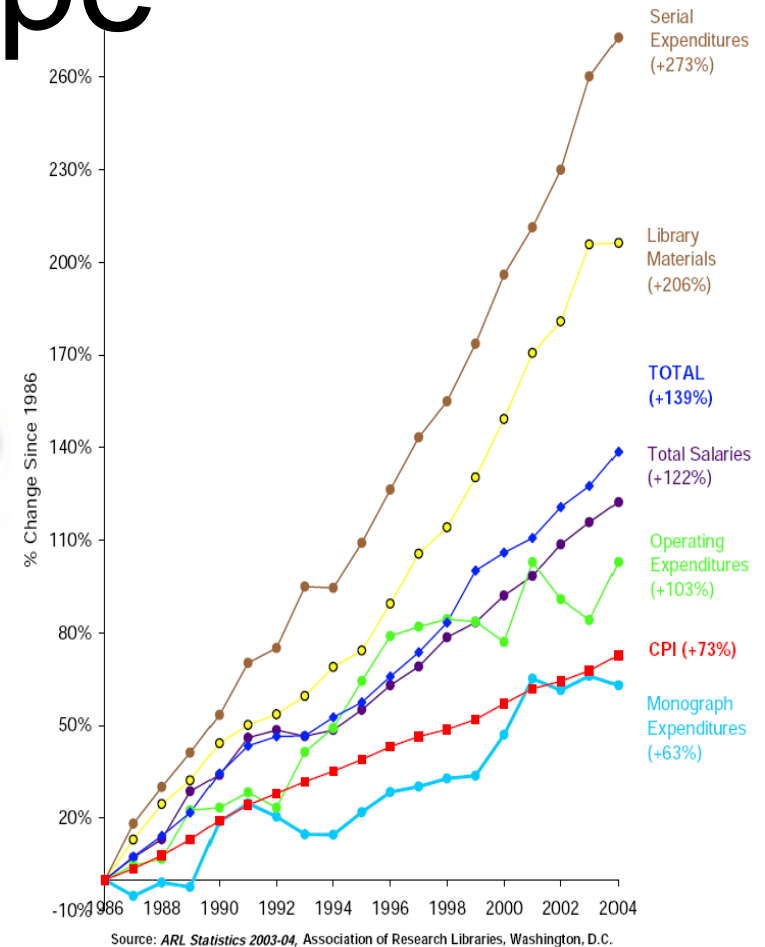
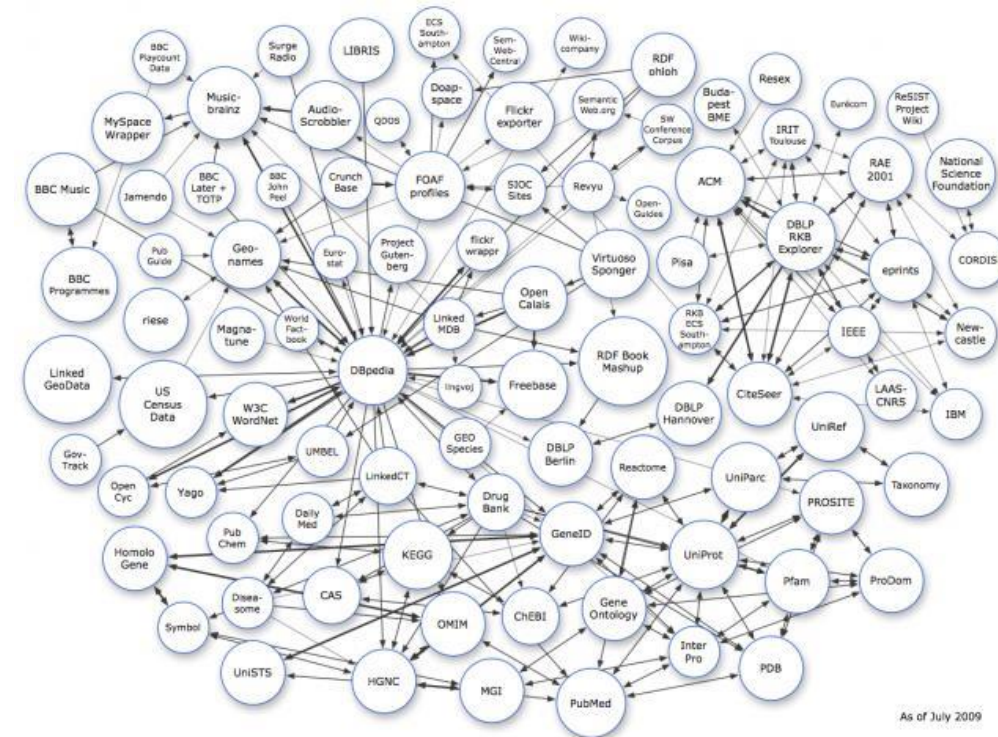


# The open access landscape



Jens Vigen, Nairobi, Kenya, 9<sup>th</sup> October 2018

## Cross section between techn. & phil.

If you have an **apple** &  
I have an **apple** & we exchange **apples**  
then you & I will still  
each have one **apple**.



But if you have an **idea** &  
I have an **idea** & we exchange these **ideas**  
then each of us will have **2** ideas.

*~ George Bernard Shaw*



# “Full” Open Access an opportunity for the crowds



- The print era had its natural limitations
- There is no reason to carry any of these limitations forward to the online era
- Today any scientist should have the possibility to read, textmine, remix material and publish without being confronted with any financial or legal barriers



# ... do authors submit?

Author efforts

A quick reality check on the annual production:

1. ~300 theoretical papers, we capture 0% (!!)
2. ~500 theses, we capture 10% (world average ...)
3. ~300 experimental papers, we capture 95%

Library efforts

How can this be compensated for?

1. Import from arXiv ensures 100% coverage for theory
2. Individually e-mailing authors retrospectively, brings the coverage up to 30%, even for theses dating 10 years back
3. Check for CERN authors in publishers feeds, contact the research group or import publishers' version when permitted

# Gold and green hand in hand

## Library efforts

1. Targeted action: 13'000 theoretical articles over 57 years
  - Old copies of manuscripts retrieved and scanned from the CERN Archive and private archives of the authors
2. Hunt for theses
3. Requesting authors to publish in OA journals : goal 100%
  1. Mechanisms for publishing OA
    1. SCOAP<sup>3</sup> for physics content
    2. Special deals with JINST, NIMA and IEEE for instrumentation content
    3. PRAB for accelerator related content
    4. CERN supports authors centrally, for occasional articles, with the payment of publication fees (APC)
4. Encouraging conference organizers to use OA outlet for proceedings
5. Sponsoring a few OA monographs per year

# CERN IR 3/4 full; lessons learned

## Mandating and advocacy have limits:

- “Top-scientists” tend to ignore both “mandating” and “mandated” librarians – Plan S might change this
- Authors need to see an immediate return from their time investment
- Authors get this return (visibility, standing) by submitting to subject repositories, *i.e.* arXiv
- Not all HEP-sub communities submit to arXiv, and we lose the content ...
- We observe a different situation for thesis: authors perceive that the IR offers a good preservation, and they are glad to submit theses once asked

# Aiming for 100% OA coverage

- Institutional and subject repositories goes hand in hand. Ensure interoperability and co-operate to develop the services required by all the partners
- Capture non-submitted papers by:
  - Monitoring publisher feeds
    - In order to be discovered publishers have a strong interest to feed subject repositories
  - Working with OA friendly publishers
    - Allowing storage of version of record on institutional web sites



- Adds value to arXiv hep-\*
- Index non-arXiv material
- Compiles historical material
- A gateway to scientific data

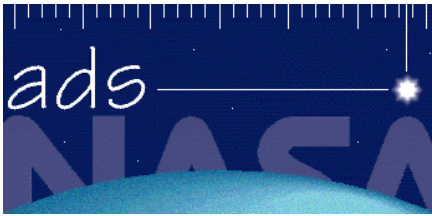
<http://inspirehep.net>



# NASA Astrophysics Data System (ADS)

- ADS now indexes 12.2M metadata records (11.4M linked)
- Its citations database contains 70M records
- ADS indexing full-text of all the major Astronomy journals and physics content from arXiv, Elsevier, Springer, EDP Sciences, APS, AGU, AIP, SPIE. Hopefully soon: IOP, OSA, OUP, CUP, Nature, Science, PNAS
- Currently over 4M records in fulltext archive, looking to grow to cover all peer-reviewed content currently in ADS
- Added metadata for 1,100 volumes of historical literature funded by Smithsonian grant





<http://adswww.harvard.edu/>

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International Journal of Remote Sensing

Volume 32, Issue 19, 2011




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DOI: [10.1080/01431161.2010.502156](https://doi.org/10.1080/01431161.2010.502156)

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Information access

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Northern journals dominate global research, leading to an underrepresentation of knowledge from the South. Championing Southern journals is essential for redressing imbalance in the dissemination of global research.

Everyone has an equal right to access the world's collective knowledge, irrespective of geography, wealth, race, ethnicity or gender

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Capacity development  
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In order to develop local solutions, people need access to evidence, facts and data. Access to research information and knowledge is essential for any strong research and knowledge system.

We support institutions and their librarians to provide sustainable access to the information that their academics and students need.





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## A selection of relevant resources for physicists:

- **American Astronomical Society**

The Society publishes three scholarly journals that are available through INASP: The Astronomical Journal, The Astrophysical Journal (including The Astrophysical Journal Letters) and The Astrophysical Journal Supplement Series.

- **American Institute of Physics**

AIP publishes 12 journals, 2 magazines, and a conference proceedings series. AIP's Scitation platform hosts over 2 million articles from more than 100 publishers for 28 earned society publishers.

- **American Physical Society**

Access to scientific books and journals has been provided in up to 67 countries.

- **Annals of the New York Academy of Sciences**  
Annals of the New York Academy of Sciences is a leading journal in the field of physics and related sciences. It publishes research literature in physics and related sciences, and is one of the most prestigious journals in the field. According to the ISI® Journal Citation Reports, it is one of the most cited journals in the field of physics and related sciences.
- **Over 50 publishers, covering 50,000 journals and 20,000 books, feature in INASP's offer.**
- **With support from INASP, over 1700 universities, research institutes, government agencies and hospitals across Africa, Asia and Latin America have been able to provide a steady flow of essential information to their staff and students.**
- **Partners saved an estimated \$98m (£79m) each year**

- **IOP Publishing**

IOP publishes over 60 of the world's most prestigious journals in physics and related sciences.



## Consortium contacts

For more information about the research literature available in your country, or for access support, contact your local library consortium

### Africa

---

- Ethiopia: Consortium of Ethiopian Academic and Research Libraries
- Ghana: Consortium of Academic and Research Libraries, Ghana (CARLIGH)
- Kenya: Kenya Libraries and Information Services Consortium (KLISC)
- Lesotho: Lesotho Library Consortium (LELICO)
- Malawi: Malawi Library and Information Consortium (MALICO)
- Mozambique: Universidade Eduardo Mondlane
- Rwanda: Rwanda Academic and Research Libraries Consortium (RARLICO)
- Sierra Leone: University of Sierra Leone
- Tanzania: Consortium of Tanzania University and Research Libraries (COTUL)
- Uganda: Consortium of Uganda University Libraries (CUUL)
- Zambia: Zambia Library Consortium (ZALICO)
- Zimbabwe: Zimbabwe University Libraries Consortium (ZULC)

<https://dashboard.inasp.info/page/consortium-contacts>

# The HINARI Programme

- HINARI Access to Research Initiative.
- Set up by WHO together with major publishers.
- Enables developing countries to gain access to one of the world's largest collections of biomedical and health literature.
- Up to 14 900 journal titles and 60 000 eBooks are now available to health institutions in 115 countries, areas and territories.
- Benefit many thousands of health workers and researchers.
- Contributes to improve world health.

# Access to Research for Development and Innovation

## ARDI

ARDI was launched in 2009 by the World Intellectual Property Organization, in cooperation with major publishers, to assist developing countries in bridging the knowledge gap.

Currently, 100 publishers provide access to around 30,000 journals, books, and reference works for 120 developing countries and territories through ARDI.

https://www.ncbi.nlm.nih.gov/pmc/

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PubMed Central® (PMC) is a free full-text archive of biomedical and life sciences journal literature at the U.S. National Institutes of Health's National Library of Medicine (NIH/NLM).

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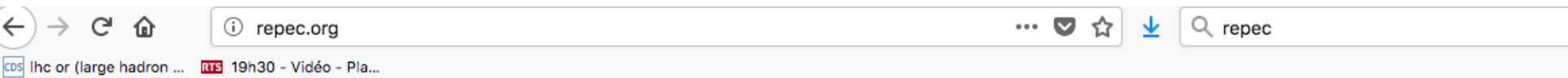


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# Economics



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## General principles

RePEc (Research Papers in Economics) is a collaborative effort of hundreds of volunteers in [99 countries](#) to enhance the dissemination of research in Economics and related sciences. The heart of the project is a decentralized bibliographic database of working papers, journal articles, books, books chapters and software components, all maintained by volunteers. The collected data are then used in various services that serve the collected metadata to users or enhance it.

So far, over 2,000 archives from [99 countries](#) have contributed about 2.6 million research pieces from 3,000 journals and 4,600 working paper series. Over 50,000 authors have registered and 75,000 email subscriptions are served every week. See below on how you can be part of this initiative.

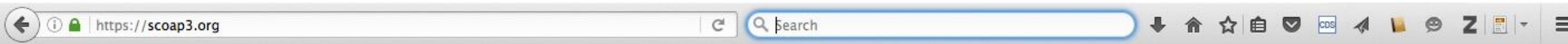
## RePEc services

The following are services that use ([principle](#)) and contribute RePEc data. They also report usage statistics that can be used towards the RePEc [rankings](#).

	<a href="#">Munich Personal RePEc Archive</a>	Authors in institutions lacking a participating RePEc archive can submit their papers to MPRA and get them included in the RePEc database.
	<a href="#">RePEc Author Service</a>	Author registration and maintenance of a profile on RePEc.
	<a href="#">IDEAS</a>	The complete RePEc database at your disposal. Browse or search it all.
	<a href="#">EconPapers</a>	Economics at your fingertips. EconPapers provides access to all of RePEc. Browsing and searching available.
	<a href="#">RePEc Genealogy</a>	Academic family tree for economics.

<http://repec.org>

# <https://scoap3.org/>



SCOAP<sup>3</sup> – Sponsoring Consortium for Open Access Publishing in Particle Physics

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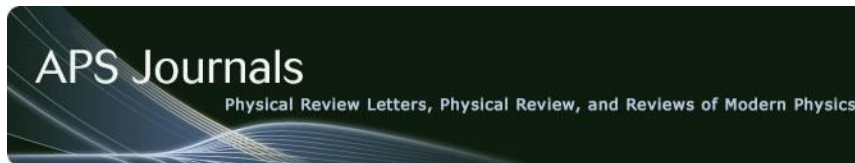
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SCOAP<sup>3</sup> has started its operation in January 2014 and is supporting 4,500 Open Access articles per year.

## What is Open Access?

# Publishers and OA



# Free access to everyone?

Some journals are called **hybrid journals**: they publish both open access and not open access articles.

Author or the related institution may have to pay for an article to be open access. This is called the **author pays model**.

Depending on the journal, the articles may be freely accessible right away or they may become open access later. after a certain period e.g. one year. This is called **delayed open access**.

# Unrestricted use?

Even Open Access, everything is not allowed!

You may access the information or material for free, but there may be restrictions and limitations how you can use that information or material and what you can do with it.

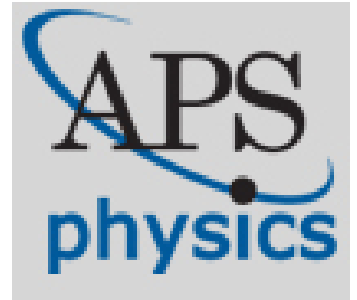
OA literature is free of charge, and usually free of most copyright and licensing restrictions. This is made possible by the consent of the author or copyright-holder.

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# Free access to everyone?

Some journals seek for sponsors to make articles open access.



For example, ~~The APS FREE TO READ Initiative:~~

~~-Since September 2006, by paying a one-time fee, anyone may make articles published in their seven journals **available to all readers at no cost and without a subscription**~~

~~•Readers will have access to the PDF and postscript versions of the~~

### **American Physical Society phases out Free-to-Read program in lieu of Creative Commons licensing**

Published on February 16, 2011 at 6:50 AM

As of 15 February 2011, authors in most Physical Review journals have a new alternative: to pay an article-processing charge whereby their accepted manuscripts will be available barrier-free and open access on publication. These manuscripts will be published under the terms of the Creative Commons Attribution 3.0 license (CC-BY) (<http://creativecommons.org/licenses/by/3.0/>), the most permissive of the CC licenses, granting authors and others the right to copy, distribute, transmit, and adapt the work, provided that proper credit is given. This new alternative is in addition to traditional subscription-funded publication; authors may choose one or the other for their accepted papers.

## Hindawi

- A rapidly growing academic publisher in Egypt.
- 400+ Open Access journals, 22 000 articles/year
- All major areas of science, technology, and medicine
- Book publishing program that spans all scholarly disciplines.



Hindawi

# What is Open Access? Publishers and OA



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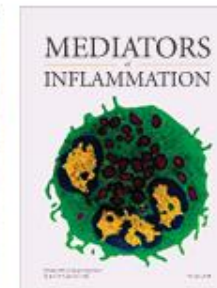


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Hindawi Publishing Corporation is one of the world's largest publishers of peer-reviewed, fully Open Access journals. Built on an ethos of openness, Hindawi is committed to enabling the widest possible access to scholarly literature.



# Gauging journals

Most used, despite several limitations:  
the Journal Impact Factor (Garfield, 1955) provided by Thomson Reuters.

In a given year, the impact factor of a journal is the average number of citations received per paper published in that journal during the two preceding years.

The 2018 impact factor of a journal would be calculated as follows:

A = the number of times that articles published in that journal in 2016 and 2017, were cited by articles in indexed journals during 2018.

B = the total number of "citable items" published by that journal in 2016 and 2017.

("Citable items" are usually articles, reviews, proceedings, or notes; not editorials or letters to the editor.)

2018 impact factor =  $A/B$ .



# Two “families of journals”

- Subscription
  - Often well established
  - Free to publish
  - Limited access
  - Prevents text mining
  - Strict copyright
- Open Access (OA)
  - Many new titles
  - Often “author pays”
  - Unlimited access
  - Promotes eScience
  - Liberal copyright

not necessarily “either-or”:

- Many subscription journals tolerate “green OA”
  - <http://www.sherpa.ac.uk/projects/sherparomeo.html>
  - Some are hybrid, both subscription and OA
- Some “gold journals” do not require author fees

<http://www.doaj.org/>



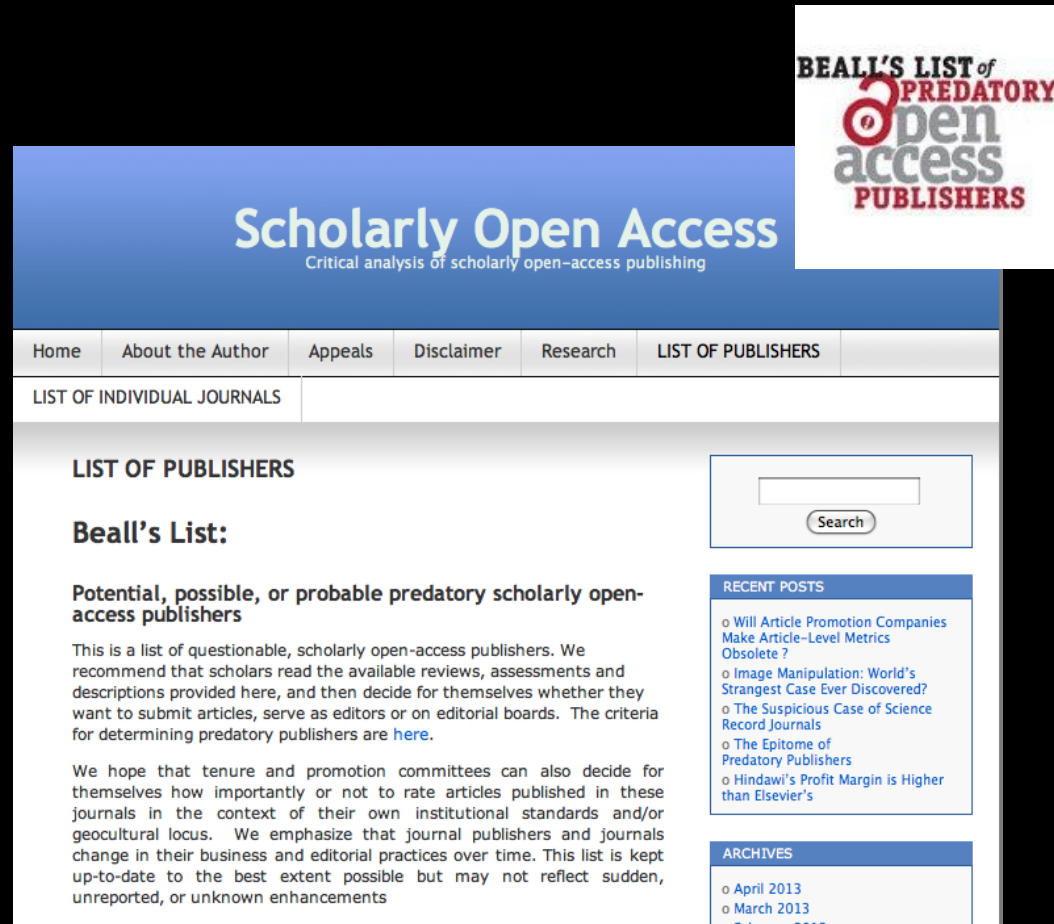
# Be aware of over-kind solicitations

...

The former Beall's list is by no means “the absolute truth” – take it as a guideline.

In the end of the day you have to rely on your own judgment

(or seek advice from your librarian 😊)



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Nobody cared about retaining copyright .

... which is obviously causing issues today

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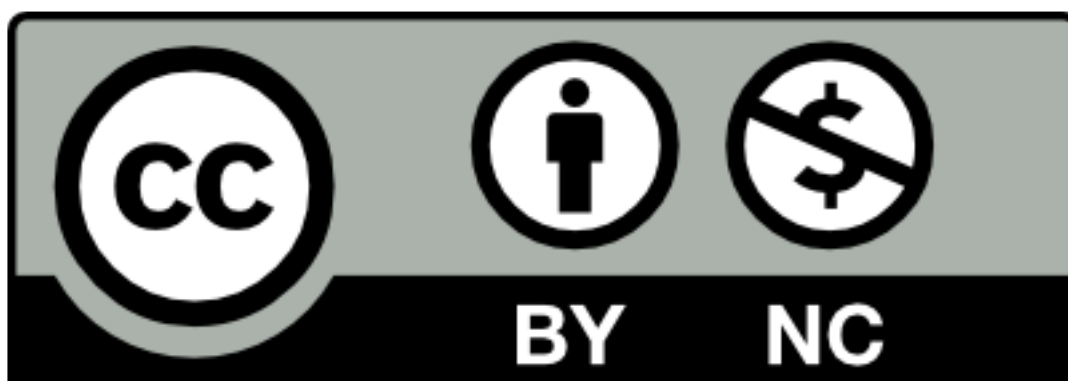
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"Although it is usual practice for major public databases to make data freely available to access and use, any restrictions on use should be strongly resisted and we endorse explicit encouragement of open sharing, for example under the newly available CC0 public domain waiver of Creative Commons."

## CC0 use cases

### BioMed Central

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BioMed Central (BMC) is one of the largest open access (OA) publishers in the world with 250 peer-reviewed OA journals, and more than 100,000 OA articles published yearly. BMC is also long-time user of CC licenses to accomplish its mission of husbanding and promoting open science. BMC has been publishing articles under a CC license since 2004. Starting September 3, 2013, in keeping with its forward-looking mission, BMC [started requiring](#) a CC0 Public Domain Dedication for data supporting the published articles.

### CERN Library

{{#show: Case\_Studies/CERN|?Image Header|link=none}}

CERN, the European Organization for Nuclear Research that is home to the Large Hadron Collider and birthplace of the web, released its book catalog into the public domain using the CC0 public domain dedication.



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# Sharing knowledge through Wikipedia



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## Wikipedia:Who writes Wikipedia?

From Wikipedia, the free encyclopedia



This is a **Wikipedia information page**, describing the editing community's **consensus** on some aspect or aspects of Wikipedia's norms and practices. It is not one of **Wikipedia's policies or guidelines**; where something is inconsistent with this essay, please defer to those.

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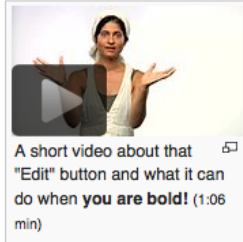
**This page in a nutshell:** Everyone including you can make improvements to the encyclopedia.

**You do!** Yes, anyone can **be bold** and edit an existing article or create a new one, and volunteers do not need to have any formal training. The **people who create and edit articles in Wikipedia** come from countries all around the world, and have a wide range of ages and backgrounds. Any contributor to this encyclopedia, **unregistered** and **registered** alike, is called a "**Wikipedian**", or, more formally, an "editor".

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Many users of Wikipedia consult the **page history** of an article in order to assess the number, and the perspective, of people who contributed to the article. You may also consult the **talk page** of any article to see what other readers and editors have to say about it.

Wikipedia's best articles are highlighted in the **list of featured articles**. These articles were granted "featured" status because they were **judged to be of high quality** by other editors. (If later edits reduce the quality of a featured article, a user can nominate an article for removal from the list.)



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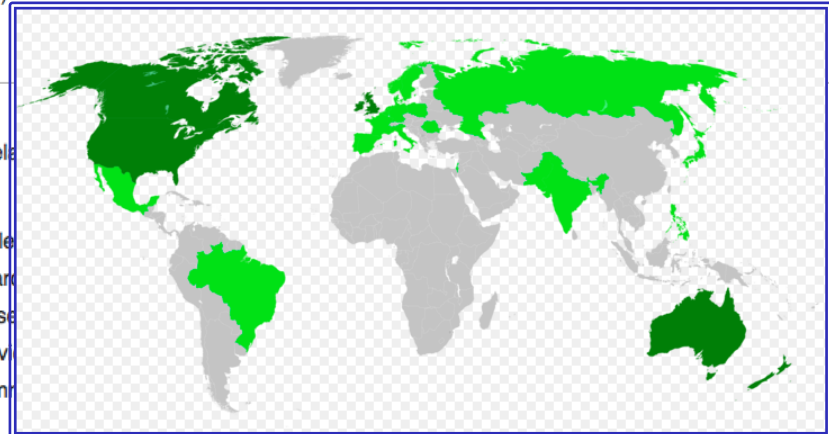
[Wikidata item](#)

## Who does contribute to Wikipedia?

Further information: [Wikipedia community](#)

The English Wikipedia currently has **28,850,954 users** who have registered a username. An unknown but relatively large number of users are **anonymous**. Contributions come from diverse demographic and ethnographic segments:

- **mid-20s males** - the largest demographic
- **retired males** - 2nd largest demographic
- **~10% women of various ages**
- **students** (e.g., in the context of a course)
- **enthusiasts** (e.g., people with interest in a particular subject, like butterflies)
- **insiders** (e.g., people who work for an organization, such as the Sierra Club)
- **dabblers** (e.g., people who edit occasionally)
- **scholars** (e.g., researchers)
- **archives** (e.g., a museum or library)
- **marketers** (e.g., individuals or companies)
- **evildoers** (e.g., spammers)





Prof. Lawrence Lessig, Harvard Univ.:

# *“CERN has taken the lead in supporting Open Access”*

Watch the lecture:

<http://cdsweb.cern.ch/record/1345337>

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Talk

Title "The architecture of access to scientific knowledge: just how badly we have messed this up"

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"The architecture of access to scientific knowledge: just how badly we have messed this up"

Lessig, Lawrence

18 April 2011 16:30:00

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THE ARCHITECTURE OF ACCESS  
TO SCIENTIFIC KNOWLEDGE:  
JUST HOW BADLY WE HAVE  
MESSED THIS UP



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as cool as speaking at @pixar: I'm @cern.

Open Access is not about making scholarly literature costless, but to find out and exploit **better ways** to pay the publishing costs than by charging readers and thus creating access barriers!



Open Access is not equal to e-science

BUT

e-science will require

Open Access!

