



# Regression Baseline w/ Features

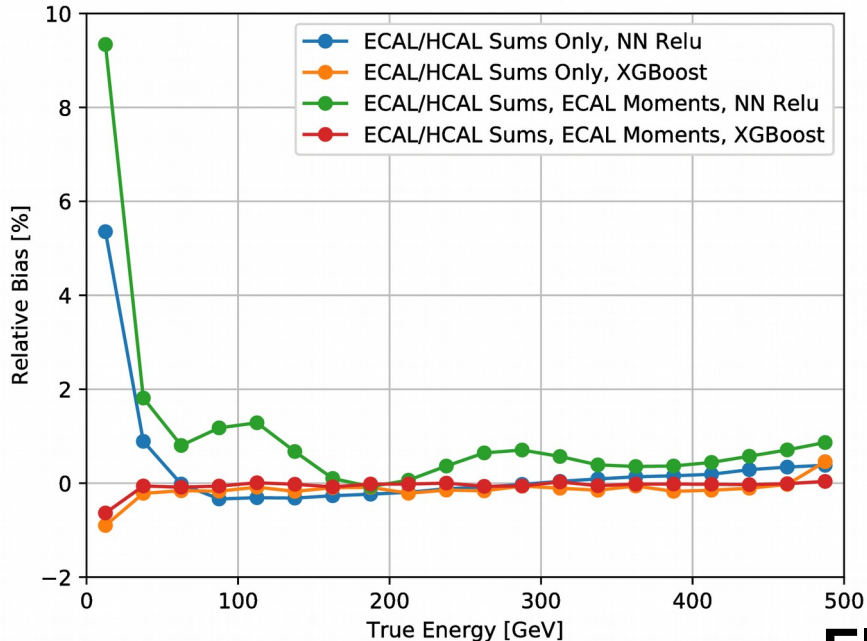
Dominick Olivito (UCSD)

# Overview

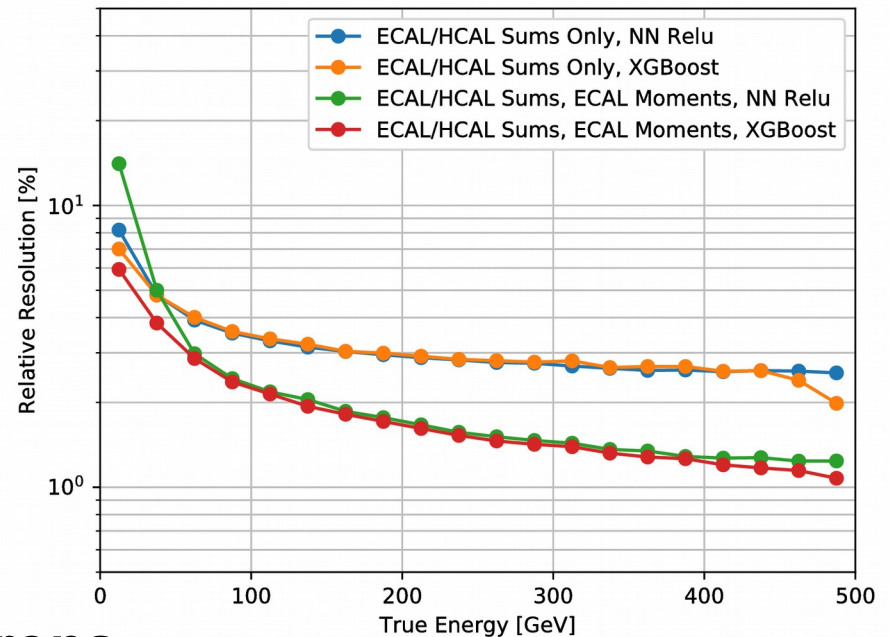
- Question: **how much detailed info** from the calorimeter image is necessary / being used for regression?
- Current baseline comparison for ML running on the full image is **linear regression using ECAL\_E, HCAL\_E**
- Create an **intermediate baseline** including ECAL\_E, HCAL\_E, and shower shapes:
  - For electrons, photons, pi0s:
    - **ECALmomentX2**: width in  $iX = \phi$
    - **ECALmomentZ1**: depth in  $iZ$
  - For charged pions, ECAL moments plus:
    - **HCALmomentXY2** =  $\sqrt{X^2 + Y^2}$ : width in  $\eta/\phi$
    - **HCALmomentZ1**: depth in  $iZ$
- Last week: tried putting these into **simple NN for regression**
- This week:
  - Added **linear regression** comparison
  - Switched to **Boosted Decision Tree in XGBoost**
    - More robust training, better performance
  - Try for **all particle types**

# XGBoost vs NN

- XGBoost has **better convergence** in training, gives **better performance** than NN
  - Smaller bias at low energies, better resolution
  - Performance similar at high energies
- Also tried sigmoid instead of relu activations in NN (suggested by JR), **worse performance**

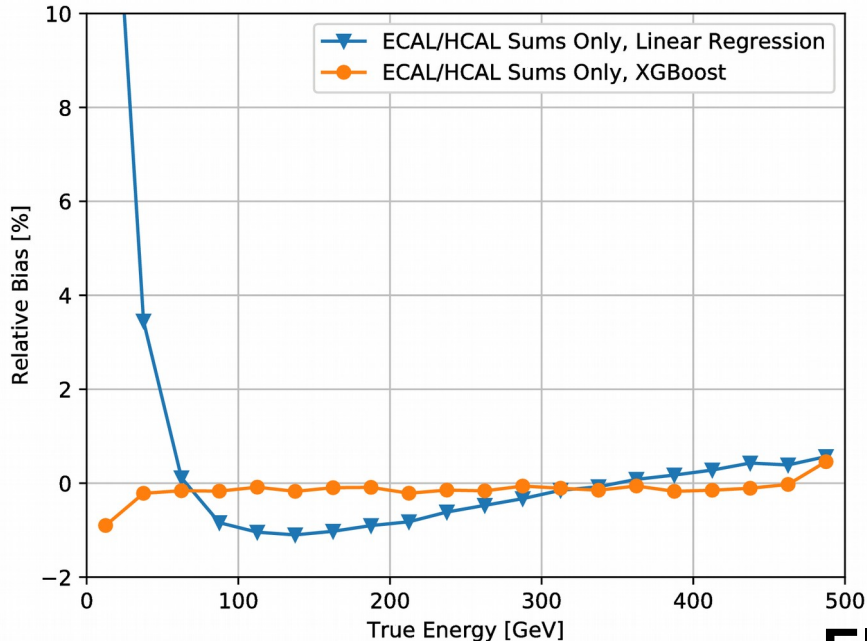


**Electrons**

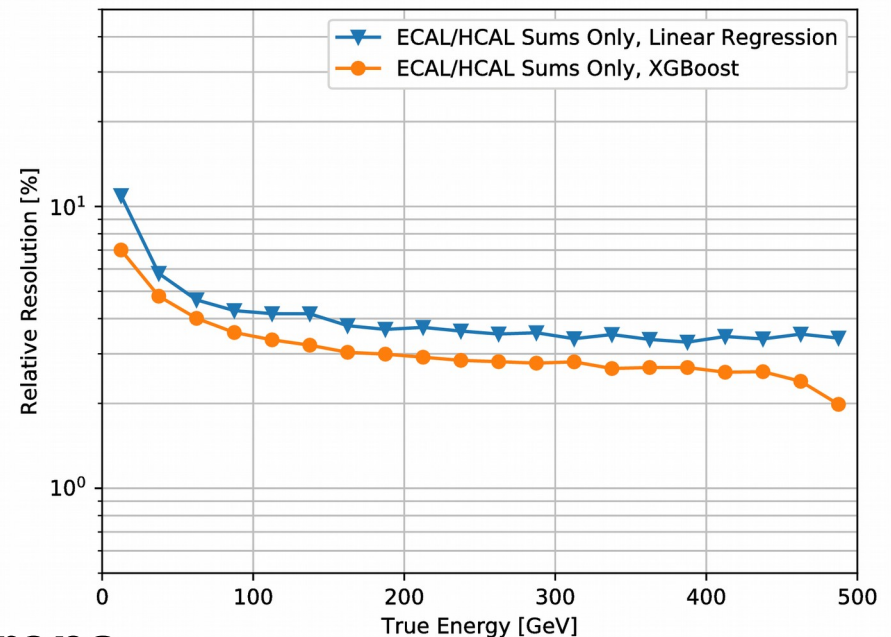


# XGBoost vs Linear Regression

- Compare XGBoost with linear regression, using only ECAL and HCAL energy sums for both
- Linear regression has **large bias**, especially at low energy
  - JR suggested last week that energy response was not linear at low energy
- XGBoost has **very small bias** over full range, **better resolution**



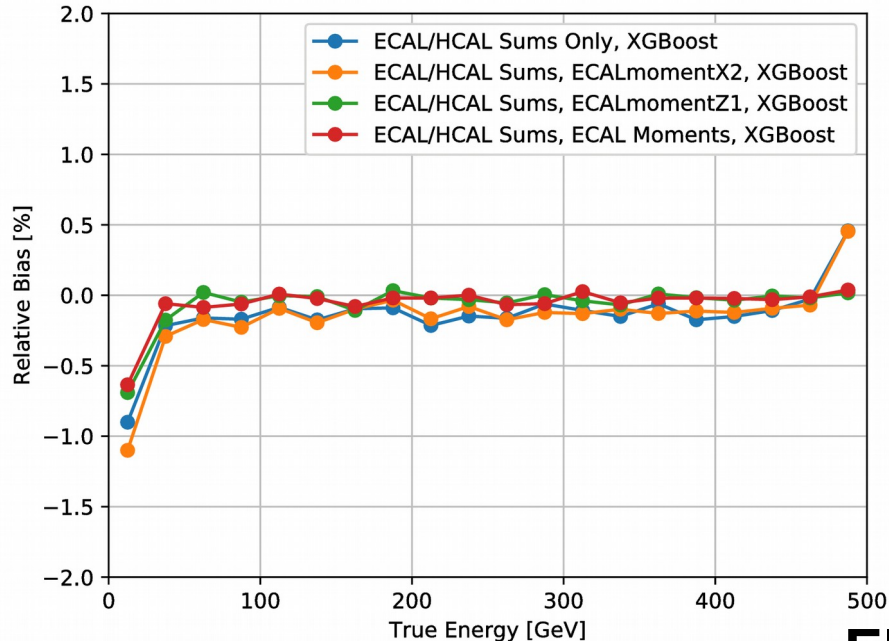
Electrons



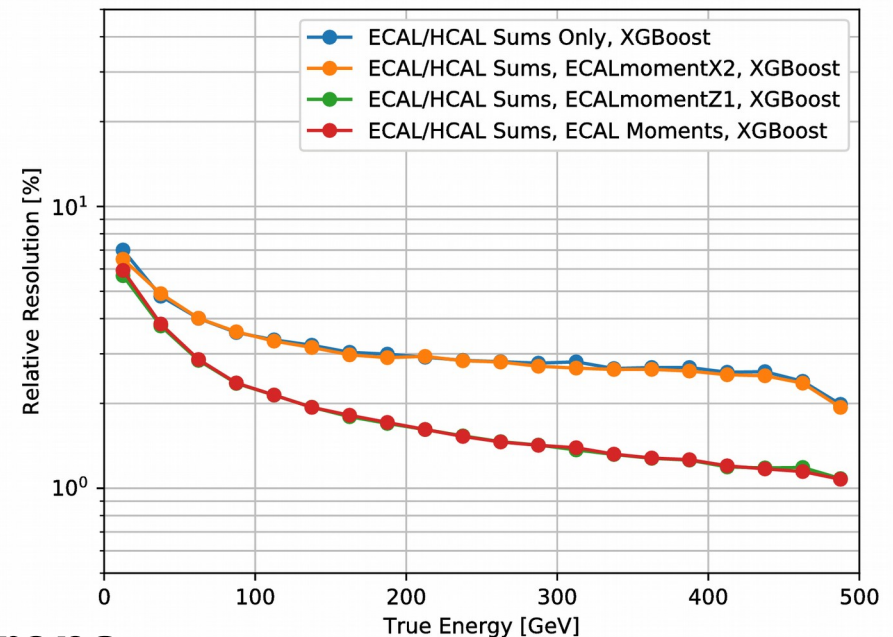
# Importance of Calo Moments

- Add just **one moment** on top of the ECAL, HCAL energy sums
- Basically **all of the extra power comes from Z1 moment**, the depth of the shower
- **Almost no impact from adding X2**, width in phi
  - Was included for remaining results, but could be dropped

Smaller y scale!

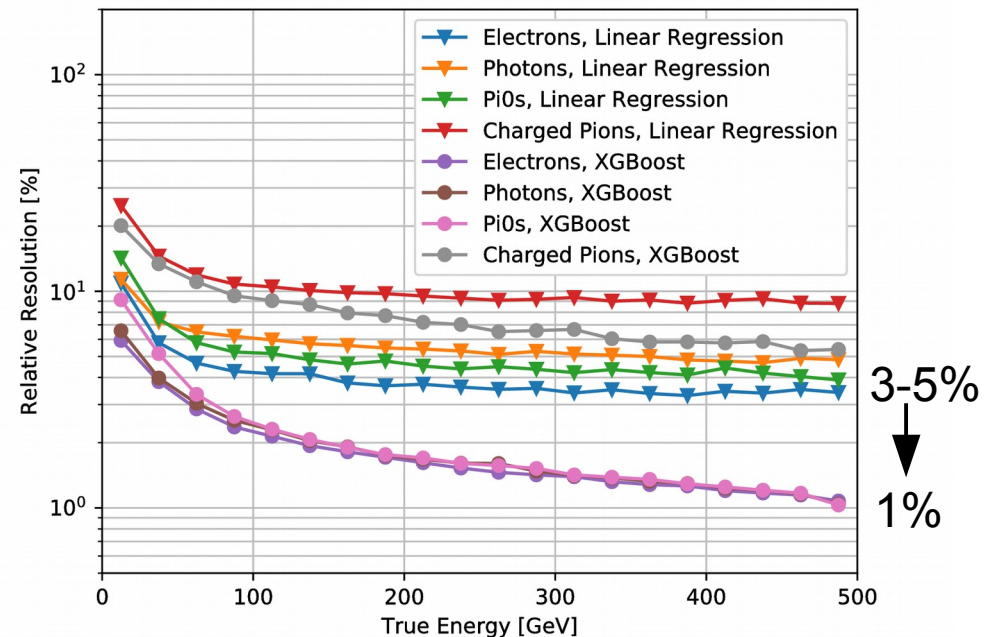
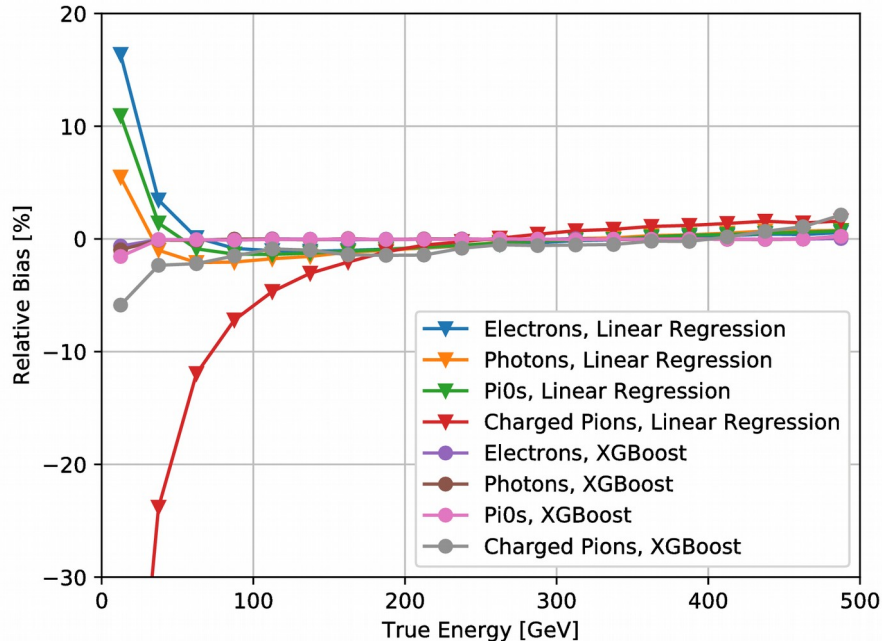


**Electrons**



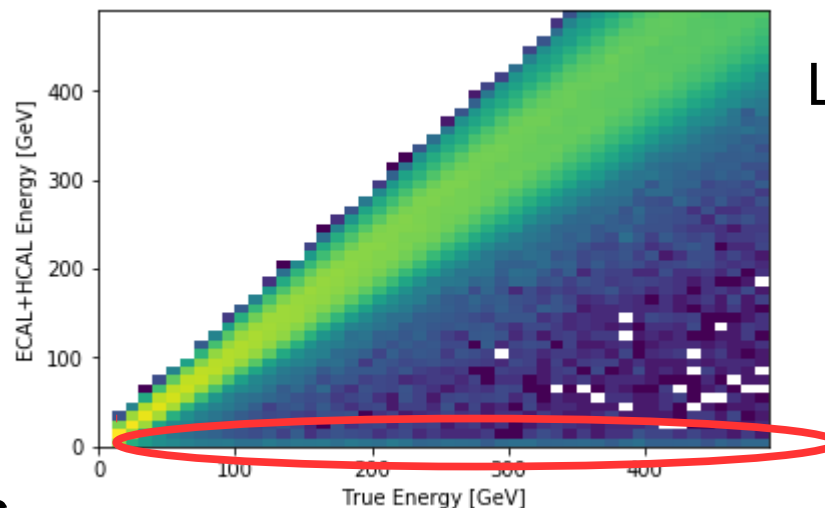
# All Particle Types, Fixed Angle

- Showing linear regression with ECAL / HCAL energies
- And XGBoost with energies + shower moments
- **Good results for electrons, photons, pi0s**
  - Similar resolution above 100 GeV
  - Resolution slightly worse for pi0 at lower energy
- **Charged pions worse**, for bias and for resolution
  - See next slide



# Charged Pion Observations

- With improved centering and larger calo window in h5 files, vast majority of charged pion events are **contained in window**
- Still observe a **small fraction** ( $\sim 0.5\%$ ) that have **less than 30% of true energy in the reco window**
  - 30% is arbitrary. 0.2% have  $< 5\%$  of true energy in the window.
- Removing those events **improves regression performance**
  - Already removed in results shown
- But overall performance **still not great for charged pions**



Log color scale

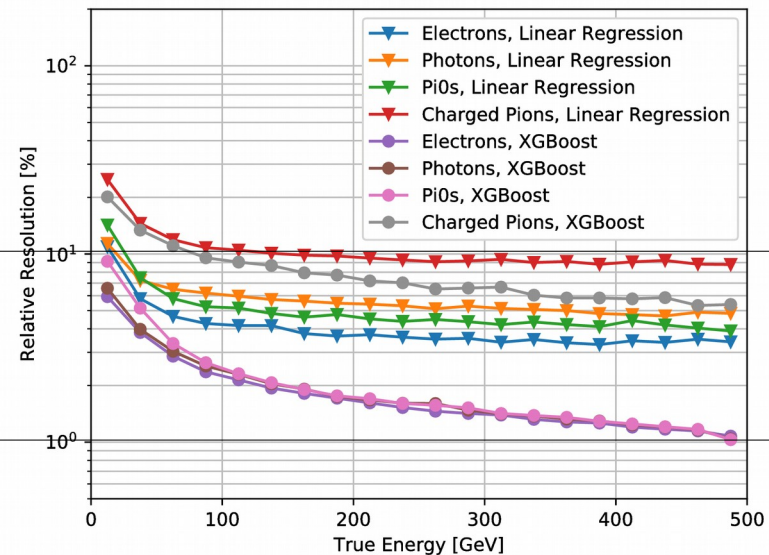
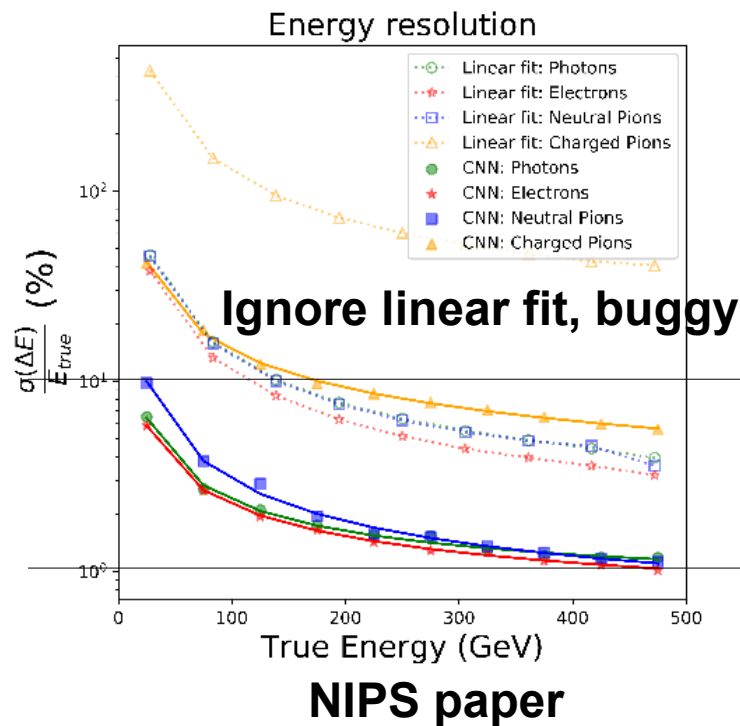
$\sim 0.2\%$  of events with almost no reco energy

Charged Pions

7

# Comparison to NIPS Paper

- Performance of XGBoost regression with total energies and shower shapes is **comparable to CNN from NIPS paper**
- For detailed comparison, would want to run on exact same events, same plotting code, etc





# Next Steps

- Propose **including this** in the next paper as an **intermediate baseline for regression**
  - BDT with shower shape information is already used for egamma energy regression in CMS
  - ... though depth information isn't available in CMS ECAL
- Would need a **more controlled comparison** with best CNN/DNN model using images to see **size of differences**
- Depending on difference to XGBoost baseline, **conclusions about sensitivity of CNN/DNN may change**
  - But anyway we should **aim to surpass the NIPS CNN results**

# Bonus Slides

# Samples / Details

- Samples: new larger window samples, fixed angle, with features
  - On culture-plate at caltech:
    - /data/shared/LCDLargeWindow/fixedangle/\*Escan/\*.h5
  - Made slimmed versions with only features (no images):
    - /data/shared/LCDLargeWindow/fixedangle/\*Escan/merged\_featuresonly/
  - ~800k events, 70% train, 30% test
- Running XGBoost in python with:
  - maxdepth 3, up to 1000 rounds
  - Early stopping if test loss doesn't improve for 10 rounds

## Mean Bias

$$\text{mean}(E_{\text{true}} - E_{\text{pred}} / E_{\text{true}}) * 100$$

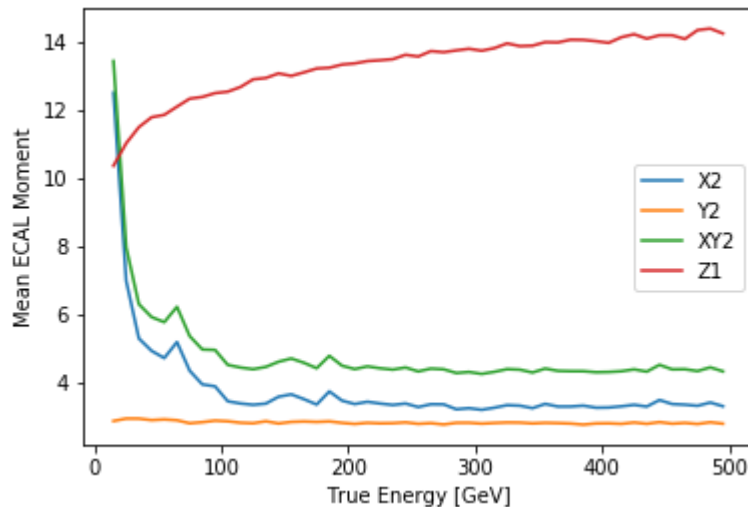
## Resolution

$$\text{RMS}(E_{\text{true}} - E_{\text{pred}} / E_{\text{true}}) * 100$$

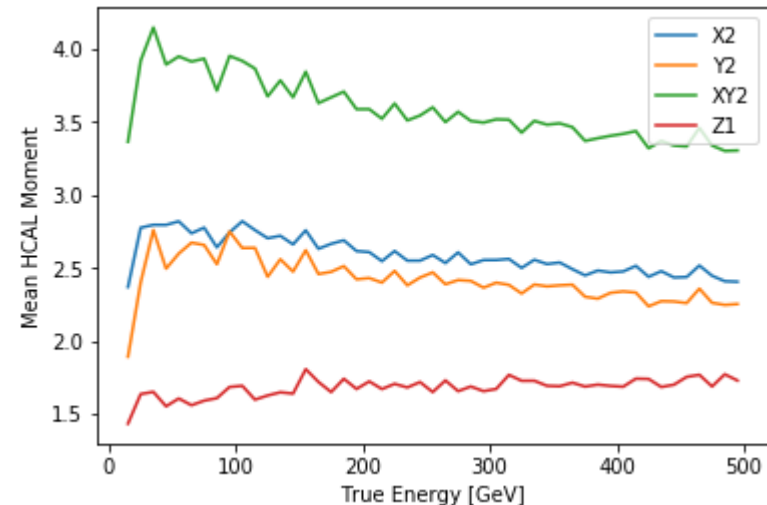
# Mean Shower Moments vs E

- Clear dependence in ECAL on moments Z1, X2
  - At high E, showers narrower in  $\phi$ , deeper in  $z$
  - ~No dependence for Y2 (eta direction)  $\rightarrow$  XY2 dependence comes mostly from X2
- In HCAL, some potential dependence
  - But note that fraction of energy in HCAL is quite small usually, 0-5% for electrons

## ECAL



## HCAL



## Electrons