

Instantons in $AdS_5 \times S^5/\mathbb{Z}_k$

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(Politecnico di Torino)

Geometry, Duality and Strings, Murcia 2018

T. Hertog, M.T., T. Van Riet, JHEP **1706**, 067 (2017); D. Ruggeri, M.T., T. Van Riet, JHEP **1803** (2018) 091;
D. Ruggeri, M.T., T. Van Riet, work in progress...

Motivations: AdS/CFT duality

- 20 years ago Maldacena conjectured for the first time a correspondence between superstring theory on an anti de Sitter space-time and a CFT on its boundary

J. M. Maldacena, Adv. Theor. Math. Phys. 2 (1998) 231

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Type IIB on $\text{AdS}_5 \times S^5$

\longleftrightarrow

$\mathcal{N} = 4, D = 4, \text{SU}(N)$ SYM theory



Near horizon geometry of a stack of
overlapping N D3 branes

$$\left[g_{YM}^2 = 4\pi g_s , \quad g_s = e^\phi \right]$$

$$L_{AdS}^2 = \sqrt{4\pi g_s N} \alpha'$$

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$$L_{AdS}^2 = \sqrt{4\pi g_s N} \alpha'$$

- Effective SUGRA description: $L_{AdS}^2 \gg \alpha' , \quad g_s \ll 1$

$$g_{YM}^2 N = 4\pi g_s N \gg 1 \quad \Rightarrow \quad \text{Strongly coupled SYM}$$

- Upon compactification on the sphere, a consistent truncation to the low-lying modes:
 $D=5$, $N=8$ sugra with $SO(6)$ gauging

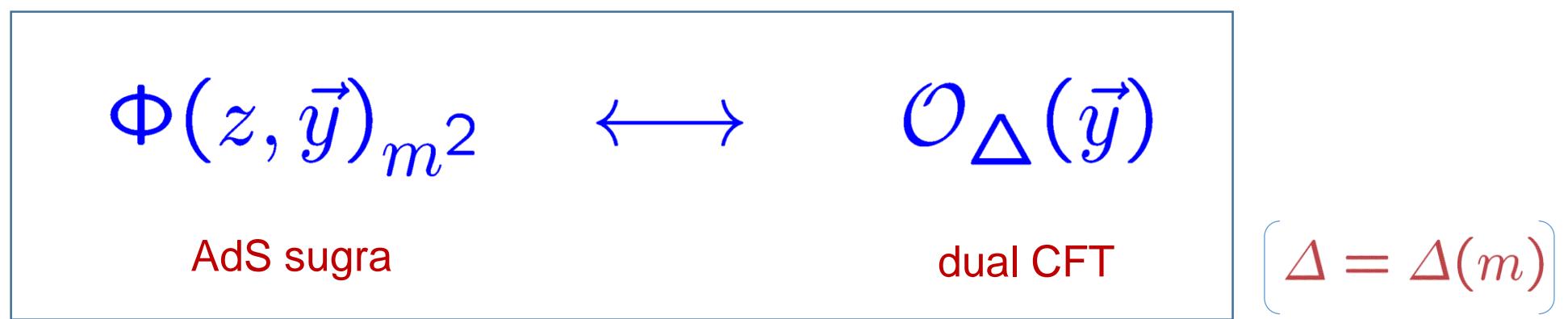
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$$ds_{AdS}^2 = \frac{\ell^2}{z^2} (dz^2 + |\vec{y}|^2)$$

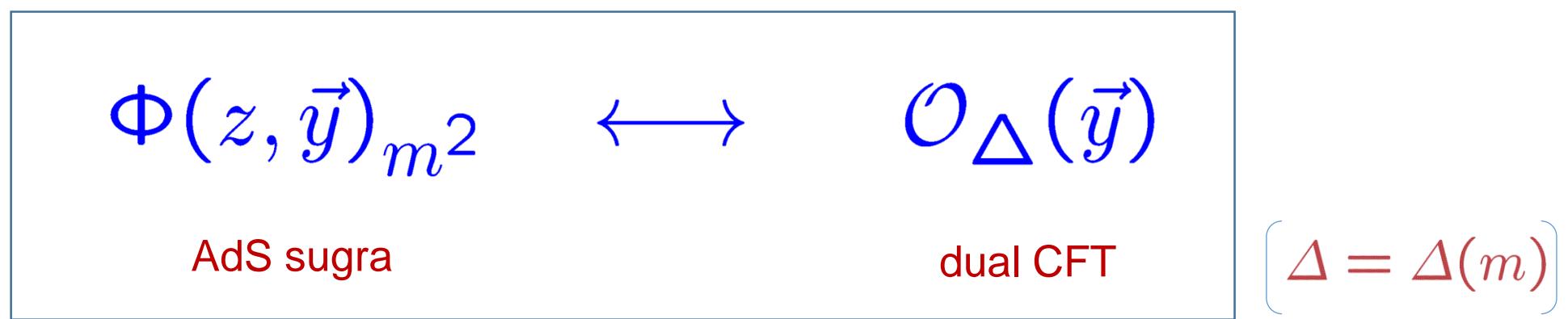
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- For scalars $m^2 \ell^2 = \Delta(\Delta - d)$, solutions $\Delta, d - \Delta \leq \Delta$

- Correspondence made more precise:

E. Witten, Adv. Theor. Math. Phys. 2 (1998) 253

S. S. Gubser, I. R. Klebanov, A. M. Polyakov, Phys.Lett. B428 (1998) 105-114

$$\Phi(z, \vec{y}) = z^{d-\Delta} \Phi_0(\vec{y}) + z^\Delta \Phi_1(\vec{y}) + \dots$$

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v.e.v. $\langle O \rangle$ in the presence of J

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- Type IIB on $\text{AdS}_5 \times \text{S}^5$ has two moduli scalars: the dilaton and the RR axion

$$m^2 = 0, \Delta = 4 \quad \left\{ \begin{array}{l} g_s = e^\phi = \frac{g_{YM}^2}{4\pi} \leftrightarrow \mathcal{O} \propto \text{Tr}(F_{\mu\nu} F^{\mu\nu}) \\ \chi = \frac{\theta_{YM}}{2\pi} \leftrightarrow \mathcal{O} \propto \text{Tr}(F_{\mu\nu}^* F^{\mu\nu}) \end{array} \right.$$

- D-instantons on EAdS₅: non trivial supersymmetric space-time axio-dilaton configurations corresponding to instanton solutions on the CFT side

$$\langle \text{Tr}(F_{\mu\nu} F^{\mu\nu}) \rangle = \pm \langle \text{Tr}(F_{\mu\nu}^* F^{\mu\nu}) \rangle$$

T.Banks, M.B. Green, [9804170](#); M. Bianchi, M.B. Green, S. Kovaks, G. Rossi, [9807033](#); C. S. Chu, P.M.Ho, Y.Y.Wu, [9806103](#);
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- More general (non-supersymmetric) instantonic solutions in Type IIB on flat and AdS backgrounds studied in

M.B. Einhorn, L.A. Pando-Zayas, 0003072 ; J. Y. Kim, Y. B. Kim, J. E. Hetrick, 90301191 ; M. Gutperle, W. Sabra, 0206153 ;
 ; E. Bergshoeff, A. Collinucci, U. Gran, D. Roest, S. Vandoren, 0406038; E. Bergshoeff, A. Collinucci, A. Ploegh, S.
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A less SUSY background

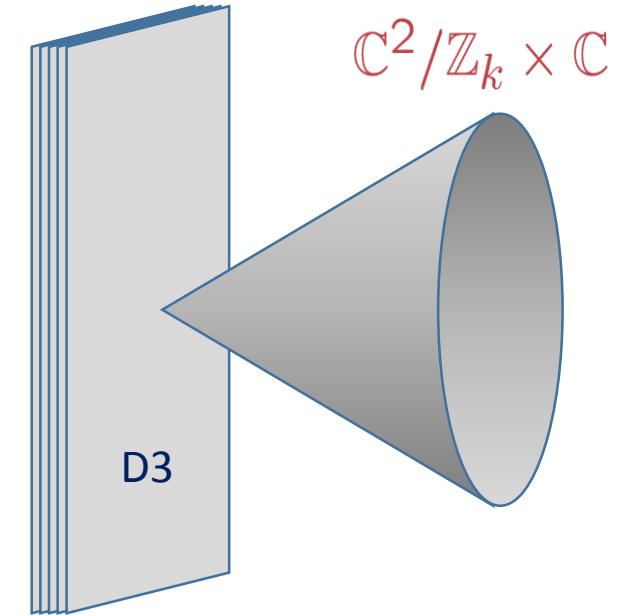
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near horizon geometry of a stack of N coinciding D3 branes on the apex of an orbifold $\mathbb{C}^2/\mathbb{Z}_k \times \mathbb{C}$

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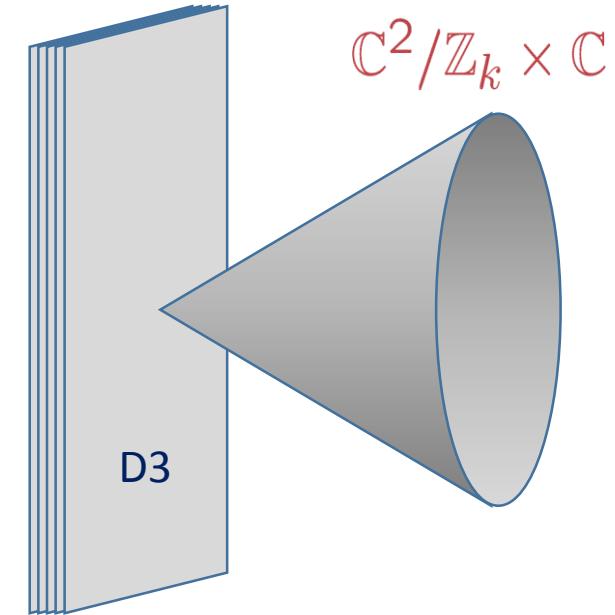


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- Background preserves 16 of the original 32 supercharges and the dual field theory is an A_{k-1} quiver gauge theory with gauge group $U(N)^k$ S. Kachru, E. Silverstein, 9802183
- Pure SUGRA analysis: construct instantons on this (Euclideanized) background

- Effective D=5 description: gauged $\mathcal{N} = 4$, $D = 5$ SUGRA

R. Corrado, M. Gunaydin, N.P. Warner, M. Zagermann, 0203057; J. Louis, H.Triendl, M. Zagermann, 1507.01623

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Gauge group is the Z_k -invariant subgroup of the S^5 isometry group

$$SO(6) \supset SU(2)_L \times SU(2)_R \times U(1)$$

$$\bigcup_{Z_k}$$

gauge group of the
half-maximal theory,
R-symmetry group
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- Twisted sector: $2 \times (k-1)$ tensor multiplets (5 scalars each) [S. Gukov, 9806180]

- Scalar potential defines an $N=4$ AdS_5 vacuum:

Untwisted sector

➤ Scalar potential defines an $N=4$ AdS_5 vacuum:

$$\left(\begin{array}{l} 1 \times g_{\mu\nu} \\ 4 \times \psi_\mu \\ (3+1) \times A_\mu \\ 2 \times B_{\mu\nu} \\ 4 \times \chi \\ 1 \times \phi \end{array} \right) + 2 \times \left(\begin{array}{l} 1 \times B_{\mu\nu} \\ 4 \times \lambda \\ 5 \times \phi \end{array} \right) + 1 \times \left(\begin{array}{l} 1 \times A_\mu \\ 4 \times \lambda \\ 5 \times \phi \end{array} \right)$$

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Twisted sector

➤ Scalar manifold:

$$\mathcal{M}_{\text{scal}} = \text{SO}(1, 1) \times \frac{\text{SO}(5, n)}{\text{SO}(5) \times \text{SO}(n)}$$

$$n = 2k + 1 \quad \boxed{k > 2}$$

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- k complex scalars $\leftrightarrow \tau_\alpha = \frac{\theta_\alpha}{2\pi} + i \frac{4\pi}{g_\alpha^2}, \quad \alpha = 1, \dots, k$, complexified coupling constants of the $N=2$ $\text{U}(N)^k$ boundary SYM theory

Instantonic solutions

- Construct finite action solutions to the effective D=5 model in Euclidean space-time:
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$$S_E = \int d^5x \mathcal{L} \quad \mathcal{L} = -\frac{\sqrt{|g|}}{2\kappa_5^2} \left(\mathcal{R} - \Lambda - \frac{1}{2} G_{IJ} \partial_\mu \phi^I \partial^\mu \phi^J \right)$$
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- Shift symmetry in the **axion fields** $\chi^i \rightarrow \chi^i + \xi^i$

- Corresponding Noether currents and conserved charges:

$$J_{\mu i} = \sqrt{|g|} G_{ij} \partial_\mu \chi^j , \quad \partial_\mu J^\mu = 0 \Rightarrow Q_i = \int_{\Sigma_\tau} n^\mu J_\mu i = \text{const.}$$

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- Charge-Q instantons: charge Q_i saddle points of Euclidean path integral expressing transition amplitudes. They exist provided we also **Wick rotate** the moduli space.

S.R. Coleman, K.M. Lee, Nucl.Phys. **B329** (1990) 387-409; N. Arkani-Hamed, J. Orgera, J. Polchinski, 0705.2760; E. Bergshoeff, A. Collinucci, A. Ploegh, S. Vandoren, T. Van Riet, 0510048

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T. Hertog, M.T., T. Van Riet, 0702.04622

- Instantons are classical solutions of the field equations from S_E which extremize the positive definite action

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$$\tilde{S} = S_{\text{grav}} + \int_{\text{EAAdS}_5} \frac{1}{2} \left(G_{ab} d\varphi^a \wedge {}^*d\varphi^b + G^{ij} F_i \wedge {}^*F_j \right) > 0$$

$$G^{ik} G_{kj} = \delta_j^i, \quad G_{ij}, G^{ij} > 0$$

- $e^{-\tilde{S}}$ computed on the solution with charges Q_i is the corresponding instanton contribution to the path integral. *It has contributions only from the boundary terms*

Instantons from geodesics

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$$\mathcal{R}_{\mu\nu} = \frac{\Lambda}{3} g_{\mu\nu} + \frac{1}{2} G_{IJ} \partial_\mu \phi^I \partial_\nu \phi^J$$

$$\ddot{\phi}^I + \Gamma_{JK}^I \dot{\phi}^J \dot{\phi}^K = 0$$

Geodesic on $\mathcal{M}_{\text{moduli}}$

$$\dot{\phi}^I \equiv \frac{d\phi^I}{d\tau}$$

- Geodesics classified by constant line element

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- $c = 0$, «extremal»: No back reaction of the scalar fields on the EAdS solution (vanishing of the e-m tensor). Instances are D(-1) branes in the near horizon geometry of coinciding D3 branes (BPS solutions)

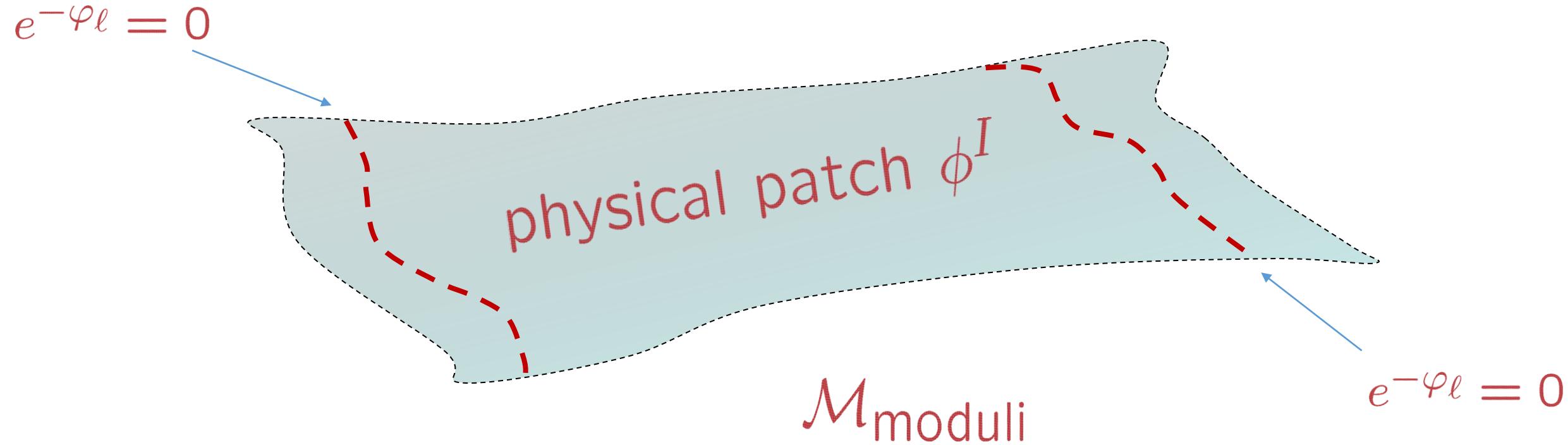
- Geodesics classified by constant line element $G_{IJ}\dot{\phi}^I\dot{\phi}^J = c$
- $c < 0$, «under-extremal»: Regular wormhole solution with asymptotic EAdS solutions connected by a throat. Possible singular behavior of the scalar fields.

First found by S. Giddings and A. Strominger, Nucl. Phys. **B306** (1988) 890

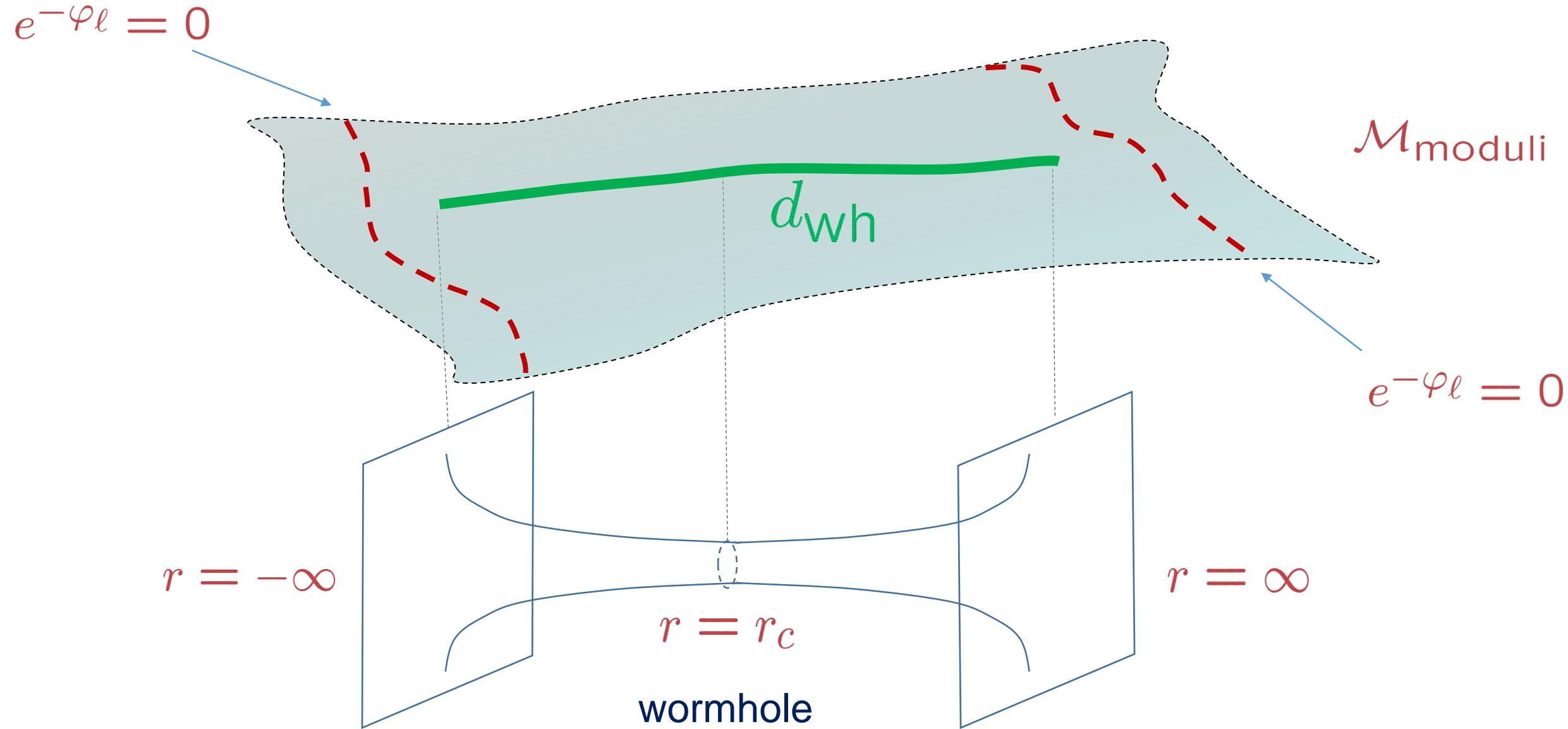
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In $AdS_5 \times S^5$: E. Bergshoeff, A. Collinucci, A. Ploegh, S. Vandoren, T. Van Riet, 0510048

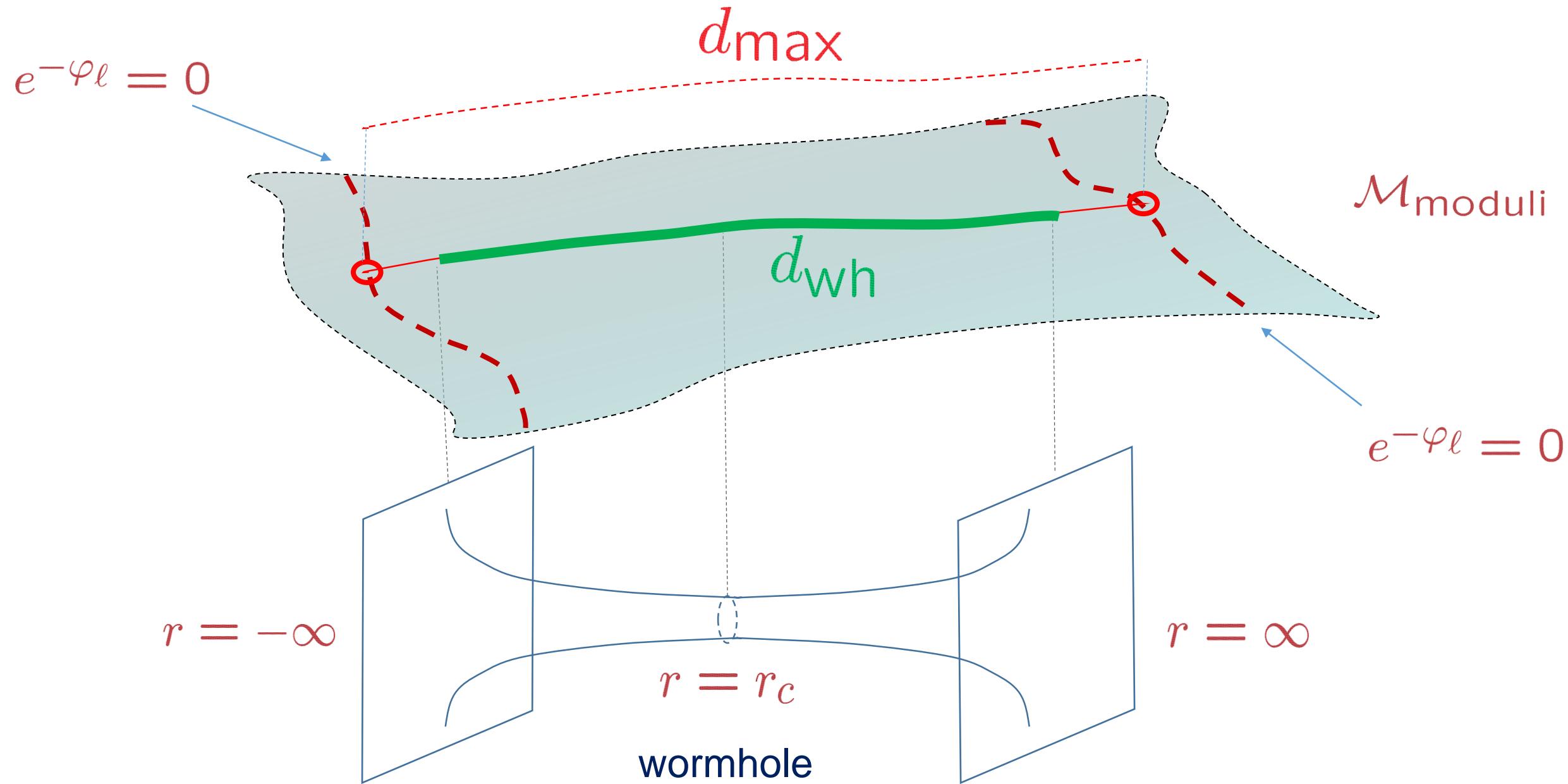
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Condition satisfied by certain geodesics in our model: There exist regular wormhole solutions in Euclidean $\text{AdS}_5 \times S^5/\mathbb{Z}_k$

T. Hertog, M.T., T. Van Riet, 0702.04622

The explicit solutions

D. Ruggeri, M.T., T. Van Riet, arXiv:1712.06081

Physical patch defined by local solvable parametrization of the moduli space

$$\mathcal{M}_{\text{moduli}} = \frac{\text{SL}(k+1)}{\text{GL}(k)} \sim e^{\text{Solv}} = O(1, 1) \ltimes e^{\text{Heis}}$$

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Coset representative: $\mathbb{L}(\phi^I) = e^{-aT_\bullet} e^{\sqrt{2}(\zeta^s T_s + \tilde{\zeta}_s T^s)} e^{2UT_0} \in e^{\text{Solv}}$

Axions are defined by maximal abelian ideal of Solv

L. Andrianopoli, R. D'Auria, S. Ferrara, P. Frè, M.T. , 9611014

$$\chi^i = \{\tilde{\zeta}_s, \tilde{a}\}, \tilde{a} \equiv a - \zeta^s \tilde{\zeta}_s$$

Define involution

$$\mathfrak{sl}(k+1) = \mathfrak{gl}(k) \oplus \mathfrak{p}$$
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$$\mathbb{Q} = \frac{1}{2} M(\phi(\tau))^{-1} \frac{d}{d\tau} M(\phi(\tau)) \in \mathfrak{sl}(k+1) \Rightarrow M(\phi(\tau)) = M(\phi(\tau=0)) e^{2\mathbb{Q}\tau}$$

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Geodesic
 $\phi^I(\tau=0) = 0, \mathbb{Q}_O$

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Generating geodesics through
the origin:

$$\mathbb{Q}_O = \left(\begin{array}{c|ccccc} 0 & m_1 & \cdots & m_{k-1} & m_0 \\ \hline p_1 & 0 & \cdots & 0 & 0 \\ \vdots & \vdots & \ddots & & \vdots \\ p_{k-1} & 0 & \cdots & 0 & 0 \\ p_0 & 0 & \cdots & 0 & 0 \end{array} \right)$$

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Noether charge matrix defined by two vectors

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Two orbits:

$$\left\{ \begin{array}{l} \vec{p} \text{ and } \vec{m} \neq \vec{0} \Rightarrow \mathbb{Q}^3 = 0 \\ \vec{p} = \vec{0} \text{ or } \vec{m} = \vec{0} \Rightarrow \mathbb{Q}^2 = 0 \end{array} \right.$$

Checked SUSY by embedding the extremal solutions in the D=5 gauged SUGRA

orbit $Q^2 = 0$: $\frac{1}{2}$ -BPS

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orbit $Q^3 = 0$: non-BPS

New

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new equation orbit $Q^2 = 0$: $\frac{1}{2}$ -BPS

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New

The generating solution

$$U = \frac{1}{2} \log \left[\frac{1}{(1 + \tau|p_0|)(1 + \tau|m_0|)} \right]$$
$$\zeta^s = -\tau \left(\frac{p_s}{\sqrt{2}(1 + \tau|p_0|)} + \frac{m_s}{\sqrt{2}(1 + \tau|m_0|)} \right)$$
$$\tilde{\zeta}_s = -\tau \left(\frac{p_s}{\sqrt{2}(1 + \tau|p_0|)} - \frac{m_s}{\sqrt{2}(1 + \tau|m_0|)} \right)$$
$$a = -\frac{1}{(1 + \tau|p_0|)} + \frac{1}{(1 + \tau|m_0|)}$$

Non-extremal solutions

$$\mu \equiv \sqrt{|\vec{m} \cdot \vec{p}|}$$

c > 0

$$\boxed{\begin{aligned} U &= \frac{1}{2} \log \left[\frac{\mu^2}{(m_0 \sinh(\mu\tau) - \mu \cosh(\mu\tau))(p_0 \sinh(\mu\tau) - \mu \cosh(\mu\tau))} \right] \\ \zeta^s &= \frac{1}{\sqrt{2}} \left[\frac{m_s}{m_0 - \mu \coth(\mu\tau)} + \frac{p_s}{p_0 - \mu \coth(\mu\tau)} \right] \\ \tilde{\zeta}_s &= \frac{1}{\sqrt{2}} \left[-\frac{m_s}{m_0 - \mu \coth(\mu\tau)} + \frac{p_s}{p_0 - \mu \coth(\mu\tau)} \right] \\ a &= -\frac{m_0}{m_0 - \mu \coth(\mu\tau)} + \frac{p_0}{p_0 - \mu \coth(\mu\tau)} \end{aligned}}$$

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- Geodesics through a generic point $\phi^I(\tau = 0) = \{U(0), a(0), \zeta^s(0), \tilde{\zeta}_s(0)\}$ obtained by acting on the generating one by means of the solvable group of isometries

$$U \rightarrow U + U(0)$$

$$\tilde{\zeta} \rightarrow \tilde{\zeta} e^{U(0)} + \tilde{\zeta}(0)$$

$$\zeta \rightarrow \zeta e^{U(0)} + \zeta(0)$$

$$a \rightarrow ae^{2U(0)} + \zeta\tilde{\zeta}(0)e^{U(0)} - \tilde{\zeta}\zeta(0)e^{U(0)} + a(0)$$

- Agreement for $k=1$ with the solutions found in

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Conclusions

- If the effective D=5 gauged SUGRA is a consistent truncation of Type IIB on $AdS_5 \times S^5/Z_k$, we constructed and classified the instantonic solutions on this background
- By suitably choosing the parameters of the geodesics, we recover the known solutions

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- Found regular wormhole solutions
- Evaluated all the on-shell actions

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Perspectives...

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- Understand string interpretation of these new solutions: Embedding the D=5 theory in D=10 by D=5 extension of '*DFT at SL(2) angles*' (F. Ciceri, G. Dibitetto, J.J. Fernandez-Melgarejo, A. Guarino, G. Inverso, [1612.05230](#))

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- Find correspondence with instanton solutions in the dual quiver SYM at the boundary (for a study of instantons in the A_{k-1} quiver theory see e.g. T.J. Hollowood, V.V. Khoze [9908035](#); R. Argurio, D. Forcella, A. Mariotti, D. Musso, C. Petersson, [1211.1884](#))

Thank You!

On-shell actions for the generating solution

$$S_{\text{on-shell}}^{(\text{real})} = \frac{\text{Vol}(S^4)}{2 \kappa_5^2} \left[|(m_0 + p_0)| \left(1 + \frac{1}{2} \left[\sum_{i=1}^{k-1} \frac{m_i^2}{m_0^2} + \sum_{i=1}^{k-1} \frac{p_i^2}{p_0^2} \right] \right) \right] \quad \underline{\mathbf{c = 0}}$$

$$S_{\text{on-shell}}^{\text{real}} = \frac{\text{Vol}(S^4)}{2 \kappa_5^2} \frac{1}{\hat{m}_0^2 \hat{p}_0^2} \text{Abs} \left[\frac{(\hat{m}_0 + \hat{p}_0)}{2} \sum_{i=1}^{k-1} (\hat{m}_0 p_i - \hat{p}_0 m_i)^2 - \mu \hat{m}_0 \hat{p}_0 (m_0 - p_0) \right],$$

$$\hat{m}_0 = m_0 - \mu, \quad \hat{p}_0 = p_0 - \mu, \quad \mu = \sqrt{\vec{m} \cdot \vec{p}}$$

$\mathbf{c > 0}$

The harmonic function for c=0

$$ds^2 = \frac{\ell^2}{z^2} \left(dz^2 + |\vec{y}|^2 \right) = \frac{dr^2}{1 + \frac{r^2}{\ell^2}} + r^2 d^2\Omega(S_4)$$

$$r(z, \vec{y}) = \frac{\sqrt{[(\ell-z)^2 + |\vec{y}|^2][(\ell+z)^2 + |\vec{y}|^2]}}{2z}$$

$$\tau(z, \vec{y}) = \frac{1}{3} r^{-3} \left(\left(1 - \frac{2r^2}{\ell^2} \right) \sqrt{1 + \frac{r^2}{\ell^2}} \right) + \frac{2}{3\ell^3}$$

$$\sqrt{|g_5|} g^{rr} \partial_r \tau = -\sqrt{|g(S_4)|}$$