Results and Status of NA62

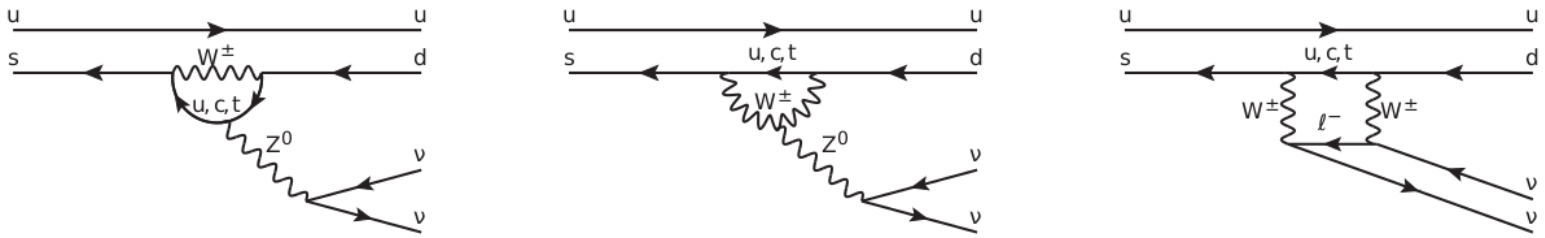
with emphasis on new physics searches

Babette Döbrich (CERN) for the collaboration

KIT, Flavor & DM 24/09/18

NA62 at CERN's Prevessin Site

$K \to \pi\nu\bar{\nu}$: motivation and state of art



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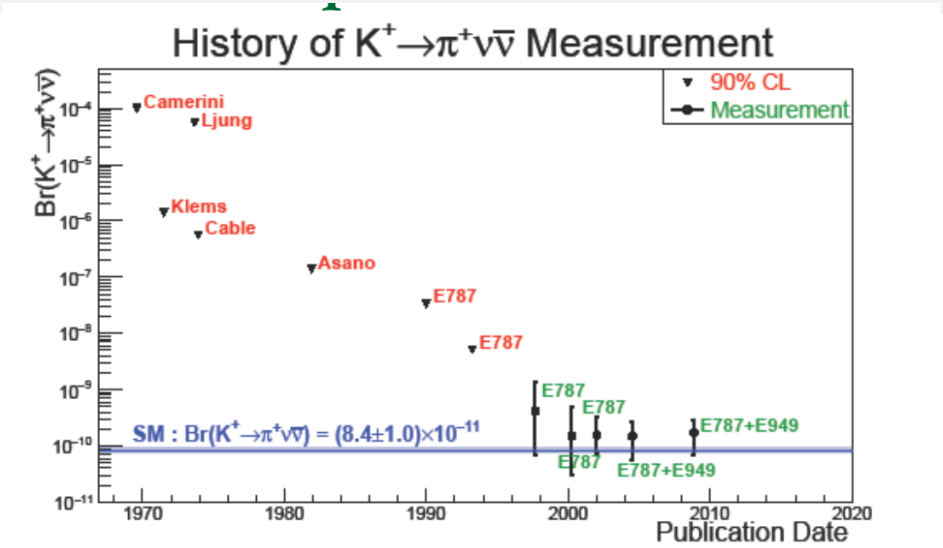

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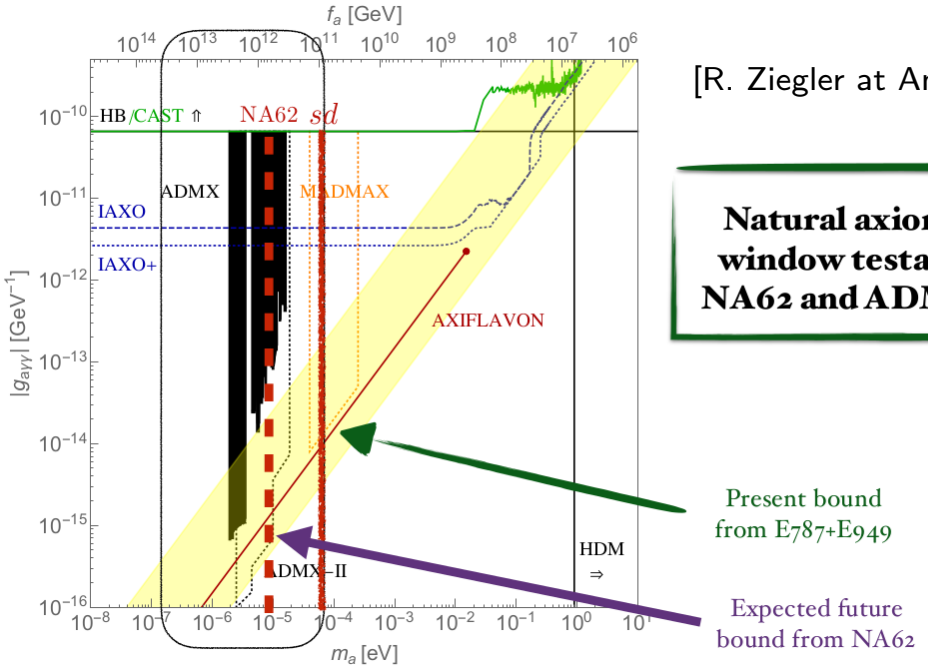
- NA62 primary goal: measurement of $\mathrm{BR}(K \to \pi\nu\bar{\nu})$ with 10% signal acceptance (decay in flight) $\Rightarrow \sim 10^{13} K^+$ in fiducial volume

$K \to \pi\nu\bar{\nu}$:



[R. Ziegler at Annecy, May 3rd 2018]

Natural axion DM window testable at NA62 and ADMX-II!

Present bound from E787+E949

Expected future bound from NA62

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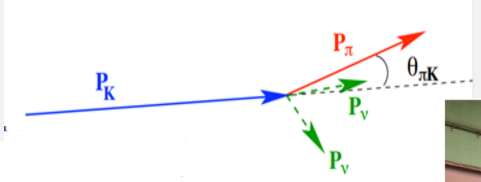
- NA62 primary goal: measurement of $\mathrm{BR}(K \to \pi\nu\bar{\nu})$ with 10% signal acceptance (decay in flight) $\Rightarrow \sim 10^{13} K^+$ in fiducial volume
- BR correlated with flavor observables & sensitive to new physics, e.g. **flavored axion models** Phys. Rev. D 95, 095009 (2017)

NA62 rationale

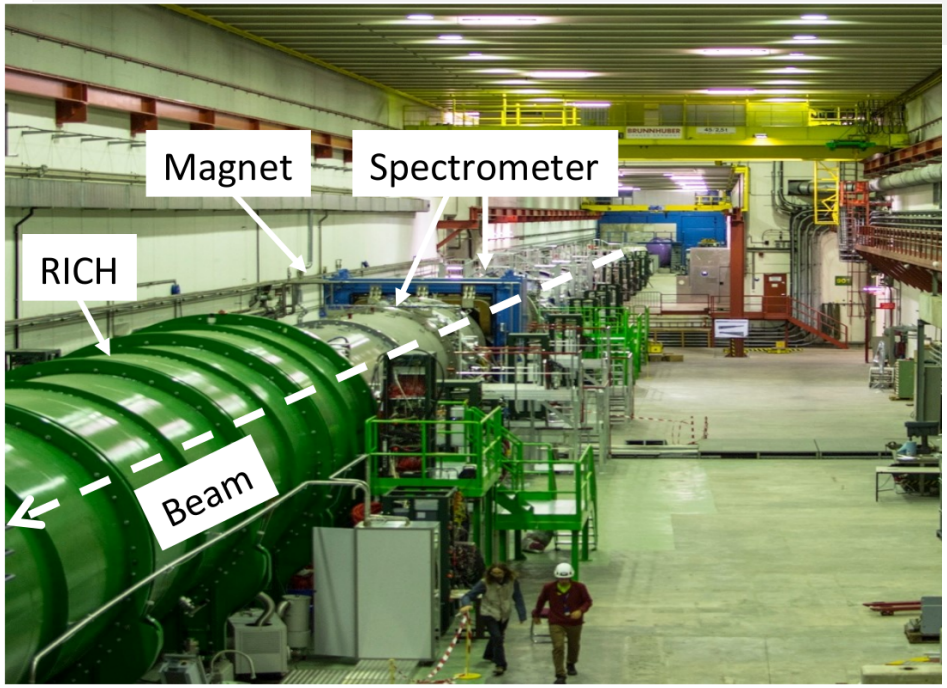


A Kaon's life:

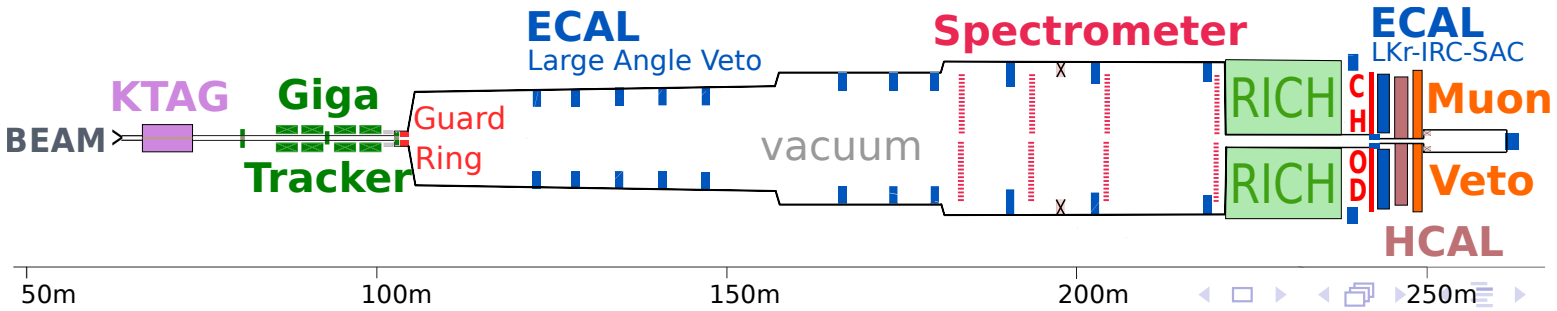
- $BR(K \to \pi^+\pi^0) \simeq 0.21$
- $BR(K \to \mu^+\nu) \simeq 0.64$
- $BR(K \to \pi^+\pi^-\pi^+) \simeq 0.06$

Detector system

- Kaon: KTAG, GTK, CHANTI
- Pion: STRAW, CHOD, RICH
- γ Vetoes: LAV, IRC, SAC, LKr
- MUV system: μ & Hadron

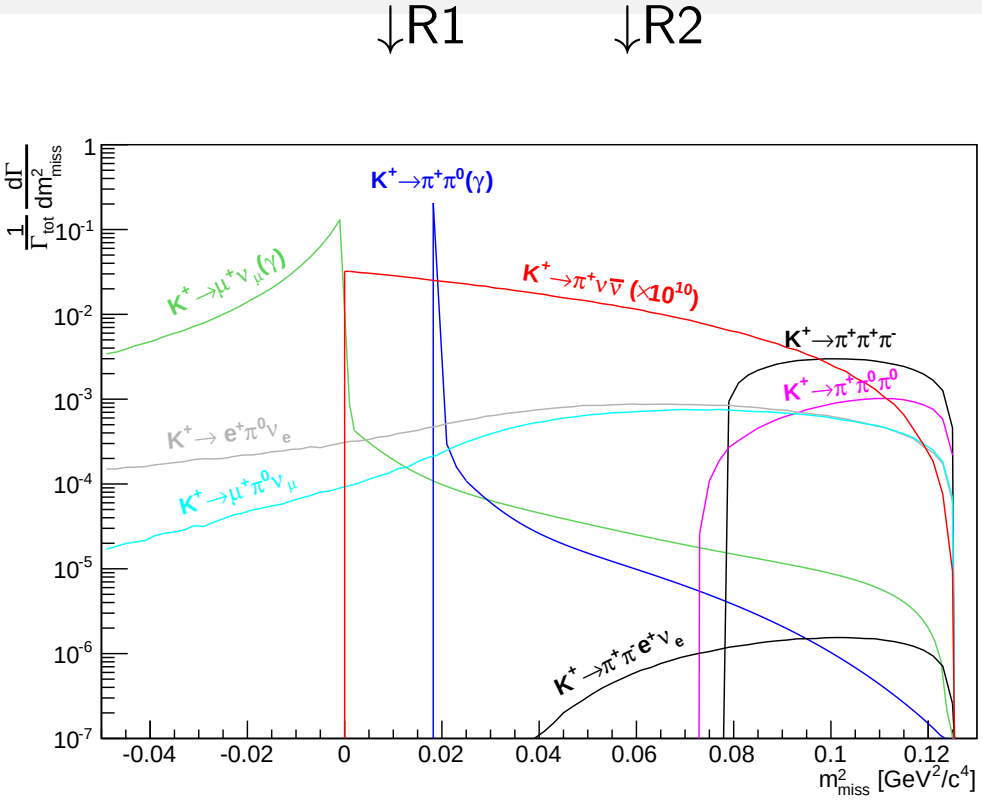


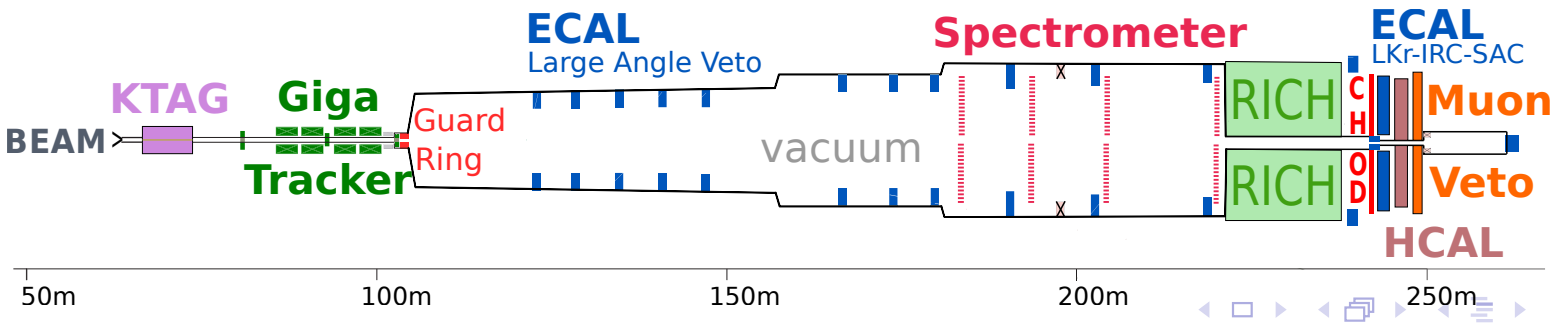
unseparated 750 MHz beam at GTK3
(6.6 % Kaons at 75 GeV, 1 % bite)



NA62 rationale II & requirements

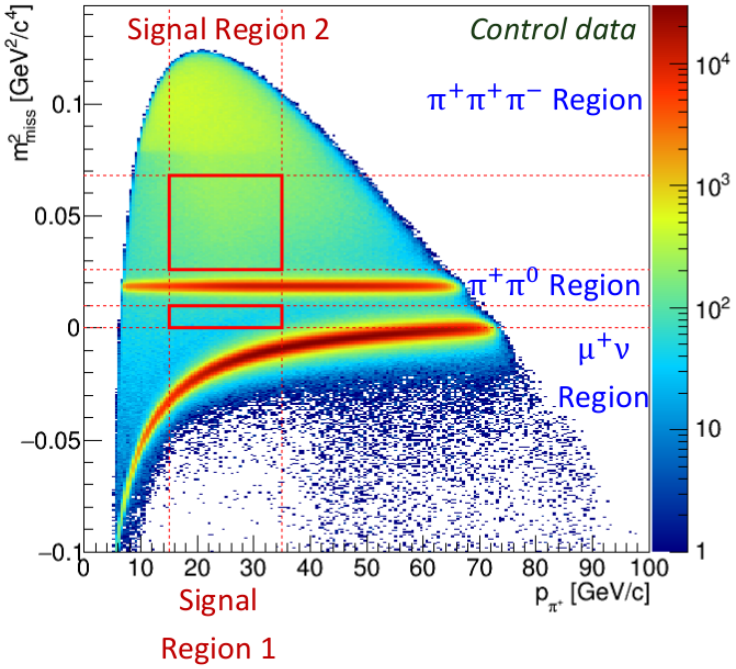
- $m^2_{\text{miss}} = (P_K - P_\pi)^2$
- 10^{12} background rejection!
- kinematic $\mathcal{O}(10^4)$
- high-efficiency veto: $\mathcal{O}(10^8)$ rejection of π^0 for $E(\pi^0) > 40\text{GeV}$
- particle ID μ vs π: rejection of $\mathcal{O}(10^7)$ for $15 < p_{\pi^+} < 35\text{GeV}$
- timing subdetectors $\mathcal{O}(100\text{ps})$

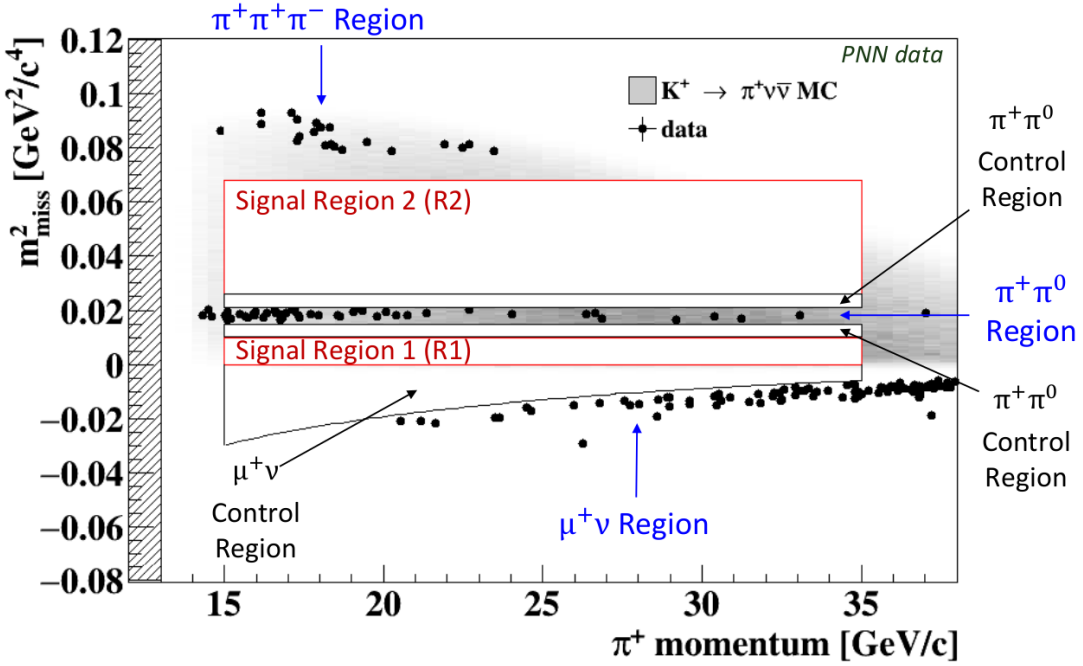




2016 data: $\sim 10^{11} K^+$ useful for analysis

Selected K^+decays, before
π^+ id and γ/multi rejection





- K^+ decay into single charged track, π^+ PID, γ & multi-track rejection
- Performances: GTK-KTAG-RICH timing: $\mathcal{O}(100\mathrm{ps})$, γ/multi-track rejection: 3×10^{-8}, overall π^+ ID: 64%,

Single Event Sensitivity and background budget

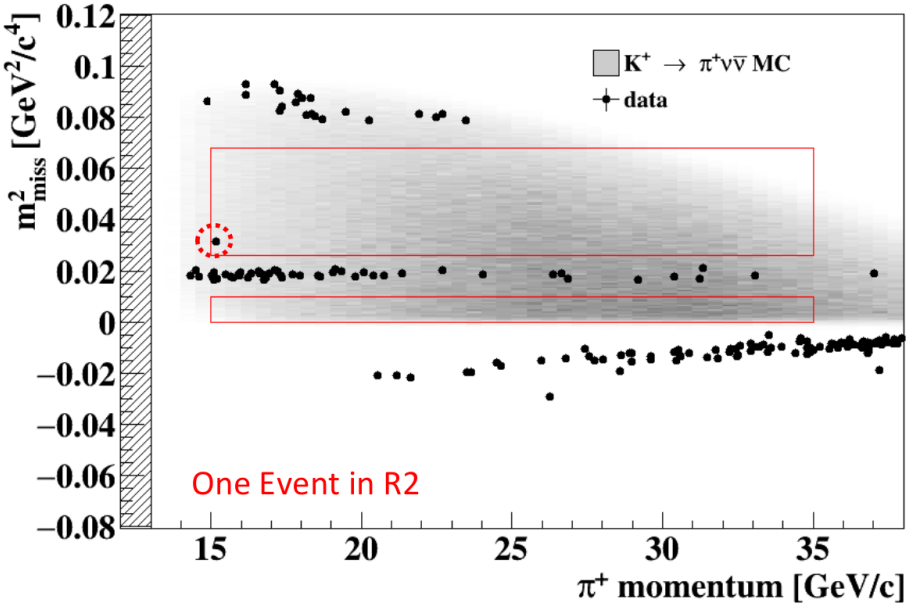
$$SES = \frac{1}{N_K \cdot (A_{\pi\nu\nu} \cdot \epsilon_{RV} \cdot \epsilon_{trig})} \qquad N_K = \frac{N_{\pi\pi} \cdot D}{A_{\pi\pi} \cdot BR_{\pi\pi}}$$

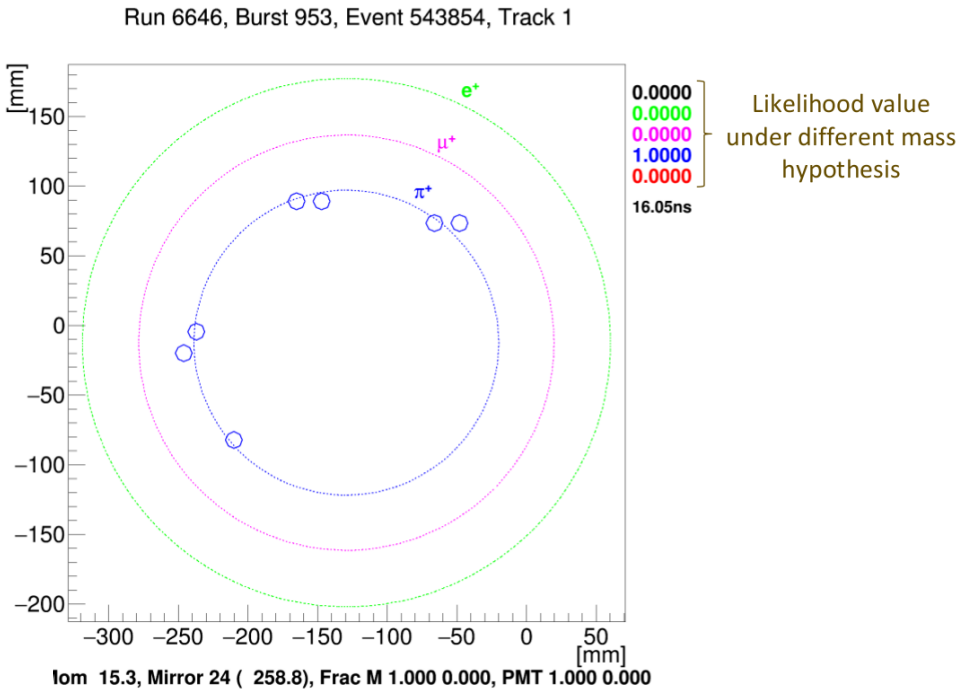
Number of K^+ Decays	$\mathbf{N_K = (1.21 \pm 0.02) \times 10^{11}}$
Acceptance $K^+ \to \pi^+\nu\bar{\nu}$	$A_{\pi\nu\nu} = 0.040 \pm 0.001$
PNN trigger efficiency	$\epsilon_{trig} = 0.87 \pm 0.02$
Random veto	$\epsilon_{RV} = 0.76 \pm 0.04$
SES	$(3.15 \pm 0.01_{\mathrm{stat}} \pm 0.24_{\mathrm{syst}}) \times 10^{-10}$
Expected SM $K^+ \to \pi^+\nu\bar{\nu}$	$0.267 \pm 0.001_{stat} \pm 0.020_{syst} \pm 0.032_{ext}$

Process	Expected events in R1+R2
$K^+ \to \pi^+\nu\bar{\nu}$ (SM)	$0.267 \pm 0.001_{stat} \pm 0.020_{syst} \pm 0.032_{ext}$
Total Background	$0.15 \pm 0.09_{\mathrm{stat}} \pm 0.01_{\mathrm{syst}}$
$K^+ \to \pi^+\pi^0(\gamma)$ IB	$0.064 \pm 0.007_{stat} \pm 0.006_{syst}$
$K^+ \to \mu^+\nu(\gamma)$ IB	$0.020 \pm 0.003_{stat} \pm 0.003_{syst}$
$K^+ \to \pi^+\pi^-e^+\nu$	$0.018^{+0.024}_{-0.017}\vert_{stat} \pm 0.009_{syst}$
$K^+ \to \pi^+\pi^+\pi^-$	$0.002 \pm 0.001_{stat} \pm 0.002_{syst}$
Upstream Background	$0.050^{+0.090}_{-0.030}\vert_{stat}$

- N_K computed from $K^+ \to \pi^+\pi^0$ on control trigger stream ($D = 400$), w/o γ and multiplicity rejection and modified m^2_{miss}-cut
- Expected number of events from 2016 data: $\mathrm{BR}_{\mathrm{SM\ theory}}$/SES
- validation of background expectations in control regions, see e.g. `https://indico.cern.ch/event/714178/` for details

Unblinding of signal regions: 1 event observed in 2016 data





Events Observed	1
SES	$(3.15 \pm 0.01_{stat} \pm 0.24_{syst}) \cdot 10^{-10}$
Expected Background	$0.15 \pm 0.09_{stat} \pm 0.01_{syst}$

$BR(K^+ \to \pi^+\nu\bar{\nu}) < 11 \times 10^{-10}$ @ 90% CL

$BR(K^+ \to \pi^+\nu\bar{\nu}) < 14 \times 10^{-10}$ @ 95% CL

Preliminary

Expected limit: $BR(K^+ \to \pi^+\nu\bar{\nu}) < 10 \times 10^{-10}$ @ 95% CL

For comparison $BR(K^+ \to \pi^+\nu\bar{\nu}) = 2.8^{+4.4}_{-2.3} \times 10^{-10}$ @ 68% CL

Preliminary

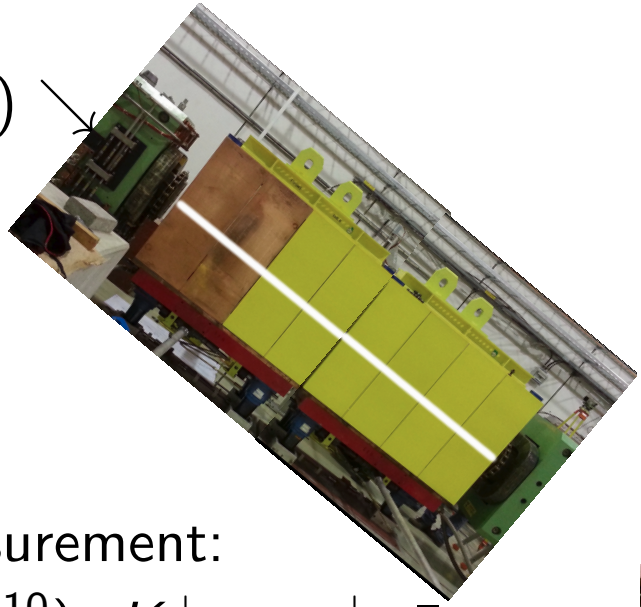
$BR(K^+ \to \pi^+\nu\bar{\nu})_{SM} = (0.84 \pm 0.10) \times 10^{-10}$ SM prediction

$BR(K^+ \to \pi^+\nu\bar{\nu}) = (1.73^{+1.15}_{-1.05}) \times 10^{-10}$ BNL E949/E787 Kaon Decay at Rest

- Processing of 2017 data ongoing (20-fold present statistics)
- 2018: data taking ongoing → prospect of some mitigation of upstream background

NA62 beyond $\pi\nu\bar{\nu}$

protons on target (POT) ↘



← beam collimator (TAX) 'open'

⇒ K^+ to detector ↓

main measurement:
BR $\mathcal{O}(10^{-10})$: $K^+ \to \pi^+\nu\bar{\nu}$

NA62 beyond $\pi\nu\bar{\nu}$

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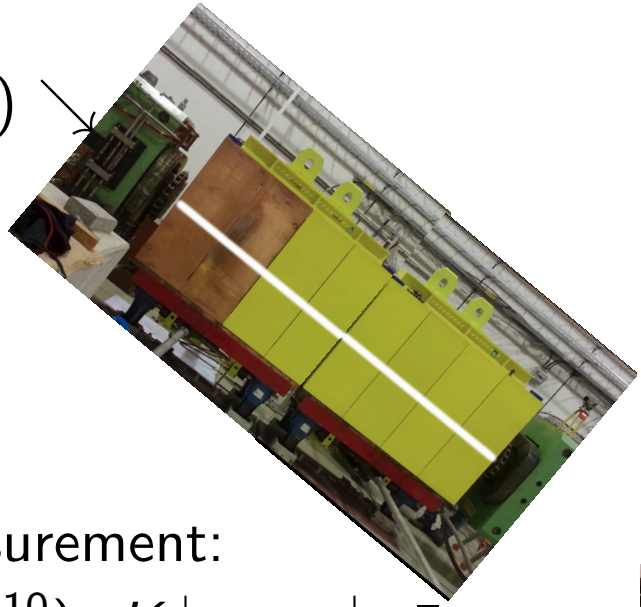

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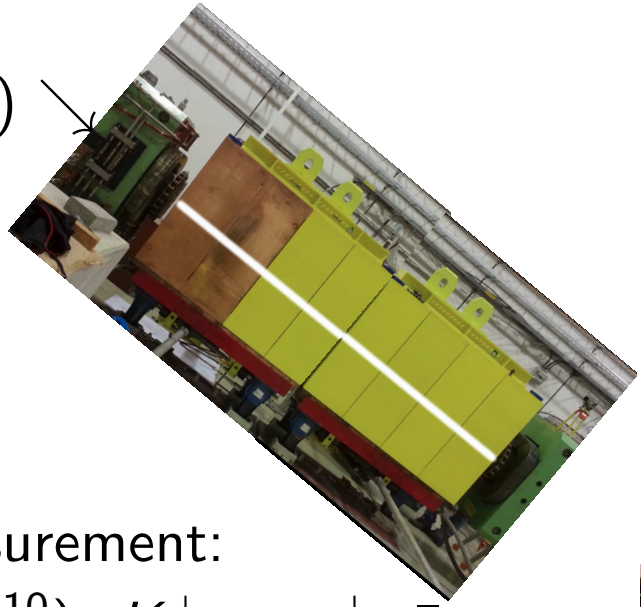
1) Kaon decay
with exotic

NA62 beyond $\pi\nu\bar{\nu}$

protons on target (POT) ↘ can produce exotics



← beam collimator (TAX) 'open'

$\Rightarrow$ K^+ to detector ↓

+ exotic away from beamline

main measurement:

BR $\mathcal{O}(10^{-10})$: $K^+ \to \pi^+\nu\bar{\nu}$

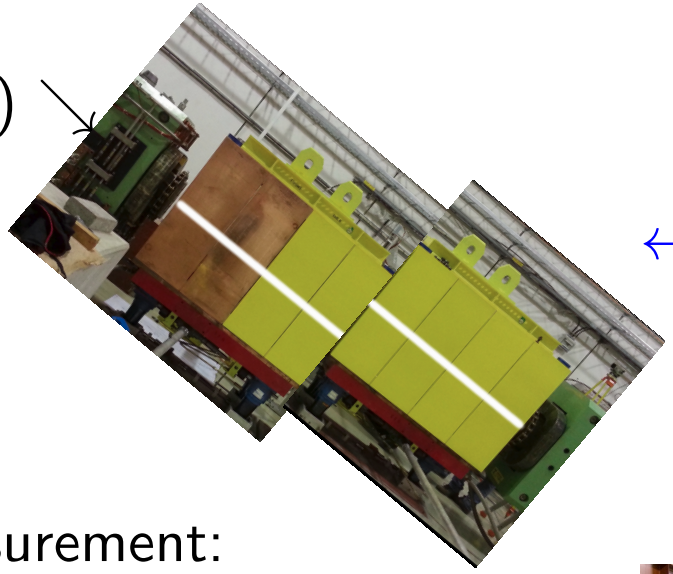
1) Kaon decay with exotic

2) parasitically:

e.g. exotic $\to l^+l^-$

NA62 beyond $\pi\nu\bar{\nu}$

protons on target (POT) ↘ can produce exotics



← beam collimator closed → dump

⇒ exotics to detector ↓ with much reduced backgrounds

main measurement:

BR $\mathcal{O}(10^{-10})$: $K^+ \to \pi^+\nu\bar{\nu}$

1) Kaon decay with exotic

2) parasitically:

e.g. exotic $\to l^+l^-$

3) dedicated data-taking

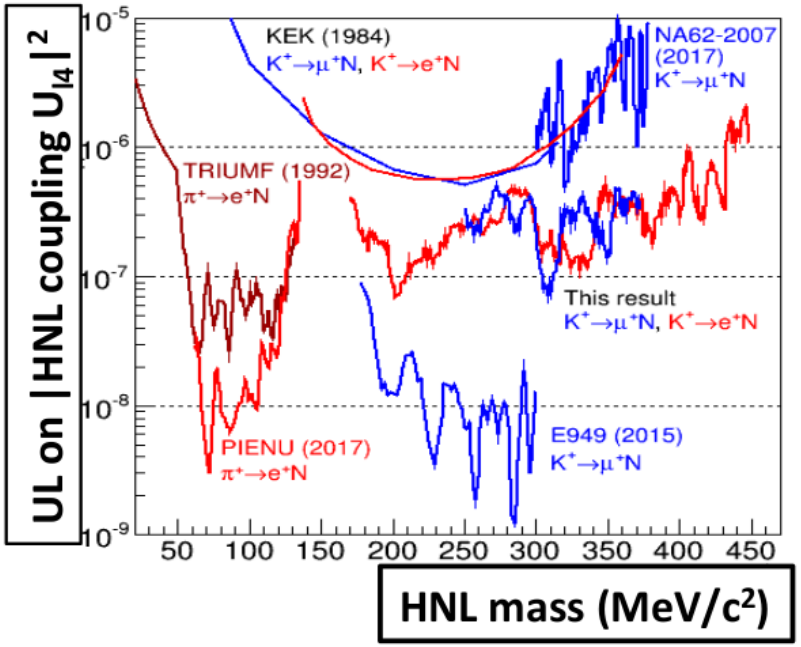
e.g. axion $\to \gamma\gamma$

some examples will follow!

1) Kaon decay with exotic: results

Trigger band width shared by $\pi^+\bar{\nu}\nu$
+ other Kaon & non-Kaon modes
example Kaon: $K^+ \to N + l^+$,

N: 'stable' Heavy Neutrino
2015 data: PLB 778 137 (2018)
based on $\sim 3 \times 10^8$ Kaon decays



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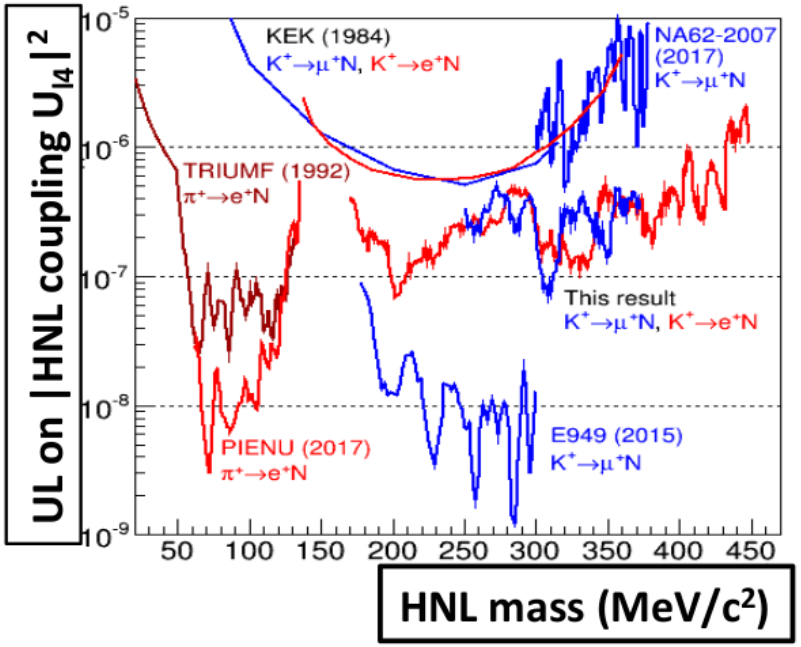
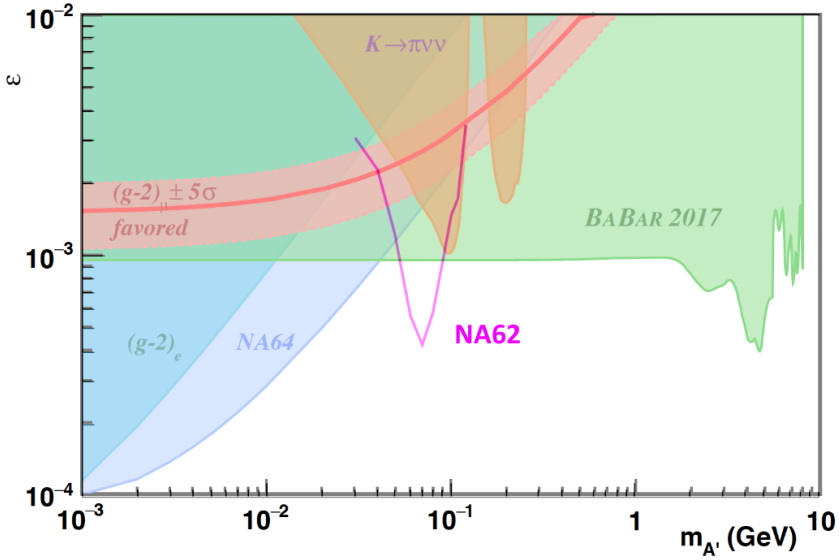




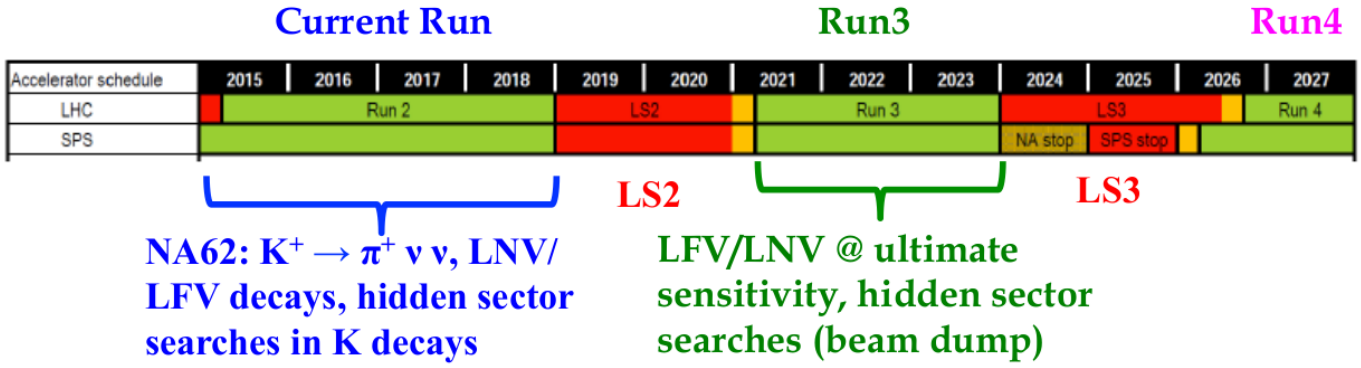
from 2016 data:

invisibly decaying Dark Photon
$K^+ \to \pi^0\pi^+$ with $\pi^0 \to A' + \gamma$
(prelim: paper in preparation)

search peak in missing mass of
$m^2_{\text{miss}} = (P_K - P_\pi - P_\gamma)^2$

2+3) Exotic from dumped-beam: prospects

1. Parasitic to $\pi\nu\bar{\nu}$: invisible Dark Photons, heavy Neutrinos... as seen before
2. Trigger Parasitic to $\pi\nu\bar{\nu}$: $\mu\pi + \mu\mu$ away from beamline: 2017: $\mathcal{O}(10^{17})$ POT, sizable statistics $\mathcal{O}(10^{18})$ POT possible this year
3. dump-mode: sizable statistics $\mathcal{O}(10^{18})$ reserved for future, but some channels discovery potential with moderate statistics (e.g. ALP $\mathcal{O}(10^{16})$)



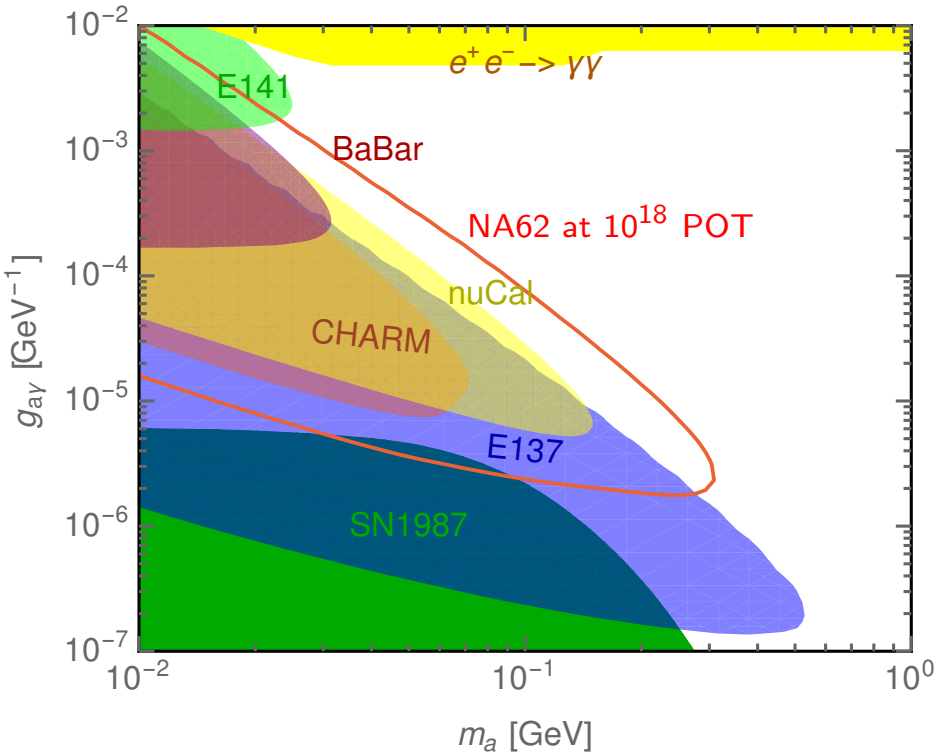
Under study / definition, interaction/synergy with the Physics Beyond Collider CERN initiative

$\Rightarrow$ In the following: "long-lived" prospects at $\mathcal{O}(10^{18})$ POT

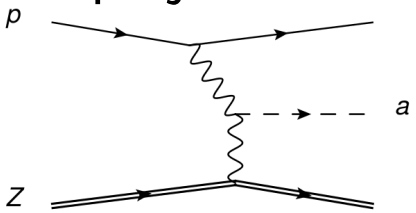
ALPs coupled to photons

$$\mathcal{L}_{\rm axion} = \mathcal{L}_{\rm SM} + \mathcal{L}_{\rm DS} + \frac{a}{f_\gamma} F_{\mu\nu}\tilde{F}_{\mu\nu}$$

ALP= Axion-like particle
(name derives from QCD axion)
good properties
as dark matter mediator
see e.g. 1709.00009



- Assume 10^{18} 400-GeV POT
- projection based on Primakov production and 0 background



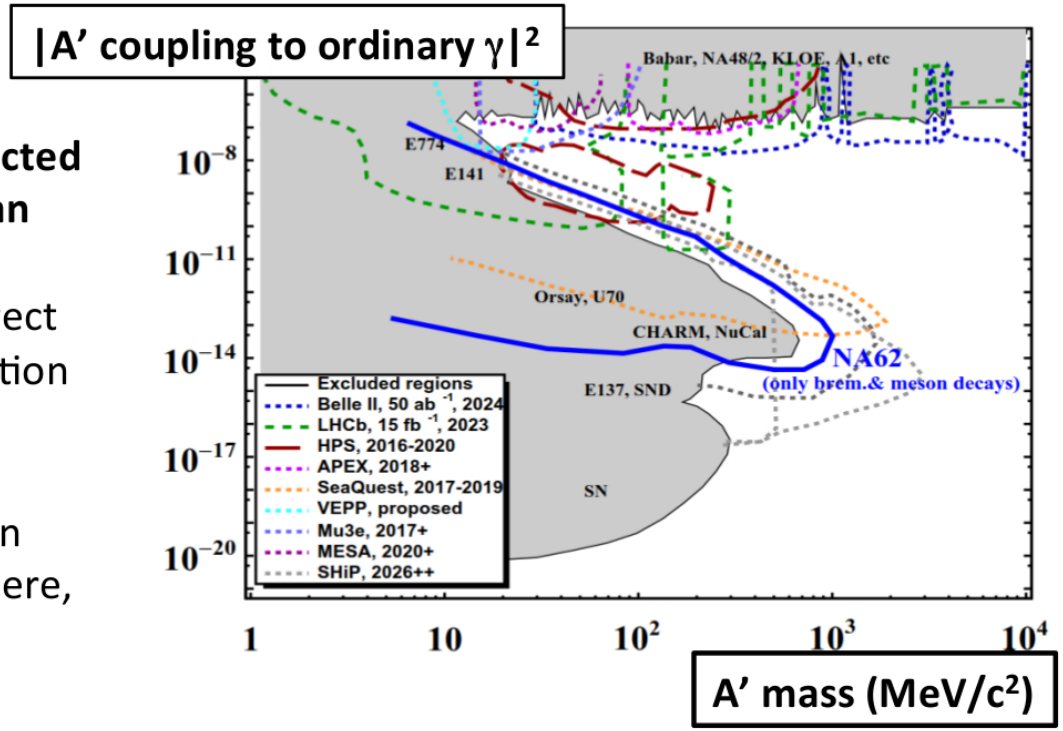
Dark Photons

$$\mathcal{L}_{\text{vector}} = \mathcal{L}_{\text{SM}} + \mathcal{L}_{\text{DS}} - \frac{\epsilon}{2\cos\theta_W} F'_{\mu\nu} B_{\mu\nu},$$

minimalistic NP:
Extra U(1)
mixing
kinematically
with EM
or hypercharge

Sensitivity expected to be higher than shown:

1. including direct QCD production of A'
2. Including A' production in the dump (here, only target)



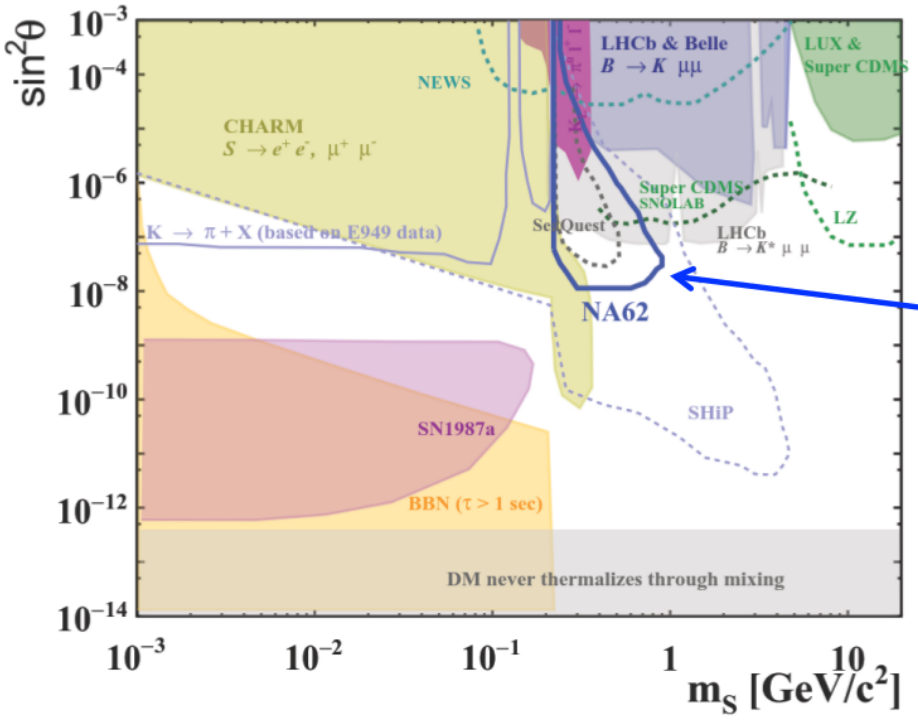
- Assume 10^{18} 400-GeV POT
- Study DP production (meson decays, bremsstrahlung) from interaction on target, search for *ee*, $\mu\mu$
- assume zero background, expected 90%-CL exclusion plot

Dark Scalars

$$\mathcal{L}_{\rm scalar} = \mathcal{L}_{\rm SM} + \mathcal{L}_{\rm DS} - (\mu S + \lambda S^2) H^\dagger H,$$

in this model we assume $\lambda = 0$

real singlet scalar coupled with Higgs

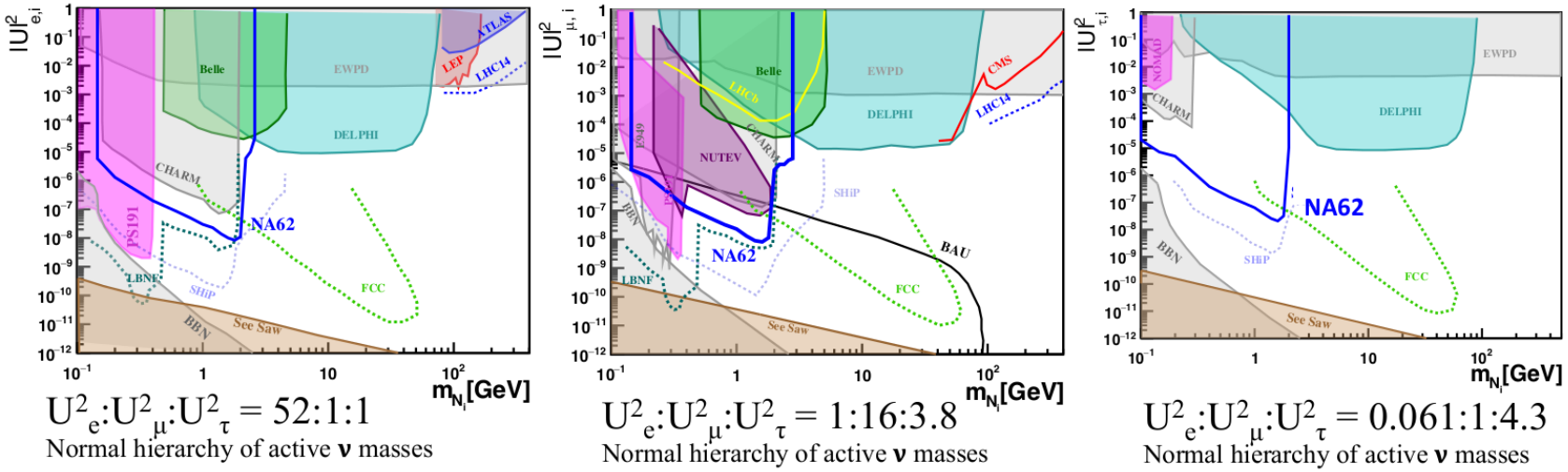


NA62 projected sensitivity dominated by beauty production

- Assume 10^{18} 400-GeV POT
- sensitivity to hidden scalars charged decays search for ee, $\mu\mu$, $\pi\pi$, KK two-track final states originating at the TAX
- assume zero background, expected 90%-CL exclusion plot

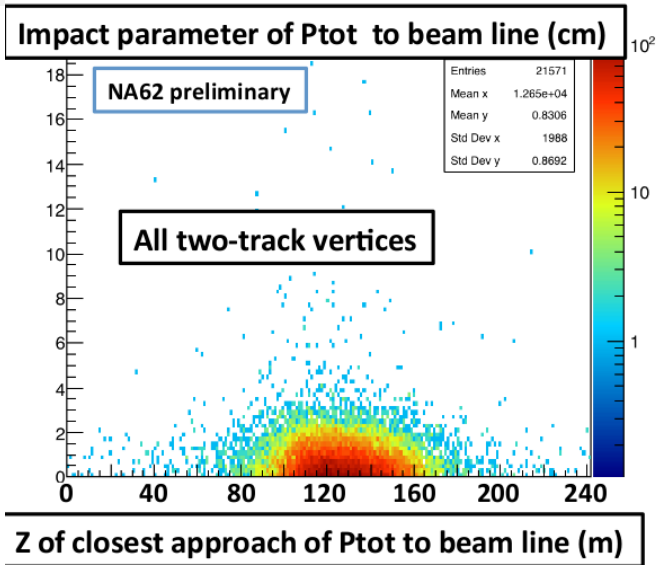
Heavy Neutral Leptons

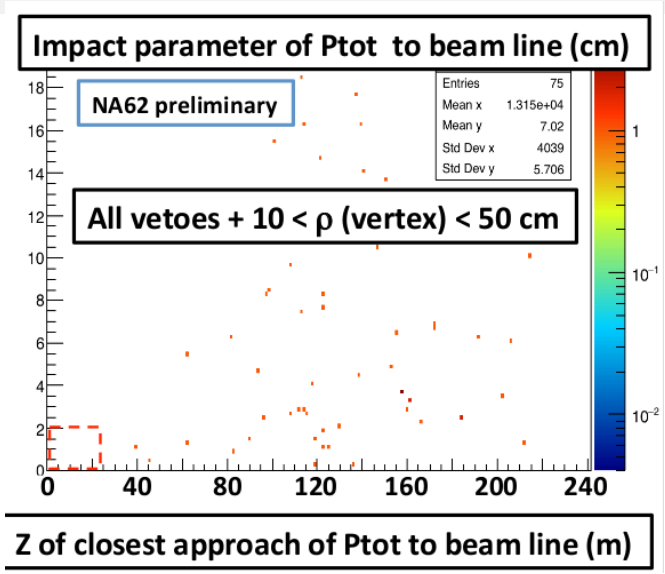
$$\mathcal{L}_{\text{vector}} = \mathcal{L}_{\text{SM}} + \mathcal{L}_{\text{DS}} + \sum F_{\alpha I}(\bar{L}_\alpha H) N_I$$



- e.g. νMSM $\rightarrow$ neutrino masses, (warm) DM candidate and baryon asymmetry
- separately address 3 extreme coupling scenarios [Shaposhnikov, Gorbunov arXiv:0705.1729]
- Assume 10^{18} 400-GeV POT: search for two-track final states originating at the TAX sensivity includes open channels, assuming 0 background
- assume zero background, evaluate expected 90%-CL exclusion plot

Background rejection NA62: 2016 data $\mathcal{O}(10^{15})$ POT





- Track quality (association with CHOD, LKr hits in time) + acceptance (CHOD, LKr, MUV3)
- Vertex quality: two-track-distance $<$ 1cm, vertex-position $105 < z < 165$ m
- further veto (rhs): $E_{\mathrm{LKr,additional}} < 2$ GeV; IRC, SAC, LAV no hits with $\pm$ 5ns, CHANTI no candidate within $\pm$ 5ns
- no events in signal region at TAX even with standard K^+ beam at $\mathcal{O}(10^{15})$ POT, background rejection OK for $\mathcal{O}(10^{15})$ POT in standard conditions and $4 \times \mathcal{O}(10^{15})$ in dump

Thanks for listening :-)

- $\pi\nu\bar{\nu}$ expected about 20 SM events from the 2017+2018 sample
- Kaon in-flight-decay technique validated
- methods to improve signal efficiency under study
- 2018: Processing on parallel with data-taking
- the analysis of 2017+2018 sample should provide: ESPP input

In addition,

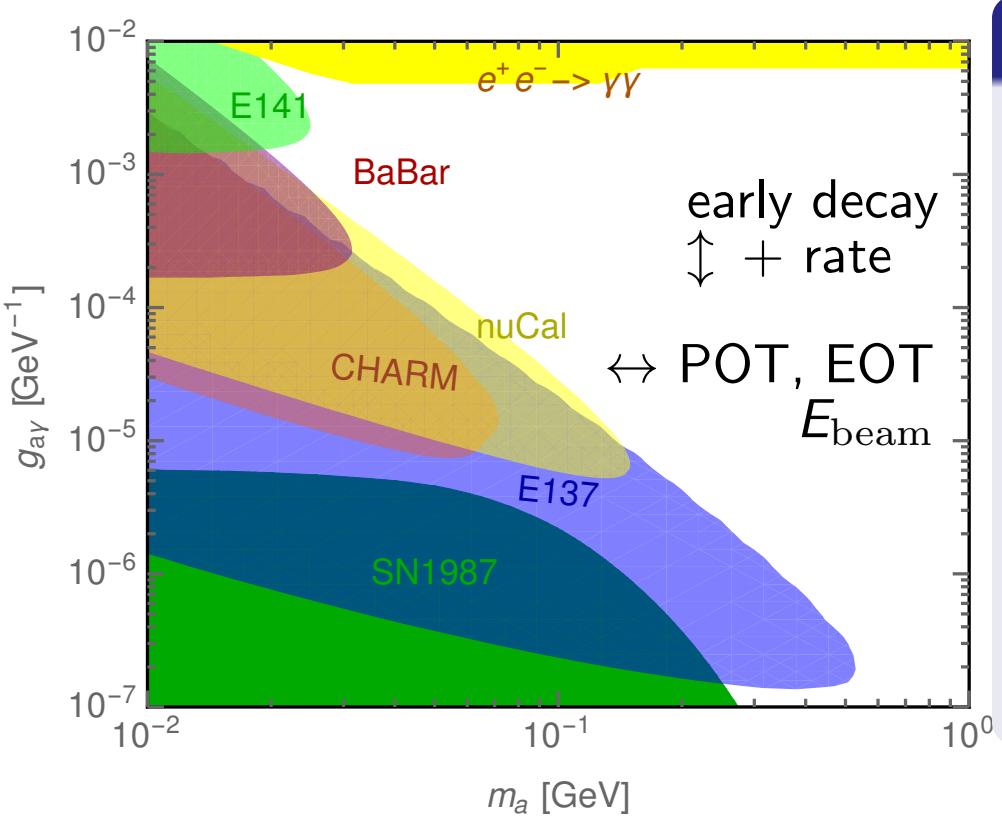
- before LS2: $\pi\bar{\nu}\nu$-parasitic triggers/searches + short dedicated beam-dump runs
- after LS2, $\mathcal{O}(10^{18})$POT would provide sensitivity to various weakly coupled particles

Additional slides/backup

Constraints from past experiments: ALP-example

$$N_{\rm det} \sim N_{\rm pot}\ \sigma_a/\sigma_p \left[\underbrace{\exp\left(-\frac{D}{\gamma\beta\tau}\right)}_{\text{decay in vac}} - \underbrace{\exp\left(-\frac{D+L}{\gamma\beta\tau}\right)}_{\text{decay in detector}} \right]$$

- decay length $\gamma\beta\tau$, ALP lifetime $\Gamma = \tau^{-1} = g_{a\gamma}^2 m_a^3/(64\pi)$



exclusion through dumps

- CHARM 400 GeV, $N_{\rm pot} = 2.4 \times 10^{18}$ on copper, $D = 480$m, $L = 35$m (off-axis: 7-12 mrad)
- NuCal 70GeV, $N_{\rm pot} = 1.7 \times 10^{18}$ on iron, $D = 64$m, $L = 23$m, on-axis 0-15 mrad
- SLAC141 9GeV, $N_{\rm eot} = 2 \times 10^{15}$ on tungsten, $D = 35$m
- SLAC137 20GeV, $N_{\rm eot} = 2 \times 10^{20}$ on aluminum, $D = 200$m

Plot from 1512.03069 with updates from 1709.00009