



Horizon 2020
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Neutrinos and Cosmology

*Strengths and weaknesses of cosmological bounds
on effective number and masses of neutrinos*

European Neutrino “Town” Meeting, CERN, 22–24/10/2018

1 *Introduction*

- Neutrinos and early Universe
- Relativistic neutrinos in the early Universe
- Massive neutrinos in the late Universe

2 *Current constraints*

- Cosmological observables
- Current status
- Extending the cosmological model
- Mass ordering

3 *Direct detection of relic neutrinos*

4 *Conclusions*

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Three Neutrino Oscillations

Analogous to CKM mixing for quarks:

[Pontecorvo, 1968]

[Maki, Nakagawa, Sakata, 1962]

$$\nu_\alpha = \sum_{k=1}^3 U_{\alpha k} \nu_k \quad (\alpha = e, \mu, \tau)$$

ν_α flavour eigenstates, $U_{\alpha k}$ PMNS mixing matrix, ν_k mass eigenstates.

Current knowledge of the 3 active ν mixing: [de Salas et al. (2018)]

$\Delta m_{ji}^2 = m_j^2 - m_i^2$, θ_{ij} mixing angles

NO: Normal Ordering, $m_1 < m_2 < m_3$

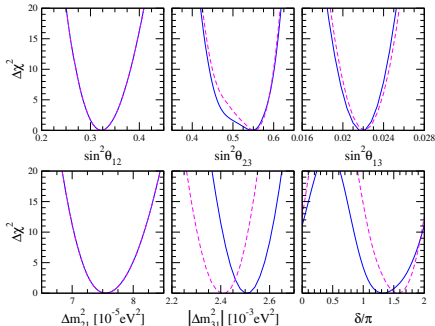
IO: Inverted Ordering, $m_3 < m_1 < m_2$

$$\begin{aligned} \Delta m_{21}^2 &= (7.55^{+0.20}_{-0.16}) \cdot 10^{-5} \text{ eV}^2 \\ |\Delta m_{31}^2| &= (2.50 \pm 0.03) \cdot 10^{-3} \text{ eV}^2 \text{ (NO)} \\ &= (2.42^{+0.03}_{-0.04}) \cdot 10^{-3} \text{ eV}^2 \text{ (IO)} \end{aligned}$$

$$\begin{aligned} \sin^2(\theta_{12}) &= 0.320^{+0.020}_{-0.016} \\ \sin^2(\theta_{13}) &= 0.0216^{+0.008}_{-0.007} \text{ (NO)} \\ &= 0.0222^{+0.007}_{-0.008} \text{ (IO)} \end{aligned}$$

$$\begin{aligned} \sin^2(\theta_{23}) &= 0.547^{+0.020}_{-0.030} \text{ (NO)} \\ &= 0.551^{+0.018}_{-0.030} \text{ (IO)} \end{aligned}$$

First hints for $\delta_{\text{CP}} \simeq 3/2\pi$



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$$\sin^2(\theta_{12})$$

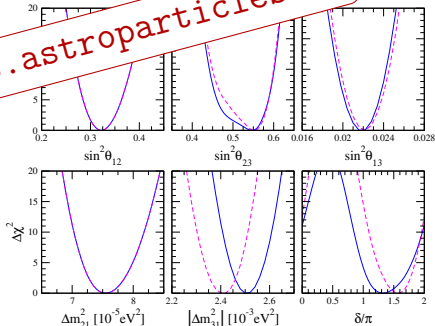
$$= 0.213^{+0.008}_{-0.007} \text{ (NO)}$$

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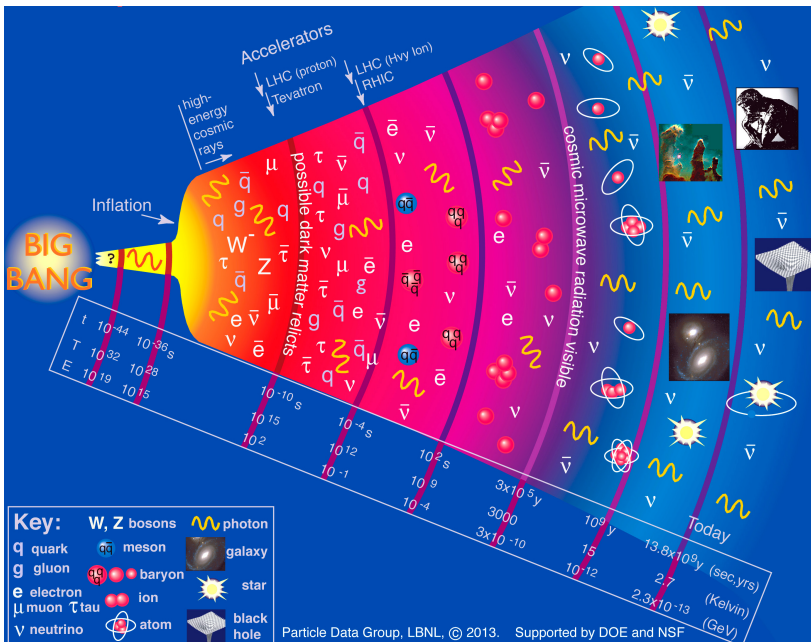
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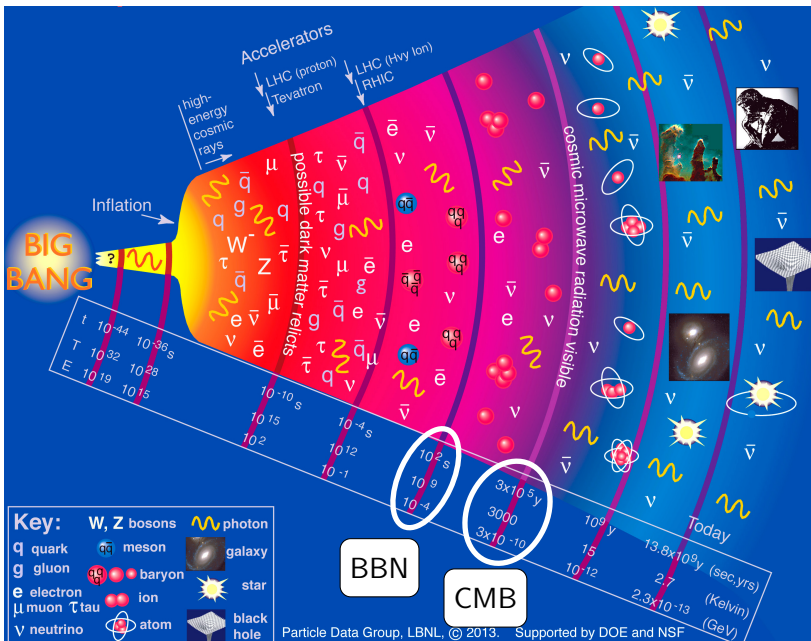


see also: <http://globalfit.astroparticles.es>

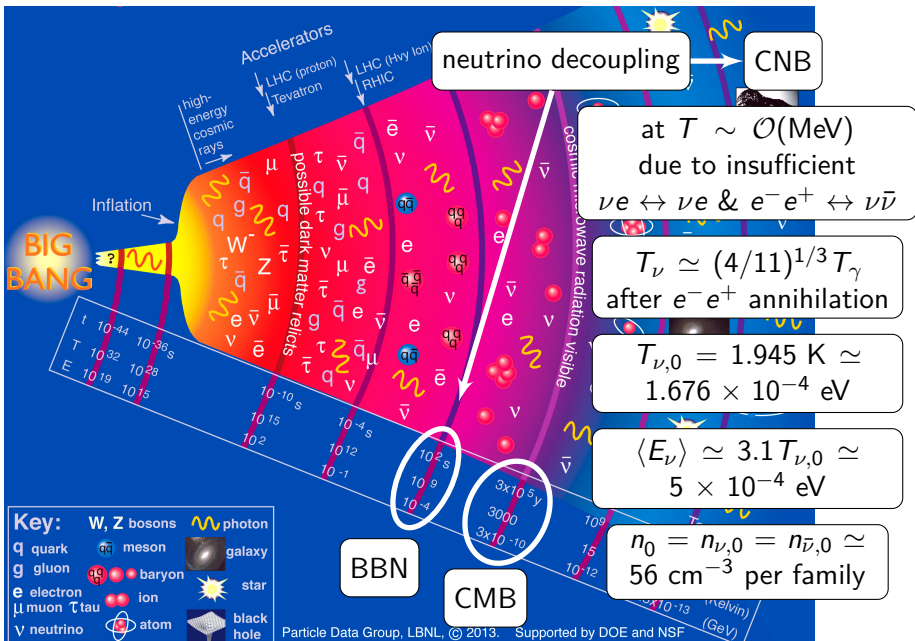
History of the universe



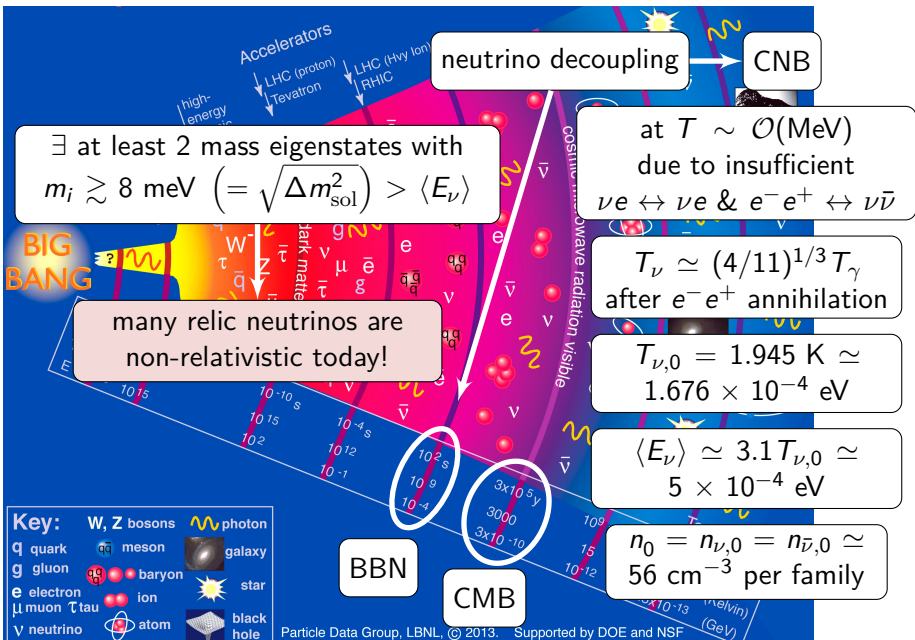
History of the universe



History of the universe



History of the universe



Relic neutrinos in cosmology: N_{eff}

Radiation energy density ρ_r in the early Universe:

$$\rho_r = \left[1 + \frac{7}{8} \left(\frac{4}{11} \right)^{4/3} N_{\text{eff}} \right] \rho_\gamma = [1 + 0.2271 N_{\text{eff}}] \rho_\gamma$$

ρ_γ photon energy density, $7/8$ is for fermions, $(4/11)^{4/3}$ due to photon reheating after neutrino decoupling

- $N_{\text{eff}} \rightarrow$ all the radiation contribution not given by photons
- $N_{\text{eff}} \simeq 1$ correspond to a single family of active neutrino, in equilibrium in the early Universe
- Active neutrinos:
 $N_{\text{eff}} = 3.046$ [Mangano et al., 2005] (damping factors approximations) \sim
 $N_{\text{eff}} = 3.045$ [de Salas et al., 2016] (full collision terms)
due to not instantaneous decoupling for the neutrinos
- + Non Standard Interactions: $3.040 < N_{\text{eff}} < 3.059$ [de Salas et al., 2016]

Observations: $N_{\text{eff}} \simeq 3.0 \pm 0.2$ [Planck 2018]
Indirect probe of cosmic neutrino background!

$\gg 10\sigma!$

Additional Radiation in the Early Universe

$$\rho_r = [1 + 0.2271 N_{\text{eff}}] \rho_\gamma$$

$$H^2 = 8\pi G \rho_T / 3$$

N_{eff} controls the expansion rate H in the early Universe, during radiation dominated phase

influence on

Big Bang Nucleosynthesis:
production of light nuclei

abundances today

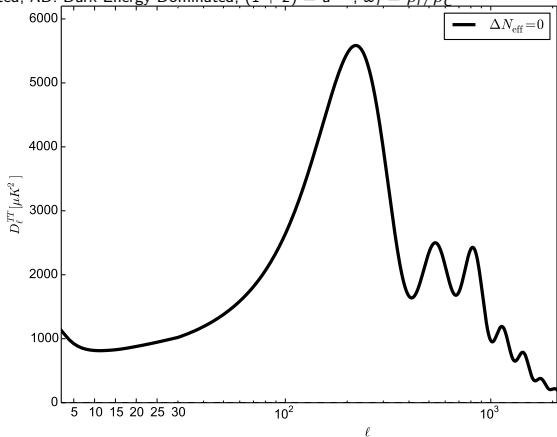
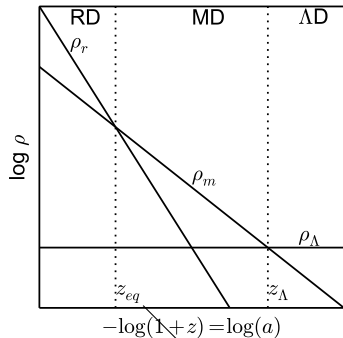
matter-radiation equality

expansion rate at
CMB decoupling

Additional Radiation: Effects on the CMB

Starting configuration:

RD: Radiation Dominated, MD: Matter Dominated, Λ D: Dark Energy Dominated; $(1+z) = a^{-1}$; $\omega_i = \rho_i/\rho_c$

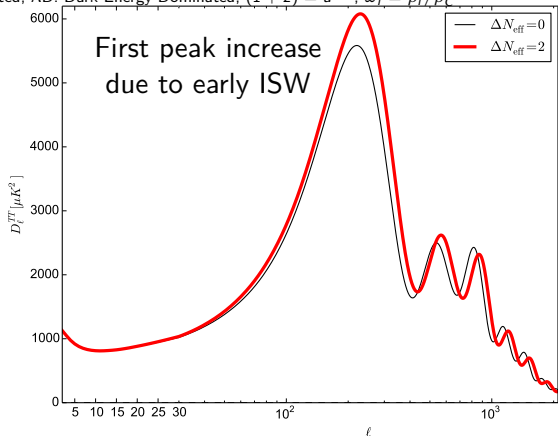
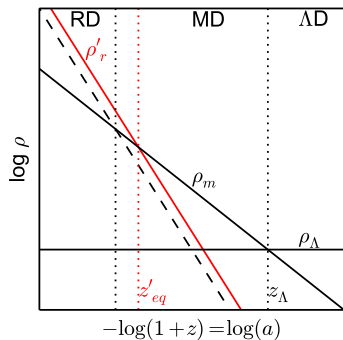


$$1 + z_{eq} = \frac{\omega_m}{\omega_r} = \frac{\omega_m}{\omega_\gamma} \frac{1}{1 + 0.2271 N_{\text{eff}}}$$

Additional Radiation: Effects on the CMB

If we increase N_{eff} , all the other parameters fixed:

RD: Radiation Dominated, MD: Matter Dominated, Λ D: Dark Energy Dominated; $(1+z) = a^{-1}$; $\omega_i = \rho_i/\rho_C$

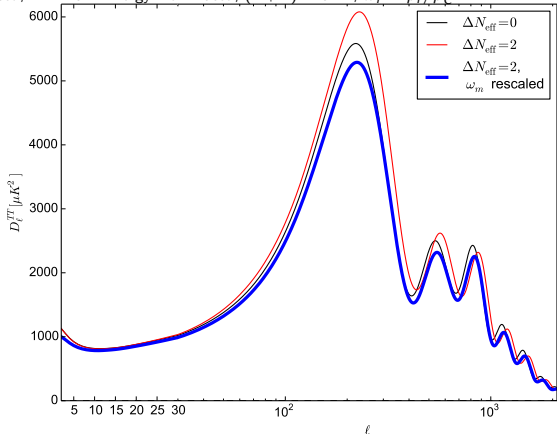
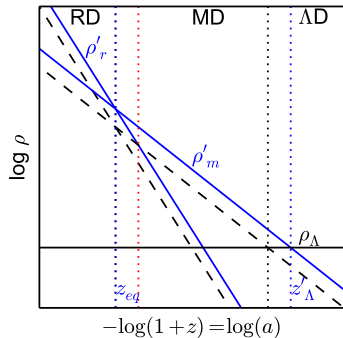


At z_{CMB} : higher $H \propto \rho_r \Rightarrow$ smaller comoving sound horizon $r_s \propto H^{-1}$
 \Rightarrow decrease of the angular scale of the acoustic peaks $\theta_s = r_s/D_A$
 \Rightarrow shift of the peaks at higher ℓ

Additional Radiation: Effects on the CMB

If we increase N_{eff} , plus ω_m to fix z_{eq} :

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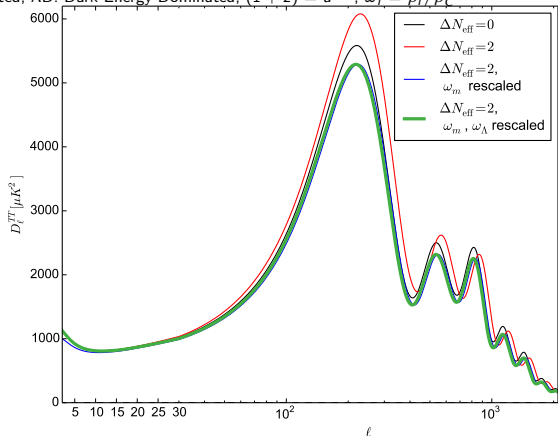
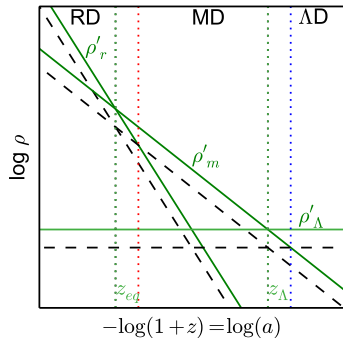


- Contribution from early ISW effect restored (first peak)
- different slope of the Sachs-Wolfe plateau, peak positions, envelope of high- l peaks \Rightarrow due to later z_Λ

Additional Radiation: Effects on the CMB

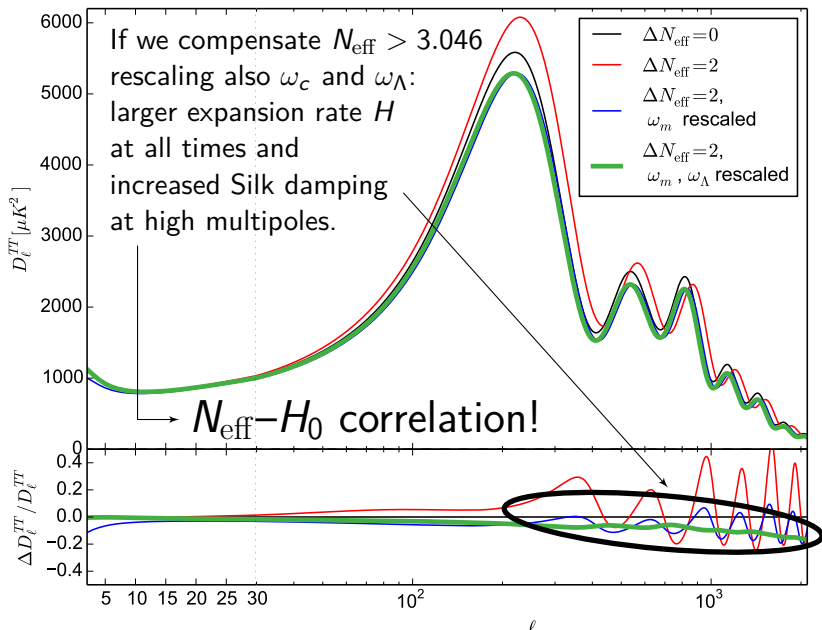
If we increase N_{eff} , plus ω_m, ω_Λ to fix z_{eq}, z_Λ :

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- peak positions recovered;
- slope of the Sachs-Wolfe plateau recovered;
- peak amplitude not recovered!

Additional Radiation: Effects on the CMB



N_{eff} and BBN

BBN: production of light nuclei
at $t \sim 1\text{s}$ to $t \sim \mathcal{O}(10^2)\text{s}$

temperature $T_{\text{fr}} \simeq 1\text{ MeV}$
from nucleon freeze-out:

$$\Gamma_{n \leftrightarrow p} \sim G_F^2 T^5 = H \sim \sqrt{g_* G_N} T^2$$

$$T_{\text{fr}} \simeq (g_* G_N / G_F^4)^{1/6}$$

enters

$$n/p = \exp(-Q/T_{\text{fr}})$$

which controls element abundances

$$g_* \text{ depends on } N_{\text{eff}}$$

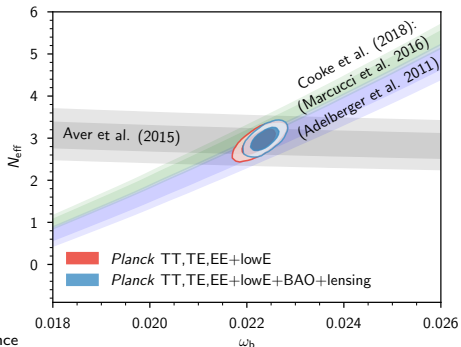
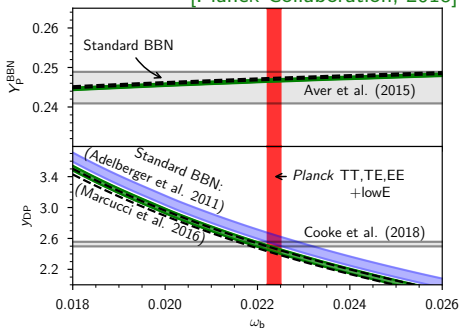
abundances depend on N_{eff}

G_F Fermi constant n, p : neutron, proton density number
 G_N Newton constant $Q = 1.293\text{ MeV}$ neutron-proton mass difference

S. Gariazzo

"Neutrinos and Cosmology"

[Planck Collaboration, 2018]



CERN, 22/10/2018

7/28

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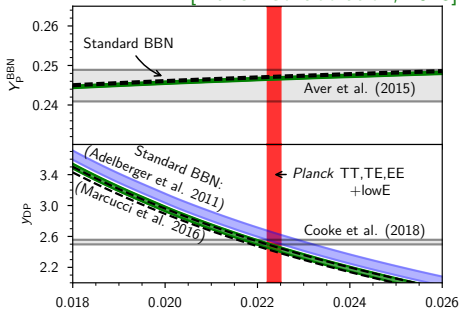
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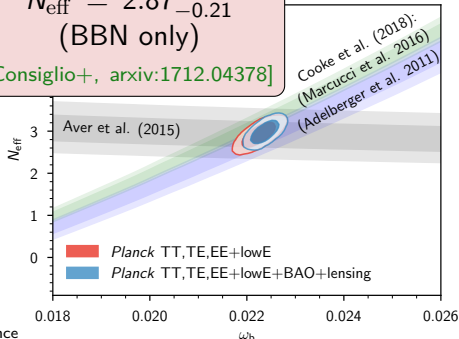
[Planck Collaboration, 2018]



$$N_{\text{eff}} = 2.87^{+0.24}_{-0.21}$$

(BBN only)

[Consiglio+, arxiv:1712.04378]



CERN, 22/10/2018

7/28

Neutrino masses from CMB

$$1 + z_{\text{eq}} = (\omega_b + \omega_c) / \omega_r$$

independent of m_ν

ω_i energy density of species i ,
 $i \in (\text{radiation, matter, baryons, cold dark matter, } \nu)$
 z_{eq} matter-radiation equality redshift

$$\omega_m^0 = \omega_b^0 + \omega_c^0 + \omega_\nu^0 \text{ today}$$

mass of species relativistic at recombination
affects late time evolution only

small effects on the SW plateau
(cosmic variance, degeneracies...)

Effects on the early ISW effect

$$\frac{\Delta C_\ell}{C_\ell} \simeq - \left(\frac{\sum m_\nu}{0.1 \text{ eV}} \right) \%$$

effects on the position of peaks

$$\theta_s = r_s(\eta_{LS}) / D_A(\eta_{LS})$$

$$D_A = \int_0^{z_{\text{rec}}} \frac{dz}{H(z)}$$

(this effect can be compensated reducing H_0)

correlation $m_\nu - H_0$

["Neutrino Cosmology", Lesgourgues et al.]

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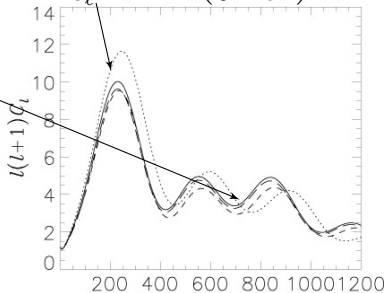
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["Neutrino Cosmology", Lesgourgues et al.]

Free-streaming - I

Non-cold relics \implies damping in the perturbations due to free-streaming

Growth equation: $\ddot{\delta} + \boxed{2H\dot{\delta}} - \boxed{c_s^2 k^2 \frac{\delta}{a^2}} = \boxed{4\pi G_N \rho \delta}$

Hubble drag pressure gravity

Jeans scale: pressure = gravity

$$k_J \equiv \sqrt{\frac{4\pi G_N \rho}{c_s^2 (1+z)^2}}$$

$k < k_J$

growth of density perturbations

$k > k_J$

no growth can occur

neutrino free-streaming scale

$$k_{fs}(z) \equiv \sqrt{\frac{3}{2} \frac{H(z)}{(1+z)\sigma_{\nu,\nu}(z)}} \simeq 0.7 \left(\frac{m_\nu}{1 \text{ eV}} \right) \sqrt{\frac{\Omega_M}{1+z}} h/\text{Mpc}$$

ρ energy density of a given fluid
 $\delta = \delta\rho/\rho$ perturbation (single fluid)
 c_s sound speed of the fluid

$\sigma_{\nu,\nu}(z)$ ν velocity dispersion
 $H = H(z)$ Hubble factor at redshift z
 h reduced Hubble factor today

Free-streaming - II

Damping occurs for all $k \gtrsim k_{nr}$

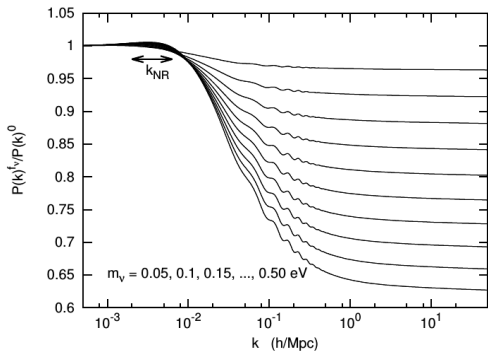
k_{nr} : corresponding
to ν non-relativistic transition

Plot: $\frac{P_{m_\nu > 0}(k)}{P_{m_\nu = 0}(k)}$

- top to bottom: $m_\nu = 0.05$ eV
to $m_\nu = 0.5$ eV

- $\frac{\Delta P}{P} \simeq -\frac{8\Omega_\nu}{\Omega_M} \simeq -\frac{\sum m_\nu}{0.01 \text{ eV}} \%$

["Neutrino Cosmology", Lesgourgues et al.]
(fixed $h, \omega_m, \omega_b, \omega_\Lambda$)



Expected constraints from future surveys:

- Planck CMB + DES: $\sigma(m_\nu) \simeq 0.04\text{--}0.06$ eV [Font-Ribera et al., 2014]
- Planck CMB + Euclid: $\sigma(m_\nu) \simeq 0.03$ eV [Audren et al., 2013]

1 *Introduction*

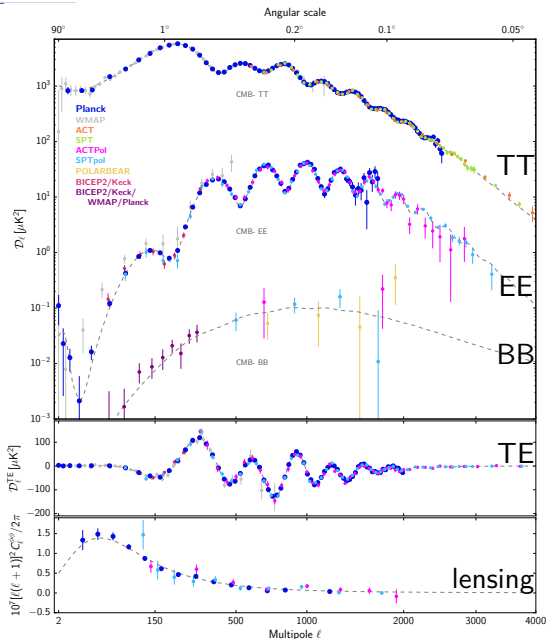
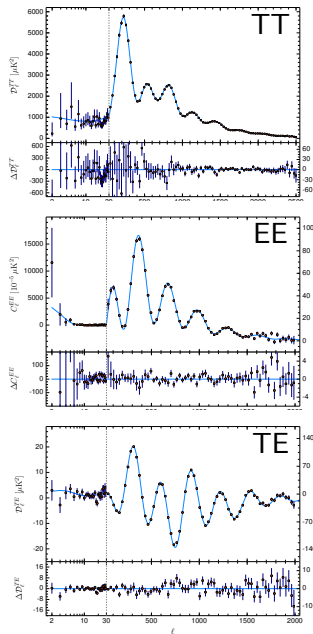
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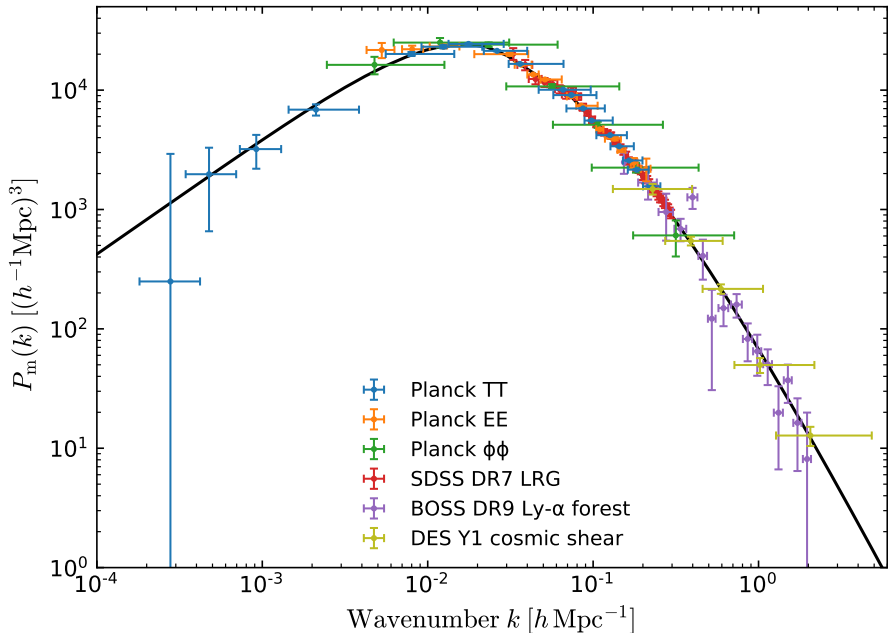
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with $H_0 = H(z = 0)$

Local measurements:

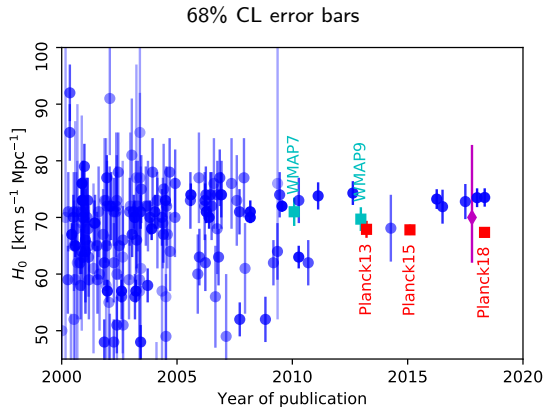
$H(z = 0)$,

local and independent on evolution (model independent, but **systematics?**)

CMB measurements

(probe $z \simeq 1100$):

H_0 from the cosmological evolution (model dependent, well controlled systematics)



Tension I: the Hubble parameter H_0

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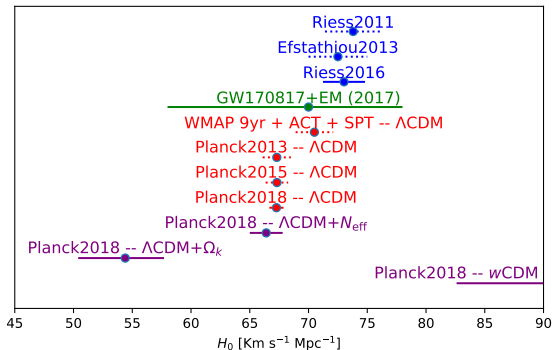
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68% CL error bars



Using HST Cepheids:

[Efstathiou 2013] $H_0 = 72.5 \pm 2.5 \text{ Km s}^{-1} \text{ Mpc}^{-1}$

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GW: [Abbott et al., 2017] $H_0 = 70^{+12}_{-8} \text{ Km s}^{-1} \text{ Mpc}^{-1}$

(Λ CDM model - CMB data only)

[Planck 2013]: $H_0 = 67.3 \pm 1.2 \text{ Km s}^{-1} \text{ Mpc}^{-1}$

[Planck 2018]: $H_0 = 67.27 \pm 0.60 \text{ Km s}^{-1} \text{ Mpc}^{-1}$

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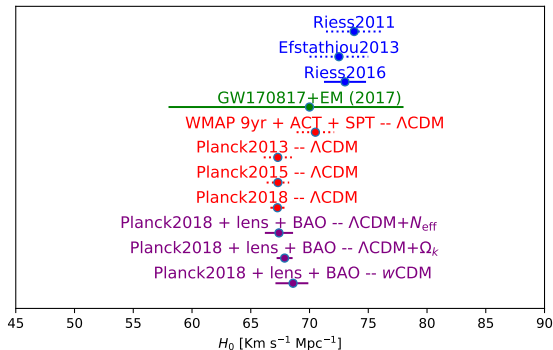
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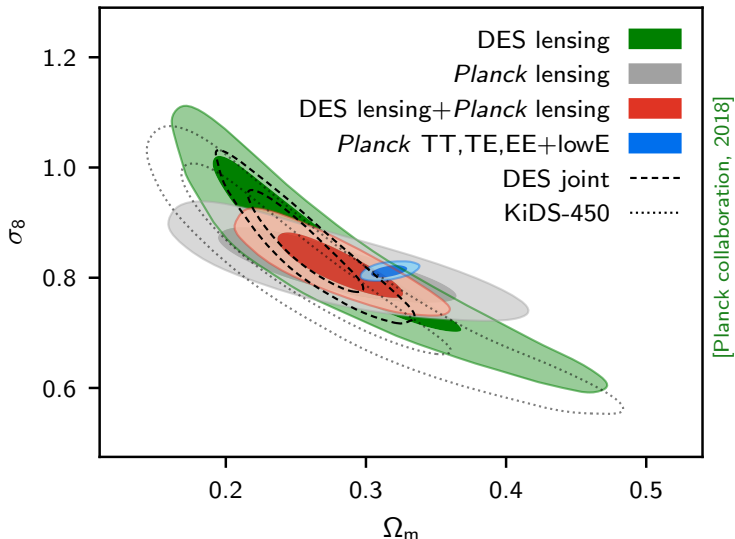
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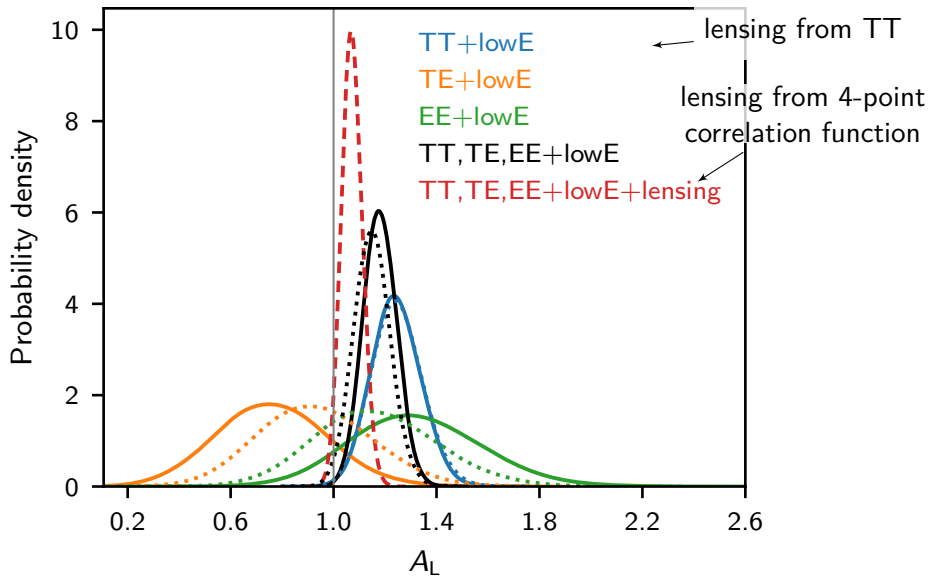
Tension II (?): the matter distribution at small scales

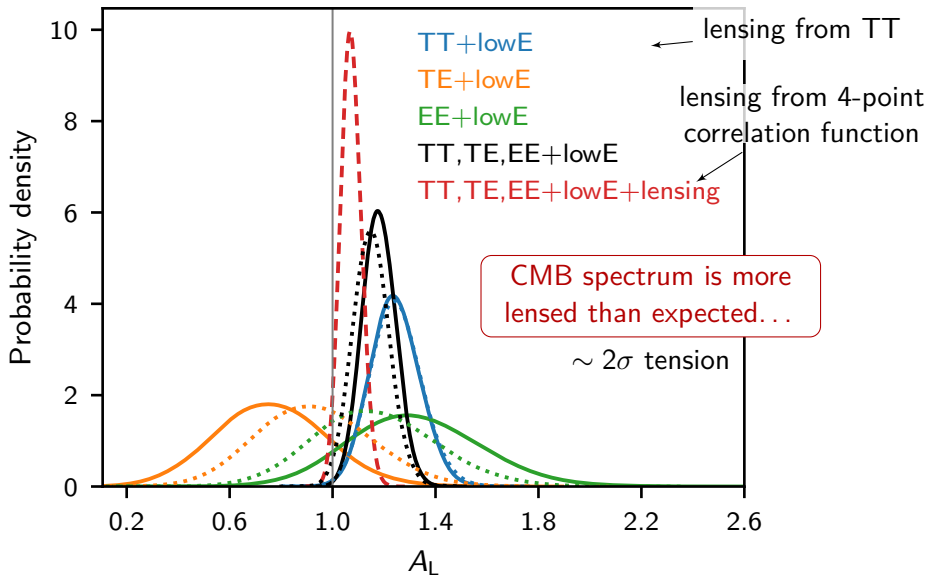
Assuming Λ CDM model:

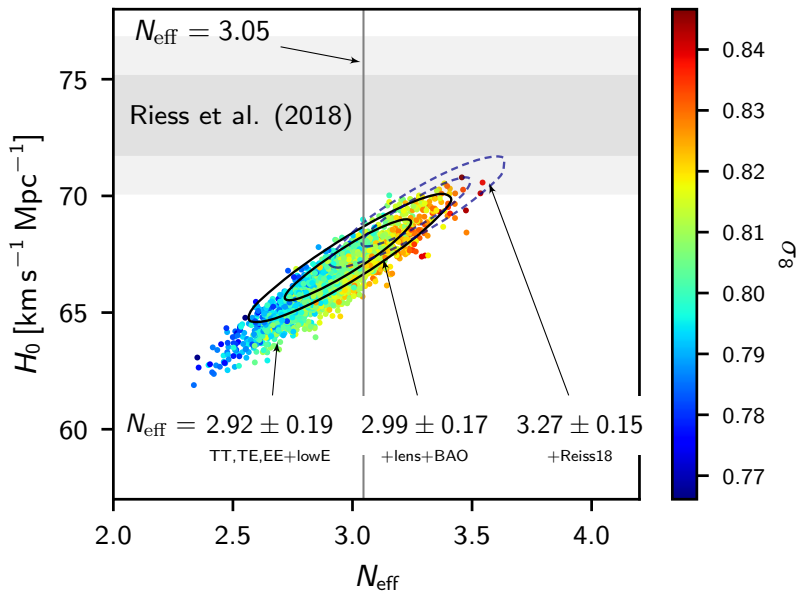
σ_8 : rms fluctuation in total matter (baryons + CDM + neutrinos) in $8h^{-1}$ Mpc spheres, today;

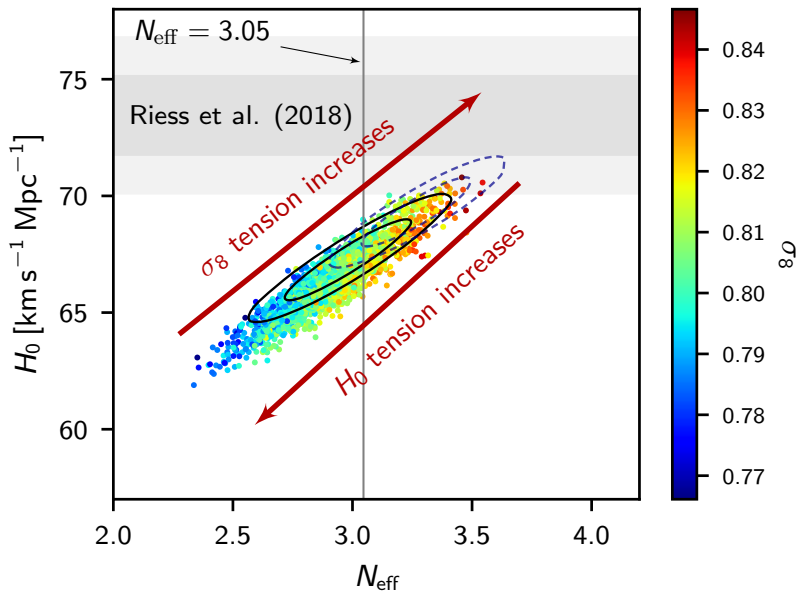
Ω_m : total matter density today divided by the critical density

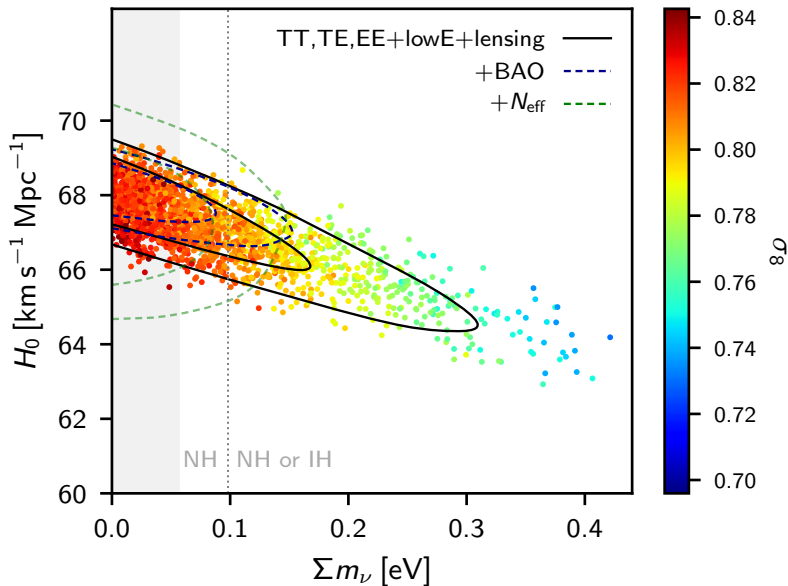


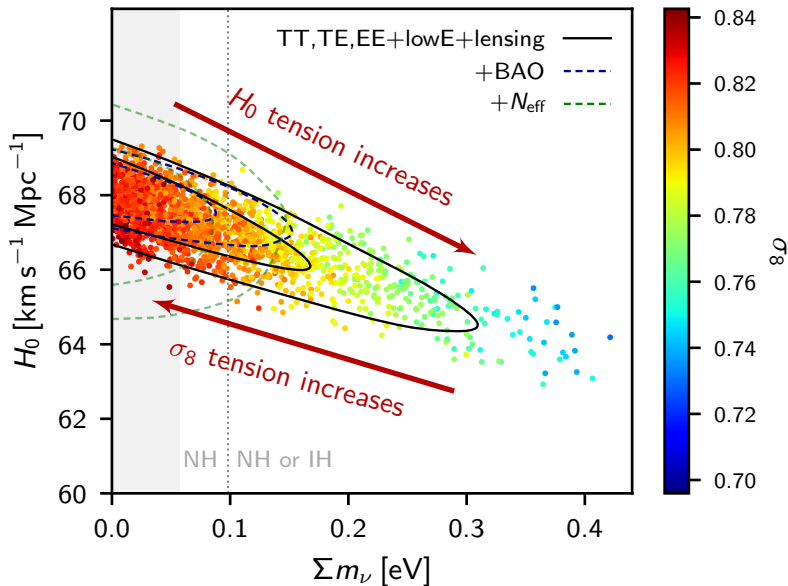


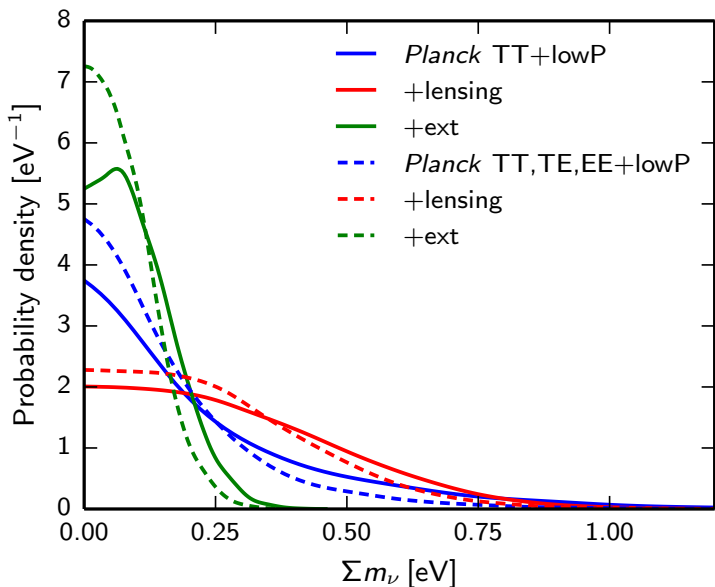


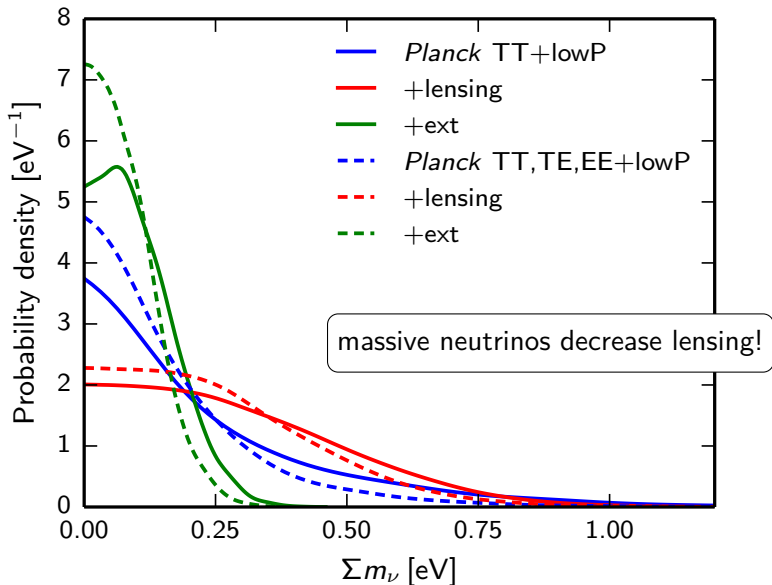












Normal ordering (NO)

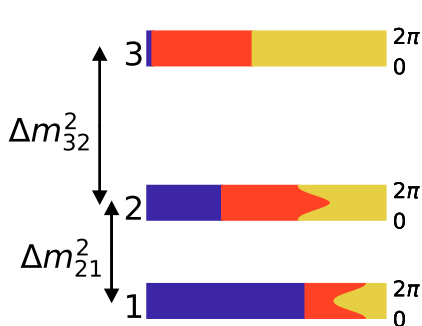
$$m_1 < m_2 < m_3$$

$$\sum m_k \gtrsim 0.06 \text{ eV}$$

 ν_e

 ν_μ

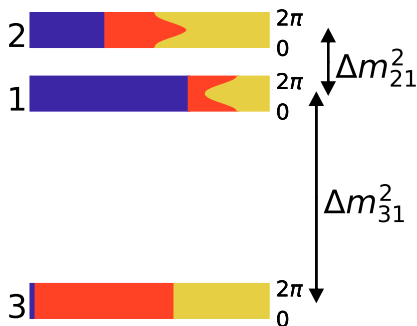
 ν_τ



Inverted ordering (IO)

$$m_3 < m_1 < m_2$$

$$\sum m_k \gtrsim 0.1 \text{ eV}$$



Absolute scale unknown!

Can we constrain the mass ordering using bounds on $\sum m_\nu$?

■ Playing with priors

Bayes theorem:

$$p(\theta|d, \mathcal{M}) = \mathcal{L}(\theta) \frac{\pi(\theta|\mathcal{M})}{Z_{\mathcal{M}}}$$

posterior depends on prior!

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posterior depends on prior!

[Planck 2018]: prior

$$0 < \Sigma m_{\nu} < \mathcal{O}(1) \text{ eV}$$

strongest upper limit (95%):

$$\Sigma m_{\nu} < 113 \text{ meV}$$

(CMB+lens+BAO+SN)

corresponding to

$$\Sigma m_{\nu} < 53.6 \text{ meV (68\%)}$$

below minimum for NO!
does it make sense?

Playing with priors

Bayes theorem:

$$p(\theta|d, \mathcal{M}) = \mathcal{L}(\theta) \frac{\pi(\theta|\mathcal{M})}{Z_{\mathcal{M}}}$$

posterior depends on prior!

Different limits if you consider simply $\Sigma m_{\nu} > 0$ or you take into account oscillation results...

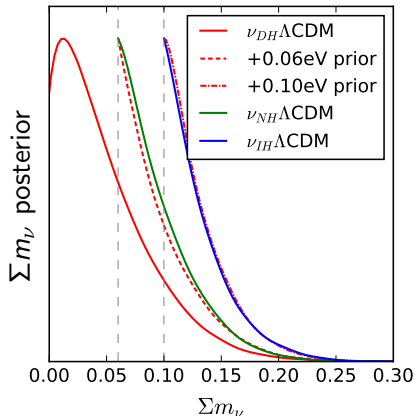
[Wang+, 2017]

degenerate (DH)

vs normal (NH)

vs inverted (IH) hierarchy

(i.e. change the prior lower bound)



Playing with priors

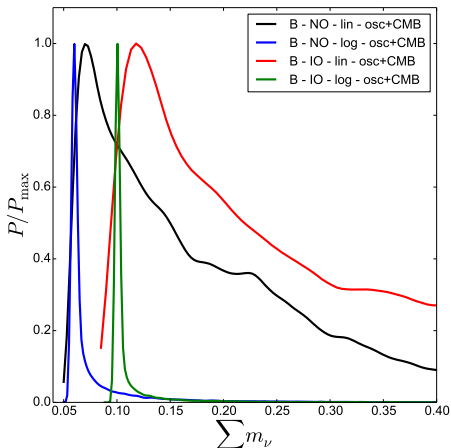
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posterior depends on prior!

You can artificially tighten the bounds on Σm_{ν} with different priors. . .

[SG+, 2018]
logarithmic
vs linear prior
on m_{lightest}



Playing with priors

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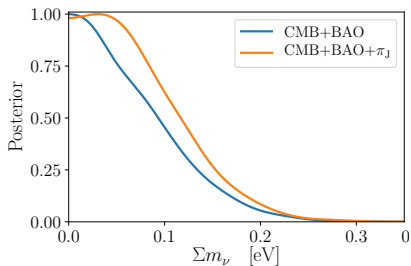
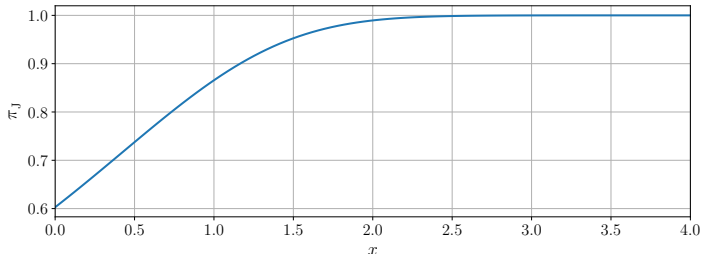
$$p(\theta|d, \mathcal{M}) = \mathcal{L}(\theta) \frac{\pi(\theta|\mathcal{M})}{Z_{\mathcal{M}}}$$

posterior depends on prior!

[Hannestad+, 2017]

Jeffreys prior (π_J) for Σm_ν

π_J makes the posterior maximally sensitive to data for constrained parameter, compensate border effect



Playing with the baseline model

what if we release the assumption of the Λ CDM model?

CMB TT + lens
CMB TT,TE,EE

$$\begin{aligned}\Sigma m_\nu &< 0.68 \text{ eV} \\ \Sigma m_\nu &< 0.49 \text{ eV}\end{aligned}$$

[Planck 2015]

Λ CDM

CMB TT + lens + BAO
CMB TT,TE,EE + BAO

$$\begin{aligned}\Sigma m_\nu &< 0.25 \text{ eV} \\ \Sigma m_\nu &< 0.17 \text{ eV}\end{aligned}$$

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w CDM

free dark energy equation of state $w \neq -1$

$$\begin{aligned}\Sigma m_\nu &< 0.37 \text{ eV} \text{ [Planck 2015]} \\ \Sigma m_\nu &< 0.27 \text{ eV} \text{ [Wang+, 2016]}\end{aligned}$$

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[Planck 2015]
 Λ CDM + A_{lens}

free phenomenological lensing amplitude $A_{\text{lens}} \neq -1$

$$\Sigma m_\nu < 0.41 \text{ eV}$$

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[Di Valentino+, 2015]

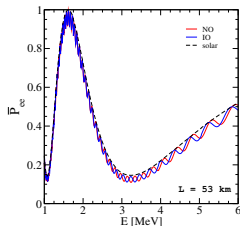
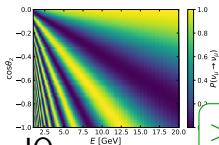
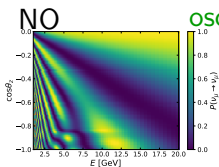
$$\Sigma m_\nu < 0.96 \text{ eV}$$

eCDM

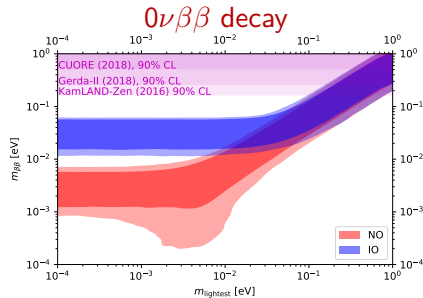
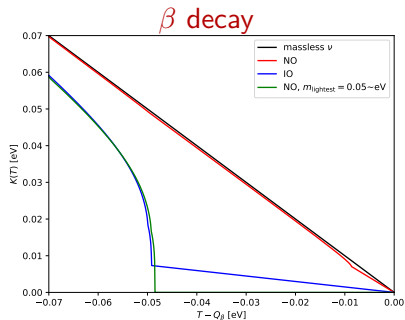
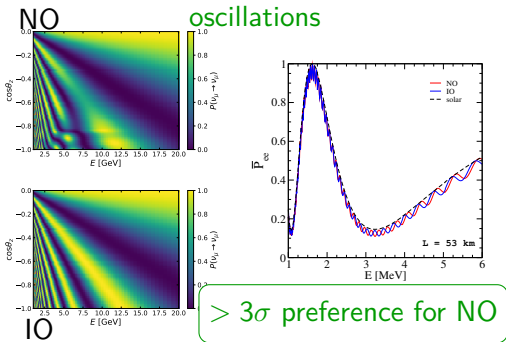
$$\Sigma m_\nu < 0.53 \text{ eV}$$

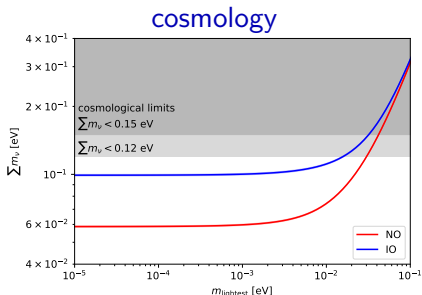
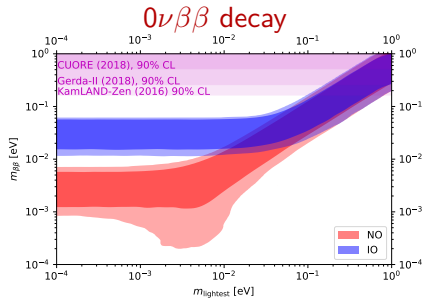
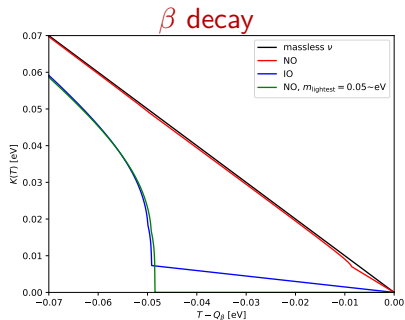
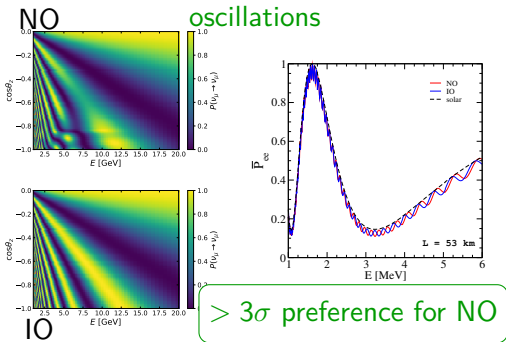
12-parameters cosmological model, Λ CDM based

oscillations



> 3 σ preference for NO





(Bayesian) results

Bayes theorem for models:

$$p(\mathcal{M}|d) \propto Z_{\mathcal{M}}\pi(\mathcal{M})$$

Bayesian evidence:

$$Z_{\mathcal{M}} = \int_{\Omega_{\mathcal{M}}} \mathcal{L}(\theta) \pi(\theta) d\theta$$

Bayes factor NO vs IO:

$$B_{\text{NO,IO}} = Z_{\text{NO}}/Z_{\text{IO}}$$

Posterior probability:

$$\begin{aligned} P_{\text{NO}} &= B_{\text{NO,IO}}/(B_{\text{NO,IO}} + 1) \\ P_{\text{IO}} &= 1/(B_{\text{NO,IO}} + 1) \end{aligned}$$

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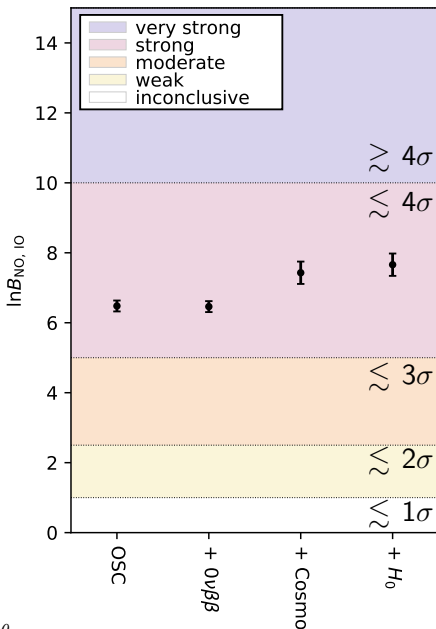
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$$P_{\text{IO}} = 1 / (B_{\text{NO,IO}} + 1)$$



1 *Introduction*

- Neutrinos and early Universe
- Relativistic neutrinos in the early Universe
- Massive neutrinos in the late Universe

2 *Current constraints*

- Cosmological observables
- Current status
- Extending the cosmological model
- Mass ordering

3 *Direct detection of relic neutrinos*

4 *Conclusions*

A viable detection method

How to directly detect non-relativistic neutrinos?

Remember that
 $\langle E_\nu \rangle \simeq \mathcal{O}(10^{-4})$ eV today



a process without energy
 threshold is necessary

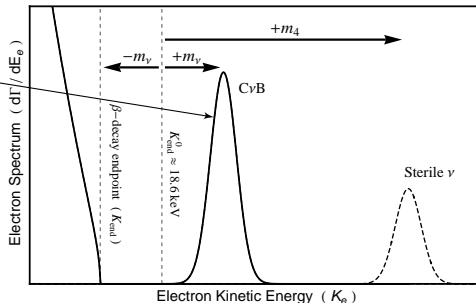
[Weinberg, 1962]: neutrino capture in β -decaying nuclei $\nu + n \rightarrow p + e^-$

Main background: β decay $n \rightarrow p + e^- + \bar{\nu}$!

signal is a peak at $2m_\nu$
 above β -decay endpoint

only with a lot of material

need a very good energy resolution



PonTecorvo Observatory for Light, Early-universe, Massive-neutrino Yield (PTOLEMY)

expected resolution $\Delta \simeq 0.1 \text{ eV?}$
 0.05 eV?

can probe $m_\nu \simeq 1.4\Delta \simeq 0.1 \text{ eV}$

built mainly for CNB

$M_T = 100 \text{ g of atomic } ^3\text{H}$

$$\Gamma_{\text{CNB}} = \sum_{i=1}^3 |U_{ei}|^2 [n_i(\nu_{hR}) + n_i(\nu_{hL})] N_T \bar{\sigma} \sim \mathcal{O}(10) \text{ yr}^{-1}$$

N_T number of ^3H nuclei in a sample of mass M_T $\bar{\sigma} \simeq 3.834 \times 10^{-45} \text{ cm}^2$ n_i number density of neutrino i

(without clustering)

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enhancement from ν clustering in the galaxy?

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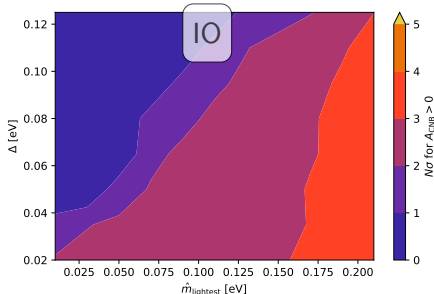
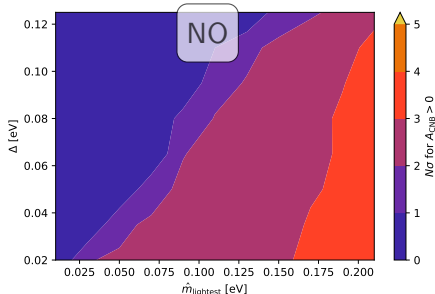
using the definition:

$$N_{\text{th}}^i(\theta) = A_\beta N_\beta^i(\hat{E}_{\text{end}} + \Delta E_{\text{end}}, m_i, U) + \mathbf{A}_{\text{CNB}} N_{\text{CNB}}^i(\hat{E}_{\text{end}} + \Delta E_{\text{end}}, m_i, U) + N_b$$

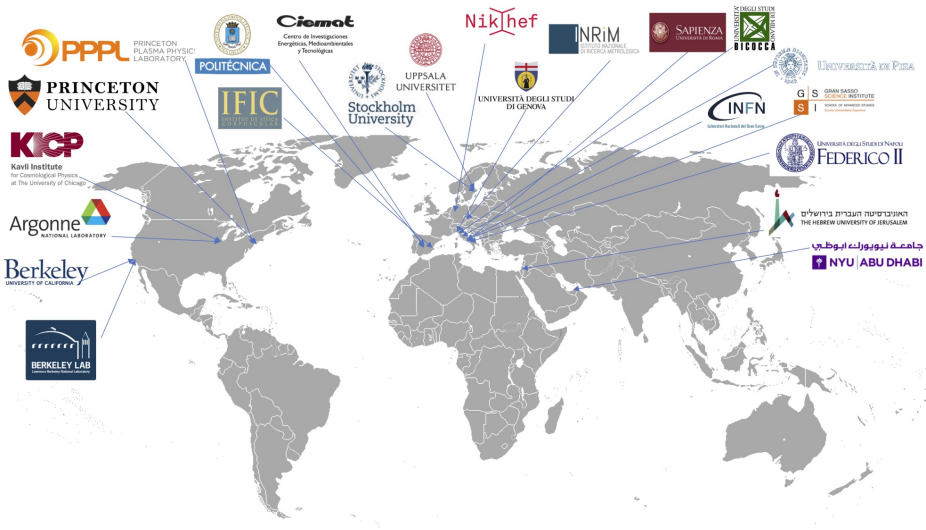
if $\mathbf{A}_{\text{CNB}} > 0$ at $N\sigma$, direct detection of CNB accomplished at $N\sigma$

statistical only!

significance on $\mathbf{A}_{\text{CNB}} > 0$
as a function of $\hat{m}_{\text{lightest}}$, Δ



PTOLEMY collaboration



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Conclusions

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Cosmology is an **excellent tool**
for studying neutrino properties!

In particular, **masses** and **effective number**

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But beware of **systematics/model dependency!**
Situation less clear than what usually stated?

In particular: **priors, model extensions**

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We need more data in order to
break degeneracies
between different parameters!

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Bonus

For a not-so-near future:
direct detection of relic neutrinos???

A long way to go. . .

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A long way to go. . .

Thank you for the attention!

5 *Neutrinos*

6 *PTOLEMY*

7 *Light sterile neutrinos*

Cosmological neutrino mass bounds

Cosmology can constrain $M_\nu = \sum m_\nu$

standard

based on Λ CDM model

[Planck Collaboration 2015, AA594 (2016) A13]

$$M_\nu < 0.72 \text{ eV (PlanckTT+lowP)}$$

$$95\% M_\nu < 0.21 \text{ eV (+BAO)}$$

$$95\% M_\nu < 0.49 \text{ eV (PlanckTT+TEEE+lowP)}$$

$$M_\nu < 0.17 \text{ eV (+BAO)}$$

see also:

[Vagnozzi et al., PRD96 (2017) 123503]

[Planck Collaboration 2016, AA596 (2016) A107]

$$M_\nu < 0.59 \text{ eV (PlanckTT+SimLow)}$$

$$95\% M_\nu < 0.17 \text{ eV (+BAO)}$$

$$95\% M_\nu < 0.34 \text{ eV (PlanckTT+TEEE+SimLow)}$$

$$M_\nu < 0.14 \text{ eV (+BAO)}$$

(SimLow not public yet)

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(SimLow not public yet)

Modified gravity?

[Barreira et al., 2014]:

ν Galileon

$$68\% M_\nu = 0.98 \pm 0.24 \text{ eV} \text{ (CMB)}$$

$$68\% M_\nu = 0.65 \pm 0.11 \text{ eV} \text{ (CMB+BAO)}$$

[Bellomo et al., 2016]:

95% Horndeski scalar-tensor

$$95\% M_\nu < 0.76 \text{ eV}$$

[Dirian, 2017]:

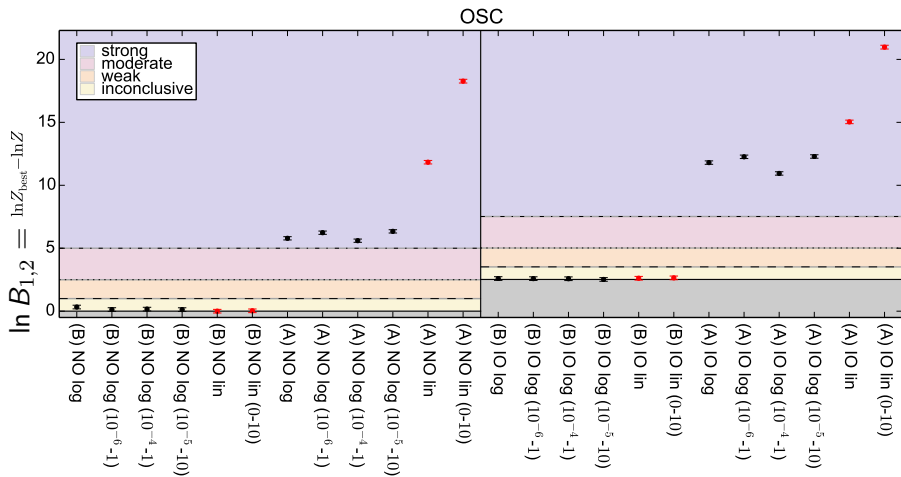
68% nonlocal gravity

$$68\% M_\nu = 0.21 \pm 0.08 \text{ eV}$$

[Peirone et al, 2017]:

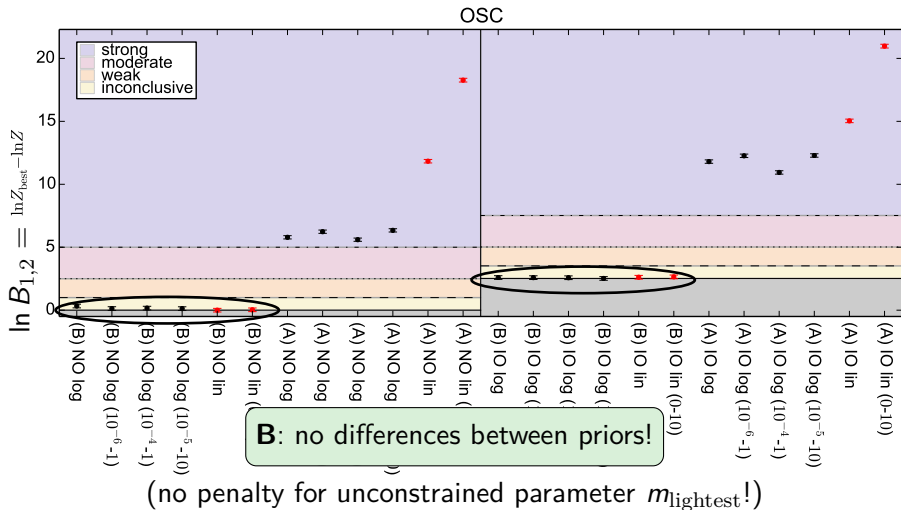
68% Covariant Galileon

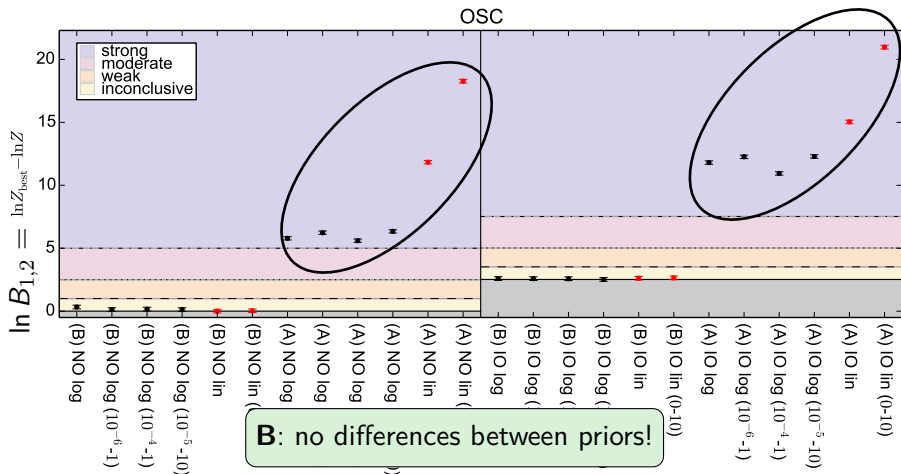
$$68\% M_\nu = 0.8 \pm 0.1 \text{ eV}$$



A: (m_1, m_2, m_3)

B: $(m_{\text{lightest}}, \Delta m_{21}^2, \Delta m_{31}^2)$



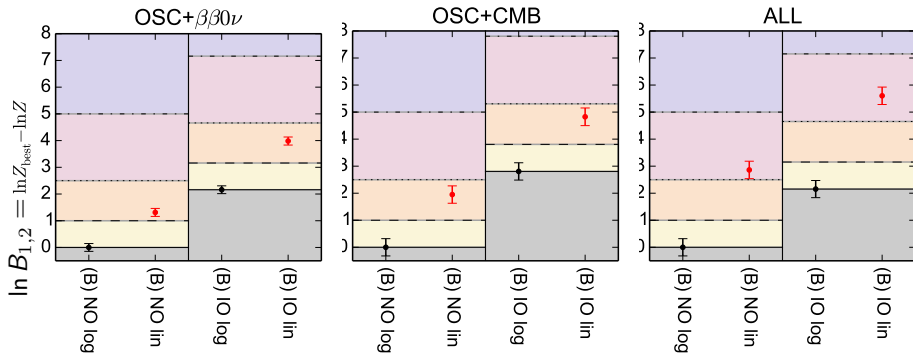


B: no differences between priors!

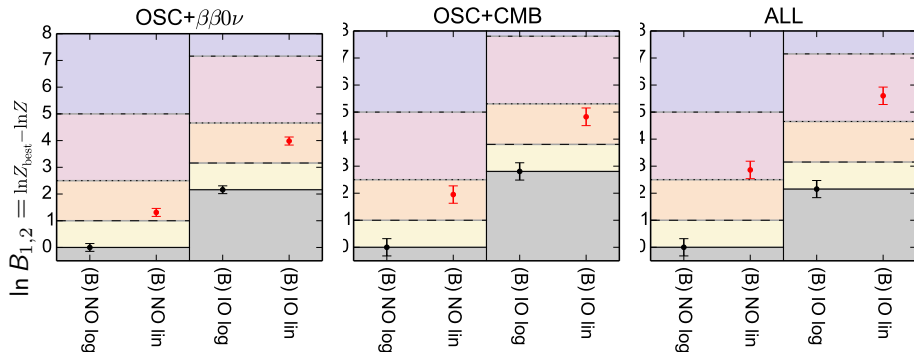
(no penalty for unconstrained parameter m_{lightest} !)

A: always strongly disfavored!

(waste of parameter space, no unconstrained parameters due to Δm_{11}^2 !)



compare **linear** versus **logarithmic**



compare **linear** versus **logarithmic**

log priors are
weakly-to-moderately more efficient

summary: $(m_{\text{lightest}}, \Delta m_{21}^2, \Delta m_{31}^2)$, log prior is better!

5 *Neutrinos*

6 **PTOLEMY**

7 *Light sterile neutrinos*

$$\frac{d\tilde{\Gamma}_{\text{CNB}}}{dE_e}(E_e) = \frac{1}{\sqrt{2\pi}\sigma} \sum_{i=1}^{N_\nu} \bar{\sigma} N_T |U_{ei}|^2 f_{c,i} n_0 \times e^{-\frac{[E_e - (E_{\text{end}} + m_i + m_{\text{lightest}})]^2}{2\sigma^2}}$$

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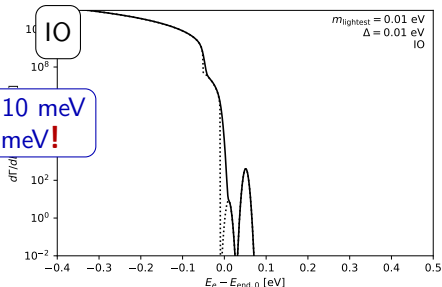
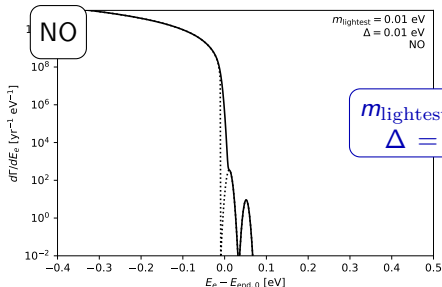
$$\frac{d\tilde{\Gamma}_\beta}{dE_e}(E_e) = \frac{1}{\sqrt{2\pi}\sigma} \int_{-\infty}^{+\infty} dx \frac{d\Gamma_\beta}{dE_e}(x) \exp\left[-\frac{(E_e - x)^2}{2\sigma^2}\right]$$

$\bar{\sigma}$ cross section, N_T number of tritium atoms in $M_T = 100$ g, E_{end} endpoint, $\sigma = \Delta/\sqrt{8 \ln 2}$ standard deviation

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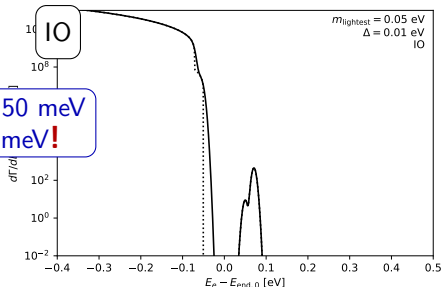
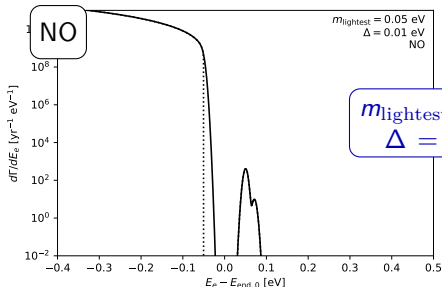


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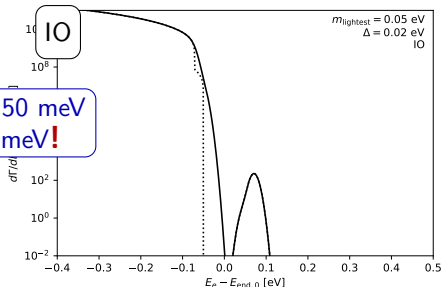
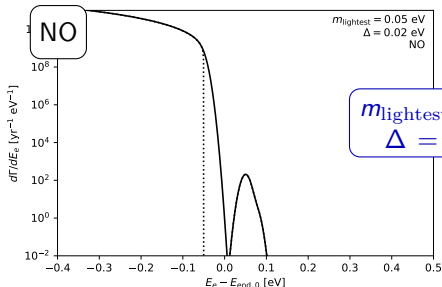
$m_{\text{lightest}} = 50 \text{ meV}$
 $\Delta = 10 \text{ meV!}$

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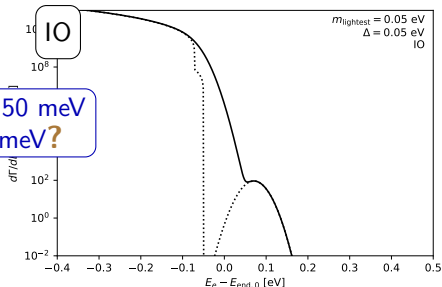
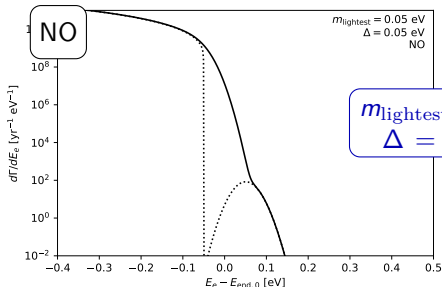
$m_{\text{lightest}} = 50$ meV
 $\Delta = 20$ meV!

$\bar{\sigma}$ cross section, N_T number of tritium atoms in $M_T = 100$ g, E_{end} endpoint, $\sigma = \Delta/\sqrt{8 \ln 2}$ standard deviation

$$\frac{d\tilde{\Gamma}_{\text{CNB}}}{dE_e}(E_e) = \frac{1}{\sqrt{2\pi}\sigma} \sum_{i=1}^{N_\nu} \bar{\sigma} N_T |U_{ei}|^2 f_{c,i} n_0 \times e^{-\frac{[E_e - (E_{\text{end}} + m_i + m_{\text{lightest}})]^2}{2\sigma^2}}$$

$$\frac{d\Gamma_\beta}{dE_e} = \frac{\bar{\sigma}}{\pi^2} N_T \sum_{i=1}^{N_\nu} |U_{ei}|^2 H(E_e, m_i)$$

$$\frac{d\tilde{\Gamma}_\beta}{dE_e}(E_e) = \frac{1}{\sqrt{2\pi}\sigma} \int_{-\infty}^{+\infty} dx \frac{d\Gamma_\beta}{dE_e}(x) \exp\left[-\frac{(E_e - x)^2}{2\sigma^2}\right]$$



$m_{\text{lightest}} = 50 \text{ meV}$
 $\Delta = 50 \text{ meV}?$

$\bar{\sigma}$ cross section, N_T number of tritium atoms in $M_T = 100 \text{ g}$, E_{end} endpoint, $\sigma = \Delta/\sqrt{8 \ln 2}$ standard deviation

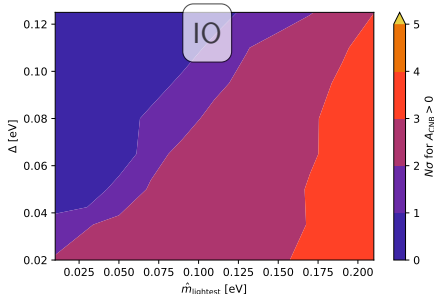
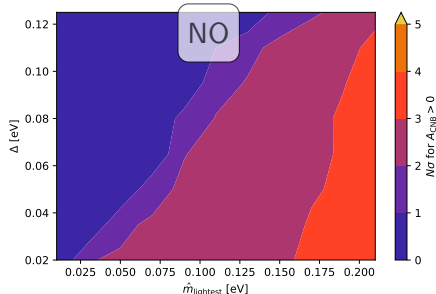
using the definition:

$$N_{\text{th}}^i(\theta) = A_\beta N_\beta^i(\hat{E}_{\text{end}} + \Delta E_{\text{end}}, m_i, U) + \mathbf{A}_{\text{CNB}} N_{\text{CNB}}^i(\hat{E}_{\text{end}} + \Delta E_{\text{end}}, m_i, U) + N_b$$

if $\mathbf{A}_{\text{CNB}} > 0$ at $N\sigma$, direct detection of CNB accomplished at $N\sigma$

statistical only!

significance on $\mathbf{A}_{\text{CNB}} > 0$
as a function of $\hat{m}_{\text{lightest}}$, Δ



Events in **bin** i , centered at E_i :

$$N_{\beta}^i = T \int_{E_i - \Delta/2}^{E_i + \Delta/2} \frac{d\tilde{\Gamma}_{\beta}}{dE_e} dE_e$$

$$N_{\text{CNB}}^i = T \int_{E_i - \Delta/2}^{E_i + \Delta/2} \frac{d\tilde{\Gamma}_{\text{CNB}}}{dE_e} dE_e$$

fiducial number of events: $\hat{N}^i = N_{\beta}^i(\hat{E}_{\text{end}}, \hat{m}_i, \hat{U}) + N_{\text{CNB}}^i(\hat{E}_{\text{end}}, \hat{m}_i, \hat{U})$

add **background** $\hat{N}_b = \hat{\Gamma}_b T$
with $\hat{\Gamma}_b \simeq 10^{-5}$ Hz

$$\longrightarrow N_t^i = \hat{N}^i + \hat{N}_b$$

T exposure time – $(\hat{E}_{\text{end}}, \hat{m}_i, \hat{U})$ fiducial endpoint energy, masses, mixing matrix – $\theta = (A_{\beta}, N_b, \Delta E_{\text{end}}, A_{\text{CNB}}, m_i, U)$

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$$N_{\beta}^i = T \int_{E_i - \Delta/2}^{E_i + \Delta/2} \frac{d\tilde{\Gamma}_{\beta}}{dE_e} dE_e$$

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simulated **experimental** spectrum:

$$N_{\text{exp}}^i(\hat{E}_{\text{end}}, \hat{m}_i, \hat{U}) = N_t^i \pm \sqrt{N_t^i}$$

T exposure time – $(\hat{E}_{\text{end}}, \hat{m}_i, \hat{U})$ fiducial endpoint energy, masses, mixing matrix – $\theta = (A_{\beta}, N_b, \Delta E_{\text{end}}, A_{\text{CNB}}, m_i, U)$

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simulated **experimental** spectrum:

$$N_{\text{exp}}^i(\hat{E}_{\text{end}}, \hat{m}_i, \hat{U}) = N_t^i \pm \sqrt{N_t^i}$$

repeat for **theory** spectrum, free **amplitudes** and **endpoint position**:

$$N_{\text{th}}^i(\theta) = \mathbf{A}_{\beta} N_{\beta}^i(\hat{E}_{\text{end}} + \Delta \mathbf{E}_{\text{end}}, m_i, U) + \mathbf{A}_{\text{CNB}} N_{\text{CNB}}^i(\hat{E}_{\text{end}} + \Delta \mathbf{E}_{\text{end}}, m_i, U) + N_b$$

T exposure time – $(\hat{E}_{\text{end}}, \hat{m}_i, \hat{U})$ fiducial endpoint energy, masses, mixing matrix – $\theta = (A_{\beta}, N_b, \Delta \mathbf{E}_{\text{end}}, A_{\text{CNB}}, m_i, U)$

Events in **bin** i , centered at E_i :

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$$N_{\text{CNB}}^i = T \int_{E_i - \Delta/2}^{E_i + \Delta/2} \frac{d\tilde{\Gamma}_{\text{CNB}}}{dE_e} dE_e$$

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fit \longrightarrow

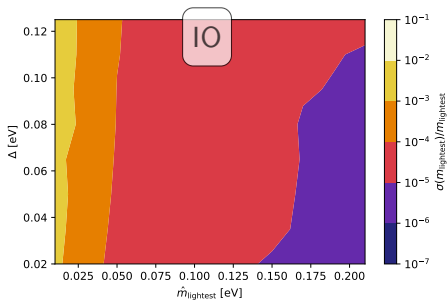
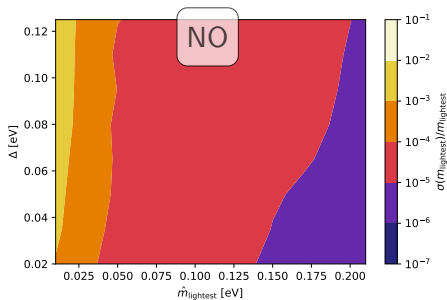
$$\chi^2(\theta) = \sum_i \left(\frac{N_{\text{exp}}^i(\hat{E}_{\text{end}}, \hat{m}_i, \hat{U}) - N_{\text{th}}^i(\theta)}{\sqrt{N_t^i}} \right)^2$$

or $\log \mathcal{L} = -\frac{\chi^2}{2}$

T exposure time – $(\hat{E}_{\text{end}}, \hat{m}_i, \hat{U})$ fiducial endpoint energy, masses, mixing matrix – $\theta = (A_{\beta}, N_b, \Delta \mathbf{E}_{\text{end}}, A_{\text{CNB}}, m_i, U)$

statistical only!

relative error on m_{lightest}
as a function of $\hat{m}_{\text{lightest}}$, Δ



wonderful precision in determining the neutrino mass

(well, yes, with 100 g of tritium...)

Δ has almost no impact

Bayesian method:

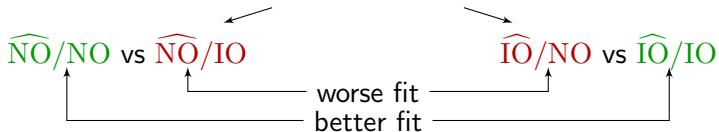
Fit fiducial ordering (\widehat{NO} or \widehat{IO}) using both **correct** and **wrong** ordering

\widehat{NO}/NO vs \widehat{NO}/IO

\widehat{IO}/NO vs \widehat{IO}/IO

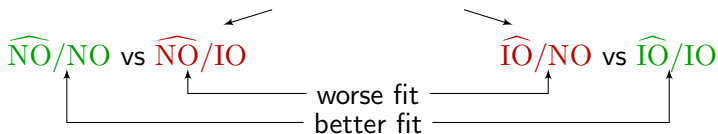
Bayesian method:

Fit fiducial ordering (\widehat{NO} or \widehat{IO}) using both **correct** and **wrong** ordering



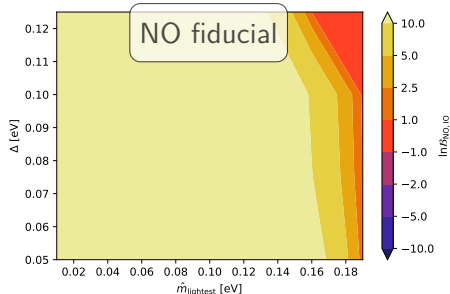
Bayesian method:

Fit fiducial ordering (\widehat{NO} or \widehat{IO}) using both **correct** and **wrong** ordering



statistical only!

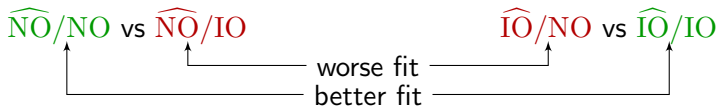
(Bayesian) preference on m_{lightest} as a function of $\hat{m}_{\text{lightest}}, \Delta$



work in progress

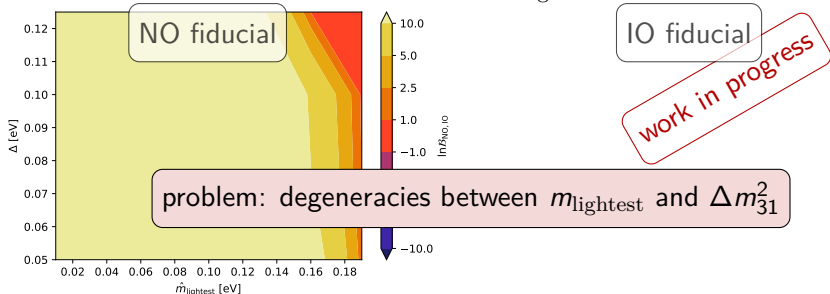
Bayesian method:

Fit fiducial ordering (\widehat{NO} or \widehat{IO}) using both **correct** and **wrong** ordering



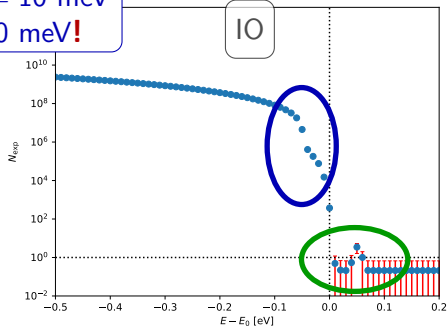
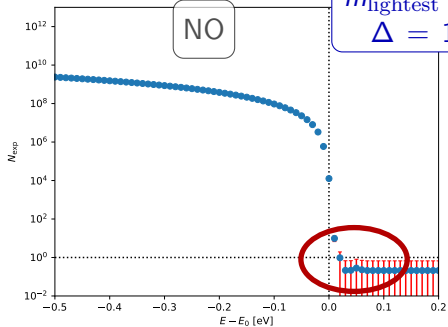
statistical only!

(Bayesian) preference on m_{lightest} as a function of $\hat{m}_{\text{lightest}}$, Δ

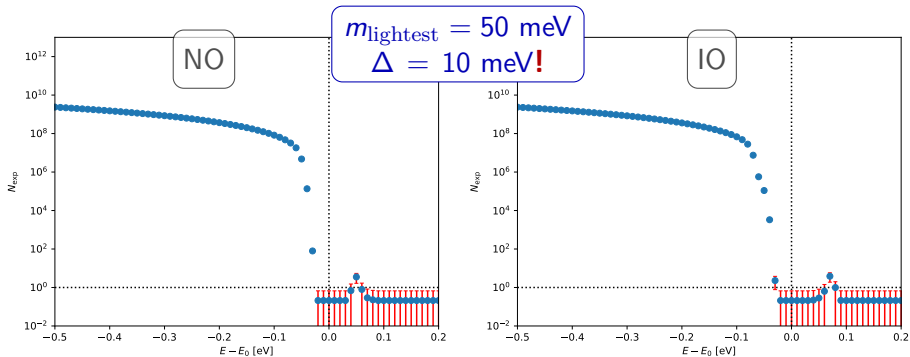


no random noise?

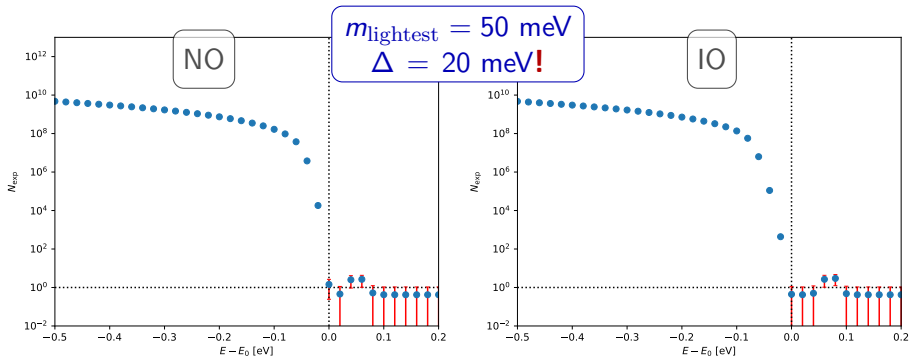
$m_{\text{lightest}} = 10 \text{ meV}$
 $\Delta = 10 \text{ meV!}$



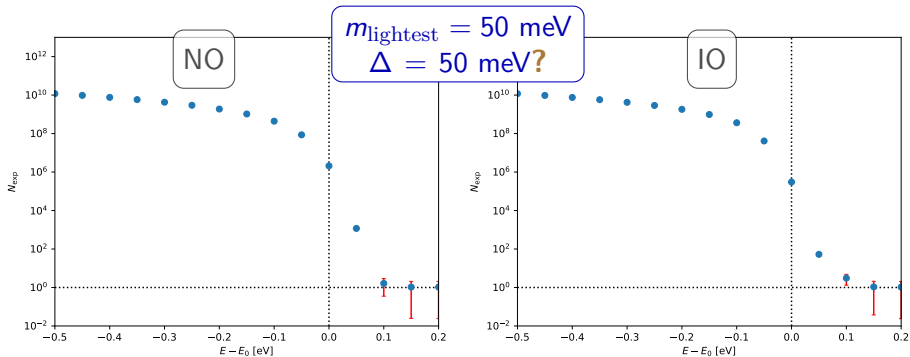
no random noise?



no random noise?

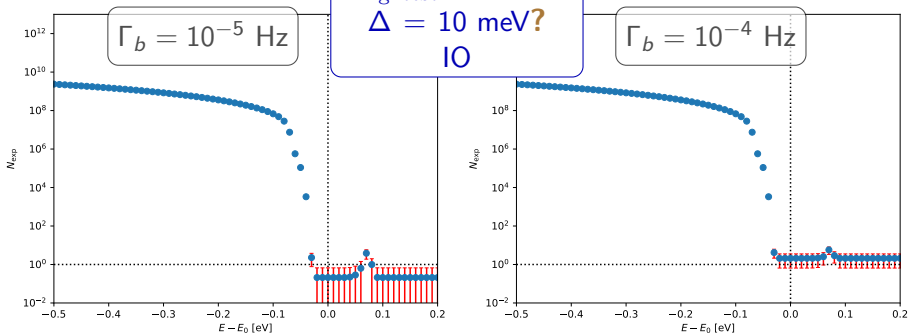


no random noise?



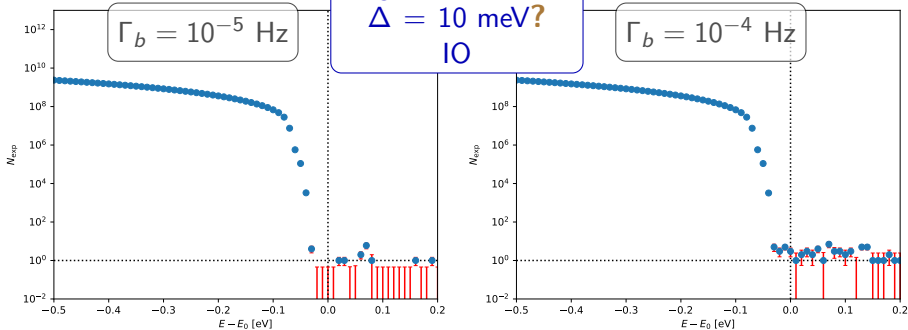
no random noise?

$m_{\text{lightest}} = 50 \text{ meV}$
 $\Delta = 10 \text{ meV?}$
 IO



with random noise!

$m_{\text{lightest}} = 50 \text{ meV}$
 $\Delta = 10 \text{ meV?}$
 IO



things are more complicated in this way...low background needed!

$$\Gamma_{C\nu B} = \mathcal{O}(10)/\text{yr}$$

$$\Gamma_4 \simeq \Delta N_{\text{eff}} |U_{e4}|^2 f_c(m_4) \Gamma_{\text{CNB}}$$

[SG et al., 1801.06467]

$$\Delta N_{\text{eff}} = ??$$

[SG et al., 2017]
 $f_c(m_4) = \mathcal{O}(10^2)$

$$m_4 \simeq 1.15 \text{ eV}$$

$$|U_{e4}|^2 \simeq 0.01$$

Γ_4 probably too small to be measured!

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[SG et al., 1801.06467]

$$\Delta N_{\text{eff}} = ??$$

[SG et al., 2017]

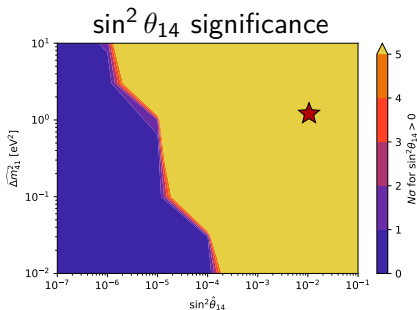
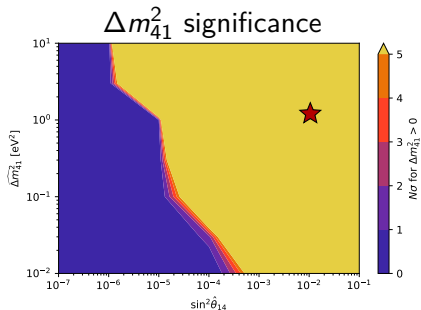
$$f_c(m_4) = \mathcal{O}(10^{2^2})$$

$$m_4 \simeq 1.15 \text{ eV}$$

$$|U_{e4}|^2 \simeq 0.01$$

Γ_4 probably too small to be measured!

Still possible to measure mass/mixing through β spectrum



5 *Neutrinos*

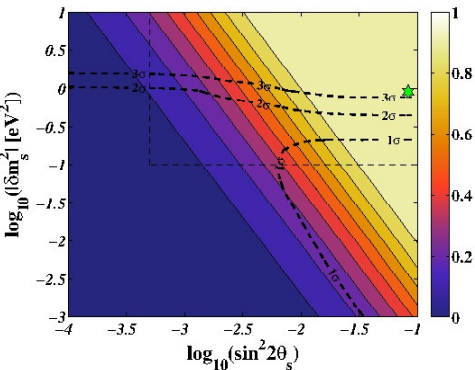
6 *PTOLEMY*

7 *Light sterile neutrinos*

LS ν thermalization

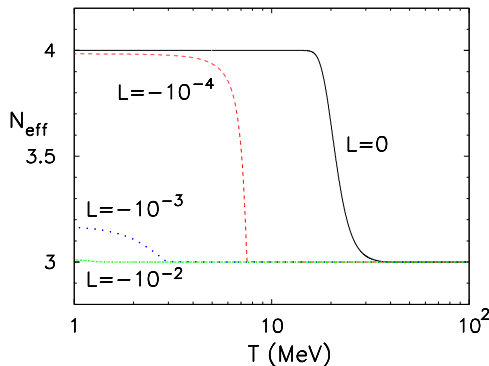
Using SBL best-fit parameters for the LS ν ($\Delta m_{41}^2, \theta_s$):

[Hannestad et al., JCAP 07 (2012) 025]



(colors coding ΔN_{eff})

[Mirizzi et al., PRD 86 (2012) 053009]



(L : lepton asymmetry)

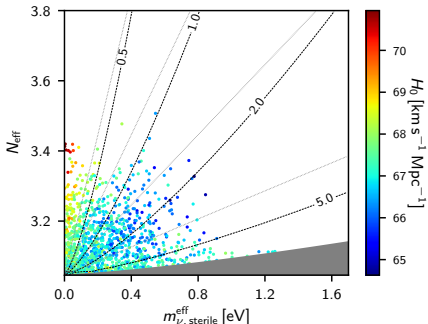
Unless $L \gtrsim \mathcal{O}(10^{-3})$, $\Delta N_{\text{eff}} \simeq 1$

See also: [Saviano et al., PRD 87 (2013) 073006], [Hannestad et al., JCAP 08 (2015) 019]

[to be precise: ΔN_{eff} is slightly smaller at CMB decoupling, when the LS ν starts to be non-relativistic]

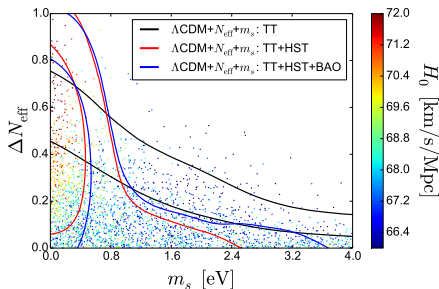
LS ν constraints from cosmology

CMB+local: [Planck Collaboration, 2018]



$$\left\{ \begin{array}{ll} N_{\text{eff}} < 3.29 & (\text{Planck18+BAO}) \\ m_{\nu_s}^{\text{eff}} < 0.65 \text{ eV} & [m_s < 10 \text{ eV}] \end{array} \right.$$

[Archidiacono et al., JCAP 08 (2016) 067]



dataset	free ΔN_{eff} [$m_s < 10 \text{ eV}$]	$\Delta N_{\text{eff}} = 1$
(TT)	$N_{\text{eff}} < 3.5$	$m_s < 0.66 \text{ eV}$
(+H ₀)	$N_{\text{eff}} < 3.9$	$m_s < 0.55 \text{ eV}$
(+BAO)	$N_{\text{eff}} < 3.8$	$m_s < 0.53 \text{ eV}$

BBN constraints: $N_{\text{eff}} = 2.90 \pm 0.22$ (BBN+ Y_p) [Peimbert et al., 2016]

Summary: $\Delta N_{\text{eff}} = 1$ from LS ν incompatible with $m_s \simeq 1 \text{ eV}$!

Active-sterile oscillations in the early Universe:

mixing parameters from SBL data $\implies \Delta N_{\text{eff}} \simeq 1$

[Hannestad et al., 2012] [Mirizzi et al., 2012]

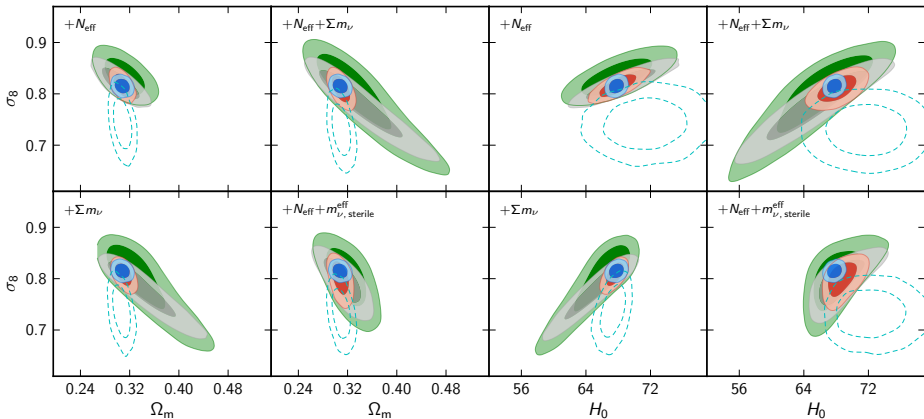
Many probes constrain $\Delta N_{\text{eff}} < 1$. Do we need

- a mechanism to suppress oscillations and full thermalization of ν_s ?
- to compensate $\Delta N_{\text{eff}} = 1$ with additional mechanisms in Cosmology?

Some ideas (an incomplete list!):

- large lepton asymmetry [Foot et al., 1995; Mirizzi et al., 2012; many more]
- new neutrino interactions [Bento et al., 2001; Dasgupta et al., 2014; Hannestad et al., 2014; Saviano et al., 2014; Archidiacono et al. 2016; many more]
- entropy production after neutrino decoupling [Ho et al., 2013]
- very low reheating temperature [Gelmini et al., 2004; Smirnov et al., 2006]
- time varying dark energy components [Giusarma et al., 2012]
- larger expansion rate at the time of ν_s production [Rehagen et al., 2014]
- freedom in the Primordial Power Spectrum (PPS) of scalar perturbations from inflation compensate damping due to $N_{\text{eff}} \neq 3.046$ [SG et al., 2015]

■ Planck TT+lowP
 ■ +lensing
 ■ +lensing+BAO
 ■ Λ CDM



dashed: local measurements — ■ Λ CDM model, ■ Λ CDM + $\nu_{a,s}$ models: full cosmological dataset

H_0 increases $\Rightarrow \sigma_8$ increases (and viceversa)!

The correlations do not help.