

# COMPUTER ALGEBRA IN PHYSICS RESEARCH

STANISLAV POSLAVSKY

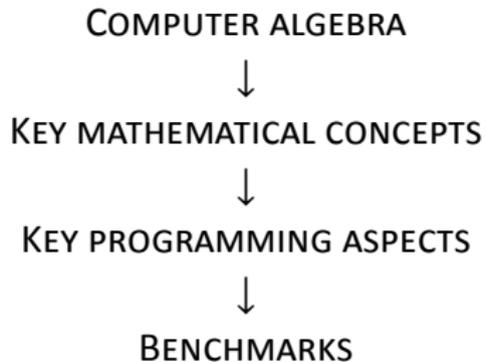
*NRC "KURCHATOV INSTITUTE" — IHEP, PROTVINO, RUSSIA*

ACAT19

SAAS FEE, 2019

# OUTLINE

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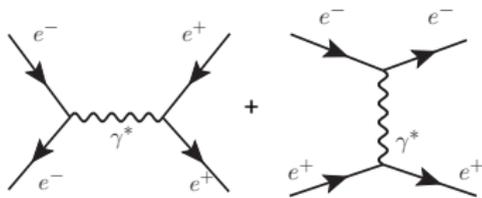


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# COMPUTER ALGEBRA & HEP-TH

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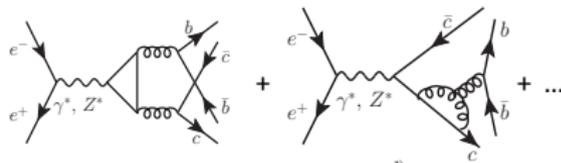
**Simple process**  
(textbook example):



$$\underbrace{\frac{\text{Tr}\{\gamma_\mu(\hat{p} + m)\gamma_\mu(\hat{k} + m)\dots\}}{(q^2 - m^2)} + \dots}_{\text{with paper \& pencil}}$$

with paper & pencil

**Real world:**

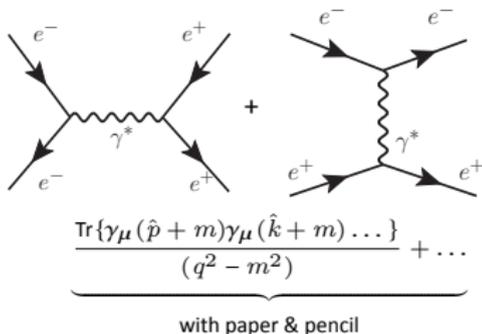


*thousands of rational expressions,  
producing millions of terms in  
the intermediate results*

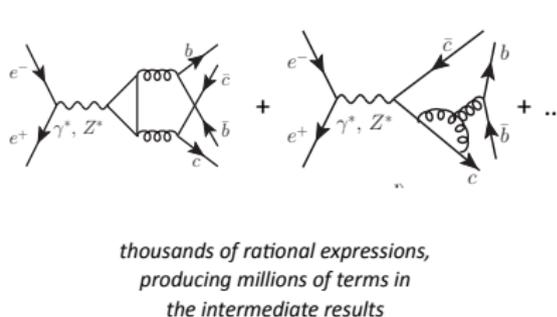
# COMPUTER ALGEBRA & HEP-TH

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**Simple process**  
(textbook example):



**Real world:**



## Key performance bottlenecks:

- |                        |   |  |
|------------------------|---|--|
| ARITHMETIC             | ⇒ | 1. <i>Multiplication, evaluation ...</i> |
| PUTTING TERMS TOGETHER | ⇒ | 2. <i>Greatest Common Divisors</i>       |
| SIMPLIFICATION         | ⇒ | 3. <i>Polynomial Factorization</i>       |
| ADVANCED               | ⇒ | 4. <i>Gröbner bases, elimination ...</i> |

— *Yet another program for math ?*  
*Really ? What for ???*

## An *incomplete* list of similar software:

### Closed source (proprietary)

Magma, Maple, Mathematica,  
Fermat, ...

### Open source (free)

Singular, Macaulay2, CoCoA,  
Reduce, Maxima, Pari/GP, ...  
FLINT, NTL, FORM, ...

## Rings is aimed to be:

- ▶ **Ultrafast:** *make it faster than existing tools*
- ▶ **Lightweight:** *portable, extensible and embeddable library (not a CAS)*
- ▶ **Modern:** *API which meets modern best programming practices*

## Rings:

- *is the first such library written in JAVA (90%) & SCALA (10%)*
- *contains more than 100,000 lines of code*
- *well, see <https://ringsalgebra.io>*

## RING HOMOMORPHISM

# RING HOMOMORPHISM: *modular methods*

---

## Euclidean algorithm (GCD):

```
1 function gcd(a, b)
2     if b = 0
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Applying it to

$$\gcd(1 - x^2 + x^{20} - x^{200}, 1 - x^3 + x^{30} - x^{300}) = x - 1$$

will produce the following 3166 digit number at some intermediate step:

211782656677150921740253822599595701172055778537749109160433930907991796863546398308149265416417897047  
71679924276810335316090663785031891785416005298668654849859843255953316677746185195074259067328652710  
553540538380427535 ...(3166 digits) ...

---

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- ▶ This is ***intermediate expression swell***. It occurs always in fact.
  - ▶ Computations become  $\infty$  slow due to exponential growth of coefficients

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- ▶ This is **intermediate expression swell**. It occurs always in fact.
- ▶ Computations become  $\infty$  slow due to exponential growth of coefficients

▶ **Observation:**

If we compute modulo 17, we obtain the same result, but all intermediate numbers are bounded by 17

# RING HOMOMORPHISM: *modular methods*

▶ **Idea:**

- compute GCD modulo several different 32-bit primes, than "reconstruct" result

$$\gcd(a, b) \pmod{17} = 2 + 4x + 3x^2$$

$$\gcd(a, b) \pmod{19} = 3 + 6x + 2x^2$$

$$\implies \gcd(a, b) \pmod{17 \times 19} = 155 + 310x + 173x^2$$

- in practice this is  $\infty$  times faster than direct computation

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▶ **The same for linear systems:**

- solving  $Ax = B$ :

$$Ax = B \pmod{p_1}$$

$$Ax = B \pmod{p_2}$$

$$\implies Ax = B \pmod{p_1 \times p_2 \times \dots}$$

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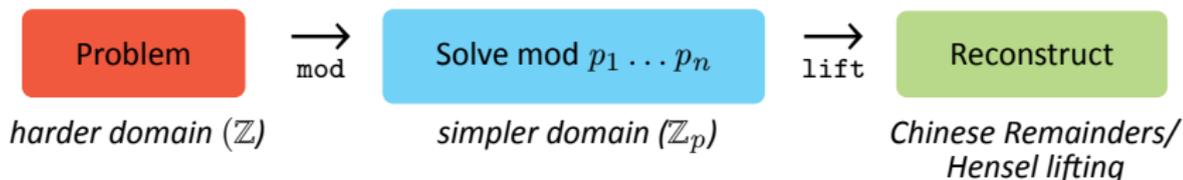
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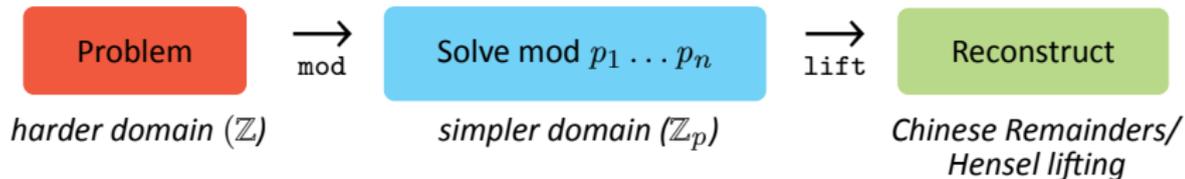
$$\implies Ax = B \pmod{p_1 \times p_2 \times \dots}$$

▶ **The same everywhere:** factorization, resultant theory, Gröbner bases etc.



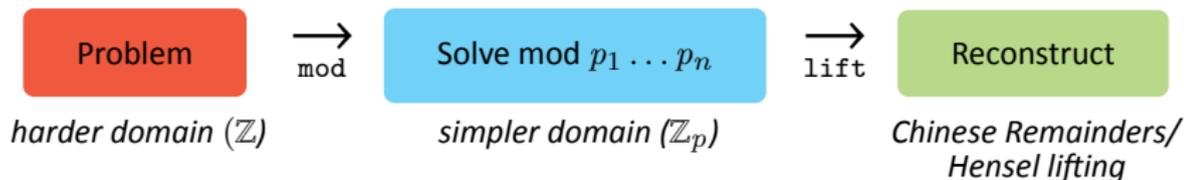
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► Problems with integer (rational) coefficients:

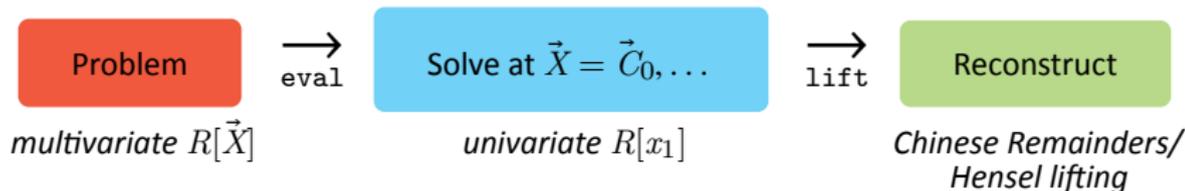


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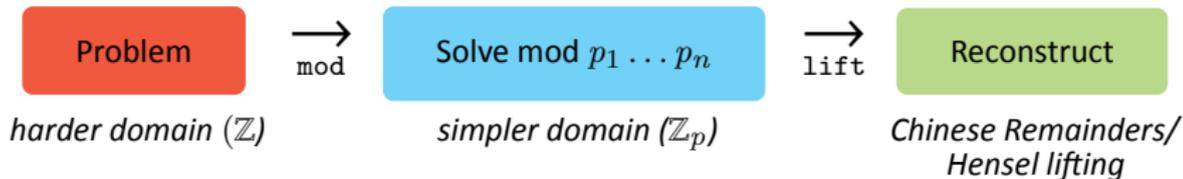


## ▶ Problems with multivariate polynomials:

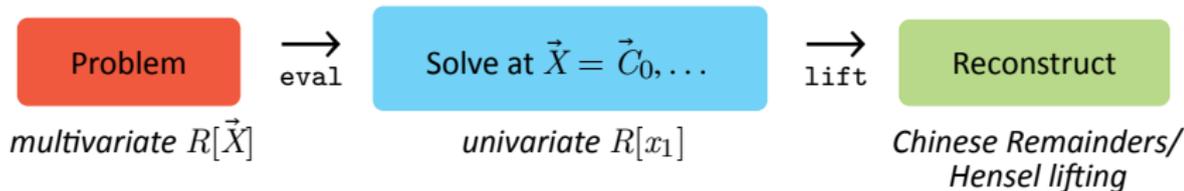


# RING HOMOMORPHISM: *ideal-adic methods*

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## ▶ Problems with multivariate polynomials:



▷ **Example:**  $\gcd(x^3 - y^3, x^4 - y^4)$   
*assume:*

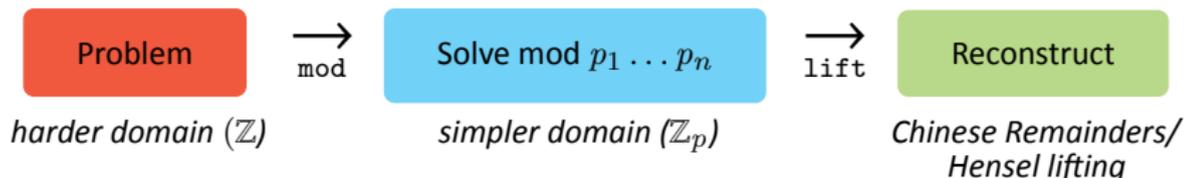
$$\gcd(f(x, y), g(x, y)) = x^0 (a_0 + \dots + a_3 y^3) + x^1 (b_0 + \dots + b_3 y^3) + \dots$$

*evaluate:*

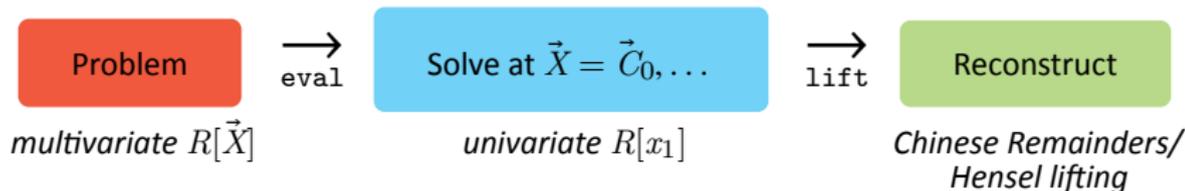
$$\left. \begin{array}{l} y = 1 : \quad \gcd(f(x, 1), g(x, 1)) = x - 1 \\ y = 2 : \quad \gcd(f(x, 2), g(x, 2)) = x - 2 \\ \dots \end{array} \right\} \implies a_0 = 0, a_1 = 1, \dots$$

# RING HOMOMORPHISM: *ideal-adic methods*

## ▶ Problems with integer (rational) coefficients:



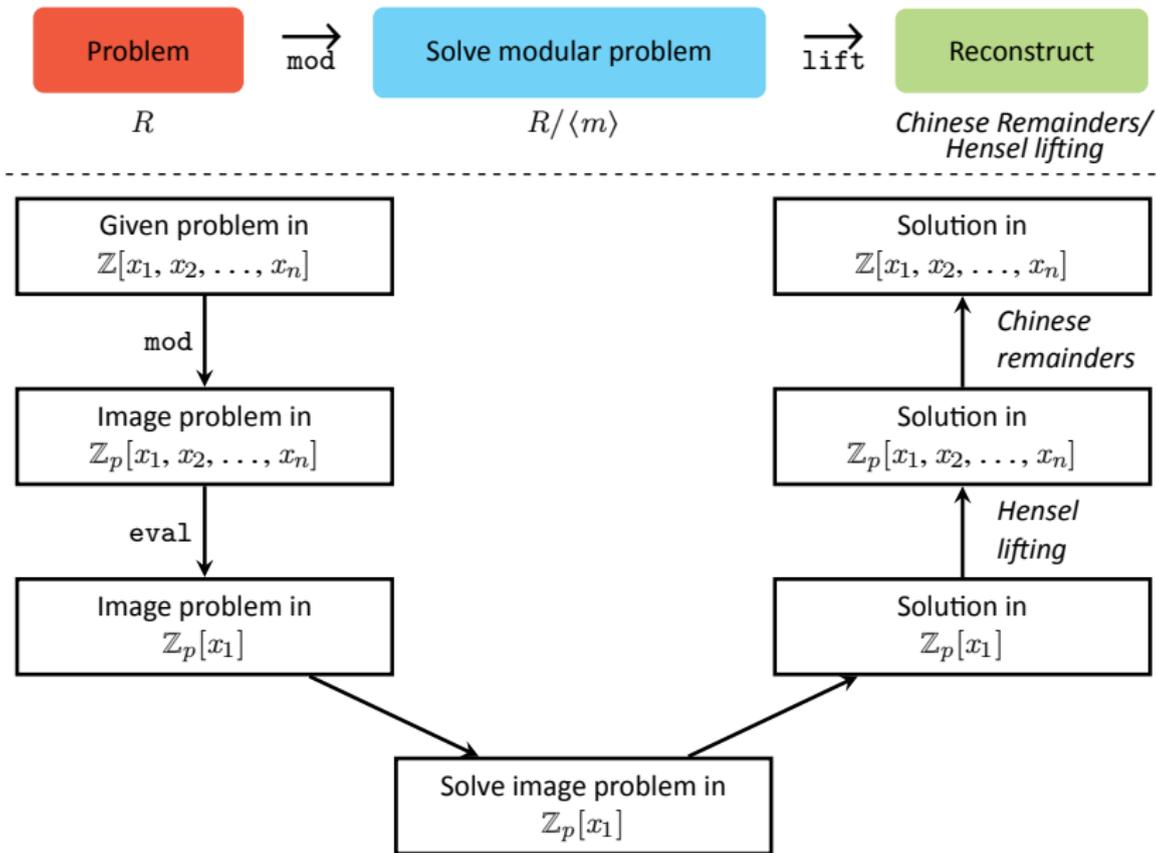
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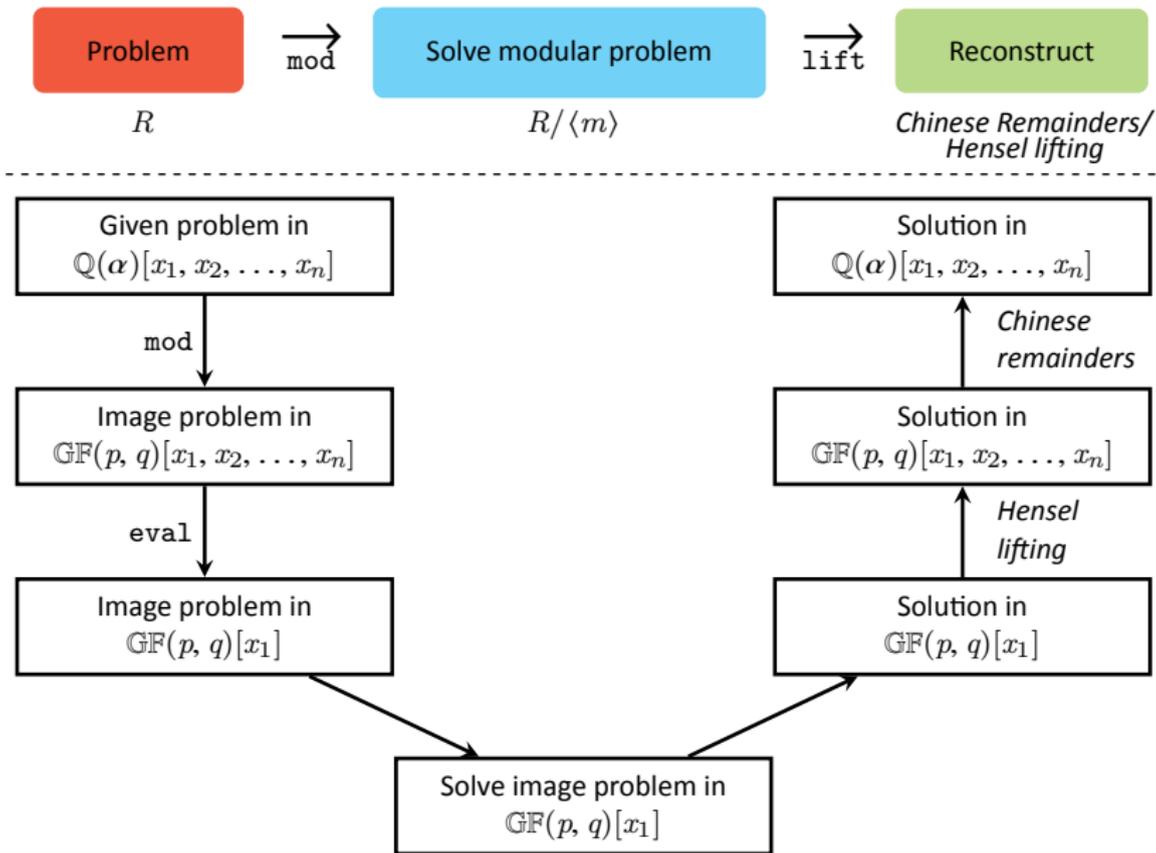
The math is the same:  $R \rightarrow R/\langle m \rangle \rightarrow R$

HOMOMORPHISM	$\mathbb{Z} \rightarrow \mathbb{Z}_p$	$R[\vec{X}] \rightarrow R[x_1]$
GENERATOR	prime number $p$	prime ideal $I = \langle x_2 - c_2, \dots \rangle$
IMAGE FUNCTION	$x \bmod p$	$f(x) \bmod I = f(x_1, c_2, \dots)$
RECONSTRUCTION	Chinese Remainders	Newton's formula

# RING HOMOMORPHISM: *generic view*



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## SOME PROGRAMMING ASPECTS

# PROGRAMMING ASPECTS: *general design*

- ▶ Algebraic concepts are perfect for translating into computer with object oriented programming
- ▶ But that's not easy, only few libraries have e.g. strong typing
- ▶ Thanks to Java's (and Scala's) perfect OOP model, it became possible in Rings

## Generic Euclidean algorithm:

```
1 def gcd[E](a: E, b: E)(implicit ring: Ring[E]): E =  
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## Apply it to polynomials from $\mathbb{Q}[x]$ :

```
4 implicit val ring1 = UnivariateRing(Q, "x")  
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6 // val p1 : UnivariatePolynomial[Rational[IntZ]] = ...
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## Apply it to polynomials from $\mathbb{Q}(\pm\sqrt{2})[x]$ :

```
7 implicit zRing = Z  
8 val num = gcd(zRing("213794398743"), zRing("34345"))  
9 // val num : Int~
```

## PROGRAMMING ASPECTS: *modular arithmetic & CPU*

— *mod* is heavily used in cryptographic algorithms, hashing algorithms, distributed systems, low level concurrency and many more

**Real CPU:**  $N \bmod p \equiv N - \lfloor N/p \rfloor \times p$  — one DIV, one MUL and one SR

▶ DIV has 20-80 times worth throughput than MUL (Intel Skylake)

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▶ Compute *once* the 64-bit *float magic* =  $1.0/p$

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▶ In practice **1.5-2 times speed up** (Skylake)

▶ It was used in many CASs (NTL, Mathematica, Maple etc.)

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## ▶ Current hack:

- ▶ Compute *once* the *magic* =  $\lfloor 2^m/p \rfloor$  for sufficiently large  $m$
- ▶ Then  $\lfloor N/p \rfloor = (N \times \text{magic})/2^m$  which is one MUL and one SHIFT
- ▶ Used in many compilers when divisor is known at compile time:
  - Granlund & Montgomery (1994) — GCC, Go, ...
  - Warren's Hacker's delight (2002) — JVM, LLVM, ...

# PROGRAMMING ASPECTS: *modular arithmetic & CPU*

— *mod* is heavily used in cryptographic algorithms, hashing algorithms, distributed systems, low level concurrency and many more

- ▶ **Fast modulo operation in Rings is approx 2 times faster than built-in %**
  - ▶ solving linear systems  $O(n^3)$  — 8 times faster
  - ▶ factoring polynomials  $O(n^{1+\log_2 3})$  — 6 times faster

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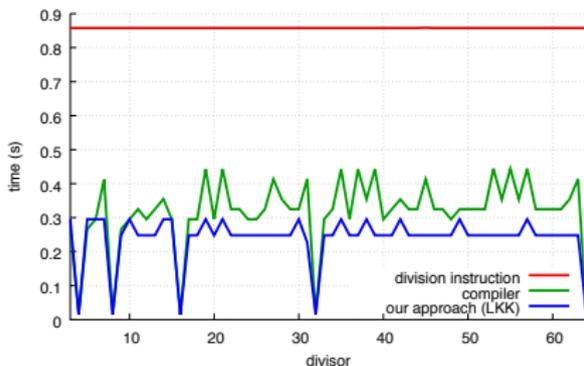
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## ▷ Can be even faster!

▷ new algorithm to compute MOD with no DIV (Lemire, Kaser, Kurz, *arXiv:1902.01961 [cs.MS] Feb 2019*)

▷ up to 25% speed up, really major achievement



# PROGRAMMING ASPECTS: *polynomial data structures*

## ► Univariate polynomial:

$$c_0 + c_1x + c_2x^2 + \dots + c_nx^n$$

↓                      ↓                      ↓                      ↓                      ↓

$c_0$	$c_1$	$c_2$	...	$c_n$
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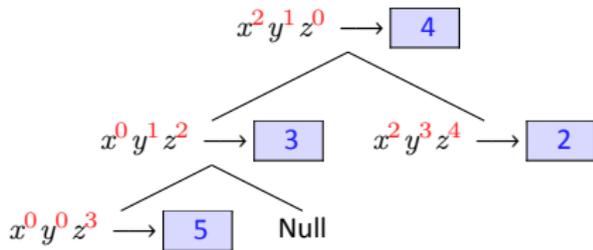
► Fast methods: *Karatsuba, FFT, Newton's iterations, etc.*

## ► Multivariate polynomial:

$$2x^2y^3z^4 + 3yz^2 + 4x^2y + 5z^3$$

↓↓↓

Tree/Hash map:



Sparse recursive:  $((5z^3) + (3z^2) y^1) x^0 + (4y^1 + (2z^4) y^3) x^2$

Dense recursive:  $((0z^0 + 0z^1 + 0z^2 + 5z^3) + (0z^0 + 0z^1 + 3z^2) y^1) x^0 + \dots$

# PROGRAMMING ASPECTS: *polynomial data structures*

- How the data structure affects the performance?

**Fateman's benchmark:** multiply  $f(f+1)$  with

$$f = (x + y + z + t + 1)^{30}$$

(there will be 635,376 terms in the result...)

System/Library	Time, seconds	Comments
RINGS (hash map)	15	- not used
RINGS (dense recursive)	153	- used in Hensel lifting
RINGS (sparse recursive)	365	- used for evaluation
RINGS (tree map)	490*	- default
MAPLE 2018	27	- uses efficient tree map
MATHEMATICA 11	171	-
SINGULAR 4.1.1	198	- recursive
MAGMA V2.23	203	-
SAGE 8.2	1075	- it's Python...

\* 10s for multiply and 480s to rebalance the tree

<https://ulthiel.com/math/other/benchmarks-of-computer-algebra-systems/>

# PROGRAMMING ASPECTS: *general notes*

---

Things which programmers pay attention, but scientists often do not:

▶ **Unit & integration tests:**

- Rings covered with thousands of tests
- Integration tests run external tools (e.g. SINGULAR CAS) to cross check the correctness

▶ **Randomized testing:**

- It helped to fix *hundreds* of bugs
- Several bugs in core routines were reported to MMA, SINGULAR, MAPLE etc.

▶ **Continuous integration (CI):**

- Rings CI takes several hours to run all tests
- Each new build may reveal new bugs (thanks to randomized tests!)

## BENCHMARKS

# BENCHMARKS

---

▶ **POLYNOMIAL GCD:**

take random polynomials  $a, b, c$  and compute  $\text{gcd}(ac, bc)$

▶ **POLYNOMIAL FACTORIZATION:**

take random polynomials  $a, b, c$  and compute  $\text{factor}(abc)$  and  $\text{factor}(abc + 1)$  (irreducibility test)

# BENCHMARKS: *polynomial GCD*

Params (a,b,g):

#terms = 40

#bits = 32

$\text{exp}_{\min} = 0$

$\text{exp}_{\max} = 30$

-----  
#terms = 40

#bits = 32

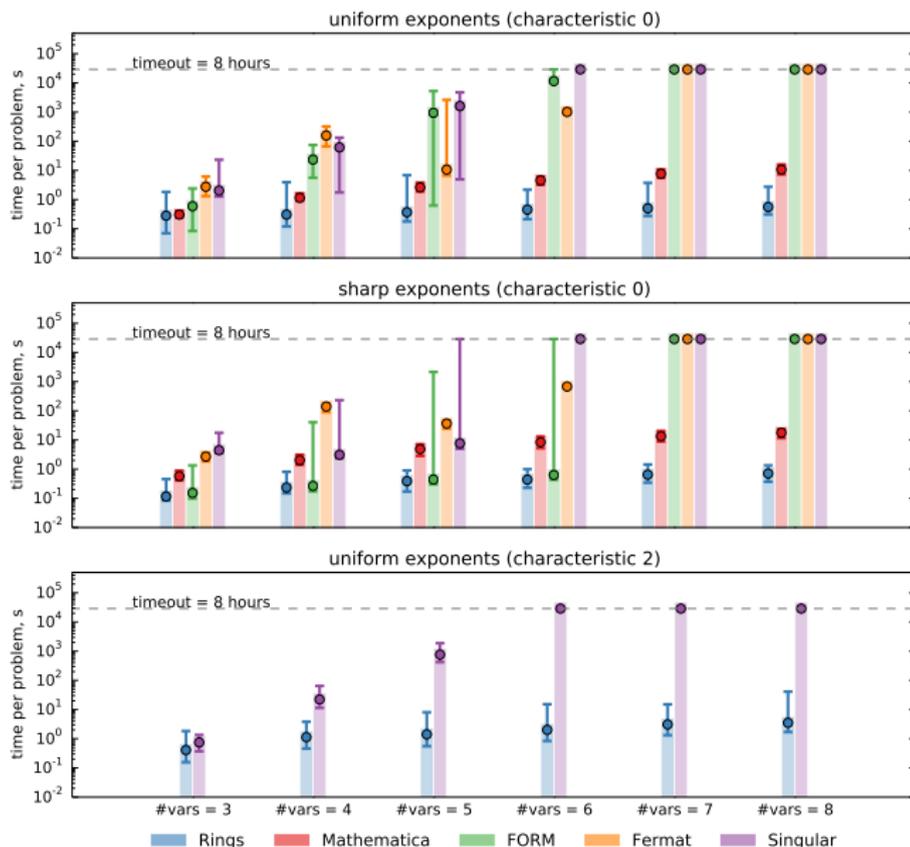
$\text{exp}_{\text{tot}} = 50$

-----  
#terms = 40

#bits = 1

$\text{exp}_{\min} = 0$

$\text{exp}_{\max} = 30$



# BENCHMARKS: *polynomial factorization*

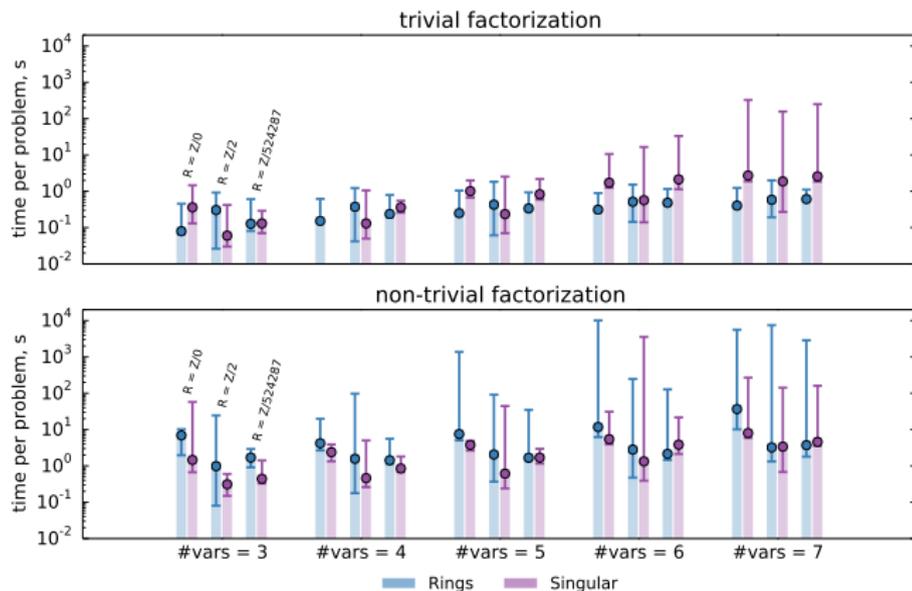
Params:

#factors = 3

#terms = 20

$\text{exp}_{\min} = 0$

$\text{exp}_{\max} = 30$



# CONCLUSIONS

---

- ▶ MODERN HEP-TH REQUIRES HIGH PERFORMANCE COMPUTER ALGEBRA TOOLS
- ▶ FASTER ALGORITHMS AND MORE EFFICIENT IMPLEMENTATIONS APPEAR FROM TIME TO TIME
  - ▷ MORE DETAILS ON RINGS CAN BE FOUND AT
    - [HTTPS://RINGSALGEBRA.IO](https://ringsalgebra.io)
    - [HTTPS://GITHUB.COM/POSLAVSKYSV/RINGS](https://github.com/poslavskySV/rings)
- ▶ THE FURTHER SCALING MAY BE ACHIEVED BY USING DISTRIBUTED COMPUTING

THANKS FOR ATTENTION!

# BACKUP

## ▶ **Computational Number Theory**

- ▶ *primes: sieving, testing, factorization*
- ▶ *univariate polynomials over arbitrary coefficient rings: fast arithmetic, gcd, factorization etc.*
- ▶ *Galois fields & Algebraic number fields*

## ▶ **Computational Commutative Algebra**

- ▶ *multivariate polynomials over arbitrary coefficient rings: fast arithmetic, gcd, factorization etc.*
- ▶ *fast rational function arithmetic*

## ▶ **Computational Algebraic Geometry**

- ▶ *Gröbner bases*
- ▶ *Ideals in multivariate polynomial rings*

## ▶ **Programming in Scala**

- ▶ *object-oriented and functional programming in one concise, high-level and statically typed language*

# Basic algebraic definitions

- **Ring:** a set of elements with "+" and "×" operations defined.

*Examples:*

- $\mathbb{Z}$  — ring of integers
- $\mathbb{Z}[i]$  — Gaussian integers
- $R[\vec{X}]$  — polynomials with coefficients from ring  $R$

- **Field:** a ring with "/" (division) operation.

*Examples:*

- $\mathbb{Q}$  — field of rational numbers
- $\mathbb{Z}_p$  — field of integers modulo a prime number
- $\text{Frac}(R[X])$  — field of rational functions

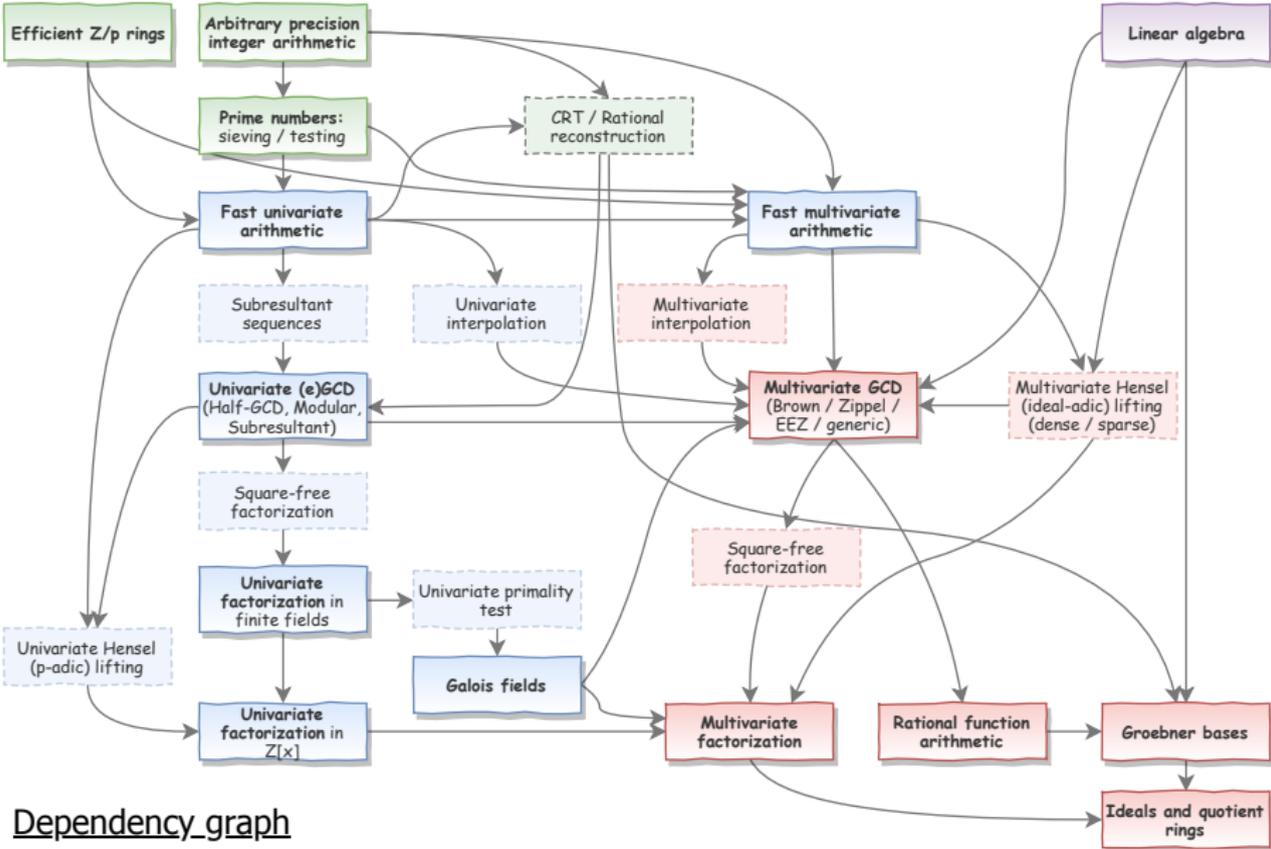
- **Ideal:** a subset of ring elements closed under multiplication with ring.

*Examples:*

- Given a set of generators  $\{f_i(x, y, \dots)\} \in R[x, y, \dots]$  ideal is formed by all elements of the form

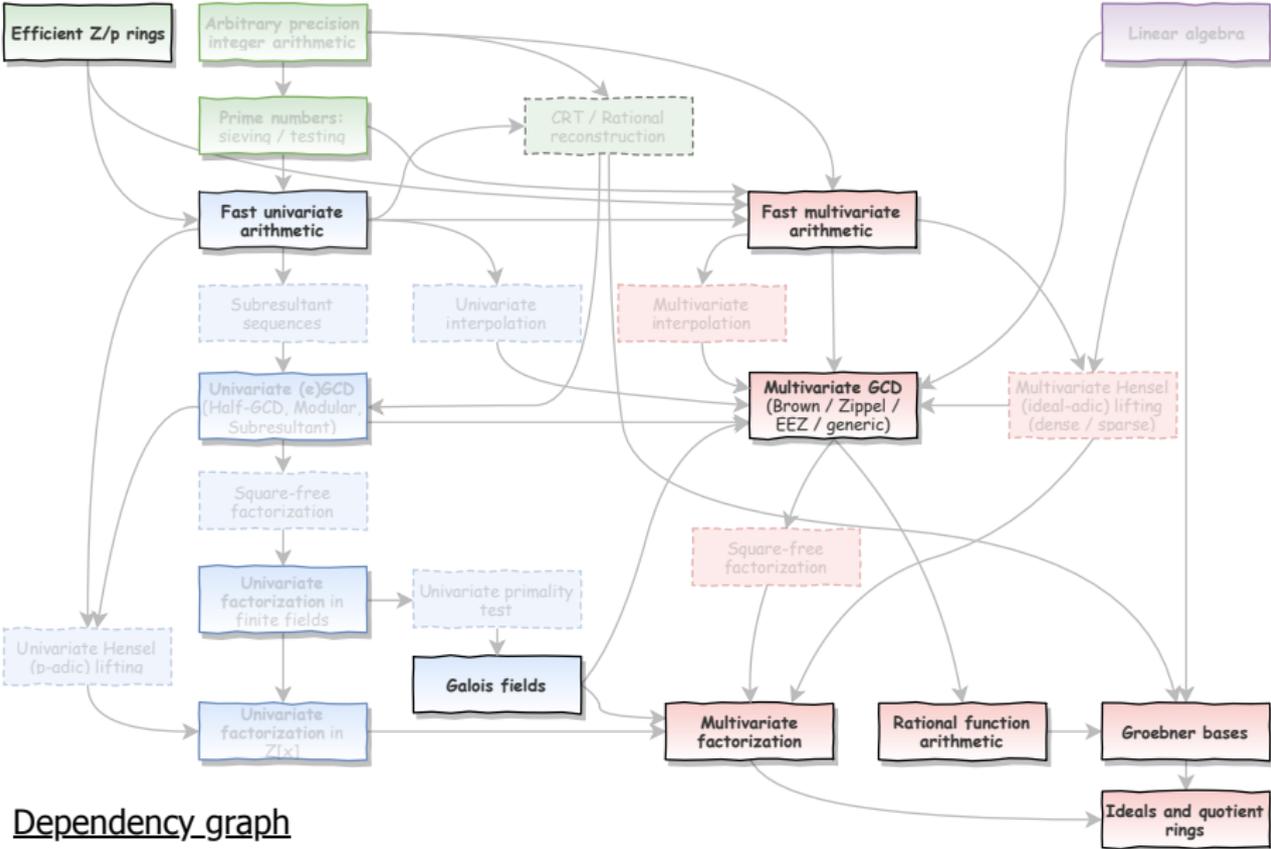
$$c_1(x, y, \dots) \times f_1(x, y, \dots) + \dots + c_n(x, y, \dots) \times f_n(x, y, \dots)$$

# Rings: implementation aspects



Dependency graph

# Rings: implementation aspects



Dependency graph

# Rings: *design by examples*

---

## Simple example:

```
1  implicit val ring = UnivariateRing(Q, "x") // base ring Q[x]
2  val x = ring("x")           // parse polynomial from string
3  val poly = x.pow(100) - 1    // construct polynomial programmatically
4  val factors = Factor(poly)   // factorize polynomial
5  println(factors)
```

# Rings: *design by examples*

---

## Simple example:

```
1 implicit val ring = UnivariateRing(Q, "x") // base ring Q[x]
2 val x = ring("x") // parse polynomial from string
3 val poly = x.pow(100) - 1 // construct polynomial programmatically
4 val factors = Factor(poly) // factorize polynomial
5 println(factors)
```

- ▶ Explicit types are omitted for shortness, though Scala is fully statically typed

```
val ring : Ring[UnivariatePolynomial[Rational[IntZ]]] = ...
val poly : UnivariatePolynomial[Rational[IntZ]] = ...
```

*(types are inferred automatically at compile time if not specified explicitly)*

# Rings: *design by examples*

---

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- ▶ Trait `Ring[E]` implements the concept of mathematical ring and defines all basic algebraic operations over the elements of type `E`

```
println( ring.isField ) // access ring properties
println( ring.characteristic ) // access ring characteristic
println( ring.cardinality ) // access ring cardinality
```

# Rings: *design by examples*

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```

- ▶ The `implicit` brings operator overloading via type enrichment (continue =>)

# Rings: *design by examples*

---

## Meaning of implicits:

```
1 // ring of elements of type E
2 implicit val ring : Ring[E] = ...
3 val a : E = ...
4 val b : E = ...

6 val sum = a + b // compiles to ring.add(a, b)
7 val mul = a * b // compiles to ring.multiply(a, b)
8 val div = a / b // compiles to ring.divideExact(a, b)
```

## Example:

```
1 val a : IntZ = Z(12)
2 val b : IntZ = Z(13)
3 assert (a * b == Z(156)) // no any implicit Ring[IntZ]

5 implicit val ring = Zp(17) // implicit Ring[IntZ]
6 assert (a * b == Z(3)) // multiplication modulo 17
```

# Rings: *design by examples*

---

## Multivariate polynomials

```
1 // base ring Q[x, y, z]
2 implicit val ring = MultivariateRing(Q, Array("x", "y", "z"))
3 val (x, y, z) = ring("x", "y", "z") // parse polynomials from strings

5 val poly1 = (x + y + z).pow(10) - 1 // construct poly
6 val poly2 = ring("(x + y + z)^3 + 1") // or just parse from string

8 println( PolynomialGCD(poly1, poly2) ) // compute GCD
9 println( Factor(poly1) ) // factorize polynomial

11 // construct some non-trivial polynomial ideal
12 implicit val ideal = Ideal(Seq(poly1 - x, poly2 - y), LEX)
13 assert ( ideal.dimension == 1 )

15 // reduce poly modulo ideal
16 assert ( poly1 %% ideal == x )
17 assert ( poly2 %% ideal == y )
```

# Rings: *design by examples*

---

## Rational function arithmetic:

```
1 // rational functions Frac(Z[x, y, z])
2 implicit val ring = Frac(MultivariateRing(Z, Array("x", "y", "z")))
3 val (x, y, z) = ring("x", "y", "z") // parse elements from strings

5 // construct expression
6 val expr1 = x / y + z.pow(2) / (x + y - 1)

8 // or import from file
9 import scala.io.Source
10 val expr2 = ring(Source.fromFile("myFile.txt").mkString)

12 val expr3 = expr1 * expr2
13 // unique factor decomposition of fraction
14 println ( ring.factor(expr3) )
```

- ▶ Fractions are always reduced to a common denominator and GCD is cancelled automatically;

# Rings: *design by examples*

Built-in ring	Description
$\mathbb{Z}$	ring of integers
$\mathbb{Q}$	field of rationals
GaussianRationals	field of complex rational numbers $\mathbb{Q}(i)$
$\mathbb{Z}_p(p)$	integers modulo $p$
GF(p, q)	finite field with cardinality $p^q$
AlgebraicNumberField(alpha)	algebraic number field $F(\alpha_1, \dots, \alpha_s)$
Frac(R)	field of fractions over Euclidean ring $R$
UnivariateRing(R, x)	univariate ring $R[x]$
MultivariateRing(R, vars)	multivariate ring $R[x_1, x_2, \dots]$
QuotientRing(R, ideal)	multivariate quotient ring $R[x_1, x_2, \dots]/I$

# Rings: *design by examples*

---

**Diophantine equations:** solve  $\sum f_i s_i = \gcd(f_1, \dots, f_N)$  for given  $f_i$  and unknown  $s_i$ :

# Rings: *design by examples*

---

**Diophantine equations:** solve  $\sum f_i s_i = \gcd(f_1, \dots, f_N)$  for given  $f_i$  and unknown  $s_i$ :

```
1 def solveDiophantine[E](fi: Seq[E])(implicit ring: Ring[E]) =
2   fi.foldLeft((ring(0), Seq.empty[E])) { case ((gcd, seq), f) =>
3     val xgcd = ring.extendedGCD(gcd, f)
4     (xgcd(0), seq.map(_ * xgcd(1)) :+ xgcd(2))
5   }
```

# Rings: *design by examples*

**Diophantine equations:** solve  $\sum f_i s_i = \gcd(f_1, \dots, f_N)$  for given  $f_i$  and unknown  $s_i$ :

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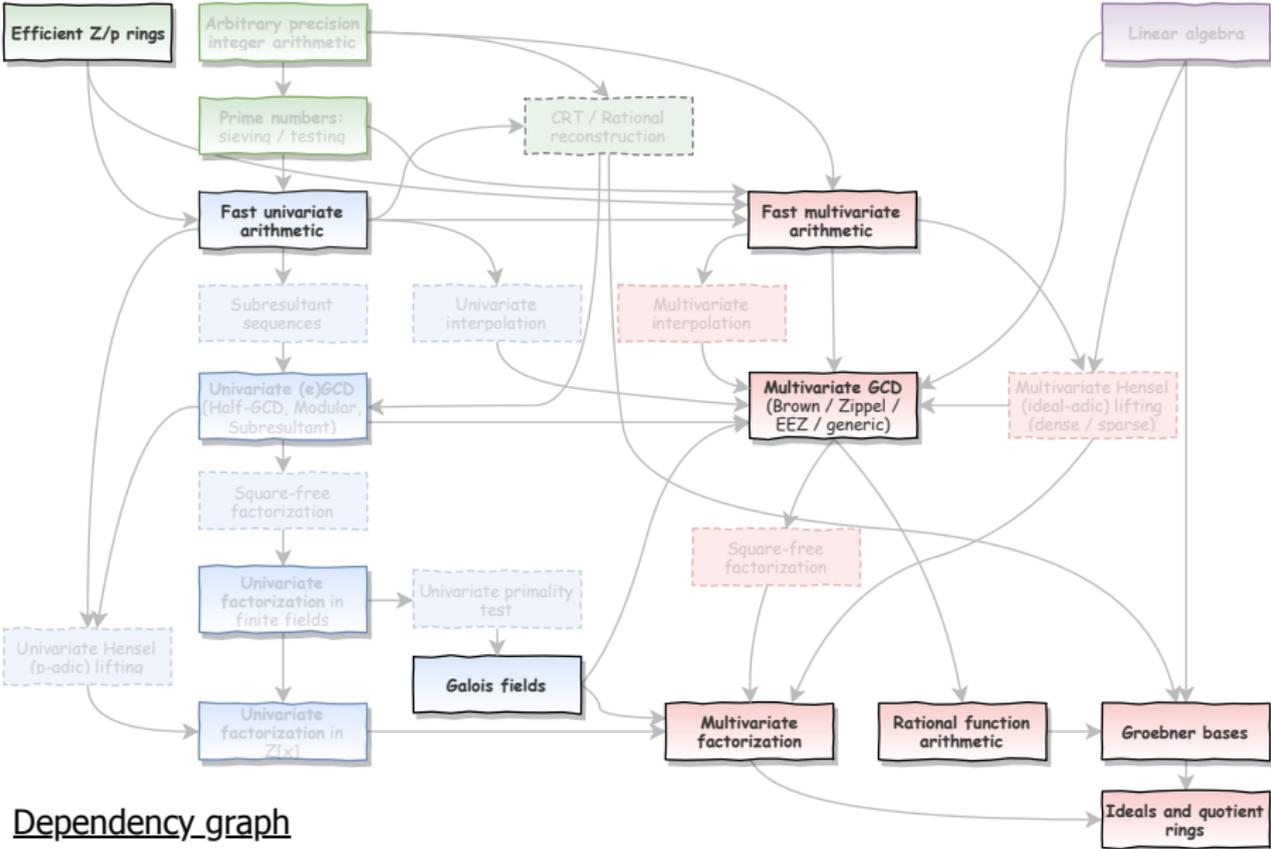
**Diophantine equations in  $\text{Frac}(\text{GF}(17^3)[x, y, z])[W]$ :**

```
1 // Galois field GF(17, 3)
2 implicit val gf = GF(17, 3, "t")
3 // Rational functions in x, y, z over GF(17, 3)
4 implicit val fracs = Frac(MultivariateRing(gf, Array("x", "y", "z")))
5 // univariate ring Frac(GF(17, 3)[x,y,z])[W]
6 implicit val ring = UnivariateRing(fracs, "W")

8 val f1 = ring("1 + t^2 + x/y - W^2") // parse elements from strings
9 val f2 = ring("1 + W + W^3/(t - x)") // parse elements from strings
10 val f3 = ring("t^2 - x - W^4") // parse elements from strings
11 // do the job
12 val solve = solveDiophantine(Seq(f1, f2, f3))
```

▶ this is a piece of one-loop master integral reduction algorithm

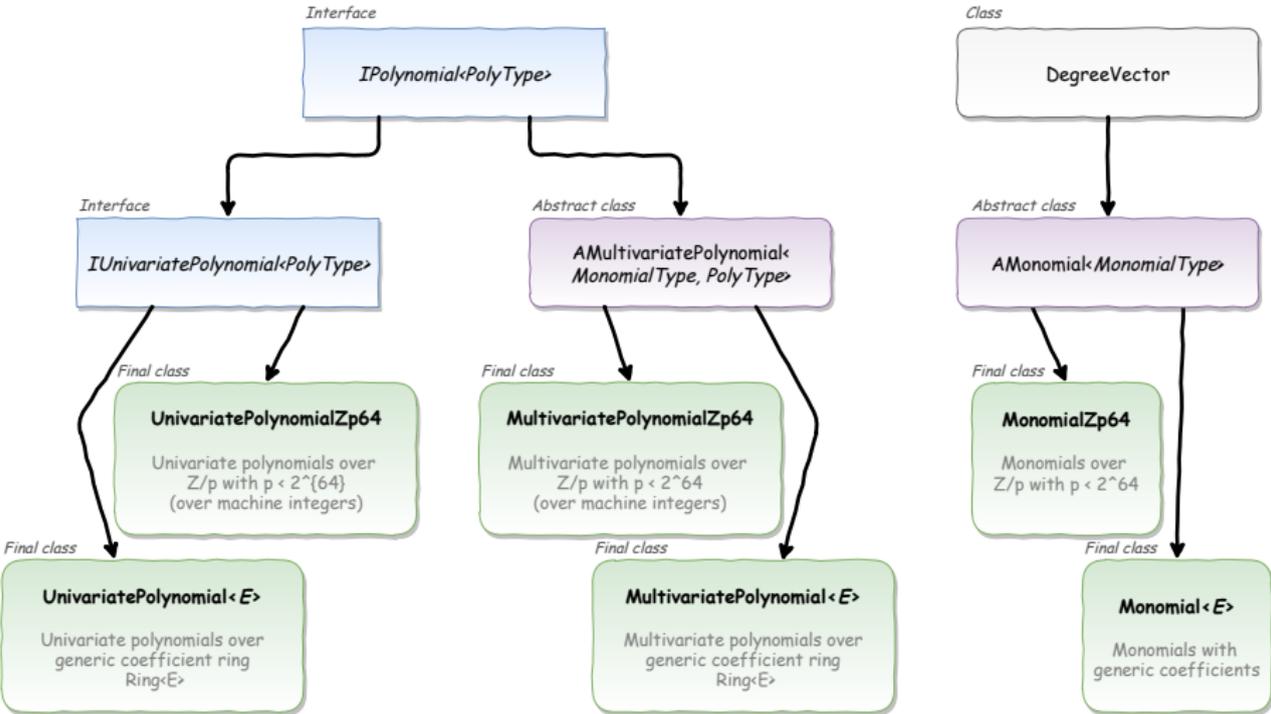
# Rings: implementation aspects



Dependency graph

- ▶ Polynomials over  $\mathbb{Z}_p$  with  $p < 2^{64}$  (machine numbers) have separate implementations
  - ▶ `E[] data` — *generic array for univariate polynomials over generic rings (with elements of reference type E)*
  - ▶ `long[] data` — *native array for univariate polynomials over  $\mathbb{Z}_p$  with  $p < 2^{64}$  (machine words)*
- ▶ Motivation:
  - ▶  $\mathbb{Z}_p$  with  $p < 2^{64}$  already has separate implementation
  - ▶ more specific and optimized algorithms
  - ▶ avoid inefficient generics with primitive types in Java (however, e.g. in C/C++ one would have to do the same, like in NTL)

# Rings: polynomials



# Rings: *polynomials*

	<b>Univariate</b> ( $n$ is polynomial degree)	<b>Multivariate</b> ( $n$ is polynomial size)
<b>Addition/Subtraction</b>	$O(n)$	$O(n \log n)$
<b>Multiplication</b>	$O\left(n^{\log_2 3}\right)$ via Karatsuba method (with lots of heuristic to reduce the constant)	$O(nm \log(n) \log(m))$ via plain method (Kro- necker trick is used to significantly reduce the constant)
<b>Division</b>	$O\left(n^{\log_2 3}\right)$ via Newton's iteration (with lots of heuristic to reduce the constant)	$O(nm \log(n) \log(m))$ via plain method
<b>Evaluation</b>	$O(n)$ via Horner method	$O(n \log(d))$ via plain method with caching or via recursive Horner scheme

# Rings: *polynomial GCD*

---

## ▶ **Univariate (e)GCD:**

- ▶ Rings switches between Euclidean GCD, Half-GCD and Brown's GCD (for coefficient rings with characteristic zero)

## ▶ **Multivariate GCD:**

- ▶ for sparse inputs Rings uses Zippel's algorithm based on linear algebra
- ▶ for relatively dense polynomials Rings uses Enhanced Extended Zassenhaus (EEZ) approach based on multivariate (ideal-adic) Hensel lifting
- ▶ when the coefficient ring has very small cardinality Rings uses a version of Kaltofen-Monagan generic GCD algorithm
- ▶ for coefficient rings of characteristic zero, modular algorithm (Zippel-like for sparse or Brown-like with EEZ for dense inputs) is used
- ▶ *all these contain tons of heuristic (code for algorithms spans more than 6,000 l.o.c.)*

# Rings: *polynomial GCD*

## Benchmarks:

- ▶ Generate three polynomials  $a$ ,  $b$  and  $g$  at random and compute  $\gcd(ag, bg)$  (non-trivial) and  $\gcd(ag + 1, bg)$  (trivial)
- ▶ Terms of polynomials are generated independently
- ▶ Two ways to generate exponents inside terms:
  - ▶ *Uniform exponents* (uniform distribution):  
choose each exponent independently in range  $\exp_{\min} \leq \exp_i < \exp_{\max}$ ; the total degree will be  $N_{\text{vars}}\exp_{\min} \leq \exp_{\text{tot}} < N_{\text{vars}}\exp_{\max}$   
**Example** ( $\exp_{\min} = 0$ ,  $\exp_{\max} = 10$ ):

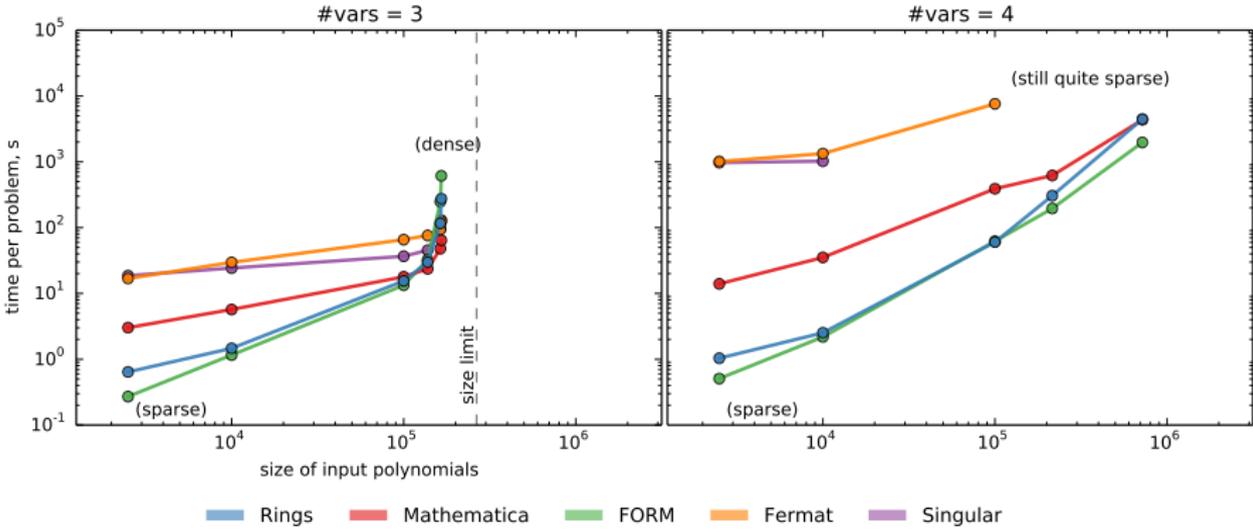
$$\dots + x^5 y^2 z^8 + x^3 y^8 z^6 + \dots$$

- ▶ *Sharp exponents* (multinomial distribution):  
choose the total degree  $\exp_{\text{tot}}$ , then for the first variable  $0 \leq \exp_1 \leq \exp_{\text{tot}}$ , for the second variable  $0 \leq \exp_2 \leq (\exp_{\text{tot}} - \exp_1)$  and so on  
**Example** ( $\exp_{\text{tot}} = 10$ ):

$$\dots + x^7 y^2 z^1 + x^0 y^8 z^2 + \dots$$

# Rings: polynomial GCD

## “Record” problems:



Params (a,b,g):

$\text{exp}_{\text{tot}} = 50$  / #bits = 128 / #terms = 50, 100, 500, 1000, 5000

# Rings: *polynomial GCD*

Dense input:

$$a = (1 + 3x_1 + 5x_2 + 7x_3 + 9x_4 + 11x_5 + 13x_6 + 15x_7)^7 - 1$$

$$b = (1 - 3x_1 - 5x_2 - 7x_3 + 9x_4 - 11x_5 - 13x_6 + 15x_7)^7 + 1$$

$$g = (1 + 3x_1 + 5x_2 + 7x_3 + 9x_4 + 11x_5 + 13x_6 - 15x_7)^7 + 3$$

Problem	Cf. ring	Rings	Mathematica	FORM	Fermat	Singular
$\gcd(ag, bg)$	$\mathbb{Z}$	104s	115s	148s	1759s	141s
$\gcd(ag, bg + 1)$	$\mathbb{Z}$	0.4s	2s	0.3s	0.1s	0.4s
$\gcd(ag, bg)$	$\mathbb{Z}_{524287}$	25s	33s	N/A	147s	46s
$\gcd(ag, bg + 1)$	$\mathbb{Z}_{524287}$	0.5s	2s	N/A	0.2s	0.2s

# Rings: *polynomial GCD*

Dense input:

$$a = (1 + 3x_1 + 5x_2 + 7x_3 + 9x_4 + 11x_5 + 13x_6 + 15x_7)^7 - 1$$

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- ▶ GCD performance on trivial input is very important (since e.g. most part of GCDs computed in rational function arithmetic are trivial)

# Rings: polynomial GCD

Dense input:

$$a = (1 + 3x_1 + 5x_2 + 7x_3 + 9x_4 + 11x_5 + 13x_6 + 15x_7)^7 - 1$$

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- ▶ GCD performance on trivial input is very important (since e.g. most part of GCDs computed in rational function arithmetic are trivial)
- ▶ one have to make a trade-off between performance on non-trivial and trivial inputs

## ▶ **Univariate factorization:**

- ▶ Rings switches between Cantor-Zassenhaus and Shoup's baby-step-giant-step algorithms for polynomials over finite fields
- ▶ p-adic Hensel lifting is used to compute factorization over  $\mathbb{Z}$  (resp.  $\mathbb{Q}$ )

## ▶ **Multivariate factorization:**

- ▶ for bivariate polynomials Bernardin's algorithm is used
- ▶ Kaltofen's algorithm is used in all other cases
- ▶ ideal-adic Hensel lifting switches between sparse (based on linear algebra) and dense (based on Bernardin's algorithm)
- ▶ *all these contain tons of heuristic*

# Rings: polynomial factorization

**Benchmark:** generate three polynomials  $a$ ,  $b$  and  $c$  at random and compute  $\text{factor}(abc)$  (non-trivial) and  $\text{factor}(abc + 1)$  (trivial)

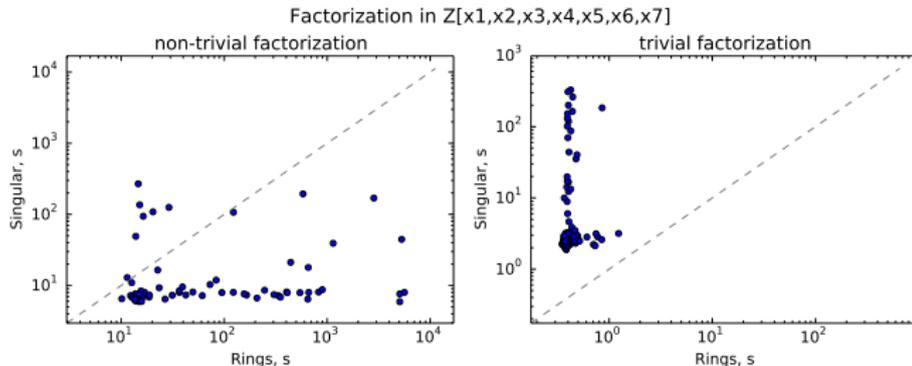
Params:

#factors = 3

#terms = 20

$\text{exp}_{\min} = 0$

$\text{exp}_{\max} = 30$



# Rings: *polynomial factorization*

Dense input:

$$p_1 = (1 + 3x_1 + 5x_2 + 7x_3 + 9x_4 + 11x_5 + 13x_6 + 15x_7)^{15} - 1$$

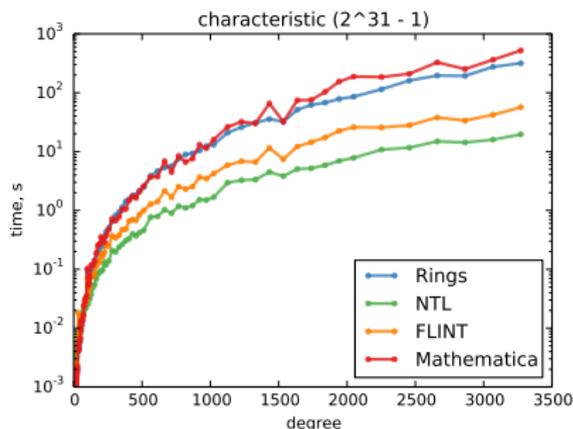
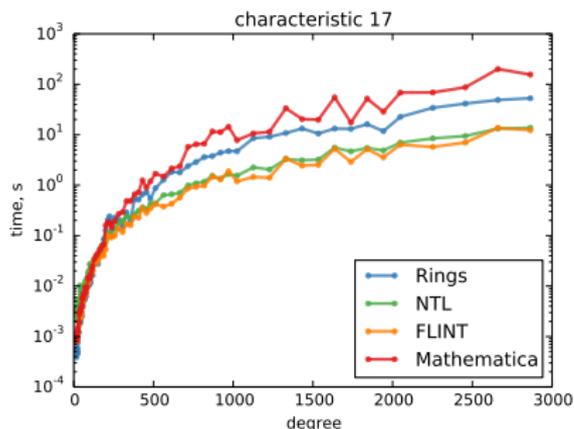
$$p_2 = -1 + (1 + 3x_1x_2 + 5x_2x_3 + 7x_3x_4 + 9x_4x_5 + 11x_5x_6 + 13x_6x_7 + 15x_7x_1)^3 \\ \times (1 + 3x_1x_3 + 5x_2x_4 + 7x_3x_5 + 9x_6x_5 + 11x_7x_6 + 13x_6x_1 + 15x_7x_2)^3 \\ \times (1 + 3x_1x_4 + 5x_2x_5 + 7x_3x_6 + 9x_6x_7 + 11x_7x_1 + 13x_6x_2 + 15x_7x_3)^3$$

Problem	Cf. ring	Rings	Singular	Mathematica
<i>factor</i> ( $p_1$ )	$\mathbb{Z}$	55s	20s	271s
<i>factor</i> ( $p_1$ )	$\mathbb{Z}_2$	0.25s	> 1h	N/A
<i>factor</i> ( $p_1$ )	$\mathbb{Z}_{524287}$	28s	109s	N/A
<i>factor</i> ( $p_2$ )	$\mathbb{Z}$	23s	12s	206s
<i>factor</i> ( $p_2$ )	$\mathbb{Z}_2$	6s	3s	N/A
<i>factor</i> ( $p_2$ )	$\mathbb{Z}_{524287}$	26s	9s	N/A

# Rings: polynomial factorization

Univariate input:

$$p_{\text{deg}}[x] = 1 + \sum_{i=1}^{i \leq \text{deg}} i \times x^i$$



▶ This benchmark covers almost all aspects of univariate arithmetic in finite fields

# Rings: Gröbner bases

- ▶ **Note:** Rings is not optimized for computing Gröbner bases for “challenging” problems yet (like those arise in post-quantum cryptography)
- ▶ Gröbner bases for graded orders for polynomials over finite fields computed with Faugere’s F4 algorithm (hardly based on fast sparse linear algebra)
- ▶ In other cases Rings may switch between Buchberger algorithm (with different selection strategies), Hilbert-driven methods or modular algorithms
- ▶ Again, many heuristics applied

Problem	Cf. ring	Rings	Mathematica	Singular
cyclic-7	$\mathbb{Z}_{1000003}$	3s	26s	N/A
cyclic-8	$\mathbb{Z}_{1000003}$	51s	897s	39s
cyclic-9	$\mathbb{Z}_{1000003}$	14603s	$\infty$	8523s
katsura-7	$\mathbb{Z}_{1000003}$	0.5s	2.4s	0.1s
katsura-8	$\mathbb{Z}_{1000003}$	2s	24s	1s
katsura-9	$\mathbb{Z}_{1000003}$	2s	22s	1s
katsura-10	$\mathbb{Z}_{1000003}$	9s	216s	9s
katsura-11	$\mathbb{Z}_{1000003}$	54s	2295s	65s
katsura-12	$\mathbb{Z}_{1000003}$	363s	28234s	677s
katsura-7	$\mathbb{Z}$	5s	4s	1.2s
katsura-8	$\mathbb{Z}$	39s	27s	10s
katsura-9	$\mathbb{Z}$	40s	29s	10s
katsura-10	$\mathbb{Z}$	1045s	251s	124s

# Rings: note on the programming languages

---

- The choice of programming language is not so important as e.g. the choice of algorithms and careful design of the API
  - **Rings is written in Java and also provides extensive Scala API**
    - ▶ *Java: just the most popular language*
      - extremely fast, very simple, cross-platform, has the largest community, comes with a dependency manager
      - with the same simplicity can be executed on PC, cluster or a wash machine
    - ▶ *Scala: object-oriented and functional programming in one concise, high-level and statically typed language*
      - has many recent developments from the theory of programming languages
      - very flexible and expressive: allows to write code very fast
      - also popular: e.g. Twitter and Spark are written in Scala
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      - has many recent developments from the theory of programming languages
      - very flexible and expressive: allows to write code very fast
      - also popular: e.g. Twitter and Spark are written in Scala
- 
- If you need to compute something quickly, you will find that it is easy
  - If you need to program something, you will find that it is convenient

## Multivariate polynomials & rational functions & simplifications

► **Example:**

Given polynomial fraction

$$\frac{1}{((s-t)^2 - m_3^2)(s^2 - m_1^2)(t^2 - m_2^2)}$$

decompose it in a sum of fractions such that denominators in each fraction are algebraically independent in  $(s, t)$

*NOTE: denominators are dependent since*

$$\begin{aligned} & (m_1 - m_2 - m_3)(m_1 + m_2 - m_3)(m_1 - m_2 + m_3)(m_1 + m_2 + m_3) \\ & + 2(-m_1^2 - m_2^2 + m_3^2)Y_1 + 2(m_1^2 - m_3^2 - m_2^2)Y_2 + 2(m_1^2 - m_2^2 - m_3^2)Y_3 \\ & + Y_1^2 + Y_2^2 + Y_3^2 - 2Y_1Y_2 - 2Y_1Y_3 - 2Y_2Y_3 \equiv 0 \end{aligned}$$

$$Y_1 = ((s-t)^2 - m_3^2) \quad Y_2 = (s^2 - m_1^2) \quad Y_3 = (t^2 - m_2^2)$$

# Rings: *design by examples*

## Multivariate polynomials & rational functions & simplifications

```
1 // field of coefficients Frac(Z[m1, m2, m3])
2 val cfs = Frac(MultivariateRing(Z, Array("m1", "m2", "m3")))
3 // field of rational functions Frac(Frac(Z[m1, m2, m3])[s, t])
4 implicit val field = Frac(MultivariateRing(cfs, Array("s", "t")))
5 // parse variables from strings
6 val (m1, m2, m3, s, t) = field("m1", "m2", "m3", "s", "t")

8 val frac = (1 / ((s - t).pow(2) - m3.pow(2))
9             / (s.pow(2) - m1.pow(2))
10            / (t.pow(2) - m2.pow(2)))
11 // or just parse from string
12 // val frac = field("1/(((s - t)^2 - m3^2)*(s^2 - m1^2)*(t^2 - m2^2))")
13
```

## Multivariate polynomials & rational functions & simplifications

```
1 // field of coefficients Frac(Z[m1, m2, m3])
2 val cfs = Frac(MultivariateRing(Z, Array("m1", "m2", "m3")))
3 // field of rational functions Frac(Frac(Z[m1, m2, m3])[s, t])
4 implicit val field = Frac(MultivariateRing(cfs, Array("s", "t")))
5 // parse variables from strings
6 val (m1, m2, m3, s, t) = field("m1", "m2", "m3", "s", "t")

8 val frac = (1 / ((s - t).pow(2) - m3.pow(2))
9             / (s.pow(2) - m1.pow(2))
10            / (t.pow(2) - m2.pow(2)))
11 // or just parse from string
12 // val frac = field("1/(((s - t)^2 - m3^2)*(s^2 - m1^2)*(t^2 - m2^2))")
13
14 // bring in the form with algebraically independent denominators
15 val dec = GroebnerMethods.LeinartDecomposition(frac)
16 // simplify fractions (factorize)
17 val decSimplified = dec.map(f => field.factor(f))
18 // pretty print
19 decSimplified.map(f => field.stringify(f)).foreach(println)
```

# Rings: *design by examples*

## Multivariate polynomials & rational functions & simplifications

```
1 // field of coefficients Frac(Z[m1, m2, m3])
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3 // field of rational functions Frac(Frac(Z[m1, m2, m3])[s, t])
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8 val frac = (1 / ((s - t).pow(2) - m3.pow(2))
9             / (s.pow(2) - m1.pow(2))
10            / (t.pow(2) - m2.pow(2)))
11 ...
```

► **Result:**

$$\frac{1}{((s-t)^2 - m_3^2)(s^2 - m_1^2)(t^2 - m_2^2)} =$$
$$-\frac{1}{8m_1m_2m_3(m_1 + m_2 + m_3)} \frac{1}{(-m_3 - t + s)(t - m_2)}$$
$$-\frac{1}{8m_1m_2m_3(m_1 + m_2 + m_3)} \frac{1}{(-m_3 - t + s)(s + m_1)}$$

+ ... (+22 other terms)

## Multivariate polynomials & rational functions & simplifications

```
1 // field of coefficients Frac(Z[m1, m2, m3])
2 val cfs = Frac(MultivariateRing( Z, Array("m1","m2","m3")))
3 // field of rational functions Frac(Frac(Z[m1, m2, m3])[s, t])
4 implicit val field = Frac(MultivariateRing(cfs, Array("s", "t")))
5 // parse variables from strings
6 val (m1, m2, m3, s, t) = field("m1", "m2", "m3", "s", "t")

8 val frac = (1 / ((s - t).pow(2) - m3.pow(2))
9             / (s.pow(2) - m1.pow(2))
10            / (t.pow(2) - m2.pow(2)))
11 // or just parse from string
12 // val frac = field("1/(((s - t)^2 - m3^2)*(s^2 - m1^2)*(t^2 - m2^2))")

14 // bring in the form with algebraically independent denominators
15 val dec = GroebnerMethods.LeinartDecomposition(frac)
16 // simplify fractions (factorize)
17 val decSimplified = dec.map(f => field.factor(f))
18 // pretty print
19 decSimplified.map(f => field.stringify(f)).foreach(println)
```

# Rings: *design by examples*

## Multivariate polynomials & rational functions & simplifications

```
1 // field of coefficients Frac(GF(2,16)[m1, m2, m3])
2 val cfs = Frac(MultivariateRing(GF(2,16,"e"), Array("m1","m2","m3")))
3 // field of rational functions Frac(Frac(GF(2,16)[m1, m2, m3])[s, t])
4 implicit val field = Frac(MultivariateRing(cfs, Array("s", "t")))
5 // parse variables from strings
6 val (m1, m2, m3, s, t) = field("m1", "m2", "m3", "s", "t")

8 val frac = (1 / ((s - t).pow(2) - m3.pow(2))
9             / (s.pow(2) - m1.pow(2))
10            / (t.pow(2) - m2.pow(2)))
11 // or just parse from string
12 // val frac = field("1/(((s - t)^2 - m3^2)*(s^2 - m1^2)*(t^2 - m2^2))")

14 // bring in the form with algebraically independent denominators
15 val dec = GroebnerMethods.LeinartDecomposition(frac)
16 // simplify fractions (factorize)
17 val decSimplified = dec.map(f => field.factor(f))
18 // pretty print
19 decSimplified.map(f => field.stringify(f)).foreach(println)
```

## Multivariate polynomials & rational functions & simplifications

```
1 // field of coefficients Frac(GF(2,16)[m1, m2, m3])
2 val cfs = Frac(MultivariateRing(GF(2,16,"e"), Array("m1","m2","m3")))
3 // field of rational functions Frac(Frac(GF(2,16)[m1, m2, m3])[s, t])
4 implicit val field = Frac(MultivariateRing(cfs, Array("s", "t")))
5 // parse variables from strings
6 val (m1, m2, m3, s, t) = field("m1", "m2", "m3", "s", "t")

8 val frac = (1 / ((s - t).pow(2) - m3.pow(2))
9             / (s.pow(2) - m1.pow(2))
10            / (t.pow(2) - m2.pow(2)))
11 ...
```

► **Result:**

$$\frac{1}{((s-t)^2 - m_3^2)(s^2 - m_1^2)(t^2 - m_2^2)} =$$
$$\frac{1}{(m_1 + m_2 + m_3)^2} \frac{1}{(m_3 + t + s)^2 (s + m_1)^2}$$
$$+ \frac{1}{(m_1 + m_2 + m_3)^2} \frac{1}{(m_3 + t + s)^2 (t + m_2)^2}$$
$$+ \frac{1}{(m_1 + m_2 + m_3)^2} \frac{1}{(t + m_2)^2 (s + m_1)^2}$$

## Rings: *parametric number fields*

---

```
1 // Q[c, d]
2 val params = Frac(MultivariateRing(Q, Array("c", "d")))
3 // A minimal polynomial  $X^2 + c = 0$ 
4 val generator = UnivariatePolynomial(params("c"), params(0), params(1))
   (params)
5 // Algebraic number field  $Q(\sqrt{c})$ , here "s" denotes square root of c
6 implicit val cfRing = AlgebraicNumberField(generator, "s")
7 // ring of polynomials  $Q(\sqrt{c})(x, y, z)$ 
8 implicit val ring = MultivariateRing(cfRing, Array("x", "y", "z"))
9 // bring variables
10 val (x,y,z,s) = ring("x", "y", "z", "s")
11 // some polynomials
12 val poly1 = (x + y + s).pow(3) * (x - y - z).pow(2)
13 val poly2 = (x + y + s).pow(3) * (x + y + z).pow(4)
14
15 // compute gcd
16 val gcd = PolynomialGCD(poly1, poly2)
17 println(ring.stringify gcd)
```