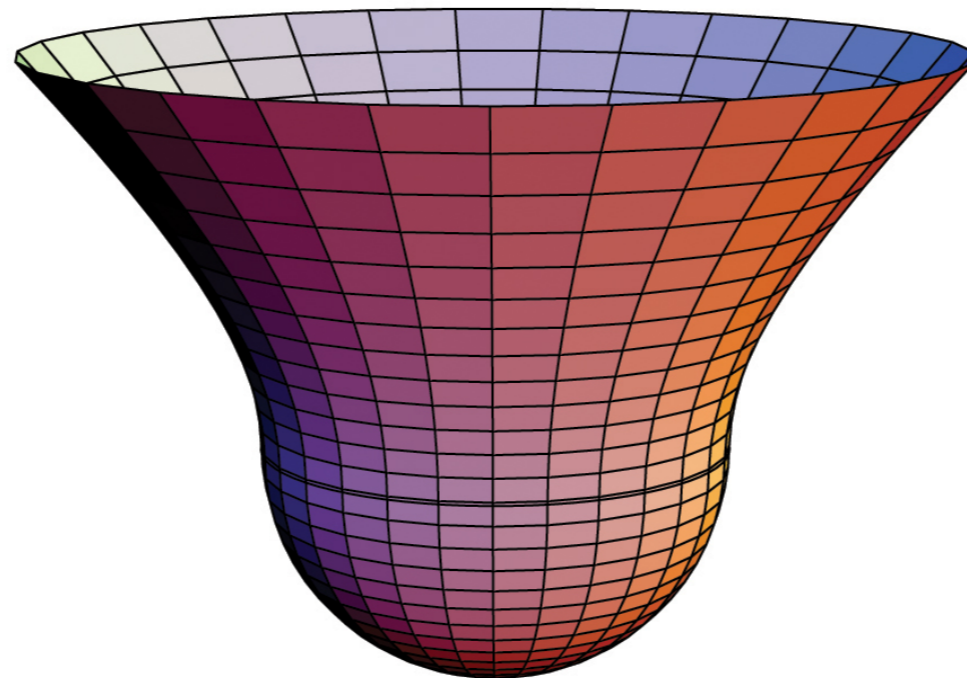


# The no-boundary proposal: alive and well

Oliver Janssen (NYU,CCPP), 05/06/18 @ PASCOS2018



Based on 1705.05340, 1804.01102 and ongoing work with  
Juan Diaz, Jonathan Halliwell, Jim Hartle, Thomas Hertog &  
Yannick Vreys



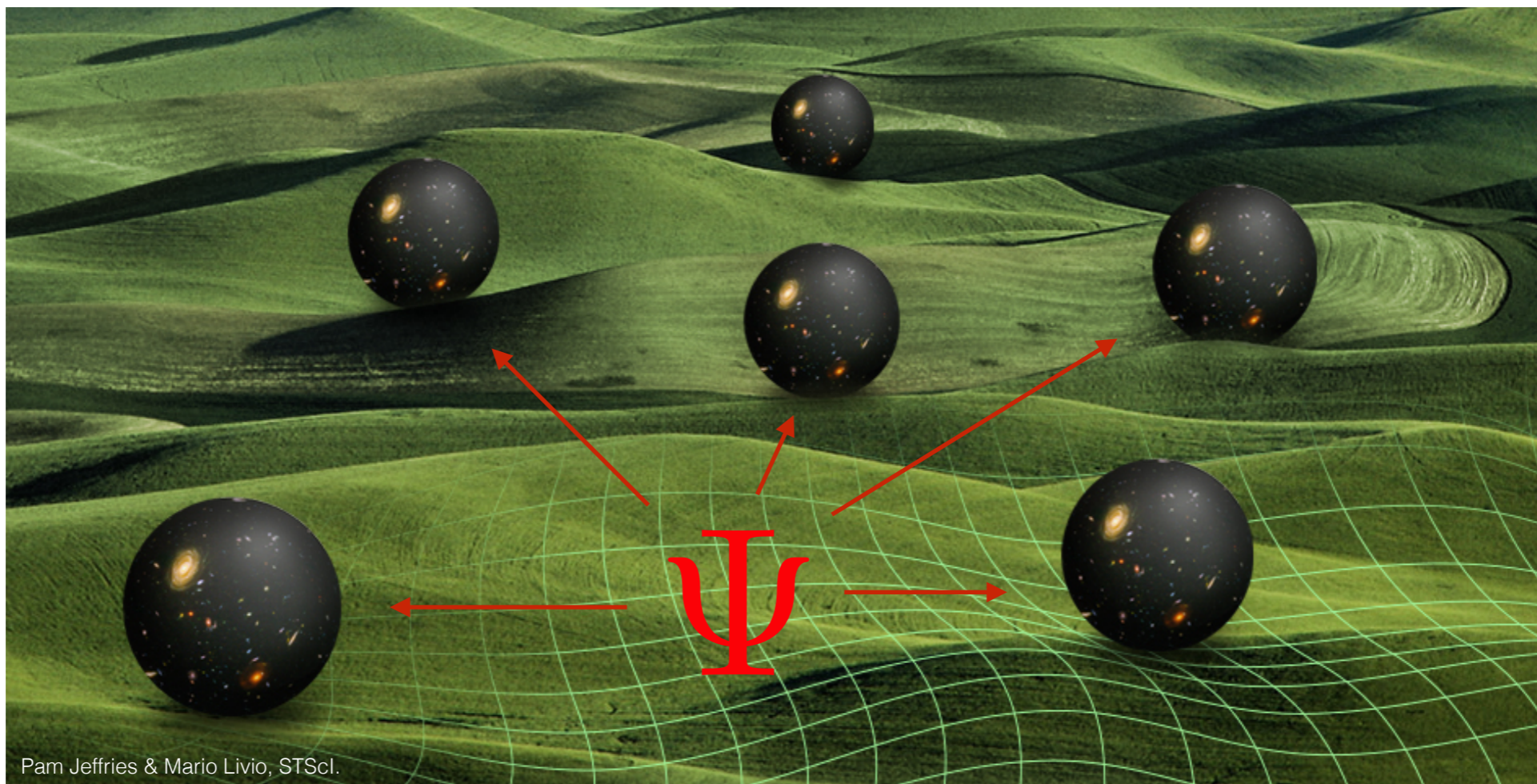
Pam Jeffries & Mario Livio, STScI.

# Quantum cosmology

Wave function of the universe  $\Psi$

Hartle & Hawking's proposal for  $\Psi$  : “ground state of quantum gravity”

→ theory of initial conditions for cosmology



# Recently in the news

- The mathematical and physical foundations underlying the no-boundary proposal have been claimed ill-defined, in a series of four papers (Feldbrugge, Lehnert & Turok, 1703.02076, 1705.00192, 1708.05104 and 1805.01609)
- One claims to have introduced a “new element of rigor”, Picard-Lefschetz theory, that would put the theory on firmer mathematical footing. One also insists on a purely Lorentzian formulation of the theory
- Using this, one claims that *any* implementation of the no-boundary idea via a semiclassical path integral leads to *unsuppressed* fluctuations around isotropic backgrounds, concluding that the idea in this form should be discarded

# Proposal for $\Psi$ (Hartle & Hawking '83)

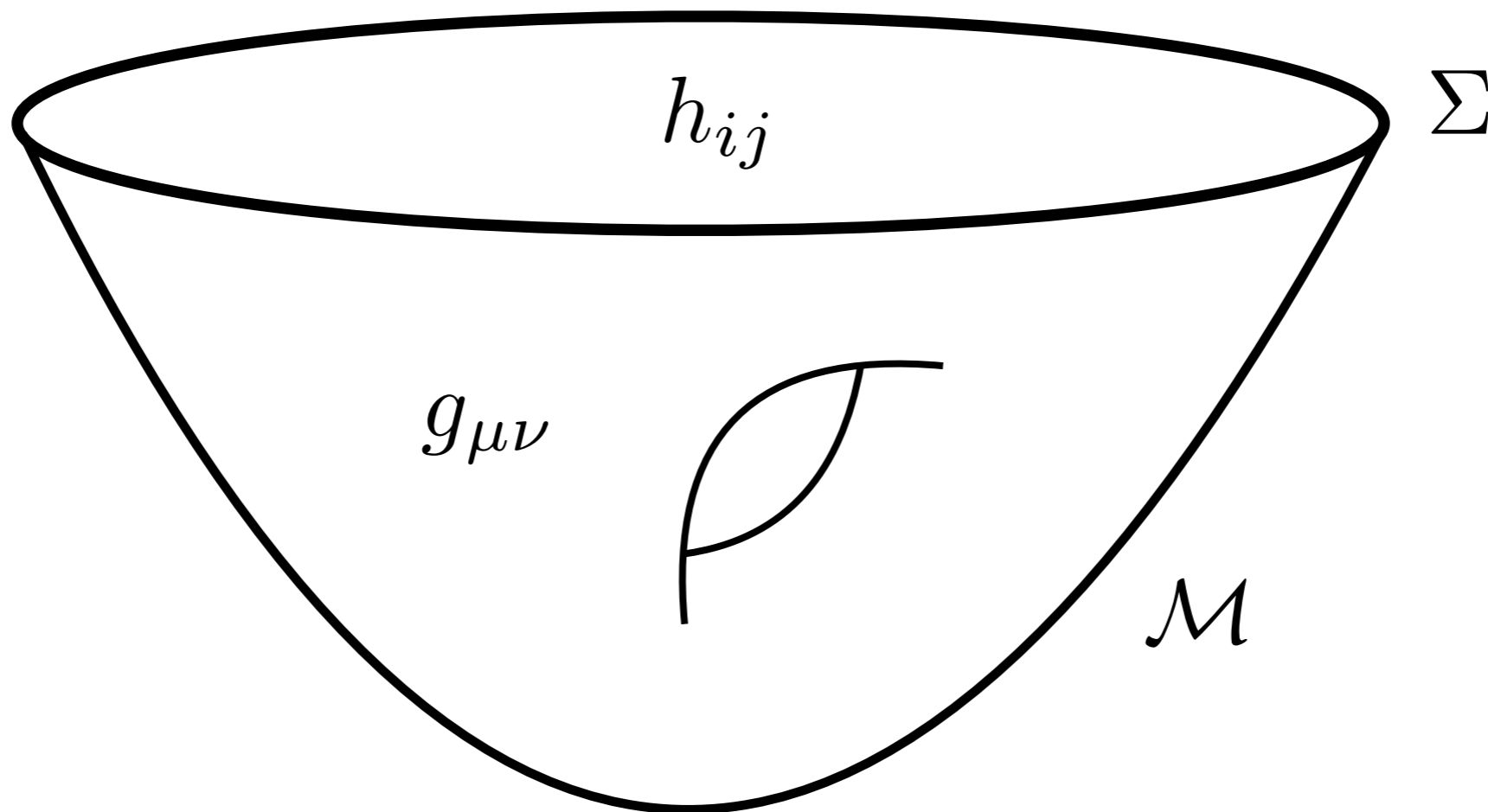
$$\Psi_0[\text{three-geometry}] = \sum_{\text{four-geometries}} \exp(-I[g])$$

Sum over (all Euclidean) four-geometries  $g$  which have a boundary on which the induced three-geometry is the argument of the wave function, with  $I$  the Euclidean gravitational action

If the three-geometry is closed (this talk), the four-geometries are compact and have as *only boundary* the three-manifold on which the argument of the wave function lives

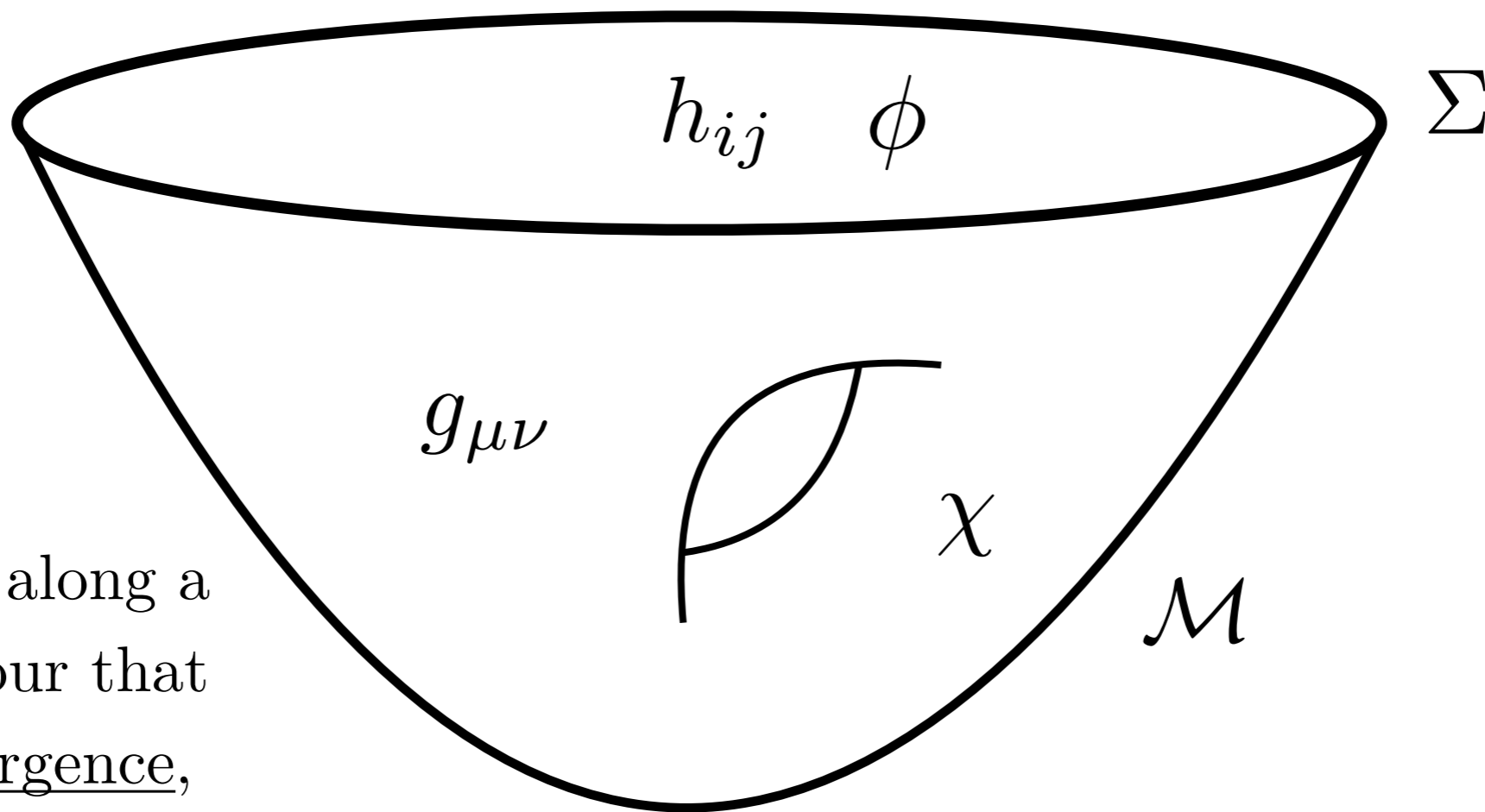
# Proposal for $\Psi$ (Hartle & Hawking '83)

$$\Psi_0[\text{three-geometry}] = \sum_{\text{four-geometries}} \exp(-I[g])$$



# Proposal for $\Psi$ (Hartle & Hawking '83)

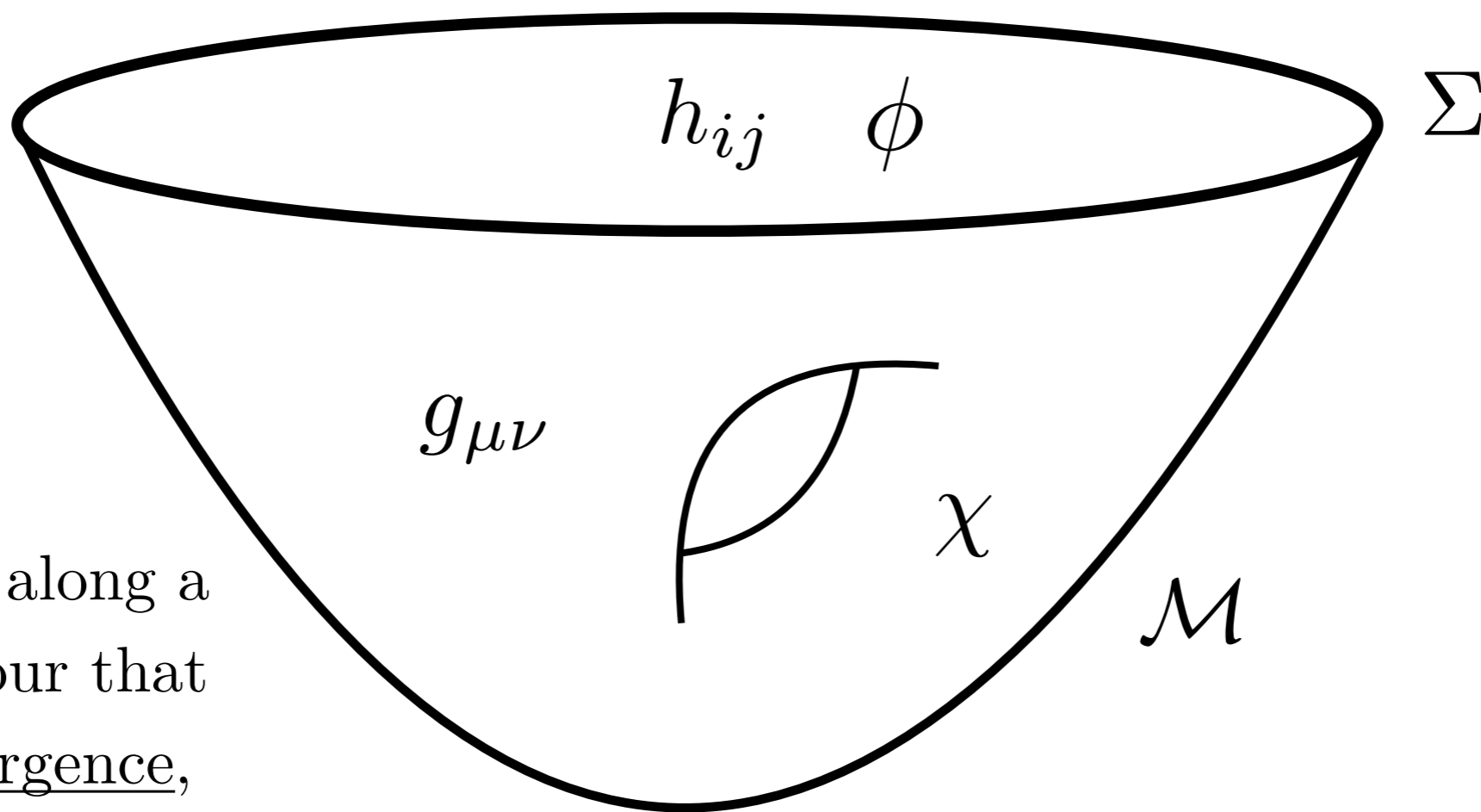
$$\Psi_{\text{HH}} [h_{ij}, \phi]_{\Sigma} \sim \sum_{\mathcal{M}} \int_{\mathcal{C}} \mathcal{D}g_{\mu\nu} \mathcal{D}\chi e^{-S_E[g_{\mu\nu}, \chi; \mathcal{M}]/\hbar}$$



integration is along a  
*complex* contour that  
ensures convergence,  
amongst other  
requirements

# Proposal for $\Psi$ (Hartle & Hawking '83)

$$\Psi_{\text{HH}} [h_{ij}, \phi]_{\Sigma} \sim \sum_{\mathcal{M}} \int_{\mathcal{C}} \mathcal{D}g_{\mu\nu} \mathcal{D}\chi e^{iS[g_{\mu\nu}, \chi; \mathcal{M}]/\hbar}$$



integration is along a  
*complex* contour that  
ensures convergence,  
amongst other  
requirements



# Proposal for $\Psi$ (Hartle & Hawking '83)

$$\Psi_{\text{HH}} [h_{ij}, \phi]_{\Sigma} \sim \sum_{\mathcal{M}} \int_{\mathcal{C}} \mathcal{D}g_{\mu\nu} \mathcal{D}\chi e^{iS[g_{\mu\nu}, \chi; \mathcal{M}]/\hbar}$$

Quantum gravity?

In the absence of a complete theory of quantum gravity it is reasonable to try and identify principles which specify a wave function of the universe which is adequate for predictions on scales larger than the Planck scale using a low-energy effective gravitational theory. The hope would be that such principles could be generalized to a complete theory.

(Halliwell & Hartle '91)

but cf. 1111.6090, “Holographic no-boundary measure”  
1711.10037, “Higher Spin de Sitter Hilbert Space”

# Proposal for $\Psi$ (Hartle & Hawking '83)

$$\Psi_{\text{HH}} [h_{ij}, \phi]_{\Sigma} \sim \sum_{\mathcal{M}} \int_{\mathcal{C}} \mathcal{D}g_{\mu\nu} \mathcal{D}\chi e^{iS[g_{\mu\nu}, \chi; \mathcal{M}]/\hbar}$$

Some principles:

1. Integral should converge
2.  $\Psi$  should satisfy constraints implementing diff. invariance  
(Wheeler-DeWitt eq.)
3. Classical spacetime on familiar scales should be implied when the universe is large
4. Reproduction of QFT for matter when spacetime is approx. classical, i.e. matter fluctuations on a fixed (curved) background should be stable

# Proposal for $\Psi$ (Hartle & Hawking '83)

$$\Psi_{\text{HH}} [h_{ij}, \phi]_{\Sigma} \sim \sum_{\mathcal{M}} \int_{\mathcal{C}} \mathcal{D}g_{\mu\nu} \mathcal{D}\chi e^{iS[g_{\mu\nu}, \chi; \mathcal{M}]/\hbar}$$

Some principles:

Feldbrugge et al. don't satisfy these points because of insistence on Lorentzian contour

1. Integral should converge
2.  $\Psi$  should satisfy constraints implementing diff. invariance (Wheeler-DeWitt eq.)
3. Classical spacetime on familiar scales should be implied when the universe is large
4. Reproduction of QFT for matter when spacetime is approx. classical, i.e. matter fluctuations on a fixed (curved) background should be stable

# Minisuperspace quantum cosmology: the general programme

- Path integral over all physical dof. in GR too difficult technically. The best we have been able to do is “truncate” the theory down to a small number of fields of a single variable: *minisuperspaces*
- Typically only time reparametrization invariance and isotropic fields are kept. Manifold decomposed into 3+1, and we consider metric Anzätze / action

$$ds^2 = -N(\tau)^2 d\tau^2 + h_{ij}[q^\alpha(\tau)] dx^i dx^j$$

$$\begin{aligned} S[q, \Pi; N] &= \int_{\mathcal{M}} d^4x \left( \frac{R}{2} - \Lambda \right) & H(q, \Pi) &\equiv \frac{1}{2} f^{\alpha\beta}(q) \Pi_\alpha \Pi_\beta + U(q) \\ &= \int_0^1 d\tau (\Pi_\alpha \dot{q}^\alpha - NH) \end{aligned}$$

# Minisuperspace quantum cosmology: the general programme

$$ds^2 = -N(\tau)^2 d\tau^2 + h_{ij}[q^\alpha(\tau)] dx^i dx^j$$

$$S[q, \Pi; N] = \int_0^1 d\tau (\Pi_\alpha \dot{q}^\alpha - NH) \quad H(q, \Pi) \equiv \frac{1}{2} f^{\alpha\beta}(q) \Pi_\alpha \Pi_\beta + U(q)$$

- Classical reparametrization invariance leads to constraint  $H = 0$



$$\delta N = \dot{f}$$

$$\delta q^\alpha = f\{q^\alpha, H\} \quad f(0) = 0 = f(1)$$

$$\delta p_\beta = f\{p_\beta, H\}$$

# Minisuperspace quantum cosmology: the general programme

$$ds^2 = -N(\tau)^2 d\tau^2 + h_{ij}[q^\alpha(\tau)] dx^i dx^j$$

$$S[q, \Pi; N] = \int_0^1 d\tau (\Pi_\alpha \dot{q}^\alpha - NH) \quad H(q, \Pi) \equiv \frac{1}{2} f^{\alpha\beta}(q) \Pi_\alpha \Pi_\beta + U(q)$$

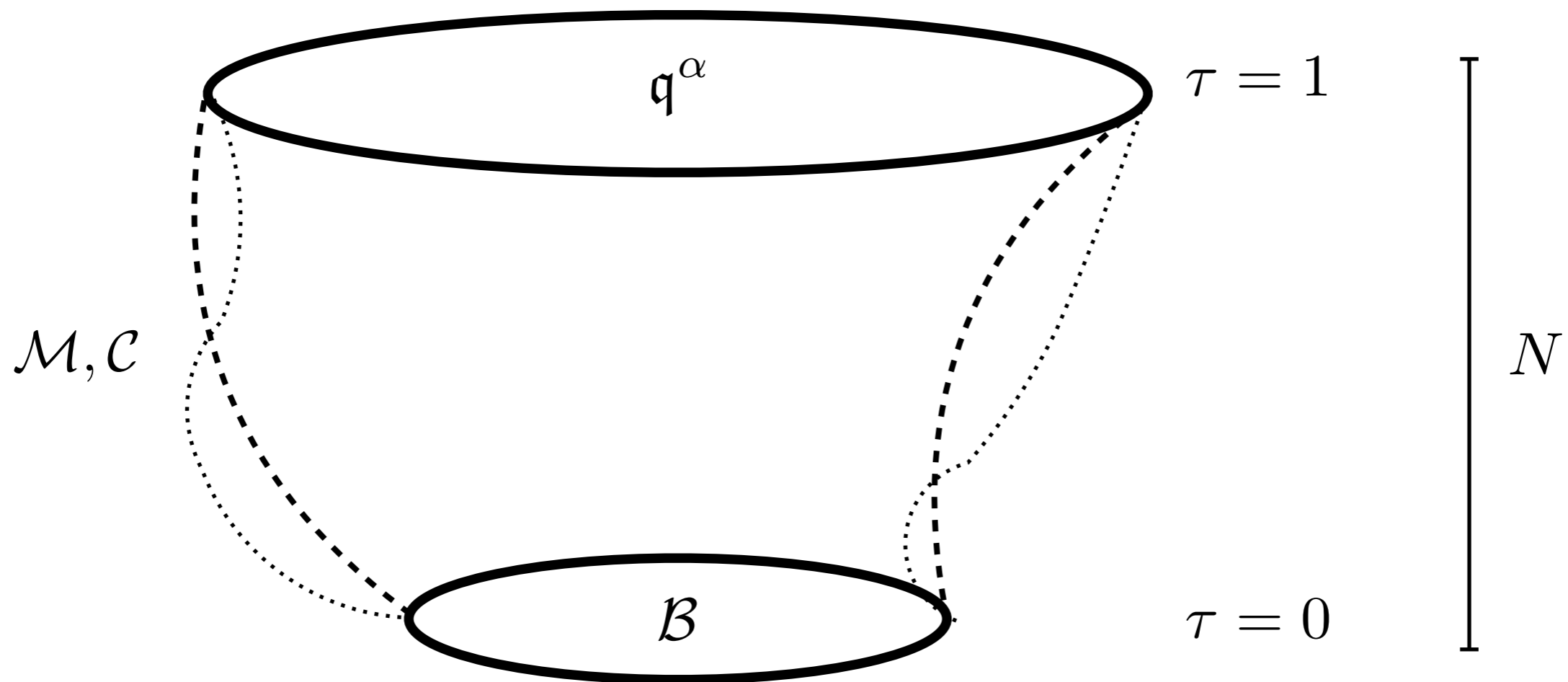
- Classical reparametrization invariance leads to constraint  $H = 0$
- In quantum theory: physical state  $\Psi(q^\alpha)$  annihilated by the operator version of the constraint

$$\hat{H}\Psi = 0 \quad (\text{Wheeler-DeWitt eq.})$$

In position space: 
$$\hat{H} = -\frac{\hbar^2}{2} \nabla^2 + \hbar^2 \xi R + U, \quad \xi = \frac{2-D}{8(D-1)}$$

# Minisuperspace quantum cosmology: the general programme

$$\Psi(\mathbf{q}^\alpha) = \sum_{\mathcal{M}} \int_{\mathcal{C}} dN \int_{\mathcal{B}}^{q^\alpha(1)=\mathbf{q}^\alpha} \mathcal{D}q^\alpha \mathcal{D}\Pi_\alpha e^{iS[q,\Pi;N]/\hbar}$$

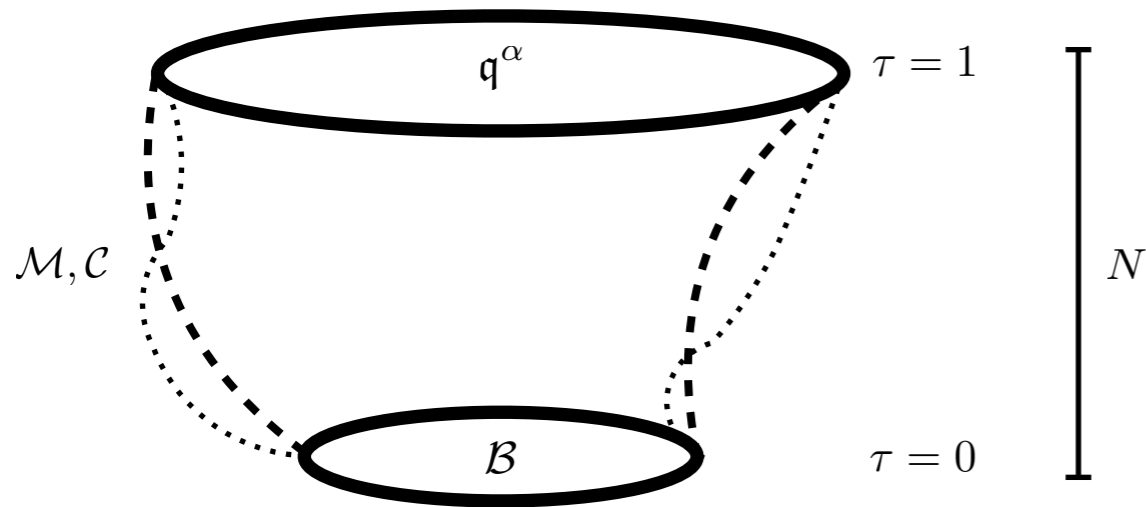


# Minisuperspace quantum cosmology: the general programme

$$\Psi(\mathbf{q}^\alpha) = \sum_{\mathcal{M}} \int_{\mathcal{C}} dN \int_{\mathcal{B}}^{q^\alpha(1)=\mathbf{q}^\alpha} \mathcal{D}q^\alpha \mathcal{D}\Pi_\alpha e^{iS[q,\Pi;N]/\hbar}$$



propagator  $K(\mathbf{q}^\alpha, N; \mathcal{B}, 0)$



Schrödinger equation:

$$HK = i\hbar \partial_N K$$

With suitable contour  $\mathcal{C}$ ,  
 $\Psi$  solves the WDW equation

$$H\Psi = 0$$

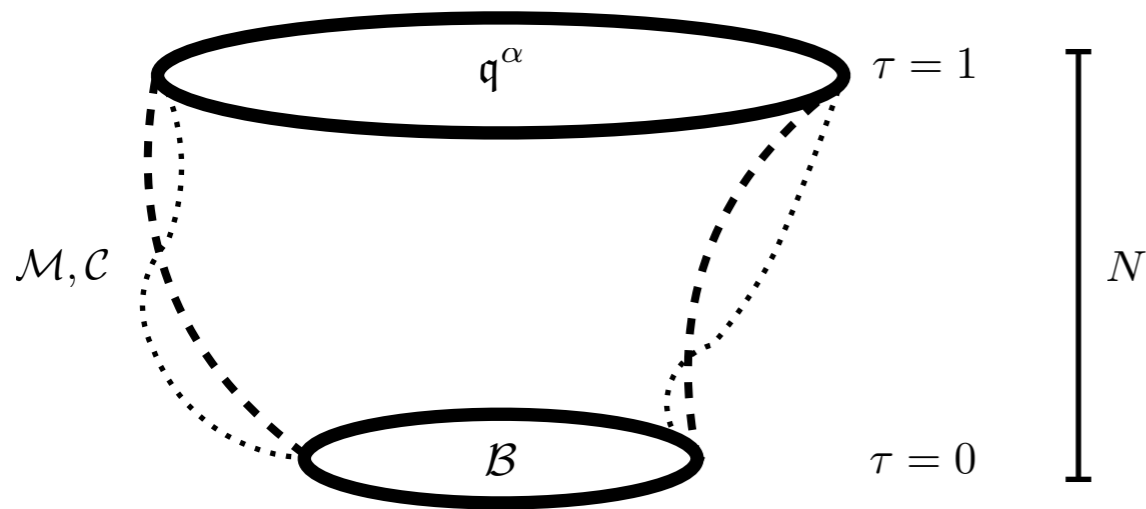


# Minisuperspace quantum cosmology: the general programme

$$\Psi(\mathbf{q}^\alpha) = \sum_{\mathcal{M}} \int_{\mathcal{C}} dN \int_{\mathcal{B}}^{q^\alpha(1)=\mathbf{q}^\alpha} \mathcal{D}q^\alpha \mathcal{D}\Pi_\alpha e^{iS[q,\Pi;N]/\hbar}$$



propagator  $K(\mathbf{q}^\alpha, N; \mathcal{B}, 0)$



Schrödinger equation:

$$HK = i\hbar \partial_N K$$

With suitable contour  $\mathcal{C}$ ,  
 $\Psi$  solves the WDW equation

Feldbrugge et al.:  $H\Psi \propto \delta$

$$H\Psi = 0$$

# The no-boundary proposal in minisuperspace models

$$\Psi_{\text{HH}}(\mathbf{q}^\alpha) = \sum_{\mathcal{M}} \int_{\mathcal{C}} dN \int_{\mathcal{B}}^{q^\alpha(1)=\mathbf{q}^\alpha} \mathcal{D}q^\alpha \mathcal{D}\Pi_\alpha e^{iS[q,\Pi;N]/\hbar}$$

# The no-boundary proposal in minisuperspace models

$$\Psi_{\text{HH}}(\mathbf{q}^\alpha) = \sum_{\mathcal{M}} \int_{\mathcal{C}} dN \int_{\mathcal{B}}^{\mathbf{q}^\alpha(1)=\mathbf{q}^\alpha} \mathcal{D}q^\alpha \mathcal{D}\Pi_\alpha e^{iS[q,\Pi;N]/\hbar}$$



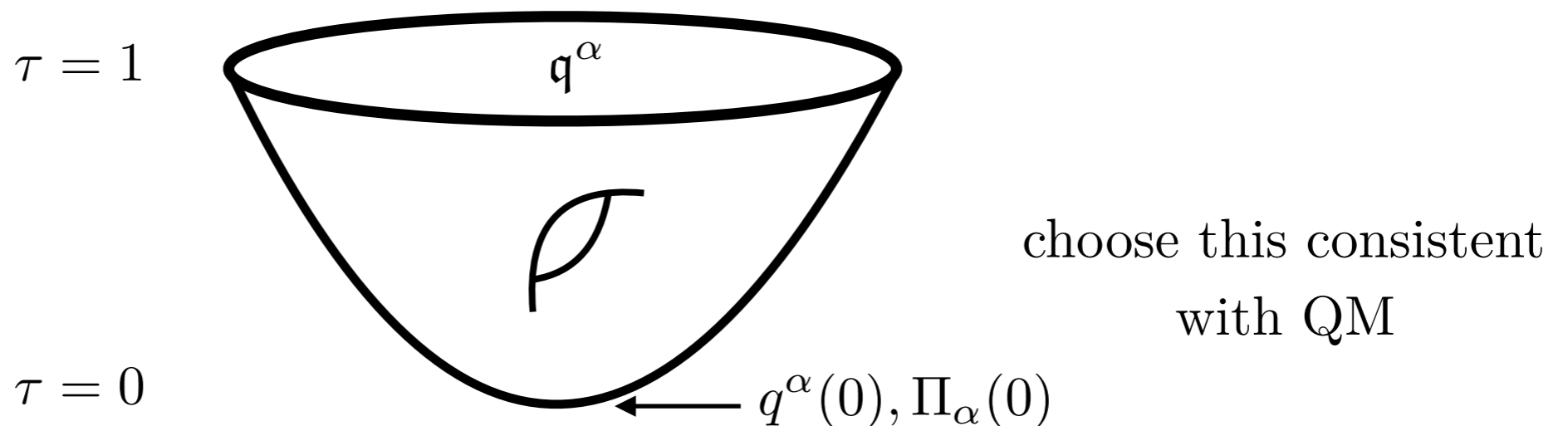
Manifolds are those which admit solutions to the Einstein equation, in the MSS Ansatz, that are everywhere *regular* (at least, *classically*)

# The no-boundary proposal in minisuperspace models

$$\Psi_{\text{HH}}(\mathbf{q}^\alpha) = \sum_{\mathcal{M}} \int_{\mathcal{C}} dN \int_{\mathcal{B}}^{q^\alpha(1)=\mathbf{q}^\alpha} \mathcal{D}q^\alpha \mathcal{D}\Pi_\alpha e^{iS[q,\Pi;N]/\hbar}$$

Manifolds are those which admit solutions to the Einstein equation, in the MSS Ansatz, that are everywhere *regular* (at least, *classically*)

Three-volume should go to zero, in a regular way (at least, classically)

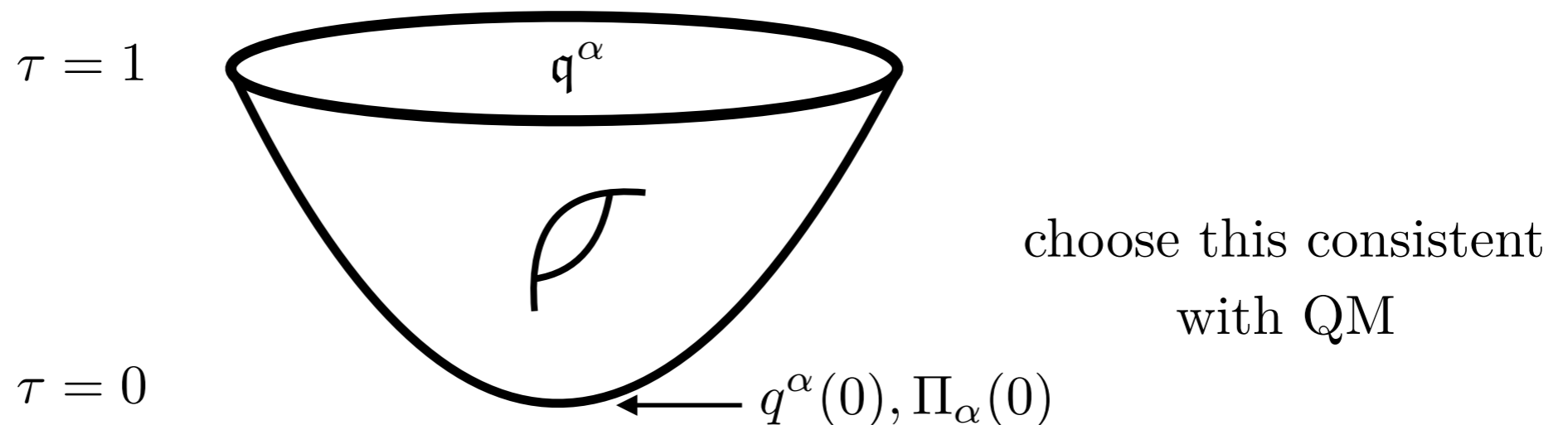


# The no-boundary proposal in minisuperspace models

$$\Psi_{\text{HH}}(\mathbf{q}^\alpha) = \sum_{\mathcal{M}} \int_{\mathcal{C}} dN \int_{\mathcal{B}}^{\mathbf{q}^\alpha(1)=\mathbf{q}^\alpha} \mathcal{D}q^\alpha \mathcal{D}\Pi_\alpha e^{iS[q,\Pi;N]/\hbar}$$

Manifolds are those which admit solutions to the Einstein equation, in the MSS Ansatz, that are everywhere *regular* (at least, *classically*)

Three-volume should go to zero, in a regular way (at least, classically)



# $\Psi_{\text{HH}}$ in an anisotropic minisuperspace

We studied a two-dimensional, anisotropic minisuperspace model (Bianchi type IX cosmology)

$$ds^2 = -\frac{N(\tau)^2}{q(\tau)} d\tau^2 + \frac{p(\tau)}{4} (\sigma_1^2 + \sigma_2^2) + \frac{q(\tau)}{4} \sigma_3^2$$

This is a non-linear completion of the model studied by Feldbrugge et al.

$$\Psi_{\text{HH}}(\mathfrak{p}, \mathfrak{q}) = \sum_{\mathcal{M}} \int_{\mathcal{C}} dN \int_{\mathcal{B}}^{\substack{(p,q)(1)=(\mathfrak{p},\mathfrak{q}) \\ p(0)=0, \Pi_q(0)=-i}} \mathcal{D}p \mathcal{D}q \mathcal{D}\Pi_p \mathcal{D}\Pi_q e^{iS[p,q,\Pi_p,\Pi_q;N]/\hbar}$$

four-ball
closed contour around origin

# $\Psi_{\text{HH}}$ in an anisotropic minisuperspace

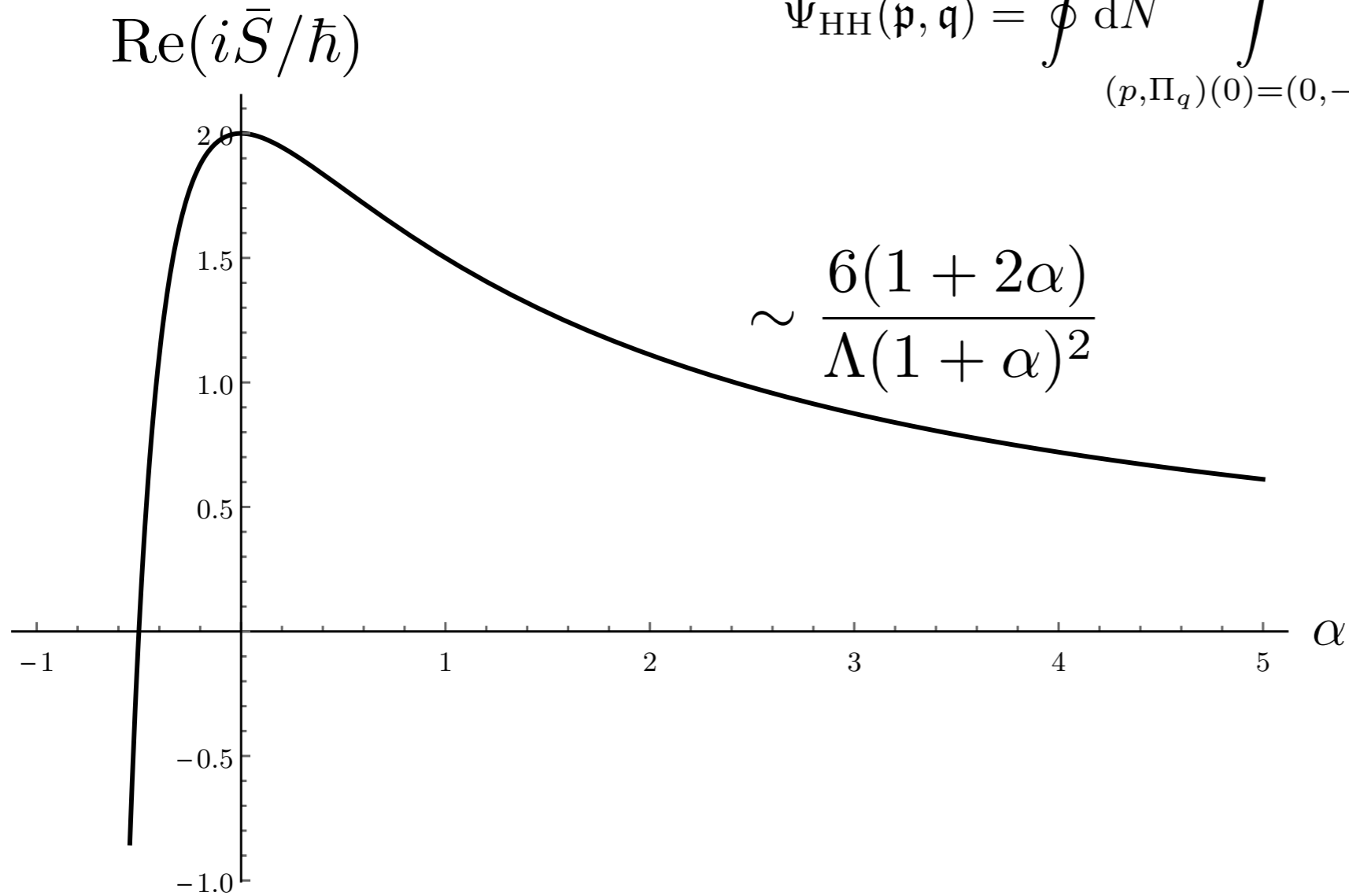
$$\Psi_{\text{HH}}(\mathfrak{p}, \mathfrak{q}) = \oint_{(p, \Pi_q)(0)=(0, -i)}^{(p, q)(1)=(\mathfrak{p}, \mathfrak{q})} dN \int \mathcal{D}p \mathcal{D}q \mathcal{D}\Pi_p \mathcal{D}\Pi_q e^{iS[p, q, \Pi_p, \Pi_q; N]/\hbar}$$

Instantons: (part of) Taub-NUT-de Sitter with complex NUT parameter

$$\begin{aligned} p &= 4(\tau^2 - L^2) & \Delta &= (\tau - L)^2 - \frac{\Lambda}{3}(\tau + 3L)(\tau - L)^3 \\ q &= \frac{16L^2\Delta}{\tau^2 - L^2} & L &= \frac{iN_s}{4} \end{aligned}$$

# $\Psi_{\text{HH}}$ in an anisotropic minisuperspace

$$\Psi_{\text{HH}}(\mathfrak{p}, \mathfrak{q}) = \oint_{(p, \Pi_q)(0)=(0, -i)}^{(p, q)(1)=(\mathfrak{p}, \mathfrak{q})} dN \int \mathcal{D}p \mathcal{D}q \mathcal{D}\Pi_p \mathcal{D}\Pi_q e^{iS[p, q, \Pi_p, \Pi_q; N]/\hbar}$$



$\alpha = \mathfrak{p}/\mathfrak{q} - 1$   
squashing parameter

Fluctuations around isotropy are suppressed in the no-boundary state



# Comments and conclusions

- When implementing the NBP in detail in toy models of quantum gravity we are faced with ambiguities, such as the choice of contour for PI and QM boundary conditions. These are constrained by mathematical and physical requirements. In simple models there is a unique semiclassical no-boundary wave function
- We did not mention Picard-Lefschetz theory
- We calculated the/a no-boundary wave function in an anisotropic minisuperspace and saw that fluctuations are suppressed. The calculation of Feldbrugge et al. is plagued by the breakdown of perturbation theory
- The no-boundary proposal is alive and well

# Comments and conclusions

- When implementing the NBP in detail in toy models of quantum gravity we are faced with ambiguities, such as the choice of contour for PI and QM boundary conditions. These are constrained by mathematical and physical requirements. In simple models there is a unique semiclassical no-boundary wave function
- We did not mention Picard-Lefschetz theory
- We calculated the/a no-boundary wave function in an anisotropic minisuperspace and saw that fluctuations are suppressed. The calculation of Feldbrugge et al. is plagued by the breakdown of perturbation theory
- The no-boundary proposal is alive and well

Thank you!



# Feldbrugge et al.: what went wrong?

Minisuperspace: tensor perturbations of FLRW metric for a homogeneous and isotropic closed spacetime

$$ds^2 = -\frac{N(\tau)^2}{q(\tau)} d\tau^2 + q(\tau) (\Omega_{ij} + \varepsilon_{ij}) d\Omega^i d\Omega^j$$

$$\varepsilon_{ij} = 2 \sum_{n,l,m} \varphi_{nlm}(\tau) (G_{ij})_{lm}^n(\boldsymbol{\Omega})$$

$$n = 2, 3, \dots$$

$$l \in \{2, 3, \dots, n\}$$

$$m \in \{-l, \dots, l\}$$


$$\nabla^2 (G_{ij})_{lm}^n = -(n^2 + 2n - 2) (G_{ij})_{lm}^n$$

transverse traceless tensor harmonics on  $S^3$

So in principle  $q^\alpha = \{q, \varphi_{nlm}\}$ ,  $S = S_{\text{EH}} + \Lambda, \dots$

# Feldbrugge et al.: what went wrong?

---


$$ds^2 = -\frac{N(\tau)^2}{q(\tau)} d\tau^2 + q(\tau) (\Omega_{ij} + \varepsilon_{ij}) d\Omega^i d\Omega^j$$

$$\varepsilon_{ij} = 2 \sum_{n,l,m} \varphi_{nlm}(\tau) (G_{ij})_{lm}^n(\boldsymbol{\Omega})$$

- Expand  $S$  to quadratic order in  $\varphi_{nlm}$  and attempt to evaluate PI semicl.

$$S \rightarrow S_{\text{background}} + \int_0^1 d\tau N \left( \frac{1}{2N^2} q^2 \dot{\varphi}_{nlm}^2 - \frac{n(n+2)}{2} \varphi_{nlm}^2 \right)$$

(idem massless scalar)

# Feldbrugge et al.: what went wrong?

---

$$ds^2 = -\frac{N(\tau)^2}{q(\tau)} d\tau^2 + q(\tau) (\Omega_{ij} + \varepsilon_{ij}) d\Omega^i d\Omega^j$$

$$\varepsilon_{ij} = 2 \sum_{n,l,m} \varphi_{nlm}(\tau) (G_{ij})_{lm}^n(\boldsymbol{\Omega})$$

- Expand  $S$  to quadratic order in  $\varphi_{nlm}$  and attempt to evaluate PI semiclass.

$$S \rightarrow S_{\text{background}} + \int_0^1 d\tau N \left( \frac{1}{2N^2} q^2 \dot{\varphi}_{nlm}^2 - \frac{n(n+2)}{2} \varphi_{nlm}^2 \right)$$

(idem massless scalar)

$$\Psi_{\text{F}}(\mathbf{q}, \phi_{nlm}) = \int_{\mathbb{R}^+} dN \int_{?}^{(q, \varphi_{nlm})(1) = (\mathbf{q}, \phi_{nlm})} \mathcal{D}q \mathcal{D}\varphi \mathcal{D}\Pi_q \mathcal{D}\Pi_\varphi e^{iS/\hbar}$$

# Feldbrugge et al.: what went wrong?

$$ds^2 = -\frac{N(\tau)^2}{q(\tau)} d\tau^2 + q(\tau) (\Omega_{ij} + \varepsilon_{ij}) d\Omega^i d\Omega^j$$

$$\varepsilon_{ij} = 2 \sum_{n,l,m} \varphi_{nlm}(\tau) (G_{ij})_{lm}^n(\boldsymbol{\Omega})$$

- Expand  $S$  to quadratic order in  $\varphi_{nlm}$  and attempt to evaluate PI semiclass.

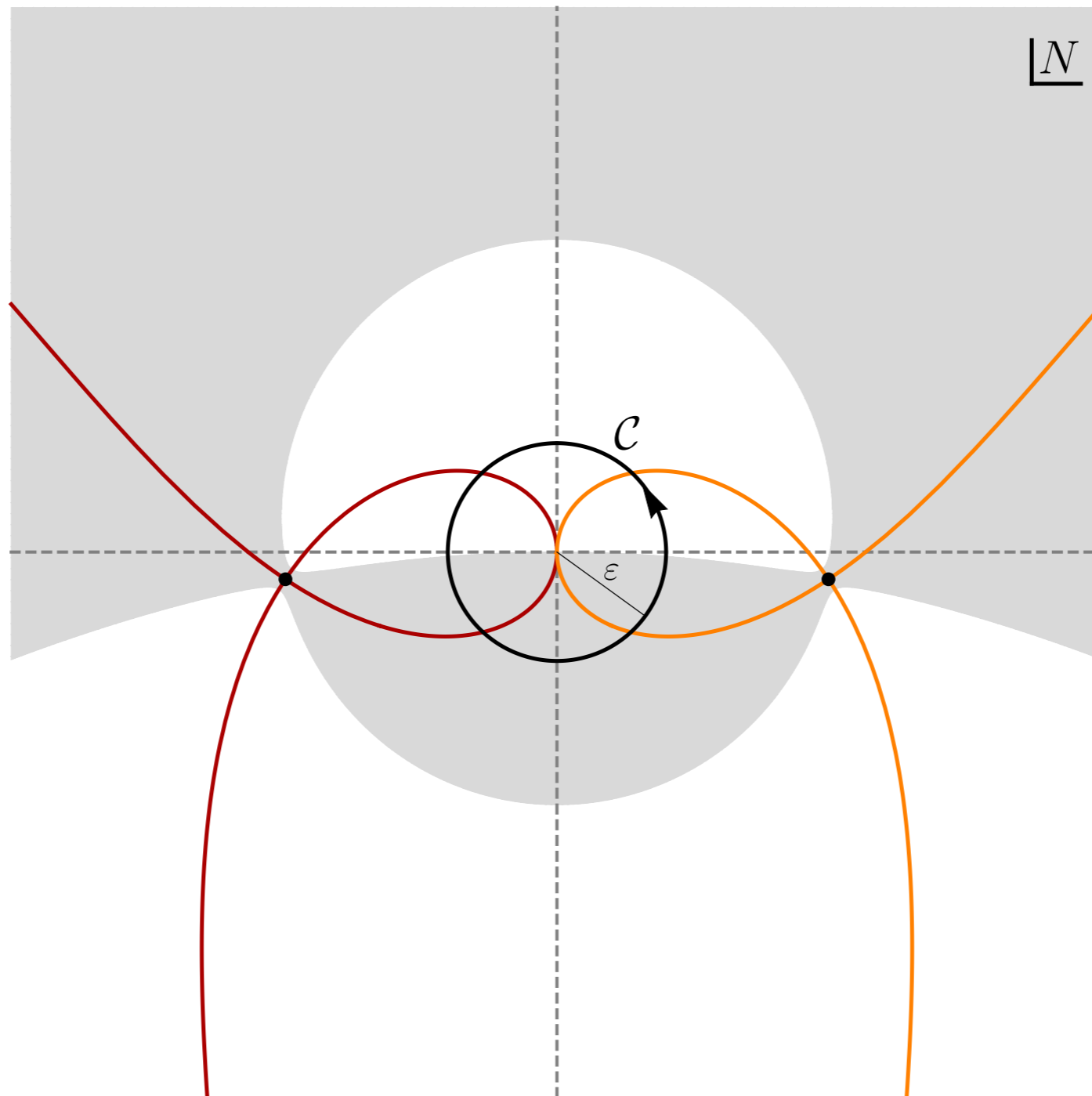
$$S \rightarrow S_{\text{background}} + \int_0^1 d\tau N \left( \frac{1}{2N^2} q^2 \dot{\varphi}_{nlm}^2 - \frac{n(n+2)}{2} \varphi_{nlm}^2 \right)$$

(idem massless scalar)

$$\Psi_F(\mathbf{q}, \phi_{nlm}) = \int_{\mathbb{R}^+} dN \int_{?}^{(q, \varphi_{nlm})(1) = (\mathbf{q}, \phi_{nlm})} \mathcal{D}q \mathcal{D}\varphi \mathcal{D}\Pi_q \mathcal{D}\Pi_\varphi e^{iS/\hbar}$$

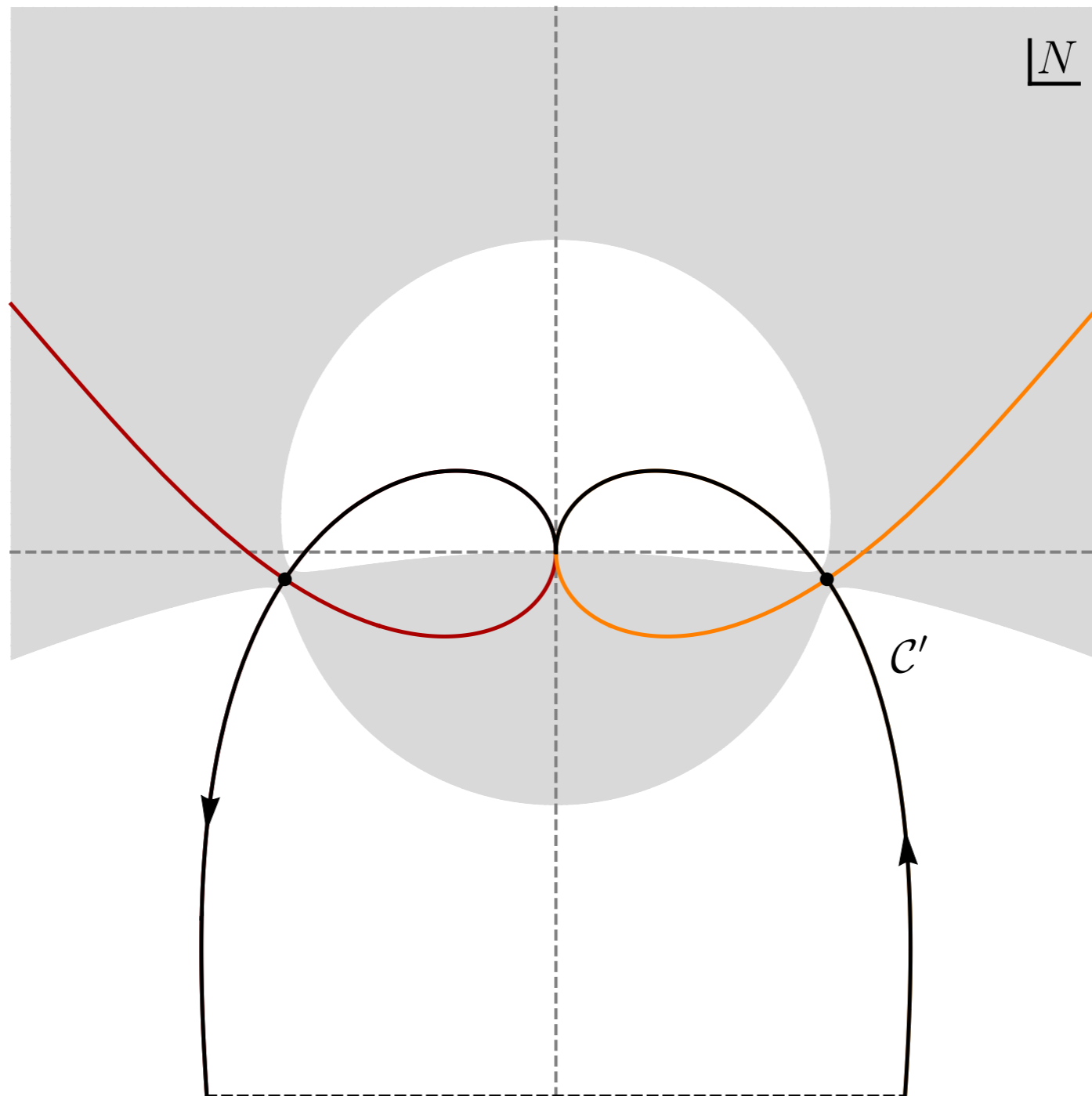
?
?

$$\Psi_{\text{HH}}(\mathfrak{p}, \mathfrak{q}) = \oint_{\substack{(p,q)(1)=(\mathfrak{p},\mathfrak{q}) \\ (p,\Pi_q)(0)=(0,-i)}} dN \int \mathcal{D}p \mathcal{D}q \mathcal{D}\Pi_p \mathcal{D}\Pi_q e^{iS[p,q,\Pi_p,\Pi_q;N]/\hbar}$$





$$\Psi_{\text{HH}}(\mathfrak{p}, \mathfrak{q}) = \oint_{\substack{(p,q)(1)=(\mathfrak{p},\mathfrak{q}) \\ (p,\Pi_q)(0)=(0,-i)}} dN \int \mathcal{D}p \mathcal{D}q \mathcal{D}\Pi_p \mathcal{D}\Pi_q e^{iS[p,q,\Pi_p,\Pi_q;N]/\hbar}$$



# Interpretation of $\Psi$


3. Classical spacetime on familiar scales should be implied when the universe is large

$$\begin{aligned}\Psi[h_{ij}, \phi] &\approx \mathcal{A} \exp\left(\frac{i}{\hbar} S[h_{ij}, \phi]\right) \\ &= \mathcal{A} \exp\left(-\frac{S_I}{\hbar}\right) \exp\left(\frac{iS_R}{\hbar}\right)\end{aligned}$$

$S$  satisfies the Lorentzian HJ eq., and if  $|\nabla S_I| \ll |\nabla S_R|$  so does  $S_R$

LHJ:  $\nabla S_I \perp \nabla S_R$

To leading order in  $\hbar$ , we can assign a “probability”  $\exp(-2S_I/\hbar)$  to the integral curves of  $S_R$

 classical *histories*

