

ISAPP School 2018 : “LHC meets Cosmic Rays”

CERN, Geneva, Switzerland

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# Extragalactic cosmic rays and the Galactic/extragalactic transition

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Mixity & gender equity



Extreme Universe Space Observatory

# Overview

## ✧ UHECRs: very brief phenomenology

- ✧ Acceleration
- ✧ Propagation
- ✧ Energy spectrum
- ✧ Composition
- ✧ Arrival directions (anisotropies)

UHECR = Ultra-high-energy cosmic rays

## ✧ The GCR/EGCR transition

- ✧ What is the issue, why is it important?
- ✧ How can we learn something about it?
- ✧ What can we learn from it?

GCR = Galactic cosmic rays

EGCR = extragalactic cosmic rays

## ✧ Lessons from the GCR/EGCR transition

- ✧ General phenomenology of a transition
- ✧ Lessons for the GCRs
- ✧ Lessons for the EGCRs
- ✧ An example of a “working”, two-component model

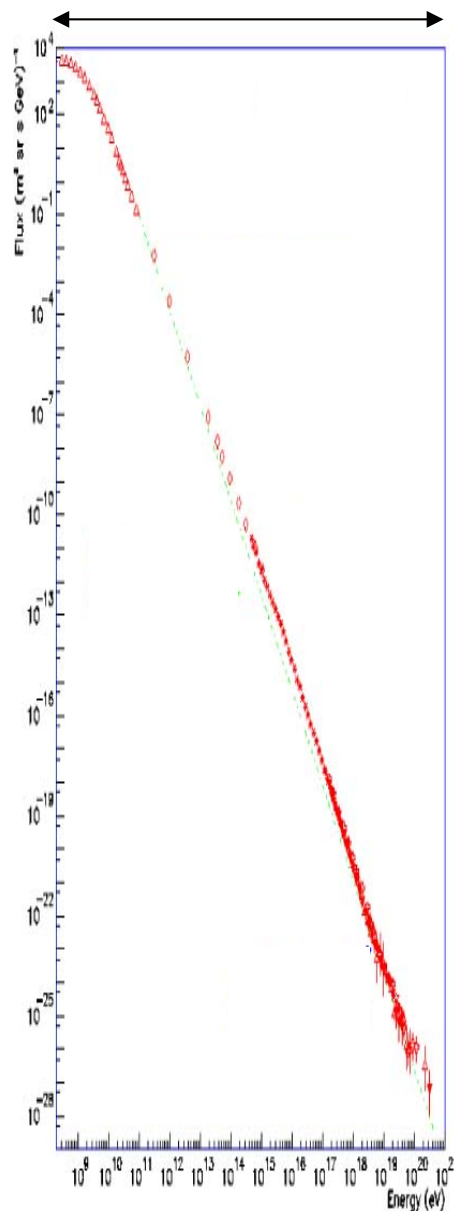
# Preliminary comment

- ✧ Cosmic rays gave birth to Particle Physics
  - ✧ First window opened onto the subatomic world
  - ✧ Discovery of antimatter (1932)
  - ✧ Discovery of the muon (1936)
  - ✧ Discovery of pions (1947)
  - ✧ Discovery of “strange particles”,  $K$ ,  $\Lambda$ ,  $\Xi$ ,  $\Sigma$ ... (1949–1953)
- ✧ Particle Physics repudiated cosmic rays
  - ✧ CERN created in 1954
  - ✧ Particle physics doesn't need cosmic rays anymore (so she believes!)
- ✧ Cosmic rays are back and welcome!
  - ✧ LHC:  $10^{13}$  eV ; UHECRs:  $10^{20}$  eV
  - ✧ Particle physics needs cosmic rays
  - ✧ Cosmic rays need particle physics (showers)

=> “LHC meets cosmic rays” ... again!
- ✧ But cosmic rays are also interesting for themselves!

# Cosmic rays

> 12 orders of magnitude!



4 per cm<sup>2</sup>  
per second

32 orders of magnitude ( /m<sup>2</sup>/sr/GeV )

1 per m<sup>2</sup> per  
billion years

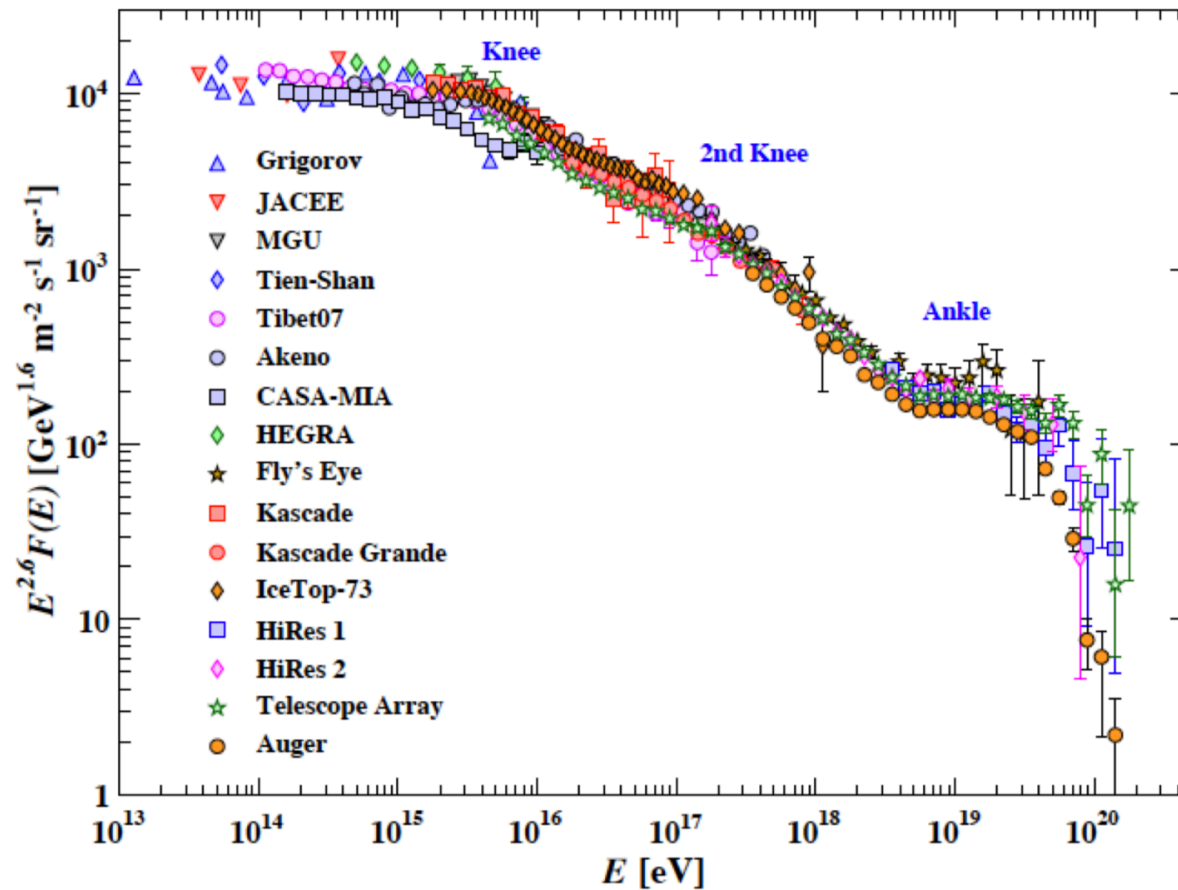
- ✧ Major phenomenon !
  - ✧ Universal
  - ✧ Out of equilibrium!!!
- ✧ Major role in Galactic ecology !
  - ✧ Energy density ~ star light, thermal, B field
  - ✧ Regulate the equilibrium between the different phases of the interstellar medium
  - ✧ Control ionisation, heating
  - ✧ Regulate star formation
  - ✧ Control astrochemistry
  - ✧ Generate turbulent magnetic field
  - ✧ Produce Li, Be and B!
- ✧ Major unknown !
  - ✧ Sources are unknown (Galactic and Extragal.)
  - ✧ Acceleration processes are uncertain

#IMHO: CRs are so important that our current state of ignorance is truly exciting!

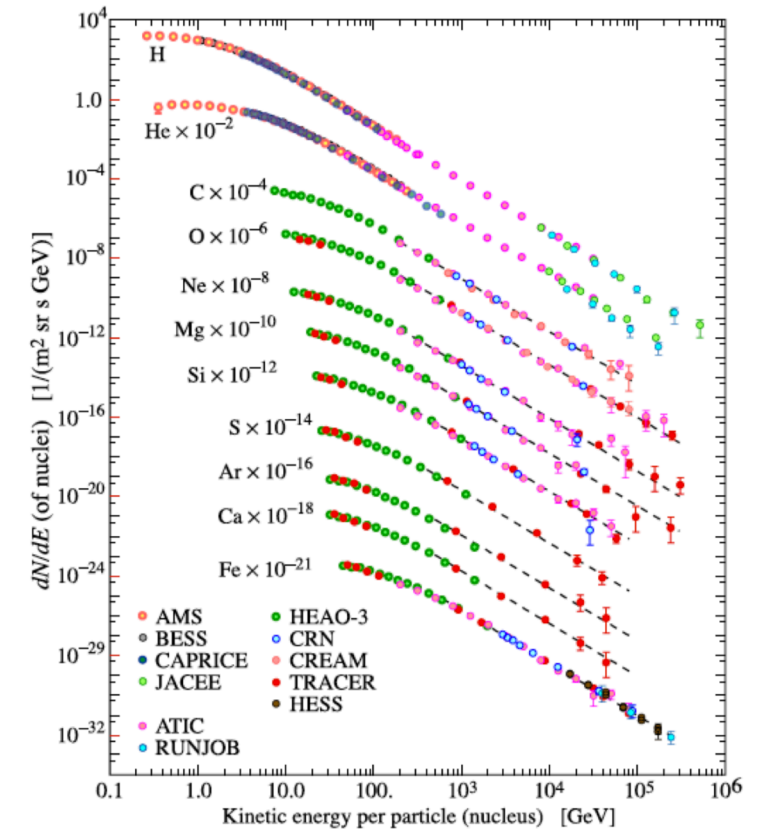


# Cosmic rays

- ✧ A few significant structures
  - clues to understand/constrain underlying phenomena



NB: at "low" energy:



# GCR/EGCR transition (1)

Galactic cosmic rays

extragalactic cosmic rays



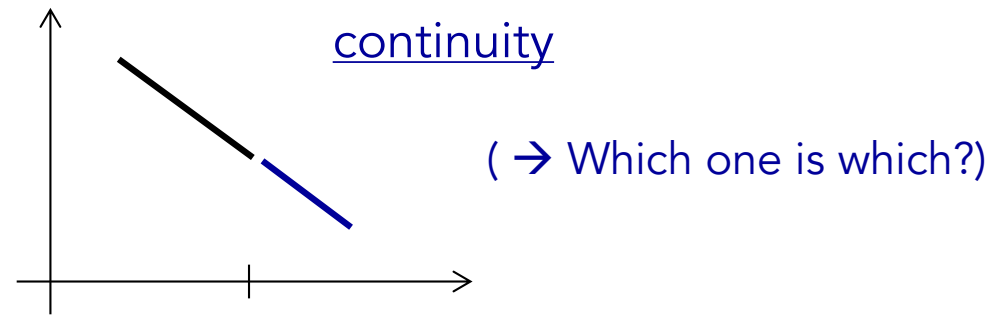
# GCR/EGCR transition

- ✧ Low-energy cosmic rays are Galactic!
  - ✧ Many possible sources → how could extragalactic sources dominate?  
 Superbubbles, SNRs, pulsars, magnetic reconnection, AGN episodes, starburst episodes, GRBs, TDEs, etc.
  - ✧ Observational proof:  
 Magellanic clouds have a lower gamma-ray emissivity from  $\pi^0$  decay than the Milky-Way => lower cosmic ray flux =>  $\exists$  internal Galactic sources  
 CR flux in LMC  $\sim$  15% of GCR flux (even lower in SMC)
- ✧ Very-high-energy cosmic rays are (almost certainly) extragalactic
  - ✧ Loss of confinement in the Galaxy and its magnetic halo
  - ✧ Large anisotropy would result from active Galactic sources
- ✧ So there must be a transition somewhere!  
 → where?

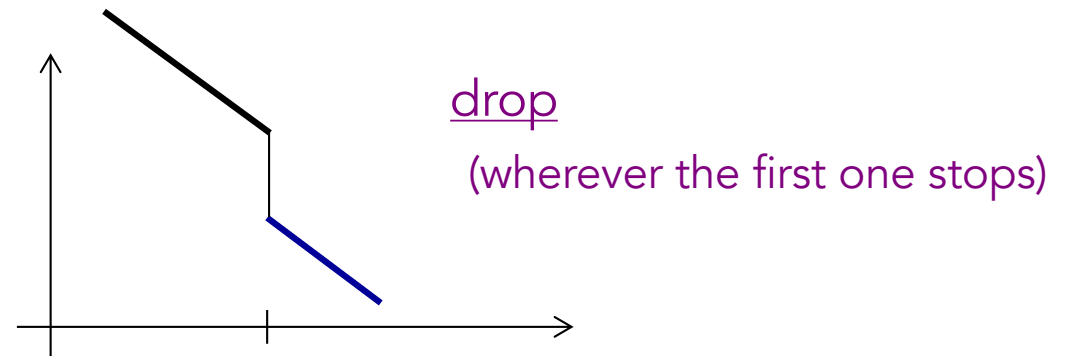
# Transition between two components

## ✧ Case 1: Same spectrum

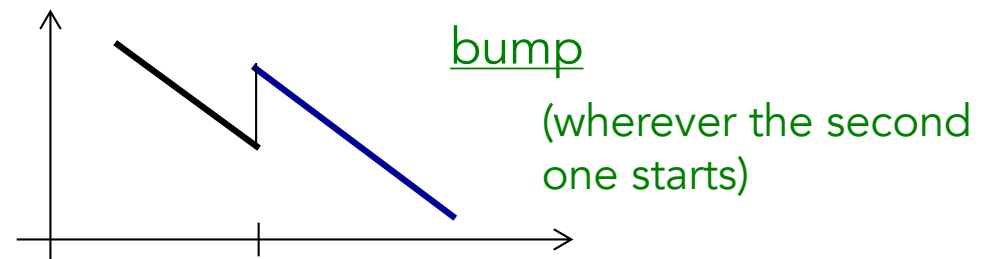
- ✧ Case 1.a: Same normalisation  
very unlikely!



- ✧ Case 1.b: First one is higher

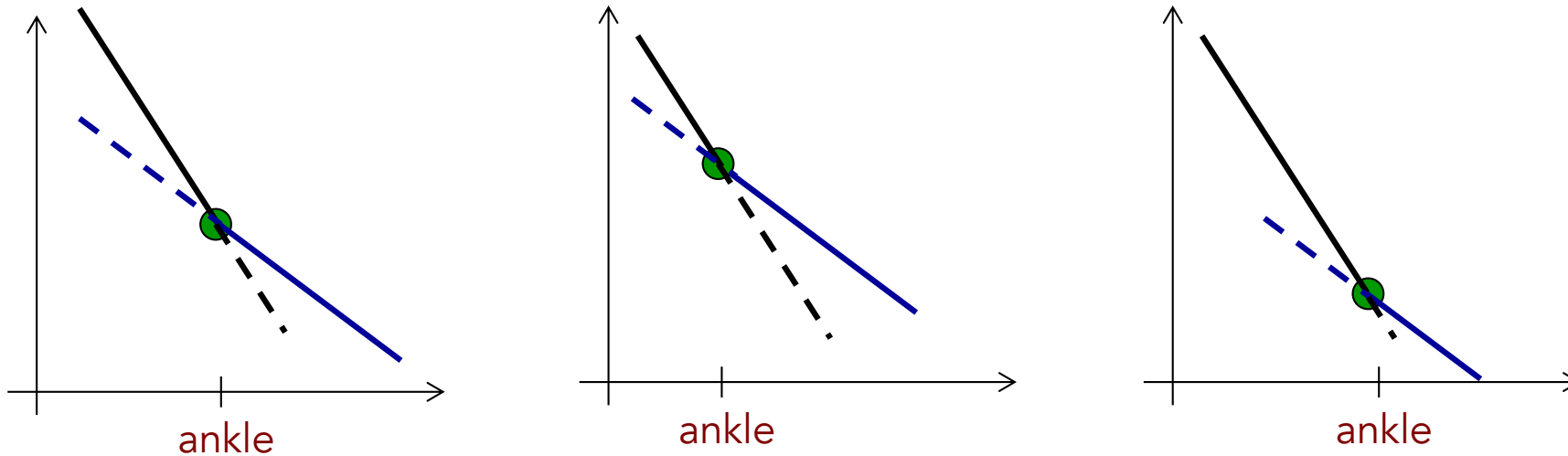


- ✧ Case 1.c: First one is lower



# Transition between two components

- Case 2: from steeper to flatter, i.e. “ankle-like”

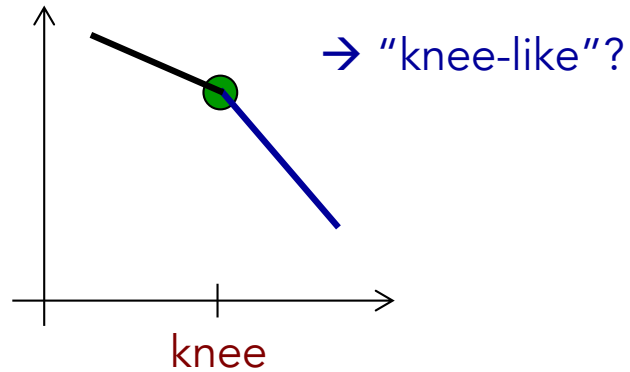


Produces an ankle at whichever energy where the second one overcomes the first one

→ very natural and simple phenomenology

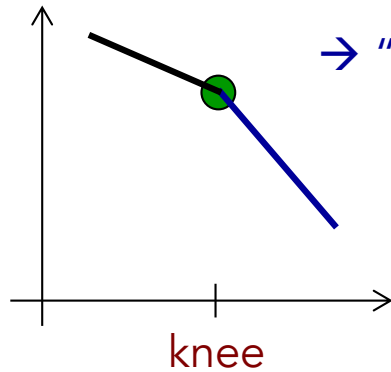
# Transition between two components

- ✧ Case 3: from flatter to steeper

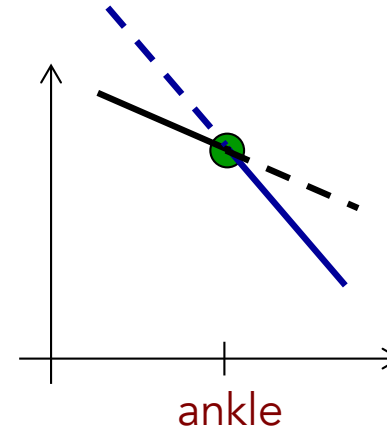


# Transition between two components

- ✧ Case 3: from flatter to steeper



→ “knee-like”?

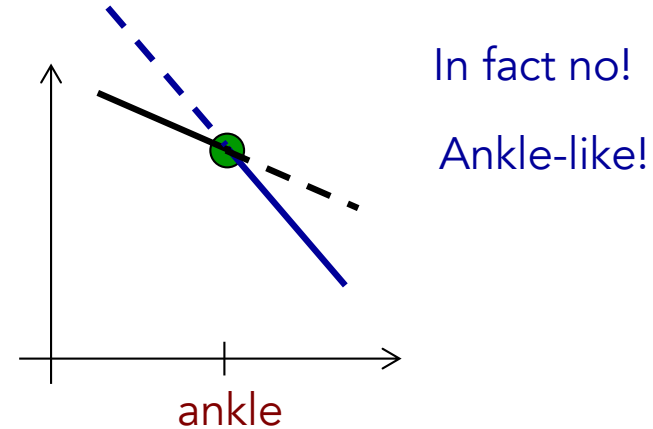
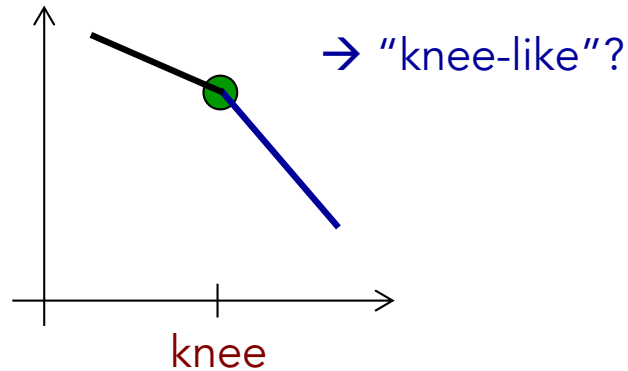


In fact no!

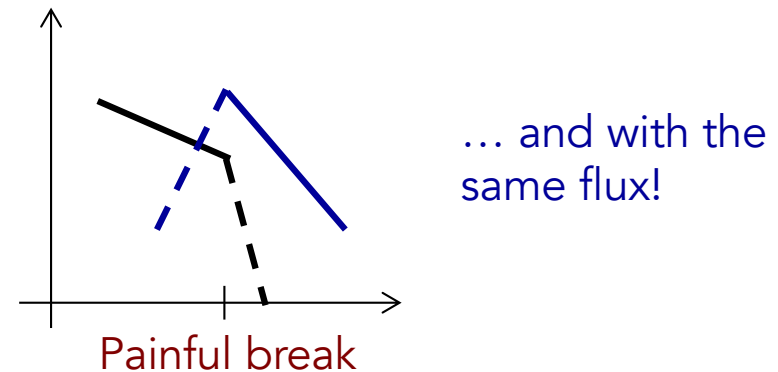
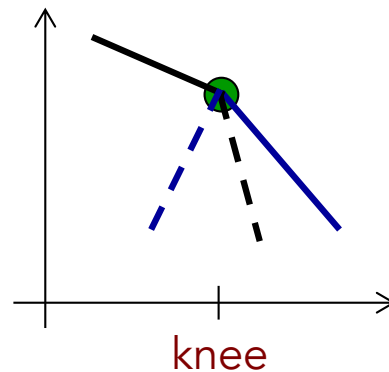
Ankle-like!

# Transition between two components

## ✧ Case 3: from flatter to steeper



Unless the second one starts “exactly” where the first one stops...

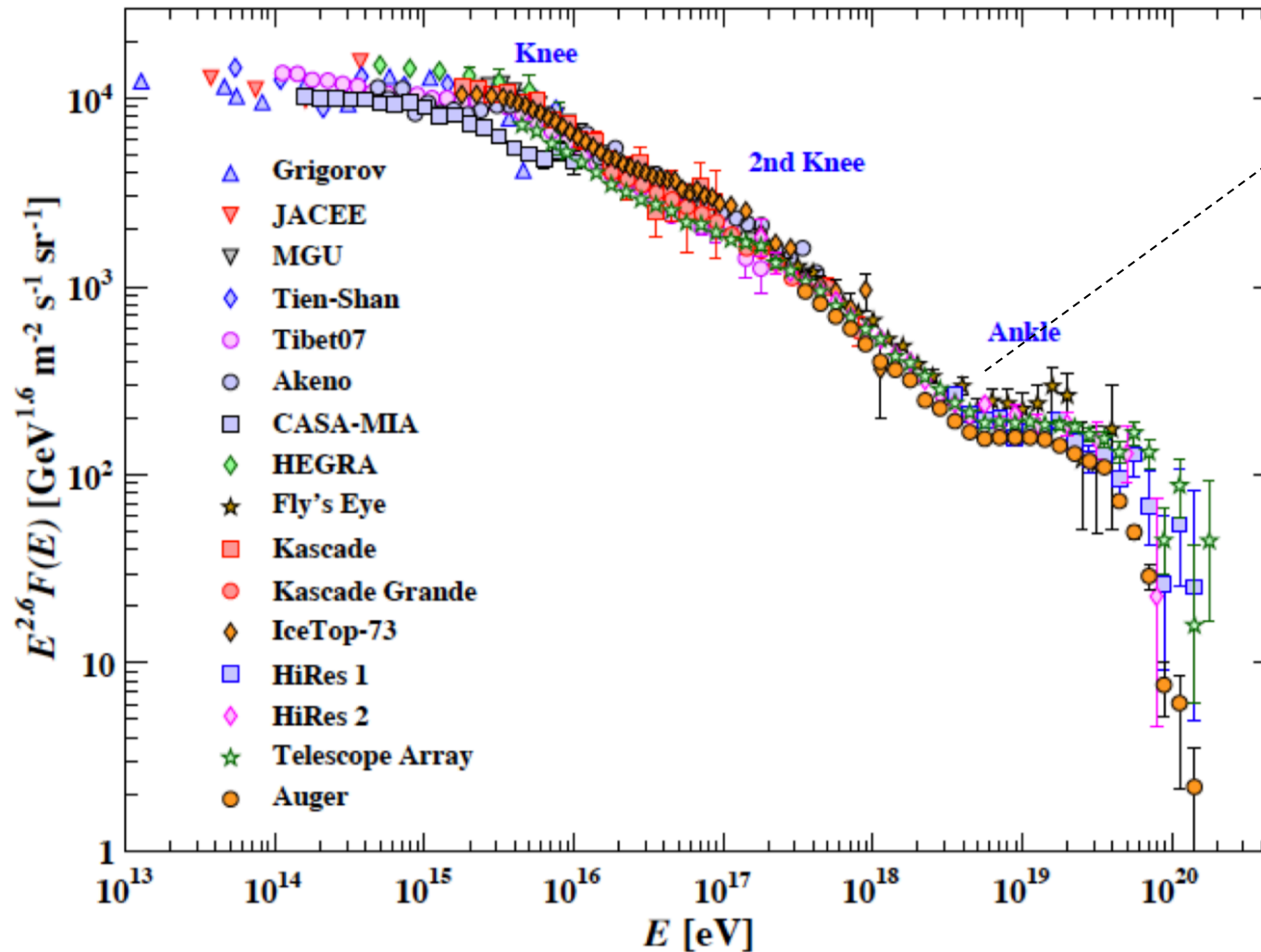


=> very unlikely!



# Transition in the data?

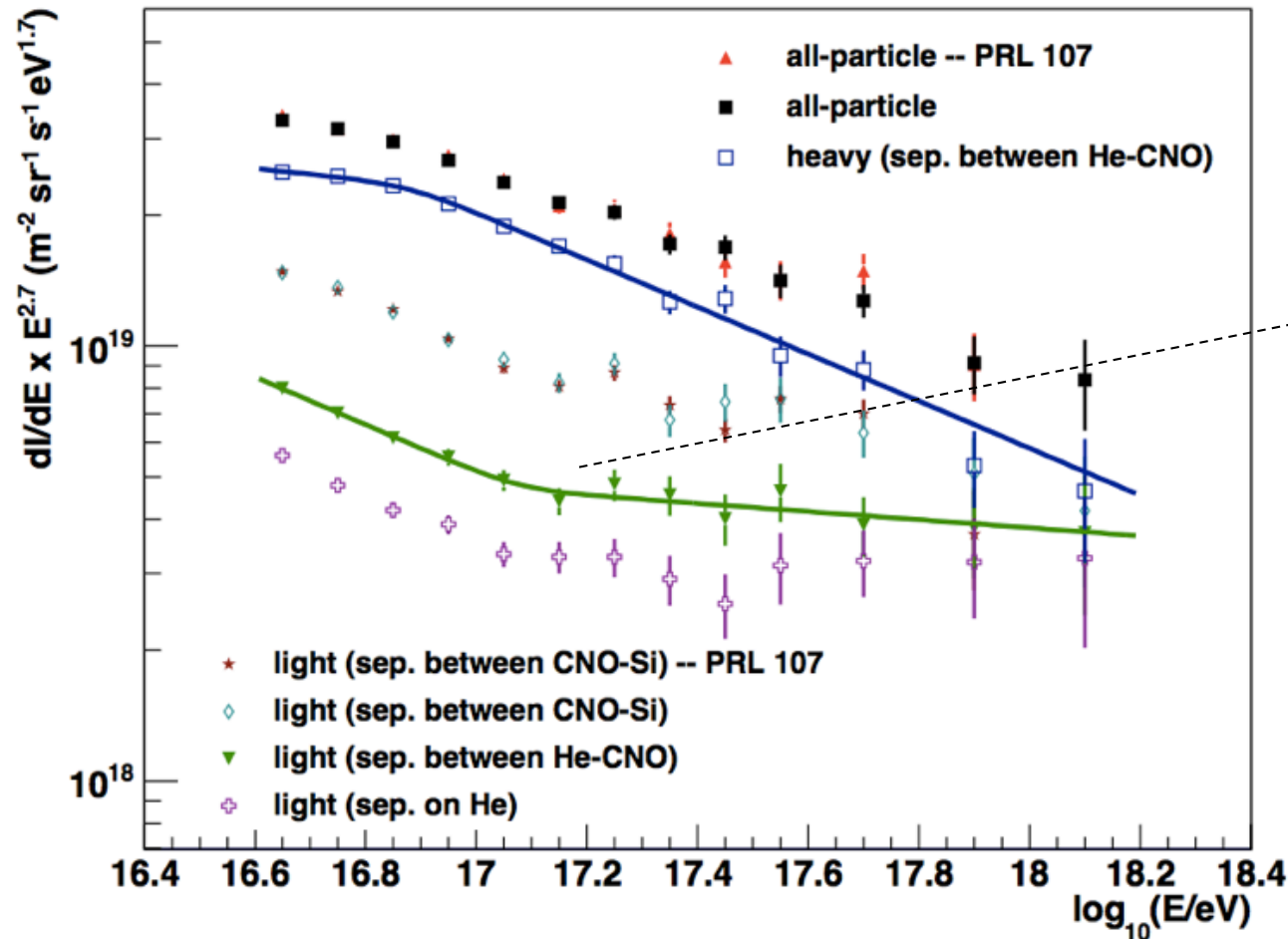
- ✧ All-particle data: there is an ankle indeed!



Natural candidate for the GCR/EGCR transition!

# Transition in the data?

- ✧ KASCADE-Grande data: evidence for a “light-ankle” (protons?)

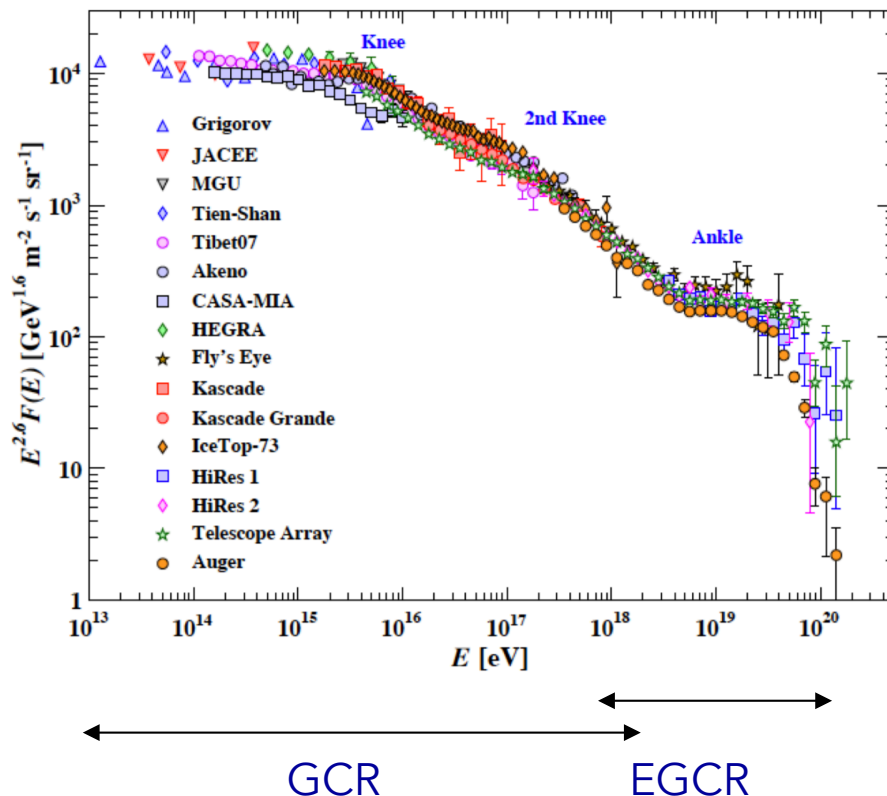


Natural candidate for a GCR/EGCR transition in the light component!

# GCR/EGCR transition: a key issue!



- ✧ Immediate consequences
  - ✧ EGCRs must go as low in energy as the transition!
  - ✧ GCRs must go as high in energy as the transition!



- ✧ Forget PeVatrons: think EeVatrons!
  - ✧ Galactic sources must accelerate particles to much high energies than the knee → crucial constraint!
- ✧ Magnetic confinement
  - ✧ Galactic magnetic field should confine particles up to the ankle!
- ✧ Magnetic horizon
  - ✧ Extragalactic magnetic field should not prevent ankle particles from reaching us from extragalactic sources
- ✧ EGCR flux level & UHECR spectrum!

**UHECRs:**  
**crash course summary**

# UHECRs: crash course summary

- ✧ Observables: 3 spectral dimensions
  - ✧ Energy → energy spectrum
  - ✧ Nature of the cosmic ray → composition (or mass spectrum)
  - ✧ Arrival direction → anisotropies, angular spectrum
  
- ✧ Acceleration
  - ✧ What are the sources?
  - ✧ What is the acceleration process?
  - ✧ What is the power imparted to cosmic rays?
  - ✧ What is the energy spectrum at and out of the source?
  - ✧ What is the maximum energy? (or energies!)
  - ✧ What is the source composition?
  
- ✧ Propagation
  - ✧ Modification of the spectrum: energy losses
  - ✧ Modification of the composition: nucleon losses
  - ✧ Modification of the trajectories: pointing losses

# UHECRs: crash course summary

## ✧ Observables: 3 spectral dimensions

- ✧ Energy → energy spectrum
- ✧ Nature of the cosmic ray → composition (or mass spectrum)
- ✧ Arrival direction → anisotropies, angular spectrum

Revolutionary data since ~10 years  
New generation of detectors needed

## ✧ Acceleration

- ✧ What are the sources?
- ✧ What is the acceleration process?
- ✧ What is the power imparted to cosmic rays?
- ✧ What is the energy spectrum at and out of the source?
- ✧ What is the maximum energy? (or energies!)
- ✧ What is the source composition?

Unknown

Unknown

few  $10^{44}$  erg Mpc<sup>-3</sup> yr<sup>-1</sup>

Unknown

Unknown

Unknown

## ✧ Propagation

- ✧ Modification of the spectrum: energy losses
- ✧ Modification of the composition: nucleon losses
- ✧ Modification of the trajectories: pointing losses

Well understood

Well understood

Well understood, but unknown magnetic field!

# Energy dimension: acceleration

- ✧ Acceleration up to  $10^{20}$  eV is VERY challenging!
- ✧ No permanent E fields in the universe, except at “machines” like pulsars/magnetars

$$E_{\max} < B\Omega R^2$$

- ✧ Transitory E fields: reconnection
- ✧ “Change-of-frame acceleration” (shock waves + turbulence)

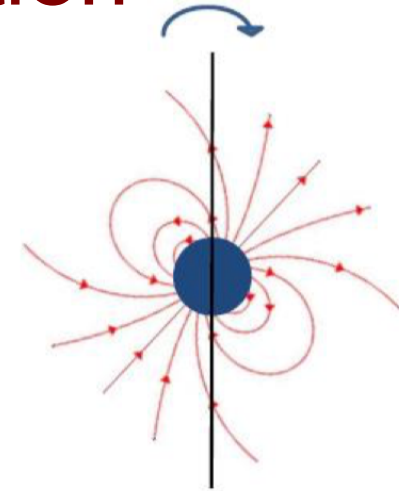
$$E_{\max} < ZuBL$$

## ✧ Confinement problem

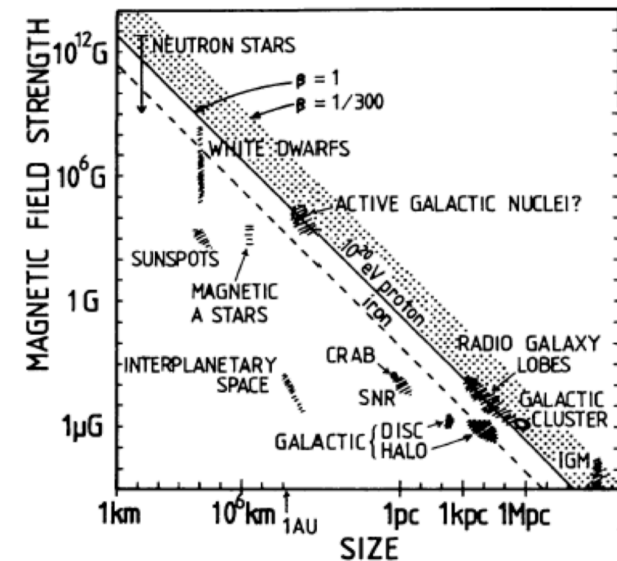
- ✧ Keep the particles in the accelerator!

$$E_{\max} < ZuBL$$

- ✧ => large magnetic fields and/or large size needed!



Hillas 1984



# Energy dimension: acceleration

✧ Acceleration up to  $10^{20}$  eV is VERY challenging!

✧ Source power

✧ Magnetic energy passing through the source

$$P_{mag} = uL^2 \left( \frac{B^2}{2\mu_0} \right)$$

✧ Minimum power requirement:

$$E_{max} < ZuBL \longrightarrow P_{source} > P_{mag} = \left( \frac{Z}{6} \right)^{-2} \left( \frac{E_{max}}{100\text{EeV}} \right)^2 \left( \frac{u}{c} \right)^{-1} 4 \times 10^{42} \text{ erg s}^{-1}$$

✧ Put in efficiencies and loss processes!

✧ Conclusion: VERY challenging indeed... especially for protons!

But  $E_{max}(\text{Fe}) = 26 \times E_{max}(\text{proton})$  (if no losses or photo-dissociation)



# Energy dimension: propagation

(= transport from the sources to the Earth)

- ✦ Interaction of Galactic cosmic rays with the ambient medium
  - ✦ At low energy: spallation, ionisation losses, Bremsstrahlung, pion production, Inverse Compton... (cf. Pasquale Blasi's lecture)
  - ✦ At high energy, say above 10 GeV : negligible!
 

(GRCs leak out of the Galaxy unaffected)
- ✦ Steepening of GCR spectrum through energy-dependent confinement



Simplified propagation equation  
("leaky box")

$$\frac{dN(E)}{dt} = Q(E) - \frac{N(E)}{\tau_{\text{esc}}(E)}$$

Steady state solution

$$N(E) = Q(E) \times \tau_{\text{esc}}(E)$$

source term

$$Q(E) \propto E^{-x}$$

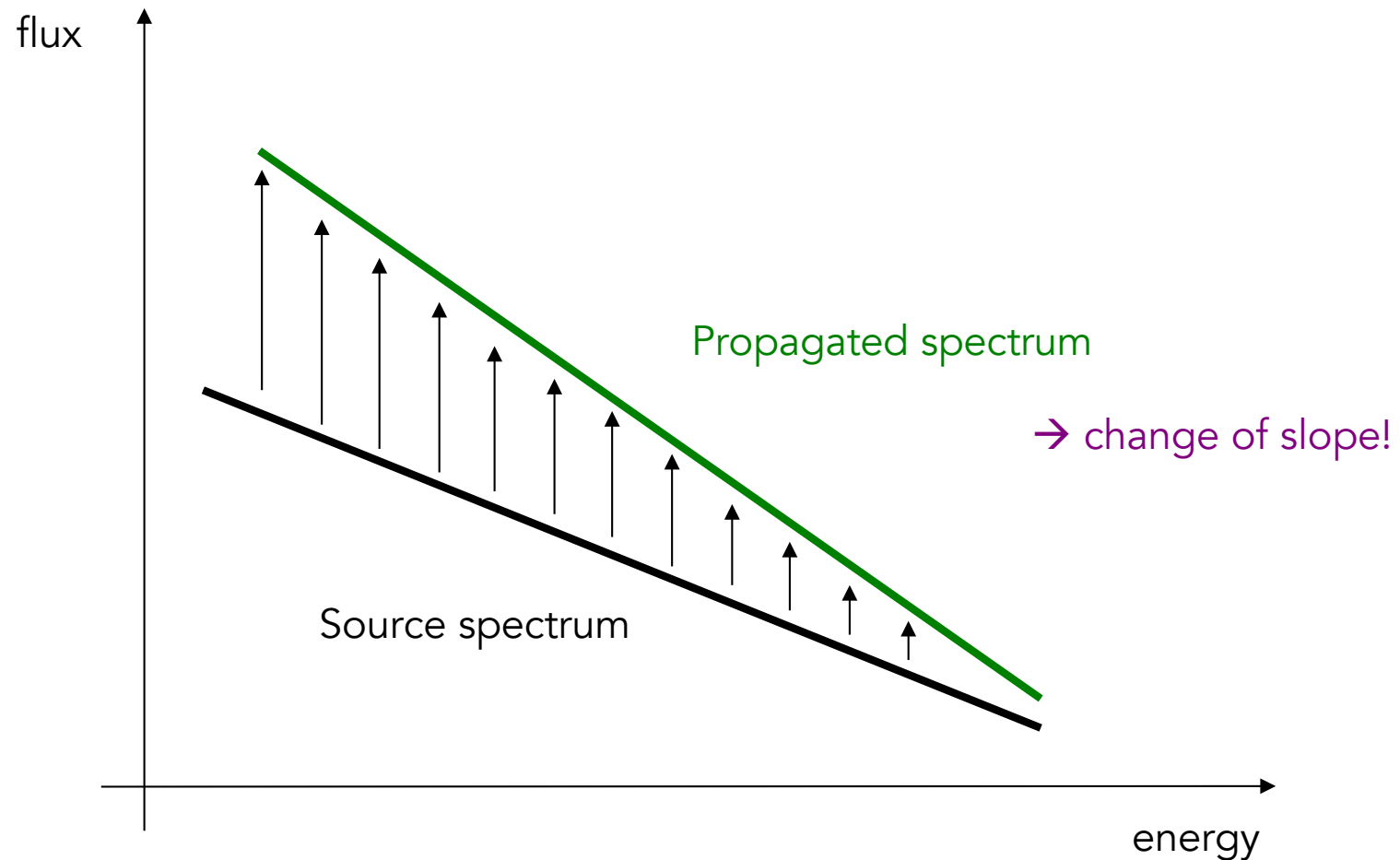
confinement time

$$\tau_{\text{esc}}(E) \propto E^{-a}$$

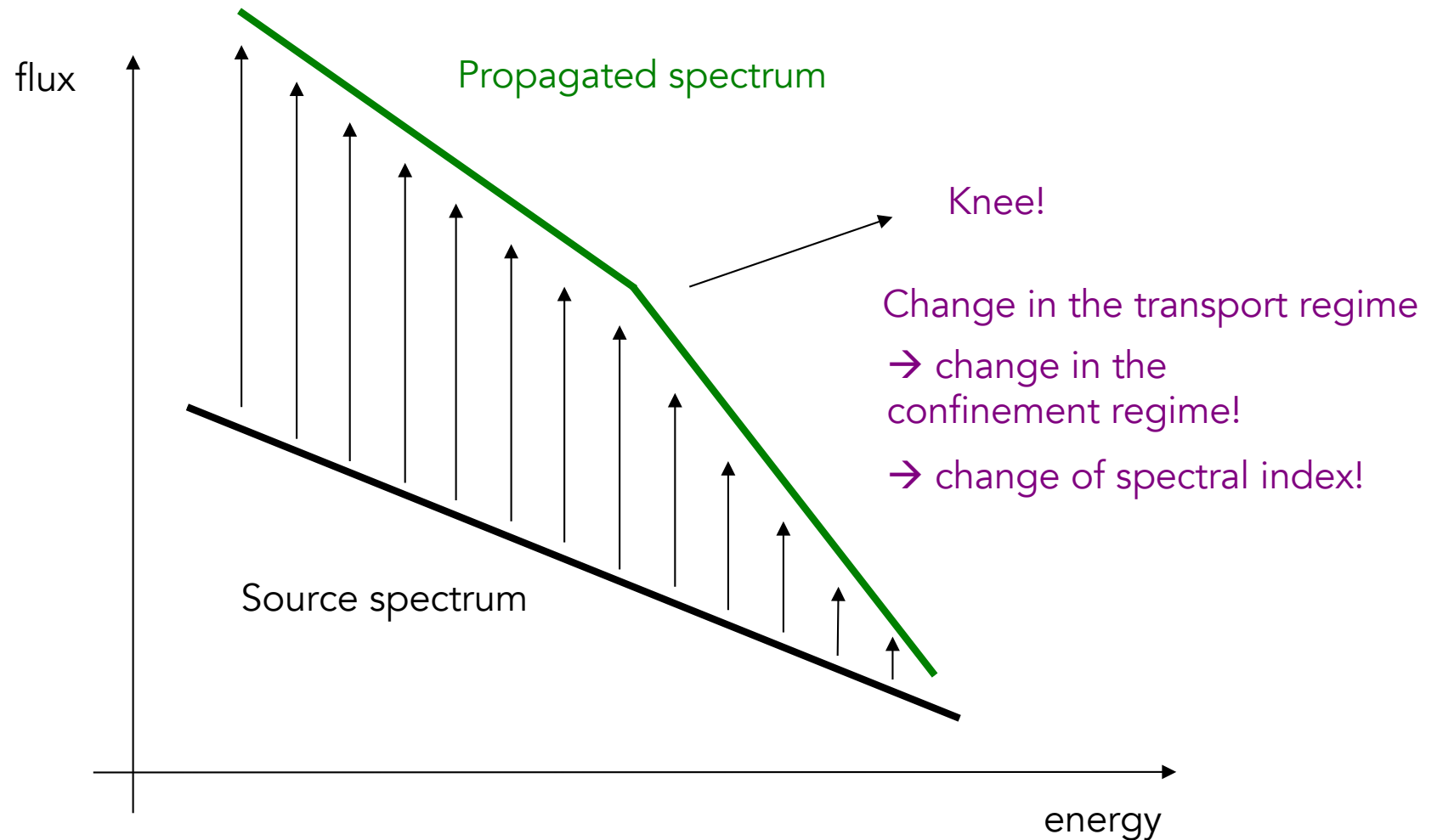
steeper spectrum:

$$N(E) \propto E^{-(x+a)}$$

# Energy dimension: propagation



# Energy dimension: propagation



# UHECR propagation

✧ Interaction of extragalactic cosmic rays with the ambient medium

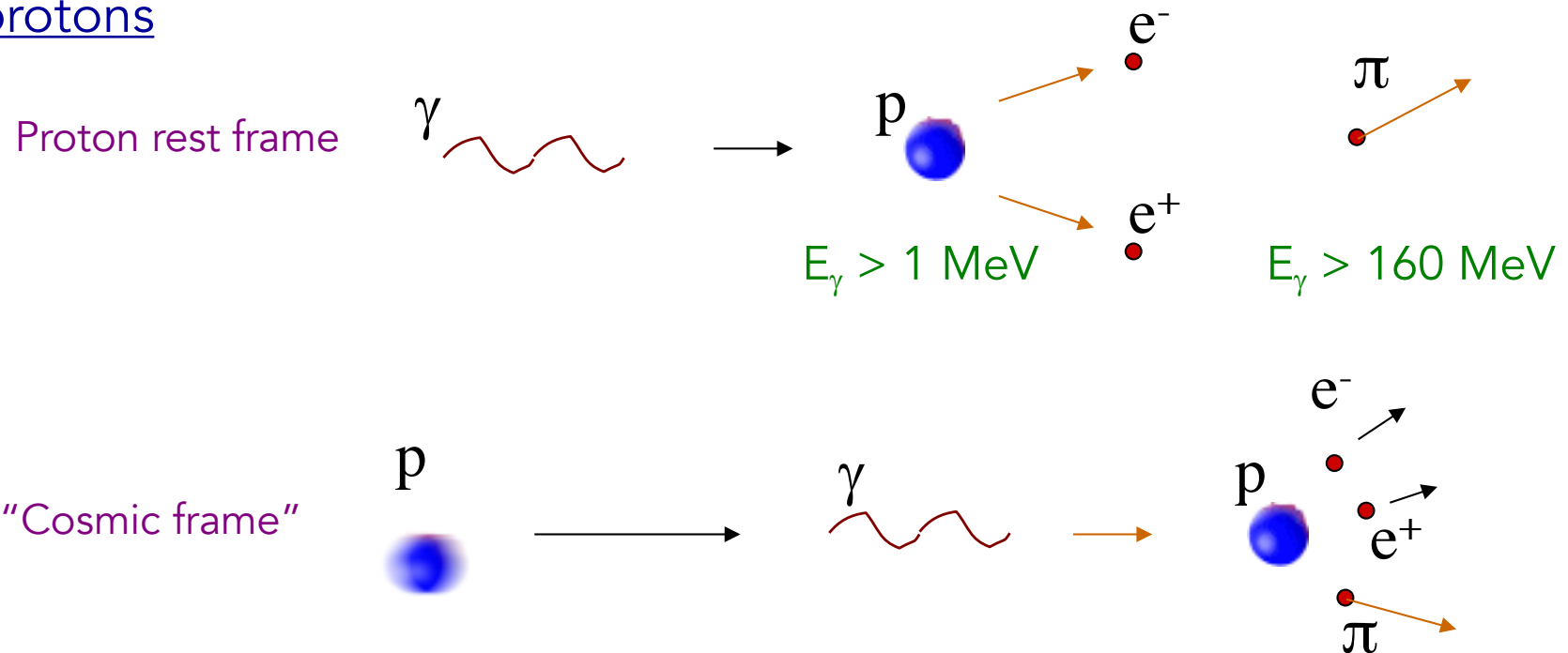
✧ It's almost empty... but full of photons!

The GZK effect!

(Greisen, Zatsepin, Kuz'min)

Cosmological microwave background (CMB)

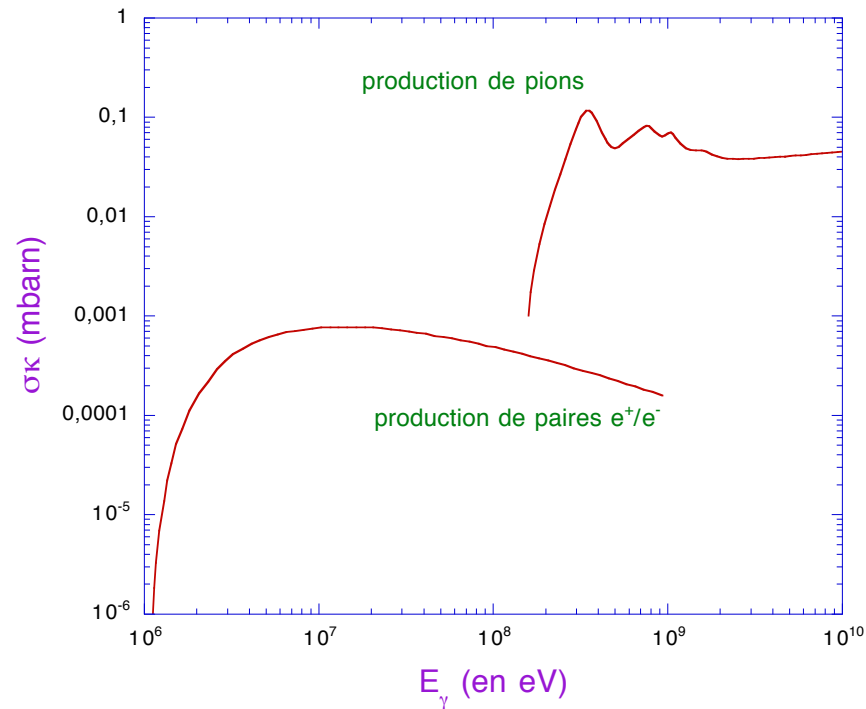
## UHE protons



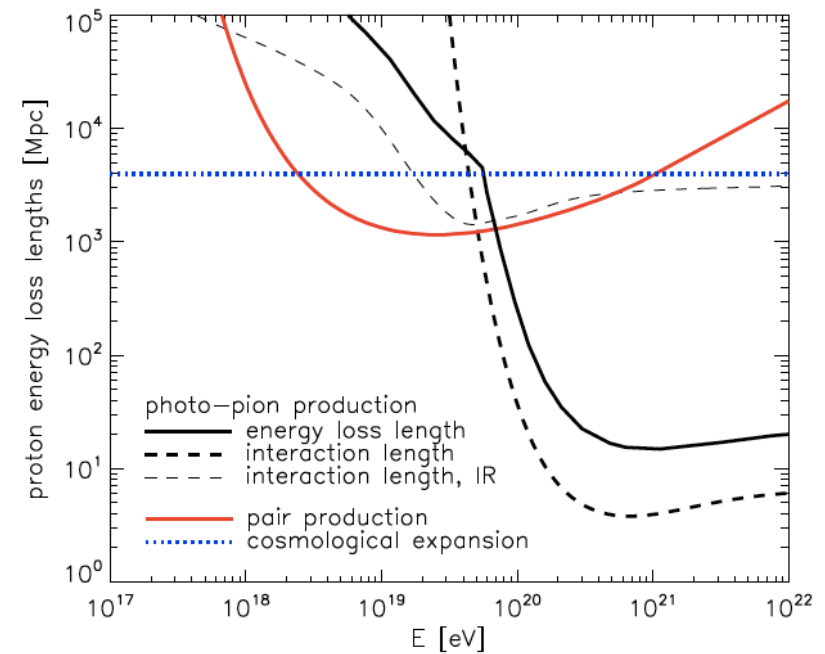
# UHECR propagation

## ✧ The GZK effect for protons

[cross section] x [inelasticity]

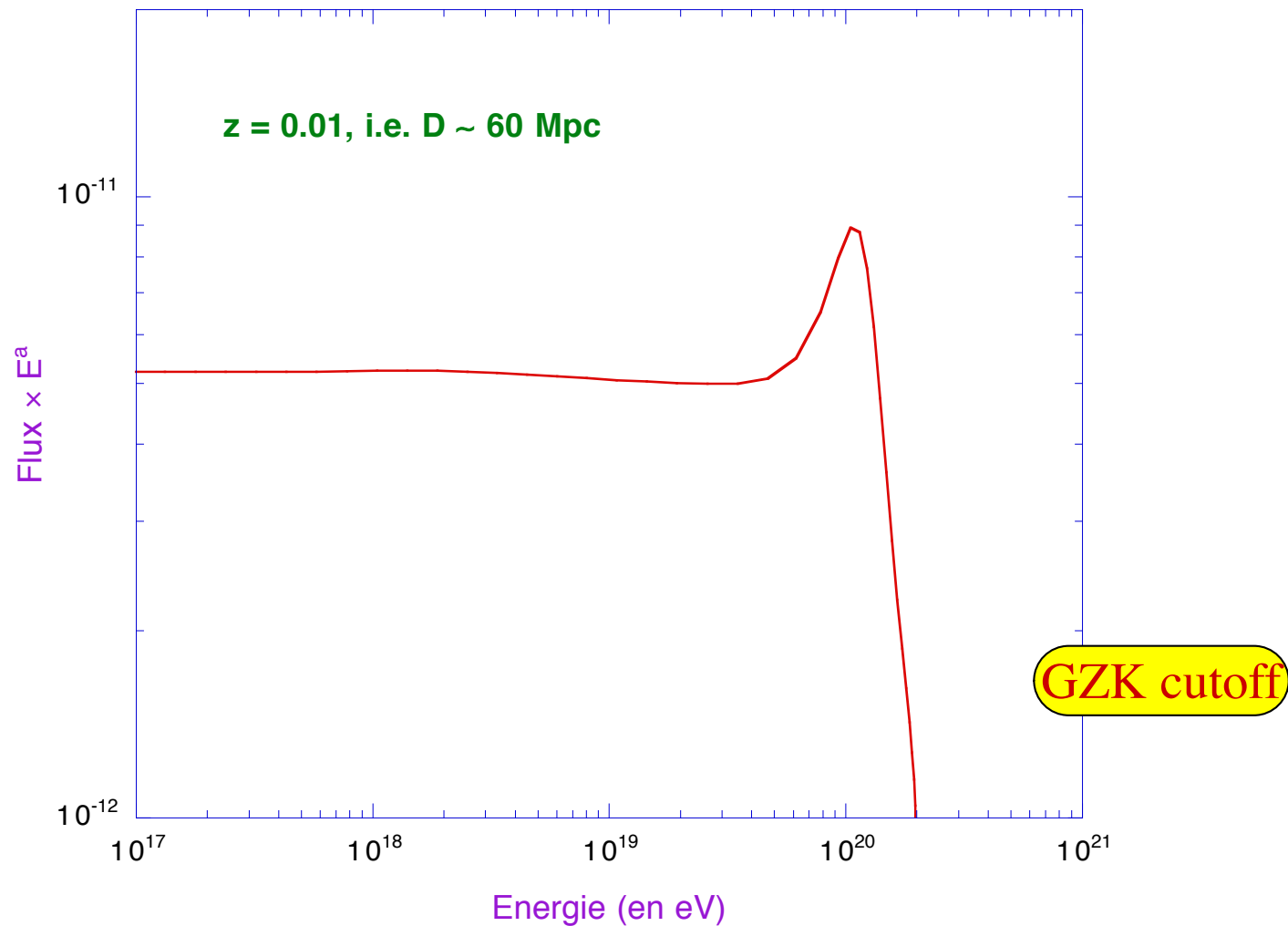


Attenuation length



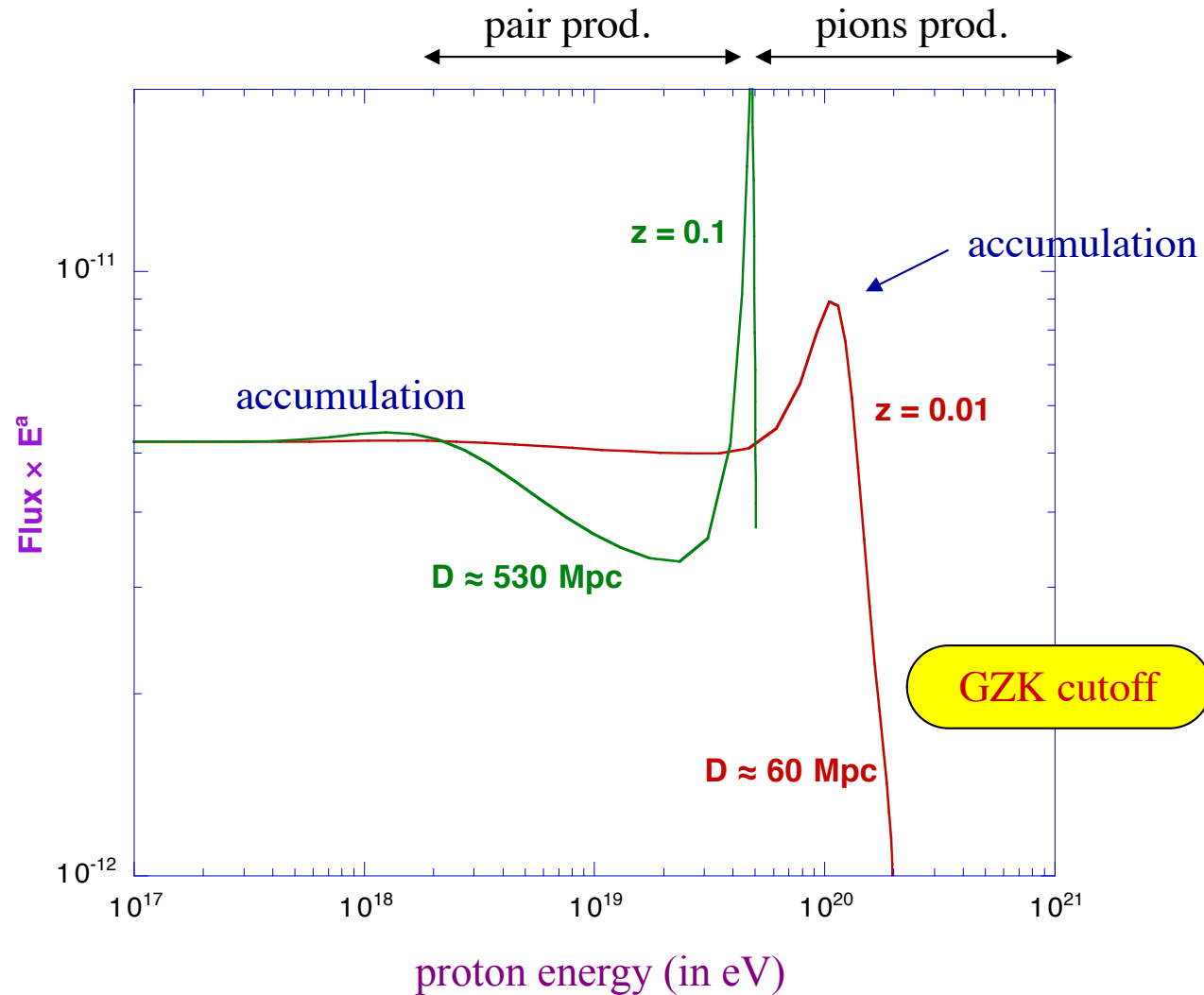
# UHECR propagation

- ✧ The GZK effect for protons



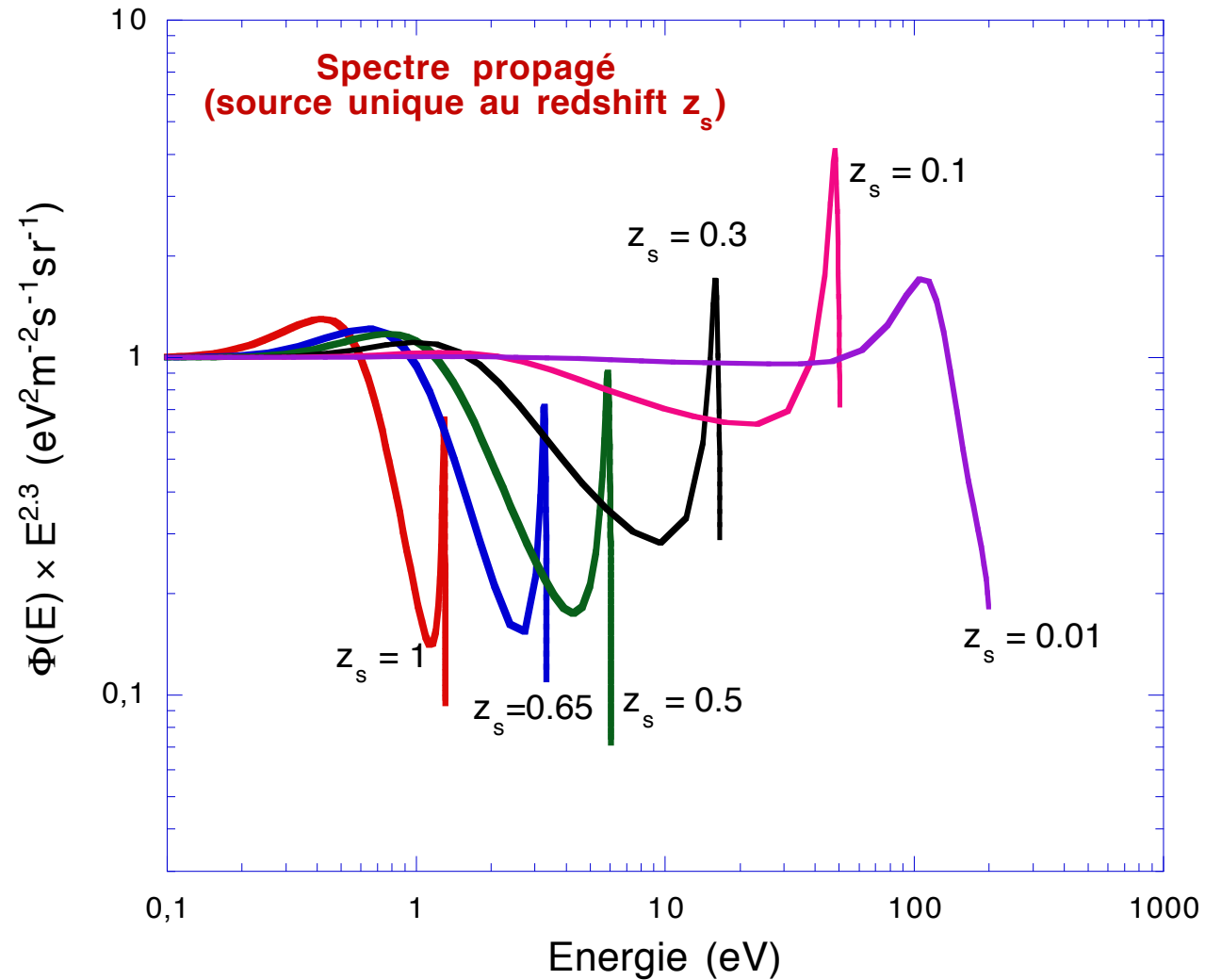
# UHECR propagation

## ✧ The GZK effect for protons



# UHECR propagation

- ✧ The GZK effect for protons

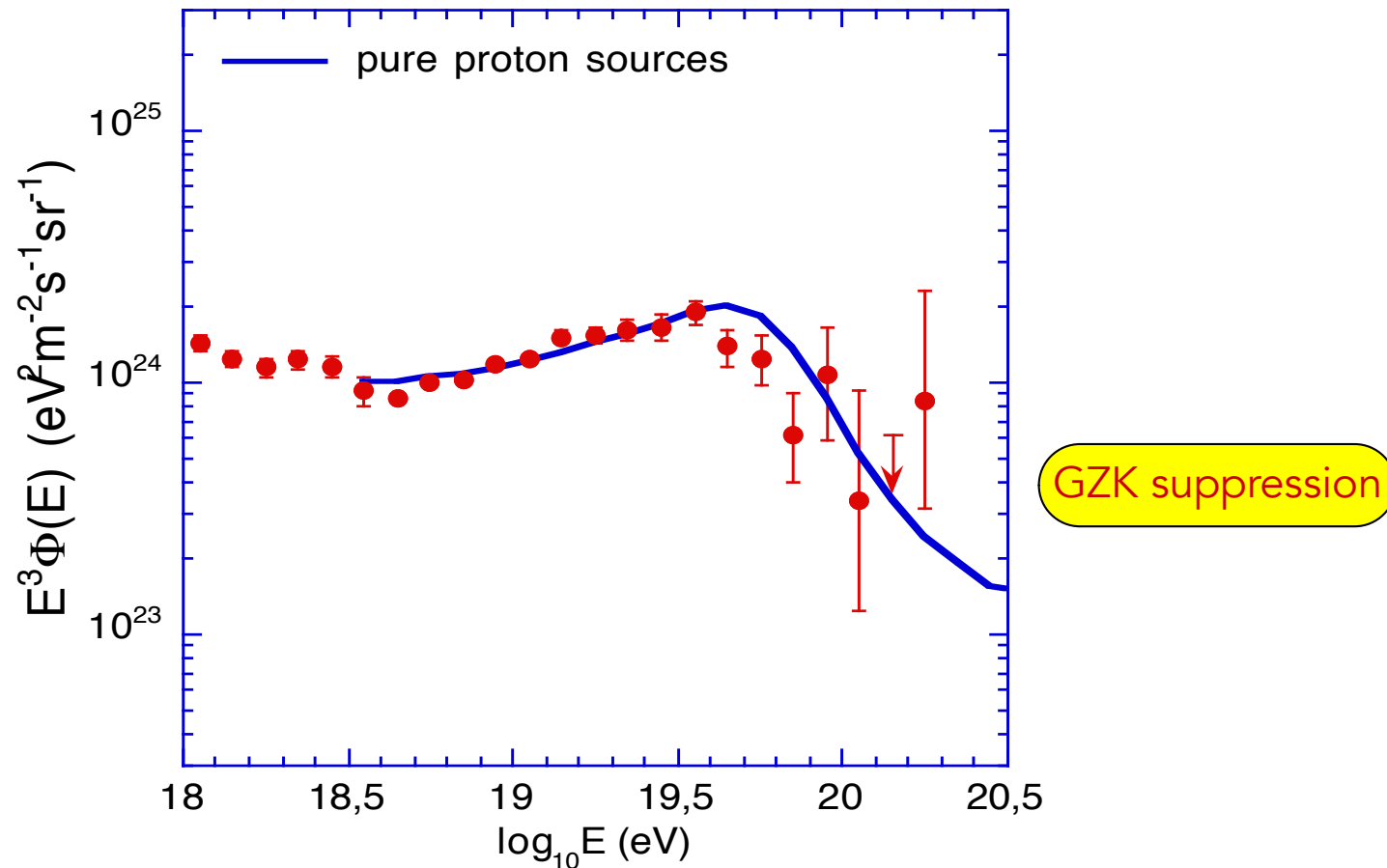




# UHECR propagation

- ✧ The GZK effect for protons

With a uniform source distribution

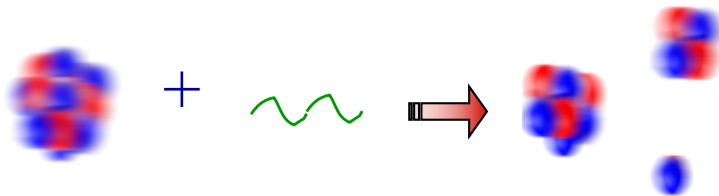


# UHECR propagation

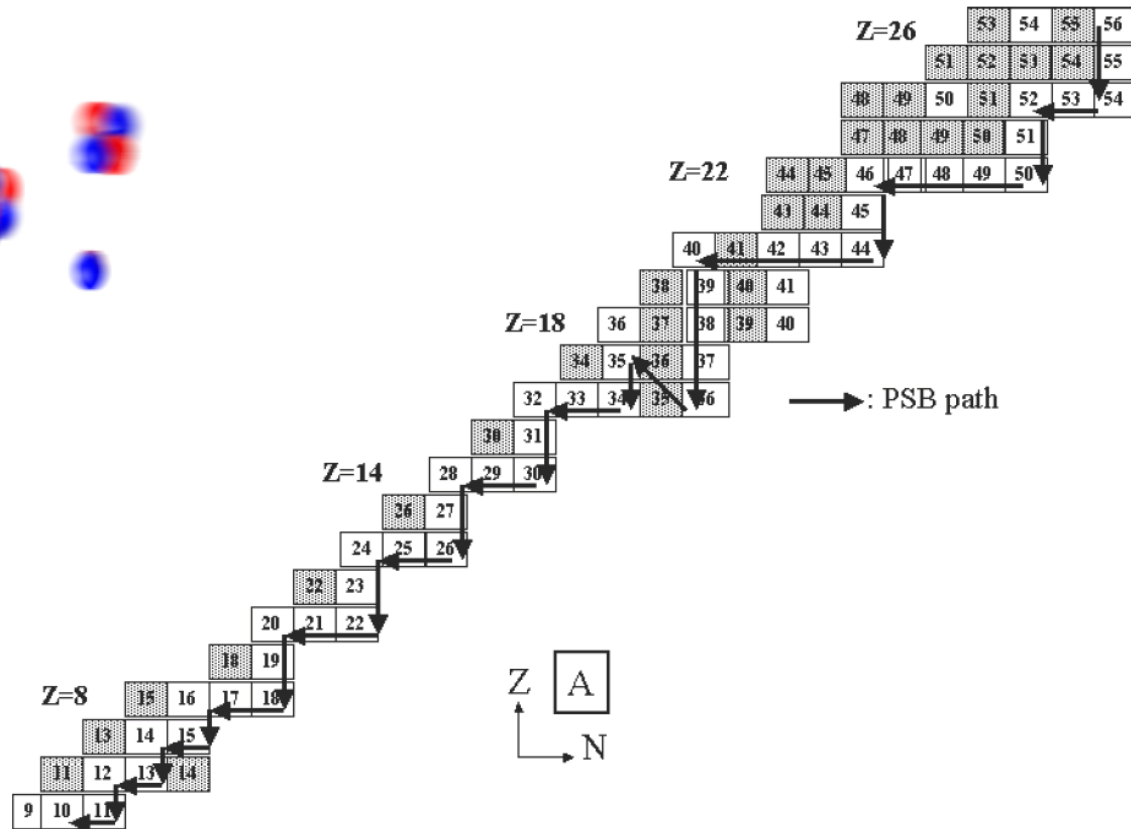
## ✧ The GZK effect for nuclei

Nuclei interact with CMB and IR photons

→ photo-dissociation + energy losses



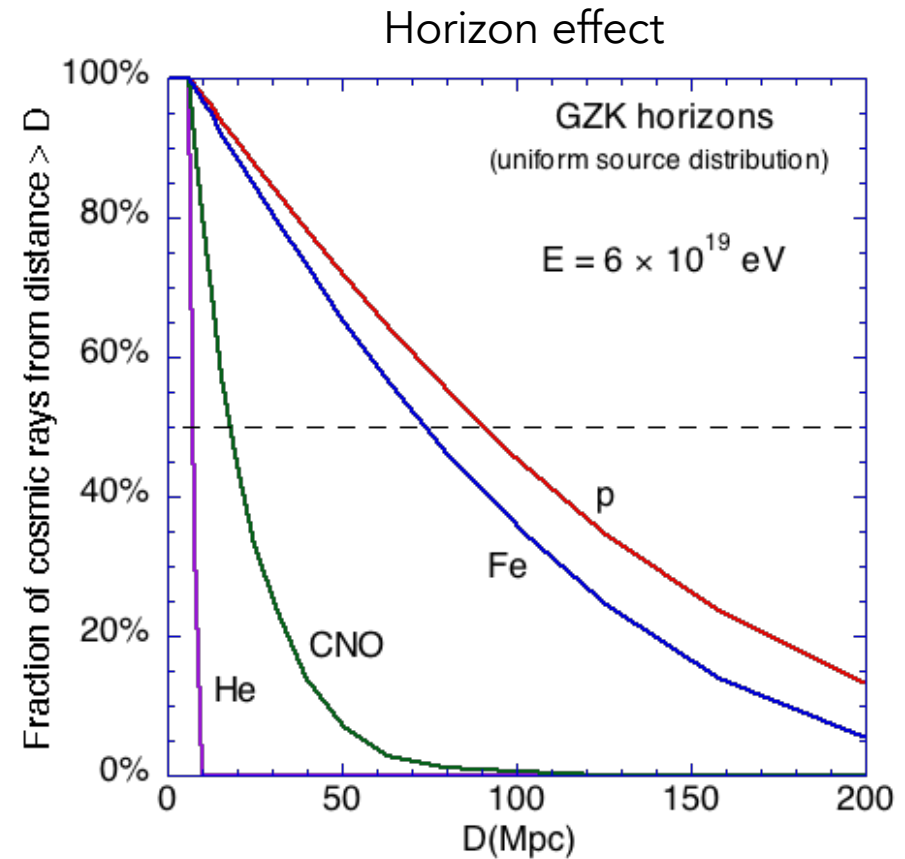
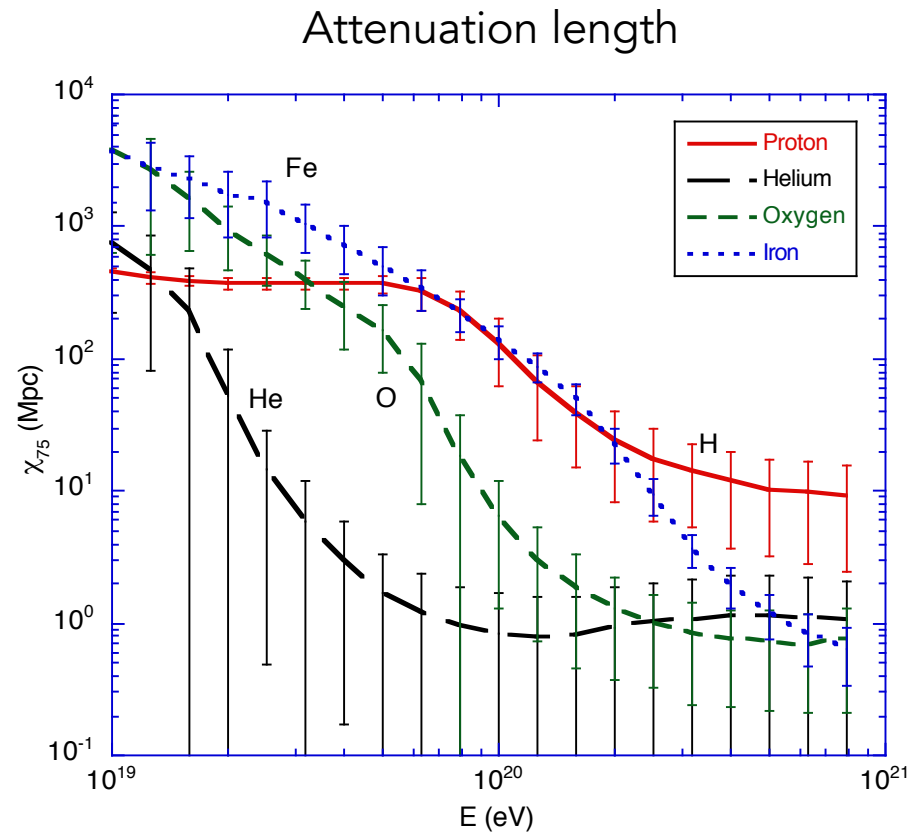
## ✧ Nuclear cascade in the intergalactic medium



# UHECR propagation

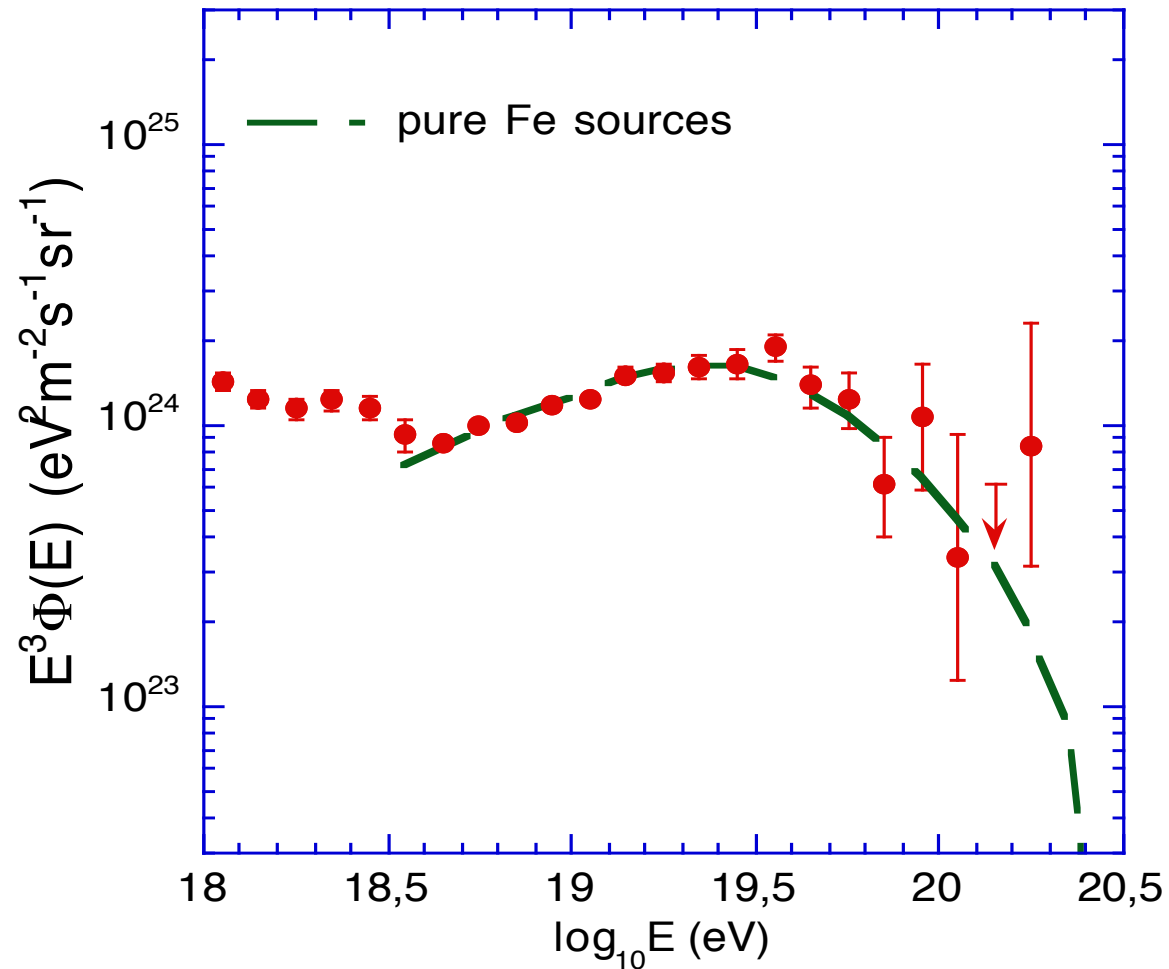
## ✧ The GZK effect for nuclei

Horizon effect, similar to that of protons



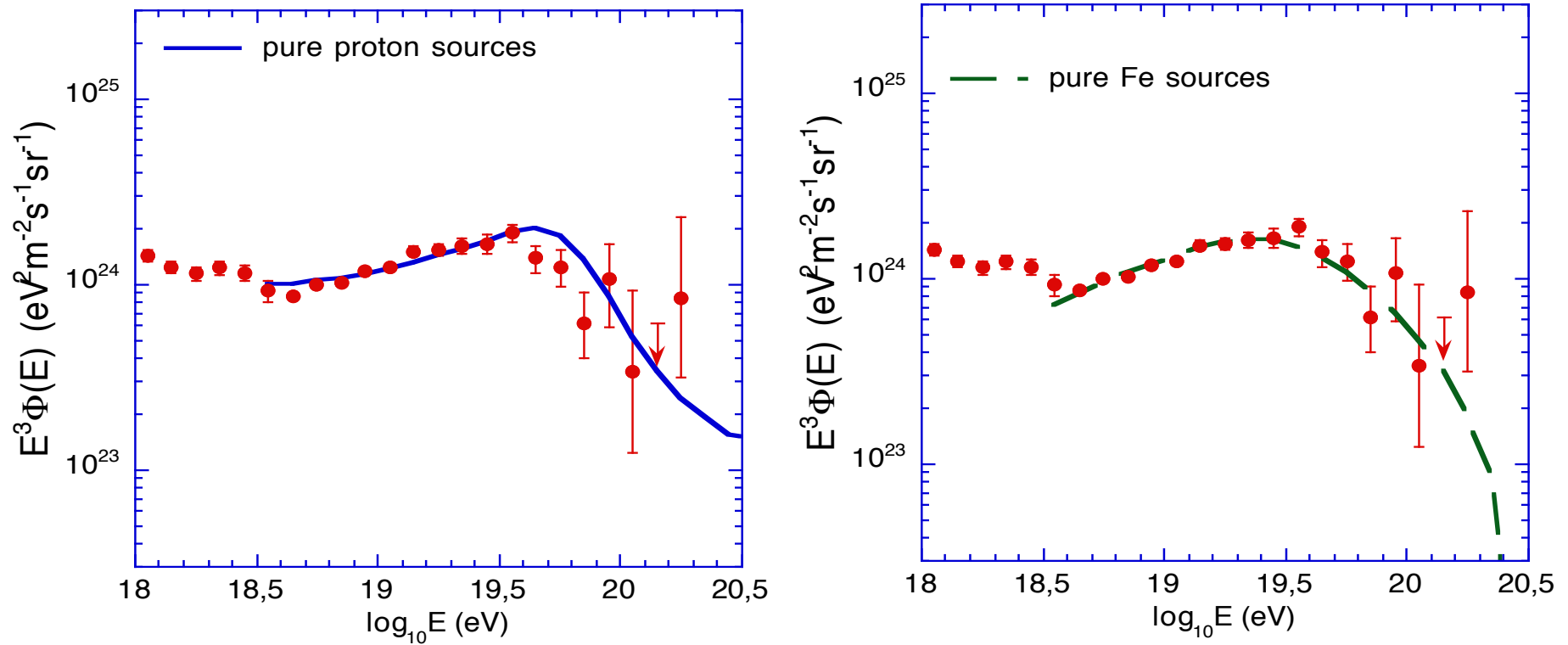
# UHECR propagation

- ✧ The GZK effect for nuclei



# UHECR propagation

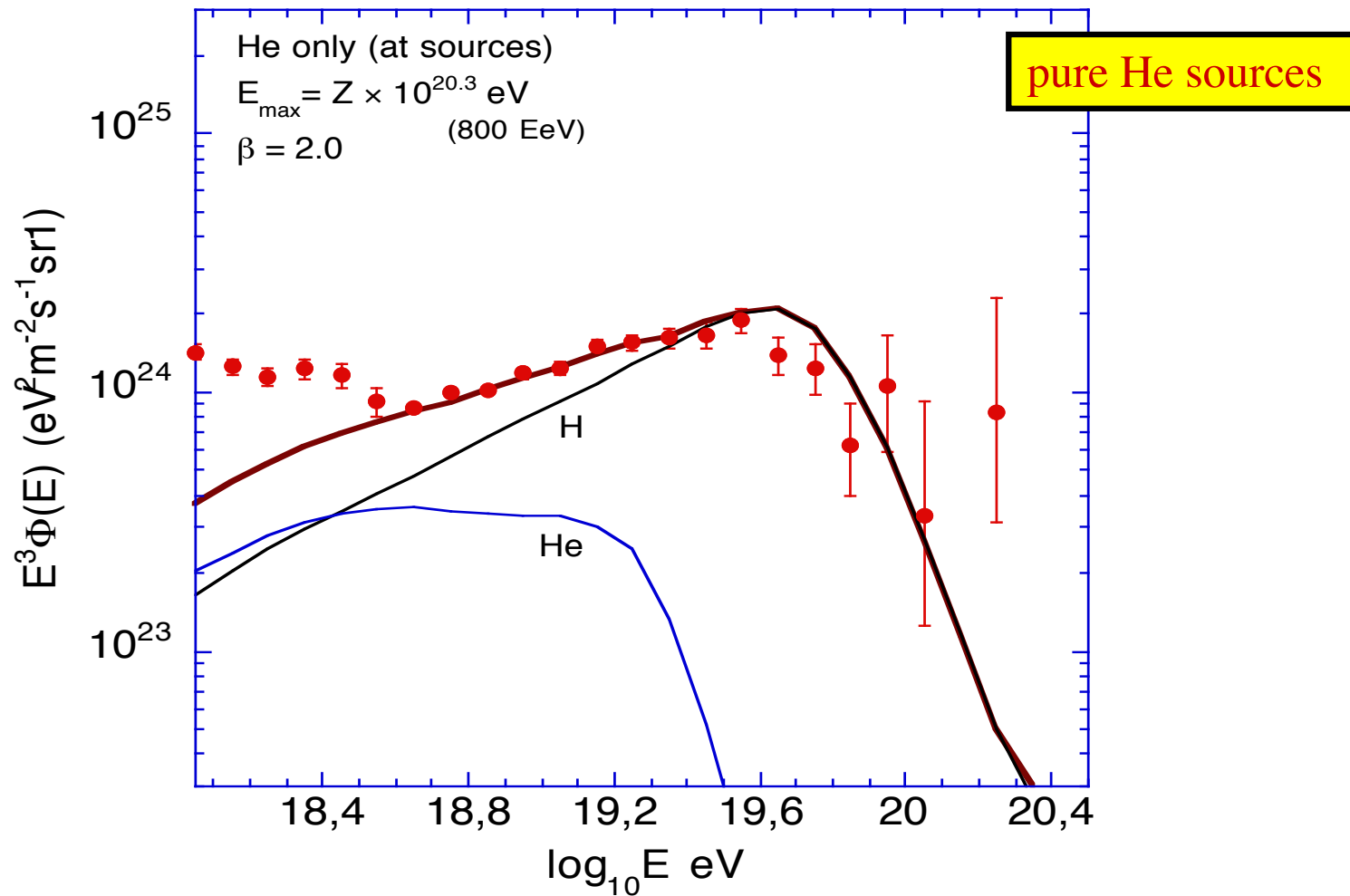
- ✧ Evidence for a GZK feature in the UHECR spectrum



→ but no information on the source composition!

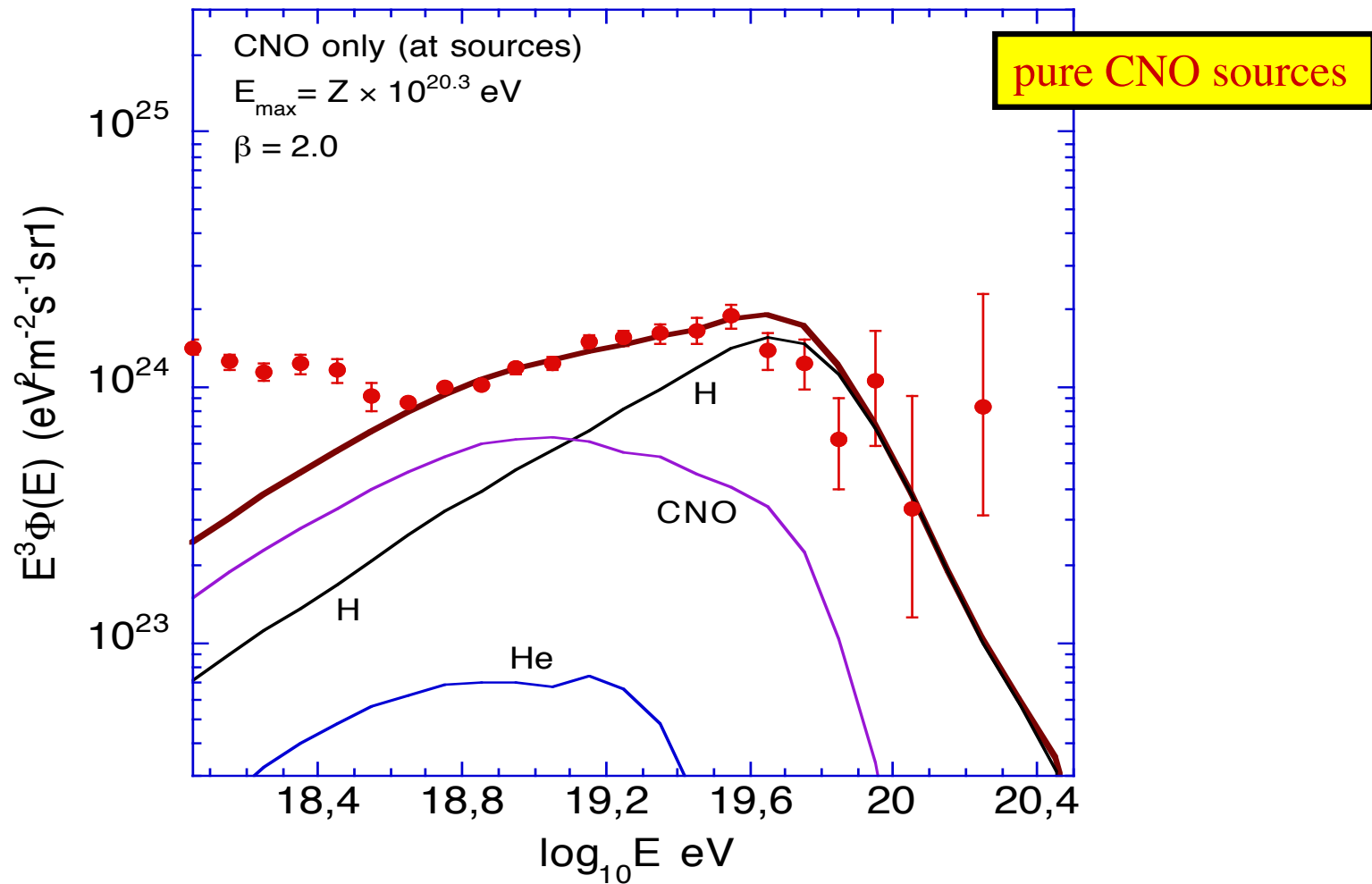
# UHECR propagation

✧ Just for fun!



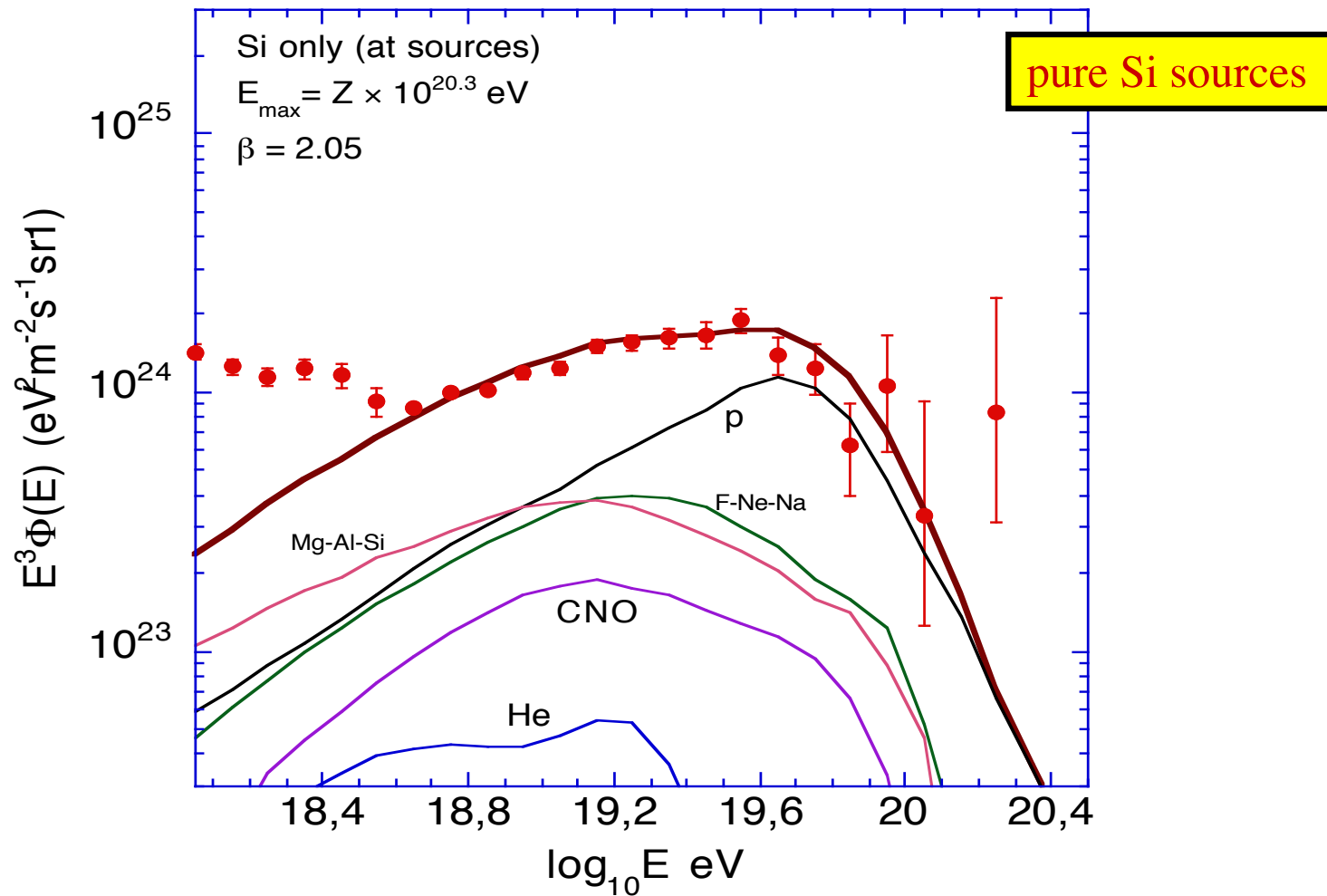
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# UHECR propagation

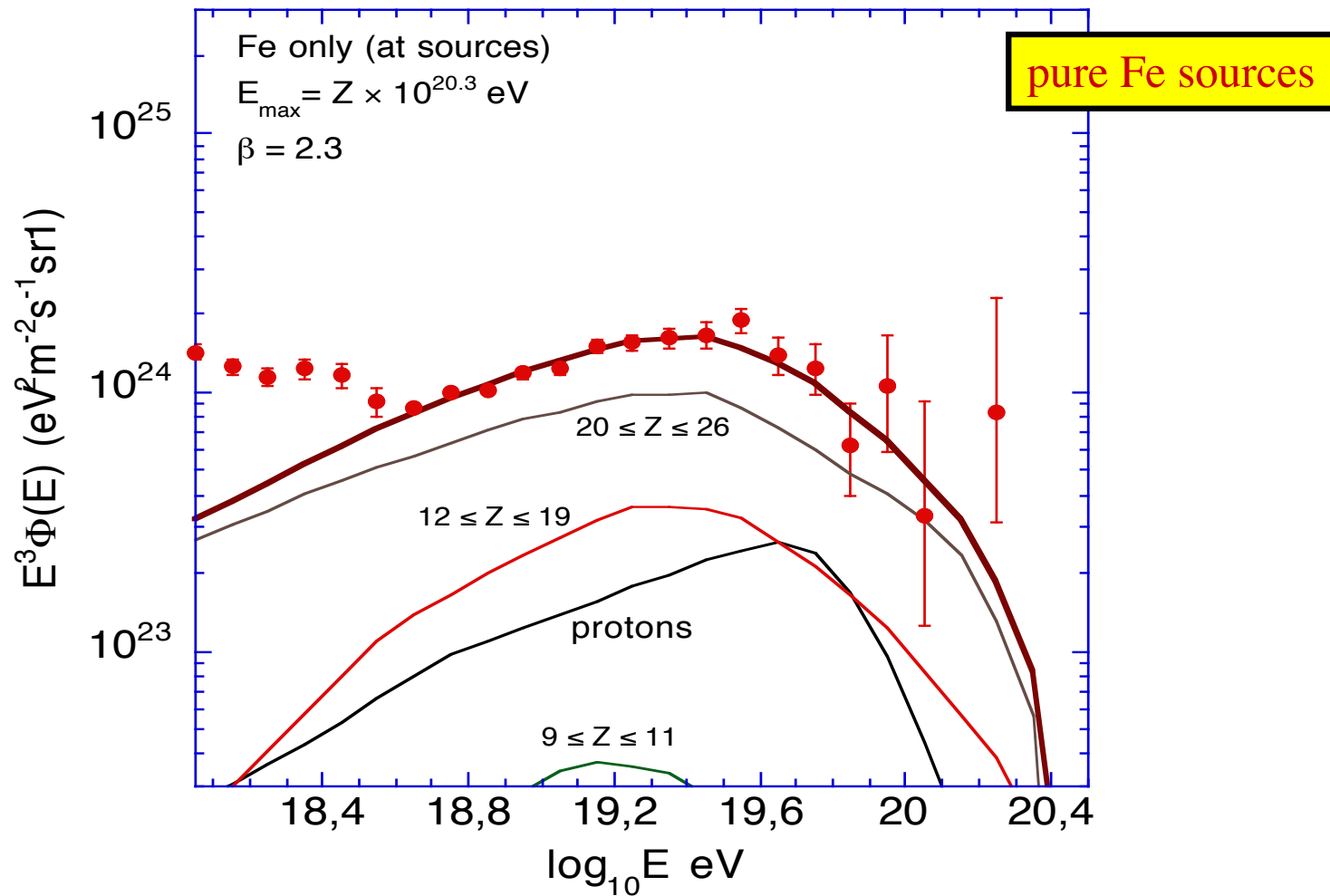
✧ Just for fun!





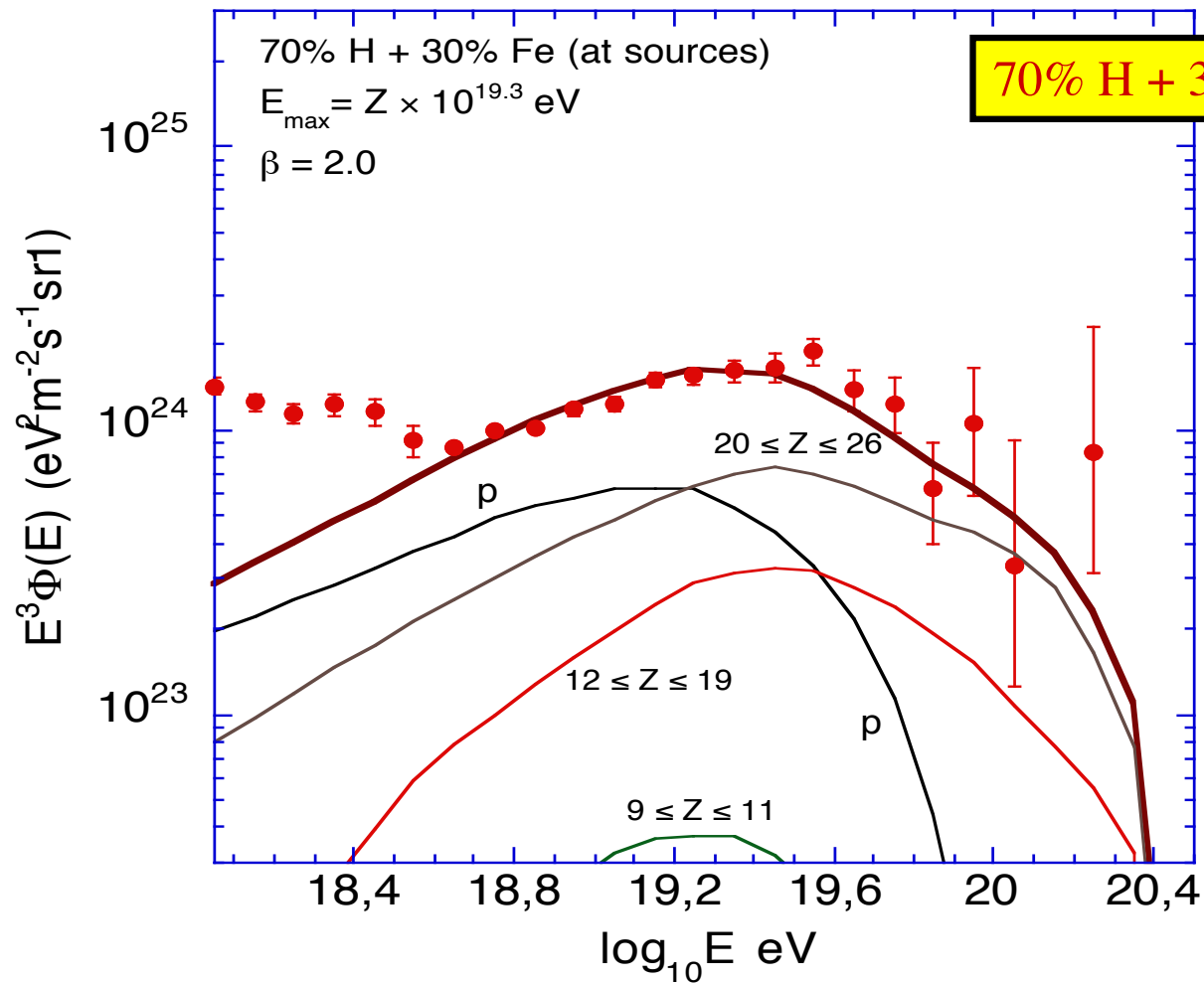
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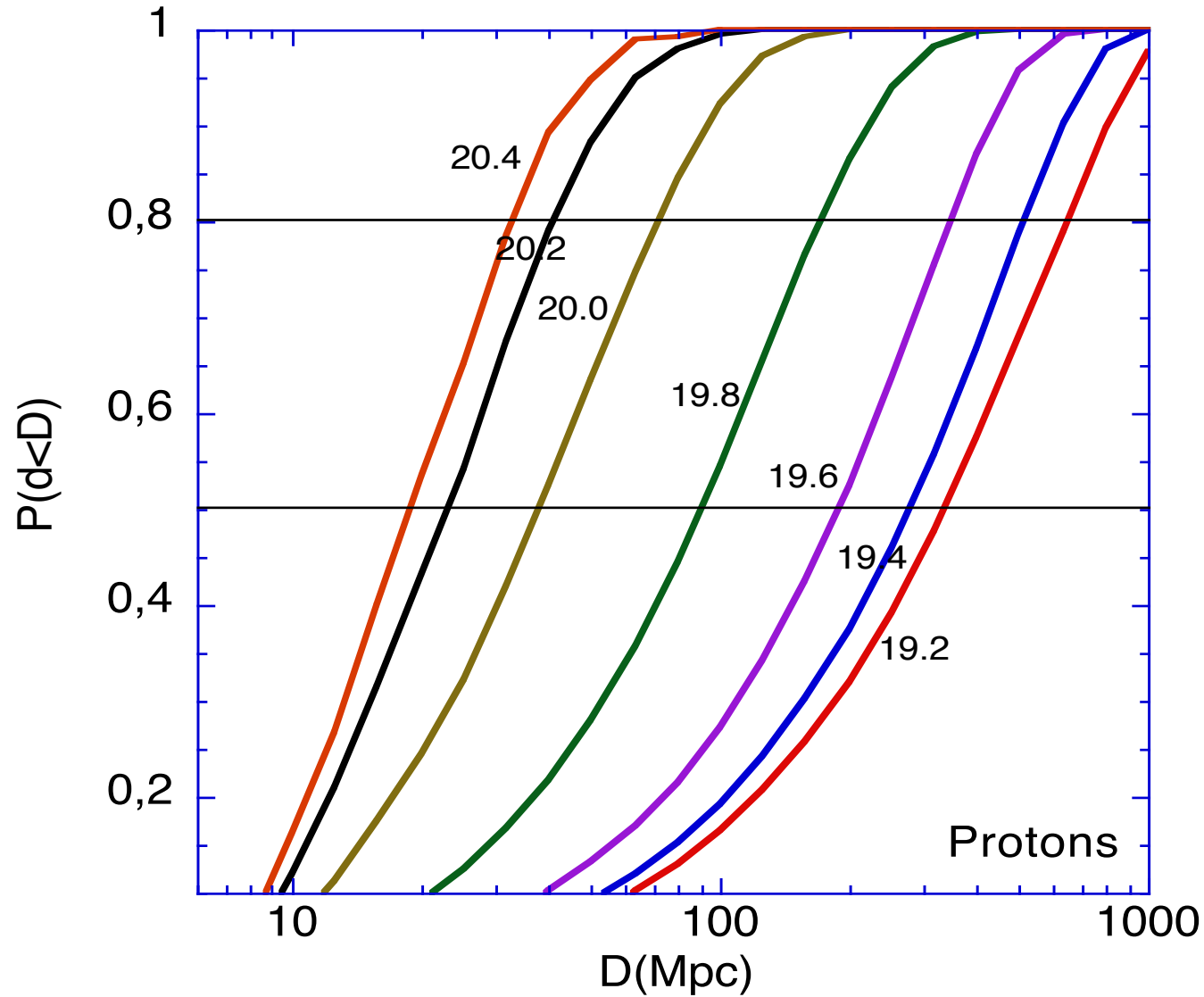


# UHECR propagation

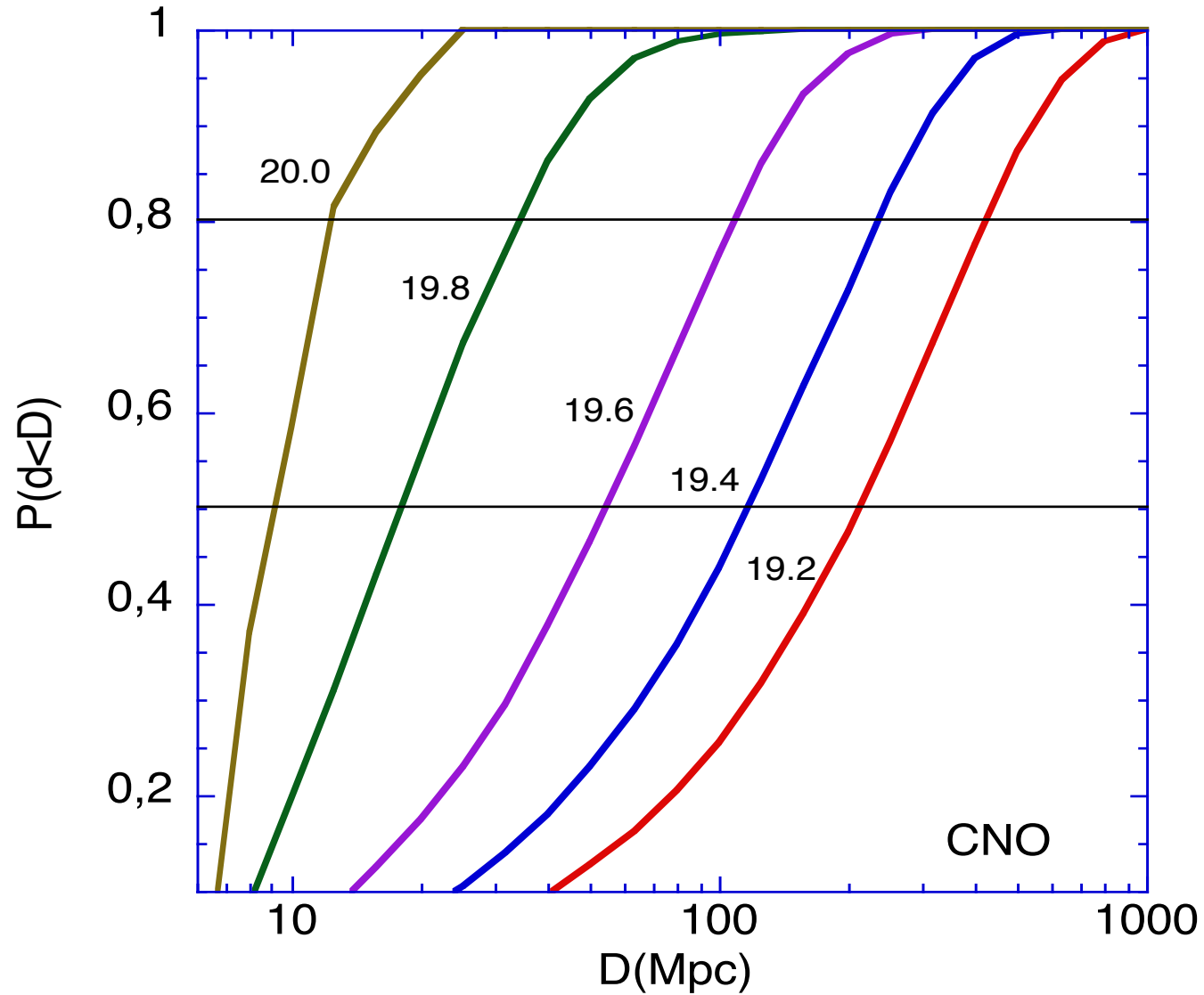
✧ Just for fun!



# GZK horizon effect

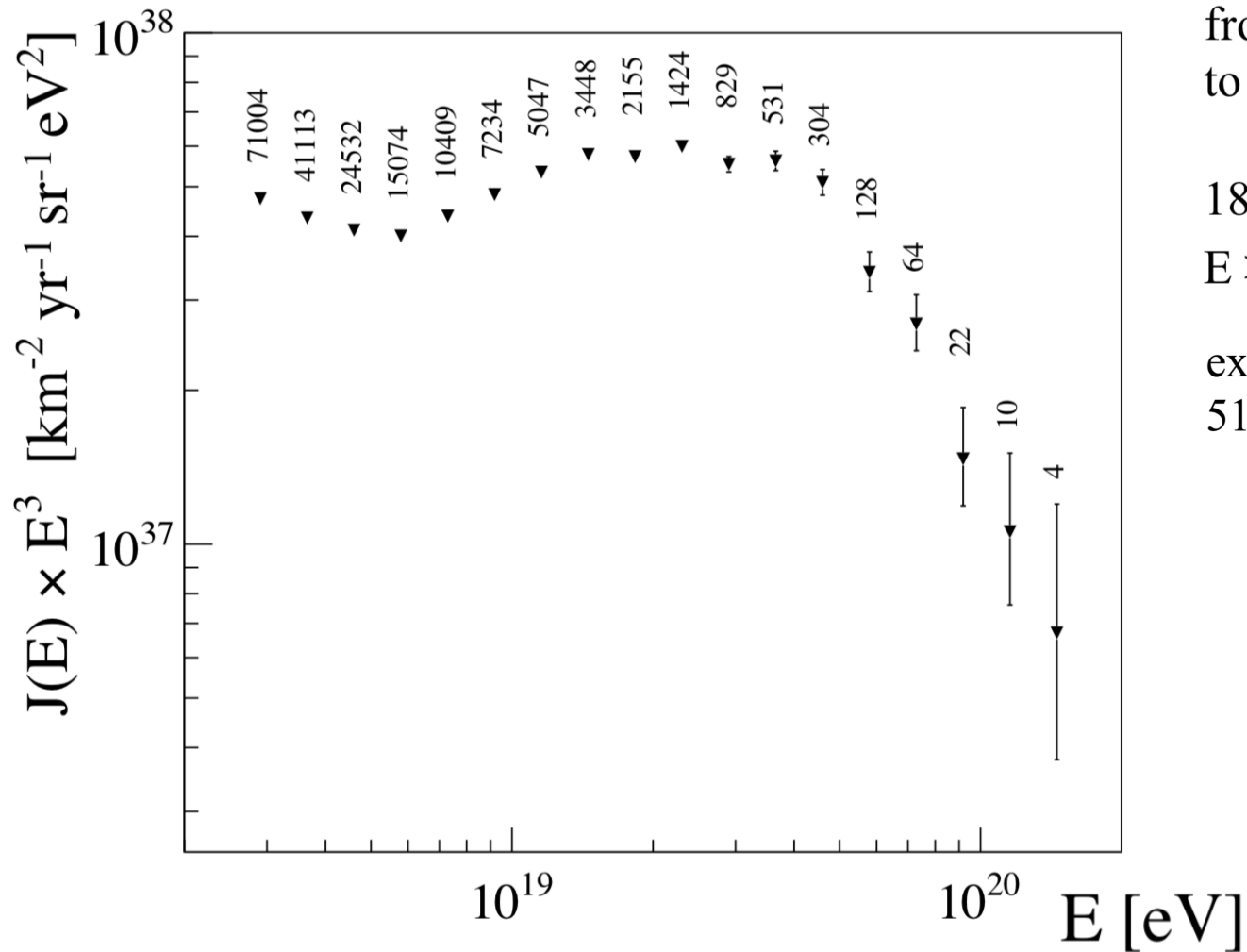


# GZK horizon effect



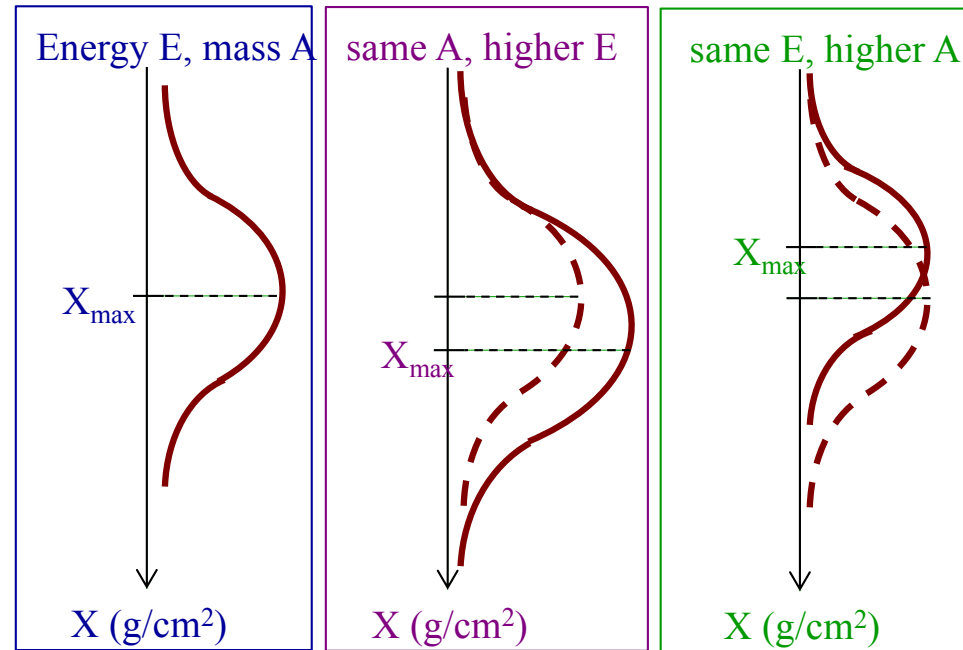
# What do the data say?

- Energy spectrum measured at the Pierre Auger Observatory



# What do the data say?

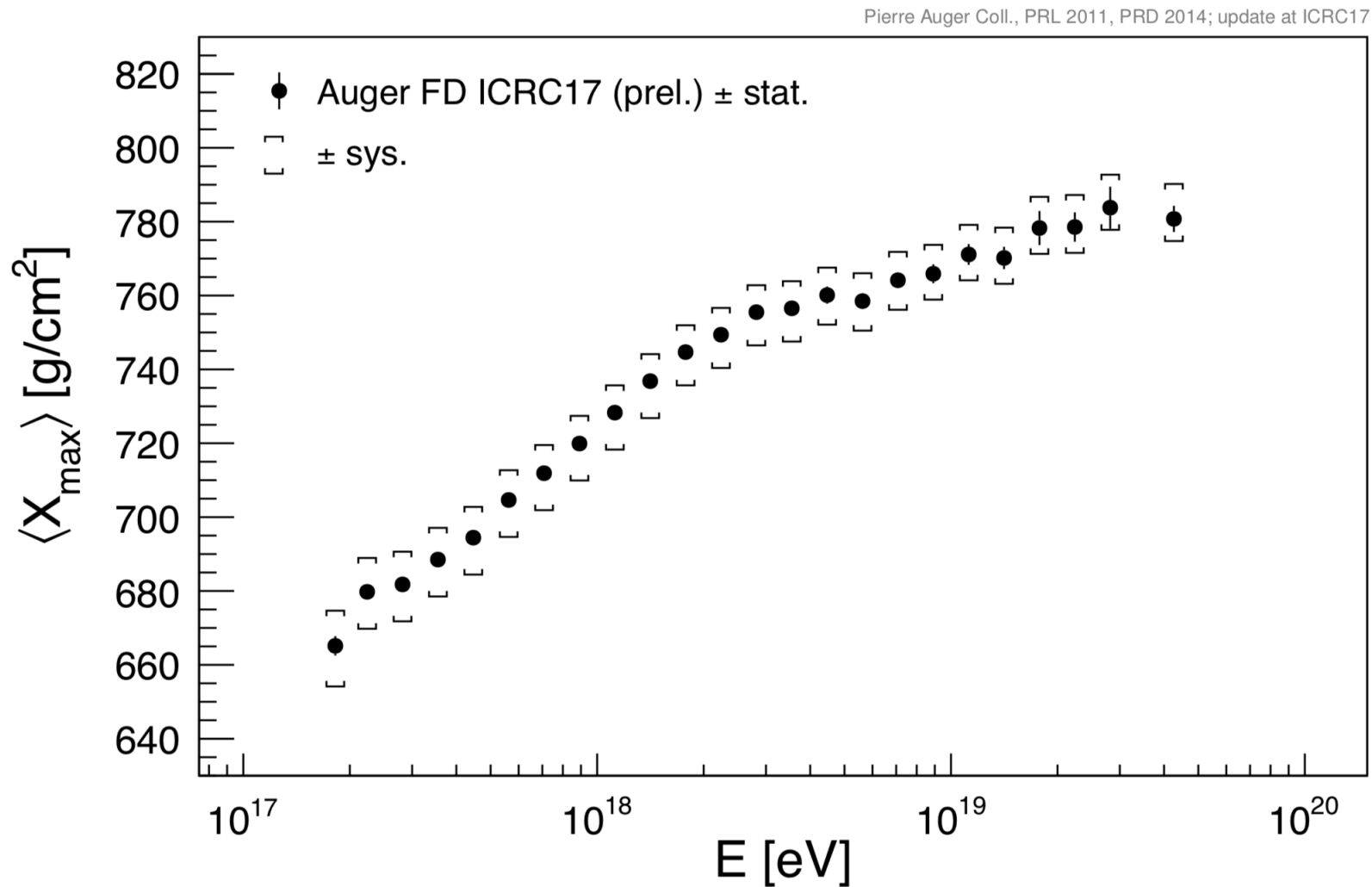
- ✧ CR composition estimated at the Pierre Auger Observatory



Higher energy => deeper shower  
 Higher mass => shallower shower  
 Higher mass => smaller fluctuations

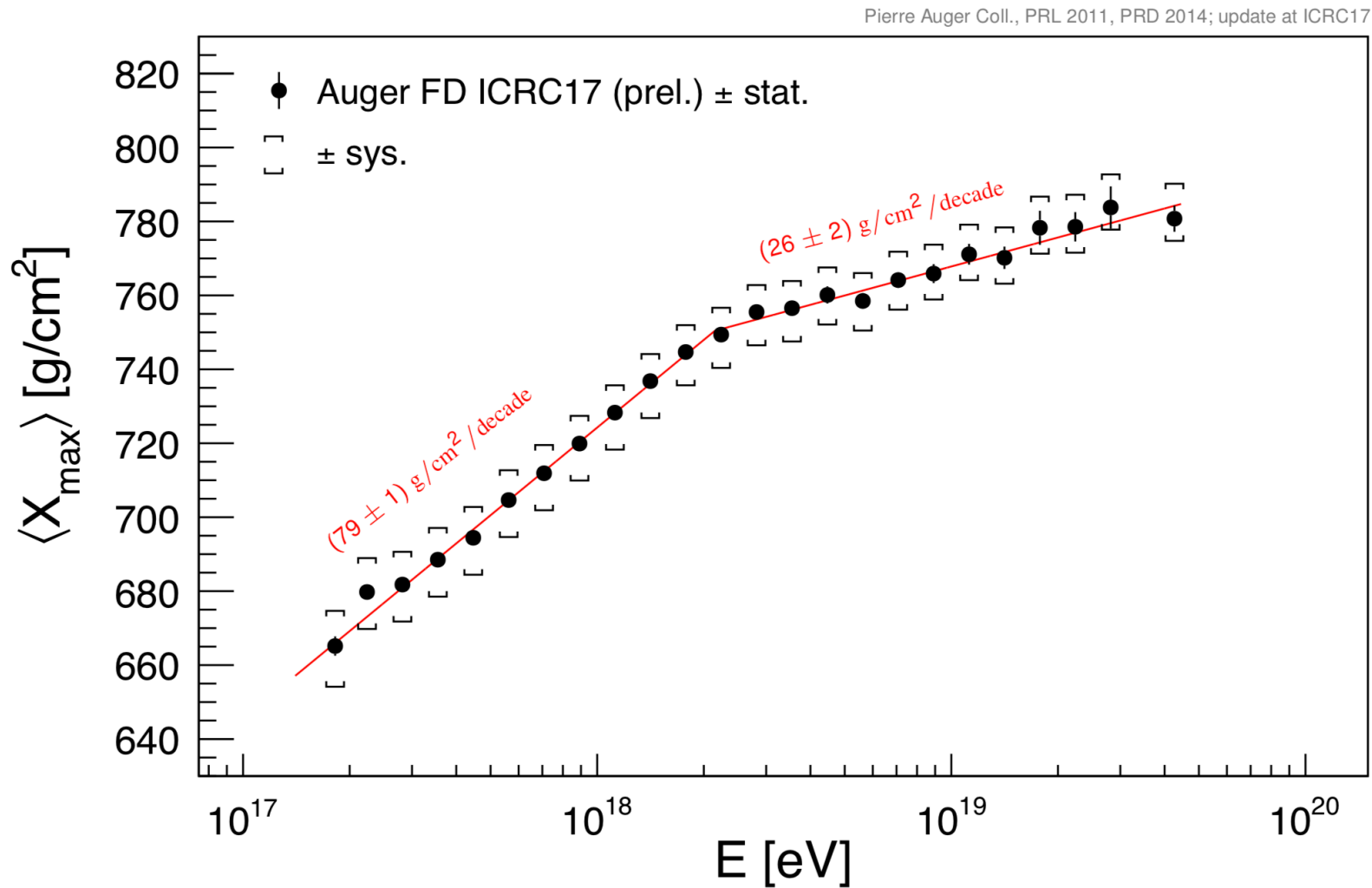
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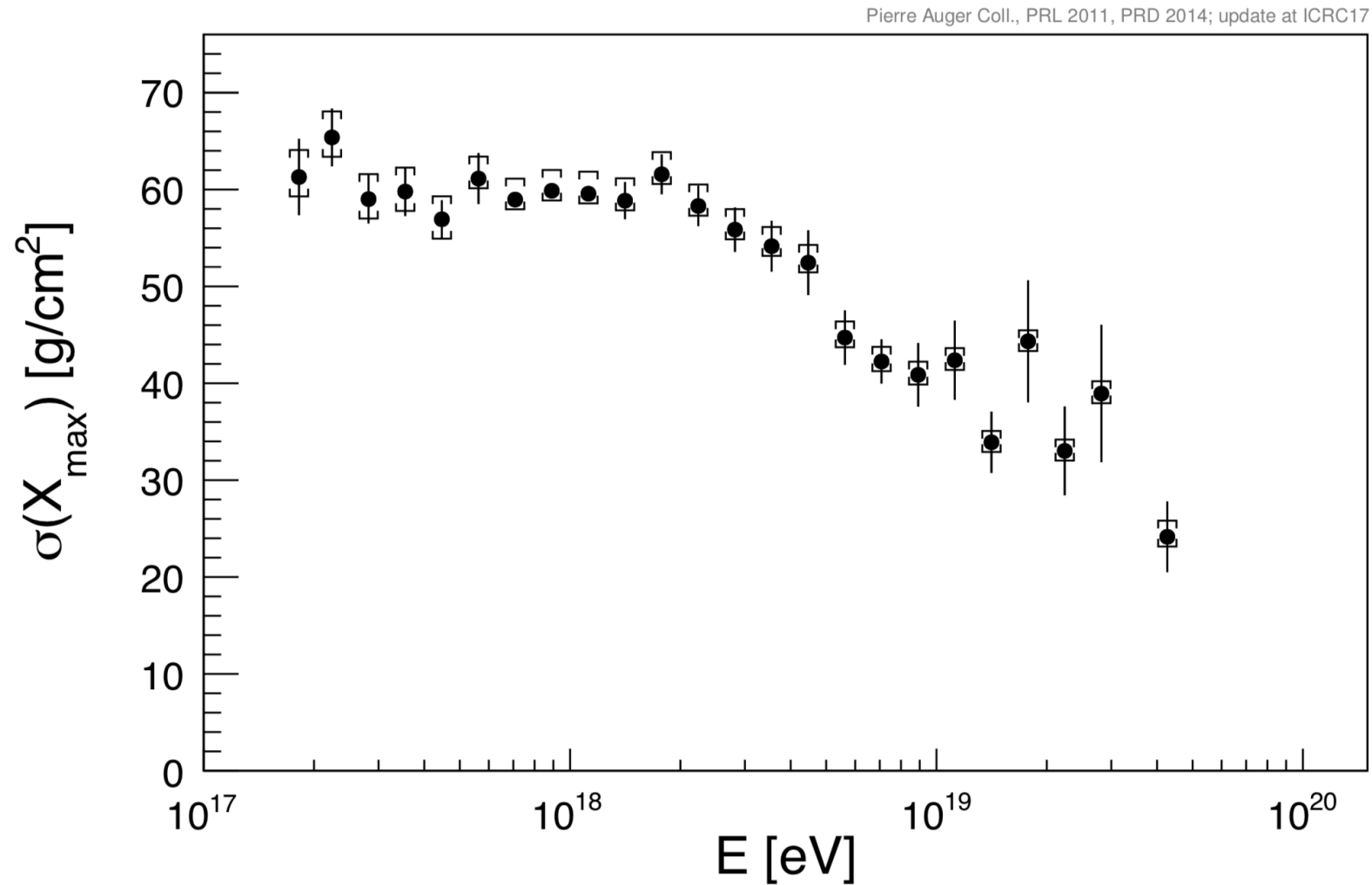
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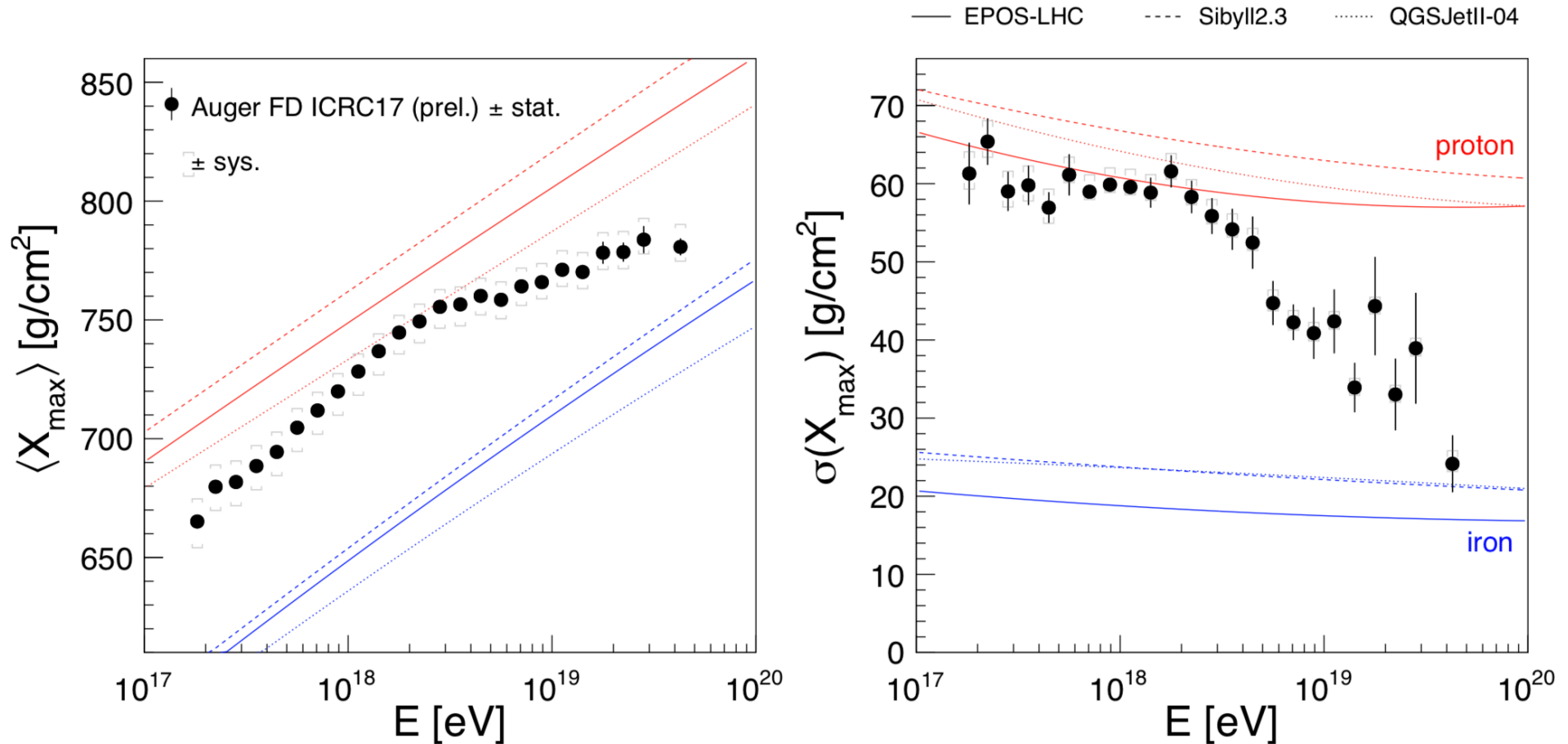
# What do the data say?

- ✧ CR composition estimated at the Pierre Auger Observatory



# What do the data say?

- CR composition estimated at the Pierre Auger Observatory



# Natural interpretation: low proton $E_{\max}$

- ✧ The Auger results clearly show a transition towards a heavier composition around a few  $10^{18}$  eV
  
- ✧ This is either puzzling...
  - because protons are by far the most abundant nuclear species in the universe
  - because the transition occurs at energies much lower than the expected GZK cut-off (so it cannot be due to a propagation effect)
  
- ✧ ...or very easy to understand – and very comforting!
  - there is a “non GZK” cut-off of the protons  
→ it must be at the source!

→ simple, generic and natural explanation:  
the low proton  $E_{\max}$  scheme!

# Natural interpretation: low proton $E_{\max}$

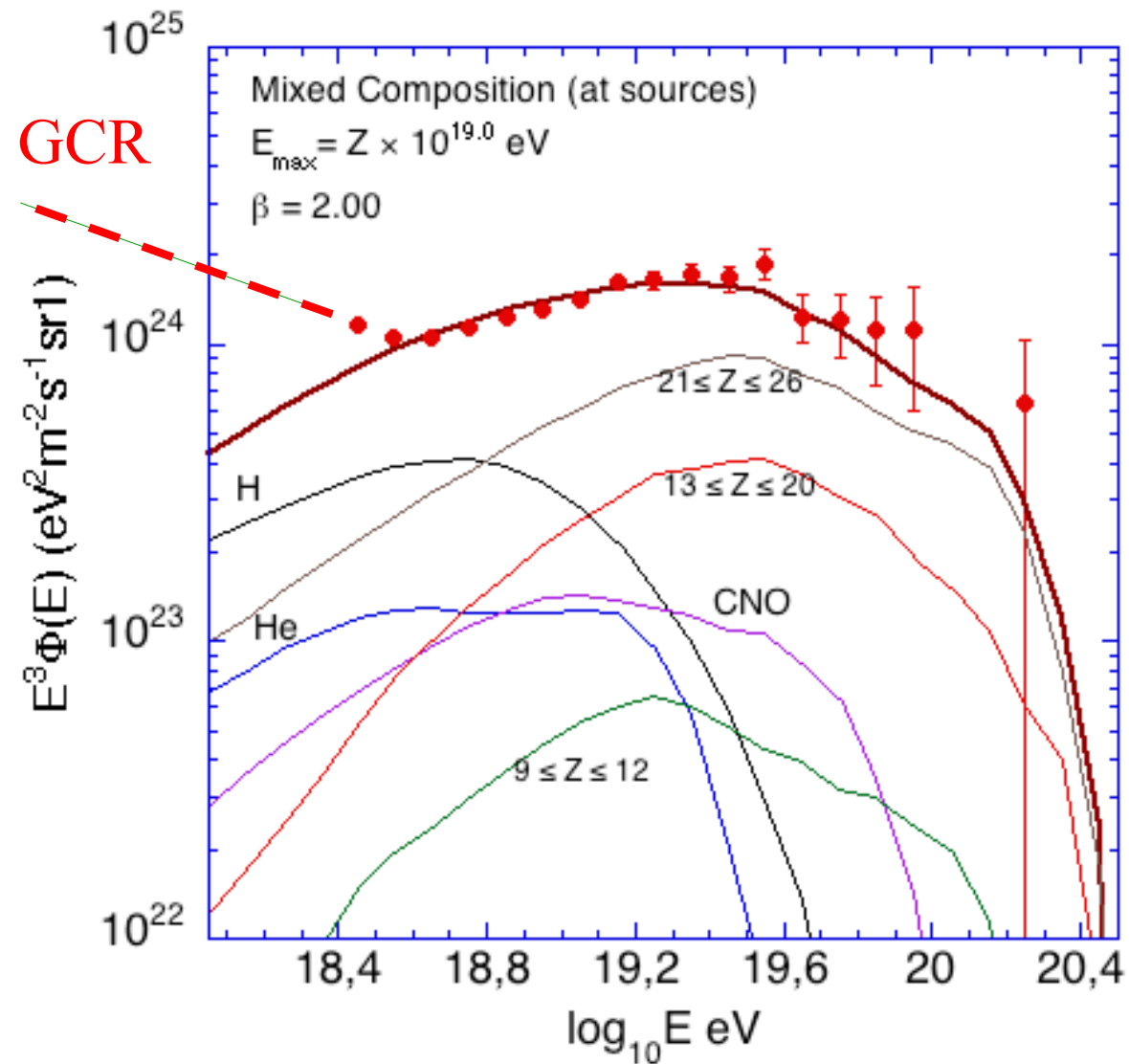
- ✧ Very sensible interpretation, from the astrophysical point of view!
- ✧ Maximum energy at the source proportional to  $Z$  for different nuclei

Charged particles trajectories and energy gains only depend on rigidity

$$E_{\max}(^Z_A X) = Z \times E_{\max}(p)$$

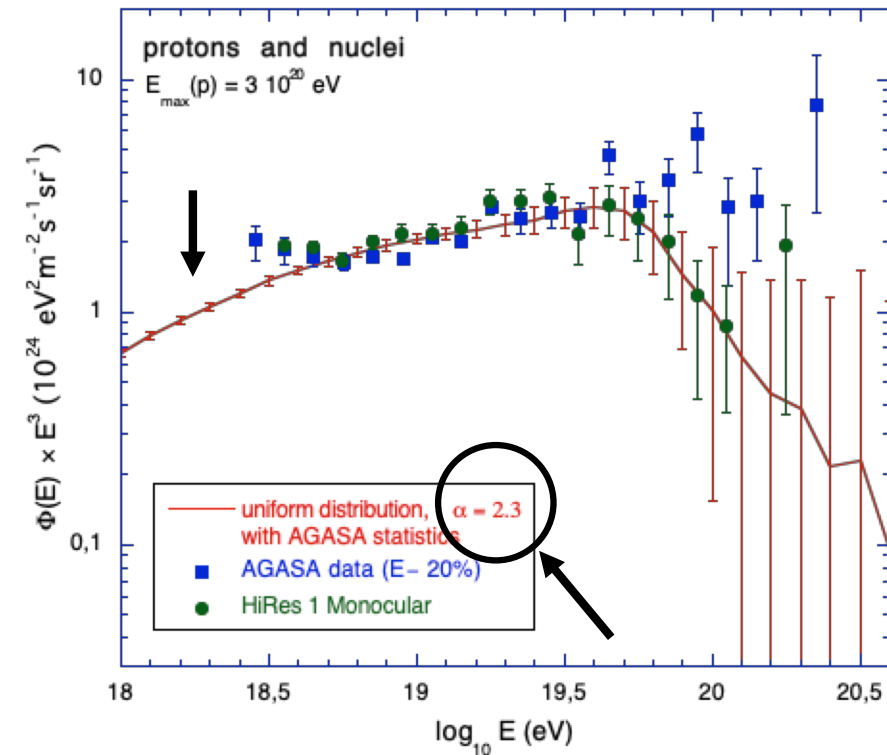
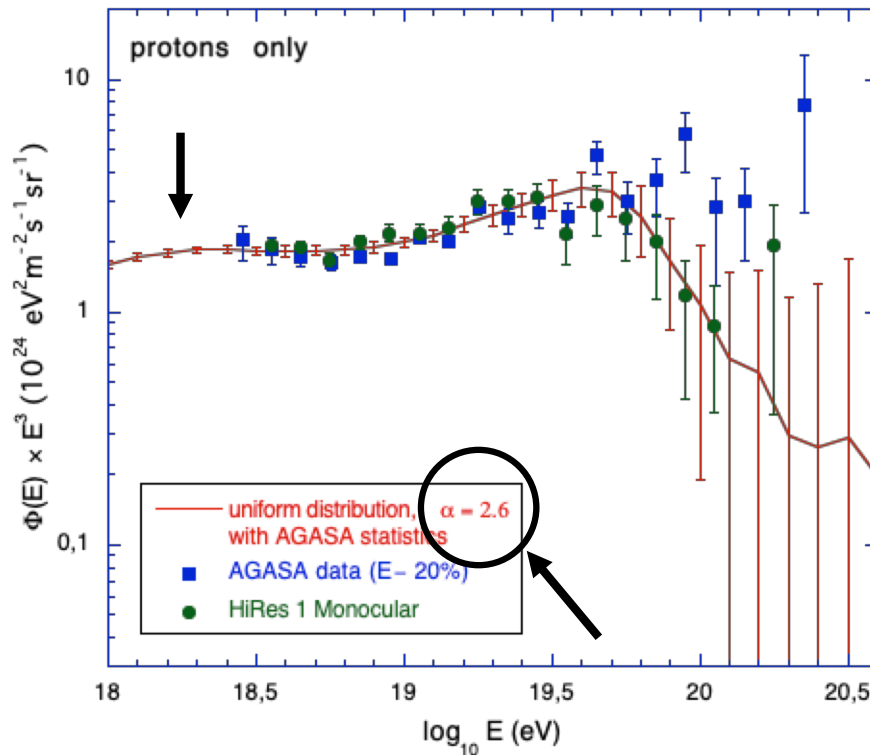
- ✧ Relaxes a critical problem: very hard to build acceleration models providing maximum proton energies above  $10^{20}$  eV!
  - More “comfortable” to have  $E_{\max}(p) \sim$  between a few  $10^{18}$  eV and  $10^{19}$  eV
- ✧ → transition towards heavier component by extinction of the light one!
- ✧ NB: also in line with the absence of any marked anisotropy in the UHECR sky (would be hard to explain within a p-dominated scenario!)

# Natural interpretation: low proton $E_{\max}$



# Natural interpretation: low proton $E_{\max}$

Allard et al. (2005)



▪ source spectrum & acceleration

▪ Interpretation of the ankle

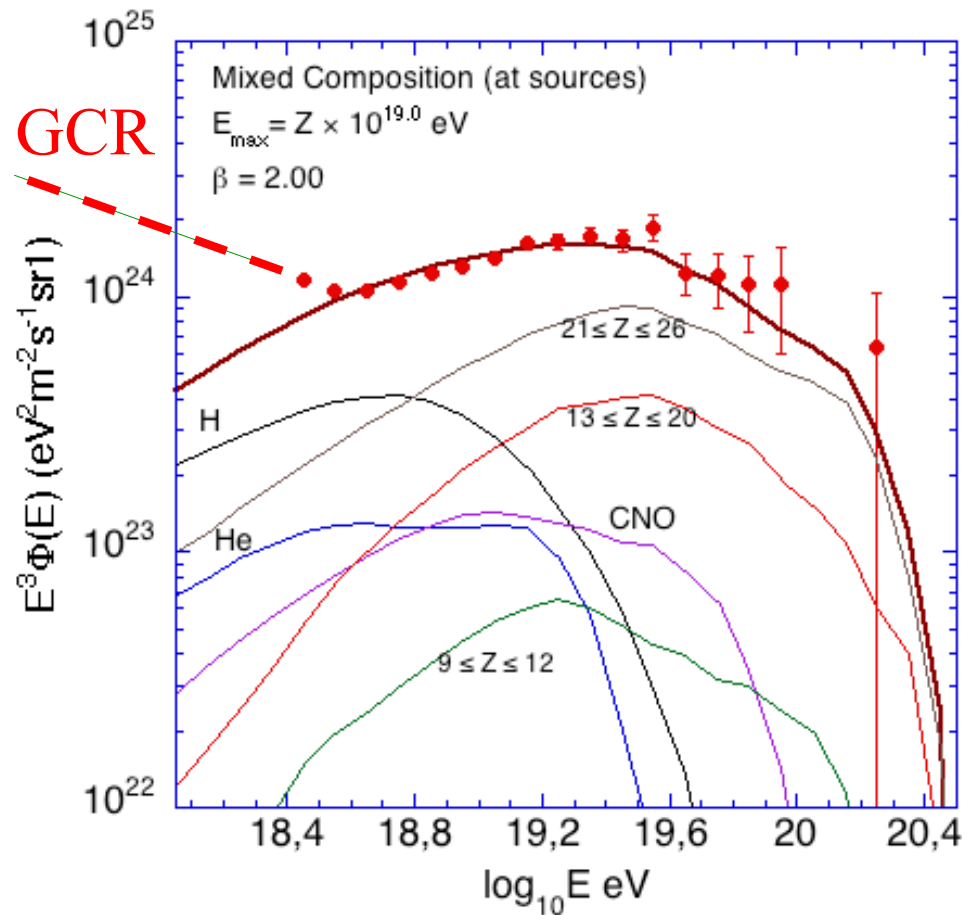
# Natural interpretation: low proton $E_{\max}$

✧ But this has an important implication:

The source spectrum must be hard!

$$Q(E) \propto E^{-x}$$

with  $x < 2$  or even  $x \sim 1$



✧ Not a problem!

- requires less energy in total
- obtained in a natural way in some models

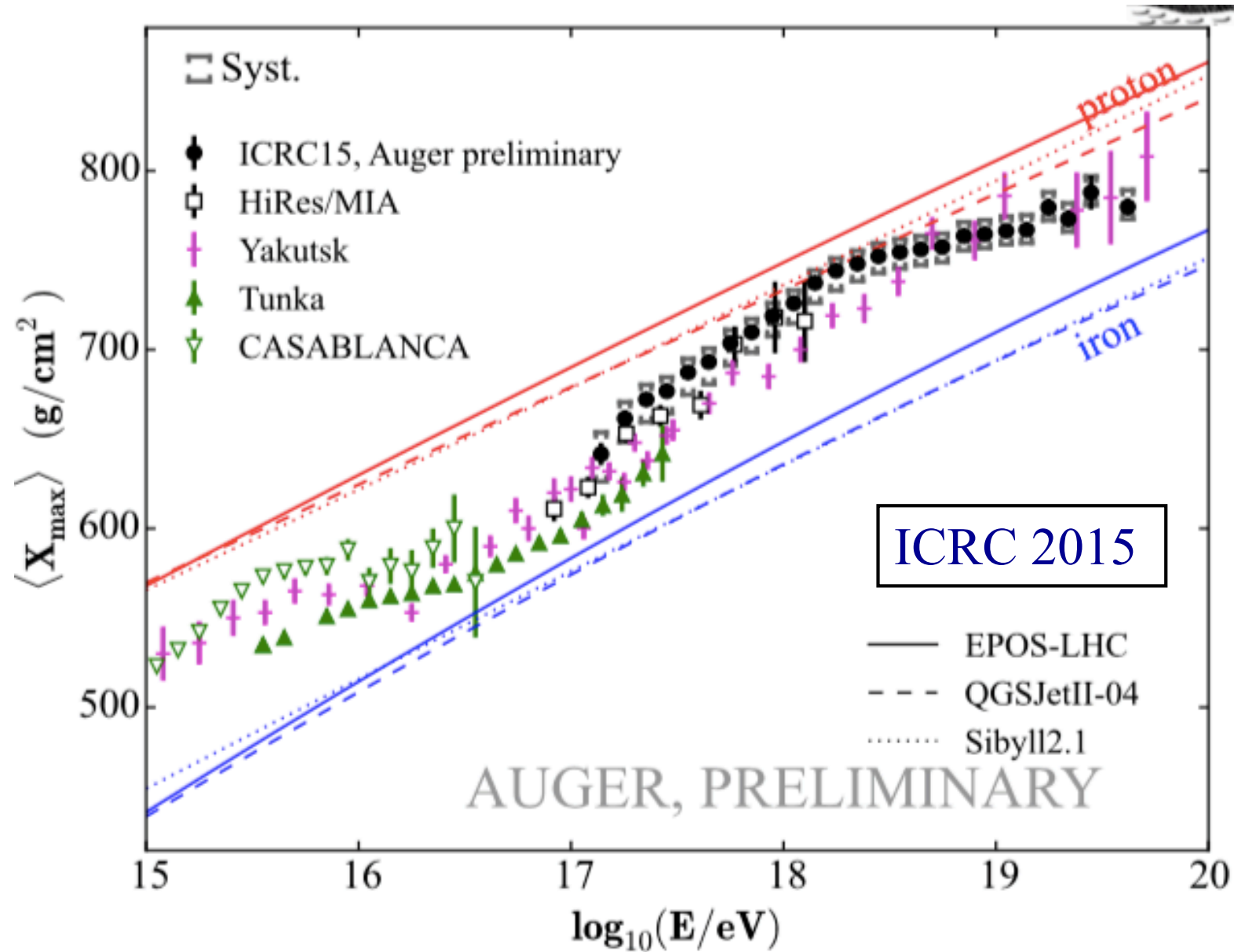
✧ But a hard proton spectrum implies a low EGCR proton fraction at  $10^{18} \text{ eV}$ ...

✧ And GCRs must reach the ankle  $> 10^{18} \text{ eV}$ !

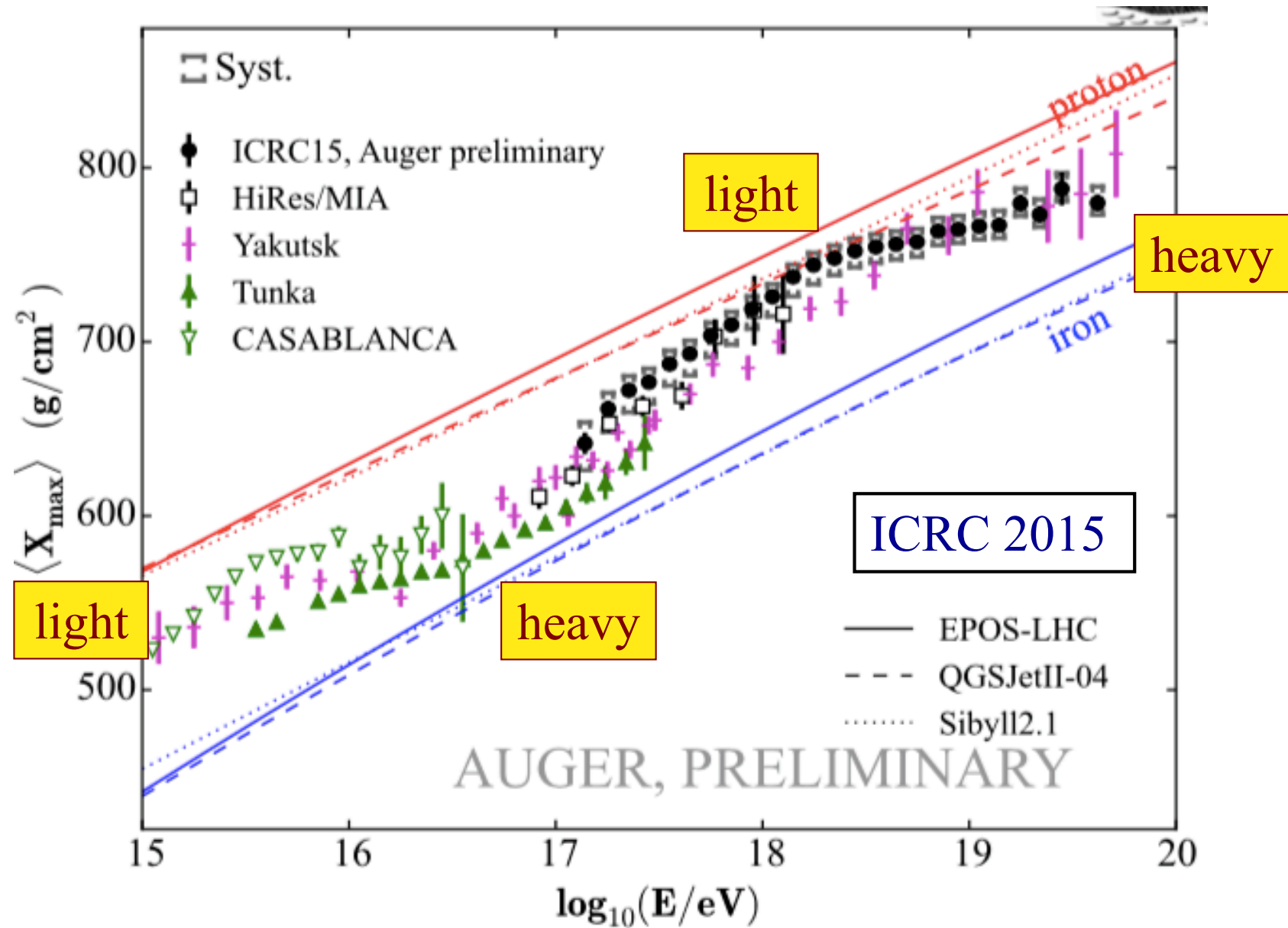
Back to the GCR/EGCR transition



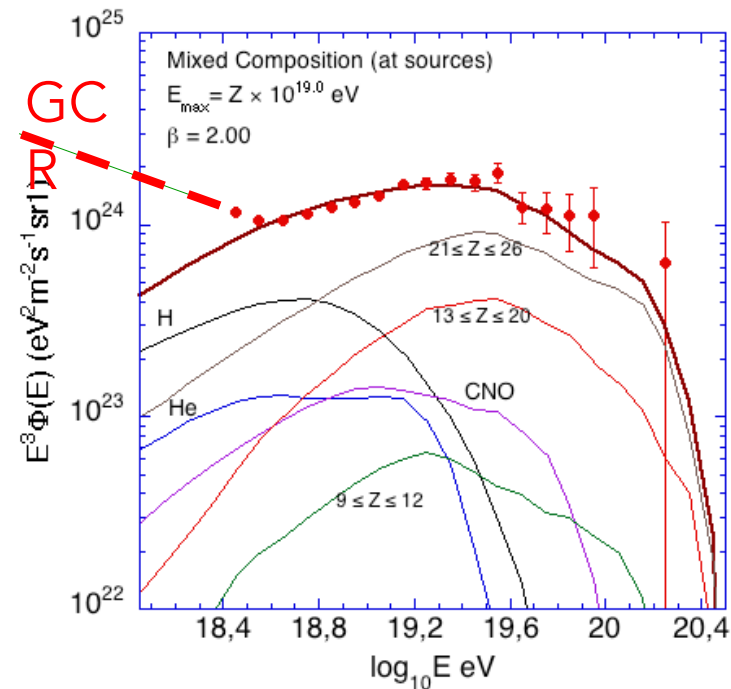
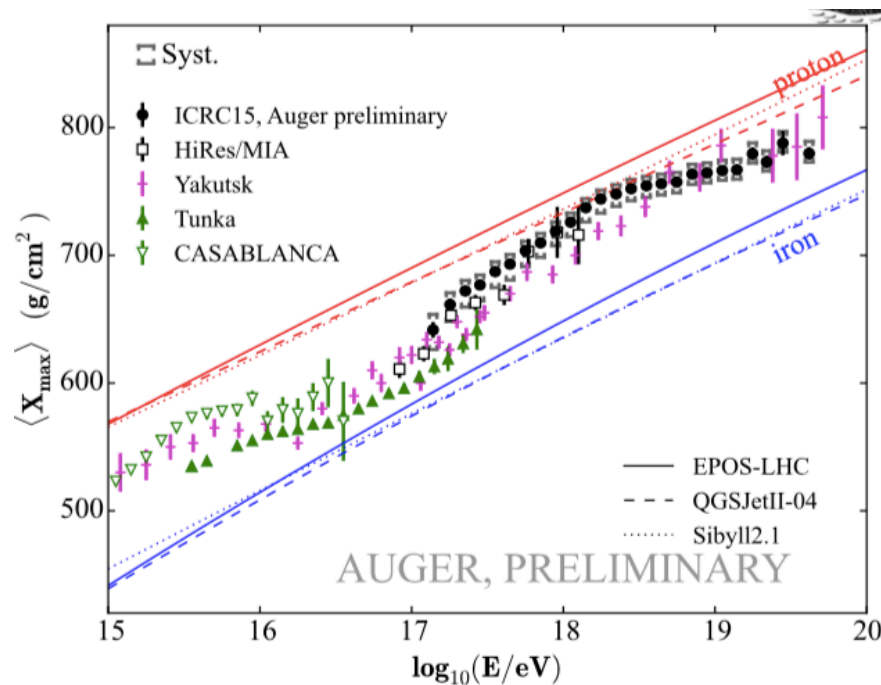
# Combined composition data



# Combined composition data



# Is there a proton crisis at the ankle?



✧ Important constraint: CRs are light-dominated at  $10^{18}$  eV

But light CRs at  $10^{18}$  eV cannot be Galactic! → that would violate isotropy data! (Auger results)

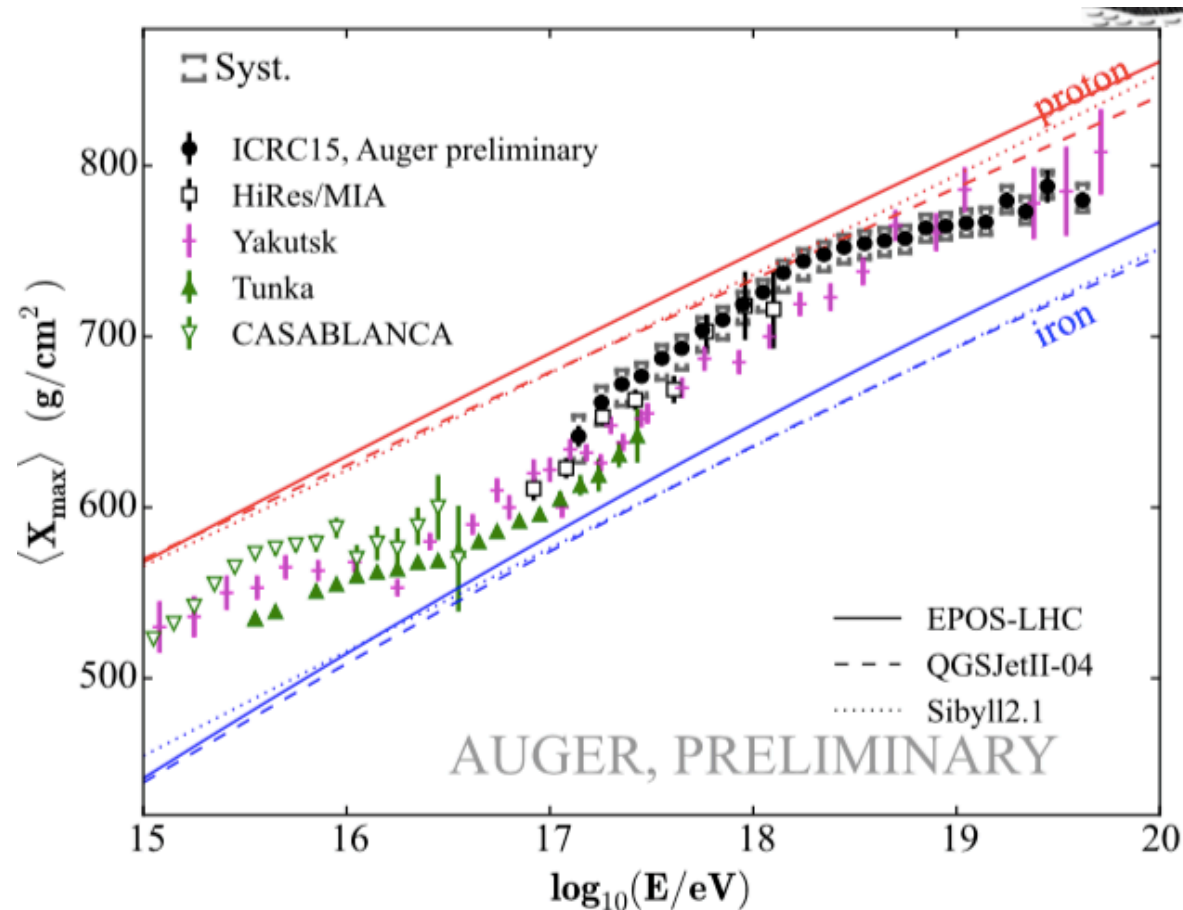
And light CRs at  $10^{18}$  eV cannot be extragalactic if they have the hard spectrum inferred from the light-to-heavy UHECR transition!

✧ => either there is an additional component to fill the gap, or the spectrum of light elements is different!

GCR/EGCR transition is key!

# Lessons from GCRs

- Can we attack the problem from below the ankle?
- The knee + new data from KASCADE-Grande!



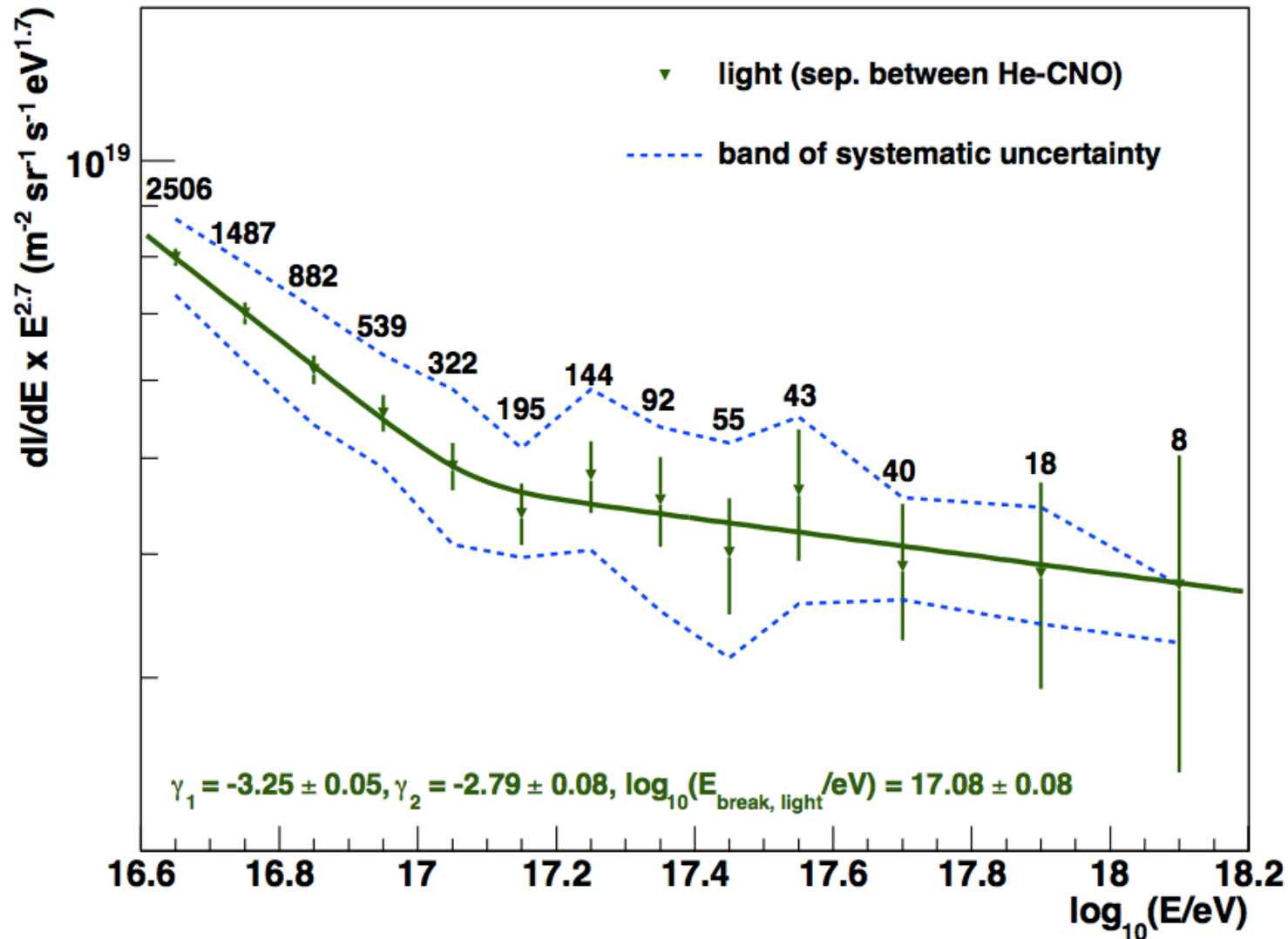
- GCRs become heavier at the knee

# What is the GCR knee?

- ✧ Is it a feature of the acceleration process?
  - Cut-off at the source?
    - usually advocated by people who hold SNRs responsible for the GCRs
  - Change of slope due to reducing number of sources?
    - in some versions of the SNR-GCR connection scheme
  
- ✧ Is it a feature of cosmic ray propagation/confinement?
  - Change of diffusion regime?
    - expected due to maximum turbulence scale (→ non resonant scattering off magnetic inhomogeneities)
  - Influence of a Galactic wind?
    - advection?
  
- ✧ Is it a local effect (space-time distribution of sources)?
  
- ✧ In all cases, a rigidity-dependent effect can be expected...

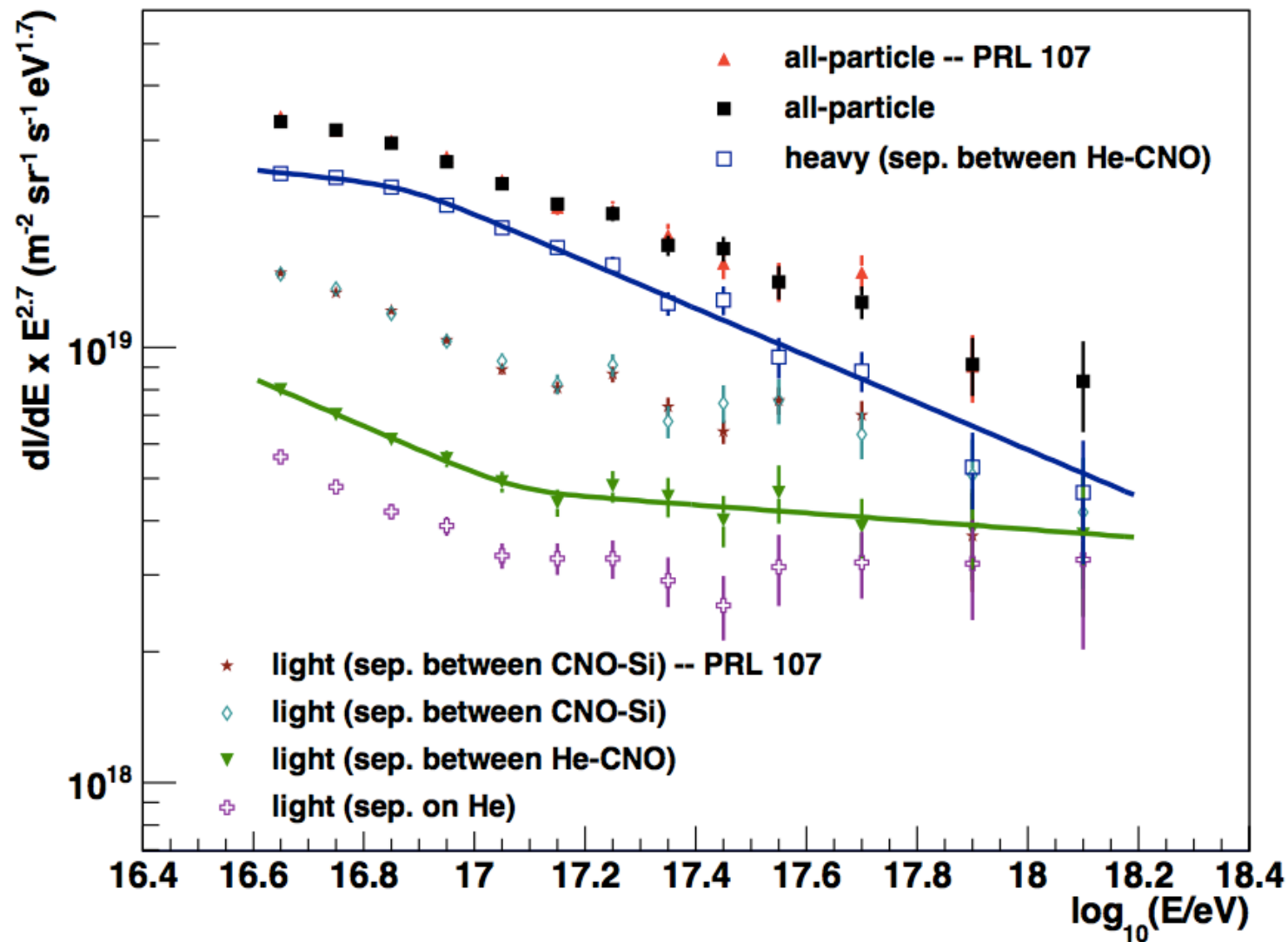
# Kascade-Grande: the light ankle!

Kascade Grande Collaboration (2013)



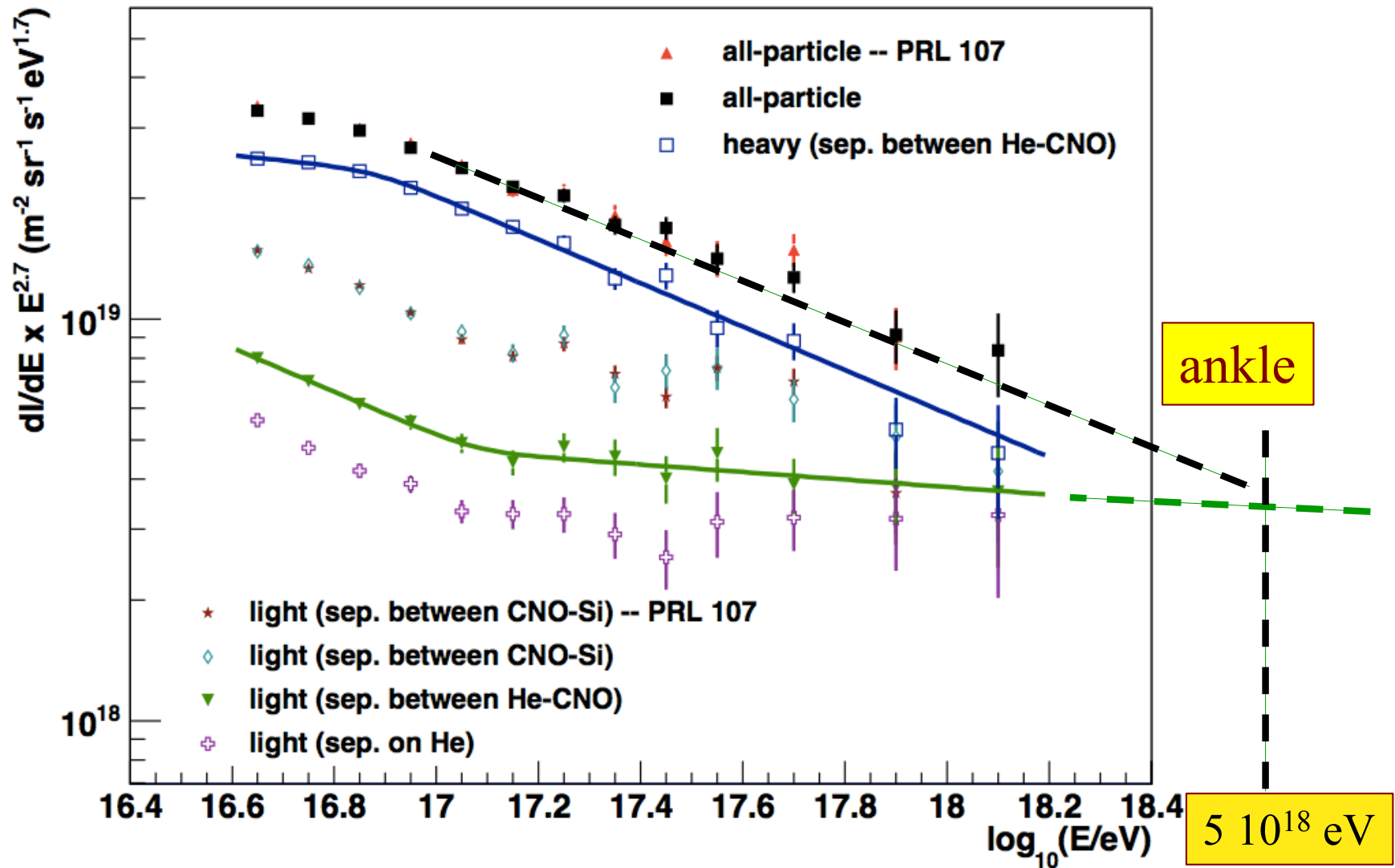
# Kascade-Grande: light ankle/heavy knee

Kascade Grande Collaboration (2013)



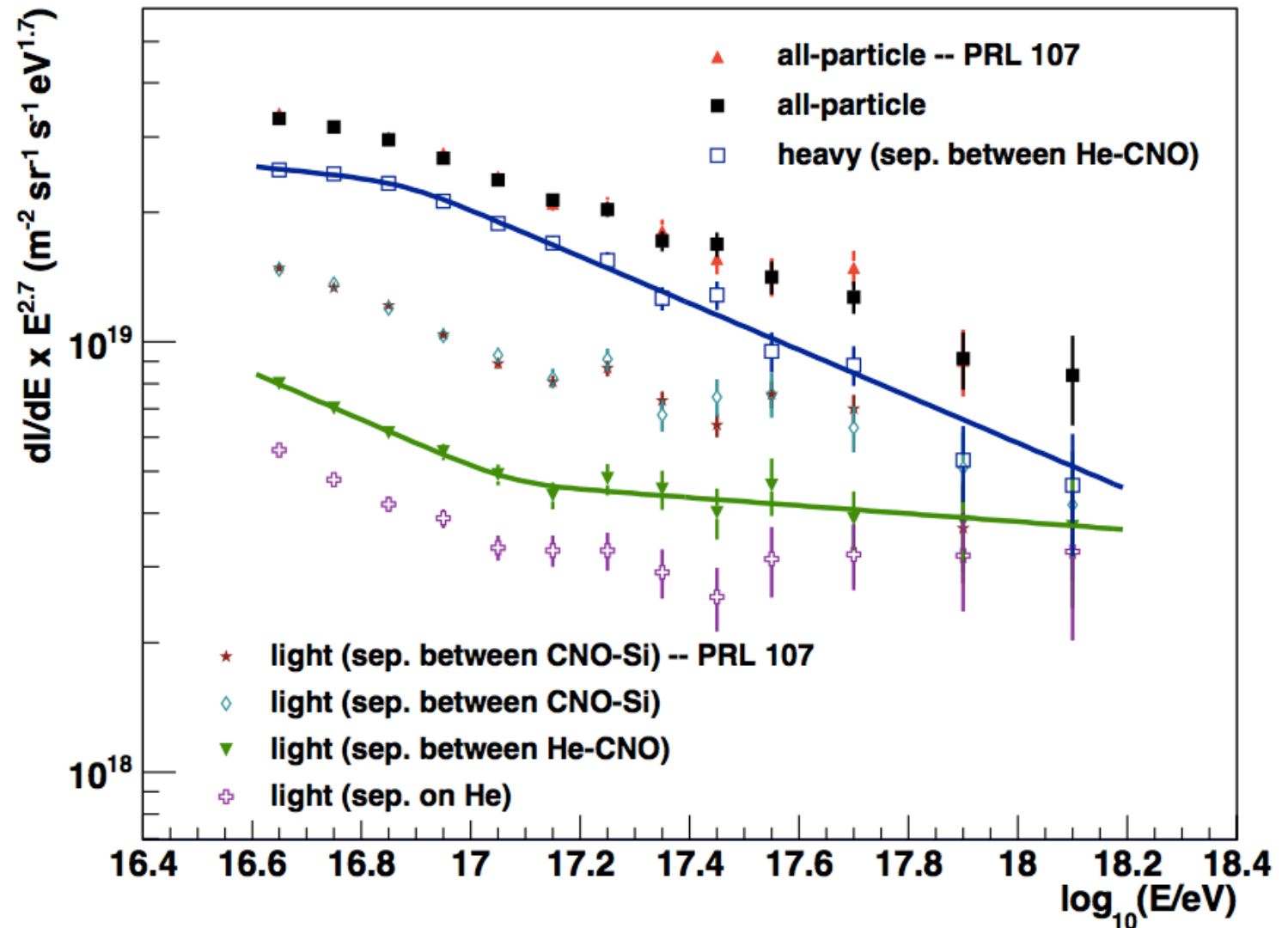
# Kascade-Grande results

Kascade Grande Collaboration (2013)

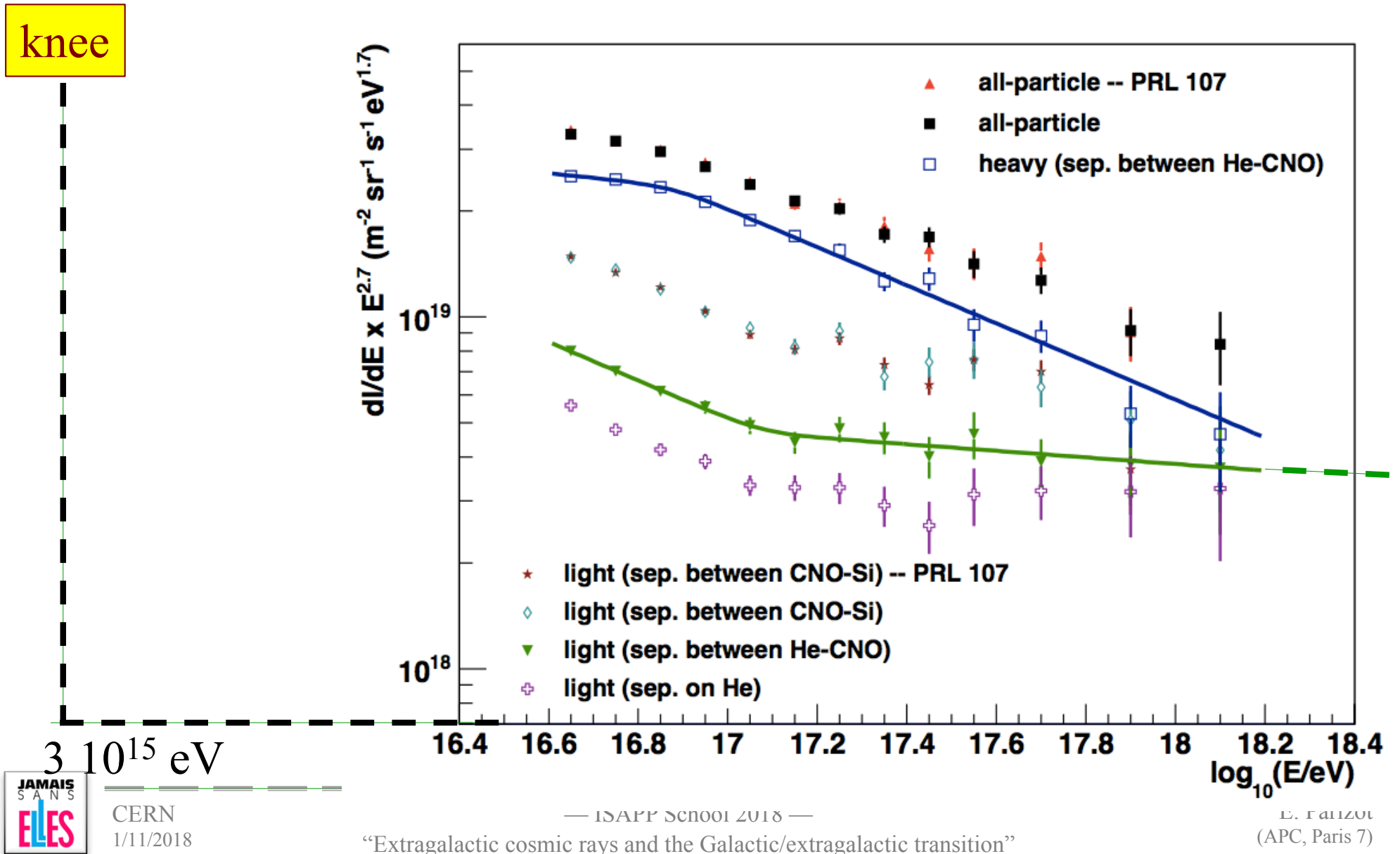




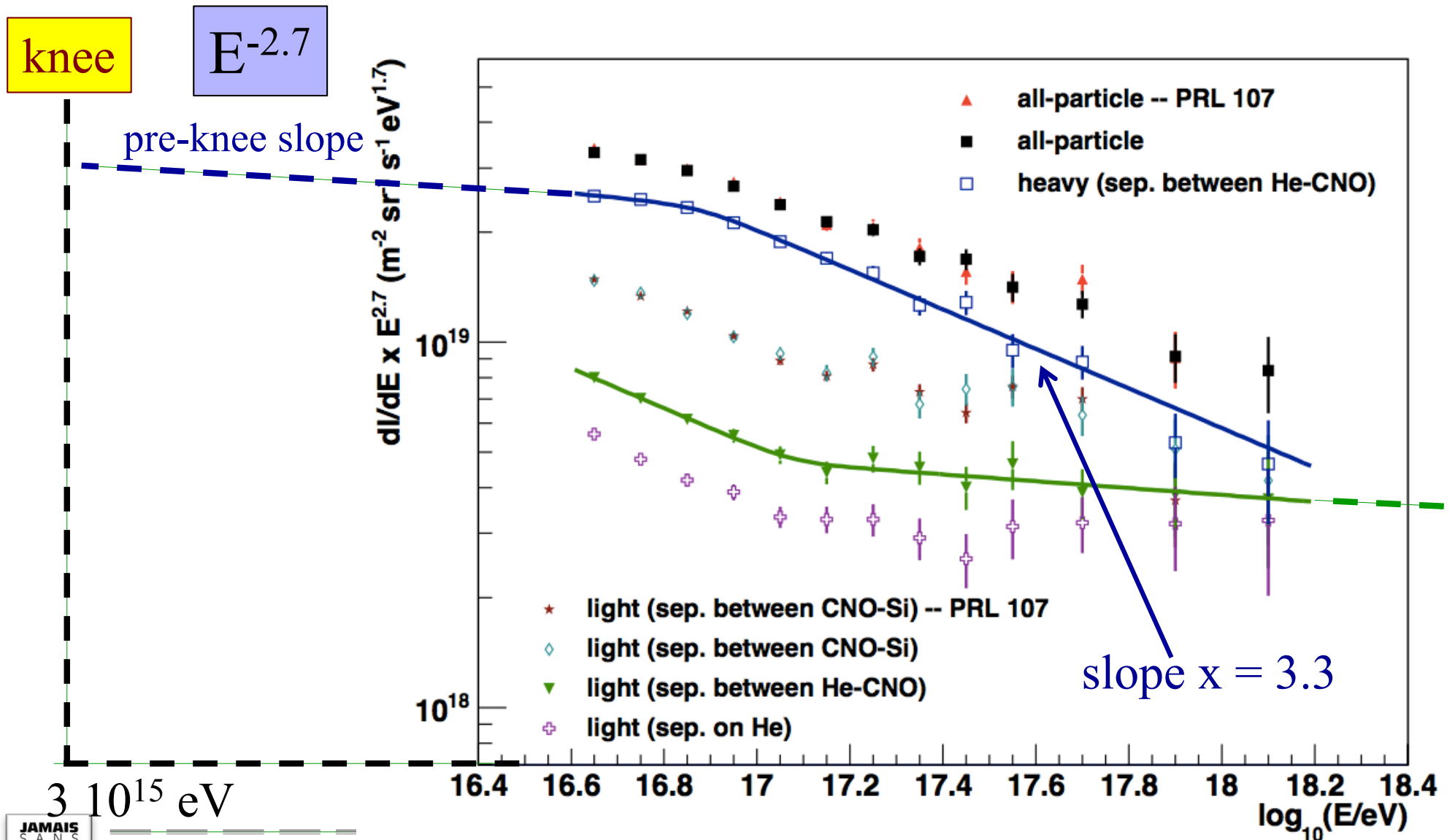
# Quite a simple and natural picture!



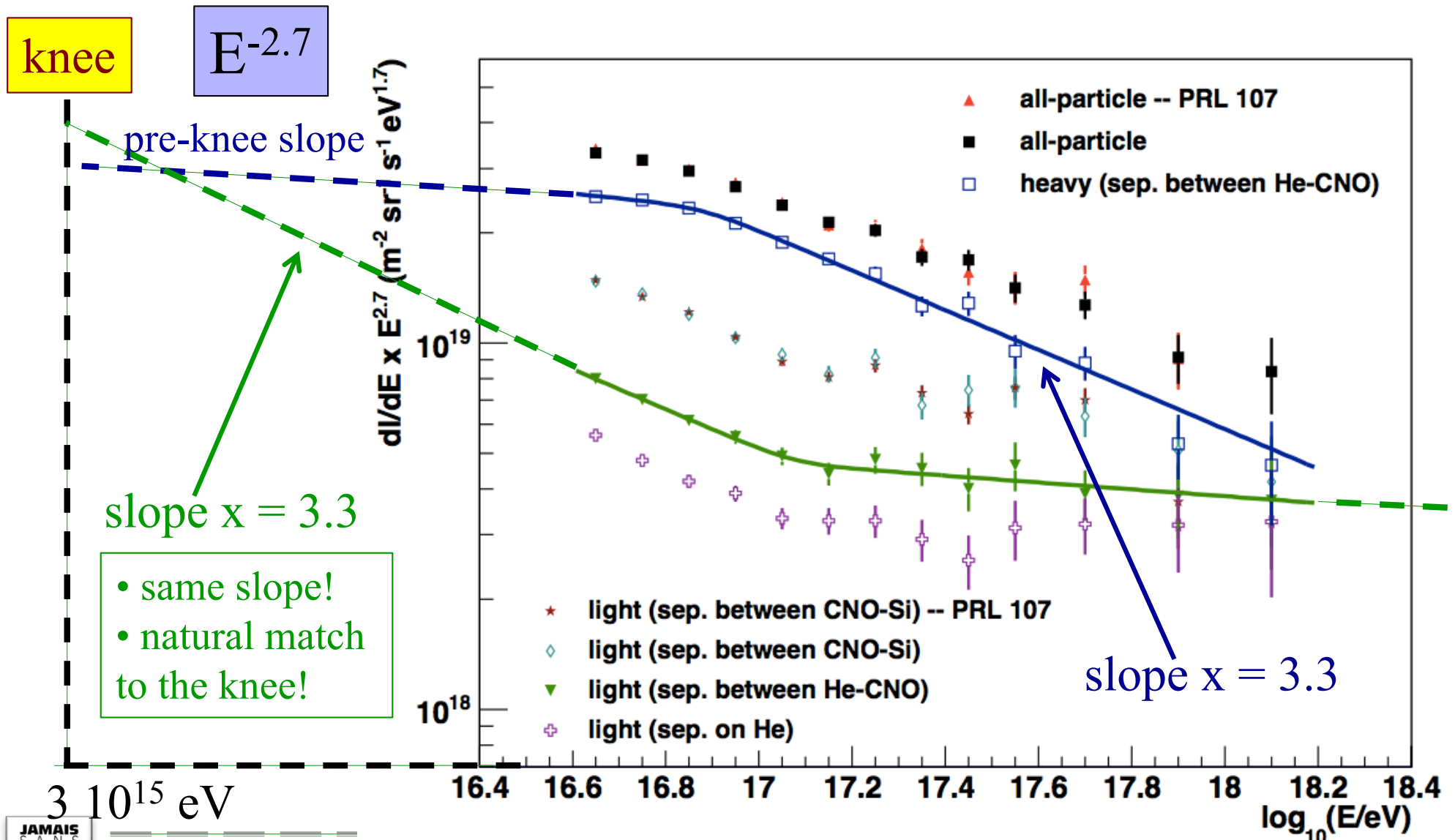
# Quite a simple and natural picture!



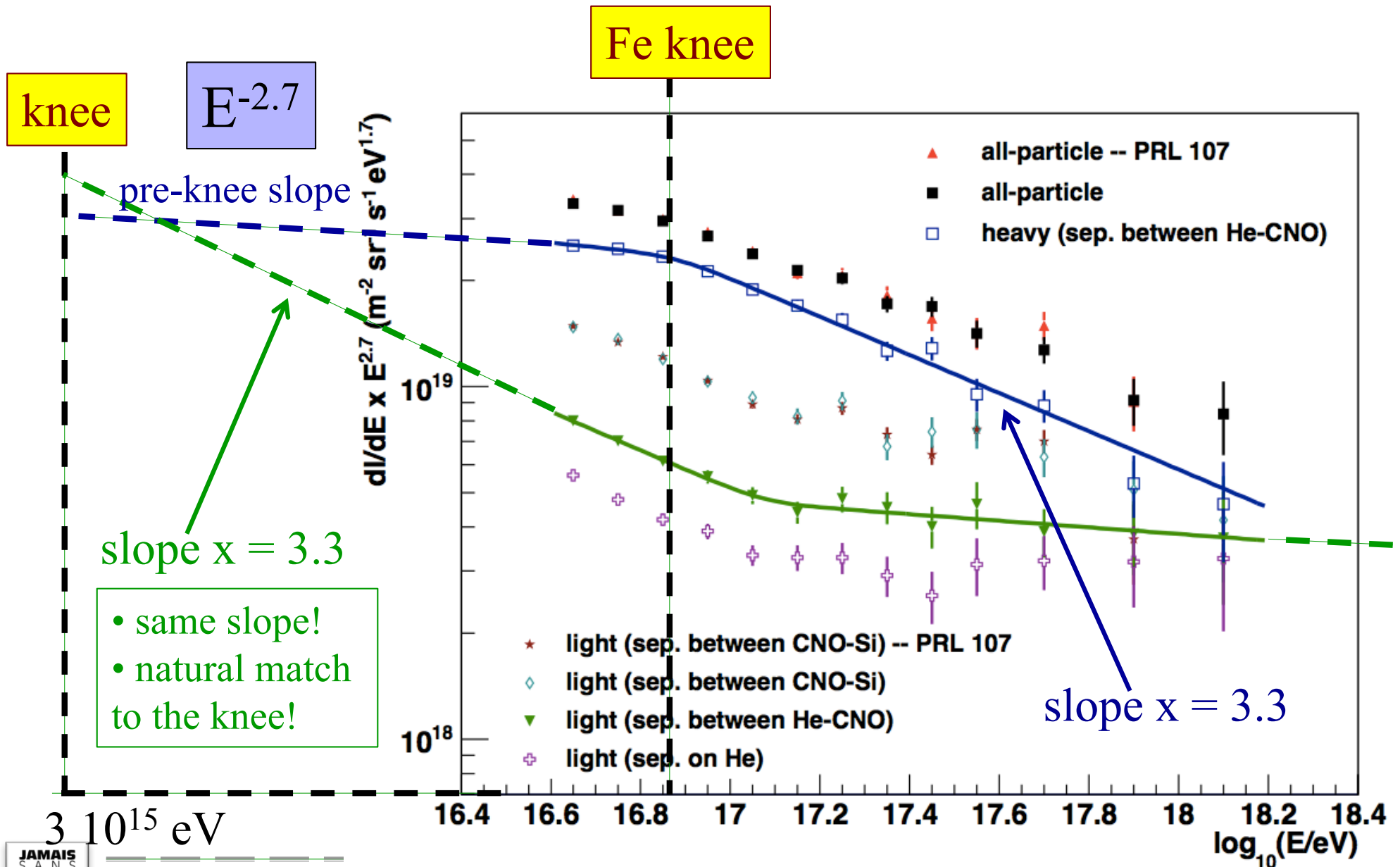
# Quite a simple and natural picture!



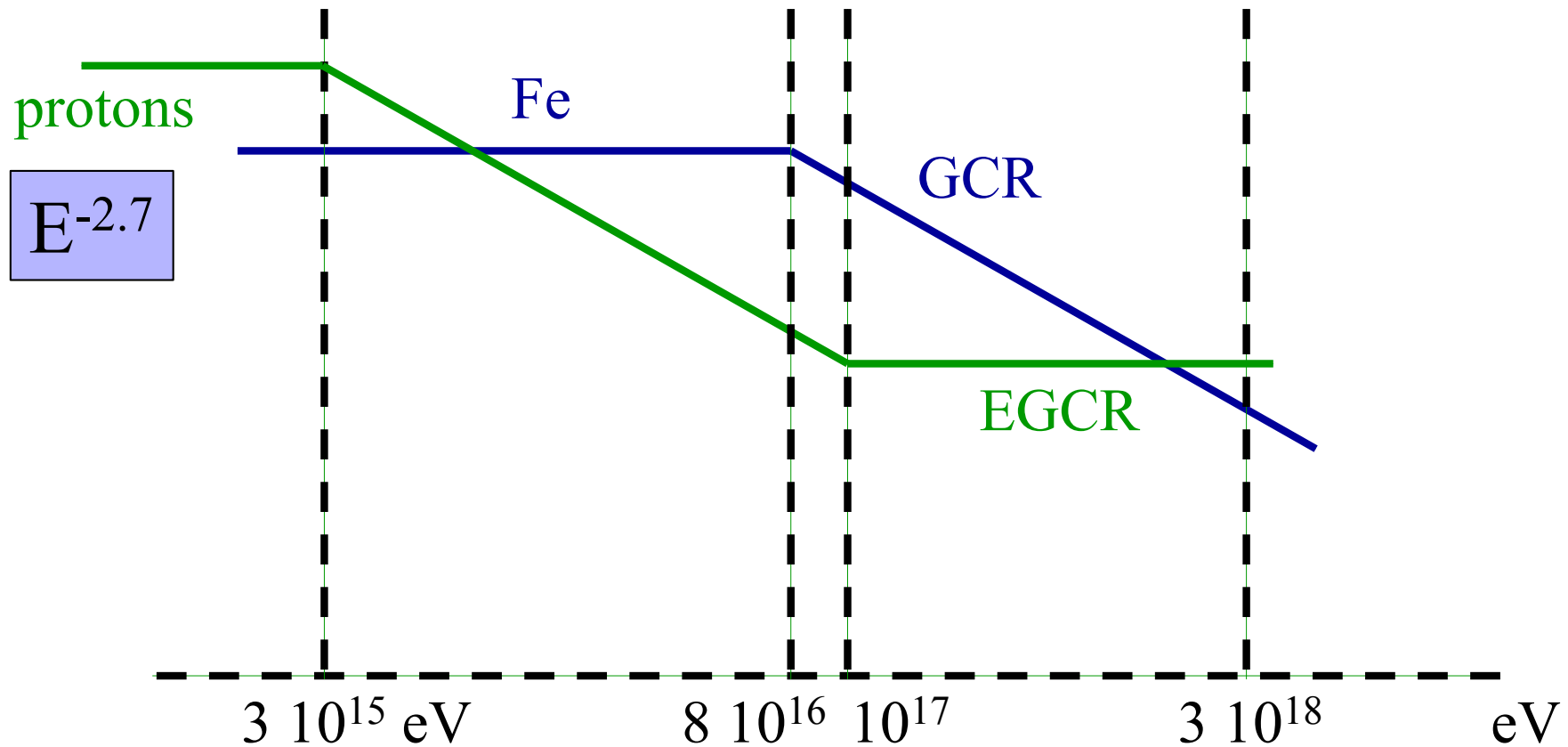
# Quite a simple and natural picture!



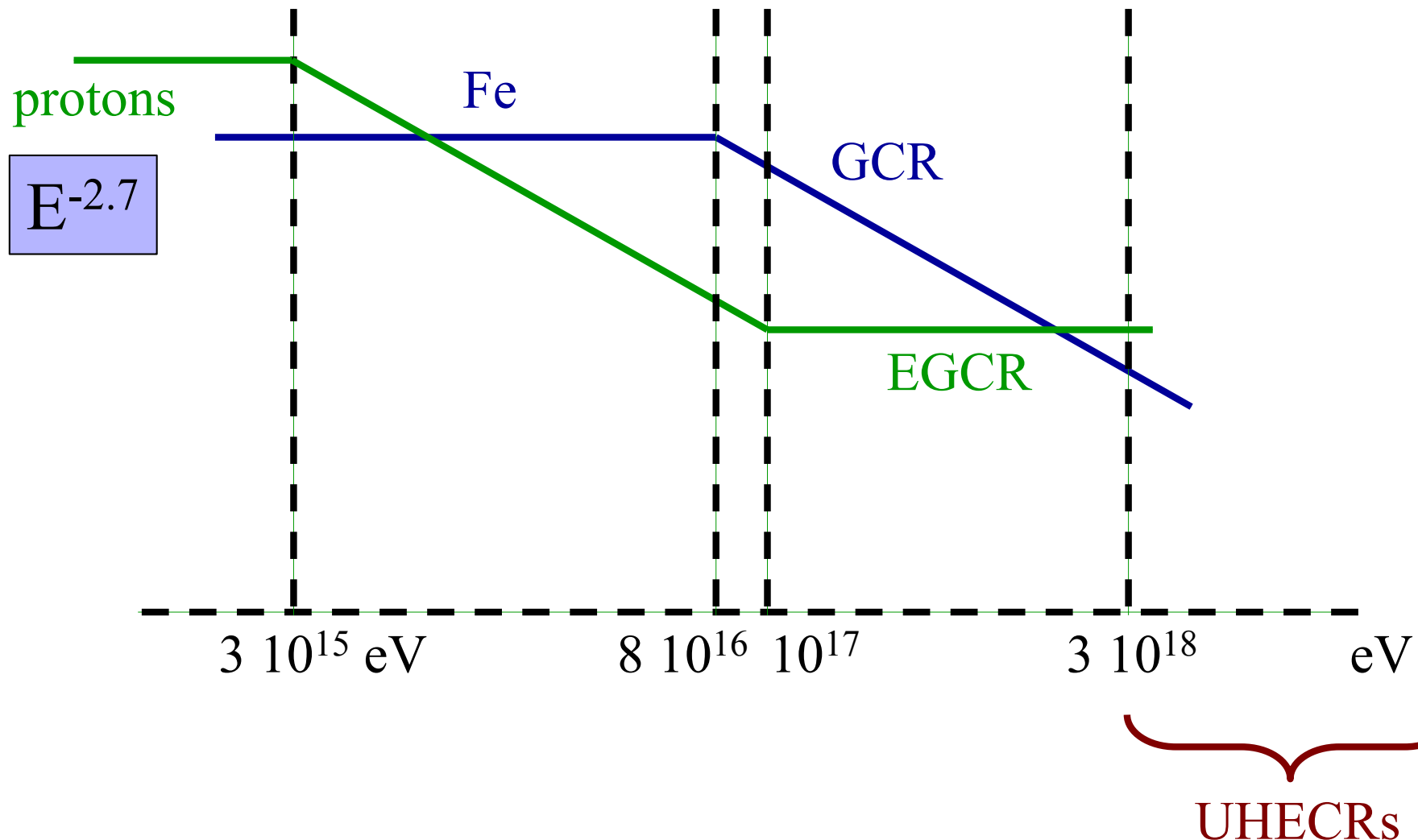
# Quite a simple and natural picture!



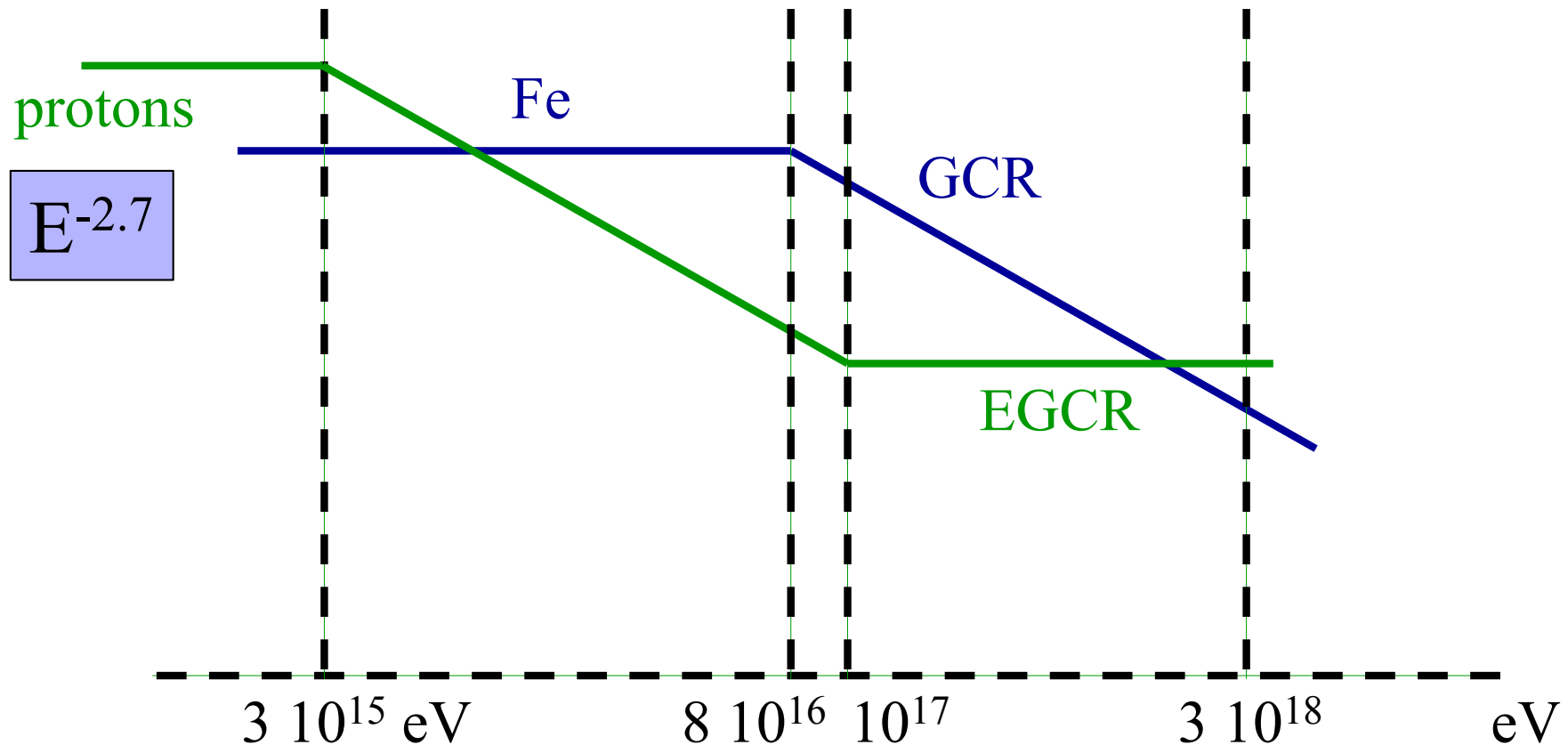
# Very appealing GCR/EGCR transition picture



# Very appealing GCR/EGCR transition picture



# Very appealing GCR/EGCR transition picture



→ But it requires Galactic protons up to  $\sim 10^{17}$  eV and Galactic Fe nuclei up to the ankle!

UHECRs

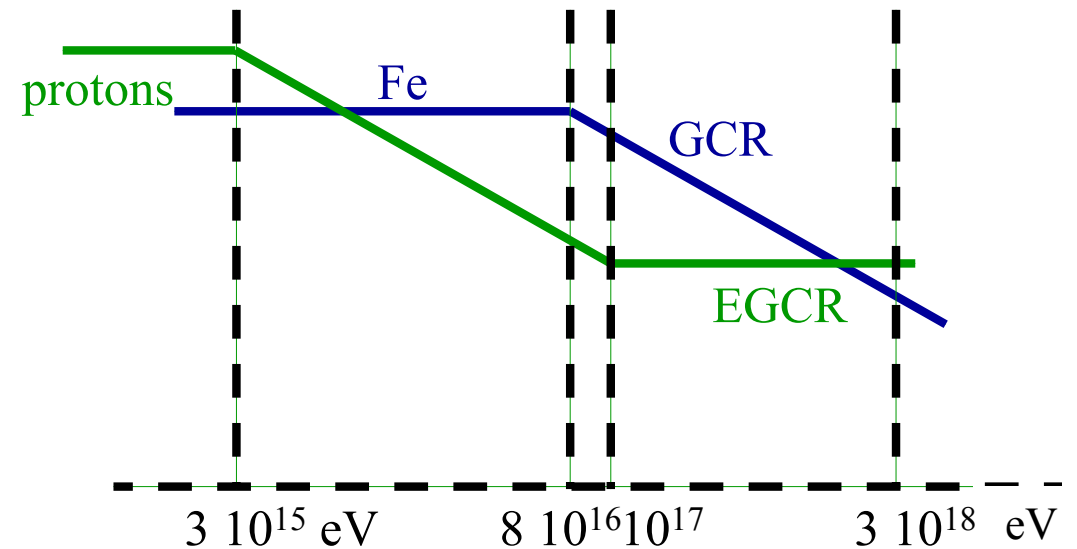
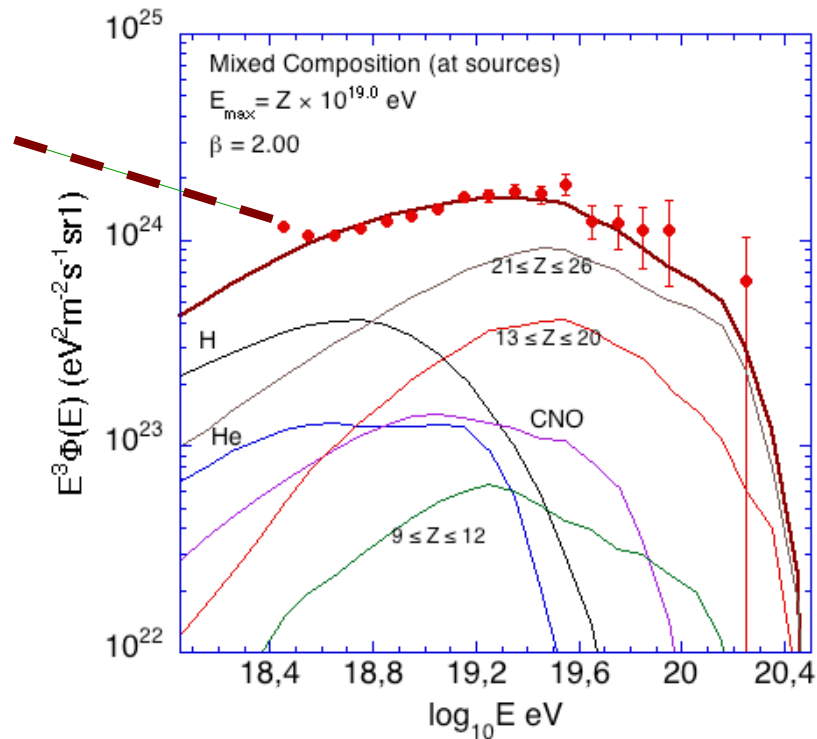
Not SNRs! → Is there a second GCR component?



# Do we have a hardness crisis?

Low proton  $E_{\max}$  models imply hard source spectra

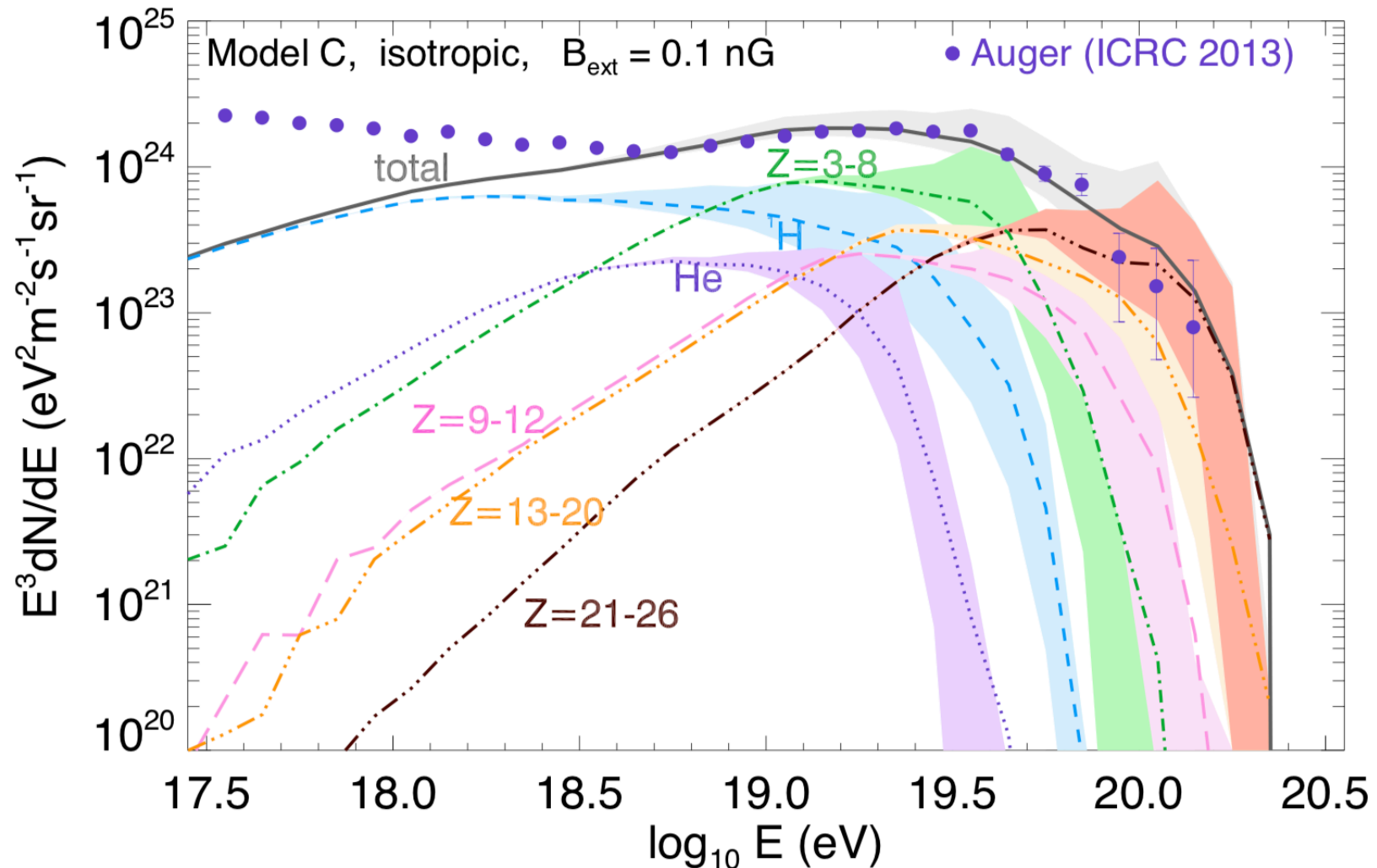
The EGCR proton component seems to be much softer!



Does this require an additional component?

In fact, no: a softer spectrum for EGCR protons (compared to EGCR nuclei) works as well!

# Example: acceleration model in GRBs



Example of a simple, generic model  
that meets the transition and UHE constraints

- One single GCR component, with rigidity dependence only
- One single EGCR component, from a GRB source model

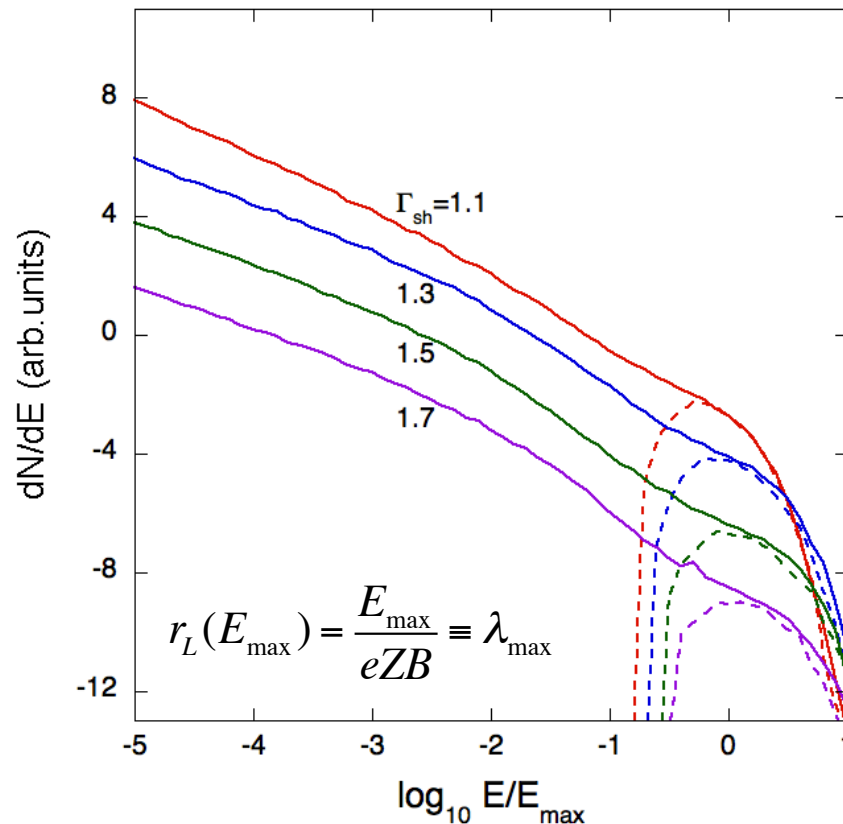


gamma-ray burst

# Particle acceleration at mildly relativistic shocks

- ✧ Monte Carlo simulation of Fermi acceleration:
  - Full calculation of particle trajectories and shock crossings  
=> energy gains + particle escape (both upstream and downstream)

- ✧ Resulting spectra (no energy losses):



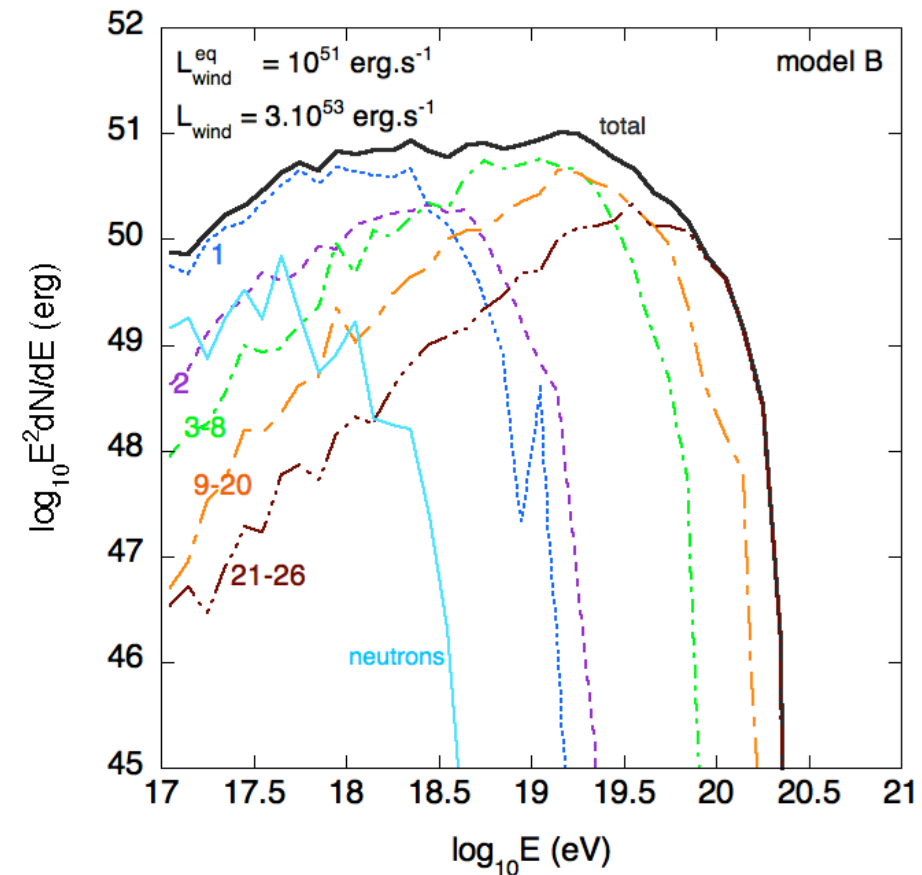
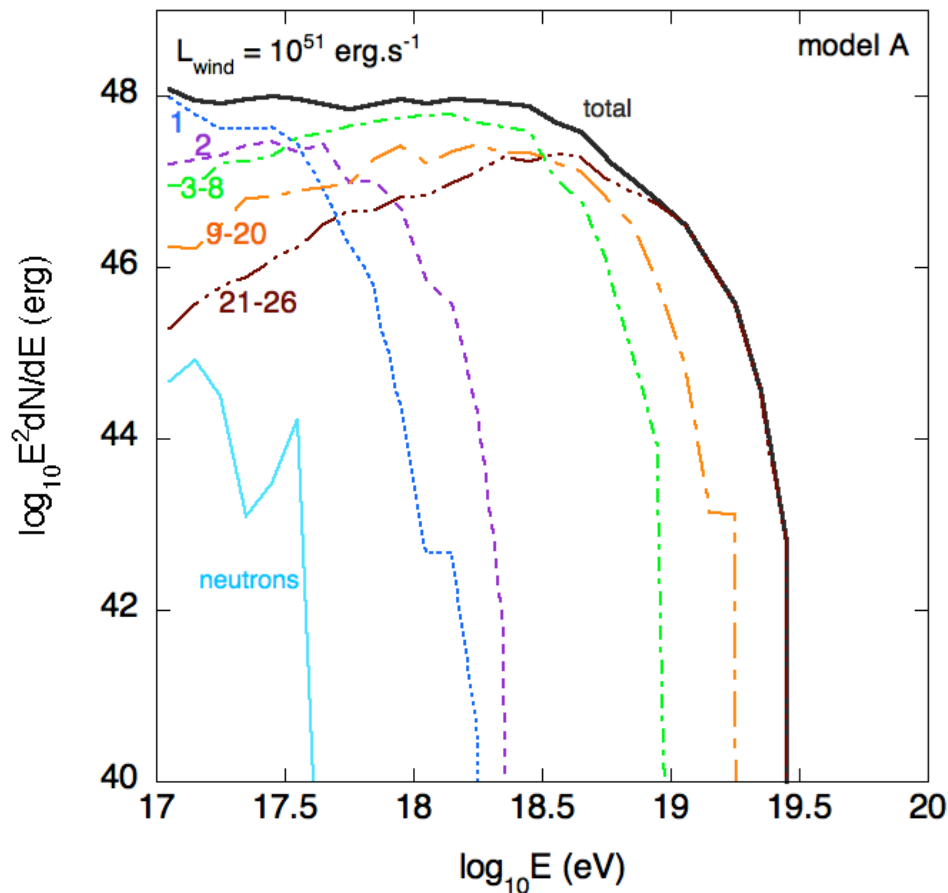
- Escape upstream : high pass filter (selects particles in the weak scattering regime)
- Escape downstream : should become a high pass filter in the presence of energy losses (particles must leave before being cooled by energy losses)

# Particle acceleration with energy losses

- ✧ Competition between acceleration and energy losses
  - Take into account all energy loss processes (expansion, synchrotron, pair production, photo-dissociation, photo-pion, hadronic interactions)
  
- ✧ Resulting spectra of escaping particles, integrated over the whole GRB evolution
  - For each GRB luminosity
  - For each of three different energy partition models (A, B and C)

# Particle acceleration with energy losses

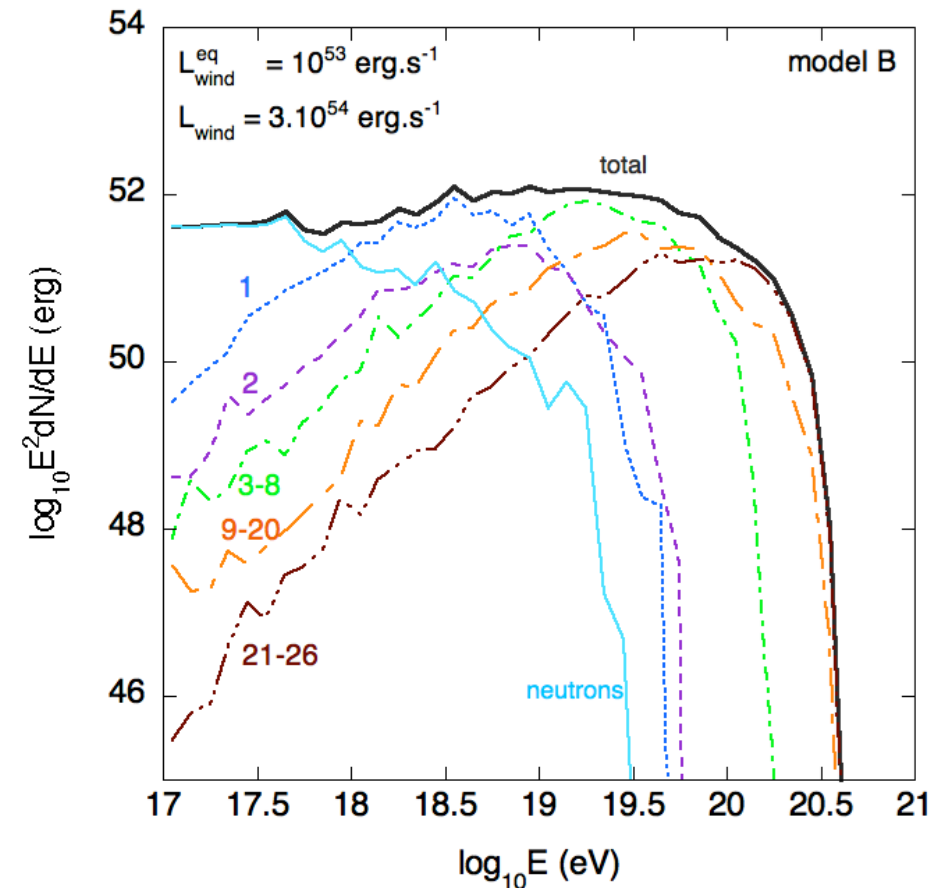
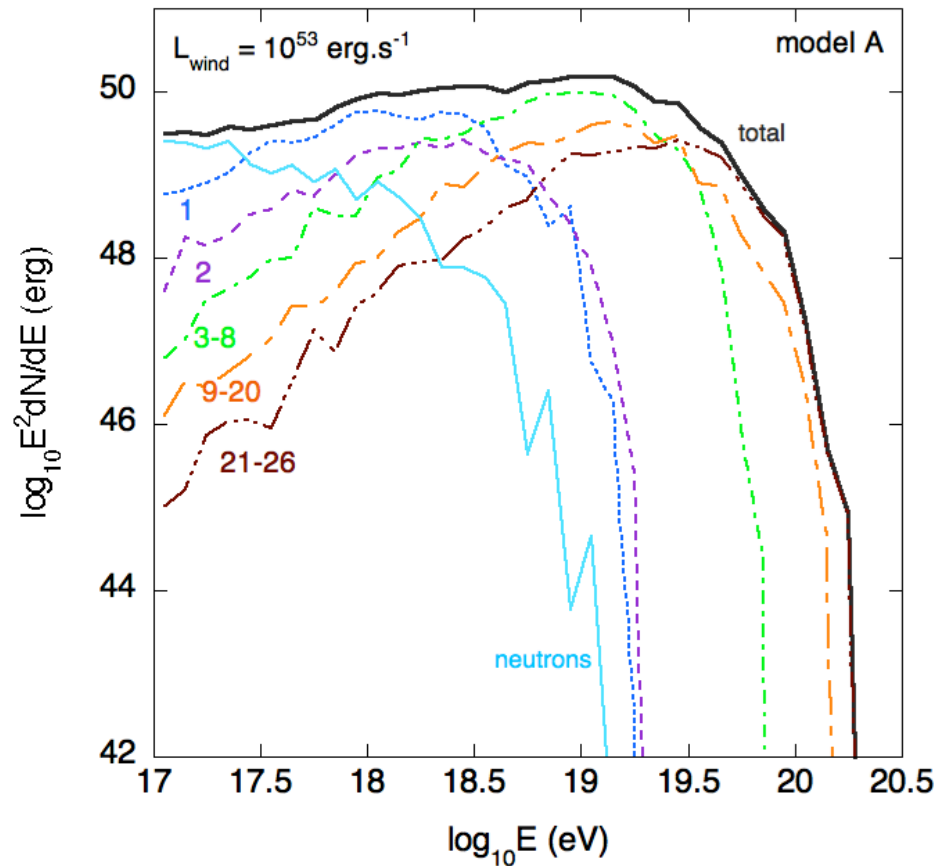
$$L_{\text{wind}} = 10^{51} \text{ erg/s} \quad | \quad t_{\text{wind}} = 2 \text{ s} \quad | \quad \text{metallicity} = 10 \times \text{GCRs}$$



mass hierarchy for  $E_{\text{max}}$  + very hard spectrum!

# Particle acceleration with energy losses

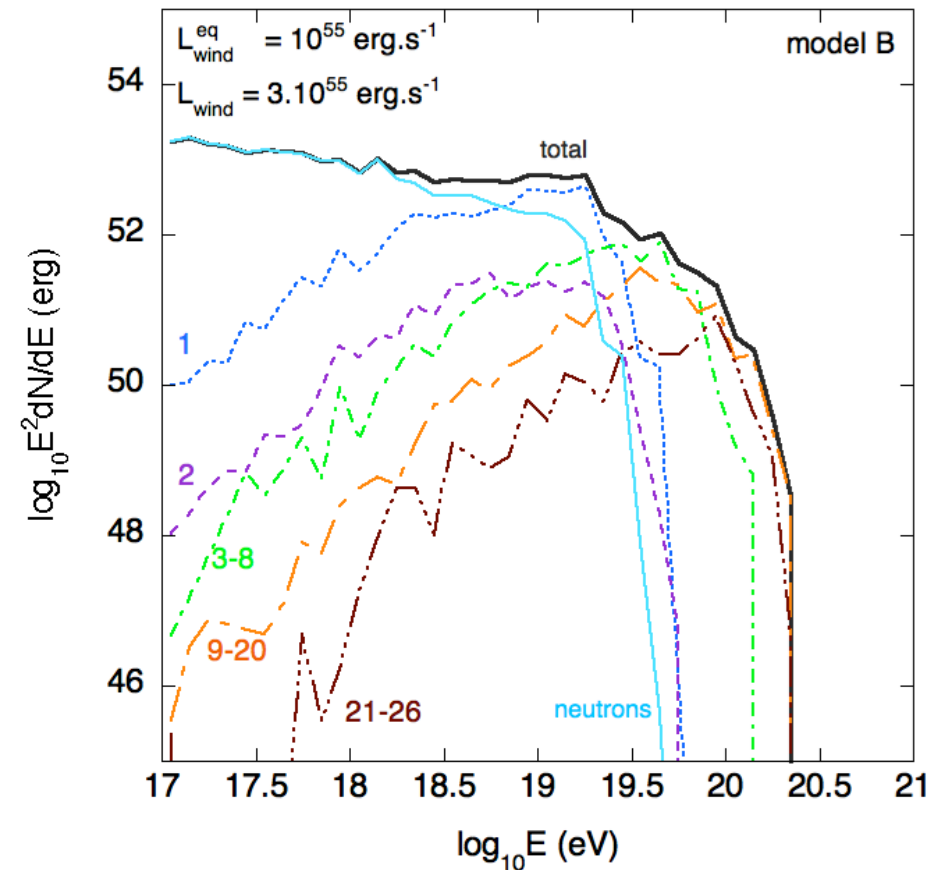
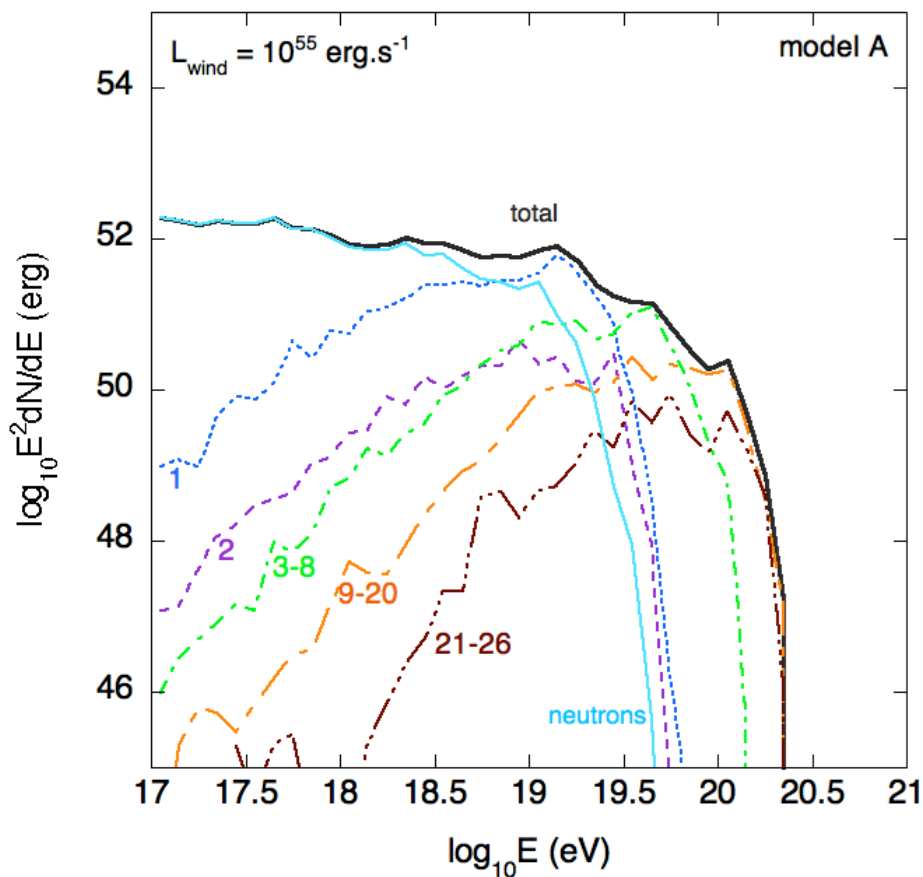
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mass hierarchy for  $E_{\text{max}}$  + very hard spectrum!

# Particle acceleration with energy losses

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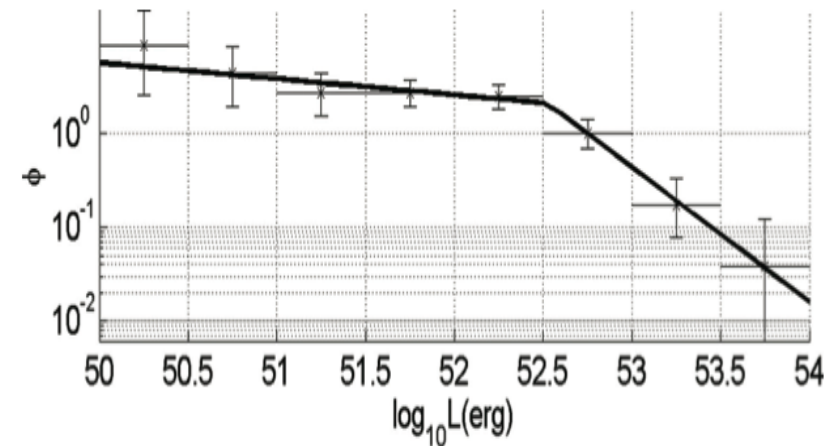
**LOOK AT THE NEUTRON COMPONENT!!!**



# Resulting UHECR propagated spectra

- ✧ Implement the GRB rate, GRB luminosity function, and redshift evolution from Wanderman & Piran (2010)

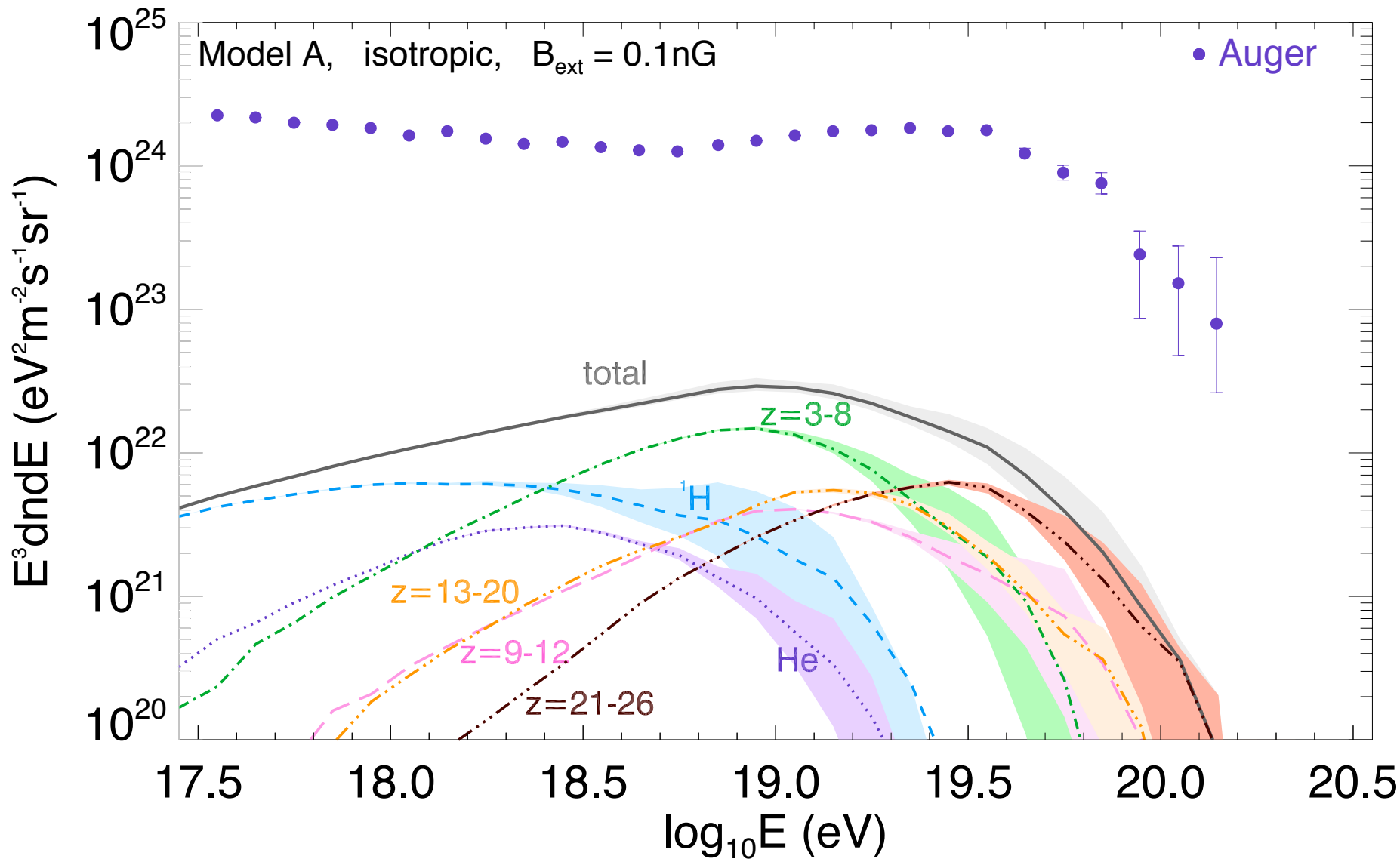
$$\frac{dN_{\text{GRB}}}{dL_\gamma}(L_\gamma) \propto \begin{cases} L_\gamma^{-\alpha} & \text{for } L_\gamma \leq L_\star \\ L_\gamma^{-\beta} & \text{for } L_\gamma > L_\star \end{cases} \quad \begin{matrix} \alpha = 1.2 \\ \beta = 2.4 \end{matrix}$$



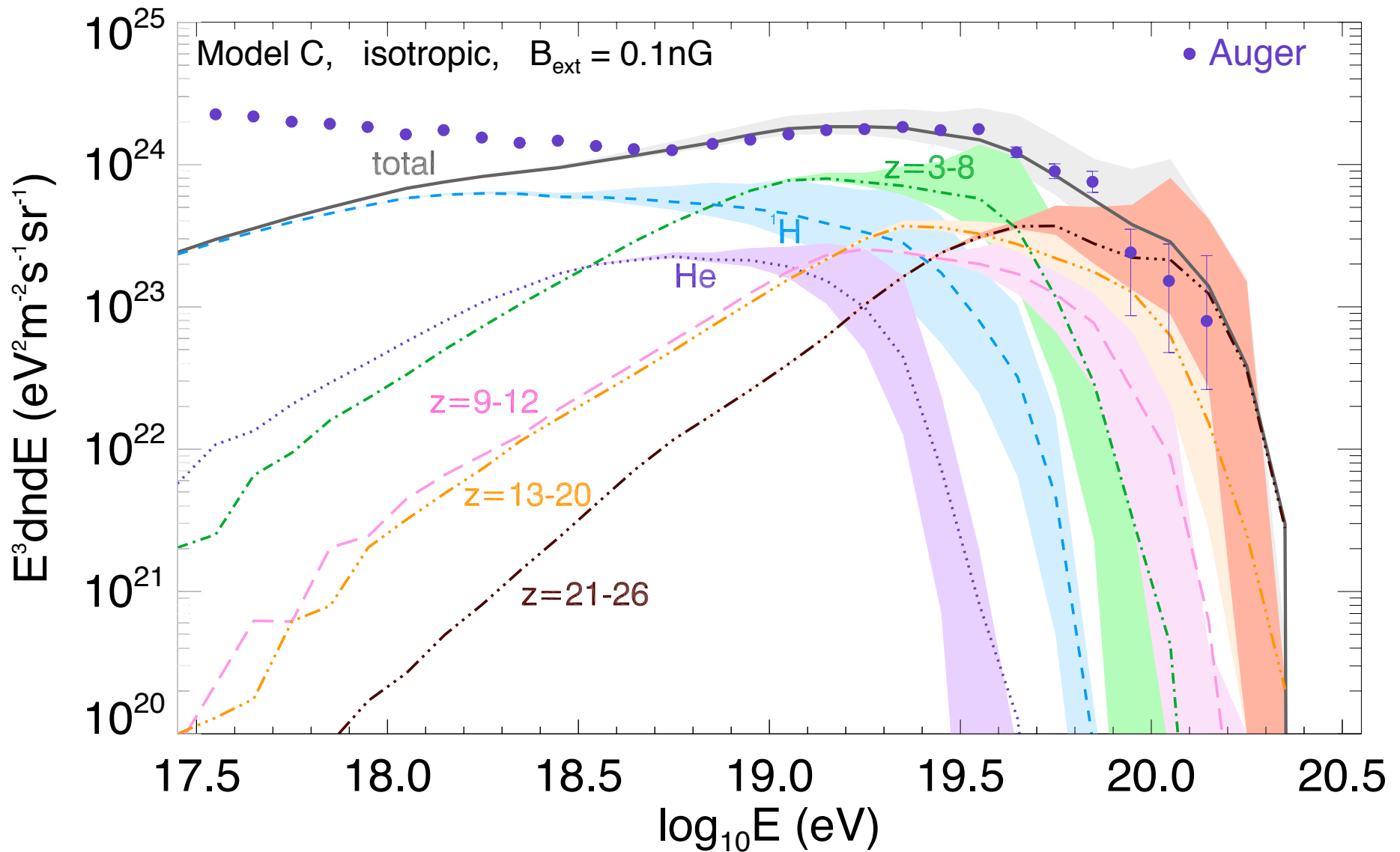
$$\rho_{\text{GRB}}(z) = \rho_{\text{GRB}}(0) \times \begin{cases} (1+z)^{n_1} & \text{for } z \leq z_\star \\ (1+z_\star)^{n_1-n_2} \times (1+z)^{n_2} & \text{for } z > z_\star \end{cases}$$

$$\rho_{\text{GRB}}(0) = 1.3 \text{ Gpc}^{-3} \text{ yr}^{-1} \quad \begin{matrix} n_1 = 2.1 \\ n_2 = -1.4 \\ z_\star = 3 \end{matrix}$$

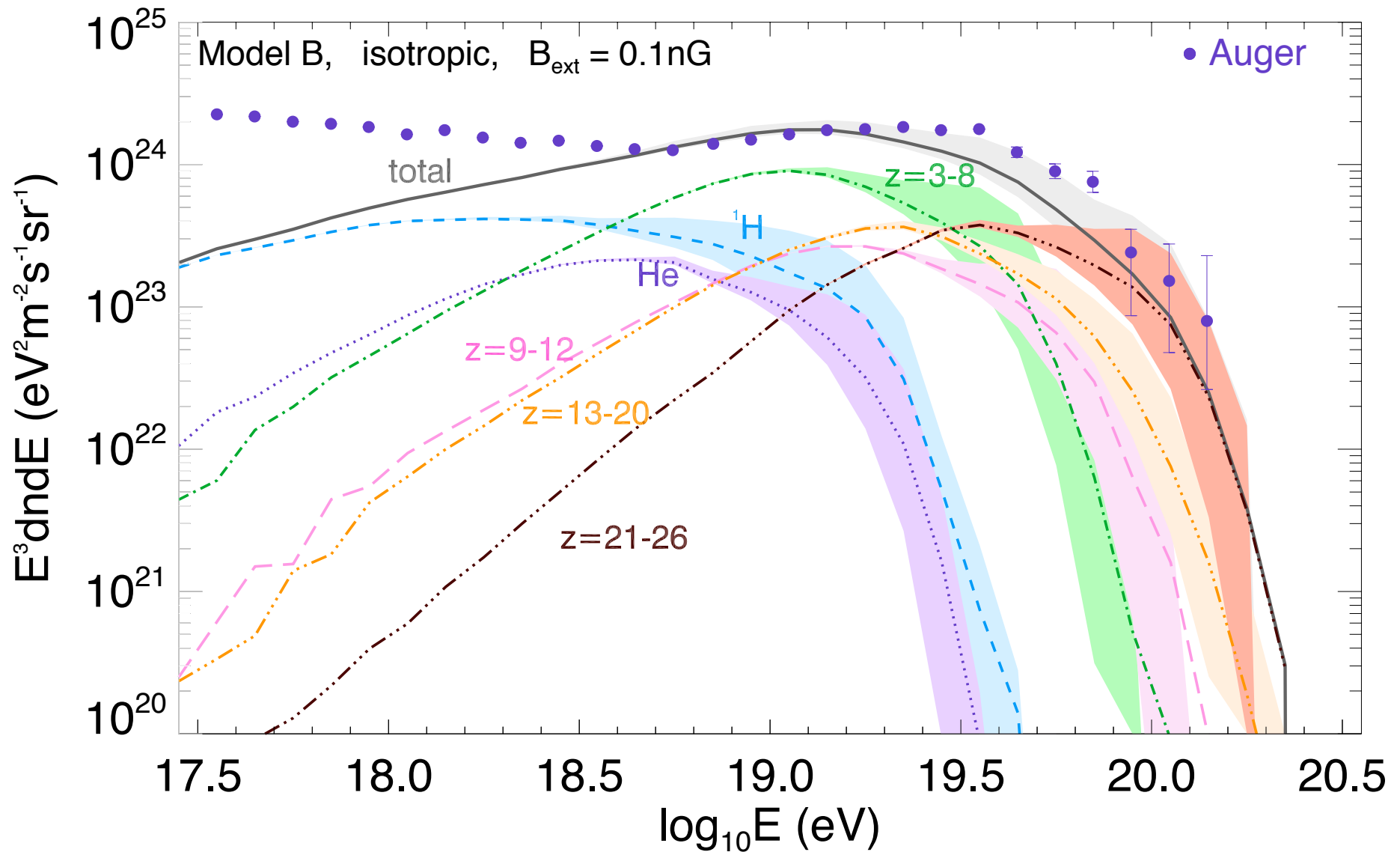
# Resulting UHECR propagated spectra



# Resulting UHECR propagated spectra



# Resulting UHECR propagated spectra



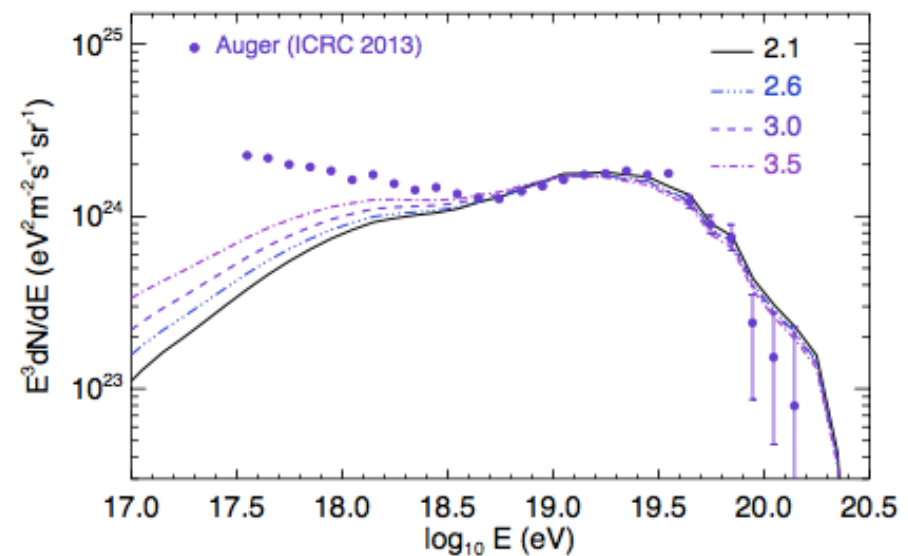
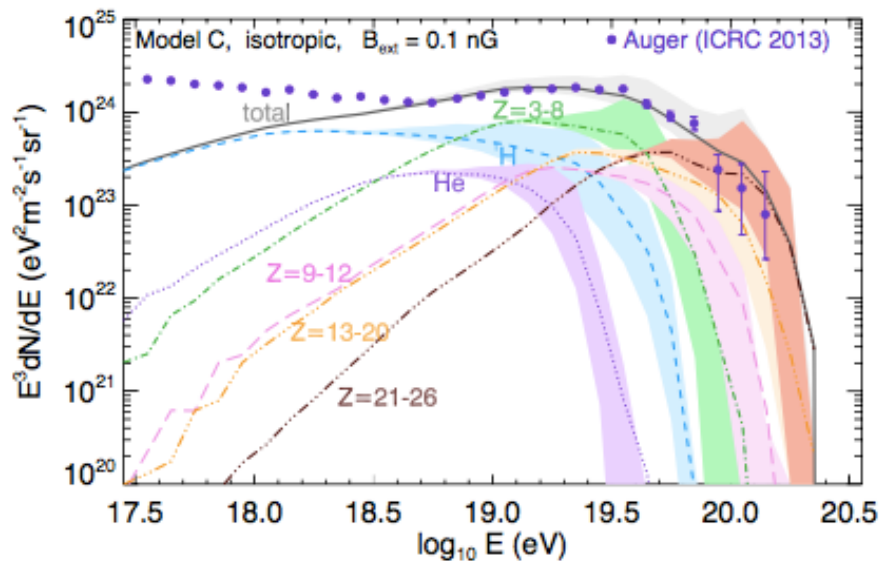
# Global GCR/EGCR model

Globus, Allard & EP (Phys. Rev. D, 2015)

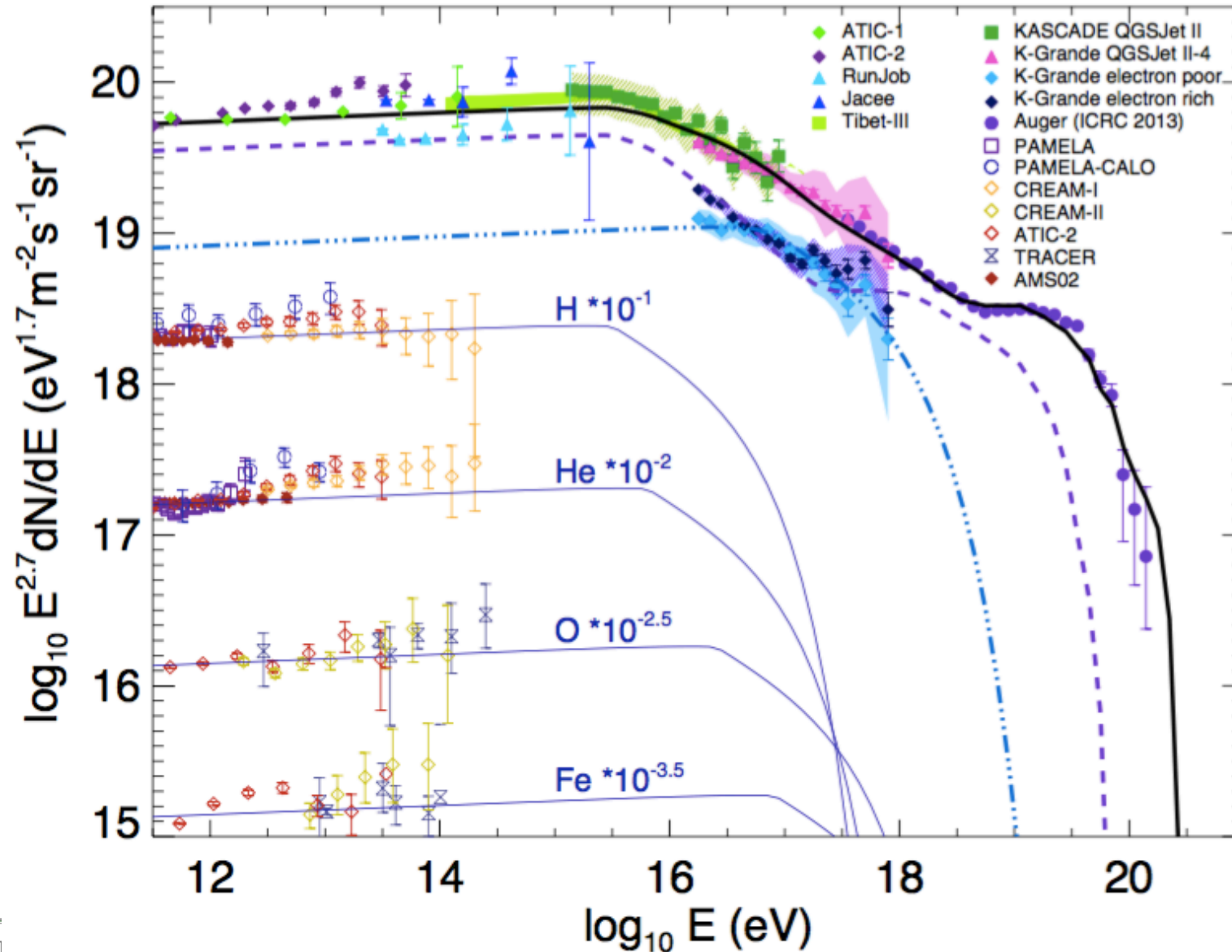
- Let's put GCR and EGCR models together!
- IT WORKS!
- All the spectral features and composition measurements can be reproduced, both qualitatively and quantitatively!
- Only two components are needed: 1 GCR + 1 EGCR

# Global GCR/EGCR model

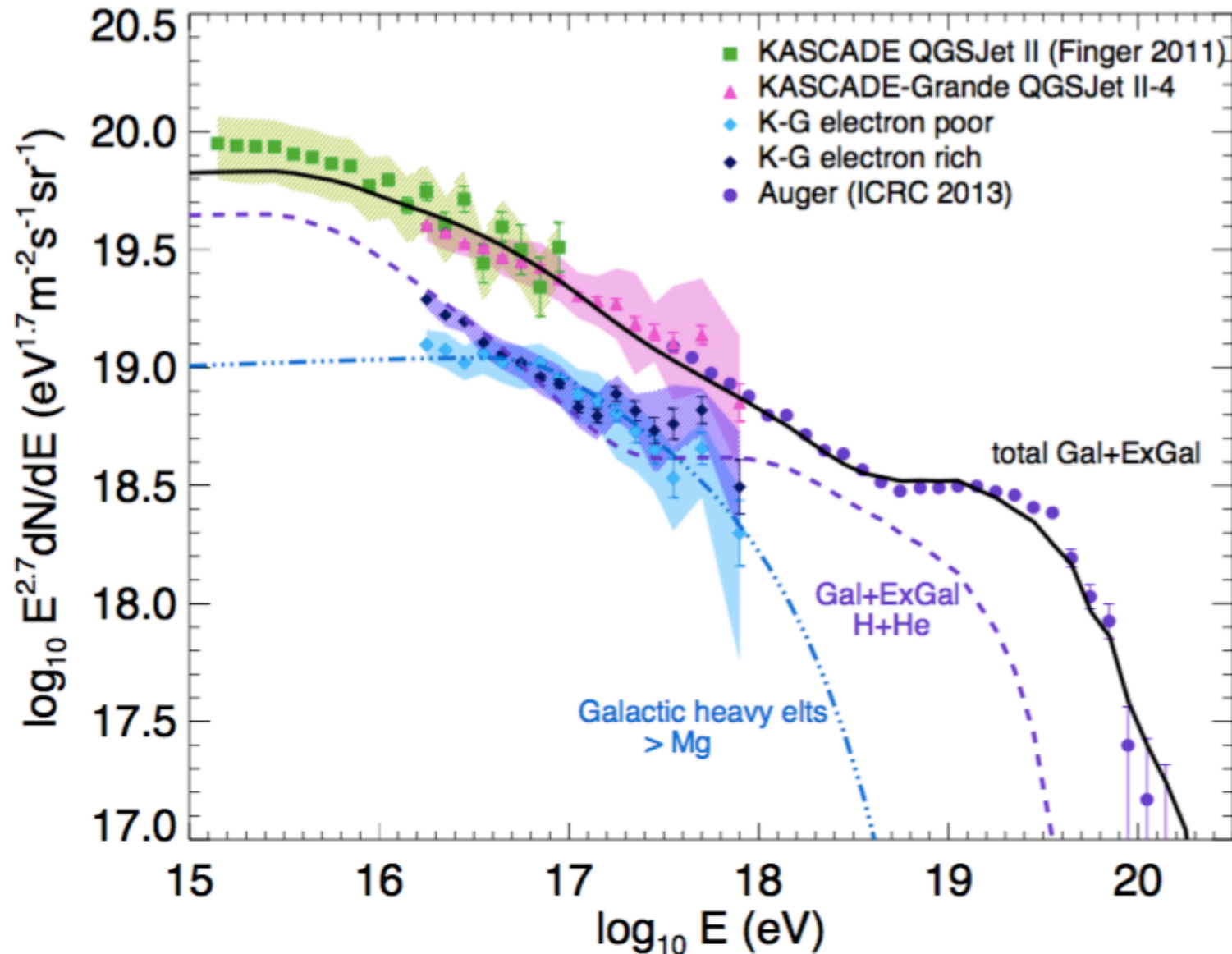
- ✧ GCRs: broken power law at the knee (either source or propagation effect), with measured abundances at low E (below the knee): purely rigidity-dependent spectrum
- ✧ EGCRs: fit of Auger spectrum data with the GRB acc. model
  - + cosmological evolution of the sources (but could be different GRB wind model, etc.) → 1 extra parameter



# Global GCR/EGCR model: spectrum

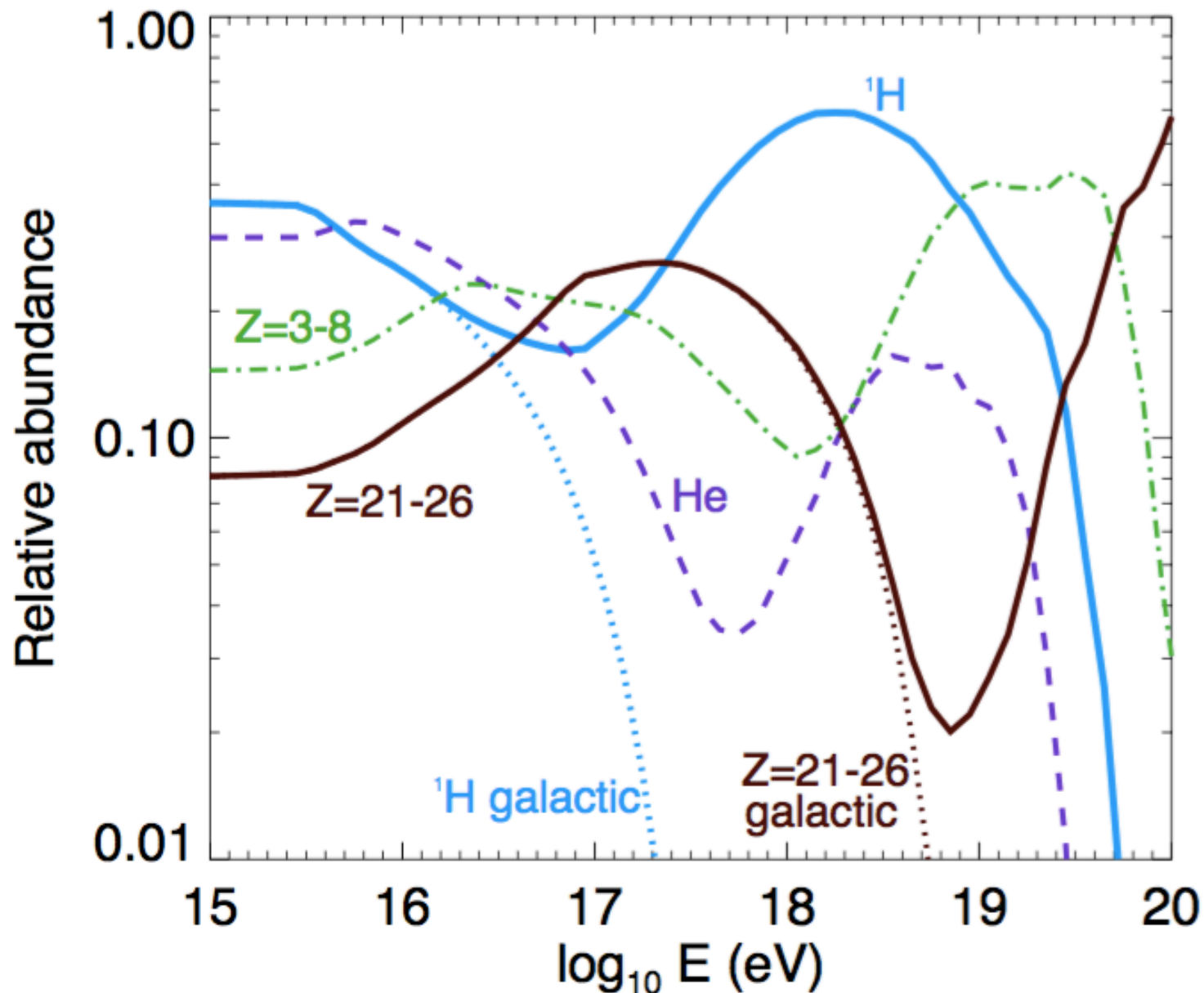


# Global GCR/EGCR model: spectrum

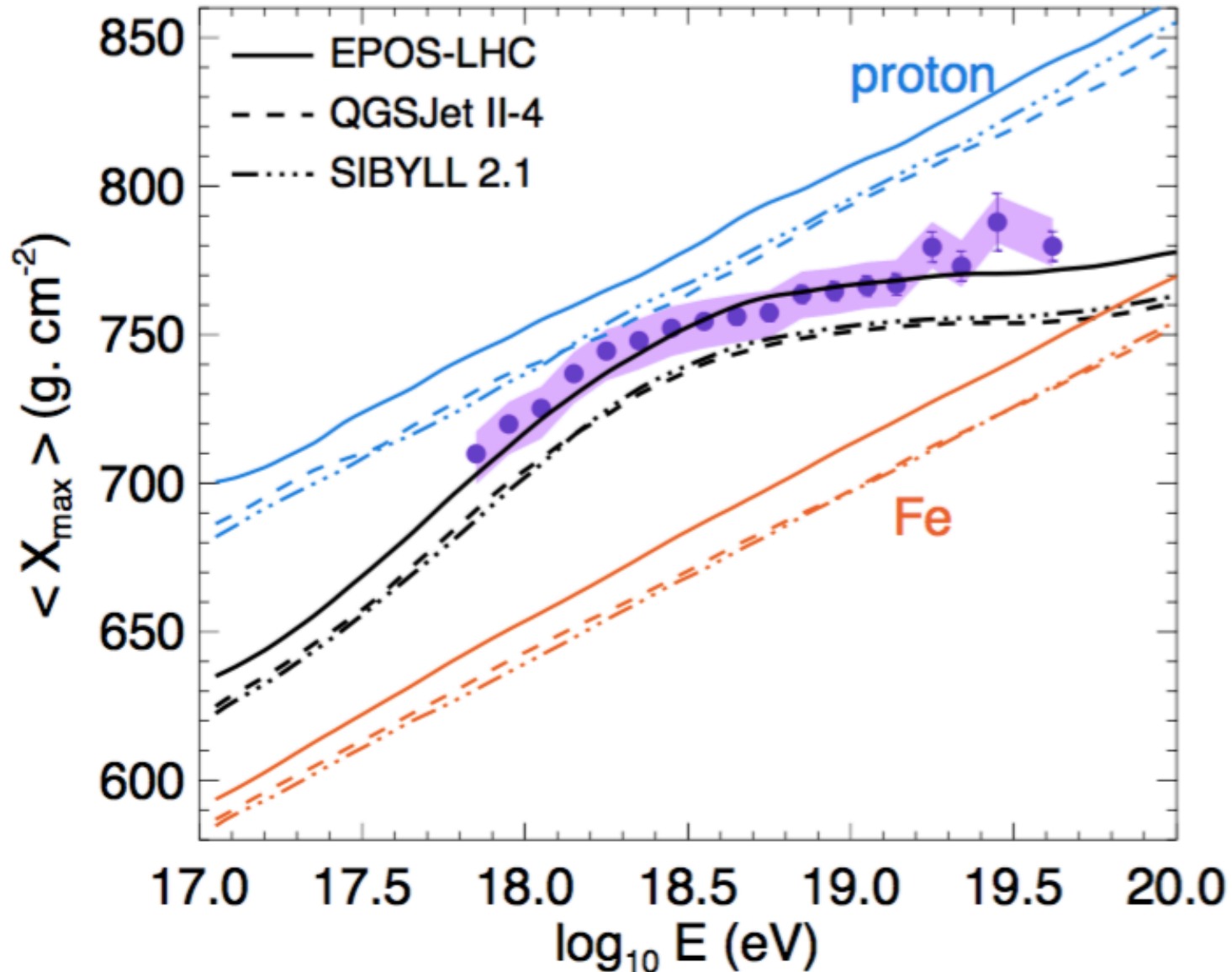




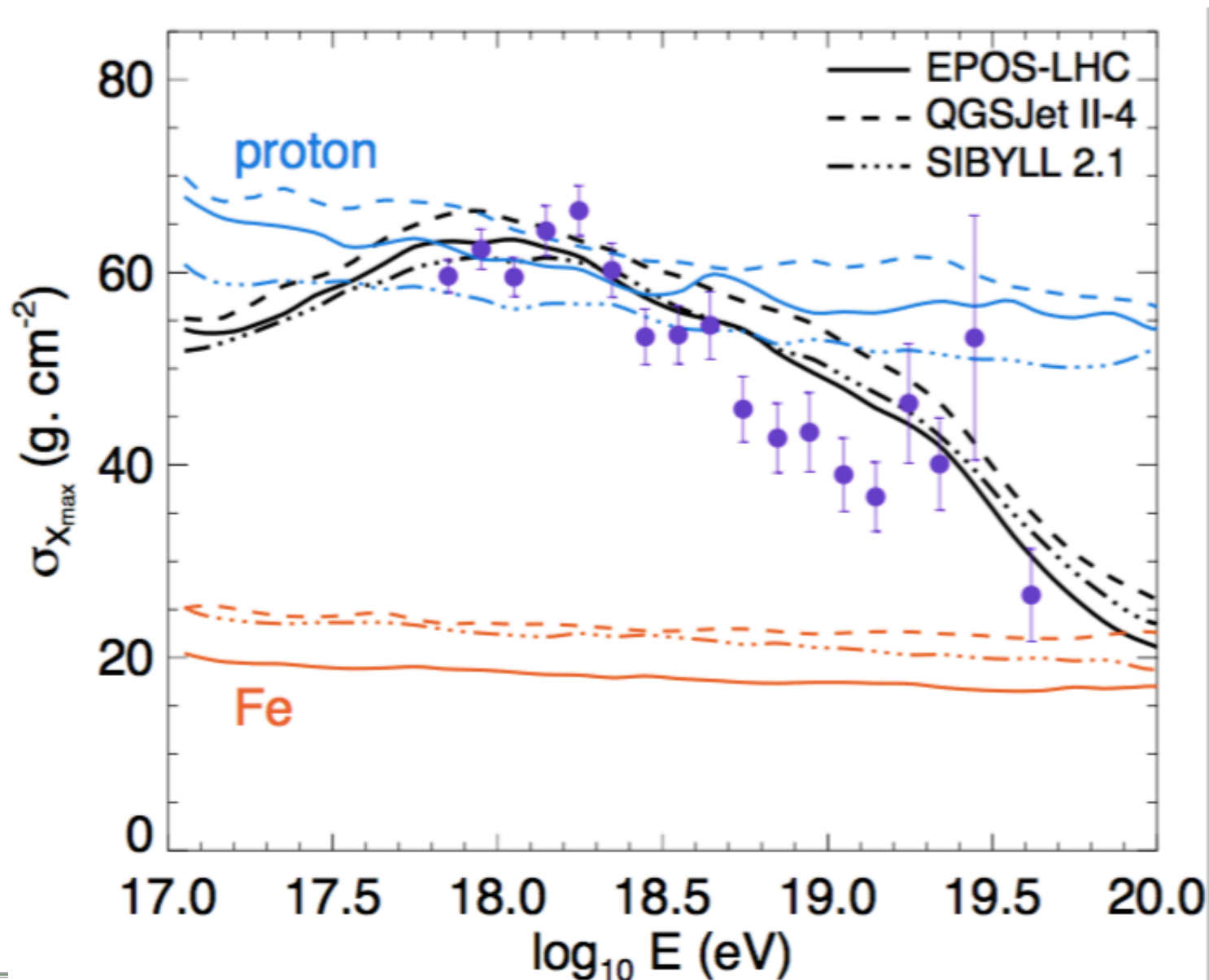
# Global GCR/EGCR model: composition



## 2. Global GCR/EGCR model: composition



## 2. Global GCR/EGCR model: composition



# Implications

- ✧ Two dominant components are sufficient to account for the general CR phenomenology over the entire spectrum
- ✧ But then the EGCR component must have a low maximum energy (with a charge/mass dependence) and softer proton spectrum
- ✧ The GCR proton component should extend up to  $\sim 10^{17}$  eV
  - Seems very hard for SNR models (which have other severe problems, by the way!)
- ✧ NB: the GRB acceleration model is probably not unique: strongly magnetized media with high photon density would produce the same key features (presumably)
- ✧ Other schemes are possible, with additional components
  - other types of implications and questions

# Main message

- ✧ The GCR/EGCR transition region is very important to study
- ✧ It is constrained from above by EGCRs and from below by GCRs
- ✧ In turn, it constraints GCRs and EGCRs!
- ✧ Studies must involve energy spectrum, composition and anisotropies  
(and their energy evolution)

# Perspectives

- ✧ More data are needed on the GCR/EGCR transition region
- ✧ The knee needs to be understood → data needed!
- ✧ Detailed anisotropy studies will be important (at all energies)
- ✧ Find GCR sources! → keep an open mind beyond isolated SNRs!
- ✧ Find EGCR sources! → take advantage of the GZK horizon effect: look deep into the cut-off, with larger exposure and full sky coverage

NB: important efforts of the JEM-EUSO Collaboration to develop space-based UHECR studies → stay tuned ;-)

- ✧ Develop multi-messenger astronomy!

Cosmogenic neutrinos + electromagnetic cascades →  
neutrino and gamma-ray backgrounds + individual sources