

# Seeking axion-like particles through flavor observables

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**elusives**  
neutrinos, dark matter & dark energy physics



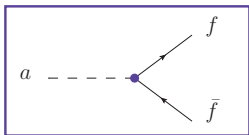
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# Motivation

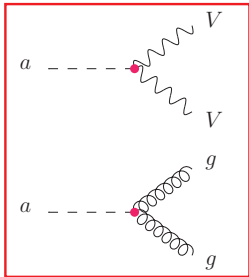
- The **SM Higgs sector** is the simplest possibility to accommodate experimental observations.
- **Hierarchy, flavor and strong-CP problems** remain **unsolved**.
- Maybe there exist **more scalar states**?
- What if these particles are **lighter** than  $h(125)$ ?
  - ⇒ Renewed interest in **light pseudoscalars (ALPs)** in recent years.
  - ⇒ Rich phenomenology at existing/planned experiments!

# ALP $\equiv$ (light) pseudoscalar

... with derivative and/or anomalous couplings:



$$c_{aff} \frac{\partial_\mu a}{f_a} \bar{f} \gamma^\mu \gamma^5 f$$



$$c_V \frac{a}{f_a} V_{\mu\nu} \tilde{V}^{\mu\nu}$$

$$c_G \frac{a}{f_a} G_{\mu\nu} \tilde{G}^{\mu\nu}$$

$f_a \equiv$  scale of new physics

# Light pseudoscalars

Why are they interesting?

They appear in many models beyond the SM:

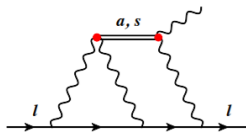
- Strong CP-problem, pNGB of a spontaneously broken symmetries, extensions of the Higgs sector (e.g. 2HDM, NMSSM), mediators to a hidden sector...

[See talks by Di Luzio, Soreq and Ziegler]

They can explain phenomenological puzzles:

- e.g.  $(g - 2)_\mu$  discrepancy.

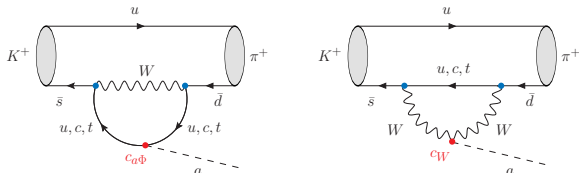
[Marciano et al. '16]



**This talk:** How can we probe ALPs in flavor experiments?

- i) Flavor-changing probes of ALPs;
- ii) ALP production via  $e^+e^- \rightarrow \gamma a$  at  $B$ -factories.

## FCNC constraints on ALP couplings



[Gavela, Houtz, Quilez, del Rey, OS. 1901.02031]

- Effective dim-5 Lagrangian:

[Georgi et al. '86]

$$\begin{aligned} \mathcal{L}_{\text{eff}}^{d=5} \supset & \frac{1}{2} (\partial^\mu a)(\partial_\mu a) - \frac{m_a^2 a^2}{2} + c_{a\Phi} i \frac{\partial^\mu a}{f_a} \Phi^\dagger \overleftrightarrow{D}_\mu \Phi \\ & - c_G \frac{a}{f_a} G_{\mu\nu}^a \tilde{G}^{\mu\nu,a} - c_B \frac{a}{f_a} B_{\mu\nu} \tilde{B}^{\mu\nu} - c_W \frac{a}{f_a} W_{\mu\nu}^a \tilde{W}^{\mu\nu,a} + \dots, \end{aligned}$$

- Effective dim-5 Lagrangian:

[Georgi et al. '86]

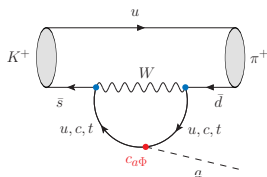
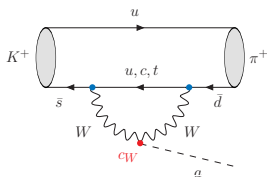
$$\mathcal{L}_{\text{eff}}^{d=5} \supset \frac{1}{2}(\partial^\mu a)(\partial_\mu a) - \frac{m_a^2 a^2}{2} + c_{a\Phi} i \frac{\partial^\mu a}{f_a} \Phi^\dagger \overleftrightarrow{D}_\mu \Phi \\ - c_G \frac{a}{f_a} G_{\mu\nu}^a \tilde{G}^{\mu\nu,a} - c_B \frac{a}{f_a} B_{\mu\nu} \tilde{B}^{\mu\nu} - c_W \frac{a}{f_a} W_{\mu\nu}^a \tilde{W}^{\mu\nu,a} + \dots,$$

- $c_{a\Phi}$  is equivalent to Yukawa-like interactions:

$$c_{a\Phi} i \frac{\partial^\mu a}{f_a} \Phi^\dagger \overleftrightarrow{D}_\mu \Phi \longrightarrow c_{a\Phi} i \frac{a}{f_a} \left[ \bar{Q} Y_u \tilde{\Phi} u_R - \bar{Q} Y_d \Phi d_R - \bar{L} Y_\ell \Phi \ell_R \right] + \text{h.c.}$$

$\Rightarrow$  Flavor violation controlled by SM Yukawas!

- Working assumption: Derivative couplings to fermions neglected above – the loop effects from  $c_{att}$  are already described by  $c_{a\Phi}$ .

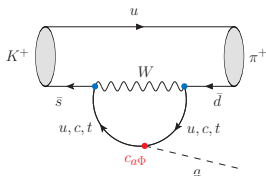
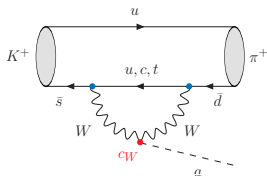


One-loop matching:

$$\mathcal{L}_{\text{eff}} \supset -g_{sd}^a (\partial_\mu a) \bar{s} \gamma^\mu P_L d$$

$$x_q = m_q^2 / m_W^2$$



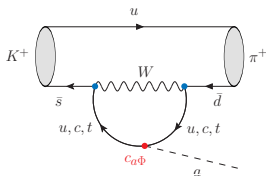
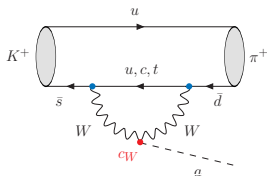


One-loop matching:

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$$g_{sd}^a = g_w^2 \sum_{q=u,c,t} \frac{V_{qs} V_{qd}^*}{16\pi^2} \left[ \frac{3 c_W}{f_a} g(x_q) - \frac{c_{a\Phi}}{2 f_a} x_q \log \left( \frac{f_a}{m_q} \right) \right]$$



One-loop matching:

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- $c_W$  induces finite contributions – *GIM mechanism*. [Izaguirre et al. '16]
- $c_{a\Phi}$  contributions are logarithmically sensitive to  $f_a$  (in the  $f_a \gg m_{\text{EW}}$  limit). For concrete models – e.g. 2HDM(+S):

[Pich et al. '14], [Dror et al. '18], [Arnan, Becirevic, Mescia, OS. 1703.03426]...

$$\mathcal{L}_{\text{eff}}^{d=5} \supset -c_G \frac{a}{f_a} G_{\mu\nu}^a \tilde{G}^{\mu\nu,a} - c_B \frac{a}{f_a} B_{\mu\nu} \tilde{B}^{\mu\nu} - c_W \frac{a}{f_a} W_{\mu\nu}^a \tilde{W}^{\mu\nu,a} \\ + c_{a\Phi} i \frac{a}{f_a} \left[ \bar{Q} Y_u \tilde{\Phi} u_R - \bar{Q} Y_d \Phi d_R - \bar{L} Y_\ell \Phi \ell_R \right] + \text{h.c.}$$

The **relevant observables** depend on **ALP couplings**, as well as possible interactions to a **hidden sector**.

We consider two **benchmark scenarios**:

[Gavela et al. '19]

i) **Invisible ALP**:  $\mathcal{B}(a \rightarrow \text{inv}) = 1$ .

$\Rightarrow$  Observables:  $K \rightarrow \pi + \text{inv}$ ,  $B \rightarrow K + \text{inv}$ .

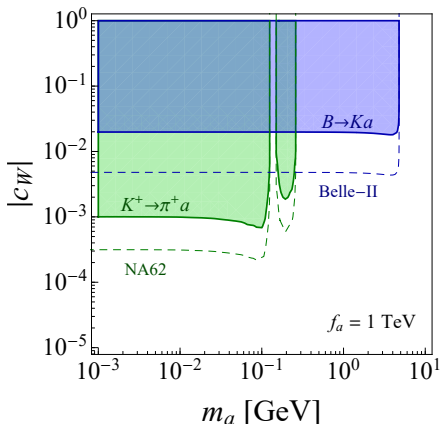
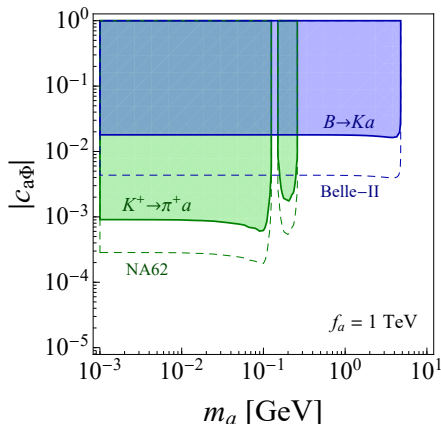
ii) **Visible ALP**:  $a \rightarrow \gamma\gamma$ ,  $l\ell$ , and hadrons via  $c_{aW}$  and  $c_{a\Phi}$ .

$\Rightarrow$  Observables:  $K \rightarrow \pi a (\rightarrow ee, \mu\mu, \gamma\gamma)$ ,  $B \rightarrow Ka (\rightarrow ee, \mu\mu, \gamma\gamma) \dots$

# I. The invisible scenario

$$\mathcal{B}(a \rightarrow \text{inv}) = 1$$

[Gavela, Houtz, Quilez, del Rey, OS. '19]

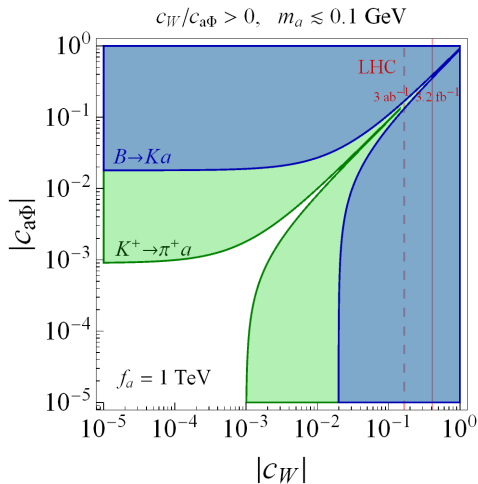


see also [Izaguirre.'16]

- Most stringent limits come from  $K \rightarrow \pi \nu \bar{\nu}$  searches [E787, E949].
- What if  $\{c_{a\Phi}, c_{aW}\}$  are simultaneously considered?

## I. The invisible scenario

## Two-coupling analysis



For fixed ALP mass:

- Unconstrained direction in  $\{c_W, c_{a\Phi}\}$  for both  $K \rightarrow \pi a$  and  $B \rightarrow Ka$ .

$\Rightarrow$  Other **exp. constraints** are **needed!**

- Example: mono- $W$  at the **LHC**

[Brivio et al. '17]

see also [Bauer et al. '17]

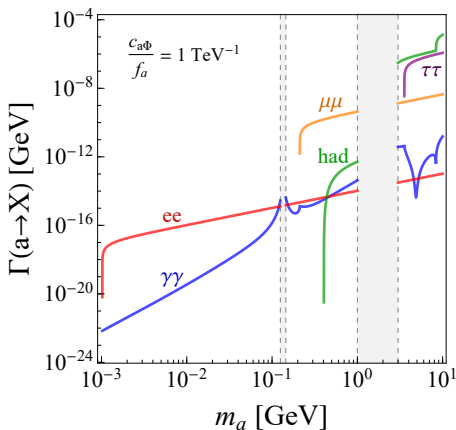
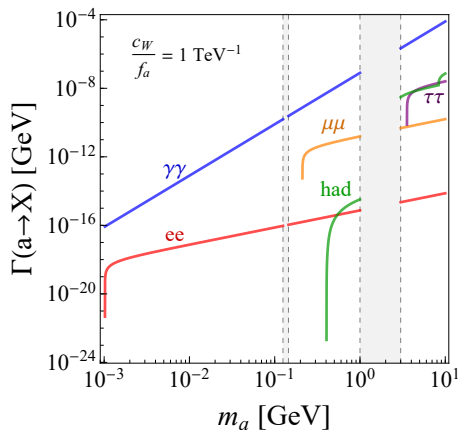
$\Rightarrow$  Other **flavor observables** can be helpful too!

[2nd part of this talk!]

## II. The visible scenario

ALP decays induced by  $c_a\Phi$  and  $c_W$ :

see also [Bauer et al. '17]

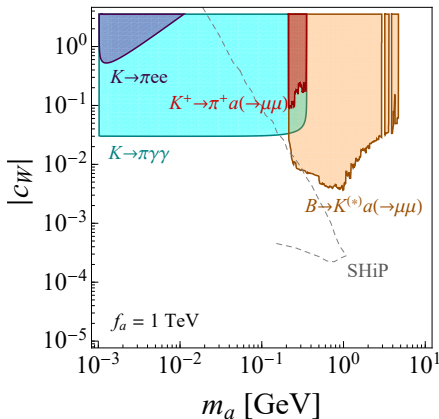
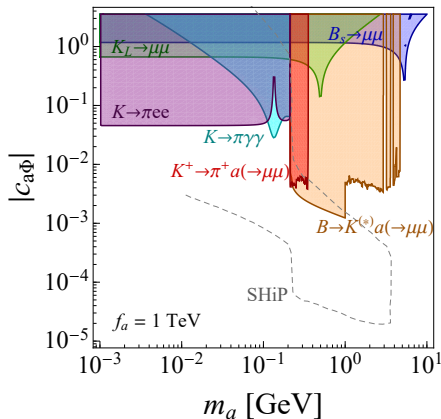


$\Rightarrow$  Many possible exp. signatures depending on  $m_a$  and  $\{c_{a\Phi}, c_W\}$ .

See talk by Soreq for  $\Gamma(a \rightarrow \text{had})$  in  $m_a \in (1, 3)$  GeV.

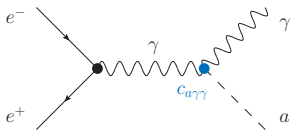
## II. The visible scenario

## Constraints on visible decays



- Most stringent limits come from LHCb searches for displaced vertices in  $B \rightarrow K^{(*)} a(\rightarrow \mu\mu)$  [LHCb. '15, '16] see also [Dobrich et al. '18].
- Complementarity between  $K$  and  $B$ -meson decays. Opportunities for fixed-target facilities [e.g. SHiP]. [cf. back-up for two-coupling analysis]

## Revisiting ALP production at B-factories

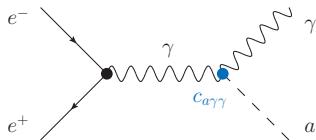


[Merlo, Pobbe, Rigolin, **OS**. To appear]



## What else can be done in flavor experiments?

ALPs can be produced in  $e^+e^-$  colliders via



$$c_{a\gamma\gamma} \equiv c_B \cos^2 \theta_W + c_W \sin^2 \theta_W$$

⇒ Direct probe of the **ALP-photon coupling** at low-energies.

⇒ Prospects for **Belle-II** explored in many works:

[Dolan et al. '18], [deNiverville et al. '18]...

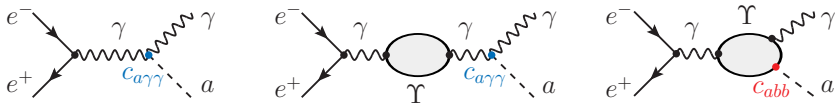
see also [Belle-II Physics Book]

### Remainder of this talk:

⇒ Discuss the **subtleties** of these searches at **B-factories**.

## What is different at $B$ -factories?

$B$ -factories operate at specific  $\Upsilon$  resonances  $\sqrt{s} = m_{\Upsilon(nS)}$ :



- i) How important are the resonant contributions?
- ii) Working at  $\sqrt{s} = m_{\Upsilon(nS)}$  allows us to probe another coupling:

$$\mathcal{L}_{\text{eff}}^{d=5} \supset c_{abb} \frac{\partial_\mu a}{2f_a} \bar{b} \gamma^\mu \gamma_5 b.$$

What is the interplay between  $c_{a\gamma\gamma}$  and  $c_{abb}$ ?

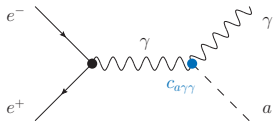
[NB.  $c_{abb} = -c_{a\Phi}$  in the 1st part of this talk]

How large are the resonant contributions?

## Non-resonant vs. resonant cross-section

- **Non-resonant:**

[Marciano et al. '16, Dolan et al. '18]



$$\sigma(s)_{\text{non res.}} \propto \frac{c_{a\gamma\gamma}^2}{f_a^2} \left(1 - \frac{m_a^2}{s}\right)^3.$$

- **Resonant (naive Breit-Wigner):**

[Merlo, Pobbe, Rigolin, OS. To appear]



$$\sigma(s)_{\text{res.}} = \sigma_{\text{peak}} \frac{m_\Upsilon^2 \Gamma_\Upsilon^2}{(s - m_\Upsilon)^2 + m_\Upsilon^2 \Gamma_\Upsilon^2} \mathcal{B}(\Upsilon \rightarrow \gamma a).$$

⇒ Effects overlooked so far in theory papers computing  $\sigma(e^+e^- \rightarrow \gamma a)$ .

**To be careful:** Non-negligible beam-energy uncertainty  $\sigma_W$  at  $B$ -factories

$\Rightarrow \Gamma_{\Upsilon(nS)} \ll \sigma_W \approx 5 \text{ MeV}$  for  $n = 1, 2, 3$ .

$\Rightarrow$  Naive computation overestimates the resonant cross-section.

**The visible resonant cross-section** reads:

[Eidelman et al. 1601.07987]

$$\langle \sigma_{\text{res}} \rangle_{\text{vis}} = \int \frac{\sigma_{\text{res}}(s)}{\sqrt{2\pi}\sigma_W} \exp \left[ -\frac{(\sqrt{s} - m_{\Upsilon})^2}{2\sigma_W^2} \right] d\sqrt{s},$$
$$\Gamma_{\Upsilon} \ll \sigma_W \quad \rho \sigma_{\text{peak}} \mathcal{B}(\Upsilon(nS) \rightarrow \gamma a),$$

where  $\rho$  is the cross-section **suppression factor** (for the narrow resonances):

$$\rho = \sqrt{\frac{\pi}{8}} \frac{\Gamma_{\Upsilon}}{\sigma_W} \approx 10^{-3}.$$

For scenarios with  $|c_{a\gamma\gamma}| \gg |c_{abb}|$ , the **non-resonant** contribution **dominates**, but the **resonant** one is **non-negligible**:

$\Upsilon(nS)$	$\Gamma_\Upsilon$ [keV]	$\sigma_{\text{peak}}$ [nb]	$\rho$	$\langle\sigma_{\text{res}}\rangle_{\text{vis}}/\sigma_{\text{non res.}}$
$\Upsilon(1S)$	54.02	$3.9(18) \times 10^3$	$6.1 \times 10^{-3}$	<b>0.53(5)</b>
$\Upsilon(2S)$	31.98	$2.8(2) \times 10^3$	$3.7 \times 10^{-3}$	<b>0.21(3)</b>
$\Upsilon(3S)$	20.32	$3.0(3) \times 10^3$	$2.3 \times 10^{-3}$	<b>0.16(3)</b>
$\Upsilon(4S)$	$20.5 \times 10^3$	2.10(10)	0.83	$3.0(3) \times 10^{-5}$

**NB.**  $c_{abb} \equiv 0$ .

- $\Rightarrow$  Resonant contributions amount to  $\mathcal{O}(10\%)$ – $\mathcal{O}(50\%)$  corrections to  $\sigma_{\text{tot}}$ .
- $\Rightarrow$   $B$ -factories operating at  $\Upsilon(4S)$  [i.e. above  $B\bar{B}$  production threshold] can directly probe the **non-resonant contribution**.

Which searches can be performed at  $B$ -factories?

- i) **Purely resonant:**  $\Upsilon(1S)$  reconstructed from  $\Upsilon(2S) \rightarrow \Upsilon(1S)\pi^+\pi^-$  or  $\Upsilon(3S) \rightarrow \Upsilon(1S)\pi^+\pi^-$  decays. cf. e.g. [BaBar, 1007.4646]

*Direct probe of*

$$\mathcal{B}(\Upsilon(1S) \rightarrow \gamma a) \times \mathcal{B}(a \rightarrow \dots) \propto \left[ \frac{c_{a\gamma\gamma}}{f_a} \left( 1 - \frac{m_a^2}{m_\Upsilon^2} \right) - 2 \frac{c_{abb}}{f_a} \right]^2.$$

$\Rightarrow$  See [Wilczek. '77] for the computation of  $c_{abb}$  contribution, and [Masso. '95] for  $c_{a\gamma\gamma}$ .

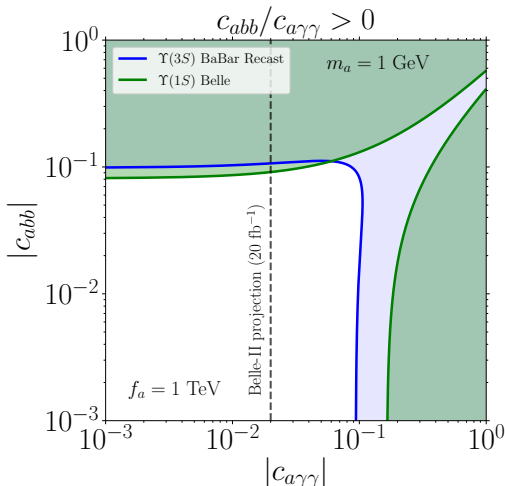
$\Rightarrow$  We consider *both couplings*: possibility of *destructive interference*!

- ii) **Purely non-resonant:** for  $\sqrt{s} = m_{\Upsilon(4S)}$ , the resonant contribution is negligible so one can directly probe  $c_{a\gamma\gamma} \Rightarrow$  *No exp. searches thus far!*
- iii) **Mixed (non-)resonant:** if  $\Upsilon(nS)$  ( $n = 1, 2, 3$ ) is not reconstructed, then both resonant and non-resonant contributions become relevant. [cf. back-up]

Many searches at  $\Upsilon(3S)$ , cf. e.g. [BaBar, 0808.0017]



- **Complementarity:** Unconstrained parameters from  $\Upsilon(1S)$  decays [Belle, '18] are excluded by searches at  $\Upsilon(3S)$  [BaBar, '08].



**Caveat:** background in  $\Upsilon(3S)$  searches.

[cf. back-up]

# Summary and perspectives

## Summary and perspectives

- FCNC observables provide the strongest constraints on ALPs in masses in the MeV-GeV range.

NA62, LHCb and Belle-II.

- Effective operators can interfere destructively in  $K \rightarrow \pi a$  and  $B \rightarrow Ka$ , leaving unconstrained regions in the parameter space.

LHC and/or flavor observables might be helpful.

- Most general formulae for  $e^+e^- \rightarrow \gamma a$  at  $B$ -factories are provided, including resonant and non-resonant contributions, as well as general ALP interactions.

Searches performed at different  $\Upsilon(nS)$  resonances are complementary.

- Very rich data-set in existing/forthcoming flavor-physics experiments can be useful to test scenarios with light new physics states.

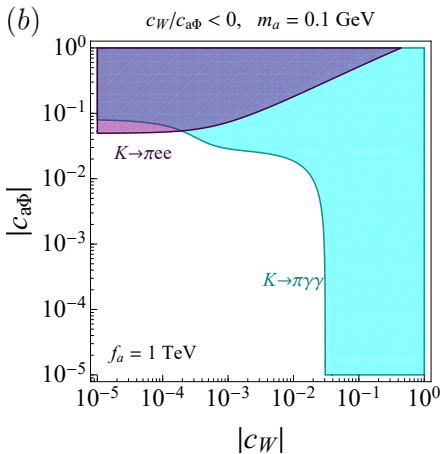
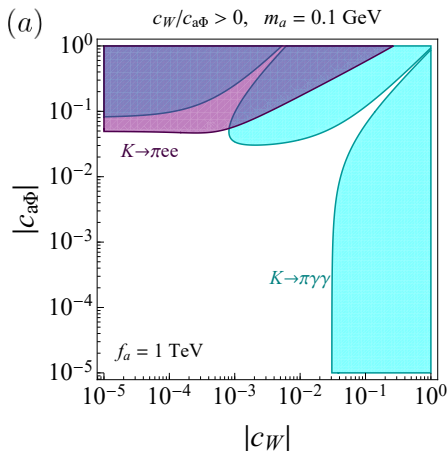
High-precision era in flavor physics!

# Thank you!

*This project has received support from the European Union's Horizon 2020 research and innovation programme under the Marie Skłodowska-Curie grant agreement No 674896.*

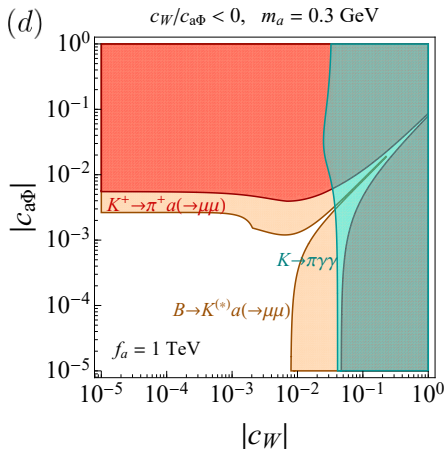
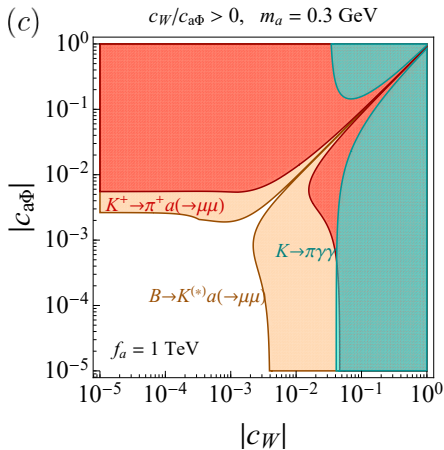
## II. Visible scenario

[Gavela, Houtz, Quilez, del Rey, OS. '19]



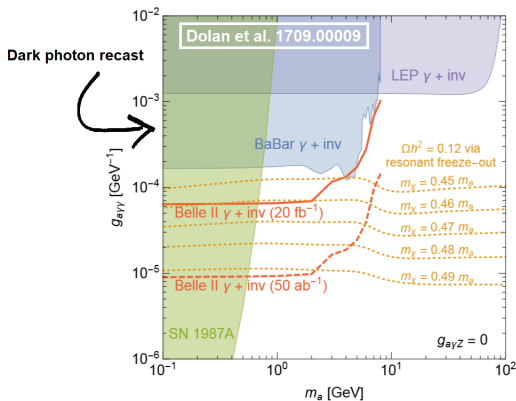
## II. Visible scenario

[Gavela, Houtz, Quilez, del Rey, OS. '19]



## Comments on other analyses

BaBar dark-photon search in the  $\text{mono-}\gamma$  channel [BaBar. 1702.0332] has been recast by [Dolan et al. '18]  $\Rightarrow \approx 2\times$  stronger constraints on  $c_{a\gamma\gamma}$ .



Interesting, but one should be careful:

- [BaBar. '17] combines data collected at different runs (resonant effects!?).
- Detection efficiencies are different for dark photons and ALPs [How much?].

## On mixed (non-)resonant searches

- If the  $\Upsilon$  decay is **not reconstructed**, both **resonant and non-resonant** contributions **must be considered!**
- e.g. for analyses at  $\Upsilon(3S)$  [BaBar, 0808.0017], the limits on  $\mathcal{B}(\Upsilon \rightarrow \gamma a)$  should be rescaled by a factor:

$$\frac{\langle \sigma_{\text{res.}} + \sigma_{\text{non res.}} \rangle_{\text{vis}}}{\langle \sigma_{\text{res.}} \rangle_{\text{vis}}} \approx 1 + \frac{\sigma_{\text{non res.}}}{\langle \sigma_{\text{res.}} \rangle_{\text{vis}}} > 1$$

- ⇒ Limits on branching fraction are **×7 more stringent** if  $|c_{a\gamma\gamma}| \gg |c_{abb}|$  !
- ⇒ Effects overlooked in theory papers, cf. e.g. [Cid Vidal et al. '18]

**Small caveat:** Background is often determined by using off-resonance samples, which contain the non-resonant contributions (peak in missing-mass distribution).



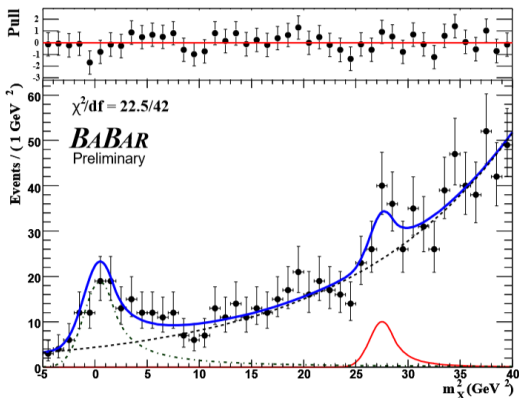
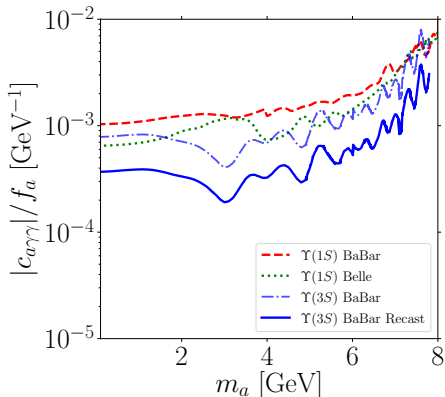


Figure 1: Sample fit to the high-energy dataset ( $122 \times 10^6$   $\Upsilon(3S)$  decays). The bottom plot shows the data (solid points) overlaid by the full PDF curve (solid blue line), signal contribution with  $m_{A^0} = 5.2$  GeV (solid red line)  $e^+e^- \rightarrow \gamma\gamma$  contribution (dot-dashed green line), and continuum background PDF (black dashed line). The top plot shows the pulls  $p = (\text{data} - \text{fit})/\sigma(\text{data})$  with unit error bars.

Rescaled limits on  $c_{a\gamma\gamma}$  from  $\mathcal{B}(\Upsilon(3S) \rightarrow \gamma a) \times \mathcal{B}(a \rightarrow \text{inv})$ :

**NB.**  $c_{abb} \equiv 0$ .

[BaBar, 0808.0017]



⇒ **More constraining** than limits from tagged  $\Upsilon(1S)$  decays!

[BaBar, 1007.4646], [Belle, 1809.05222]

⇒ Similar conclusions apply to  $\Upsilon(3S) \rightarrow \gamma a$ , followed by  $a \rightarrow \mu\mu$  [BaBar, 0905.4539],  
 $a \rightarrow gg$  [BaBar, 1108.3549]...

## $\Upsilon \rightarrow \gamma a$ in full generality

[Merlo, Pobbe, Rigolin, OS. To appear]



$$\mathcal{B}(\Upsilon \rightarrow \gamma a) = \frac{\alpha_{\text{em}}}{216 \Gamma_{\Upsilon}} m_{\Upsilon} f_{\Upsilon}^2 \left(1 - \frac{m_a^2}{m_{\Upsilon}^2}\right) \left[ \frac{c_{a\gamma\gamma}}{f_a} \left(1 - \frac{m_a^2}{m_{\Upsilon}^2}\right) - 2 \frac{c_{abb}}{f_a} \right]^2,$$

- $c_{a\gamma\gamma}$  contribution determined by decay constant (LQCD and/or exp).
  - $c_{abb}$  contribution far more intricate – QCD-structure dependent emission.
- [Wilczek. '77]