

# Flavoured Axion Phenomenology

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1. Theory
2. Phenomenology: **hadrons**
3. Phenomenology: **leptons**

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Based on work in

PLB 777 (2018) 428-434 [1711.05741 [hep-ph]]

JHEP 1808 (2018) 117 [1806.00660 [hep-ph]]

In more detail:

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- Flavoured Peccei-Quinn symmetry
- Axion couplings to the SM
- A to Z with Pati-Salam: a UV-complete model

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- Two-body decays  $l_1 \rightarrow l_2 a$
- Radiative decays  $l_1 \rightarrow l_2 a \gamma$
- $\mu \rightarrow 3e$
- $\mu - e$  conversion

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Allowed term in QCD

$$\mathcal{L} \supset \bar{\theta} \frac{g^2}{32\pi^2} G_{\mu\nu} \tilde{G}^{\mu\nu}, \quad \bar{\theta} = \theta_{\text{QCD}} + \arg \det M^u M^d$$

Values

- Measurement: neutron EDM [Pendlebury et al '15]

$$\bar{\theta} \lesssim 10^{-10}$$

- Naively:  $\bar{\theta} \sim 1$
- Anthropically:  $\bar{\theta} \sim 10^{-3}$  is fine [Dine, Draper '15]
- Exact strong CP ( $\bar{\theta} = 0$ ) not necessary

Ingredients in a **standard** PQ solution

- Global  $U(1)_{PQ}$  symmetry with chiral anomaly
- Complex scalar field  $\varphi \rightarrow \langle \varphi \rangle$  which breaks  $U(1)_{PQ}$

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Archetypal “invisible axion” models

### KSVZ

$$\mathcal{L} \supset \lambda \varphi \bar{Q} Q$$

- Add: heavy quarks  $Q$
- Axion- $\psi_{SM}$  coupling:  
loop level

### DFSZ

$$\mathcal{L} \supset \lambda \varphi^2 H_u H_d$$

- Add: second Higgs doublet
- Axion- $\psi_{SM}$  coupling:  
tree level

$U(1)_{PQ}$  does not need to be put in by hand!

→ *accidental* PQ symmetry

- From  $\mathbb{Z}_N$  [Babu, Gogoladze, Wang '03, Dias, Pleitez, Tonasse '02, '04]
- In SUSY [Chun, Lukas '92]
- From gauge symmetry [Di Luzio, Nardi, Ubaldi '16]

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It may arise from discrete flavour symmetries [FB, Chun, King '17]

- $U(1)_{PQ}$  is now connected to Yukawa structures  
→ the axion is *flavoured*

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Other recent developments in flavoured axions

- Flaxion [Ema, Hamaguchi, Moroi, Nakayama '16]
- Axiflavoron [Calibbi, Goertz, Redigolo, Ziegler, Zupan '16]

A low scales, the relevant Lagrangian is

$$\mathcal{L} = \mathcal{L}_{\text{kin}} + \mathcal{L}_m + \mathcal{L}_\partial + \mathcal{L}_{\text{anomaly}}$$

where

$$\mathcal{L}_{\text{kin}} + \mathcal{L}_m = \frac{1}{2}(\partial_\mu a)^2 - \frac{1}{2}m_a^2 a^2 + \sum_{f=u,d,e} \bar{f}_i(\not{\partial} - m_i)f_i,$$

$$\mathcal{L}_\partial = -\frac{\partial_\mu a}{V_{PQ}} \sum_{f=u,d,e} \bar{f}_i \gamma^\mu (V_{ij}^f - A_{ij}^f \gamma_5) f_j,$$

$$\mathcal{L}_{\text{anomaly}} = \frac{\alpha_s}{8\pi} \frac{a}{f_a} G_{\mu\nu}^a \tilde{G}^{a\mu\nu} + c_{a\gamma} \frac{\alpha}{8\pi} \frac{a}{f_a} F_{\mu\nu} \tilde{F}^{\mu\nu}$$

Focus on axion couplings to fermions

$$\mathcal{L}_\partial = -\frac{\partial_\mu a}{V_{PQ}} \sum_{f=u,d,e} \bar{f}_i \gamma^\mu (V_{ij}^f - A_{ij}^f \gamma_5) f_j,$$

where

$$V^f = \frac{1}{2} \left( U_{Lf}^\dagger X_{f_L} U_{Lf} + U_{Rf}^\dagger X_{f_R} U_{Rf} \right)$$

$$A^f = \frac{1}{2} \left( U_{Lf}^\dagger X_{f_L} U_{Lf} - U_{Rf}^\dagger X_{f_R} U_{Rf} \right)$$

- $X_{f_L} = \text{diag}(x_{f_{L1}}, x_{f_{L2}}, x_{f_{L3}})$ ,  $X_{f_R} = \text{diag}(x_{f_{R1}}, x_{f_{R2}}, x_{f_{R3}})$
- $U_{Lf}$  and  $U_{Rf}$  are unitary matrices:  $Y_{\text{diag}}^f = U_{Lf}^\dagger Y^f U_{Rf}$
- $V_{\text{CKM}} = U_{Lu}^\dagger U_{Ld}$



$$V^f = \frac{1}{2} \left( U_{Lf}^\dagger x_{f_L} U_{Lf} + U_{Rf}^\dagger x_{f_R} U_{Rf} \right)$$

$$A^f = \frac{1}{2} \left( U_{Lf}^\dagger x_{f_L} U_{Lf} - U_{Rf}^\dagger x_{f_R} U_{Rf} \right)$$

## Special cases

1. All generations couple equally:  $x_{f_L}, x_{f_R} \propto I_3$

$$V^f = \frac{1}{2}(x_{f_L} + x_{f_R})\mathbb{I}_3 \Rightarrow \text{no flavour violation!}$$

$$A^f = \frac{1}{2}(x_{f_L} - x_{f_R})\mathbb{I}_3$$

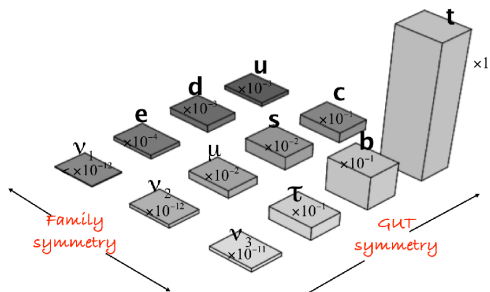
In standard DFSZ it's always possible to arrange

$$x_{f_L} = -x_{f_R} \Rightarrow V^f = 0$$

2. Anomaly-free:  $x_{f_L} = x_{f_R}$   
 $\rightarrow$  no chiral anomaly ( $N_{DW} = 0$ )  $\rightarrow$  no PQ solution!

A unified model of flavour: "A to Z" [King '14, King, Di Bari '15]

- Pati-Salam gauge group  $SU(4)_C \times SU(2)_L \times SU(2)_R$
- $A_4$  family symmetry
- $\mathbb{Z}_N$  family/shaping symmetries



[King, 1301.1340]

Can accommodate all quarks/leptons, masses + mixings

**Pati-Salam** [ $SU(4)_C \times SU(2)_L \times SU(2)_R$ ]

- Left-handed fermions in

$$F_i \sim (4, 2, 1)_i = \begin{pmatrix} u_r & u_g & u_b & \nu \\ d_r & d_g & d_b & e \end{pmatrix}_i$$

- Right-handed fermions in

$$F_i^c \sim (\bar{4}, 1, 2)_i = \begin{pmatrix} u_r^c & u_g^c & u_b^c & N^c \\ d_r^c & d_g^c & d_b^c & e^c \end{pmatrix}_i$$

**A<sub>4</sub>**

- Left-handed fermions in triplet

$$F \sim 3 = (F_1, F_2, F_3)$$

- Right-handed fermions in singlets

$$F_1^c, F_2^c, F_3^c \sim 1$$

## Features

- Matter couples to  $\phi_i$ :  $A_4$  triplets + gauge singlets
- Yukawa couplings become dynamical:

$$y_{ij} F F^c H \rightarrow \frac{\langle \phi_i \rangle}{\Lambda} F_i F_j^c H$$

- $\mathcal{L}$  has accidental  $U(1)_{PQ}$  with generation-dependent PQ charges

Field	PS	$A_4$	$U(1)_{PQ}$
F	(4, 2, 1)	3	0
$F_{1,2,3}^c$	( $\bar{4}$ , 1, 2)	1	2, 1, 0
$\phi_i^f$	1	3	...

- Physical axion = linear combination of  $\phi_i^f$  phase fields

$f_a \gtrsim 10^{12}$  GeV is close to cosmological upper bound

Dark matter axion? Mass:  $m_a \sim 1 - 10 \mu\text{eV}$

Axion couplings to matter predicted by Yukawa structures

Flavour violation via axion interactions

## 2. Phenomenology: hadrons

- Two-body meson ( $K$ ,  $D$ ,  $B$ ) decays
- Axion-meson mixing
- Axion-pion mixing

Decay:  $P \rightarrow P' a$ , where  $P = (\bar{q}_P q')$ ,  $P' = (\bar{q}_{P'} q')$ .

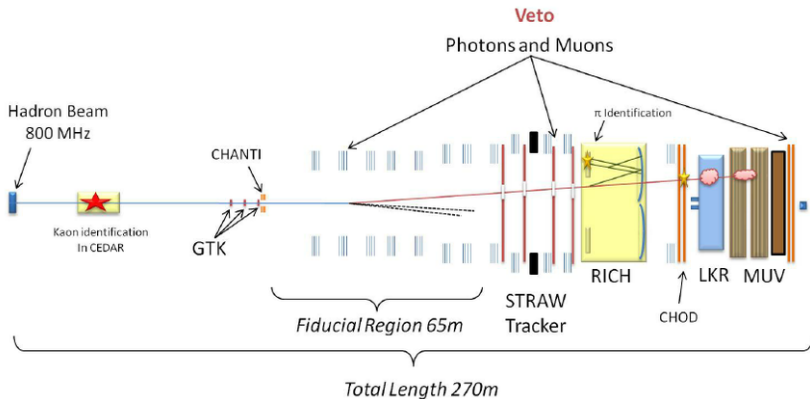
Branching ratio

$$\text{Br}(P \rightarrow P' a) = \frac{1}{16\pi\Gamma(P)} \frac{|V_{q_P q_{P'}}^f|^2}{V_{PQ}^2} m_P^3 \left(1 - \frac{m_{P'}^2}{m_P^2}\right)^3 |f_+(0)|^2,$$

- $f_+(0)$  is a hadronic form factor
- Only unknown quantity is the ratio  $|V^f|/V_{PQ}$
- Example:  $K^+ \rightarrow \pi^+ a$  decay proceeds by  $\bar{s} \rightarrow \bar{d} a$  with coupling strength  $V_{sd}^d \equiv V_{21}^d$

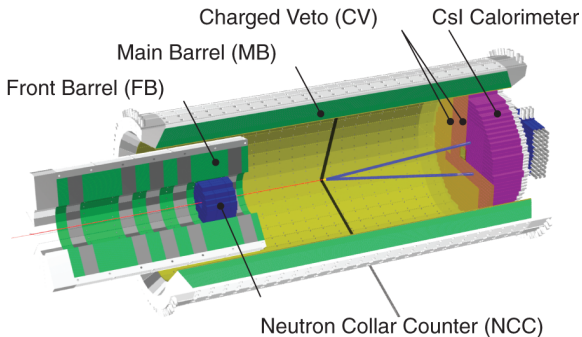
Decay	$f_+(0)$
$K \rightarrow \pi$	1
$D \rightarrow \pi$	0.74(6)(4)
$D \rightarrow K$	0.78(5)(4)
$D_s \rightarrow K$	0.68(4)(3)
$B \rightarrow \pi$	0.27(7)(5)
$B \rightarrow K$	0.32(6)(6)
$B_s \rightarrow K$	0.23(5)(4)

- NA62 @ CERN SPS:  $K^+ \rightarrow \pi^+ a$  ( $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ )
  - Current status: one  $\nu \bar{\nu}$  event! [R. Marchevski at Moriond '18]
  - Reach:  $\text{Br} \lesssim 1 \times 10^{-12}$  (by end of Run 3)  $\rightarrow$  most promising probe!



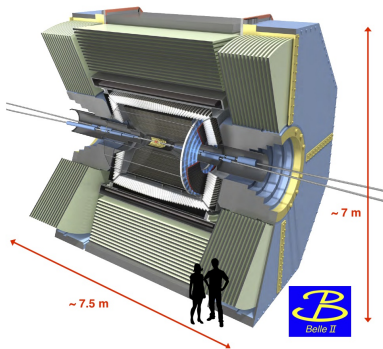
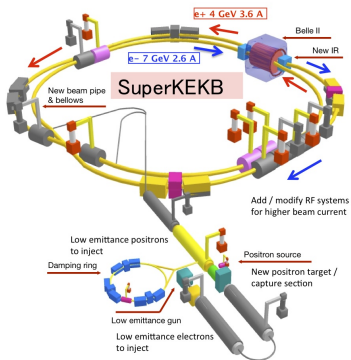


- KOTO @ J-PARC:  $K_L^0 \rightarrow \pi^0 a$ 
  - Current status: taking data
  - Weak limit on  $K_L^0 \rightarrow \pi^0 \nu \bar{\nu}$  from 2013 pilot dataset
  - Reach:  $\text{Br} < \mathcal{O}(10^{-8})$



- KLEVER @ CERN SPS:  $K_L^0 \rightarrow \pi^0 a$ 
  - Current status: proposed (early stages) [Moulson 16']
  - Installed during LS3, taking data in 2026.
  - Reuses some of NA62 infrastructure; liquid Kr calorimeter

- Belle(-II):  $B$  and  $B_s$  decays
  - Current status:  $\text{Br} < \mathcal{O}(10^{-5})$  for  $B^\pm \rightarrow K^\pm \nu \bar{\nu}$
  - Reach: possibly full OoM improvement with Belle-II



- What about  $D$  decays?

Define constant  $\tilde{c}_{P \rightarrow P'}$ , such that

$$\text{Br}(P \rightarrow P' a) = \tilde{c}_{P \rightarrow P'} \left| V_{q_P q_{P'}}^f \right|^2 \left( \frac{10^{12} \text{ GeV}}{V_{PQ}} \right)^2$$

where

$$\tilde{c}_{P \rightarrow P'} = \frac{1}{16\pi \Gamma(P)} \frac{m_P^3}{(10^{12} \text{ GeV})^2} \left( 1 - \frac{m_{P'}^2}{m_P^2} \right)^3 |f_+(0)|^2$$

Decay	Branching ratio	Experiment	$\tilde{c}_{P \rightarrow P'}$	$v_{PQ}/\text{GeV}$
$K^+ \rightarrow \pi^+ a$	$< 0.73 \times 10^{-10}$	E949 + E787	$3.51 \times 10^{-11}$	$> 6.9 \times 10^{11}  V_{21}^d $
	$< 0.01 \times 10^{-10*}$	NA62 (future)		$> 5.9 \times 10^{12}  V_{21}^d $
	$< 1.2 \times 10^{-10}$	E949 + E787		
	$< 0.59 \times 10^{-10}$	E787		
$K_L^0 \rightarrow \pi^0 a$	$< 5 \times 10^{-8}$	KOTO	$3.67 \times 10^{-11}$	$> 2.7 \times 10^{10}  V_{21}^d $
$(K_L^0 \rightarrow \pi^0 \nu \bar{\nu})$	$(< 2.6 \times 10^{-8})$	E391a		
$B^\pm \rightarrow \pi^\pm a$	$< 4.9 \times 10^{-5}$	CLEO	$5.30 \times 10^{-13}$	$> 1.0 \times 10^8  V_{31}^d $
$(B^\pm \rightarrow \pi^\pm \nu \bar{\nu})$	$(< 1.0 \times 10^{-4})$	BaBar		
	$(< 1.4 \times 10^{-4})$	Belle		
$B^\pm \rightarrow K^\pm a$	$< 4.9 \times 10^{-5}$	CLEO	$7.26 \times 10^{-13}$	$> 1.2 \times 10^8  V_{32}^d $
$(B^\pm \rightarrow K^\pm \nu \bar{\nu})$	$(< 1.3 \times 10^{-5})$	BaBar		
	$(< 1.9 \times 10^{-5})$	Belle		
	$(< 1.5 \times 10^{-6})*$	Belle-II (future)		
$B^0 \rightarrow \pi^0 a$			$4.92 \times 10^{-13}$	
$(B^0 \rightarrow \pi^0 \nu \bar{\nu})$	$(< 0.9 \times 10^{-5})$	Belle		$\gtrsim 2.3 \times 10^8  V_{31}^d $
$B^0 \rightarrow K_{(S)}^0 a$	$< 5.3 \times 10^{-5}$	CLEO	$6.74 \times 10^{-13}$	$> 1.1 \times 10^8  V_{32}^d $
$(B^0 \rightarrow K^0 \nu \bar{\nu})$	$(< 1.3 \times 10^{-5})$	Belle		
$D^\pm \rightarrow \pi^\pm a$	$< 1$		$1.11 \times 10^{-13}$	$> 3.3 \times 10^5  V_{21}^u $
$D^0 \rightarrow \pi^0 a$	$< 1$		$4.33 \times 10^{-14}$	$> 2.1 \times 10^5  V_{21}^u $
$D_s^\pm \rightarrow K^\pm a$	$< 1$		$4.38 \times 10^{-14}$	$> 2.1 \times 10^5  V_{21}^u $
$B_s^0 \rightarrow \bar{K}^0 a$	$< 1$		$3.64 \times 10^{-13}$	$> 6.0 \times 10^5  V_{31}^d $

Let us rotate away the anomaly term by

$$q \rightarrow e^{i\frac{\beta_q}{2} \frac{a}{f_a} \gamma_5} q, \quad \beta_q = \frac{m_*}{m_q},$$

where  $q = u, d, s$  and  $m_*^{-1} = m_u^{-1} + m_d^{-1} + m_s^{-1}$ . The axion-quark Lagrangian transforms as

$$\mathcal{L}_\partial \rightarrow \mathcal{L}'_\partial \supset -\frac{\partial_\mu a}{v_{PQ}} \left[ \sum_{q=u,d,s} c_q \bar{q} \gamma^\mu \gamma_5 q + c_{sd} \bar{s} \gamma^\mu \gamma_5 d + c_{sd}^* \bar{d} \gamma^\mu \gamma_5 s \right],$$

where

$$c_u = A_{11}^u + N_{DW} \beta_u / 2,$$

$$c_d = A_{11}^d + N_{DW} \beta_d / 2,$$

$$c_s = A_{22}^d + N_{DW} \beta_s / 2,$$

$$c_{sd} = A_{21}^d.$$

We can write this as kinetic mixing between axions and mesons:

$$\mathcal{L}_{aP}^{\text{eff}} = - \sum_P c_P \frac{f_P}{V_{PQ}} \partial_\mu a \partial^\mu P,$$

with

$$\begin{aligned} c_{\pi^0} &= c_u - c_d, & c_\eta &= c_u + c_d - 2c_s \\ c_{\eta'} &= c_u + c_d + c_s, & c_{K^0} &= c_{s\bar{d}} = c_{K^0}^* \end{aligned}$$

Diagonalising the kinetic mixing,

$$a \rightarrow \frac{a}{\sqrt{1 - \sum_P \eta_P^2}}, \quad P \rightarrow P + \frac{\eta_P a}{\sqrt{1 - \sum_P \eta_P^2}}$$

where

$$\eta_P \equiv \frac{c_P f_P}{V_{PQ}}$$

## Meson mass splitting

$$(\Delta m_P)_{\text{axion}} \simeq |\eta_P|^2 m_P = |c_P|^2 \frac{f_{P^0}^2}{v_{PQ}^2} m_P.$$

System	$(\Delta m_P)_{\text{exp}}/\text{MeV}$	$v_{PQ}/\text{GeV}$
$K^0 - \bar{K}^0$	$(3.484 \pm 0.006) \times 10^{-12}$	$\gtrsim 2 \times 10^6  c_{K^0} $
$D^0 - \bar{D}^0$	$(6.25^{+2.70}_{-2.90}) \times 10^{-12}$	$\gtrsim 4 \times 10^6  c_{D^0} $
$B^0 - \bar{B}^0$	$(3.333 \pm 0.013) \times 10^{-10}$	$\gtrsim 8 \times 10^5  c_{B^0} $
$B_s^0 - \bar{B}_s^0$	$(1.1688 \pm 0.0014) \times 10^{-8}$	$\gtrsim 1 \times 10^5  c_{B_s^0} $

PDG [Patrignani et al '16]

## Notes

- Assume central SM value
- Uncertainty dominated by theory; require  $(\Delta m_P)_{\text{axion}} \lesssim (\Delta m_P)_{\text{exp}}$
- Possible improvements to  $(\Delta m_K)_{\text{th}}$  from lattice soon [Bai, Christ, Sachrajda '18]

### 3. Phenomenology: leptons

- Two-body decays  $l_1 \rightarrow l_2 a$
- Radiative decays  $l_1 \rightarrow l_2 a \gamma$
- $\mu \rightarrow 3e$
- $\mu - e$  conversion



We define a total coupling

$$|C_{\ell_1 \ell_2}^e|^2 = |V_{\ell_1 \ell_2}^e|^2 + |A_{\ell_1 \ell_2}^e|^2$$

Analogously to mesons, we have

$$\text{Br}(\ell_1 \rightarrow \ell_2 a) = \tilde{c}_{\ell_1 \rightarrow \ell_2} |C_{\ell_1 \ell_2}^e|^2 \left( \frac{10^{12} \text{ GeV}}{V_{PQ}} \right)^2$$

where

$$\tilde{c}_{\ell_1 \rightarrow \ell_2} = \frac{1}{16\pi \Gamma(\ell_1)} \frac{m_{\ell_1}^3}{(10^{12} \text{ GeV})^2} \left( 1 - \frac{m_{\ell_2}^2}{m_{\ell_1}^2} \right)^3$$

We may also probe the angular distribution. For muons,

$$\frac{d\Gamma}{d\cos\theta} \simeq \frac{|C_{21}^e|^2}{32\pi} \frac{m_\mu^3}{v_{PQ}^2} (1 - AP_\mu \cos\theta)$$

where

$$A = -\frac{2\text{Re}[A_{21}^e (V_{21}^e)^*]}{|C_{21}^e|^2}$$

Notes

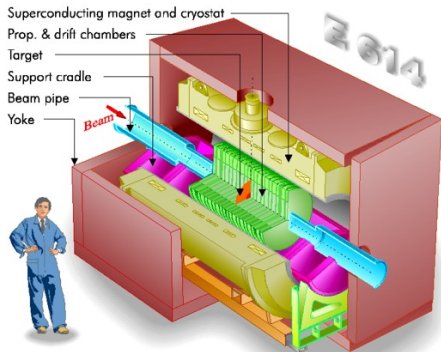
- Standard Model weak interactions are 'V-A'  $\Leftrightarrow A = -1$
- Isotropic decays ( $A = 0$ ) for  $A_{21}^e = 0$  or  $V_{21}^e = 0$ .
- Strongest signal for 'V+A' (RH) interactions

- Jodidio *et al* @ TRIUMF [Jodidio *et al* '86]
  - Stopped  $\mu^+$  on metal foil
  - Assume isotropic decays ( $A = 0$ )

- TWIST @ TRIUMF

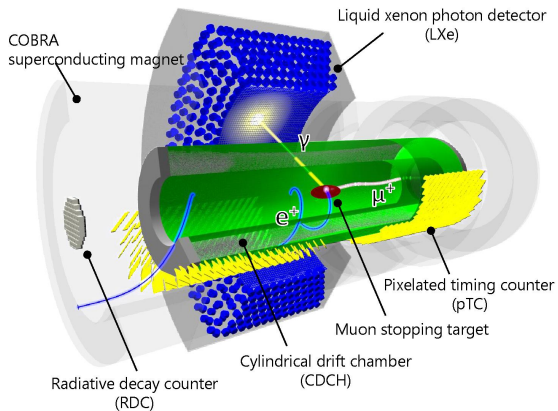
[Bayes *et al* '14]

- Sensitive to anisotropies
- Limits for  $A = 0$  not as good as TRIUMF



## ○ MEG(-II) @ PSI

- Searching for  $\mu \rightarrow e\gamma$  in stopped  $\mu^+$
- Status: MEG completed, MEG-II under construction
- Reach: TBD



Decay	Branching ratio	Experiment	$\tilde{c}_{\ell_1 \rightarrow \ell_2}$	$v_{PQ}/\text{GeV}$
$\mu^+ \rightarrow e^+ a$	$< 2.6 \times 10^{-6}$	( $A = 0$ ) Jodidio <i>et al</i>	$7.82 \times 10^{-11}$	$> 5.5 \times 10^9  V_{21}^e $
	$< 2.1 \times 10^{-5}$	( $A = 0$ ) TWIST		$> 1.9 \times 10^9  C_{21}^e $
	$< 1.0 \times 10^{-5}$	( $A = 1$ ) TWIST		$> 2.8 \times 10^9  C_{21}^e $
	$< 5.8 \times 10^{-5}$	( $A = -1$ ) TWIST		$> 1.2 \times 10^9  C_{21}^e $
	$\lesssim 5 \times 10^{-9*}$	Mu3e (future)		$\gtrsim 1 \times 10^{11}  C_{21}^e $
$\tau^+ \rightarrow e^+ a$	$< 1.5 \times 10^{-2}$	ARGUS	$4.92 \times 10^{-14}$	$> 1.8 \times 10^6  C_{31}^e $
$\tau^+ \rightarrow \mu^+ a$	$< 2.6 \times 10^{-2}$	ARGUS	$4.87 \times 10^{-14}$	$> 1.4 \times 10^6  C_{32}^e $

Decays like  $\ell_1 \rightarrow \ell_2 a \gamma$ , in the limit  $m_{\ell_2} = m_a = 0$ , may be expressed

$$\frac{d^2\Gamma}{dx dy} = \frac{\alpha |C_{\ell_1 \ell_2}^e|^2 m_{\ell_1}^3}{32\pi^2 v_{PQ}^2} f(x, y)$$

where

$$f(x, y) = \frac{(1-x)(2-y-xy)}{y^2(x+y-1)}, \quad x = \frac{2E_{\ell_2}}{m_{\ell_1}}, \quad y = \frac{2E_\gamma}{m_{\ell_1}}$$

Kinematics and energy conservation fix

$$x, y \leq 1, \quad x + y \geq 1, \quad \cos\theta_{2\gamma} = 1 + \frac{2(1-x-y)}{xy}$$

Must consider

- Soft divergences
- Experimental cuts (e.g.  $E_\gamma > 40$  MeV in MEG)

Decay	Branching ratio	Experiment
$\mu^+ \rightarrow e^+ \gamma$	$< 4.2 \times 10^{-13}$	MEG
	$\lesssim 6 \times 10^{-14}$ *	MEG-II (future)
$\tau^- \rightarrow e^- \gamma$	$< 3.3 \times 10^{-8}$	BaBar
$\tau^- \rightarrow \mu^- \gamma$	$< 4.4 \times 10^{-8}$	BaBar

Best limit on  $\mu \rightarrow e f \gamma$  (for some scalar  $f$ )

- Crystal Box experiment [Bolton et al '88]
- $\text{Br}(\mu \rightarrow e f \gamma) < 1.1 \times 10^{-9}$
- No assumptions on decay isotropy
- MEG-II should be more sensitive (full study needed)

Flavoured axion can mediate  $\mu \rightarrow 3e$  through the  $\mu ea$  vertex (t- and s-channel). To  $\mathcal{O}(m_e^2)$ , the branching ratio is

$$\begin{aligned}\text{Br}(\mu^+ \rightarrow e^+ e^- e^+) &\approx \frac{m_e^2 m_\mu^3}{16\pi^3 \Gamma(\mu)} \frac{|A_{11}^e|^2 |C_{21}^e|^2}{v_{PQ}^4} \left( \ln \frac{m_\mu^2}{m_e^2} - \frac{15}{4} \right), \\ &\approx 1.43 \times 10^{-41} |A_{11}^e|^2 |C_{21}^e|^2 \left( \frac{10^{12} \text{ GeV}}{v_{PQ}} \right)^4\end{aligned}$$

- Experiment: Mu3e @ PSI
  - Status: under construction, taking data in 2019
  - Reach:  $\text{Br} < \mathcal{O}(10^{-16})$
  - 4 OoM improvement over SINDRUM (1987)
  - $v_{PQ} \gtrsim 10^6 \text{ GeV}$



The same  $\mu e a$  vertex can mediate  $\mu - e$  conversion in nuclei

$$R_{\mu e}^{(A,Z)} \equiv \frac{\Gamma(\mu^- \rightarrow e^-(A, Z))}{\Gamma_{\mu^- \text{cap}}^{(A,Z)}} \\ \sim \frac{m_\mu^5}{(q^2 - m_a^2)^2} \frac{(\alpha Z)^3}{\pi^2 \Gamma_{\mu^- \text{cap}}^{(A,Z)}} \frac{m_\mu^2 m_N^2}{v_{PQ}^4} |C_{21}^e|^2 |S_N^{(A,Z)} C_{aN}|^2$$

- Spin-dependent process [see Cirigliano '17]
  - not seen:  $\mathcal{O}(1)$  form factors
- Relevant couplings:  $C_{21}^e$  and  $g_{aN} = C_{aN} m_N / v_{PQ}$ 
  - $C_{aN}$  is model-dependent, depends on diagonal charges
- Experiments
  - SINDRUM-II: current best limit  $R_{\mu e}^{\text{Au}} < 7 \times 10^{-13}$
  - Mu2e @ Fermilab and COMET @ J-PARC: under construction
  - Measure  $R_{\mu e}^{\text{Al}}$ ; both expected to reach 4 OoM improvement

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  - Meson decays, charged lepton decays
  - Meson mixing
  - $\mu \rightarrow e$  conversion and  $\mu \rightarrow 3e$

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  - A to Z model: no “new” scalars necessary, axion is a flavon
- Looking forward to new experiments coming online!
  - NA62
  - MEG-II
  - Belle-II
  - Mu3e

Thank You!

Backup slides

## Full Yukawa/mass superpotential

$$\begin{aligned}
 W_F^{\text{eff}} &= (F \cdot h_3) F_3^c + \frac{(F \cdot \phi_1^u) h_u F_1^c}{\langle \Sigma_u \rangle} + \frac{(F \cdot \phi_2^u) h_u F_2^c}{\langle \Sigma_u \rangle} \\
 &\quad + \frac{(F \cdot \phi_1^d) h_d F_1^c}{\langle \Sigma_{15}^d \rangle} + \frac{(F \cdot \phi_2^d) h_{15}^d F_2^c}{\langle \Sigma_d \rangle} + \frac{(F \cdot \phi_1^u) h_d F_1^c}{\langle \Sigma_d \rangle} \\
 W_{\text{Maj}}^{\text{eff}} &= \frac{\overline{H^c H^c}}{\Lambda} \left( \frac{\xi^2}{\Lambda^2} F_1^c F_1^c + \frac{\xi}{\Lambda} F_2^c F_2^c + F_3^c F_3^c + \frac{\xi}{\Lambda} F_1^c F_3^c \right)
 \end{aligned}$$

## Notes

- $\overline{H^c} \sim (4, 1, 2)$  breaks  $SU(4)_C \rightarrow SU(3)_C$ , generates  $RH\nu$  masses
- $\Sigma \sim (1/15, 1, 1) \rightarrow \langle \Sigma \rangle \lesssim M_{\text{GUT}}$
- $\xi \sim (1, 1, 1) \rightarrow \langle \xi \rangle / \Lambda \sim 10^{-5}$



3rd family

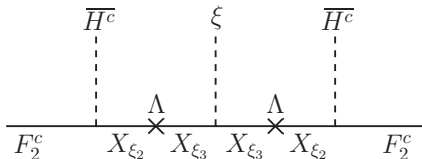
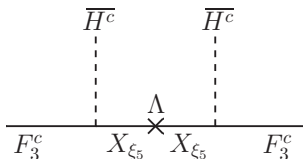
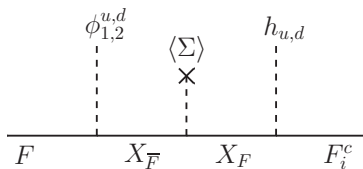


Up quarks



Down quarks/charged leptons

## Sample diagrams





Field	$G_{PS}$	$A_4$	$\mathbb{Z}_5$	$\mathbb{Z}_3$	$\mathbb{Z}'_5$	$R$	$U(1)_{PQ}$
$F$	$(4, 2, 1)$	3	1	1	1	1	0
$F_{1,2,3}^c$	$(\bar{4}, 1, 2)$	1	$\alpha, \alpha^3, 1$	$\beta, \beta^2, 1$	$\gamma^3, \gamma^4, 1$	1	$-2, -1, 0$
$\overline{H^c}$	$(4, 1, 2)$	1	1	1	1	0	0
$H^c$	$(\bar{4}, 1, 2)$	1	1	1	1	0	0
$\phi_{1,2}^u$	$(1, 1, 1)$	3	$\alpha^4, \alpha^2$	$\beta^2, \beta$	$\gamma^2, \gamma$	0	2, 1
$\phi_{1,2}^d$	$(1, 1, 1)$	3	$\alpha^3, \alpha$	$\beta^2, \beta$	$\gamma^2, \gamma$	0	2, 1
$h_3$	$(1, 2, 2)$	3	1	1	1	0	0
$h_u$	$(1, 2, 2)$	$1''$	$\alpha$	1	1	0	0
$h_{15}^u$	$(15, 2, 2)$	1	$\alpha$	1	1	0	0
$h_d$	$(1, 2, 2)$	$1'$	$\alpha^3$	1	1	0	0
$h_{15}^d$	$(15, 2, 2)$	$1'$	$\alpha^4$	1	1	0	0
$\Sigma_u$	$(1, 1, 1)$	$1''$	$\alpha$	1	1	0	0
$\Sigma_d$	$(1, 1, 1)$	$1'$	$\alpha^3$	1	1	0	0
$\Sigma_{15}^d$	$(15, 1, 1)$	$1'$	$\alpha^2$	1	1	0	0
$\xi$	$(1, 1, 1)$	1	$\alpha^4$	$\beta^2$	$\gamma^2$	0	2

Discrete  $\mathbb{Z}_N$  symmetries

- $\mathbb{Z}_5$   
Shaping symmetry of original A to Z model  
Ensures CSD(4)
- $\mathbb{Z}_3$   
Ensures PQ symmetry at renormalisable level  
Forbids most off-diagonal terms in  $Y^{d,e}$  (new!)
- $\mathbb{Z}'_5$   
Protects PQ symmetry to sufficient order

Yukawa and mass matrices

$$Y^u = Y^\nu = \begin{pmatrix} 0 & b & \epsilon_{13}C \\ a & 4b & \epsilon_{23}C \\ a & 2b & c \end{pmatrix} \quad Y^d = \begin{pmatrix} y_d^0 & 0 & 0 \\ By_d^0 & y_s^0 & 0 \\ By_d^0 & 0 & y_b^0 \end{pmatrix}$$

$$Y^e = \begin{pmatrix} -(y_d^0/3) & 0 & 0 \\ By_d^0 & xy_s^0 & 0 \\ By_d^0 & 0 & y_b^0 \end{pmatrix} \quad M_R = \begin{pmatrix} M_1 & 0 & M_{13} \\ 0 & M_2 & 0 \\ M_{13} & 0 & M_3 \end{pmatrix}$$

Neutrino matrix after seesaw,

$$m^\nu = m_a \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix} + m_b e^{i\eta} \begin{pmatrix} 1 & 4 & 2 \\ 4 & 16 & 8 \\ 2 & 8 & 4 \end{pmatrix} + m_c e^{i\xi} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

PQ charges

$$\begin{aligned}
 W_F^{\text{eff}} \sim & (F \cdot h_3) F_3^c + (F \cdot \phi_1^u) h_u F_1^c + (F \cdot \phi_2^u) h_u F_2^c \\
 & \begin{matrix} 0 & 0 & 0 & 0 & 2 & 0 & -2 & 0 & 1 & 0 & -1 \end{matrix} \\
 & + (F \cdot \phi_1^d) h_d F_1^c + (F \cdot \phi_2^d) h_{15}^d F_2^c + (F \cdot \phi_1^u) h_d F_1^c \\
 & \begin{matrix} 0 & 2 & 0 & -2 & 0 & 1 & 0 & -1 & 0 & 2 & 0 & -2 \end{matrix} \\
 W_{\text{Maj}}^{\text{eff}} \sim & \overline{H^c} \overline{H^c} (\xi \xi F_1^c F_1^c + \xi F_2^c F_2^c + F_3^c F_3^c + \xi F_1^c F_3^c) \\
 & \begin{matrix} 0 & 0 & 2 & 2 & -2 & -2 & 2 & -1 & -1 & 0 & 0 & 2 & -2 & 0 \end{matrix}
 \end{aligned}$$

Notes

- PQ symmetry realised also at renormalisable level
- Higgs sector completely neutral  $\rightarrow$  no GUT-scale PQ breaking
- $U(1)_{PQ}$  assignments unique
- Third family is neutral

Breaking  $U(1)_{PQ}$

- $\phi_i^f \rightarrow \langle \phi_i^f \rangle \sim v_{\phi_1^f}$  breaks all discrete symmetries *and*  $U(1)_{PQ}$
- PQ-breaking scale

$$v_{PQ}^2 = (N_a f_a)^2 = \sum_{\phi} x_{\phi}^2 v_{\phi}^2$$

- Dominated by largest VEV:  $\langle \phi_2^u \rangle$  (related to charm mass)

Axion

$$a = \frac{1}{v_{PQ}} \sum_{\varphi} x_{\varphi} v_{\varphi} a_{\varphi}$$

Domain wall number

$$N_a \equiv \left| 6x_F + 2 \sum_i x_{F_i^c} \right| = |6(0) + 2(-2 + -1 + 0)| = 6$$

## Protecting the PQ symmetry

Consider terms like

$$\frac{\{\phi\}^n}{M_P^n} W$$

These generate a PQ-breaking axion mass

$$m_*^2 \sim m_{3/2}^2 \frac{V_{PQ}^{n-2}}{M_P^{n-2}}$$

[Holman et al '92]

[Kamionkowski, March-Russell '92]

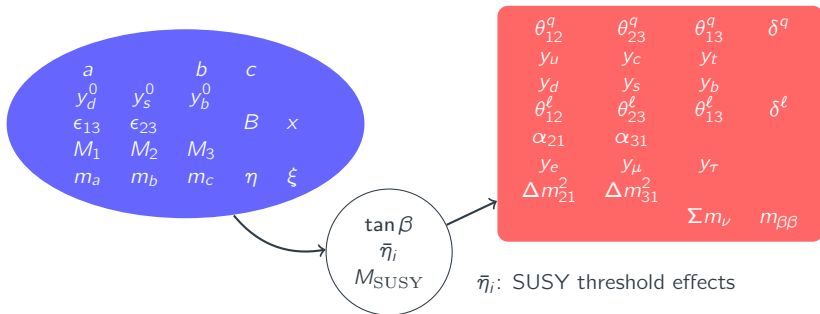
[Barr, Seckel '92]

We require  $m_*^2/m_a^2 < 10^{-10}$ , where

$$m_a^2 \approx m_\pi^2 \frac{f_\pi^2}{f_a^2}$$

To protect our solution, we forbid all PQ-violating terms like  $\{\phi\}^n$  up to  $n = 7$  (or  $dim = 10$ )!

## Fitting to quark and lepton mixing data



## Simple MCMC

- Minimise  $\chi^2$  to find best fit

$$\chi^2 = \sum_i \left( \frac{P(x_i) - \mu_i}{\sigma_i} \right)^2$$

- Calculate 95% credible intervals (hpd)

Measured values run up to  $M_{\text{GUT}}$  (assuming MSSM) [Antusch, Maurer '13]

## Leptons

Observable	Data		Model	
	Central value	$1\sigma$ range	Best fit	Interval
$\theta_{12}^\ell / ^\circ$	33.57	32.81 $\rightarrow$ 34.32	32.88	32.72 $\rightarrow$ 34.23
$\theta_{13}^\ell / ^\circ$	8.460	8.310 $\rightarrow$ 8.610	8.611	8.326 $\rightarrow$ 8.882
$\theta_{23}^\ell / ^\circ$	<b>41.75</b>	<b>40.40 <math>\rightarrow</math> 43.10</b>	<b>39.27</b>	<b>37.35 <math>\rightarrow</math> 40.11</b>
$\delta^\ell / ^\circ$	261.0	202.0 $\rightarrow$ 312.0	242.6	231.4 $\rightarrow$ 249.9
$y_e / 10^{-5}$	1.004	0.998 $\rightarrow$ 1.010	1.006	0.911 $\rightarrow$ 1.015
$y_\mu / 10^{-3}$	2.119	2.106 $\rightarrow$ 2.132	2.116	2.093 $\rightarrow$ 2.144
$y_\tau / 10^{-2}$	3.606	3.588 $\rightarrow$ 3.625	3.607	3.569 $\rightarrow$ 3.643
$\Delta m_{21}^2 / 10^{-5} \text{ eV}^2$	7.510	7.330 $\rightarrow$ 7.690	7.413	7.049 $\rightarrow$ 7.762
$\Delta m_{31}^2 / 10^{-3} \text{ eV}^2$	2.524	2.484 $\rightarrow$ 2.564	2.540	2.459 $\rightarrow$ 2.616
$m_1 / \text{meV}$			0.187	0.022 $\rightarrow$ 0.234
$m_2 / \text{meV}$			8.612	8.400 $\rightarrow$ 8.815
$m_3 / \text{meV}$			50.40	49.59 $\rightarrow$ 51.14
$\sum m_i / \text{meV}$		$< 230$	59.20	58.82 $\rightarrow$ 60.19
$\alpha_{21}$			10.4	-38.0 $\rightarrow$ 70.1
$\alpha_{31}$			272.1	218.2 $\rightarrow$ 334.0
$m_{\beta\beta} / \text{meV}$			1.940	1.892 $\rightarrow$ 1.998

We set  $\tan\beta = 5$ ,  $M_{\text{SUSY}} = 1 \text{ TeV}$  and  $\bar{\eta}_b = -0.24$



## Quarks

Observable	Data		Model	
	Central value	$1\sigma$ range	Best fit	Interval
$\theta_{12}^q / ^\circ$	13.03	12.99 $\rightarrow$ 13.07	13.04	12.94 $\rightarrow$ 13.11
$\theta_{13}^q / ^\circ$	0.1471	0.1418 $\rightarrow$ 0.1524	0.1463	0.1368 $\rightarrow$ 0.1577
$\theta_{23}^q / ^\circ$	1.700	1.673 $\rightarrow$ 1.727	1.689	1.645 $\rightarrow$ 1.753
$\delta^q / ^\circ$	69.22	66.12 $\rightarrow$ 72.31	68.85	63.00 $\rightarrow$ 75.24
$y_u / 10^{-6}$	2.982	2.057 $\rightarrow$ 3.906	3.038	1.098 $\rightarrow$ 4.957
$y_c / 10^{-3}$	1.459	1.408 $\rightarrow$ 1.510	1.432	1.354 $\rightarrow$ 1.560
$y_t$	0.544	0.537 $\rightarrow$ 0.551	0.545	0.530 $\rightarrow$ 0.558
$y_d / 10^{-5}$	2.453	2.183 $\rightarrow$ 2.722	2.296	2.181 $\rightarrow$ 2.966
$y_s / 10^{-4}$	4.856	4.594 $\rightarrow$ 5.118	4.733	4.273 $\rightarrow$ 5.379
$y_b$	3.616	3.500 $\rightarrow$ 3.731	3.607	3.569 $\rightarrow$ 3.643

We set  $\tan\beta = 5$ ,  $M_{\text{SUSY}} = 1$  TeV and  $\bar{\eta}_b = -0.24$

## Input parameters

Parameter	Value	Parameter	Value
$a / 10^{-5}$	$1.246 e^{4.047i}$	$m_a / \text{meV}$	3.646
$b / 10^{-3}$	$3.438 e^{2.080i}$	$m_b / \text{meV}$	1.935
$c$	-0.545	$m_c / \text{meV}$	1.151
$y_d^0 / 10^{-5}$	$3.053 e^{4.816i}$	$\eta$	2.592
$y_s^0 / 10^{-4}$	$3.560 e^{2.097i}$	$\xi$	2.039
$y_b^0 / 10^{-2}$	3.607		
$\epsilon_{13} / 10^{-3}$	$6.215 e^{2.434i}$		
$\epsilon_{23} / 10^{-2}$	$2.888 e^{3.867i}$		
$B$	$10.20 e^{2.777i}$		
$x$	5.880		

## Constrained sequential dominance (CSD) [King '99, '00, '02]

o SD originally devised for neutrinos:

- 1)  $N_{\text{atm}} \rightarrow$  atmospheric mass  $m_{\nu_3}$  and mixing  $\theta_{23} \sim 45^\circ$
- 2)  $N_{\text{sol}} \rightarrow$  solar mass  $m_{\nu_2}$  and solar+reactor mixing  $\theta_{12}, \theta_{13}$
- 3)  $N_{\text{dec}}$ , if present, nearly decoupled from theory  $\rightarrow m_{\nu_1} \ll m_{\nu_{2,3}}$

CSD(n) with two neutrinos:

$$Y^\nu = \begin{pmatrix} 0 & b & * \\ a & nb & * \\ a & (n-2)b & * \end{pmatrix}, \quad M_R \sim \text{diag}(M_{\text{atm}}, M_{\text{sol}}, M_{\text{dec}})$$

$$\begin{aligned} m^\nu &= v^2 Y^\nu M_R^{-1} (Y^\nu)^T \\ &= m_a \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix} + m_b \begin{pmatrix} 1 & n & n-2 \\ n & n^2 & n(n-2) \\ n-2 & n(n-2) & (n-2)^2 \end{pmatrix} + m_c \begin{pmatrix} * & * & * \\ * & * & * \\ * & * & * \end{pmatrix} \end{aligned}$$

- In unified scenario, CSD is extended to the quarks!
- Consider  $n = 4$  [King '13]. With  $Y^d$  diagonal,

$$Y^u = Y^\nu = \begin{pmatrix} 0 & b & * \\ a & 4b & * \\ a & 2b & * \end{pmatrix}$$

- To first approximation, Cabibbo angle

$$\theta_{12}^q \approx \frac{Y_{12}^u}{Y_{22}^u} \approx \frac{1}{4}$$

- This is compellingly close to the true value  $\theta_{12}^q \approx 0.227$ .

- CSD(4) achieved by  $A_4$  triplet flavons  $\phi$
- Flavons acquire VEVs with particular alignments:

$$\begin{aligned} \langle \phi_1^u \rangle &= v_{\phi_1^u} (0, 1, 1), & \langle \phi_1^d \rangle &= v_{\phi_1^d} (1, 0, 0) \\ \langle \phi_2^u \rangle &= v_{\phi_2^u} (1, 4, 2), & \langle \phi_2^d \rangle &= v_{\phi_2^d} (0, 1, 0) \end{aligned}$$

- Example: first-generation up-type quarks

$$W \supset \frac{(F \cdot \phi_1^u) h_u F_1^c}{M} \rightarrow v_u \frac{v_{\phi_1^u}}{M} (F_1 F_2 F_3) \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix} F_1^c$$

- Alignments can be fixed by  $A_4$  and orthogonality arguments, implemented by a superpotential

$$W_{\text{driving}} = P_{1,2}^{u,d} (\bar{\phi}_{1,2}^{u,d} \phi_{1,2}^{u,d} - M^2) + P_{\xi} (\bar{\xi}\xi - M^2),$$

Field	$G_{PS}$	$A_4$	$\mathbb{Z}_5$	$\mathbb{Z}_3$	$\mathbb{Z}'_5$	$R$	$U(1)_{PQ}$
$\phi_{1,2}^u$	(1, 1, 1)	3	$\alpha^4, \alpha^2$	$\beta^2, \beta$	$\gamma^2, \gamma$	0	2, 1
$\phi_{1,2}^d$	(1, 1, 1)	3	$\alpha^3, \alpha$	$\beta^2, \beta$	$\gamma^2, \gamma$	0	2, 1
$\xi$	(1, 1, 1)	1	$\alpha^4$	$\beta^2$	$\gamma^2$	0	2
$\bar{\phi}_{1,2}^u$	(1, 1, 1)	3	$\alpha, \alpha^3$	$\beta, \beta^2$	$\gamma^3, \gamma^4$	0	-2, -1
$\bar{\phi}_{1,2}^d$	(1, 1, 1)	3	$\alpha^2, \alpha^4$	$\beta, \beta^2$	$\gamma^3, \gamma^4$	0	-2, -1
$\bar{\xi}$	(1, 1, 1)	1	$\alpha$	$\beta$	$\gamma^3$	0	-2

Yukawa matrices can be diagonalised by bi-unitary matrices  $V_{L,R}^{u,d}$ ,  $U_{L,R}^e$

$$Y^{u,\text{diag}} = V_L^u Y^u (V_R^u)^\dagger,$$

$$Y^{d,\text{diag}} = V_L^d Y^d (V_R^d)^\dagger,$$

$$Y^{e,\text{diag}} = U_L^e Y^e (U_R^e)^\dagger.$$

We transform the fields by

$$Q \rightarrow (V_L^u)^\dagger Q,$$

$$d^c \rightarrow (V_R^d)^\dagger d^c,$$

$$u^c \rightarrow (V_R^u)^\dagger u^c.$$

Then  $Y^u \rightarrow Y^{u,\text{diag}}$ ,  $Y^d \rightarrow V_{\text{CKM}} Y^{d,\text{diag}}$ , where  $V_{\text{CKM}} = V_L^u (V_L^d)^\dagger$ .