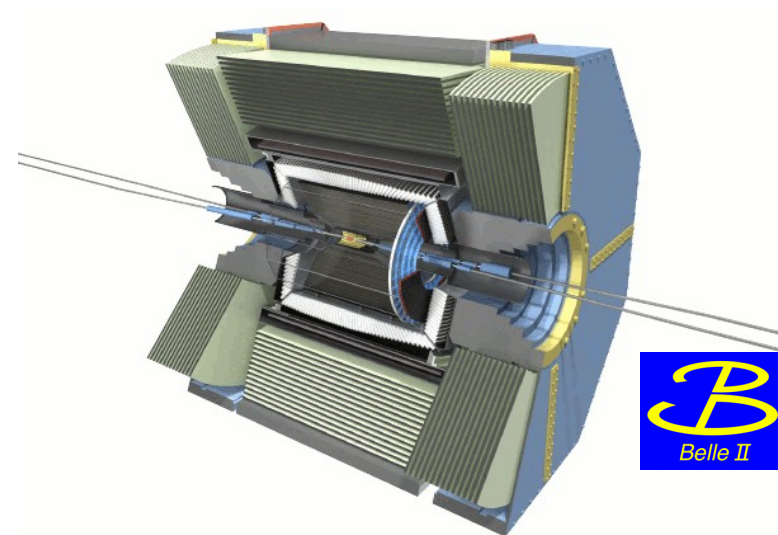
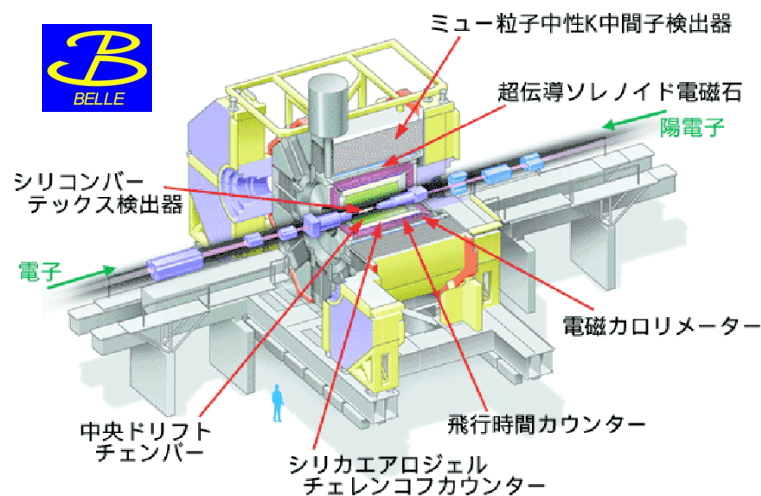
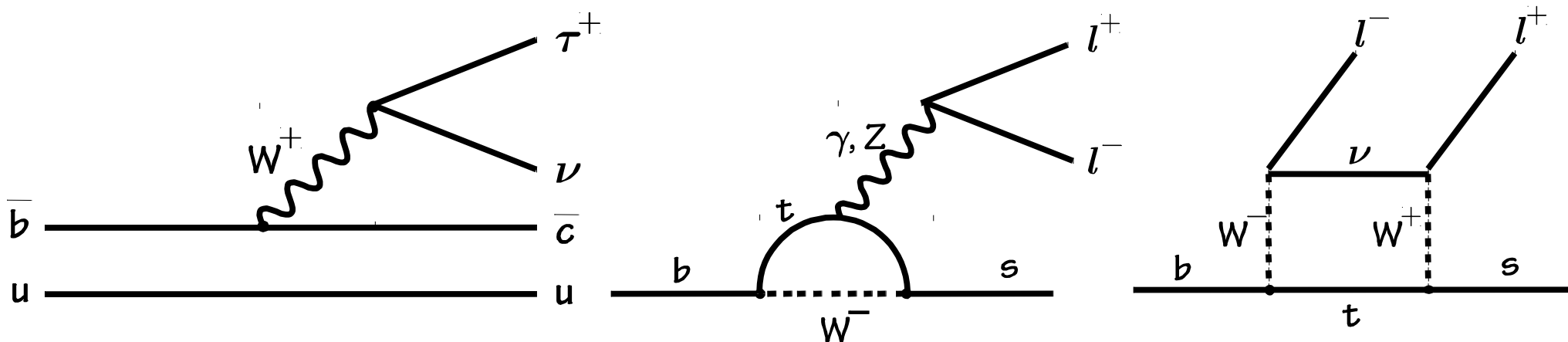


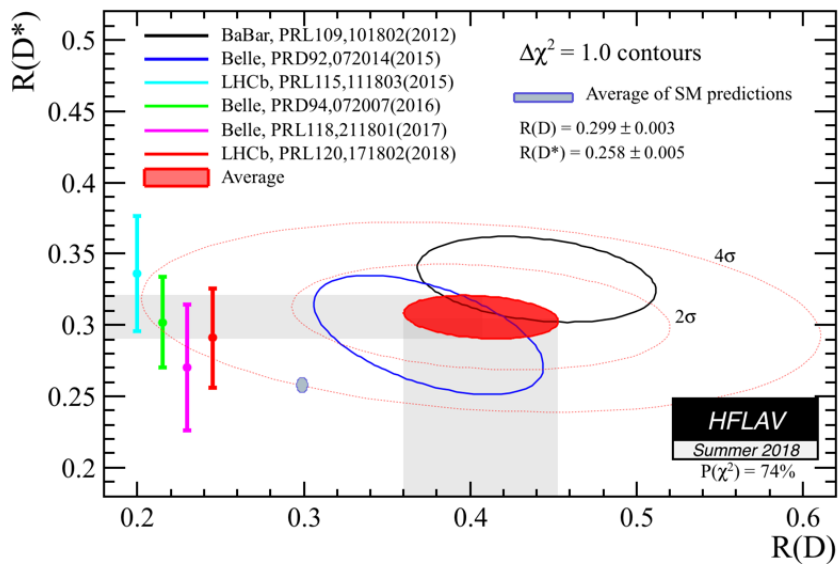
# Belle II perspectives on lepton flavour universality, lepton flavour violation

K. Trabelsi

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**Synergy between LHC and SuperKEB in the quest of New Physics**  
**XIII Meeting on B physics, Marseille, October 2<sup>nd</sup> 2018**



## **b → c anomalies**

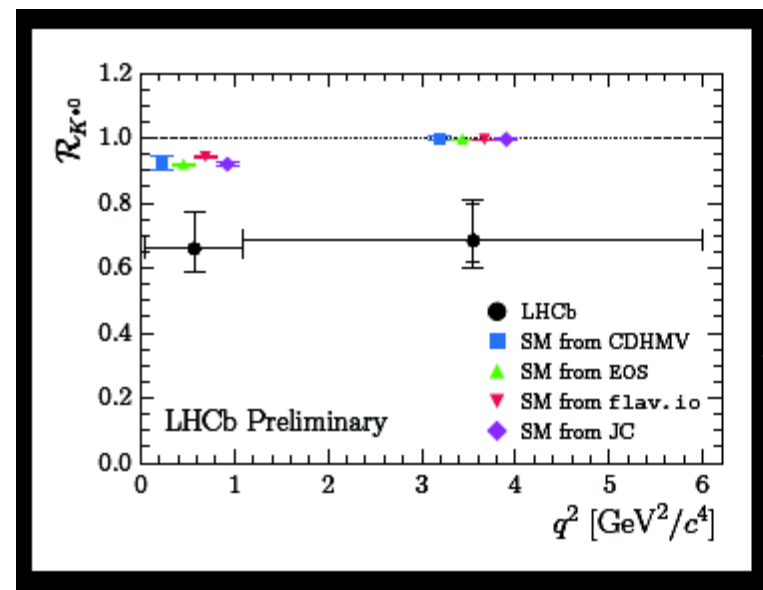
Found by several experiments  
(LHCb, BaBar and Belle)

Two observables:  $R(D)$  and  $R(D^*)$

Charged current

Tree-level in the SM

The New Physics must be light



## **b → s anomalies**

Found by LHCb

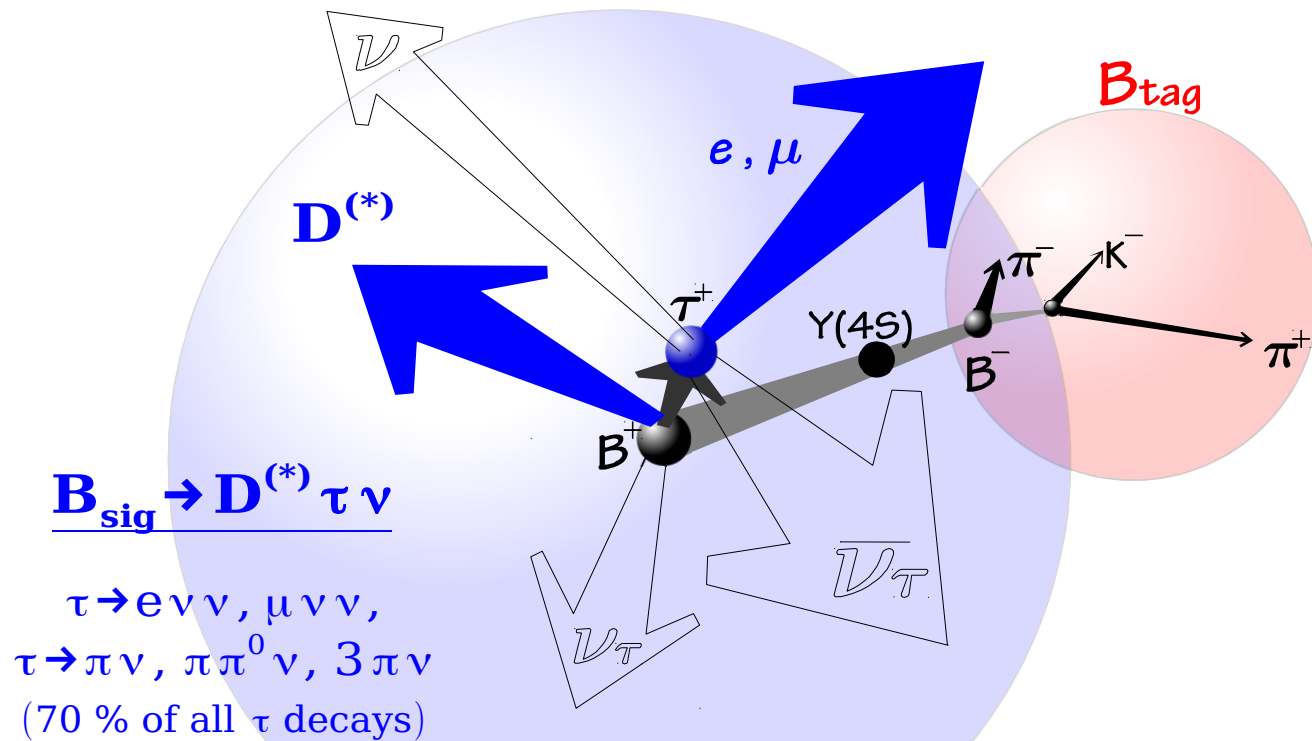
Many observables: global pattern

Neutral current

1-loop (and CKM-suppressed)  
in the SM

The New Physics can be heavy

# Event reconstruction in $B \rightarrow D^{(*)} \tau \nu$ at B factories



$B_{\text{tag}}$

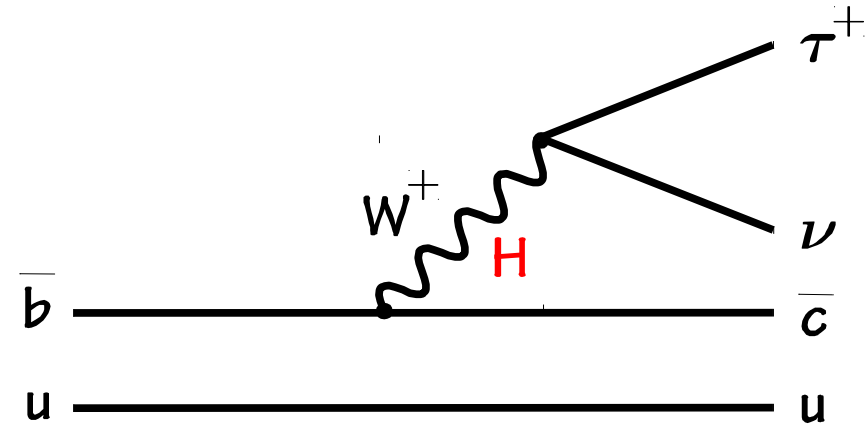
**hadronic tag**  
 $B \rightarrow D^{(*)} \pi, D^{(*)} \rho \dots$   
 $\epsilon \sim 0.2\%$

**semileptonic tag**  
 $B \rightarrow D^{(*)} l \nu X$

Require no particle and no energy left after removing  $B_{\text{tag}}$  and visible particles of  $B_{\text{sig}}$

**main signal-background discriminator**

$$m_{\text{miss}}^2 = (\mathbf{p}_{ee} - \mathbf{p}_{\text{tag}} - \mathbf{p}_{D^{(*)}} - \mathbf{p}_1)^2$$



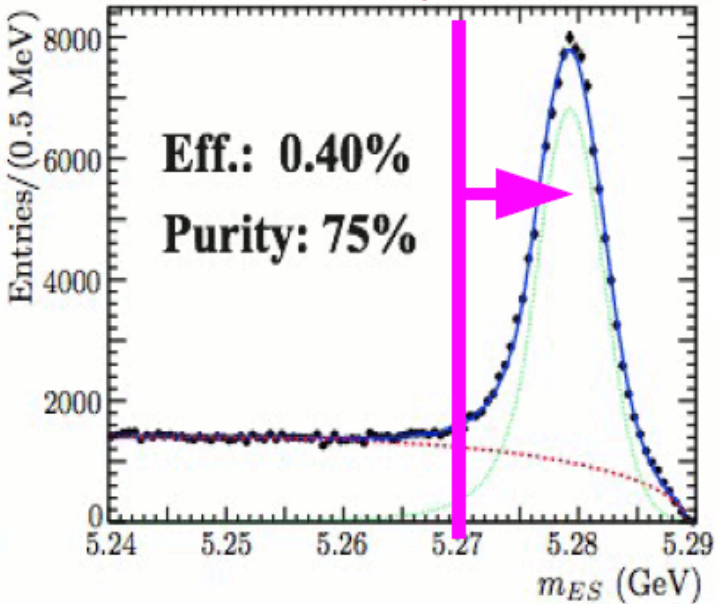
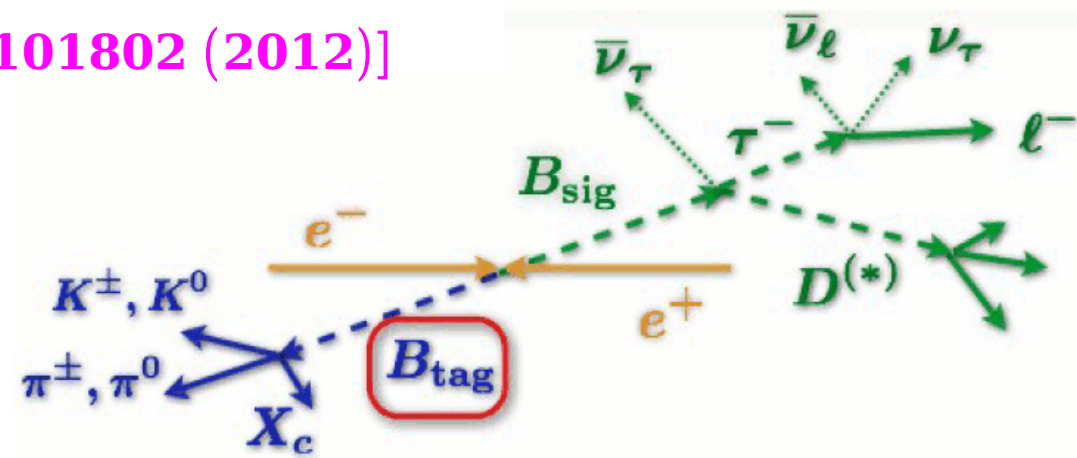
2HDM (type II):  $B(B \rightarrow D \tau^+ \nu) = G_F^2 \tau_B |V_{cb}|^2 f(F_V, F_S, \frac{m_B^2}{m_{H^+}^2} \tan^2 \beta)$

uncertainties from form factors  $F_V$  and  $F_S$  can be studied with  $B \rightarrow D l \nu$  (more form factors in  $B \rightarrow D^* \tau \nu$ )

# $B \rightarrow D^{(*)} \tau \nu$ [BaBar, PRL 109, 101802 (2012)]

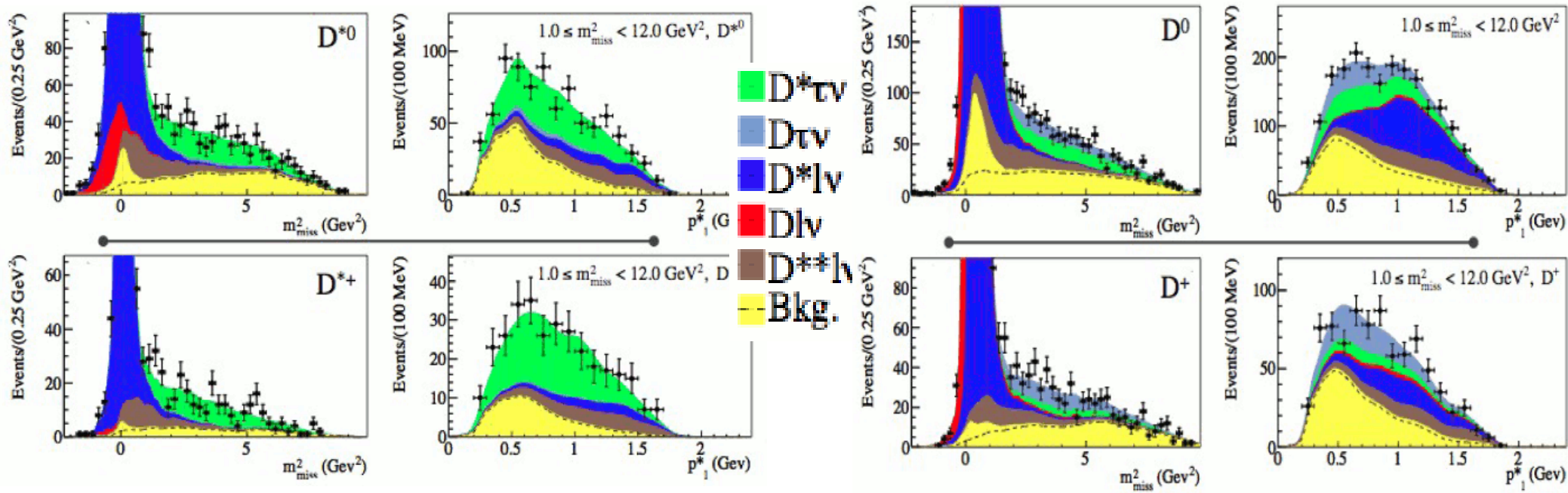


1,768 decay chains



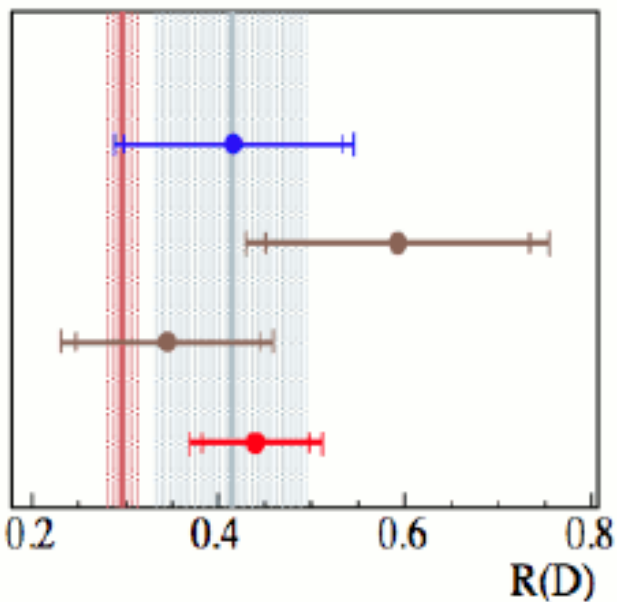
- 2D unbinned fit to  $m_{\text{miss}}^2$  and  $p_1^*$
- fitted samples
  - 4  $D^{(*)} l$  samples ( $D^0 l$ ,  $D^{*0} l$ ,  $D^+ l$  and  $D^{*+} l$ )
  - 4  $D^{(*)} \pi^0 l$  control samples ( $D^{**} (l/\tau) \nu$ )

**$\Rightarrow D \tau \nu$  and  $D^* \tau \nu$  clearly observed**

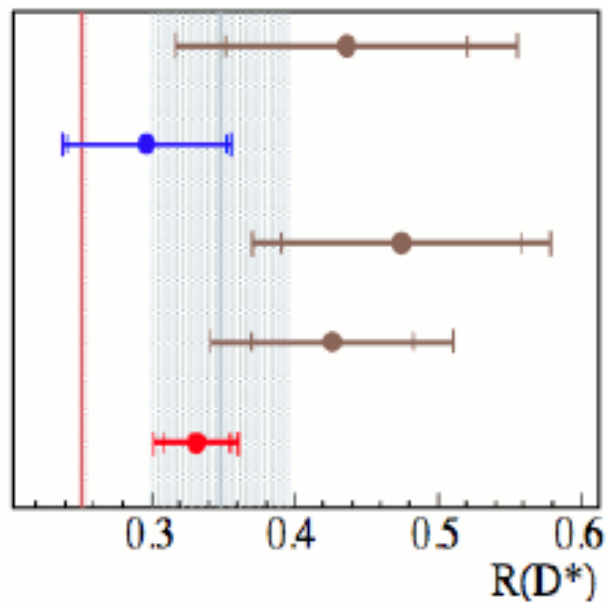


# $B \rightarrow D^{(*)} \tau \nu$ [BaBar, PRL 109, 101802 (2012)]

SM Aver.



SM Aver.



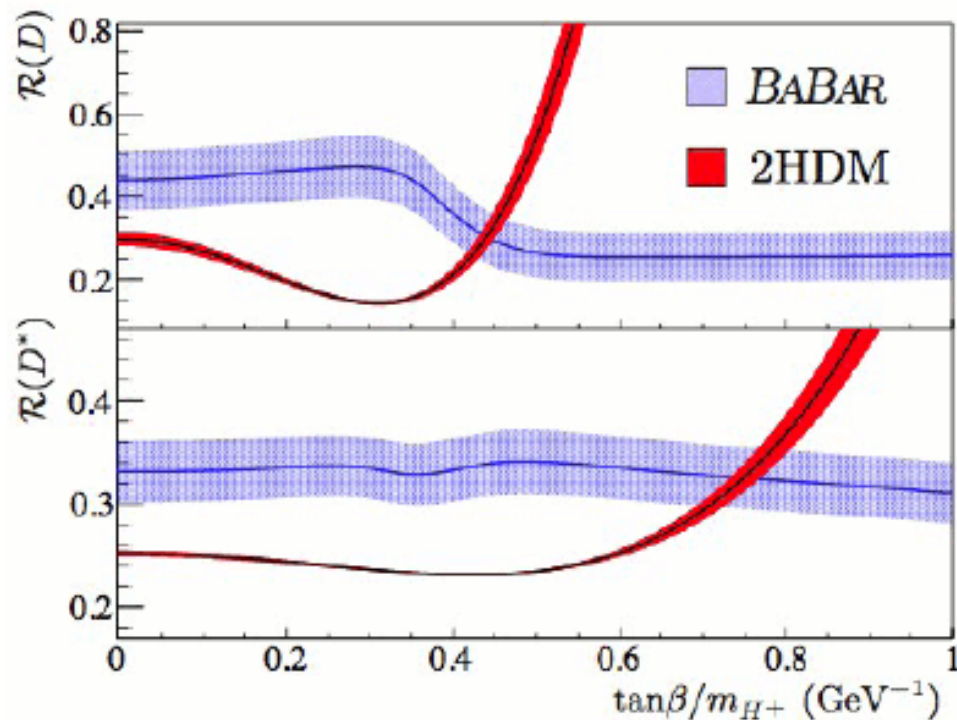
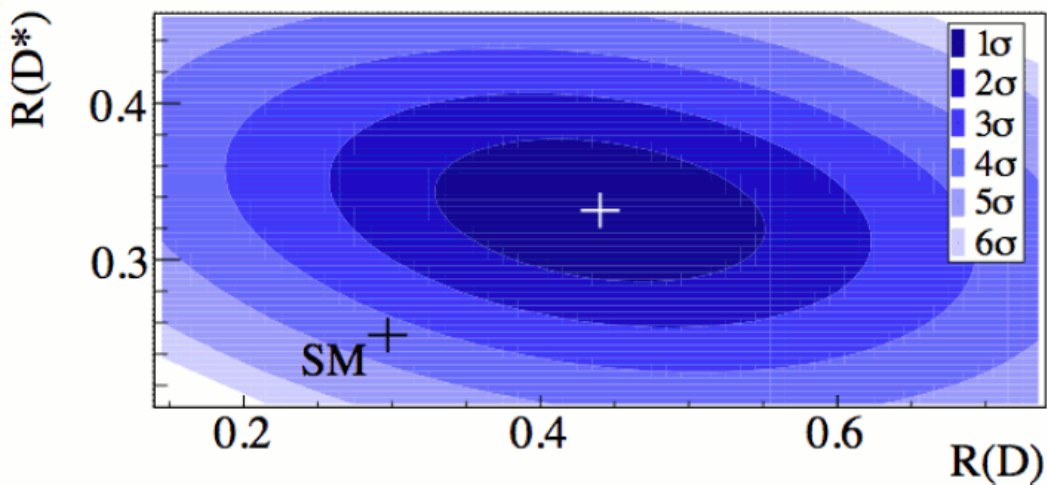
535M  $B\bar{B}$

232M  $B\bar{B}$

657M  $B\bar{B}$

657M  $B\bar{B}$

**471M  $B\bar{B}$**



- combined  $3.4 \sigma$  away from SM
- doesn't fit 2HDM Type II

# $B \rightarrow D^{(*)} \tau \nu$ at Belle [Belle, arXiv:1507.03233]

(with hadronic tagging)



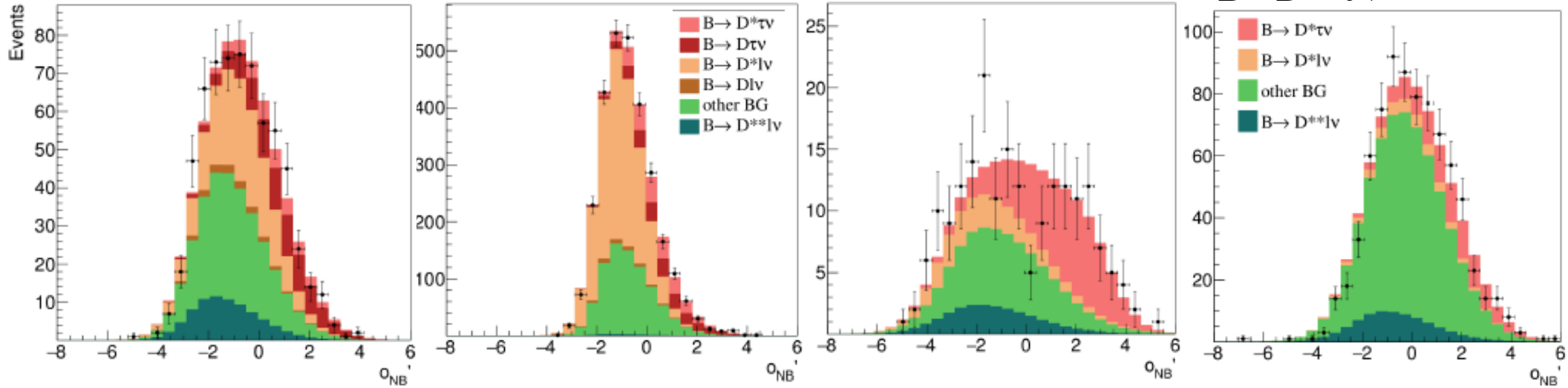
projections for large  $M_{\text{miss}}^2$  region,  $N(D \tau \nu) \sim 300$ ,  $N(D^* \tau \nu) \sim 500$

$B \rightarrow D^+ \tau \nu$

$B \rightarrow D^0 \tau \nu$

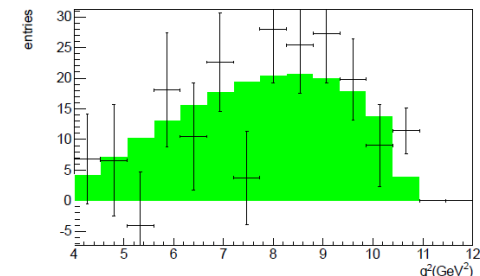
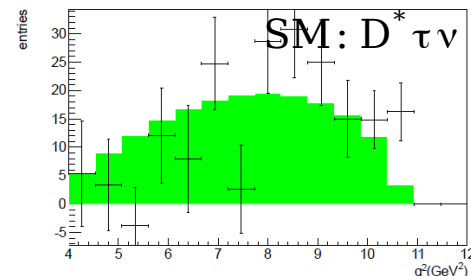
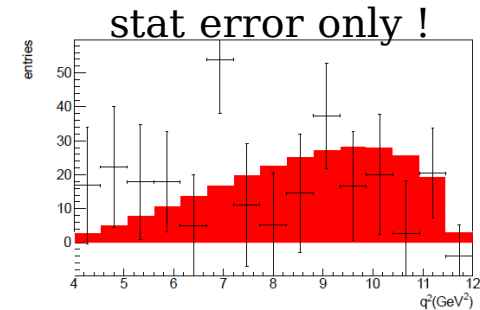
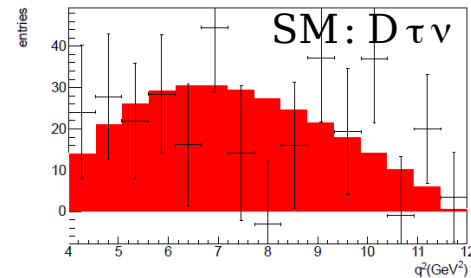
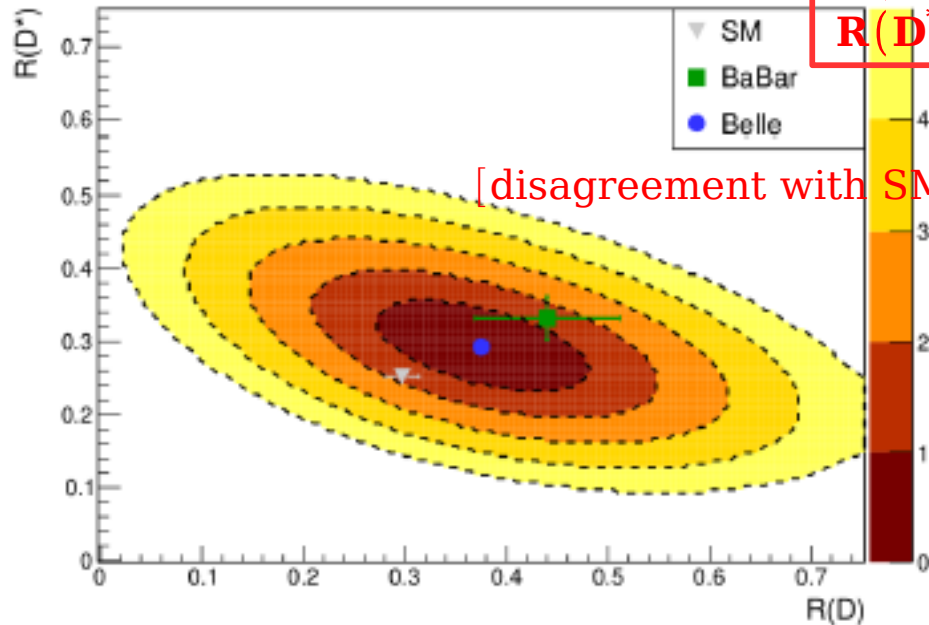
$B \rightarrow D^{*+} \tau \nu$

$B \rightarrow D^{*0} \tau \nu$



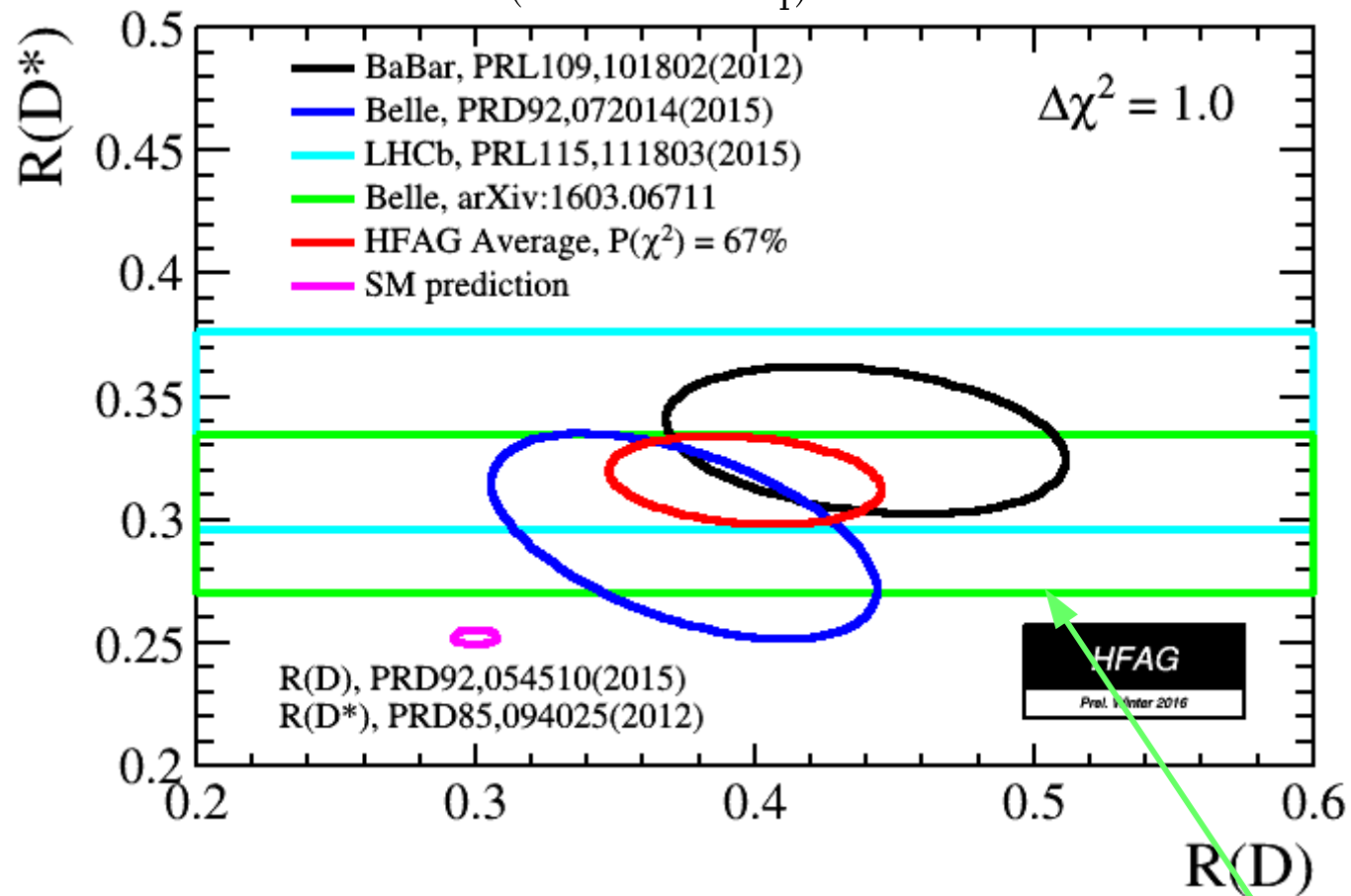
$$R(D) = 0.375 \pm 0.064 \pm 0.026$$

$$R(D^*) = 0.293 \pm 0.038 \pm 0.015$$



# Summary for $B \rightarrow D^{(*)} \tau \nu$ in 2016

$$\Rightarrow R(D^{(*)}) = \frac{BF(B \rightarrow D^{(*)} \tau \nu_\tau)}{BF(B \rightarrow D^{(*)} l \nu_l)}$$



BaBar

$$R(D) = 0.440 \pm 0.058 \pm 0.042$$

$$R(D^*) = 0.332 \pm 0.024 \pm 0.018$$

Belle

$$R(D) = 0.375 \pm 0.064 \pm 0.026$$

$$R(D^*) = 0.293 \pm 0.038 \pm 0.015$$

LHCb

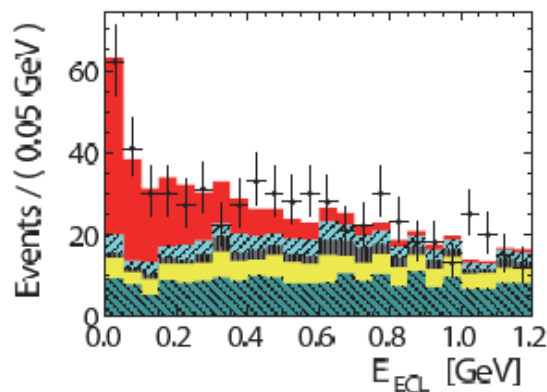
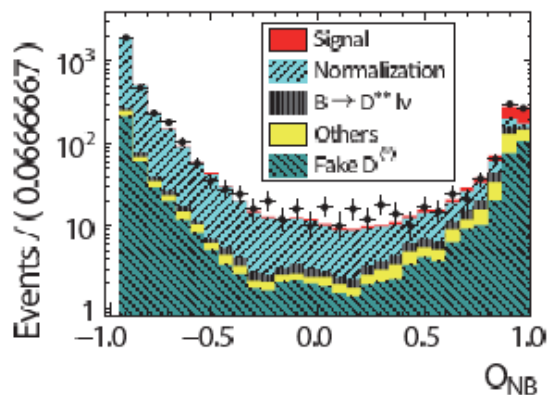
$$R(D^*) = 0.336 \pm 0.027 \pm 0.030$$

**average**

$$R(D) = 0.397 \pm 0.040 \pm 0.028$$

$$R(D^*) = 0.316 \pm 0.016 \pm 0.010$$

difference with SM predictions is at **4.0 $\sigma$**  level



[Belle, arXiv:1607.07923]

semileptonic tagging ( $B \rightarrow D^{*+} l^- \nu$ )

sig:  $B \rightarrow D^{*+} \tau^- \nu, \tau \rightarrow l \nu_l \nu_\tau$

$$R(D^*) = 0.302 \pm 0.030 \pm 0.011$$

# $B \rightarrow D^* \tau \nu$ at Belle

[Belle, arXiv:1612.00529]

$\tau$  polarization result using:

$D^{(*)}$  leptonic with hadronic tagging, arXiv:1507.03233  
 $D^*$  with semileptonic tagging, arXiv:1607.07923

- hadronic decays of  $\tau$ :  $\tau^- \rightarrow \pi^- \nu_\tau, \rho^- \nu_\tau$
- hadronic tagging

- $\tau^- \rightarrow \pi^- \nu_\tau, \rho^- \nu_\tau$  are good polarimeter for  $\tau$  polarization

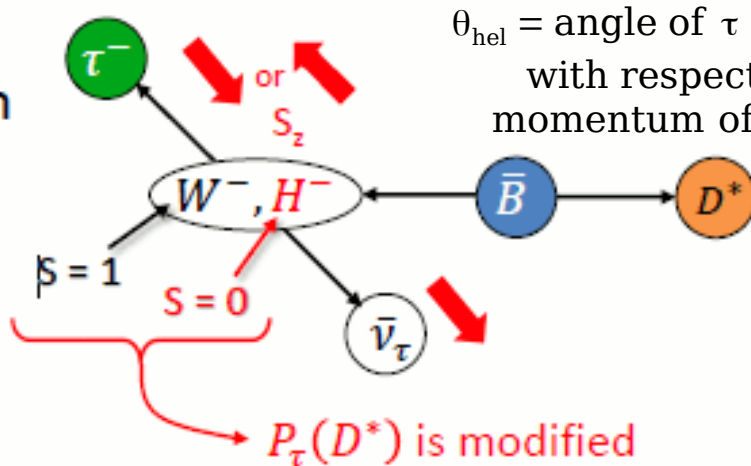
$$P_\tau(D^*) = \frac{\Gamma^+ - \Gamma^-}{\Gamma^+ + \Gamma^-}$$

$\Gamma^{+(-)}$  for right-(left-)handed  $\tau$

$$P_\tau(D^*)_{SM} = -0.497 \pm 0.013$$

M. Tanaka and R. Watanabe,  
 Phys. Rev. D 87, 034028 (2013)

$\tau$  polarization is a variable sensitive to NP

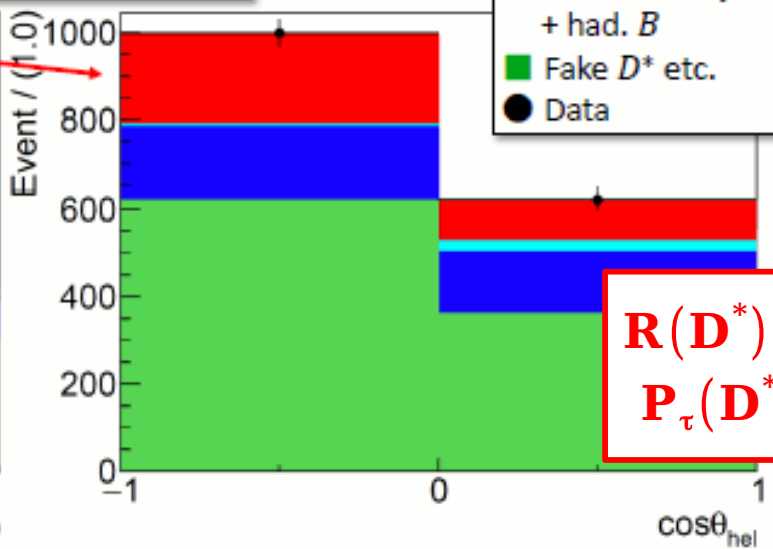
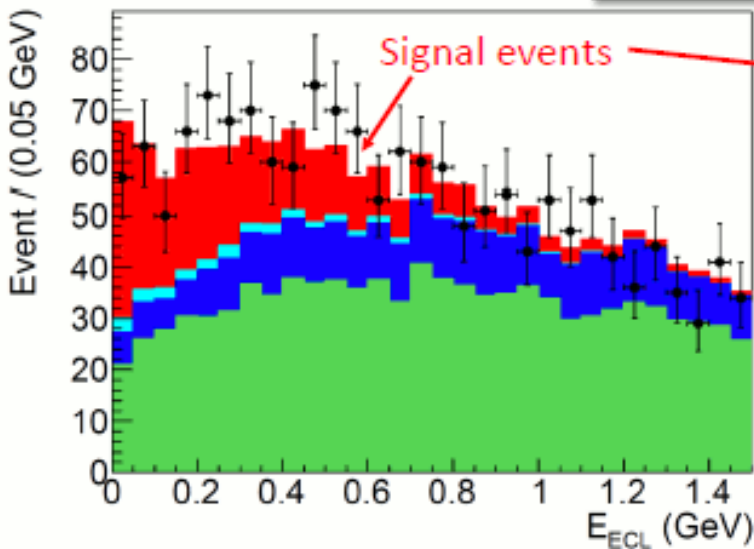


$P_\tau(D^*)$  is modified

$$\frac{1}{\Gamma(D^*)} \frac{d\Gamma(D^*)}{d\cos\theta_{hel}} = \frac{1}{2} [1 + \alpha P_\tau(D^*) \cos\theta_{hel}]$$

$\alpha = 1$  for  $\tau^- \rightarrow \pi^- \nu_\tau$   
 $\alpha = 0.45$  for  $\tau^- \rightarrow \rho^- \nu_\tau$

Sum of all samples

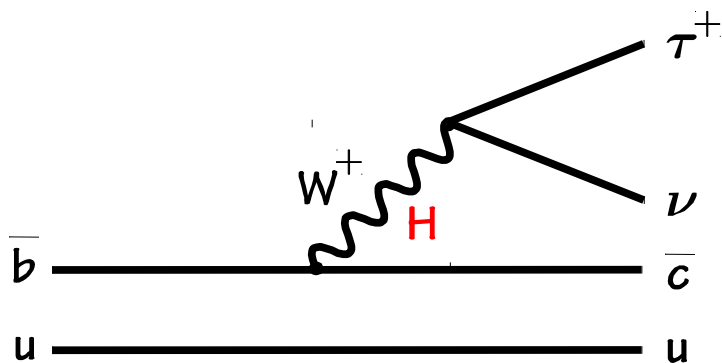


$$R(D^*) = 0.270 \pm 0.035^{+0.028}_{-0.025}$$

$$P_\tau(D^*) = -0.38 \pm 0.51^{+0.21}_{-0.16}$$



# Summary for $B \rightarrow D^{(*)} \tau \nu$



$$R(D^{(*)}) = \frac{\text{BF}(B \rightarrow D^{(*)} \tau \nu_\tau)}{\text{BF}(B \rightarrow D^{(*)} l \nu_l)}$$

BaBar

$$R(D) = 0.440 \pm 0.058 \pm 0.042$$

$$R(D^*) = 0.332 \pm 0.024 \pm 0.018$$

Belle

$$R(D) = 0.375 \pm 0.064 \pm 0.026$$

$$R(D^*) = 0.293 \pm 0.038 \pm 0.015$$

$$R(D^*) = 0.302 \pm 0.030 \pm 0.011$$

$$R(D^*) = 0.270 \pm 0.035^{+0.028}_{-0.025}$$

LHCb

$$R(D^*) = 0.336 \pm 0.027 \pm 0.030$$

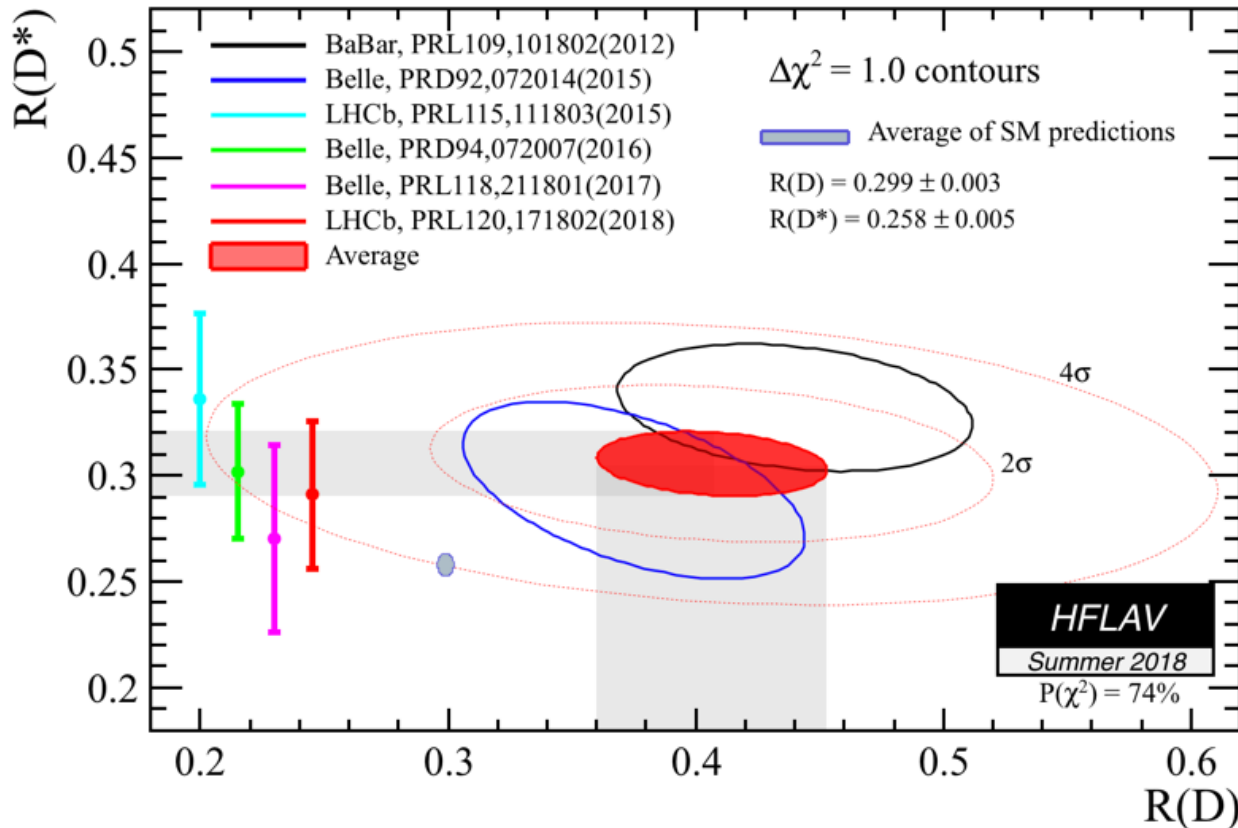
$$R(D^*) = 0.291 \pm 0.019 \pm 0.029$$

average

$$R(D) = 0.407 \pm 0.039 \pm 0.024$$

$$R(D^*) = 0.306 \pm 0.013 \pm 0.007$$

difference with SM predictions  
is at **3.8 $\sigma$**  level



# Hadronic full reconstruction at Belle II

Particle	# channels (Belle)	# channels (Belle II)
$D^+/D^{*+}/D_s^+$	18	26
$D^0/D^{*0}$	12	17
$B^+$	17	29
$B^0$	14	26

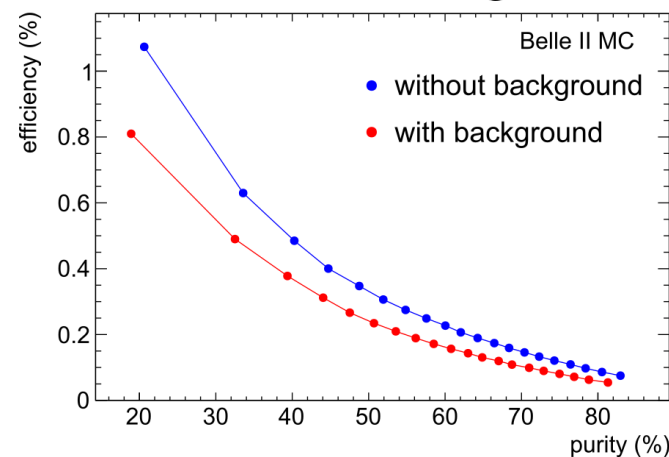
- More modes used for tag-side hadronic B than Belle, multiple classifiers

Algorithm	MVA	Efficiency	Purity
Belle v1 (2004)	Cut based (Vcb)		
Belle v3 (2007)	Cut based	0.1	0.25
Belle NB (2011)	Neurobayes	0.2	0.25
Belle II FEI (2017)	Fast BDT	0.5	0.25

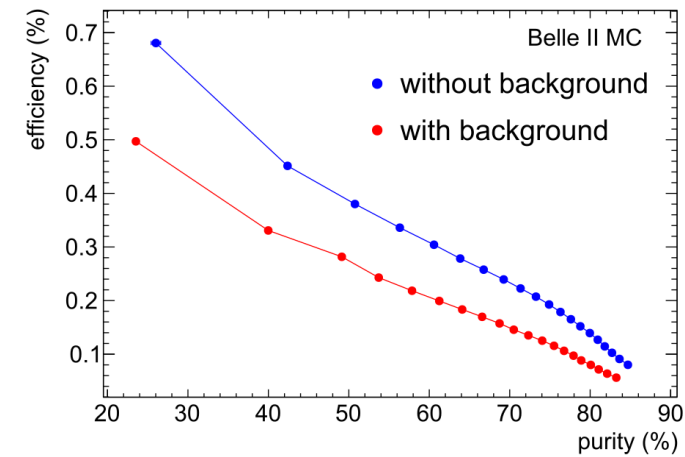
- Good performances on Belle II predicted beam background conditions:

Improvement to tagging efficiency in Belle II

Hadronic charged B



Hadronic neutral B



# Projections for Belle II $R(D^{**})$

Predictions of uncertainty using hadronic full reconstruction:

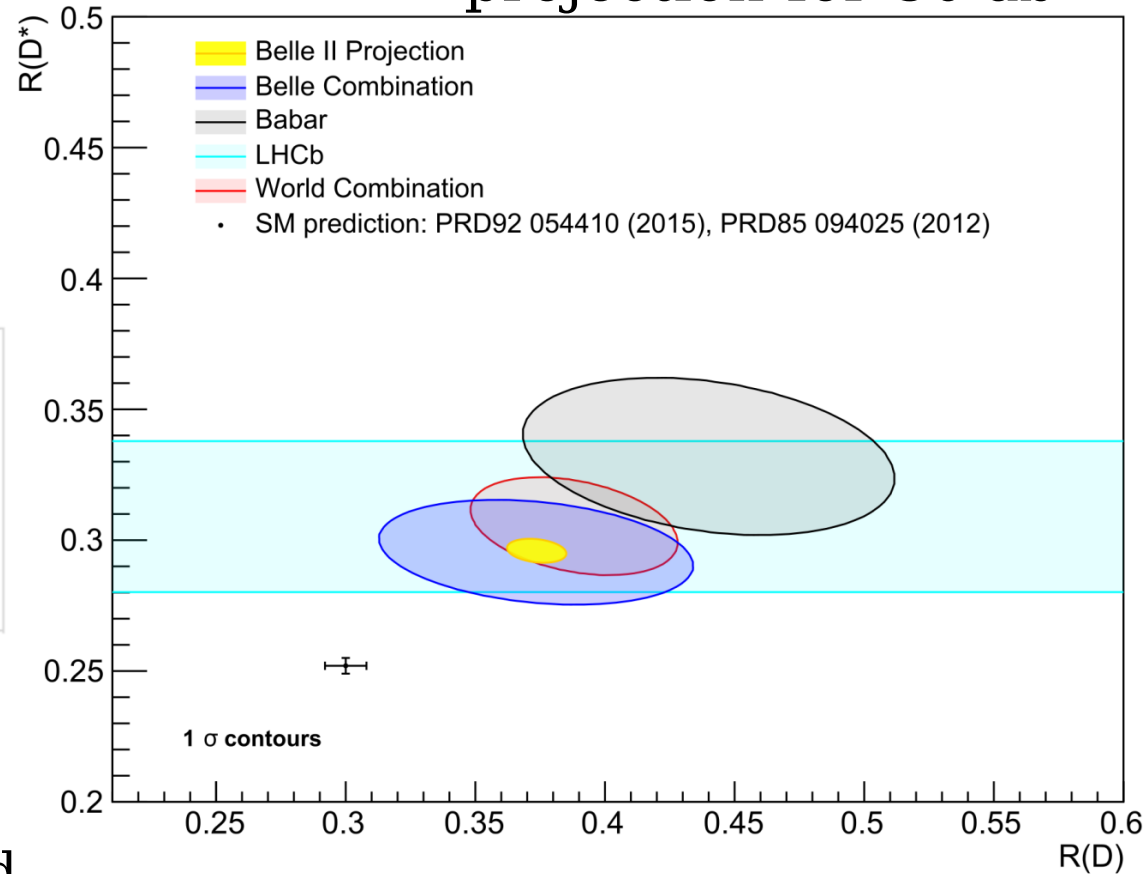
	$\Delta R(D)$ [%]			$\Delta R(D^{**})$ [%]		
	Stat	Sys	Total	Stat	Sys	Total
Belle 0.7 $ab^{-1}$	14	6	16	6	3	7
Belle II 5 $ab^{-1}$	5	3	6	2	2	3
Belle II 50 $ab^{-1}$	2	3	3	1	2	2



Systematic uncertainty dominated by  $D^{**}$  and missed soft pions:

- Studies of  $D^{**} l \nu$  and  $D^{**} \tau \nu$  planned
- Branching ratios and decay modes from data

projection for 50  $ab^{-1}$



# Other observables from $B \rightarrow D^{(*)} \tau \nu$

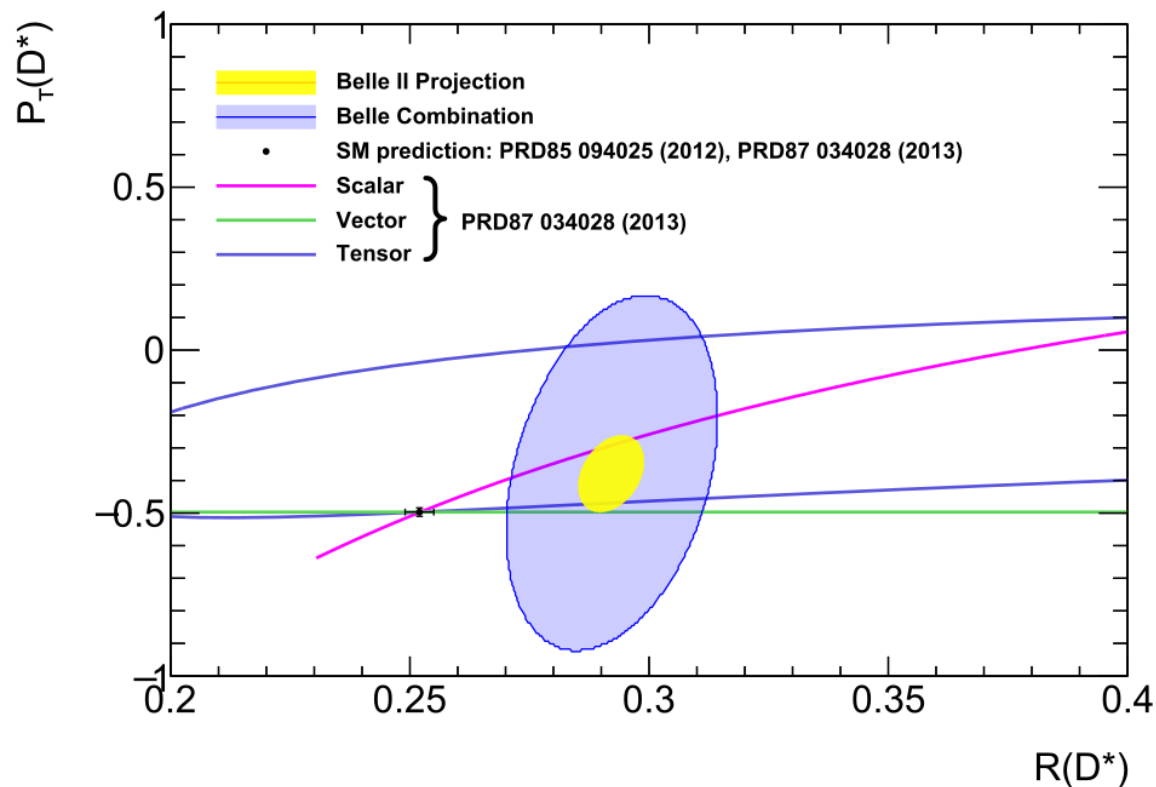
Additional observables as  $P_\tau(D^*)$  ( $F_L(D^*)$ ) and  $q^2$  distribution can help discriminate between New Physics models

[Belle, arXiv:1612.00529]

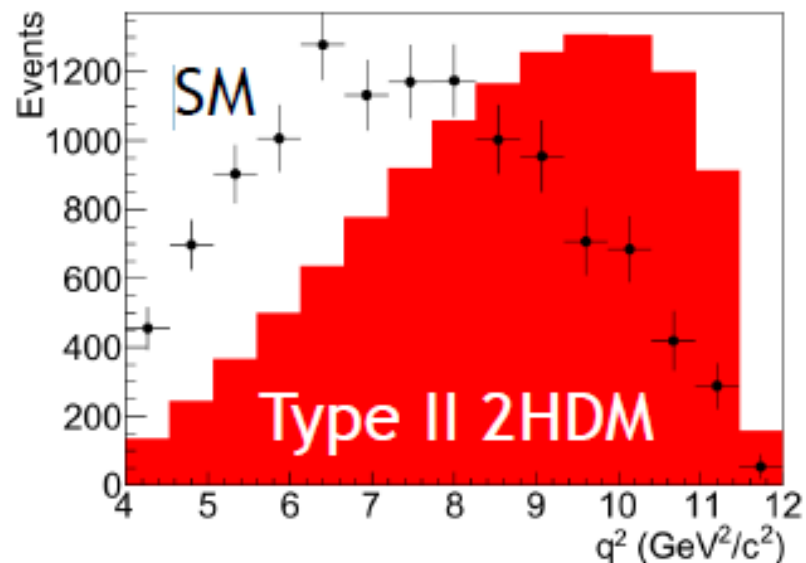
$$P_\tau(D^*) = -0.38 \pm 0.51 \begin{matrix} +0.21 \\ -0.16 \end{matrix}$$

Projections for  $P_\tau(D^*)$  at Belle II

$P_\tau(D^*)$	Stat. uncertainty	Sys. uncertainty
at 5 $ab^{-1}$	0.18	0.08
at 50 $ab^{-1}$	0.06	0.04



$q^2$  spectrum  $B \rightarrow D^* \tau \nu$   
50 $ab^{-1}$  projection

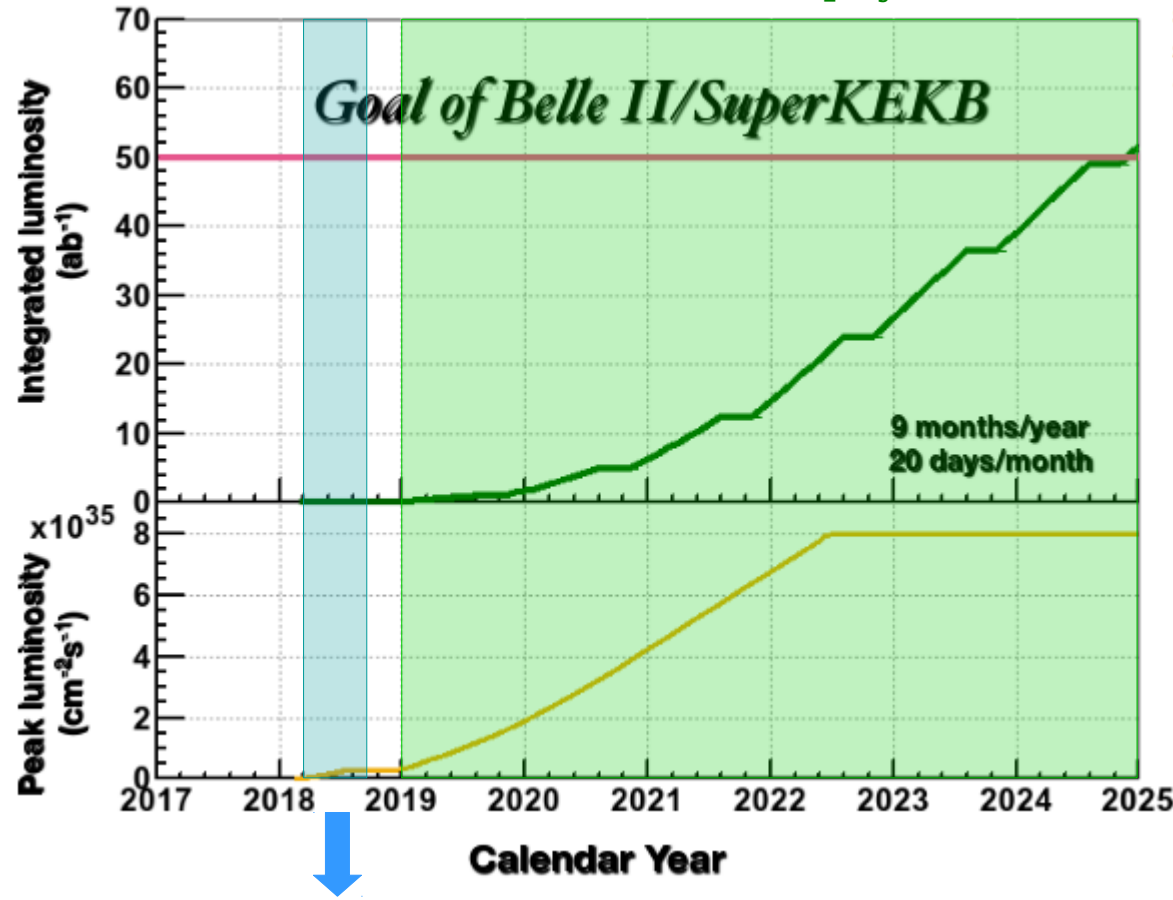


# phase 2 → phase 3

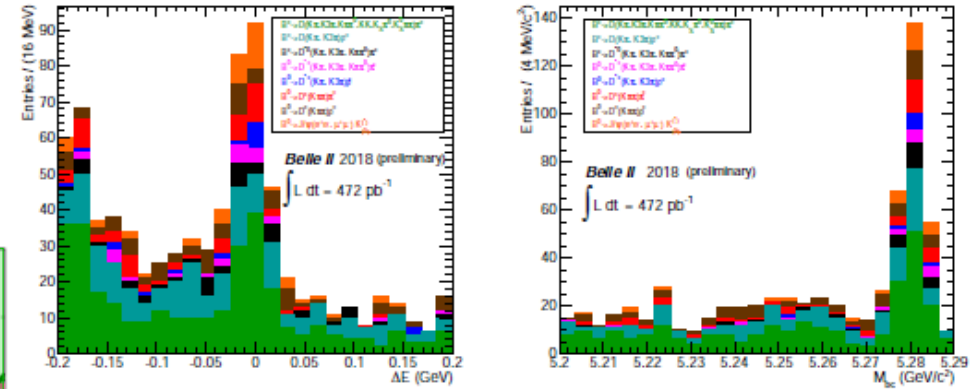
## B rediscovery program

Phase 2, BEAST II  
collision + partial Belle II

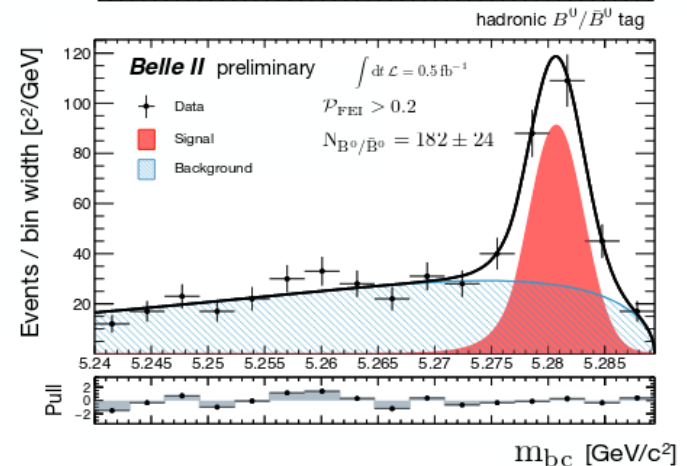
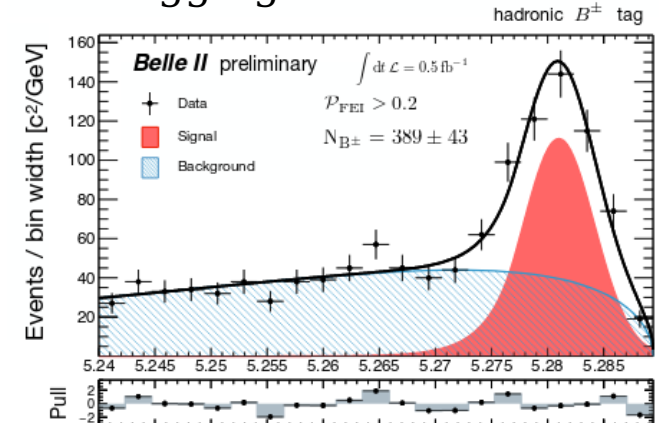
Phase 3, physics run



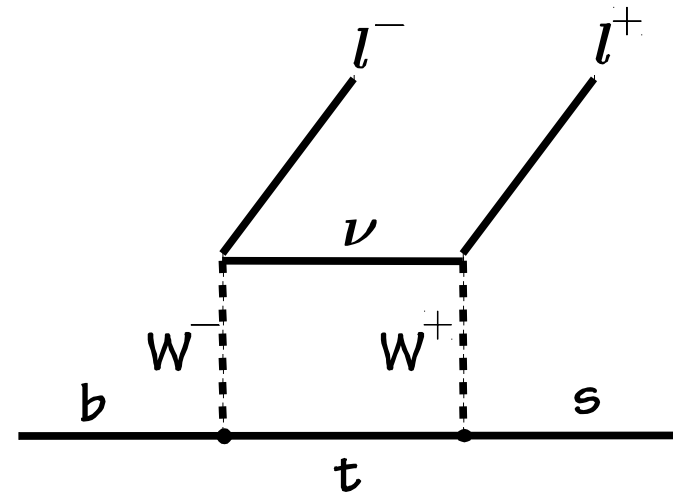
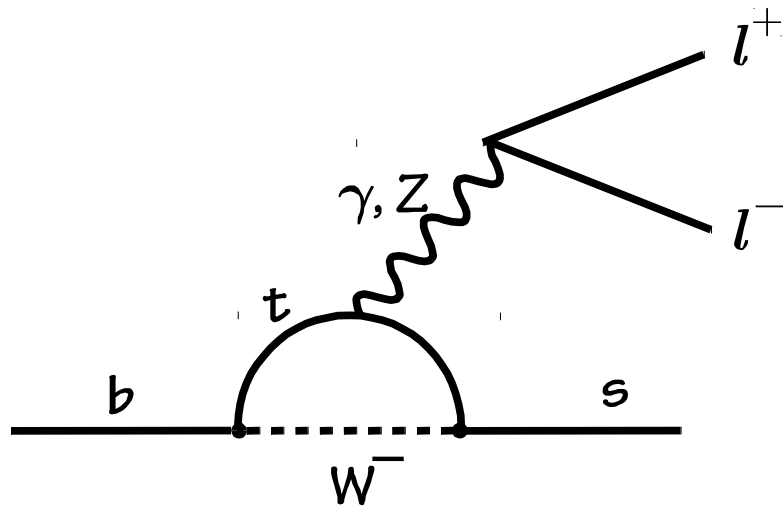
First collisions May to July  
~ 500 pb<sup>-1</sup>



first studies of performance of hadronic tagging in Belle II data



# $b \rightarrow ll s$



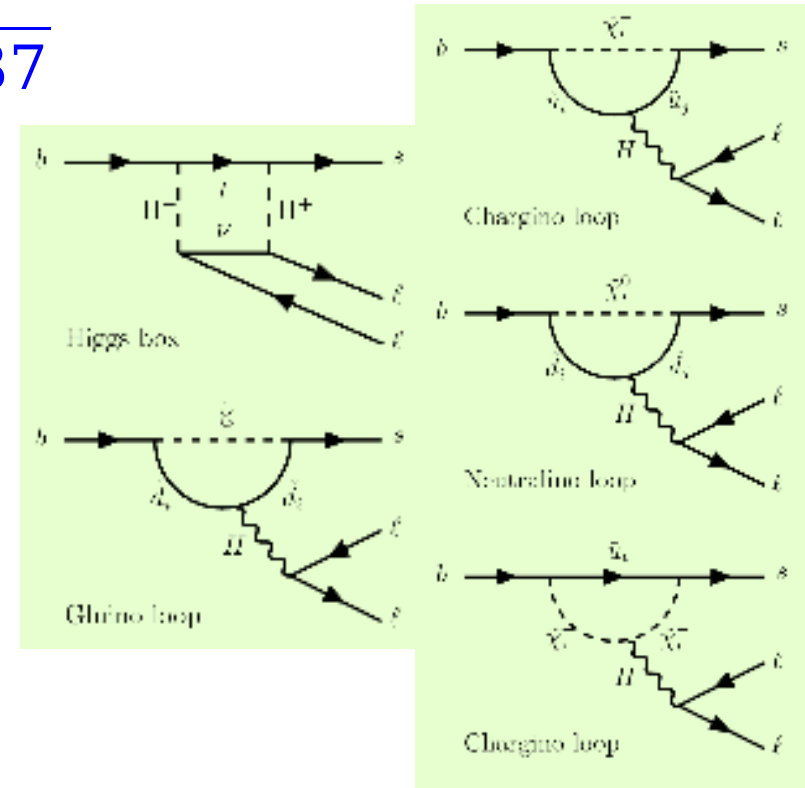
- Start with  $b \rightarrow s \gamma$ , pay a factor  $\alpha_{EM} = \frac{1}{137}$

→ Decay the  $\gamma$  into 2 leptons

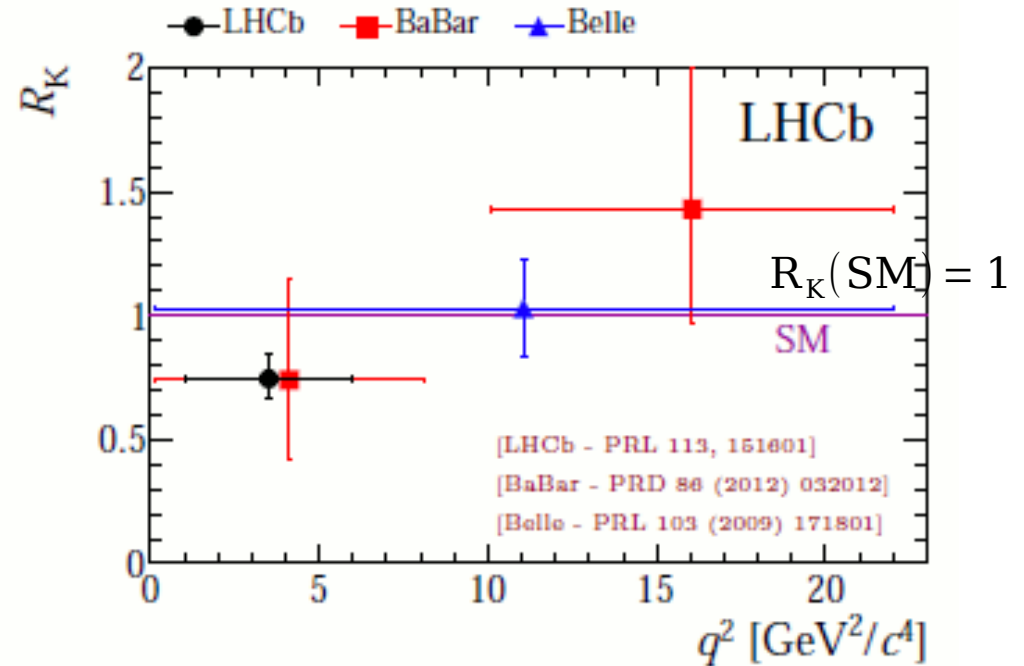
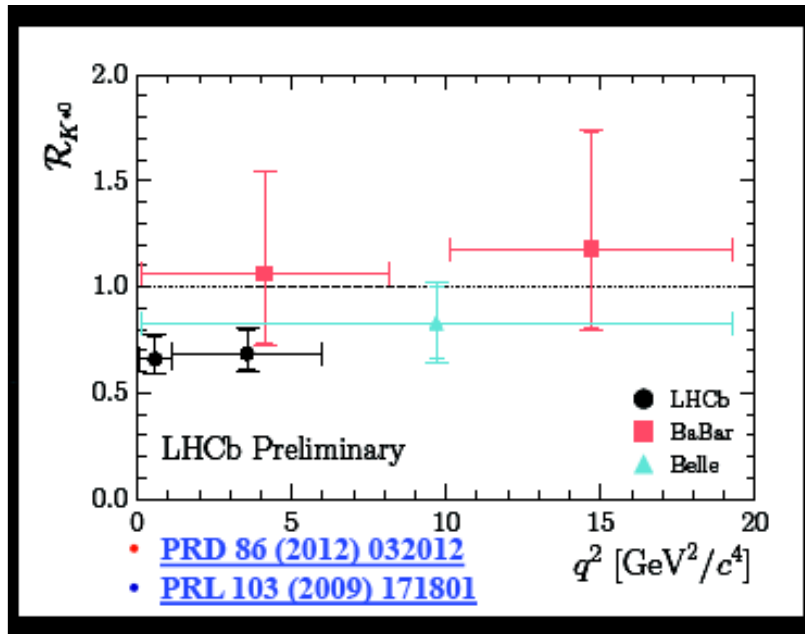
- Add an interfering box diagram  
→  $b \rightarrow ll s$ , very rare in the SM  
 $B(B \rightarrow ll K^*) = (3.3 \pm 1.0) \cdot 10^{-6}$

- Sensitive to Supersymmetry, Any 2HDM, Fourth generation, Extra dimensions, Axions...

- Ideal place to look for new physics



# Test of lepton universality using $B^+ \rightarrow K^{(*)} l^+ l^-$ decays



## Model candidates

### ✧ Model with extended gauge symmetry

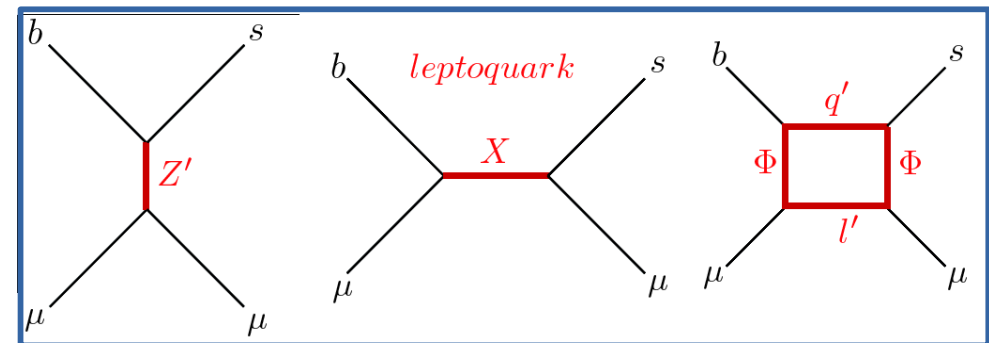
- ✓ Effective operator from  $Z'$  exchange
- ✓ Extra  $U(1)$  symmetry with flavor dependent charge

### ✧ Models with leptoquarks

- ✓ Effective operator from LQ exchange
- ✓ Yukawa interaction with LQs provide flavor violation

### ✧ Models with loop induced effective operator

- ✓ With extended Higgs sector and/or vector like quarks/leptons
- ✓ Flavor violation from new Yukawa interactions



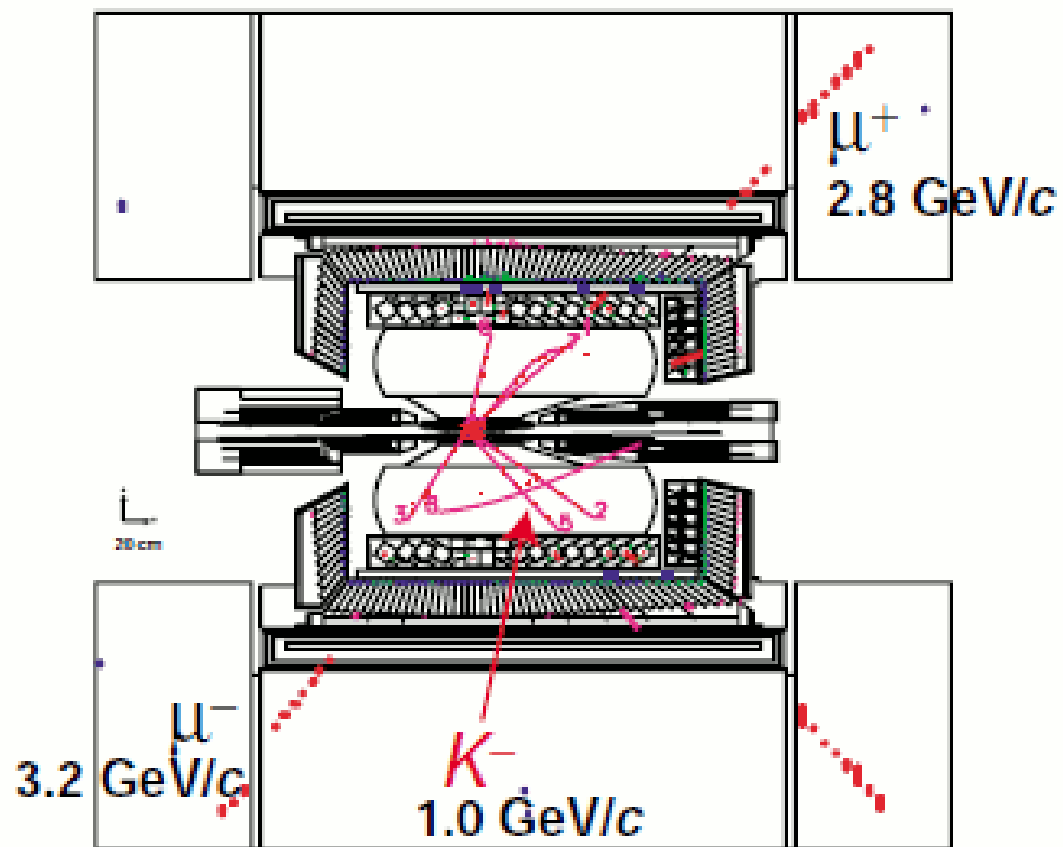
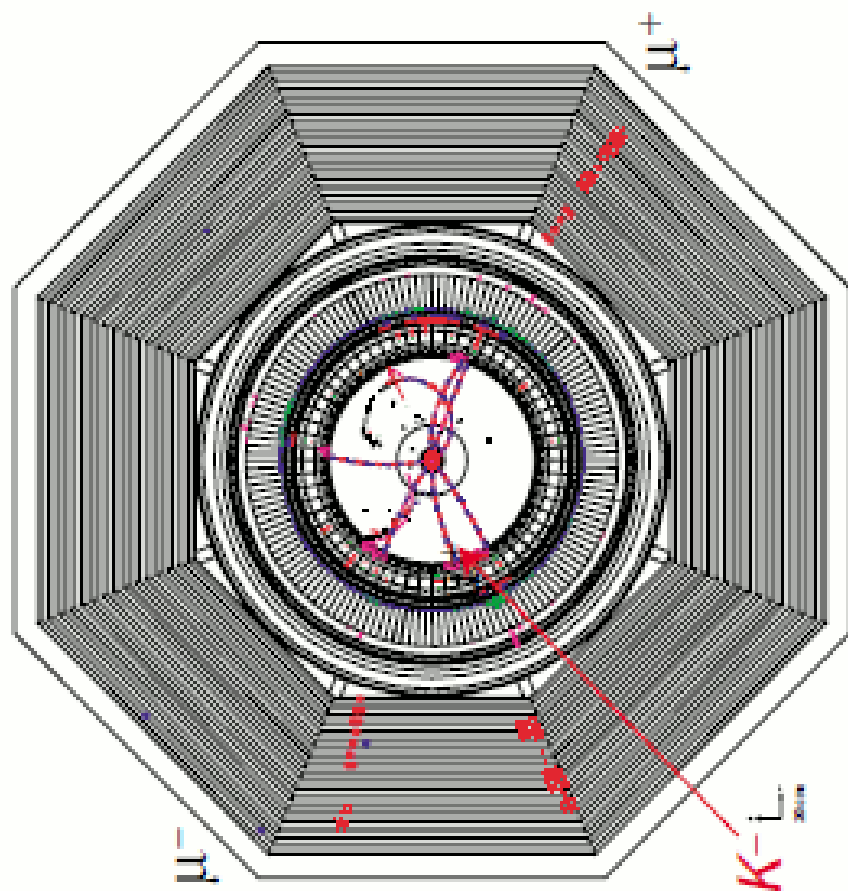
**Leptoquarks are color-triplet bosons that carry both lepton and baryon numbers**

**Lot of those models predict also LFV**  
 **$b \rightarrow s e \mu, b \rightarrow s e \tau, \dots$**

# First observation

$B^+ \rightarrow K^+ \mu^+ \mu^-$  Event

lepton  
photon 01

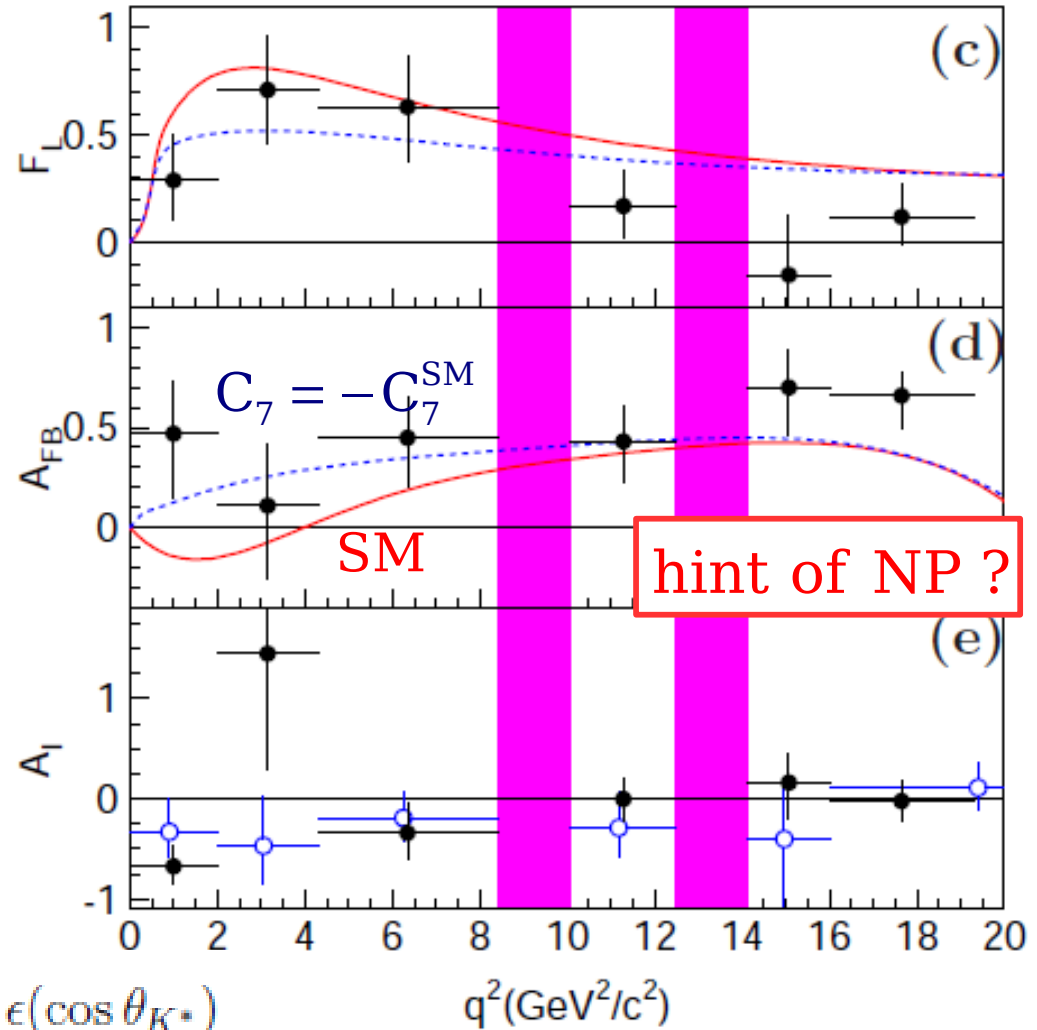
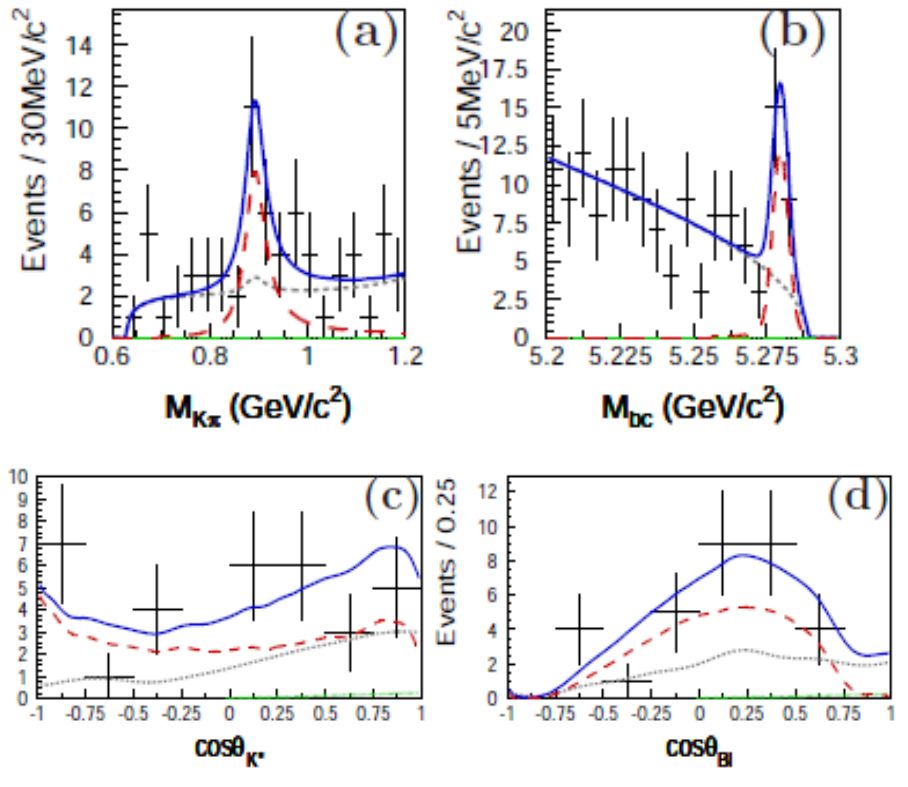




# $B \rightarrow K^* l^+ l^-$ decays

- Channels:  $K^* \rightarrow K^+ \pi^-$ ,  $K_S^0 \pi^+$ ,  $K^+ \pi^0$ ,  $l = e$  or  $\mu$  [Belle, arXiv:0904.0770]

illustration:  $q^2 \in [0.0, 2.0] \text{ GeV}^2$



$$\left[ \frac{3}{2} F_L \cos^2 \theta_{K^*} + \frac{3}{4} (1 - F_L) (1 - \cos^2 \theta_{K^*}) \right] \times \epsilon(\cos \theta_{K^*})$$

$$\left[ \frac{3}{4} F_L (1 - \cos^2 \theta_{Bl}) + \frac{3}{8} (1 - F_L) (1 + \cos^2 \theta_{Bl}) + A_{FB} \cos \theta_{Bl} \right] \times \epsilon(\cos \theta_{Bl}),$$

$$R_{K^*} = 0.83 \pm 0.17 \pm 0.08$$

$$R_K = 1.03 \pm 0.19 \pm 0.06$$

# $R_K, R_{K^*}, \dots$

for the whole  $q^2$  range:

$$R_{K^*} = 0.83 \pm 0.17 \pm 0.08$$

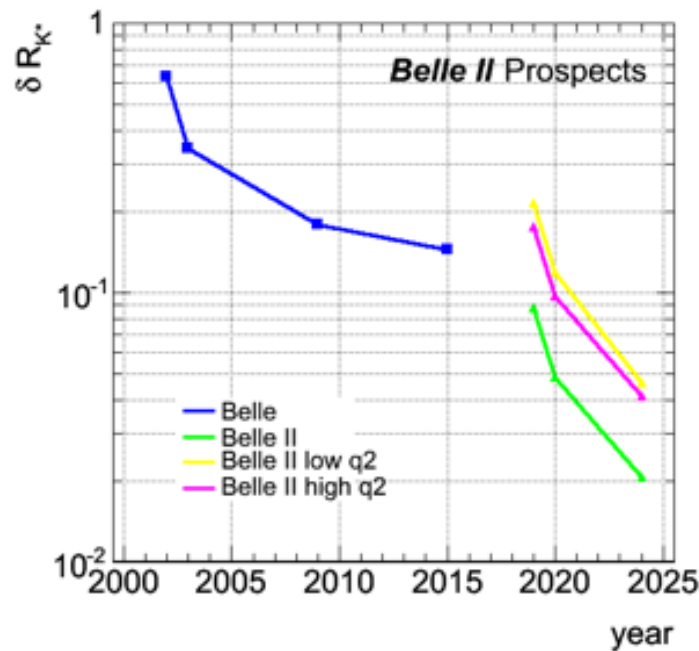
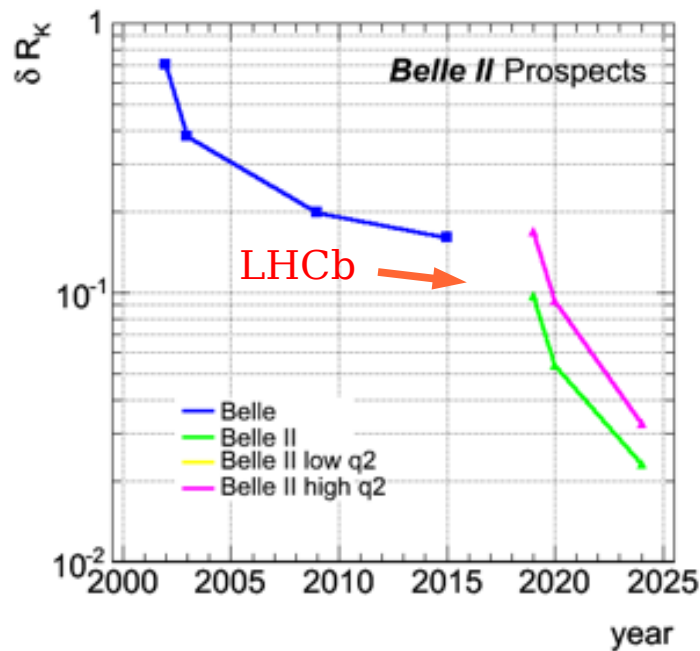
$$R_K = 1.03 \pm 0.19 \pm 0.06$$

[Belle, arXiv:0904.0770]



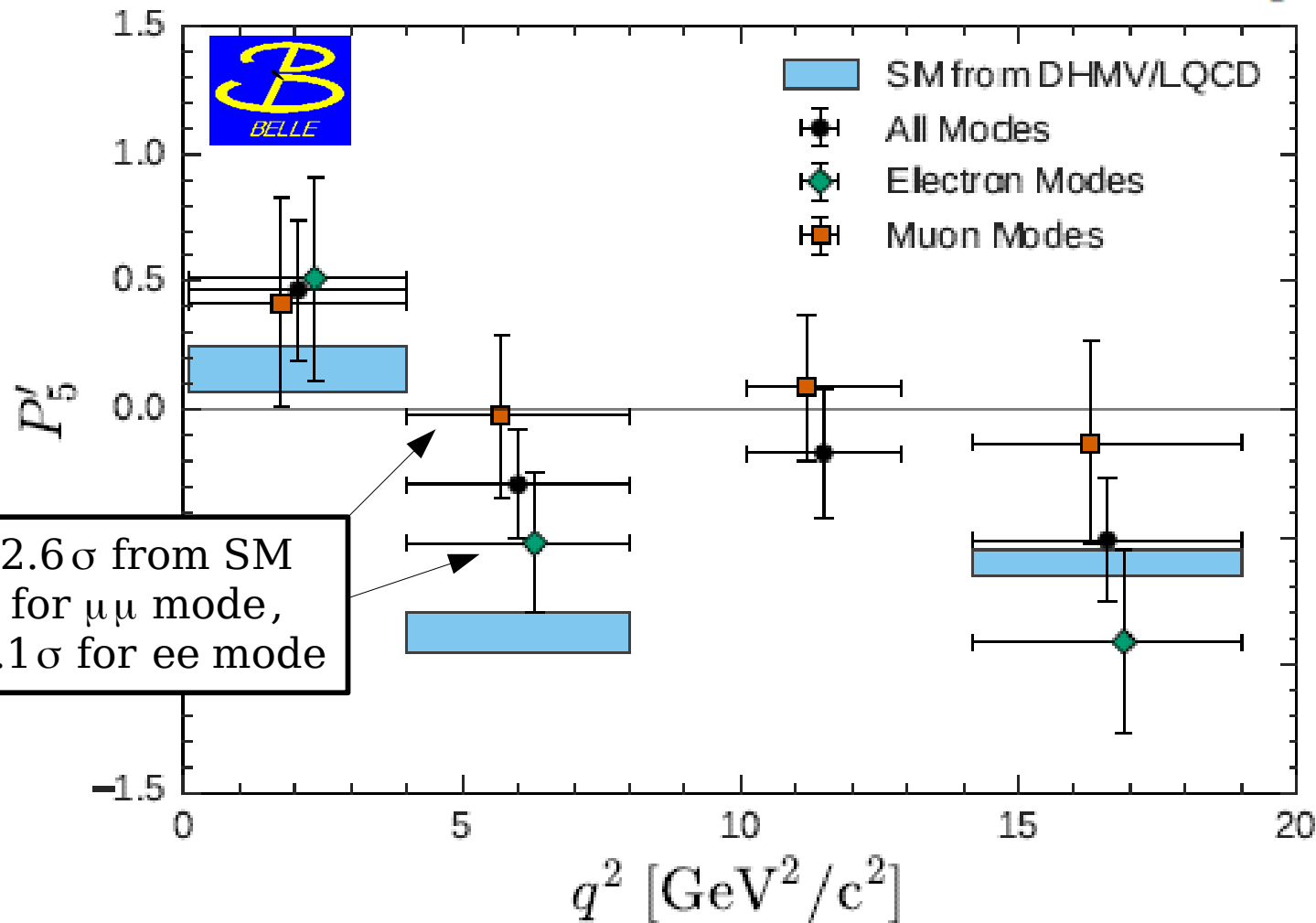
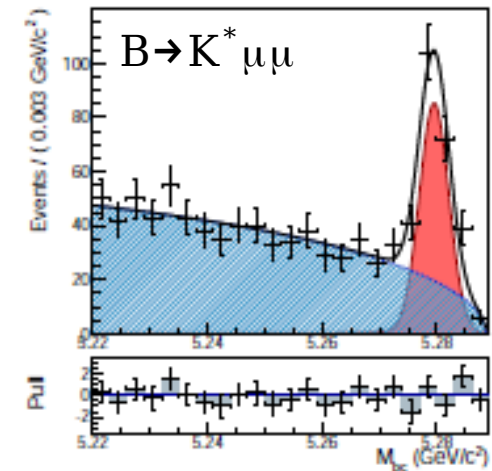
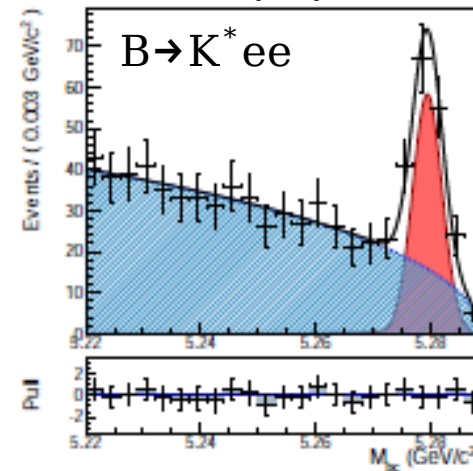
[Belle II, arXiv:1808.10567]

Observables	Belle 0.71 $\text{ab}^{-1}$	Belle II 5 $\text{ab}^{-1}$	Belle II 50 $\text{ab}^{-1}$
$R_K$ ([1.0, 6.0] $\text{GeV}^2$ )	28%	11%	3.6%
$R_K$ ( $> 14.4 \text{ GeV}^2$ )	30%	12%	3.6%
$R_{K^*}$ ([1.0, 6.0] $\text{GeV}^2$ )	26%	10%	3.2%
$R_{K^*}$ ( $> 14.4 \text{ GeV}^2$ )	24%	9.2%	2.8%
$R_{X_s}$ ([1.0, 6.0] $\text{GeV}^2$ )	32%	12%	4.0%
$R_{X_s}$ ( $> 14.4 \text{ GeV}^2$ )	28%	11%	3.4%



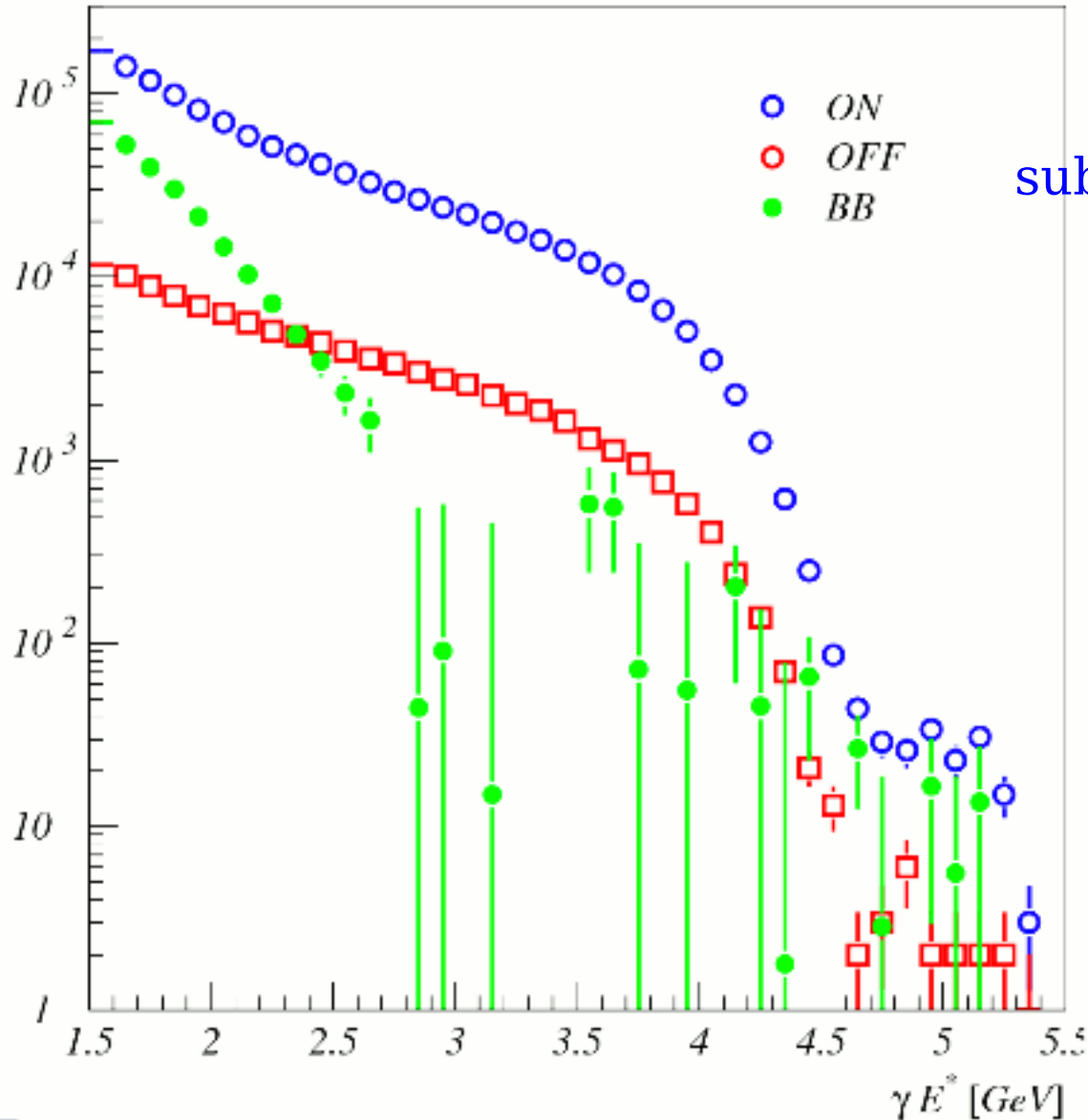
# Belle results for both $ee$ and $\mu\mu$

[Belle, arXiv:1612.05014]

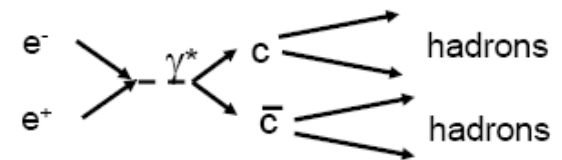
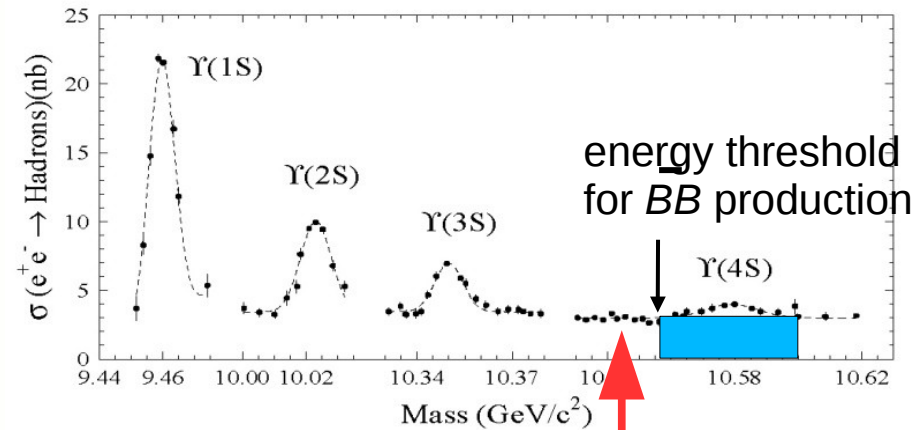


# what about inclusive $b \rightarrow sll$ ?

as done in  $b \rightarrow s \gamma$  ?



OFF-resonance data is scaled according to luminosities and subtracted from ON-resonance data



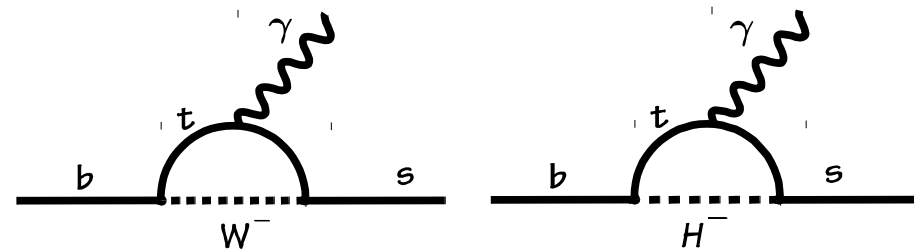
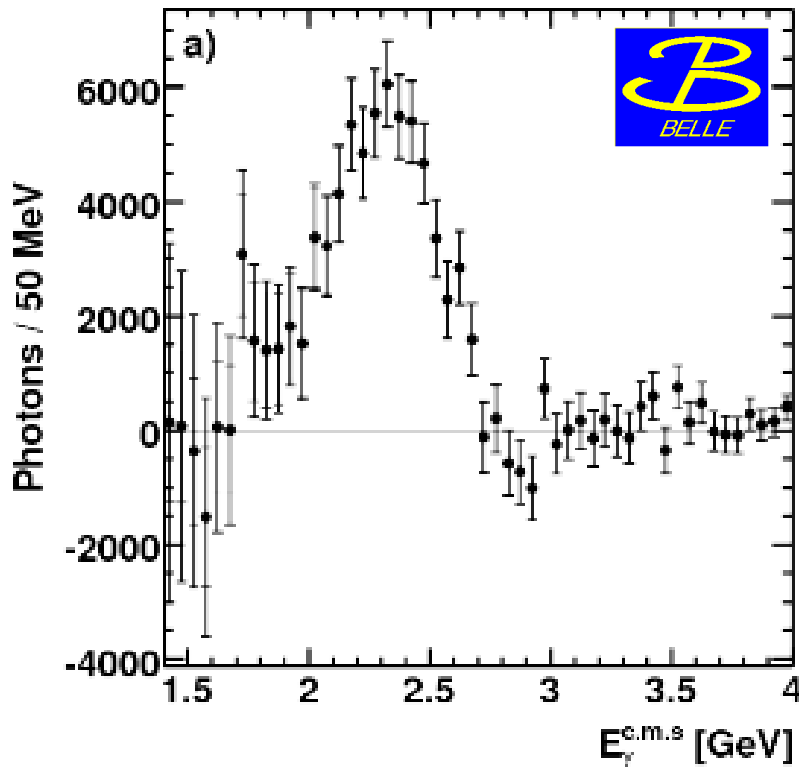
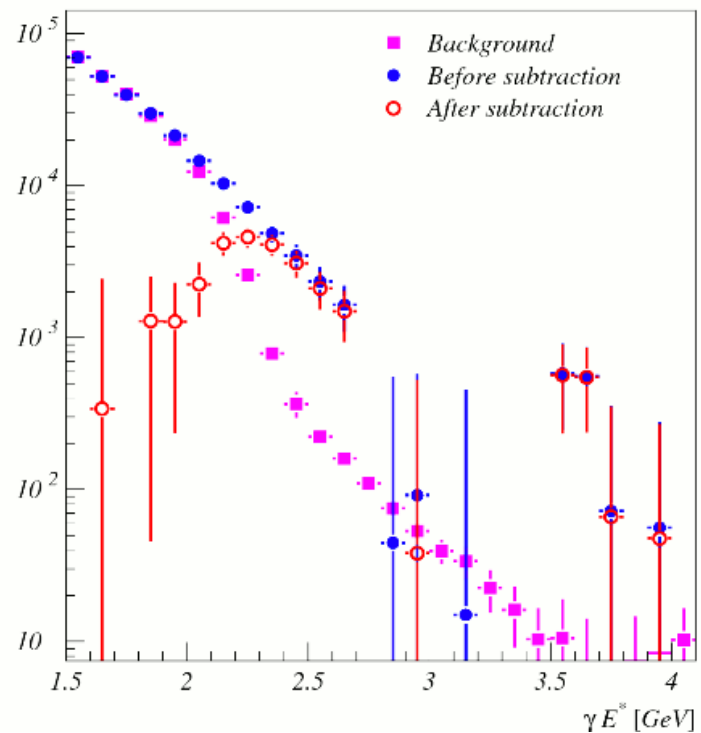
# what about inclusive $b \rightarrow sl$ ?

$B\bar{B}$  subtraction:

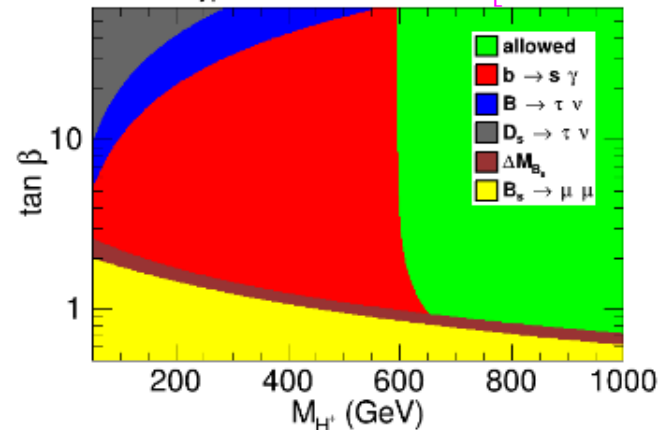
Use measured  $\pi^0$  and  $\eta$  spectra  
and some efficiency-corrected MC

for  $E_\gamma^* > 1.7$  GeV,

$$B(B \rightarrow X_s \gamma) = (3.45 \pm 0.15 \pm 0.40) \times 10^{-4}$$



THDM Type II - Flavour constraints [arXiv:1706.07414]



# what about inclusive $b \rightarrow sll$ ?

for  $E_\gamma^* > 1.7$  GeV,  $B(B \rightarrow X_s \gamma) = (3.45 \pm 0.15 \pm 0.40) \times 10^{-4}$

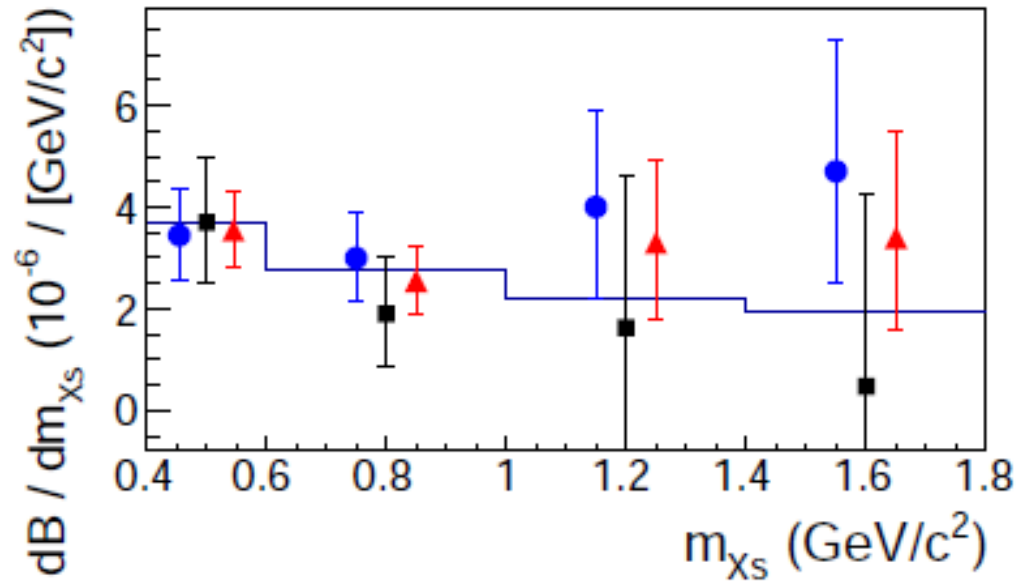
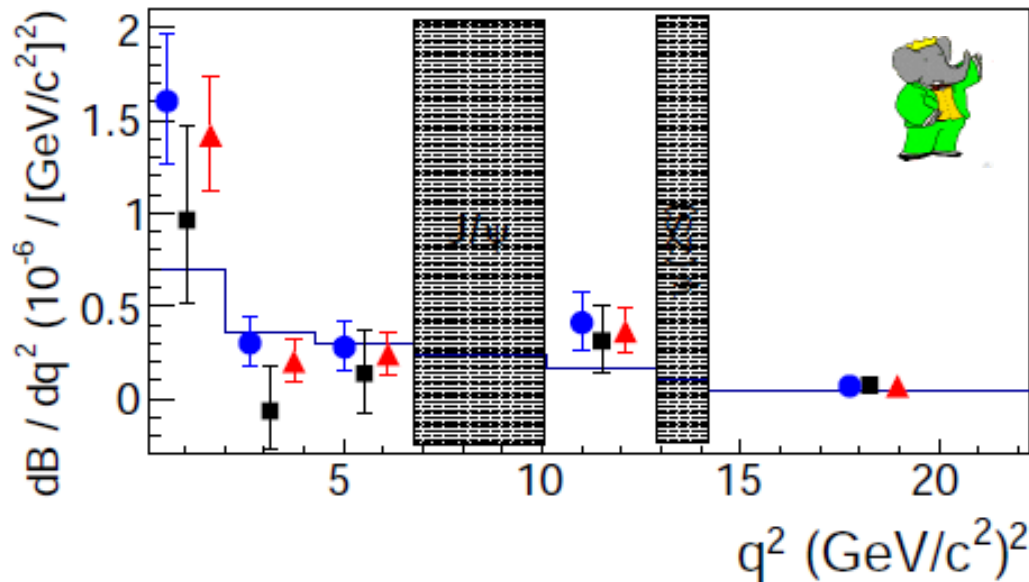
predicted BF for  $1 < q^2 < 6$  GeV<sup>2</sup>,  $B(B \rightarrow X_s ll) = (1.62 \pm 0.09) \times 10^{-6}$   
and lot of leptons in B decays...

- difficult to achieve using inclusive method (à la  $b \rightarrow s \gamma$ )
  - some on-going efforts using full had. tag, but  $\epsilon < 1\%$ ...
- sum-of-exclusive method instead...

[BaBar, arXiv:1312.5364]

10 modes for  $X_s$ :  $K^+$ ,  $K^+ \pi^0$ ,  $K^+ \pi^-$ ,  $K^+ \pi^- \pi^0$ ,  $K^+ \pi^- \pi^+$ ,  
 $K_S^0$ ,  $K_S^0 \pi^0$ ,  $K_S^0 \pi^+$ ,  $K_S^0 \pi^+ \pi^0$ ,  $K_S^0 \pi^+ \pi^-$  }  $M(X_s) < 1.8$  GeV  
70% of total inclusive rate

Bin	Range	$B \rightarrow X_s e^+ e^-$	$B \rightarrow X_s \mu^+ \mu^-$	$B \rightarrow X_s \ell^+ \ell^-$	$A_{CP} B \rightarrow X_s \ell^+ \ell^-$
$q_0^2$	$1.0 < q^2 < 6.0$	$1.93^{+0.47+0.21}_{-0.45-0.16} \pm 0.18$ (1.71)	$0.66^{+0.82+0.30}_{-0.76-0.24} \pm 0.07$ (1.78)	$1.60^{+0.41+0.17}_{-0.39-0.13} \pm 0.18$	$-0.06 \pm 0.22 \pm 0.01$



# inclusive as sum-of-exclusive

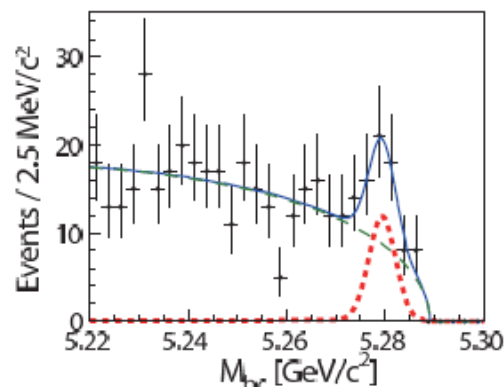
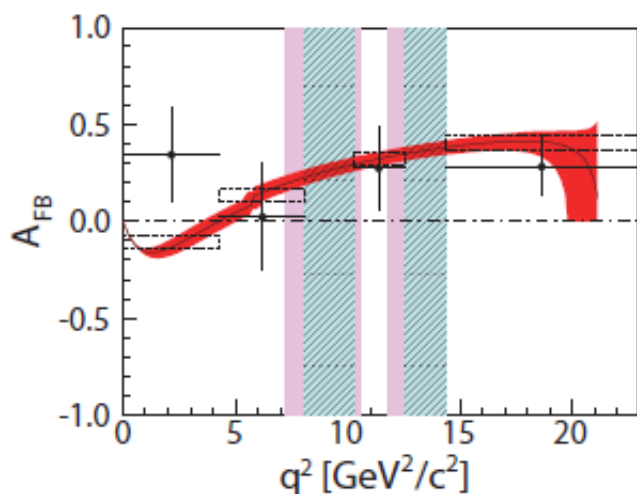
[Belle, arXiv:1402.7134]

10 modes,  $M(X_s) < 2.0$  GeV

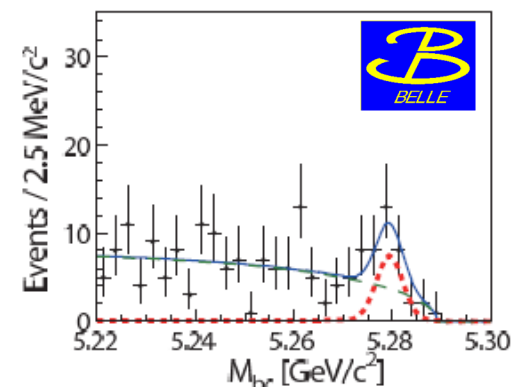
50% of total inclusive rate

(goal here was  $A_{FB}$ , flavor of B needed)

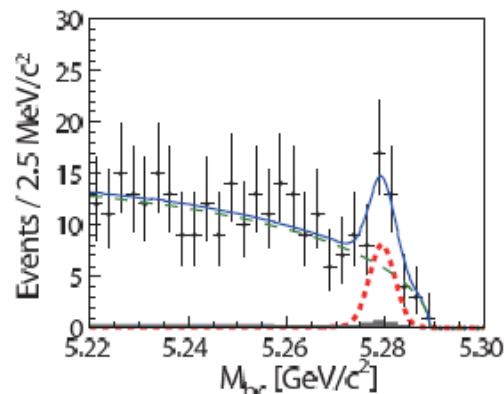
$B^0$ decays		$B^-$ decays	
$K^- \pi^+$	$(K_S^0)$	$K^-$	
$K^- \pi^+ \pi^0$	$(K_S^0 \pi^0)$	$K^- \pi^0$	$K_S^0 \pi^-$
$K^- \pi^+ \pi^- \pi^+$	$(K_S^0 \pi^- \pi^+)$	$K^- \pi^+ \pi^-$	$K_S^0 \pi^- \pi^0$
$(K^- \pi^+ \pi^- \pi^+ \pi^0)$	$(K_S^0 \pi^- \pi^+ \pi^0)$	$K^- \pi^+ \pi^- \pi^0$	$K_S^0 \pi^- \pi^+ \pi^-$
	$(K_S^0 \pi^- \pi^+ \pi^- \pi^+)$	$(K^- \pi^+ \pi^- \pi^+ \pi^-)$	$(K_S^0 \pi^- \pi^+ \pi^- \pi^0)$



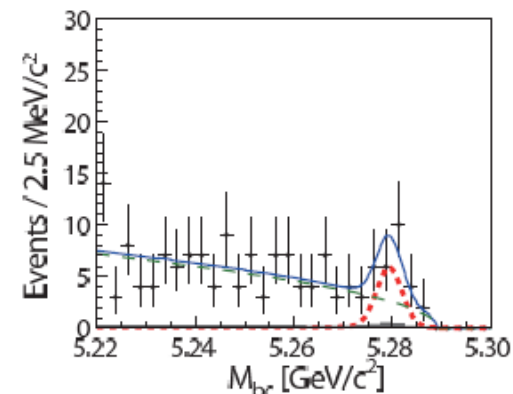
(a)  $B \rightarrow X_s e^+ e^-$  candidates with  $\cos \theta > 0$



(b)  $B \rightarrow X_s e^+ e^-$  candidates with  $\cos \theta < 0$



(c)  $B \rightarrow X_s \mu^+ \mu^-$  candidates with  $\cos \theta > 0$



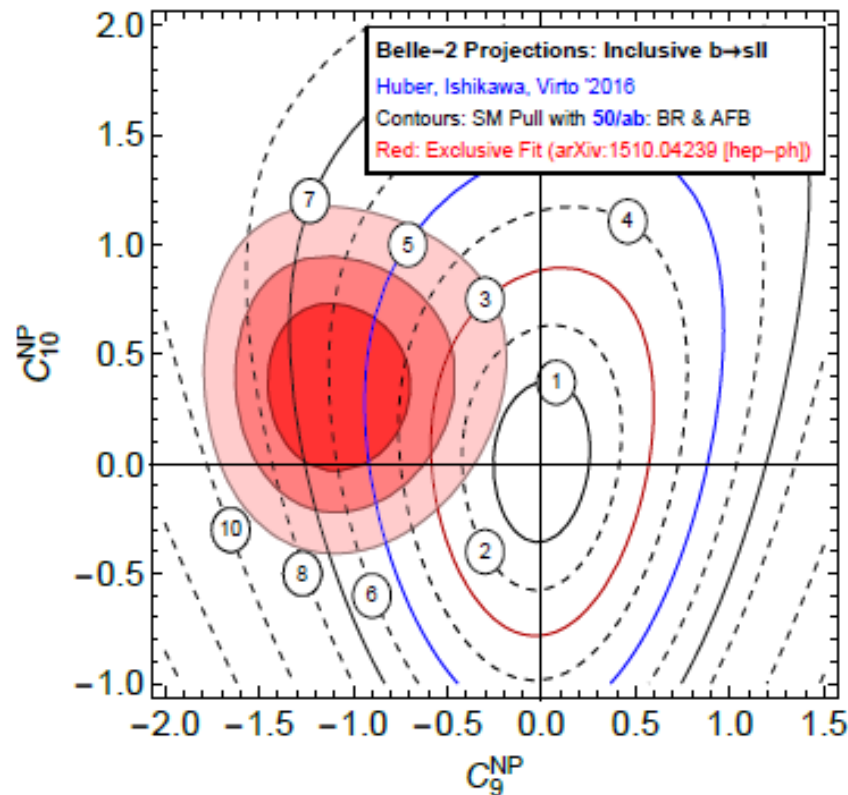
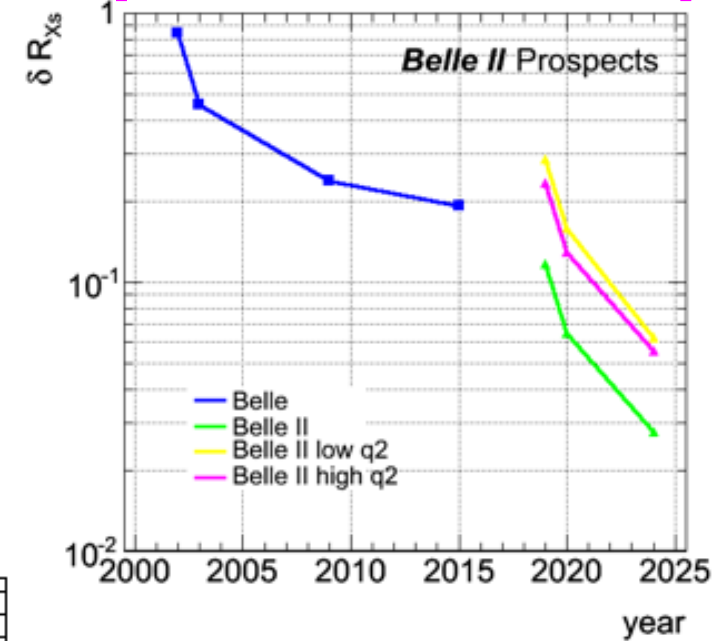
(d)  $B \rightarrow X_s \mu^+ \mu^-$  candidates with  $\cos \theta < 0$

	1st $q^2$ bin	2nd $q^2$ bin	3rd $q^2$ bin	4th $q^2$ bin	
$q^2$ range [ $\text{GeV}^2/c^2$ ]	[0.2, 4.3]	[4.3, 7.3] [4.3, 8.1] $_{X_s \mu^+ \mu^-}$	[10.5, 11.8] [10.2, 12.5] $_{X_s \mu^+ \mu^-}$	[14.3, 25.0]	[1.0, 6.0]
$\mathcal{A}_{FB}$	$0.34 \pm 0.24 \pm 0.03$	$0.04 \pm 0.31 \pm 0.05$	$0.28 \pm 0.21 \pm 0.02$	$0.28 \pm 0.15 \pm 0.02$	$0.30 \pm 0.24 \pm 0.04$
$\mathcal{A}_{FB}$ (theory)	$-0.11 \pm 0.03$	$0.13 \pm 0.03$	$0.32 \pm 0.04$	$0.40 \pm 0.04$	$-0.07 \pm 0.04$
$N_{sig}^{ee}$	$45.6 \pm 10.9$	$30.0 \pm 9.2$	$25.0 \pm 7.0$	$39.2 \pm 9.6$	$50.3 \pm 11.4$
$N_{sig}^{\mu\mu}$	$43.4 \pm 9.2$	$23.9 \pm 10.4$	$30.7 \pm 9.9$	$62.8 \pm 10.4$	$35.3 \pm 9.2$

# Inclusive di-lepton, $B \rightarrow X_s \ell^+ \ell^-$ (at Belle II)

Observables	Belle $0.71 \text{ ab}^{-1}$	Belle II $5 \text{ ab}^{-1}$	Belle II $50 \text{ ab}^{-1}$
$\text{Br}(B \rightarrow X_s \ell^+ \ell^-)$ ( $[1.0, 3.5] \text{ GeV}^2$ )	29%	13%	6.6%
$\text{Br}(B \rightarrow X_s \ell^+ \ell^-)$ ( $[3.5, 6.0] \text{ GeV}^2$ )	24%	11%	6.4%
$\text{Br}(B \rightarrow X_s \ell^+ \ell^-)$ ( $> 14.4 \text{ GeV}^2$ )	23%	10%	4.7%
$A_{\text{CP}}(B \rightarrow X_s \ell^+ \ell^-)$ ( $[1.0, 3.5] \text{ GeV}^2$ )	26%	9.7 %	3.1 %
$A_{\text{CP}}(B \rightarrow X_s \ell^+ \ell^-)$ ( $[3.5, 6.0] \text{ GeV}^2$ )	21%	7.9 %	2.6 %
$A_{\text{CP}}(B \rightarrow X_s \ell^+ \ell^-)$ ( $> 14.4 \text{ GeV}^2$ )	21%	8.1 %	2.6 %
$A_{\text{FB}}(B \rightarrow X_s \ell^+ \ell^-)$ ( $[1.0, 3.5] \text{ GeV}^2$ )	26%	9.7%	3.1%
$A_{\text{FB}}(B \rightarrow X_s \ell^+ \ell^-)$ ( $[3.5, 6.0] \text{ GeV}^2$ )	21%	7.9%	2.6%
$A_{\text{FB}}(B \rightarrow X_s \ell^+ \ell^-)$ ( $> 14.4 \text{ GeV}^2$ )	19%	7.3%	2.4%
$\Delta_{\text{CP}}(A_{\text{FB}})$ ( $[1.0, 3.5] \text{ GeV}^2$ )	52%	19%	6.1%
$\Delta_{\text{CP}}(A_{\text{FB}})$ ( $[3.5, 6.0] \text{ GeV}^2$ )	42%	16%	5.2%
$\Delta_{\text{CP}}(A_{\text{FB}})$ ( $> 14.4 \text{ GeV}^2$ )	38%	15%	4.8%

[arXiv:1808.10567]





# $B \rightarrow K^{(*)} \tau \tau$

[D. Du et al, arXiv:1510.02349]  
[D. Straub, Flavio]

$q^2$  range for predictions for  $B \rightarrow H \tau^+ \tau^-$ : from  $4 m_\tau^2$  ( $\sim 12.6 \text{ GeV}^2$ ) to  $(m_B - m_H)^2$   
to avoid contributions from resonant decay through  $\psi(2S)$ ,  $B \rightarrow H \psi(2S)$ ,  $\psi(2S) \rightarrow \tau^+ \tau^-$   
predictions restricted to  $q^2 > 15 \text{ GeV}^2$ :

$$B(B^+ \rightarrow K^+ \tau^+ \tau^-)_{SM} = (1.22 \pm 0.10) 10^{-7}$$

$$B(B^0 \rightarrow K^0 \tau^+ \tau^-)_{SM} = (1.13 \pm 0.09) 10^{-7}$$

$$B(B^+ \rightarrow K^{*+} \tau^+ \tau^-)_{SM} = (0.99 \pm 0.12) 10^{-7}$$

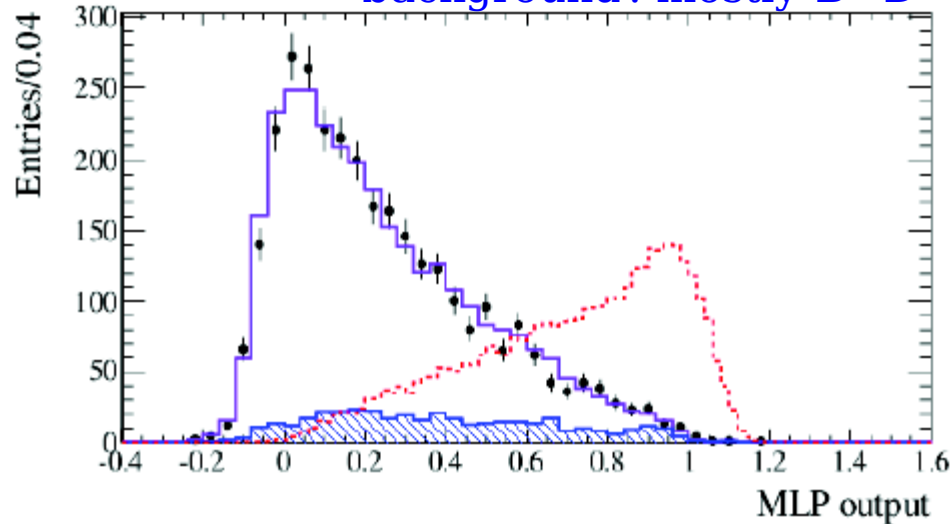
$$B(B^0 \rightarrow K^{*0} \tau^+ \tau^-)_{SM} = (0.91 \pm 0.11) 10^{-7}$$

# $B \rightarrow K^{(*)} \tau \tau$

[BaBar, arXiv:1605.09637]

strategy used: B fully reconstructed (had tag),  $\tau^+ \rightarrow l^+ \nu_l \nu_\tau$

background: mostly  $B \rightarrow D^{(*)} l \bar{\nu}_l$ ,  $D^{(*)} \rightarrow K l' \bar{\nu}_l$



	$e^+e^-$	$\mu^+\mu^-$	$e^+ \mu^-$
$N_{\text{bkg}}^i$	$49.4 \pm 2.4 \pm 2.9$	$45.8 \pm 2.4 \pm 3.2$	$59.2 \pm 2.8 \pm 3.5$
$\epsilon_{\text{sig}}^i (\times 10^{-5})$	$1.1 \pm 0.2 \pm 0.1$	$1.3 \pm 0.2 \pm 0.1$	$2.1 \pm 0.2 \pm 0.2$
$N_{\text{obs}}^i$	45	39	92
Significance ( $\sigma$ )	-0.6	-0.9	3.7

$$B(B^+ \rightarrow K^+ \tau^+ \tau^-) < 2.25 \times 10^{-3} \text{ at 90\% CL}$$

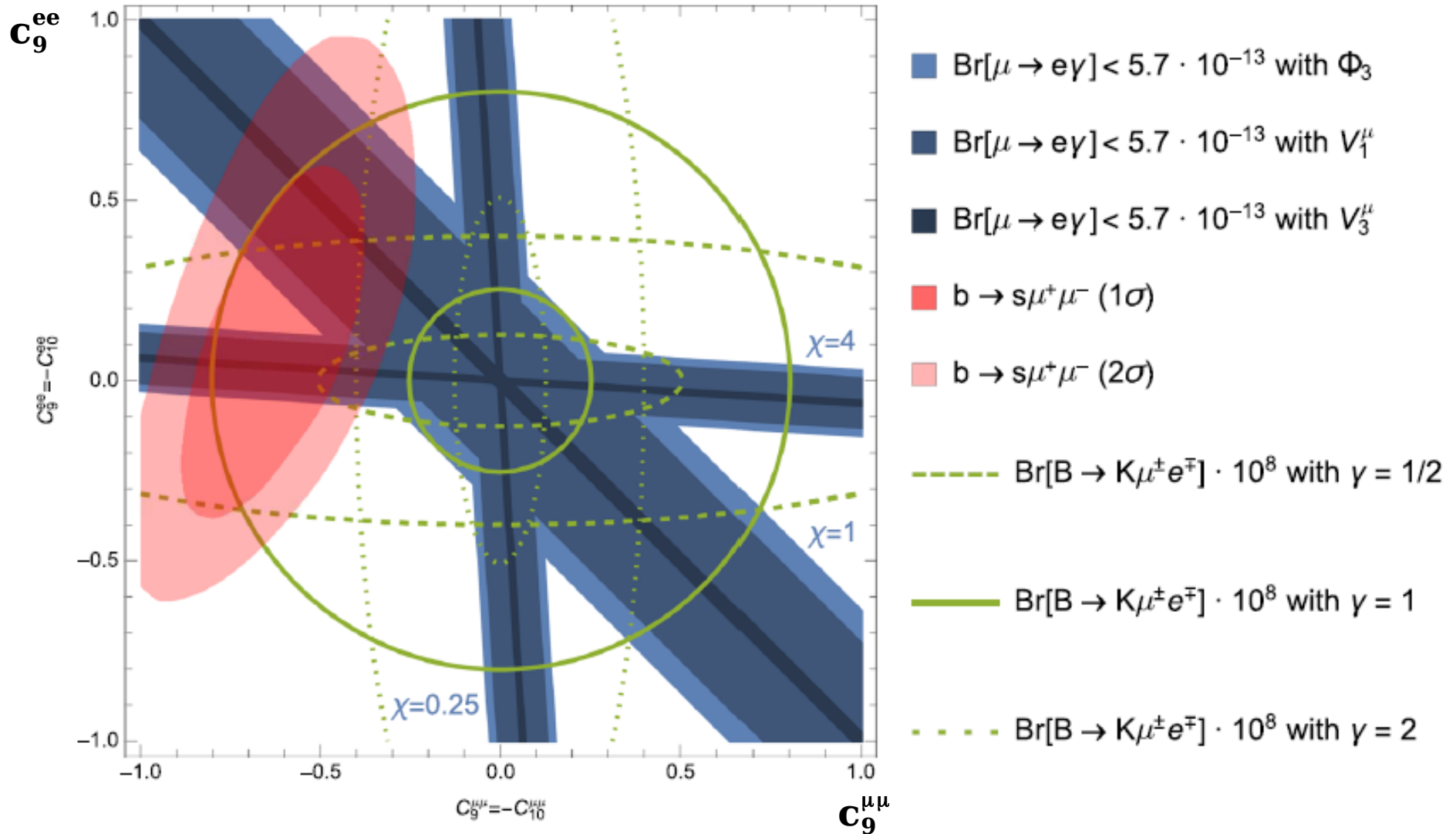
[Belle II, arXiv:1808.10567]

Observables	Belle $0.71 \text{ ab}^{-1}$ ( $0.12 \text{ ab}^{-1}$ )	Belle II $5 \text{ ab}^{-1}$	Belle II $50 \text{ ab}^{-1}$
$\text{Br}(B^+ \rightarrow K^+ \tau^+ \tau^-) \cdot 10^5$	$< 32$	$< 6.5$	$< 2.0$
$\text{Br}(B^0 \rightarrow \tau^+ \tau^-) \cdot 10^5$	$< 140$	$< 30$	$< 9.6$
$\text{Br}(B_s^0 \rightarrow \tau^+ \tau^-) \cdot 10^4$	$< 70$	$< 8.1$	–

# LFV $b \rightarrow s l l'$ decays

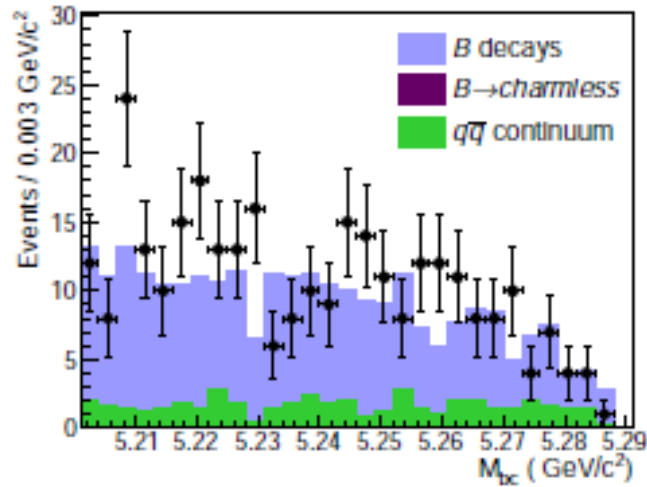
Glashow, Guadagnoli and Lane, 1411.0565, LUV  $\Rightarrow$  LFV, such as  $B \rightarrow K \mu e$ ,  $K \mu \tau$  could also be generated...

A. Crivellin et al, 1706.08511



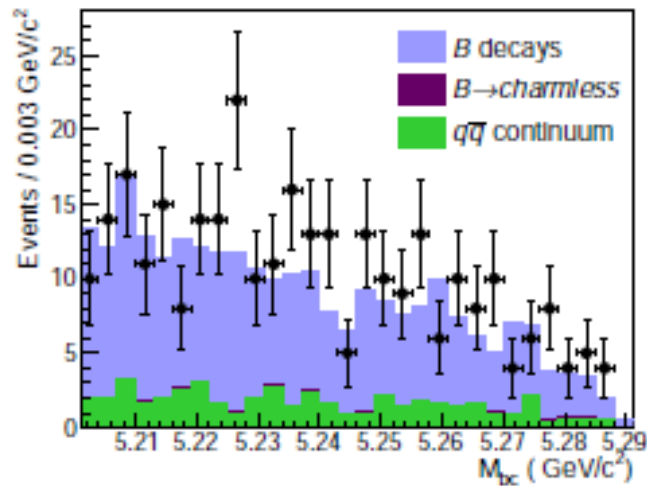
# LFV $B \rightarrow K^* \ell \ell'$ decays

[Belle, arXiv:1807.03267]



Mode	$\epsilon$ (%)	$N_{\text{sig}}$	$N_{\text{sig}}^{\text{UL}}$	$\mathcal{B}^{\text{UL}}$ ( $10^{-7}$ )
$B^0 \rightarrow K^{*0} \mu^+ e^-$	8.8	$-1.5^{+4.7}_{-4.1}$	5.2	1.2
$B^0 \rightarrow K^{*0} \mu^- e^+$	9.3	$0.40^{+4.8}_{-4.5}$	7.4	1.6
$B^0 \rightarrow K^{*0} \mu^\pm e^\mp$ (combined)	9.0	$-1.18^{+6.8}_{-6.2}$	8.0	1.8

$B(B^0 \rightarrow K^{*0} \mu^+ e^-) < 1.2 \times 10^{-7}$  at 90% CL

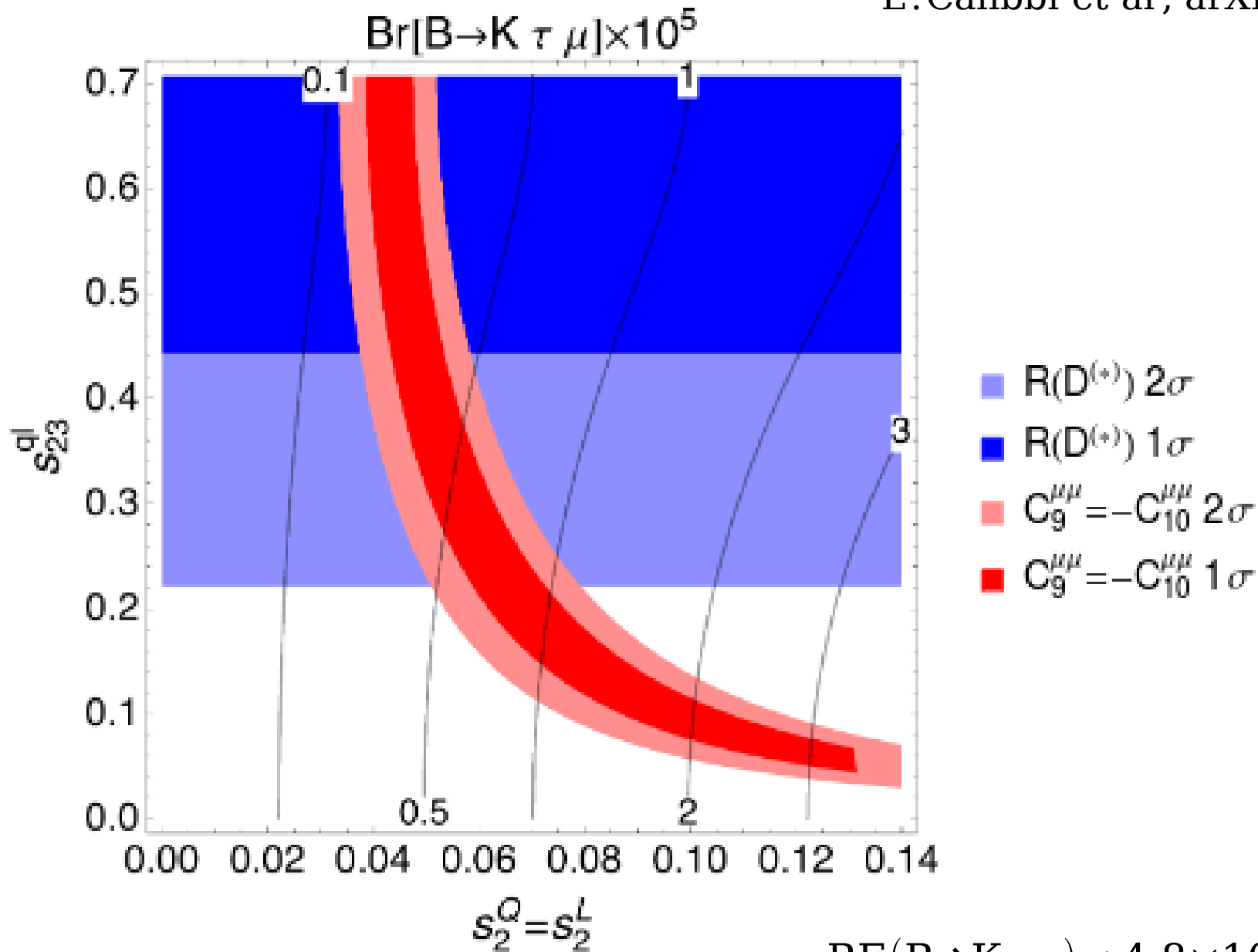


$B(B^0 \rightarrow K^{*0} \mu^+ e^-) < 1.6 \times 10^{-7}$  at 90% CL

Belle II can get 90% UL at  $10^{-8}$  level with  $50 \text{ ab}^{-1}$

# $R(D^*)$ and $b \rightarrow s \mu \mu \Rightarrow B \rightarrow K \tau \mu$

L. Calibbi et al, arXiv:1709.00692

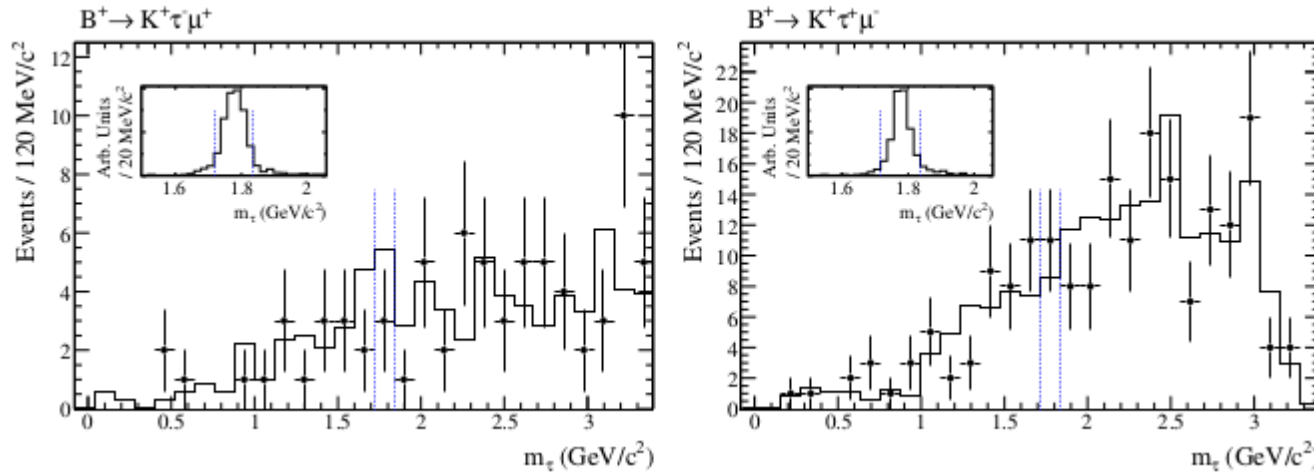


BF(B  $\rightarrow$  K  $\tau$   $\mu$ )  $< 4.8 \times 10^{-5}$  @ 90% CL  
 BaBar, arXiv:1204.2852  
 hadronic tag

# LFV $B \rightarrow K \tau l$ decays

[BaBar, arXiv:1204.2852]

strategy used: B fully reconstructed (had tag),  $\tau^+ \rightarrow l^+ \nu_l \nu_\tau$ ,  $(n \pi^0) \pi \nu$ , with  $n \geq 0$   
 using momenta of K, l and B, can fully determine the  $\tau$  four-momentum



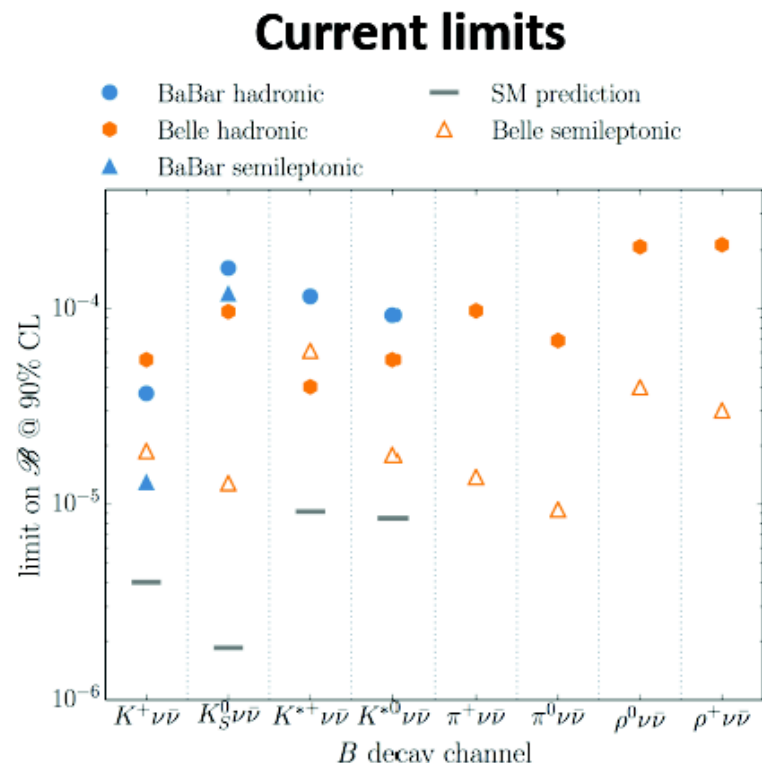
$B(B^+ \rightarrow K^+ \tau^- \mu^+) < 4.5 \times 10^{-5}$  at 90%CL,  $B(B^+ \rightarrow K^+ \tau^+ \mu^-) < 2.8 \times 10^{-5}$  at 90%CL  
 (also results for  $B \rightarrow K^+ \tau^\pm e^\mp$ ,  $B \rightarrow \pi^+ \tau^\pm \mu^\mp$ ,  $B \rightarrow \pi^+ \tau^\pm e^\mp$  modes)

[Belle II, arXiv:1808.10567]

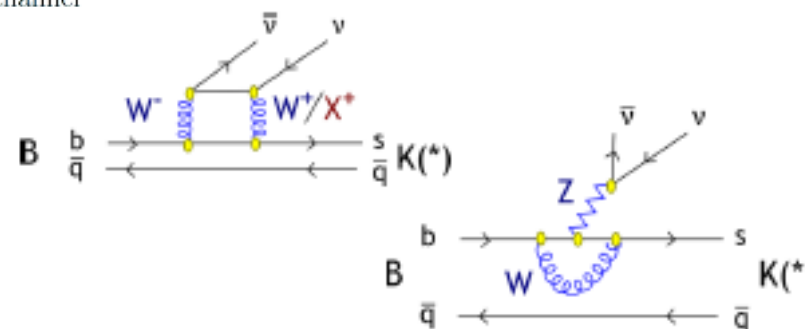
Observables	Belle $0.71 \text{ ab}^{-1}$ ( $0.12 \text{ ab}^{-1}$ )	Belle II $5 \text{ ab}^{-1}$	Belle II $50 \text{ ab}^{-1}$
$\text{Br}(B^+ \rightarrow K^+ \tau^\pm e^\mp) \cdot 10^6$	–	–	$< 2.1$
$\text{Br}(B^+ \rightarrow K^+ \tau^\pm \mu^\mp) \cdot 10^6$	–	–	$< 3.3$
$\text{Br}(B^0 \rightarrow \tau^\pm e^\mp) \cdot 10^5$	–	–	$< 1.6$
$\text{Br}(B^0 \rightarrow \tau^\pm \mu^\mp) \cdot 10^5$	–	–	$< 1.3$

# and more...

## $B \rightarrow K^{(*)} \nu \bar{\nu}$



- **Standard Model:**
  - Flavour changing neutral current prohibited at tree level
  - Measurement of  $B \rightarrow K^{(*)} \nu \bar{\nu}$  would allow high accuracy extraction of  $B \rightarrow K^{(*)}$  form factors
  - SM estimate of branching fraction known to ~10% uncertainty
- **New Physics:**
  - Contribution from NP may be similar in size to SM contributions, decreasing time required to make discovery.
  - **Light dark matter scenarios:**
    - $B \rightarrow K \nu \bar{\nu}$  is identical in the detector to  $B \rightarrow K + \text{invisible}$  searches for light dark matter
    - Increased  $B \rightarrow K \nu \bar{\nu}$  branching ratio may suggest a light dark matter component



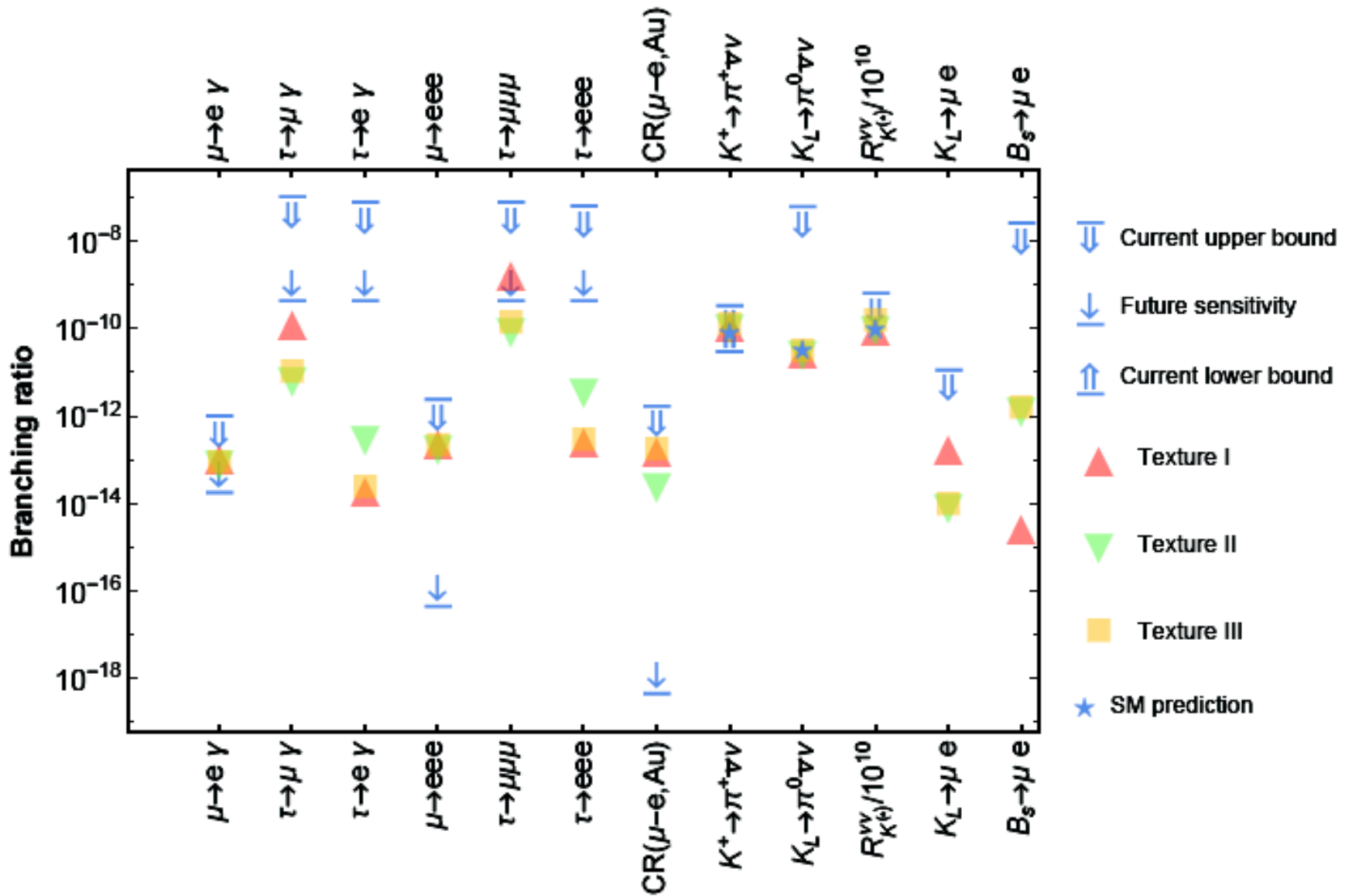
Projected precision on branching ratios at 50  $\text{ab}^{-1}$  Belle II data, with FEI hadronic tag

Mode	Stat. uncertainty	Total uncertainty
$B^+ \rightarrow K^+ \nu \bar{\nu}$	9.5%	10.7%
$B^+ \rightarrow K^{*+} \nu \bar{\nu}$	7.9%	9.3%
$B^+ \rightarrow K^{*0} \nu \bar{\nu}$	8.2%	9.6%

Standard model observations of these modes could be made with  $\sim 18 \text{ ab}^{-1}$

# more observables...

C.Hati et al, arXiv:1806.10146



A.Datta et al, arXiv:1609.09078: relevant modes are  $\tau \rightarrow 3\mu$ , and  $Y(3S) \rightarrow \mu\tau$



# cLFV: beyond the Standard Model

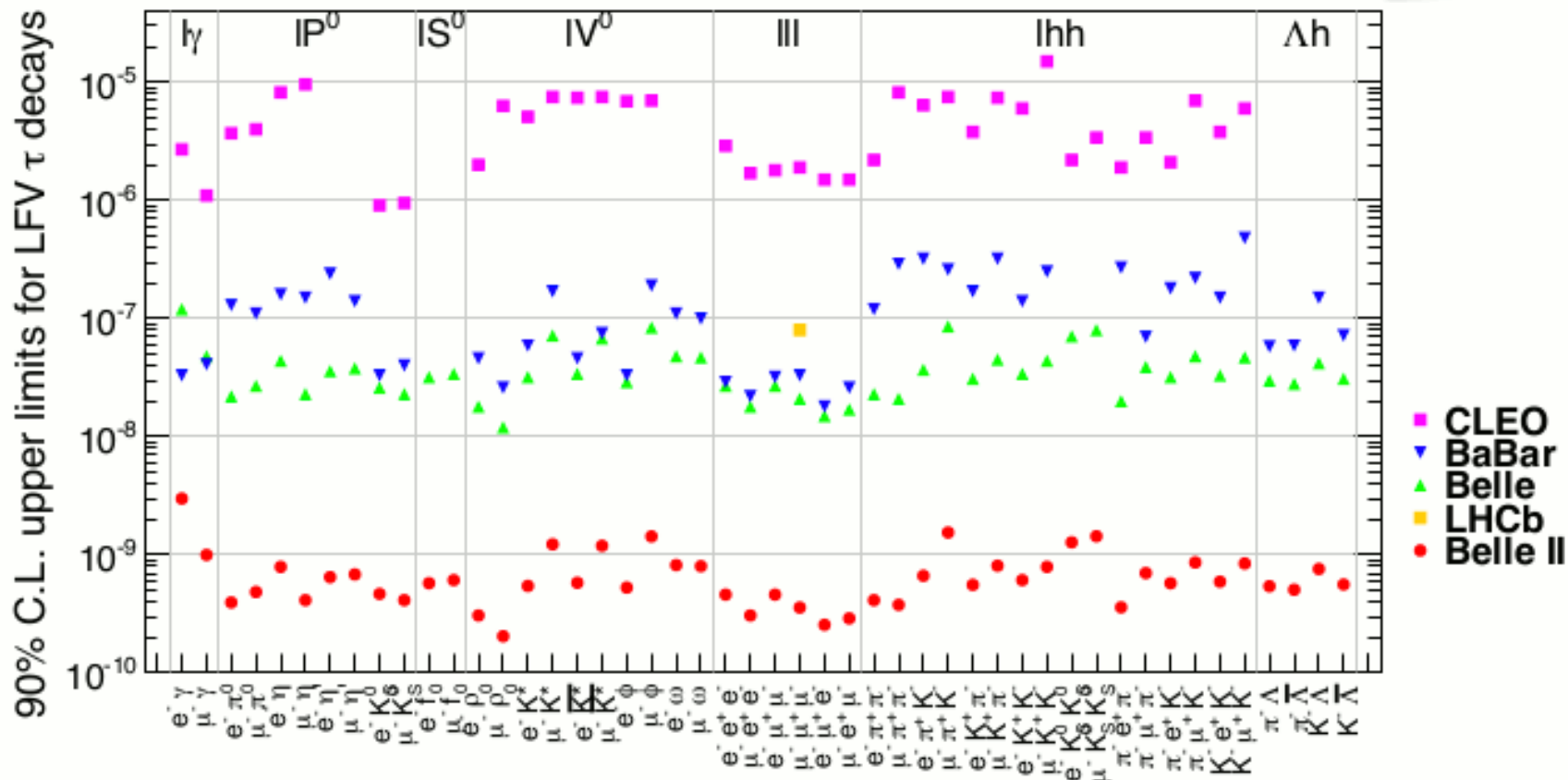
$$\mathcal{B}_{\nu SM}(\tau \rightarrow \mu\gamma) = \frac{3\alpha}{32\pi} \left| U_{\tau i}^* U_{\mu i} \frac{\Delta m_{3i}^2}{m_W^2} \right|^2 < 10^{-40}$$

$$\mathcal{L} = \mathcal{L}_{SM} + \frac{C^{(5)}}{\Lambda} O^{(5)} + \sum_i \frac{C_i^{(6)}}{\Lambda^2} O_i^{(6)} + \dots$$

Model	Reference	$\tau \rightarrow \mu\gamma$	$\tau \rightarrow \mu\mu\mu$
SM+ $\nu$ oscillations	EPJ C8 (1999) 513	$10^{-40}$	$10^{-14}$
SM+ heavy Maj $\nu_R$	PRD 66 (2002) 034008	$10^{-9}$	$10^{-10}$
Non-universal $Z'$	PLB 547 (2002) 252	$10^{-9}$	$10^{-8}$
SUSY SO(10)	PRD 68 (2003) 033012	$10^{-8}$	$10^{-10}$
mSUGRA+seesaw	PRD 66 (2002) 115013	$10^{-7}$	$10^{-9}$
SUSY Higgs	PLB 566 (2003) 217	$10^{-10}$	$10^{-7}$

	$\tau \rightarrow 3\mu$	$\tau \rightarrow \mu\gamma$	$\tau \rightarrow \mu\pi^+\pi^-$	$\tau \rightarrow \mu K\bar{K}$	$\tau \rightarrow \mu\pi$	$\tau \rightarrow \mu\eta^{(0)}$
4-lepton $\rightarrow O_{S,V}^{4\ell}$	✓	-	-	-	-	-
dipole $\rightarrow O_D$	✓	✓	✓	✓	-	-
lepton-gluon $\rightarrow O_{GG}^q$	-	-	✓ (I=1)	✓ (I=0,1)	-	-
	-	-	✓ (I=0)	✓ (I=0,1)	-	-
lepton-quark $\rightarrow O_{A,P,G\tilde{G}}^q$	-	-	-	-	✓ (I=1)	✓ (I=0)
	-	-	-	-	✓ (I=1)	✓ (I=0)
	-	-	-	-	-	✓

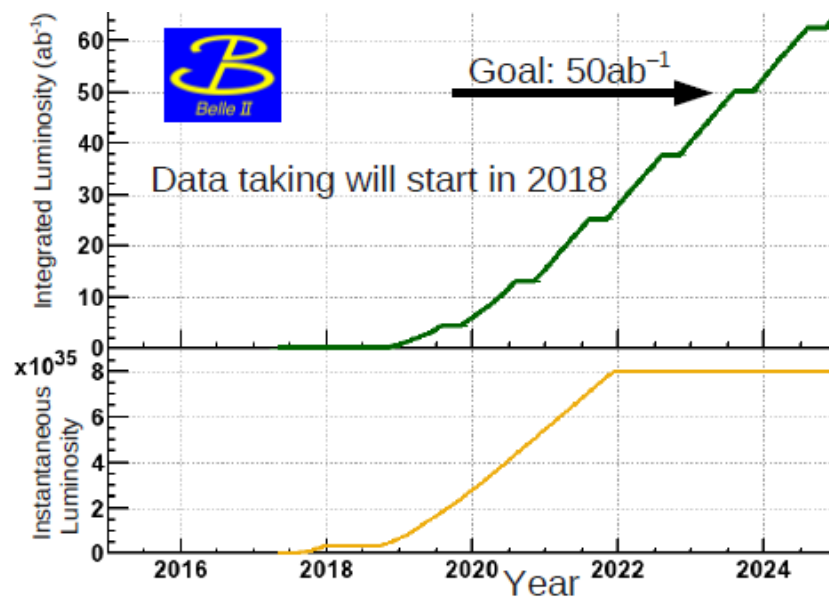
Celis, Cirigliano, Passemar (2014)



# Summary

- Tantalizing results on  $R_D, R_D^*, R_K, R_K^*$
- Belle II has a wide programme of LU tests based on similar ratios
- including B decays with tau in final states, as well as searches for LFV decays (including  $\tau, Y$  decays)
- Many improvements and new results to come..

LHC era			HL-LHC era	
Run 1 (2010-12)	Run 2 (2015-18)	Run 3 (2020-22)	Run 4 (2025-28)	Run 5+ (2030+)
3 fb <sup>-1</sup>	8 fb <sup>-1</sup>	23 fb <sup>-1</sup>	46 fb <sup>-1</sup>	100 fb <sup>-1</sup>



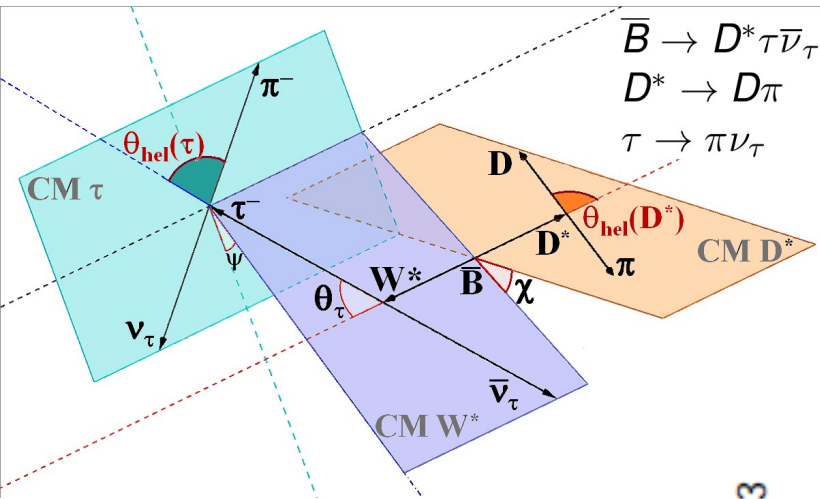


# $F_L^{D^*}$ for $B^0 \rightarrow D^* \tau \nu$

[Belle, preliminary]

Measure  $F_L^{D^*}$  from fit to  $\cos \theta_{\text{hel}}(D^*)$  distribution:

$$\frac{1}{\Gamma} \frac{d\Gamma}{d \cos \theta_{\text{hel}}(D^*)} = \frac{3}{4} [2F_L^{D^*} \cos^2(\theta_{\text{hel}}(D^*)) + (1 - F_L^{D^*}) \sin^2(\theta_{\text{hel}}(D^*))]$$



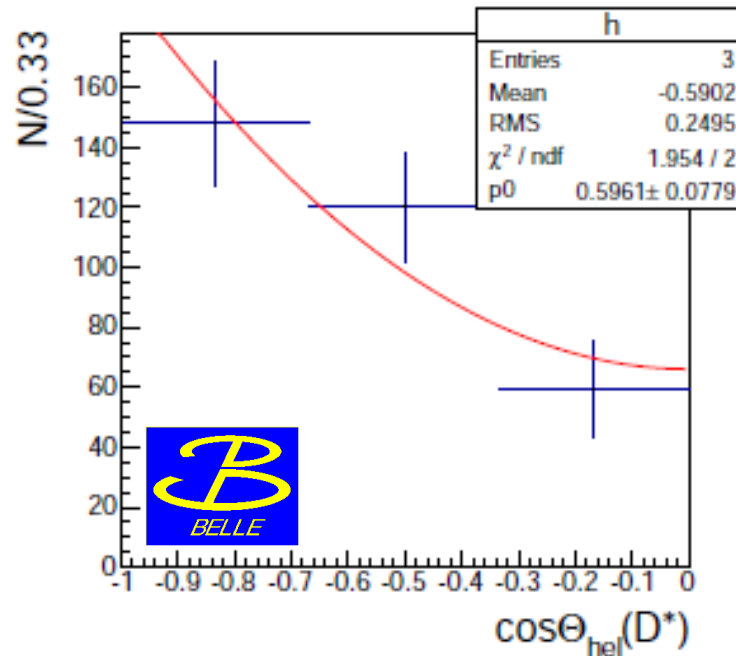
► Employ **inclusive**  $B_{\text{tag}}$  reconstruction method

► Select clean decay chains:

$$B^0 \rightarrow D^{*-} (\rightarrow \bar{D}^0 \pi^-) \tau^+ \nu;$$

$$D^0 \rightarrow K \pi, K \pi \pi^0, K \pi \pi \pi;$$

$$\tau \rightarrow e \nu \nu; \mu \nu \nu; \pi \nu$$



Number of events in:

I bin:  $151 \pm 21$

II bin:  $125 \pm 19$

III bin:  $55 \pm 15$

- signal yields corrected for acceptance variations

Dominant systematics:

- MC statistics (AR shape and peaking background)

=  $\pm 0.03$

$$F_L^{D^*} = 0.60 \pm 0.08(\text{stat.}) \pm 0.035(\text{syst.})$$

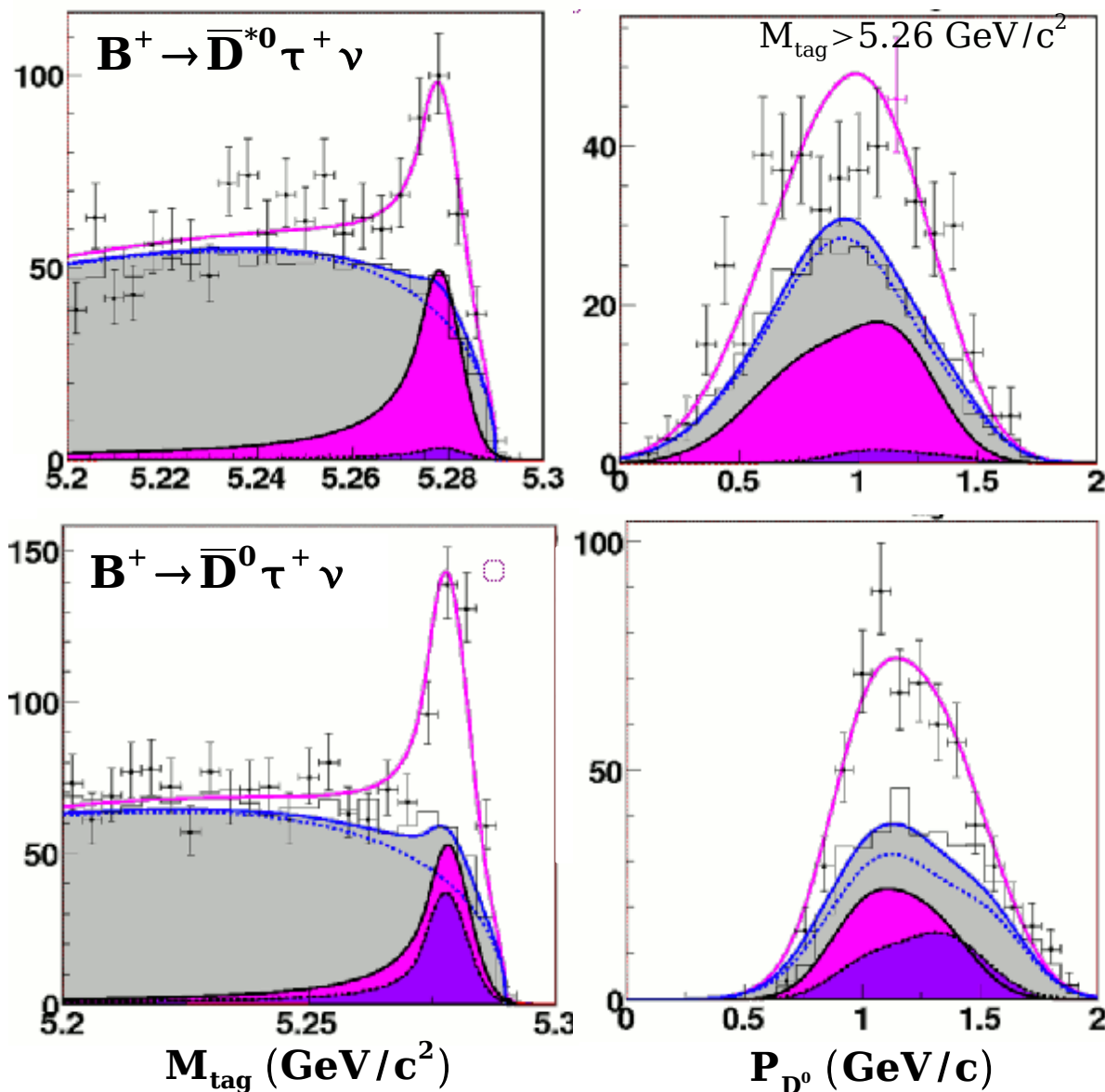
SM:  $F_L^{D^*} = 0.46 \pm 0.03$  (Phys. Rev. D 95, 115038 (2017), A.K. Alok, et al) ( $1.5 \sigma$ )

SM:  $F_L^{D^*} = 0.441 \pm 0.006$  (arXiv:1808.03565, Z-R. Huang, et al) ( $1.8 \sigma$ )

# $B^+ \rightarrow D^{(*)} \tau^+ \nu$

PRD 82, 072005 (2010)

arXiv:1005.2302



- 657M  $B\bar{B}$
- same method than for  $B^0 \rightarrow D^{*-} \tau^+ \nu$

$B_{sig}$ :

$D^0 \rightarrow K\pi, K\pi\pi^0$

$\tau^+ \rightarrow e^+ \nu_e \bar{\nu}_\tau, \mu^+ \nu_\mu \bar{\nu}_\tau, \pi^+ \bar{\nu}_\tau, \rho^+ \bar{\nu}_\tau$

13 different decay chains

$B_{tag}$ : all remaining particles

- signal combined
- $\bar{D}^{*0} \tau^+ \nu$
- $\bar{D}^0 \tau^+ \nu$
- background

**First  $B^+ \rightarrow \bar{D}^0 \tau^+ \nu$  evidence !**

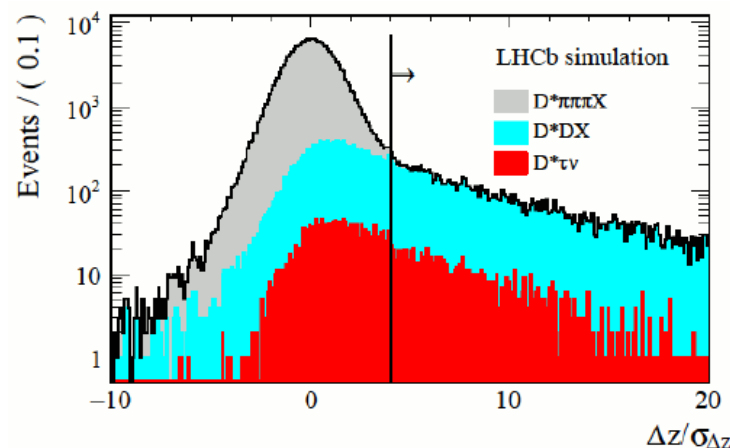
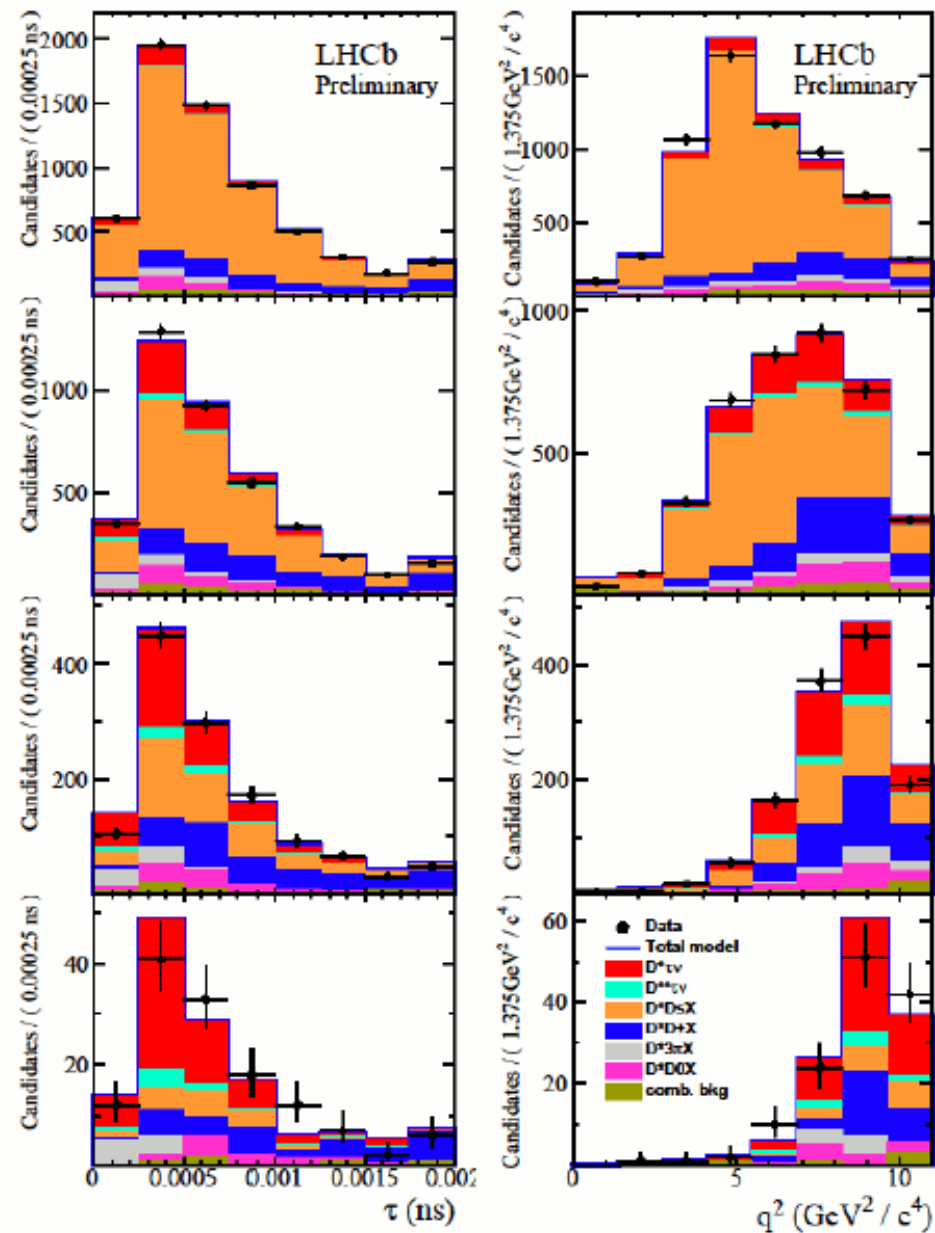
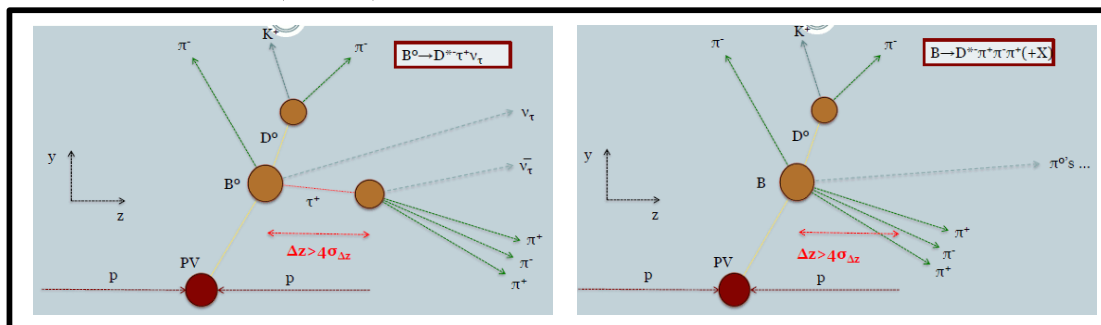
	$N_S$	$B(\%)$	$\Sigma(\sigma)$
$B^+ \rightarrow \bar{D}^{*0} \tau^+ \nu$	$446^{+58}_{-56}$ (226)	$2.12^{+0.28}_{-0.27} \pm 0.29$	8.1
$B^+ \rightarrow \bar{D}^0 \tau^+ \nu$	$146^{+42}_{-41}$ (15)	$0.77 \pm 0.22 \pm 0.12$	3.5

# $B \rightarrow D^{*+} \tau \nu$ at LHCb

$$\tau \rightarrow 3\pi(\pi^0)$$

[LHCb-PAPER-2017-017]

need a strong background suppression:  
 $B(B^0 \rightarrow D^* 3\pi + X) / B(B^0 \rightarrow D^* \tau \nu; \tau \rightarrow 3\pi)_{SM} \sim 100$   
 $\Rightarrow$  detached vertex method



components of 3D fit ( $q^2$ ,  $3\pi$  decay time, BDT):

$$\tau \rightarrow \pi^- \pi^+ \pi^- \nu_\tau, \pi^- \pi^+ \pi^- \pi^0 \nu_\tau$$

$$X_b \rightarrow D^{**} \tau \nu_\tau$$

$$B \rightarrow D D_{s(J)} X$$

$$X_b \rightarrow D D X$$

(relative) yields constrained from control samples

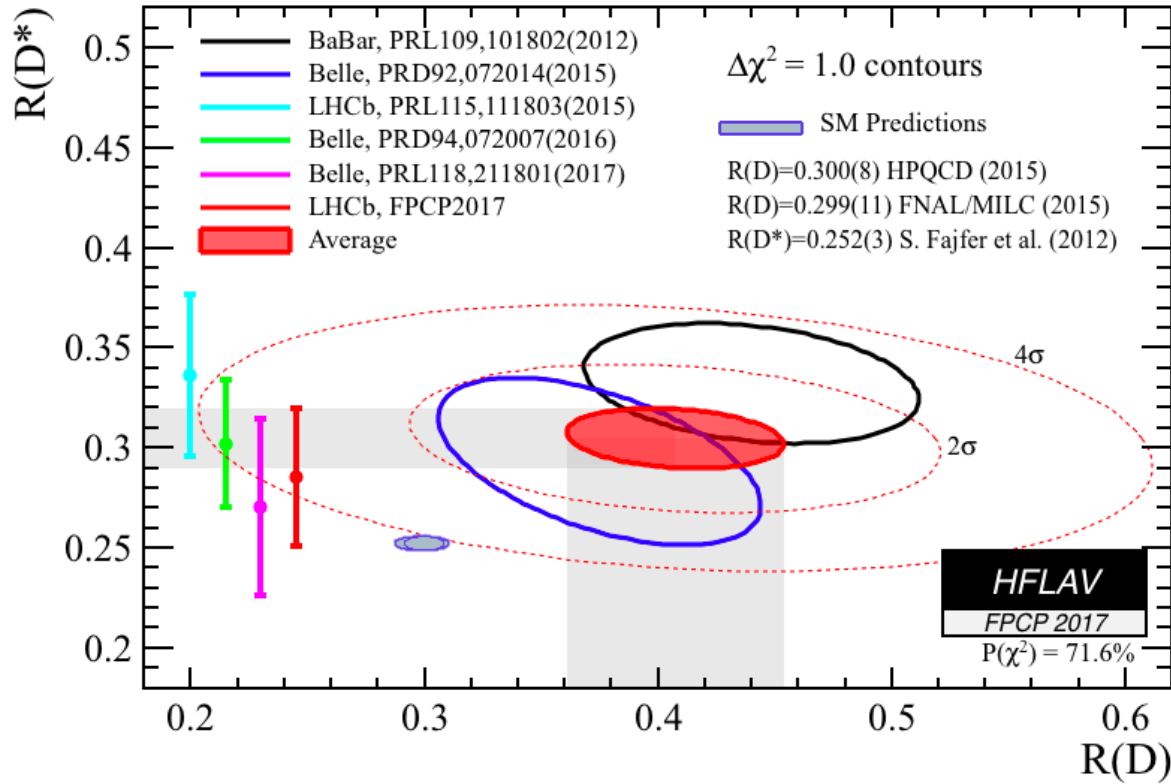
anti- $D_s$

$$B(B^0 \rightarrow D^* \tau \nu) / B(B^0 \rightarrow D^* 3\pi) = (1.93 \pm 0.13 \pm 0.17)$$

$$\Rightarrow R(D^*) = 0.285 \pm 0.019 \pm 0.025 \pm 0.014$$

$R(D), R(D^*)$  still at  $4\sigma$  away from SM

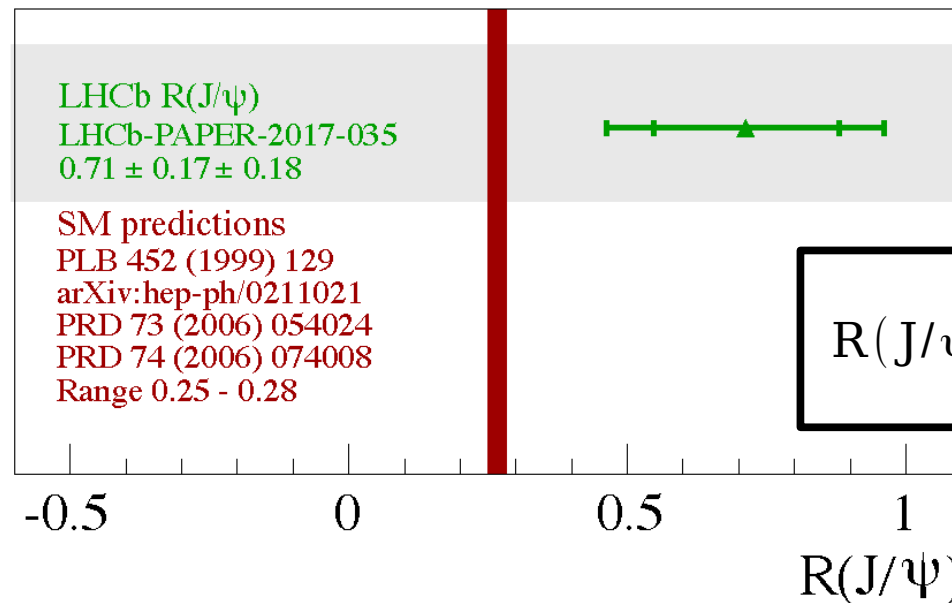
# $B \rightarrow D^{(*)} \tau \nu$



$$R(D^{(*)}) = \frac{\text{BF}(B \rightarrow D^{(*)} \tau \nu_\tau)}{\text{BF}(B \rightarrow D^{(*)} l \nu_l)}$$

$R(D) = 0.407 \pm 0.039 \pm 0.024$   
 $R(D^*) = 0.304 \pm 0.013 \pm 0.007$   
 difference with SM predictions  
 is at  $4.1\sigma$  level

# $B_c \rightarrow J/\psi \tau \nu$

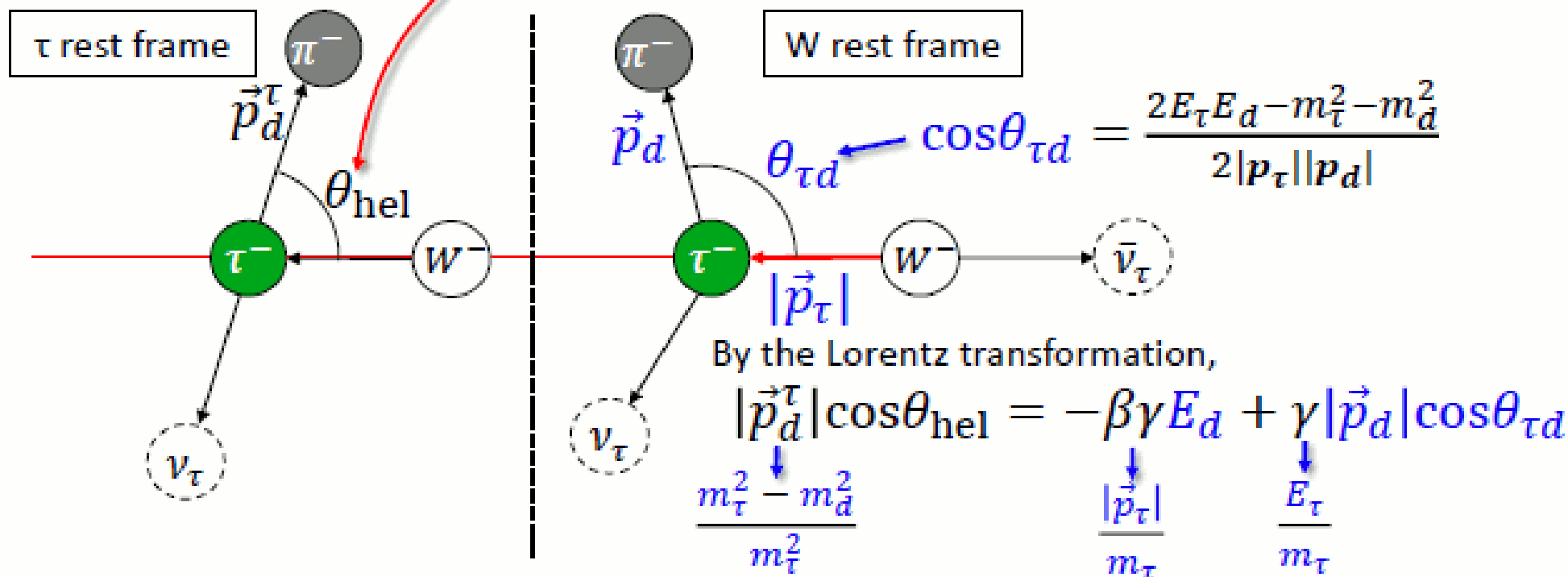


$$R(J/\psi) = \frac{\text{BF}(B_c \rightarrow J/\psi \tau \nu_\tau)}{\text{BF}(B_c \rightarrow J/\psi l \nu_l)}$$

# ■ $P_\tau(D^*)$ Measurement Method

$$\frac{1}{\Gamma} \frac{d\Gamma}{d\cos\theta_{\text{hel}}} = \frac{1}{2} (1 + \alpha P_\tau(D^*) \cos\theta_{\text{hel}})$$

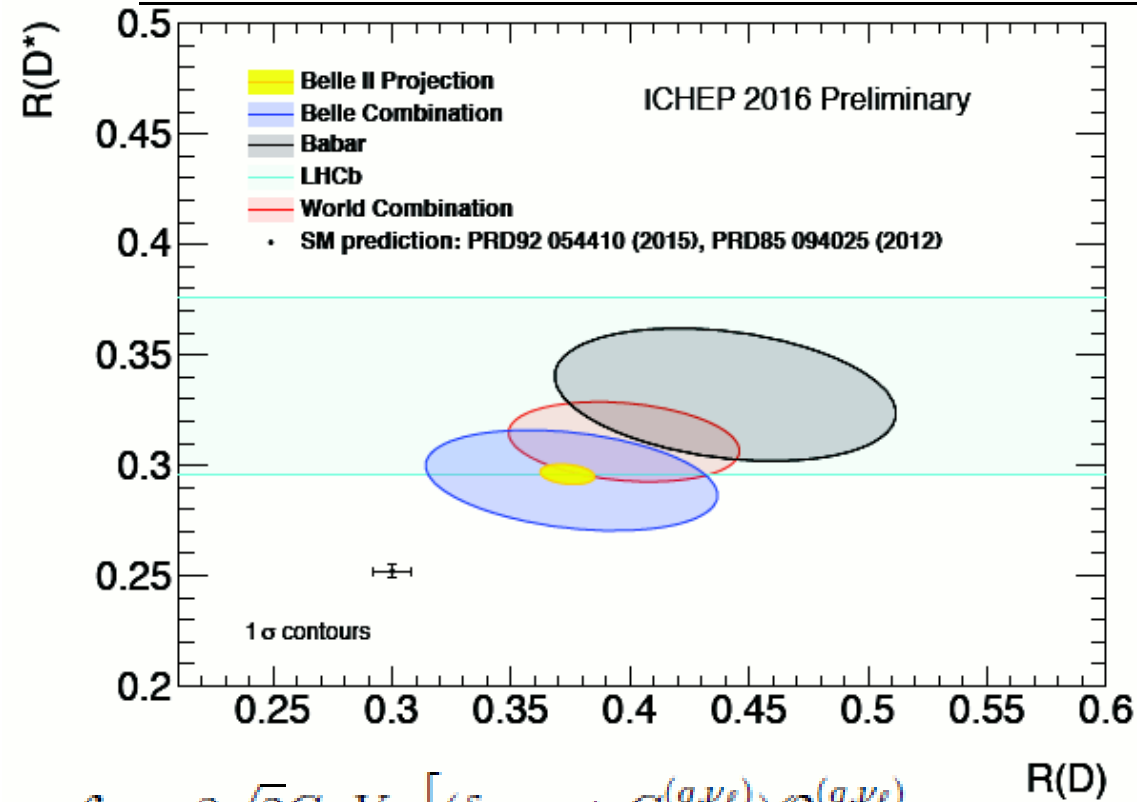
$$\alpha = \begin{cases} 1 & \text{for } \tau^- \rightarrow \pi^- \nu_\tau \\ \sim 0.45 & \text{for } \tau^- \rightarrow \rho^- \nu_\tau \end{cases}$$



Solving the equation,  $\cos\theta_{\text{hel}}$  is obtained



# $B \rightarrow D^{(*)} \tau \nu$ and other observables

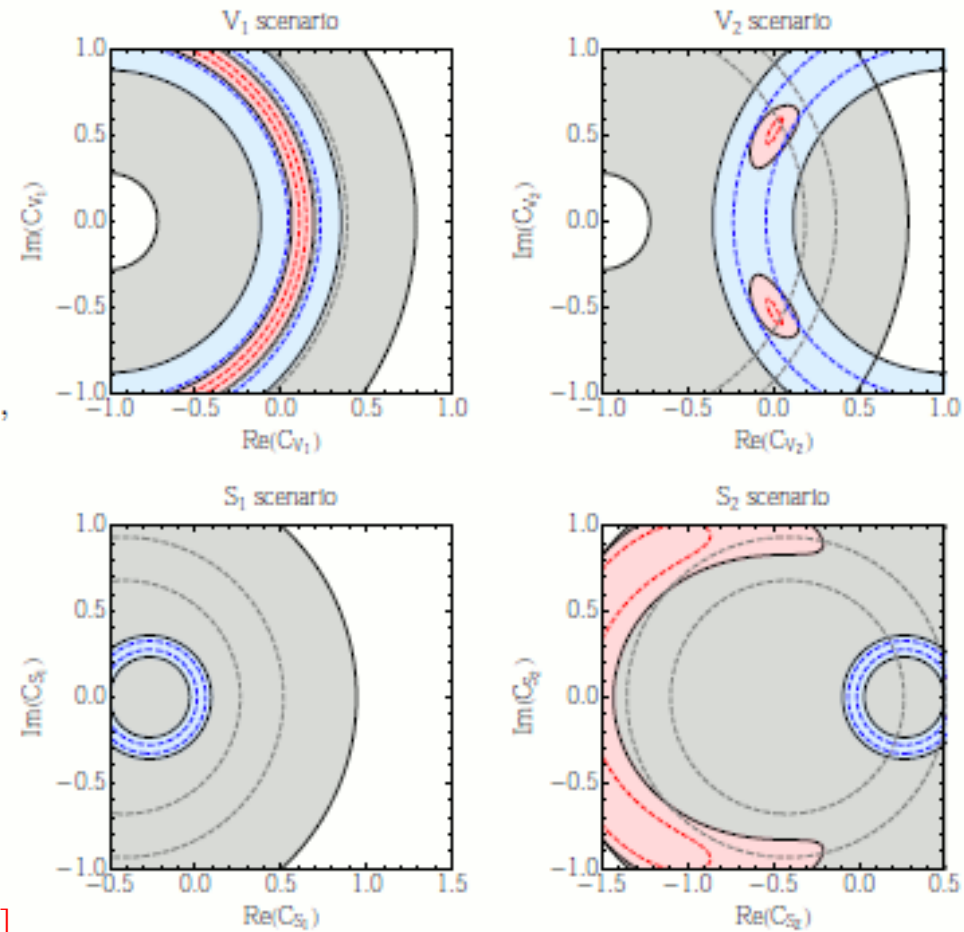


$$R(D^{(*)}) = \frac{B(B \rightarrow D^{(*)} \tau \nu)}{B(B \rightarrow D^{(*)} l \nu)}, \text{ in red}$$

$$R_{ps} = \frac{\tau_{B^0}}{\tau_B} \frac{B(B \rightarrow \tau \nu)}{B(B \rightarrow \pi^+ l \nu)}, \text{ in blue}$$

$$R(\pi) = \frac{B(B \rightarrow \pi \tau \nu)}{B(B \rightarrow \pi l \nu)}, \text{ in grey}$$

Dashed: Belle II



$$-\mathcal{L}_{\text{eff}} = 2\sqrt{2}G_F V_{qb} \left[ (\delta_{\nu\tau, \nu\ell} + C_{V_1}^{(q, \nu\ell)}) \mathcal{O}_{V_1}^{(q, \nu\ell)} + \sum_{X=V_2, S_1, S_2, T} C_X^{(q, \nu\ell)} \mathcal{O}_X^{(q, \nu\ell)} \right],$$

where the four-Fermi operators:

$$\mathcal{O}_{V_1}^{(q, \nu\ell)} = (\bar{q} \gamma^\mu P_L b) (\bar{\tau} \gamma_\mu P_L \nu_\ell),$$

$$\mathcal{O}_{V_2}^{(q, \nu\ell)} = (\bar{q} \gamma^\mu P_R b) (\bar{\tau} \gamma_\mu P_L \nu_\ell),$$

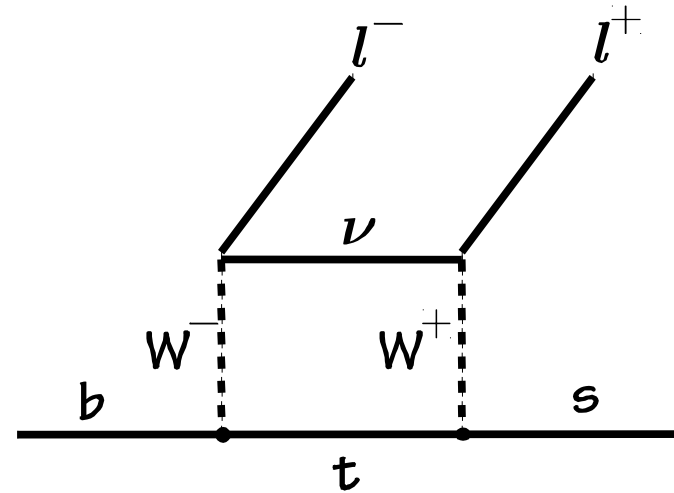
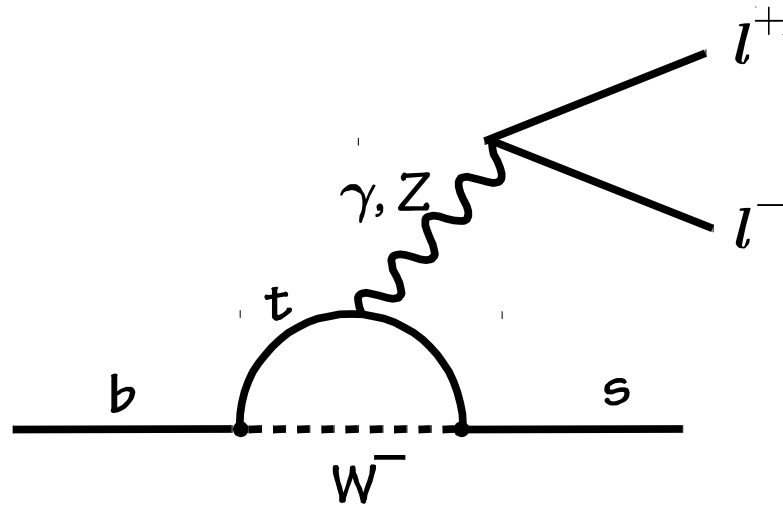
$$\mathcal{O}_{S_1}^{(q, \nu\ell)} = (\bar{q} P_R b) (\bar{\tau} P_L \nu_\ell),$$

$$\mathcal{O}_{S_2}^{(q, \nu\ell)} = (\bar{q} P_L b) (\bar{\tau} P_L \nu_\ell),$$

$$\mathcal{O}_T^{(q, \nu\ell)} = (\bar{q} \sigma^{\mu\nu} P_L b) (\bar{\tau} \sigma_{\mu\nu} P_L \nu_\ell)$$

[Details in Watanabe et al, B2 TiP theory]

# $b \rightarrow s l^+ l^-$



⇒ 2 orders of magnitude smaller than  $b \rightarrow s \gamma$  but rich NP search potential

Amplitudes from

- electromagnetic penguin:  $C_7$
- vector electroweak:  $C_9$
- axial-vector electroweak:  $C_{10}$

may interfere w/ contributions from NP

Many observables:

- Branching fractions
- Isospin asymmetry ( $A_I$ ), Lepton forward-backward asymmetry ( $A_{FB}$ ), CP asymmetry ...
- and much more...

⇒ Exclusive ( $B \rightarrow K^{(*)} l^+ l^-$ ), Inclusive ( $B \rightarrow X_s l^+ l^-$ )

# Lepton flavor universality (LFU)

How do the SM gauge bosons couple to **charged leptons of different flavors**?

## Universality in neutral current interactions

$$U^\dagger U = V^\dagger V = \mathbb{I}_{3 \times 3} \Rightarrow \mathcal{L}_{\text{nc}}^\ell \equiv \left( \bar{e} \gamma_\mu \hat{e} + \bar{\mu} \gamma_\mu \hat{\mu} + \bar{\tau} \gamma_\mu \hat{\tau} \right) (g_\gamma A^\mu + g_Z Z^\mu)$$

The photon and Z-boson couple  
with the same strength to the three lepton families

**Universality**

How do we test this **feature of the Standard Model**?

$$R_Y = \frac{\text{BR}(X \rightarrow Y e_i^+ e_i^-)}{\text{BR}(X \rightarrow Y e_j^+ e_j^-)} \quad i \neq j$$

SM expectation

Experimental results

$$R_Y = 1 + \mathcal{O}\left(\frac{m_{i,j}^n}{m_X^n}\right)$$

**We'll see...**

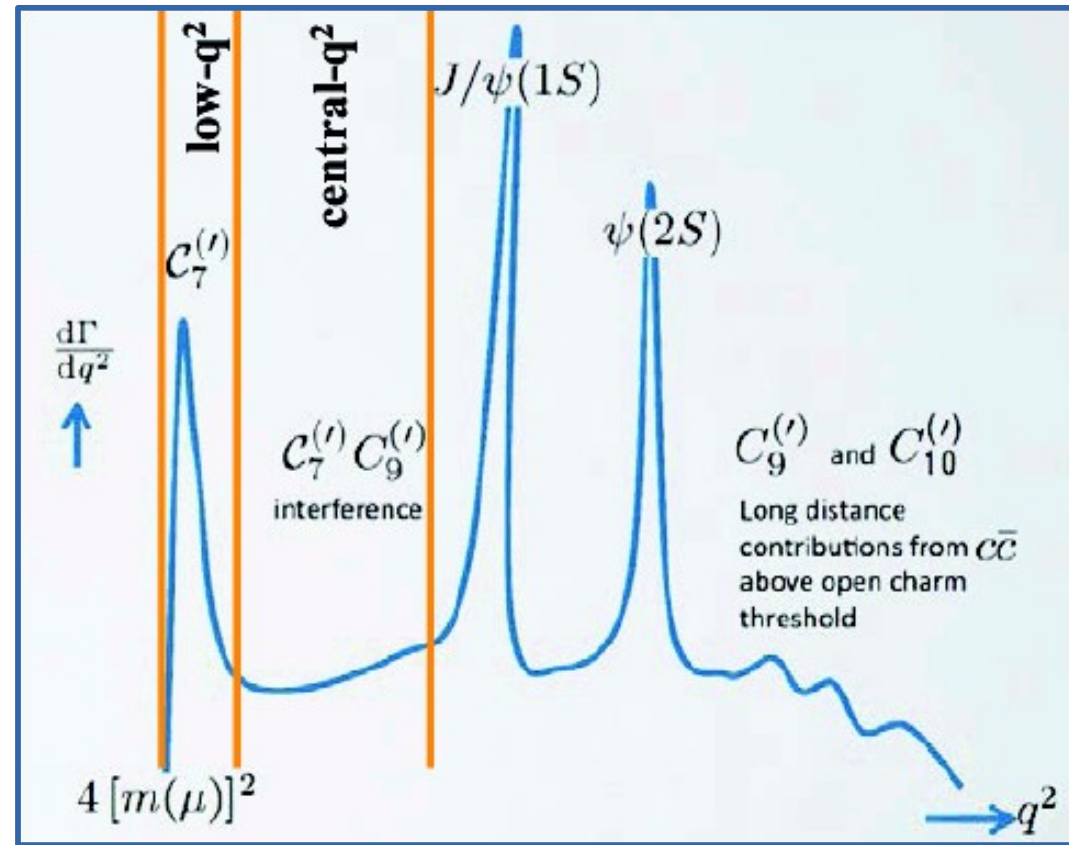
# Test of LFU with $B \rightarrow K^{*0} \mu \mu$ and $B \rightarrow K^{*0} e e$ , $R_{K^{*0}}$

Two regions of  $q^2$

- Low [0.045-1.1]  $\text{GeV}^2/c^4$
- Central [1.1-6.0]  $\text{GeV}^2/c^4$

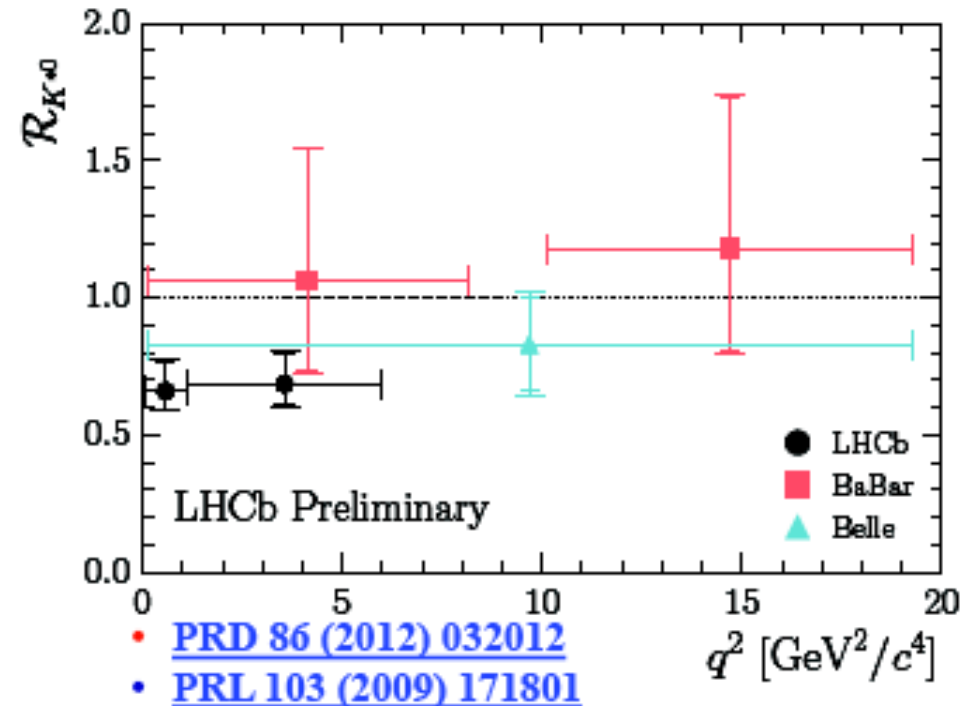
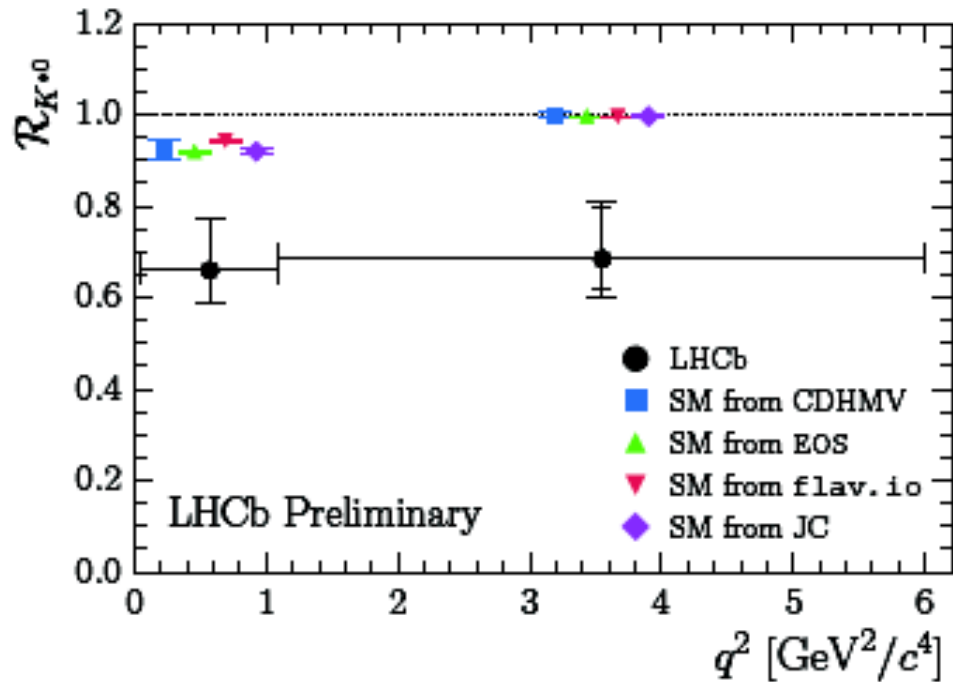
Different  $q^2$  regions probe different processes in the OPE framework  
short distance contributions described by Wilson coefficients

$$\mathcal{H}_{eff} = \frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \frac{\alpha_e}{4\pi} \sum [C_i \mathcal{O}_i + C'_i \mathcal{O}'_i]$$



- Measured relative to  $B^0 \rightarrow K^{*0} J/\psi(\ell\ell)$  in order to reduce systematics
- Challenging :
  - due to significant differences in the way  $\mu$  and  $e$  interact with detector
  - Bremsstrahlung
  - Trigger

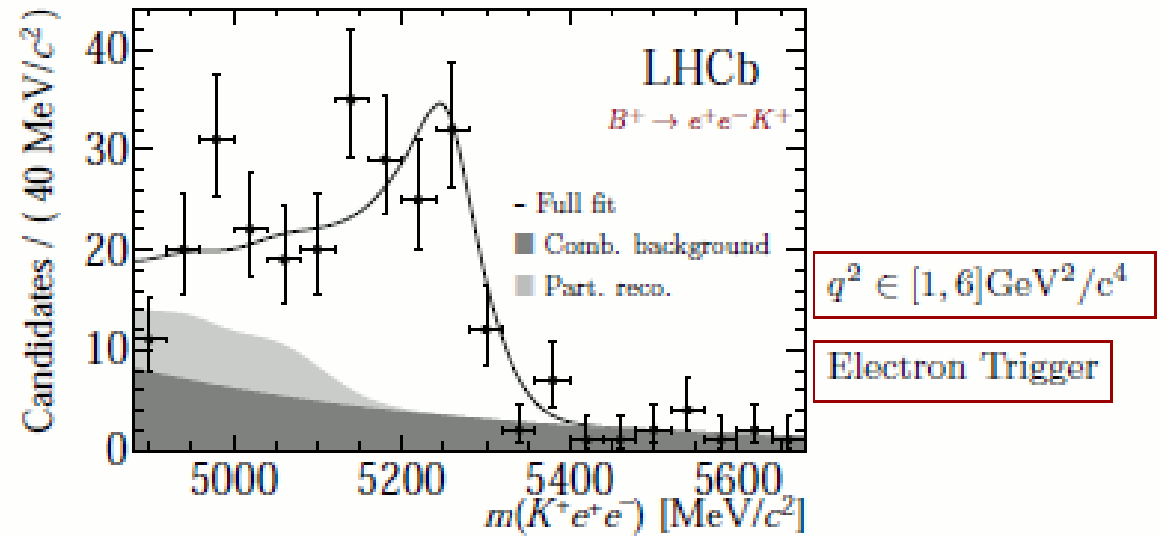
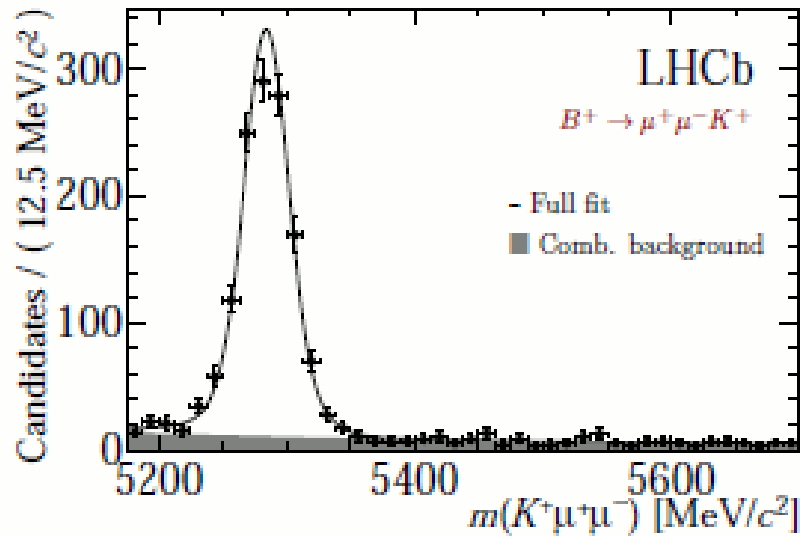
# Results



- The compatibility of the result in the **low- $q^2$**  with respect to the SM prediction(s) is of **2.2-2.4** standard deviations
- The compatibility of the result in the **central- $q^2$**  with respect to the SM prediction(s) is of **2.4-2.5** standard deviations

# Test of lepton universality using $B^+ \rightarrow K^+ l^+ l^-$ decays

[arXiv:1406.6482]



$R_K$ : ratio of branching fractions for dilepton invariant mass squared range  $1 < q^2 < 6 \text{ GeV}^2/c^4$

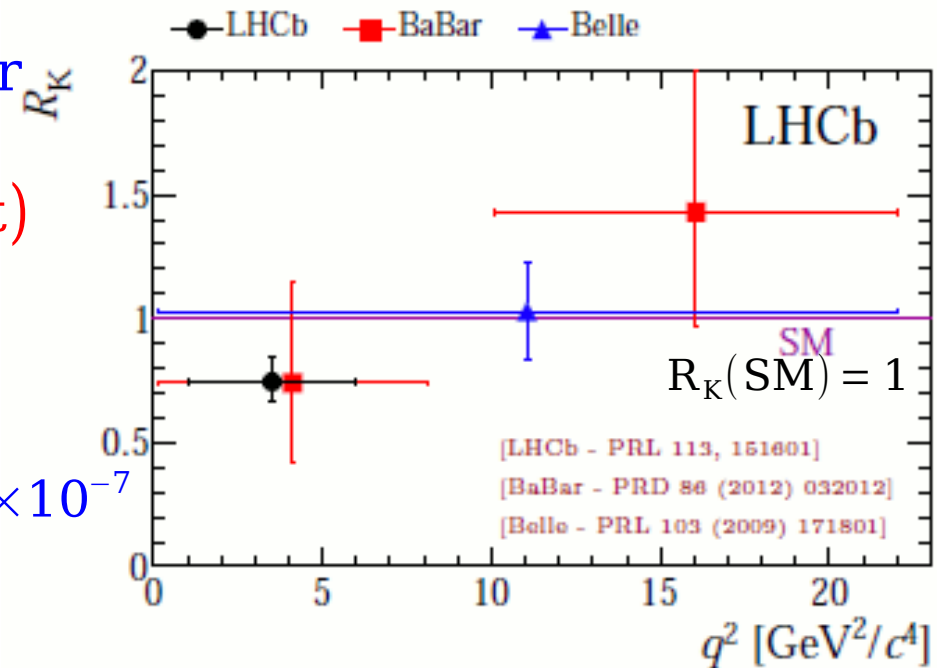
- The combination of the various trigger channels gives:

$$R_K = 0.745^{+0.090}_{-0.074}(\text{stat}) \pm 0.036(\text{syst})$$

- Most precise measurement to date, disagreement with SM at  $2.6\sigma$  level

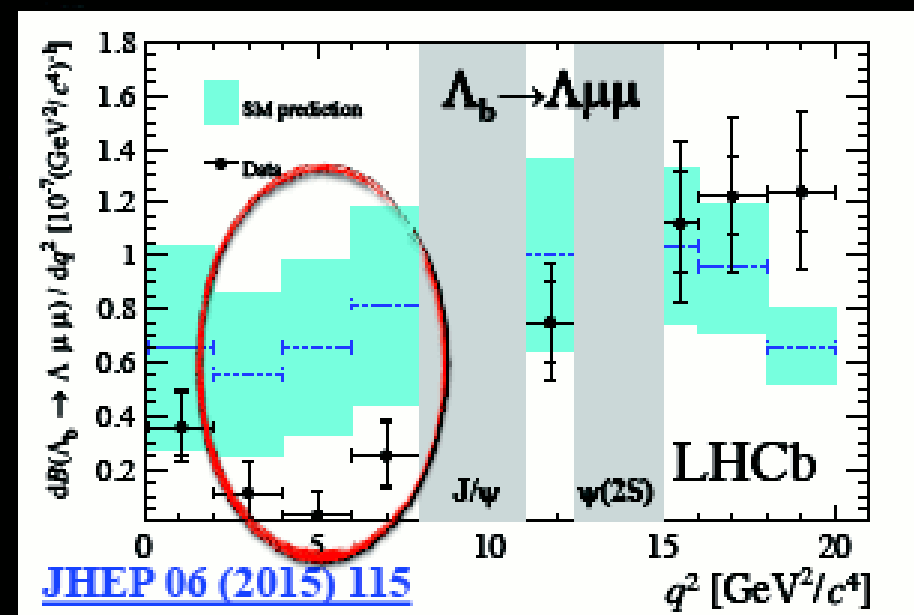
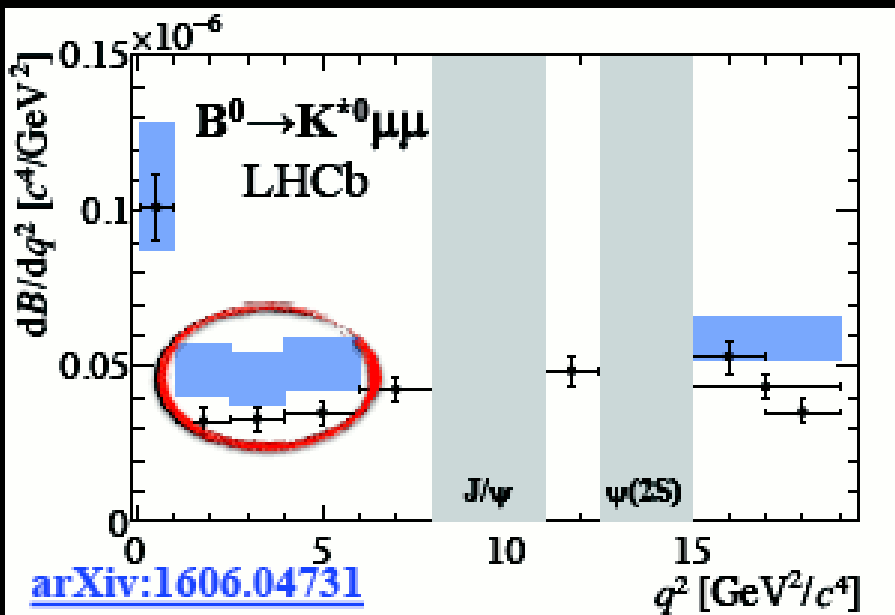
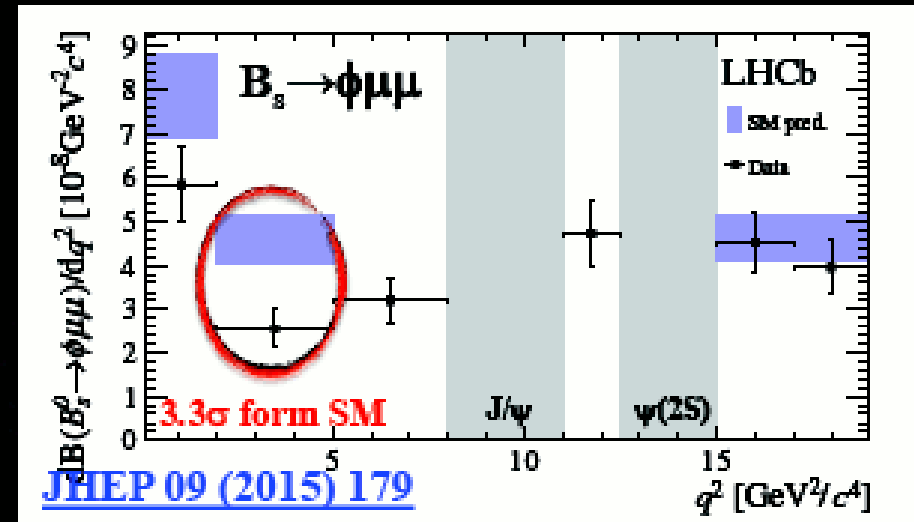
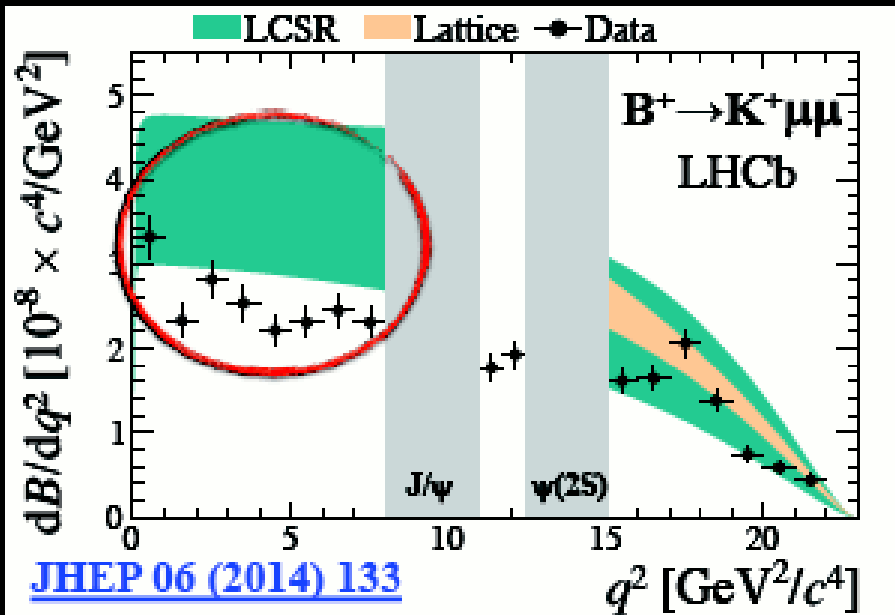
$\Rightarrow B(B^+ \rightarrow e^+ e^- K^+) = (1.56^{+0.19}_{-0.15}(\text{stat})^{+0.06}_{-0.05}(\text{syst})) \times 10^{-7}$   
compatible with SM predictions

**BSM LFNU and effect is in  $\mu\mu$ , not  $ee$**



# Differential Branching Fractions

Results consistently lower than SM predictions





## Should we believe LFU violation?

### Yes

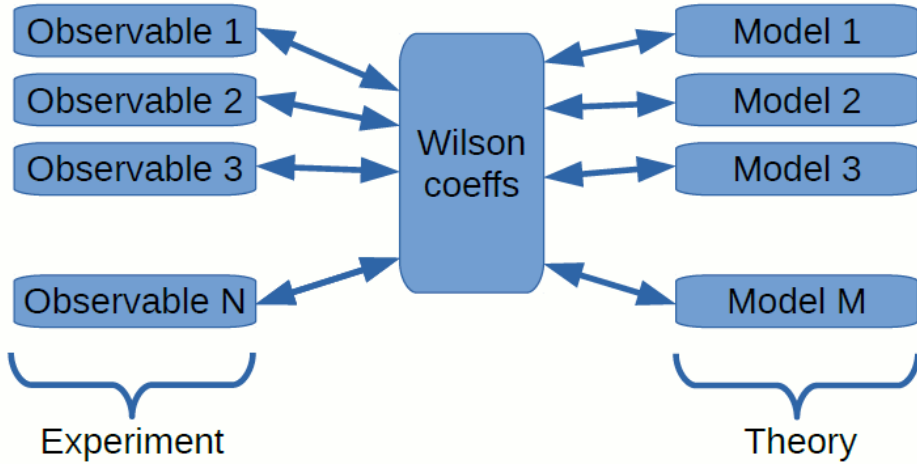
- R measurements are double ratio's to  $J/\psi$ , check with  $K^* J/\psi \rightarrow e^+ e^- / \mu^+ \mu^- = 1.043 \pm 0.006 \pm 0.045$
- $\mathcal{B}(B^- \rightarrow K^- e^+ e^-)$  agrees with SM prediction, puts onus on muon mode which is well measured and low
- Both  $R_K$  &  $R_{K^*}$  are different than  $\sim 1$
- Supporting evidence of effects in angular distributions

### No, not yet

- **Statistics are marginal in each measurement**
- Need confirming evidence in other experiments for  $R_K$  &  $R_{K^*}$
- Disturbing that  $R_{K^*}$  is not  $\sim 1$  in lowest  $q^2$ , which it should be, because of the photon pole
- Angular distribution evidence is also statistically weak



# Sensitivity to new physics in rare B decays



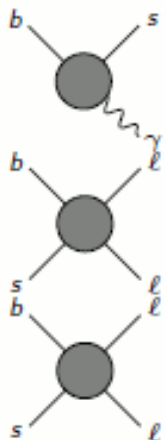
M. Ciuchini et al, arXiv:1512.07157  
 T. Hurth et al, arXiv:1603.00865  
 S. Descotes-Genon et al, arXiv:1510.04239...

NP changes short-distance  $C_i$   
 and/or add new long-distance ops  $O'_i$

- Model-independent description in effective field theory

$$\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \sum_i \underbrace{C_i}_{\text{Left-handed}} \underbrace{O_i}_{\text{Right-handed}} + \underbrace{C'_i}_{\text{Right-handed, } \frac{m_s}{m_b} \text{ suppressed}} \underbrace{O'_i}_{\text{Right-handed}}$$

- Wilson coefficients  $C_i^{(\prime)}$  encode short-distance physics,  $O_i^{(\prime)}$  corr. operators



$O_7^{(\prime)}$  photon penguin

$O_9^{(\prime)}$  vector coupling

$O_{10}^{(\prime)}$  axialvector coupling

$O_{S,P}^{(\prime)}$  (pseudo)scalar penguin

$b \rightarrow s\gamma$      $B \rightarrow \mu\mu$      $b \rightarrow sll$

✓

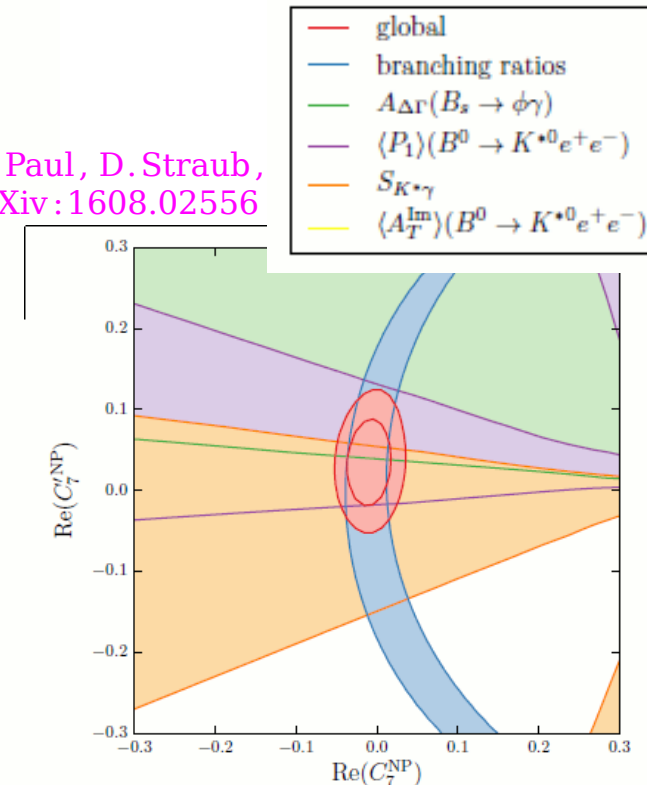
✓

✓

✓

✓

A. Paul, D. Straub,  
 arXiv:1608.02556



# Lepton flavor universality in the Standard Model

## Fermion masses

In the SM, fermions get their masses via **Yukawa couplings** with the Higgs doublet  $\Phi$

For example, for the **leptons**:

$$\begin{aligned}\mathcal{L}_Y^\ell &= Y_e \bar{\ell}_L \Phi e_R + \text{h.c.} = \frac{1}{\sqrt{2}} (v + h) Y_e \begin{pmatrix} \bar{\nu} & \bar{e} \end{pmatrix}_L \begin{pmatrix} 0 \\ 1 \end{pmatrix} e_R + \text{h.c.} \\ &= \mathcal{M}_e \bar{e}_L e_R + \frac{\mathcal{M}_e}{v} h \bar{e}_L e_R + \text{h.c.}\end{aligned}$$

where

$$\mathcal{M}_e = \frac{v}{\sqrt{2}} Y_e \quad \text{3x3 charged lepton mass matrix}$$

Similarly, one obtains

$$\mathcal{L}_m^F = \mathcal{M}_e \bar{e}_L e_R + \mathcal{M}_u \bar{u}_L u_R + \mathcal{M}_d \bar{d}_L d_R + \text{h.c.} \quad \mathcal{M}_f = \frac{v}{\sqrt{2}} Y_f$$

$f = e, u, d$

# Fermion masses

- It is remarkable that the same mechanism that gives mass to the **gauge bosons** (SSB), also gives a mass to the **fermions**
- **Neutrinos** do not get a mass. This can be traced back to the absence of **right-handed neutrinos**.
- In general, these mass matrices are not diagonal: they must be diagonalized to get the **mass eigenstates and eigenvalues**

## Biunitary transformations

$$\begin{array}{ccc} f_L = U_f \hat{f}_L & & \\ f_R = V_f \hat{f}_R & \implies & \\ \uparrow & & \uparrow \\ \text{gauge} & & \text{mass} \\ \text{eigenstates} & & \text{eigenstates} \end{array}$$

$$\hat{\mathcal{M}}_f = U_f^\dagger \mathcal{M}_f V_f$$

For example, for the **charged leptons**:

$$\hat{\mathcal{M}}_e = U_e^\dagger \mathcal{M}_e V_e = \text{diag}(m_e, m_\mu, m_\tau)$$

# The electroweak currents

In order to find the **fermionic currents** we must expand the fermion kinetic Lagrangian:

$$\begin{aligned}\mathcal{L}_{\text{kin}} &\supset \bar{\ell}_L \left( g \frac{\vec{\tau}}{2} \vec{W}_\mu - \frac{g'}{2} B_\mu \right) \gamma^\mu \ell_L + \bar{q}_L \left( g \frac{\vec{\tau}}{2} \vec{W}_\mu + \frac{g'}{6} B_\mu \right) \gamma^\mu q_L \\ &\quad - \bar{e}_R g' B_\mu \gamma^\mu e_R + \bar{u}_R \frac{2}{3} g' B_\mu \gamma^\mu u_R - \bar{d}_R \frac{1}{3} g' B_\mu \gamma^\mu d_R \\ &= \underbrace{g J_\mu^1 W^{1\mu} + g J_\mu^2 W^{2\mu}}_{\text{Charged current}} + \underbrace{g J_\mu^3 W^{3\mu} + g' J_\mu^Y B^\mu}_{\text{Neutral current}}\end{aligned}$$

# The neutral current

$$\mathcal{L}_{\text{nc}} = gJ_\mu^3 W^{3\mu} + g' J_\mu^Y B^\mu$$

$$\begin{cases} J_\mu^3 = \frac{1}{2} (\bar{\nu}_L \gamma_\mu \nu_L - \bar{e}_L \gamma_\mu e_L + \bar{u}_L \gamma_\mu u_L - \bar{d}_L \gamma_\mu d_L) \\ J_\mu^Y = \frac{1}{2} (-3\bar{\nu}_L \gamma_\mu \nu_L - 3\bar{e}_L \gamma_\mu e_L + \bar{u}_L \gamma_\mu u_L + \bar{d}_L \gamma_\mu d_L \\ \quad - 6\bar{e}_R \gamma_\mu e_R + 4\bar{u}_R \gamma_\mu u_R - 2\bar{d}_R \gamma_\mu d_R) \end{cases}$$

After some basic algebra:

$$\mathcal{L}_{\text{nc}} = e J_\mu^{\text{em}} A^\mu + \frac{g}{\cos \theta_W} (J_\mu^3 - \sin^2 \theta_W J_\mu^{\text{em}}) Z^\mu$$

with  $J_\mu^{\text{em}} = J_\mu^3 + J_\mu^Y = \sum_f q_f \bar{f} \gamma_\mu f$

$$e = g \sin \theta_W = g' \cos \theta_W$$

An observation about the neutral current:

$$U^\dagger U = V^\dagger V = \mathbb{I}_{3 \times 3} \Rightarrow \bar{f}_X \gamma_\mu f_X = \widehat{\bar{f}}_X \gamma_\mu \widehat{f}_X$$

(X = L or R)

The neutral currents are **diagonal (and universal) in flavor space**

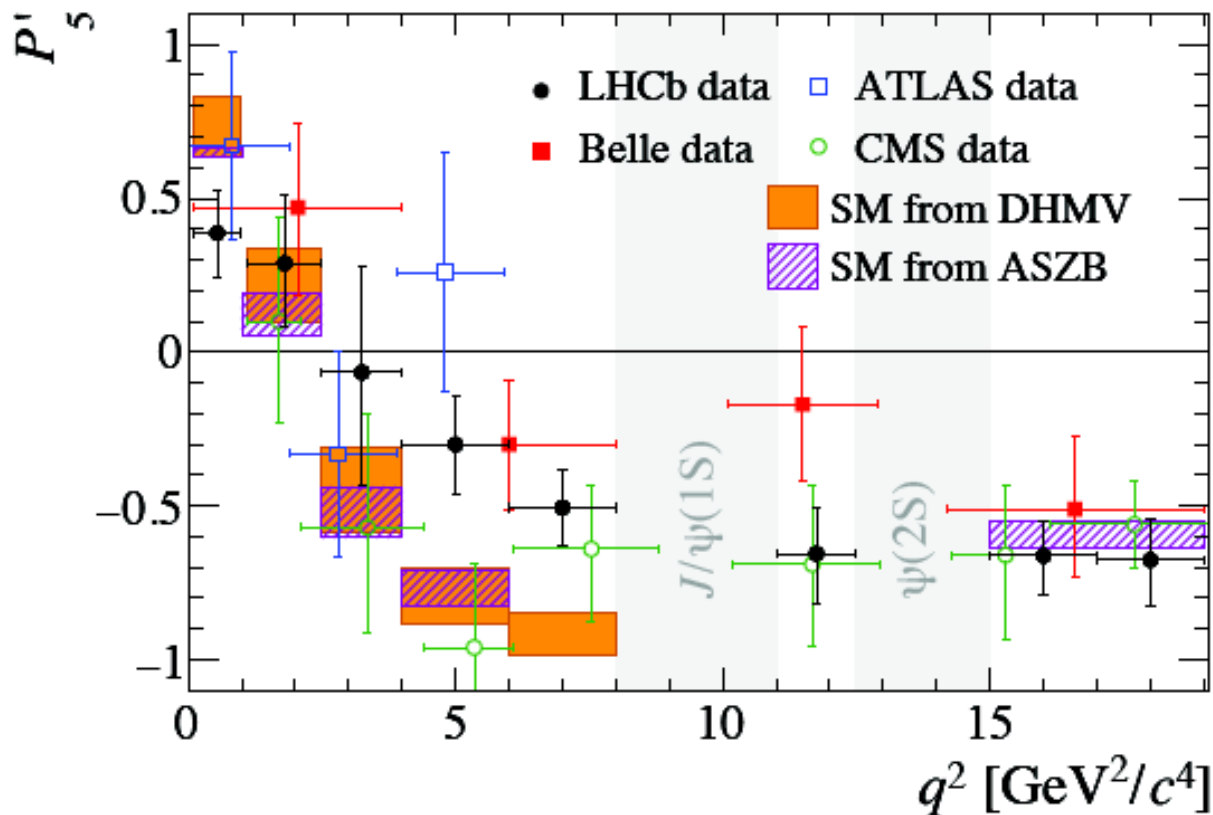
There are **no flavor changing neutral currents (FCNC) at tree-level**

$$Z \not\rightarrow \bar{u}c \quad \text{in contrast to} \quad W \rightarrow \bar{s}u$$

Fundamentally this is caused by the fact that **fermion families are exact replicas**. This was the original motivation that led **Glashow, Iliopoulos and Maiani (GIM)** to postulate the existence of the **charm quark**.

# Angular analysis of $B_d^0 \rightarrow K^* \mu^+ \mu^-$ decays

- Form-factor less dependent observables  $P_5' = \frac{S_5}{\sqrt{F_L(1-F_L)}}$



[LHCb, arXiv:1512.04442]

- Tension in  $P_5'$  seen with  $1 \text{ fb}^{-1}$  is confirmed
- Local deviations of  $2.9\sigma$  and  $3.0\sigma$  for  $q^2 \in [4.0, 6.0]$  and  $[6.0, 8.0] \text{ GeV}^2$
- Naive combination of the two gives local significance of  $3.7\sigma$

- LHCb, Belle and ATLAS show deviations in  $4 < q^2 < 8 \text{ GeV}^2/c^4$
- CMS shows better agreement

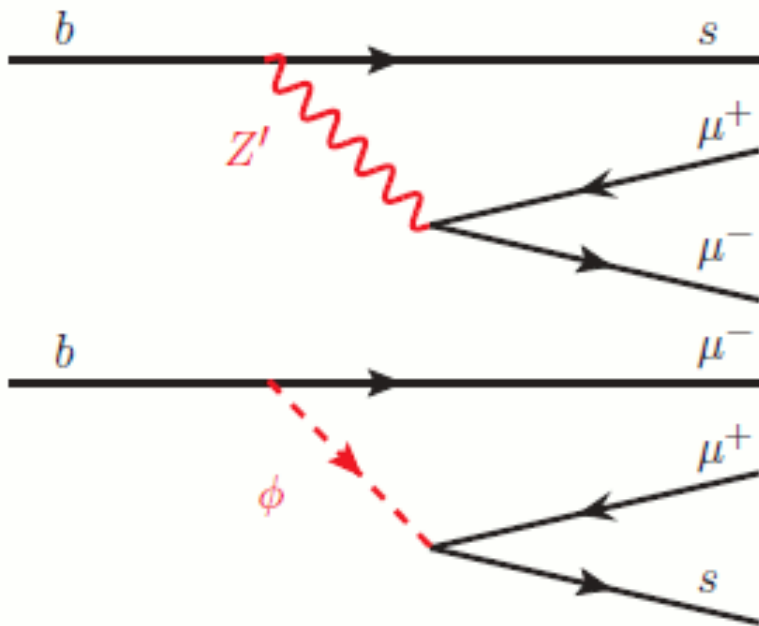
# NP or hadronic effect ?

Possible explanations for shift in  $C_9$ :

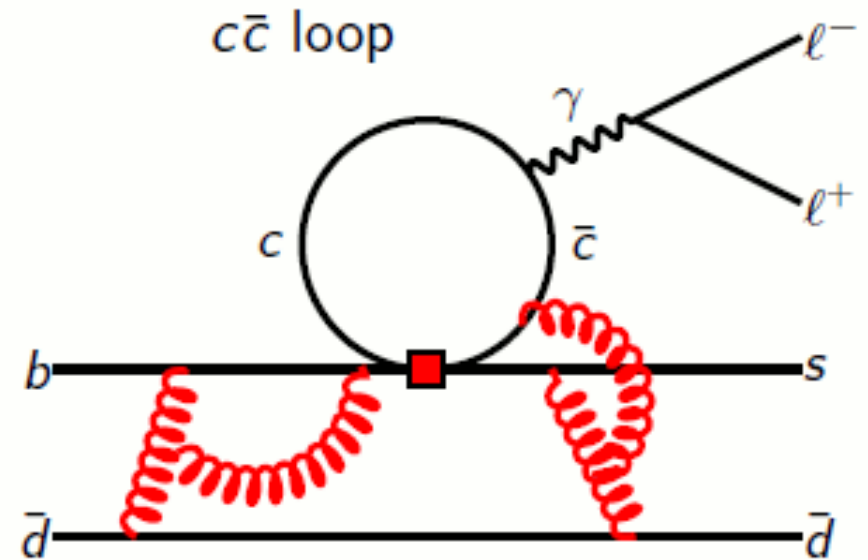
a potential new physics contribution  $C_9^{\text{NP}}$  enters amplitudes always with a charm-loop contribution  $C_9^{c\bar{c}}(q^2)$

⇒ **spoiling an unambiguous interpretation of the fit result in terms of NP**

New physics



NP e.g.  $Z'$ , leptoquarks

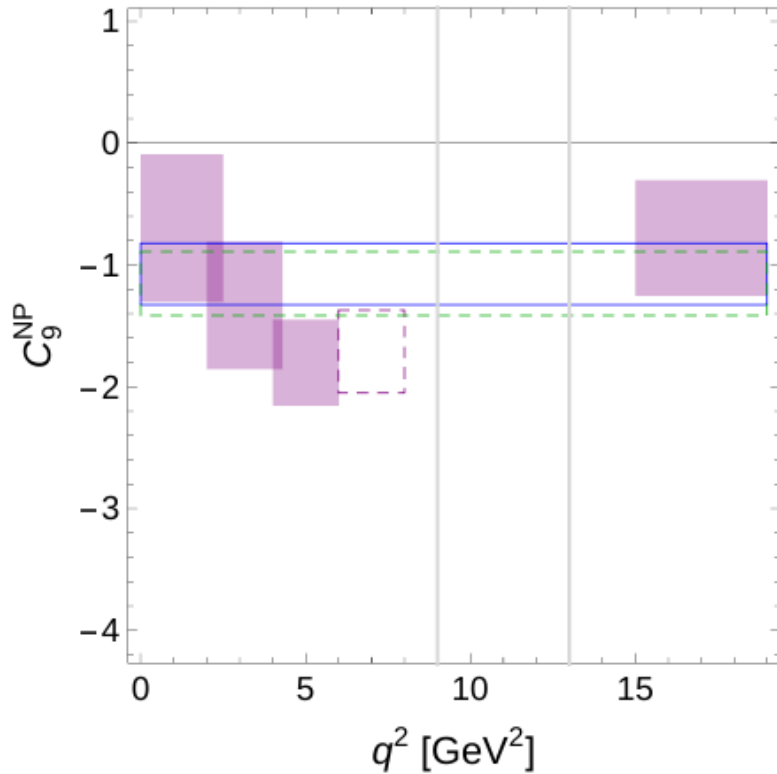


hadronic charm loop contributions

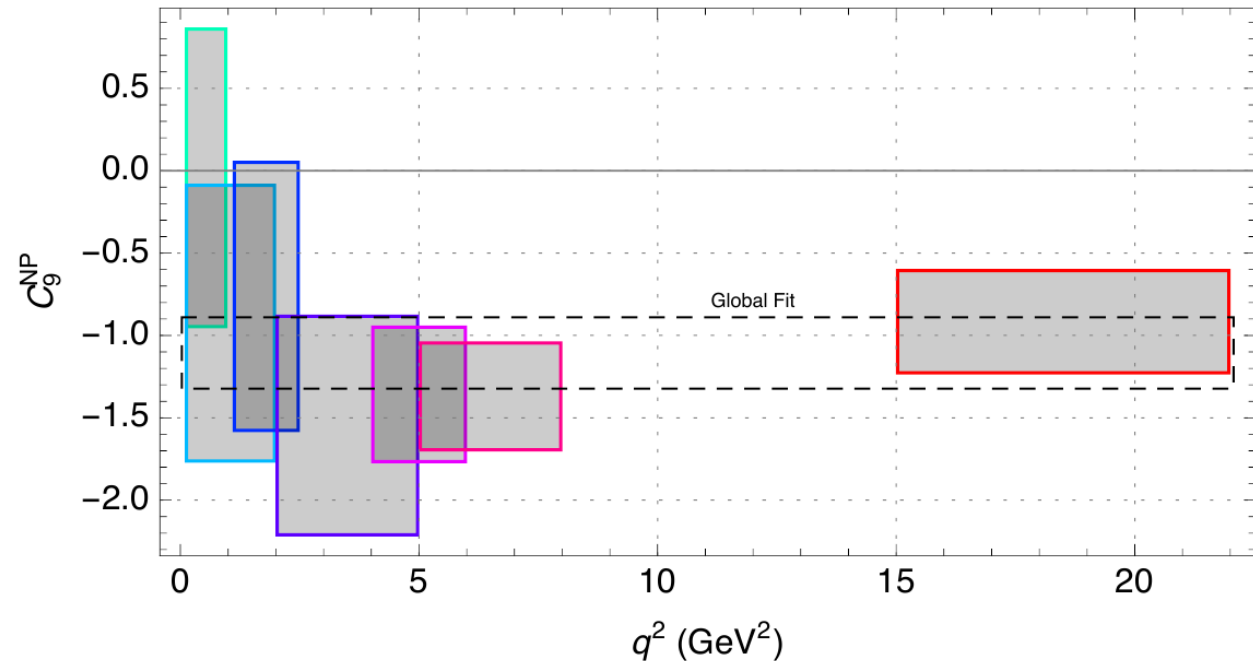
# NP or hadronic effect ?

Bin-by-bin fit of the one-parameter scenario with a single coefficient  $C_9^{\text{NP}}$

[W.Altmannshofer et al,  
arXiv:1503.06199]



[S.Descotes-Genon et al,  
arXiv:1510.04239]



**$C_9^{\text{NP}}$  doesn't depend on  $q^2$ ,**

**$C_9^{c\bar{c}i}(q^2)$  expected to exhibit a non-trivial  $q^2$  dependence**

**$\Rightarrow$  definitely need more stat.**