

CERN Accelerator School

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RF Cavities

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What is a cavity?

Lorentz force

A charged particle moving with velocity $\vec{v} = \frac{\vec{p}}{v}$ through an electromagnetic field experiences a force *m*^γ

$$
\frac{\mathrm{d}\vec{p}}{\mathrm{d}t} = q\left(\vec{E} + \vec{v} \times \vec{B}\right)
$$

The total energy of this particle is $\textit{W}=\sqrt{(mc^{2})^{2}+(pc)^{2}}=\gamma mc^{2}$, the kinetic energy is $W_{kin} = mc^2(\gamma - 1)$

The role of acceleration is to increase the particle energy!

Change of W by differentiation:

$$
WdW = c^2 \vec{p} \cdot d\vec{p} = qc^2 \vec{p} \cdot (\vec{E} + \vec{v} \times \vec{B}) dt = qc^2 \vec{p} \cdot \vec{E} dt
$$

$$
dW = q\vec{v} \cdot \vec{E} dt
$$

Note: **Only the electric field can change the particle energy!**

Maxwell's equations

The electromagnetic fields inside the "hollow place" obey these equations:

$$
\nabla \times \vec{B} - \frac{1}{c^2} \frac{\partial}{\partial t} \vec{E} = 0 \quad \nabla \cdot \vec{B} = 0
$$

$$
\nabla \times \vec{E} + \frac{\partial}{\partial t} \vec{B} = 0 \quad \nabla \cdot \vec{E} = 0
$$

With the curl of the 3^{rd} , the time derivative of the 1^{st} equation and the vector identity

$$
\nabla \times \nabla \times \vec{E} \equiv \nabla \nabla \cdot \vec{E} - \Delta \vec{E}
$$

this set of equations can be brought in the form

$$
\Delta \vec{E} - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \vec{E} = 0
$$

which is the Laplace equation in 4 dimensions.

With the boundaries of the "solid body" around it (the cavity walls), there exist eigensolutions of the cavity at certain frequencies (eigenfrequencies).

Homogeneous plane wave

$$
\vec{E} \propto \vec{u}_y \cos(\omega t - \vec{k} \cdot \vec{r})
$$
\nWave vector \vec{k} :

\n
$$
\vec{B} \propto \vec{u}_x \cos(\omega t - \vec{k} \cdot \vec{r})
$$
\nthe direction of propagation, propagation, the length of \vec{k} in the right.

the direction of \vec{k} is the direction of propagation, the length of k is the phase shift per unit length. \overline{k} behaves like a vector. $\overrightarrow{ }$ $\overrightarrow{ }$ $\overrightarrow{ }$

Wave length, phase velocity

Superposition of 2 homogeneous plane waves

Metallic walls may be inserted where $E_y = 0$ without perturbing the fields. Note the standing wave in *x-*direction!

This way one gets a hollow rectangular waveguide

Rectangular waveguide

Fundamental (TE $_{10}$ or H $_{10}$) mode in a standard rectangular waveguide. **Example:** "S-band" : 2.6 GHz ... 3.95 GHz, Waveguide type WR284 (2.84" wide), dimensions: 72.14 mm x 34.04 mm. Operated at $f = 3$ GHz.

power flow:
$$
\frac{1}{2} \text{Re} \left\{ \iint_{\text{cross}} \vec{E} \times \vec{H}^* \cdot d\vec{A} \right\}
$$

Waveguide dispersion

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Phase velocity

Rectangular waveguide modes

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Radial waves

Also radial waves may be interpreted as superpositions of plane waves. The superposition of an outward and an inward radial wave can result in the field of a round hollow waveguide.

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Circular waveguide modes

General waveguide equations:

 $\Delta T + \left(\frac{\omega_c}{c}\right)^2 T = 0$ Transverse wave equation (membrane equation): TE (or H) modes TM (or E) modes $\vec{n} \cdot \nabla T = 0$ $T=0$ boundary condition: $\frac{dU(z)}{dz} + \gamma Z_0 I(z) = 0$
 $\frac{dI(z)}{dz} + \frac{\gamma}{Z_0} U(z) = 0$ longitudinal wave equations (transmission line equations): $\frac{\frac{\partial}{\partial z} + \frac{\partial}{\partial z}U(z) = 0}{\frac{\partial}{\partial z} + \frac{\partial}{\partial z}U(z) = 0}$
 $Z_0 = \frac{j\omega\mu}{\gamma}$
 $\vec{a} = \vec{u} \times \nabla T$ propagation constant: $Z_0 = \frac{\gamma}{\mathbf{j}\omega\varepsilon}$ characteristic impedance: $\vec{e} = -\nabla T$ $\vec{e} = \vec{u}_z \times \nabla T$ ortho-normal eigenvectors: $\vec{E} = U(z)\vec{e}$ $H_z = \left(\frac{\omega_c}{c}\right)^2 \frac{T U(z)}{j \omega \mu} \frac{\vec{H} = I(z) \vec{u}_z \times \vec{e}}{E_z = \left(\frac{\omega_c}{c}\right)^2 \frac{T I(z)}{j \omega \varepsilon}}$ transverse fields: longitudinal field:

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Rectangular waveguide: transverse eigenfunctions

TE (H) modes:
\n
$$
T_{mn}^{(H)} = \frac{1}{\pi} \sqrt{\frac{ab \varepsilon_m \varepsilon_n}{(mb)^2 + (na)^2}} \cos\left(\frac{m\pi}{a}x\right) \cos\left(\frac{n\pi}{b}y\right)
$$
\nTM (E) modes:
\n
$$
T_{mn}^{(E)} = \frac{2}{\pi} \sqrt{\frac{ab}{(mb)^2 + (na)^2}} \sin\left(\frac{m\pi}{a}x\right) \sin\left(\frac{n\pi}{b}y\right)
$$
\n
$$
\frac{\omega_c}{c} = \sqrt{\left(\frac{m\pi}{a}\right)^2 + \left(\frac{n\pi}{b}\right)^2}
$$
\nRound waveguide: transverse eigenfunctions
\nTE (H) modes:
\n
$$
T_{mn}^{(H)} = \sqrt{\frac{\varepsilon_m}{\pi \left(\chi_{mn}^{(2)} - m^2\right)}} \frac{J_m \left(\chi_{mn}^{'} \frac{\rho}{a}\right)}{J_m \left(\chi_{mn}^{'}\right)} \left[\cos(m\phi)\right]
$$
\nTM (E) modes:
\n
$$
T_{mn}^{(E)} = \sqrt{\frac{\varepsilon_m}{\pi} \frac{J_m \left(\chi_{mn} \frac{\rho}{a}\right)}{J_{m-1} \left(\chi_{mn}\right)} \left[\sin(m\phi)\right]}
$$
\n
$$
T_{mn}^{(E)} = \sqrt{\frac{\varepsilon_m}{\pi} \frac{J_m \left(\chi_{mn} \frac{\rho}{a}\right)}{J_{m-1} \left(\chi_{mn}\right)} \left[\cos(m\phi)\right]}
$$
\n
$$
\omega_c = \frac{\chi_{mn}}{a}
$$
\nwhere
\n
$$
\varepsilon_i = \begin{cases} 1 & \text{for} \quad i = 0 \\ 2 & \text{for} \quad i \neq 0 \end{cases}
$$

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Short-circuited waveguide

Single WG mode between two shorts

Eigenvalue equation for field amplitude *a*:

$$
a=e^{-jk_z2\ell}a
$$

Non-vanishing solutions exist for $2k_z \ell = 2\pi m$:

With
$$
k_z = \frac{\omega}{c} \sqrt{1 - \left(\frac{\omega_c}{\omega}\right)^2}
$$
, this becomes $f_0^2 = f_c^2 + \left(c \frac{m}{2\ell}\right)^2$

electric field (purely axial) magnetic field (purely azimuthal)

Pillbox cavity field (w/o beam tube)

The only non-vanishing field components :

$$
E_z = \frac{1}{j\omega\varepsilon_0} \frac{\chi_{01}}{a} \sqrt{\frac{1}{\pi}} \frac{J_0\left(\frac{\chi_{01}\rho}{a}\right)}{a J_1\left(\frac{\chi_{01}}{a}\right)}
$$

$$
B_{\varphi} = \mu_0 \sqrt{\frac{1}{\pi}} \frac{J_1\left(\frac{\chi_{01}\rho}{a}\right)}{a J_1\left(\frac{\chi_{01}}{a}\right)}
$$

$$
\omega_0|_{\text{pillox}} = \frac{\chi_{01} c}{a} \eta = \sqrt{\frac{\mu_0}{\varepsilon_0}} = 377 \Omega
$$

$$
Q|_{\text{pillox}} = \frac{\sqrt{2a\eta \sigma \chi_{01}}}{2\left(1 + \frac{a}{h}\right)}
$$

$$
\frac{R}{Q|_{\text{pillox}}} = \frac{4\eta}{\chi_{01}^3 \pi J_1^2(\chi_{01})} \frac{\sin^2(\frac{\chi_{01}}{2} \frac{h}{a})}{h/a}
$$

Ø 2a

Pillbox with beam pipe

 TM_{010} -mode (only 1/4 shown)

One needs a hole for the beam pipe – circular waveguide below cutoff

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A more practical pillbox cavity electric field magnetic field TM_{010} -mode (only 1/4 shown) Round of sharp edges (field enhancement!)

Stored energy The energy stored in the electric field is ∫∫∫ *cavity* \dot{H} d*V* 2 $\mu_{|\vec{H}|^2}$ The energy stored in the magnetic field is $1 \t 2 \t 3 \t 4 \t 5 \t 6$ 1.0 0.5 0.5 1.0 $1 \t3 \t3 \t4 \t5 \t6$ 0.5 0.5 1.0 $\iiint \frac{e}{2} |\vec{E}|^2 dV$ *cavity* 2 $\mathcal{E} \mid \frac{1}{n^2}$ *E* \rightarrow *H* $\frac{1}{\sqrt{2}}$ $W_{\!\stackrel{}{E}}$ *WM*

1.0

Since \vec{E} and \vec{H} are 90° out of phase, the stored energy continuously swaps from electric energy to magnetic energy. On average, electric and magnetic energy must be equal.

The (imaginary part of the) Poynting vector describes this energy flux.

 $\iiint \left(\frac{\mathbf{c}}{2} \left| \vec{E} \right|^2 + \frac{\mu}{2} \left| \vec{H} \right|^2 \right)$ \int $\left(\frac{\mathcal{E}}{2}|\vec{E}|^2+\frac{\mu}{2}|\vec{H}|^2\right)$ \setminus $\bigg($ $= |||| \frac{c}{a} |\vec{E}|^2 +$ *cavity* $W = \prod_{\alpha} \left| \frac{\epsilon}{\beta} \right| \left| \frac{E}{E} \right|^{2} + \frac{\mu}{\alpha} \left| \frac{H}{E} \right|^{2} \left| \frac{dV}{dt} \right|^{2}$ $2^{\vert -\vert}$ 2 $\mathcal{E} \vert \vec{E} \vert^2$ $\mu \vert \vec{E} \vert^2$ In steady state, the total stored energy $W = ||||| \frac{E}{E}||^2 + \frac{\mu}{\epsilon}|\vec{H}|^2$ $|dV$ is constant in time.

Stored energy & Poynting vector

Losses & *Q* factor

The losses $\ P_{loss}$ are proportional to the stored energy W .

The cavity quality factor Q is defined as the ratio $Q = \frac{\omega_0 r r}{P_{loss}}$. Q is defined as the ratio $Q = \frac{\omega_0 W}{R}$

In a vacuum cavity, losses are dominated by the ohmic losses due to the finite conductivity of the cavity walls.

If the losses are small, one can calculate them with a **perturbation method**:

- The tangential magnetic field at the surface leads to a surface current.
- This current will see a wall resistance σ ωµ 2 $R_A^{\parallel} =$
- ${ R_A \atop R_A}$ is related to the skin depth δ by $\delta \sigma R_A = 1.$ }
- The cavity losses are given by $P_{loss} = \iint R_A {\left| {{H_{_t}}} \right|^2 \rm d}A$ *wall*
- If other loss mechanisms are present, losses must be added. Consequently, the inverses of the Q 's must be added!

Acceleration voltage & *R*-upon-*Q*

I define $V_{acc} = \int E_z e^{i \beta c} dz$. The exponential factor accounts for the variation of the field while particles with velocity $\beta\,c\,$ are traversing the gap (see next page). *z* $C_{acc} = \int E_z e^{i \beta c^2} d$ j β ω

With this definition, V_{acc} is generally complex – this becomes important with more than one gap. For the time being we are only interested in $\vert V_{acc} \vert.$

Attention, different definitions are used!

The proportionality constant defines the quantity called *R*-upon-*Q*: The square of the acceleration voltage is proportional to the stored energy W .

$$
\frac{R}{Q} = \frac{\left|V_{acc}\right|^2}{2\,\omega_0 W}
$$

Attention, also here different definitions are used!

Transit time factor

The transit time factor is the ratio of the acceleration voltage to the (non-physical) voltage a particle with infinite velocity would see.

$$
TT = \frac{|V_{acc}|}{| \int E_z dz |} = \frac{\int E_z e^{j\frac{\omega}{\beta_c}z} dz}{| \int E_z dz |}
$$

The transit time factor of an ideal pillbox cavity (no axial field dependence) of height (gap length) *h* is:

Shunt impedance

The proportionality constant defines the quantity "shunt impedance" The square of the acceleration voltage is proportional to the power loss $P_{\rm loss}$.

$$
R = \frac{|V_{acc}|^2}{2 P_{loss}}
$$

Attention, also here different definitions are used!

Traditionally, the shunt impedance is the quantity to optimize in order to minimize the power required for a given gap voltage.

Equivalent circuit

Simplification: single mode

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Resonance

Q=1

Reentrant cavity

Nose cones increase transit time factor, round outer shape minimizes losses.

Nose cone example $Freq = 500.003$

Summary: relations between V_{acc} , *W, P*_{loss}

Q **factor**

Beam loading – RF to beam efficiency

The beam current "loads" the generator, in the equivalent circuit this appears as a resistance in parallel to the shunt impedance.

If the generator is matched to the unloaded cavity, beam loading will cause the accelerating voltage to decrease.

The power absorbed by the beam is $\mathcal{L} = \frac{1}{2} \text{Re} \left\{ V_{acc} I_B^* \right\}$, the power loss $P_{loss} = \frac{|V_{acc}|}{r}$. For high efficiency, beam loading should be high. 2 1 $-\frac{1}{2}$ Re $\left\{V_{acc}I_B^*\right\}$ *R* $P_{loss} = \frac{V_{acc}}{2}$ $\frac{1}{2}$ 2 =

The RF to beam efficiency is
$$
\eta = \frac{1}{1 + \frac{V_{acc}}{R|I_B|}} = \frac{|I_B|}{|I_G|}.
$$

Characterizing cavities

 $\omega_0 = \frac{1}{\sqrt{L \cdot C}}$ • Resonance frequency $\left| \int \! E_z e^{j\frac{\omega}{\beta_c^2}} dz \right|$ • Transit time factor field varies while particle is traversing the gap $\left|E_z \mathrm{d}z\right|$ Circuit definition Linac definition • Shunt impedance $|V_{acc}|^2 = 2 R P_{loss}$ $|V_{acc}|^2 = R P_{loss}$ gap voltage – power relation • *Q* factor $\omega_0 W = Q P_{loss}$ $\frac{R}{Q} = \frac{|V_{acc}|^2}{2 \omega_0 W} = \sqrt{\frac{L}{C}}$ $\frac{R}{Q} = \frac{|V_{acc}|^2}{\omega_0 W}$ • *R/Q* independent of losses – only geometry! $k_{loss} = \frac{\omega_0}{2} \frac{R}{O} = \frac{|V_{acc}|^2}{4 \, W}$ $\frac{|V_{acc}|^2}{4 \; W}$ $k_{loss} = \frac{\omega_0 R}{4 Q} =$ loss factor

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Higher order modes

Higher order modes (measured spectrum)

Pillbox: dipole mode

CERN/PS 80 MHz cavity (for LHC)

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Higher order modes

Example shown: 80 MHz cavity PS for LHC. Color-coded:

220.5 MHz, m=1

357.9 MHz, m=3

80 MHz. m=0

376.8 MHz, m=2

387.8 MHz, m=1

 $255.6 \text{ MHz}, \text{m=0}$

418.5 MHz, m=4

292 MHz, m=2

 $422.9 \text{ MHz}, \text{m=3}$

437.6 MHz, m=0

337.5 MHz, m=1

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What do you gain with many gaps?

- The R/Q of a single gap cavity is limited to some 100 Ω. Now consider to distribute the available power to *n* identical cavities: each will receive *P/n*, thus produce an accelerating voltage of $\sqrt{2R P/n}$.
	- The total accelerating voltage thus increased, equivalent to a total equivalent shunt impedance of nR .

Standing wave multicell cavity

- Instead of distributing the power from the amplifier, one might as well couple the cavities, such that the power automatically distributes, or have a cavity with many gaps (e.g. drift tube linac).
- Coupled cavity accelerating structure (side coupled)

The phase relation between gaps is important!

Examples of cavities

PEP II cavity 476 MHz, single cell, 1 MV gap with 150 kW, strong HOM damping,

LEP normal-conducting Cu RF cavities, 350 MHz. 5 cell standing wave + spherical cavity for energy storage, 3 MV

CERN PS 200 MHz cavities

PS 19 MHz cavity (prototype, photo: 1966)

CERN PS 80 MHz Cavity (1997)

Ferrite cavity - CERN PSB, 0.6 ... 1.8 MHz

CERN PS 10 MHz cavity (1 of 10)

Drift-tube linac (JPARC JHF, 324 MHz)

CERN SPS 200 MHz TW cavity

Travelling wave cavities

CLIC "HDS", 12 GHz

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Side-coupled cavity (JHF, 972 MHz)

Single- and multi-cell SC cavities (1.3 GHz)

SC cavities in a cryostat (CERN LHC 400 MHz)

SC deflecting cavity (KEK-B, 508 MHz)

Asymmetric shape to split the two polarizations.

Summary RF Cavities

- The EM fields inside a hollow cavity are superpositions of homogeneous plane waves.
- When operating near an eigenfrequency, one can profit from a resonance phenomenon (with high *Q*).
- *R*-upon-*Q*, Shunt impedance and *Q* factor were are useful parameters, which can also be understood in an equivalent circuit.
- The perturbation method allows to estimate losses and sensitivity to tolerances.
- Many gaps can increase the effective impedance.