



Minimal constrained superfields and the Fayet-Iliopoulos model

Yifan Chen

LPTHE, Sorbonne Université

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Karim Benakli, Y. C and Mark D. Goodsell.

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Motivation

- Supersymmetry is **spontaneously broken**.
- Below the soft mass scale, the theory is described by non-linear realizations.
- Constrained superfields are a powerful tool to **write the effective lagrange in superspace**.

Volkov-Akulov action

- Spontaneous breaking of SUSY leads to a Goldstone fermion: the **goldstino**.
- One of the **first written SUSY lagrangian**: Volkov-Akulov action (VA, 1973)

$$\mathcal{L}_{AV} = \det(E_\mu^a), \quad \text{where} \quad E_\mu^a = e_\mu^a + \left(\frac{i}{2f^2} G \sigma^a \partial_\mu \bar{G} + h.c.\right).$$

- VA action is equivalent to the general form of a **nilpotent superfield** X_{NL} (Rocek,78):

$$\mathcal{L}_{X_{NL}} = \int d^4\theta \overline{X_{NL}} X_{NL} + \left(\int d^2\theta f X_{NL} + h.c.\right), \quad \text{where} \quad X_{NL}^2 = 0.$$

Why $X_{NL}^2 = 0$?

- For a chiral superfield X :

$$X = \phi + \sqrt{2}\theta\psi + \theta\theta F,$$

- To decouple the scalar ϕ , write it in terms of ψ and F . The supersymmetry transformation reads then:

$$\begin{aligned} \delta_\epsilon \phi(\psi, F) &= \frac{\partial \phi}{\partial \psi_\alpha} \delta_\epsilon \psi_\alpha + \frac{\partial \phi}{\partial F} \delta_\epsilon F \\ \epsilon\psi &= \frac{\partial \phi}{\partial \psi_\alpha} [-i(\sigma^\mu \bar{\epsilon})_\alpha \partial_\mu \phi + \epsilon_\alpha F] - \frac{\partial \phi}{\partial F} (i\bar{\epsilon} \sigma^\mu \partial_\mu \psi). \end{aligned}$$

- The solution is $\phi = \frac{\psi\psi}{2F}$ and X becomes nilpotent:

$$X_{NL}^2 = \left(\frac{\psi\psi}{2F} + \sqrt{2}\theta\psi + \theta\theta F \right)^2 = 0.$$

Origin of $X_{NL}^2 = 0$?

Add the mass term for scalar ϕ :

$$\mathcal{L}_X = \int d^4\theta (\bar{X}X - \frac{m_\phi^2}{4f^2} \bar{X}X\bar{X}X) + (\int d^2\theta fX + h.c.),$$

- When $E \ll m_\phi$, the equation of motion leads to a **non-zero vev for the auxiliary field** and the **nilpotency**

$$\begin{aligned} X &\rightarrow X_{NL}; \\ \mathcal{L}_X &\rightarrow \mathcal{L}_{X_{NL}}. \end{aligned}$$

Matter Sector

One can use X_{NL} to impose constraint on superfields in order to (Komargodski-Seiberg, 09):

- Project out the **complex scalar** of a chiral multiplet Q

$$X_{NL}Q = 0;$$

- Project out the **fermion** of a matter multiplet \mathcal{H} or a gauge multiplet W_α

$$X_{NL}\bar{D}_{\dot{\alpha}}\bar{\mathcal{H}} = 0;$$

$$X_{NL}W_\alpha = 0;$$

- Project out the **fermion**, **one real scalar** and the **auxiliary field** of a chiral multiplet \mathcal{A}

$$X_{NL}(\mathcal{A} + \bar{\mathcal{A}}) = 0.$$

Which microscopic theory gives rise to these constraints?

Constrained Superfields for a Real Scalar

- The KS constraint for real scalar is equivalent to (Dall'Agata-Dudas-Farakos, 16):

$$\overline{X_{NL}} X_{NL} (\mathcal{A} + \overline{\mathcal{A}}) = 0;$$

$$\overline{X_{NL}} X_{NL} D_{\alpha} \mathcal{A} = 0;$$

$$\overline{X_{NL}} X_{NL} D^2 \mathcal{A} = 0.$$

- The microscopic theory DDF proposed contains three independent operators.

Minimal Constrained Superfields

If \mathcal{A}^a is in an adjoint representation:

$$-\frac{m_D}{4\sqrt{2}f^2} \int d^2\theta \bar{D}^2 D^\alpha (\overline{X_{NL}} X_{NL}) W_\alpha^a \mathcal{A}^a.$$

Equation of motion to the \mathcal{A}^a :

$$\bar{D}^2 D^\alpha (\overline{X_{NL}} X_{NL}) W_\alpha^a = 0.$$

- Acting by $\overline{X_{NL}} X_{NL} D_\beta$ to the left hand side gives

$$\overline{X_{NL}} X_{NL} W_\alpha^a = 0.$$

(uses the non-zero property of the $D\bar{D}^2 D(\overline{X_{NL}} X_{NL})$ and the nilpotency $X_{NL} D^\alpha X_{NL} = 0$).

Minimal Constrained Superfields

If \mathcal{A}^a is in an adjoint representation:

$$-\frac{m_D}{4\sqrt{2}f^2} \int d^2\theta \bar{D}^2 D^\alpha (\overline{X_{NL}} X_{NL}) W_\alpha^a \mathcal{A}^a.$$

Equation of motion to the W_α^a :

$$D_\alpha \bar{D}^2 D^\alpha (\overline{X_{NL}} X_{NL}) (\mathcal{A}^a + \overline{\mathcal{A}^a}) - [\bar{D}^2 D^\alpha (\overline{X_{NL}} X_{NL}) D_\alpha \mathcal{A}^a + h.c.] = 0.$$

- Acting by $\overline{X_{NL}} X_{NL}$ leads to $\overline{X_{NL}} X_{NL} (\mathcal{A}^a + \overline{\mathcal{A}^a}) = 0$;
- Acting by $\overline{X_{NL}} X_{NL} D_\beta$ leads to $\overline{X_{NL}} X_{NL} D_\alpha \mathcal{A}^a = 0$;
- Acting by $\overline{X_{NL}} X_{NL} D^2$ leads to $\overline{X_{NL}} X_{NL} D^2 \mathcal{A}^a = 0$;

Constrained superfield for Higgs sector

For two chiral (doublets) $H_{1,2}$ carrying opposite $U(1)$ charges, one can write the operators

$$\begin{aligned}\mathcal{O}_{H_{22}} &= \frac{a_{22}m_H}{8\sqrt{2}f} \int d^2\theta \bar{D}^2 (D^\alpha (\overline{X_{NL}} X_{NL}) D_\alpha H_1) H_2 \\ &= \frac{a_{22}m_H}{16f} \int d^2\theta \bar{D}^2 D^\alpha (\overline{X_{NL}} X_{NL}) D_\alpha H_1 H_2 + \dots,\end{aligned}$$

This leads to the constraints for **two fermions and the complex scalar in H_2** :

$$\begin{aligned}\overline{X_{NL}} X_{NL} D_\alpha H_1 &= 0; \\ \overline{X_{NL}} X_{NL} D_\alpha H_2 &= 0; \\ \overline{X_{NL}} X_{NL} H_2 &= 0.\end{aligned}$$

Constrained superfield for Higgs sector

In order to give the correct Yukawa couplings and avoid the too large $\tan\beta$, we need to supplement $\mathcal{O}_{H_{11}}$ as well as the additional operator:

$$\mathcal{O}_{H_{12}} = -\frac{a_{12}^2 m_H^2}{64f^4} \int d^2\theta \bar{D}^2 D^\alpha (\overline{X_{NL}} X_{NL}) \bar{D}^2 D_\alpha (\overline{X_{NL}} X_{NL}) H_1 H_2$$

Together one gets the constraints for the Higgsinos:

$$\overline{X_{NL}} X_{NL} D_\alpha H_1 = \overline{X_{NL}} X_{NL} D_\alpha H_2 = 0,$$

as well as the constraint for the linear combination of higgs:

$$\overline{X_{NL}} X_{NL} (a_{12}^2 H_j + a_{ii}^2 \overline{H}_i) = 0.$$

In the limit $a_{11} a_{22} - a_{12}^2 \simeq 0$, one gets a light complex scalar and the constraint for the heavy one:

$$\overline{X_{NL}} X_{NL} (a_{11} H_1 + a_{22} \overline{H}_2) = 0.$$

D-term SUSY breaking

- The previous operators all use $\bar{D}^2 D_\alpha (\overline{X_{NL}} X_{NL})$, which looks similar to the form of the field strength W_α^a of a gauge field. Can we generate it from **D-term SUSY breaking**?
- Consider Fayet-Iliopoulos model in the regime where **both gauge symmetry and supersymmetry are spontaneously broken**.

The goldstino is the only light mode in this sector.

Fayet-Iliopoulos model

In the case where both gauge symmetry and supersymmetry are spontaneously broken, we can rotate to the **superunitary gauge** where **the chiral superfield with non-zero vev in the scalar component is eaten by the gauge field**:

$$\begin{aligned} \mathcal{L}_{SU} &= \int d^2\theta \left(\frac{1}{4} W^\alpha W_\alpha + \frac{1}{\sqrt{2}} m v \Phi_+ \right) + h.c. \\ &+ \int d^4\theta \left(\overline{\Phi}_+ e^{2gV} \Phi_+ + \frac{1}{2} v^2 e^{-2gV} + 2\xi V \right) \end{aligned}$$

The equation of motion to V gives the leading order solution **proportional to $\overline{\Phi}_+ \Phi_+$** , a quartic term in Φ_+ is also generated **leading to nilpotency of Φ_+** .

Ferrara-Zumino supercurrent

- KS conjectured that the superfield X which controls the violation of the Ferrara-Zumino supercurrent $\mathcal{J}_{\alpha\dot{\alpha}}$ conservation equation:

$$\bar{D}^{\dot{\alpha}} \mathcal{J}_{\alpha\dot{\alpha}} = D_{\alpha} X$$

flows in the infrared to the superfield X_{NL} , i.e. $X \rightarrow X_{NL}$.

- In the presence of a FI term, X can be formally obtained in a gauge invariant form as [Arnold-Derendinger-Hartong, 12]:

$$X = 4W - \frac{1}{3} \bar{D}^2 \left[K + 2\xi(V + i\Lambda - i\Lambda^{\dagger}) \right].$$

- By matching the Fayet-Iliopoulos model to X_{NL} , we obtain:

$$\begin{aligned} \Phi_+ &\rightarrow \frac{g v}{\sqrt{m^2 + g^2 v^2}} X_{NL} \\ V &\rightarrow -\frac{g}{m^2 + g^2 v^2} \overline{X_{NL}} X_{NL} \end{aligned}$$

(Fixed by the θ component (goldstino) and the vev of the auxiliary fields)

Minimal Constrained Superfields

Now the origin for the minimal constrained superfields

$$-\frac{m_D}{4\sqrt{2}f^2} \int d^2\theta \bar{D}^2 D^\alpha (\overline{X_{NL}} X_{NL}) W_\alpha^a \mathcal{A}^a.$$

can be understood as the axion coupling to the kinetic mixing between two different $U(1)$ gauge fields.

$$-\frac{m_D}{f} \int d^2\theta W_{NL}^\alpha W_\alpha^{U(1)} \mathcal{A}.$$

This also leads to a relation between the supersymmetry breaking mediation scale and the axion symmetry breaking scale:

$$m_D \sim \frac{f}{\Lambda} \sim \frac{f}{f_A} \rightarrow \Lambda \sim f_A.$$

Conclusion

- Constrained superfields are a powerful tool to study the spontaneous breaking supersymmetry since **some d.o.f are projected out**.
- We show how the constraints to project out all the components of a chiral superfield **except for some scalar degrees of freedom** originate from **simple operators** in the microscopic theory.
- This is in particular useful in constructing the simplest models of **a goldstone boson/inflaton**; or extracting **the Standard Model Higgs doublet** from a supersymmetric electroweak sector.
- The operator we used can either come from **F-term breaking** or **D-term breaking** where **both gauge symmetry and supersymmetry are spontaneously broken**.
- Future: Implications for axion/inflation/higgs? Supergravity embedding?

Thanks!