

Outline lecture 2

1. Where does the mixing matrix U appear
2. neutrino oscillations in vacuum
 - ▶ in quantum mechanics for 2 generations
 - ▶ (how to get the same eqn in QFT)
3. 3 generations
 - ▶ why is 2 generations often an acceptable approximation?

Where do mixing matrices appear?

Only one mass eigenstate basis for $\{e_R^\alpha\}$, $\{\nu_R^I\} = (\nu_R^2, \nu_R^1)$so sit there (means $U_{R\nu}$ unphysical). What to do for ℓ^a ? Take mass basis of charged leptons :

$$\ell_L^e \equiv \begin{pmatrix} U_{ei}\nu_L^i \\ e_L \end{pmatrix}, \quad \ell_L^\mu \equiv \begin{pmatrix} U_{\mu j}\nu_L^j \\ \mu_L \end{pmatrix}, \quad \ell_L^\tau \equiv \begin{pmatrix} U_{\tau k}\nu_L^k \\ \tau_L \end{pmatrix}$$

and Lagrangian becomes

$$i(U_{ej}^* \bar{\nu}_L^j \bar{e}_L) \gamma^\mu \mathbf{D}_\mu \begin{pmatrix} U_{ek}\nu_L^k \\ e_L \end{pmatrix} + i(U_{\mu j}^* \bar{\nu}_L^j \bar{\mu}_L) \gamma^\mu \mathbf{D}_\mu \begin{pmatrix} U_{\mu k}\nu_L^k \\ \mu_L \end{pmatrix} + \dots$$

3×3 mixing matrix $U_{\alpha,i}$ appears at W^\pm vertices (like CKM)

$$\rightarrow -i \frac{g U_{ej}^*}{\sqrt{2}} \bar{\nu}_L^j \gamma^\mu W_\mu^+ e_L + \dots$$

but flavour-diagonal Z vertex :

$$\propto \sum_\alpha -i \frac{g}{2} U_{\alpha j}^* \bar{\nu}_L^j \gamma^\mu Z_\mu^+ U_{\alpha k} \nu_L^k = \delta_{jk} \frac{g}{2} \bar{\nu}_L^j \gamma^\mu Z_\mu^+ \nu_L^k$$

Oscillations

a relativistic muon decays at the top of the atmosphere, produces a ν .

Cannot reconstruct (E_ν, \vec{k}_ν) well enough to identify if ν is ν_3 or ν_2 ...

The ν travels to the SK detector, where it produces another μ

\Rightarrow must sum in *amplitude* possibility to travel as ν_2 or ν_3

\Leftrightarrow neutrino propagation is a quantum process

NB : in these notes $\Delta^2 = m_j^2 - m_i^2$, contrary to

$\Delta = (m_j^2 - m_i^2)/4E$ of board-lectures.

neutrinos “oscillate”(QM version : easy to rederive)

A relativistic neutrino, with momentum \vec{k} , is produced in muon decay at $t = 0$ (at Tokai/edge atmosphere). Describe as a quantum mechanical state :

$$|\nu(t=0)\rangle = |\nu_\mu\rangle$$

It travels a distance L in time t to the detector (SuperK)

$$|\nu(t)\rangle$$

where it produces an μ in CC scattering. With what probability ?

$$\mathcal{P}_{\mu \rightarrow \mu}(t) = |\langle \nu_\mu | \nu(t) \rangle|^2 = ?$$

1. Suppose massive neutrinos (two generations for simplicity).
Flavour and mass eigenstates related by : $\nu_\alpha = U_{\alpha i} \nu_i$

$$\begin{pmatrix} \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \cdot \begin{pmatrix} \nu_2 \\ \nu_3 \end{pmatrix}.$$

2. Suppose time evolution in the mass basis described by

$$i \frac{d}{dt} \begin{pmatrix} \nu_2 \\ \nu_3 \end{pmatrix} = \begin{bmatrix} E_2 & 0 \\ 0 & E_3 \end{bmatrix} \begin{pmatrix} \nu_2 \\ \nu_3 \end{pmatrix}, \quad E_i^2 = k^2 + m_i^2$$

3. If produce relativistic ν_μ at $t = 0$, then at t later :

$$|\nu(t)\rangle = \sum_j U_{\mu j} |\nu_j(t)\rangle = \sum_j U_{\mu j} e^{-iE_j t} |\nu_j\rangle$$

Amplitude for neutrino to produce charged lepton α in CC scattering in detector after t :

$$|\langle \nu_\alpha | \nu(t) \rangle| = \left| \sum_j U_{\mu j} e^{-iE_j t} U_{\alpha j}^* \right|$$

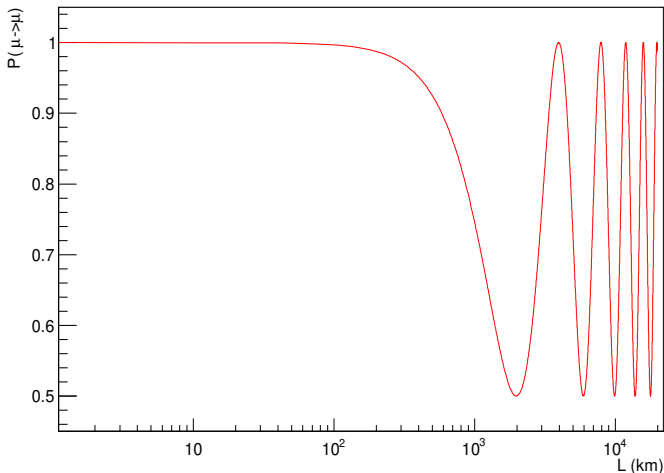
So in 2 generation case, using $t = L$, $E_3 - E_2 \simeq \frac{m_3^2 - m_2^2}{2E} \equiv \frac{\Delta_{32}^2}{2E}$:

$$\begin{aligned} \mathcal{P}_{\mu \rightarrow \tau}(t) &= \left| \sin \theta \cos \theta \left(e^{i\Delta_{32}^2 L/4E} - e^{-i\Delta_{32}^2 L/4E} \right) \right|^2 \\ &= \sin^2(2\theta) \sin^2 \left(L \frac{\Delta_{32}^2}{4E} \right) \end{aligned}$$

$$\mathcal{P}_{\mu \rightarrow \mu}(t) = 1 - \sin^2(2\theta) \sin^2 \left(L \frac{\Delta_{32}^2}{4E} \right) = 1 - \sin^2(2\theta) \sin^2 \left(1.27 \frac{L \Delta_{32}^2 \text{ GeV}}{\text{km eV}^2} \frac{\text{GeV}}{4E} \right)$$

$E = \nu$ energy, L source-detector distance, $\Delta_{32}^2 \sim 10^{-3} \text{eV}^2$
 $E \sim 10 \text{ GeV}$ for atmospheric ν s; $L : 20 \text{ km} \rightarrow 10000 \text{ km}$

2 generation survival probability $P(\mu \rightarrow \mu)$, $2\theta = 45$, Δm_{atm}^2 , $E = \text{GeV}$



$$\mathcal{P}_{\mu \rightarrow \mu}(L) = 1 - \sin^2(2\theta) \sin^2 \left(1.27 \frac{L}{\text{km}} \frac{\Delta^2}{\text{eV}^2} \frac{\text{GeV}}{4E} \right)$$

$$\begin{aligned} \frac{\Delta_{32}^2}{E} &= 2.5 \times 10^{-3} \text{eV}^2 \\ &\sim 0.6 \text{GeV (T2K)} \\ &\sim \text{MeV (reactors)} \\ &\sim 10 \text{GeV (atmosphere)} \end{aligned}$$

decoherence of neutrinos for large $L/E \gg 1/\Delta^2$

- at production, 2 superposed wavepackets of masses m_2, m_3 .
- group velocity of packets

$$v_i = \frac{\partial E}{\partial p} = \frac{p}{E} \simeq 1 - \frac{m_i^2}{2E^2}$$

- after distance L , packets have separated by

$$(v_2 - v_3)L \simeq \frac{\Delta_{23}^2}{E^2}L \simeq \frac{L}{\ell_{osc}} \frac{1}{E}$$

- no interference if larger than size of packets $\sim 1/(\delta E)$ where packet energy uncertain by δE . so no oscillations once

$$\frac{L}{\ell_{osc}} \gtrsim \frac{E}{\delta E}$$

can make similar estimate doing sum over paths, phases should sum coherently

Oscillations in QFT (skeletal version...probably with typos, sorry)

Question = neutrinos are relativistic; should we not do oscillations in QFT?

Here show that QFT is equivalent to “the Schrodinger eqn” (which I will want to use for oscillations in matter...)

In second quantised field theory, the eqns of motion for the number operator \hat{n} are (Heisenberg rep, t-dep ops)

$$\frac{d}{dt}\hat{n} = +i[\hat{H}, \hat{n}]$$

where the Hamiltonian \hat{H} can be taken as free
 $= \hat{H}_0 \sim \sum \omega \hat{n}_\omega$.

Oscillations in QFT

1 : Work in second quantised formalism for neutrino field :

$$\hat{\psi}_j(x) = \sum_{s=+,-} \int \frac{d^3p}{(2\pi)^3} \frac{1}{\sqrt{2E}} \left(e^{-ip \cdot x} \hat{a}_j^s(\vec{p}) u^s(p) + e^{ip \cdot x} \hat{b}_j^{s\dagger}(\vec{p}) v^s(p) \right)$$

where s is helicity, j is generation, \hat{a}^\dagger creates particles, et \hat{b}^\dagger creates anti-particles. Define \hat{a} for energy= mass eigenstates. But formalism is covariant...

2 : We want to know time/space evolution of a beam neutrinos (no anti), of $+$ helicity and momentum \vec{p} . Define number operator :

$$\hat{n}_{jk}^{sr}(\vec{p}) = \hat{a}_j^{s\dagger}(\vec{p}) \hat{a}_k^s(\vec{p})$$

covariant in flavour space (indices jk), and now drop spin indices for simplicity of notation.

3 : The eqns of motion for the number operator \hat{n} are

$$i \frac{d}{dt} \hat{n} = -[\hat{H}_0, \hat{n}]$$

with free Hamiltonian in mass eigenstate basis is :

$$H_0 = \sum_n \int \frac{d^3 k}{(2\pi)^3} \omega_{nn}(|\vec{k}|) \left(\hat{n}^{++} n n(\vec{k}) + \hat{n}_{nn}^{--}(\vec{k}) \right), \quad \omega_{nn} = \sqrt{\vec{k}^2 + m_n^2}$$

4 : Want to Calculate $i \frac{d}{dt} \hat{n}_{jk}(\vec{p}) = -[\hat{H}_0, \hat{n}_{jk}(\vec{p})]$

using comm. relations $[a_j^\dagger(\vec{k}), a_k(\vec{q})] = (2\pi)^3 \delta^3(\vec{k} - \vec{q}) 2\omega \delta_{jk}$.

$$\begin{aligned} &= - \int \frac{d^3 k}{(2\pi)^3} \left(\omega_2(\vec{k}) \hat{a}_2^\dagger(\vec{k}) \hat{a}_2(\vec{k}) + \omega_1(\vec{k}) \hat{a}_1^\dagger(\vec{k}) \hat{a}_1(\vec{k}) \right) \hat{a}_j^\dagger(\vec{p}) \hat{a}_k(\vec{p}) \\ &\quad - \hat{a}_j^\dagger(\vec{p}) \hat{a}_k(\vec{p}) \left(\omega_2(\vec{k}) \hat{a}_2^\dagger(\vec{k}) \hat{a}_2(\vec{k}) + \omega_1(\vec{k}) \hat{a}_1^\dagger(\vec{k}) \hat{a}_1(\vec{k}) \right) \\ &= - \begin{bmatrix} 0 & (\omega_1 - \omega_2) \hat{a}_1^\dagger(\vec{p}) \hat{a}_2(\vec{p}) \\ (\omega_2 - \omega_1) \hat{a}_2^\dagger(\vec{p}) \hat{a}_1(\vec{p}) & 0 \end{bmatrix} \quad (1) \end{aligned}$$

5 : To obtain an observable, and relate to the quantum mechanical calculation, take the expectation value of the operator equation in a state corresponding to a beam of +ve helicity neutrinos of momentum \vec{p} . For simplicity, suppose only one neutrino in the beam. Then

$$\langle \hat{n}_{jj}(\vec{p}) \rangle \equiv [f_{jj}](\vec{p})$$

is the density matrix for the 2-state neutrino system.

6 : The QM density matrix for the state

$$|\nu(t)\rangle = s|\nu_1(t)\rangle + c|\nu_2(t)\rangle$$

$$|\nu(t)\rangle\langle\nu(t)| = \begin{bmatrix} s^2|\nu_1(t)\rangle\langle\nu_1(t)| & sc|\nu_1(t)\rangle\langle\nu_2(t)| \\ sc|\nu_2(t)\rangle\langle\nu_1(t)| & c^2|\nu_2(t)\rangle\langle\nu_2(t)| \end{bmatrix}$$

so the eqn of motion is

$$i\partial_t[f] = \begin{bmatrix} \omega_1 & 0 \\ 0 & \omega_2 \end{bmatrix} [f] - [f] \begin{bmatrix} \omega_1 & 0 \\ 0 & \omega_2 \end{bmatrix}$$

which gives same eqn as the expectation value of eqn (??).

\Rightarrow so the simple quantum mechanical formulae are ok!

But aren't there three generations?

$$\mathcal{P}_{\alpha\beta}(L) = |U_{\alpha 1} U_{\beta 1}^* + U_{\alpha 2} U_{\beta 2}^* e^{-i\Delta_{21}L/2E} + U_{\alpha 3} U_{\beta 3}^* e^{-i\Delta_{31}L/2E}|^2$$



Reminder : U

two mass differences :

$$|\Delta m_{3j}^2| = |m_3^2 - m_j^2| \simeq 2.5 \times 10^{-3} \text{ eV}^2 \gg \Delta m_{21}^2 \simeq 7.5 \pm \times 10^{-5} \text{ eV}^2$$

\Rightarrow mixing matrix $e_\alpha \rightarrow \nu_i$ (2 Majorana phases in P) :

$$U_{\alpha i} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \begin{bmatrix} c_{13} & & s_{13} e^{-i\delta} \\ & 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} & \end{bmatrix} \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix} P$$

atm. + LBL disa. reac.disa. + LBL app. sol + reac.disa.

$$= \begin{bmatrix} c_{12} c_{13} & c_{13} s_{12} & s_{13} e^{-i\delta} \\ -c_{23} s_{12} - c_{12} s_{13} s_{23} e^{i\delta} & c_{12} c_{23} - s_{12} s_{13} s_{23} e^{i\delta} & c_{13} s_{23} \\ s_{23} s_{12} - c_{12} c_{23} s_{13} e^{i\delta} & -c_{12} s_{23} - c_{23} s_{12} s_{13} e^{i\delta} & c_{13} c_{23} \end{bmatrix} P$$

$$\theta_{23} \simeq \pi/4 \pm \pi/40 \quad \theta_{12} \simeq \pi/6 \quad \theta_{13} \simeq 8^\circ$$

(global fits of www.nu-fit.org)

The drunken Unitarity triangle

Not hear much about “leptonic unitarity triangle”

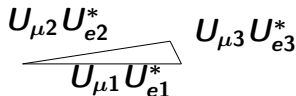
1. not measure elements at tree in CC
2. Also, it drinks.

Amplitude to oscillate from flavour α to β over distance L :

$$\mathcal{A}_{\alpha\beta}(L) = U_{\alpha 1} U_{\beta 1}^* + U_{\alpha 2} U_{\beta 2}^* e^{-i(m_2^2 - m_1^2)L/(2E)} + U_{\alpha 3} U_{\beta 3}^* e^{-i(m_3^2 - m_1^2)L/(2E)}$$

at $L = 0$ unitarity : $\Rightarrow \mathcal{A}_{\alpha\beta} = 1$ for $\alpha = \beta$
 $\mathcal{A}_{\alpha\beta} = 0$ for $\alpha \neq \beta$

\Leftrightarrow unitarity triangle (in complex plane)



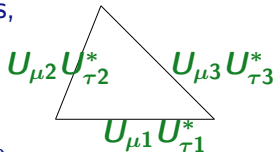
At $L = t \neq 0$, two of the vectors rotate in the complex plane,
with frequencies $(m_j^2 - m_1^2)/2E$
oscillations \leftrightarrow time-dependent non-unitarity

About two- flavour analyses : atm/LBL ν_μ disappearance

Amplitude to oscillate from flavour μ to τ over distance L :

$$\mathcal{A}_{\mu\tau}(L) = U_{\mu 1} U_{\tau 1}^* + U_{\mu 2} U_{\tau 2}^* e^{-i(m_2^2 - m_1^2)L/(2E)} + U_{\mu 3} U_{\tau 3}^* e^{-i(m_3^2 - m_1^2)L/(2E)}$$

At $L \sim E/(m_3^2 - m_1^2)$, vector "3" rotates,
frequency $(m_3^2 - m_1^2)/2E$



\Rightarrow "Atmospheric" neutrinos, also LBL
(ν_μ disappearance via Δm_{31}^2 oscillations) :

$$\mathcal{A}_{\mu\tau}(L) \simeq U_{\mu 1} U_{\tau 1}^* + U_{\mu 2} U_{\tau 2}^* + U_{\mu 3} U_{\tau 3}^* e^{-i(m_3^2 - m_1^2)L/(2E)}$$

$U_{\mu 3} U_{\tau 3}^*$ oscillates on timescale $t = L \sim (m_3^2 - m_1^2)/E$

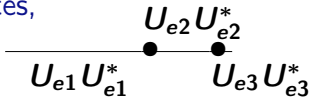
$U_{\mu 2} U_{\tau 2}^* \sim$ stationary, measure θ_{23}

About two- flavour analyses : solar and Kamland

Amplitude to oscillate from flavour e to e over distance L :

$$\mathcal{A}_{ee}(L) = U_{e1}U_{e1}^* + U_{e2}U_{e2}^* e^{-i(m_2^2 - m_1^2)L/(2E)} + U_{e3}U_{e3}^* e^{-i(m_3^2 - m_1^2)L/(2E)}$$

At $L \sim 2E/(m_2^2 - m_1^2)$, vector 2 rotates,
frequency $(m_2^2 - m_1^2)/2E$
vec. 3 spins rapidly



\Rightarrow "Solar" + "KamLAND" (reactor $\bar{\nu}_e$ for $L \sim 100$ km)
neutrinos

$\Leftrightarrow \nu_e$ disappearance over long baselines $L \sim (m_2^2 - m_1^2)/2E$
two- ν approx works because θ_{13} is small ($U_{e3} = \sin\theta_{13}$) :

$$\mathcal{A}_{ee} \simeq |U_{e1}|^2 + |U_{e2}|^2 e^{-i(m_2^2 - m_1^2)L/(2E)}$$

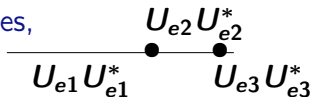
measure θ_{12}

About two- flavour analyses : θ_{13} at reactors

Amplitude to oscillate from flavour e to e over distance L :

$$\mathcal{A}_{ee}(L) = U_{e1} U_{e1}^* + U_{e2} U_{e2}^* e^{-i(m_2^2 - m_1^2)L/(2E)} + U_{e3} U_{e3}^* e^{-i(m_3^2 - m_1^2)L/(2E)}$$

At short enough L , only third vector rotates,
frequency $(m_3^2 - m_1^2)/2E$



\Rightarrow reactor θ_{13} by $\overline{\nu}_e$ disappearance; select short baseline such that only $|U_{e3}(t)|^2$ moves

$$\begin{aligned} \mathcal{A}_{ee} &\simeq (|U_{e1}|^2 + |U_{e2}|^2) + |U_{e3}|^2 e^{-i(m_3^2 - m_1^2)L/(2E)} \\ &= c_{13}^2 (c_{12}^2 + s_{12}^2) + s_{13}^2 e^{-i(m_3^2 - m_1^2)L/(2E)} \end{aligned}$$