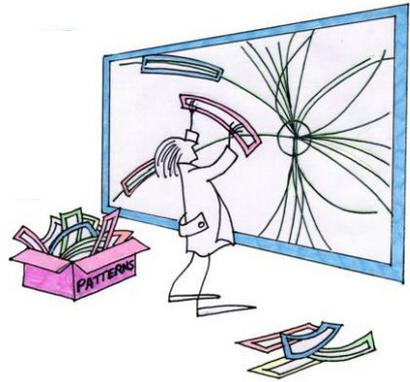


Intelligent triggering: pattern recognition with Associative Memories & other tools (FPGAs) - the Fast Tracker example in ATLAS



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ARISTOTLE
UNIVERSITY
OF THESSALONIKI



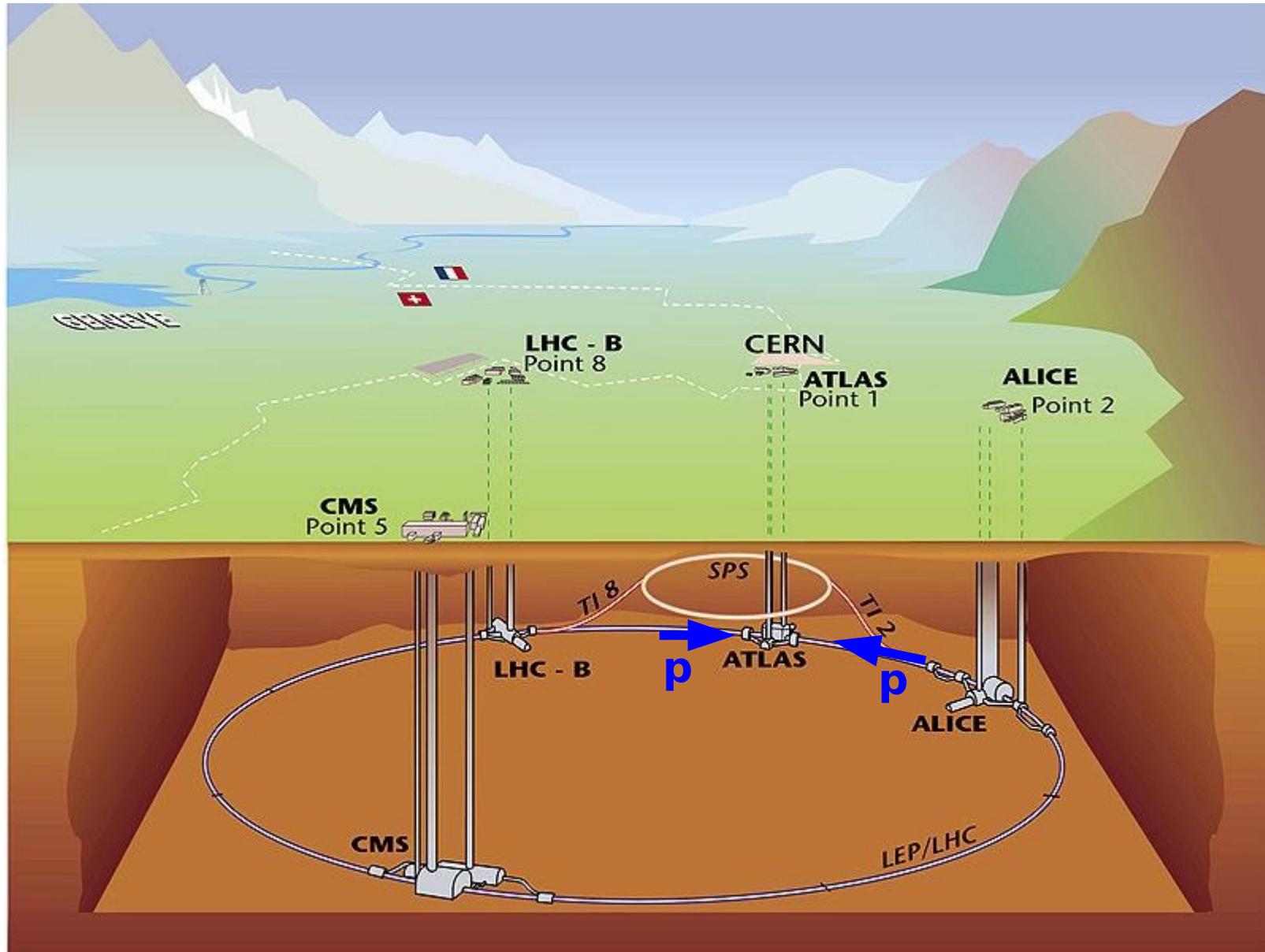
ISOTDAQ2019, Royal Holloway, April 10, 2019

Overview

- The need for tracking info at the Trigger of High Energy Physics experiments and how to do it fast
- A specific example: the FTK (Fast Tracker) in ATLAS; tracking in two stages:
 - **Pattern matching** with Associative Memories
 - Refined **track fitting** with FPGAs
- Basically you'll see that:
 - * if you want to avoid or cannot afford calculating something time consuming:
 - split the problem and use pre-calculated patterns and quantities.
- We'll see examples beyond FTK as well

A. Introduction

Experiments at the LHC - pp collisions



The energy frontier - interested in **relatively rare processes**

Probability of interaction ~ cross section:

In order to have a reasonable number of interesting events produced, we need high luminosity colliders:

Rate $\sim L * \sigma$

LHC Design: $L = 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$

*For proton bunch spacing of 25ns: **pb***

pp interactions/bunch crossing: ~25

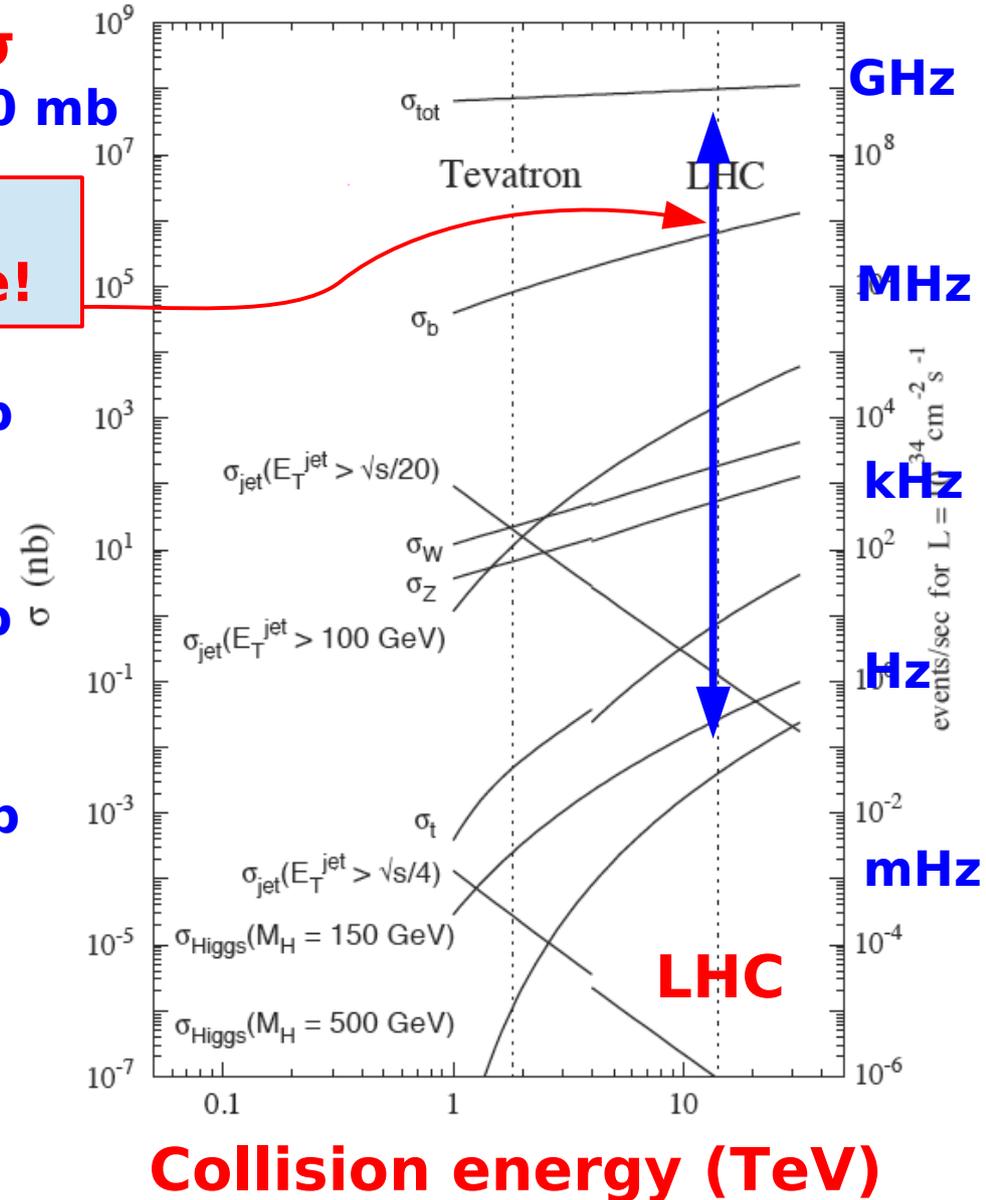
Luminosity to become 3-5 times more.

>10 orders of magnitude!

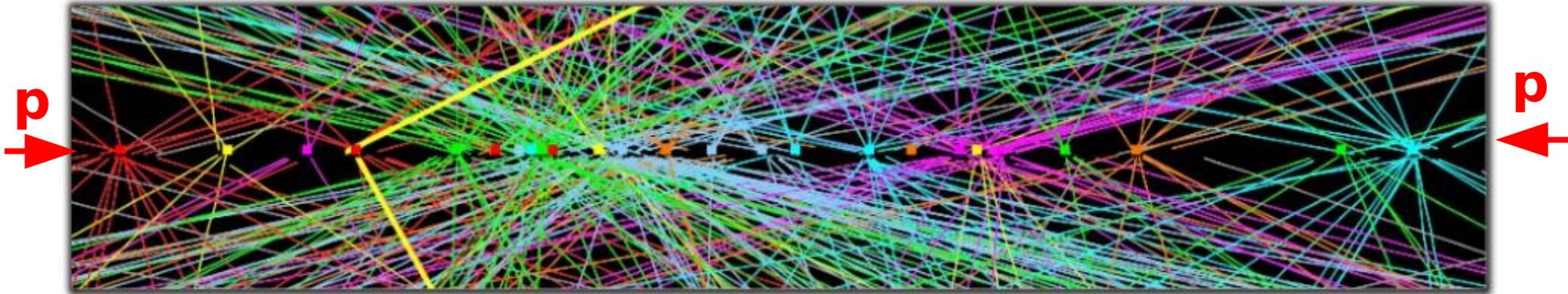
**σ
~80 mb**

μb

nb



Looking at many & complex events
every 25ns two proton bunches cross each other
→ a superposition of >25 pp collisions



Atlas event with a Z boson decaying to two muons and 24 additional interaction vertices.

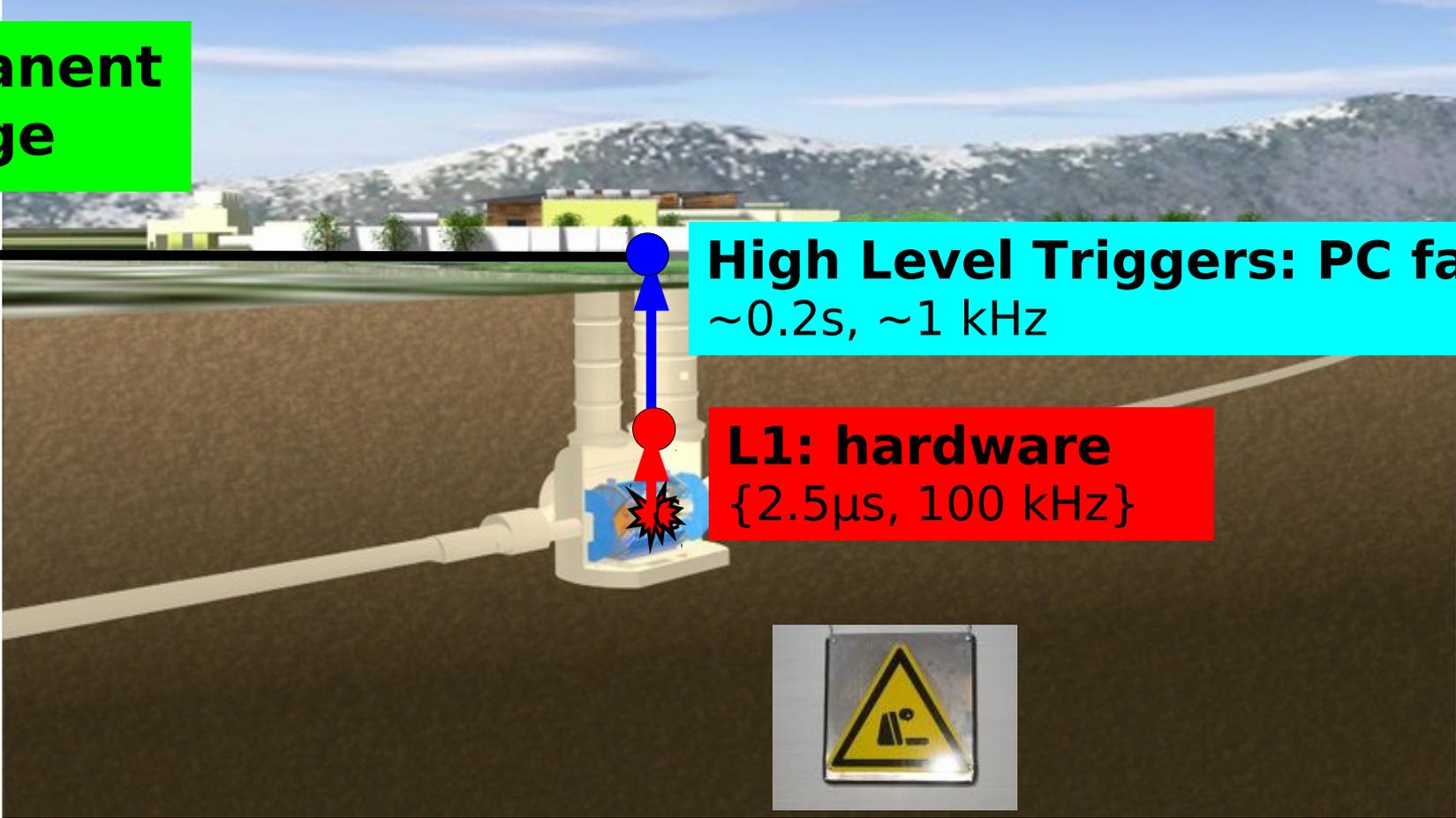
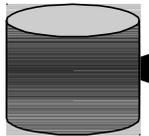
The Trigger and Data Acquisition system,

- * **watches 40M such “events” (bunch crossings) / sec**
→ O(1) billion pp interactions per second
- * **select online “the most interesting” O(1k) events/sec**
(1 : 1 Million pp interactions deemed interesting enough to keep)
- * **and log them for offline use with a resolution of a
~100 Mpixel camera (100M channels: total ~1.5 MB/event)**

Trigger at 2 stages: Level1 (L1: fast, no detailed info) & High Level Trigger (HLT: slower, using detailed info)

- Trigger & DAQ : Select events and get the data from the detector to the computing center for the first processing.

Permanent storage



High Level Triggers: PC farms
~0.2s, ~1 kHz

L1: hardware
{2.5µs, 100 kHz}

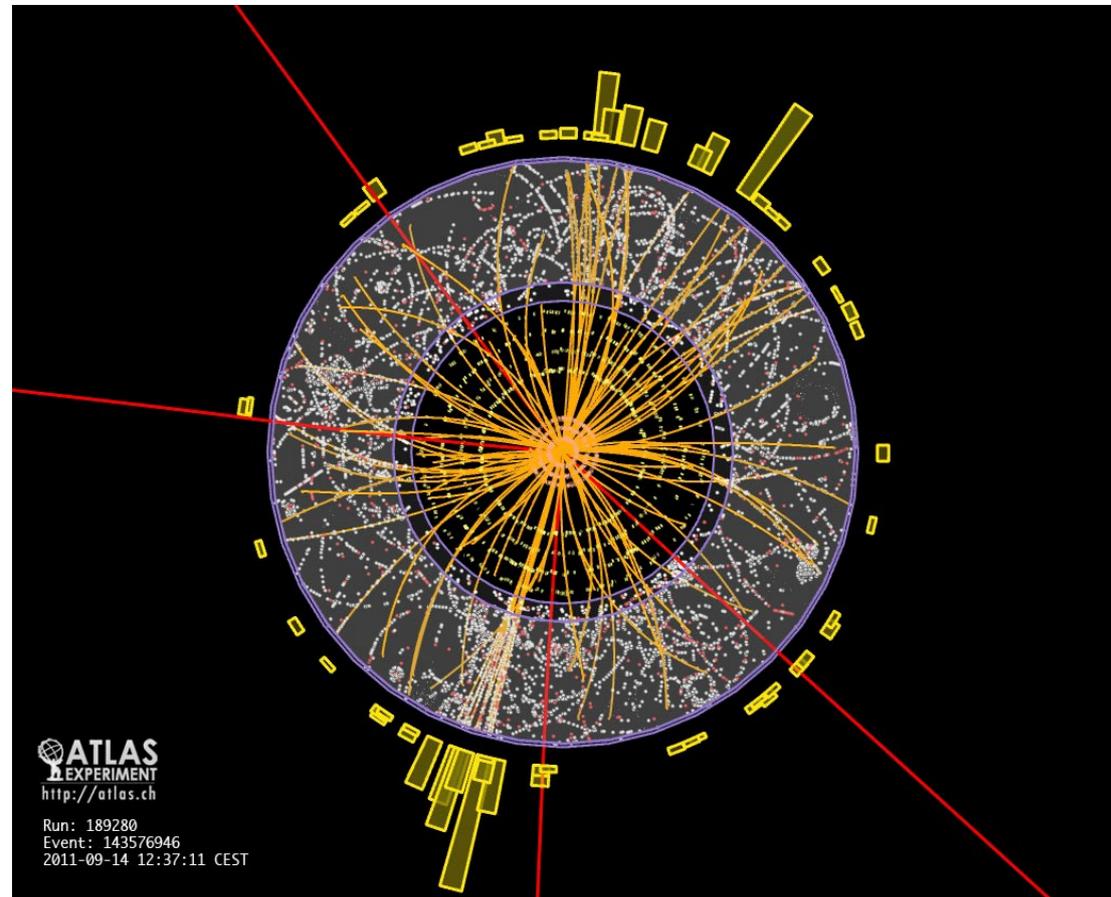


Example: Looking for Higgs

- **How do we see the Higgs?**

→ **from its children!**

E.g., 4 muons traversing
the detector (red lines here)

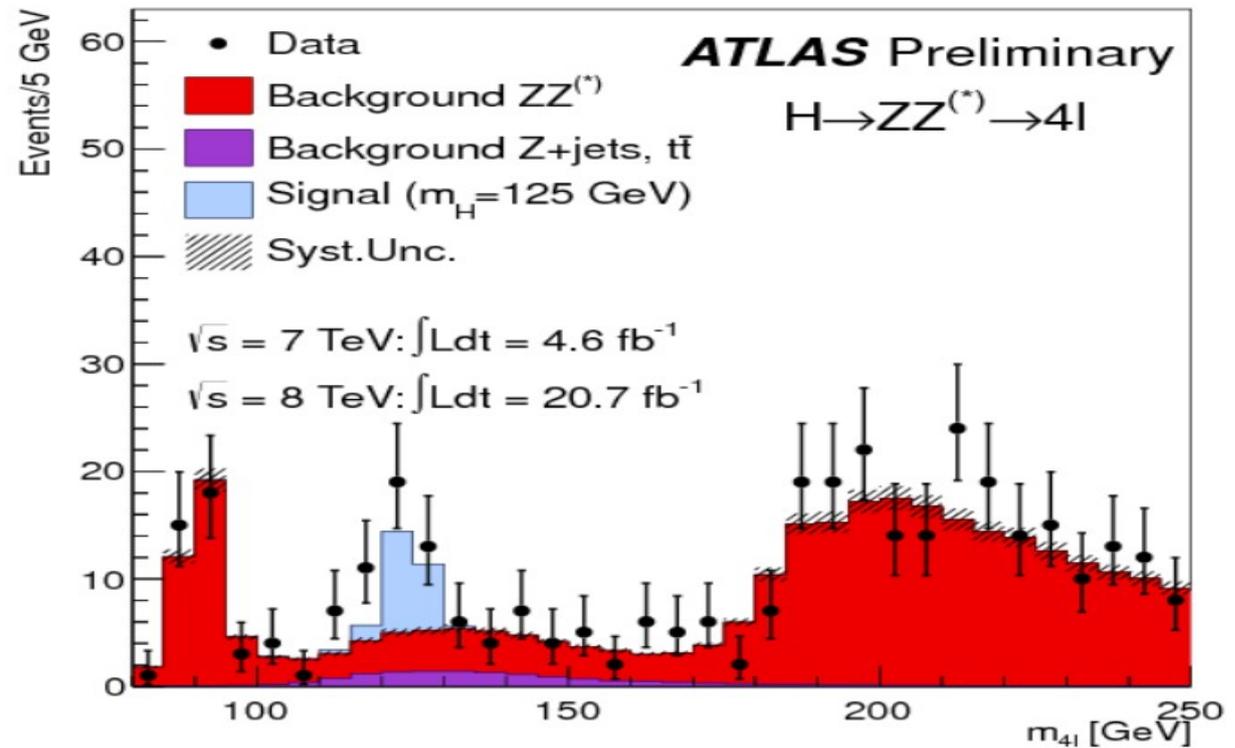


$$E = mc^2 \rightarrow E^2 = m^2 c^4 + p^2 c^2 \rightarrow E^2 = m^2 + p^2 \rightarrow m = \sqrt{(E^2 - p^2)}$$

Selection of the right events is essential (and selection of many of them too!) e.g., ATLAS: $H \rightarrow ZZ \rightarrow 4l$

./4l-FixedScale-NoMuProf2_400x300.gif

./4l-FixedScale-NoMuProf2_238x231.gif



<https://twiki.cern.ch/twiki/pub/AtlasPublic/HiggsPublicResults//4l-FixedScale-NoMuProf2.gif>

The more you know about the events, the easiest you select the “signal” and reject the “background”

When there is limited time budget (L1 trigger): decide based only on the muon and calorimeter systems

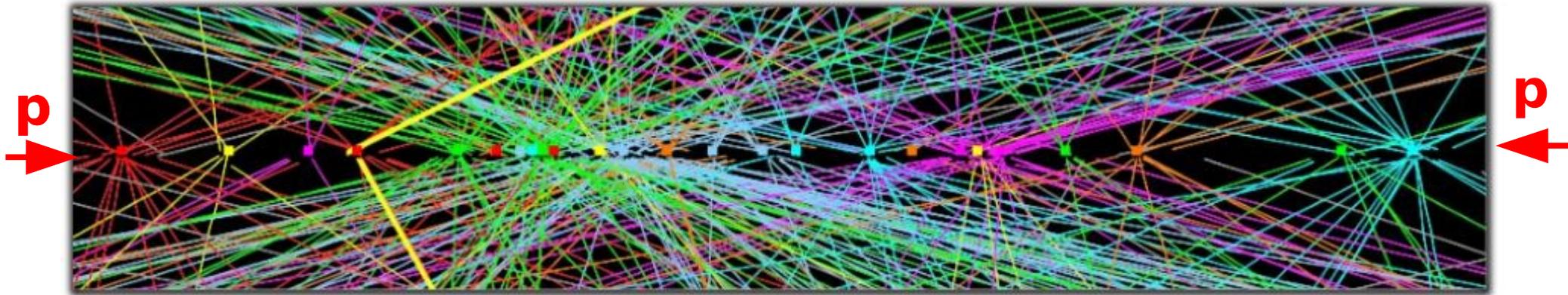
But may need information from the inner tracker as early as possible to make an “educated” decision and keep as much signal as possible

e.g., 2 “jets” of tracks, which are usually boring, they could actually be

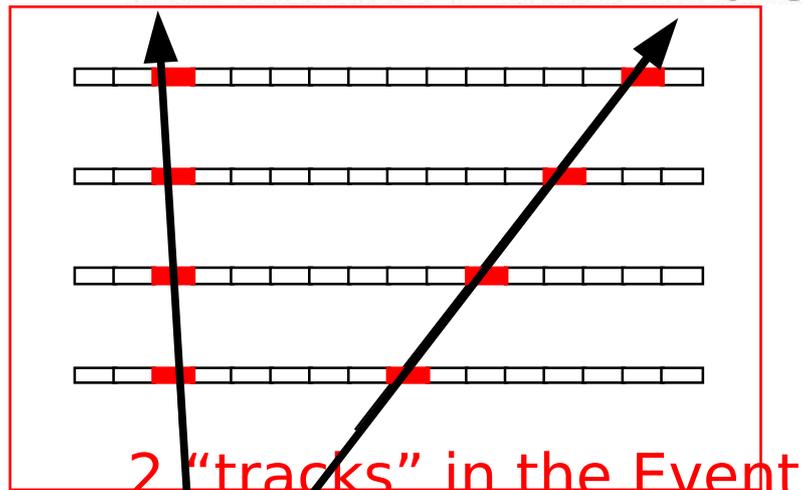
$$H \rightarrow b \bar{b} \quad \text{or} \quad H \rightarrow \tau^+ \tau^-$$

On the last talk of the School, you will hear from **Francesca Pastore** the way the various experiments trigger on the “interesting events”

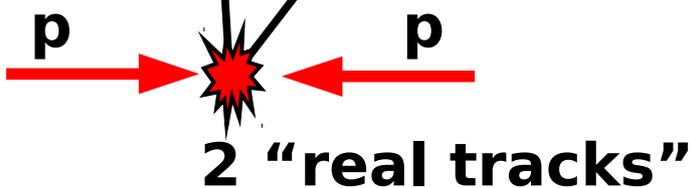
Each charged particle leaves a trace (“a track”) in the detector as it moves outwards



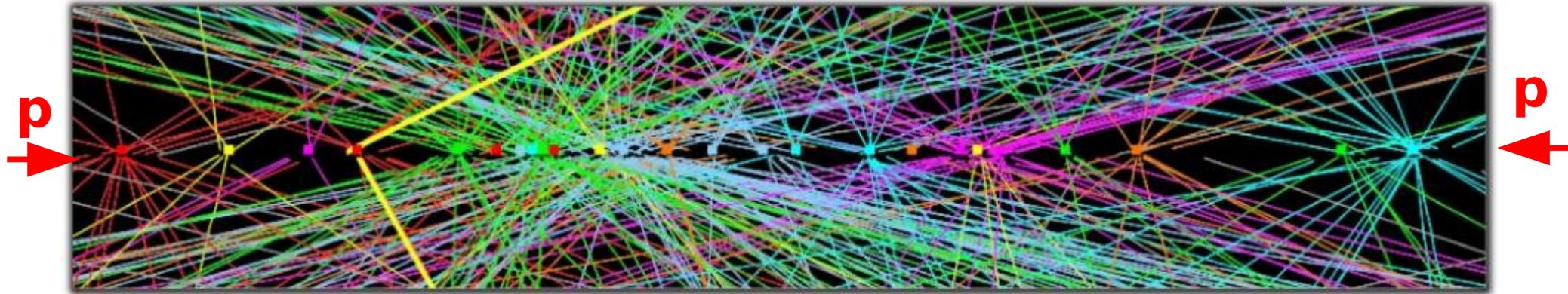
Atlas event with a Z boson decaying to two muons and 24 additional interaction vertices.



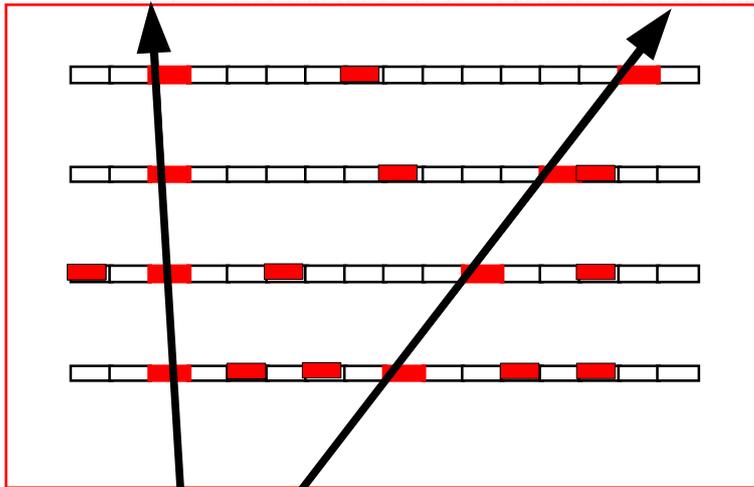
- Connecting the “hit” readout cells from one detection layer to the other
- traces the charged particle's path as it moves radially outward and its' position is measured in each detector layer



You have also noise and irrelevant hits on the same “event”/“picture”

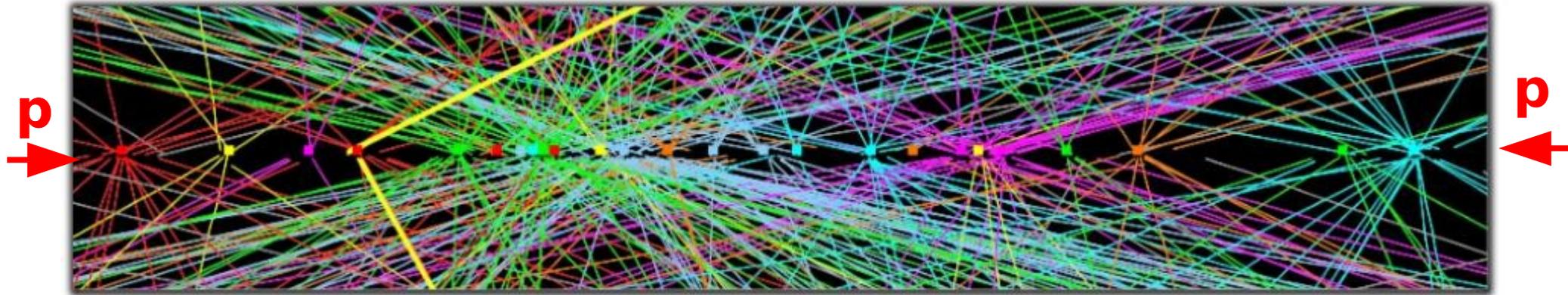


Atlas event with a Z boson decaying to two muons and 24 additional interaction vertices.

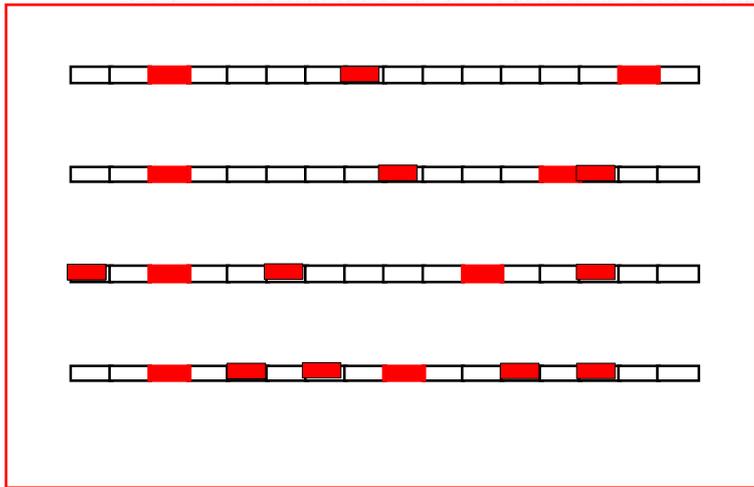


2 “real tracks” + extra hits

Tracking is a combinatorics problem: which combinations of hits fit track hypothesis?



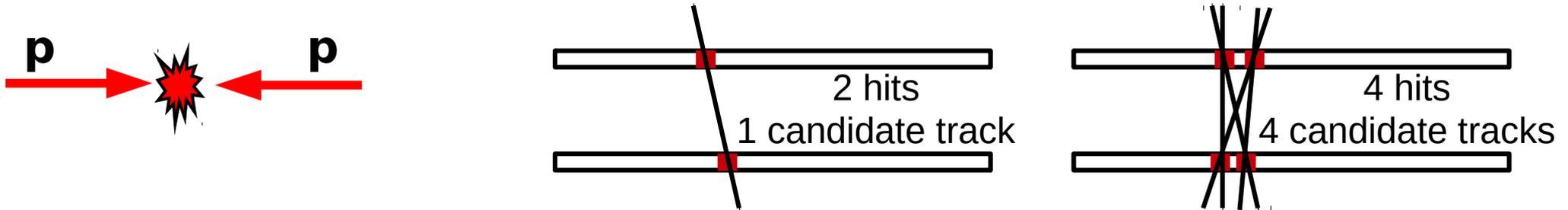
Atlas event with a Z boson decaying to two muons and 24 additional interaction vertices.



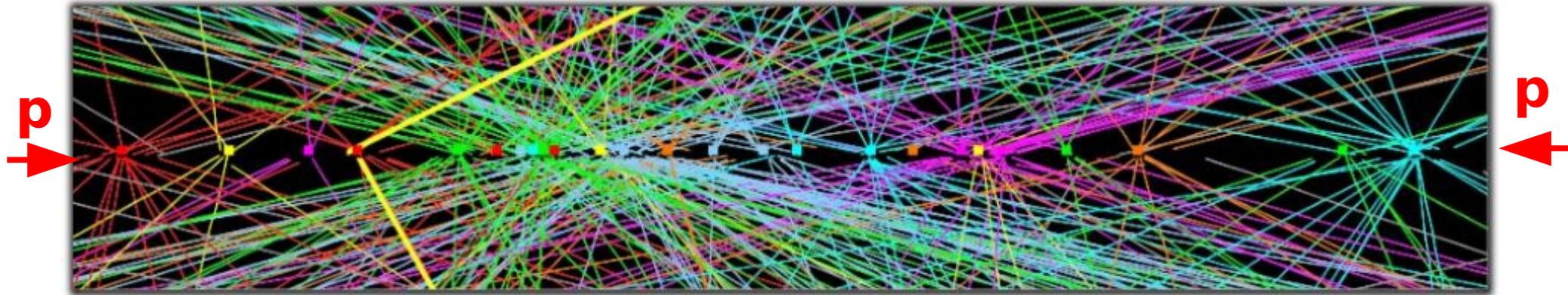
But when you look
at this event/picture,
you just see hits!

You have to find the tracks...

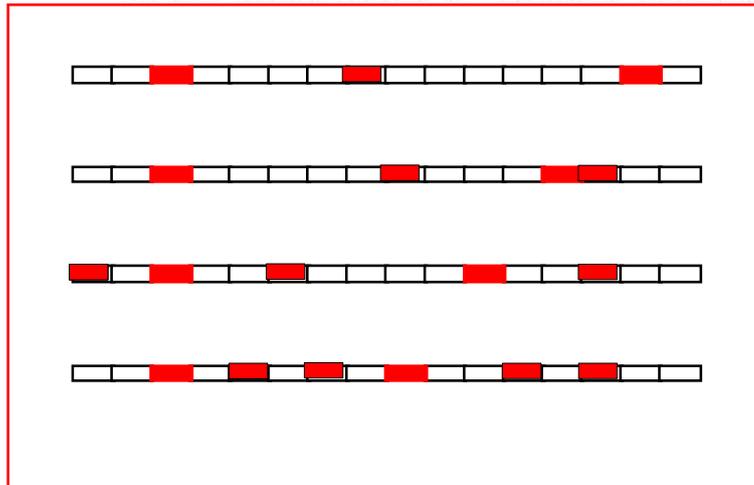
And, number of possible tracks do not scale linearly with number of hits. e.g.:



Tracking is a combinatorics problem: which combinations of hits fit track hypothesis?



Atlas event with a Z boson decaying to two muons and 24 additional interaction vertices.



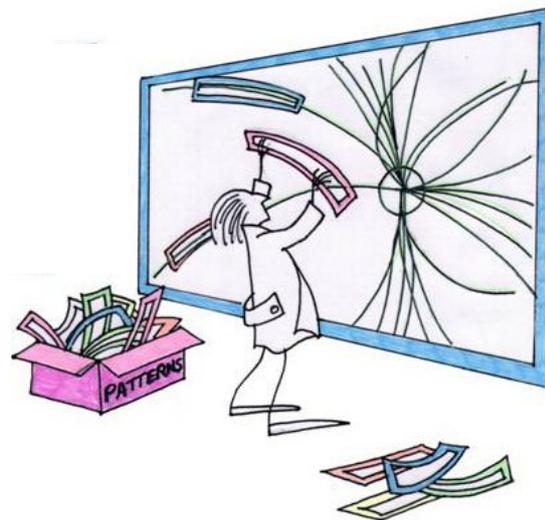
But when you look
at this event/picture,
you just see hits!

You have to find the tracks...

- Lots of hit combinations to try
- a huge combinatorics problem
- becoming worse and worse
as luminosity increases
- a big burden on CPUs



B. The Fast Tacker (FTK) solution in ATLAS



FTK (Fast Tracker): dedicated hardware helping the HLT, by doing the tracking before the HLT

**Permanent
storage**



FTK

High Level Triggers: PC farms
~0.2s, ~1 kHz

L1: hardware
{2.5 μ s, 100 kHz}

Fast Tracker (FTK)

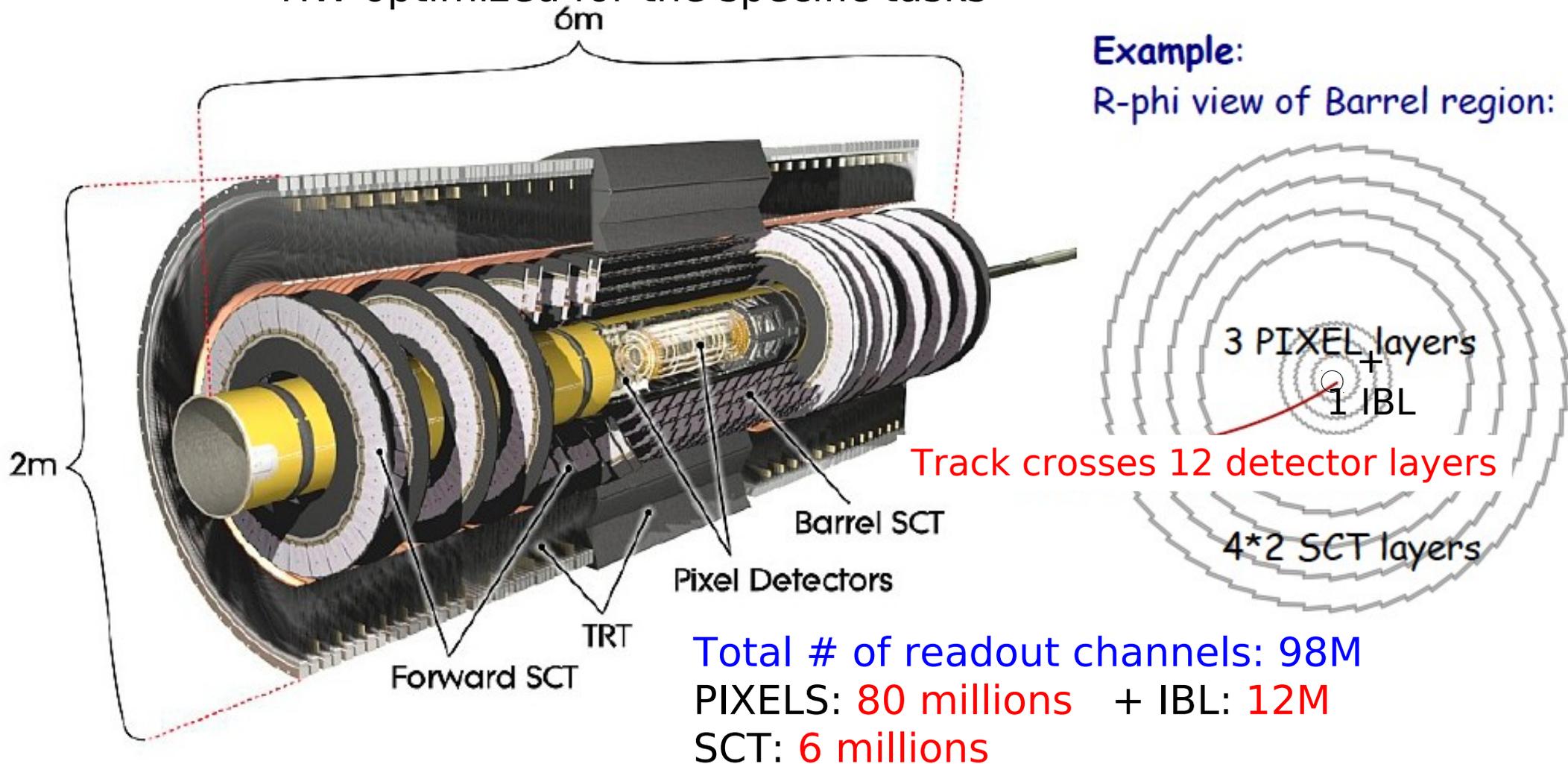
For each event accepted by L1 (100kHz),
find all its tracks in <100 μ sec
→ x1000 faster than the HLT farm
of PCs



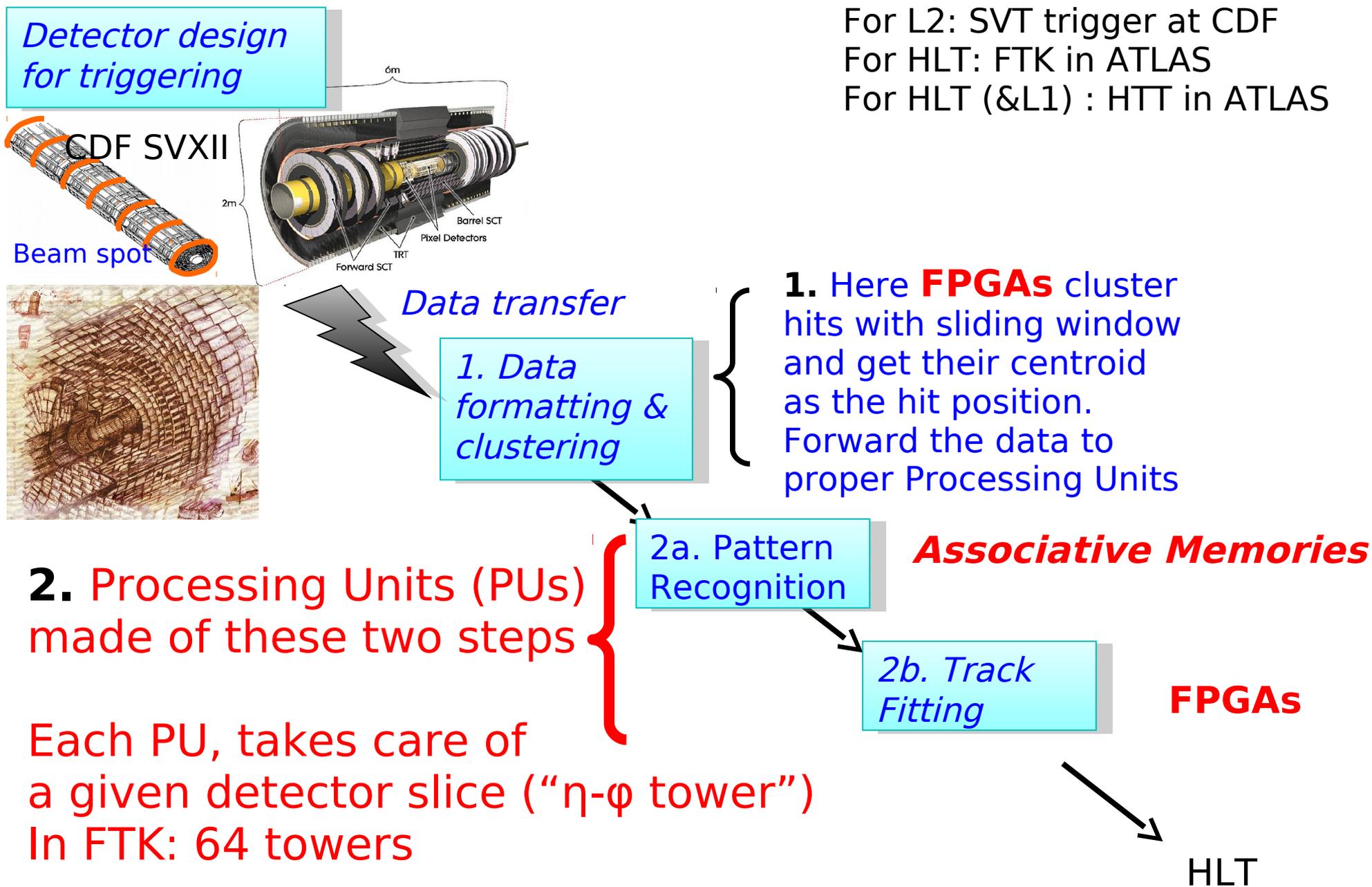
FTK: Tracking particles in the Silicon Detectors

ATLAS' Fast Tracker (FTK) processes all Level-1 accepted events (100kHz)
Output: all tracks w/ $p_T > 1$ GeV available to HLT. **Typical FTK latency $\sim 100\mu\text{s}$** , compared to **$O(50\text{ms})$ HLT**

Advantages: high-bandwidth connection with detector & HW optimized for the specific tasks



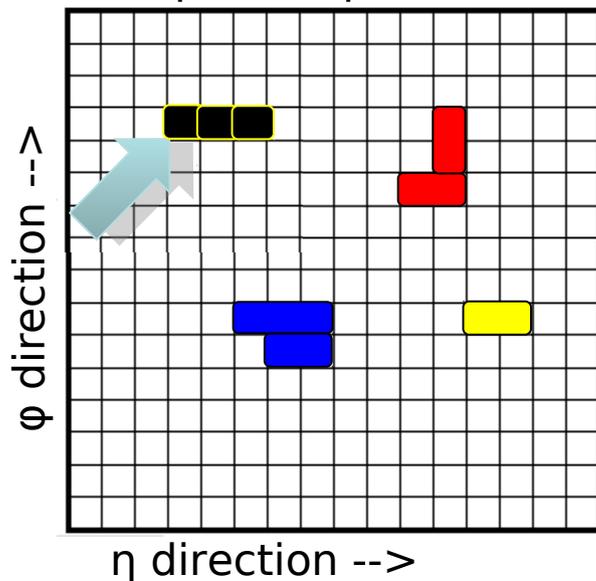
From hits to tracks ready for the HLT in $< 100 \mu\text{s}$



1. Input & Data “Formatting”:

cluster adjacent hits,
find the position of each cluster,
forward them to the Processing Unit
responsible for this geometrical η - ϕ region
(64 η - ϕ towers)

FPGA replica of pixel matrix



Significant **data reduction** by using hereafter only the position of each cluster (in the example: from now on, instead of working with information from 14 cells, we work with information from 4 clusters)

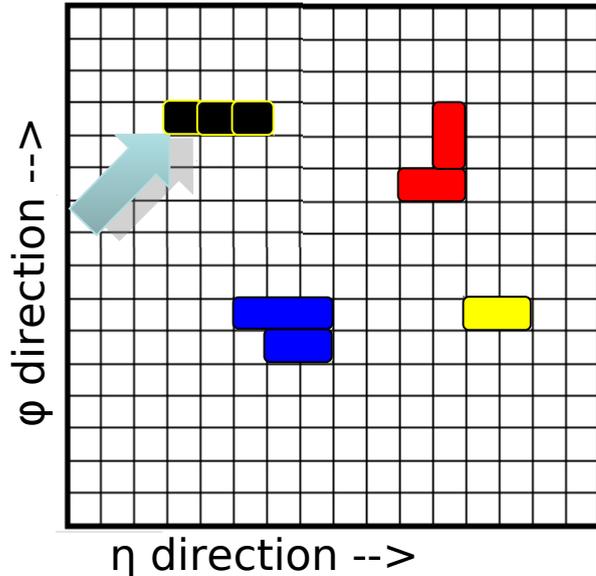
Detail: Clustering algorithm how-to

NIM A617:254-257,2010

IEEE TNS, vol. 61, no.6, pp.3599-3606, 2014

doi: 10.1109/TNS.2014.2364183

FPGA replica of pixel matrix

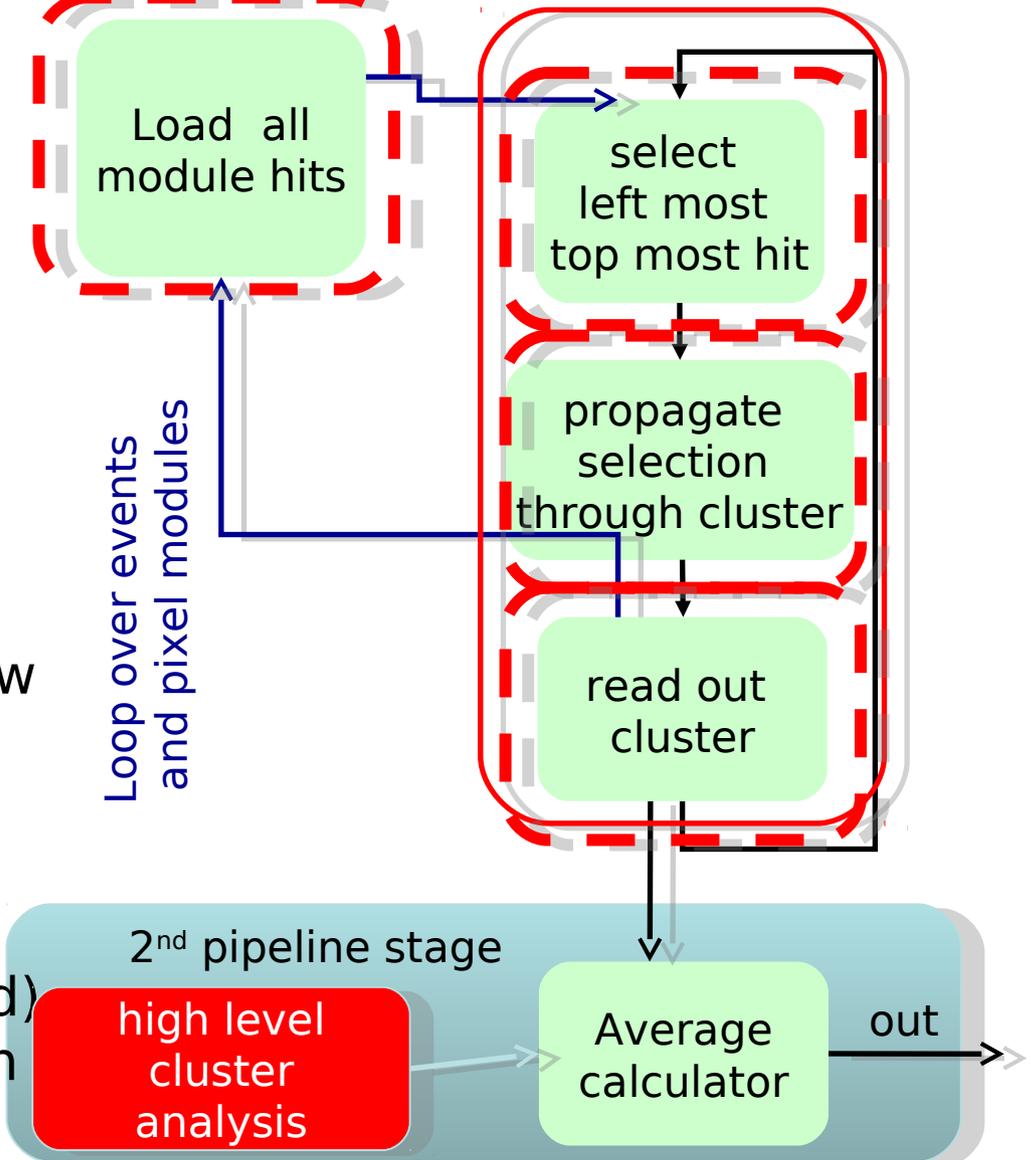


1st phase:

- Pixel module: a 328x144 matrix.
- Replicate a part of it (8x164) in hw matrix.
- Matrix identifies hits in the same “cluster” (= adjacent pixels)

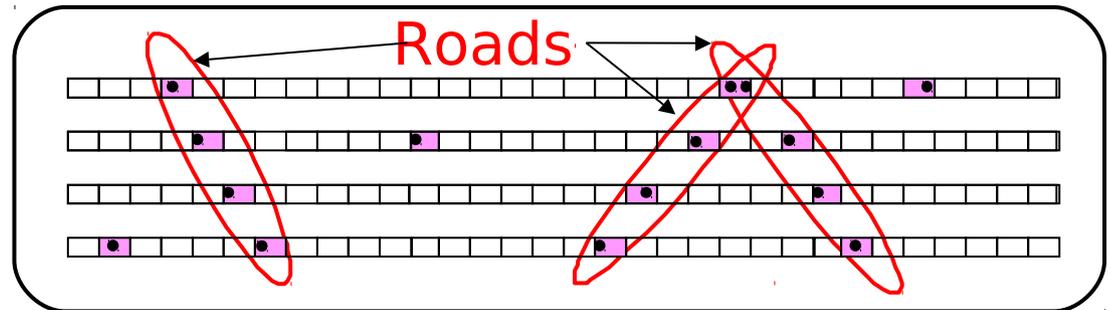
2nd phase:

- Hits in cluster analyzed (averaged) to get “the hit position”, used in all next steps
- Flexibility to choose algorithm!



2. Processing Unit: tracking in 2 steps (see analogy to the Trigger doing L1 & HLT)

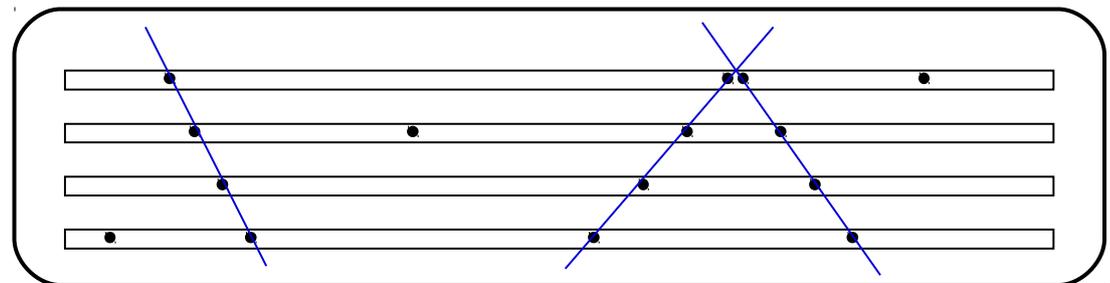
1. Find low resolution track candidates called “roads”. Solve most of the combinatorial problem.
2. Then track fitting inside the roads. Thanks to 1st step, this is much easier.



Pattern recognition w/ Associative Memory

Originally:

M. Dell'Orso, L. Ristori, NIM A 278, 436 (1989)



http://www.pi.infn.it/~orso/ftk/IEEECNF2007_2115.pdf

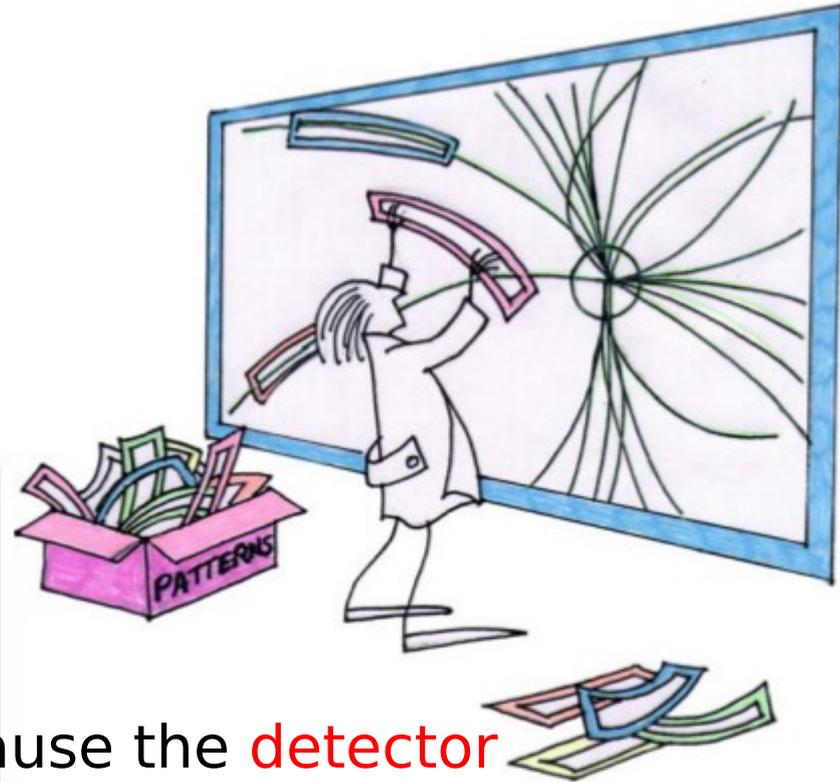
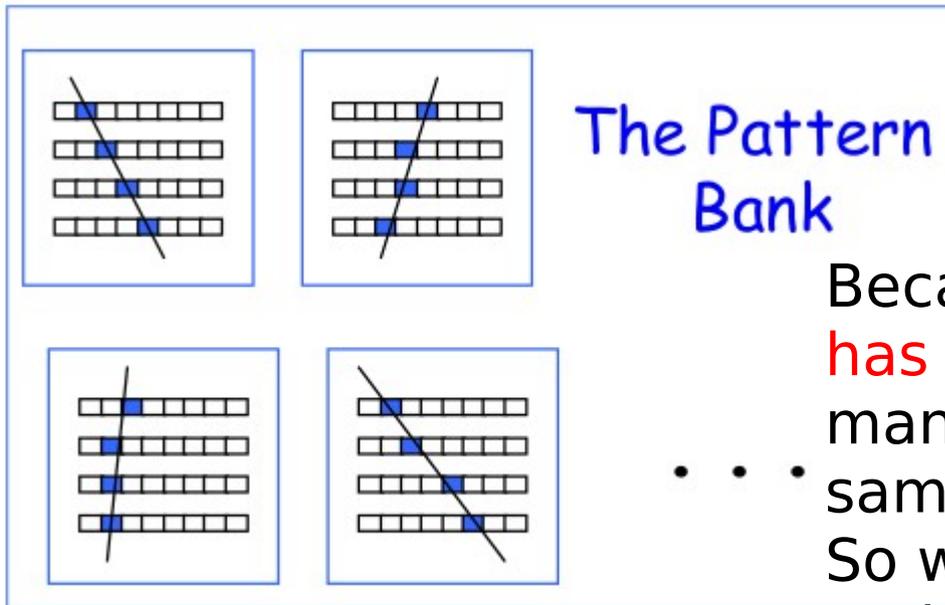
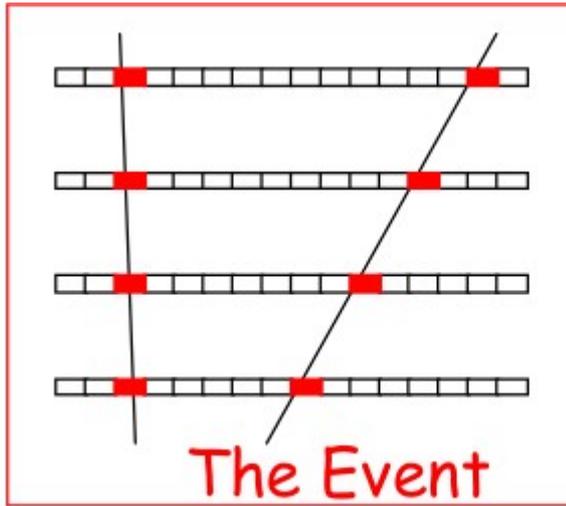
Excellent results with linear approximation!

2a.

The coarse pattern matching first

Using 8 out of the 12 silicon layers

The detector's finite resolution makes it “binned” → finite number of “hit patterns”



Because the **detector has a finite resolution (“bin size”)**, many different tracks generate the same hit pattern, So we have a finite number of patterns and a **finite-size pattern-bank**.

Training: simulated tracks to find possible patterns

Pattern #1:

06 06 07 07

1.

Each possible track becomes a **“pattern”**

=

a series of numbers: one coordinate for each detector layer

Pattern #0:

11 12 14 15

Pattern Bank:

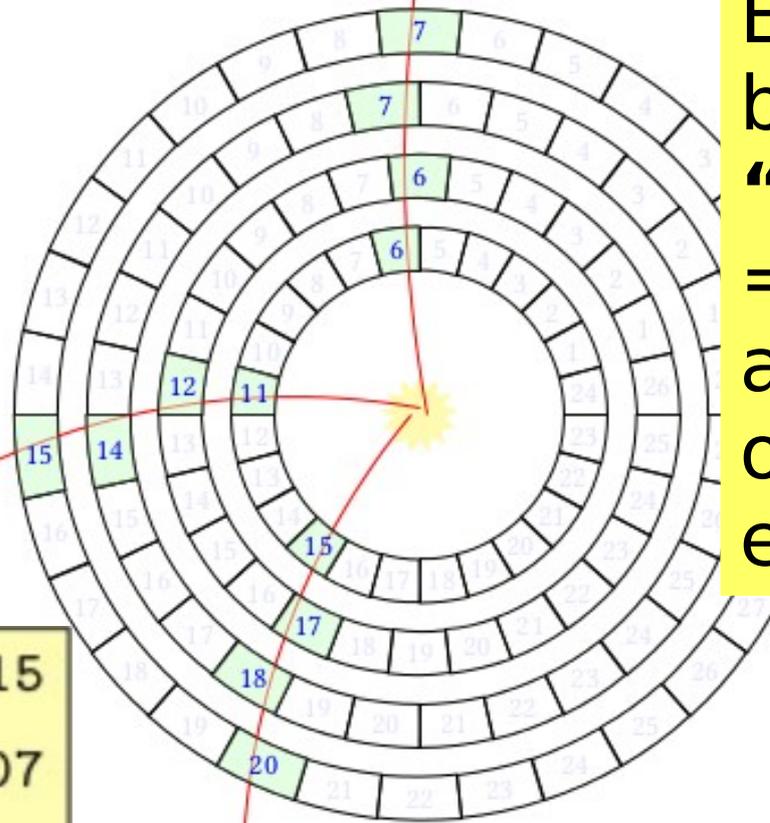
<u>Patt0</u>	11	12	14	15
<u>Patt1</u>	06	06	07	07
<u>Patt2</u>	15	17	18	20

Pattern #2:

15 17 18 20

2.

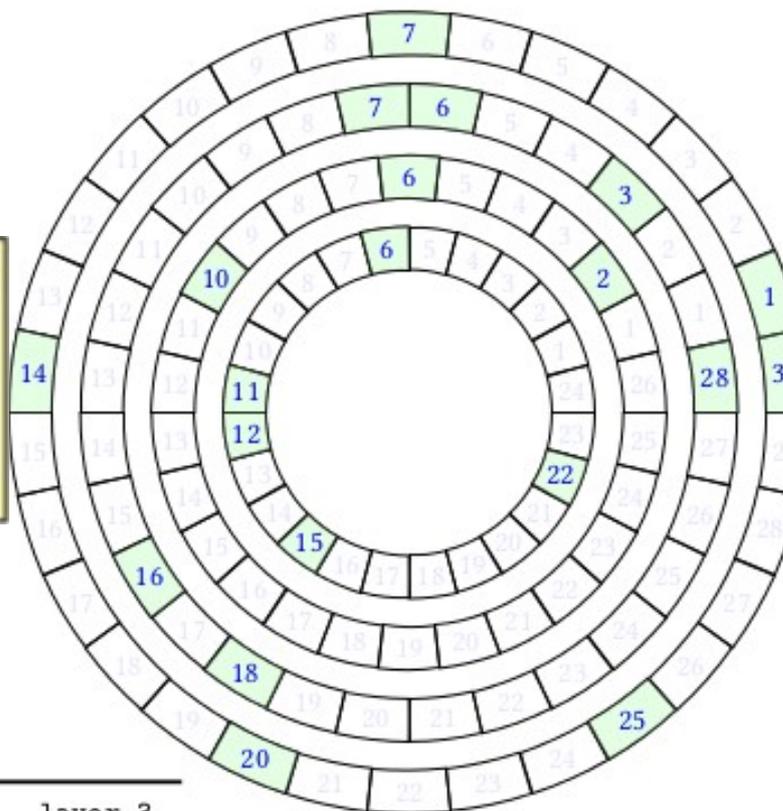
All patterns are stored in a **“pattern bank”**



Coarse track finding = pattern matching: does your event contain any of these patterns?

Pattern Bank:

<u>Patt0</u>	11	12	14	15
<u>Patt1</u>	06	06	07	07
<u>Patt2</u>	15	17	18	20



3.

Compare the hits in your event with the stored patterns

The "event"

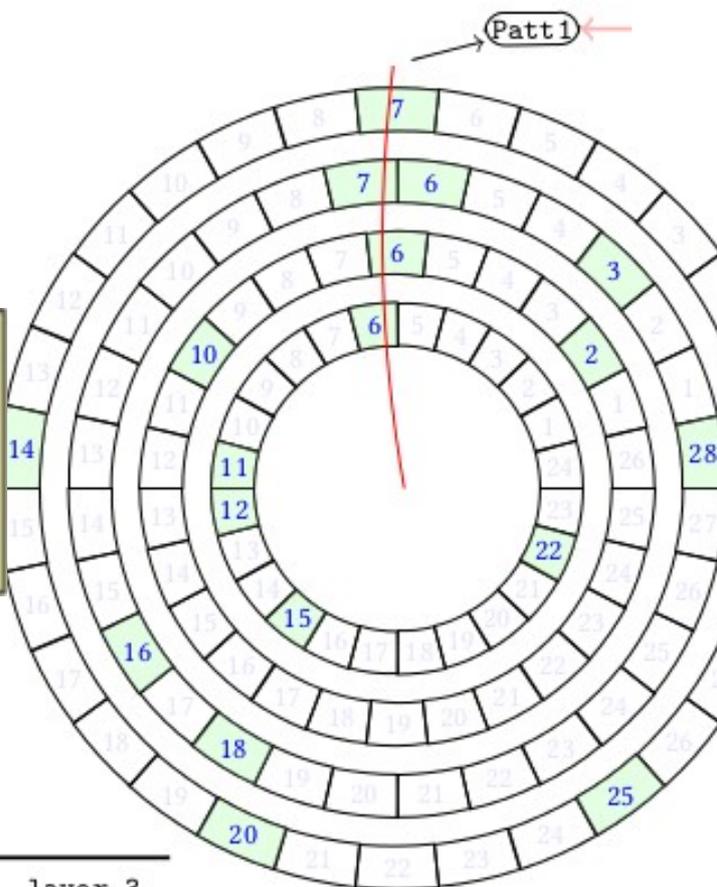
layer 0	layer 1	layer 2	layer 3
6	2	3	1
11	6	6	7
12	10	7	14
15		16	20
22		18	25
		28	30

The "event" is a list of hits in each detector **layer**

Compare ALL the hits in each event with ALL the stored patterns.

Pattern Bank:

<u>Patt0</u>	11	12	14	15
<u>Patt1</u>	06	06	07	07
<u>Patt2</u>	15	17	18	20



4. After all comparisons are done, we have the **list of matched patterns** in the event = the **list of “roads”** to perform refined tracking after

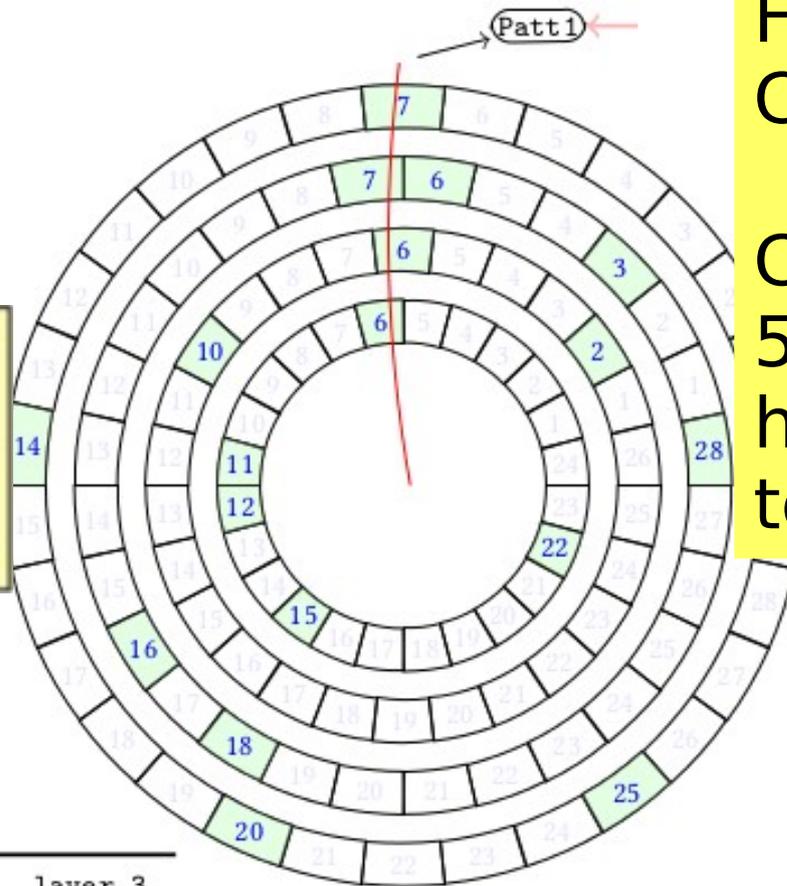
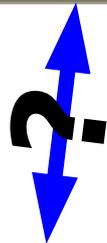
The event

	layer 0	layer 1	layer 2	layer 3
	6	2	3	1
	11	6	6	7
	12	10	7	14
	...			

How to match data to patterns?

Pattern Bank:

<u>Patt0</u>	11	12	14	15
<u>Patt1</u>	06	06	07	07
<u>Patt2</u>	15	17	18	20



How to do the Comparison?

Check each of the $5 \times 3 \times 6 \times 6 = 540$ hit combinations to each pattern?

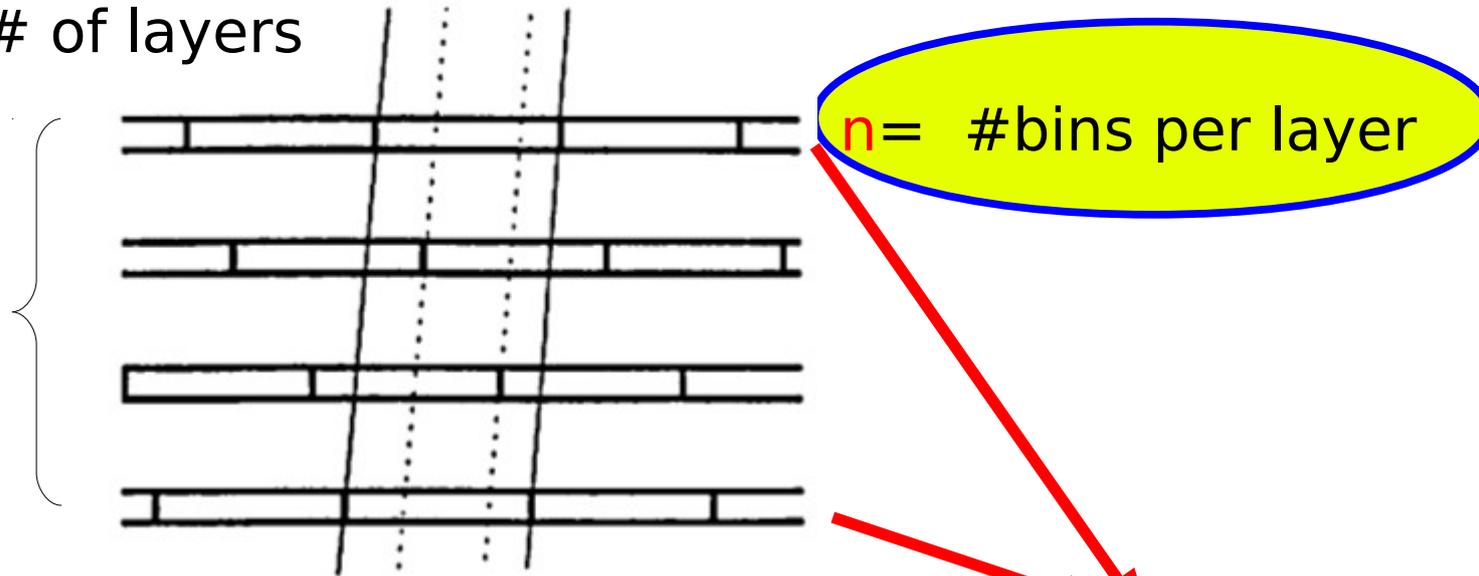
The event

layer 0	layer 1	layer 2	layer 3
6	2	3	1
11	6	6	7
12	10	7	14
15		16	20
22		18	25
		28	30

Number of patterns in your bank gets big easily

N_p = number of **straight lines** crossing the detector layers

m = # of layers



$$N_p \simeq (m-1) n^2$$

Can convince yourselves about this, with $m=4$ in the above drawing

For a detector with 8 layers, with 1M channels/layer, $N_p = 7 \cdot 10^{12}$!!!

(Re-bining with 2-channels per bin: $n \rightarrow n/2$ means $N_p \rightarrow \frac{1}{4} N_p$)

N_{patterns} and search time are critical

- Need a lot of memory for the patterns:
 - OK, can use larger (“coarser”) bins for 1st pattern matching (will come back to this later).
- But still, **you have to match hits with patterns fast:**
 - **Linear search**, of the pattern-table (“brute force”) is the slowest.
 - If list of patterns is ordered, can do **“binary” search**:
 - Pick the middle element in the list,
 - Compare the data to the pattern to find the good half of the list,
 - pick the middle of the new (halved) list, and so on.

Example: The list to be searched: L = 1 3 4 6 8 9 11. The value to be found: X = 4.

Compare X to 6. X is smaller. Repeat with L = 1 3 4.

Compare X to 3. X is bigger. Repeat with L = 4.

Compare X to 4. They are equal. We're done, we found X.

Speed is extremely important at triggering.

Find tracks at ultimate speed
→ use **“Associative Memories”**

Ultimate speed for pattern matching:
do it during the I/O, as the data go through the system
→ no “processing time”

Associative Memory in a VLSI

436

Nuclear Instruments and Methods in Physics Research A278 (1989) 436-440
North-Holland, Amsterdam

October 24, 1988

VLSI STRUCTURES FOR TRACK FINDING

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Received 24 October 1988

We discuss the architecture of a device based on the concept of *associative memory* designed to solve the track finding problem, typical of high energy physics experiments, in a time span of a few microseconds even for very high multiplicity events. This "machine" is implemented as a large array of custom VLSI chips. All the chips are equal and each of them stores a number of "patterns". All the patterns in all the chips are compared in parallel to the data coming from the detector while the detector is being read out.

1. Introduction

The quality of results from present and future high energy physics experiments depends to some extent on the implementation of fast and efficient track finding algorithms. The detection of *heavy flavor* production, for example, depends on the reconstruction of secondary vertices generated by the decay of long lived particles, which in turn requires the reconstruction of the majority of the tracks in every event.

Particularly appealing is the possibility of having detailed tracking information available at trigger level even for high multiplicity events. This information could be used to select events based on impact parameter or secondary vertices. If we could do this in a sufficiently short time we would significantly enrich the sample of events containing heavy flavors.

Typical events feature up to several tens of tracks each of them traversing a few position sensitive detector layers. Each layer detects many hits and we must correctly correlate hits belonging to the same track on different layers before we can compute the parameters

2. The detector

In this discussion we will assume that our detector consists of a number of layers, each layer being segmented into a number of *bins*. When charged particles cross the detector they *hit* one bin per layer. No particular assumption is made on the shape of trajectories: they could be straight or curved. Also the detector layers need not be parallel nor flat. This abstraction is meant to represent a whole class of real detectors (drift chambers, silicon microstrip detectors etc.). In the real world the coordinate of each hit will actually be the result of some computation performed on "raw" data: it could be the center of gravity of a cluster or a charge division interpolation or a drift-time to space conversion depending on the particular class of detector we are considering. We assume that all these operations are performed upstream and that the resulting coordinates are "binned" in some way before being transmitted to our device.

M. Dell'Orso, L. Ristori, NIM A 278, 436 (1989)

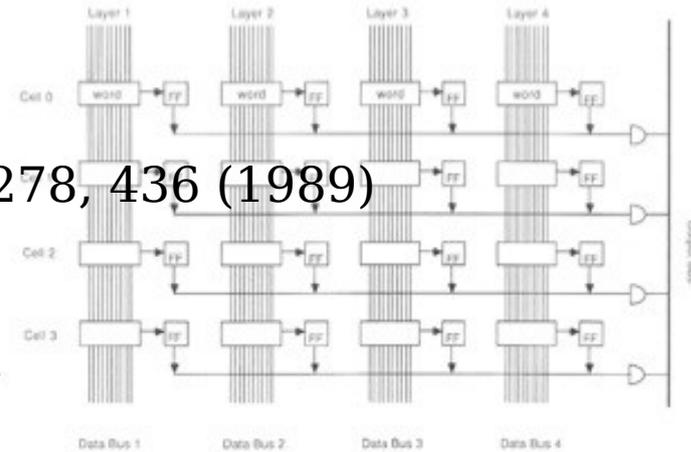


Fig. 3. Associative memory architecture.

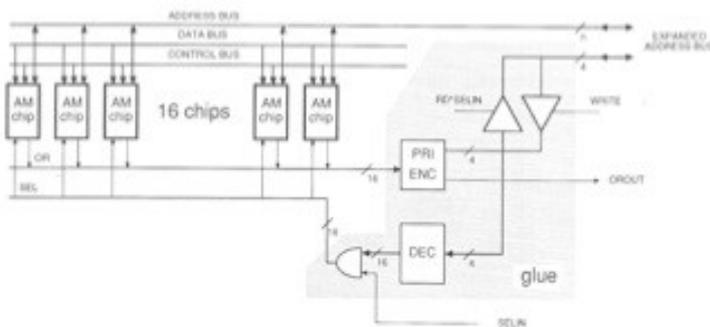


Fig. 5. 16 AM chips tied by the "glue".

We discuss the architecture of a device based on the concept of *associative memory* designed to solve the track finding problem, typical of high energy physics experiments, in a time span of a few microseconds even for very high multiplicity events. This "machine" is implemented as a large array of custom VLSI chips. All the chips are equal and each of them stores a number of "patterns". All the patterns in all the chips are compared in parallel to the data coming from the detector while the detector is being read out.

Associative Memory (AM) = a kind of Content Addressable Memory (CAM)

- CAM = a memory that is accessed by its **contents**, not its **location**.
- E.g., while in a RAM we ask:
 - what do you have in location *xyz*?
- In a Content Addressable Memory (CAM) we ask:
 - Are there any locations holding the value *abc*?

Binary CAMs

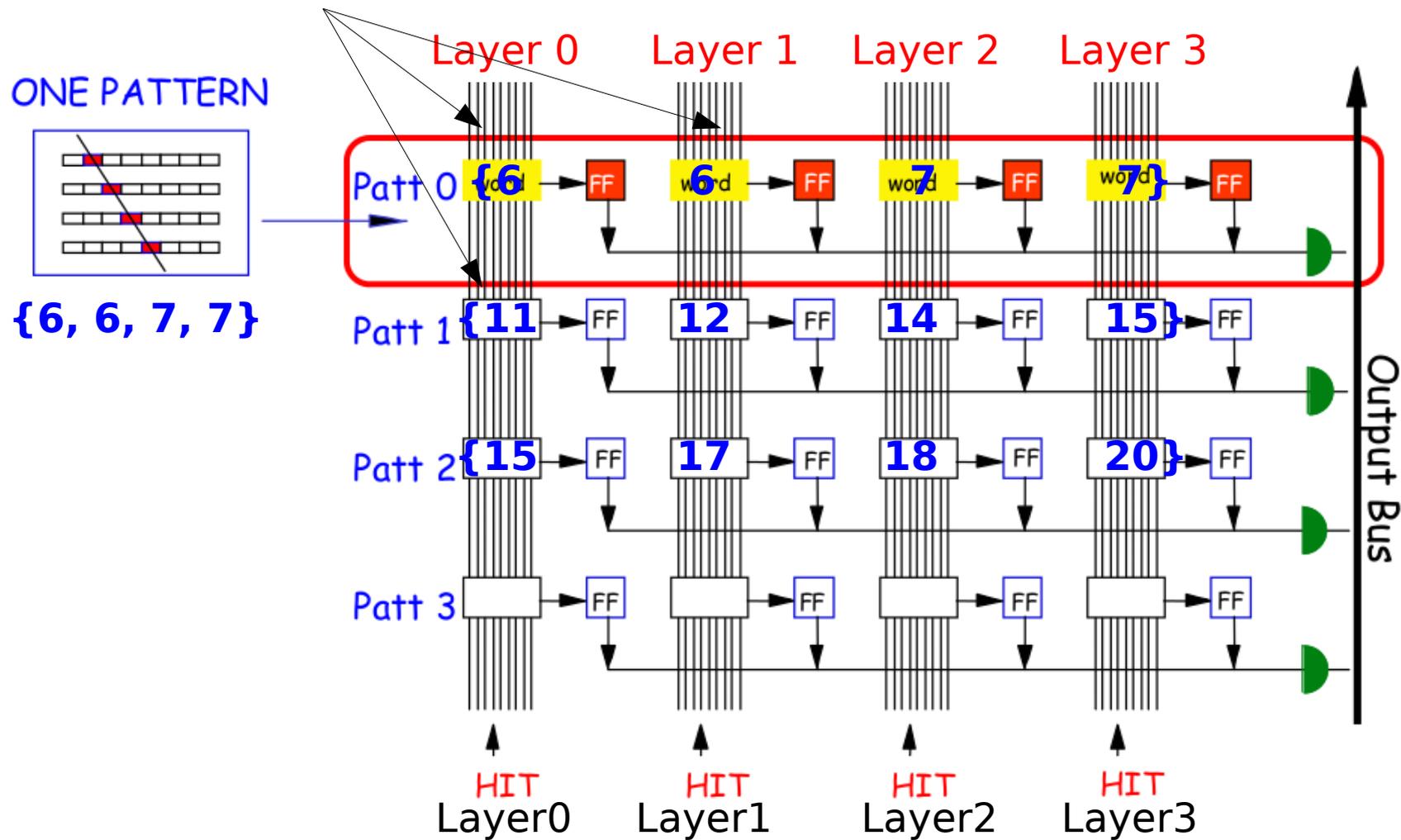
- **Binary CAM** (simplest):
 - uses search words consisting entirely of “1” and “0”

Example:

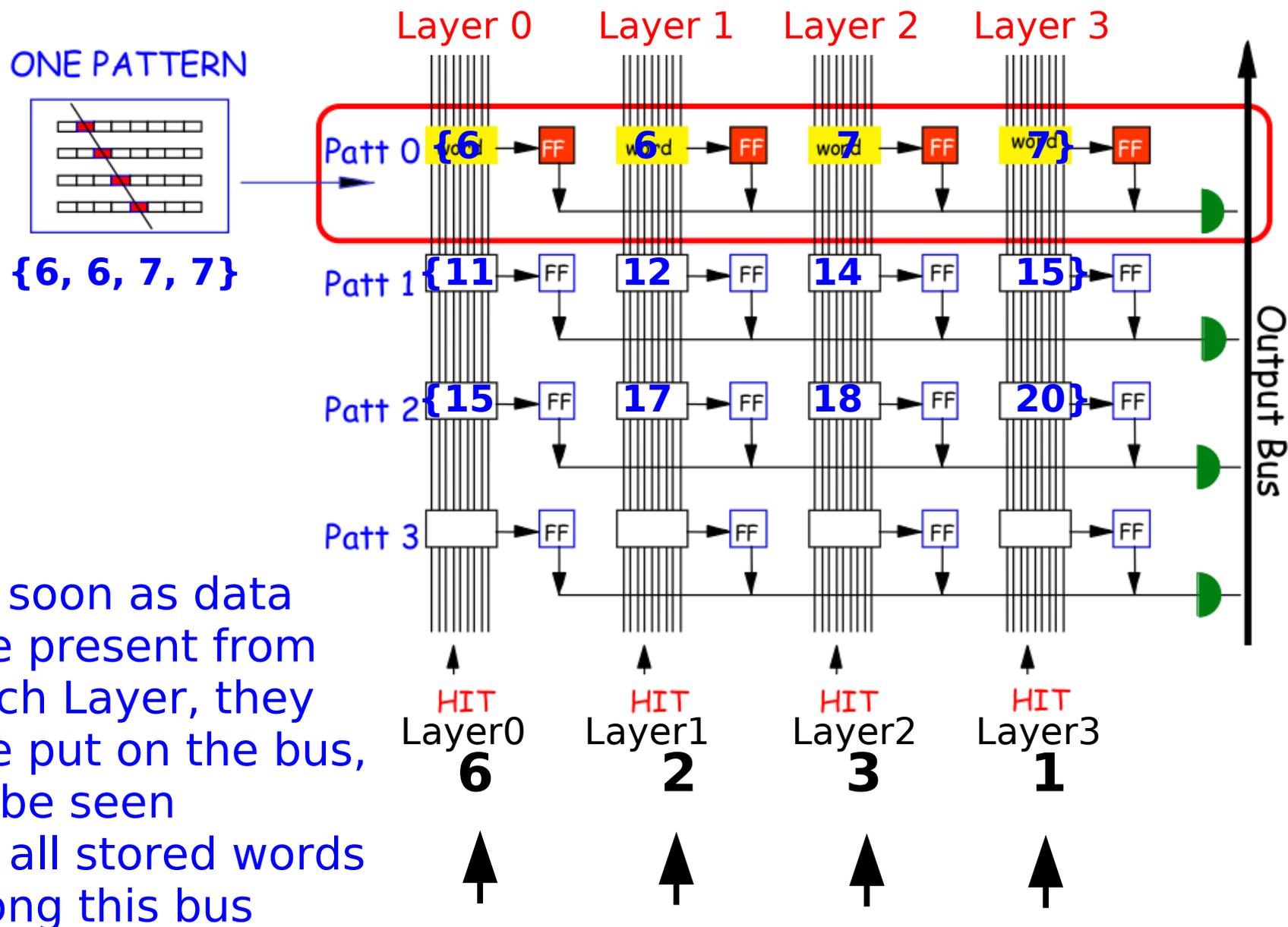
stored word of -----> "**10110**" (“one pattern”)

It will be matched by the search word: "**10110**" (“the data”)

Associative Memory: CAM cells contain pattern bank. CAM cells of same Layer are on a common bus

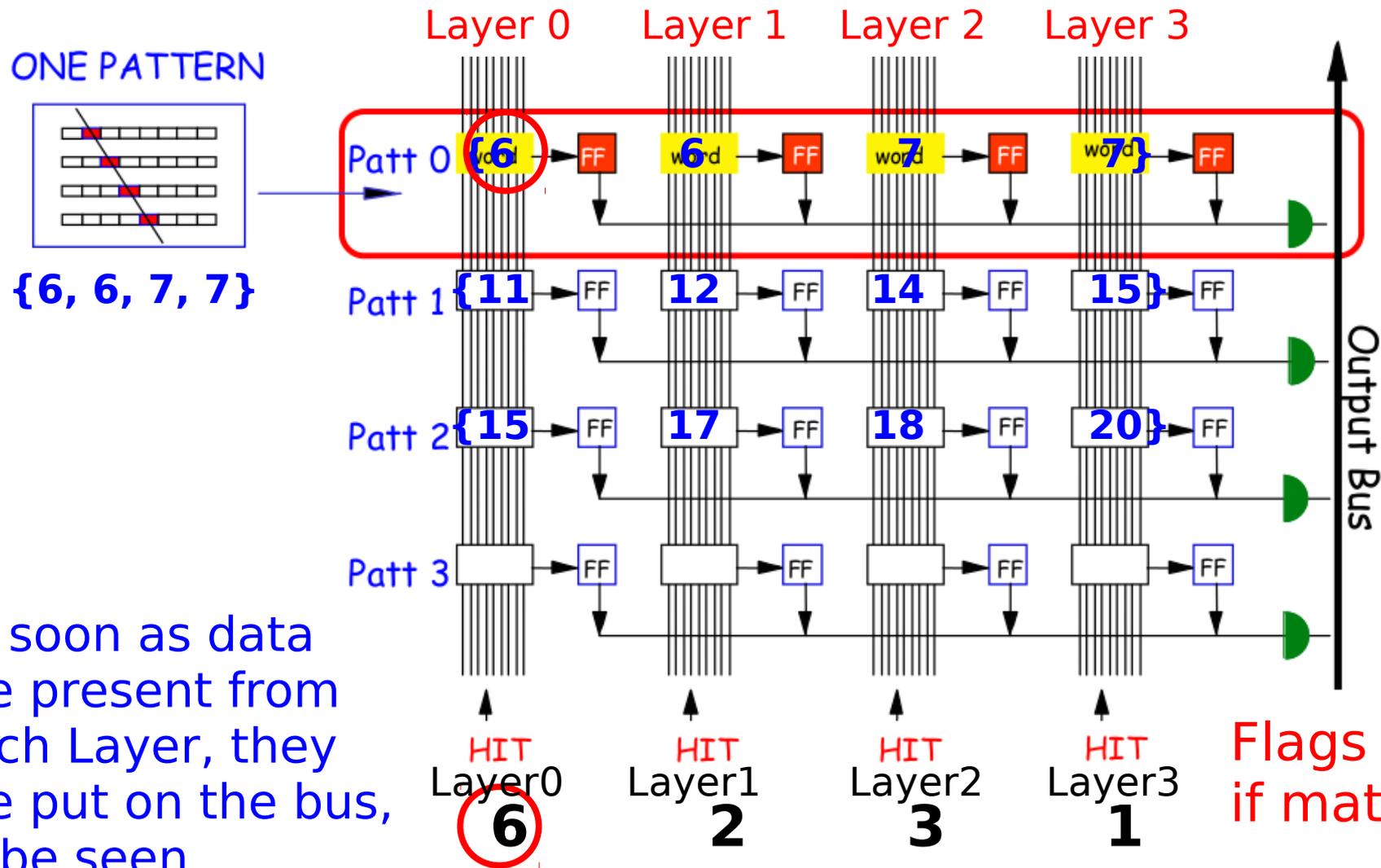


Associative Memory: CAM cells check the matching of each hit independently



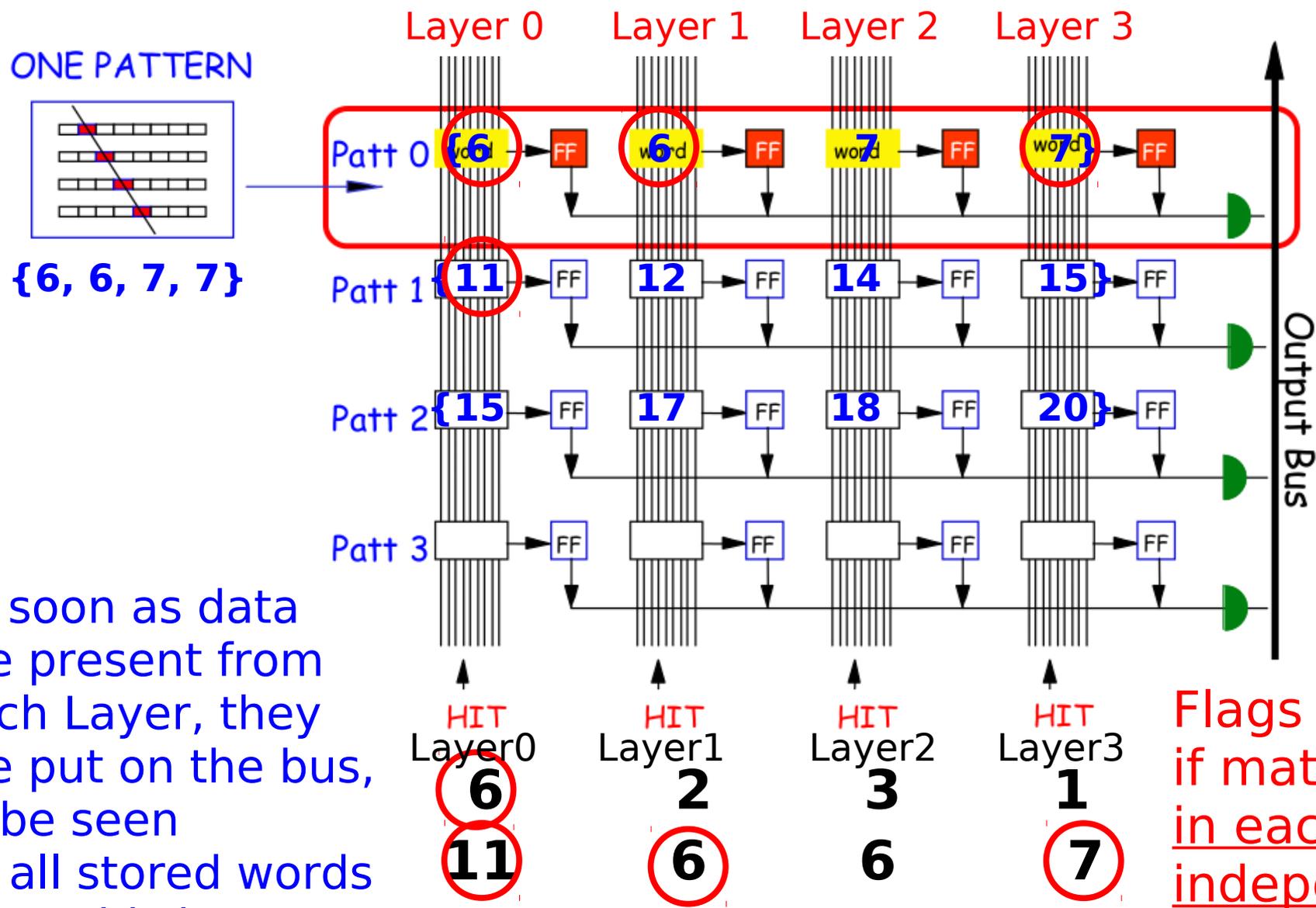
As soon as data are present from each Layer, they are put on the bus, to be seen by all stored words along this bus

Associative Memory: CAM cells check the matching of each hit independently



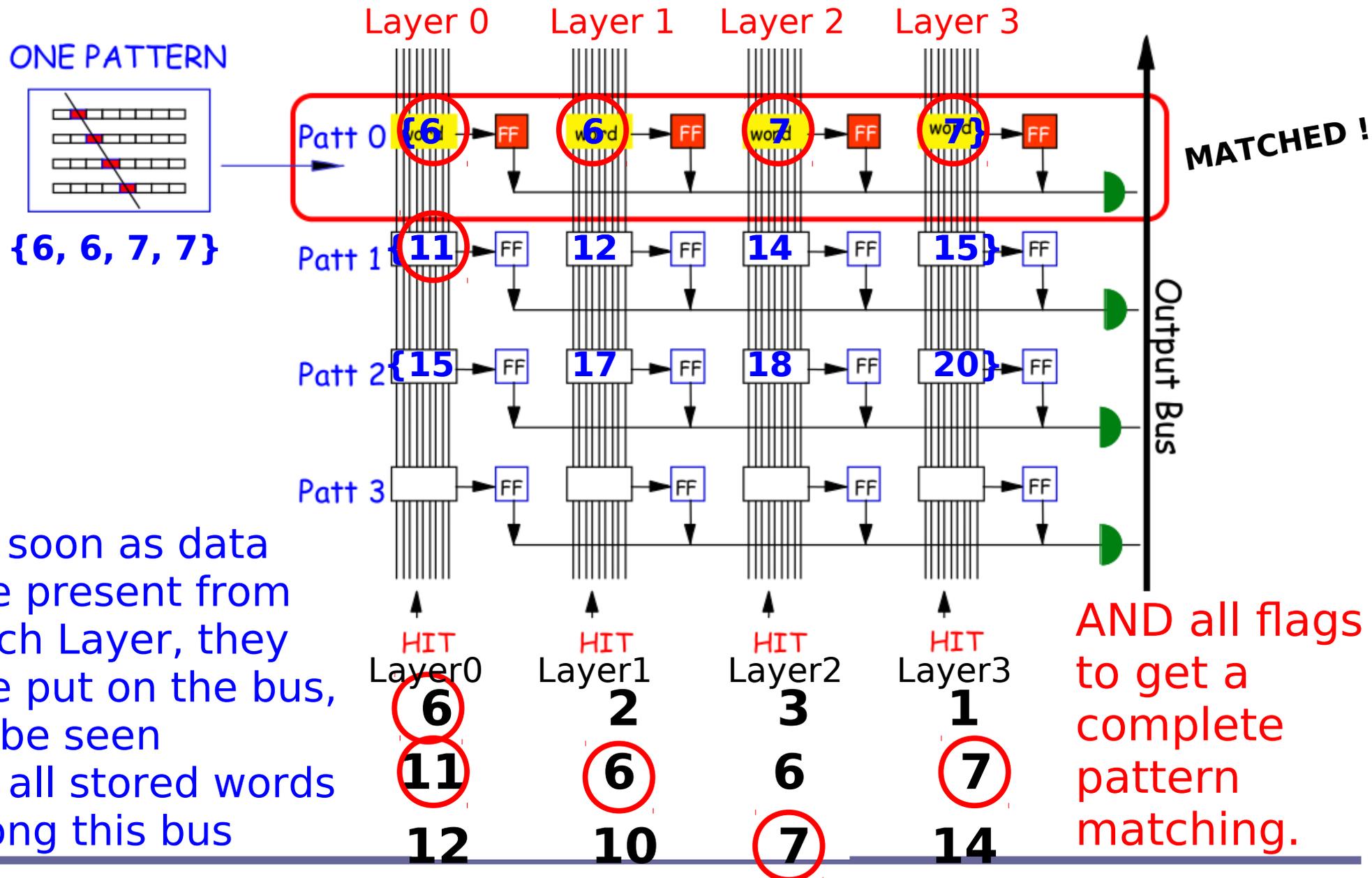
As soon as data are present from each Layer, they are put on the bus, to be seen by all stored words along this bus

Associative Memory: CAM cells check the matching of each hit independently



As soon as data are present from each Layer, they are put on the bus, to be seen by all stored words along this bus

Associative Memory: CAM cells check the matching of each hit independently

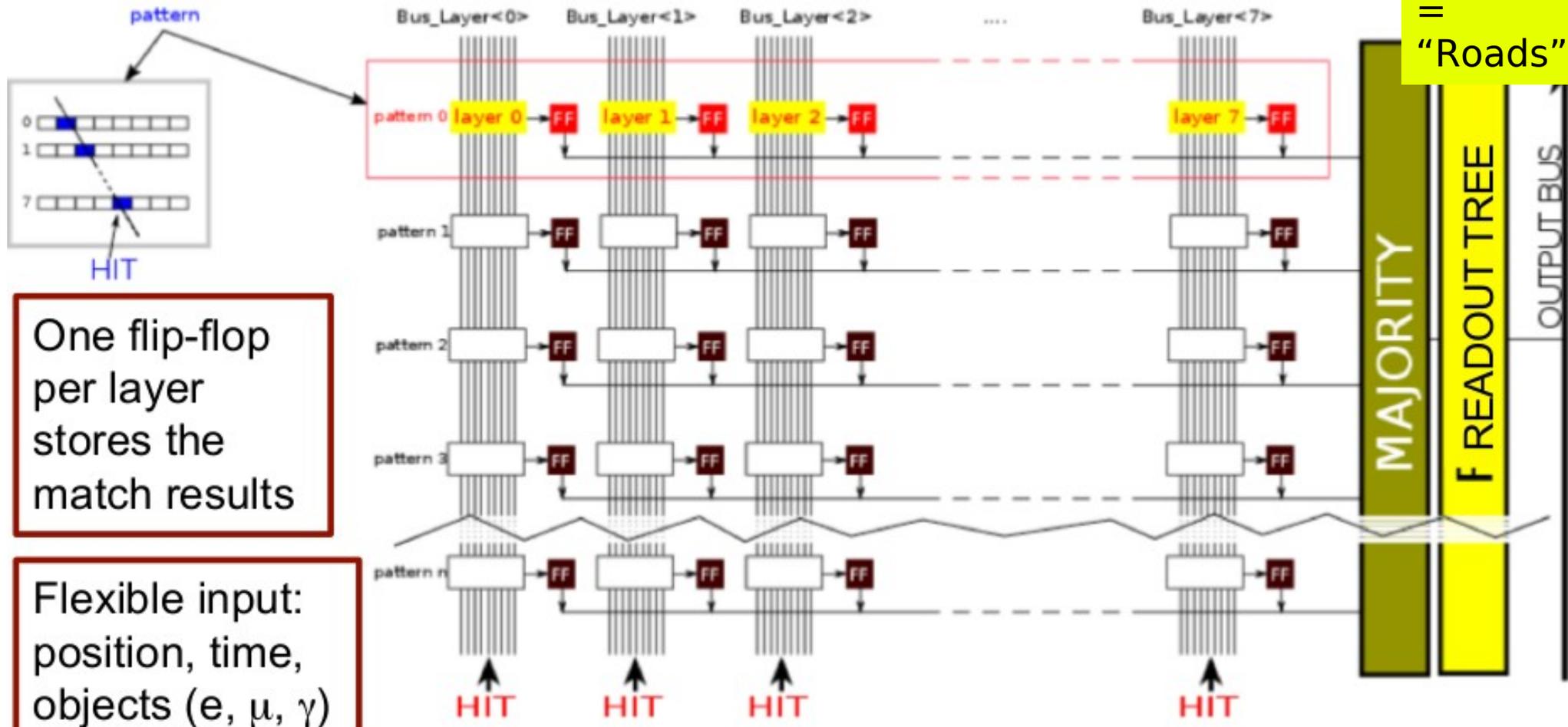


As soon as data are present from each Layer, they are put on the bus, to be seen by all stored words along this bus

AND all flags to get a complete pattern matching.

Track trigger w/ pattern matching AM

Result:
Matched
Patterns
=
"Roads"



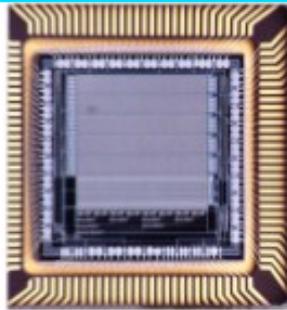
One flip-flop per layer stores the match results

Flexible input: position, time, objects (e , μ , γ)

Pattern matching is completed as soon as all hits are loaded.
Data arriving at different times is compared in parallel with all patterns.
Unique to AM chip: look for correlation of data received at different times.

AM evolution: mainly ASICs

SVT
AM chip



- (90's) **Full custom VLSI chip** - $0.7\mu\text{m}$ (INFN-Pisa)
- **128 patterns, 6x12bit words each, 30MHz**

F. Morsani et al., IEEE Trans. on Nucl. Sci., vol. 39 (1992)

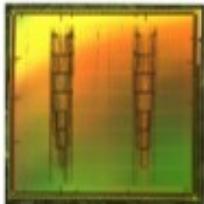


Alternative **FPGA** implementation of SVT AM chip

P. Giannetti et al., Nucl. Instr. and Meth., vol. A413/2-3, (1998)

G Magazzù, 1st std cell project presented @ LHCC (1999)

SVT upgrade

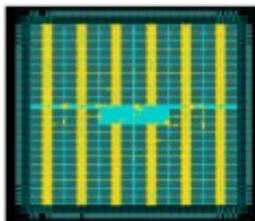


Standard Cell $0.18\mu\text{m}$ \rightarrow **5000 pattern/AM chip**

SVT upgrade total: 6M pattern, 40MHz

A. Annovi et al., **IEEE TNS**, Vol 53, Issue 4, Part 2, **2006**

FTK R&D

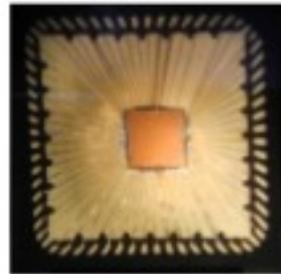


AMchip04 –65nm technology, std cell & full custom, 100MHz
Power/pattern/MHz ~30 times less. Pattern density x12.

First variable resolution implementation!

F. Alberti et al 2013 *JINST* **8 C01040**, doi:[10.1088/1748-0221/8/01/C01040](https://doi.org/10.1088/1748-0221/8/01/C01040)

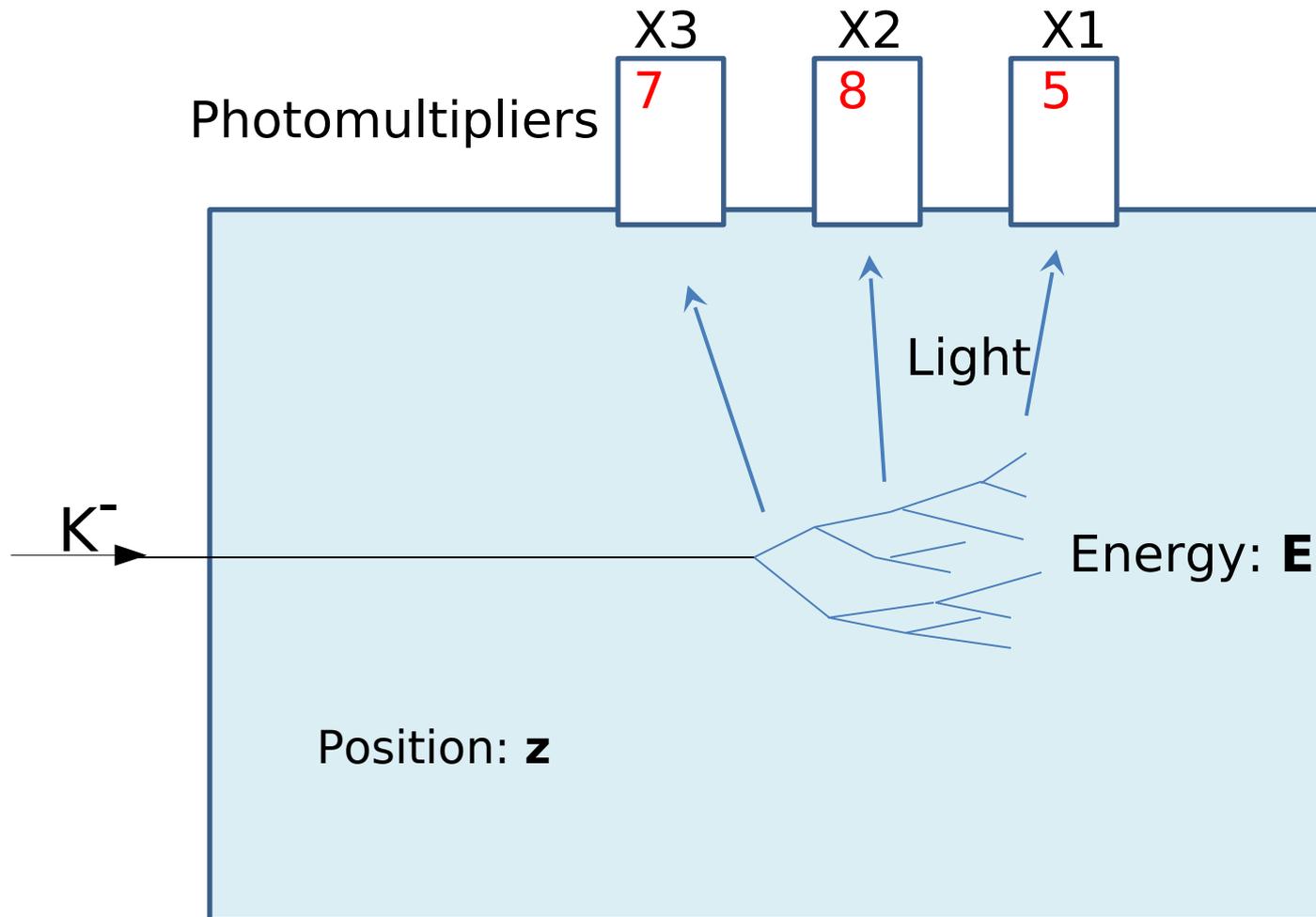
AM chip for FTK: AMchip06



- ▶ 90's Full custom VLSI chip - 0.7mm (INFN-Pisa) 128 patterns, 6x12bit words each (F. Morsani et al., The AMchip: a Full-custom MOS VLSI Associative memory for Pattern Recognition, IEEE Trans. on Nucl. Sci., vol. 39, pp. 795-797, (1992).)
- ▶ 1998 FPGA for the same AMchip (P. Giannetti et al. A Programmable Associative Memory for Track Finding, Nucl. Instr. and Meth., vol. A413/2-3, pp.367-373, (1998)).
- ▶ 1999 G. Magazzù, first standard cell project presented at LHCC
- ▶ 2006 Standard Cell UMC 0.18 μm 5000 pattern/AMchip for CDF SVT upgrade total: 6M patterns (L. Sartori, A. Annovi et al., A VLSI Processor for Fast Track Finding Based on Content Addressable Memories, IEEE TNS, Vol 53, Issue 4, Part 2, Aug. 2006)
- ▶ **2012 AMchip04** 8k patterns in 14mm², TSMC 65nm LP technology Power/pattern/MHz 40 times less. Pattern density x12. First variable resolution implementation. (F. Alberti et al 2013 JINST 8 C01040, doi:10.1088/1748-0221/8/01/C01040)
- ▶ **2013-2014 AMchip MiniAsic and AMchip05** a further step towards final AMchip version. Serialized input and output buses at 2 Gbs, further power reduction approach. BGA 23 x 23 package.
- ▶ **2014-2015 AMchip06:** final FTK version of the AMchip for the ATLAS experiment .

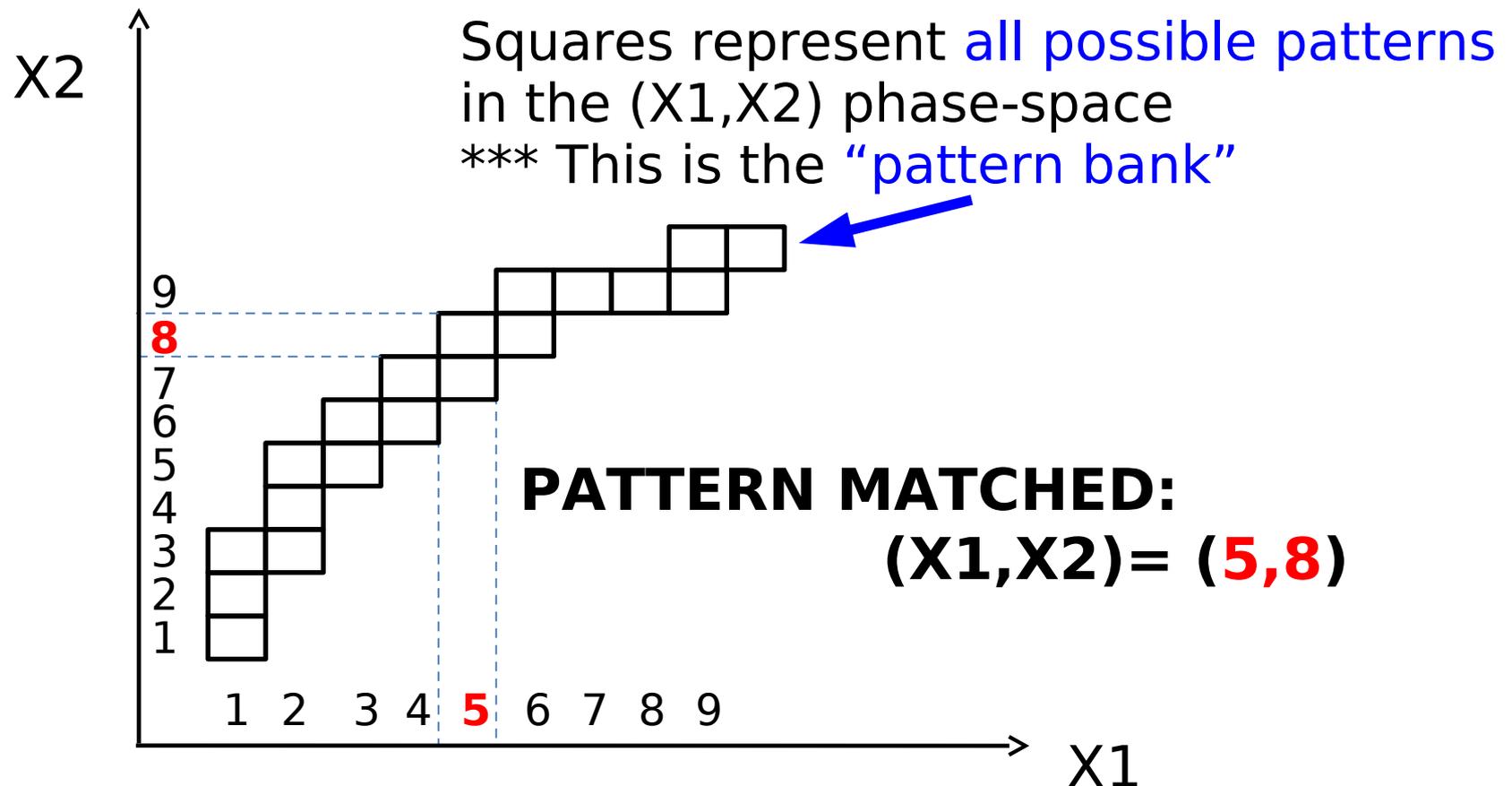
AMchip05: switched to serialized IO (11*2Gbs)
AMchip06: the FTK AM chip with 128k patterns/chip

Pattern matching not restricted to trackers.
Applies everywhere there is correlated
behaviour. e.g:



The values in X1 and X2 are correlated: their values define the possible {X1,X2} patterns

For example, task = Associate the measured X1 and X2:
e.g., X1 = 5 with X2 = 8



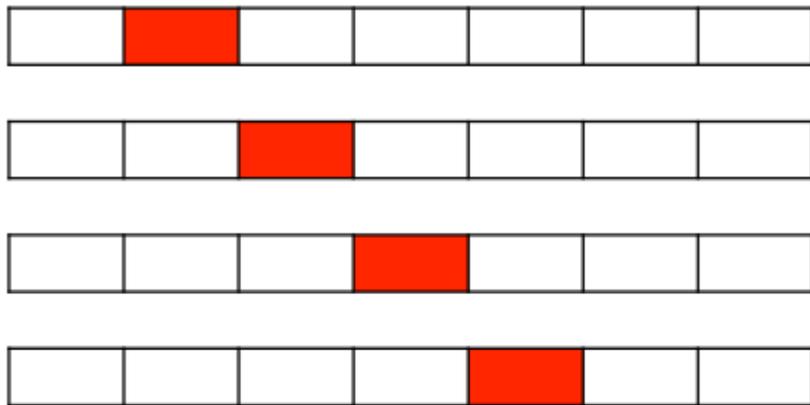
2b.

Now that we have a system that does pattern matching as the data are coming in,

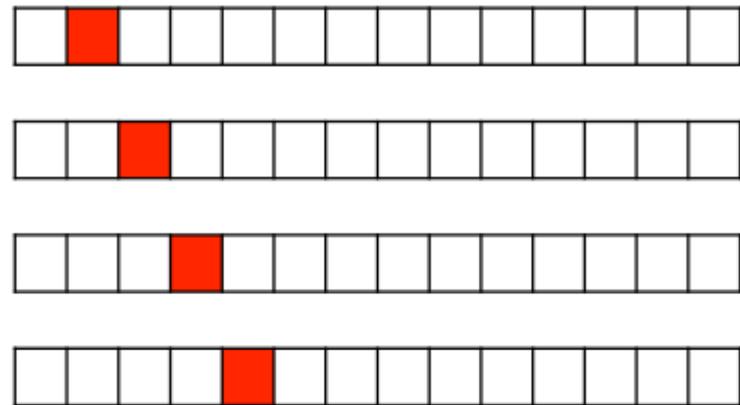
storage problem: how do we deal with the number of patterns which can be big in the high-granularity detectors?

N_{patterns} depends on the “bin size”,
 i.e, the granularity with which we want
 to look at the detector

Wide patterns



Thin patterns



High efficiency
 with less patterns (hardware)
BUT more fakes

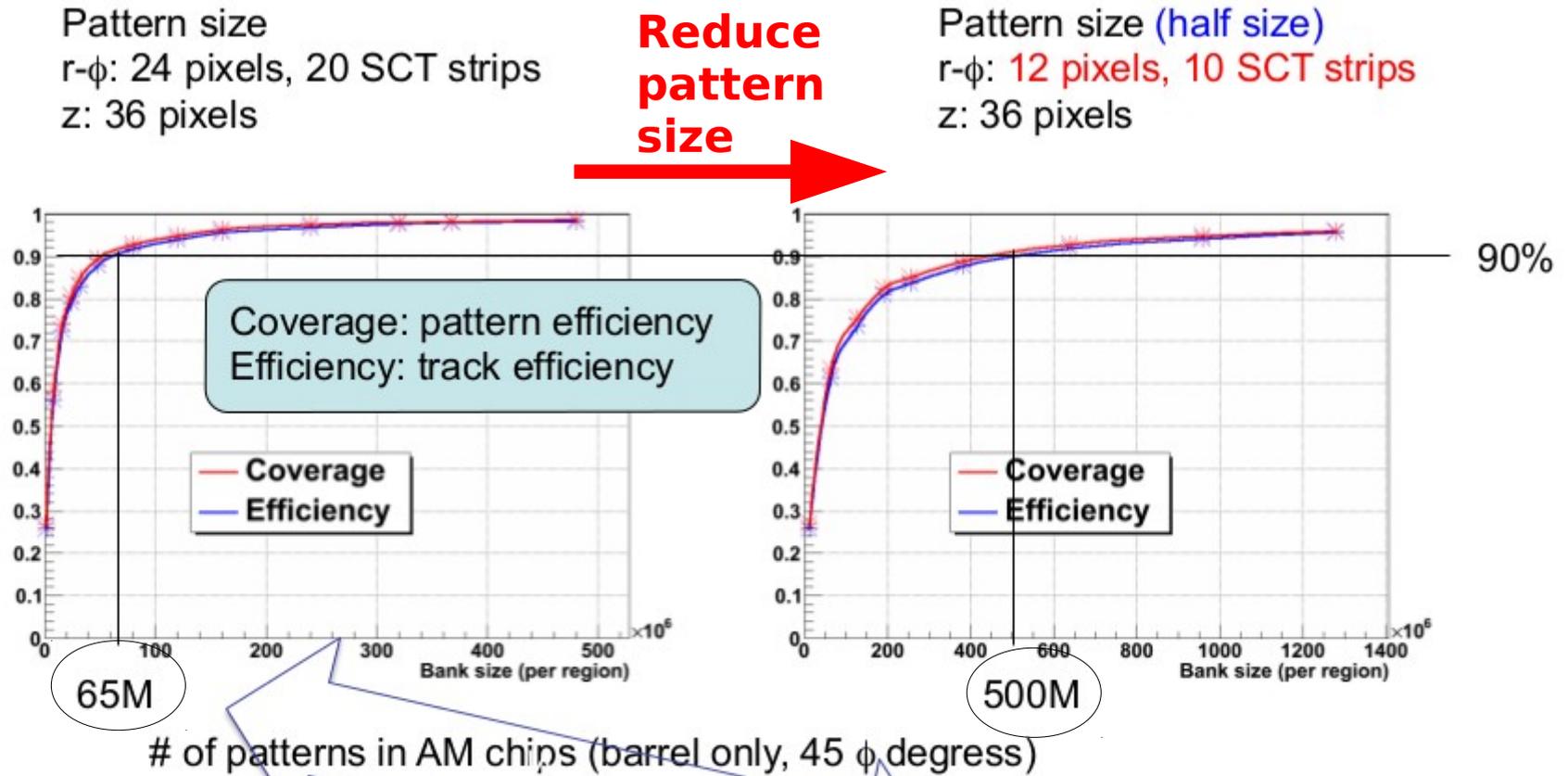
More patterns (hardware)
 for same efficiency less fakes
Fakes are workload for track fitter !

Recall: the number of patterns N_p , with m layers, of n bins each, is $N_p \simeq (m-1)n^2$

High efficiency with large bins (small number of patterns) → but, lots of fake tracks found → lots of work for detailed track fitting!

We want “few patterns”-“few fakes” scenario

ATL-UPGRADE-PROC-2011-004
doi:10.1109/ANIMMA.2011.6172856



<# matched patterns/event @ 3E34> = 342k

<# matched patterns/event @ 3E34> = 40k

roads (large fake fraction) represents the workload for the track fitter

Use the feature of “ternary CAMs”

- **Ternary CAM:** added flexibility to the search
 - allows a third matching state of "X" or "Don't Care" for one or more bits in the stored pattern word: **one pattern matches various data words**
- **Example:** a ternary CAM might have a stored word of -----> **"10XX0"** (“one pattern”)
This will match any of 4 search words: **"10000"** (“the data”)
"10010" (“the data”)
"10100" (“the data”)
"10110" (“the data”)

The added flexibility comes at additional cost:

- the internal memory cell must now encode *three possible states instead of the two of binary CAM*. This additional state is typically implemented *by adding a mask bit ("care" or "don't care" bit) to every memory cell.*

Variable resolution (bin sizes) with “Don't Care” (DC) bits

Alberto Annovi

* ANIMMA - A new “Variable Resolution Associative Memory” for High Energy Physics
ATL-UPGRADE-PROC-2011-004, doi:10.1109/ANIMMA.2011.6172856

* “Variable resolution Associative Memory for the Fast Tracker ATLAS upgrade”, ICATTP 2013

- For each layer: a “bin” is identified by a number **with DC bits (X)**
- Least significant bits of “bin” number can use 3 states (0, 1, X)
- The “bin” number is stored in the Associative Memory
- The DC bits can be used to OR neighborhood high-resolution bins, which differ by few bits, without increasing the number of patterns

Pixels:

0	1	2	3	4	5	6	7
8	9	10	11	12	13	14	15
16	17	18	19	20	21	22	23
24	25	26	27	28	29	30	31

Using binary format

“01010” selects bin 10

“0001x” selects bins 2 or 3

“1x000” selects bins 16 or 24

“0x11x” selects bins 6,7,14, or 15

“111xx” selects bins 28 to 31

Refinements: majority & variable widths

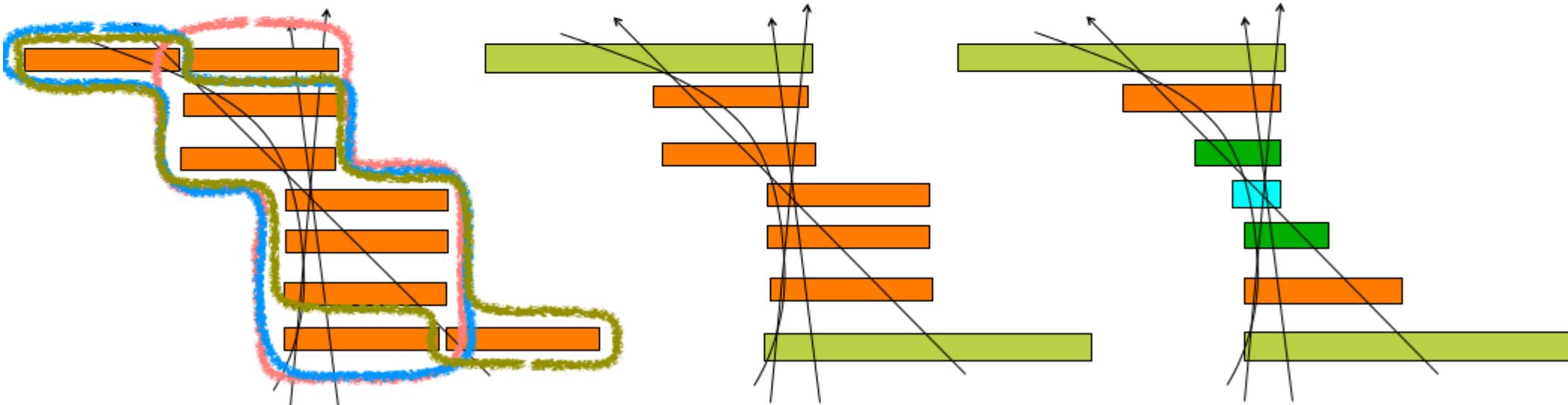
- Majority Logic: Only require N out of M layers have a match
 - Gains efficiency
- Variable Resolution Patterns (Don't Care Bits)

With 2 DC bits: Apart from reduction in fakes (factor 7), we save also a factor 5 in the size of the pattern bank!

No variable resolution:
3 patterns needed

1 bit variable resolution:
1 pattern needed

3 bit variable resolution:
1 pattern with 1/16th volume



Technique can be exploited by any coincidence based trigger!

Alberto Annovi

* ANIMMA - A new "Variable Resolution Associative Memory" for High Energy Physics
ATL-UPGRADE-PROC-2011-004, doi:10.1109/ANIMMA.2011.6172856

* "Variable resolution Associative Memory for the Fast Tracker ATLAS upgrade", ICATTP 2013

3.

Now that we have found some matching patterns

each matching pattern defines a “road” for the refined tracking

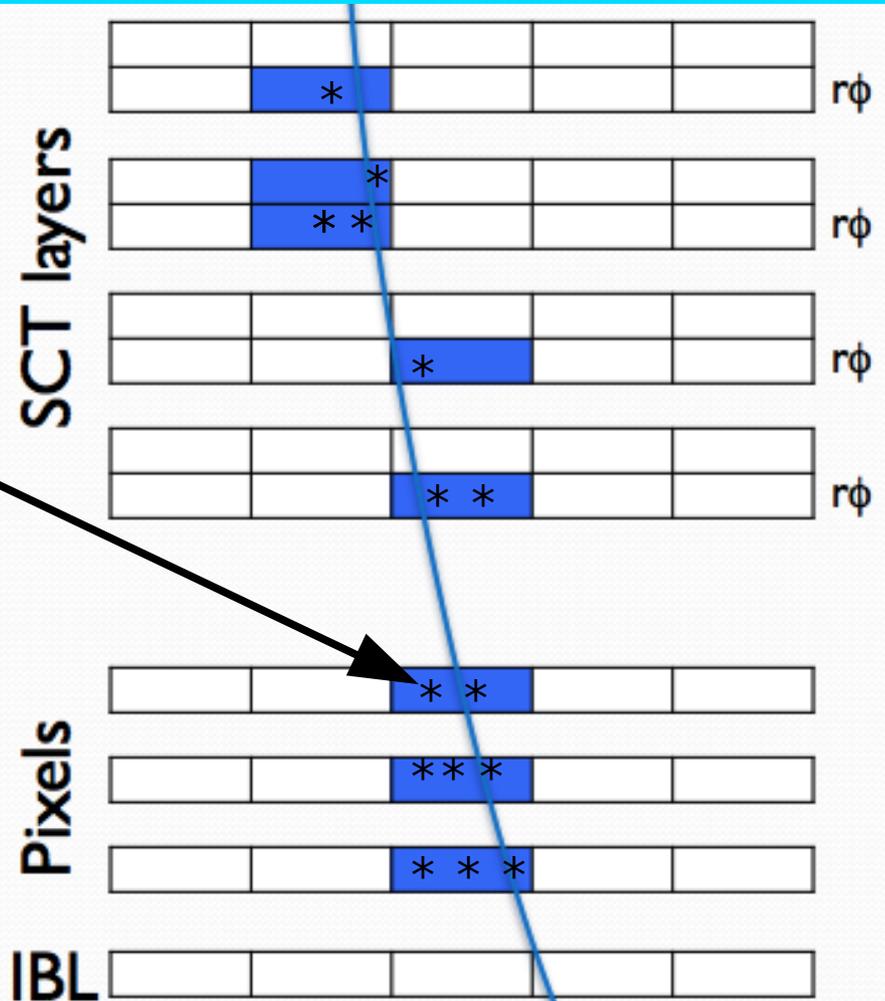
go and

fetch all the (few now) hits it contains, and we should

fit them to a helical track to measure the track parameters precisely

Track fitting in FPGAs: 1st stage, 8 layers

- Pattern recognition layers 
- 8 layers track fit
 - full resolution hits
 - reject most fakes



5 parameters & χ^2
 $d_0, z_0, \eta, \phi, PT, \chi^2$

Hit coordinate
 (local to each
 detector module)

Full resolution hits

$$\tilde{p}_i = \sum_{l=1}^N C_{il} x_l + q_i$$

Track fitting in FPGAs w/ many Digital Signal Processors (DSPs)

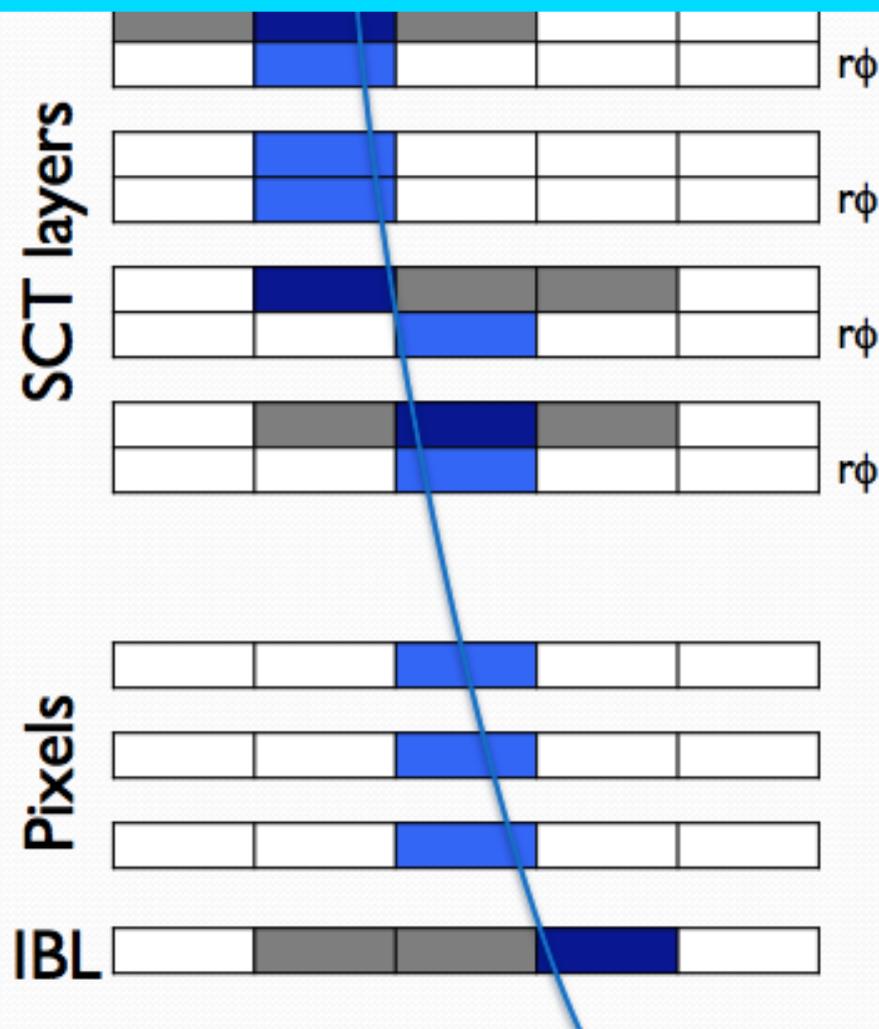
BUT: Linear approximation: get a set of **linear equations**

“each parameter depends linearly on the hits” → **fast**

multiplications with pre-computed constants ~1 Gfits/s per FPGA

Track fitting in FPGAs: 2nd stage, 12 layers

- Pattern recognition layers 
- 8 layers track fit
 - full resolution hits
 - reject most fakes
- Extrapolate track to other layers 
 - Look for hits in a narrow region
- Full 12 layer fit 

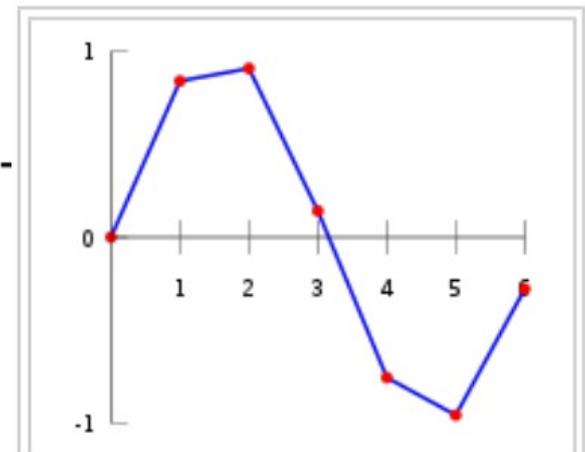


Done on FPGAs, on a “2nd stage” board

Approximations and Tables with constants are very common calculation tools. Don't be afraid of them!

- E.g., in a computer if normal math calls are time-expensive:
 - $\sin(x)$ = **Taylor expansion** gives a polynomial to calculate $\sin(x) \approx x - x^3/6 + x^5/120$
- Or, use **Look-Up Tables (LUTs = precalculated values stored in tables)** and **interpolate** between the neighbouring $\sin(x)$ to get the value you ask for

```
function lookup_sine(x)
  x1 := floor(x*1000/pi)
  y1 := sine_table[x1]
  y2 := sine_table[x1+1]
  return y1 + (y2-y1)*(x*1000/pi-x1)
```



Linear interpolation on a portion of the sine function

FTK : working configuration

- High resolution patterns: $(15 \times 36)_{\text{pix}} \times 16_{\text{sct}}$
 - Pixels: 15 channels along ϕ , 36 ch. along η
 - Strips: 16 strips
- Background events with 69 superimposed pp collisions
 - Instantaneous luminosity 3×10^{34} Hz/cm²

DC bits group detector channels together and increase the pattern resolution

- Hardware constraints (for each of 64 η - ϕ towers)
 - # AM patterns $< 16.8 \times 10^6$
 - # roads/event $< 16 \times 10^3$
 - # fits/event $< 80 \times 10^3$

Work load for track fitter

	Coarse resolution roads	Max # DC bits / layer	# AM pattern * 10 ⁶	Efficiency %	roads / evt * 10 ³	fits / evt * 10 ³
Barrel	$(30 \times 72)_{\text{pix}} \times 32_{\text{sct}}$	$2_{\text{pix}} \times 1_{\text{sct}}$	16.8	93.3%	3.2	26
Endcap	$(30 \times 72)_{\text{pix}} \times 32_{\text{sct}}$	$2_{\text{pix}} \times 1_{\text{sct}}$	16.8	91.2%	6.9	55

Alberto Annovi

* ANIMMA - A new "Variable Resolution Associative Memory" for High Energy Physics
ATL-UPGRADE-PROC-2011-004, doi:10.1109/ANIMMA.2011.6172856

* "Variable resolution Associative Memory for the Fast Tracker ATLAS upgrade", ICATTP 2013

Some documentation for details

FTK Technical Design Report (TDR): <https://cds.cern.ch/record/1552953?ln=en>
<https://atlas.web.cern.ch/Atlas/GROUPS/PHYSICS/UPGRADE/CERN-LHCC-2013-007/index.html>

FTK Public results: <https://twiki.cern.ch/twiki/bin/view/AtlasPublic/FTKPublicResults>

The road to FTK: Content Addressable Memory, the Associative Memory & FPGAs

- K. Pagiamtzis and A. Sheikholeslami, "*Content-addressable memory (CAM) circuits and architectures: A tutorial and survey*," in IEEE Journal of Solid-State Circuits, vol.41, no.3, pp. 712-727, March 2006
- M. Dell'Orso and L. Ristori, "*VLSI Structures Track Finding*", Nucl. Instr. and Meth. A, vol. 278, pp. 436-440, 1989.
- W. Ashmanskas et al., "*The CDF online Silicon Vertex Tracker*", Nucl. Instr. and Meth. A, vol. 485, pp. 178-182, 2002.
- A. Annovi, et al., "*Associative memory design for the Fast Track processor (FTK) at ATLAS*," in IEEE NSS/MIC, 2009, Orlando, pp. 1866 - 1867.
- C.-L. Sotiropoulou, S. Gkaitatzis, A. Annovi, et al. "*A Multi-Core FPGA-based 2D-Clustering Implementation for Real-Time Image Processing*", in IEEE Trans. on Nuclear Science, vol. 61, no. 6, pp. 3599 - 3606, December 2014.

From the FTK to the future

- FTK uses the AMchip06, an Associative Memory with
 - 128k patterns of 8 words \times 18 bits each word
 - high speed serial links
 - variable resolution (up to 6 ternary bits)
 - low power
 - 8 \times 16 bit comparisons at 100 MHz
- These ideas and technology will not be restricted to FTK
 - Future applications in HEP: e.g., tracking in HLT (& maybe L1) for ATLAS by the Hardware Track Trigger (HTT)
 - Applications outside HEP (medical imaging, smart cameras, genomics, ...)

The point to take home:

- **Split the problem** in a fast (**coarse**) one, and a **refined one** working with much reduced data.

(you know now that we do this all the time in the trigger)

- **Use pre-calculated patterns & values wherever you can**: if you get the desired precision, **you gain a lot in time**

...And time is precious in the online world!

- We saw the **example of the Fast Tracker upgrade in ATLAS**, using
 - **AM-based pattern matching** with “AM chip” (ASIC),
 - **refined track-fitting** and almost everything else needed (from formatting to smart databases, to I/O) in powerful modern FPGAs (**recall Hannes Sakulin's & Manoel Barros Marin' talks**)

C. Other examples

Other examples

- What was presented here is not the only way to solve the tracking problem fast. Other solutions exist, e.g:
 - Hough transforms in **FPGAs**,
 - Other algorithms in **FPGAs** (e.g., Retina algorithm: Luciano Ristori, NIM A 453 (2000) pp. 425-429)
 - **GPUs** for the HLT farms etc.... (→ You heard from Gianluca Lamanna yesterday)
- But nothing can be as fast as doing the tracking while reading your data, as they pass through the system.
 - If you can not afford to be slower, then you'll probably use an Associative Memory.
 - If you can, then can work with other, commercial solutions (e.g., FPGAs, GPUs, etc)

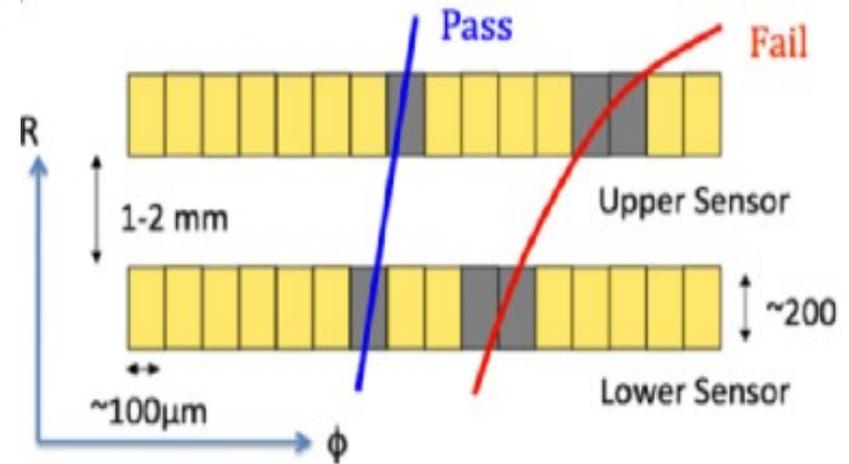
Tracking at HEP in the future: AM+FPGAs, FPGAs, CPUs, and GPUs

- V. Halyo, et. al., *“GPU Enhancement of the Trigger to Extend Physics Reach at the LHC,”* Journal of Instrumentation 8 P10005, 2013.
- C. Gentsos, F. Crescioli, P. Giannetti, D. Magalotti, S. Nikolaidis, *“Future evolution of the Fast Tracker (FTK) processing unit”*, PoS (TIPP2014) 209
- A. Annovi, et al., *“Associative Memory for L1 Track Triggering in LHC Environment,”* in IEEE Trans. on Nuclear Science, Vol. 60, No. 5, pp. 3627 – 3632, 2013.
- G. Hall, et al., *“A time-multiplexed track-trigger for the CMS HL-LHC upgrade”*, in NIM A, Vol.824, 11 July 2016, pp. 292–295
- A. Abba et al., *“Simulation and performance of an artificial retina for 40 MHz track reconstruction”*, in JINST 10 C03008 (2015)
- ...

HighLuminosity-LHC (HL-LHC): pile-up of ~ 140 events/crossing will be typical; up to 200 events per crossing are considered likely. At L1: need tracking in $<10 \mu\text{s}$

L1 Track Trigger at CMS : start with a cleaner picture (not just hits)

- ~99% of tracks have $P_T < 2 \text{ GeV}/c$; interesting things have higher P_T tracks.
- CMS makes the detector itself selective on such tracks by finding track “stubs” on closely spaced layers

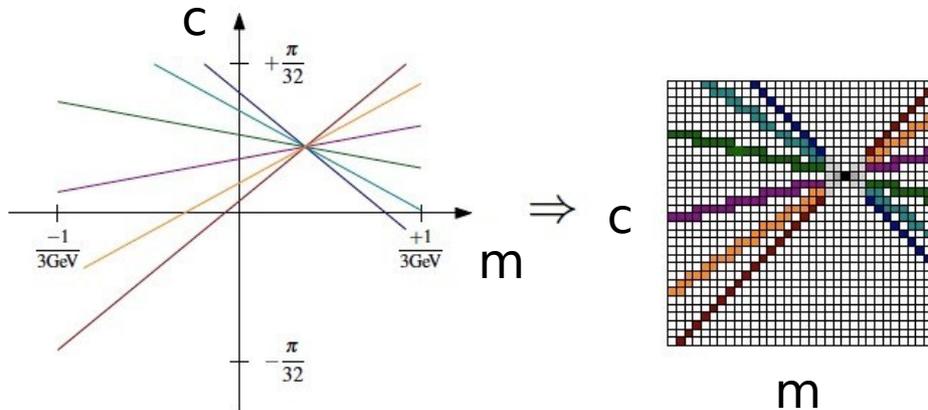


- At $B=4 \text{ Tesla}$, you have for each stub (straight line) of angle φ , at some double layer at a radius r , originating from a track generated with φ_0 and P_T

$$\varphi = \frac{\pm 0.006}{p_T} r + \varphi_0$$

Track trigger example at CMS with FPGA-only

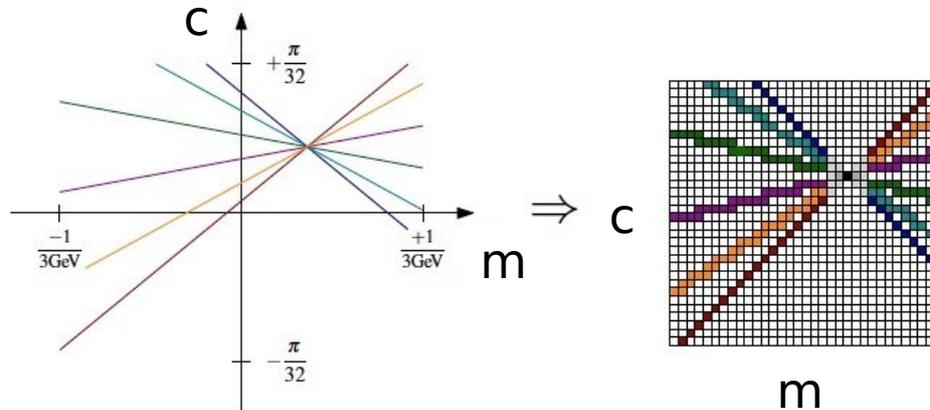
- This $\varphi(r)$ behaviour is a straight line: $\varphi = \mathbf{m} \mathbf{r} + \mathbf{c}$
 - Do a “**Hough transform**”: map individual $\{r, \varphi\}$ measurement points to a whole-line characteristic in 2D space: **the slope (m) & the intercept (c)**
 - Given an $\{r, \varphi\}$ pair, try values for m, get c: $\mathbf{c} = -\mathbf{m} \mathbf{r} + \varphi$ and put $\{m, c\}$ in a 2-dimensional histogram



- **$\{r, \varphi\}$ measurements from same track will populate same $\{m, c\}$ bin**
- **Most populated bin = characterises whole track**
- Note: Small $|m|$ values:
 $|m| = 0.006/P_T \rightarrow |m| < 0.003$

Track trigger example at CMS with FPGA-only

- This $\varphi(r)$ behaviour is a straight line: $\varphi = m r + c$
 - Do a “**Hough transform**”: map individual $\{r, \varphi\}$ measurement points to a whole-line characteristic in 2D space: **the slope (m) & the intercept (c)**
 - Given an $\{r, \varphi\}$ pair, try values for m, get c: $c = -m r + \varphi$ and put $\{m, c\}$ in a 2-dimensional histogram



- **$\{r, \varphi\}$ measurements from same track will populate same $\{m, c\}$ bin**
- **Most populated bin = characterises whole track**
- Note: Small $|m|$ values:
 $|m| = 0.006/P_T \rightarrow |m| < 0.003$

Pileup events: $\{m, c\}$ array heavily populated and such peaks are not initially prominent.

But, by requiring e.g., all stubs in the (m, c) histogram bin to be from different radial layers, significantly reduces the background

Hough transform

- P. V. C. Hough, *“Method and means for recognizing complex patterns,”* U.S. Patent 3,069,654, 1962.
- R. O. Duda and P. E. Hart, *“Use of the Hough transformation to detect lines and curves in pictures”* Communications of the ACM, vol. 15, no. 1, pp. 11-15, 1972.
- J. Illingworth and J. Kittler, *“The Adaptive Hough Transform”*, IEEE Trans. On Pattern Analysis and Machine Intelligence, Vol PAMI-9, No. 5, Sept. 1987, pp. 690-698.
- Xin Zhou, Yasuaki Ito, and Koji Nakano. *“An FPGA Implementation of Hough Transform using DSP blocks and block RAMs.”* Bulletin of Networking, Computing, Systems, and Software, Vol 2, No 1 (2013), pages 18-24.

....etc....

- **On FPGAs: important to adapt the algorithms to the constraints of FPGA operation.** Algorithms can overflow the capacity of even a very large FPGA because of timing constraints or routing congestion → **heard yesterday by Manoel Barros Marin**

Beyond High Energy Physics applications: image processing

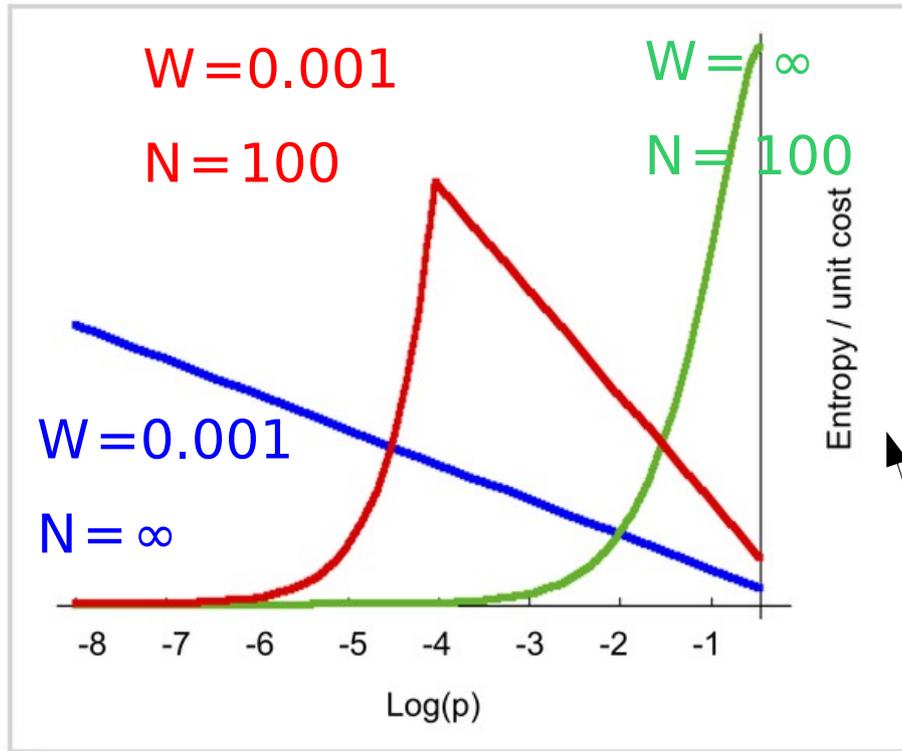
- Hough transform is one of the classic techniques used in image processing to do first an “edge-detection”
- Let's see another interesting example on image perception:

M. Del Viva, G. Punzi, and D. Benedetti. *“Information and perception of meaningful patterns.”* PloS one 8.7 (2013): e69154.

“... models describe the **initial processing of visual information as the extraction of a simplified “sketch” based on a limited number of “salient features”** [11], [12], that therefore contains a much reduced amount of information.”

“We adopt the **principle of maximum entropy** as a measure of optimization: we ask **what is choice of the pattern set producing the largest amount of entropy allowed by the given limitations of the system.** We will see that this simple requirement, together with the imposed strict limitations to the computing resources of the system, allows to completely determine the choice of the pattern set from the knowledge of the statistical properties of the input data.”

Image processing, an interesting example with patterns: M. Del Viva, G. Punzi, and D. Benedetti. Fig. 1



Constrain #1: storage

N = number of patterns

Constraint #2: output bandwidth

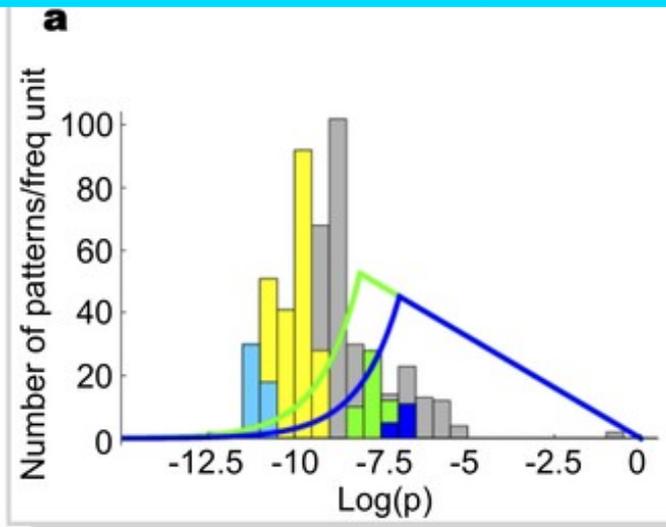
W = reduction factor
(e.g., $W=0.001 \rightarrow 1/1000$
can be selected with this
pattern set)

p = probability that the given
pattern matches the
(sub)image we check

$$f(p) = \frac{-p \log(p)}{\max(1/N, p/W)}$$

unit cost for each
pattern

Image processing, an interesting example with patterns: M. Del Viva, G. Punzi, and D. Benedetti, Fig. 3 and 4



- In a 3x3 grid:
 - 512 possible patterns
- Green:
 - the “best” 50 of them (use them in the images below)
- Blue:
 - the “best” 15 of them

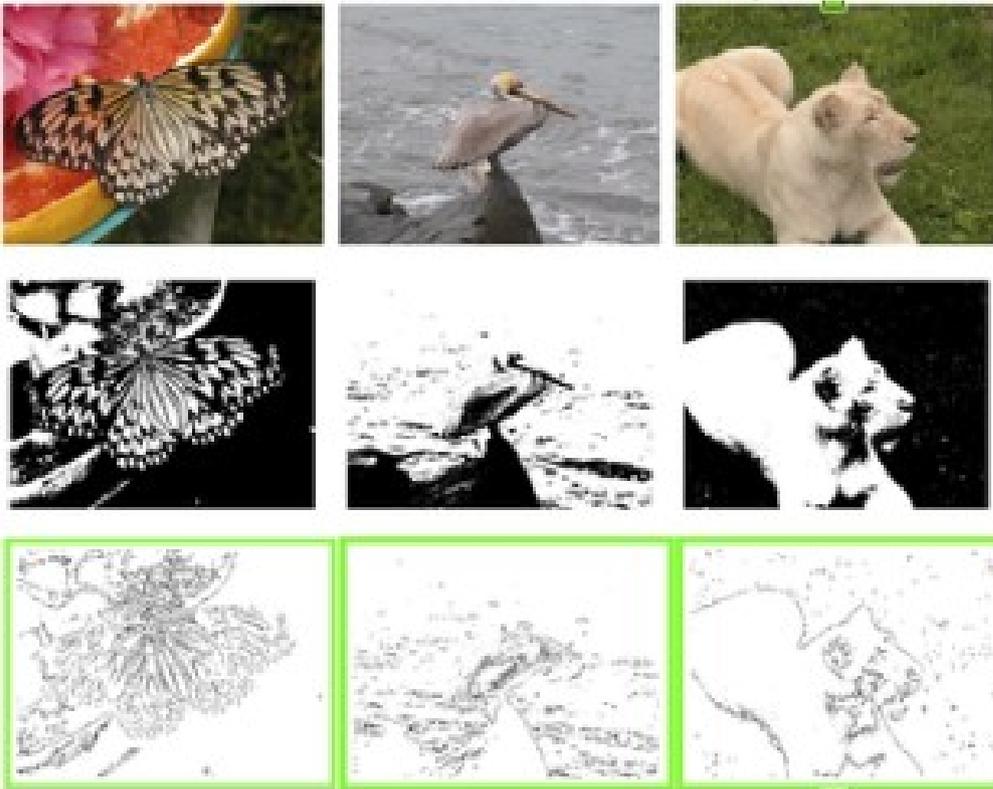
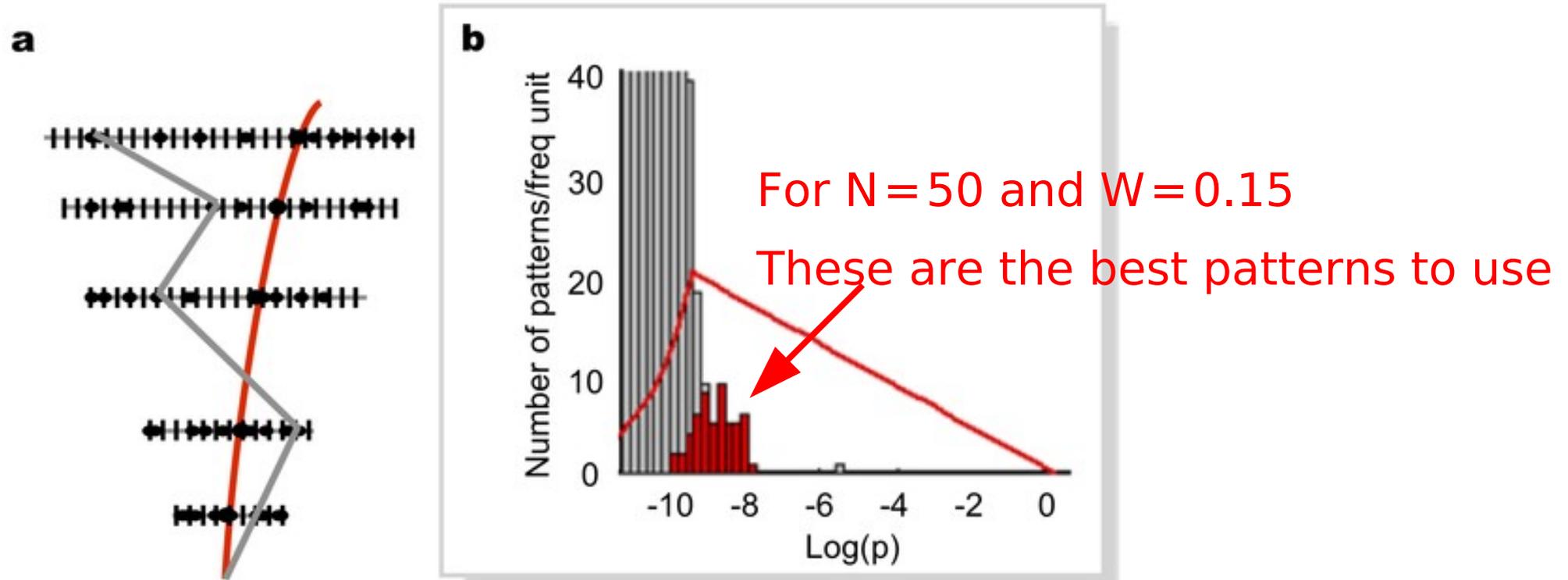


Image processing, an interesting example with patterns: M. Del Viva, G. Punzi, and D. Benedetti. Fig. 2

Our tracking detectors also produce “images” (= the set of hits), and we select events based on them



Of course, we know that all these zig-zag lines are meaningless
Training on simulated events, to get the patterns with max. entropy,
picks up the patterns we also select when we do simulations
to define the pattern bank.

Done.

An announcement before closing →

An announcement: MOCAS T 2019

<http://mocas t.physics.auth.gr/>



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Organizing Committee

13-15 May 2019, Thessaloniki, Greece

Thank you!

Acknowledgments:

Alberto Annovi, Francesco Crescioli, Mauro Dell' Orso, Paola Giannetti,
Andrea Negri and FTK members

Extras...



FTK - Fast Tracker for Hadron Colliders

An FP7 IAPP project (February 1, 2103 - January 31, 2017)

Home	Partners	The Project	Dissemination	Collaboration
Jobs	<ul style="list-style-type: none"> • Pisa (coord) • AUTH • CAEN • CERN • CNRS/Paris • Prisma Electronics 	<ul style="list-style-type: none"> • The FTK Project • FTK application in HEP • Other FTK applications • Transfer of Knowledge 	<ul style="list-style-type: none"> • Talks and Proceedings • Papers • FTK events 	

<http://ftk-iapp.physics.auth.gr/>



This project aims to develop an extremely fast but compact processor, with supercomputer performances, for pattern recognition, data reduction, and information extraction in high quality image processing.

The proposed hardware prototype features flexibility for potential applications in a wide range of fields, from triggering in high energy physics to simulating human brain functions in experimental psychology or to automating diagnosis by imaging in medical physics. In general, any artificial intelligence process based on massive pattern recognition could largely profit from our device, provided data are suitably prepared and formatted.

The project has received funding from the European Union's Seventh Framework Programme for research, technological development and demonstration under grant agreement n.324318
Participants (2 SMEs and 4 Academic Institutions)



FP7 Project
324318



UNIVERSITÀ DI PISA
University of
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Aristotle
University of
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(AUTH), Greece



CAEN SpA,
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CERN,
Switzerland



CNRS, France



Prisma
Electronics
ABEE,
Greece

Extras

- D. Emeliyanov, et al., “GPU-based tracking algorithms for the ATLAS high-level trigger” in Journal of Phys. Conf., Ser. 396, 012018, 2012.
- J. Mattmann, et al., “Track finding in ATLAS using GPUs,” in Journal of Phys. Conf., Ser. 396, 022035, 2012.
- Y. Ago, Y. Ito, and K. Nakano, “An FPGA implementation for neural networks with the FDFM processor core approach,” International Journal of Parallel, Emergent and Distributed Systems, vol. 28, no. 4, pp. 308–320, 2012.

Input Mezzanine card(IM)
+ Data Formatter(DF)

Processor Units: Auxiliary
card(AUX) +
Associative Memory Board(AM)

Dual HOLA card

Copy the hit from ID and send to FTK

IM: Receive the hits and perform clustering
DF: hit sharing and provide pipeline (the "custom switch" to fan-out hits to the relevant Processor for this η - ϕ tower

AM: pattern recognition in SuperBin ("SuperStrip") resolution

AUX: a) mapping between hits and SuperStrips",
 b) track fitting: $pt, \eta, \phi, d0, z0$

Pixels & SCT
 RODS

Data Formatter

Cluster Finding

FTK

DO

AM

TF

Proc. unit

HW

Core Crate
 45°+10° in ϕ
 8 η - ϕ towers
 2 PU/tower

DO

AM

TF

Proc. unit

HW

Second Stage Fit (4 brds)

Second Stage Board(SSB)

Reduce the fake track using remaining silicon layers.

100 kHz
 Event
 Rate

Track Data
 ROB

FLIC

FTK to Level2 Interface Crate(FLIC)

Raw Data
 ROBs

FTK ROBs

HLT

Processing

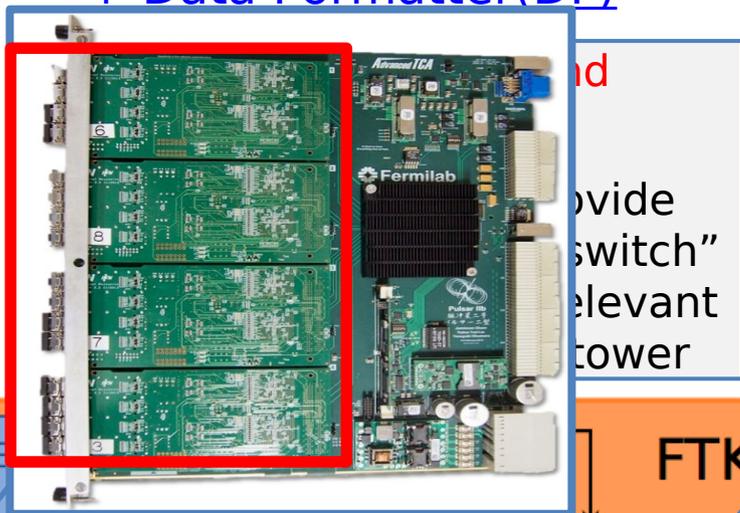
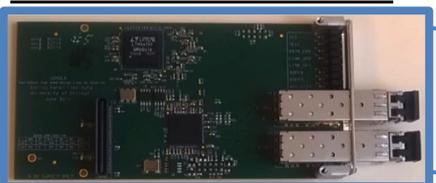
Send track info to HLT

* Red: involvement of the group

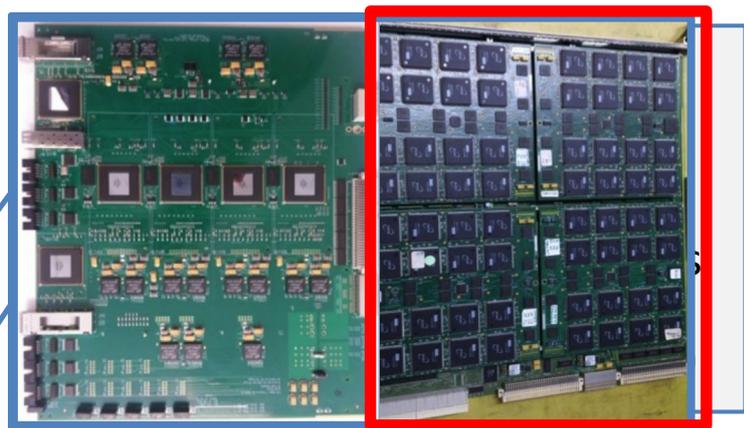
Input Mezzanine card(IM)
+ Data Formatter(DF)

Processor Units: Auxiliary card(AUX) +
Associative Memory Board(AM)

Dual HOLA card



provide
switch"
relevant
tower

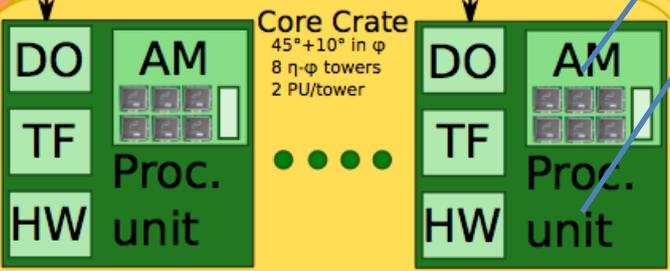


Pixels
& SCT
RODS

Data
Formatter

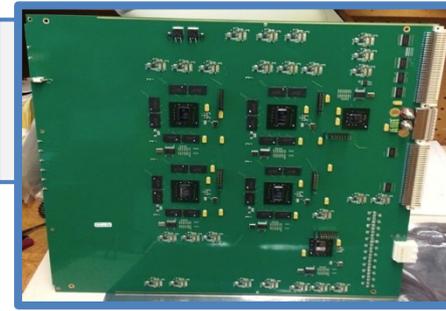
FTK

Cluster
Finding



Core Crate
45°+10° in φ
8 η-φ towers
2 PU/tower

Second Stage Board(SSB)



work using
ers.

100 kHz
Event
Rate

Raw Data
ROBs

Track Data
ROB

FLIC

FTK to Level2 Interface Crate(FLIC)



T

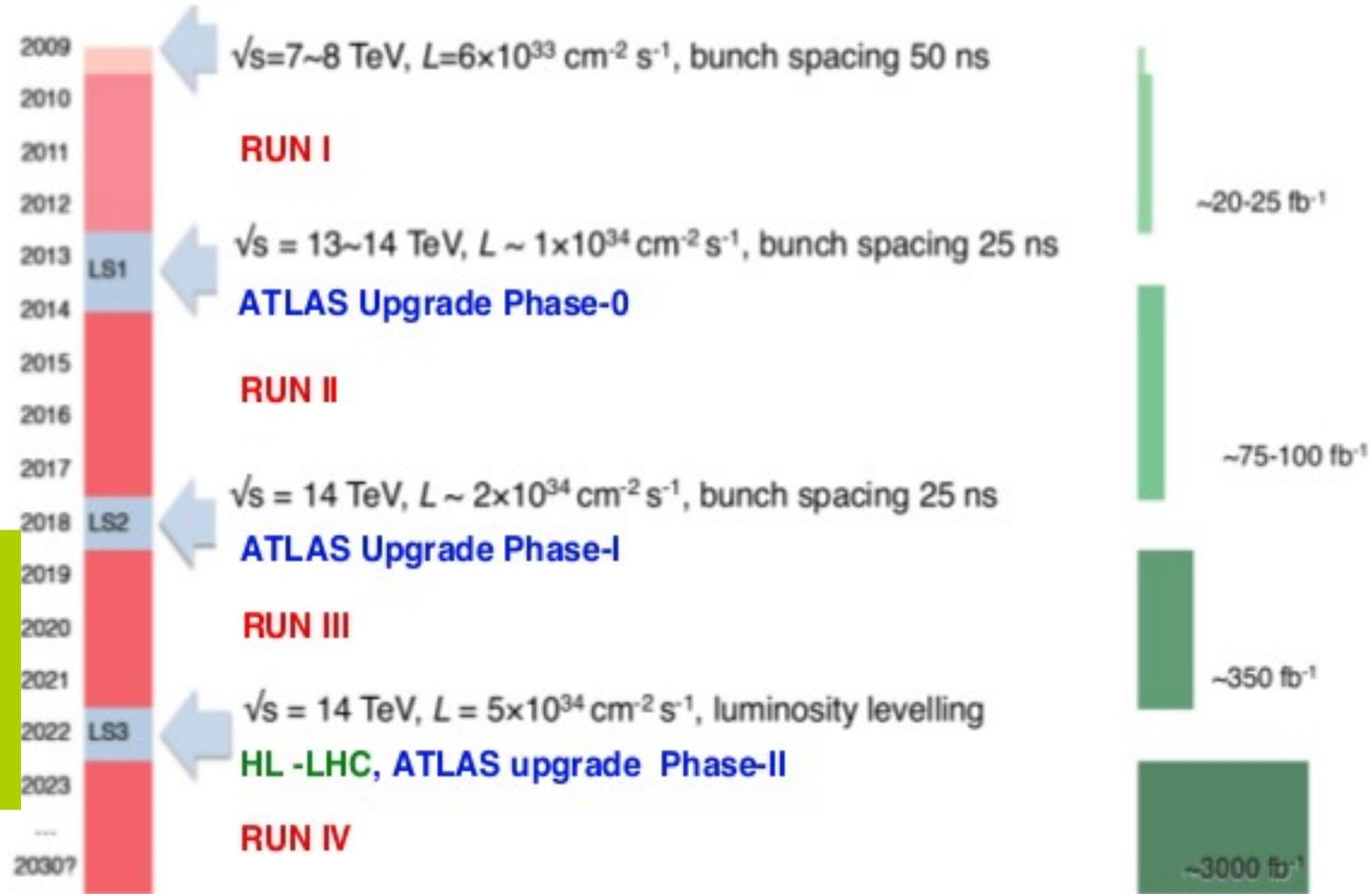
FTK ROBs

HLT
Processing

* Red: involvement of the group

FTK Schedule

All boards for the full detector coverage are available at 2018



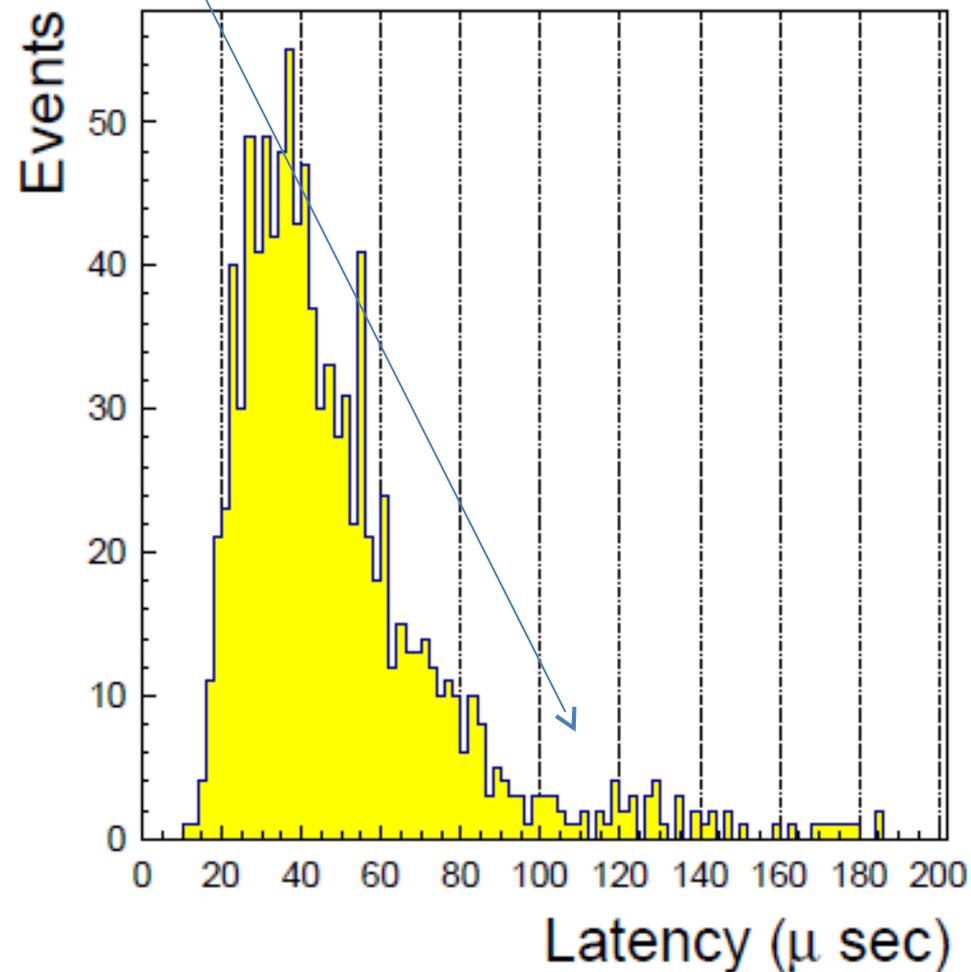
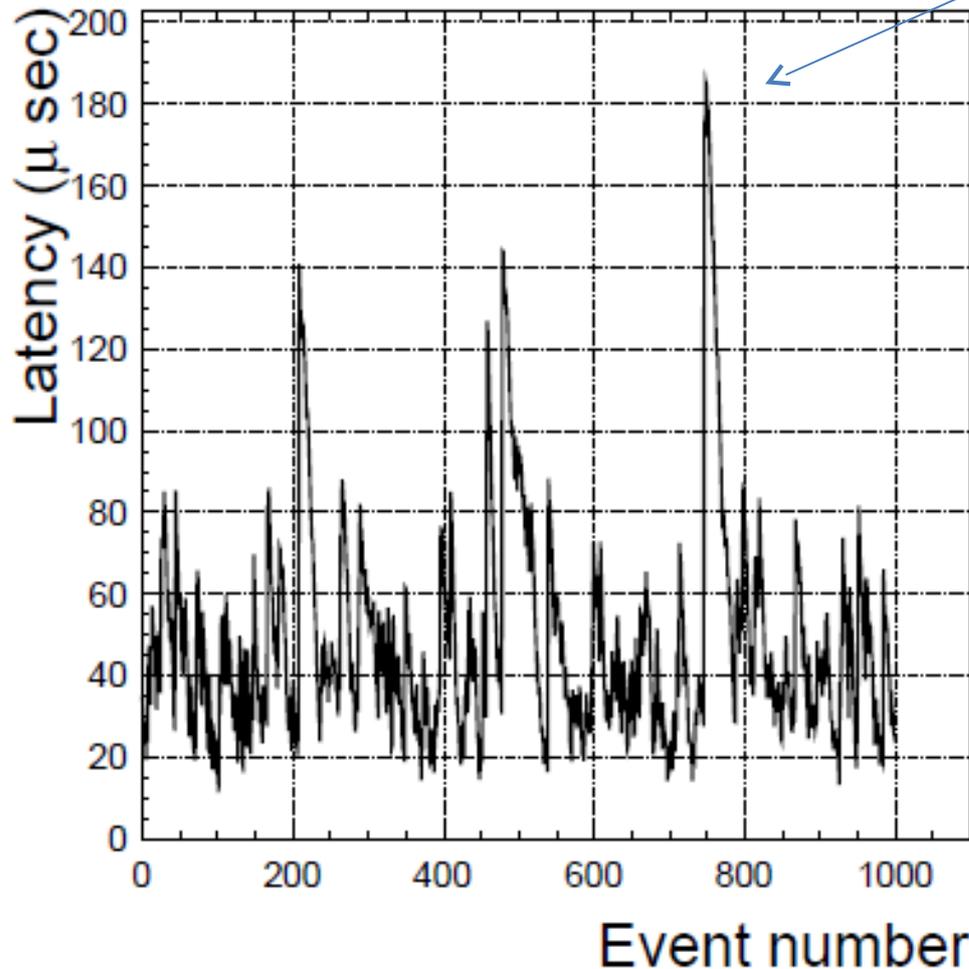
Installation
 Start data
 Full detector
 All boards
 in place to
 deal with
 design
 lumi

FTK Latency

FTK has enough processing power at $L=3 \times 10^{34} \text{cm}^{-2}\text{s}^{-1}$ (operating rate $\sim 60\%$)

Latency was rise-up by heavy event, but after such an event the latency quickly return to the typical range.

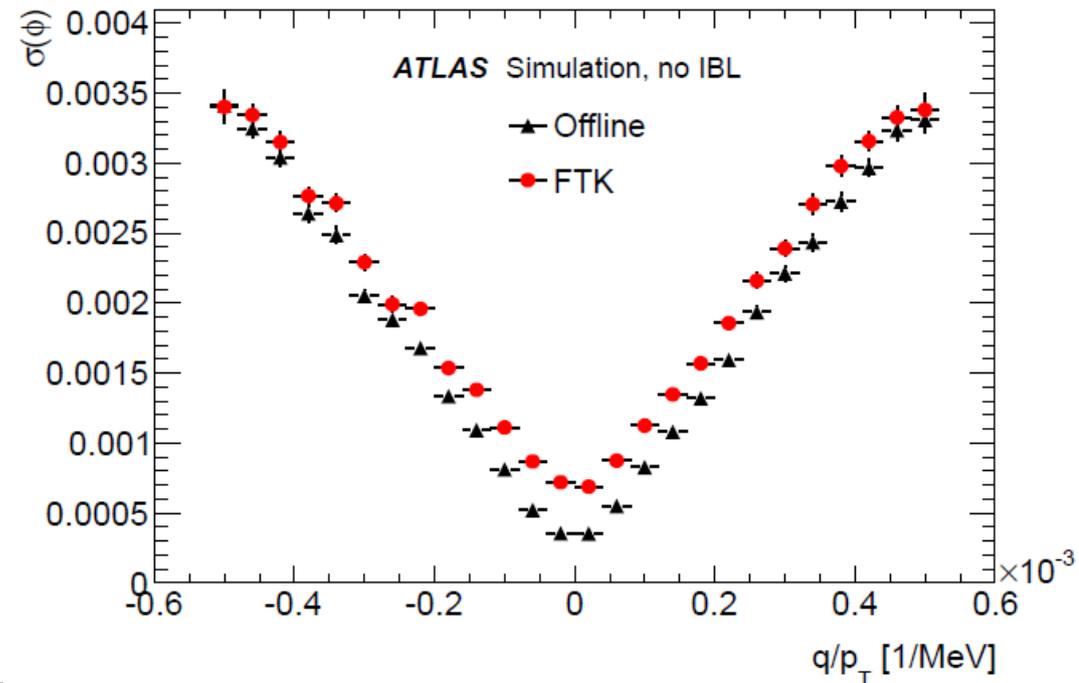
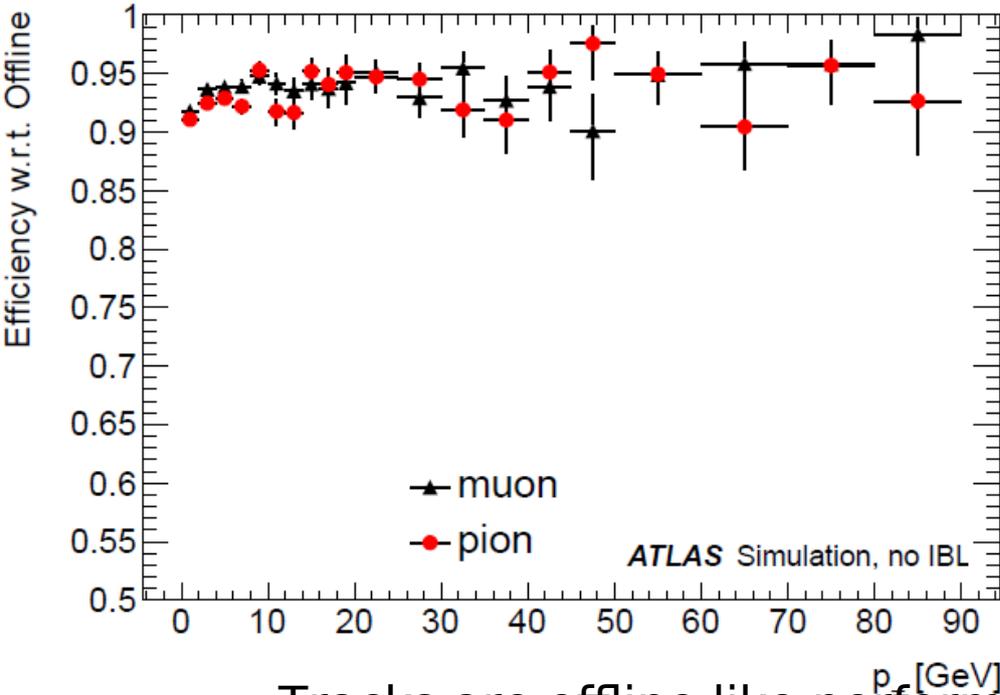
$L=3 \times 10^{34}$ MC sample (Z \rightarrow mm) @ 100 kHz LVL1 rate.



Averagely latency is $\sim 50 \mu\text{sec}$ and maximum on tail is \sim few handed μsec . It is enough speed for HLT requirement.

FTK Track performance

All results are base line of FTK performance!



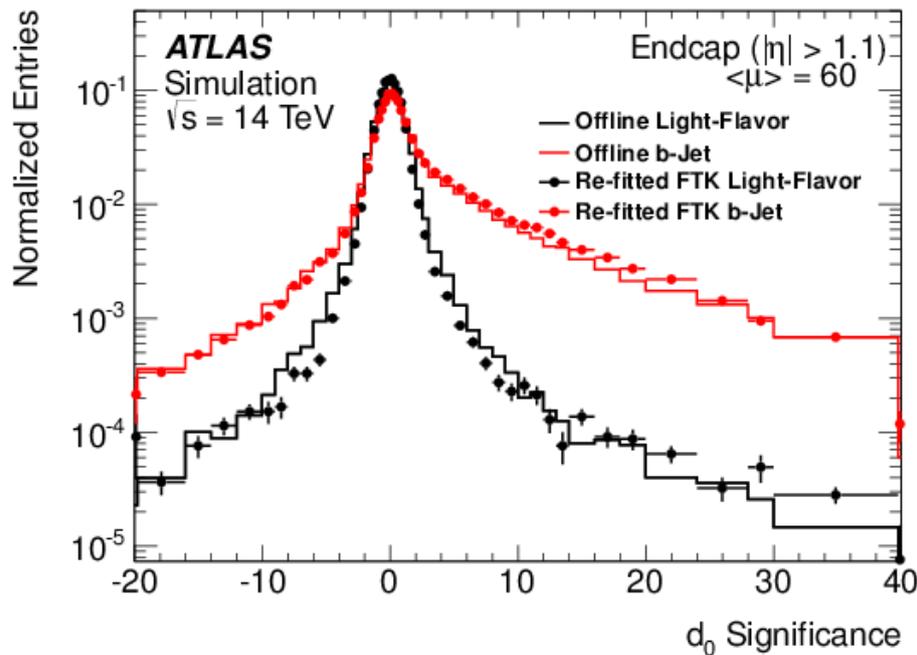
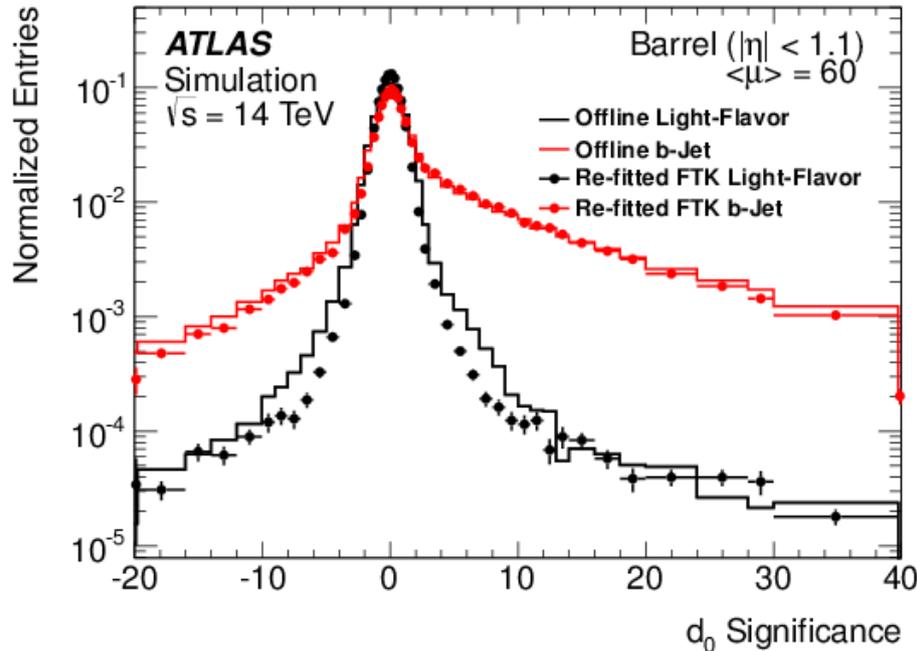
Tracks are offline like performance

Difference is :

- Algorithm of hit clustering
- Lack of Low Pt patterns
- Broken of linear approximation.
- No TRT, not δ ray correction, etc

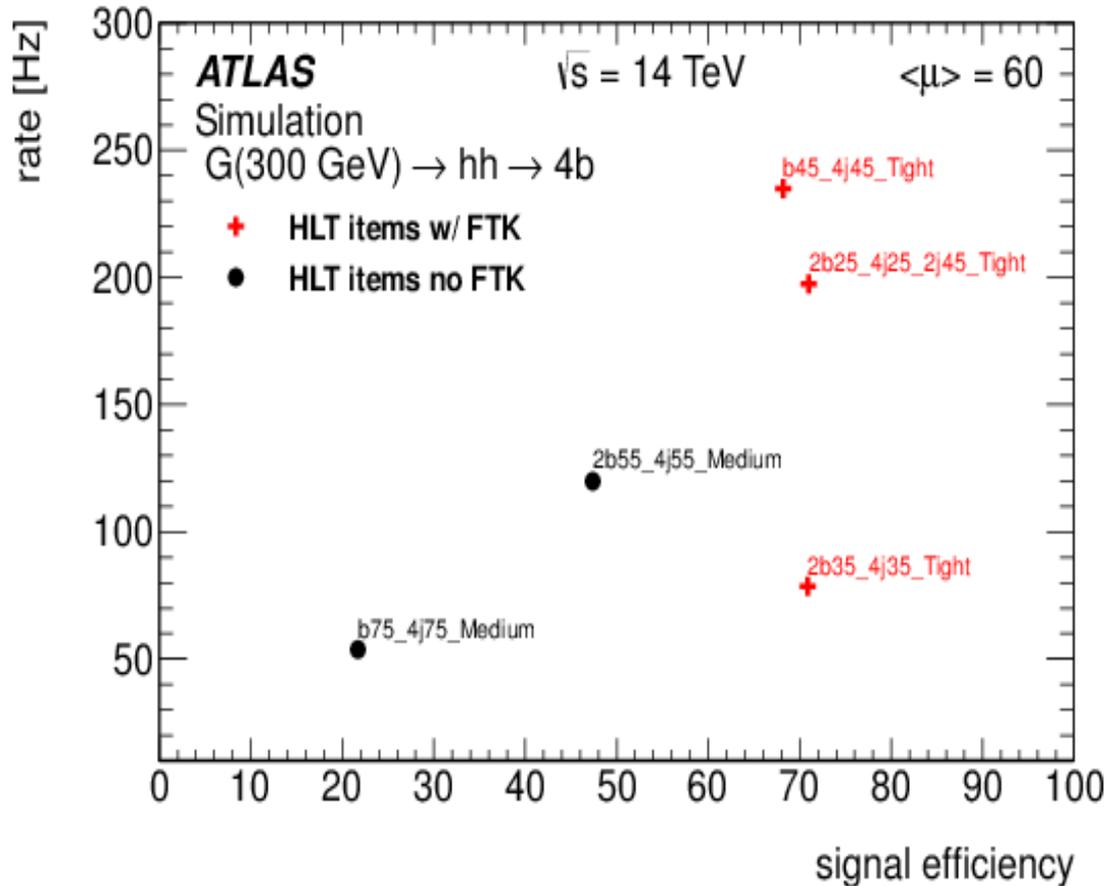
More than 90 % efficiency with respect to offline.

b-jet tag trigger

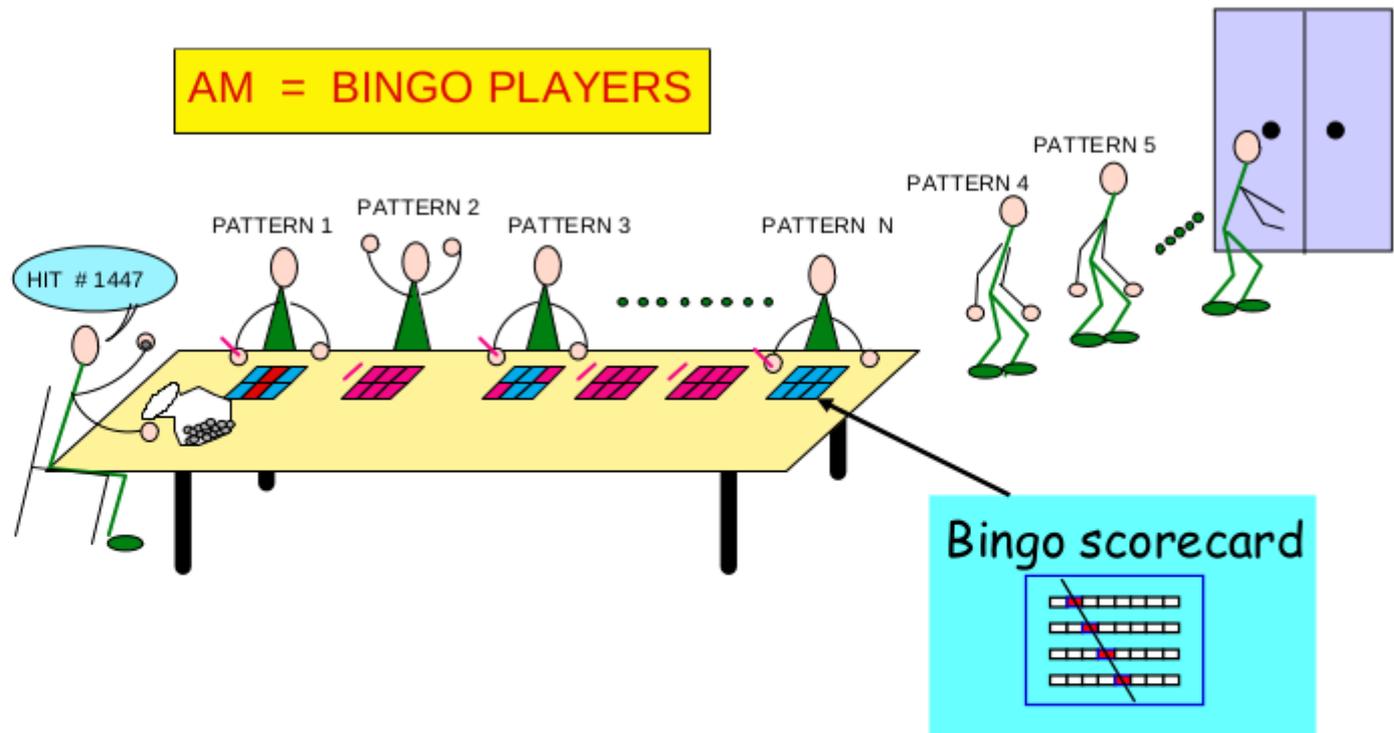


Base line of FTK!

- With IBL and refit of FTK track
- FTK can be run with Loser HLT jet PT threshold



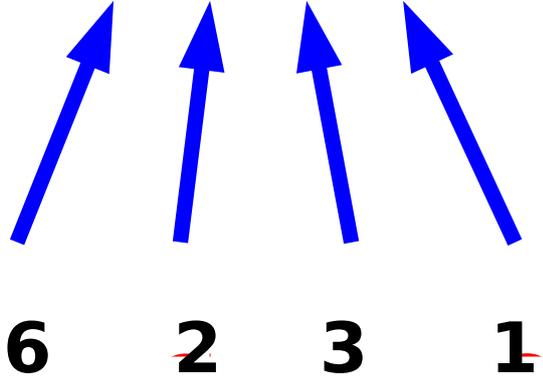
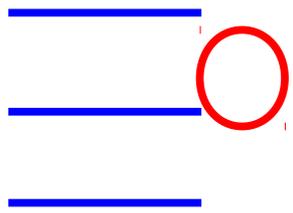
AM = hits compared to pre-computed patterns



- Dedicated device: maximum **parallelism**
- Each pattern with **private comparator**
- Track search **during** detector **readout**

Pattern matching: does your event contain any of these patterns?

Pattern Bank:



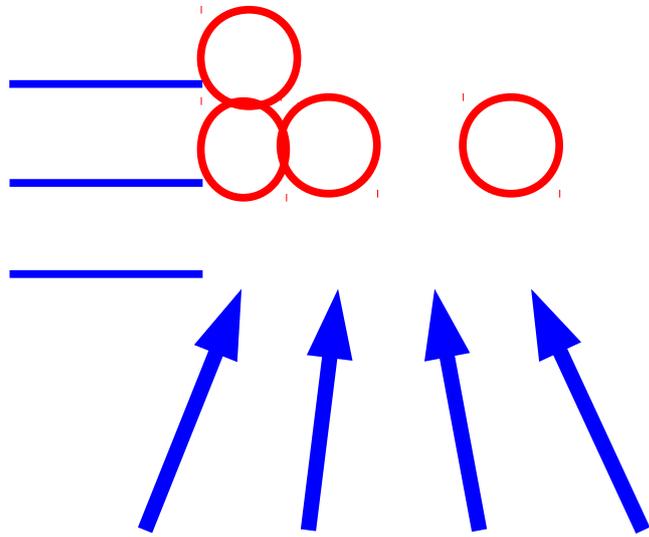
4. Comparison Of all hits to All patterns at once

The event

6 2 3 1

The “event” is a list of hits in each detector **layer**

Pattern Bank:

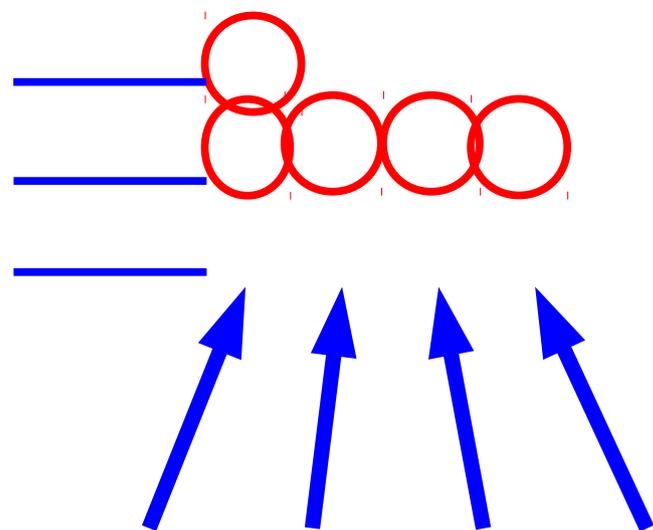


The event

6	2	3	1
11	6	6	7

Compare the hits in each event with ALL the stored patterns.

Pattern Bank:



The event

6	2	3	1
11	6	6	7
12	10	7	14

...

4.

After all comparisons are done, we have the **list of matched patterns** in the event =
the **list of "roads"** to perform refined tracking after