NuSTEC workshop on Shallow- and Deep-Inelastic Scattering

SIS/DIS interactions and uncertainties in atmospheric oscillation analysis

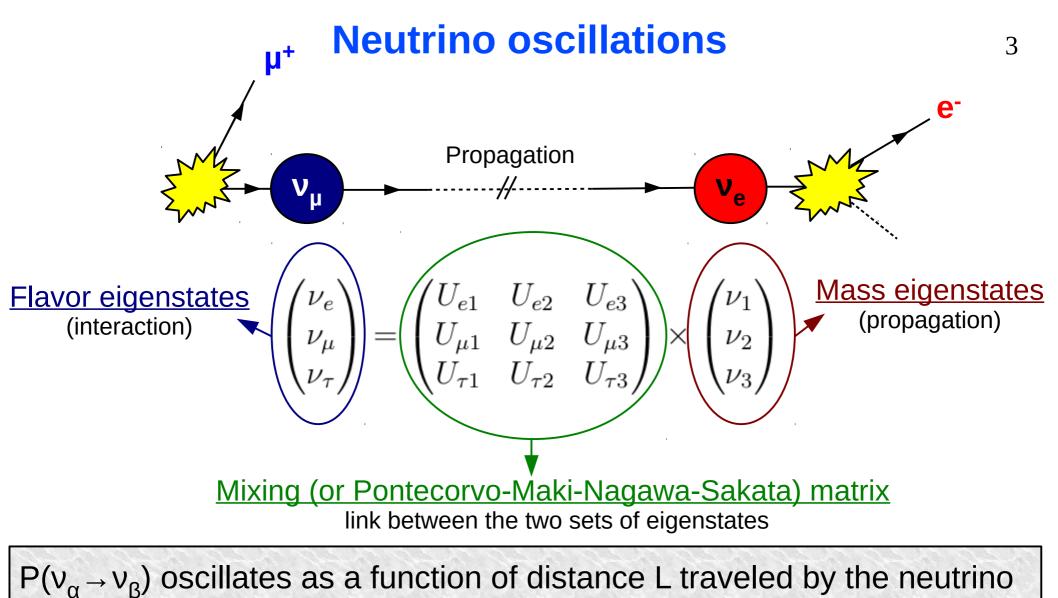
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2018-10-13

Introduction

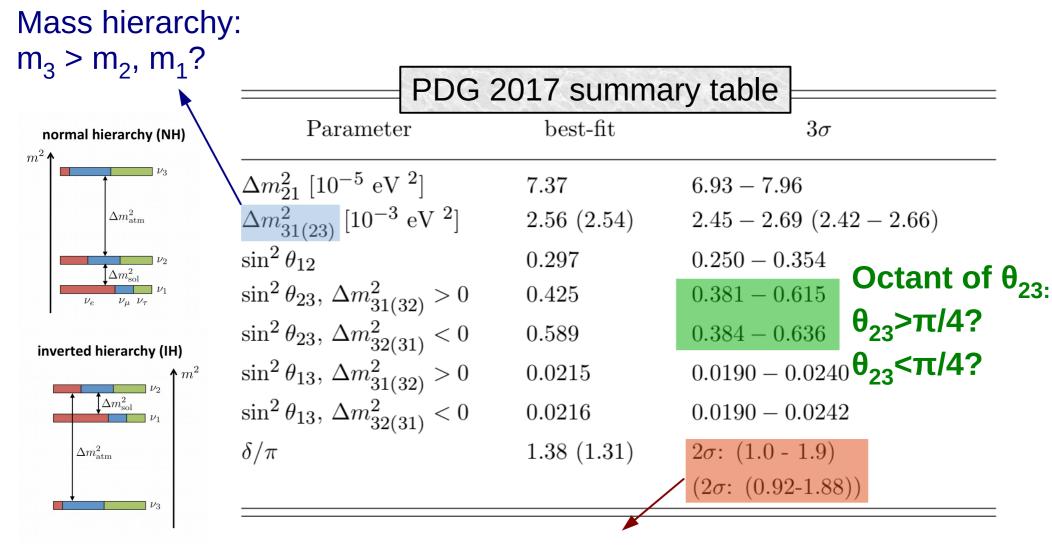
- Will look at the importance of the modelization of DIS interactions for atmospheric neutrino oscillations with the example of the mass hierarchy determination in Super-Kamiokande
- Other atmospheric experiments (KM3NET, IceCube) are at higher energy and use different reconstruction methods: not everything shown here will be relevant for those experiments
- Current Super-K analysis is statistically limited, but modelization of the systematics will be important for Hyper-Kamiokande era.
- Outline:
 - determination of the mass hierarchy with atmospheric neutrinos
 - approach used in Super-Kamiokande
 - observables of DIS interactions to model
 - sources of uncertainties and modelization of systematic uncertainties



- Amplitude of oscillations depends on the mixing matrix U
- Phase of the oscillation depends on energy and difference of mass squared: Δm²_{ii}L/E

 $(\Delta m_{ij}^2 = m_i^2 - m_j^2)$

Neutrino oscillation Main current physics goals

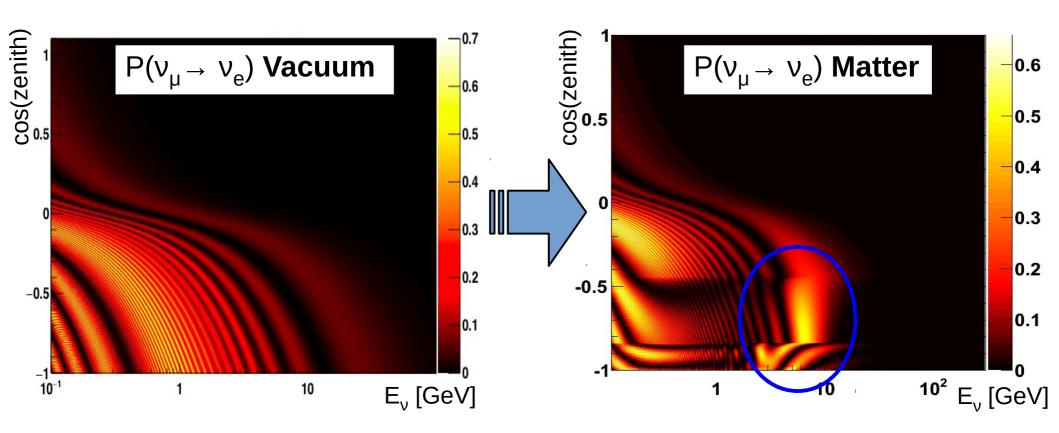


Violation of CP symmetry in neutrino oscillations?

Degeneracies between those 3 questions

Mass hierarchy with atmospheric neutrinos

- Order of neutrino mass eigenstates is not fully known
- Propagation in matter modifies oscillation probabilities compared to vacuum, in different ways depending on MH
- > In particular resonance in muon to electron flavor oscillation NH: ν only - IH: $\overline{\nu}$ only



Super-Kamiokande Detector

50 kt (22.5 kt fiducial) water Cherenkov detector

41.4 m

- > 1000m overburden
- > Operational since 1996

Wide physics program:

- Atmospheric neutrinos
- Solar neutrinos
- Supernova neutrinos
- Proton decay
- Dark matter indirect detection
- > Good separation between μ^{\pm} and e^{\pm} (separate ν_{μ} and ν_{e} CC interactions)
 - \rightarrow Less than 1% mis-PID at 1 GeV
- No magnetic field: cannot separate ν and ν on an event by event basis
- > Only detects charged particles above Cerenkov threshold and photons

 → limitation for energy and directional reconstruction



Inner

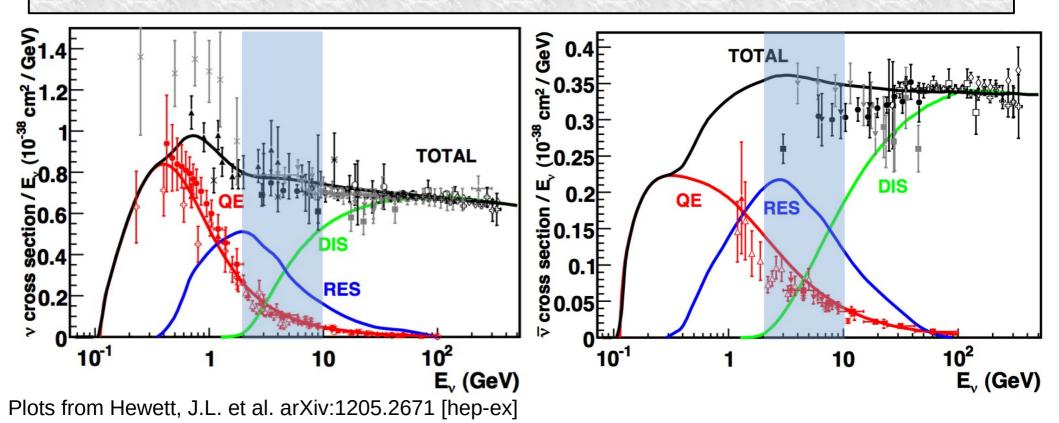
detector

Outer

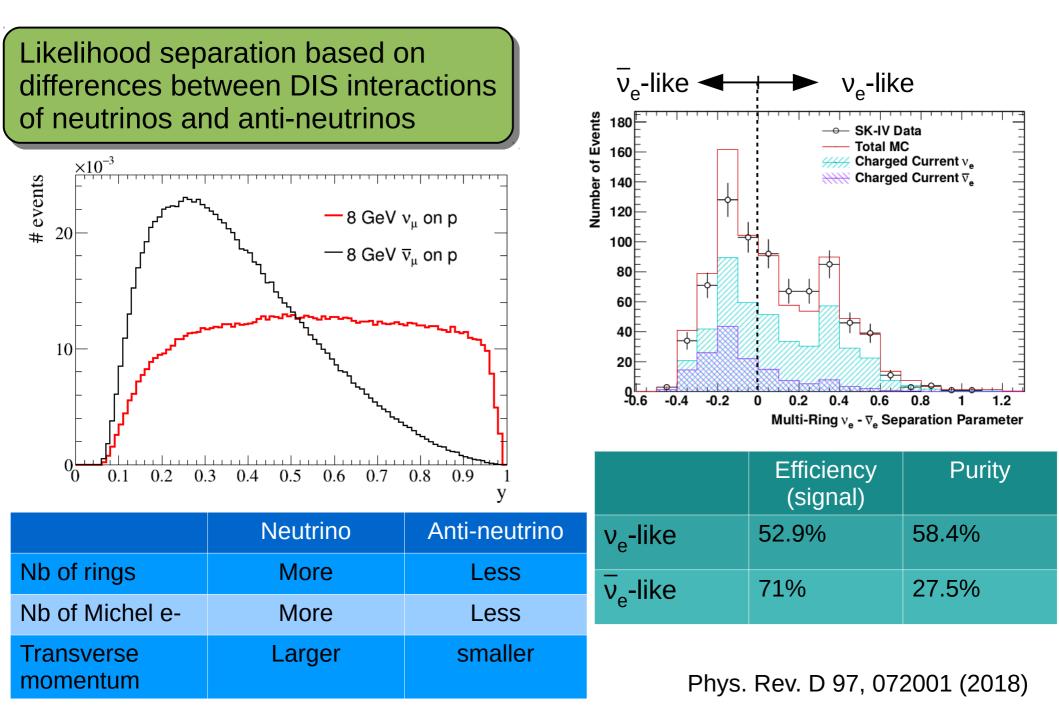
detector

Mass hierarchy with atmospheric neutrinos Water Cerenkov detector

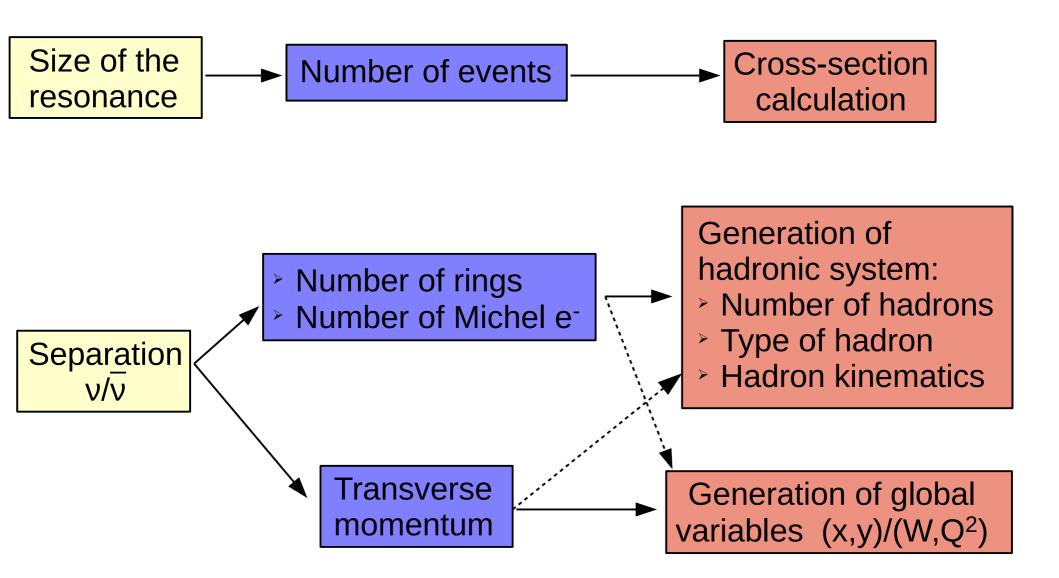
- > Water Cerenkov detectors cannot distinguish on an event by event basis between ν and $\overline{\nu}$
- > Two handles to study MH:
 - flux and cross sections of ν larger than those of $\overline{\nu}$
 - differences between interactions of v and \overline{v} allow to do statistical separation ("enriched samples")
 - Resonance expected to occur in the region 2-10 GeV



Statistical separation of v_e and \overline{v}_e



DIS related uncertainties



Differences between neutrinos and anti-neutrinos are of particular importance

Cross section calculation

Calculated by integrating d² σ /dxdy over possible values of x and y Bjorken x \approx fraction of the nucleon momentum carried by the struck quark Bjorken y: fraction of the neutrino energy transferred to the hadronic system

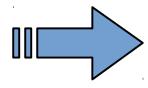
 $d^{2}\sigma/dxdy$ parametrized in terms of structure functions F_{1}, \dots, F_{5}

$$\frac{d^2\sigma}{dxdy} \propto \sum_{i=1}^5 \alpha_i \times F_i(x, Q^2)$$

• Use modified Calland-Gross and Albright-Jarlskog relations to relate F_1 , F_4 , F_5 to F_2 and xF_3

$$F_{1}(x,Q^{2}) = \frac{1}{2x} F_{2}(x,Q^{2}) \times \left(\frac{1+4M^{2}x^{2}/Q^{2}}{1+R(x,Q^{2})}\right) \qquad F_{4}(x,Q^{2}) = 0 \qquad F_{5}(x,Q^{2}) = \frac{F_{2}(x,Q^{2})}{x}$$

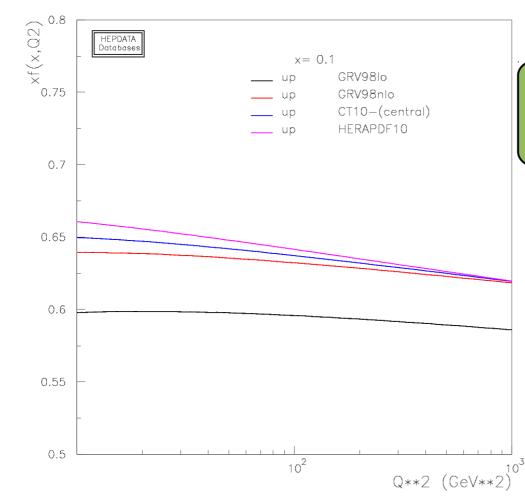
> Finally use quark-parton model to compute F_2 and xF_3 from Parton Distribution Functions



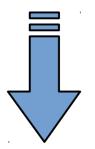
Main source of uncertainty on the cross-sections are the PDFs (then relations between structure functions)

Cross section calculation Choice of PDF

 PDFs can be computed in QCD with free parameters determined by a fit to data
 Only works for Q²>Q₀²(typically ~1 GeV)



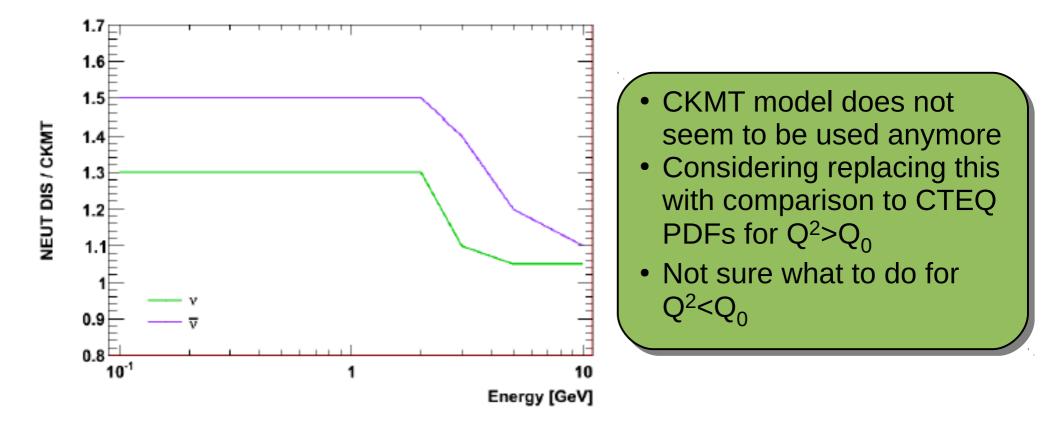
Bodek and Yang have produced a set of corrections to go below Q₀ but is only available for GRV98 leading order PDFs



Using GRV98 leading order in generators, although it disagrees with more recent PDFs

Cross section calculation Model systematic

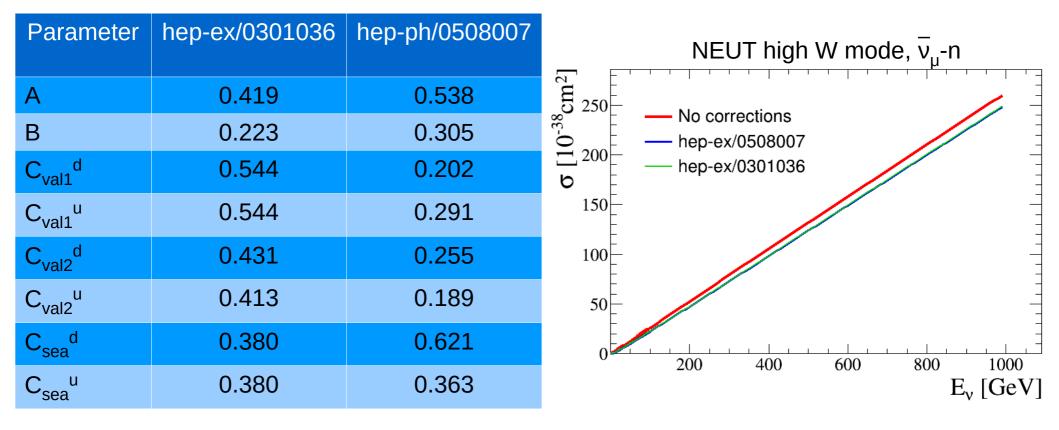
- Current Super-Kamiokande analysis has a "DIS model uncertainty"
- Computed as ratio of cross-section obtained with alternative model to NEUT predictions below 10 GeV
- Alternative model: CKMT (Physics Letters B 337 (1994) 358-366)



Cross section calculation Bodek-Yang model

Caveat: written before seeing U-K Yang's presentation

- Model with free parameters, determined by a fit of electron scattering and photoproduction data
- Different versions, latest ones not implemented in generators
- Errors on parameters not given for version implemented in NEUT and GENIE
- Yalues of the parameters can change significantly between two versions, but similar predictions



Cross section calculation Bodek-Yang model

Broadly speaking, 2 different approaches to do systematic uncertainties on BY corrections:

- on/off as 1 sigma error

- use error on the different parameters

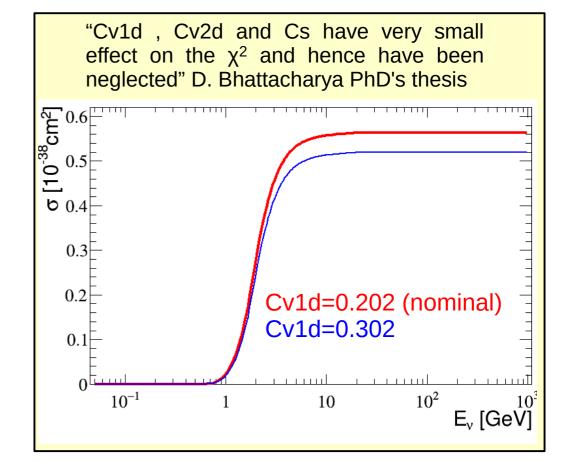
GENIE includes errors, based on Debdatta Bhattacharya PhD's thesis.

"The uncertainty in the DIS model parameters is determined by varying each parameter in the model [5] and studying the effect on the reduced χ^2 of the fit to the charged-lepton data"

But:

no correlations of the errors
between parameters
no error on some of the

parameters

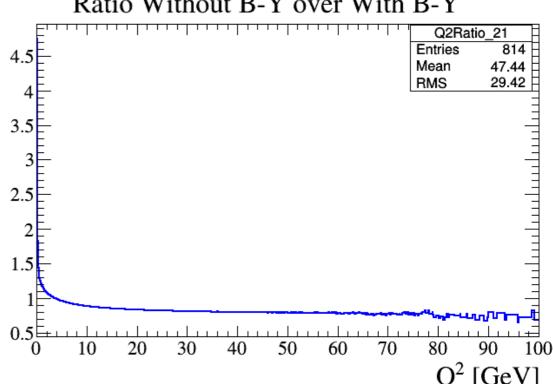


Cross section calculation 15 **Bodek-Yang model – plans for next SK analysis**

Caveat: written before seeing U-K Yang's presentation

- Concluded that more studies were required to be able to use errors on parameters, and defaulted to on/off type of systematic
- 2 different parameters (uncorrelated): one for each NEUT mode (low and high W)

- Implemented as a function of Q² by interpolation on histograms
- Considered range 0-100 GeV²
- Different histograms for nu/ nubar and the three neutrino flavors

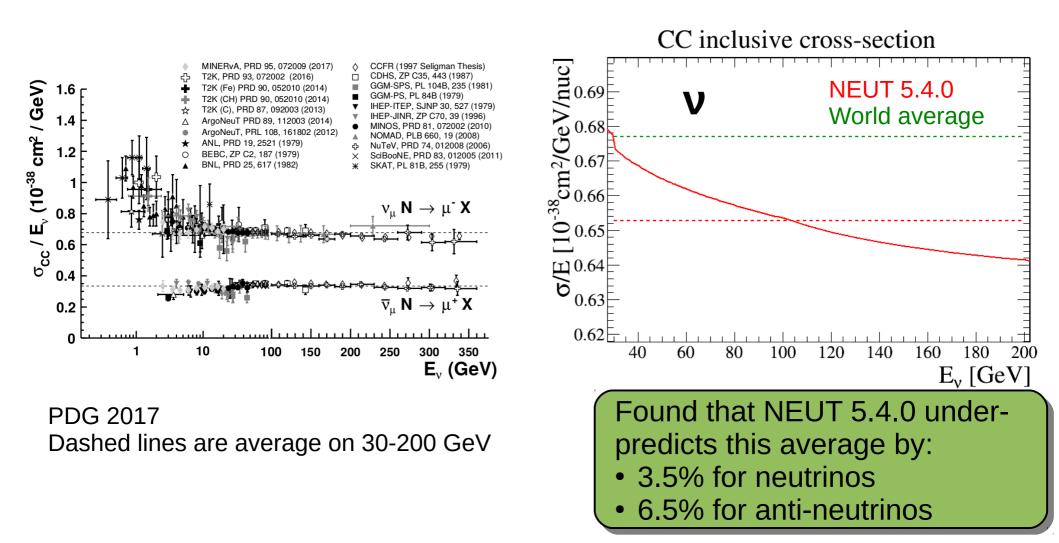


Ratio Without B-Y over With B-Y

Cross section calculation Additional cross-section uncertainty

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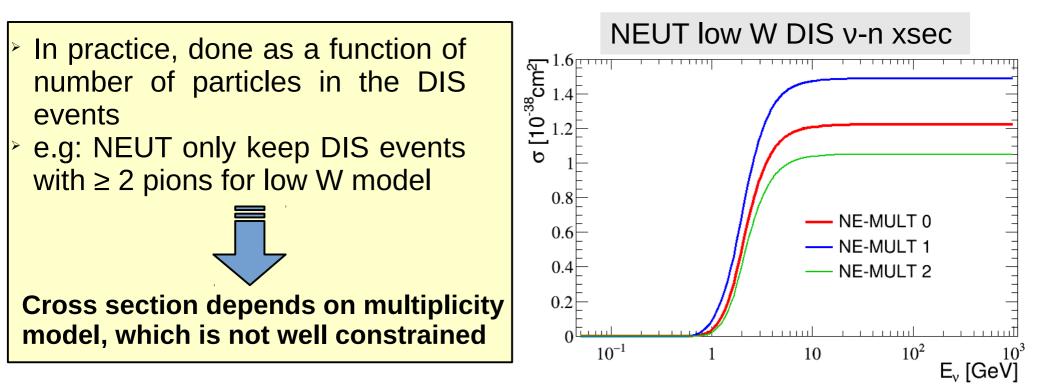
In SK analysis, additional systematic uncertainty from difference between NEUT predictions and world average CC inclusive cross-section



Cross section calculation Avoiding double counting with RES

When generators use combination of resonant and DIS modes, need to avoid double counting

 \rightarrow subtract from DIS cross-section fraction handled by resonant modes

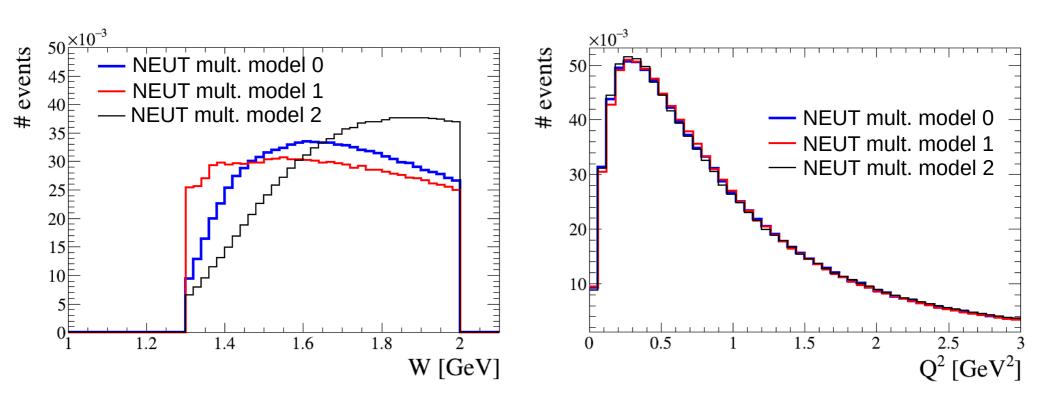


Is there a better way to constrain DIS cross section as a function of (W,Q^2) for the low W models?

Global kinematics (W,Q²) Low W mode

For the low W mode, need to use the scheme to avoid double counting with resonant events
 Rejection as a function of number of particle produced
 In multiplicity models, multiplicity probability depends of W

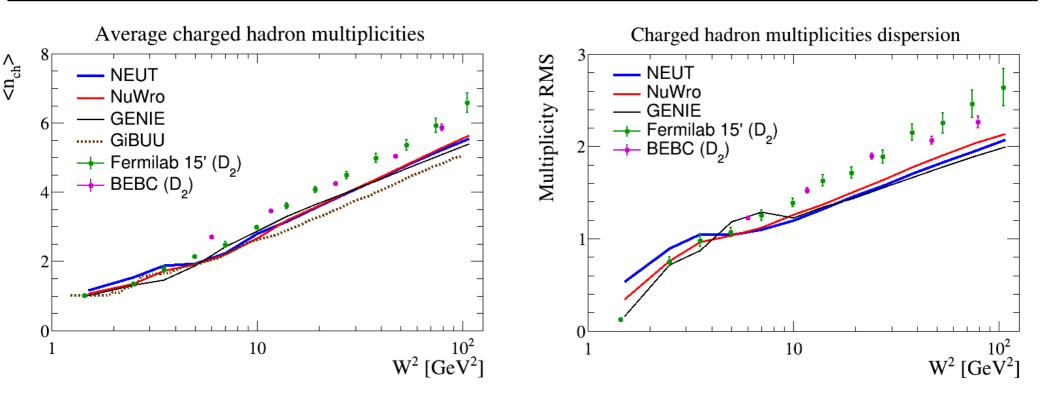
 → strong dependence on multiplicity model for W



(T2K near detector flux, area normalized, low W mode W<2 GeV, $n_{\pi} \ge 2$)

Hadronization Comparison to data

- Generators convert the available W into particles
- Bubble chamber experiments measured the charged hadron multiplicities in DIS interactions in the 70's and 80's
- Generators found to underestimate mean value and dispersion of those multiplicities

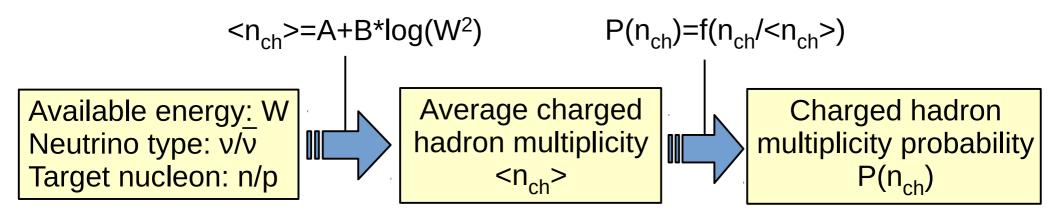


caveats:

- plots from NuINT 2015, older version of the generators
- comparing events generated for free neutrons to data of ν -n interactions in deuterium

Multiplicity models (Hadronization for low W mode)

- Multiplicity models give the probability to produce a given number of hadrons for a given value of W
- Based on KNO scaling: the distribution of P(n_{ch})=f(n_{ch}/<n_{ch}>) is independent of W
- Average charged hadron multiplicity observed to be a linear fonction of log(W²) in bubble chamber data (K. Kuzmin and V. Naumov argue for a quadratic function at low W in PRC 88, 065501 (2013))



3 or 4 parameters for each couple of neutrino type and target nucleon depending on choice of f

Low W multiplicity models

Use data from bubble chamber experiments to measure free parameters To decorrelate from final state interaction modelisation, use data from hydrogen and deuterium experiments

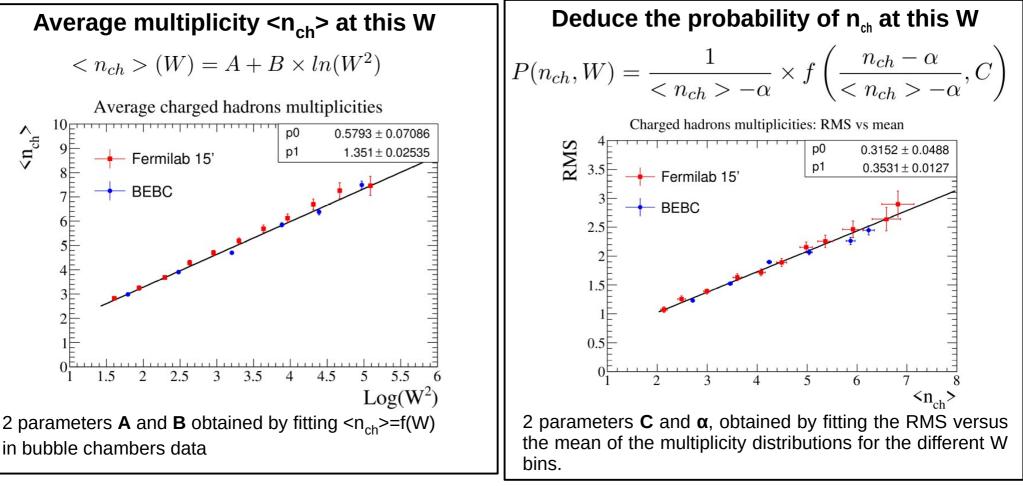
Author(s), experiment, publ. date	Ref.	Target	W ² range	Kinematic cuts	Intercept a	Slope b	
			$ u_{\mu} p ightarrow \mu^{-} X^{+}$	+			Many problems:
Coffin et al., FNAL E45, 1975	[21]	н	4-200		1.0 ± 0.3	1.1 ± 0.1	
Chapman et al., FNAL E45, 1976	[22]	н	4-200		1.09 ± 0.38	1.09 ± 0.03	inconsistent results
Bell et al., FNAL E45, 1979	[23]	н	4-100	$Q^2 = 2 - 64 \mathrm{GeV^2}$		1.35 ± 0.15	
Kitagaki et al., FNAL E545, 1980	[26]	^{2}H	1-100		0.80 ± 0.10	1.25 ± 0.04	between datasets
Zieminska et al., FNAL E545, 1983	[27]	^{2}H	4-225		0.50 ± 0.08	1.42 ± 0.03	Delween ualasels
Saarikko et al., CERN WA21, 1979	[28]	н	3-200		0.68 ± 0.04	1.29 ± 0.02	actual data hard to find
Schmitz, CERN WA21, 1979	[29]	н	4-140		0.38 ± 0.07	1.38 ± 0.03	$^{\prime}$ actual uata halu to intu
Allen et al., CERN WA21, 1981	[30]	н	4-200		0.37 ± 0.02	1.33 ± 0.02	
Grässler et al., CERN WA21, 1983	[32]	н	11-121		-0.05 ± 0.11	1.43 ± 0.04	🔹 no systematic uncertaii
Jones et al., CERN WA21, 1990	[33]	н	16-196		0.911 ± 0.224	1.131 ± 0.086	
Jones et al., CERN WA21, 1992	[34]	Н	9-200		0.40 ± 0.13	1.25 ± 0.04	most of the time
Allasia et al., CERN WA25, 1980	[35]	^{2}H	2-60		1.07 ± 0.27	1.31 ± 0.11	
Allasia et al., CERN WA25, 1984	[38]	^{2}H	8–144	$Q^2 > 1 \mathrm{GeV^2}$	0.13 ± 0.18	1.44 ± 0.06	
			$\overline{\nu}_{\mu}p \rightarrow \mu^{+}X^{0}$	D			
Derrick et al., FNAL E31, 1976	[14]	н	4-100	y > 0.1	0.04 ± 0.37	1.27 ± 0.17	
Singer, FNAL E31, 1977	[15]	н	4-100	y > 0.1	0.78 ± 0.15	1.03 ± 0.08	
Derrick et al., FNAL E31, 1978	[16]	H	1-50		0.06 ± 0.06	1.22 ± 0.03	
Derrick et al., FNAL E31, 1982	[20]	н	4-100	0.1 < y < 0.8	-0.44 ± 0.13	1.48 ± 0.06	
Grässler et al., CERN WA21, 1983	[32]	н	11-121		-0.56 ± 0.25	1.42 ± 0.08	NEUT model 0 uses [16]
Jones et al., CERN WA21, 1990	[33]	н	16-144		0.222 ± 0.362	1.117 ± 0.141	
Jones et al., CERN WA21, 1992	[34]	н	9-200		-0.44 ± 0.20	1.30 ± 0.06	for all types
Allasia et al., CERN WA25, 1980	[35]	^{2}H	7–50		0.55 ± 0.29	1.15 ± 0.10	
Barlag et al., CERN WA25, 1981	[36]	^{2}H	6-140		0.18 ± 0.20	1.23 ± 0.07	GENIE uses [27] for v and
Barlag et al., CERN WA25, 1982	[37]	^{2}H	6-140		0.02 ± 0.20	1.28 ± 0.08	
Allasia et al., CERN WA25, 1984	[38]	^{2}H	8–144	$Q^2 > 1 \mathrm{GeV^2}$	-0.29 ± 0.16	1.37 ± 0.06	[37] for v , and symmetry v
			$ u_{\mu}n ightarrow \mu^{-}X^{+}$	÷			
Kitagaki et al., FNAL E545, 1980	[26]	^{2}H	1-100		0.21 ± 0.10	1.21 ± 0.04	vn for some parameters
Zieminska et al., FNAL E545, 1983	[27]	^{2}H	4-225		-0.20 ± 0.07	1.42 ± 0.03	
Allasia et al., CERN WA25, 1980	[35]	^{2}H	2-60		0.28 ± 0.16	1.29 ± 0.07	
Allasia et al., CERN WA25, 1984	[38]	^{2}H	8–144	$Q^2 > 1 \mathrm{GeV^2}$	1.75 ± 0.12	1.31 ± 0.04	
			$\overline{\nu}_{\mu}n ightarrow \mu^{+}X^{-}$	-			
Allasia et al., CERN WA25, 1980	[35]	^{2}H	7-50		0.10 ± 0.28	1.16 ± 0.10	
Barlag et al., CERN WA25, 1981	[36]	^{2}H	4-140		0.79 ± 0.09	0.93 ± 0.04	
		2			0.00 1.0.00	0.05 1.0.04	
Barlag et al., CERN WA25, 1982	[37]	^{2}H	2-140		0.80 ± 0.09	0.95 ± 0.04	

Phys. Rev. C 88, 065501 (2013)

CB, M. Hartz arXiv:1607.06558 [hep-ph]

Deuterium fits

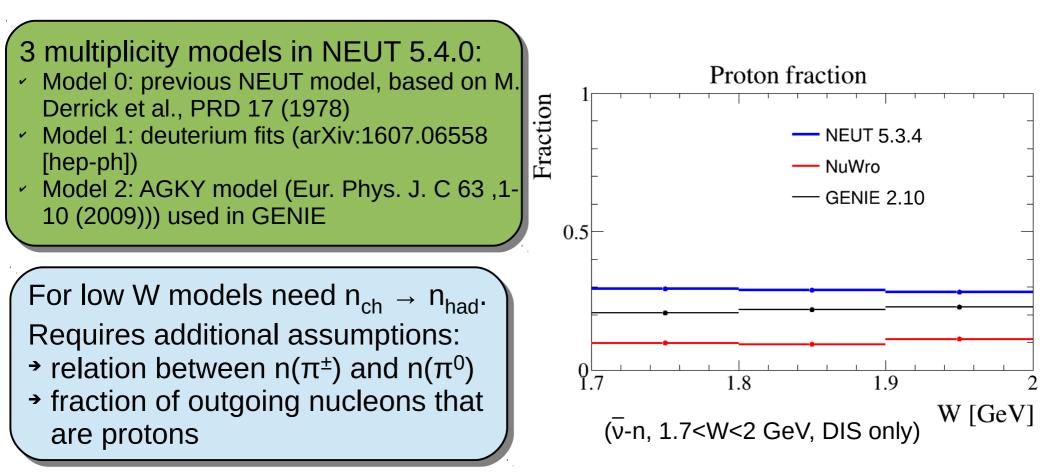
- Use all deuterium datasets considered valid in Phys. Rev. C 88, 065501 (2013)
- Fit all parameters for all combinations of v/\overline{v} on p/n



- Use for f the 'Levy function' used in the AGKY model (Eur. Phys. J. C 63, 1-10 (2009))
 Compared to standard KNO scaling, use an additional parameter g as defined in
- Compared to standard KNO scaling, use an additional parameter α as defined in Z. Phys. C 21, 189 (1984)

Low W Multiplicity models Status

- Different multiplicity models allow to see the effect of those models on generation of DIS event
- > Would be better to have a definite model with systematic uncertainties
- Model from deuterium fit would need a bit more work to take into account deuterium FSI, and evaluate systematic uncertainties



Low W Multiplicity models Considerations for next SK analysis

Until model from deuterium fit is ready:

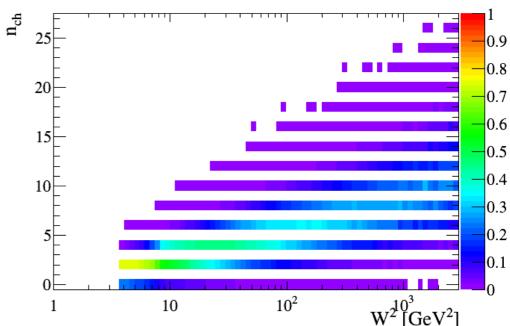
- Keep old NEUT model (model 0) as default model
- Make systematic uncertainties by comparing this default model to AGKY one
- > 2 uncorrelated systematic parameters:
 - cross-section (normalization) for multi-pi mode
 - shape: W and multiplicity distribution for a given W

Rational:

- avoid using deuterium fits until understood

- Provides freedom in the fit on interesting quantities while still having some physics motivation

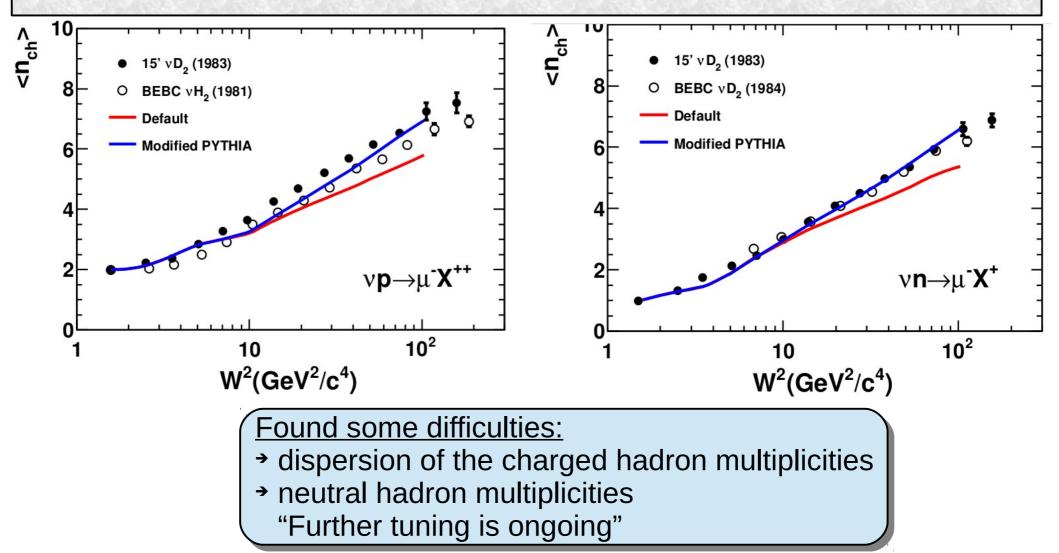
 keep shape and normalization separate, as there are many underlying parameters so don't want to tie shape to a fit on number of events



Charged multiplicity probability from NEUT file

Multiplicity models High W modes - PYTHIA

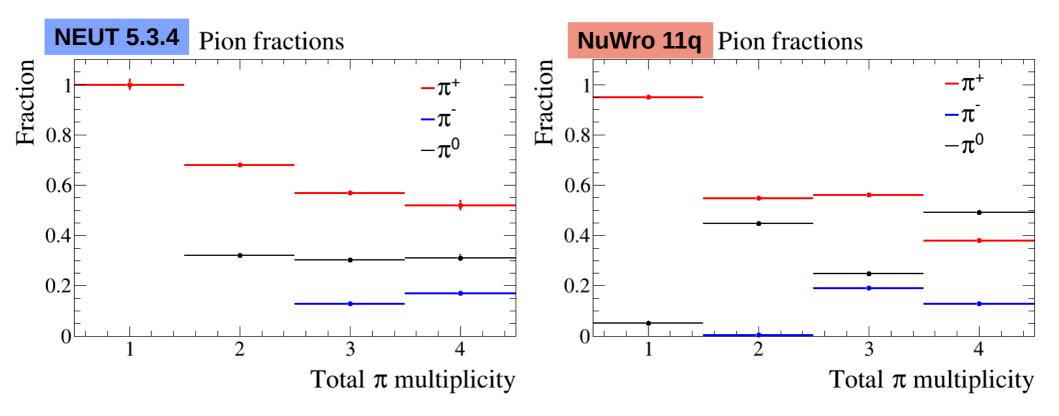
- At high W, fragmentation handled by PYTHIA
- > Also disagrees with bubble chamber data
- Attempts to tune PYTHIA by T. Katori and S. Mandalia (arxiv: 1412.4301v3)



Hadron types – Low W mode

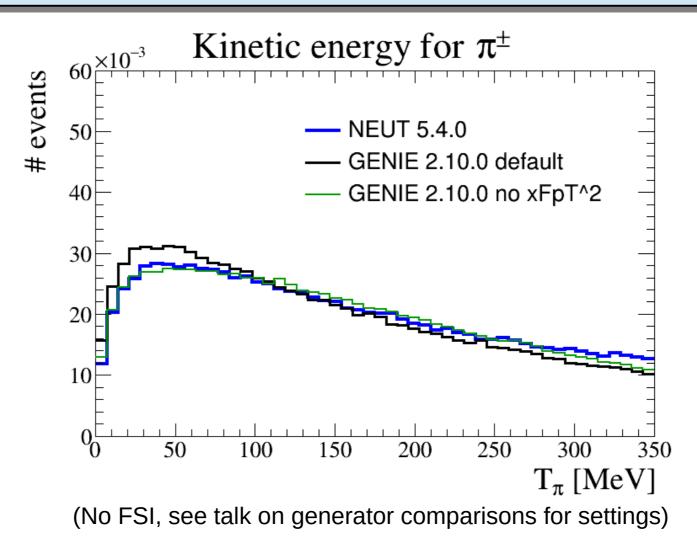
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- > Different kind of hadrons have very different signatures in a detector like Super-K (nb of rings, ring type, threshold, Michel electron)
 → will have an impact on v/v separation
 > Currently no systematic uncertainties on this
 > Particle content seems different for NEUT low W mode and PYTHIA, as
- can be seen in comparison with NuWro



Hadron kinematics – Low W mode

- Hadron kinematics matter for observables in water Cerenkov: depending on its momentum, a π^{\pm} will appear as a ring or a Michel electron
- Differences seen in NEUT and GENIE low W modes could maybe provide a first systematic uncertainty on this



Summary

- Super-Kamiokande can study the mass hierarchy by trying to determine if a resonance in the oscillation probabilities happen for neutrinos or antineutrinos
- SK cannot distinguish between the interaction of and on an event-byevent basis: use differences in DIS interactions of the two to make statistical separations
- Important quantities to model are cross-section, and properties of the hadronic system (number, type and kinematics of the hadrons produced)
- Uncertainties come from the PDFs, the parameters of the Bodek-Yang models and scheme to transition from resonant to DIS regions for the cross-section
- For the hadronic system at low W, multiplicity model has a large impact. Trying to make a model with uncertainties to take this into account
- Focused mainly on the low W region for the hadronic system, but higher W region handled by PYTHIA should be studied as well

BACKUP

Bodek-Yang corrections

GRV98 PDFs used by the generators to compute structure functions are valid for $Q^2>0.8$ GeV The Bodek-Yang corrections allow to go to lower Q^2 Different modifications are applied:

1) Change the scaling variable used to compute the PDFs

$$x \rightarrow \xi = \frac{2 x (Q^2 + m_f^2 + B)}{Q^2 \left[1 + \sqrt{1 + \frac{(2 M x)^2}{Q^2}} \right] + 2 A x}$$

- m_f is the final quark mass, and is neglected except for charm production
- B takes into account the initial quark $\ensuremath{p_{\text{T}}}\xspace$, and the final state quark mass from multi-gluon emission
- A is there to enhance the target mass effects to account for higher QCD and higher twist corrections

Bodek-Yang corrections

2) Freeze the evolution of the PDFs at a given Q^2 (0.8 for GRV98)

Below that value, the PDFs at 0.8 are used, and the variations with Q^2 come only from correction factors

3) Use multiplicative correction factors K for the PDFs (used for all Q^2)

$$K_{val} = (1 - G_D^2) \frac{Q^2 + C_{v2}}{Q^2 + C_{v1}} \qquad K_{sea} = \frac{Q^2}{Q^2 + C_s}$$

In later versions of the corrections, different K factors for up and down quarks. G_D is the proton elastic form factor, the C_i are parameters, which values were obtained by a fit.

4) Correct the ratio of up and down quarks for the valence quarks

$$corr = -0.00817 + 0.0560 x + 0.0798 x^{2}$$

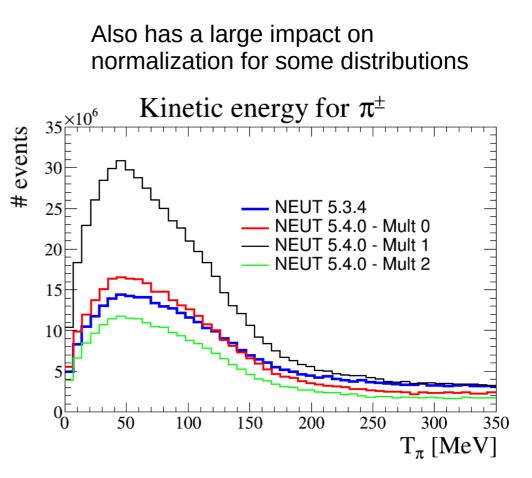
$$u' = \frac{u}{1 + corr \times \frac{u}{u+d}} \qquad \qquad d' = \frac{d + u \times corr}{1 + corr \times \frac{u}{u+d}}$$

Deuterium fits Limits

- Those fits represent an improvement compared to other models, which just used one dataset for all targets and neutrinos (NEUT), or one dataset for each when available and symmetry vp ↔ vn else (AGKY)
- Goal was to then have systematic uncertainties on this model, to make a systematic uncertainty to propagate for physics analysis.
- · Found however that some additional work was needed on this model

Deuterium FSI

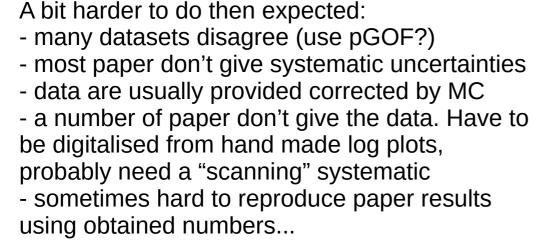
- Main issue is that I assumed deuterium= free n and p
- Several papers mentionned that nu-n samples should be rather pure, but not nu-p due to FSI
- Solution would be to use hydrogen data for nu-p, and difference H/He in nu-p to correct for FSI in nu-n

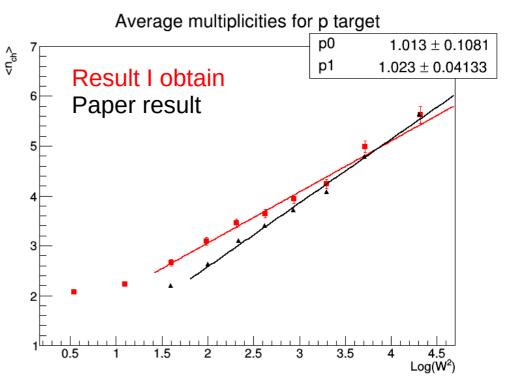


Multiplicity models Systematic uncertainties

Ultimately, want to have errors on the model:

- Error on A, B, C, α from fit with correlations
- FSI effect from difference H/He for $\nu\text{-}p$
- Additional systematics for multi-pion modes: $n_{ch} \rightarrow n_{had}$
 - fraction of outgoing baryon that is a proton
 - relation between π^{\pm} and π^{0} multiplicities





Generating hadronic system Particle content – Low W modes

- Different kind of hadrons have very different signatures in a detector like Super-K (nb of rings, ring type, threshold, Michel electron)
- For NEUT and GENIE, based on the idea that all pion types are as probable, so generate randomly
- Charge conservation and available energy put constraint on what can be produced

NuWro

Use PYTHIA fragmentation routines extended to low W

NEUT

- \rightarrow 1 nucleon, than only pions
- → All pion types same probability
- Rethrow until combination which respects charge is obtained

GENIE

- \rightarrow 1 nucleon, than pions and kaons
- Balance charge, than add neutral particles or pairs.
- → $P(\pi^+, \pi^-)=2^*P(\pi^0, \pi^0)$
- → P(strange meson pair)=6%

