

Lepton masses and mixing from modular S_4 symmetry

in collaboration with S.T. Petcov [arXiv:1806.03203],
A.V. Titov and P.P. Novichkov [arXiv: 1811.04933]



João Penedo (CFTP, Lisbon)



Vienna, 27 November 2018



European Union



Plan

- Neutrino oscillation data
- Symmetry and flavour
- The modular symmetry framework
- The case of S_4
- Model building and phenomenology

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3ν flavour paradigm

Consistent description and remarkable progress in the last two decades

Standard parameterisation

$$U_{\text{PMNS}} = \begin{pmatrix} 1 & & \\ & c_{23} & s_{23} \\ & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & & s_{13}e^{-i\delta} \\ & 1 & \\ -s_{13}e^{i\delta} & & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} \\ -s_{12} & c_{12} \\ & & 1 \end{pmatrix} \begin{pmatrix} 1 & & \\ & e^{i\alpha_{21}/2} & \\ & & e^{i\alpha_{31}/2} \end{pmatrix}$$

$$c_{ij} \equiv \cos \theta_{ij}, \quad s_{ij} \equiv \sin \theta_{ij}$$

Recall/see e.g. talks by
L. Ludhova, M. Zito,
M. Tórtola, W. Wang,
...

Mass ordering

$$\frac{\Delta m_{\odot}^2}{|\Delta m_A^2|} \sim \frac{1}{30}$$

Normal ordering (NO)

$$m_1 < m_2 < m_3$$





vs.

Inverted ordering (IO)

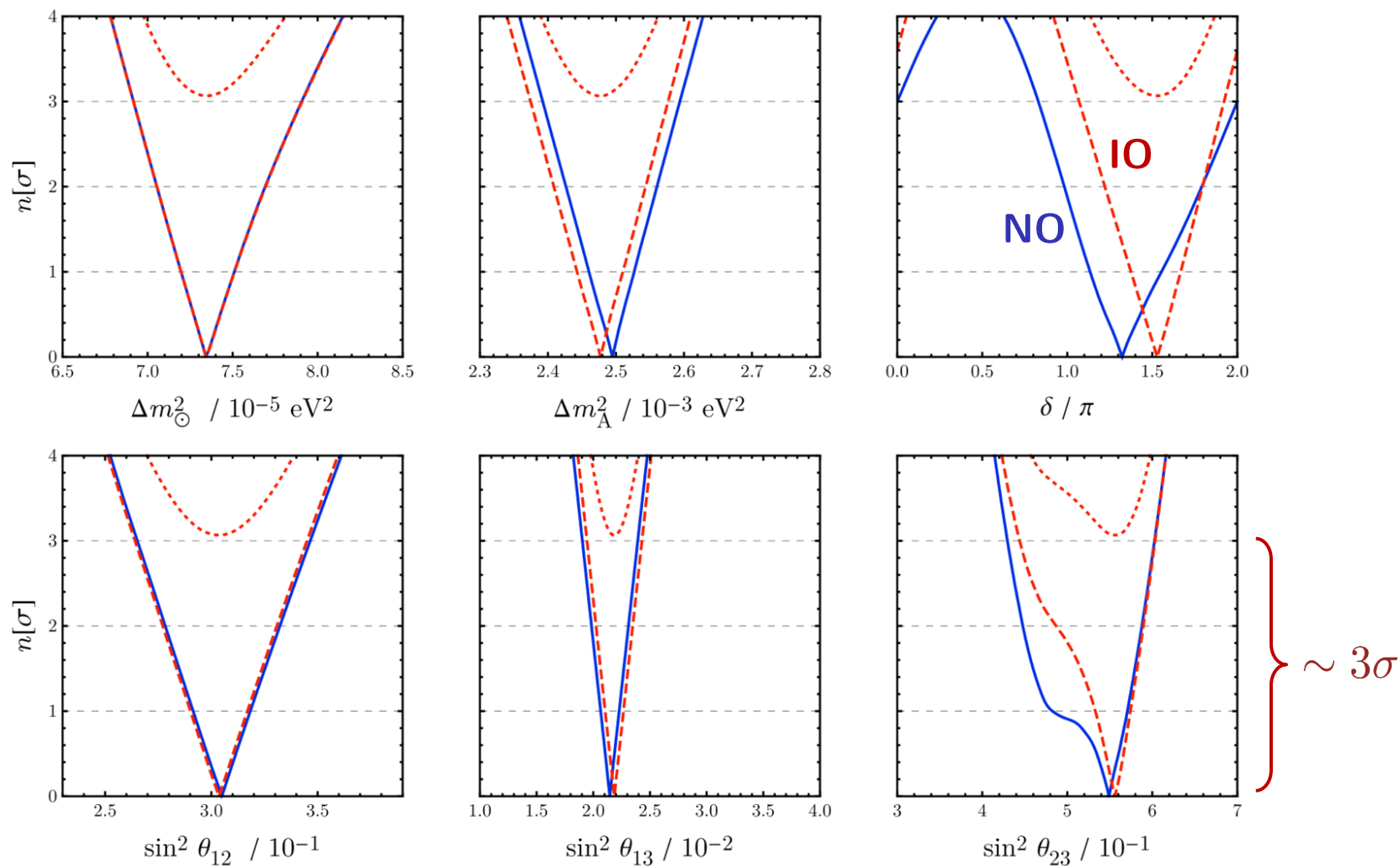
$$m_3 < m_1 < m_2$$





3ν flavour paradigm (cont.)

Capozzi et al, 1804.09678,
see also 1811.05487 recently

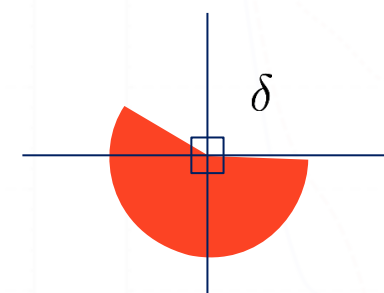
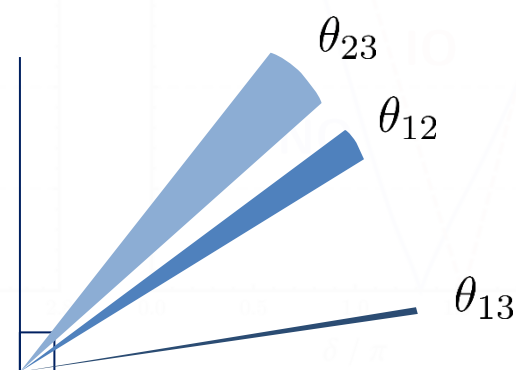


3ν flavour paradigm (cont.)

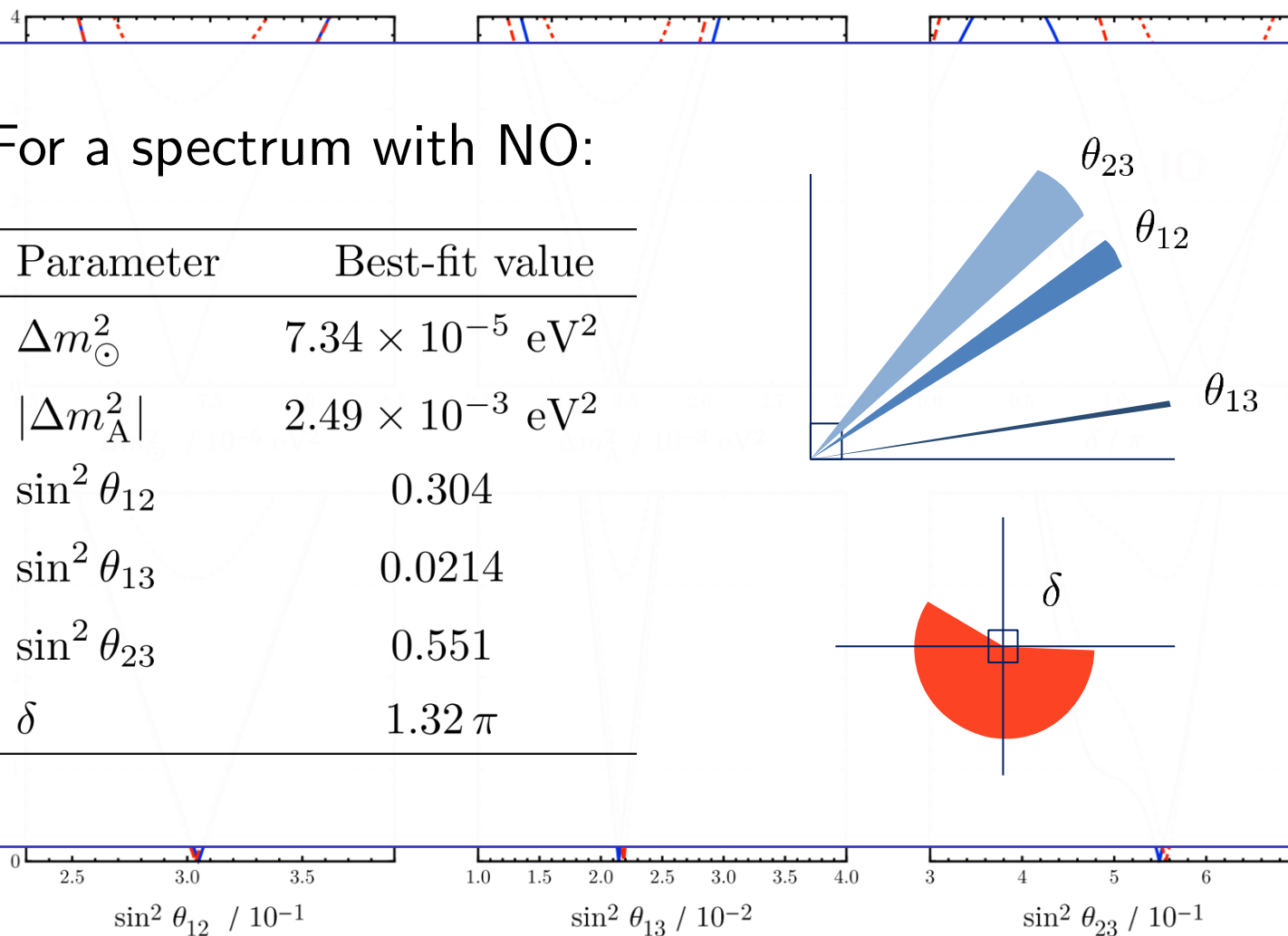
Capozzi et al, 1804.09678,
see also 1811.05487 recently

For a spectrum with NO:

Parameter	Best-fit value
Δm_{\odot}^2	$7.34 \times 10^{-5} \text{ eV}^2$
$ \Delta m_{\text{A}}^2 $	$2.49 \times 10^{-3} \text{ eV}^2$
$\sin^2 \theta_{12}$	0.304
$\sin^2 \theta_{13}$	0.0214
$\sin^2 \theta_{23}$	0.551
δ	1.32π



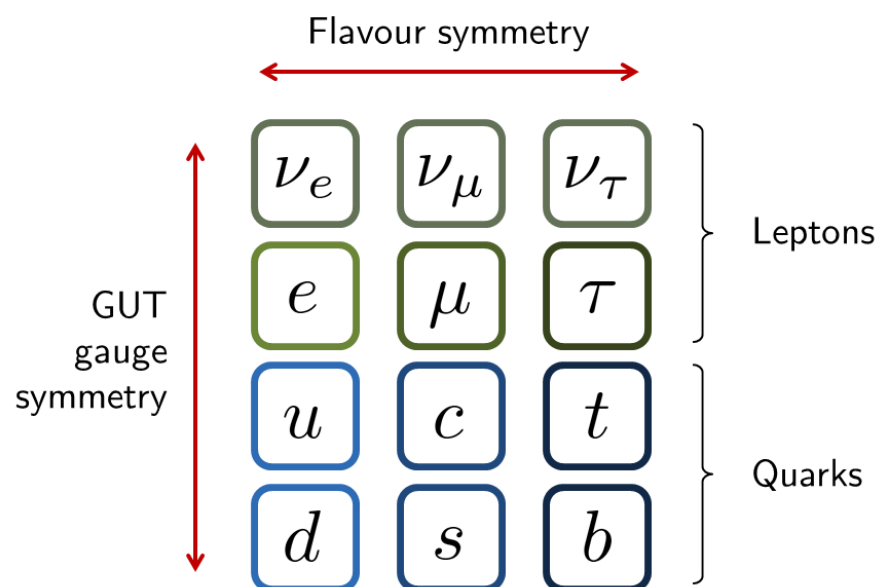
$\sim 3\sigma$



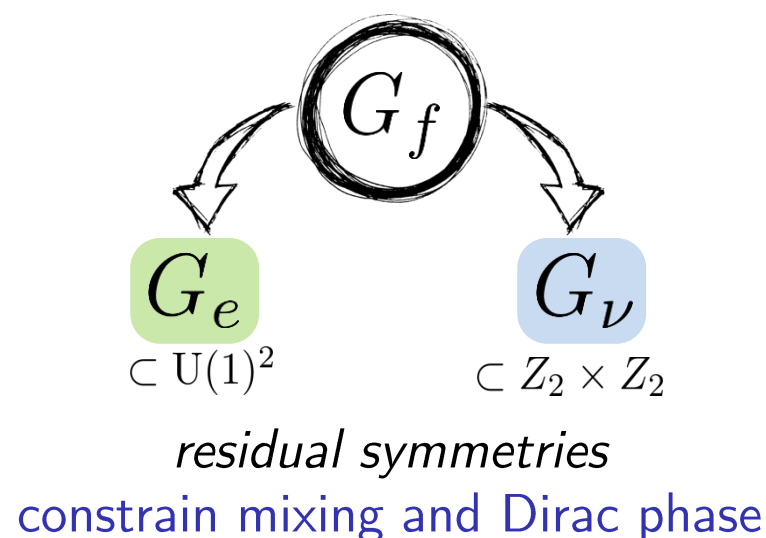
Symmetry and flavour

For the lepton sector, at low energy and in some flavour basis:

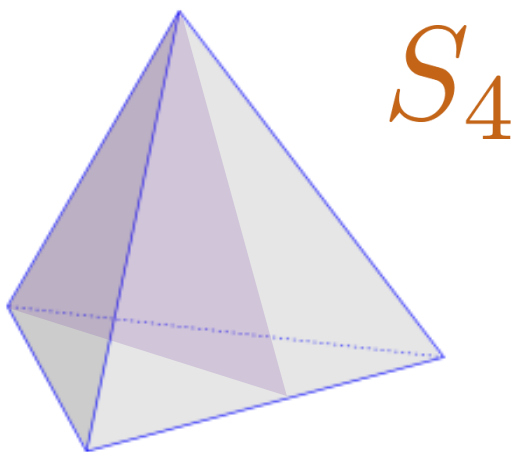
$$\mathcal{L}_\ell = - (M_e)_{ij} \overline{\ell_{iL}} \ell_{jR} - \frac{1}{2} (M_\nu)_{ij} \overline{\nu_{iR}^C} \nu_{jL} + \text{h.c.}$$



Non-Abelian discrete flavour symmetries



S_4 as the flavour group



rotations + reflection
 \Leftrightarrow permutation of vertices

Group presentations

3 generators, S , T , and U , obeying:

$$S^2 = T^3 = U^2 = (ST)^3 \\ = (SU)^2 = (TU)^2 = (STU)^4 = 1$$



2 generators, S and T , obeying:

$$S^2 = (ST)^3 = T^4 = 1$$

For reviews on the discrete sym. approach to flavour, see e.g.: Altarelli, Feruglio, 1002.0211; Ishimori et al, 1003.3552; King et al, 1402.4271; Petcov, 1711.10806.

Problems with the usual approach

- many scalar multiplets to arrange breaking
- “baroque”/complicated potentials
- need care with higher-dim. operators
- may need large groups, or large corrections

Predictability is at risk

Framework

(Modular-invariant SUSY actions)

Modular-invariant SUSY actions

Ferrara et al, '89

Interest renewed by Feruglio, 1706.08749

a new model building avenue

$$\mathcal{S} = \int d^4x d^2\theta d^2\bar{\theta} K(\chi_i, \bar{\chi}_i; \tau, \bar{\tau}) + \int d^4x d^2\theta W(\chi_i; \tau) + \text{h.c.}$$

- small number of parameters
- small number of symmetry breaking spurions
- control over higher-dim. operators
- leading-order predictions only potentially modified by corrections from Kähler, SUSY breaking

Modular transformations: the modulus

τ is a dimensionless spurion, $\langle \tau \rangle$ only source of modular sym. breaking

$$\tau \rightarrow \frac{a\tau + b}{c\tau + d}$$

with $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in PSL(2, \mathbb{Z})$

$$a, b, c, d \in \mathbb{Z} \quad \begin{vmatrix} a & b \\ c & d \end{vmatrix} = 1$$

Modular group

$$S^2 = (ST)^3 = 1$$

$$S : \tau \rightarrow -1/\tau, \quad S = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

$$T : \tau \rightarrow \tau + 1, \quad T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$

Bottom-up approach

We will scan $\langle \tau \rangle$; for top-down, see e.g. Kobayashi et al, 1804.06644

Modular transformations: the superfields

$$\begin{cases} \tau \rightarrow \frac{a\tau + b}{c\tau + d} \\ \chi_i \rightarrow (c\tau + d)^{-k_i} \rho_i(\gamma) \chi_i \end{cases} \quad \text{with } \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

“weight”

**infinite
subgroup**

$$\bar{\Gamma}(N) \equiv \left\{ \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid \gamma \in PSL(2, \mathbb{Z}) \wedge \gamma = 1 \pmod{N} \right\}$$



quotient

$$\Gamma_N$$



$$S^2 = (ST)^3 = T^N = 1$$

The superpotential

$$W(\chi_i; \tau) = \sum_n \sum_{\{i_1, \dots, i_n\}} \left(Y_{\{i_1, \dots, i_n\}}(\tau) \chi_{i_1} \cdots \chi_{i_n} \right)_{\mathbf{1}}$$

$$\left\{ \begin{array}{l} \tau \rightarrow \gamma\tau = \frac{a\tau + b}{c\tau + d} \\ \chi_i \rightarrow (c\tau + d)^{-k_i} \rho_i(\gamma) \chi_i \\ Y(\tau) \rightarrow Y(\gamma\tau) = (c\tau + d)^{2k_Y} \rho_Y(\gamma) Y(\tau) \end{array} \right. \quad \text{with } \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

weights

$Y(\tau)$ are **modular forms** obeying

How to build them?

$$\begin{cases} 2k_Y - k_{i_1} - \dots - k_{i_n} = 0 \\ \rho_Y \otimes \rho_{i_1} \otimes \dots \otimes \rho_{i_n} \supset \mathbf{1} \end{cases}$$

Generators of S_4 modular forms

The Dedekind eta function

Useful to build the sought-out modular forms

$$\eta(\tau) \equiv q^{1/24} \prod_{k=1}^{\infty} (1 - q^k), \quad \text{with } q = e^{2\pi i \tau}$$

why this function?

$$S : \tau \rightarrow -1/\tau$$

$$T : \tau \rightarrow \tau + 1$$



$$\eta(-1/\tau) = \sqrt{-i\tau} \eta(\tau)$$

$$\eta(\tau + 1) = e^{i\pi/12} \eta(\tau)$$

Action of S_4 generators



$$S^2 = (ST)^3 = T^4 = 1$$

Set of 'seed' functions

$$\{\eta_i\} = \left\{ \eta\left(\tau + \frac{1}{2}\right), \eta(4\tau), \eta\left(\frac{\tau}{4}\right), \eta\left(\frac{\tau+1}{4}\right), \eta\left(\frac{\tau+2}{4}\right), \eta\left(\frac{\tau+3}{4}\right) \right\}$$

Action of S_4 generators



$$S^2 = (ST)^3 = T^4 = 1$$

Set of 'seed' functions

$S :$

$$\{\eta_i\} = \left\{ \eta\left(\tau + \frac{1}{2}\right), \eta(4\tau), \eta\left(\frac{\tau}{4}\right), \eta\left(\frac{\tau+1}{4}\right), \eta\left(\frac{\tau+2}{4}\right), \eta\left(\frac{\tau+3}{4}\right) \right\}$$

The diagram shows green arrows indicating the action of the generator S on the seed functions. Arrows point from the first function to the second, third, and fourth; from the second to the third; from the third to the fourth; from the fourth to the fifth; and from the fifth to the sixth.

up to multiplicative factors

Action of S_4 generators



$$S^2 = (ST)^3 = \underline{T^4 = 1}$$

Set of 'seed' functions

$S :$

$$\{\eta_i\} = \left\{ \eta\left(\tau + \frac{1}{2}\right), \eta(4\tau), \eta\left(\frac{\tau}{4}\right), \eta\left(\frac{\tau+1}{4}\right), \eta\left(\frac{\tau+2}{4}\right), \eta\left(\frac{\tau+3}{4}\right) \right\}$$

$T :$

up to multiplicative factors

Building lowest-weight forms

$$Y(a_1, \dots, a_6 | \tau) \equiv \frac{d}{d\tau} \left(\sum_{i=1}^6 a_i \log \eta_i(\tau) \right)$$

$$\sum_i a_i = 0$$

$$\begin{aligned} S : Y(a_1, \dots, a_6 | \tau) &\rightarrow Y(a_1, a_2, a_3, a_4, a_5, a_6 | -1/\tau) \\ &= \tau^2 Y(a_5, a_3, a_2, a_6, a_1, a_4 | \tau) \end{aligned}$$

$$\begin{aligned} T : Y(a_1, \dots, a_6 | \tau) &\rightarrow Y(a_1, a_2, a_3, a_4, a_5, a_6 | \tau + 1) \\ &= Y(a_1, a_2, a_6, a_3, a_4, a_5 | \tau) \end{aligned}$$

$$Y(\tau) \rightarrow (c\tau + d)^{2k_Y} \rho_Y(\gamma) Y(\tau) \quad \Rightarrow \quad \text{Modular forms of weight 2}$$

Building lowest-weight forms: multiplets

$$Y(a_1, \dots, a_6 | \tau) \equiv \frac{d}{d\tau} \left(\sum_{i=1}^6 a_i \log \eta_i(\tau) \right)$$

$$\sum_i a_i = 0$$

Lowest weight forms arrange into:

$$Y_{\mathbf{2}}(\tau) = \begin{pmatrix} Y_1(\tau) \\ Y_2(\tau) \end{pmatrix} \quad \text{doublet } \mathbf{2}$$

$$Y_{\mathbf{3}'}(\tau) = \begin{pmatrix} Y_3(\tau) \\ Y_4(\tau) \\ Y_5(\tau) \end{pmatrix} \quad \text{triplet } \mathbf{3}'$$

$$Y_1(\tau) \equiv Y(1, 1, \omega, \omega^2, \omega, \omega^2 | \tau)$$

$$Y_2(\tau) \equiv Y(1, 1, \omega^2, \omega, \omega^2, \omega | \tau)$$

$$Y_3(\tau) \equiv Y(1, -1, -1, -1, 1, 1 | \tau)$$

$$Y_4(\tau) \equiv Y(1, -1, -\omega^2, -\omega, \omega^2, \omega | \tau)$$

$$Y_5(\tau) \equiv Y(1, -1, -\omega, -\omega^2, \omega, \omega^2 | \tau)$$

Correct dimension (5)

Products generate higher weight forms

Modular S_4 phenomenology

What are the consequences of having a spontaneously broken modular symmetry?



Guidelines for model building

Using minimality as a guiding principle...

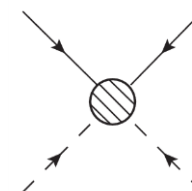


- **No flavons** are introduced,
- Higgs multiplets transform trivially,
- Lepton doublets transform as an S_4 **triplet**,
- Lepton singlets transform as S_4 **singlets**, and
- Lowest possible weights are chosen such that all charged-leptons are massive

Lepton masses and mixing from the Weinberg operator

JP, Petcov, 1806.03203

$$W = \sum_i \alpha_i [E_i^c L H_d f_i(Y)]_{\mathbf{1}} + \frac{g}{\Lambda} [L H_u L H_u f_W(Y)]_{\mathbf{1}}$$



- Study models systematically by increasing weight of L
- Minimal working model ($k_L = 2$) has 10 real parameters, predicting 12 observables
- Correlations between observables are expected

Lepton masses and mixing from the Weinberg operator: a benchmark

	H_u	H_d	L	E_1^c	E_2^c	E_3^c
ρ_i	1	1	3	1'	1	1'
			3'	1	1'	1
k_i	0	0	2	0	2	2

NO spectrum

$$\frac{m_e}{m_\mu} \simeq 0.0048, \quad \sin^2 \theta_{12} \simeq 0.292, \quad \delta \simeq 1.64\pi,$$

$$\frac{m_\mu}{m_\tau} \simeq 0.0560, \quad \sin^2 \theta_{13} \simeq 0.021, \quad \alpha_{21} \simeq 0.10\pi,$$

$$r \simeq 0.0298, \quad \sin^2 \theta_{23} \simeq 0.493, \quad \alpha_{31} \simeq 1.10\pi.$$

A distinctive feature of this framework is the prediction of the **Dirac and Majorana CPV phases**



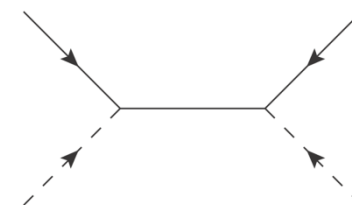
$$|\langle m \rangle| \simeq 0.042 \text{ eV}$$

Lepton masses and mixing from Seesaw type I

Novichkov, JP, Petcov,

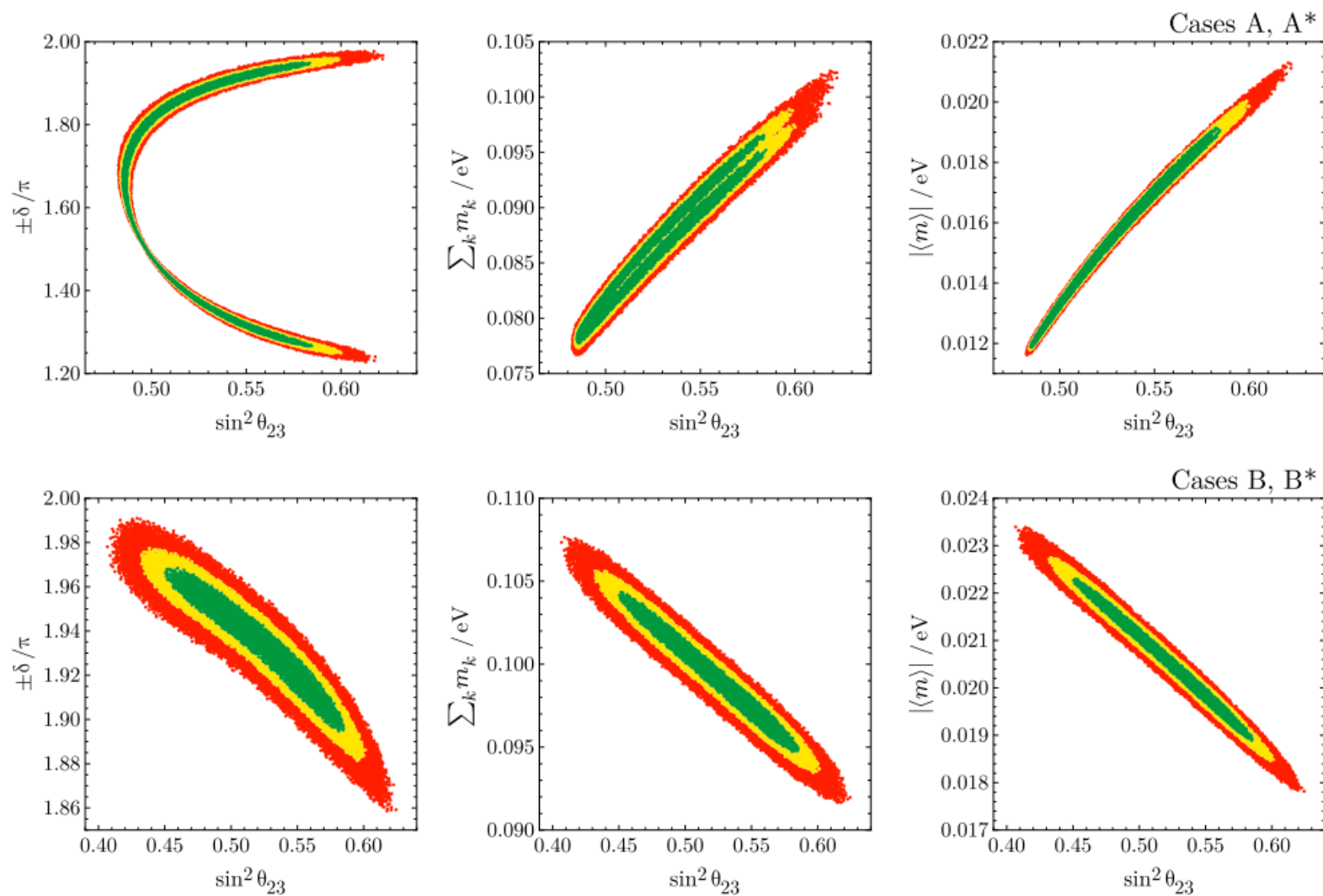
Titov, 1811.04933

$$W = \sum_i \alpha_i [E_i^c L f_i(Y)]_{\mathbf{1}} H_d + g [N^c L f_N(Y)]_{\mathbf{1}} H_u + \Lambda [N^c N^c f_M(Y)]_{\mathbf{1}}$$

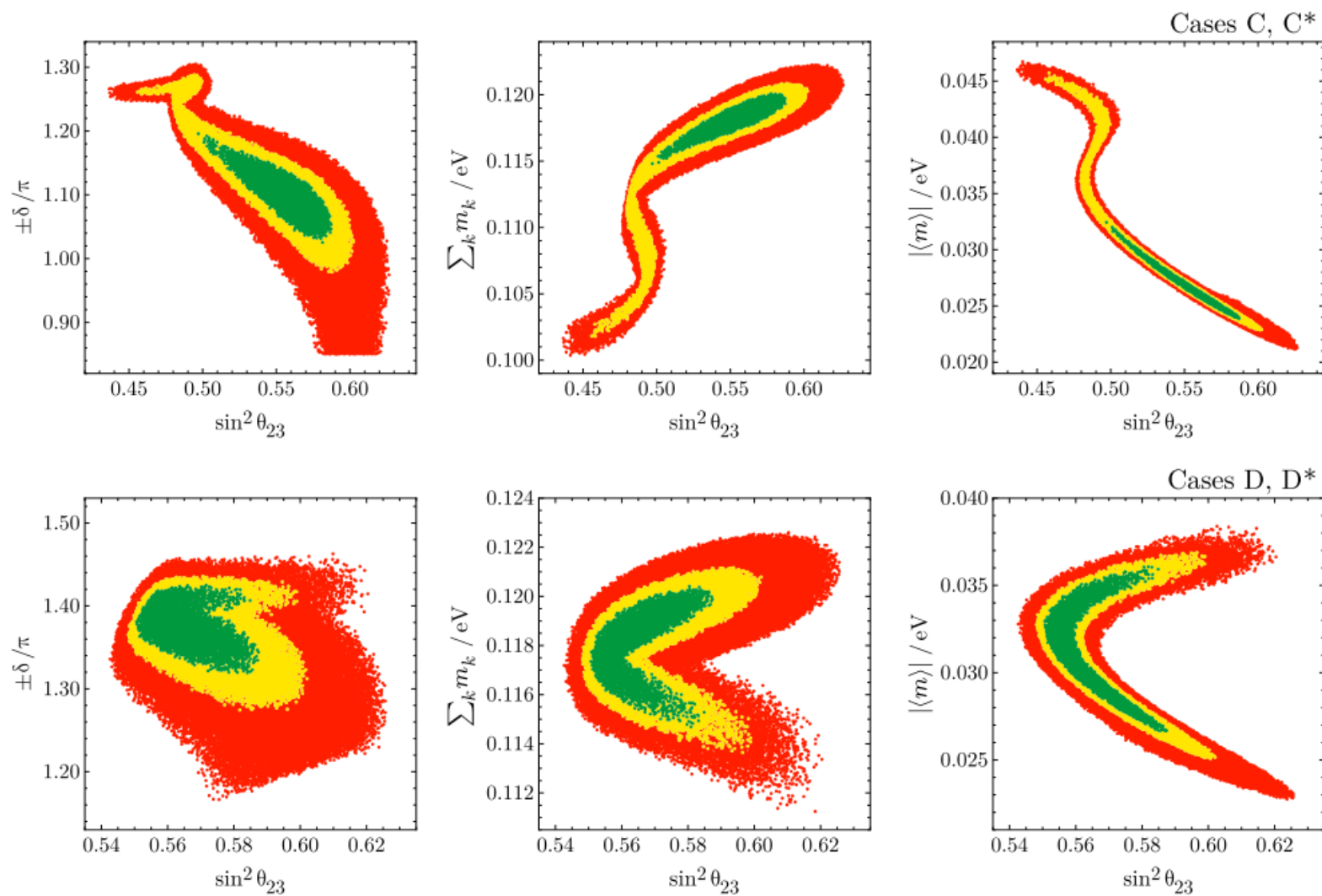


- UV completion, more predictive
- Minimal working models have 8 parameters (vs. 12 observables)
- Parameter space fully scanned and correlations studied in detail
- In working models heavy singlets can be integrated out

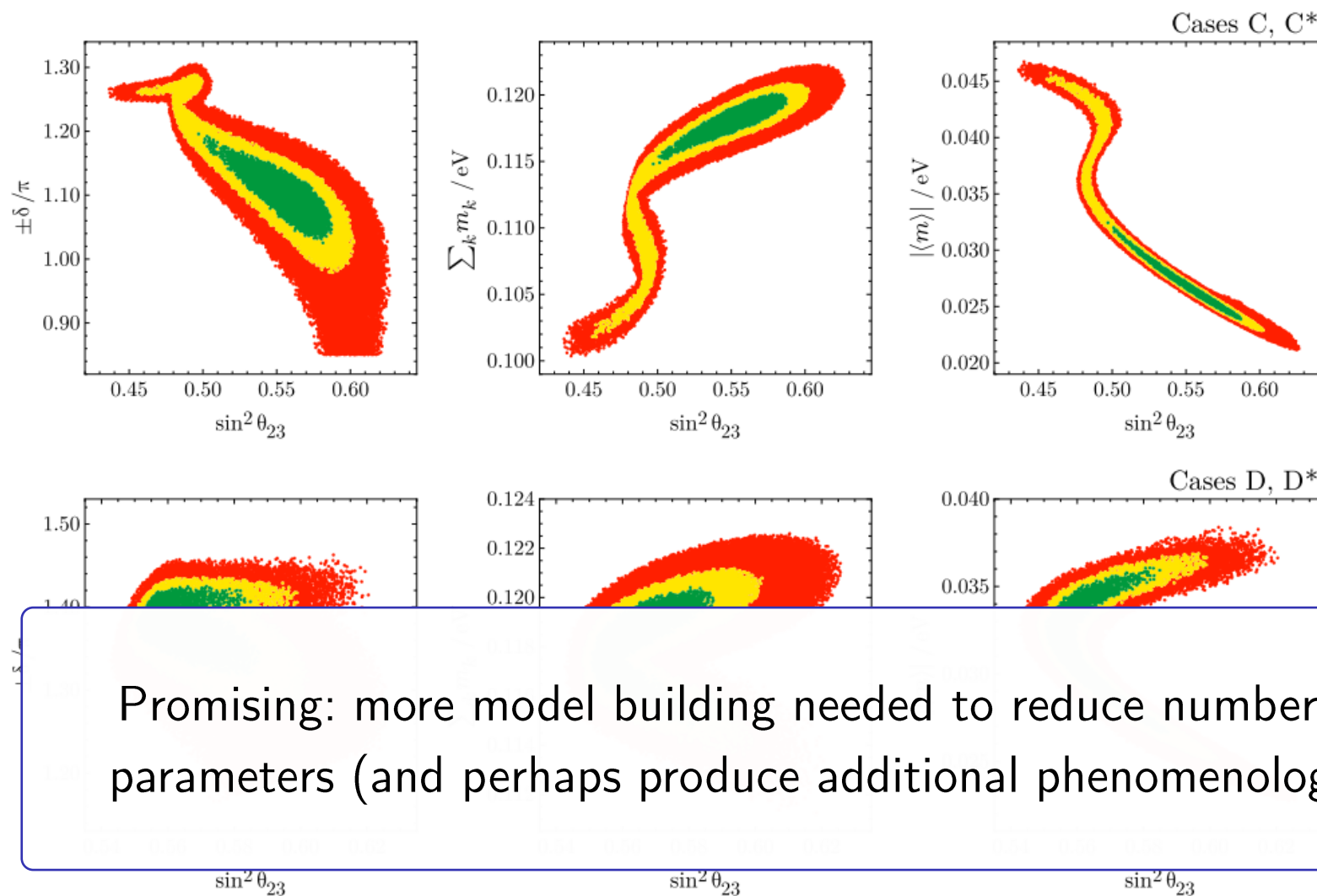
Modular Seesaw correlations



Modular Seesaw correlations



Modular Seesaw correlations



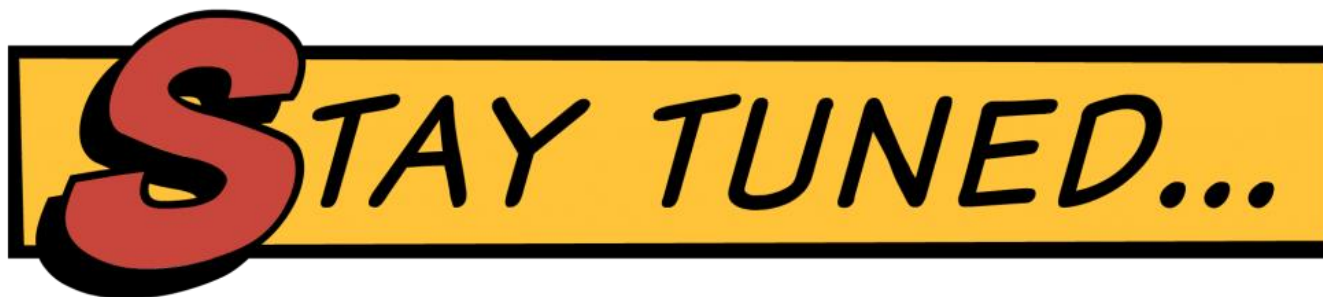
Promising: more model building needed to reduce number of parameters (and perhaps produce additional phenomenology)

Summary and Conclusions (1/2)

- **Modular symmetry** may strongly constrain masses and mixing.
- Fields carrying a non-trivial modular weight transform with a scale factor in addition to the usual unitary rotation.
- To build invariants one needs **modular forms**.

Summary and Conclusions (2/2)

- We have shown how lepton mixing and Dirac and Majorana CPV phases can be predicted in **minimal models**.
- The existence of successful benchmarks warrants further exploration of such an approach.



S TAY TUNED...

Thu 29/11 (the day after tomorrow)

at 15:15

P. Novichkov: “ S_4 Modular Symmetry, Seesaw Mechanism and Lepton Masses and Mixing”



Thank you / Danke schön

Backup slides

S_4 in the 2-generator presentation

$$S^2 = (ST)^3 = T^4 = 1$$

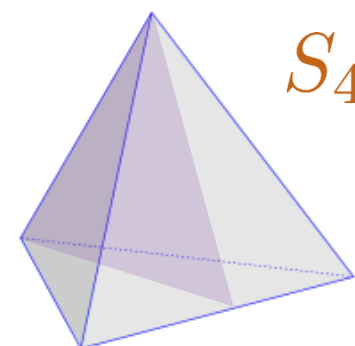
$$\mathbf{1} : \rho(S) = 1, \quad \rho(T) = 1$$

$$\mathbf{1}' : \rho(S) = -1, \quad \rho(T) = -1$$

$$\mathbf{2} : \rho(S) = \begin{pmatrix} 0 & \omega \\ \omega^2 & 0 \end{pmatrix}, \quad \rho(T) = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

$$\mathbf{3} : \rho(S) = \frac{1}{3} \begin{pmatrix} -1 & 2\omega^2 & 2\omega \\ 2\omega & 2 & -\omega^2 \\ 2\omega^2 & -\omega & 2 \end{pmatrix}, \quad \rho(T) = \frac{1}{3} \begin{pmatrix} -1 & 2\omega & 2\omega^2 \\ 2\omega & 2\omega^2 & -1 \\ 2\omega^2 & -1 & 2\omega \end{pmatrix}$$

$$\mathbf{3}' : \rho(S) = -\frac{1}{3} \begin{pmatrix} -1 & 2\omega^2 & 2\omega \\ 2\omega & 2 & -\omega^2 \\ 2\omega^2 & -\omega & 2 \end{pmatrix}, \quad \rho(T) = -\frac{1}{3} \begin{pmatrix} -1 & 2\omega & 2\omega^2 \\ 2\omega & 2\omega^2 & -1 \\ 2\omega^2 & -1 & 2\omega \end{pmatrix}$$



see, e.g., Bazzocchi, Merlo, Morisi, 0901.2086

$$\omega = e^{2\pi i/3}$$

Kähler potential

The minimal choice; a definition of the setup, at this point:

$$K(\chi_i, \bar{\chi}_i; \tau, \bar{\tau}) = -h \Lambda_0^2 \log(-i(\tau - \bar{\tau})) + \sum_i \frac{|\chi_i|^2}{(-i(\tau - \bar{\tau}))^{k_i}}$$



$$\mathcal{L} \supset \sum_i \frac{\partial_\mu \bar{\chi}_i \partial^\mu \chi_i}{(2 \operatorname{Im} \langle \tau \rangle)^{k_i}}$$

Under a modular transformation, **invariant up to a Kähler transformation:**

$$K(\chi_i, \bar{\chi}_i; \tau, \bar{\tau}) \rightarrow K(\chi_i, \bar{\chi}_i; \tau, \bar{\tau}) + f(\chi_i; \tau) + f(\bar{\chi}_i; \bar{\tau})$$

There may exist potentially dangerous corrections to the Kähler, to be studied

Generators of modular forms: q -expansions

$$Y_2(\tau) \equiv \begin{pmatrix} Y_1(\tau) \\ Y_2(\tau) \end{pmatrix} \quad \text{doublet } 2 \quad Y_{3'}(\tau) \equiv \begin{pmatrix} Y_3(\tau) \\ Y_4(\tau) \\ Y_5(\tau) \end{pmatrix} \quad \text{triplet } 3'$$

$$-\frac{8i}{3\pi} Y_1(\tau) = 1 - 24y - 72y^2 + 288y^3 + 216y^4 + \dots,$$

$$-\frac{8i}{3\pi} Y_2(\tau) = 1 + 24y - 72y^2 - 288y^3 + 216y^4 + \dots,$$

$$\frac{4i}{\pi} Y_3(\tau) = 1 - 8z + 64z^3 + 32z^4 + 192z^5 - 512z^7 + 384z^8 + \dots,$$

$$\frac{2i}{\pi} [Y_4(\tau) + Y_5(\tau)] = 1 + 4z - 32z^3 + 32z^4 - 96z^5 + 256z^7 + 384z^8 + \dots,$$

$$\frac{i}{\pi} [Y_4(\tau) - Y_5(\tau)] = 2\sqrt{3}z(1 + 8z^2 - 24z^4 - 64z^6 + \dots),$$

where $y \equiv i\sqrt{q/3}$, $z \equiv e^{i\pi/4}(q/4)^{1/4}$, and $q = e^{2\pi i \tau}$

Building higher-weight forms

At weight 4

$$Y_1^{(4)} = Y_1 Y_2 \sim \mathbf{1}$$

$$Y_2^{(4)} = (Y_2^2, Y_1^2)^T \sim \mathbf{2}$$

$$Y_3^{(4)} = (Y_1 Y_4 - Y_2 Y_5, Y_1 Y_5 - Y_2 Y_3, Y_1 Y_3 - Y_2 Y_4)^T \sim \mathbf{3}$$

$$Y_{3'}^{(4)} = (Y_1 Y_4 + Y_2 Y_5, Y_1 Y_5 + Y_2 Y_3, Y_1 Y_3 + Y_2 Y_4)^T \sim \mathbf{3'}$$

$\mathbf{1'}$ at
weight 6



Constraints

guarantee correct
dimensionality

$$\begin{aligned} \frac{1}{3} (Y_3^2 + 2Y_4 Y_5) &= Y_1 Y_2, & -\frac{1}{\sqrt{3}} (Y_3^2 - Y_4 Y_5) &= Y_1 Y_4 - Y_2 Y_5, \\ \frac{1}{3} (Y_4^2 + 2Y_3 Y_5) &= Y_2^2, & -\frac{1}{\sqrt{3}} (Y_5^2 - Y_3 Y_4) &= Y_1 Y_5 - Y_2 Y_3, \\ \frac{1}{3} (Y_5^2 + 2Y_3 Y_4) &= Y_1^2, & -\frac{1}{\sqrt{3}} (Y_4^2 - Y_3 Y_5) &= Y_1 Y_3 - Y_2 Y_4. \end{aligned}$$



Mass matrices in Weinberg operator case

$$k_L = 1$$



$$M_e^\dagger = v_d \begin{pmatrix} \alpha Y_3 & \alpha Y_5 & \alpha Y_4 \\ \beta (Y_1 Y_4 - Y_2 Y_5) & \beta (Y_1 Y_3 - Y_2 Y_4) & \beta (Y_1 Y_5 - Y_2 Y_3) \\ \gamma (Y_1 Y_4 + Y_2 Y_5) & \gamma (Y_1 Y_3 + Y_2 Y_4) & \gamma (Y_1 Y_5 + Y_2 Y_3) \end{pmatrix}$$

$$M_\nu = \frac{2g_1 v_u^2}{\Lambda} \begin{pmatrix} 0 & Y_2 & Y_1 \\ Y_2 & Y_1 & 0 \\ Y_1 & 0 & Y_2 \end{pmatrix}$$

$$Y_i \equiv Y_i(\tau)$$

Mass matrices in Weinberg operator case

$$k_L = 2$$

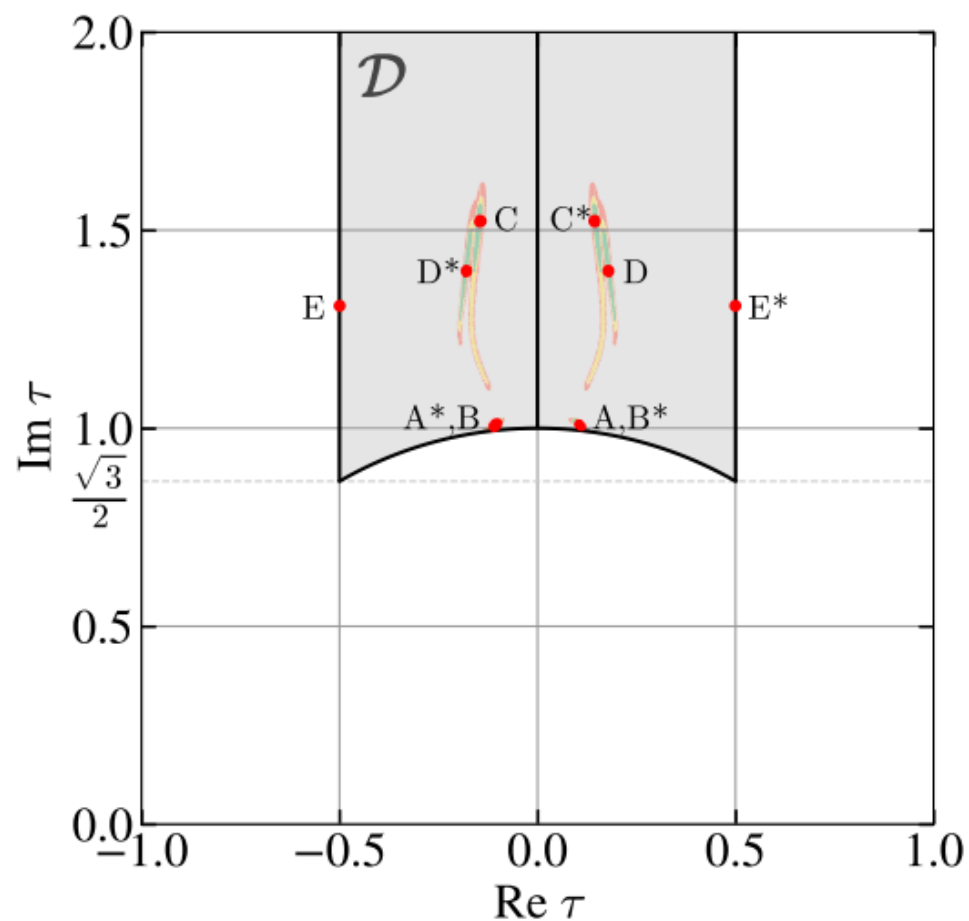


$$M_e^\dagger = v_d \begin{pmatrix} \alpha Y_3 & \alpha Y_5 & \alpha Y_4 \\ \beta (Y_1 Y_4 - Y_2 Y_5) & \beta (Y_1 Y_3 - Y_2 Y_4) & \beta (Y_1 Y_5 - Y_2 Y_3) \\ \gamma (Y_1 Y_4 + Y_2 Y_5) & \gamma (Y_1 Y_3 + Y_2 Y_4) & \gamma (Y_1 Y_5 + Y_2 Y_3) \end{pmatrix}$$

$$M_\nu = \frac{2g'v_u^2}{\Lambda} \left[\begin{pmatrix} (g/g')Y_1Y_2 & Y_2^2 & Y_1^2 \\ Y_2^2 & Y_1^2 & (g/g')Y_1Y_2 \\ Y_1^2 & (g/g')Y_1Y_2 & Y_2^2 \end{pmatrix} + \frac{1}{2} \frac{g''}{g'} \begin{pmatrix} 2(Y_1Y_4 - Y_2Y_5) & -(Y_1Y_3 - Y_2Y_4) & -(Y_1Y_5 - Y_2Y_3) \\ -(Y_1Y_3 - Y_2Y_4) & 2(Y_1Y_5 - Y_2Y_3) & -(Y_1Y_4 - Y_2Y_5) \\ -(Y_1Y_5 - Y_2Y_3) & -(Y_1Y_4 - Y_2Y_5) & 2(Y_1Y_3 - Y_2Y_4) \end{pmatrix} \right]$$

$$Y_i \equiv Y_i(\tau)$$

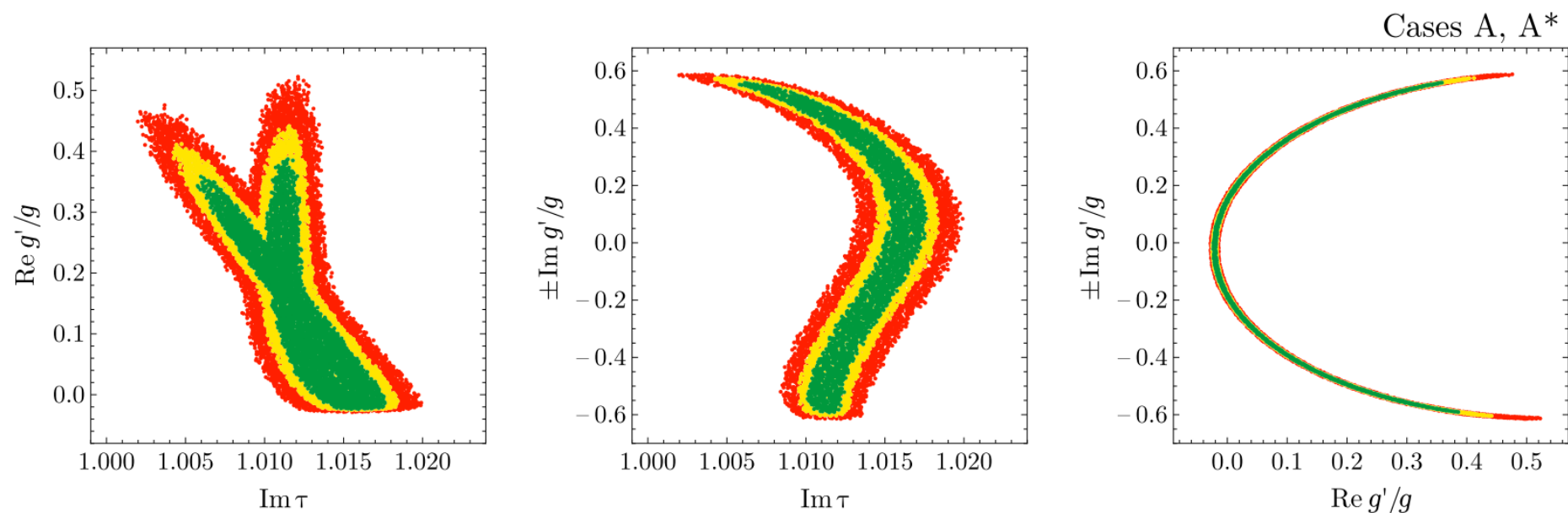
Viabie seesaw regions



Novichkov, JP, Petcov, Titov,
1811.04933

Conjecture: Cvetič, Font,
Ibanez, Lust, Quevedo, Nucl.
Phys. B361 (1991) 194

Correlations between parameters



see Novichkov, JP, Petcov, Titov, 1811.04933