

# ML @ CSI

**BROOKHAVEN**  
NATIONAL LABORATORY



# Machine Learning Improves Solar Energy Forecasting



## Scientific Achievement

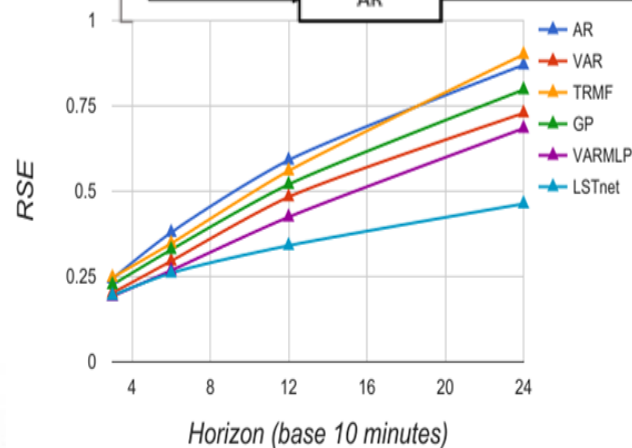
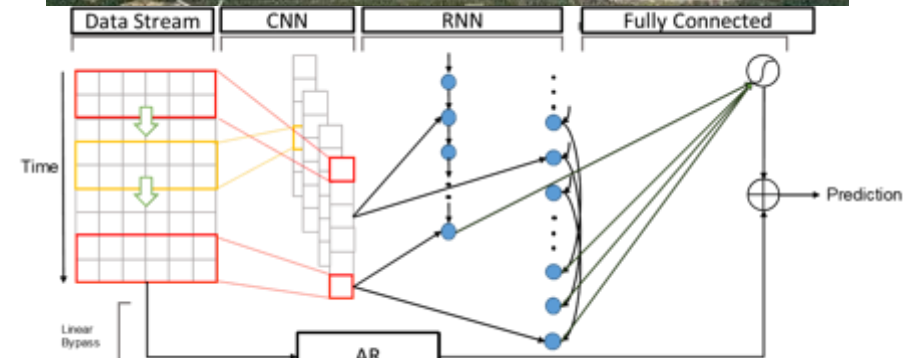
Our newly developed spatio-temporal learning algorithm (LSTnet) significantly improves solar energy forecasting

## Significance and Impact

Utility scale spatio-temporal solar irradiance forecasting algorithms can improve operation and integration of solar farms with electric power grid and they can be applied to any spatio-temporal data

## Research Details

- Joint modeling of short and long-term time dependency by combining Deep Learning (DL) and Autoregressive (AR) models
- CNN (Convolutional Neural Network) captures local dependency patterns whereas RNN (Recurrent Neural Network) captures long-term dependency patterns
- Automatically adapting the mixtures weights of the AR and CNN/RNN components based on input



Top shows LISF (Long Island Solar Farm) and middle figure shows the LSTNet architecture. Left shows that LSTnet outperforms other models significantly (shown up to 4 hours)

# ML increases AMI Load Forecasting Accuracy

## Scientific Achievement

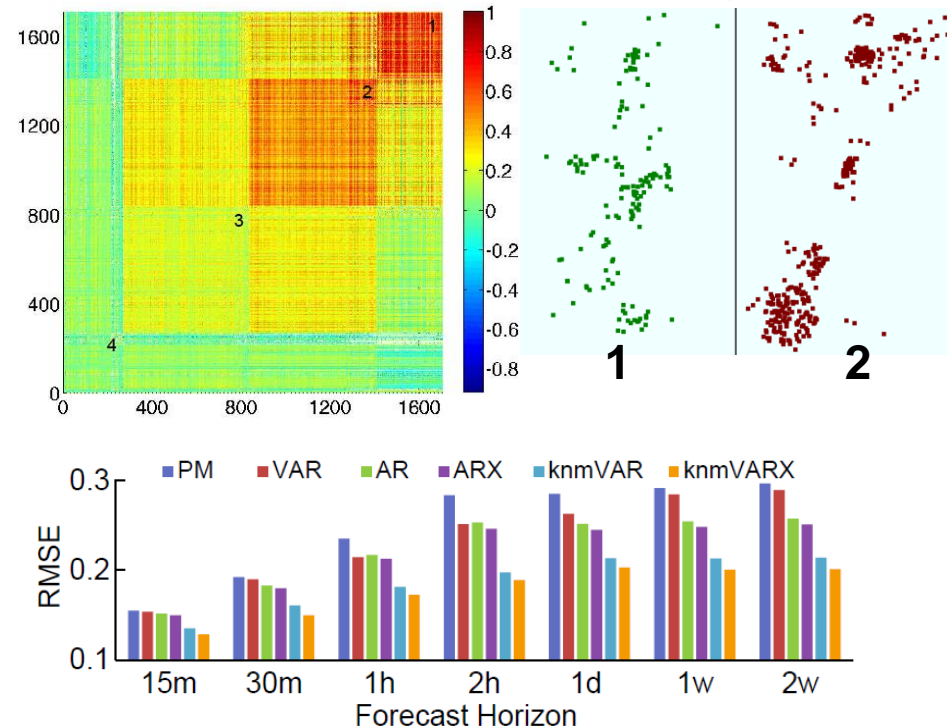
Individual household level load forecasting using Smart Meter

## Significance and Impact

Utilizing spatio-temporal load patterns to significantly improve load forecasting accuracy and our algorithm can be easily applied to real-time distributed load forecasting

## Research Details

- Constrained time series analysis using clustered customer pattern to capture spatio-temporal relationships better
- Weather and other factors are modeled as exogenous variables
- Applying AoW (Analysis on the Wire) framework to load forecasting enables real-time analytics while data is in transit



Top left shows four clustered Smart Meter customers using clustering analysis and top right illustrate the spatial distribution of cluster 1 and 2. Cluster 1 contains about 92% commercial customers and their spatial pattern is quite different from cluster 2 (80% residential customer). By exploiting this fact, we proposed knm (k nearest meter) based VARX (Vector AutoRegressive model with eXogenous variables), leading to significantly better forecasting accuracy



# Machine Learning Emulator for Climate Modeling

## Scientific Achievement

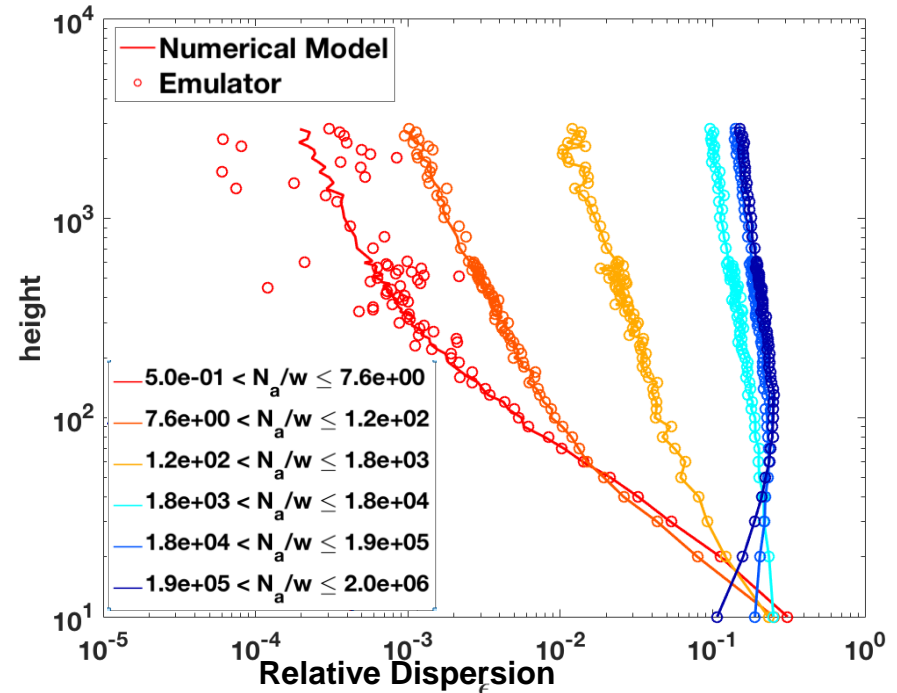
Accurately modeled Parcel model using temporal learning algorithm

## Significance and Impact

Proof of concept in expensive physics based climate simulation with cheaper machine learning alternative

## Research Details

- Successfully modeled Parcel models as a Gaussian Process (tested with other baseline methods)
- Created special monotonic transformation for significantly better Gaussian Process modeling accuracy
- Working on more general approaches and challenging science cases



The figure shows examples of the variations of key cloud properties (shown relative dispersion) with height simulated by a physics based numerical model and the ML emulator, under different environmental conditions characterized by the ratio of aerosol concentration  $N_a$  and updraft velocity  $w$ . Other variables are also emulated well (not shown due to space limitations)

# Streaming Unsupervised Feature Selection

## Scientific Achievement

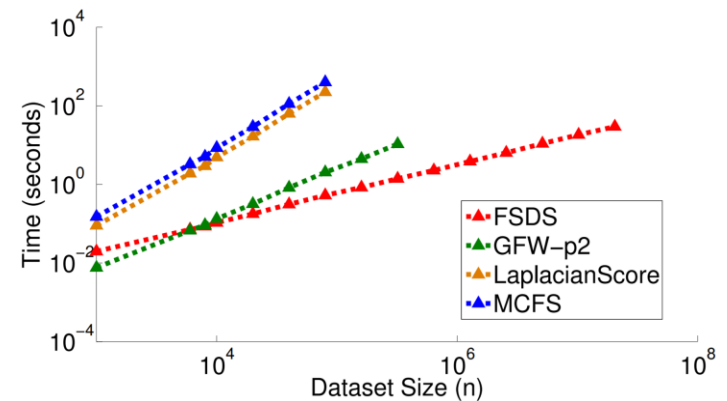
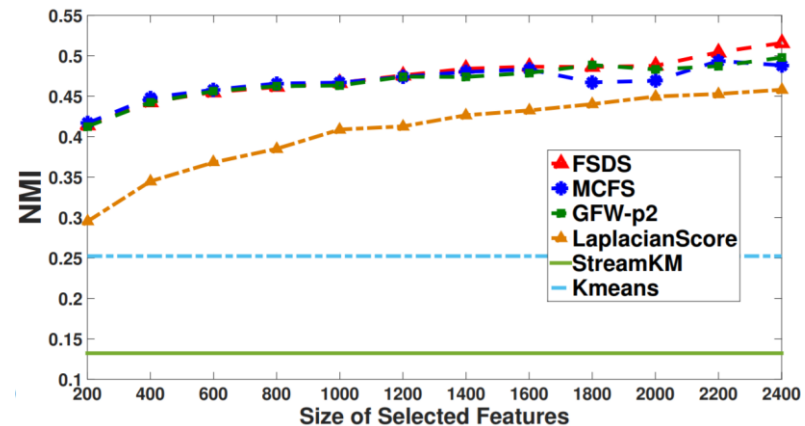
Streaming approximation (limited memory, one pass) of expensive manifold feature selection algorithm

## Significance and Impact

Our proposed FSDF (Feature Selection on Data Stream) enables high velocity big data stream analysis and can be used with any machine learning algorithm as it is unsupervised

## Research Details

- Matrix sketch based streaming decomposition with theoretical error bound analysis
- Instead of expensive Lasso regression, adopt cheaper Ridge regression on orthonormal space
- Dynamic feature subset selection as the topic or distribution changes



Compared to the state of the art batch unsupervised feature selection method (MCFS), our proposed FSDFS (Feature Selection on Data Stream) showed similar or better accuracy on 20 newsgroup data (top) and yet much better scalability (bottom)

# Streaming Spectral Clustering (SSC)

## Scientific Achievement

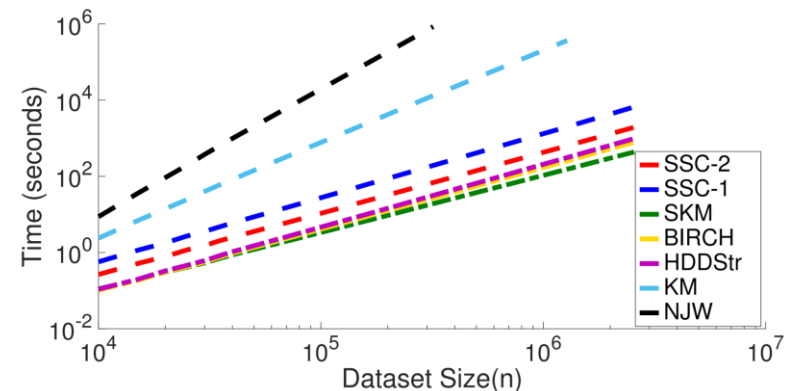
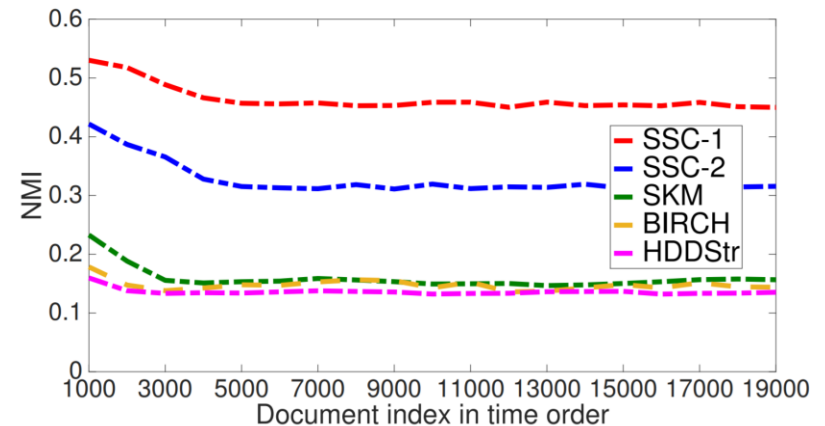
Streaming approximation (limited memory, one pass) of expensive spectral clustering algorithm

## Significance and Impact

Our proposed SSC enables big data spectral clustering analysis without a big cluster. Anyone with a laptop can do high quality clustering analysis.

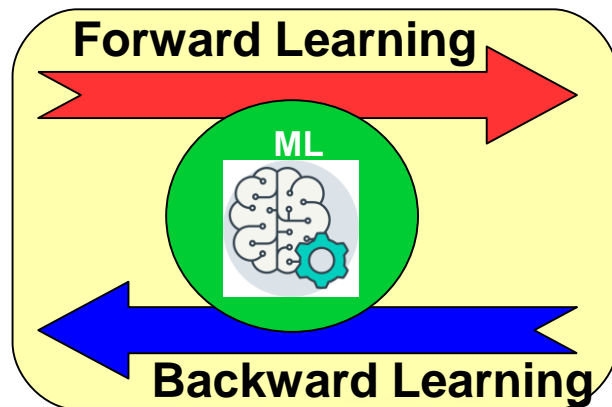
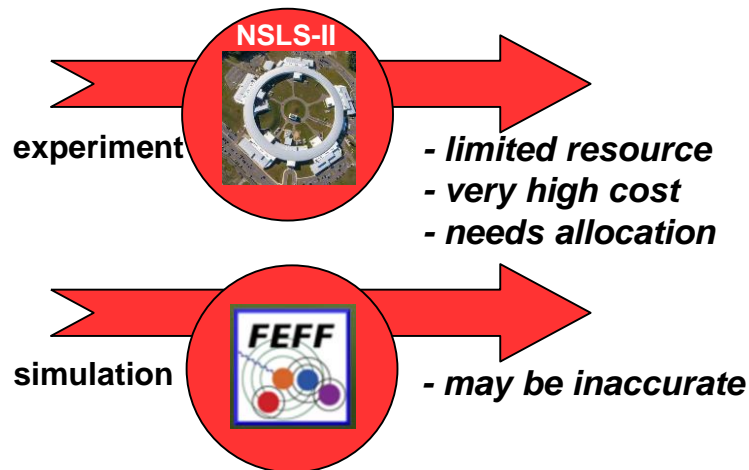
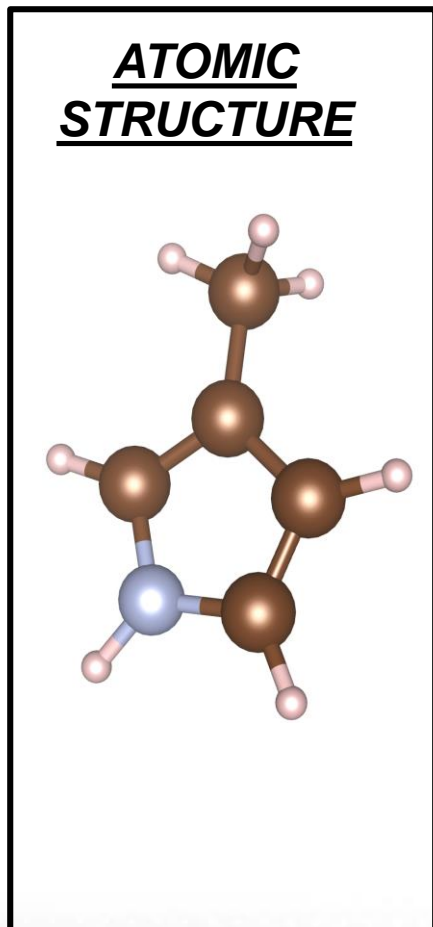
## Research Details

- Streaming approximations of graph Laplacian matrix construction including degree matrix and symmetric normalization
- Extended matrix sketch algorithms for bigger stream batch size processing for better streaming decomposition approximation
- Adaptive to concept drift or distribution changes using the proposed manifold embedding rotation matrix

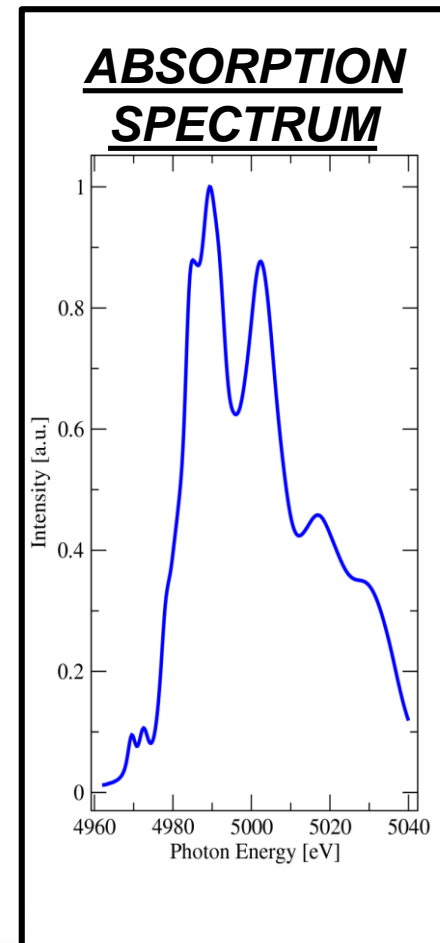


Compared to the state of the art streaming clustering algorithms, our proposed SSC showed much better accuracy (top) and yet comparable scalability (bottom)

# Forward/backward learning



ML may offer predictions in both directions





# Backward Learning – From spectra to Structure

## Scientific Achievement

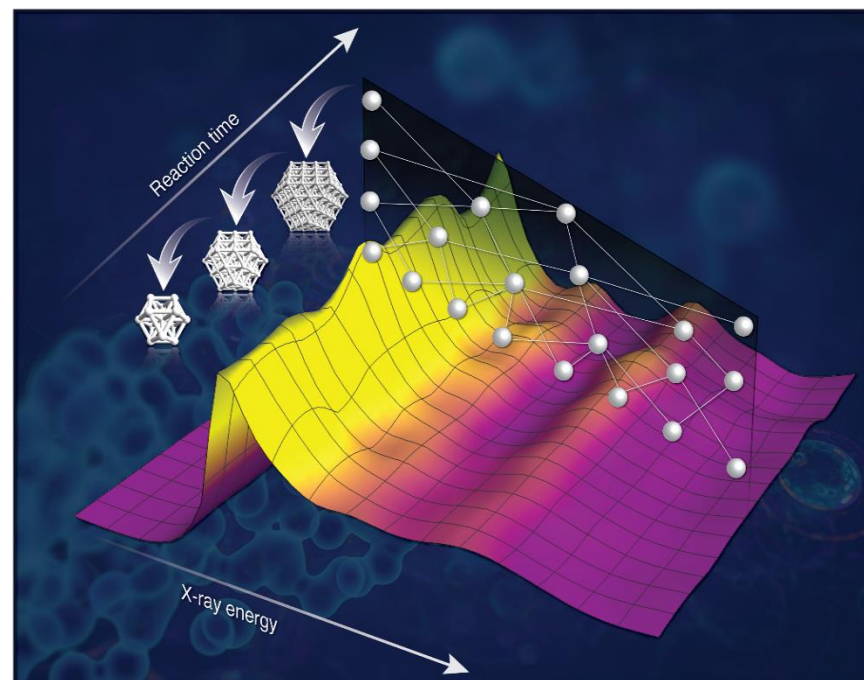
A method was developed to decipher the structure of nanoparticle catalysts from their X-ray absorption spectra.

## Significance and Impact

Tracking the structure of catalysts in real working conditions is a challenge due to the paucity of experimental techniques that can measure the number of atoms and the distance between metal atoms with high precision. Accurate structural analysis at the nanoscale, now possible with the new method in the harsh conditions of high temperature and pressure, will enable new possibilities for tuning up reactivity of catalysts at the high flux and high energy resolution beamlines of NSLS-II and other advanced synchrotron facilities.

## Research Details

Using machine learning techniques, the previously “hidden” relationships between the features in the X-ray absorption spectra and geometry of nanocatalysts were found.

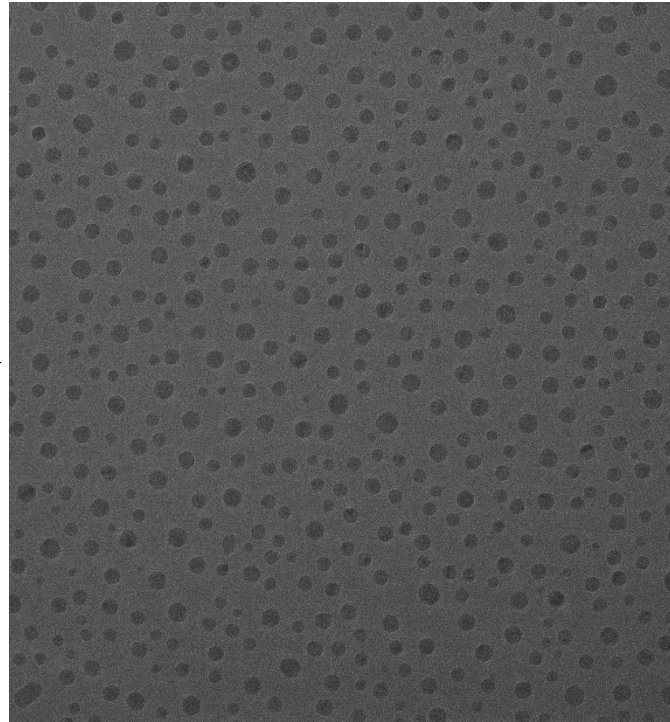


A sketch of the new method that enables fast, “on-the-fly” determination of three-dimensional structure of nanocatalysts. The colored curves are synchrotron X-ray absorption spectra collected in real time, during catalytic reaction (in operando). The neural network (white circles and lines) converts the spectra into geometric information (such as nanoparticle sizes and shapes) and the structural models are obtained for each spectrum.



# Environmental Transmission Electron Microscope (ETEM)

ETEM



- Size: 1920x1792
- 400 frames/second
- 3GB/seconds
- High noise images

# Nanoparticle detection in ETEM images

## Scientific Achievement

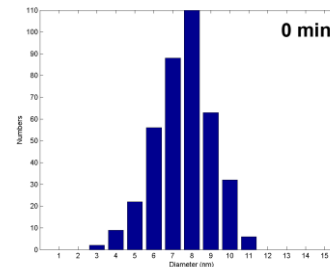
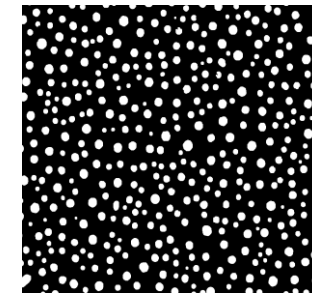
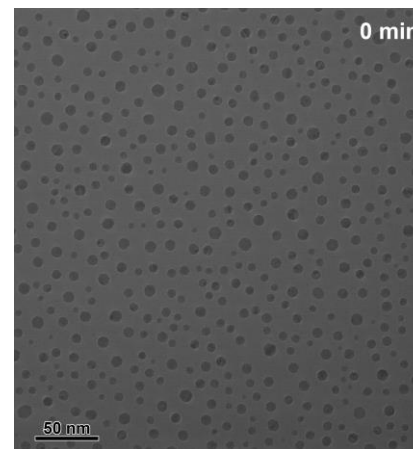
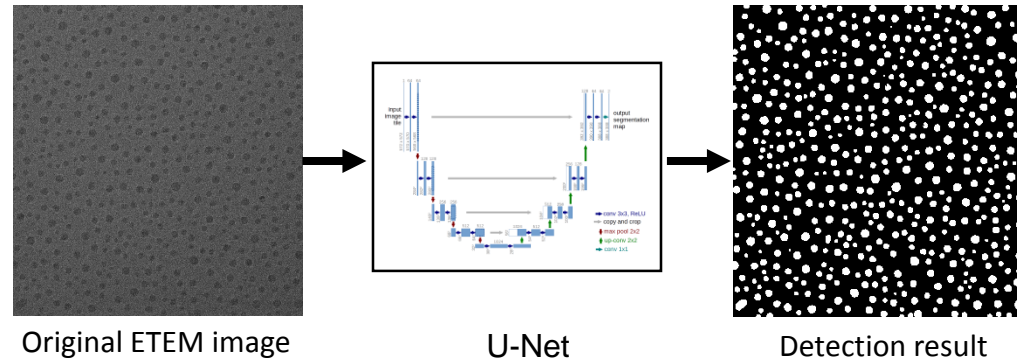
Developed a deep learning model to simultaneously detect and segment particles in ETEM images, without any labels needed

## Significance and Impact

Finding nanoparticles in ETEM images accurately is the critical first step for automatically analyzing the physical characteristics of the nanoparticles.

## Research Details

- A fully convolutional network (FCN) – U-Net is used for detection and segmentation simultaneously
- There is no manual labeling needed. It first applies traditional image segmentation techniques, and treats the result as the noisy label to iteratively train the U-Net.
- Once the U-Net is trained, it will be utilized to each frame independently, and it can be highly parallelized



# Thank You!



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**70** YEARS OF  
DISCOVERY  
A CENTURY OF SERVICE