

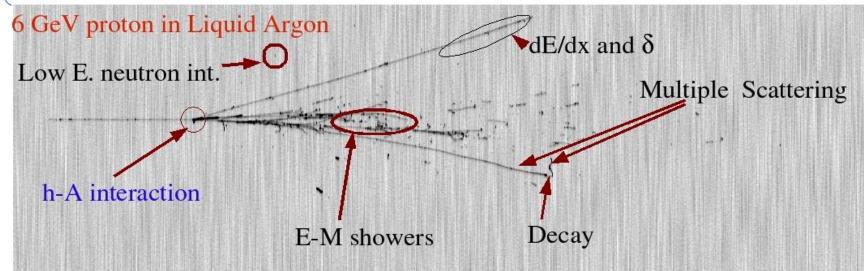


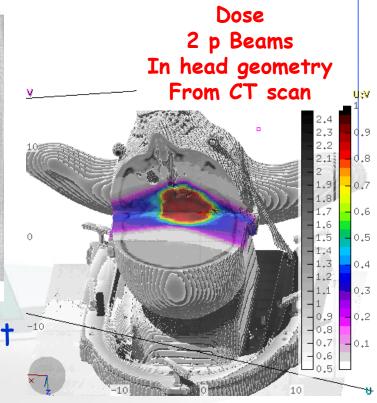


#### Neutrino interactions in FLUKA: NUNDIS

#### M. Antonello, G. Battistoni, A. Ferrari, M. Lantz, P. Sala, G. Smirnov

# FLUKA : a multi-purpose Monte Carlo code





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Web Site: <u>http://www.fluka.org</u>

>10000 registered users

2 user courses /year

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### General framework

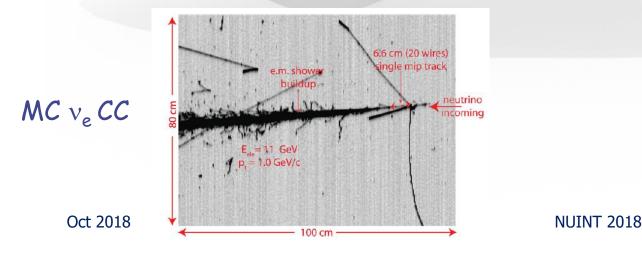
- Nuclear models in FLUKA have been developed along the years, initially for hadron-nucleus reactions,
- Then extended to treat also
  - Photonuclear reactions
  - Muon-nuclear and electro-nuclear via virtual photon exchange
  - Quasi-eleasic electron scattering
  - Muon capture
  - Neutrino interactions
  - Anti-nucleon reactions
- All sharing the same nuclear "environment"
- All DIS share the same hadronization

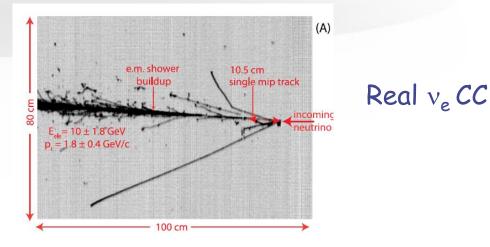
# Neutrinos in FLUKA

- Generators of neutrino-nucleon interactions:
  - QuasiElastic
  - Resonance

Acta Phys.Polon. B40 (2009) 2491-2505 CERN-Proceedings-2010-001 pp.387-394.

- DIS
- Only for Argon: absorption of few-MeV (solar) neutrinos on whole nucleus
- Elastic scattering on electrons to be refreshed
- Products of the neutrino interactions can be directly transported in the detector (or other) materials
- Used for all ICARUS simulations/publications





# **Quasi Elastic and Resonant**

#### QE

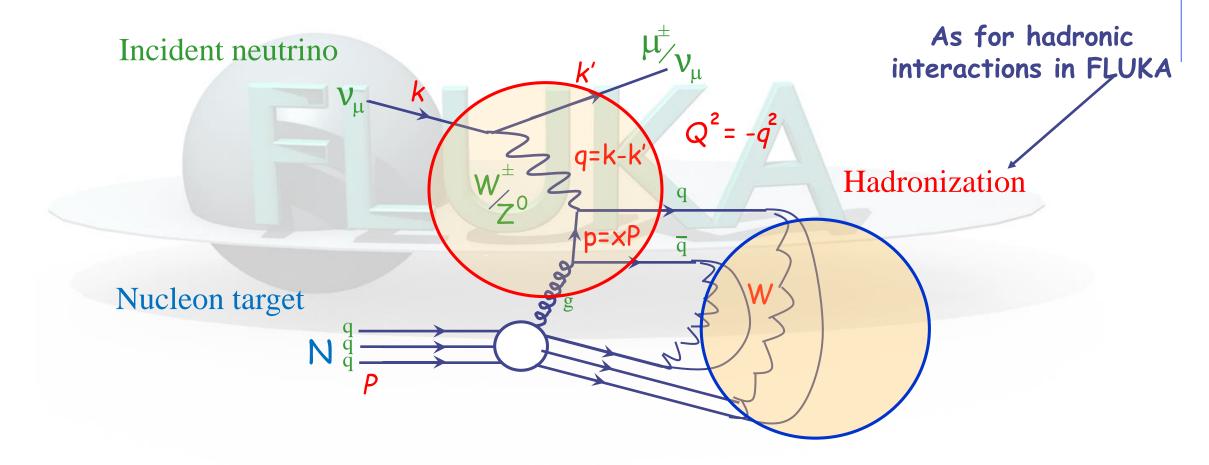
- Following Llewellyn Smith formulation
- $M_A = 1.03$ ,  $M_V = 0.84$
- Lepton masses accounted for

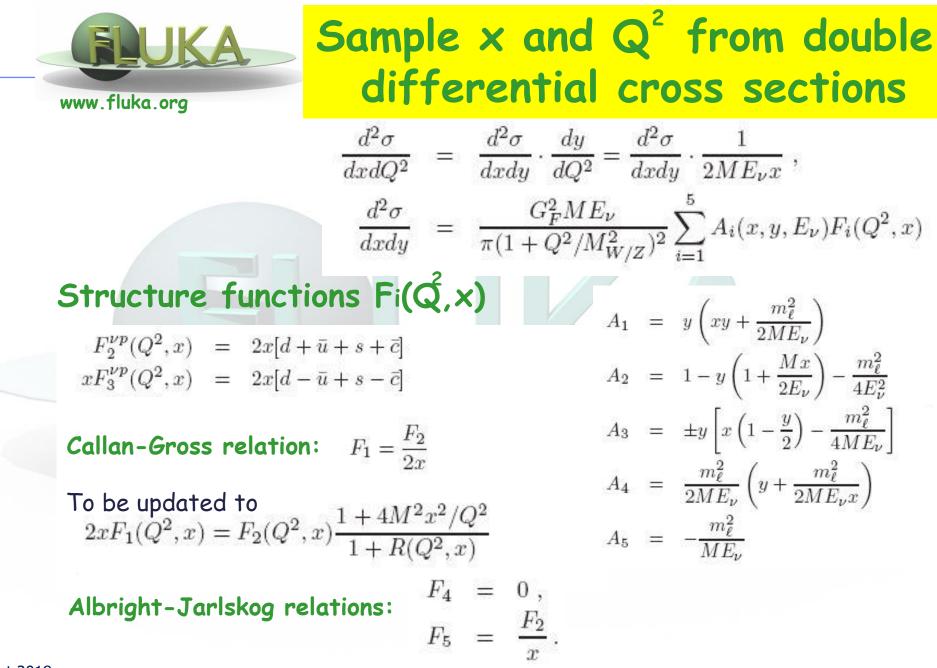
#### **Resonance production**

- From Rein-Sehgal formulation
- Keep only  $\Delta$  production
- No non-resonant background term, assuming that the non-resonant contribution comes from NunDIS
- TRANSITION from RES to DIS: linear decrease of both  $\sigma$  as a function of W

# DIS (NUNDIS)

FLUKA hadronization and nuclear interactions work well independently of primary interaction vertex





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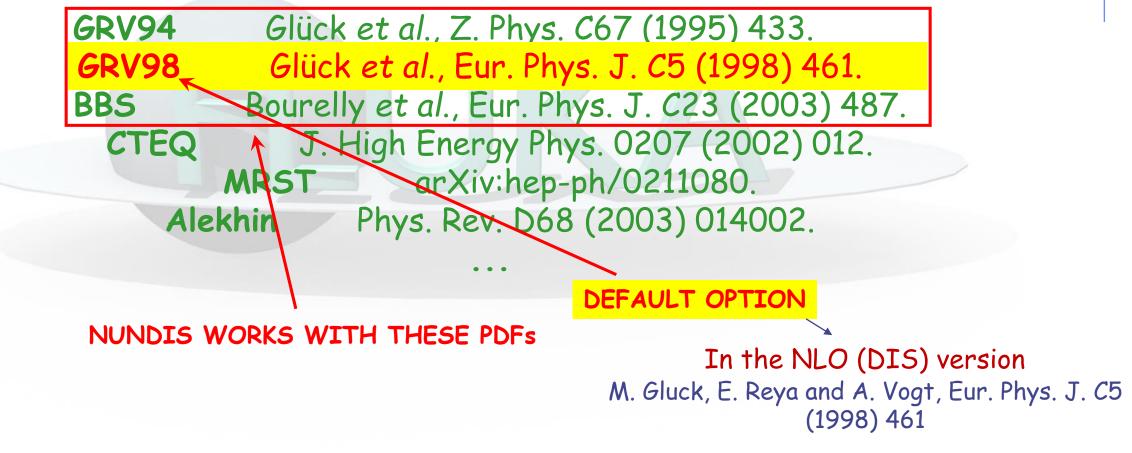
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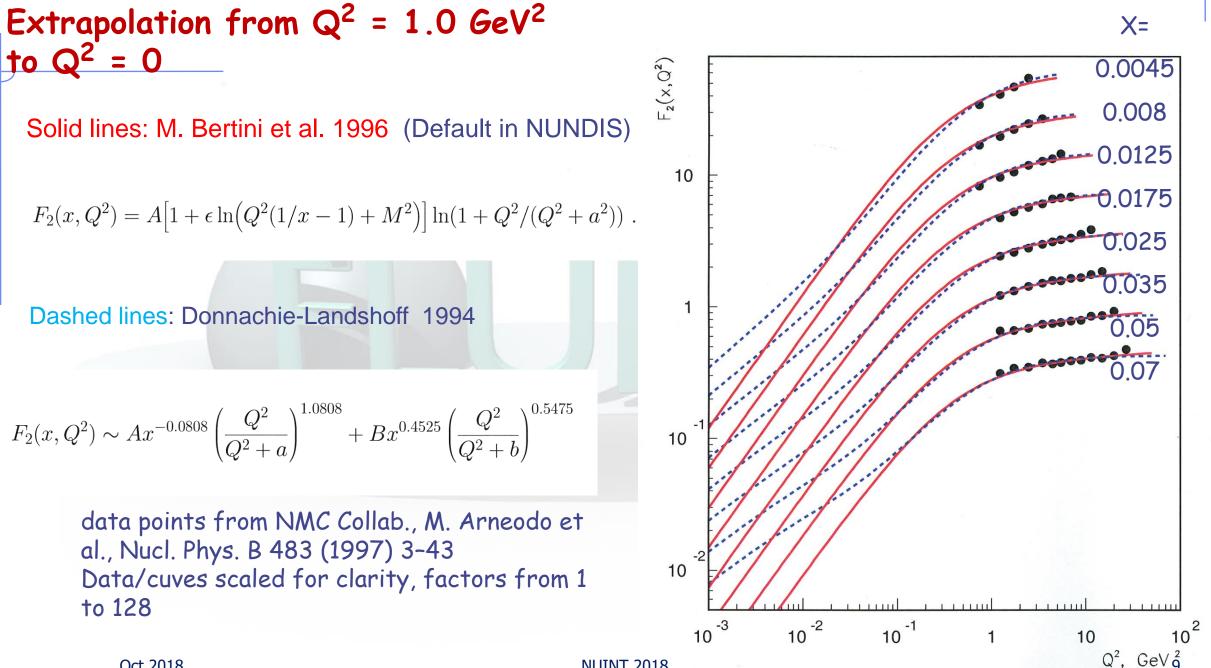
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Quark dependence q<sub>i</sub>(Q<sup>2</sup>,x) determined from Parton Distribution Functions (PDFs)

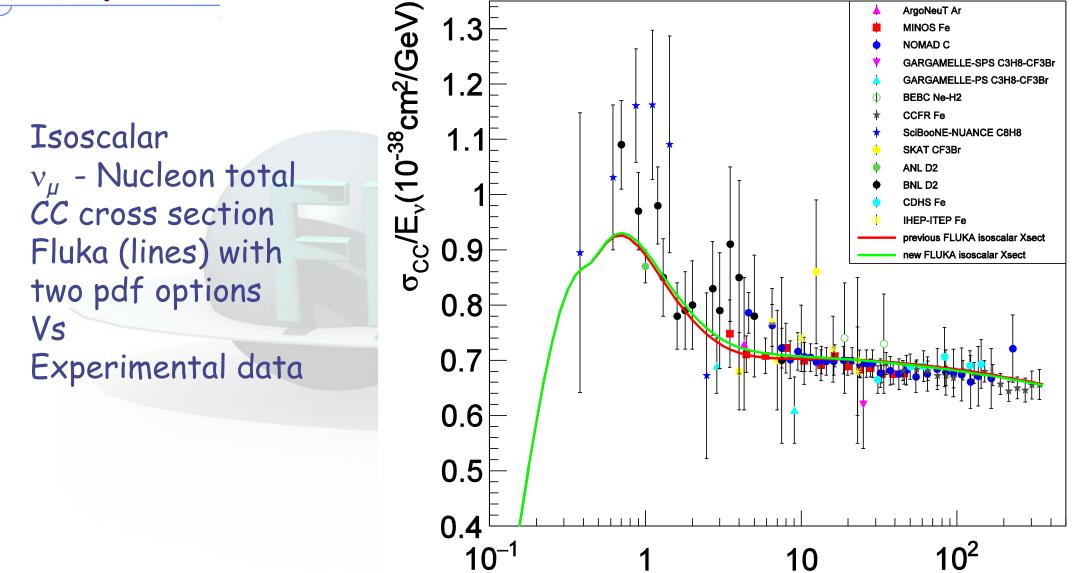




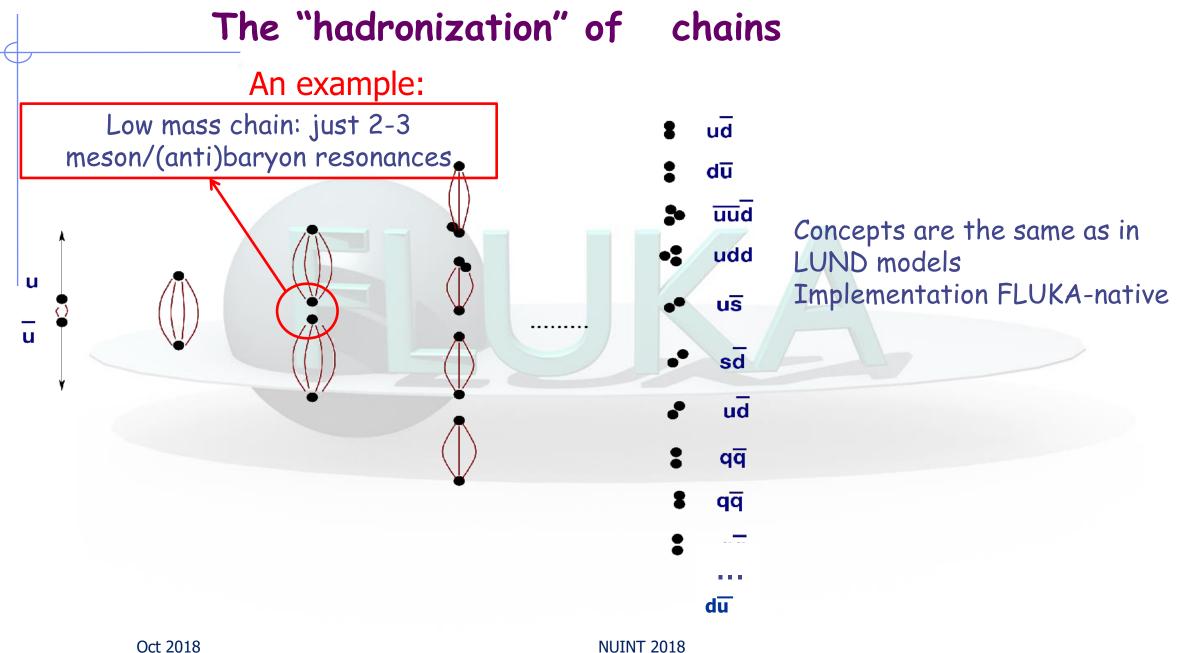
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### Comparison with data on total cross section



E<sub>v</sub> (GeV)



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#### In FLUKA:

- Assumes chain universality
- Fragmentation functions from hard processes and e+e- scattering
- Transverse momentum from uncertainty considerations
- Mass effects at low energies ( change fragmentation function to account for the need to create real hadrons)
- Chains generated at very low energy → create single/few resonances
- Chains generated at low energy  $\rightarrow$  "phase space explosion" constrained in  $p_T$ , including baryons, mesons, resonances.

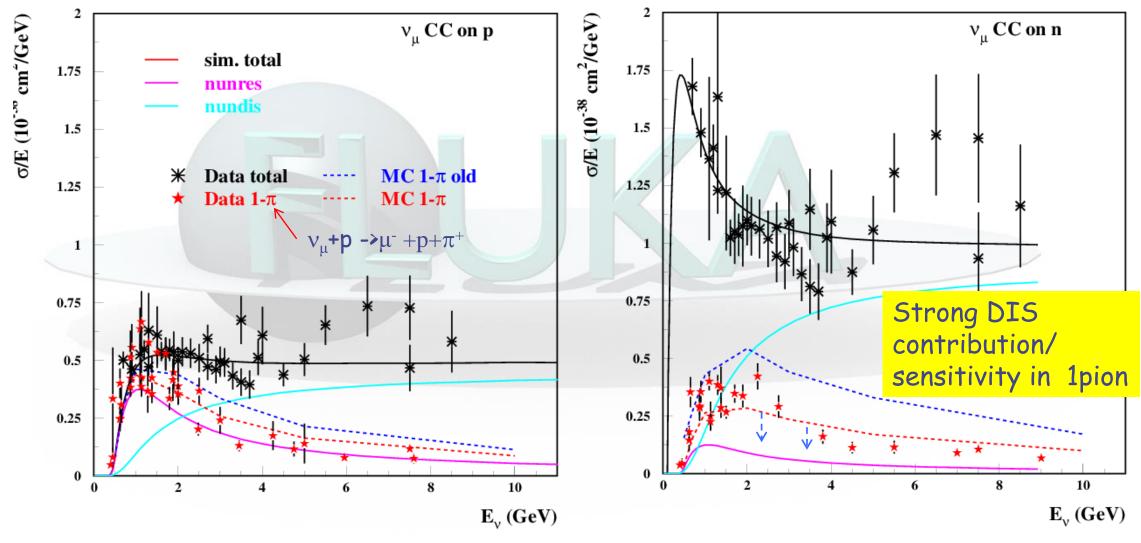
#### The same functions and parameters for all reactions and energies

- Chains from v DIS :
  - One guark-diguark chain if interaction on valence guark
  - One quark-diquark plus one q-qbar chain if int on sea quark
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### Single pion production

NDS 120, 211 (2014)

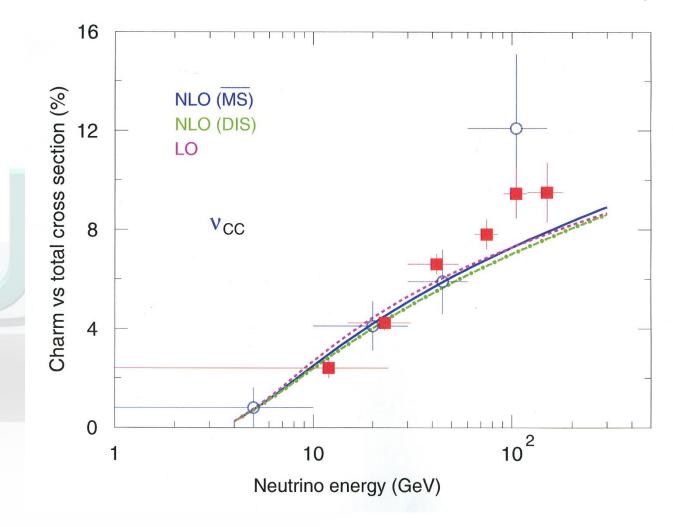
New *low-mass chain treatment of fragmentation* -> improvements in the **RES-DIS** transition



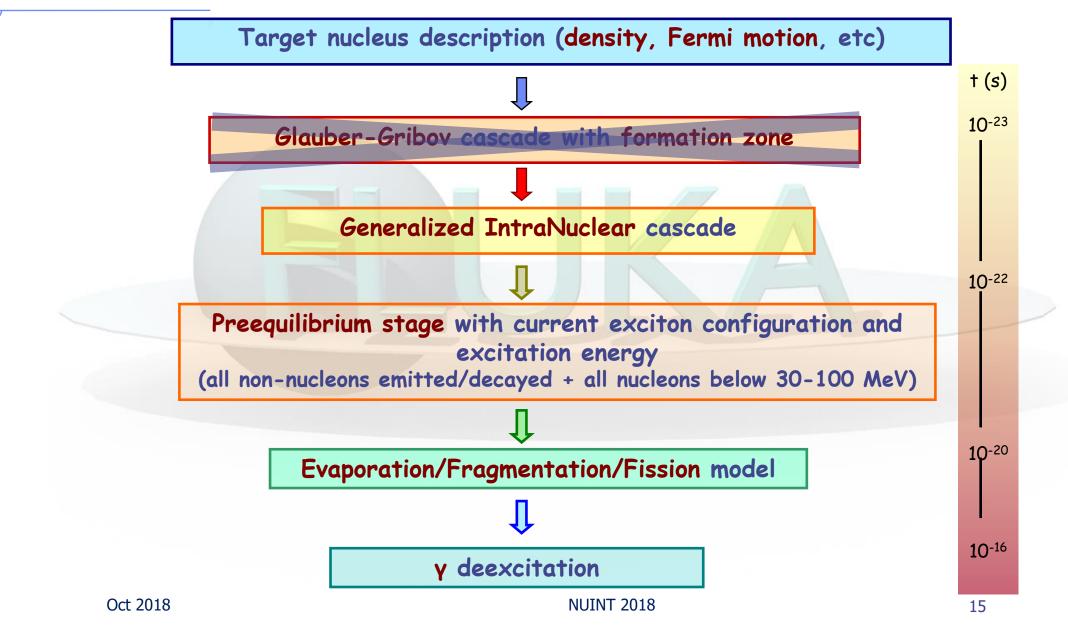
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#### Charm production in neutrino interactions

- Ratio of the charm to total cross sections
- Results of NUNDIS simulation with M<sub>c</sub> = 1.35 GeV (curves) and experimental data: E531 (open circles) and CHORUS-2011 (filled squares).



#### Nuclear interactions in FLUKA: the PEANUT model



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### (Generalized) IntraNuclear Cascade

- Primary and secondary particles moving in the nuclear medium according to local Fermi gas model
- Trajectories curved by the nuclear potential
- Interactions according to "free"  $\sigma$  + exceptions (ex.  $\pi$ )
- Fully relativistic
- Multibody absorption for  $\pi$ ,  $\mu^-$
- Special for K<sup>-</sup>, antinucleon,  $\pi$  (phase shifts, annihilation)
- Quantum effects (Pauli blocking, formation zone, correlations...)
- Exact conservation of energy, momenta and all additive quantum numbers, including nuclear recoil
- First excited nuclear levels accounted for (more levels in evaporation/gamma deexc)

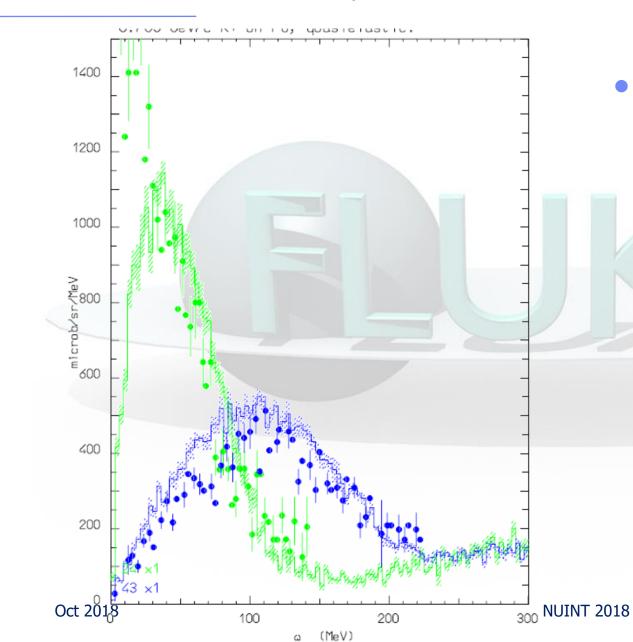
#### Nucleon Fermi Motion in FLUKA

• Fermi gas model: Nucleons = Non-interacting Constrained Fermions Momentum distribution  $\propto \frac{dN}{dk} = \frac{|k|^2}{2\pi^2}$ 

for k up to a (local) Fermi momentum  $k_F(r)$  given by  $k_F(r) = \left[3\pi^2 \rho_N(r)\right]^{\frac{1}{3}}$ 

- Momentum smearing according to uncertainty principle assuming a position uncertainty =  $\sqrt{2}$  fm
- Nuclear density given by symmetrized Woods-Saxon for A>16 and by a harmonic oscillator shell model for light isotopes
- Proton and neutron densities are different
- Nucleons are bound in the nuclear well

#### Positive kaons as a probe of Fermi motion



#### $K^+$ and $K^0$

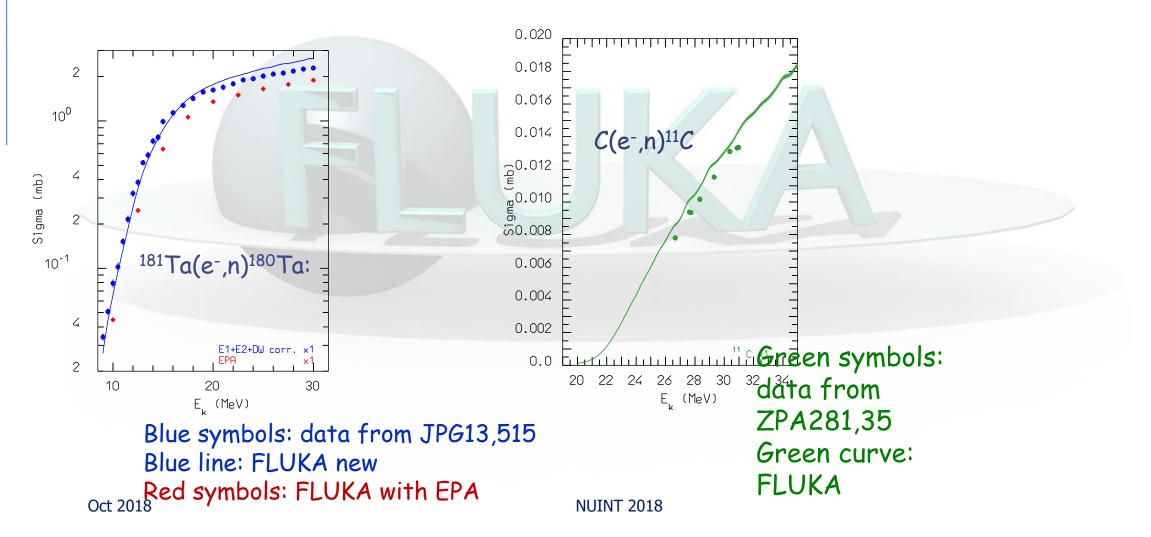
- No low mass S=1 baryons
  - > weak K<sup>+</sup>N interaction
  - > Only elastic and charge exchange up to ≈ 800 MeV/c
     K<sup>+</sup> Pb → K<sup>+</sup> Pb 705 MeV/c
     Residual excitation spectrum
     With K<sup>+</sup>' at 24<sup>0</sup> (green) at 43<sup>0</sup> (blue)
     Histogram : FLUKA
     Dots : data (Phys. Rev. C51,669 (1995))

# On free nucleon: recoil at 43 MeV or 117 MeV

O-deg tail is elastic on nucleus, not included in sim

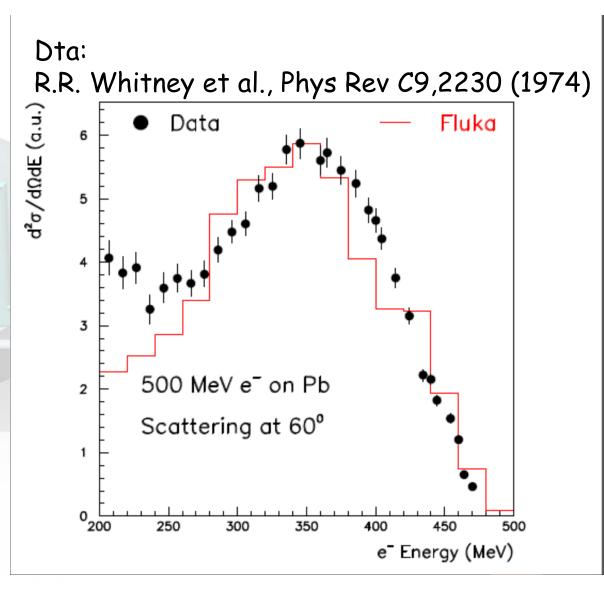
### **Electron scattering**

- Quasi-Elastic on nucleon (+ all nuclear)
- Inelastic via virtual photon exchange, recently improved (E1+E2)



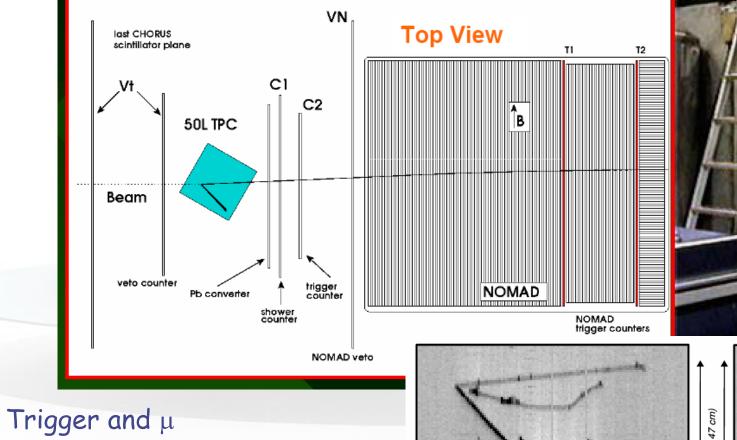
# First checks with electrons

- Quasi-Elastic scattering of electrons on Lead, outgoing electron spectrum at 60°
- Inelastic tail not included in simulation
- To be improved wit the inclusion of energydependent nuclear well, as already there for nucleon-induced reactions
- Much more tests needed

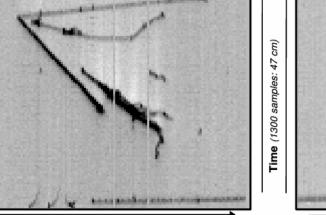


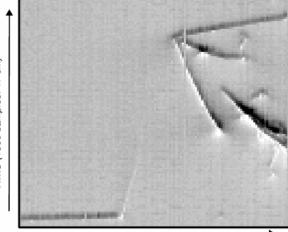
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# The 501 LAr TPC in the WANF neutrino beam(1997)

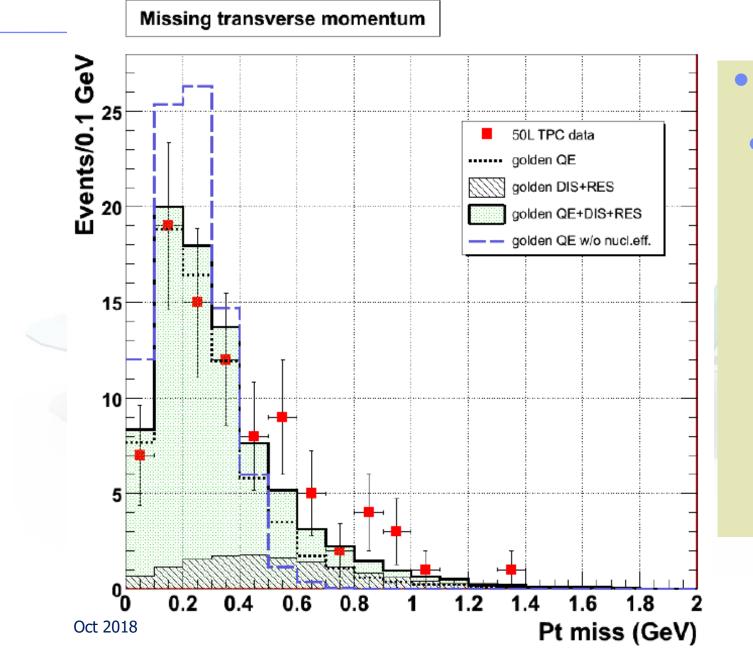


reconstruction: NOMAD Event selection: "GOLDEN sample" = 1 μ and 1 proton >40MeV fully contained Phys.Rev. D74 (2006) 112001 Oct 2018





Collection wires. (128 wires: 32 cm.)



#### from 400 QE - golden fraction 16%

 background - additional 20% finally expected

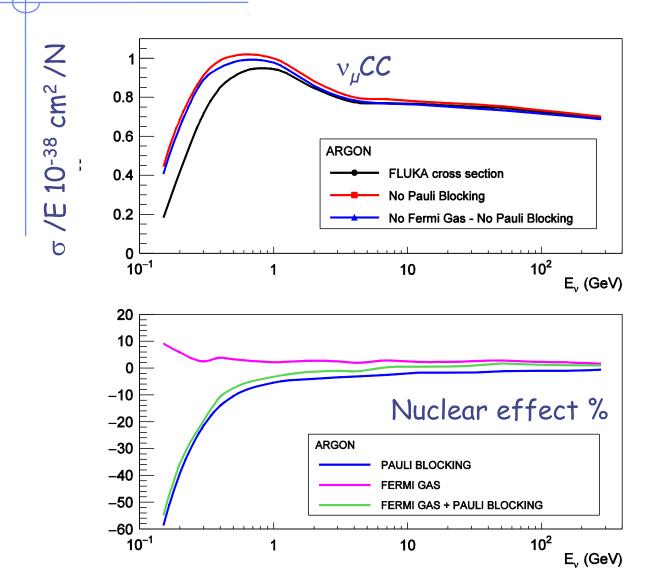
80±9(stat.)±13(syst.→ mainly QE fraction and beam simul)

to be compared with **86** events observed

Very good consistency with expectations

Note: here DIS and RES from old coupling with the NUX code (A. Rubbia) 22

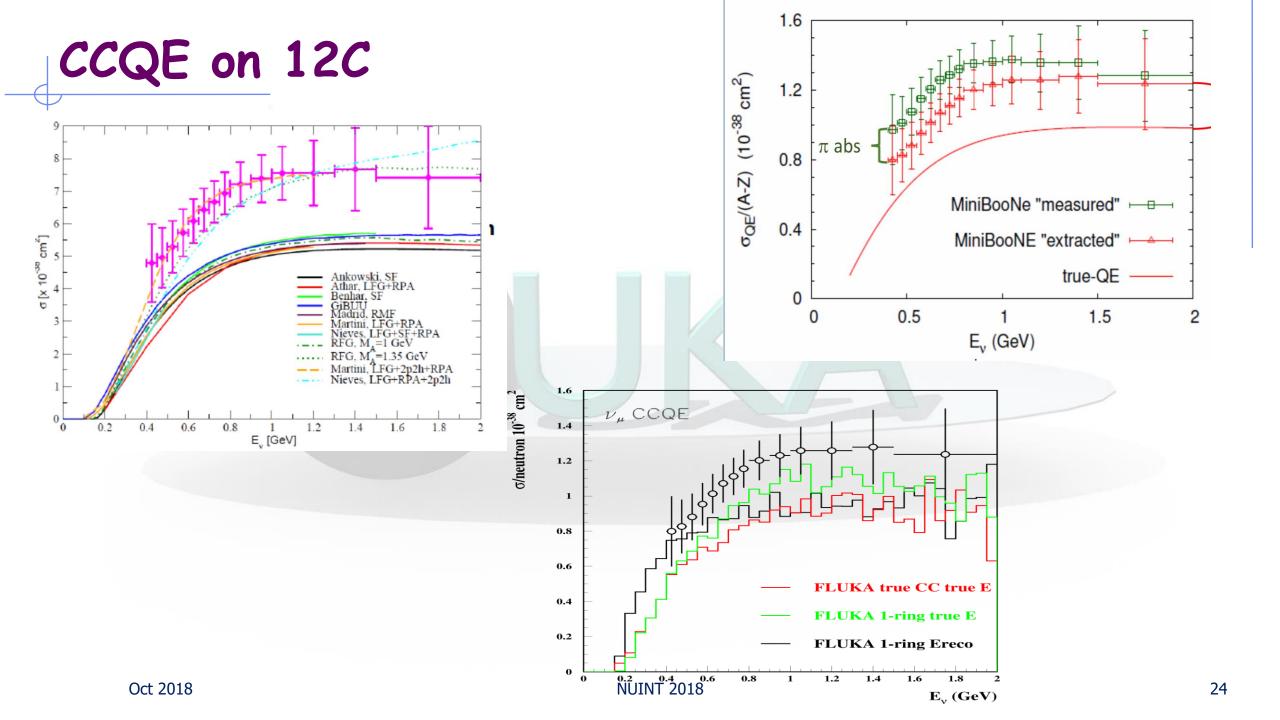
### Total cross section: nuclear effects in Ar



5 GeV < Ev < 50 GeV Pauli Blocking effect and Fermi Gas effect separately have an impact of ~ 2-3% Globally Nuclear effects stay within ±1%

Ev < 5 GeV

nuclear effects are dominated by the Pauli Blocking and rapidly increase to the order of 10% and above



### Nuclear effects in Minerva

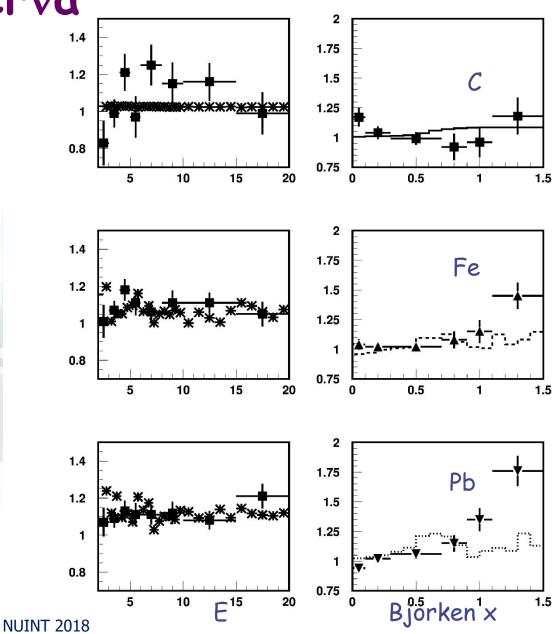
Beam: vµ NuMi Low Energy (average 4 GeV) Main Target : CH

Measured also with C, Fe, Pb targets PRL 112, 231801 (2014)

Here: ratio of cross sections per nucleon / the one in CH

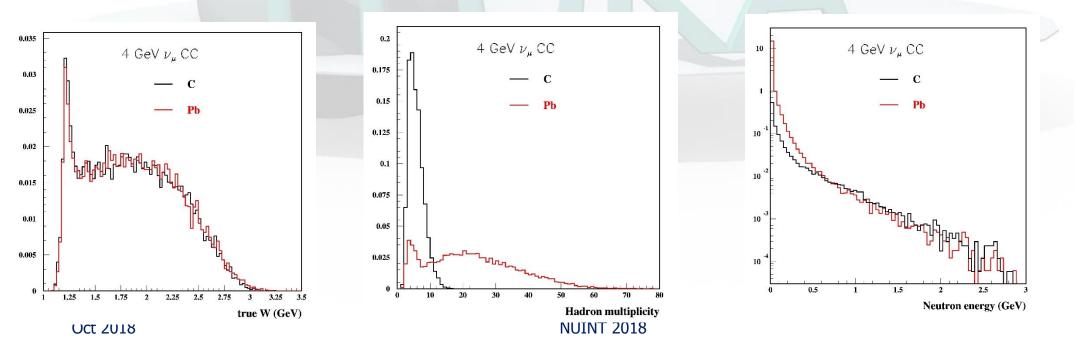
Left: total CC vs neutrino Energy : squares: data crosses: FLUKA

Right: do/dx symbols: data histos: Fluka expt: reduction at low x and enhancement at high x with incr. A Fluka: fails the highest x (same for OG2018 Genie)



### Nuclear effects in Minerva -II

- HOWEVER:
- Bjorken x and neutrino energy are calculated through lepton energy and Hadronic energy
- Ehad = calorimetric energy \*calibration constant
- Strongly dependent on, for instance, neutron detection efficiency
- Fraction of energy going into neutrons depends on target
- > would need full simulation



#### Non-QE

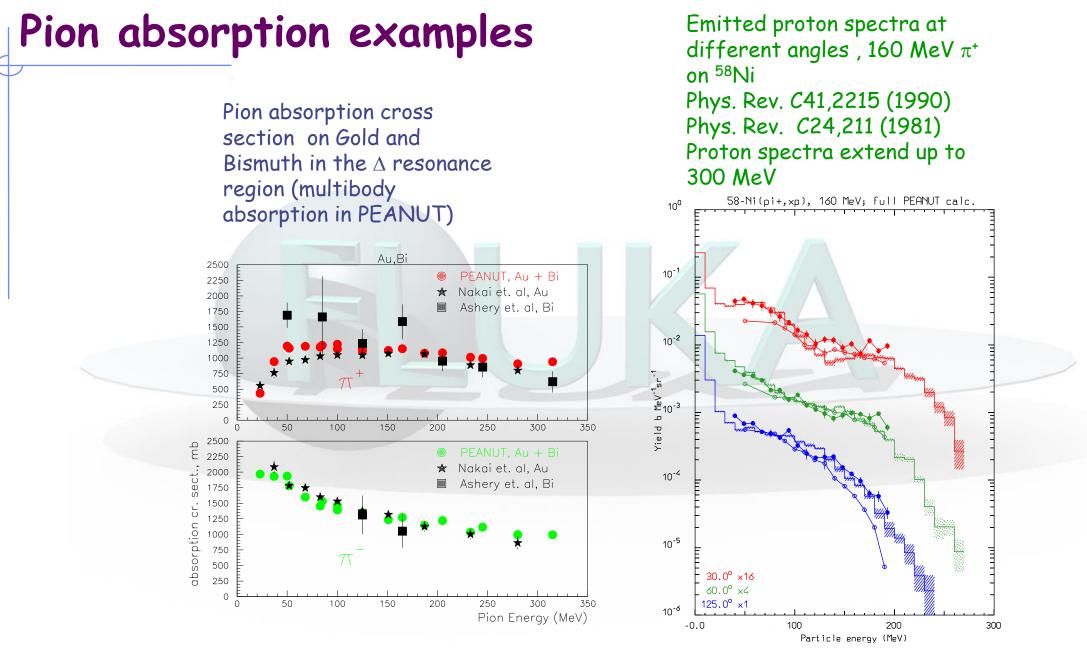
#### Pions: nuclear medium effects

Non resonant channel Free  $\pi$  N interactions  $\Rightarrow$  $\implies$  P-wave resonant  $\Delta$  production  $\Delta$  in nuclear  $\Rightarrow$  decay  $\Rightarrow$  elastic scattering, charge exchange Assuming for the free resonant  $\sigma$ a Breit-Wigner form with width  $\Gamma_{F}$  $\Gamma_{F}$  $\sigma_{res}^{Free} = \frac{8\pi}{p_{cms}^2} \frac{M_{\Delta}^2 \Gamma_F^2(p_{cms})}{(s - M_{\Delta}^2)^2 + M_{\Delta}^2 \Gamma_F^2(p_{cms})}$ An `` in medium'' resonant  $\sigma$  ( $\sigma^{A}_{res}$ ) can be obtained adding to  $\Gamma_{F}$  the imaginary part of the (extra) width arising from nuclear medium  $\frac{1}{2}\Gamma_{T} = \frac{1}{2}\Gamma_{F} - \text{Im}\Sigma_{\Delta} \quad \Sigma_{\Delta} = \Sigma_{qe} + \Sigma_{2} + \Sigma_{3} \quad \text{(Oset et al., NPA 468, 631)}$ quasielastic scattering, two and three body absorption

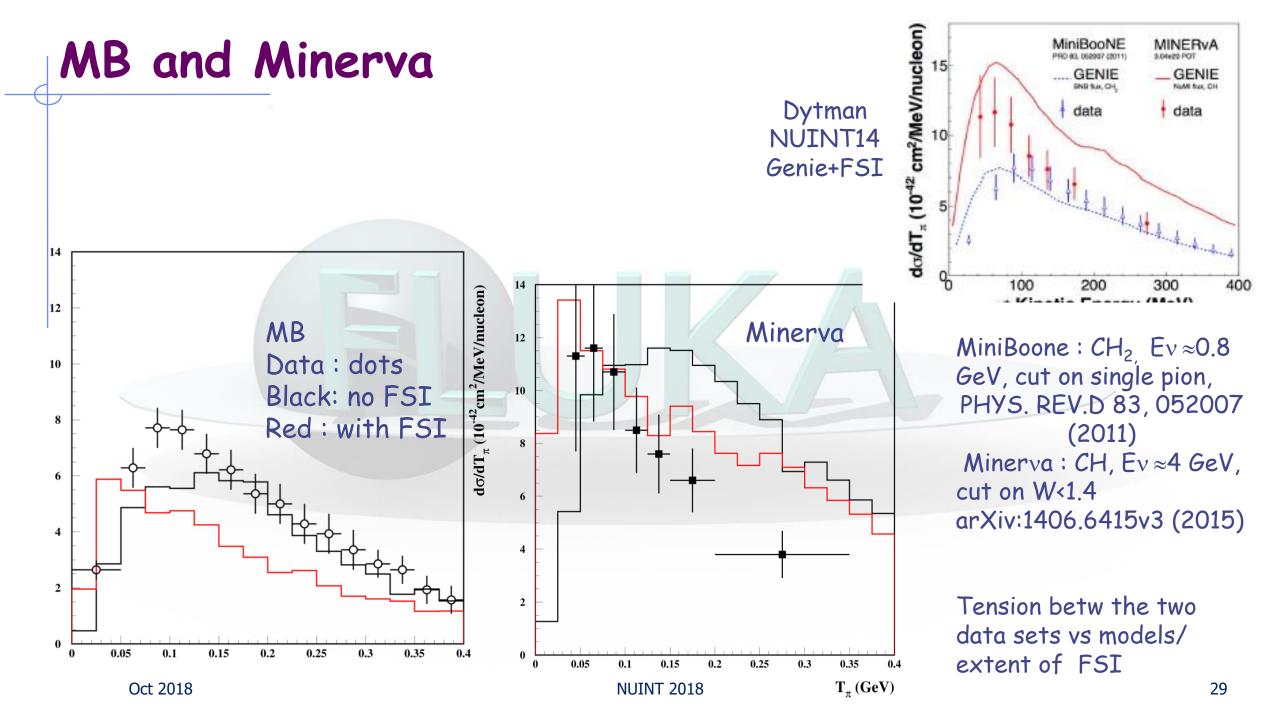
The in-nucleus  $\sigma_t^A$  takes also into account a two-body s-wave absorption  $\sigma_s^A$  derived from the optical model

$$\sigma_{t}^{A} = \sigma_{res}^{A} + \sigma_{t}^{Free} - \sigma_{res}^{Free} + \sigma_{s}^{A} - \sigma_{s}^{A}(\omega) = \frac{4\pi}{p} \left(1 + \frac{\omega}{2m}\right) \operatorname{Im} B_{0}(\omega) \rho$$
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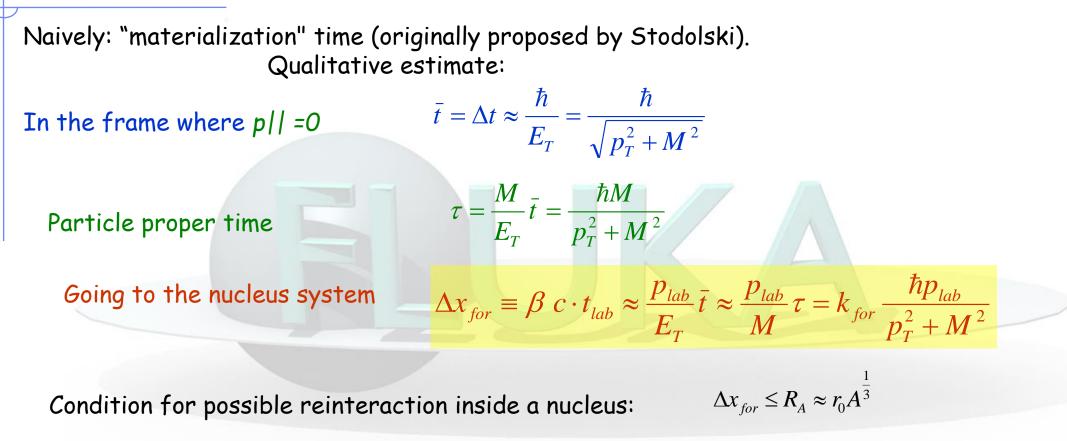
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#### another FSI : Formation zone



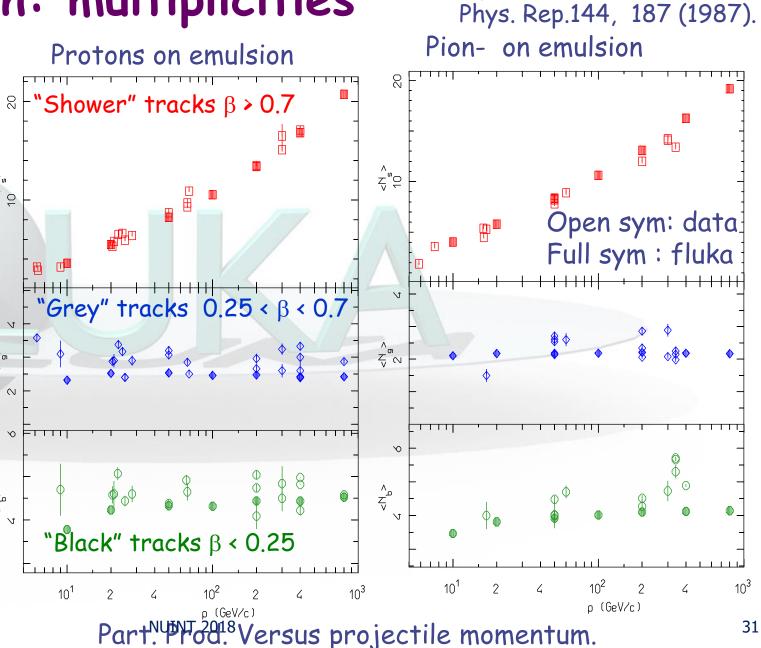
#### Decrease of the reinteraction probability

Applied also to DIS neutrino interactions and, in an analogue way, to QE neutrino interactions

# Particle production: multiplicities

Or: why do you use this funny formation zone?

- shower particle multiplicities  $\hat{z}^*$ increase steadily with projectile energy
- multiplicities of grey and black tracks rapidly saturate at few tens of GeV, and stay constant
- Looks like fast particles are free to escape without inducing cascades
- Note that p-p cross section is  $\hat{z}$ rather flat vs energy
- → need mechanism



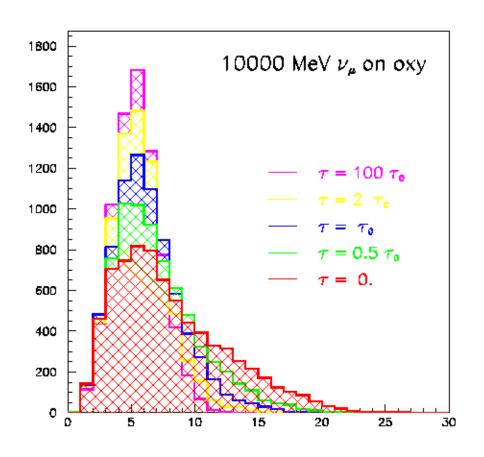
Data:

Phys. Rev. D42, 2187 (1990).

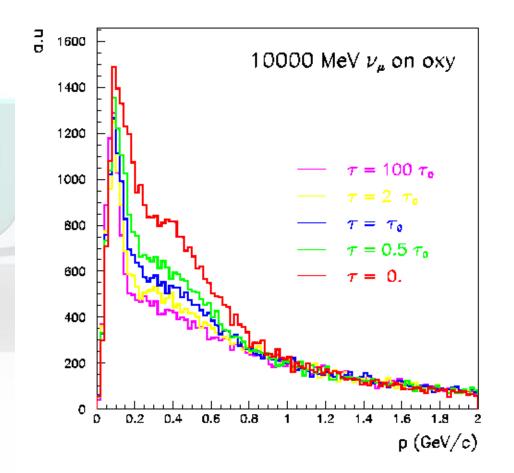
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# Effect of formation zone

#### Total hadron multiplicity



#### Charged hadron spectra

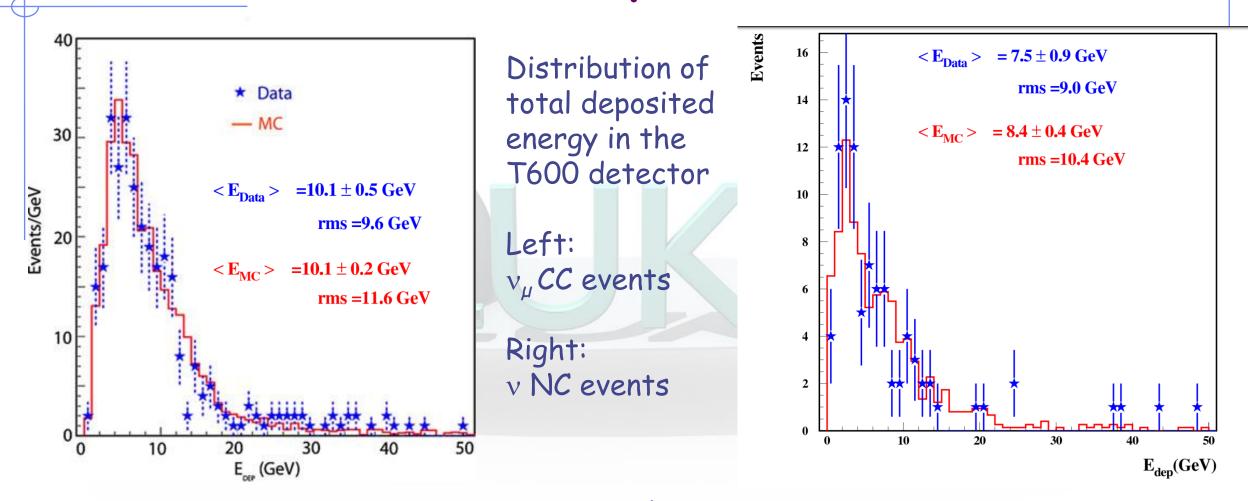


### Last steps of the reaction

- The INC in FLUKA is carried on until all involved nucleons drop below 30-50 MeV kinetic (smooth threshold, depends on number of excited nucleons)
- A PRE-EQUILIBRIUM steps comes in : nucleon-hole pairs sharing statistically the residual excitation energy. Exciton number increased by "collisions", particle emission still possible.
- When the exciton number reaches equilibrium, EVAPORATION / FISSION comes in. Statistical, includes nucleons and heavy fragments, includes sub-barrier emission, takes into account single excited levels.
- At excitation energies < separation energy → emission of gamma rays (actually also in competition with evaporation). Uses atlas of excited levels/transitions whenever available.

### CNGS data (≈20 GeV Ev peak)

#### Eur. Phys. J. C (2013) 73:2345 Phys. Lett. B (2014)



Same reconstruction in MC and Data Neutrino fluxes from FLUKA cngs simulations Oct 2018 Absolute agreement on neutring of a within 6%

# **Conclusions and perspectives**

- A neutrino event generator (NUNDIS) is implemented in FLUKA
- QE, RES, DIS interactions
- Hadronization as for hadronic interactions in FLUKA
- Nuclear effects from the FLUKA nuclear models
- Encouraging comparisons with expt data
- More has to be done:
- Coherent pion production
- Coherent effects (see high x in Minerva and proton pairs in Argoneut)
- > More coherent / nuclear structure effects for low energy QE
- Meson exchange in QE (high x in Minerva)
- Radiative corrections in DIS (ongoing)
- Comparisons against data



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#### Nuclear potential for pions

For pions, a complex nuclear potential can be defined out of the  $\pi$ -nucleon scattering amplitude to be used in conjunction with the Klein-Gordon equation

$$\left[\left(\omega-V_{c}\right)^{2}-2\omega U_{opt}-K^{2}\right]\Psi=m_{\pi}^{2}\Psi$$

In coordinate space (the upper/lower signs refer to  $\pi^+/\pi^-$ ):

$$\omega U_{opt}(\omega, r) = -\beta(\omega, r) + \frac{\omega}{2M} \nabla^2 \alpha(\omega, r) - \nabla \frac{\alpha}{1 + g\alpha(\omega, r)} \nabla$$
$$\beta = 4\pi \left[ \left( 1 + \frac{\omega}{M} \right) \left( b_0(\omega) \mp b_1(\omega) \frac{N - Z}{A} \right) \rho(r) + \left( 1 + \frac{\omega}{2M} \right) B_0(\omega) \rho^2(r) \right]$$
$$\alpha = 4\pi \left[ \frac{1}{\left( 1 + \frac{\omega}{M} \right)} \left( c_0(\omega) \mp c_1(\omega) \frac{N - Z}{A} \right) \rho(r) + \frac{1}{\left( 1 + \frac{\omega}{M} \right)} C_0(\omega) \rho^2(r) \right]$$

Using standard methods to get rid of the non-locality, in momentum space

$$2\omega U_{opt}(\omega, r) = -\beta - K^2 \frac{\alpha}{1 + g\alpha} + \frac{\omega}{2M} \nabla^2 \alpha$$
  

$$K^2 = k_0^2 + V_c^2 - 2\omega V_c^2 - 2\omega U_{opt}(\omega, r) = \frac{k_0^2 + V_c^2 - 2\omega V_c^2 + \beta - \frac{\omega}{2M} \nabla^2 \alpha}{1 - \overline{\alpha}}$$

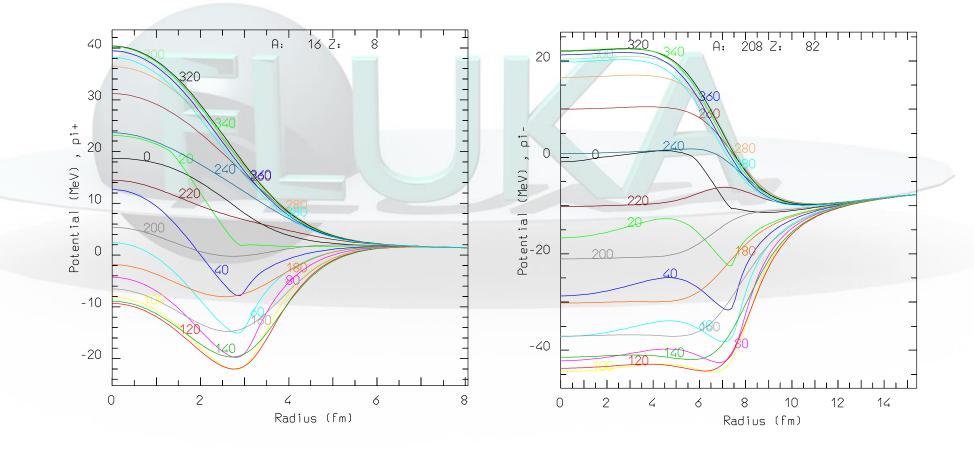
 $\overline{1+g\alpha}$  NUINT 2018

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#### Nuclear potential for pions: examples

The real part of the pion optical potential for  $\pi^-$  on <sup>16</sup>O (left) and  $\pi^+$  on <sup>208</sup>Pb (right) as a function of radius for various pion energies (MeV)



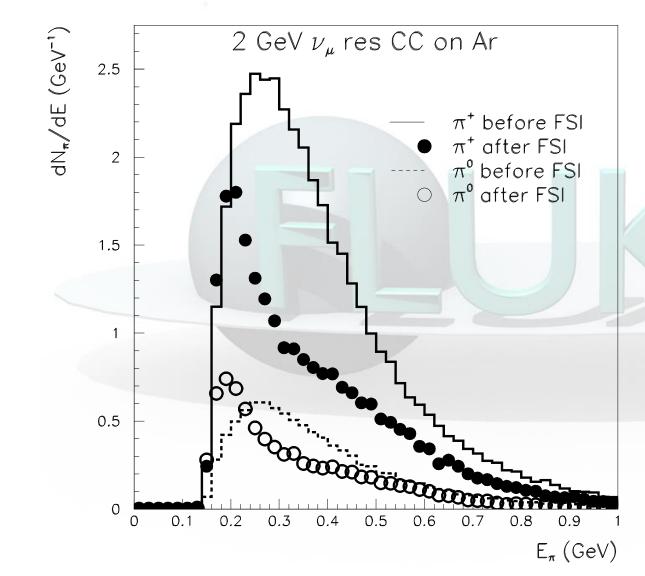
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#### **NUNDIS 2015: kinematics**

• Considered kinematical limits for the *PDF* available from GRV94, GRV98, and BBS analyses.

	Required	GRV94		GRV98		BBS	
Variable		Default	Tested	Default	Tested	Default	Tested
$E_{min}~{ m (GeV)}$		0.050					
$E_{max}~{ m (GeV)}$	$\geq \! 10^4$	$70 \cdot 10^{3}$			$10^5$		
$oldsymbol{Q}^2_{min}~({ m GeV^2})$	$\leq$ 5.5 $\cdot$ 10 <sup>-12</sup>	0.4	0.4	0.8	0.8	2	0.8
$oldsymbol{Q}^2_{max}~({ m GeV^2})$	$\geq 1.9{\cdot}10^4$	$10^6$	$10^9$	$10^{6}$	$10^9$	$10^4$	$2\cdot 10^4$
$x_{min}$	$\leq 1.4 \cdot 10^{-11}$	$10^{-5}$	$10^{-30}$	$10^{-9}$	$10^{-30}$	$10^{-4}$	$10^{-30}$
$x_{max}$	1	0.99999	0.99999	1	1	1	1

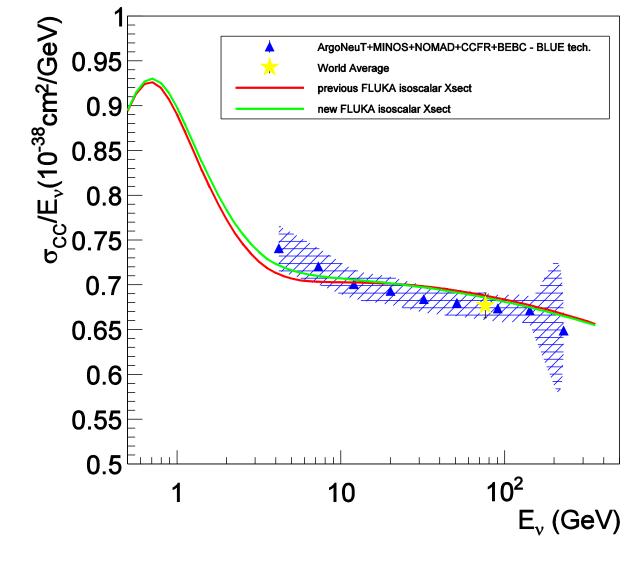
# Expected effect in Ar



Example of expected effect: 2 GeV  $v_{\mu}$  CC RES interaction in Ar: Pion production vs pion total E Lines: before FSI Symbols: after FSI

Solid and filled symbols: positive pions Dashed and open symbols: pizero

# Same, with evaluation of data systematics



Work in progress: Attempt to compare with a combined estimate from available data and relative systematic error, properly accounting for correlations

Focus on the CNGS energy range (5-30 GeV)

Recent experiments (like MINOS, NOMAD, CCFR 1997): measure the shape of neutrino flux, and get the Absolute normalization from Old measurements at high energy, performed using Narrow Band Beams (CCFR-E701 / CCFRR-E616 / CDHS) or Wide Band Beams (GARGAMELLE / BEBC)

→ Common systematic errors

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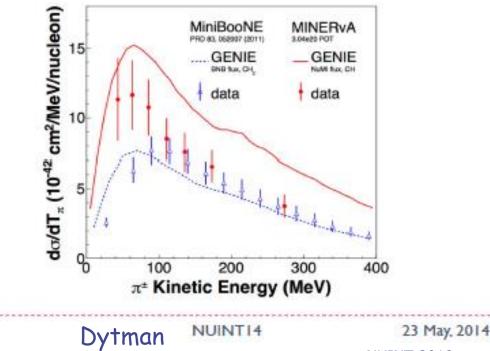
# Data on pion production

#### Thoughts on MINERVA vs. MiniBooNE

- Shapes very similar, no significant dip in either!
- Small difference in slope (Kinematics, FF, nonres differences).
- Biggest difference is at low energy.

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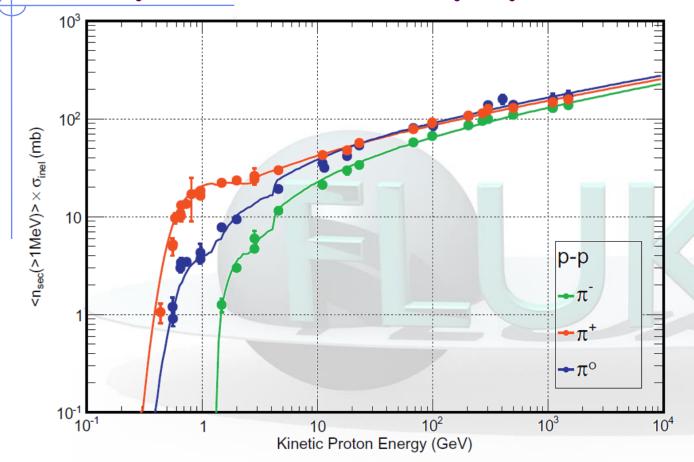


MiniBoone : CH<sub>2</sub>, Ev ≈0.8 GeV, cut on single pion, PHYS. REV.D 83, 052007 (2011) Minerva : CH, Ev ≈4 GeV, cut on W<1.4 arXiv:1406.6415v3 (2015)

Tension betw the two data sets vs models/ extent of FSI

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## Pion production in p-p collisions:



Inclusive cross section for the production of  $\pi^0$  (blue),  $\pi^+$  (red), and  $\pi^-$  (green) in p-p collisions as a function of the proton kinetic energy. Lines: simulations, symbols exp. Data. (figure from AstrPhys81, 21 (2016))

**Fig. 2.** Inclusive cross sections for the production of  $\pi^0$  (blue),  $\pi^+$  (red) and  $\pi^-$  (green) in *p*–*p* collision as function of the incoming proton kinetic energy. Lines: FLUKA simulation; points: data from Ref. [28]. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

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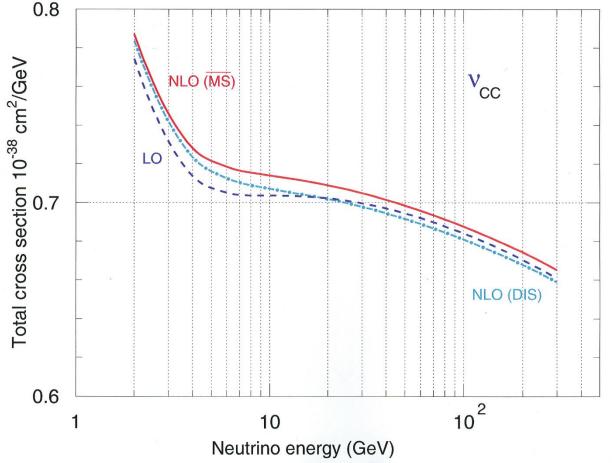
#### More on pdfs

Three versions of pdf from the GRV98 analysis are included as options for evaluating nucleon structure functions

- 1. Leading order analyses (LO)
- 2. Next to leading order analyses (NLO MS-bar)
- 3. Next to leading order analyses (NLO DIS)

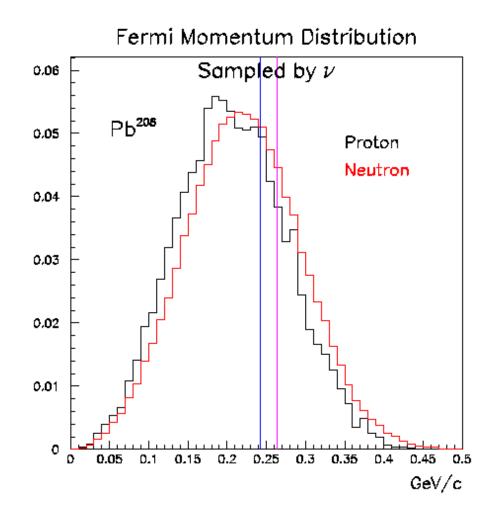
An interesting feature of the GRV98 analysis is a low threshold for the transferred , 4-momentum,  $Q^2 = 0.8$ GeV<sup>2</sup>

NLO (DIS) is chosen as a default option



M. Gluck, E. Reya and A. Vogt, Eur. Phys. J. C5 (1998) 461

# **Example of Fermi distribution**



Fermi momentum distribution as "seen" by interacting neutrinos on lead.

Vertical lines: maximum Fermi momentum according to un-smeared distribution

#### Nucleon levels inside the nuclear potential: schematic drawing

16 18 20

r (fm)

S<sub>p</sub>

Blue: neutronRed: proton

p/n are grouped on energy levels space according to the shell model (blue lines, shown for neutrons)
 Depending on the level, the maximum radius for the corresponding nucleon is less or equal to the nucleus radius (equal for the nucleons on the Fermi level)
 The potential well depth depends on the nucleon energy (not yet implemented for neutrino and electron interactions)
 Hit nucleon must go above Fermi level, can stay below separation energy.

**E**<sub>F</sub><sup>n</sup>

E<sub>F</sub><sup>p</sup>

S<sub>n</sub>

(MeV)

V(r)

2

<del>0</del>

### Nucleon correlation function:

Correlation function: it can be computed within the Fermi-gas model

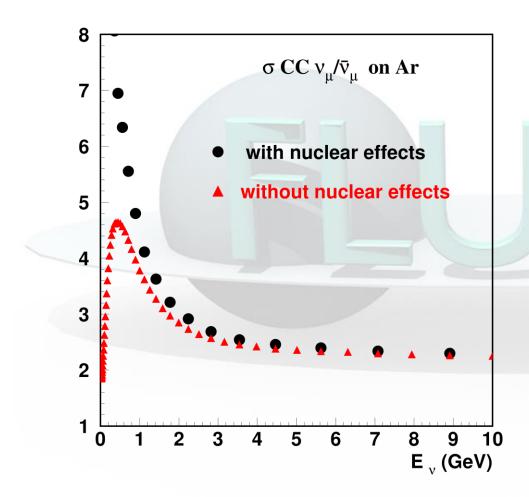
Due to the anti-symmetrization of the fermion's wave function, given a nucleon in a position  $\vec{r}$  in a nucleus with density  $\rho_0$ , the probability of finding another like nucleon in a position  $\vec{r}$ ' is decreased for small values of the distance  $d = |\vec{r} - \vec{r}'|$  by a factor

$$g(x) = 1 - \frac{1}{2} \left[ \frac{3}{x^2} \left( \frac{\sin x}{x} - \cos x \right) \right]^2$$

where  $x = K_{F}d$ , and the factor 1/2 in front of the parenthesis accounts for the two possible spin orientations.

Nucleon hard core effects are also taken into account, forbidding to "find" a nucleon of the same or different type at less than 1-1.5 fm distance. This check is applied at every possible re-interaction, checking against all nucleons already involved in previous interactions

# Effect of Pauli Blocking: example



Ratio of Neutrino/antineutrino  $\sigma$  CC vs (a)neutrino energy For interactions in Ar nuclei,  $v_{\mu}$ As calculated with FLUKA Black: full calculation Red: simple sum of v-N cross section

Smaller q<sup>2</sup> in anti-neutrino results in higher Pauli-blocking probability

### (Generalized) IntraNuclear Cascade

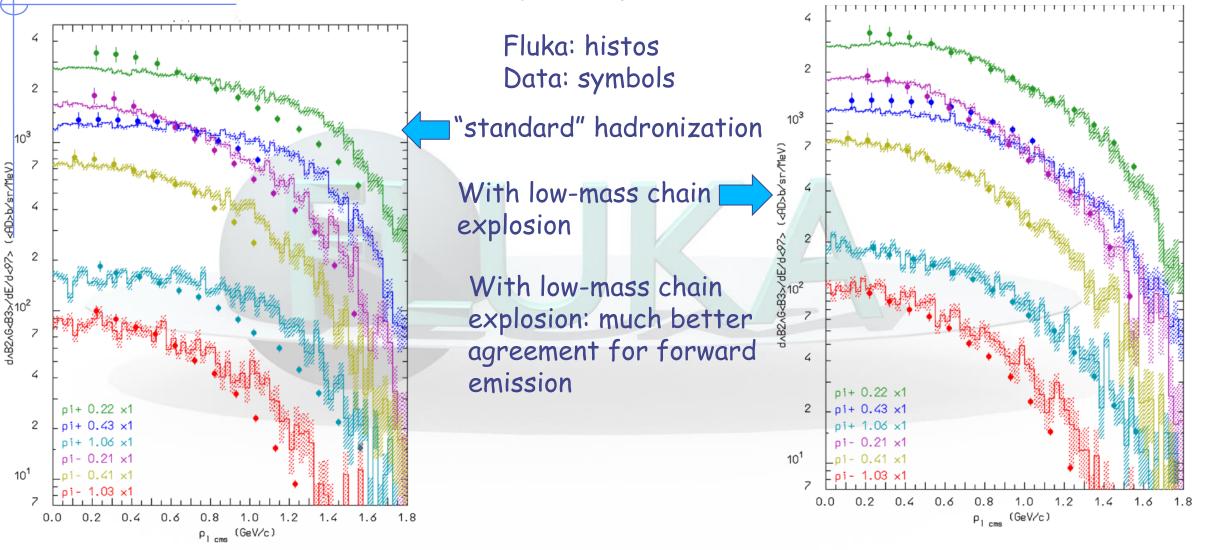
- Primary and secondary particles moving in the nuclear medium
- Target nucleons motion and nuclear potential well according to local Fermi gas model
- Interaction probability

 $\sigma_{\text{free}}$  + Fermi motion ×  $\rho(\mathbf{r})$  + exceptions (ex.  $\pi$ )

 $\sigma_{\text{free}} \text{ includes inelastic}$ 

- Glauber cascade at higher energies
- Classical trajectories (+) nuclear mean potential (resonant for  $\pi$ )
- Curvature from nuclear potential  $\rightarrow$  refraction and reflection
- Interactions are incoherent and uncorrelated
- Interactions in projectile-target nucleon CMS
- Fully relativistic
- Multibody absorption for  $\pi$ ,  $\mu^-$
- Special for K<sup>-</sup>, antinucleon,  $\pi$  (phase shifts, annihilation)
- Quantum effects (Pauli, formation zone, correlations...)
- Exact conservation of energy, momenta and all additive quantum numbers, including nuclear recoil
- First excited nuclear levels accounted for (more levels in evaporation/gamma deexc) Oct 2018
  50

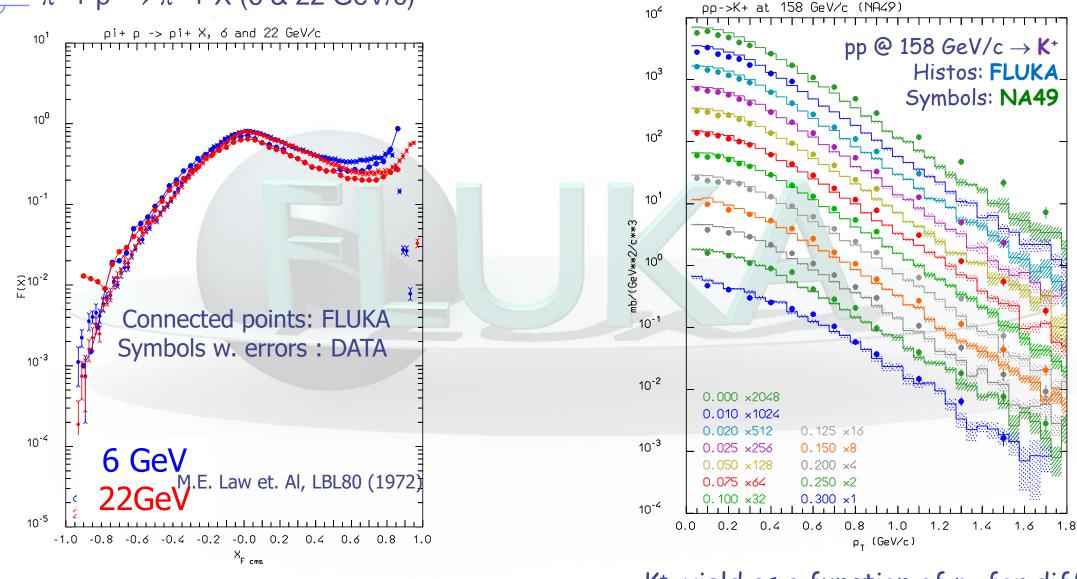
# Effect of "low energy explosion"



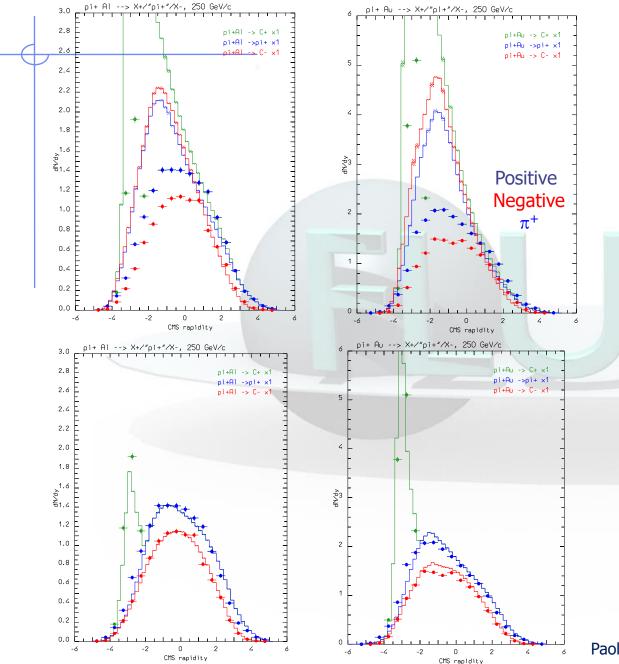
Pion+ and Pion- emission from proton-proton interactions at 12.6 GeV. Longitudinal momentum distributions at different transverse momenta

#### Hadronization in hadron-nucleon: examples

#### $\pi^+ + p \rightarrow \pi^+ + X (6 \& 22 \text{ GeV/c})$



 $K^+$  yield as a function of  $p_T$  for different  $X_F$  bins, 158 GeV/c protons



# Effect/Results on hadron-induced reactions

Rapidity distribution of charged particles produced in 250 GeV  $\pi^+$ collisions on Aluminum (left) and Gold (right) Points: exp. data ( Agababyan et al., ZPC50, 361 (1991)).

Top: without formation zone

Bottom: with formation zone

#### More particle production examples: 14.6 GeV/c

