

# Astrophysical neutrinos with DUNE, HK and JUNO

(Opportunities with Neutrinos from Supernovae)

Inés Gil Botella

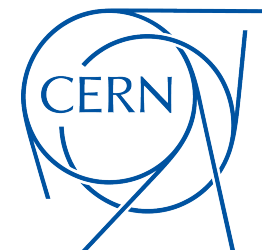
**Ciemat**

Centro de Investigaciones  
Energéticas, Medioambientales  
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EXCELENCIA  
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European Neutrino “Town” Meeting and  
European Strategy Preparation 2019  
22-24 October 2018



# Scientific motivation

- Supernova neutrino detection is **one of the main goals** included in the physics program of current & future large underground neutrino projects
- Measurement of the neutrino **energy** spectra, **flavor** composition and **time** distributions from supernova will provide information about:

- **Supernova physics:**

- Core collapse mechanism
- Supernova evolution in time
- Cooling of the proto-neutron star
- Nucleosynthesis of heavy nuclei
- Black hole formation

- **Neutrino (other particle) physics:**

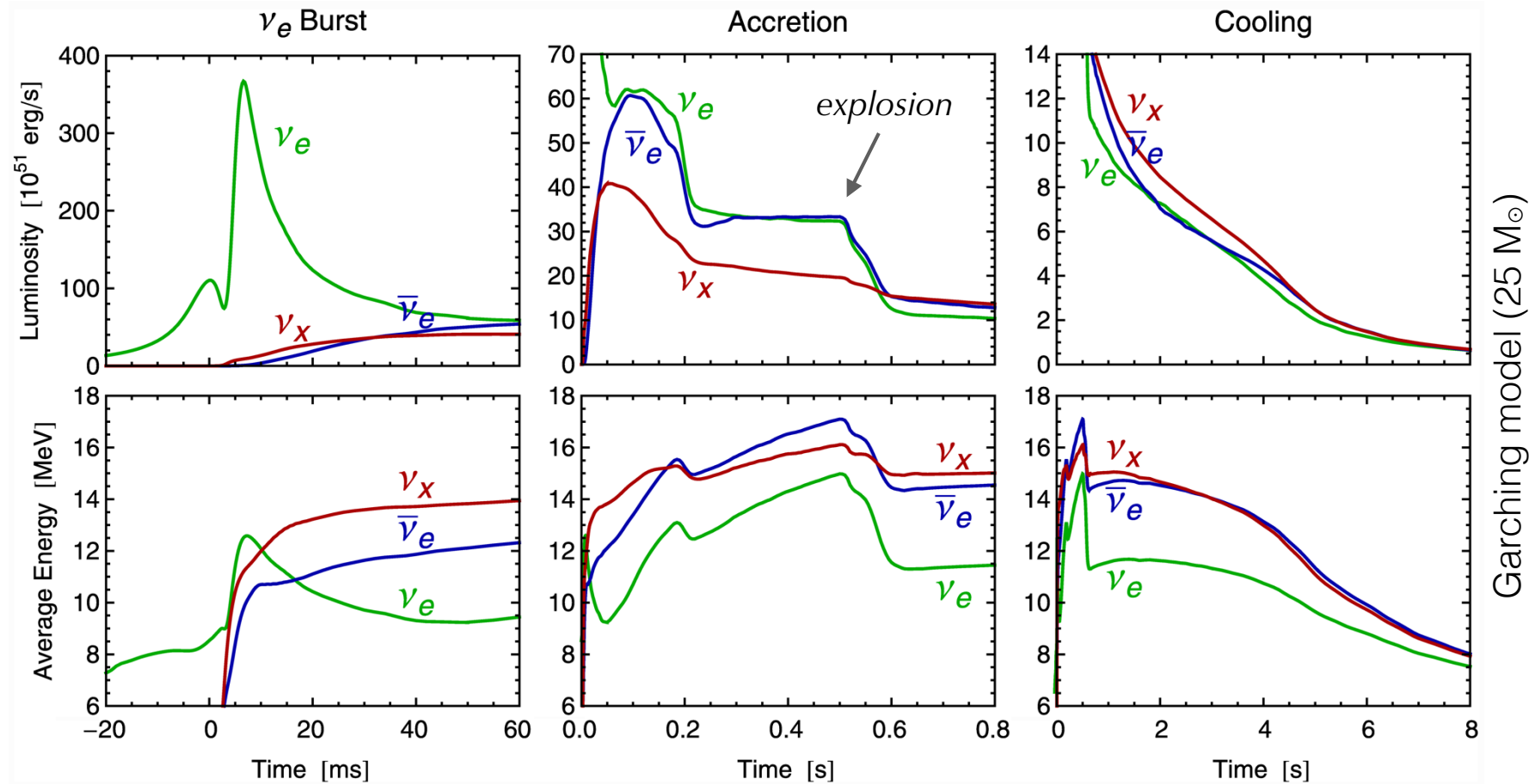
- Neutrino flavor transformation in SN core and/or in Earth
- Neutrino absolute mass (not competitive)
- Other neutrino properties: sterile vs, magnetic moments,...
- Axions, extra dimensions,...

- **Early alert** for astronomers (SNEWS)

First multi-messenger event:  
*neutrinos and light from*  
*SN1987*



# Three phases of SN $\nu$ emission



## Neutronization burst

- Shock breakout
- De-leptonization of outer core layers

## Accretion phase

- Shock stalls  $\sim 150$  km
- Neutrinos powered by infalling matter

## Cooling phase

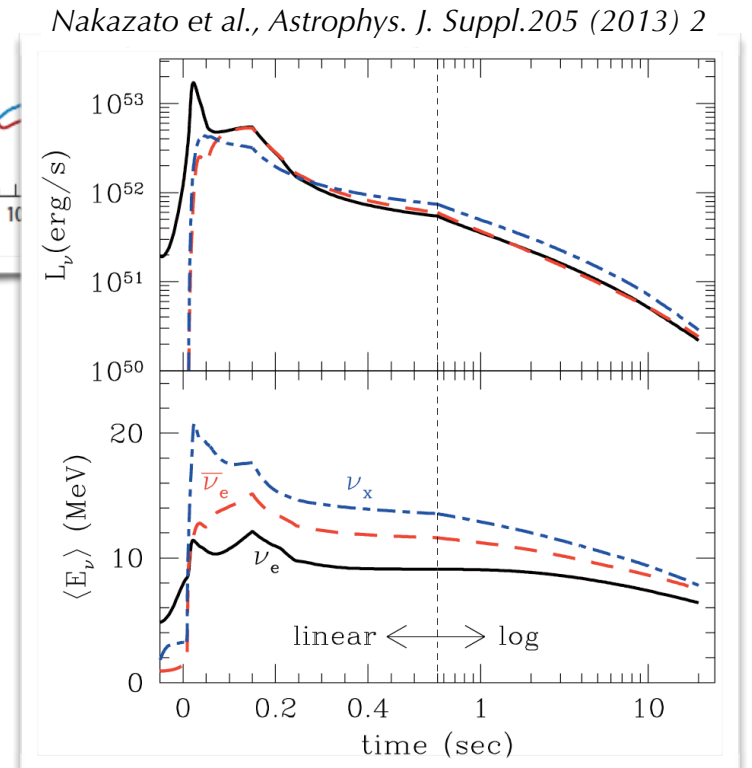
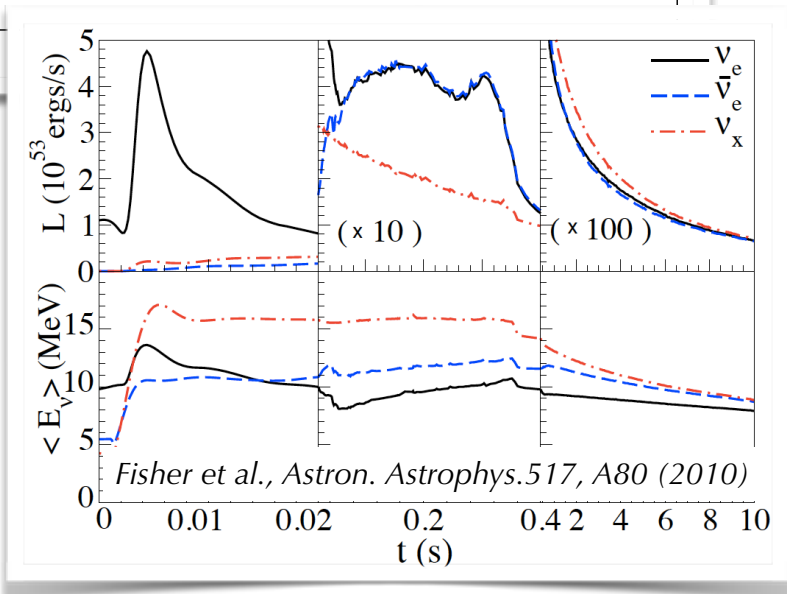
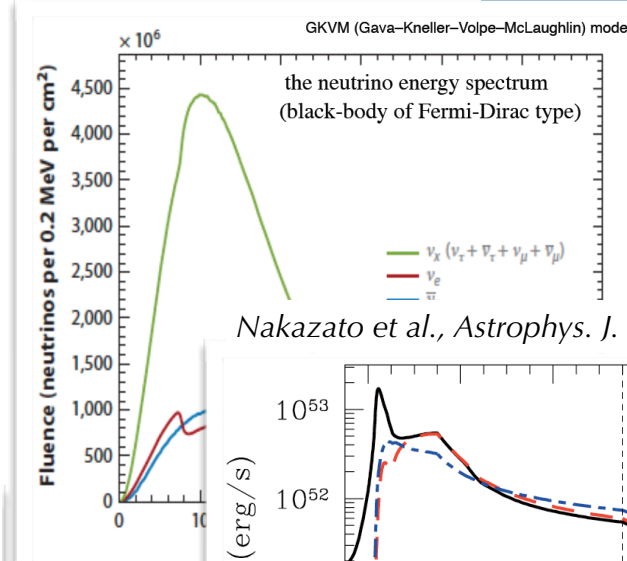
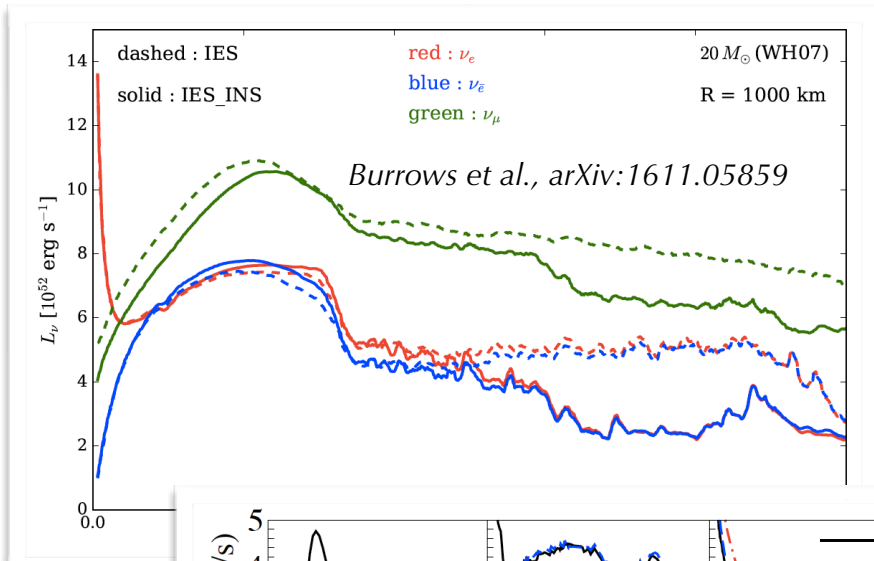
- Cooling on neutrino diffusion time scale

# SN neutrino fluxes

Many theoretical models...

$$\langle E_{\nu_e} \rangle < \langle E_{\bar{\nu}_e} \rangle < \langle E_{\nu_x} \rangle$$

Generic feature

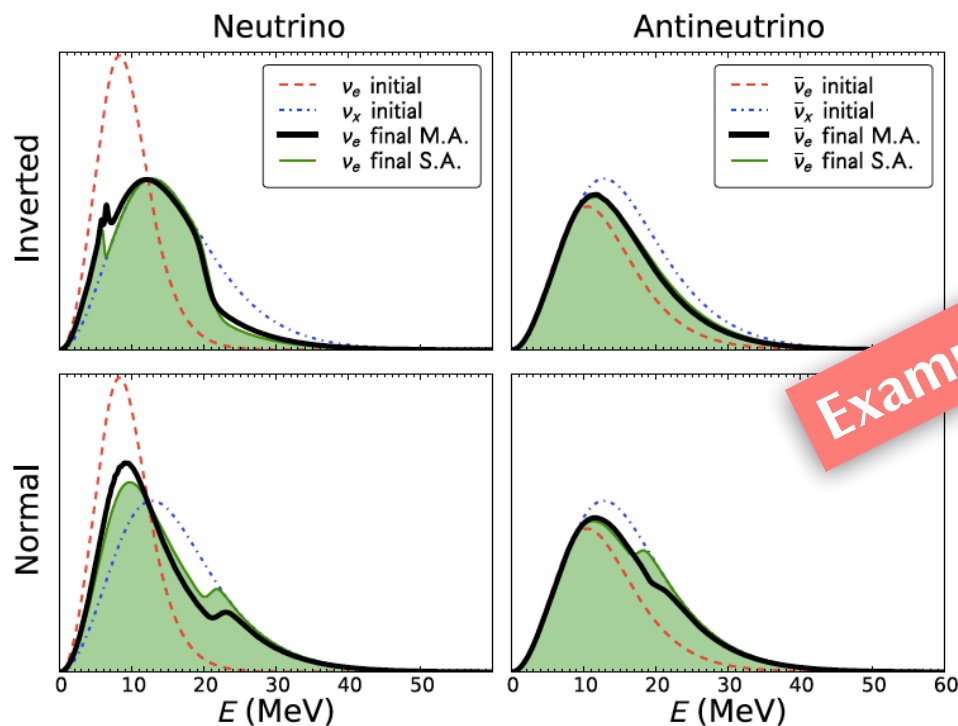




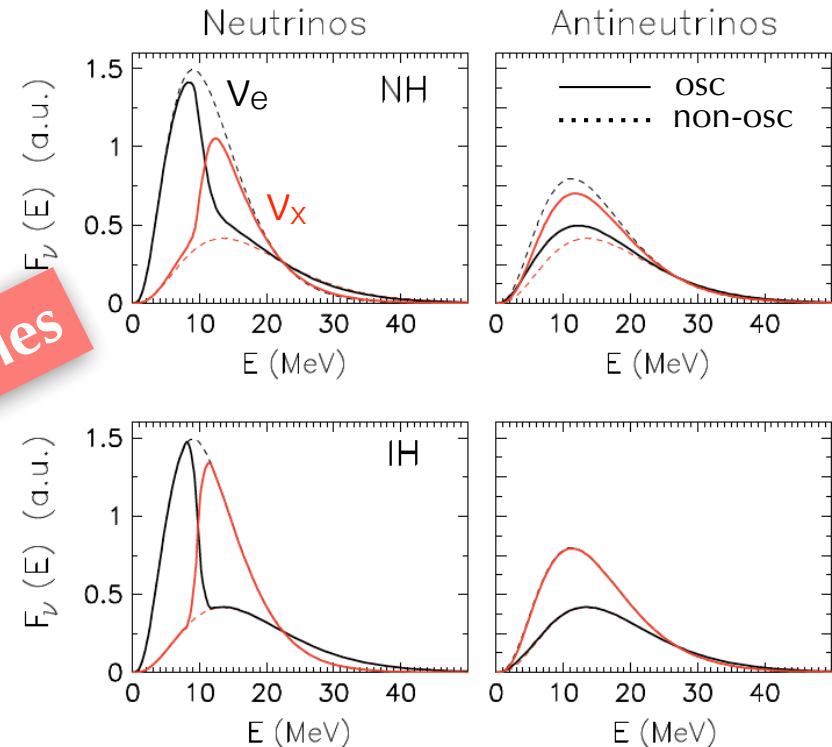
# SN $\nu$ flavor oscillation physics

**Collective oscillations** ( $r < 200$  km) + **MSW flavor transformations** ( $r > 200$  km) imprint the neutrino signal

Information about the **mass hierarchy** (and **SN mechanisms**) can be obtained from neutrino time and energy spectra evolution



Duan & Friedland, *Phys. Rev. Lett.* 106 (2011) 091101

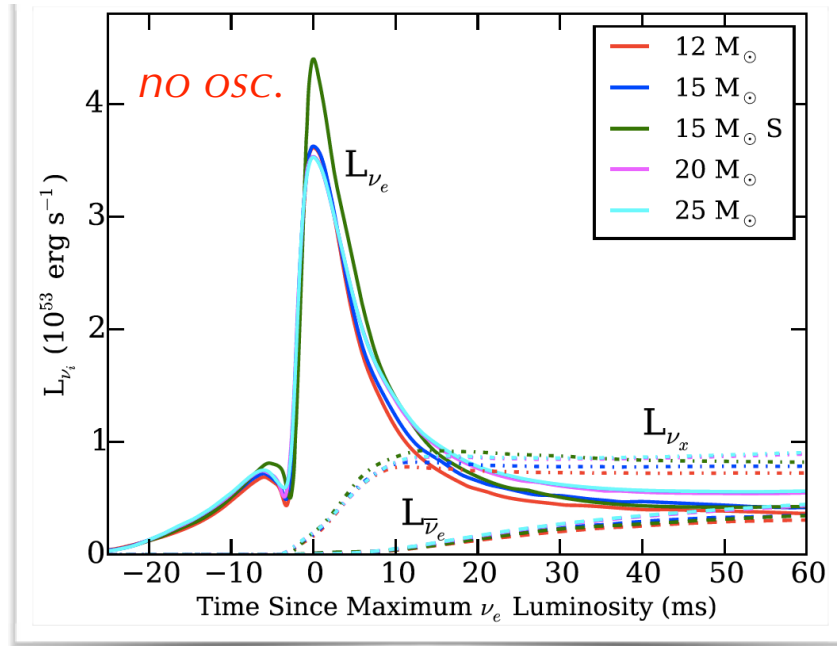


S. Chakraborty and A. Mirizzi, *Phys. Rev. D* 90, 033004 (2014)

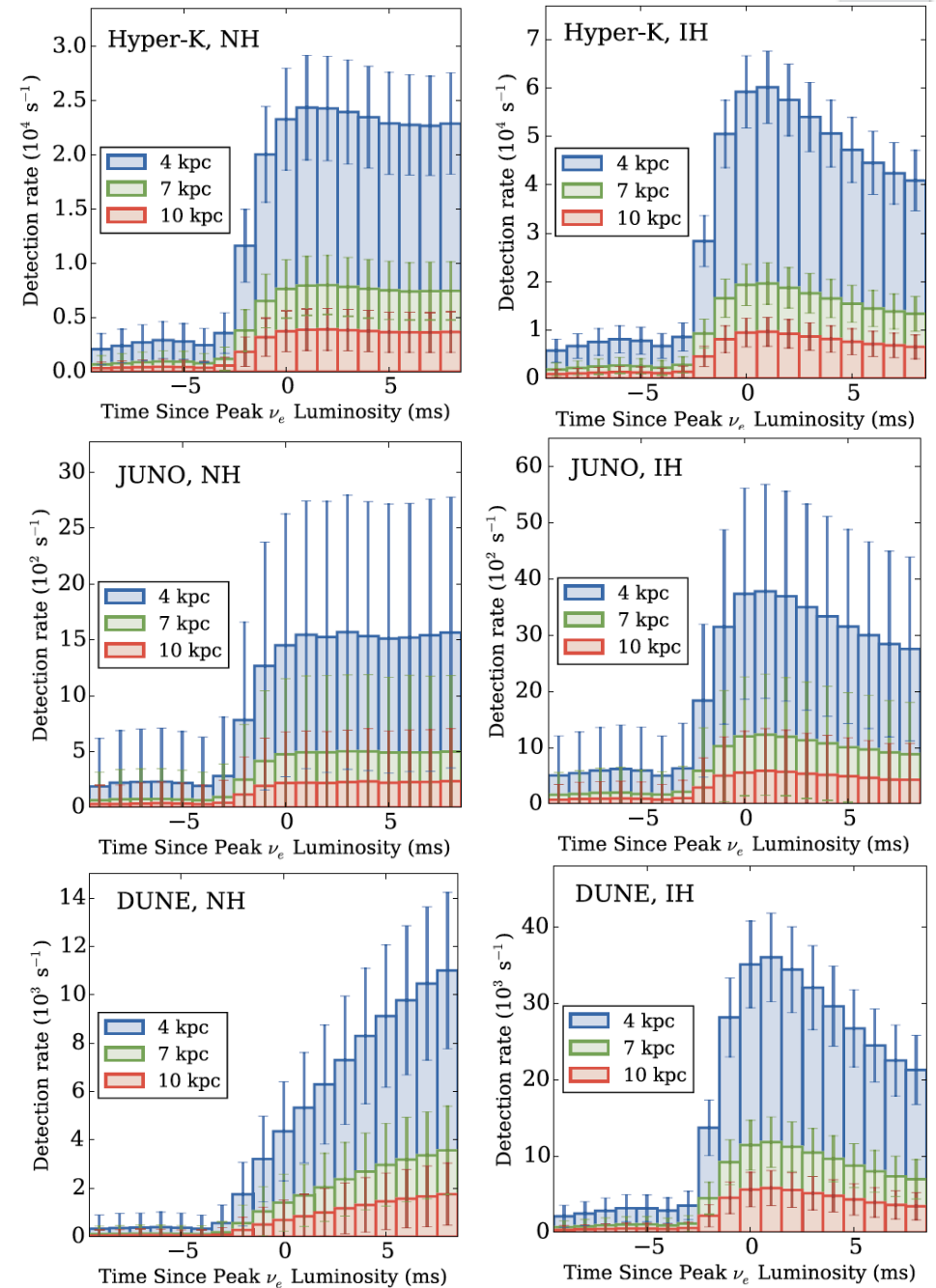
It is important to identify robust, **model-independent observable signatures** of supernova neutrino flavour conversions: self-induced spectral splits, Earth matter effects, neutronization burst, ...

# Detection of SN breakout burst

*J. Wallace et al., Astrophys. J. 817 (2016), 182*



- Robust **time signature** during the first few tens of ms after the core bounce
- Strongly dominated by electron neutrinos
- **MSW oscillations**: presence or absence of  **$\nu_e$  burst** allowing to distinguish between different mixing scenarios
  - **NH**: strong suppression of  $\nu_e$
  - **IH**:  $\nu_e$  suppressed by  $\sin^2\theta_{12}$



# Main experimental challenges

Detector requirement	Purpose
Large mass (~ktons)	Enough statistics
Low energy threshold (few MeV)	Detection of the low E SN neutrino spectra
Sensitivity to different neutrino flavors	Distinguish different SN effects and neutrino oscillations
Good knowledge of low-E cross sections and neutrino interactions (particle ID)	Tag different interactions
Accurate neutrino energy reconstruction	SN features
Good timing resolution	SN features
Good angular resolution	SN direction
Separation from backgrounds	Identification of SN signal
Good trigger efficiency/DAQ	Large data acquisition in a few seconds

# Complementarity

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One single detector generally cannot meet all these requirements

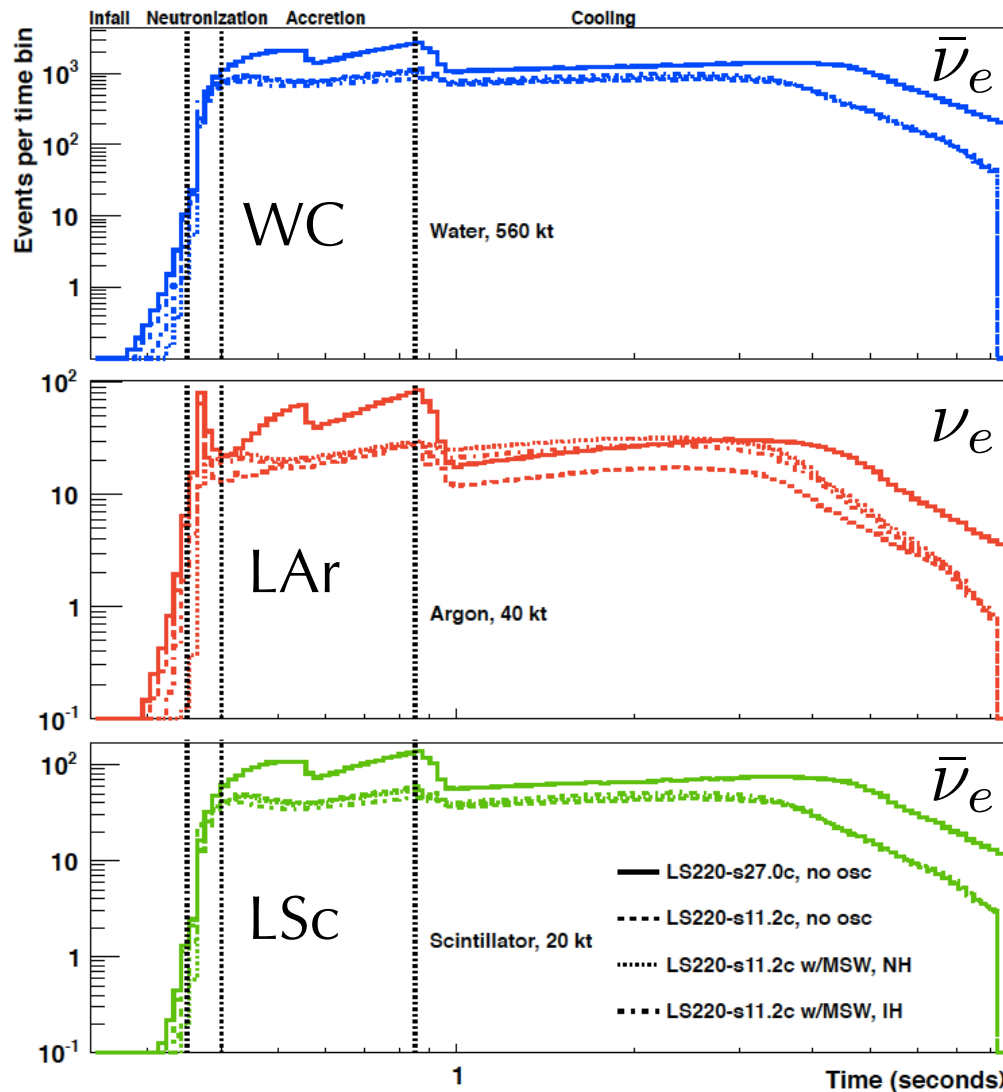
- ▶ Specially three flavour sensitivity

Different simultaneous observations are needed  
by multiple detectors

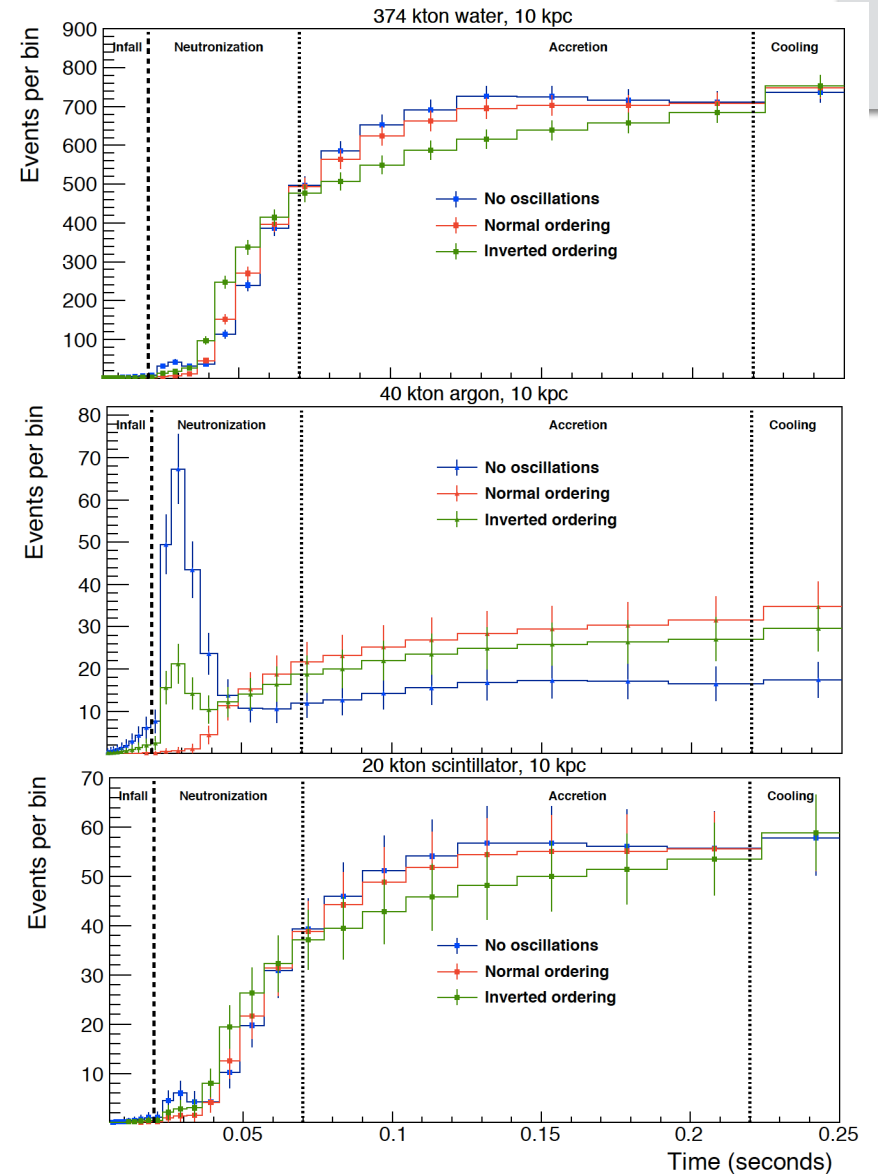
# Comparison between technologies

Total event rates per time bin for 27 and 11 M<sub>⊙</sub> SN progenitors

## Neutronization burst



Mirizzi et al., Riv. Nuov. Cim. 39, N. 1-2 (2016)



# SN neutrino detectors

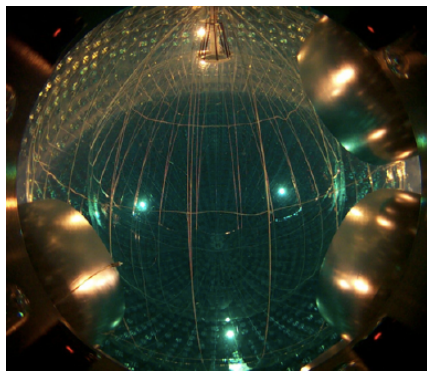
@10 kpc

Detector	Type	Mass (kt)	Location	Events	Status
Super-Kamiokande	H <sub>2</sub> O	32	Japan	7,000	Running
LVD	C <sub>n</sub> H <sub>2n</sub>	1	Italy	300	Running
KamLAND	C <sub>n</sub> H <sub>2n</sub>	1	Japan	300	Running
Borexino	C <sub>n</sub> H <sub>2n</sub>	0.3	Italy	100	Running
IceCube	Long string	(600)	South Pole	(10 <sup>6</sup> )	Running
Baksan	C <sub>n</sub> H <sub>2n</sub>	0.33	Russia	50	Running
HALO	Pb	0.08	Canada	30	Running
Daya Bay	C <sub>n</sub> H <sub>2n</sub>	0.33	China	100	Running
NO $\nu$ A*	C <sub>n</sub> H <sub>2n</sub>	15	USA	4,000	Running
MicroBooNE*	Ar	0.17	USA	17	Running
SNO+	C <sub>n</sub> H <sub>2n</sub>	0.8	Canada	300	Near future
DUNE	Ar	40	USA	3,000	Future
Hyper-Kamiokande	H <sub>2</sub> O	374	Japan	75,000	Future
JUNO	C <sub>n</sub> H <sub>2n</sub>	20	China	6000	Future
RENO-50	C <sub>n</sub> H <sub>2n</sub>	18	Korea	5400	Future
PINGU	Long string	(600)	South Pole	(10 <sup>6</sup> )	Future

*K. Scholberg, J.Phys. G45 (2018) no.1, 014002*



# Liquid Scintillator Detectors

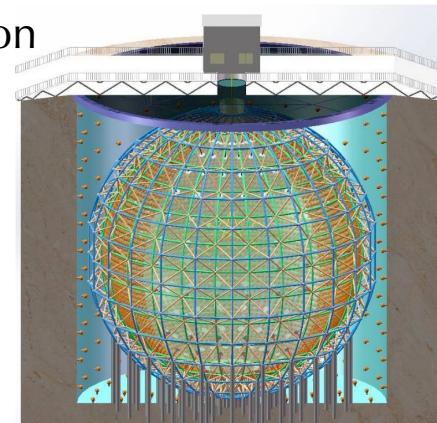
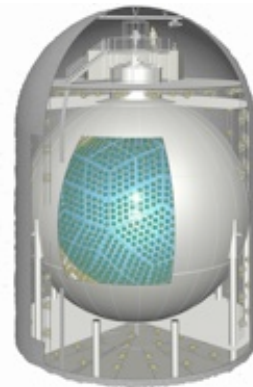


Borexino: 0.33 kton



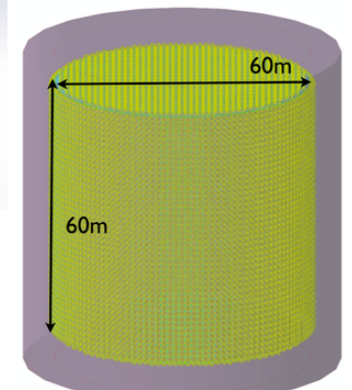
SNO+: 1 kton

KamLAND: 1 kton

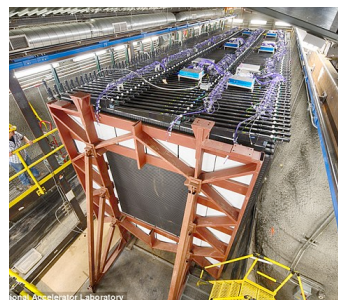


JUNO: 20 kton

THEIA: 50 kton



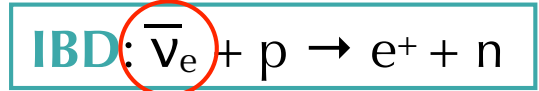
LVD: 1 kton



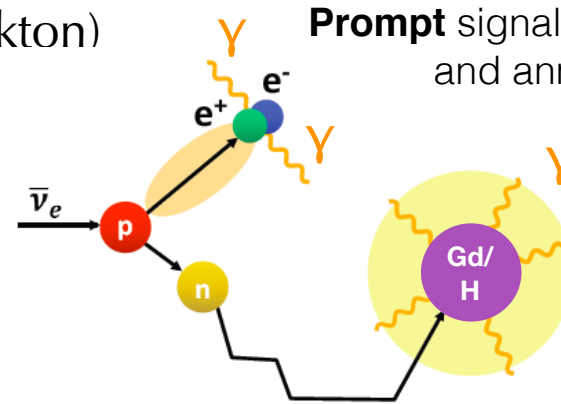
NOvA: 14 kton

# LSc interaction channels

- **Main channel:** (few hundred events/kton)



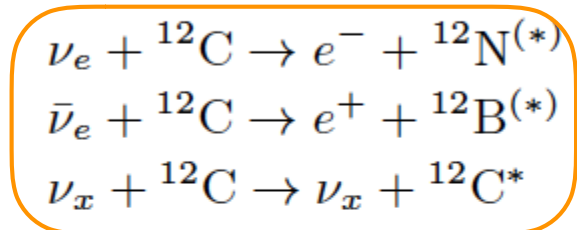
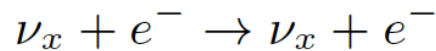
- **Low energy threshold:** 1.8 MeV
- Good neutron tagging
- Good energy resolution (7.25%  $\sqrt{E}$  KamLAND)
- Some pointing capability JCAP08 (2015) 032



**Prompt signal:** e+ scintillation and annihilation

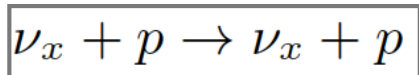
**Delayed signal:** n capture on H (2.2 MeV  $\gamma$ ,  $\Delta t \sim 200 \mu s$  or Gd (8 MeV  $\gamma$ ,  $\Delta t \sim 30 \mu s$ )

- Other channels:

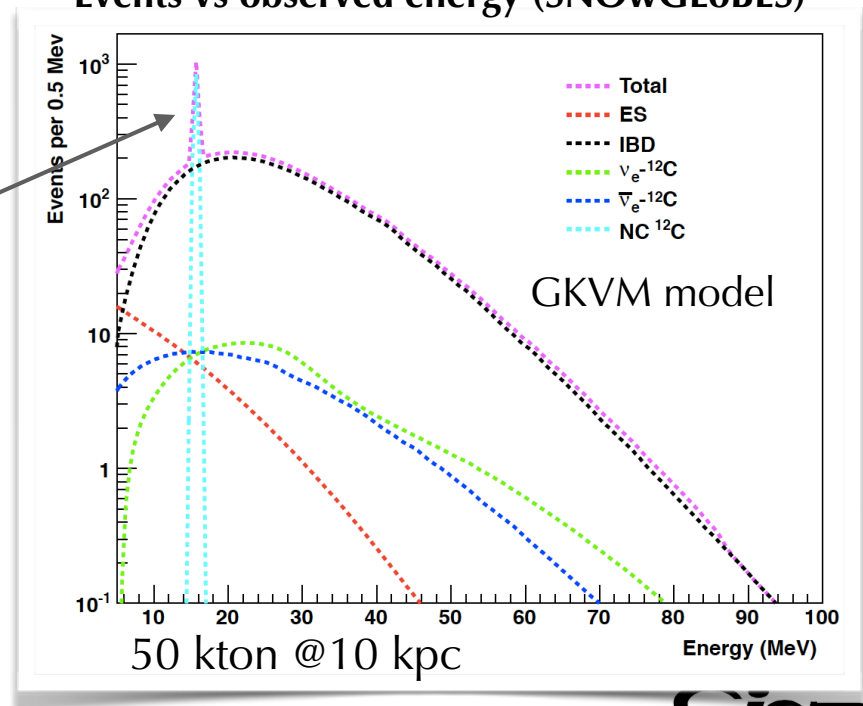


15 MeV de-excitation peak from NC

- Coherent elastic NC scattering on protons



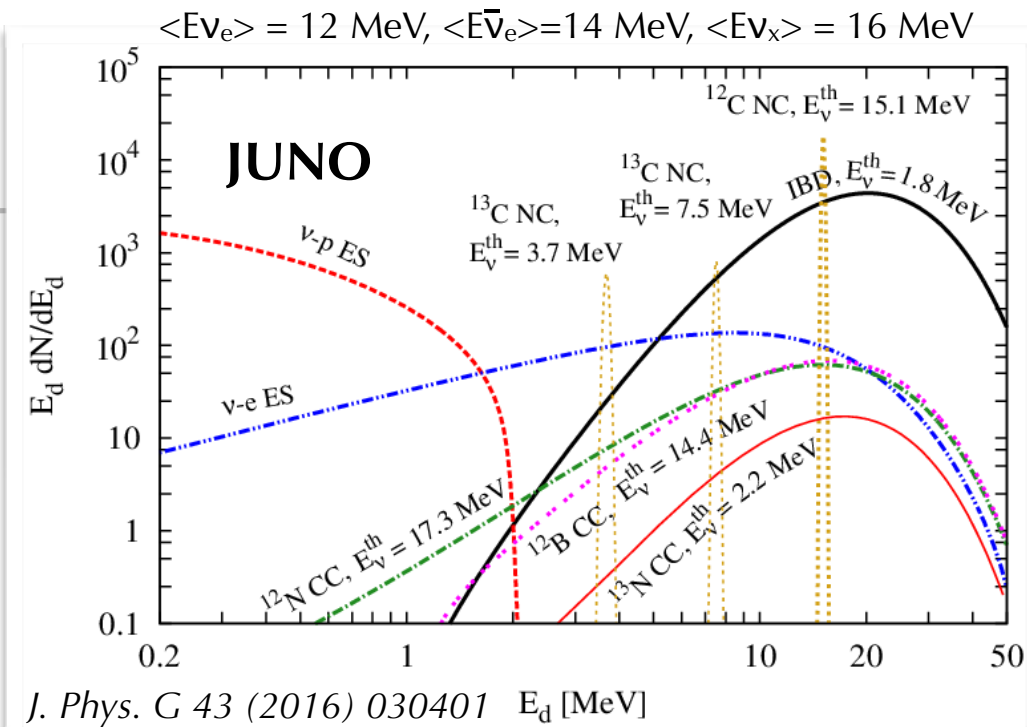
Events vs observed energy (SNOWGLoBES)



# JUNO

Thanks to  
Liangjian Wen

- Current detectors: LVD, Borexino, KamLAND, SNO+, reactors, NOvA (at surface)
  - ~300 events (per 1 kton) from a SN at 10 kpc
- Future: JUNO (20 kton)
  - ~6000 events for SN @10 kpc

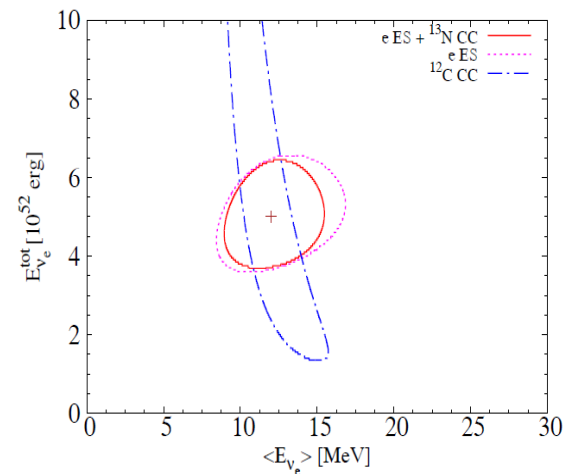
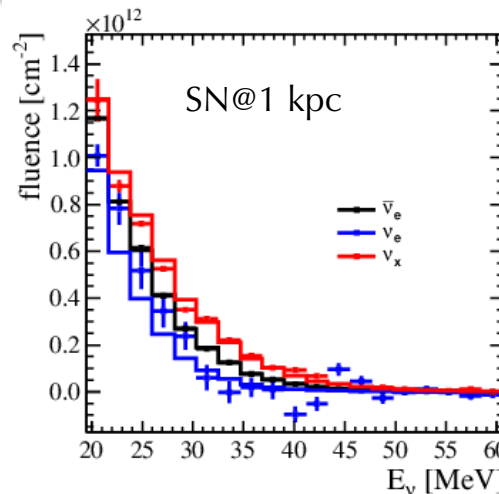


H.L Li et al, Phys. Rev. D 97, 063014 (2018)  
JS Lu et al, Phys. Rev. D 94, 023006 (2016)

- Constrains on *absolute neutrino masses* via SN neutrino detection in JUNO:

JCAP 05 (2015) 044

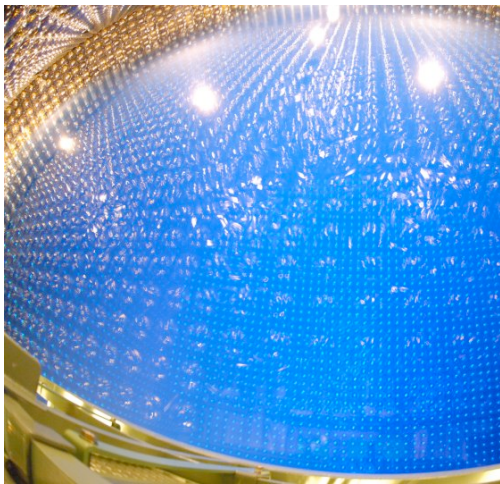
- $m_\nu < (0.83 \pm 0.24) \text{ eV}$  at 95% CL for a SN at 10 kpc, assuming nearly-degenerates neutrino mass spectrum and NH



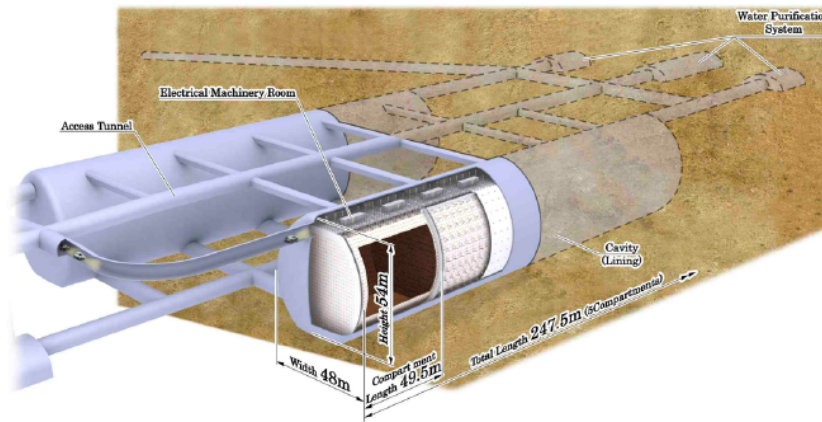
- Energy spectra of all flavor SN neutrinos can be extracted by combining IBD, pES and eES
- The average energy of SN  $\bar{\nu}_e$ ,  $\nu_e$  &  $\nu_x$  can be reconstructed with precision 1%, 10% and 5% respectively



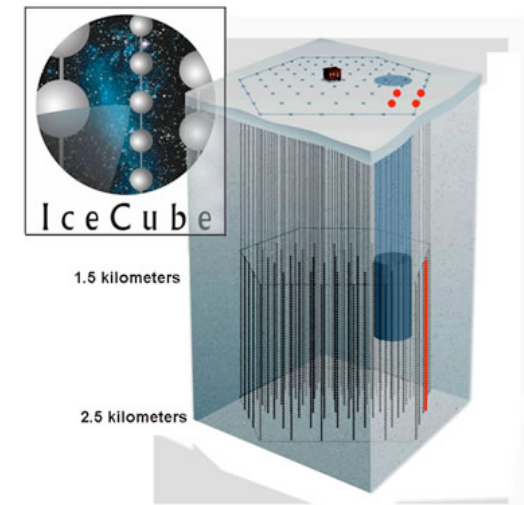
# Water Cherenkov Detectors



SK: 32 kton fid. volume



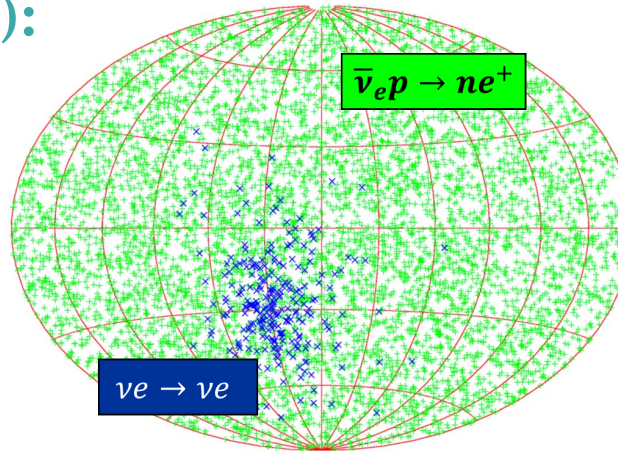
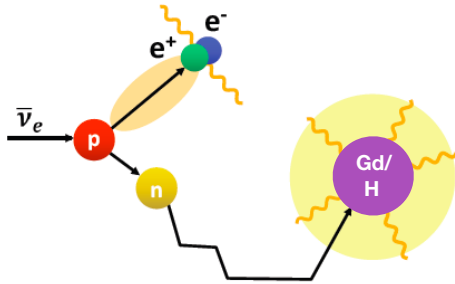
HK: 374 kton fid. volume



IceCube: 1 km<sup>3</sup>

# WC interaction channels

- **Main channel (IBD):**



## Detection:

- Cherenkov light emission
- Neutron tagging: observation of  $\gamma$ -Compton scatters (SK-IV 20% n tagging efficiency)

## Advantages:

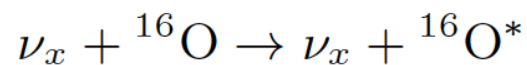
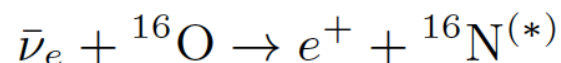
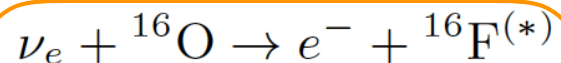
- Huge size detectors
- Mainly sensitive to electron antineutrinos
- Pointing information

- **Elastic scattering (ES):**

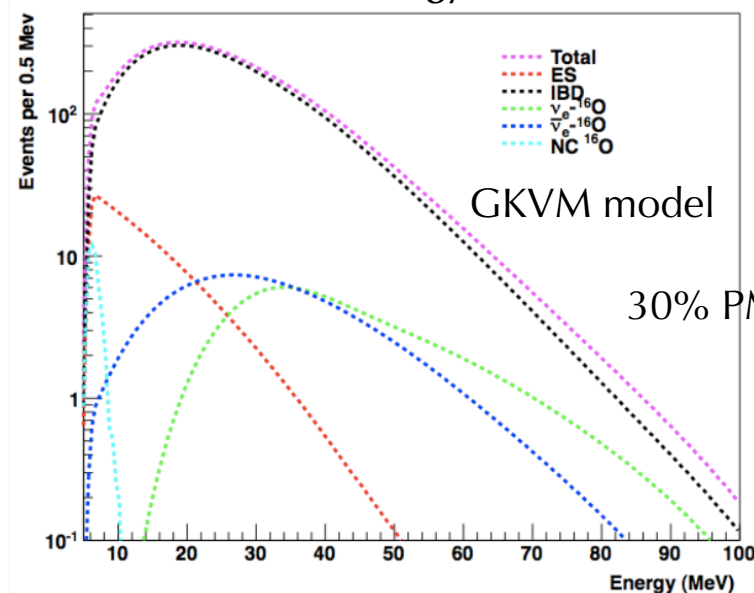


- Pointing to the supernova

- Other channels



Events vs observed energy (SNOWGLoBES)

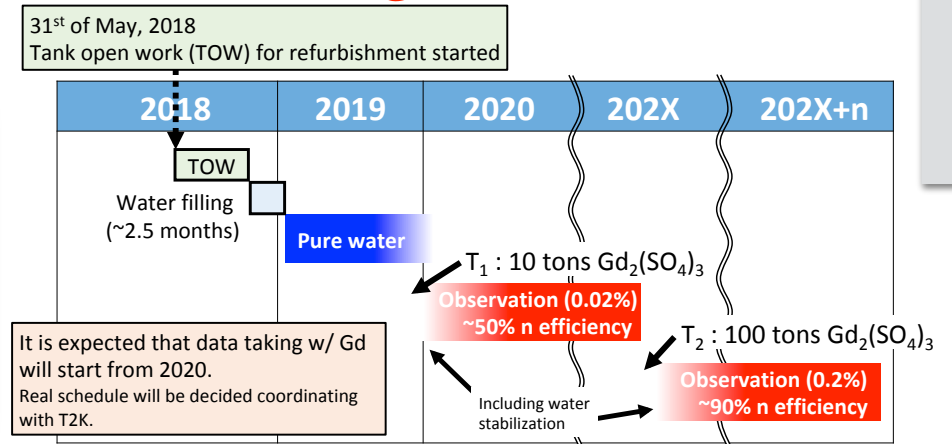


# Super-K/Super-K Gd

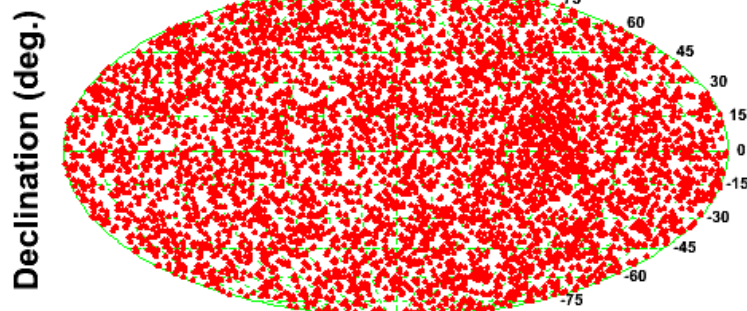
Thanks to Yusuke Koshio & Takatomi Yano

- **Super-K rates:** ~8000 evts (5 MeV thr.) for Livermore model and no-osc.
- Possibility to **improve neutron tagging with Gd** (0.1% Gd gives >90% efficiency for n-capture)

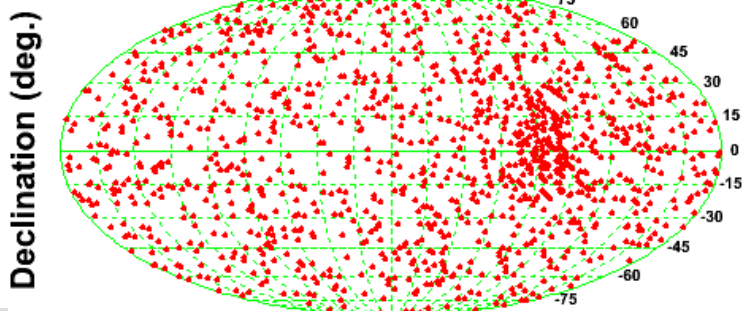
J.Beacom and M.Vagins, Phys.Rev.Lett.93 (2004) 171101



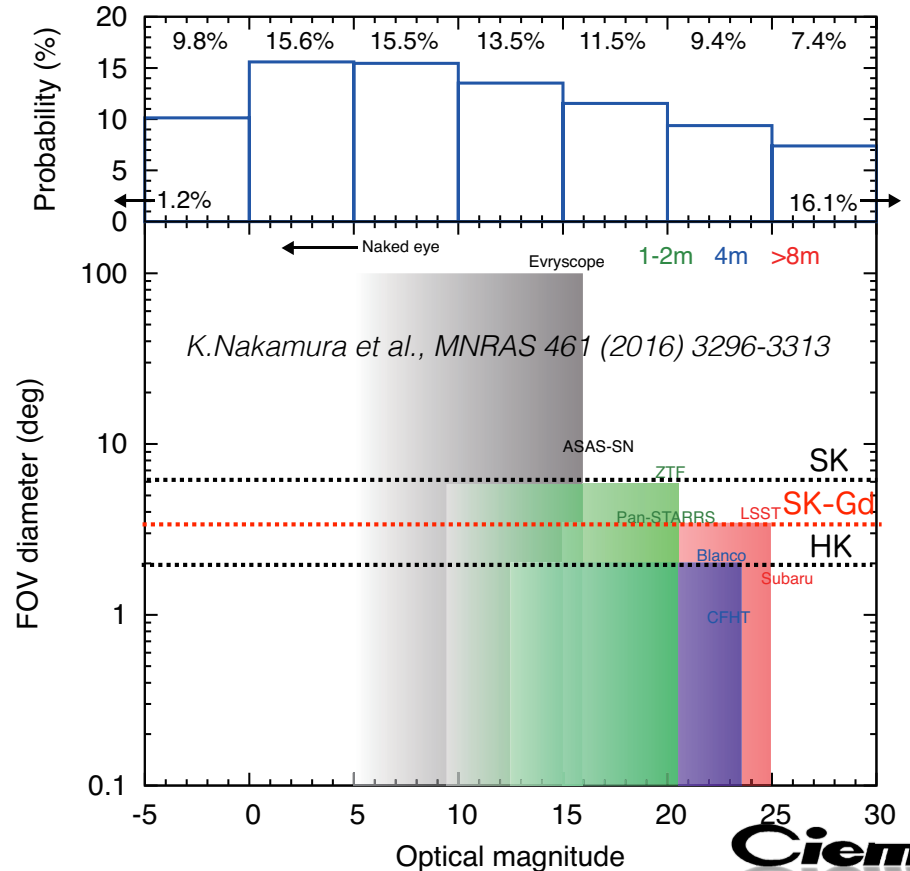
$\bar{\nu}_e$  w/o tagging



$\bar{\nu}_e$  tagged with 80% eff.



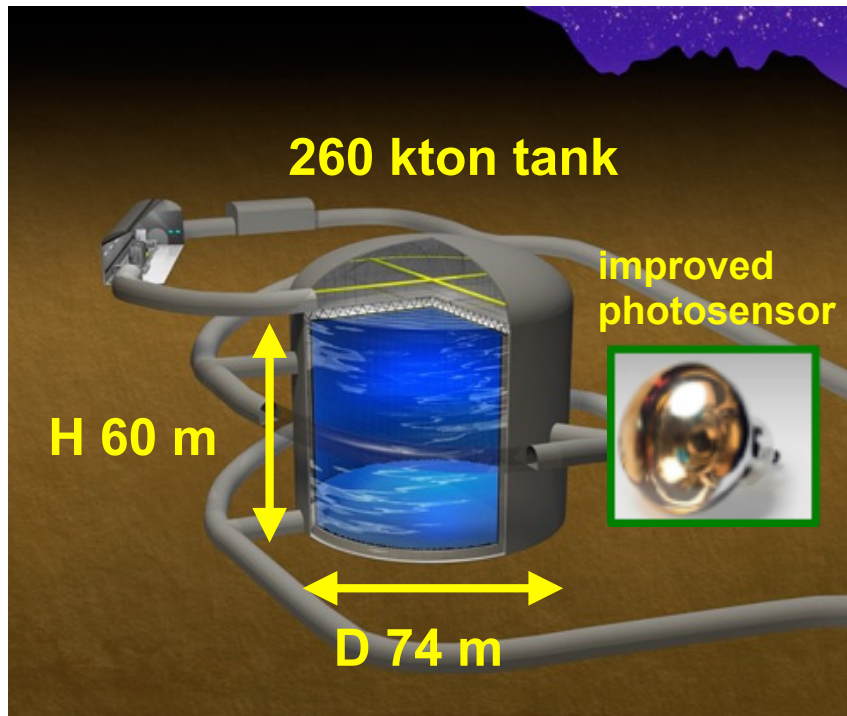
Right ascension (deg.)



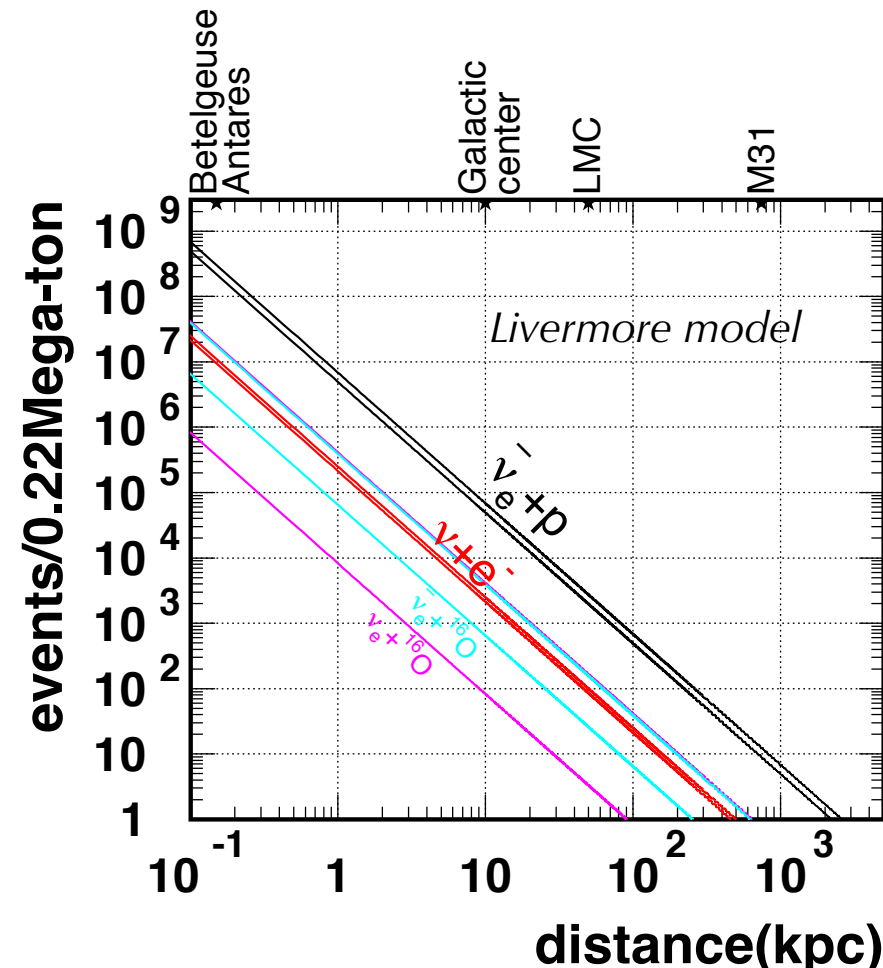


# Hyper-K

Thanks to Yusuke Koshio  
& Takatomi Yano

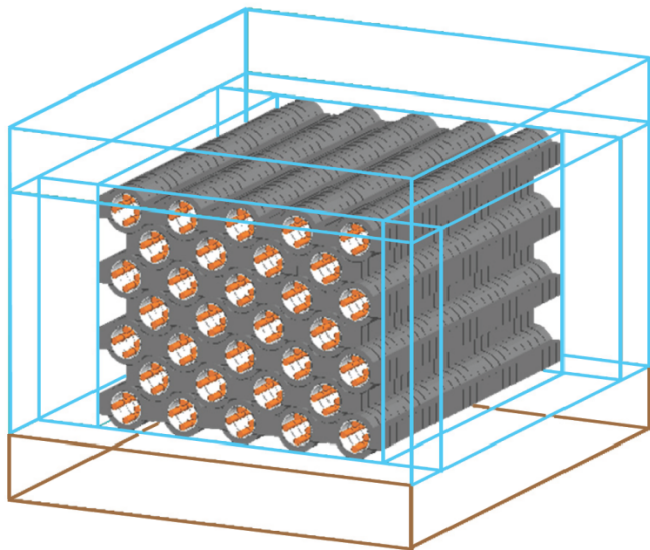


- High-statistics (x10 Super-K fiducial mass) keeping low energy threshold
- Aiming to start observation in 2027
- **Expected #events** (@10 kpc):
  - 49-68 kevs (IBD)
  - 2.1-2.5 kevs (ES) (6-40 neutronization)
  - 80-4100 evts (nue CC)
  - 650-3900 evts (anti-nue CC)



Hyper-K Design Report: [arXiv:1805.04163](https://arxiv.org/abs/1805.04163)

# Heavy-nuclei detectors



# HALO (Helium and Lead Observatory)

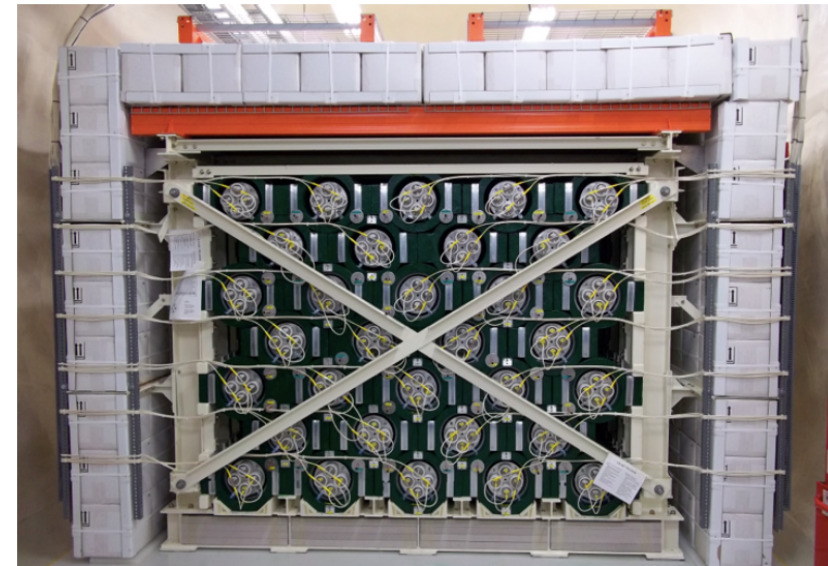
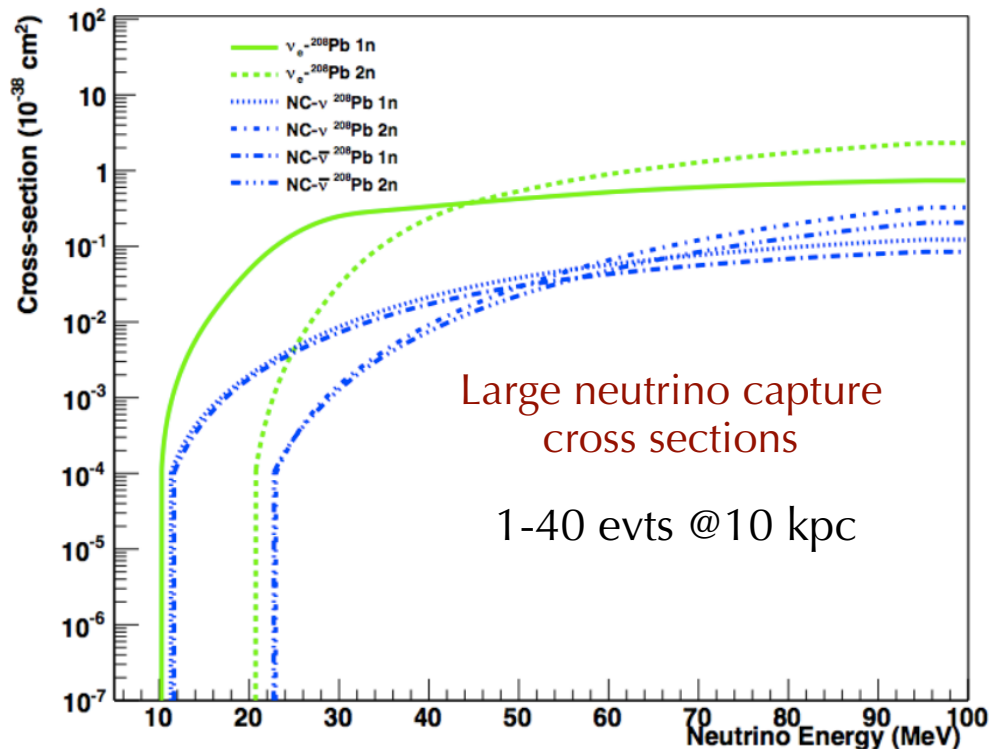
- Lead-based SN detector at SNOLAB: SNO  $^3\text{He}$  counters + 79 tons of Pb

- CC:  $\nu_e + {}^{208}\text{Pb} \rightarrow e^- + {}^{208}\text{Bi}^*$   
 $\searrow (1n, 2n) + \gamma$
- NC:  $\nu_x + {}^{208}\text{Pb} \rightarrow \nu_x + {}^{208}\text{Pb}^*$   
 $\searrow (1n, 2n) + \gamma$
- Running since 2013

## Detection:

- Neutron tagging  
 ${}^3\text{He} + n \rightarrow {}^3\text{H} + p + 764 \text{ keV}$
- Low neutron capture cross section
- Not possible to distinguish between CC & NC

- Integrated in SNEWS in 2015



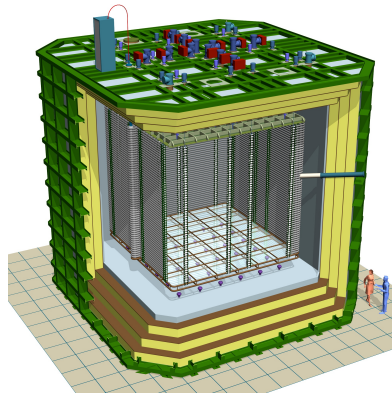
**HALO-1kT** at Gran Sasso: 1 kton lead detector (from OPERA decommissioning):  
~300 interactions for a SN @10 kpc



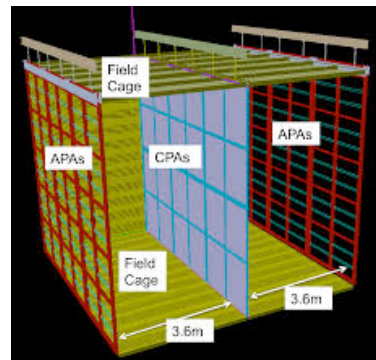
# LAr TPC detectors



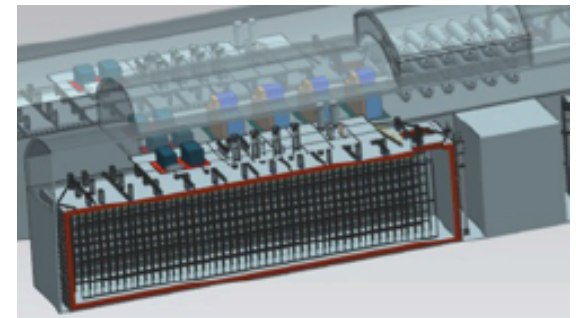
MicroBooNE: 89 ton



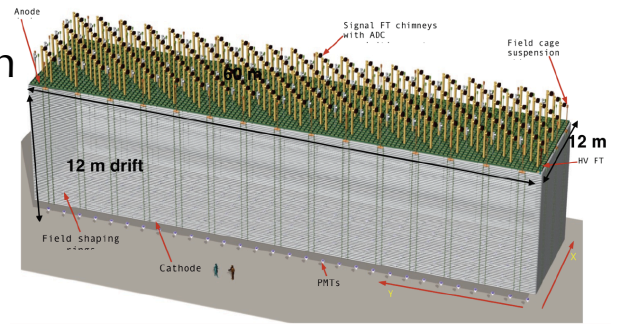
protoDUNE-DP: 300 ton



protoDUNE-SP: 300 ton



ICARUS: 476 ton



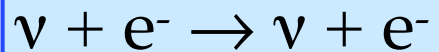
DUNE: 40 kton

# SN neutrino signal in LAr

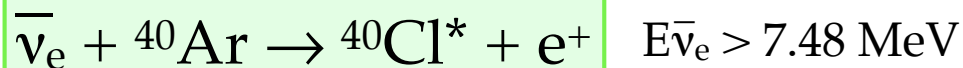
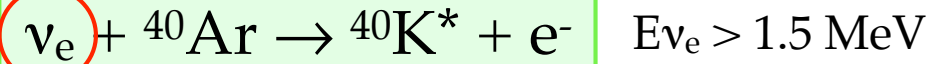
hep-ph/0307222  
 JCAP 10 (2003) 009  
 JCAP 08 (2004) 001

I. Gil-Botella & A. Rubbia

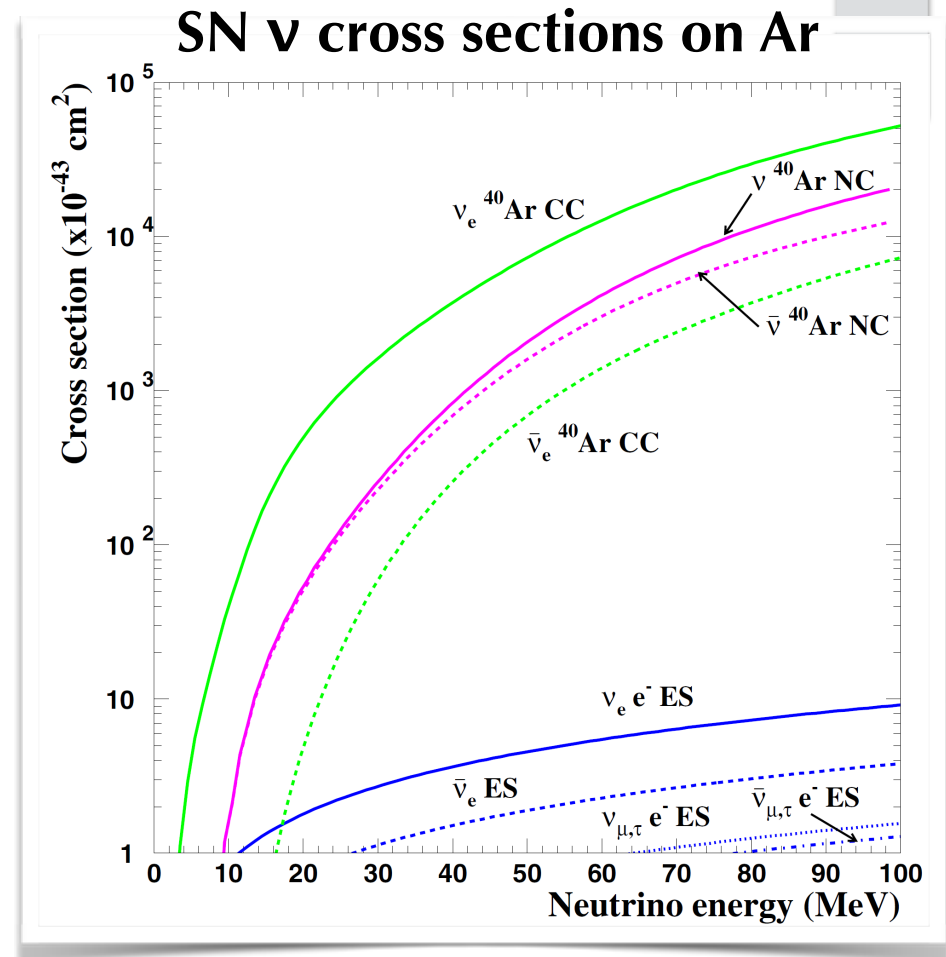
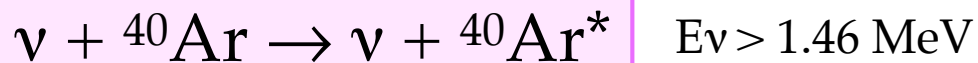
- Elastic scattering (ES) on electrons



- Charged-current (CC) interactions on Ar



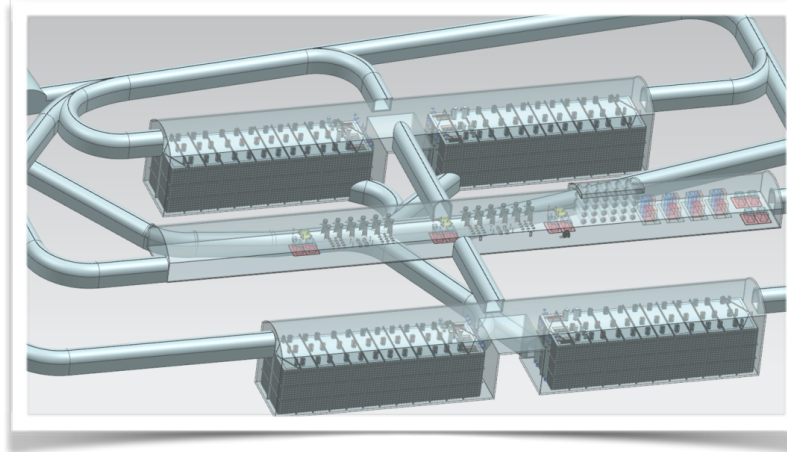
- Neutral current (NC) interactions on Ar



Possibility to separate the different channels by a classification of the associated photons from the K, Cl or Ar de-excitation (specific spectral lines for CC and NC) or by the absence of photons (ES)

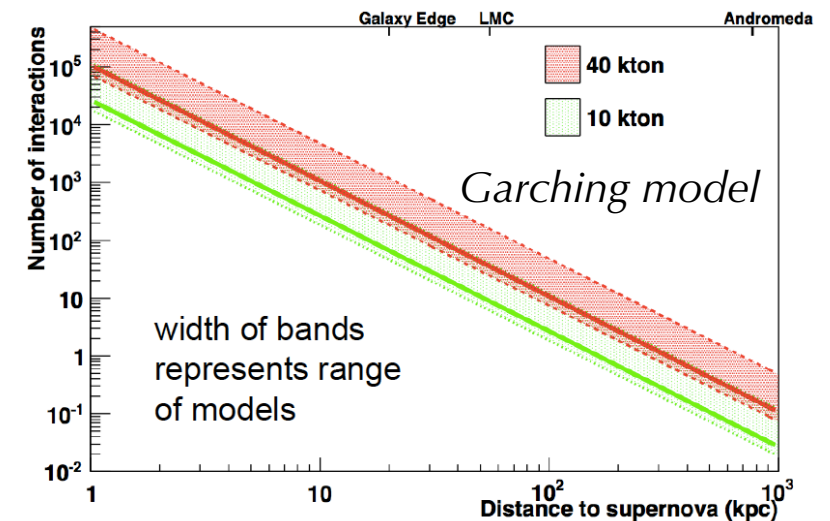
# Far Detector at SURF

- **Deep Underground Neutrino Experiment: 40 kton LAr TPC detector at 1480 m depth (4300 mwe)**
  - 4 x 10 kton (single/dual-phase) LAr TPCs with ability to detect SN burst neutrinos (+ nucleon decay, LBL osc., atmospheric neutrinos)
  - First module installation begins in 2022
- Unique sensitivity to **electron neutrinos**
- Event **rates in DUNE for a core-collapse SN at 10 kpc**



Channel	Events	
	"Livermore" model	"GKVM" model
$\nu_e + {}^{40}\text{Ar} \rightarrow e^- + {}^{40}\text{K}^*$	2720	3350
$\bar{\nu}_e + {}^{40}\text{Ar} \rightarrow e^+ + {}^{40}\text{Cl}^*$	230	160
$\nu_x + e^- \rightarrow \nu_x + e^-$	350	260
Total	3300	3770

*no oscillations*      *collective effects*



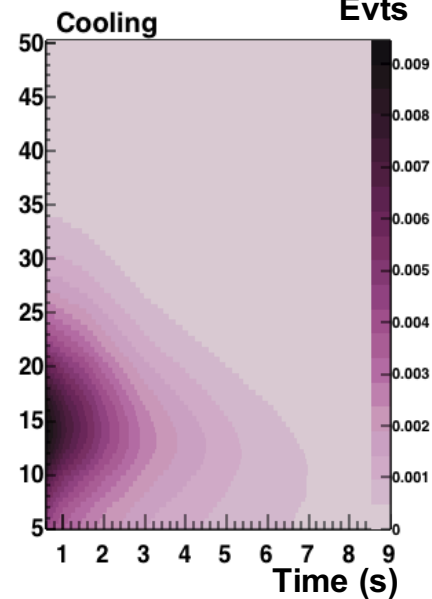
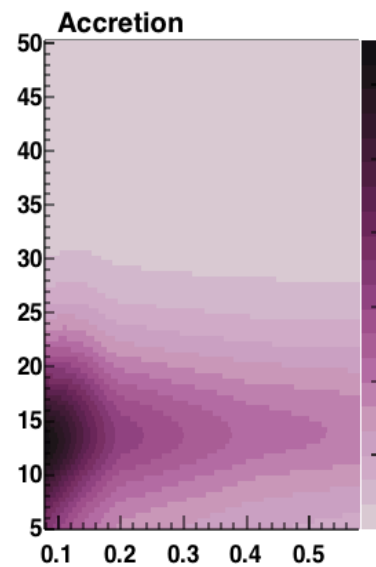
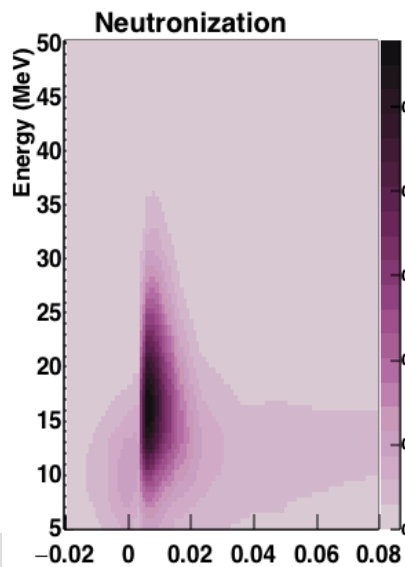
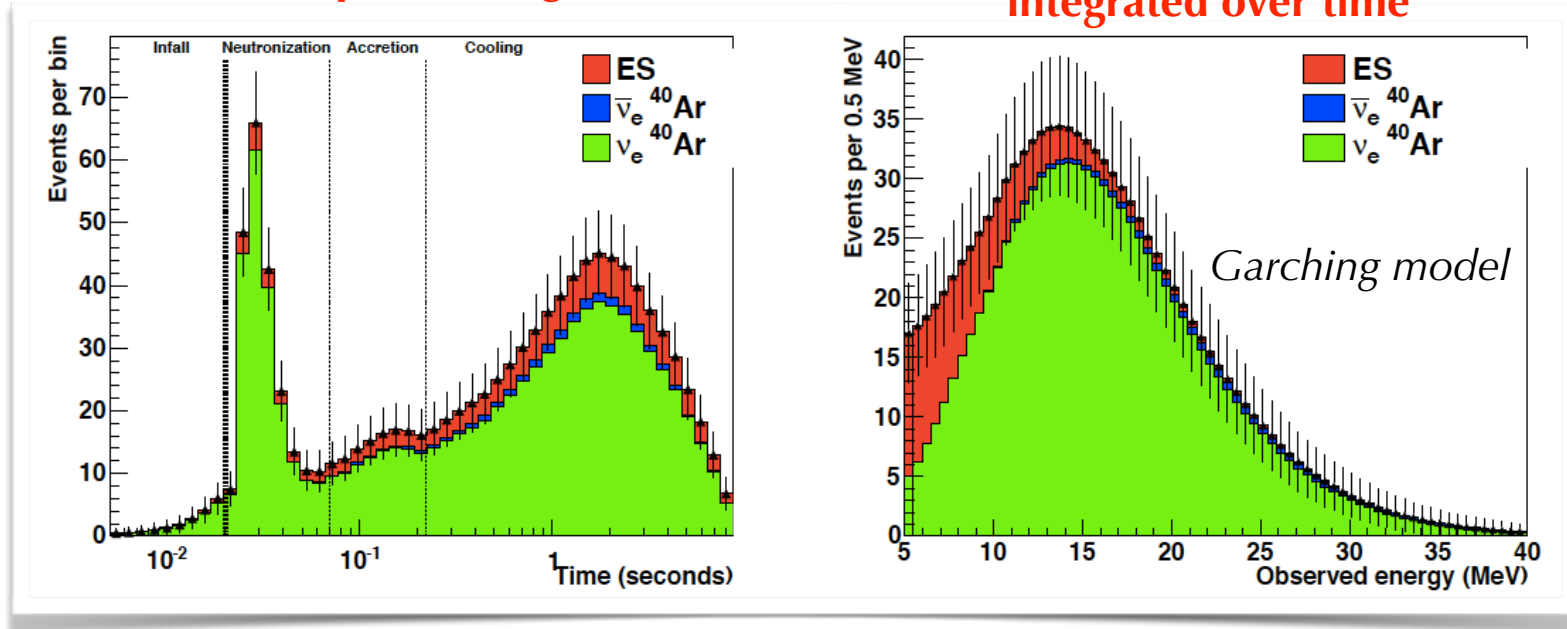


# DUNE 40 kton LAr (SN @10 kpc)

Time-dependent signal

no oscillations

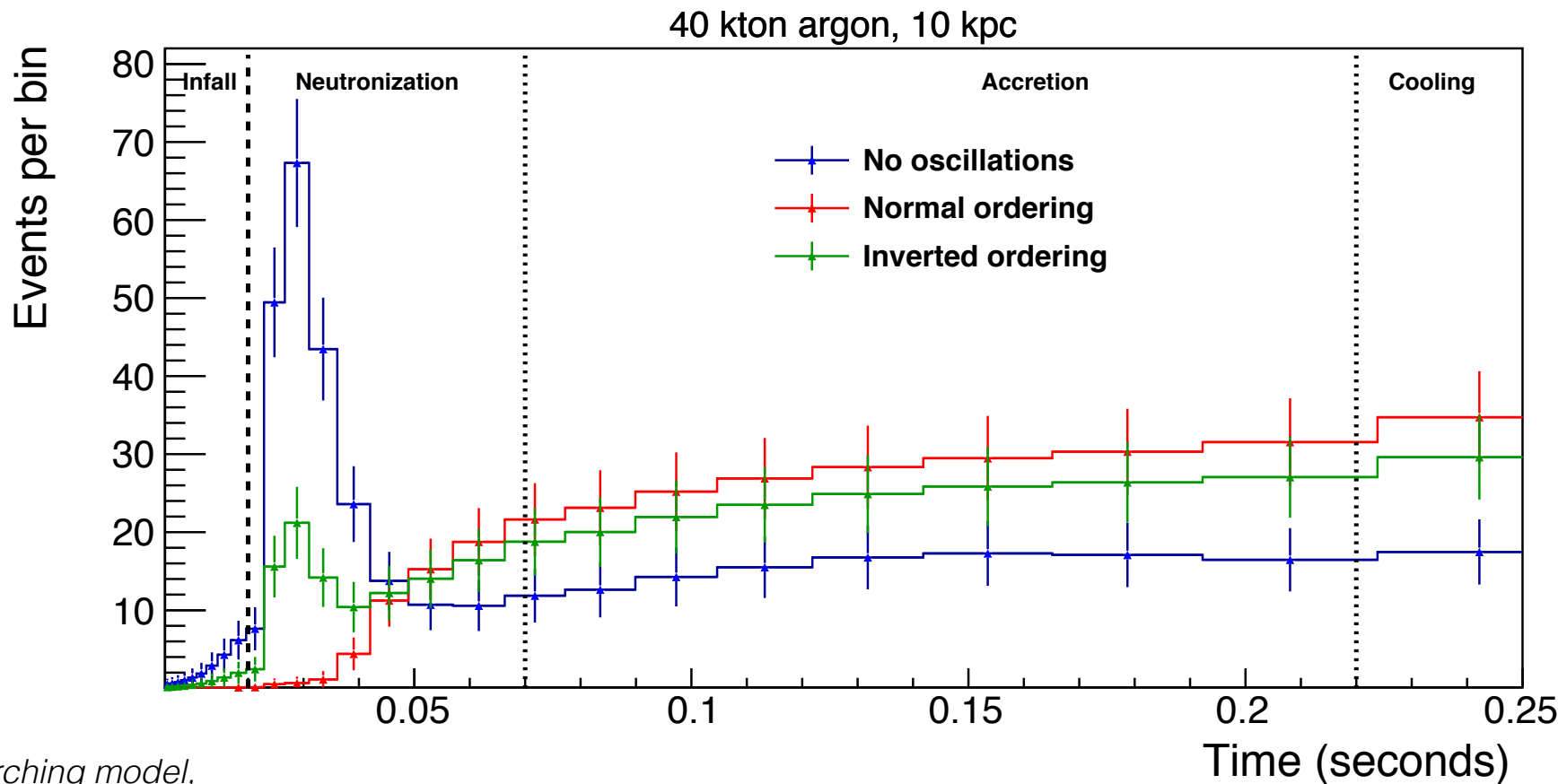
Expected event spectrum  
integrated over time



# Neutronization burst







DUNE will provide unique information about the early breakout pulse thanks to its sensitivity to electron neutrinos



Garching model,  
MSW transitions only,  
total events (mostly  $\nu_e$ )

**Robust mass ordering signature**

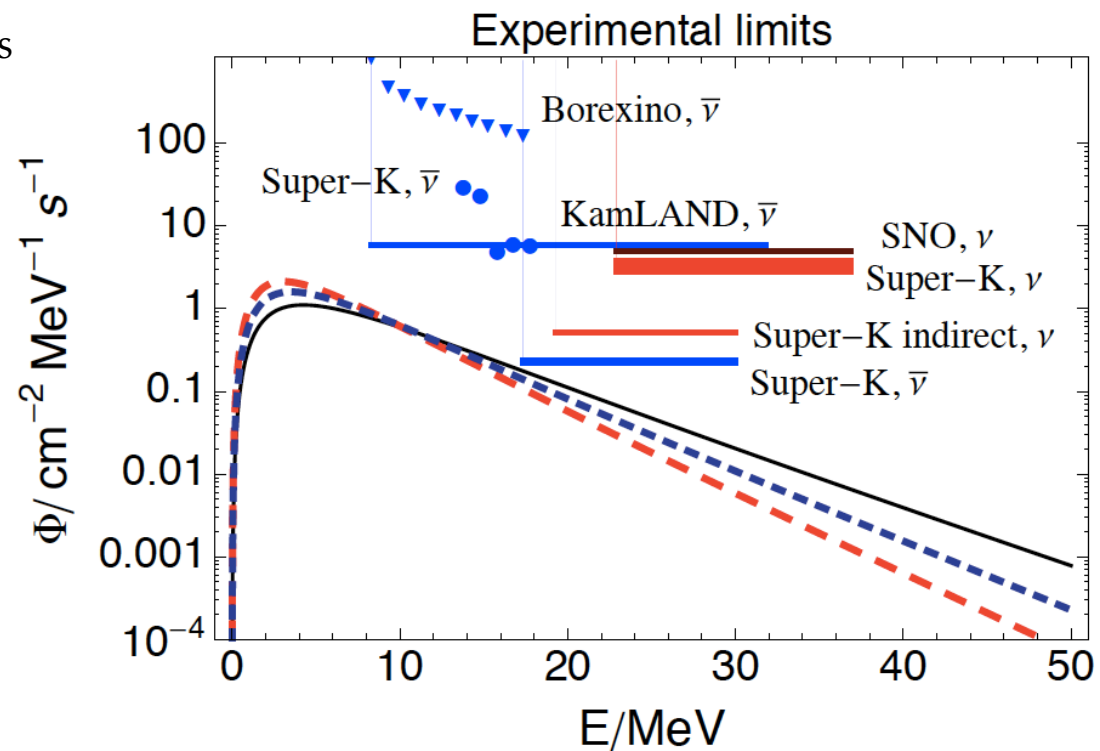
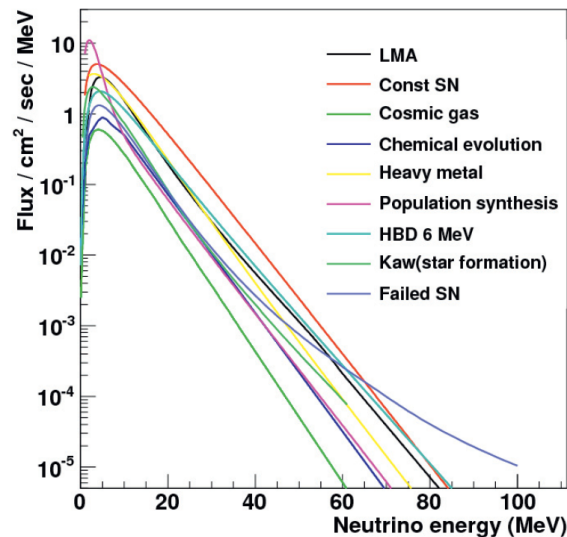
# Pros & cons

			
<b>Liquid scintillators</b>		<b>Water Cerenkov</b>	
<ul style="list-style-type: none"> <li>• Electron antineutrino sensitivity</li> <li>• Good n tagging</li> <li>• Low energy threshold</li> <li>• Good energy resolution</li> <li>• Very well known IBD int.</li> </ul>	<ul style="list-style-type: none"> <li>• Poor directionality</li> <li>• Low energy vulnerable to backgrounds</li> </ul>	<ul style="list-style-type: none"> <li>• Electron antineutrino sensitivity</li> <li>• Potentially good n tagging w/ GAD</li> <li>• Directionality</li> <li>• Very large mass</li> <li>• Good timing (long strings)</li> </ul>	<ul style="list-style-type: none"> <li>• Chemical threshold limits reconstruction</li> <li>• Relatively high energy threshold</li> <li>• Difficult to disentangle channels</li> <li>• No event-by-event reconstruction (long-strings)</li> </ul>
<b>Heavy noble</b>		<b>Liquid Argon</b>	
<ul style="list-style-type: none"> <li>• Electron neutrino sensitivity</li> <li>• Cheap material</li> </ul>	<ul style="list-style-type: none"> <li>• No event-by-event reconstruction</li> <li>• No tagging</li> <li>• No directionality</li> <li>• Small mass</li> </ul>	<ul style="list-style-type: none"> <li>• Electron neutrino sensitivity</li> <li>• Some other flavours</li> <li>• Potentially good tagging</li> <li>• Potentially good reconstruction</li> <li>• Some directionality</li> </ul>	<ul style="list-style-type: none"> <li>• Technology under development</li> <li>• Capabilities still unknown</li> <li>• Statistics limited</li> </ul>

# Diffuse Supernova Neutrino Background

- Diffuse SN neutrino background (DSNB) from all the SN explosions in the Universe → **guaranteed steady source of SN neutrinos**
- **Not detected yet** (same detection interactions as for burst  $\nu$ s)
- Main experimental issue: **backgrounds**

Different theoretical DSNB models



C. Lunardini, *Astropart. Phys.* 79 (2016) 49-77

# DSNB in WC

Thanks to Yusuke Koshio & Takatomi Yano

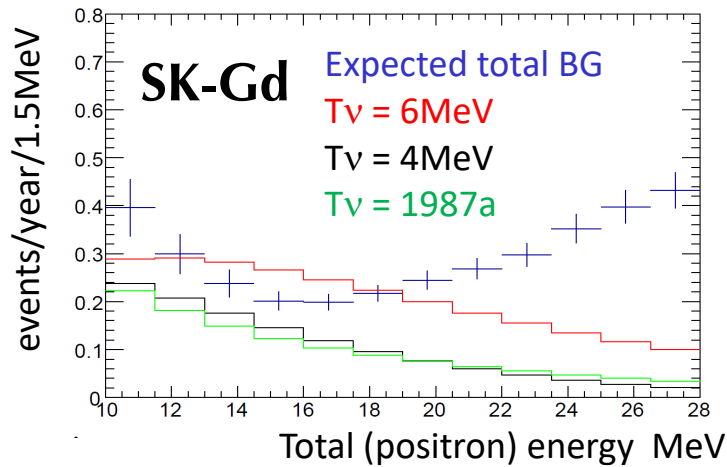
DSNB flux:

Horiuchi, Beacom and Dwek, PRD, 79, 083013 (2009)

- It depends on typical/actual SN emission spectrum

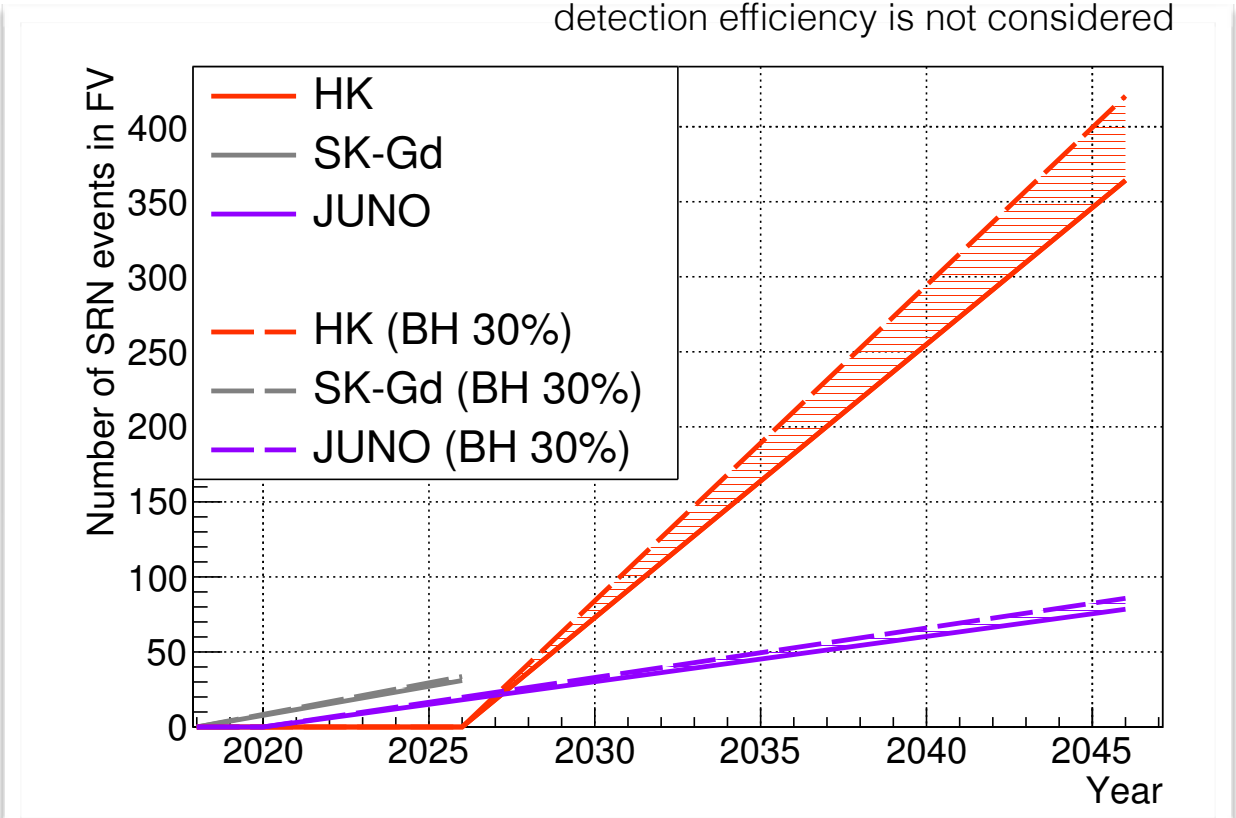
DSNB events number with 10 years observation

HBD models	10-16MeV (evts/10yrs)	16-28MeV (evts/10yrs)	Total (10-28MeV)	significance (2 energy bin)
$T_{\text{eff}} 8\text{MeV}$	11.3	19.9	31.2	5.3 $\sigma$
$T_{\text{eff}} 6\text{MeV}$	11.3	13.5	24.8	4.3 $\sigma$
$T_{\text{eff}} 4\text{MeV}$	7.7	4.8	12.5	2.5 $\sigma$
$T_{\text{eff}} \text{SN1987a}$	5.1	6.8	11.9	2.1 $\sigma$
BG	10	24	34	----



Hyper-K aims to measure the spectrum of DSNB

detection efficiency is not considered

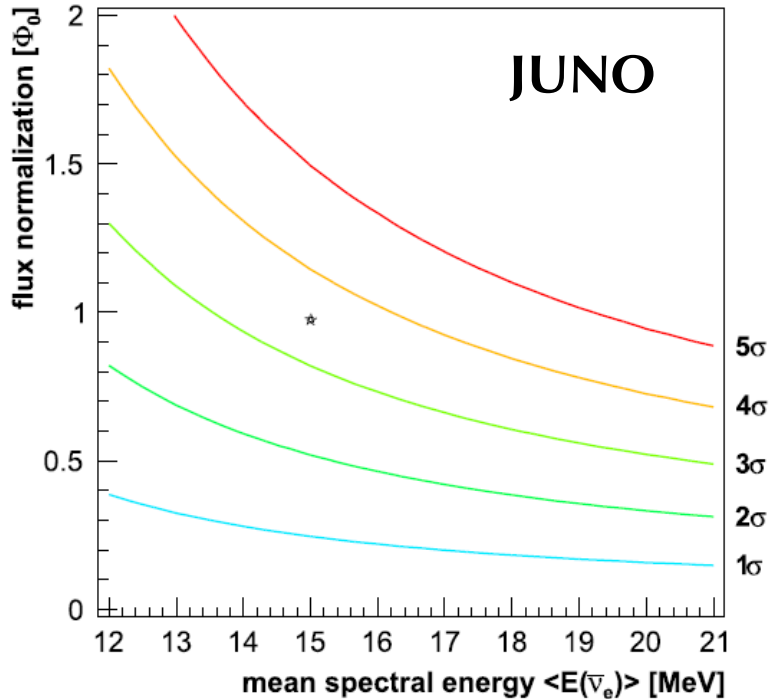
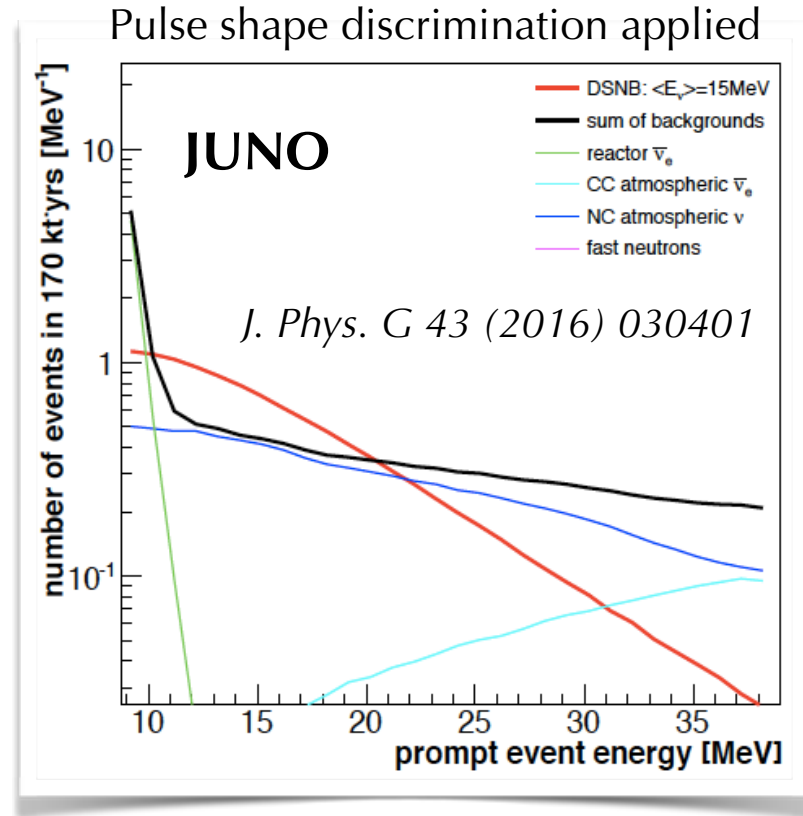


Thanks to  
Liangjian Wen

# DSNB in LSc

KamLAND best limit (90% CL):  
 $f(\nu_e) < 3.7 \times 10^2 \text{ cm}^{-2}\text{s}^{-1}$  for  $8.3 < E_\nu < 14.8 \text{ MeV}$

- Few events per year are expected in JUNO
- *Main backgrounds:* atmospheric and reactor  $\nu_e$ 
  - atm NC & fast neutrons can be identified and reduced
- Discovery potential:  $3\sigma$  level for 17 kton x 10 y (syst uncertainty on BG: 5%)



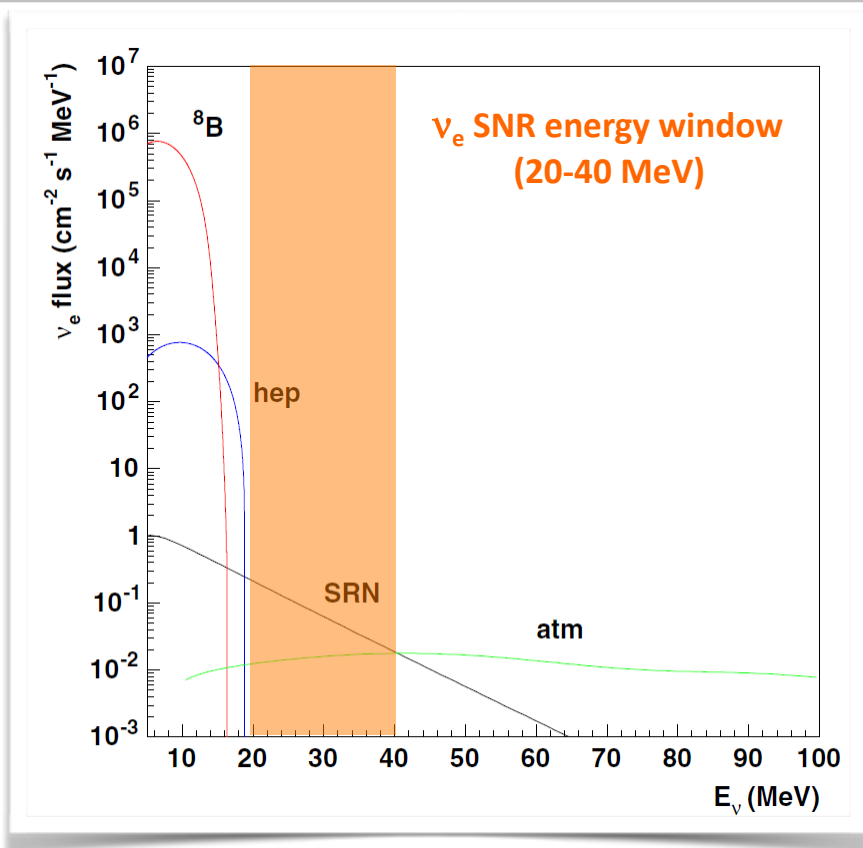
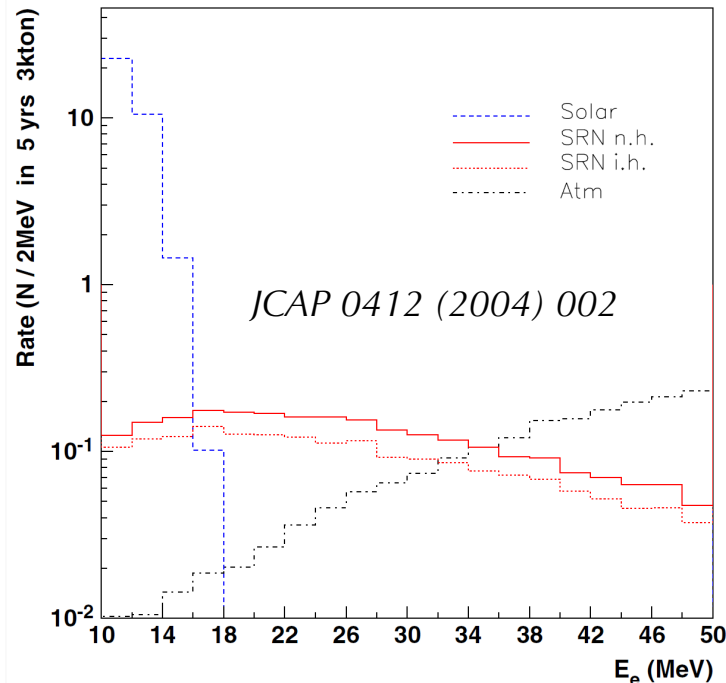
### 10 Years' sensitivity

Syst. uncertainty BG $\langle E_{\bar{\nu}_e} \rangle$	5%		20%	
	Rate only	Spectral fit	Rate only	Spectral fit
12 MeV	2.3 $\sigma$	2.5 $\sigma$	2.0 $\sigma$	2.3 $\sigma$
15 MeV	3.5 $\sigma$	3.7 $\sigma$	3.2 $\sigma$	3.3 $\sigma$
18 MeV	4.6 $\sigma$	4.8 $\sigma$	4.1 $\sigma$	4.3 $\sigma$
21 MeV	5.5 $\sigma$	5.8 $\sigma$	4.9 $\sigma$	5.1 $\sigma$



# DSNB in LAr

- LAr TPCs can detect relic neutrinos through  $\nu_e$ CC interactions
- Main background: solar and atmospheric neutrinos
- DUNE**, in 10 years, n.h.  
 $N_{\text{DSNB}} = 46 \pm 10$  ( $16 \text{ MeV} \leq E_e \leq 40 \text{ MeV}$ )



DSNB flux prediction based on Strigari et al., JCAP03 (2004) 007

**3 kton, 5y, n.h.**

$$N_{\text{DSNB}} = 1.7 \pm 1.6 \text{ evts } (16 \leq E_e \leq 40 \text{ MeV})$$

$$f(\nu_e) < 1.6 \text{ cm}^{-2} \text{s}^{-1} \text{ (90\% CL)}$$

**100 kton, 5y, n.h**

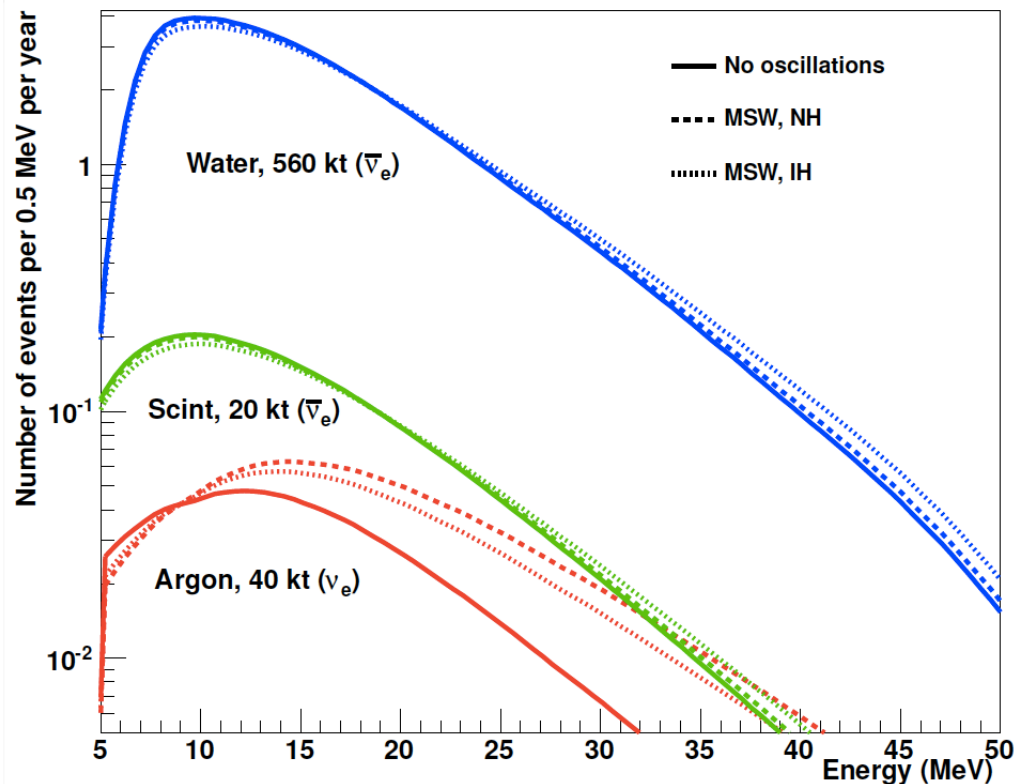
$$N_{\text{DSNB}} = 57 \pm 12 \text{ evts } (16 \leq E_e \leq 40 \text{ MeV}) \quad 4\sigma \text{ measurement}$$

# Comparison between technologies

LAGUNA Design Study  
JCAP 0711 (2007) 011

Interaction	Exposure	Energy Window	Signal/Bkgd
GLACIER $\nu_e + {}^{40}\text{Ar} \rightarrow e^- + {}^{40}\text{K}^*$	0.5 Mton year 5 years	[16 – 40] MeV	(40-60)/30
LENA at Pyhasalmi $\bar{\nu}_e + p \rightarrow n + e^+$ $n + p \rightarrow d + \gamma$ (2 MeV, 200 $\mu\text{s}$ )	0.4 Mton year 10 years	[9.5 – 30] MeV	(20-230)/8
1 MEMPHYS module + 0.2% Gd (with bkgd at Kamioka) $\bar{\nu}_e + p \rightarrow n + e^+$ $n + \text{Gd} \rightarrow \gamma$ (8 MeV, 20 $\mu\text{s}$ )	0.7 Mton year 5 years	[15 – 30] MeV	(43-109)/47

## Expected DSNB interaction rates



Mirizzi et al., Riv. Nuov. Cim. 39, N. 1-2 (2016)

# Conclusions

- Detection of SN neutrino events is one of the main goals of current and future large underground detectors
- SN neutrinos can provide information about fundamental processes related to SN physics and neutrino properties
- The understanding of the SN explosion mechanism and the neutrino flavor transformations is still in progress (spectacular advance of theoretical models) but new experimental inputs are needed
- Important to understand the different SN  $\nu$  detection channels (cross-sections, signatures, directionality, reconstruction, timing, etc.) and a good detector response in terms of time, flavor and energy
- Complementarity between different detector technologies will be crucial