

Dark matter, jets, and substructure at the CMS experiment

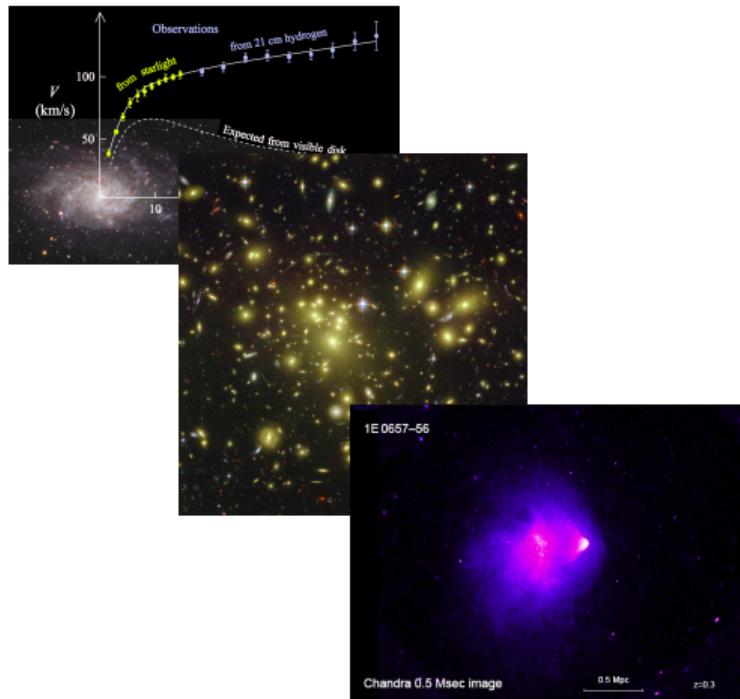
Siddharth Narayanan



SLAC - 2018/07/19

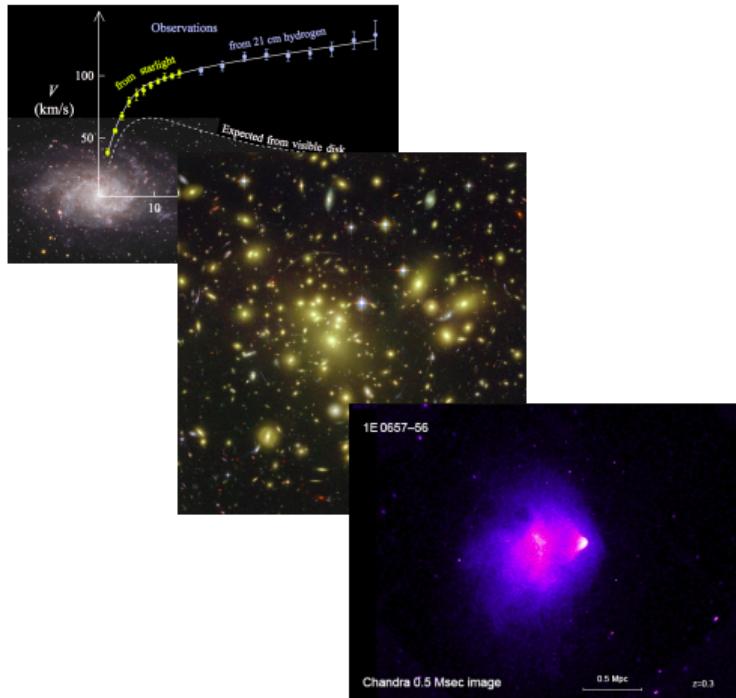
Dark matter at the LHC

- ▶ Existence of dark matter strongly suggested by astrophysical observations
 - ▶ Galaxy rotation curves
 - ▶ Gravitational lensing
 - ▶ Bullet Cluster
 - ▶ ...



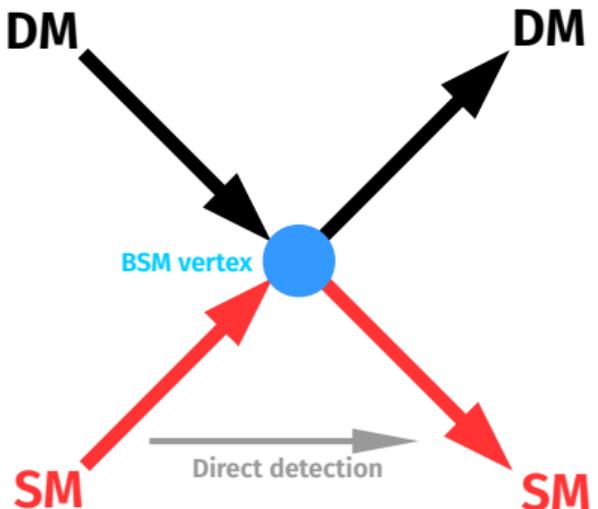
Dark matter at the LHC

- ▶ Existence of dark matter strongly suggested by astrophysical observations
 - ▶ Galaxy rotation curves
 - ▶ Gravitational lensing
 - ▶ Bullet Cluster
 - ▶ ...
- ▶ Most evidence relies on gravitational effects of DM
- ▶ Can we find other evidence for interaction between SM and DM?



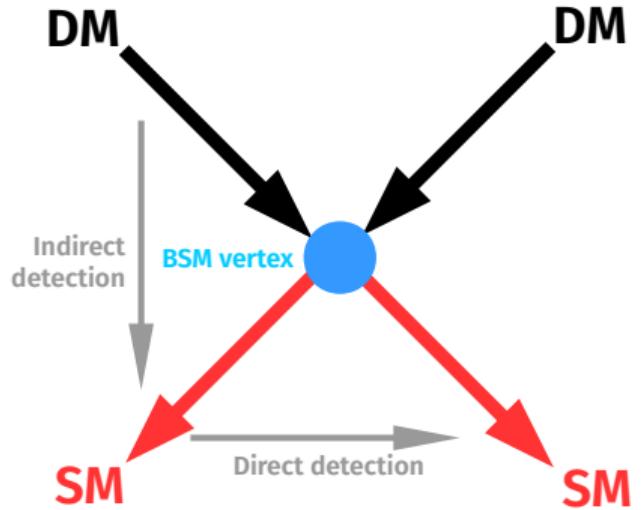
Dark matter at the LHC

- ▶ Existence of dark matter strongly suggested by astrophysical observations
 - ▶ Galaxy rotation curves
 - ▶ Gravitational lensing
 - ▶ Bullet Cluster
 - ▶ ...
- ▶ Most evidence relies on gravitational effects of DM
- ▶ Can we find other evidence for interaction between SM and DM?



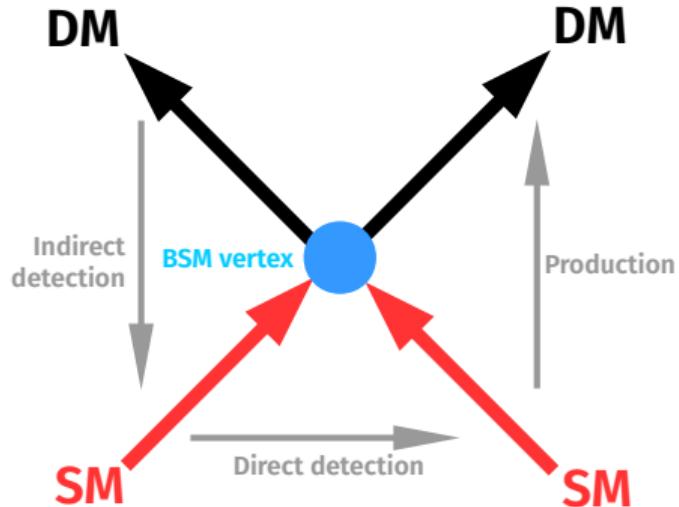
Dark matter at the LHC

- ▶ Existence of dark matter strongly suggested by astrophysical observations
 - ▶ Galaxy rotation curves
 - ▶ Gravitational lensing
 - ▶ Bullet Cluster
 - ▶ ...
- ▶ Most evidence relies on gravitational effects of DM
- ▶ Can we find other evidence for interaction between SM and DM?



Dark matter at the LHC

- ▶ Existence of dark matter strongly suggested by astrophysical observations
 - ▶ Galaxy rotation curves
 - ▶ Gravitational lensing
 - ▶ Bullet Cluster
 - ▶ ...
- ▶ Most evidence relies on gravitational effects of DM
- ▶ Can we find other evidence for interaction between SM and DM?

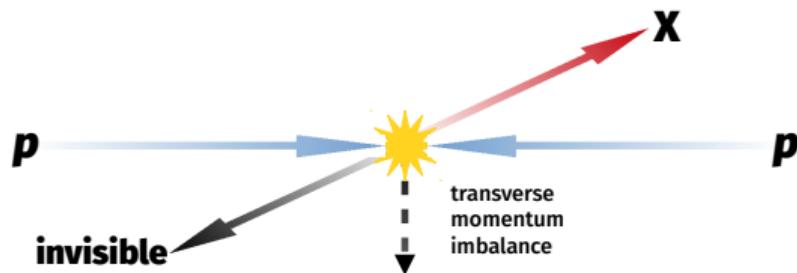


Seeing the invisible

- ▶ DM candidates would couple weakly to collider detectors
- ▶ Not much point in producing DM if we can't see it!
- ▶ The solution: searches for “mono-**X**”
 - ▶ DM produced in association with one or more SM particles (**X**)

Seeing the invisible

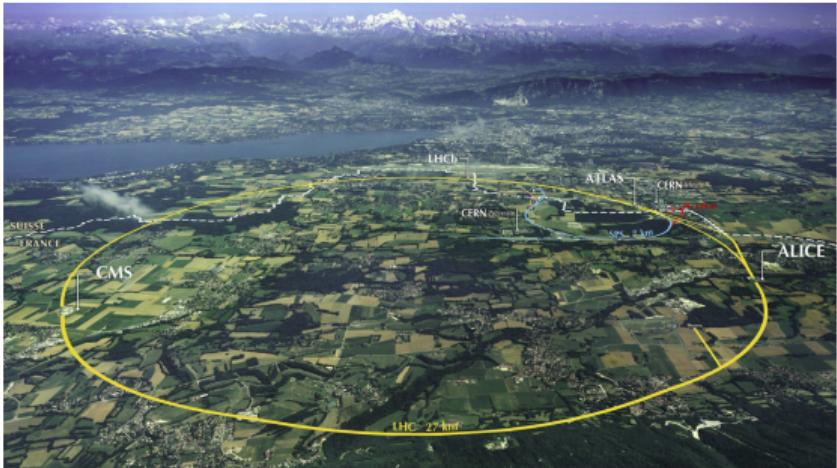
- ▶ DM candidates would couple weakly to collider detectors
- ▶ Not much point in producing DM if we can't see it!
- ▶ The solution: searches for “mono-**X**”
 - ▶ DM produced in association with one or more SM particles (**X**)



- ▶ **X** creates a transverse momentum imbalance (p_T^{miss})
- ▶ Large p_T^{miss} + conservation of momentum \Rightarrow invisible particles!
- ▶ In certain cases, can trigger on **X**

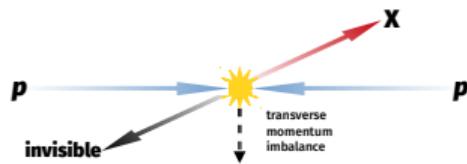
p_T^{miss} at the LHC

- ▶ CMS records proton collisions from the LHC
 - ▶ Today: $\sqrt{s} = 13 \text{ TeV}$ results



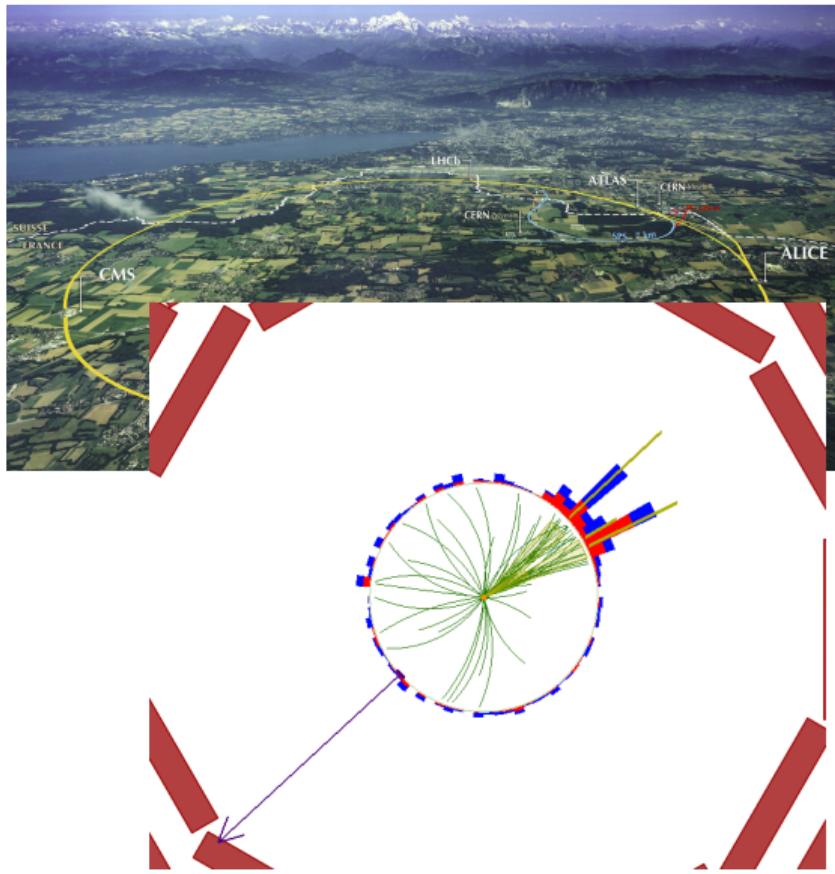
p_T^{miss} at the LHC

- ▶ CMS records proton collisions from the LHC
 - ▶ Today: $\sqrt{s} = 13 \text{ TeV}$ results
- ▶ pp events are messy, so replace:



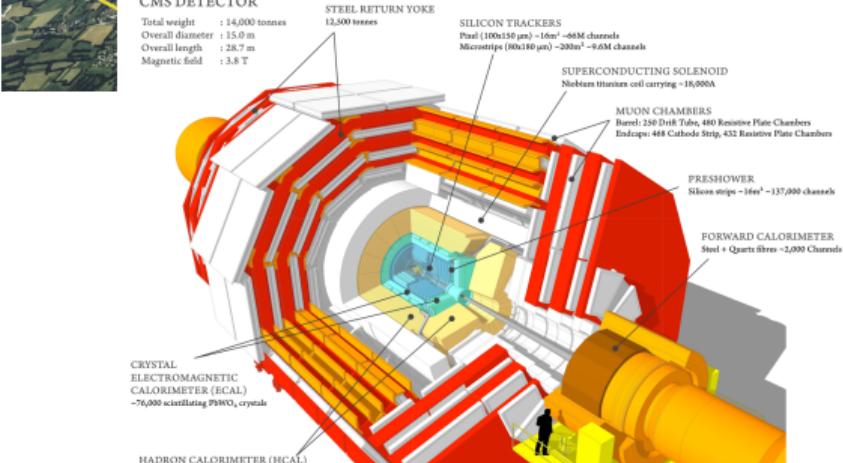
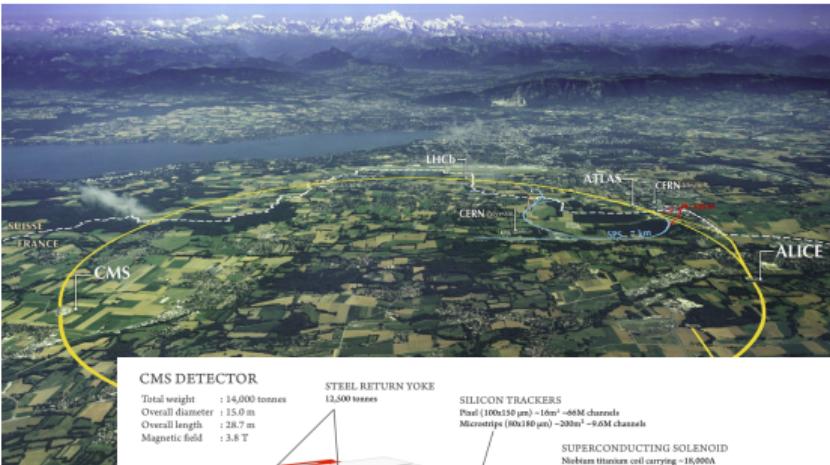
- ▶ with:

$$\vec{p}_T^{\text{miss}} = - \left(\sum_{i \in \text{particles}} \vec{p}_i \right)_T$$



Compact Muon Solenoid

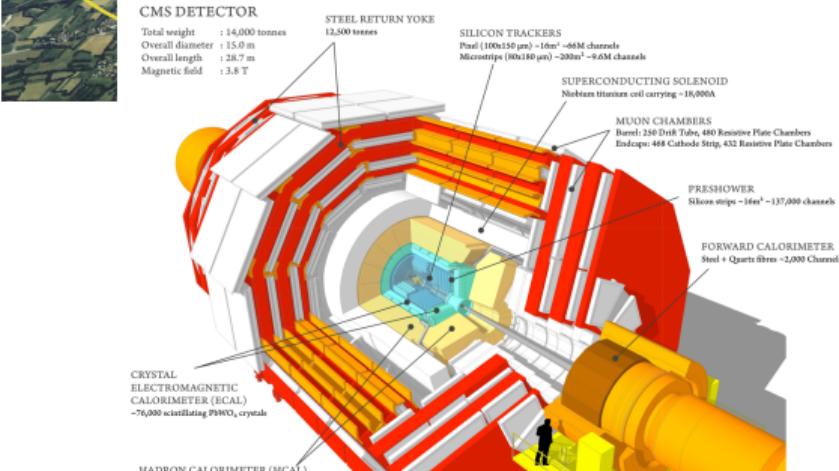
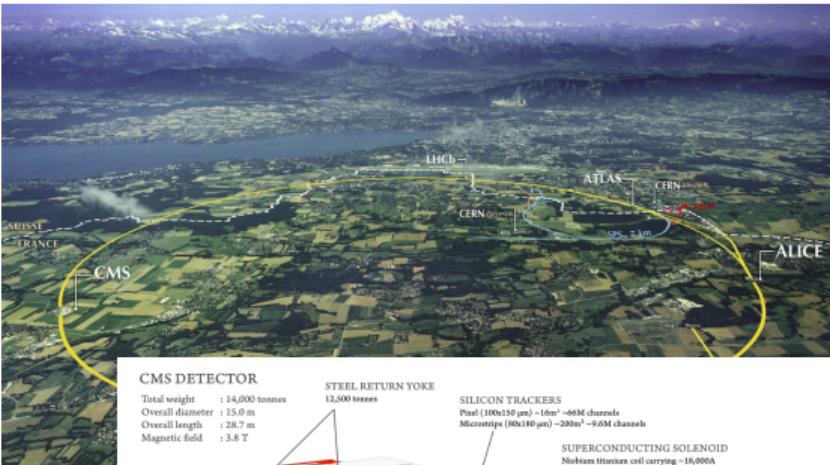
All particles in sum \Rightarrow
all subdetectors help measure p_T^{miss} !



Compact Muon Solenoid

All particles in sum \Rightarrow
all subdetectors help measure p_T^{miss} !

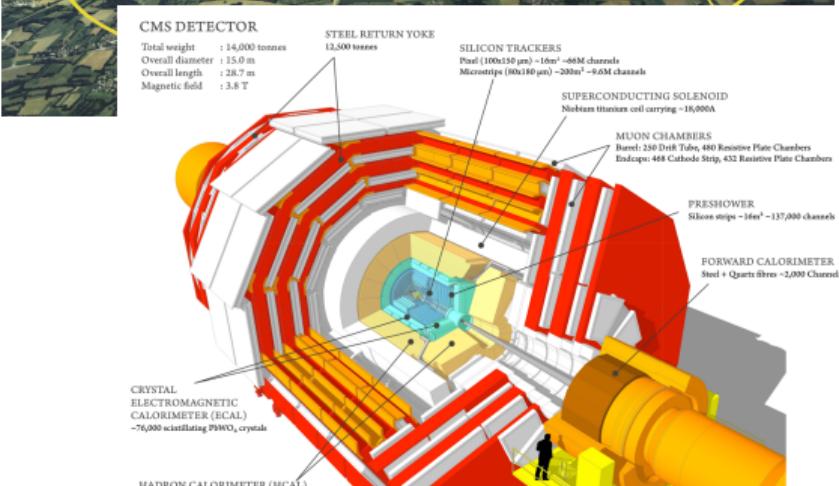
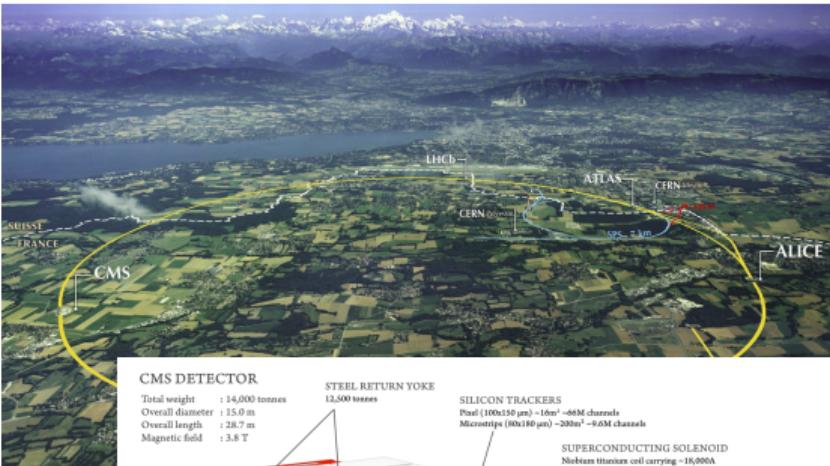
- ▶ Solenoidal magnet
 - ▶ 3.8 T B field
- ▶ Silicon tracker
 - ▶ Charged particles' \vec{p}
 - ▶ Track vertices



Compact Muon Solenoid

All particles in sum \Rightarrow
all subdetectors help measure p_T^{miss} !

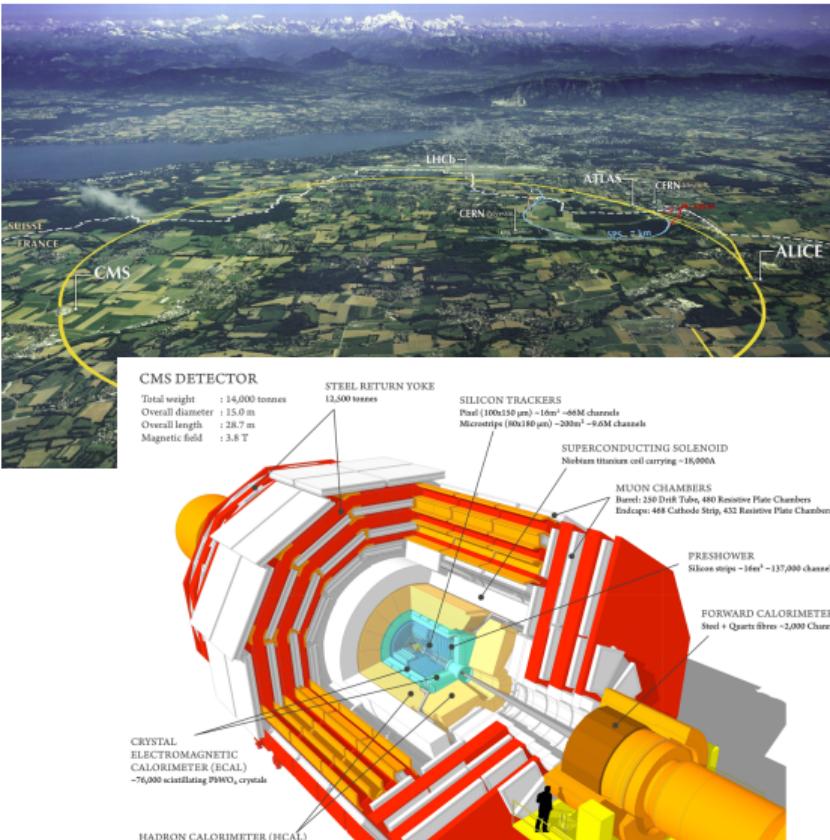
- ▶ Solenoidal magnet
 - ▶ 3.8 T B field
- ▶ Silicon tracker
 - ▶ Charged particles' \vec{p}
 - ▶ Track vertices
- ▶ Calorimeters
 - ▶ EM and hadronic
 - ▶ Good energy resolution
 - ▶ Large coverage



Compact Muon Solenoid

All particles in sum \Rightarrow
all subdetectors help measure p_T^{miss} !

- ▶ Solenoidal magnet
 - ▶ 3.8 T B field
- ▶ Silicon tracker
 - ▶ Charged particles' \vec{p}
 - ▶ Track vertices
- ▶ Calorimeters
 - ▶ EM and hadronic
 - ▶ Good energy resolution
 - ▶ Large coverage
- ▶ Muon chambers
 - ▶ ID muons
 - ▶ Help measure \vec{p}



A broad spectrum of DM models and $\textcolor{red}{X}$

	Spin-1 mediated	Spin-0 mediated	Fermion portal DM	Non- thermal DM	ADD	2HDM	Baryonic Z'
$\textcolor{red}{X} = q, g$							
qq'							
$V(q\bar{q}')$							
γ							
$Z(\ell^+\ell^-)$							
$t\bar{t}$							
$b/b\bar{b}$							
t							
$H(b\bar{b})$							

All signatures characterized by high p_T^{miss} , but choice of $\textcolor{red}{X}$ necessitates different reconstruction and background estimation strategies

A broad spectrum of DM models and $\textcolor{red}{X}$

	Spin-1 mediated	Spin-0 mediated	Fermion portal DM	Non- thermal DM	ADD	2HDM	Baryonic Z'
$\textcolor{red}{X}=q, g$							
qq'							
$V(q\bar{q}')$							
γ							
$Z(\ell^+\ell^-)$							
t							
$t\bar{t}$							
$b/b\bar{b}$							
$H(b\bar{b})$							

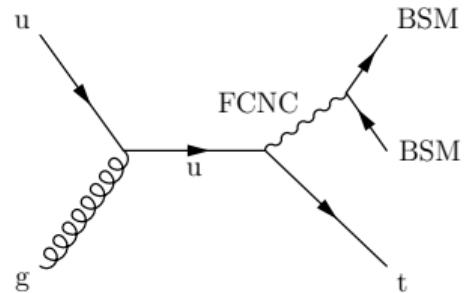
This talk: focus on DM+jets

Outline

Signature

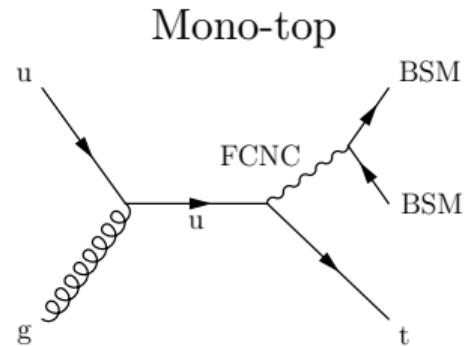
Highlights

Mono-top



Outline

Signature

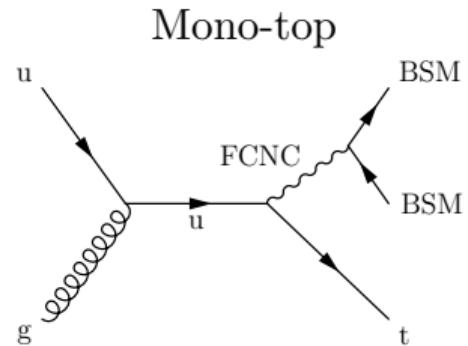


Highlights

Jet substructure
 Invisible background estimation

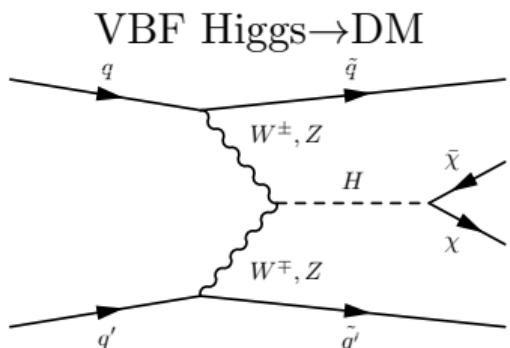
Outline

Signature



Highlights

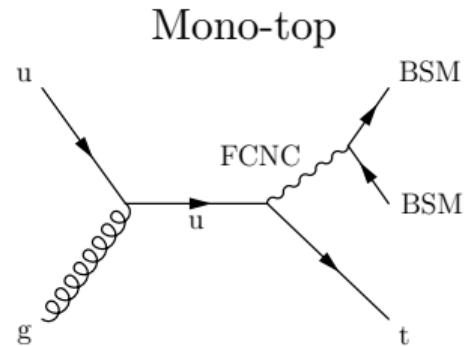
Jet substructure
Invisible background estimation



DM+jets

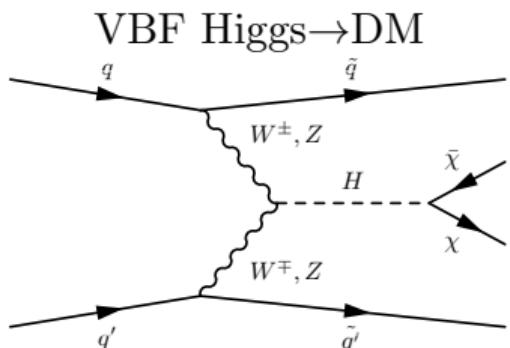
Outline

Signature



Highlights

Jet substructure
Invisible background estimation



Electroweak SM backgrounds
Forward jets

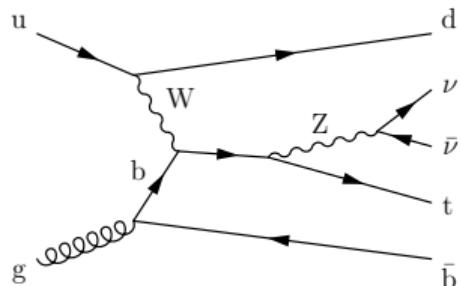
Mono-Top

Hallmarks of top quark+ p_T^{miss}

- ▶ Let's forget any specific DM model
- ▶ This final state must violate flavor conservation
 - ▶ SM FC processes will have a b quark in the final state (up to CKM suppression)
- ▶ Excess mono-top production \Rightarrow flavor-changing BSM

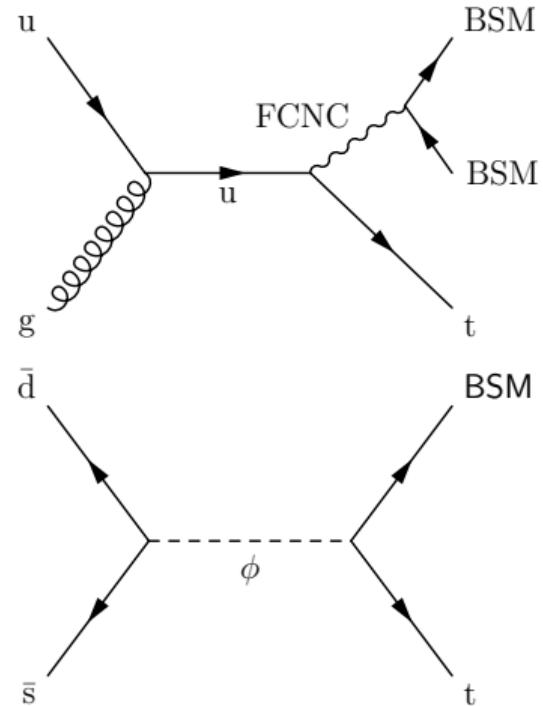
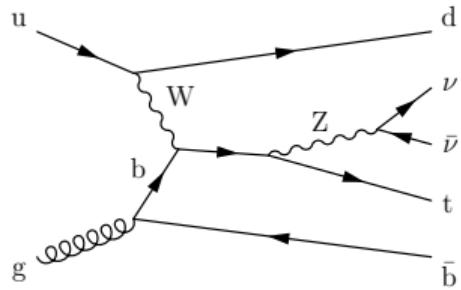
Hallmarks of top quark+ p_T^{miss}

- ▶ Let's forget any specific DM model
- ▶ This final state must violate flavor conservation
 - ▶ SM FC processes will have a b quark in the final state (up to CKM suppression)
- ▶ Excess mono-top production \Rightarrow flavor-changing BSM
- ▶ SM process is tiny: 0.14 pb



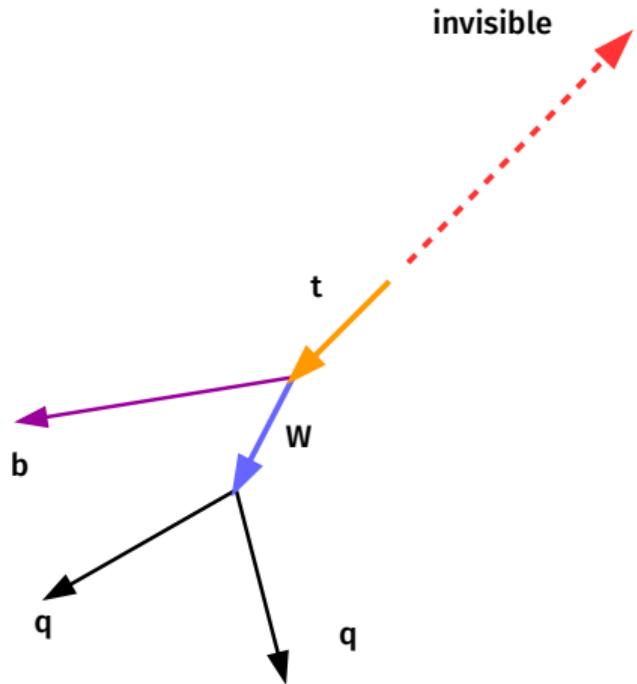
Hallmarks of top quark+ p_T^{miss}

- ▶ Let's forget any specific DM model
- ▶ This final state must violate flavor conservation
 - ▶ SM FC processes will have a b quark in the final state (up to CKM suppression)
- ▶ Excess mono-top production \Rightarrow flavor-changing BSM
- ▶ SM process is tiny: 0.14 pb



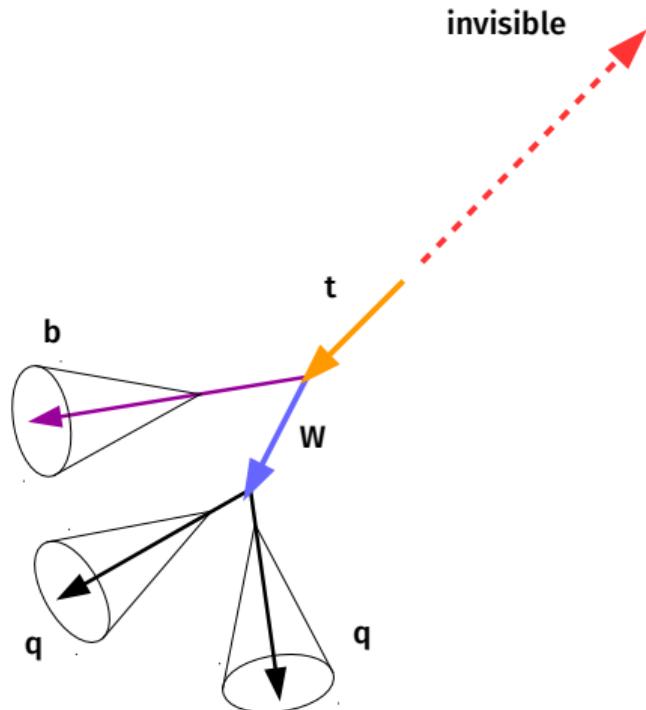
Anatomy of a mono-top event

Hadronic decay \Rightarrow larger BR, no p_T^{miss}

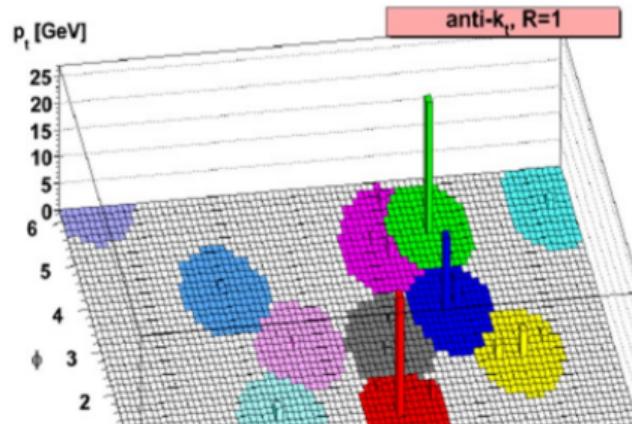


Anatomy of a mono-top event

Bare quarks hadronize into jets

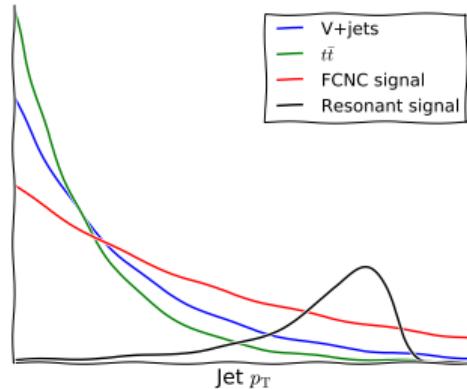
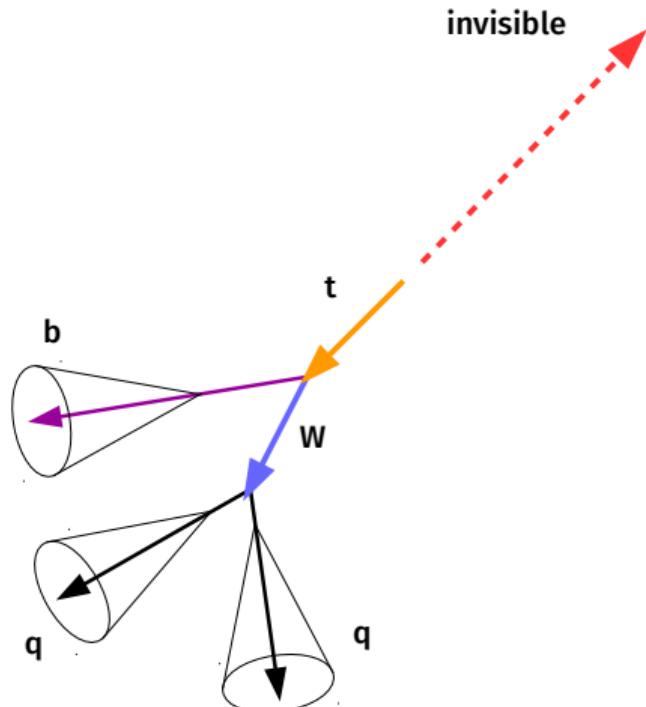


- ▶ Bare quarks are not color singlets
- ▶ Color confinement \Rightarrow production of color singlets (hadrons)
- ▶ Hadrons collimated in direction of parton
- ▶ “Jets” are reconstructed using iterative algorithms at LHC



Anatomy of a mono-top event

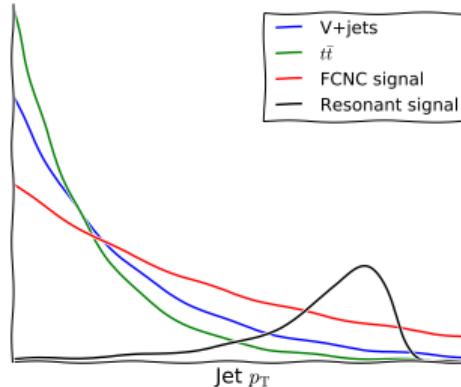
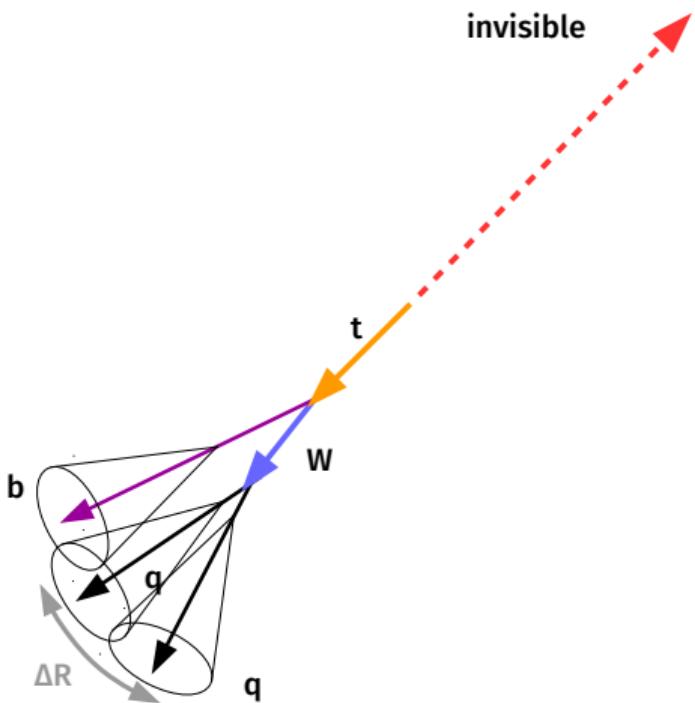
Bare quarks hadronize into jets



- ▶ Signal events generally more energetic than SM events
- ▶ Want to increase S/B? Look for very energetic top quarks!

Anatomy of a mono-top event

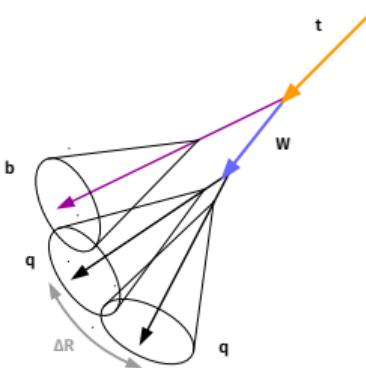
Decay products collimate



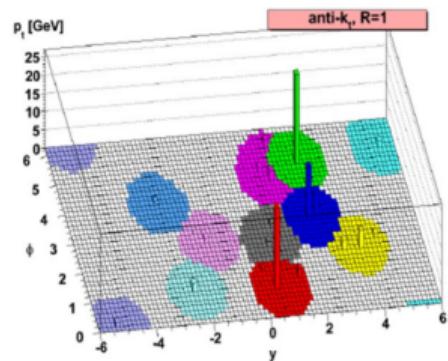
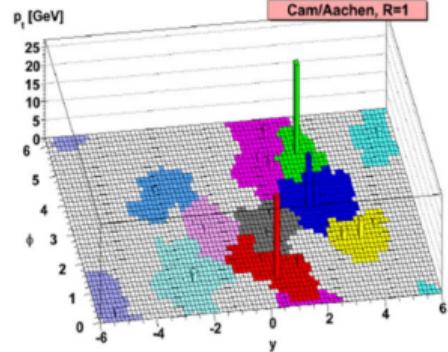
- ▶ Signal events generally more energetic than SM events
- ▶ Want to increase S/B? Look for very energetic top quarks!
- ▶ Separation between jets: $\Delta R \sim 2m_t/p_T$
 - ▶ $p_T > 250 \text{ GeV} \Rightarrow \text{jets } (R = 0.4) \text{ overlap}$

Reconstruction of top quark

- ▶ Three $R = 0.4$ jets \rightarrow single $R = 1.5$ jet
- ▶ Circular jets \rightarrow oddly-shaped jets
 - ▶ Jet is the sum of 3 jets
 - ▶ Anti- k_T \rightarrow C/A

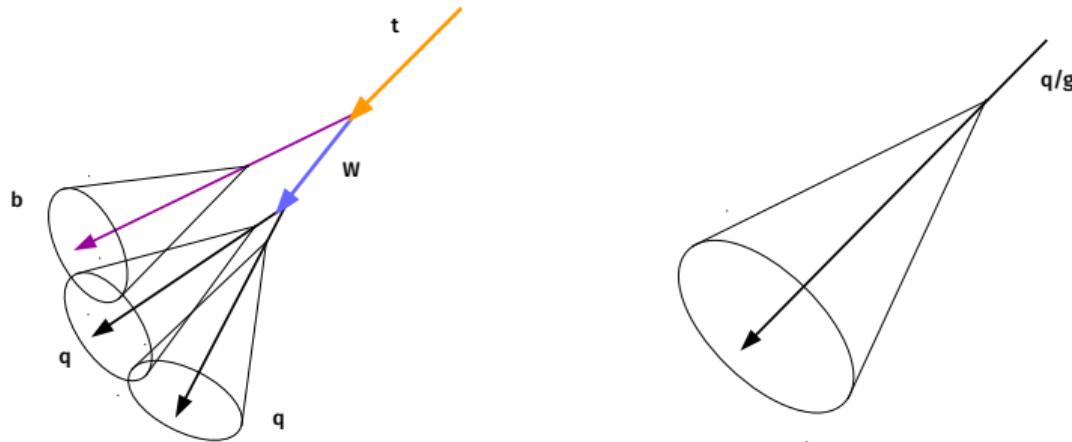


- ▶ These are **big** jets
 - ▶ $R = 1.5$ can contain up to half the detector
 - ▶ Lots of extra radiation in jet
 - ▶ PU, ISR, UE/MPI
 - ▶ Combinatorial fakes (q/g)



J. Phys.: Conf. Ser. 645 012008

- Top quark $\rightarrow 3q \Rightarrow$ top jet has 3 “prongs”: regions of correlated radiation



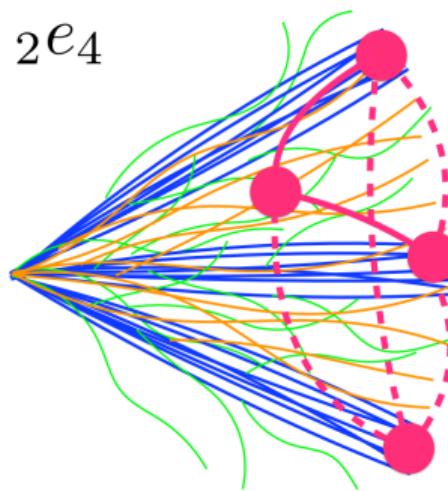
- **Substructure** observables are sensitive to such features
 - N -subjettiness, subjet algorithms, ECFs,...

Energy correlation functions

ECFs are **N**-point distance-weighted correlation functions among particles of the jet

$$e(a, \mathbf{N}, \alpha) \sim \sum_{\mathbf{N} \text{ particles } \in J}$$

sets of **N** particles



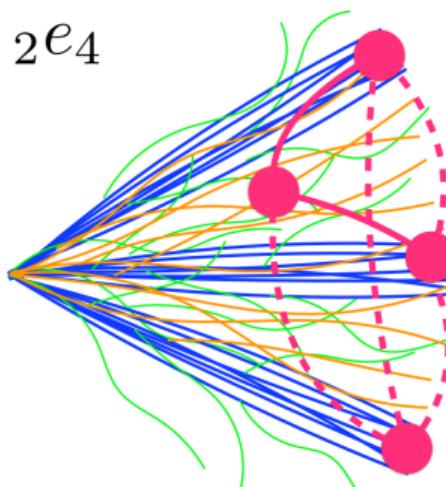
[arXiv:1609.07473]

Energy correlation functions

ECFs are **N**-point distance-weighted correlation functions among particles of the jet

$$e(a, \mathbf{N}, \alpha) \sim \sum_{\mathbf{N} \text{ particles } \in J} \left[\prod_{p \in \text{particles}} \frac{E_p}{E_J} \right]$$

sets of **N** particles energy fractions



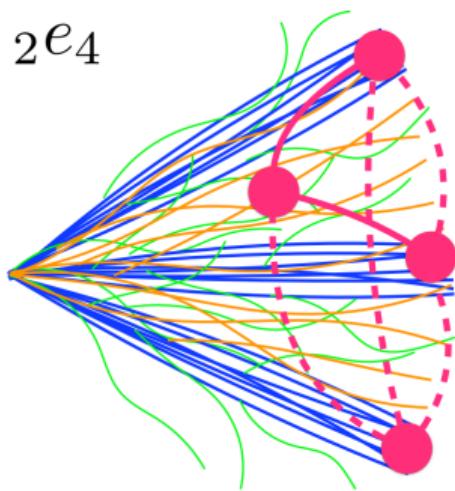
[arXiv:1609.07473]

Energy correlation functions

ECFs are **N**-point distance-weighted correlation functions among particles of the jet

$$e(a, \mathbf{N}, \alpha) \sim \sum_{\mathbf{N} \text{ particles } \in J} \left[\prod_{p \in \text{particles}} \frac{E_p}{E_J} \right] \times \min \left\{ \prod_{p,q \in \text{particles}}^a \theta(p, q) \right\}^\alpha$$

sets of **N** particles
energy fractions
opening angle

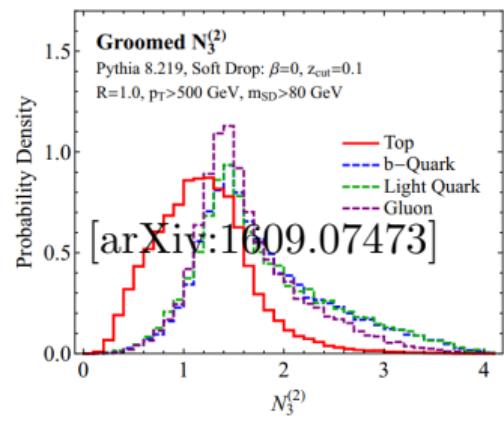
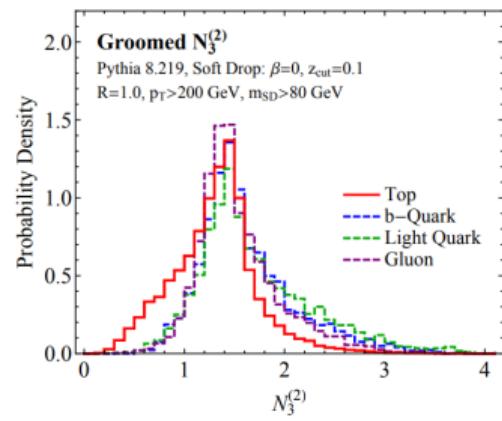
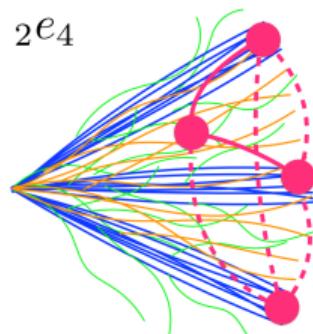


[arXiv:1609.07473]

ECF behavior

$$e(a, \mathbf{N}, \alpha) \sim \sum_{\text{N particles } \in J} \left[\prod_{p \in \text{particles}} \frac{E_p}{E_J} \right] \times \min \left\{ \prod_{p,q \in \text{particles}}^a \theta(p, q) \right\}^\alpha$$

- Top jet: $\mathbf{N} = 3$ correlations are strong, $\mathbf{N} = 4$ are weak
- q/g jets: $\mathbf{N} = 3$ and $\mathbf{N} = 4$ are both weak
- $e(\mathbf{N} = 4)/e(\mathbf{N} = 3)$

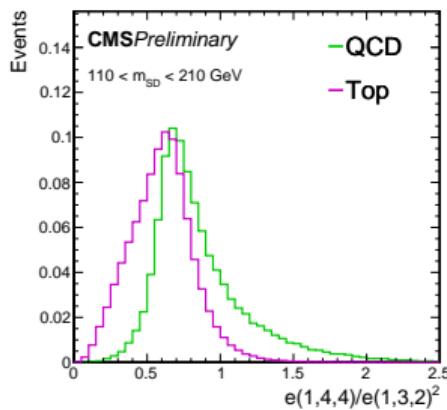


Space of ECF ratios

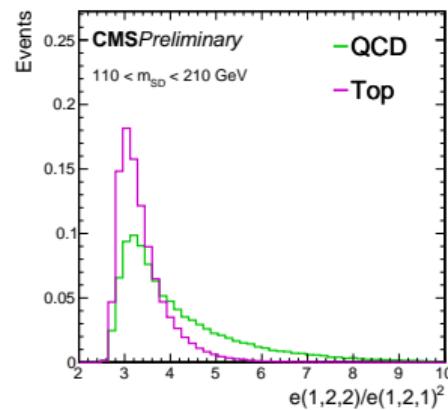
- Can extend argument to infinitely large ratio space

$$\frac{e(a, \mathbf{N}, \alpha)}{e(b, \mathbf{M}, \beta)^x}, \text{ where } M \leq N \text{ and } x = \frac{a\alpha}{b\beta}$$

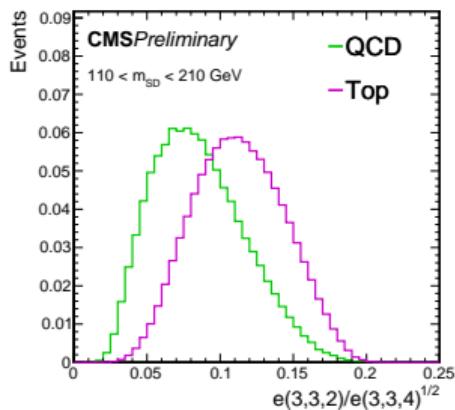
- Turns out many correlation function ratios can separate signal and background



$$e(\mathbf{N} = 4)/e(\mathbf{N} = 3)$$



$$e(\mathbf{N} = 2)/e(\mathbf{N} = 2)$$



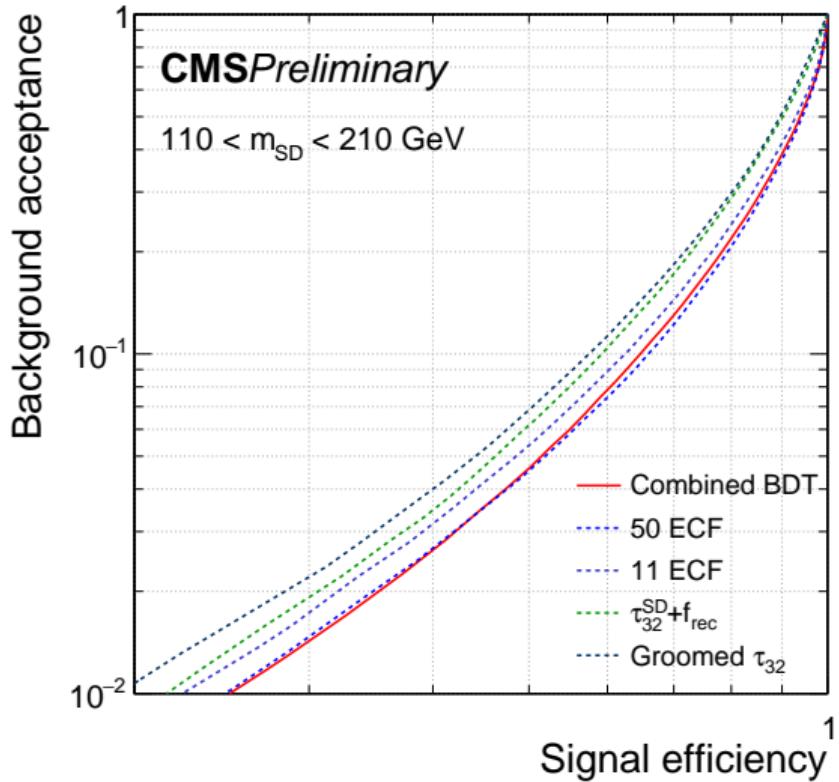
$$e(\mathbf{N} = 3)/e(\mathbf{N} = 3)$$

Building a discriminator

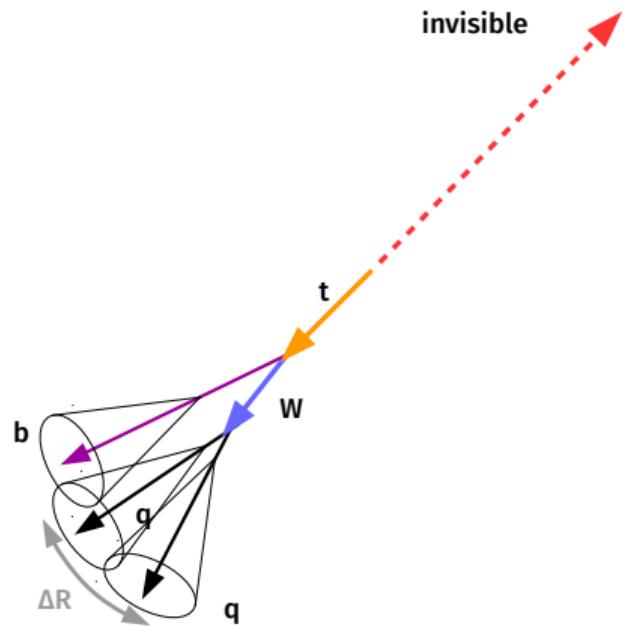


- ▶ Large number of substructure observables to choose from
- ▶ Many are highly correlated or not useful
- ▶ Use boosted decision trees to prune space of observables and extract useful information

	$\epsilon_{\text{bkg}}(\epsilon_{\text{sig}} = 0.5)$
τ_{32}	6.9%
Combined BDT	4.7%

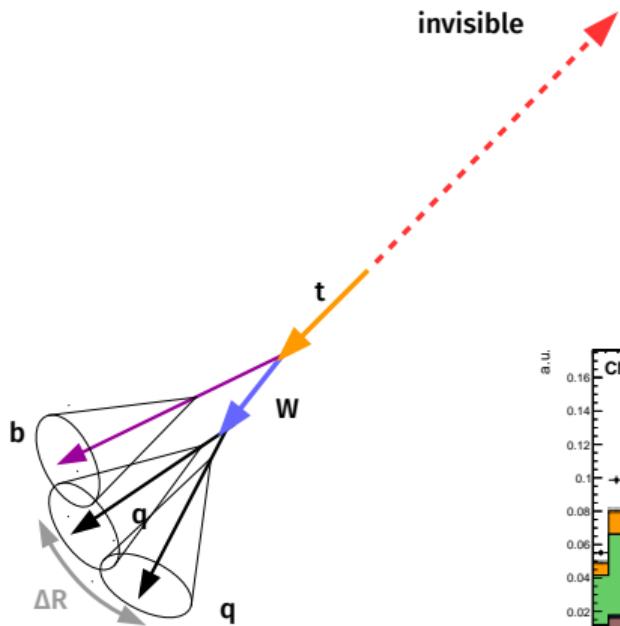


Selecting mono-top events

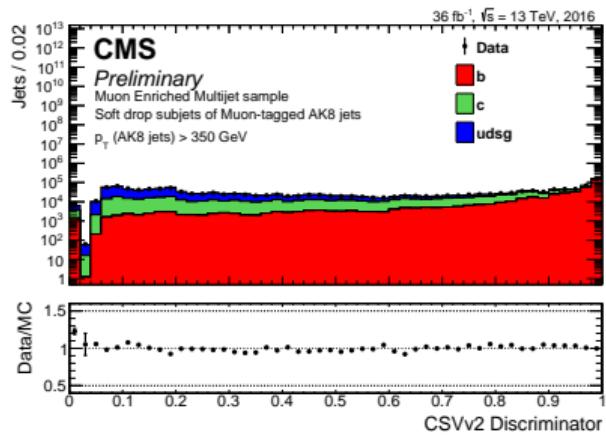
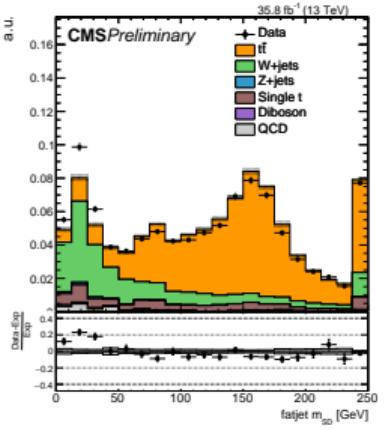


- ▶ $p_T^{\text{miss}} > 250 \text{ GeV}$ (trigger threshold)
- ▶ CA15 jet, $p_T > 250 \text{ GeV}$
- ▶ Selected by BDT

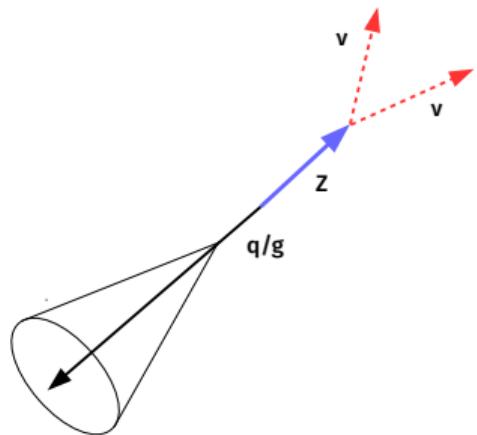
Selecting mono-top events



- ▶ $p_T^{\text{miss}} > 250 \text{ GeV}$ (trigger threshold)
- ▶ CA15 jet, $p_T > 250 \text{ GeV}$
 - ▶ Selected by BDT
 - ▶ Mass consistent with m_t
 - ▶ Signature of B meson decay inside jet
- ▶ Lab frame $c\tau \sim \mathcal{O}(\text{mm})$

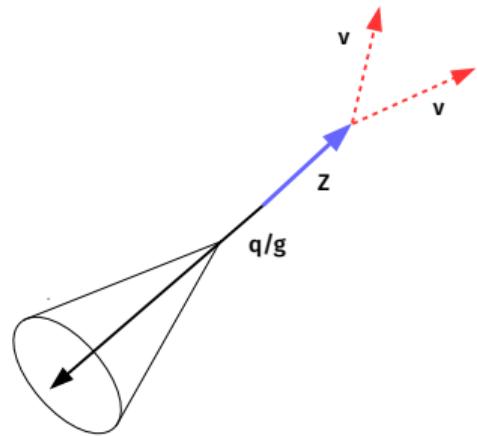


SM backgrounds

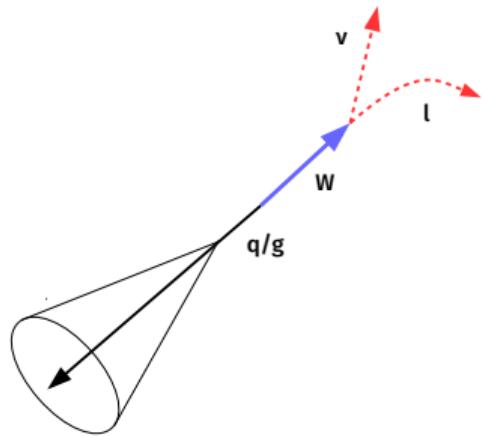
 $Z \rightarrow \nu\nu$ (30%)

SM backgrounds

$Z \rightarrow \nu\nu$ (30%)

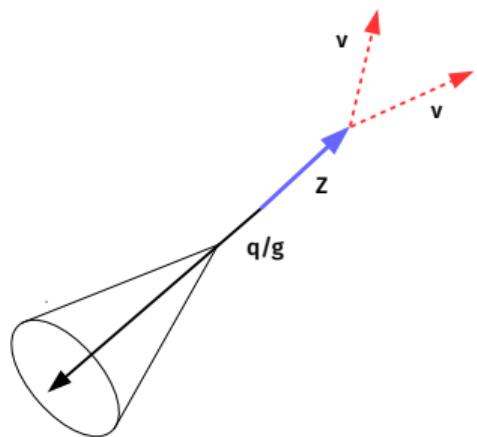


$W \rightarrow (\ell)\nu$ (15%)

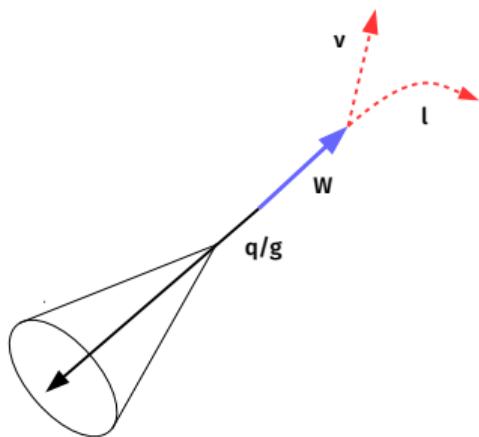


SM backgrounds

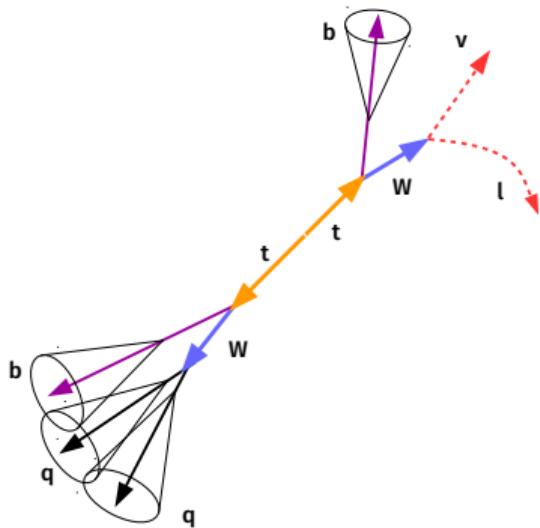
$Z \rightarrow \nu\nu$ (30%)



$W \rightarrow (\ell)\nu$ (15%)

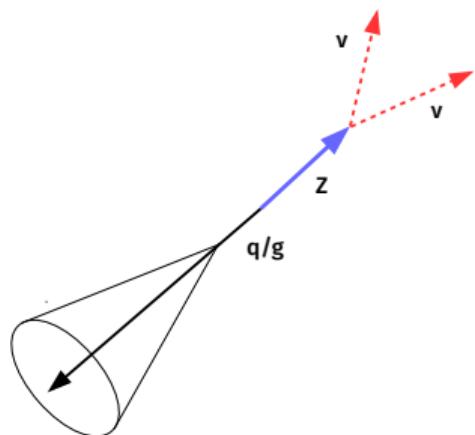


t quark pair (50%)

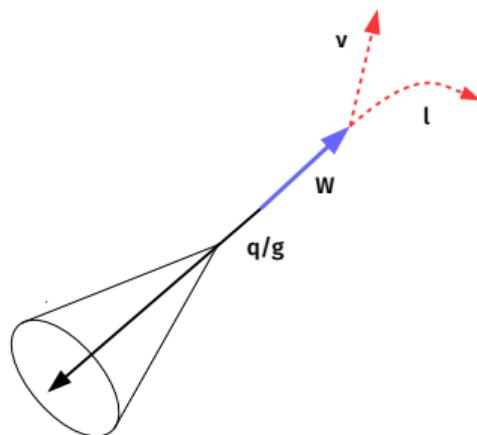


SM backgrounds

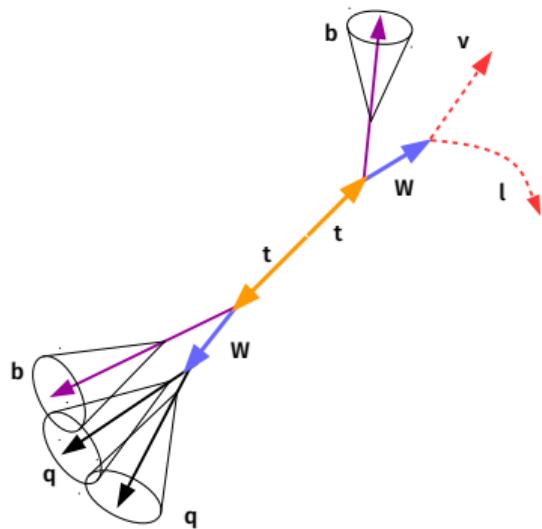
$Z \rightarrow \nu\nu$ (30%)



$W \rightarrow (\ell)\nu$ (15%)



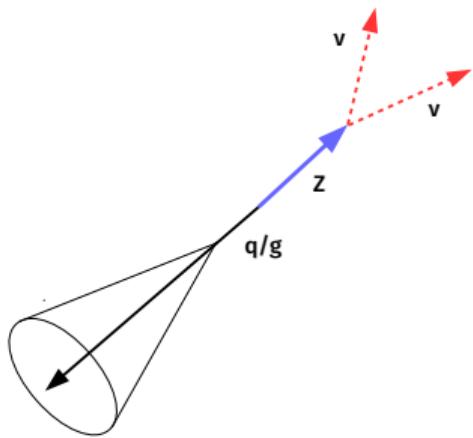
t quark pair (50%)



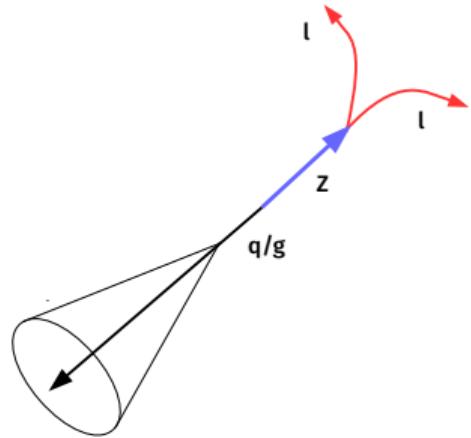
Note that p_T^{miss} is the transverse momentum of the **vector boson**

Background estimation

$Z \rightarrow \nu\nu$



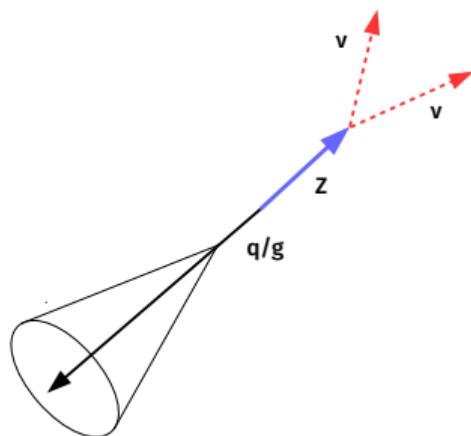
$Z \rightarrow \ell\ell$



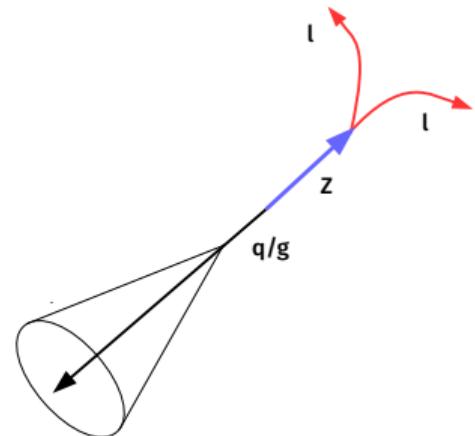
Ideally:
 $p_T^{\text{miss}} = p_T^Z = p_T^{\ell\ell}$

Background estimation

$Z \rightarrow \nu\nu$



$Z \rightarrow \ell\ell$



Ideally:

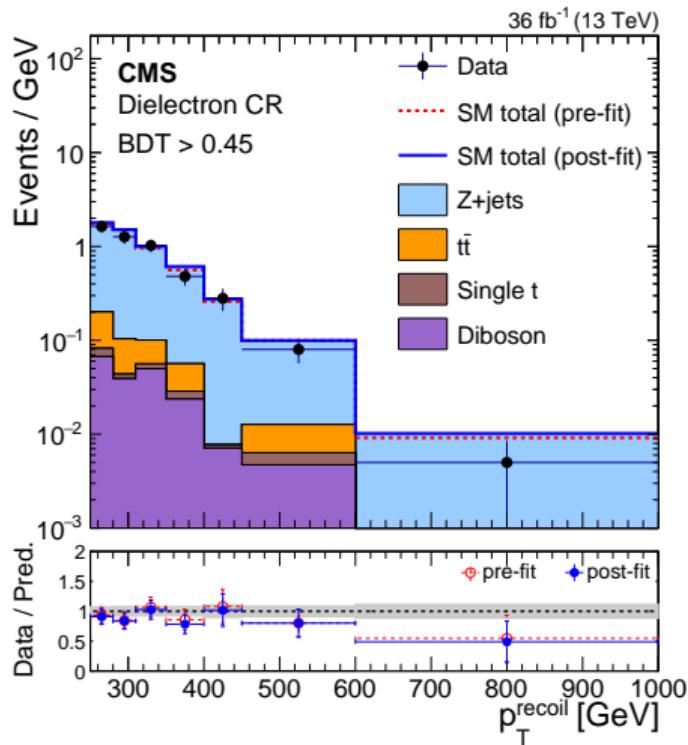
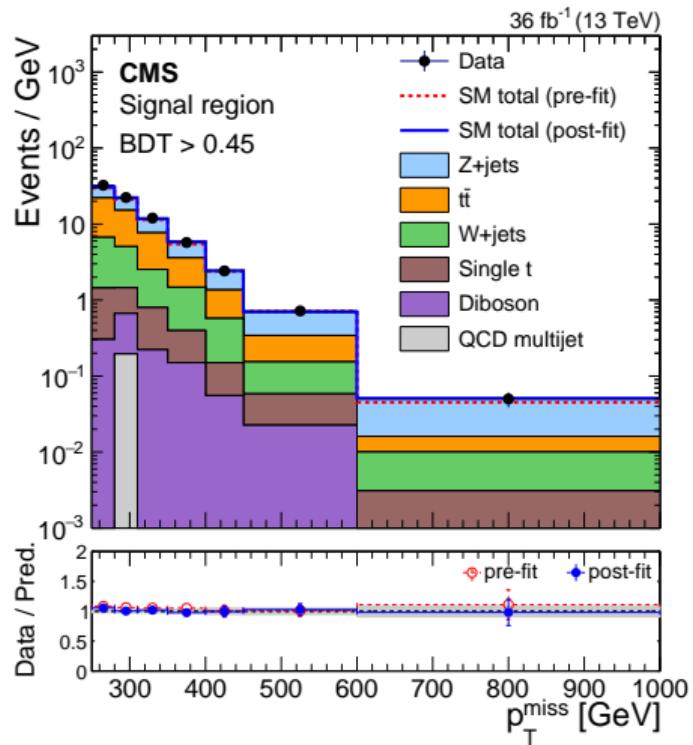
$$p_T^{\text{miss}} = p_T^Z = p_T^{\ell\ell}$$

In practice:

$$p_T^{\text{miss}} \approx p_T^Z \approx p_T^{\text{miss}}(\text{no } \ell)$$

Hadronic recoil \equiv momentum imbalance if we pretend ℓ^\pm are invisible.
 Only syst. uncertainty on this extrapolation comes from ℓ ID ($\sim 1\text{--}3\%$)

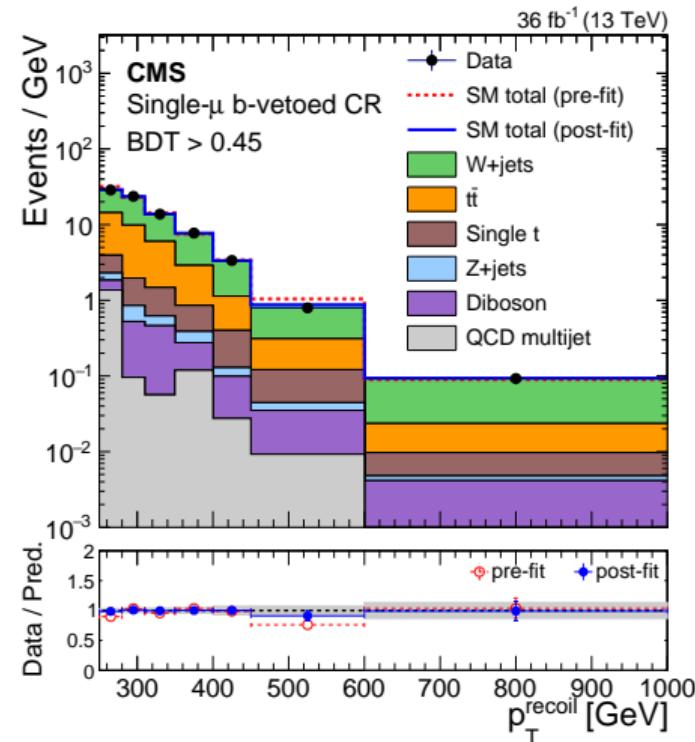
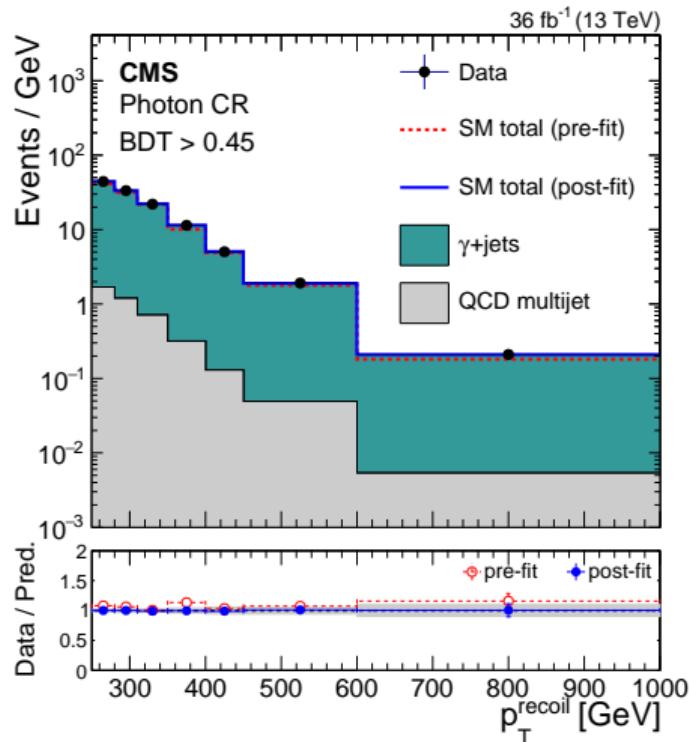
$$\mathcal{B}(Z \rightarrow \nu\nu) > \mathcal{B}(Z \rightarrow \ell\ell)$$



$$\sigma(\gamma) \gg \sigma(W) > \sigma(Z)$$



Use production of γ and W to estimate production of Z



Fitting ratios

- ▶ Free parameters in fit are:

$\mu_i^{Z \rightarrow \nu\nu}$ - number of Z events in SR

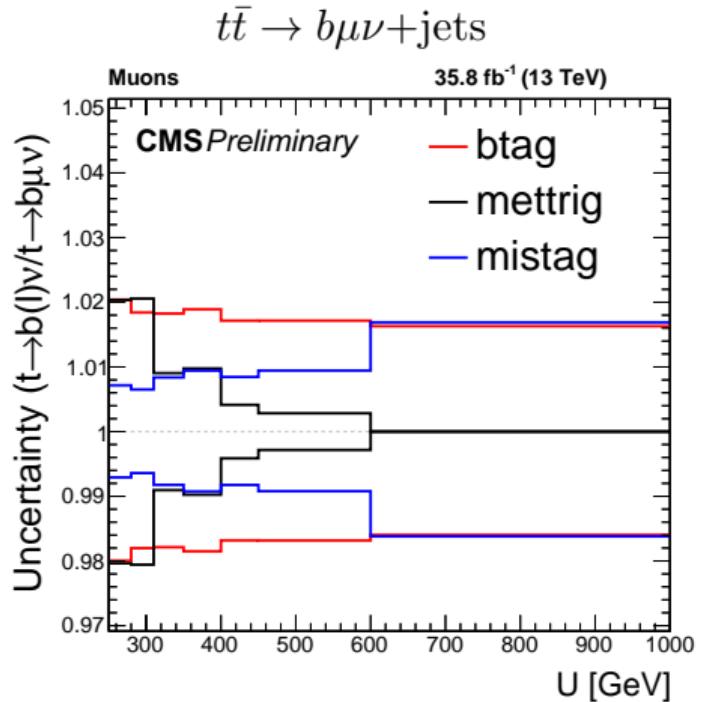
$R_i^X(\theta)$ - ratio of events in SR and CR X

- ▶ Each extrapolation \Rightarrow an additional R

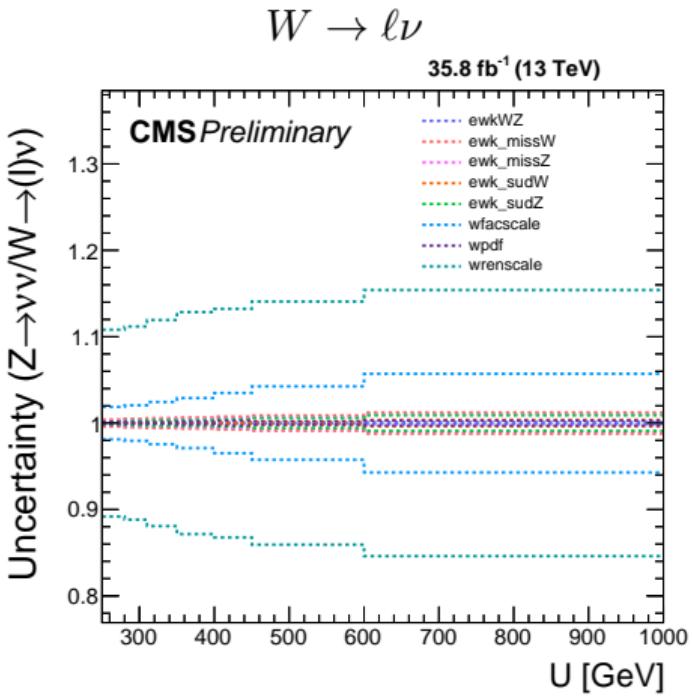
$$\begin{aligned} \mathcal{L}(\mu^{Z \rightarrow \nu\nu}; \theta) &= \prod_{i \in \text{bins}} \text{Poisson} \left(d_i^{\text{signal}} \middle| B_i^{\text{signal}}(\theta) + (1 + f_i(\theta)) \mu_i^{Z \rightarrow \nu\nu} + \mu S_i(\theta) \right) \\ &\times \prod_{i \in \text{bins}} \text{Poisson} \left(d_i^{\ell\ell} \middle| B_i^{\ell\ell}(\theta) + \frac{\mu_i^{Z \rightarrow \nu\nu}}{R_i^{\ell\ell}(\theta)} \right) \\ &\times \dots \end{aligned}$$

- ▶ Physics challenge boils down to predicting and assigning uncertainty on R

Extrapolation uncertainties

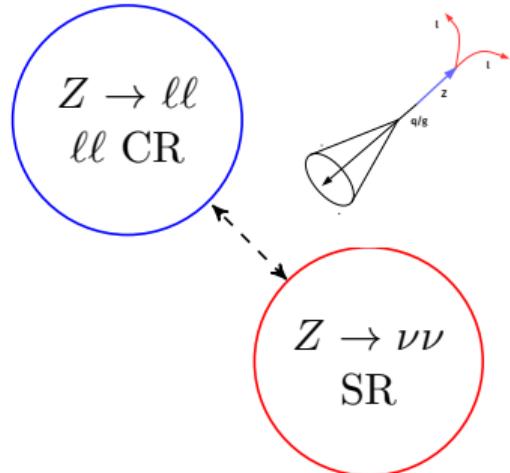


Small experimental uncertainties

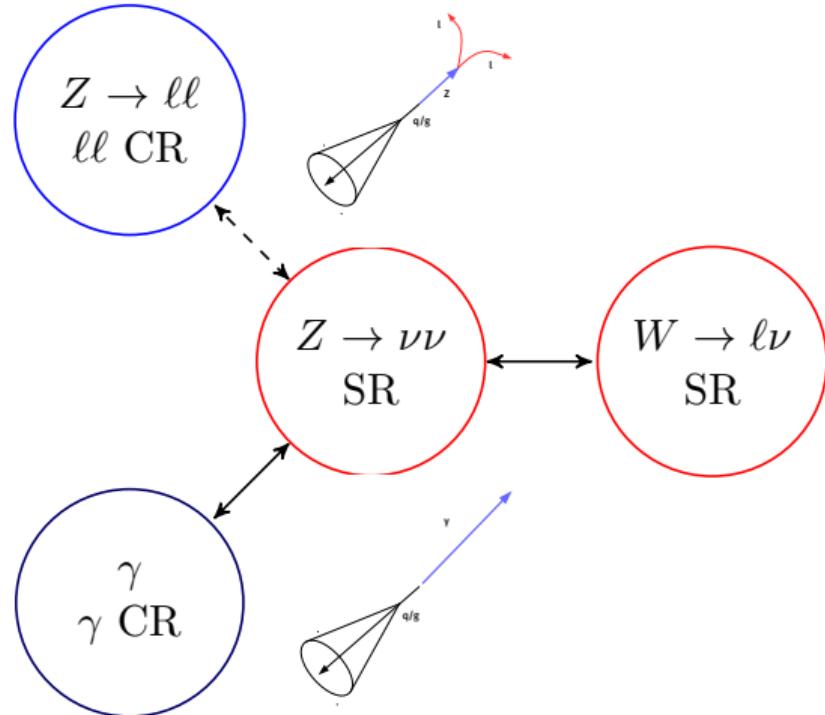


Large theoretical uncertainties

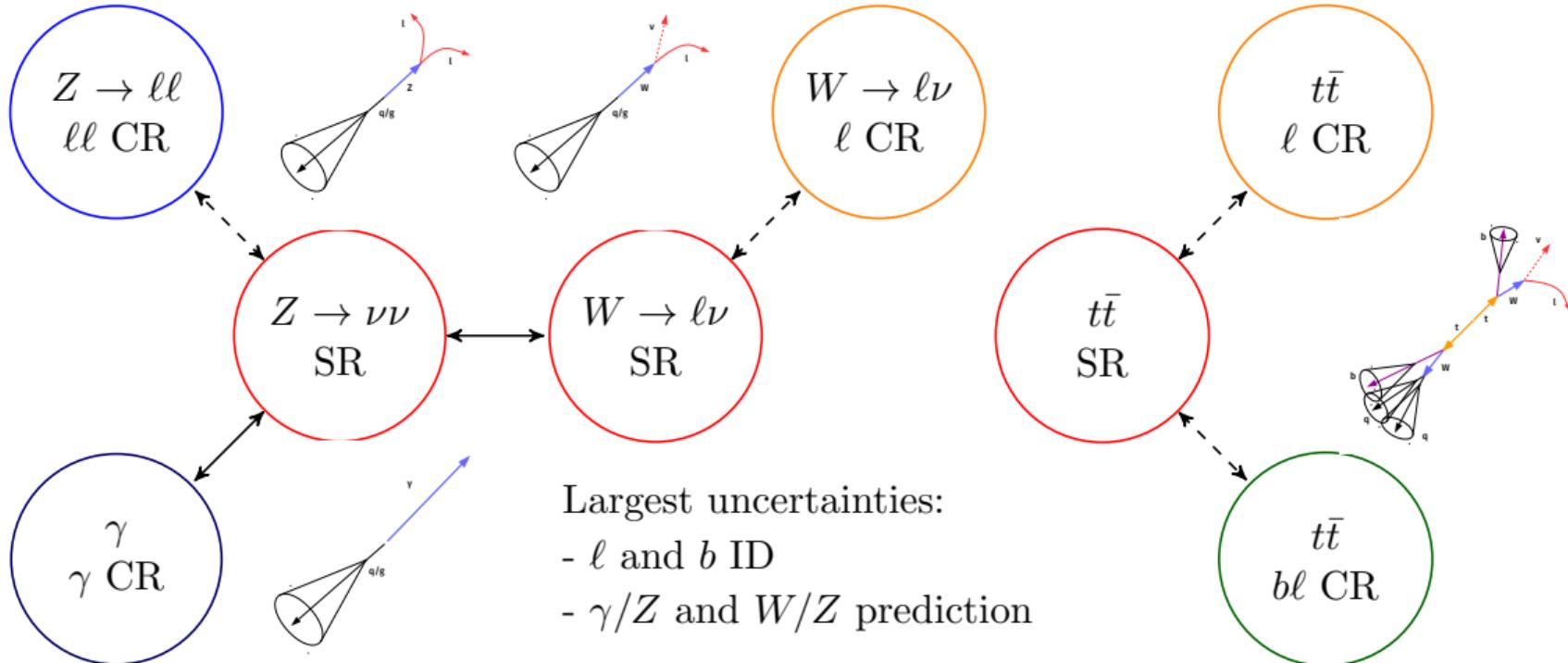
Background estimation summary



Background estimation summary

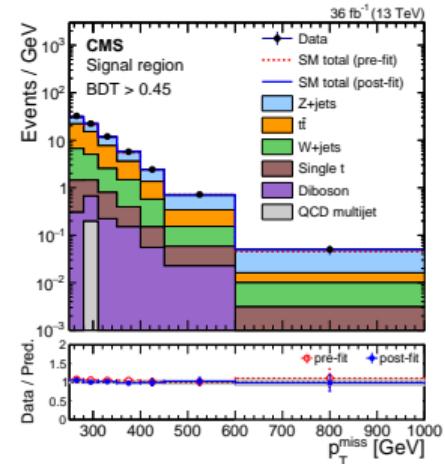


Background estimation summary

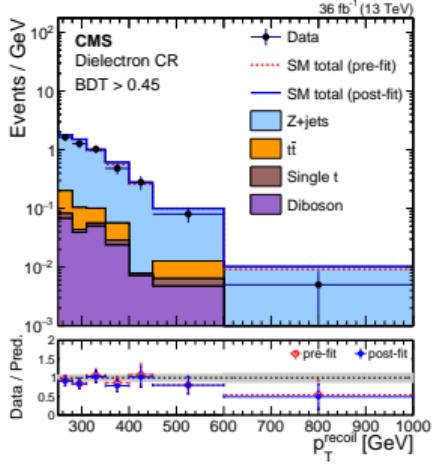


Unblinding the data

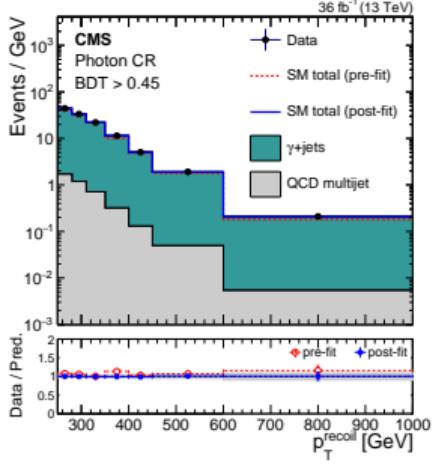
Signal



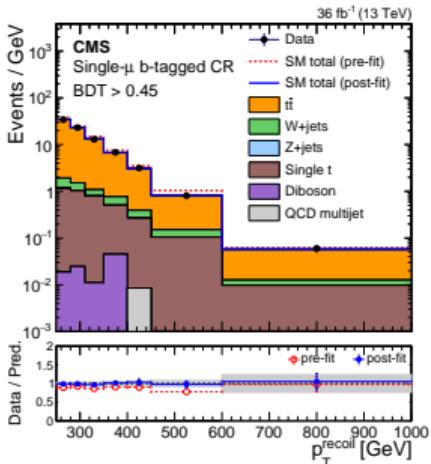
$Z \rightarrow ee$



γ



$t\bar{t} \rightarrow \mu\nu + \text{jets}$



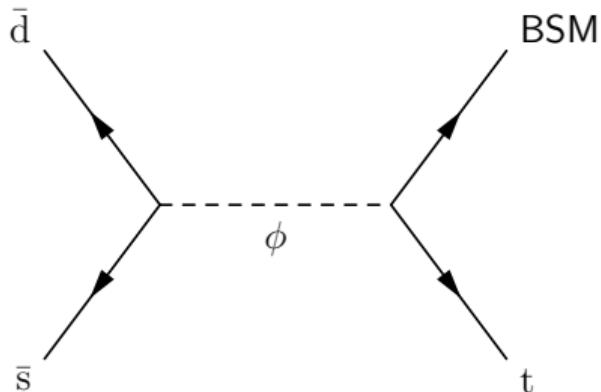
- ▶ Too many regions to show all here
- ▶ SM processes are able to describe data quite well in all regions, including the SRs
- ▶ No observation of an excess

No observation? Set limits

Benchmark models probe different mono-top kinematics.

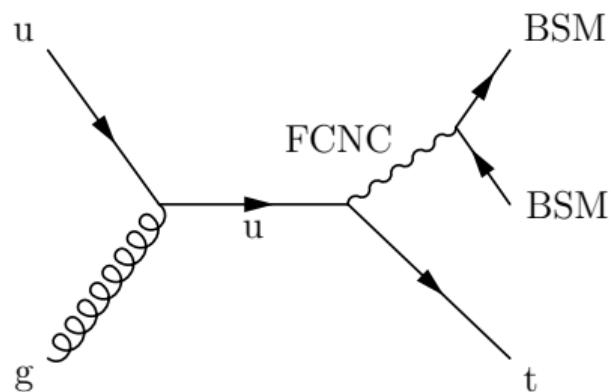
Resonant scalar

- p_T of top quark increases with m_ϕ
- Therefore, efficiency of signal selection improves at high m_ϕ



FCNC

- Falling p_T^{miss} spectra \Rightarrow worse signal eff.
- Interesting parameters to constrain are m_V and couplings g_χ, g_q

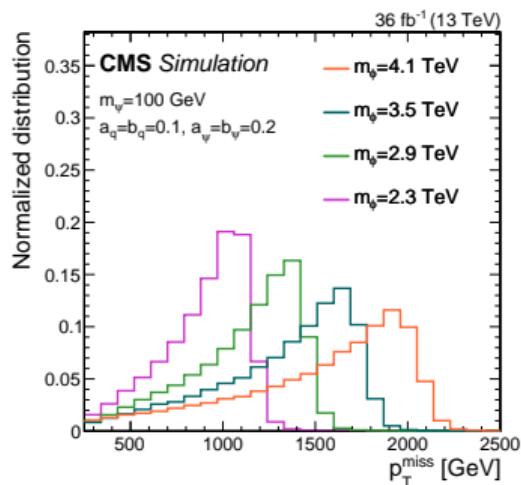


No observation? Set limits

Benchmark models probe different mono-top kinematics.

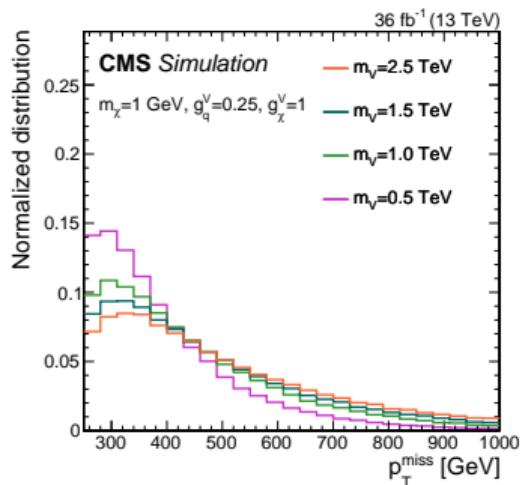
Resonant scalar

- p_T of top quark increases with m_ψ
- Therefore, efficiency of signal selection improves at high m_ψ



FCNC

- Falling p_T^{miss} spectra \Rightarrow worse signal eff.
- Interesting parameters to constrain are m_V and couplings g_χ, g_q

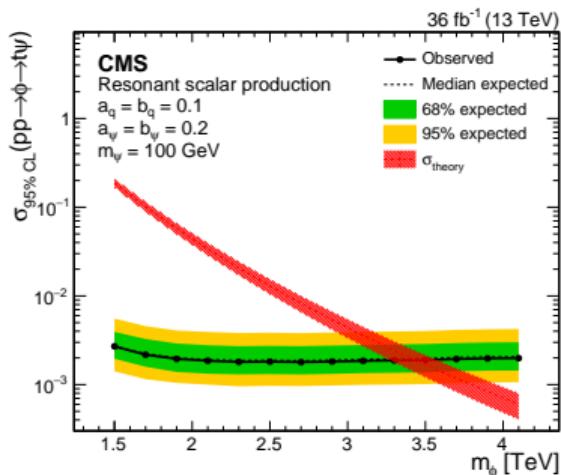


No observation? Set limits

Benchmark models probe different mono-top kinematics.

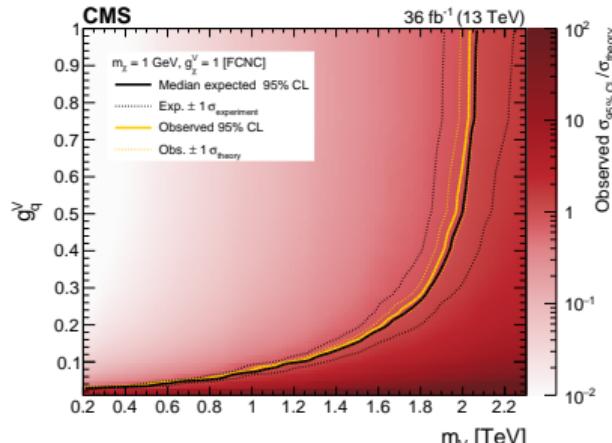
Resonant scalar

- p_T of top quark increases with m_ϕ
- Therefore, efficiency of signal selection improves at high m_ϕ



FCNC

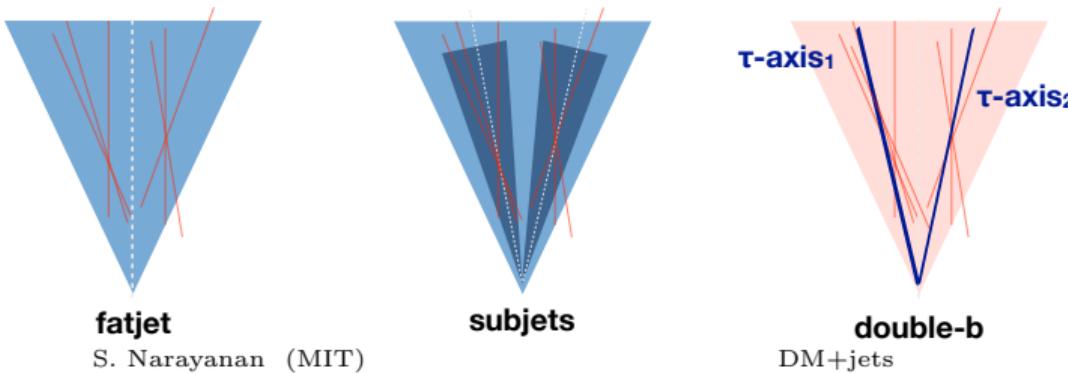
- Falling p_T^{miss} spectra \Rightarrow worse signal eff.
- Interesting parameters to constrain are m_V and couplings g_χ, g_q



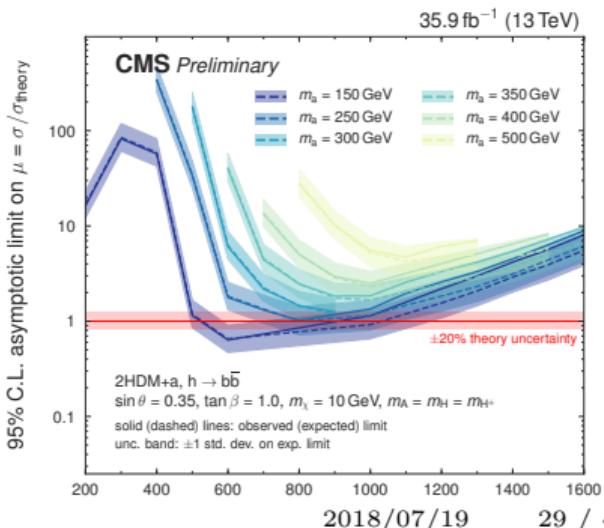
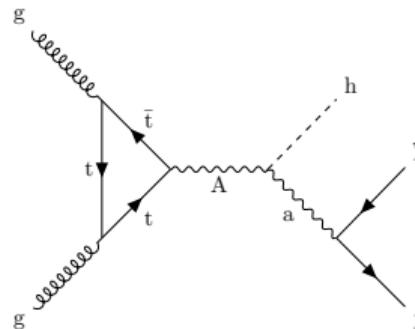
Another DM+substructure search: mono-Higgs(bb)



- ▶ Backgrounds and estimation technique very similar to mono-top
- ▶ Sensitive to extended Higgs sectors (2HDM+a, baryonic Z' ,...)
- ▶ Replace 3-prong/1- b with 2-prong/2- b large-radius jet



S. Narayanan (MIT)



Detour: ML for substructure

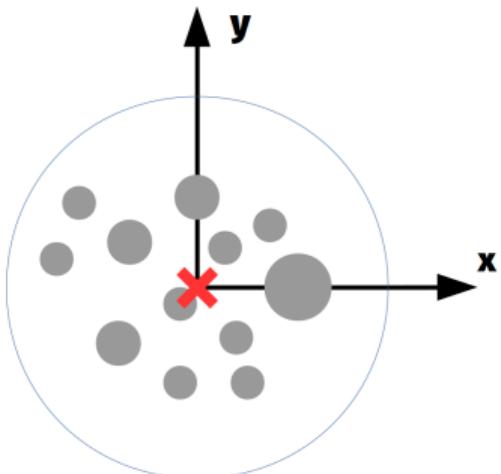
- ▶ Top-tagging using QCD-motivated observables works very well
- ▶ Are we reaching a “maximum” performance threshold?
- ▶ One approach is to **brute-force the problem using deep learning**
- ▶ Factorize the question: physics effects vs. detector effects
- ▶ Following studies are done using **hadron-level simulation**
 - ▶ Madgraph5 at LO for hard scattering
 - ▶ Pythia8 for hadronization
 - ▶ No detector simulation
- ▶ Training is done on a desktop computer
 - ▶ NVIDIA GTX 1080 GPU
 - ▶ Keras¹ with tensorflow² backend

¹<https://github.com/keras-team/keras>

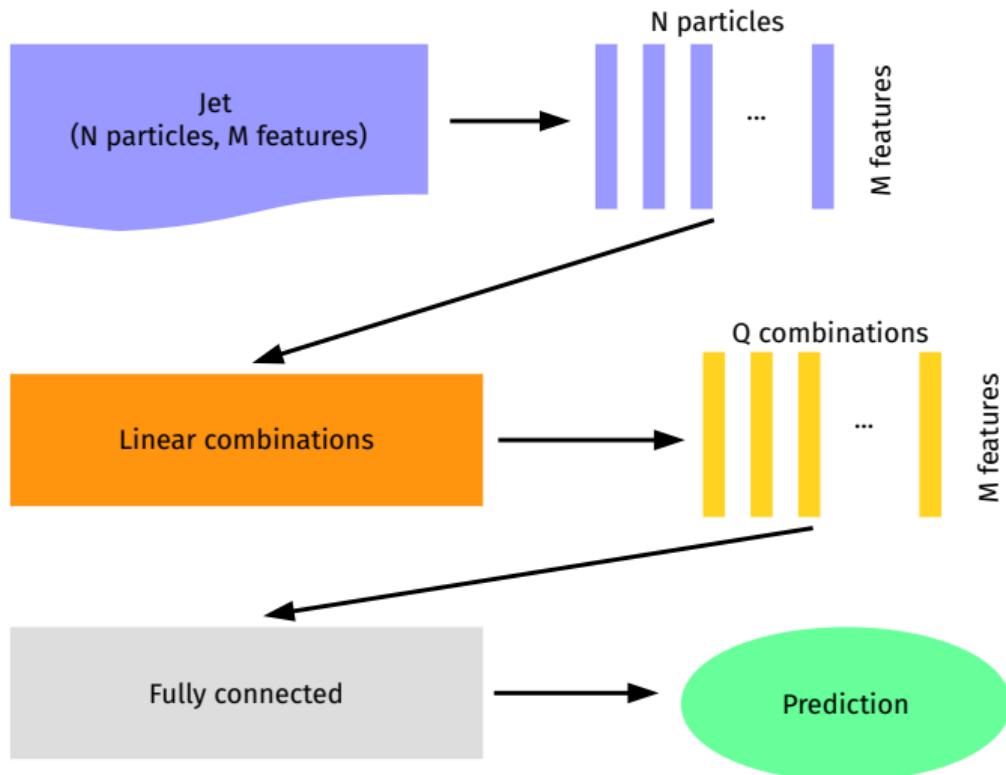
²<https://github.com/tensorflow/tensorflow>

Observables

- ▶ For each particle in the jet, 7 features:
 - ▶ p^μ
 - ▶ $\Delta R(\text{particle}, \text{jet})$
 - ▶ Soft drop survival
 - ▶ Particle type ($e^\pm, \mu^\pm, \gamma, \text{charged hadron}^\pm, \text{neutral hadron}$)
- ▶ Rotate the jet so:
 - ▶ Jet axis coincides with z -axis
 - ▶ Hardest particle away from jet axis lies in x - z plane

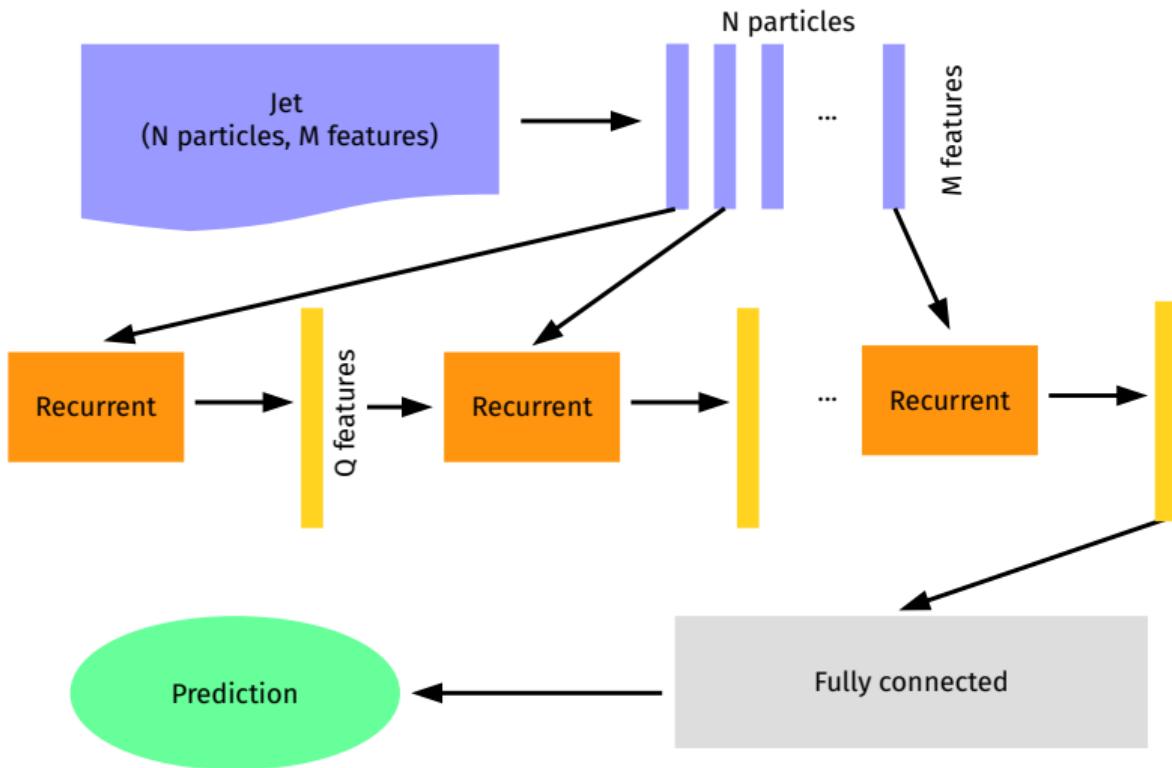


Network architectures



- Fully connected: brute force approach

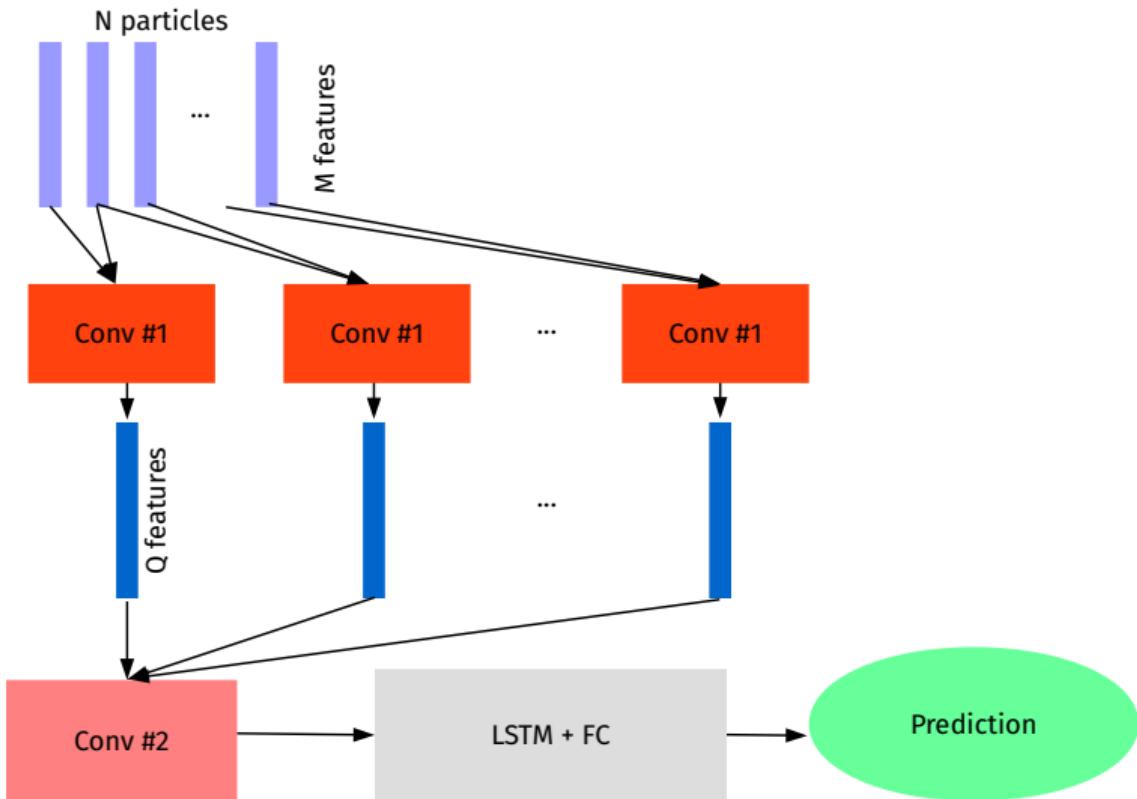
Network architectures



- Fully connected: brute force approach

- Recurrent NN: read the jet as a “sentence”, where a particle is a “word”

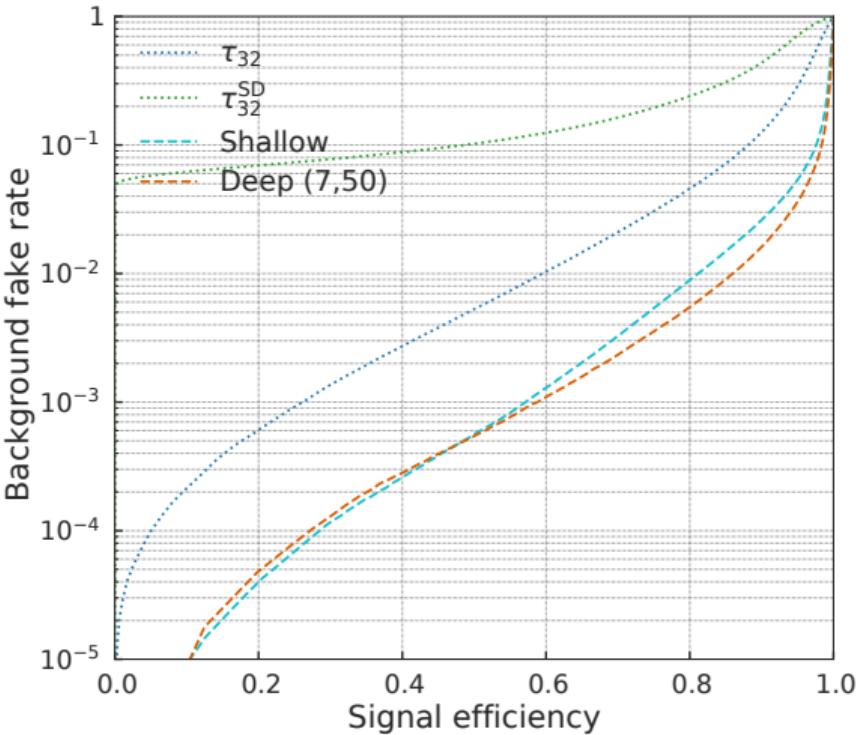
Network architectures



- Fully connected: brute force approach
- Recurrent NN: read the jet as a “sentence”, where a particle is a “word”
- 1D convolutions: allows some invariance to incorrect ordering

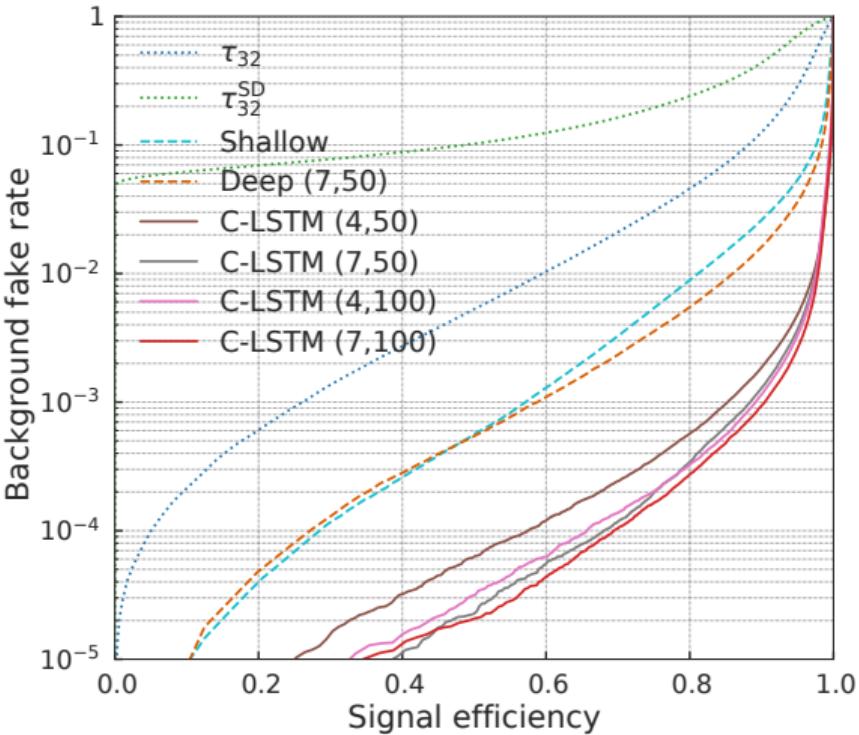
DNN performance

- ▶ Compare fully-connected network to “shallow” network using ECFs
- ▶ $\mathcal{O}(10^6)$ parameters
- ▶ Positive: performant classifier without thinking about physics
- ▶ Negative: that’s it?



DNN performance

- ▶ Compare fully-connected network to “shallow” network using ECFs
- ▶ $\mathcal{O}(10^6)$ parameters
- ▶ Positive: performant classifier without thinking about physics
- ▶ Negative: that’s it?
- ▶ Dramatic improvement from giving structure to the network
- ▶ Adding more information ($4 \rightarrow 7$) or more particles ($50 \rightarrow 100$) helps
- ▶ C-LSTMs have $\mathcal{O}(10^5)$ parameters



Next steps/WIP

- ▶ Quantifying how realistic this improvement is
 - ▶ What are we learning that QCD observables don't capture?
 - ▶ Is it IRC unsafe things?
 - ▶ How does detector smearing hurt?
 - ▶ Hint: it's painful

Next steps/WIP

- ▶ Quantifying how realistic this improvement is
 - ▶ What are we learning that QCD observables don't capture?
 - ▶ Is it IRC unsafe things?
 - ▶ How does detector smearing hurt?
 - ▶ Hint: it's painful
- ▶ Removing correlation with various nuisances
 - ▶ Kinematics of jet: mass, p_T
 - ▶ Pile-up
 - ▶ QCD uncertainties
 - ▶ Again, IRC unsafety plays a role

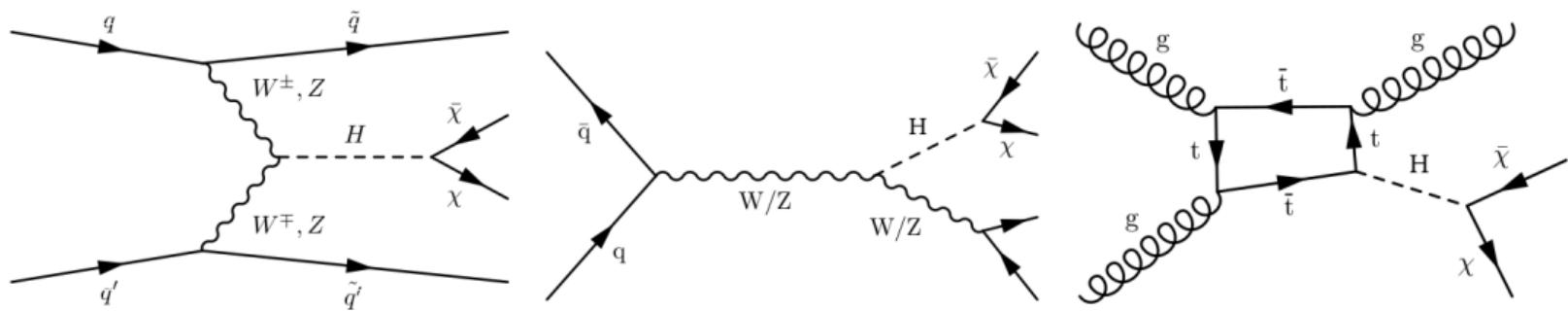
Next steps/WIP

- ▶ Quantifying how realistic this improvement is
 - ▶ What are we learning that QCD observables don't capture?
 - ▶ Is it IRC unsafe things?
 - ▶ How does detector smearing hurt?
 - ▶ Hint: it's painful
- ▶ Removing correlation with various nuisances
 - ▶ Kinematics of jet: mass, p_T
 - ▶ Pile-up
 - ▶ QCD uncertainties
 - ▶ Again, IRC unsafety plays a role
- ▶ There is a lot of promise in these approaches!

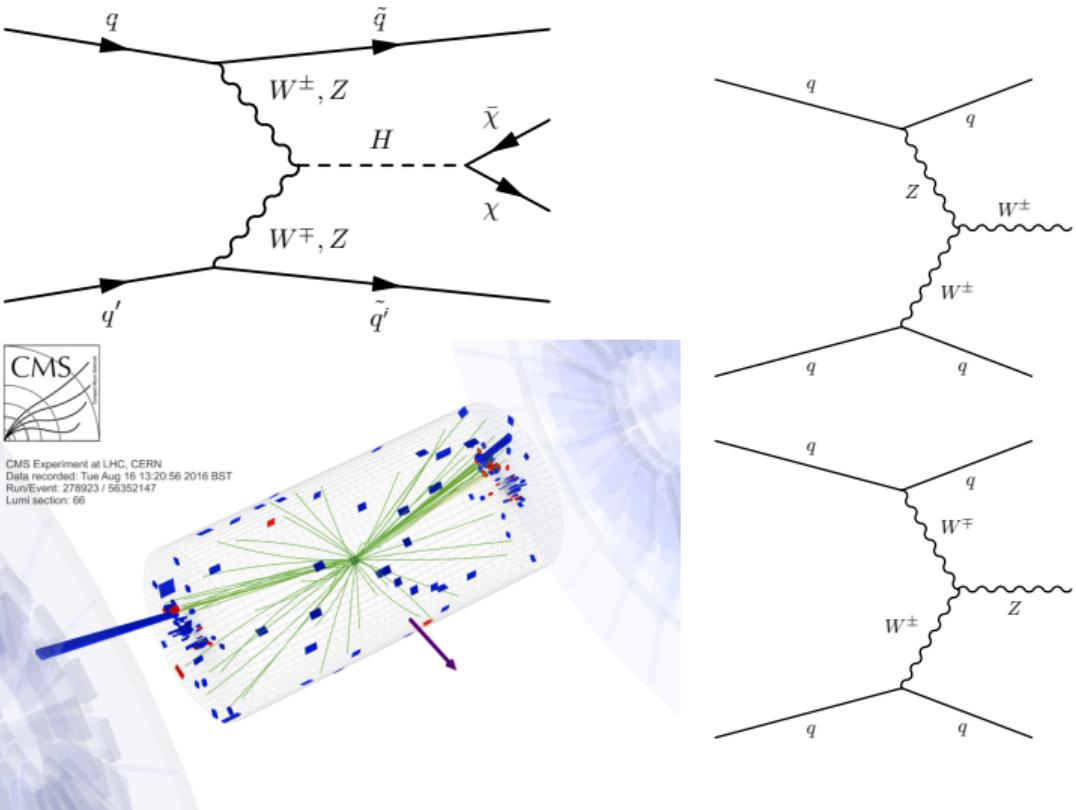
VBF $H \rightarrow$ invisible

Invisible Higgs

- DM fermion could be given mass through Higgs mechanism
- If $2m_\chi < m_H$, should observe $H \rightarrow \chi\bar{\chi}$
- Production mode \Rightarrow mono-**X** channels
 - $gg \rightarrow H + \text{ISR} \Rightarrow \text{mono-jet}$
 - $VH \Rightarrow \text{mono-}V(qq')$ and $\text{mono-}Z(\ell\ell)$
 - VBF \Rightarrow VBF+ $H \rightarrow \text{inv}$



VBF production of bosons



Characterized by:

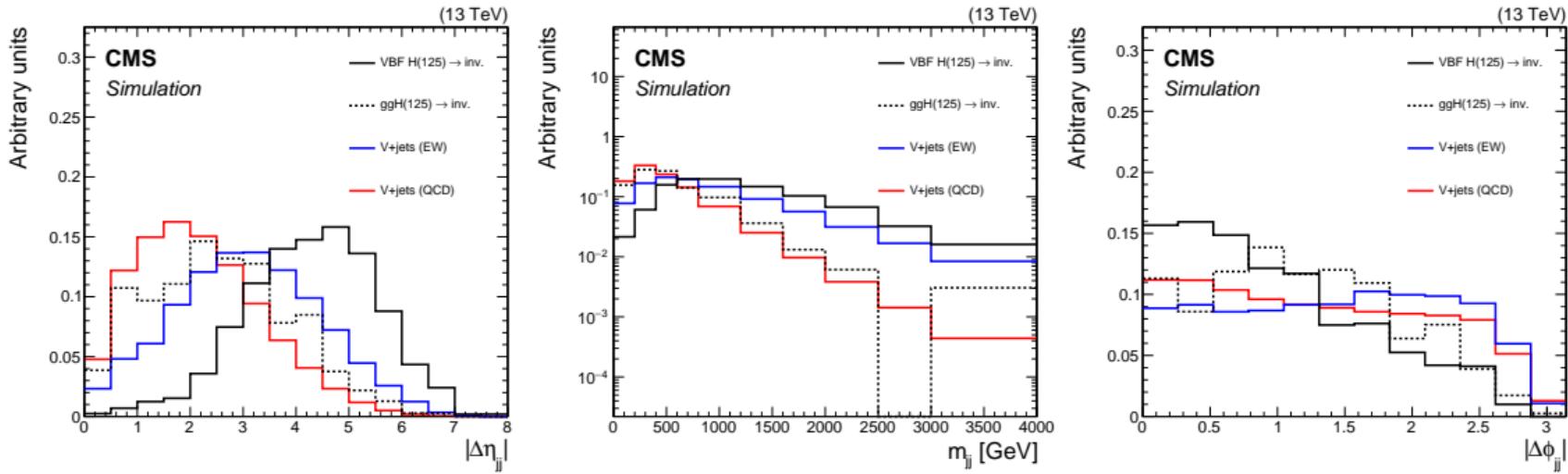
- ▶ Two forward jets
- ▶ Large p_T^H

Can replace H with Z or W

- ▶ Irreducible background

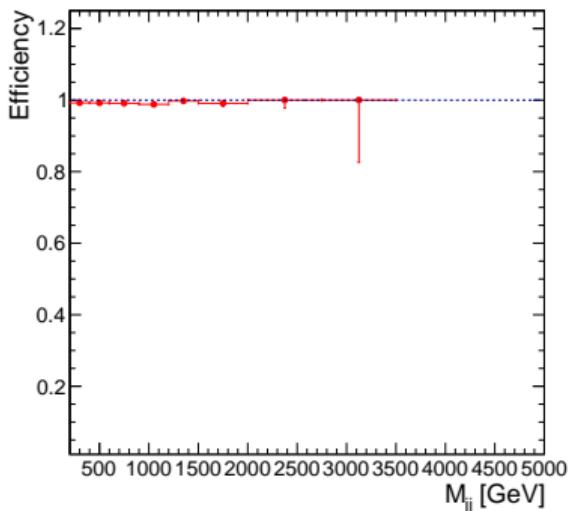
Forward jets are important

- As with mono-top and mono-Higgs, we use the jets to mitigate backgrounds
- In this case, the jets can be resolved distinctly

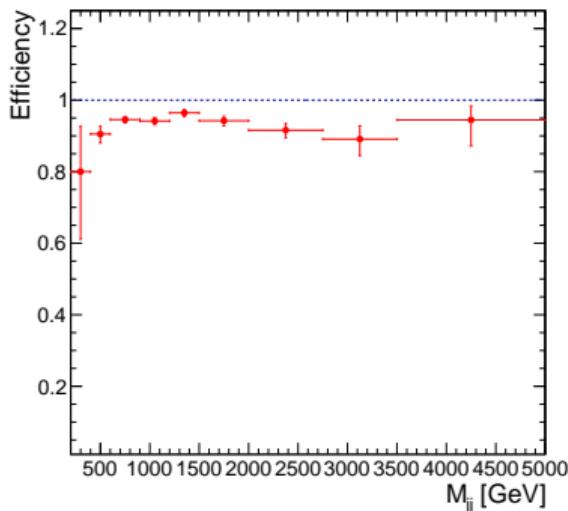


Forward jets are challenging

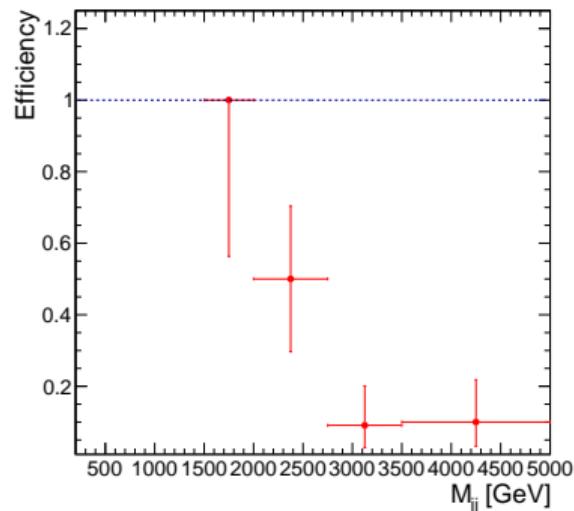
- ▶ “Forward” typically refers to jets outside of the tracker’s acceptance
- ▶ Rely entirely on calorimeters
- ▶ Energy resolution and trigger efficiency degrade in this region



Two central jets



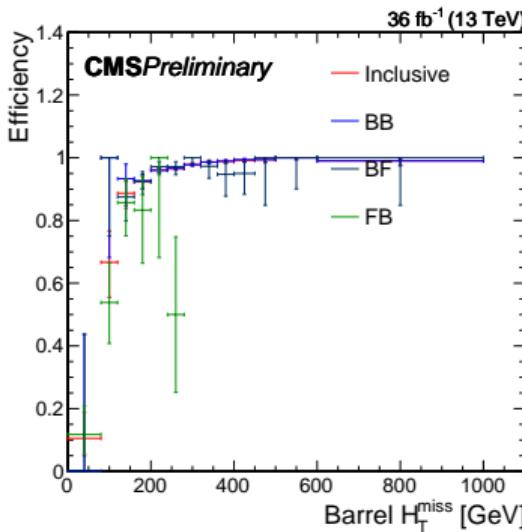
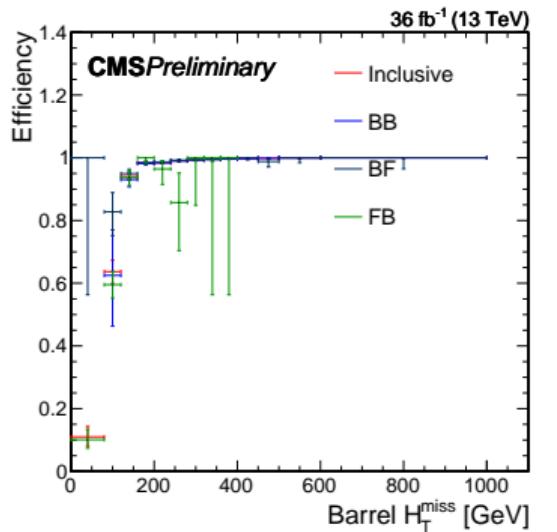
One central, one forward
DM+jets



Two forward jets

Forward jets are challenging

- ▶ “Forward” typically refers to jets outside of the tracker’s acceptance
- ▶ Rely entirely on calorimeters
- ▶ Energy resolution and trigger efficiency degrade in this region
- ▶ Characterize events using quality within tracker acceptance

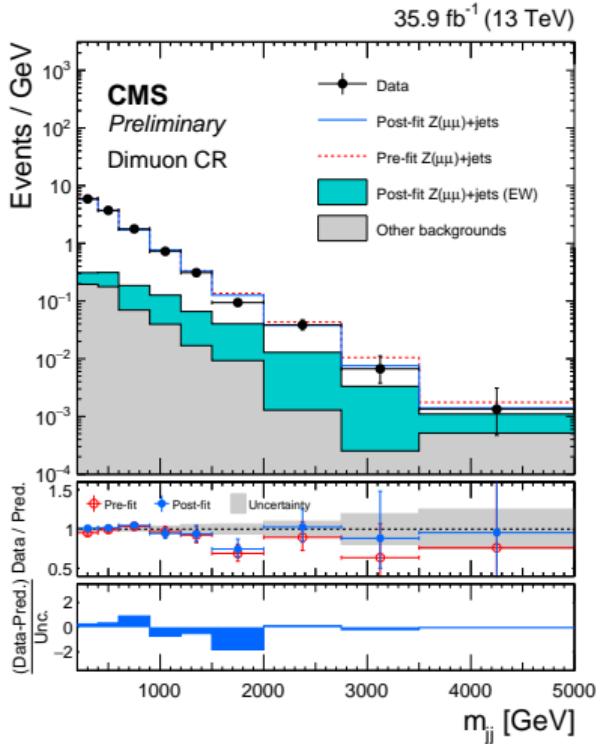


V +jet estimation



- ▶ Need to precisely estimate EW+QCD components of V +jets
- ▶ Prediction is made to NLO in QCD and EW
- ▶ As with mono-top, correlate Z and W production

Uncertainty	Size
W/Z QCD	15%
W/Z EW	15%
Trigger	2%
Lepton ID	2-3%



Validation of $\textcolor{red}{R}$

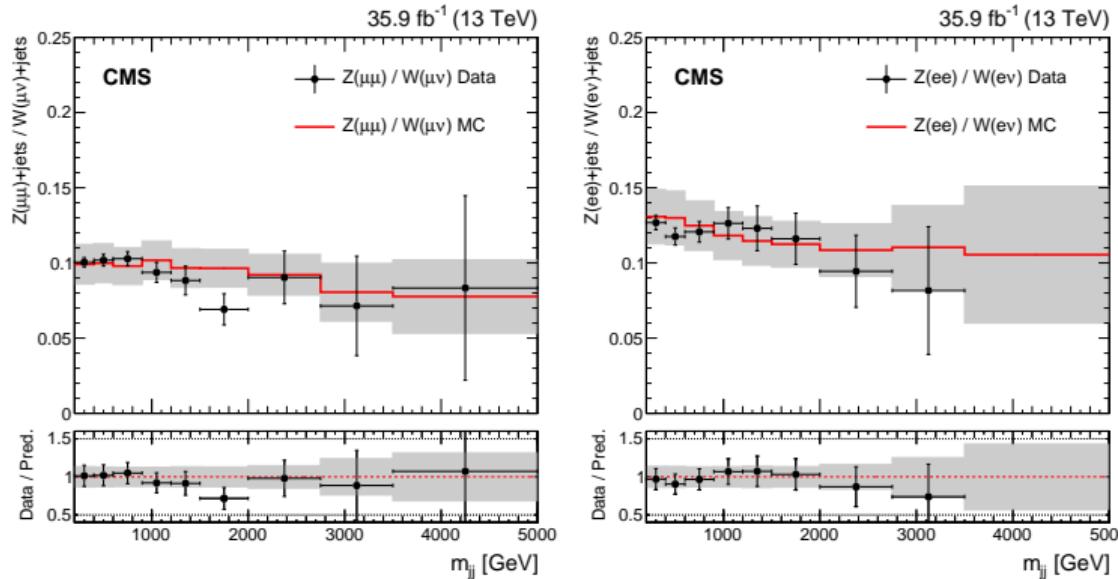
- ▶ How do we know our prediction and uncertainties make sense?
- ▶ Cannot check in data whether we correctly predict $R = \frac{Z \rightarrow \nu\nu}{W \rightarrow \ell\nu}$
- ▶ However, we can check:

$$\frac{Z \rightarrow \ell\ell}{W \rightarrow \ell\nu} \approx \frac{Z \rightarrow \nu\nu}{W \rightarrow \ell\nu}$$

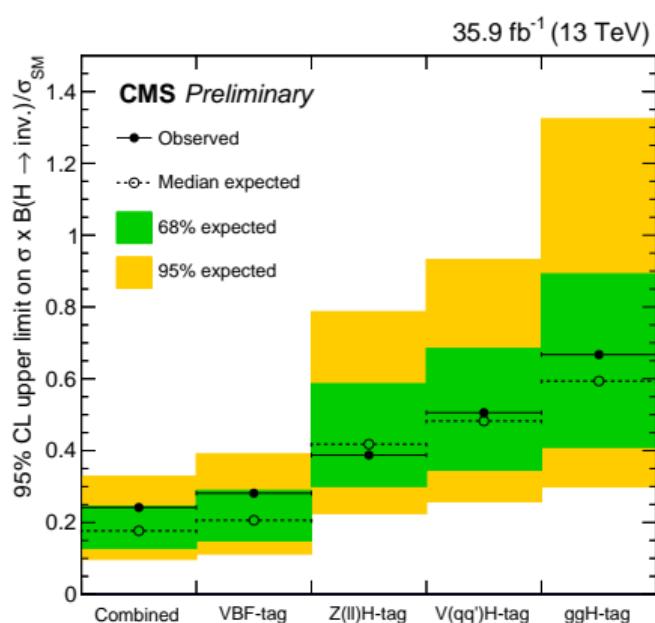
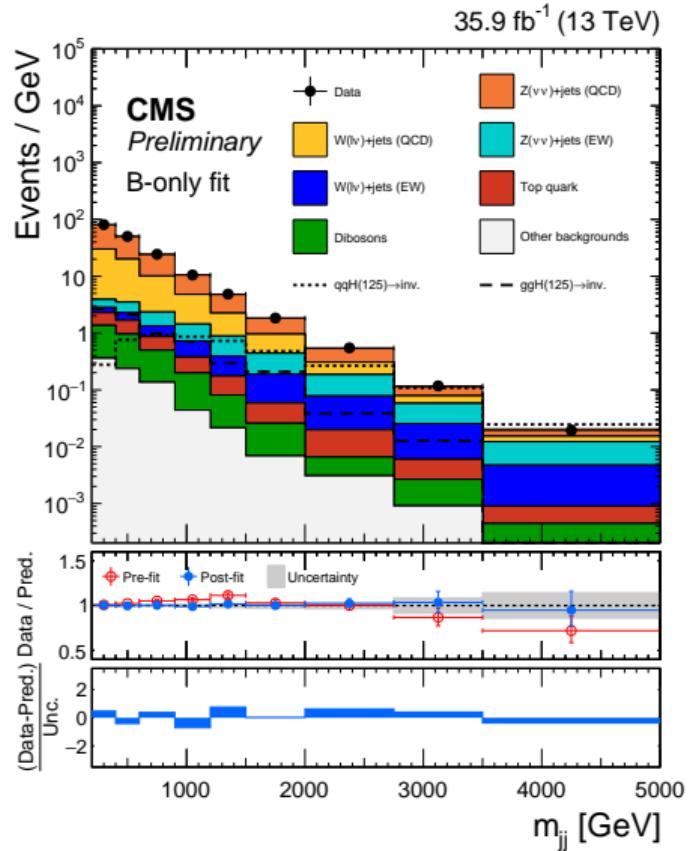
Validation of R

- ▶ How do we know our prediction and uncertainties make sense?
- ▶ Cannot check in data whether we correctly predict $R = \frac{Z \rightarrow \nu\nu}{W \rightarrow \ell\nu}$
- ▶ However, we can check:

$$\frac{Z \rightarrow \ell\ell}{W \rightarrow \ell\nu} \approx \frac{Z \rightarrow \nu\nu}{W \rightarrow \ell\nu}$$



Results

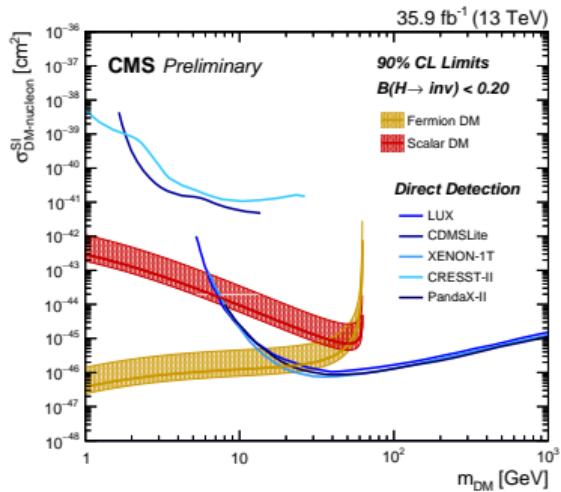


- ▶ Combine with other production modes to directly constrain $\mathcal{B}(H \rightarrow \text{inv})$
- ▶ VBF drives the combination

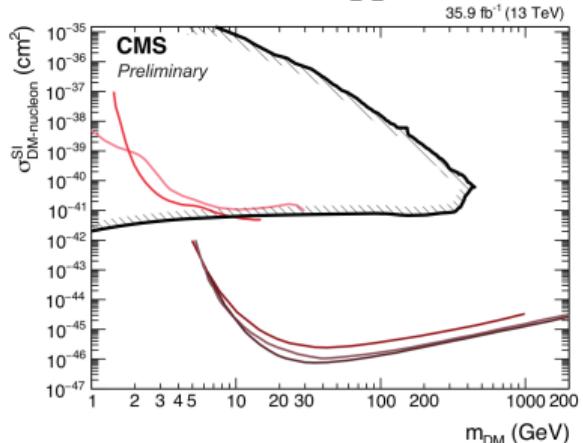
Comparison of LHC and direct detection constraints



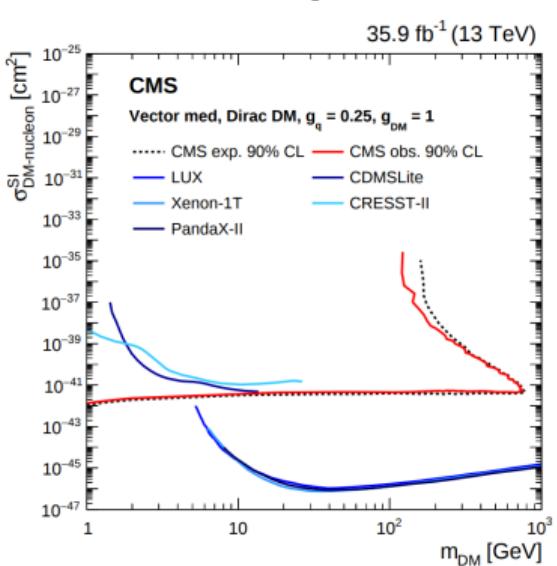
$H \rightarrow \text{inv}$



Mono-Higgs



Mono-jet

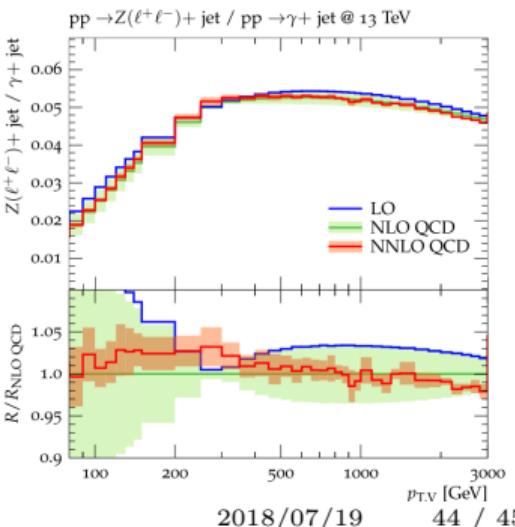


- ▶ LHC constraints strongest at low DM mass
- ▶ Constraints depend strongly on choice of model

Theoretical prediction of V +jets

Uncertainty	Size	Impact on sensitivity
W/Z EW	15%	50%
W/Z QCD	15%	25%
Trigger	2%	20%
Lepton ID	2-3%	15%

- ▶ Theoretical uncertainties dominate VBF (and most mono-X searches)
- ▶ Inclusive predictions were dramatically improved in 2016
 - ▶ 15% → 5%
 - ▶ [arXiv:1705.04664]
- ▶ Strong relationship with theory community on this effort
- ▶ Expect VBF predictions at similar level by Run 3



Conclusions

Mono-top

- ▶ Jet substructure is critical
 - ▶ Resolved case not feasible in Run 2
 - ▶ ECF-based tagger came out of interactions with theory community
- ▶ Strong constraints on flavor-changing DM models
 - ▶ Search designed to be model independent \Rightarrow further re-interpretation
- ▶ ECFs and other substructure tools not limited to mono-top
 - ▶ Mono-Higgs
 - ▶ Visible mediator searches
 - ▶ SM, Higgs, etc.

Conclusions

Mono-top

- ▶ Jet substructure is critical
 - ▶ Resolved case not feasible in Run 2
 - ▶ ECF-based tagger came out of interactions with theory community
- ▶ Strong constraints on flavor-changing DM models
 - ▶ Search designed to be model independent \Rightarrow further re-interpretation
- ▶ ECFs and other substructure tools not limited to mono-top
 - ▶ Mono-Higgs
 - ▶ Visible mediator searches
 - ▶ SM, Higgs, etc.

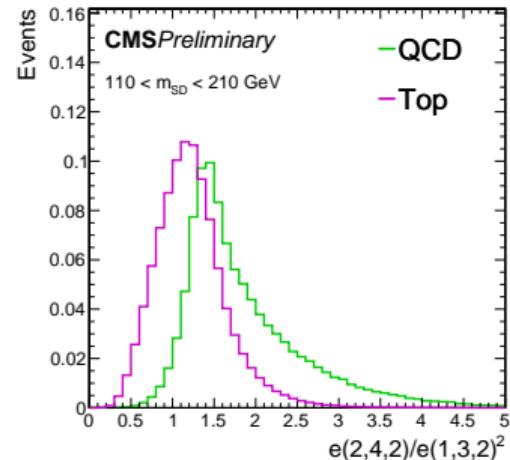
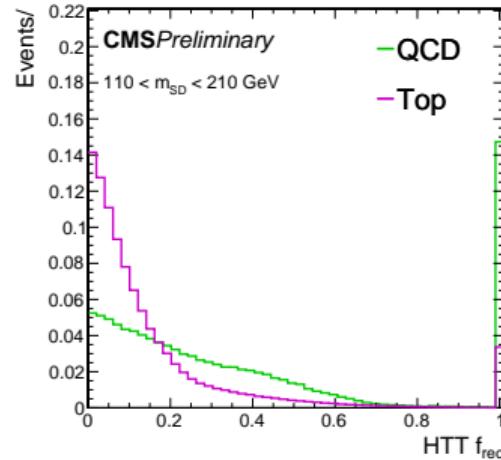
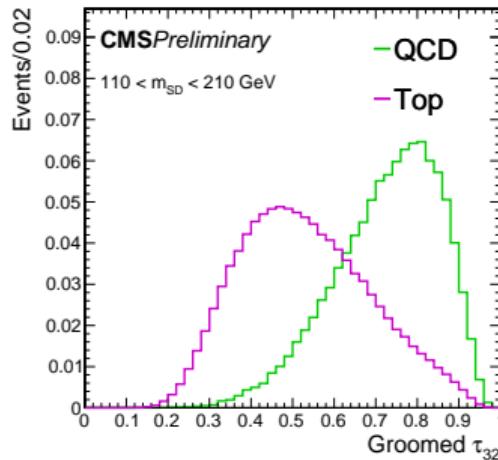
VBF $H \rightarrow$ invisible

- ▶ Very different set of jet challenges
 - ▶ Difficulty in energy measurement, triggering
 - ▶ Simpler reconstruction, but huge combinatoric background
- ▶ Key here is accurate measurement of SM backgrounds
 - ▶ EW and QCD components (at LO)
- ▶ Reducing theoretical uncertainties
 - ▶ Better prediction of W and Z spectra
 - ▶ Understanding correlation between W and Z

BACKUP

Some substructure observables

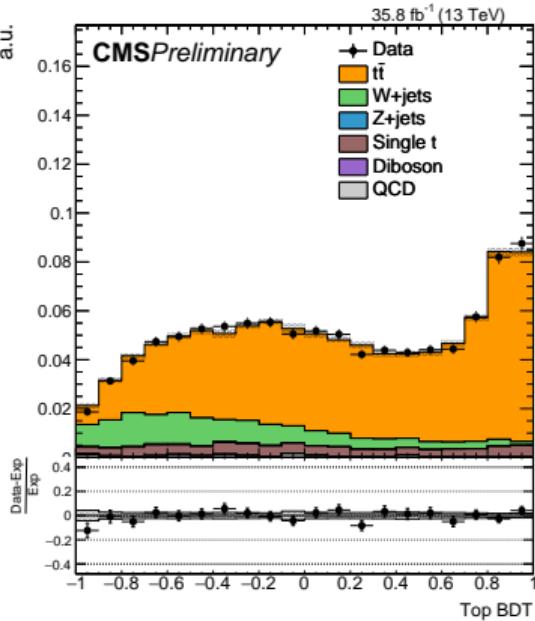
- ▶ N -subjettiness [Thaler *et al*, arXiv:1011.2268]
 - ▶ τ_N : compatibility of jet with \mathbf{N} -axis hypothesis
- ▶ HEPTopTagger [Anders *et al*, arXiv:1312.1504]
 - ▶ Reconstruct W and t decay products inside jet
- ▶ Energy correlation functions [Moult *et al*, arXiv:1609.07473]
 - ▶ $e(\alpha, \mathbf{N}, a)$ sensitive to \mathbf{N} -point correlations in the jet



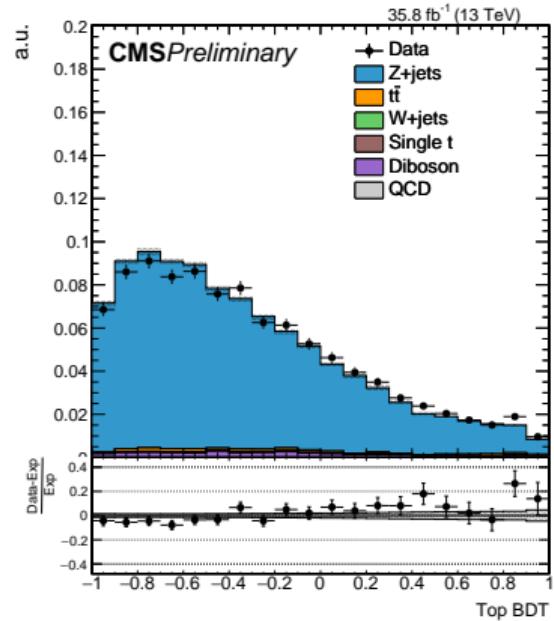
Comparison to data

Substructure relies on physics that may not be well-simulated by hadronization models.
 Comparison to data shows that the BDT classifier is well-described.

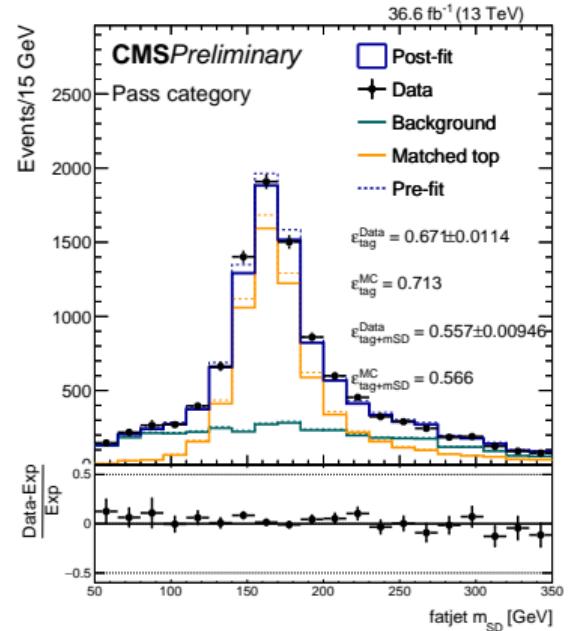
a.u.



a.u.



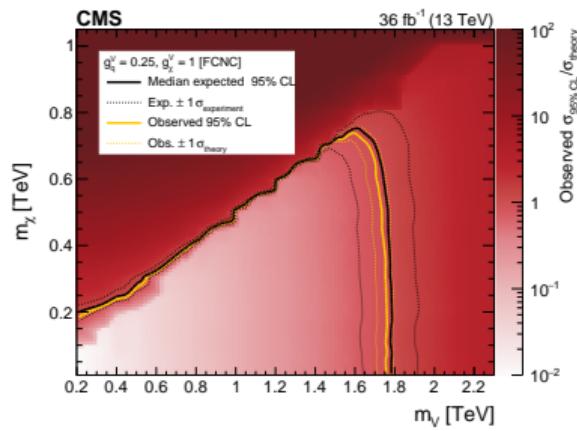
a.u.



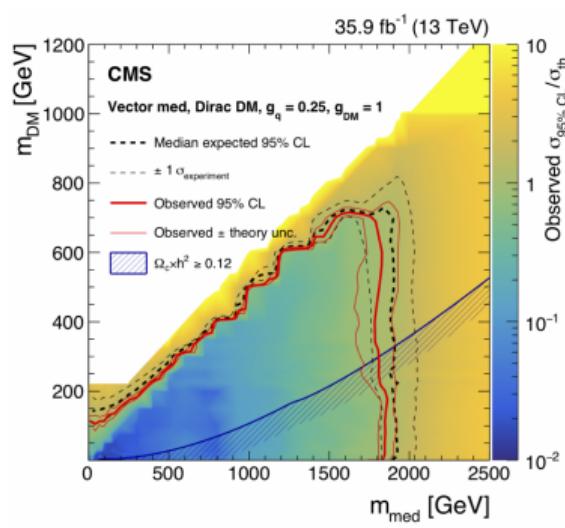
How does mono-top compare?

- Sensitivity of mono-top and mono-jet similar (with same assumptions on g_χ, g_q, m_V)
- If FCNC is embedded in DM model, sensitivity similar to mono-jet
 - No DD limits for 3rd gen FCNC because $\sigma_{\text{DM},N}$ re-interpretation is tricky

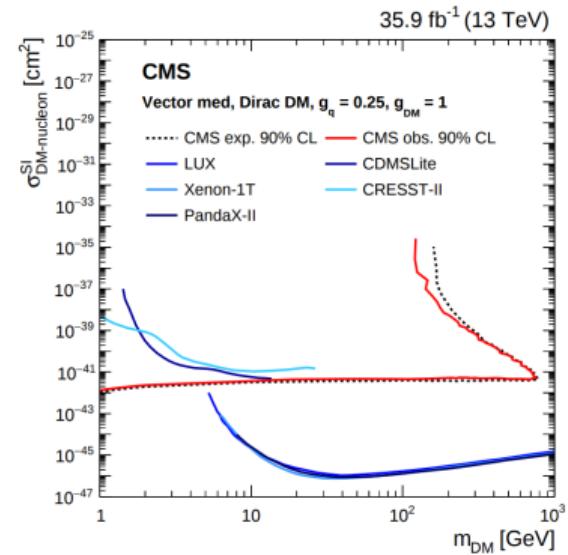
Mono-top spin-1



Mono-jet spin-1



DM exclusion



Re-interpretation using simplified likelihoods

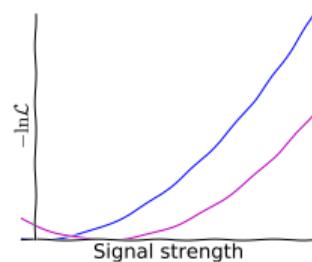
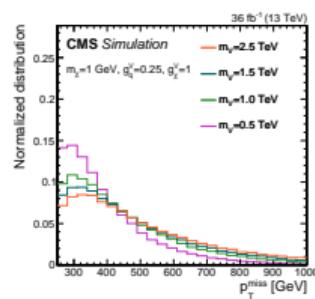
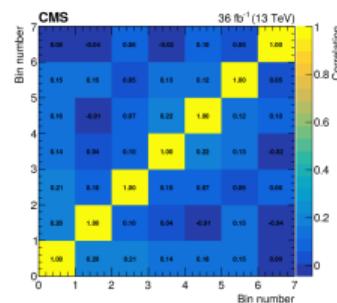
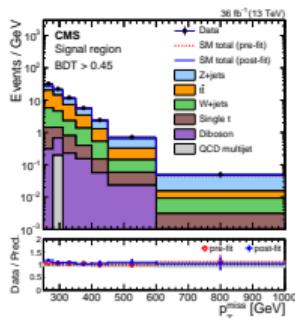
- ▶ Searches are designed in a semi-model-independent way
- ▶ Need a way for new models to be constrained using these results
- ▶ We cannot release all of our data and expect theory community to redo analysis
- ▶ Even a complete likelihood is tricky - 100s of parameters and constraints

Re-interpretation using simplified likelihoods



- ▶ Searches are designed in a semi-model-independent way
 - ▶ Need a way for new models to be constrained using these results
 - ▶ We cannot release all of our data and expect theory community to redo analysis
 - ▶ Even a complete likelihood is tricky - 100s of parameters and constraints

Solution: simplified likelihood



$$p_T^{\text{miss}} \text{ distribution} \quad + \quad p_T^{\text{miss}} \text{ correlations} \quad + \quad \text{BSM hypothesis} \quad \approx$$

(CMS)	(CMS)	(anyone)
-------	-------	----------

Likelihood
(anyone)

Summary and outlook

- ▶ Run 2 has seen a significant improvement in mono-**X** searches at CMS
 - ▶ Increased luminosity and cross-sections
 - ▶ New techniques to estimate backgrounds and identify **X**

Summary and outlook

- ▶ Run 2 has seen a significant improvement in mono-**X** searches at CMS
 - ▶ Increased luminosity and cross-sections
 - ▶ New techniques to estimate backgrounds and identify **X**
- ▶ What are the key challenges for the remainder of Run 2 and beyond?

Summary and outlook



- ▶ Run 2 has seen a significant improvement in mono-**X** searches at CMS
 - ▶ Increased luminosity and cross-sections
 - ▶ New techniques to estimate backgrounds and identify **X**
- ▶ What are the key challenges for the remainder of Run 2 and beyond?
- ▶ Triggering becomes harder as instantaneous luminosity and pile-up increase
 - ▶ Mono-**X** signature has relatively few trigger handles
 - ▶ p_T^{miss} depends on online jet resolution

Summary and outlook

- ▶ Run 2 has seen a significant improvement in mono-**X** searches at CMS
 - ▶ Increased luminosity and cross-sections
 - ▶ New techniques to estimate backgrounds and identify **X**
- ▶ What are the key challenges for the remainder of Run 2 and beyond?
- ▶ Triggering becomes harder as instantaneous luminosity and pile-up increase
 - ▶ Mono-**X** signature has relatively few trigger handles
 - ▶ p_T^{miss} depends on online jet resolution
- ▶ Many searches rely on accurate theoretical predictions of backgrounds
 - ▶ $\mathcal{O}(1\%)$ uncertainties on V/Z ratios key for mono-**jet** sensitivity

Summary and outlook

- ▶ Run 2 has seen a significant improvement in mono-**X** searches at CMS
 - ▶ Increased luminosity and cross-sections
 - ▶ New techniques to estimate backgrounds and identify **X**
- ▶ What are the key challenges for the remainder of Run 2 and beyond?
- ▶ Triggering becomes harder as instantaneous luminosity and pile-up increase
 - ▶ Mono-**X** signature has relatively few trigger handles
 - ▶ p_T^{miss} depends on online jet resolution
- ▶ Many searches rely on accurate theoretical predictions of backgrounds
 - ▶ $\mathcal{O}(1\%)$ uncertainties on V/Z ratios key for mono-**jet** sensitivity
 - ▶ Uncertainties are larger for other $V+\text{jet}$ topologies
 - ▶ Among limiting factors for **VBF**+ p_T^{miss} , mono-**top**, mono-**Higgs**

Summary and outlook

- ▶ Run 2 has seen a significant improvement in mono-**X** searches at CMS
 - ▶ Increased luminosity and cross-sections
 - ▶ New techniques to estimate backgrounds and identify **X**
- ▶ What are the key challenges for the remainder of Run 2 and beyond?
- ▶ Triggering becomes harder as instantaneous luminosity and pile-up increase
 - ▶ Mono-**X** signature has relatively few trigger handles
 - ▶ p_T^{miss} depends on online jet resolution
- ▶ Many searches rely on accurate theoretical predictions of backgrounds
 - ▶ $\mathcal{O}(1\%)$ uncertainties on V/Z ratios key for mono-**jet** sensitivity
 - ▶ Uncertainties are larger for other $V+\text{jet}$ topologies
 - ▶ Among limiting factors for **VBF**+ p_T^{miss} , mono-**top**, mono-**Higgs**
 - ▶ VV ratios \Rightarrow mono-**Z($\ell\ell$)** and mono- **γ**
 - ▶ $t\bar{t}$ V prediction \Rightarrow dileptonic **$t\bar{t}$** +DM

Generalized ECFs

- Extension of original ECFs to allow for different angular orders:

$$e(o, N, \beta) \equiv {}^o e_N^\beta = \sum_{i_1 < i_2 < \dots < i_N \in J} \left[\prod_{1 \leq k \leq j} z_{i_k} \right] \times \min \left\{ \prod_{k, l \in \text{pairs}\{i_1, \dots, i_N\}}^o \Delta R_{kl}^\beta \right\}$$

- e.g.

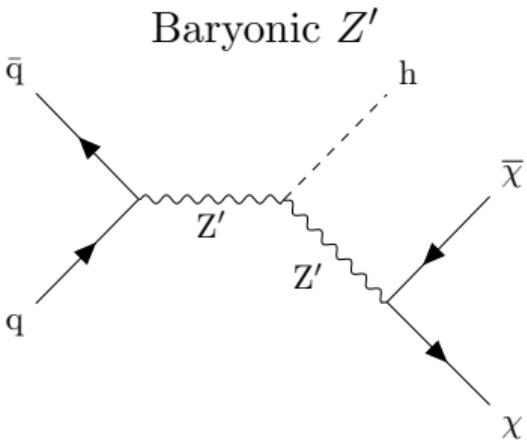
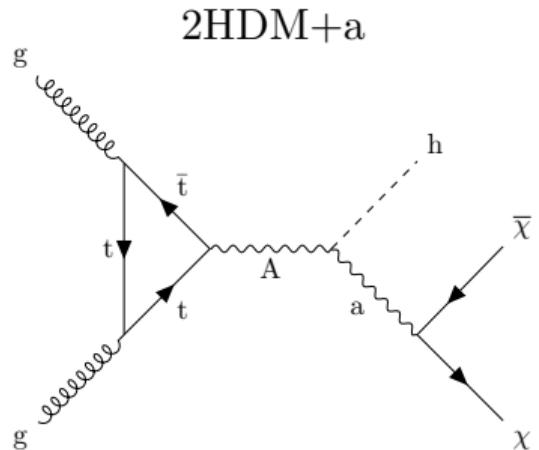
$${}_2 e_3^1 = \sum_{a < b < c \in J} z_a z_b z_c \times \min \{ \Delta R_{ab} \Delta R_{ac}, \Delta R_{ab} \Delta R_{bc}, \Delta R_{bc} \Delta R_{ac} \}$$

- Summary of parameters:

- N = order of the correlation function. An N -pronged jet should have $e_N \gg e_M$, for $N < M$
- o = order of the angular factor.
- β = angular power

Mono-Higgs

DM via Higgs-BSM couplings

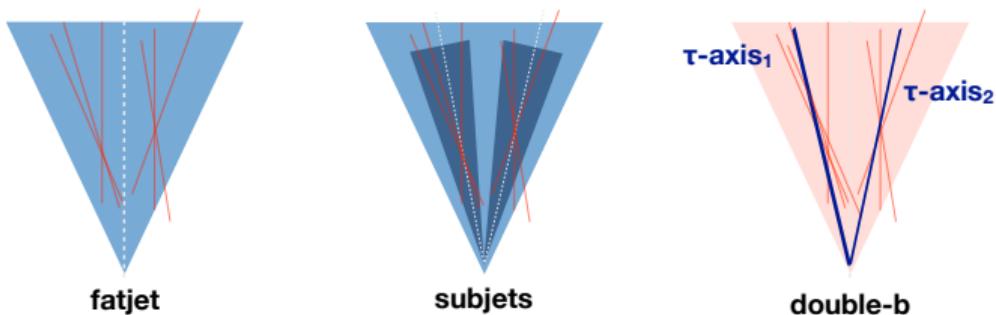


- ▶ 5 additional Higgs bosons, including heavy (A) and light (a) pseudoscalars

- ▶ Quantize baryon number with gauge field Z'
- ▶ “SM” h mixes with baryonic h_B , providing effective coupling to Z'

Identifying $H \rightarrow b\bar{b}$

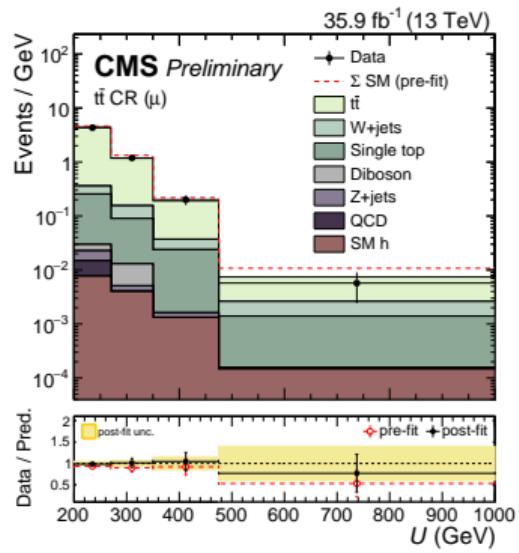
- ▶ As with mono-top, we focus on highly-boosted decays
- ▶ Two-prong substructure tagging is done using ECFs
- ▶ Identifying flavor content of $H \rightarrow b\bar{b}$ is more important
 - ▶ Two B mesons \Rightarrow difficult to fake signature
- ▶ Subjet tagging becomes less efficient at high p_T



- ▶ Use “double-b” tagger to see if entire jet is consistent with 2 b s [CMS-BTV-15-002]

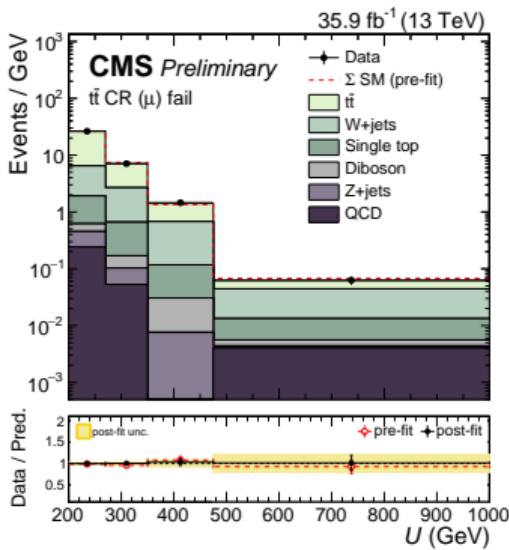
Background estimation

- As with mono-top, use visible $Z/W/t\bar{t}$ processes to constrain invisible analogs

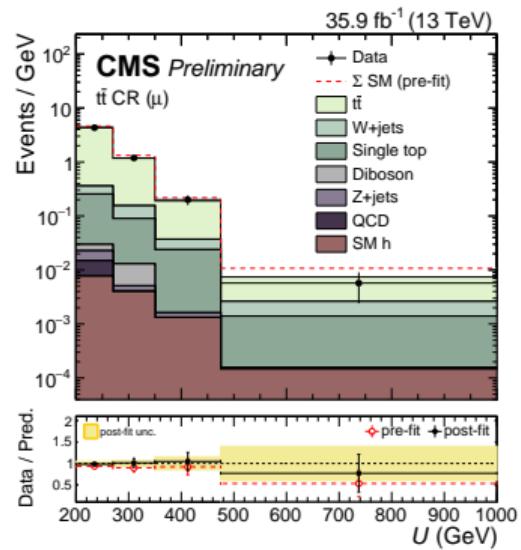


Background estimation

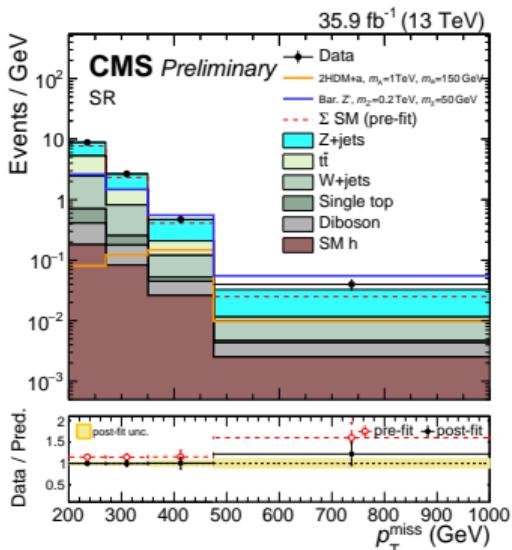
- As with mono-top, use visible $Z/W/t\bar{t}$ processes to constrain invisible analogs
- Control data includes events that both pass and fail the double-b selection
- Use this ratio to correct the efficiency of backgrounds in the signal region



/



→

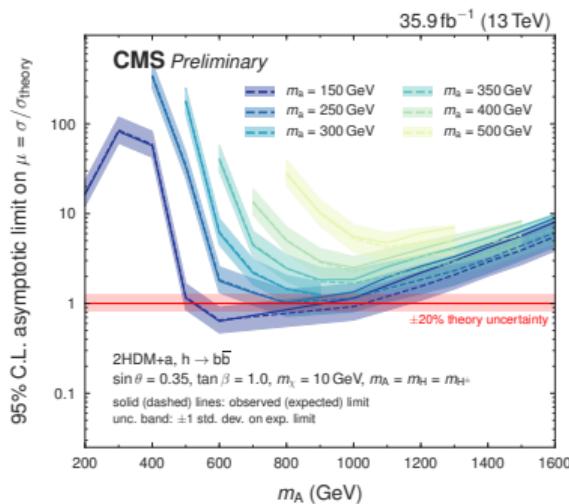


Constraints on 2HDM+a

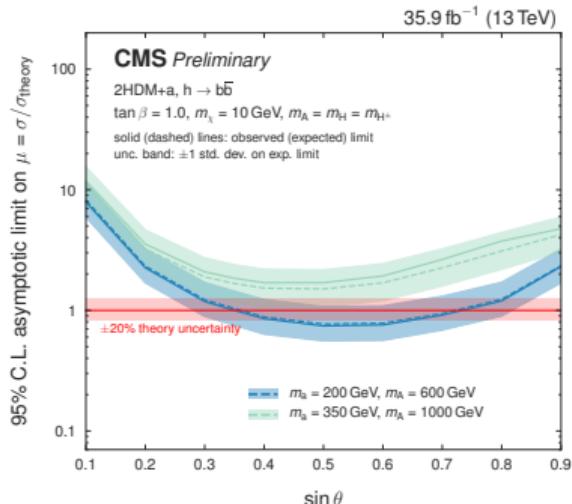
- ▶ 2HDM+a is a rich model \Rightarrow many free parameters
- ▶ Some couplings constrained by unitarity and perturbativity
- ▶ Assume that heavy Higgses all have same mass m_A

Constraints on 2HDM+a

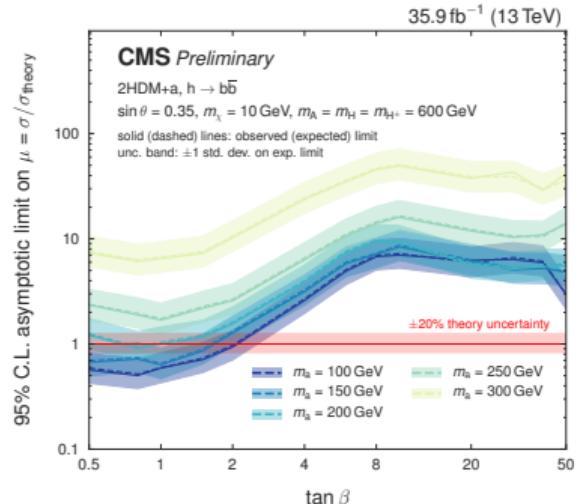
- ▶ 2HDM+a is a rich model \Rightarrow many free parameters
- ▶ Some couplings constrained by unitarity and perturbativity
- ▶ Assume that heavy Higgses all have same mass m_A



Heavy Higgs mass m_A



a - A mixing angle θ



$$\beta = \langle h \rangle / \langle H \rangle$$

Constraints on baryonic Z'

- Only free parameters are masses $m_{Z'}$, m_χ and couplings g_q , g_χ
- Can re-cast constraints as a function of $\sigma_{\text{DM-N}}$ for comparison to direct detection

