

Lecture 5

# Neutrinos

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CERN Summer Student Lectures

July 9-13, 2018

How can they cross the earth or the sun without being stopped?

How can we get to know their masses and mixings?

How can they change their nature by travelling  
in empty space?

Can we count how many there are?

# What's peculiar about them?

## 1. The only matter particles without strong or em interactions

Consider neutrinos from the sun

$$l^\nu = \frac{1}{n\sigma^\nu(E_\nu \approx 1\text{MeV})} \quad \sigma^\nu \approx 10^{-43}\text{cm}^2 \frac{E_\nu}{\text{MeV}} \quad n \approx 100\text{g/cm}^3$$
$$\Rightarrow l^\nu \approx 10^{18}\text{cm} \approx 1 \text{ light year} \quad \Phi^\nu \approx \frac{10^{11}}{\text{cm}^2 \cdot \text{sec}}$$

**!! Seen in experiment from  $^{37}\text{Cl} + \nu_e \rightarrow ^{37}\text{Ar} + e^-$ , by counting a few  $^{37}\text{Ar}$  atoms in a 4000-liter tank of  $\text{CCl}_4$  !!**

## 2. The only matter particles without a mass

$$\lambda_e \bar{L} h^+ e_R = \lambda_e (\bar{\nu}_L (h^-)^* + \bar{e}_L (h^0)^*) e_R \rightarrow \lambda_e \nu \bar{e}_L e_R$$

# What about the Lorentz symmetry?

Under Lorentz:  $J=0, 1/2, 1$  for  $h, \Psi, A_\mu$

However for  $J=1/2$   $\Psi(x) = \Psi_L(x) + \Psi_R(x)$  "L-R chirality"

In general, 3 different cases possible:

1. massive (charged or neutral) = "Dirac"  $\Psi = \Psi_L + \Psi_R, \bar{\Psi} = \bar{\Psi}_L + \bar{\Psi}_R$

$$\Psi(\uparrow\downarrow) \neq \bar{\Psi}(\uparrow\downarrow)$$

2. massless (neutral) = "Weyl"  $\nu = \nu_L, \bar{\nu} = \bar{\nu}_R$  (chirality = "helicity")

$$\nu(\leftarrow) \neq \bar{\nu}(\Rightarrow)$$

3. massive (neutral) = "Majorana"  $\nu_M = \nu_L + (\nu_L)^c \equiv \bar{\nu}_M$

$$\nu(\uparrow\downarrow) = \bar{\nu}(\uparrow\downarrow)$$

$\Psi_L$  and  $\Psi_R$  can transform differently under the  
"internal" symmetry group

They do not in QCD and QED (hence P and C conserved, see below)

# Two ways to give the neutrinos a mass

1. Add 3  $\nu_R$ 's (  $(1, 1)_0$  under  $SU(3) \times SU(2) \times U(1)_Y$  )

$$\Delta\mathcal{L}_Y = \lambda^\nu \bar{L}_L h \nu_R \rightarrow \lambda^\nu v \bar{\nu}_L \nu_R \quad \text{"Dirac neutrinos"}$$

$m_\nu = \lambda^\nu v$  very similar to any charged fermion mass

Lepton Number still conserved  $L(\nu_R) = L(\nu_L)$

2. Introduce a dimension 5 interaction

(the only one allowed of dim=5)

$$\Delta\mathcal{L} = \frac{\lambda^\nu}{M} (L_L h^+) (L_L h^+) \rightarrow \frac{\lambda^\nu v^2}{M} \nu_L \nu_L \quad \text{"Majorana neutrinos"}$$

$m_\nu = \frac{\lambda^\nu v^2}{M}$  Lepton Number "broken" whenever  $m_\nu$  relevant

(  $m_\nu$  small  $\leftrightarrow M \gg v$  )

# Neutrino mixing

As soon as neutrinos pick up a mass (remember the quark case):

$$\frac{g}{\sqrt{2}} W_{\mu} \bar{e}_{Li} \gamma_{\mu} \nu_{Li} \Rightarrow \frac{g}{\sqrt{2}} W_{\mu} \bar{e}_{Li} \gamma_{\mu} V_{ij}^{PMNS} \nu_{Lj}$$

where only on the r.h.s.  $\nu_{Li}$  are true (physical) states of definite mass

$V^{PMNS}$  relevant in any observable with mass/energy scales not too much larger than the neutrino mass itself

By going through analogous considerations as in the quark case:

$V^{PMNS}(\theta_{12}, \theta_{23}, \theta_{13}; \delta^l)$  in the Dirac case

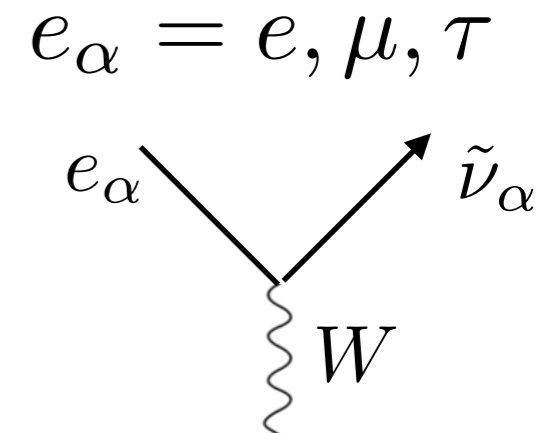
$V^{PMNS}(\theta_{12}, \theta_{23}, \theta_{13}; \delta^l : \phi_1, \phi_2)$  in the Majorana case

# Neutrino oscillations 1

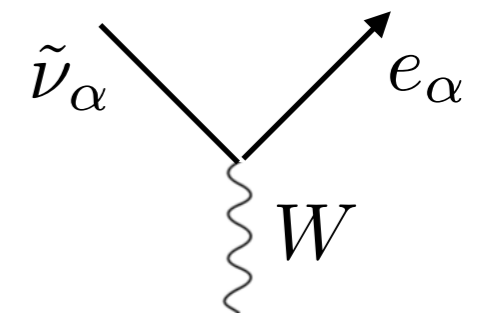
## Simplified (naive) picture

Consider:

(1) A neutrino produced "at  $x=0$ " by the interaction with a corresponding charged lepton



(2) A neutrino detected "at  $x=R$ " by the appearance of a corresponding charged lepton



Remember that

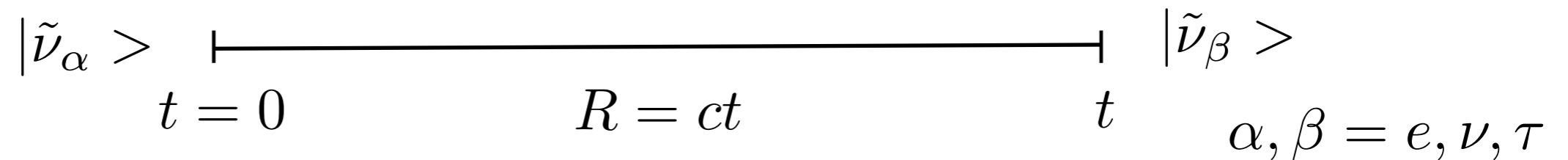
$$\tilde{\nu}_\alpha = V_{\alpha i}^{PMNS} \nu_i$$

and that

$$i \frac{d}{dt} |\nu_i, t\rangle = E_i |\nu_i, t\rangle; \quad |\nu_i, t\rangle = e^{iE_i t} |\nu_i, 0\rangle$$

$$E_i = \sqrt{p^2 + m_i^2} \approx p + \frac{m_i^2}{2p}$$

## Neutrino oscillations 2



Question: What is the probability that  $\tilde{\nu}_\alpha$  at time  $t=0$  has turned to  $\tilde{\nu}_\beta$  at time  $t$ ?

$$|\tilde{\nu}_\alpha, t \rangle = \sum_\beta \Psi_\beta(t) |\tilde{\nu}_\beta \rangle$$

$$P(\tilde{\nu}_\alpha, 0; \tilde{\nu}_\beta, t) = | \langle \tilde{\nu}_\beta | \tilde{\nu}_\alpha, t \rangle |^2$$

$$\langle \tilde{\nu}_\beta | \tilde{\nu}_\alpha, t \rangle = \sum_{i=1,2,3} V_{i\beta}^* e^{iE_i t} V_{i\alpha} \approx e^{ipt} \sum_i V_{i\beta}^* V_{i\alpha} e^{i \frac{m_i^2 t}{2p}}$$

The overall phase does not count in P



From  $i, j = 1, 2, 3$  there are 2 independent  $\Delta m_{ij}^2 = m_i^2 - m_j^2$

Oscillations do not depend on the absolute scale of neutrino masses

# Neutrino oscillations 3

## Apparent paradoxes of the simplified picture

1. Why is the neutrino state given a definite  $p$  rather than  $E$ ?
2. If  $p$  defined ( $\Delta p = 0$ ),  $\Delta x = \infty$ .  $R = ?$  Why  $t = R/c$  ?
3. If  $p$  defined, how about coherence?  $v_1 = \frac{p}{E_1} \neq v_2 = \frac{p}{E_2}$

## Sketch of the resolution

The neutrino is actually a wave packet

$$|\nu_\alpha^{phys}(x, t)\rangle = \int dE f(E) \sum_i V_{\alpha i} e^{-i(p_i x - Et)} |\nu_i\rangle \quad p_i(E) = \sqrt{E^2 - m_i^2}$$

so that  $\langle \nu_\beta | \nu_\alpha^{phys}(x, t) \rangle = \int dE f(E) \sum_i V_{\alpha i} e^{-i(p_i x - Et)} V_{\beta i}^*$

In realistic oscillation experiments, due to the properties of the source and/or of the detector:

The naive  $P$

$$|\langle \nu_\beta | \nu_\alpha^{phys}(R, t) \rangle|^2 \approx \int dE |f(E)|^2 |\sum_i V_{\alpha i} e^{-ip_i(E)R} V_{\beta i}^*|^2$$



# The 2x2 case

$$V_{(2 \times 2)} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix}$$

$$P(\tilde{\nu}_1, 0; \tilde{\nu}_2, R) = \sin^2 2\theta \sin^2 \frac{R\Delta m_{12}^2}{4E}$$
$$= \sin^2 2\theta \sin^2 \left( 1.27 \frac{R(\text{km})\Delta m_{12}^2(\text{eV}^2)}{4E(\text{GeV})} \right)$$

$$P(\tilde{\nu}_1, 0; \tilde{\nu}_1, R) = 1 - P(\tilde{\nu}_1, 0; \tilde{\nu}_2, R)$$

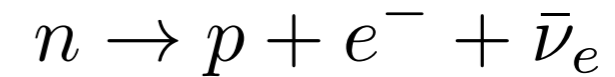
2 ways **NOT** to see any oscillation:

1.  $\frac{E(\text{GeV})}{R(\text{km})} \gg \Delta m_{12}^2(\text{eV}^2) \Rightarrow$  (no effect)  $P_{12} \approx 0$

2.  $\frac{E(\text{GeV})}{R(\text{km})} \ll \Delta m_{12}^2(\text{eV}^2) \Rightarrow$  (an average effect)  $P_{12} \approx \frac{1}{2} \sin^2 2\theta$

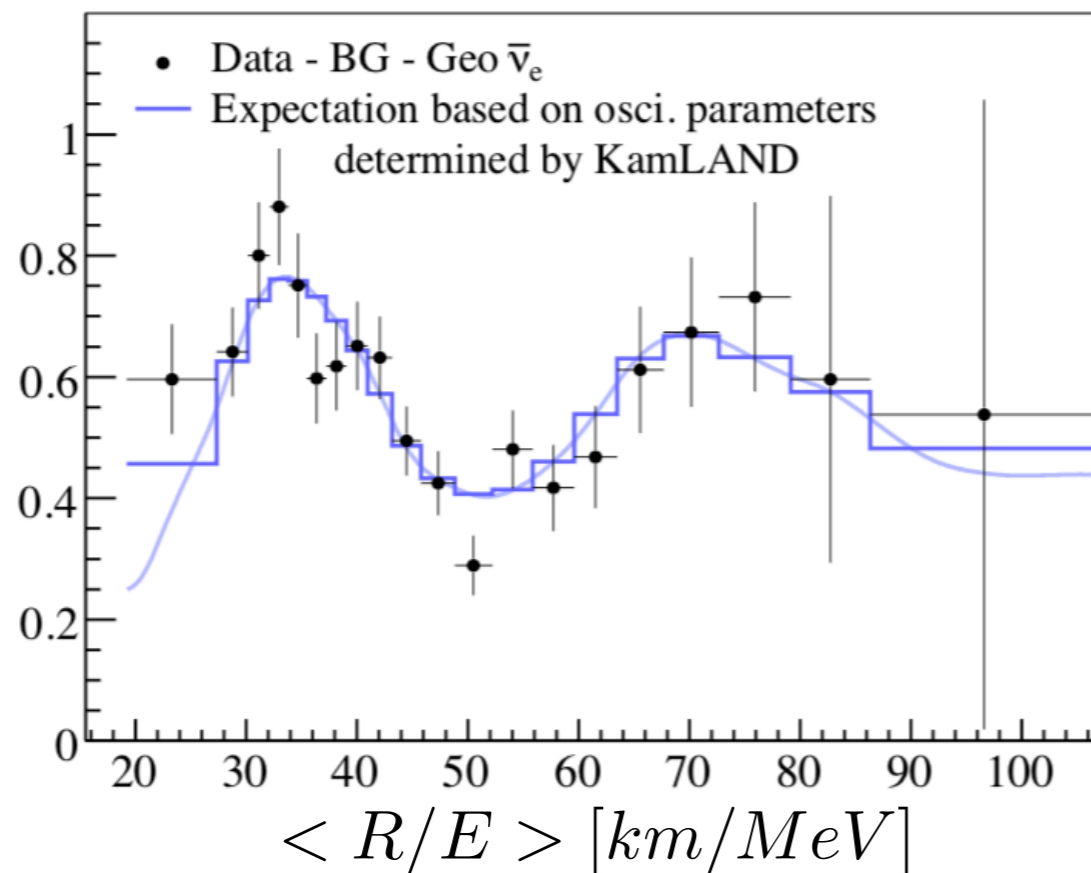
# Two remarkable examples

(both using reactor neutrinos)



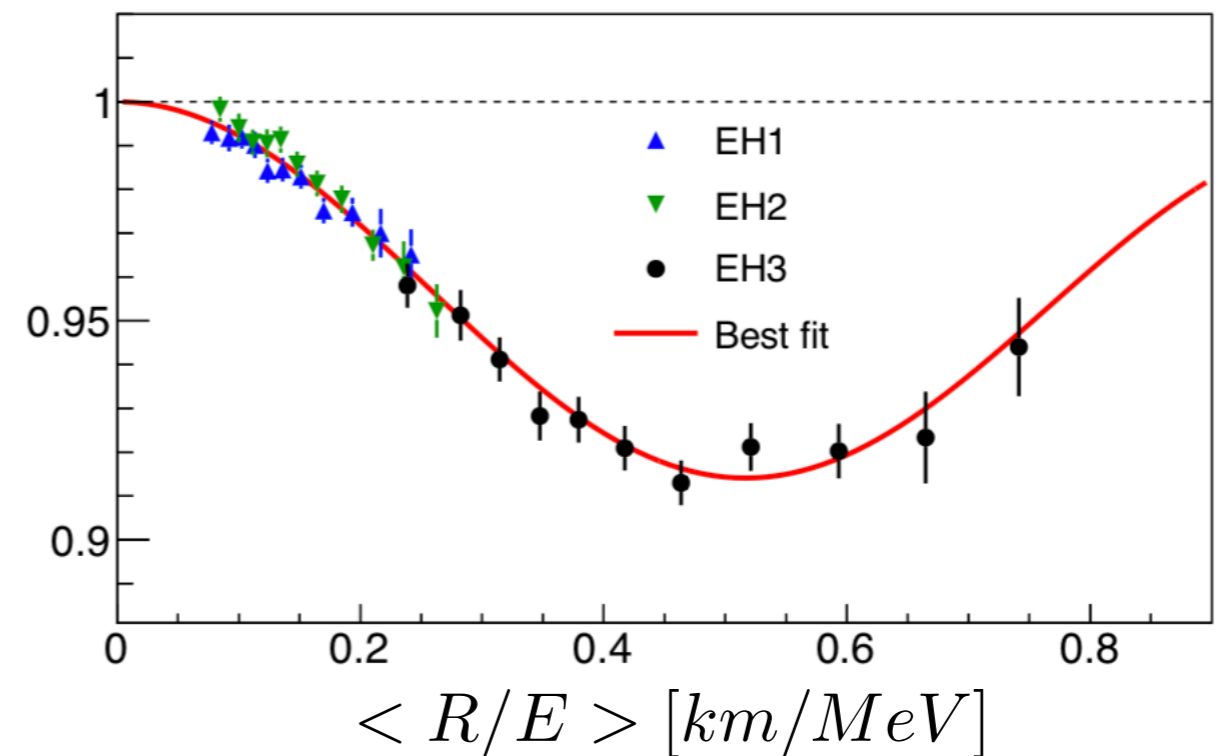
$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e)$$

## Kamland 2008



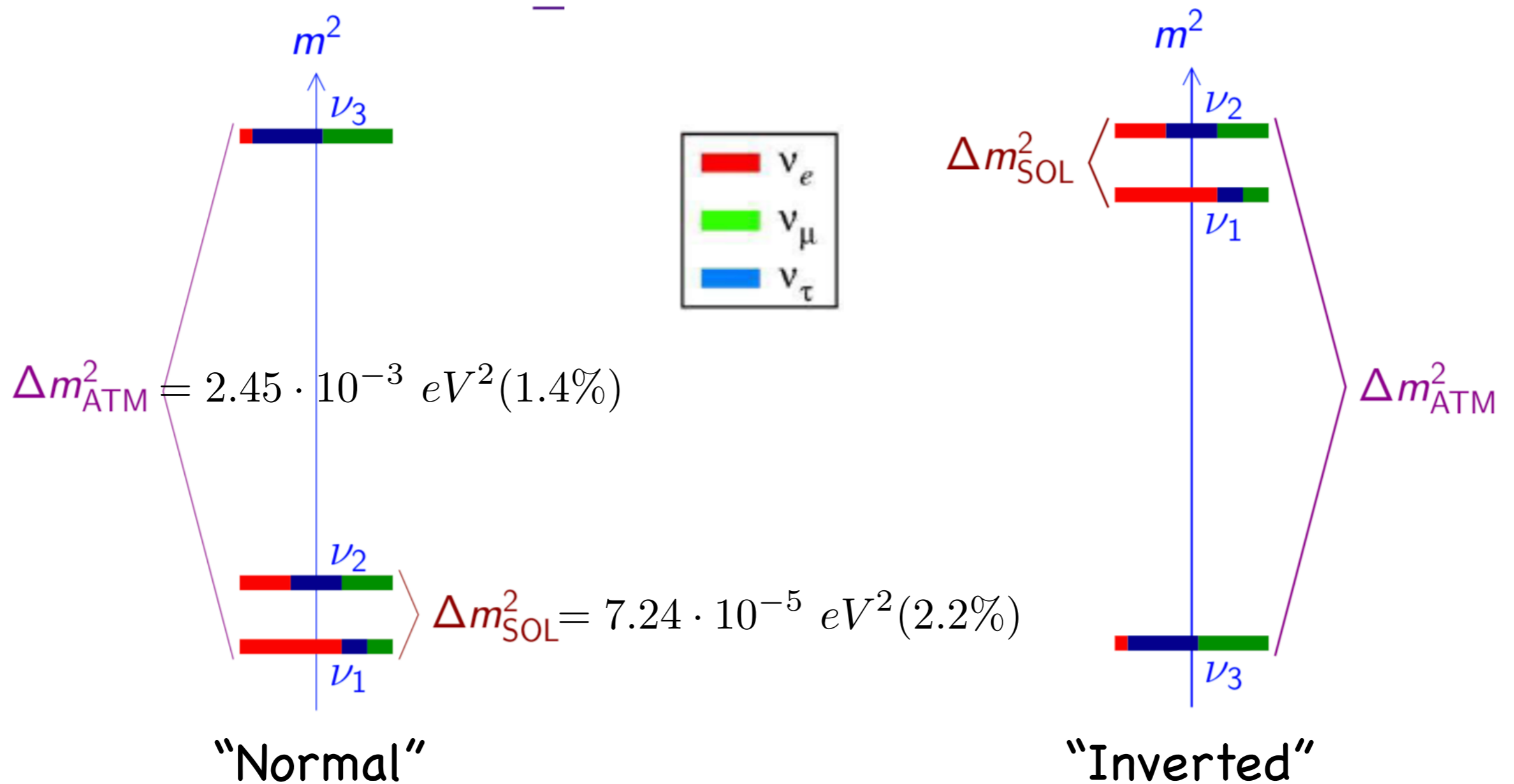
$$\Delta m_{SOL}^2 = (7.58 \pm 0.14 \pm 0.14) 10^{-5} \text{ eV}^2$$
$$\tan^2 \theta_{12} = 0.56 \pm 0.10 \pm 0.10$$

## DayaBay 2016



$$\Delta m_{ATM}^2 = (2.50 \pm 0.06 \pm 0.06) 10^{-3} \text{ eV}^2$$
$$\sin^2 2\theta_{13} = 0.0841 \pm 0.0027 \pm 0.0019$$

# Current knowledge

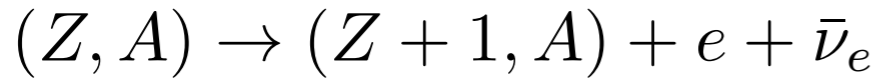


Different colours correspond to the different compositions of  $\nu_e$   $\nu_\mu$   $\nu_\tau$

The absolute scale unknown, but  $m_\nu^{\text{max}} \geq \sqrt{\Delta m_{\text{ATM}}^2} \approx 0.05 \text{ eV}$

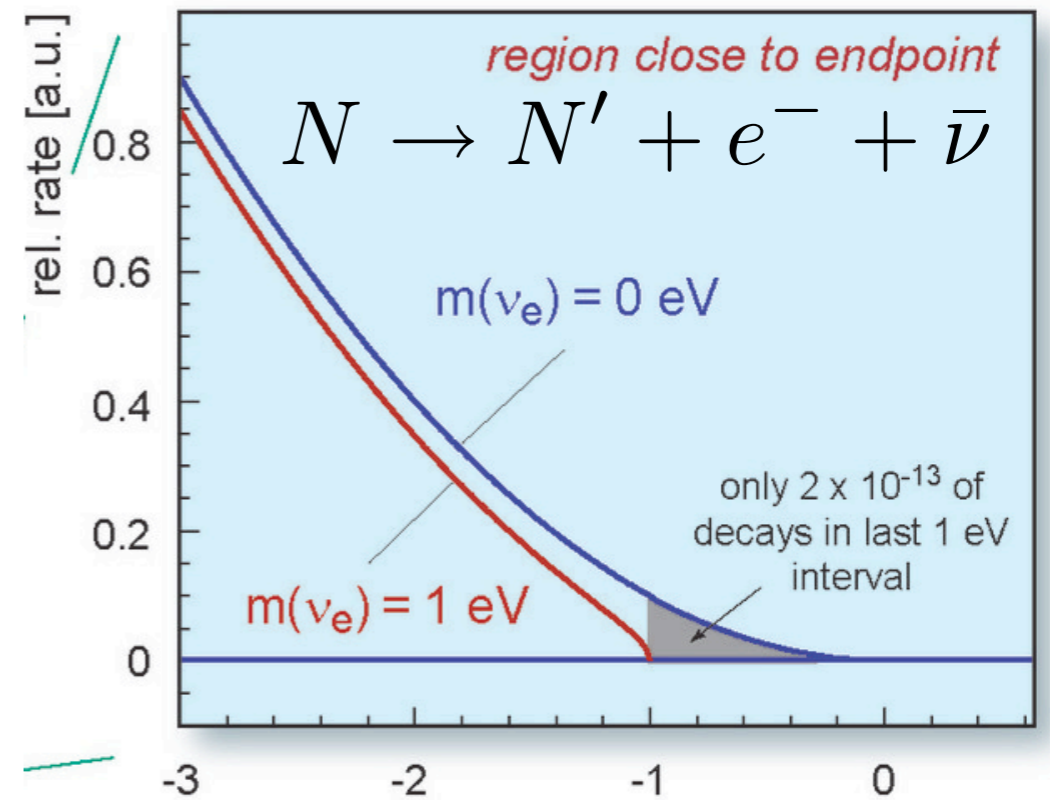
# 3 ways to be sensitive to the $\nu$ -mass scale

## 1- beta-decay endpoint

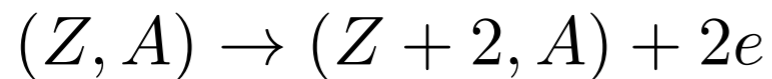


$$m_\beta = [c_{13}^2 c_{12}^2 m_1^2 + c_{13}^2 s_{12}^2 m_2^2 + s_{13}^2 m_3^2]^{\frac{1}{2}}$$

proposed by Fermi in his 1934 work

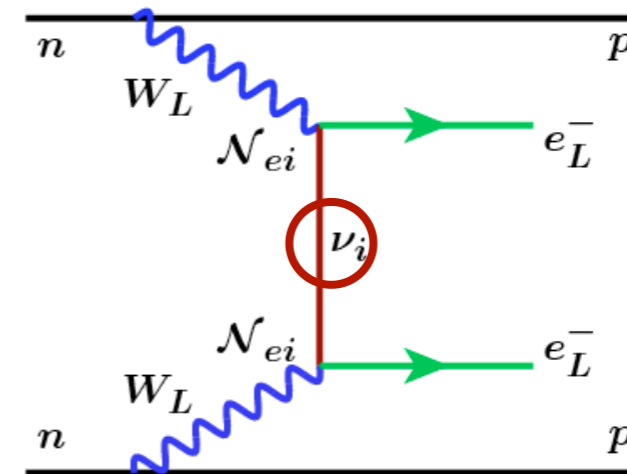


## 2- neutrino-less $\beta\beta$ -decay



$$m_{\beta\beta} = |c_{13}^2 c_{12}^2 m_1 + c_{13}^2 s_{12}^2 m_2 e^{i\phi_2} + s_{13}^2 m_3 e^{i\phi_3}|$$

Lepton number broken by 2 units



## 3 - cosmology (large scale structures)

$$\Sigma = m_1 + m_2 + m_3$$

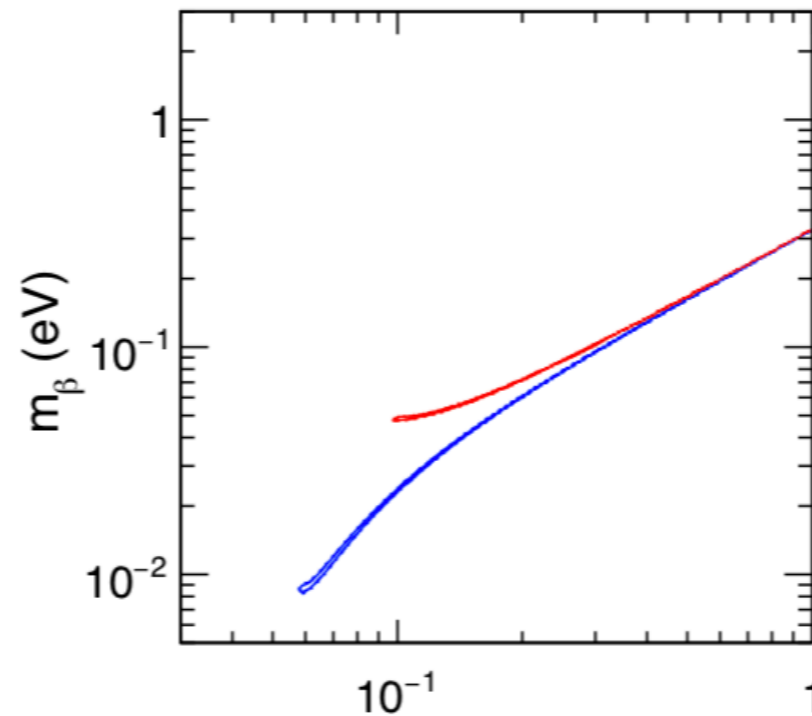
Cosmological  $\nu$ 's affect the average size of "objects" in the sky

# Constraints from current knowledge

$m_\beta$   
beta-decay  
endpoint

$m_{\beta\beta}$   
neutrino-less  
 $\beta\beta$  decay

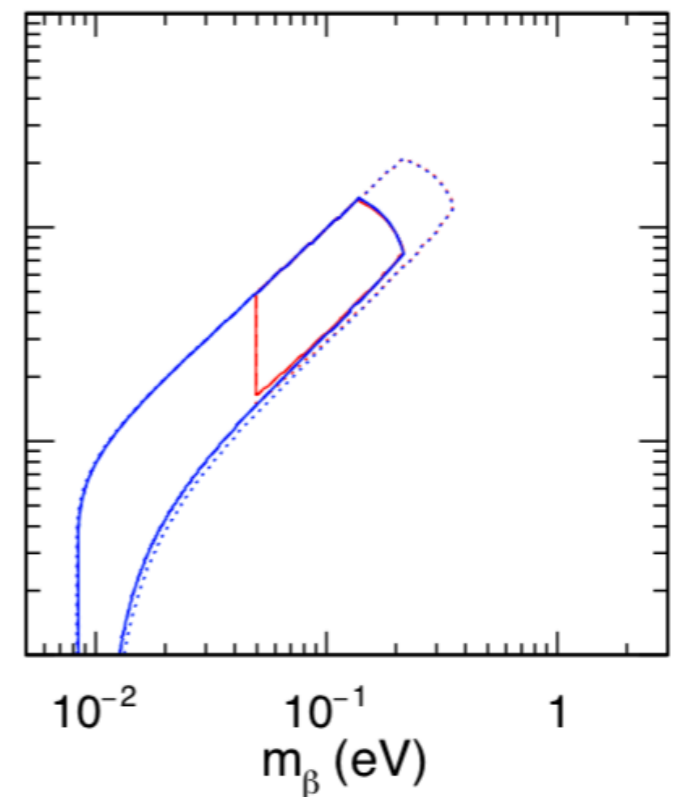
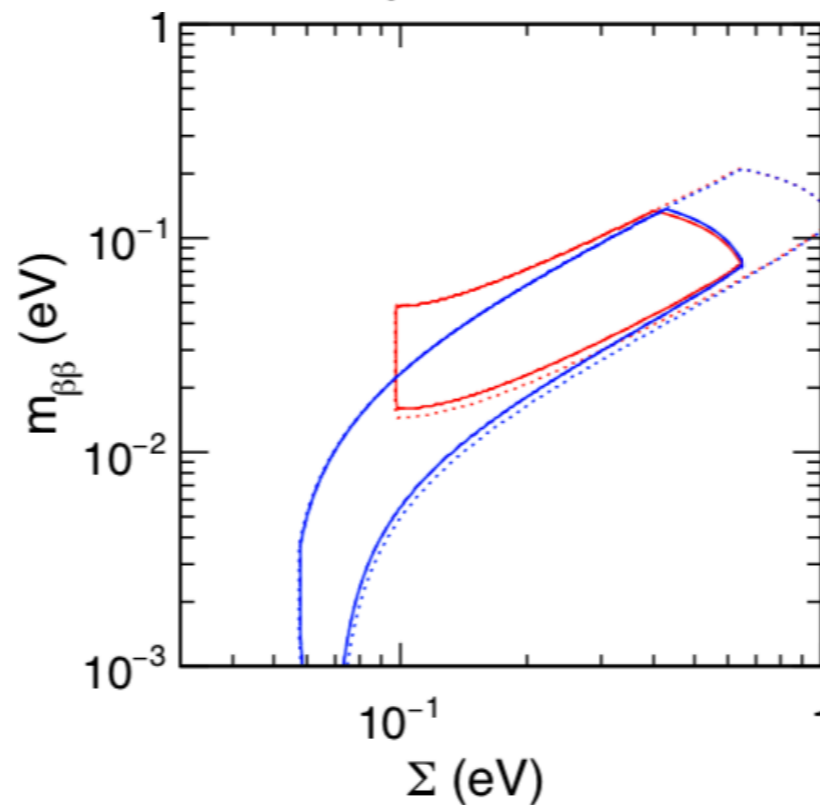
$\Sigma = m_1 + m_2 + m_3$   
large scale  
structures



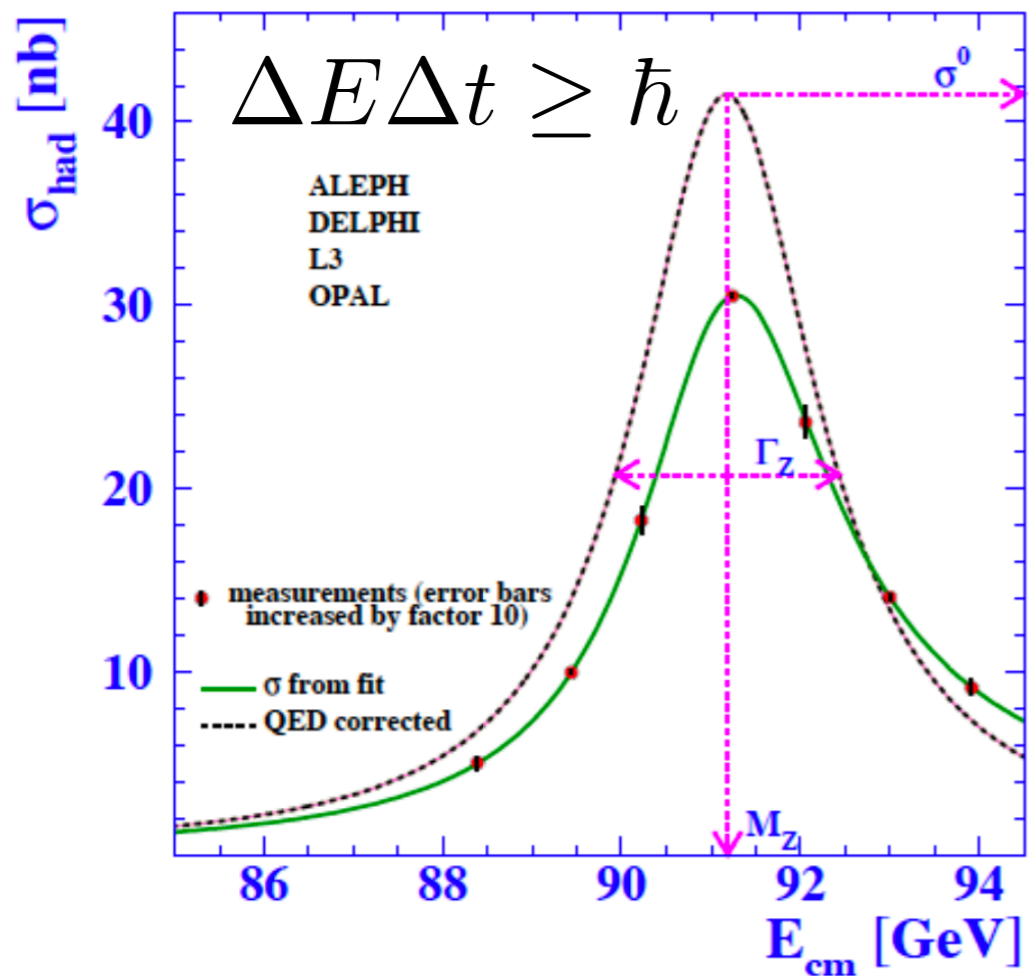
Using all data within  
 $2\sigma$  bounds

No positive measurement, YET

— "normal" spectrum  
— "inverted" spectrum



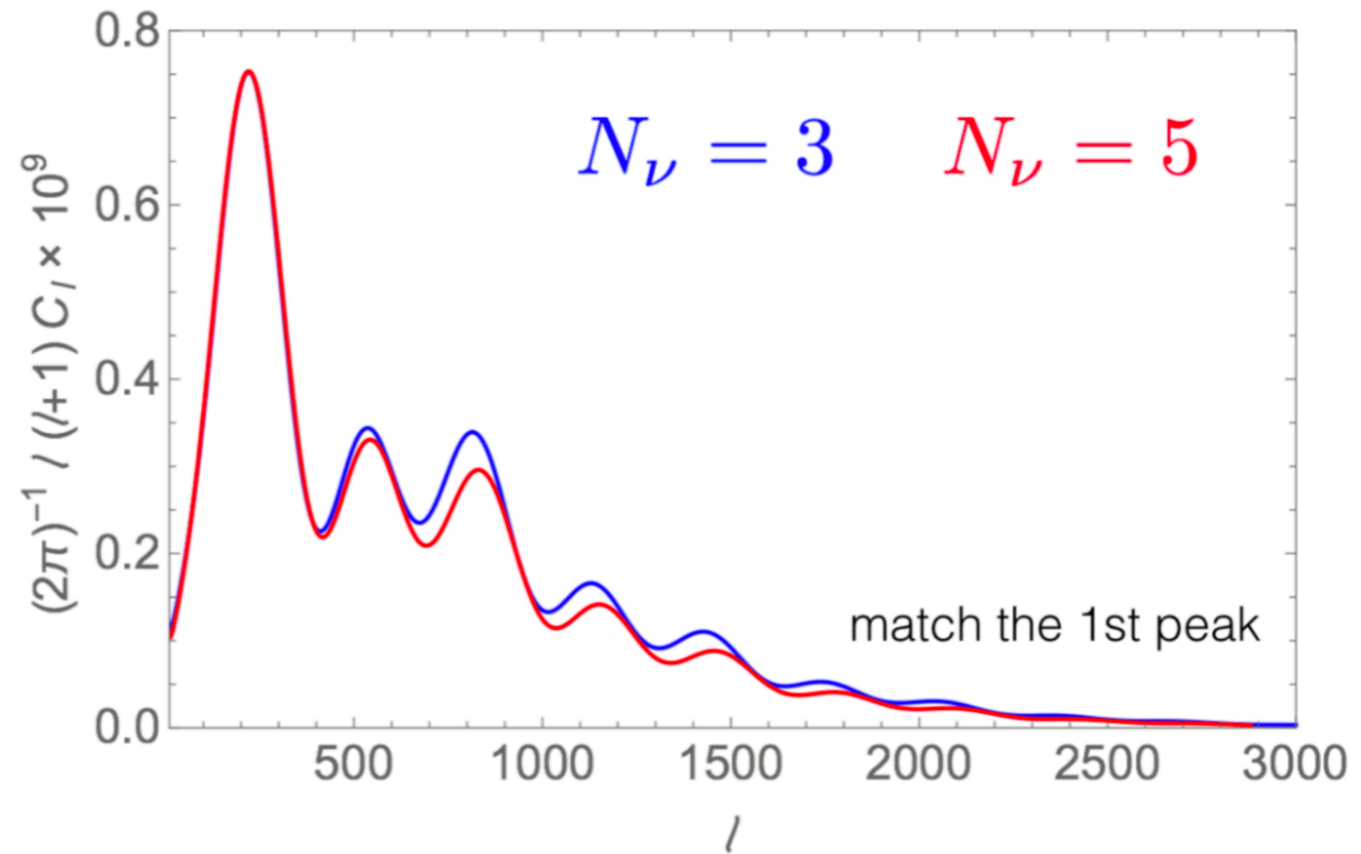
# How many neutrinos?



From precision measurements of the Z-“lineshape” and of all visible decays

$$\frac{1}{\tau_Z} \equiv \Gamma_Z^{tot} = \Gamma_Z^{vis} + N_\nu \Gamma_{Z \rightarrow \nu\bar{\nu}}$$

$$N_\nu = 2.992 \pm 0.007$$



From precision measurements of the temperature fluctuations of the Cosmic Microwave Background

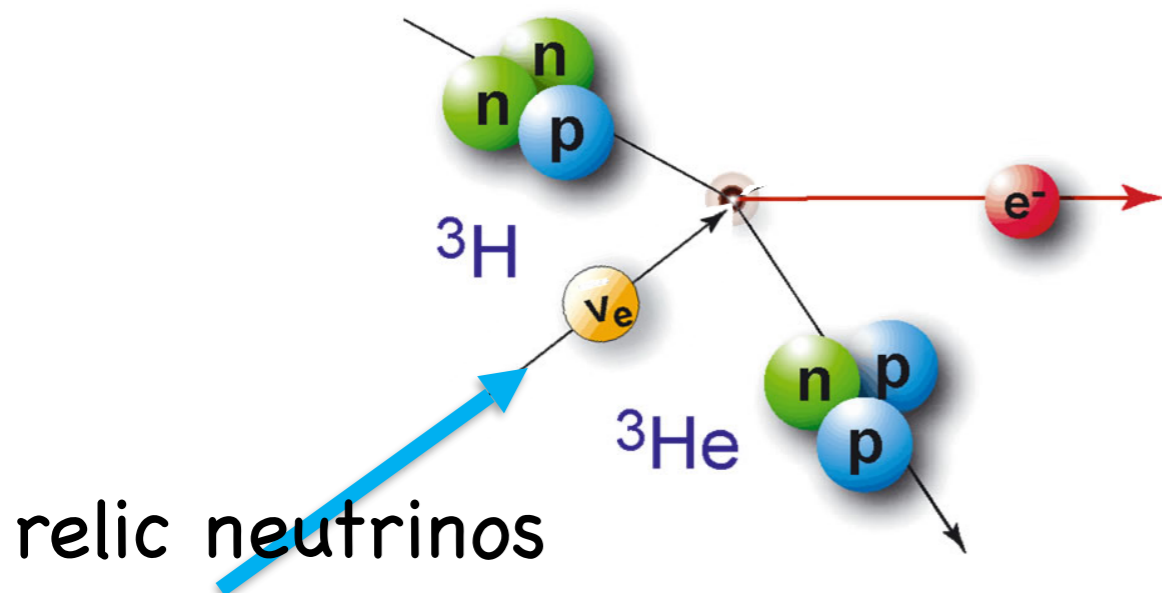
(of broader significance)

$$N_\nu = 3.04 \pm 0.33$$

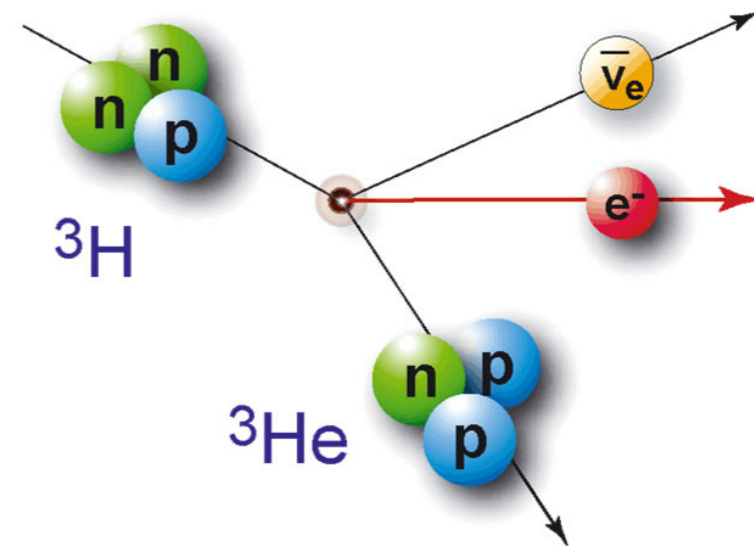
# The ultimate challenge

Can we ever detect the cosmological neutrinos?

We think to know that they are released after about 1 second from the big bang in about the same number as the relic photons, released after about 100000 years and detected with great accuracy in the CMB



The process to observe



The background to avoid

# semi/final slide of the entire course (as promised)

How many of you have I convinced  
that the SM is useful to understand  
the world (a relevant quadrant of it)?

Please, frank answers only!



# Problems of (questions for) the SM

0. Which rationale for matter quantum numbers?

$$|Q_p + Q_e| < 10^{-21} e$$

1. Phenomena unaccounted for

neutrino masses  
Dark matter

matter-antimatter asymmetry  
inflation?

2. Why  $\theta \lesssim 10^{-10}$  ?

$$\theta G_{\mu\nu} \tilde{G}^{\mu\nu}$$

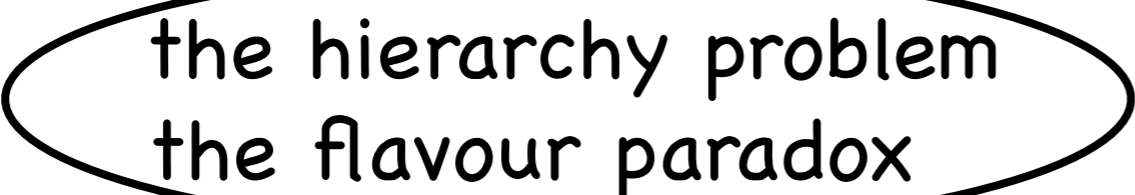
Axions

3.  $\mathcal{O}_i : d(\mathcal{O}_i) \leq 4$  only?

neutrino masses  
Gravity

Are the protons forever?

4. Lack of calculability (a euphemism)

$\Rightarrow$    $\Leftarrow$   
the hierarchy problem  
the flavour paradox