

# Grand Unified Theories of Flavour

$$SO(10) \times S_4$$

Predicting Fermion Mass Matrices and Leptogenesis

Elena Perdomo

based on

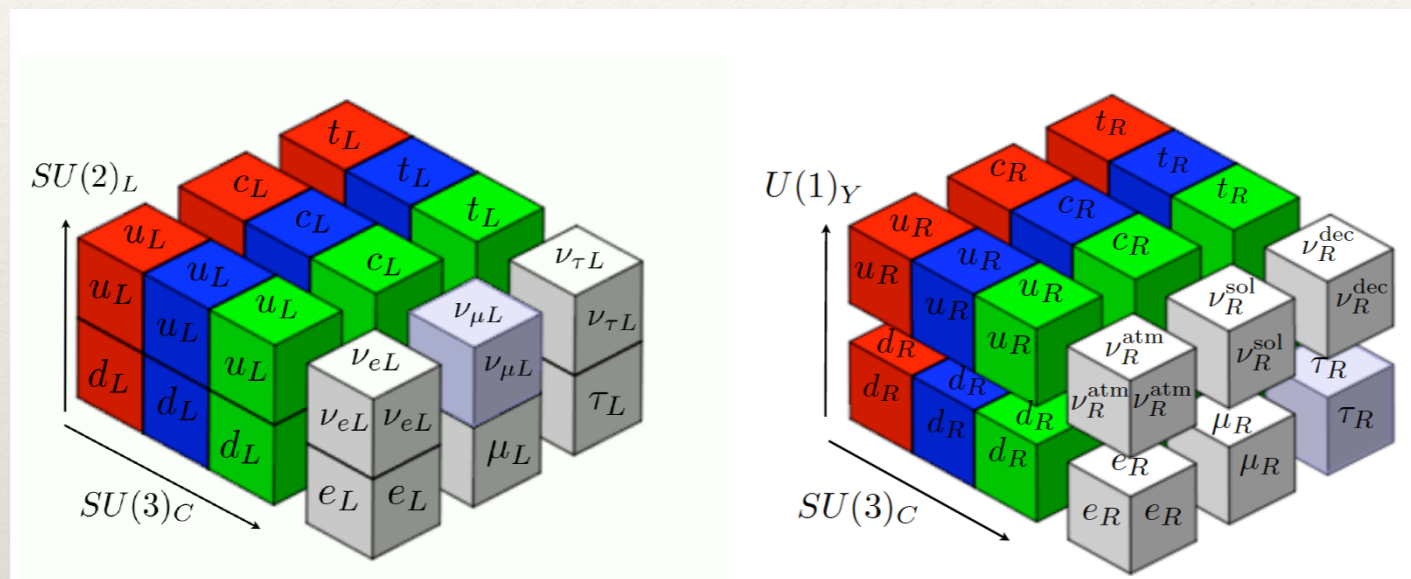
JHEP 12 (2017) 075    1710.03229    w/ Francisco J. de Anda, Stephen F. King

DISCRETE 2018

27 November 2018

# The Flavour Problem

## The Standard Model

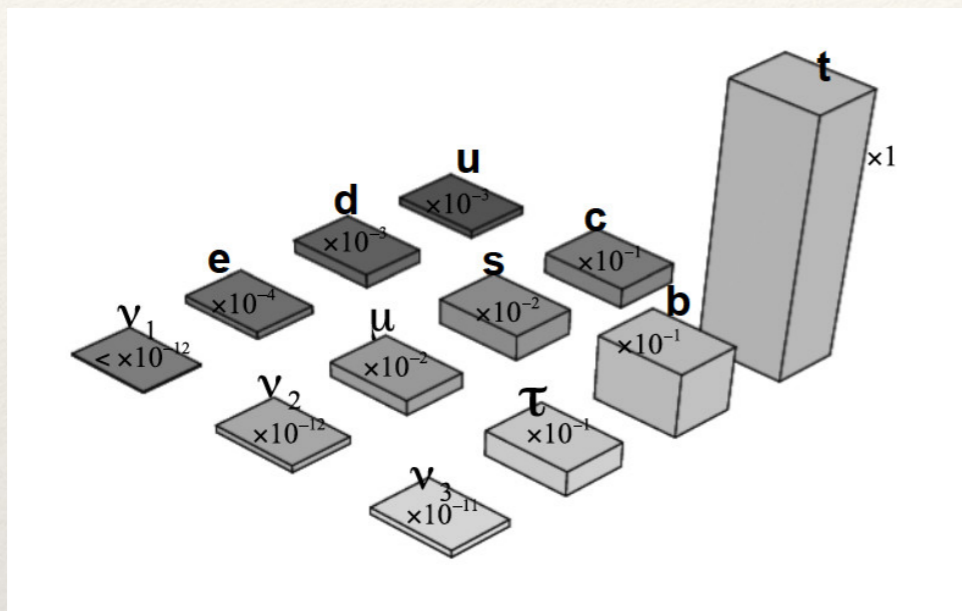


[King '17]

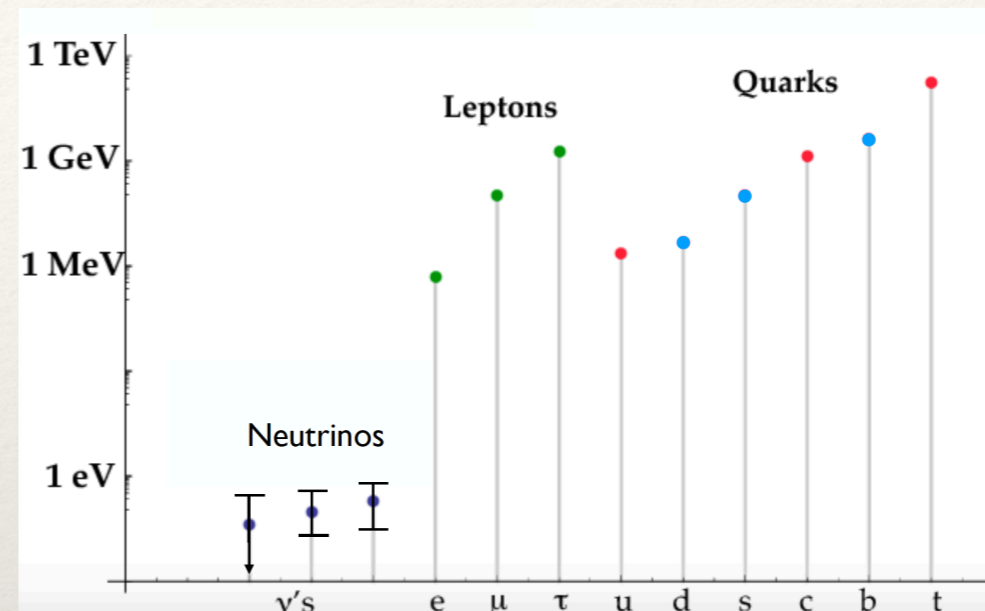
Why are there 3 families of each SM fermion field, in the same representation of the gauge group, differing only by their mass?

# The Flavour Problem

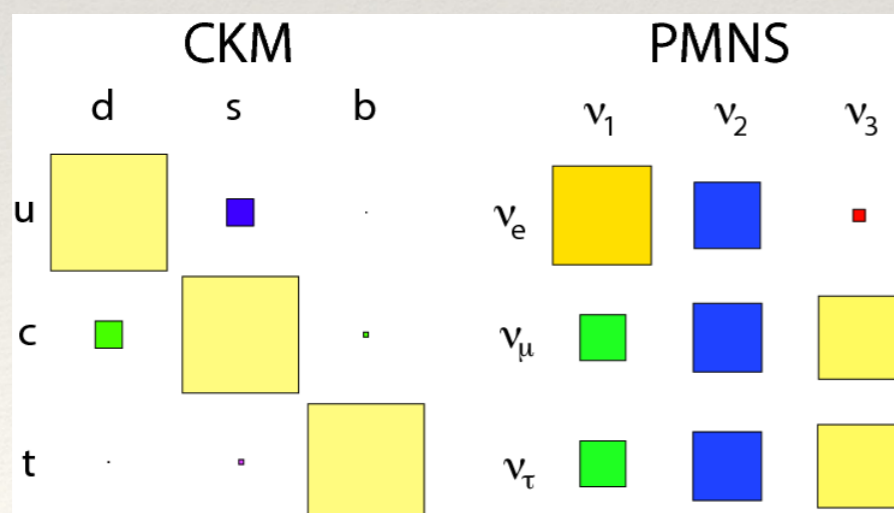
Why such large hierarchy among fermion masses?



[King '17]



Why is flavour mixing in the quark sector small compared to the lepton sector?



[Stone '12]

	$\theta_{12}$	$\theta_{23}$	$\theta_{13}$	$\delta$
Quarks	$13^\circ$ $\pm 0.1^\circ$	$2.4^\circ$ $\pm 0.1^\circ$	$0.2^\circ$ $\pm 0.05^\circ$	$70^\circ$ $\pm 5^\circ$
Leptons	$34^\circ$ $\pm 1^\circ$	$45^\circ$ $\pm 5^\circ$	$8.5^\circ$ $\pm 0.15^\circ$	$-130^\circ$ $\pm 40^\circ$

# Neutrino Masses

How are neutrino masses generated and why are they so small?

[Minkowski '77]

[Yanagida '79]

## Type I Seesaw mechanism

[Gell-Mann, Ramond, Slansky '79]

[Ramond '98]

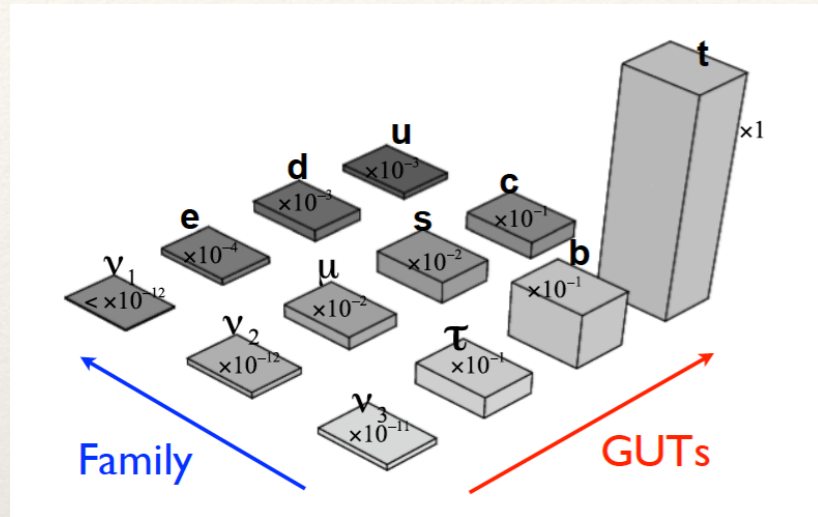
Include RH neutrinos which are singlets under the SM

$$\mathcal{L}_\nu = y_\nu L^\dagger H N + M_N N^c N + h.c.$$

Large Majorana RHN masses

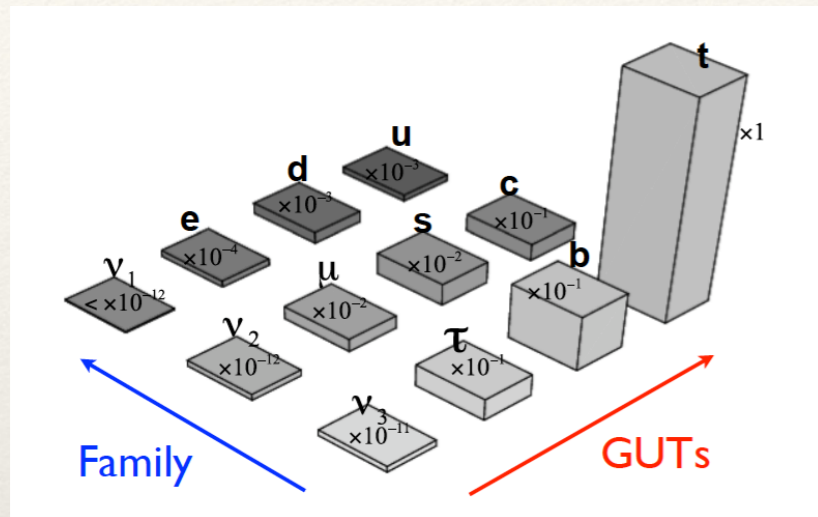
$$m_\nu = v^2 y_\nu M_N^{-1} y_\nu^T$$

# SUSY FLAVOUR GUTs



- ❖ **SUSY:** gauge coupling unification, ameliorates the hierarchy problem.
- ❖ **Grand Unified Theory:** unifies fermions within each family and reproduces an universal mass matrix structure.
- ❖ **Family symmetry:** “Horizontal” unification of SM fermions.

# SUSY FLAVOUR GUTs



- ❖ **SUSY:** gauge coupling unification, ameliorates the hierarchy problem.
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- ❖ **Family symmetry:** “Horizontal” unification of SM fermions.

$G_{\text{FAM}}$	$G_{\text{GUT}}$	$SU(2)_L \times U(1)_Y$	$SU(5)$	PS	$SO(10)$
$S_3$		[29]			[142]
$A_4$		[30, 34, 51, 53, 64, 143, 145]	[146, 149]	[68, 150, 151]	
$T'$		[152]	[153]		
$S_4$		[31, 51, 53, 145, 155]	[156, 157]	[154]	[158]
$A_5$		[53, 159]	[160]		
$T_7$		[161, 162]			
$\Delta(27)$		[163]			[164]
$\Delta(96)$		[165, 166]	[167]		[168]
$D_N$		[169]			
$Q_N$		[170]			
other		[171]	[172]	[173]	

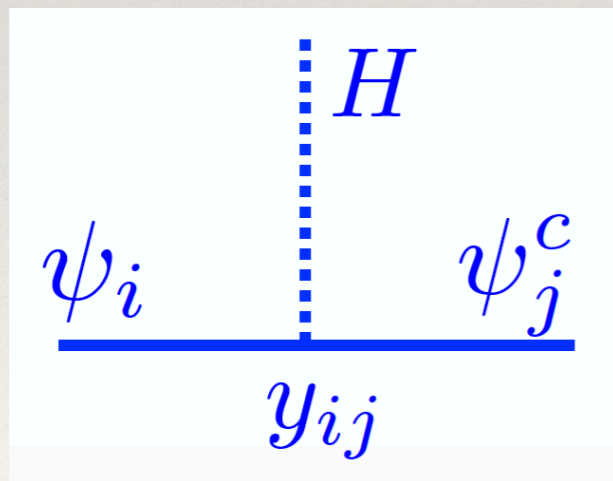
$$S_4 \times SO(10) \times \mathbb{Z}_4^R \times \mathbb{Z}_4^3$$

$S_4$  : symmetric group of permutations of 4 objects  $\cong$  rigid rotation group of a cube

Global symmetry at high scale  $\rightarrow$   
broken spontaneously by the VEV of some scalar fields, called **flavons**

**In the SM:**  
**Yukawa couplings**

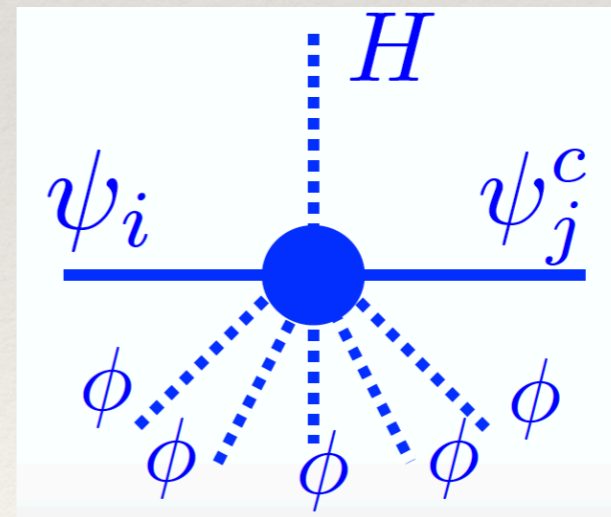
$$y_{ij} H \psi_i \psi_j^c$$



Why so small (except from top quark)?

**Effective**  
**Yukawa couplings**

$$\left( \frac{\langle \phi_i \rangle}{\Lambda_{i,n}^\psi} \right)^n \left( \frac{\langle \phi_j \rangle}{\Lambda_{j,m}^{\psi^c}} \right)^m H \psi_i \psi_j^c$$



Small Yukawas due to powers of ratios  $\frac{\langle \phi \rangle}{\Lambda}$

$$S_4 \times SO(10) \times \mathbb{Z}_4^R \times \mathbb{Z}_4^3$$

$SO(10)$  : A complete family of quarks and leptons fits into a single **16** representation

It contains the righ-handed neutrino  $\rightarrow$  non-zero neutrino masses

**Yukawa couplings:** Higgs in the **10** representation  $\mathbf{10} \otimes \mathbf{16} \otimes \mathbf{16} \rightarrow \mathbf{1}$

**RH Majorana Masses:** from the non-renormalisable operators

$$\frac{\lambda_{ij}}{\Lambda} \bar{H} \bar{H} \psi_i \psi_j \rightarrow \frac{\lambda_{ij}}{\Lambda} \langle \bar{\nu}_H \rangle^2 \nu_i^c \nu_j^c \equiv M_R^{ij} \nu_i^c \nu_j^c$$

$\bar{H}$  are Higgs in the  $\overline{\mathbf{16}}$  representation and break  $SO(10)$  down to  $SU(5)$



# The model

Field	Representation						
	$S_4$	$SO(10)$	$\mathbb{Z}_4^R$	$\mathbb{Z}_4$	$\mathbb{Z}_4$	$\mathbb{Z}_4$	
$\psi$	$3'$	16	1	0	0	0	Quarks and leptons
$H_{10}^u$	1	10	0	0	0	0	Contain MSSM Higgs doublets
$H_{10}^d$	1	10	0	0	2	0	Break electroweak symmetry
$H_{\overline{16}}$	1	$\overline{16}$	0	0	0	0	Break $SO(10) \rightarrow SU(5)$ and
$H_{16}$	1	16	0	0	1	0	give RH neutrino masses
$\phi_1$	$3'$	1	0	2	2	0	Flavons:
$\phi_2$	$3'$	1	0	2	0	0	Break $S_4$ completely with
$\phi_3$	$3'$	1	0	0	2	0	the CSD2 vacuum alignment

## Additional fields:

- ❖  $5 \times H_{45}$ : break  $SU(5)$  into the SM and introduce Clebsh-Gordan coefficients to distinguish between Yukawa couplings.
- ❖ Messenger superfields  $\chi$ : renormalizable masses.
- ❖ Alignment superfields  $X_i, Z_i$ : driving fields that coupled to the flavons give rise to the CSD2 flavon alignment.

# The superpotential

Renormalisable at the GUT scale:

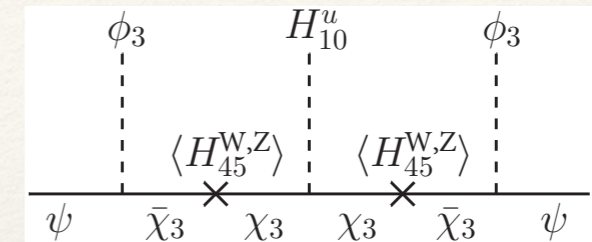
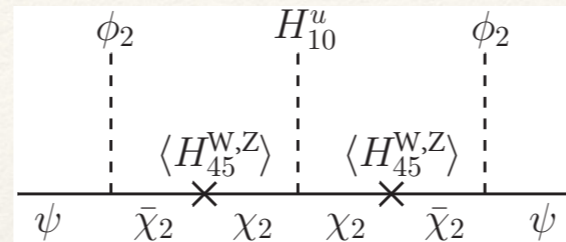
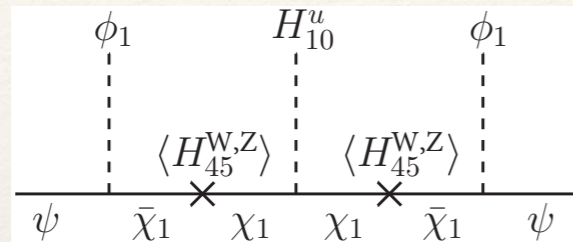
$$W_Y^{\text{GUT}} = \sum_{a=1,2,3} \psi \phi_a \bar{\chi}_a + (H_{45}^W + H_{45}^Z) \chi_a \bar{\chi}_a + \chi_a \chi_a H_{10}^u \\ + \sum_{b=2,3} \bar{\chi}_b \chi_b^d (H_{45}^X + H_{45}^Y) + \chi_b^d \chi_b^d H_{10}^d + \chi_1 \chi_2 H_{10}^d$$

Planck-suppressed terms allowed by the symmetries:

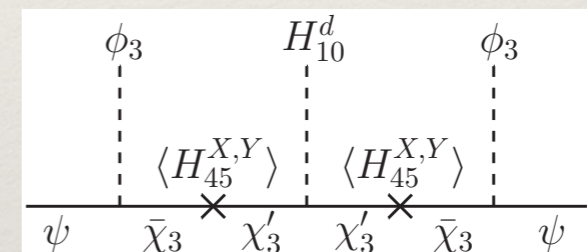
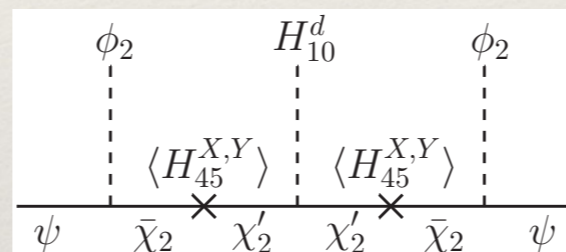
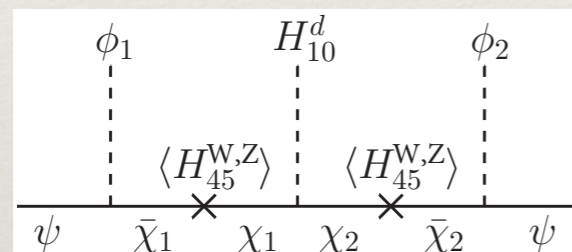
$$W_Y^{\text{Planck}} = \chi_a \chi_a \frac{H_{16} H_{16}}{M_P} + \frac{(\psi \psi)_3 \phi_3 H_{10}^d}{M_P}$$

# Diagrams

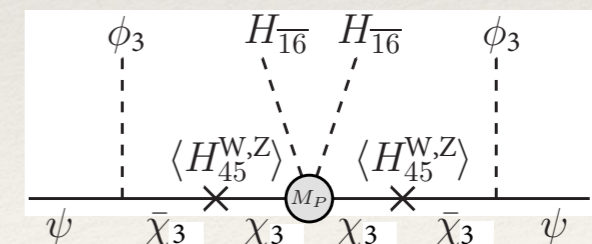
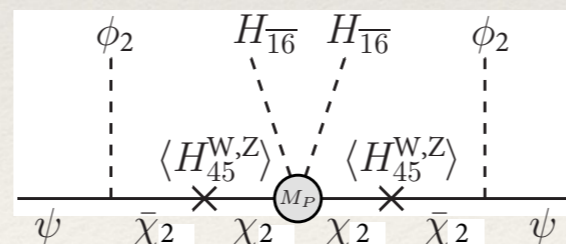
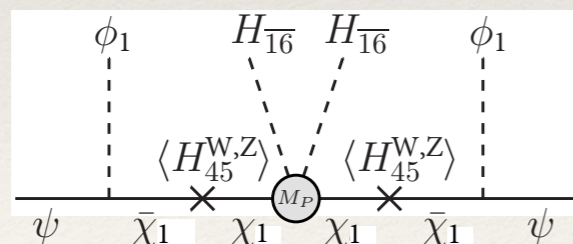
## ❖ Up-type quarks and Dirac neutrino Yukawa



## ❖ Down-type quarks and charged leptons



## ❖ Right-handed neutrinos



# Vacuum alignment

The flavon superpotential fixes the symmetry breaking flavon VEVs

$$W_\phi \sim X_{3'}(\phi_{S,U})^2 + X_2(\phi_T)^2 + X_1(\phi_t)^2 + \tilde{X}_1\phi_T\phi_t + X_{1'}\phi_T\phi_3 + \tilde{X}_2\phi_t\phi_3 \\ + Z_{3'}(\phi_{S,U}\phi_T + \xi\phi_2) + \tilde{Z}_{3'}\xi \left( \frac{\phi_2\phi_3}{M_P} - \phi_1 \right)$$

Supersymmetric F-terms equations lead to flavons alignment:

$$\langle \phi_1 \rangle = v_1 \begin{pmatrix} 1 \\ 0 \\ 2 \end{pmatrix}, \quad \langle \phi_2 \rangle = v_2 \begin{pmatrix} 0 \\ 1 \\ -1 \end{pmatrix}, \quad \langle \phi_3 \rangle = v_3 \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$$

**'CSD2 vacuum alignment'**

see also: [Antusch, King, Luhn, Spinrath '11]

[Antusch, King, Spinrath '13]

[Antusch, Hohl, Khosa, Music '18]

VEVs are driven to scales with the hierarchy:

$$v_1 \ll v_2 \ll v_3$$

$$v_3 \simeq M_{GUT}, \quad v_2 \simeq 0.1M_{GUT}, \quad v_1 \simeq 0.001M_{GUT}$$

CP spontaneously broken by the complex VEVs of the flavons.

# Yukawa matrices

**Up-type quarks** and neutrinos couple to one Higgs  $H_{10}^u$ , leading to Yukawa matrices  $Y_{ij} \sim \langle \phi_i \rangle \langle \phi_j \rangle^T$  with an universal structure:

$$Y^{u,\nu} = y_1^{u,\nu} e^{i\eta} \begin{pmatrix} 1 & 2 & 0 \\ 2 & 4 & 0 \\ 0 & 0 & 0 \end{pmatrix} + y_2^{u,\nu} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix} + y_3^{u,\nu} e^{i\eta'} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

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- ❖ Each matrix is **rank 1**
- ❖ **Natural** understanding of the hierarchical Yukawa couplings:

$$y_1^{u,\nu} \simeq v_1^2 / M_{\text{GUT}}^2 \simeq 10^{-6} \quad y_2^{u,\nu} \simeq v_2^2 / M_{\text{GUT}}^2 \simeq 10^{-2} \quad y_3^{u,\nu} \simeq v_3^2 / M_{\text{GUT}}^2 \simeq 1$$

- ❖ RH neutrino parameters are also estimated

$$M_1^R \simeq 10^7 \text{ GeV} \quad M_2^R \simeq 10^{11} \text{ GeV} \quad M_3^R \simeq 10^{13} \text{ GeV}$$

# Yukawa matrices

**Down-type quarks** and **charged leptons** couple to a second Higgs  $H_{10}^d$

with a new mixed term involving  $Y_{12} \sim \langle \phi_1 \rangle \langle \phi_2 \rangle^T$

$$Y^{d,e} = y_{12}^{d,e} e^{i\frac{\eta}{2}} \begin{pmatrix} 0 & 1 & 1 \\ 1 & 4 & 2 \\ 1 & 2 & 0 \end{pmatrix} + y_2^{d,e} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix} + y_3^{d,e} e^{i\eta'} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} + y^P e^{i\gamma} \begin{pmatrix} 0 & 0 & 1 \\ 0 & 2 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$

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- ❖ This new term enforces a **texture zero** in the (1,1) element, giving the GST relation for the Cabibbo angle, i.e.  $\vartheta_{12}^q \approx \sqrt{y_d/y_s}$
- ❖ It also leads to a **milder hierarchy** in the down and charged lepton sectors.

$$y_{12}^{d,e} \simeq \cos \beta \frac{v_1 v_2}{M_{\text{GUT}}^2} \simeq 10^{-5} \quad y_2^{d,e} \simeq \cos \beta \frac{v_2^2}{M_{\text{GUT}}^2} \simeq 10^{-2} \quad y_3^{d,e} \simeq \cos \beta \frac{v_3^2}{M_{\text{GUT}}^2} \simeq 1$$



# Seesaw Mechanism

The **light neutrino Majorana mass** matrix, after **seesaw**, will also have the CSD2 structure

$$m^\nu = \mu_1^\nu e^{i\eta} \begin{pmatrix} 1 & 2 & 0 \\ 2 & 4 & 0 \\ 0 & 0 & 0 \end{pmatrix} + \mu_2^\nu \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix} + \mu_3^\nu e^{i\eta'} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

where the parameters  $\mu_i$  are given by

$$\mu_i = v_u^2 \frac{(y_i^\nu)^2}{M_i^R}$$

Flavons yield to **normal hierarchy**.

# Numerical Fit

$\chi^2$  test function to find the best fit

$$\chi^2 = \sum_n \left( \frac{P_n(x) - P_n^{\text{obs}}}{\sigma_n} \right)^2$$

19 observables given by  $\{\theta_{ij}^q, \delta^q, y_{u,c,t}, y_{d,s,b}, \theta_{ij}^\ell, \delta^\ell, y_{e,\mu,\tau}, \Delta m_{ij}^2\}$

❖ After seesaw, **15 effective parameters**  $x = \{y_i^u, y_i^d, y_i^e, y^P, \mu_i, \eta', \gamma\}$

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❖ Run up to the GUT scale:

[Antusch, Maurer '13]

- Match the SM to the MSSM  $\rightarrow$  supersymmetric radiative threshold corrections
- At the GUT scale, the values depend only in two extra parameters  $\bar{\eta}_b$  and  $\tan \beta$

❖ Best fit found with a  $\chi^2 \simeq \mathbf{12}$  ( $\tan \beta = 20, M_{\text{SUSY}} = 1 \text{ TeV } \bar{\eta}_b = -0.9$ )

- Reduced  $\chi_\nu^2 = \chi^2/\nu \simeq 3$ , where  $\nu = n - n_i = 4$  d.o.f

# Results

## Leptons

Observable	Data		Model
	Central value	$1\sigma$ range	Best fit
$\theta_{12}^\ell / ^\circ$	33.82	32.06 $\rightarrow$ 34.58	33.75
$\theta_{13}^\ell / ^\circ$	8.610	8.480 $\rightarrow$ 8.740	8.624
$\theta_{23}^\ell / ^\circ$	49.6	48.60 $\rightarrow$ 50.60	49.51
$\delta^\ell / ^\circ$	220.0	185.0 $\rightarrow$ 255.0	194.5
$y_e / 10^{-5}$	6.023	5.987 $\rightarrow$ 6.059	6.024
$y_\mu / 10^{-2}$	1.272	1.264 $\rightarrow$ 1.280	1.272
$y_\tau$	0.222	0.219 $\rightarrow$ 0.225	0.222
$\Delta m_{21}^2 / (10^{-5} \text{ eV}^2)$	7.390	7.190 $\rightarrow$ 7.590	7.400
$\Delta m_{31}^2 / (10^{-3} \text{ eV}^2)$	2.525	2.493 $\rightarrow$ 2.557	2.524
$m_1 / \text{meV}$			8.888
$m_2 / \text{meV}$			12.37
$m_3 / \text{meV}$			51.02
$\sum m_i / \text{meV}$	< 230		72.28
$\alpha_{21} / ^\circ$			2.161
$\alpha_{31} / ^\circ$			227.5
$m_{\beta\beta} / \text{meV}$	< 61-165		9.561

Fitted within  $1\sigma$

## Quarks

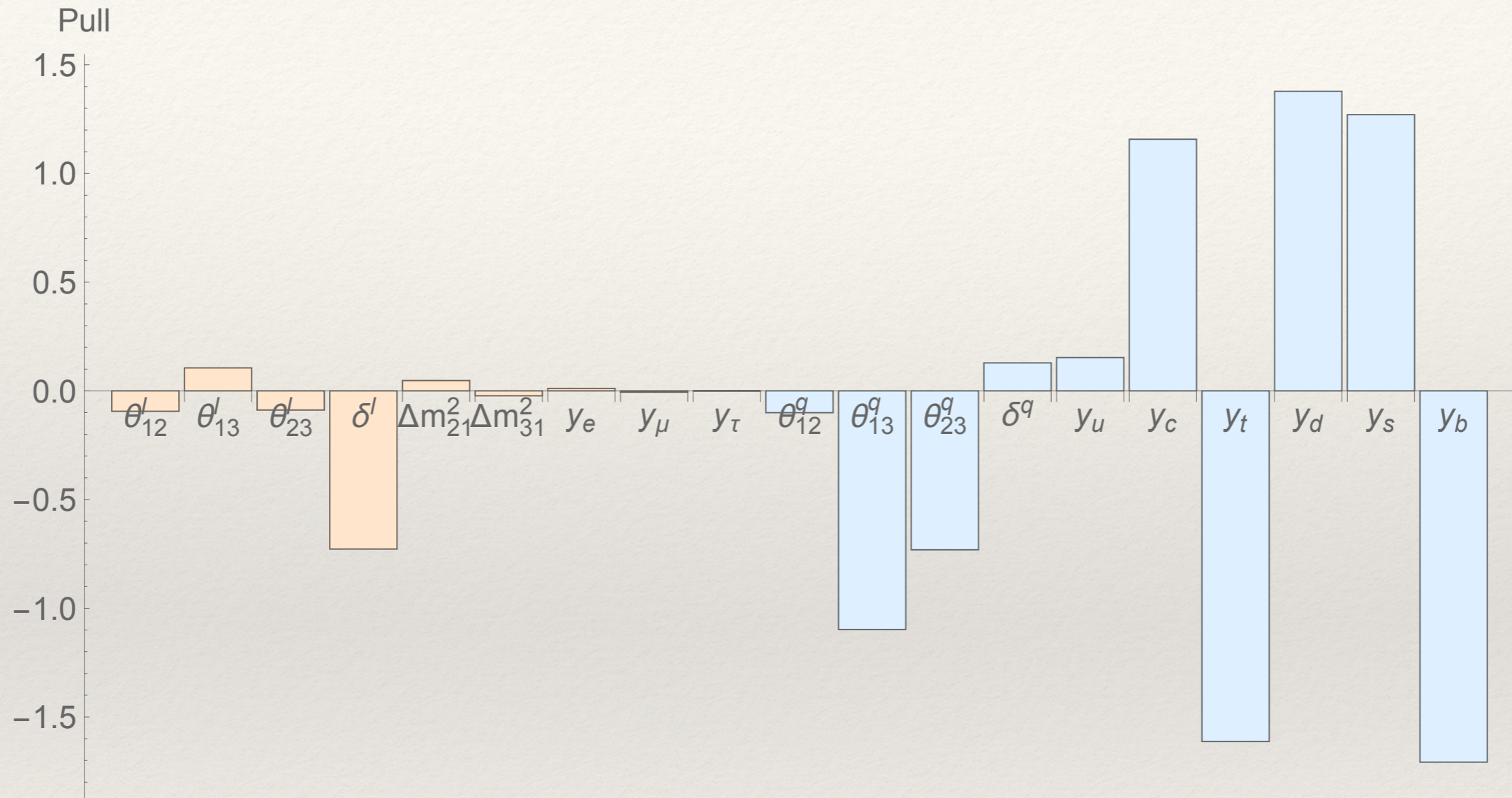
Observable	Data		Model
	Central value	$1\sigma$ range	Best fit
$\theta_{12}^q / ^\circ$	13.03	12.99 $\rightarrow$ 13.07	13.02
$\theta_{13}^q / ^\circ$	0.016	0.016 $\rightarrow$ 0.017	0.016
$\theta_{23}^q / ^\circ$	0.189	0.186 $\rightarrow$ 0.192	0.186
$\delta^q / ^\circ$	69.22	66.12 $\rightarrow$ 72.31	69.61
$y_u / 10^{-6}$	3.060	2.111 $\rightarrow$ 4.009	3.205
$y_c / 10^{-3}$	1.497	1.444 $\rightarrow$ 1.549	1.558
$y_t$	0.666	0.637 $\rightarrow$ 0.694	0.620
$y_d / 10^{-4}$	1.473	1.311 $\rightarrow$ 1.635	1.696
$y_s / 10^{-3}$	2.918	2.760 $\rightarrow$ 3.075	3.118
$y_b$	2.363	2.268 $\rightarrow$ 2.457	2.201

Fitted within  $2\sigma$

Most contribution to the total  $\chi^2$  comes from the quark sector

[Updated compared to the published version, to use nu-fit v4]

# Results



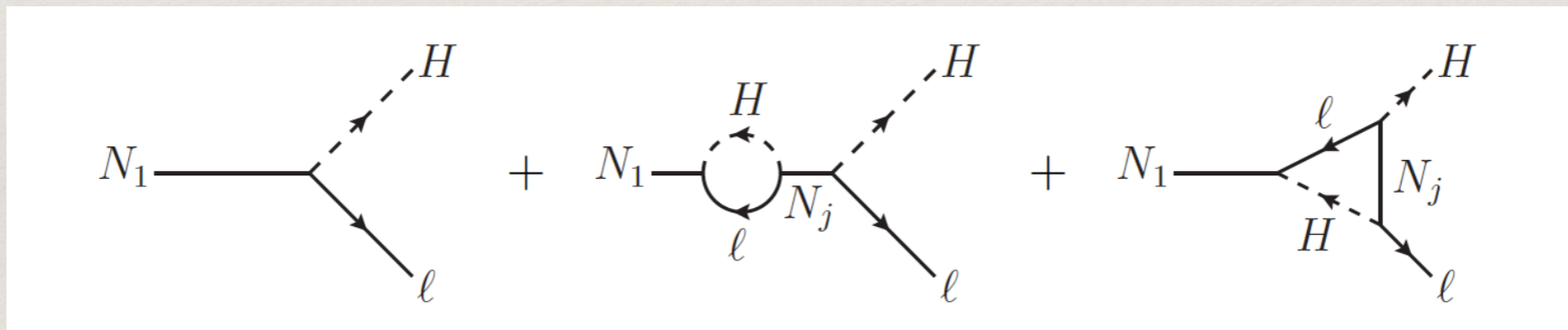
$$\text{Pull} = \left( \frac{P_n(x) - P_n^{\text{obs}}}{\sigma_n} \right)$$

# Leptogenesis

- ❖ Baryon Asymmetry of the Universe (BAU)

$$\eta_B \equiv \frac{n_B - n_{\bar{B}}}{n_\gamma} = (6.1 \pm 0.1) \times 10^{-10}$$

can be generated through **CP breaking decays** of heavy RHNs into leptons, then converted into baryons through sphalerons [Fukugita, Yanagida '86]



- ❖ The RHN mass has to be of order  $10^{10}$  GeV
- ❖ In our model, the expected natural value  $M_2^R \sim 10^{11}$  GeV  $\rightarrow$  “**N<sub>2</sub> leptogenesis**”

# Leptogenesis

- ❖ Solving the Boltzmann equation, the final  $B-L$  asymmetry is

$$\begin{aligned}
 N_{B-L}^f \simeq & \left[ \frac{K_{2e}}{K_{2\tau_2^\perp}} \varepsilon_{2\tau_2^\perp} \kappa(K_{2\tau_2^\perp}) + \left( \varepsilon_{2e} - \frac{K_{2e}}{K_{2\tau_2^\perp}} \varepsilon_{2\tau_2^\perp} \right) \kappa(K_{2\tau_2^\perp}/2) \right] e^{-\frac{3\pi}{8} K_{1e}} + \\
 & + \left[ \frac{K_{2\mu}}{K_{2\tau_2^\perp}} \varepsilon_{2\tau_2^\perp} \kappa(K_{2\tau_2^\perp}) + \left( \varepsilon_{2\mu} - \frac{K_{2\mu}}{K_{2\tau_2^\perp}} \varepsilon_{2\tau_2^\perp} \right) \kappa(K_{2\tau_2^\perp}/2) \right] e^{-\frac{3\pi}{8} K_{1\mu}} + \\
 & + \varepsilon_{2\tau} \kappa(K_{2\tau}) e^{-\frac{3\pi}{8} K_{1\tau}}
 \end{aligned}$$

[Di Bari, Re Fiorentin '15]

$\varepsilon \rightarrow$  CP asymmetries     $\kappa(K_{2\alpha}) \rightarrow$  wash-out     $K_{i\alpha} \propto$  seesaw parameters

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 & + \left[ \frac{K_{2\mu}}{K_{2\tau_2^\perp}} \varepsilon_{2\tau_2^\perp} \kappa(K_{2\tau_2^\perp}) + \left( \varepsilon_{2\mu} - \frac{K_{2\mu}}{K_{2\tau_2^\perp}} \varepsilon_{2\tau_2^\perp} \right) \kappa(K_{2\tau_2^\perp}/2) \right] e^{-\frac{3\pi}{8} K_{1\mu}} + \\
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[Di Bari, Re Fiorentin '15]

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- ❖ Finally, the baryon asymmetry is

$$\eta_B \simeq \sin \eta' \frac{3}{8\pi} \frac{\alpha_{sph}}{N_\gamma^{rec}} \kappa \left( \frac{\mu_2}{m_\star^{MSSM}} \right) \frac{\mu_3 M_2}{v^2}$$



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$$\begin{aligned}
 N_{B-L}^f \simeq & \left[ \frac{K_{2e}}{K_{2\tau_2^\perp}} \varepsilon_{2\tau_2^\perp} \kappa(K_{2\tau_2^\perp}) + \left( \varepsilon_{2e} - \frac{K_{2e}}{K_{2\tau_2^\perp}} \varepsilon_{2\tau_2^\perp} \right) \kappa(K_{2\tau_2^\perp}/2) \right] e^{-\frac{3\pi}{8} K_{1e}} + \\
 & + \left[ \frac{K_{2\mu}}{K_{2\tau_2^\perp}} \varepsilon_{2\tau_2^\perp} \kappa(K_{2\tau_2^\perp}) + \left( \varepsilon_{2\mu} - \frac{K_{2\mu}}{K_{2\tau_2^\perp}} \varepsilon_{2\tau_2^\perp} \right) \kappa(K_{2\tau_2^\perp}/2) \right] e^{-\frac{3\pi}{8} K_{1\mu}} + \\
 & + \varepsilon_{2\tau} \kappa(K_{2\tau}) e^{-\frac{3\pi}{8} K_{1\tau}}
 \end{aligned}$$

[Di Bari, Re Fiorentin '15]

$\varepsilon \rightarrow$  CP asymmetries     $\kappa(K_{2\alpha}) \rightarrow$  wash-out     $K_{i\alpha} \propto$  seesaw parameters

- ❖ Finally, the baryon asymmetry is

$$\eta_B \simeq \sin \eta' \frac{3}{8\pi} \frac{\alpha_{sph}}{N_\gamma^{rec}} \kappa \left( \frac{\mu_2}{m_\star^{MSSM}} \right) \frac{\mu_3 M_2}{v^2}$$

$\alpha_{sph} = 8/23$ : fraction  $B-L$  asymmetry converted into baryon asymmetry

$N_\gamma^{rec} \simeq 78$ : photon asymmetry at recombination

$m_\star^{MSSM} \simeq 0.78 \times 10^{-3} \text{eV} \sin^2 \beta$ : equilibrium neutrino mass

# Leptogenesis

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from the fit!

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only free parameter

Correct BAU when  $M_2 \simeq 1.9 \times 10^{11}$  GeV

natural value for the second RHN mass:  
 the model naturally explains the origin  
 of the BAU through  **$N_2$  leptogenesis**  
 without any need of tuning!

# Conclusions

Matter fields unified into a single representation  $(3', 16)$  of  $S_4 \times SO(10)$

- ❖ **Minimal** field content and low-dimensional representations
- ❖ **Matter hierarchies** explained by the flavon VEVs when setting all the GUT scale parameters to be  $\sim \mathcal{O}(1)$
- ❖ **Predictions:** neutrino mass masses, normal neutrino mass ordering, CP oscillation phase  $\delta^l \sim 200^\circ$

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Not shown here but:

- ❖ Splits Higgs doublets and triplets via Dimopoulos-Wilczek mechanism.
- ❖ Generates a  $\mu$  term of  $\mathcal{O}(\text{TeV})$ ,  $W \in \mu h_u h_d$
- ❖ Proton decay satisfies experimental constraints.

# Conclusions

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Future work:

- ❖ Model with extra dimensions: vacuum alignments from boundary conditions?
- ❖ Flavour symmetry as modular symmetry?

**Thank you!**

Back up slides

# GUT problems?

- ❖ GUT symmetry breaking: gives rise to hierarchical VEVs 
- ❖ Flavour symmetry breaking: gives rise to CSD2 vacuum alignment 
- ❖ Har to obtain the correct masses: fit! 
- ❖ Doublet-triplet splitting 
- ❖  $\mu$  problem  $W \in \mu h_u h_d$  
- ❖ Proton decay 
- ❖ SUSY breaking: not discussed 



# Doublet-triplet splitting

$$H_{10}^{u,d}, \quad H_{16,\bar{16}} : \quad \mathbf{10} \rightarrow \mathbf{2} + \mathbf{2} + \mathbf{3} + \bar{\mathbf{3}}$$

- ❖ Two of the doublets remain light: MSSM Higgs doublets.
- ❖ Extra pairs of heavy doublets: preserve gauge coupling unification.
- ❖ Heavy colour triplets: mediate proton decay.
- ❖  $\mu \sim 10^2 - 10^3$  GeV: to ensure Higgs VEV of order 174 GeV

## Dimopoulos-Wilczek(DW) mechanism

$$\begin{aligned} \mathcal{W}_H = & H_{45}^{B-L} (H_{10}^u H_{10}^d + \zeta_2 \zeta_2 + H_{\bar{16}} \chi_u + H_{16} \bar{\chi}_d) \\ & + H_{\bar{16}} H_{10}^u \bar{\chi}_u + H_{16} H_{10}^d \chi_d + H_{16} H_{\bar{16}} \zeta_1 + \zeta (\zeta_1 \zeta_2 + \bar{\chi}_u \chi_u + \bar{\chi}_d \chi_d) \\ & + H_{45}^{B-L} \left( \frac{H_{\bar{16}} H_{\bar{16}} H_{10}^d}{M_P} + \frac{H_{16} H_{16} H_{10}^u}{M_P} + H_{10}^u H_{10}^d \frac{(H_{45}^{X,Y,W,Z})^4}{M_P^4} \right) \end{aligned}$$

# Doublet-triplet splitting

After the fields get the VEVs, the mass matrices and eigenvalues are

$$M_T \sim \begin{array}{c} \mathbf{3}_u(H_{10}^u) \\ \mathbf{3}_d(H_{10}^d) \\ \mathbf{3}_d(H_{16}) \end{array} \begin{array}{ccc} \mathbf{3}_u(H_{10}^u) & \mathbf{3}_u(H_{10}^d) & \mathbf{3}_u(H_{\overline{16}}) \\ \left( \begin{array}{ccc} \kappa_1 & 0 & \kappa_4 y \\ 0 & -\kappa_1 & \kappa_3 z \\ \kappa_5 y & \kappa_6 z & \kappa_2 z^2 \end{array} \right) & M_{GUT}, & m_T \sim \begin{pmatrix} \kappa_1 \\ \kappa_1 \\ \kappa_2 z^2 \end{pmatrix} M_{GUT} \end{array}$$

$$M_D \sim \begin{array}{c} \mathbf{2}_d(H_{10}^d) \\ \mathbf{2}_d(H_{10}^u) \\ \mathbf{2}_d(H_{16}) \end{array} \begin{array}{ccc} \mathbf{2}_u(H_{10}^u) & \mathbf{2}_u(H_{10}^d) & \mathbf{2}_u(H_{\overline{16}}) \\ \left( \begin{array}{ccc} -\kappa_7 y^4 & 0 & \kappa_4 y \\ 0 & \kappa_7 y^4 & \kappa_3 z \\ \kappa_5 y & \kappa_6 z & \kappa_2 z^2 \end{array} \right) & M_{GUT}, & m_D \sim \begin{pmatrix} -y^4 \\ \kappa_6 \kappa_3 z^2 \\ \kappa_2 z^2 \end{pmatrix} M_{GUT} \end{array}$$

it requires  $\kappa_1 \sim \kappa_2 z^2 \sim 1$  to get the triplets at the GUT scale and  $\kappa_6 \kappa_3 z^2 \sim \kappa_2 z^2 \sim 1$  to get two doublet pairs at the GUT scale.

Furthermore, there is a  $\mu$  term generated by  $\mu \sim y^4 M_{GUT} \sim 1 \text{ TeV}$  which happens at the correct order.

# Proton decay

- ❖ The proton lifetime is constrained to be  $\tau_p > 10^{34}$  years.
- ❖ Proton decay mediated by extra gauge bosons of the GUT and by triplets accompanying the Higgs doublets.
- ❖ Constraints barely met when the triplets have a GUT scale mass.
- ❖ Additional fields may allow proton decay from effective terms

$$gQQQL \frac{\langle X \rangle}{M_P^2}$$

Such terms must obey the constraint  $g \langle X \rangle < 3 \times 10^9$  GeV.

- ❖ In our model, the largest contribution of this type comes from the term

$$\psi\psi\psi\psi \frac{\langle H_{45}^{B-L} (H_{45}^{X,Y})^2 \rangle}{M_P^4} \Rightarrow \langle X \rangle = \frac{(M_{\text{GUT}})^3}{M_P^2} \sim 10^{10} \text{ GeV}.$$

Constraints met when  $g < 0.3$

Proton decay complies with experimental constraints but lies fairly close to detection!

# Difference between Yukawa couplings

$$y_2^e = \lambda_2^d \frac{(\lambda_2^\phi)^2 |v_2|^2}{[\lambda_2^X \langle H_{45}^X \rangle + \lambda_2^Y \langle H_{45}^Y \rangle]_L [\lambda_2^X \langle H_{45}^X \rangle + \lambda_2^Y \langle H_{45}^Y \rangle]_{e^c}}$$

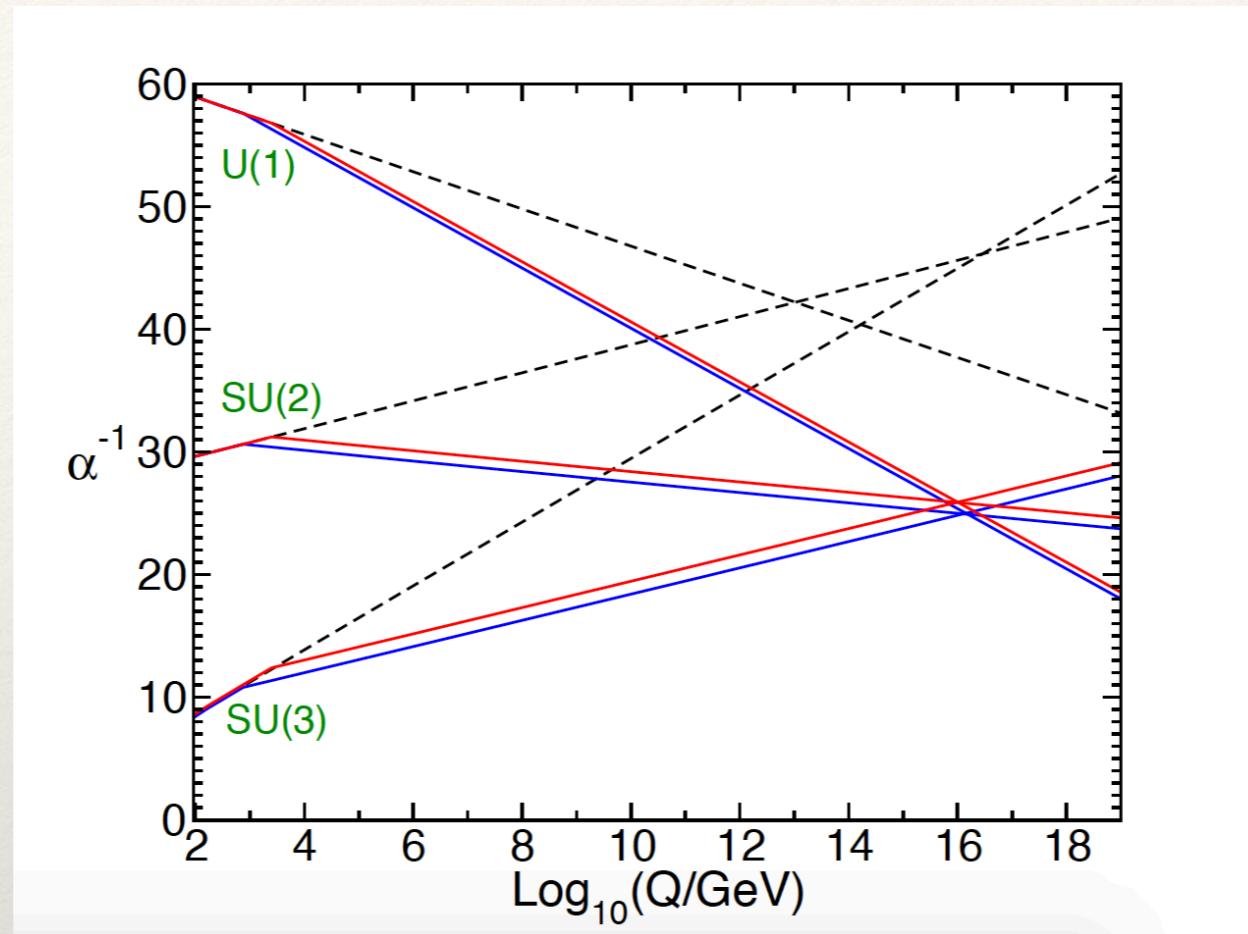
$$y_2^d = \lambda_2^d \frac{(\lambda_2^\phi)^2 |v_2|^2}{[\lambda_2^X \langle H_{45}^X \rangle + \lambda_2^Y \langle H_{45}^Y \rangle]_Q [\lambda_2^X \langle H_{45}^X \rangle + \lambda_2^Y \langle H_{45}^Y \rangle]_{d^c}}$$

- ❖ The  $\langle H_{45}^{X,Y} \rangle$  obtain a VEV in an arbitrary SO(10) breaking direction. They need to be different from one another.
- ❖ Let us assume that  $\langle H_{45}^{X,Y} \rangle$  is aligned in the  $U(1)_{X,Y}$  direction respectively with an  $M_{GUT}$  magnitude. In this case the effective Yukawa couplings would be

$$y_2^e = \lambda_2^d \frac{(\lambda_2^\phi)^2 |v_2|^2}{[3\lambda_2^X - \lambda_2^Y / 2][-\lambda_2^X + \lambda_2^Y] M_{GUT}^2} \quad y_2^d = \lambda_2^d \frac{(\lambda_2^\phi)^2 |v_2|^2}{[-\lambda_2^X + \lambda_2^Y / 6][3\lambda_2^X + \lambda_2^Y / 3] M_{GUT}^2}$$

where the coefficients multiplying each  $\lambda^{X,Y}$  are the  $U(1)_{X,Y}$  charges of the corresponding SM field.

# Gauge coupling unification in the MSSM



[A supersymmetry Primer, Martin]

Two-loop renormalization group evolution of the inverse gauge couplings  $\alpha_a^{-1}(Q)$  in the SM (dashed lines) and the MSSM (solid lines).