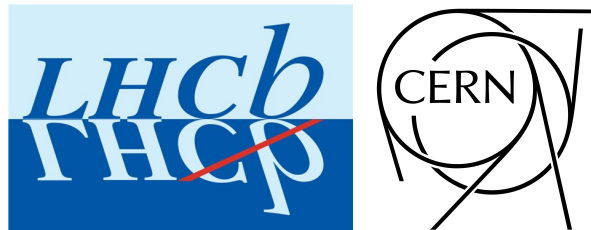


Implications of LHCb measurements and future prospects

17-19 Oct. 2018, CERN

Heavy flavor production at LHCb

Yanxi ZHANG on behalf of the LHCb Collaboration
CERN



Outline

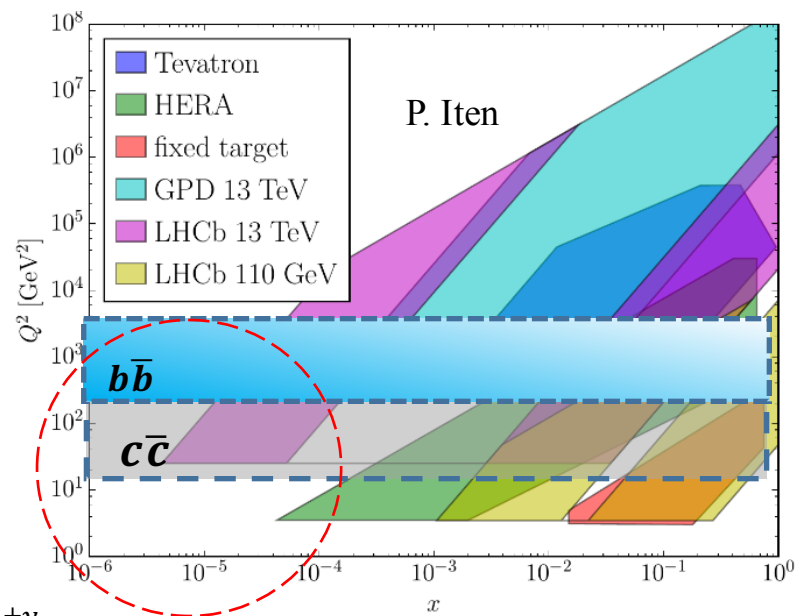
- Introduction
- Production of open heavy flavor
- Production of heavy quarkonium
- Associated production
- Summary

Introduction

- Heavy flavor productions probing QCD
 - m_Q provides scales for perturbative QCD calculations, down to low p_T
 - $\sigma(Q) \leftarrow f(x_1, \mu_F^2) \otimes f(x_2, \mu_F^2) \otimes d\sigma(x_1, x_2 \rightarrow Q + X, \mu_F^2, \mu_R^2) \otimes D(Q \rightarrow H_Q)$
 - Sensitive to PDFs and fragmentation functions: non perturbative
 - Understanding QCD is fundamental and essential for new physics searches

- LHCb is optimized for precision measurements for b, c physics
 - Excellent tracking, vertexing, hadron and muon identification
 - Unique kinematic coverage:
 - access to small Bjorken- x region not constrained by HERA
 - Large production/recording rate:
 - $\sigma(c\bar{c}) \approx 8 \text{ mb at } \sqrt{s} = 7 \text{ TeV}$

$$x_{1,2} \sim \frac{\sqrt{m^2 + p_T^2}}{\sqrt{s}} e^{\pm y}$$



Open heavy flavor production

- Charm production at $\sqrt{s} = 5, 7, 13$ TeV
- Beauty production at $\sqrt{s} = 7, 13$ TeV
- Fragmentation functions

JHEP 03 (2016) 159

JHEP 09 (2016) 013

JHEP 05 (2017) 074

JHEP 06 (2017) 147

Nucl. Phys. B 871 (2013) 1

JHEP 12 (2017) 026

PRL 118 (2017) 052002

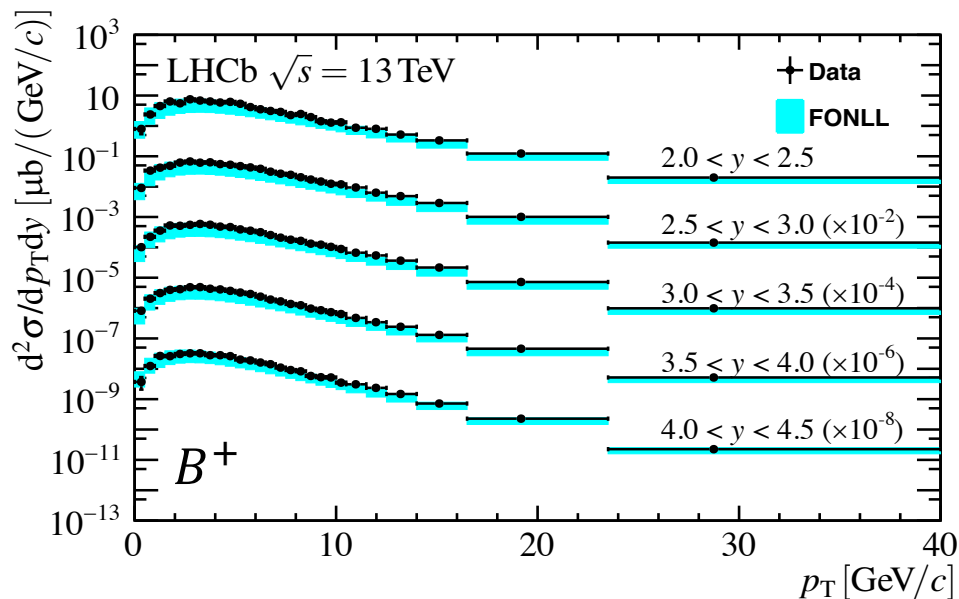
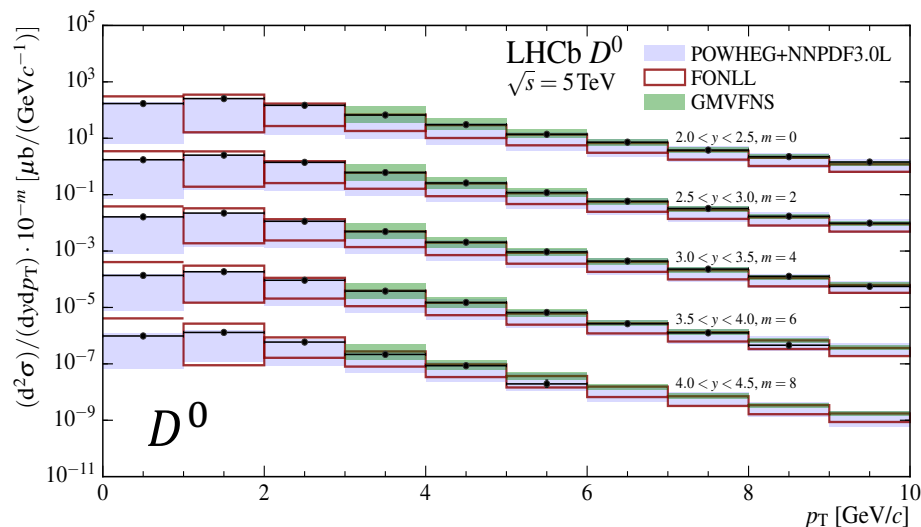
JHEP 08(2014) 143

JHEP 04 (2013) 001

Production cross-sections

JHEP 06 (2017) 147

JHEP 12 (2017) 026



- Generally good agreement with theory predictions on p_T, y dependence in all LHCb acceptance
 - POWHEG, FONLL or GMVFNS
 - Down to zero- p_T or low- x
- Large theoretical uncertainties from scales and PDFs. Smaller for beauty than charm:
 - $m_b > m_c$, smaller scale uncertainty for beauty
 - Charm reaching unconstrained low- x region

Cross-section ratios

JHEP 06 (2017) 147

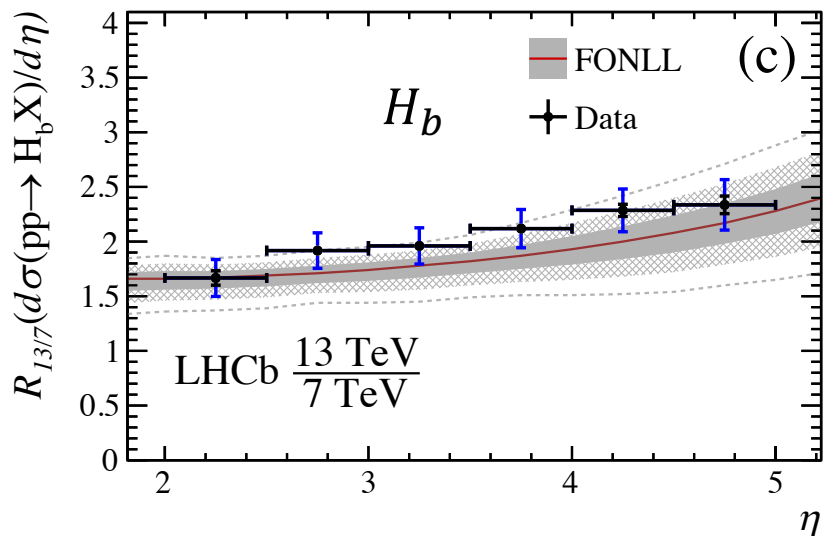
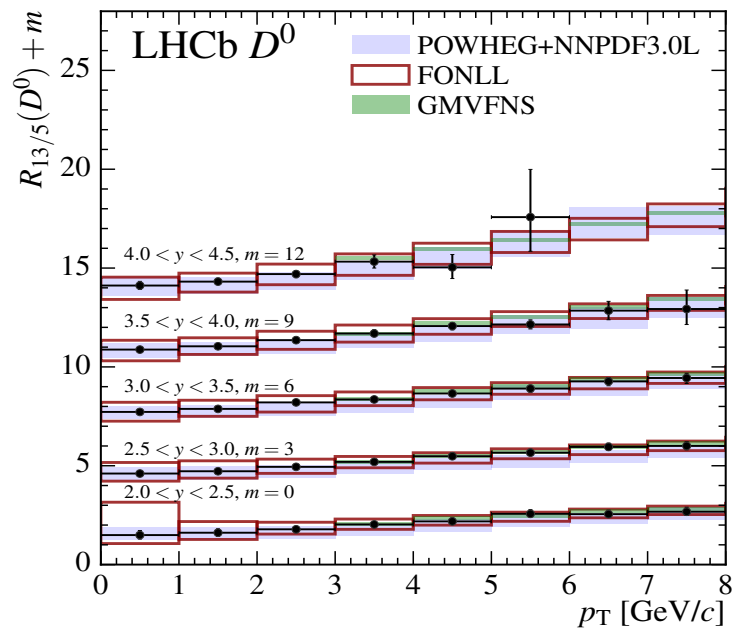
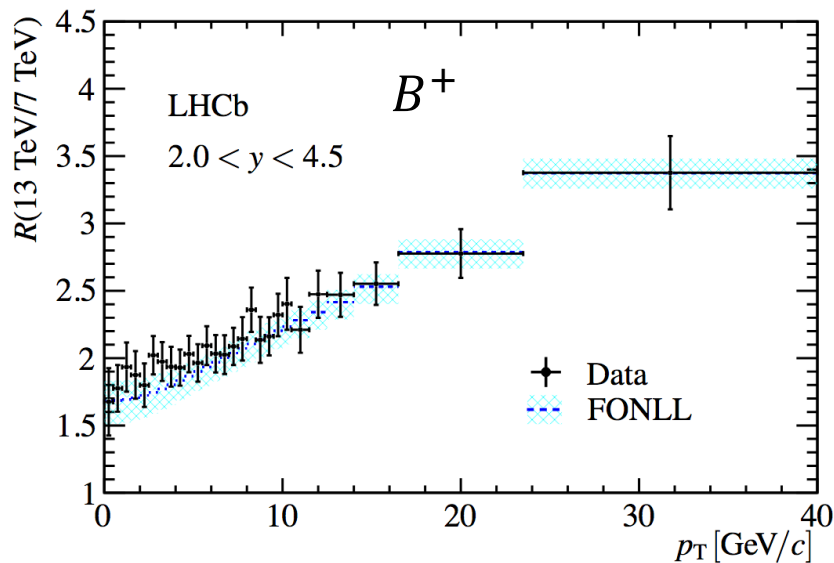
JHEP 09 (2016) 013

JHEP 12 (2017) 026

JHEP 05 (2017) 074

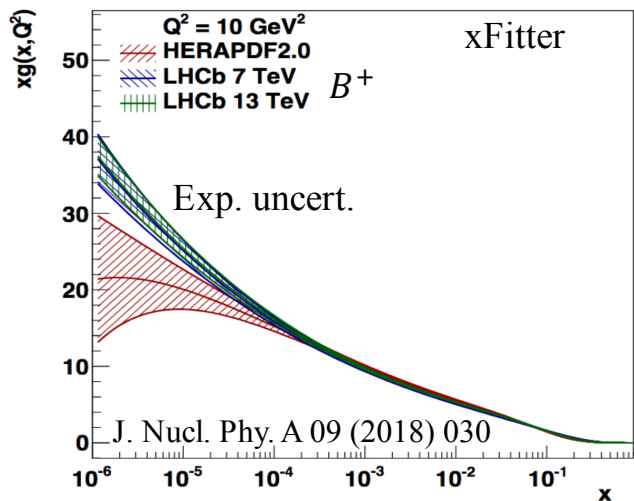
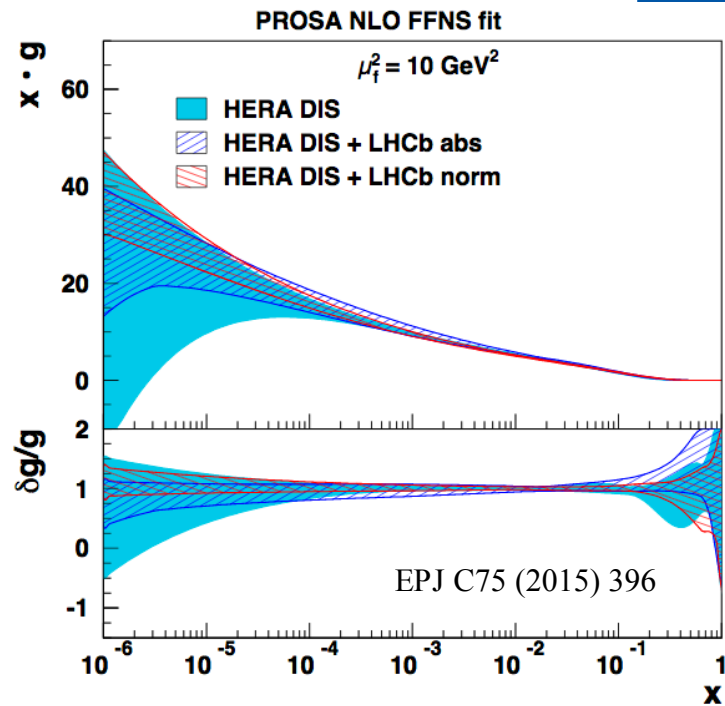
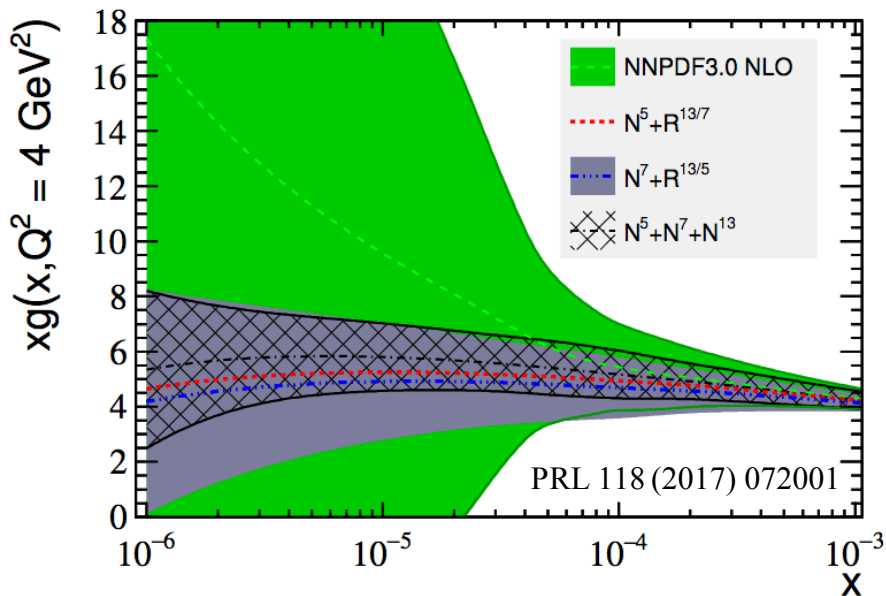
JHEP 03 (2016) 159

PRL 118 (2017) 052002



- Theoretical uncertainties from scales partially cancel
 - Still (some) sensitivity to PDFs
 - More sensitive to pQCD calculations
- Reasonably good agreement

Gluon PDFs



- LHCb charm production significantly reduces gluon PDF at low- x ($x < 10^{-4}$)
- Simultaneous description of at different energies imposes further constraints
- Beauty production (ratios) also sensitive to low- x region

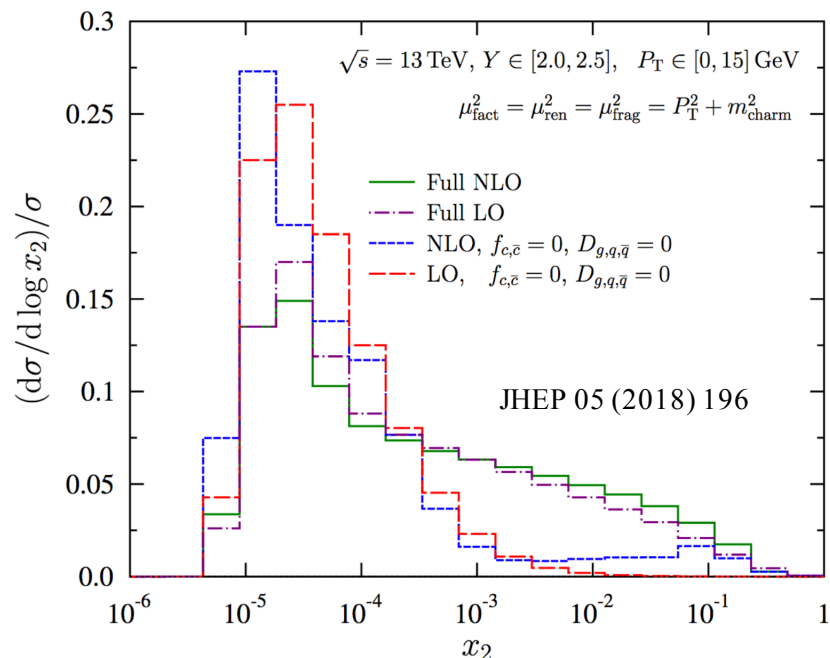
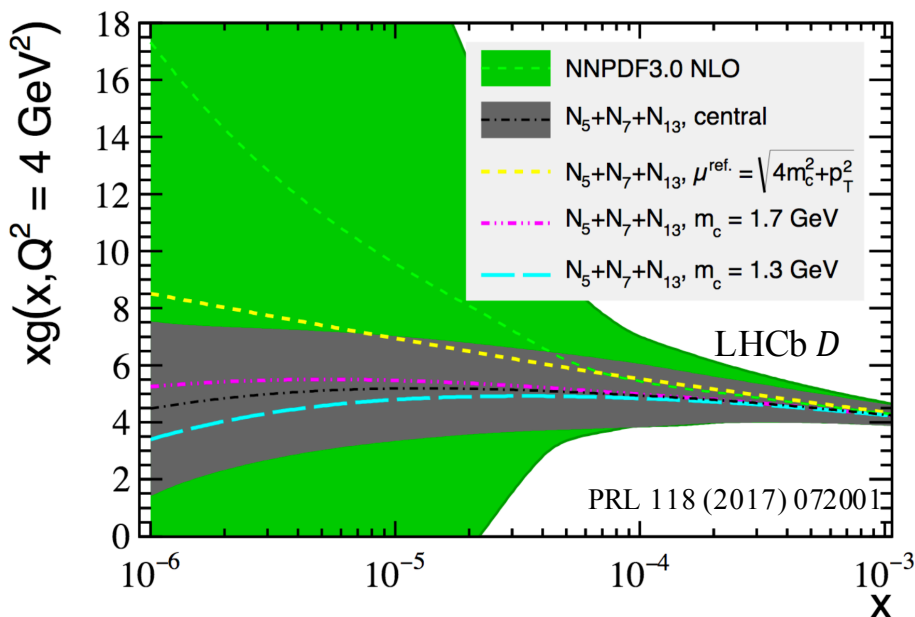
Gluon PDF Fits

- Theoretical uncertainties

- Scales, heavy quark mass. Larger for charm calculations, especially at low- p_T
- PDF parameterizations and fitting

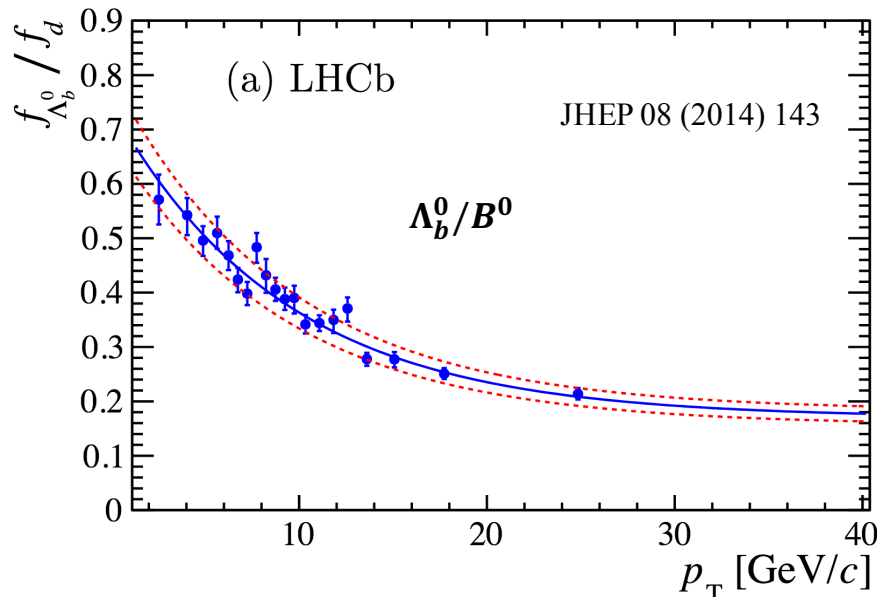
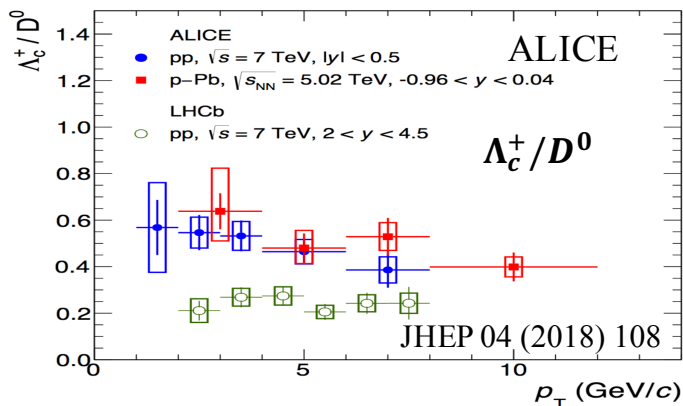
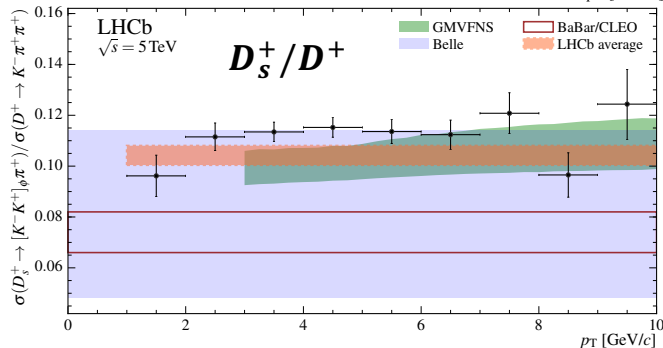
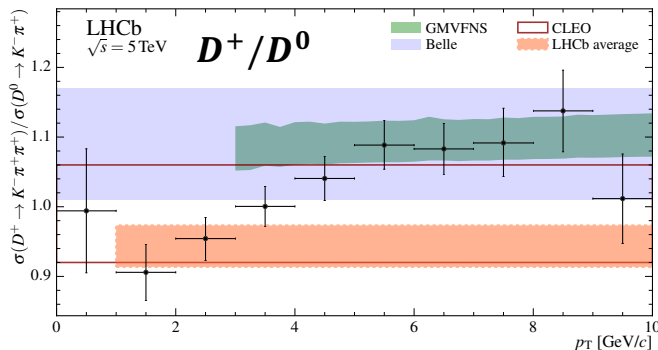
$$xf(x, Q_0^2) = A_f x^{a_f} (1-x)^{b_f} I_f(x) \quad I_f(x) = 1 + c_f \sqrt{x} + d_f x + \dots$$

- Perturbative calculations: flavor schemes ...
- Non-perturbative effects: fragmentation function ...



Fragmentation fraction

JHEP 1706 (2017) 147



- Different bottom FF between LHCb and previous measurements
 - $f_{\Lambda_b^0} \approx 8.9 \pm 1.2\%$ (PDG), $\approx 15\%$ (LHCb)
- $f_{\Lambda_c^+}$: large spread within literature
 - $f_{\Lambda_c^+}/f_{D^0} \approx 25\%$ (LHCb), $\approx 50\%$ (ALICE), $\approx 10 \pm 2\%$ in 2015 average [EPJ C76 (2016) 397]
- Fragmentation also kinematic dependent
 - f_{D^0}, f_{B^+} varies by up to 10%-15% from low p_T to high p_T

Taken into account properly in PDF fit?

Heavy quarkonium production

➤ $J/\psi, \Upsilon$ production at $\sqrt{s} = 13$ TeV

JHEP 10 (2015) 172

JHEP 07 (2018) 134

➤ $J/\psi, \Upsilon$ polarisation at $\sqrt{s} = 13$ TeV

EPJ C73 (2013) 11

JHEP 12 (2017) 110

➤ η_c production at $\sqrt{s} = 7, 8$ TeV

EPJ C75 (2015) 7

Heavy quarkonia production

- Factorization

$$\sigma(AB \rightarrow H + X) \leftarrow \sum_n \underbrace{\sigma(AB \rightarrow Q\bar{Q}[n]X)}_{\text{Perturbative}} \underbrace{\langle Q\bar{Q}[n] \rightarrow H \rangle}_{\text{Non-perturbative}} \quad n: \text{spin, parity, color indices}$$

- Fragmentation of $Q\bar{Q}[n]$: heavy quarkonia production models

- **Color singlet mechanism (CSM)**: intermediate $Q\bar{Q}[n]$ in color singlet state and coincides with final state quarkonium H **spin-parity quantum number**

- **Non-relativistic QCD approach (NRQCD)**: **Both color singlet and octet** states allowed with varying probabilities (long distance matrix elements, LDME)

- ❑ Double expansion: v (heavy quark velocity) for long distance, α_s and v for short distance. $v^2 \sim 0.3$ for $c\bar{c}$, $v^2 \sim 0.1$ for $b\bar{b}$

- ❑ LDME obtained by fitting data: different p_T dependence for associated hard part

- ❑ LDME absorbs non-perturbative effects, e.g. emissions of soft gluon

- ❑ Leading LDMEs for J/ψ production: $^3S_1^{[1]}$, $^3S_1^{[8]}$, $^1S_0^{[8]}$, $^3P_J^{[8]}$

- **Color evaporation model**: fixed probability for all $Q\bar{Q}$ pairs with $m_{Q\bar{Q}} < 2m_{H_Q}$

- ❑ Improved version: $m_{H_{QQ}} < m_{Q\bar{Q}} < 2m_{H_Q}$

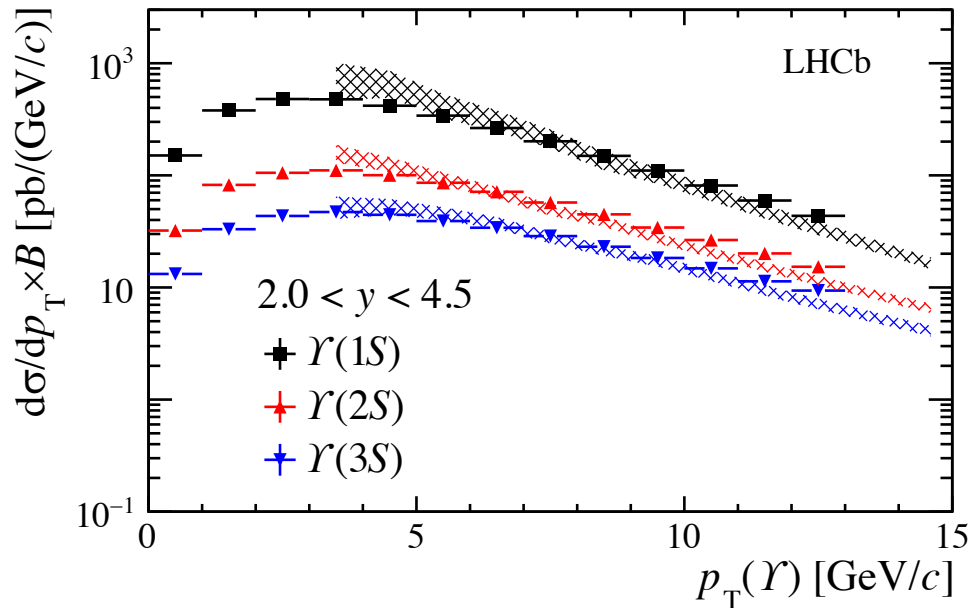
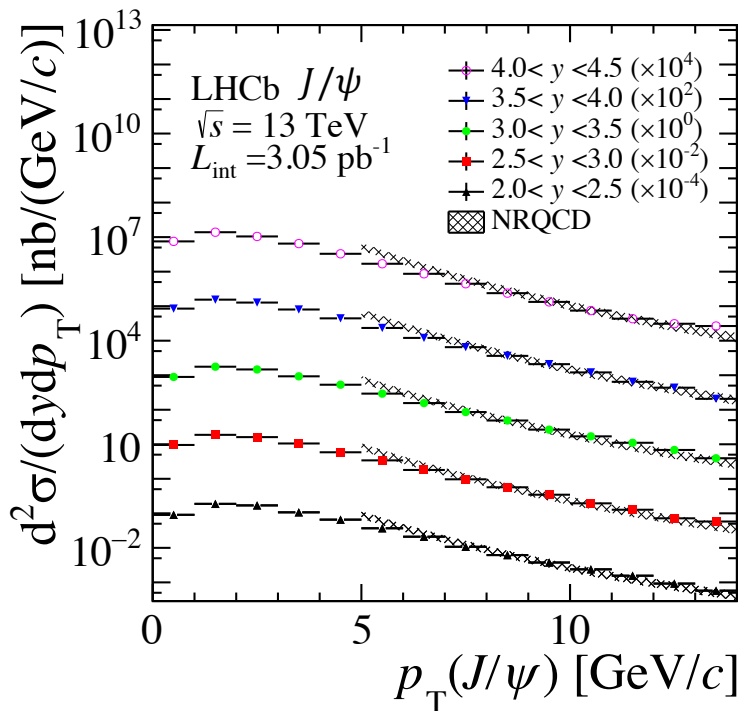
Production cross-sections

JHEP 10 (2015) 172

JHEP 12 (2017) 110



NRQCD: JHEP 05 (2015) 103

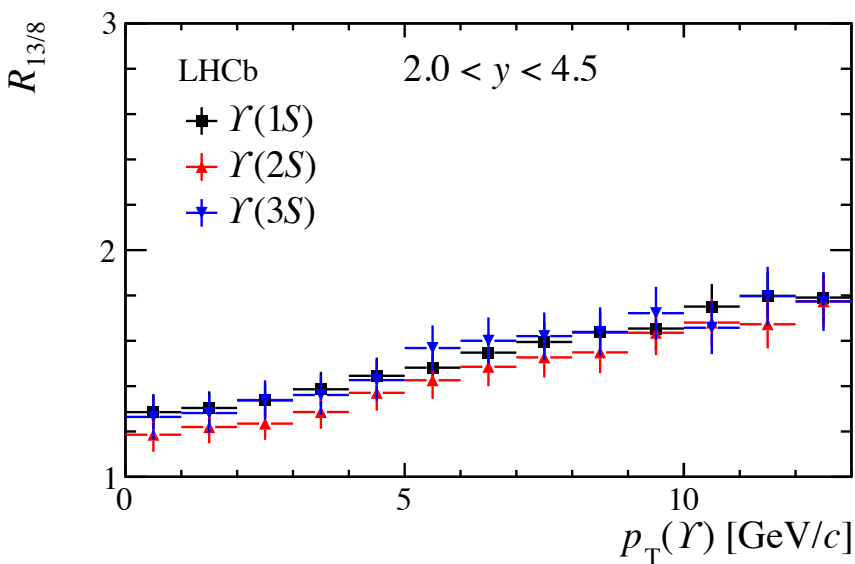
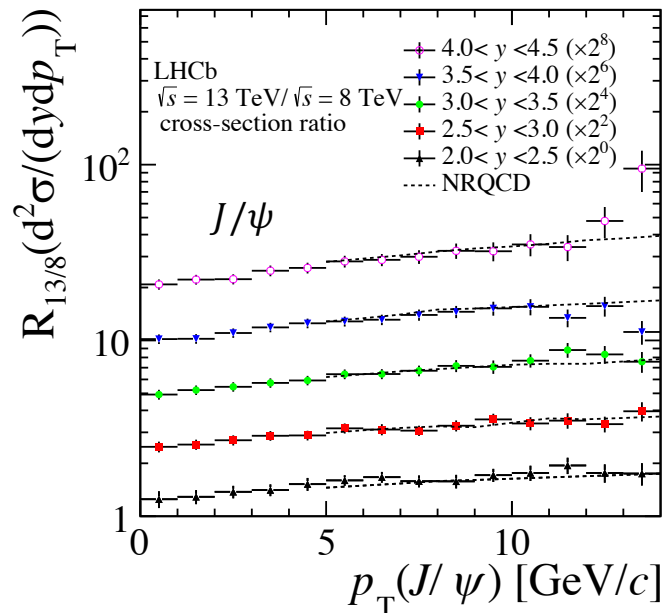
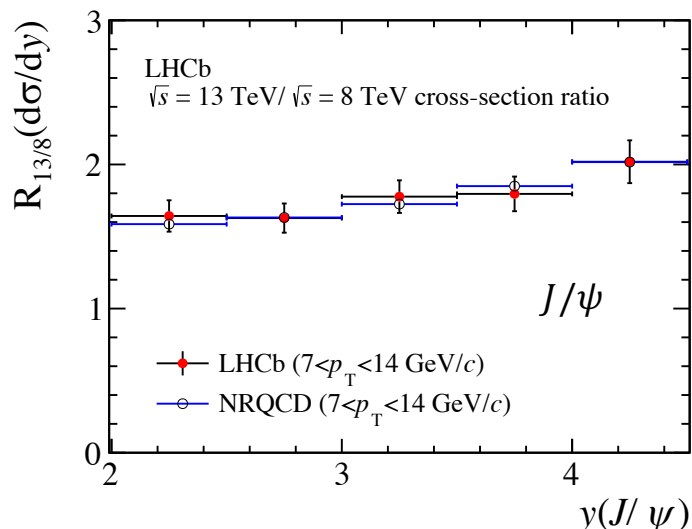


- p_T -differential cross-section described by NRQCD calculations, hint of harder p_T spectrum for Y data? Note that p_T/m_Q is relatively small for Y at LHCb.
- Also in good agreement for different rapidity bins
- Divergence at low- p_T between data and NRQCD. Total cross-section however well described by CSM [EPJ C75 (2015) 7]

Note: non-perturbative LDMEs obtained by fitting to CDF data \rightarrow LDMEs universal

Production cross-sections

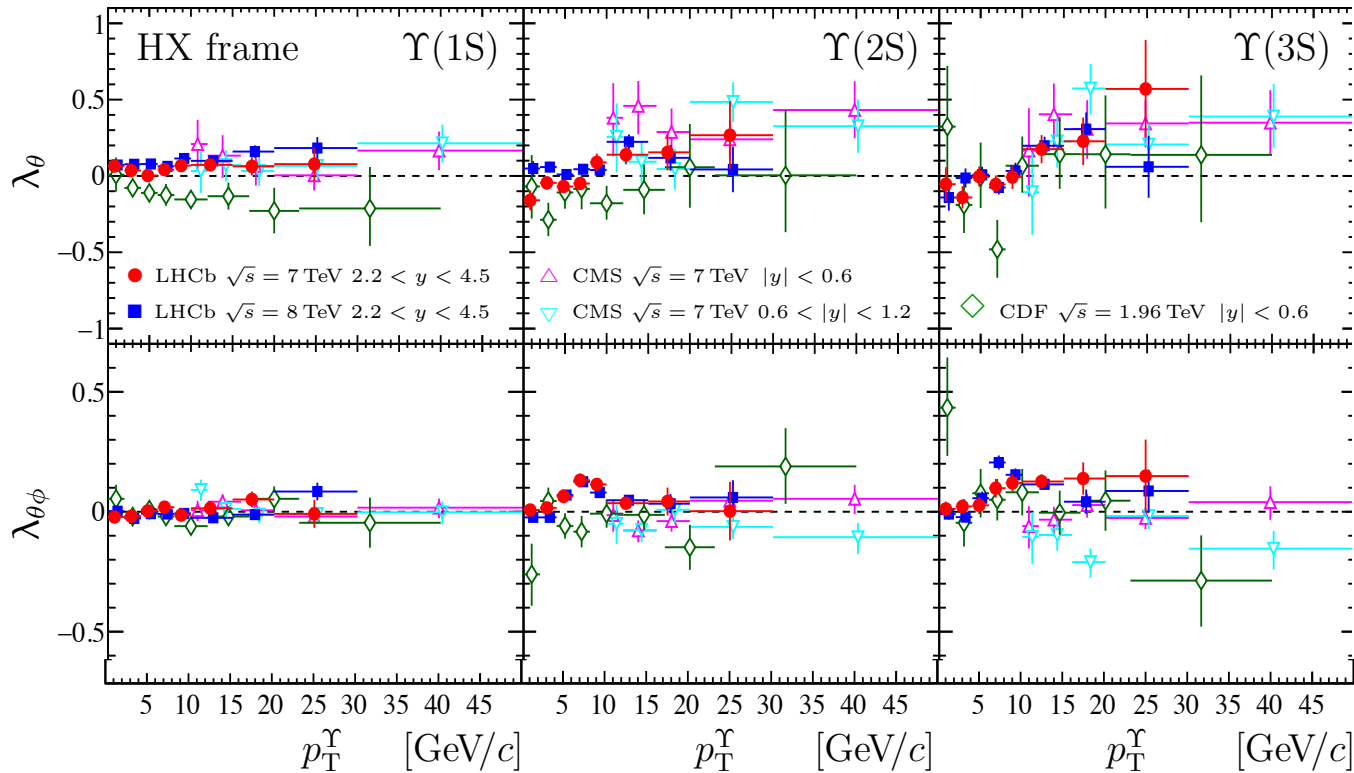
JHEP 10 (2015) 172
JHEP 12 (2017) 110



- Remarkable agreement between data and NRQCD for cross-section ratios
- Likely also agree at low- p_T , despite divergence in absolute cross-sections
 - Is it fully understood?
- Can be tested for Υ production

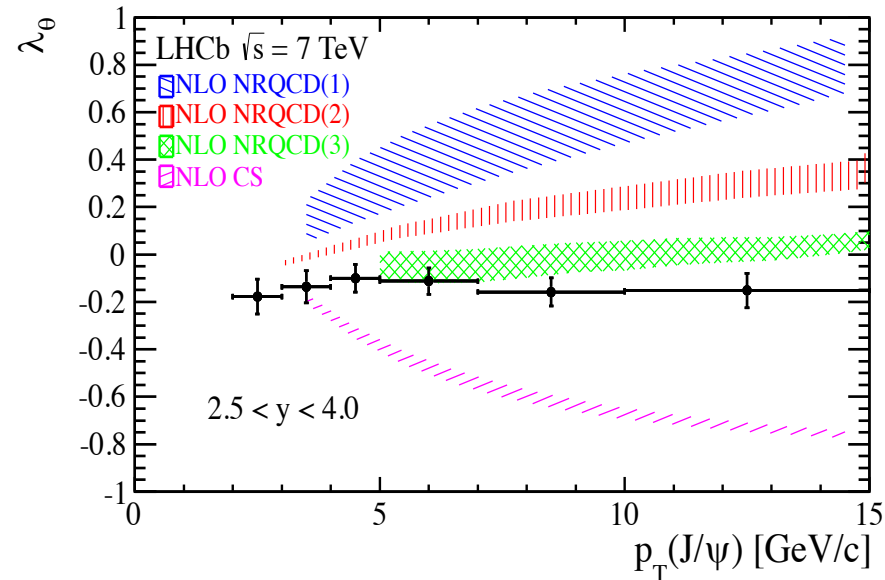
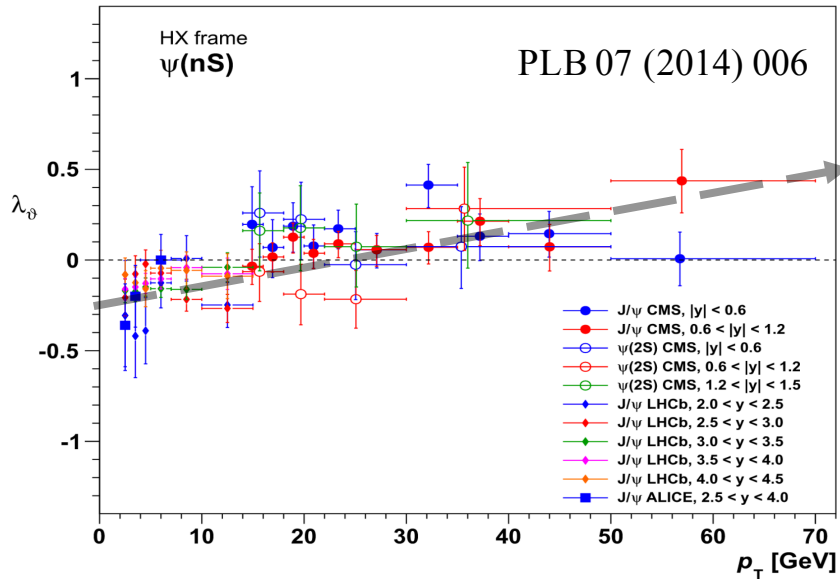
Υ polarisations

$$\frac{1}{\sigma} \frac{d\sigma}{d\Omega} = \frac{3}{4\pi} \frac{1}{3 + \lambda_\theta} (1 + \lambda_\theta \cos^2 \theta + \lambda_{\theta\phi} \sin 2\theta \cos \phi + \lambda_\phi \sin^2 \theta \cos 2\phi)$$

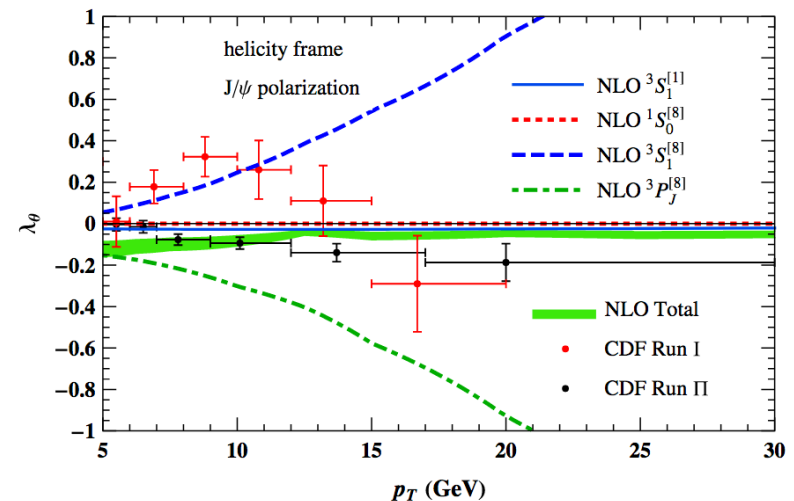


- Small quarkonium polarisation confirmed in Υ data, consistent with CMS measurements
- Sizable transverse polarisation (λ_θ) at high p_T , hint of increasing trend with p_T as predicted by NRQCD: (LO) gluon fragmentation (${}^3S_1^{[8]}$) dominates at “**large p_T** ”
 - At which magnitude of p_T ? Does it hold at higher order?

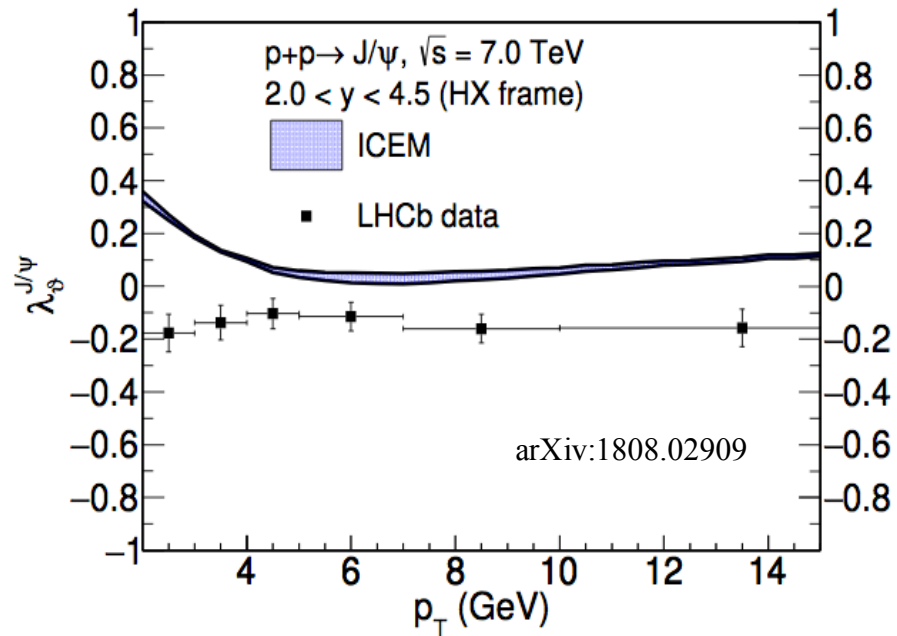
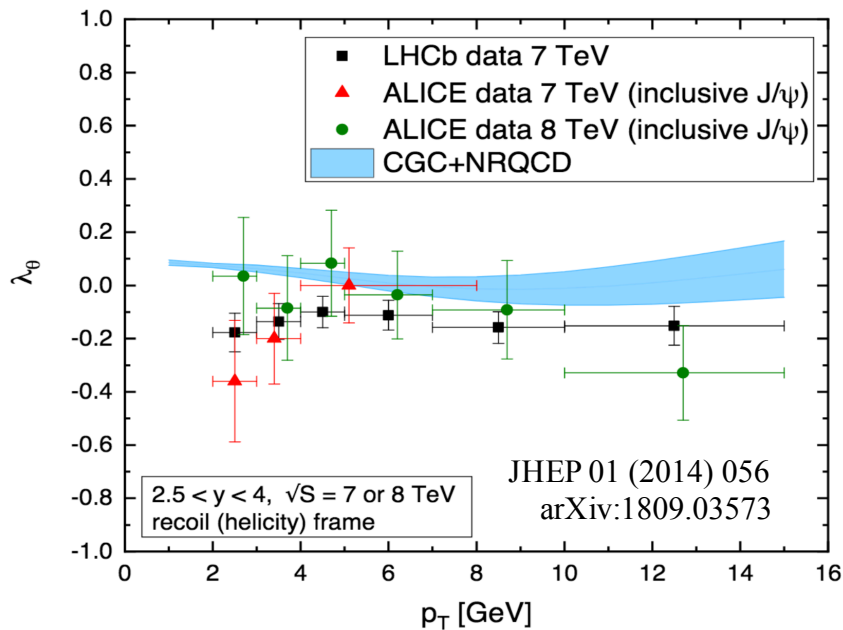
Polarisations



- Similar trend for J/ψ polarisation from LHCb and ALICE (low p_T) to CMS (high p_T)
- Overall small polarization up to $p_T \sim 70$ GeV
- LDMEs tuned to predict both prediction and small polarisation, two solutions:
 - i. Large $^1S_0^{[8]}$ contribution [PRL 113 (2014) 022001]
 - ii. Cancellation of $^3S_1^{[8]}$ using $^3P_J^{[8]}$ component [PRL 208 (2012) 242004]

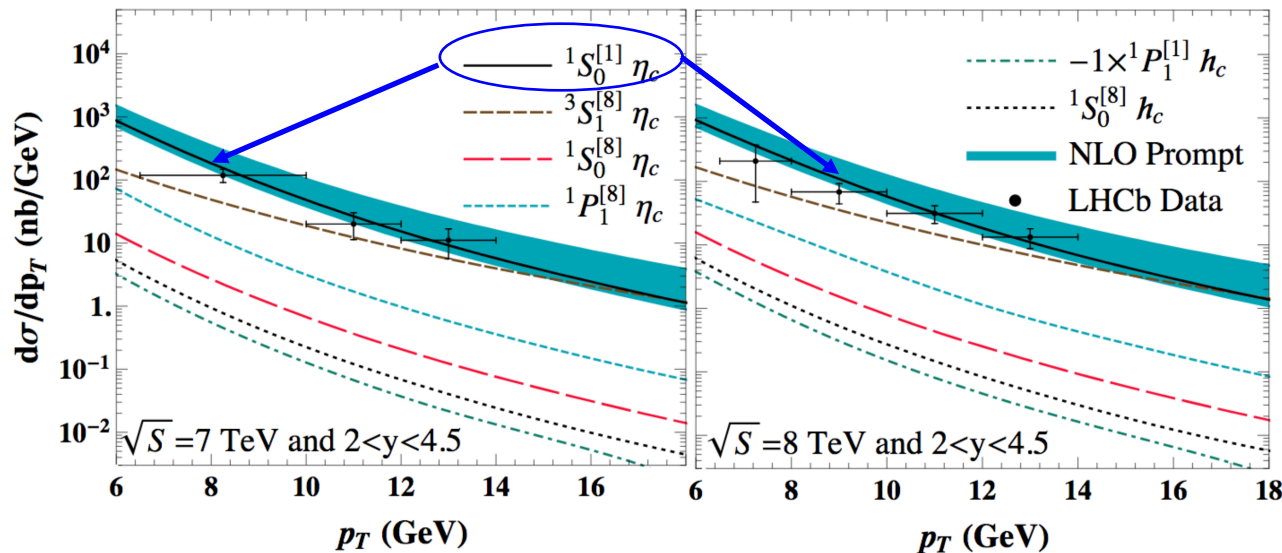


Polarisations

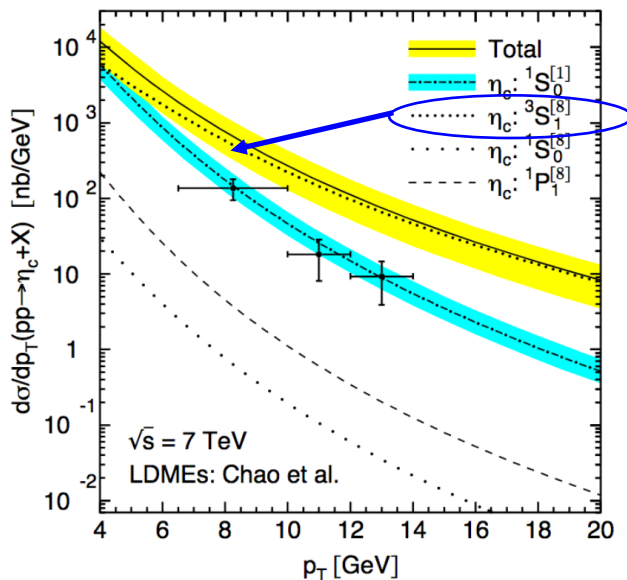


- NRQCD extended to very low p_T using CGC calculation, describing both J/ψ cross-section and polarisation
- ICEM calculation gives small polarization at Tevatron and LHC
 - Almost absence of rapidity dependence

η_c production



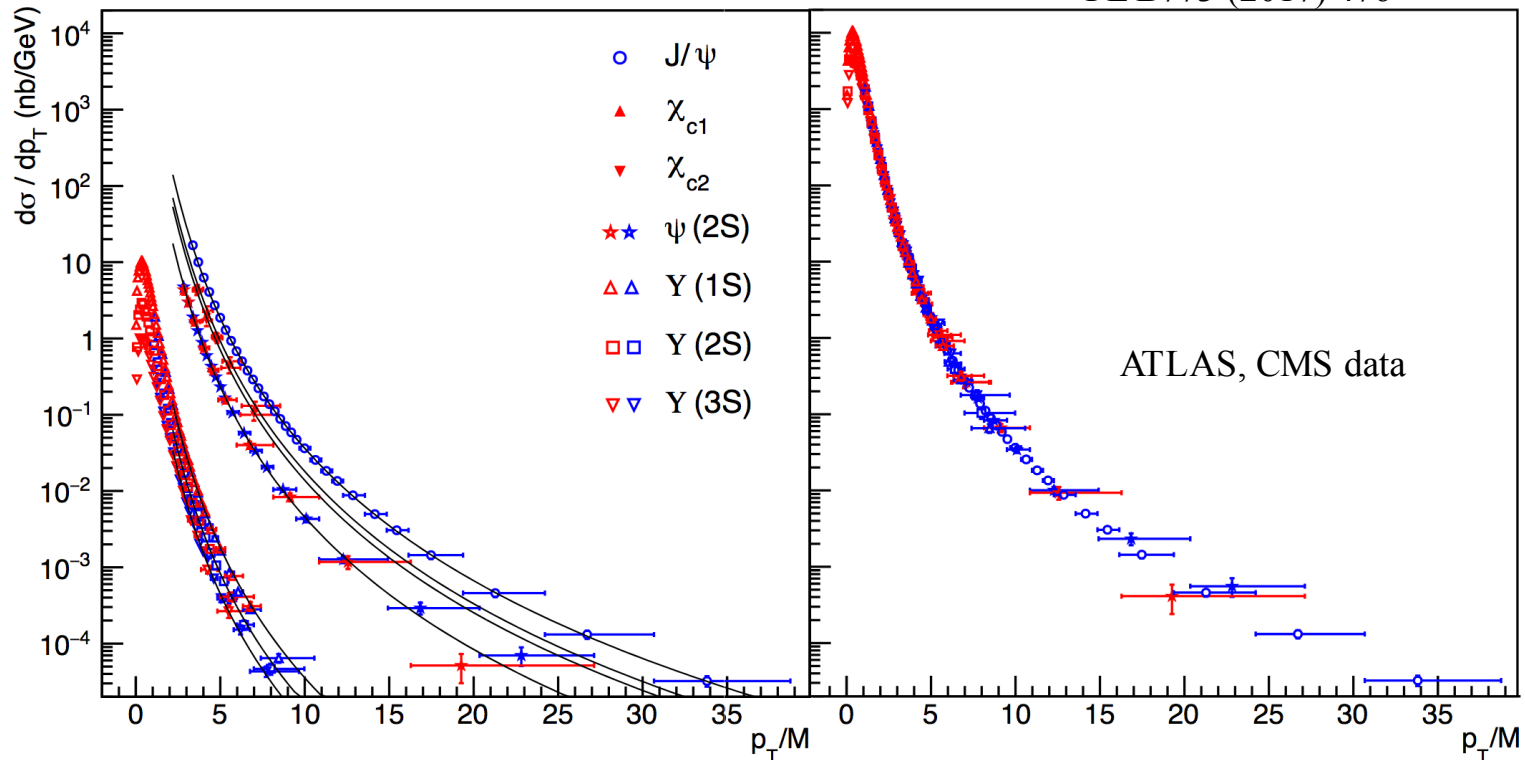
PRL 114 (2015) 092004
 PRL 114 (2015) 092005
 PRL 114 (2015) 092006



- Data saturated by color singlet $O^{\eta_c}(1S_0^{[8]})$ contribution, little room for $O^{\eta_c}(3S_1^{[8]})$ component
- Heavy quark spin symmetry: $O^{\eta_c}(3S_1^{[8]}) \approx O^{J/\psi}(1S_0^{[8]})$
- However large $O^{J/\psi}(1S_0^{[8]})$ contribution for J/ψ , required to describe cross-section and polarisation
 - LDMEs from J/ψ analysis strongly overshoot η_c data
 - Confirming cancellation between two LDMEs ($3S_1^{[8]}$ and $3P_J^{[8]}$) for J/ψ ? or LDMEs not universal?
- Studying other systems [Talk by J.-P. Lansberg]

Production p_T -scaling

PL B773 (2017) 476



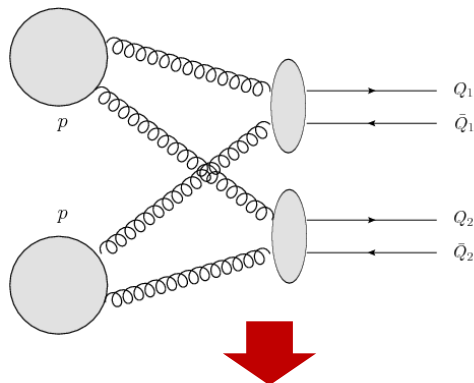
- Differential production as a function of p_T/m has similar shape (within the same y)
 - Charmonium and bottomonium; ground states and excited states
 - Implying similar short distance dynamics?
- Explanation from first principles? Recent progress on NNLO CSM shows missing contributions in NNLO* don't change the magnitude [arXiv: 1809.02369]

Associated production

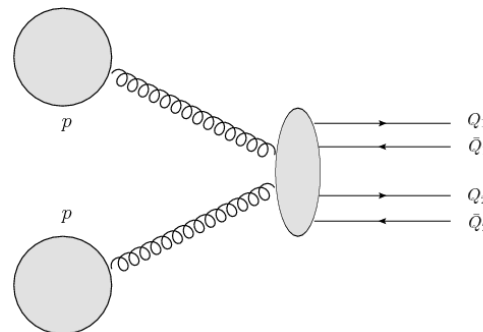
- Double prompt J/ψ production at $\sqrt{s} = 13$ TeV
 - JHEP 10 (2017) 068
 - JHEP 06 (2017) 047
- Υ + charm production at $\sqrt{s} = 7,8$ TeV
- Double charm production at $\sqrt{s} = 7,8$ TeV
 - JHEP 07 (2016) 052
 - JHEP 06 (2012) 141

Double parton scattering

Double-parton scattering (DPS)

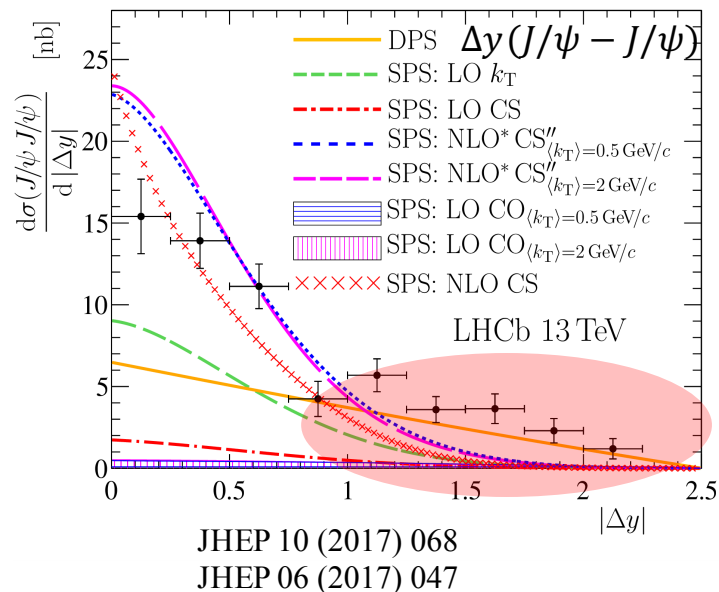
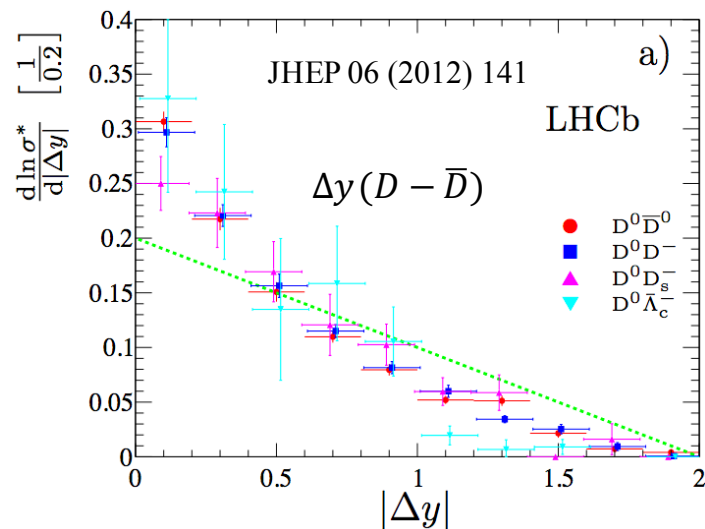
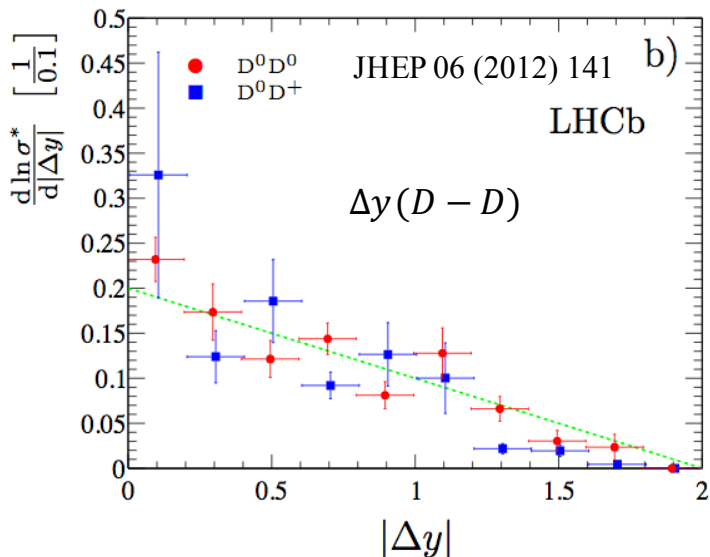


Single-parton scattering (SPS)



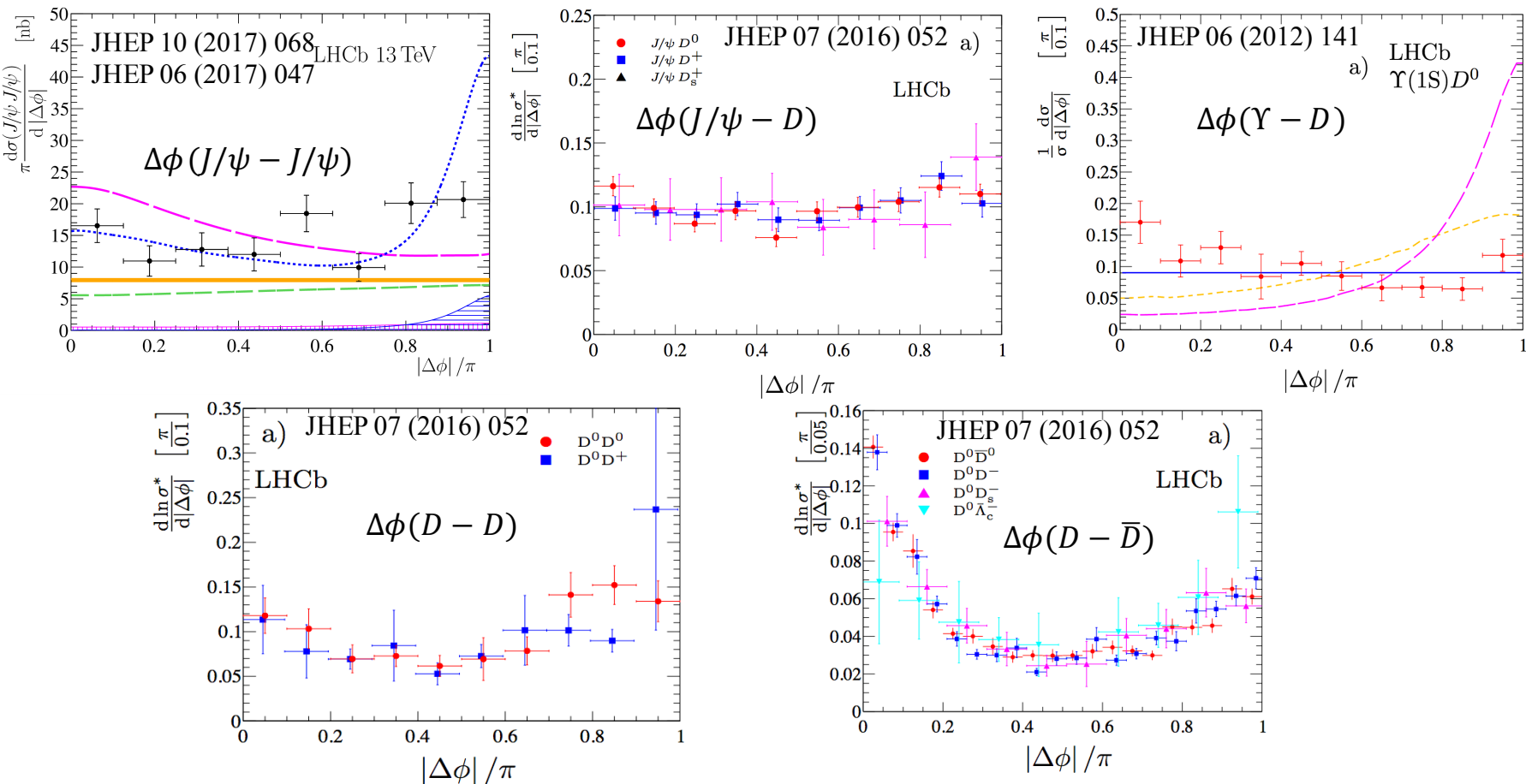
- Information on parton transverse profile and multi parton correlations
- DPS cross-section $\sigma_{Q_1 Q_2} = \alpha \frac{\sigma_{Q_1} \sigma_{Q_2}}{\sigma_{\text{eff}}}$, α is a permutation number
 - Generalized Parton Distributions factorized into transverse and longitudinal components
 - Distributions of two partons uncorrelated
 - $\sigma_{\text{eff}} = \int d^2 \mathbf{b} F^2(\mathbf{b})$: integral of overlapping parton transverse profile, expected to be process independent \rightarrow universal
 - DPS like two uncorrelated SPS processes
- Identifying DPS contribution and studying universality of σ_{eff}

Double heavy flavor production



- Larger Δy in same sign charm compared with opposite charm, comparable with uncorrelated production
 - $D\bar{D}$ dominated by single $c\bar{c}$ pair production
- Δy between $J/\psi - J/\psi$ disfavors SPS-only production at LO/NLO*
- Wider Δy distribution requires DPS
 - Higher order effect?

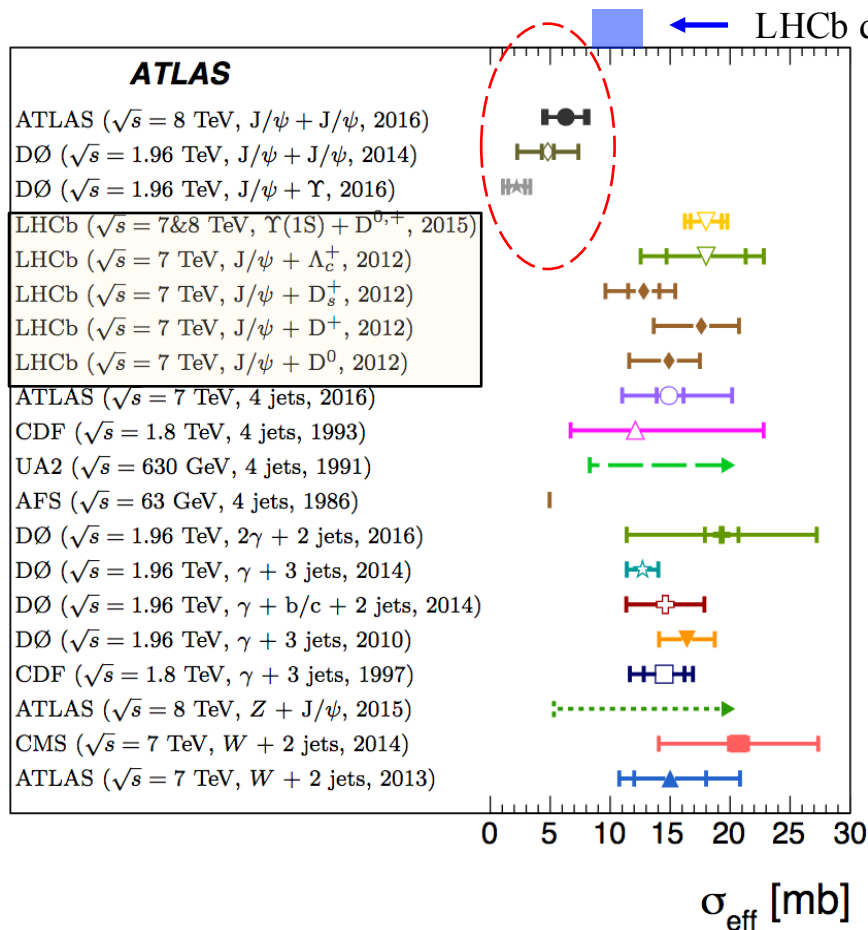
Double heavy flavor production



- Peaking at $\Delta\phi = 0$ for opposite-sign charm pairs \rightarrow production with gluon splitting
- Approximately flat $\Delta\phi$ distribution between quarkonium-charm, same-sign charm \rightarrow uncorrelated production, consistent with DPS

Effective cross-section: σ_{eff}

Experiment (energy, final state, year)



$$\sigma_{\text{eff}} = \alpha \frac{\sigma_{Q_1} \sigma_{Q_2}}{\sigma_{Q_1 Q_2} (\text{DPS})}$$

- σ_{SPS} subtracted for double J/ψ analysis using theory templates
 - DPS dominates over SPS
- Assuming SPS negligible for $DD, J/\psi, \Upsilon D$ samples, giving a lower limit on σ_{eff}
- σ_{eff} at LHCb consistent with data of jets/EW productions: ~ 15 mb
- σ_{eff} for (double) quarkonia measured by ATLAS/DØ/CMS prefers a low value
 - f_{DPS} overestimated? Dominated by SPS, $f_{\text{DPS}} \approx 10\%$

DPS enhanced in heavy ion collisions, σ_{eff} studied in cleaner environment !

PRL 118 (2017) 122001

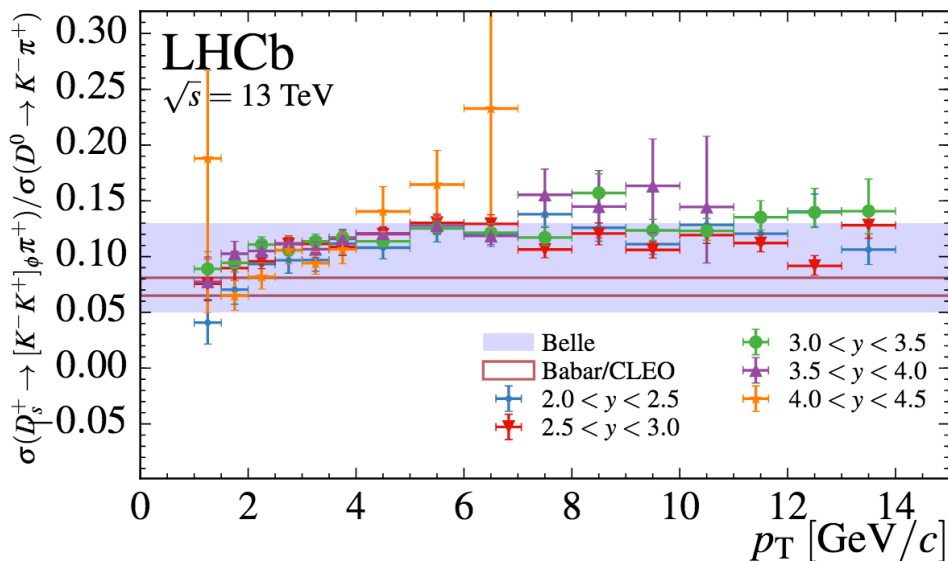
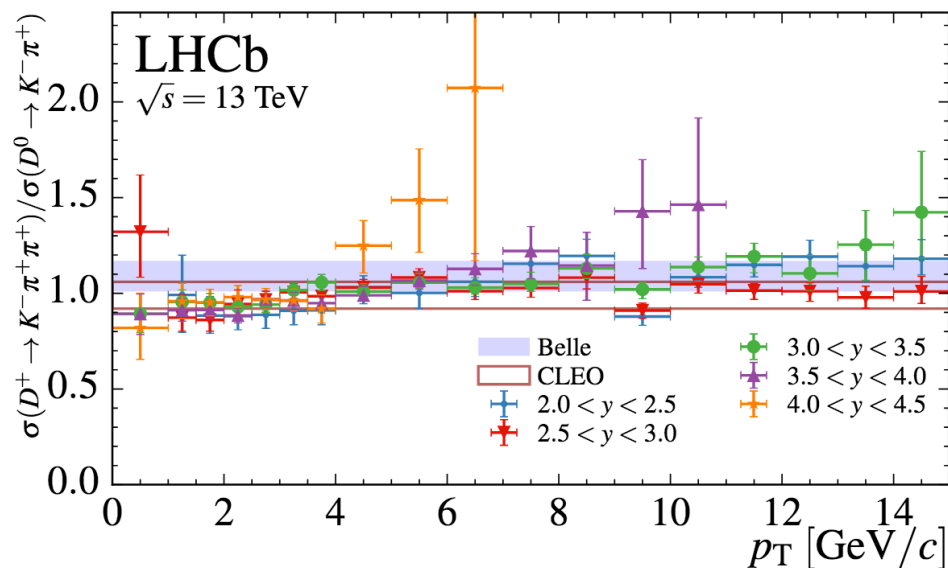
Summary

- A variety of measurements of heavy flavour production by LHCb
 - Studying pQCD calculations
 - Constraining PDF at low- x
 - Experimental data for heavy quark fragmentation
 - Quarkonium production testing NRQCD factorisation
 - Experimental evidence of DPS, studying factorisation of generalized parton distributions
- To be resolved
 - Large CO for J/ψ but CS dominating for η_c
 - NRQCD LDMEs can't describe η_c and J/ψ consistently? What about other systems?
 - Baryon fragmentation function, its kinematic dependence
 - σ_{eff} not universal? Process/Kinematic dependence

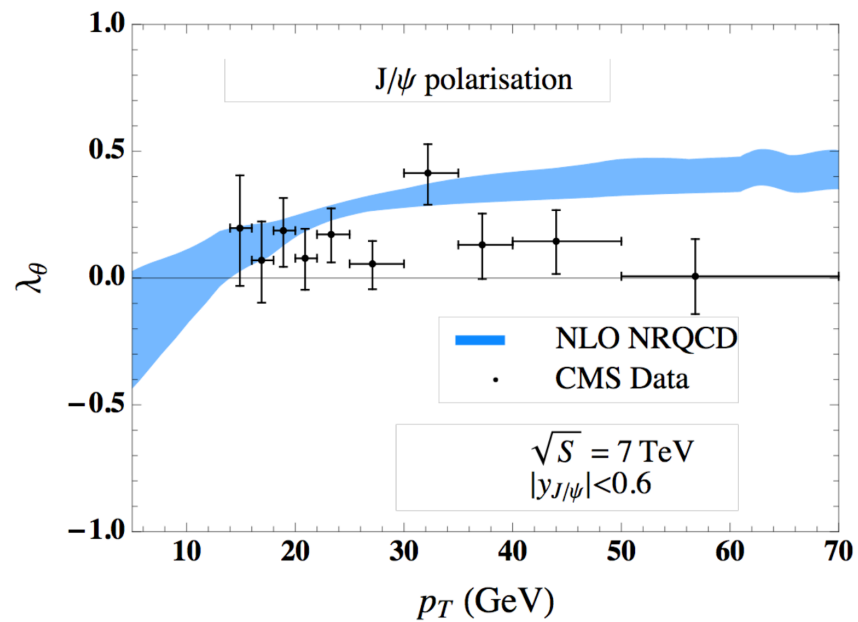
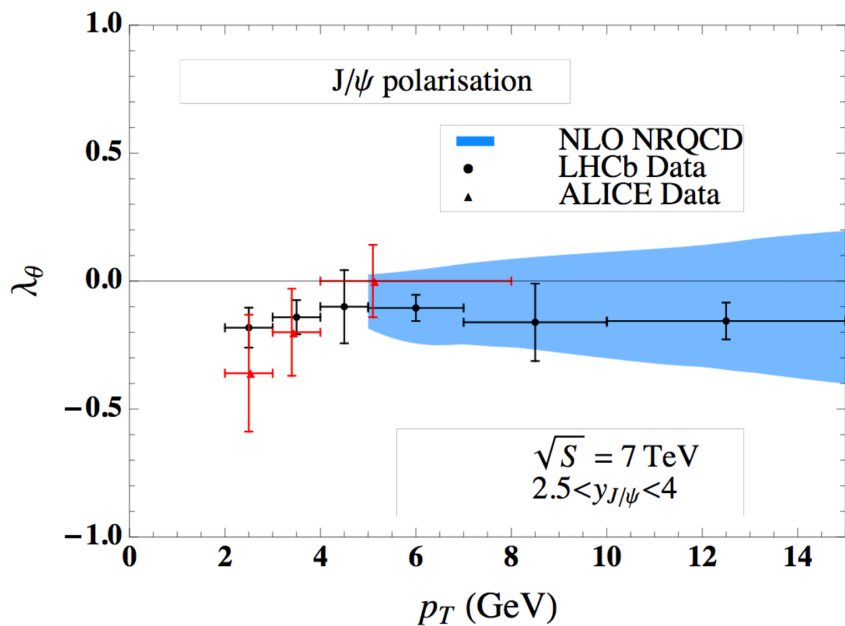
Thank you for your attention

Backups

Charm production ratios

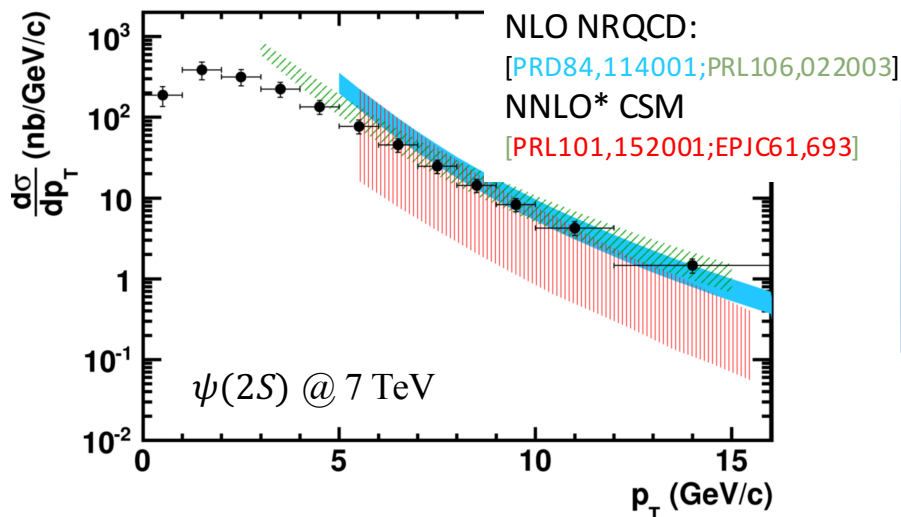
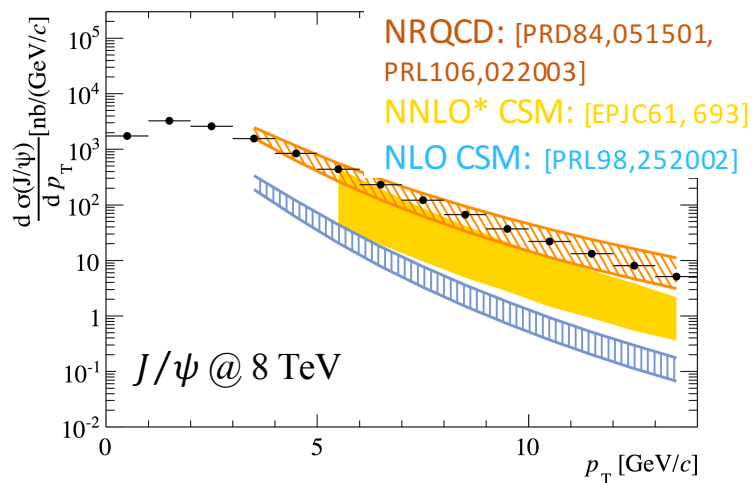
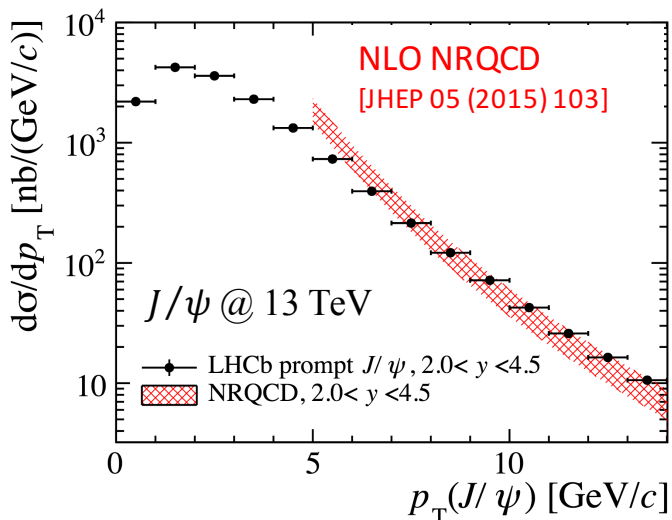


J/psi polarisation with NRQCD



p_T distributions: prompt ψ

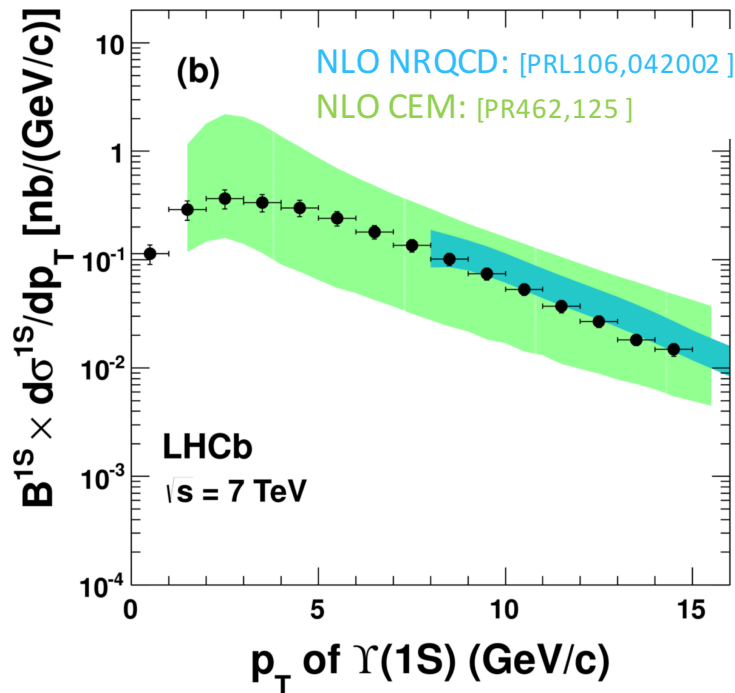
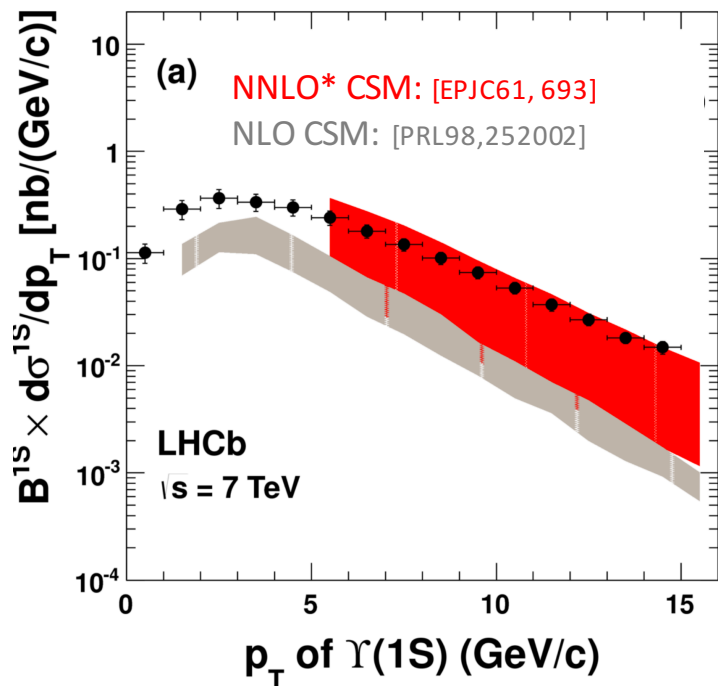
LHCb: EPJC72 (2012) 2100, JHEP 06 (2013) 64, JHEP 10 (2015) 172



- In good agreement with NLO NRQCD predictions
- NLO or NNLO* CSM calculations underestimates data

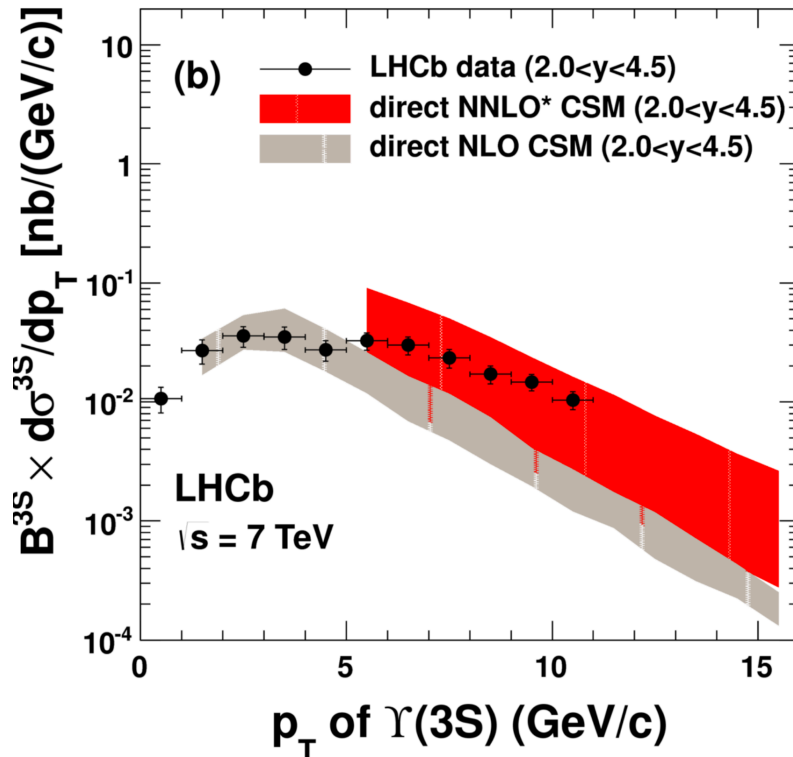
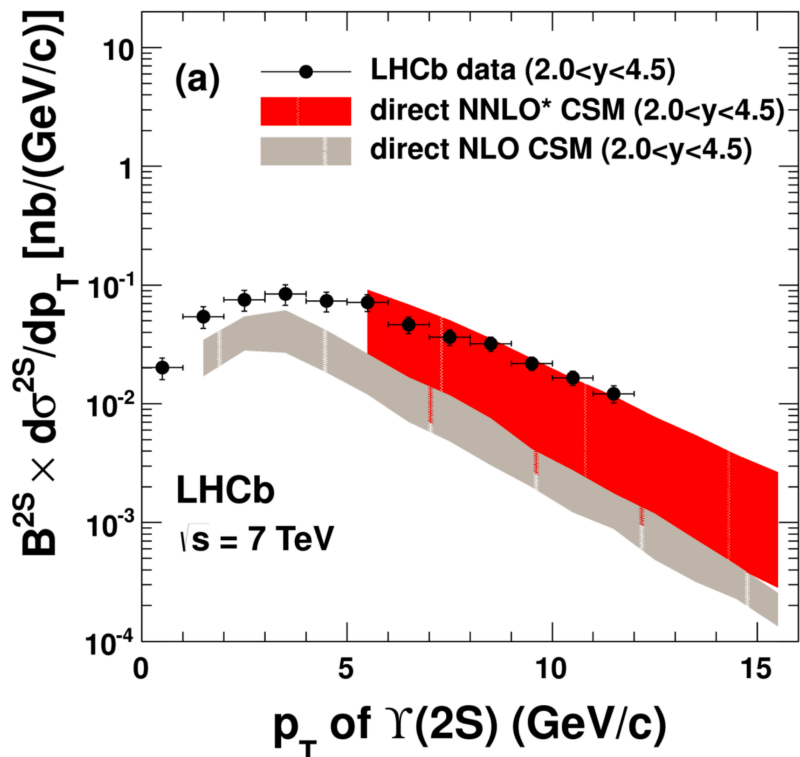
p_T distributions: Υ @7 TeV

LHCb: EPJC72 (2012) 2025



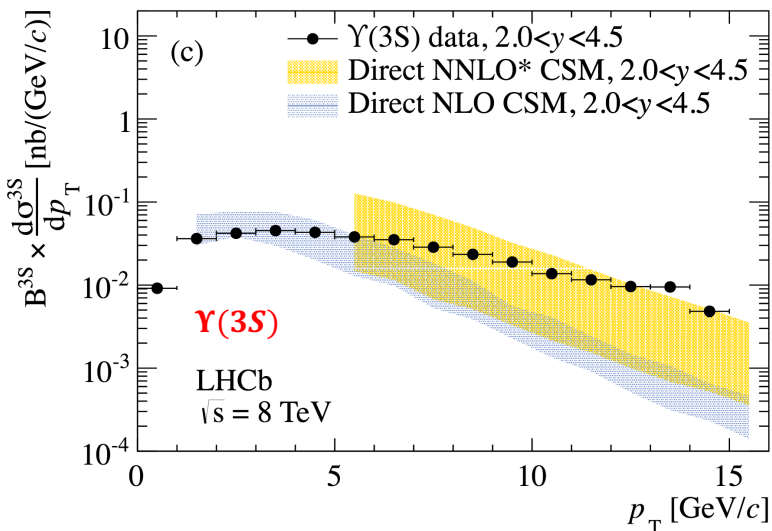
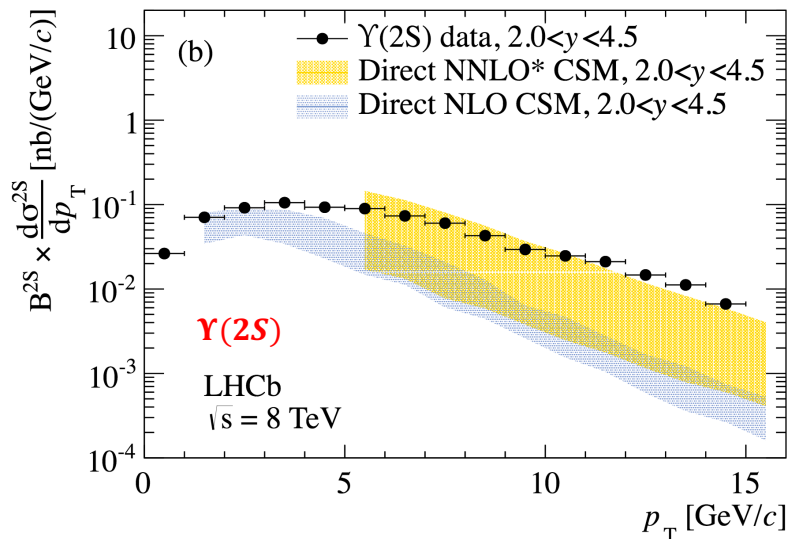
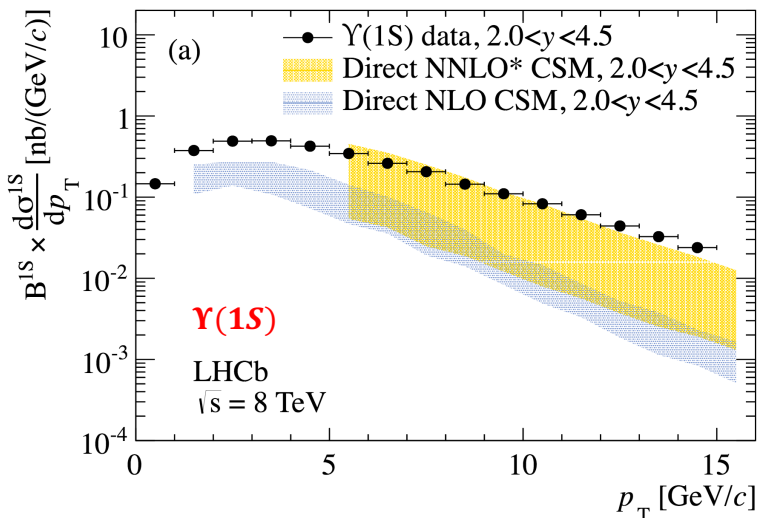
- p_T distributions consistent with NRQCD and CEM, but not with CSM
- NLO (NNLO*) CSM calculations underestimate p_T differential cross-section
- Agreement with NRQCD and CEM are better

p_T distributions (Υ @7 TeV)



NLO/NNLO* CSM
[PRL101,152001,PRL98,252002]

p_T distributions for $\Upsilon(nS)$



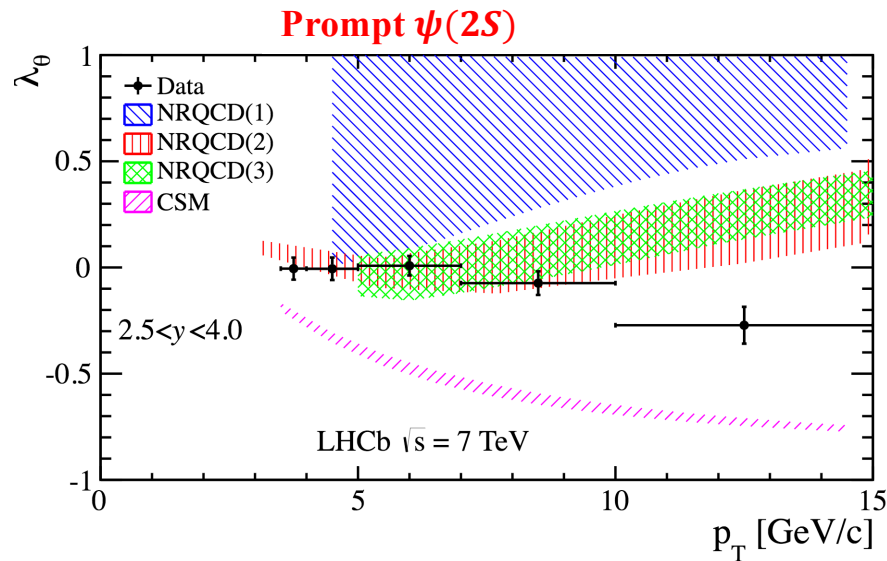
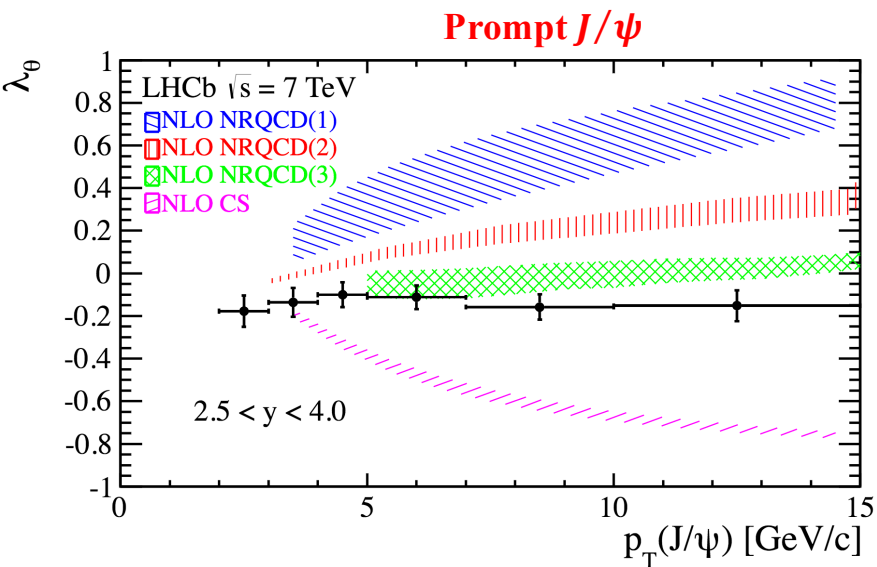
NNLO* CSM: [EPJC61, 693]

NLO CSM: [PRL98,252002]

NLO (NNLO*) CSM calculations underestimates Υ data and p_T distributions not predicted

Polarisation results

LHCb: EPJC73 (2013) 2631, EPJC74 (2014) 2872



NLO NRQCD

PRL 108 (2012) 172002

PRL 110 (2013) 042002

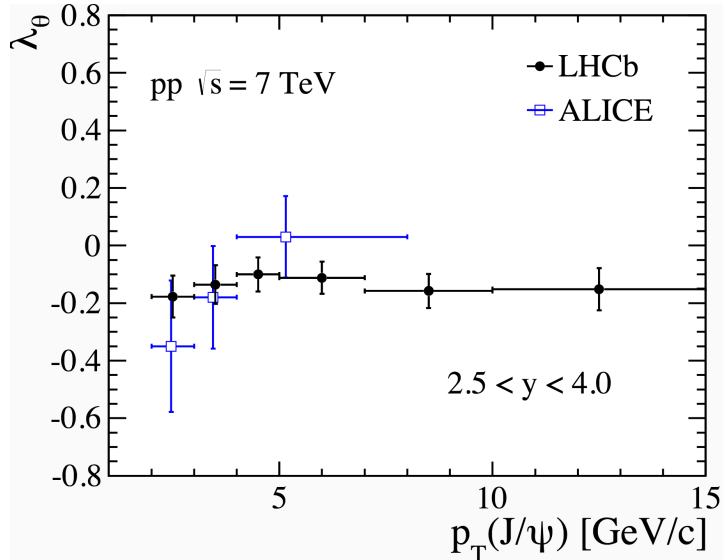
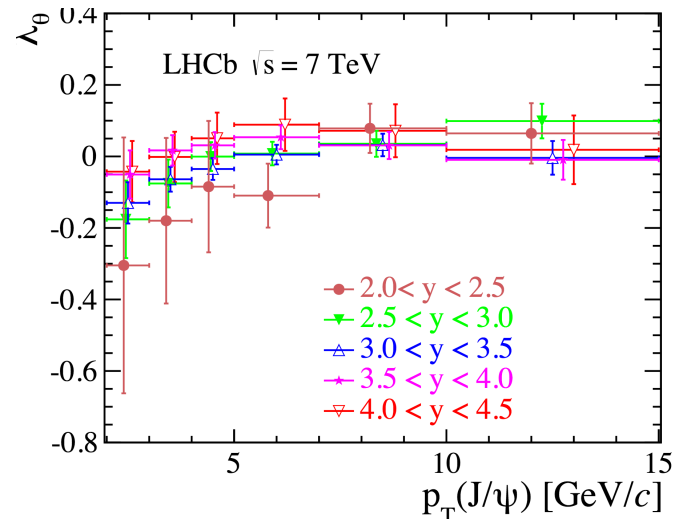
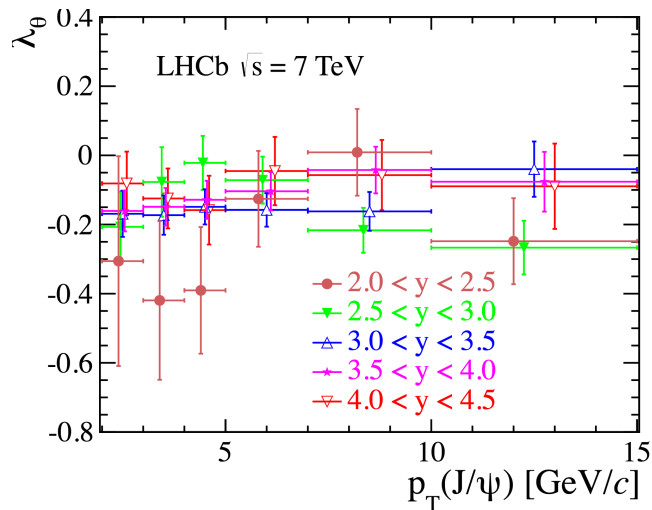
PRL 108 (2012) 242004

NLO CSM

PRL 108 (2012) 172002

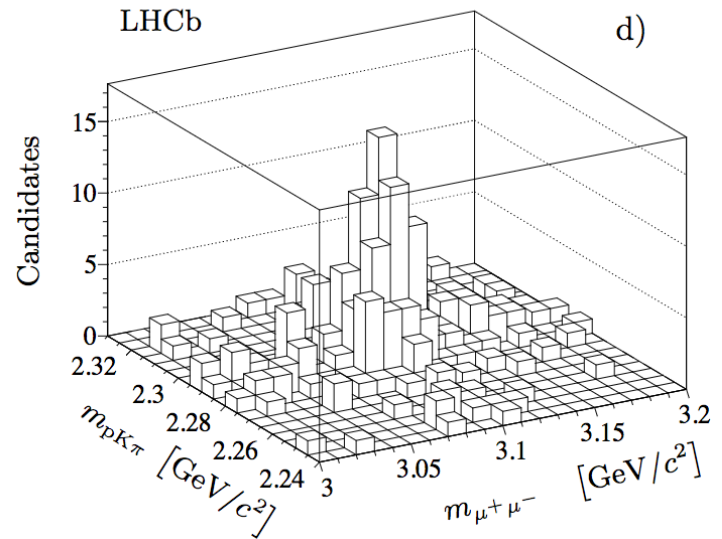
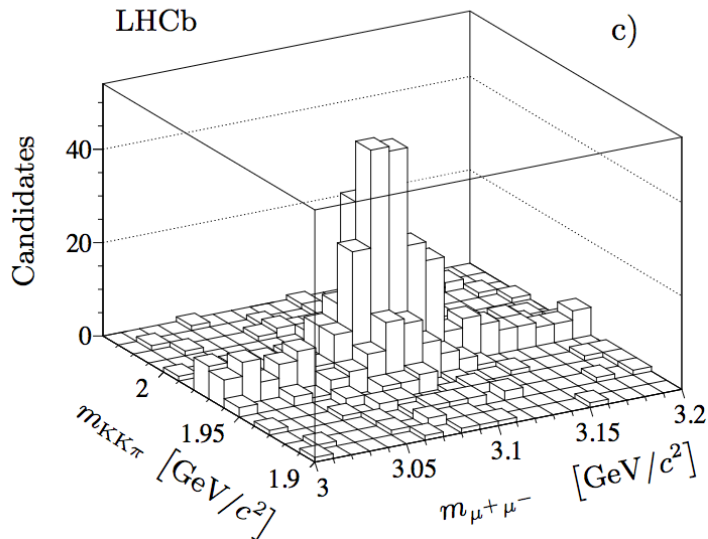
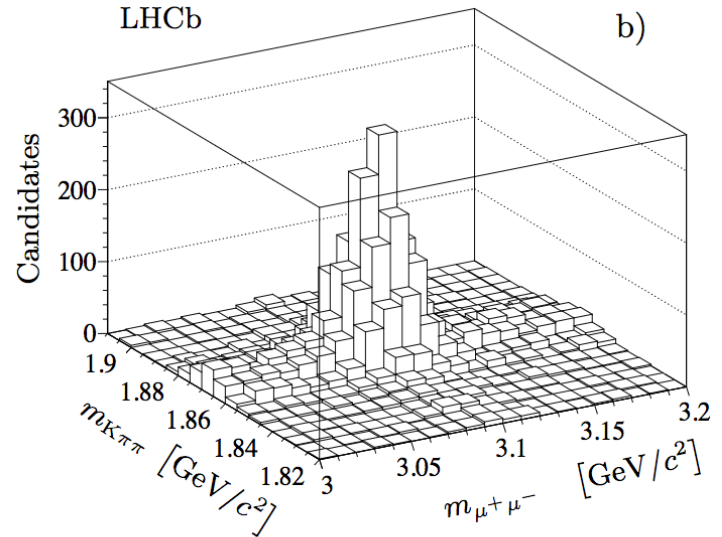
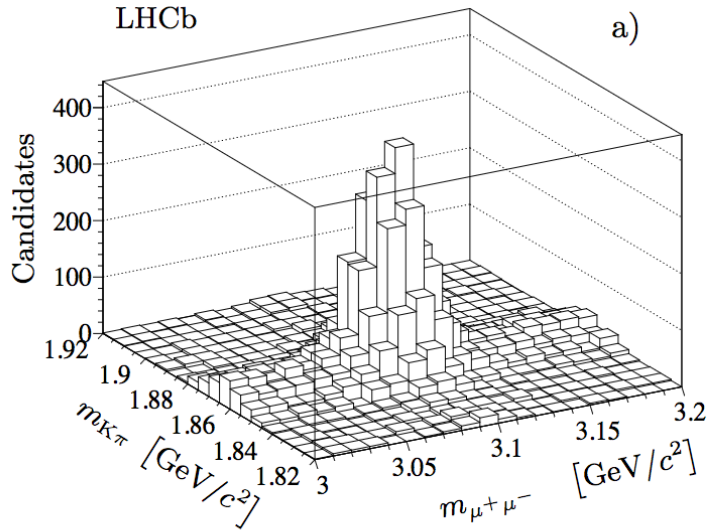
- Data consistent with no/small polarization
- No strong p_T dependence
- Rule out NLO CSM predictions
- NLO NRQCD calculations also not satisfactory

Polarization analysis



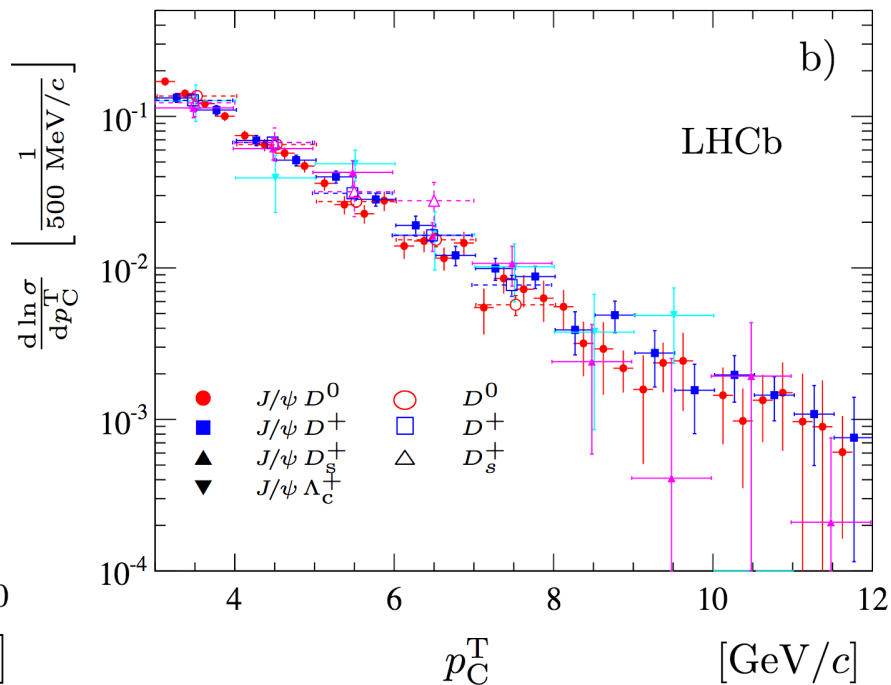
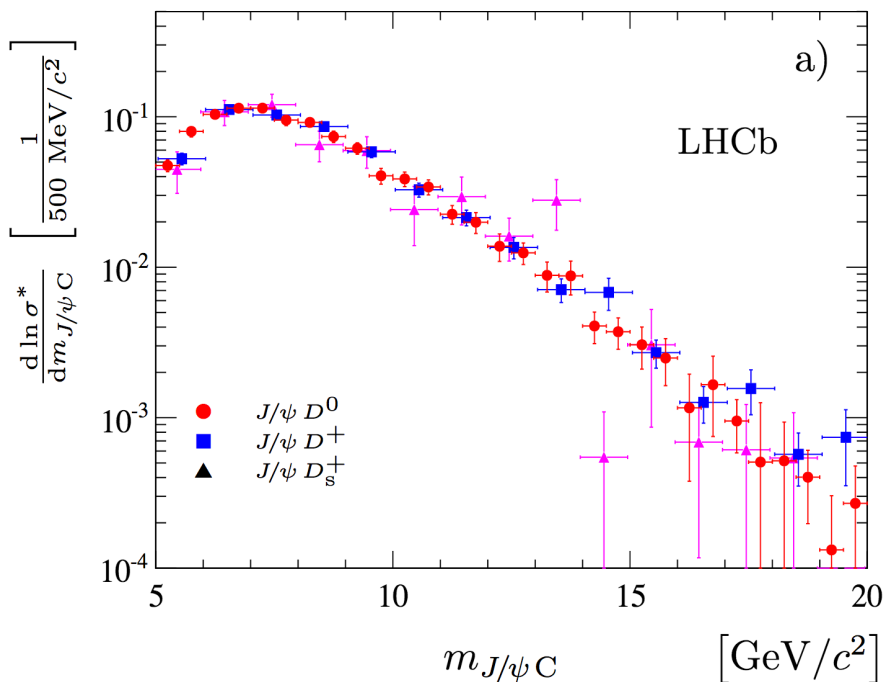
$J/\psi + \text{open charm mass}$

- 2D mass plots



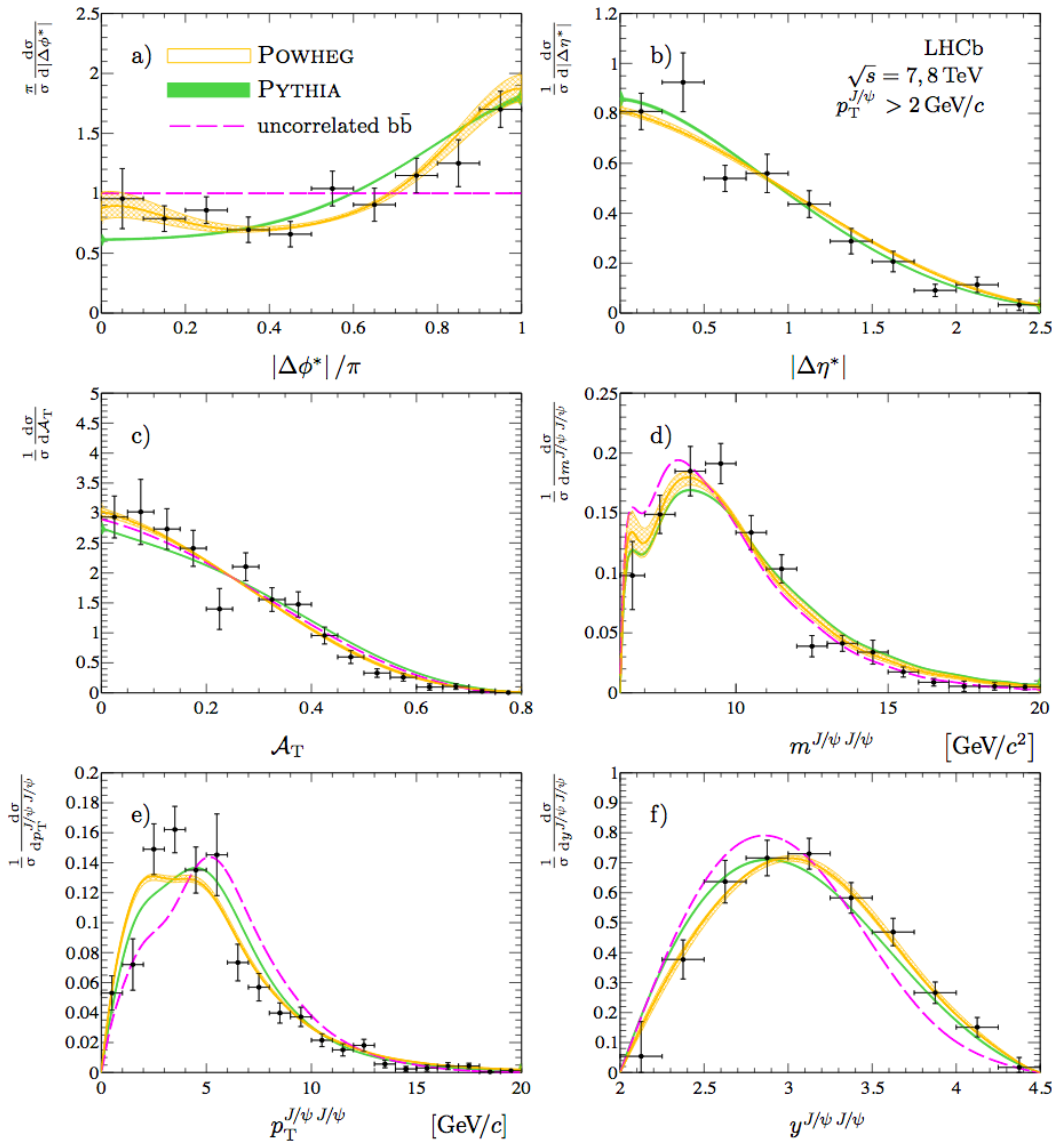
$J/\psi + \text{open charm}$

- Invariant mass and charm p_T



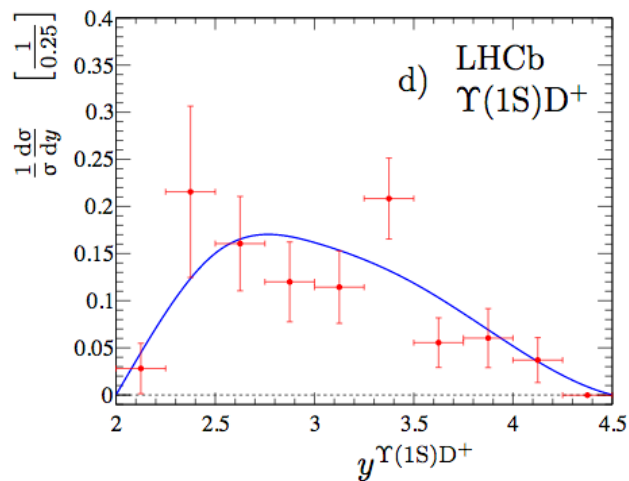
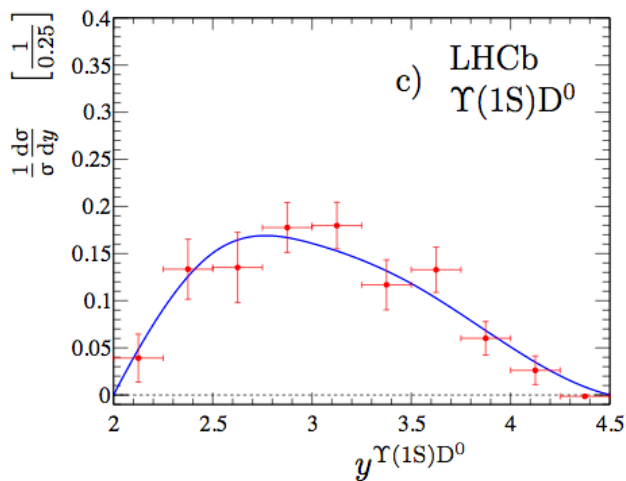
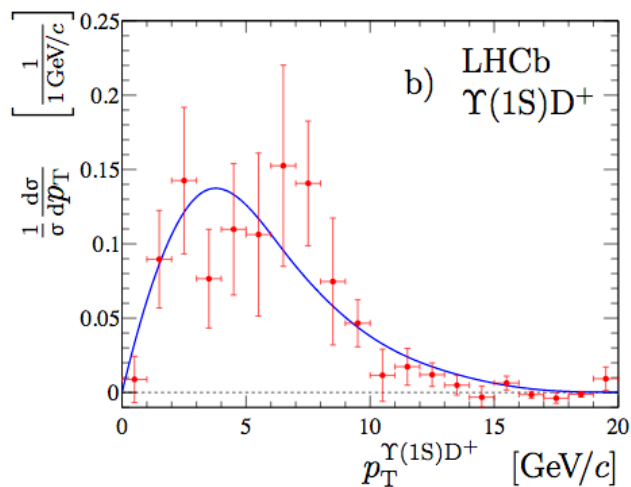
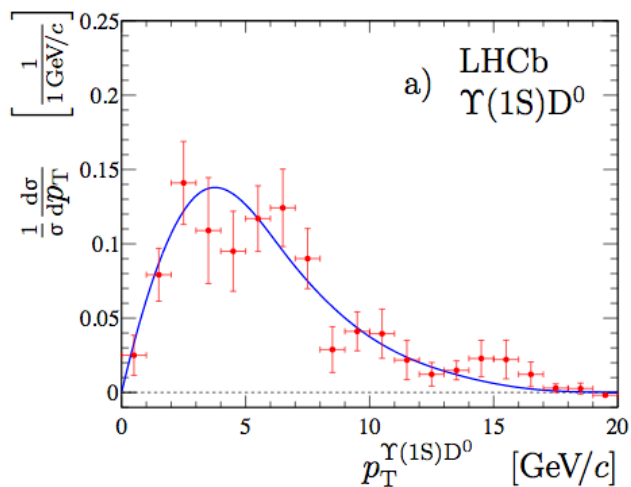
Charm p_T distributions similar to inclusive ones

Double detached J/ψ



- Two beauty hadrons are correlated
- Production from gluon splitting not large
- Consistent with Pythia and POWHEG

$\Upsilon + D$



- Distribution consistent with that produced from uncorrelated Υ and D production