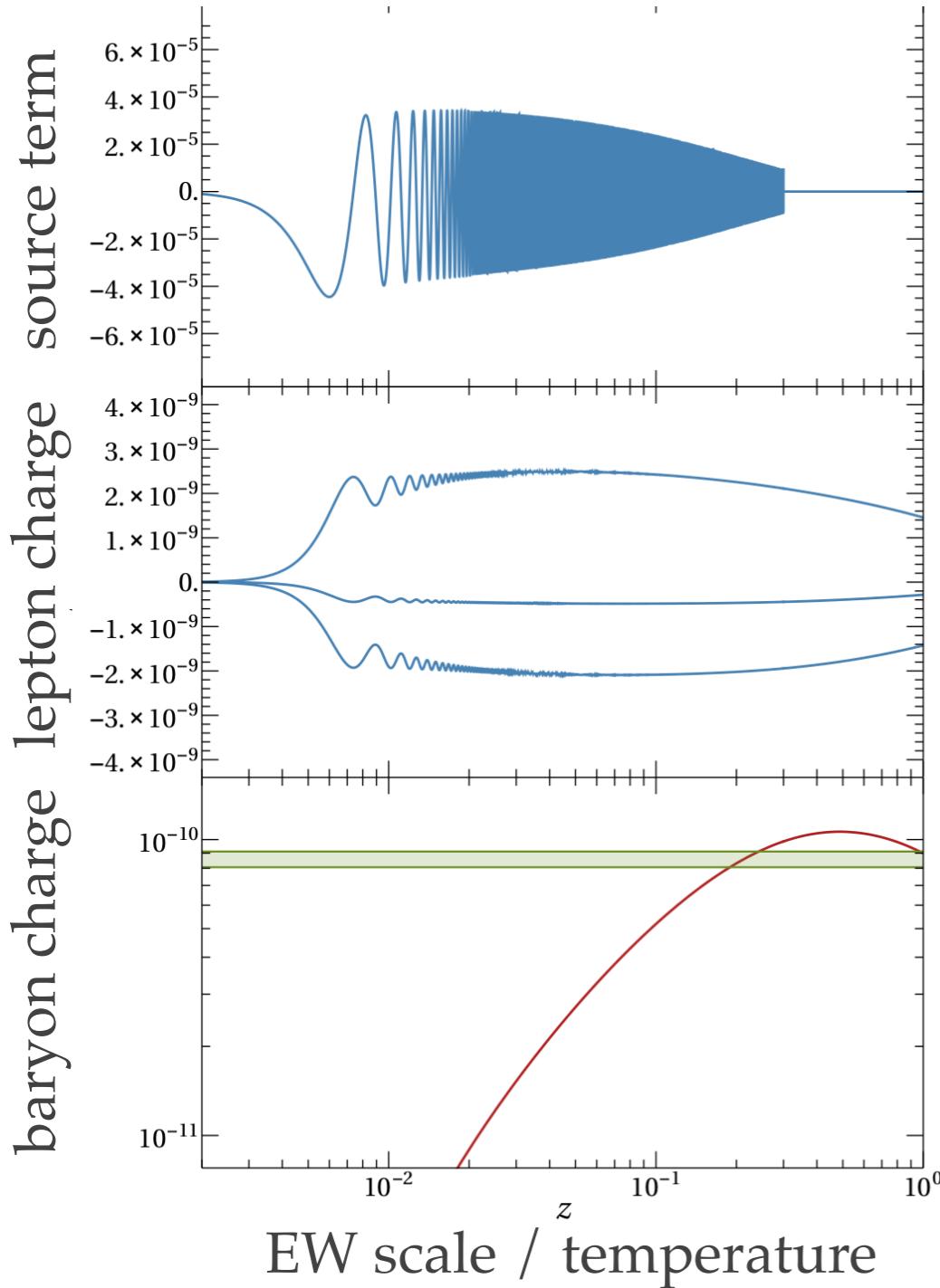
**Baryon asymmetry
depends on PMNS!**

... δ alone is
even sufficient
Pascoli/Petcov/Riotto [0611338](#)



sub-EW Scale Leptogenesis: “freeze in”

Akhmedov/Rubakov/Smirnov 98, Asaka/Shaposhnikov 05



For masses M below the EW scale:

- asymmetry generated at $T \gg M$
- helicity states of the Majorana particles act as “particle” and “antiparticle”
- total $B-L$ is suppressed by $(M/T)^2$
- flavour asymmetric washout generates total L and hence B
- mechanism primarily relies on flavour effects...

...though LNV effects can affect parameter space

(cf. Hambye/Teresi [1606.00017](#),
Ghiglieri/Laine [1703.06087](#),
Eijima et al [1703.06085](#),
Antusch et al [1710.03744](#))

Low Scale Leptogenesis

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Neutrino masses vs collider searches

neutrino masses m_i are small (sub eV)

→ active-sterile mixing angle θ must be small



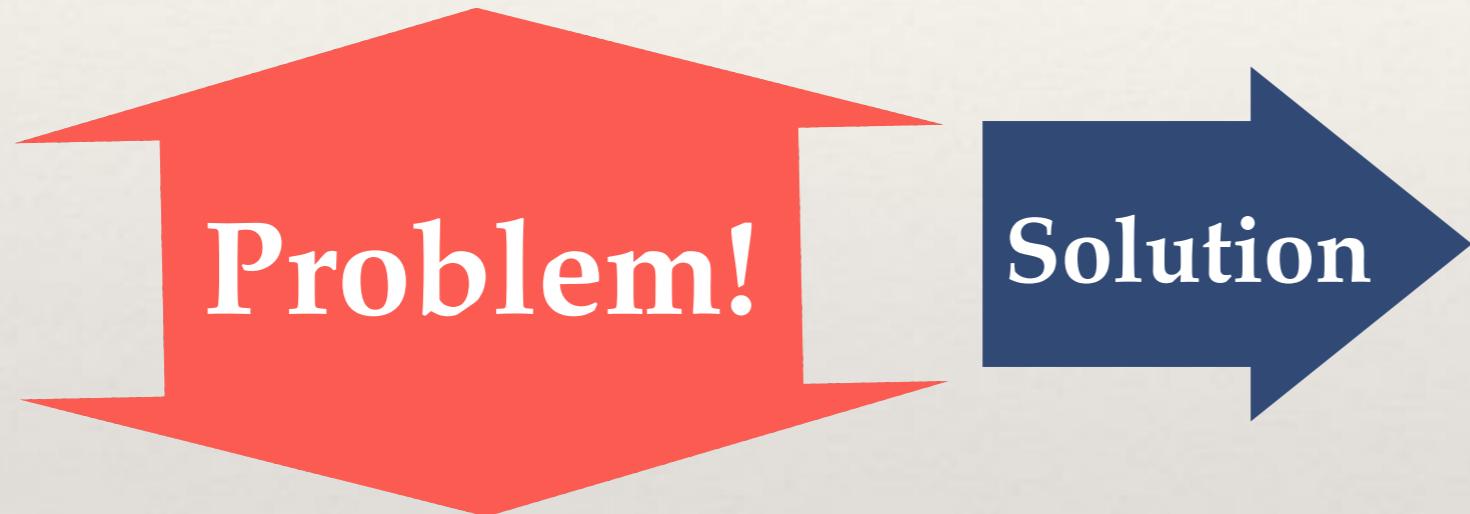
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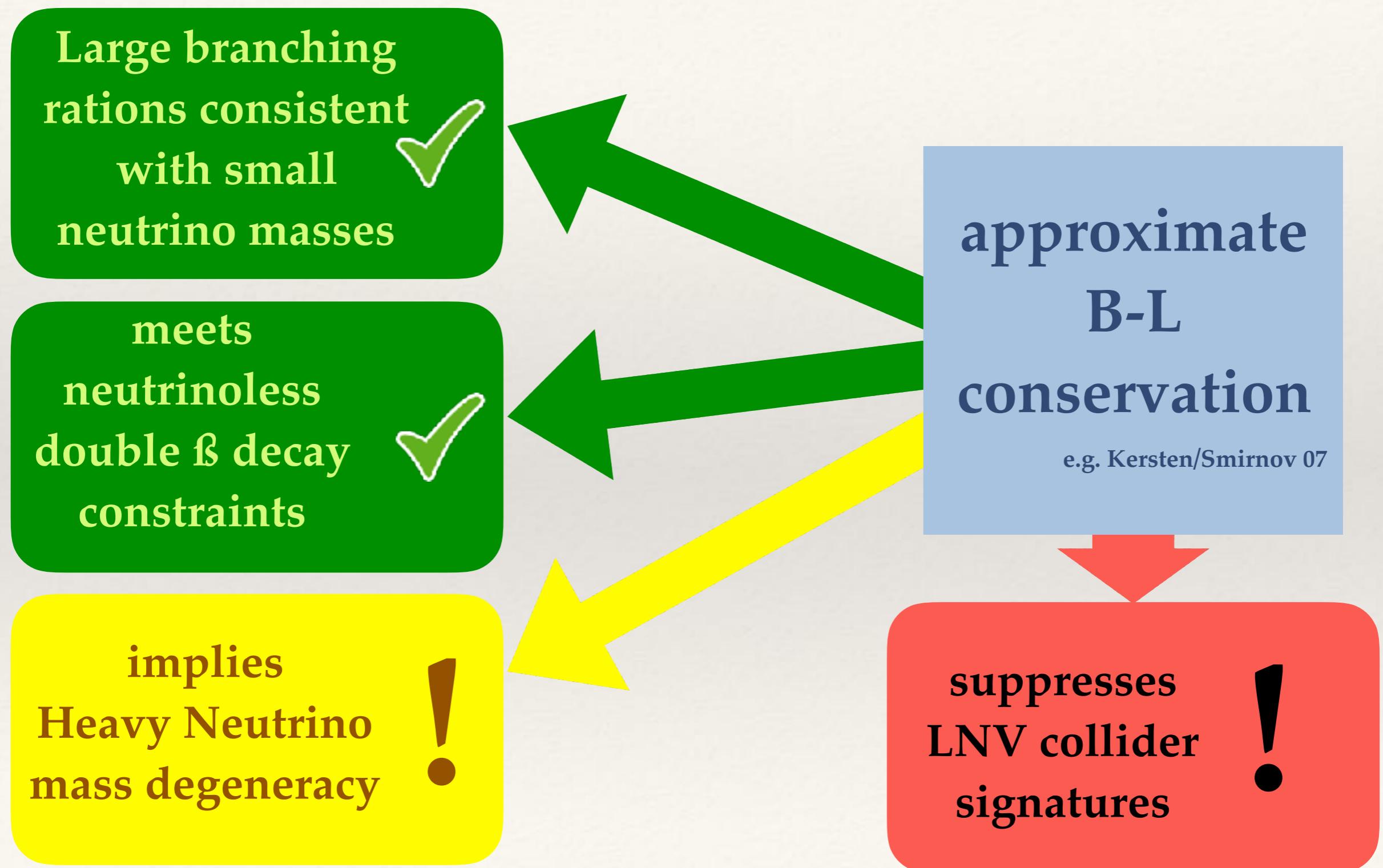
approximate
B-L
conservation

e.g. Kersten/Smirnov 07

colliders rely on branching ratio

→ active-sterile mixing angle θ must be large

Neutrino masses vs collider searches



Neutrino masses vs collider searches

hard to distinguish
signatures
kinematically

cannot study
heavy “flavours”
individually

may observe CP
violation in Heavy
Neutrino decay

Cvetic/Kim/Saa [1403.2555](#)

connection to
leptogenesis?

“golden channels”
may be suppressed

need to use other
channels (LFV,
displaced vertices)

implies
Heavy Neutrino
mass degeneracy

!

suppresses
LNV collider
signatures

!

Predicted Flavour Structure

$$M_M = \bar{M} \begin{pmatrix} 1 - \mu & 0 & 0 \\ 0 & 1 + \mu & 0 \\ 0 & 0 & \mu' \end{pmatrix}$$

$$F = \frac{1}{\sqrt{2}} \begin{pmatrix} F_e + \epsilon_e & i(F_e - \epsilon_e) & \epsilon'_e \\ F_\mu + \epsilon_\mu & i(F_\mu - \epsilon_\mu) & \epsilon'_\mu \\ F_\tau + \epsilon_\tau & i(F_\tau - \epsilon_\tau) & \epsilon'_\tau \end{pmatrix}$$

*B-L violating
parameters*

$$\mu, \mu', \epsilon_\alpha, \epsilon'_\alpha$$

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light ν masses:

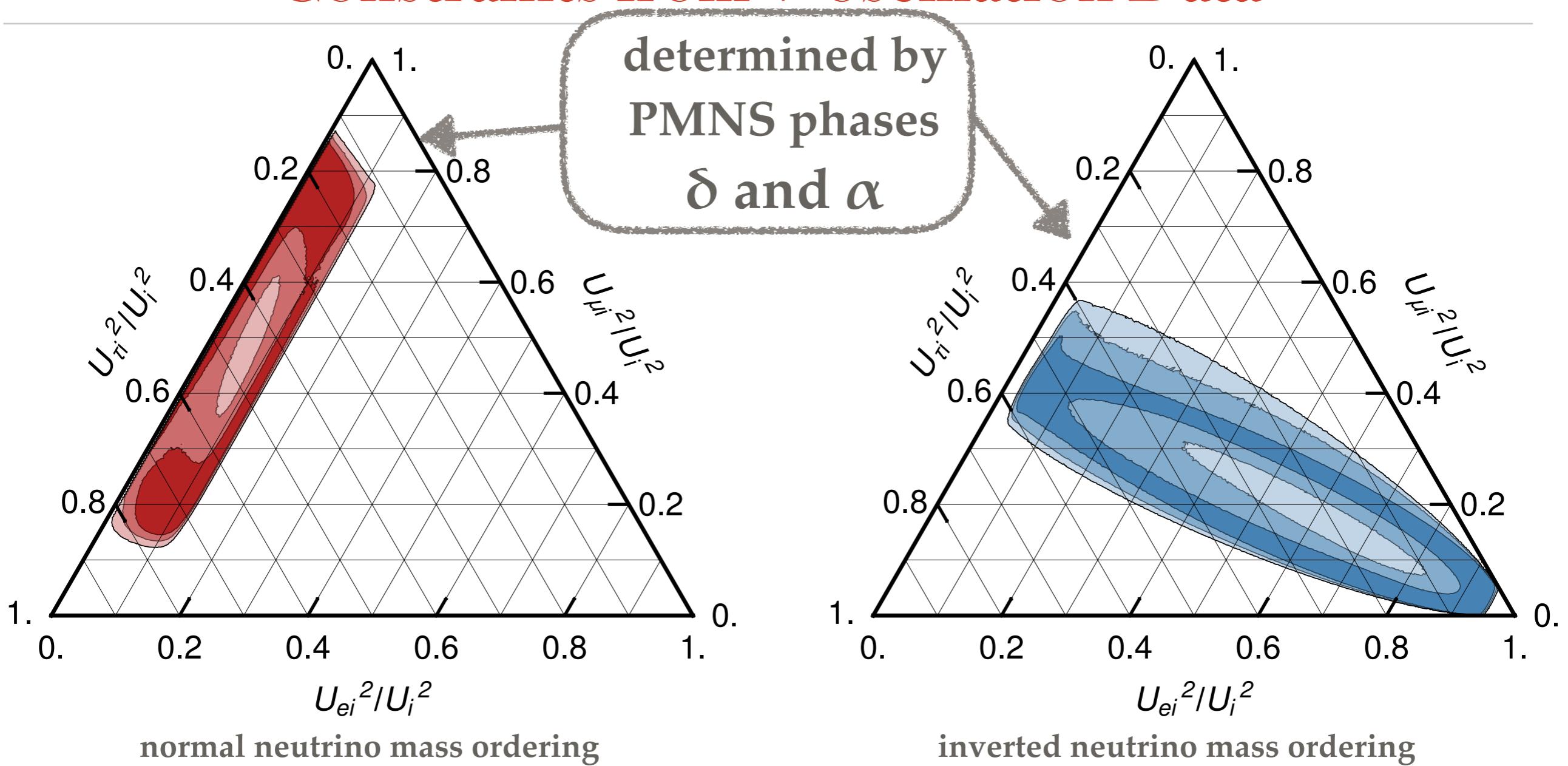
pseudo Dirac
pair

feeble coupled
heavy neutrino

$B-L$ violating
parameters

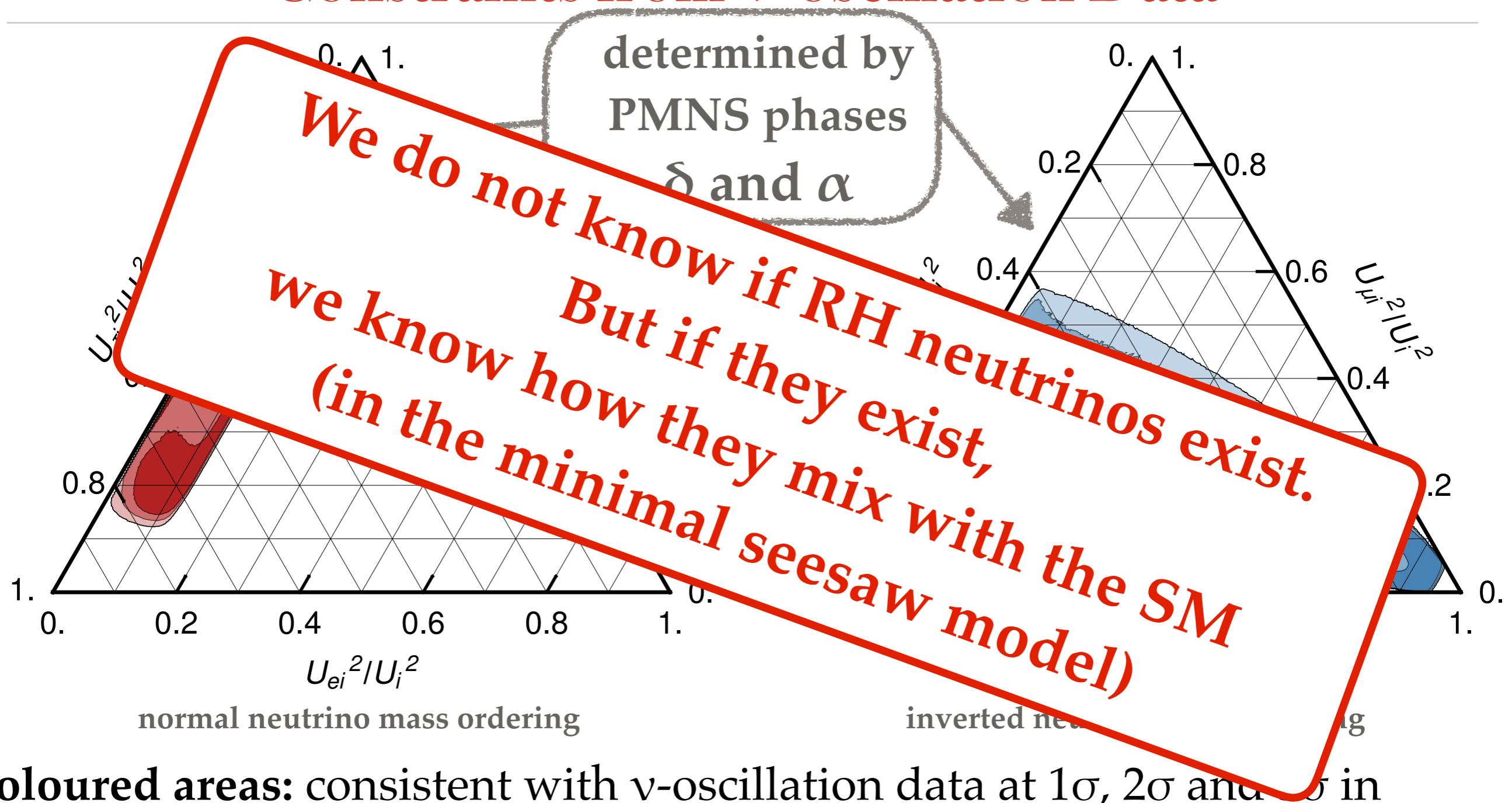
$\mu, \mu', \epsilon_\alpha, \epsilon'_\alpha$

Heavy Neutrino Mixing: Constraints from ν -oscillation Data



coloured areas: consistent with ν -oscillation data at 1σ , 2σ and 3σ in minimal model with two right handed neutrinos

Heavy Neutrino Mixing: Constraints from ν -oscillation Data



Connection to Low Energy CP Violation

- Proof of principle: CP is violated in the lepton sector.
- There exist models that predict δ .
In some of them the CP violation that drives leptogenesis is solely due to the PMNS phases

see e.g. Meroni et al [1203.4435](#), Gehrlein et al [1502.00110+1508.07930](#), Zhang/Zhou [1505.04858](#), Chen et al [1602.03873](#), Hagedorn/Molinari [1602.04206](#)

In some models leptogenesis is possible solely with δ .

see e.g. Meroni et al [1203.4435](#), Canetti et al [1208.4607](#), Chen et al [1602.03873](#), Hagedorn/Molinari [1602.04206](#), Dolan et al [1802.08373](#)

- The PMNS phases govern the heavy neutrino flavour mixing pattern.
see e.g. Shaposhnikov [0804.4542](#), Gavela et al [0906.1461](#), Asaka/Eijima [1101.1382](#), Ivashko/Ruchayskiy [1112.3319](#), Hernandez et al [1606.06719](#), MaD et al [1609.09069](#), MaD et al [1801.04207](#)

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light ν masses: pseudo Dirac pair

feeble coupled heavy neutrino

B-L violating parameters $\mu, \mu', \epsilon_\alpha, \epsilon'_\alpha$

light ν mixing: constrains relative size

Low Scale Leptogenesis

Flavour Effects

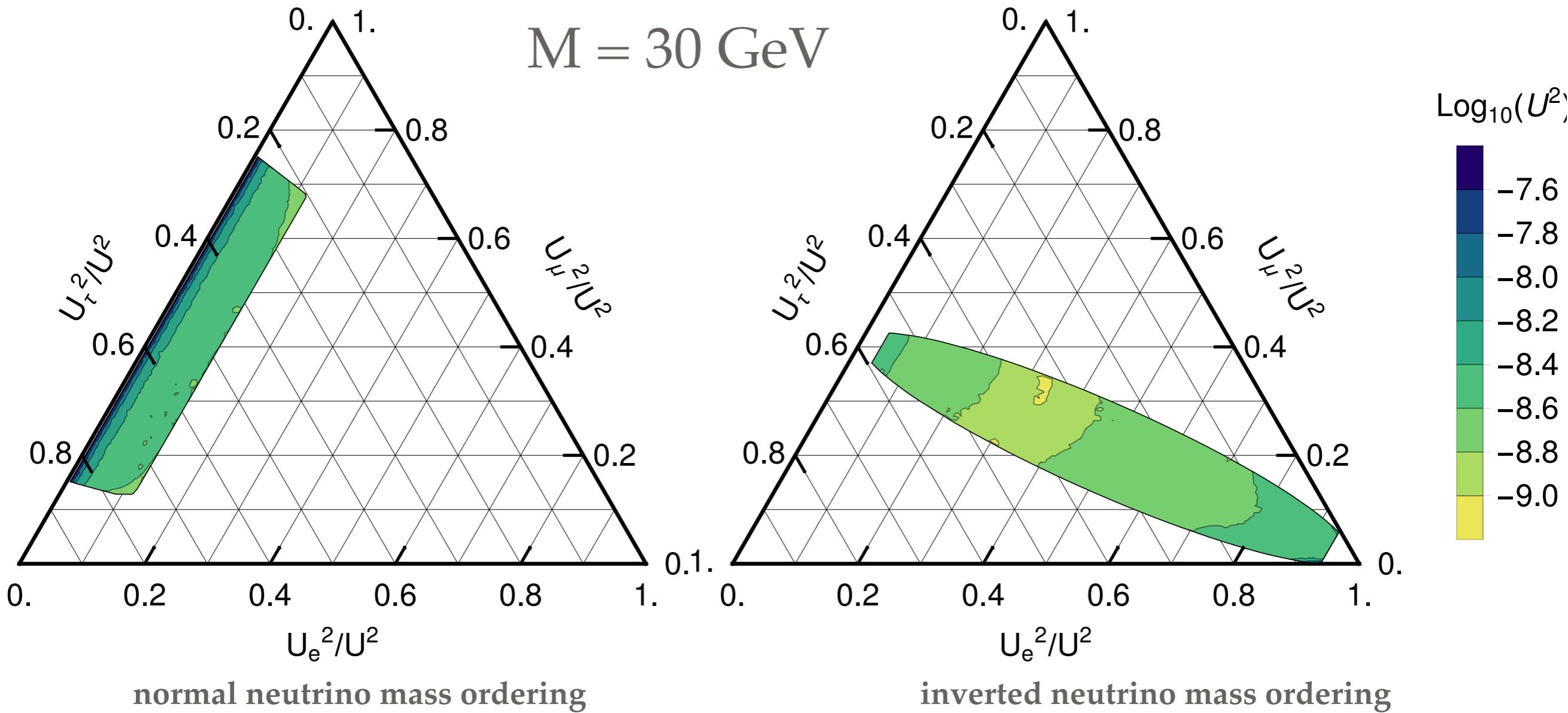
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Heavy Neutrino Mixing: Constraints from Leptogenesis



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pseudo Dirac:

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leptogenesis: mass degeneracy for free for pseudo Dirac

further constrains relative size

light ν mixing: constrains relative size

B-L violating parameters: $\mu, \mu', \epsilon_\alpha, \epsilon'_\alpha$

Low Scale Leptogenesis

Flavour Effects

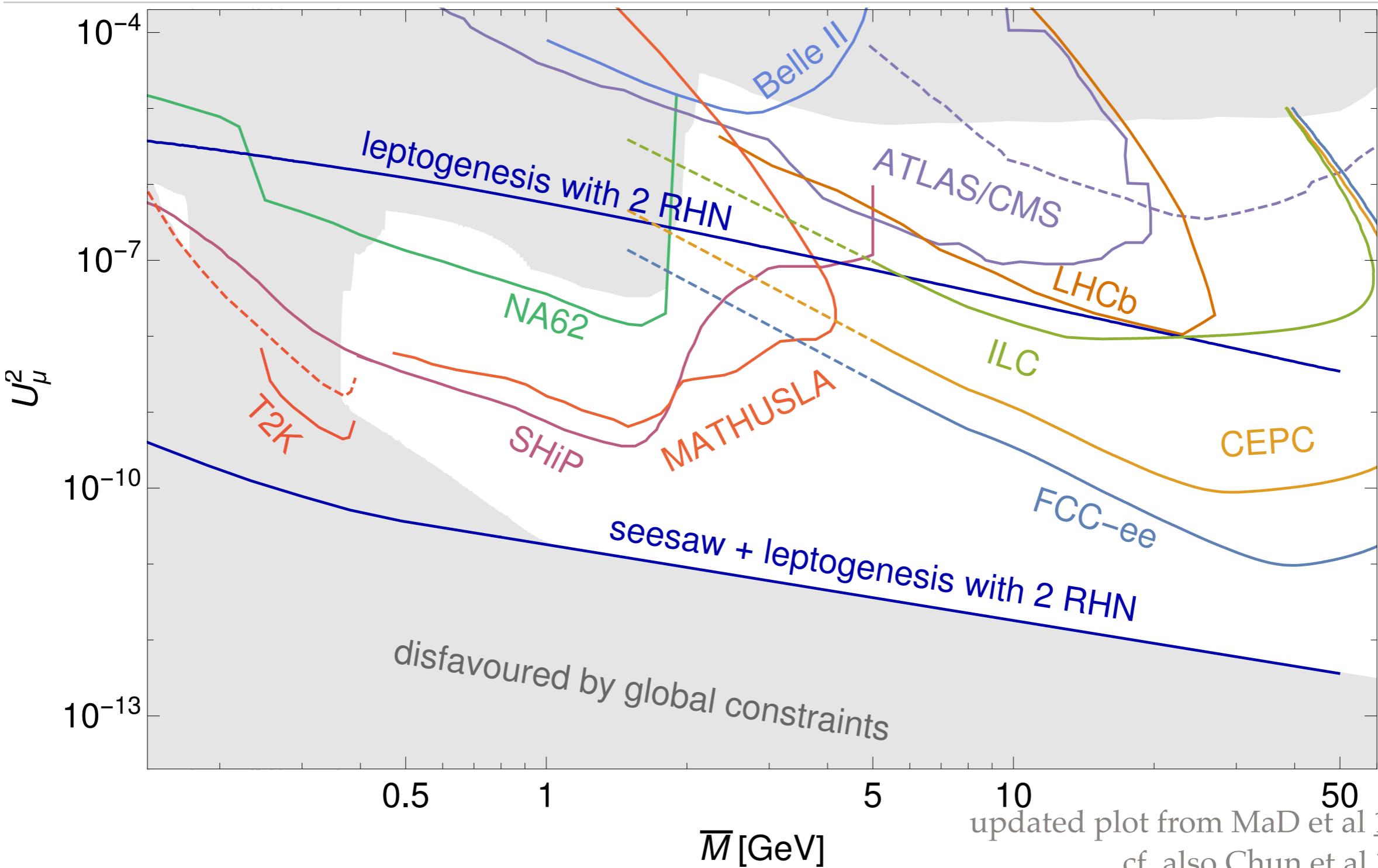
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Minimal Seesaw Model

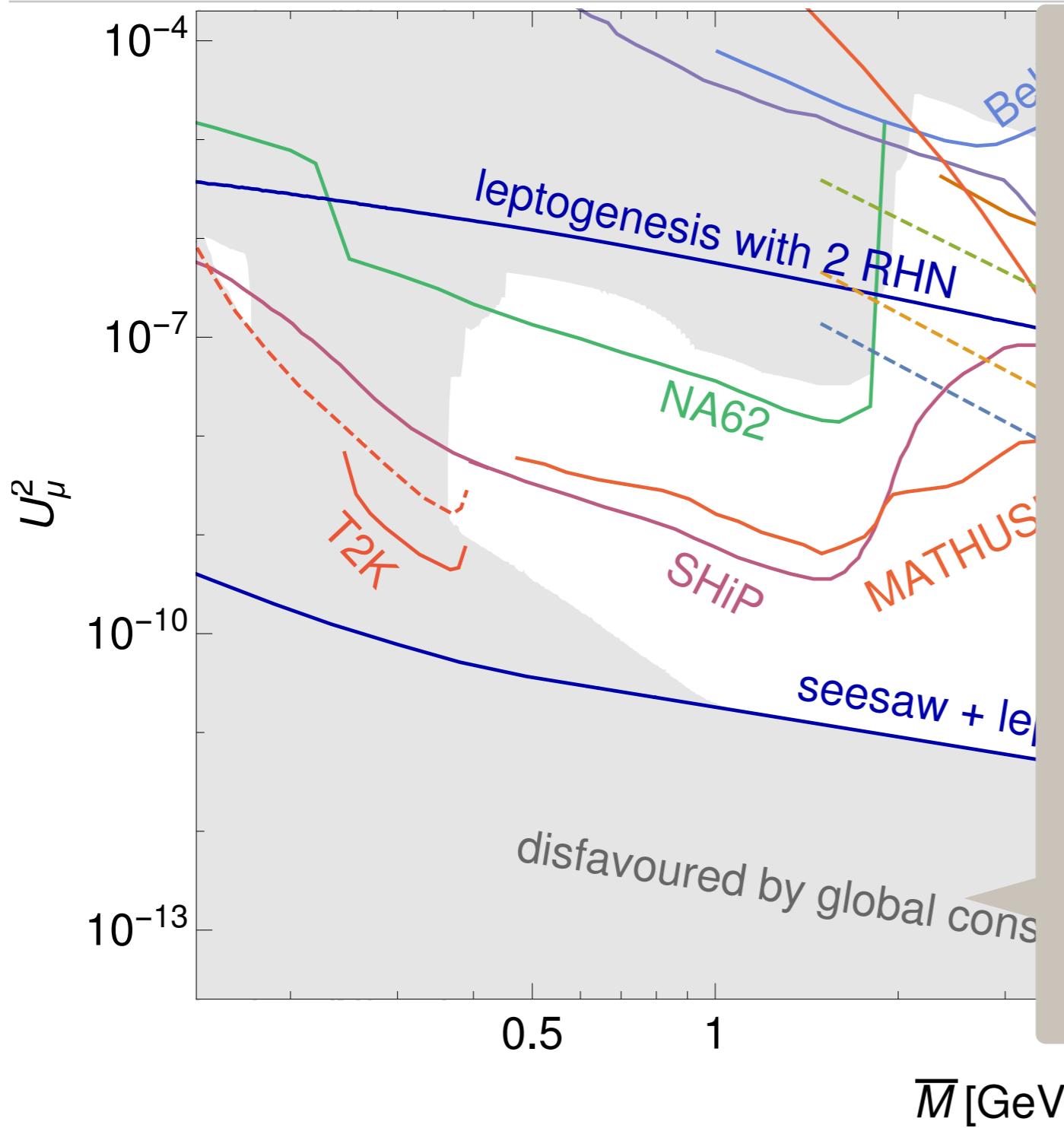


updated plot from MaD et al [1609.09069](#)

cf. also Chun et al [1711.02865](#)

Cai et al [1711.02180](#)

Minimal Seesaw Model



- collider constraints
- fixed target experiments
- neutrino oscillation data
- neutrinoless double β decay
- lepton universality
- cLFV decays
- CMK unitarity
- electroweak precision data
- big bang nucleosynthesis
in minimal model (2 RH neutrinos)

[1609.09069](#)

bounds weaken with 3 RH neutrinos

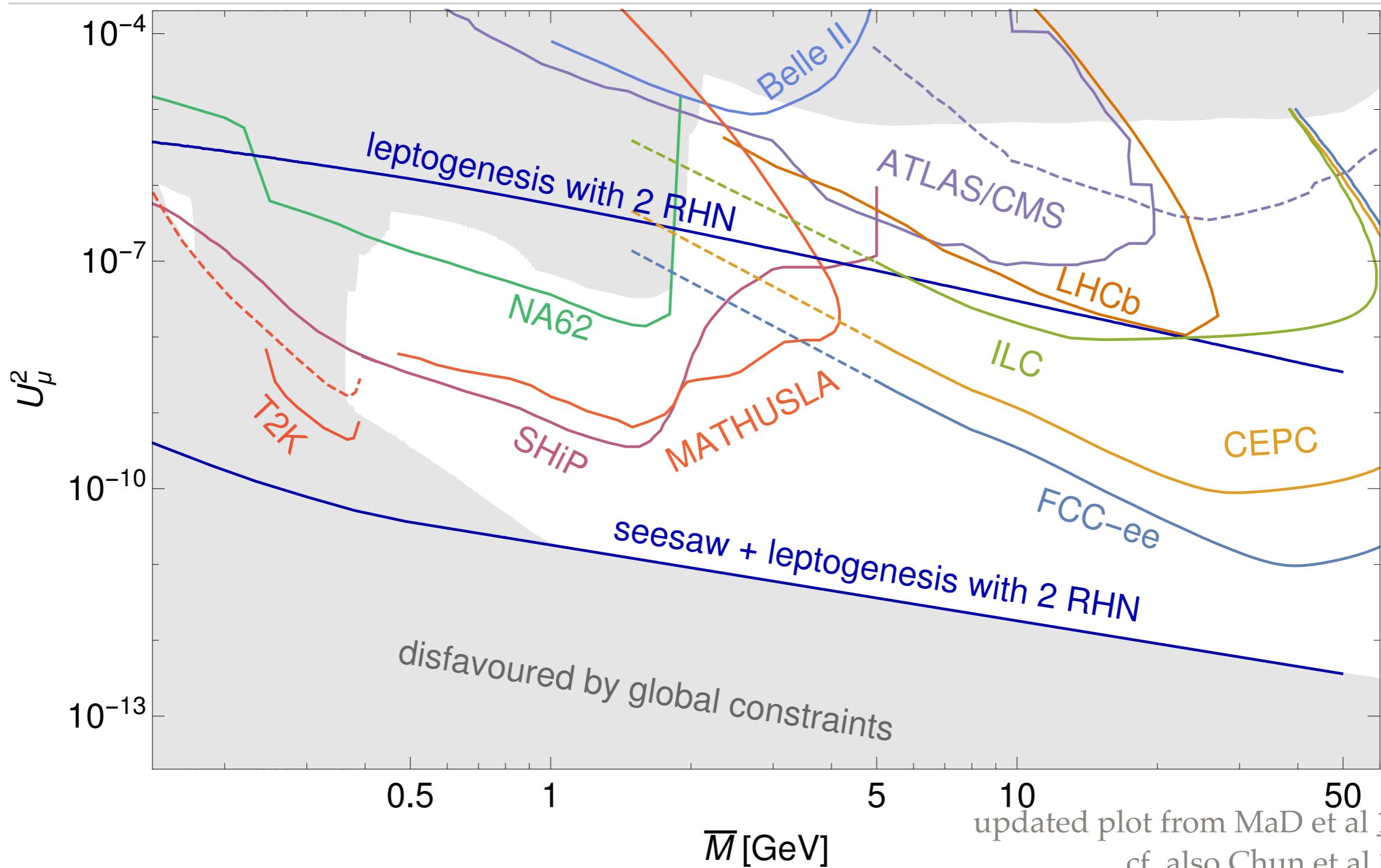
cf. e.g. [1502.00477](#)

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Future LHC Searches

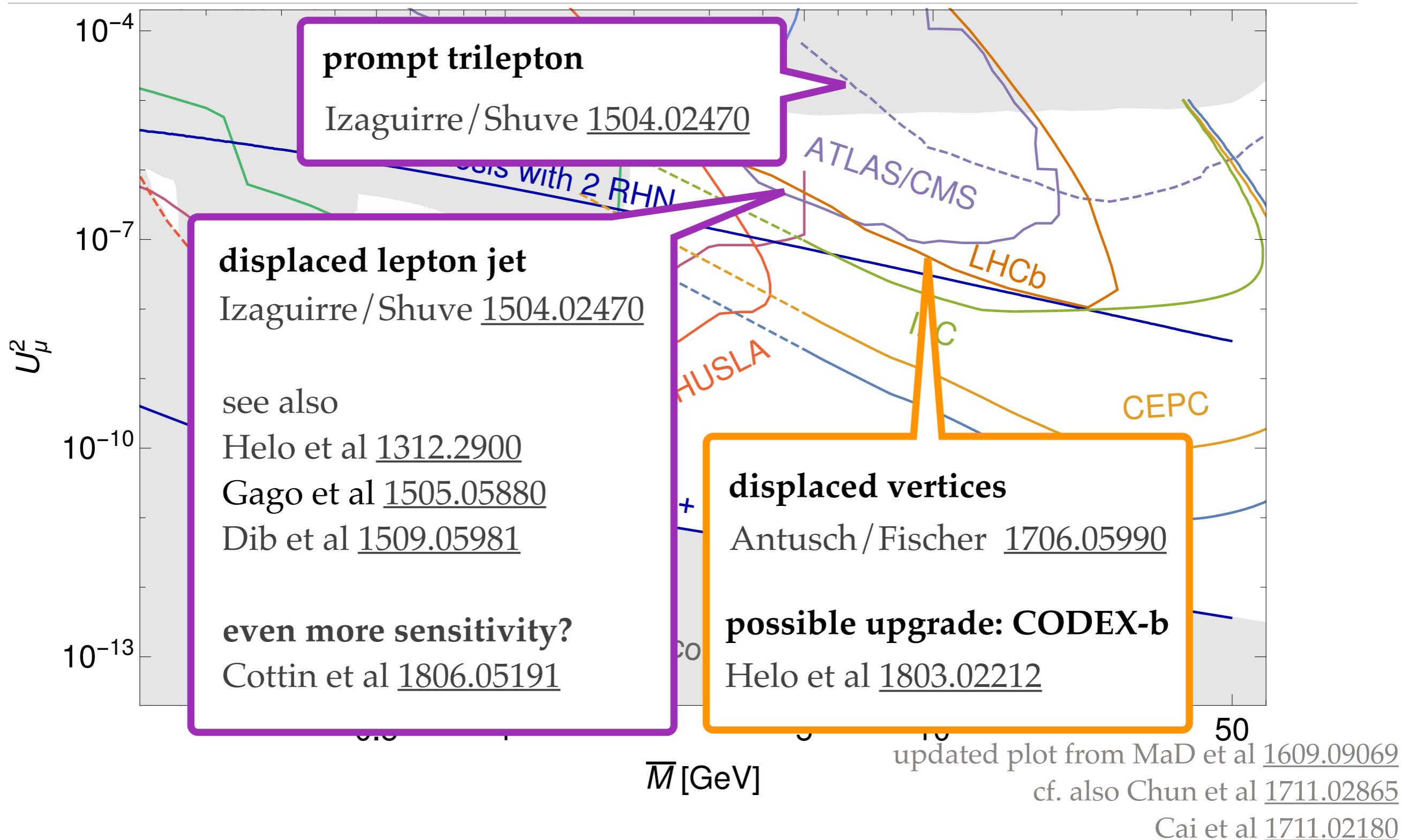


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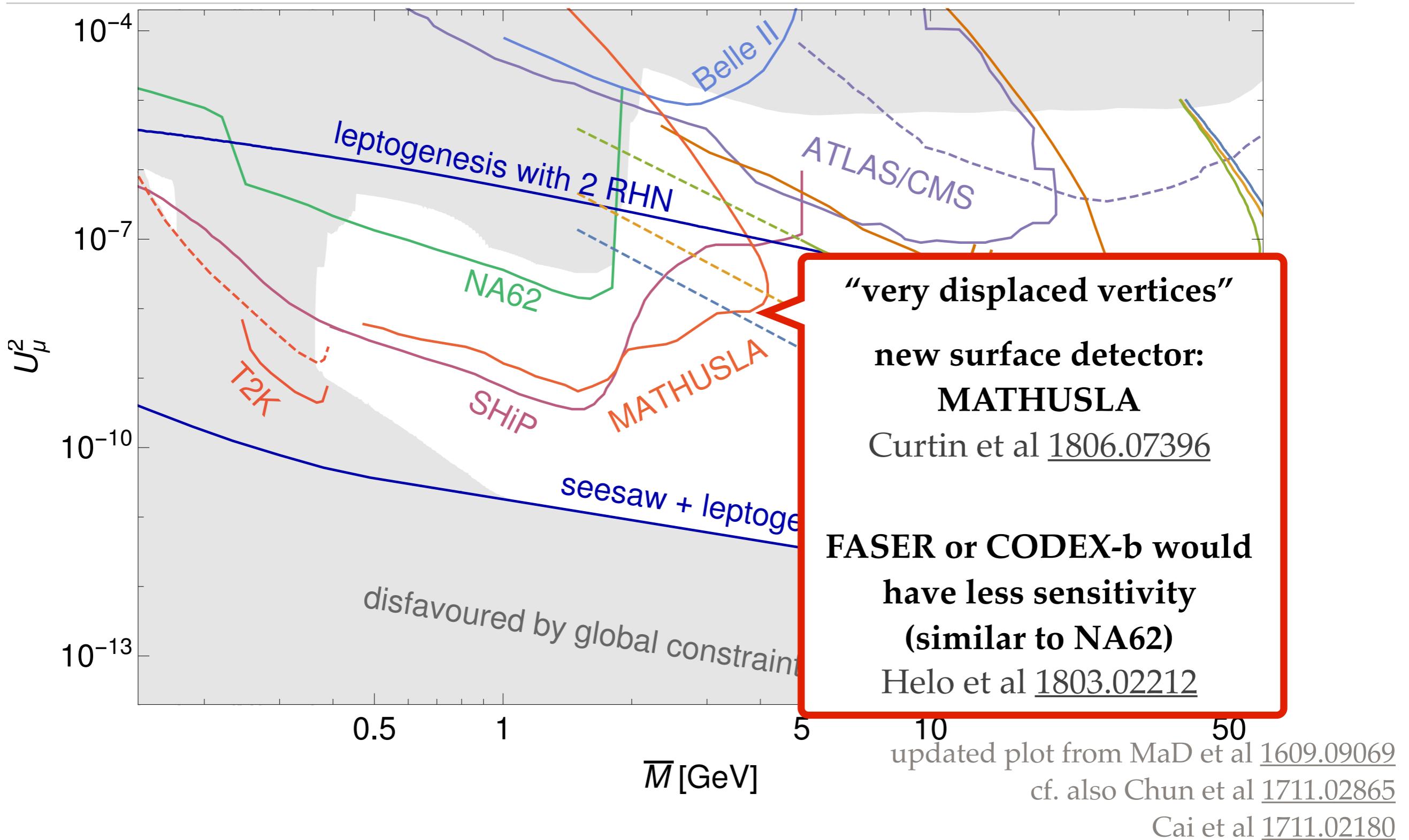
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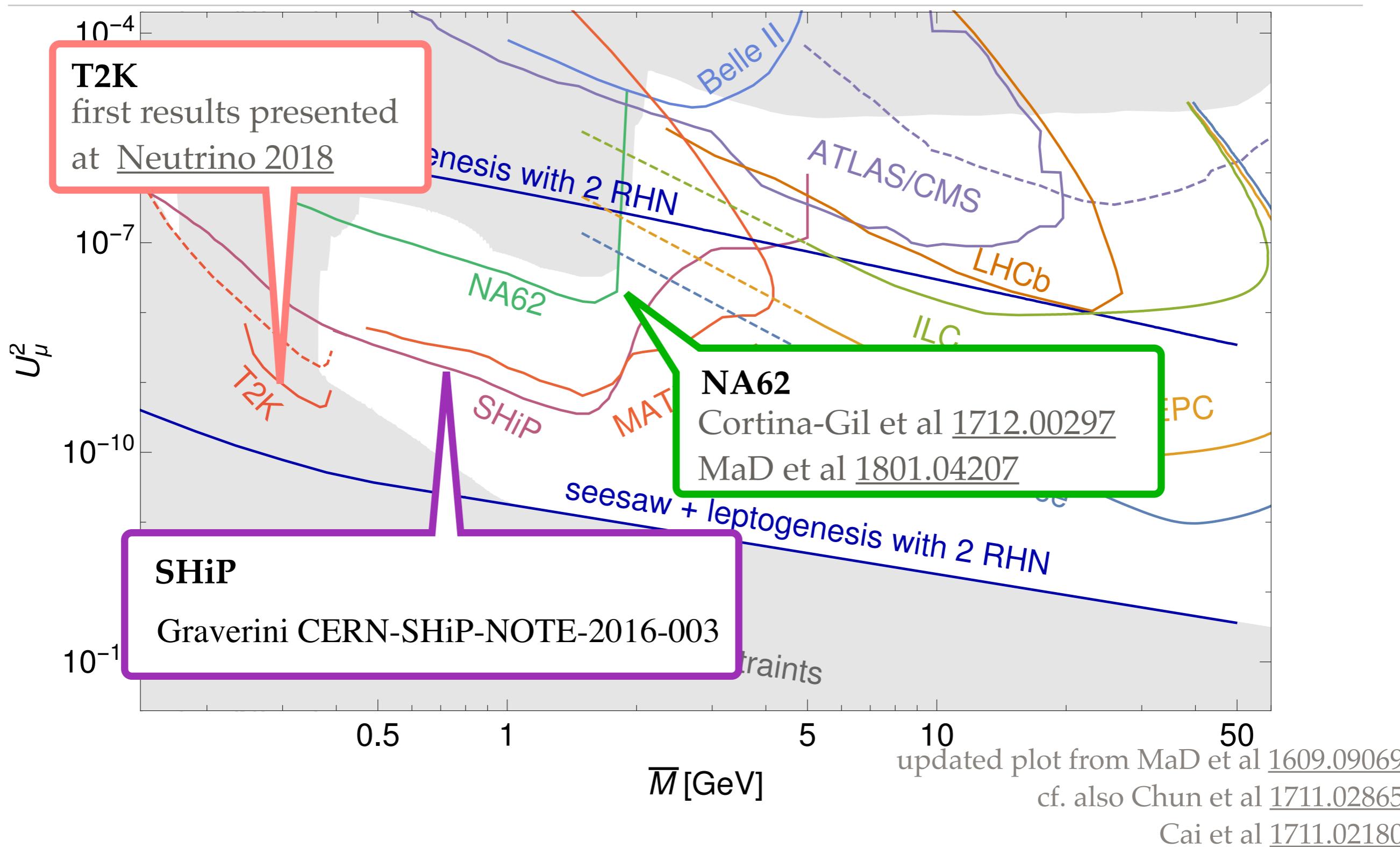
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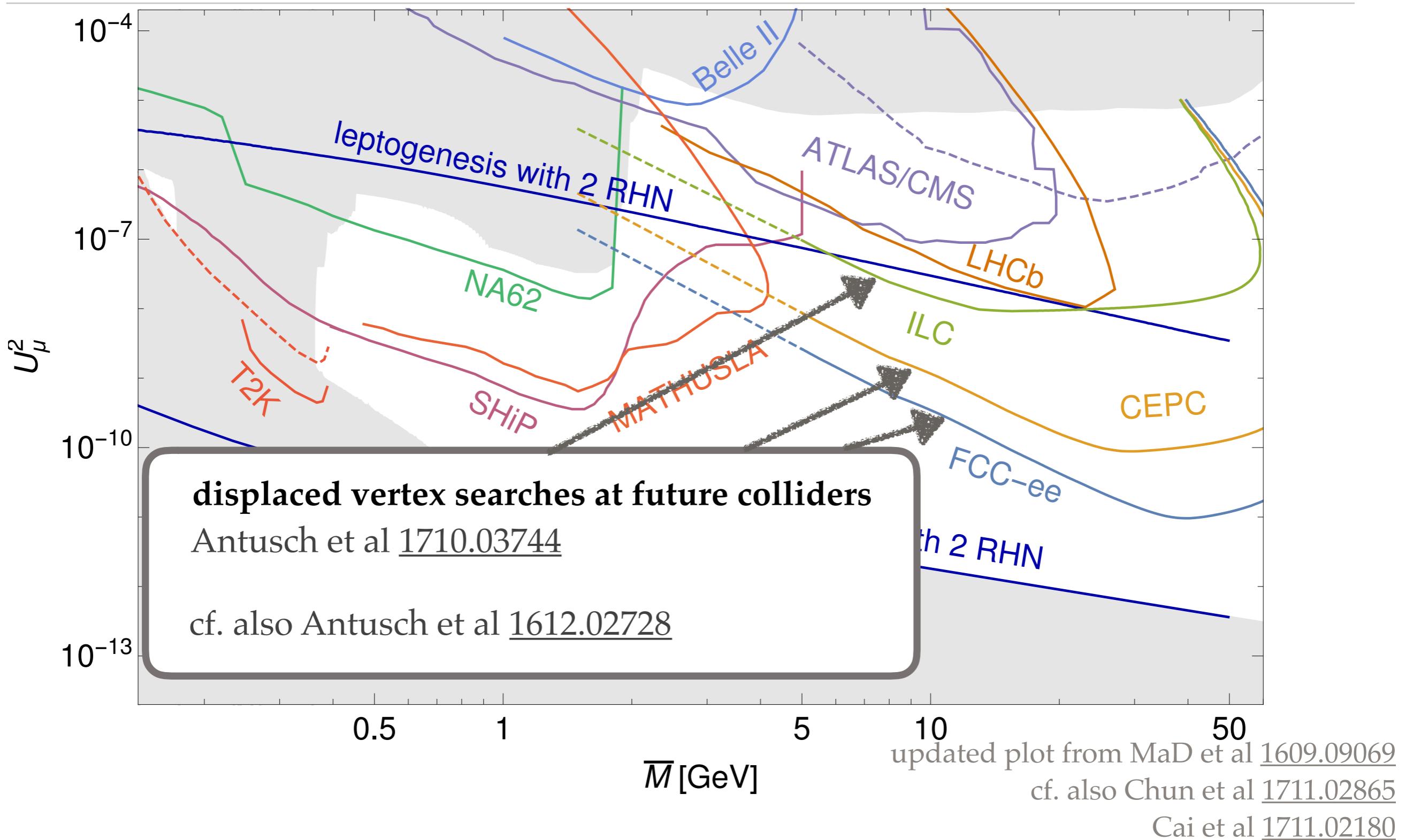
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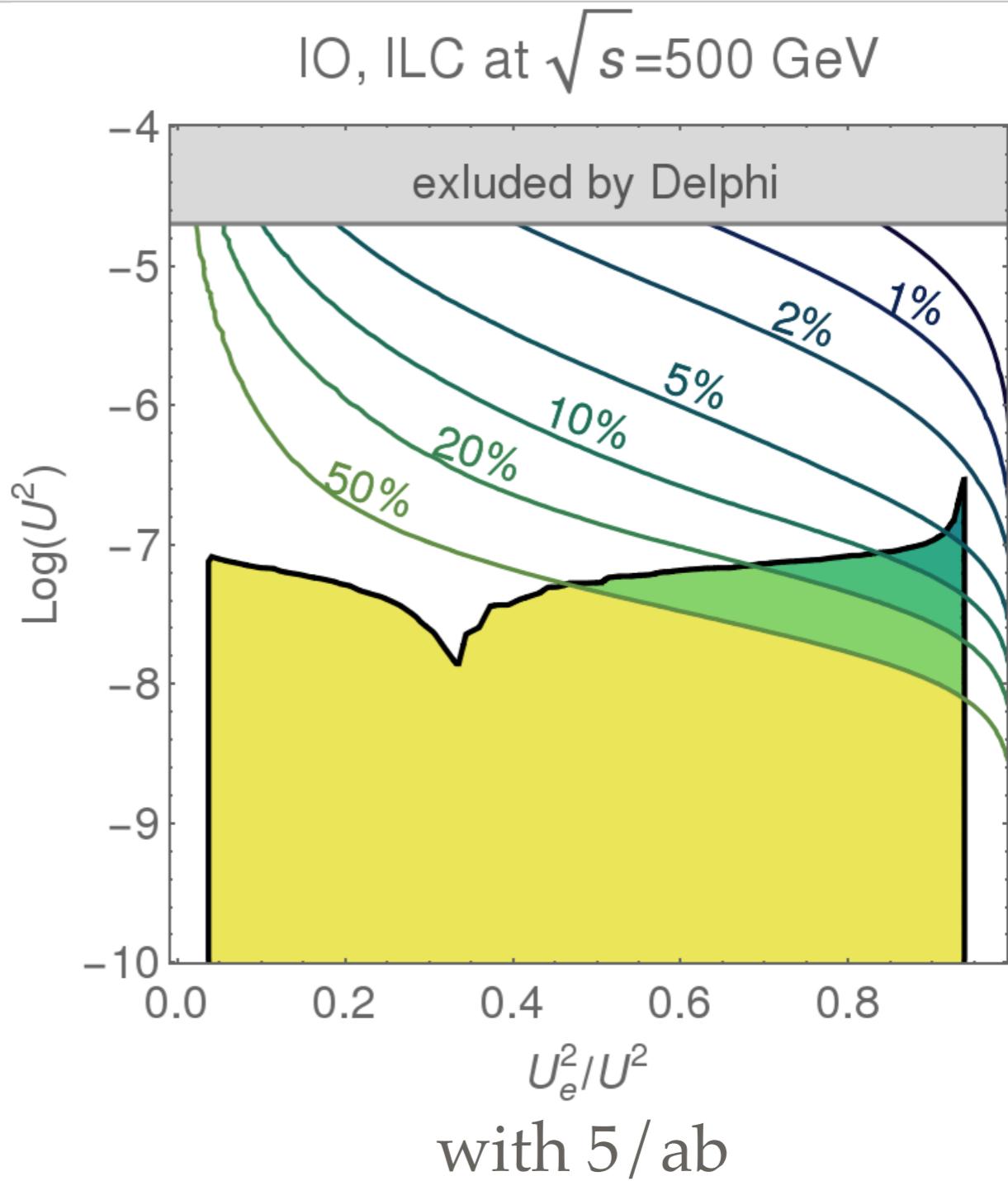
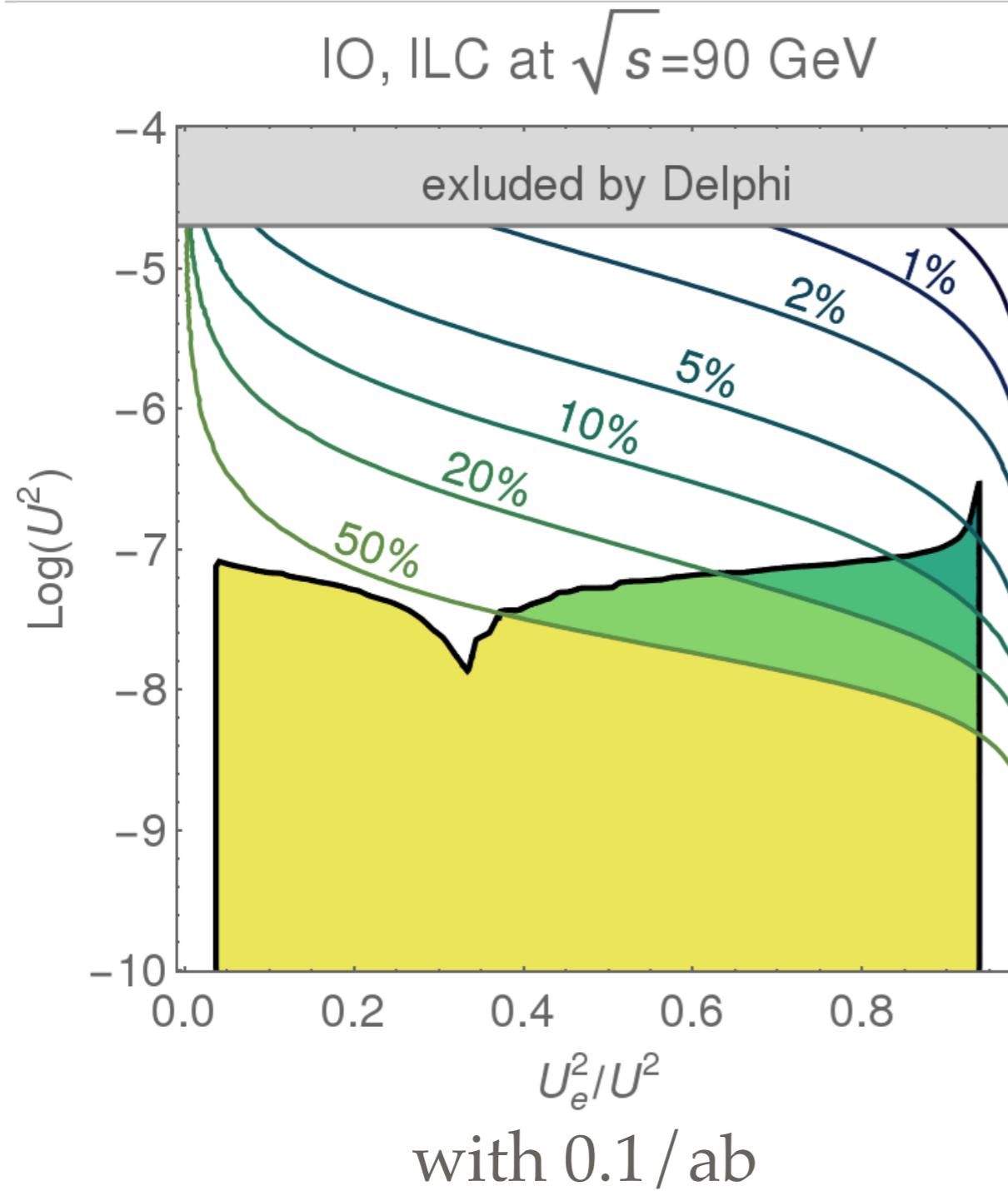
Searches at Fixed Target Experiments



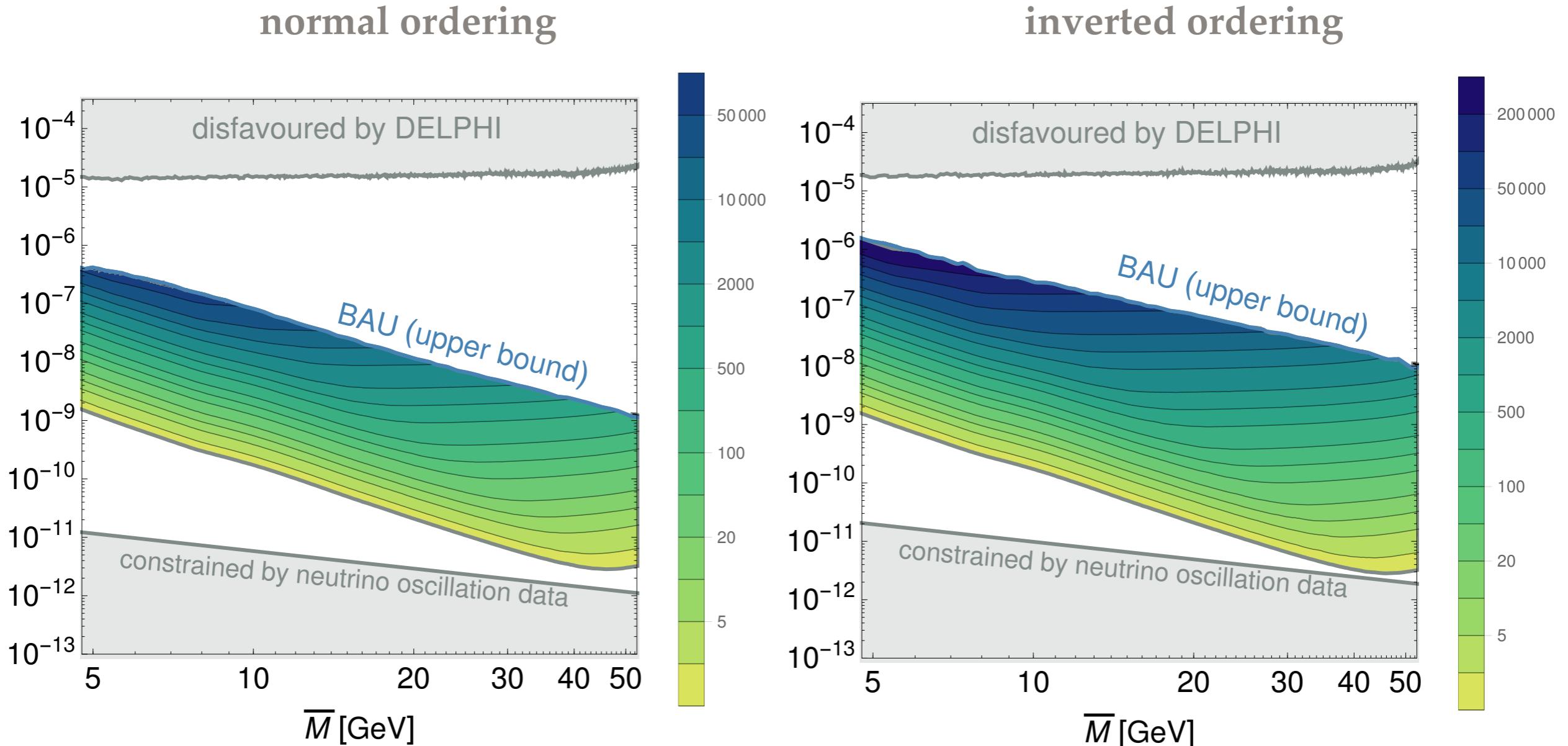
Searches at Future Colliders



Example: Flavour Mixing at ILC

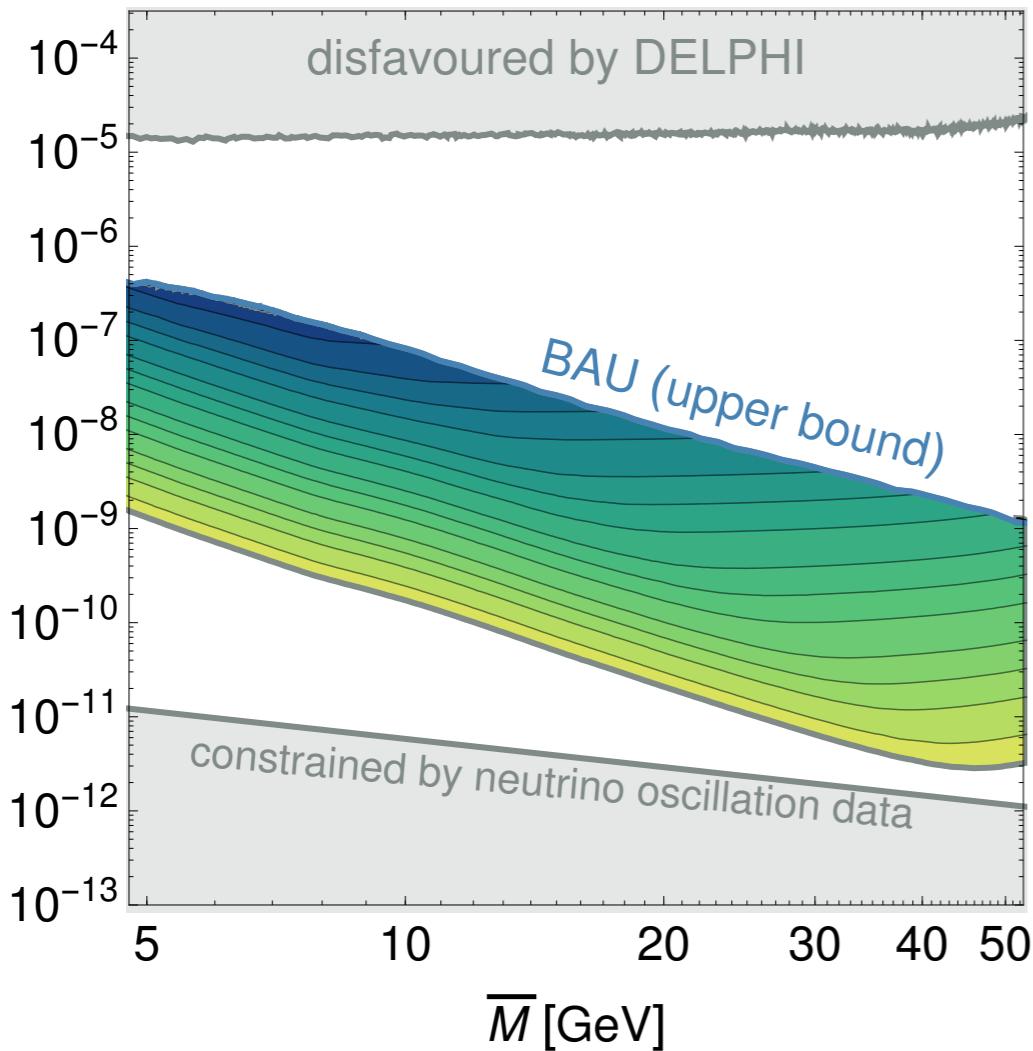


Number of Events at FCC-ee

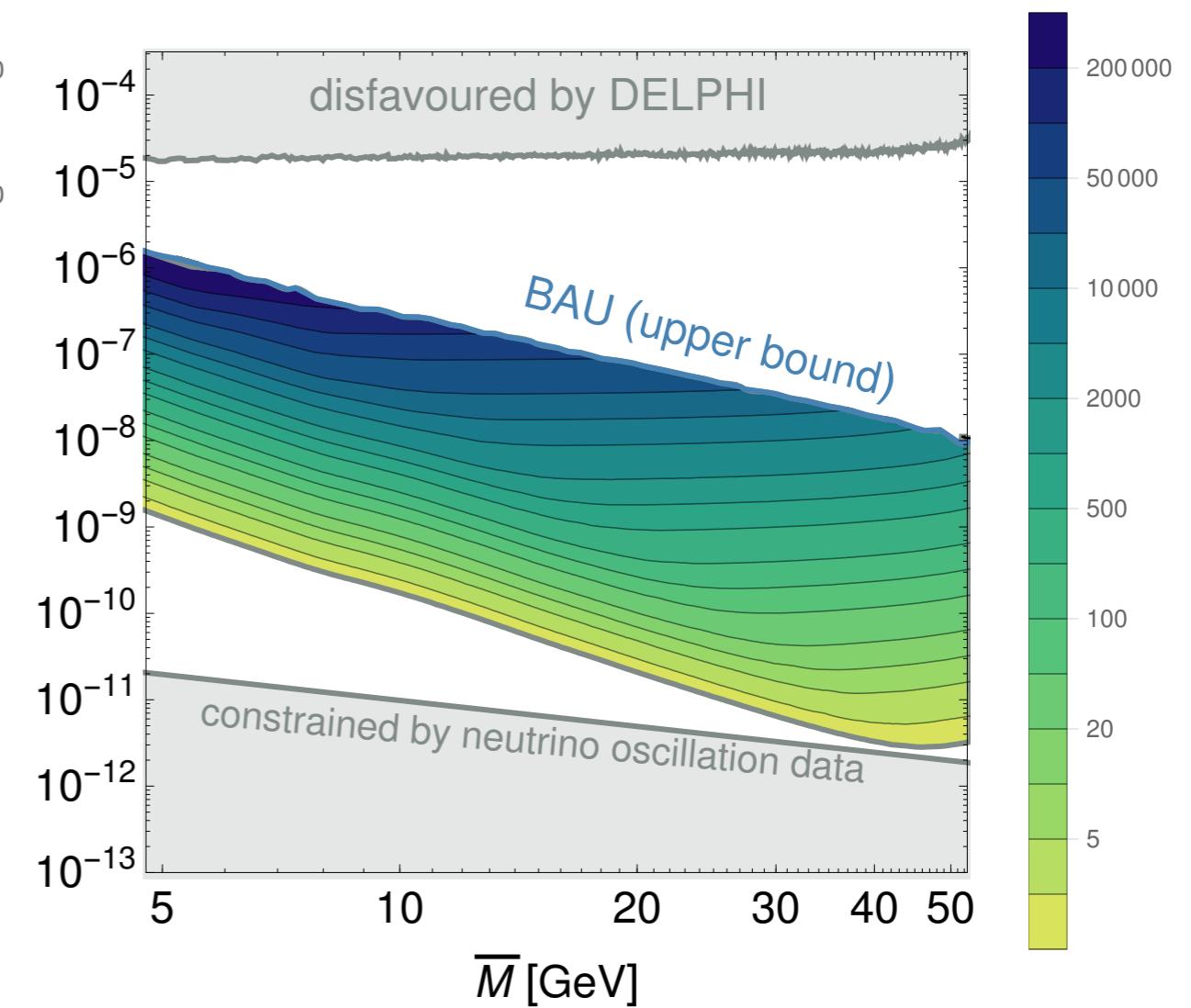


Number of Events at FCC-ee

normal ordering



inverted ordering



percent level measurement of flavour structure!

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Verifying Leptogenesis?

Minimal model of neutrino mass and baryogenesis:

Type I seesaw with two RH Neutrinos below EW scale

[effectively describes vMSM (Asaka/Shaposhnikov [0503065](#), [0505013](#)) as observational constraints on DM candidate (cf. e.g. [1602.04816](#)) imply that it must have very feeble couplings]

$$F = \frac{1}{v} U_\nu \sqrt{m_\nu^{\text{diag}}} \mathcal{R} \sqrt{M^{\text{diag}}}$$

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The diagram illustrates the seesaw formula with annotations:

- Higgs vev v** (green box) points to the denominator v in the formula.
- light neutrino mixing angles** (green box) points to the matrix U_ν .
- light neutrino mass splittings** (green box) points to the term $\sqrt{m_\nu^{\text{diag}}}$.
- Dirac phase δ** (red box) points to the complex angle ω in the seesaw formula.
- Majorana phase α** (red box) points to the complex angle ω in the seesaw formula.
- lightest ν mass (almost) vanishes** (red box) points to the seesaw formula itself, indicating that the lightest neutrino mass is nearly zero.
- complex angle ω** (red box) points to the complex angle ω in the seesaw formula.
- N -mass M and splitting ΔM** (red box) points to the term M^{diag} in the seesaw formula.

Verifying Leptogenesis?

Minimal model of neutrino mass and baryogenesis:

Type I seesaw with two RH Neutrinos below EW scale

Unknown parameters:

M ,

ΔM ,

$\text{Re}\omega$,

$\text{Im}\omega$,

δ, α

$$F = \frac{1}{v} U_\nu \sqrt{m_\nu^{\text{diag}} \mathcal{R} \sqrt{M^{\text{diag}}}}$$

Higgs vev v

light neutrino mixing angles

light neutrino mass splittings

Dirac phase δ

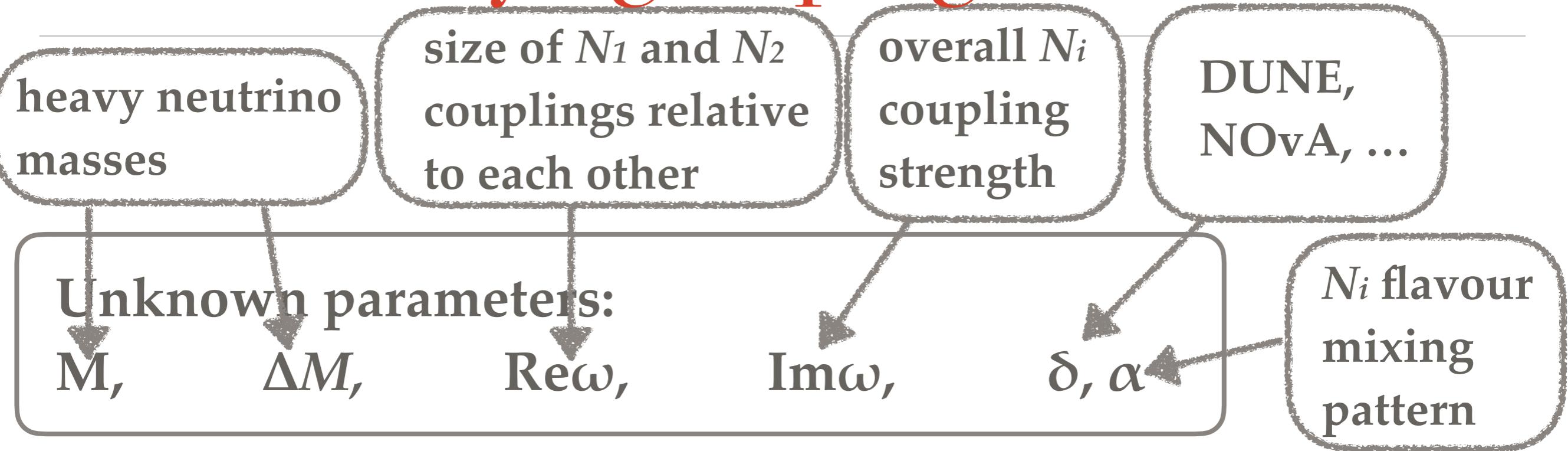
Majorana phase α

lightest ν mass (almost) vanishes

complex angle ω

N -mass M and splitting ΔM

Verifying Leptogenesis?

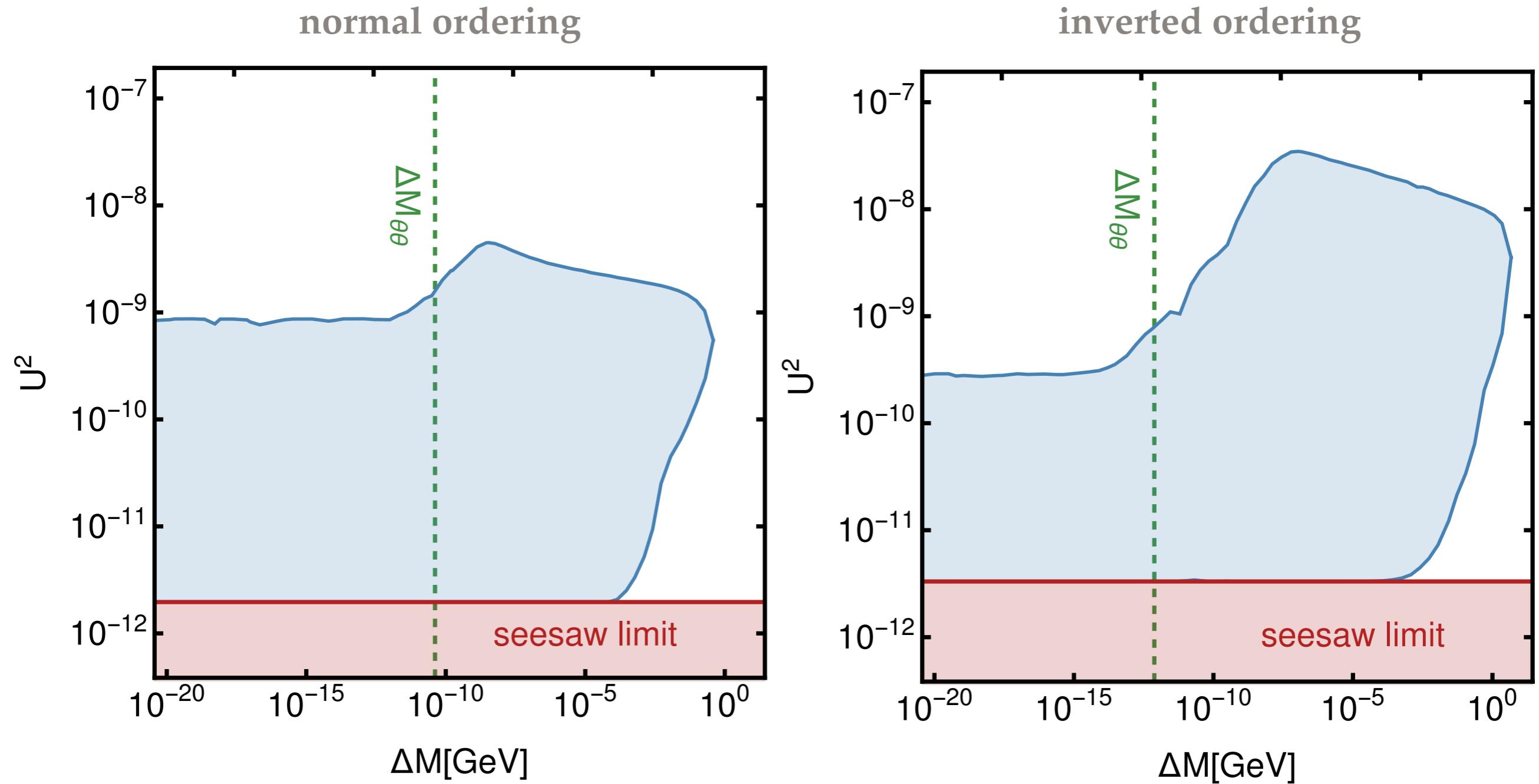


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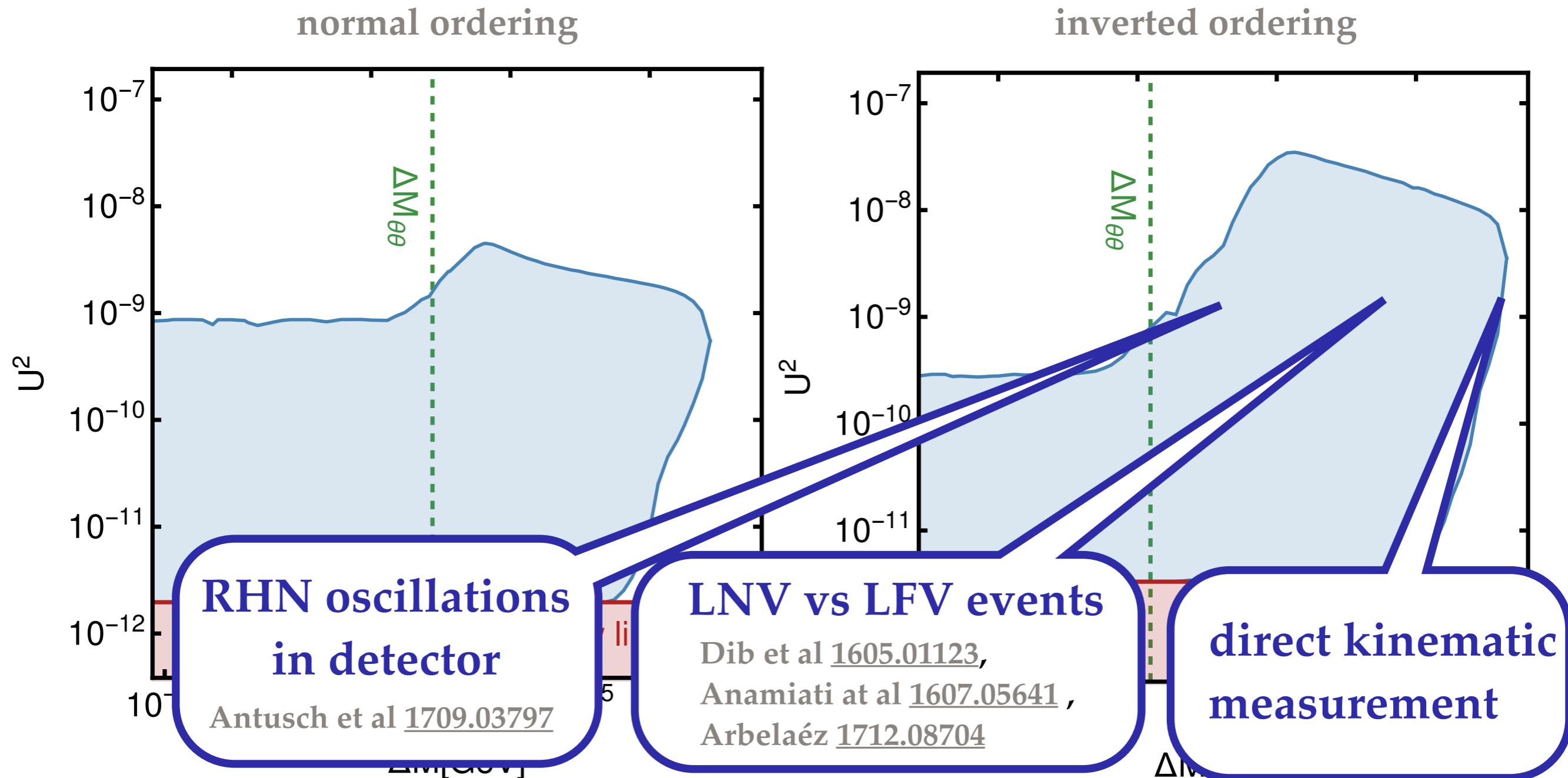
The equation $F = \frac{1}{v} U_\nu \sqrt{m_\nu^{\text{diag}} \mathcal{R} \sqrt{M^{\text{diag}}}}$ is shown with various parameters highlighted by colored boxes:

- Green boxes (top row):**
 - Higgs vev v
 - light neutrino mixing angles
 - light neutrino mass splittings
- Red boxes (bottom row):**
 - Dirac phase δ
 - Majorana phase α
 - lightest ν mass (almost) vanishes
 - complex angle ω
 - N -mass M and splitting ΔM

Leptogenesis and Heavy Neutrino Mass Splitting



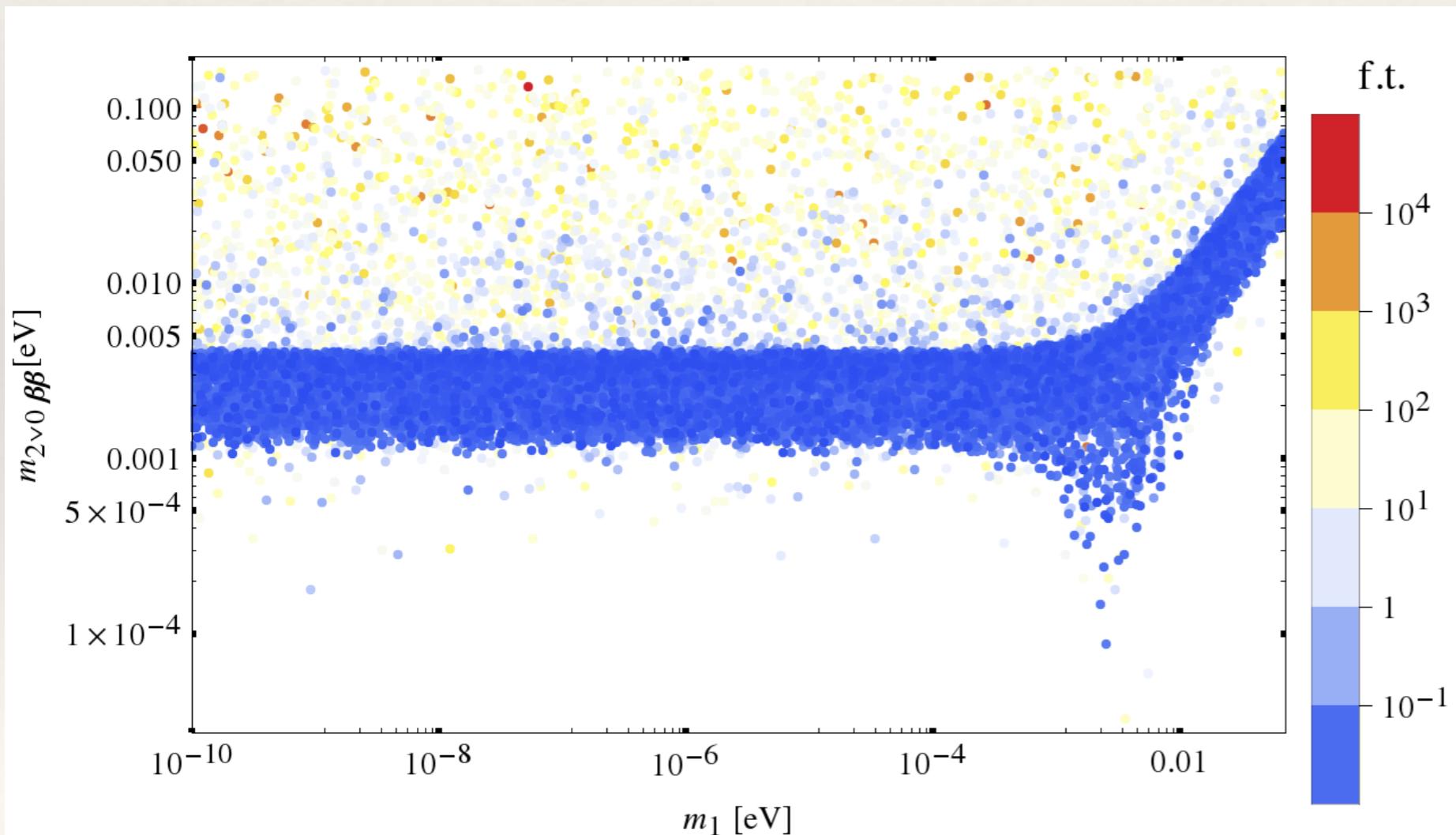
Leptogenesis and Heavy Neutrino Mass Splitting



The $0\nu\beta\beta$ Connection

Heavy neutrino exchange can dominate $0\nu\beta\beta$...
...even in the leptogenesis region
⇒ additional probe of $R_{e\omega}$!

Bezrukov [0505247](#)
Blennow et al [1005.3240](#)
Lopez Pavon et al [1209.5342](#)
MaD/Eijima [1606.06221](#),
Hernandez et al [1606.06719](#),
Asaka et al [1606.06686](#)

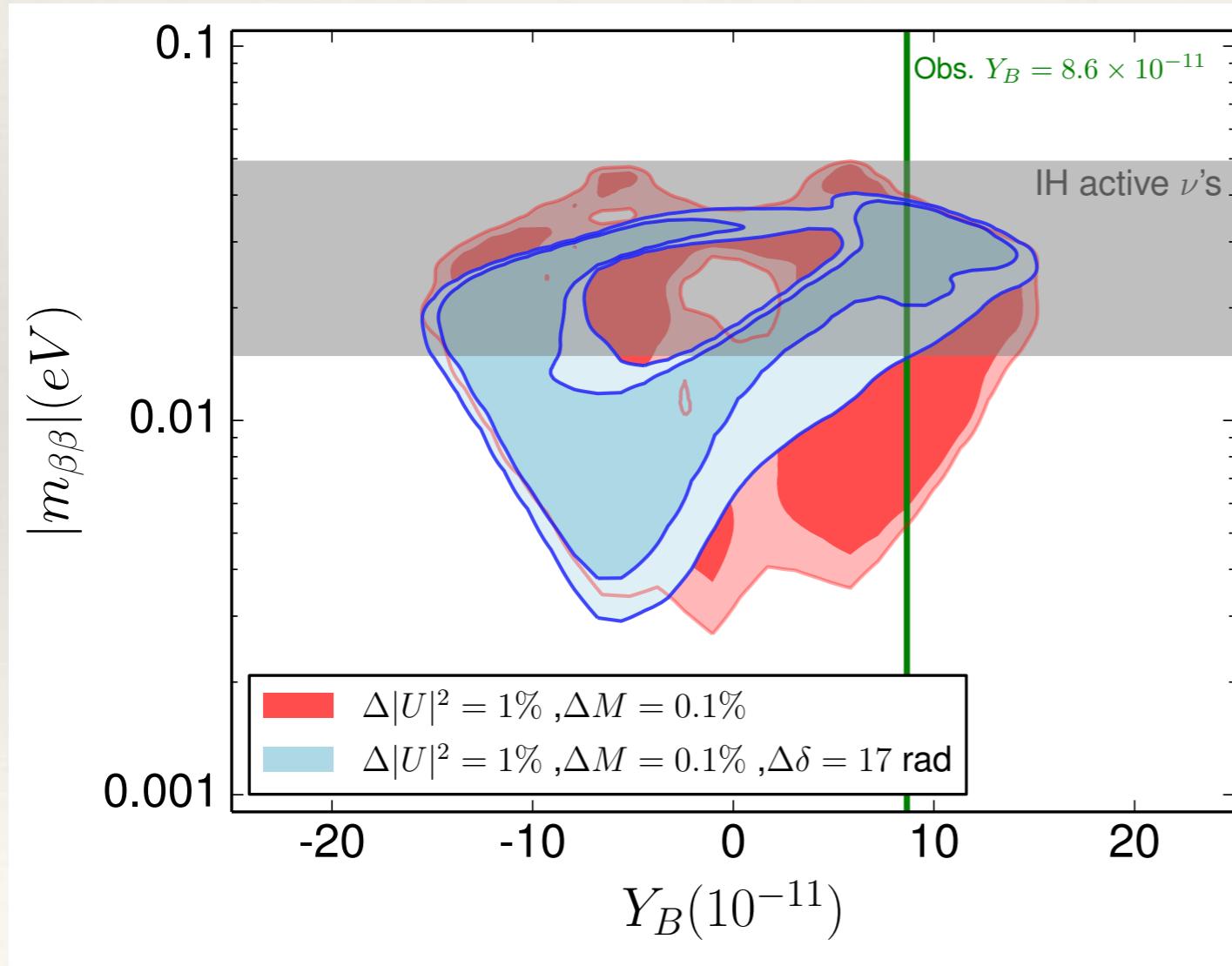


plot: preliminary work with Abada / Arcadi / Domcke / Klaric / Lucente

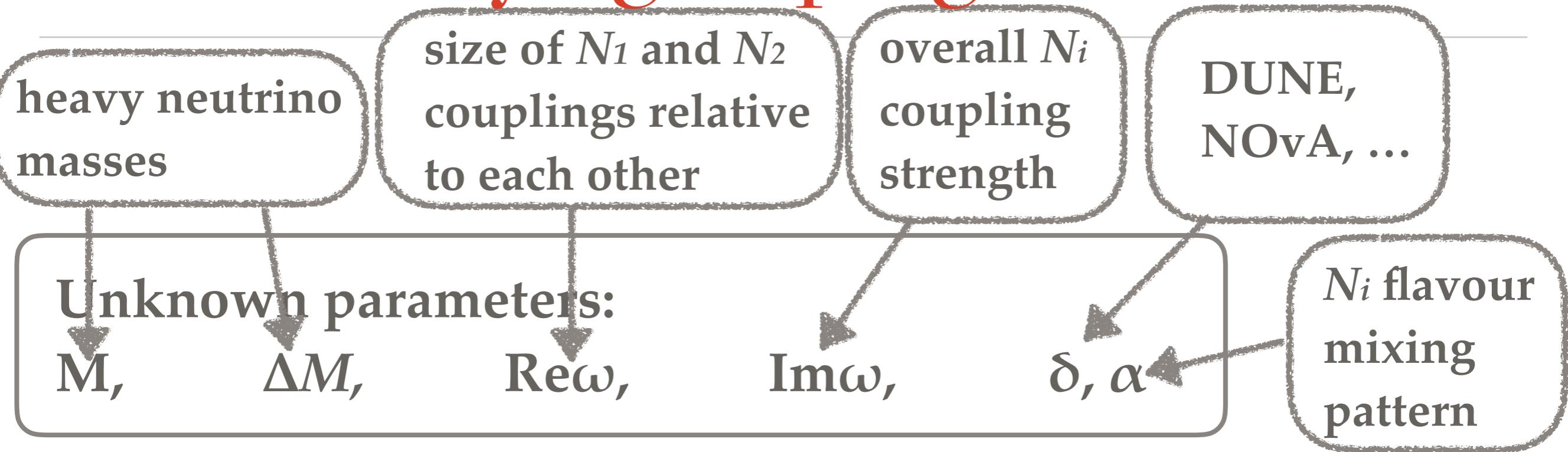
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Verifying Leptogenesis?



- In principle all parameters can be measured
 ⇒ **fully testable model of neutrino masses and baryogenesis**
- This requires a combination of collider / fixed target experiment data and ν-osc. data (and possibly $0\nu\beta\beta$)
 ⇒ **poster child example for synergy between collider and long baseline programs!**

Conclusions

- Low scale leptogenesis is inherently flavoured.
- Scenarios that are testable in collider experiments favour
 - an approximate $B-L$ symmetry
 - strong hierarchies in the mixings with individual SM flavours
- The PMNS parameters provide information about the heavy neutrino mixing pattern. In the minimal model (2 RH neutrinos) these are fully predictive.
- In the minimal model all parameters in the Lagrangian can in principle be extracted from observables
 - testable model of neutrino masses and baryogenesis
 - synergies between collider / fixed target and long baseline programs (and possibly $0\nu\beta\beta$)

A Multi-Frontier Problem

neutrino oscillation experiments
mass differences, mixings...
... hierarchy, CP violation...
...light sterile neutrinos?

absolute neutrino
mass searches
(KATRIN ect.)

neutrinoless
double β decay:
Dirac or Majorana?

fixed target
experiments
(SHiP, NA62, ...)

Collider Probes of the origin of neutrino mass

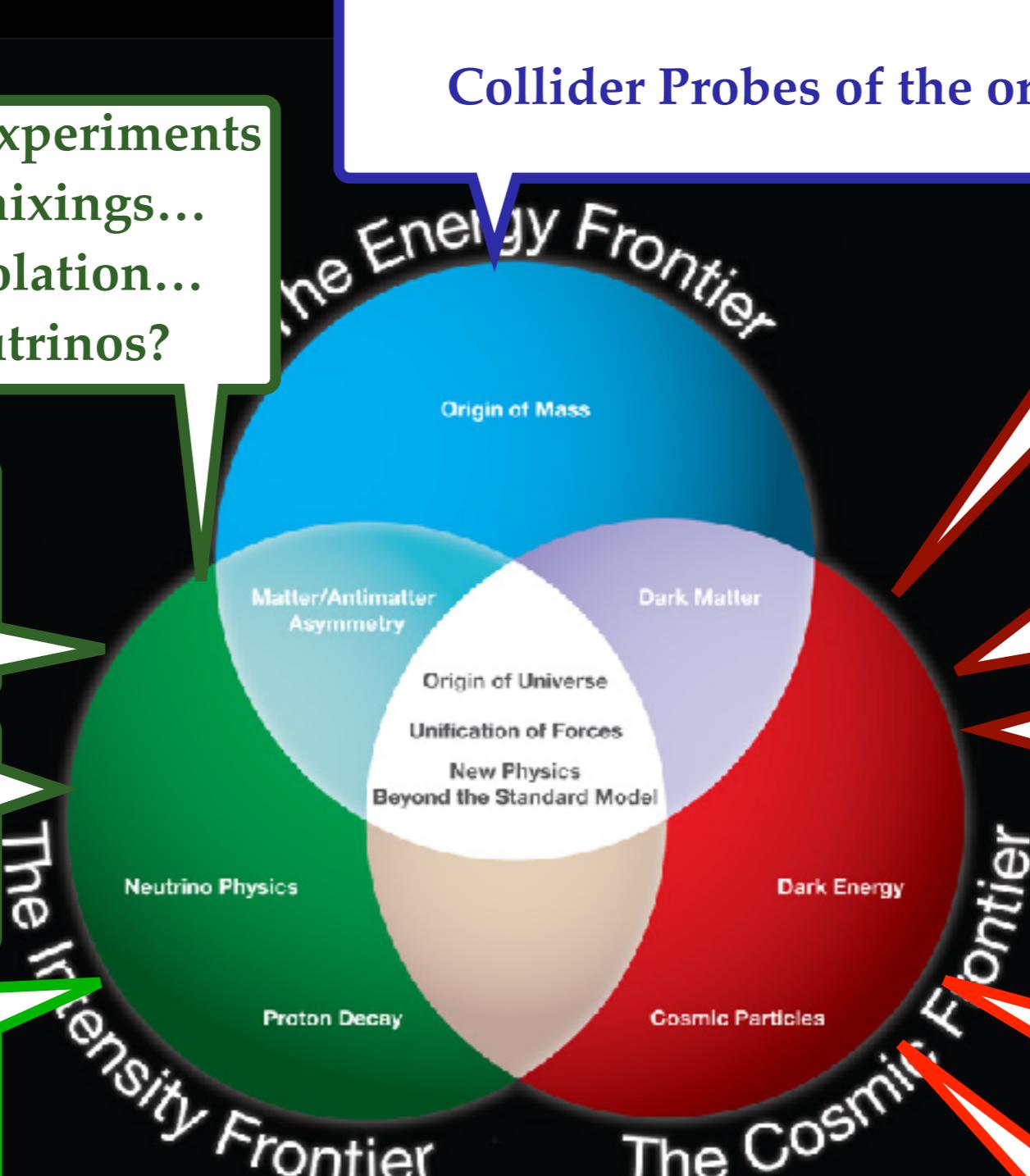
CMB and LSS :
absolute neutrino mass

CMB and BBN :
light sterile neutrinos?

IceCube
“neutrino astronomy”

Cosmic Neutrino
Background

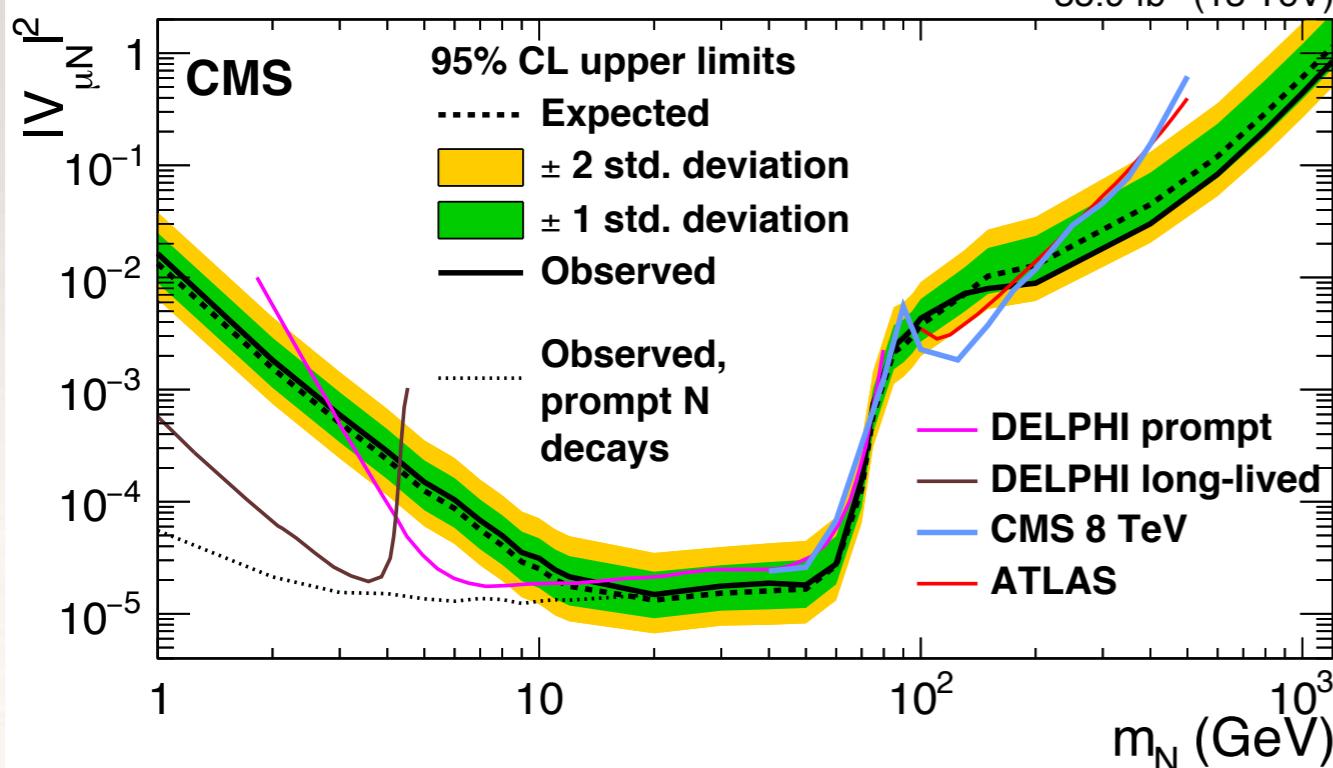
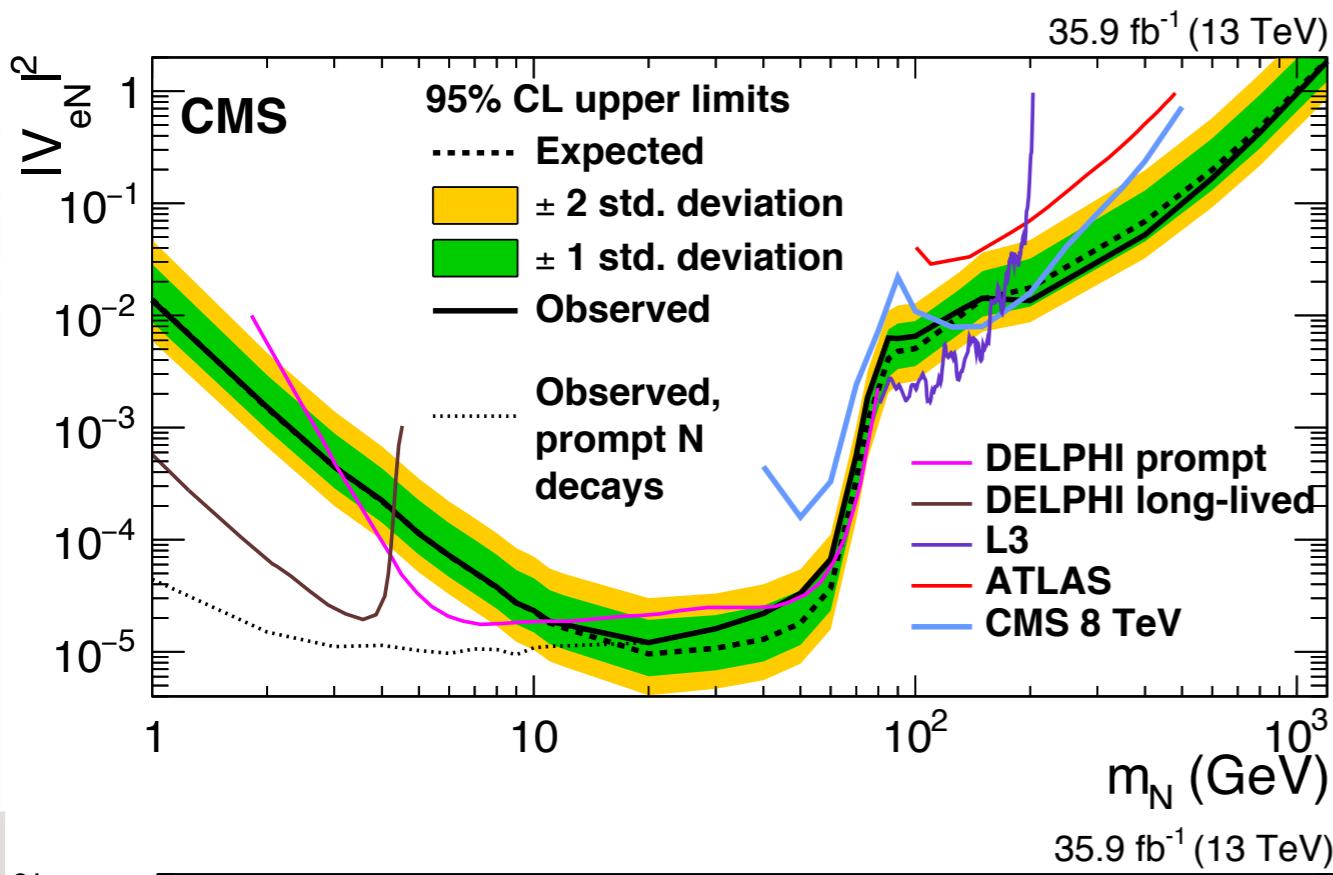
Leptogenesis?
Sterile Neutrino
Dark Matter?



Backup Slides

Experimental Searches

Minimal Type I Seesaw

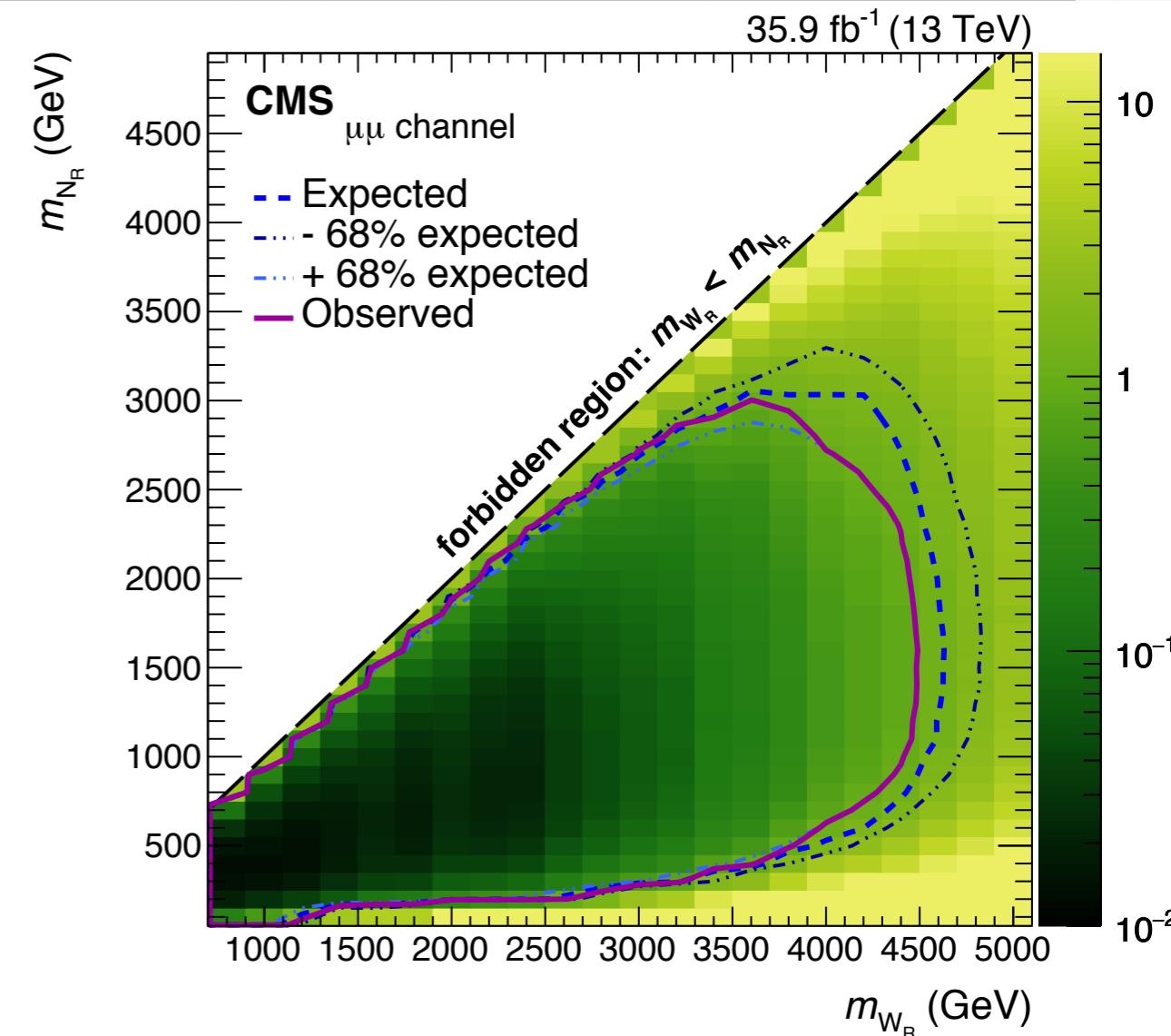
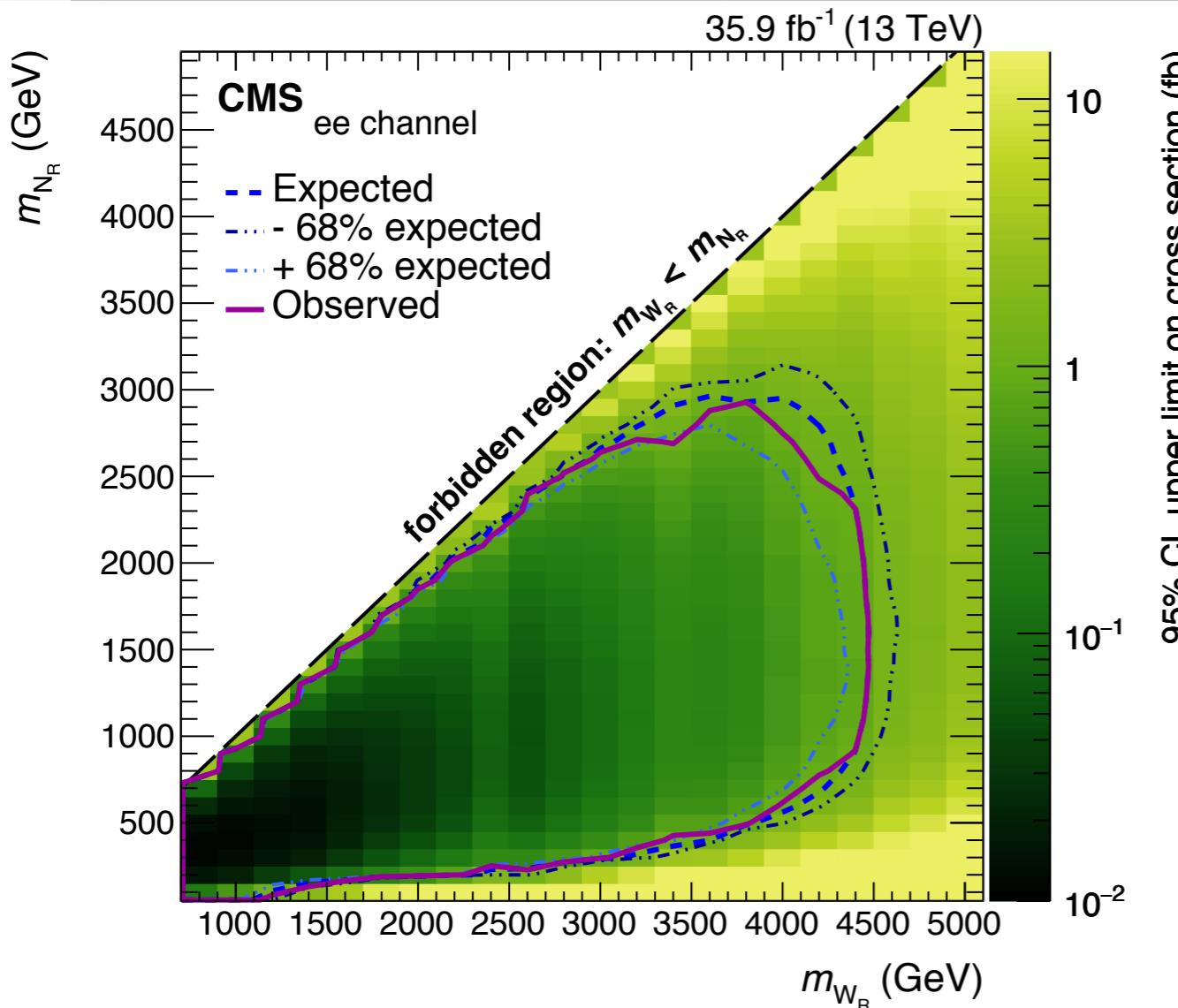


recent CMS results based on process

$$W \rightarrow N\ell \rightarrow \ell\ell\ell$$

from 1802.02965

Left-Right-Symmetric Model



recent CMS results 1803.11116 based on process

$$W_R \rightarrow \ell N \rightarrow \ell\ell W_{R^*} \rightarrow \ell\ell q\bar{q}'$$

Future Searches

- LHC upgrades
trigger upgrades
new detectors (e.g. MATHUSLA)
- fixed target experiments
NA62
SHiP
- future colliders
ILC, FCC, CEPC, SPPC

recent review: Cai/Han/Li/Ruiz [1711.02180](#)

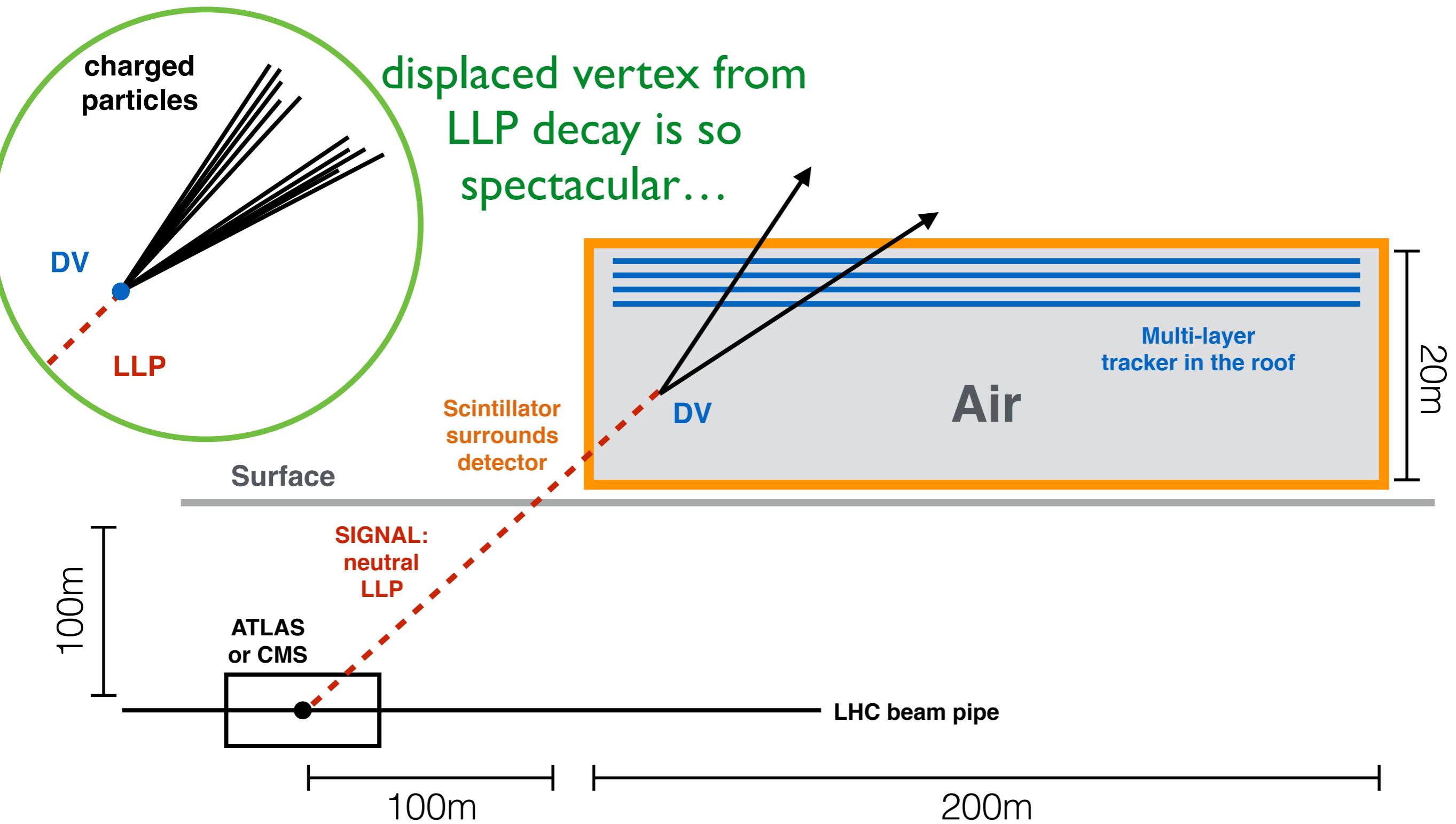
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MATIKA

MAssive Timing Hodoscope for
Ultra-Stable Neutral PArticles



Future Searches

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Future Searches

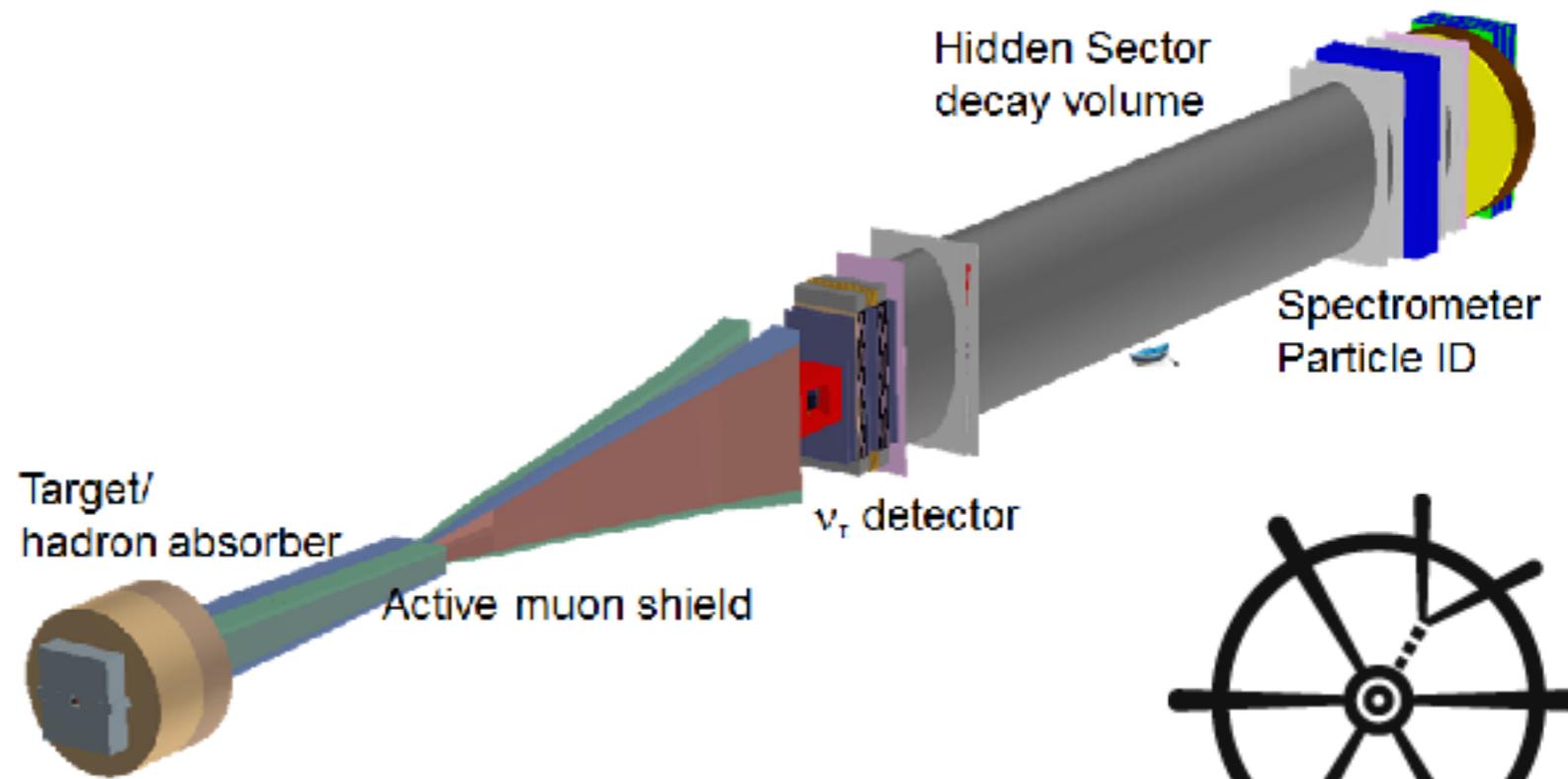
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trigger upgrades
new detectors (e.g. MATHUSLA)

- fixed target experiments

NA62

SHiP

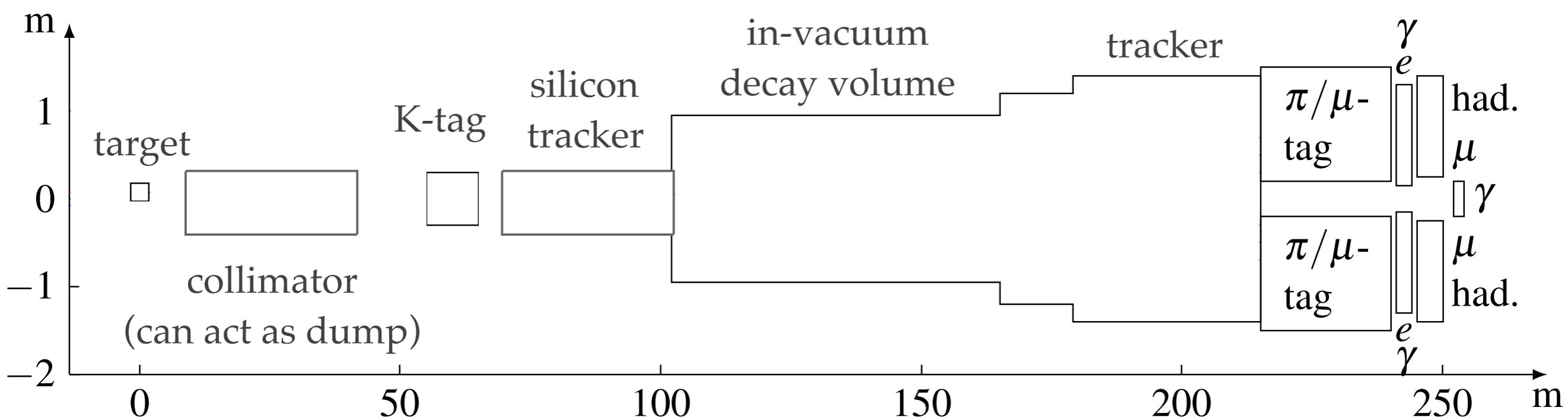
- future collider
ILC, FCC, CEP



see [1504.04956](#) , [1504.04855](#)



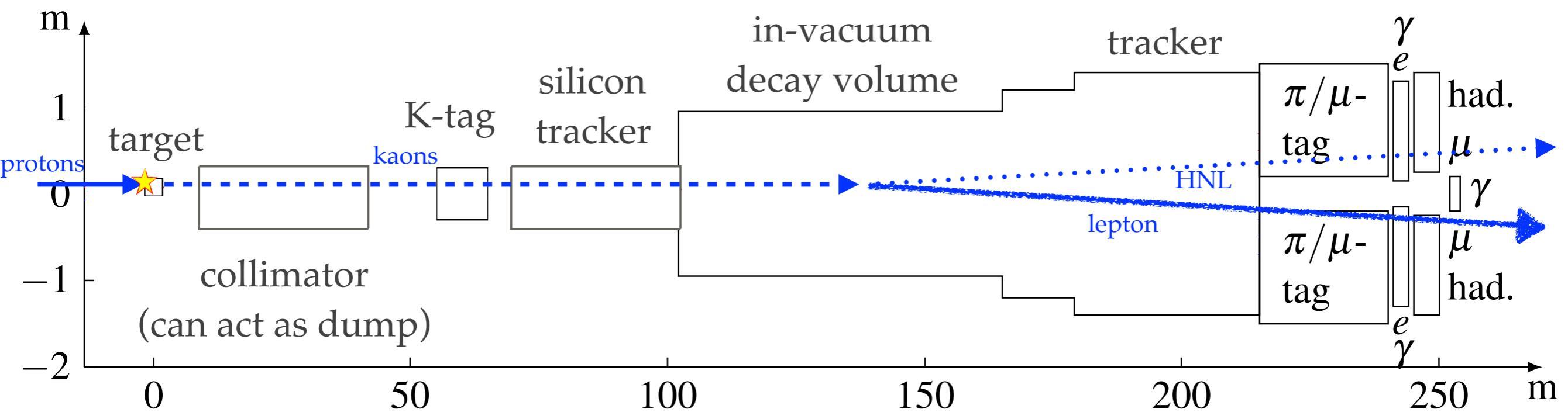
The NA62 Experiment



- fixed target experiment in CERN's North Area
- primary purpose: measure kaon decay into pion + neutrino + antineutrino

pictureFigure/picture from the NA62 collaboration

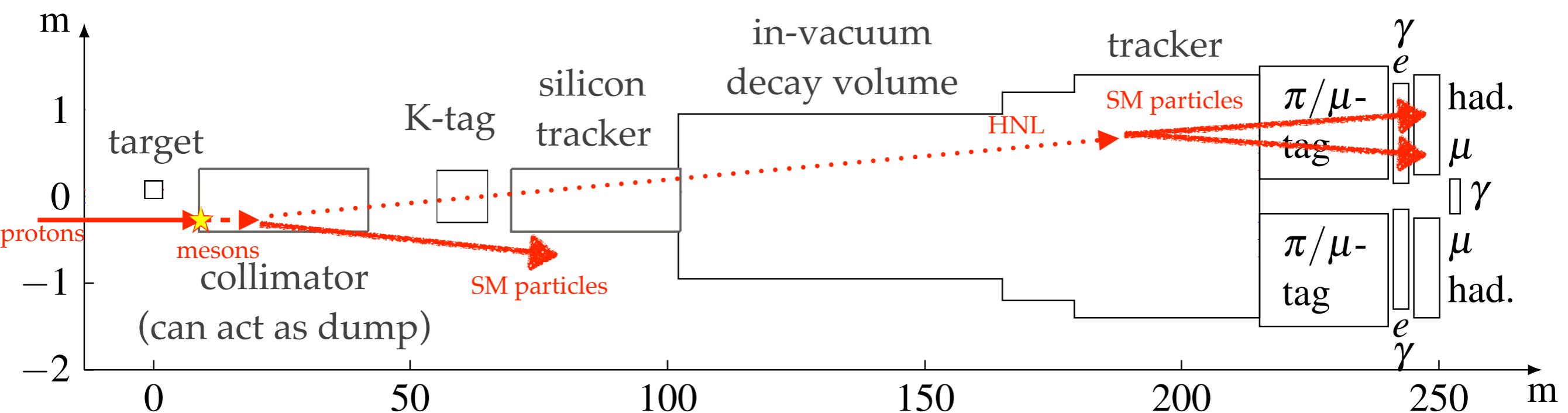
The NA62 Experiment



Target Mode: cf. [1712.00297](#) for recent results

- protons hit target \Rightarrow produce 75 GeV beam hadrons, leptons
- tag kaons
- kaons decay into HNL + lepton in the in-vacuum decay volume
 \Rightarrow **search for peak in lepton spectrum**

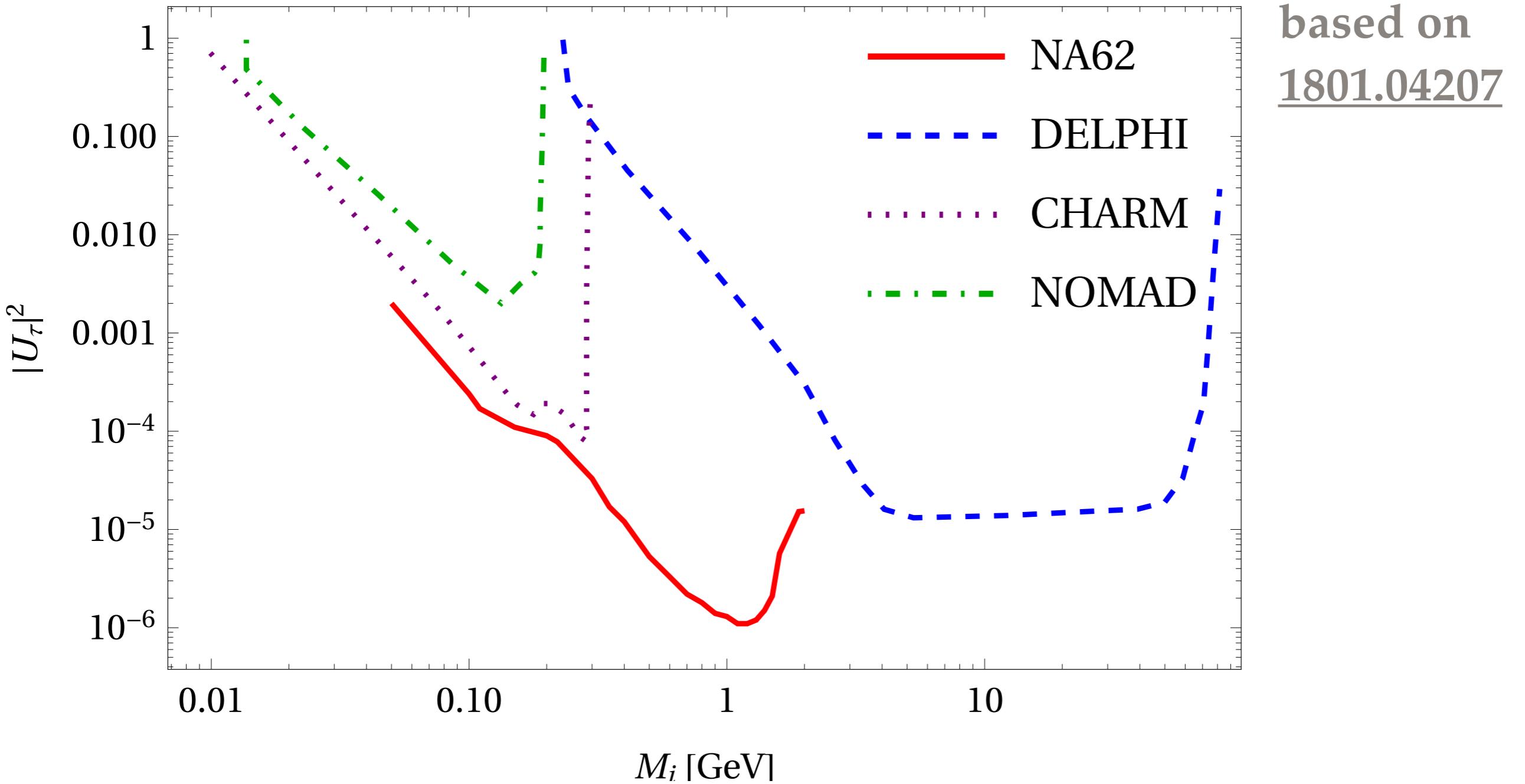
The NA62 Experiment



Dump mode

- target removed, protons hit collimator \Rightarrow produce mesons, leptons
- mesons / tauons decay into HNL + SM particles
- HNL pass all components and decay in the in-vacuum decay volume
 \Rightarrow search for decay nothing \rightarrow leptons/hadrons in vacuum chamber

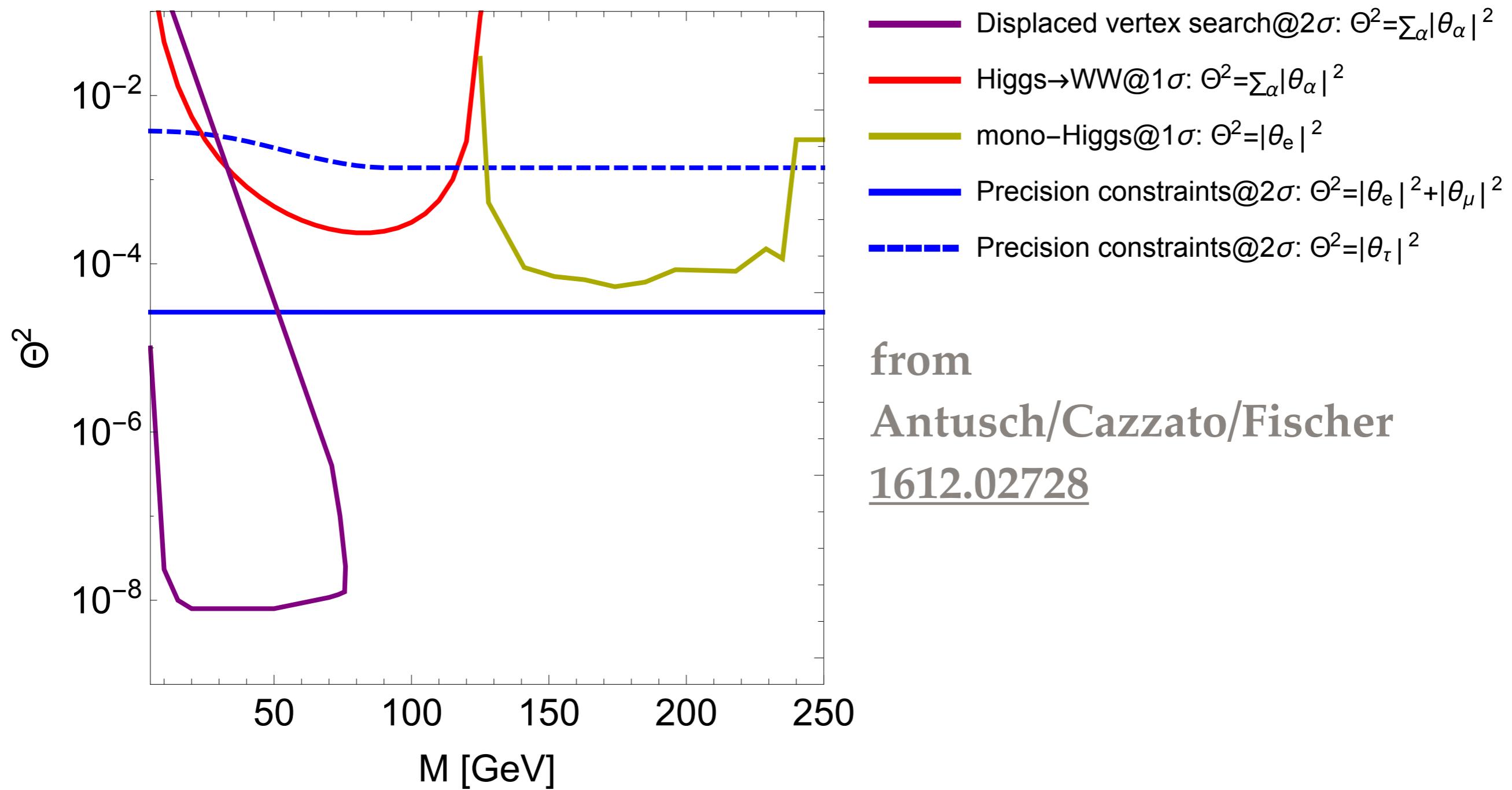
NA62 Dump Mode Sensitivity for Heavy Neutrinos



Future Searches

- LHC upgrades
 - trigger upgrades
 - new detectors (e.g. MATHUSLA)
- fixed target experiments
 - NA62
 - SHiP
- future colliders
 - ILC, FCC, CEPC, SPPC

Future Lepton Colliders: Type I



The vMSM

A Minimal Model: The νMSM

Pure Type I seesaw with RH Neutrinos below EW scale

Asaka/Shaposhnikov [0503065](#), [0505013](#)

- two RH Neutrinos have degenerate ~GeV masses
seesaw + leptogenesis

Three Generations of Matter (Fermions) spin 1/2				
	I	II	III	
mass →	2.4 MeV	1.27 GeV	171.2 GeV	
charge →	2/3	2/3	2/3	
name →	u up	c charm	t top	g gluon
Quarks	Left Right	Left Right	Left Right	
	2.4 MeV	1.27 GeV	171.2 GeV	
	-1/3	-1/3	-1/3	
	d down	s strange	b bottom	γ photon
	Left Right	Left Right	Left Right	
	0 eV	0 eV	0 eV	
	0 ν _e	0 ν _μ	0 ν _τ	
	electron neutrino	muon neutrino	tau neutrino	
Leptons	Left Right	Left Right	Left Right	
	0.511 MeV	105.7 MeV	1.777 GeV	
	-1	-1	-1	
	e electron	μ muon	τ tau	Z ⁰ weak force
	Left Right	Left Right	Left Right	
	80.4 GeV			125 GeV H Higgs boson
	±1			spin 0
	W ⁺ weak force			

$$\mathcal{L} = \mathcal{L}_{SM} + i\bar{\nu}_R \partial^\mu \nu_R - \bar{L}_L F \nu_R \tilde{H} - \tilde{H}^\dagger \bar{\nu}_R F^\dagger L$$

$$-\frac{1}{2} (\bar{\nu}^c_R M_M \nu_R + \bar{\nu}_R M_M^\dagger \nu_R^c)$$

A Minimal Model: The ν MSM

Pure Type I seesaw with RH Neutrinos below EW scale

Asaka/Shaposhnikov [0503065](#), [0505013](#)

Three Generations
of Matter (Fermions) spin $1/2$
I II III

- **No new scale**

Shaposhnikov [0708.3550](#)

Higgs potential, flavour physics may indicate this!

- **Common origin of EW and seesaw scale?**

Khoze/Ro [1307.3764](#)

- **Result of approximate B-L conservation?**

Shaposhnikov [0605047](#)

- **Ockham's razor:**

ν -masses + baryogenesis + DM

Canetti/MaD/Frossard/Shaposhnikov [1208.4607](#)

