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# $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ : First Result from the NA62 Experiment

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EP Seminar

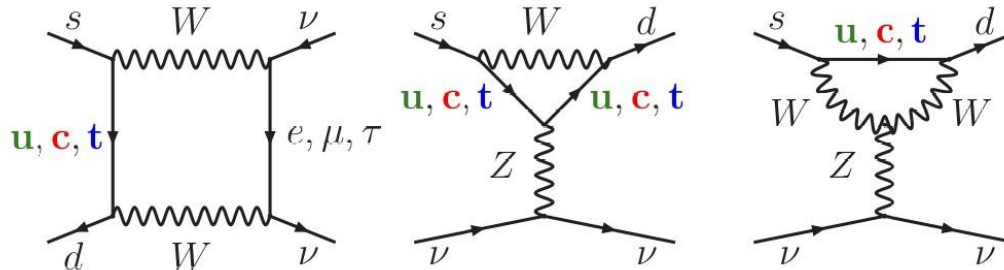
CERN, 27/03/2018

# Content

- $K^+ \rightarrow \pi^+ \nu \bar{\nu}$
- NA62

# The $K \rightarrow \pi \nu \bar{\nu}$ decays: a theoretical clean environment

- FCNC loop processes:  $s \rightarrow d$  coupling and highest CKM suppression



- Very clean theoretically: Short distance contribution. No hadronic uncertainties.
- SM predictions [Buras et al. JHEP 1511 (2015) 33]

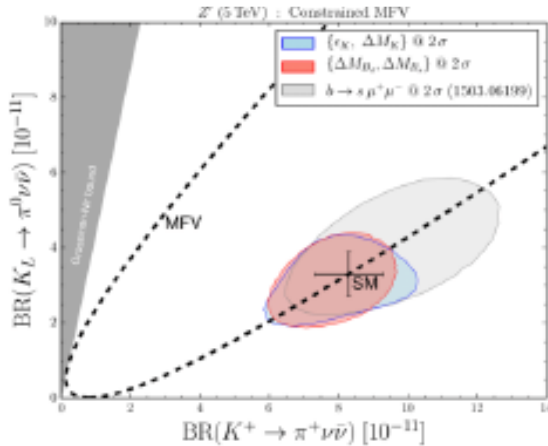
$$\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (8.39 \pm 0.30) \cdot 10^{-11} \left( \frac{|V_{cb}|}{0.0407} \right)^{2.8} \left( \frac{\gamma}{73.2^\circ} \right)^{0.74} = (0.84 \pm 0.10) \cdot 10^{-10}$$

$$\text{BR}(K_L \rightarrow \pi^0 \nu \bar{\nu}) = (3.36 \pm 0.05) \cdot 10^{-11} \left( \frac{|V_{ub}|}{0.00388} \right)^2 \left( \frac{|V_{cb}|}{0.0407} \right)^2 \left( \frac{\sin \gamma}{\sin 73.2} \right)^2 = (0.34 \pm 0.06) \cdot 10^{-10}$$

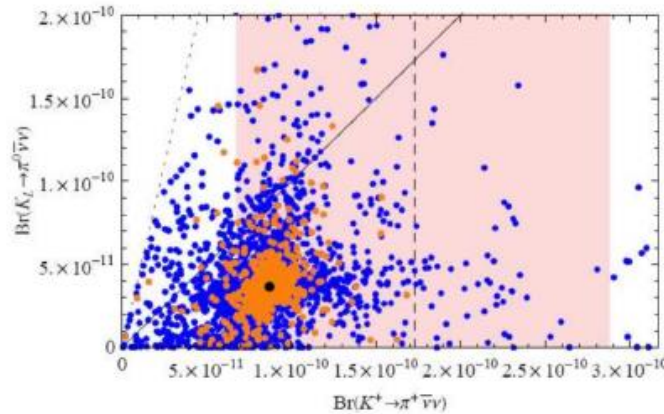
# $K \rightarrow \pi \nu \bar{\nu}$ NP Sensitivity

- Simplified Z, Z' models [Buras, Buttazzo, Kneijens, JHEP 1511 (2015) 166]
- Littlest Higgs with T-parity [Blanke, Buras, Recksiegel, EPJ C76 (2016) no.4 182]
- Custodial Randall-Sundrum [Blanke, Buras, Duling, Gemmler, Gori, JHEP 0903 (2009) 108]
- MSSM non-MFV [Tanimoto, Yamamoto, PTEP 2016 (2016) no.12, 123B02; Blazek, Matak, IntlJModPhys.A 29 (2014), 1450162; Isidori et al. JHEP 0608 (2006) 064]
- LFU violation models [Isidori et. al., Eur. Phys. J. C (2017) 77]
- Constraints from existing measurements (correlations model dependent):
  - Kaon mixing and CPV, CKM fit, K,B rare meson decays, NP limits from direct searches

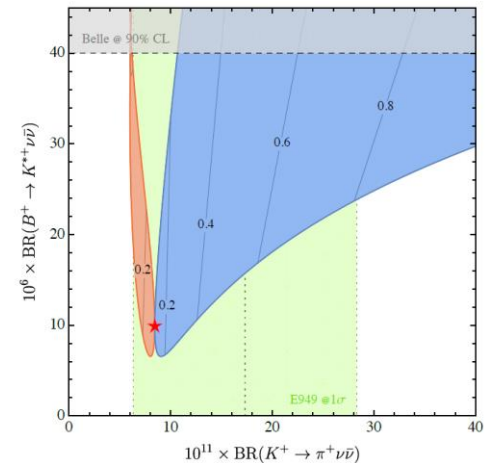
$Z'$  (5 TeV) in constrained MFV



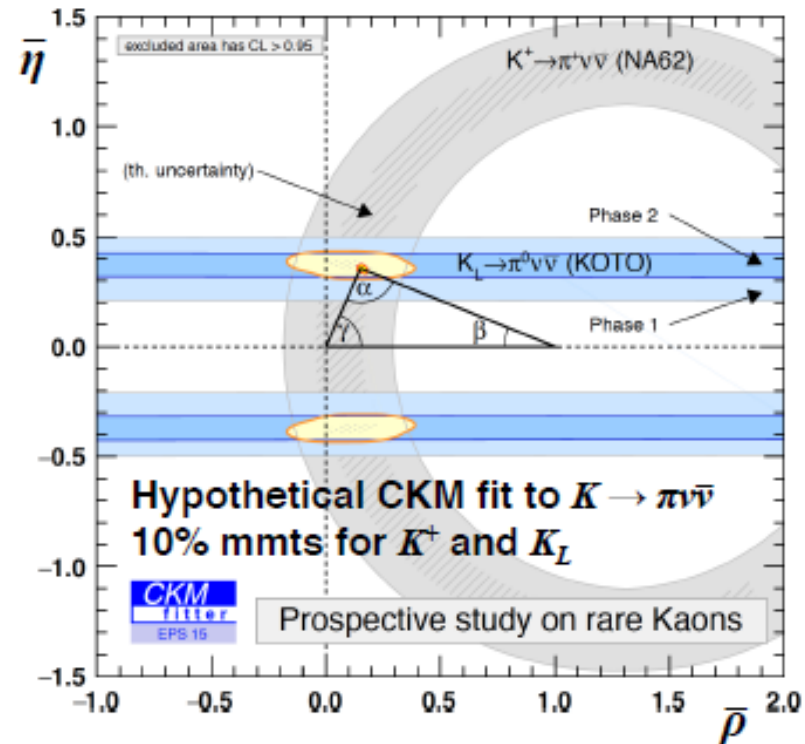
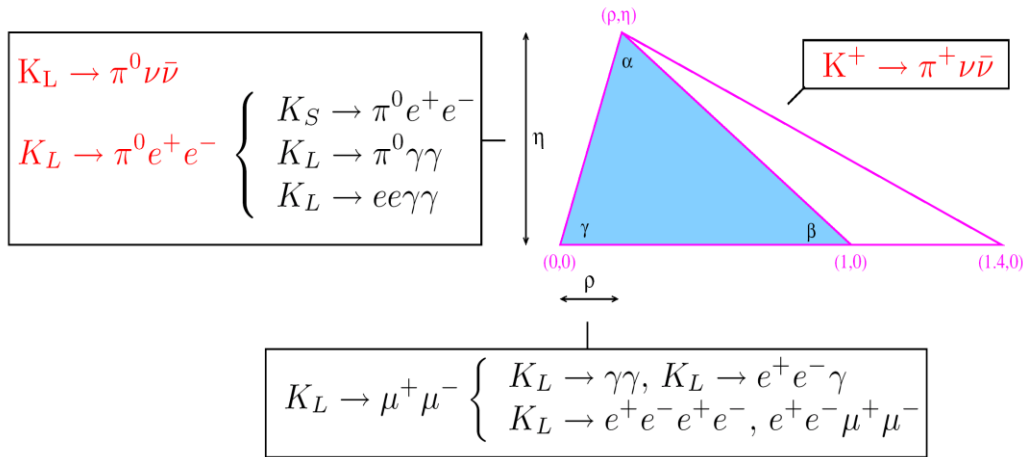
Randall - Sundrum



LFU violation



# Connection with Flavour Physics

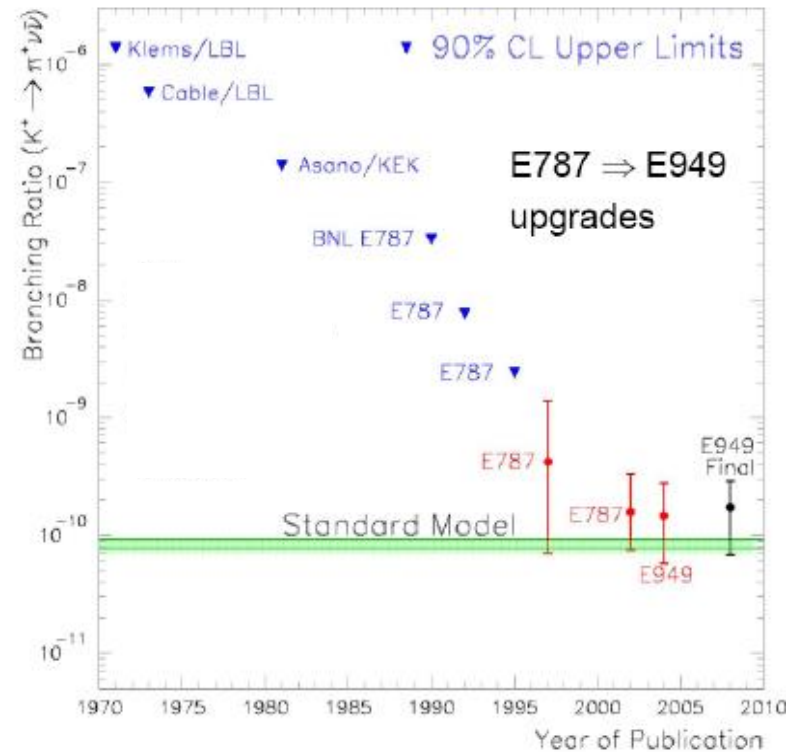
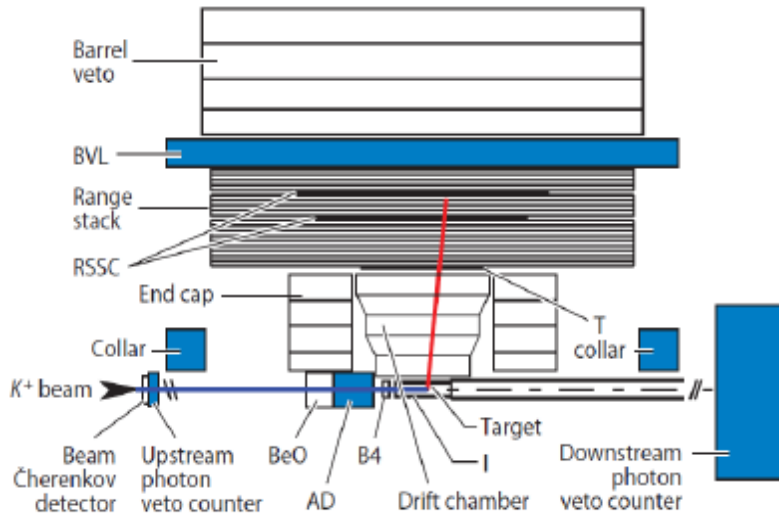


K physics alone can fully constrain the CKM unitarity triangle.

Comparison with B physics can provide description of NP flavour dynamics

# $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ Experimental State of the Art

BNL E787/E949



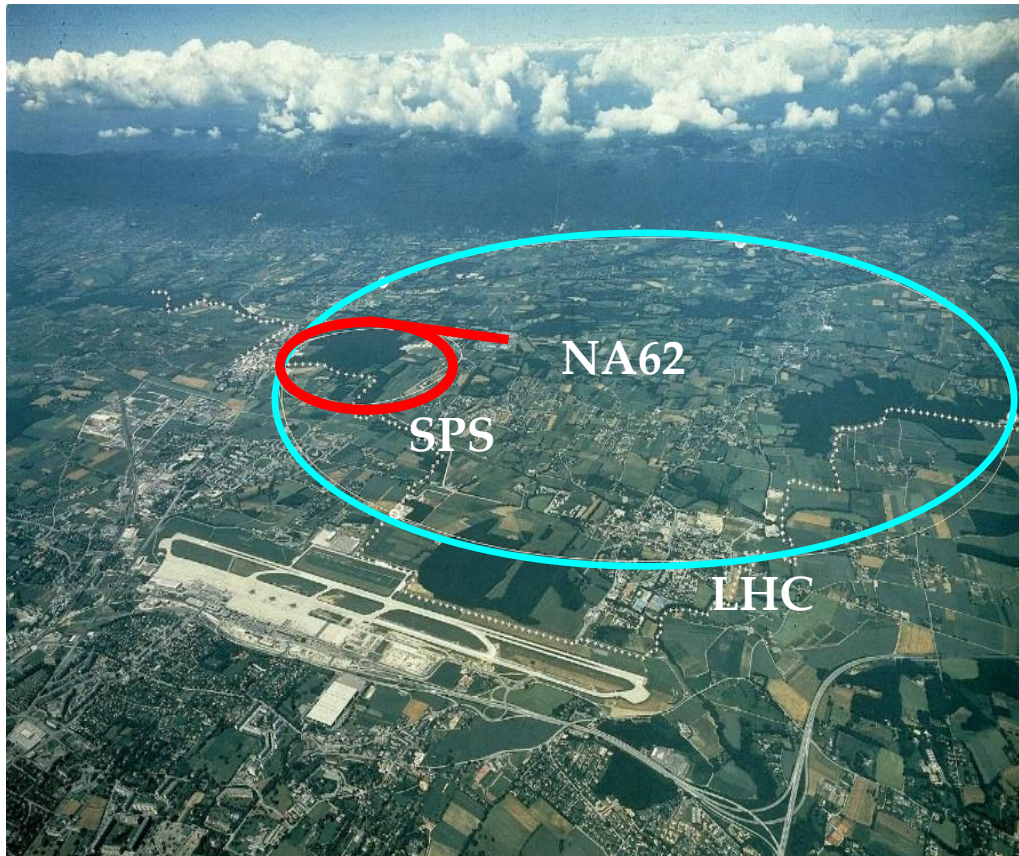
- «Kaon decay at rest» technique
- E787/E949:  $K^+$  decays:  $\sim 3.5 \times 10^{12}$ , Single Event Sensitivity:  $\sim 0.8 \cdot 10^{-10}$

$$BR(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (1.73_{-1.05}^{+1.15}) \times 10^{-10}$$

Phys. Rev. D 77, 052003 (2008),  
Phys. Rev. D 79, 092004 (2009)

# NA62 @ CERN - SPS

Birmingham, Bratislava, Bristol, Bucharest, CERN, Dubna (JINR), Fairfax (GMU), Ferrara, Florence, Frascati, Glasgow, Lancaster, Liverpool, Louvain-la-Neuve, Mainz, Moscow (INR), Naples, Perugia, Pisa, Prague, Protvino (IHEP), Rome I, Rome II, San Luis Potosi, Sofia, TRIUMF, Turin, Vancouver (UBC)



## Primary goal:

Measurement of  $BR(K^+ \rightarrow \pi^+ \nu \bar{\nu})$

## Technique:

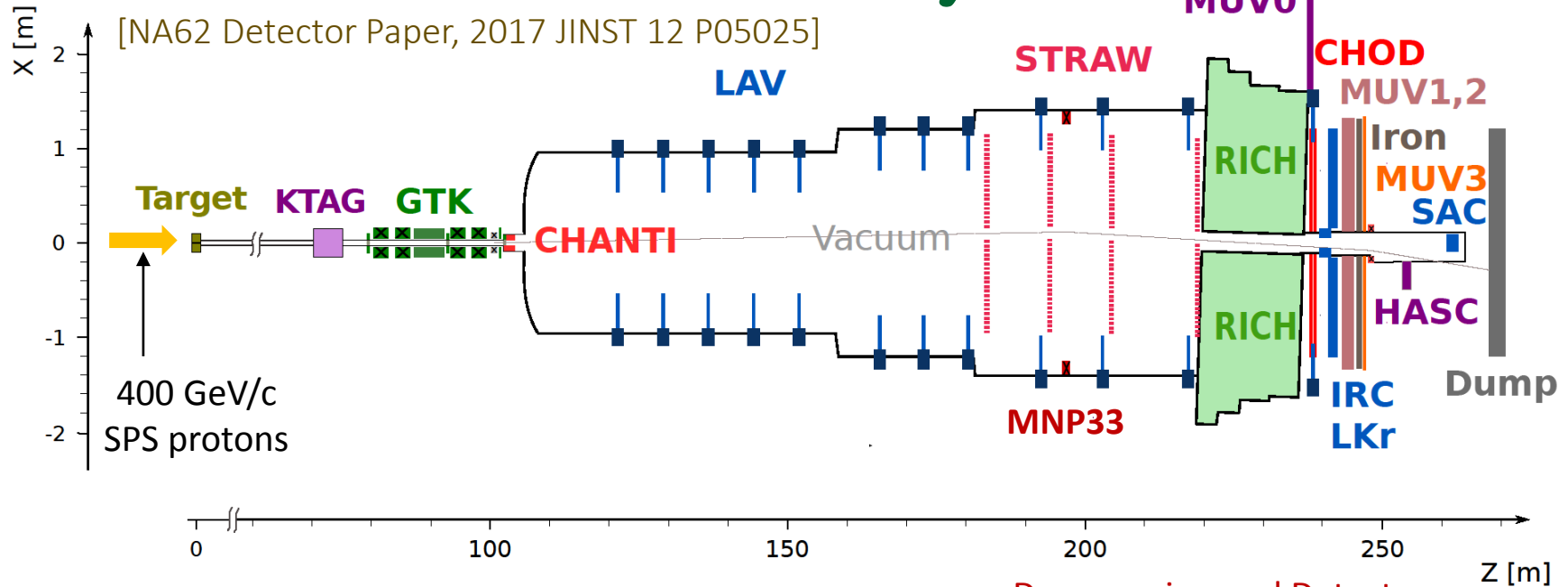
K Decay – in – flight

## Requirements:

- K decays  $10^{13}$
- Signal acceptance  $\mathcal{O}(10\%)$
- $\mathcal{O}(10^{12})$  background rejection

## Broader Physics program

# NA62 Layout



## Secondary positive beam

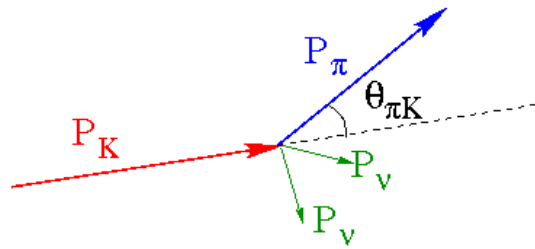
Momentum	75 GeV/c, 1% bite
Divergence (RMS)	100 $\mu$ rad
Transverse Size	60 $\times$ 30mm <sup>2</sup>
Composition	K <sup>+</sup> (6%)/ $\pi^+$ (70%)/p(24%)
Nominal Intensity	33 $\times$ 10 <sup>11</sup> ppp (750 MHz at GTK3)

## Decay region and Detectors

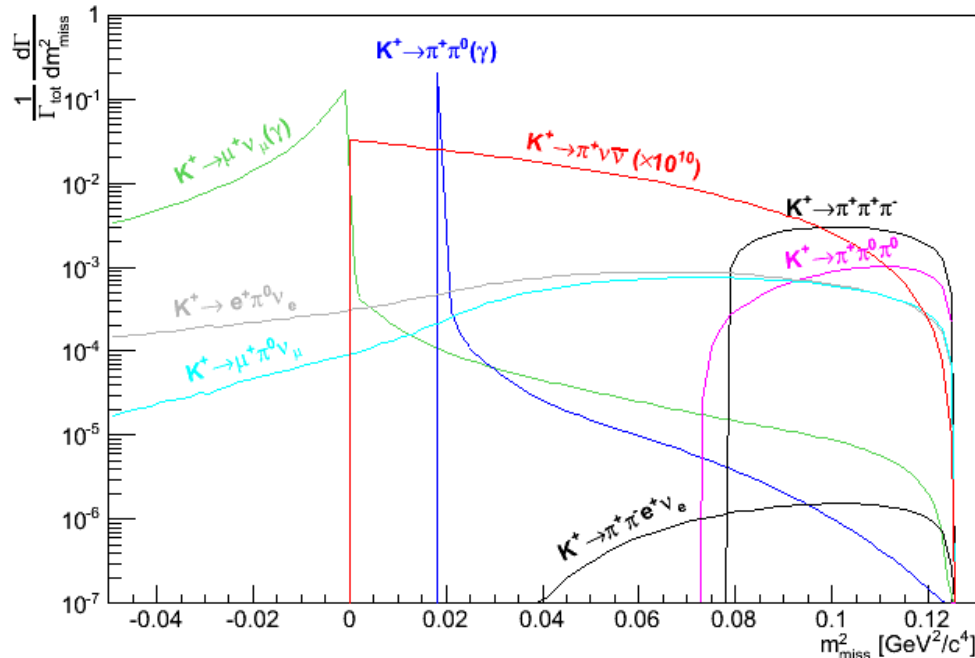
Fiducial region	60 m
K <sup>+</sup> decay rate	$\sim$ 5 MHz
Vacuum	$\mathcal{O}(10^{-6})$ mbar
Si pixel beam tracker + Straw tracker	
LKr Calorimeter from NA48	
Cerenkov counter for K id, RICH for $\pi/\mu$ id	

# NA62 $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ : Analysis Method

$$m_{\text{miss}}^2 = (P_{K^+} - P_{\pi^+})^2$$



Process	Branching ratio
$K^+ \rightarrow \pi^+ \pi^0 (\gamma)$	0.2067
$K^+ \rightarrow \mu^+ \nu (\gamma)$	0.6356
$K^+ \rightarrow \pi^+ \pi^+ \pi^-$	0.0558
$K^+ \rightarrow \pi^+ \pi^- e^+ \nu$	$4.25 \cdot 10^{-5}$



**$15 < P_{\pi^+} < 35 \text{ GeV}/c$**   
 + Particle ID (Cherenkov detectors)  
 + Particle ID (Calorimeters)  
 + Photon veto

# Keystones

$\mathcal{O}(100 \text{ ps})$

Timing between sub-detectors

$\mathcal{O}(10^4)$

Background suppression from kinematics

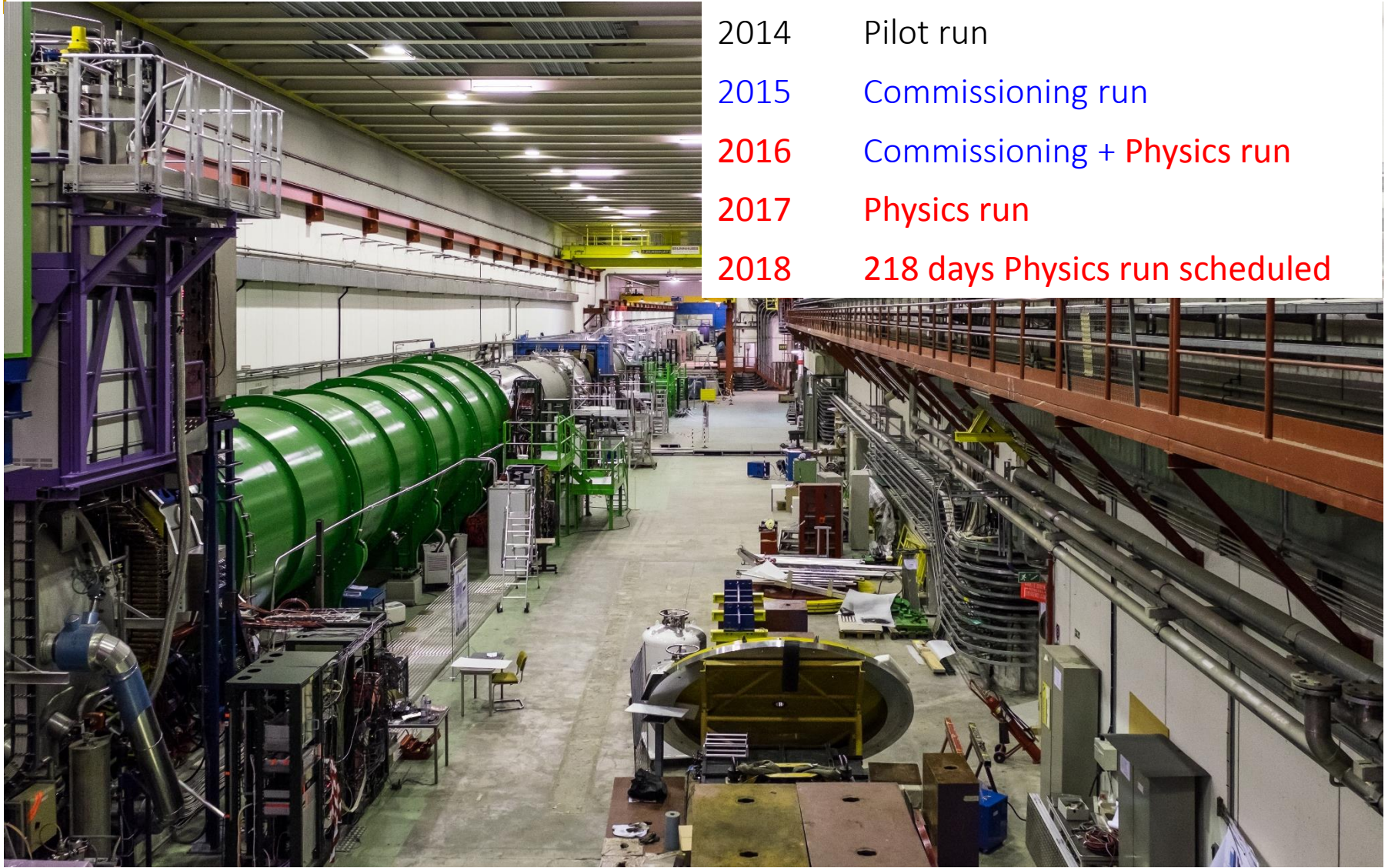
$> 10^7$

Muon suppression

$> 10^7$

$\pi^0$  (from  $K^+ \rightarrow \pi^+ \pi^0$ ) suppression

# NA62 Runs



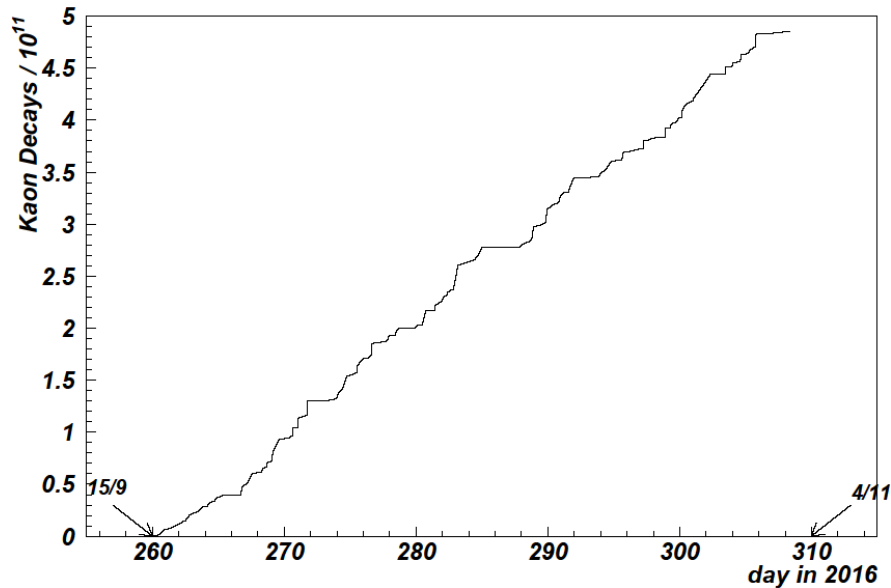
2014	Pilot run
2015	Commissioning run
2016	Commissioning + Physics run
2017	Physics run
2018	218 days Physics run scheduled

# NA62 «Luminosity»

## 2016 run

$13 \times 10^{11}$  ppp on target (40% nominal)

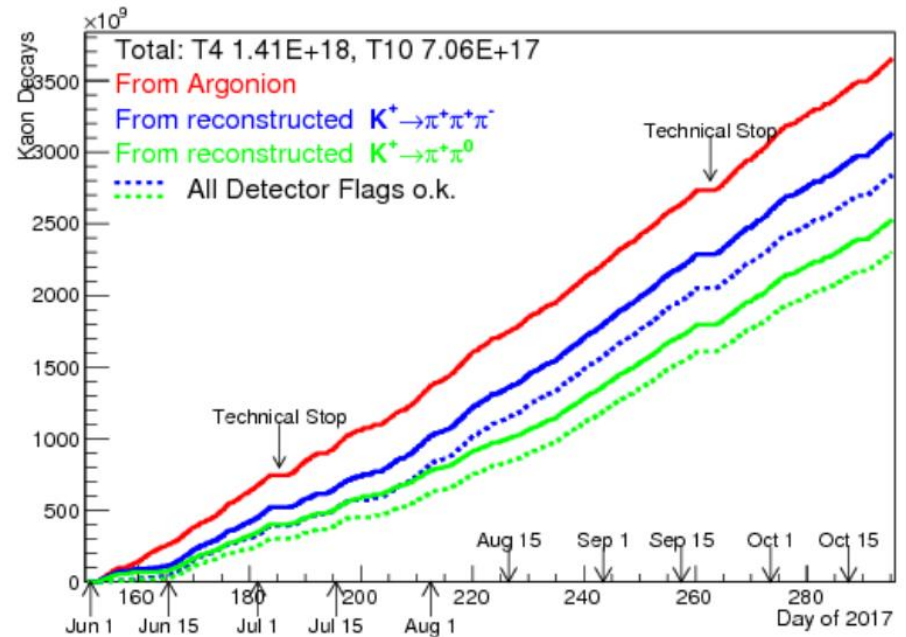
$\sim 1 \times 10^{11}$   $K^+$  decays useful for  $\pi\nu\nu$



## 2017 run

$20 \times 10^{11}$  ppp on target (60% nominal)

$> 3 \times 10^{12}$   $K^+$  decays collected



# Data Analyzed

- 2016 Data, 4 weeks of data taking (<60'000 good spills)
- Trigger streams:
  1. «PNN»:
    - «Hardware» (L0): RICH hits, Hodoscope, No muons, < 20 GeV in LKr
    - Software (L1): KTAG in time, No signals in LAV, Momentum in straw < 50 GeV/c
  2. Control (minimum bias, downscaled):
    - L0: Hodoscope hits
- Offline Analysis:
  - Bad data based on detector performances identified on spill by spill basis
  - Data samples: **PNN**; **Control**:  $K^+ \rightarrow \pi^+ \pi^0$ ,  $K^+ \rightarrow \mu^+ \nu$ ,  $K^+ \rightarrow \pi^+ \pi^+ \pi^-$
  - Signal selection tuned on MC, 10% PNN data, control data
  - Analysis in 4  $\pi^+$  momentum bins, 5 GeV/c wide from 15 to 35 GeV/c
  - Blind analysis procedure: signal and control regions kept masked for the whole analysis

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# Analysis Steps

## 1. Selection

- $K^+$  decays with a single charged particle in final state
- Particle ID:  $\pi^+$
- Photon & Multiple charged particle rejection
- Kinematic Selection of Signal Regions

## 2. Determination of the Single Event Sensitivity (SES)

## 3. Estimation and validation of the expected background

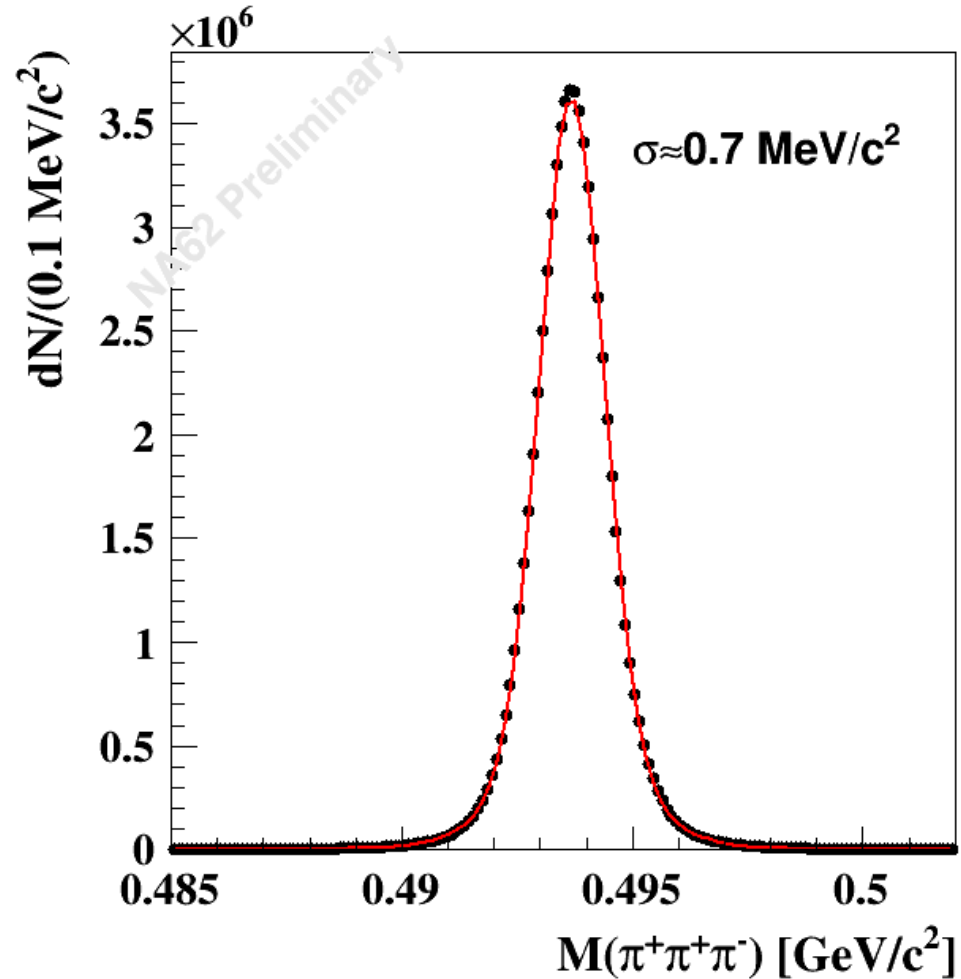
## 4. Opening of the signal regions and results

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# 1. Selection

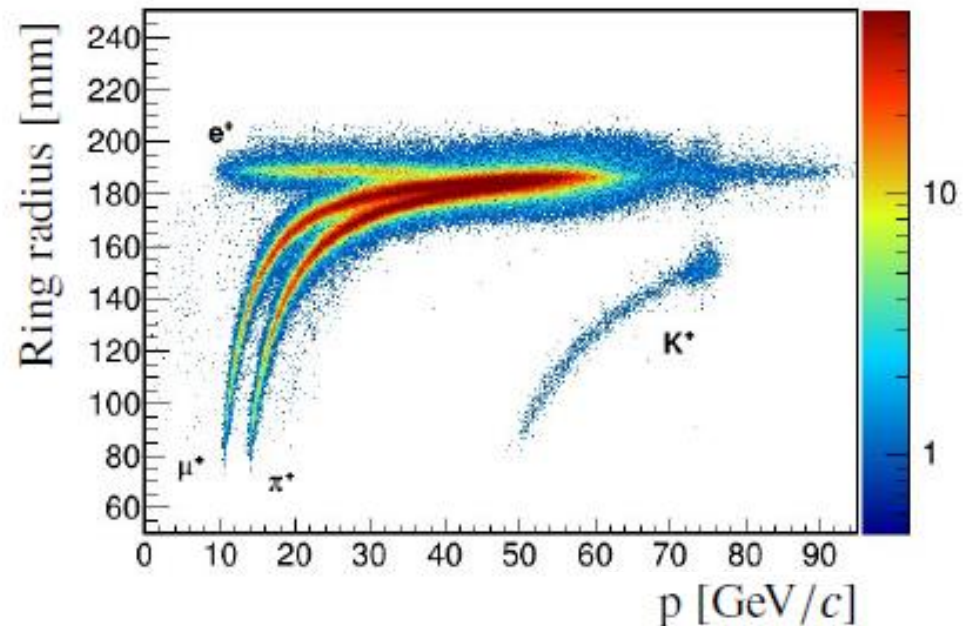
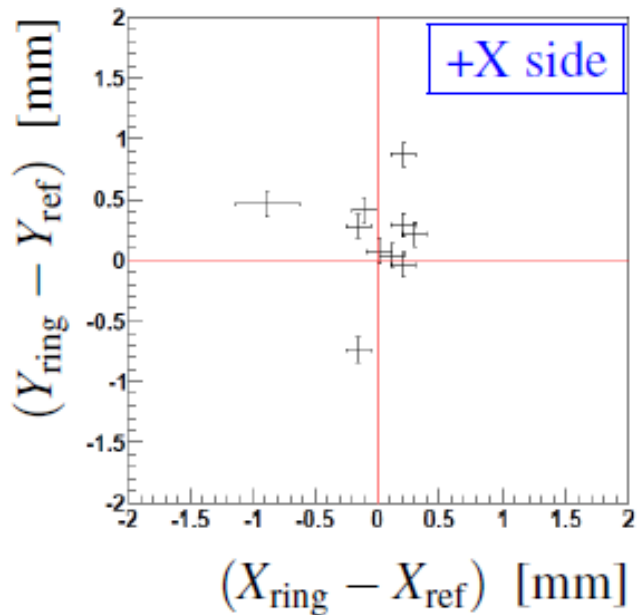
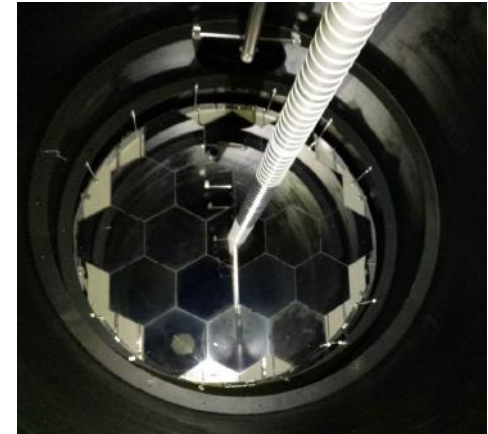
# « $\pi^+$ » tracking: Straw Spectrometer

- Straws aligned in time and drift time measured vs trigger time
- Straws aligned geometrically using straight tracks
- Measured 3D B map and stray field included in track reconstruction
- >95% reconstruction efficiency
- Final calibration using  $K^+ \rightarrow \pi^+ \pi^+ \pi^-$



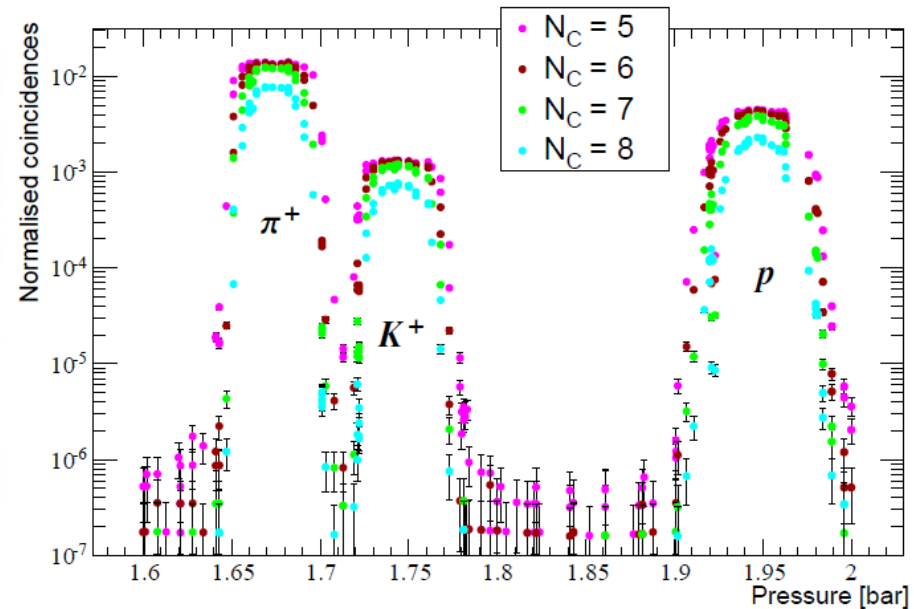
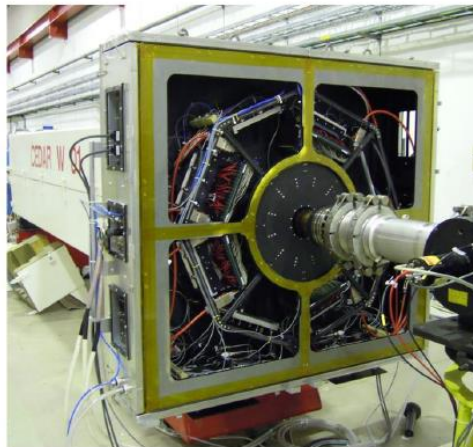
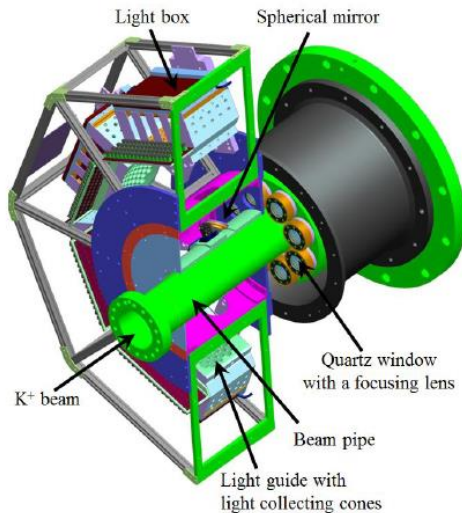
# « $\pi^+$ »- ID: RICH

- Mirrors aligned using: laser, tracks reconstructed from straw spectrometer
- $n_{Ne}$  monitored using  $e^+$  ( $\sim 16$  hits /  $e^+$  ring)
- PM's aligned vs KTAG time: ring  $\sigma(t) \sim 80$  ps
- Ring - spectrometer track matched comparing ring centre and flight direction



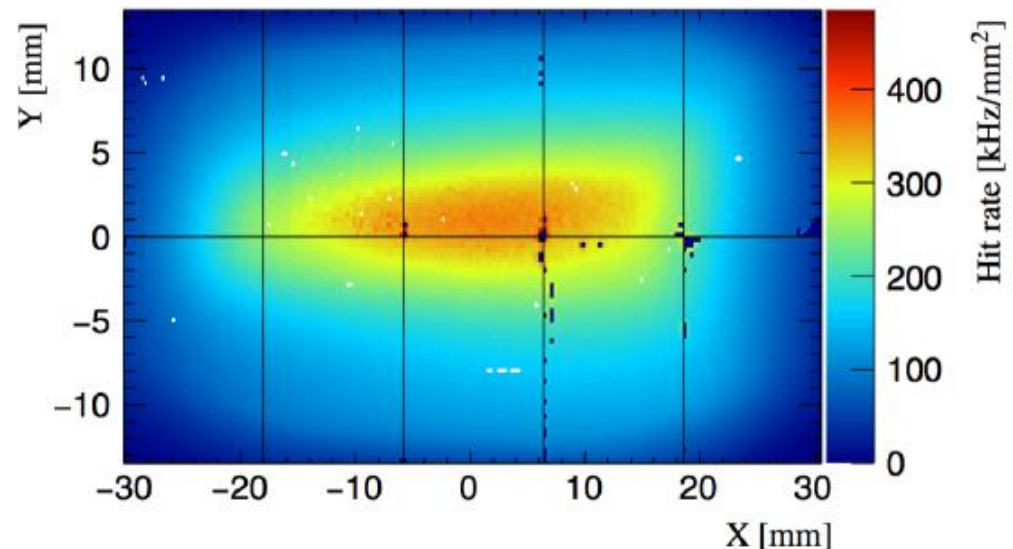
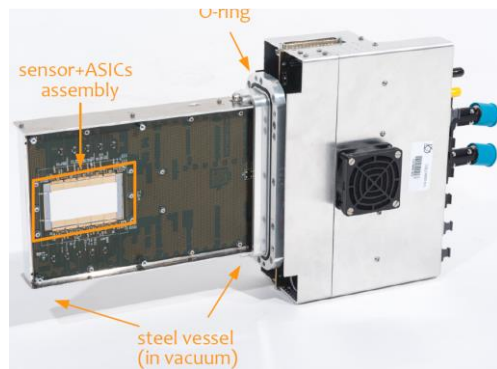
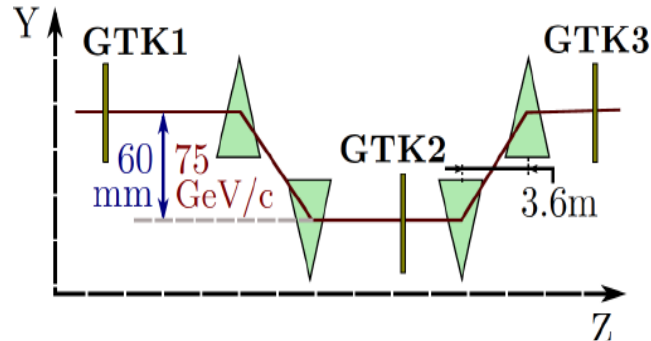
# $K^+$ - ID: KTAG

- Geometrically aligned with the beam
- Pressure scan: optimal working point for  $K^+$
- PM's time alignment and time walk corrections:  $\sigma(t) \sim 70$  ps
- $K^+$  signal from at least 5-fold coincidence (>95% efficiency)



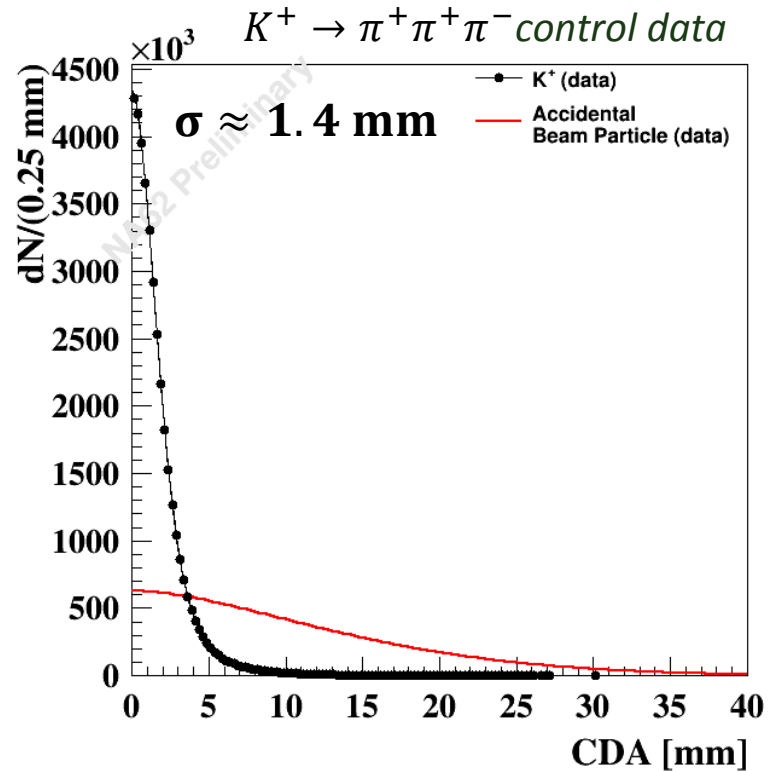
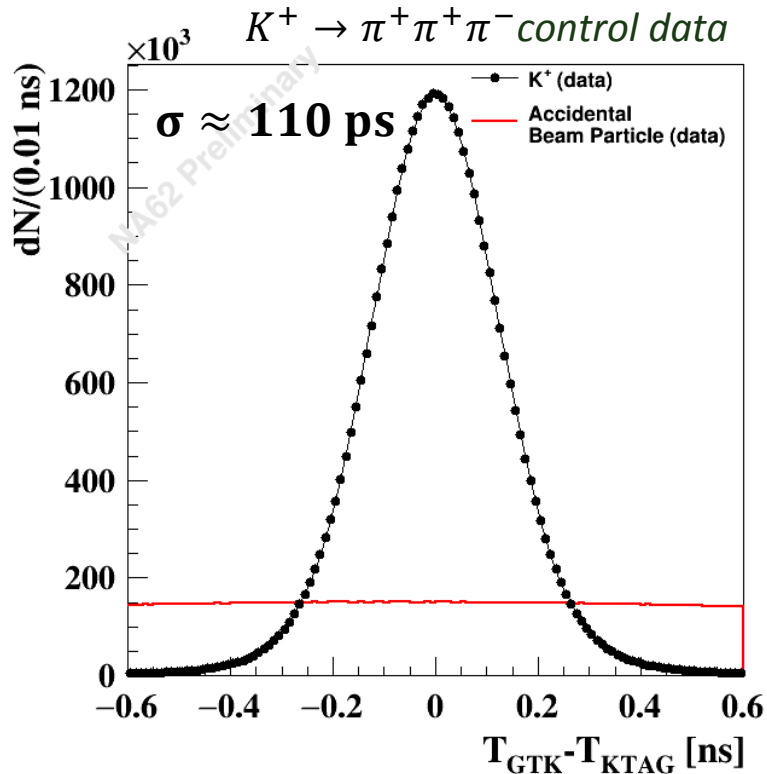
# «K<sup>+</sup>» tracking: GigaTracker

- «4D» kaon track reconstruction using trigger and KTAG as time reference
- Time offset corrections dependent on Station, Chip, Column, Row of the pixel
- Pixel – by- Pixel time walk corrections ( $\sigma(t) < 150$  ps per station)
- Stations aligned with straw Spectrometer and calibrated using  $K^+ \rightarrow \pi^+ \pi^+ \pi^-$



# K - $\pi$ matching

- KTAG – GigaTracker – RICH time matching  $\rightarrow$  Kaon decay time ( $t_{\text{decay}}$ )
- GigaTracker – Straw Spectrometer spatial matching (CDA)
- 3.5% (<1%)  $K^+$  mis-tag if  $K^+$  track (not) present, dependent on beam intensity
- 75%  $K^+$  reconstruction and ID efficiency



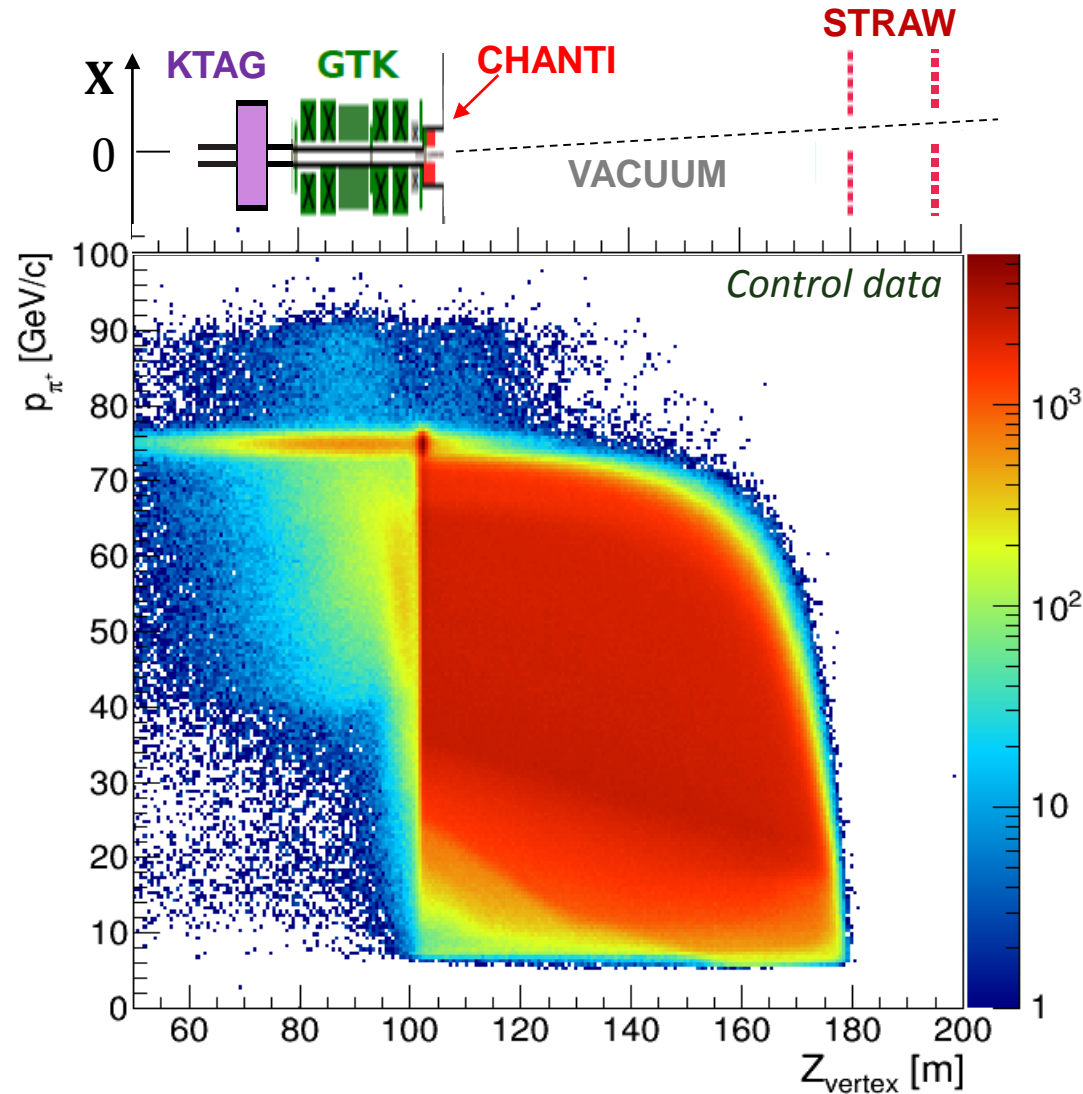
# Selection of Kaon Decays

## Selection of K decays

- $K^+ - \pi^+$  matching
- Z vertex (110 and 165 m)
- Track slope
- Track projection at collimator
- No activity in CHANTI

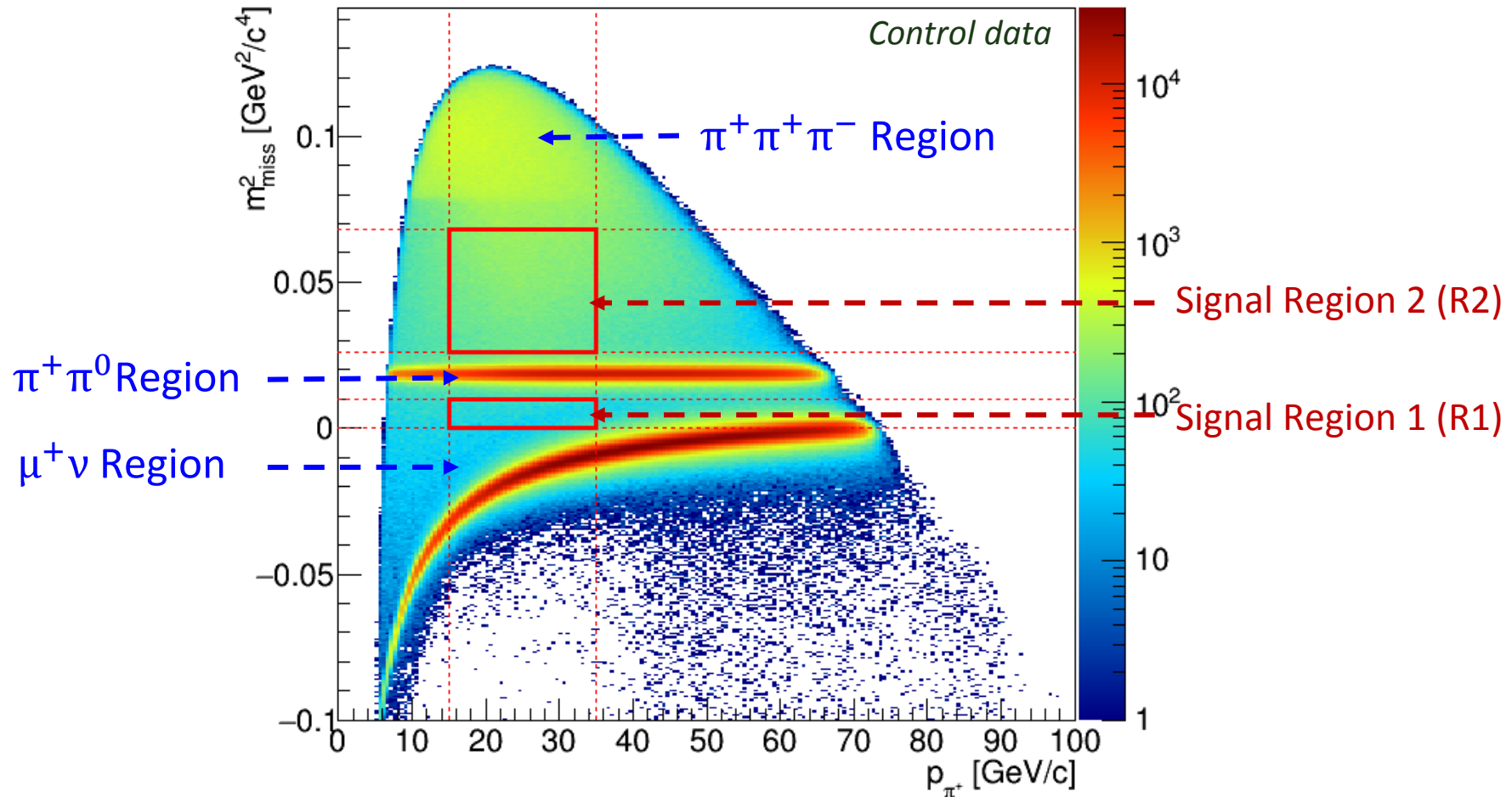
## Tracks from «upstream»

- $K^+$  mismatching in GTK
- Decays along the beam line
- Beam particle interactions in GTK

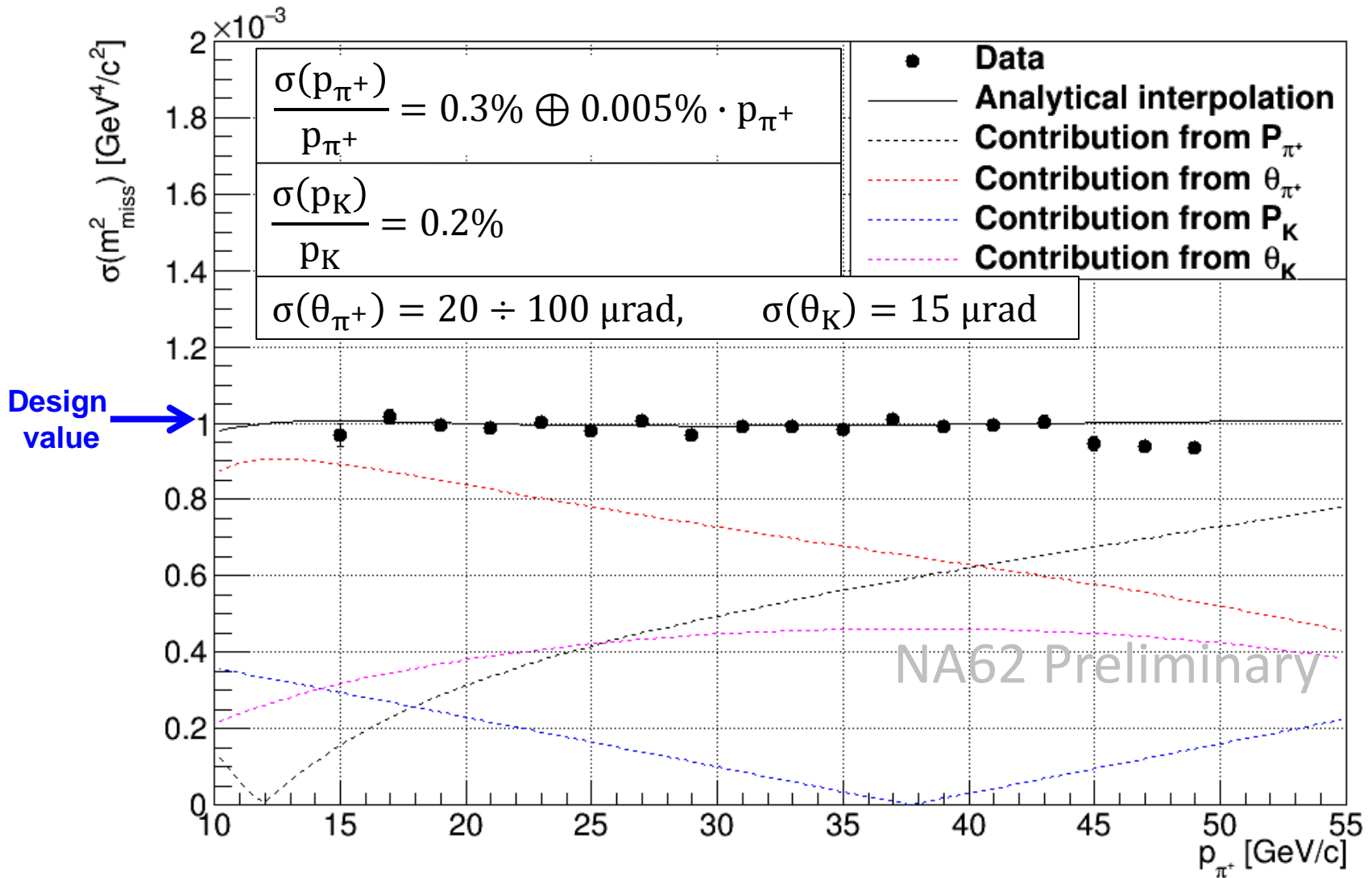


# Kaon Decays and Kinematics

$$m_{\text{miss}}^2 \equiv m_{\text{miss}}^2(\text{Straw, GTK}) = (P_{\pi^+} - P_{K^+})^2, \quad m_{\pi^+} \text{ hypothesis}$$

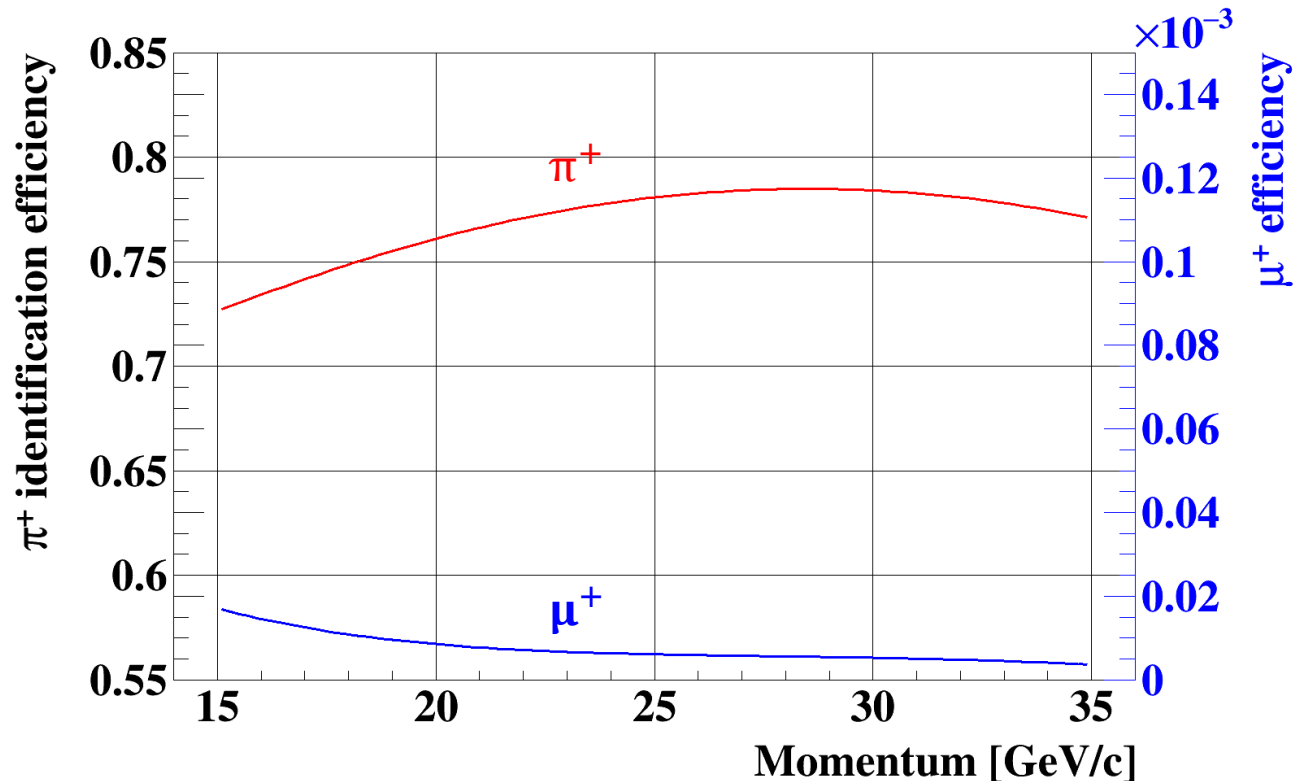


# Kinematic Resolution



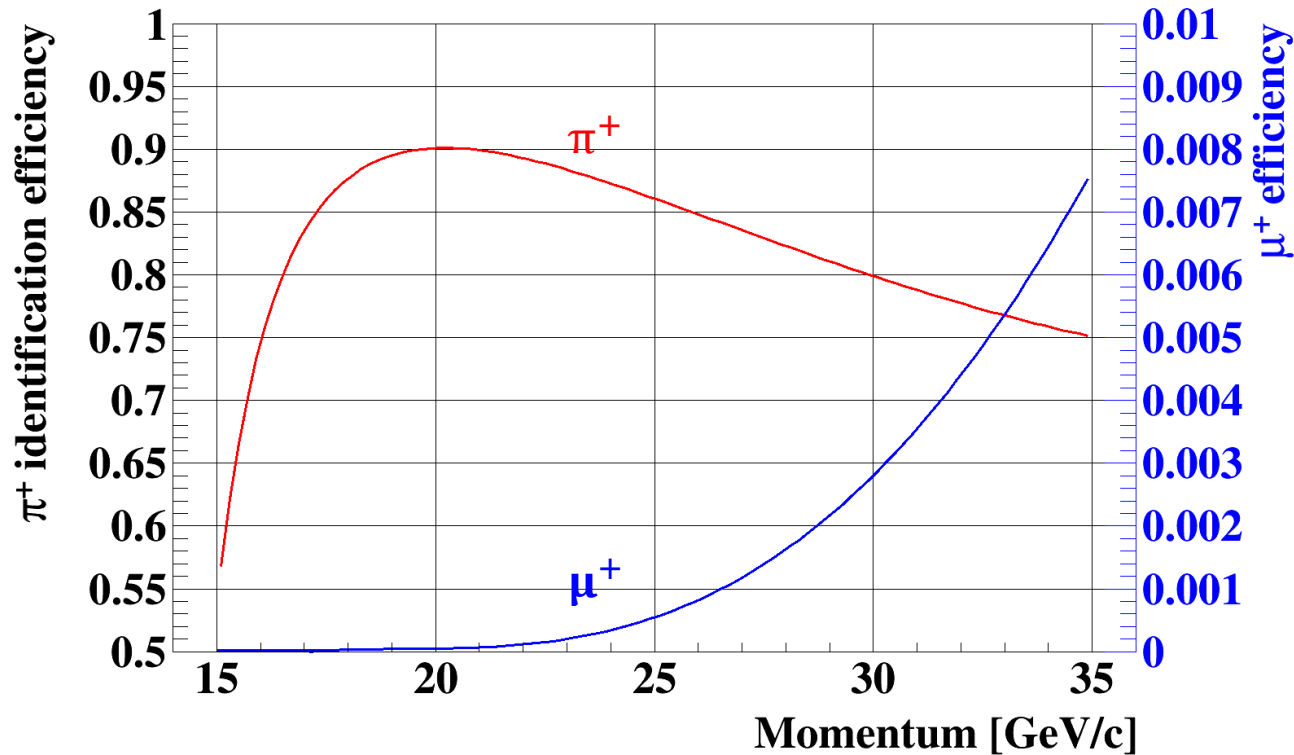
# Particle ID Calorimeters

- Electromagnetic calo (LKr), Hadronic calo (MUV1,2), scintillator pads (MUV3)
- MUV3+BDT classifier using: energy, energy sharing, clusters shape
- $0.6 \cdot 10^{-5} \mu^+$  efficiency vs 77%  $\pi^+$  efficiency

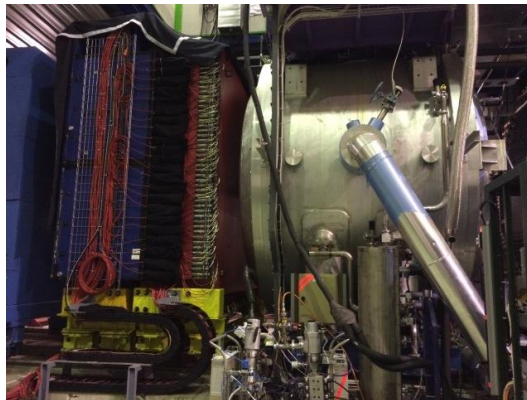
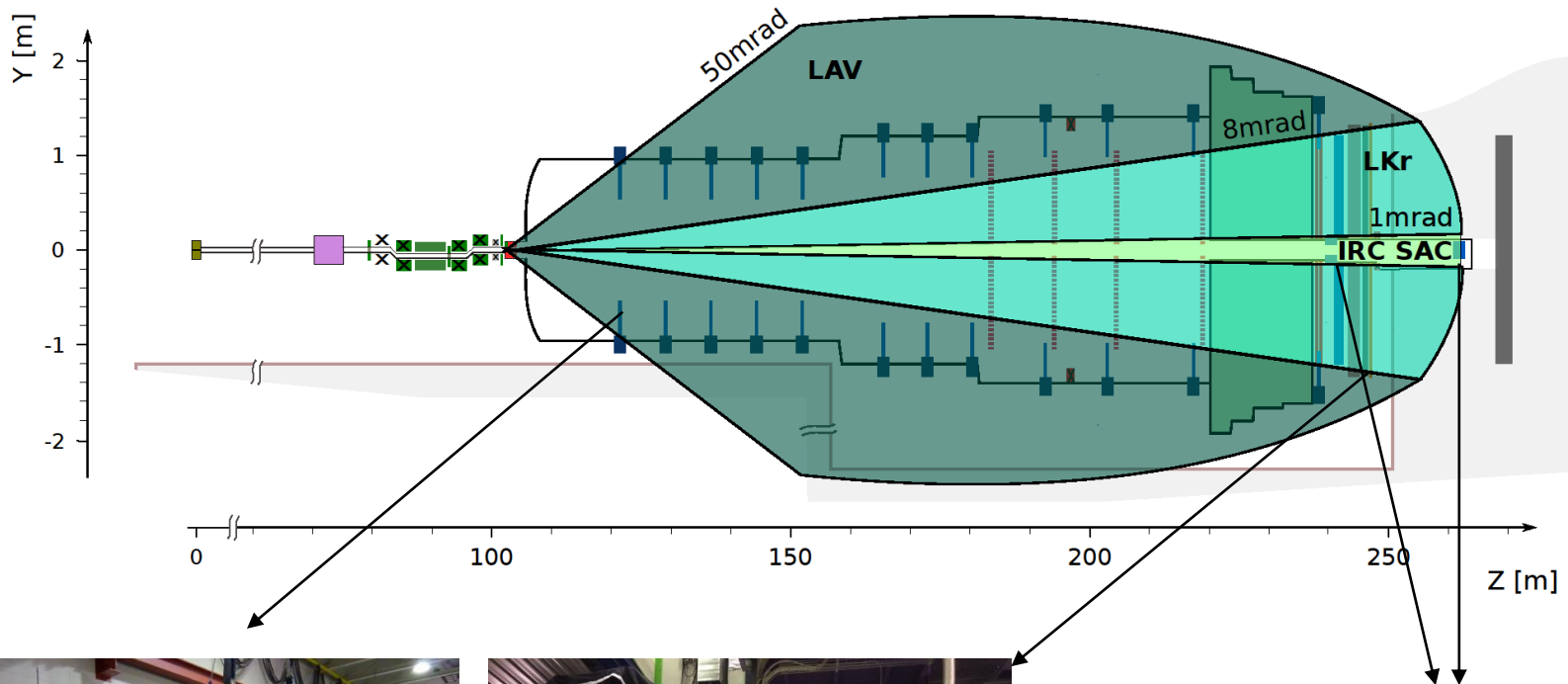


# Particle ID RICH

- Track driven Likelihood particle ID discriminant
- Particle mass using track momentum
- Momentum measurement under mass hypothesis (velocity - spectrometer)
- $2.5 \cdot 10^{-3} \mu^+$  efficiency vs 75%  $\pi^+$  efficiency

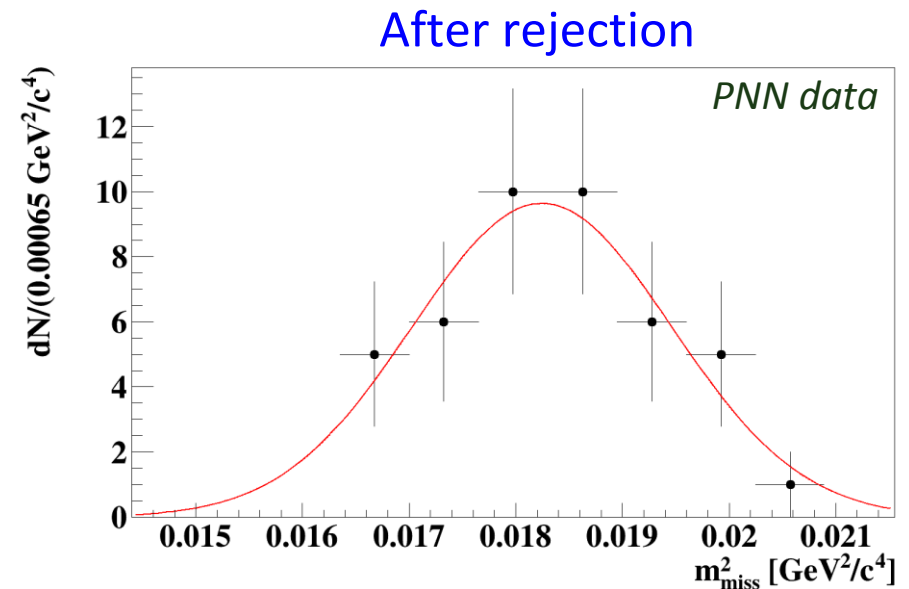
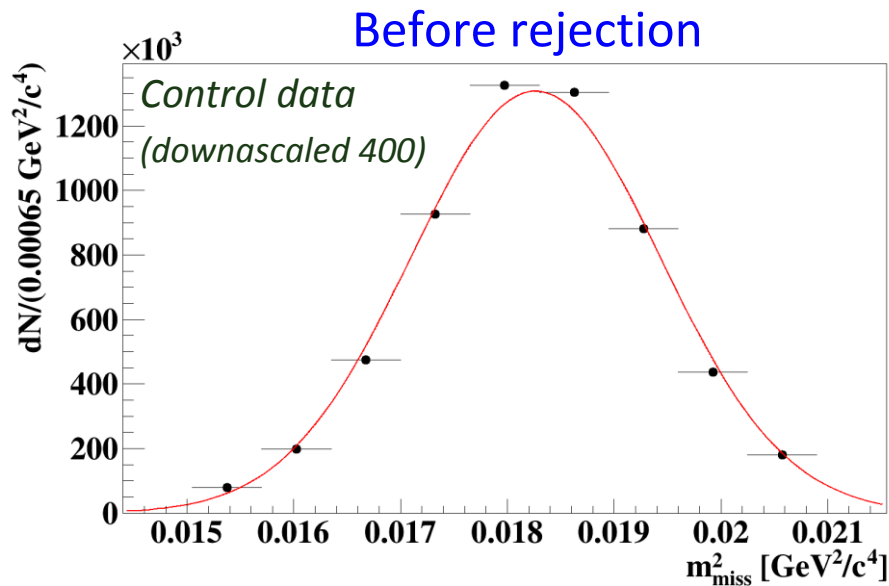


# Photon Rejection

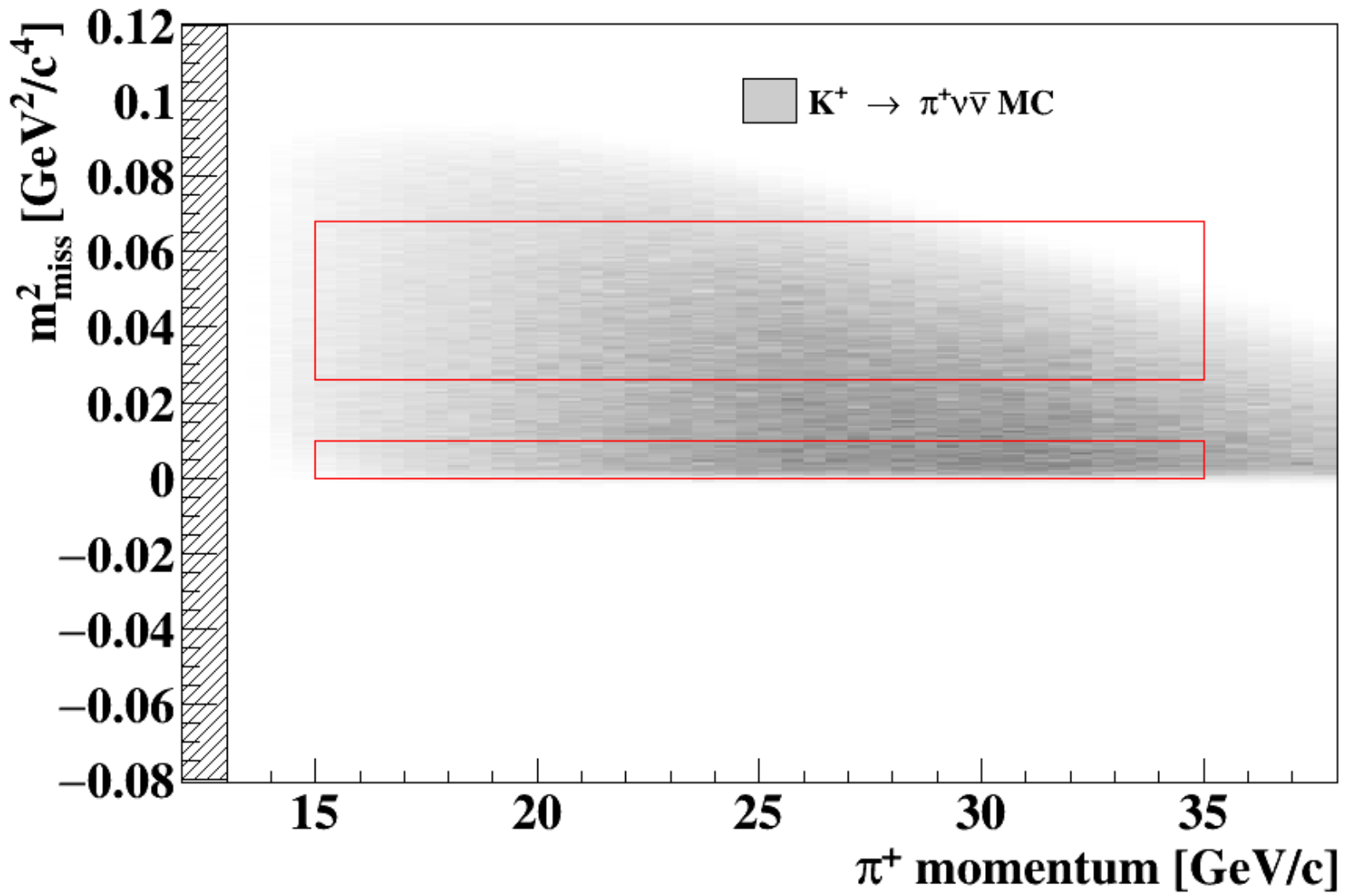


# Photon and Multiplicity Rejection

- Timing coincidence of signals in LKr, LAV, SAV not associated to  $\pi^+$  and  $t_{\text{decay}}$
- Coincidences of signals in LKr and hodoscopes not associated to  $\pi^+$ , in time with  $t_{\text{decay}}$
- No hits in time in HASC and MUV0 (off-acceptance veto); segments rejection in Straw
- Typical timing coincidences:  $\pm 3 \div \pm 5$  ns; energy dependent time cut in LKr
- Fraction of surviving  $K^+ \rightarrow \pi^+ \pi^0$  (15 – 35 momentum range) :  $\sim 2.5 \cdot 10^{-8}$
- High suppression of  $K^+ \rightarrow \pi^+ \pi^+ \pi^-$ ,  $K^+ \rightarrow \pi^+ \pi^- e^+ \nu$



# MC Signal After Selection





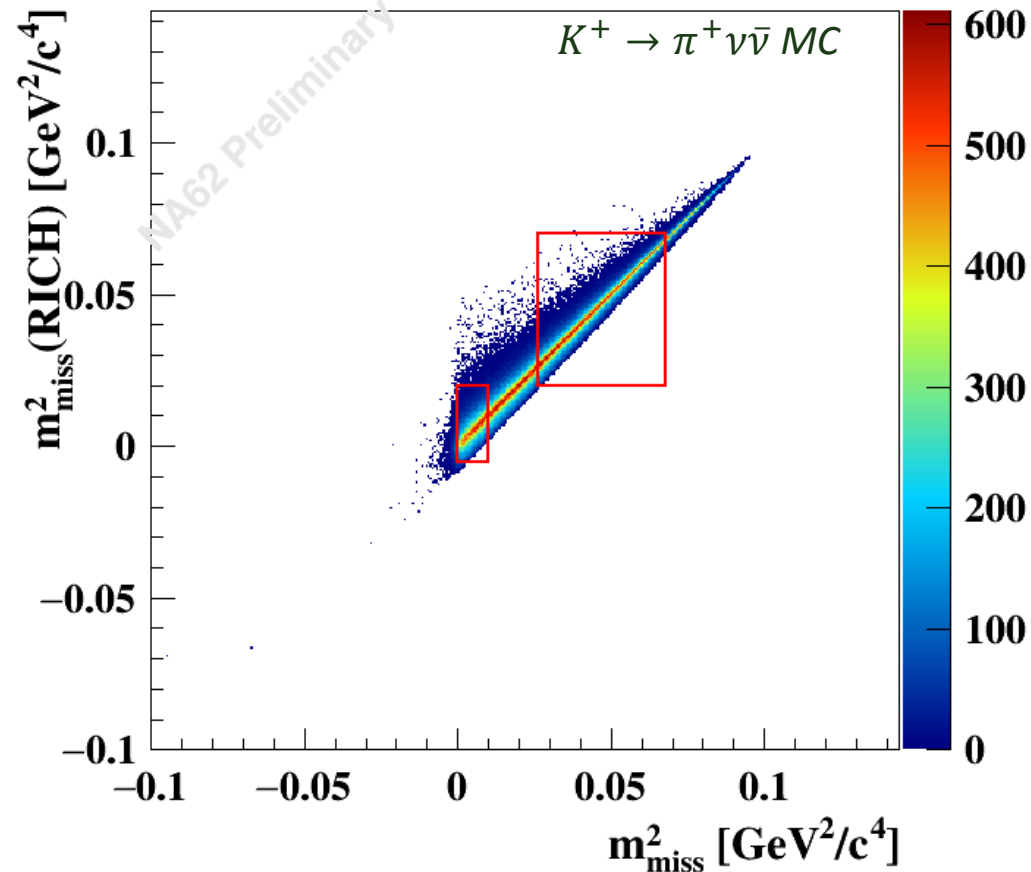
# Signal Regions

- Different ways to compute  $m_{\text{miss}}^2 = (\mathbf{P}_{\pi^+} - \mathbf{P}_{K^+})^2$
- Additional power for background suppression

$$m_{\text{miss}}^2 \equiv m_{\text{miss}}^2(\text{Straw}, \text{GTK})$$

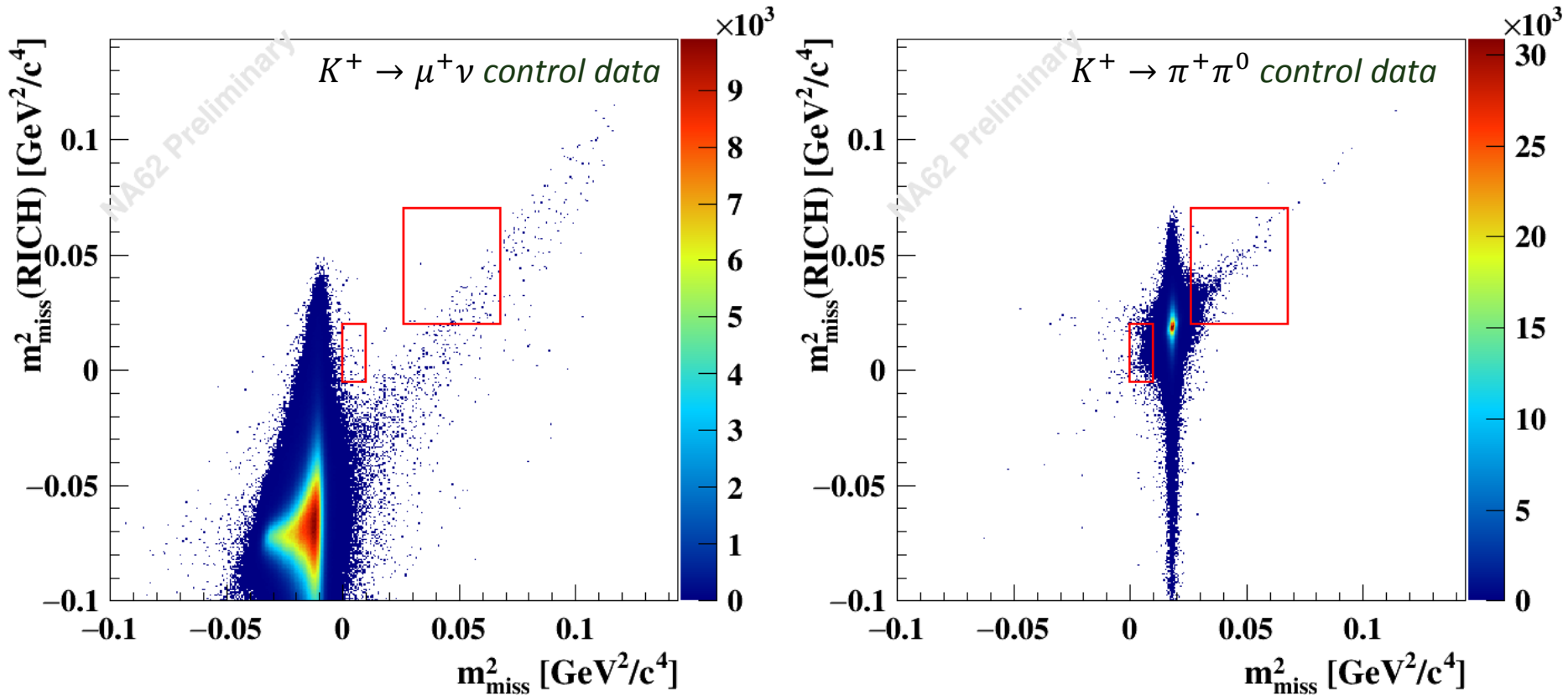
$$m_{\text{miss}}^2(\text{RICH}) \equiv m_{\text{miss}}^2(\text{RICH}, \text{GTK})$$

$$m_{\text{miss}}^2(\text{Beam}) \equiv m_{\text{miss}}^2(\text{Straw}, \text{Beam})$$



# Signal Regions

- Different ways to compute  $m_{\text{miss}}^2 = (P_{\pi^+} - P_{K^+})^2$
- Additional power for background suppression



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# 2. Single Event Sensitivity

# Single Event Sensitivity (SES): Definition

- Normalization:  $K^+ \rightarrow \pi^+ \pi^0$  from control data
- Same  $\pi^+ \nu \bar{\nu}$  selection:  $\gamma$ , multiplicity rejection not applied;  $m_{\text{miss}}^2$  cuts modified

$$N_K = \frac{N_{\pi\pi} \cdot D}{A_{\pi\pi} \cdot BR_{\pi\pi}}$$

$$SES = \frac{1}{N_K \sum_j (A_{\pi\nu\nu}^j \cdot \epsilon_{RV}^j \cdot \epsilon_{trig}^j)}$$

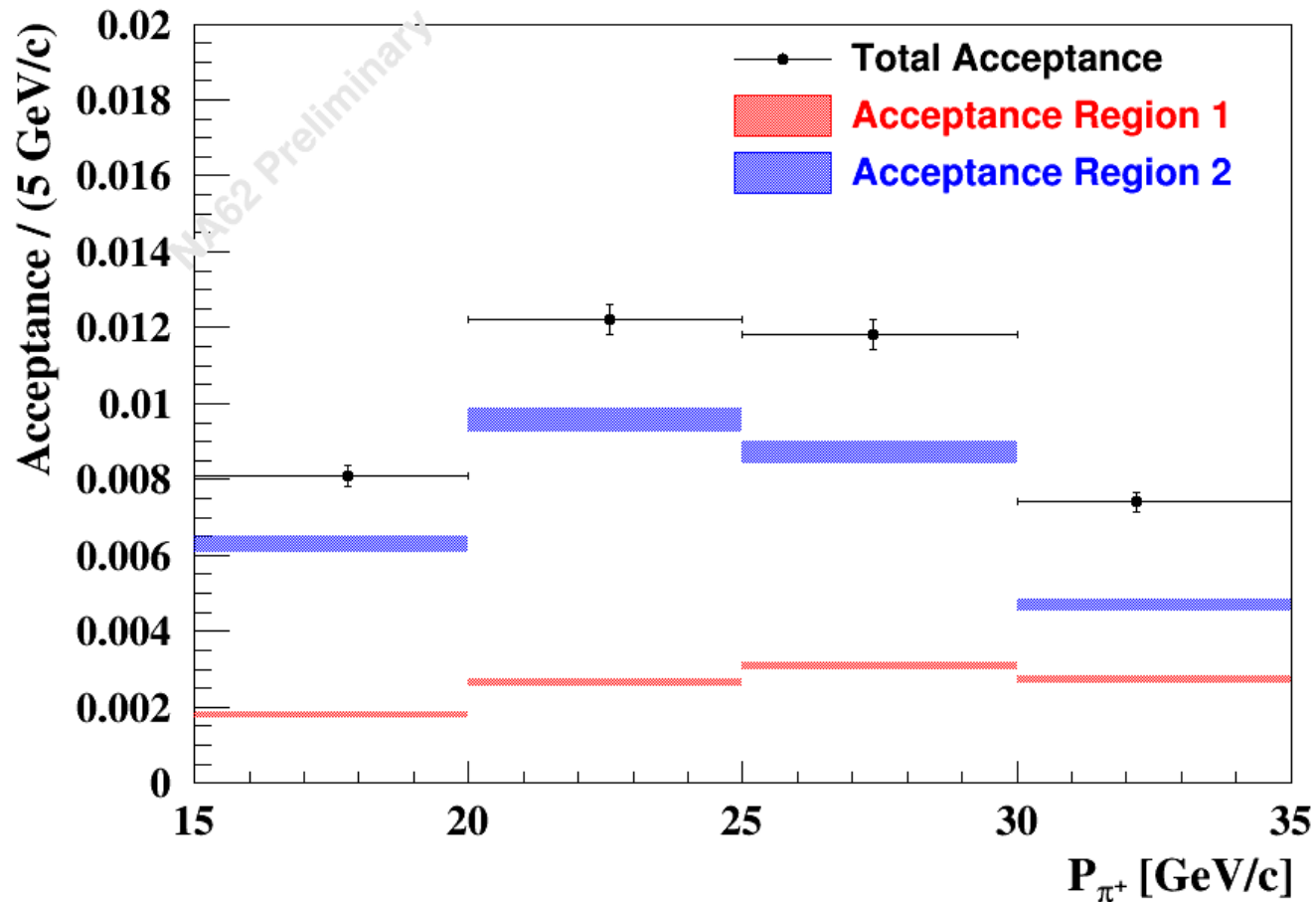
$N_K$	Number of $K^+$ decays
$N_{\pi\pi} \sim 6 \cdot 10^6$	Number of $K^+ \rightarrow \pi^+ \pi^0$
$A_{\pi\pi} \sim 0.1$	Normalization acceptance
$D = 400$	Control Trigger Downscaling

$\epsilon_{RV}$	Random veto efficiency
$\epsilon_{trig}$	Trigger efficiency
$A_{\pi\nu\nu}$	Signal acceptance
$J$	$\pi^+$ momentum bin

$$N_{K^+} = (1.21 \pm 0.02) \times 10^{11}$$

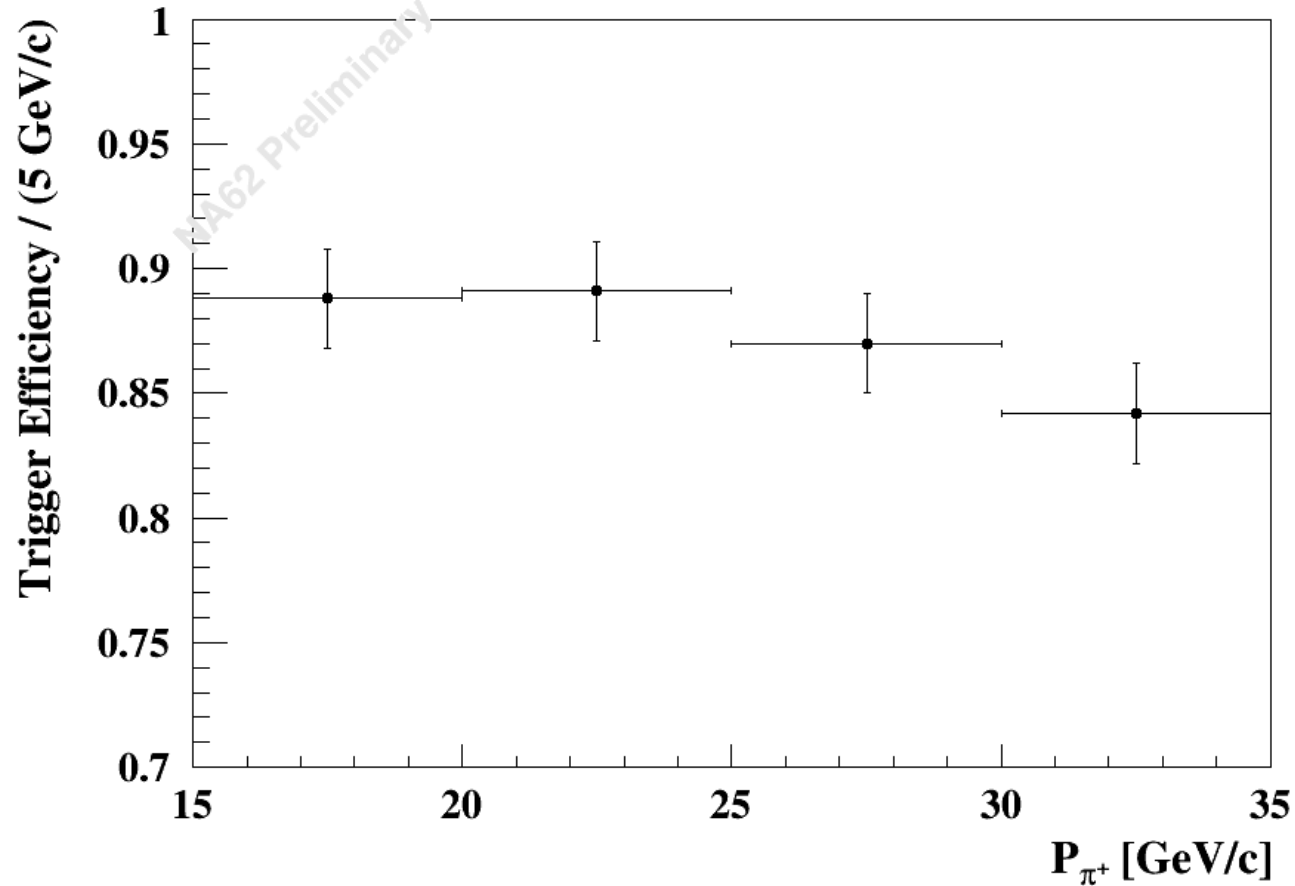
# Signal Acceptance

- Computed with MC
- Particle ID, losses due to  $\pi^+$  interaction included



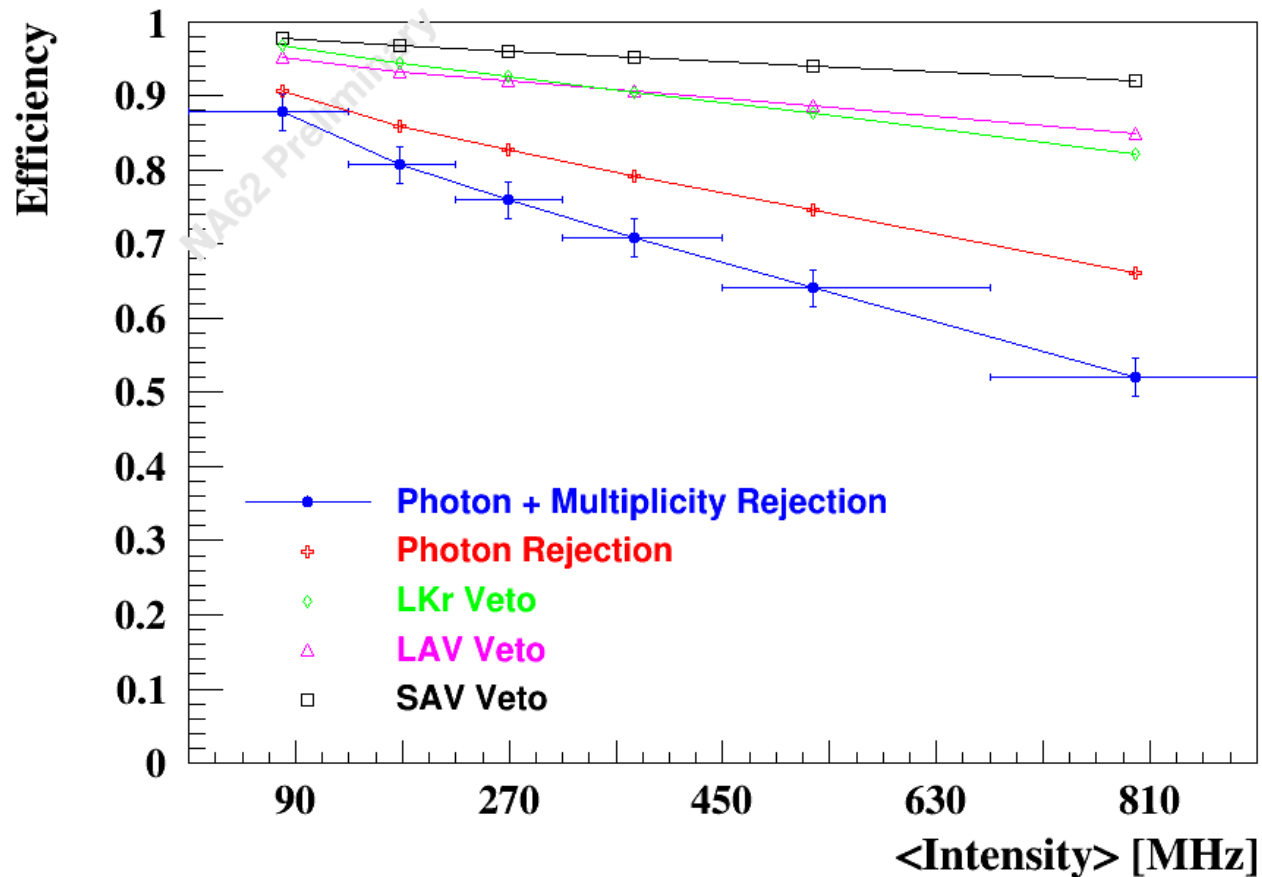
# Trigger Efficiency

- Measured on data using  $K^+ \rightarrow \pi^+ \pi^0$  selected from control triggers
- Losses mainly from level 0, L1 efficiency  $\sim 0.97$



# Random Veto

- Random signal losses due to  $\gamma$  + multiplicity rejection measured with  $K^+ \rightarrow \mu^+ \nu$
- $\langle \epsilon_{RV} \rangle \approx 0.76$  independent from  $P_{\pi^+}$ , dependent on instantaneous intensity



# Single Event Sensitivity: Result

$$SES = (3.15 \pm 0.01_{stat} \pm 0.24_{syst}) \times 10^{-10}$$

$$N_{\pi\nu\nu}^{exp}(SM) = 0.267 \pm 0.001_{stat} \pm 0.020_{syst} \pm 0.032_{ext}$$

Acceptance $K^+ \rightarrow \pi^+ \nu \bar{\nu}$	$4.0 \pm 0.1$
PNN trigger efficiency	$0.87 \pm 0.2$
Random veto	$0.76 \pm 0.04$

Source	$\delta SES (10^{-10})$
Random Veto	$\pm 0.17$
$N_K$	$\pm 0.05$
Trigger efficiency	$\pm 0.04$
Definition of $\pi^+ \pi^0$ region	$\pm 0.10$
Momentum spectrum	$\pm 0.01$
Simulation of $\pi^+$ interactions	$\pm 0.09$
Extra activity	$\pm 0.02$
GTK Pileup simulation	$\pm 0.02$
Total	$\pm 0.24$

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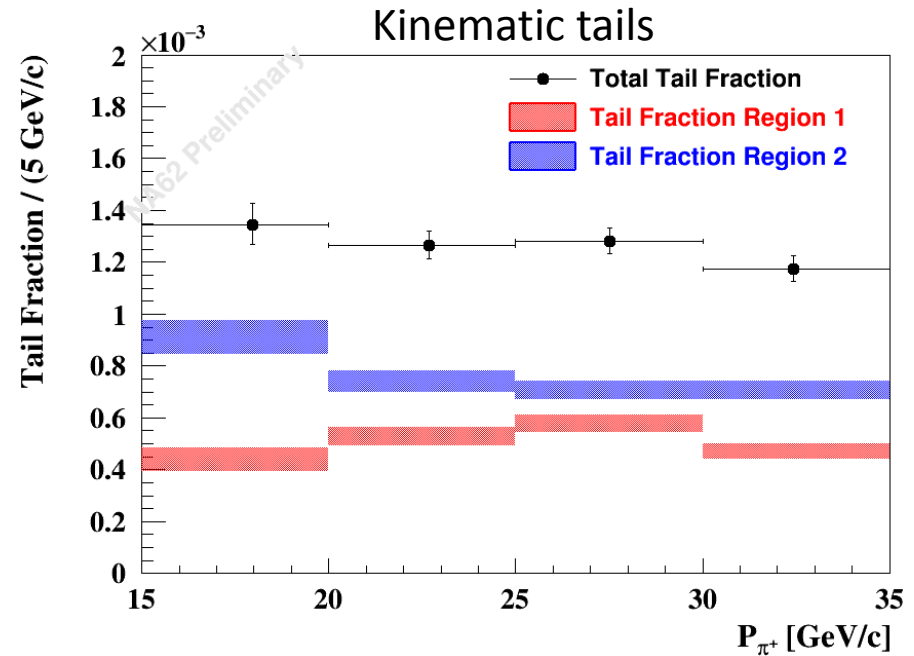
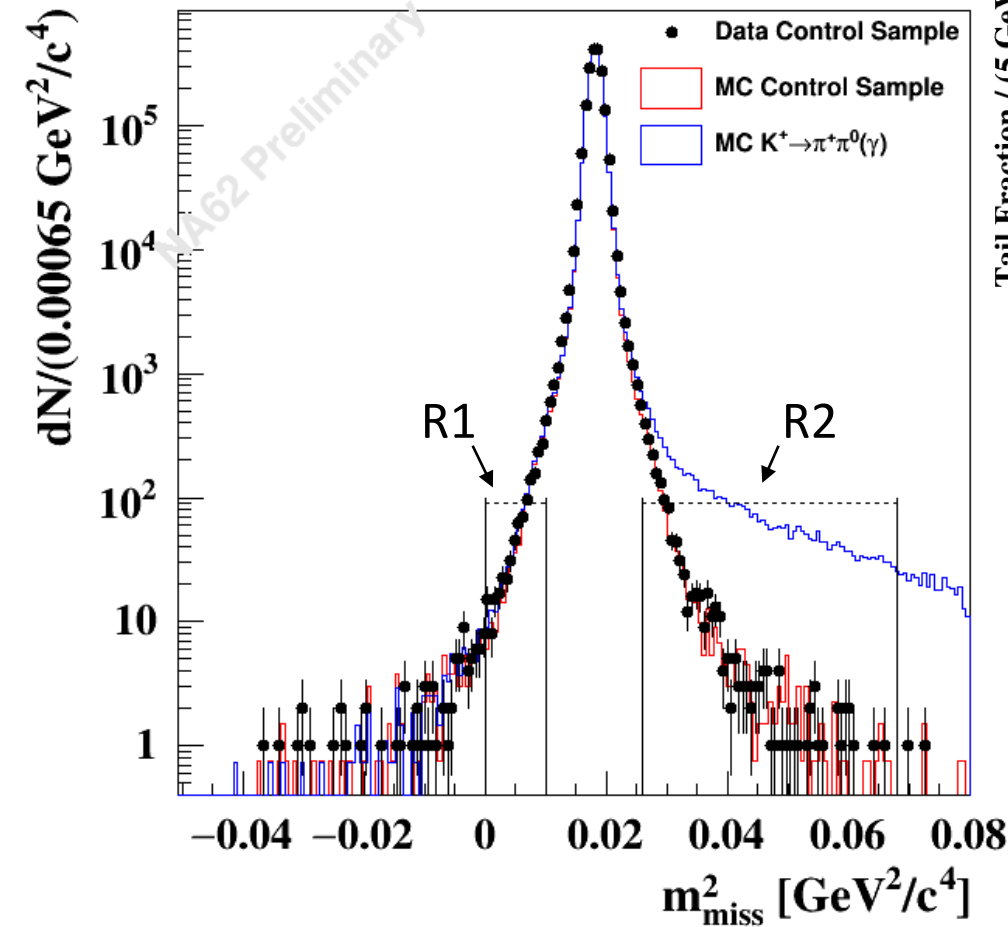
# 3. Background Studies

# $K^+ \rightarrow \pi^+ \pi^0 (\gamma)$ Background

$$\underbrace{N_{\pi\pi}^{exp}(region)}_{\text{Expected events}} = \sum_{\substack{j \\ \downarrow \\ \pi^+ \text{ momentum bin}}} \left[ \underbrace{N_{\pi\pi}(\pi^+ \pi^0)}_{\substack{\text{Events in } \pi^+ \pi^0 \text{ region after} \\ \pi^+ \nu \bar{\nu} \text{ selection}}} \right]_j \cdot \underbrace{f_j^{kin}(region)}_{\text{Fraction of events in region } region}$$

- $f_j^{kin}(region)$  measured:  $\pi^+ \pi^0$  sample selected tagging the  $\pi^0$  with 2  $\gamma$ 's in LKr
- MC studies with and without  $\pi^0$  tagging
- $\pi^0$  and kinematic rejection assumed independent

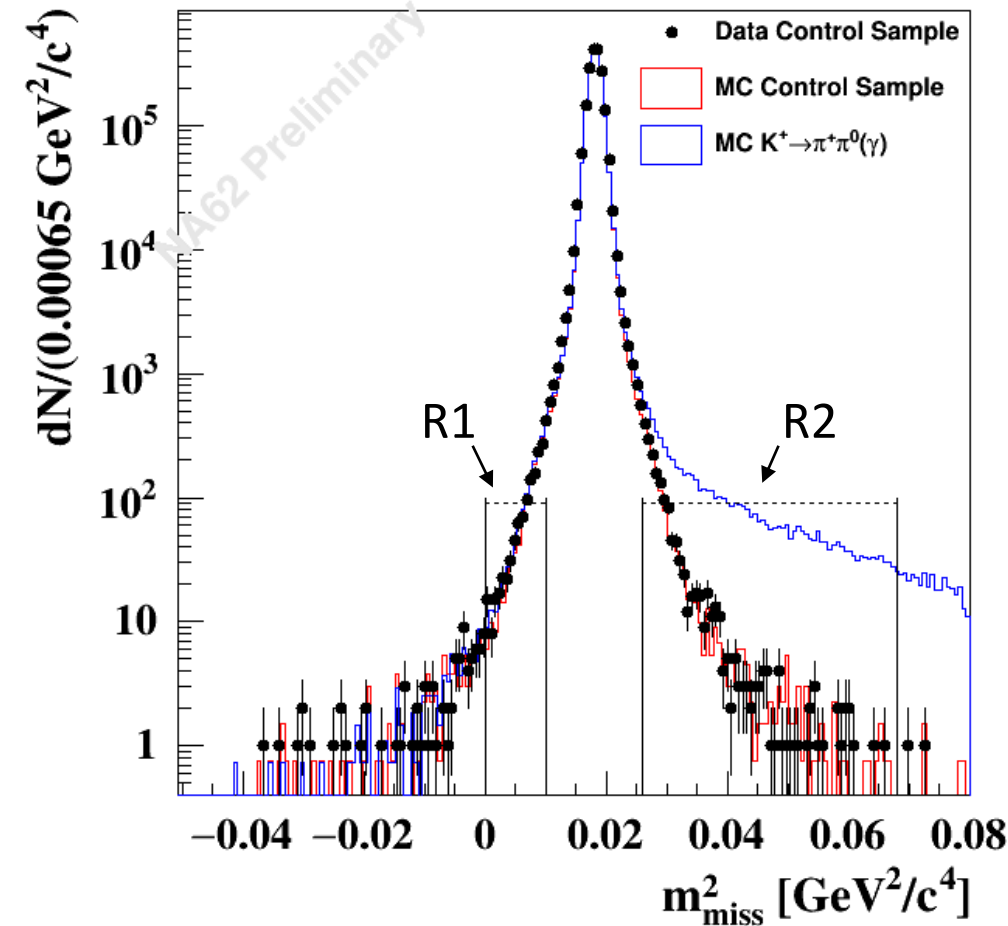
# $K^+ \rightarrow \pi^+ \pi^0$ Background



Number of expected events

Region	$\pi^+ \pi^0$
R1	$0.022 \pm 0.004_{\text{stat}} \pm 0.002_{\text{syst}}$
R2	$0.037 \pm 0.006_{\text{stat}} \pm 0.003_{\text{syst}}$

# $K^+ \rightarrow \pi^+ \pi^0 \gamma$ Background



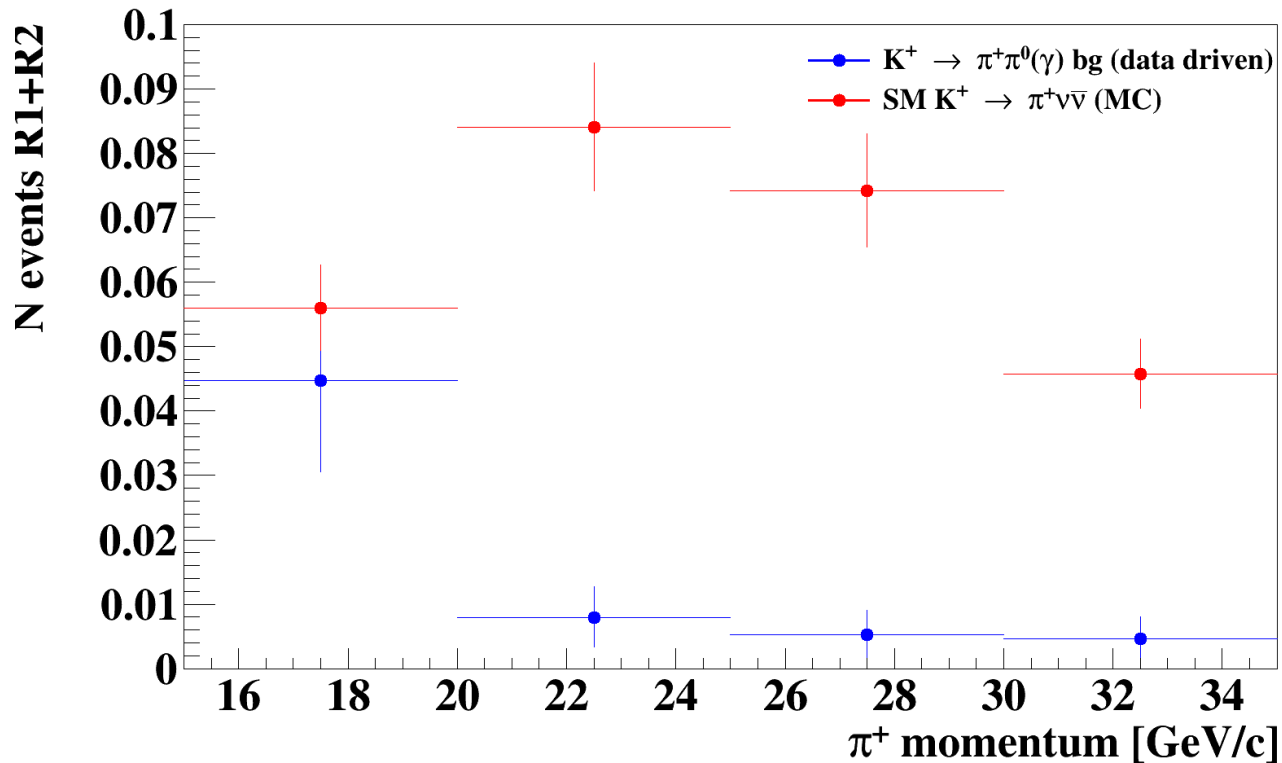
- Radiative tail in R2 estimated from MC:
  - $\times 6$  than kinematic tails
- Single- $\gamma$  veto efficiency measured on data
- Measured  $\pi^0$  rejection reproduced on MC
- $\pi^0 \gamma$  rejection of the radiative tail in R2 estimated from MC:
  - $\times 30$  than single  $\pi^0$  rejection

Number of expected events

Region	$\pi^+ \pi^0 \gamma$
R1	0
R2	$0.005 \pm 0.005_{\text{sys}}$

# $K^+ \rightarrow \pi^+ \pi^0 (\gamma)$ Background: Result

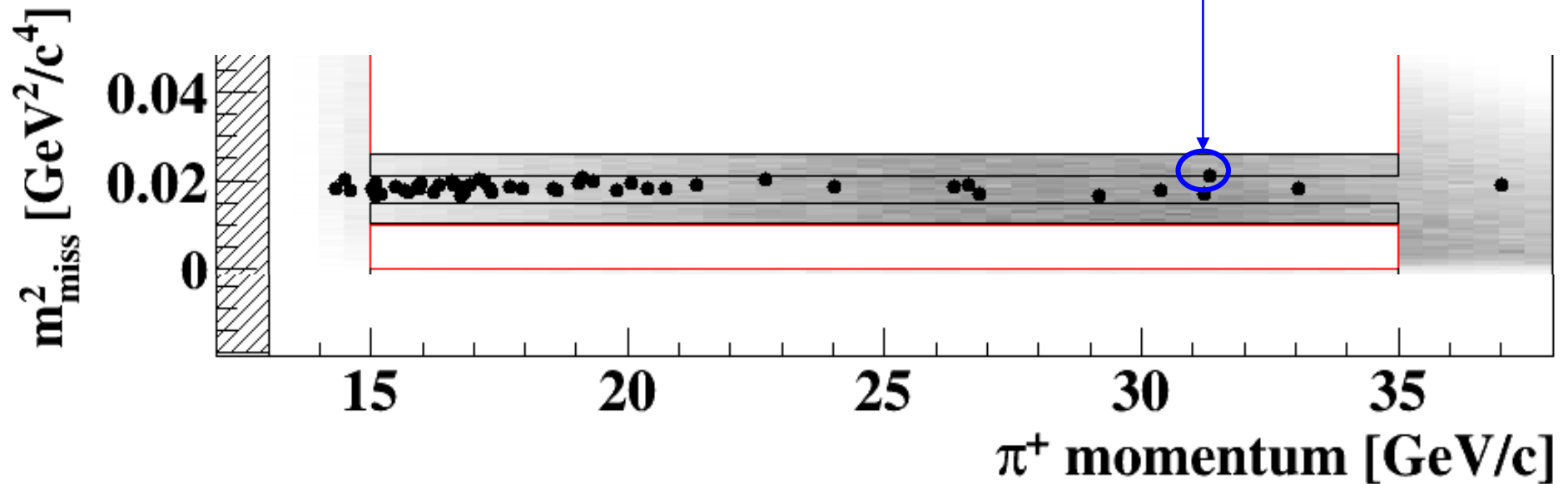
$$N_{\pi\pi(\gamma)}^{expected} = 0.064 \pm 0.007_{stat} \pm 0.006_{syst}$$



# $K^+ \rightarrow \pi^+ \pi^0 (\gamma)$ Background: Validation

Region	$\pi^+ \pi^0$
CR1	$0.52 \pm 0.08_{stat} \pm 0.03_{syst}$
CR2	$0.94 \pm 0.14_{stat} \pm 0.05_{syst}$

Events observed CR1: 0  
Events observed CR2: 1



# $K^+ \rightarrow \mu^+ \nu(\gamma)$ Background

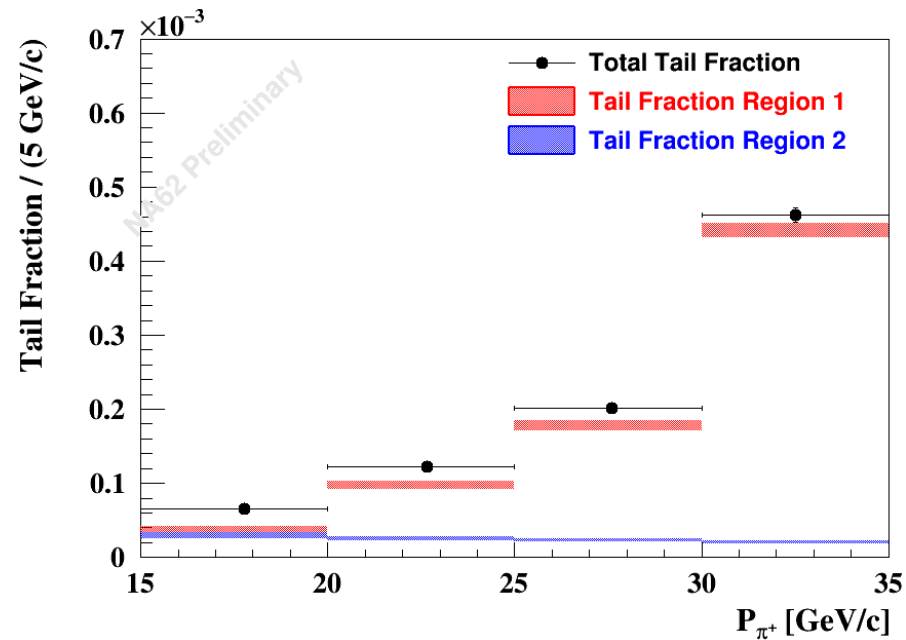
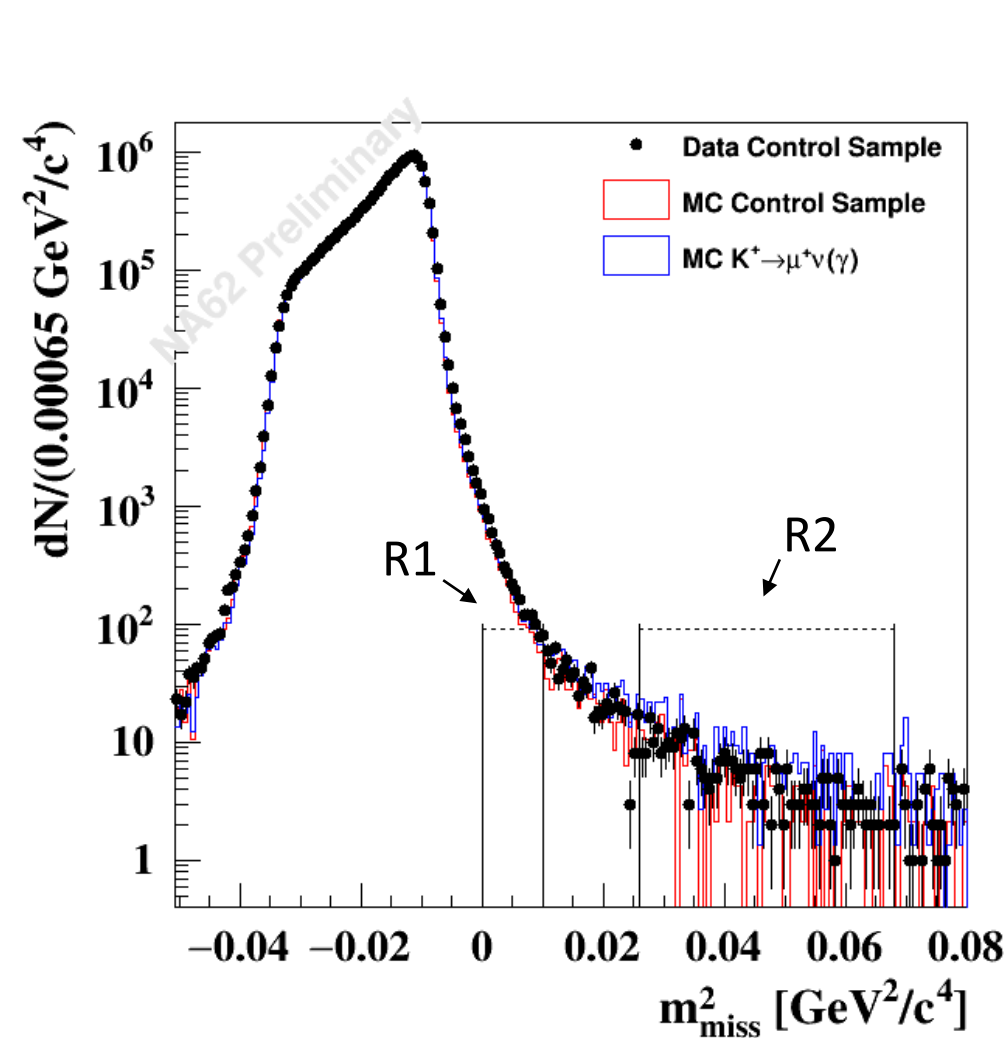
Events in  $\mu^+ \nu$  region after  $\pi^+ \nu \bar{\nu}$  selection

$$\underbrace{N_{\mu\nu}^{exp}(region)}_{\text{Expected events}} = \sum_j \underbrace{[N(\mu^+ \nu)_j \cdot f_j^{kin}(region)]}_{\text{Fraction of events in region } region}$$

$\downarrow$   
 $\pi^+$  momentum bin

- $f_j^{kin}(region)$  measured:  $\mu^+ \nu$  sample selected tagging  $\mu^+$  and applying  $\gamma$  rejection
- Same method applied to MC
- PID and kinematic rejection assumed independent
  - Independence tested measuring muon PID with RICH directly on tails.

# $K^+ \rightarrow \mu^+ \nu(\gamma)$ Background

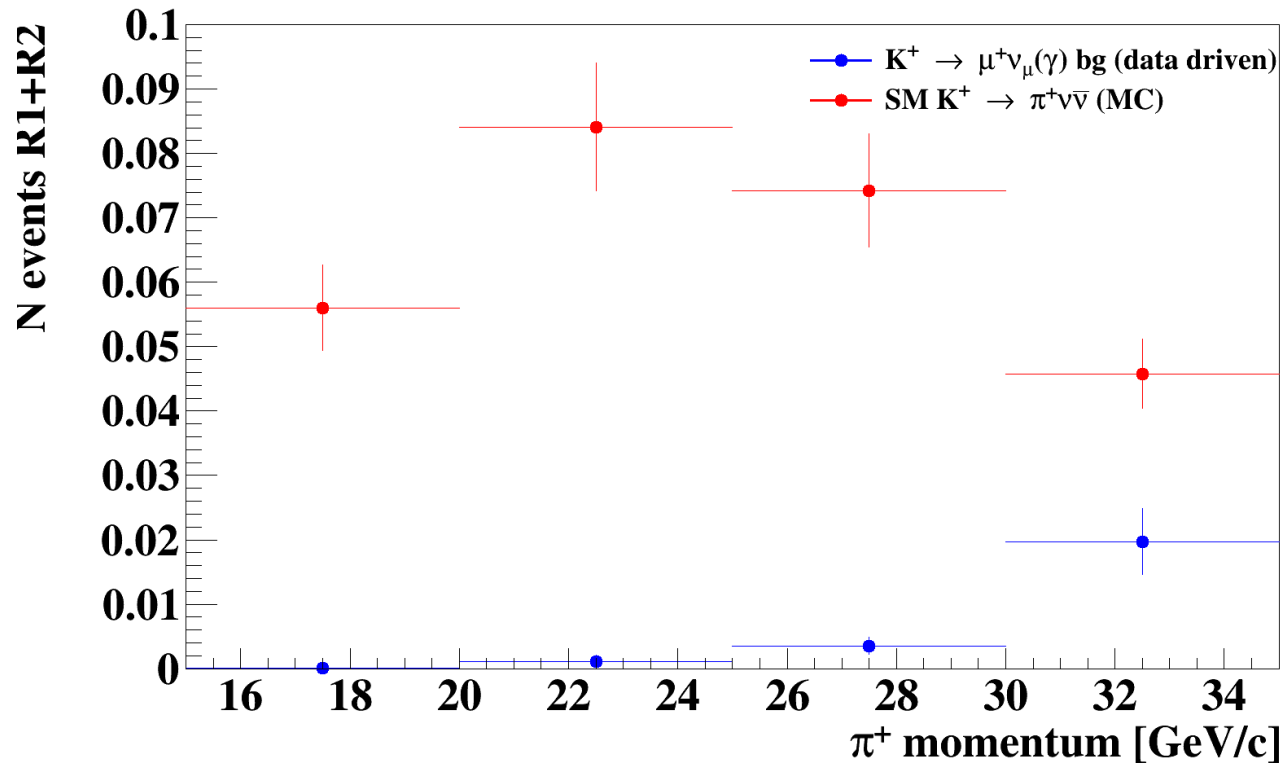


Number of expected events

Region	$K_{\mu 2}(\gamma)$
R1	$0.019 \pm 0.003_{\text{stat}} \pm 0.003_{\text{syst}}$
R2	$0.0012 \pm 0.0002_{\text{stat}} \pm 0.0006_{\text{syst}}$

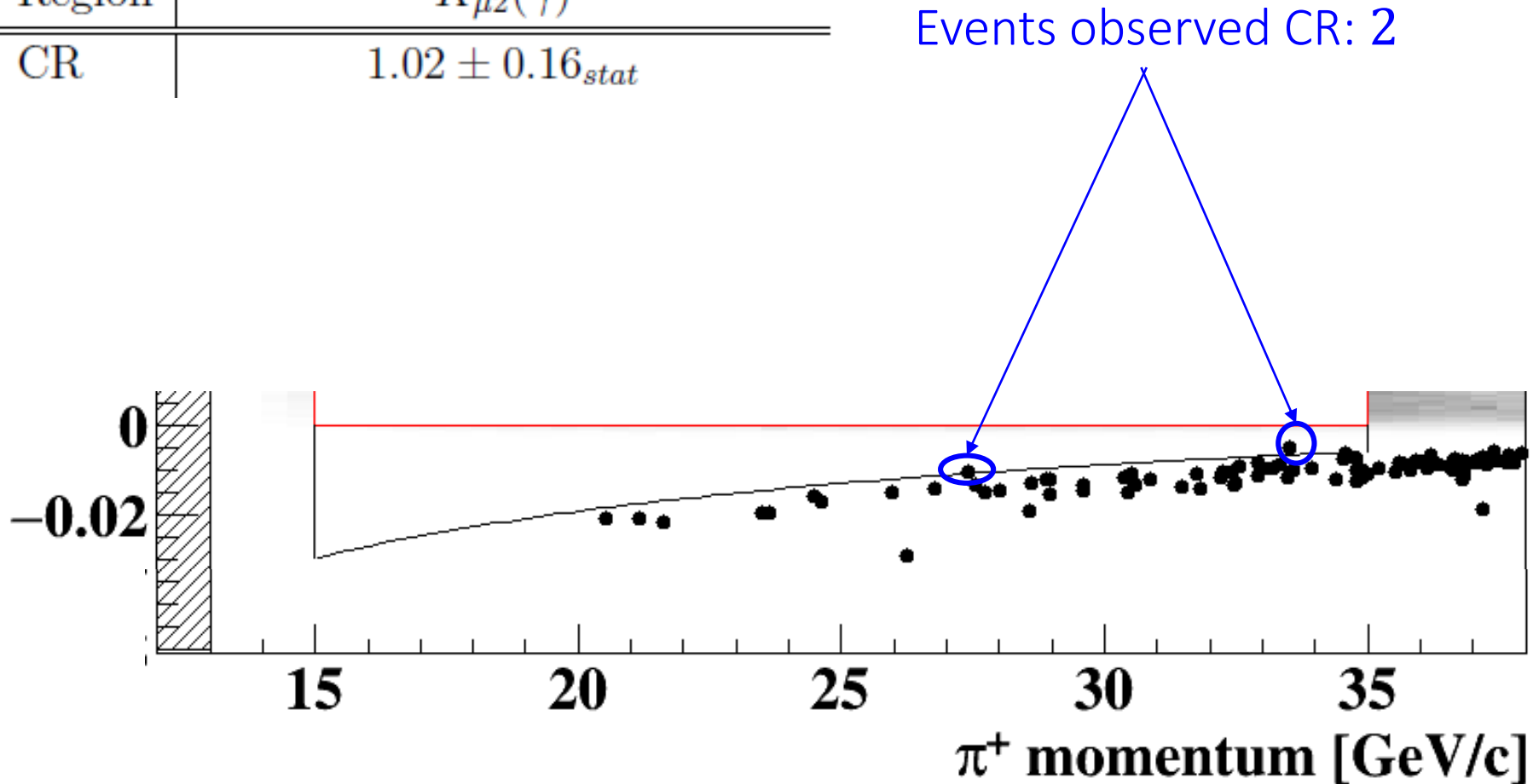
# $K^+ \rightarrow \mu^+ \nu(\gamma)$ Background: Result

$$N_{\mu\nu(\gamma)}^{expected} = 0.020 \pm 0.003_{stat} \pm 0.003_{syst}$$



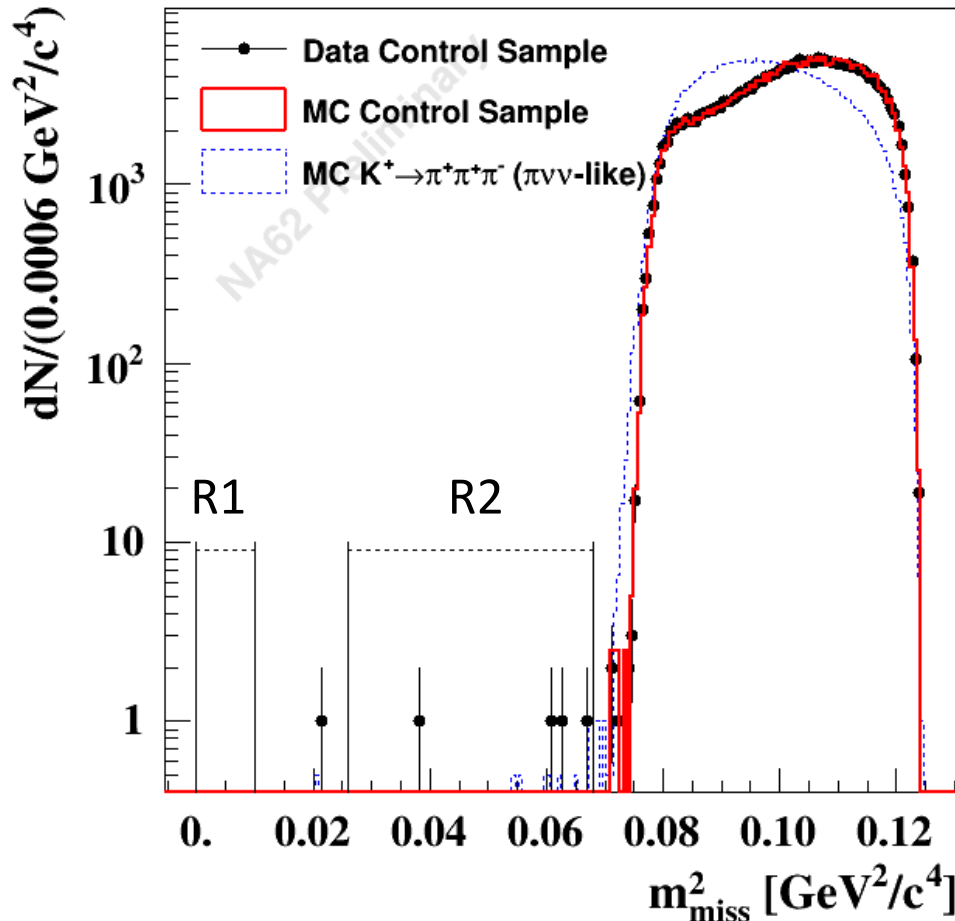
# $K^+ \rightarrow \mu^+ \nu(\gamma)$ Background: Validation

Region	$K_{\mu 2}(\gamma)$
CR	$1.02 \pm 0.16_{stat}$



# $K^+ \rightarrow \pi^+ \pi^+ \pi^-$ Background

$$N_{\pi\pi\pi}^{exp} = N(\pi^+ \pi^+ \pi^-) \cdot f^{kin}(R2)$$

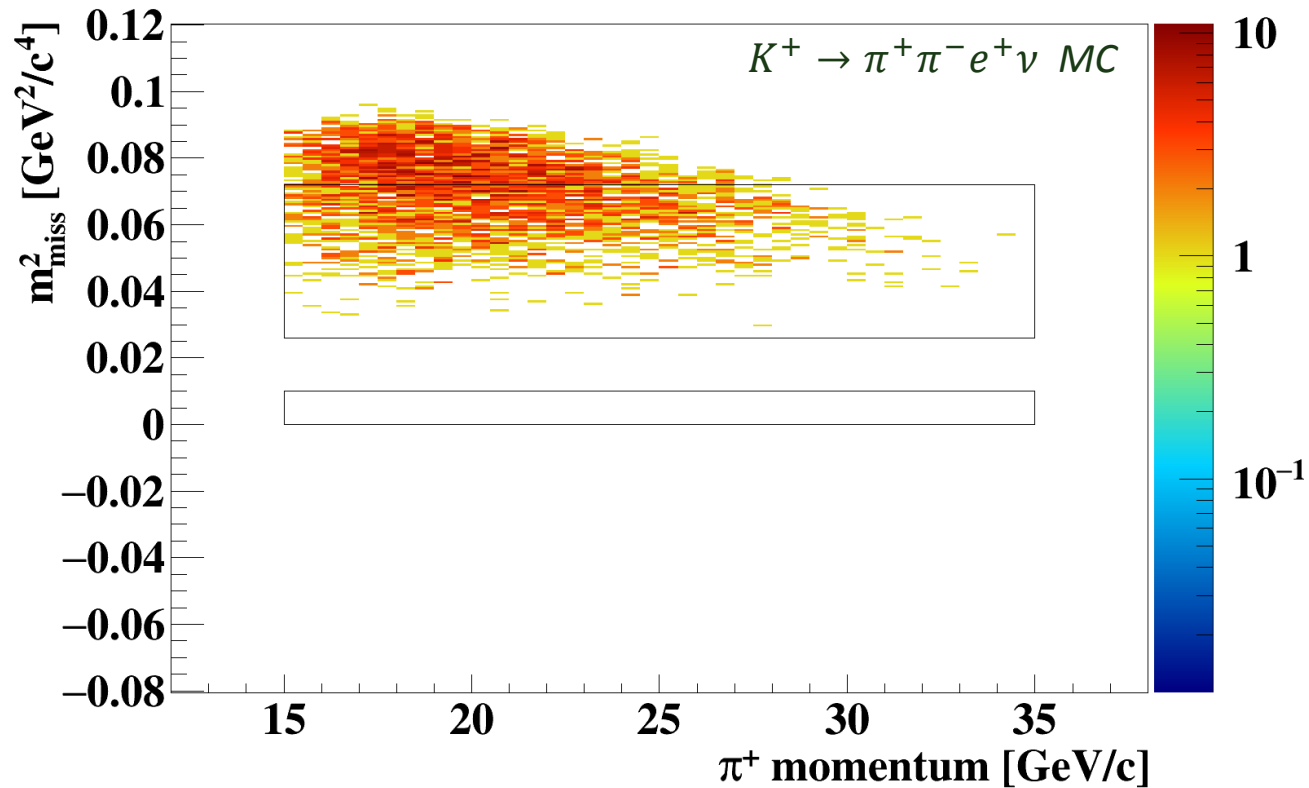


- $N(\pi^+ \pi^+ \pi^-)$ : Event in  $\pi^+ \pi^+ \pi^-$  region after  $\pi^+ \nu \bar{\nu}$  selection
- $f^{kin}$  measured on a  $\pi^+ \pi^+ \pi^-$  control sample selected tagging the  $\pi^+ \pi^-$  pair
- Kinematic rejection factor corrected for biases induced by the control sample selection using MC
- $f^{kin}(R2) \leq 10^{-4}$

Number of expected events

$$N_{\pi\pi\pi}^{exp} = 0.002 \pm 0.001_{stat} \pm 0.002_{syst}$$

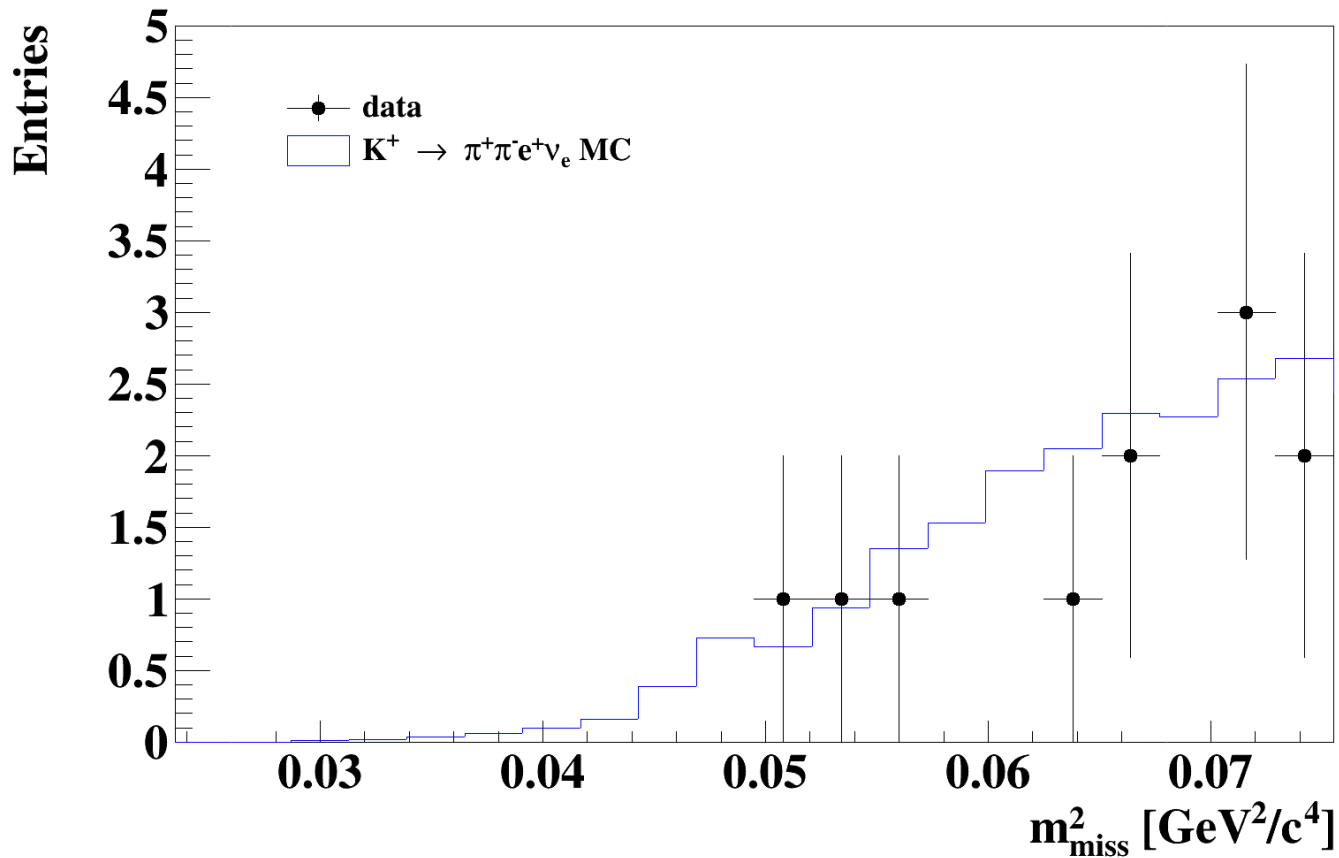
# $K^+ \rightarrow \pi^+ \pi^- e^+ \nu$ Background



- Estimated using MC ( $\sim 4 \times 10^8$  events generated)
- Validated using different control samples  $K^+ \rightarrow \pi^+ \pi^- e^+ \nu$  - enriched

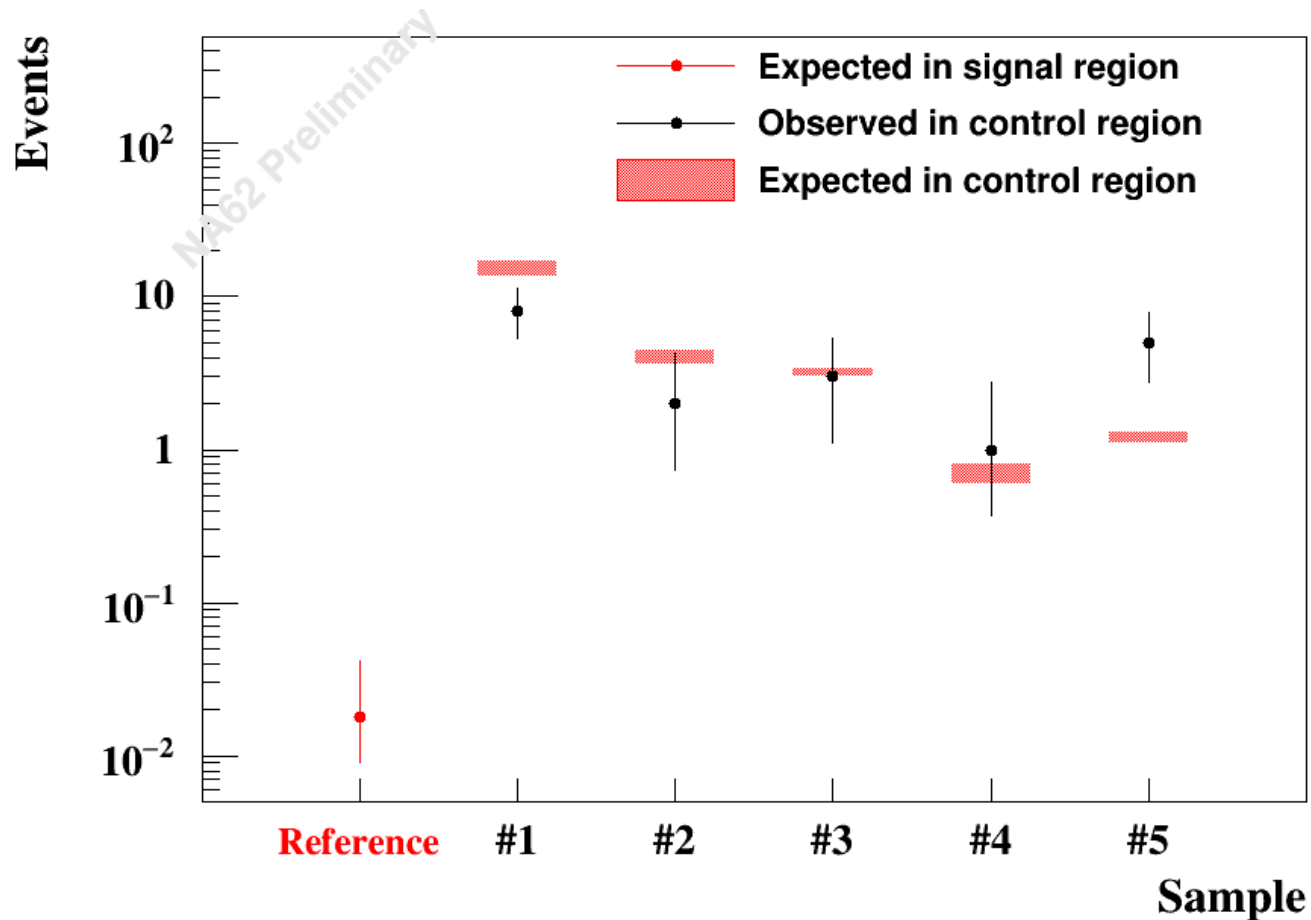
# $K^+ \rightarrow \pi^+ \pi^- e^+ \nu$ Background: Validation

- Single -  $\pi^-$  events, full  $\pi\nu$  selection, straw - multiplicity cuts **inverted**
- Control region:  $0.026 < m_{\text{miss}}^2 < 0.072 \text{ GeV}^2/c^4$



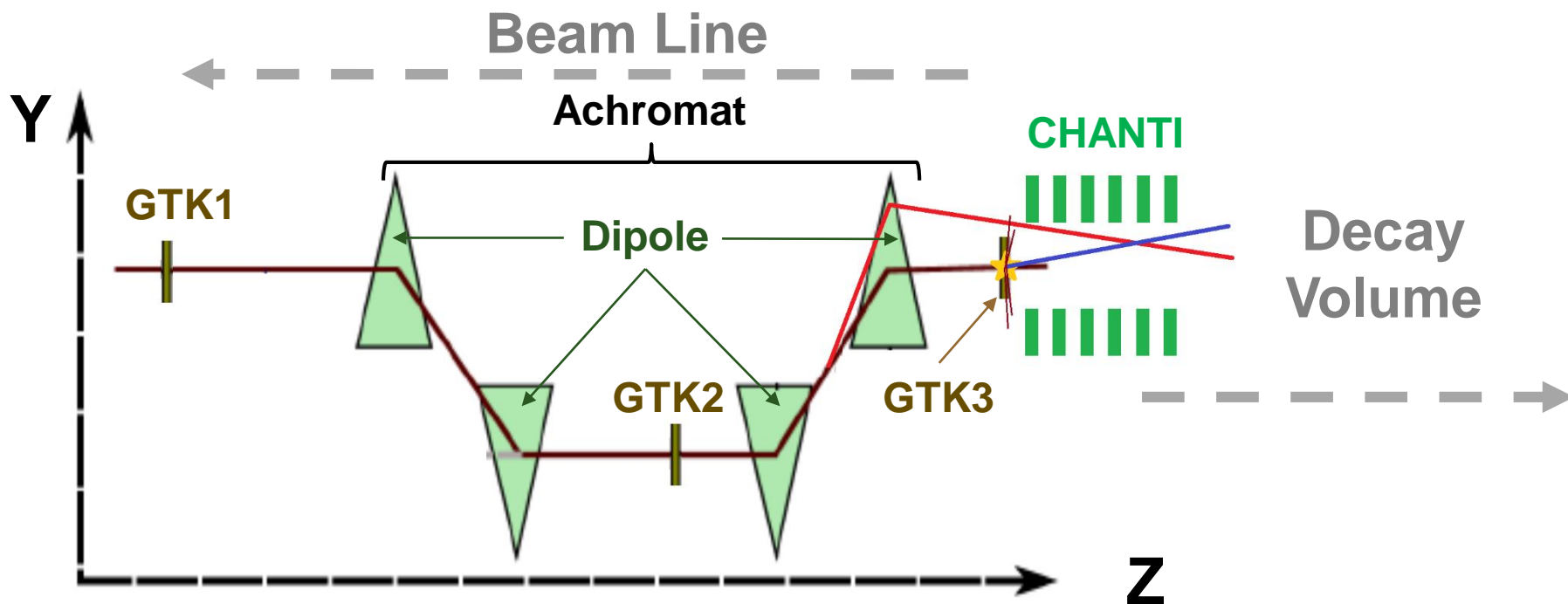
# $K^+ \rightarrow \pi^+ \pi^- e^+ \nu$ Background: Result

$$N_{\pi\pi e\nu}^{expected} = 0.018_{-0.017}^{+0.024}|_{stat} \pm 0.009_{syst}$$



# Upstream Background

- Decays along the beam line; beam particle interactions in GTK
- Random track matched in GTK and/or possible additional energy not detected

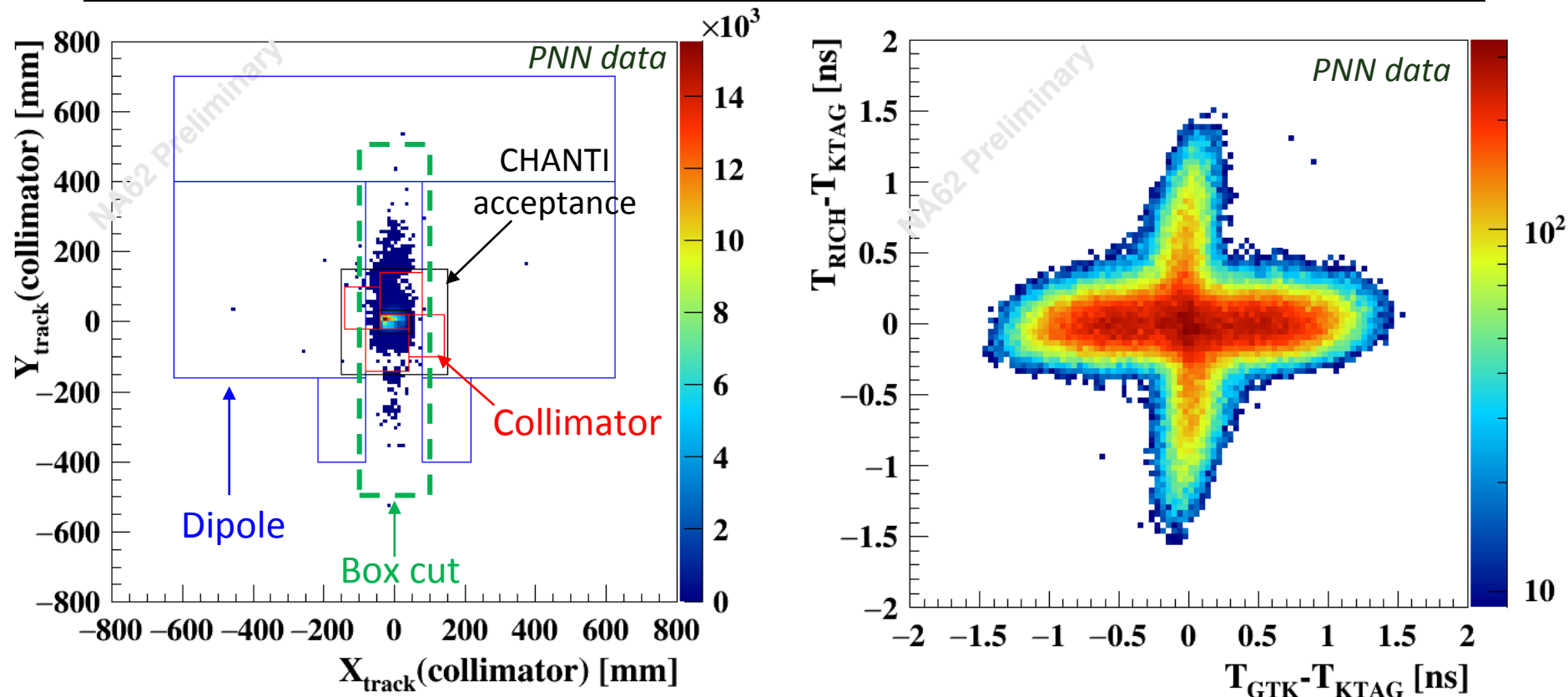


- Specific cuts against upstream background
  - 1)  $K - \pi$  matching
  - 2)  $Z_{\text{vertex}}$
  - 3) CHANTI veto
  - 4) Cut on  $X, Y \pi^+$  at the entrance of the decay volume («Box cut»)

# Upstream Background

- Decays along the beam line; beam particle interactions in GTK
- Random track matched in GTK and/or possible additional energy not detected

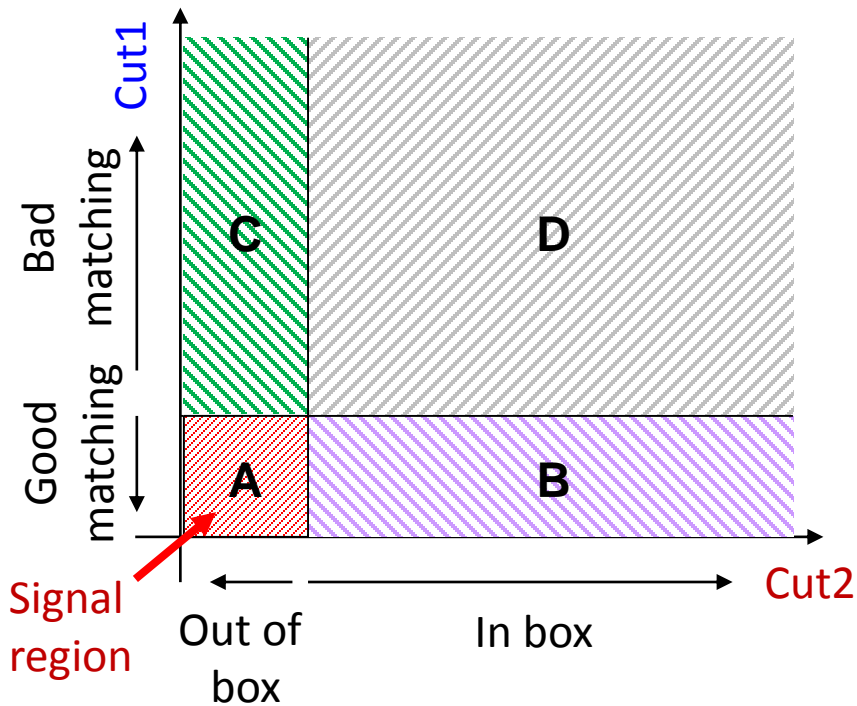
*PNN data:  $\pi\nu\nu$  selection,  $K - \pi$  matching inverted,  $Z_{vertex}$ , Box cut and CHANTI not applied*



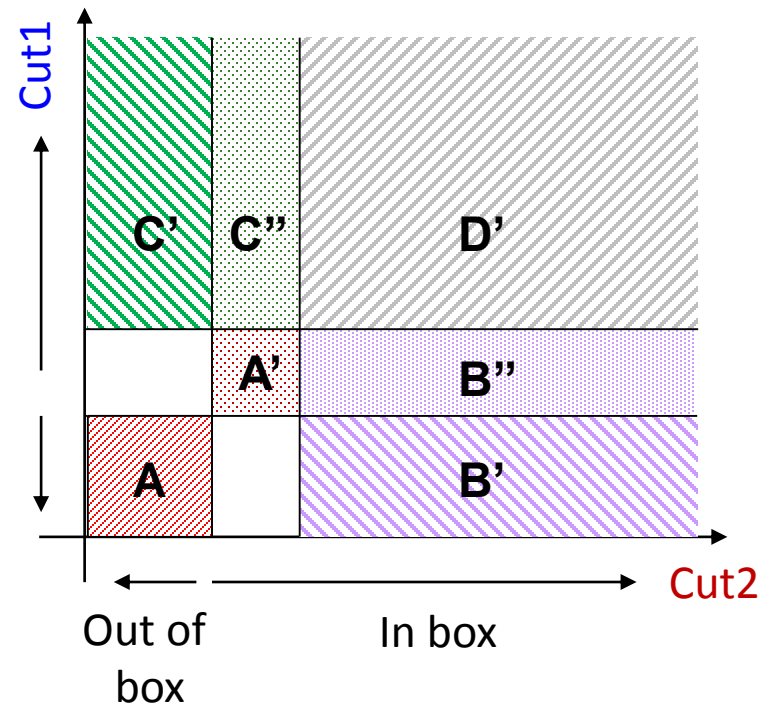
# Upstream Background: Estimation

- Bifurcation on PNN triggered data inverting: **K** –  $\pi$  matching (cut1); Cut box (cut2)
- BCD: reference sample; B'C'D'B''C'': control samples  $\rightarrow$  4 control samples studied
- A: signal region; A': control region

$$A(\text{exp}) = \frac{B \cdot C}{D}$$

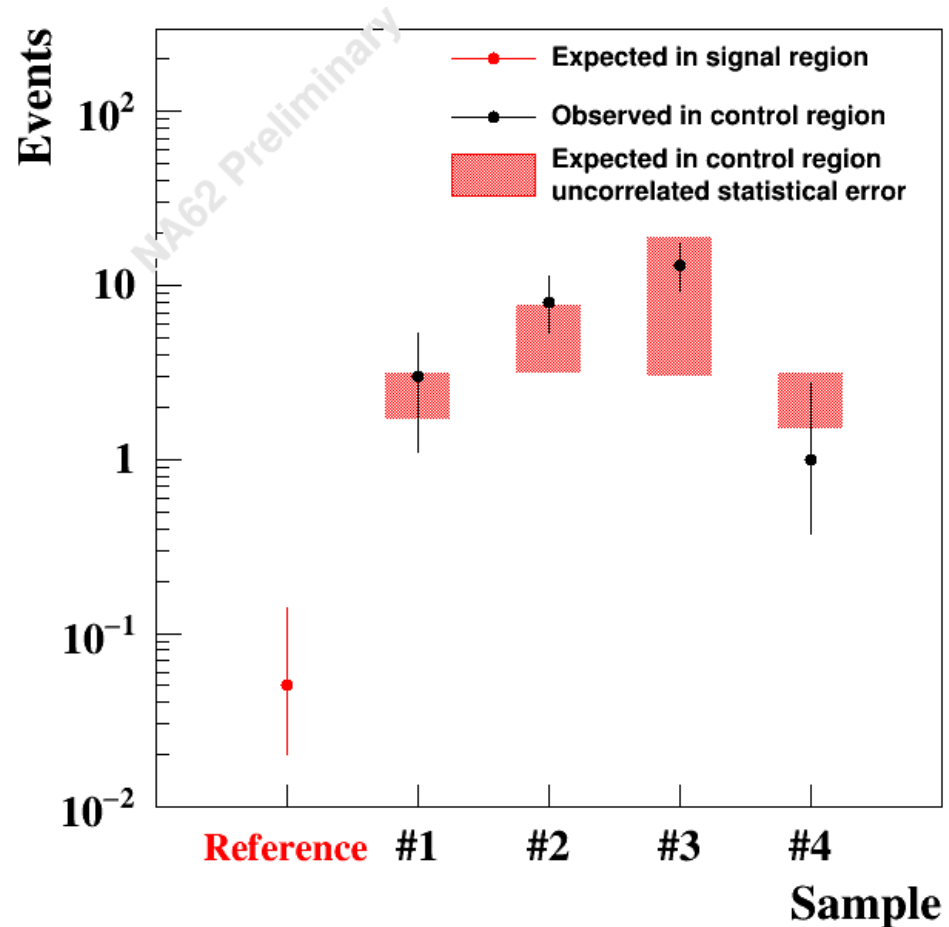
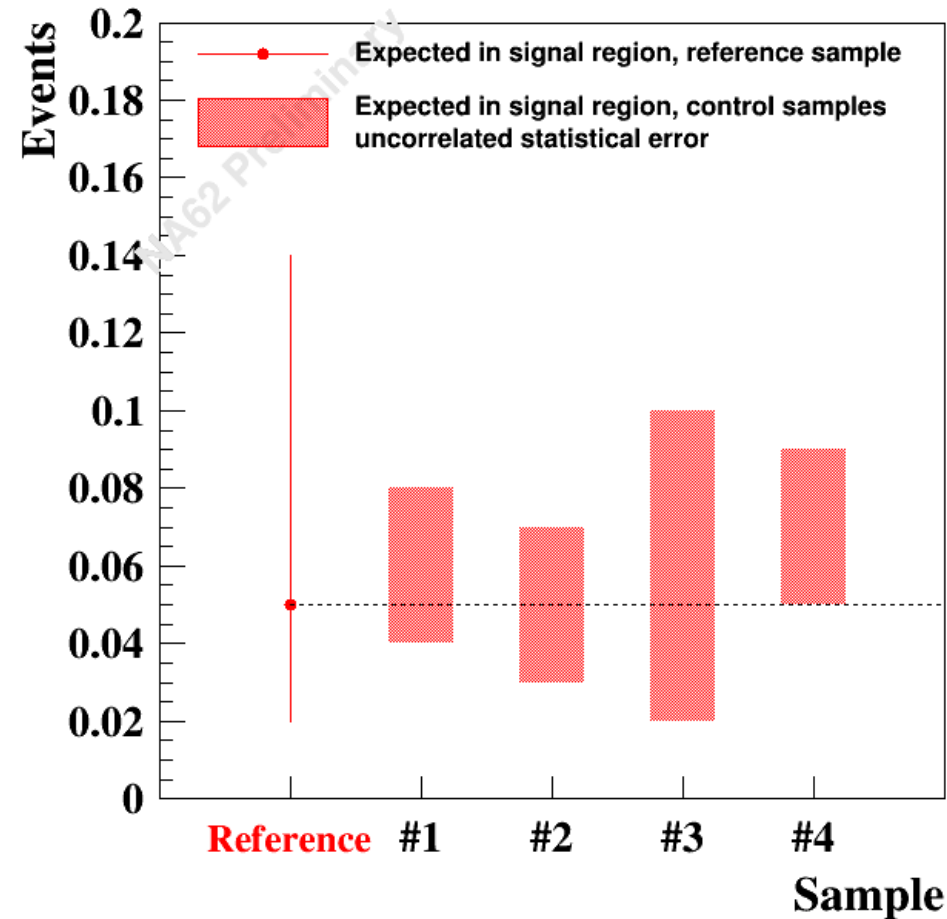


$$A(\text{exp}) = \frac{B' \cdot C'}{D'} \quad A'(\text{exp}) = \frac{B'' \cdot C''}{D'}$$



# Upstream Background: Result

$$N_{upstream}^{exp} = 0.050^{+0.090}_{-0.030} |_{stat}$$



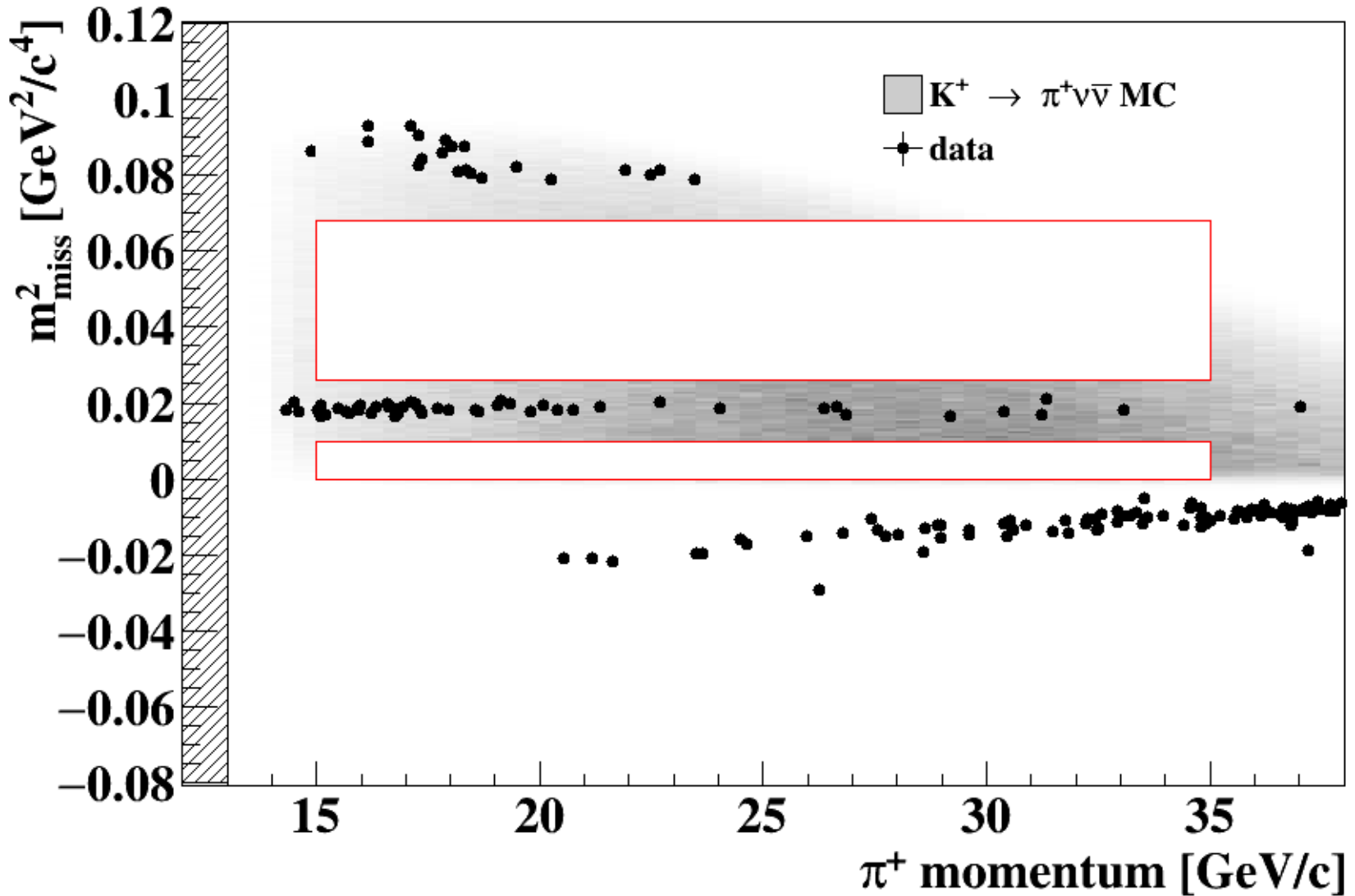
# Background Summary

Process	Expected events in R1+R2
$K^+ \rightarrow \pi^+ \nu \bar{\nu}$ (SM)	$0.267 \pm 0.001_{stat} \pm 0.020_{syst} \pm 0.032_{ext}$
<b>Total Background</b>	<b><math>0.15 \pm 0.09_{stat} \pm 0.01_{syst}</math></b>
$K^+ \rightarrow \pi^+ \pi^0(\gamma)$ IB	$0.064 \pm 0.007_{stat} \pm 0.006_{syst}$
$K^+ \rightarrow \mu^+ \nu(\gamma)$ IB	$0.020 \pm 0.003_{stat} \pm 0.003_{syst}$
$K^+ \rightarrow \pi^+ \pi^- e^+ \nu$	$0.018^{+0.024}_{-0.017} _{stat} \pm 0.009_{syst}$
$K^+ \rightarrow \pi^+ \pi^+ \pi^-$	$0.002 \pm 0.001_{stat} \pm 0.002_{syst}$
Upstream Background	$0.050^{+0.090}_{-0.030} _{stat}$

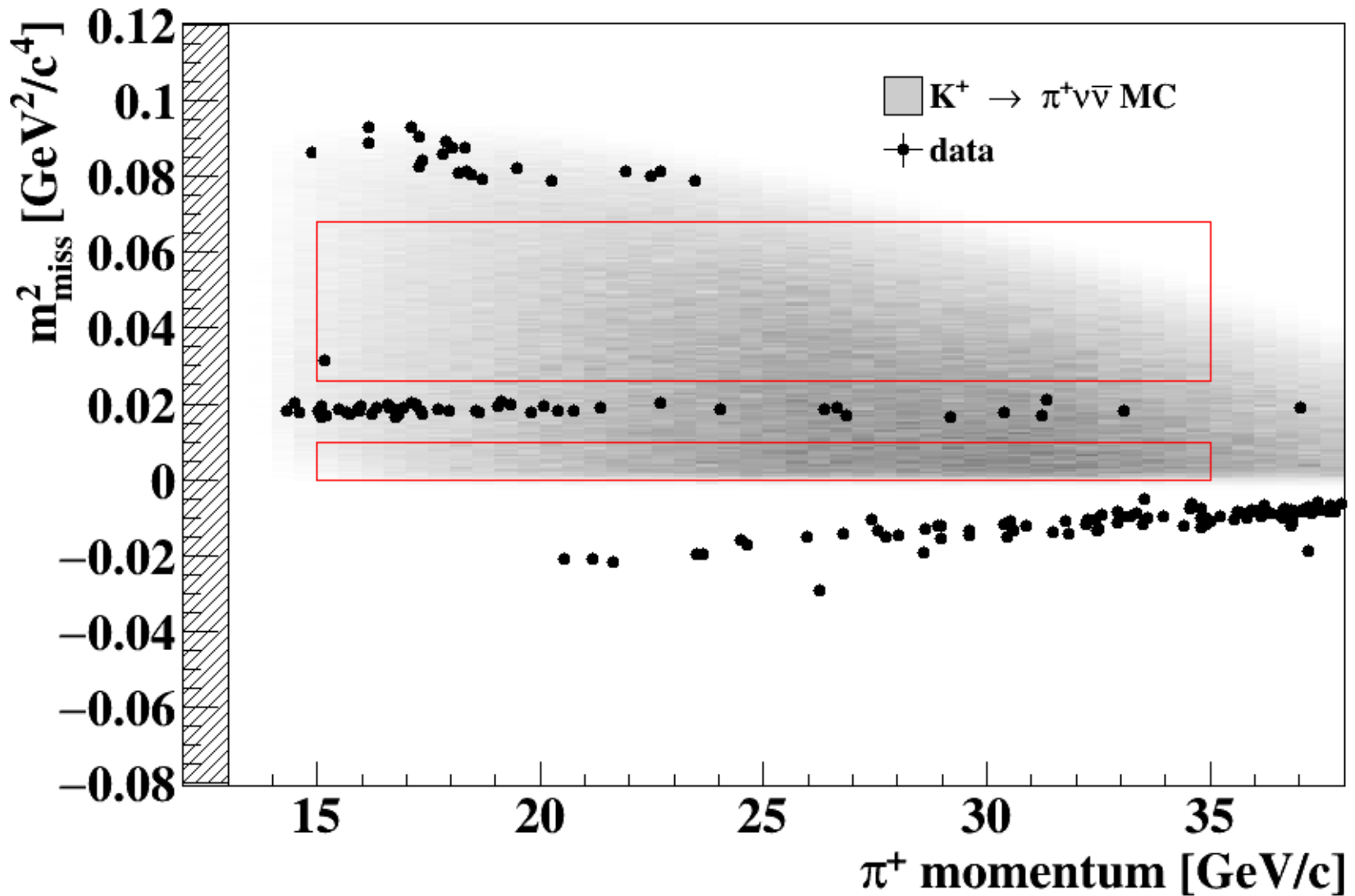
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# 4. Result

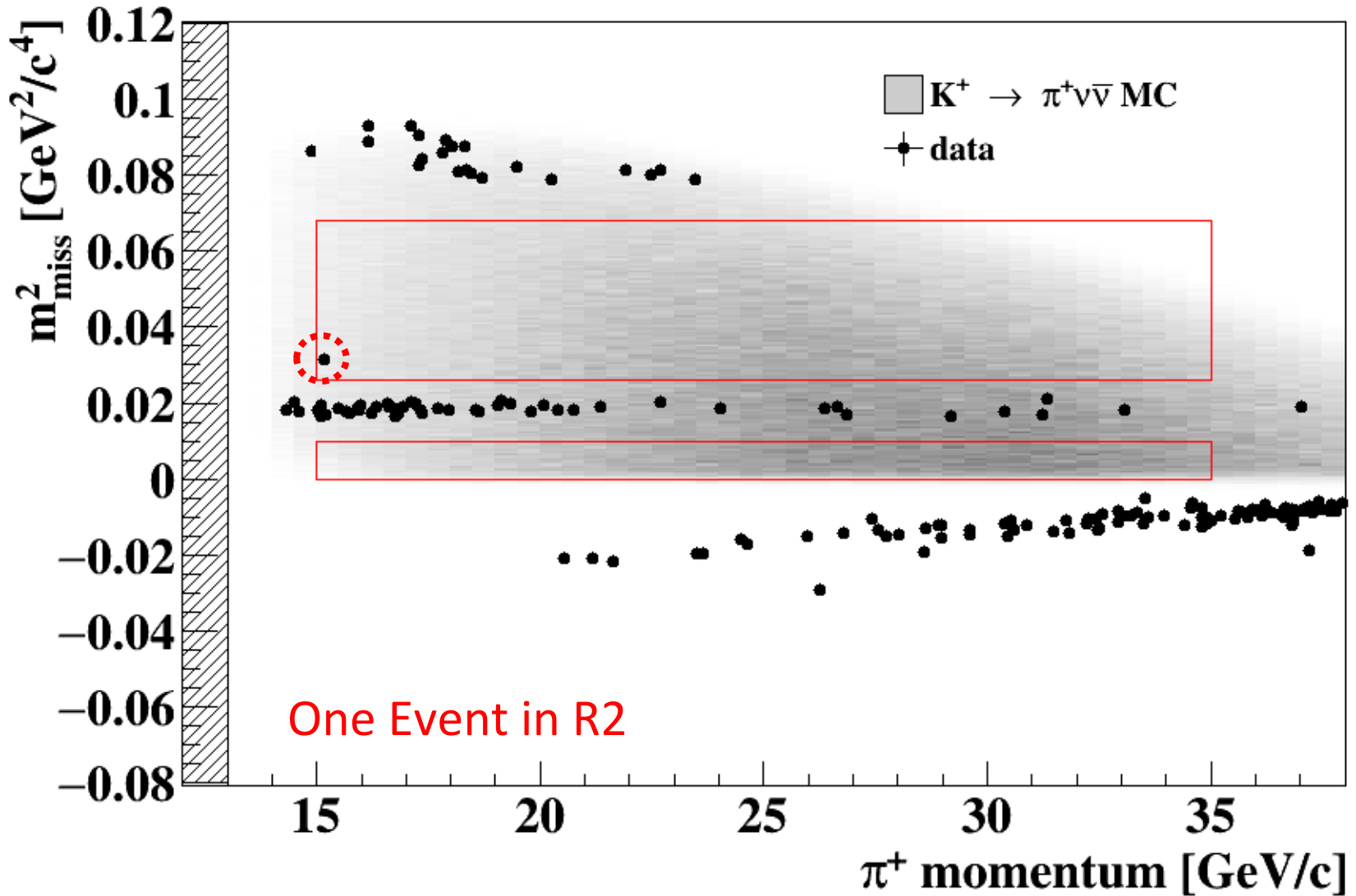
# Result



# Result

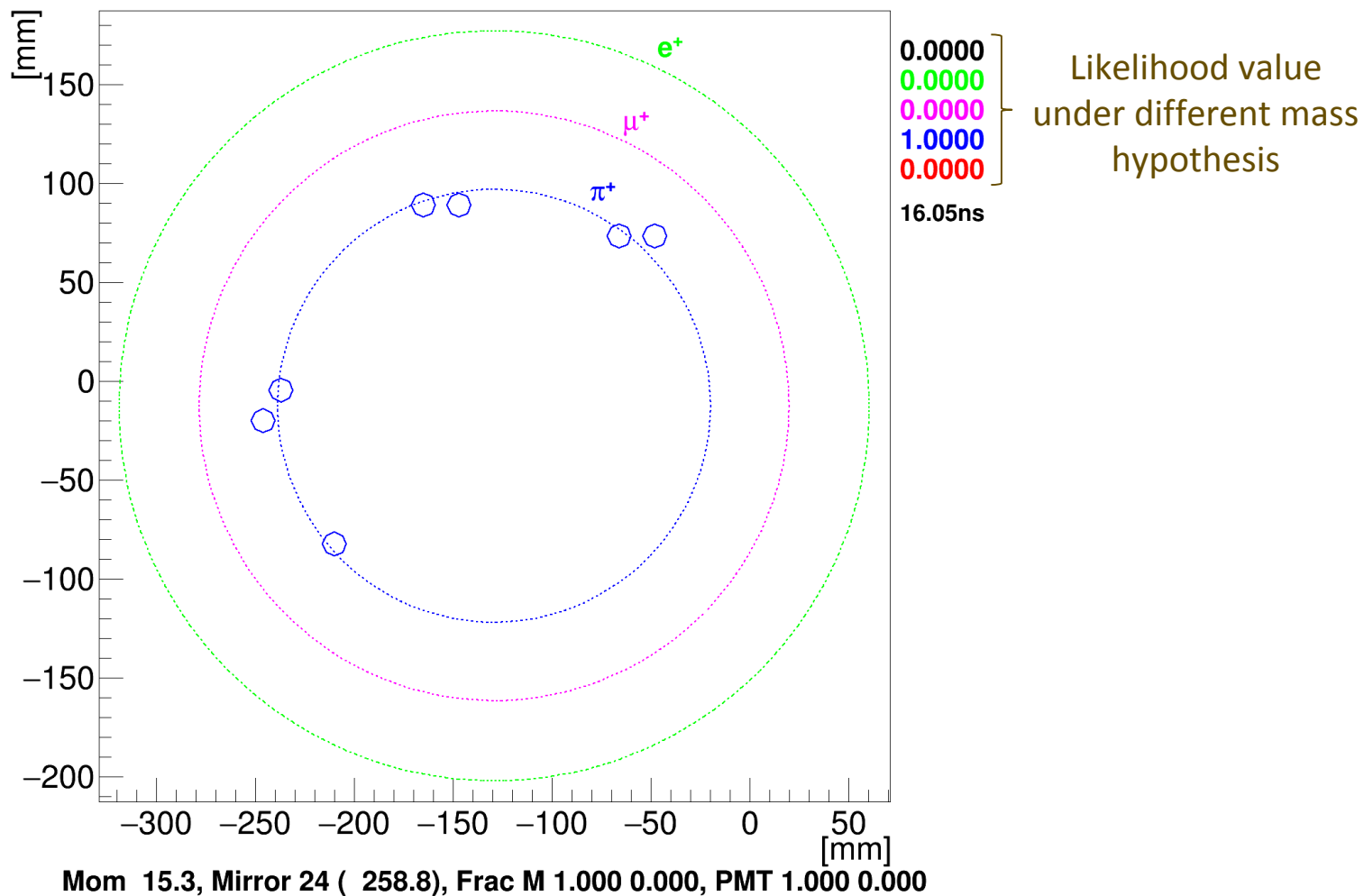


# Result



# The Event in the RICH

Run 6646, Burst 953, Event 543854, Track 1



# Preliminary Results

Events Observed	1
SES	$(3.15 \pm 0.01_{\text{stat}} \pm 0.24_{\text{syst}}) \cdot 10^{-10}$
Expected Background	$0.15 \pm 0.09_{\text{stat}} \pm 0.01_{\text{syst}}$

$$BR(K^+ \rightarrow \pi^+ \nu \bar{\nu}) < 11 \times 10^{-10} \text{ @ 90\% CL}$$

$$BR(K^+ \rightarrow \pi^+ \nu \bar{\nu}) < 14 \times 10^{-10} \text{ @ 95\% CL}$$

- Expected limit:  $BR(K^+ \rightarrow \pi^+ \nu \bar{\nu}) < 10 \times 10^{-10} \text{ @ 95\% CL}$
- For comparison  $BR(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = 2.8_{-2.3}^{+4.4} \times 10^{-10} \text{ @ 68\% CL}$

$$BR(K^+ \rightarrow \pi^+ \nu \bar{\nu})_{SM} = (0.84 \pm 0.10) \times 10^{-10} \quad \text{SM prediction}$$

$$BR(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (1.73_{-1.05}^{+1.15}) \times 10^{-10} \quad \text{BNL E949/E787 Kaon Decay at Rest}$$

# Prospects

- Processing of 2017 data on going
  - 20 more than the presented statistic
  - Upstream background reduction expected
  - Improvements on reconstruction efficiency
- Preparing 2018 data taking
  - 218 days including stops
  - Studies to improve signal acceptance on going
- 20 SM events expected before LS2
- Running after 2018 to be approved
  - Conditions for ultimate sensitivity under evaluation

# Summary

- The new NA62 decay in flight technique to study  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  works
- One event observed in 2016 data
- $BR(K^+ \rightarrow \pi^+ \nu \bar{\nu}) < 14 \times 10^{-10}$  @ 95% *CL*
- O(20) events expected from 2017+2018 data.