



山东大学  
SHANDONG UNIVERSITY

# Forward Physics Opportunities at RHIC

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# Outline

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- Cold QCD interests
- Heavy ion perspectives
- Upgrades for 2021+

# 2+1 Dimensional Imaging of Proton

*Why pp and pA for Cold QCD before EIC?*

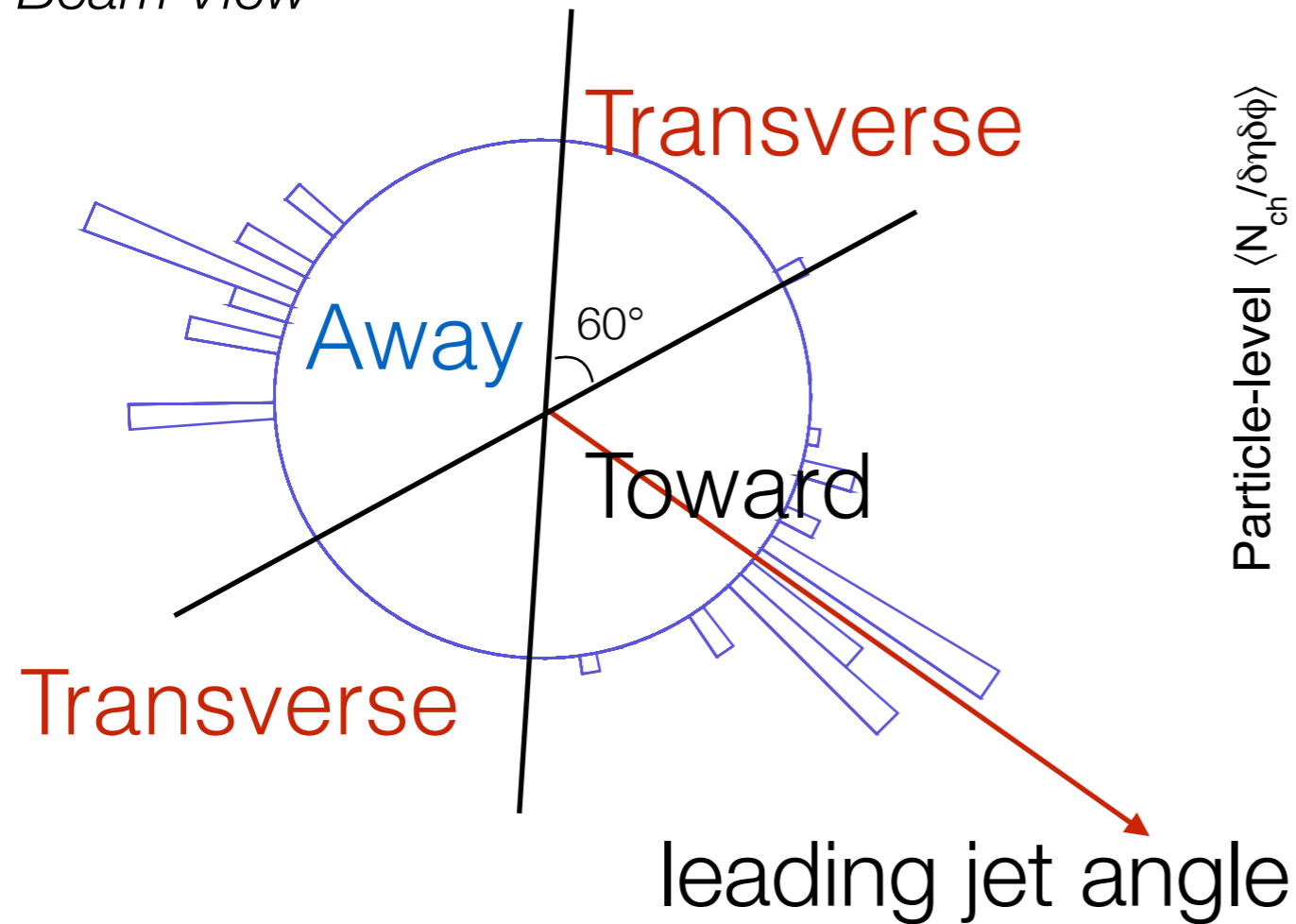
## Complementarity

Two concepts lay the foundation of QCD  
**factorization & universality**

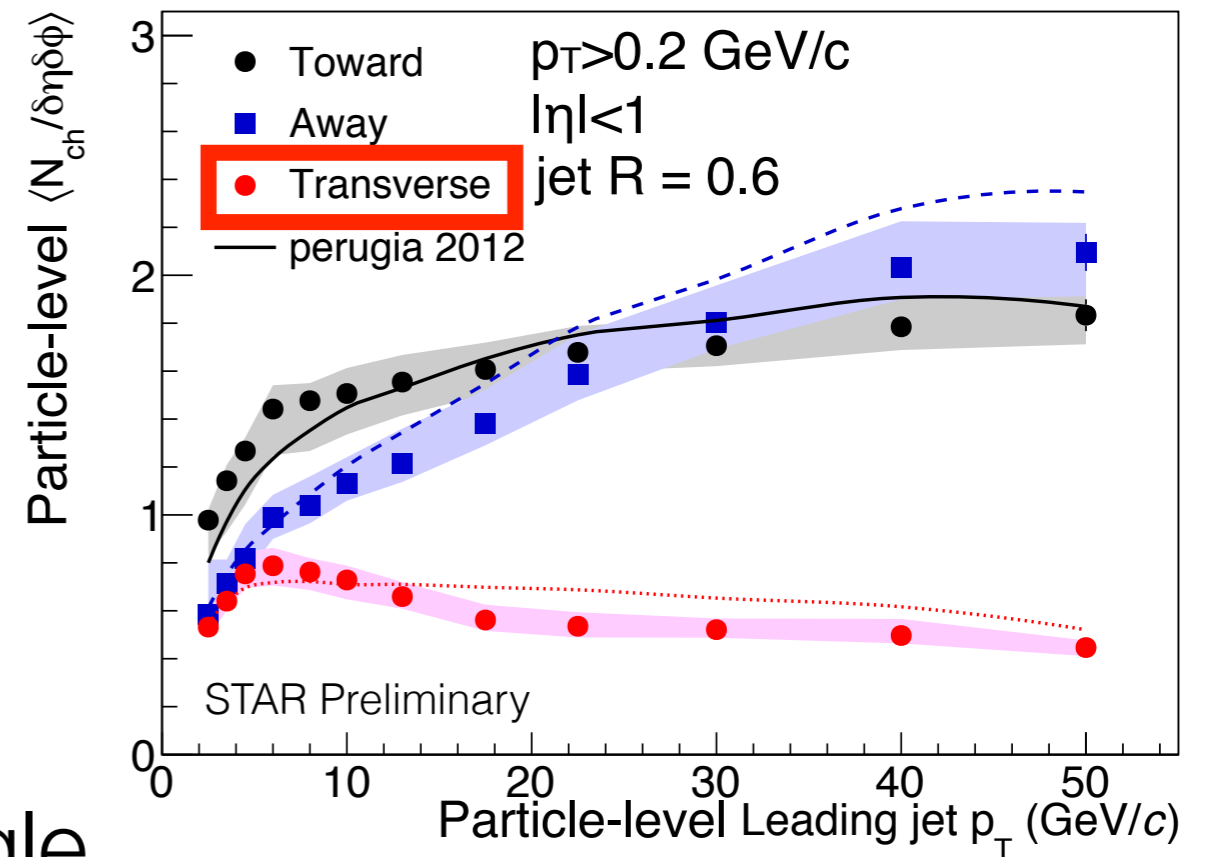
To tests these concepts and separate  
interaction dependent phenomena from  
intrinsic nuclear properties  
different **complementary** probes are critical  
Probes: high precision data from ep, pp, e+e-

# Jet Underlying Event at Mid-rapidity

Beam View



p+p@200 GeV

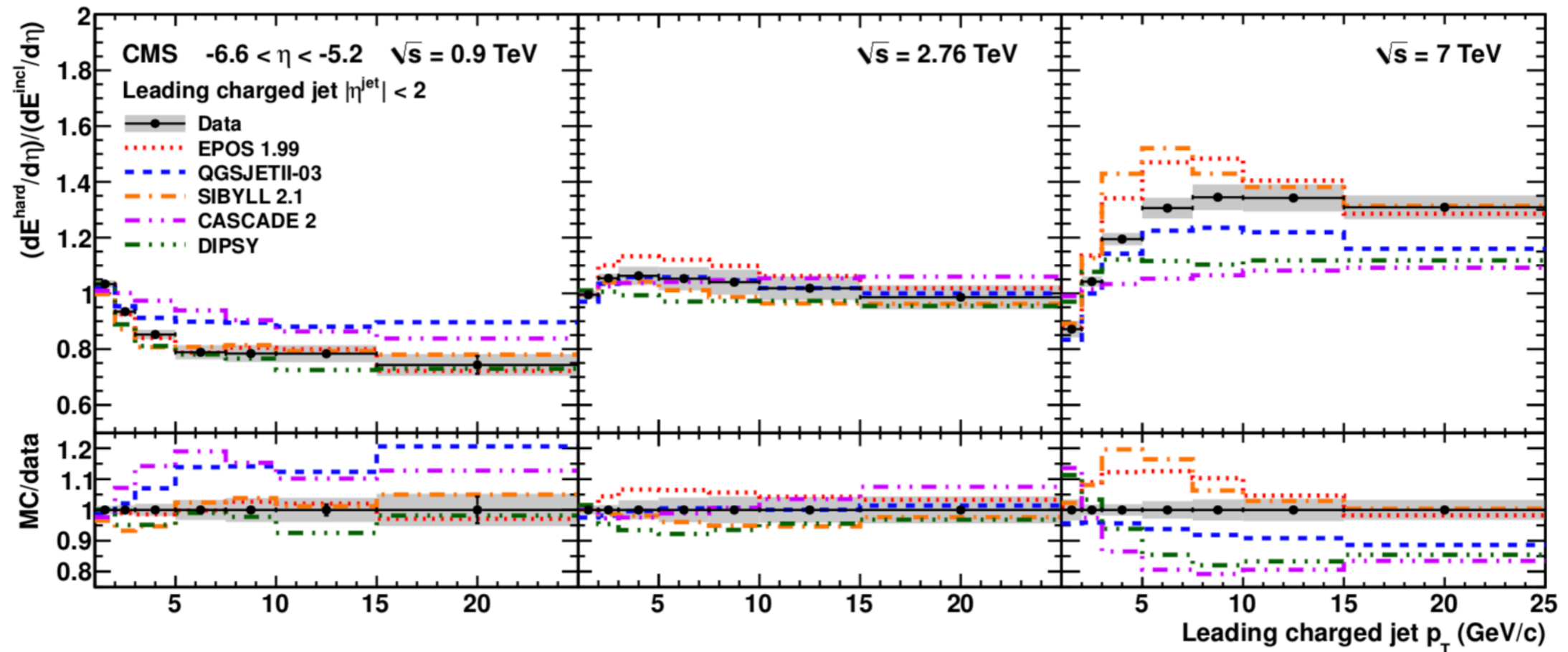


- Multi-parton interaction, initial/final state radiation, color reconnection with beam remnant..
- Involve multiple scales; No formal factorization theorems

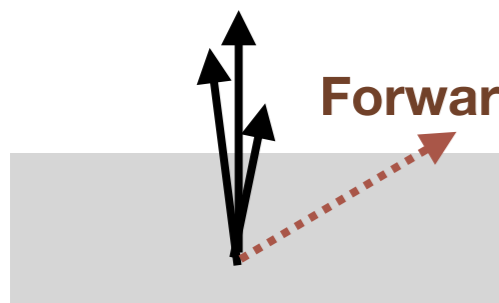
# Forward UE with Mid-rapidity jet

CMS, JHEP04(2013)072

Collision energy



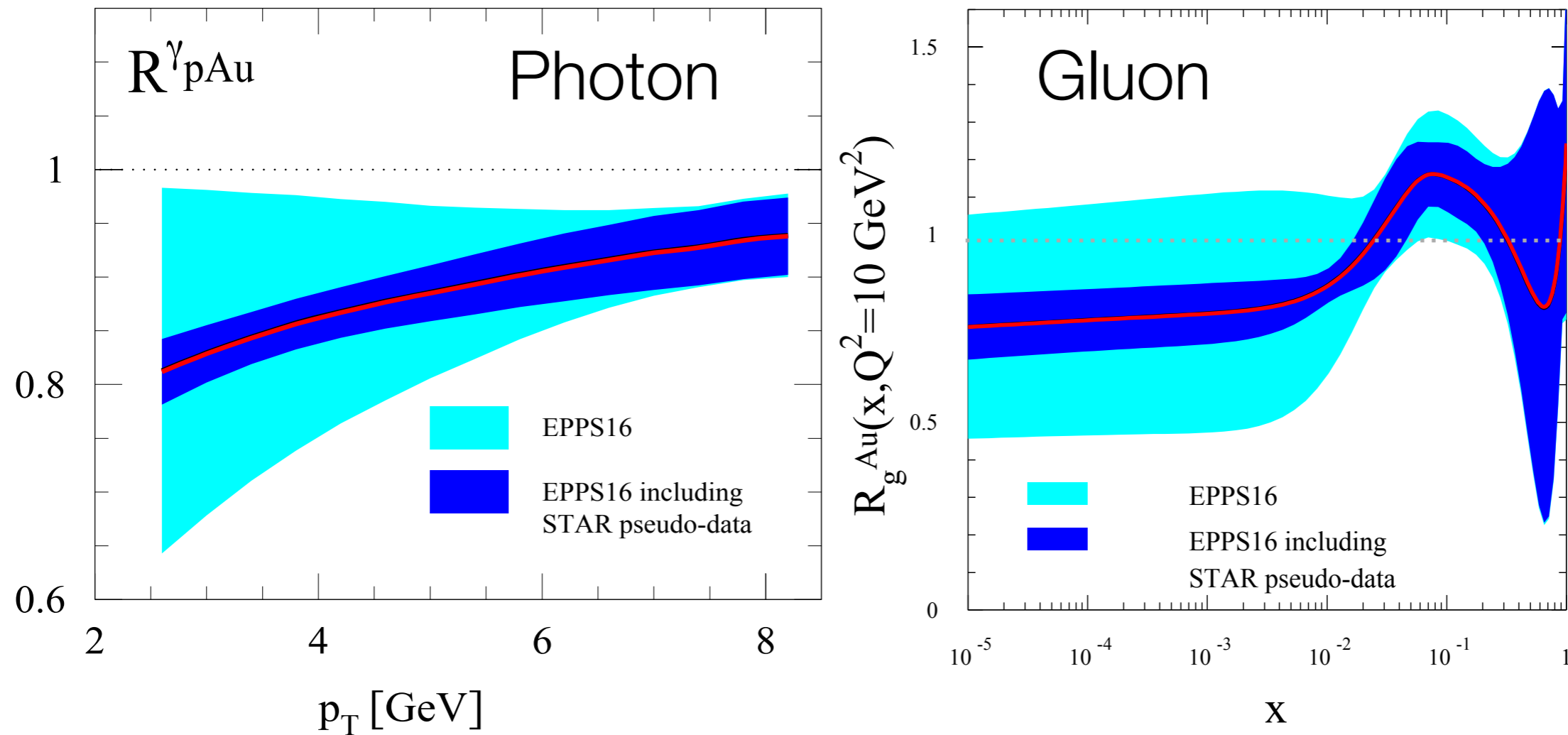
Mid-rapidity jet



Forward UE

- Energy dependence: kinematic effects?
- ➔ RHIC energy?

# Nuclear Parton Distribution Function (nPDF)

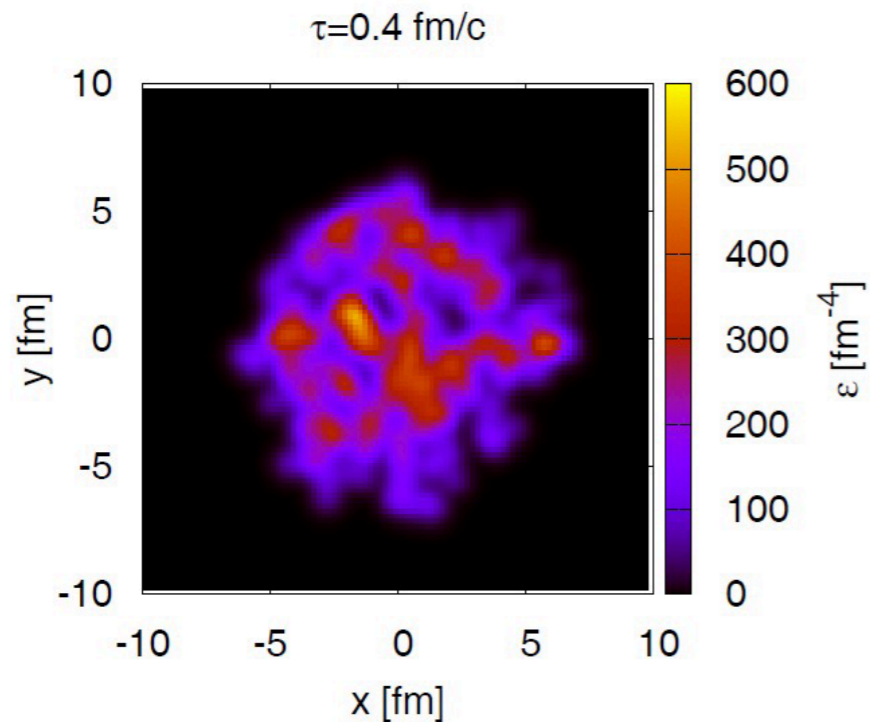


Error estimation with  
 p+Au@200 GeV 1.8 pb<sup>-1</sup>  
 p+p@200 GeV 300 pb<sup>-1</sup>

- Reveal gluon distribution in nucleus
- Set baseline of cold matter effect for HIC

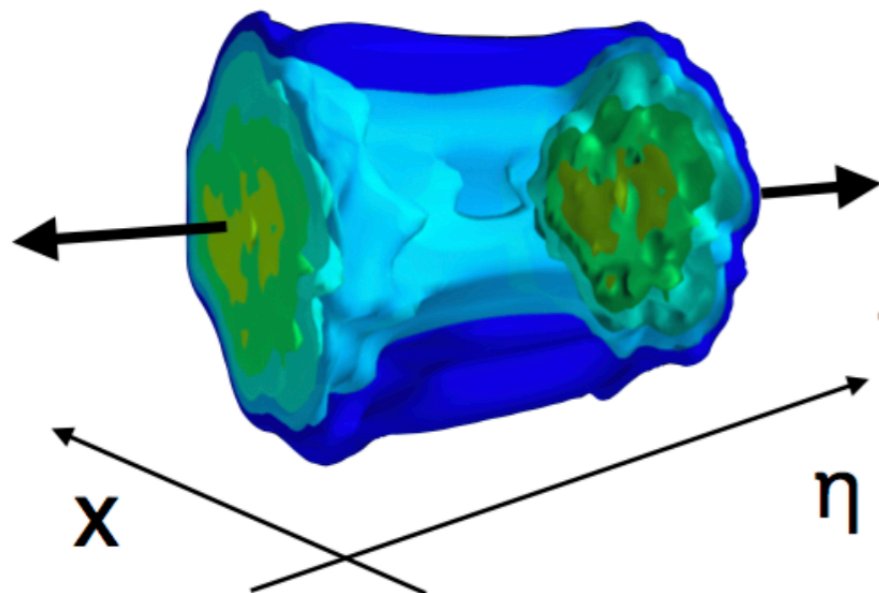
# Toward Mapping 3D Initial State in A+A

2D



Initial fluctuation in the transversal plane at **mid-rapidity** well studied, but very little knew in the **longitudinal direction**

3D



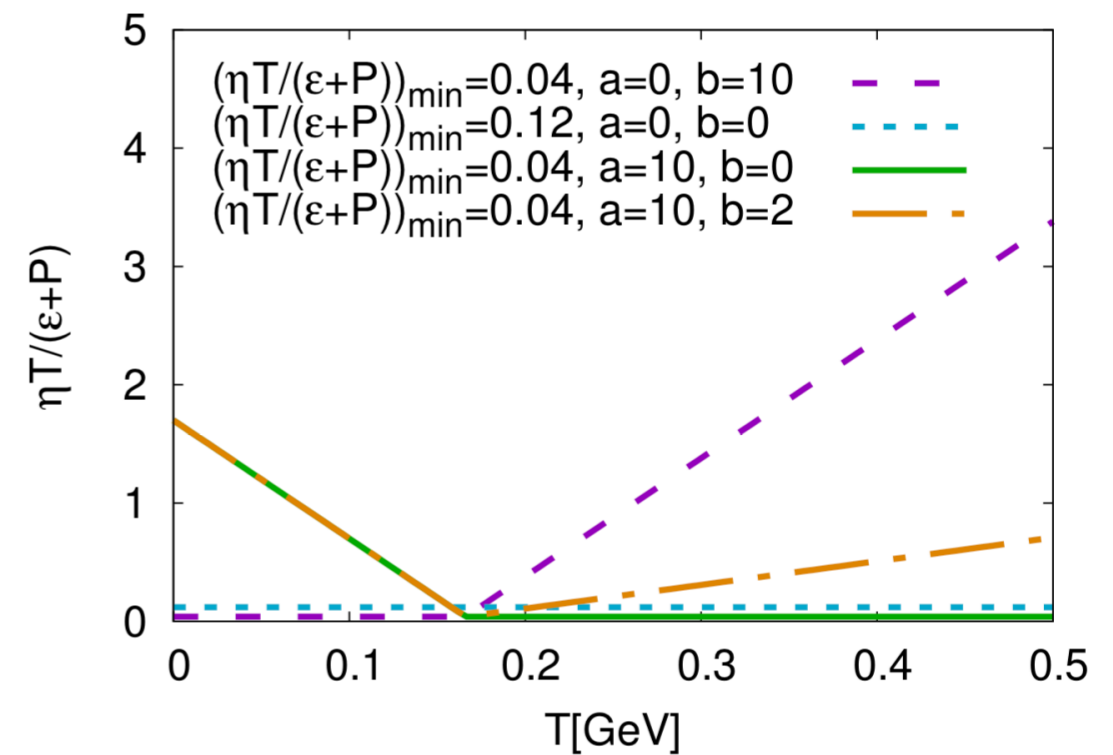
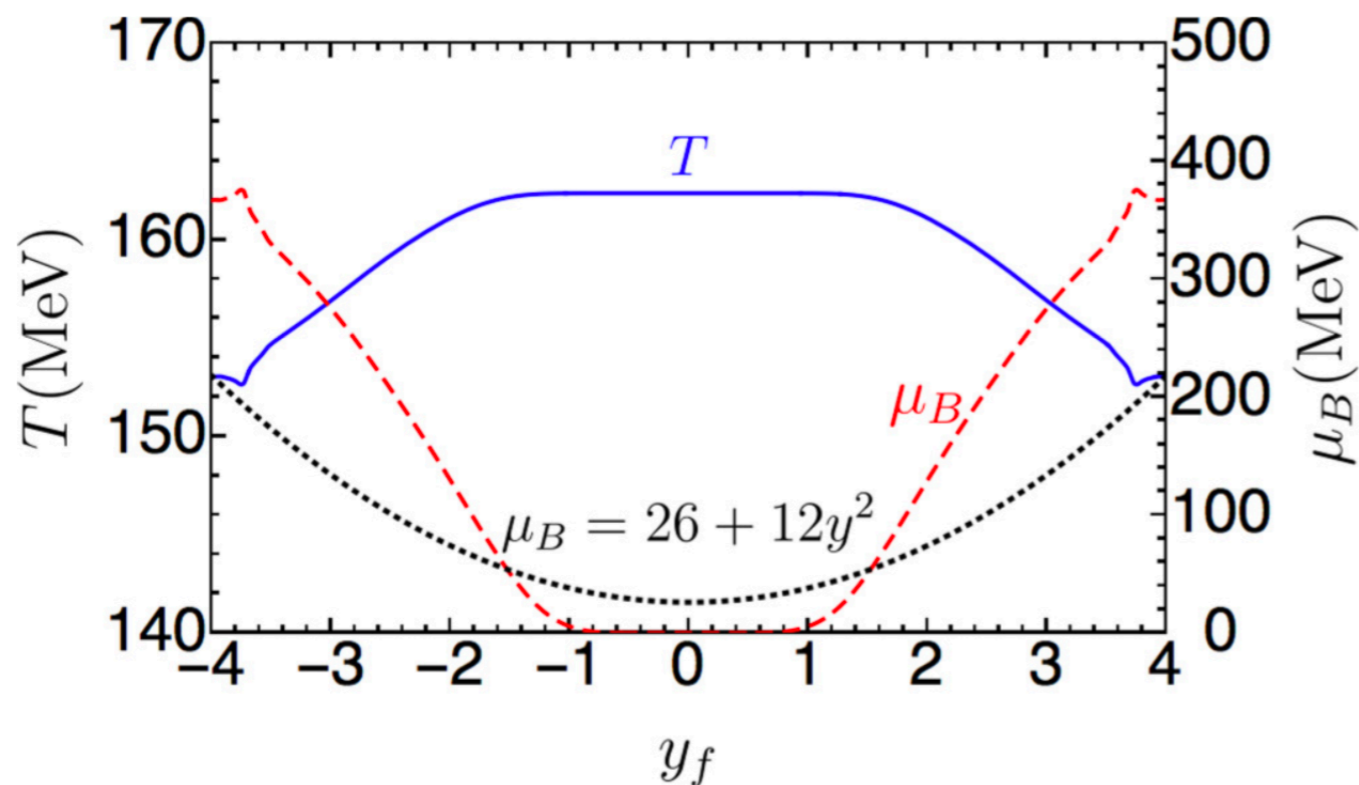
Studying **forward rapidity** to constrain longitudinal structure of initial conditions

- **perfect fluidity**
- **most vortical**
- **opaque to color object**

# Moving forward to constrain the shear viscosity of QCD matter

M.Li, C. Shen, PRC **98**, 064908 (2018)

G. Denicol, A. Monnai, B. Schenke, PRL 116, 212301 (2016)

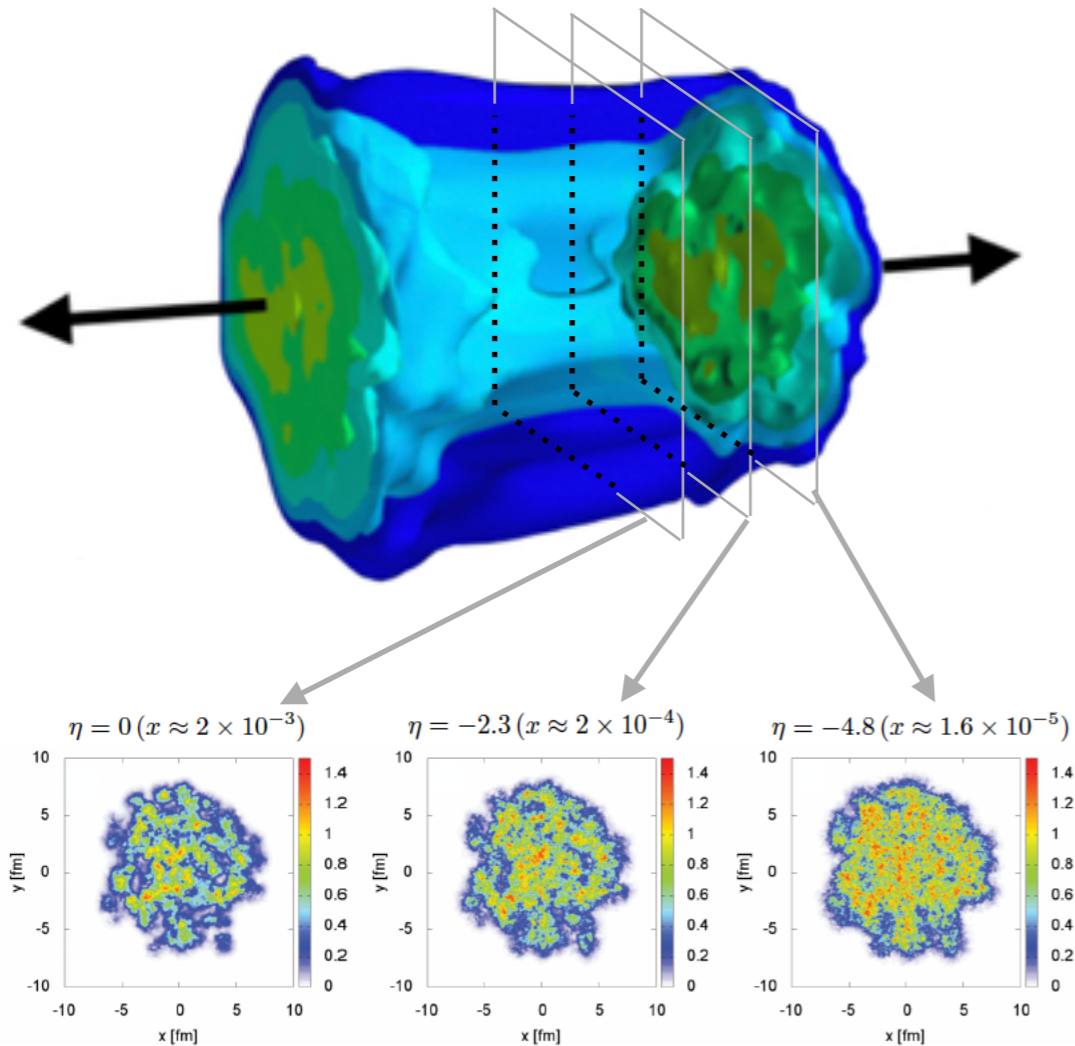


$T$  (and  $\mu_B$ !) profiles vary longitudinally  
*(alternative exp. approach to map QCD phase diagram)*

$\eta/s(T)$  can be constrained by  $\mathbf{v}_n(\eta)$

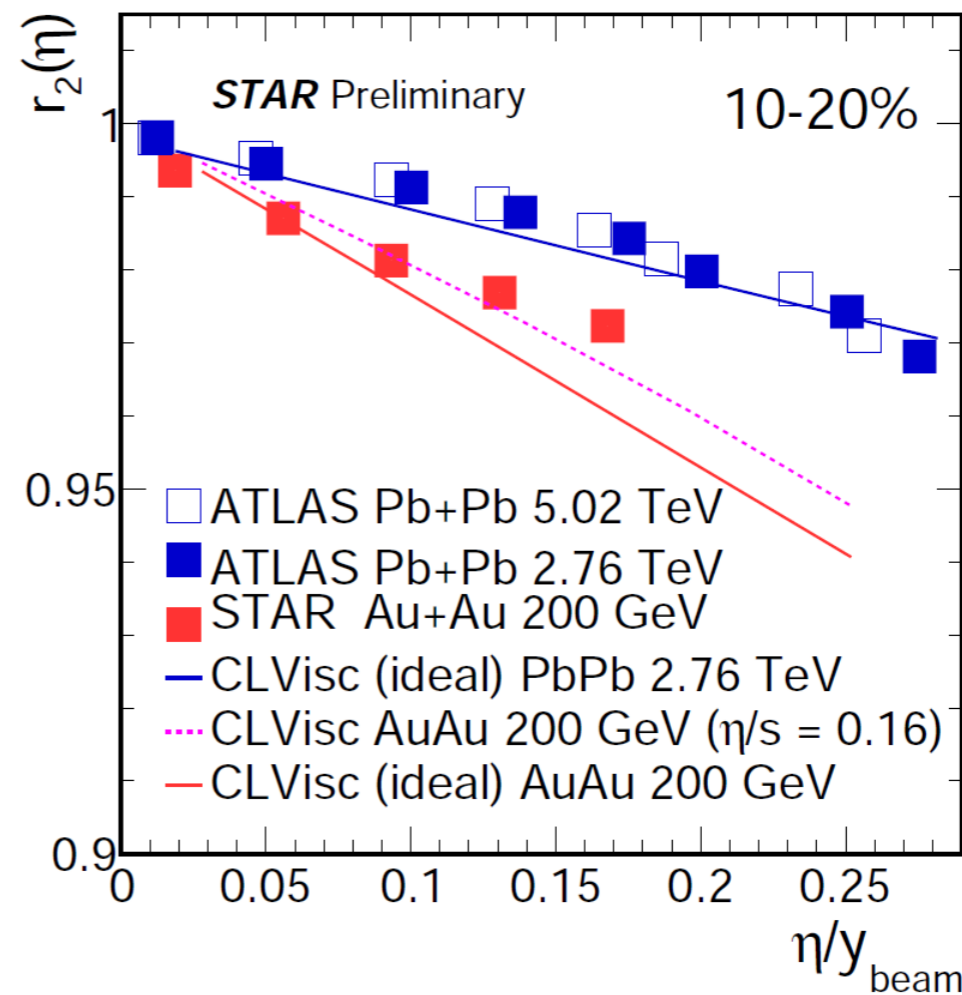


# Longitudinal Fluctuation by EP de-correlation



Initial density profile is not boost-invariant:

- Fluctuating length of string
- Fluctuation of forward and backward going participants
- Fluctuating strength of string

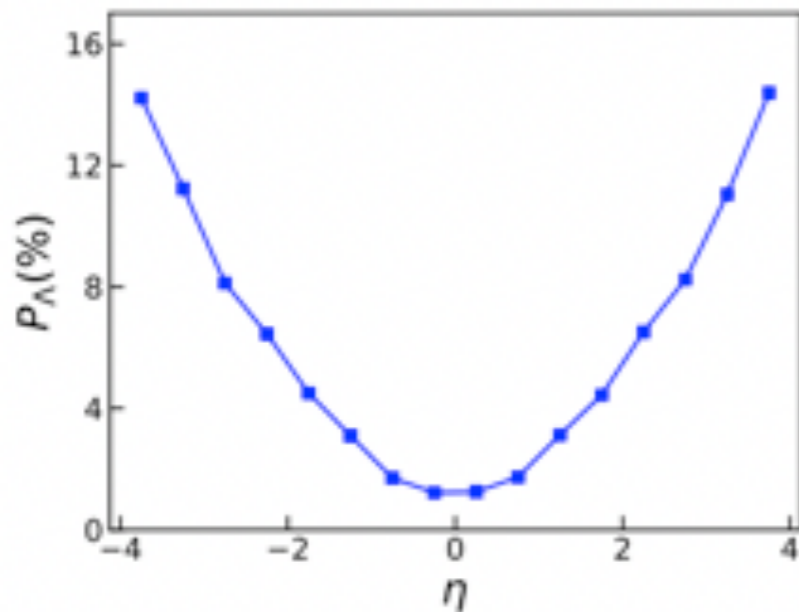


Event Plane de-correlation:

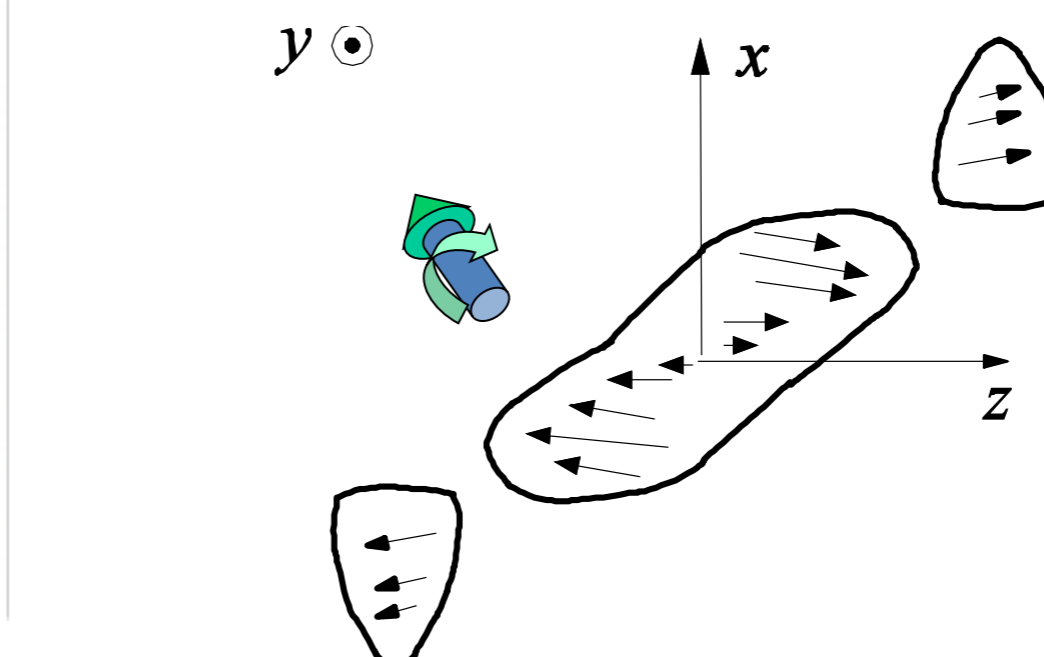
$$r_n \equiv \frac{\langle \vec{V}_n(-\eta^a) \vec{V}_n^*(\eta^b) \rangle}{\langle \vec{V}_n(\eta^a) \vec{V}_n^*(\eta^b) \rangle} \sim \langle \cos n[\Psi_n(\eta^a) - \Psi_n(-\eta^a)] \rangle$$

$$V_n = V_n e^{in\Psi_n}$$

# Global Polarization vs Rapidity

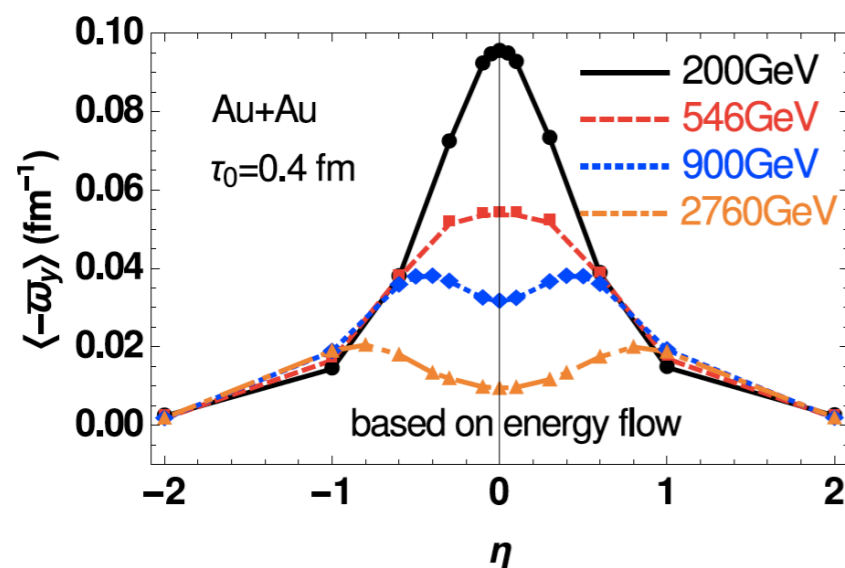


Hydrodynamic calculations:  
 Li, Pang, Wang & Xia, PRC 96 (2017) 054908; (private comm.)  
 F. Beccattini et al. EPJC 75(2015)406; arXiv:1501.04468



STAR, *Nature* **548**, 62–65

Liang & Wang, PRL 94 (05) 102301  
 Liang & Wang, PLB 629(05)20  
 Gao et al, PRC 77 (08) 044902  
 Yang, et al arXiv:1711.06008  
 ...

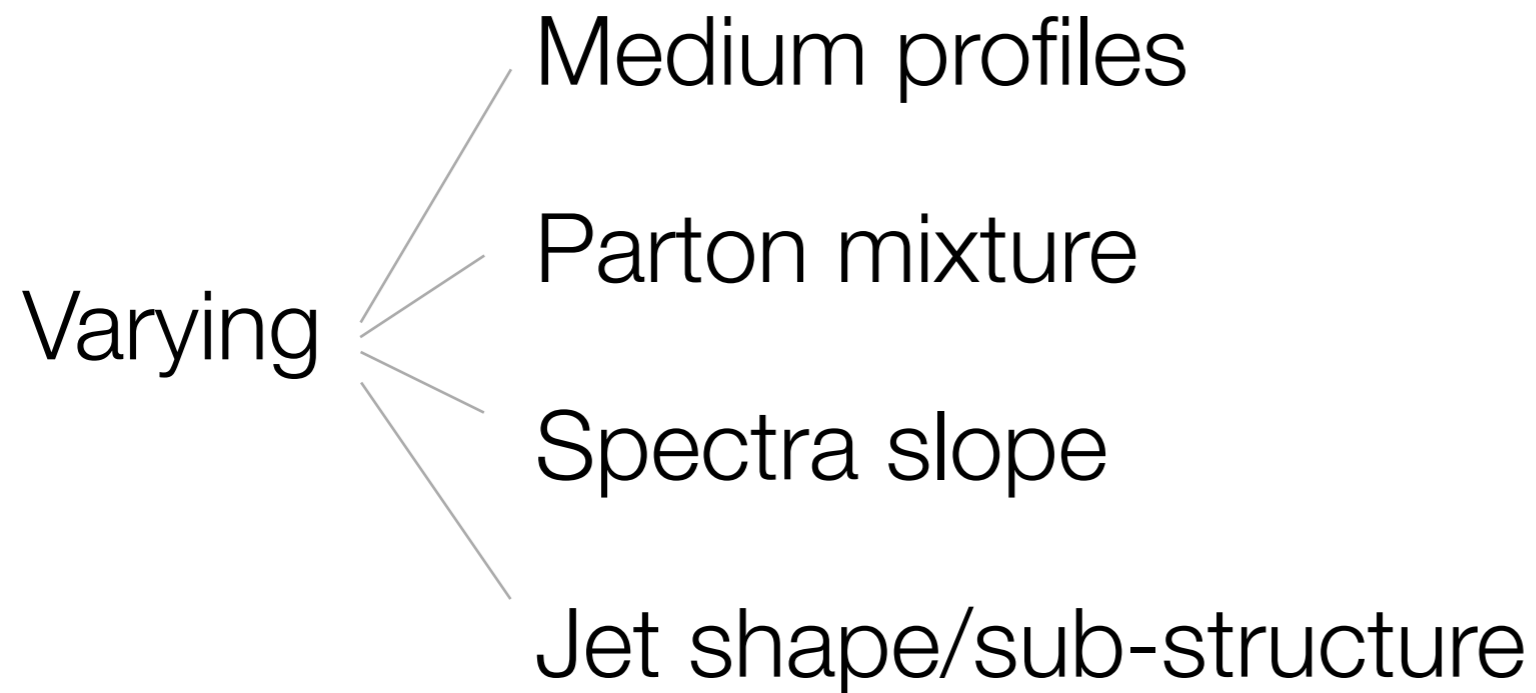


HIJING with energy flow:  
 Deng & Huang, PRC 93 (2016) 064907  
 Ko, et al., arXiv:1706.09467

- Most vortical fluid ( $\omega/T \sim 0.001$ )
- Measured through  $\Lambda$  polarization
- Forward discriminates models

# Forward Jets in HIC

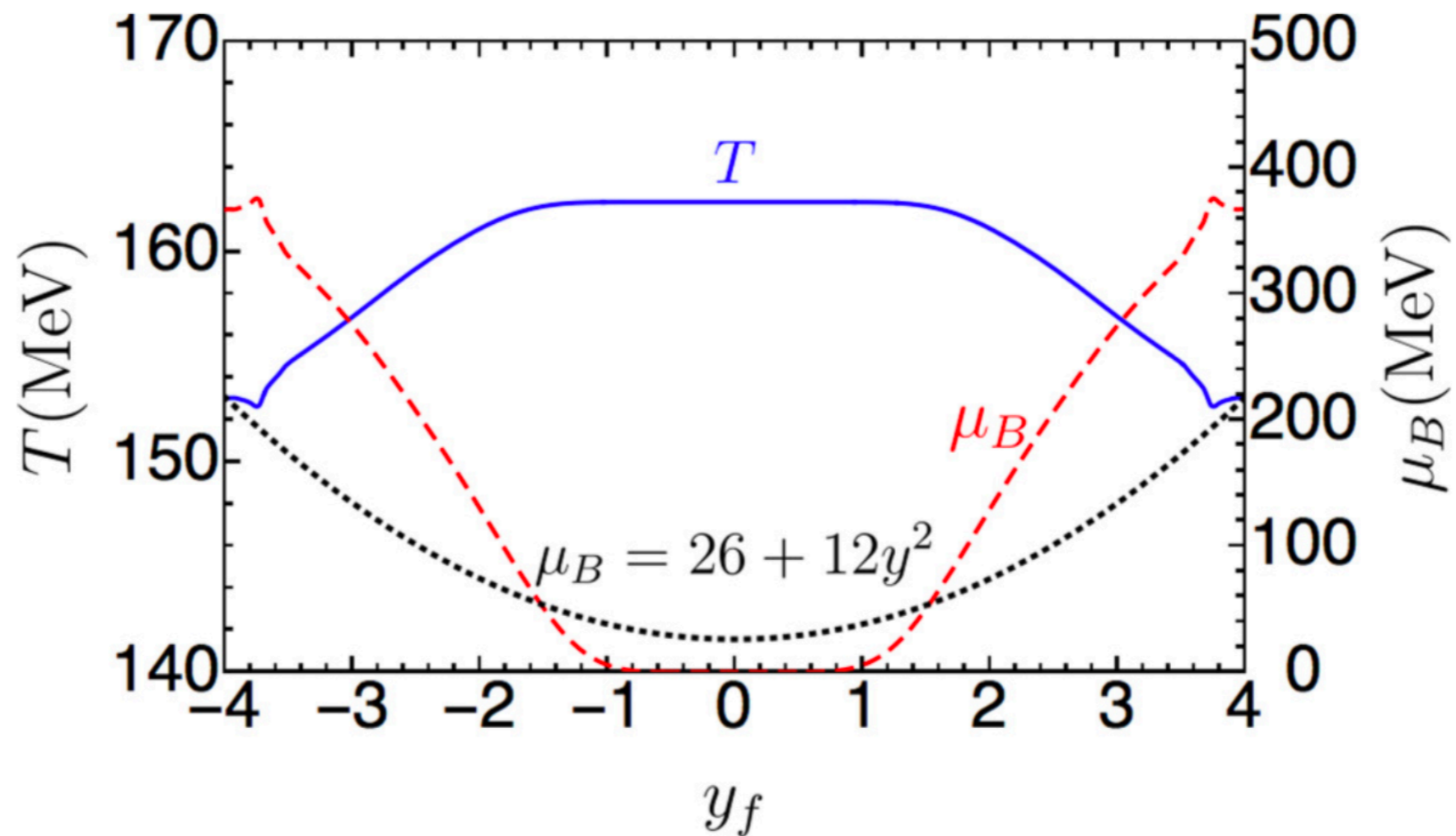
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w/o the need to compare across different  $\sqrt{s}$  and experiments

# Medium Profiles

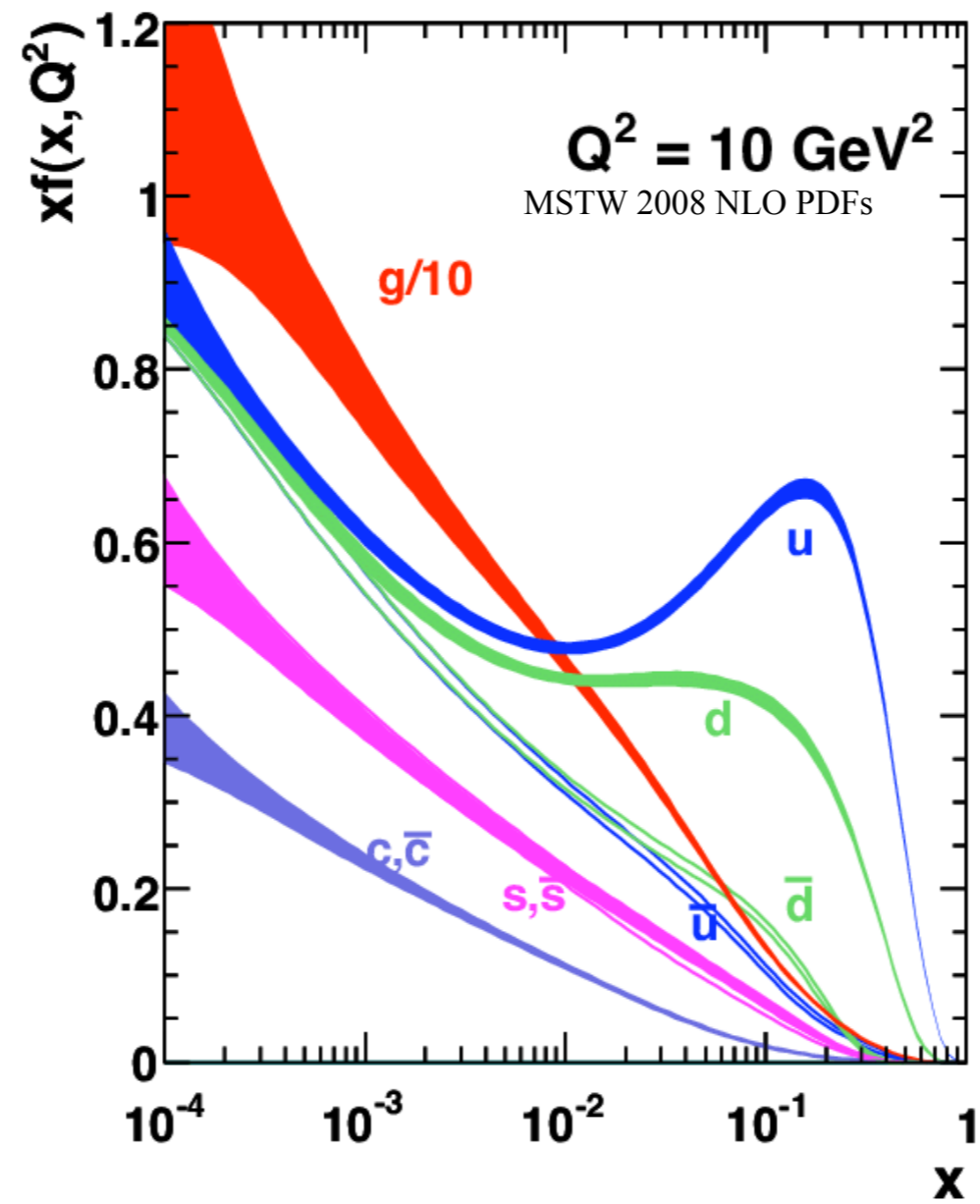
M.Li, C. Shen, PRC **98**, 064908 (2018)



Forward region: lower  $T$ , higher  $\mu_B$

# Parton Mixture

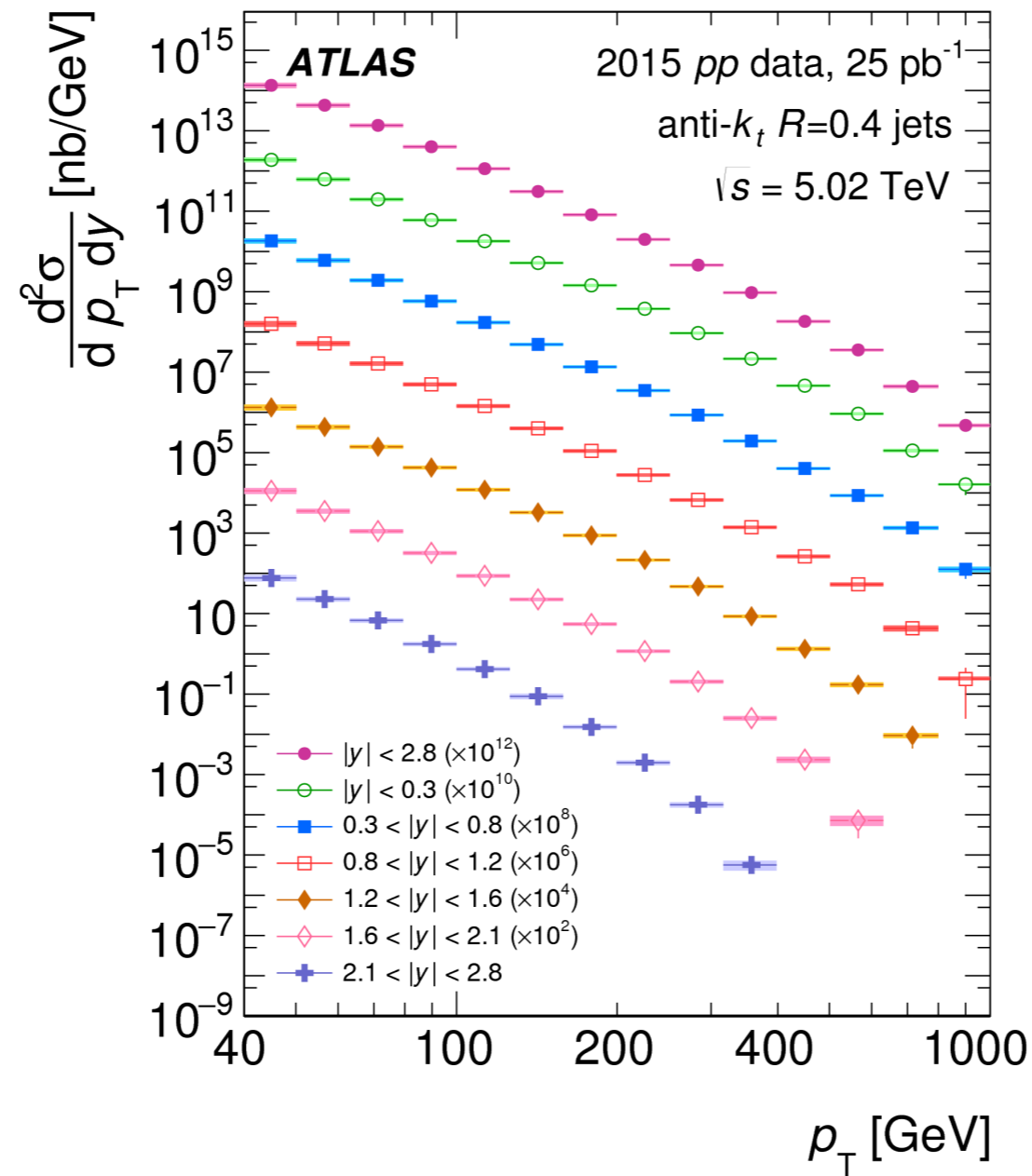
Martin *et al.*, EPJC63 (2009) 189



Higher gluon density

# Spectra Slope

ATLAS, PLB 790 (2019) 108–128



mid-rapidity



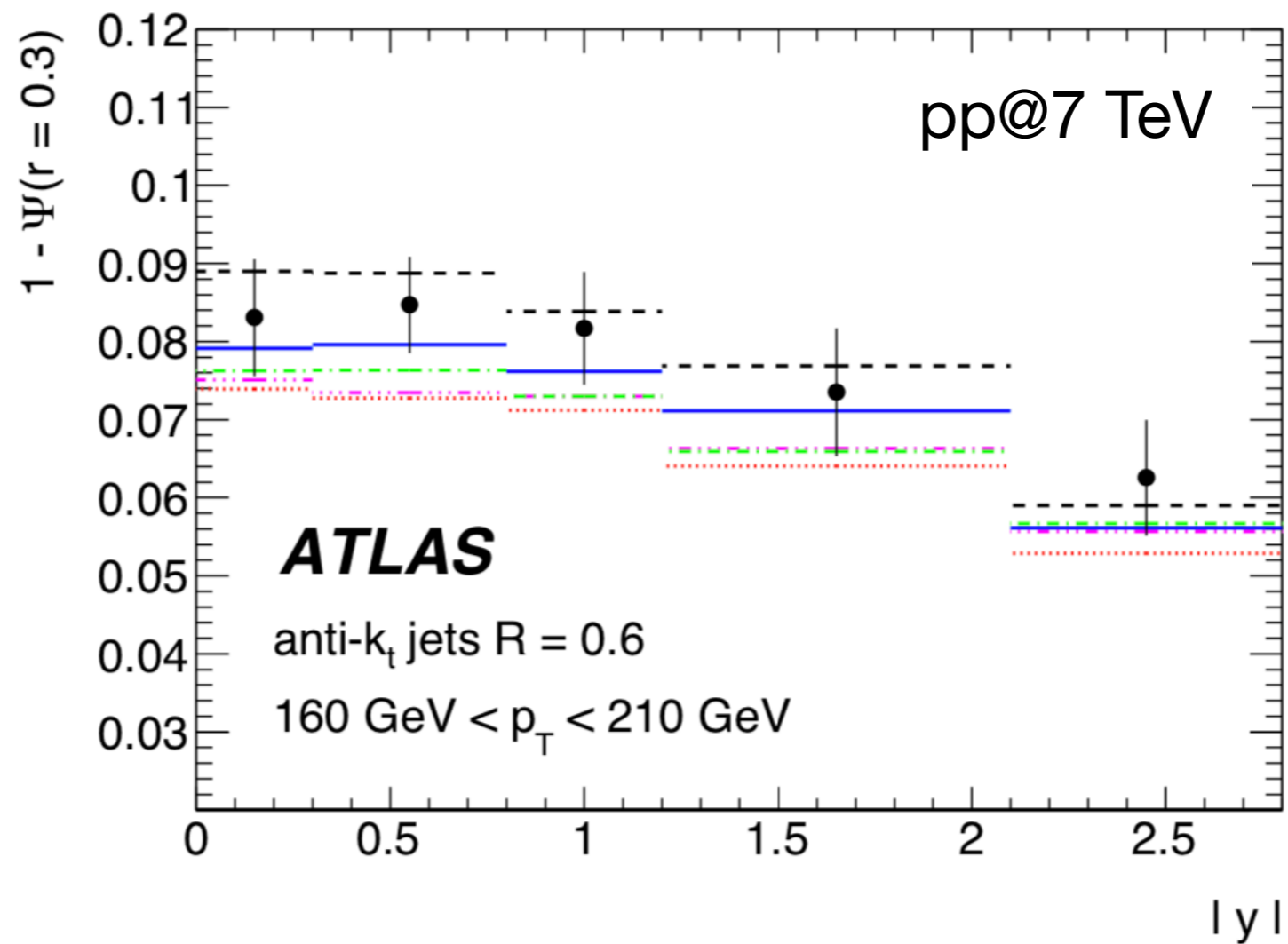
forward

Steeper falling

# Jet Shape/Sub-structure

$$\Psi(r) = \frac{1}{N^{\text{jet}}} \sum_{\text{jets}} \frac{p_T(0, r)}{p_T(0, R)}, \quad 0 \leq r \leq R$$

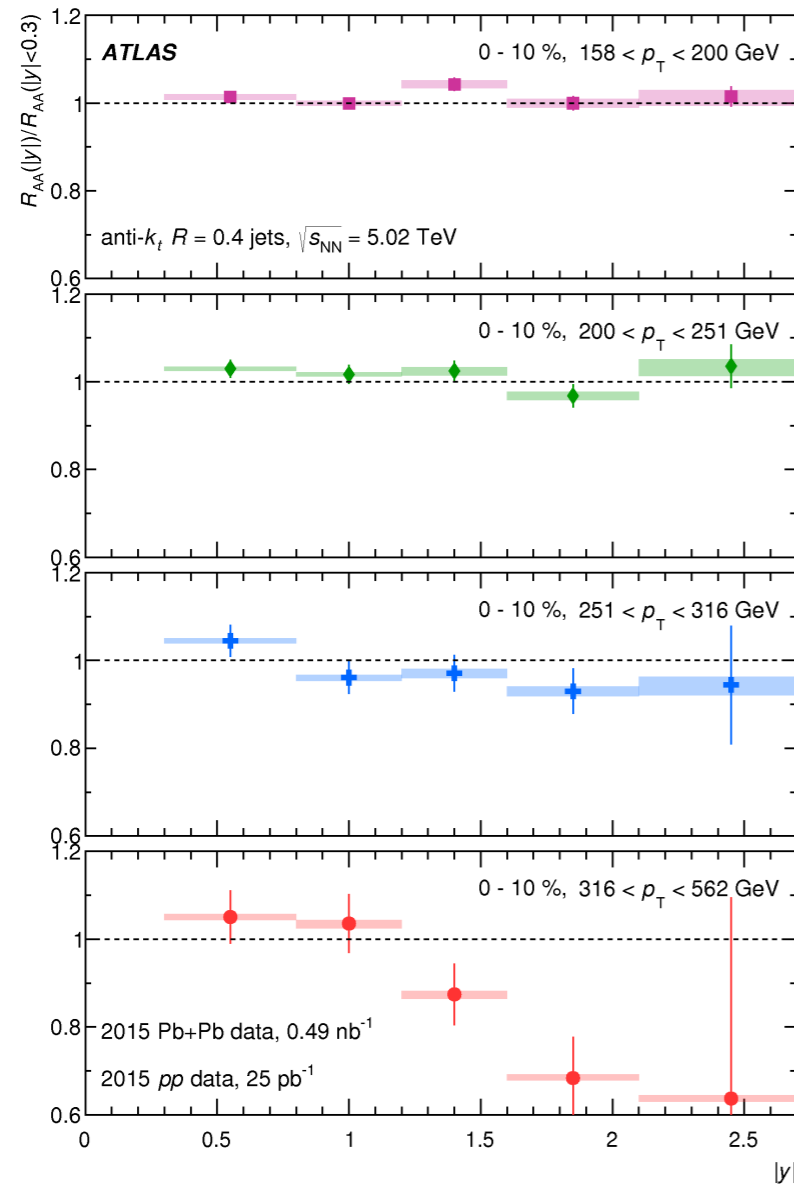
ATLAS, PRD.83.052003



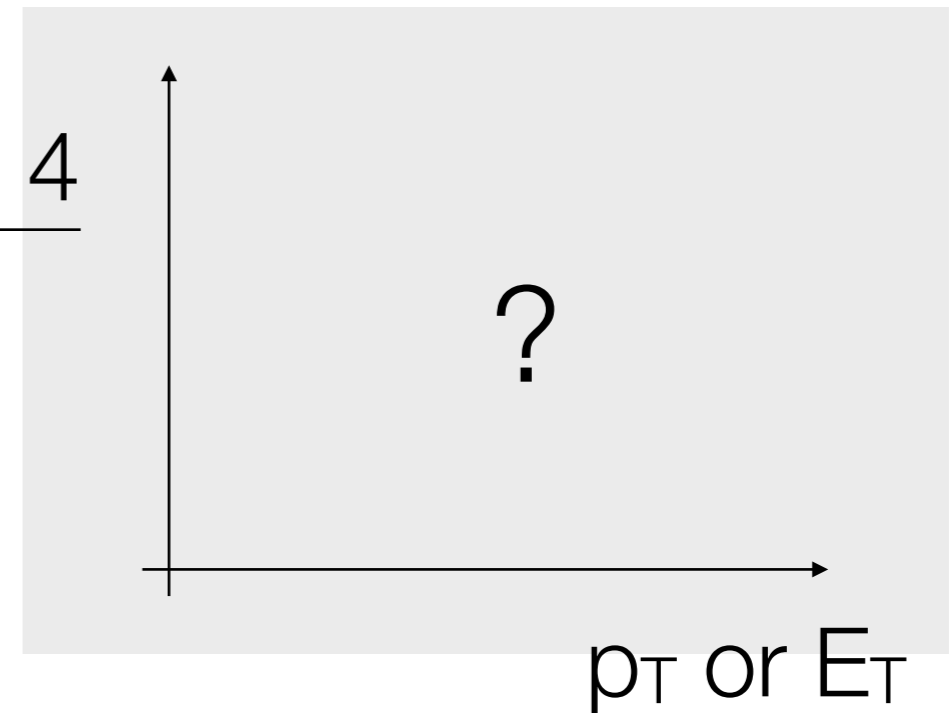
Slighter narrower

# Explore Medium Profiles with Forward Jets

ATLAS, PLB 790 (2019) 108–128



$$\frac{2.5 < \eta < 4}{|\eta| < 1}$$



At RHIC?

At LHC:

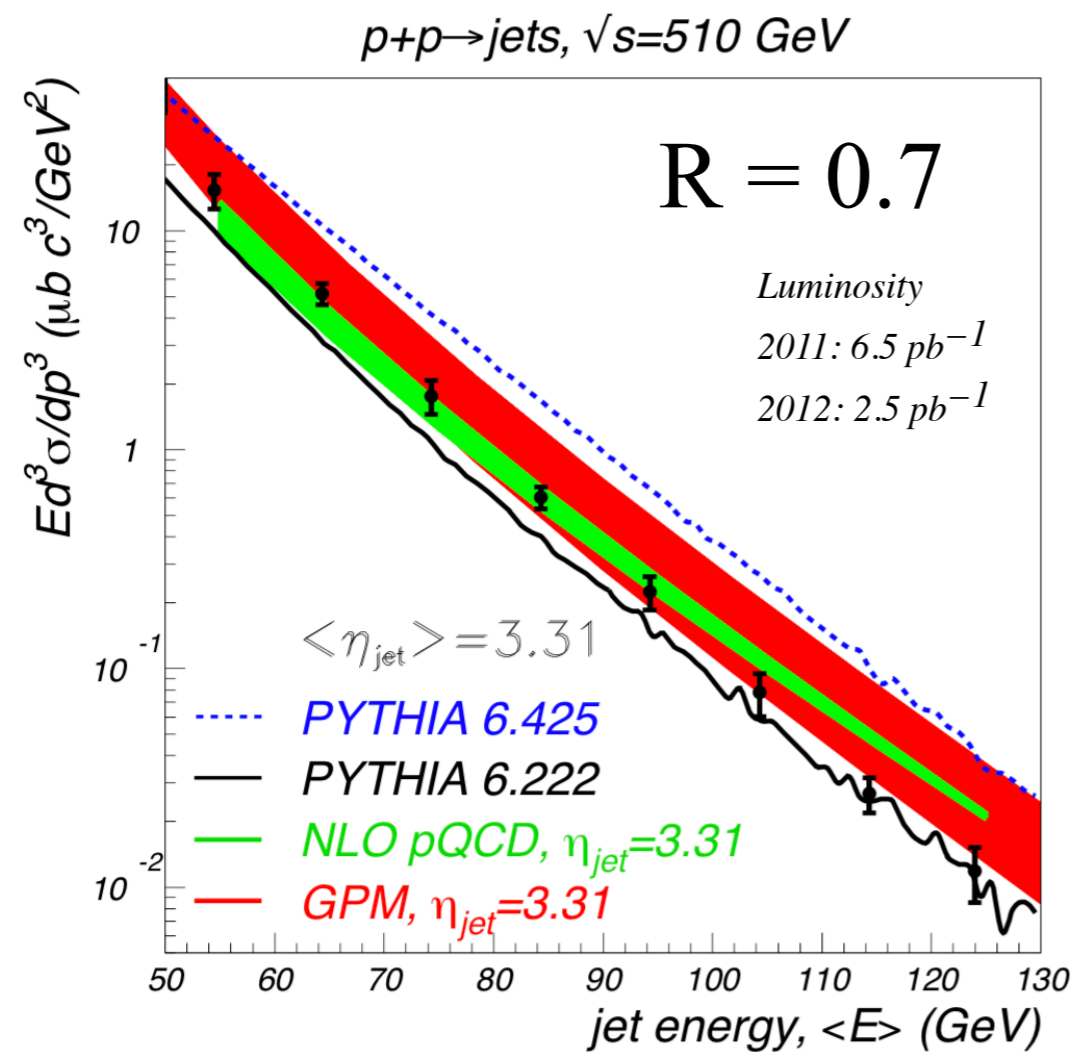
More suppression at forward for high  $p_T$



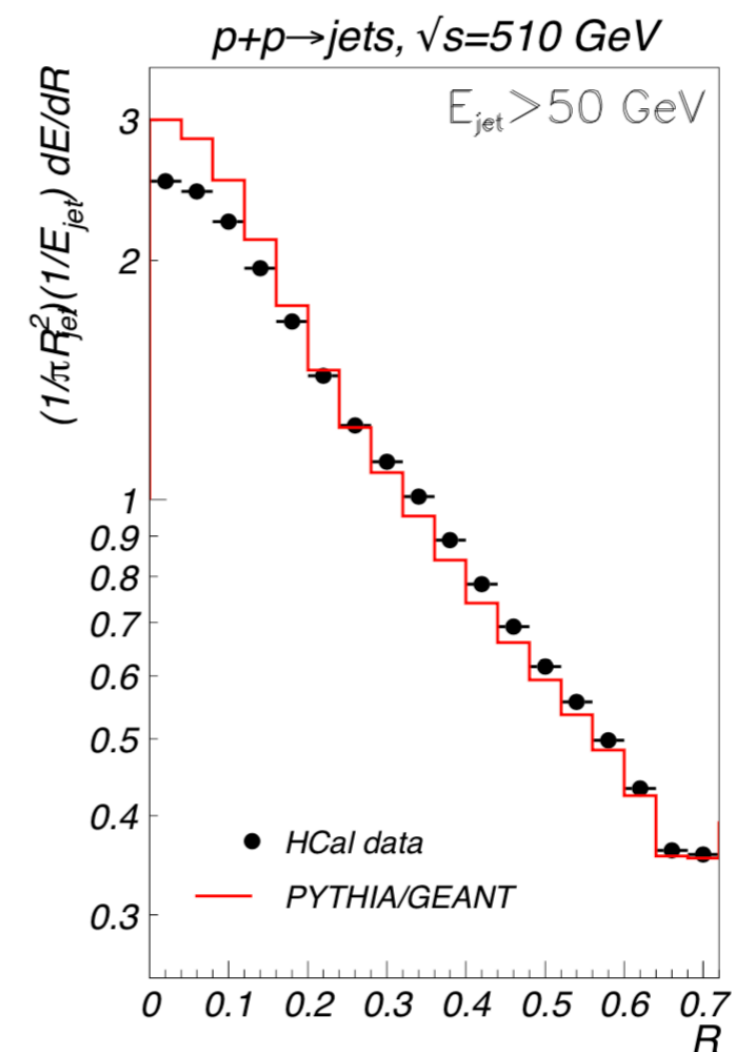
# Forward Jet in pp@500 GeV

AN DY, PLB 2015 10 001

## jet cross section



## jet shape



Good jet statistics at forward ( $2.4 < \eta < 4.0$ )

# What will Come

*Proposed RHIC runs (one version):*

2021	$p^\uparrow p@510$	1.1 fb <sup>-1</sup>
2021	$\vec{p}\vec{p}@510$	1.1 fb <sup>-1</sup>
2023	$p^\uparrow p@200$	300 pb <sup>-1</sup>
2023	$p^\uparrow Au@200$	1.8 pb <sup>-1</sup>
2023	$p^\uparrow Al@200$	12.6 pb <sup>-1</sup>
2024/2025	AuAu@200	1B MB

# Forward Program

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## Objective:

unique program addressing several fundamental questions in QCD

-> essential to

- the mission of the RHIC physics program in cold and hot QCD
- fully realize the scientific promise of the EIC
  - lay the groundwork, scientifically & by refining exp. requirements
  - detector technologies under real conditions

## Scientific goals:

**p+p:**

3-dim. imaging of the proton in momentum and spatial coordinates

**p+A**

Nature of **initial state** and hadronization in nuclear collisions

Onset and A-dependence of **saturation**

**A+A**

Longitudinal medium characterization

**Flow + vorticity + jet**

# Forward Upgrade: fSTAR

## Requirements:

measure jets, photons, electrons, charged particles

## STAR forward upgrade:

Coverage:  $2.5 < \eta < 4$

Calorimetry:

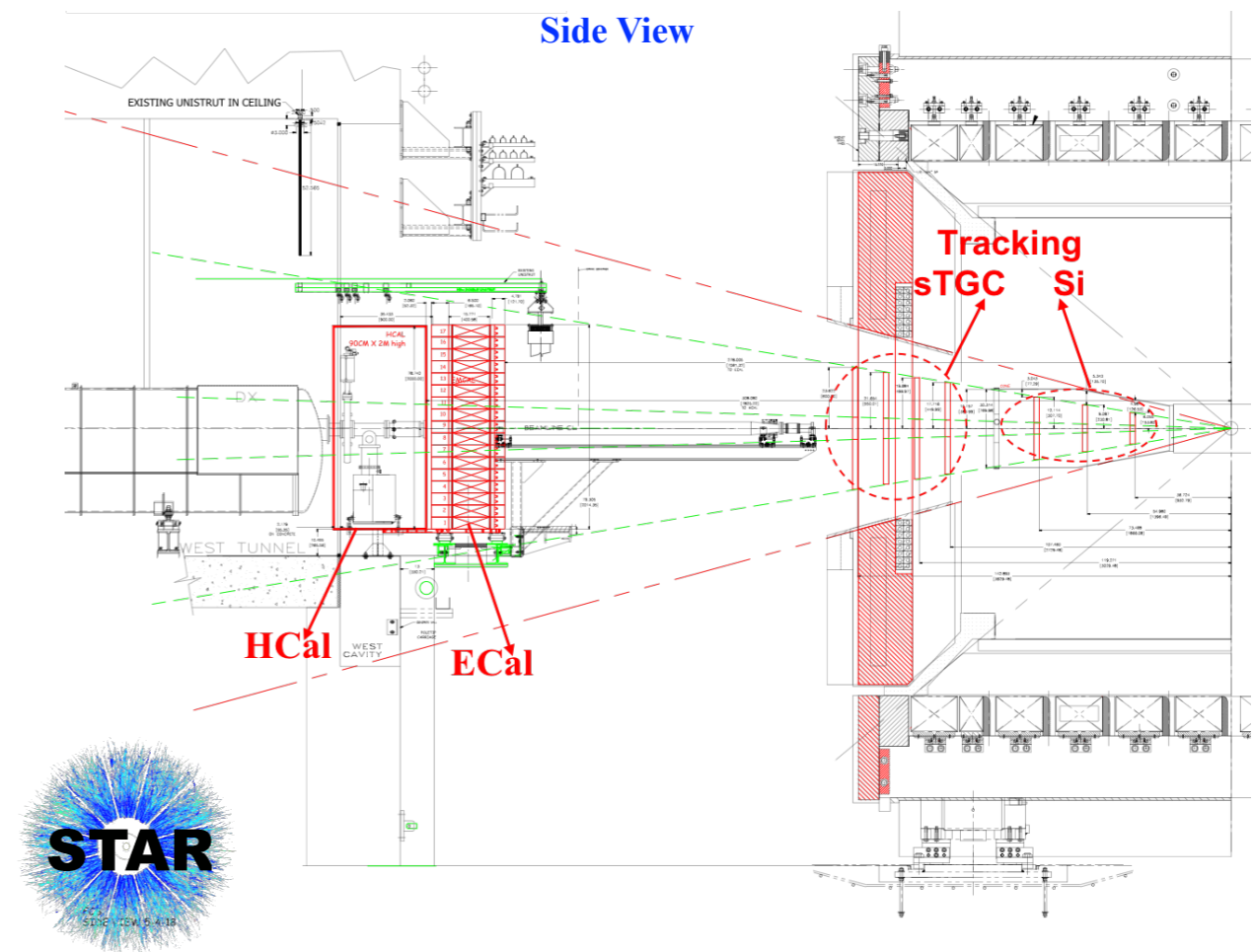
Electromagnetic and Hadronic

Tracking:

Silicon detectors and

small-strip Thin Gap Chambers (sTGC)

[https://drupal.star.bnl.gov/STAR/system/files/Proposal.ForwardUpgrade.Nov.\\_2018.Review.pdf](https://drupal.star.bnl.gov/STAR/system/files/Proposal.ForwardUpgrade.Nov._2018.Review.pdf)



Detector	pp and pA	AA
ECal	$\sim 10\%/\sqrt{E}$	$\sim 20\%/\sqrt{E}$
HCal	$\sim 50\%/\sqrt{E} + 10\%$	---
Tracking	charge separation photon suppression	$0.2 < p_T < 2 \text{ GeV}/c$ with 20-30% $1/p_T$

# Forward Upgrade: fSTAR

## Requirements:

measure jets, photons, electrons, charged particles

## STAR forward upgrade:

Coverage:  $2.5 < \eta < 4$

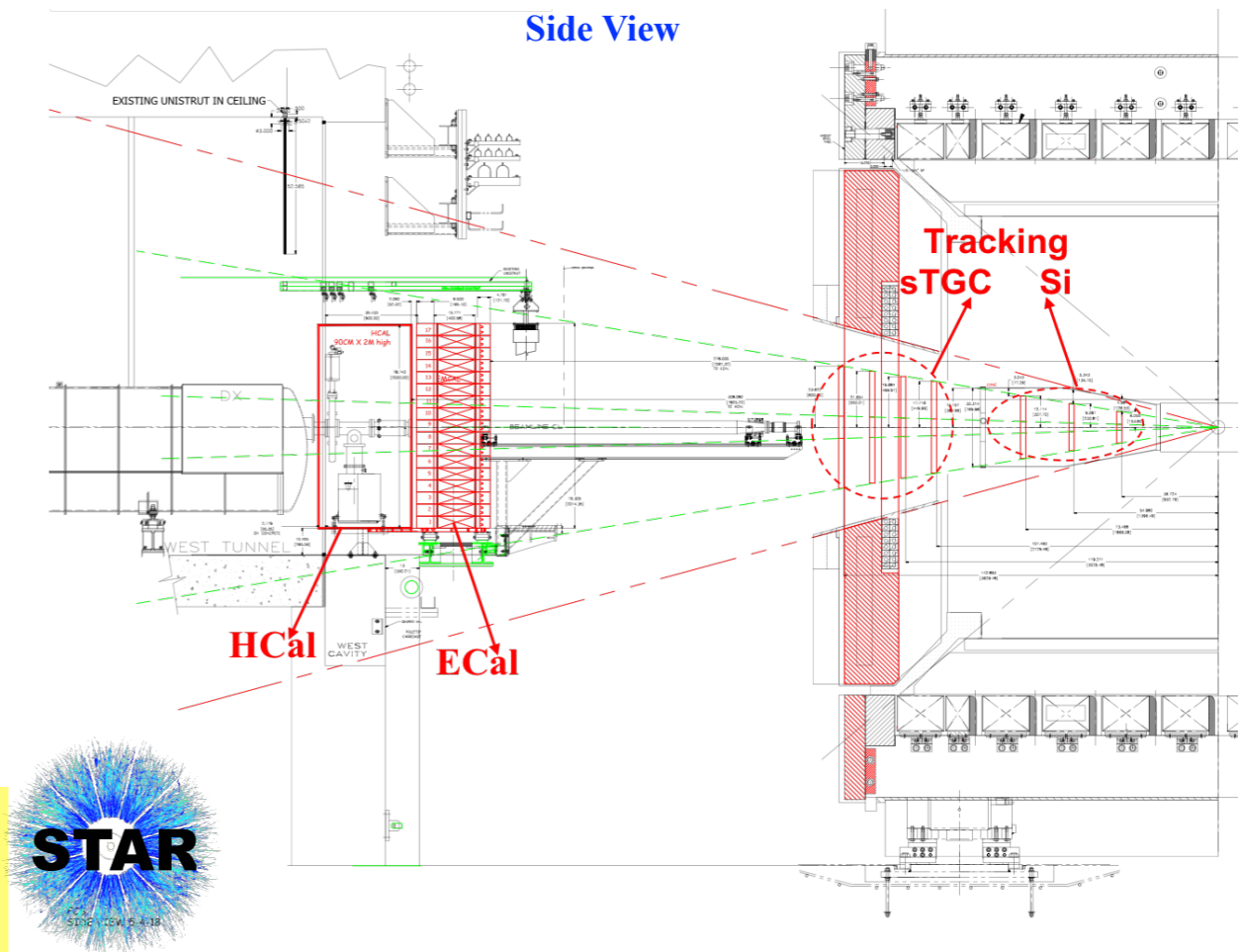
Calorimetry:

Electromagnetic and Hadronic

Tracking:

Silicon detectors and small-strip Thin Gap Chambers (sTGC)

[https://drupal.star.bnl.gov/STAR/system/files/Proposal.ForwardUpgrade.Nov.\\_2018.Review.pdf](https://drupal.star.bnl.gov/STAR/system/files/Proposal.ForwardUpgrade.Nov._2018.Review.pdf)



Detector	pp and pA	AA
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HCal	$\sim 50\%/\sqrt{E} + 10\%$	---
Tracking	charge separation photon suppression	$0.2 < p_T < 2 \text{ GeV}/c$ with 20-30% $1/p_T$

# Forward Upgrade: fsPHENIX

## sPHENIX forward design:

Coverage:  $1.2 < \eta < 4$

Default choice

Calorimetry\*:

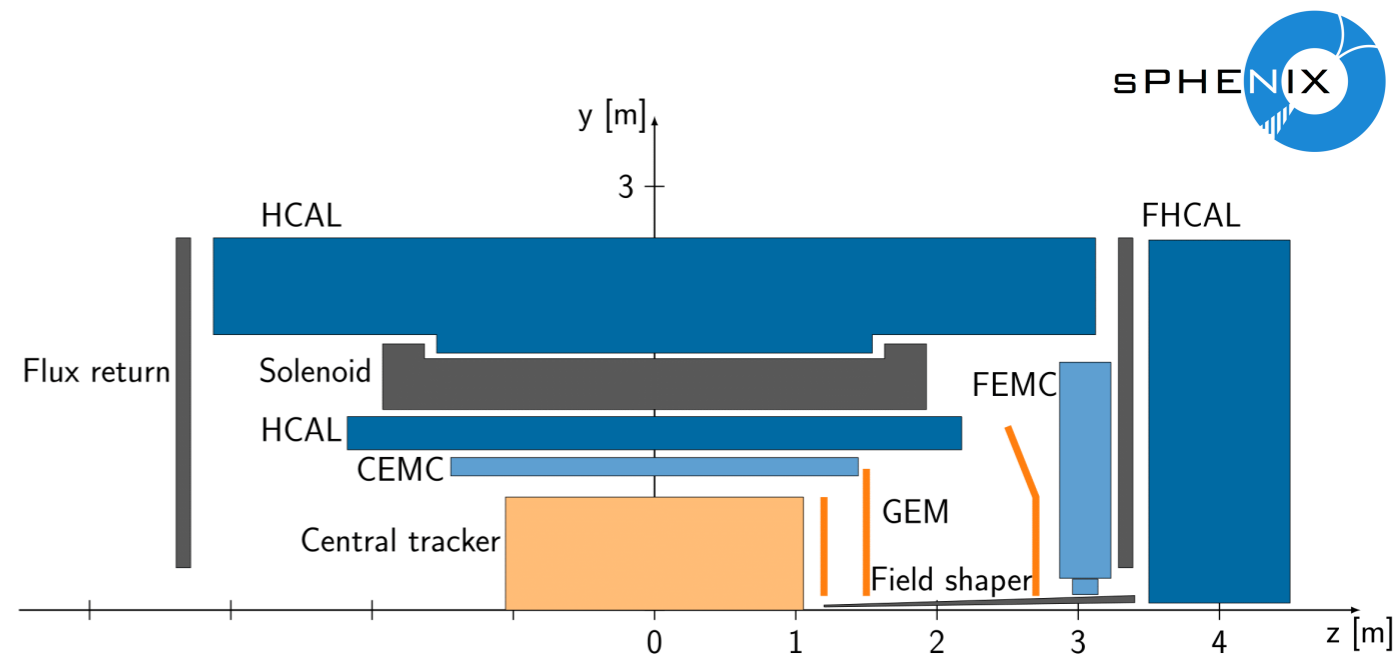
Electromagnetic (PbSc + PbWO<sub>4</sub>)

Hadronic (PbSc with SiPMs)

Tracking\*:

Gas electron multiplier (GEM)

[https://www.sphenix.bnl.gov/web/system/files/sPH-cQCD-2017-001\\_draft\\_2017\\_06\\_02.pdf](https://www.sphenix.bnl.gov/web/system/files/sPH-cQCD-2017-001_draft_2017_06_02.pdf)



Tracking:  $dp/p < 10\%$  for  $0 < p < 30$  GeV/c  
 ECal:  $\sigma_E / E \sim 8\%/E$  (GeV) PbSc  
 $\sigma_E / E \sim 12\%/E$  (GeV) PbWO<sub>4</sub>  
 Hcal:  $70\%/E$ (GeV)

\* default choice



# Summary

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The Forward program at RHIC is

- A tale of initial state: nucleon to nuclei
- 3-D imaging of HIC with flow + vorticity + jet + ...
- A portal to EIC

Detector upgrades Now - 2021 for running in 2021-2025



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*Thanks to E. A. Aschenauer, H. Caine, G. Y. Qin, L. Ruan, Q. Xu  
for their inputs!*



Join Us to work on:  
Detector upgrade  
Forward simulation  
HIC & Spin physics ..



*Shandong University  
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**We're hiring:  
Postdocs, graduates, ..**

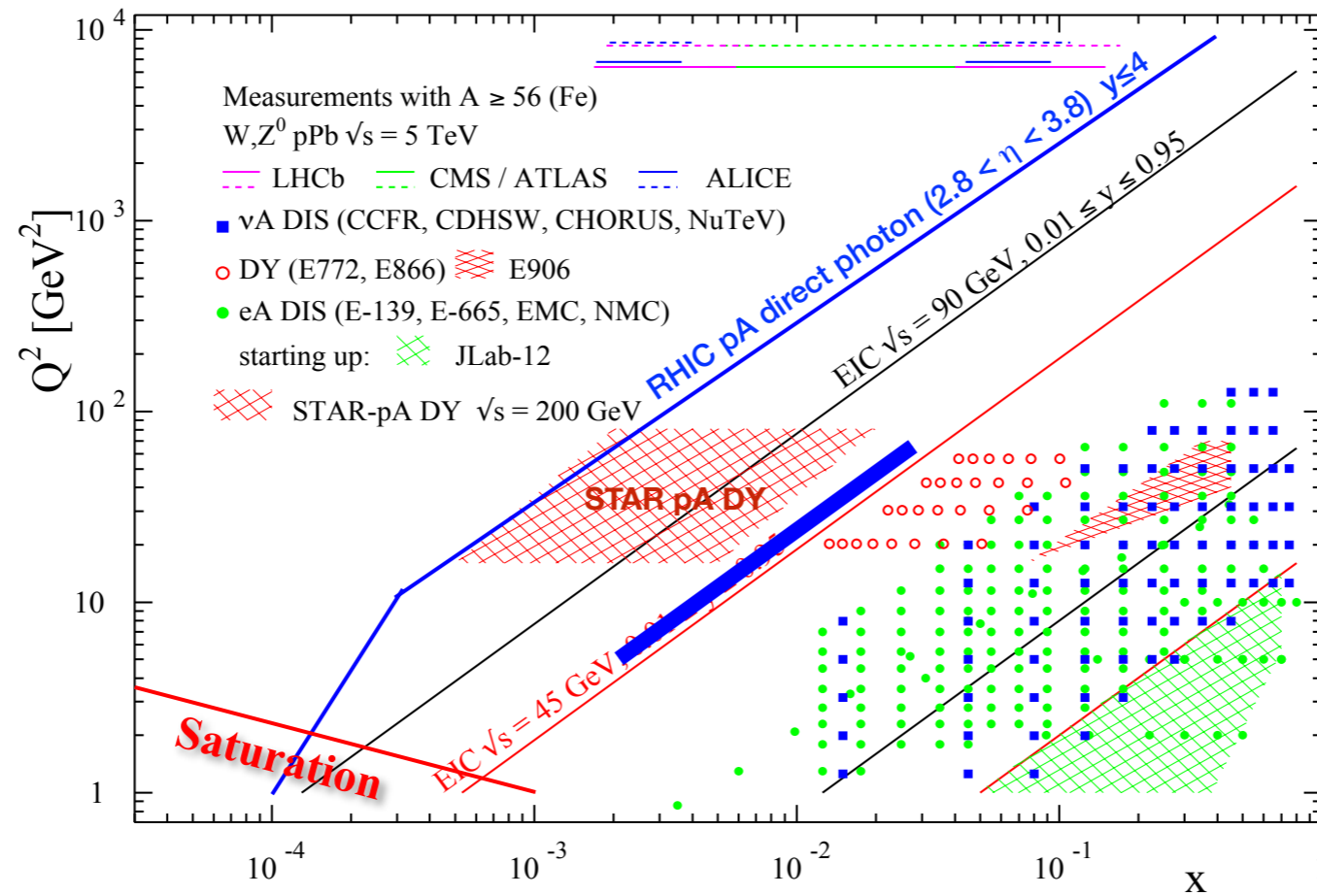
More info: [li.yi@sdu.edu.cn](mailto:li.yi@sdu.edu.cn)



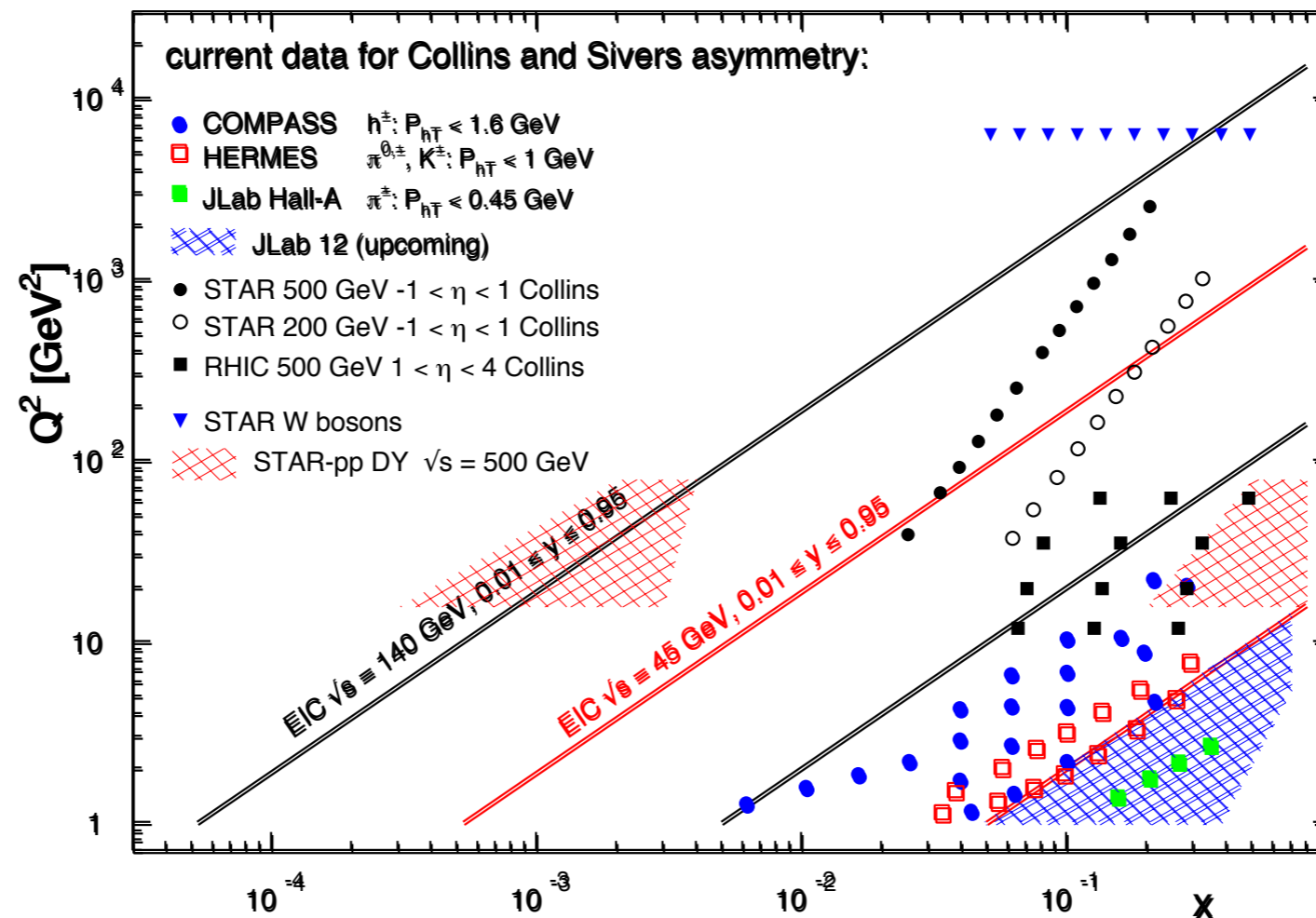


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# pA@RHIC: unique kinematics

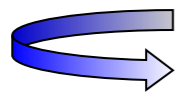


## TMDs at RHIC



Till today TMDs came only from fixed target data  $\rightarrow$  high  $x$  @ low  $Q^2$   
 need to establish concept at high  $Q^2$  and wide range in  $x$

polarized pp at RHIC



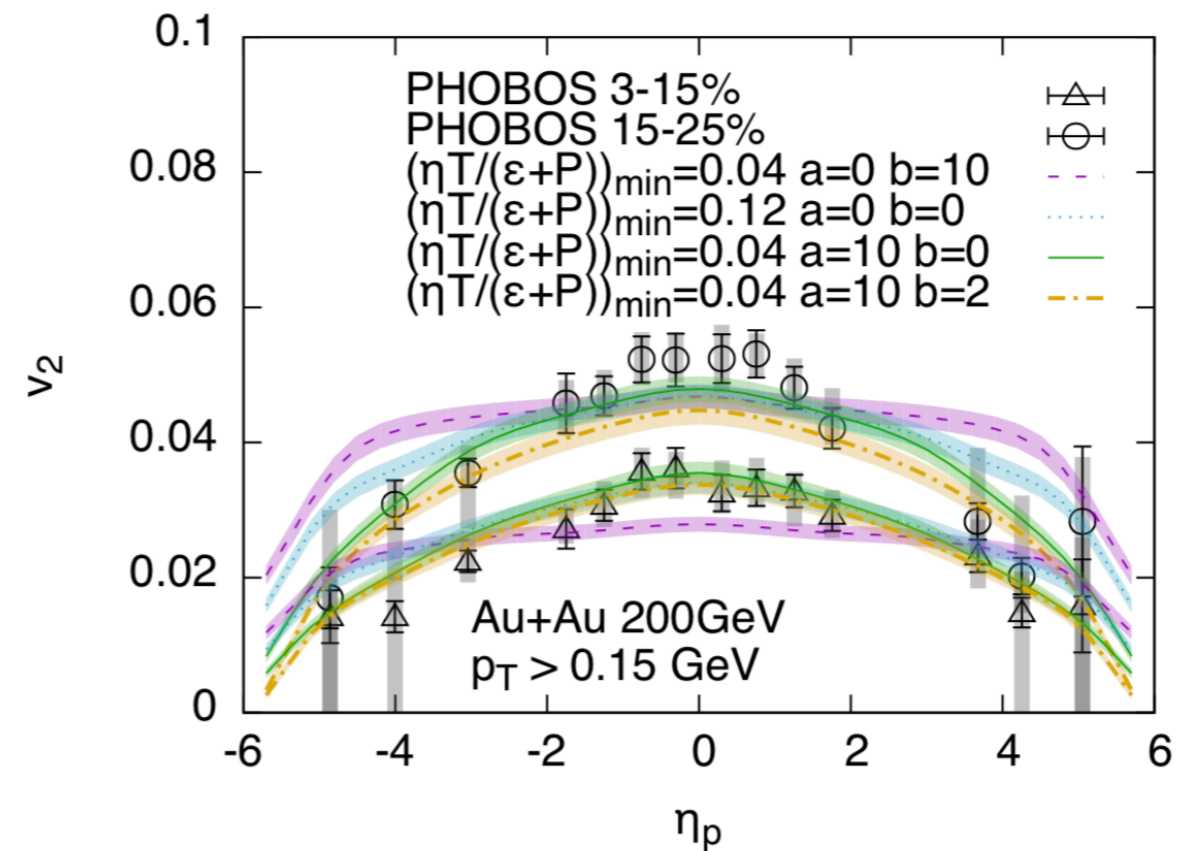
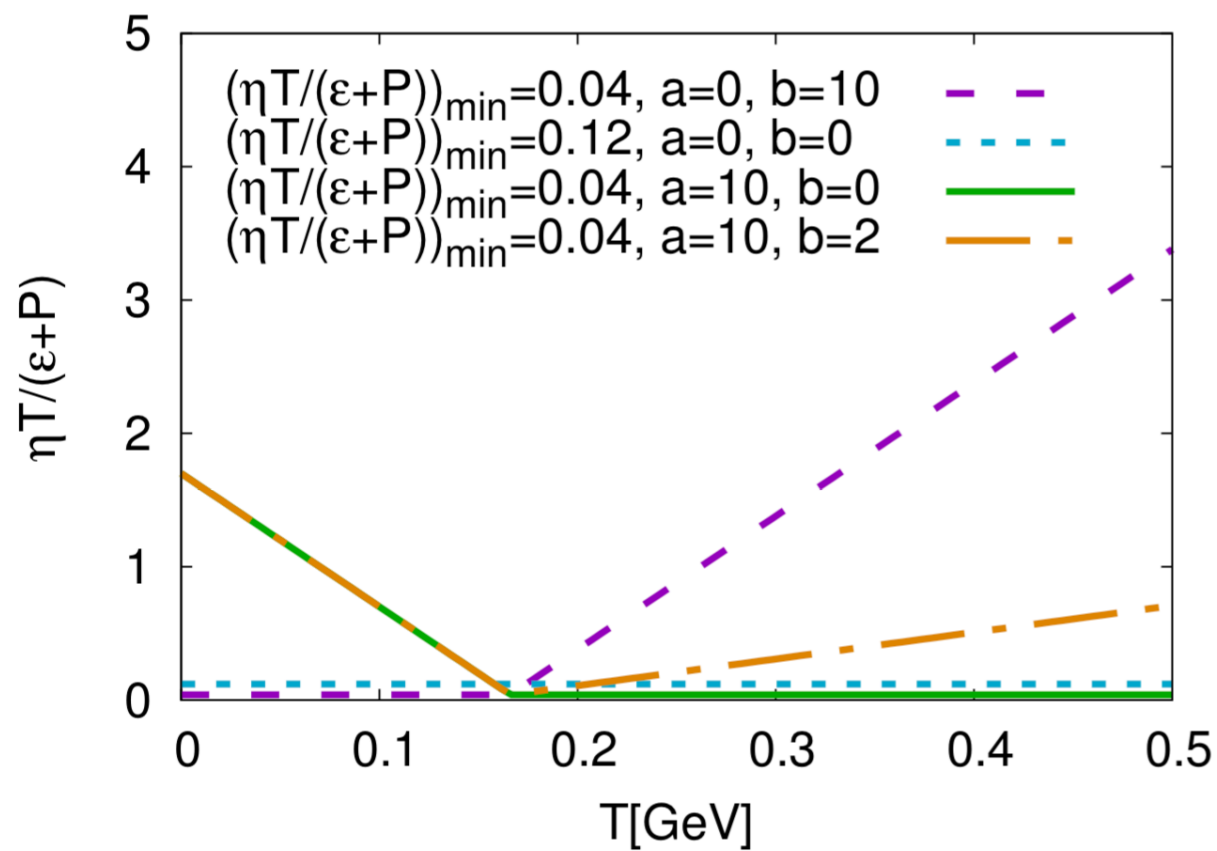
**RHIC unique kinematics:** from low to high  $x$  at high  $Q^2$   
 only way to access gluon TMDs before an EIC

2023 to 2025			Clear signatures for Saturation	Dihadrons, $\gamma$ -jet, h-jet, diffraction	ECal+Hcal+Tracking
	$p^\dagger Al @ 200$	$12.6 \text{ pb}^{-1}$ 8 weeks	A-dependence of nPDF, A-dependence for Saturation	$R_{pAl}$ : direct photons and DY Dihadrons, $\gamma$ -jet, h-jet, diffraction	Forward instrum. ECal+HCal+Tracking
	AuAu @ 200	1 Billion Minbias Events	Longitudinal de-correlation	$C_n(\Delta\eta)$ and $r_n(\eta_a, \eta_b)$	Forward instrum. ECal+HCal or Tracking
			$\eta/s(T)$ and $\zeta/s(T)$	$V_{n\Delta}(\eta)$	Forward instrum. Tracking
			Mixed flow Harmonics	$C_{m,n,m+n}$	Forward instrum. ECal+HCal or Tracking
			Rapidity dependence of Hyperon Polarization	$P_H(\eta)$	Forward instrum. Tracking
Ridge			$dN/d(\Delta\eta)d(\Delta\phi) \& V_{n\Delta}$	Forward instrum. ECal+HCal or Tracking	
2021	$p^\dagger p @ 510$	$1.1 \text{ fb}^{-1}$ 10 weeks	TMDs at low and high $x$	$A_{UT}$ for Collins observables, i.e. hadron in jet modulations at $\eta > 1$	Forward instrum. ECal+HCal+Tracking
2021	$\vec{p}^\dagger \vec{p} @ 510$	$1.1 \text{ fb}^{-1}$ 10 weeks	$\Delta g(x)$ at small $x$	$A_{LL}$ for jets, di-jets, h/ $\gamma$ -jets at $\eta > 1$	Forward instrum. ECal+HCal

	Year	$\sqrt{s}$ (GeV)	Delivered Luminosity	Scientific Goals	Observable	Required Upgrade
In parallel with SPHENIX running	2024	$p^\dagger p @ 200$	$300 \text{ pb}^{-1}$ 8 weeks	Subprocess driving the large $A_N$ at high $x_F$ and $\eta$	$A_N$ for charged hadrons and flavor enhanced jets	Forward instrum. ECal+HCal+Tracking
	2024	$p^\dagger Au @ 200$	$1.8 \text{ pb}^{-1}$ 8 weeks	What is the nature of the initial state and hadronization in nuclear collisions	$R_{pAu}$ direct photons and DY	Forward instrum. ECal+HCal+Tracking
		$p^\dagger Al @ 200$	$12.6 \text{ pb}^{-1}$ 8 weeks	Clear signatures for Saturation A-dependence of nPDF, A-dependence for Saturation	Dihadrons, $\gamma$ -jet, h-jet, diffraction $R_{pAl}$ : direct photons and DY Dihadrons, $\gamma$ -jet, h-jet, diffraction	Forward instrum. ECal+HCal+Tracking
Potential future running	2021/22	$p^\dagger p @ 510$	$1.1 \text{ fb}^{-1}$ 10 weeks	TMDs at low and high $x$	$A_{UT}$ for Collins observables, i.e. hadron in jet modulations at $\eta > 1$	Forward instrum. ECal+HCal+Tracking
	2021/22	$\vec{p}^\dagger \vec{p} @ 510$	$1.1 \text{ fb}^{-1}$ 10 weeks	$\Delta g(x)$ at small $x$	$A_{LL}$ for jets, di-jets, h/ $\gamma$ -jets at $\eta > 1$	Forward instrum. ECal+HCal

# Moving forward to constrain the shear viscosity of QCD matter

G. Denicol, A. Monnai, B. Schenke, PRL 116, 212301 (2016)



\* *need to remove nonflow*

Medium  $T$  (and  $\mu_B$ ) profiles vary in the longitudinal direction  
 $\eta/s(T)$  can be constrained by  $v_n(\eta)$

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$\sqrt{s_{NN}}$  ybeam

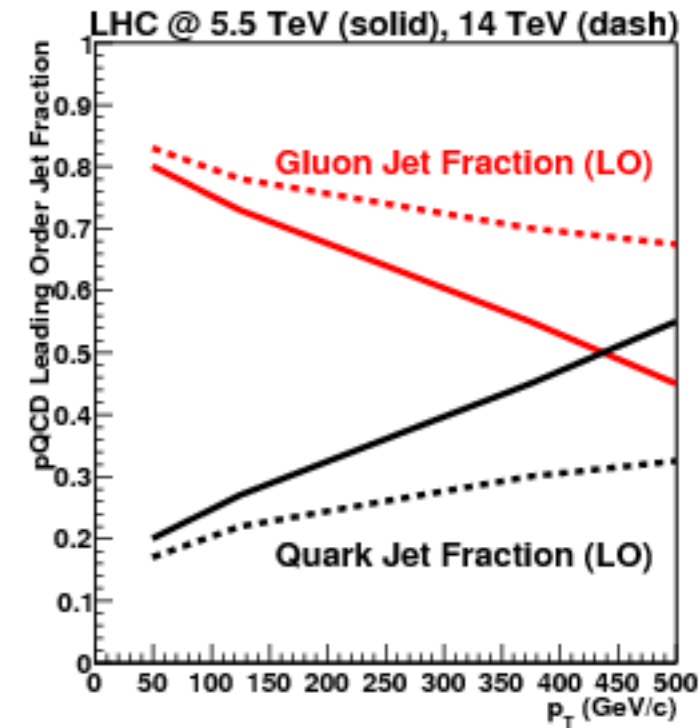
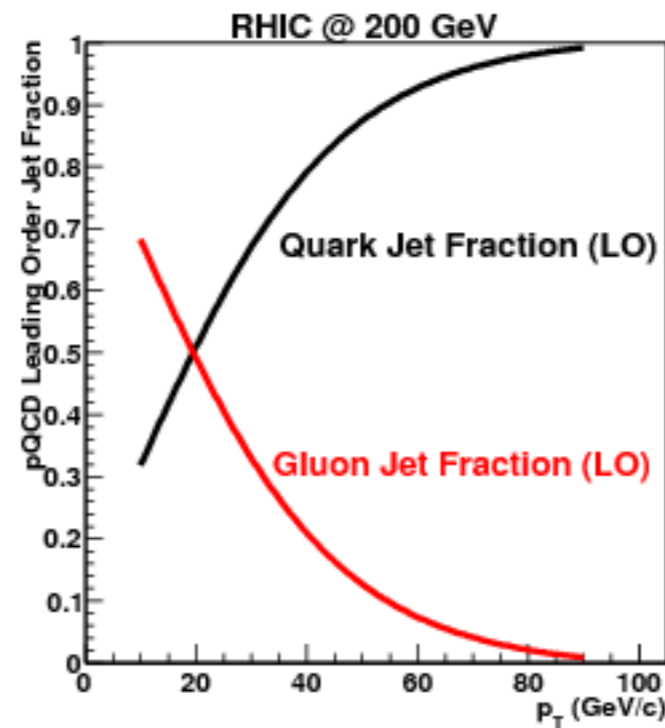
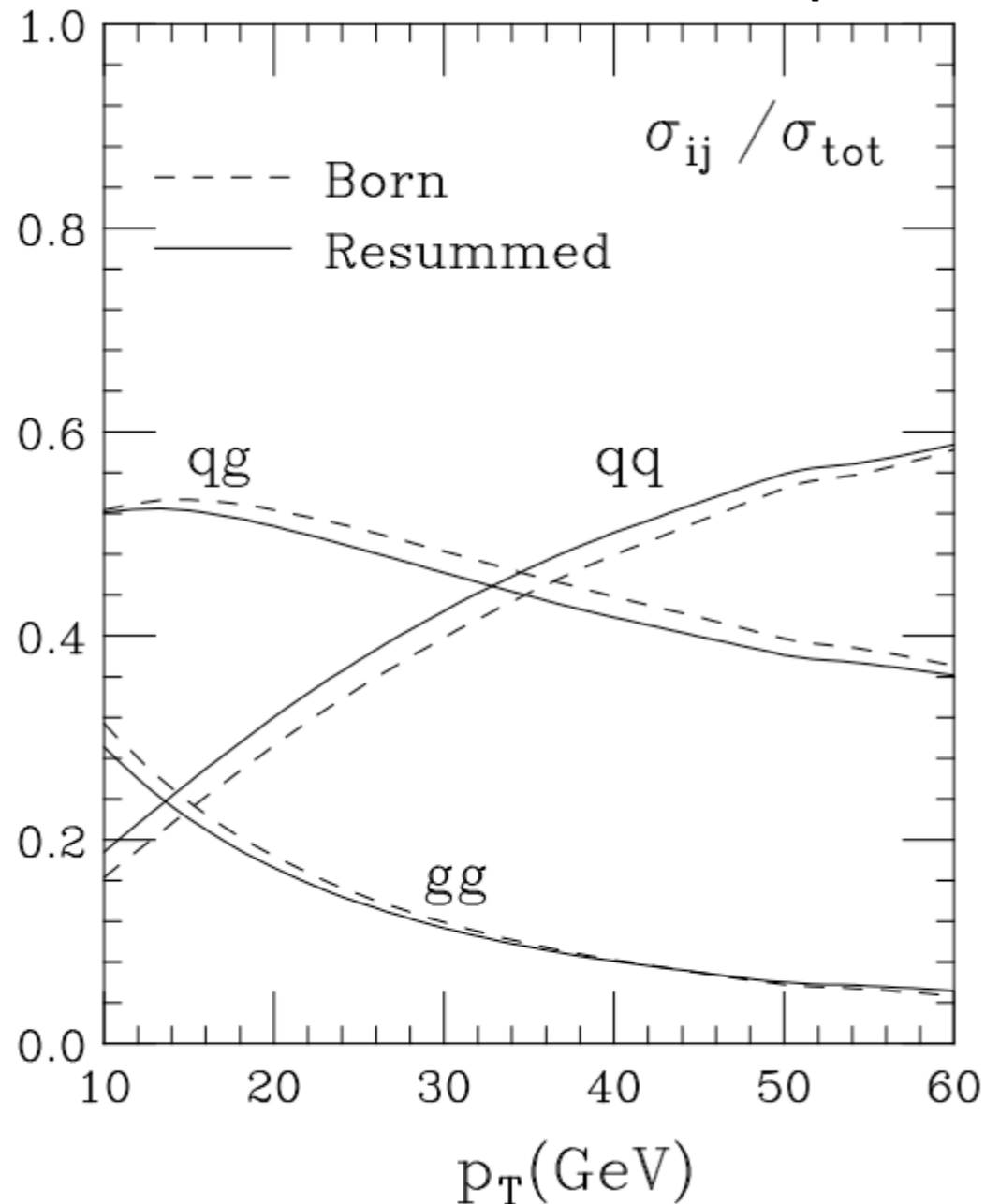
200GeV 5.36

2.76TeV 7.99

5.02TeV 8.59

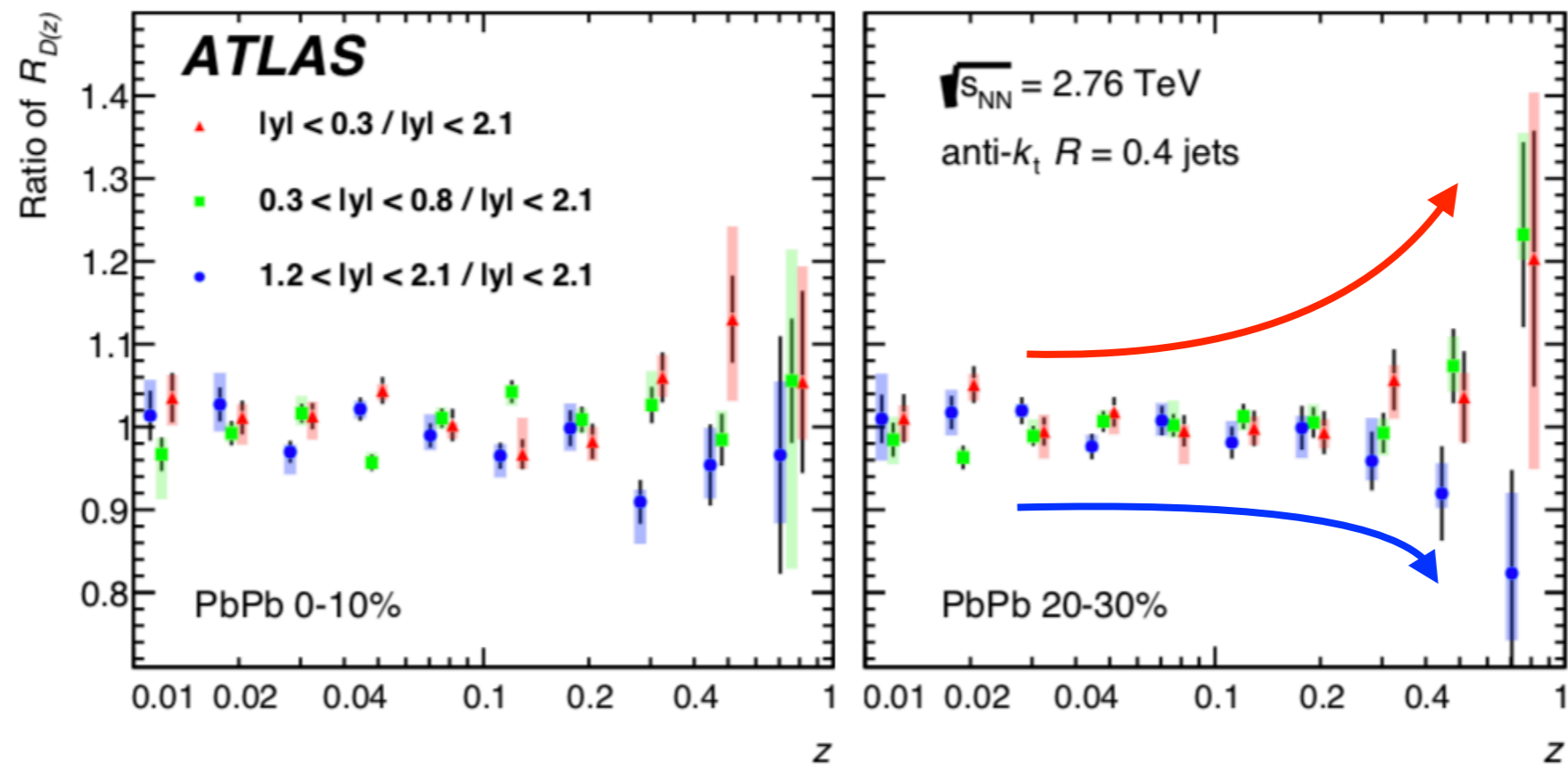
7TeV 9.61

# pp collisions at $\sqrt{S} = 200$ GeV and $R = 0.4$

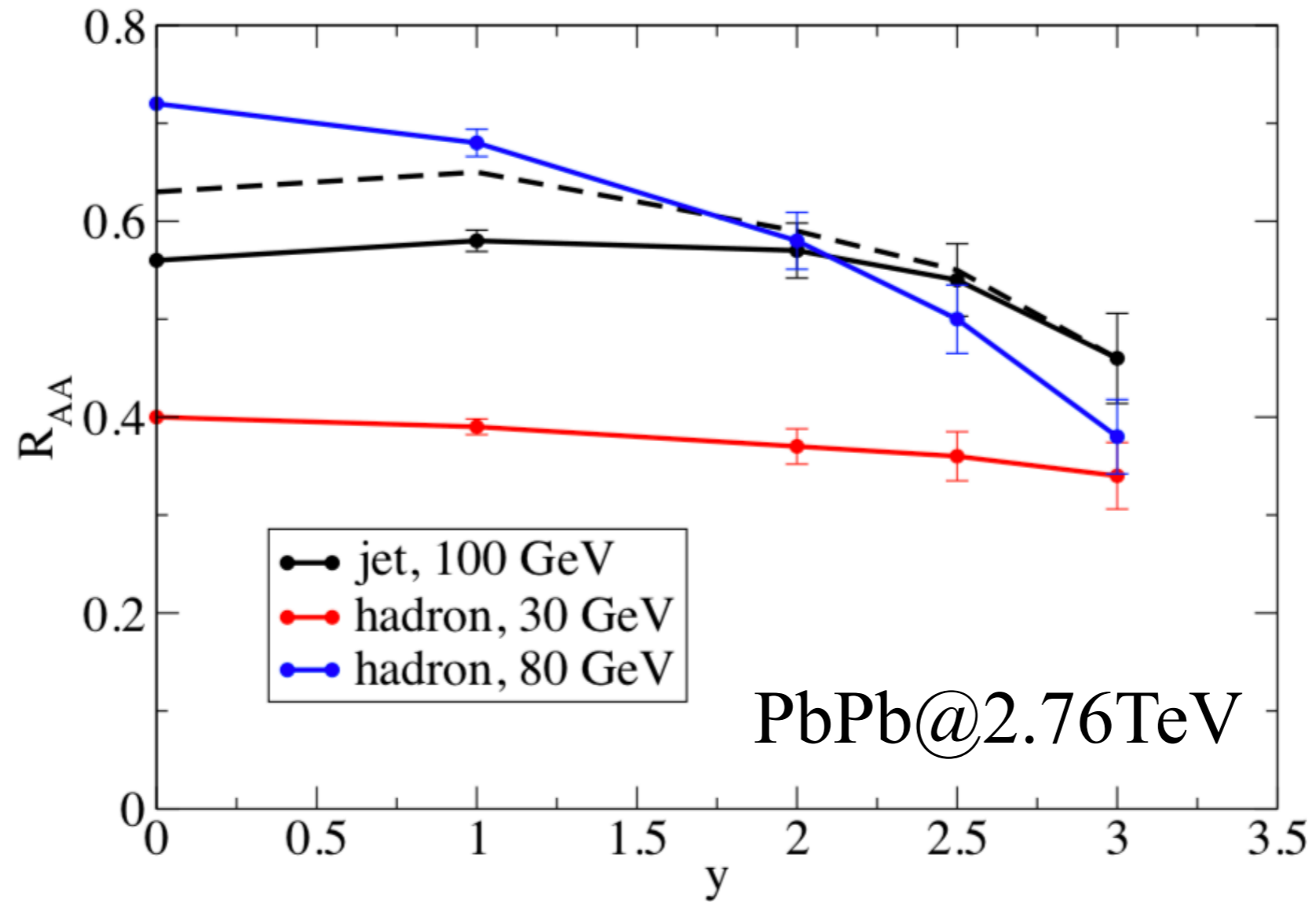


PHENIX, arXiv:1501.06197

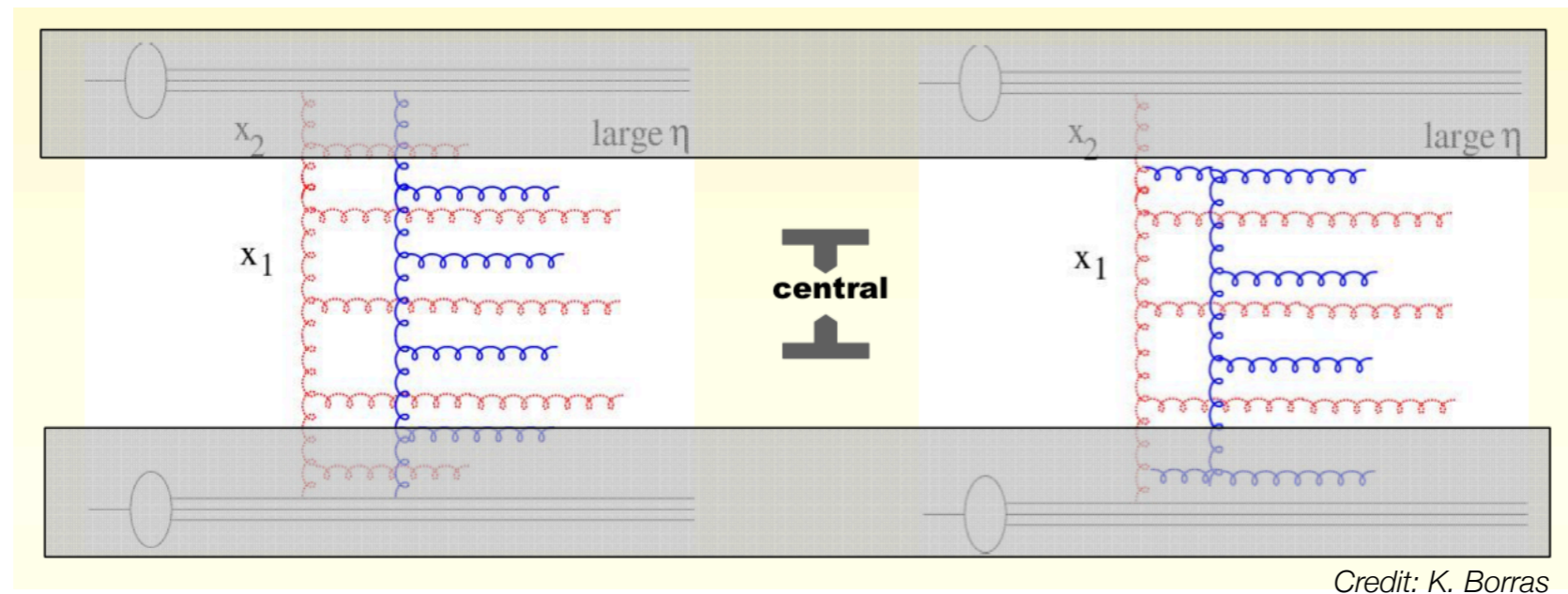




ATLAS, arXiv:1702.00674v2



T. Renk, 1406.6784



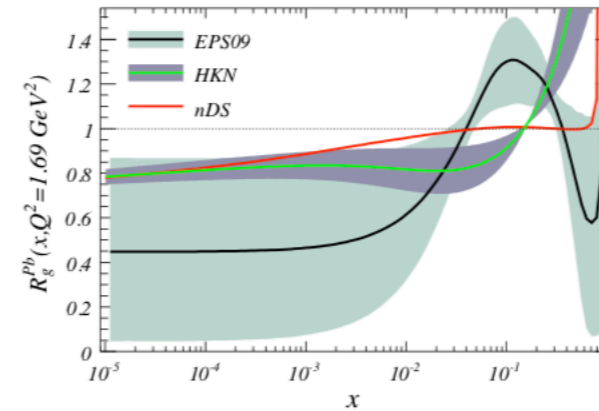
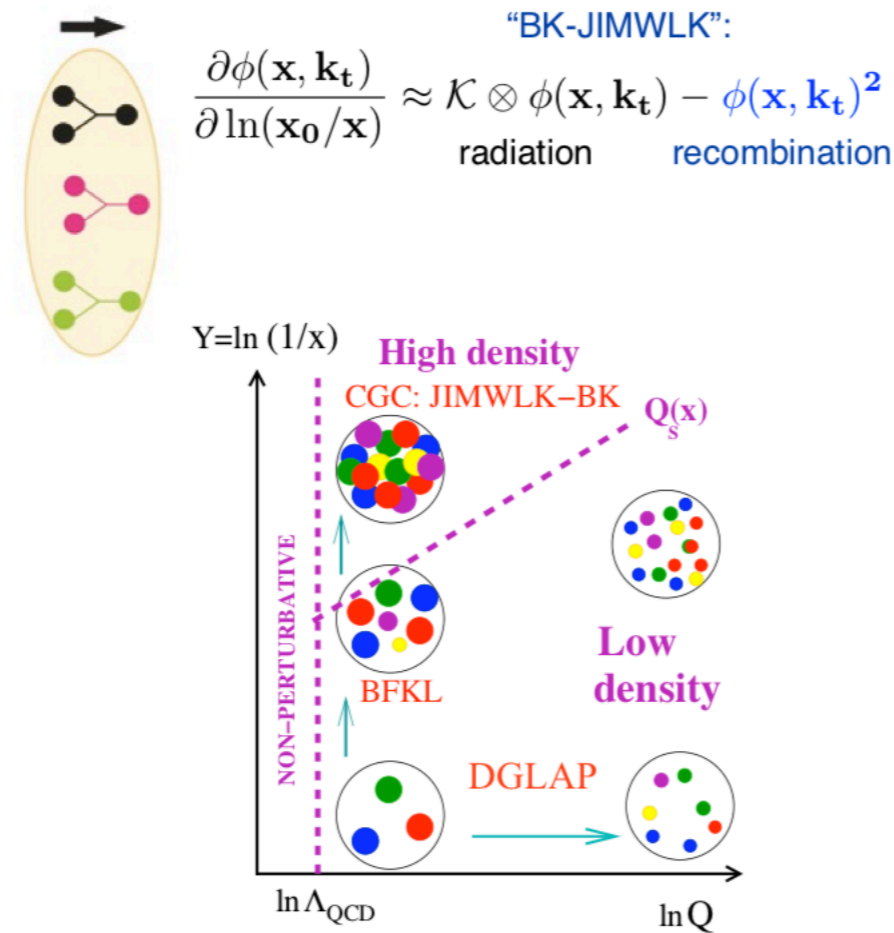
Central region does not distinguish between processes.

## Saturation vs shadowing

Both relate to the same concept: # of gluons in the wave function of a nucleus at small-x is reduced wrt the simple addition of the gluon field of constituent nucleons

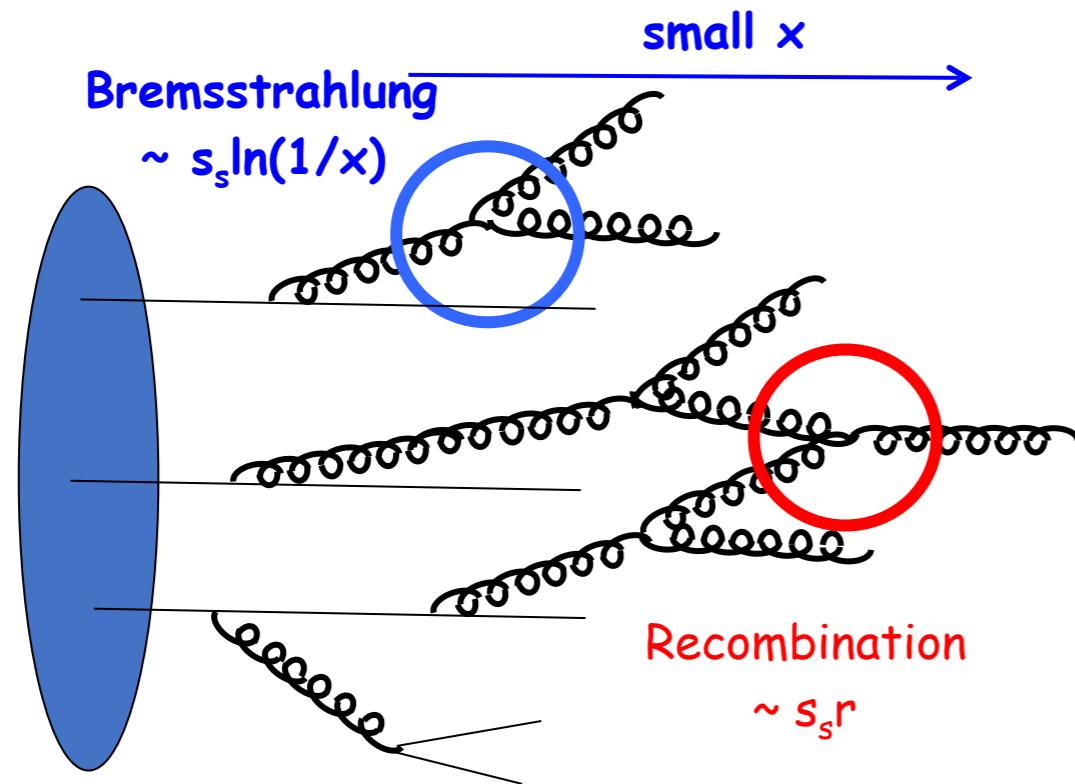
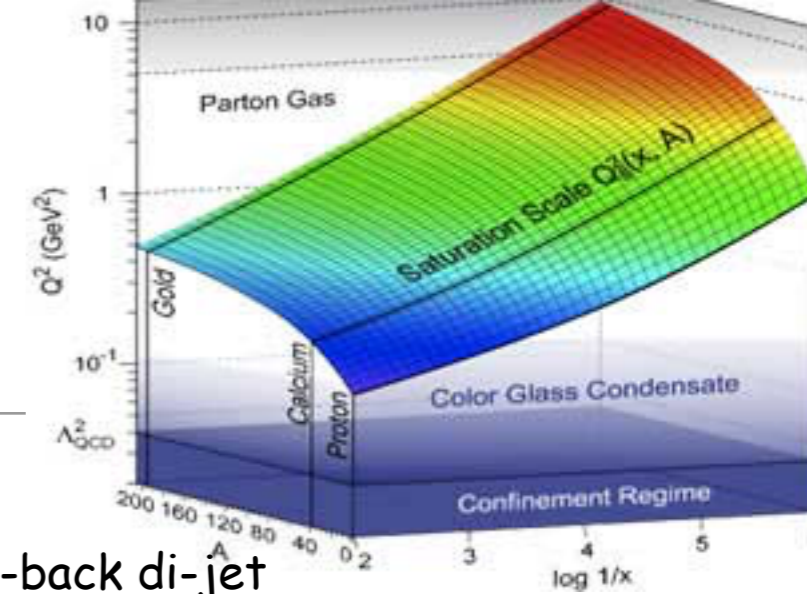
**Saturation:** Dynamical description via gluon self-interactions that tame the growth of gluon densities towards small-x

• **Nuclear shadowing:** Empiric parametrization fitted to data. Q<sup>2</sup>-dependence assumed to be described by DGLAP evolution.



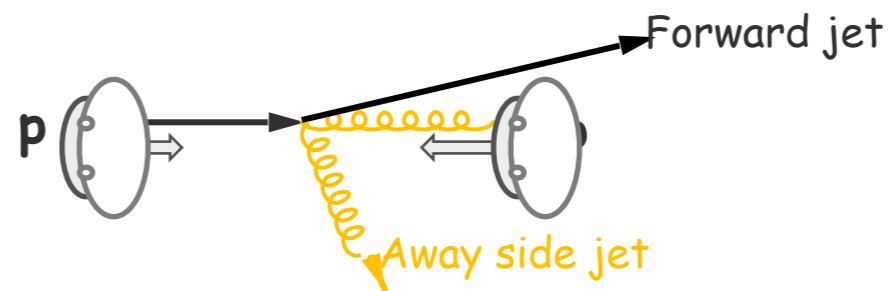
[J. L. Albacete](#)

# Gluon Density in Nuclei



credit: E.C. Aschenauer

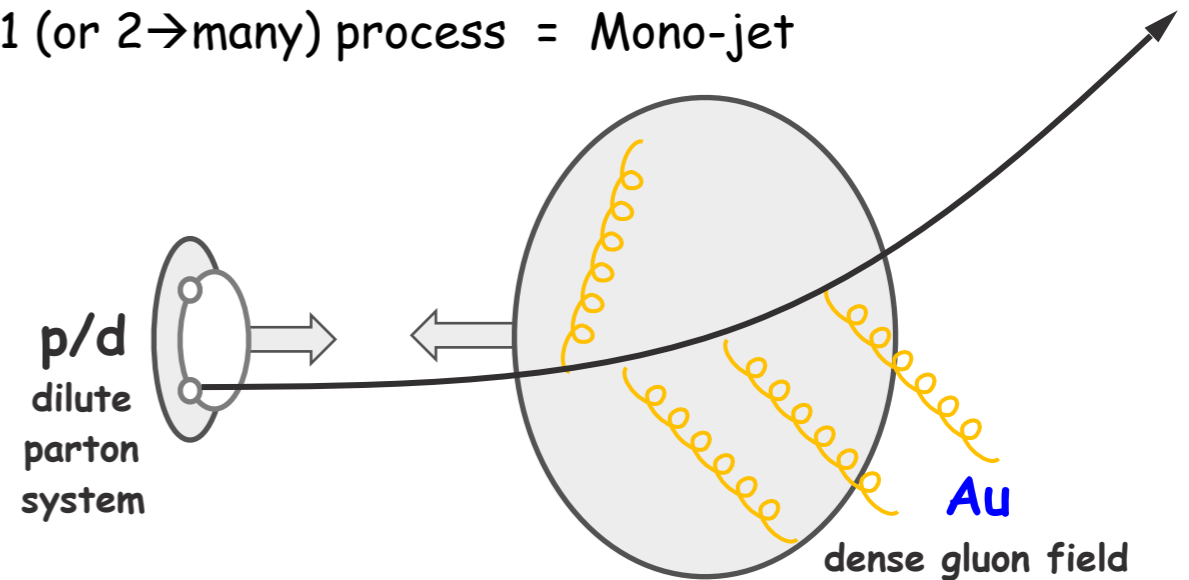
pQCD  $2 \rightarrow 2$  process = back-to-back di-jet



$$Q_s^2 \sim (A/x)^{1/3}$$

With high gluon density

$2 \rightarrow 1$  (or  $2 \rightarrow \text{many}$ ) process = Mono-jet

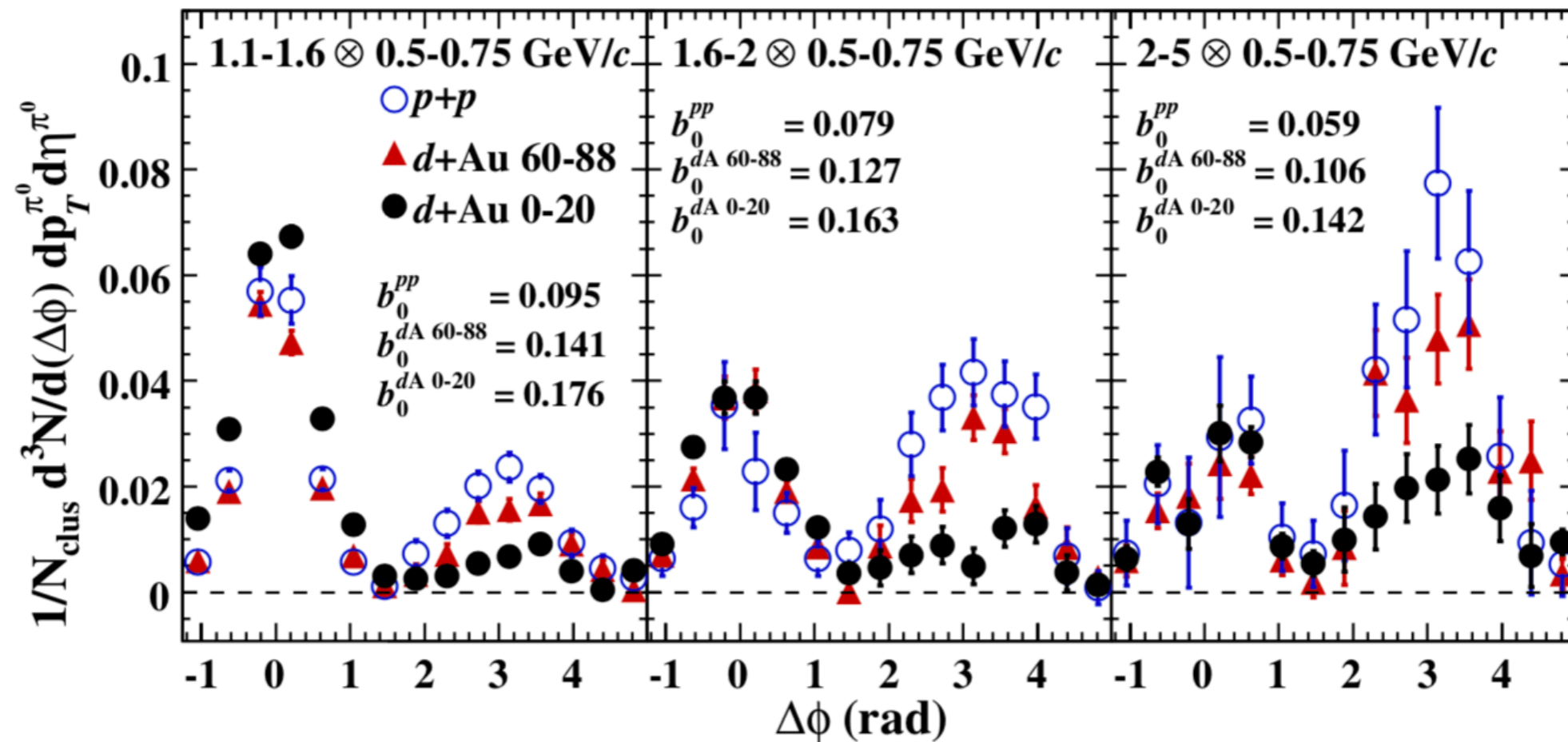


d+Au collisions:

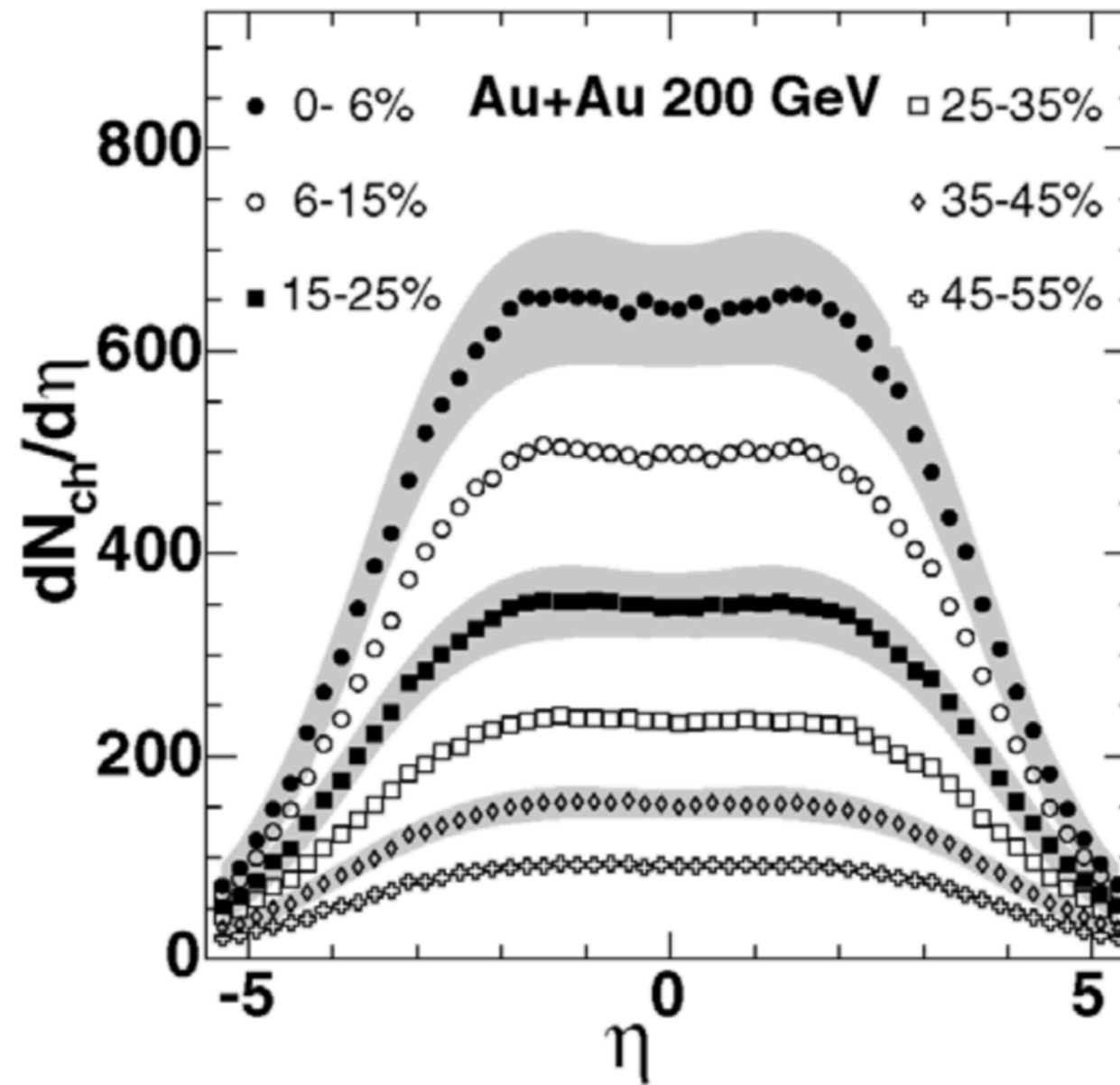
CGC predicts suppression of back-to-back correlation

# Saturation Physics

PHENIX, Phys. Rev. Lett. 107, 172301 (2011)



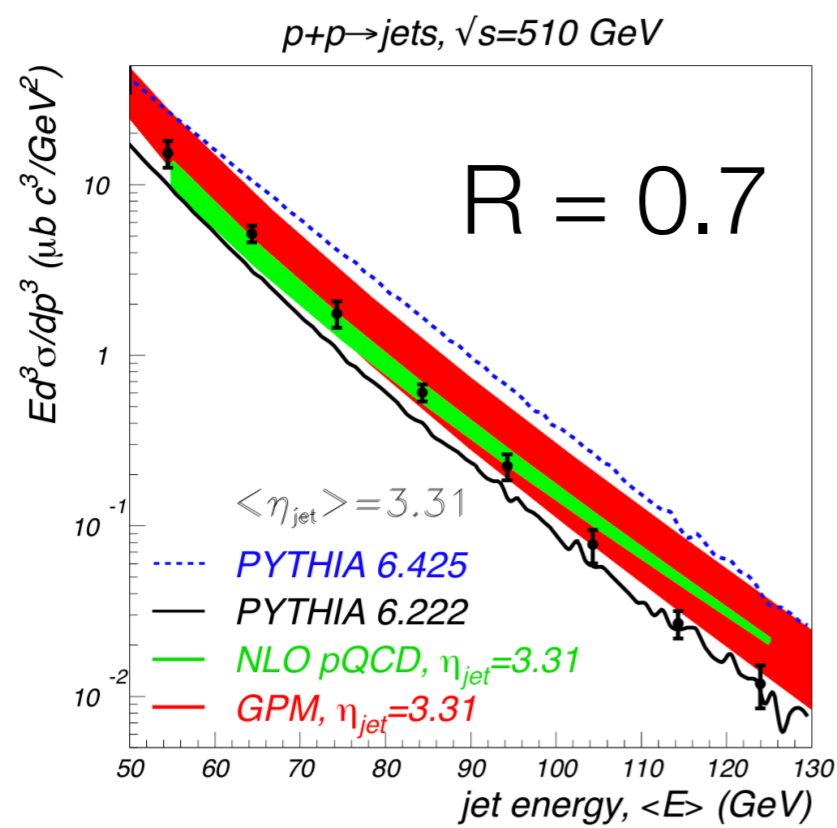
Suppressed away-side: consistent with CGC expectation  
 Alternative explanation: double interaction in deuteron;  
 $\rightarrow$  p+Au instead of d+Au



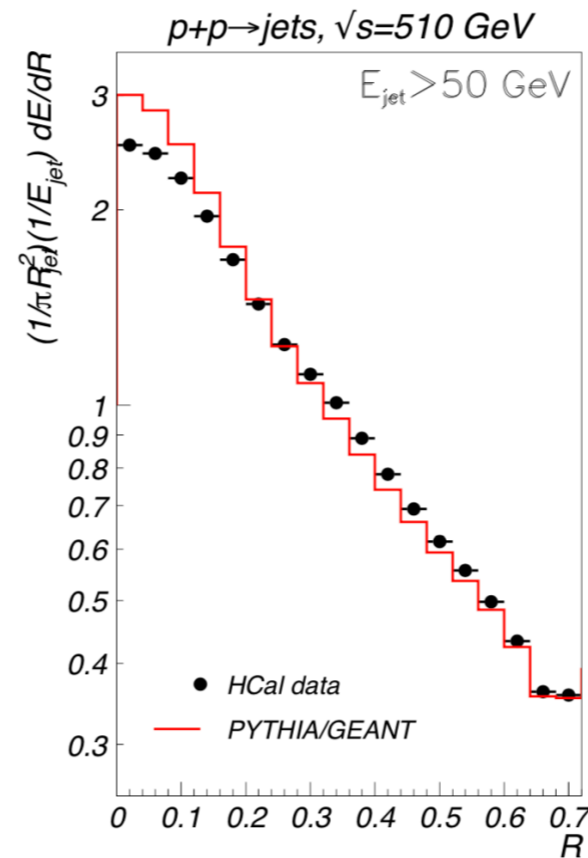
PHOBOS, White paper, NPA 757 (2005) 28–101

# Forward Jet at RHIC Energy (pp@500 GeV)

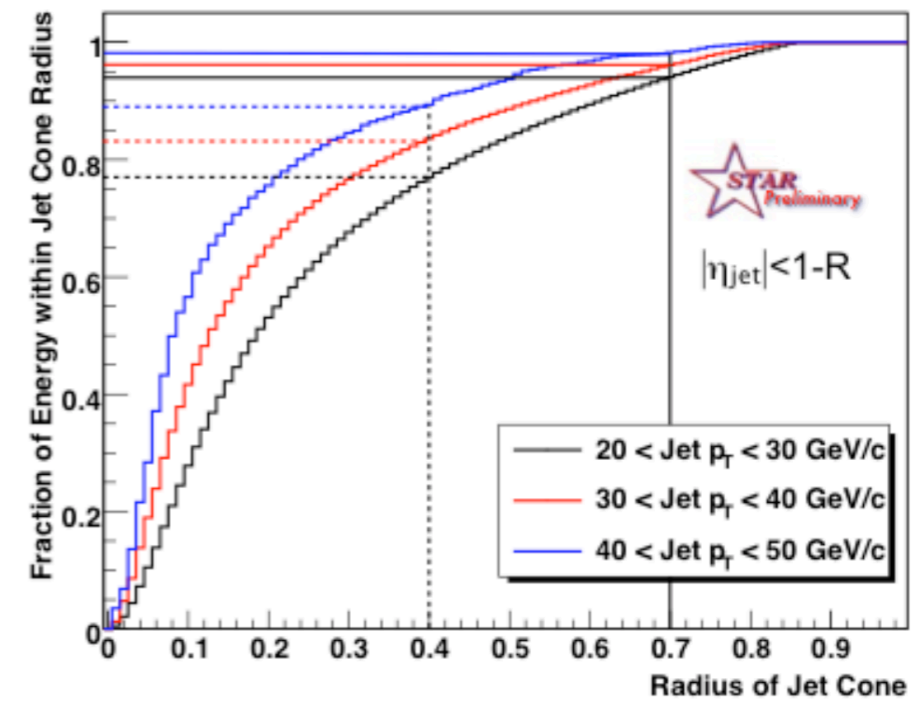
cross section



jet shape



jet shape  
(mid-rapidity 200 GeV)

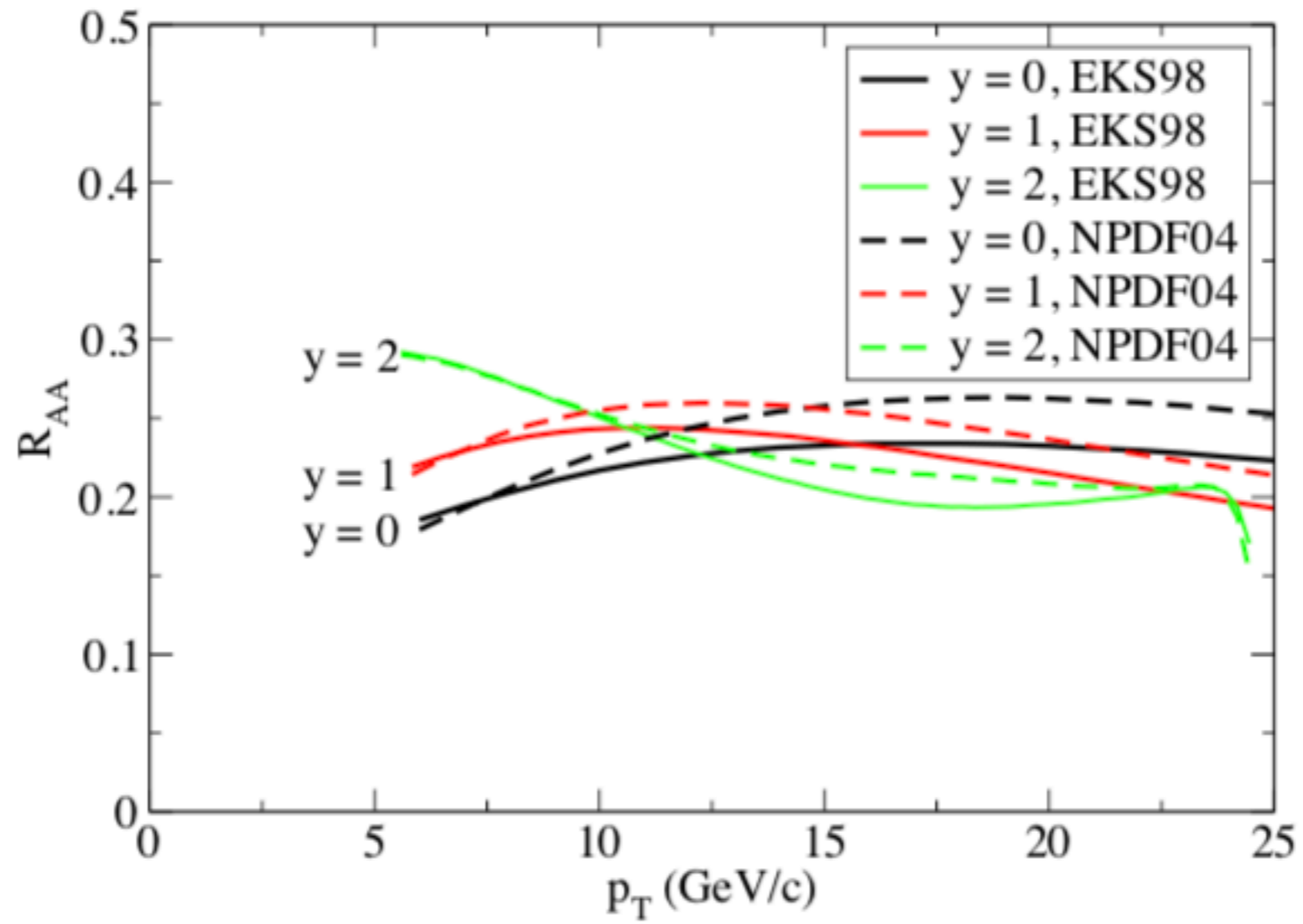


A<sub>N</sub>DY Collaboration

arXiv:1304.1454v2

physletb.2015.10.001





GY. Qin, et al, PhysRevC.76.064907