

SYNCHROTRON RADIATION AND ELECTRON DYNAMICS

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Radiation effects in electron storage rings

Average radiated power restored by RF

- Electron loses energy each turn
- RF cavities provide voltage to accelerate electrons back to the nominal energy

$$U_0 \cong 10^{-3} \text{ of } E_0$$

$$V_{RF} > U_0$$

Radiation damping

- Average rate of energy loss produces **DAMPING** of electron oscillations in all three degrees of freedom (if properly arranged!)

Quantum fluctuations

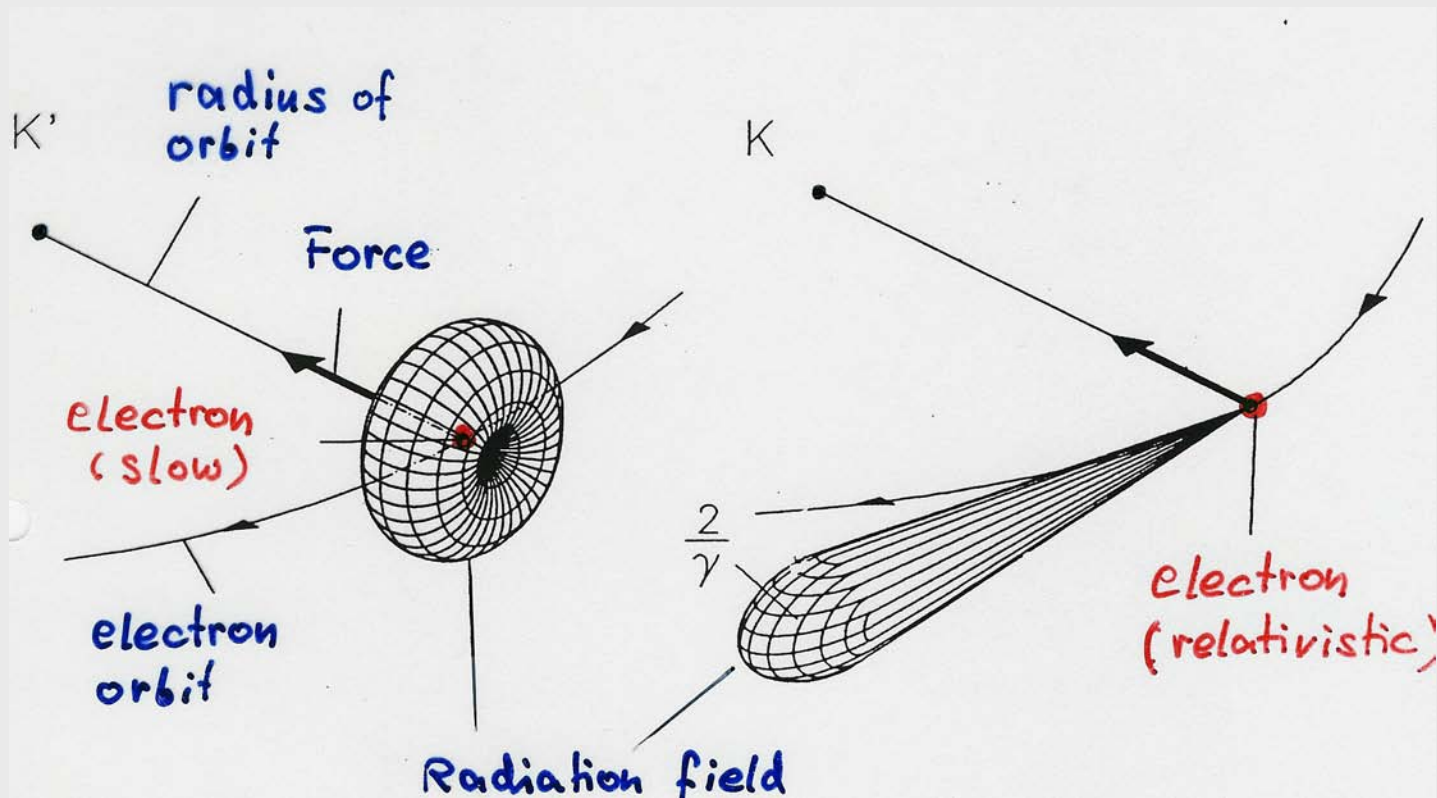
- Statistical fluctuations in energy loss (from quantised emission of radiation) produce **RANDOM EXCITATION** of these oscillations

Equilibrium distributions

- The balance between the damping and the excitation of the electron oscillations determines the equilibrium distribution of particles in the beam

Radiation is emitted into a narrow cone

$$\theta = \frac{1}{\gamma} \cdot \theta_e$$



$$v \ll c$$

$$v \approx c$$

Synchrotron radiation power

Power emitted is proportional to:

$$P \propto E^2 B^2$$

$$P_\gamma = \frac{c C_\gamma \cdot E^4}{2\pi \rho^2}$$

$$P_\gamma = \frac{2}{3} \alpha \hbar c^2 \cdot \frac{\gamma^4}{\rho^2}$$

$$C_\gamma = \frac{4\pi}{3} \frac{r_e}{(m_e c^2)^3} = 8.858 \cdot 10^{-5} \left[\frac{\text{m}}{\text{GeV}^3} \right]$$

$$\alpha = \frac{1}{137}$$

Energy loss per turn:

$$U_0 = C_\gamma \cdot \frac{E^4}{\rho}$$

$$\hbar c = 197 \text{ MeV} \cdot \text{fm}$$

$$U_0 = \frac{4\pi}{3} \alpha \hbar c \frac{\gamma^4}{\rho}$$

RADIATION DAMPING

TRANSVERSE OSCILLATIONS

Average energy loss and gain per turn

- Every turn electron radiates small amount of energy

$$E_1 = E_0 - U_0 = E_0 \left(1 - \frac{U_0}{E_0} \right)$$

- only the **amplitude** of the momentum changes

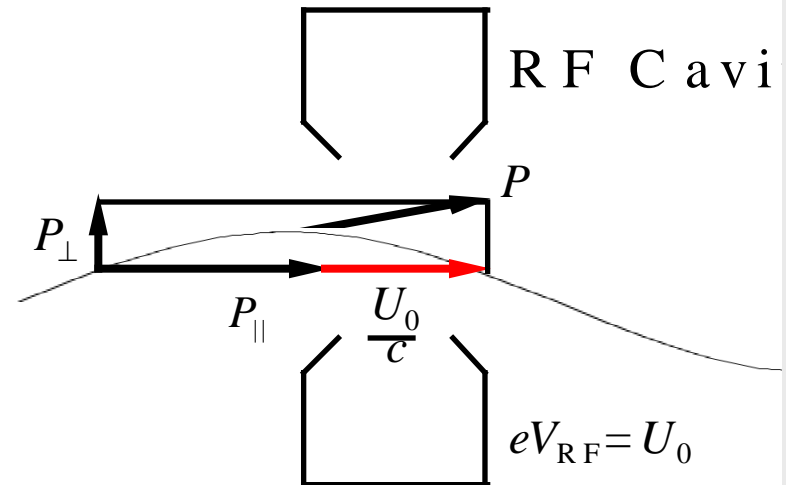
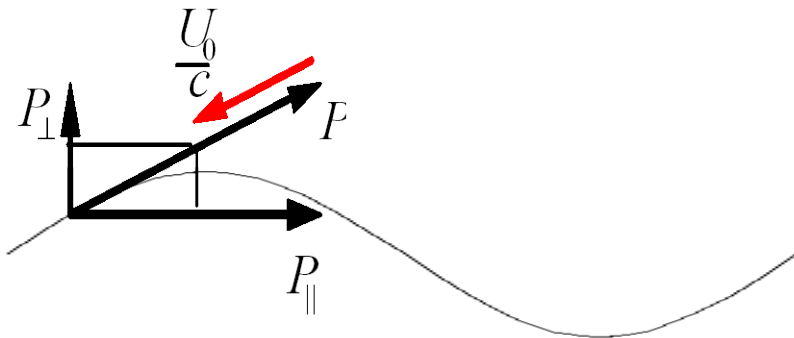
$$P_1 = P_0 - \frac{U_0}{c} = P_0 \left(1 - \frac{U_0}{E_0} \right)$$

- Only the longitudinal component of the momentum is increased in the RF cavity

- Energy of betatron oscillation

$$E_\beta \propto A^2$$

$$A_1^2 = A_0^2 \left(1 - \frac{U_0}{E_0} \right) \quad \text{or} \quad A_1 \cong A_0 \left(1 - \frac{U_0}{2E_0} \right)$$



Damping of vertical oscillations

- But this is just the exponential decay law!

$$\frac{\Delta A}{A} = -\frac{U_0}{2E}$$

$$A = A_0 \cdot e^{-t/\tau}$$

- The oscillations are exponentially **damped** with the **damping time (milliseconds!)**

$$\tau = \frac{2ET_0}{U_0}$$

the time it would take particle to 'lose all of its energy'

- In terms of radiation power

$$\tau = \frac{2E}{P_\gamma}$$

and since

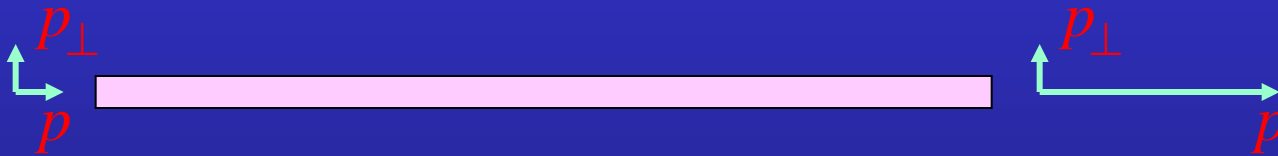
$$P_\gamma \propto E^4$$

$$\tau \propto \frac{1}{E^3}$$

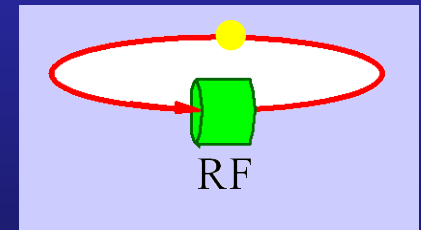
Adiabatic damping in linear accelerators

In a linear accelerator:

$$x' = \frac{p_{\perp}}{p} \text{ decreases } \propto \frac{1}{E}$$

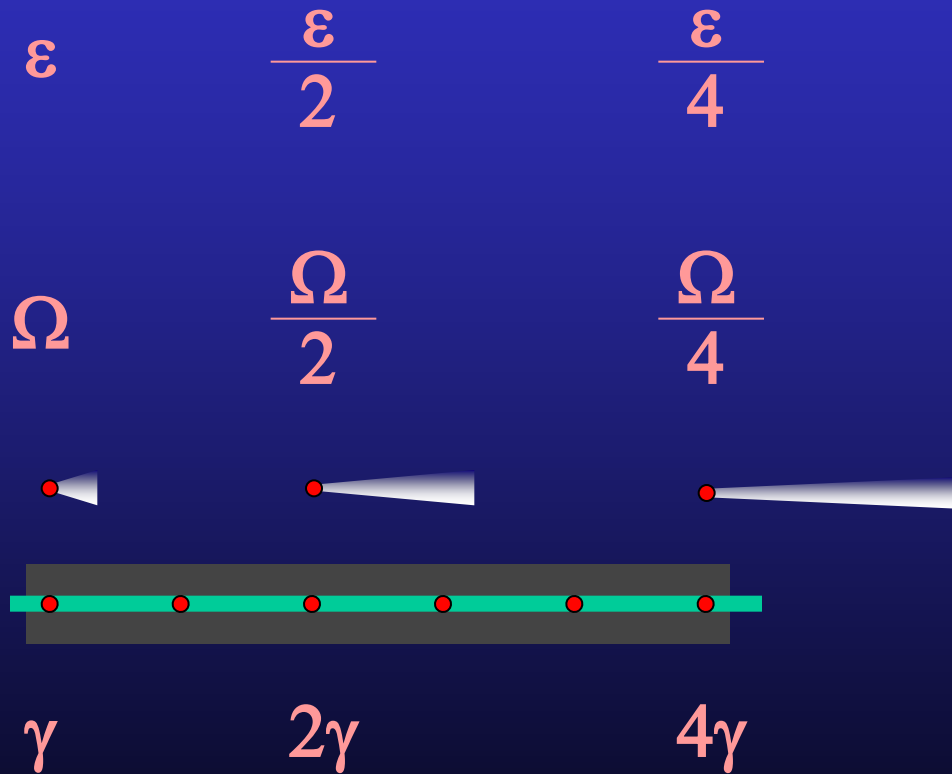


In a storage ring beam passes many times through same RF cavity



- Clean loss of energy every turn (no change in x')
- Every turn is re-accelerated by RF (x' is reduced)
- Particle energy on average remains constant

Emittance damping in linacs:



$$\varepsilon \propto \frac{1}{\gamma}$$

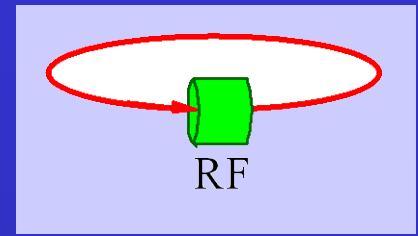
or

$$\gamma\varepsilon = \text{const.}$$

RADIATION DAMPING

LONGITUDINAL OSCILLATIONS

Longitudinal motion:
compensating radiation loss U_0



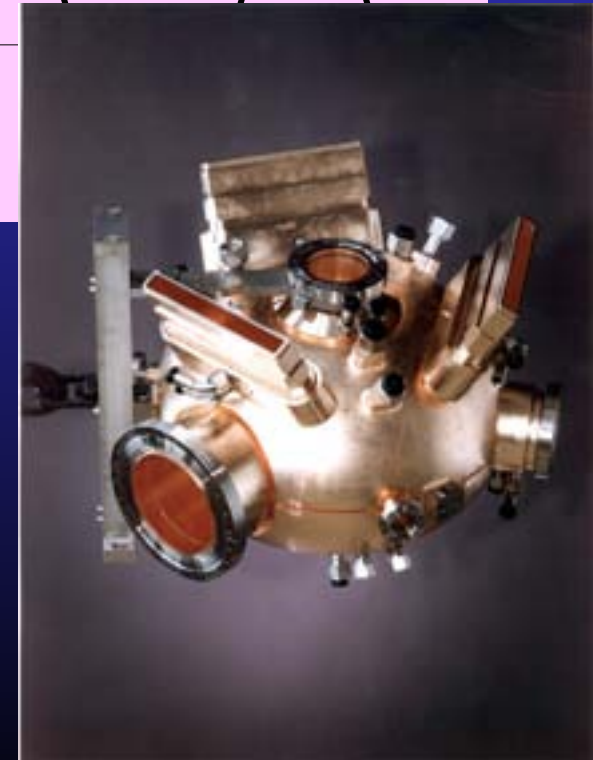
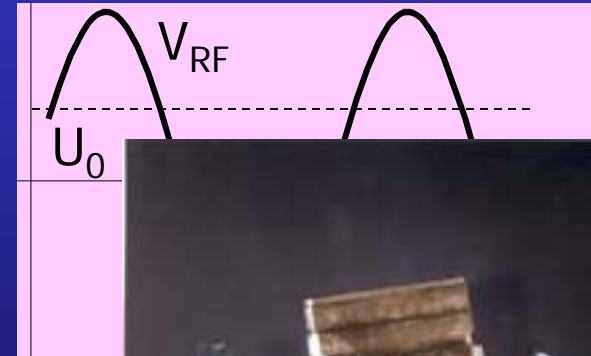
- RF cavity provides accelerating field with frequency
 - h – harmonic number

$$f_{RF} = h \cdot f_0$$

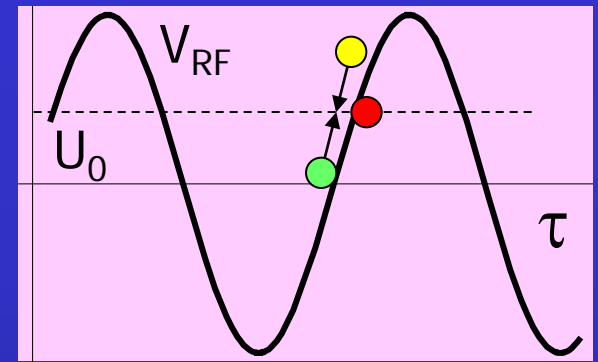
- The energy gain:

$$U_{RF} = eV_{RF}(\tau)$$

- Synchronous particle:
 - has design energy
 - gains from the RF on the average as much as it loses per turn U_0



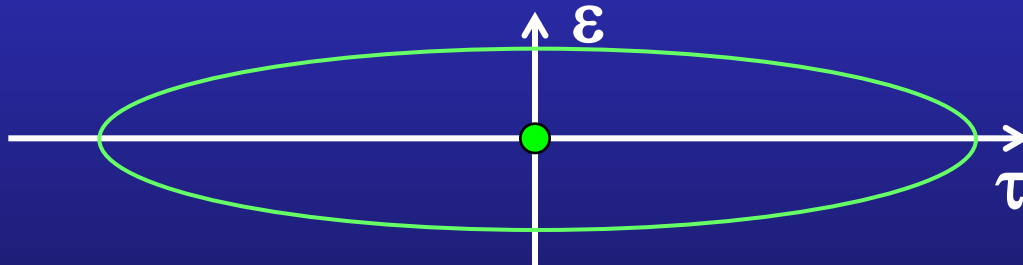
Longitudinal motion: phase stability



- Particle ahead of synchronous one
 - gets too much energy from the RF
 - goes on a longer orbit (not enough B)
>> takes longer to go around
 - comes back to the RF cavity closer to synchronous part.
- Particle behind the synchronous one
 - gets too little energy from the RF
 - goes on a shorter orbit (too much B)
 - catches-up with the synchronous particle

Longitudinal motion: energy-time oscillations

energy deviation from the design energy, or the energy of the synchronous particle



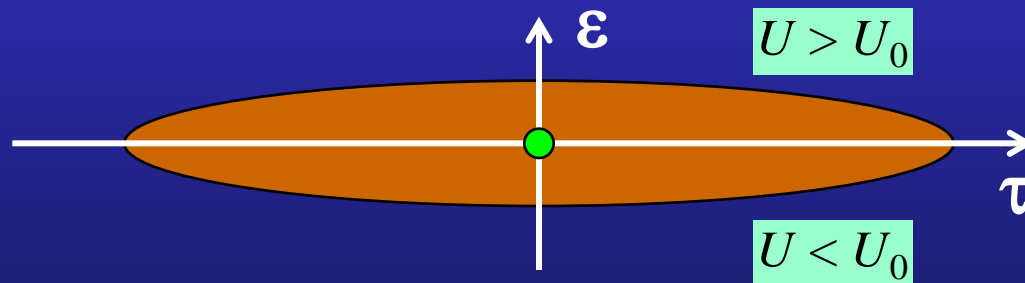
longitudinal coordinate measured from the position of the synchronous electron

Longitudinal motion: damping of synchrotron oscillations

$$P_{\gamma} \propto E^2 B^2$$

During one period of synchrotron oscillation:

- when the particle is in the upper half-plane, it loses more energy per turn, its energy gradually reduces



- when the particle is in the lower half-plane, it loses less energy per turn, but receives U_0 on the average, so its energy deviation gradually reduces

The synchrotron motion is damped

- the phase space trajectory is spiraling towards the origin

Robinson theorem: Damping partition numbers

- Transverse betatron oscillations are damped with
- Synchrotron oscillations are damped twice as fast
- The total amount of damping (Robinson theorem) depends only on energy and loss per turn

$$\tau_x = \tau_z = \frac{2ET_0}{U_0}$$

$$\tau_\varepsilon = \frac{ET_0}{U_0}$$

$$\frac{1}{\tau_x} + \frac{1}{\tau_y} + \frac{1}{\tau_\varepsilon} = \frac{2U_0}{ET_0} = \frac{U_0}{2ET_0}(J_x + J_y + J_\varepsilon)$$

the sum of the partition numbers

$$J_x + J_z + J_\varepsilon = 4$$

Displaced off the design orbit particle sees fields that are different from design values

- **energy deviation ε**

- different energy: $P_{\gamma} \propto E^2$

- different magnetic field **B**

particle moves on a different orbit, defined by the **off-energy** or **dispersion** function D_x

both contribute to linear term in $P_{\gamma}(\varepsilon)$

- **betatron oscillations**: zero on *average*

Radiation loss

$$P_{\gamma} \propto E^2 B^2$$

To first order in ε

$$\mathbf{U}_{\text{rad}} = \mathbf{U}_0 + \mathbf{U}' \cdot \varepsilon$$

electron energy changes slowly, at any instant it is moving on an orbit defined by \mathbf{D}_x

$$\mathbf{U}' \equiv \left. \frac{d\mathbf{U}_{\text{rad}}}{dE} \right|_{E_0}$$

after some algebra one can write

$$U' = \frac{U_0}{E_0} (2 + \mathcal{D})$$

$$\mathcal{D} \neq 0 \quad \text{only when} \quad \frac{k}{\rho} \neq 0$$

Damping partition numbers

$$J_x + J_z + J_\varepsilon = 4$$

- Typically we build rings with no vertical dispersion

$$J_z = 1$$

$$J_x + J_\varepsilon = 3$$

- Horizontal and energy partition numbers can be modified via \mathcal{D} :

$$J_x = 1 - \mathcal{D}$$

$$J_\varepsilon = 2 + \mathcal{D}$$

- Use of combined function magnets
- Shift the equilibrium orbit in quads with RF frequency

EQUILIBRIUM BEAM SIZES

Quantum nature of synchrotron radiation

Damping only

- If damping was the whole story, the beam emittance (size) would shrink to microscopic dimensions!
- Lots of problems! (e.g. **coherent radiation**)

Quantum fluctuations

- Because the radiation is emitted in quanta, radiation itself takes care of the problem!
- It is sufficient to use quasi-classical picture:
 - » *Emission time is very short*
 - » *Emission times are statistically independent*
(each emission - only a small change in electron energy)

Purely stochastic (Poisson) process

Visible quantum effects

I have always been somewhat amazed that a purely quantum effect can have gross macroscopic effects in large machines;

and, even more,

that Planck's constant has just the right magnitude needed to make practical the construction of large electron storage rings.

A significantly larger or smaller value of

A square icon containing the symbol for Planck's constant, \hbar , in a black serif font.

would have posed serious -- perhaps insurmountable -- problems for the realization of large rings.

Mathew Sands

Quantum excitation of energy oscillations

Photons are emitted with typical energy $u_{ph} \approx \hbar\omega_{typ} = \hbar c \frac{\gamma^3}{\rho}$
at the rate (photons/second) $\mathcal{N} = \frac{P_\gamma}{u_{ph}}$

Fluctuations in this rate excite oscillations

During a small interval Δt electron emits photons

losing energy of

Actually, because of fluctuations, the number is

resulting in **spread in energy loss**

$$N = \mathcal{N} \cdot \Delta t$$

$$N \cdot u_{ph}$$

$$N \pm \sqrt{N}$$

$$\pm \sqrt{N} \cdot u_{ph}$$

For large time intervals RF compensates the energy loss, providing damping towards the design energy E_0

Steady state: typical deviations from E_0
 \approx typical fluctuations in energy during a damping time τ_ϵ

Equilibrium energy spread: rough estimate

We then expect the rms energy spread to be

$$\sigma_\varepsilon \approx \sqrt{N \cdot \tau_\varepsilon \cdot u_{ph}}$$

and since

$$\tau_\varepsilon \approx \frac{E_0}{P_\gamma}$$

and

$$P_\gamma = N \cdot u_{ph}$$

$$\sigma_\varepsilon \approx \sqrt{E_0 \cdot u_{ph}}$$

geometric mean of the electron and photon energies!

Relative energy spread can be written then as:

$$\frac{\sigma_\varepsilon}{E_0} \approx \gamma \sqrt{\frac{\lambda_e}{\rho}}$$

$$\lambda_e = \frac{\hbar}{m_e c} \approx 4 \cdot 10^{-13} m$$

it is roughly constant for all rings

• typically

$$\rho \propto E^2$$

$$\frac{\sigma_\varepsilon}{E_0} \sim \text{const} \sim 10^{-3}$$

Equilibrium energy spread

More detailed calculations give

- for the case of an 'isomagnetic' lattice

$$\rho(s) = \begin{cases} \rho_0 & \text{in dipoles} \\ \infty & \text{elsewhere} \end{cases}$$

$$\left(\frac{\sigma_\varepsilon}{E}\right)^2 = \frac{C_q E^2}{J_\varepsilon \rho_0}$$

with

$$C_q = \frac{55}{32\sqrt{3}} \frac{\hbar c}{(m_e c^2)^3} = 1.468 \cdot 10^{-6} \left[\frac{\text{m}}{\text{GeV}^2} \right]$$

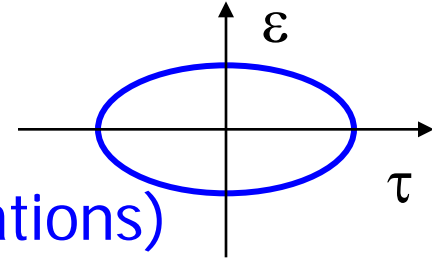
It is difficult to obtain energy spread $< 0.1\%$

- limit on undulator brightness!

Equilibrium bunch length

Bunch length is related to the energy spread

- Energy deviation and time of arrival (or position along the bunch) are **conjugate variables** (synchrotron oscillations)



$$\hat{\tau} = \frac{\alpha}{\Omega_s} \left(\frac{\hat{\varepsilon}}{E} \right)$$

- recall that

$$\Omega_s \propto \sqrt{V_{RF}}$$

$$\sigma_\tau = \frac{\alpha}{\Omega_s} \left(\frac{\sigma_\varepsilon}{E} \right)$$

Two ways to obtain **short bunches**:

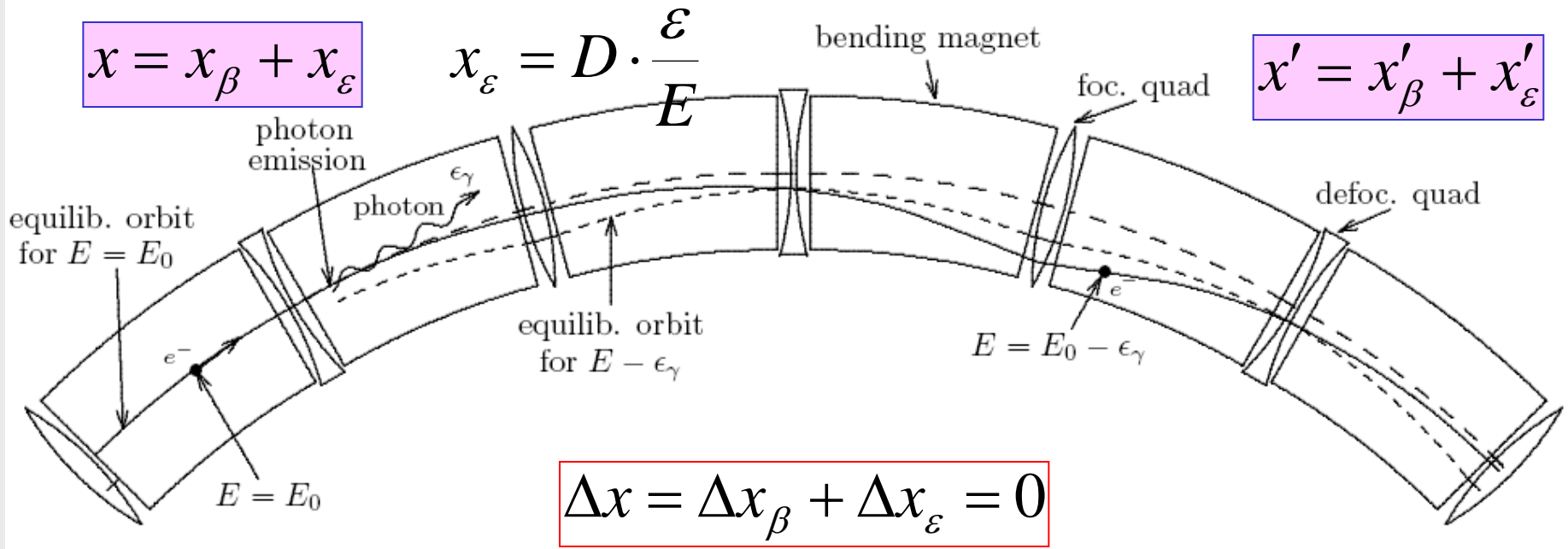
- RF voltage (power!)

$$\sigma_\tau \propto \frac{1}{\sqrt{V_{RF}}}$$

- Momentum compaction factor in the limit of $\alpha = 0$
isochronous ring: particle position along the bunch is frozen

$$\sigma_\tau \propto \alpha$$

Excitation of betatron oscillations



$$\Delta x_\beta = -D \cdot \frac{\varepsilon_\gamma}{E}$$

Courant Snyder invariant

$$\Delta x'_\beta = -D' \cdot \frac{\varepsilon_\gamma}{E}$$

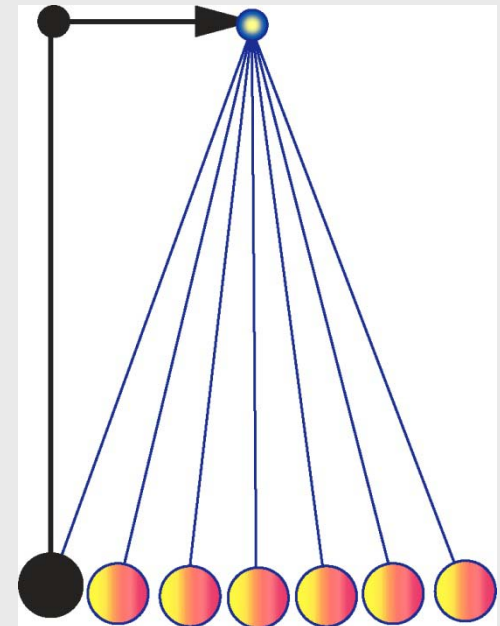
$$\Delta \varepsilon = \gamma \Delta x_\beta^2 + 2\alpha \Delta x_\beta \Delta x'_\beta + \beta \Delta x'^2_\beta = \left[\gamma D^2 + 2\alpha D D' + \beta D'^2 \right] \cdot \left(\frac{\varepsilon_\gamma}{E} \right)^2$$

Excitation of betatron oscillations

Electron emitting a photon

- at a place with **non-zero dispersion**
- starts a betatron oscillation around a new reference orbit

$$x_{\beta} \approx D \cdot \frac{\varepsilon_{\gamma}}{E}$$



Horizontal oscillations: equilibrium

Emission of photons is a random process

- Again we have **random walk**, now in **x**. How far particle will wander away is limited by the radiation damping
- The balance is achieved on the time scale of the damping time $\tau_x = 2 \tau_\varepsilon$

$$\sigma_{x\beta} \approx \sqrt{\mathcal{N} \cdot \tau_x} \cdot D \cdot \frac{\varepsilon_\gamma}{E} = \sqrt{2} \cdot D \cdot \frac{\sigma_\varepsilon}{E}$$

- Typical horizontal beam size ~ 1 mm

Quantum effect visible to the naked eye!

- **Vertical** size - determined by coupling

Beam emittance

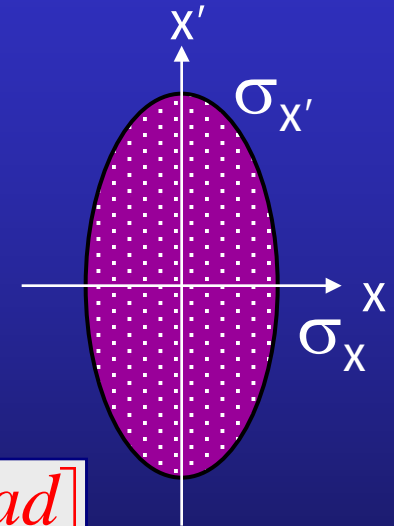
Betatron oscillations

- Particles in the beam execute betatron oscillations with different amplitudes.

Transverse beam distribution

- Gaussian (electrons)
- "Typical" particle: 1 - σ ellipse
(in a place where $\alpha = \beta' = 0$)

$$\text{Area} = \pi \cdot \varepsilon$$



Units of ε [*m · rad*]

$$\text{Emittance} \equiv \frac{\sigma_x^2}{\beta}$$

$$\sigma_x = \sqrt{\varepsilon \beta}$$

$$\sigma_{x'} = \sqrt{\varepsilon / \beta}$$

$$\varepsilon = \sigma_x \cdot \sigma_{x'}$$

$$\beta = \frac{\sigma_x}{\sigma_{x'}}$$

Equilibrium horizontal emittance

Detailed calculations for isomagnetic lattice

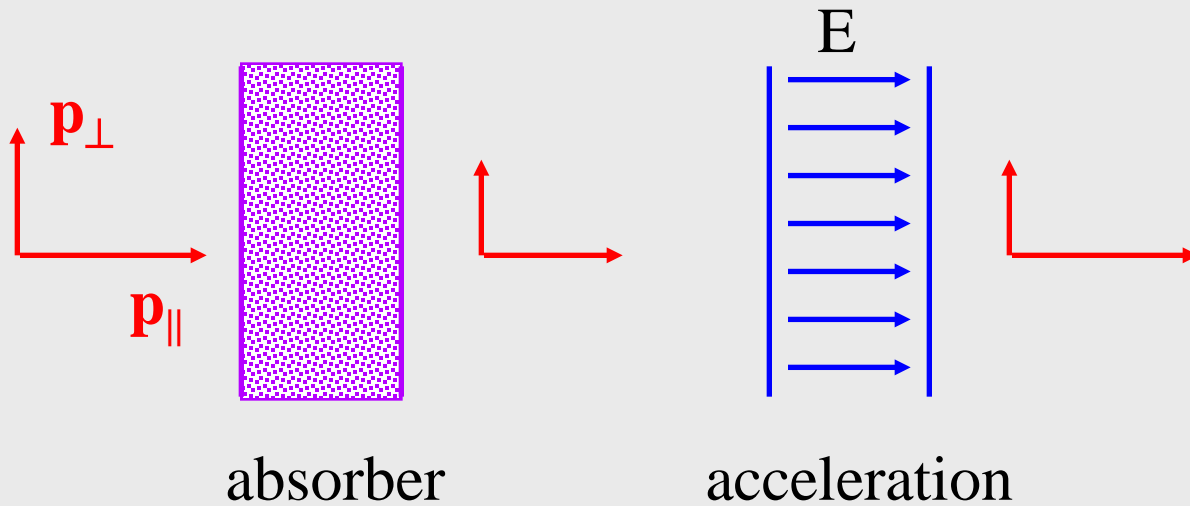
$$\varepsilon_{x0} \equiv \frac{\sigma_{x\beta}^2}{\beta} = \frac{C_q E^2}{J_x} \cdot \frac{\langle \mathcal{H} \rangle_{mag}}{\rho}$$

where

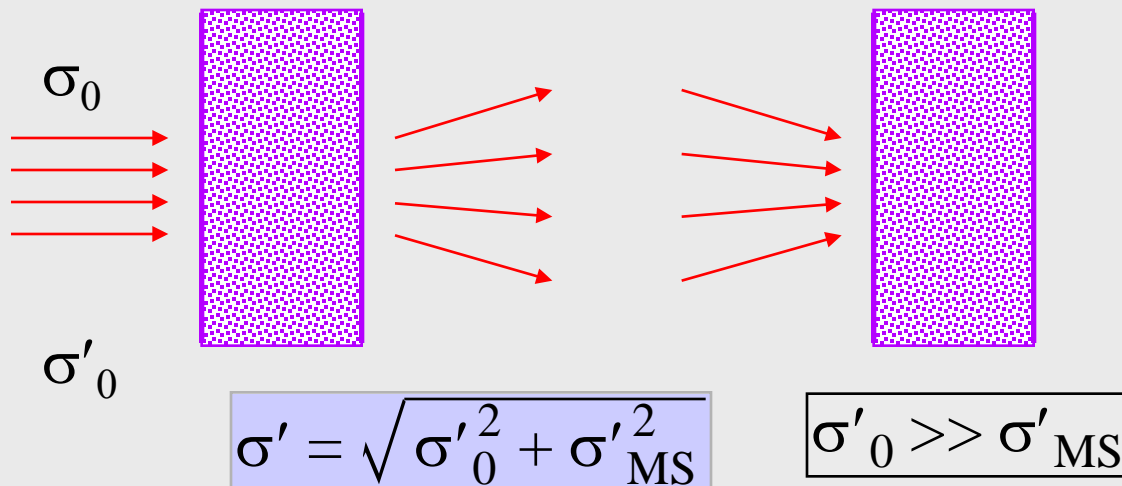
$$\begin{aligned} \mathcal{H} &= \gamma D^2 + 2\alpha D D' + \beta D'^2 \\ &= \frac{1}{\beta} [D^2 + (\beta D' + \alpha D)^2] \end{aligned}$$

and $\langle \mathcal{H} \rangle_{mag}$ is average value in the bending magnets

Ionization cooling



similar to radiation damping, but there is multiple scattering in the absorber that blows up the emittance



to minimize the blow up due to multiple scattering in the absorber we can **focus** the beam

Summary of radiation integrals

Momentum compaction factor

$$\alpha = \frac{I_1}{2\pi R}$$

Energy loss per turn

$$U_0 = \frac{1}{2\pi} C_\gamma E^4 \cdot I_2$$

$$I_1 = \oint \frac{D}{\rho} ds$$

$$I_2 = \oint \frac{ds}{\rho^2}$$

$$I_3 = \oint \frac{ds}{|\rho^3|}$$

$$I_4 = \oint \frac{D}{\rho} \left(2k + \frac{1}{\rho^2} \right) ds$$

$$I_5 = \oint \frac{\mathcal{H}}{|\rho^3|} ds$$

$$C_\gamma = \frac{4\pi}{3} \frac{r_e}{(m_e c^2)^3} = 8.858 \cdot 10^{-5} \left[\frac{\text{m}}{\text{GeV}^3} \right]$$

Summary of radiation integrals (2)

Damping parameter

$$\mathcal{D} = \frac{I_4}{I_2}$$

Damping times, partition numbers

$$J_\varepsilon = 2 + \mathcal{D}, \quad J_x = 1 - \mathcal{D}, \quad J_y = 1$$

$$\tau_i = \frac{\tau_0}{J_i}$$

$$\tau_0 = \frac{2ET_0}{U_0}$$

Equilibrium energy spread

$$\left(\frac{\sigma_\varepsilon}{E}\right)^2 = \frac{C_q E^2}{J_\varepsilon} \cdot \frac{I_3}{I_2}$$

Equilibrium emittance

$$\varepsilon_{x0} = \frac{\sigma_{x\beta}^2}{\beta} = \frac{C_q E^2}{J_x} \cdot \frac{I_5}{I_2}$$

$$I_1 = \oint \frac{D}{\rho} ds$$

$$I_2 = \oint \frac{ds}{\rho^2}$$

$$I_3 = \oint \frac{ds}{|\rho^3|}$$

$$I_4 = \oint \frac{D}{\rho} \left(2k + \frac{1}{\rho^2}\right) ds$$

$$I_5 = \oint \frac{\mathcal{H}}{|\rho^3|} ds$$

$$C_q = \frac{55}{32\sqrt{3}} \frac{\hbar c}{(m_e c^2)^3} = 1.468 \cdot 10^{-6} \left[\frac{\text{m}}{\text{GeV}^2} \right]$$

$$\mathcal{H} = \gamma D^2 + 2\alpha DD' + \beta D'^2$$

Damping wigglers

Increase the radiation loss per turn U_0 with WIGGLERS

- reduce damping time
- emittance control

$$\tau = \frac{E}{P_\gamma + P_{wig}}$$

wigglers at high dispersion: **blow-up emittance**
e.g. storage ring colliders for high energy physics

wigglers at zero dispersion: **decrease emittance**

e.g. damping rings for linear colliders

e.g. synchrotron light sources (PETRAIII, 1 nm.rad)

END