

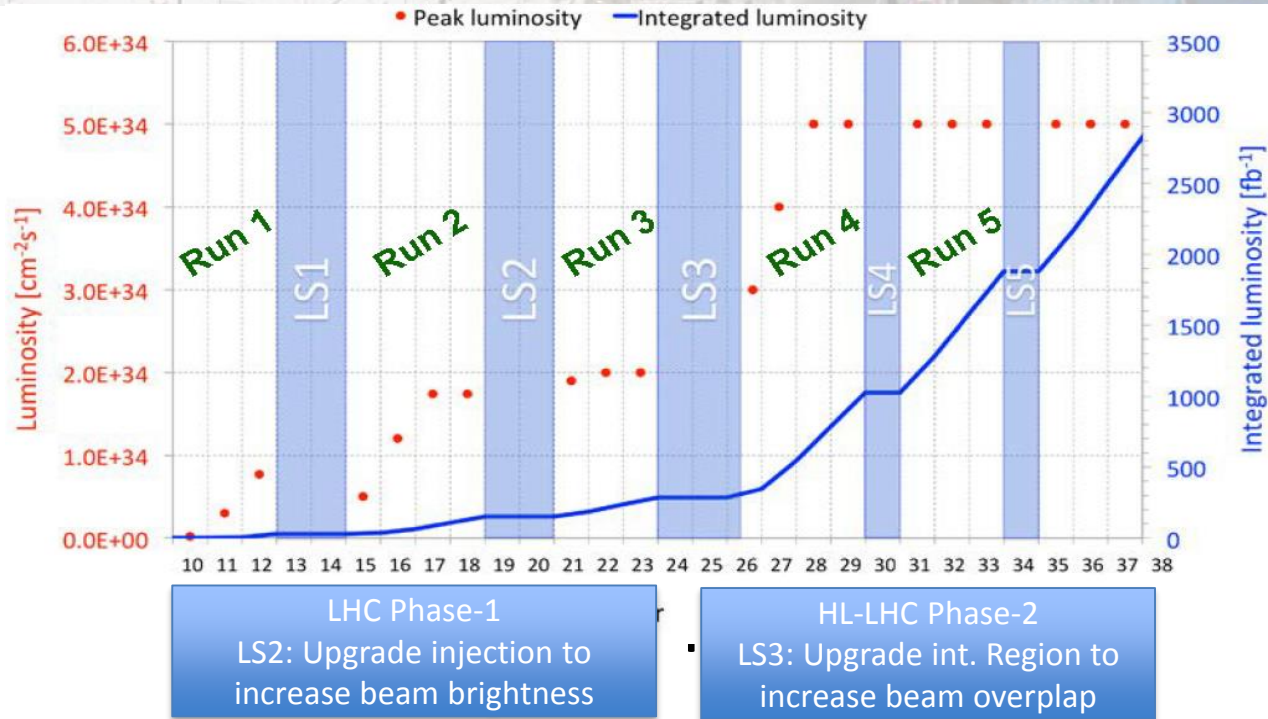
# The upgrade of the CMS Muon Spectrometer for HL-LHC

Anna Colaleo - INFN-Bari

7th ENHEP School on High Energy Physics  
26-31 January 2019  
Ain Shams University



# CMS Upgrade towards HL-LHC



PU ~200

### CMS Upgrades

- New tracker (4 pixel layers +3 disks)
- New trigger/DAQ:
  - Track information at L1 with extended trigger latency (from 3.2 total of 12.5  $\mu$ s)
  - Increased L1 bandwidth from 100 kHz to 750 kHz
- Calorimeters with higher granularity
- Upgrade of Muon system

}  $|\eta| < 3$



# Phase-2 Muon Upgrade overview

Plan for operation in the future decades requires three elements in the Muon upgrade:

## 1. Upgrades of the existing muon detectors

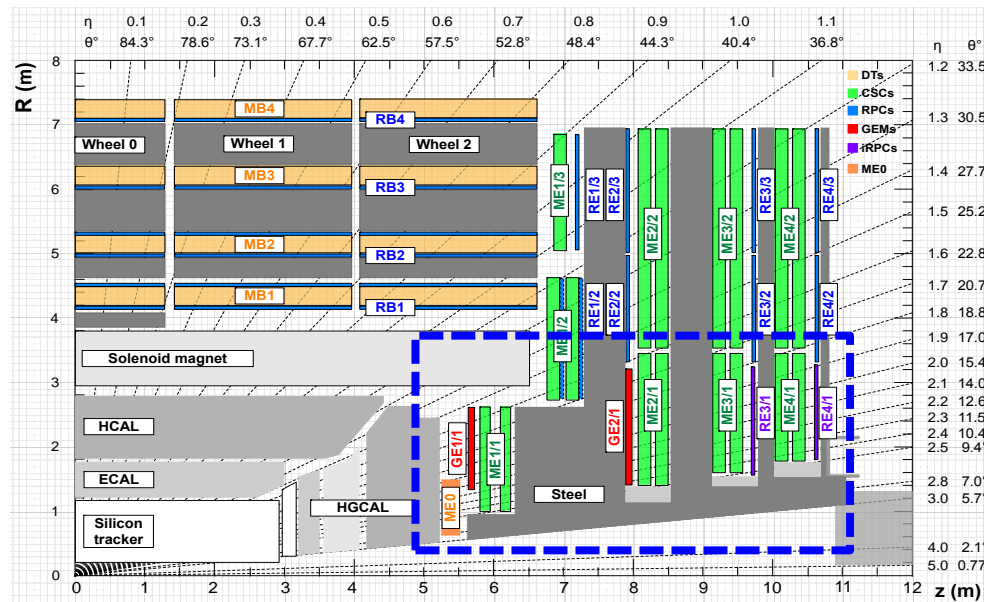
- Assess longevity of current detectors/electronics to withstand at HL-LHC
- Upgrade electronics to ensure longevity, cope with trigger and readout requirements

## 2. Enhancement of the forward region $1.6 < |\eta| < 2.4$ :

- Additional GEM and RPC layers with new technology for improved triggering, background rejection.

## 3. Extension of coverage to the very forward region $2.0 < |\eta| < 2.8$ :

- new ME0 detector using GEM technology for triggering and tagging: extra coverage and reduced physics backgrounds from “lost leptons”





## 1. Upgrades of the existing muon detectors

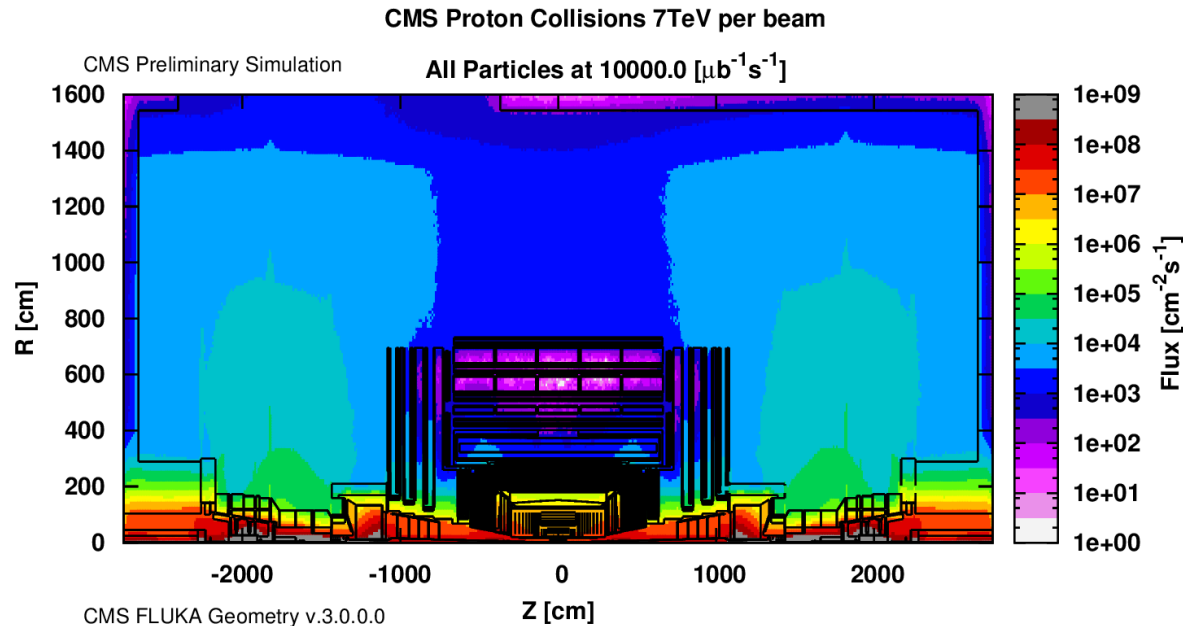
- Assess longevity of current detectors/electronics to withstand at HL-LHC



# Radiation particles at LHC

Hadronic interactions lead to activation of materials and give rise to neutron backgrounds. Long living neutrons can interact with nuclei and produce photons which further decay to electrons/positrons with some possibility to generate fake signals.

- very high flux  $\rightarrow$  low detector sensitivity to the neutron and photons required



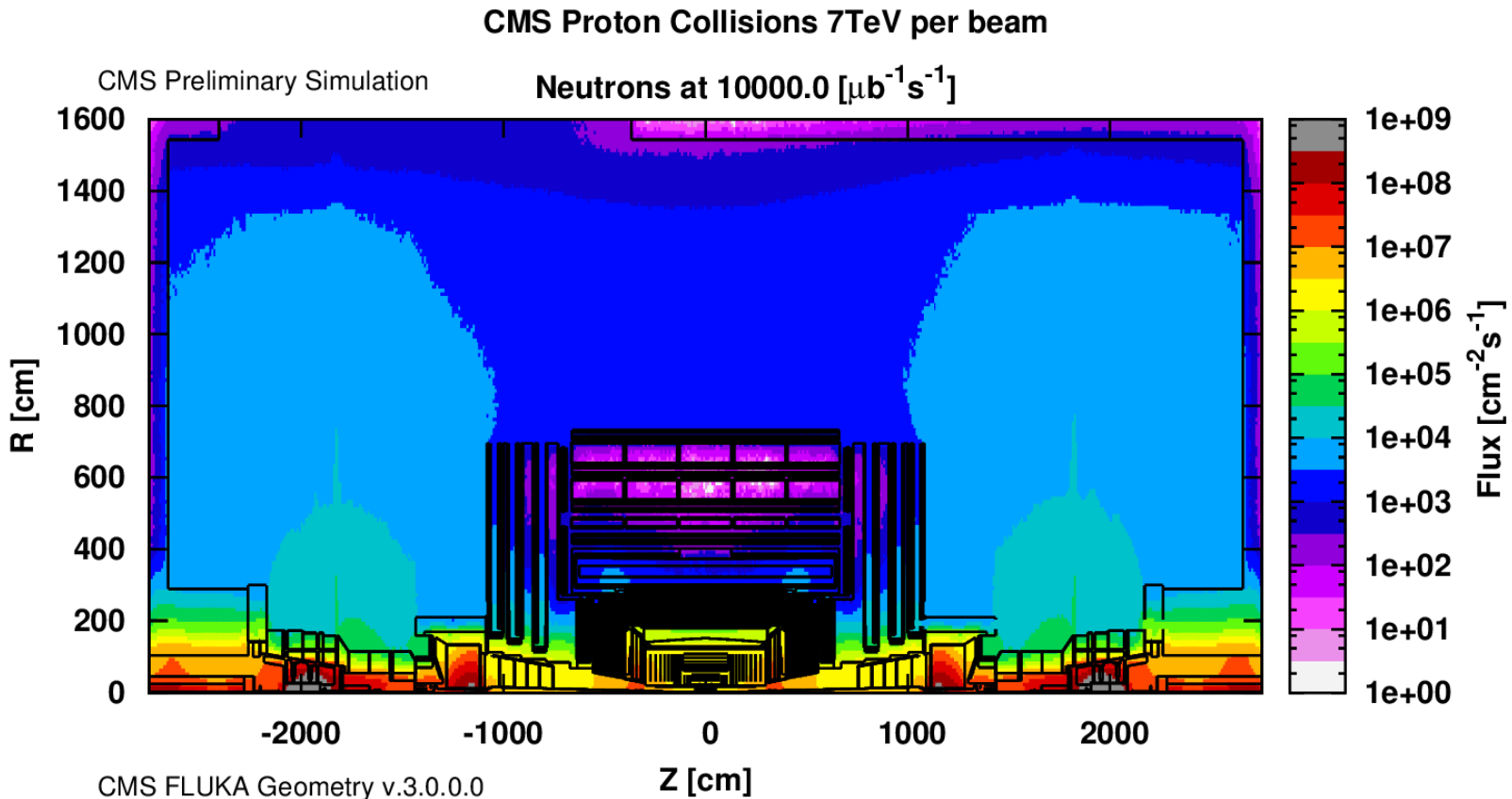
Monte Carlo estimation of the flux of all particles at CMS using FLUKA. Inelastic collision cross section used for normalization is 80 mb. Used simulation cut offs: Hadrons 1 keV, Muons 1 keV, Neutrons 0.01 meV, Photons 3 keV, Electrons 30 keV. Photons and Electrons have significantly higher cut-offs in some regions (heavy parts). The plot shows the particle flux in the whole CMS cavern for nominal instantaneous luminosity, ( $L = 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ ).



# Radiation particles at LHC

Hadronic interactions lead to activation of materials and give rise to neutron backgrounds. Long living neutrons can interact with nuclei and produce photons which further decay to electrons/positrons with some possibility to generate fake signals.

- very high flux  $\rightarrow$  low detector sensitivity to the neutron and photons required





# Radiation particles

- **neutrons:** originate as “fast”, O(100) MeV, (the end product of hadron showers) and then “thermalize” down to 0.025 eV via multiple nuclear scattering
- **photons:** 0.1-10 MeV, from nuclear capture of thermal neutrons, followed by nuclear de-excitation
- **electrons/positrons:** 0.1-10 MeV, from photon conversions and Compton scattering
- **minimum ionizing particle (MIPs):** muons (prompt,  $\pi$ /K in-flight decays ) and punch-through hadrons

**neutrons : photons :  $e^+/e^-$  : mips**

Although absolute fluxes change dramatically from place to place, the **relative fluxes** of different species are approximately the same (except for mips):

**3 : 1 : 0.01 : 0.001-0.01**

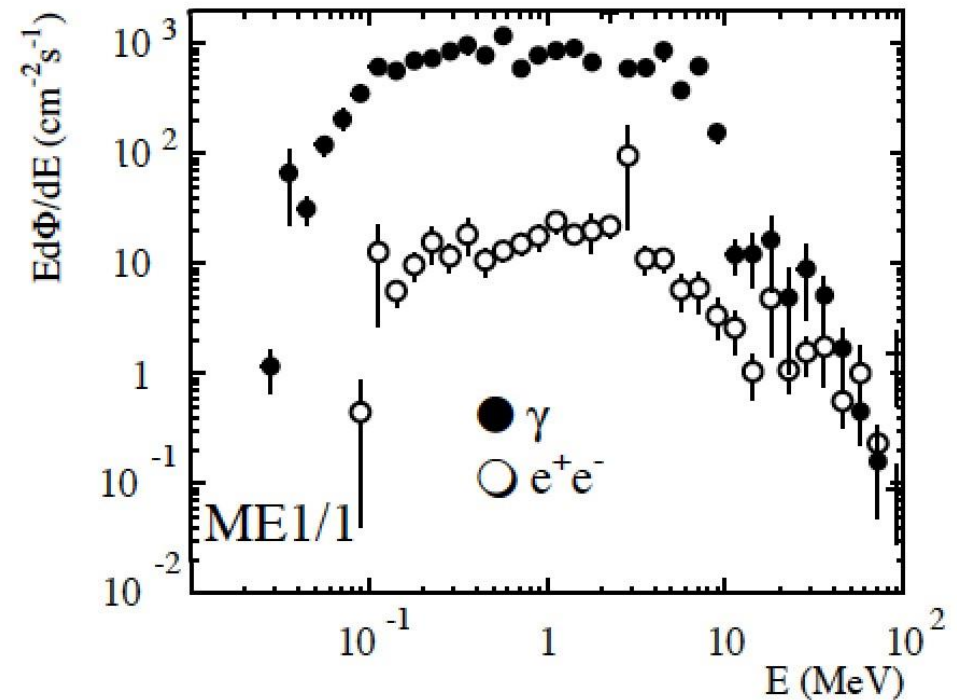
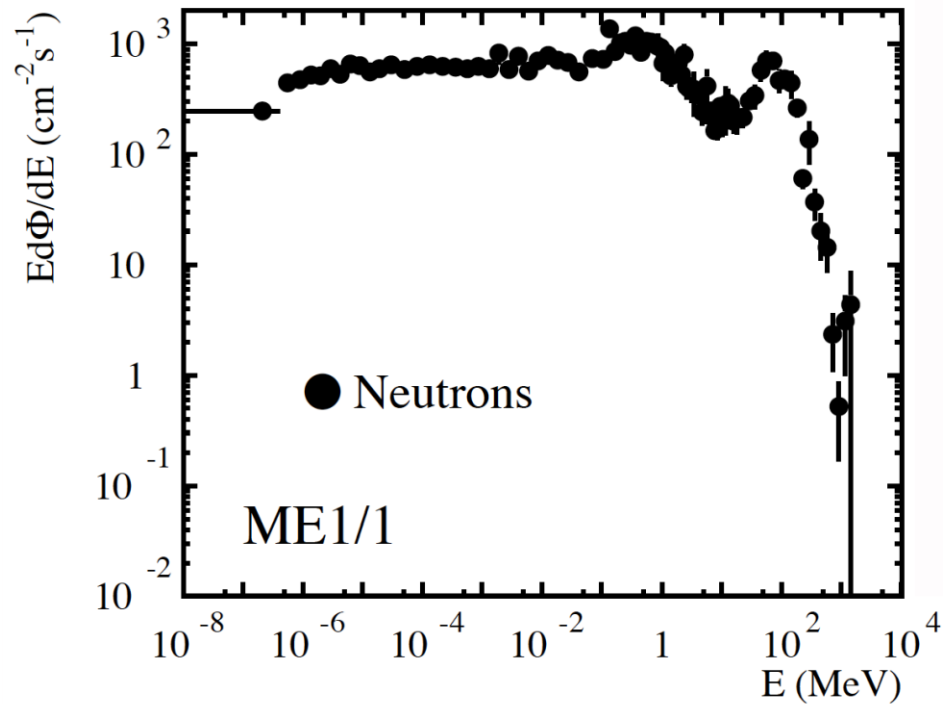
**Probability (sensitivity)** for different particles to cause a “hit” is almost detector technology independent, approximately:

**0.2% : 1% : 20% : 100%**



# Energy spectra

Plots from Muon TDR

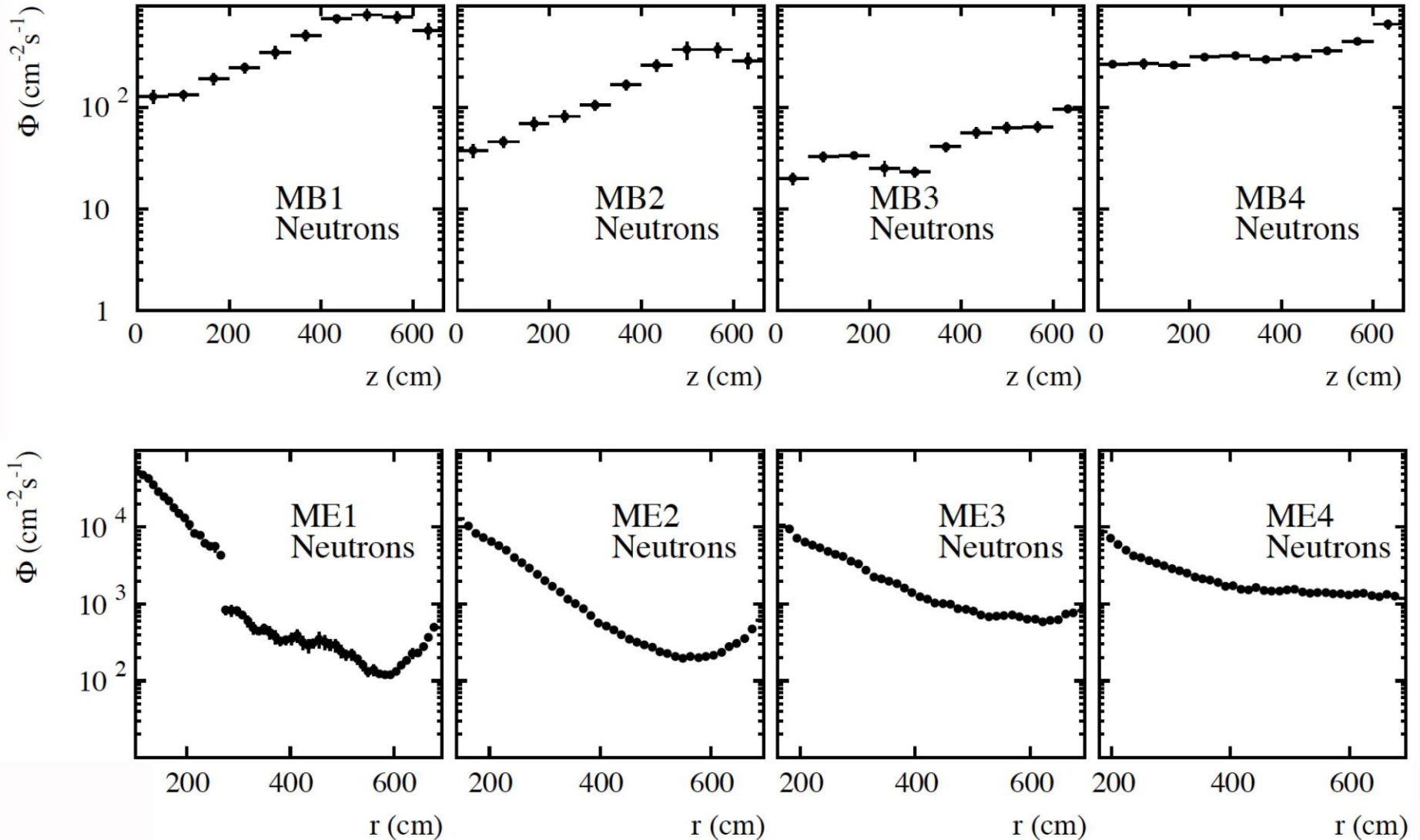


The shapes of the energy spectra of neutrons, photons,  $e^+/e^-$  are about the same throughout the muon system



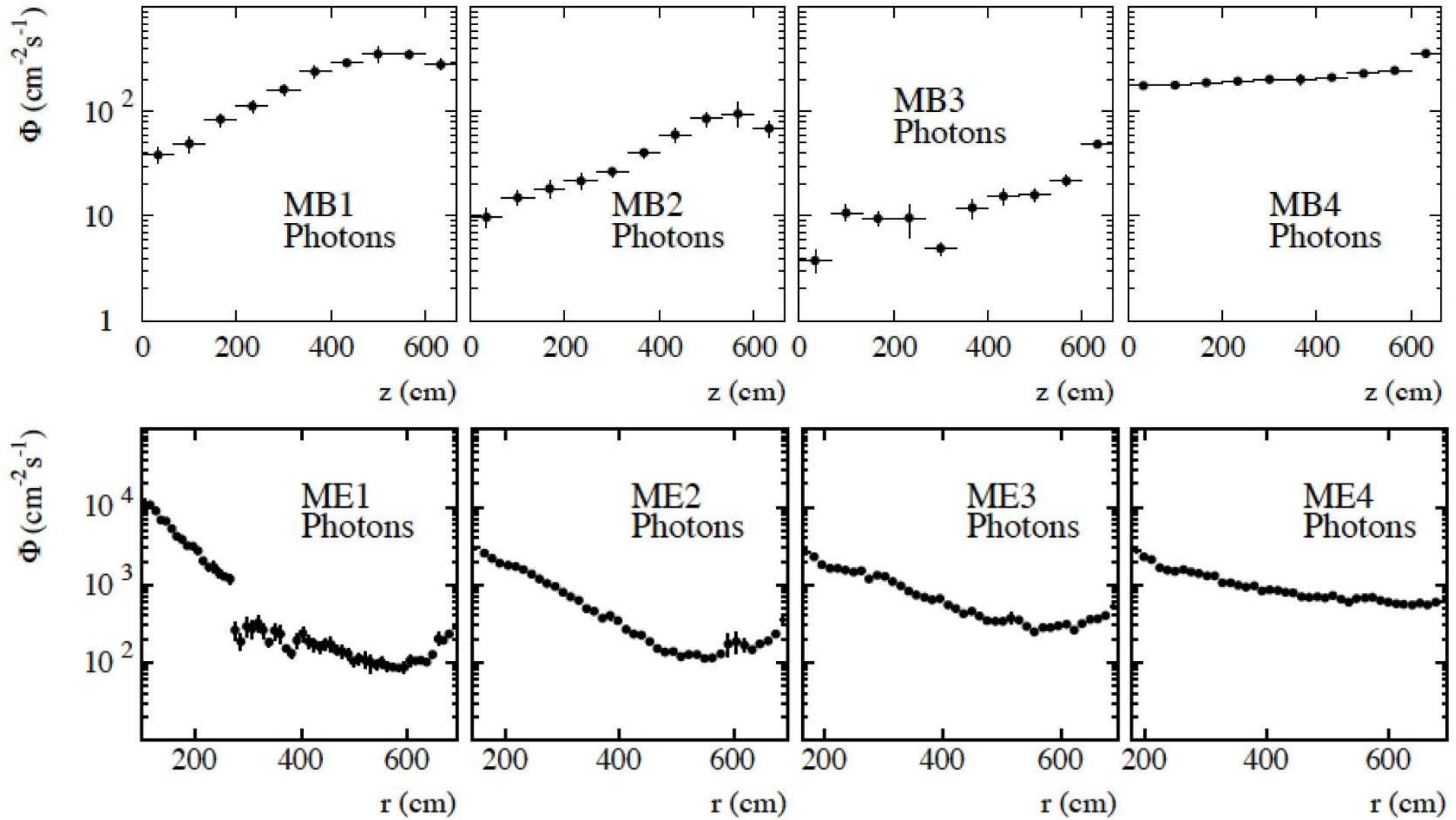


# Flux: neutrons (Muon TDR)





# Flux: photons (Muon TDR)





# Aging and Long Term Operation

The degradation of operating conditions of wire chambers under sustained irradiation are the main limitation to the use of gas detector in high-energy physics.

'Classical aging effects' are deposits formed on electrode surfaces by chemical reactions in avalanche plasma near the anode.

During gas avalanches many molecules break up and form free radicals (unionized atomic or molecular particles with one or more unsatisfied valence bonds).

Free-radical polymerization is regarded as the dominating mechanism of wire chamber aging

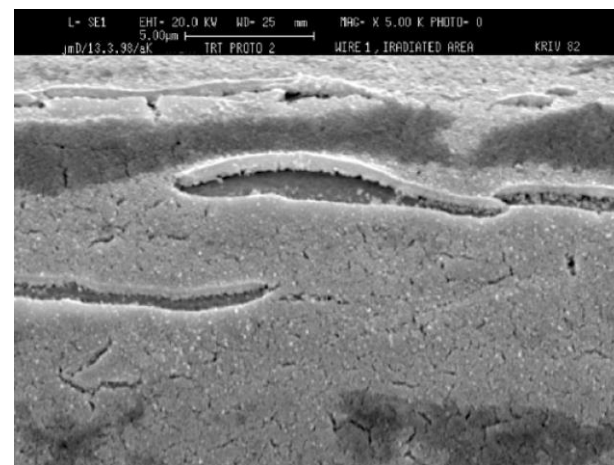
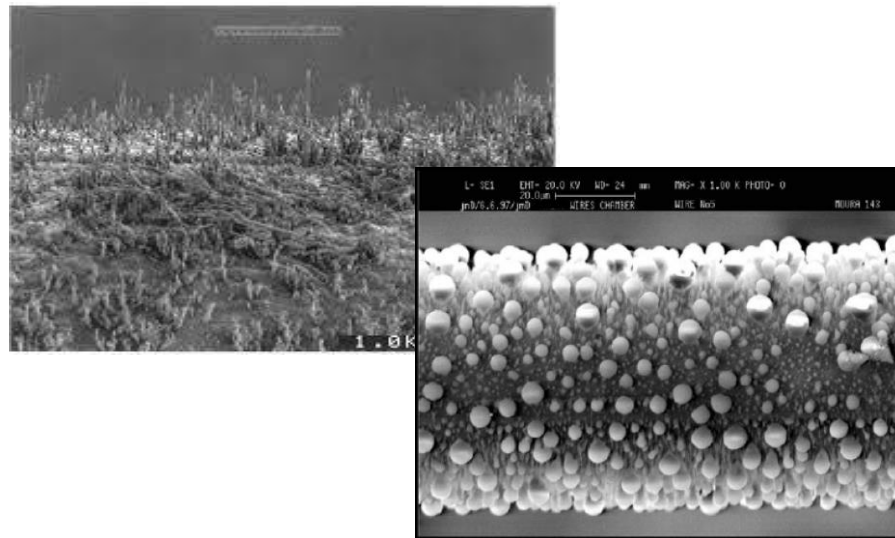
**The integrate charge** (per unit area for parallel detector or for unit length of the anode wire for wire chambers) during radiation exposure is the relevant quantity  
Type equation here.

$$\text{Expected int charge} \left( \frac{\text{C}}{\text{cm}^2} \right) = \left[ \epsilon \frac{\text{Charge}}{\text{hit}} \right] \times \left[ \frac{0.5 \times 10^7}{\text{LHCy}} \text{ s} \right] \times \phi_{\text{particle}}^{\text{Experiment}} \times [n^{\circ} \text{LHCy}]$$



# Aging and Long Term Operation: wires

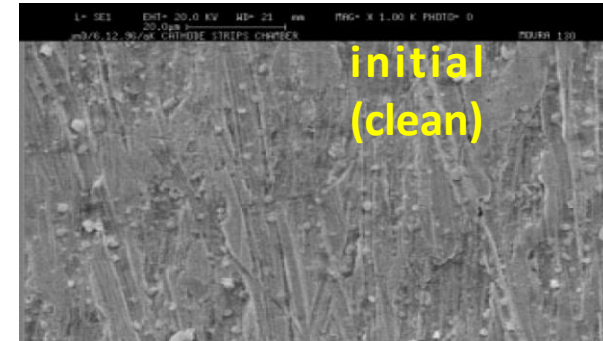
- **polymerization**
  - wires get effectively thicker:
    - smaller non-uniform gas gain
    - loss of efficiency
  - long whiskers:
    - can cause spurious pulses
    - and eventual HV breakdown
- **oxidation under gold coating:**
  - wires swell:
    - smaller non-uniform gas gain
    - loss of efficiency
  - gold coating cracks:
    - sharp edges can cause spurious pulses
    - and eventual HV breakdown



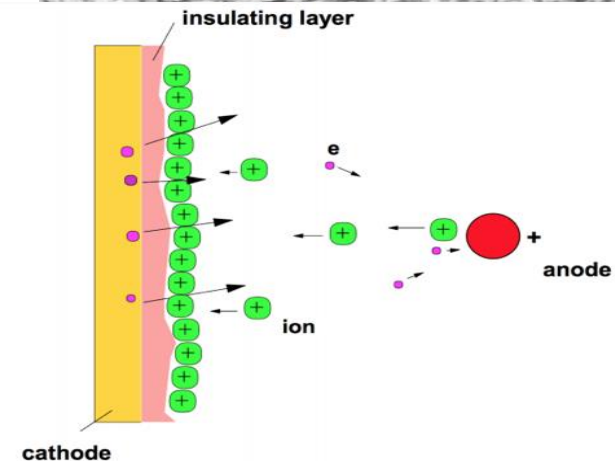


# Aging and Long Term Operation: surface

- **Polymerization on surfaces:**
  - increased conductivity:
    - leak currents
    - loss of the proper electric field configuration
  - decreased conductivity; insulating blemishes can charge up and cause:
    - erratic changes of the proper electric field configuration (unstable operation)
    - **self-sustained discharges (Malter currents)**



- **Physics:**
  - **self-sustained discharge ignited** by high intensity irradiation
  - associated with thin film insulator on cathode which can due to initial dirt (e.g., fingerprints) and due to polymerization build-up

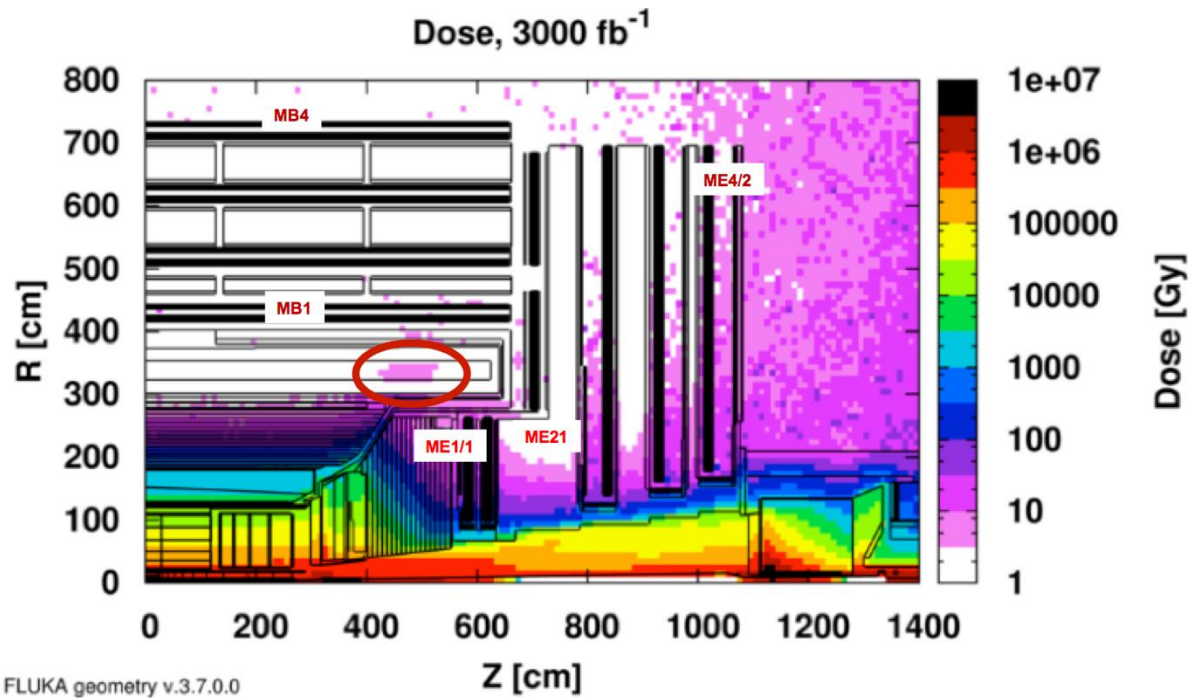




# HL-LHC: Assess longevity of detectors/electronics longevity

Different Radiation Environment in different stations

Background – 5x rates and 6x total doses with respect to LHC



Exceed the design tolerances of several components of the muon system:

- new assessment of the **chambers** and **electronics** longevity and performance under accelerated aging tests.



# Background and longevity issues

Very harsh conditions expected at HL-LHC with integral radiation dose parameters and hit rates at the instantaneous luminosity of  $5 \cdot 10^{34} \text{ cm}^{-2}\text{s}^{-1}$

$ \eta $ range	DT 0-1.2	CSC 0.9-2.4	RPC 0-1.9	iRPC 1.8-2.4	GE1/1 1.6-2.15	GE2/1 1.6-2.4	ME0 2.0-2.8
neutron fluence ( $10^{12} \text{ n/cm}^2$ )	0.4	40	1	7	20	12	200
total ionization dose (kRad)	0.12	10	2	3	3	7	490
hit rate ( $\text{Hz/cm}^2$ )	50	4500	200	700	1500	700	48000
charge per wire (mC/cm)	20	200	-	-	-	-	-
charge per area ( $\text{mC/cm}^2$ )	-	-	280	700	6	3	280

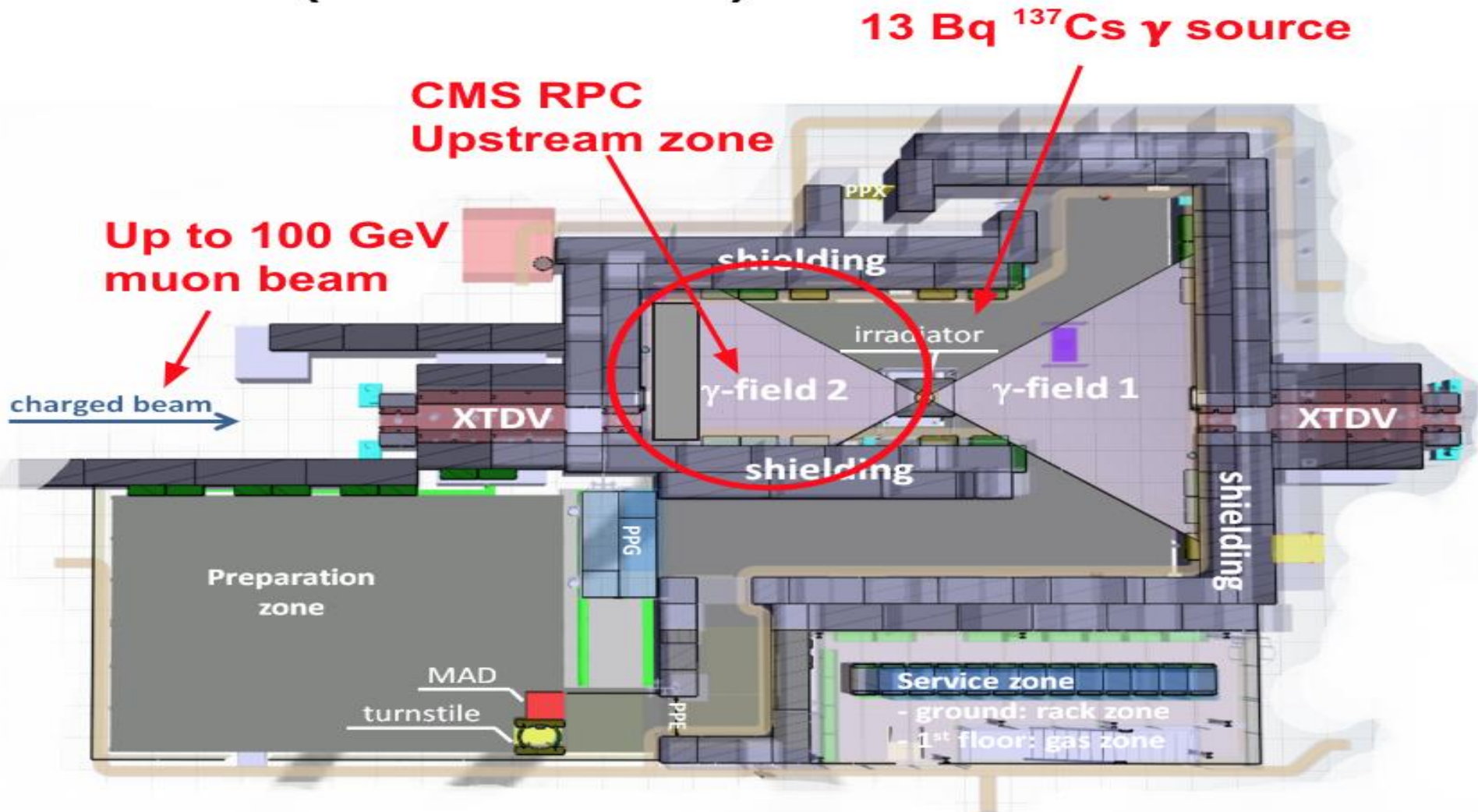
## High radiation affects both the detectors and electronics:

- can decrease the gas gain and increase hit-efficiency losses
- radiation damage of the silicon substrate in electronic chips can lead to noisier electronics performance and even fatal failures of entire electronic boards.
- elements of current system date even before 2000, currently 15-20 years old, eventually may need to last 40 years
- must re-certify existing equipment to HL-LHC for the expected integrated radiation doses and signal and background rates.



# Muon longevity studies at GIF++

All detectors profit from the CERN gamma irradiation facility, GIF++: 662 keV photons emitted by an intense 14 TBq  $^{137}\text{Cs}$  source + high momentum particle beam







# Muon longevity studies at GIF++

All detectors profit from the CERN gamma irradiation facility, GIF++: 662 keV photons emitted by an intense 14 TBq  $^{137}\text{Cs}$  source + high momentum particle beam

## CSC

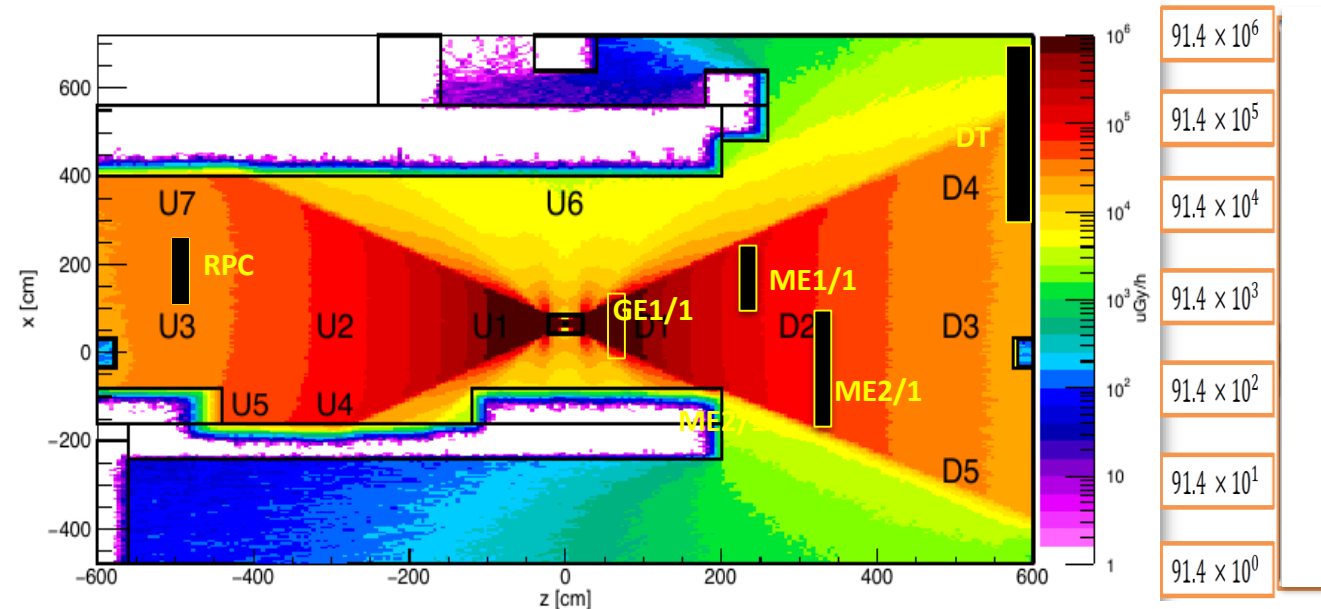
- Irradiate one ME1/1 (higher rate) and one ME2/1.
- Monitoring of gas gain and current. Measure trigger rates at HL-LHC condition.
- Monitor Malter effect.

## DT

- Study radiation effect on the material: small Drift-tubes are installed at Gif++
- Operated one spare MB1

## GEM

- Test GE1/1 triple-GEM chamber at HL-LHC condition
- Also accelerated test with X-ray



## RPC

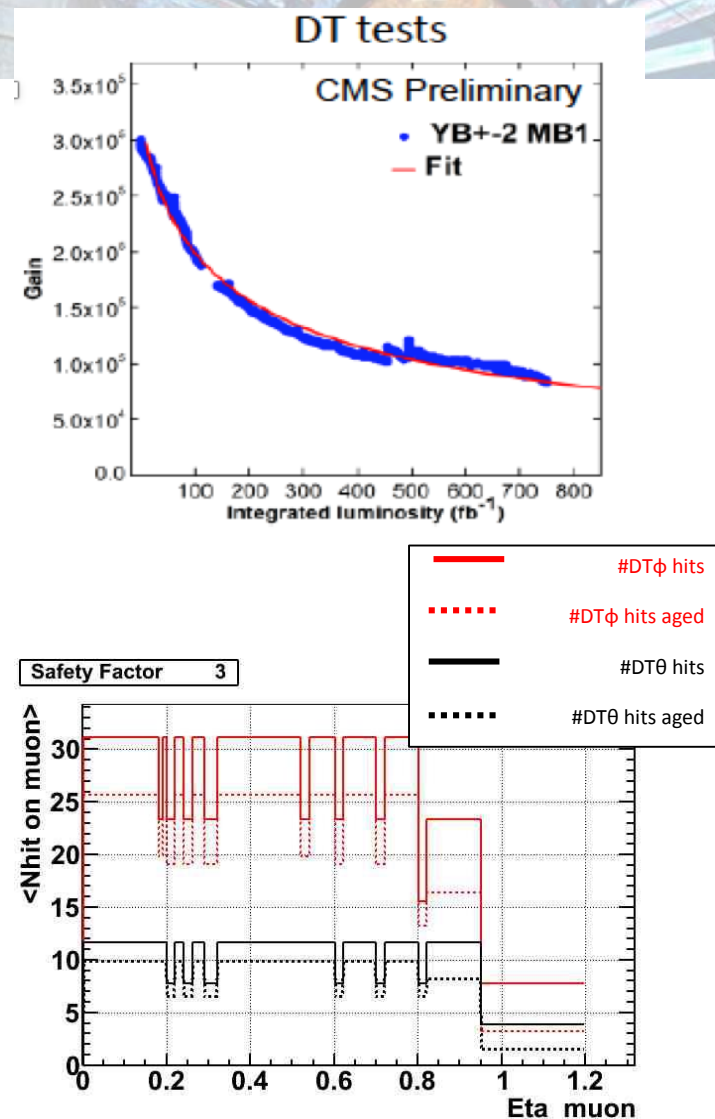
- RE2 and RE4 chambers and six spare gaps under test: detector performance and dark current/noise will be monitored



# Present Detector longevity status

- **DTs (Ar : CO<sub>2</sub>)**
  - **about 15%** of chambers, most exposed to background, could see noticeable gas gain loss but:
    - muon reconstruction will **remain high**, thanks to multiple layers of DTs on the path of a muon
- **CSCs (Ar : CO<sub>2</sub> : CF<sub>4</sub>)**
  - longevity test with standard mixture completed: **no gas gain loss up to 3 × HL-LHC**
- **RPCs (i-C<sub>4</sub>H<sub>10</sub> : C<sub>2</sub>H<sub>2</sub>F<sub>4</sub> : SF<sub>6</sub>)**
  - **no detector degradation effect so far..test continuing**
- **GEM (Ar : CO<sub>2</sub>)**
  - At GIF++: 30 x HL-LHC of the GE1/1 expected charge (0.6 of ME0) accumulated.
  - At B904: More than 1 C/cm<sup>2</sup> has been accumulated with X-ray test.

**Operation conditions are stable no gas gain change observed**



**All installed Muon detectors will be kept operational at HL-LHC**

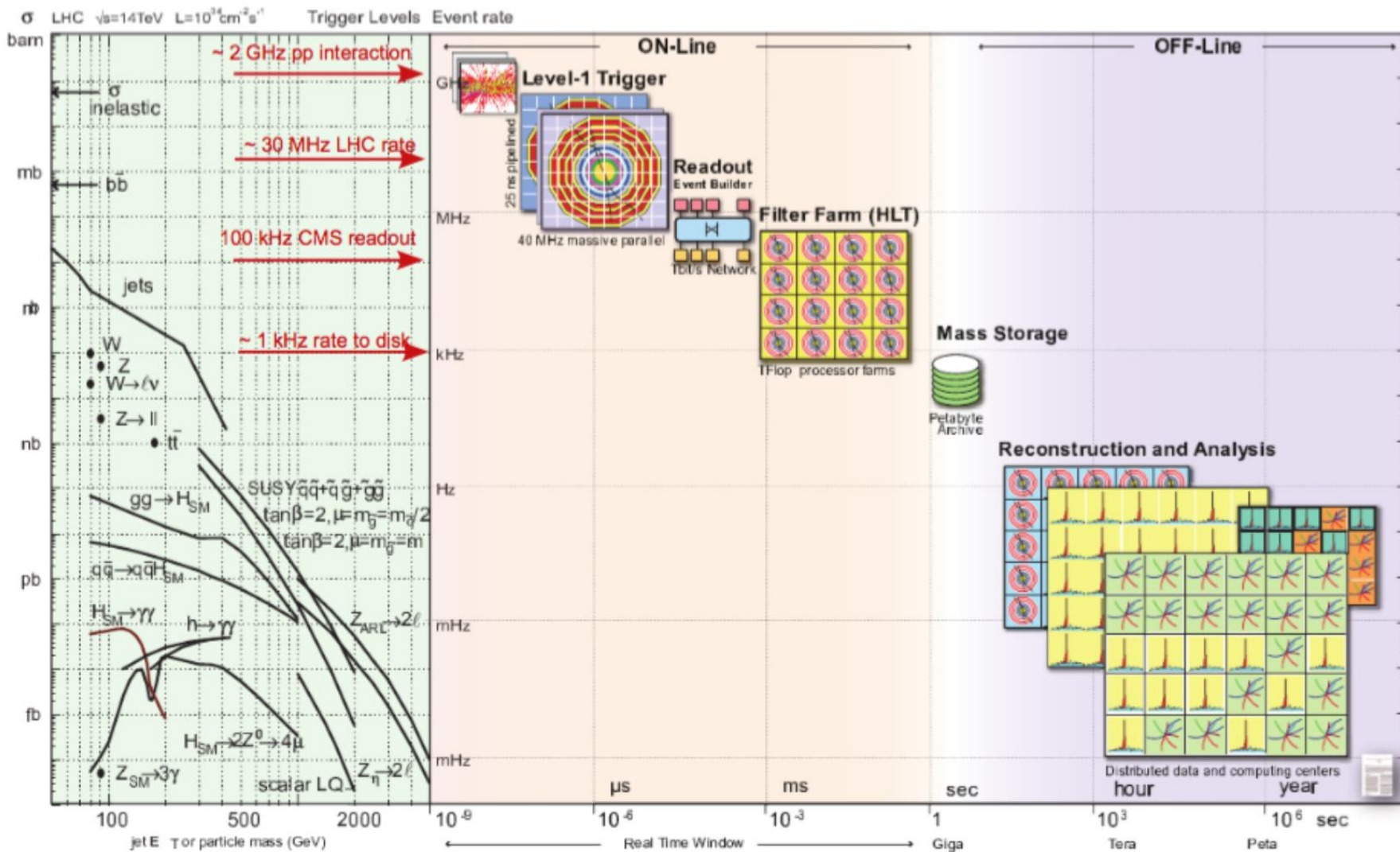


## 1. Upgrades of the existing muon detectors

- Upgrade electronics to ensure longevity, cope with trigger and readout requirements

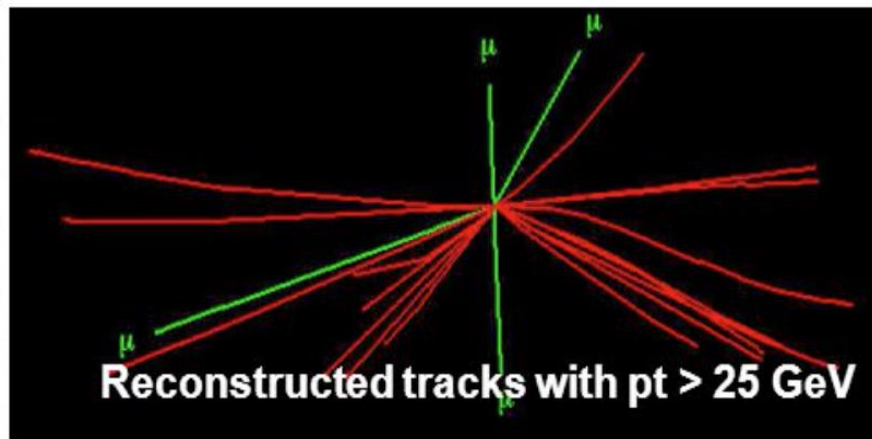
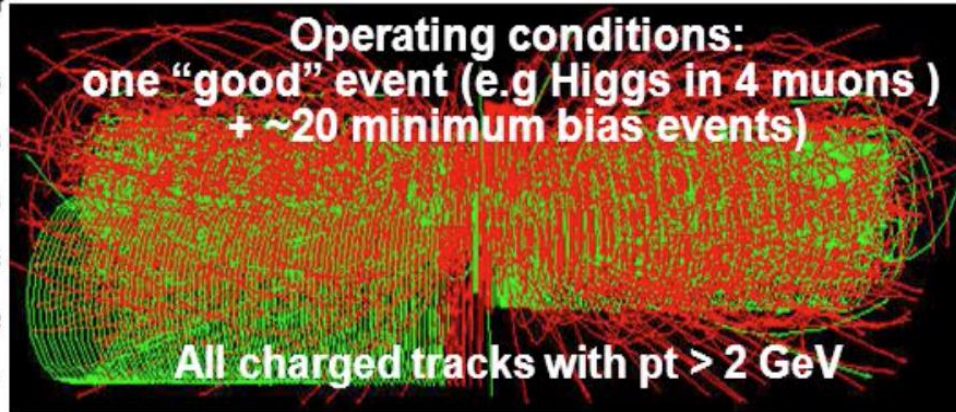
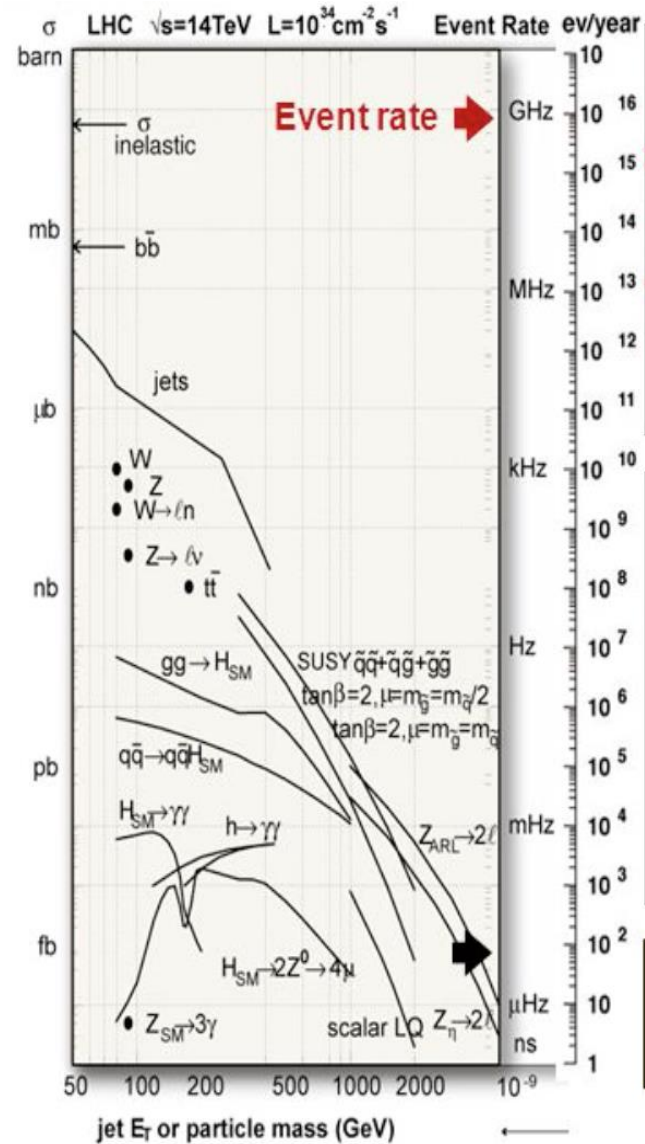


# LHC cross section and trigger rates





# LHC cross section and trigger



**Event size:** ~1 MByte  
**Processing Power:** ~X TFlop

- SM Higgs ( $125\text{ GeV}/c^2$ ): → 0.1 Hz
- t t production: → 10 Hz
- $W \rightarrow \ell \nu$ : →  $10^2$  Hz
- $Z \rightarrow \ell \ell$ : → 10 Hz
- bb production: →  $10^6$  Hz
- Inelastic: →  $10^9$  Hz

Beam crossing every 25 ns  
 25 pileup event / beam crossing  
Experiments: need stringent and efficient online selection criteria (trigger)



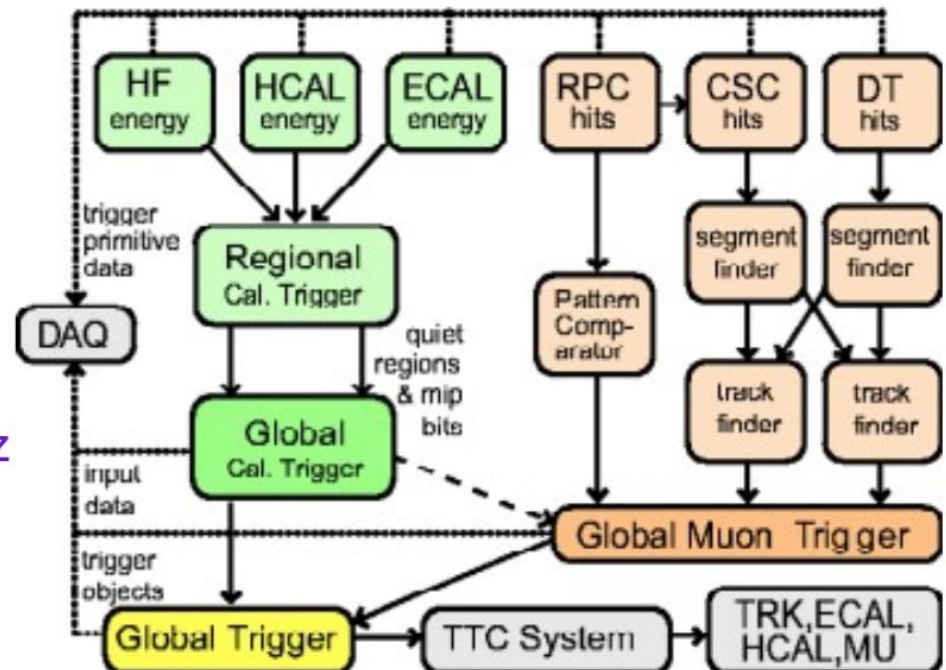
# Level 1 muon trigger

- ⑩ **Why high-momentum leptons?**
  - ⇒ QCD (strong interaction) provides many jets and large energy deposition, but generally not signatures of electroweak processes
  - ⇒ So signatures with large energy deposition face large QCD backgrounds
  - ⇒ Electrons, muons, taus are signatures of W, Z bosons, top quarks, higgs bosons, SUSY decays, etc., but are rare from QCD.
- ⑩ **Muons especially:**
  - ⇒ Excellent background reduction possible.
  - ⇒ Excellent momentum resolution for invariant masses etc.
- ⑩ **Muon triggering:**
  - ⇒ Typical  $W \rightarrow \mu \nu$  muon transverse momentum  $< 40$  GeV/c. Wish to trigger above 15 or 20 GeV/c, typically.



# Level 1 trigger

- Custom programmable processors
  - To minimise latency
- Synchronous decision every 25 ns
  - delayed by  $3.2 \mu\text{s} = 128 \text{ BX}$  (Max depth of pipeline memories)
- Max output  $\equiv$  max DAQ input
  - Design: 100 kHz; at startup: 50 kHz
- Only  $\mu$  detectors and calorimeters
  - $e/\gamma$ ,  $\mu$ ,  $\tau$  jets, jets,  $E_T^{\text{miss}}$ ,  $\Sigma E_T$



## HL-LHC

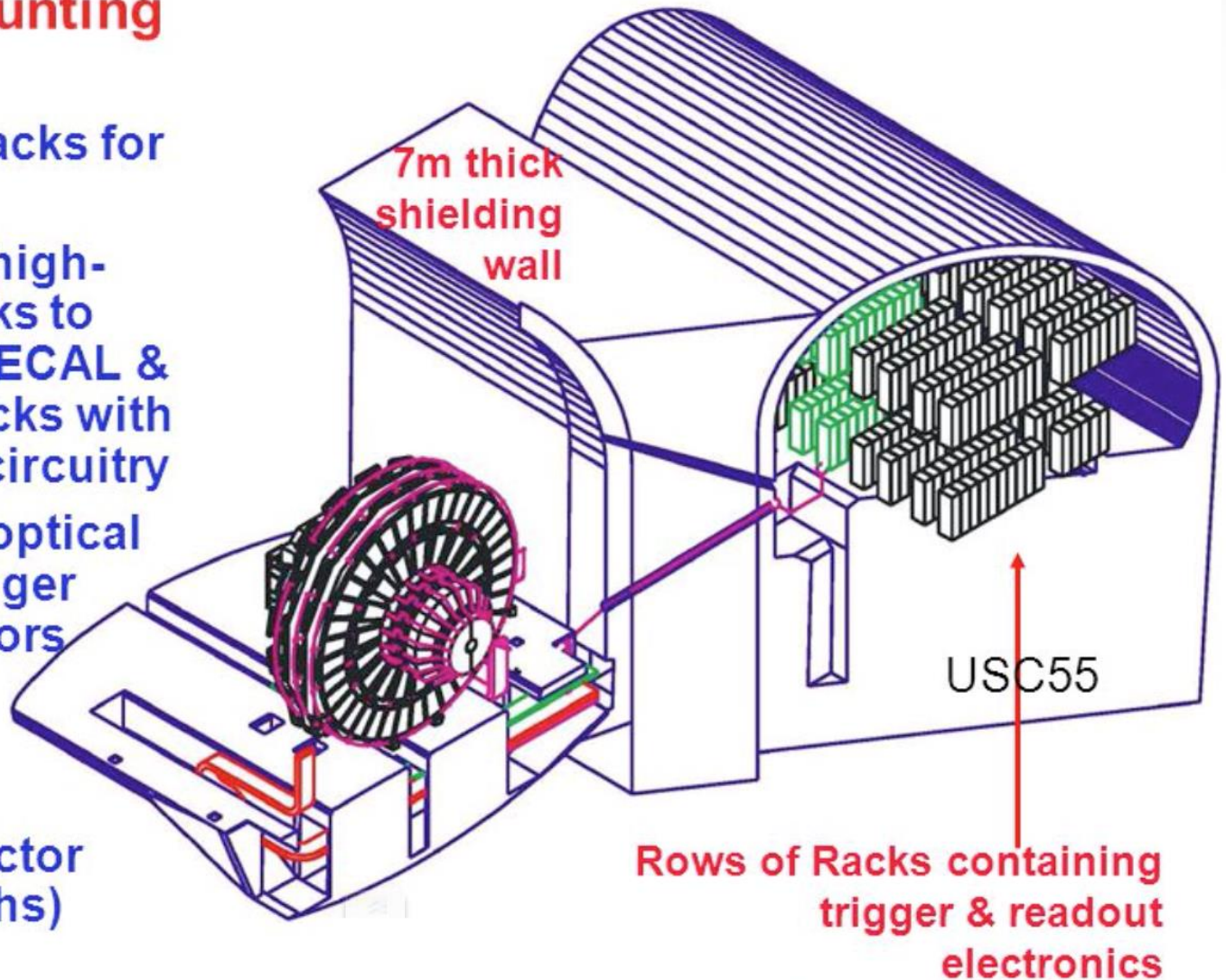
- New trigger/DAQ:
  - Track information at L1 with extended trigger latency (from 3.2 total of  $12.5 \mu\text{s}$ )
  - Increased L1 bandwidth from 100 kHz to 750 kHz



# Level 1 trigger: where?

## Underground Counting Room

- Central rows of racks for trigger
- Connections via high-speed copper links to adjacent rows of ECAL & HCAL readout racks with trigger primitive circuitry
- Connections via optical fiber to muon trigger primitive generators on the detector
- Optical fibers connected via “tunnels” to detector (~90m fiber lengths)

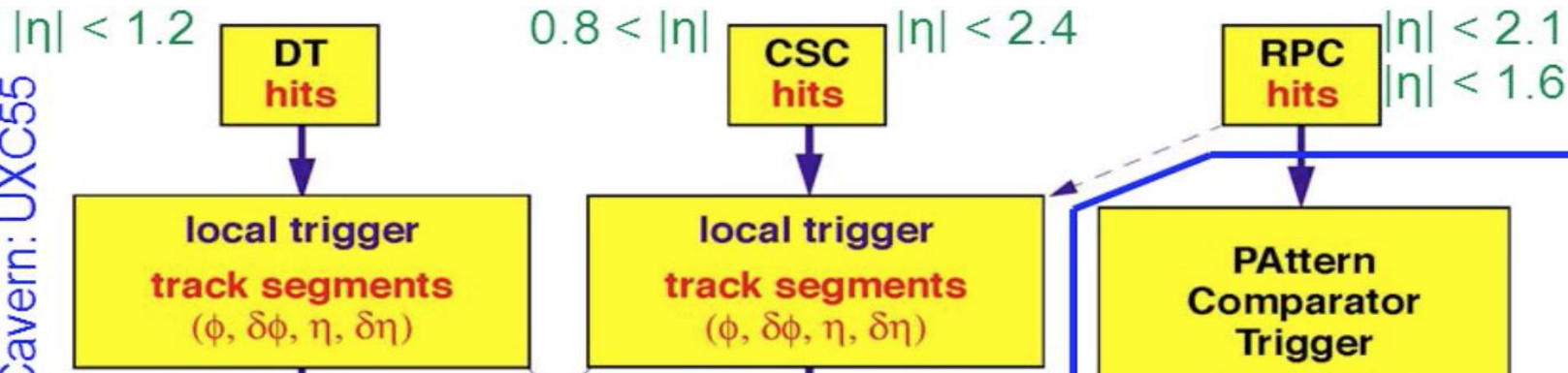






# Level 1 trigger LHC

Cavern: UXC55



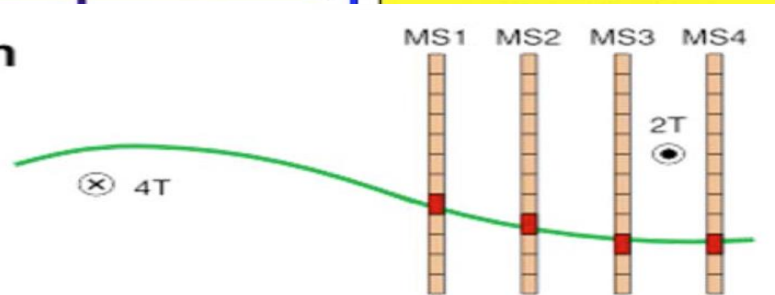
## RPC pattern recognition

- Pattern catalog
- Fast logic

Memory to store patterns

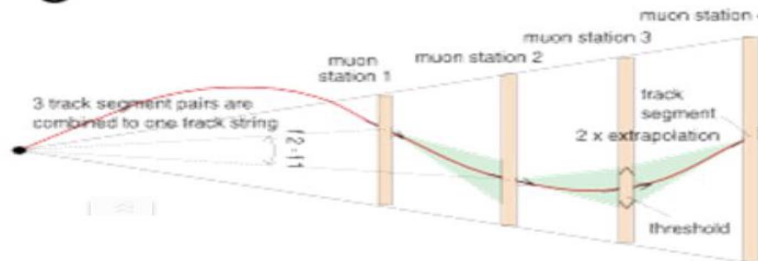
Fast logic for matching

FPGAs are ideal



## DT and CSC track finding:

- Finds hit/segments
- Combines vectors
- Formats a track
- Assigns  $p_t$  value

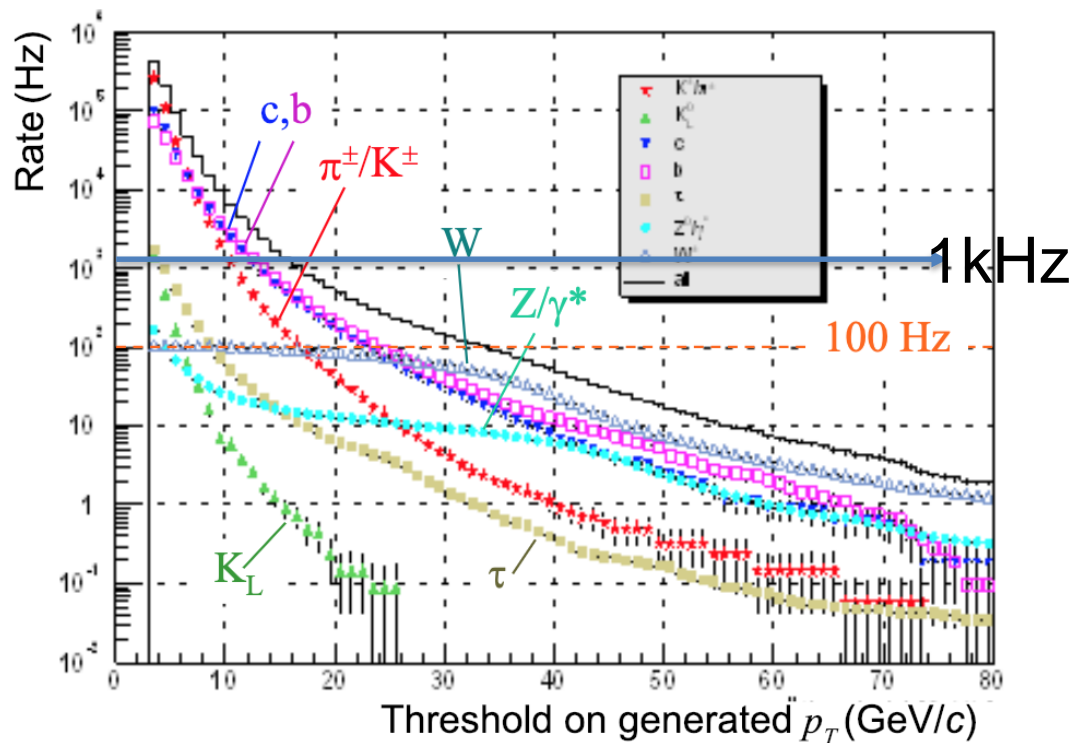




# High level trigger (HLT)

- full detector readout, full granularity
  - 100 kHz input rate
- implementation
  - C++ software: same CMSSW releases used for simulation and offline analyses
  - running on a cluster of commercial PCs (*filter farm*)
  - quasi-online, input buffer 1-2 minutes
- constraints
  - ~300 ms *average* processing time to take a decision
  - peak throughput of ~ 6 GB/s aggregated over all streams
  - 1 kHz *average* output rate (limited by offline resources)

Integral rate ( $\mathcal{L} = 10^{34} \text{ cm}^{-2}\text{s}^{-1}$ )

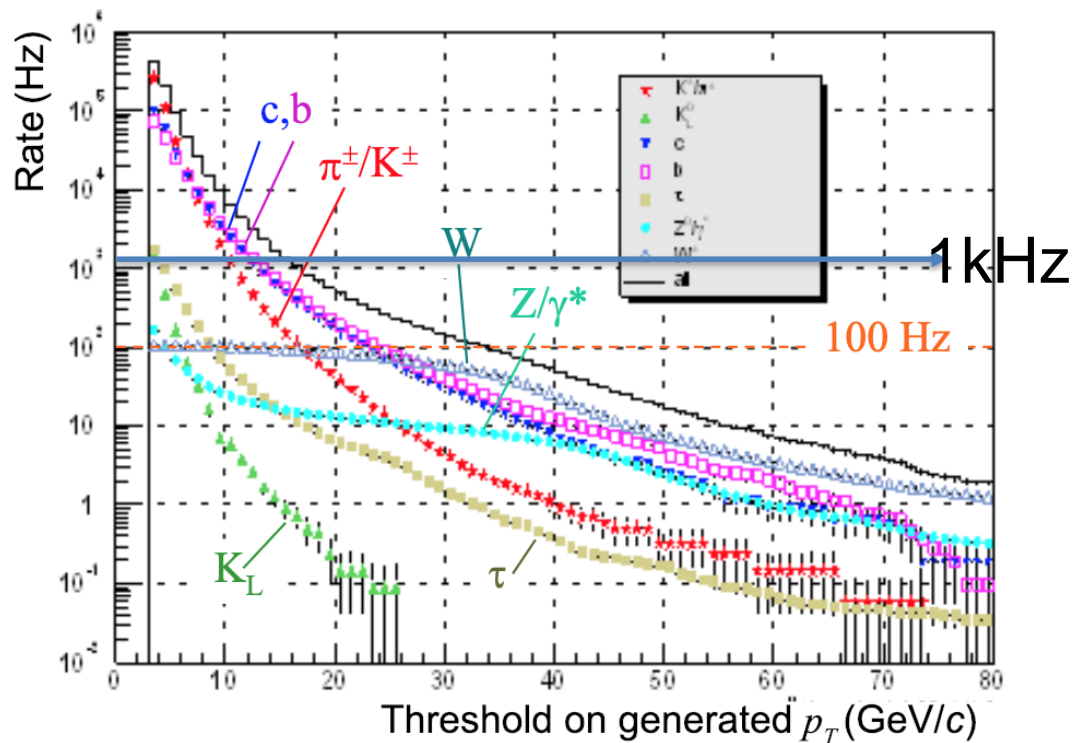




# High level trigger (HLT)

- full detector readout, full granularity
  - 100 kHz input rate
- implementation
  - C++ software: same CMSSW releases used for simulation and offline analyses
  - running on a cluster of commercial PCs (*filter farm*)
  - quasi-online, input buffer 1-2 minutes
- constraints
  - ~300 ms *average* processing time to take a decision
  - peak throughput of ~ 6 GB/s aggregated over all streams
  - 1 kHz *average* output rate (limited by offline resources)

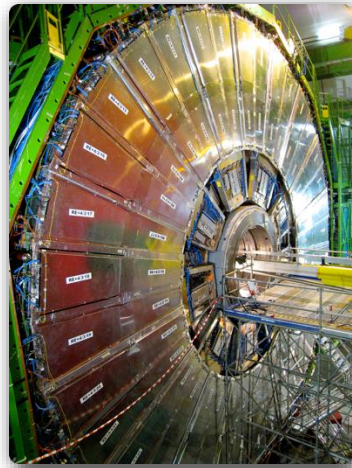
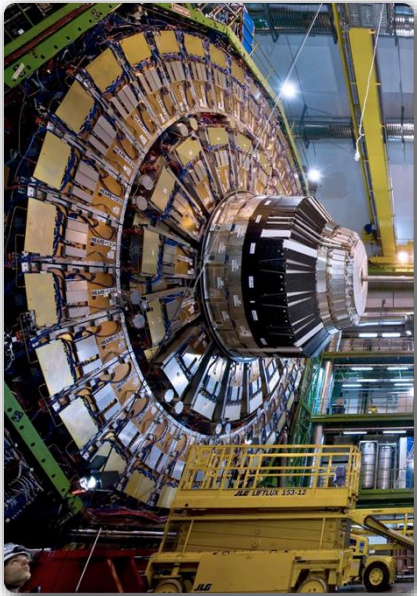
Integral rate ( $\mathcal{L} = 10^{34} \text{ cm}^{-2}\text{s}^{-1}$ )





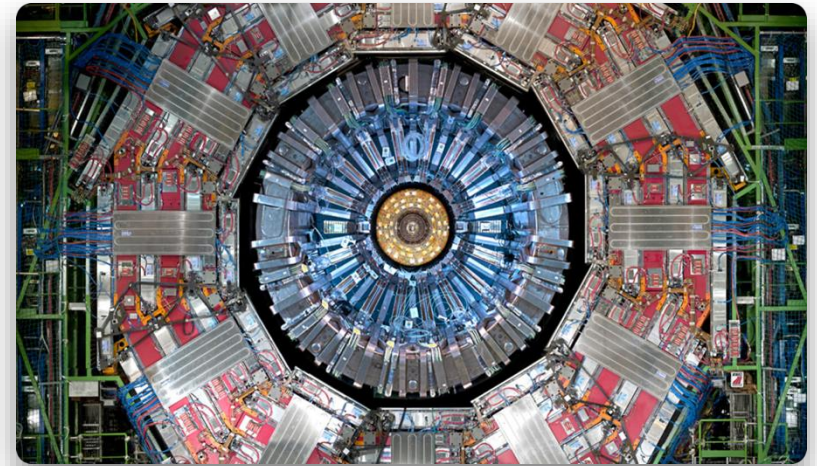
# Muon Electronics Upgrade

After initial installation completed in 2009, the Muon system underwent many upgrades in order to recover the initial system descoping and to improve the functionality



**CSC & RPC** + **GEM**  
in the endcap

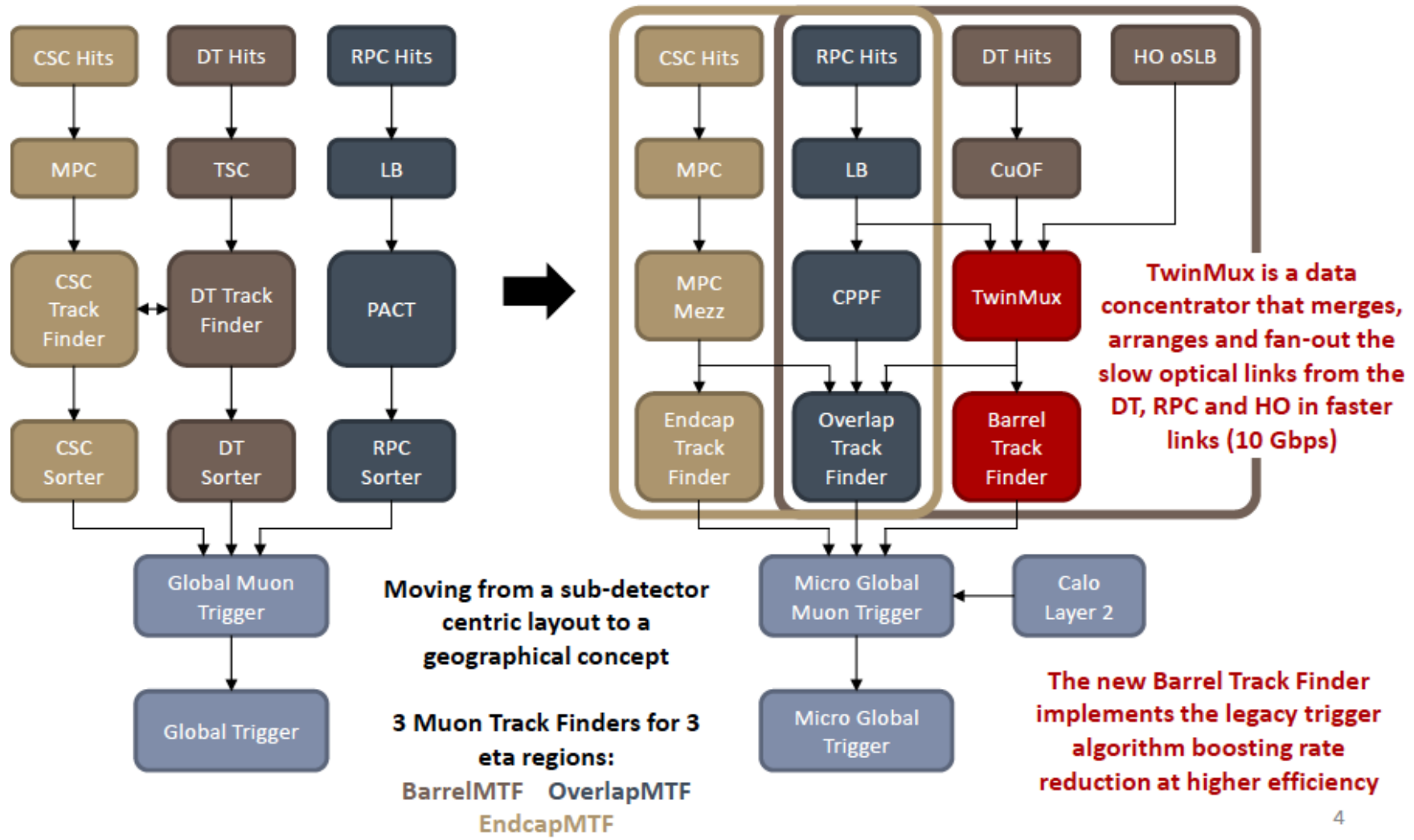
**DT and RPC**  
in barrel



Phase-2 CMS Upgrade: replace on chamber electronics to cope with longevity and operational issues, high rate and latency requirements



# L1T Muon Trigger Architecture upgrade evolution after LS1





# CMS: Muon L1 trigger flow at Phase2

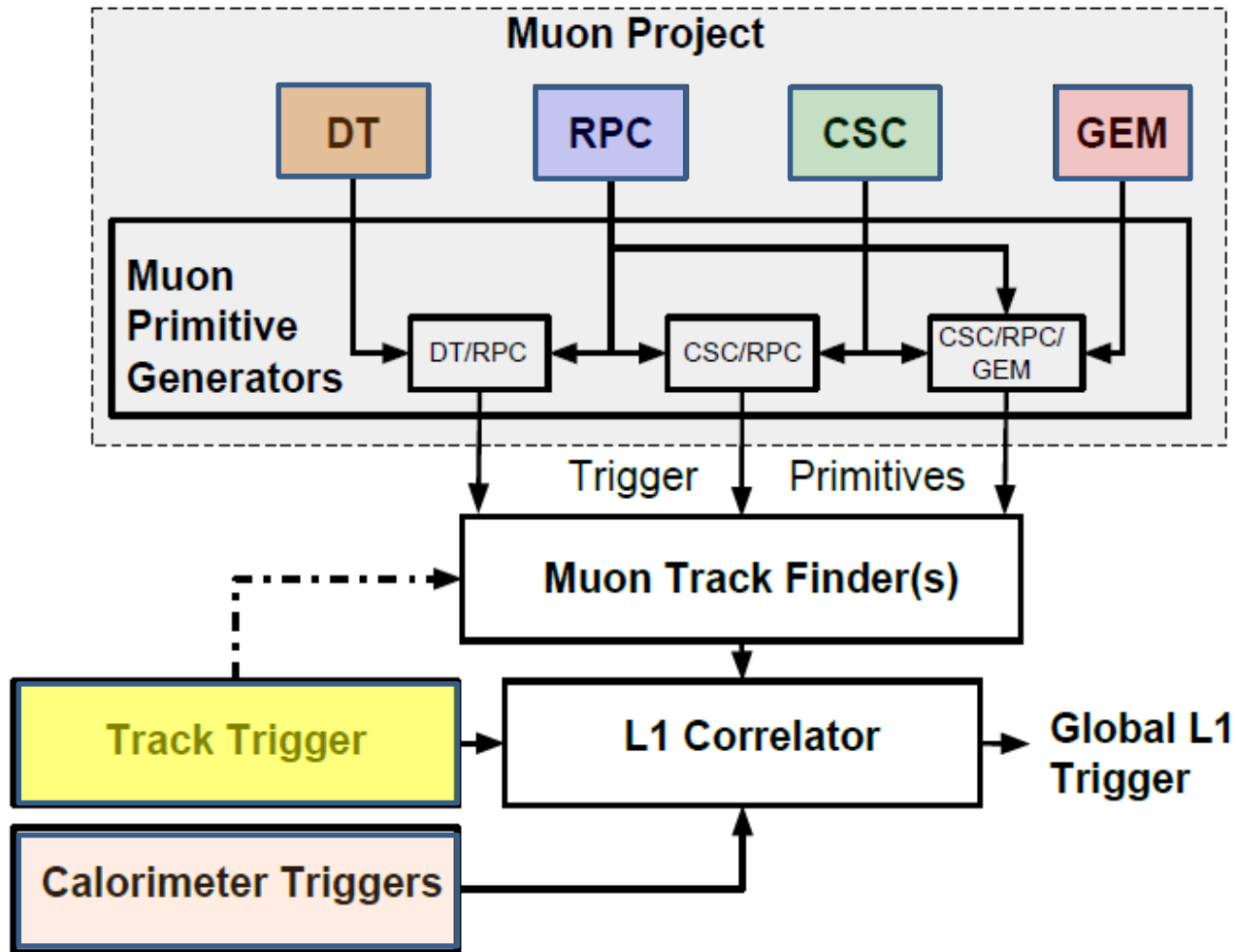


Figure 7.1: Block diagram of the Phase-2 L1 muon trigger data flow.



# DT electronics and trigger primitives generation

## Trigger boards housed in on-chamber MiniCrates for trigger primitive generation

- A single large synchronous 40 MHz digital system of 55000 ASICs
- Two best muon segments on output from each chamber:

### STEP 1

Signals from wires are processed by **Bunch and Track Identifier (BTI)**: Rough track finding within a Super Layer (SL)

### STEP 2

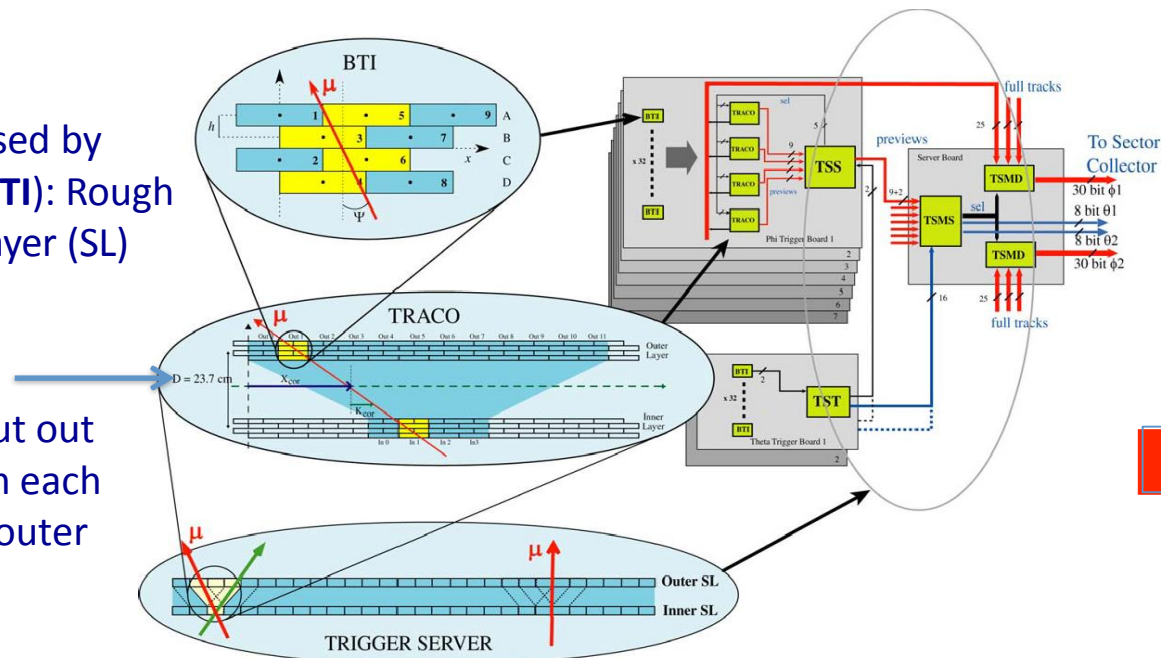
**TRACK CORRELATORS (TRACO)** Put out two best muon segments from each chamber. Correlate inner and outer superlayers

### STEP 3

**Trigger Server (TS)** performs a quality based selection on segments coming from TRACOs

### STEP 4

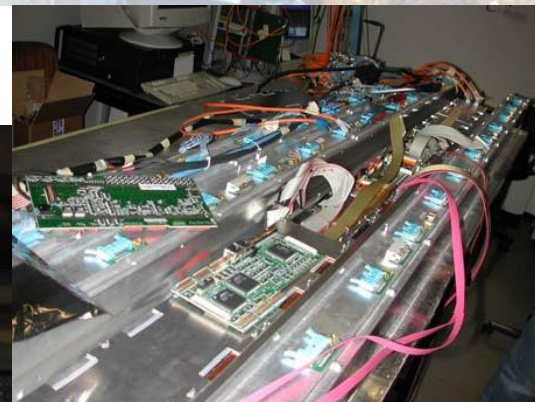
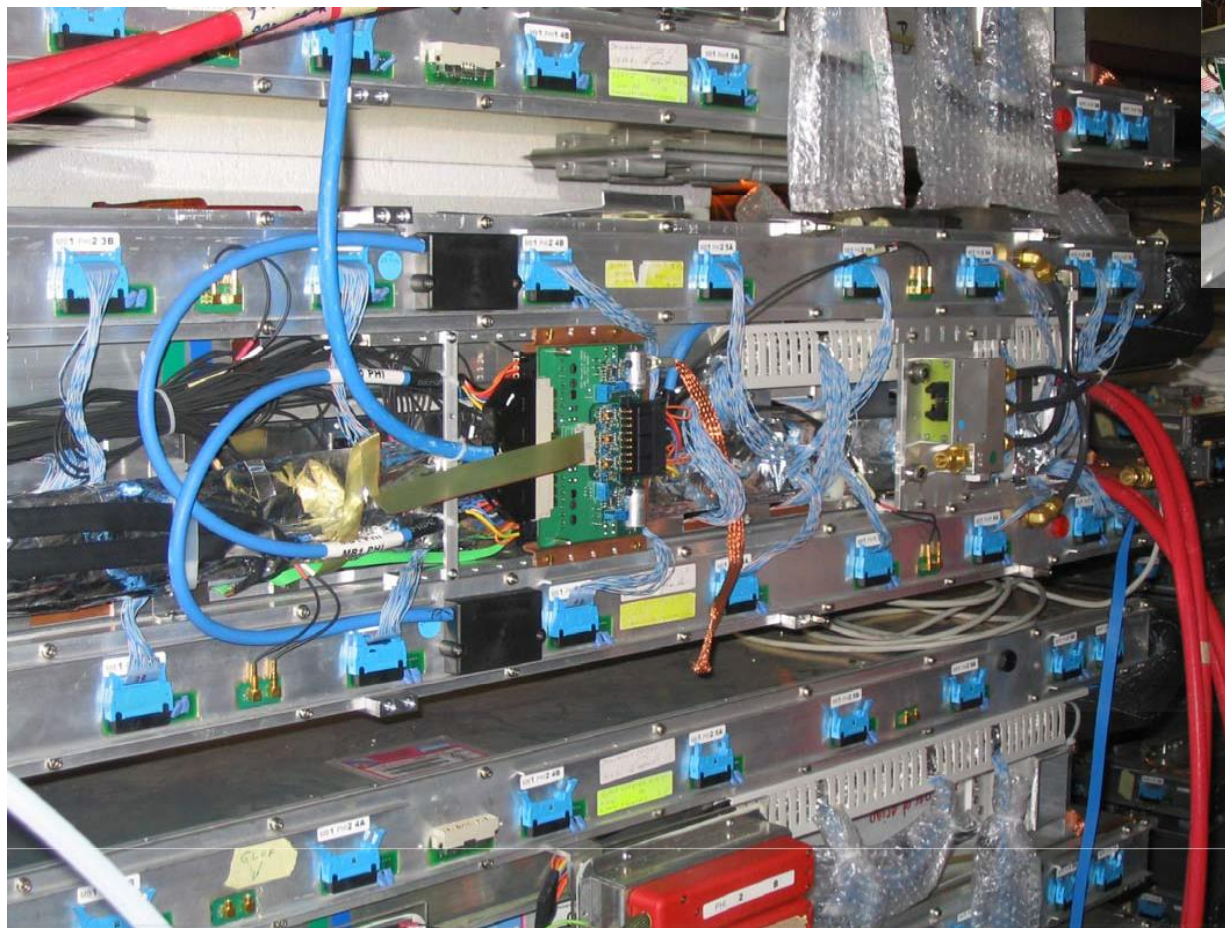
**Sector Collector (SC)**, on balcony, refines the sorting per sector and performs BX alignments before sending data to the track finder





# DT minicrates

BTI, TRACO, and TS trigger electronics, etc.

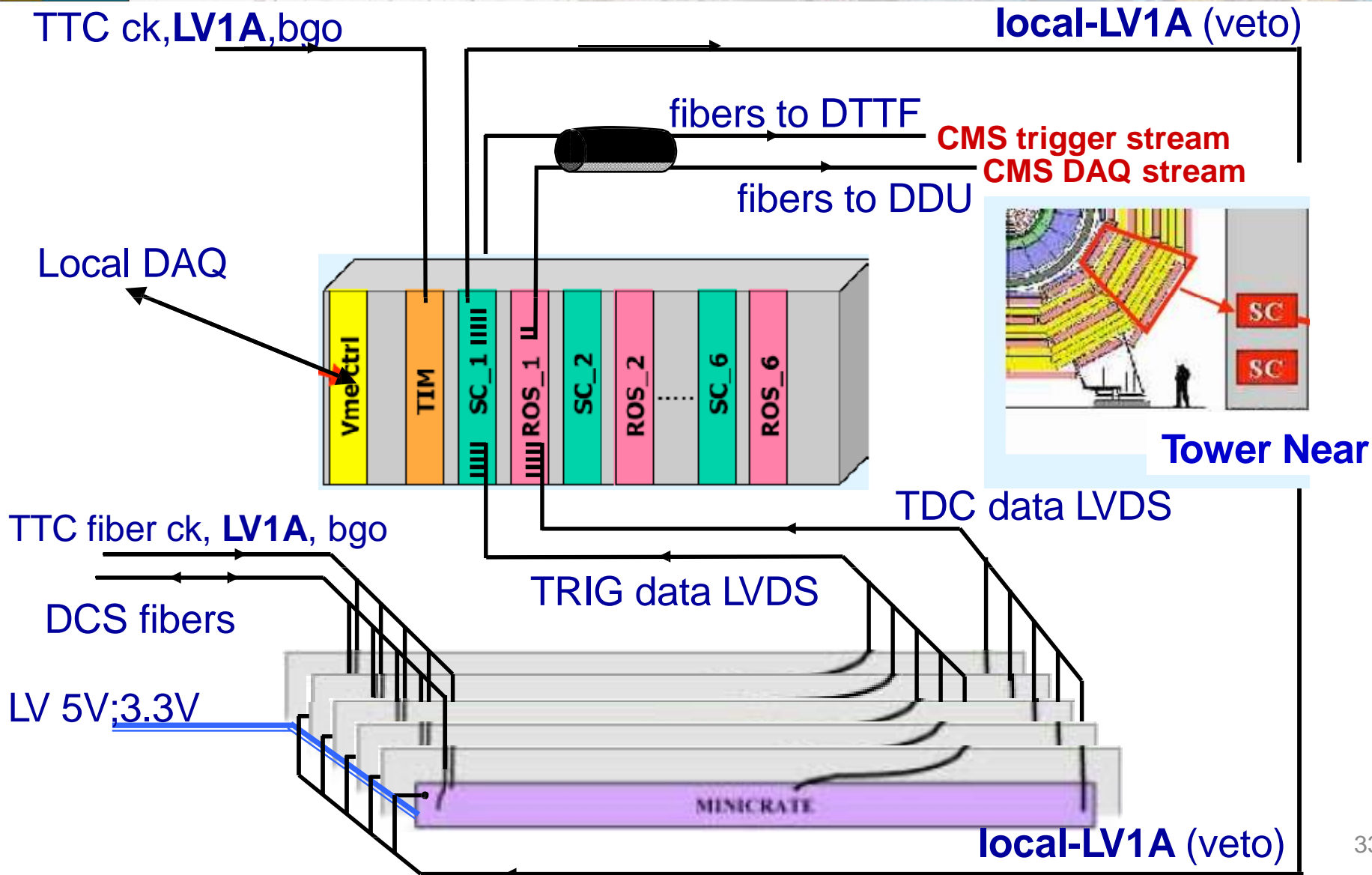


An average MC has ~17 boards:  
6 ROB, 6 TRB,  
SB/CCB, LB



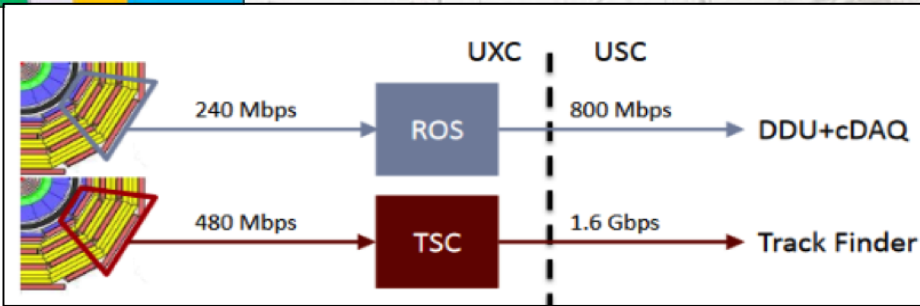


# DT Sector collector and readout server crates



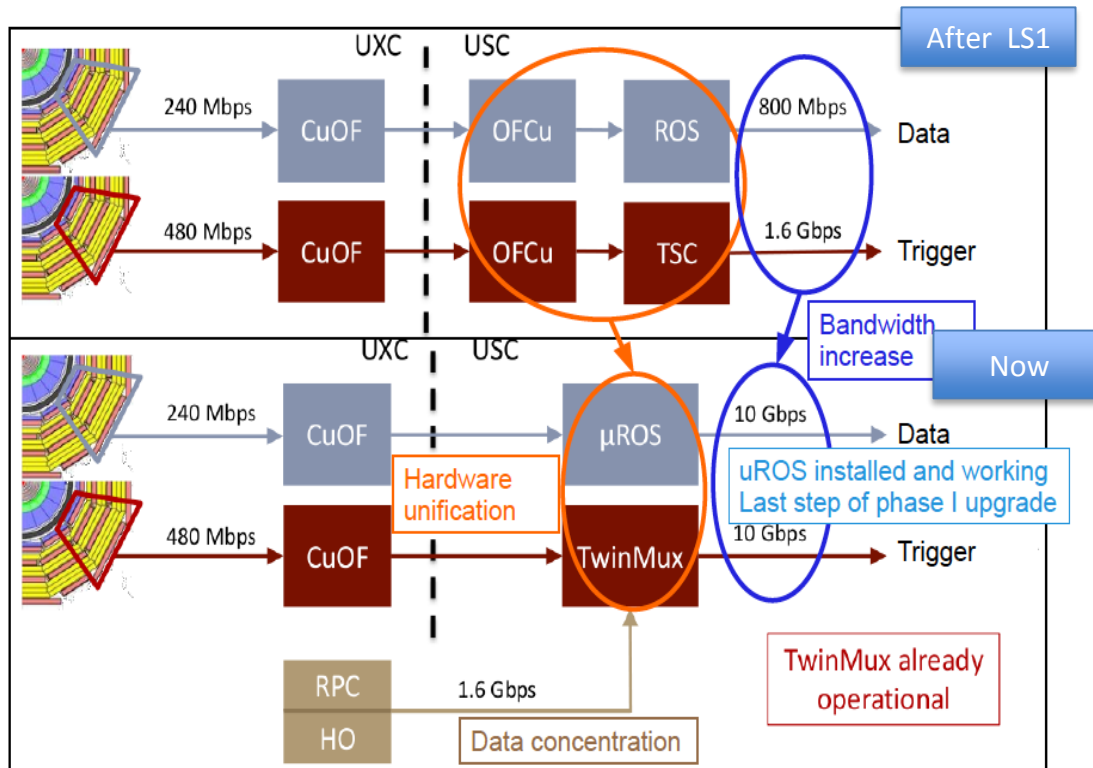


# DT electronics evolution



During LS1 **Trigger Sector Collector** and **Read Out Server** crates have been relocated by simple electronics converting copper signal to optical.

- CuOF does a parallel conversion from copper to optical and OFCu reconverts the signal to copper



More powerful electronics based on  $\mu$ TCA has replaced the **Trigger Sector Collector** and the **Read Out Server**:

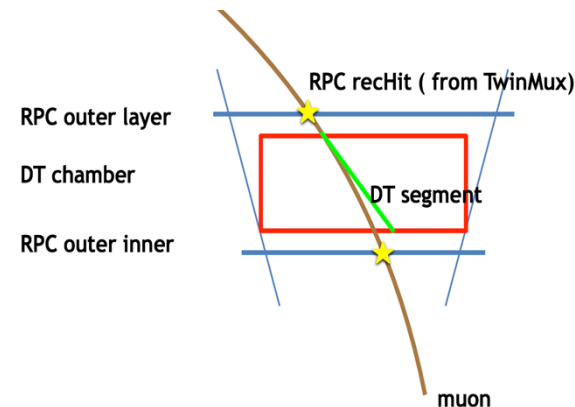
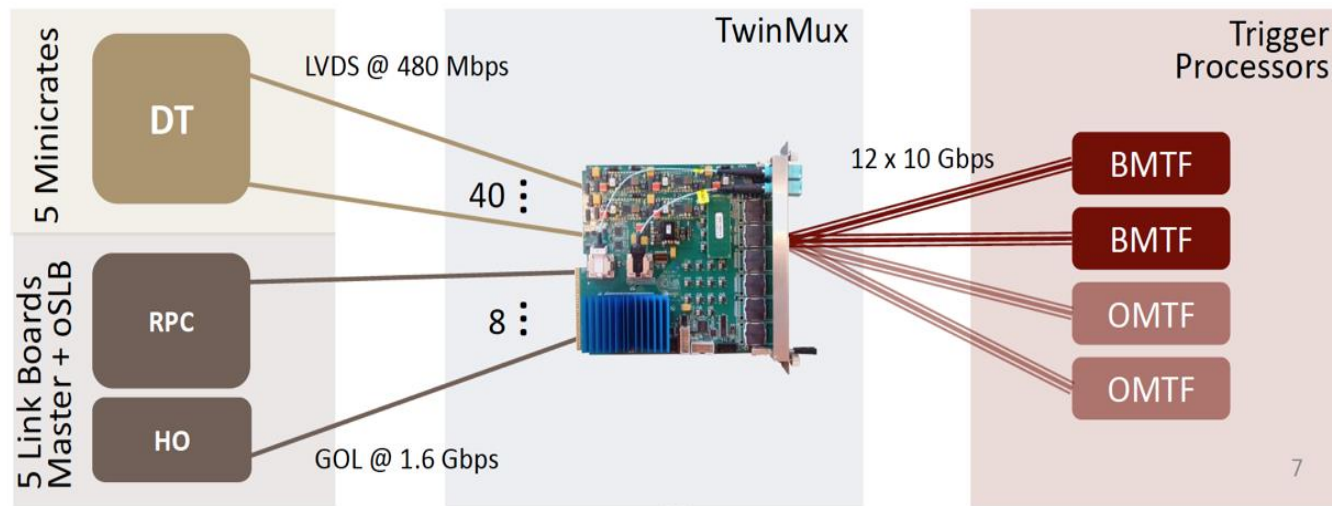
- Such electronics is needed to overcome the limitations from the increase of luminosity in terms of processing speed and trigger rates
- Optical decoupling facilitated the electronics improvement without interfering LHC schedule (optical splitting)



# Barrel electronics upgrade after LS1

## Readout and trigger electronics moved from VME standard to uTCA standard

- **Trigger (TwinMux):** Build Superprimitives (SP) with DT+RPC information.



- **Readout (uROS):**

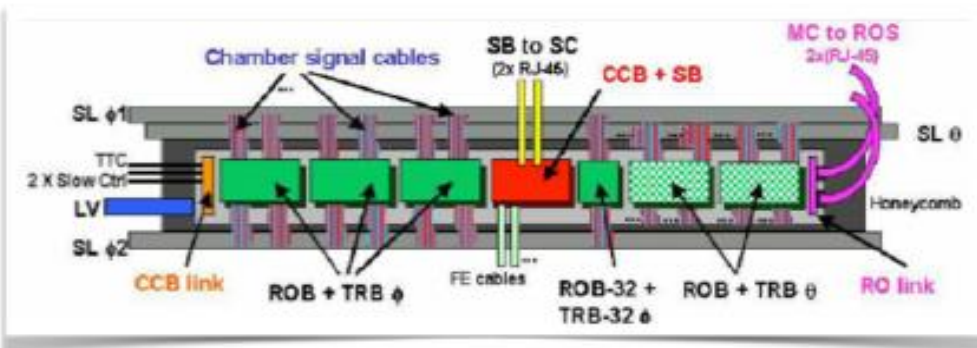
- Same HW as **TwinMux** but different firmware
- Installed in YETS 2017-2018, operating very well in 2018.



# The aging of the DT electronics

In “Minicrates”, located at the ends of DT chambers

- Up to 17 boards of six different types,
- ~100W power consumption



## Local functionalities and processing :

- Trigger primitive generation
- Data readout pipeline
- Slow Controls (front-end and monitoring)

## Original CMS specs:

- pipeline buffering and throughput sized for 3.2us latency and lumi <math>2 \cdot 10^{34}</math>cm<sup>-2</sup>sec<sup>-1</sup>

## Access for maintenance difficult:

- most chambers need detector opening
- lot of CMS cabling running over the MiC



An ageing, complex system with increasing cumbersome maintenance needs and a maximum sustainable event rate topping at ~300kHz



# Overview of DT electronics upgrade in Phase-2

## Electronics need to be upgraded

→ Limited to **L1 rate of 300 kHz**

Need **at least 500 kHz** for the HL-LHC

- Designed for **TIDs corresponding to 500 fb<sup>-1</sup>**
- Buried in iron yoke → **difficult maintenance**
- **Not robust against single-hit inefficiency**

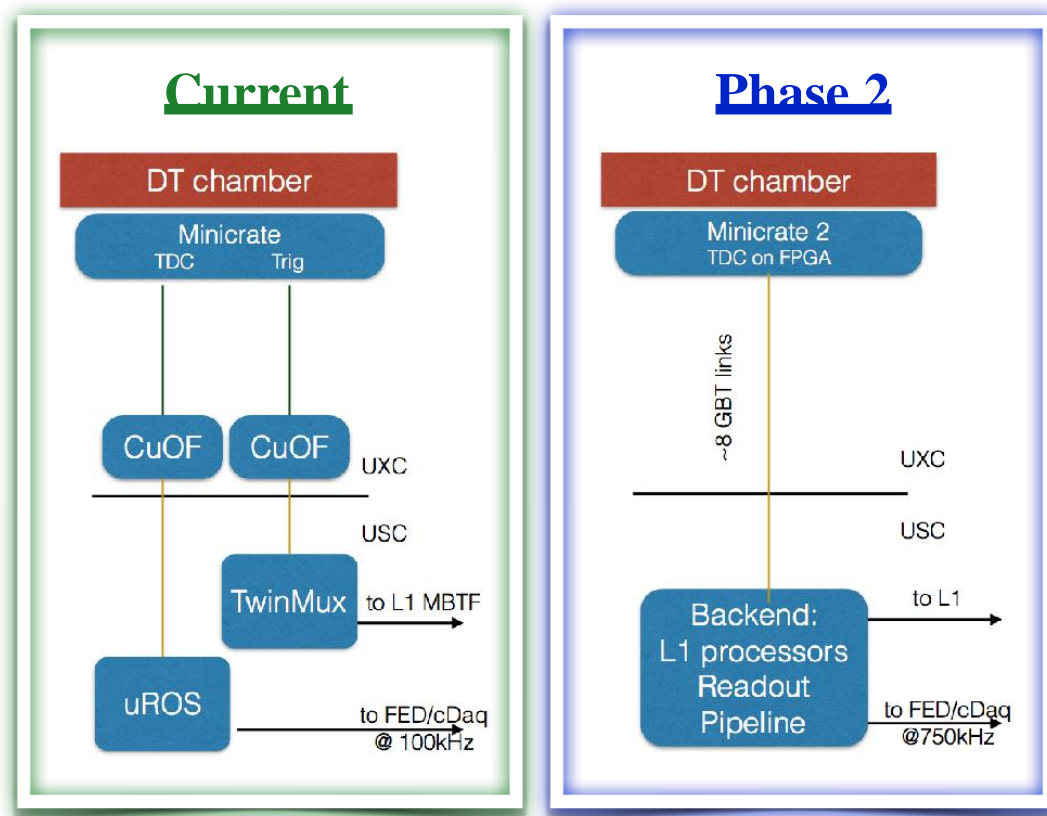
Due to trigger primitives generated locally

Aging of DTs could lead to significant chamber trigger inefficiency

Phase-2 electronics **move complexity to USC**

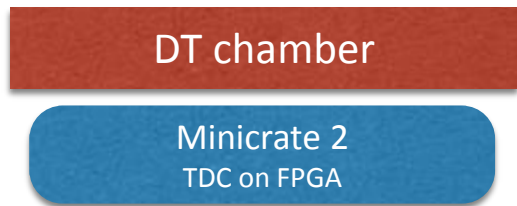
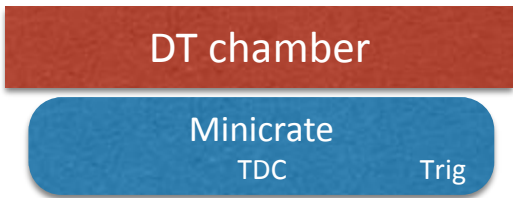
- **Same analog front end**
- **New on-detector board: OBDT**

→ **Trigger primitive generation in new back-end electronics in USC (underground control room)**

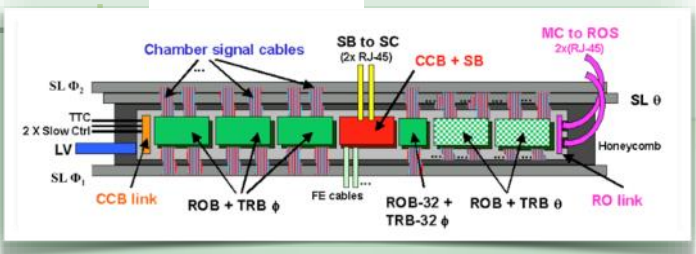




# DT On detector electronics for Phase-2



## Current



1 minicrate per chamber

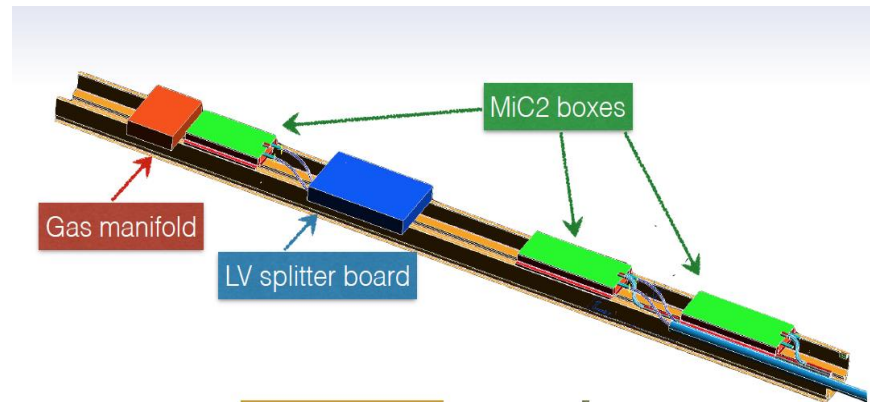
## Phase 2



3-5 OBBDTs per chamber

One single type of board

- New MiC2 designed over one single type of board (OBBDT) that contains:
  - time digitization (required resolution achievable with FPGAs)
  - optical transmission (profit from CERN developments)
  - reduced slow control



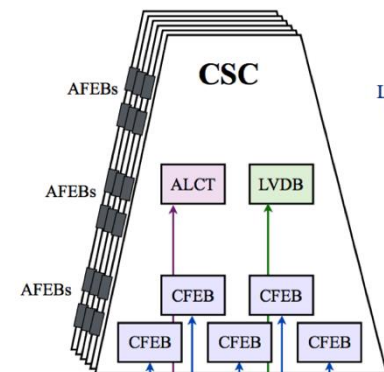
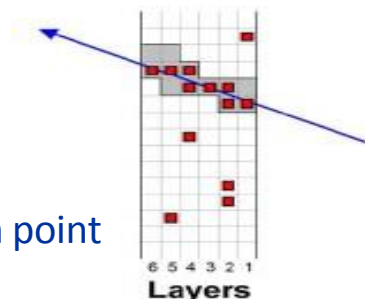


# CSC electronics and trigger primitives generation

- ❖ Wires spaced at 3.2mm, drift times 0-50 ns with small tail up to 75ns
- ❖ 6 layers of information for both anodes and cathodes

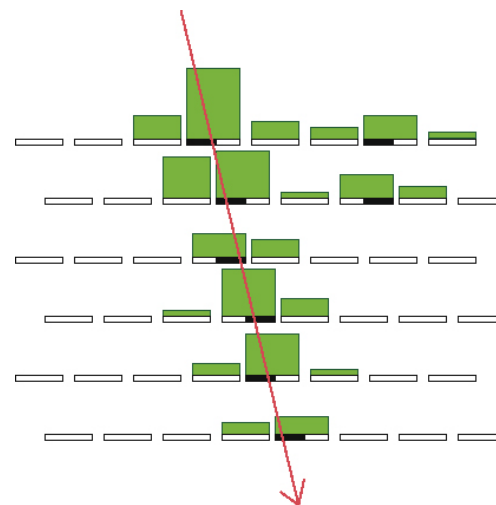
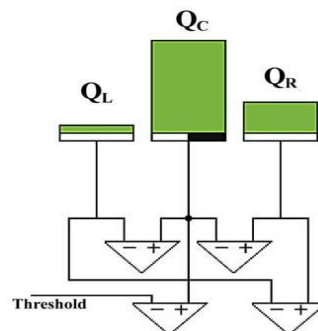
## ALCT board:

- FPGA looks for a pattern of hits every 25 ns
- Anodes “non-bend plane”
- Pattern the same for all muons from interaction point
- Bunch ID
- Fine delay adjustment (2.2ns steps) for times-of-flight
- Time history (16 bx) recorded for each wire group



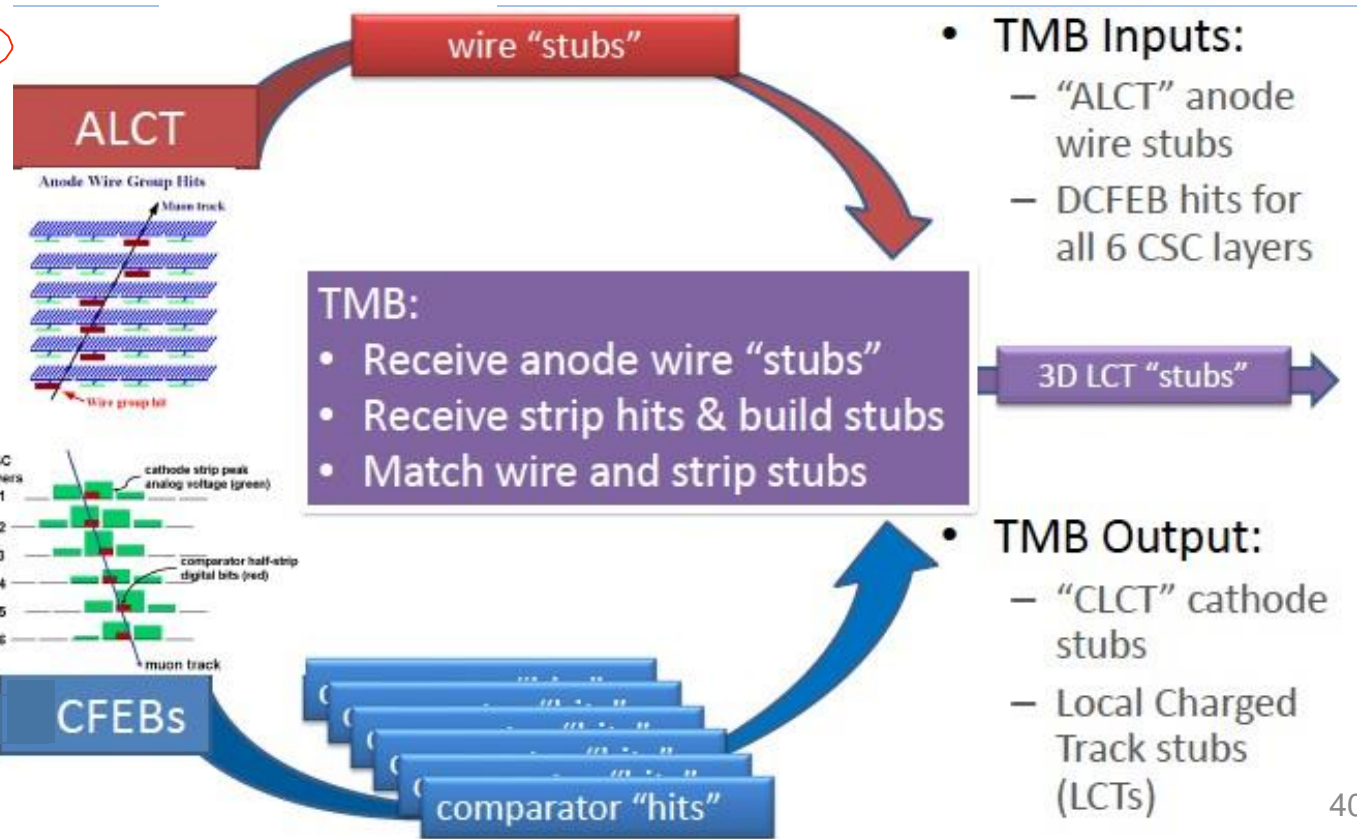
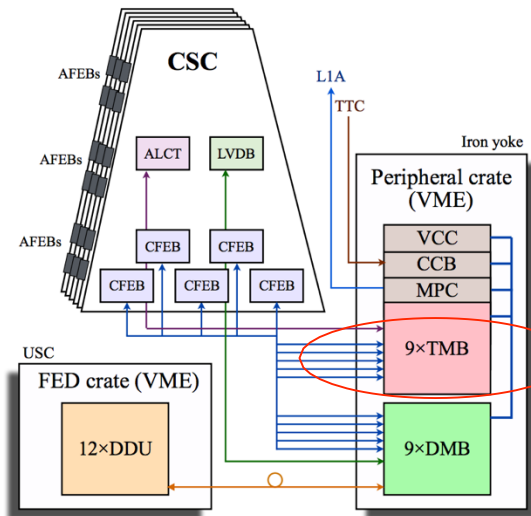
## CFEB:

- Serves 6 (planes) x 16 strips
- Amplifiershaper ( $\tau = 100$  ns)
- Precision charge information stored every 50 ns
- Comparator ASIC finds half-strips hit
- FPGA (programmable) finds 6-layer patterns
  - Patterns are different, depending on Pt of the muon
  - Pt threshold around 3 GeV/c





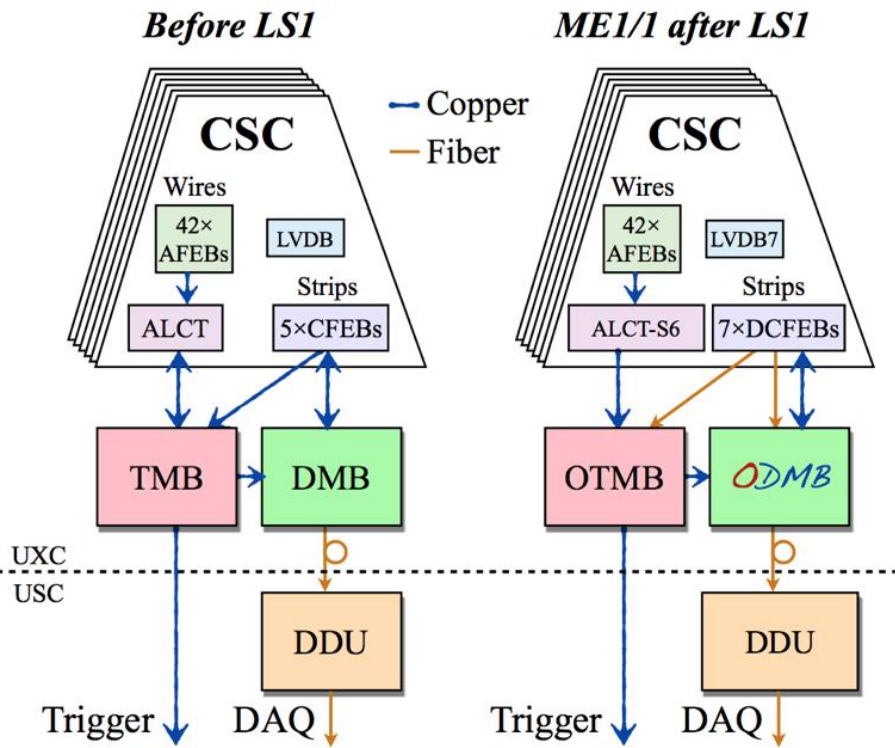
# CSC Trigger Mother Board







# CSC Upgrade in LS1: ME1/1 chamber



DCFEBs continuously digitize strip signals

➡ Signals stored in deep buffers inside powerful Virtex-6 FPGA

Trigger/readout data sent via 3.2 Gb/s links to OTMB/ODMB

ALCT mezzanine upgraded

➡ Based on more powerful Spartan 6 FPGA (ALCT-LX150 (it has a Spartan-6 LX150 FPGA), capable of improved algorithms

TMB upgraded (OTMB) with much more powerful Virtex-6 PFGA

➡ Also increased bandwidth from DCFEBs with 3.2 Gb/s optical link

New DMB is capable of handling 7 DCFEBs  
➡ Data received via seven 3.2 Gb/s links  
➡ Powerful Virtex-6 FPGAs stores data in internal FIFOs.

Same 1.6 Gb/s output link to DDU



# CSC Electronics Upgrade for Phase-2

## On chamber Electronics:

**Cathode Front End Board (CFEB)** will be update with Digital CFEB in all ME234/1 chambers

- DCFEBv2 very similar to DCFEB (installed in 2013-14 in ME1/1 ring)

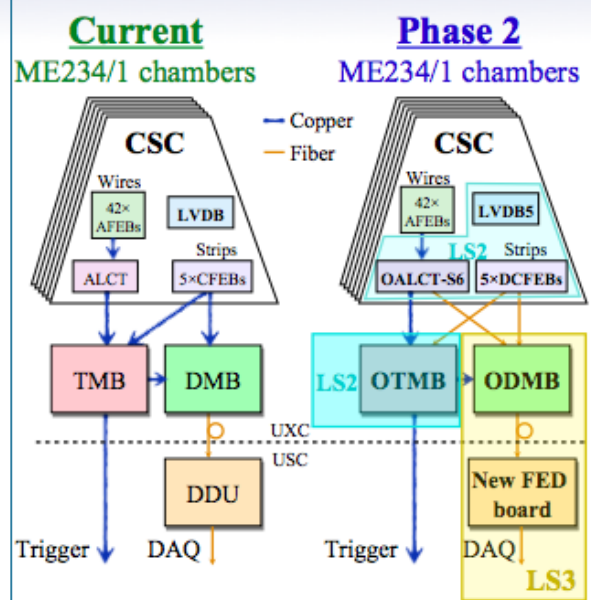
**DCFEB will be updted to xDCFEB in ME1/1 chamber:**

- Main difference with respect to DCFEBv1 is increased radiation hardness

## Anode local charged Track (ALCTs)

- designs based on ALCT mezzanine boards installed in ME1/1 and ME4/2 during LS1
- New ALCT with Optical link in ME1234/1 (LX100/LX150)
- Current ALCT from ME1/1 to ME1/3

**Low Voltage Distributor Boards** will be update to provide power to new DCFEBs and ALCTs



## Off chamber Electronics:

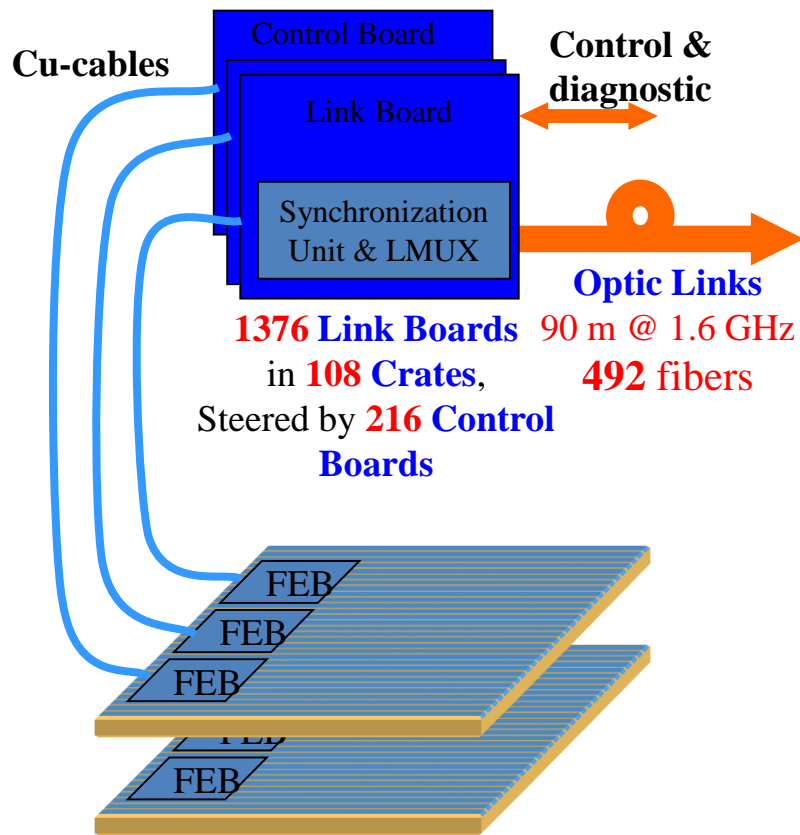
**Trigger Motherboard (TMB):** replaced with Optical TMB in order to receive DCFEB trigger data; increased algorithmic power

**Data Mother Boards (DMB):** replaced with Optical DMBs

- based on new generation Artix-7 FPGAs
- new optical fibers at 6.4 Gb/s



# RPC Link System Upgrade in Phase-2



## Resistive Plate Chambers

Up to 6 layers of detectors.

**480** chambers in Barrel, **648** in Endcap

**Off-detector electronics:** consists of Link and Control Boards ("Link System") and is located in crates on the balconies around CMS.

## Motivation for Upgrade:

- **Operation issues:**
  - the CBs are connected into ring configuration. If one CB fails then the entire ring does not work, leading to a loss of 6 % of the system.
- **Maintenance:** Not enough spares available (rely on old ASICs)
- **Low speed data transmission links (1.6 Gbps)**

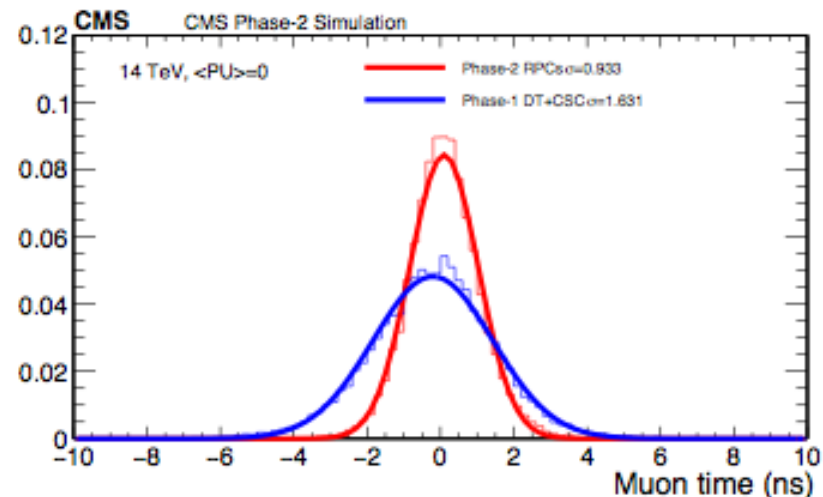
**Electronics will be replaced during Long Shutdown3.**



# RPC New Link System Design

## New LB and CB

- Back compatible with the present Link Boxes. Signal cables from the chambers will be not removed.
- Based on Xilinx 7 FPGAs (replace ASICs)
- RPC signal sampling frequency will be 640 MHz clock from the present 40 MHz clock
  - **Time resolution will be improved: from 25 ns to 1.6 ns. Impact on muon trigger and offline reconstruction.**
- Background hits out of time could be removed and synchronization facilitated
- More robust
- Higher bandwidth (10 Gbps output, lpGBT and VL+)





## 2. Enhancement of the forward region $1.6 < |\eta| < 2.4$ :

Additional GEM and RPC layers with new technology for improved triggering, background rejection.



# HL-LHC: Muon requirements in endcap region

## Very challenging region:

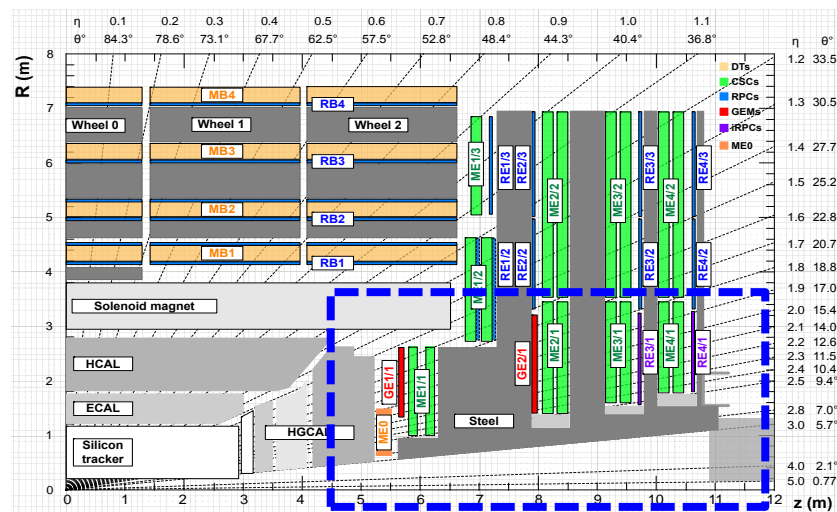
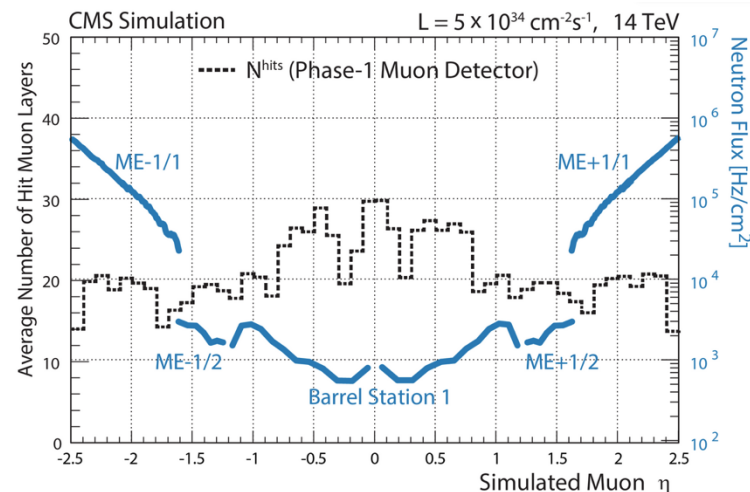
- High rates: muons, background hits, punchthrough
- Small bending of muons by magnetic field
- Currently only CSC chambers at high  $\eta$

## Event reconstruction capabilities (trigger and offline) require, particularly in forward region:

- to enhance redundancy, to increase # of measurements with good spatial and time resolution, to solve track reconstruction ambiguities.

## Extended acceptance

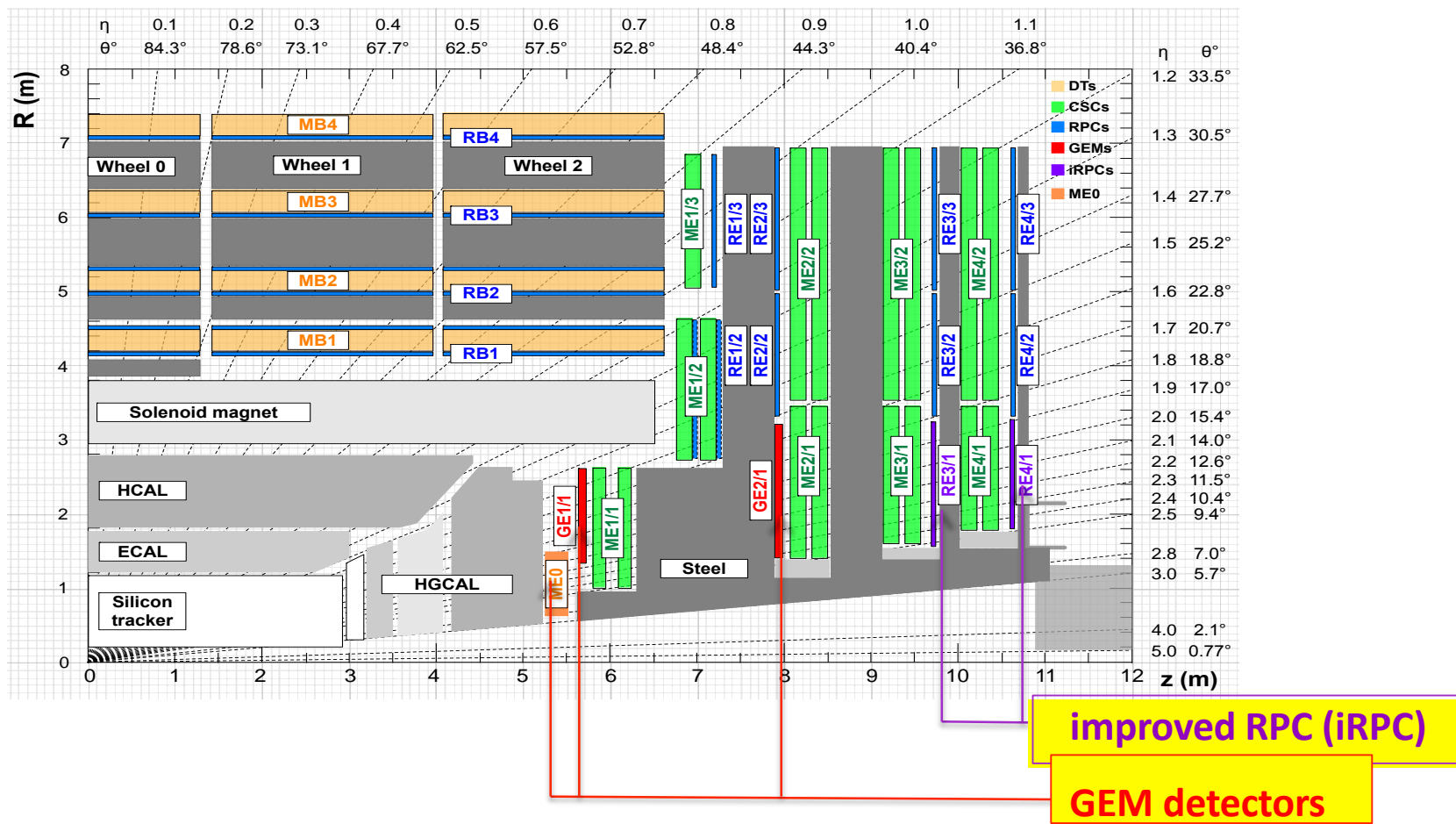
- to complement the wider tracking and calorimeter coverage and to reduce physics backgrounds from “lost leptons”





# Enhancement and extension of the forward region

**UPGRADE:** augment the system with new detectors.

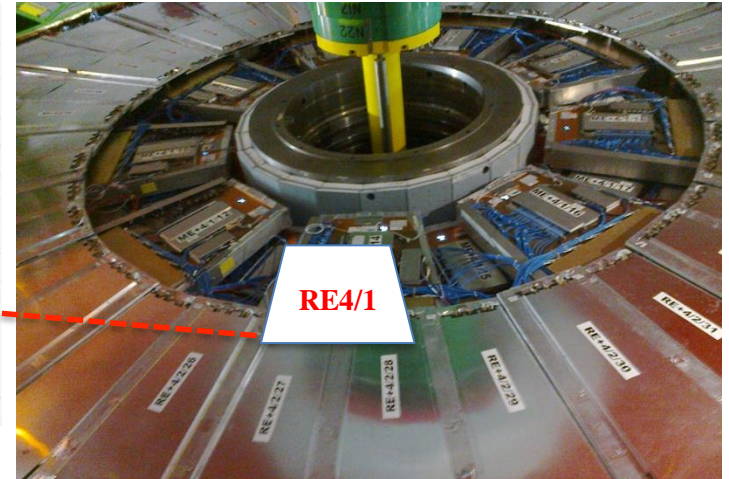
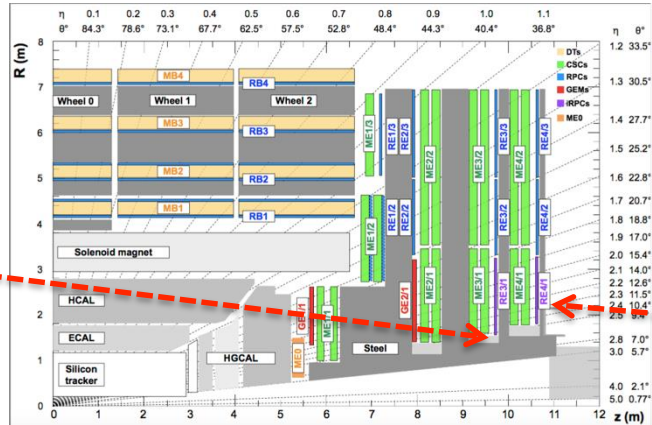




# Forward muon system enhancement: improved RPC in RE3/1 and RE4/1



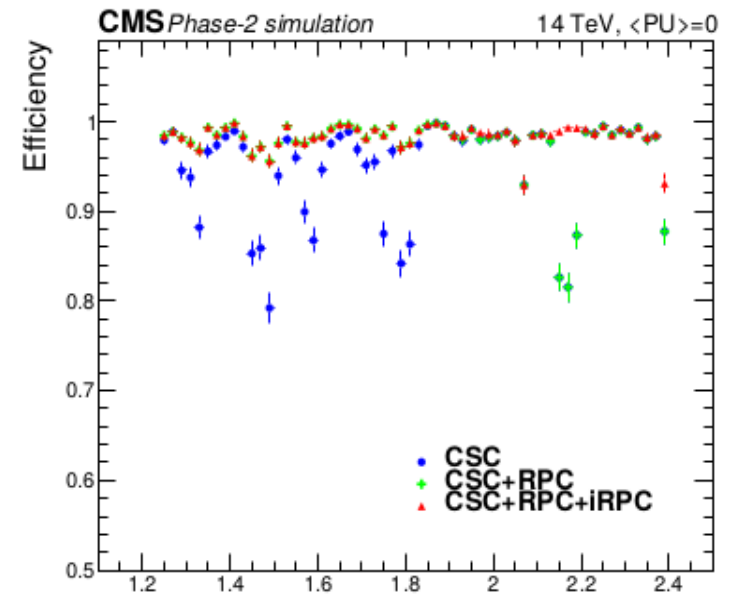
RE3/1



RE4/1

New 72 chambers (RE3/1 and RE4/1), related electronics and services to extend the coverage in  $|\eta|$  to  $1.8 < |\eta| < 2.4$  using an improved design of RPCs (iRPCs) in order to:

- handle the particle rates foreseen at HL-LHC (2 KHz/cm<sup>2</sup> including safety factor 3)
- restore redundancy L1 muon trigger.

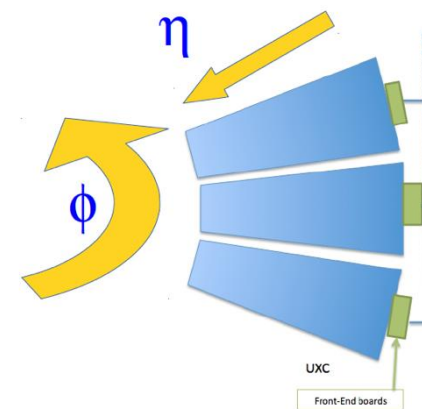
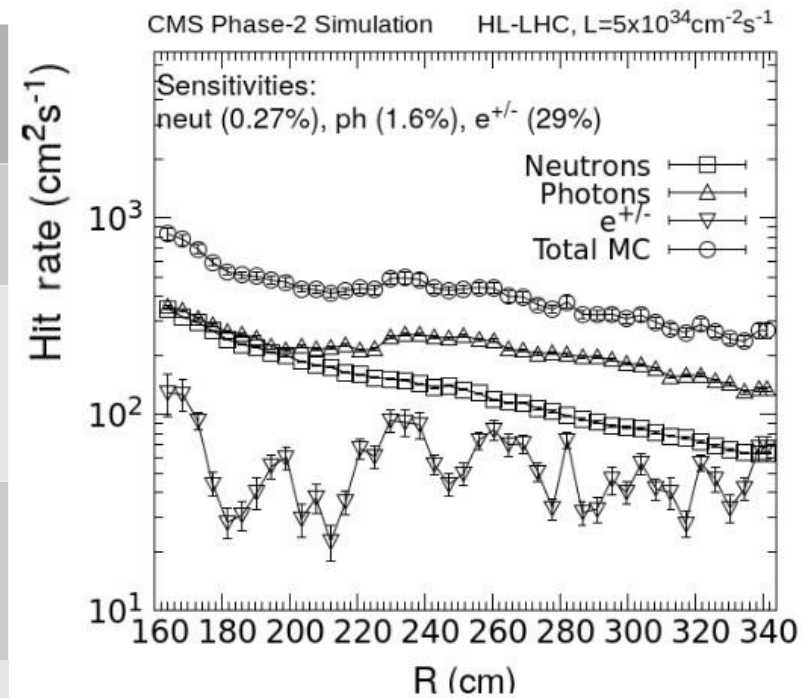






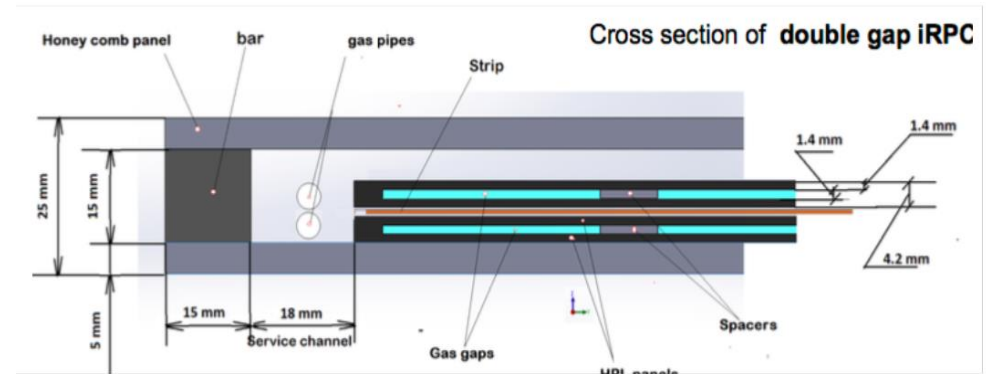
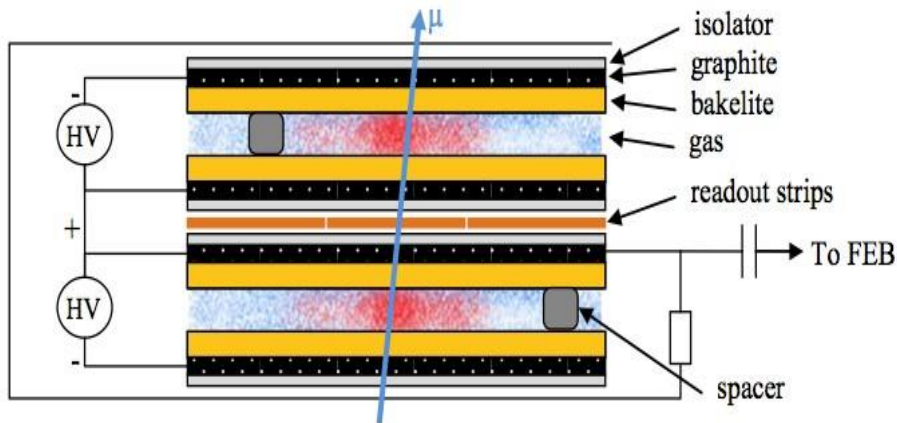
# Requirements for the iRPC chambers

	Present system	iRPC
$ \eta $ coverage	0 – 1.9	1.8 – 2.4
Max expected rate (Safety factor SF = 3 included)	600 Hz/cm <sup>2</sup>	2 kHz/cm <sup>2</sup>
Max integrated charge at 3 ab <sup>-1</sup> (SF = 3 included)	~ 0.8 C/cm <sup>2</sup>	~ 1.0 C / cm <sup>2</sup>
$\phi$ granularity	~ 0.3 °	~ 0.2
$\eta$ resolution	~ 20 cm	~ 2 cm
time resolution	1.5 ns	< 1 ns





# Requirements for the iRPC chambers

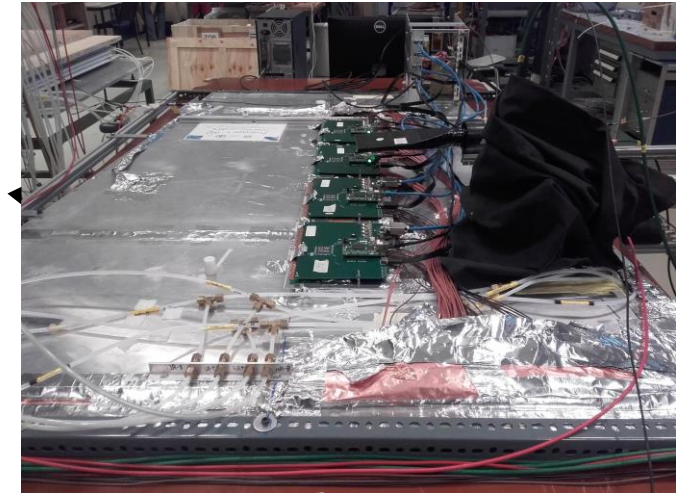
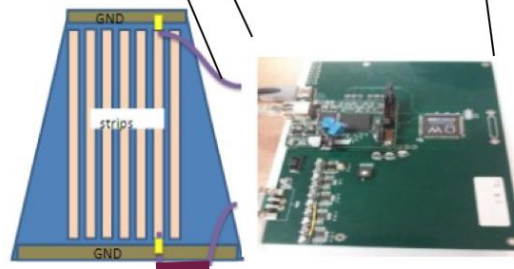
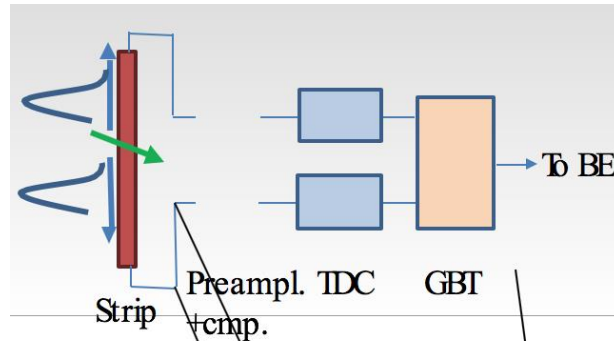
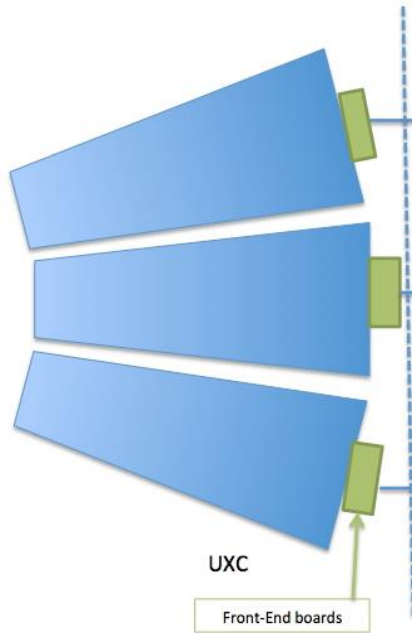


- **Resistivity of HPL:** 1 - 6e10 (RPC) → < 2e10  $\Omega$  cm (iRPC)
- **Gap/electrode thickness:** 2.0 mm (RPC) → 1.4 mm (iRPC)
  - Reduce the pick up charge and operational voltage. Less ageing.
  - Reduce recovery time.
  - Efficiency of extracting pickup charge.
- **Electronic threshold :** 150 fC (RPC) → 50 fC (iRPC)
  - Better sensitivity to reduced charge.



# iRPC Electronics

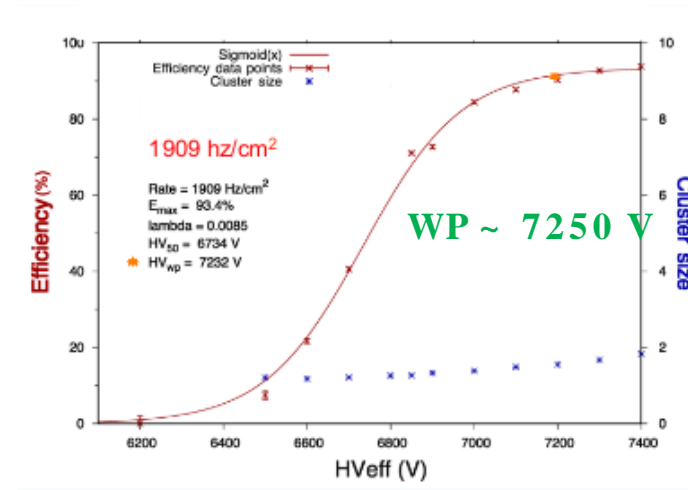
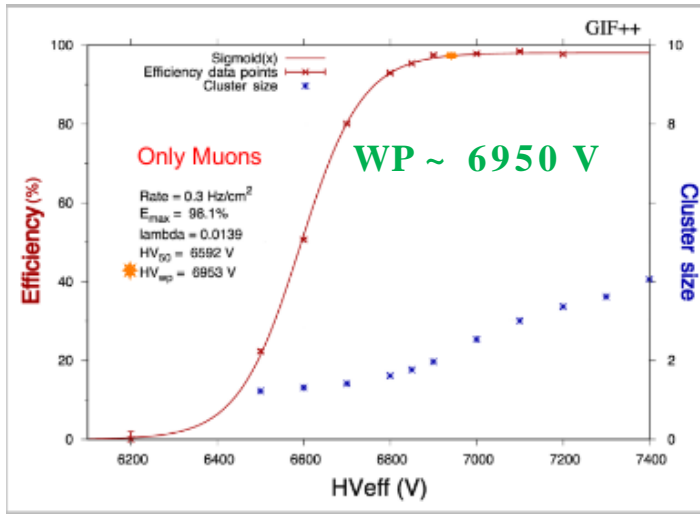
- Electronics scheme of the project with 2 sided readout: 2 cm resolution in  $|\eta|$  with limited number of channels.
- Based on OMEGA ASIC in TSMC 130 nm (Petiroc) with 64 chan/chip.
- TDC implemented in FPGA
- Both sides are connected at the same PETIROC to reduce jitter
- New power system, new services



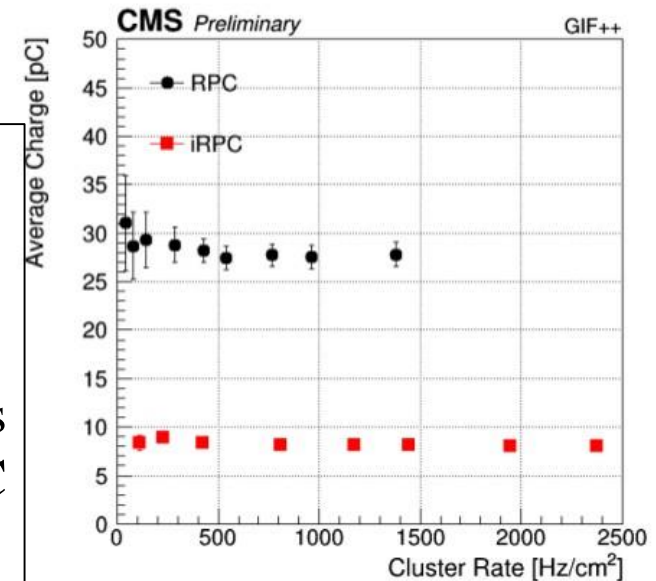
FULL SIZE PROTOTYPE



# Validation of iRPC detector

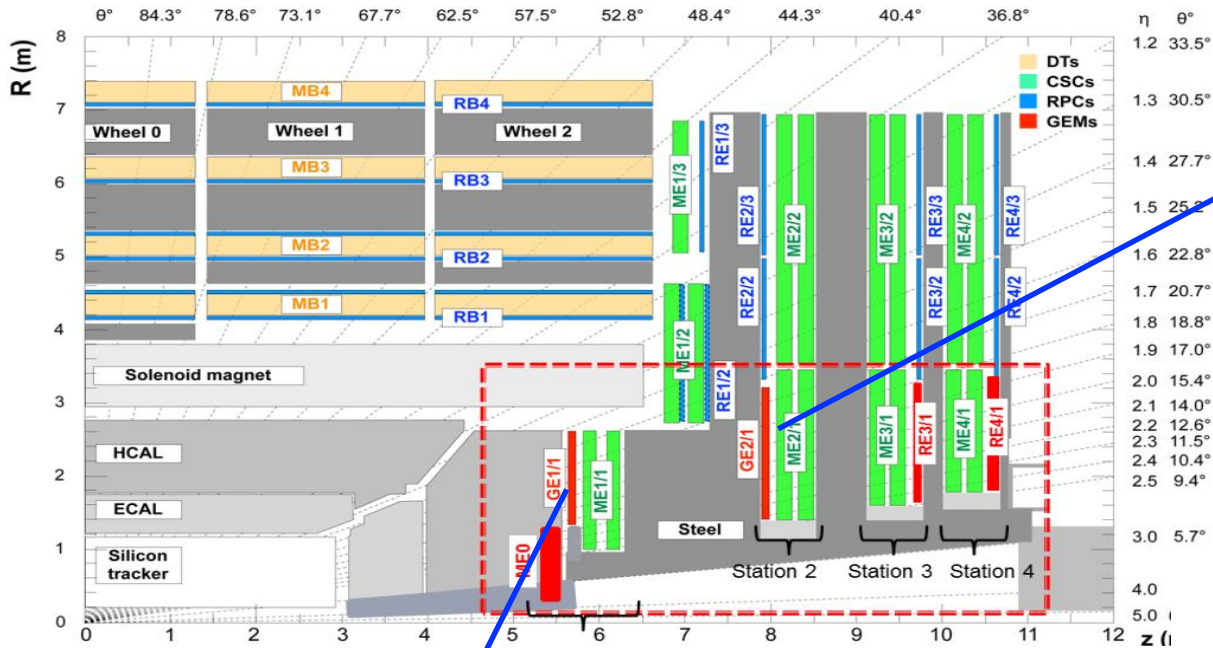


- No background, only muons: 98 % efficiency at Working Point (WP) ~ 7 kV.
- Background of 2 kHz/cm<sup>2</sup>: 94% efficiency with WP + 300 V.
- The average charge (or the gain) within the gap is constant at WP optimized for each rate. The iRPC charge is 3 times lower than for RPC.





# Forward muon system enhancement: GEM project



**GE2/1:**

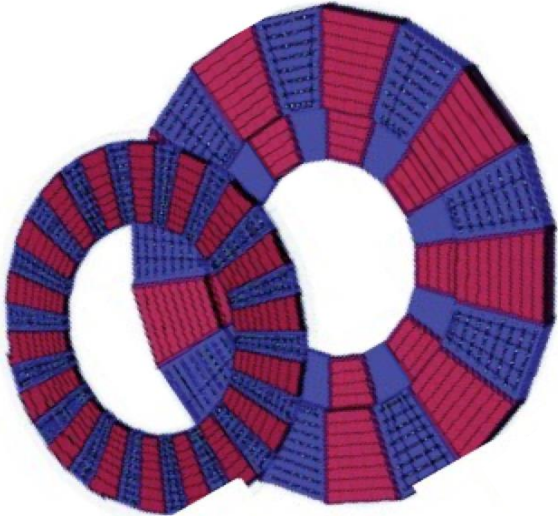
## Trigger and reconstruction

- $1.6 < |\eta| < 2.4$
- 18 staggered SC per endcap, each **Triple-GEM** chamber spans  $20^\circ \rightarrow 72$  chambers each divided in 4 modules: 288 chambers
- **Installation in YETS 2021/22, 2022/23**

**GE1/1:**

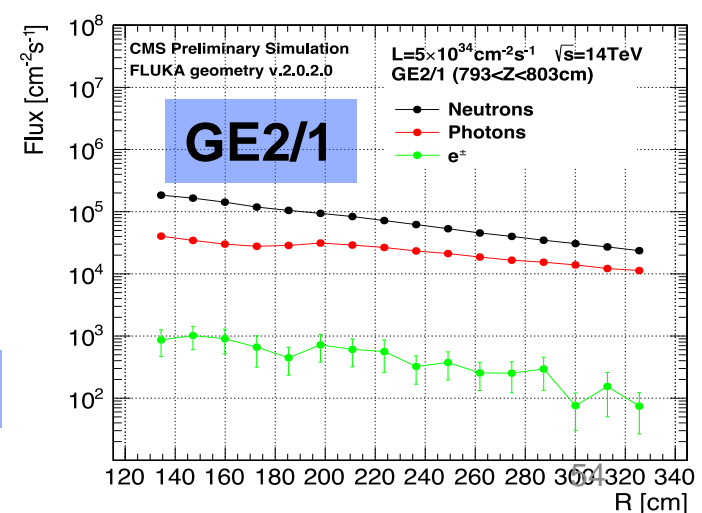
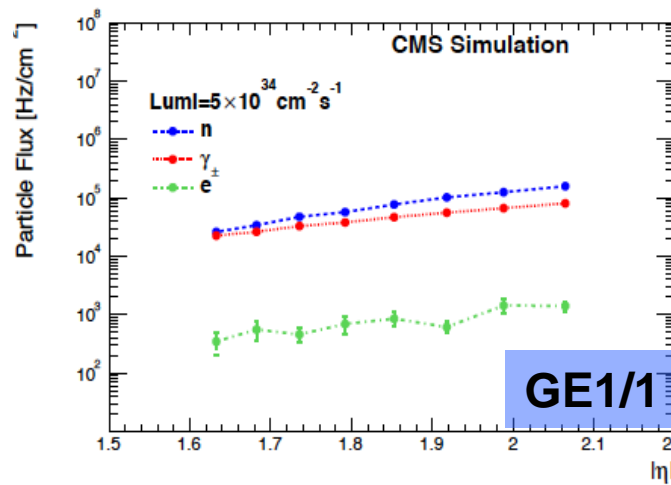
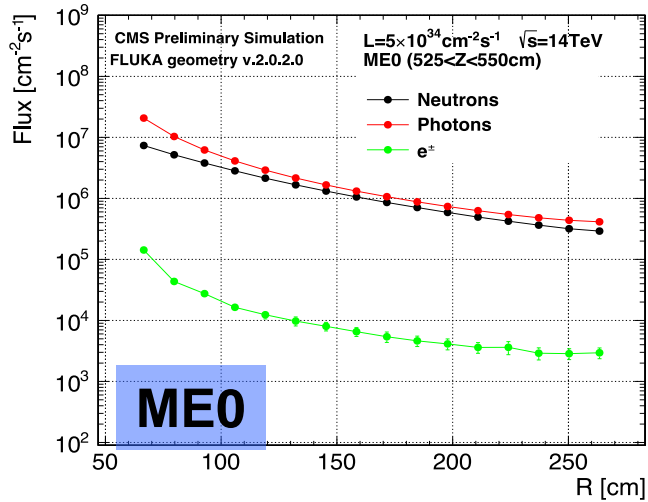
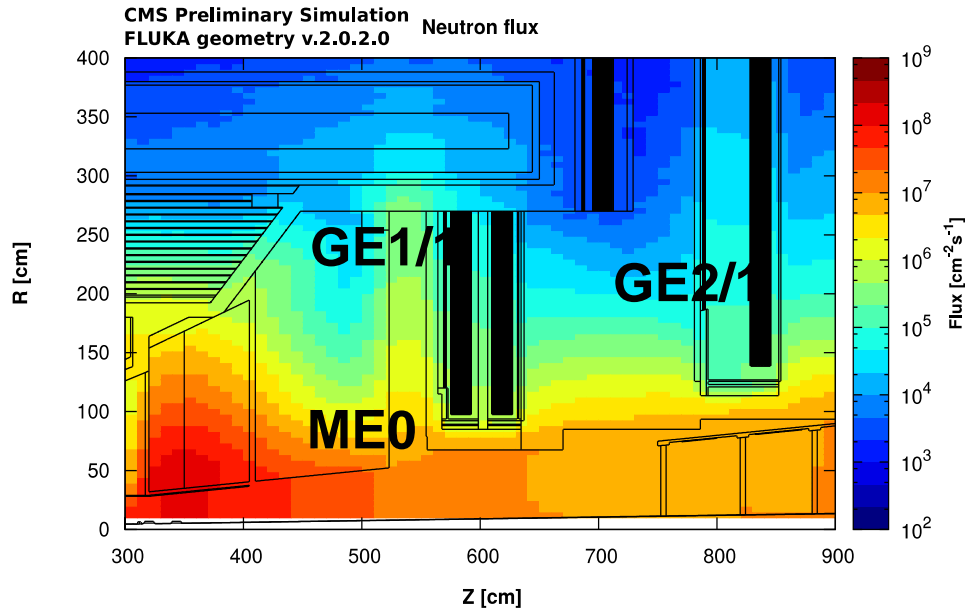
## Trigger and reconstruction

- $1.5 < |\eta| < 2.2$
- 36 staggered super-chambers (SC) per endcap, each **Triple-GEM** chamber spans  $10^\circ \rightarrow 144$  chambers in total
- **Installation: LS2 (2019-21)**





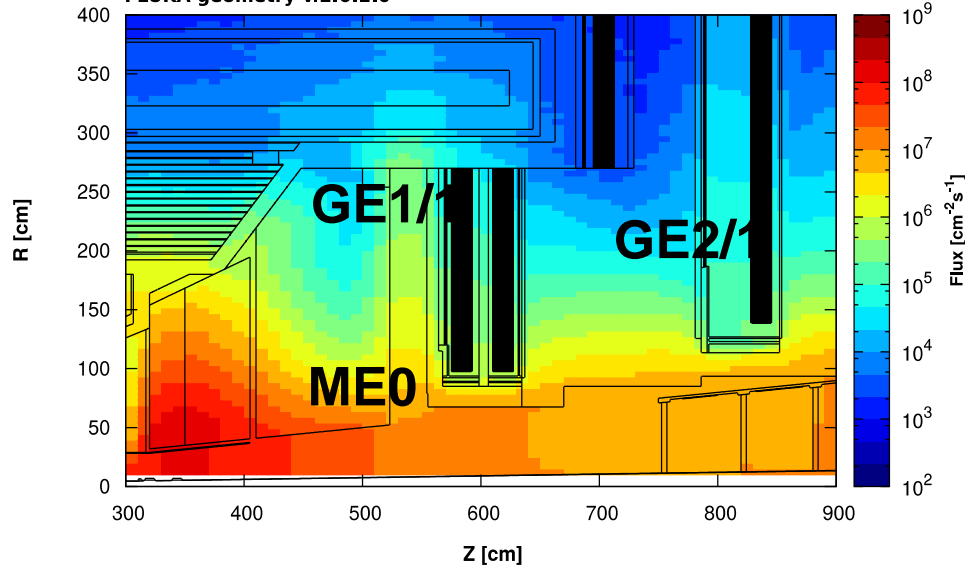
# The background in forward region



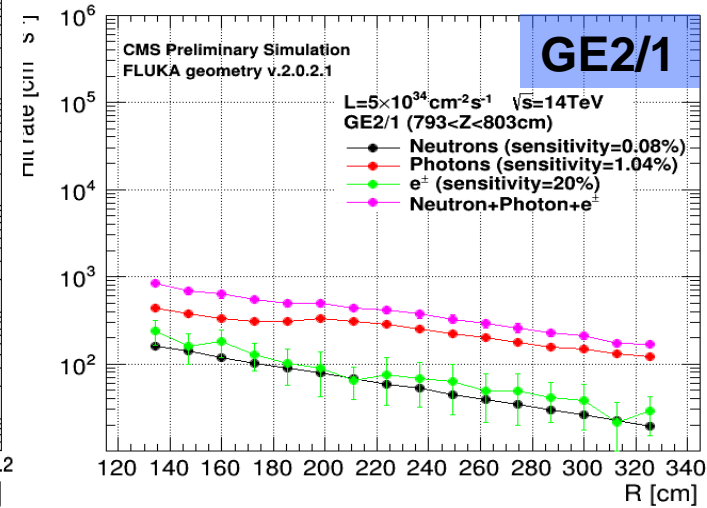
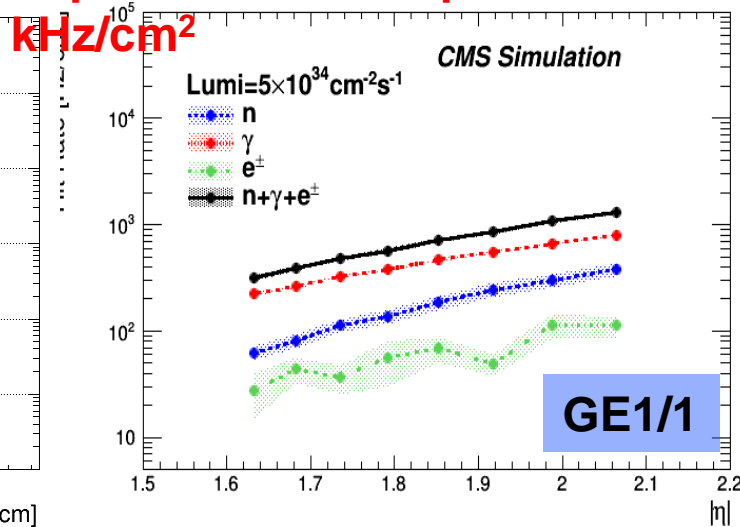
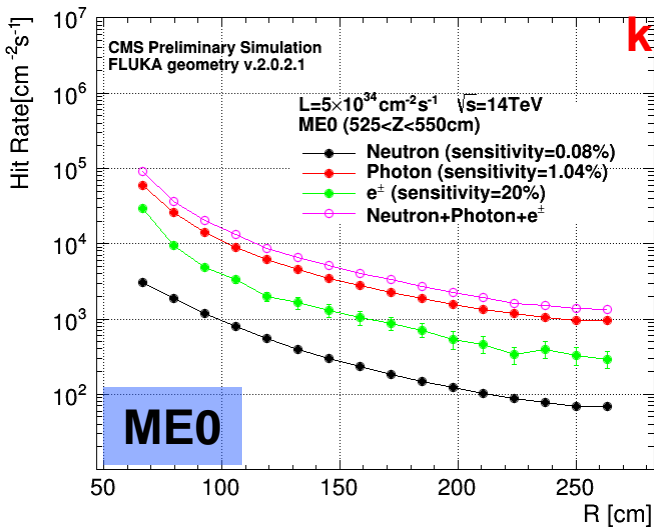


# The background in forward region

CMS Preliminary Simulation  
FLUKA geometry v.2.0.2.0 Neutron flux



• Expected hit rate up to 100's Hz – 100's kHz/cm<sup>2</sup>



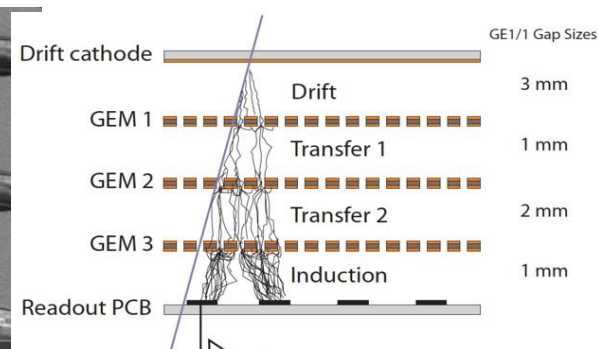
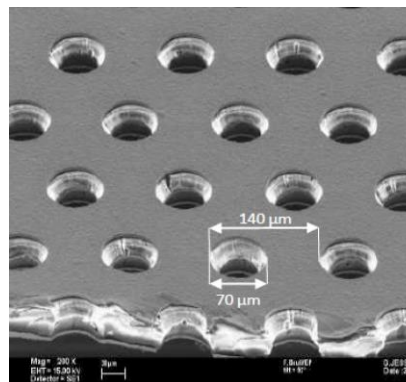


# The GE1/1 and GE2/1 detectors

Two additional detectors with good spatial resolution for triggering and reconstruction purpose

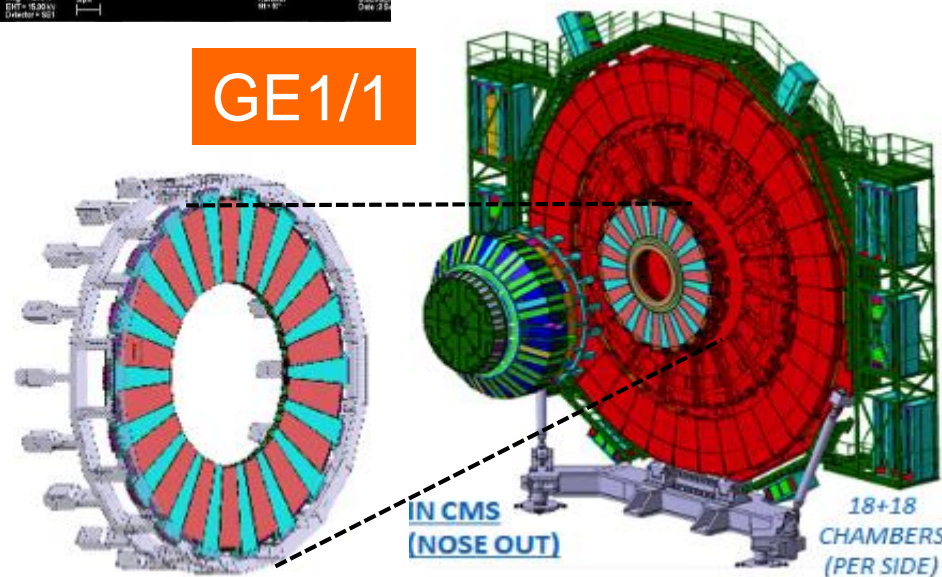
Based on triple-GEM technology:

- high gas gain  $10^4$
- High spatial resolution  $O(100 \mu\text{m})$
- Rate capability to MHz/cm<sup>2</sup>
- very resistant to aging



Two layers of triple-GEM (super-chamber) to be installed in front of existing CSC chamber: signals to be used in conjunction with CSC trigger primitives

- GE1/1 consists of  $72 \cdot 10^\circ$  triple-GEM super-chambers
  - Covers  $1.6 < |\eta| < 2.2$  and it would be **installed already in LS2 (2019-2020)**
  - A slice of the system (5 superchambers installed since Spring 2017.
- GE2/1 consists of  $36 \cdot 20^\circ$  triple-GEM super-chambers
  - Covers  $1.6 < |\eta| < 2.4$   
**to installed already in YETS (2021/2022) and YETS (2022/2023)**

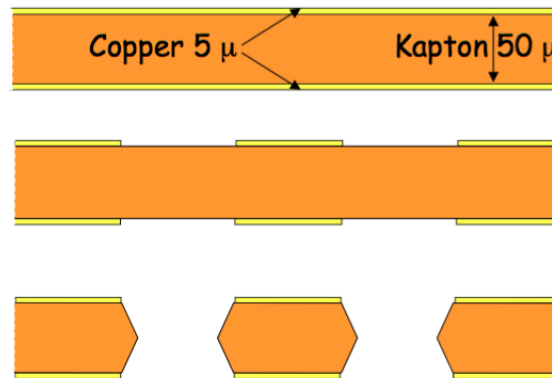
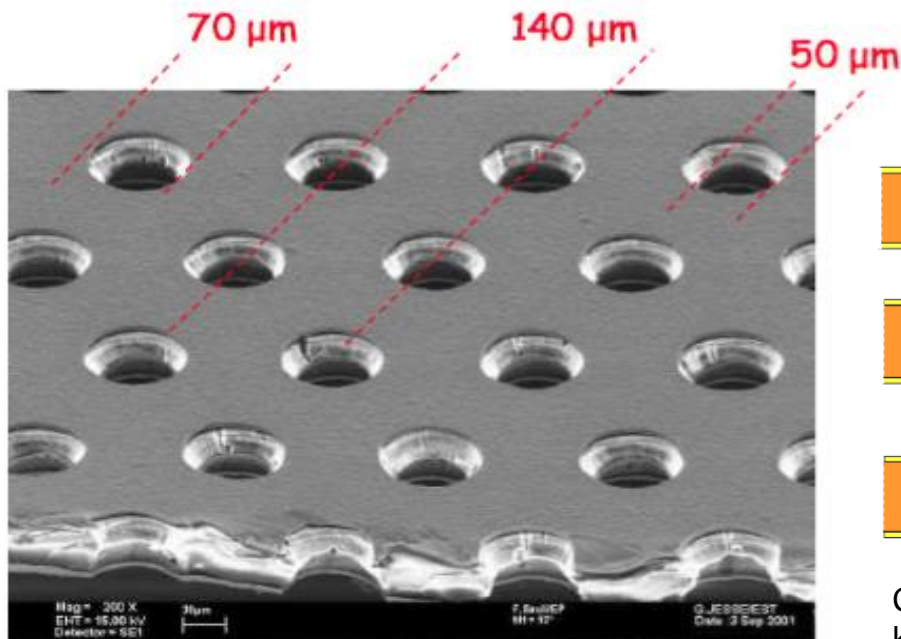




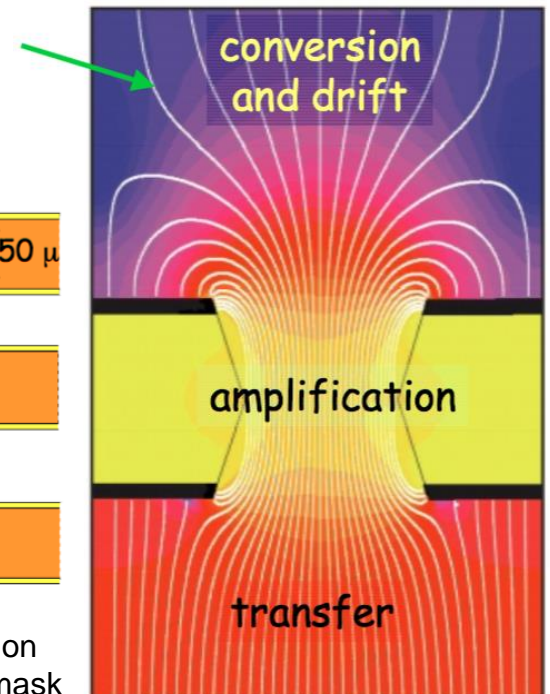


# The GEM working principle

- 50  $\mu\text{m}$  thick kapton foil, copper clad on each side and perforated by an high surface-density of bi-conical channels;
  - Kapton is a high-resistivity material
- By applying a potential difference between the two copper sides an electric field as high as 100 kV/cm is produced in the holes acting as multiplication channels.
- Potential difference ranging between 400 - 500 V



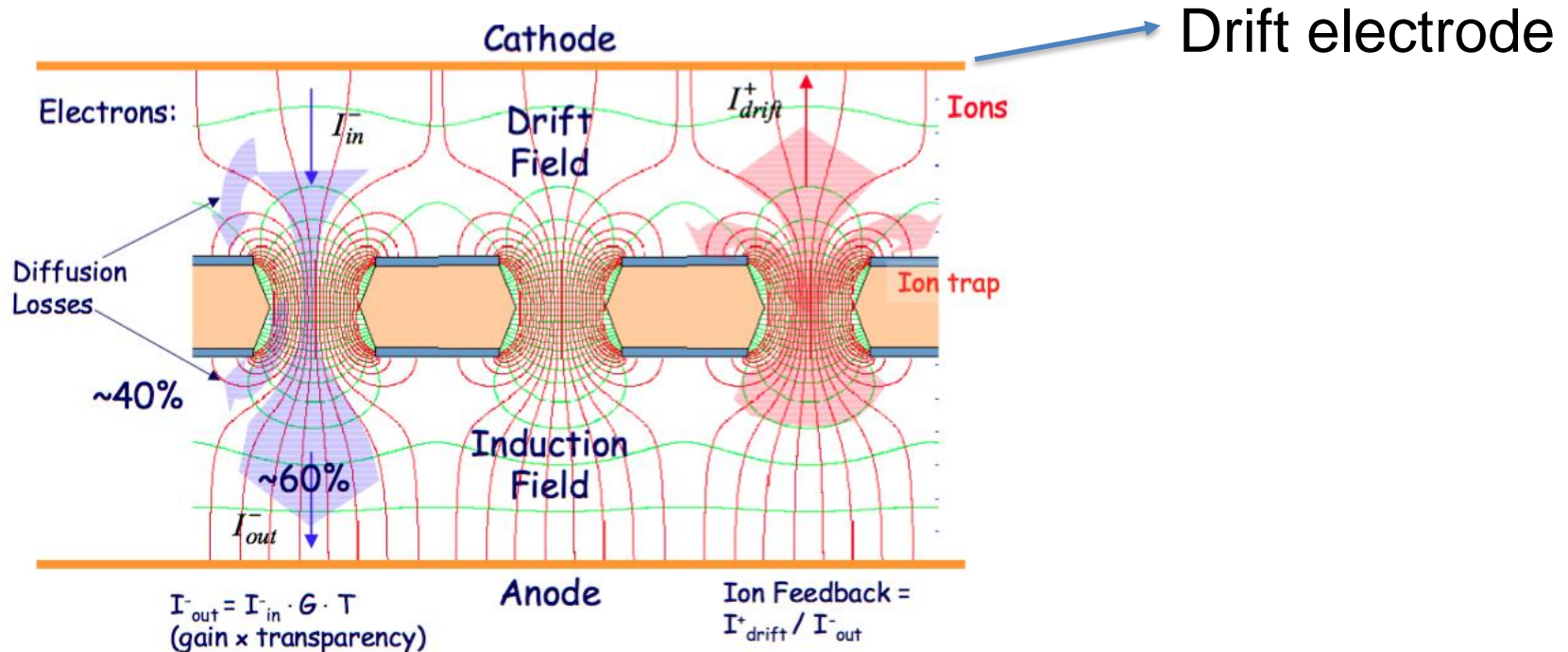
Copper etching by chemical solution  
kapton etching using the copper mask





# The Single GEM working principle

1. Electrons are collected on patterned readout board.
2. All readout electrodes are at ground potential.
3. Positive ions partially collected on the GEM electrode



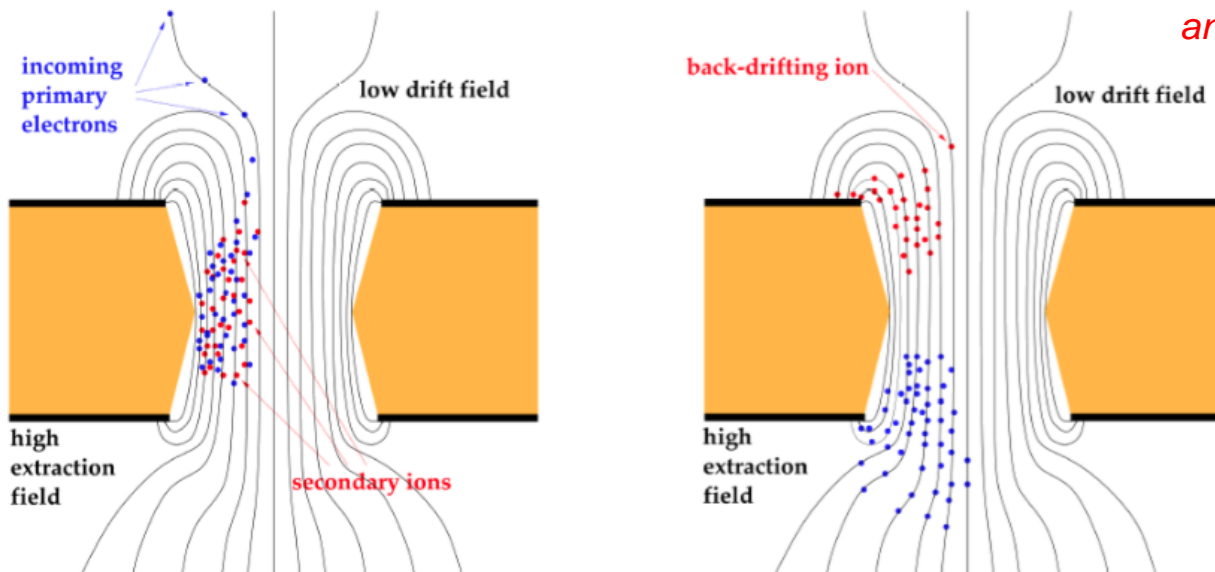
- To study gain in GEM we have to analyze which are the mechanisms of charge amplification
- We identify three electric fields: *drift field*, *multiplication field*, *induction field*



# Ion Backflow suppression

- Natural IBF suppression:
  - Asymmetric mobility [low for ions – high for electrons]
    - Electrons move to larger fields in the amplification channel
    - More ions are produced at the edges → trajectory ends on top electrode
  - Asymmetric field [drift – induction]
    - Many field lines end on top electrons (ion capture)
    - Transfer region allows for good electron extraction

*Ion backflow=ratio of positive ions reaching the drift electrode to the electron charge detected at the anode*



*Ions are re-absorbed by the walls!!!  
electrons are lost on the lower face of the GEM electrode,*

1. The electric fields (drift and induction) play a crucial role to define the so called *Transparency*
2. Another important parameter is the geometry of holes



# Gain Determination

$$\epsilon^{coll} = \frac{\text{electrons collected in the holes}}{\text{electrons produced above the holes}}$$

Collection efficiency

$$\epsilon^{extr} = \frac{\text{electrons extracted from the holes}}{\text{electrons produced in the holes}}$$

Extraction efficiency

$$G_{int} \propto e^{\bar{\alpha} \Sigma V_{GEM}}$$

Intrinsic gain

$$G_{eff} = G_{intr} * \epsilon^{coll} * \epsilon^{extr} = G_{intr} * T$$

Effective gain

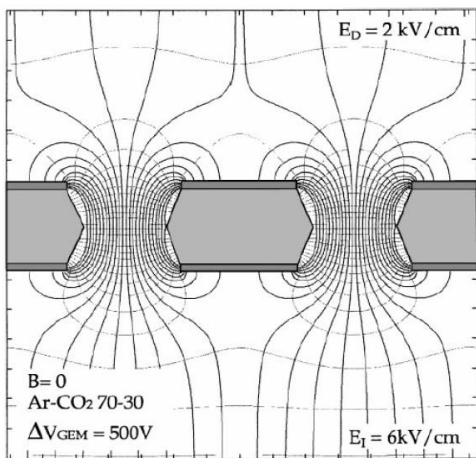
Since a field-dependent fraction of the multiplying electrons is lost on the lower face of the GEM electrode, the useful or effective gain, defined as ratio of the detected to the primary ionization charge, is always lower than the real gain of the multiplier.



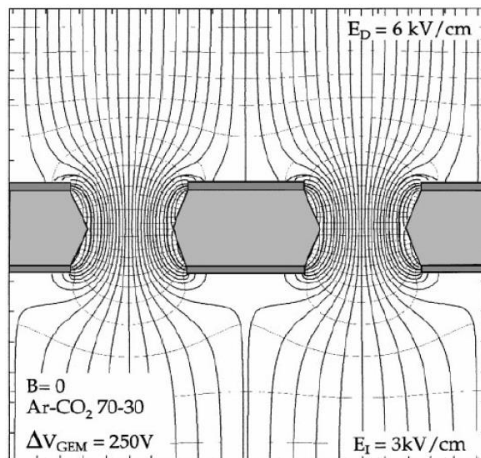
# GEM detector parameters

Electron transparency dependence vs  
Different drift and induction electric field

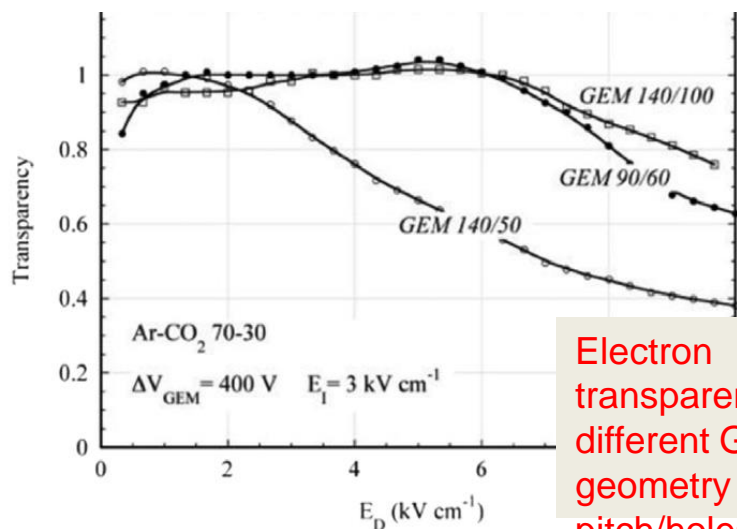
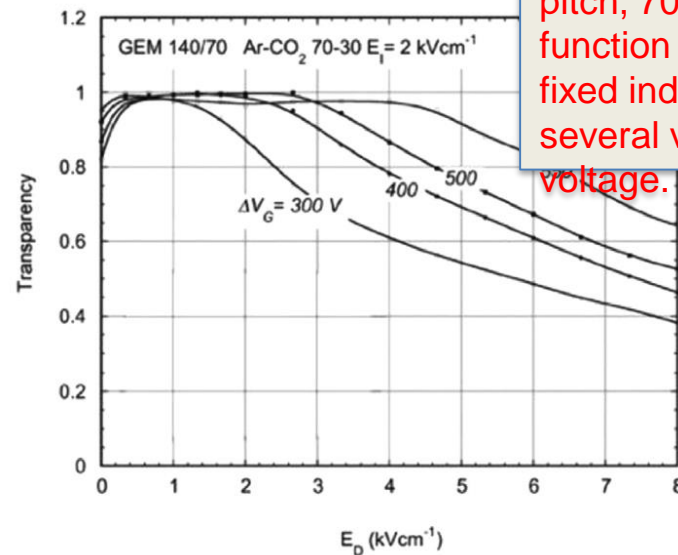
Electron transparency of a standard GEM electrode (at 140  $\mu\text{m}$  pitch, 70  $\mu\text{m}$  holes) as a function of drift field for fixed induction field, for several values of GEM voltage.



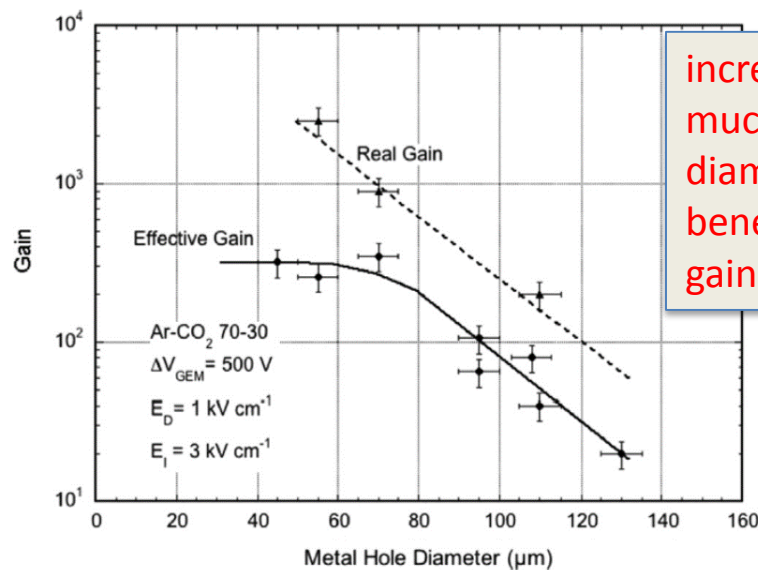
(a)



(b)



Electron transparency for different GEM geometry labeled as pitch/holes diameter.

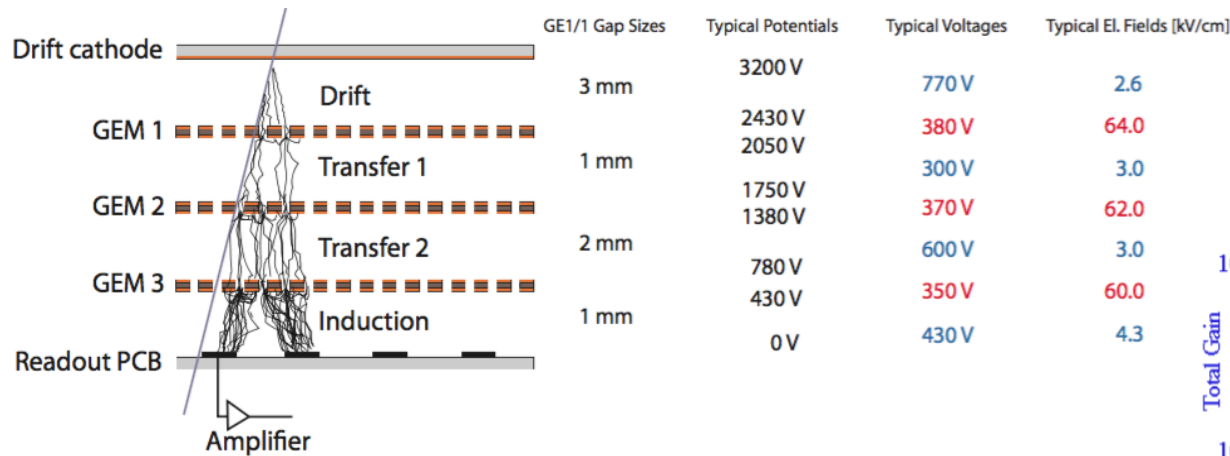


increase too much the hole diameter is not beneficial on the gain value



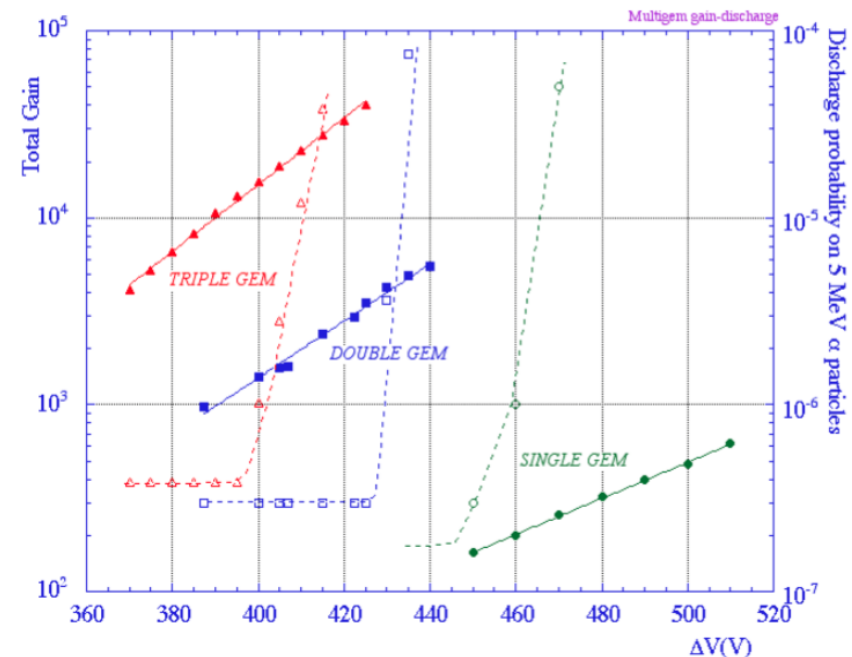
# Single vs Triple GEM

With an appropriate choice of the fields, the fraction of amplified electrons transferring to the gas gap following a first electrode can be injected and multiplied in a second foil, and yet again in a cascade of GEM electrodes



Multiple structures provides equal gain at lower voltage

Measurements with alfa particle

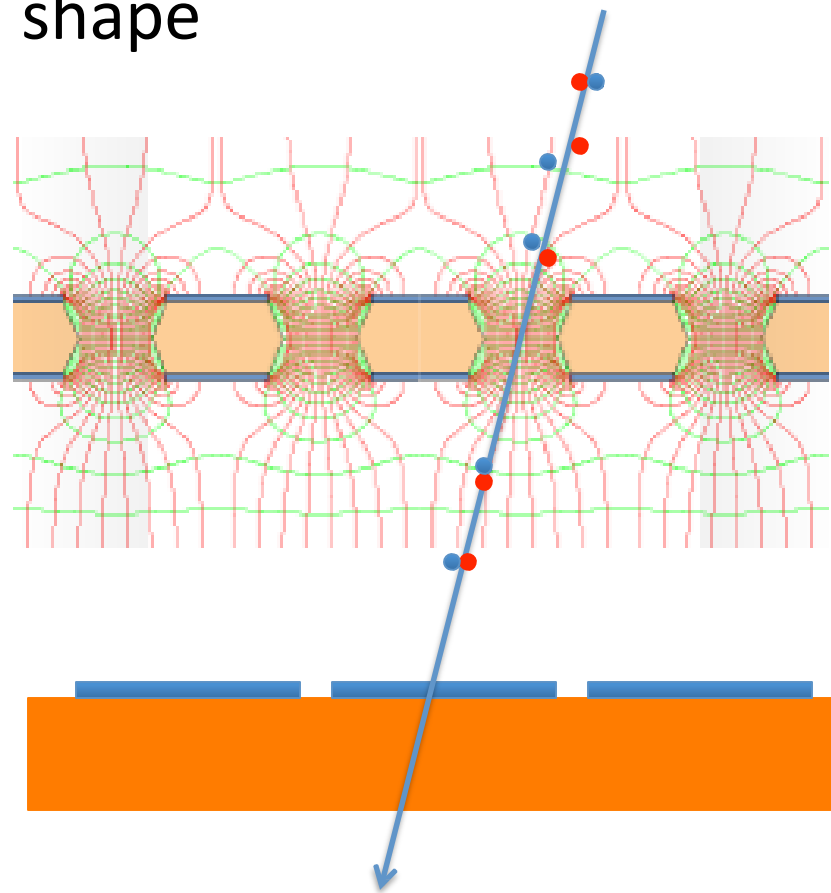


- Discharge probability on exposures to  $\alpha$  particles is strongly reduced



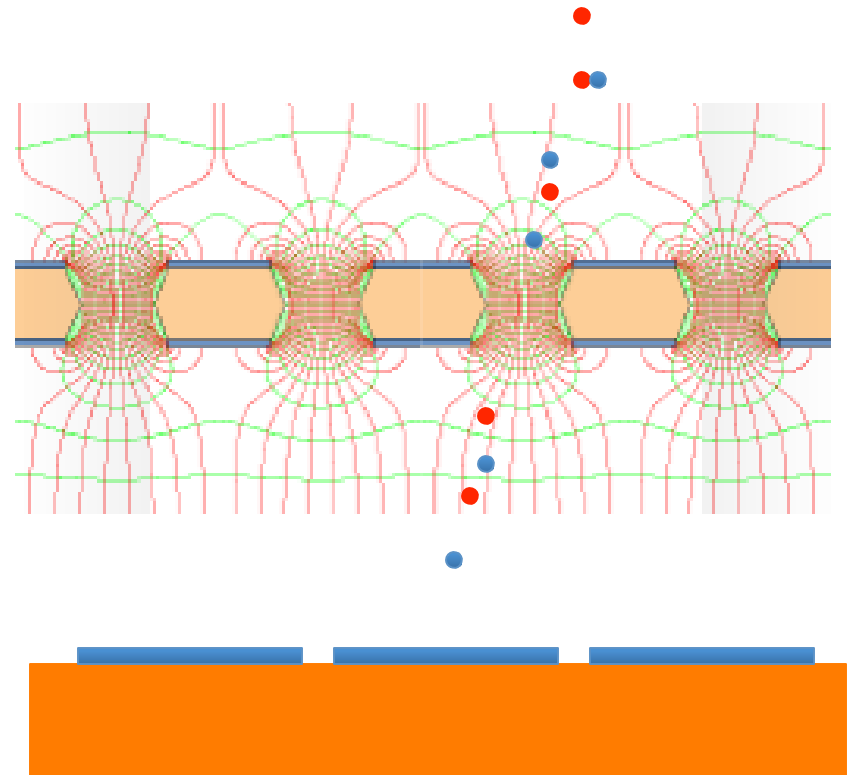
# Signal in Triple-GEM detectors

- understand the detector signal shape





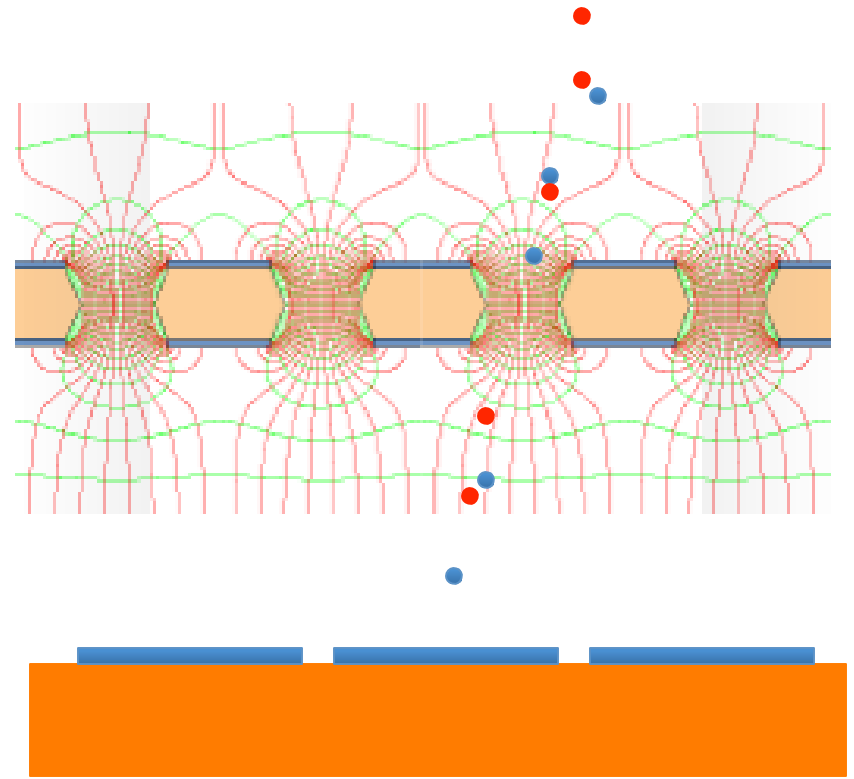
# Signal in Triple-GEM detectors





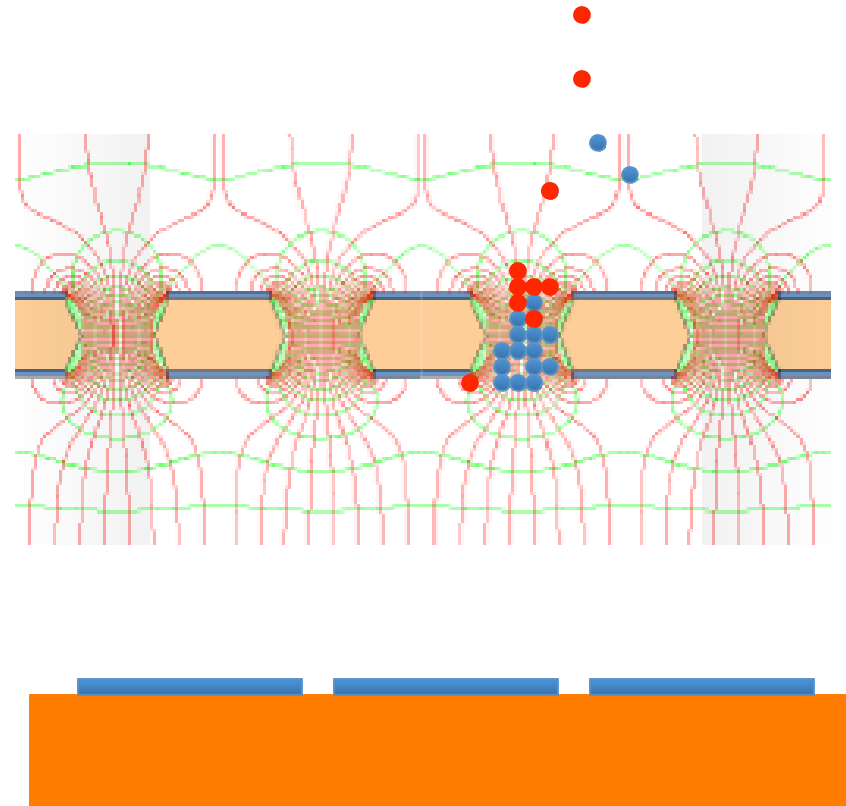


# Signal in GEM detector





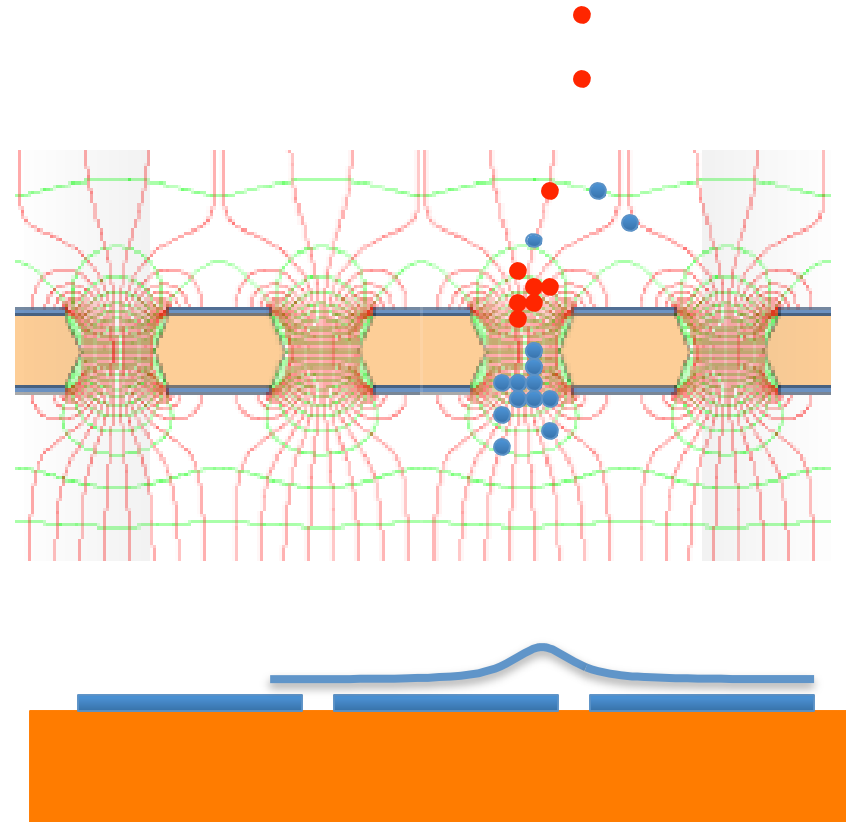
# Signal in GEM detector





# Signal in GEM detector

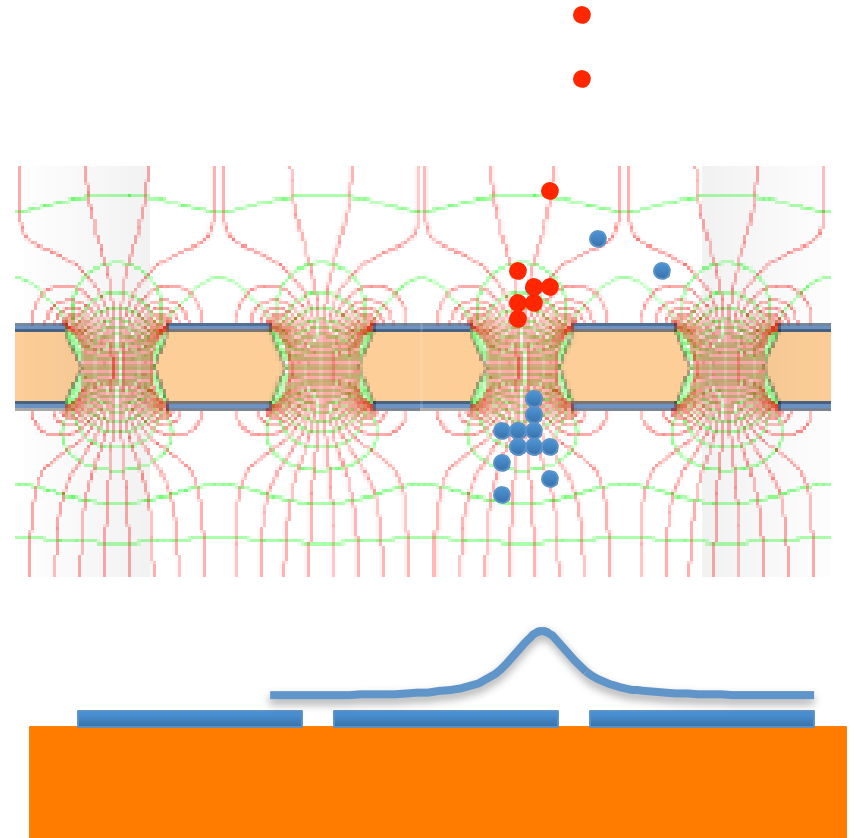
the signal induction is caused by the motion of the electrons towards the electrode;





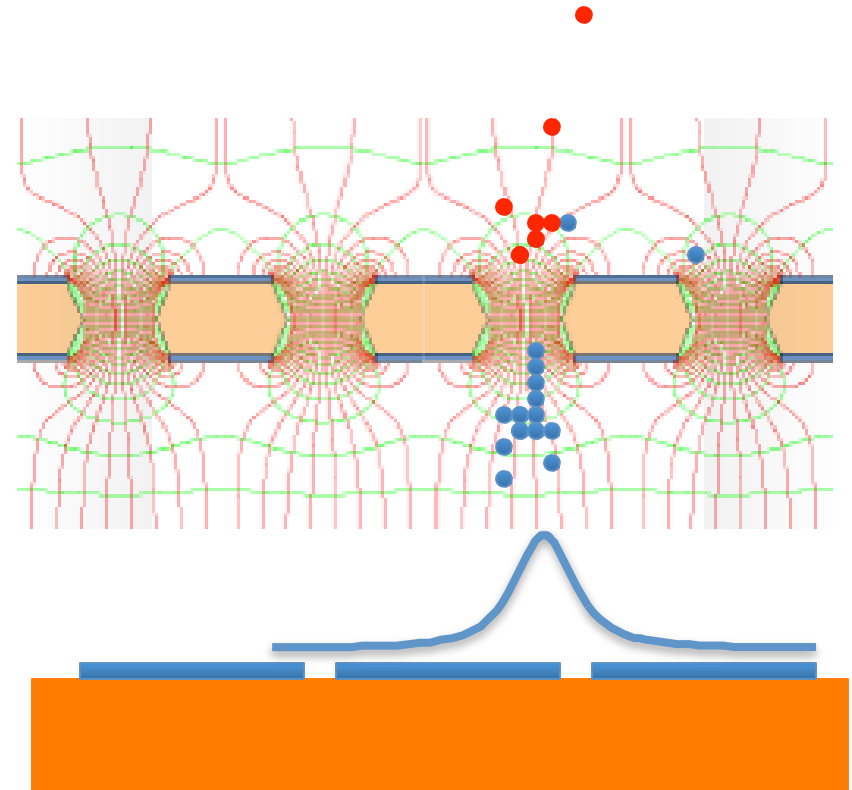
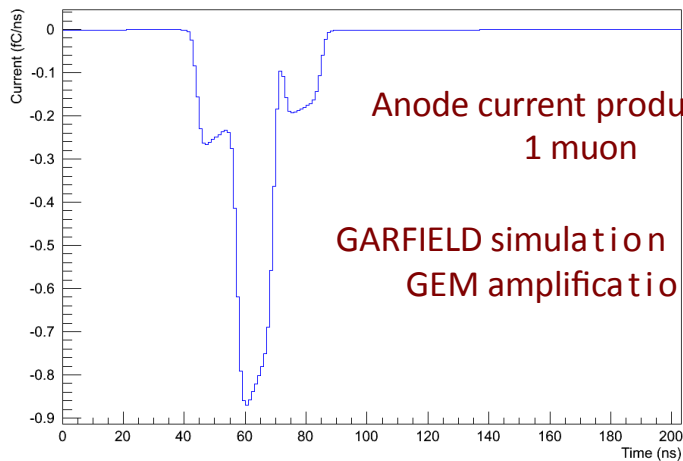
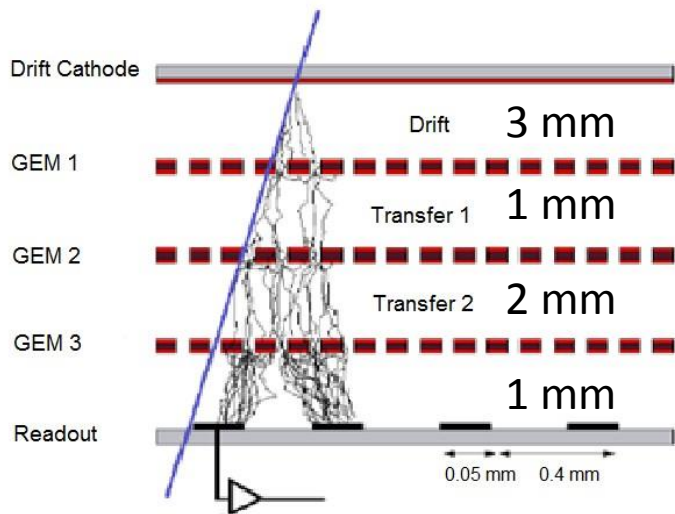
# Signal in GEM detector

the signal induction is caused by the motion of the electrons towards the electrode;





# Signal in Triple-GEM detectors

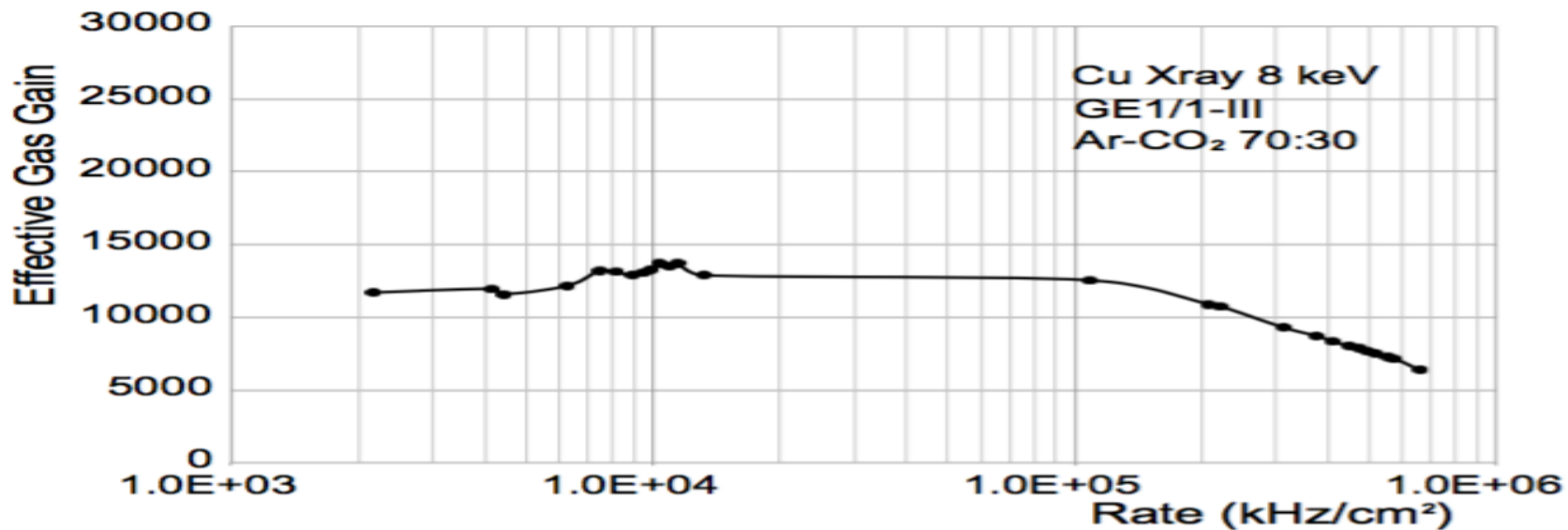


- signal length:  $\sim 60$  ns
- detector capacitance: 10-30 pF
- charge range (MIP): 4-110 fC



# Rate capability

- A systematic study of rate dependence of gain in multi-GEM devices in a range of operating conditions and geometry has shown the gas gain to keep constant wrt to the rate;

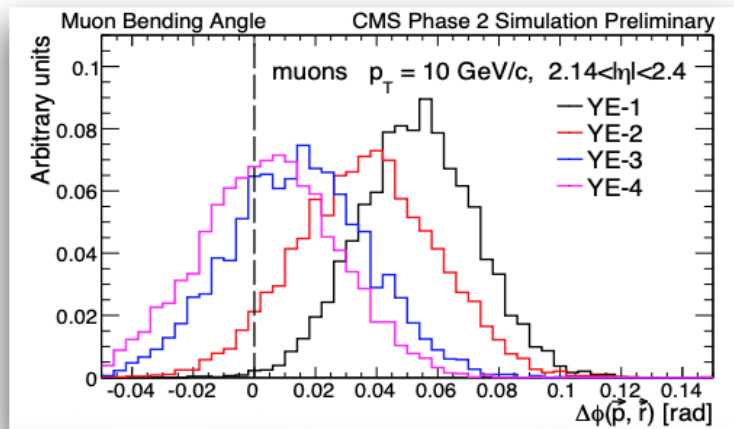
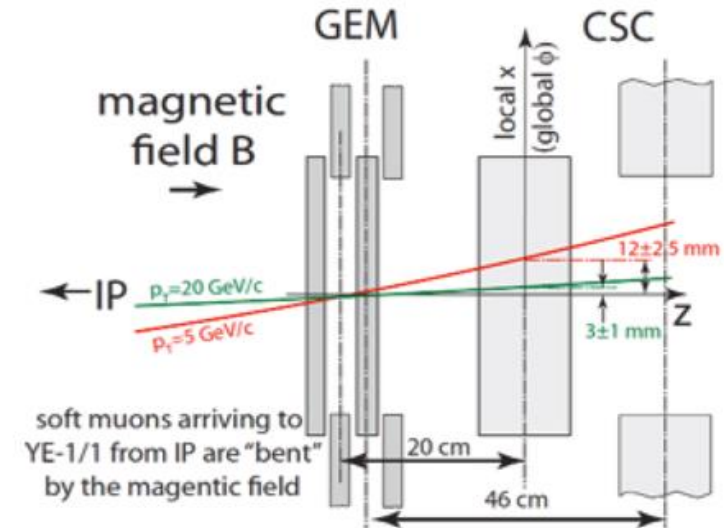


Gas gain is observed to be constant over four orders of magnitude of incident particle rate up to 100 MHz/cm<sup>2</sup>.  
In HEP experiment a maximum rate on the order of 10 kHz/cm<sup>2</sup> is expected



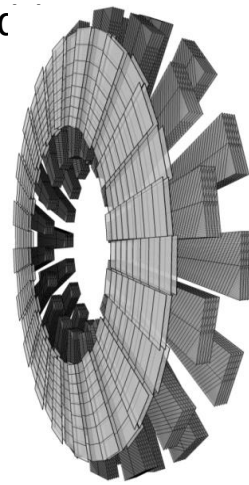
# CMS GEM-CSC bending requirements

- Forward muon trigger for  $|\eta| > 1.6$  relies entirely on the CSC system
  - Largest particle rates are expected here
- Momentum measurement is driven by the magnetic field and multiple scattering
  - Bending in CSCs is too small for a good measurement at high  $\eta$
- A GEM detector in front of CSC can measure the muon bending angle in magnetic field
  - Keep the rate under control (bottom right) and add redundancy



Adding detector in front of CSC to measure the muon bending angle in magnetic field in the each station, keeps the rate under control and adds redundancy:

- ✓ Requirement high rate capability
- ✓ precise  $\Delta\phi$  meas.  $\rightarrow$  spatial resolution



• Bending angle in station 1,2 is large enough; station 3,4 insufficient for good measurement



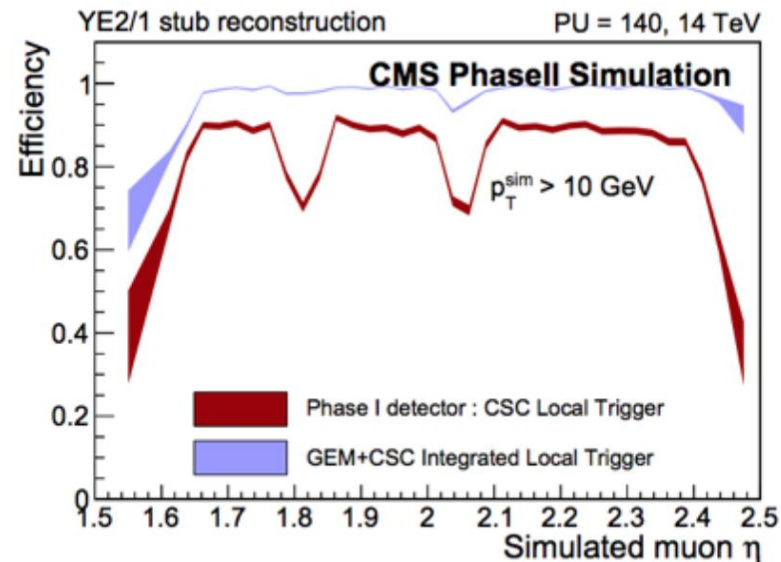
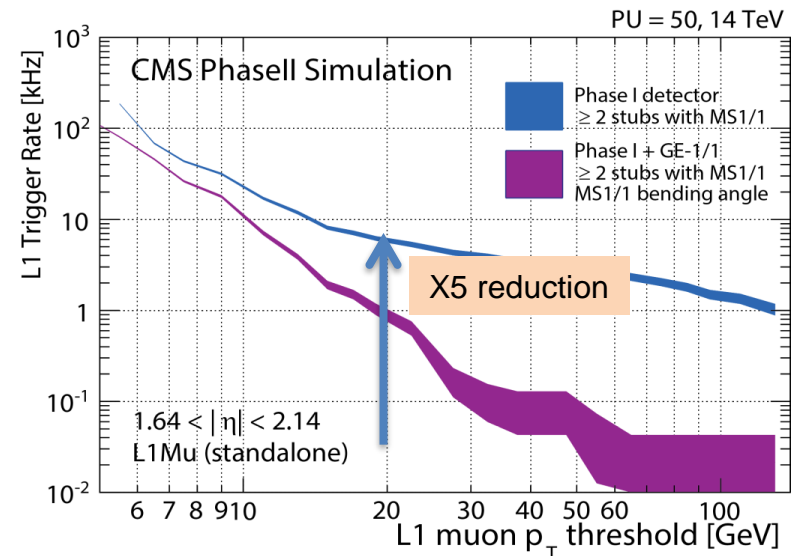
# CMS GEM-CSC bending: GEM requirements and performance

Adding detector in front of CSC to measure the muon bending angle in magnetic field in the each station, keeps the rate under control and adds redundancy:

- ✓ Requirement high rate capability
- ✓ precise  $\Delta\phi$  meas.  $\rightarrow$  spatial resolution

## GEM detector main characteristics:

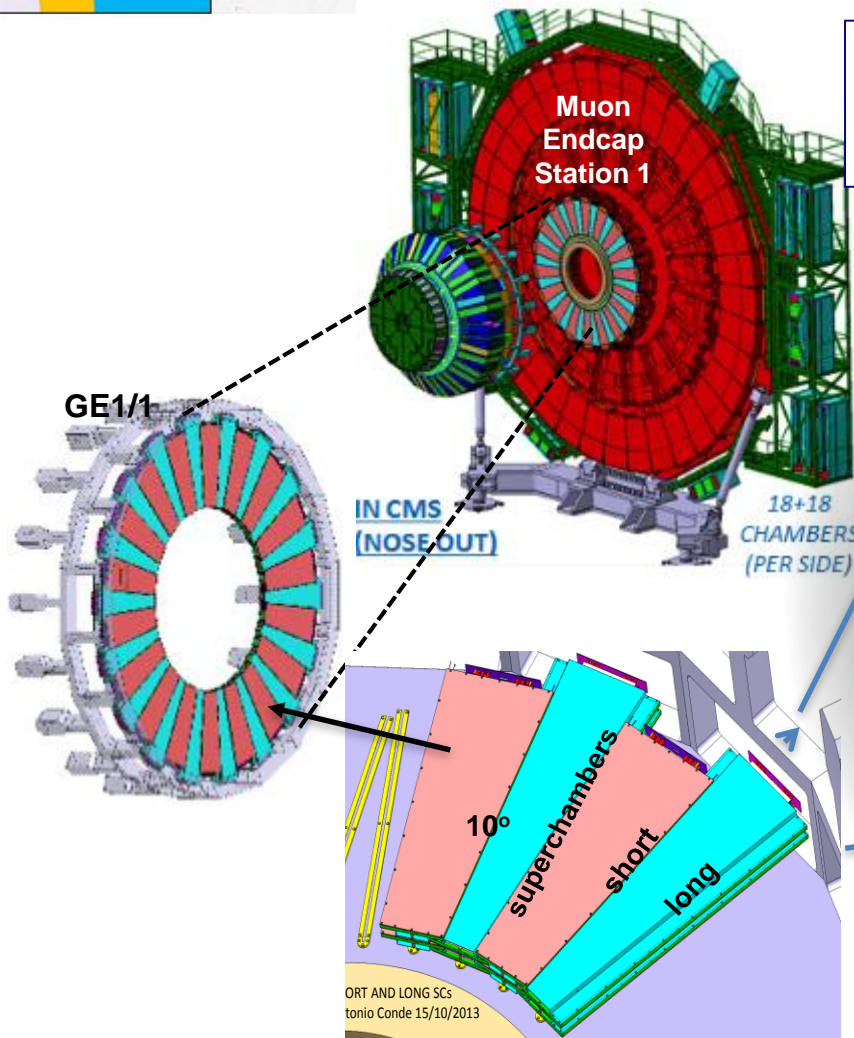
- ✓ Excellent rate capability: up to  $10^5/\text{cm}^2$
- ✓ Gas mixture: Ar/CO<sub>2</sub> – not flammable
- ✓ Angular resolution 500 mrad or better
- ✓ Time resolution 8-10 ns
- ✓ Gain uniformity of 15% or better across the module
- ✓ No gain loss due to aging effect after 10 years of operation at HL-LHC
- ✓ Large areas  $\sim 1 \text{ m} \times 2 \text{ m}$  with industrial processes
- ✓ Long-term operation in COMPASS, TOTEM and LHCb







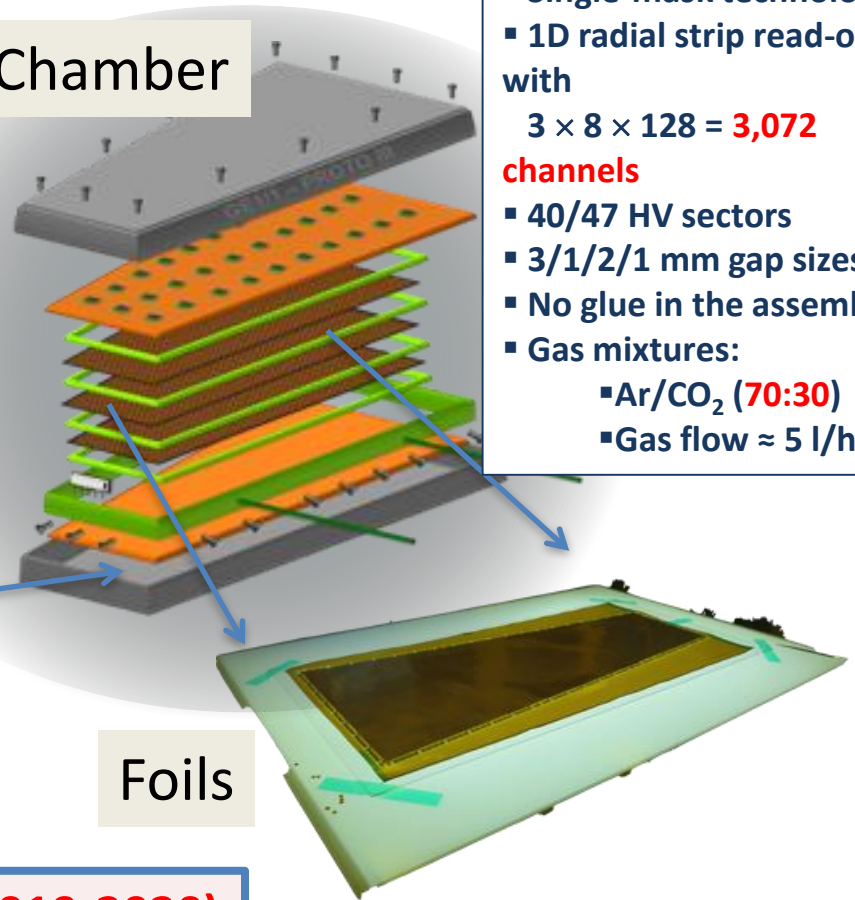
# GEM GE1/1 Project Status



GE1/1 in high- $\eta$  region  $1.5 < |\eta| < 2.2$   
 36 superchambers in each endcap (144 modules)

Chamber

- Single-mask technology
- 1D radial strip read-out with  
 $3 \times 8 \times 128 = 3,072$   
**channels**
- 40/47 HV sectors
- 3/1/2/1 mm gap sizes
- No glue in the assembly
- Gas mixtures:
  - Ar/CO<sub>2</sub> (70:30)
  - Gas flow  $\approx 5$  l/h



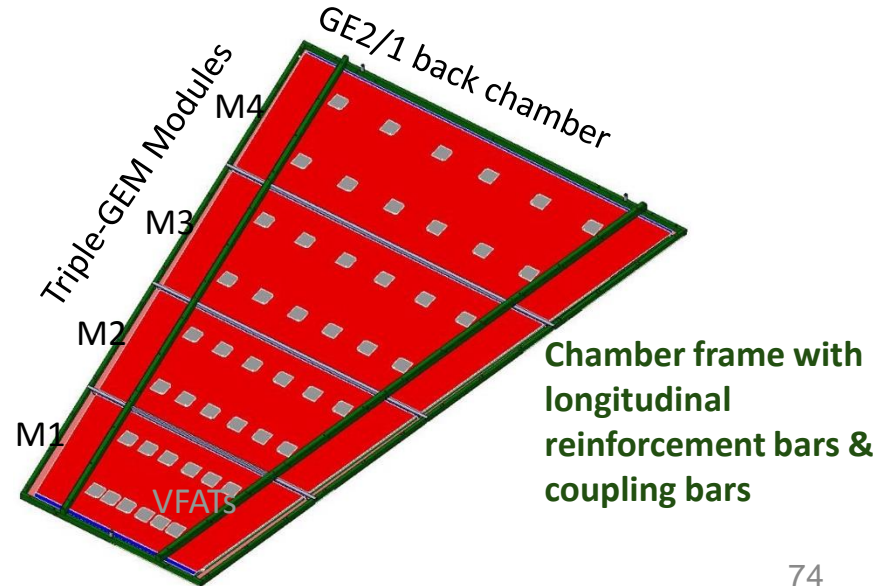
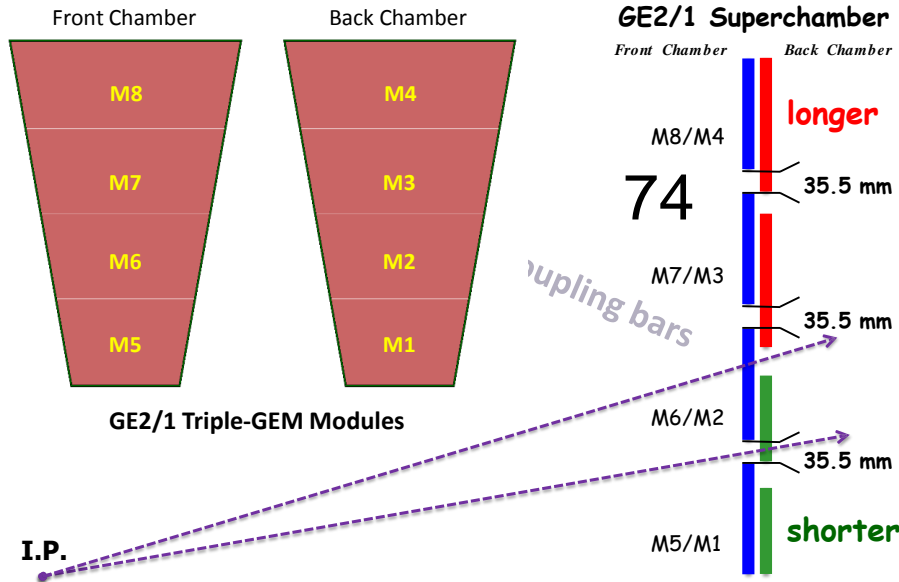
**Installation in LS2 (2019-2020)**

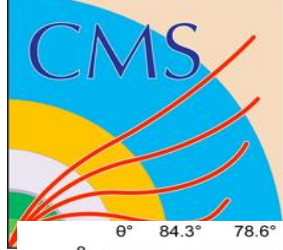


# GE2/1 design

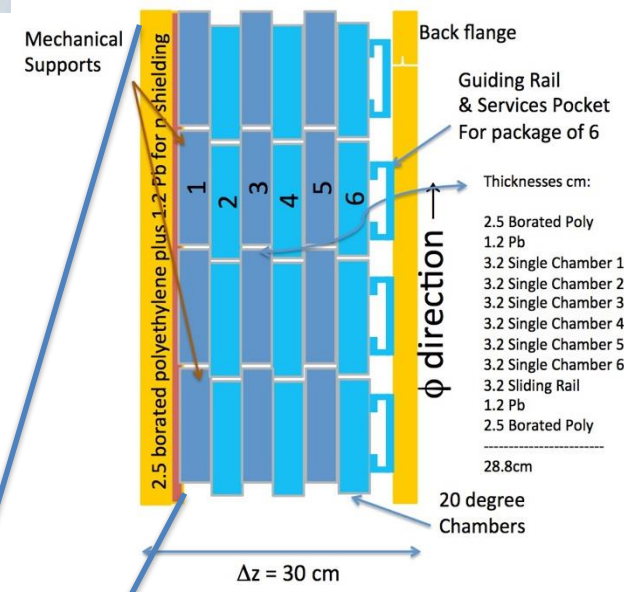
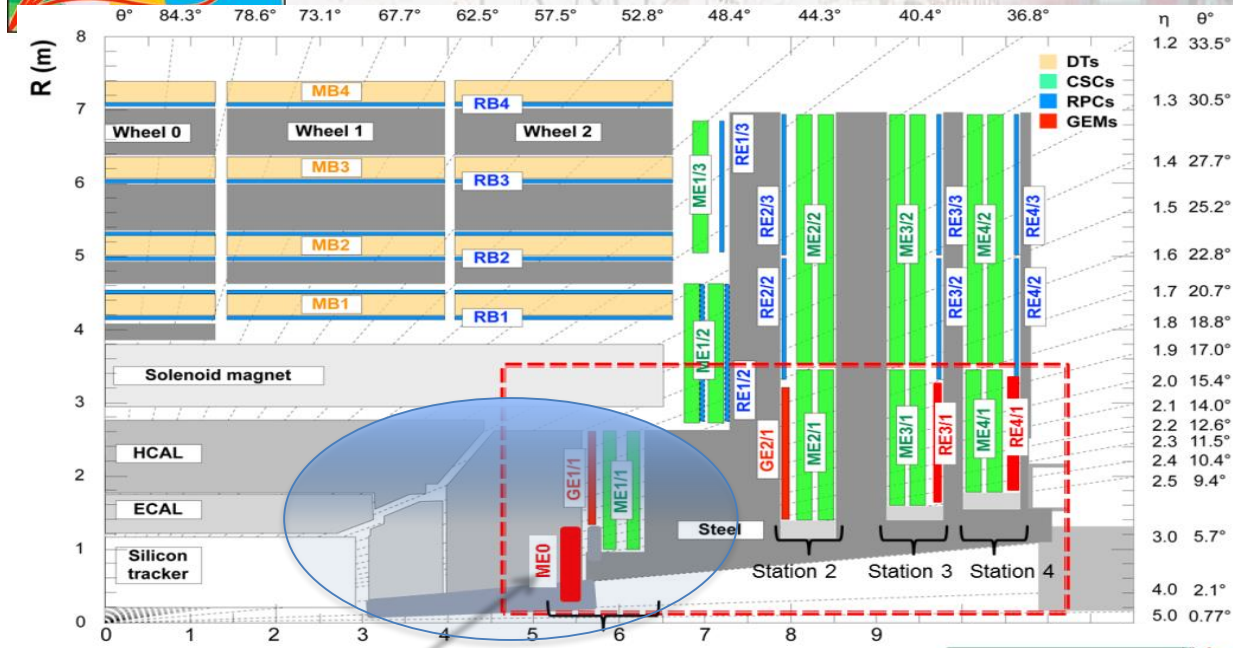
GE2/1 consists of  $20^\circ$  36 triple-GEM super-chambers and covers  $1.6 < |\eta| < 2.4$

- ~ **GE2/1 chambers** made up of **4 modules**
  - Different shape but similar size to single ME0 chamber
  - **Modules have different lengths** → **non-projective**
- ~ **GE2/1 superchambers** made up of **2 chambers**





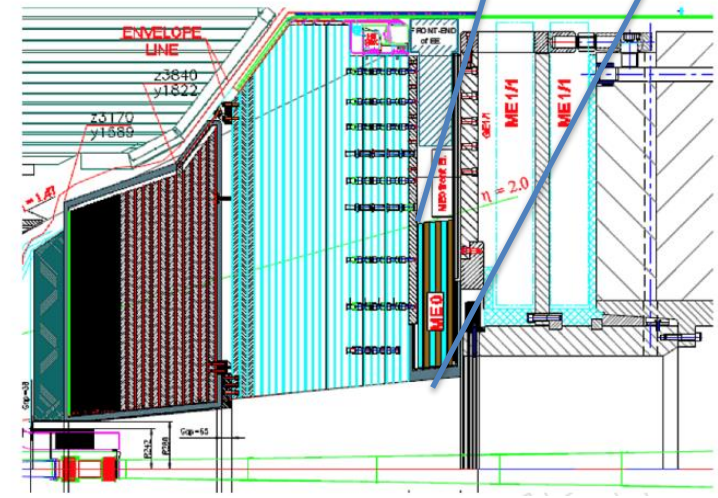
# Forward muon system enhancement: GEM project



**MEO:**

## Triggering and reconstruction

- $2 < |\eta| < 2.8$
- 36 wedges of 6 layers Triple-GEM
- each chamber spans  $20^\circ$
- **Installation: LS3 (2024-26)**





# The ME0 Detector

New station to efficiently identifying and triggering muons, low in transverse momentum (but large in momentum) while maintaining a low rate of background in the harsh HL-LHC conditions up to  $\eta=2.8$ . Installation scheduled in LS3.

- ME0 consists of 6 layers of triple-GEMs arranged in  $20^0$  super-module wedges: 216 chambers.

High granularity and spatial segmentation for:

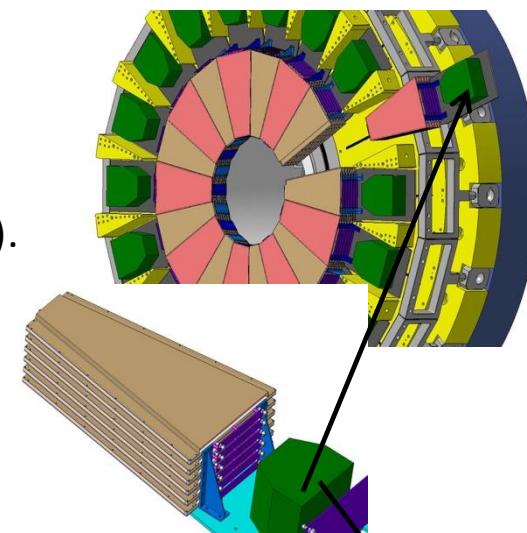
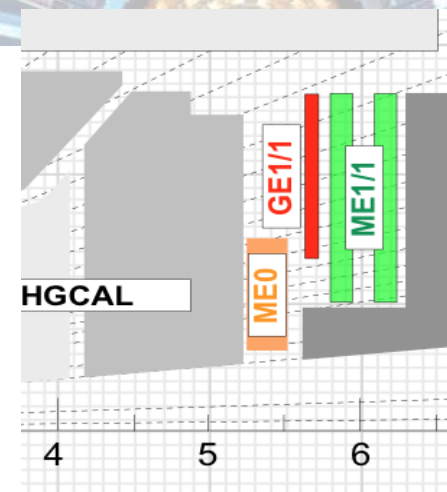
- Position and bending measurement of the muon stubs for efficient matching of the offline pixel tracks.

Multi-layered structure to:

- improve local muon track reconstruction
- discriminate muon (segment) against neutrons (uncorr hits).

Large lever arm between ME0 and CSC (ME1/1) up to  $\eta \sim 2.4$

- Allows large trigger rate reduction



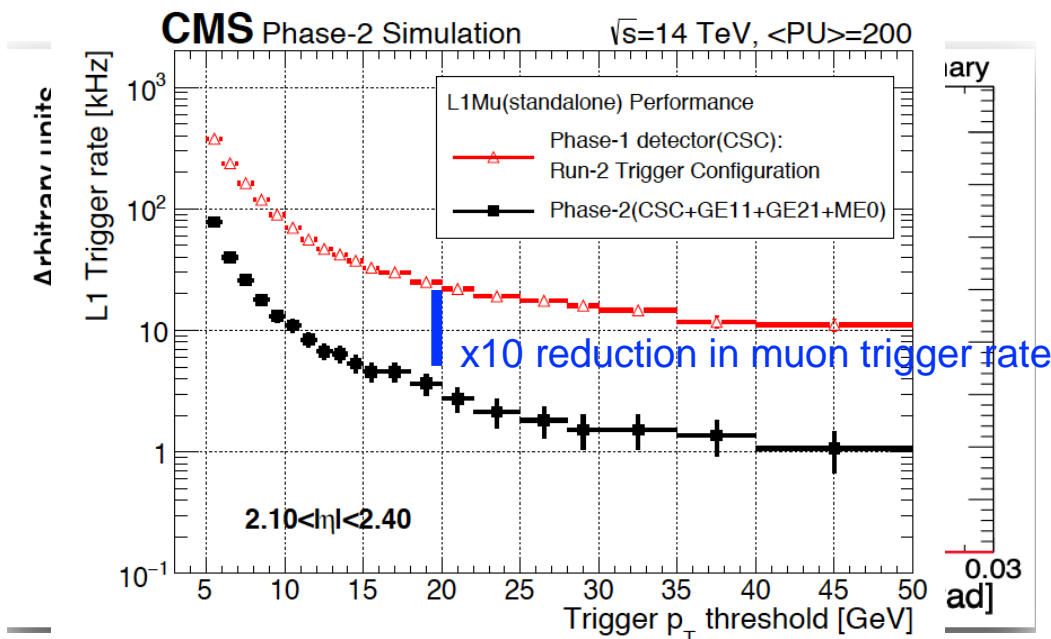
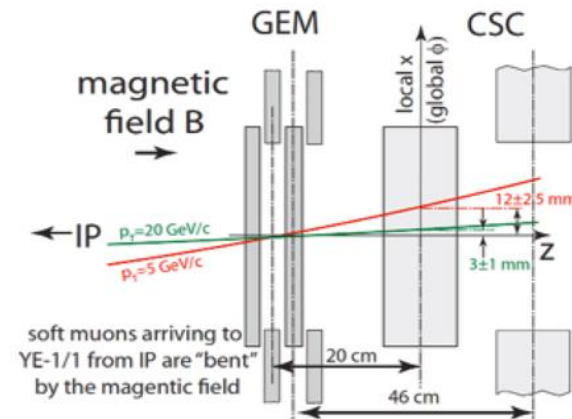


# Muon trigger improvement

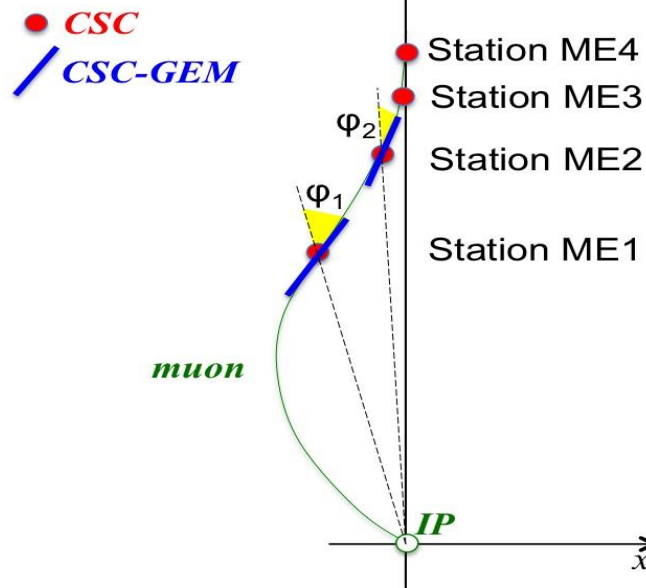
CSC-GEM tandem improves trigger-level muon momentum measurement, by providing the local direction measurement

Background has steeply falling momentum spectrum

- Trigger rate reduction (otherwise raising trigger threshold would harm physics acceptance)



Schematic view of a muon trajectory from the collision point

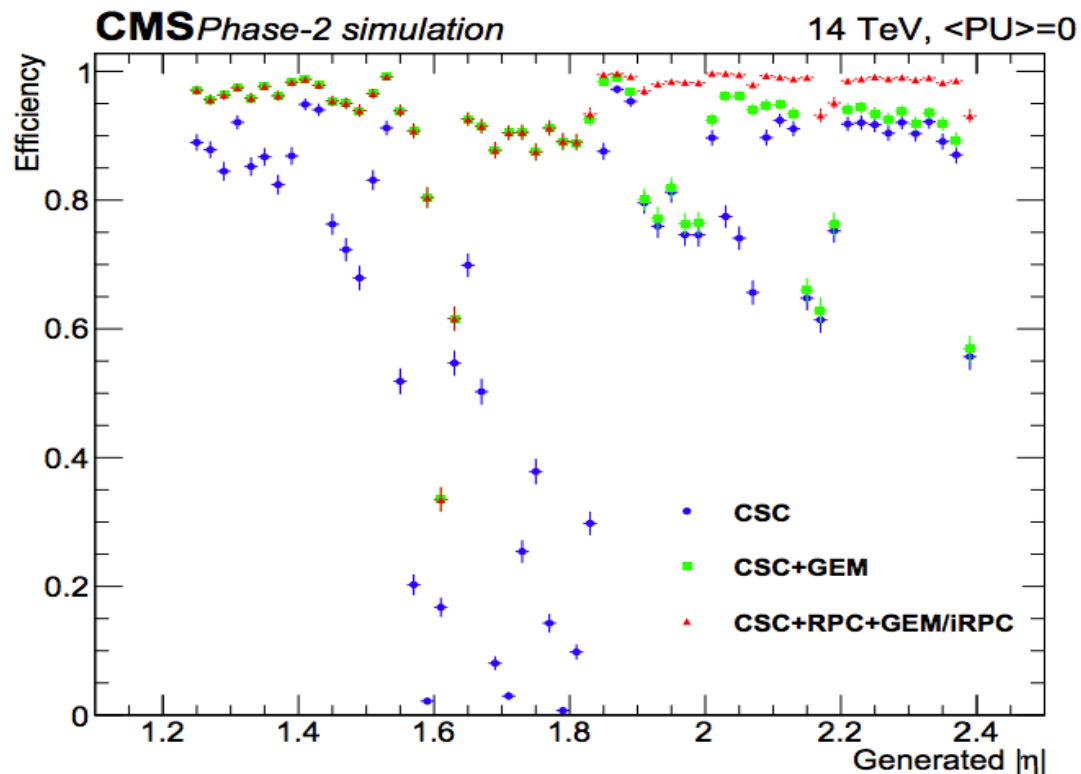


Very good separation between soft and hard muon



# Standalone L1 Trigger: efficiency

Level-1 muon track finding efficiency in the endcap region benefits from the addition of GEM and iRPC in the high  $\eta$  region.



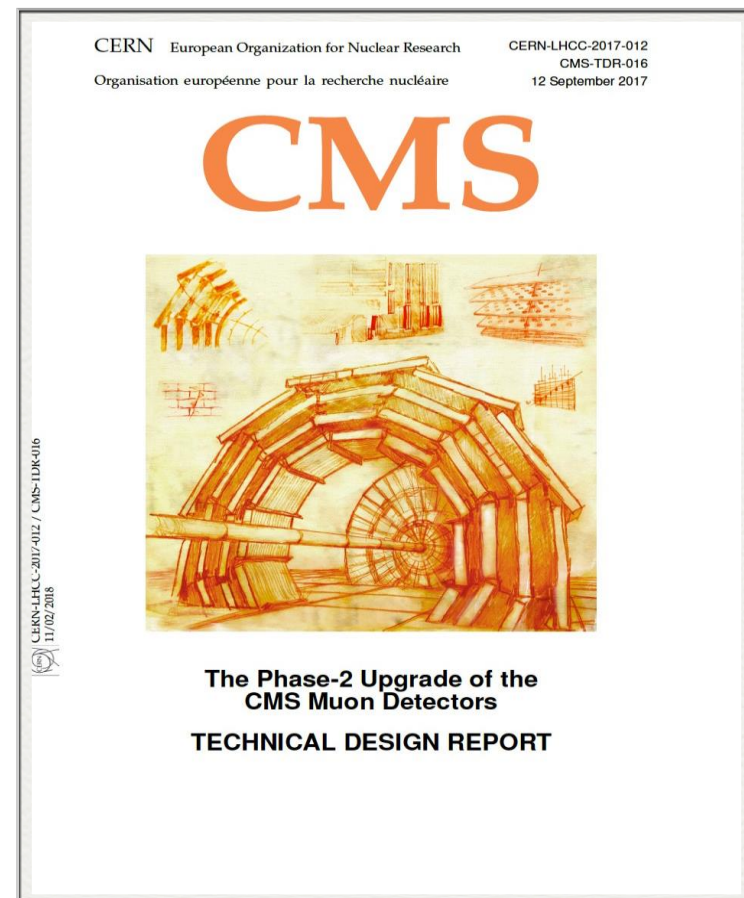
→ **iRPC** additional hits recover the efficiency losses due to acceptance gaps in this region

→ **GEM and iRPC** hits allows considerably higher efficiency in comparison to a L1 trigger based on CSC detectors alone.



# Summary

- The CMS Muon system is performing very well
  - many upgrades have followed the initial installation made the system very robust
- Some electronics need to be replaced to meet HL-LHC requirements.
- The high  $\eta$  region to be enhanced with additional GEM and iRPC detectors.
- Upgraded detector capabilities open windows for new physics opportunities.
- Installation starts in the Long Shutdown2 (2019-2020); continues in Year-End-Technical-Stops; and finishes in the Long Shutdown 3 (2024-mid 2026)
- CMS Muon Upgrade TDR is published



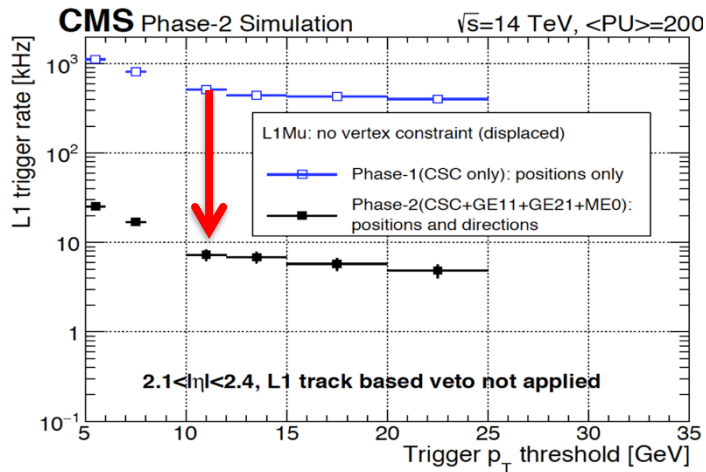
For any question/information contact me at [Anna.Colaleo@cern.ch](mailto:Anna.Colaleo@cern.ch)







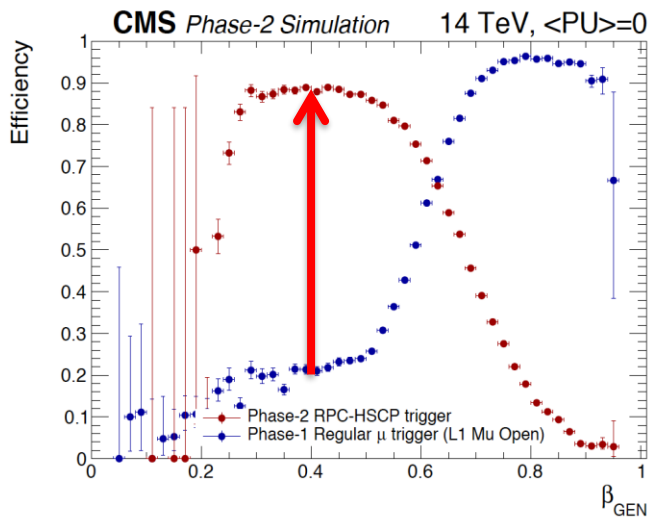
# New physics search: triggering on unconventional signals



## Trigger on highly displaced muons

Adding GEM makes it possible to build trigger-level muons without assuming muons come from the proton collision point

**factor of 50 improvement**



## Trigger on Heavy Stable Charge Particles

The upgraded RPC link system fully exploits the RPC time resolution, enabling to identify patterns of delayed hits from one station to the next, with a precision of  $\sim 1$  ns

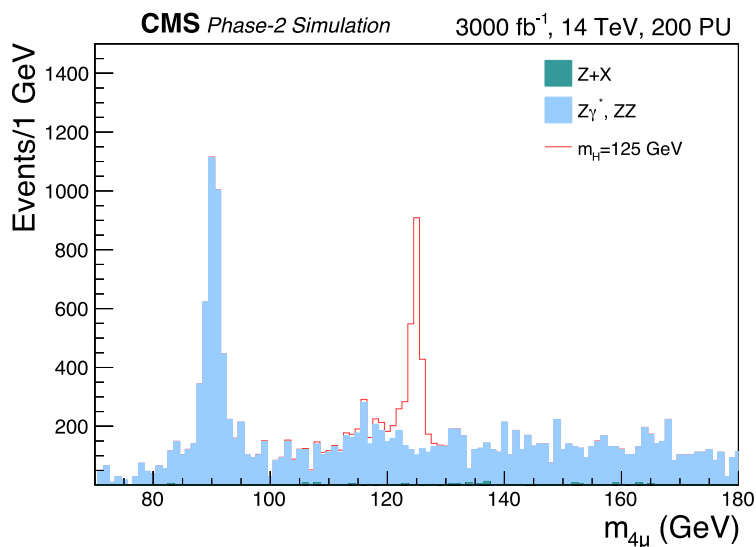
**up to a factor 4-5 improvement**



# Extended muon acceptance

Many BSM searches and SM measurements benefit from extended muon acceptance:

- $5\sigma$ - observation limit on  $BR(\tau \rightarrow 3\mu) = 1.1 \times 10^{-8}$  @ 90% CL (x2 Belle limit)
- $H \rightarrow ZZ \rightarrow 4\mu$ : 17% more signal, with a very good background rejection

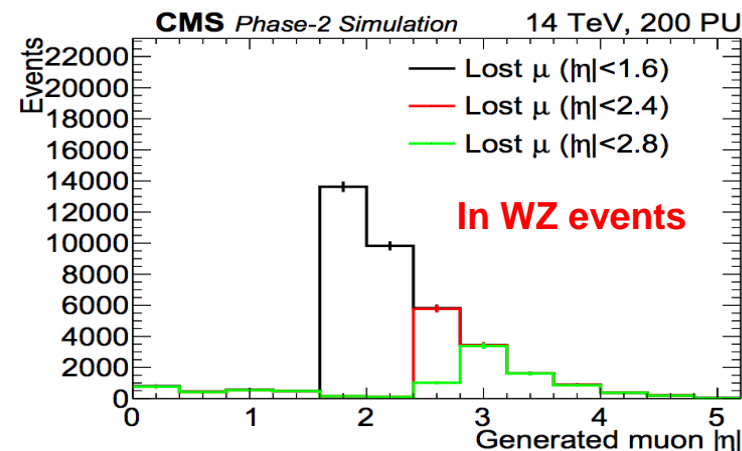
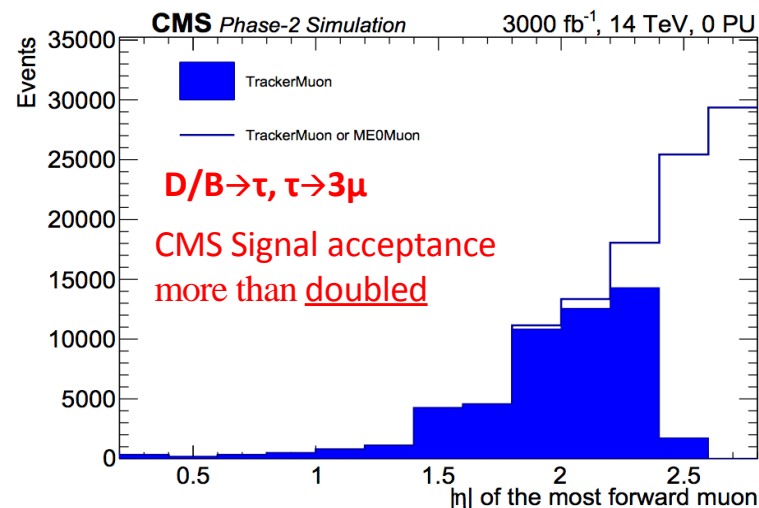


Help in suppressing background such as WZ.

Ex.  $WZ \rightarrow \mu\mu\mu$  decays signature, with two same-sign leptons and third non-reconstructed muon:

- extended coverage up to 2.8 in  $\eta$  allows more efficient reconstruct and veto of  $WZ \rightarrow \mu\mu\mu$  decays

$|\eta|$  of the most forward muon ( $p > 2.5$  GeV)





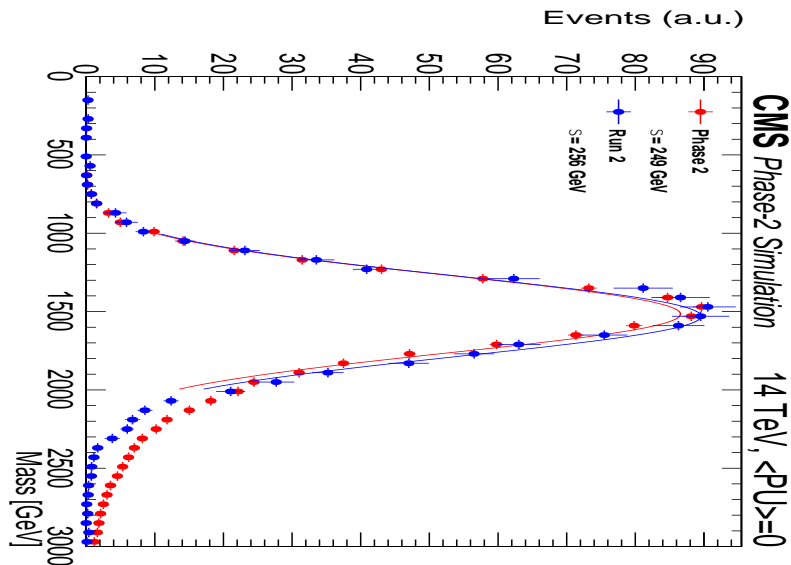
# Slow-moving muon-like particles

Search for heavy “colorless” charged particles (HSCP) with masses larger than  $O(1)$  TeV and very long lifetimes ( $\beta \sim 0.3-0.5$ ):

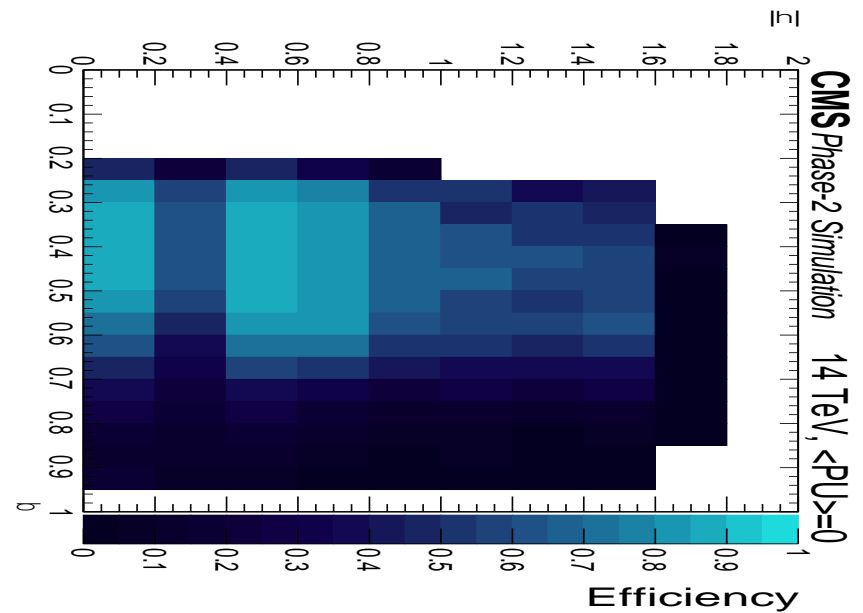
→ slow muons emerging from IP.

Upgraded RPC electronics with precision timing allows to trigger on such slow “muons”

- provide already at trigger level a mass resolution achieved only offline in Run2
- with  $\beta < 0.5$  can be triggered with nearly 90% efficiency for  $\eta < 1.4$ .



1.6 TeV stau: mass resolution



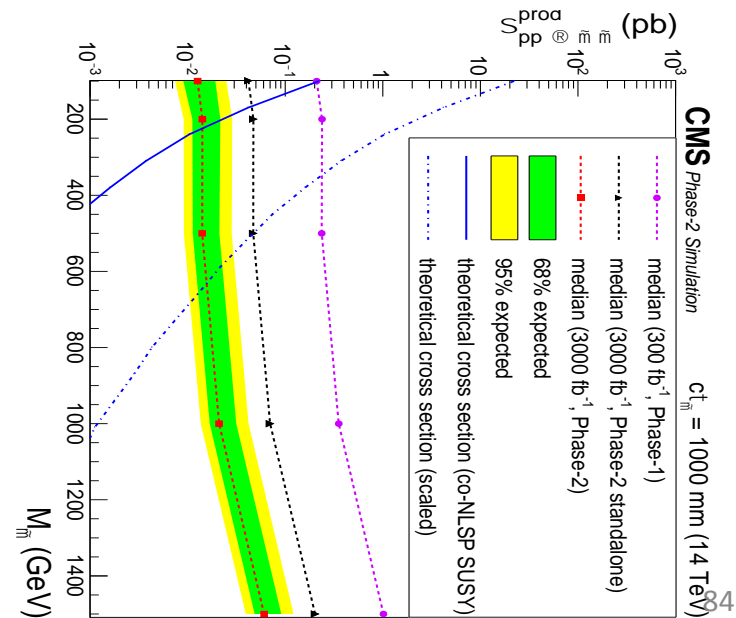
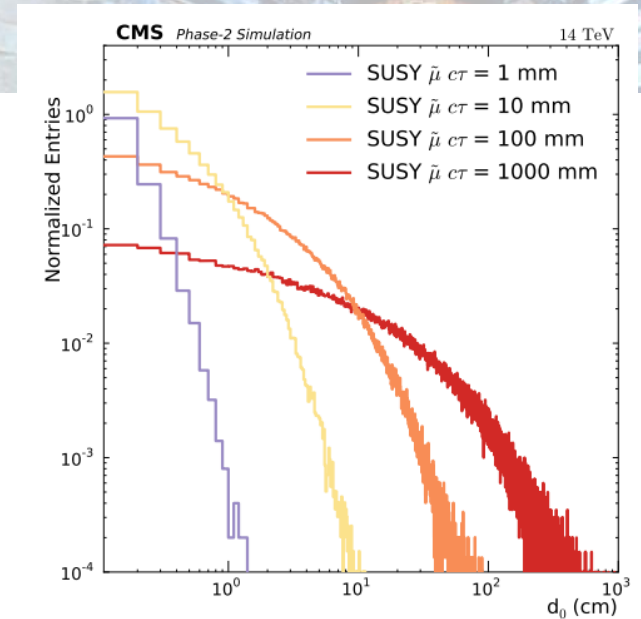


# Displaced signatures

Several new physics models predict long-lived particles would potentially lead to displaced signatures, decay outside the tracker volume

- Trigger and tracking algorithms using position of the primary vertex not efficient in reconstructing tracks with such large impact parameters
- Stand-alone capabilities of the muon system constitute the only possibility for detection of the signature:
  - Additional GEM detectors in combination with CSC in improved algorithms permit to efficient triggering on displaced muon tracks and increase the physics sensitivity for many searches.

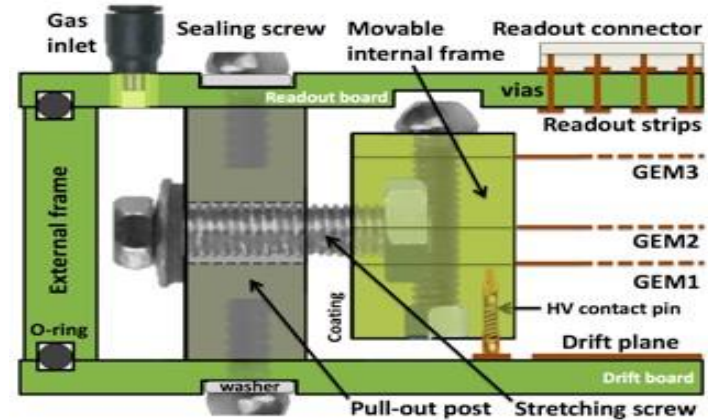
Ex. Improved upper limits on pair production of hypothetical particles, decaying  $i\tilde{\mu} \rightarrow \mu + X$  with lifetime distance  $c\tau = 100$  cm, as a function of the sparticle mass.





# GE1/1 Chamber construction

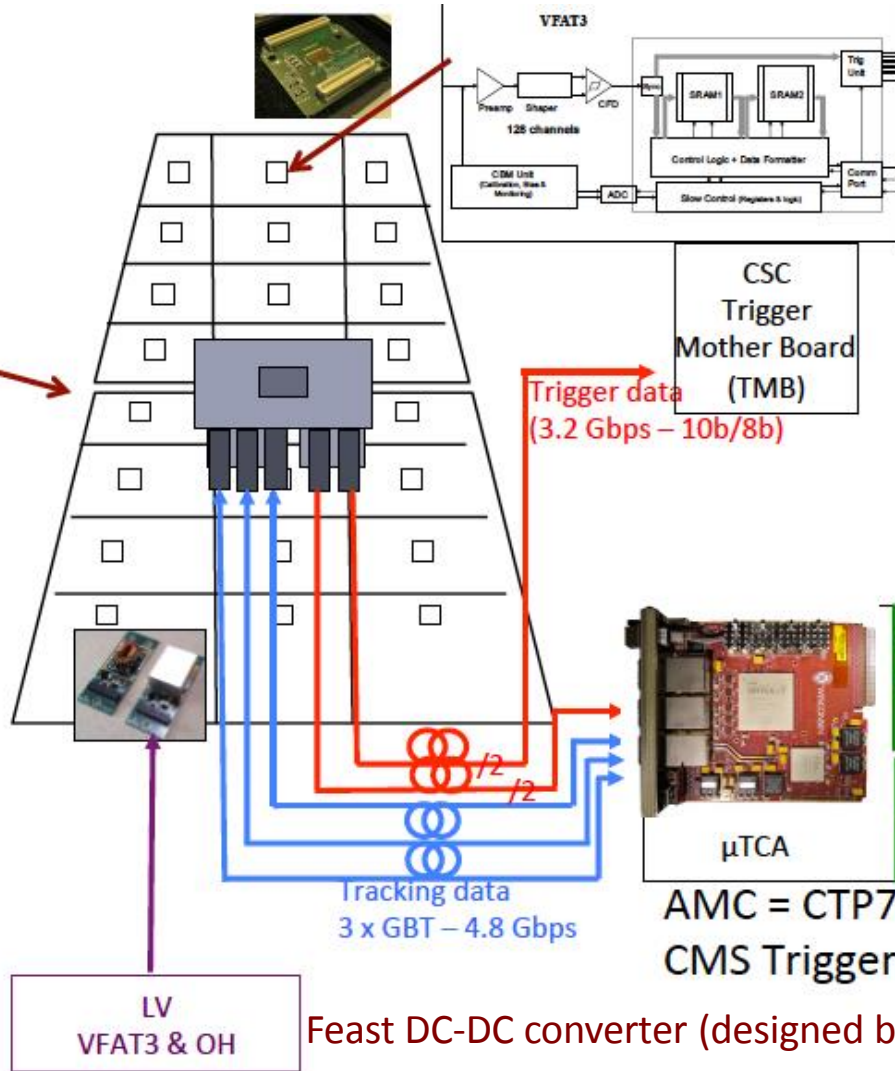
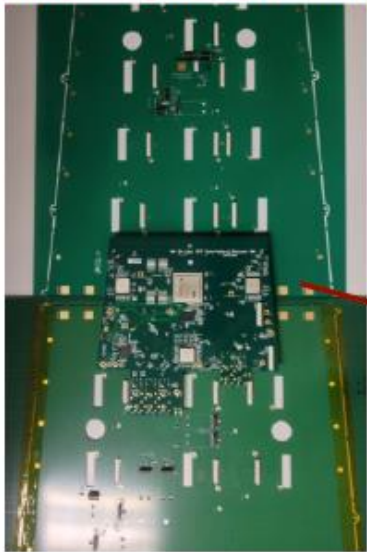
- GE1/1 chambers introduced a novel technique of GEM chambers construction, which avoid the usage of glue
- GE1/1 chambers are realizing using GEM foils stretched by means of moveable FR4 frame (internal). Cathode and Readout electrode made by standard single layer PCB. Electrical signal extracted by “vias” on PCB trough 130 pins Panasonic connectors
- The internal frames are controlled by “stretching screws”
- The tightness of the chambers is assured by an external FR4 frame sealed with o-ring
- Chamber assembly have to be performed in clean room (at least class 1000) to avoid GEM foils contamination
- GE1/1 Mass production started in April 2017. Production completed!





# GEM Electronics

2-pieces GEB v3 (1.2m)



VFAT3 chip on hybrid:

- Binary output, CFD
- 320 MHz
- L1 latency: up to 12  $\mu$ s
- Slow control: ePort, GBT compatible
- Trigger data:
  - 1bit= OR of 2 strips (+DDR option)

Opto-hybrid v3 (OH)



Virtex-6  
+  
3 GBTx  
3 VTRx  
2 VTTx

AMC = CTP7 from CMS Trigger upgrade



# Time Resolution

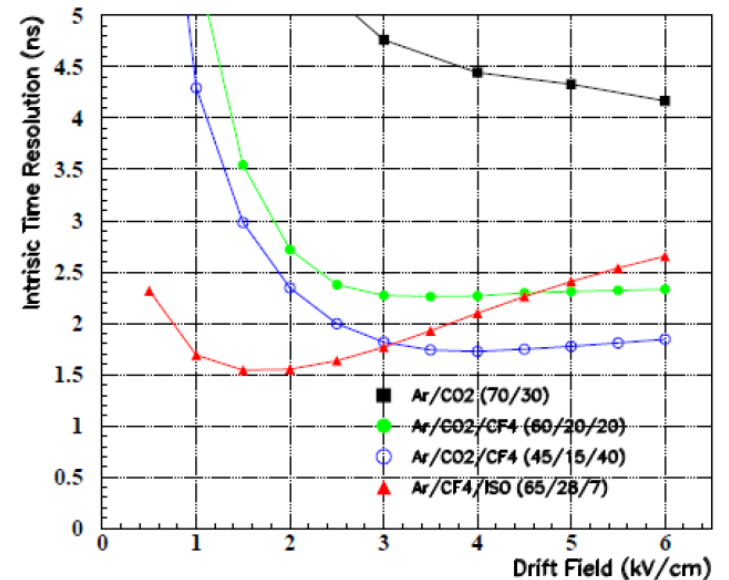
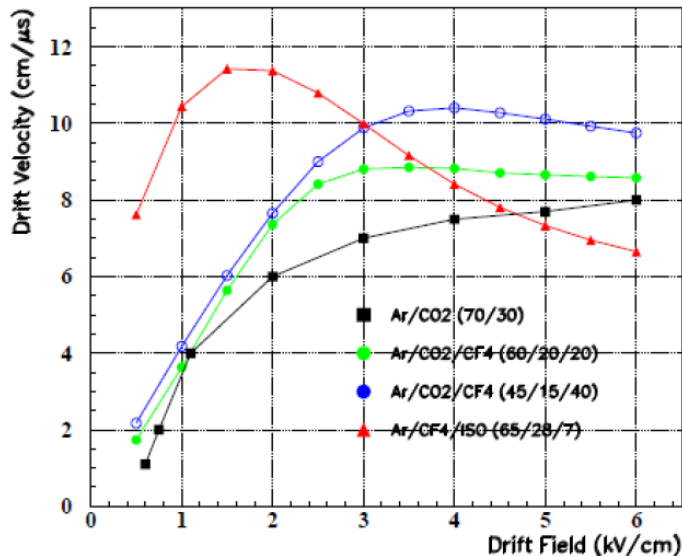
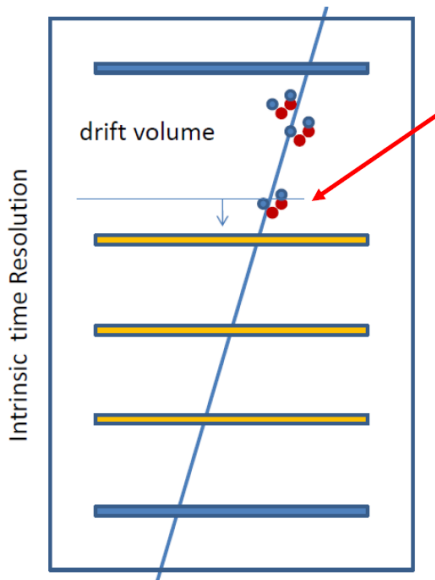
- The time resolution is dominated by fluctuations of the nearest distance of the primary ionisation processes to the region where the gain is acquired,  $d_{\text{near}}$ .
- Defining  $N$  as the average number of primary clusters generated by an ionising particle inside the gas, this distance follows a classical exponential distribution

$$d = \exp(-Nx)/N$$

- The contribution of the time resolution to the drift velocity is

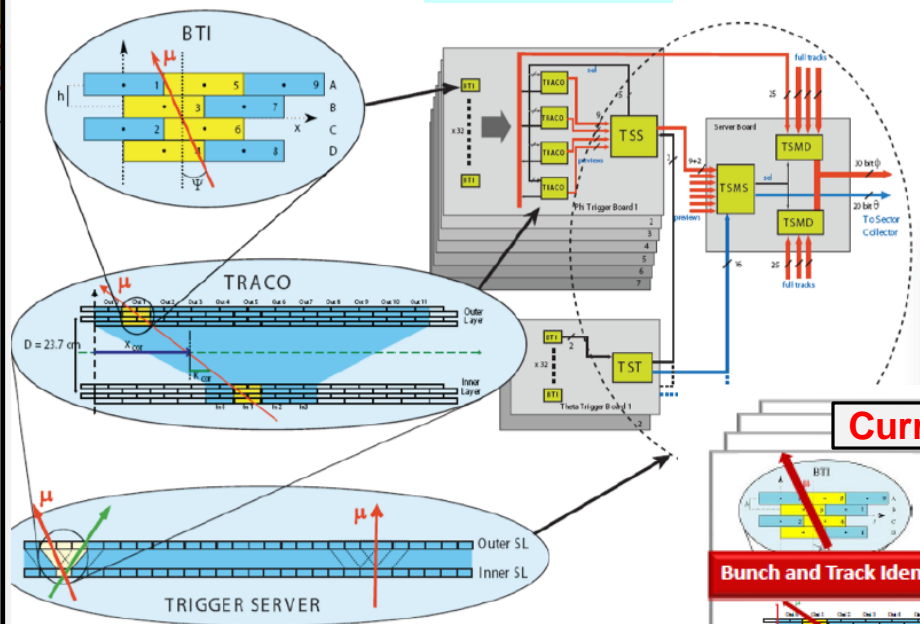
$$\sigma_t = 1/N * v_D$$

- Typical values for gases employed in MPGDs are  $N = 3$  (electrons-ion)/mm and  $v_d \sim 0.12$  mm/ns leading to **few-10 ns time resolution** with the best choice of gas mixtures and operating voltages



## BTI -Bunch & Track Identifier

## TRACO TRACK COrelator



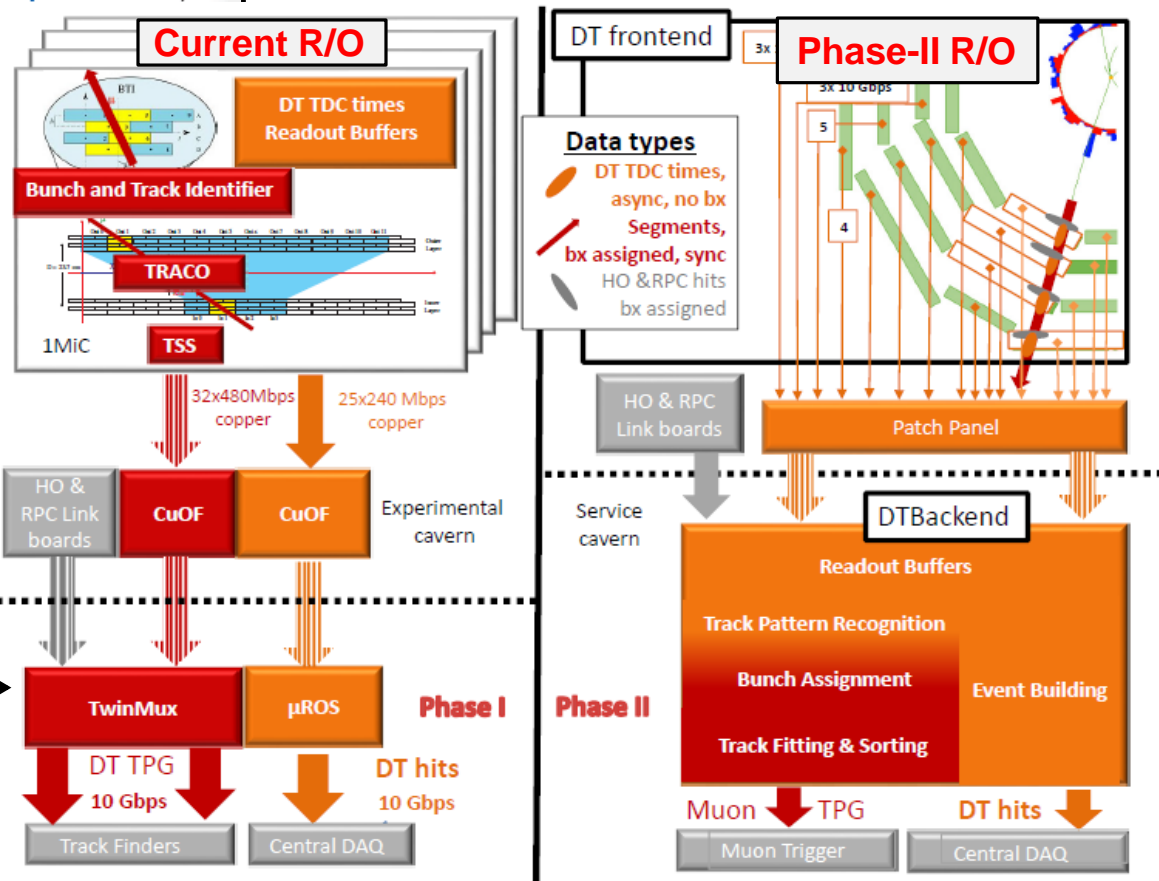
Local generation of **trigger primitives** from the DT- TDCs, implemented in ASICs, housed in the “minicrates”

CuOF Copper to Opt. Fib. Translator

**TwinMux**: Combines trigger primitives from DT and RPC and sends them to the Track Finder

## Example: upgrade of the DT Readout for Phase-II

**Phase 0/1**: Trigger primitives constructed locally from the TDC readings of the DTs  
**Phase-II**: The bare TDC readings are sent via a “Patch Panel” to USC for processing

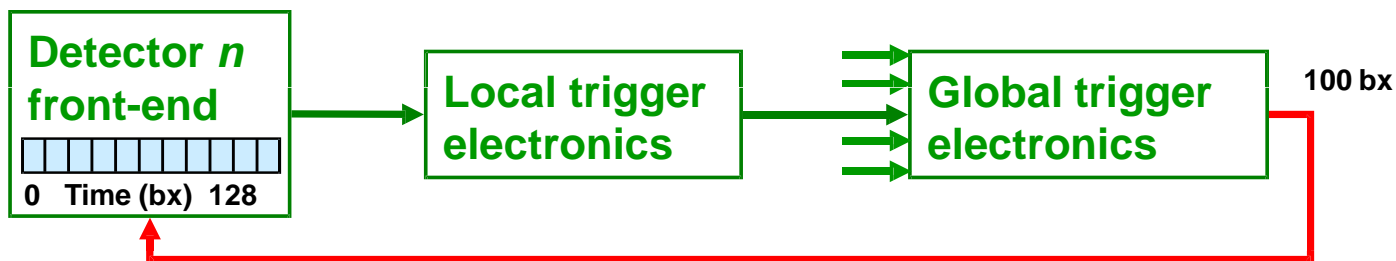






# LHC trigger overview

- ⑩ Triggering takes *much* more time than the 25ns between crossings (bx)
  - Speed of light  $c = 7.5 \text{ m / bx}$
  - Calculations:
    - ⑩ Electrons, photons, and jets: energy clusters are calculated
    - ⑩ Missing-Et: all calorimeter energies are summed
    - ⑩ Muons: tracks are found and Pt calculated
  - Path to electronics cavern is  $\sim 100 \text{ m}$  (not straight-line)
- ⑩ Therefore, store temporary data while trigger does its calculations...



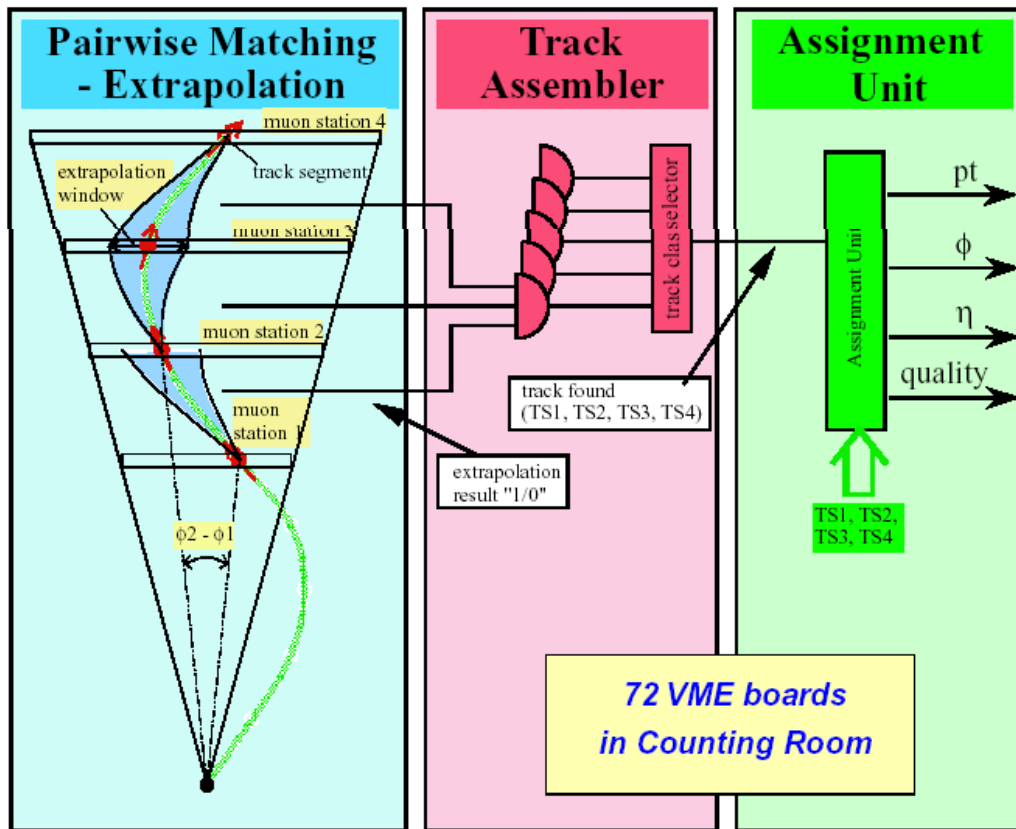
## •New trigger/DAQ:

- Track information at L1 with extended trigger latency (from 3.2 total of 12.5  $\mu\text{s}$ )
- Increased L1 bandwidth from 100 kHz to 750 kHz

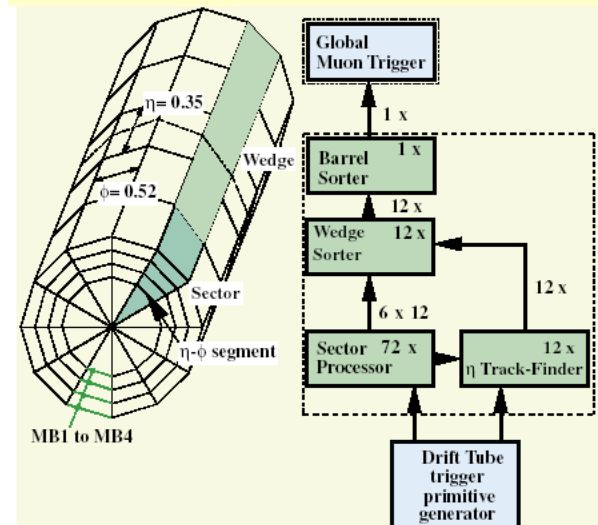


# Barrel muon track finder

## Sector Processor ( PHI Track Finder)

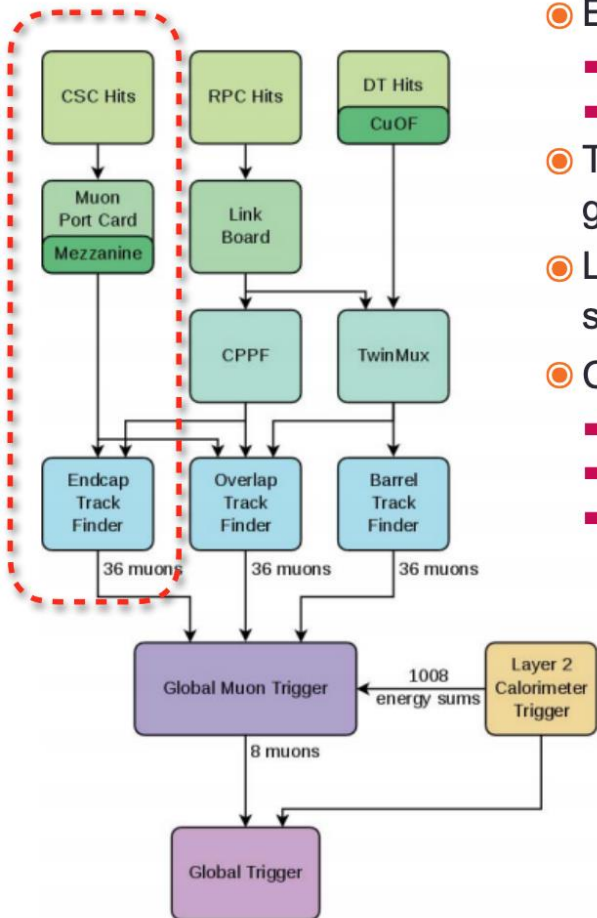


- Accepts DT segments from 4 stations in a sector **+ neighbours**
- Accepts also CSC segments in "overlap" | region
- Combines segments into full tracks
- Assigns  $P_t, |\phi|, \eta, \text{quality}$  to each muon track





# Endcap Muon Track Finder(EMTF)



- EMTF builds muon tracks by combining LCTs from all 4 stations
  - ➔ EMTF receives LCTs through muon port card (MPC)
  - ➔ RPC hits could be optionally used
- Tracks from EMTF are sent to global muon trigger and finally to global trigger
- L1 accept(L1A) is made by global trigger and sent back to subsystem for readout
- CSC data readout, setup in P5 by default:
  - ➔ ALCT board: ALCT+L1A to read out wire digi, Anode trigger
  - ➔ (O)TMB: CLCT+L1A to read out comparator digi, cathode trigger, LCT
  - ➔ (D)CFEB: preCLCT+L1A to read out strip digi

