

# Comparison of the ATLAS and CMS FCNC $t \rightarrow Zq$ searches

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**LPCC**

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Universidade do Minho  
Escola de Ciências



INVESTIGADOR  
FCT

**FCT**

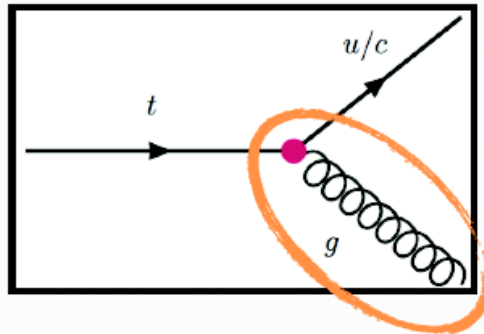
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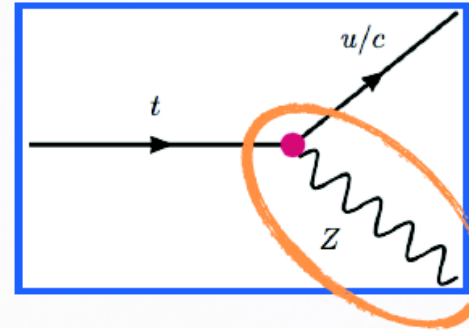
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# FCNCs at the top sector

**Top +  
gluon**

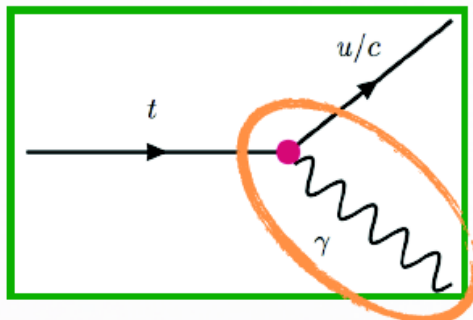


**Top +  
Z**

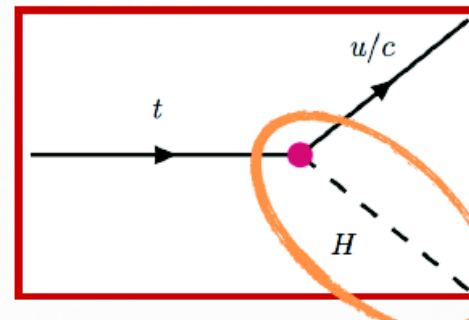


$$\mathcal{L} = \sum_{q=u,c} \left[ \sqrt{2}g_s \frac{\kappa_{gqt}}{\Lambda} \bar{t} \sigma^{\mu\nu} T_a (f_{Gq}^L P_L + f_{Gq}^R P_R) q G_{\mu\nu}^a + \right. \\ \left. + \frac{g}{\sqrt{2}c_W} \frac{\kappa_{zqt}}{\Lambda} \bar{t} \sigma^{\mu\nu} (f_{Zq}^L P_L + f_{Zq}^R P_R) q Z_{\mu\nu} + \frac{g}{4c_W} \frac{\zeta_{zqt}}{\Lambda} \bar{t} \gamma^\mu (f_{Zq}^L P_L + f_{Zq}^R P_R) q Z_\mu - \right. \\ \left. - e \frac{\kappa_{\gamma qt}}{\Lambda} \bar{t} \sigma^{\mu\nu} (f_{\gamma q}^L P_L + f_{\gamma q}^R P_R) q A_{\mu\nu} + \right. \\ \left. + \frac{g}{\sqrt{2}} \frac{\kappa_{Hqt}}{\Lambda} \bar{t} (f_{Hq}^L P_L + f_{Hq}^R P_R) q H \right] + h.c.$$

**Top +  
gamma**

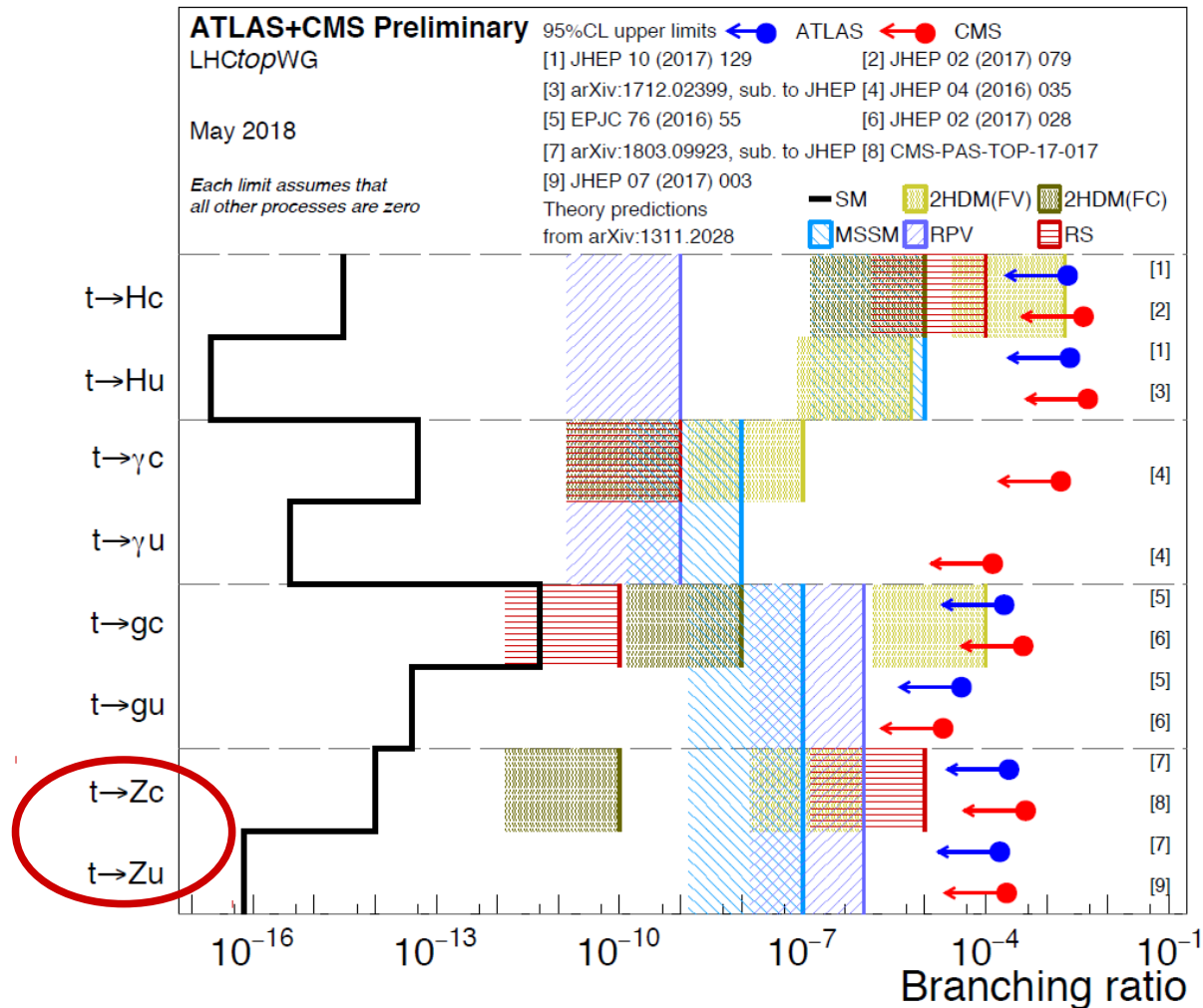


**Top +  
Higgs**



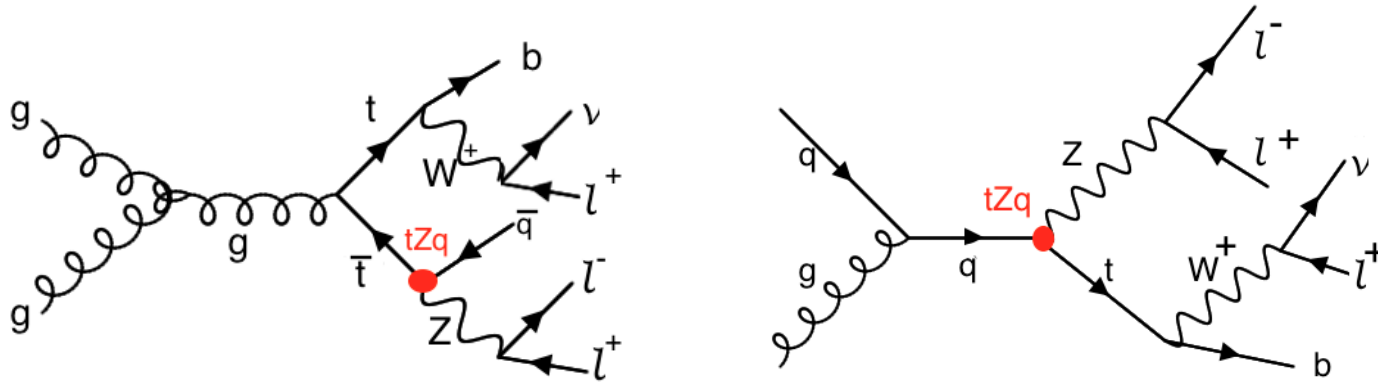
# FCNCs at the top sector

Model:	SM	QS	2HDM	FC 2HDM	MSSM	RPV SUSY	RS	EMF
$\mathcal{B}(t \rightarrow qZ)$ :	$10^{-14}$	$10^{-4}$	$10^{-6}$	$10^{-10}$	$10^{-7}$	$10^{-6}$	$10^{-5}$	$10^{-6}$



# Search for $t \rightarrow qZ$ at the LHC (ATLAS and CMS)

- The  $tZq$  vertex can be probed both in  $t\bar{t}$  events (where  $t \rightarrow qZ$ ) and  $tZ$  production via FCNC



- The present talk will focus on the comparison of the ATLAS and CMS results at 13 TeV:
  - ATLAS [arXiv:1803.099923](https://arxiv.org/abs/1803.099923) [hep-ex]  $\rightarrow$  decay
  - CMS PAS TOP-17-017  $\rightarrow$  production+decay

# Search for $t \rightarrow qZ$ at the LHC (ATLAS and CMS)

- Signal generation:

ATLAS	CMS
<ul style="list-style-type: none"><li>• MG5_aMC@NLO (NLO)</li></ul>	<ul style="list-style-type: none"><li>• MG5_aMC@NLO (LO)</li></ul>
<ul style="list-style-type: none"><li>• Top FCNC UFO model (<a href="http://feynrules.irmp.ucl.ac.be/wiki/TopFCNC">http://feynrules.irmp.ucl.ac.be/wiki/TopFCNC</a>)</li></ul>	<ul style="list-style-type: none"><li>• Private implementation of the lagrangian in Feynrules / UFO</li></ul>
<ul style="list-style-type: none"><li>• <math>\Lambda = 1</math> TeV</li><li>• only one eff. operator coef. different from 0 (choice equivalent to a tensor LH coupling)</li></ul>	<ul style="list-style-type: none"><li>• Tensor RH coupling</li></ul>

# Search for $t \rightarrow qZ$ at the LHC (ATLAS and CMS)

- Trigger and object definitions

	ATLAS	CMS
triggers	<ul style="list-style-type: none"><li>• Single lepton (e ou <math>\mu</math>) triggers</li></ul>	<ul style="list-style-type: none"><li>• Combination of single, dilepton and trilepton triggers</li></ul>
electrons	<ul style="list-style-type: none"><li>• <math>E_T &gt; 15</math> GeV</li><li>• <math> \eta  &lt; 2.5</math></li></ul>	<ul style="list-style-type: none"><li>• <math>E_T &gt; 35</math> GeV</li><li>• <math> \eta  &lt; 2.1</math></li></ul>
muons	<ul style="list-style-type: none"><li>• <math>p_T &gt; 15</math> GeV</li><li>• <math> \eta  &lt; 2.5</math></li></ul>	<ul style="list-style-type: none"><li>• <math>p_T &gt; 30</math> GeV</li><li>• <math> \eta  &lt; 2.4</math></li></ul>
Jets ( $\Delta R=0.4$ )	<ul style="list-style-type: none"><li>• <math>p_T &gt; 25</math> GeV</li><li>• <math> \eta  &lt; 2.5</math></li></ul>	<ul style="list-style-type: none"><li>• <math>p_T &gt; 30</math> GeV</li><li>• <math> \eta  &lt; 2.4</math></li></ul>
B-tag eff.	<ul style="list-style-type: none"><li>• 77 %</li></ul>	<ul style="list-style-type: none"><li>• 83 %</li></ul>

# Analysis strategy

- Basic selection
  - ATLAS considers only the decay channel
  - CMS considers both production and decay channels

ATLAS	CMS
<ul style="list-style-type: none"><li>• Exactly 3 leptons (at least 1 OSSF pair)</li></ul>	<ul style="list-style-type: none"><li>• Exactly 3 leptons (at least 1 OSSF pair)</li></ul>
<ul style="list-style-type: none"><li>• At least 2 jets</li></ul>	<ul style="list-style-type: none"><li>• Exactly 1 jet (ST)</li><li>• 2 or 3 jets (TT)</li></ul>
<ul style="list-style-type: none"><li>• Exactly 1 b-tagged jet</li></ul>	<ul style="list-style-type: none"><li>• Exactly 1 b-tagged jet (ST)</li><li>• At least 1 b-tagged jet (TT)</li></ul>
<ul style="list-style-type: none"><li>• MET &gt; 20 GeV</li></ul>	<ul style="list-style-type: none"><li>• <math>M_T(W) &lt; 300</math> GeV</li></ul>
<ul style="list-style-type: none"><li>• Z candidate within 15 GeV of <math>m_Z</math></li></ul>	<ul style="list-style-type: none"><li>• Z candidate within 7.5 GeV of <math>m_Z</math></li></ul>

# Analysis strategy

- Signal and control regions used in the fit:

ATLAS

Selection	$t\bar{t}Z$ CR	$WZ$ CR	$ZZ$ CR	Non-prompt lepton CR0 (CR1)	SR
No. leptons	3	3	4	3	3
OSSF	Yes	Yes	Yes	Yes	Yes
$ m_{\ell\ell}^{\text{reco}} - 91.2 \text{ GeV} $	$< 15 \text{ GeV}$	$< 15 \text{ GeV}$	$< 15 \text{ GeV}$	$> 15 \text{ GeV}$	$< 15 \text{ GeV}$
No. jets	$\geq 4$	$\geq 2$	$\geq 1$	$\geq 2$	$\geq 2$
No. $b$ -tagged jets	2	0	0	0 (1)	1
$E_{\text{T}}^{\text{miss}}$	$> 20 \text{ GeV}$	$> 40 \text{ GeV}$	$> 20 \text{ GeV}$	$> 20 \text{ GeV}$	$> 20 \text{ GeV}$
$m_{\text{T}}^{\ell\nu}$	-	$> 50 \text{ GeV}$	-	-	-
$ m_{\ell\nu}^{\text{reco}} - 80.4 \text{ GeV} $	-	-	-	-	$< 30 \text{ GeV}$
$ m_{j\nu}^{\text{reco}} - 172.5 \text{ GeV} $	-	-	-	-	$< 40 \text{ GeV}$
$ m_{j\ell}^{\text{reco}} - 172.5 \text{ GeV} $	-	-	-	-	$< 40 \text{ GeV}$

CMS

	WZ control region (WZCR)	single top quark signal region (STSR)	top quark pair signal region (TTSR)	single top quark control region (STCR)	top quark pair control region (TTCR)
Number of jets	$\geq 1, \leq 3$	1	$\geq 2, \leq 3$	1	$\geq 2, \leq 3$
Number of $b$ jets	0	1	$\geq 1$	1	$\geq 1$
$ M(Z_{\text{reco}}) - M_Z $ $< 7.5 \text{ GeV}$	Yes	Yes	Yes	No	No



# Analysis strategy

- Evaluation of normalization of the non-prompt leptons (NPL) background (shape from MC templates)

- **ATLAS**

- Normalization factors from dedicated regions

Z+jets and DY		$t\bar{t}$	
“Light” region – e	“Light” region – $\mu$	“Heavy” region – e	“Heavy” region – $\mu$
$eee$ or $e\mu\mu$ , OSSF	$\mu\mu\mu$ or $\mu ee$ , OSSF	$e\mu\mu$ , OS no OSSF	$\mu ee$ , OS no OSSF
$ m_{\ell\ell} - 91.2 \text{ GeV}  < 15 \text{ GeV}$	$ m_{\ell\ell} - 91.2 \text{ GeV}  < 15 \text{ GeV}$		
$\geq 1 \text{ jet}$	$\geq 1 \text{ jet}$	$\geq 2 \text{ jet}$	$\geq 2 \text{ jet}$
$E_T^{\text{miss}} < 40 \text{ GeV}$	$E_T^{\text{miss}} < 40 \text{ GeV}$		
$m_T \leq 50 \text{ GeV}$	$m_T \leq 50 \text{ GeV}$		

- **CMS**

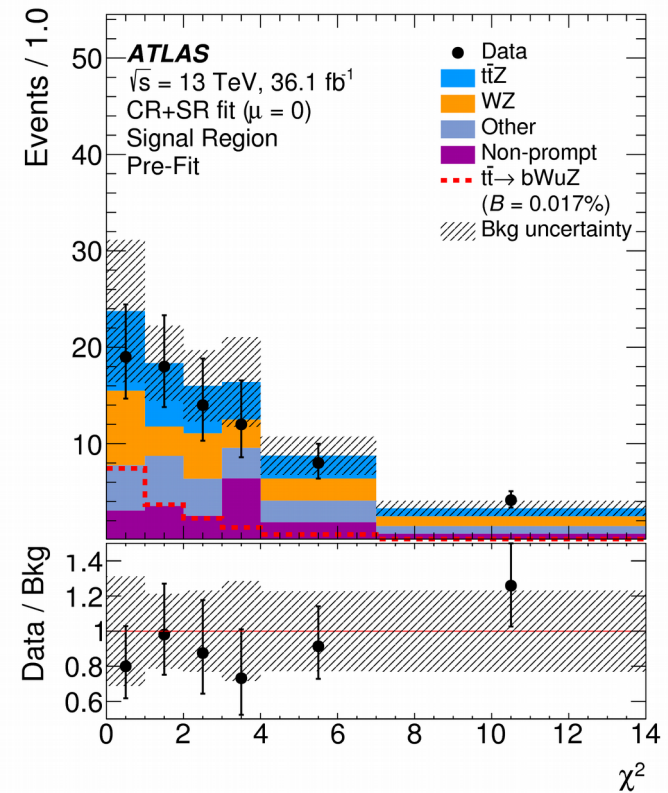
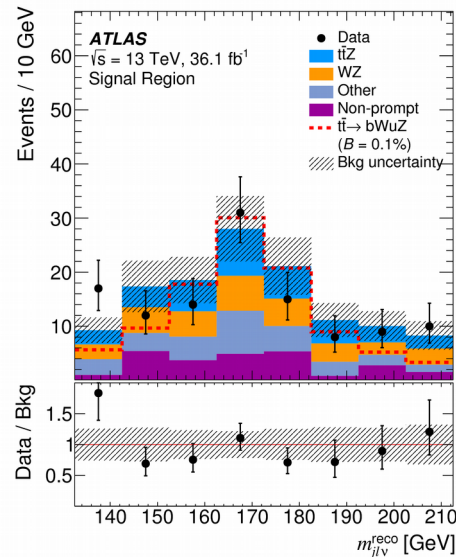
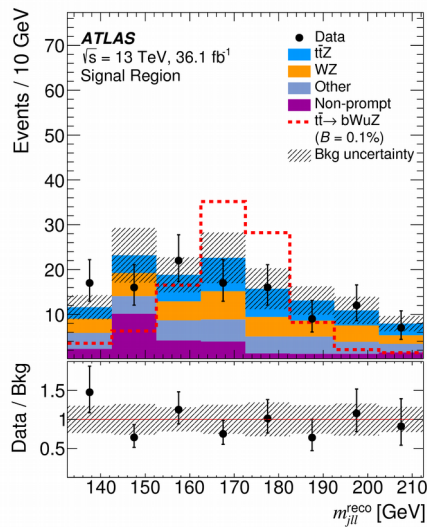
- NPL normalization from  $t\bar{t}$  derived using TTCR and STCR
- NPL normalization from Z+jets and DY derived using WZCR

# Event reconstruction / MVA

- ATLAS uses a  $\chi^2$  method for event reconstruction:

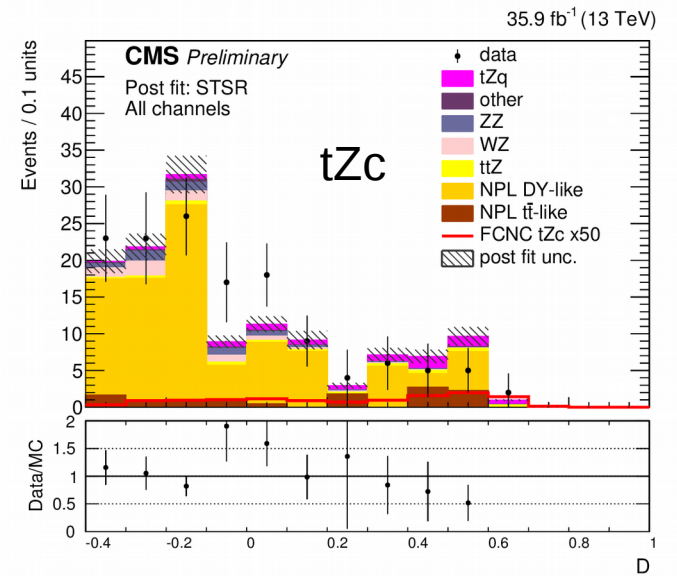
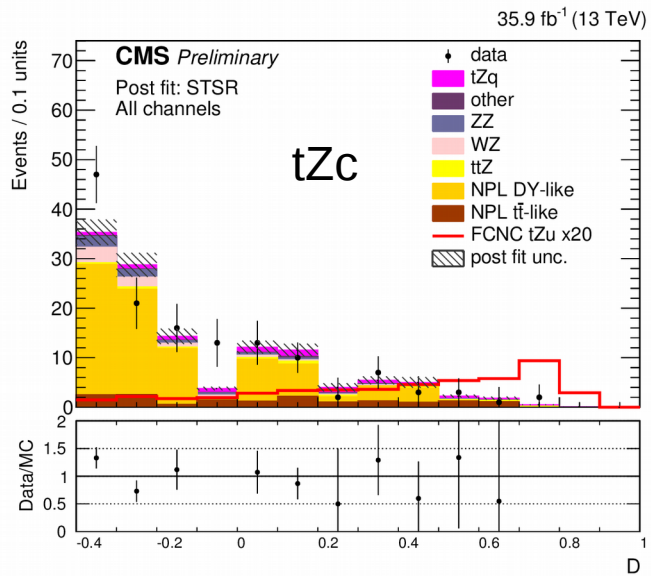
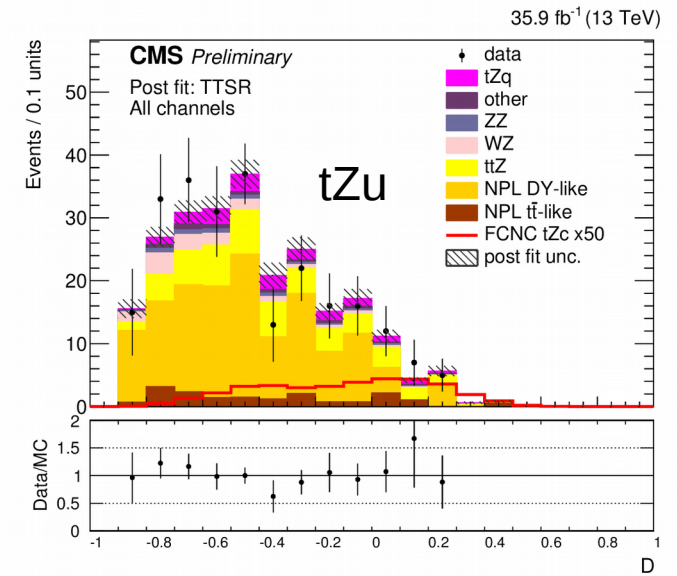
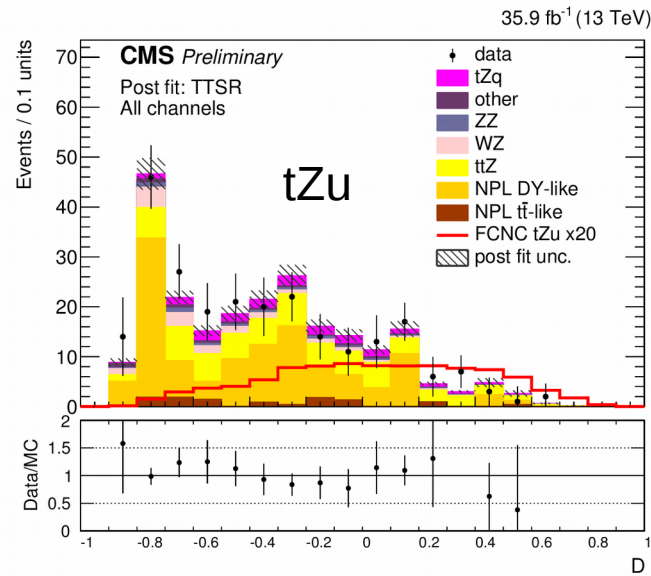
$$\chi^2 = \frac{\left(m_{j_a \ell_a \ell_b}^{\text{reco}} - m_{t_{\text{FCNC}}}\right)^2}{\sigma_{t_{\text{FCNC}}}^2} + \frac{\left(m_{j_b \ell_c \nu}^{\text{reco}} - m_{t_{\text{SM}}}\right)^2}{\sigma_{t_{\text{SM}}}^2} + \frac{\left(m_{\ell_c \nu}^{\text{reco}} - m_W\right)^2}{\sigma_W^2}$$

which is used as fitting variable



# Event reconstruction / MVA

- CMS uses a BDT



# Systematic uncertainties

- ATLAS

<u>Pre-fit</u> Source	$t\bar{t}Z$ CR	$WZ$ CR	$ZZ$ CR	Non-prompt lepton CR0	Non-prompt lepton CR1	SR	
	B [%]	B [%]	B [%]	B [%]	B [%]	B [%]	S [%]
Event modelling	29	40	13	24	40	30	5
Leptons	2.1	2.4	3.0	2.6	2.9	2.6	1.9
Jets	6	8	15	10	4	9	4
$b$ -tagging	7	1.5	0.6	2.3	3.0	5	3.4
$E_T^{\text{miss}}$	0.4	4	2.6	3.0	0.8	5	1.4
Non-prompt leptons	1.1	1.3	—	12	15	6	—
Pile-up	5	1.3	5	3.5	1.8	4	2.3
Luminosity	2.0	2.0	2.1	1.3	0.8	1.7	2.1

<u>Post-fit</u> Source	$t\bar{t}Z$ CR	$WZ$ CR	$ZZ$ CR	Non-prompt lepton CR0	Non-prompt lepton CR1	SR	
	B [%]	B [%]	B [%]	B [%]	B [%]	B [%]	S [%]
Event modelling	22	10	11	9	23	18	5
Leptons	2.0	2.4	2.9	2.6	2.9	2.6	1.8
Jets	5	6	11	8	4	8	4
$b$ -tagging	7	1.4	0.6	2.1	2.8	4	3.1
$E_T^{\text{miss}}$	0.3	3.3	2.5	2.8	0.7	4	1.4
Non-prompt leptons	1.1	1.1	—	8	12	5	—
Pile-up	5	1.2	5	3.3	1.7	3.5	2.2
Luminosity	2.0	2.0	2.1	1.3	0.8	1.6	2.1

# Systematic uncertainties

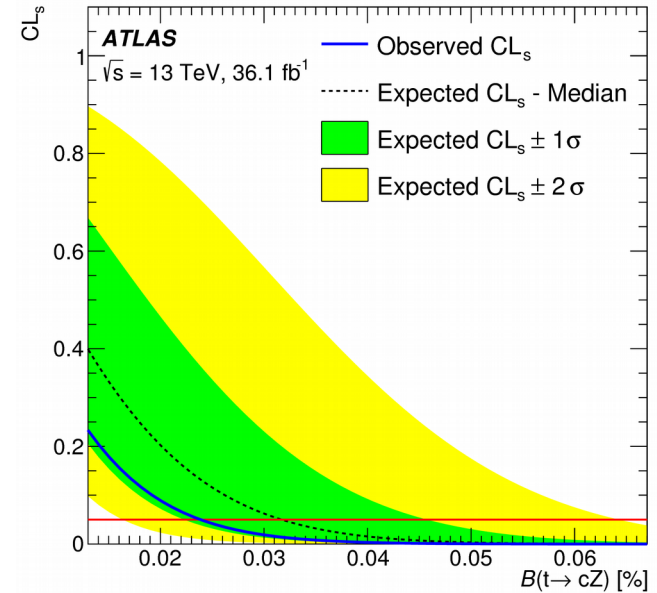
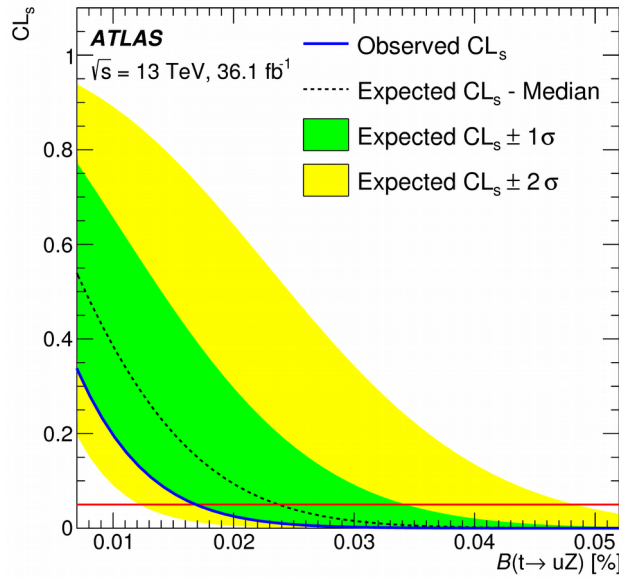
- CMS

Source	Systematic input	Type
NPL muon	50%	normalization
NPL electron	50%	normalization
background ttZ	30%	normalization
background WZ	30%	normalization
background tZq	30%	normalization
background ZZ	30%	normalization
background other MC	30%	normalization
trigger	1% (5%)	normalization
lepton identification	$\pm\sigma(p_T, \eta)$	shape
JES	$\pm\sigma(p_T, \eta)$	shape
JER	$\pm\sigma(p_T, \eta)$	shape
b-tagging	$\pm\sigma(p_T, \eta)$	shape
pileup	$\pm\sigma$ of min. bias cross section	shape
→ PDF	PDF4LHC recipe	shape (WZ, tZq, ttZ, ZZ)
luminosity	2.5%	normalization
renorm. and fact. scales	varying each indep. and corr.	shape

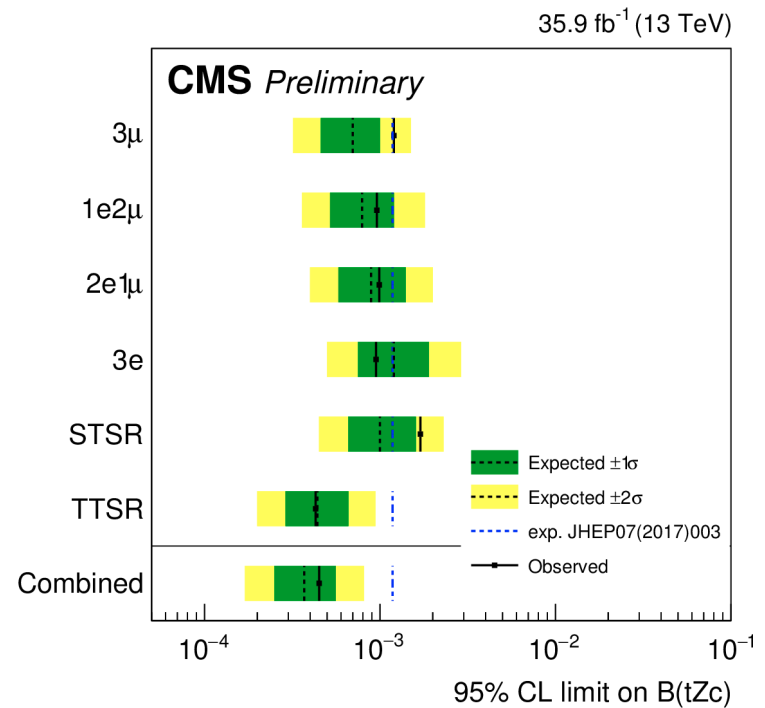
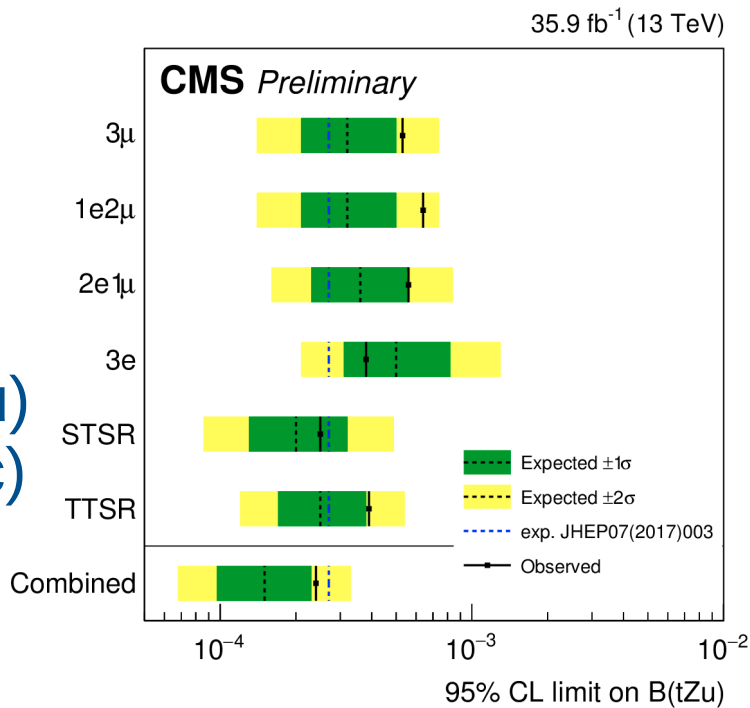
# Results

ATLAS

exp BR @  
95% CL:  
0.024 % (u)  
0.032 % (c)



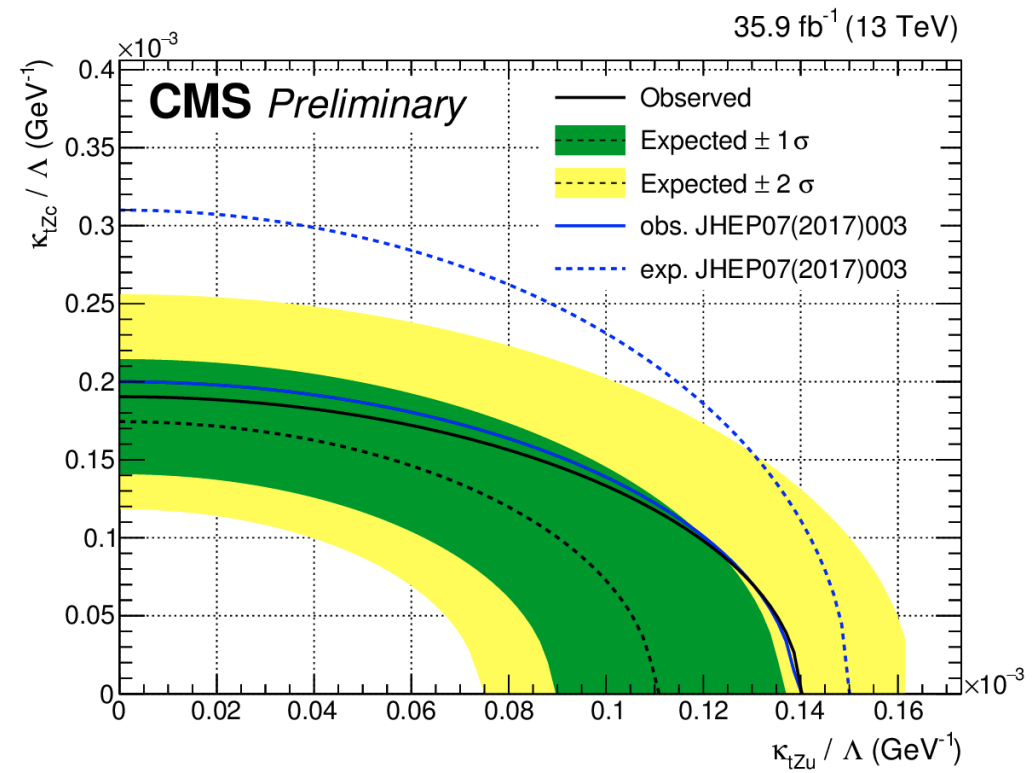
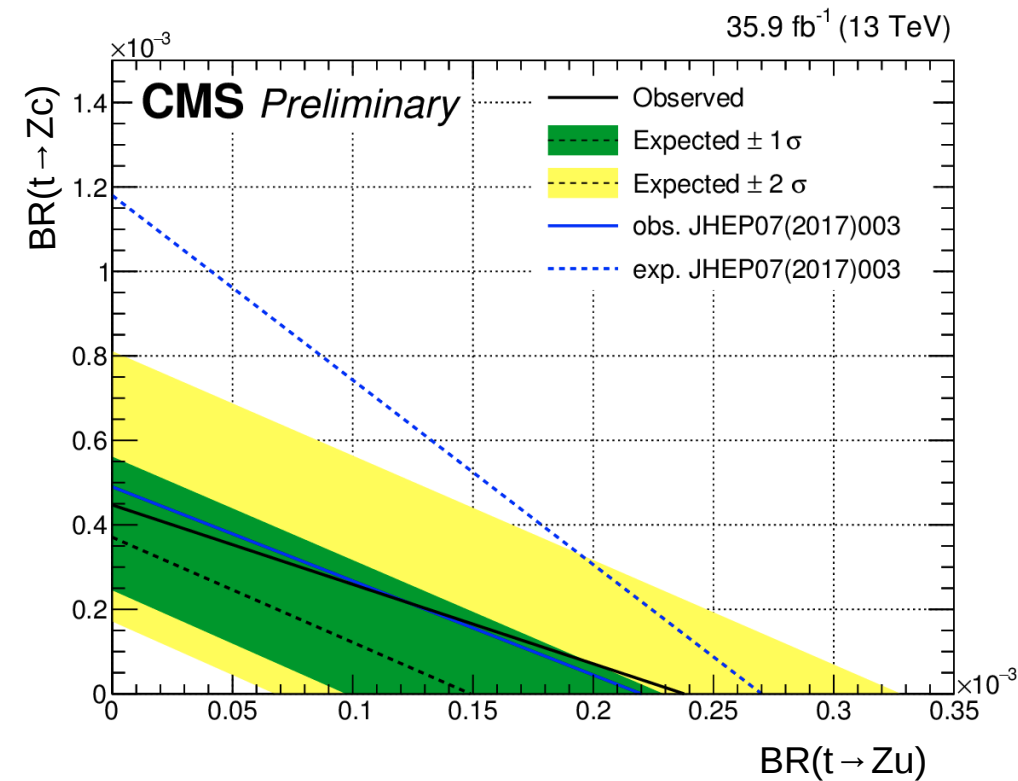
CMS  
exp BR @  
95% CL:  
0.015 % (u)  
0.037 % (c)



# Results

- Limits on  $x_{\text{sec}} / \text{BR}$  can be converted into coupling limits

CMS





# Results

- Limits on BR can be interpreted in terms of coefficients of EFT operators

## ATLAS

Operator	Observed	Expected
$ C_{uB}^{(31)} $	0.25	0.30
$ C_{uW}^{(31)} $	0.25	0.30
$ C_{uB}^{(32)} $	0.30	0.34
$ C_{uW}^{(32)} $	0.30	0.34

$$\Lambda = 1 \text{ TeV}$$

### Z boson - tensor

$$\frac{g_W}{4 \cos \theta_W m_Z} K_{ut}^L = \frac{v}{\sqrt{2}} \left( g_W \frac{C_{uW}^*}{\Lambda^2} \cos \theta_W - g_Y \frac{C_{uB}^*}{\Lambda^2} \sin \theta_W \right)$$

$$\frac{g_W}{4 \cos \theta_W m_Z} K_{ut}^R = \frac{v}{\sqrt{2}} \left( g_W \frac{C_{tW}}{\Lambda^2} \cos \theta_W - g_Y \frac{C_{tB}}{\Lambda^2} \sin \theta_W \right)$$

$$\frac{g_W}{4 \cos \theta_W m_Z} K_{ct}^L = \frac{v}{\sqrt{2}} \left( g_W \frac{C_{ctW}^*}{\Lambda^2} \cos \theta_W - g_Y \frac{C_{ctB}^*}{\Lambda^2} \sin \theta_W \right)$$

$$\frac{g_W}{4 \cos \theta_W m_Z} K_{ct}^R = \frac{v}{\sqrt{2}} \left( g_W \frac{C_{tcW}}{\Lambda^2} \cos \theta_W - g_Y \frac{C_{tcB}}{\Lambda^2} \sin \theta_W \right)$$

### Z boson - vector

$$C_{\phi u}^{1+3} = -\frac{\Lambda^2}{v^2} X_{ut}^R$$

$$C_{\phi u}^{2+3} = -\frac{\Lambda^2}{v^2} X_{ct}^R$$

$$C_{\phi q}^{1,1+3} - C_{\phi q}^{3,1+3} = -\frac{\Lambda^2}{v^2} X_{ut}^L$$

$$C_{\phi q}^{1,2+3} - C_{\phi q}^{3,2+3} = -\frac{\Lambda^2}{v^2} X_{ct}^L$$



# Summary

- Stringent limits on the FCNC  $Ztq$  vertex obtained by ATLAS and CMS
  - Orders of magnitude away from the SM but approaching some BSM extensions
  - Tri-lepton topologies chosen by both Collaborations
  - Result is still dominated by statistical uncertainty
  - Different approaches:
    - ATLAS: cut-based analysis + profiled likelihood fit on  $\chi^2$  (decay channel only)
    - CMS: BDT (production + decay channels)

# BACKUP SLIDES

# Background modeling

- ATLAS

Sample	$t\bar{t}Z$ CR	$WZ$ CR	$ZZ$ CR	Non-prompt lepton CR0	Non-prompt lepton CR1
$t\bar{t}Z$	$61 \pm 9$	$16.3 \pm 3.1$	$0 \pm 0$	$6.1 \pm 1.2$	$22.1 \pm 3.2$
$WZ$	$9 \pm 9$	$560 \pm 240$	$0 \pm 0$	$150 \pm 70$	$20 \pm 9$
$ZZ$	$0.07 \pm 0.03$	$48 \pm 11$	$92 \pm 20$	$58 \pm 16$	$9.0 \pm 2.3$
Non-prompt leptons	$3 \pm 6$	$28 \pm 16$	$0 \pm 0$	$150 \pm 50$	$140 \pm 70$
Other backgrounds	$13.4 \pm 2.7$	$22 \pm 5$	$1.0 \pm 0.6$	$17 \pm 6$	$32 \pm 6$
Total background	$87 \pm 15$	$670 \pm 240$	$93 \pm 20$	$380 \pm 90$	$230 \pm 70$
Data	81	734	87	433	260
Data / Bkg	$0.94 \pm 0.19$	$1.1 \pm 0.4$	$0.94 \pm 0.23$	$1.13 \pm 0.28$	$1.1 \pm 0.4$

