



Data-driven background estimates in the

ATLAS $t\bar{t}H$

multilepton
analysis

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$t\bar{t}H$ (multileptons): analysis strategy



* **Target:** $t\bar{t}H$ with

- $H \rightarrow WW/ZZ/\tau\tau \rightarrow \geq 1\ell$
- $t\bar{t} \rightarrow (\ell + \text{jets}, \text{dilepton})$

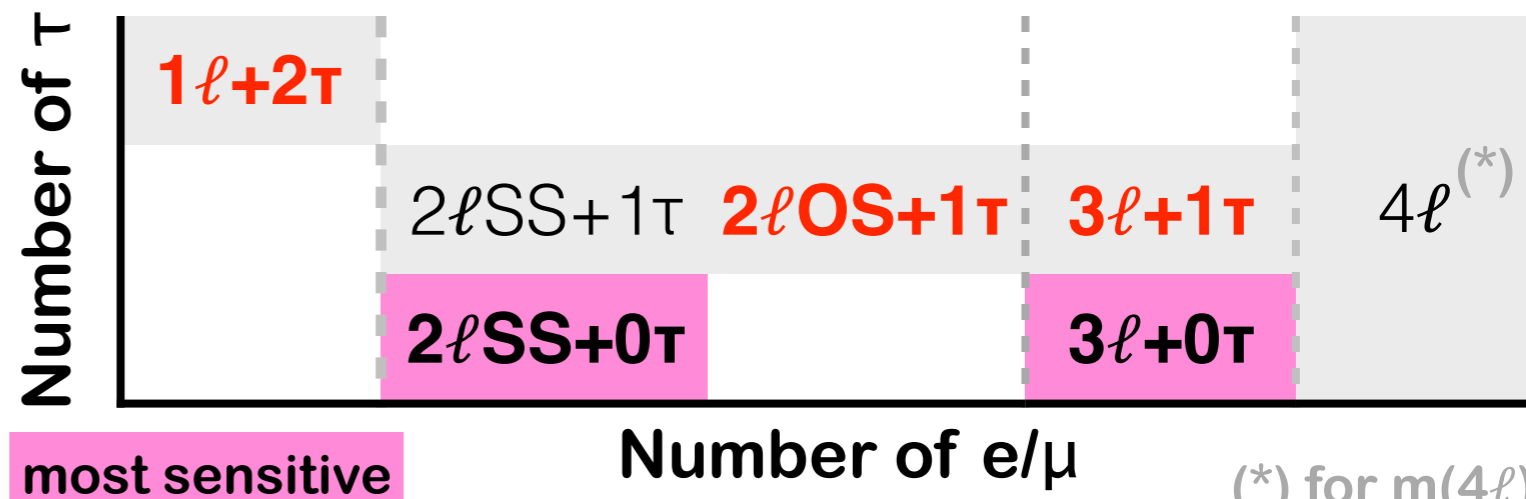
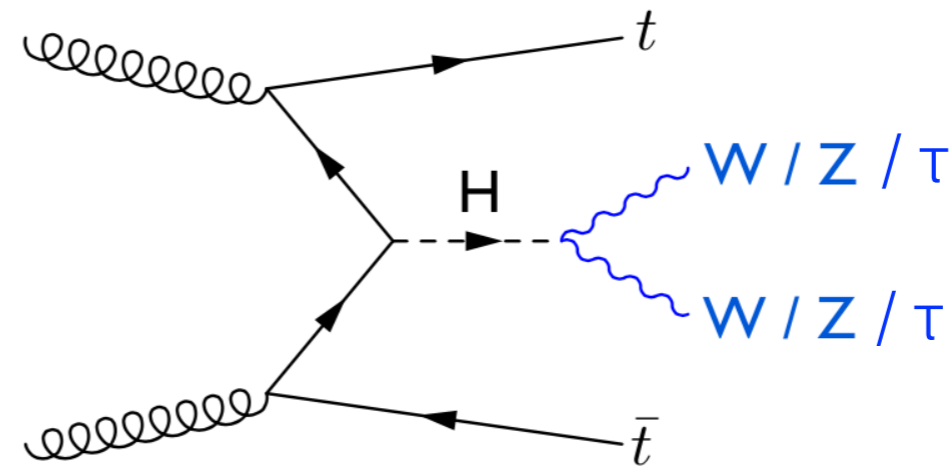
* **High multiplicity** final state

* **Rare in SM:** same-sign $2\ell, 3\ell, 4\ell$

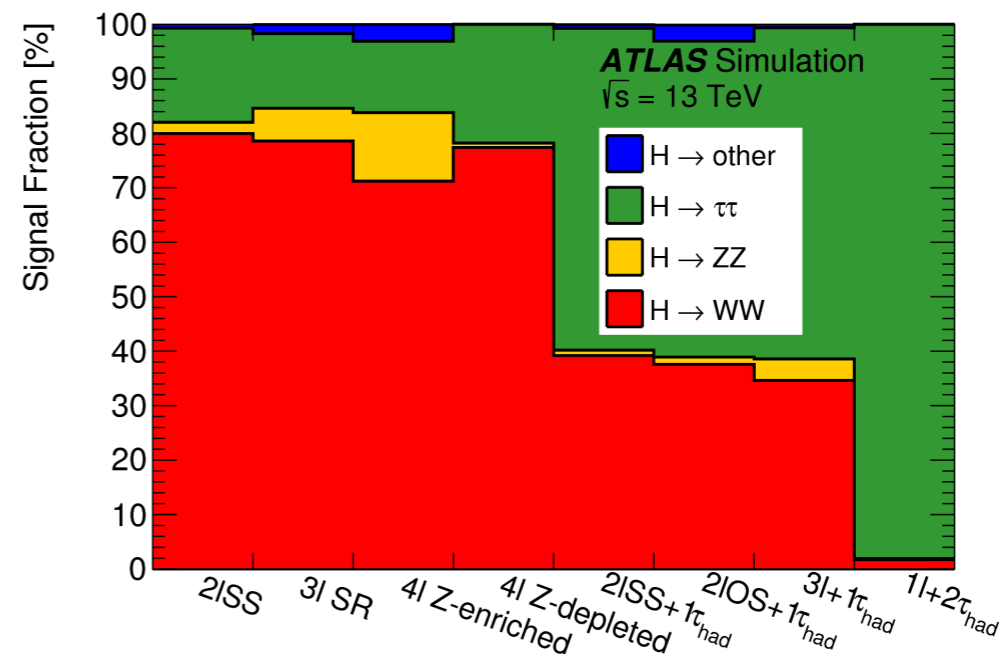
- Exploit presence of hadronically decaying τ

* Split in categories based on **number of e/μ** and **number of τ**

- **Loose** lepton definition (no isolation, loose ID)
- Dilepton and single lepton triggers



(*) for $m(4\ell)$
 != Higgs mass window



$t\bar{t}H$ (multileptons): background composition

* Non-prompt lepton in $t\bar{t}$

- semileptonic b-decay
- γ conversions

* Fake τ from light/b-jets

DATA-DRIVEN (DD):
MATRIX METHOD (MM), FAKE FACTOR (FF)

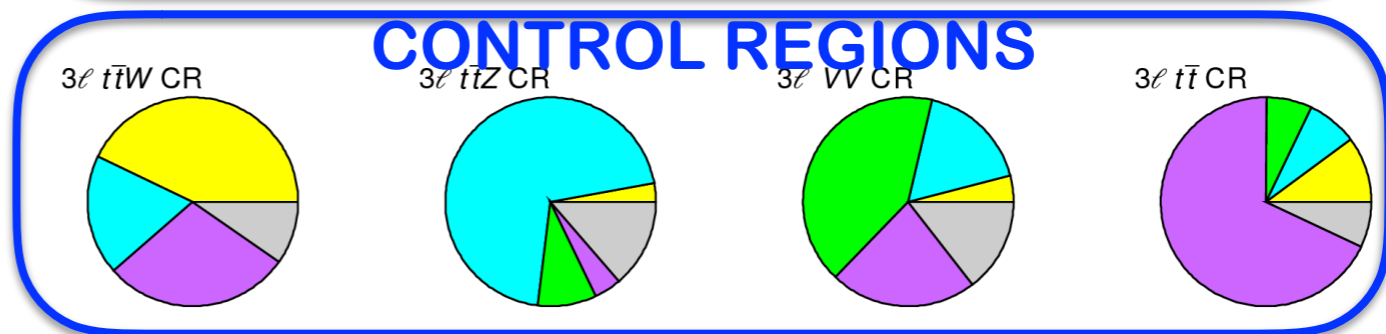
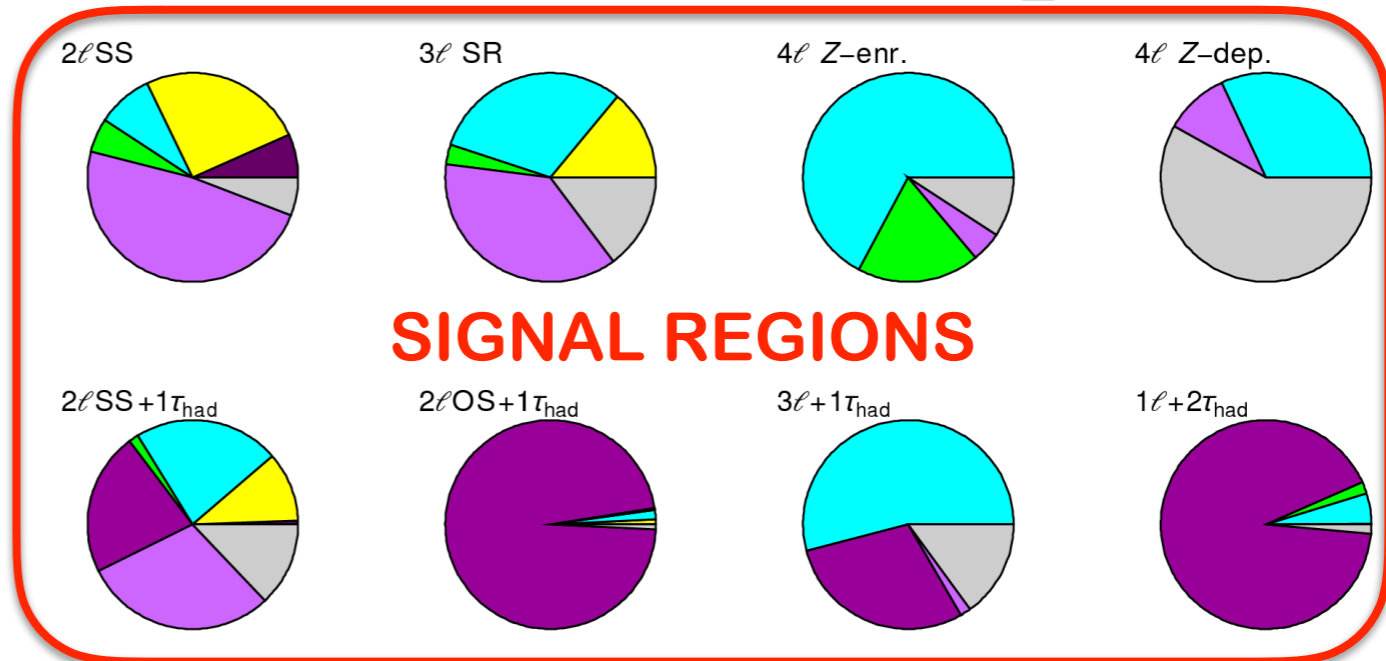
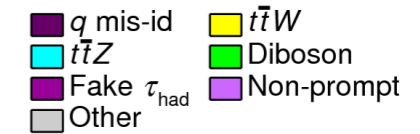
FF ~ matrix method except prompt background is taken from MC

* Misidentified charge lepton

- e.g. trident electrons (Bremsstrahlung)
- using **3D likelihood method** [$p_T, \eta, \text{Tight/Loose}$]

DATA-DRIVEN (DD):
LIKELIHOOD FIT

ATLAS
 $\sqrt{s} = 13 \text{ TeV}$



* Irreducible backgrounds with prompt-leptons ($t\bar{t}Z, t\bar{t}W, VV$)

MC
(cross check: fit to data)

"Other": $4t$ ops, $t\bar{t}WW, tH, tZ$

Important aspects of the ttH-ML analysis



* ... that condition which type of data-driven method we should use and which type of fakes will be most dominant:

- **Object definition**

- **Lepton MVA-based isolation (PromptLeptonIso)** to reject non-prompt ℓ from semileptonic b-decay based on:
 - lepton and overlapping **track jets** properties
 - lepton track/calorimeter **isolation** variables
- **Lepton MVA to reduce charge misidentification background (QMisID)** for 2ℓ SS and $3\ell+0\tau$ electron channels
- These changes the:
 - **Composition of the fakes lepton background we need to estimate**

- **Analysis strategy**

- **Event MVA discriminant** used in the final fit for the most sensitive channels
- Need a data-driven method that provides a correct modelling of the **shape of the fakes contribution** (with complete set of uncertainties!)

* Unavoidable consequence of analysing **more data**

- Smaller statistical uncertainty in the estimate, but
- Flaws of assumptions / simplifications in the DD methods become a problem



QMisID estimate



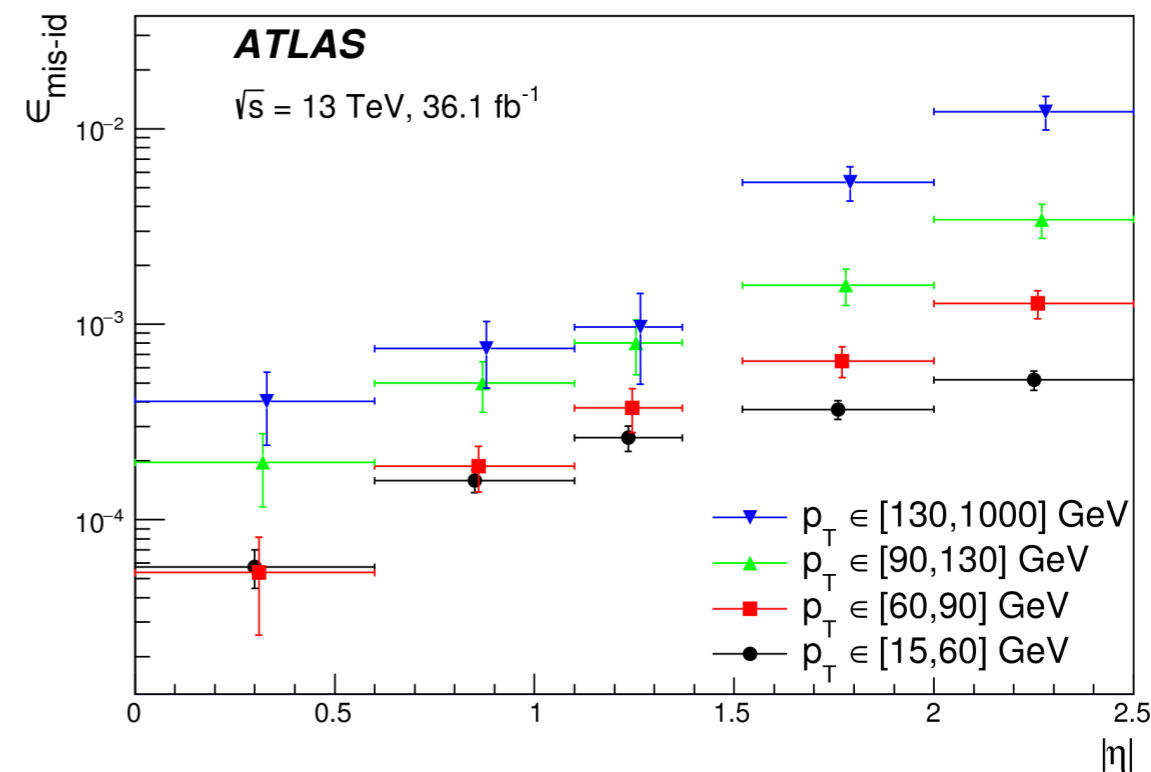
- * Estimate QMisID background from data using SS electrons under Z-peak
- * Using **3D likelihood method** [p_T , η , **Tight/Anti-tight**]

high $p_T \rightarrow$ straighter track
 \rightarrow higher chance of QMisID

high $\eta \rightarrow$ more material
 \rightarrow more trident electrons

needed to provide input to Matrix Method (QMisID subtraction) + increase statistics (consider tight+antitight events)

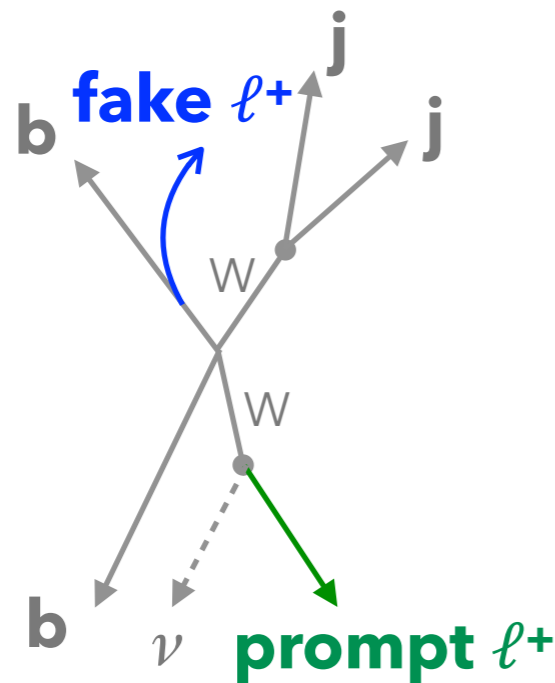
- Obtain QMisID rates $\epsilon_{\text{mis-id}}$ by minimising a global likelihood function in a sample of $Z \rightarrow ee$ events reconstructed as SS or OS pairs
 - The background is subtracted using a sideband method
- Scale OS data events by this rate
- Total systematic uncertainty **~30 %**
 - Dominated by closure test uncertainty at low p_T and by statistical uncertainties at high p_T



Non-prompt light ℓ

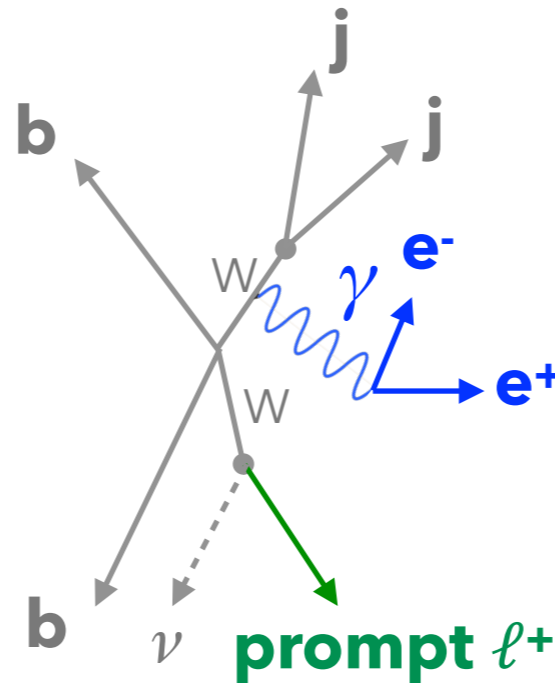
Method [parametr.]	$2\ell SS+0\tau$		$3\ell+0\tau$	4ℓ	$2\ell SS+1\tau$	Other τ channels	
Non-prompt lepton	DD (MM) e ℓ : [p_T , NBjets] μ : [p_T , dR(μ ,j)]				pseudo-DD (Fake SF)	DD (FF) e ℓ/μ : [p_T]	MC (very small)
DD/MC	ee: 2.0 ± 0.5	e μ : 1.7 ± 0.4	$\mu\mu$: 1.5 ± 0.5	SR: 1.8 ± 0.8			

Semileptonic
b-decay



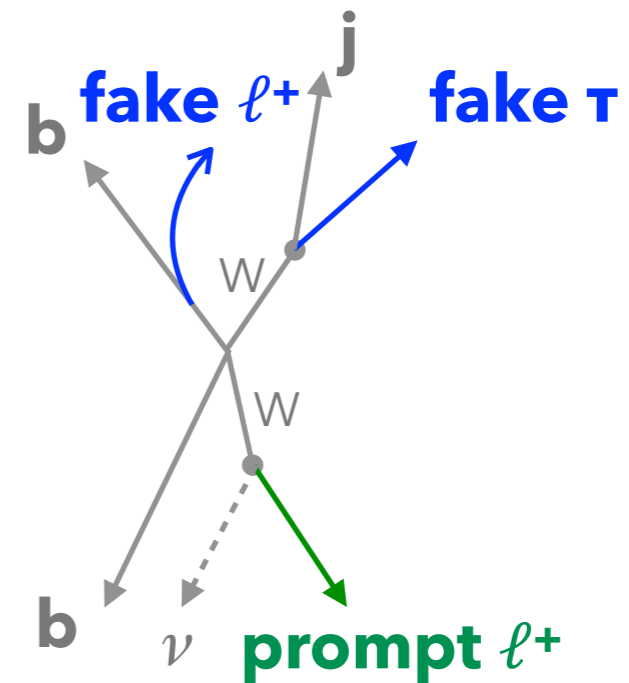
strongly reduced with PLI

Photon
conversions



50% of the "fakes" in 3ℓ !

Non-prompt lepton
& fake τ



70% from $t\bar{t}$ in $2\ell SS+1\tau$

Non-prompt light ℓ : Matrix Method



* $2\ell\text{SS}/3\ell+0\tau$: Matrix Method

events in pre-MVA signal region with SS **loose** leptons
(in 3ℓ , lep_0 (OS to SS pair) is prompt in 98% of the times)

$$N_{TT}^f = w_{TT}N^{TT} + w_{T\bar{T}}N^{T\bar{T}} + w_{\bar{T}T}N^{\bar{T}T} + w_{\bar{T}\bar{T}}N^{\bar{T}\bar{T}}$$

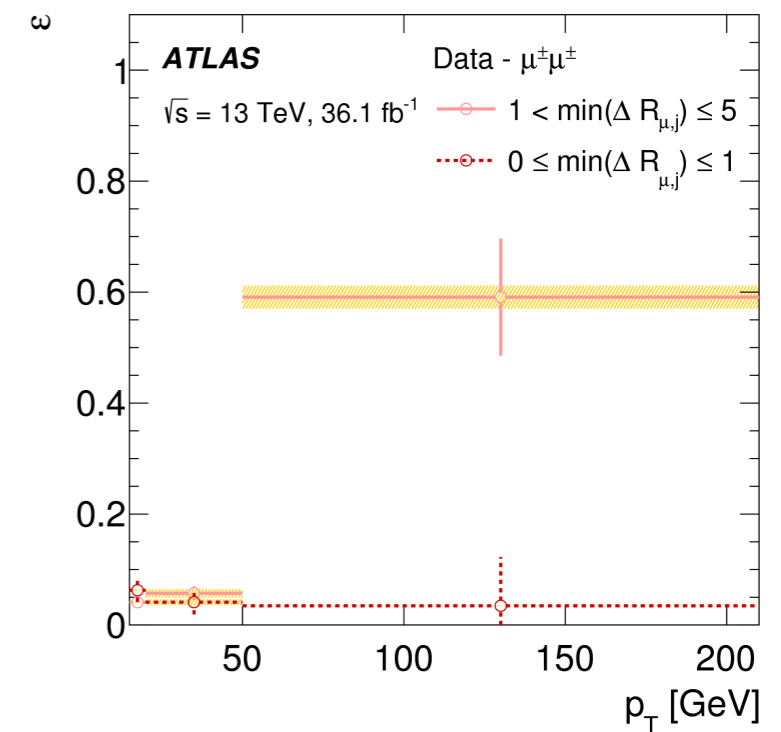
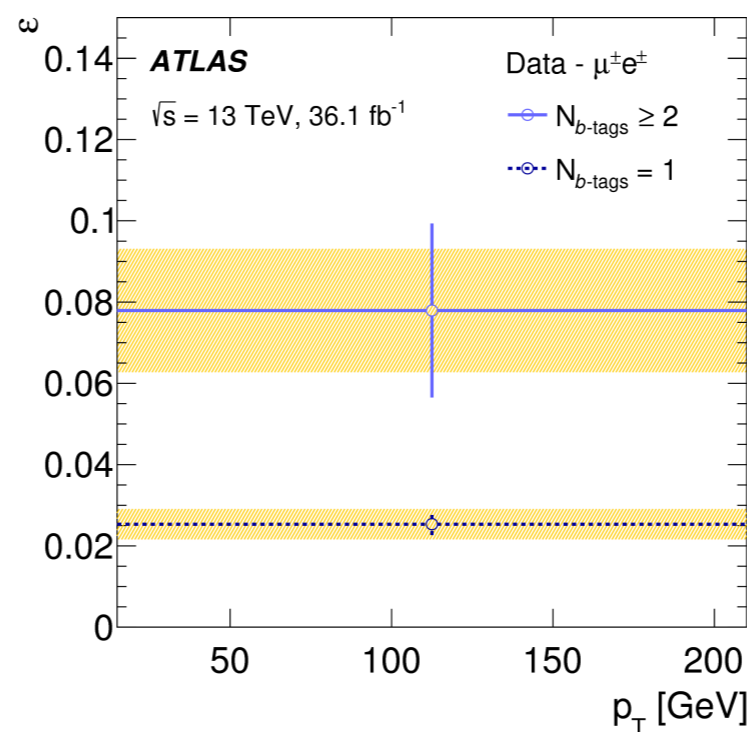
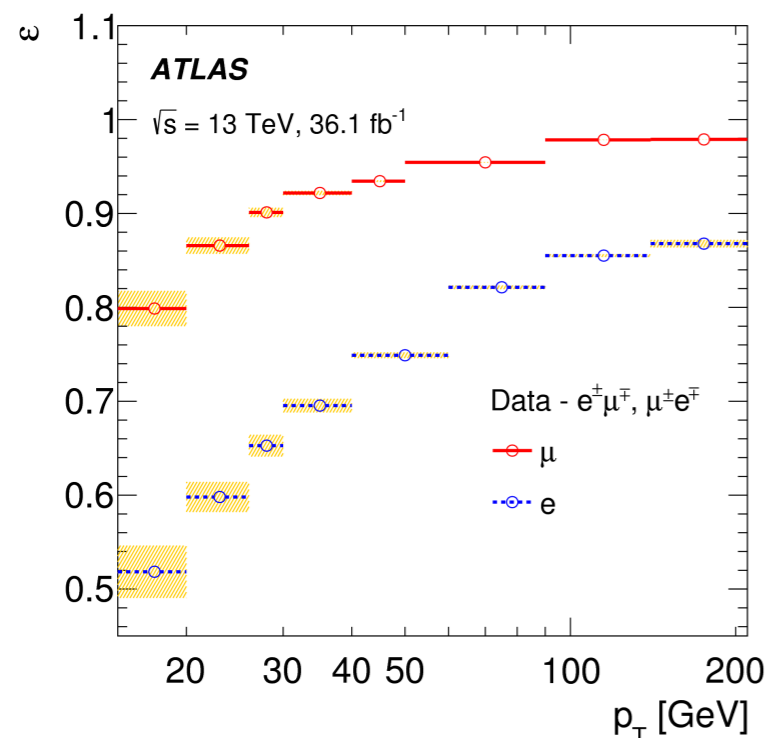
$f(\boldsymbol{\varepsilon}_r, \boldsymbol{\varepsilon}_f)$

via tag&probe method in $t\bar{t}$ events

Channel	Region	Selection criteria
$2\ell\text{SS}$ (3ℓ)		$2 \leq N_{\text{jets}} \leq 3$ and $N_{b\text{-jets}} \geq 1$
		One very tight, one loose light lepton with $p_T > 20$ (15) GeV
		Zero τ_{had} candidates
	ϵ_{real}	Opposite charge; opposite flavour
	ϵ_{fake}	Same charge; opposite flavour or $\mu\mu$

- **electrons and muons $\boldsymbol{\varepsilon}_r$:**
1D (p_T) parametrisation

- **electrons $\boldsymbol{\varepsilon}_f$:** 2D ($N_{b\text{-tags}}, p_T$) parametrisation
- **muons $\boldsymbol{\varepsilon}_f$:** 2D ($\min\Delta R(\mu, j), p_T$) parametrisation



Non-prompt light ℓ : Matrix Method (II)



* Treatment of conversions

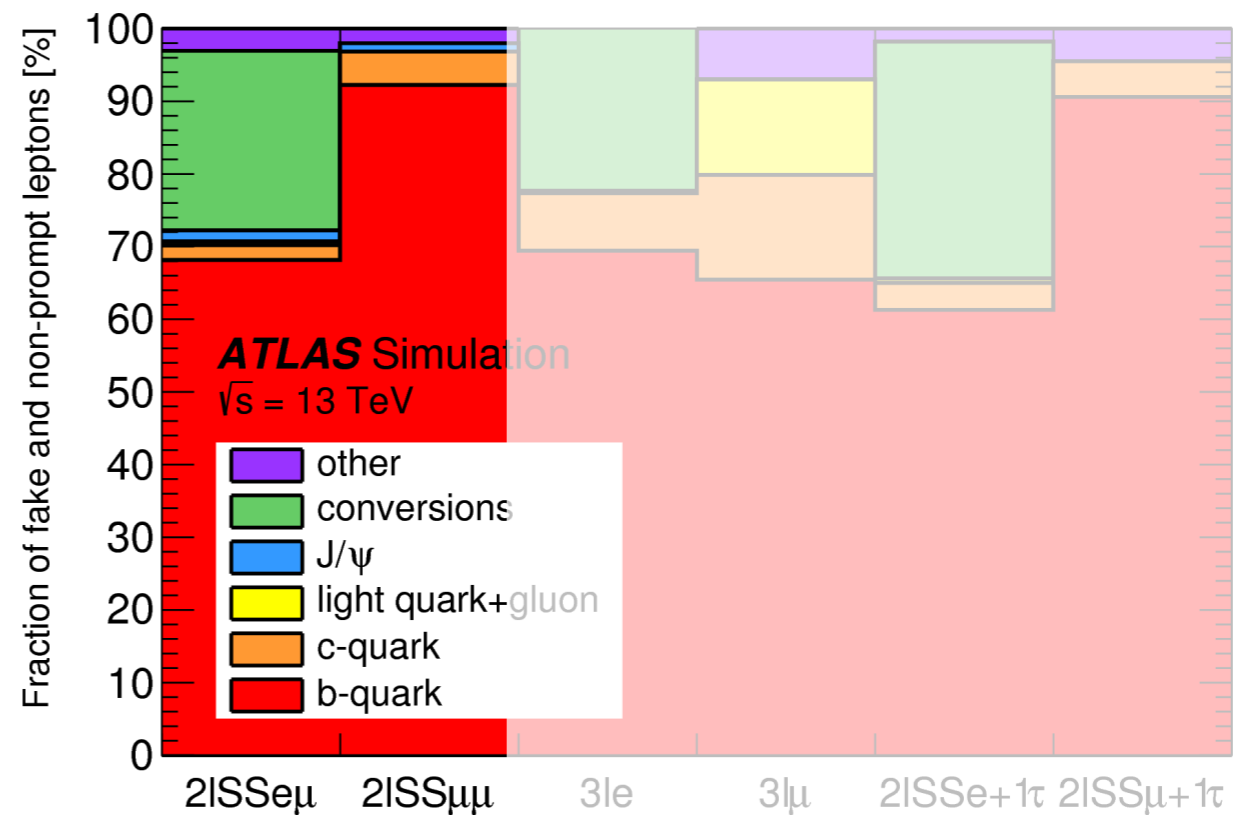
- $\epsilon_{f,\gamma}$ significantly higher than $\epsilon_{f,hf}$
- Account for the change of photon conversion fraction between the CR and SR from simulation
- Use to correct ϵ_f

• Systematic uncertainties: 40%

- 15% from modelling of conversions in MC
- 20% from measurement of $t\bar{t}\gamma$
- 50% from modelling of semileptonic b-decays

* Non-closure

- Apply Matrix Method on $t\bar{t}$ MC, compare to $t\bar{t}$ MC prediction
- $(11 \pm 8)\%$ and $(9 \pm 18)\%$ non-closure in 2ℓ SS and 3ℓ , respectively
- Include non-closure as systematic uncertainty source



4 ℓ and 2 ℓ SS1 τ (light ℓ) fakes estimate

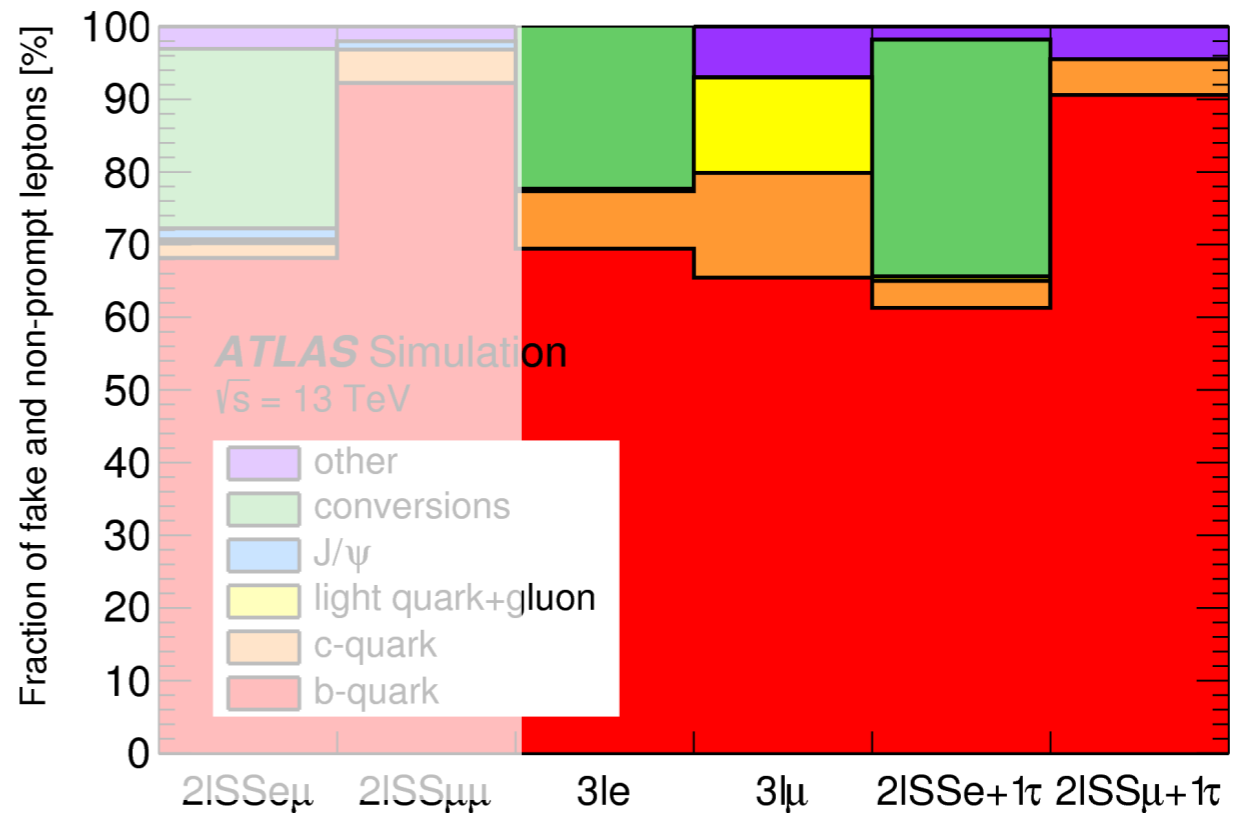
* 4 ℓ

- **Semi data-driven technique**
- Correction factors for MC (λ^{hf}_e , λ^{f}_e , and λ_μ) measured in dedicated 3 ℓ CR (loose leptons, 1-2 jets) split into 4 lepton-flavour categories, from a fit to the leading jet p_T distribution

$$\lambda^\mu = 0.66 \pm 0.19$$

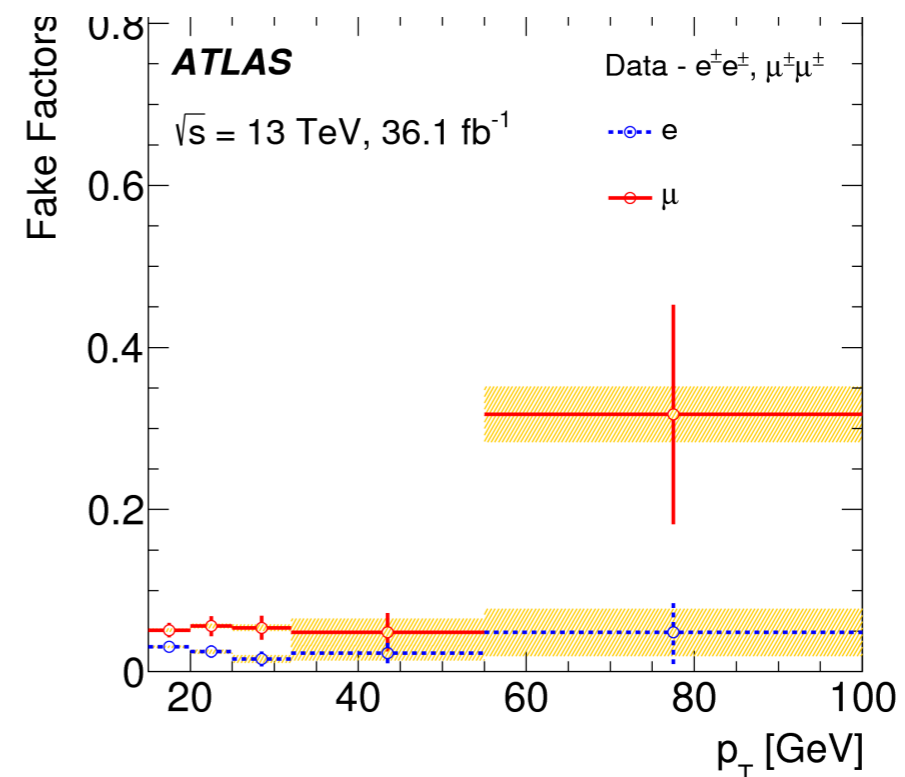
$$\lambda^e_{\text{heavy}} = 1.48 \pm 0.22$$

$$\lambda^e_{\text{light}} = 0.72 \pm 0.53$$



* 2 ℓ SS1 τ

- **Fake-factor method** to estimate background from events containing non-prompt light leptons (and a real or fake tau)
- FF derived from CR differing from SR by **looser lepton requirements and lower jet multiplicity**
- Total systematic uncertainty = 55%, dominated by statistical uncertainty in the closure test on simulation



Fake tau background

Estimate method [parametrisation]	$1\ell+2\tau$	$2\ell OS+1\tau$	$2\ell SS+1\tau$	$3\ell+1\tau$
Fake tau	DD (SS data)	DD (FF) [p_T]	pseudo-DD (MC correction with $2\ell OS+1\tau$ DD SF)	

* $1\ell+2\tau$: mostly $t\bar{t}$ with 1 or 2 fake τ

- Estimated from data in CR with same definition as SR but SS taus
- Closure uncertainty < 30%

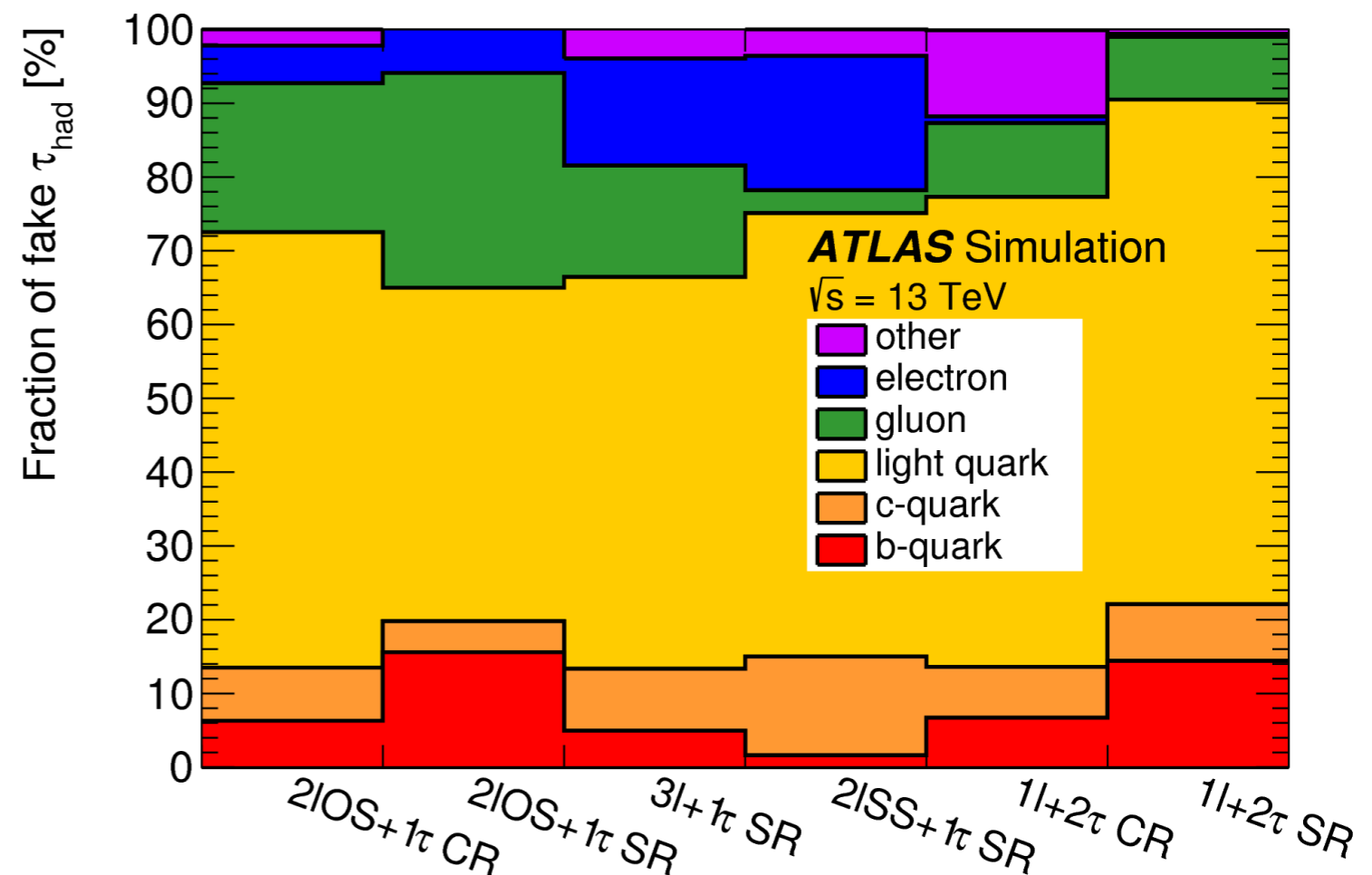
* $2\ell OS+1\tau$: fake factor

- Mainly $t\bar{t}$ with jet faking τ
- Fake rates parametrised in τ p_T

* $2\ell SS+1\tau$ and $3\ell+1\tau$: MC correction with SF derived from **{DD($2\ell OS+1\tau$)/MC}**

- Harmonised 1-fake- τ estimate for all channels, profit from large statistics from $2\ell OS+1\tau$

• Final SF = 1.36 ± 0.16



Data-driven fake estimate uncertainties



Data-driven non-prompt/fake leptons and charge misassignment		
Control region statistics	SN	38
Light-lepton efficiencies	SN	22
Non-prompt light-lepton estimates: non-closure	N	5
γ -conversion fraction	N	5
Fake τ_{had} estimates	N/SN	12
Electron charge misassignment	SN	1
Total (Data-driven reducible background)	–	83

* Light lepton efficiencies:

- real eff (1), fake eff (6 μ , 2 el, 3 prompt background subtraction theory uncertainties), 4 ℓ fake rate (1), 2 ℓ SS1 τ (10)

* γ conversion fraction and non-closure uncertainties:

- Uncorrelated across channels (ee, e μ , Xee, Xe μ , 2 ℓ SS1 τ), affecting only normalisation

* Electron charge miss assignment:

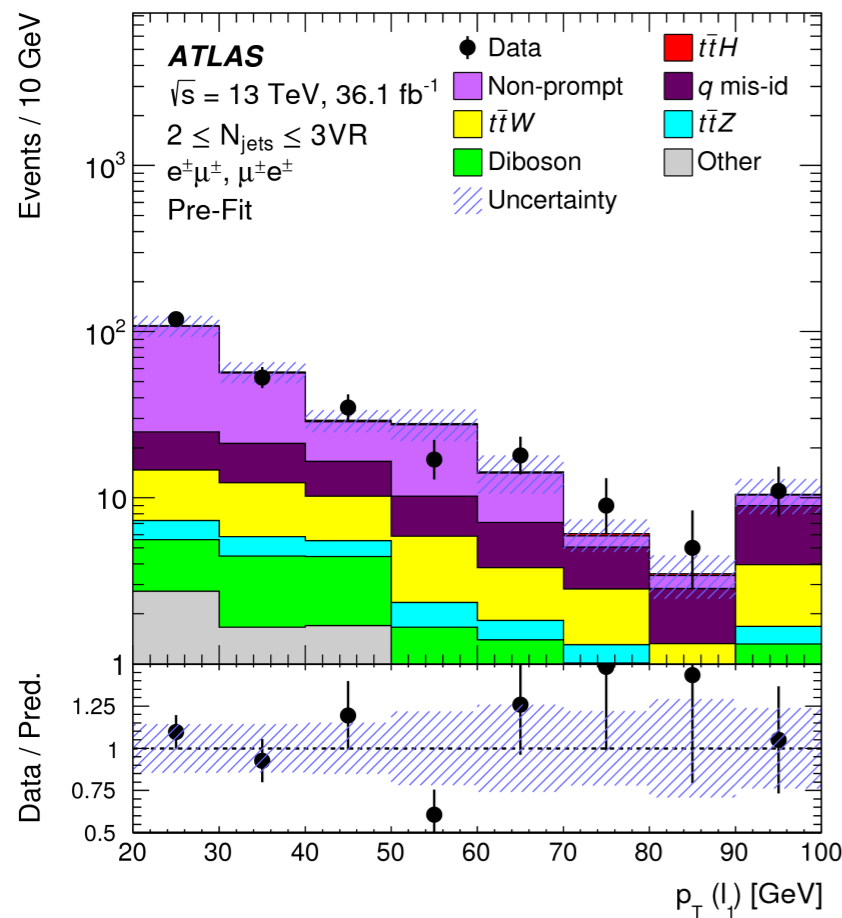
- Anti-correlated among background in SR and subtraction from fake rate calculation

Fakes/non-prompt ℓ validation

* Overall **reasonable data/prediction agreement** with estimates fakes in VRs

“Low N_{jets} ”

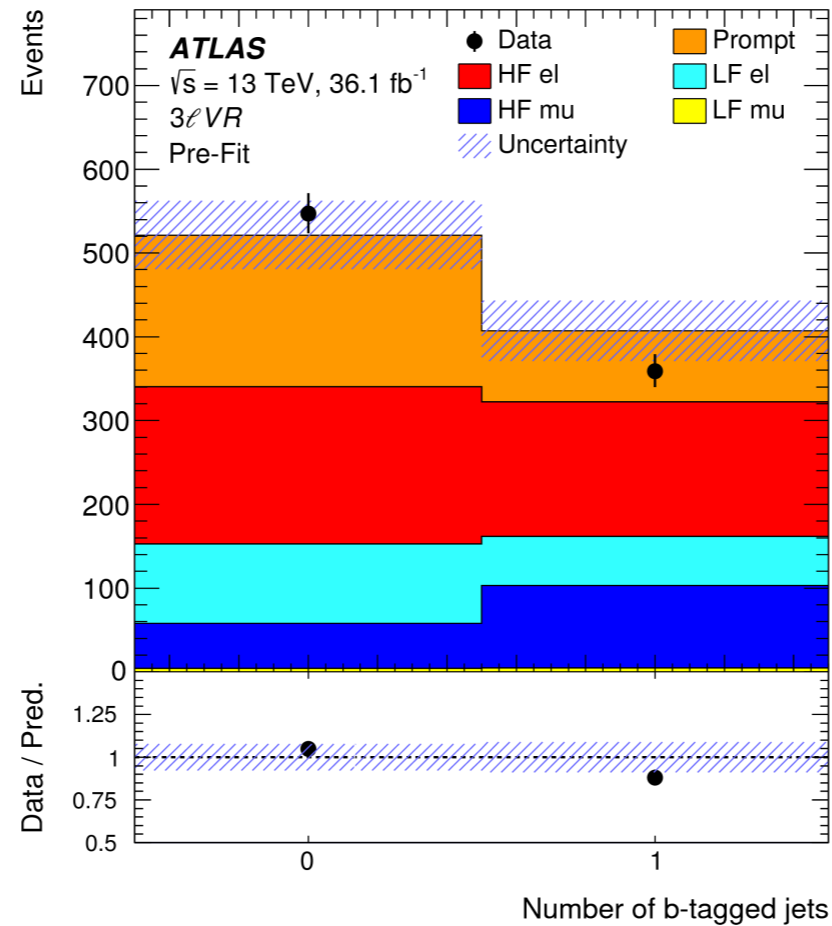
$2 \leq N_{\text{jets}} \leq 3, N_{\text{jets}} \geq 1$



2 ℓ SS+0 τ
fake ℓ

“3 loose light ℓ ”

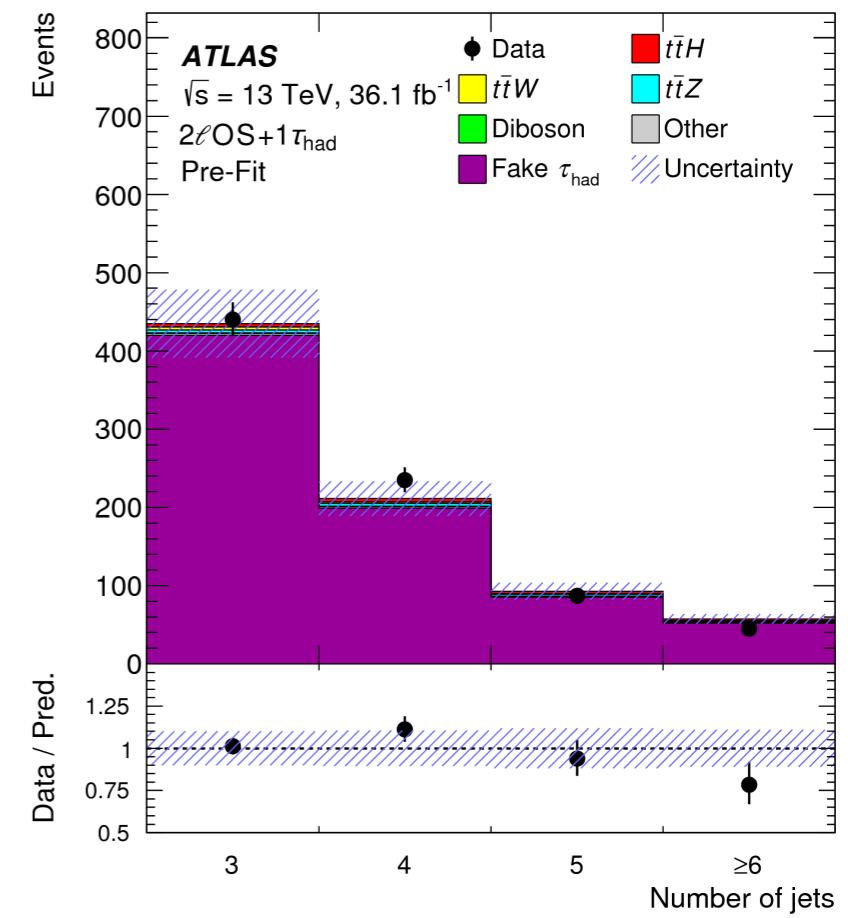
$N_{\text{jets}} \geq 3$



4 ℓ
fake ℓ

“Pre-selection”

$N_{\text{jets}} \geq 3$



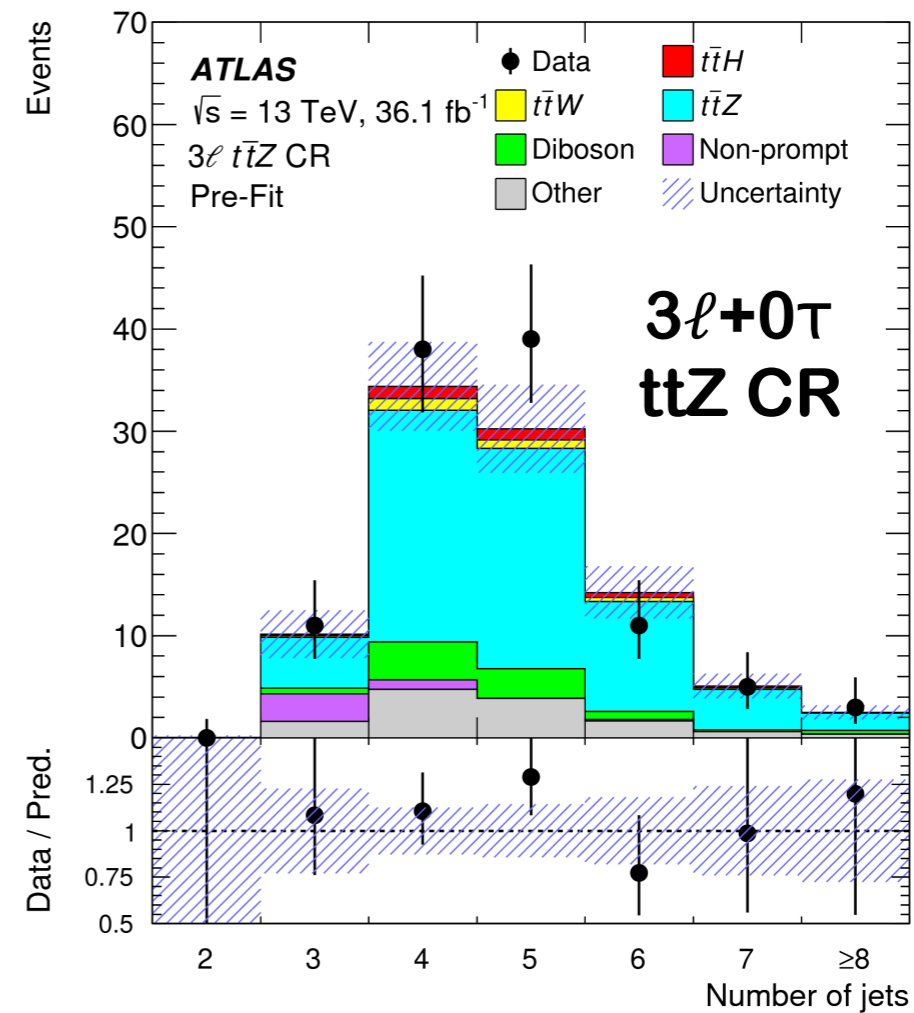
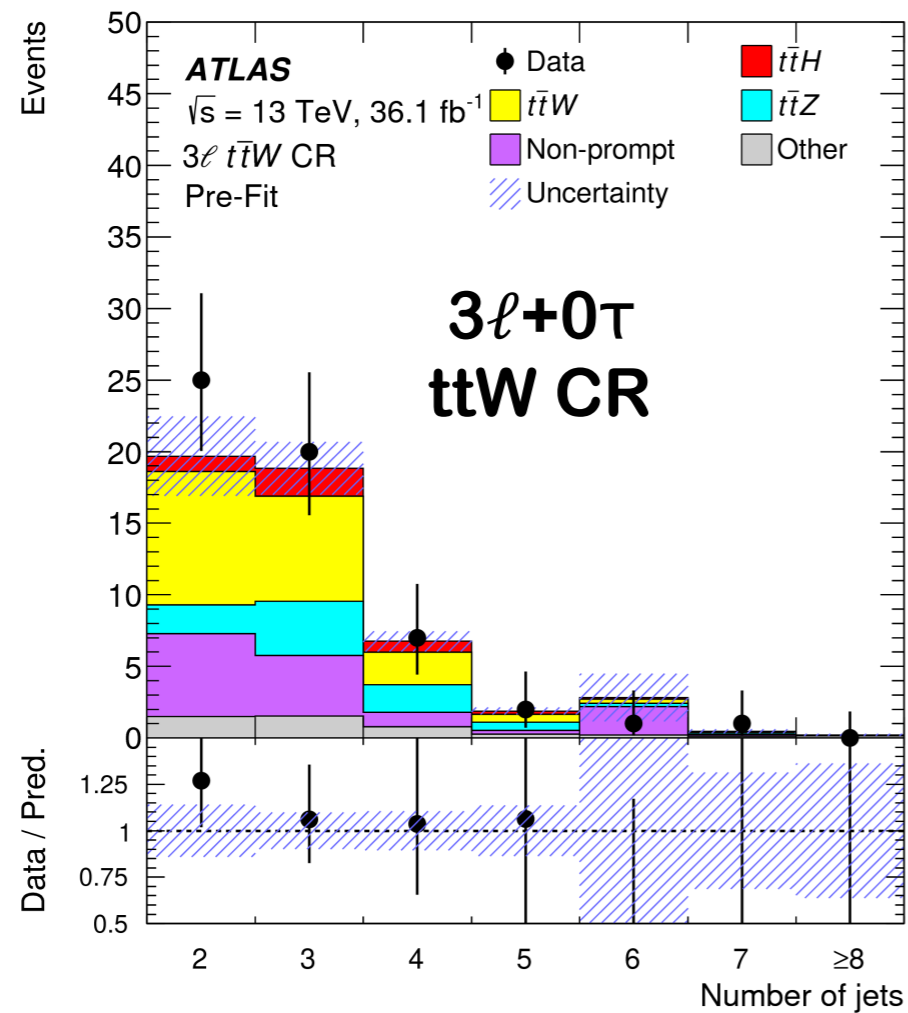
2 ℓ OS+1 τ
fake τ

- * The $t\bar{t}W$ and $t\bar{t}Z$ processes have only recently been observed
 - Their properties are not yet fully understood
 - Nor its behaviour in **higher jet multiplicity final states** such as $t\bar{t}H$ SR
- * When possible, **design CRs** enriched in this background
 - Difficult to have $t\bar{t}V$ -enriched CR in the high N_{jet} region but with low signal contamination!
- * In the last result:
 - **3 ℓ CRs** enriched in $t\bar{t}Z$ ($\sim 70\%$ purity) and in $t\bar{t}W$ ($\sim 45\%$ purity) included in the fit
 - 5-dimensional multinomial BDTs mapped to 5 categories ($t\bar{t}H$, $t\bar{t}W$, $t\bar{t}Z$, $t\bar{t}$, VV)
 - **Alternative-fit:** $t\bar{t}Z$ and $t\bar{t}W$ normalisation free-floating
 - 15% loss in sensitivity: $\mu(t\bar{t}H) = 1.57^{+0.57}_{-0.50}$
 - $\mu(t\bar{t}Z/W)$ in agreement with SM: $\mu_{t\bar{t}W} = 0.92 \pm 0.32$; $\mu_{t\bar{t}Z} = 1.17^{+0.25}_{-0.22}$

Prompt ℓ background validation

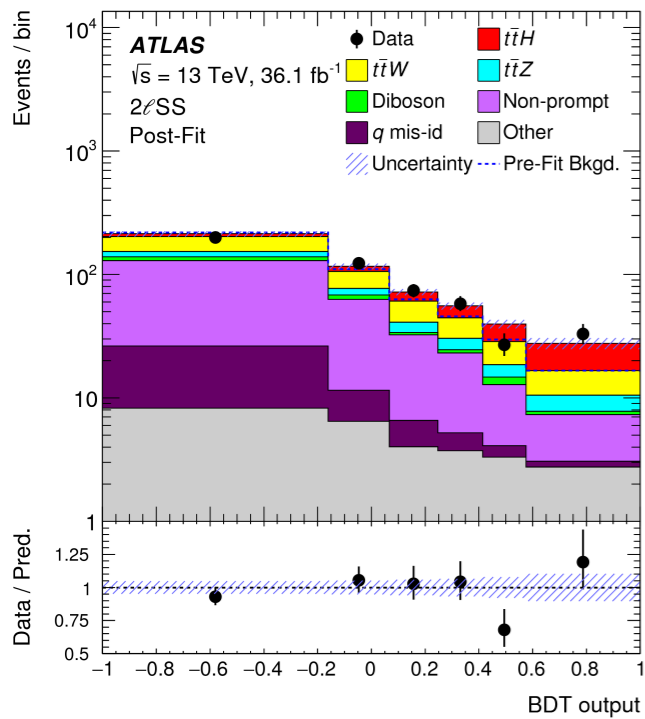


- * Overall **good data/prediction agreement** in $t\bar{t}V$ -enriched CRs using MC simulation
 - Also good agreement in cut-based VRs

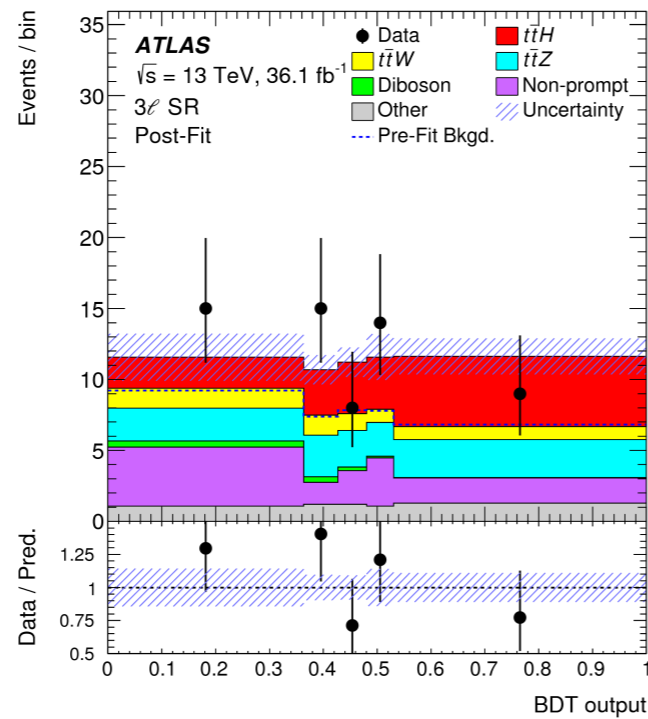


Post-fit signal regions

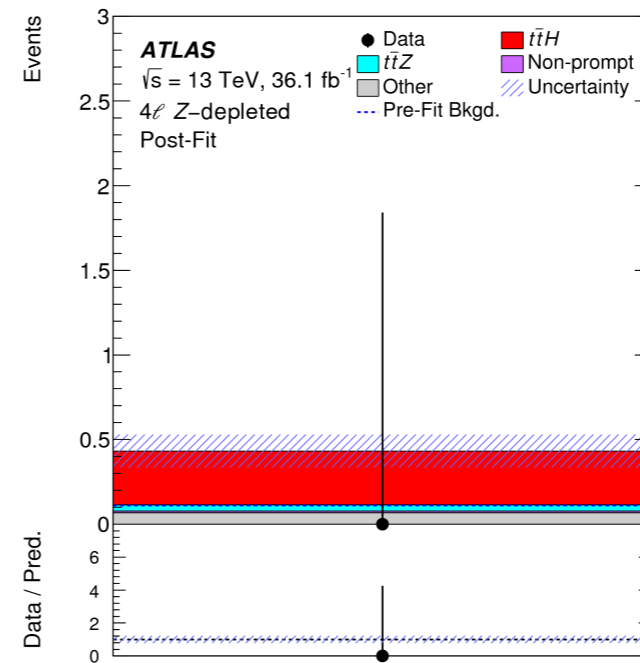
2 ℓ SS+0 τ



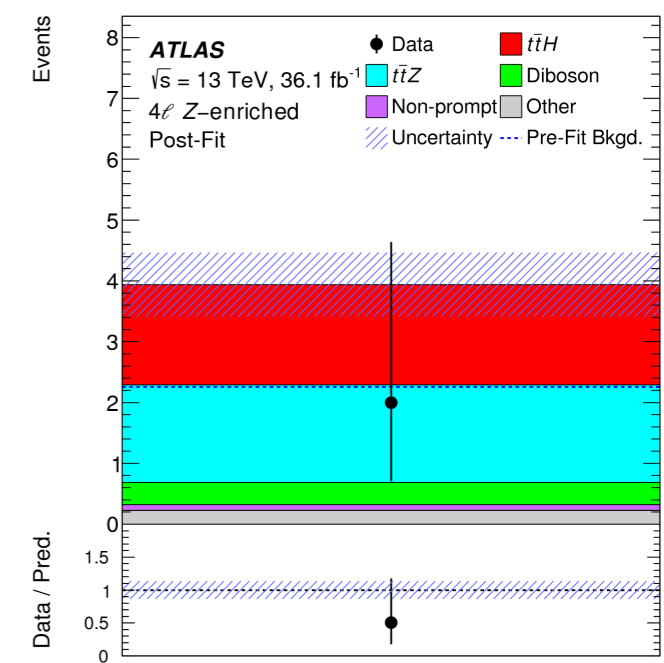
3 ℓ +0 τ



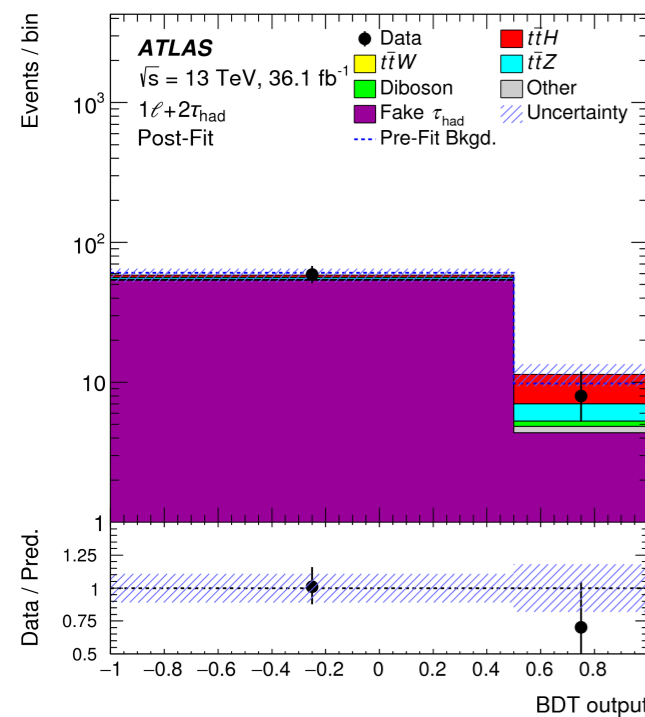
4 ℓ (Z-depleted)



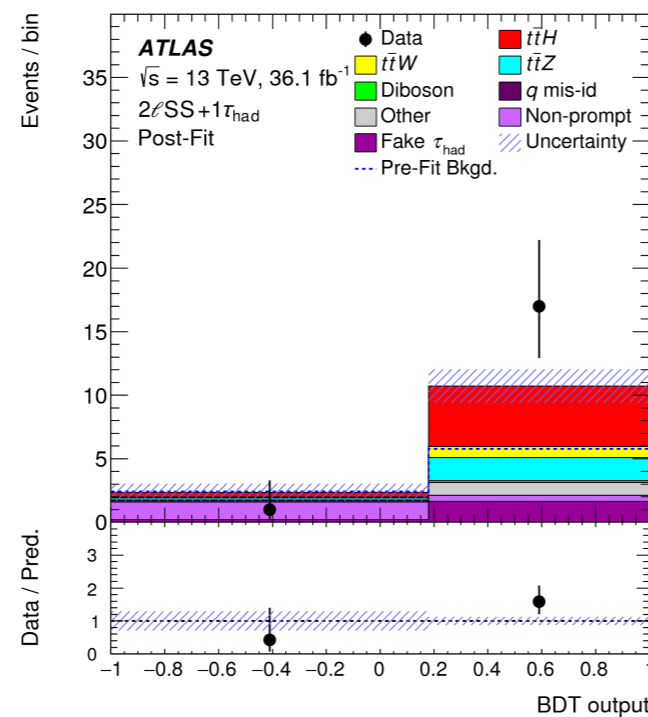
4 ℓ (Z-enriched)



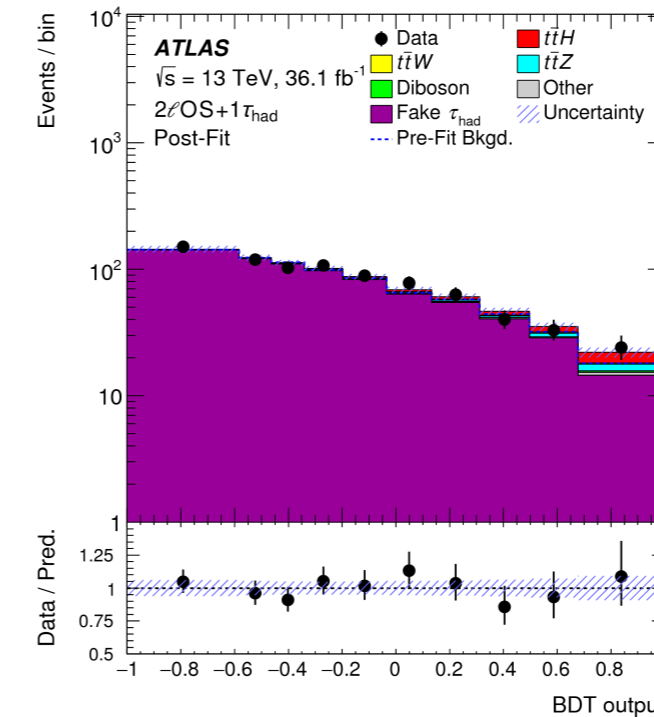
1 ℓ +2 τ



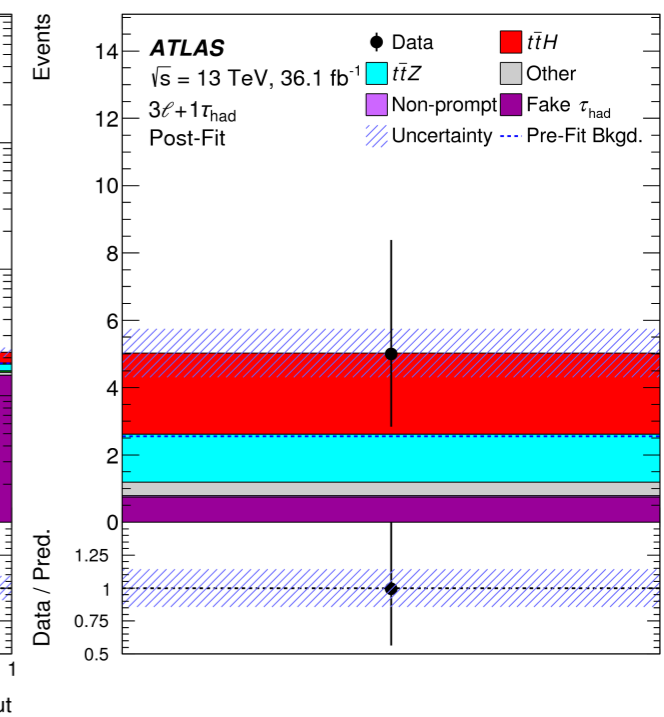
2 ℓ SS+1 τ



2 ℓ OS+1 τ



3 ℓ +1 τ

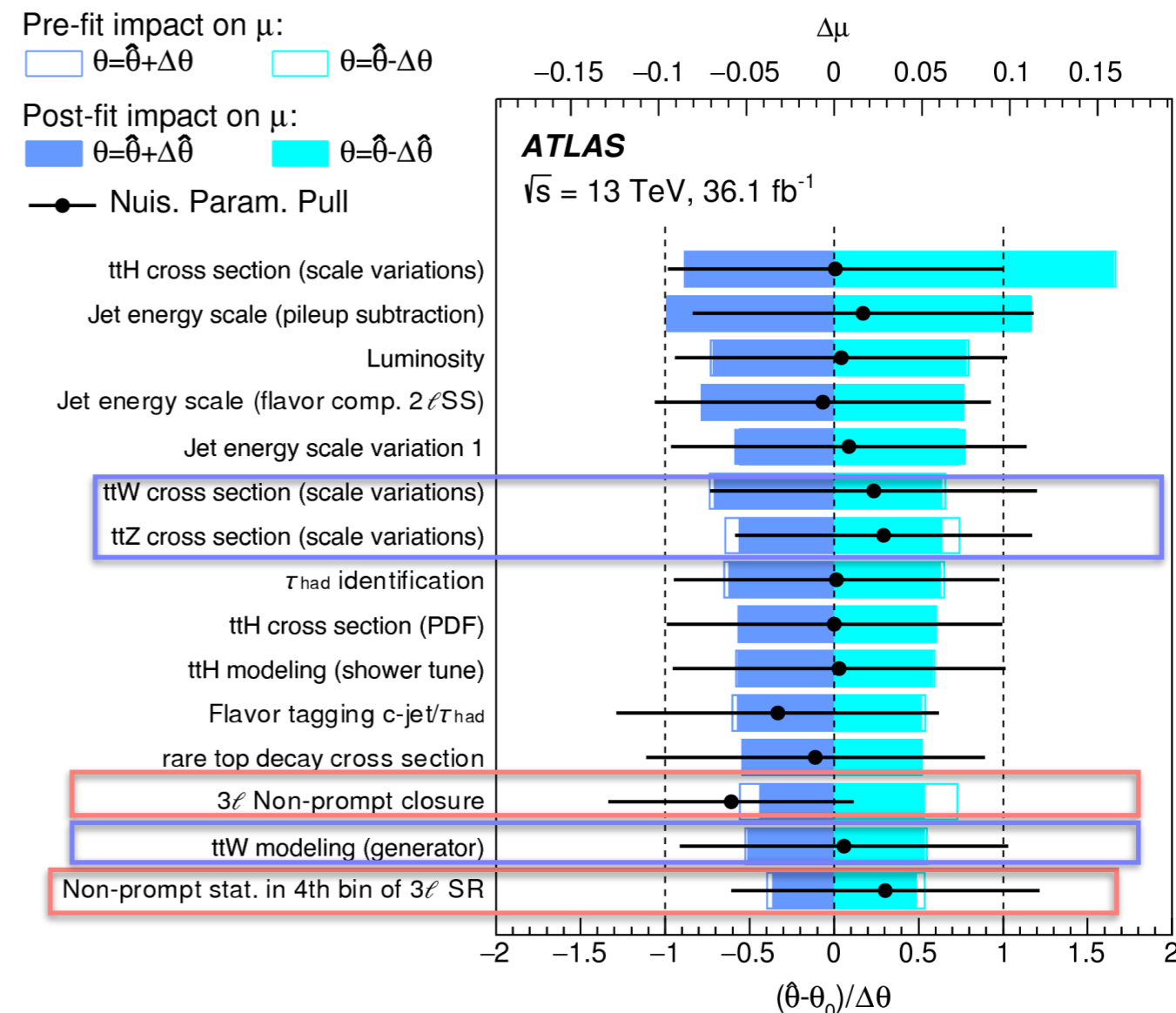


Impact of DD systematic uncertainties



- * **Non-prompt light ℓ estimates uncertainties** ranked as **3rd** group of systematics with the largest impact on the signal strength measurement
- * $t\bar{t}W$ and $t\bar{t}Z$ **theory** modelling uncertainties also have an important impact on the signal strength
- * **No major constraints or pulls** of nuisance parameters

Uncertainty Source	$\Delta\mu$	
$t\bar{t}H$ modeling (cross section)	+0.20	-0.09
Jet energy scale and resolution	+0.18	-0.15
Non-prompt light-lepton estimates	+0.15	-0.13
Jet flavor tagging and τ_{had} identification	+0.11	-0.09
$t\bar{t}W$ modeling	+0.10	-0.09
$t\bar{t}Z$ modeling	+0.08	-0.07
Other background modeling	+0.08	-0.07
Luminosity	+0.08	-0.06
$t\bar{t}H$ modeling (acceptance)	+0.08	-0.04
Fake τ_{had} estimates	+0.07	-0.07
Other experimental uncertainties	+0.05	-0.04
Simulation sample size	+0.04	-0.04
Charge misassignment	+0.01	-0.01
Total systematic uncertainty	+0.39	-0.30



- * why did the light lepton fake estimate go down by $\sim 30\%$ in the updated version of the results?
- * how do you exactly use the FF method to provide an MVA-shape-dependent estimate in each SR? How do you treat correlations between bins?
- * what is the DD/MC ratio in each channel for fake light lepton estimates? (CMS DD estimates are in general significantly lower than ATLAS' per channel)
- * is the conversion type in the CR similar to the SR?
 - " The small remaining background is modelled using the MC simulation. The validity of the simulation has been verified in control regions (CRs) in data."
- * fake taus taken from MC without any correction? where do you validate this assumption?
- * provide ranking of nuisance parameters impact on the signal strength measurement
- * provide plots with pulls and constraints of nuisance parameters

Back-up slides



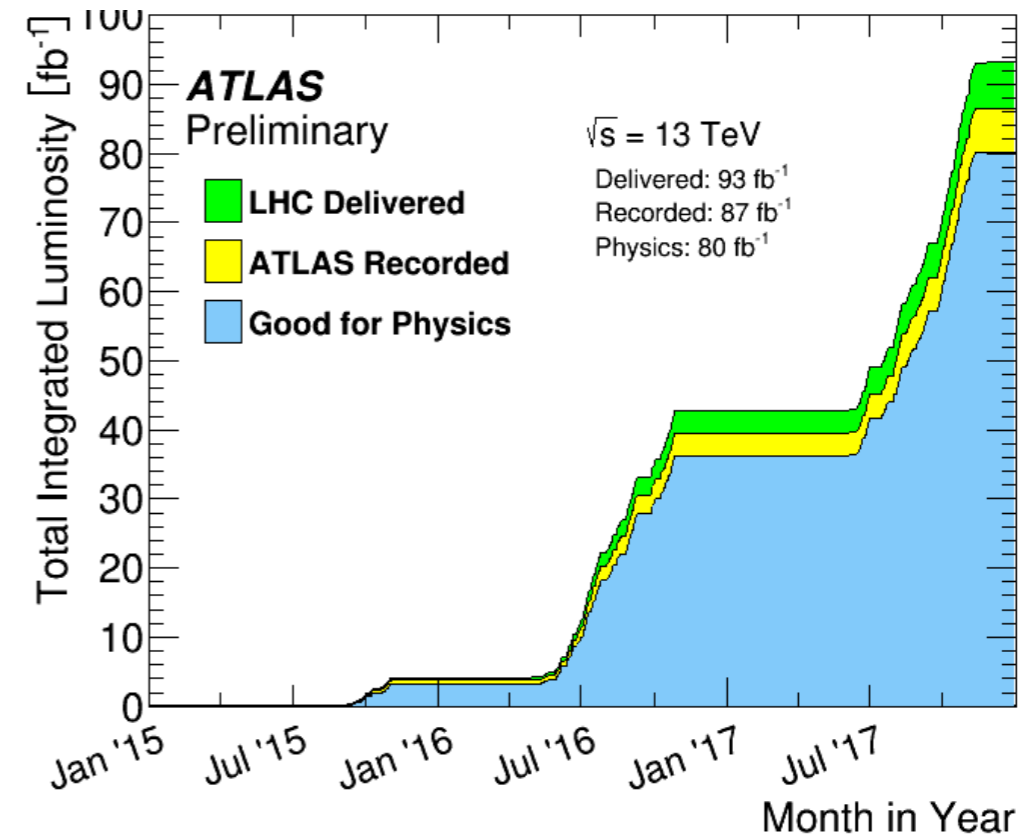
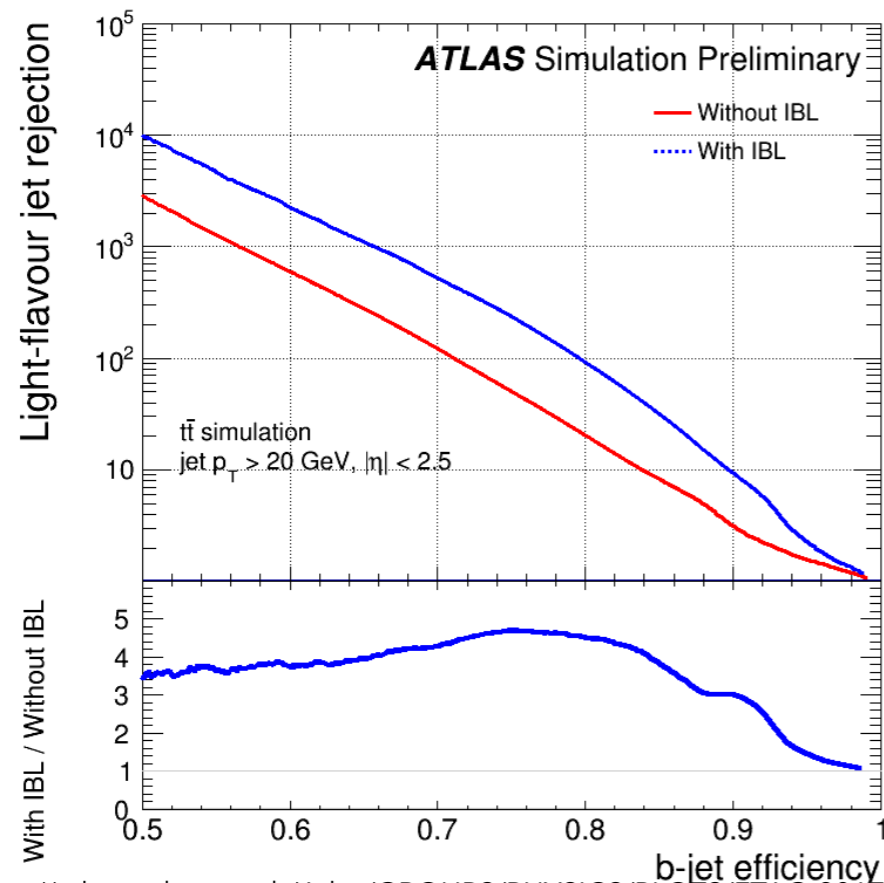
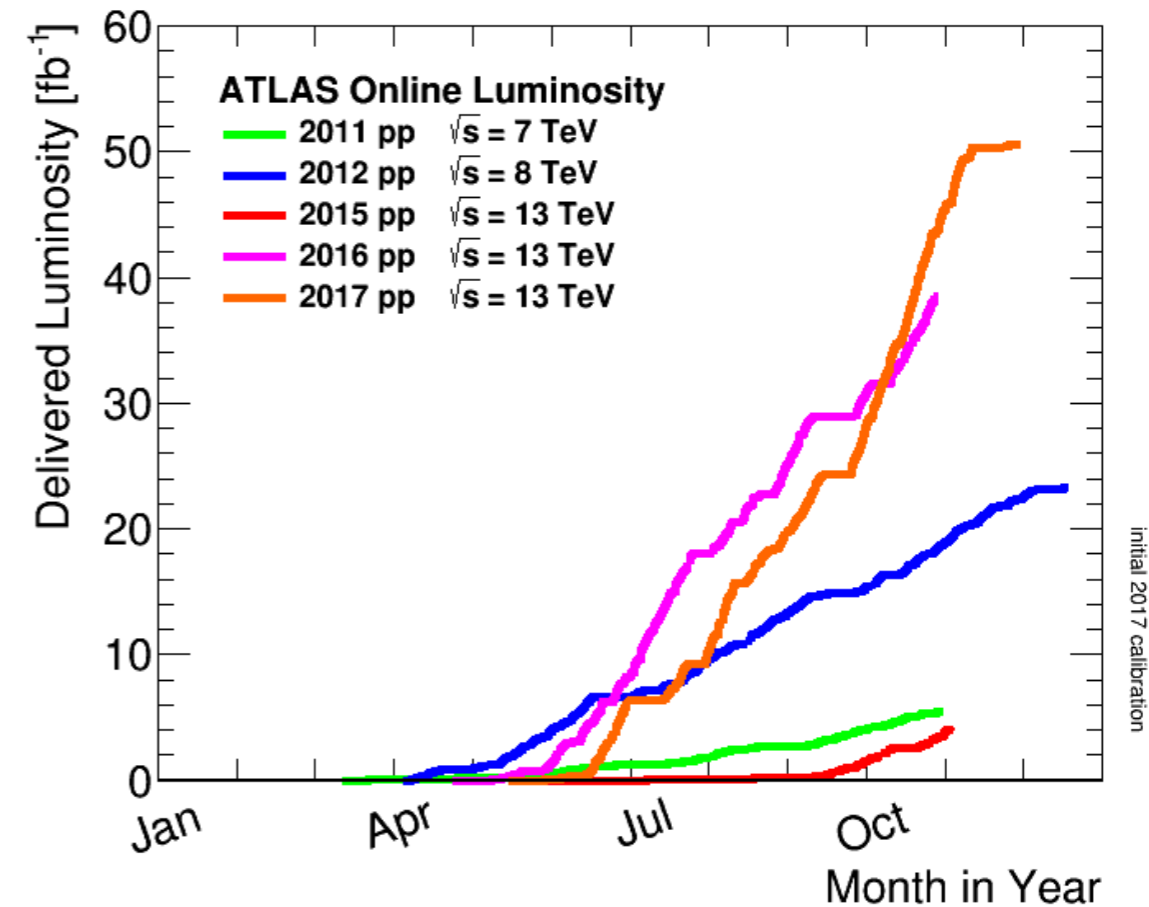
Detector performance (I)



* Excellent performance of LHC and ATLAS in Run 2 so far:

- Record instantaneous luminosity for pp interactions in 2017: $2.06 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$
 - **double** the LHC design!
- 80 fb^{-1} good for physics from 87 fb^{-1} recorded by ATLAS

* Improved b-tagging performance with the inclusion of IBL (Insertable B-Layer) for Run 2



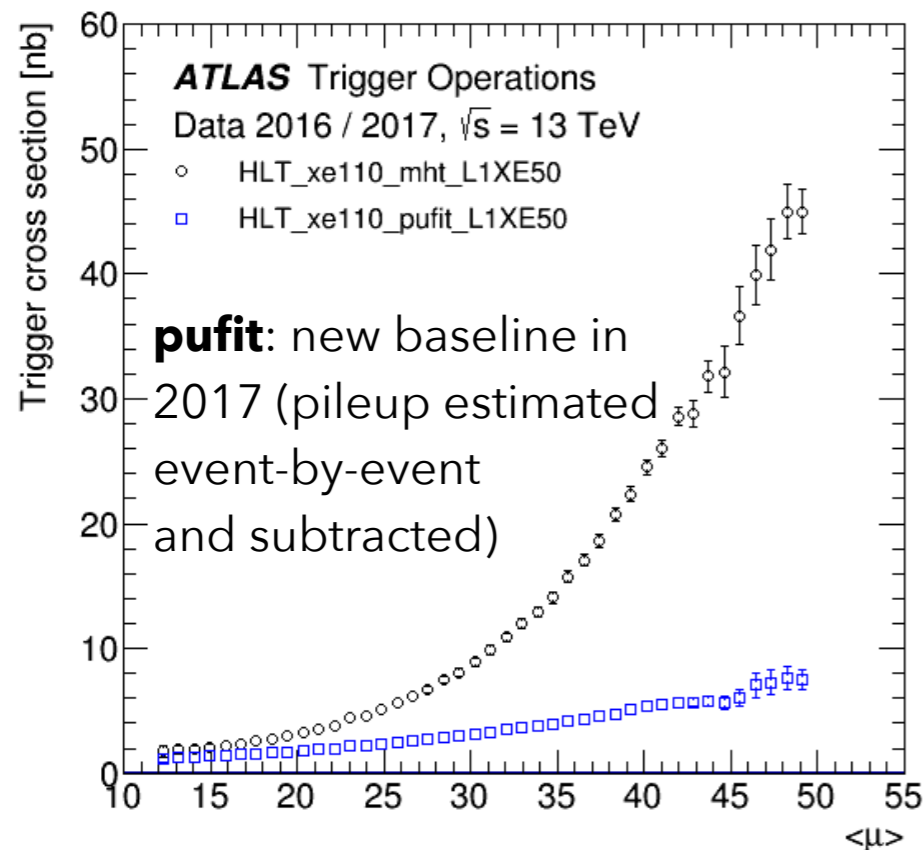
Detector performance (II)



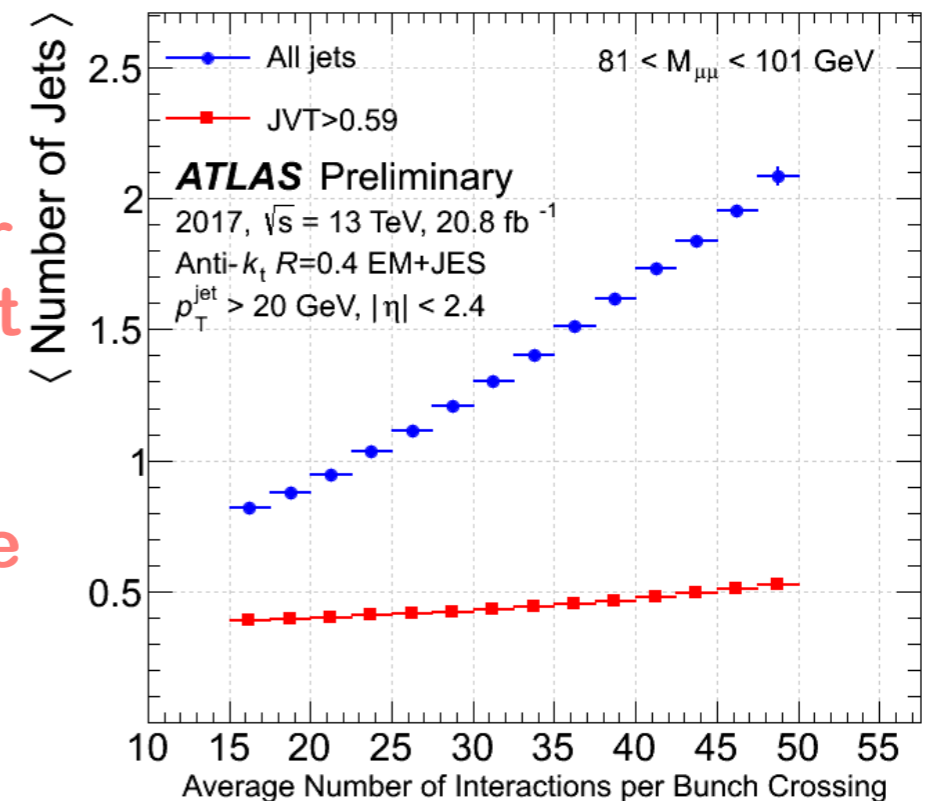
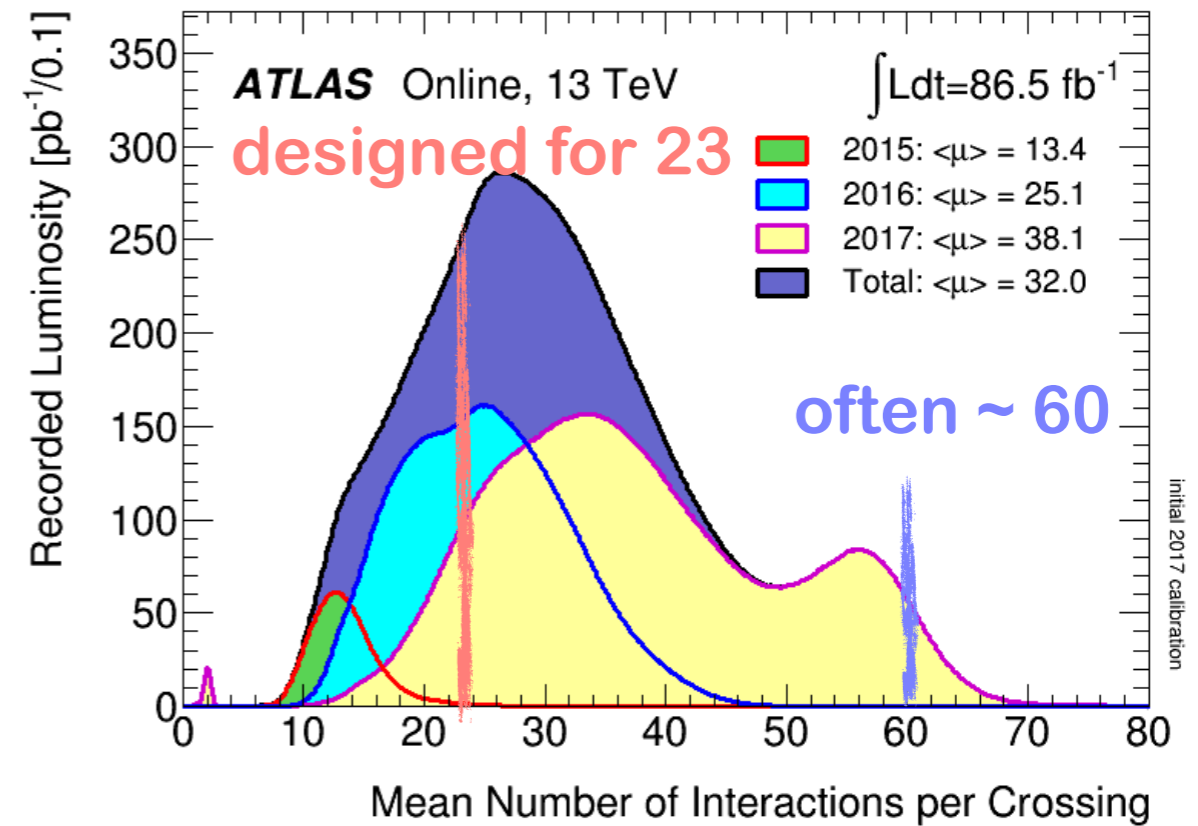
*** Biggest challenge:** robustness against pile-up

- Controlling trigger rates at high interaction per bunch crossing
- Online and offline reconstruction performance maintained even at the highest pile-up

improved HLT algorithms to suppress dependence of E_T^{miss} trigger rates on pile-up



Jet Vertex Tagger (JVT) requirement applied to jets with $20 < p_T < 60$ GeV to reduce the fake jet rate



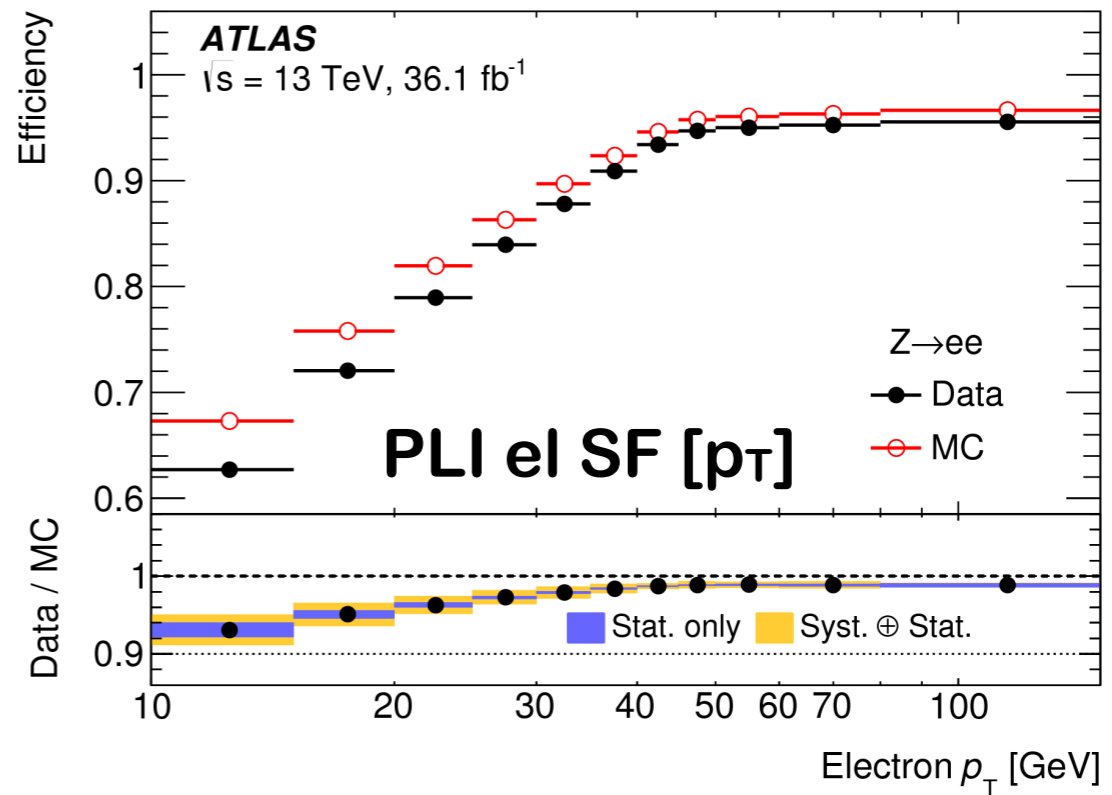
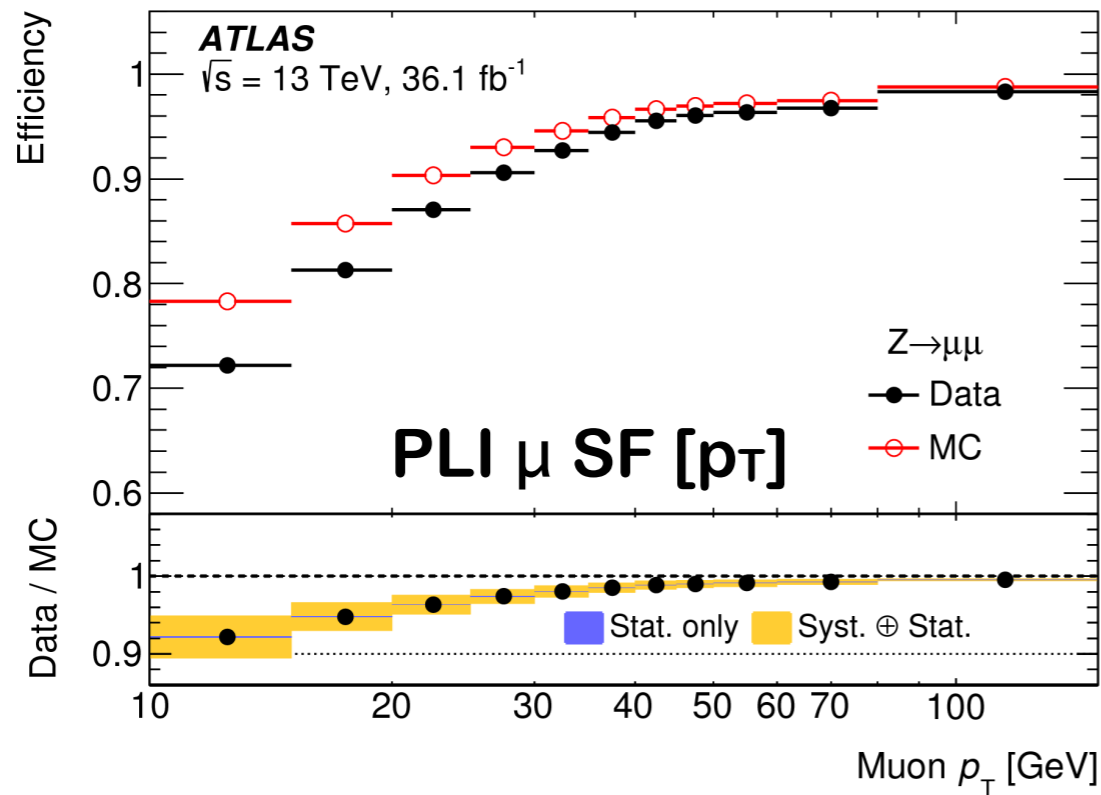
$t\bar{t}H$ (multileptons): tight lepton definition



* **Common main/important background:** non-prompt leptons from semileptonic b-decay

* **New MVA lepton isolation (PromptLeptonIso=PLI)** to reject non-prompt ℓ based on:

- lepton and overlapping **track jets** properties
- lepton track/calorimeter **isolation** variables



- **SF values ~ 0.90 - 0.98**
- SF systematic uncertainties small (max ~3% @ low p_T), w/ negligible impact in the analysis
- Factor $\mathcal{O}(20)$ rejection for leptons originating from b-hadrons

* **New MVA cut to reduce QMIsID** for $2\ell SS$ and $3\ell+0\tau$

- Factor $\mathcal{O}(17)$ background rejection for a 95% signal efficiency

$t\bar{t}H$ (multileptons): object definition summary



* Several "Loose" and "Tight" lepton definitions to optimise the event selection in each multilepton channel

	e					μ			
	L	L [†]	L*	T	T*	L	L [†]	L*/T/T*	
Isolation	No	Yes				No	Yes		
Non-prompt lepton BDT	No		Yes			No		Yes	
Identification	Loose			Tight		Loose			
Charge misassignment veto BDT	No				Yes	No			
Transverse impact parameter significance, $ d_0 /\sigma_{d_0}$	< 5					< 3			
Longitudinal impact parameter, $ z_0 \sin \theta $	< 0.5 mm								

L = Loose

L[†] = + Loose isolated

L* = + PLI isolated

T = Tight (PLI isolated)

T* = + QMisID MVA veto (el only)

T_{had}
Medium BDT ID to reject jets (1M, 1T in 1 ℓ +2 τ)
$p_T > 25$ GeV
BDT to reject el faking τ
τ - μ overlap removal
b-jet veto
T _{had} vertex is PV

Jets $p_T > 25$ GeV
BJets MV2c10 70% WP

* Minimum jet requirements: $N_{\text{jets}} \geq 2$; $N_{b\text{-jets}} \geq 1$

	2 l SS	3 l	4 l	1 l +2 τ_{had}	2 l SS+1 τ_{had}	2 l OS+1 τ_{had}	3 l +1 τ_{had}
Light lepton	2T*	1L*, 2T*	2L, 2T	1T	2T*	2L [†]	1L [†] , 2T
τ_{had}	0M	0M	–	1T, 1M	1M	1M	1M
$N_{\text{jets}}, N_{b\text{-jets}}$	$\geq 4, = 1, 2$	$\geq 2, \geq 1$	$\geq 2, \geq 1$	$\geq 3, \geq 1$	$\geq 4, \geq 1$	$\geq 3, \geq 1$	$\geq 2, \geq 1$

$t\bar{t}H$ (multileptons): multivariate analysis strategy



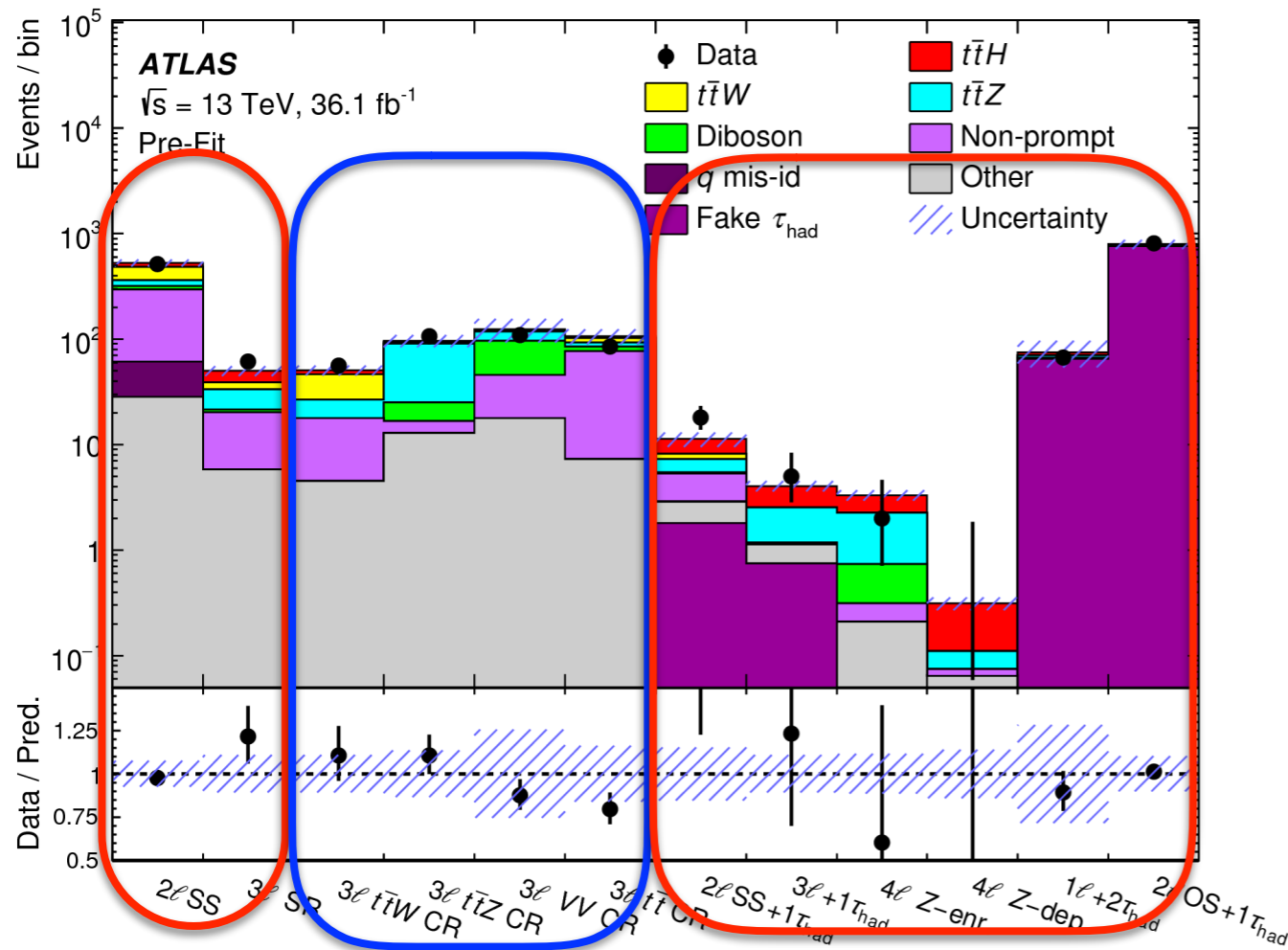
- * **Signal extraction:** fit or cut on **BDTs (boosted decision tree)** to discriminate signal against the main background processes [except in $3\ell+1\tau$]
- * **Pre-MVA region:** loose selection per channel to train MVA
 - **Input variables:** system reconstruction, pseudo-continuous b-tagging, kinematics [full list in back-up]
- * **Final selection** per channel:
 - Either pre-MVA selection (e.g. $2\ell SS+0\tau$), tighter selection (e.g. $2\ell SS+1\tau$), or split pre-MVA region in categories (e.g. $3\ell+0\tau$)
 - **$2\ell SS0\tau$:** combination of two BDTs ($t\bar{t}H$ vs. $t\bar{t}$; $t\bar{t}H$ vs. $t\bar{t}V$)
 - **$3\ell 0\tau$:** 5-dimensional multinominal BDTs mapped to 5 categories ($t\bar{t}H$, $t\bar{t}W$, $t\bar{t}Z$, $t\bar{t}$, VV)
 - **4ℓ (Z-enriched):** $t\bar{t}H$ vs. $t\bar{t}Z$
 - **$2\ell SS+1\tau$, $2\ell OS+1\tau$, $1\ell+2\tau$:** $t\bar{t}H$ vs. $t\bar{t}$ (with fake τ)

	$2\ell SS$	3ℓ	4ℓ	$1\ell+2\tau_{had}$	$2\ell SS+1\tau_{had}$	$2\ell OS+1\tau_{had}$	$3\ell+1\tau_{had}$
BDT trained against	Fakes and $t\bar{t}V$	$t\bar{t}$, $t\bar{t}W$, $t\bar{t}Z$, VV	$t\bar{t}Z$ / -	$t\bar{t}$	all	$t\bar{t}$	-
Discriminant	$2\times 1D$ BDT	$5D$ BDT	Event count	BDT	BDT	BDT	Event count
Number of bins	6	5	1 / 1	2	2	10	1
Control regions	-	4	-	-	-	-	-

$t\bar{t}H$ (multileptons): pre-fit summary

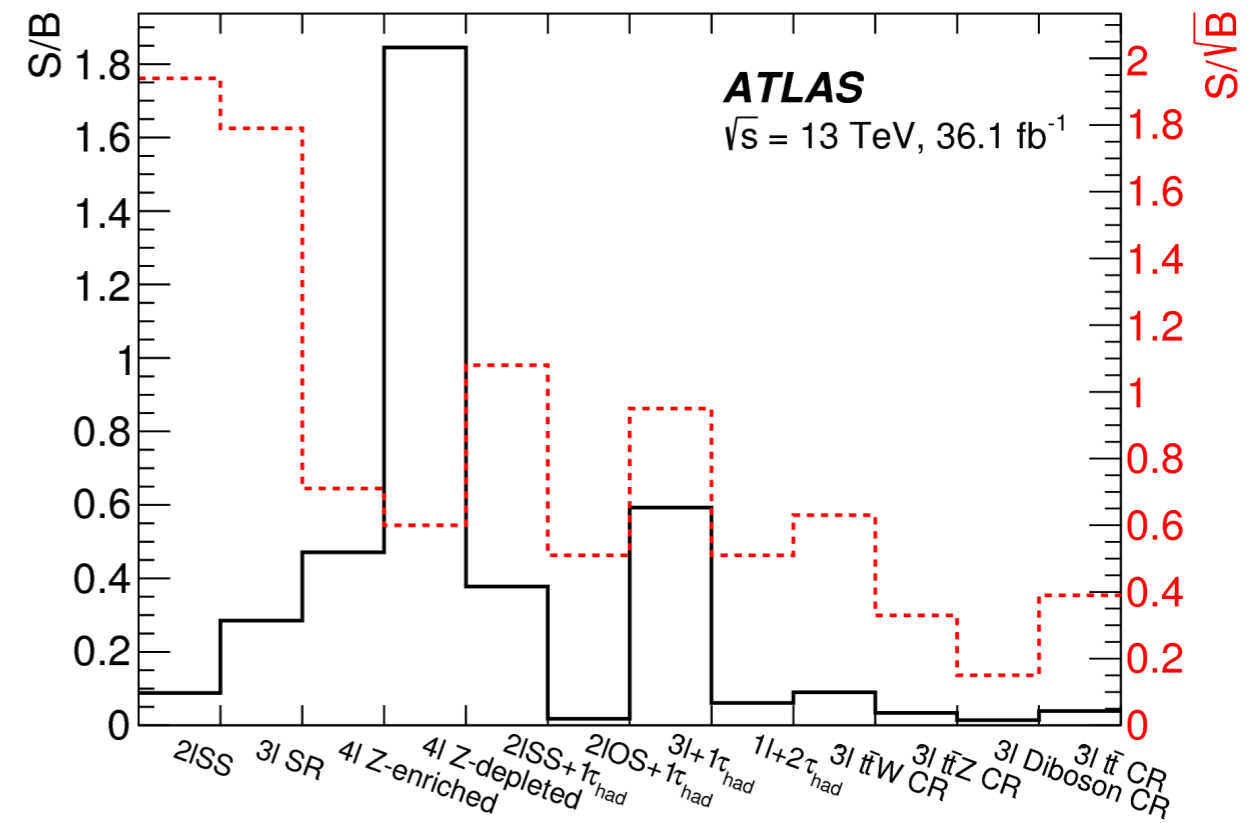


- * **Most statistically sensitive** to $t\bar{t}H$: $2\ell SS+0\tau$ and $3\ell+0\tau$
- * **Purest** but lowest statistics: 4ℓ
- * **Largest pre-fit excess** per fit category: $2\ell SS+1\tau$



**CONTROL
REGIONS**

**SIGNAL
REGIONS**



"Other": 4tops , $t\bar{t}WW$, tH , tZ

Fake control regions



Channel	Region	Selection criteria
2ℓSS (3ℓ)		$2 \leq N_{\text{jets}} \leq 3$ and $N_{b\text{-jets}} \geq 1$ One very tight, one loose light lepton with $p_{\text{T}} > 20$ (15) GeV Zero τ_{had} candidates
	ϵ_{real} ϵ_{fake}	Opposite charge; opposite flavor Same charge; opposite flavor or $\mu\mu$
4ℓ		$1 \leq N_{\text{jets}} \leq 2$ Three loose light leptons; sum of light lepton charges ± 1 Subleading same-charge lepton must be tight Veto on 3ℓ selection
	Either or	One SFOC pair with $ m(\ell^+\ell^-) - 91.2 \text{ GeV} < 10 \text{ GeV}$ $E_{\text{T}}^{\text{miss}} < 50 \text{ GeV}$, $m_{\text{T}} < 50 \text{ GeV}$ No SFOC pair Subleading jet $p_{\text{T}} > 30 \text{ GeV}$
2ℓSS+1 τ_{had}		$2 \leq N_{\text{jets}} \leq 3$ and $N_{b\text{-jets}} \geq 1$ One very tight, one loose light lepton with $p_{\text{T}} > 15 \text{ GeV}$ A SFSC pair $ m(ee) - 91.2 \text{ GeV} > 10 \text{ GeV}$ Zero or one medium τ_{had} candidate, opposite in charge to the light leptons
1ℓ+2 τ_{had}		$N_{\text{jets}} \geq 3$ and $N_{b\text{-jets}} \geq 1$ One tight light lepton, with $p_{\text{T}} > 27 \text{ GeV}$ Two τ_{had} candidates of same charge At least one τ_{had} candidate has to satisfy tight identification criteria
2ℓOS+1 τ_{had}		Two loose and isolated light leptons, with $p_{\text{T}} > 25, 15 \text{ GeV}$ One loose τ_{had} candidate $ m(\ell^+\ell^-) - 91.2 \text{ GeV} > 10 \text{ GeV}$ and $m(\ell^+\ell^-) > 12 \text{ GeV}$ $N_{\text{jets}} \geq 3$ and $N_{b\text{-jets}} = 0$

MC samples



Process	Event generator	ME order	Parton Shower	PDF	Tune
$t\bar{t}H$	MG5_AMC (MG5_AMC)	NLO (NLO)	PYTHIA 8 (HERWIG++)	NNPDF 3.0 NLO [71] (CT10 [72])	A14 (UE-EE-5)
$tHqb$	MG5_AMC	LO	PYTHIA 8	CT10	A14
tHW	MG5_AMC	NLO	HERWIG++	CT10	UE-EE-5
$t\bar{t}W$	MG5_AMC (SHERPA 2.1.1)	NLO (LO multileg)	PYTHIA 8 (SHERPA)	NNPDF 3.0 NLO (NNPDF 3.0 NLO)	A14 (SHERPA default)
$t\bar{t}(Z/\gamma^* \rightarrow ll)$	MG5_AMC (SHERPA 2.1.1)	NLO (LO multileg)	PYTHIA 8 (SHERPA)	NNPDF 3.0 NLO (NNPDF 3.0 NLO)	A14 (SHERPA default)
tZ	MG5_AMC	LO	PYTHIA 6	CTEQ6L1	Perugia2012
tWZ	MG5_AMC	NLO	PYTHIA 8	NNPDF 2.3 LO	A14
$t\bar{t}t, t\bar{t}\bar{t}$	MG5_AMC	LO	PYTHIA 8	NNPDF 2.3 LO	A14
$t\bar{t}W^+W^-$	MG5_AMC	LO	PYTHIA 8	NNPDF 2.3 LO	A14
$t\bar{t}$	POWHEG-BOX v2 [73]	NLO	PYTHIA 8	NNPDF 3.0 NLO	A14
$t\bar{t}\gamma$	MG5_AMC	LO	PYTHIA 8	NNPDF 2.3 LO	A14
s -, t -channel, Wt single top	POWHEG-BOX v1 [74,75,76]	NLO	PYTHIA 6	CT10	Perugia2012
$VV(\rightarrow llXX),$ $qqVV, VVV$	SHERPA 2.1.1	MEPS NLO	SHERPA	CT10	SHERPA default
$Z \rightarrow l^+l^-$	SHERPA 2.2.1	MEPS NLO	SHERPA	NNPDF 3.0 NLO	SHERPA default

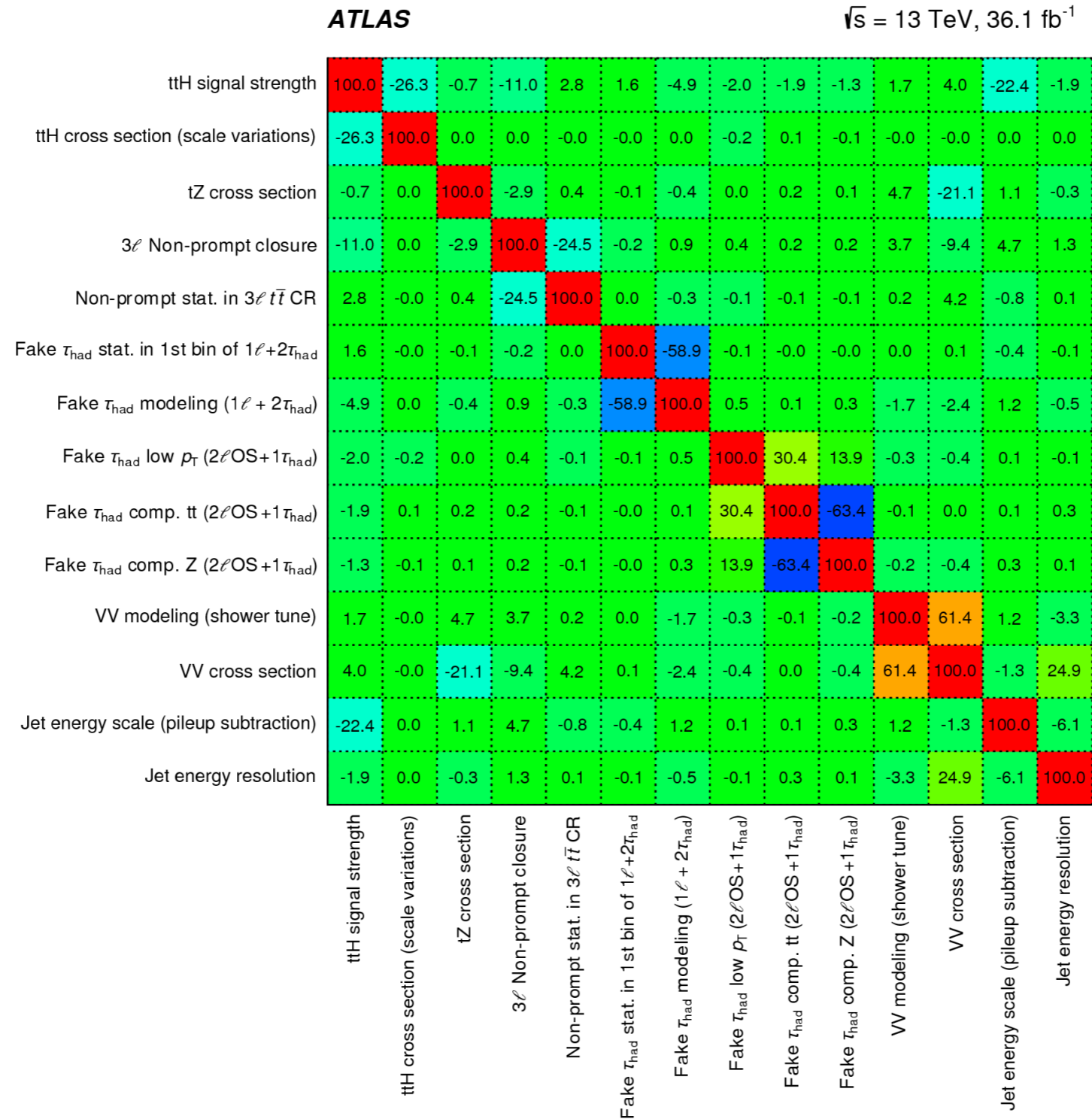
Input variables for MVA



Variable	2ℓSS	3ℓ	4ℓ	1ℓ+2τ _{had}	2ℓSS+1τ _{had}	2ℓOS+1τ _{had}
Lepton properties						
Leading lepton p_T		×				
Second leading lepton p_T	×	×			×	
Third lepton p_T		×				
Dilepton invariant mass (all combinations)	×	×*				×
Three-lepton invariant mass		×				
Four-lepton invariant mass			×			
Best Z -candidate dilepton invariant mass			×			
Other Z -candidate dilepton invariant mass			×			
Scalar sum of all leptons p_T			×			×
Second leading lepton track isolation					×	
Maximum $ \eta $ (lepton 0, lepton 1)	×				×*	
Lepton flavor	×*	×*				
Lepton charge		×				
Jet properties						
Number of jets	×*	×*		×	×	×
Number of b -tagged jets	×*	×*		×	×	×
Leading jet p_T						×
Second leading jet p_T		×			×*	
Leading b -tagged jet p_T		×				
Scalar sum of all jets p_T		×		×	×	×
Scalar sum of all b -tagged jets p_T						×
Has leading jet highest b -tagging weight?		×				
b -tagging weight of leading jet		×				
b -tagging weight of second leading jet		×			×	
b -tagging weight of third leading jet					×	
Pseudorapidity of fourth leading jet					×	
τ_{had}						
Leading τ _{had} p_T				×		×
Second leading τ _{had} p_T				×		
Di-τ _{had} invariant mass				×		
Invariant mass τ _{had} -furthest lepton					×	
Angular distances						
ΔR (lepton 0, lepton 1)		×				
ΔR (lepton 0, lepton 2)		×				
ΔR (lepton 0, closest jet)	×	×				
ΔR (lepton 0, leading jet)		×			×	
ΔR (lepton 0, closest b -jet)		×				
ΔR (lepton 1, closest jet)	×	×				
ΔR (lepton 2, closest jet)		×				
Smallest ΔR (lepton, jet)		×				×
Smallest ΔR (lepton, b -tagged jet)						×
Smallest ΔR (non-tagged jet, b -tagged jet)						×
ΔR (lepton 0, τ _{had})						×
ΔR (lepton 1, τ _{had})						×
Minimum ΔR between all jets				×		
ΔR between two leading jets					×	
\vec{p}_T^{miss}						
Missing transverse momentum E_T^{miss}	×		×			
Azimuthal separation $\Delta\phi$ (leading jet, \vec{p}_T^{miss})		×				
Transverse mass leptons (H/Z decay) - \vec{p}_T^{miss}			×			
Pseudo-Matrix-Element			×			

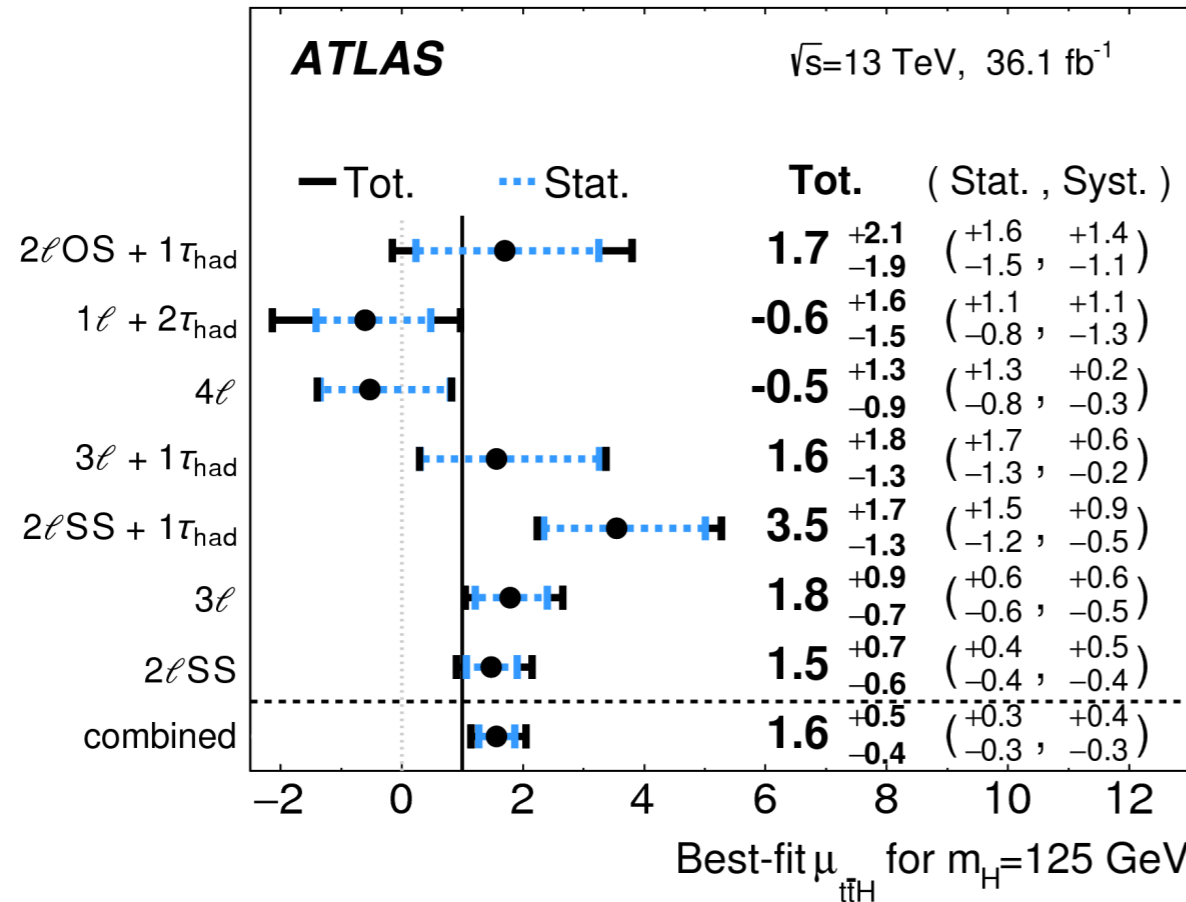
The variables used in cross-check analyses are indicated by a *

Correlation NPs



Correlation min threshold = 20%

$t\bar{t}H$ (multileptons): fit results (II)



Channel	Significance	
	Observed	Expected
$2\ell OS + 1\tau_{had}$	0.9σ	0.5σ
$1\ell + 2\tau_{had}$	-	0.6σ
4ℓ (*)	-	0.8σ
$3\ell + 1\tau_{had}$	1.3σ	0.9σ
$2\ell SS + 1\tau_{had}$	3.4σ	1.1σ
3ℓ	2.4σ	1.5σ
$2\ell SS$	2.7σ	1.9σ
Combined	4.1σ	2.8σ

* Cross-section extrapolated to the inclusive phase space:

- $\sigma(t\bar{t}H) = 790 \pm 150$ (stat.) $^{+170}_{-150}$ (syst.) fb

* Significance with respect to background-only hypothesis = **4.1 σ (2.8 σ) obs (exp)**

* Compatible with SM (within 1.4σ)

* Compatibility (7 chan.) = 34%

* **Alternative fit:** $t\bar{t}Z$ and $t\bar{t}W$ normalisation free-floating

- 15% loss in sensitivity: $\mu(t\bar{t}H) = 1.57^{+0.57}_{-0.50}$

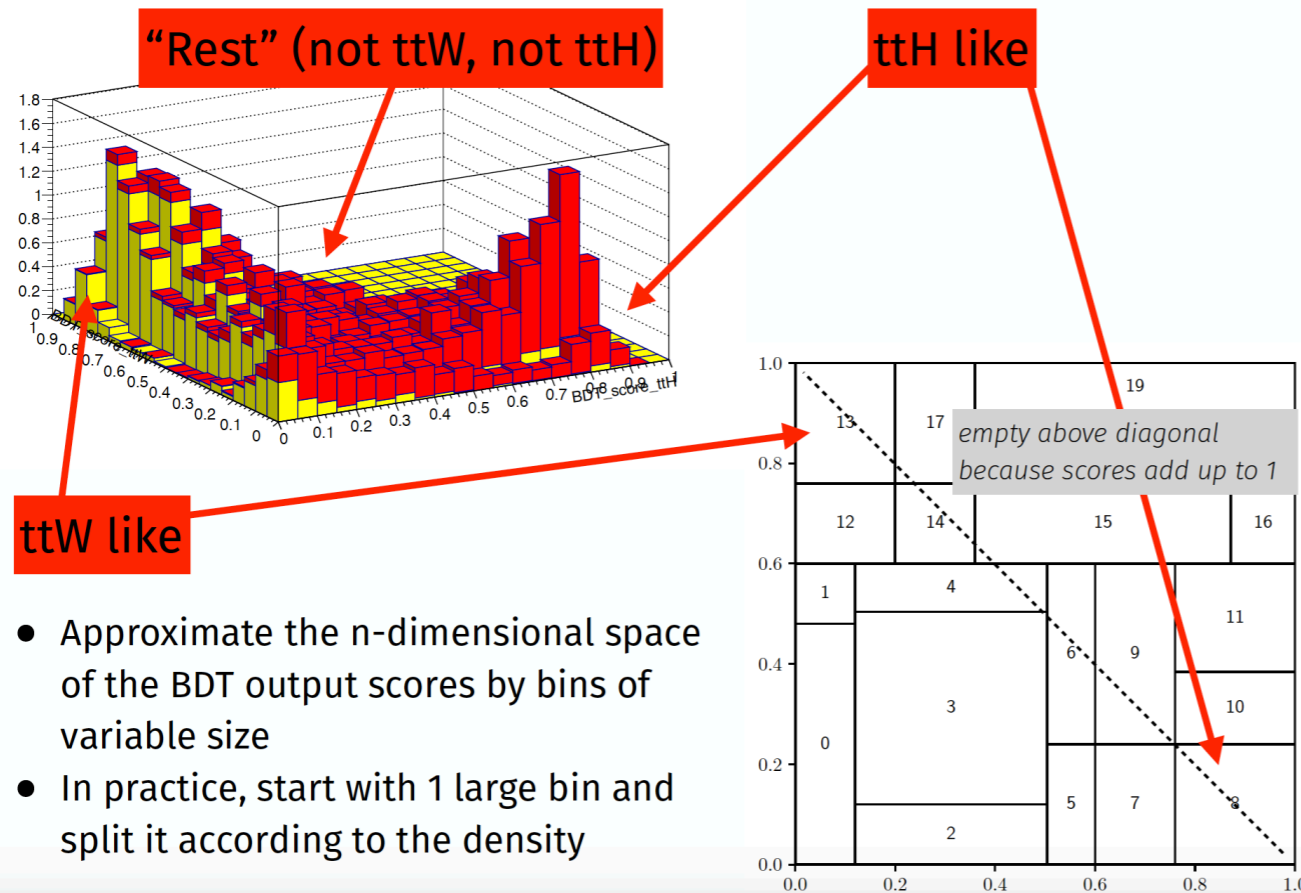
- $\mu(t\bar{t}Z/W)$ in agreement with SM: $\mu_{t\bar{t}W} = 0.92 \pm 0.32$; $\mu_{t\bar{t}Z} = 1.17^{+0.25}_{-0.22}$

(*) for $m(4\ell)$
 != Higgs
 mass
 window

Multinomial classification

* Explore multinomial classifiers to simultaneously define signal and control regions

- Processes are separated in the space of a multiD observable
- Define CRs and VRs with a topology similar to the SR

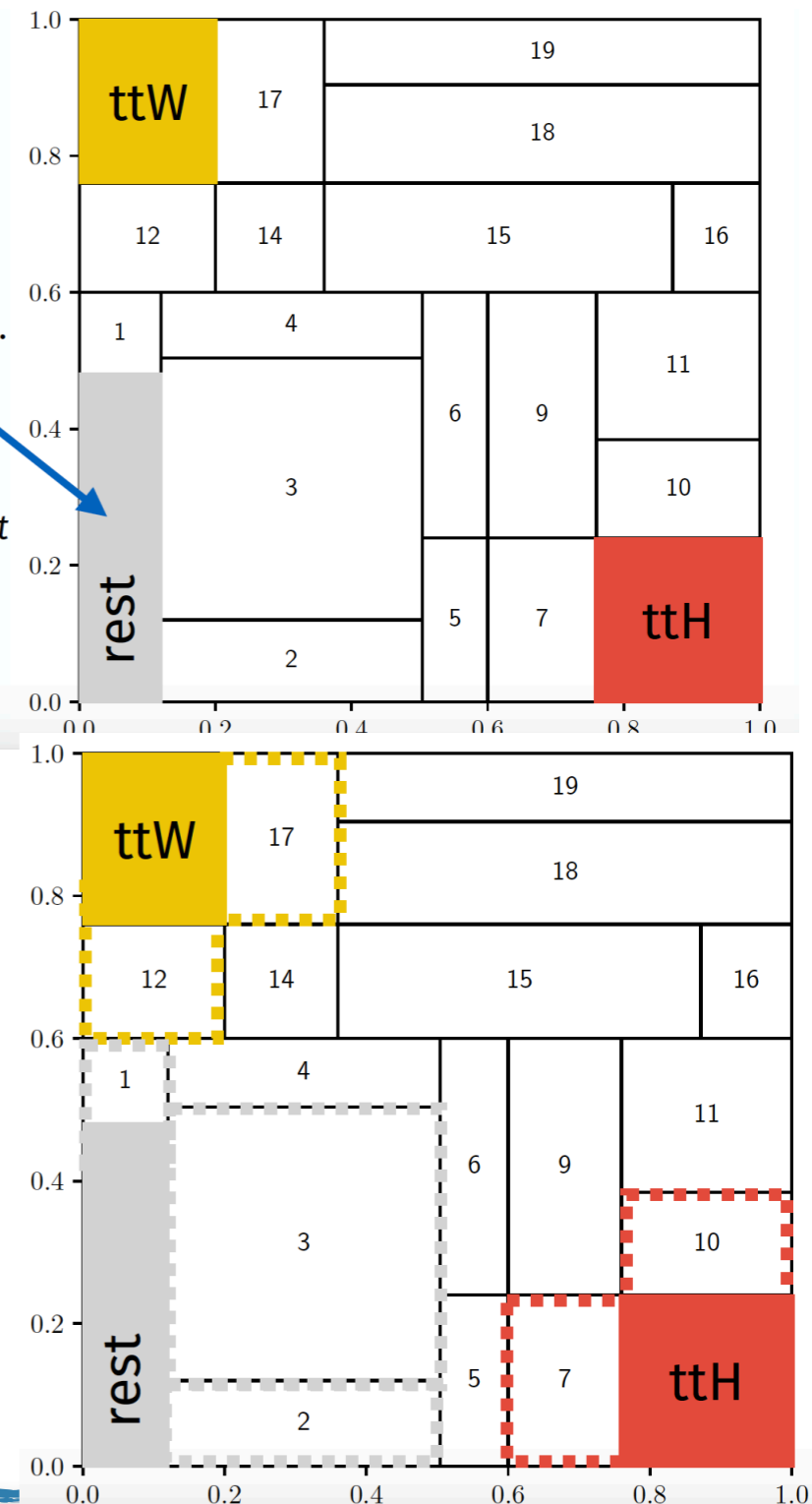


- Approximate the n-dimensional space of the BDT output scores by bins of variable size
- In practice, start with 1 large bin and split it according to the density

- Clustering: add a single neighbouring bin to the seed and compute analytically the significance again; add the cell giving the largest improvement

“rare” processes, etc.

Rest groups bins that do not contribute to ttH and ttW and speeds up the algorithm



$t\bar{t}H$ (multileptons): fake tau (II)



* $2\ell OS+1\tau$: fake factor

FF method	τ	anti- τ
apply in B:	A	B
extract FF:	C	D

	nJets	nBJets	Z cut	Used for	
A, B	2LOS+tau selection	≥ 3	≥ 1	$ M_{ee/\mu\mu} - M_Z > 10 \text{ GeV}$	to be estimated
C, D	ZVeto 3j0b	≥ 3	0	$ M_{ee/\mu\mu} - M_Z > 10 \text{ GeV}$	nominal FF
	OnZ 3j0b	≥ 3	0	$ M_{ee/\mu\mu} - M_Z < 10 \text{ GeV}$	systematics (Z+jets enriched)
	exc2j1b	2	≥ 1	$ M_{ee/\mu\mu} - M_Z > 10 \text{ GeV}$	systematics (ttbar enriched)

- τ /anti- τ definition based on BDT score of jet-vs- τ
- Reasonable agreement of yield and shape of DD estimate with data

* $2\ell SS+1\tau$ and $3\ell+1\tau$: MC correction with SF derived from $\{\mathbf{DD}(2\ell OS+1\tau) / \mathbf{MC}\}$

- Harmonised 1-fake- τ estimate for all channels, profit from large statistics from $2\ell OS+1\tau$, composition uncertainties to cover wide range of b-faking- τ content
- Final SF = 1.36 ± 0.16

Pre- and post-fit yields



Category	Non-prompt	Fake τ_{had}	q mis-id	$t\bar{t}W$	$t\bar{t}Z$	Diboson	Other	Total Bkgd.	$t\bar{t}H$	Observed
Pre-fit yields										
$2\ell\text{SS}$	233 ± 39	–	33 ± 11	123 ± 18	41.4 ± 5.6	25 ± 15	28.4 ± 5.9	484 ± 38	42.6 ± 4.2	514
3ℓ SR	14.5 ± 4.3	–	–	5.5 ± 1.2	12.0 ± 1.8	1.2 ± 1.2	5.8 ± 1.4	39.1 ± 5.2	11.2 ± 1.6	61
3ℓ $t\bar{t}W$ CR	13.3 ± 4.3	–	–	19.9 ± 3.1	8.7 ± 1.1	< 0.2	4.53 ± 0.92	46.5 ± 5.4	4.18 ± 0.46	56
3ℓ $t\bar{t}Z$ CR	3.9 ± 2.5	–	–	2.71 ± 0.56	66 ± 11	8.4 ± 5.3	12.9 ± 4.2	93 ± 13	3.17 ± 0.41	107
3ℓ VV CR	27.7 ± 8.7	–	–	4.9 ± 1.0	21.3 ± 3.4	51 ± 30	17.9 ± 6.1	123 ± 32	1.67 ± 0.25	109
3ℓ $t\bar{t}$ CR	70 ± 17	–	–	10.5 ± 1.5	7.9 ± 1.1	7.2 ± 4.8	7.3 ± 1.9	103 ± 17	4.00 ± 0.49	85
4ℓ Z-enr.	0.11 ± 0.07	–	–	< 0.01	1.52 ± 0.23	0.43 ± 0.23	0.21 ± 0.09	2.26 ± 0.34	1.06 ± 0.14	2
4ℓ Z-dep.	0.01 ± 0.01	–	–	< 0.01	0.04 ± 0.02	< 0.01	0.06 ± 0.03	0.11 ± 0.03	0.20 ± 0.03	0
$1\ell+2\tau_{\text{had}}$	–	65 ± 21	–	0.09 ± 0.09	3.3 ± 1.0	1.3 ± 1.0	0.98 ± 0.35	71 ± 21	4.3 ± 1.0	67
$2\ell\text{SS}+1\tau_{\text{had}}$	2.4 ± 1.4	1.80 ± 0.30	0.05 ± 0.02	0.88 ± 0.24	1.83 ± 0.37	0.12 ± 0.18	1.06 ± 0.24	8.2 ± 1.6	3.09 ± 0.46	18
$2\ell\text{OS}+1\tau_{\text{had}}$	–	756 ± 80	–	6.5 ± 1.3	11.4 ± 1.9	2.0 ± 1.3	5.8 ± 1.5	782 ± 81	14.2 ± 2.0	807
$3\ell+1\tau_{\text{had}}$	–	0.75 ± 0.15	–	0.04 ± 0.04	1.38 ± 0.24	0.002 ± 0.002	0.38 ± 0.10	2.55 ± 0.32	1.51 ± 0.23	5
Post-fit yields										
$2\ell\text{SS}$	211 ± 26	–	28.3 ± 9.4	127 ± 18	42.9 ± 5.4	20.0 ± 6.3	28.5 ± 5.7	459 ± 24	67 ± 18	514
3ℓ SR	13.2 ± 3.1	–	–	5.8 ± 1.2	12.9 ± 1.6	1.2 ± 1.1	5.9 ± 1.3	39.0 ± 4.0	17.7 ± 4.9	61
3ℓ $t\bar{t}W$ CR	11.7 ± 3.0	–	–	20.4 ± 3.0	8.9 ± 1.0	< 0.2	4.54 ± 0.88	45.6 ± 4.0	6.6 ± 1.9	56
3ℓ $t\bar{t}Z$ CR	3.5 ± 2.1	–	–	2.82 ± 0.56	70.4 ± 8.6	7.1 ± 3.0	13.6 ± 4.2	97.4 ± 8.6	5.1 ± 1.4	107
3ℓ VV CR	22.4 ± 5.7	–	–	5.05 ± 0.94	22.0 ± 3.0	39 ± 11	18.1 ± 5.9	106.8 ± 9.4	2.61 ± 0.82	109
3ℓ $t\bar{t}$ CR	56.0 ± 8.1	–	–	10.7 ± 1.4	8.1 ± 1.0	5.9 ± 2.7	7.1 ± 1.8	87.8 ± 7.9	6.3 ± 1.8	85
4ℓ Z-enr.	0.10 ± 0.07	–	–	< 0.01	1.60 ± 0.22	0.37 ± 0.15	0.22 ± 0.10	2.29 ± 0.28	1.65 ± 0.47	2
4ℓ Z-dep.	0.01 ± 0.01	–	–	< 0.01	0.04 ± 0.02	< 0.01	0.07 ± 0.03	0.11 ± 0.03	0.32 ± 0.09	0
$1\ell+2\tau_{\text{had}}$	–	58.0 ± 6.8	–	0.11 ± 0.11	3.31 ± 0.90	0.98 ± 0.75	0.98 ± 0.33	63.4 ± 6.7	6.5 ± 2.0	67
$2\ell\text{SS}+1\tau_{\text{had}}$	1.86 ± 0.91	1.86 ± 0.27	0.05 ± 0.02	0.97 ± 0.26	1.96 ± 0.37	0.15 ± 0.20	1.09 ± 0.24	7.9 ± 1.2	5.1 ± 1.3	18
$2\ell\text{OS}+1\tau_{\text{had}}$	–	756 ± 28	–	6.6 ± 1.3	11.5 ± 1.7	1.64 ± 0.92	6.1 ± 1.5	782 ± 27	21.7 ± 5.9	807
$3\ell+1\tau_{\text{had}}$	–	0.75 ± 0.14	–	0.04 ± 0.04	1.42 ± 0.22	0.002 ± 0.002	0.40 ± 0.10	2.61 ± 0.30	2.41 ± 0.68	5