Searches with jet substructure in ATLAS.

CMS B2G Workshop Hamburg, 23 May 2018

Katharina Behr



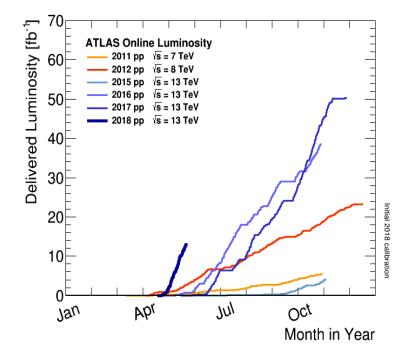






Where do we stand?

- > LHC Run 2 in full swing: exciting times for searches!
 - Recorded ~80 fb⁻¹ of 13 TeV data...
 - ... 140 fb⁻¹ expected by the end of this year
 - No BSM signal observed so far*
 *but have not analysed all recorded data



- Most current public results based on 36 fb⁻¹ of 2015+2016 data
 - Important input to define strategies for full Run 2 searches
- > BSM physics may not be easy to spot
 - Rare processes
 - Challenging kinematics
 - Non-trivial signal (e.g. due to interference)
- > **Disclaimer**: many more interesting results in both experiments than shown here!
 - Picked examples that illustrate new trends/methods
 - Favoured newer results and those available from both experiments

Substructure in ATLAS

The Ingredients

Jets, grooming, discriminating variables

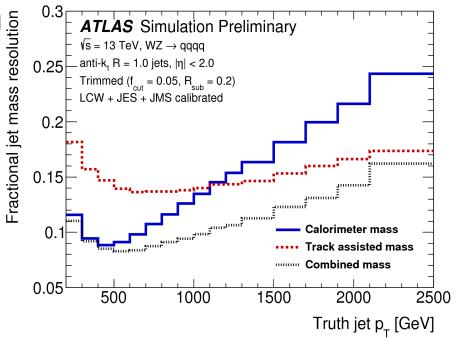
- Typical starting point: anti-k, R=1.0 jets [CMS: anti-k, R=0.8 jets]
- > Widely used grooming approach: trimming [CMS: soft-drop filtering]
 - Remove all k, R=0.2 subjets carrying less than 5% of the large-R jet p_{τ}
 - Alternative grooming techniques investigated in [ATL-PHYS-PUB-2017-020]

> Discriminating variables

- Jet combined mass [ATLAS-CONF-2016-035]
 - Replaces calorimeter mass (= mass of sum of the (massless) 4-vectors corresponding calorimeter cells)
 - Weighted average of calorimeter and track-assisted mass

$$m^{\mathrm{TA}} = \frac{p_{\mathrm{T}}^{\mathrm{calo}}}{p_{\mathrm{T}}^{\mathrm{track}}} \times m^{\mathrm{track}},$$

- Significantly improved mass resolution at large jet p_{τ}



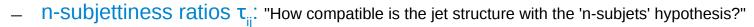
The Ingredients

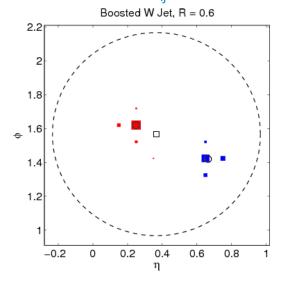
Jets, grooming, discriminating variables

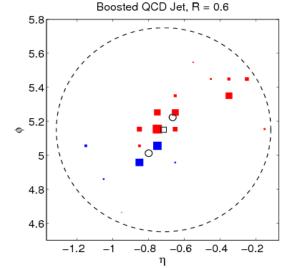
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> Discriminating variables

Jet combined mass







The Ingredients

Jets, grooming, discriminating variables

- Typical starting point: anti-k, R=1.0 jets [CMS: anti-k, R=0.8 jets]
- > Widely used grooming approach: trimming [CMS: soft-drop filtering]
 - Remove all k, R=0.2 subjets carrying less than 5% of the large-R jet $p_{ au}$
 - Alternative grooming techniques investigated in [ATL-PHYS-PUB-2017-020]
- **Discriminating variables** > Larkowski et al. JHEP12(2014)009 0.8 Jet combined mass Z Boson vs. QCD (Pythia 8) $m_{I} < 100 \text{ GeV}, p_{T} > 400 \text{ GeV}, R_{0} = 1.0$ 0.6 n-subjettiness ratios τ_{ii} **Relative Probability** $D_2^{(\beta)}, \beta=1$ Ratios of n-point correlation functions **QCD** Jets 0.4Z Jets • In particular: D₂ 0.2 0.0 10 20 15 25

 $D_{2}^{(1)}$

The Baseline Taggers

Tops, Higgs, W/Z Bosons

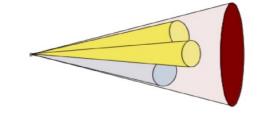
> Simple baseline taggers defined in early Run 2

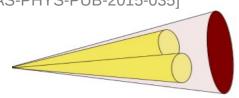
- **_ Top tagging**: *m*, *τ*₃₂ [ATL-PHYS-PUB-2015-053]
- W/Z tagging: m, τ₂₁ or D₂ [ATL-PHYS-PUB-2015-033]
- Higgs tagging: m (, τ₂₁ or D₂) + b-tagged subjets [ATLAS-PHYS-PUB-2015-035]

> More complex, alternative taggers available

- Shower deconstruction [ATLAS-CONF-2014-003, ATLAS-CONF-2017-064]
- HEPTopTagger [JHEP 06 (2016) 093,ATLAS-CONF-2017-064]
- Variable-R jets [ATL-PHYS-PUB-2016-013]
- Jet reclustering [ATLAS-CONF-2017-062]
- Machine learning based taggers [ATL-PHYS-PUB-2017-004]







Searches with boosted bosons

Search for resonances decaying to vector bosons

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Single lepton + jets

[JHEP 03 (2018) 042]

- Focus on WW and WZ resonances with W→► / v
- > Optimised for both VBF production and ggF/qq

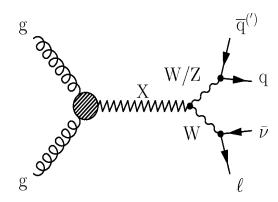
> Benchmark models

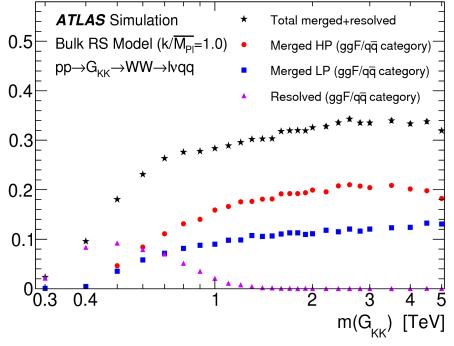
- Heavy vector triplets
- Heavy Higgs boson (e.g. in a 2HDM)
- Bulk Randall-Sundrum model
- > Both merged and resolved regimes

Merged boson candidate

- Anti- k_t R=1.0 jet, p_T >200 GeV
- Combined mass m_J>50 GeV
- n-subjettiness ratio τ_{21}

(high- and low-purity regions)



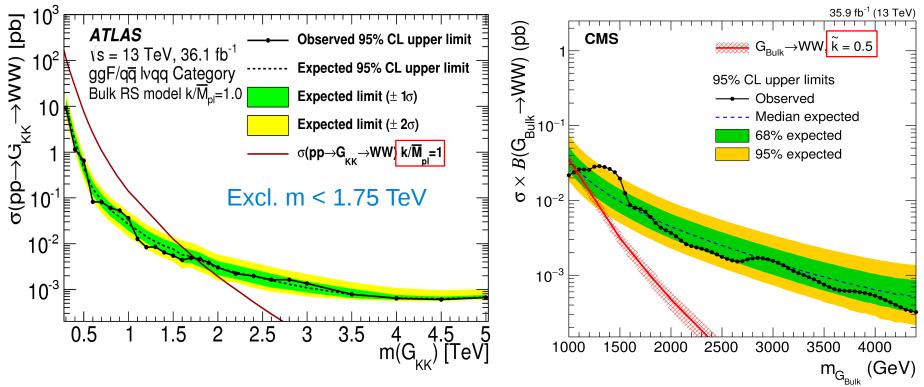


Search for resonances decaying to vector bosons

Single lepton + jets

[JHEP 03 (2018) 042]

- Results using 36.1 fb⁻¹ of 13 TeV data (summary of all diboson channels in the backup)
- > Comparison with CMS-B2G-16-029 (comparable dataset)
 - Merged regime only
 - Boson candidate: anti- $k_t R=0.8$ jet with $p_{\tau}>200 \text{ GeV}$
 - **Tagger**: soft-drop mass and n-subjettiness ratio τ_{21} (high- and low-purity regions)



Search for Higgs boson pair production

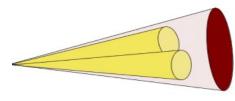
4 b-jets

[arXiv:1804.06174]

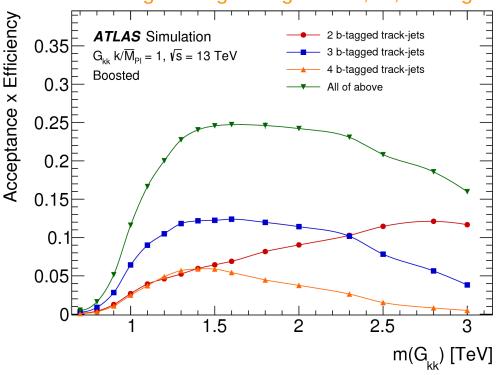
> Challenging decay mode due to large multi-(b-)jet backgrounds

> Benchmark models

- Heavy Higgs boson (e.g. in a 2HDM)
- Bulk Randall-Sundrum model
- SM non-resonant production
- > Both merged and resolved regimes
- Merged Higgs candidate
 - Anti-k, R=1.0 jet, p_{τ} >250 GeV
 - Leading jet p_{τ} > 450 GeV
 - Combined mass $m_j > 50 \text{ GeV}$
 - No n-subjettiness requirement
 - b-tagging (70% WP)
 - anti-k_tR=0.2 track jets
 - matched to large-R jet



3 orthogonal signal regions: 2-, 3-, 4-b-tags

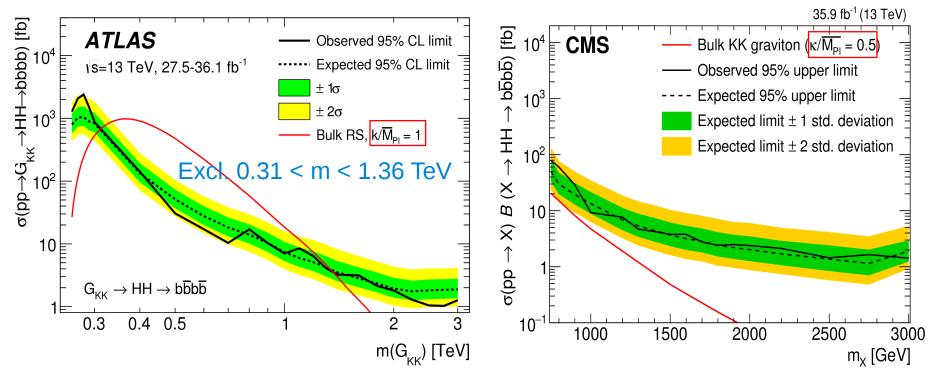


Search for Higgs boson pair production

4 b-jets

[arXiv:1804.06174]

- > Results using 36.1 fb⁻¹ of 13 TeV data
 - Non-resonant production larger than 13*SM cross-section excluded at 95% CL
- > Comparison with CMS-B2G-16-029 (comparable dataset)
 - Merged regime only
 - Higgs candidate: anti- $k_t R=0.8$ jet with $p_{\gamma}>300 \text{ GeV}$ (both leading and subleading Higgs)
 - **Tagger**: soft-drop mass and n-subjettiness ratio τ_{21} (high-purity region only)
 - Double b-tagger (MVA-based)



Searches with boosted top quarks

Search for heavy resonances decaying to top quarks

Single lepton+jets channel

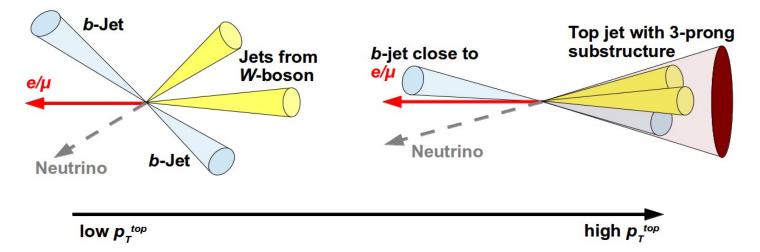
[arxiv:1804.10823]

- > Sensitive to a large range of BSM resonances
 - Spin-1 colour-singlet Z' (here: SSM Z')
 - Spin-2 colour singlet: Kaluza-Klein graviton
 - Spin-1 colour octet: Kaluza-Klein gluon
- > Two topologies: resolved and merged

> Merged top candidate

DESY.

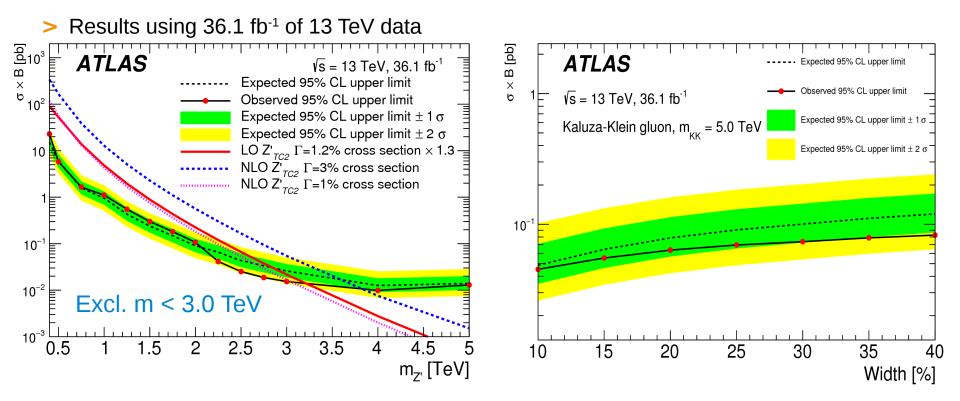
- Anti- $k_t R=1.0$ jet, $p_T > 300 GeV$
- Combined mass and n-subjettiness ratio τ_{32} requirements
- Top tagging efficiency WP: 80%
- **b-tagging**: multivariate tagger with 70% efficiency on anti- k_t R=0.2 track jets



Search for heavy resonances decaying to top quarks

Single lepton+jets channel

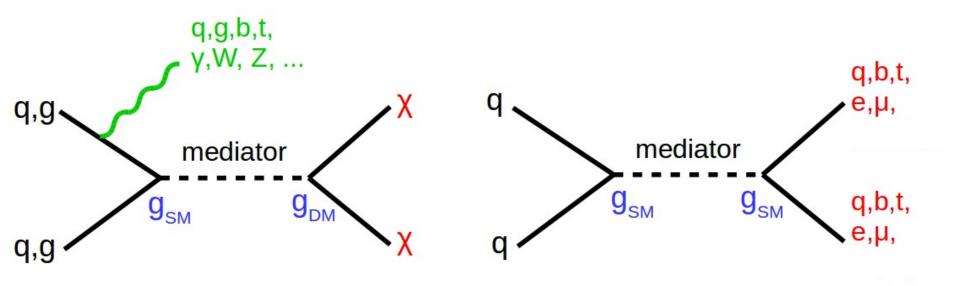
[arxiv:1804.10823]



- Comparison with JHEP 07 (2017) 001 (smaller dataset: 2.6 fb⁻¹)
 - Both lepton+jets and fully hadronic (merged only) final states
 - Top candidates: anti- k_{t} R=0.8 jet with p_{T} >500 GeV
 - Tagger: soft-drop mass and n-subjettiness ratio τ₃₂
 - Exclude mass range 0.6 2.5 TeV for a Z' with 1% width

Search for heavy resonances decaying to top quarks Dark Matter [arxiv:1804.10823]

- > Simplified model of dark matter
 - Contains a mediator Z' with (axial-)vector couplings to SM fermions and DM
- > Common ATLAS/CMS benchmark model
 - LHC DM WG whitepapers [CERN-LPCC-2016-001,CERN-LPCC-2017-01]

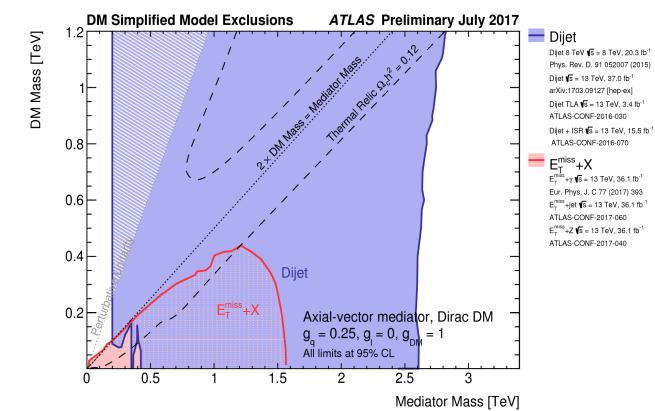


Search for heavy resonances decaying to top quarks Dark Matter [arxiv:1804.10823]

> Simplified model of dark matter

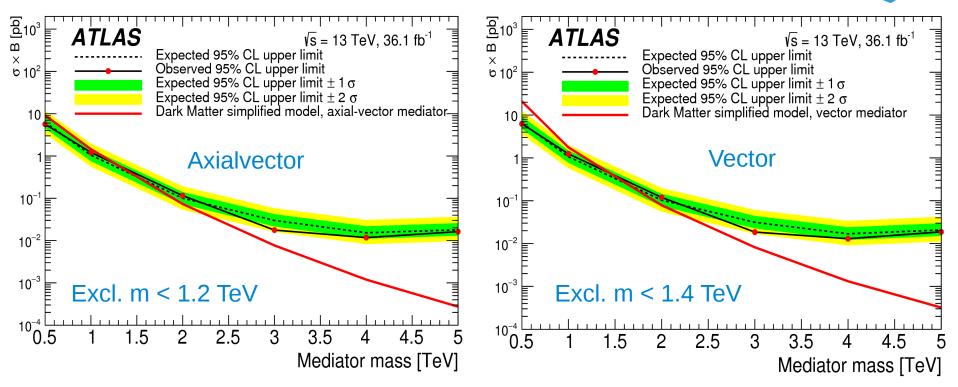
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- Contains a mediator Z' with (axial-)vector couplings to SM fermions and DM
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 - LHC DM WG whitepapers [CERN-LPCC-2016-001,CERN-LPCC-2017-01]
- Interplay between mono-X and resonance searches



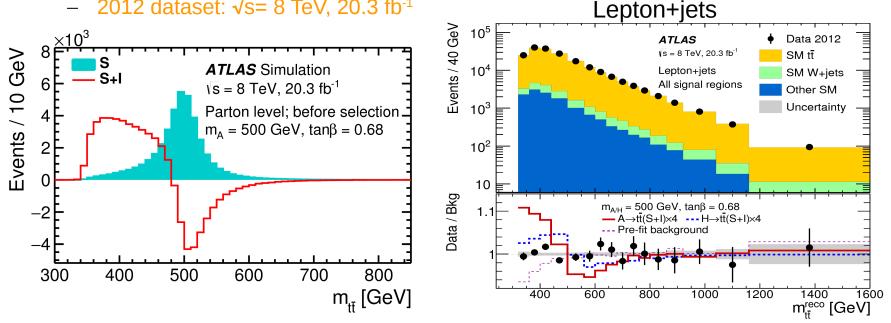
Search for heavy resonances decaying to top quarks Dark Matter [arxiv:1804.10823]

- Re-interpretation by reweighting existing SSM Z' samples
 - Weights obtained from ratio of m_{tt} distributions at truth level
- Exclusion limits on Z' with axialvector or vector couplings
- > Intermediate mass range is still interesting!



Search for heavy Higgs bosons decaying to top quarks Including interference effects [Phys. Rev. Lett. 119, 191803 (2017)]

- > Strong interference between $gg \to A/H \to t\bar{t}$ and background from $gg \to t\bar{t}$
- > Complex signal shape: peak-dip structure
- > Reduced sensitivity in traditional "bump hunts"
- > Challenges: generating interference patterns, adapting statistical tools
- Search requires very well understood dataset - 2012 dataset: √s= 8 TeV, 20.3 fb⁻¹



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b/t

b/t

A/H

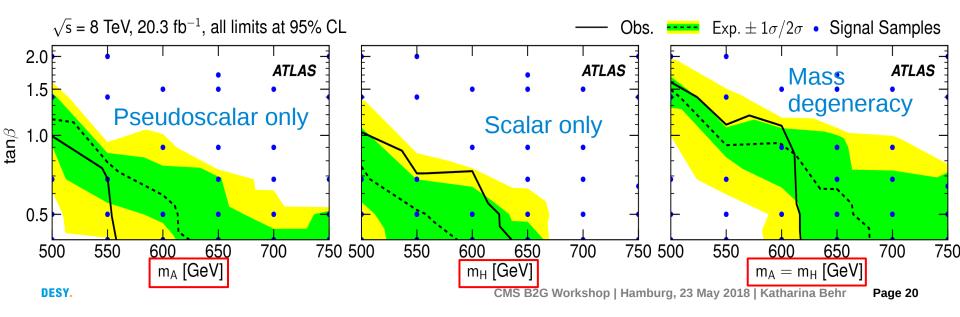
Search for heavy Higgs bosons decaying to top quarks

Including interference effects

[Phys. Rev. Lett. 119, 191803 (2017)]

- > Derive exclusion limits on type-II Two-Higgs-doublet model
 - 5 Higgs bosons
 - Scalars h, H
 - Pseudoscalar A
 - Charged H[±]
 - Alignment limit = lighter scalar h is SM Higgs boson
 - Interference pattern depends on mass and tanβ
 - Reweighting (based on matrix-element ratios) to obtain additional signal points

> First direct limits in the high-mass, low-tan β region





Search for heavy Higgs bosons decaying to top quarks

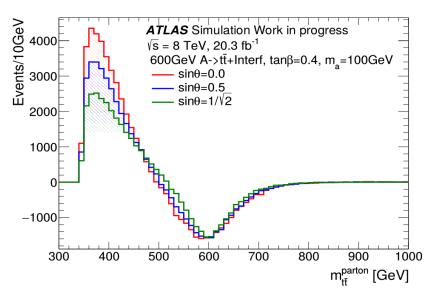
Dark matter

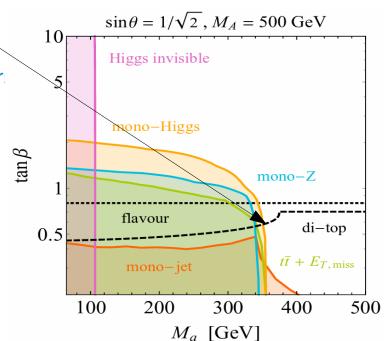
[LHC DM WG whitepaper in preparation]

- > New benchmark model for dark matter
 - M. Bauer, U. Haisch, F. Kahlhoefer [JHEP 1705 (2017) 138]
 - Commonly studied by ATLAS and CMS via LHC DM WG
- > 2HDM+pseudoscalar mediator a that mixes with pseudoscalar A of the 2HDM
 - Little constraints from direct detection
 - Rich collider phenomenology
 - Approximate constraints from $A/H
 ightarrow t ar{t}$.



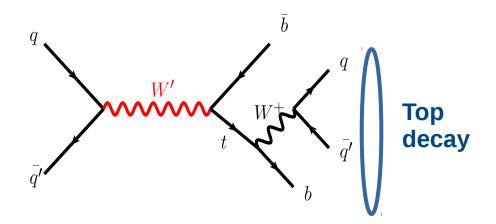
E.g. a-A mixing angle





Fully hadronic channel

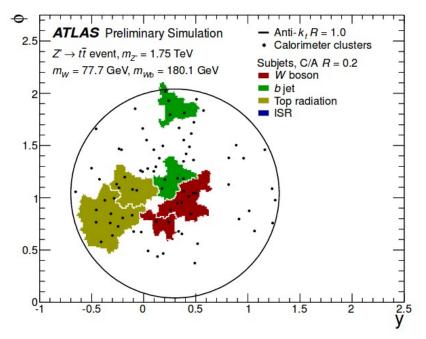
- > Heavy W' predicted in various BSM scenarios
 - E.g. extra dimensions, composite Higgs
- > Benchmark model: SSM W'
 - Right-handed W'_{R} [ATLAS: assume m(v_{R}) >> m(W'_{R})]
 - Left-handed W',

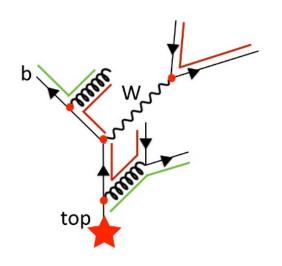


- Merged decay topology only
- > Top quark candidate identified using shower deconstruction
- > 0- and 1-b-tag event categories depending on whether top candidate has associated b-jet

Shower deconstruction

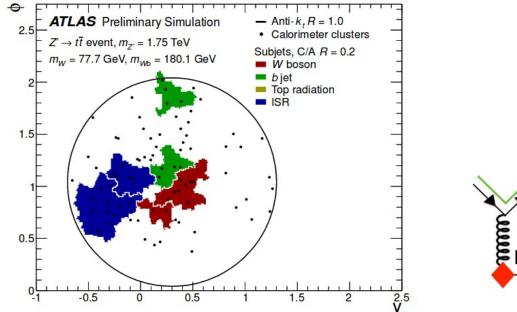
- > Idea: calculate likelihoods that jet originates from top quark or from a light quark or gluon
- Input: trimmed anti-k, R=1.0 jets
 - Reclustered to find exclusive k_{t} subjets (better performance at high p_{τ})
 - Run 1: Cambridge-Aachen R=0.2 subjets
 - Apply loose pre-selection requirements
- > Calculate all possible shower histories for signal (top) and background (light quark, gluon)
 - Using Sudakov factors and DGLAP splitting functions at each branching point

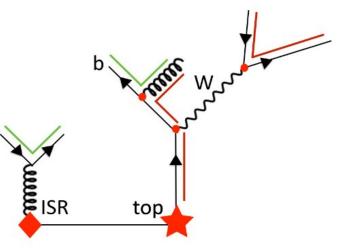




Shower deconstruction

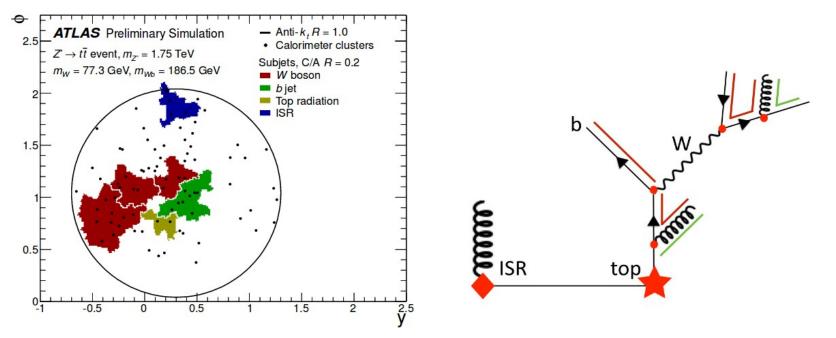
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Shower deconstruction

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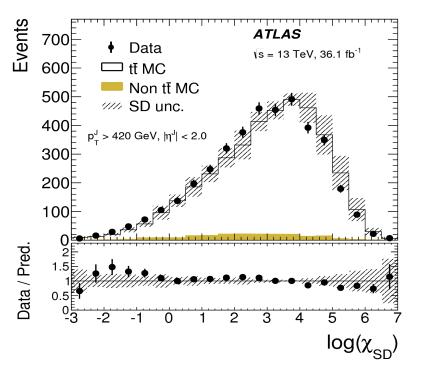
Shower deconstruction

[Phys. Lett. B 781 (2018) 327]

- > Idea: calculate likelihoods that jet originates from top quark or from a light quark or gluon
- > **Input**: trimmed anti-k, R=1.0 jets
 - Reclustered with Cambridge-Aachen algorithm to obtain exclusive R=0.2 subjets
 - Apply loose pre-selection requirements
- > Calculate all possible shower histories for signal (top) and background (light quark, gluon)
 - Using Sudakov factors and DGLAP splitting functions at each branching point
- > Discriminating variable:

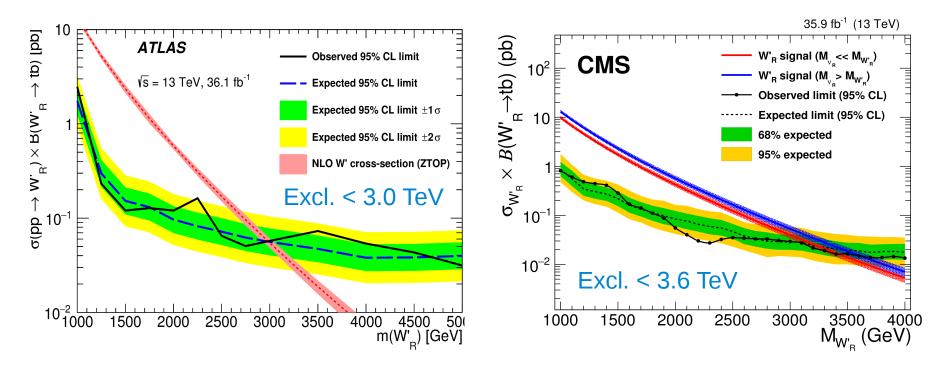
$$\chi(\{p\}_N) = \frac{\sum_{\text{histories}} P(\{p\}_N | S)}{\sum_{\text{histories}} P(\{p\}_N | B)}$$

Requirements on log(X) to obtain WPs with 50% and 80% efficiency



Fully hadronic channel

- > Results using 36.1 fb⁻¹ of 13 TeV data
- > Comparison with Phys. Lett. B 777 (2017) 39
 - Lepton+jets final state, comparable dataset
- > Compare only results for W'_{R} as those for W'_{I} are presented differently in ATLAS and CMS



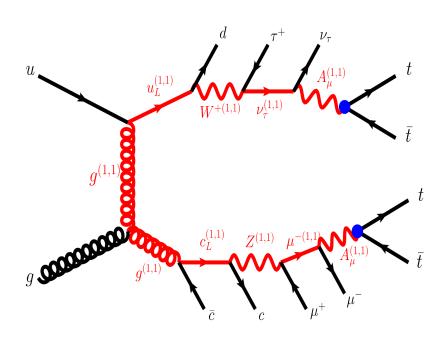
Searches for vector-like quarks

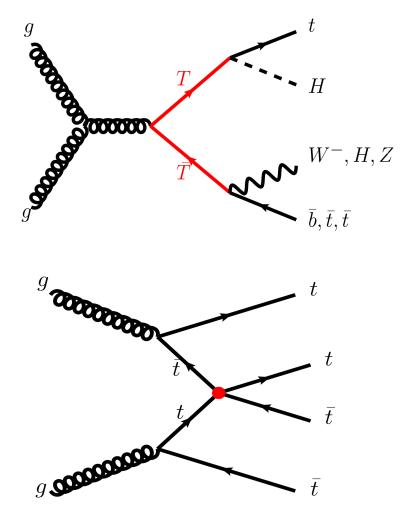
Search for pair production of vector-like T-quarks

HT+X search

[arxiv:1803.09678]

- > Various different interpretations
 - Pair production of VLT
 - 4-top production in EFTs
 - Cascade decays of KK excitations
- > Note: not optimised for SM 4-top production



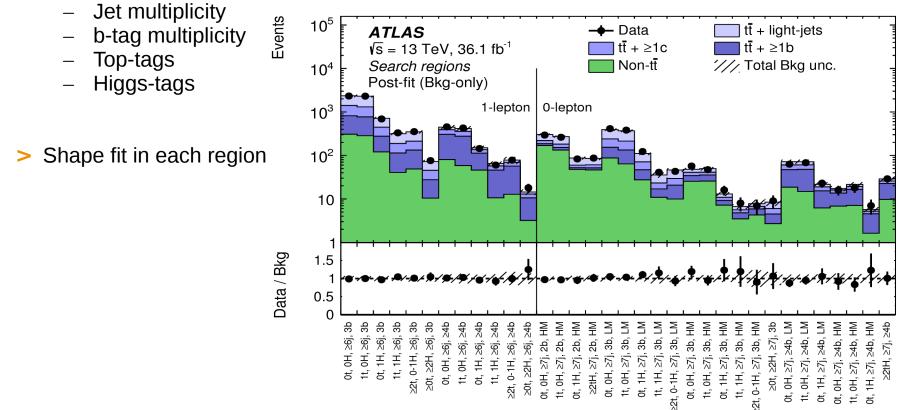


Search for pair production of vector-like T-quarks

HT+X search

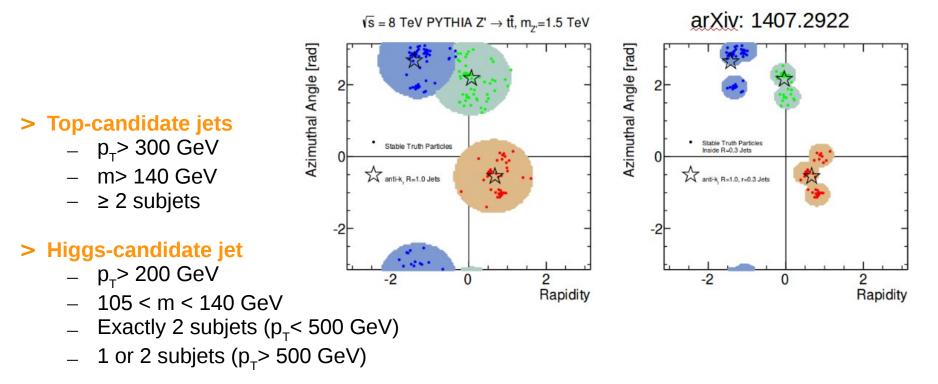
[arxiv:1803.09678]

- > Search optimised for TT--> HtHt, HtZt, HtWb but some sensitivity for ZtZt,ZtWb with Z-->bb
- > High jet and b-tag multiplicities!
- > 34 signal regions (22 regions for 0-lepton, 12 regions for 1-lepton) divided according to



Search for pair production of vector-like T-quarks HT+X search [arxiv:1803.09678]

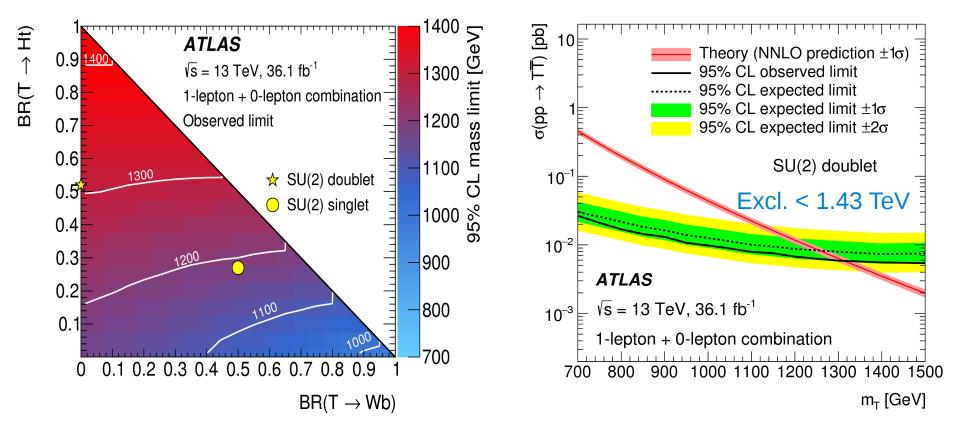
- > Top- and Higgs-tagged jets reconstructed via jet reclustering
 - Anti- k_{t} R=1.0 jets build from calibrated anti- k_{t} R=0.4 jets



> Note aside: reclustering used also in many ATLAS SUSY searches

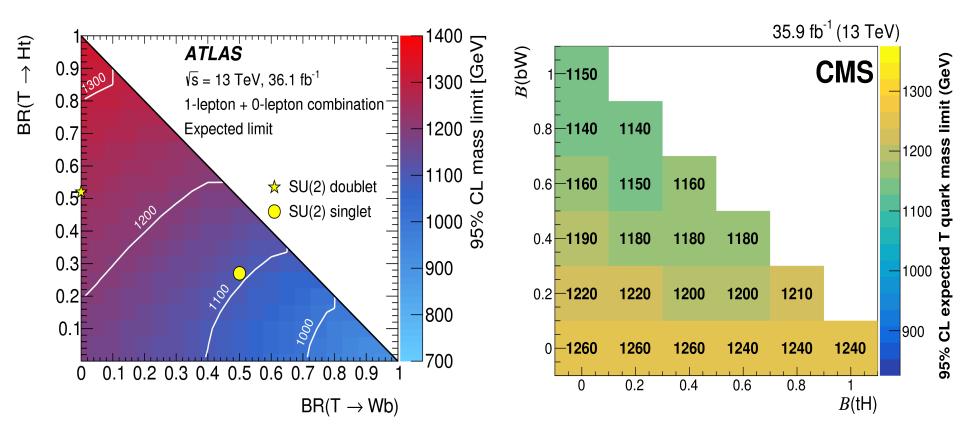
Search for pair production of vector-like T-quarks HT+X search [arxiv:1803.09678]

> Exclusion limits derived as function of mass and branching ratios



Search for pair production of vector-like T-quarks HT+X search [arxiv:1803.09678]

- > Comparison with CMS-B2G-17-011, targetting leptonic final states
 - Single lepton, same-sign dileptons, at least three leptons



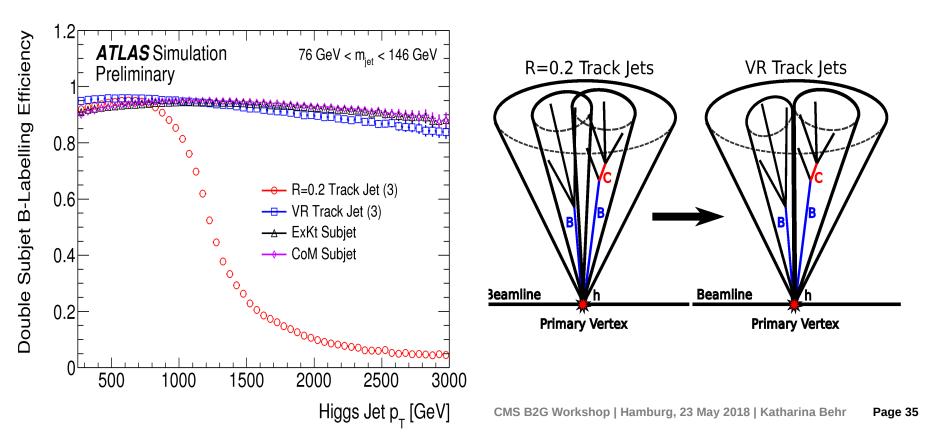
Tools for future searches

Improvements in h(bb) tagging

Alternative subjets

[ATL-PHYS-PUB-2017-010]

- > Current taggers based on anti-k, R=0.2 track jets limited at high jet- p_{τ} due to jet merging
- > Solution: variable-R jets
 - Effective jet radius shrinks with jet transverse momentum: $R_{eff} \sim 1/p_{T}$

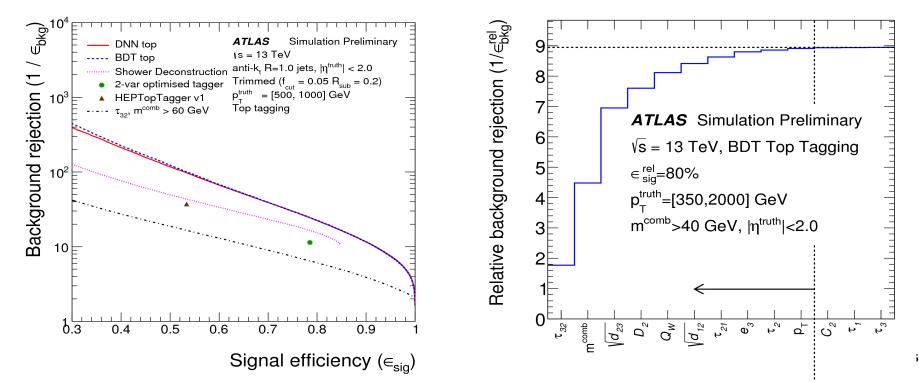


Improvements in top- and W-tagging

Multivariate methods

[ATL-PHYS-PUB-2017-004, ATLAS-CONF-2017-064]

- > Studied boosted decision trees (BDTs) and deep neural networks (DNN)
 - Exploit correlation of input variables
- > Input: various substructure variables
 - BDT: add sequentially variable that gives largest performance improvement
 - DNN: test different groups of input variables
- > Significant performance improvements compared to single-variable taggers



A few general observations...

- > Substructure techniques well established by now
 - Many analyses in ATLAS and CMS rely on simple 1- or 2-variable taggers
 - Various new/alternative taggers investigated in both experiments
 - Significant efficiency boost from machine learning techniques
 - Track-assisted techniques increasingly used in ATLAS
 - Combined mass
 - Jets built from Track-CaloClusters [ATL-PHYS-PUB-2017-004]
- > b-tagging efficiency at high momenta a limiting factor for many searches
 - New algorithms targetting dense topologies
- > Interference effects are becoming more relevant as precision/scope of searches increases
 - A/H-->tt
 - Single VLQ production
 - ...
- > Increasing interest in re-interpretations/new benchmark models
 - E.g. use Z'-->tt and A/H-->tt searches to constrain DM models
 - Signal interpolation techniques (reweighting, morphing, ...) become important!

Extra Material

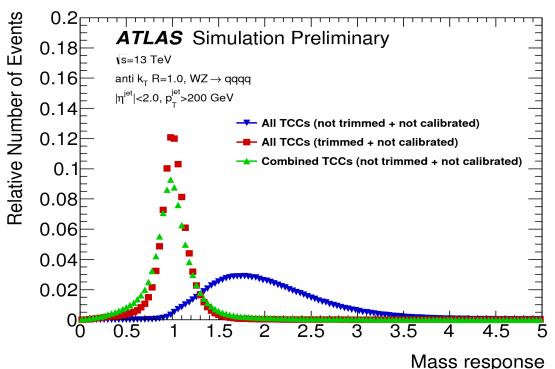


Track-CaloCluster (TCC) Jets

Track-assisted substructure

[ATL-PHYS-PUB-2017-004]

- > Combine tracking and calorimeter information at the low level of jet inputs
 - New Track-CaloCluster objects as input to jet clustering
- > Different from track-assisted mass/substructure where tracks are matched to a calorimeter jet
- > Type of particle flow approach
 - Main difference: angular information taken from track, energy from calorimeter
 - Track 4-vector is NOT used to correct the full 4-vector of the TCC object

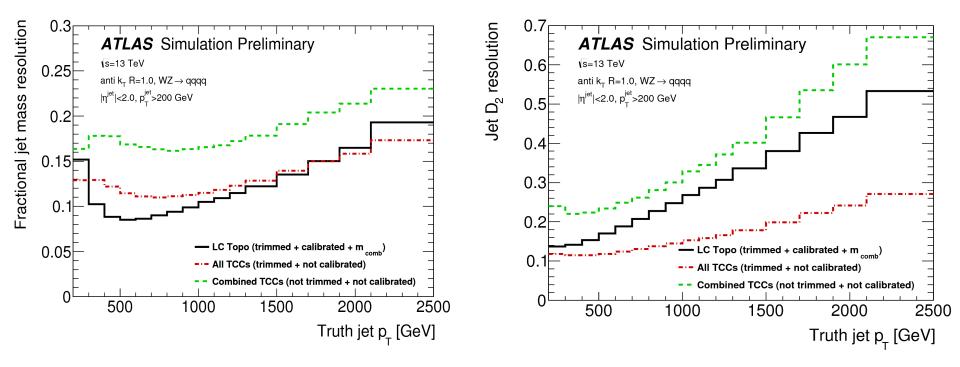


Track-CaloCluster (TCC) Jets

Combining calorimeter and tracking information

[ATL-PHYS-PUB-2017-004]

- > Combine tracking and calorimeter information at the low level of jet inputs
 - New Track-CaloCluster objects as input to jet clustering
- > Different from track-assisted mass/substructure where tracks are matched to a calorimeter jet
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W-tagging

[ATL-PHYS-PUB-2017-020]

> Lists of constituent-level pile-up mitigation techniques and grooming algorithms

Input Type	Name	Parameter Values
Uncorrected LC topoclusters	LCTopo	-
Voronoi subtraction with negative suppression	VorSupp	-
Voronoi subtraction with negative spreading	VorSpread	-
Constituent Subtraction	CS	$\Delta R_{\rm max} = 0.25$
SoftKiller	SK	$\ell = 0.6$
Constituent Subtraction + SoftKiller	CS+SK	$\Delta R_{\rm max} = 0.25, \ell = 0.6$
Voronoi Suppression + SoftKiller	VorSupp+SK	$\ell = 0.6$

Grooming Algorithm	Name	Parameters Tested
Modified Mass Drop	mMDT	$(z_{\rm cut}, n_{\rm filt}) \in [0.05, 0.1, 0.15, 0.2] \times [3]$
Soft Drop	SD	$(z_{\text{cut}},\beta) \in [0.05, 0.1, 0.15, 0.2, 0.25] \times [0, 0.5, 1, 1.5, 2]$
Pruning	Pruned	$(z_{\text{cut}}, R_{\text{cut}}) \in [0.10, 0.15, 0.20] \times [0.12, 0.25, 0.5]$
Trimming	Trimmed	$(f_{\text{cut}}, R_{\text{sub}}) \in [5, 7, 9, 11, 13, 15]\% \times [0.1, 0.2, 0.3]$
Reclustering	RC	$(R_{\text{small}}, f_{\text{cut}}) \in [0.2, 0.3, 0.4] \times [5\%]$

W-tagging

[ATL-PHYS-PUB-2017-020]

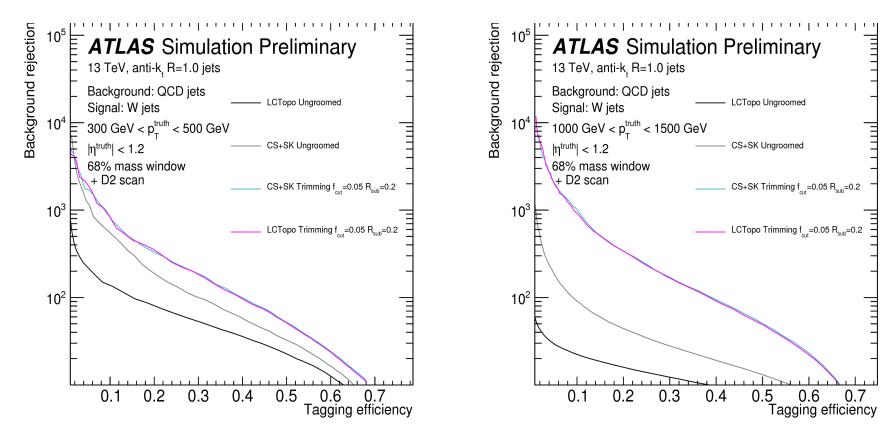
> Lists of best performing combinations

Constituent Type	Grooming Algorithm	Parameter Choice
CS+SK	Soft Drop	$z_{\rm cut} = 0.1, \ \beta = 0$
CS+SK	Pruning	$z_{\rm cut} = 0.15, R_{\rm cut} = 0.25$
CS+SK	Trimming	$R_{\rm sub} = 0.1, f_{\rm cut} = 9\%$
LCTopo	Trimming	$R_{\rm sub} = 0.2, f_{\rm cut} = 5\%$
EMTopo	Reclustering	$R(small - R) = 0.4, f_{cut} = 5\%$

W-tagging

[ATL-PHYS-PUB-2017-020]

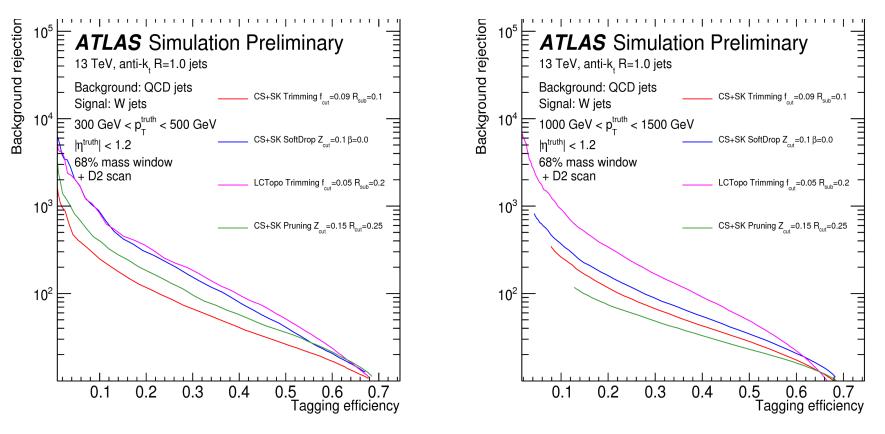
- > ROC curves for $m + D_2$ tagger
- > Comparing ungroomed and trimmed jets



W-tagging

[ATL-PHYS-PUB-2017-020]

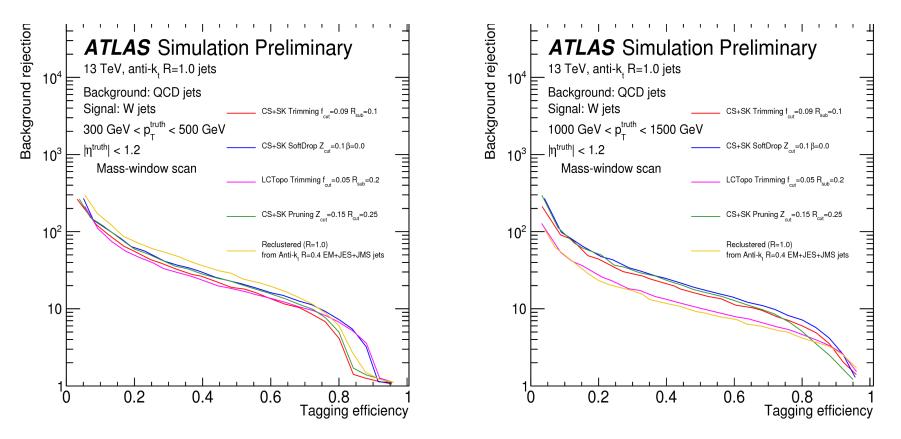
- > ROC curves for $m + D_2$ tagger
- > Comparing trimmed jets with pruned and soft-drop filtered jets
- > Too aggressive grooming reduces discrimination power of D_2 variable



W-tagging

[ATL-PHYS-PUB-2017-020]

- > ROC curves for mass-window tagger
- Comparing trimmed jets with pruned and soft-drop filtered jets

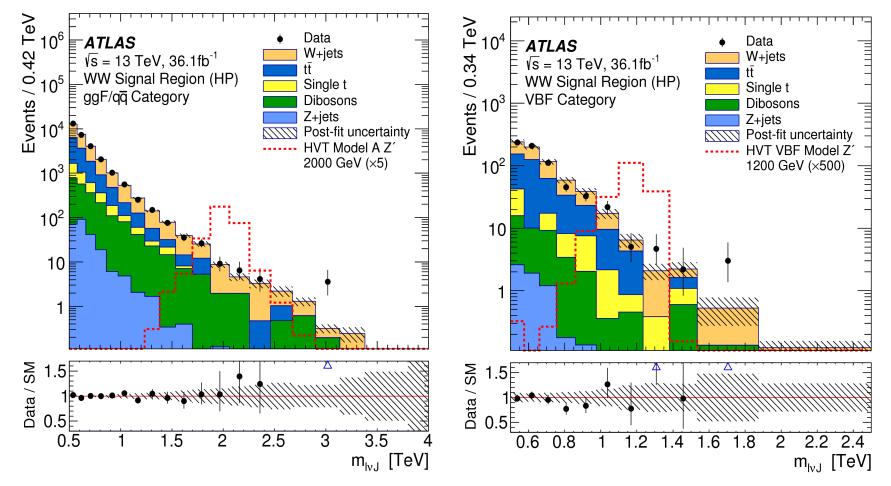


Search for resonances decaying to vector bosons

Single lepton + jets

[JHEP 03 (2018) 042]

- > Results using 36.1 fb⁻¹ of 13 TeV data
- > Post-fit plots for the merged HP region

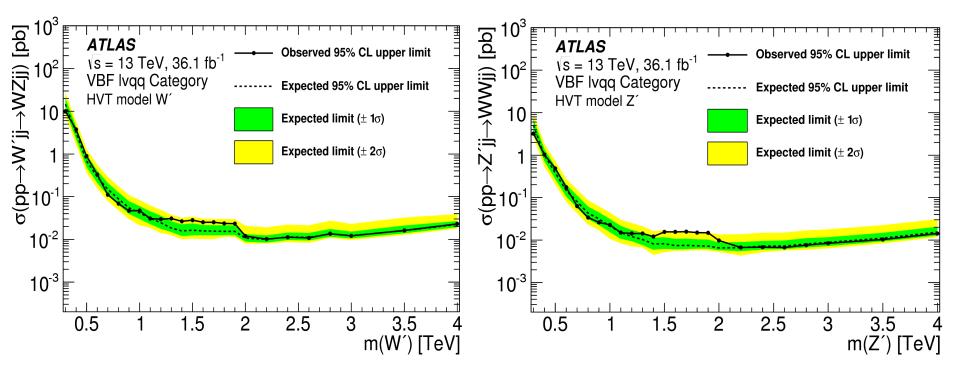


Search for resonances decaying to vector bosons

Single lepton + jets

[JHEP 03 (2018) 042]

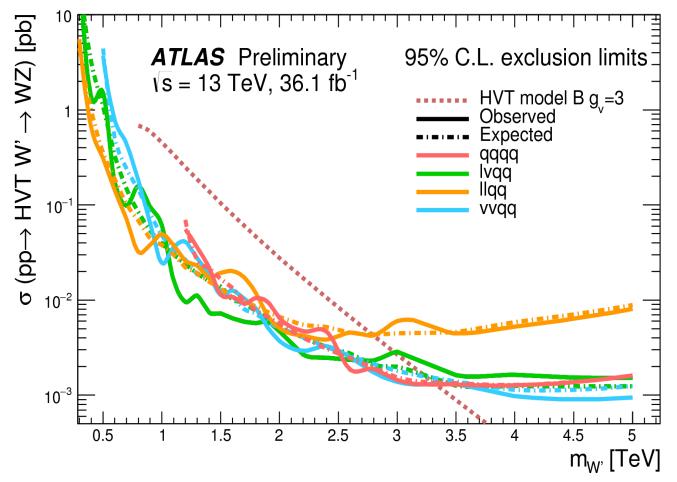
> Results using 36.1 fb-1 of 13 TeV data



Search for resonances decaying to vector bosons

Summary of all channels

> Results using 36.1 fb⁻¹ of 13 TeV data



Search for tb resonances

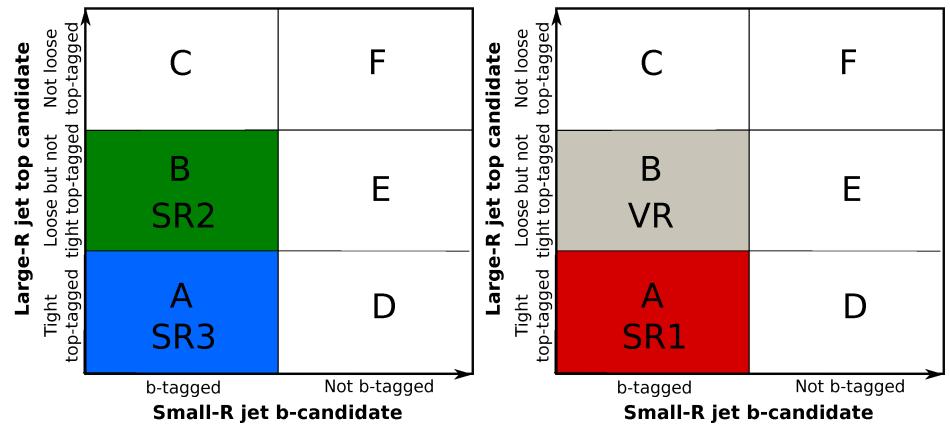
Fully hadronic

[Phys. Lett. B 781 (2018) 327]

- > Top quark candidate identified using shower deconstruction
 - Loose and tight WPs with 50% and 80% efficiency, respectively
- > 0- and 1-b-tag event categories depending on whether top candidate has associated b-jet

1 b-tag in category

0 b-tag in category

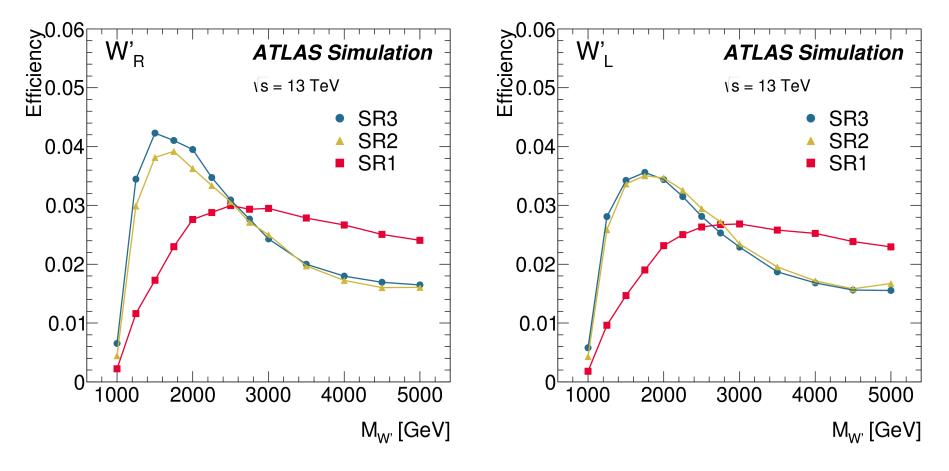


Search for tb resonances

Fully hadronic

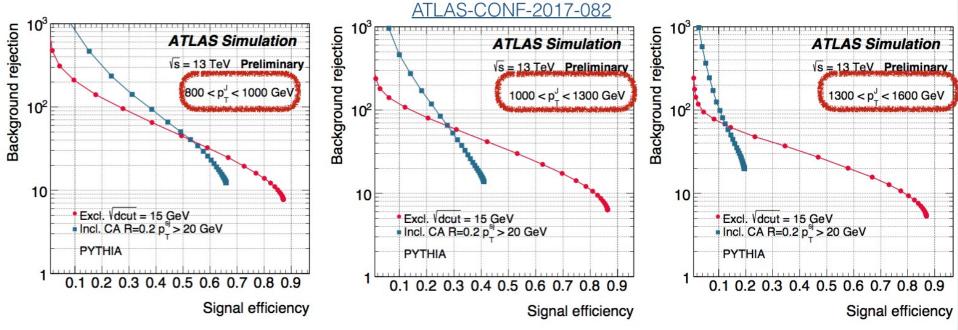
[Phys. Lett. B 781 (2018) 327]

- > Top quark candidate identified using shower deconstruction
 - Loose and tight WPs with 50% and 80% efficiency, respectively
- > 0- and 1-b-tag event categories depending on whether top candidate has associated b-jet



SD Update for high pt

- So far used SD with C/A R=0.2 subjets, good proxies for partons with ΔR>0.2
- SD needs n_{subjet}≥3 to work (i.e. for three top decay partons)



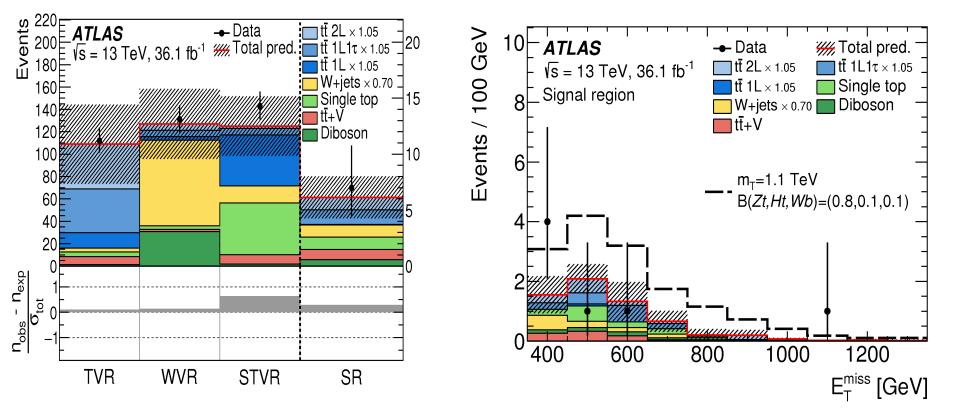
- New: At large p_T use exclusively k_T^* clustered jets
- Provides more n_{subjet}≥3 large input jets to SD
 —> Larger efficiency at high p_T.

Search for pair production of vector-like T-quarks

Zt+X search

[JHEP 08 (2017) 052]

- Search optimised for TT--> Zt+X with Z-->vv
- > Shape fit of MET distribution in signal region

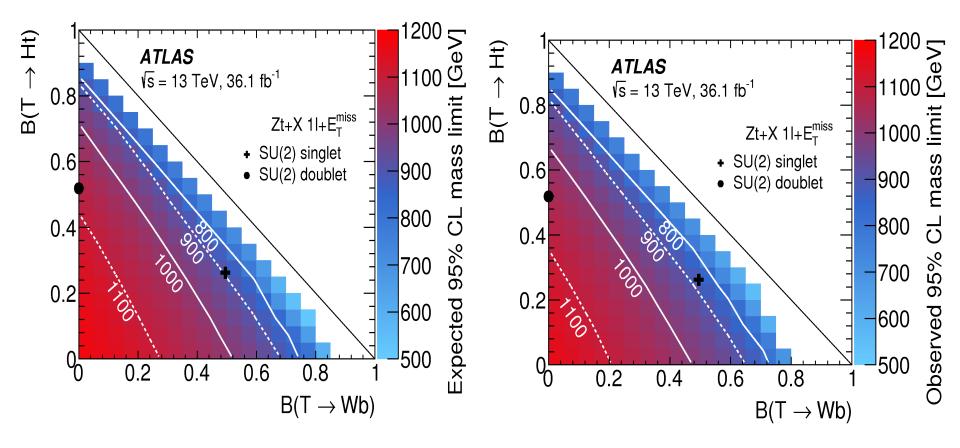


Search for pair production of vector-like T-quarks

Zt+X search

[JHEP 08 (2017) 052]

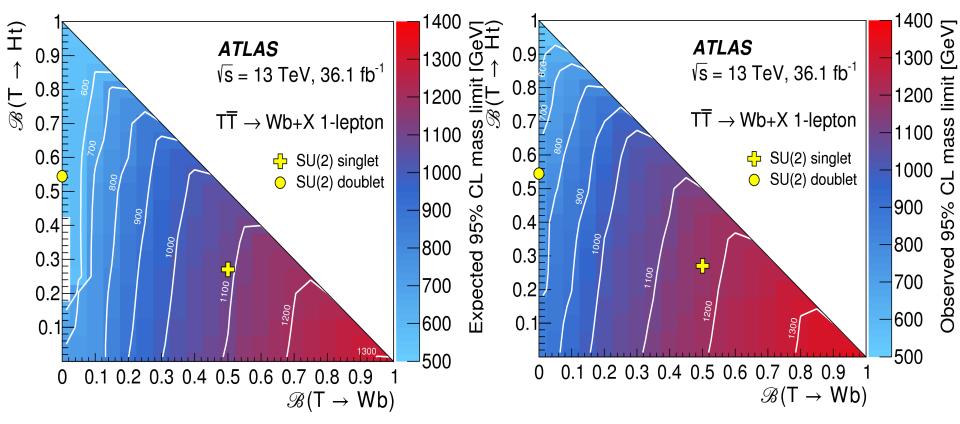
> Results using 36.1 fb⁻¹ of data



Search for pair production of vector-like T-quarks Wb+X search

[JHEP 10 (2017) 141]

- Search optimised for TT--> Wb+X with a boosted W-boson decay
 - Some sensitivity to T-->Wt
- Results using 36.1 fb⁻¹ of data

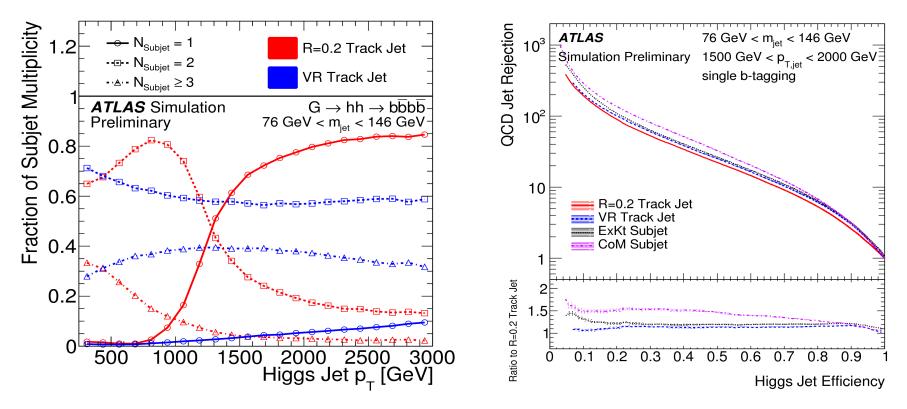


Improvements in h(bb) tagging

Alternative subjets

[ATL-PHYS-PUB-2017-010]

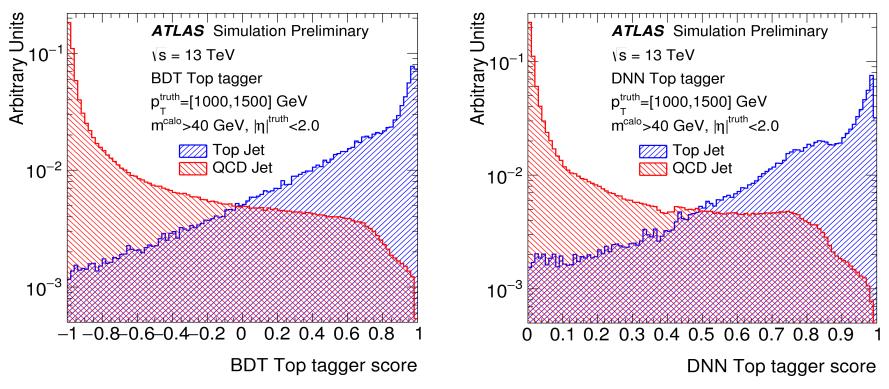
- > Current taggers based on anti- $k_t R=0.2$ track jets limited at high p_T jet due to jet merging
- > Solution: variable-R jets
 - Effective jet radius shrinks with jet transverse momentum: $R_{eff} \sim 1/p_{T}$



Multivariate methods

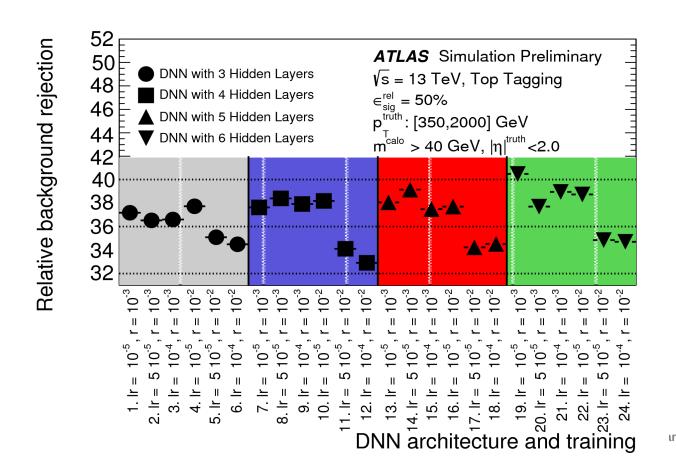
[ATL-PHYS-PUB-2017-004]

- Studied boosted decision trees (BDTs) and deep neural networks (DNN)
- > Input: various substructure variables (not including m^{jet} or p_T^{jet})
- > Output: scores between -1 and +1



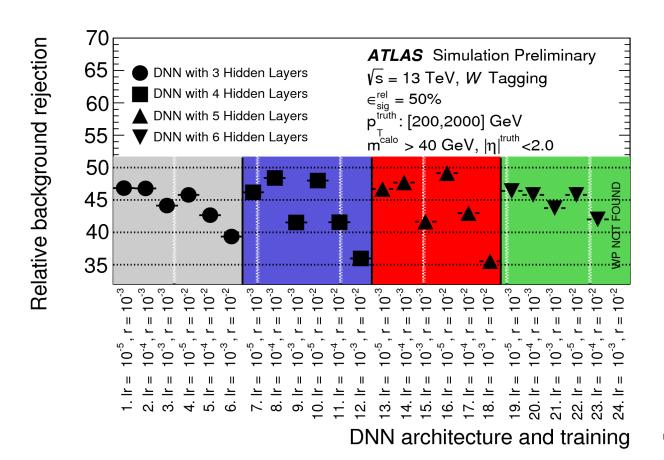
Multivariate methods

- Studied different DNN architectures
- > Compared background rejection at given signal efficiency



Multivariate methods

- Studied different DNN architectures
- > Compared background rejection at given signal efficiency



Multivariate methods

- > Studied different DNN architectures
- > Compared background rejection at given signal efficiency

	W-Boson Tagging Chosen Top-Quark Tagging Chosen		Reference
Layer type	Dense	Dense	[24]
Number of hidden layers	5	5	[24]
Activation function	rectified linear unit (relu)	rectified linear unit (relu)	[41]
Learning rate	10^{-5}	5×10^{-5}	[43]
L1 Regularizer	10^{-2}	10^{-3}	[41]
NN weight initialization	Glorot uniform	Glorot uniform	[44]
Batch size	200	200	[41]
Batch normalization	Yes	Yes	[45]
Training groups	Group 5	Group 6	-
Architecture	18, 25, 22, 19, 14, 7, 1	13, 18, 16, 14, 10, 5, 1	-

Table 8: Chosen DNN parameters and architecture for W-boson and top-quark tagging.

Multivariate methods

[ATL-PHYS-PUB-2017-004]

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