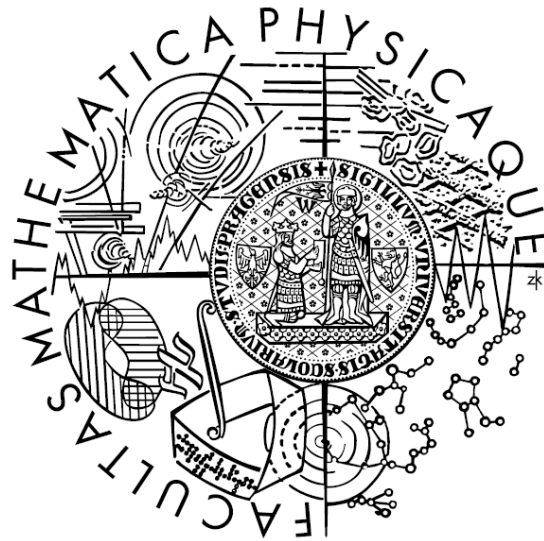


## Faculty of Mathematics and Physics

### Multivariate analysis of decays of the Higgs boson into pairs of tau leptons using the ATLAS detector at the LHC



Tomáš Kello

Supervisor of the master thesis: Mgr. Daniel Scheirich, Ph.D.

Institute of Particle and Nuclear Physics

Výjezdní seminář, Malá Skála, 2018

# Content

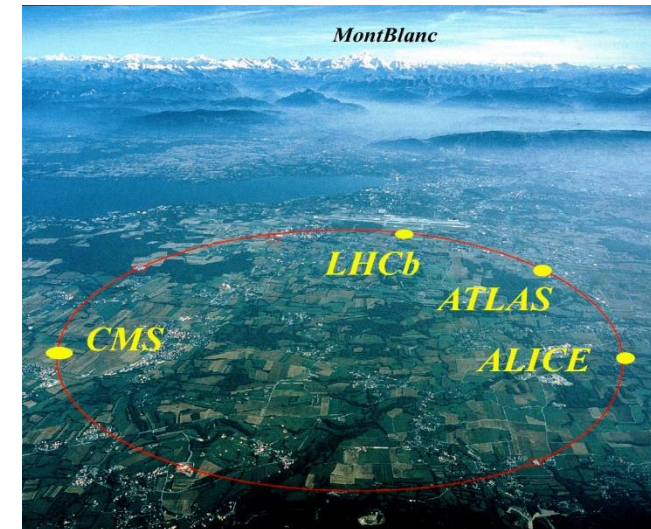
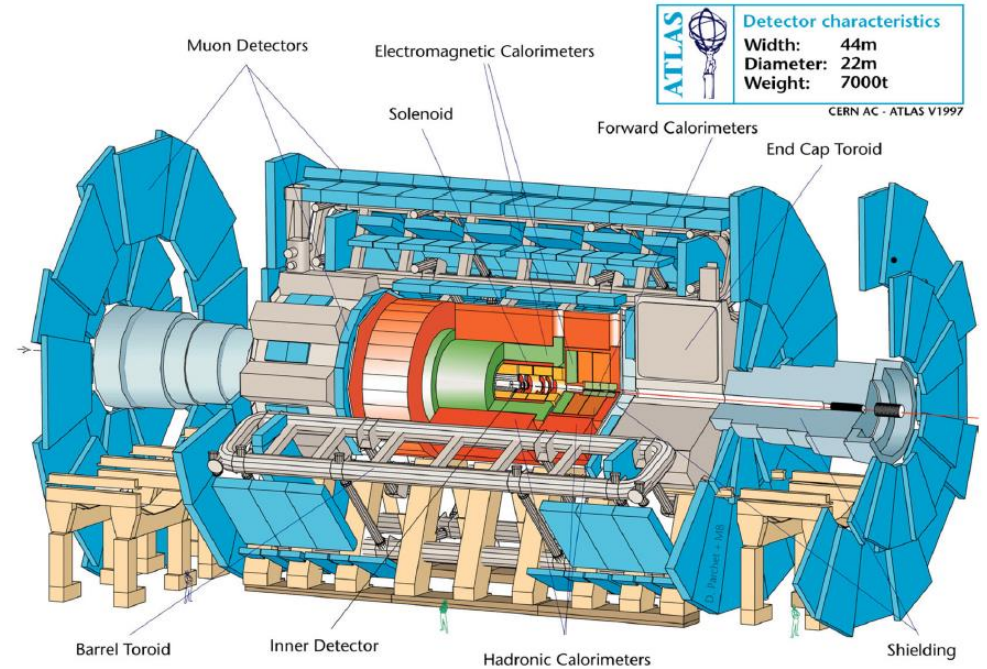
- 1) ATLAS detector subsystems
- 2) Higgs boson mechanism
- 3) Data & MC – preselection and categorisation
- 4) MVA – Boosted Decision Trees
- 5) BDT Training
- 6) Final BDT score cut

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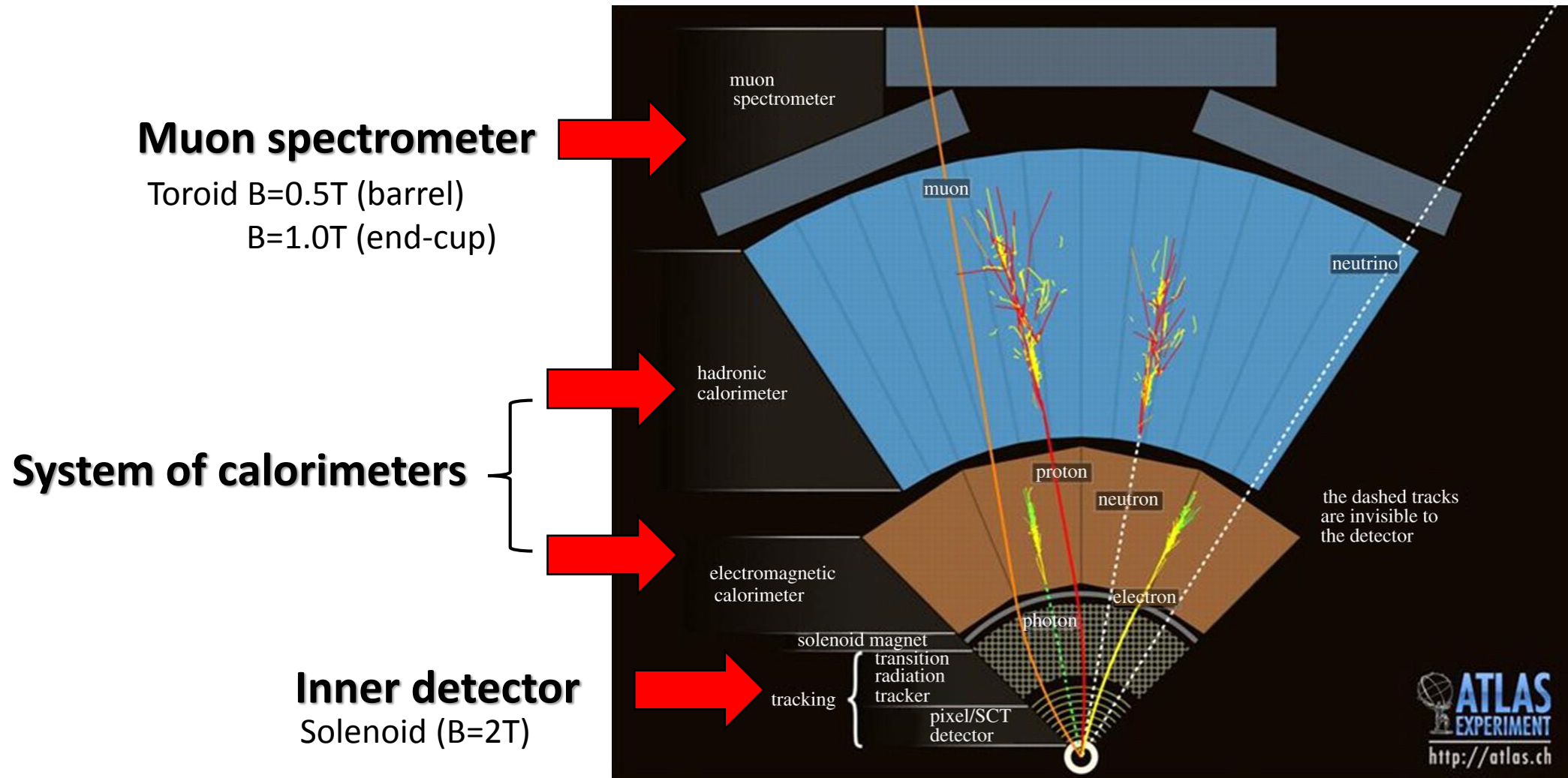
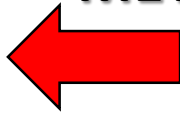
# The ATLAS experiment at the LHC

- **Inner detector** (pixel detector, semiconductor tracker, transition radiation tracker, solenoid,  $|\eta| < 2.5$ )
- **Electromagnetic calorimeter** (absorber: lead layer, active medium: liquid argon,  $|\eta| < 3.2$ , FCal  $3.1 < |\eta| < 4.9$ )
- **Hadron calorimeter** (absorber: steel layer, active medium: liquid argon,  $|\eta| < 4.9$ ),
- **Muon spectrometer** (monitored drift tube chambers, cathode strip chambers, thin gap chambers, toroid,  $|\eta| < 2.7$ ),
- **Trigger** (75kHz  $\rightarrow$  1kHz reduction, L1 (hardware), L2 + event filter (software))



# The ATLAS experiment at the LHC

**MET**

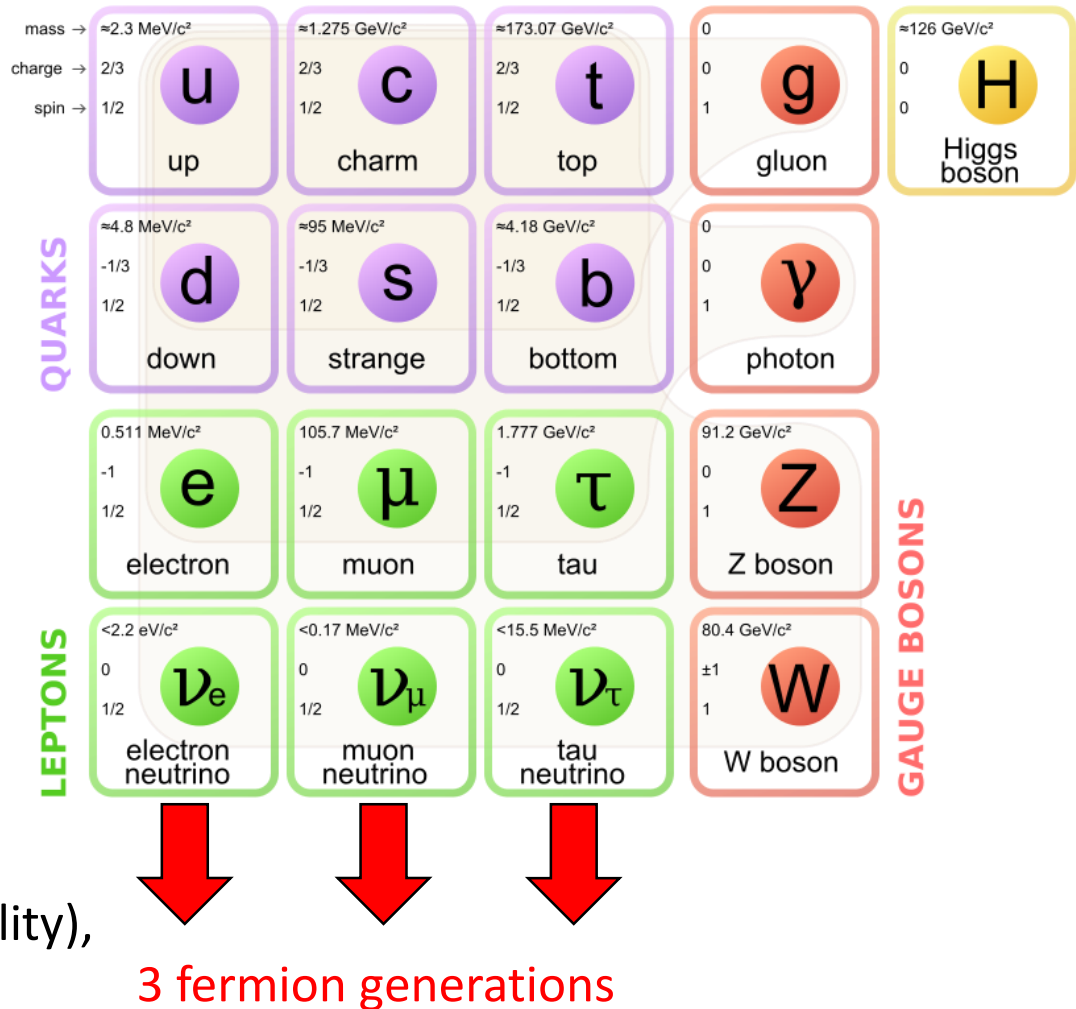


# Content

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# Standard model. Elementary particles

- **Fermions** (half-integer spin)
  - quarks ( $u, d, c, s, t, b$ ),
  - leptons ( $e, \nu_e, \mu, \nu_\mu, \tau, \nu_\tau$ ),
- **Bosons** (integer spin)
  - gluon  $g$  (strong interaction),
  - photon  $\gamma$  (electromagnetic interaction),
  - $W^\pm, Z^0$  bosons (weak interaction),
- **Higgs boson  $H^0$**  (scalar particle (renormalizability), neutral charge, spin 0)



# Higgs mechanism

- **The minimal Higgs-Goldstone sector of EW theory**

- 3 massive vector bosons + 1 physical scalar boson  $\Rightarrow$  4 real scalar fields
- $SU(2) \times U(1)$  gauge theory (1 complex weak isospin  $SU(2)$  doublet; transformation properties under weak hypercharge  $U(1)$ )

$$\Phi = \begin{pmatrix} \varphi^+ \\ \varphi^0 \end{pmatrix} = \begin{pmatrix} \varphi_1 + i\varphi_2 \\ \varphi_3 + i\varphi_4 \end{pmatrix}$$

- **The Goldstone model**

- $SU(2) \times U(1)$  symmetry requirement

$$\mathcal{L}_{\text{Goldstone}} = (\partial_\mu \Phi^\dagger)(\partial^\mu \Phi) - V(\Phi)$$
$$V(\Phi) = -\mu^2 \Phi^\dagger \Phi + \lambda (\Phi^\dagger \Phi)^2$$

$\mu$  ... mass dimension

$\lambda$  ... dimensionless coupling constant

- space-time constant  $\Phi \Leftrightarrow$  minimum of energy density for

$$\Phi_0^\dagger \Phi_0 = \frac{v^2}{2}$$

$$v = \frac{\mu}{\sqrt{\lambda}}$$

$v$  ... „vacuum value“



# Higgs mechanism

- A deviation from the „vacuum value“

$$\Phi(x) = \exp\left(\frac{i}{v}\pi^a(x)\tau^a\right) \begin{pmatrix} 0 \\ \frac{1}{\sqrt{2}}(v + H(x)) \end{pmatrix}$$

„angular“  
variable

shifted „radial“  
variable

$H(x)$  ... massive Higgs field

$\pi^a(x)$  ... Goldstone boson representatives

$\tau^a$  ... Pauli matrices

- mass term appears with a correct sign, Goldstone bosons become massless

$$\mathcal{L} = \text{kin. terms} + \text{interactions} - \lambda v^2 H^2 \quad \Leftrightarrow \quad m_H^2 = 2\lambda v^2 \quad m_\pi = 0$$

- $SU(2) \times U(1)$  local symmetry requirement  $\Rightarrow$   $U$ -gauge

$$\Phi_U(x) = \begin{pmatrix} 0 \\ \frac{1}{\sqrt{2}}(v + H(x)) \end{pmatrix}$$

# Higgs mechanism

$A_\mu^a$  ... Yang-Mills fields corresponding to  $SU(2)$

$B_\mu$  ... Yang-Mills fields corresponding to  $U(1)$

$Y$  ... weak hypercharge

$g, g'$  ... coupling constants

- **The  $U$ -gauge Higgs Lagrangian**

$$\mathcal{L}_{Higgs}^{(U)} = \Phi_U^\dagger \left( \vec{\partial}_\mu + igA_\mu^a \frac{\tau^a}{2} + ig'YB_\mu \right) \left( \vec{\partial}_\mu - igA^{b\mu} \frac{\tau^b}{2} - ig'YB^\mu \right) \Phi_U - \lambda \left( \Phi_U^\dagger \Phi_U - \frac{v^2}{2} \right)^2 + kin. + selfinter.$$

...

$$\mathcal{L}_{Higgs}^{(U)} = \frac{1}{2} \partial_\mu H \partial^\mu H - \lambda v^2 H^2 - \lambda v H^3 - \frac{1}{4} \lambda H^4 + \frac{1}{8} (v + H)^2 (g^2 A_\mu^a A^{a\mu} - 4Ygg'A_\mu^3 B^\mu + 4Y^2 g'^2 B_\mu B^\mu)$$

$$Z_\mu = \frac{1}{\sqrt{g^2 + g'^2}} (gA_\mu^3 - g'B_\mu)$$

$$Y = \frac{1}{2}$$

$$\mathcal{L}_{mass}^{(IVB)} = \frac{1}{4} g^2 v^2 W_\mu^- W^{+\mu} + \frac{1}{8} (g^2 + g'^2) v^2 Z_\mu Z^\mu$$

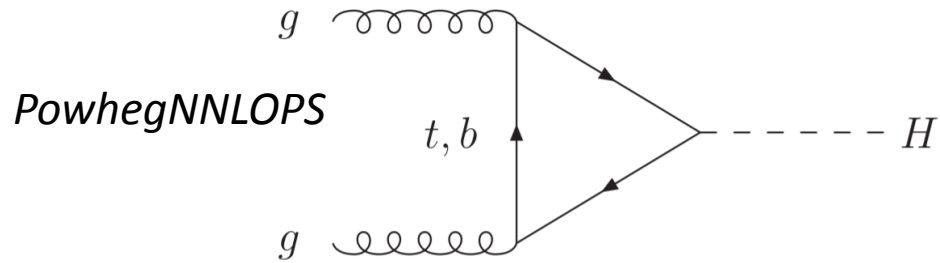
$$m_W = \frac{1}{2} gv$$

$$m_Z = \frac{1}{2} v \sqrt{g^2 + g'^2}$$

# Higgs production

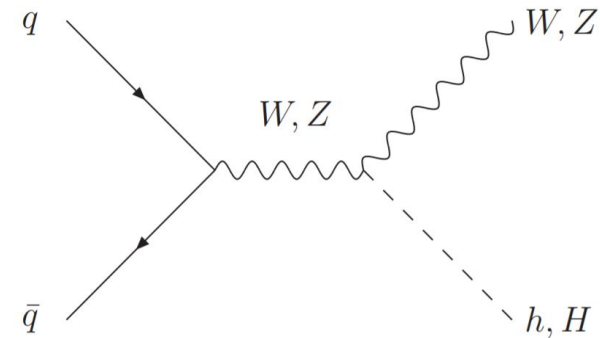
**PDG 2016, [9]:  $m_{H_0} \doteq (125.09 \pm 0.24)\text{GeV}$**

**ggF (gluon-gluon fusion)**



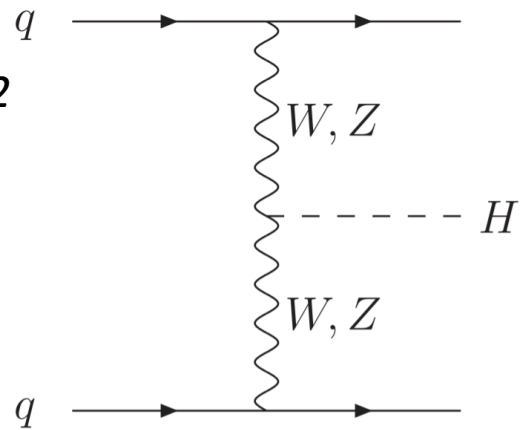
**Higgs Strahlung VH**

*Powheg Box2 (NLO)*



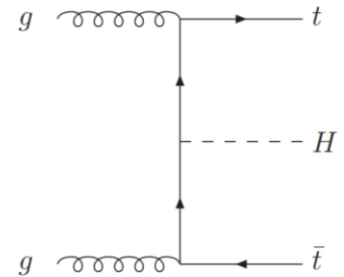
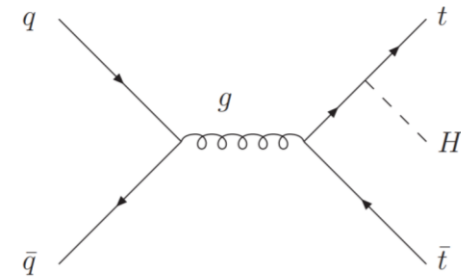
**VBF (vector-boson fusion)**

*Powheg Box2 (NLO)*

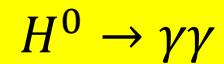
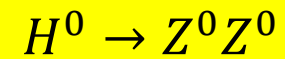
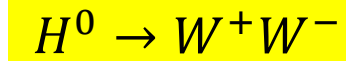
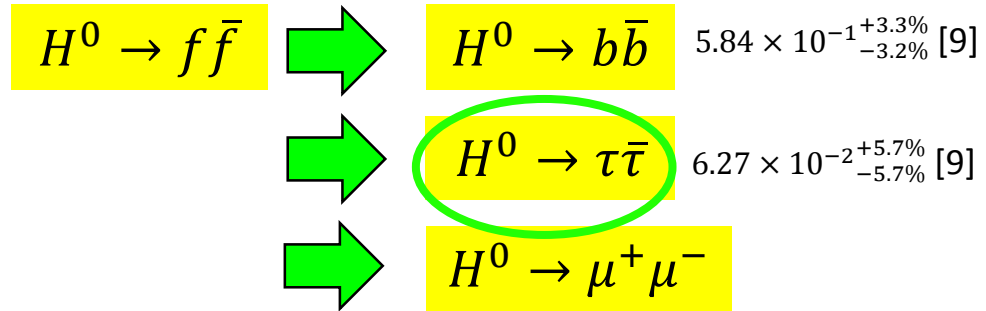


**$t\bar{t}H$  production**

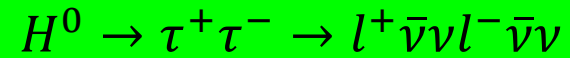
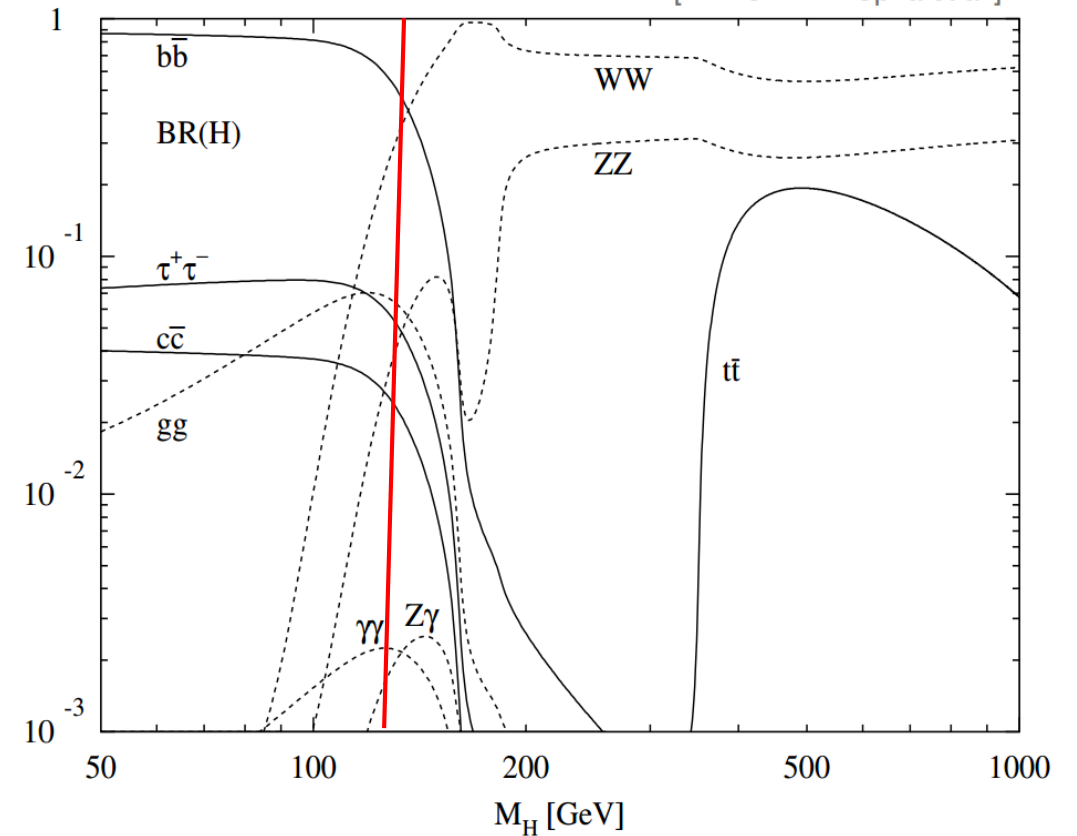
*MG5\_aMC@NLO v2.2.2*



# Higgs decay modes



...



$\sqrt{s} = 13 \text{ TeV}$

integrated luminosity =  $36.2 \text{ fb}^{-1}$

Run 2 (2015 & 2016)

$DF = e\mu$   
 $SF = ee/\mu\mu$

# Content

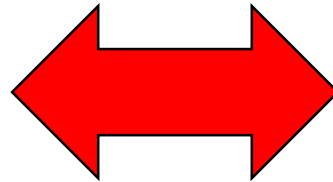
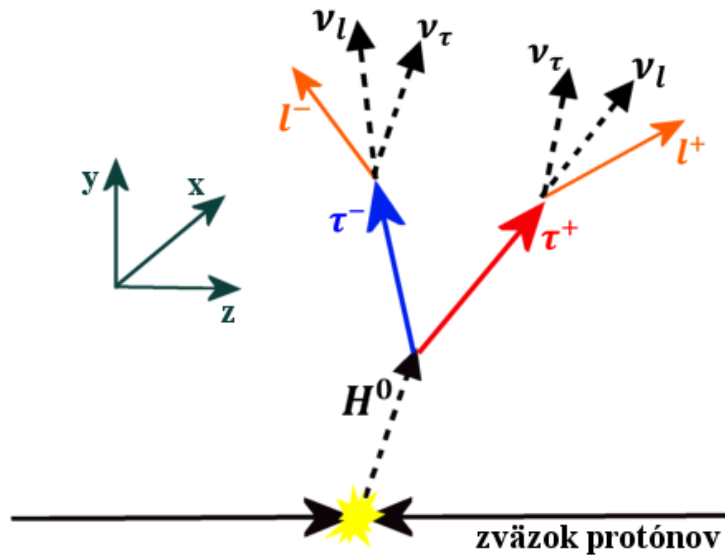
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# Data&MC

## Signal

$$H^0 \rightarrow \tau^+ \tau^- \rightarrow l^+ \bar{\nu} \nu l^- \bar{\nu} \nu$$

- ggF
- VBF
- VH
- $t\bar{t}H$



## Background

- $pp \rightarrow Z^0 \rightarrow \tau^+ \tau^-$ ,
- $pp \rightarrow Z^0 \rightarrow e^+ e^-$ ,
- $pp \rightarrow Z^0 \rightarrow \mu^+ \mu^-$ ,
- $pp \rightarrow t\bar{t}$ ,
- „single top“,
- $pp \rightarrow W^\pm \rightarrow l^\pm \nu_l$ ,
- „diboson decays“

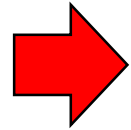
*Sherpa 2.2.1*

- jet associated W/Z production
- VBF Z production
- diboson

*Powheg-Box v2 & Powheg-Box v1 NLO*

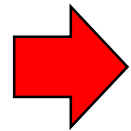
- $t\bar{t}$  & single top

# Data&MC



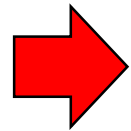
## Object reconstruction requirements

- primary vertices
- electrons, muons
- jets
- missing transversal energy  $E_T^{miss}$



## Trigger selection

- Single electron/Single muon  $p_T$  threshold
- Di-electron/Di-muon/electron-muon  $p_T$  threshold



## Preselection requirements

- number of leptons
- opposite charge
- lepton (medium) gradient isolation criteria
- ...

$\tau_{lep}\tau_{lep}$  preselection criteria

$$m_{\tau\tau}^{coll} < m_Z - 25\text{GeV}$$

SF	DF
$30 < m_{ll} < 75 \text{ GeV}$	$30 < m_{ll} < 100 \text{ GeV}$
$E_T^{miss} > 55 \text{ GeV}$	$E_T^{miss} > 20 \text{ GeV}$

$$p_T^{jet1} > 40\text{GeV}$$

$$N_{b-jets} = 0$$

VBF

$$p_T^{jet2} > 30\text{GeV}$$

$$|\eta_{jj}| > 3$$

$$m_{jj} > 400\text{GeV}$$

Boosted

not VBF

$$p_T^{\tau\tau} > 100\text{GeV}$$

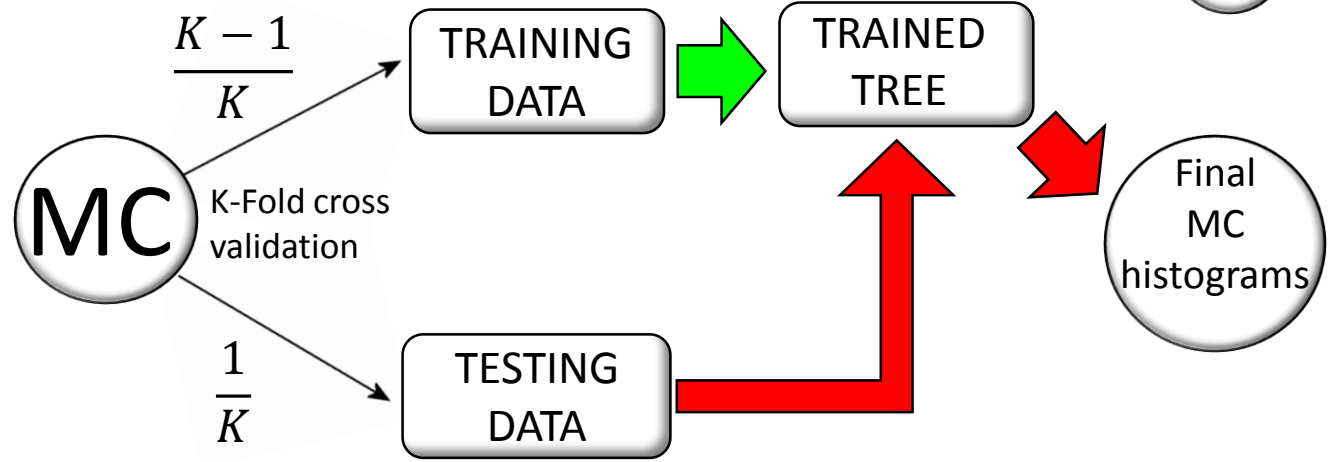
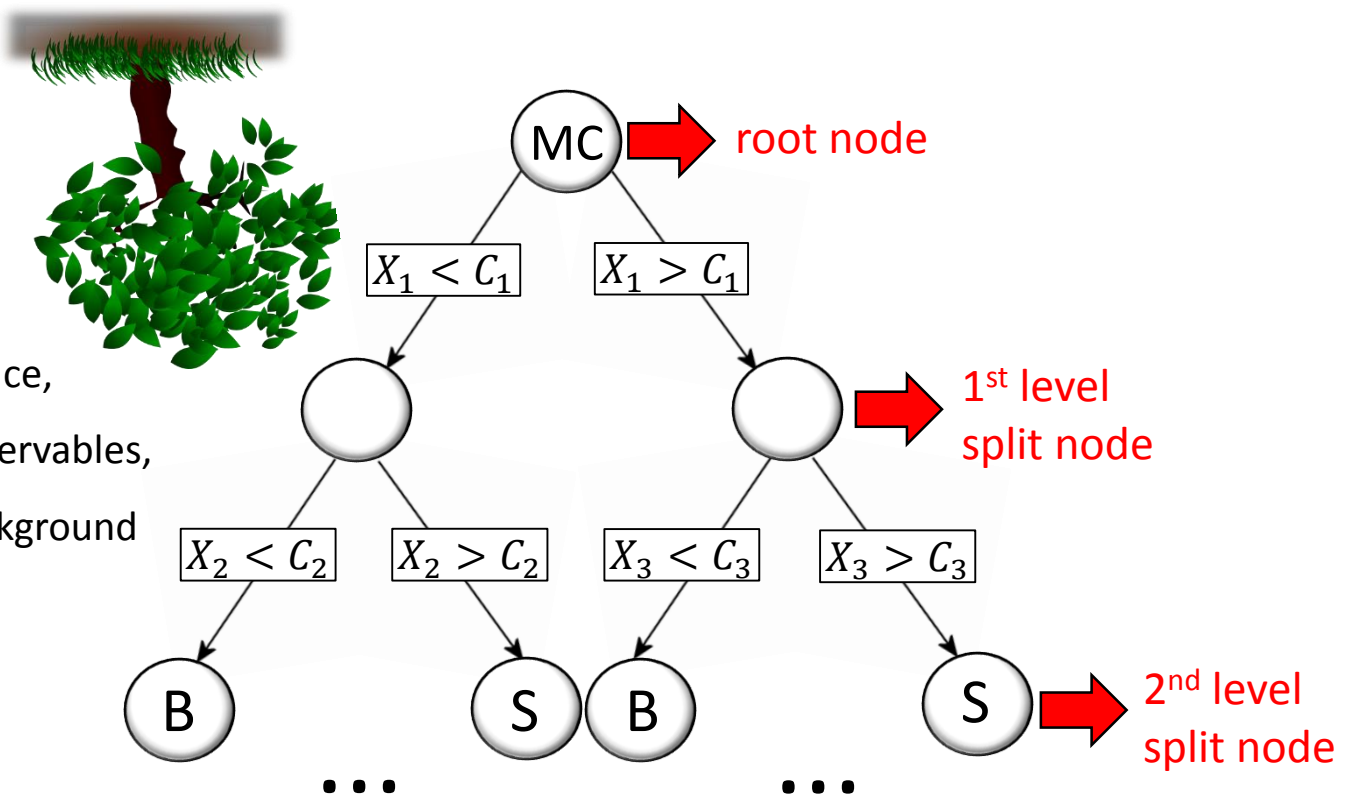
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# Decision Tree

- multivariate classification algorithm,
- operates within a multi-dimensional observable space,
- more sophisticated non sequential approach to observables,
- Task: **to maximize a figure of merit** of signal vs. background selection.

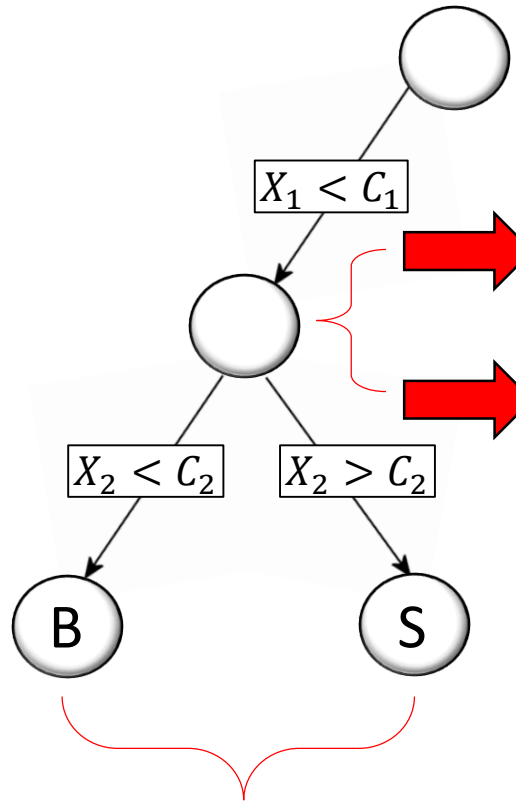
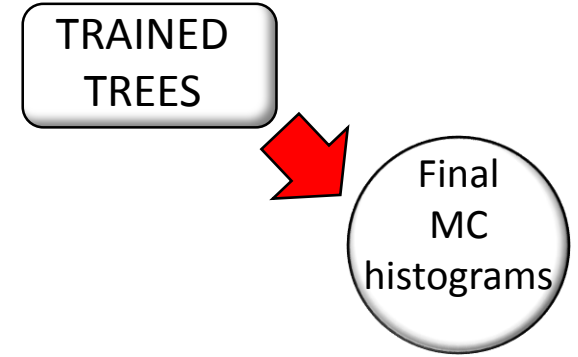


**TRAINING**  
 =  
 Determining the one variable and the corresponding cut value to get the best MC data separation at each node.

$$X_j \in \{X_1, \dots, X_n\}$$

$$C_j \in \{C_1(X_1), C_2(X_2), \dots, C_n(X_n)\}$$

# Training algorithm



**Gini index** is evaluated **AFTER & BEFORE** each split node to determine the most contributing selection variable with the corresponding cut value.

$$X_j \in \{X_1, \dots, X_n\}$$

$$C_j \in \{C_1(X_1), C_2(X_2), \dots, C_n(X_n)\}$$

The number of split node levels  $L$  is a fixed parameter.

Each event from the training data is then classified according to the label of the final leaf node.

$$\text{Gini index} = p(1 - p)$$

purity ...  $p = \frac{N_S}{N}$

the difference of Gini indices is being maximized

$$(G_L - G_{L-1})_{max}$$

# Boosted Decision Tree (Gradient Boost)

- **sequential method** – learning from the mistakes of previous classifiers
- **tree ensemble model construction**

$$F(x; P) = \sum_{m=1}^M \beta_m f(x; a_m) \quad P \in \{\beta_m; a_m\}_0^M$$

$f(x; a_m)$  ... weak classifiers     $x$  ... testing sample  
 $P$  ... parameters                       $y$  ... training sample

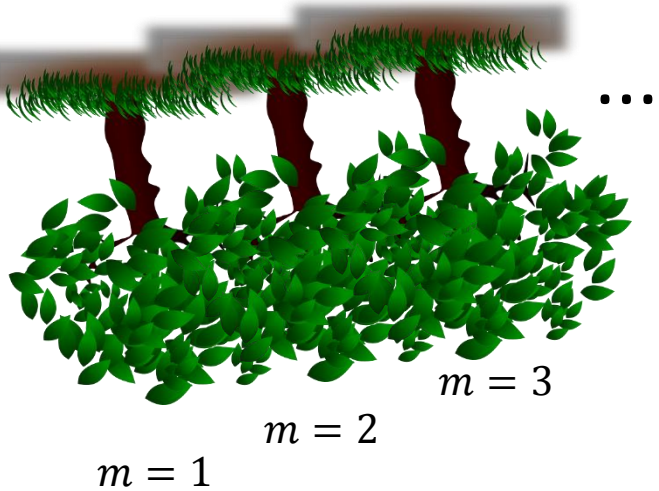
- **loss function minimization**

basic  $L(F(x), y) = (F(x) - y)^2$

gradient  $L(F(x), y) = \ln(1 + e^{-2F(x)y})$

- executed by loss function **gradient calculation**

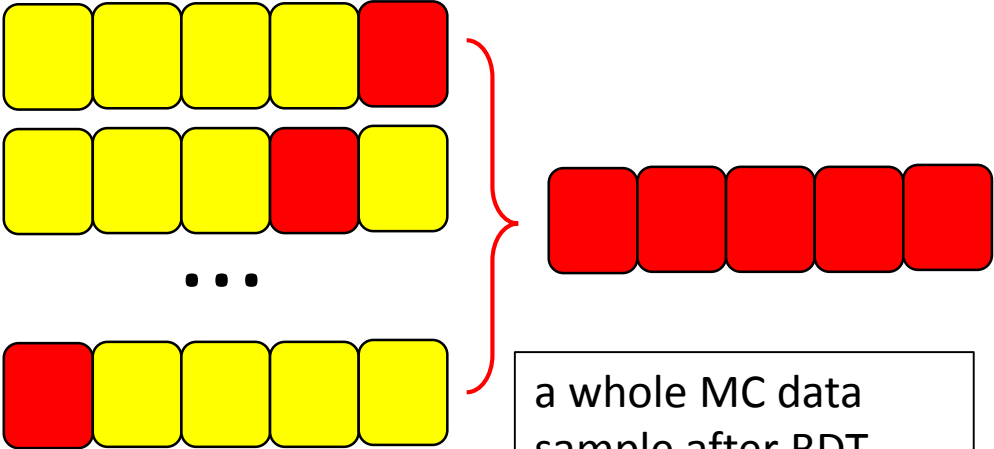
$$F(x) \approx F(x) + k \frac{\delta L(F(x), y)}{\delta F(x)}$$



$M$  number of trees in the forest

## K-Fold cross validation (K=5)

Training data    Testing data

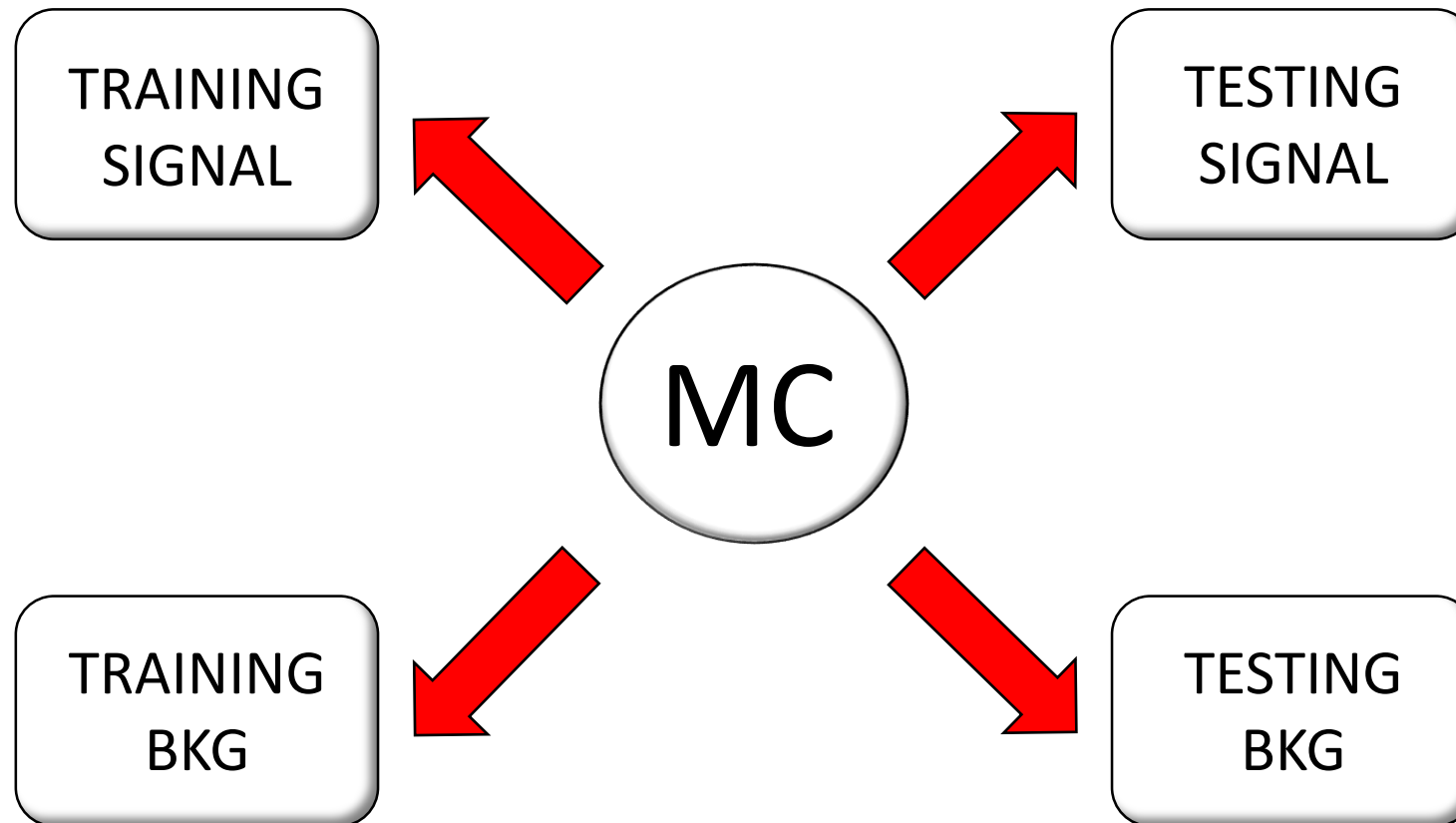


a whole MC data sample after BDT selection

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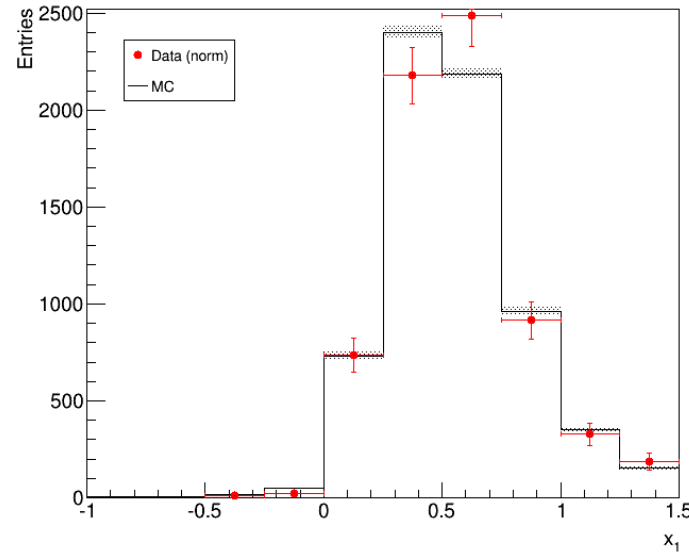
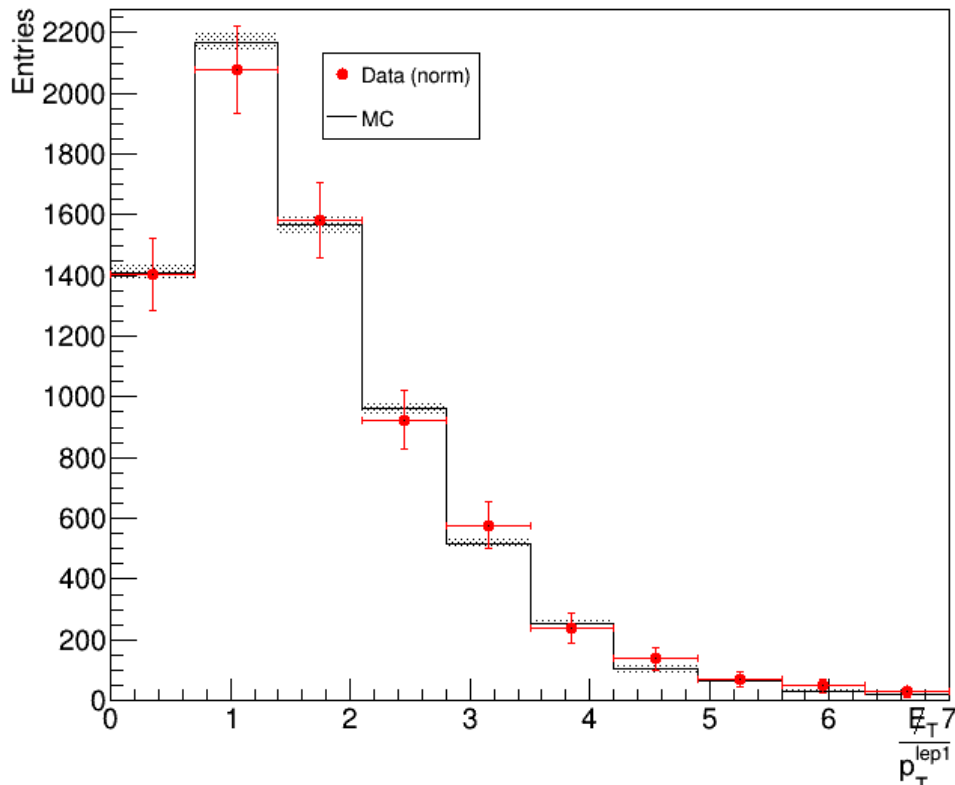
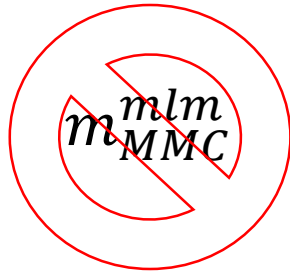
# BDT training – splitting data randomly



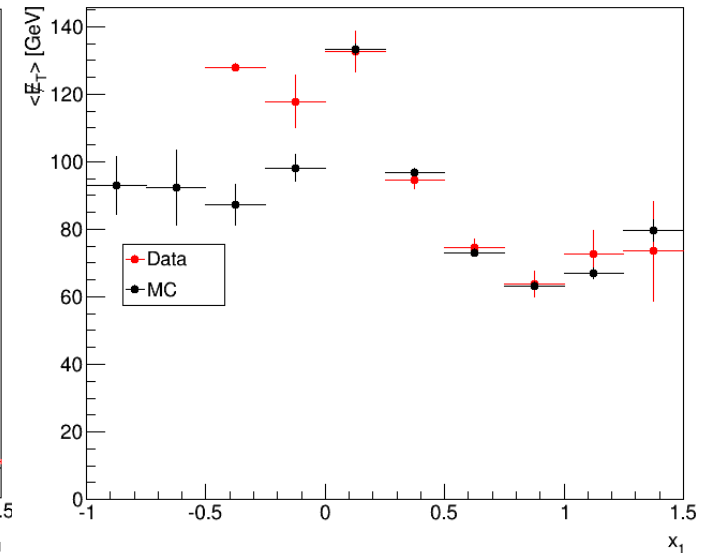
# BDT training – DQ and variables selection

## Starter Pack Selection

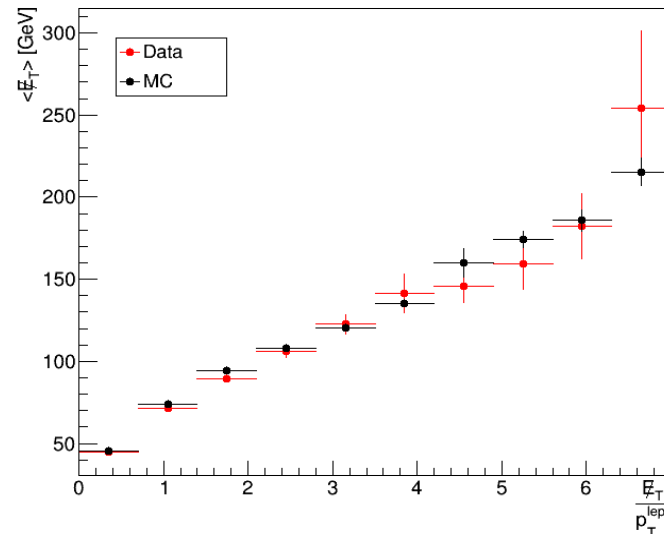
- Boosted SF – 34 variables
- Boosted DF – 34 variables
- VBF SF – 40 variables
- VBF DF – 40 variables



KS test: 0.776448



KS test: 0.000000

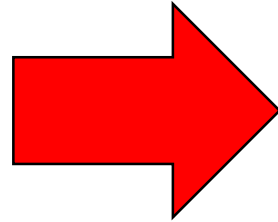


NONE was excluded

# BDT training – DQ and variables selection

## Starter Pack Selection

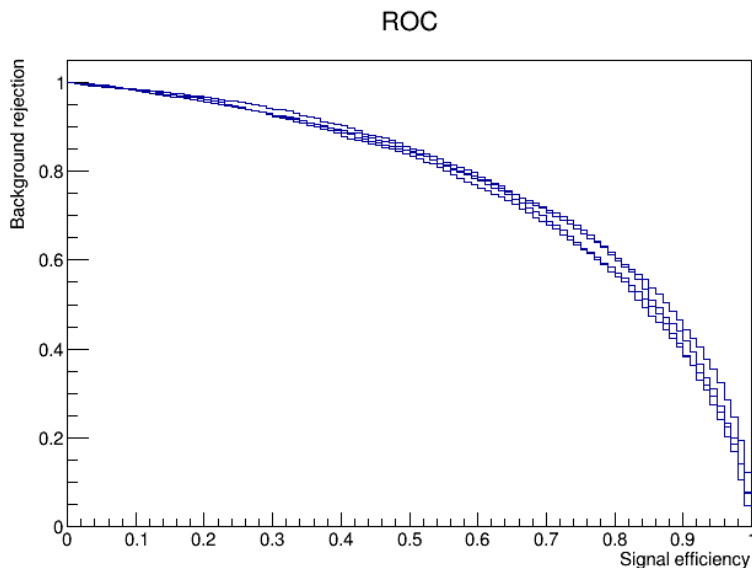
- Boosted SF – 34 variables
- Boosted DF – 34 variables
- VBF SF – 40 variables
- VBF DF – 40 variables



## Final List of Variables

VBF

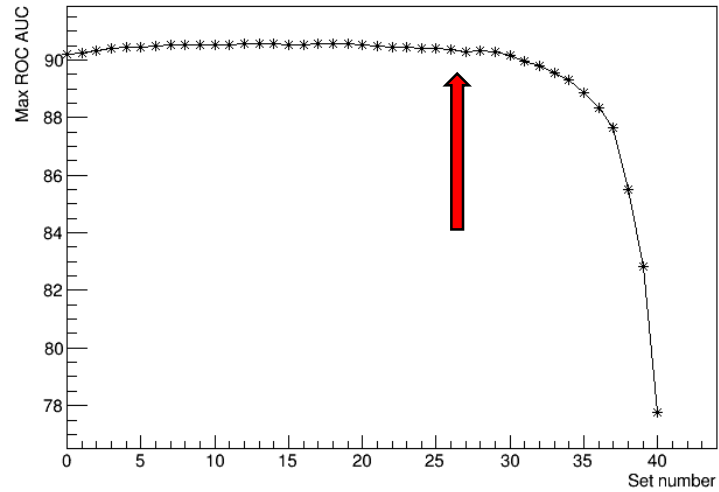
Boosted



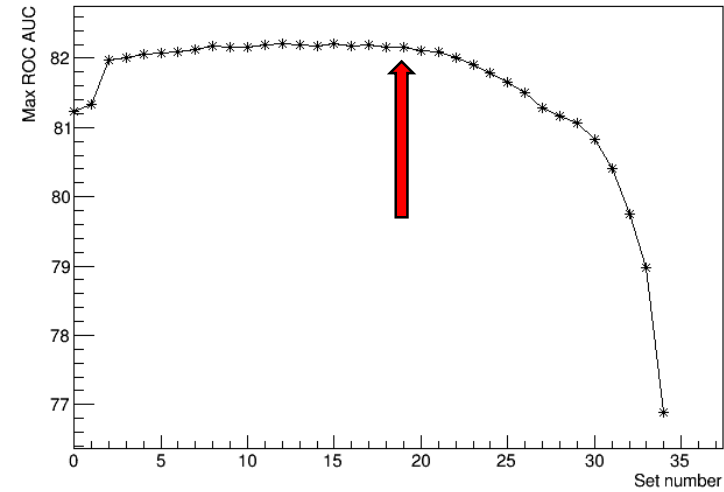
SF	DF	SF	DF
$E_T^{miss}$	$E_T^{miss}$	$m_{ll}, m_{jj}$	$m_{ll}$
$m_{ll}, m_{jj}$	$m_{ll}, m_{jj}$	$p_T^{jet2}$	$p_T^{jet1}, p_T^{jet2}$
$p_T^{jet1}, p_T^{jet2}$	$m_T^{lep1}, m_T^{lep2}$	$p_T^{tot}$	$p_T^{tot}$
$p_T^{tot}$	$p_T^{jet1}, p_T^{jet2}$	$m_{ll, jet1}$	$m_{ll, jet1}, m_{l1, l2, j1}$
$m_{ll, jet1}$	$p_T^{tot}$	$m_T^{lep1}$	$m_T^{lep1}$
$m_{l1, l2, j1}, m_{l1, l2, j2}$	$m_{l1, l2, j2}$	$x_1, x_2$	$x_1, x_2$
$x_1, x_2$	$\min \Delta\eta (l_1, l_2, jets)$	$\eta_u$	$p_T^{Higgs}$
$\min \Delta\eta (l_1, l_2, jets)$	$\Delta R_{ll}$	Sphericity	Sphericity
$\min \Delta R (l_2, jets)$	$N(jets > 30\text{GeV})$	$\min \Delta R (l_1, jets)$	$\min \Delta R (l_1, jets)$
$\Delta R_{ll}$	$E_T^{miss} / p_T^{lep1}$	$\Delta R_{ll}$	$\Delta R_{ll}$
$N(jets > 30\text{GeV})$	$E_T^{miss} / p_T^{lep2}$	$E_T^{miss} / p_T^{lep1}$	$E_T^{miss} / p_T^{lep1}$
$E_T^{miss} / p_T^{lep2}$		$E_T^{miss} / p_T^{lep2}$	$E_T^{miss} / p_T^{lep2}$

# BDT training – DQ and variables selection

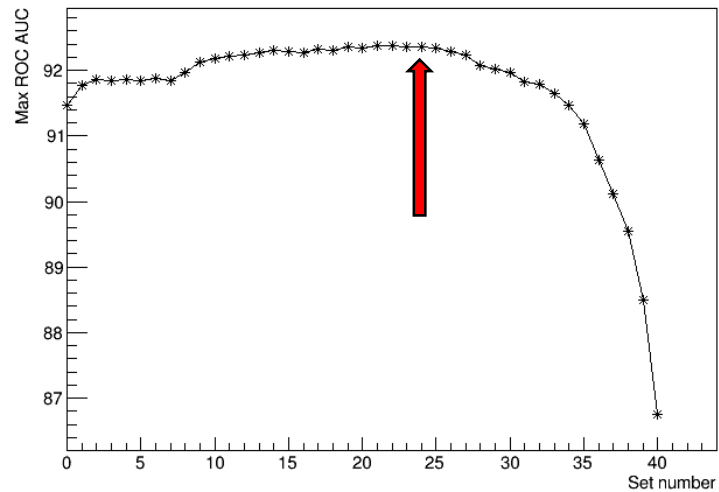
ROC status (vbf, dfonly)



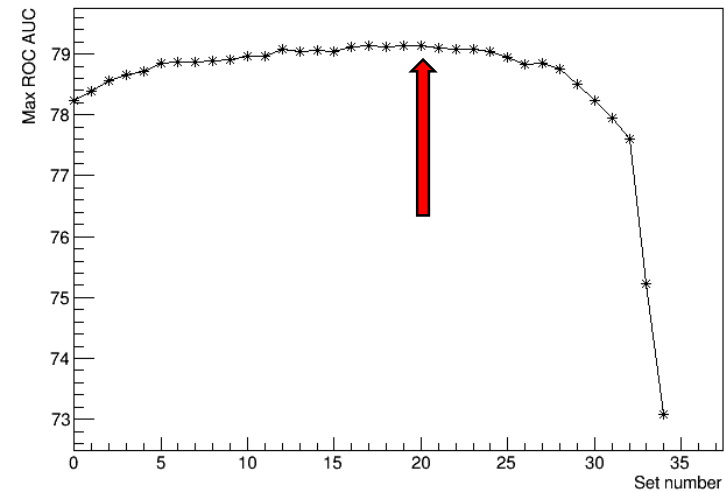
ROC status (boosted, dfonly)



ROC status (vbf, sfonly)



ROC status (boosted, sfonly)





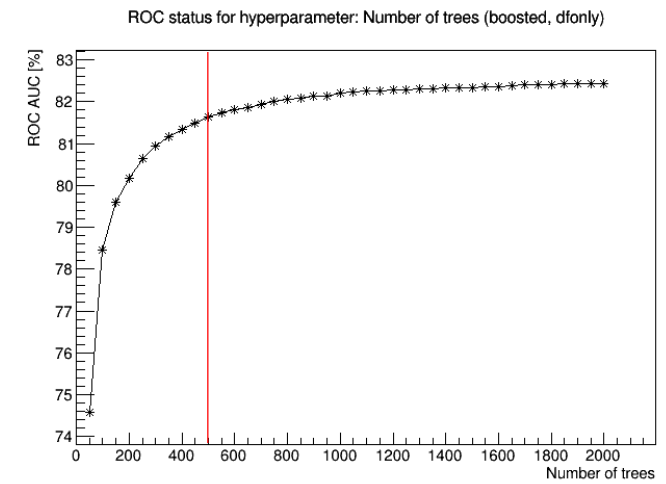
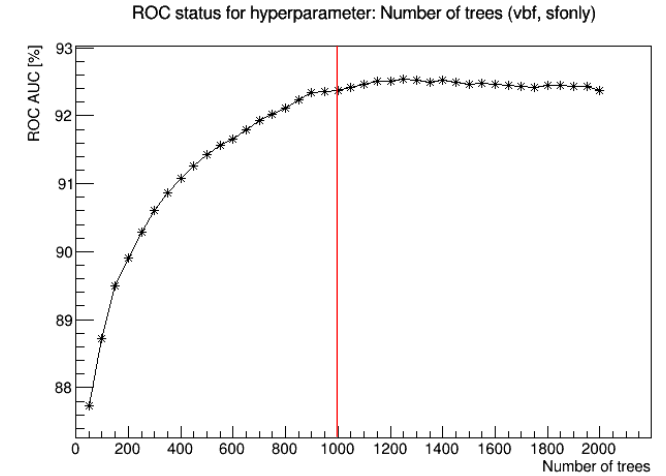


# BDT training – Hyperparameters optimization

	BOOSTED		VBF	
	SF	DF	SF	DF
<b>number of trees</b>	400	550	1000	250
<b>tree depth</b>	3	5	3	3
<b>minimum node size</b>	6%	7%	3.5%	6%
<b>shrinkage</b>	0.3	0.2	0.3	0.3

### Other parameters

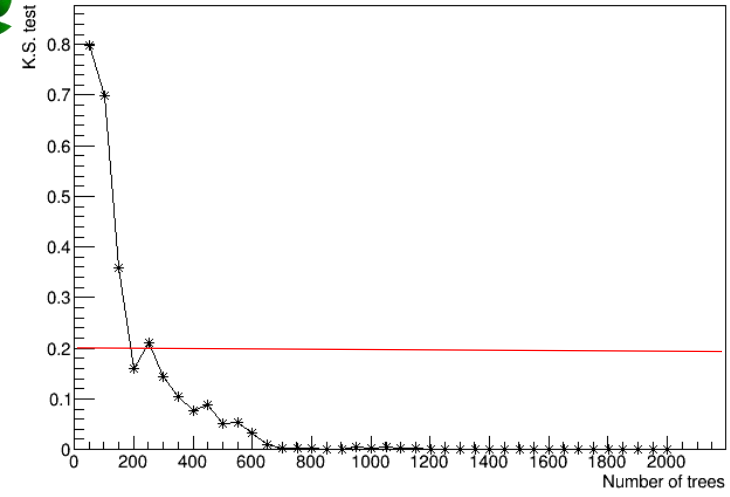
number of selection cuts per node  $N_c = 20$   
 negative weights treatment: we have **PRAYed**  
 pre-training transformation: **Gauss**



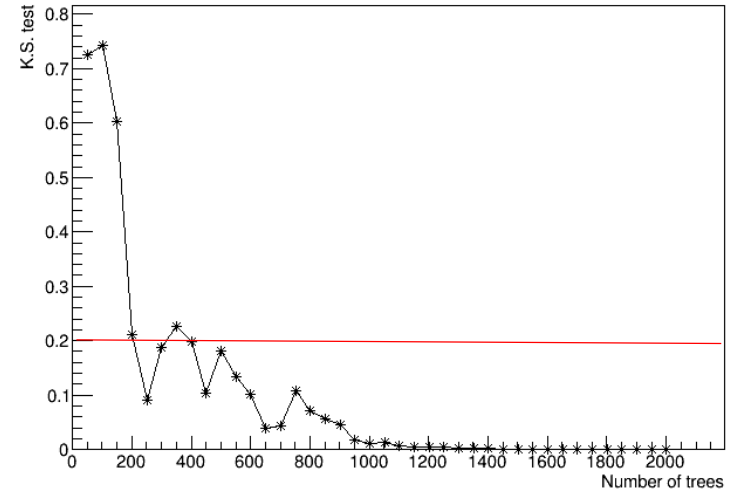


# BDT training – Hyperparameters optimization

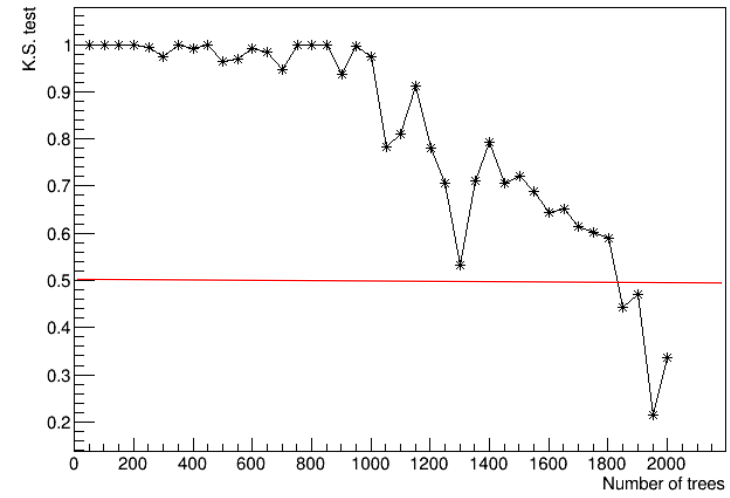
K.S. test (boosted, dfonly, bkg)



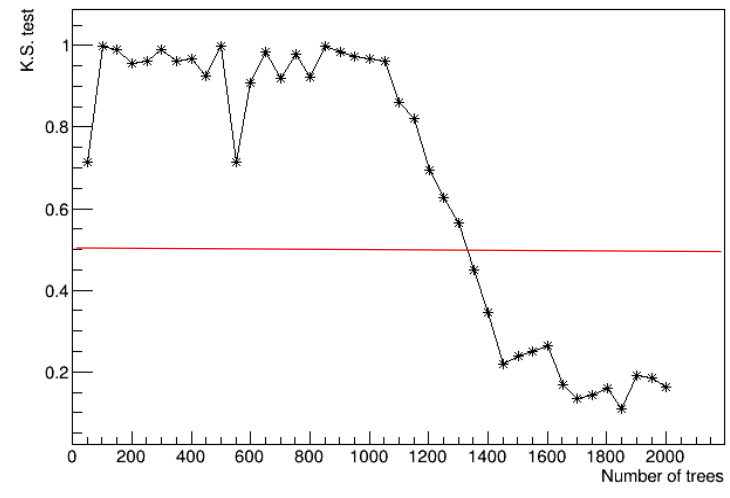
K.S. test (boosted, dfonly, sig)



K.S. test (vbf, sfonly, bkg)



K.S. test (vbf, sfonly, sig)



# Content

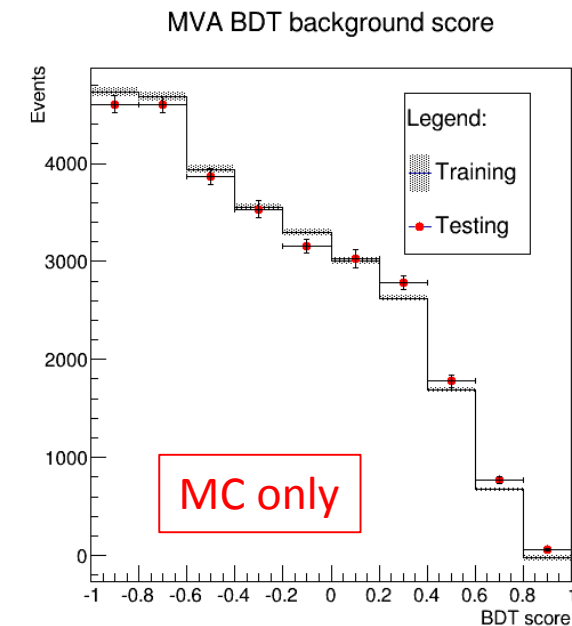
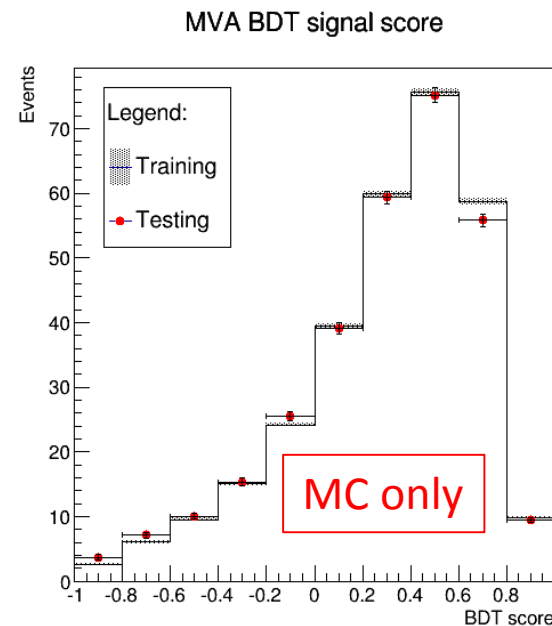
- ~~1) ATLAS detector subsystems~~
- ~~2) Higgs boson mechanism~~
- ~~3) Data & MC – preselection and categorisation~~
- ~~4) MVA – Boosted Decision Trees~~
- ~~5) BDT Training~~
- 6) Final BDT score cut

# BDT score

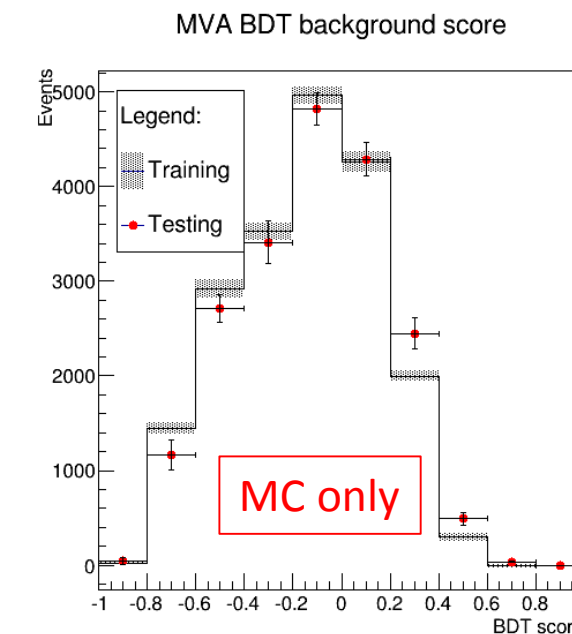
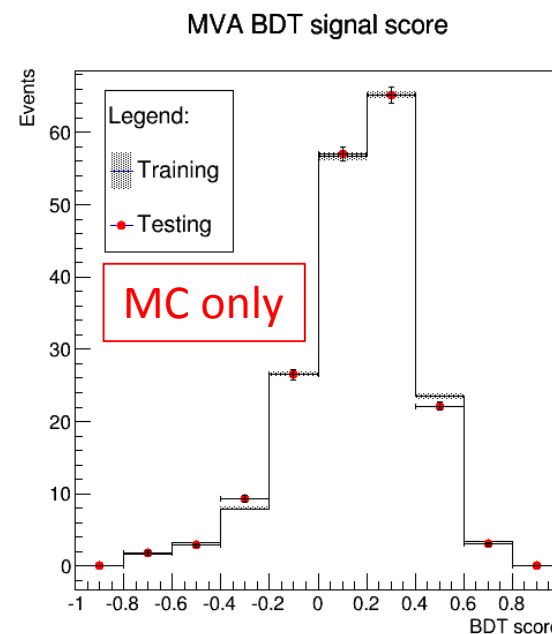
## Workflow

- trigger selection and preselection
- MC modelling profiles
- variables reduction
- BDT hyperparameter optimization
- overtraining test
- **BDT score calculation (event by event – testing data)**
- **the best BDT score cut – the best SIG vs. BKG separation**

Boosted  
DF



Boosted  
SF



# BDT score

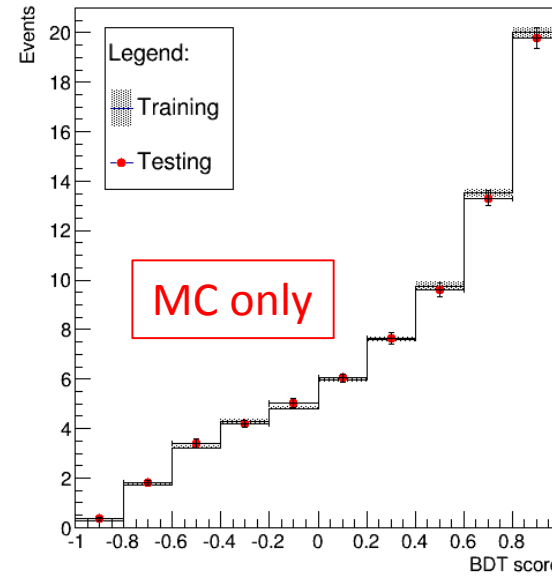
## Workflow

- trigger selection and preselection
- MC modelling profiles
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- BDT hyperparameter optimization
- overtraining test
- **BDT score calculation (event by event – testing data)**
- **the best BDT score cut – the best SIG vs. BKG separation**

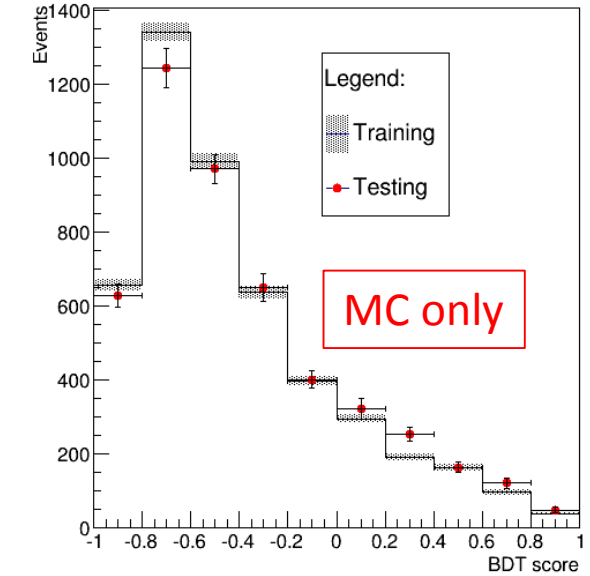
VBF  
DF

VBF  
SF

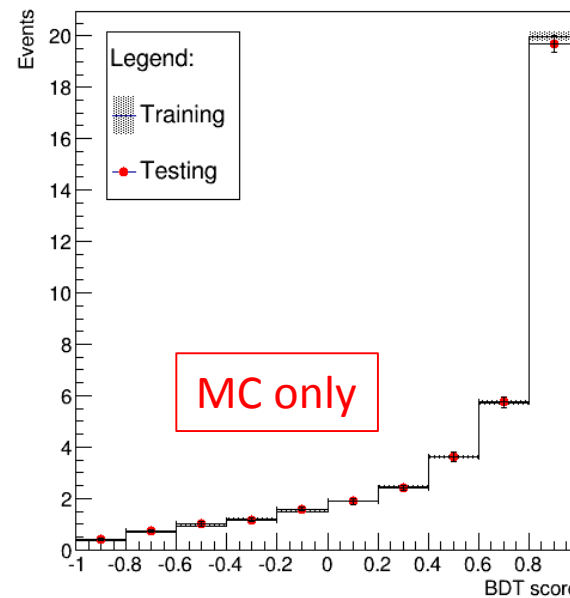
MVA BDT signal score



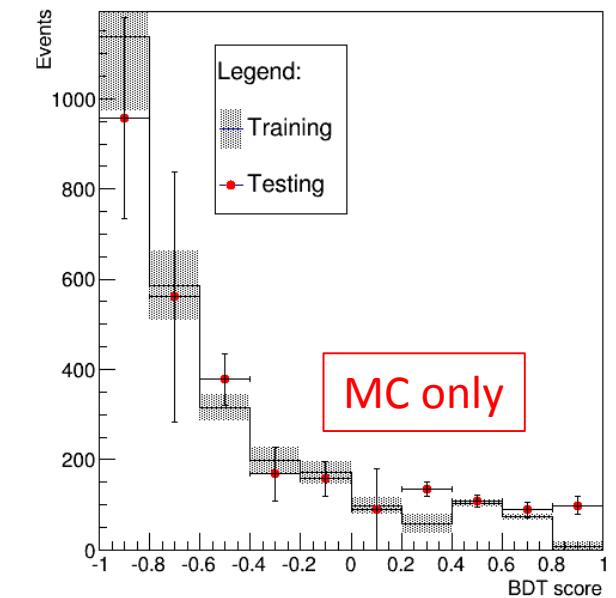
MVA BDT background score



MVA BDT signal score



MVA BDT background score

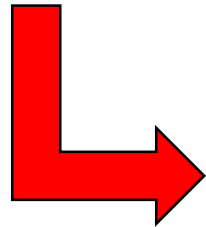


# BDT score

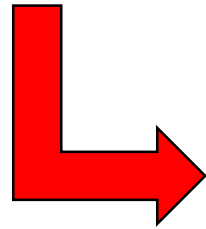
+1

-1

**Definition: Likelihood estimation of event being signal or background**



the better BDT cut – the better SIG/BKG selection



determined by calculation of  
**Expected significance  $Z$**

Profile Likelihood Ratio

**Express how likely it is, that expected signal has  
outreached background noises only by chance**

# Significance estimation

## Systematic errors

- Theoretical systematics
  - signal cross section uncertainties (higher order corrections)
  - ggH + 1 or 2 exclusive jets (QCD corrections)
  - background acceptances (higher order corrections)
  - PDFs uncertainties
  - parton shower distribution
- Experimental systematics
  - triggering efficiencies
  - object identification and reconstruction uncertainties
  - energy scale
  - luminosity uncertainties
- Background modelling
  - $Z \rightarrow \tau\tau$  control region
  - shape of the  $m_{\tau\tau}^{MMC}$  distribution
  - Shape and normalization of the fake-lepton background

# BDT score

## Final BDT selection and Significance (expected)

	BDT score >	$Z_{expected}$
Boosted SF	-0.1	0.18
Boosted DF	-1.0	0.42
VBF SF	0.6	0.44
VBF DF	0.8	0.88

$$Z_{combined}^{expected} = 1.16$$



# Summary

## Final BDT selection and Significance (expected)

	BDT score >	$Z_{expected}$
Boosted SF	-0.1	0.18
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VBF SF	0.6	0.44
VBF DF	0.8	0.88

MVA BDT  $\tau_{lep}\tau_{lep}$   $Z_{expected}^{combined} = 1.16$

Cut based  $\tau_{lep}\tau_{lep}$   $Z_{expected}^{combined} = 1.18$

# Reference

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# Appendices

## Monte Carlo simulation

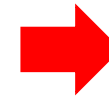
- signal + background,
- various processes  $\leftrightarrow$  various weights,
- perturbative method

cross section  $\sigma$

luminosity  $\mathcal{L}$

integrated luminosity  $\mathcal{L}_{int}$

$$\frac{dN}{dt} = \sigma \mathcal{L}(t)$$



$$N = \sigma \mathcal{L}_{int}$$

$$\mathcal{L}_{int} = 1411.26 \text{ pb}^{-1}$$

$$\sigma \rightarrow \sigma'$$

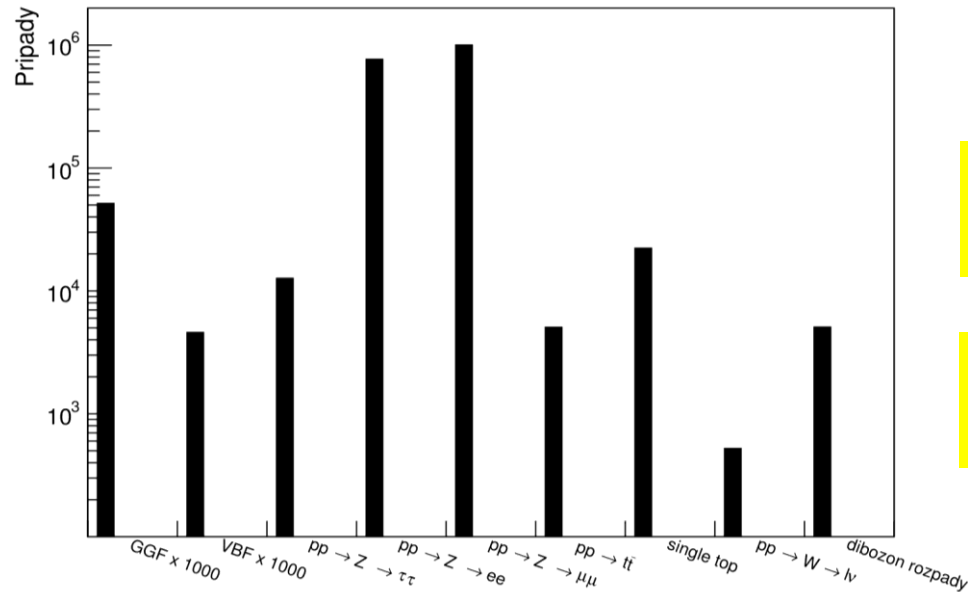
branching ratio  $Br$

$$\sigma' = \sigma(pp \rightarrow Z) \cdot Br(Z^0 \rightarrow e^+e^-)$$

detector efficiency  $\varepsilon$

$$\varepsilon = \frac{\sum_{rec,i} w_i^{MC}}{\sum_{gen,i} w_i^{MC}}$$

$$N = \sum_{rec,i} \frac{\sigma' \mathcal{L}_{int} w_i^{MC}}{\underbrace{\sum_{gen,i} w_i^{MC}}_{\text{MC event total weight}}}$$



# Appendices – Preselection (2016)

## Trigger selection

- $p_T^e > 15 \text{ GeV}$ ,
- $p_T^\mu > 10 \text{ GeV}$ ,
- $|\eta| < 2,47$ ,

## Quality of lepton reconstruction

- purity  $\leftrightarrow$  reconstruction efficiency

## Lepton isolation

- „free isolation“  $\varepsilon = \frac{N_{iso,lep}}{N_{lep}} = 99\%$ ,

## Invariant mass

- $m_{ll} < 100 \text{ GeV}$  ( $l_1 \neq l_2$ ),
- $m_{ll} < 80 \text{ GeV}$  ( $l_1 = l_2$ ),

## Charge conservation, dilepton decays

pseudorapidity  $\eta$

$$\eta = -\ln\left(\tan\frac{\theta}{2}\right)$$

$\theta$  polar angle

invariant mass

$$m_{ll}^2 = (E_1 + E_2)^2 - (\vec{p}_1 + \vec{p}_2)^2$$

$E_i, \vec{p}_i$  lepton energy and momentum

# Appendices – Preselection (2016)

## Collinear approximation

$$H^0 \rightarrow \tau^+ \tau^- \rightarrow l^+ \bar{\nu} l^- \bar{\nu}$$

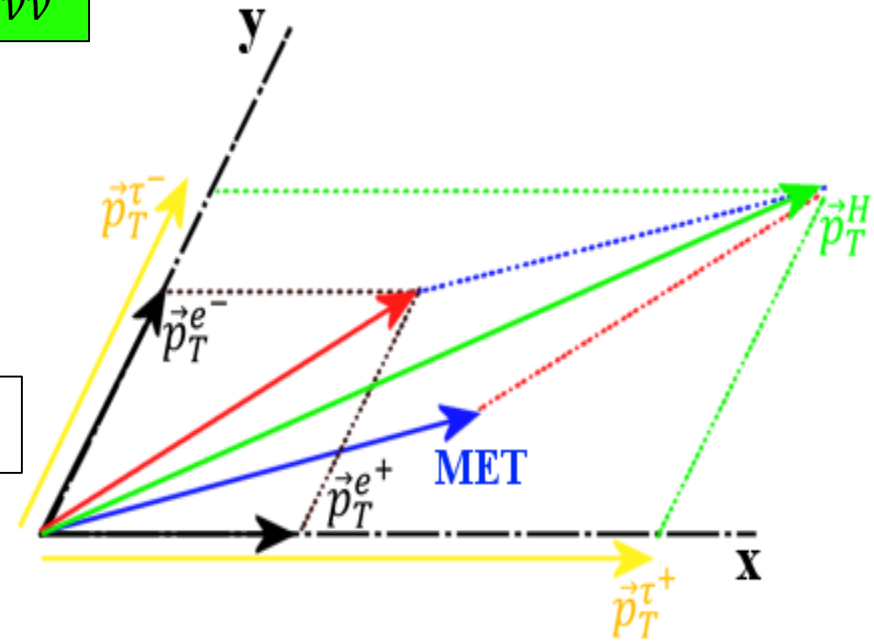
- neutrino flight direction  $\approx$  lepton direction ( $m_H \gg m_\tau$ ),
- $\nu, l, \tau$  mass neglected,
- azimuthal angle between leptons  $\cos \phi > -1$ ,



$$m_{coll}^2 = (p_{l,1} + p_{\nu,1} + p_{l,2} + p_{\nu,2})^2 - (\vec{p}_{l,1} + \vec{p}_{\nu,1} + \vec{p}_{l,2} + \vec{p}_{\nu,2})^2$$

- leads to excluding of non physical event reconstruction

$$x_1 = \frac{p_T^{l1}}{p_T^{\tau 1}} \quad x_2 = \frac{p_T^{l2}}{p_T^{\tau 2}} \quad x_{1,2} \in (0,1)$$



$H^0$  mass reconstruction with respect to the collinear approx.

MET ... missing energy transversal