Impact of final state interactions on neutrino-nucleon pion production cross sections extracted from neutrino-deuteron reaction data

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Introduction

Neutrino experiments need neutrino-nucleus pion production model



 $v_{\mu} CH \rightarrow \mu \pi^{\pm} X$

 $\langle E_{v} \rangle = 4.0 \text{ GeV}, W < 1.4 \text{ GeV}$

MINERvA PRD 92 (2015)

 \rightarrow Model goes into analysis of oscillation data

An essential ingredient of neutrino-nucleus model : elementary neutrino-nucleon model

We are still in a process of establishing the elementary neutrino-nucleon pion production model

Precision era of neutrino experiments (CP, mass hierarchy) \rightarrow models of comparable quality

Theoretical description of elementary process in resonance region (single pion productions)

Resonance excitations

Non-resonant mechanisms



Dominant $\Delta(1232)$ -excitation and sub-leading non-resonant mechanisms

- Accurate determination of $N-\Delta(1232)$ transition strength is of vital importance
- Experimental inputs are needed to examine pion production mechanisms

Vector current : photon- and electron-nucleon 1π production data Axial current : neutrino-nucleon 1π production data

Neutrino interaction data in $\Delta(1232)$ region



- ALL models fit this data by adjusting $g_{AN\Delta}$
 - \rightarrow very important data
- Discrepancy between BNL & ANL data
 - → theoretical uncertainty in neutrino-nucleus cross sections

Neutrino interaction data in $\Delta(1232)$ region



- ALL models fit this data by adjusting $g_{AN\!A}$
 - ightarrow very important data
- Discrepancy between BNL & ANL data
 - → theoretical uncertainty in neutrino-nucleus cross sections



 \rightarrow discrepancy resolved (probably)

 $\frac{\sigma(\text{CC1}\pi;\text{data})}{\sigma(\text{CC0}\pi;\text{data})} \times \sigma(\text{CCQE};\text{model})$

Flux uncertainty is cancelled out



Neutrino interaction data in $\Delta(1232)$ region



- ALL models fit this data by adjusting g_{ANA} •
 - \rightarrow very important data
- Discrepancy between BNL & ANL data •
 - \rightarrow theoretical uncertainty in neutrino-nucleus cross sections





Reanalysis of original data

 \rightarrow discrepancy resolved (probably)

 $\frac{\sigma(\text{CC1}\pi;\text{data})}{\times\sigma(\text{CCQE};\text{model})}$ $\sigma(CC0\pi;data)$

Flux uncertainty is cancelled out

 $v_{\mu}p \rightarrow \mu \pi^{+} p$ data were extracted from $v_{\mu}d \rightarrow \mu \pi^{+} p n$ data Nuclear effects matter ?

Mechanisms (including nuclear effects) for $v_u d \rightarrow \mu \pi N N$



Nuclear effect managements

Exp. Quasi-free events were (supposedly) selected in ANL and BNL analyses Theory Fermi motion considered in fixing g_{ANA} Hernandez et al. (2010), Alam et al. (2016)

Q : Should we still consider final state interactions (FSI) effects ?

FSI effects on $v_u d \rightarrow \mu \pi N N$ have been explored with a dynamical model Wu et al. (2015)

FSI effects on $v_{\mu} d \rightarrow \mu \pi^+ p n_{\mu}$

Wu, Lee, Sato, PRC91, 035203 (2015)



FSI effects on $v_{\mu} d \rightarrow \mu \pi^+ p n$ Wu, Lee, Sato, PRC91, 035203 (2015)



Wu et al. focused on quasi-free kinematics only

 \rightarrow whole phase-space need to be examined to understand FSI effects on ANL and BNL data

$$\sigma = \int dp_{N_1} dp_{N_2} dp_{\mu} dp_{\pi} \delta^{(4)} (P_i - P_f) |M|^2 \quad (7 \text{ dim. non-trivial numerical integral})$$

Numerically challenging problem

FSI effects on $v_u d \rightarrow \mu \pi^+ p n$ Wu, Lee, Sato, PRC91, 035203 (2015)



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Numerically challenging problem will be managed with Monte-Carlo integral

This work

- $v_{\mu} d \rightarrow \mu \pi NN$ cross sections of the whole phase-space are calculated with a dynamical model including FSI ; for the first time
- FSI effect on spectator momentum distribution $d\sigma/dp_s$ is examined
- Find a useful recipe to extract elementary $v_{\mu}N \rightarrow \mu \pi N$ cross sections from $v_{\mu}d \rightarrow \mu \pi NN$ spectator momentum distribution
- Extract $v_{\mu}N \rightarrow \mu \pi N$ total cross sections by correcting (flux-corrected) ANL and BNL data for FSI and Fermi motion

Future impact

→ Significantly improved elementary $v_{\mu}N \rightarrow \mu^{-}\pi N$ model to be implemented in neutrino-nucleus reaction model for oscillation experiments of the precision era

$v_{\mu} d \Rightarrow \mu \pi NN$ reaction model based on

dynamical coupled-channels model

Model for $v_{\mu} d \rightarrow \mu \pi N N$

Multiple scattering theory truncated at the first-order rescattering



Elementary amplitudes

 $W^{\pm}N \rightarrow \pi N, \ \pi N \rightarrow \pi N$ (off-shell) amplitude T_{NN} , deuteron w.f. T_{NN} , deuteron w.f. CD-Bonn potential (Machleidt et al., PRC 63 (2001))

3-dim. loop integral with off-shell amplitudes are numerically evaluated

Dynamical coupled-channels model

Kamano, SXN, Lee, Sato, PRC 88, 035209 (2013) SXN, Kamano, Lee, Sato, PRD 92, 074024 (2015)



Developed through analyzing $\gamma^{(*)}N$, $\pi N \rightarrow \pi N$, ηN , $K\Lambda$, $K\Sigma$ data (~27,000 data pts.)

$$\rightarrow$$
 Extended to $vN \rightarrow l X$ (X = πN , $\pi \pi N$, ηN , $K\Lambda$, $K\Sigma$)

Unique features

- Hadronic rescattering and channel-couplings are taken into account
 - \leftarrow requirement from the unitarity
- Interference among resonant and non-resonant mechanisms are under control within the model
- One-pion AND two-pion productions for the whole resonance region are described

$\gamma p \rightarrow \pi^0 p$ do/d

$d\sigma/d\Omega$ for W < 2.1 GeV

Comparison of DCC model with data

Kamano, Nakamura, Lee, Sato, PRC 88 (2013)



Vector current (Q²=0) for 1π Production is well-tested by data

Comparison of DCC model with single pion data



DCC model prediction is consistent with BNL data (before flux correction)

DCC model has flexibility to fit ANL data ($ANN^*(Q^2)$)

We will fit data after the issue of nuclear effects on the data is clarified

Results

$$\gamma d \Rightarrow \pi N N$$
: model predictions and data

Purpose : validate our DCC-based deuteron-reaction model



 $\gamma d \rightarrow \pi^0 pn$

 $\gamma d \rightarrow \pi^- pp$

• Large NN FSI effect for π^0 productions

← NN and deuteron wave fn. are orthogonal

- FSI effects are small for π^- productions
- Reasonable agreement with data \rightarrow reliable estimate of FSI effects on neutrino-deuteron

Data: EPJA 6, 309 (1999); 10, 365 (2001) for $\gamma d \rightarrow \pi^0 pn$, NPB 65, 158 (1973) for $\gamma d \rightarrow \pi^- pp$

Minimal information to extract $v_{\mu}N \rightarrow \mu \pi N$ cross sections

Contribution from other nucleon (spectator) is expected to be small in small p_s region



Phase-space integral for $v_{\mu} d \rightarrow \mu^{-} \pi^{+} p n$ is done with Monte-Carlo method

 \rightarrow central values with statistical errors

Minimal information to extract $v_{\mu}N \rightarrow \mu \pi N$ cross sections

Contribution from other nucleon (spectator) is expected to be small in small p_s region



Convoluted cross section ($\tilde{\sigma}$): $\frac{d\tilde{\sigma}_{\alpha}(E_{\nu})}{dp_{s}} = p_{s}^{2} \int d\Omega_{p_{s}} \frac{\sigma_{\alpha}(\tilde{E}_{\nu})}{|\Psi_{d}(\vec{p}_{s})|^{2}} \qquad \begin{array}{l} \alpha = v_{\mu}N \rightarrow \mu^{-}\pi^{+}N \\ \Psi_{d} : \text{deuteron w.f.} \\ \Psi_{d} : \text{deuteron w.f.} \end{array}$

Minimal information to extract $v_{\mu} N \rightarrow \mu \pi N$ cross sections

Contribution from other nucleon (spectator) is expected to be small in small p_s region



Difference between σ (Impulse) and $\tilde{\sigma} \rightarrow \text{contribution from the other nucleon}$ $\sigma(v_{\mu}p \rightarrow \mu^{-}\pi^{+}p) \approx 9 \times \sigma(v_{\mu}n \rightarrow \mu^{-}\pi^{+}n)$

Minimal information to extract $v_{\mu} N \rightarrow \mu \pi N$ cross sections

Contribution from other nucleon (spectator) is expected to be small in small p_s region



Small p_s region

Larger contamination of $v_{\mu}p \rightarrow \mu^{-}\pi^{+}p$ in quasi-free neutron (small p_{p}) region $\leftarrow \sigma(v_{\mu}p \rightarrow \mu^{-}\pi^{+}p) \approx 9 \times \sigma(v_{\mu}n \rightarrow \mu^{-}\pi^{+}n)$

$$v_{\mu} d \Rightarrow \mu^{-} \pi^{+} p n$$
 spectator momentum distribution

FSI effect



Naïve expectation : FSI affects high p_s region, leaving small p_s region unchanged Reality : FSI significantly reduces spectrum in small p_s (quasi-free peak) region large NN FSI effect \leftarrow orthogonality between NN scattering state and deuteron

FSI effect is small for $v_{\mu} d \rightarrow \mu \pi^0 p p$ spectator momentum distribution



Ratio of spectator momentum distribution and convoluted cross section

Other nucleon's contribution and FSI effects on the spectator momentum distributions can be seen more clearly and quantitatively

$$N_{\alpha}(E_{\nu}, p_{s}) = \frac{d\sigma_{\nu d}(E_{\nu})/dp_{s}}{d\tilde{\sigma}_{\alpha}(E_{\nu})/dp_{s}}$$

- ▲ Impulse
- Impulse + NN FSI
- **x** Impulse + NN + π N FSI



 $N_{\alpha}(E_{\nu}, p_s) \approx 1 \rightarrow$ quasi-free process dominates

 $\neq 1 \rightarrow$ other nucleon's contribution and/or FSI

$$N_{\alpha}(E_{\nu}, p_{s}) = \frac{d\sigma_{\nu d}(E_{\nu})/dp_{s}}{d\tilde{\sigma}_{\alpha}(E_{\nu})/dp_{s}}$$

- ▲ Impulse
- Impulse + NN FSI
- **x** Impulse + NN + π N FSI



- NN FSI effect is larger for smaller E_{v}
- πN FSI is large correction to quasi-free $v_{\mu} n \rightarrow \mu^{-} \pi^{+} n$; $\sigma(\pi^{+} p \rightarrow \pi^{+} p) \approx 9 \times \sigma(\pi^{+} n \rightarrow \pi^{+} n)$
- FSI effects depend on spectator momentum



Phenomenological formula fitted to $N_{\alpha}(E_{\nu}, p_{s})$ is practically useful

- From $d\sigma_{vd}(E_v)/dp_s$ data, $d\tilde{\sigma}_{\alpha}(E_v)/dp_s$ can be extracted with FSI taken into account
- Model can be easily tested against $d\tilde{\sigma}_{\alpha}(E_{\nu})/dp_{s}$
- $d\sigma_{vd}(E_v)/dp_s$ data may be obtained in future neutrino-deuteron experiment INT embedded workshop, June 25-29, 2018
- $N_{\alpha}(E_{\nu}, p_s)$ is ratio

 \rightarrow model dependence from using DCC $v_{\mu}N \rightarrow \mu^{-}\pi N$ model is expected to be small

$$N_{\alpha}(E_{\nu}, p_{s}) \equiv \frac{d\sigma_{\nu d}(E_{\nu})/dp_{s}}{d\tilde{\sigma}_{\alpha}(E_{\nu})/dp_{s}}$$

- ▲ Impulse
- Impulse + NN FSI
- **x** Impulse + NN + π N FSI



$$N_{\alpha}^{\text{fit}}(E_{\nu}, p_s) = A_{\alpha}(E_{\nu}) + B_{\alpha}(E_{\nu})p_s \qquad A_{\alpha}(x) = \frac{a_{\alpha}x^2 + b_{\alpha}x + c_{\alpha}}{x^2 + d_{\alpha}x + e_{\alpha}}, \quad B_{\alpha}(x) = \frac{f_{\alpha}x + g_{\alpha}}{x + h_{\alpha}}$$

Parameters $(a_{\alpha} - h_{\alpha})$ are fitted to $N_{\alpha}(E_{\nu}, p_s)$ over $p_s < 50$ MeV and $0.4 < E_{\nu} < 2$ GeV

- Significant FSI effects on spectator momentum distributions of $v_{\mu} d \rightarrow \mu \pi^+ p n$ in quasi-free peak region
- (Flux-corrected) ANL and BNL data have not been corrected for FSI but need to be
- We extract $v_{\mu}N \rightarrow \mu \pi N$ cross section from flux-corrected ANL and BNL data by further correcting it for FSI and Fermi-motion
- Details of ANL and BNL analyses have been lost

ightarrow A reasonable assumption needs to be made

Procedure (temporary; still under study)

1. Fit $d\sigma_{vd}(E_v)/dp_s$ with $\sigma_{vN}^{\text{fit}}(E_v)$ so that $\int_0^{p_s^{\text{max}}} dp_s \frac{d\sigma_{vd}(E_v)}{dp_s} \approx \int_0^{p_s^{\text{max}}} d^3p_s \sigma_{vN}^{\text{fit}}(E_v) |\Psi_d(\vec{p}_s)|^2$



Procedure (temporary; still under study)

1. Fit $d\sigma_{vd}(E_v)/dp_s$ with $\sigma_{vN}^{\text{fit}}(E_v)$ so that $\int_0^{p_s^{\text{max}}} dp_s \frac{d\sigma_{vd}(E_v)}{dp_s} \approx \int_0^{p_s^{\text{max}}} d^3p_s \sigma_{vN}^{\text{fit}}(E_v) |\Psi_d(\vec{p}_s)|^2$

Assumption:

For $p_s < p_s^{\text{max}}$ (small p_s region including quasi-free peak), $d\sigma_{vd}(E_v)/dp_s$ is from quasi-free $v_{\mu}N \Rightarrow \mu \pi N$ process (no effect from the other nucleon) and mostly follows Fermi motion shape, $|\Psi_d(\vec{p}_s)|^2$

Procedure (temporary; still under study)

1. Fit $d\sigma_{vd}(E_v)/dp_s$ with $\sigma_{vN}^{\text{fit}}(E_v)$ so that $\int_0^{p_s^{\text{max}}} dp_s \frac{d\sigma_{vd}(E_v)}{dp_s} \approx \int_0^{p_s^{\text{max}}} d^3p_s \sigma_{vN}^{\text{fit}}(E_v) |\Psi_d(\vec{p}_s)|^2$

2. Ratio $\sigma_{vN}(E_v) / \sigma_{vN}^{\text{fit}}(E_v)$ is the correction factor to be multiplied to

flux-corrected ANL and BNL data (PRD 90, 112017 (2014), EPJC 76, 474 (2016))

($\sigma_{vN}(E_v)$: DCC $v_u N \rightarrow \mu \pi N \mod 1$)









Summary

- $v_{\mu} d \rightarrow \mu \pi NN$ cross sections of the whole phase-space are calculated with a dynamical model including FSI ; for the first time
- Examined FSI effect on spectator momentum distribution $d\sigma/dp_s$
- Found a useful recipe to extract $v_{\mu}N \rightarrow \mu \pi N$ cross sections from $v_{\mu}d \rightarrow \mu \pi NN$ spectator momentum distribution (from future exp.)
- Extracted $v_{\mu}N \rightarrow \mu \pi N$ total cross sections by correcting (flux-corrected) ANL and BNL data for FSI and Fermi motion (preliminary)

Future impact

→ Significantly improved elementary $\nu_{\mu} N \rightarrow \mu^{-} \pi N$ model to be implemented in neutrino-nucleus reaction model for oscillation experiments of the precision era Thank you very much for your attention

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 $v_{\mu} d \rightarrow \mu \pi NN$ total cross sections



- (Mostly NN) FSI reduces σ by 10%, 6%, 5% at E_{v} = 0.5, 1, 1.5 GeV
- πN FSI hardly changes $\sigma(v_{\mu} d \rightarrow \mu \pi^+ p n)$
- FSI effects are very small for $\sigma(v_{\mu} d \rightarrow \mu \pi^0 p p)$

 $d\sigma/d\Omega_u$ and $d\sigma/dp_u$ for $v_u d \rightarrow \mu \pi^+ p n$ at $E_v = 1$ GeV



- Significant FSI effects are seen in narrow kinematical windows
 - \rightarrow moderate reduction of total cross sections

 $E_{v} = 0.5 \, \text{GeV}$

VS

 $E_{v} = 1 \, \text{GeV}$



 $E_v = 0.5 \text{ GeV}$ vs $E_v = 1 \text{ GeV}$



- NN FSI effect is large at low NN energy region (≤ 50 MeV) where orthogonality between *pn* scattering states and deuteron is most effective
- Low NN energy region occupies a relatively larger portion of phase-space for low E_{γ}
- → Larger NN FSI effect for low E_v

Neutrino-nucleus scattering for v-oscillation experiments

Wide kinematical region with different characteristic

Different expertise need integrated



Collaboration at J-PARC Branch of KEK Theory Center

Current status reviewed in *Reports on Progress in Physics* **80** (2017) 056301

"Towards a Unified Model of Neutrino-Nucleus Reactions for Neutrino Oscillation Experiments"



BNL analysis

PRD 34, 2554 (1986)

Previous models for *v*-induced 1π production in resonance region

resonant only



Rein et al. (1981), (1987); Lalalulich et al. (2005), (2006)

 VNN^* : helicity amplitudes listed in PDG ANN^* : quark model, PCAC relation to $|\pi NN^*|$ (PDG) relative phases among $N^{*'}$ s are out of control

+ non-resonant (tree-level non-res)

Hernandez et al. (2007), (2010) ; Lalakulich et al. (2010)



+ rescattering (πN unitarity, $\Delta(1232)$ region) Sato, Lee (2003), (2005)



DCC (Dynamical Coupled-Channel) model

Matsuyama et al., Phys. Rep. **439**, 193 (2007) Kamano et al., PRC 88, 035209 (2013)

Coupled-channel Lippmann-Schwinger equation for meson-baryon scattering

$$T_{ab} = V_{ab} + \sum_{c} V_{ac} G_{c} T_{cb}$$

$$\{a, b, c\} = \pi N, \ \eta N, \ \pi \pi N, \ \pi \Delta, \sigma N, \rho N, \ K\Lambda, \ K\Sigma$$

By solving the LS equation, coupled-channel unitarity is fully taken into account

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Coupled-channel Lippmann-Schwinger equation for meson-baryon scattering

$$T_{ab} = V_{ab} + \sum_{c} V_{ac} G_{c} T_{cb}$$



In addition, γN , $W^{\pm}N$, ZN channels are included perturbatively



Relation between neutrino and electron (photon) interactions

Charged-current (CC) interaction (e.g. $v_{\mu} + n \rightarrow \mu^{-} + p$)

$$L^{cc} = \frac{G_F V_{ud}}{\sqrt{2}} [J_{\lambda}^{cc} \ell_{cc}^{\lambda} + h.c.] \qquad J_{\lambda}^{cc} = V_{\lambda} - A_{\lambda} \qquad \ell_{cc}^{\lambda} = \overline{\psi}_{\mu} \gamma^{\lambda} (1 - \gamma_5) \psi_{\nu}$$

Electromagnetic interaction (e.g. $\gamma^{(*)} + p \rightarrow p$)

$$L^{em} = e J_{\lambda}^{em} A_{em}^{\lambda} \qquad \qquad J_{\lambda}^{em} = V_{\lambda} + V_{\lambda}^{IS}$$

V and *V*^{*IS*} in J^{em} can be separately determined by analyzing photon ($Q^2=0$) and electron reaction ($Q^2\neq 0$) data on both proton and neutron targets, because:

$$= - < n \mid V_{\lambda} \mid n > \qquad = < n \mid V_{\lambda}^{IS} \mid n >$$

Matrix element for the weak vector current is obtained from analyzing electromagnetic processes

$$= \sqrt{2}$$

DCC model for axial current

Because neutrino reaction data are scarce, axial current cannot be determined phenomenologically → Chiral symmetry and PCAC (partially conserved axial current) are guiding principle

PCAC relation $\langle X' | q \cdot A | X \rangle \sim i f_{\pi} \langle X' | T | \pi X \rangle$

*Q*²=0



Interference among resonances and background can be uniquely fixed within DCC model

DCC model for axial current

$Q^2 \neq 0$ $F_A(Q^2)$: axial form factors

non-resonant mechanisms

$$F_A(Q^2) = \left(\frac{1}{1+Q^2/M_A^2}\right)^2$$
 $M_A = 1.02 \text{ GeV}$

resonant mechanisms

$$F_A(Q^2) = \left(\frac{1}{1+Q^2/M_A^2}\right)^2$$

More neutrino data are necessary to fix axial form factors for ANN^*

Neutrino cross sections will be predicted with this axial current

DCC analysis of γN , $\pi N \rightarrow \pi N$, ηN , $K\Lambda$, $K\Sigma$

and electron scattering data

DCC analysis of meson production data

Kamano, Nakamura, Lee, Sato, PRC 88 (2013)

Fully combined analysis of γN , $\pi N \rightarrow \pi N$, ηN , $K\Lambda$, $K\Sigma$ data

 $d\sigma/d\Omega$ and polarization observables (W \leq 2.1 GeV)

~ 23,000 data points are fitted

by adjusting parameters (N^* mass, $N^* \rightarrow MB$ couplings, cutoffs)

Data for electron scattering on proton and neutron are analyzed by adjusting $\gamma^* N \rightarrow N^*$ coupling strength at different Q^2 values ($Q^2 \le 3 (\text{GeV}/c)^2$)

Partial wave amplitudes of π N scattering



Partial wave amplitudes of π N scattering



Predicted $\pi N \rightarrow \pi \pi N$ total cross sections with our DCC model



Single π production in electron-proton scattering

Purpose : Determine Q^2 – dependence of vector coupling of p- N^* : $VpN^*(Q^2)$

 $\sigma_T + \varepsilon \sigma_L$ for $Q^2=0.40 (\text{GeV}/c)^2$ and W=1.1-1.68 GeV



 $p(e,e'\pi^0)p$

 $p(e,e'\pi^+)n$

 $\cos \theta_{\pi}^{*}$

 $\cos \theta_{\pi}^{*}$

Inclusive electron-proton scattering



Data: JLab E00-002 (preliminary)

- Reasonable fit to data for application to neutrino interactions
- Important 2π contributions for high W region

Similar analysis of electron-neutron scattering data has also been done

DCC vector currents has been tested by data for whole kinematical region relevant to neutrino interactions of $E_v \le 2 \text{ GeV}$

Cross section for $v_{\mu} N \rightarrow \mu X$



- $\pi N \& \pi \pi N$ are main channels in few-GeV region
- DCC model gives predictions for all final states
- ηN , KY cross sections are $10^{-1} 10^{-2}$ smaller

Cross section for $v_{\mu} N \rightarrow \mu X$



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Comparison with double pion data



Fairly good DCC predication

ANL Data : PRD **28**, 2714 (1983) BNL Data : PRD **34**, 2554 (1986)

First dynamical model for 2 π production in resonance region

Mechanisms for $v_{\mu} N \rightarrow \mu \pi N$

- $\Delta(1232)$ dominates for $v_{\mu}p \rightarrow \mu^{-}\pi^{+}p$ (*I*=3/2) for $E_{v} \leq 2 \text{ GeV}$
- Non-resonant mechanisms contribute significantly
- Higher N^* s becomes important towards $E_v \approx 2$ GeV for $v_\mu n \rightarrow \mu \pi N$

$d\sigma/dW dQ^2$ (×10⁻³⁸ cm²/GeV²)

 $E_{v} = 2 \text{ GeV}$

 $v_{\mu}p \rightarrow \mu^{-}\pi^{+}p$

$d\sigma/dW dQ^2$ (×10⁻³⁸ cm²/GeV²)

 $E_{v} = 2 \text{ GeV}$

