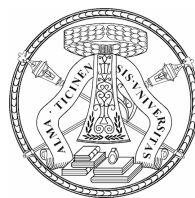


# Dual-readout calorimetry

An integrated high-resolution solution  
for energy measurements  
at future electron-positron colliders

VCI  
2019



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University of Pavia, INFN Pavia



*On behalf of the* INFN RD\_FA Collaboration

15<sup>TH</sup> Vienna Conference of Instrumentation

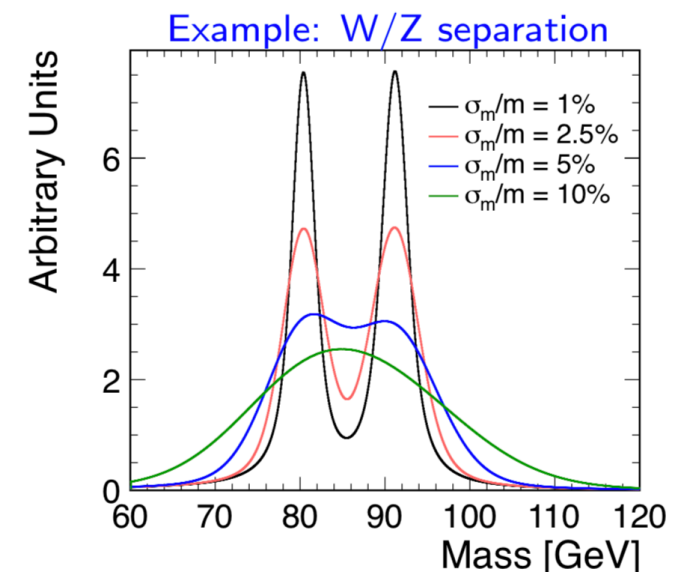
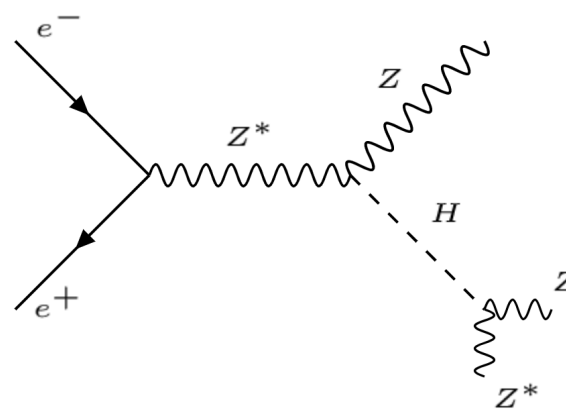
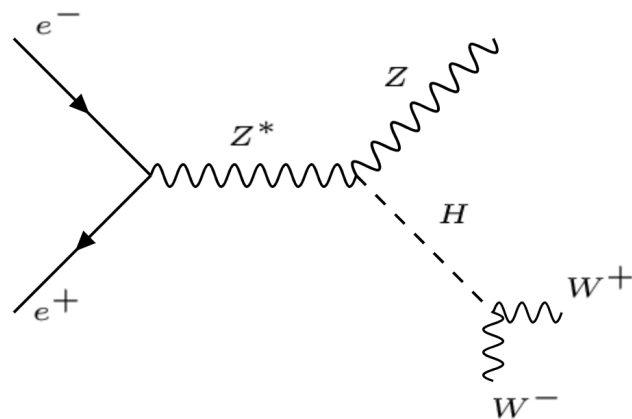
Wien, 18-22 February 2019

# Calorimetry Requirements

## at future leptonic colliders

The jet energy resolution is the fundamental quantity for event reconstruction and tagging in multi-jet final states.

Example:  $HZ \rightarrow 4\text{jet}$



At an energy resolution of:

$$\frac{\sigma}{E} \simeq \frac{30\%}{\sqrt{E}}$$

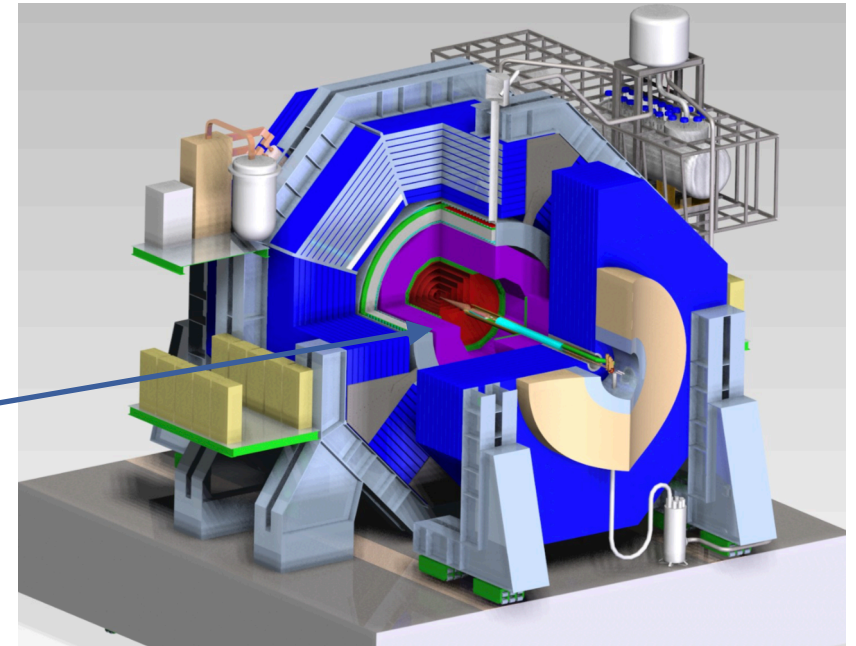
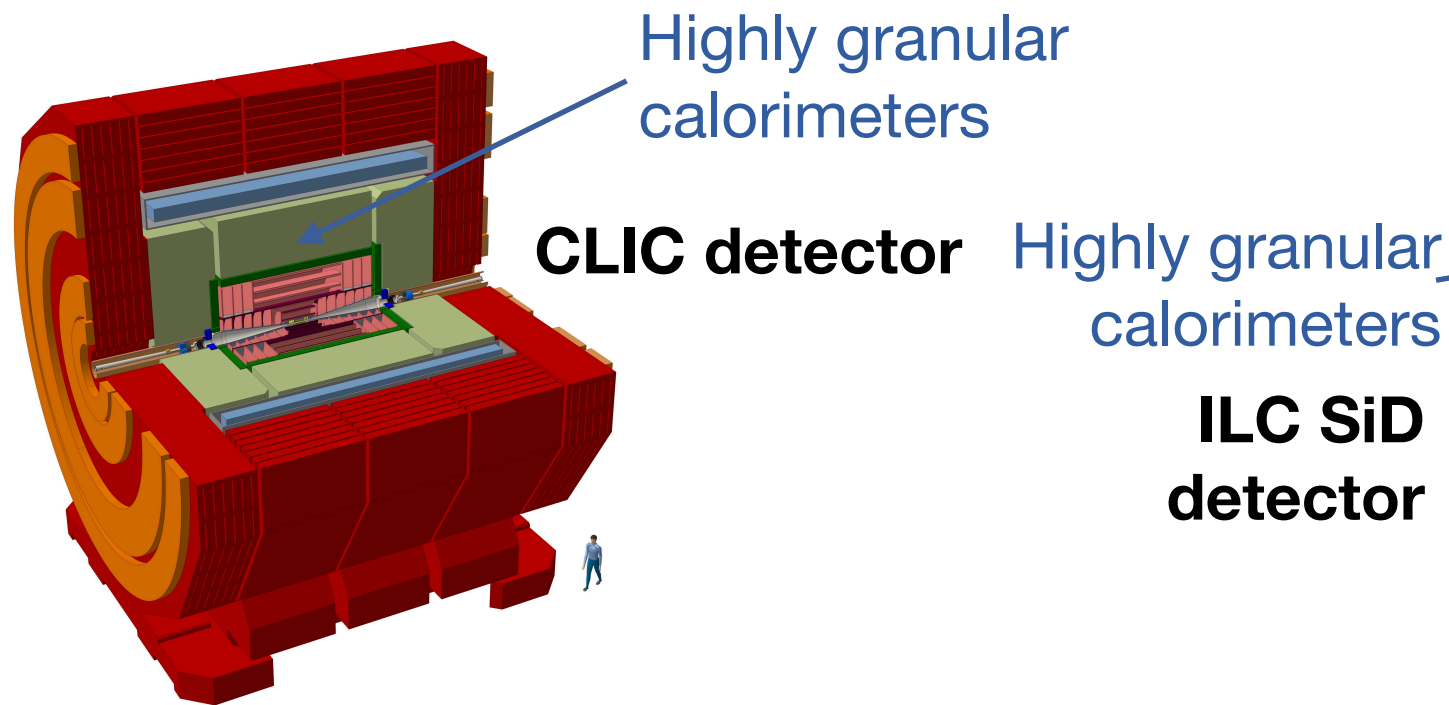
the detector resolution is comparable to the natural widths of  $W$  and  $Z$  bosons.

**Two Proposed Solutions:**

Dual-readout calorimetry and Particle Flow with Highly granular calorimeters.



# Calorimetry at future leptonic colliders



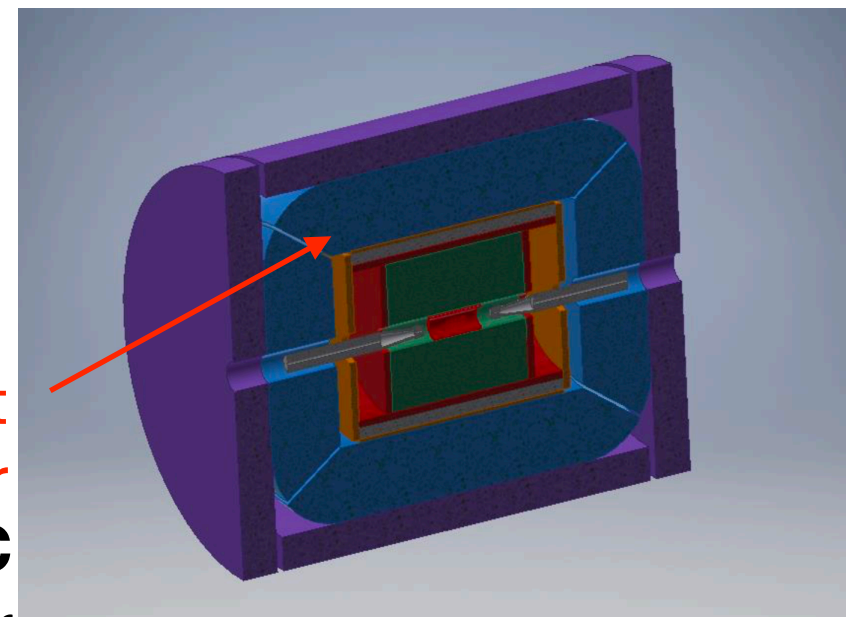
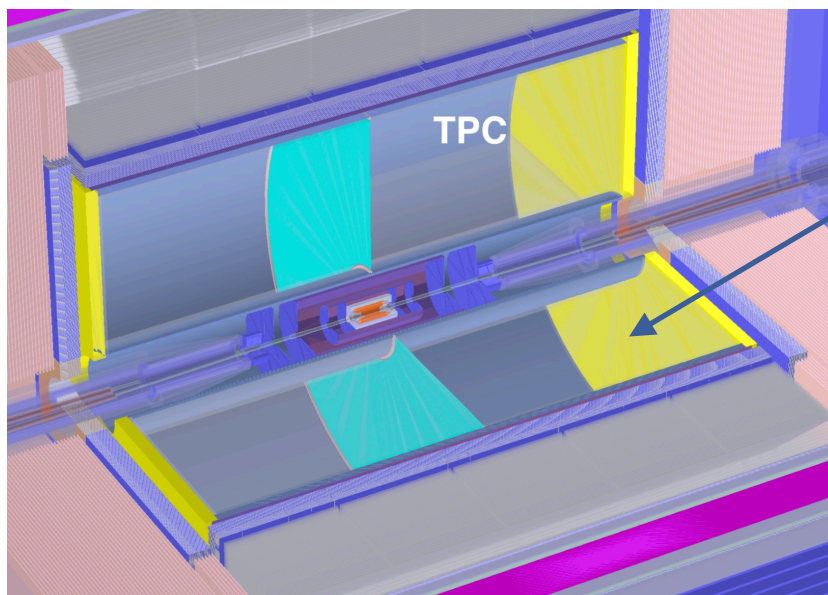
**CepC detector**

Highly granular calorimeters

**Dual-readout calorimeter**

**FCCee & CepC**

**IDEA detector**

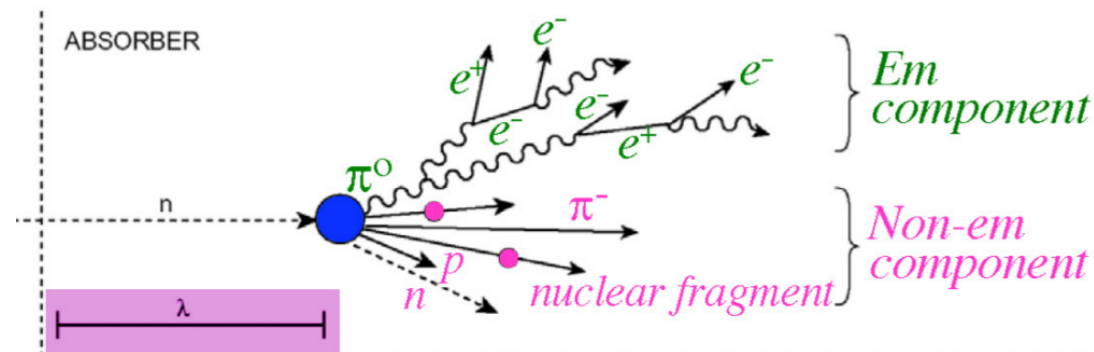


FCC CDR: <https://cds.cern.ch/record/2653669>

CEPC CDR: <https://arxiv.org/abs/1811.10545>

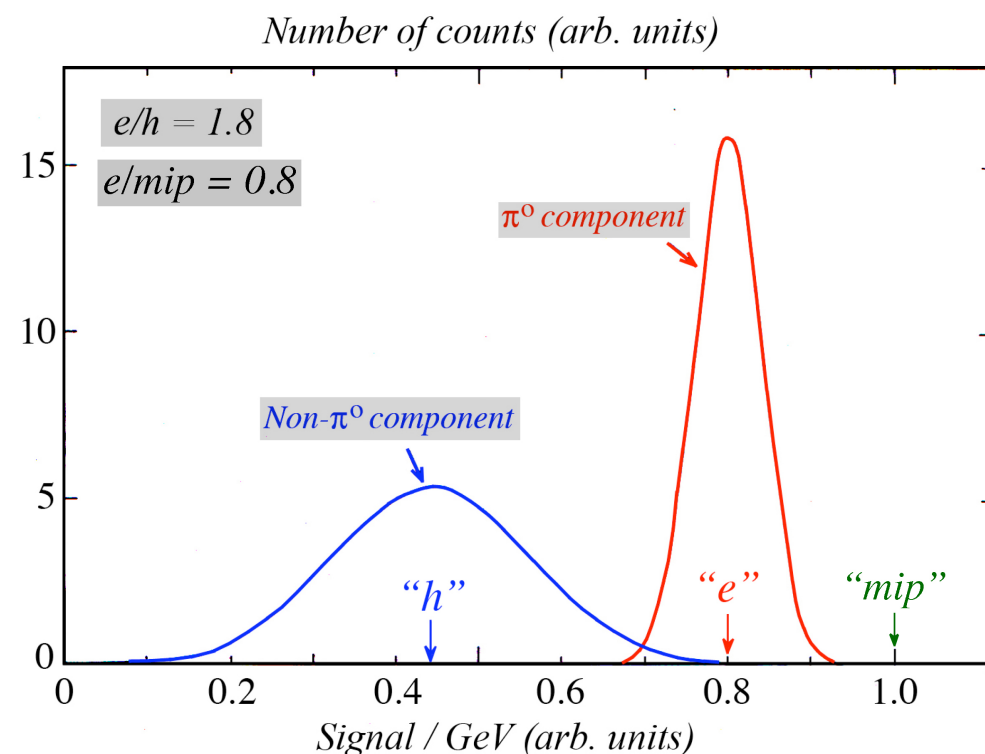
# Non compensation

or why hadronic calorimetry is so hard...



Electromagnetic component:  
electrons, positrons and photons

Non-electromagnetic component:  
charged hadrons, nuclear fragments,  
neutrons, *invisible energy*

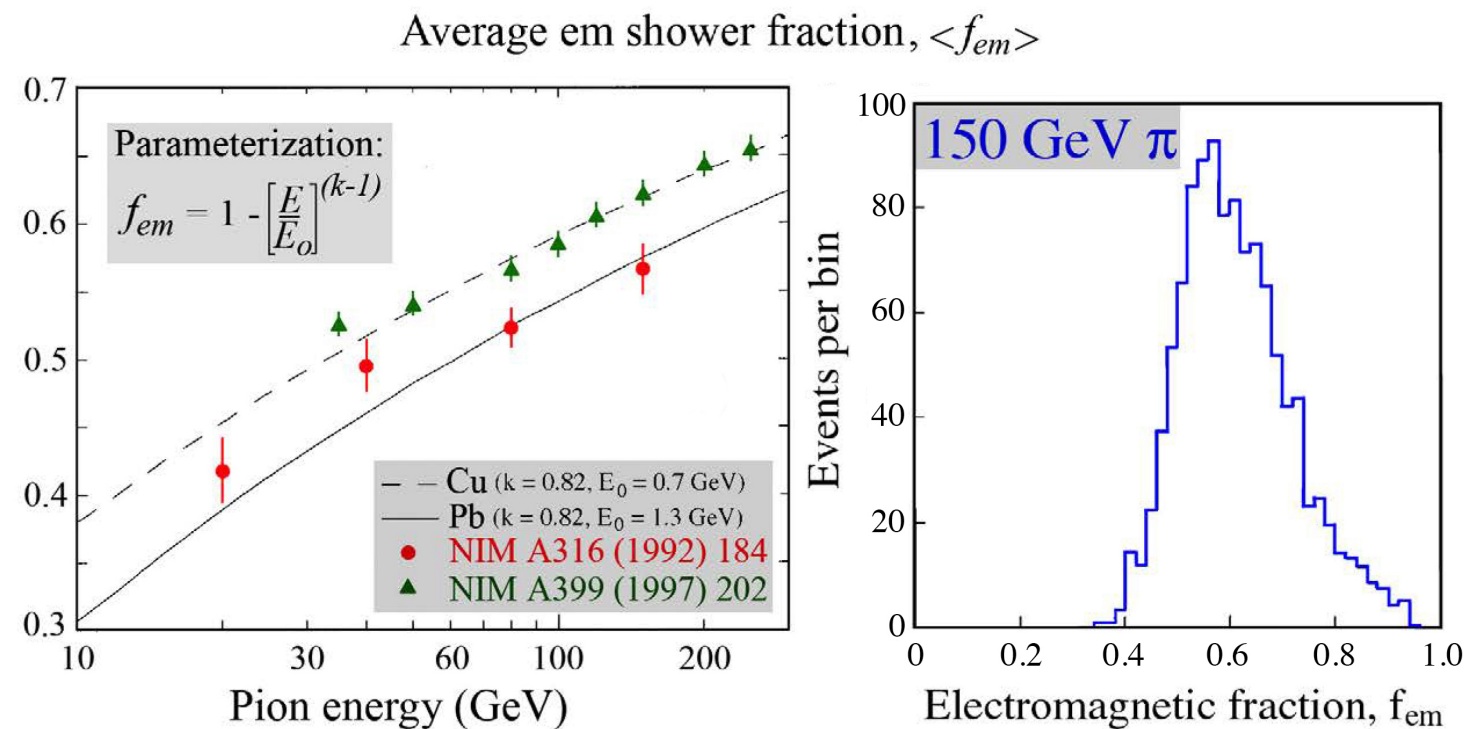


The calorimeter **response** is different  
for the two components:

$$\frac{h}{e} \neq 1$$

# Non compensation problems

Event-by-event fluctuations of the electromagnetic component are **non symmetrical**, with an average value **increasing with the energy**.



All non compensating calorimeters, in hadron detection, exhibit:

- An **asymmetrical** reconstructed energy
- A **non linear** reconstructed energy
- An energy resolution much **broader** than  $30\%/\sqrt{E}$

# Dual-readout method

The only way to overcome the limits due to lack of compensation is to measure the electromagnetic fraction event-by-event and correcting for its value.

**Scintillation signal** from scintillating fibers: every **ionizing particle** passing through them releases a light signal.

$$S = E[fem + \left(\frac{h}{e}\right)_s (1 - fem)]$$

**Cherenkov signal** from clear-plastic fibers: every **relativistic charged particle** (almost exclusively electrons) passing through them releases a light signal.

$$C = E[fem + \left(\frac{h}{e}\right)_c (1 - fem)]$$

$$\frac{S}{C} = \frac{fem + \left(\frac{h}{e}\right)_s (1 - fem)}{fem + \left(\frac{h}{e}\right)_c (1 - fem)}$$

It is possible to estimate *fem* by measuring the ratio of the two signals event-by-event



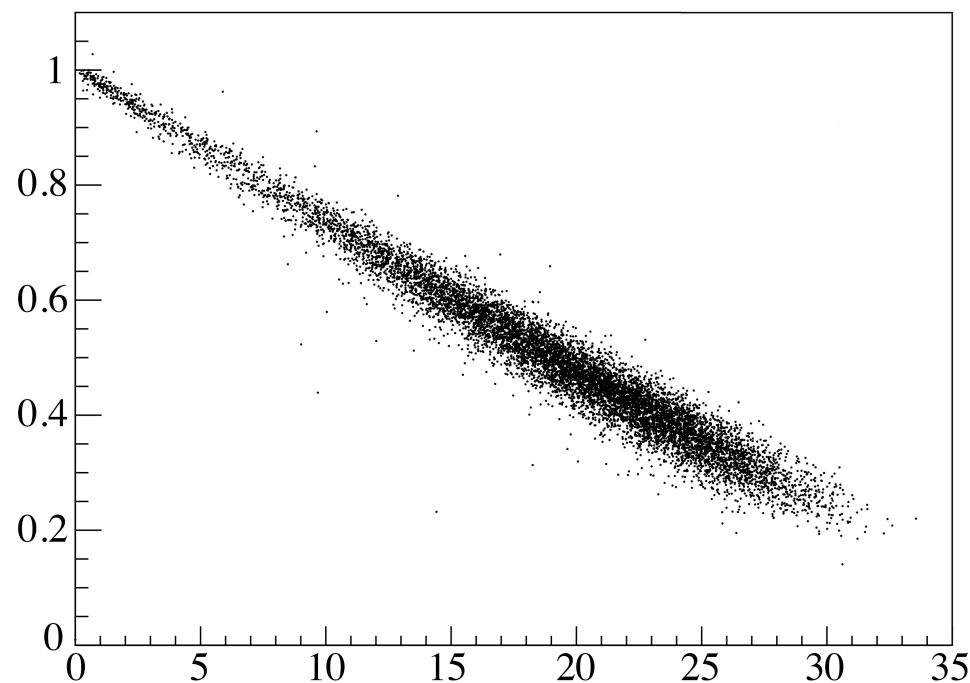
# Why is it better than the past?

Usually,  $h/e < 1$ :  
the main source of this is the *invisible energy* affecting only the non-electromagnetic component.

The **most precise calorimeter** is likely the one that exploits the quantity **better correlated** to the invisible energy.

Dual-readout calorimeters

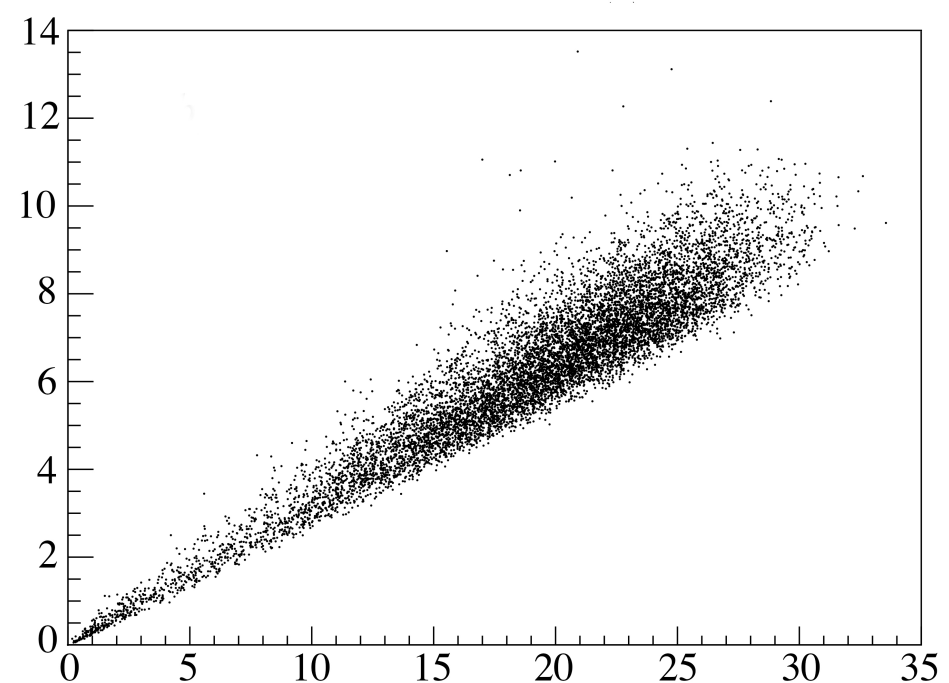
*Electromagnetic shower fraction*



*Nuclear binding energy loss (GeV)*

Neutron boosting calorimeters:  
SPACAL, ZEUS Calorimeter, ...

*Kinetic neutron energy (GeV)*

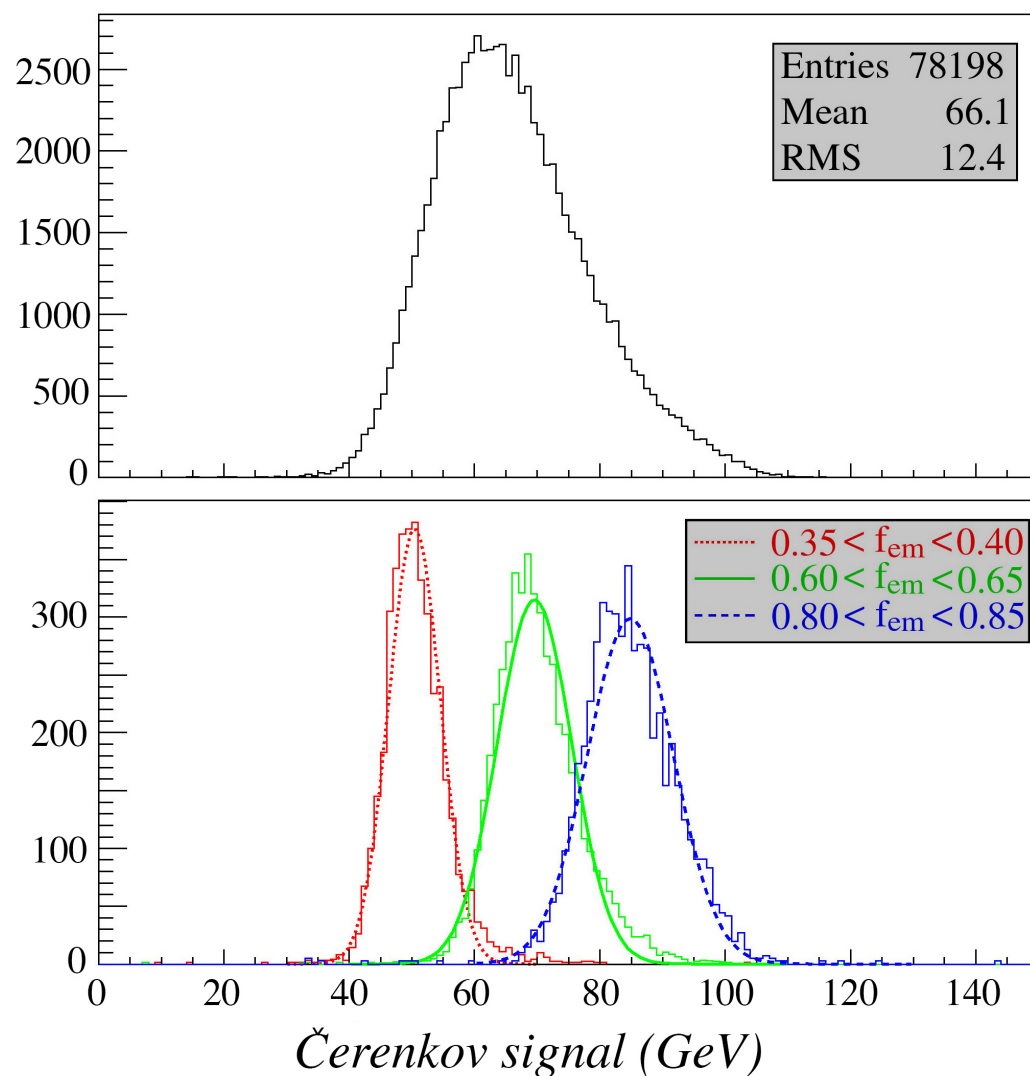


# Why is it better than the past?

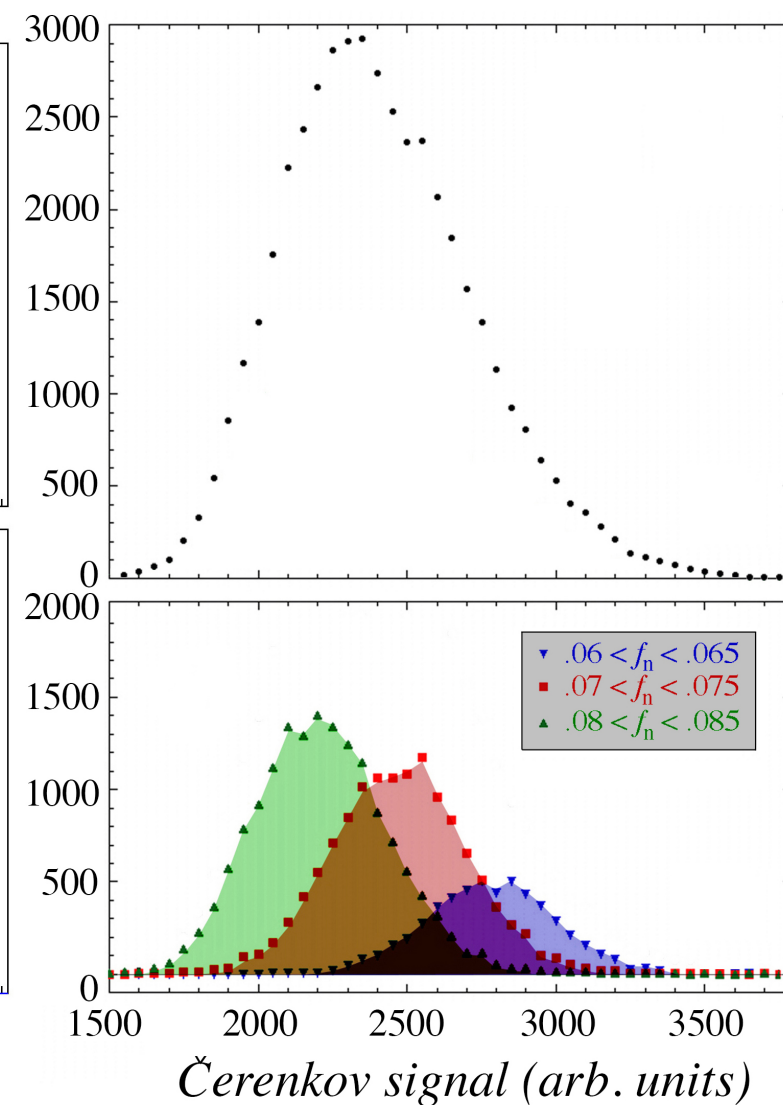
Hints of this better correlation were already present in **data**!

## Dual-readout calorimeters

*Number of entries per bin*



## Neutron boosting calorimeters: SPACAL, ZEUS Calorimeter, ...





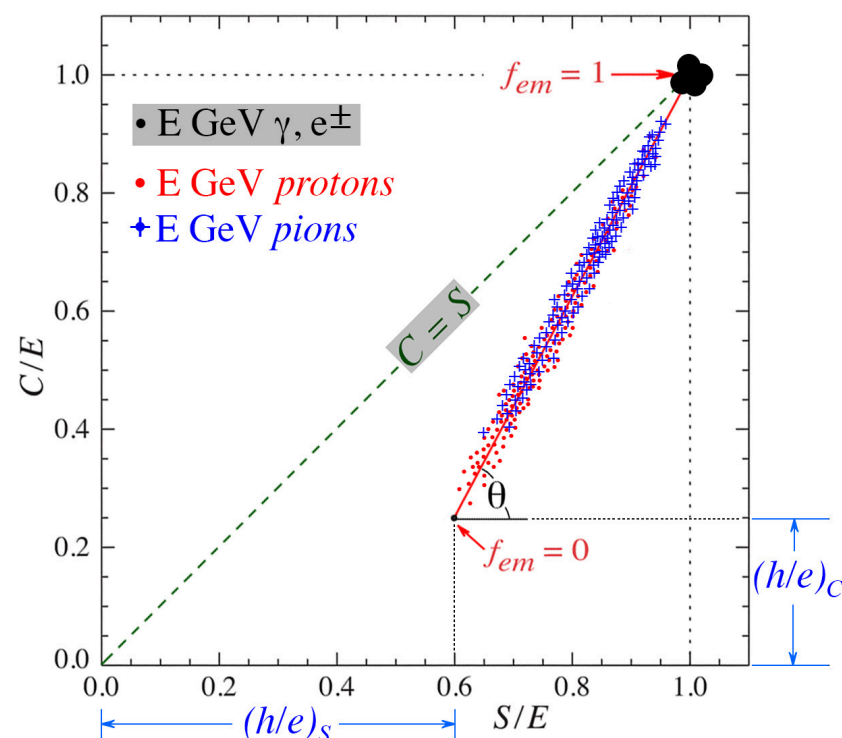
# How to apply it?

After a **calibration with electrons**, the S and C reconstructed energy must be combined with:

$$E = \frac{S - \chi C}{1 - \chi}$$

$$\chi = \frac{1 - (h/e)_s}{1 - (h/e)_c}$$

This equation correctly reproduces both the electron and the hadron energies:  
*everything is calibrated at the electromagnetic scale, i.e. with electrons.*



**From the RD52  
lead calorimeter**



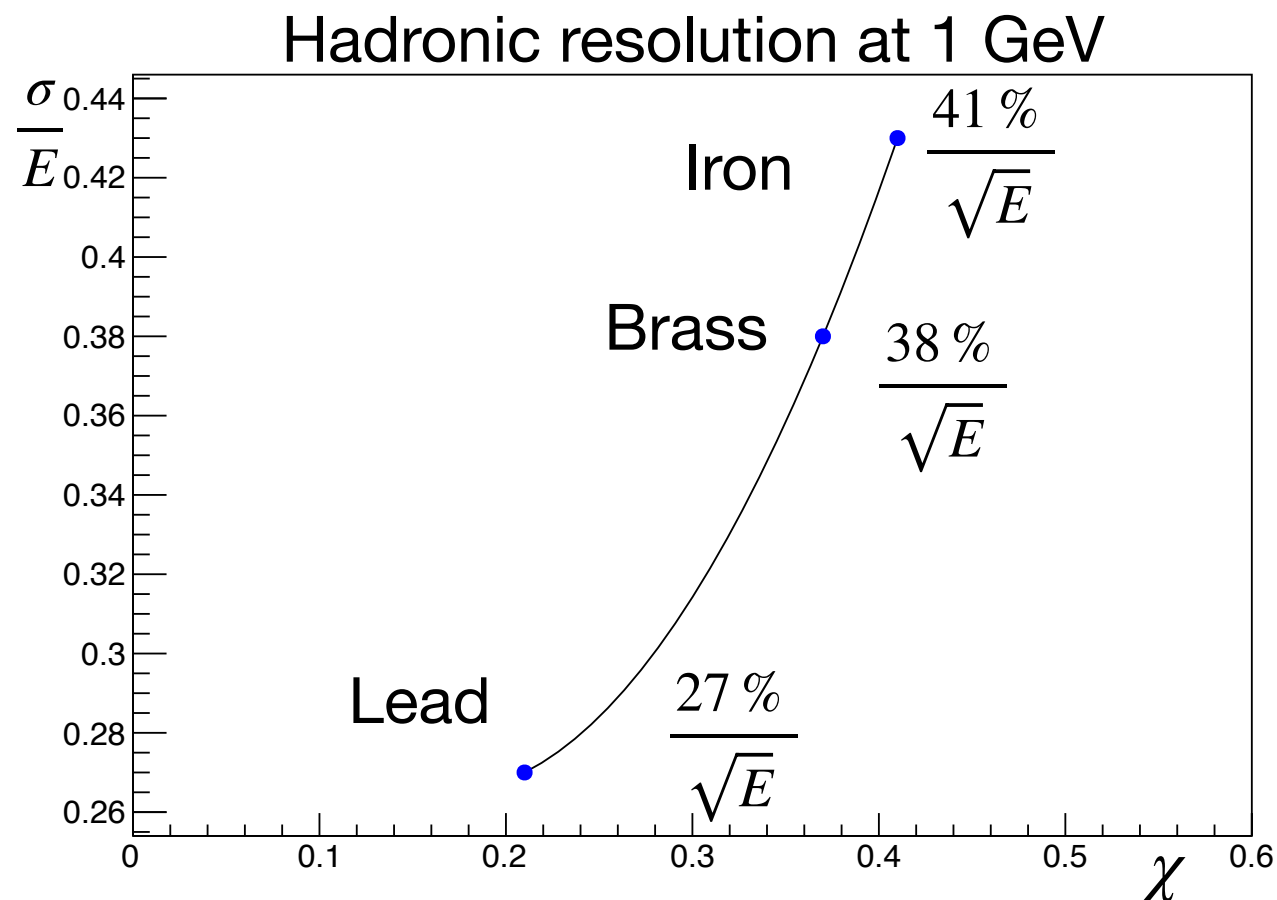
# Absorber Materials

The  $\chi$  factor is universal: it does not depend on energy or particle type!  
It does only depend on the materials and geometry.

$$\chi = \frac{1 - (h/e)_s}{1 - (h/e)_c}$$

Keep it high (for  $(h/e)_s$ )

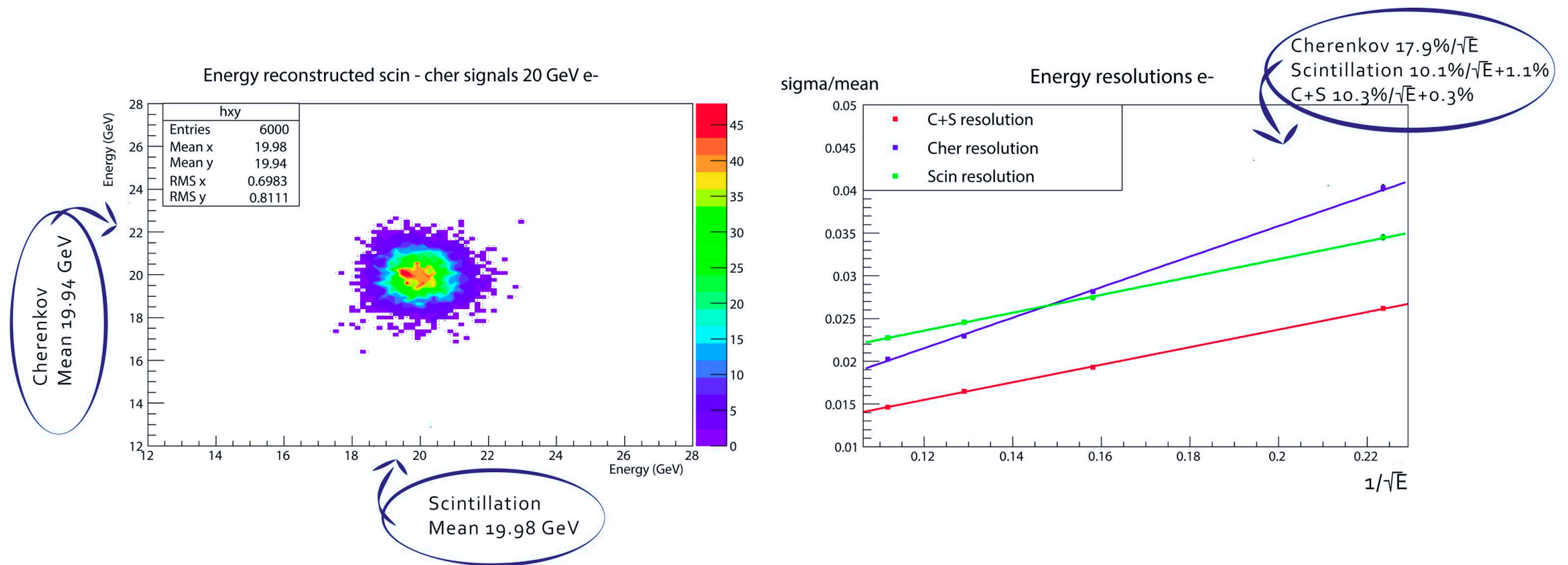
Keep it low (for  $(h/e)_c$ )



# Em performance

The sampling fraction can be raised up as much as possible  
(not possible with calorimeters compensating by neutron boosting).

The scintillation and Cherenkov signals represent  
for electrons two independent signals.

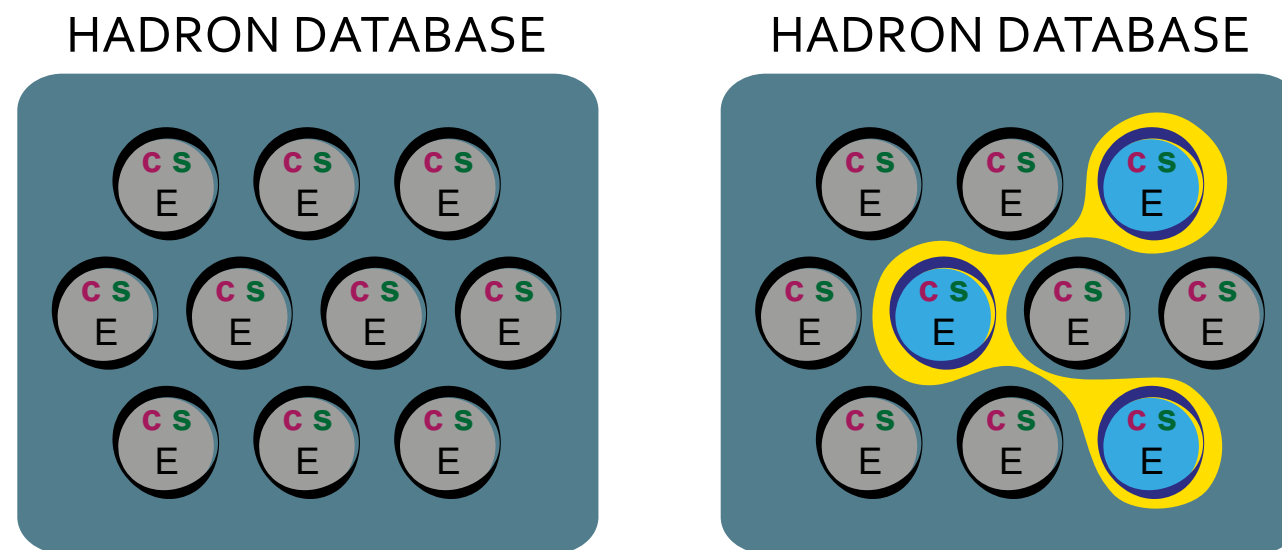


A dual-readout calorimeter can reach an excellent electromagnetic and hadronic performance in a single package.

# Machine Learning

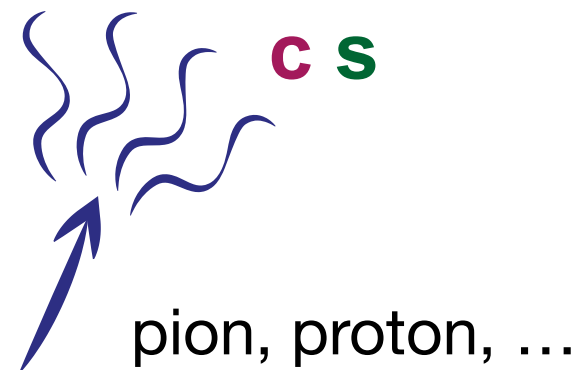
A new **machine learning** inspired technique is a promising solution to also exploit **calibrations with hadrons**.

The single event under reconstruction is compared to only pre stored events with approximately the same electromagnetic fraction.

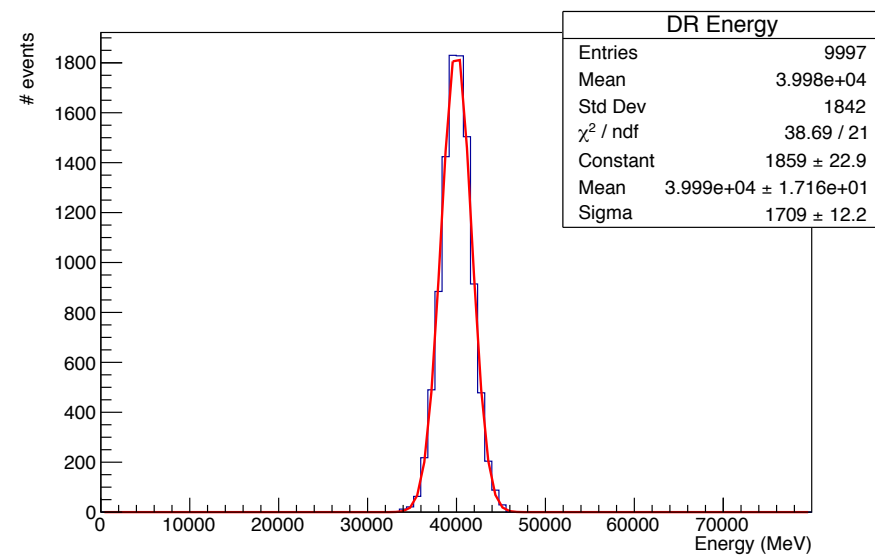


The correct hadron energy is then given by

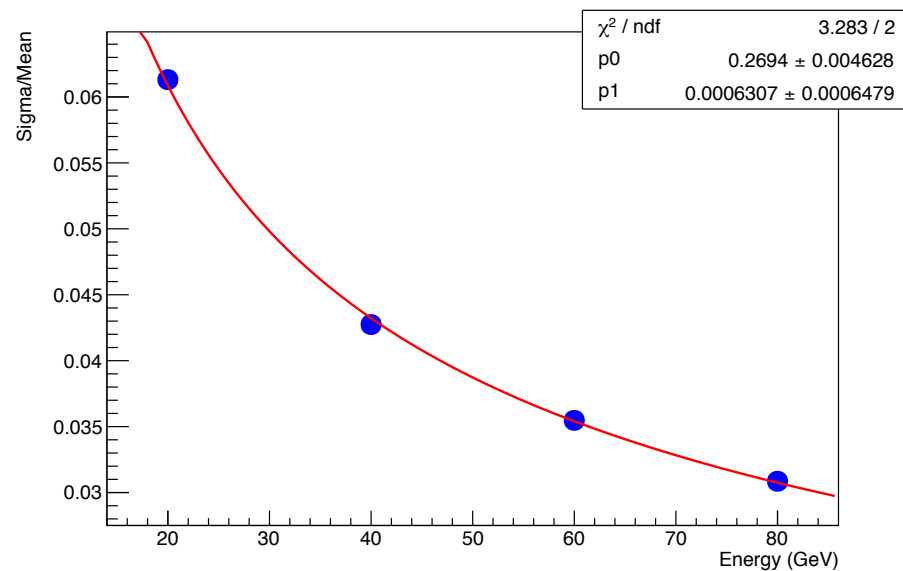
$$E = \frac{1}{2n} \sum_i^n \frac{E_i}{s_i} \times s + \frac{1}{2n} \sum_i^n \frac{E_i}{c_i} \times c$$



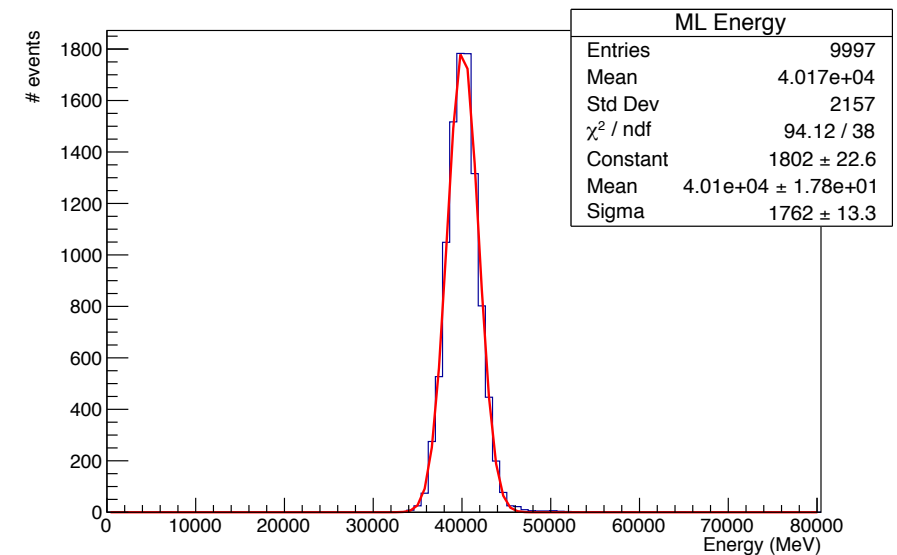
# Dual Readout vs. method



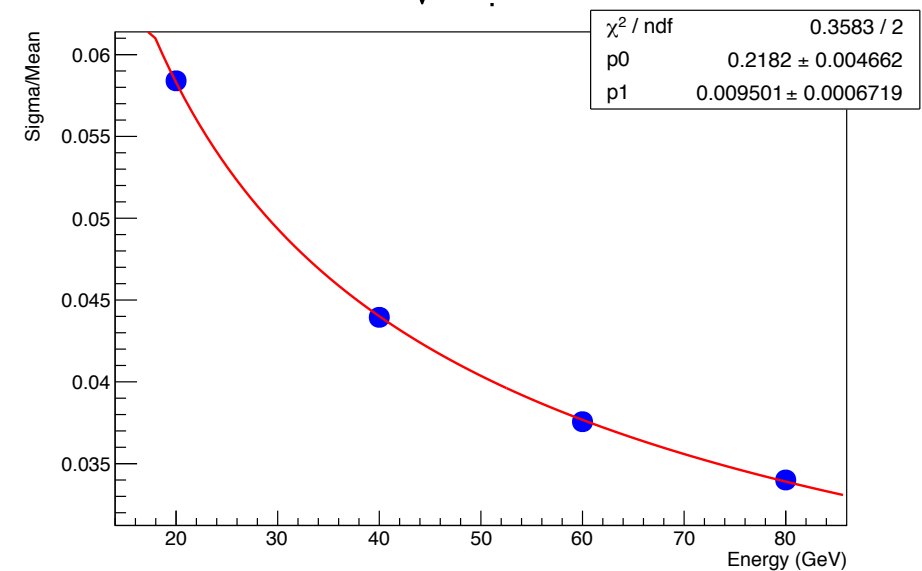
$$\frac{\sigma}{E} = \frac{27\%}{\sqrt{E}}$$



# Machine Learning



$$\frac{\sigma}{E} = \frac{22\%}{\sqrt{E}} \pm 0.9\%$$



Lead based calorimeter - 40 GeV  $\pi^-$

# Simplified jet structure

The same machine learning algorithm could be calibrated and used to reconstruct energy of jets.

Simplified jet model assuming:

fragmentation function

$$D(z) = (\alpha + 1) \frac{(1 - z)^\alpha}{z}$$

$$\alpha = 3$$

$z = \text{jet energy fraction}$

jet composition

90 % *pion*    10 % *kaon*

30 % *neutral*    70 % *charged*

Does it reconstruct the correct energy for all the particles?

$$E = \frac{S - \chi C}{1 - \chi}$$

Electrons and gammas

Yes

Hard hadrons  
(undergoing nuclear interactions)

Yes

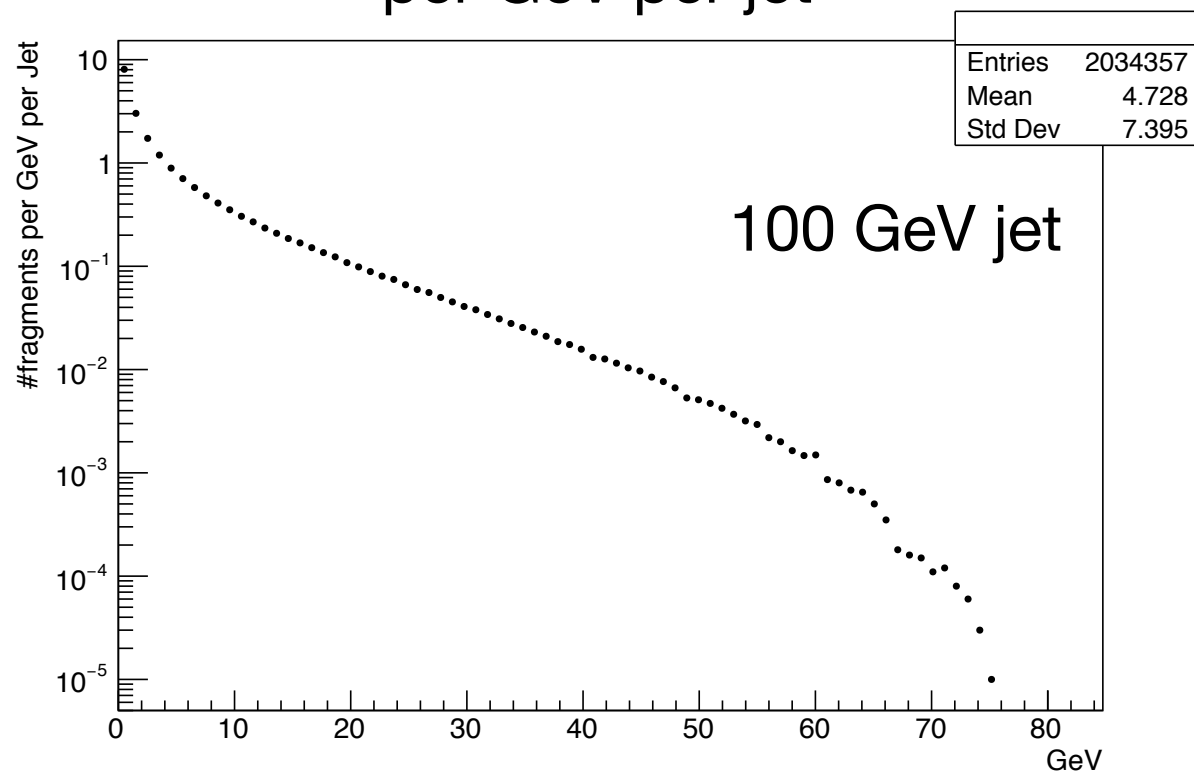
Soft hadrons  
(behaving like *mips*)

? usually  $\frac{e}{mip} \neq 1$

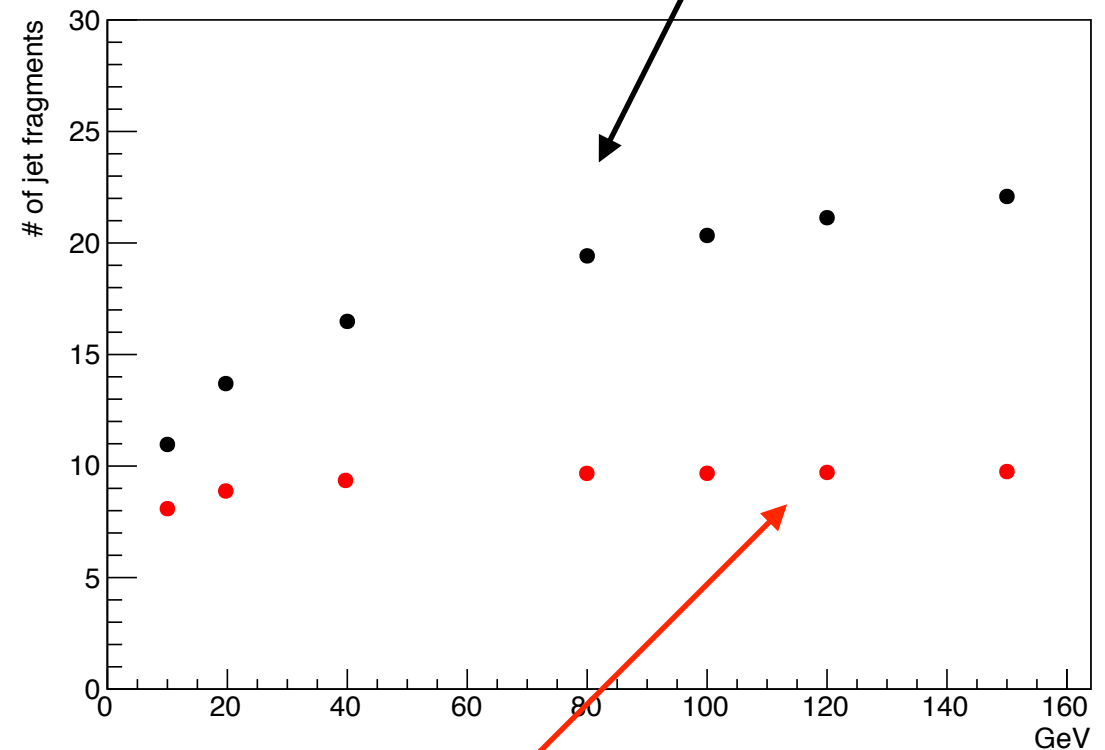


# Simplified jet structure

Average number of fragments  
per GeV per jet



Average multiplicity vs. jet  
energy

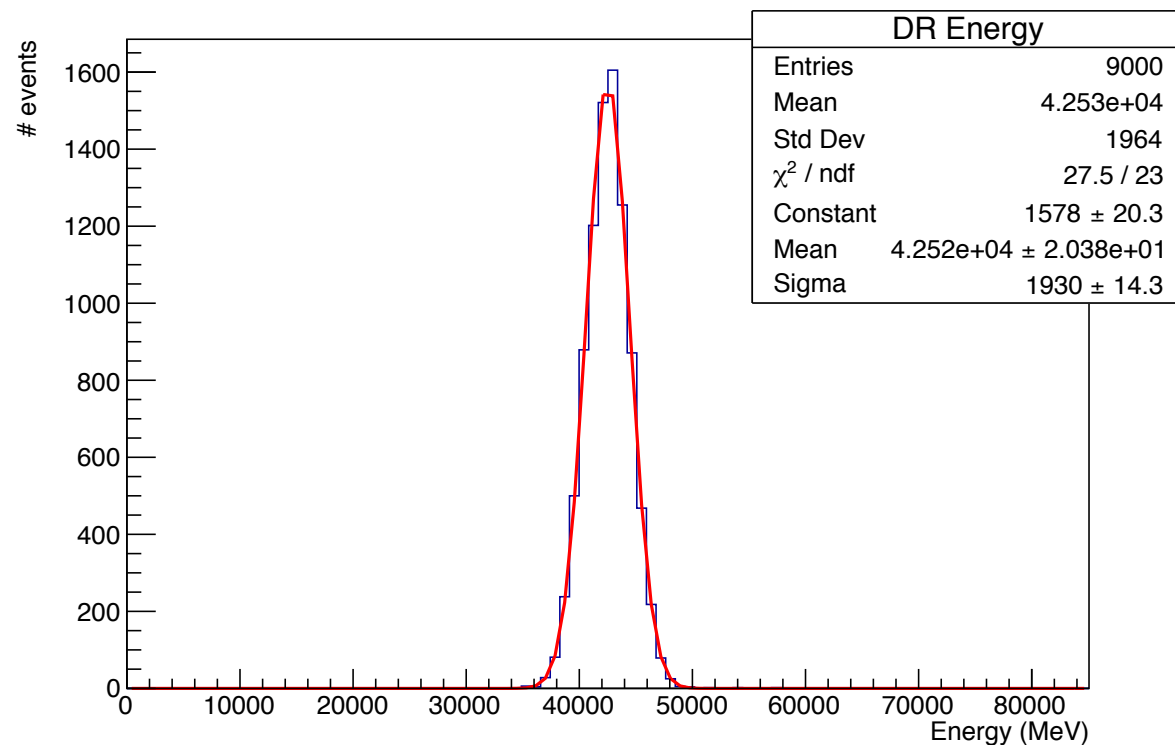


Average number of fragments that  
is minimally needed to account for  
90% of the jet energy  
vs. jet energy

When detecting hadrons the calorimeter deals with  
a constant number of hard hadrons plus an increasing number of soft hadrons.

# Jet energy reconstruction

## DR method

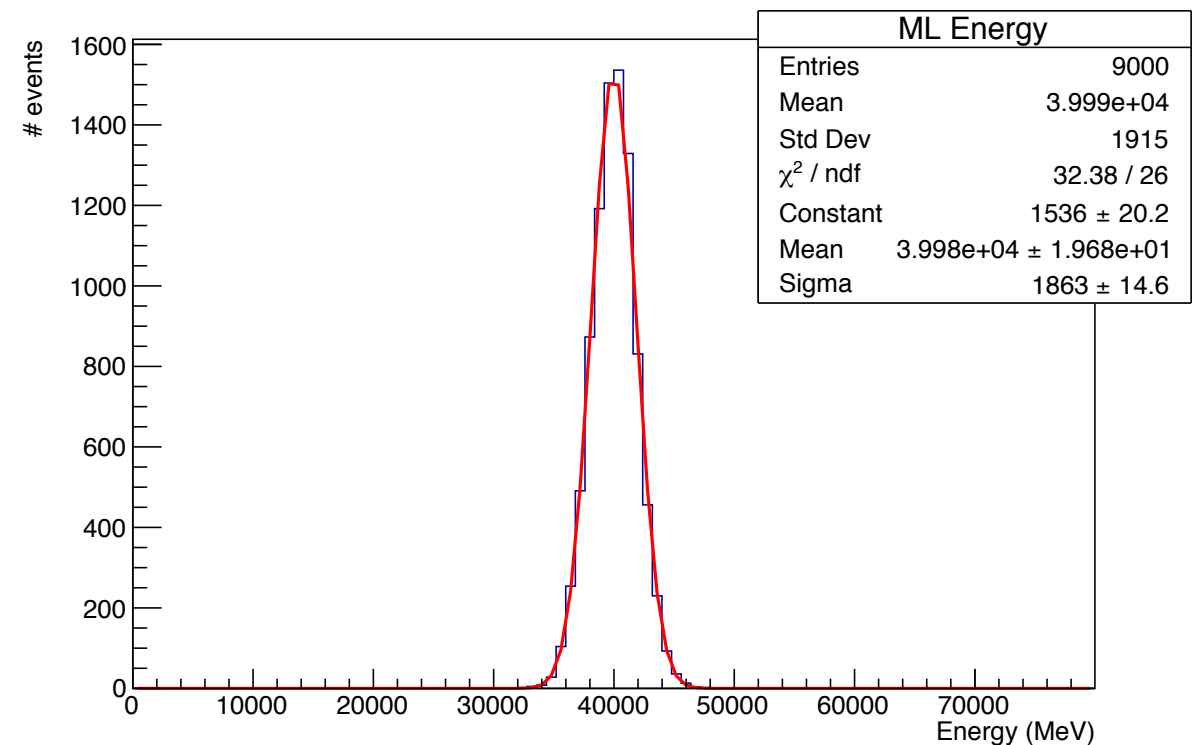


With the classical approach  
the average reconstructed energy  
is slightly overestimated due to:

$$\frac{e}{mip} < 1$$

A dual-readout calorimeter can reach an excellent electromagnetic, hadronic and jet performance in a single package.

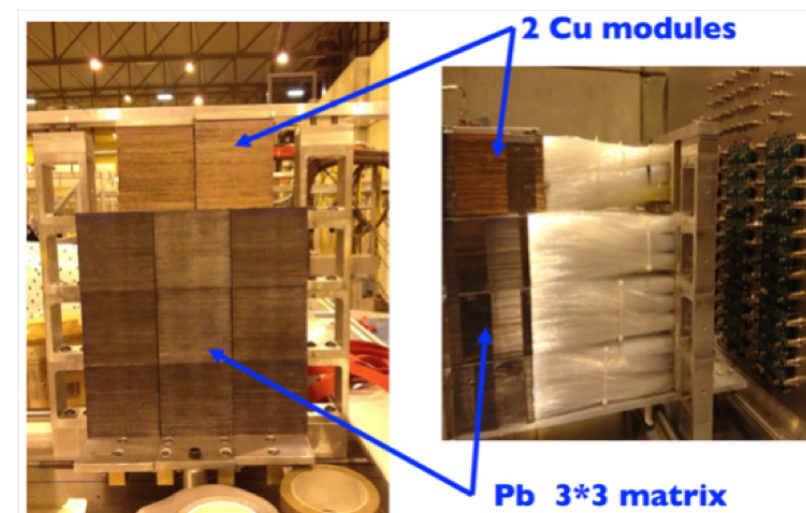
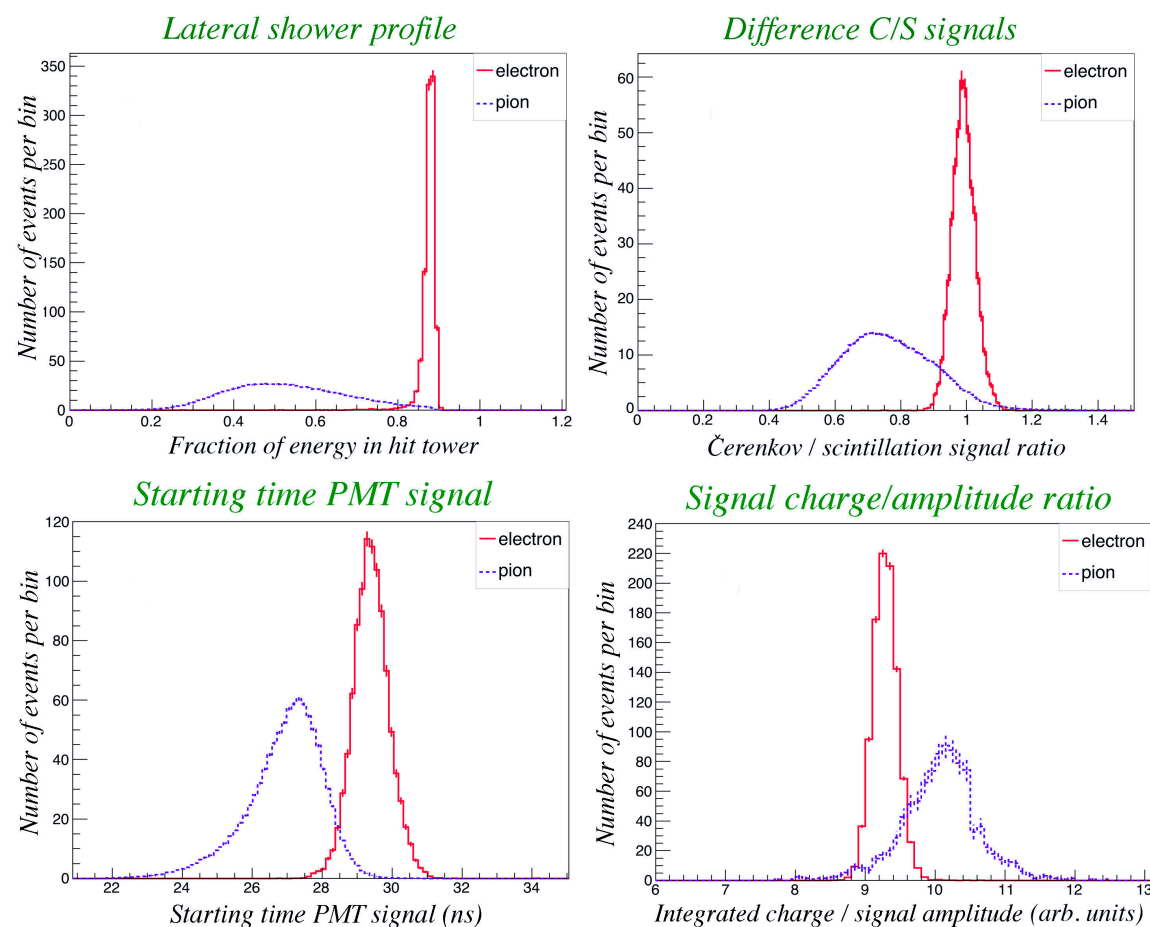
## Machine Learning



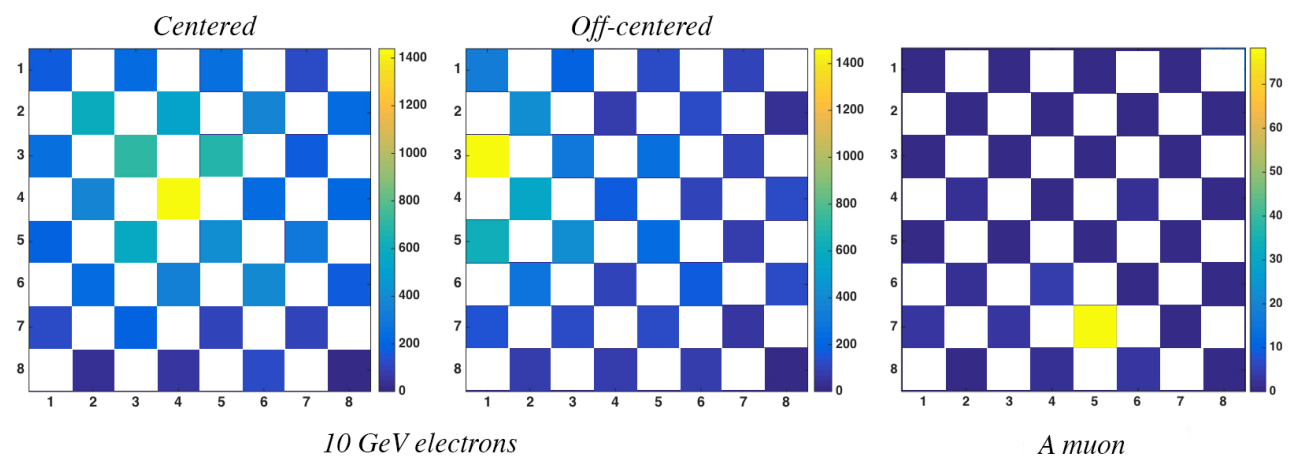
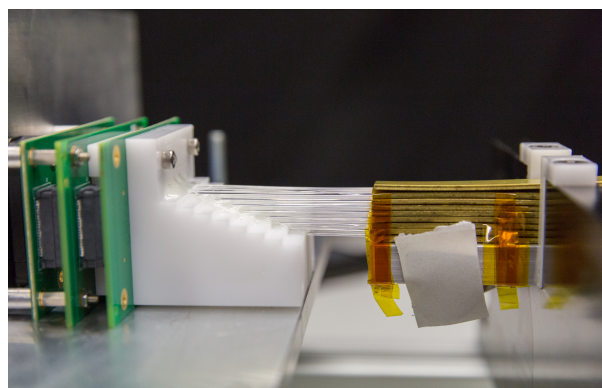
With machine learning  
the energy is on average correctly  
reproduced:

Soft hadrons are present also in  
the trained database

# Particle Identification



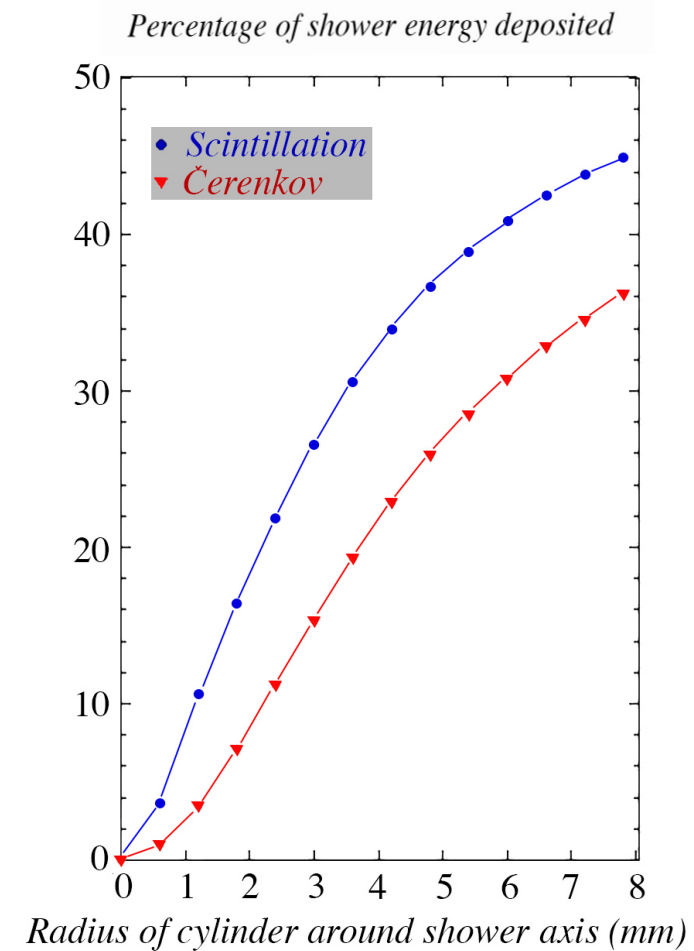
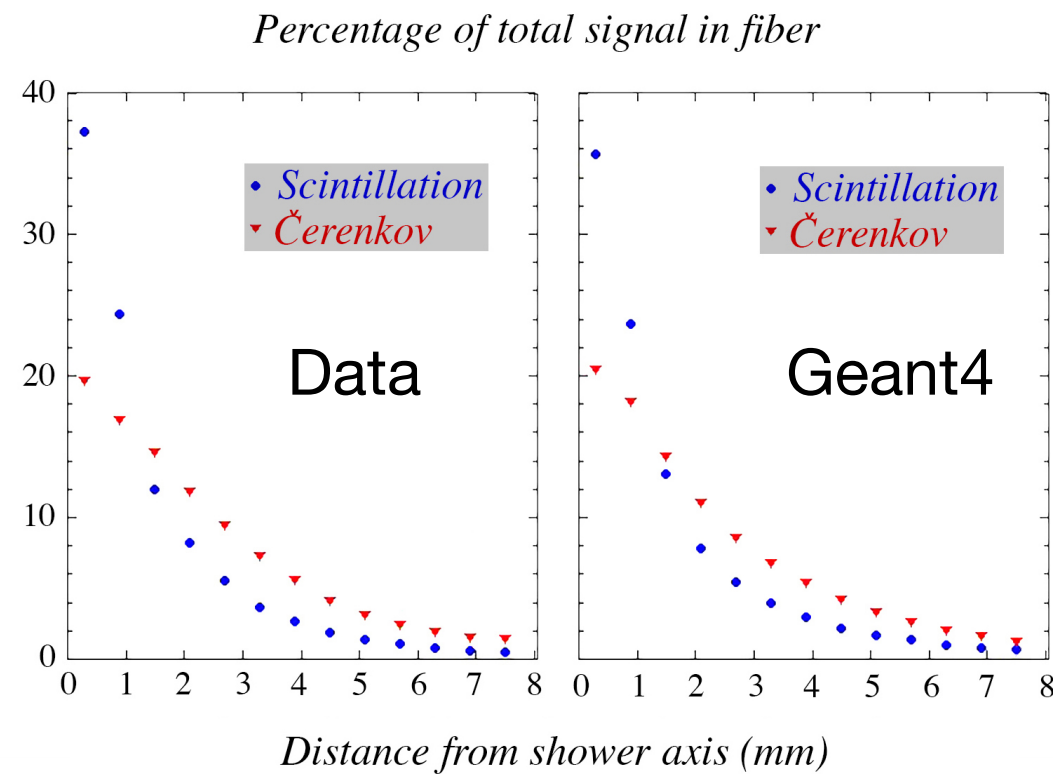
Four different **particle identification** techniques have been studied with **data** reaching, for isolated particles, a **99.8%** electron identification efficiency with a rejection factor of 500 for pions.



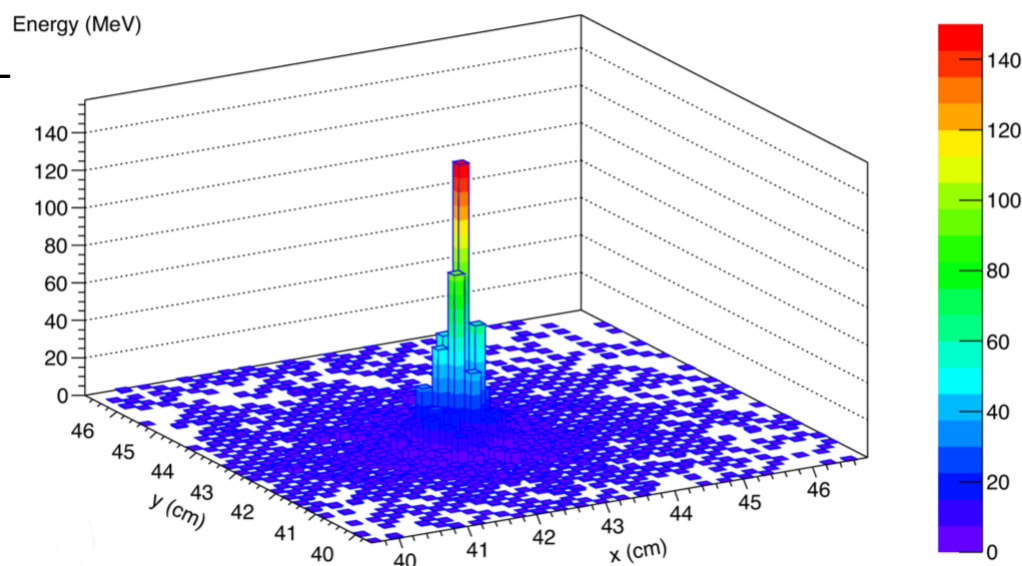
**At VCI 2019:** A SiPM-based dual-readout calorimeter for future leptonic colliders

# Particle Identification

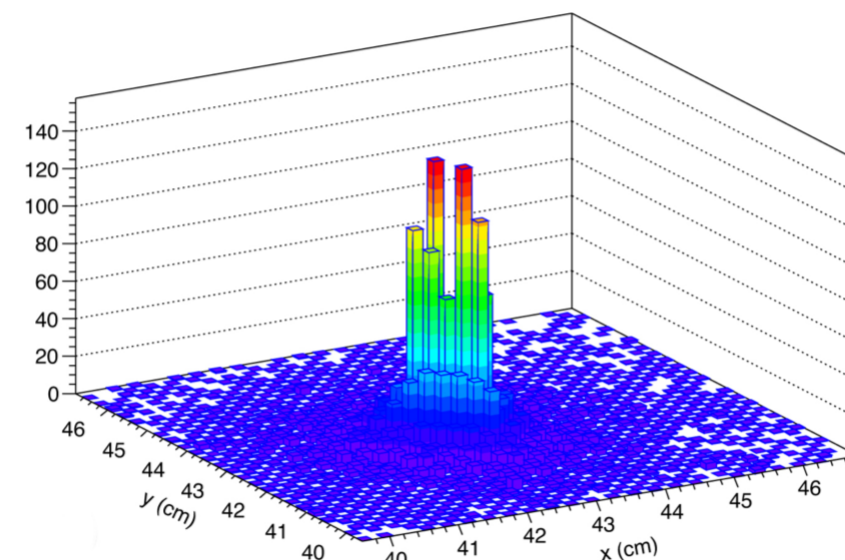
## granularity helps



50 GeV  $e^-$



100 GeV  $\pi^0$

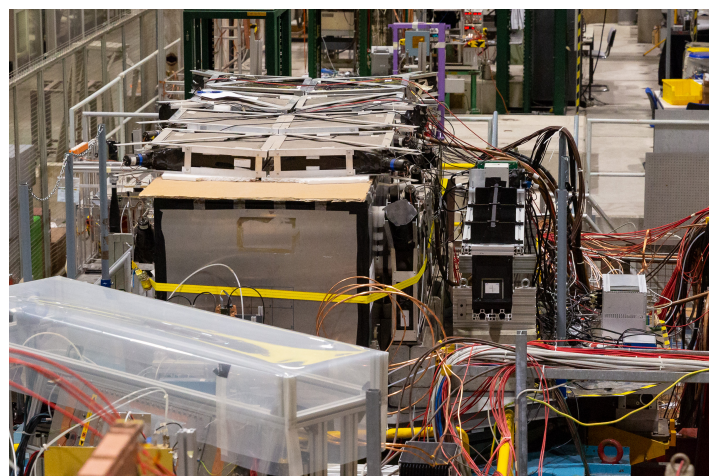




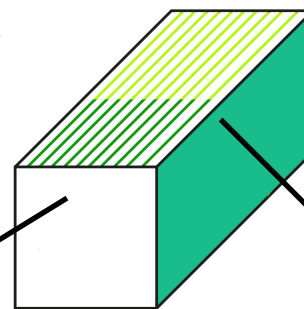
# The IDEA 2019 Test Beam

To deal with particle identification with multi particle environment a longitudinal segmentation given by an electromagnetic and a hadronic section might be needed.

A possible solution is investigated with a  $9 \times 9 \times 250 \text{ cm}^3$  lead module with half fibers staggered of 25 cm from the front face.

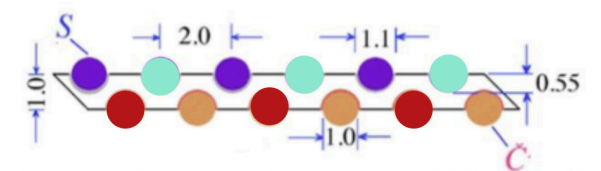


Long fibers  
section

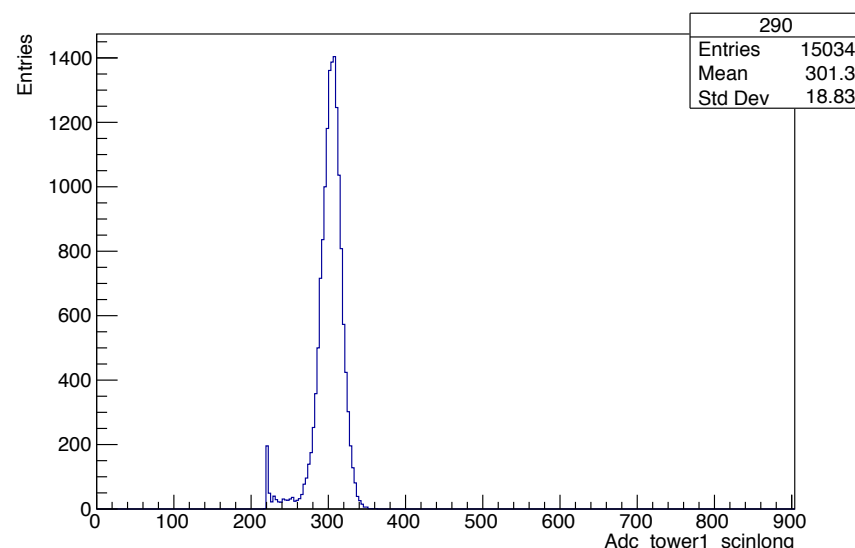


Short fibers  
section

“*Staggered*” module: 4 kind of fibres  
S-short S-long C-short C-long



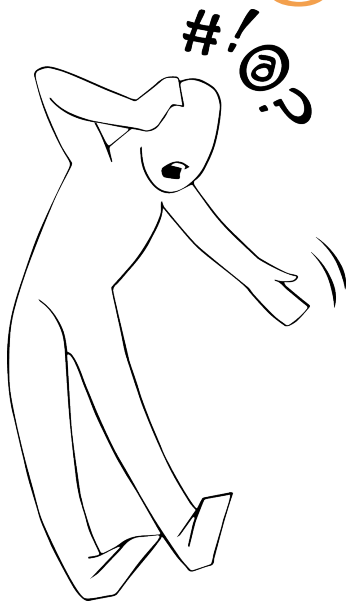
20 GeV  $e^-$  in long fibers



20 GeV  $e^-$  in short fibers

**Signal  
Compatible with pedestal**

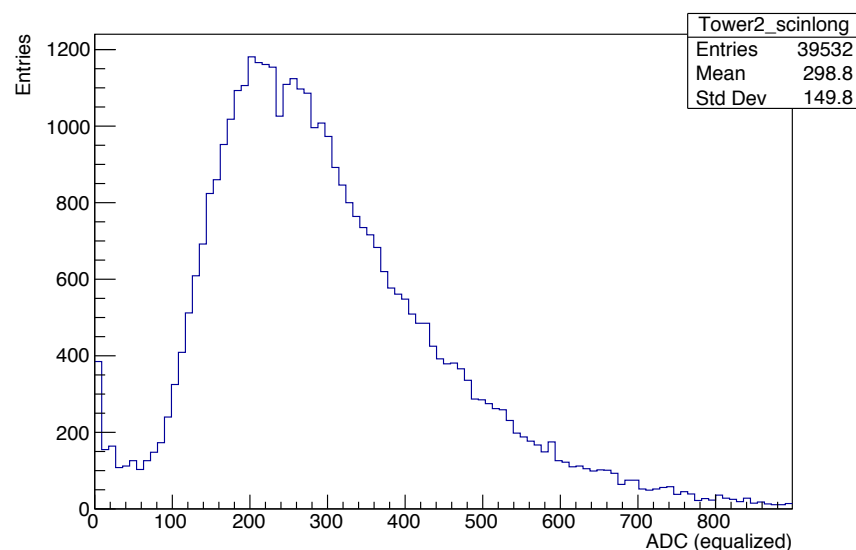
# Staggered module results



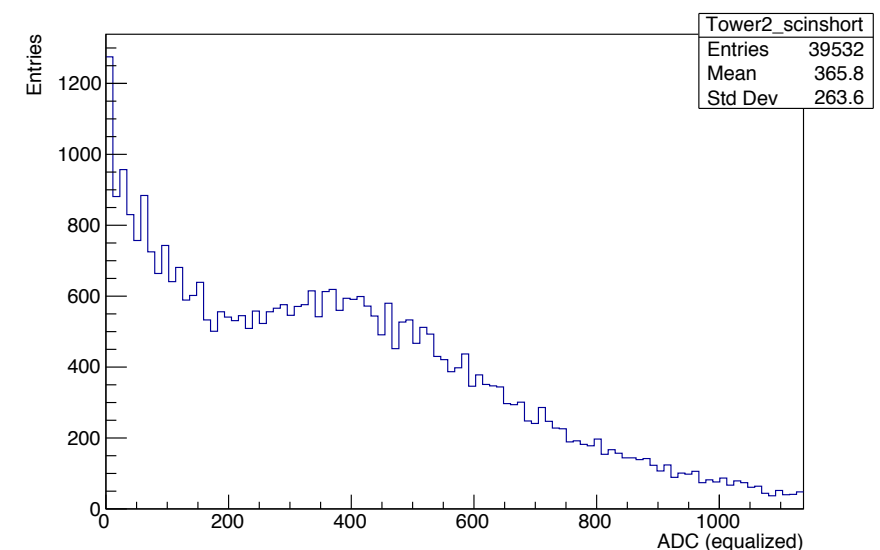
But... how is it possible to calibrate the short fibers with electrons if electrons do not reach short fibers?

## Scintillating fibers

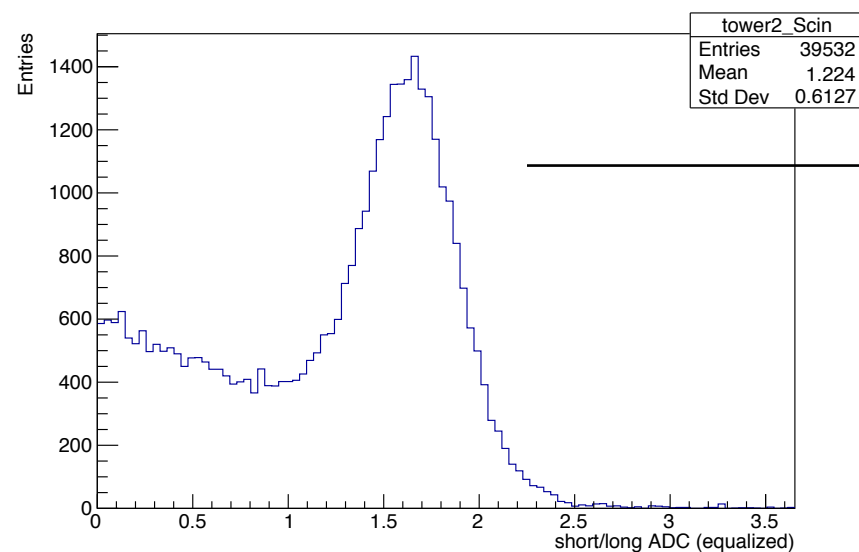
60 GeV  $\pi^-$  long fibers



60 GeV  $\pi^-$  short fibers



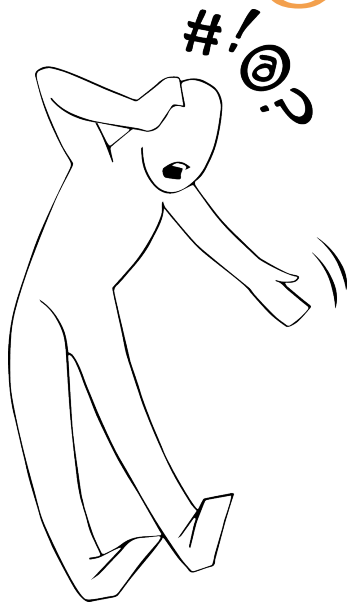
60 GeV  $\pi^-$  short/long fibers



Peak induced by hadrons that start showering late in the short section:  
mean value can be used to scale calibration constants of long fibers to obtain the short fiber ones.



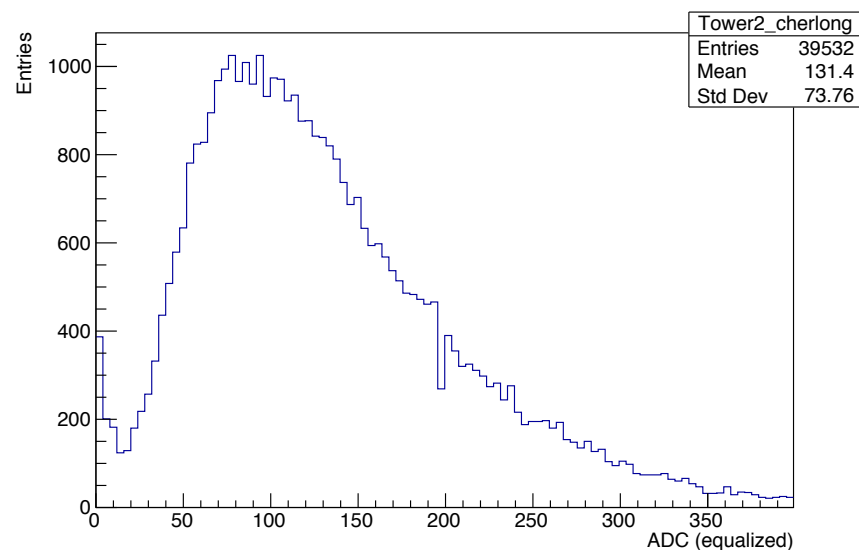
# Staggered module results



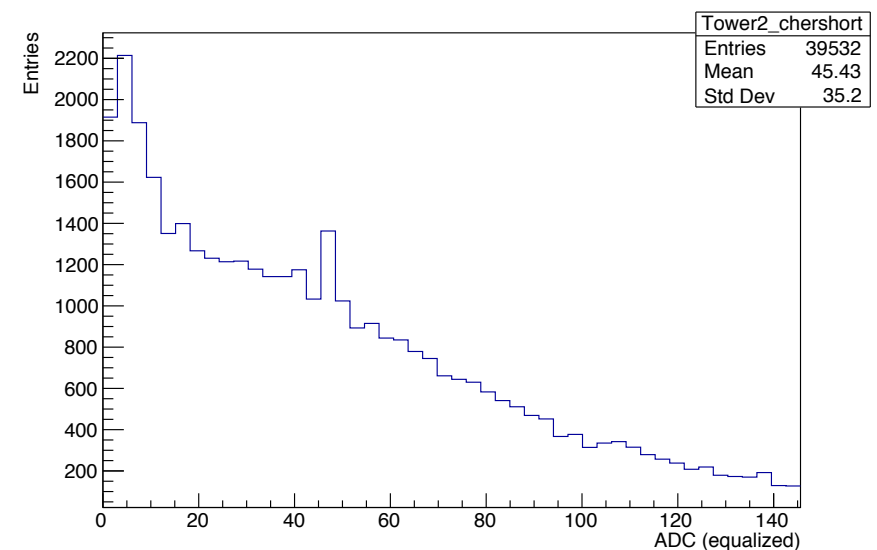
And for the Cherenkov signal?

**Cherenkov fibers**

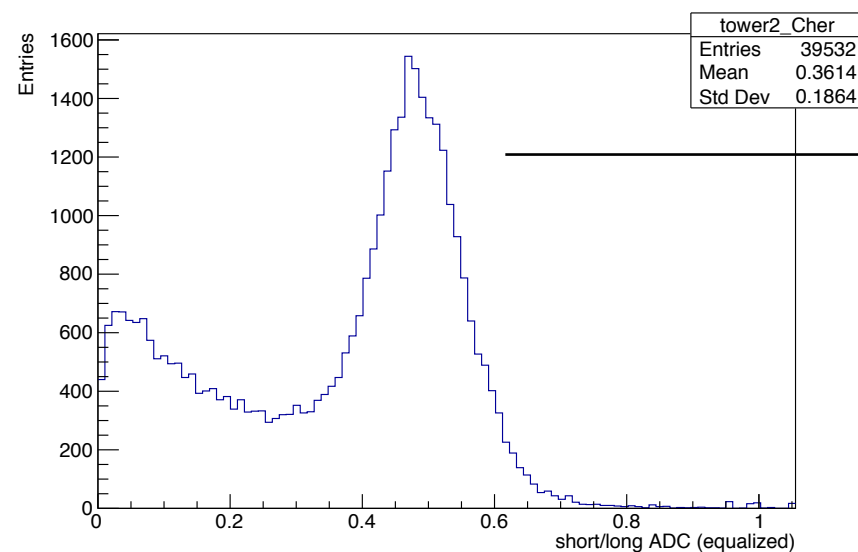
60 GeV  $\pi^-$  long fibers



60 GeV  $\pi^-$  short fibers

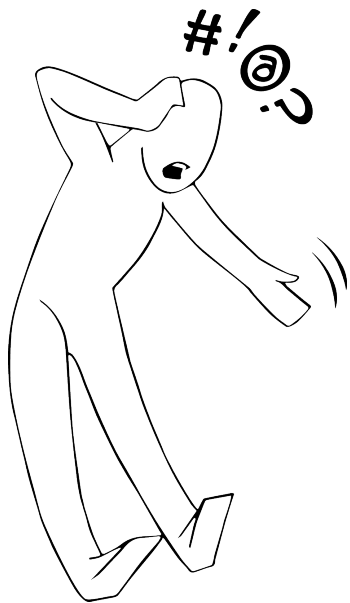


60 GeV  $\pi^-$  short/long fibers



Peak mean value can be used to  
scale calibration constants  
of long fibers  
to obtain the short fiber ones,  
even for the **Cherenkov** signal.

# Effect of budget material in front of calorimeter

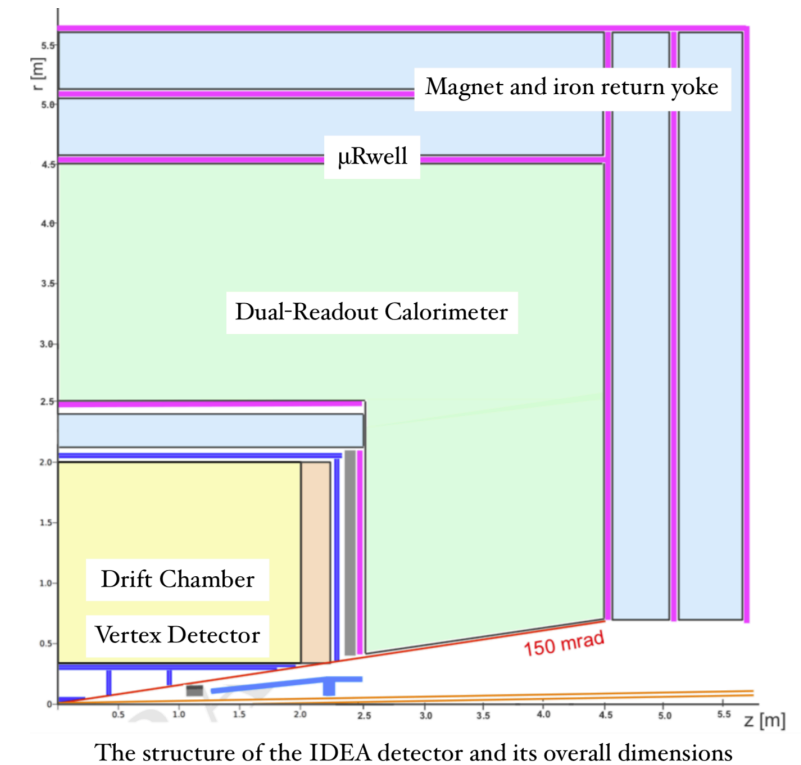
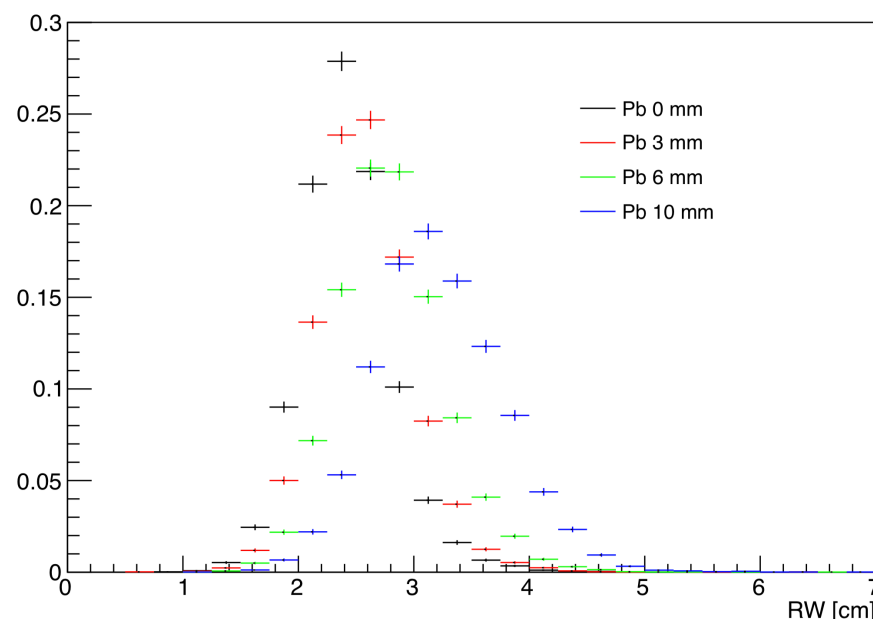


The IDEA calorimeter is placed after the magnet. What is the effect of the budget material on the electromagnetic performance?

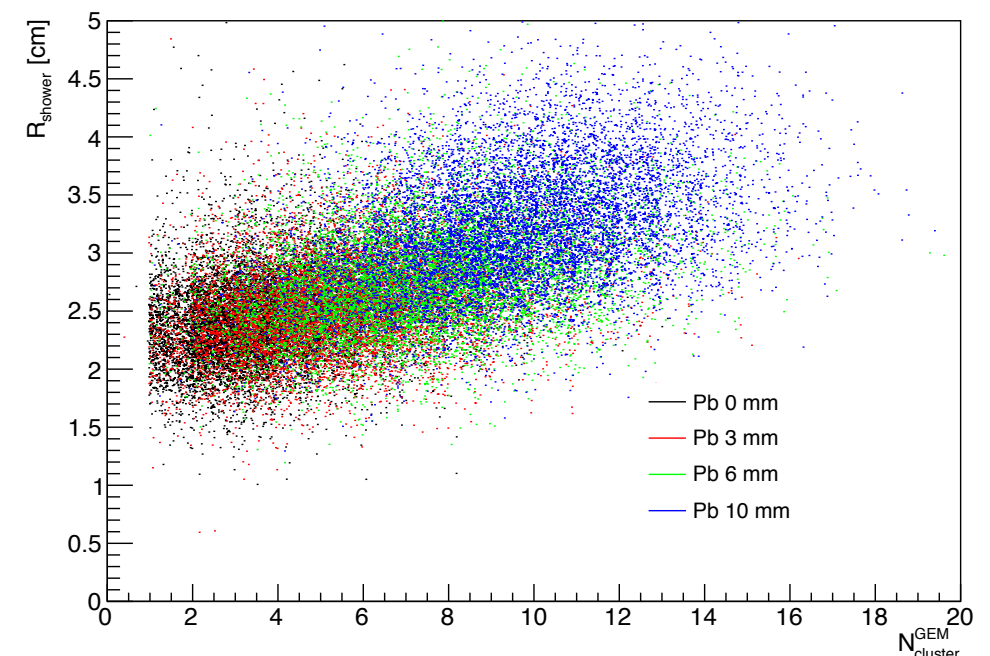
**RD52 lead calorimeter  
with lead absorbers in front  
and a GEM Detector as preshower**

Weighted radius of the em showers given by

$$R_w = \frac{\sum_{ch} E_{ch} \sqrt{x_{ch}^2 + y_{ch}^2}}{\sum_{ch} E_{ch}}$$



Correlation between radius of shower and number of cluster in GEM preshower



# Conclusions

- The excellent electromagnetic and hadronic resolution of a dual readout calorimeter, as well as its high transverse granularity, make it a great candidate for a detector at future  $e^+e^-$  colliders.
- The RD\_FA Collaboration is developing a SiPM based readout, a machine learning based energy calibration/reconstruction and is studying some longitudinal segmentation options. As alternative to the longitudinal segmentation, the extraction of timing information will also be addressed.

More at VCI 2019:

*A SiPM-based dual-readout calorimeter for future leptonic colliders,*

M. Antonello, 19/02 E19

IDEA Test Beam Results,

L. Borgonovi, Poster Session A