

QGSJET(-II/III): Problems & Solutions

CMS

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Getting started...

- High energy hadron scattering \Rightarrow **copious production of (mini-)jets** [*e.g.*, Gaisser & Halzen, 1985]
- \Rightarrow standard approach in MC generators: concentrate on the “hard” scattering, add something for the “soft”

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- QGSJET: **treat “soft” & “hard” within the same scheme** [*Kalmykov, SO & Pavlov, 1994, 1997*]
 - \Rightarrow treat both within the Gribov’s Reggeon Field Theory (RFT)
 - starting point: Quark-Gluon String model [*Kaidalov & Ter-Martyrosyan, 1982, 1984*]

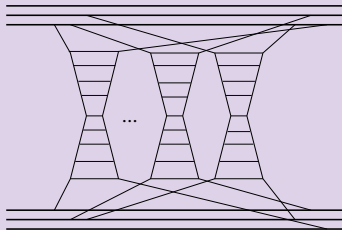
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Quark-Gluon String model: RFT-based treatment

High energy interactions \Rightarrow multiple scattering

- elementary interaction = parton cascade \equiv Pomeron exchange



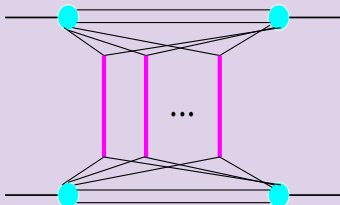
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- Pomeron amplitude:

$$f_{ab}^{\mathbb{P}}(s, b) = \frac{i\gamma_a\gamma_b s^{\alpha_{\mathbb{P}}(0)-1}}{\lambda_{ab}(s)} e^{-\frac{b^2}{4\lambda_{ab}(s)}}$$

$$\lambda_{ab}(s) = R_a^2 + R_b^2 + \alpha'_{\mathbb{P}}(0) \ln s$$



- Pomeron intercept $\alpha_{\mathbb{P}}(0) > 1 \Rightarrow$ energy-rise of multiple scattering
- Pomeron slope $\alpha'_{\mathbb{P}}(0) > 0 \Rightarrow$ energy-rise of the interaction radius (transverse diffusion)

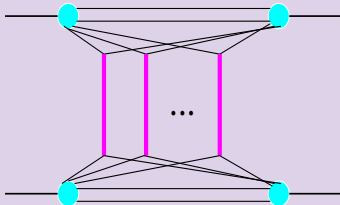
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AGK cutting rules \Rightarrow partial cross sections for various final states

- “cut” Pomerons \Rightarrow string formation & hadronization

Quark-Gluon String model: RFT-based treatment

- experimental data on σ_{hp}^{tot} , σ_{hp}^{el} , $\sigma_{hp}^{\text{difr}}$, B_{hp}^{el}
⇒ fixing Pomeron parameters
- experimental data on particle production
⇒ fixing hadronization parameters
- generalization to hA , AA – parameter free

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NB: original RFT approach - for soft (low p_t) physics

- high energy behavior governed by the Pomeron intercept $\alpha_{\mathbb{P}}(0)$

Robust pQCD prediction: rising contribution of high p_t partons

- small $\alpha_s(p_t^2)$ compensated by low- x rise of PDFs $f_I(x, p_t^2)$
 - generated by soft and collinear logs: $\ln \frac{x_n}{x_{n+1}}$, $\ln \frac{p_{t_{n+1}}^2}{p_{t_n}^2}$

QGSJET: (semi-)hard processes within the RFT framework

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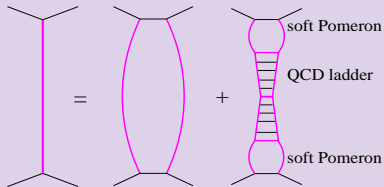
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Phenomenological 'semihard Pomeron' approach

[Kalmykov, SO & Pavlov, 1994, 1997; Drescher et al., 1999, 2001]

- Q_0^2 - **technical parameter** (cutoff between soft & hard physics)

- $|p_t^2| < Q_0^2 \Rightarrow$ soft Pomeron
- $|p_t^2| > Q_0^2 \Rightarrow$ DGLAP ladder



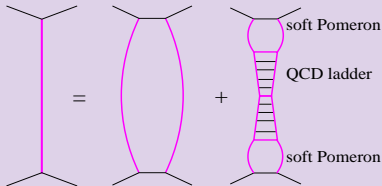
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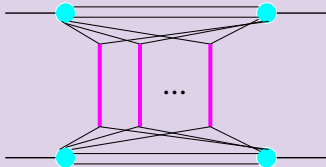
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RFT scheme based on a "general Pomeron" (soft + semihard)

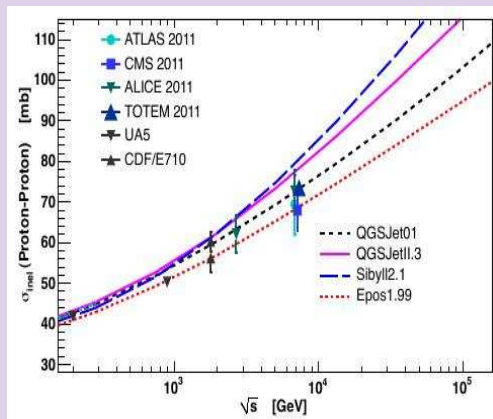
- "semihard Pomeron" combines features of soft & hard ones
 - steep energy-rise: $\propto s^{\Delta_{\text{hard}}}$
 - transverse expansion governed by the soft Pomeron slope



- \Rightarrow jet production extends to peripheral collisions

QGSJET: successful predictions for extensive air showers

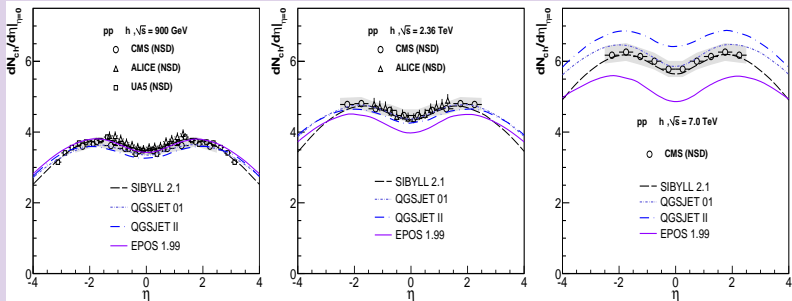
Success a posteriori explained by LHC data, e.g. on $\sigma_{pp}^{\text{tot/el}}$



[from R. Engel, talk at "ICRC-2013"]

QGSJET: successful predictions for extensive air showers

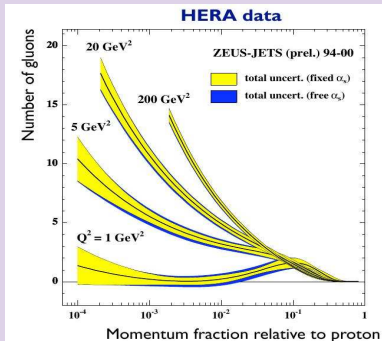
Central production equally well-described by QGSJET



[plots from d'Enterria et al., *Astrop. Phys.* 35 (2011) 98]

But: the model was based on flat (pre-HERA) PDFs

- steep low- x increase of gluon density \Rightarrow too fast energy rise of $\sigma_{pp}^{\text{tot}}(s)$, $N_{pp}^{\text{ch}}(s)$



Total cross section & jet production

- Inclusive cross section for production jets of $p_t > p_t^{\text{cut}}$:

$$\sigma_{pp}^{\text{jet}}(s, p_t^{\text{cut}}) = \sum_{I, J=q, \bar{q}, g} \int_{p_t > p_t^{\text{cut}}} dp_t^2 \int dx^+ dx^- f_{I/p}(x^+, M_F^2) \\ \times \frac{d\sigma_{IJ}^{2 \rightarrow 2}(x^+ x^- s, p_t^2, M_F^2)}{dp_t^2} f_{J/p}(x^-, M_F^2)$$

- Problem: σ_{pp}^{jet} rises quicker than σ_{pp}^{tot} as $s \rightarrow \infty$
 - $\sigma_{pp}^{\text{jet}}(s, Q_0) \propto \frac{1}{Q_0^2} s^{\Delta_{\text{eff}}}$, $\Delta_{\text{eff}} \simeq 0.3$
 - $\sigma_{pp}^{\text{tot}}(s) \propto \ln^2 s$

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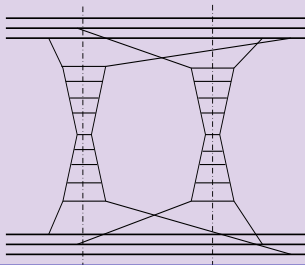
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 - $\sigma_{pp}^{\text{tot}}(s) \propto \ln^2 s$
- \Rightarrow multiple jet production required
 - = multiparton interactions (MPIs)

MPIs & generalized parton distributions (GPDs)

- Usual PDFs $f_I(x, Q^2)$ insufficient to describe MPIs
 - multiparton GPDs $F_{I_1 \dots I_n}^{(n)}(x_1, \dots, x_n, \vec{b}_1, \dots, \vec{b}_n, Q_1^2, \dots, Q_n^2)$ required

E.g., $F^{(2)}$ for double parton scattering (production of 2 dijets)

$$\sigma_{pp}^{4\text{jet(DPS)}}(s, p_t^{\text{cut}}) = \frac{1}{2} \int dx_1^+ dx_2^+ dx_1^- dx_2^- \int_{p_{t_1}, p_{t_2} > p_t^{\text{cut}}} dp_{t_1}^2 dp_{t_2}^2 \sum_{I_1, I_2, J_1, J_2} \\ \times \frac{d\sigma_{I_1 J_1}^{2 \rightarrow 2}}{dp_{t_1}^2} \frac{d\sigma_{I_2 J_2}^{2 \rightarrow 2}}{dp_{t_2}^2} \int d^2 \Delta b F_{I_1 I_2}^{(2)}(x_1^+, x_2^+, M_{F_1}^2, M_{F_2}^2, \Delta b) F_{J_1 J_2}^{(2)}(x_1^-, x_2^-, M_{F_1}^2, M_{F_2}^2, \Delta b)$$



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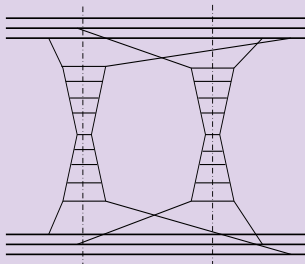
E.g., $F^{(2)}$ for double parton scattering (production of 2 dijets)

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- standard simplification:
neglect multiparton correlations

$$\Rightarrow F_{I_1 \dots I_n}^{(n)}(x_1, \dots, x_n, \vec{b}_1, \dots, \vec{b}_n, \dots) = \prod_{i=1}^n G_{I_i}(x_i, \vec{b}_i, Q_i^2)$$

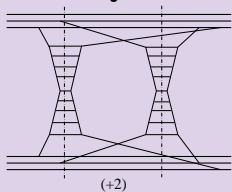
$$\Rightarrow \sigma_{pp}^{\text{4jet(DPS)}}(s, p_t^{\text{cut}}) = \frac{1}{2} \int d^2 b [G_I \otimes \sigma_{IJ}^{2 \rightarrow 2} \otimes G_J]^2$$



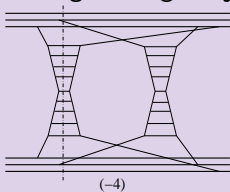
Total cross section & multiple scattering

Relation to σ_{pp}^{tot} and $\sigma_{pp}^{\text{inel}}$ comes from the AGK cutting rules

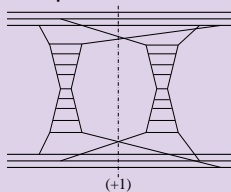
2 dijets



screening of single dijet



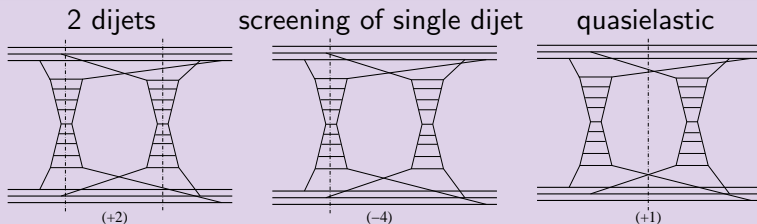
quasielastic



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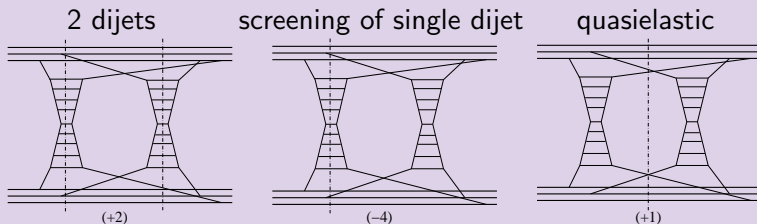
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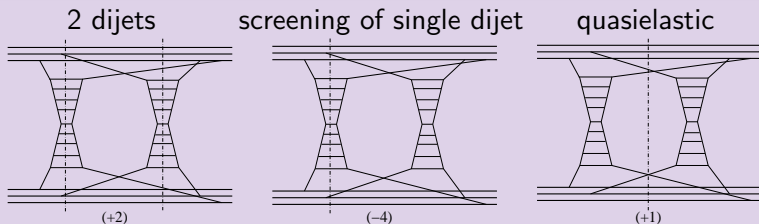
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- $\Rightarrow \Delta^{(2)} \sigma_{pp}^{\text{tot}} = -\frac{1}{2} \sigma_{pp}^{4\text{jet(DPS)}}$ (similarly for $n > 2$ dijets)
- **this leads to the usual 'minijet' ansatz:**
(for brevity, not discussing soft processes explicitly)

$$\sigma_{pp}^{\text{tot}}(s) = 2 \int d^2b \left[1 - \exp(-\chi_{pp}^{\text{jet}}(s, b, p_t^{\text{cut}})) \right]$$

$$(\chi_{pp}^{\text{jet}}(s, b, p_t^{\text{cut}})) = \frac{1}{2} \sum_{I,J} G_I \otimes \sigma_{IJ}^{2 \rightarrow 2} \otimes G_J$$

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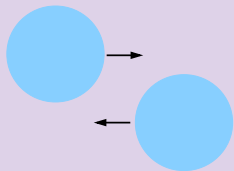
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NB: inclusive jet cross section – unmodified by such MPs

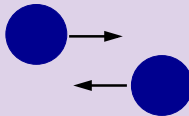
- e.g., summary contribution of the 3 processes:
 $2 * (+2) + 1 * (-4) + 0 * (+1) = 0$
- \Rightarrow collinear factorization holds: $\frac{d\sigma_{pp}^{\text{jet}}}{dp_i^2} = \sum_{I,J} f_I \otimes \frac{d\sigma_{IJ}^{2 \rightarrow 2}}{dp_i^2} \otimes f_J$

Main message: to reduce σ_{pp}^{tot} , enhance MPIs

Simpliest way to regulate the rise of σ_{pp}^{tot} : denser parton 'packing'



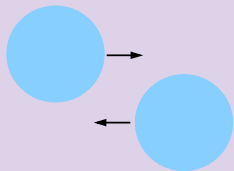
- larger proton size
⇒ larger σ_{pp}^{tot}
- smaller parton density
⇒ smaller MPI rate



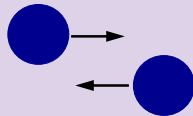
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- Unfortunately, not a solution:
proton size is constrained by data on $B_{pp}^{\text{el}}(s) \propto \langle b^2(s) \rangle$
- more generally, $d\sigma_{pp}^{\text{el}}/dt$ is related to the transverse profile of the proton (thanks to data of TOTEM & ATLAS ALFA)

Next possibility: color fluctuations in the proton

$$p = \text{large light blue circle} + \text{medium dark blue circle} + \text{small dark blue circle} + \dots$$

- Generally, proton is a superposition of different parton Fock states (of different size & parton density): $|p\rangle = \sum_i \sqrt{C_i} |i\rangle$

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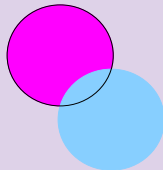
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- \Rightarrow larger dispersion between the Fock states would reduce σ_{pp}^{tot} for the same σ_{pp}^{jet}
- but: **would yield a high cross section for low mass diffraction**
 - NB: $\sigma_{pp}^{\text{SD(LM)}}$ – constrained by TOTEM & LHCf data

Nearly last possibility: introduce parton 'clumps'

What is wrong with the uncorrelated parton picture?

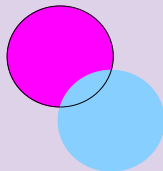
- double (multiple) hard scattering results from independent cascades
 - \Rightarrow **mostly in central collisions**



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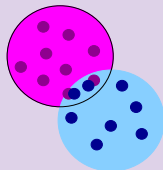
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How multiparton correlations help?

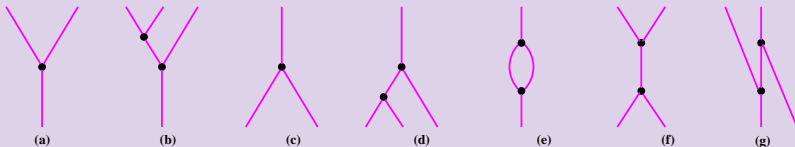
- **one has to create parton 'clumps' to enhance peripheral multiple scattering (without changing the transverse profile)**
 - can be done via 'soft' & 'hard' parton splitting mechanisms



QGSJET-II: interactions between parton cascades

⇒ no factorization for (n) GPDs [50, 2006, 2010, 2011]

Nonlinear processes: Pomeron-Pomeron interactions (scattering of intermediate partons off the proj./target hadrons & off each other)



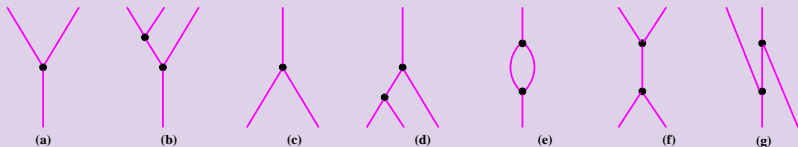
thick lines = Pomerons = 'elementary' parton cascades

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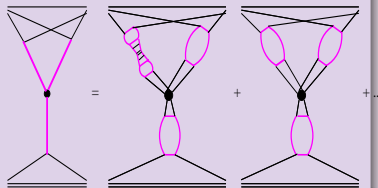


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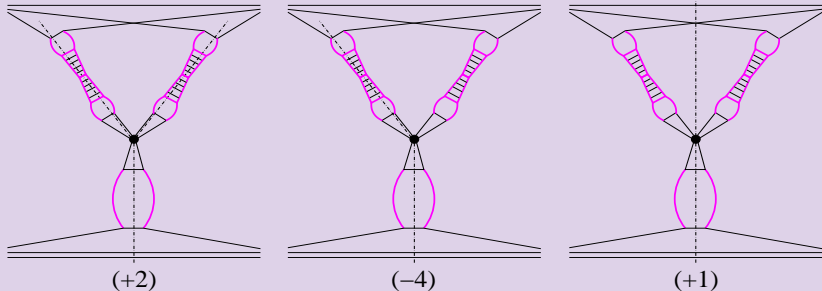
Pomeron-Pomeron interaction: a closer look

- basic assumption: **multi- \mathbb{P} vertices** – dominated by soft ($|q^2| < Q_0^2$) parton processes
- generates parton 'clumping' [SO & Bleicher, 2016]



Parton 'clumping' due to 'soft parton splitting'

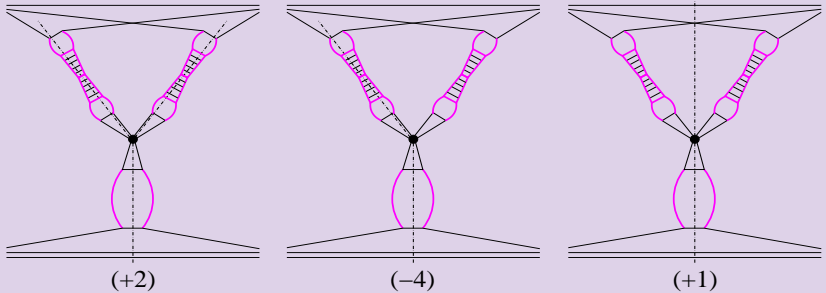
E.g., double dijet production from soft Pomeron splitting



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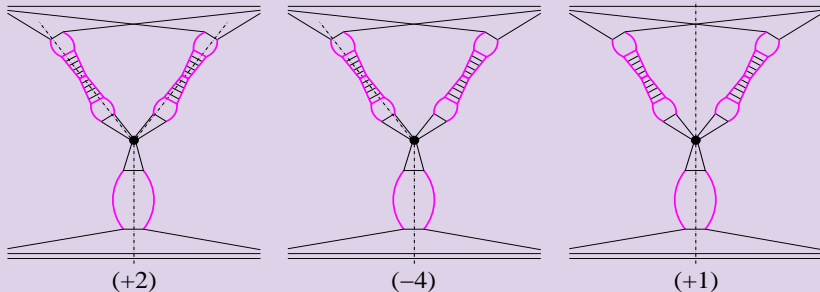
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- \Rightarrow **enhanced MPI rate in peripheral collisions**

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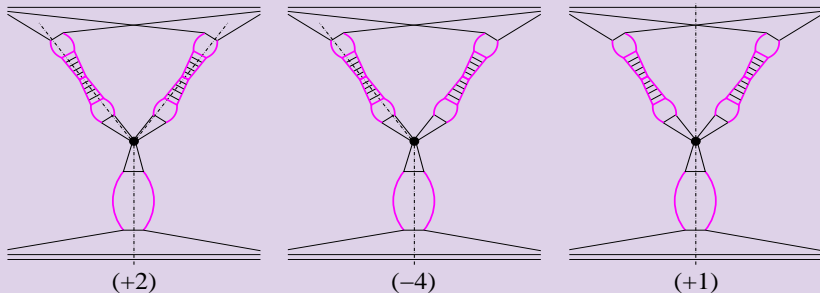
E.g., double dijet production from soft Pomeron splitting



- small slope for soft Pomeron \Rightarrow two hard processes are closeby in b -space
 - \equiv having a parton 'clump' in the target proton
- \Rightarrow enhanced MPI rate in peripheral collisions
- adding two other contributions \Rightarrow **negative correction to σ_{pp}^{tot}**

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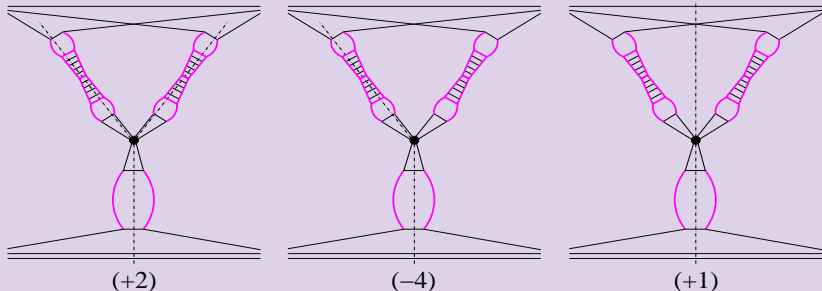
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- NB: **no impact on inclusive jet cross section**
[$2 * (+2) + 1 * (-4) + 0 * (+1) = 0$]

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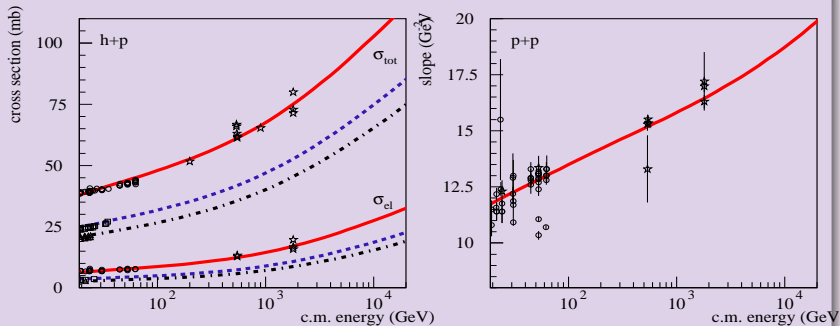


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Generic property: thanks to AGK cancellations, collinear factorization holds for inclusive jet cross section

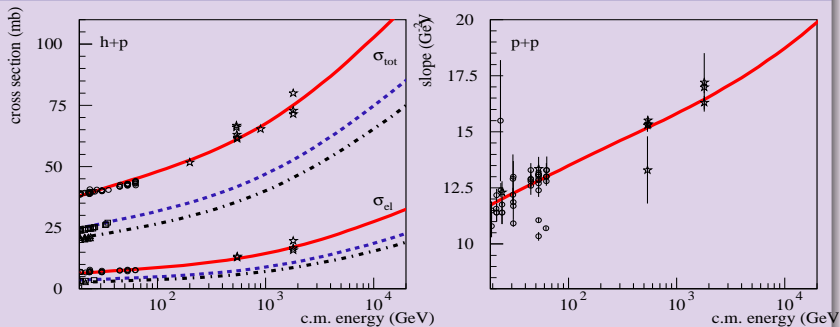
$$\frac{d\sigma_{pp}^{\text{jet}}}{dp_t^2} = \sum_{I,J} f_I \otimes \frac{d\sigma_{IJ}^{2 \rightarrow 2}}{dp_t^2} \otimes f_J$$

E.g., \sqrt{s} -dependence of $\sigma_{pp/\pi p/Kp}^{\text{tot/el}}$ for realistic transverse profiles

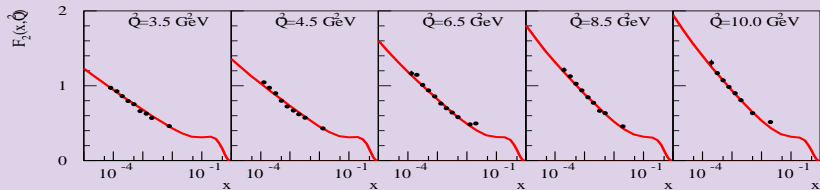


QGSJET-II-04: self-consistency seemingly reached [SO, 2011]

E.g., \sqrt{s} -dependence of $\sigma_{pp/\pi p/Kp}^{\text{tot/el}}$ for realistic transverse profiles



And for realistic PDFs



General major problem with Q_0 -cutoff dependence

Applies to any model which respects collinear factorization

- $\sigma_{pp}^{\text{jet}}(s, Q_0) \propto \frac{1}{Q_0^2} s^{\Delta_{\text{eff}}}, \Delta_{\text{eff}} \simeq 0.3$
 - $\Rightarrow dN_{\text{ch}}/d\eta|_{\eta=0} \propto 1/Q_0^2 \times s^{\Delta_{\text{eff}}}, s \rightarrow \infty$

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 - in QGSJET-II-04, a rather large value (3 GeV²) is used
 - with the factorization scale $M_{\text{F}}^2 = p_t^2/4$, yields $p_t^{\text{cut}} \simeq 3.4$ GeV

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Wanted: a perturbative mechanism to suppress low p_t jet production, without a strong impact on PDFs

Dynamical higher twist corrections as a potential solution?

Power corrections seem to fit in the demand

- can (in principle and to some extent) be **treated perturbatively**
- come into play at relatively small p_t (suppressed as $1/(p_t^2)^n$)
- appeared to be significant for nuclear targets
 - hence, may be important for pp as well

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- **basic theoretical approach dates 40 years back** [*Shuryak & Vainstein, 1981; Jaffe & Soldate, 1981; Ellis, Furmański & Petronzio, 1982*]
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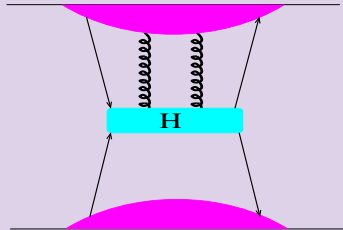
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- \Rightarrow **brave (wild?) assumptions may be needed**

Dynamical higher twist corrections: brave assumptions

Basic assumptions (qq' -scattering as an example)

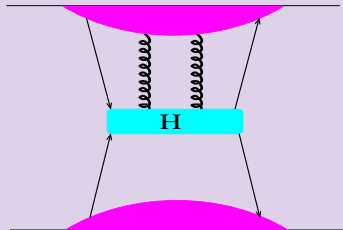
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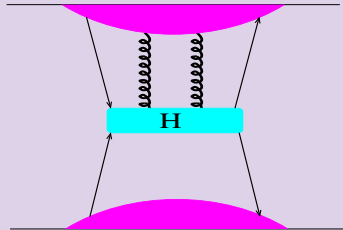
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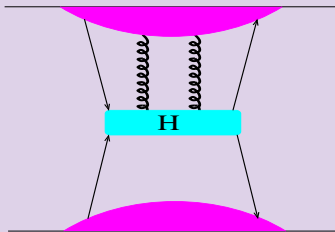
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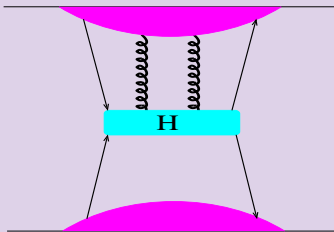
Some justifications

- **dominant contributions in the small x limit usually from gluons**
- soft gluon contributions proved important for p_t -broadening and suppression of SFs & jet spectra on nuclear targets
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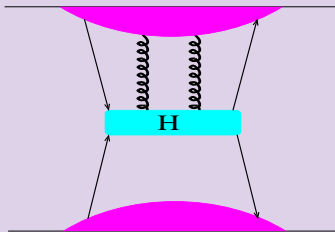
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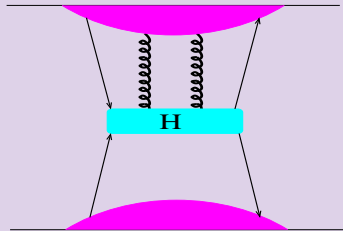
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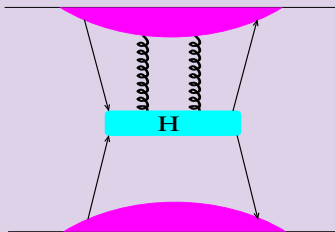
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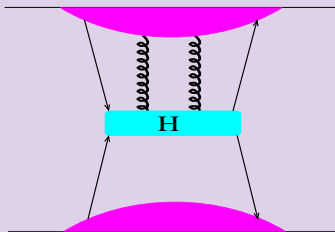
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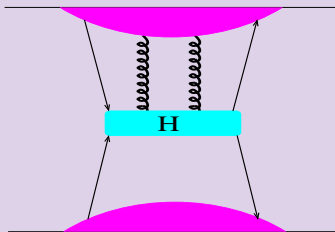
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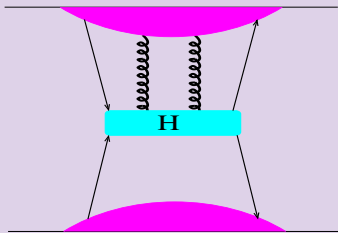
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- account for multiparton correlations due to the “soft splitting” mechanism
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- i.e., **incorporate the mechanism in the Pomeron framework**
 - NB: AGK rules not applicable for HT contributions

Dynamical higher twist corrections: heuristic reasoning

Consider as an example corrections to qq' scattering in LC gauge

Twist 4 contribution to the cross section:

$$\begin{aligned} \Delta\sigma_{\text{HT}}(s) &= \frac{1}{2s} \int \frac{d^4k_q}{(2\pi)^4} \frac{d^4k_{q'}}{(2\pi)^4} \frac{d^4k_{g_1}}{(2\pi)^4} \frac{d^4k_{g_2}}{(2\pi)^4} H_{ijkl}^{\alpha\beta}(k_q, k_{q'}, k_{g_1}, k_{g_2}) \\ &\times \left[\int d^4z_q d^4z_{g_1} d^4z_{g_2} e^{ik_q z_q + ik_{g_1} z_{g_1} - ik_{g_2} z_{g_2}} \langle p | \bar{\Psi}_j(0) A_\alpha(z_{g_2}) A_\beta(z_{g_1}) \Psi_i(z_q) | p \rangle \right] \\ &\times \left[\int d^4z_{q'} e^{ik_{q'} z_{q'}} \langle p | \bar{\Psi}_l(0) \Psi_k(z_{q'}) | p \rangle \right] \end{aligned}$$



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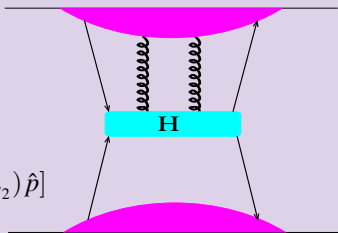
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- **doing collinear factorization**, one obtains [Ellis et al., 1982; Qiu, 1990]

$$\begin{aligned} \Delta\sigma_{\text{HT}}(s) &= \int dx_{q'} dx_q dx_{g_1} dx_{g_2} \\ &\times q(x_{q'}) T_{qg}(x_q, x_{g_1}, x_{g_2}) \\ &\times \frac{1}{2s} d_{\alpha\beta}^\perp \text{Tr}[\hat{p}' H^{\alpha\beta}(x_q, x_{q'}, x_{g_1}, x_{g_2}) \hat{p}] \end{aligned}$$

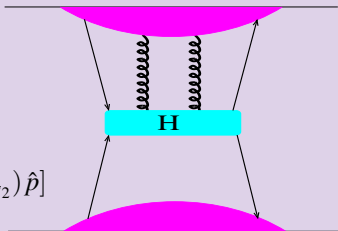


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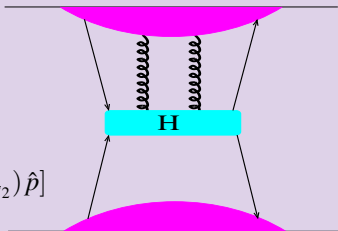
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- now: **assume the integrals to be dominated by $x_{g_1}, x_{g_2} \simeq 0$**
 - e.g., converting $1/x_{g_i}$ into poles & doing residues
- [Guo & Qiu, 2001]

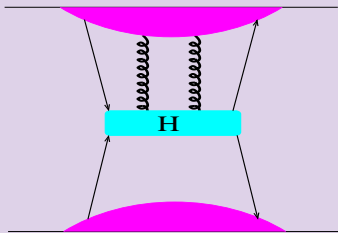
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Most radical assumptions

- observe that

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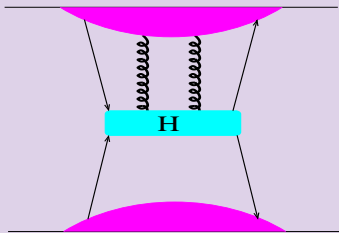
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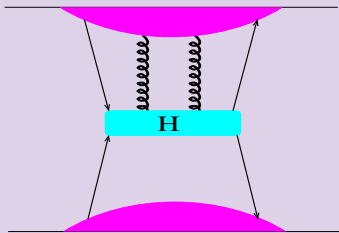
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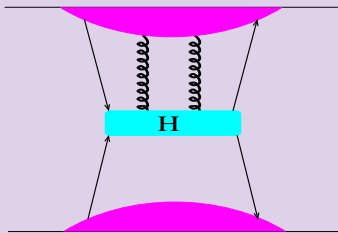
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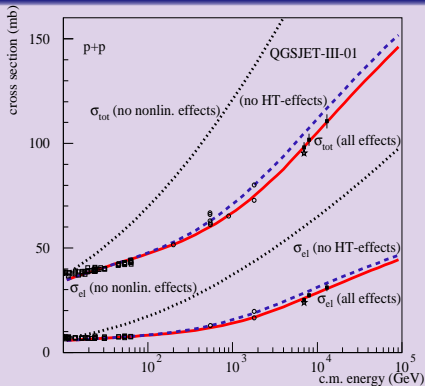
- Currently, implementation of the HT-effects is the main difference to QGSJET-II-04
 - now **twice smaller cutoff for hard processes**: $Q_0^2 = 1.5 \text{ GeV}^2$
($\Rightarrow p_t^{\text{cut}} \simeq 2.4 \text{ GeV}$)
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- what about using even a smaller cutoff?
 - **generally possible but would require higher order corrections**
(multiple exchanges of soft gluons)
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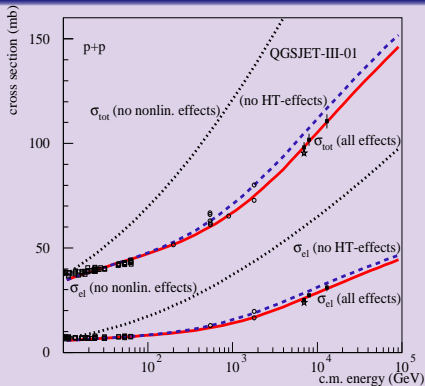
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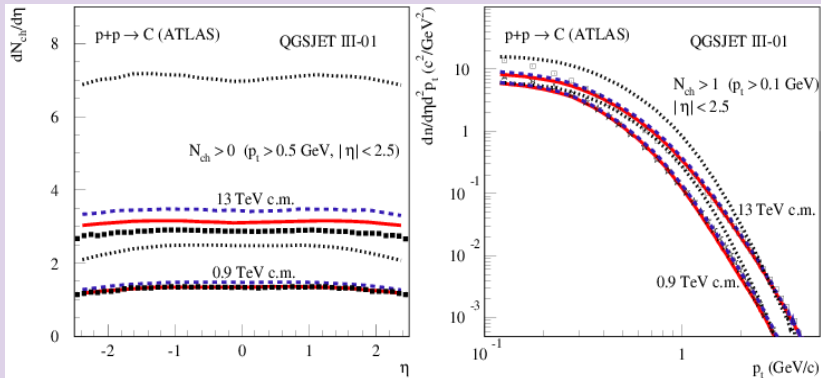
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QGSJET-III-01: preliminary results

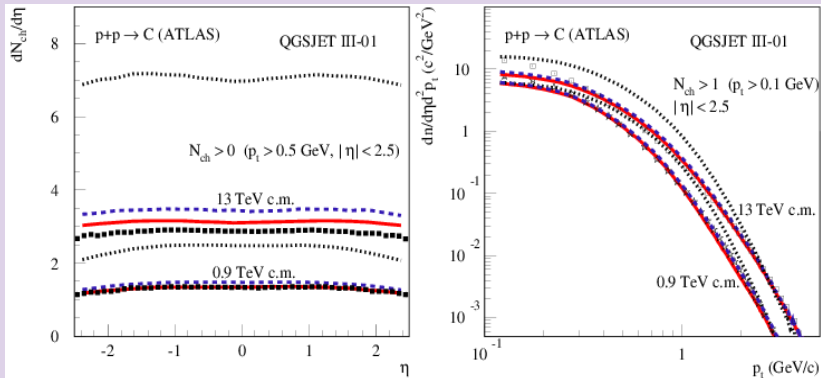
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