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ENERGY**

Spectroscopic Anomaly Detection and Isotope Identification using Non-Negative Matrix Factorization and Application to AWE SIGMA Challenge Data

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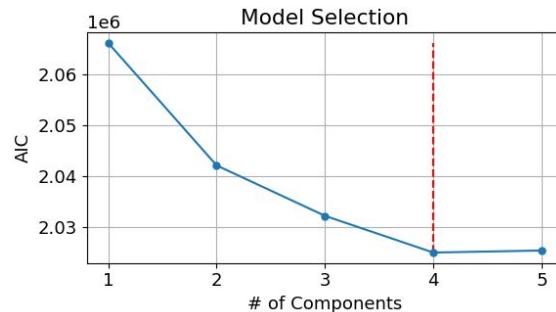
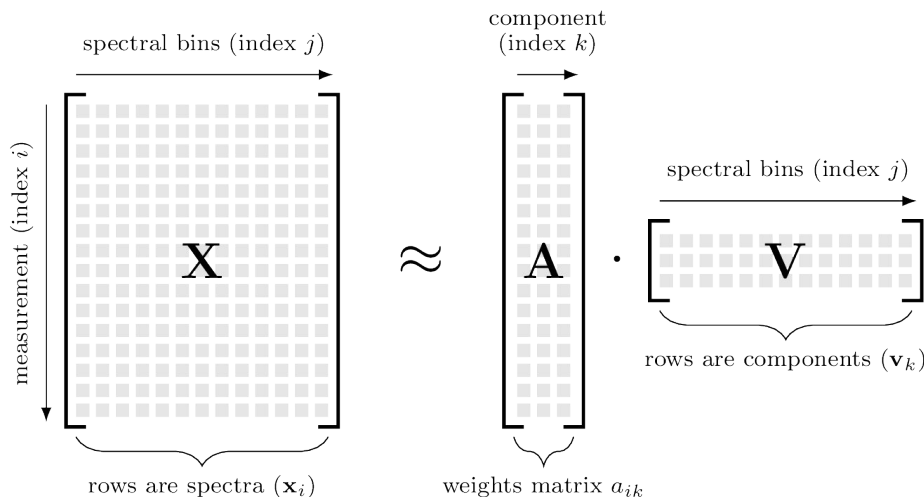
NuSec Annual Technical Workshop 2023
October 9th, 2023

1. Non-negative matrix factorization (NMF)
 - a. Overview
 - b. Previous work
2. Analysis of AWE SIGMA Challenge Data
 - a. Data Quality
 - b. Background Models
 - c. Interesting Medicals
 - d. Broader Uses of Analysis
 - e. Comments on Dataset

NMF

Non-negative matrix factorization (NMF) - Overview

- A background model \mathbf{V} is a low-rank representation of a list of benign measurements \mathbf{X}
 - Model selection with AIC
- Non-negativity constraint allows for a physically intuitive understanding [1]
- Detection: Difference between fit successes
 - Negative Log Likelihood loss between background, background + template
 - Threshold estimated Chi-Squared distribution
- Identification: Maximum loss statistic when alarm is triggered
 - Which template improved the fit the most?



[1]: Lee, D., Seung, H. Nature (1999) DOI: <https://doi.org/10.1038/44565>

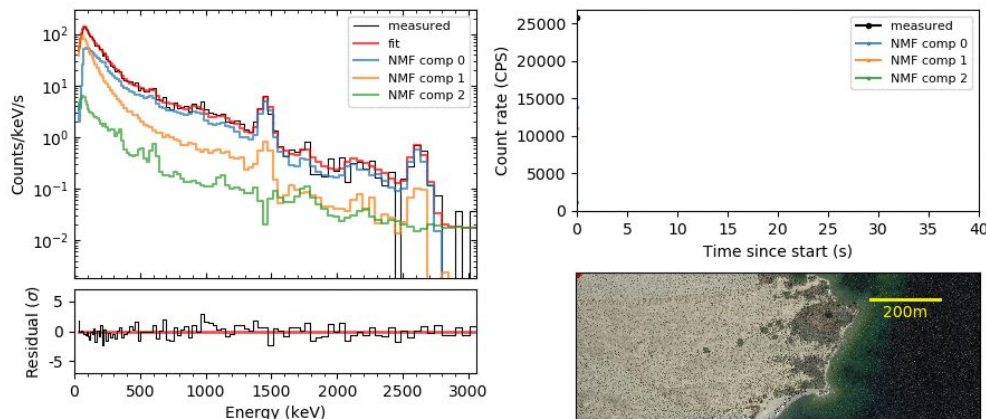
- Mobile Detectors

- Traverse several environments in single run
- Complex and evolving backgrounds
- Future work, RADAI, “Adaptive” NMF

- Static Detectors

- Expect stable background environments, with some variability due to hardware gain shifts and atmospheric fluctuations
- A few components, simple background and rain

Nal detector data from Aerial Measurement System (AMS) at Lake Mohave, NV



- “Distant terrestrial” decreases first
- “Nearby terrestrial” decreases later
- “Radon/cosmics/aircraft” remains approximately constant



M.S. Bandstra et al., IEEE TNS (2020) DOI: [10.1109/TNS.2020.2978798](https://doi.org/10.1109/TNS.2020.2978798)

Mobile Nal (12 detectors)

Integration time = 1 s

FAR = 1/8 hours

What does “rain” look like in these models?

- Characteristic gamma energies for “rain component”
- Characteristic count rate trend

Rn-226 Progeny Lines

Pb-214:

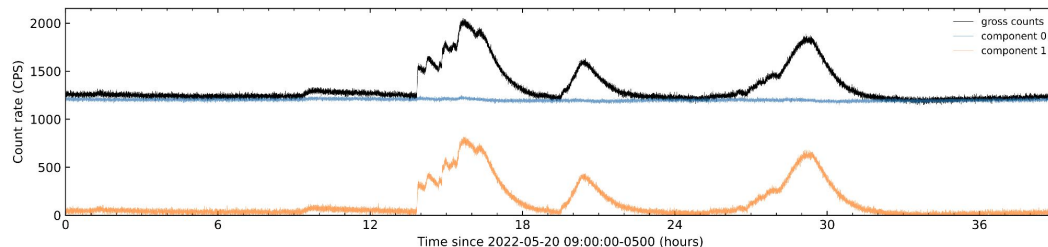
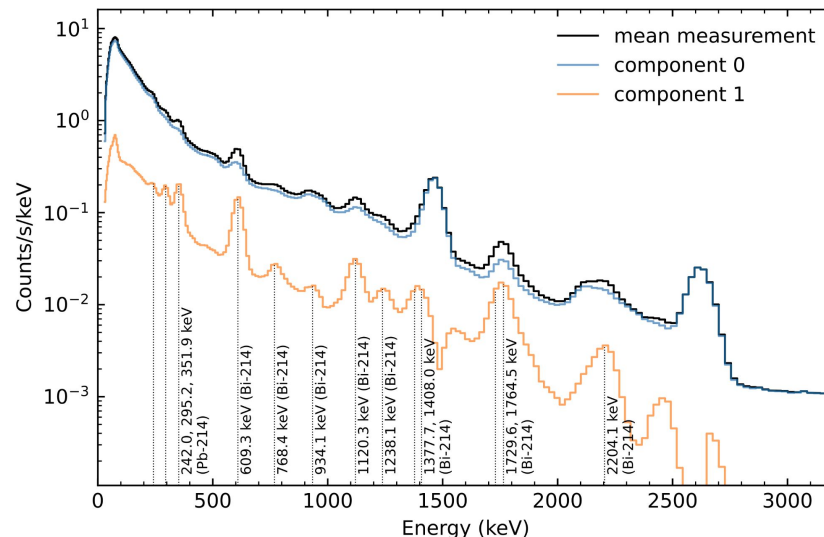
- 242.0keV
- 295.2keV
- 352keV

Static NaI (Not AWE)
Integration Time = 5s

Bi-214

- 609keV — Bi-214
- 1120.3keV — Bi-214
- 1764keV — Bi-214

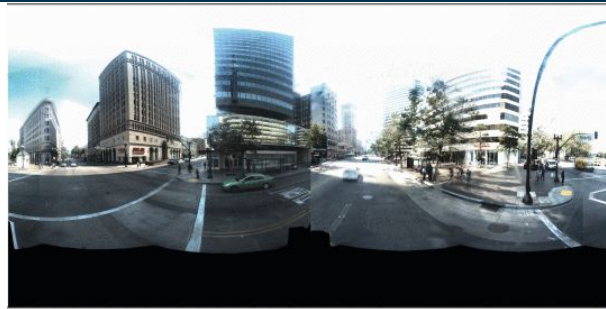
M.S. Bandstra et al. (2023) [arXiv:2304.01336](https://arxiv.org/abs/2304.01336)



Can other real-world features be captured by NMF?

- Work from 2019 demonstrated correlations between NMF components and semantic features from video
- Helps pave the way for multi-sensor contextual scene data fusion

M. S. Bandstra et al., IEEE (NSS/MIC),
DOI: [10.1109/NSS/MIC42101.2019.9059803](https://doi.org/10.1109/NSS/MIC42101.2019.9059803).



Previous Work- Improved Detection

- Work from 2019 demonstrating improved performance using NMF over PCA, ROI algorithms

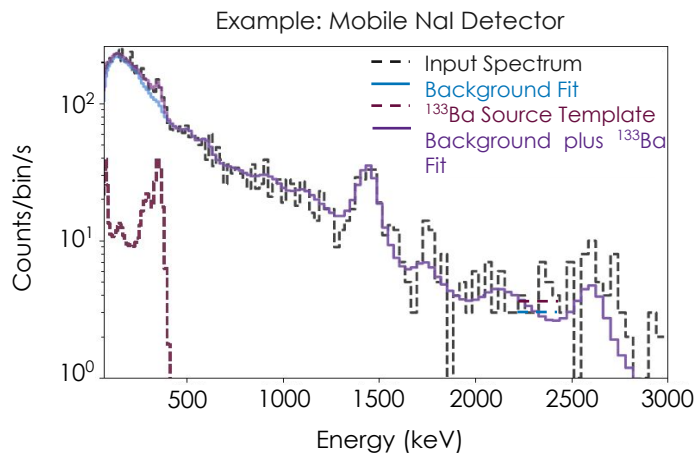
Mobile NaI

Standoff = 20 m

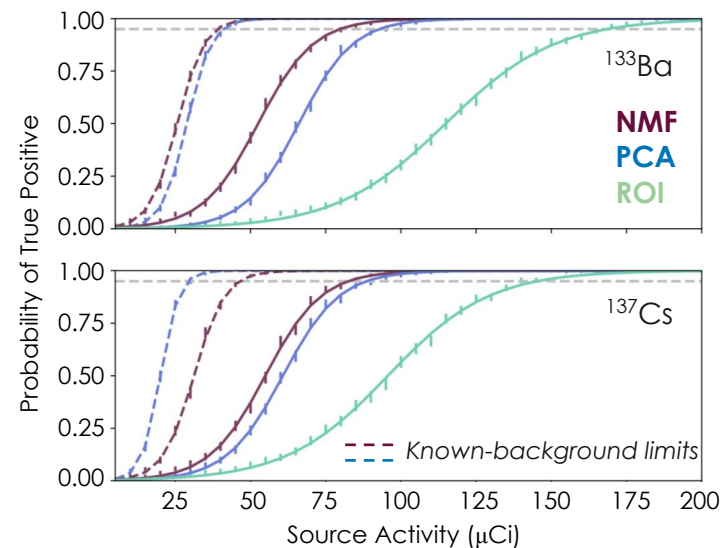
Integration time = 1 s

FAR = 1/8 hours

Anomaly Detection Performance

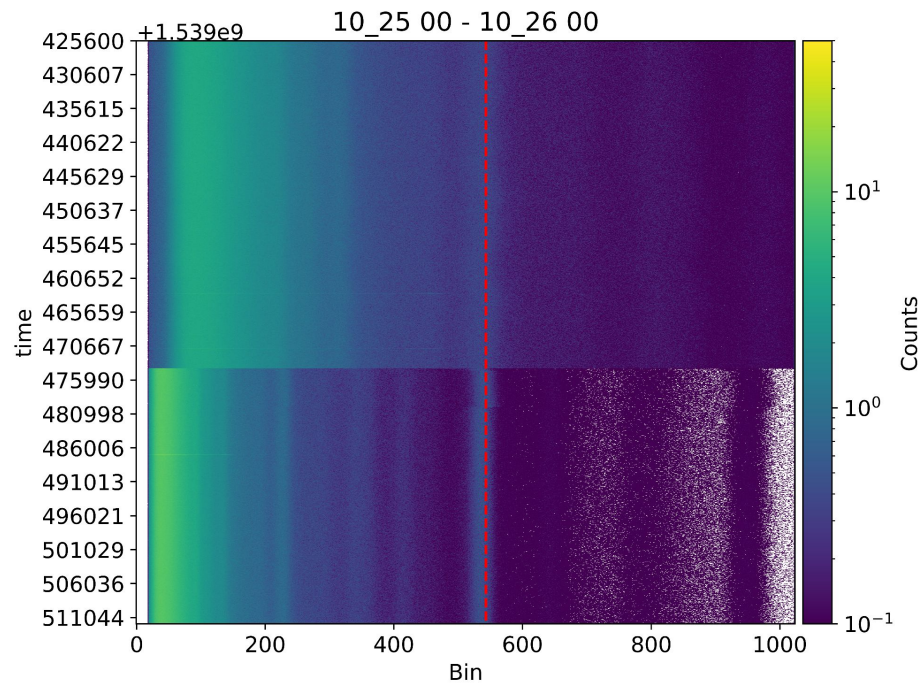
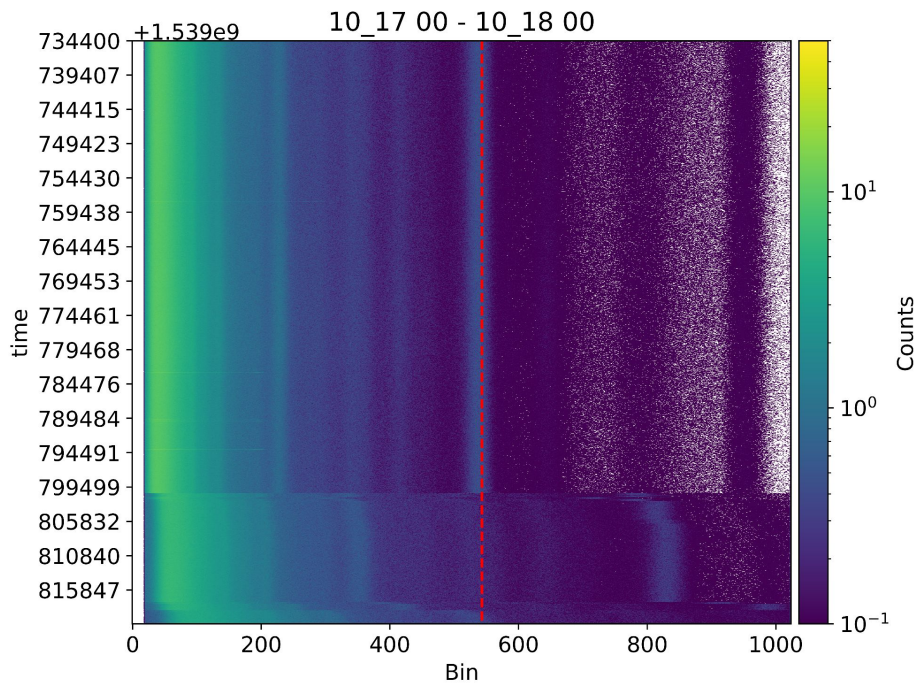


K.J. Bilton *et al.*, IEEE TNS (2019), DOI: [10.1109/TNS.2019.2907267](https://doi.org/10.1109/TNS.2019.2907267)

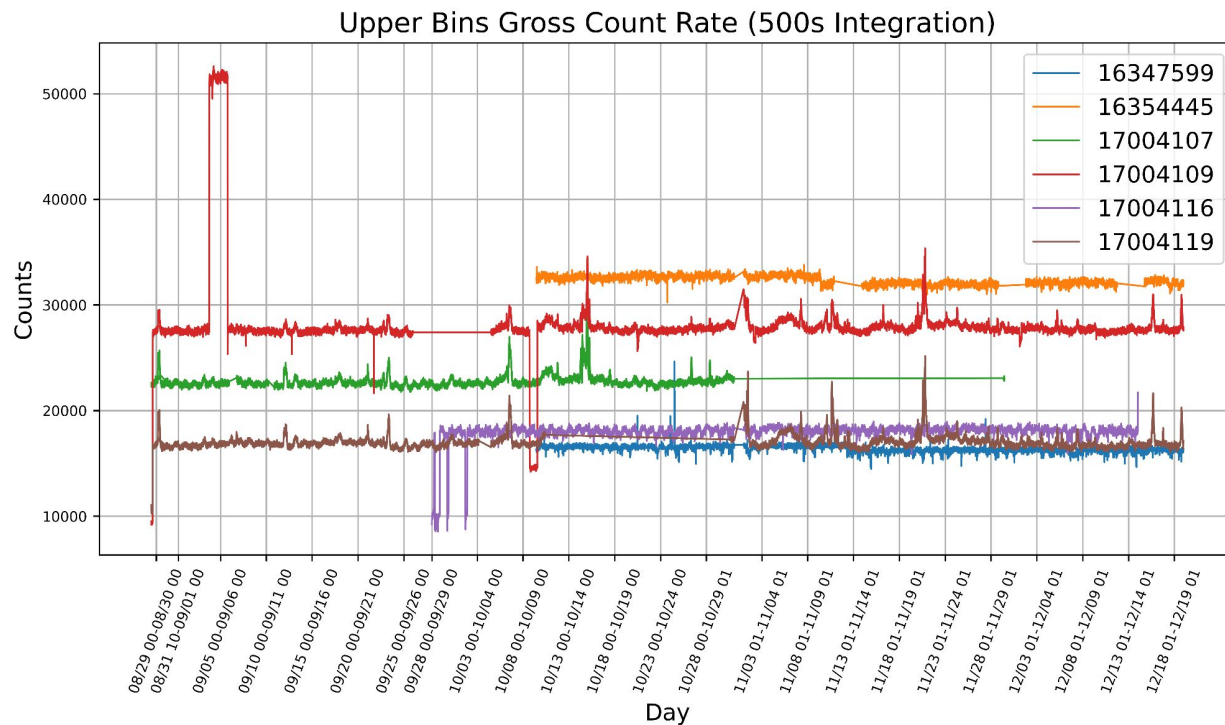


Analysis of AWE SIGMA Challenge Data

digibase-RH 15307402



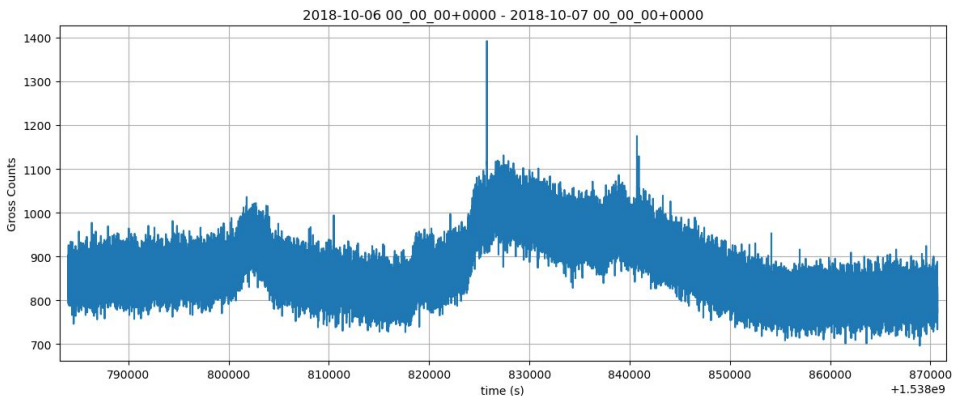
- Proxy calibration measurement:
 - look at gross counts in upper bins
- Some notable gain shifts
- Diurnal trends
- Data will need to be calibrated to use NMF



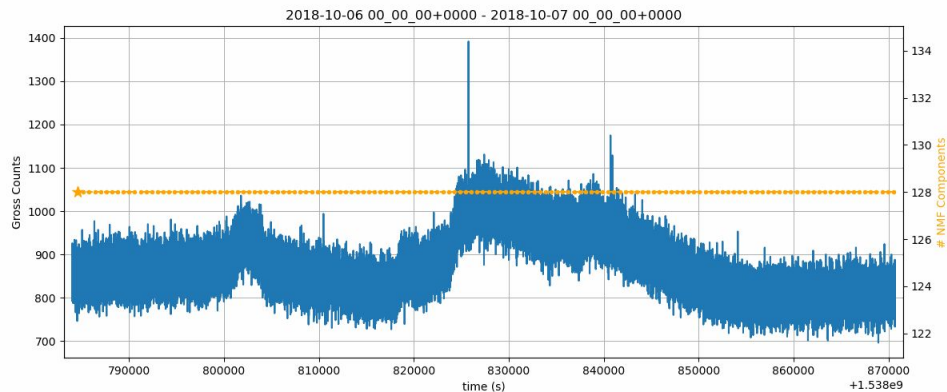
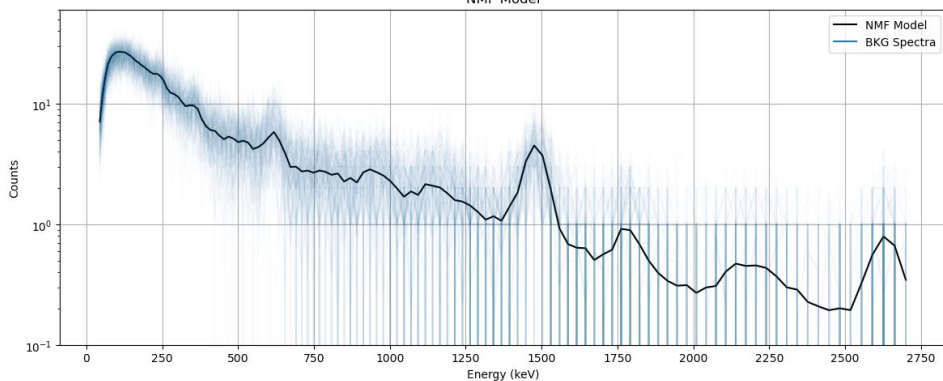
Conclusion:

- NaI data is generally stable
- Will need further calibration to run NMF optimally

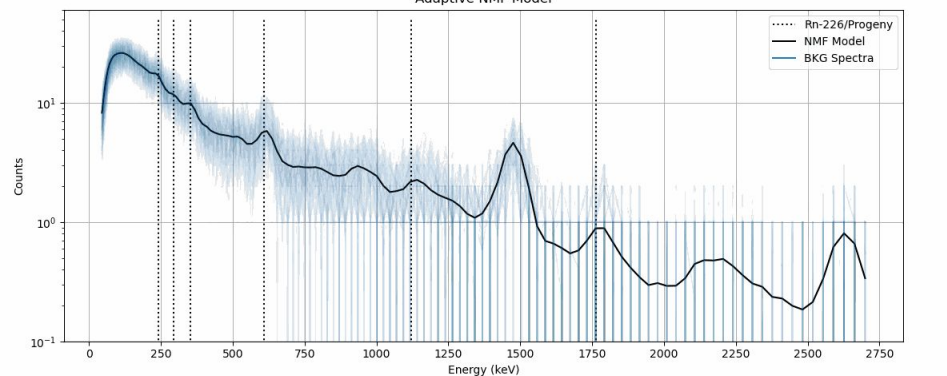
digiBASE-RH 15307402



NMF Model

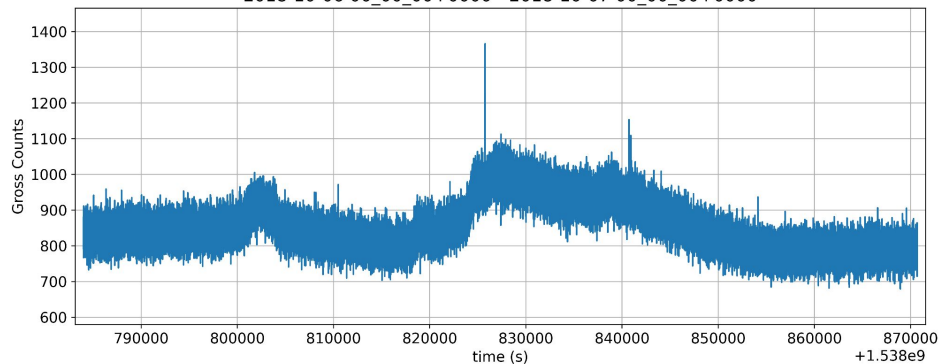


Adaptive NMF Model

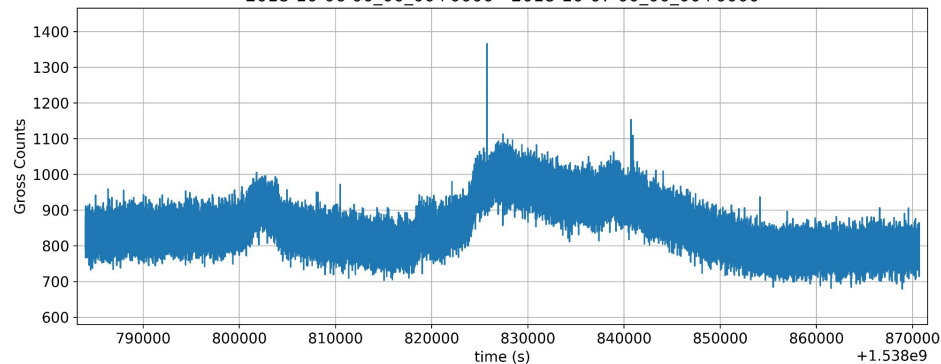


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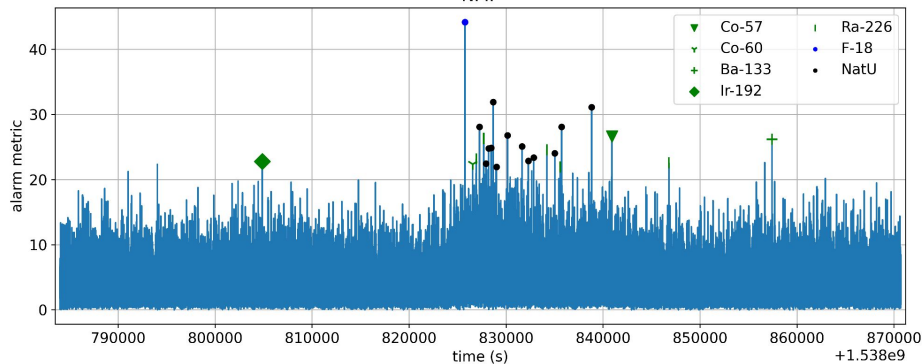
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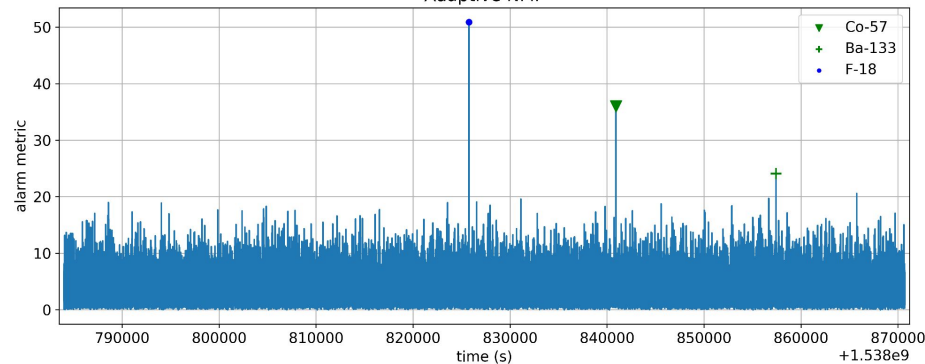
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NMF

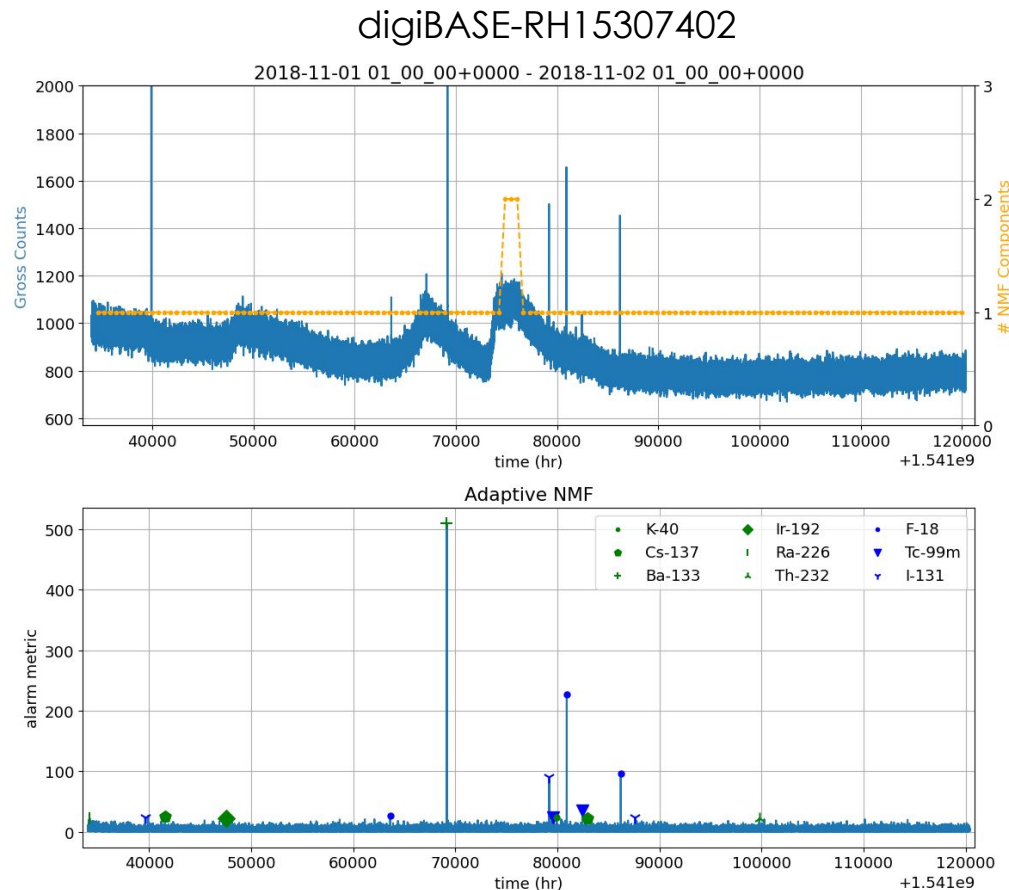


Adaptive NMF



- Sometimes rain produces enough spectral variability that a NMF model with two components is preferable according to our AIC

Does the new component show the expected characteristic lines?



Rn-226 Progeny Lines

Pb-214:

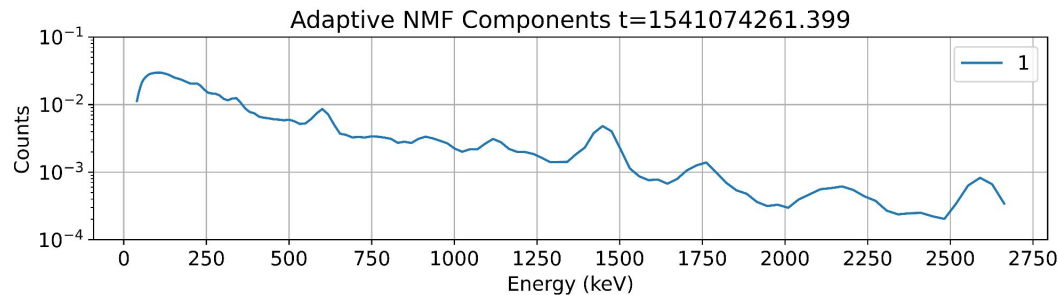
- 242.0keV
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Bi-214

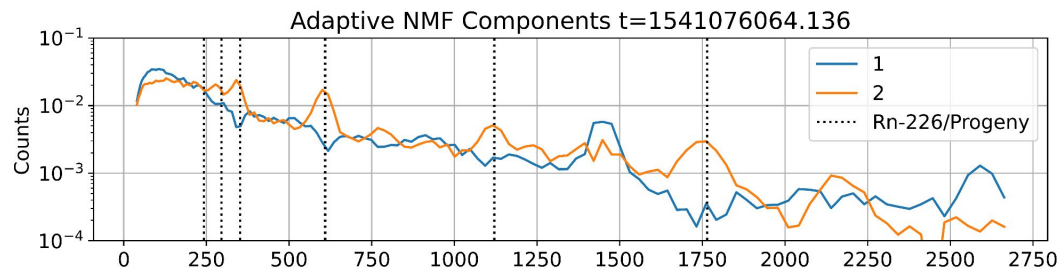
- 609keV — Bi-214
- 1120.3keV — Bi-214
- 1764keV — Bi-214

With this in mind, what do results look like?

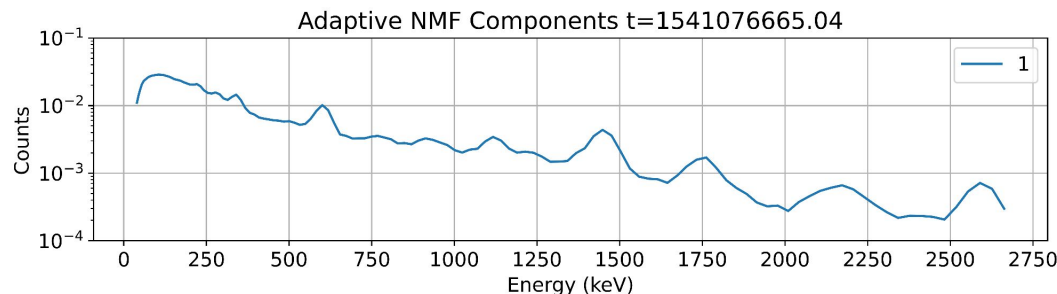
Before



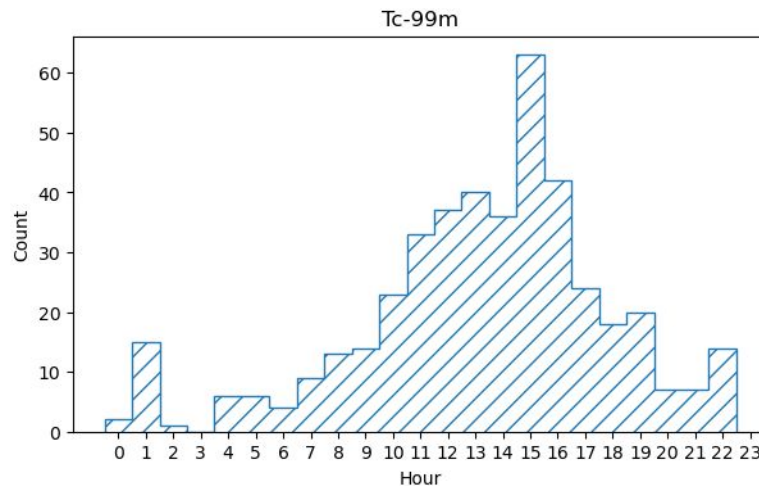
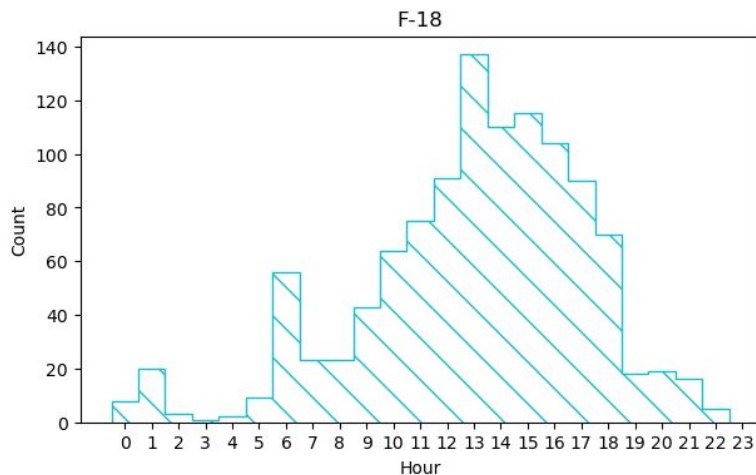
During



After



- Anomaly encounters aggregated from 5 detectors over ~3 months
Observations likely associated with individuals in receipt of nuclear medical procedures
- Distributions are generally consistent with previous analysis of static detectors in (US) urban environments
- Individual detector distributions may reveal finer 'pattern-of-life' information



- Can serve as a performance baseline to judge other detection/identification algorithms if adjudications are made available
- Example of performance in unrestricted urban environment
- NMF framework allows operators to visually assess alarms and background variability

Main Takeaways:

- Large and interesting real-world data set!
- NaI data is fairly stable, though improved calibration required for optimal performance of NMF techniques
- Variety of relevant detector locations such as hospitals and high-density tourist /cultural sites provide rich avenues for investigation
- Potential for network science methods with simultaneous nodes
- Weather events provide engaging spectroscopic challenges

Future Avenues for Development

- Labeled source encounters
- Calibrated data
- Scrubbed data
- Injectable source encounters for range of isotopes

This work was performed under the auspices of the U.S. Department of Energy by Lawrence Berkeley National Laboratory (LBNL) under Contract DE-AC02-05CH11231. The project was funded by the U.S. Department of Energy, National Nuclear Security Administration, Office of Defense Nuclear Nonproliferation Research and Development.

Extra Slides!

Previous Work- Improved Interpretability

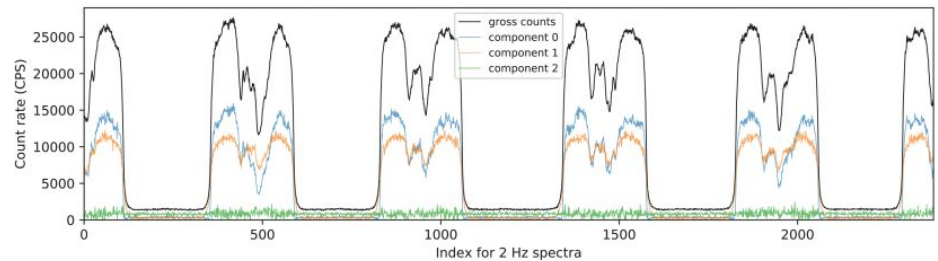
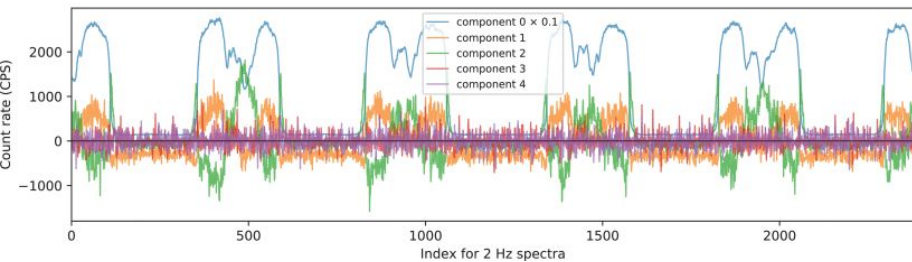
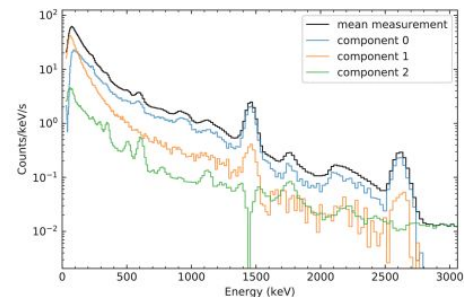
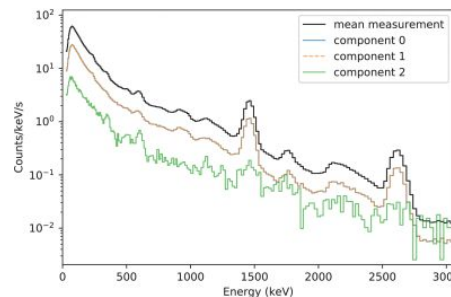
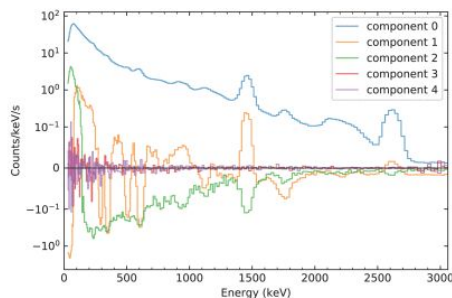
- Work from 2020 highlights improved physical interpretation of NMF over NASVD
 - Data taken from 12 NaI detectors

Mobile NaI (12 detectors)

Integration time = 1 s

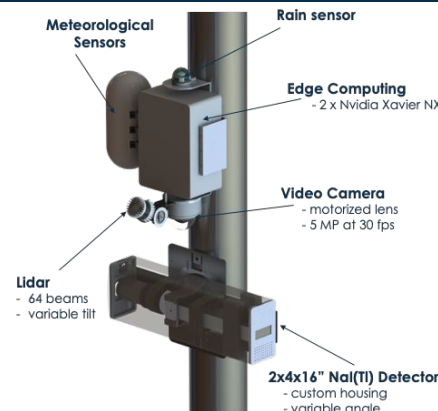
FAR = 1/8 hours

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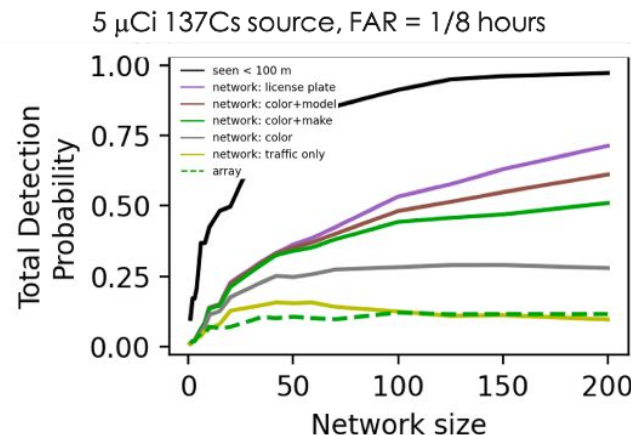


Previous Work- Networked Detector Systems

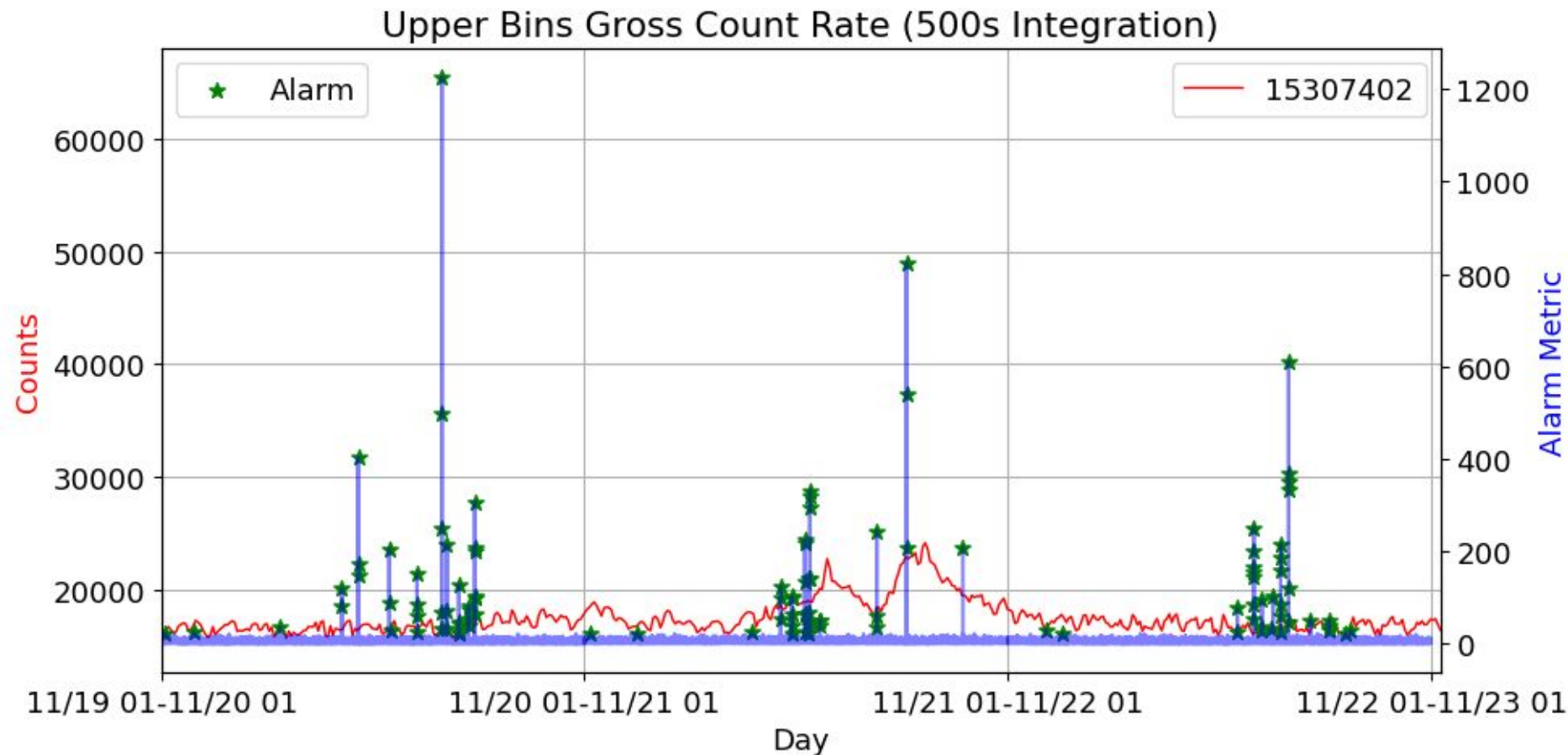
- Developing static sensor network in urban Chicago, US to test intelligent networked contextual detector systems
 - Hardware:
 - 2"x4"x16" NaI detectors with temperature-correction hardware
 - 5MP 30hz Video, 64-beam LIDAR with 90° vertical FOV, microphone, rain/humidity/pressure sensors, two Nvidia edge computers
 - Node Level:
 - Spectral data continuously calibrated with a set of templates for single isotopes, series, cosmics
 - Detection and Identification performed with modified NMF
 - Cloud Level (in development):
 - NMF refitting, contextual data fusion, object re-detection, statistically informed source tracking



R.J. Cooper et al 2023 J. Phys.: Conf. Ser. 2586 012125



Data Quality- Non-Plateau Spikes

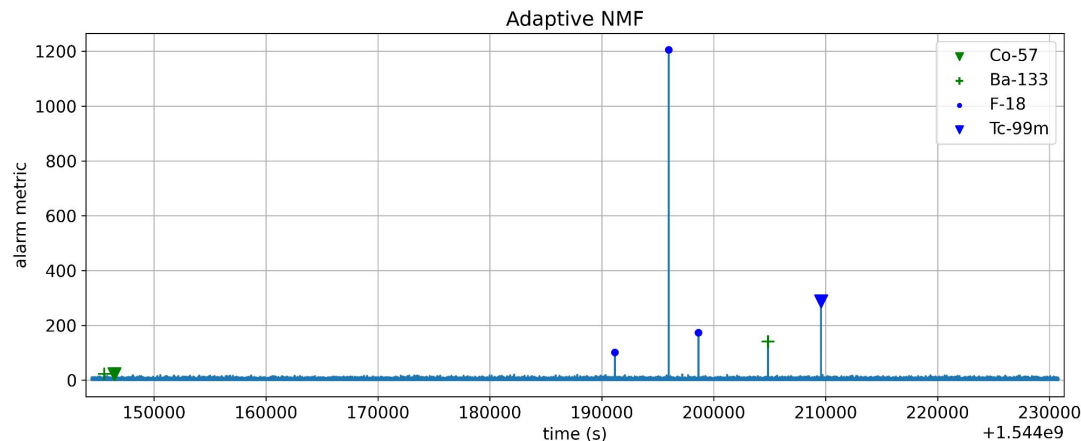
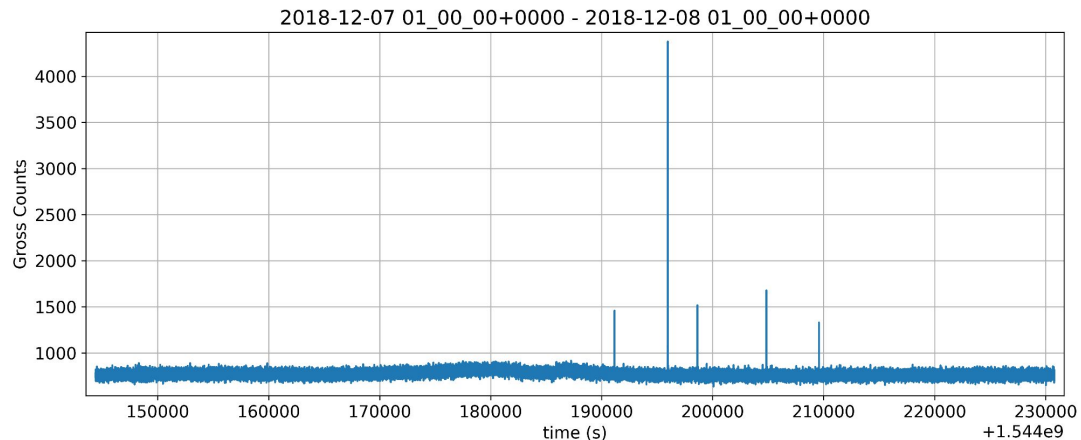


Example Analysis of AWE SIGMA Challenge Data

- NMF is trained on subset of apparently background data from second or third day
- FAR set at 1/8hrs
- Adaptive NMF refit every 600 spectra (10mins, at collection rate 1Hz)

Primarily basic (Ba-133, Ir-192) and medical isotopes observed (Co-57, F-18, Tc-99m)

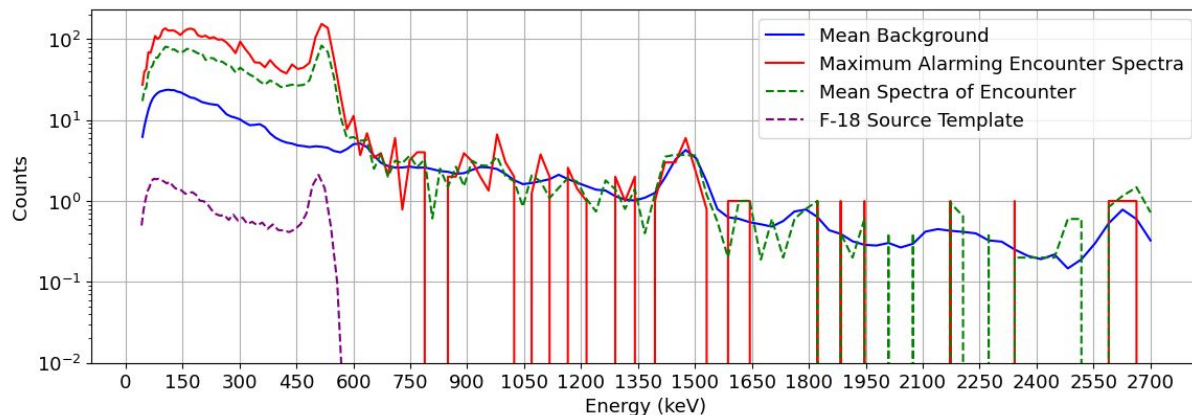
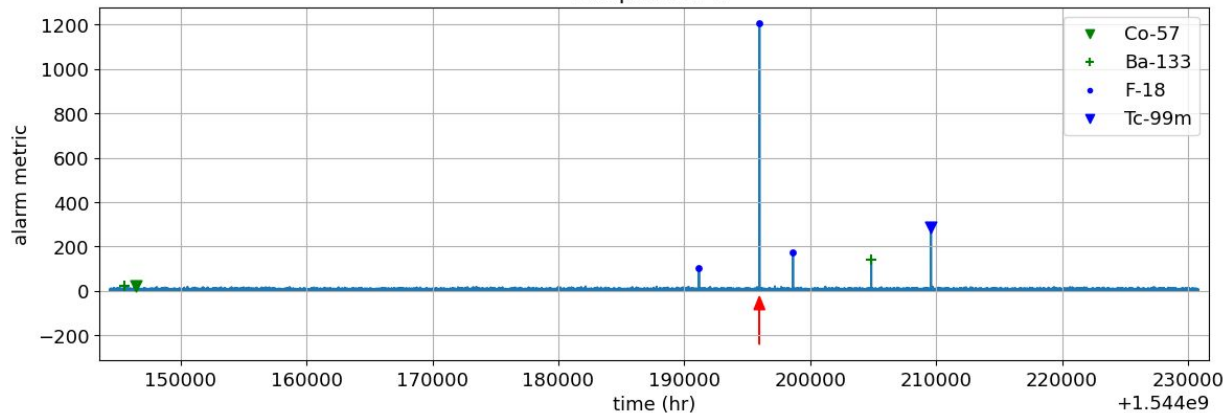
Example: Detector 15307402



Example Analysis of AWE SIGMA Challenge Data

t=1544195965: F-18

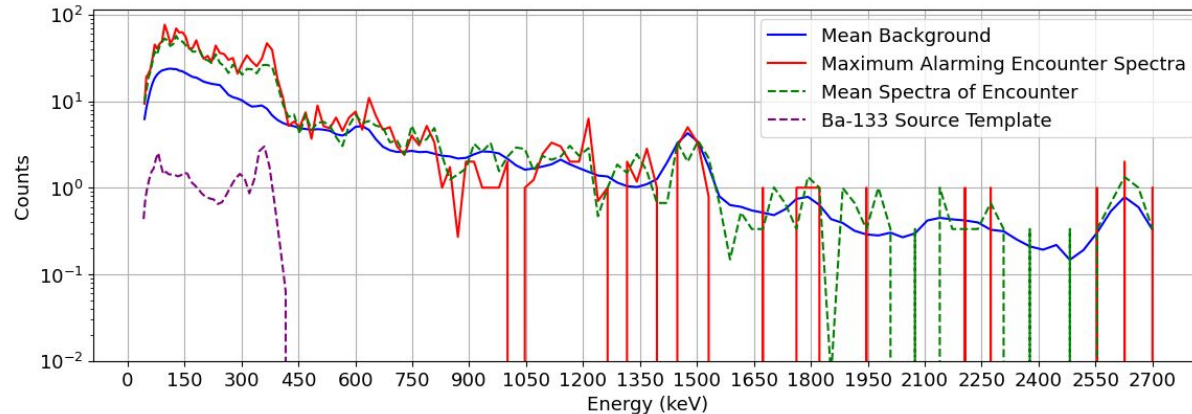
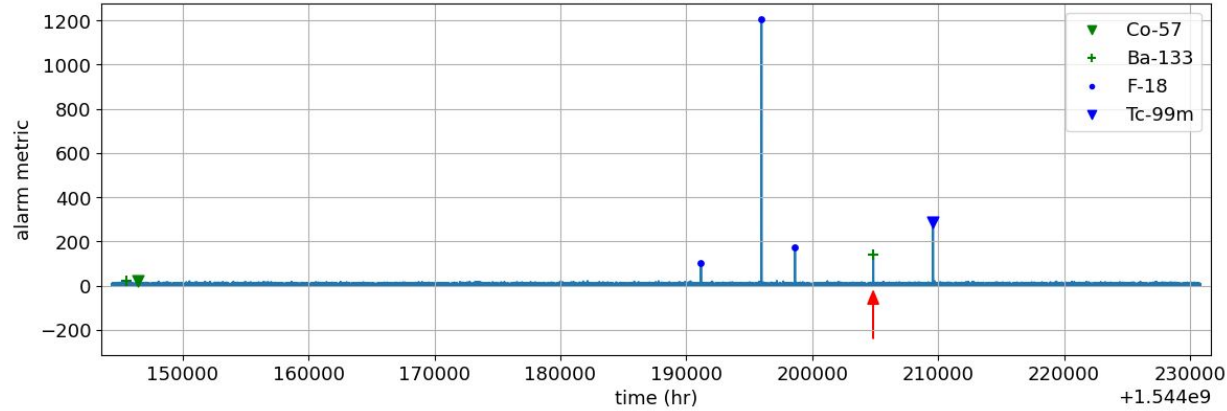
Adaptive NMF



Example Analysis of AWE SIGMA Challenge Data

t=1544204841: Ba-133

Adaptive NMF



Example Analysis of AWE SIGMA Challenge Data

t=1544209582: Tc-99m

Adaptive NMF

