

Scalar leptoquarks from GUT to the B -anomalies

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In collaboration with

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Motivation

- A few cracks [$\approx 2 - 3\sigma$] appeared recently in B -meson decays:

$$R_{D^{(*)}} = \frac{\mathcal{B}(B \rightarrow D^{(*)} \tau \bar{\nu})}{\mathcal{B}(B \rightarrow D^{(*)} \ell \bar{\nu})} \Big|_{\ell \in (e, \mu)} \quad \& \quad R_{D^{(*)}}^{\text{exp}} > R_{D^{(*)}}^{\text{SM}}$$

$$R_{K^{(*)}} = \frac{\mathcal{B}(B \rightarrow K^{(*)} \mu \mu)}{\mathcal{B}(B \rightarrow K^{(*)} ee)} \Big|_{q^2 \in [q_{\text{min}}^2, q_{\text{max}}^2]} \quad \& \quad R_{K^{(*)}}^{\text{exp}} < R_{K^{(*)}}^{\text{SM}}$$

\Rightarrow Violation of **L**epton **F**lavor **U**niversality (LFU)?

- This talk: (i) General considerations on leptoquark models
(ii) A viable GUT-inspired model for $R_{D^{(*)}}$ and $R_{K^{(*)}}$.

Why leptoquarks?

Is there a **model of New Physics** to explain these anomalies?

In general: difficult task (many constraints from flavor, LEP, LHC...).

see talks by Baker, Cline, Cox, Crivellin, D'Ambrosio, Faroughy
Greljo, Gripaios, Isidori, Jung, Kosnik, Ligeti, Marzocca
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⇒ Scalar and vector **leptoquarks** (LQ) are the **best candidates** so far:

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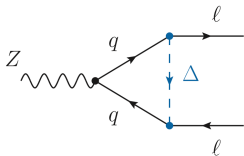
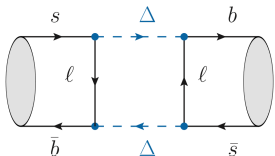
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⇒ Scalar and vector **leptoquarks** (LQ) are the **best candidates** so far:

- $\tau \rightarrow 3\mu$, $\tau \rightarrow \mu\nu\bar{\nu}$ and $\Delta F = 2$ observables are loop-suppressed.

see also [Feruglio et al. '16, '17]



- LHC constraints can be (partially) avoided for 3rd gen LQs.

see talks by Buttazzo and Greljo

NB. Conclusion mostly driven by $R_{D^{(*)}}$.

see e.g. [Buttazzo et al. '17]

Which leptoquark?

What is the scale of New Physics?

- $R_{D^{(*)}}^{\text{exp}} > R_{D^{(*)}}^{\text{SM}} \Rightarrow \Lambda_{\text{NP}} \lesssim 3 \text{ TeV}$
- $R_{K^{(*)}}^{\text{exp}} < R_{K^{(*)}}^{\text{SM}} \Rightarrow \Lambda_{\text{NP}} \lesssim 30 \text{ TeV}$

[perturbative couplings]

see also [Di Luzio et al. '17]

$R_{D^{(*)}}^{\text{exp}}$ will be the **main guideline** of my discussion

Effective theory for $b \rightarrow c\tau\bar{\nu}$

NB. w/o ν_R

$$\begin{aligned} \mathcal{L}_{\text{eff}} = & -2\sqrt{2}G_F V_{cb} \left[(1 + g_{V_L})(\bar{c}_L\gamma_\mu b_L)(\bar{\ell}_L\gamma^\mu\nu_L) + g_{V_R}(\bar{c}_R\gamma_\mu b_R)(\bar{\ell}_L\gamma^\mu\nu_L) \right. \\ & \left. + g_{S_R}(\bar{c}_L b_R)(\bar{\ell}_R\nu_L) + g_{S_L}(\bar{c}_R b_L)(\bar{\ell}_R\nu_L) + g_T(\bar{c}_R\sigma_{\mu\nu} b_L)(\bar{\ell}_R\sigma^{\mu\nu}\nu_L) \right] + \text{h.c.} \end{aligned}$$

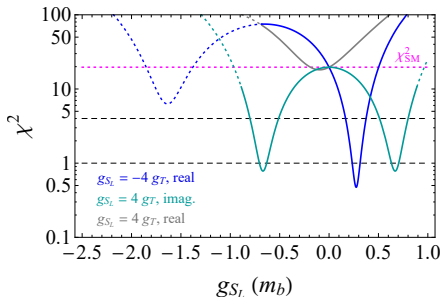
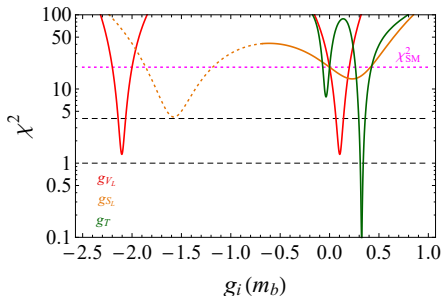
Effective theory for $b \rightarrow cT\bar{\nu}$

NB. w/o ν_R

$$\mathcal{L}_{\text{eff}} = -2\sqrt{2}G_F V_{cb} \left[(1 + g_{V_L})(\bar{c}_L \gamma_\mu b_L)(\bar{\ell}_L \gamma^\mu \nu_L) + g_{V_R}(\bar{c}_R \gamma_\mu b_R)(\bar{\ell}_L \gamma^\mu \nu_L) \right. \\ \left. + g_{S_R}(\bar{c}_L b_R)(\bar{\ell}_R \nu_L) + g_{S_L}(\bar{c}_R b_L)(\bar{\ell}_R \nu_L) + g_T(\bar{c}_R \sigma_{\mu\nu} b_L)(\bar{\ell}_R \sigma^{\mu\nu} \nu_L) \right] + \text{h.c.}$$

Few viable solutions to $R_{D^{(*)}}$:

see also talks by Crivellin, Ligeti and Watanabe



[Angelescu, Becirevic, Faroughy, OS. 1808.08179], see also [Freytsis '15]

\Rightarrow e.g. $g_{V_L} \in (0.09, 0.13)$, but not only! g_{S_L} and g_T are also viable

Model	$g_{\text{eff}}^{b \rightarrow c\tau\bar{\nu}}(\mu = m_\Delta)$	$R_{D^{(*)}}$
$S_1 = (\bar{3}, 1, 1/3)$	$g_{V_L}, g_{S_L} = -4 g_T$	✓
$R_2 = (3, 2, 7/6)$	$g_{S_L} = 4 g_T$	✓
$S_3 = (\bar{3}, 3, 1/3)$	g_{V_L}	✗
...
$U_1 = (3, 1, 2/3)$	g_{V_L}, g_{S_R}	✓
$U_3 = (3, 3, 2/3)$	g_{V_L}	✗
...

Viable models for $R_{D^{(*)}}$:

[Angelescu, Becirevic, Faroughy, OS. 1808.08179]

- U_1 (g_{V_L}), S_1 (g_{V_L} and $g_{S_L} = -4 g_T$), and R_2 ($g_{S_L} = 4 g_T \in \mathbb{C}$)
- Some models are excluded by other flavor constraints: $B \rightarrow K\nu\bar{\nu}$, Δm_{B_s} ...
- Possibility to **distinguish** them by using **other $b \rightarrow c\ell\nu$ observables!**

see talk by Watanabe

Model	$R_{D^{(*)}}$	$R_{K^{(*)}}$	$R_{D^{(*)}}$ & $R_{K^{(*)}}$
$S_1 = (\bar{3}, 1, 1/3)$	✓	✗*	✗*
$R_2 = (3, 2, 7/6)$	✓	✗*	✗
$S_3 = (\bar{3}, 3, 1/3)$	✗	✓	✗
$U_1 = (3, 1, 2/3)$	✓	✓	✓
$U_3 = (3, 3, 2/3)$	✗	✓	✗

[Angelescu, Becirevic, Faroughy, OS. 1808.08179]

see also [Barbieri et al. '15, Buttazzo et al. '17]

- Building a model that can **solve all anomalies** is a **very challenging task!**
- Only U_1 can do it, but UV completion needed (more parameters).
 ⇒ Possible in Pati-Salam models: [Di Luzio et al. '17, Bordone et al. '17...]
- Two scalar LQs can also do the job (no extra parameters):
 ⇒ S_1 and S_3 [Crivellin et al. '17, Marzocca. '18], R_2 and S_3 [Becirevic et al. '18].

A viable GUT-inspired model for $R_{D^{(*)}}$ and $R_{K^{(*)}}$

[Becirevic, Dorsner, Fajfer, Faroughy, Kosnik, OS. 1806.05689]

- Prefer scalar to vector LQ to remain minimalistic in terms of new parameters and to be able to compute loops (VLQ – need UV completion)
- One scalar LQ alone cannot accommodate all B -physics anomalies without getting into trouble with other flavor observables.

[Angelescu, Becirevic, Faroughy and OS. 1808.08179]

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- In flavor basis

$$\mathcal{L} \supset y_R^{ij} \bar{Q}_i \ell_{Rj} R_2 + y_L^{ij} \bar{u}_{Ri} L_j \tilde{R}_2^\dagger + y^{ij} \bar{Q}_i^C i\tau_2 (\tau_k S_3^k) L_j + \text{h.c.}$$

$$R_2 = (3, 2, 7/6), S_3 = (\bar{3}, 3, 1/3)$$

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- In mass-eigenstates basis

$$\begin{aligned} \mathcal{L} \supset & (V_{\text{CKM}} y_R E_R^\dagger)^{ij} \bar{u}'_{Li} \ell'_{Rj} R_2^{(5/3)} + (y_R E_R^\dagger)^{ij} \bar{d}'_{Li} \ell'_{Rj} R_2^{(2/3)} \\ & + (U_R y_L U_{\text{PMNS}})^{ij} \bar{u}'_{Ri} \nu'_{Lj} R_2^{(2/3)} - (U_R y_L)^{ij} \bar{u}'_{Ri} \ell'_{Lj} R_2^{(5/3)} \\ & - (y U_{\text{PMNS}})^{ij} \bar{d}'_{Li} \nu'_{Lj} S_3^{(1/3)} - \sqrt{2} y^{ij} \bar{d}'_{Li} \ell'_{Lj} S_3^{(4/3)} \\ & + \sqrt{2} (V_{\text{CKM}}^* y U_{\text{PMNS}})^{ij} \bar{u}'_{Li} \nu'_{Lj} S_3^{(-2/3)} - (V_{\text{CKM}}^* y)^{ij} \bar{u}'_{Li} \ell'_{Lj} S_3^{(1/3)} + \text{h.c.} \end{aligned}$$

$$R_2 = (3, 2, 7/6), S_3 = (\bar{3}, 3, 1/3)$$

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and assume

$$\underline{y_R = y_R^T \quad y = -y_L}$$

$$y_R E_R^\dagger = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & y_R^{b\tau} \end{pmatrix}, \quad U_R y_L = \begin{pmatrix} 0 & 0 & 0 \\ 0 & y_L^{c\mu} & y_L^{c\tau} \\ 0 & 0 & 0 \end{pmatrix}, \quad U_R = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta \\ 0 & \sin \theta & \cos \theta \end{pmatrix}$$

Parameters: m_{R_2} , m_{S_3} , $y_R^{b\tau}$, $y_L^{c\mu}$, $y_L^{c\tau}$ and θ

Effective Lagrangian at $\mu \approx m_{LQ}$:

- $b \rightarrow c\tau\bar{\nu}$:

NB. $\Lambda_{NP}/g_{NP} \approx 1 \text{ TeV}$

$$\propto \frac{y_L^{c\tau} y_R^{b\tau*}}{m_{R_2}^2} \left[(\bar{c}_R b_L)(\bar{\tau}_R \nu_L) + \frac{1}{4} (\bar{c}_R \sigma_{\mu\nu} b_L)(\bar{\tau}_R \sigma^{\mu\nu} \nu_L) \right] + \dots$$

- $b \rightarrow s\mu\mu$:

NB. $\Lambda_{NP}/g_{NP} \approx 30 \text{ TeV}$

$$\propto \sin 2\theta \frac{|y_L^{c\mu}|^2}{m_{S_3}^2} (\bar{s}_L \gamma^\mu b_L)(\bar{\mu}_L \gamma_\mu \mu_L)$$

- Δm_{B_s} :

$$\propto \sin^2 2\theta \frac{[(y_L^{c\mu})^2 + (y_L^{c\tau})^2]^2}{m_{S_3}^2} (\bar{s}_L \gamma^\mu b_L)^2$$

\Rightarrow Suppression mechanism of $b \rightarrow s\mu\mu$ wrt $b \rightarrow c\tau\bar{\nu}$ for **small $\sin 2\theta$** .

\Rightarrow Phenomenology suggests $\theta \approx \pi/2$ and $y_R^{b\tau}$ complex

Other notable constraints...

- $R_{e/\mu}^{K \text{ exp}} = 2.488(10) \times 10^{-5}$ [PDG], $R_{e/\mu}^{K \text{ SM}} = 2.477(1) \times 10^{-5}$ [Cirigliano 2007]

$$R_{e/\mu}^K = \frac{\Gamma(K^- \rightarrow e^- \bar{\nu})}{\Gamma(K^- \rightarrow \mu^- \bar{\nu})}$$

- $R_{\mu/e}^{D \text{ exp}} = 0.995(45)$ [Belle 2017], $R_{\mu/e}^{D^* \text{ exp}} = 1.04(5)$ [Belle 2016]

$$R_{\mu/e}^{D^{(*)}} = \frac{\Gamma(B \rightarrow D^{(*)} \mu \bar{\nu})}{\Gamma(B \rightarrow D^{(*)} e \bar{\nu})}$$

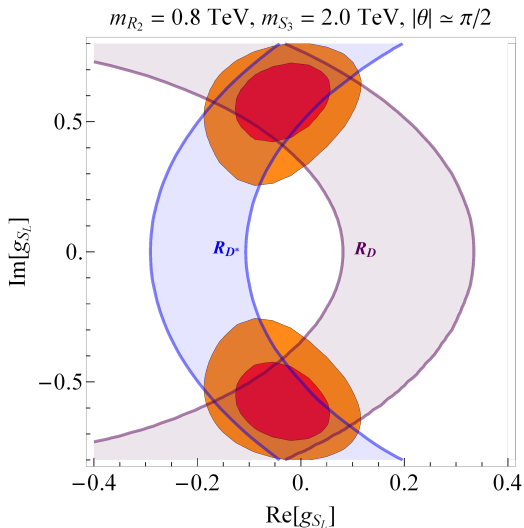
- $\mathcal{B}(\tau \rightarrow \mu \phi) < 8.4 \times 10^{-8}$ [PDG]
- Loops: $\mathcal{B}(\tau \rightarrow \mu \gamma) < 4.4 \times 10^{-8}$ [PDG]
- Loops: $\Delta m_{B_s}^{\text{exp}} = 17.7(2) \text{ ps}^{-1}$ [PDG], $\Delta m_{B_s}^{\text{SM}} = (19.0 \pm 2.4) \text{ ps}^{-1}$ [FLAG 2016]
- Loops: $Z \rightarrow \mu\mu$, $Z \rightarrow \tau\tau$, $Z \rightarrow \nu\nu$ [PDG]

$$\frac{g_V^\tau}{g_V^e} = 0.959(29), \quad \frac{g_A^\tau}{g_A^e} = 1.0019(15), \quad \frac{g_V^\mu}{g_V^e} = 0.961(61), \quad \frac{g_A^\mu}{g_A^e} = 1.0001(13)$$

$$N_\nu^{\text{exp}} = 2.9840(82)$$

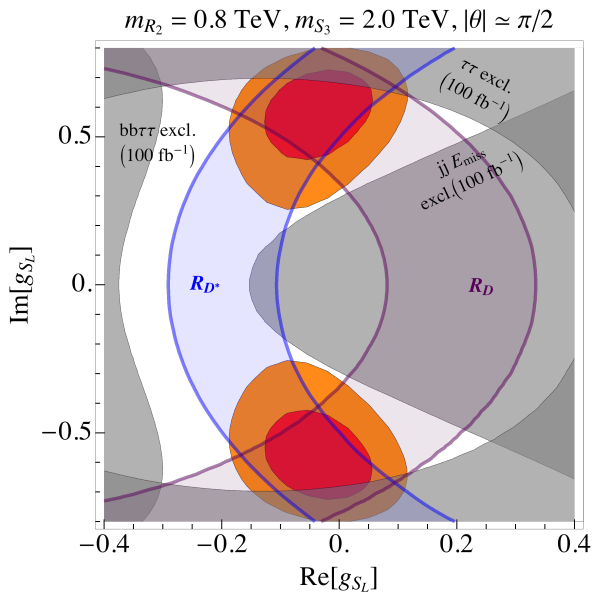
Results and predictions:

NB. $g_{S_L} = 4 g_T$

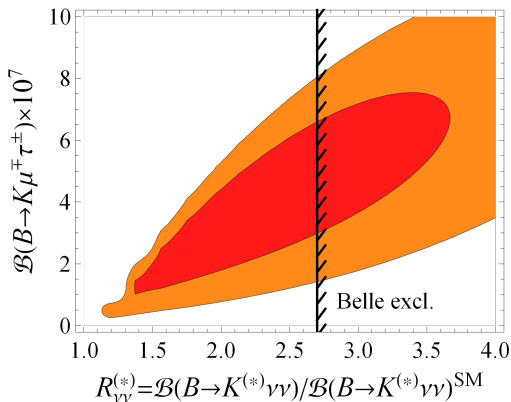


For $\text{Re}[g_{S_L}] = 0$ we get $\text{Im}[g_{S_L}] = 0.59^{+0.13(+0.20)}_{-0.14(-0.29)}$

Direct searches (projections to 100 fb^{-1})



Several distinctive predictions wrt the SM:

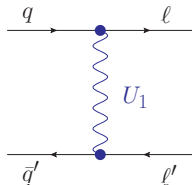
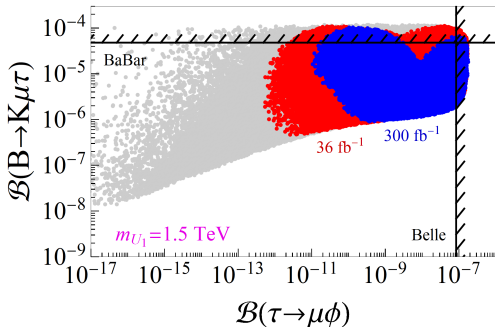


- **Enhancement** of $\mathcal{B}(B \rightarrow K \nu \bar{\nu})$ by $\gtrsim 50\%$ wrt to the SM [Belle-II]
- Upper and **lower bounds** on the **LFV** rates: $\mathcal{B}(B \rightarrow K \mu \tau) \gtrsim 2 \times 10^{-7}$

NB. $\mathcal{B}(B \rightarrow K^* \mu \tau) / \mathcal{B}(B \rightarrow K \mu \tau) \approx 1.8$, $\mathcal{B}(B \rightarrow K \mu \tau) / \mathcal{B}(B_s \rightarrow \mu \tau) \approx 1.25$
 [Becirevic, OS, Zukanovich. 1602.00881]

see also [Guadagnoli et al. '15]

- $\mathcal{B}(B \rightarrow K^{(*)}\mu\tau)$ can **confirm/refute** other solutions of the **B -anomalies** too!
- For the U_1 model: $pp \rightarrow \ell\ell$ constraints set a model independent lower bound $\mathcal{B}(B \rightarrow K\mu\tau) \gtrsim \text{few} \times 10^{-7}$ (to be improved with more data!)



- Even larger predictions found in a UV-complete model! [Bordone et al. '18].
see also [Guadagnoli et al. '15,'18]
- BaBar: $\mathcal{B}(B \rightarrow K\mu^\pm\tau^\mp) < 4.8 \times 10^{-5}$ (90%CL). **Can LHCb do better?**

Simple and viable $SU(5)$ GUT

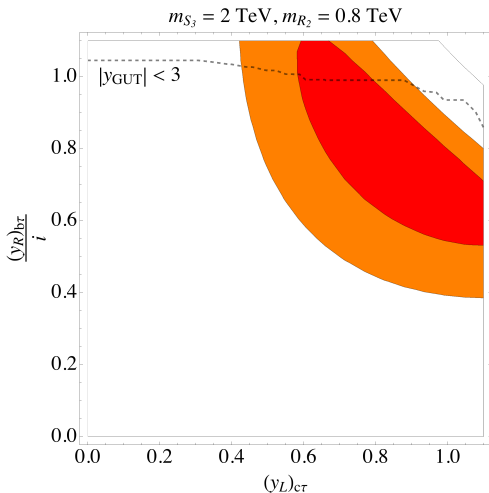
- Choice of Yukawas was biased by $SU(5)$ GUT aspirations
- Scalars: $R_2 \in \underline{45}, \underline{50}$, $S_3 \in \underline{45}$. SM matter fields in $\mathbf{5}_i$ and $\mathbf{10}_i$
- Available operators

$$\mathbf{10}_i \mathbf{5}_j \underline{45} : \quad y_2^{RL}{}_{ij} \bar{u}_R^i R_2^a \varepsilon^{ab} L_L^{j,b}, \quad y_{3ij}^{LL} \overline{Q}^i{}_{L,a} \varepsilon^{ab} (\tau^k S_3^k)^{bc} L_L^{j,c}$$

$$\mathbf{10}_i \mathbf{10}_j \underline{50} : \quad y_2^{LR}{}_{ij} \bar{e}_R^i R_2^a Q_L^{j,a}$$

- While breaking $SU(5)$ down to SM the two R_2 's mix – one can be light and the other (very) heavy. Thus our initial Lagrangian!
- Operators $\mathbf{10}_i \mathbf{10}_j \underline{45}$ forbidden to prevent proton decay [Dorsner et al 2017]
- The **Yukawas** determined from flavor physics observables at low energy **remain perturbative** ($\lesssim \sqrt{4\pi}$) up to the GUT scale, using one-loop running [Wise et al 2014]

$$16\pi^2 \frac{d \log y_R^{b\tau}}{d \log \mu} = |y_L^{c\mu}|^2 + |y_L^{c\tau}|^2 + \frac{9}{2} |y_R^{b\tau}|^2 + \frac{y_t^2}{2} + \dots$$



\Rightarrow Yukawas remain perturbative after 1-loop running to Λ_{GUT} !

Summary and perspectives

- We propose a minimalistic model with two light scalar leptoquarks. Model passes all constraints and satisfactorily accommodates B -physics anomalies.
Model is of $V - A$ structure for $b \rightarrow sll$, but NOT for $b \rightarrow cl\bar{\nu}$
- Our model is GUT inspired and allows for unification with only two light LQs.
Yukawas remain perturbative after 1-loop running to Λ_{GUT}
- Our model offers several predictions to be tested at Belle-II and LHC(b).
e.g., $2 \times 10^{-7} \lesssim \mathcal{B}(B \rightarrow K\mu\tau) \lesssim 8 \times 10^{-7}$
- Results of the direct LHC searches might soon become relevant too.
Opportunities for direct searches at LHC!
- Building a viable model which accommodates the B -physics anomalies and remains consistent with all other measured flavor observables is difficult.
Data-driven model building!

Thank you!

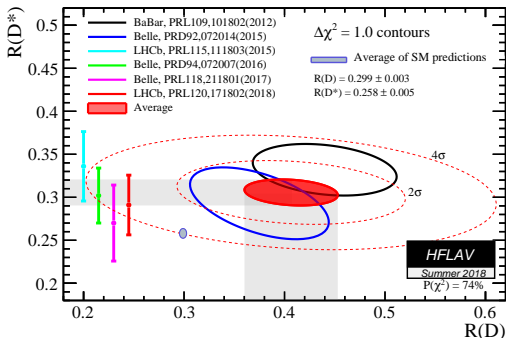
This project has received support from the European Union's Horizon 2020 research and innovation programme under the Marie Skłodowska-Curie grant agreement N^o 674896.

Back-up

$$(i) R_{D^{(*)}} = \mathcal{B}(B \rightarrow D^{(*)} \tau \bar{\nu}) / \mathcal{B}(B \rightarrow D^{(*)} \ell \bar{\nu})$$

Experiment

More intro in talk by Koppenburg



- R_D : B -factories [$\approx 2\sigma$]
- R_{D^*} : B -factories and LHCb [$\lesssim 3\sigma$]; dominated by BaBar
- LHCb confirmed tendency $R_{J/\psi}^{\text{exp}} > R_{J/\psi}^{\text{SM}}$, i.e. $B_c \rightarrow J/\psi \ell \bar{\nu}$
 \Rightarrow Needs **confirmation** from **Belle-II** (and **LHCb run-2**)!
 \Rightarrow **Other LFUV** ratios will be a **useful cross-check** ($R_{D_s}, R_{D_s^*}, R_{\Lambda_c} \dots$)

$$(i) R_{D^{(*)}} = \mathcal{B}(B \rightarrow D^{(*)} \tau \bar{\nu}) / \mathcal{B}(B \rightarrow D^{(*)} \ell \bar{\nu})$$

Theory (tree-level in SM)

See talk by Ligeti

- R_D : lattice QCD at $q^2 \neq q_{\max}^2$ ($w > 1$) available for both vector and scalar form factors [MILC 2015, HPQCD 2015]

$$\langle D(k) | \bar{c} \gamma^\mu b | B(p) \rangle = \left[(p+k)^\mu - \frac{m_B^2 - m_D^2}{q^2} q^\mu \right] f_+(q^2) + q^\mu \frac{m_B^2 - m_D^2}{q^2} f_0(q^2)$$

with $f_+(0) = f_0(0)$.

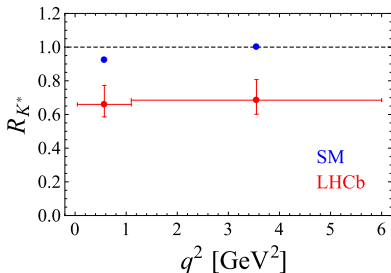
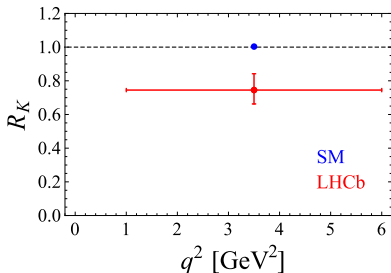
- R_{D^*} : lattice QCD at $q^2 \neq q_{\max}^2$ not available, scalar form factor $[A_0(q^2)]$ never computed on the lattice

Use *decay angular distributions* measured at B -factories to fit the *leading form factor* $[A_1(q^2)]$ and extract *two others as ratios* wrt $A_1(q^2)$. All other ratios from HQET (NLO in $1/m_{c,b}$) [Bernlochner et al 2017] but with more generous error bars (*truncation errors?*) see also [Bigi et al. '17]

$$(ii) R_{K^{(*)}} = \mathcal{B}(B \rightarrow K^{(*)} \mu \mu) / \mathcal{B}(B \rightarrow K^{(*)} e e)$$

Experiment [$\approx 4\sigma$]

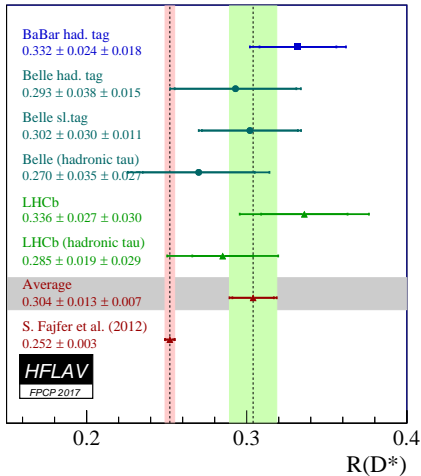
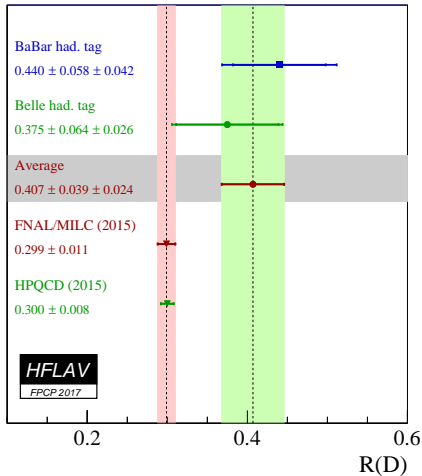
More intro in talk by Jager, Koppenburg



\Rightarrow Needs confirmation from Belle-III!

Theory (loop induced in SM)

- Hadronic uncertainties cancel to a large extent [Hiller et al. '03]
 \Rightarrow Clean observables! [working below the narrow $c\bar{c}$ resonances]
- QED corrections important, $R_{K^{(*)}} = 1.00(1)$ [Bordone et al. '16]

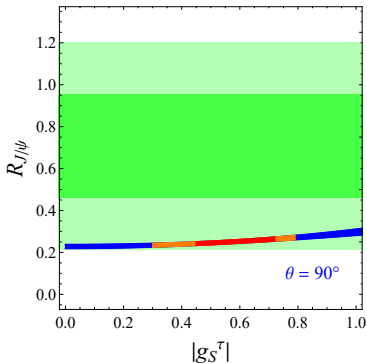
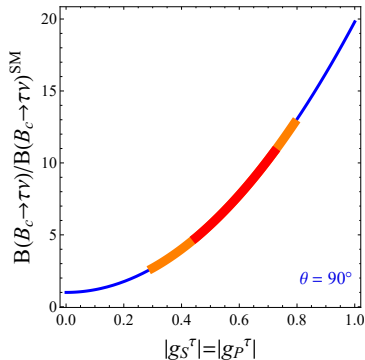


- **3.9 σ combined** deviation from the SM [theory error under control?]
- Discrepancy driven by oldest exp. results (BaBar and LHCb).
- Needs **confirmation** from **Belle-II (and LHCb run-2)**!

$$\frac{R_{D^{(*)}}}{R_{D^{(*)}}^{\text{SM}}} = 1 + a_S^{D^{(*)}} |g_S^\tau|^2 + a_P^{D^{(*)}} |g_P^\tau|^2 + a_T^{D^{(*)}} |g_T^\tau|^2 \\ + a_{SV_L}^{D^{(*)}} \text{Re}[g_S^\tau] + a_{PV_L}^{D^{(*)}} \text{Re}[g_P^\tau] + a_{TV_L}^{D^{(*)}} \text{Re}[g_T^\tau] ,$$

Decay mode	a_S^M	$a_{SV_L}^M$	a_P^M	$a_{PV_L}^M$	a_T^M	$a_{TV_L}^M$
$B \rightarrow D$	1.08(1)	1.54(2)	0	0	0.83(5)	1.09(3)
$B \rightarrow D^*$	0	0	0.0473(5)	0.14(2)	17.3(16)	-5.1(4)

Results – a few predictions



✓ OK with $\mathcal{B}(B_c \rightarrow \tau\nu) < 30\%$ [Alonso et al. '17], and $\lesssim 10\%$ [Akeroyd et al. '17]

✓ $R_{J/\psi} > R_{J/\psi}^{SM}$ increases \leftarrow new FF estimate QCDSR + latt

[Becirevic, Leljak, Melic, OS. '18]

More **exp. information** is **needed** to distinguish among them!

i) Many angular observables (e.g., A_{fb} , polarization asymmetries)

[Becirevic et al. '16]

First measurements:

○ $P_{\tau}(D^*)^{\text{exp}} = -0.38 \pm 0.51_{-0.16}^{+0.21}$ [Belle '17]

○ $F_L(D^*)^{\text{exp}} = 0.60 \pm 0.08 \pm 0.03$ [Belle '18] see talk by Adamczyk at CKM

ii) Other LFUV ratios:

○ $R_{J/\psi}, R_{D_s}, R_{D_s^*}, R_{\Lambda_c} \dots$

iii) Leptonic observables (via RGE effects)

○ $g_{V_L} \Rightarrow$ Corrections to $Z \rightarrow \ell\ell, \tau \rightarrow \mu\nu\bar{\nu}$ [Feruglio et al. 2015]

○ g_{S_L} and $g_T \Rightarrow$ Enhanced contributions to $H \rightarrow \tau\tau$ and $(g - 2)_{\tau}$

[Feruglio, Paradisi, OS. 1806.10155]

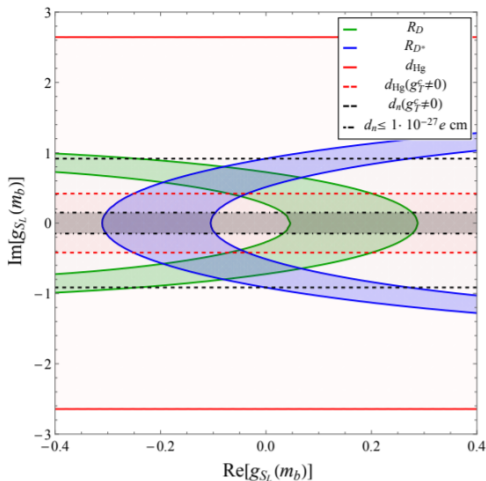


Figure 11: Contours in the $\text{Re } g_{S_L}(m_b)$ - $\text{Im } g_{S_L}(m_b)$ plane. The R_D and R_D^* contours (at 90% C.L.) are shown in green and blue, respectively. The current constraints from from the Hg EDM is shown in red, while the dark-red band is a projection for a future neutron EDM measurement assuming an order of magnitude improvement.