

# Deep Learning for Dark Showers

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4th LLP Workshop, 2018-10-25

Results based on  
*QCD or What?*  
arXiv: 1808.08979



Universität Hamburg

DER FORSCHUNG | DER LEHRE | DER BILDUNG

Emmy  
Noether-  
Programm

Deutsche  
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DFG



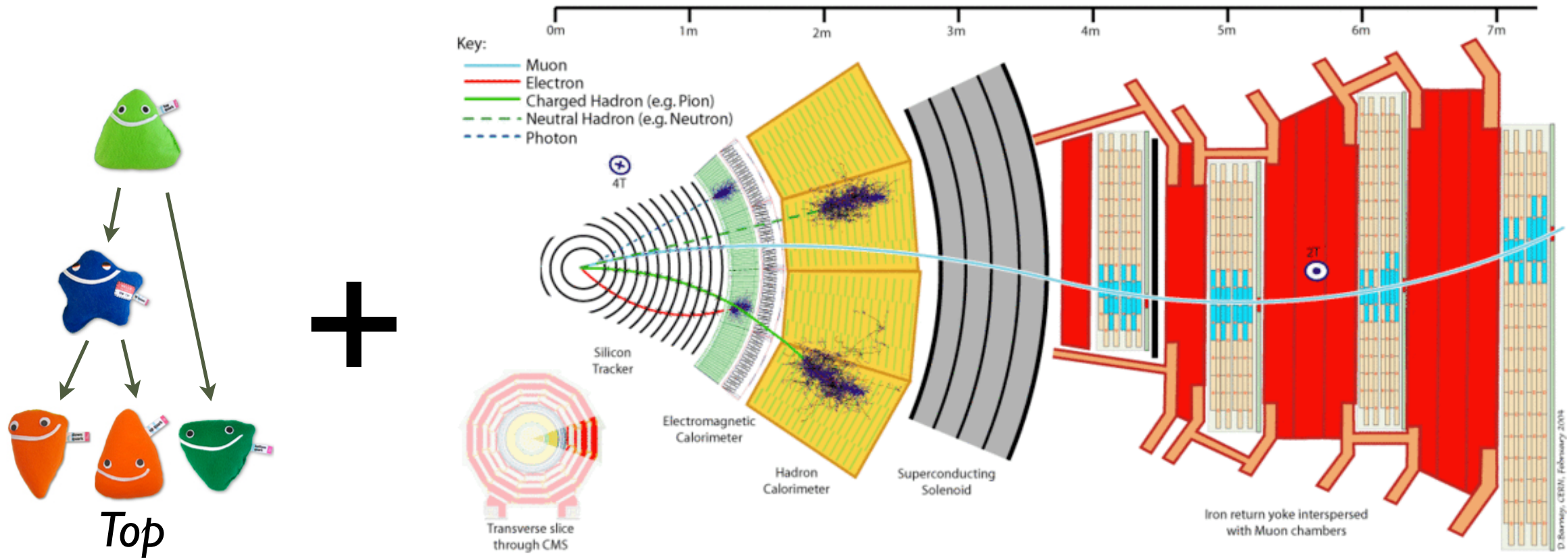
Bundesministerium  
für Bildung  
und Forschung



# Overview

- *Jet Images & Convolutional Networks*
- *Autoencoders*
- *Adversarial Training*
- *Dark Showers*

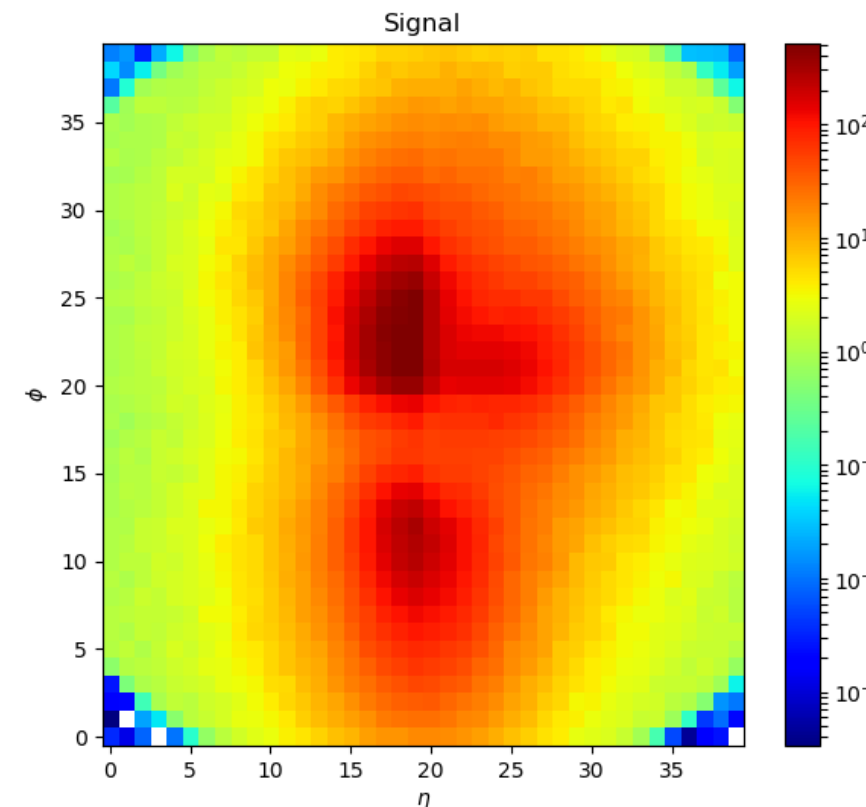
# Jet Images & Convolutional Networks



- Reconstruct energy with calorimeter (improve resolution using tracker)
- Cluster energy deposits into jet
- Preprocess:

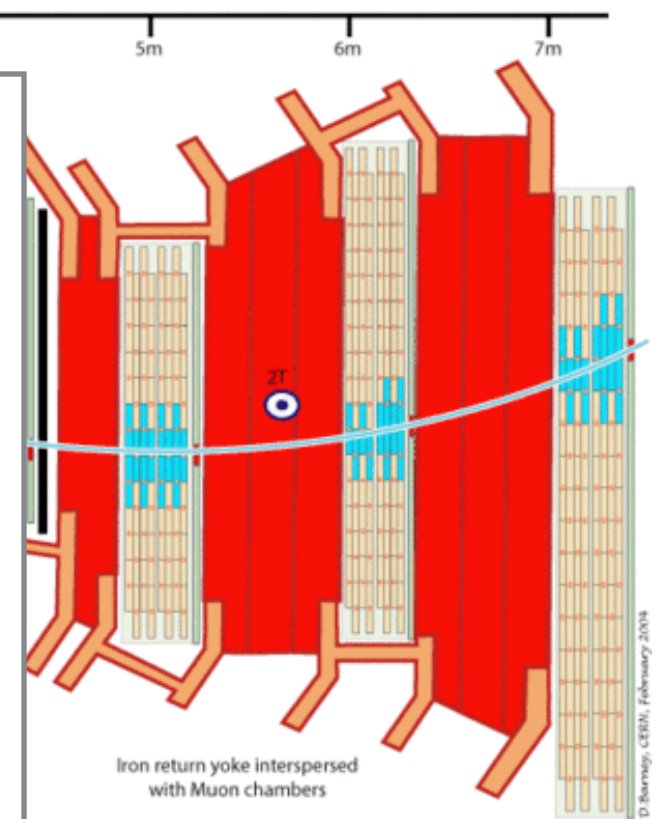
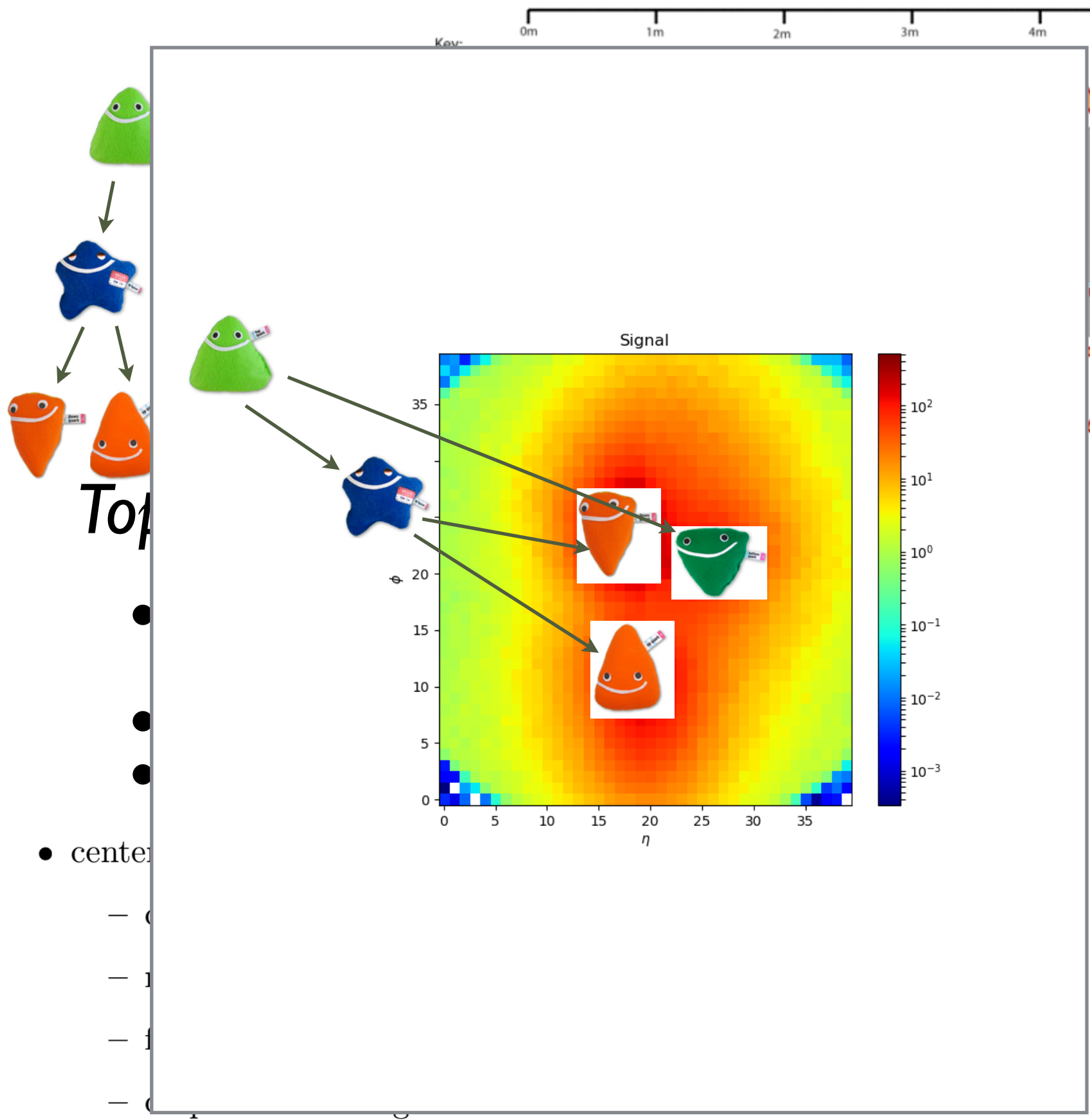
- center  $\rightarrow$  rotate  $\rightarrow$  flip (twice)  $\rightarrow$  pixelate  $\rightarrow$  crop  $\rightarrow$  normalise
  - center: centroid is at (0/0)
  - rotate: principal axis is vertical
  - flip: in  $(x < 0, y > 0)$ -plane maximum intensity
  - crop: to  $n \times n$  images
  - normalise: intensity of each pixel divided by total intensity

(Overlay of 100k images)

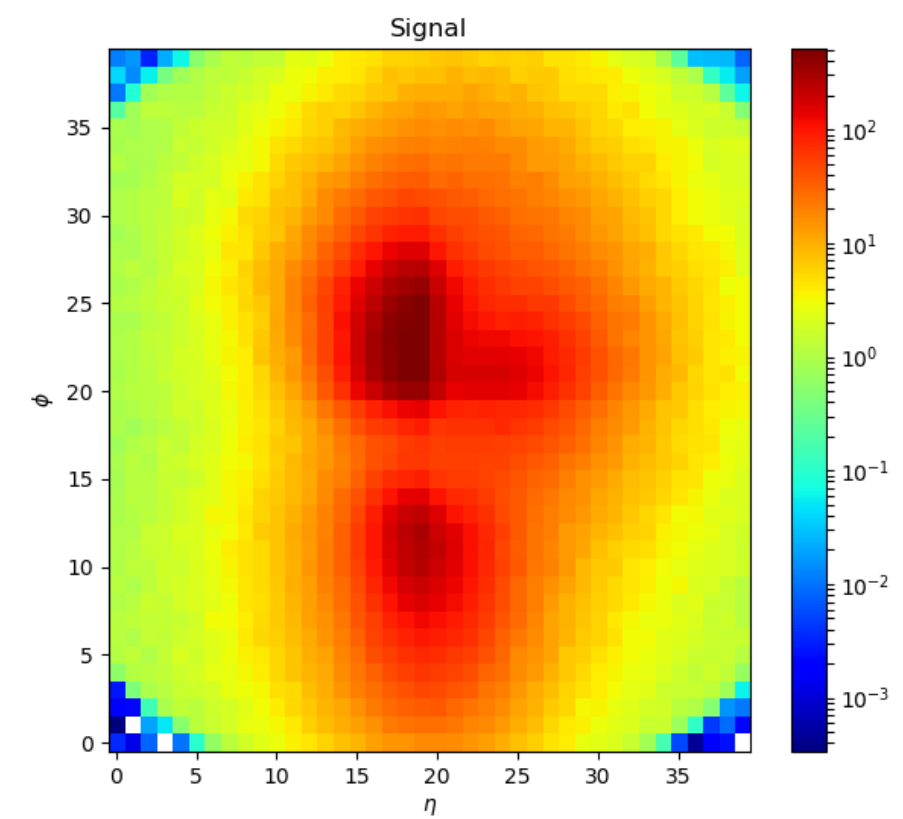


(jet images by Michel Luchmann)





(Overlay of 100k images)



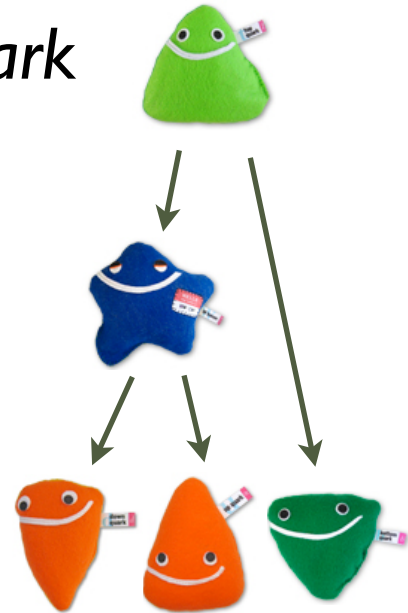
(jet images by Michel Luchmann)

• center

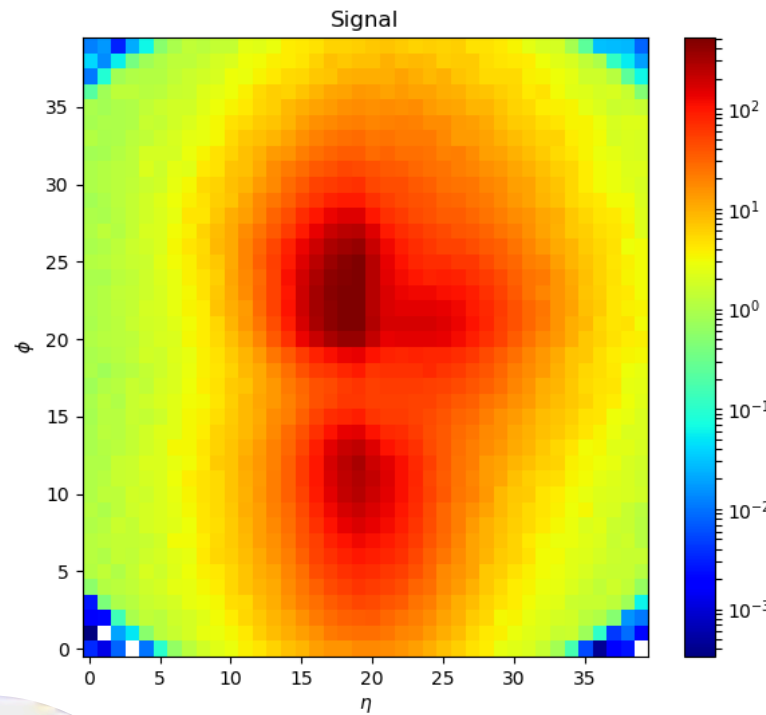
– normalise: intensity of each pixel divided by total intensity

# Supervised Classification

Top Quark Jet

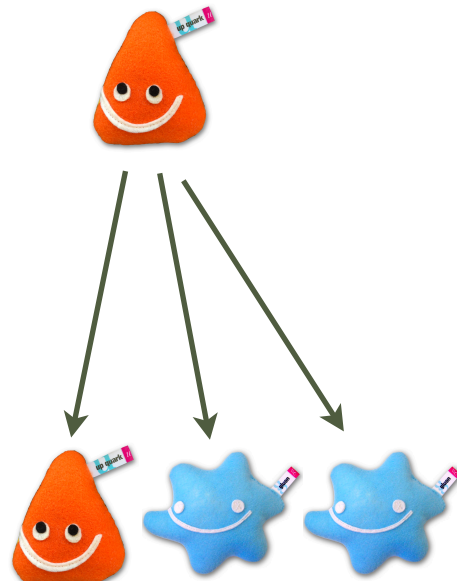


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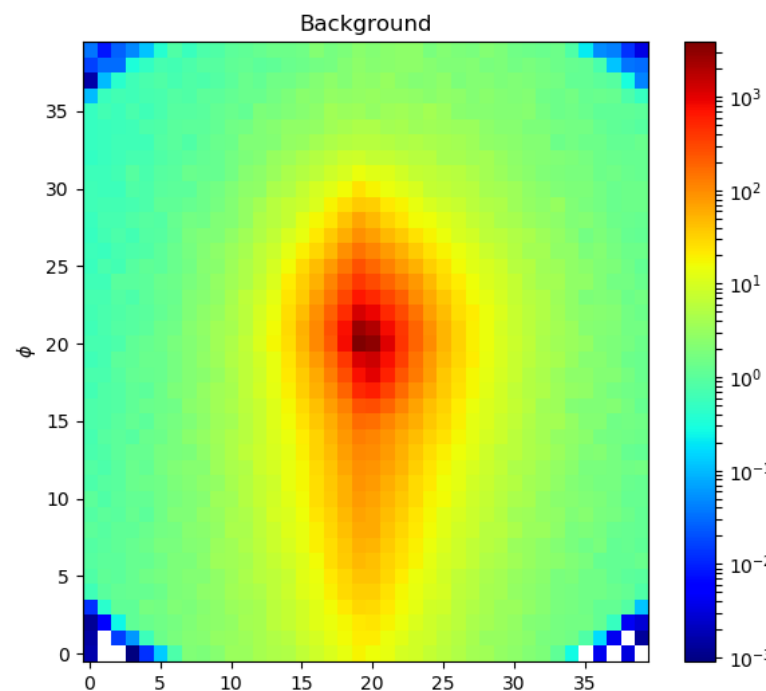


**V S**  
R=1.2

QCD Jet



=



- Binary classification task
- Fully supervised learning (using simulation)
- 40x40 Pixels,  $E_T$

*Deep-learning Top Taggers or The End of QCD?*  
GK, Tilman Plehn, Michael Russell, Torben Schell  
JHEP 05 (2017) 006

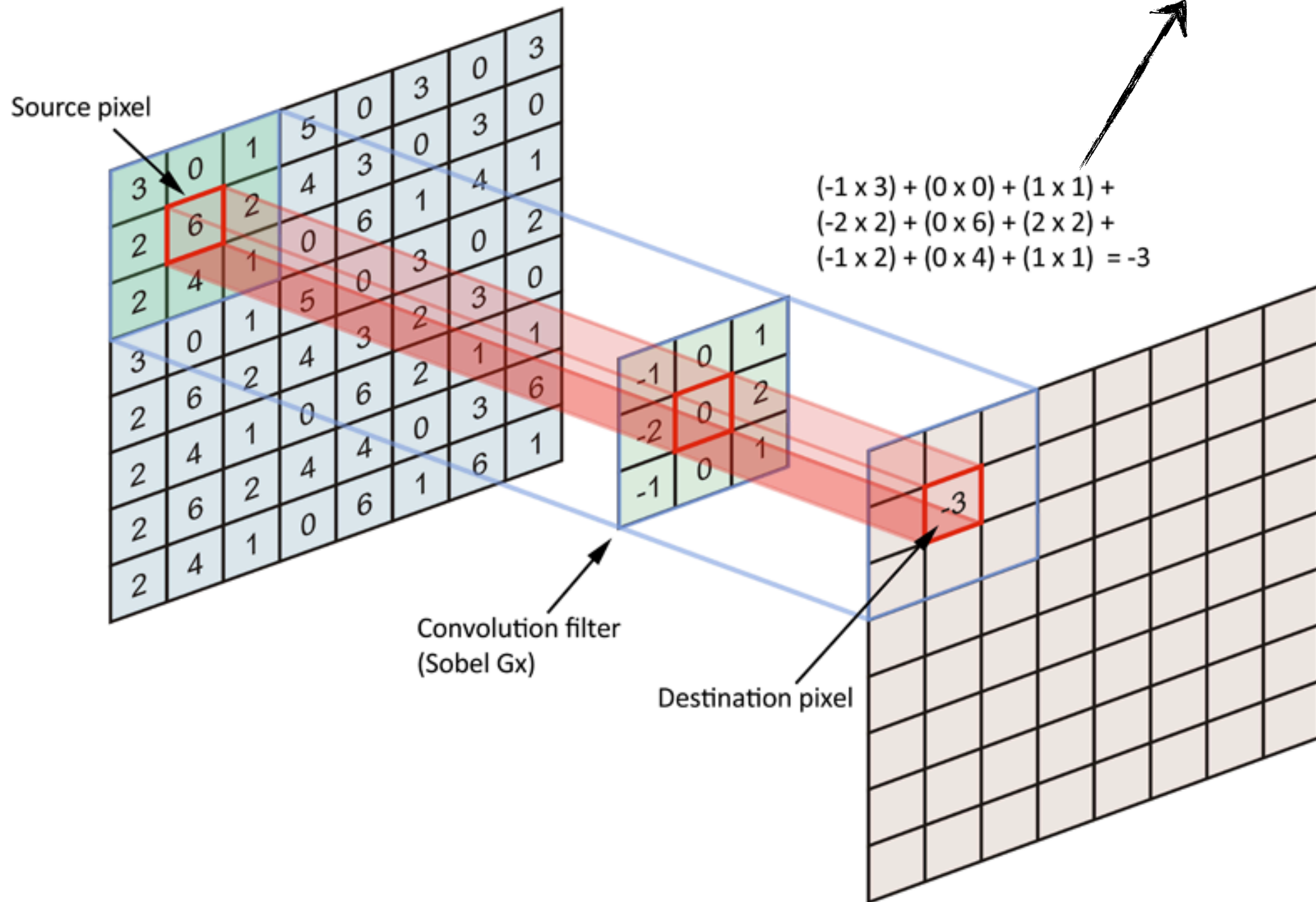
*Pulling Out All the Tops with Computer Vision and Deep Learning*, S Macaluso, D Shih,  
Origins:  
*Jet-Images: Computer Vision Inspired Techniques for Jet Tagging*  
J Cogan, M Kagan, E Strauss, A Schwartzman  
arXiv:1407.5675

*Jet-Images – Deep Learning Edition*  
Ld Oliveira, M Kagan, L Mackey, B Nachman, A Schwartzman  
JHEP 1607 069



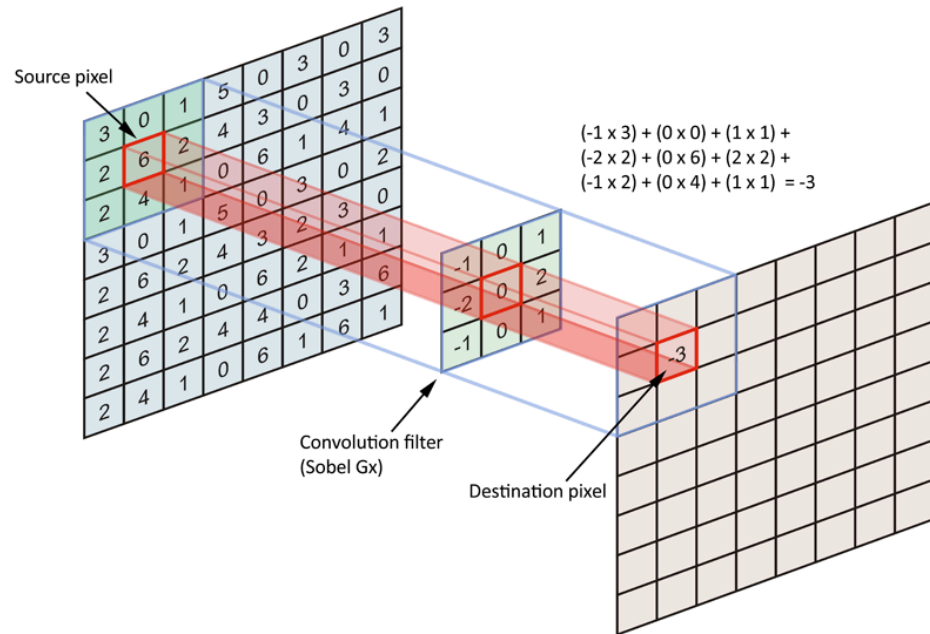
# Convolutional Layer

*That's the weights we want to train*



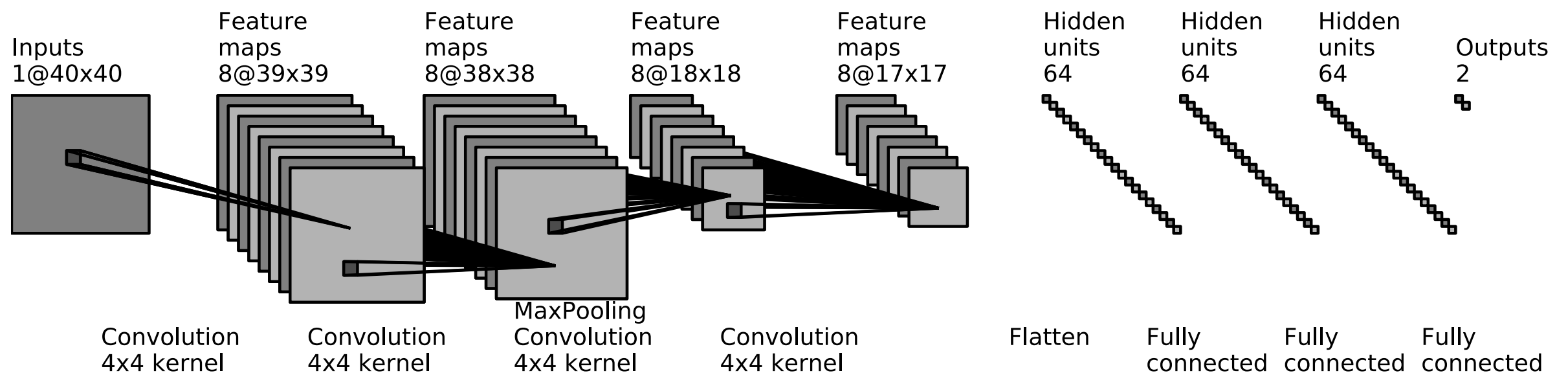
# Convolutional Network

- **How to build a convolutional network**



- Chain multiple conv layers
- Use multiple masks per layer
- Pooling
  - Max Pooling
  - Average Pooling

- Add a fully connected network in the end





# Performance

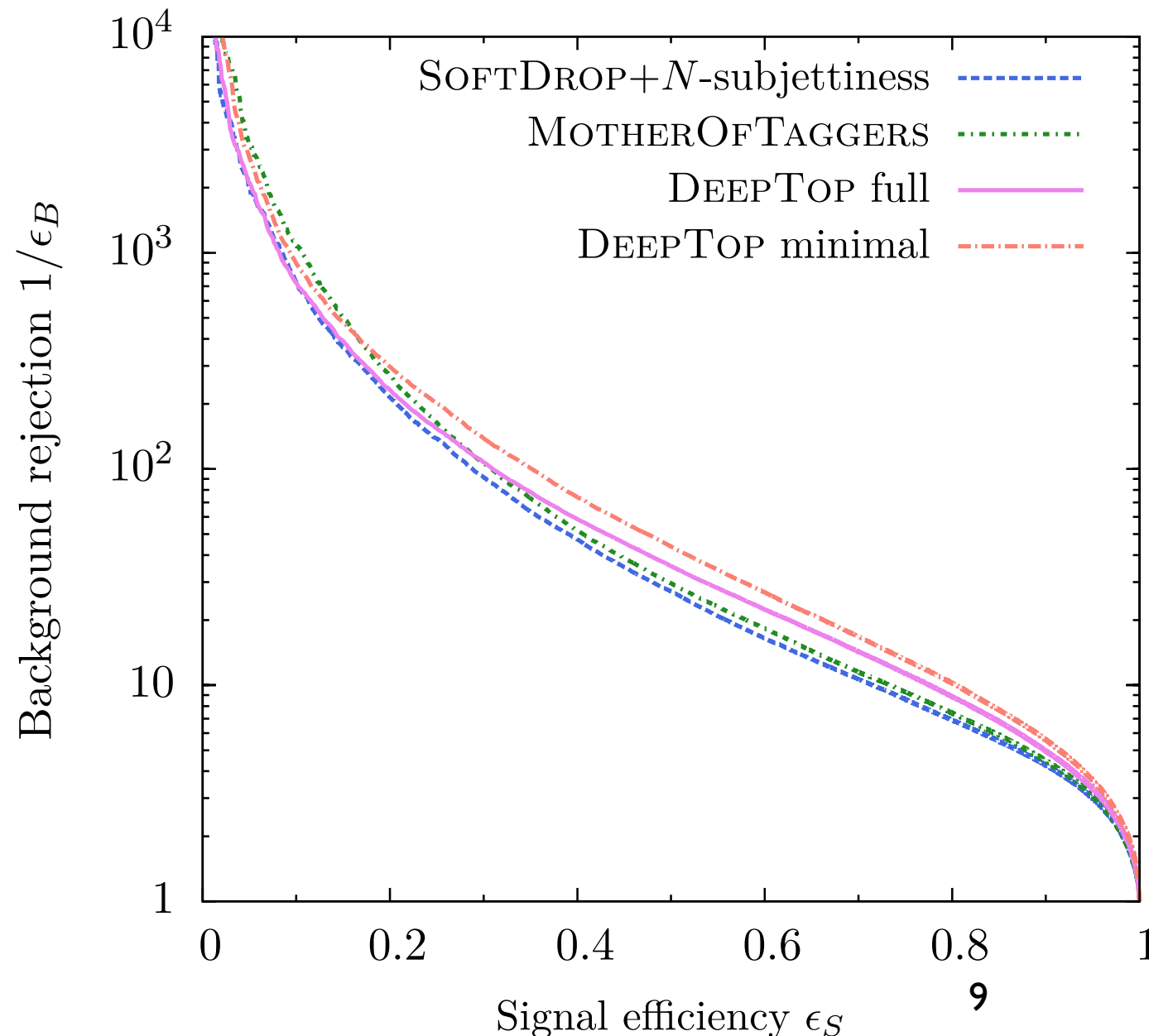
- Train a BDT on a set of standard tagging variables

SoftDrop + n-subjettiness:

$$\{ m_{\text{sd}}, m_{\text{fat}}, \tau_2, \tau_3, \tau_2^{\text{sd}}, \tau_3^{\text{sd}} \}$$

MotherOfTaggers:

$$\{ m_{\text{sd}}, m_{\text{fat}}, m_{\text{rec}}, f_{\text{rec}}, \Delta R_{\text{opt}}, \tau_2, \tau_3, \tau_2^{\text{sd}}, \tau_3^{\text{sd}} \}$$



- Advantages:

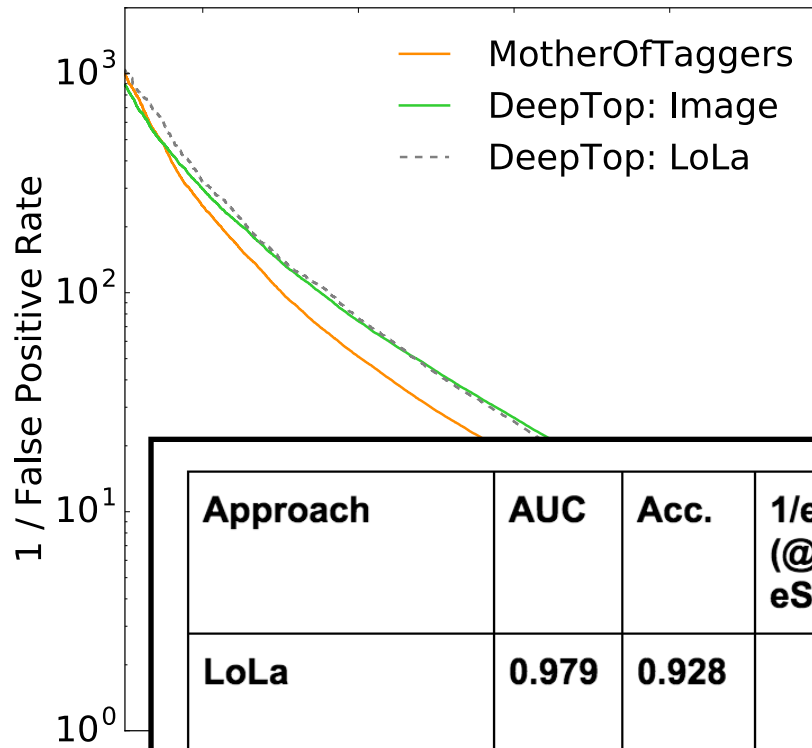
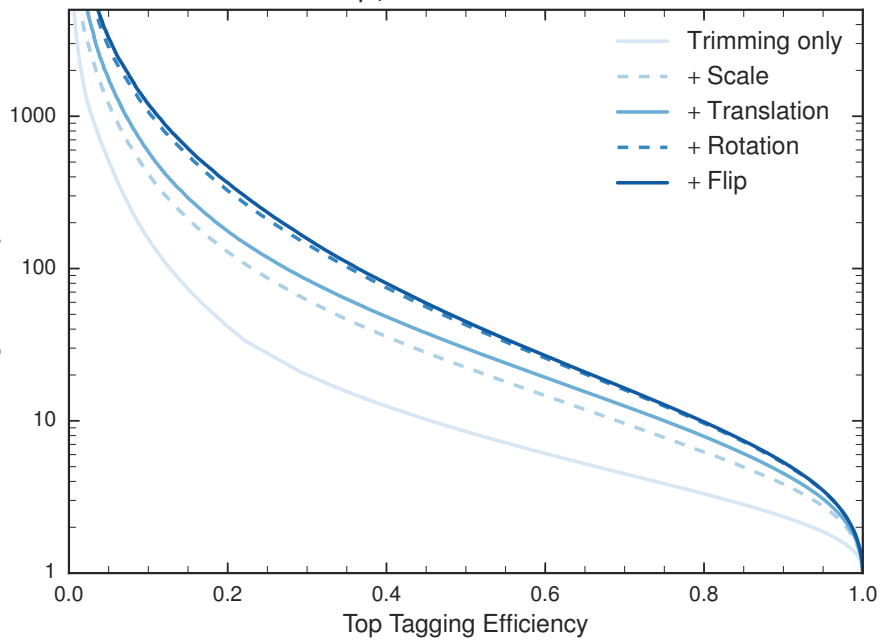
- Symmetry / structure
- Straightforward

- Potential Problems

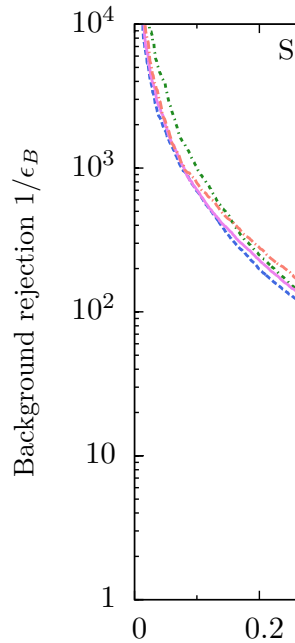
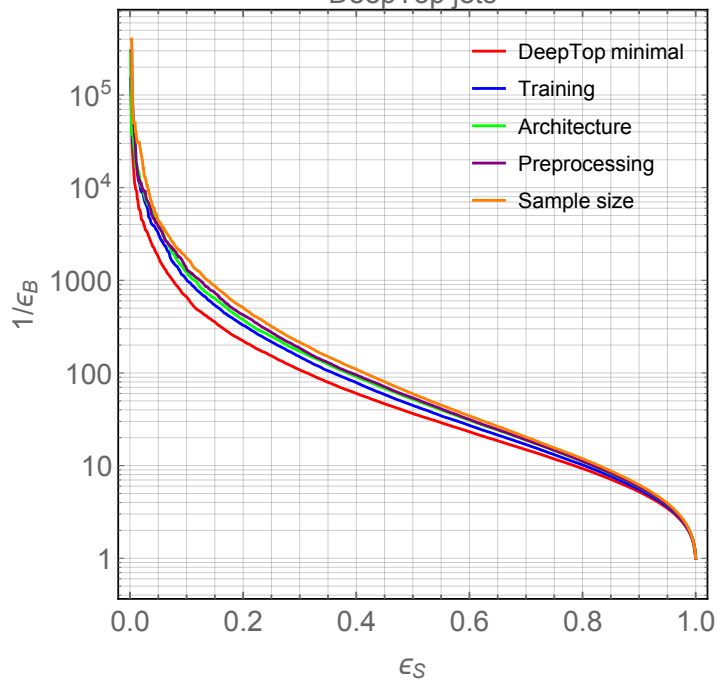
- Resolution
- Sparsity
- How to encode complex information

# Performance Overview

Jet  $p_T = 600 - 2500$  GeV



DeepTop jets

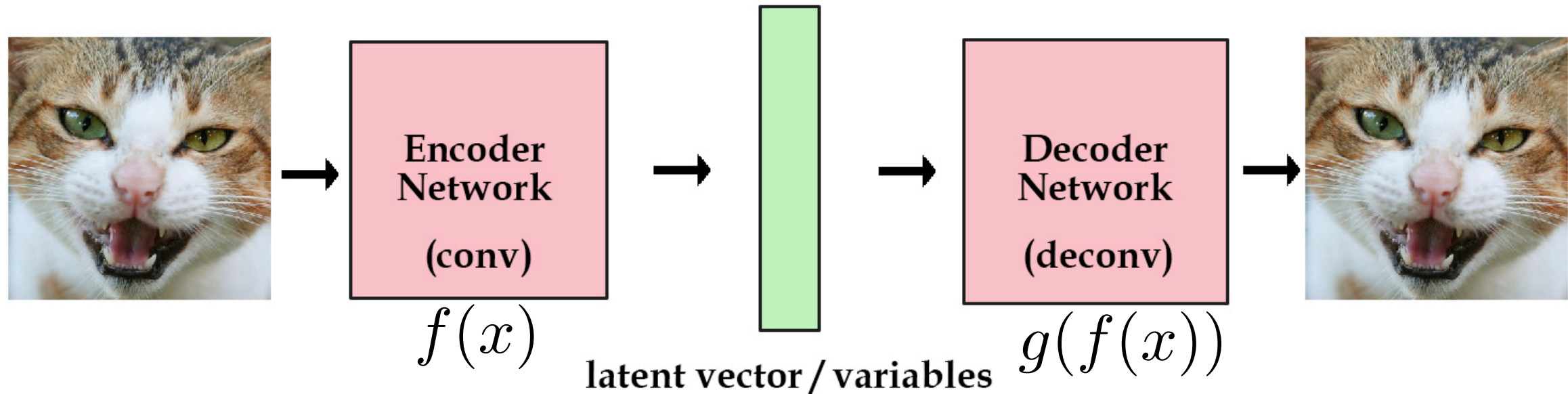


Approach	AUC	Acc.	1/eB (@ eS=0.3)	Contact	Comments
<b>LoLa</b>	<b>0.979</b>	<b>0.928</b>		<b>GK / Simon Leiss</b>	<b>Preliminary number, based on LoLa</b>
<b>LBN</b>	<b>0.981</b>	<b>0.931</b>	<b>863</b>	<b>Marcel Rieger</b>	<b>Preliminary number</b>
<b>CNN</b>	<b>0.981</b>	<b>0.93</b>	<b>780</b>	<b>David Shih</b>	<b>Model from <i>Pulling Out All the Tops with Computer Vision and Deep Learning (1803.00107)</i></b>
<b>P-CNN (1D CNN)</b>	<b>0.980</b>	<b>0.930</b>	<b>782</b>	<b>Huilin Qu, Loukas Gouskos</b>	<b>Preliminary, use kinematic info only (<a href="https://indico.physics.lbl.gov/indico/event/546/contributions/1270/">https://indico.physics.lbl.gov/indico/event/546/contributions/1270/</a>)</b>
<b>6-body N-subjettiness (+mass and pT) NN</b>	<b>0.979</b>	<b>0.922</b>	<b>856</b>	<b>Karl Nordstrom</b>	<b>Based on 1807.04769 (<i>Reports of My Demise Are Greatly Exaggerated: N-subjettiness Taggers Take On Jet Images</i>)</b>
<b>8-body N-subjettiness (+mass and pT) NN</b>	<b>0.980</b>	<b>0.928</b>	<b>795</b>	<b>Karl Nordstrom</b>	<b>Based on 1807.04769 (<i>Reports of My Demise Are Greatly Exaggerated: N-subjettiness Taggers Take On Jet Images</i>)</b>



# Autoencoders

# Autoencoder



$$L = (\hat{y} - g(f(x)))^2$$

- Self-supervised learning
- *Bottleneck* with compressed representation
- Dimension reduction
- Denoising
- Regularizers

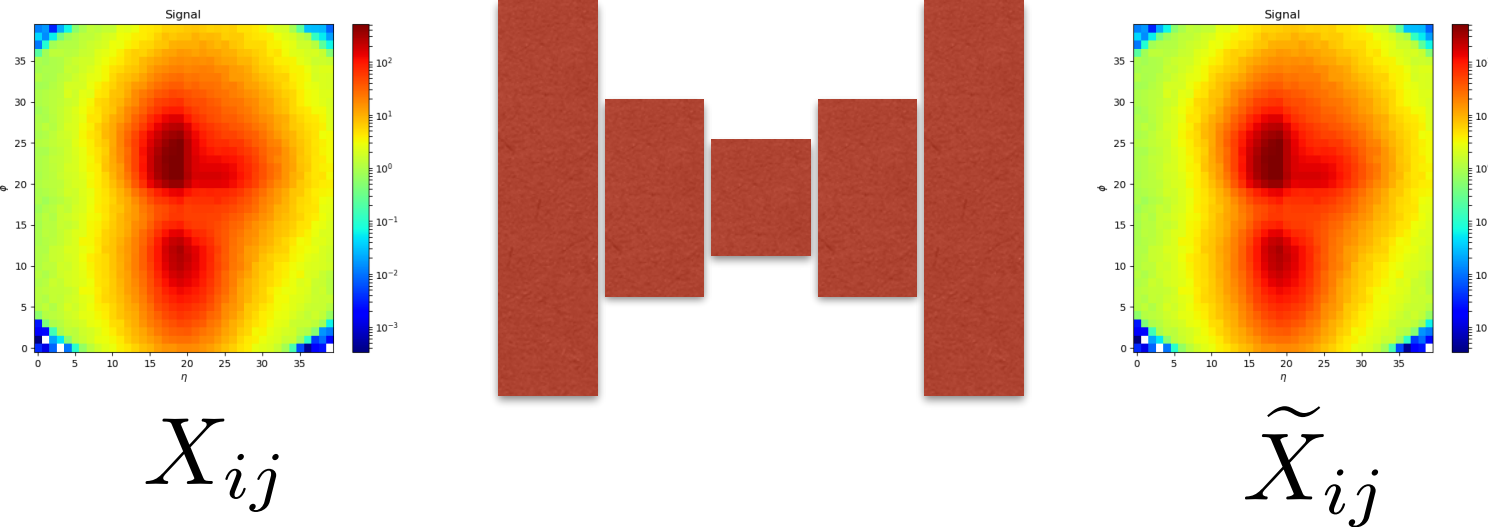


# Autoencoder for Physics

Input

Autoencoder

Output



$$L_{\text{Auto}} = \sum_{\text{Pixels } ij} \left( X_{ij} - \tilde{X}_{ij} \right)$$

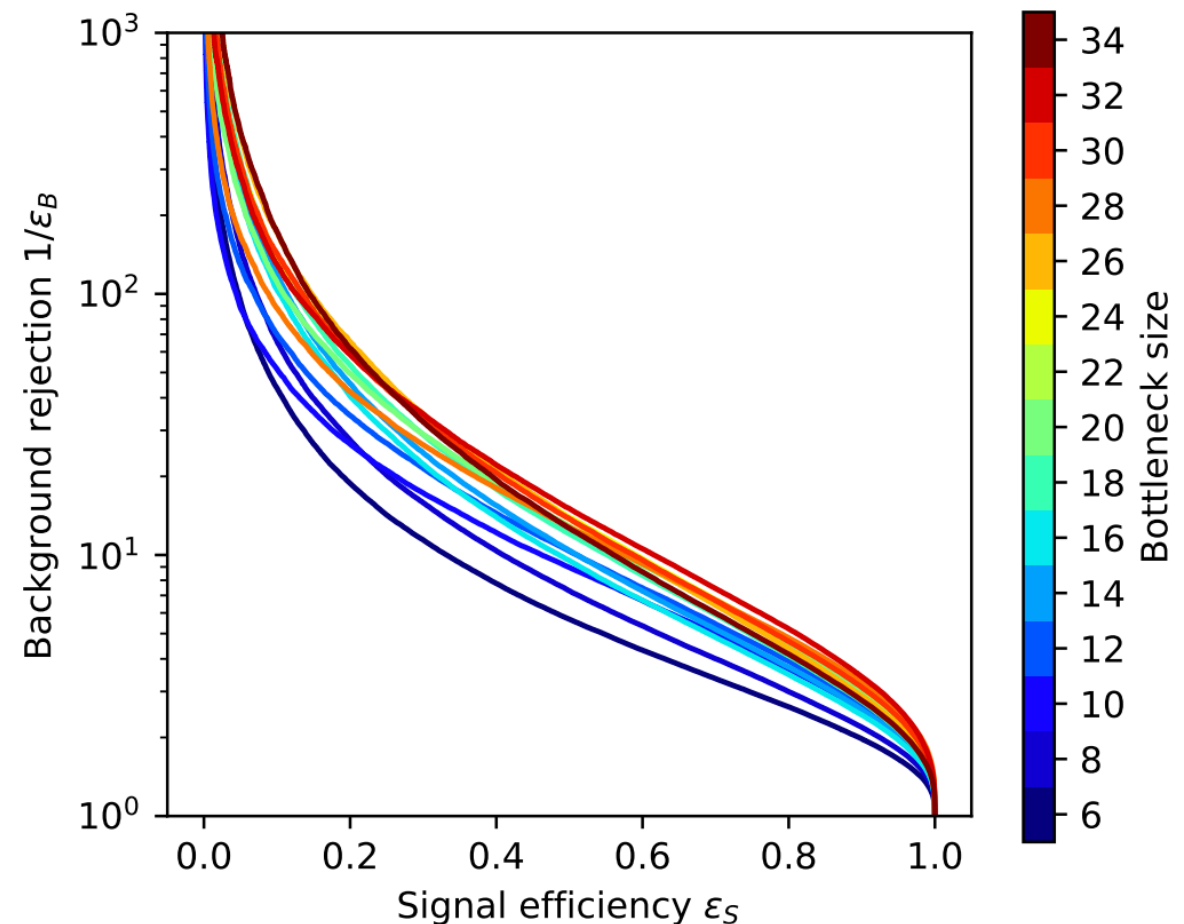
- Can we find new physics without knowing what to look for?
- Train on **pure** QCD light quark/gluon jets and apply to top tagging
- Top quarks identified as anomaly

*QCD or What?*

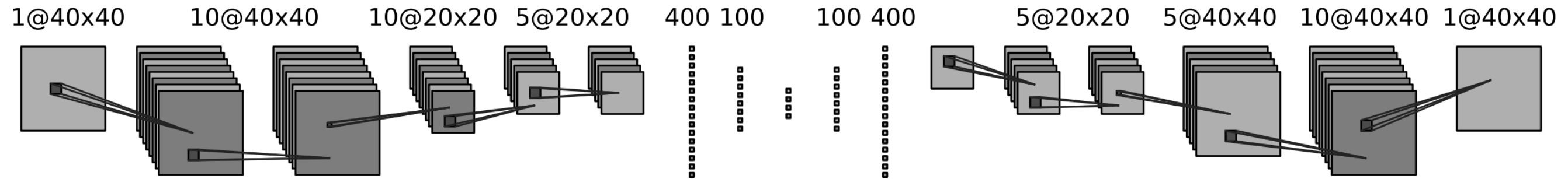
T Heime, GK, T Plehn, JM Thompson, 1808.08979

*Searching for New Physics with Deep Autoencoders*

M Farina, Y Nakai, D Shih, 1808.08992

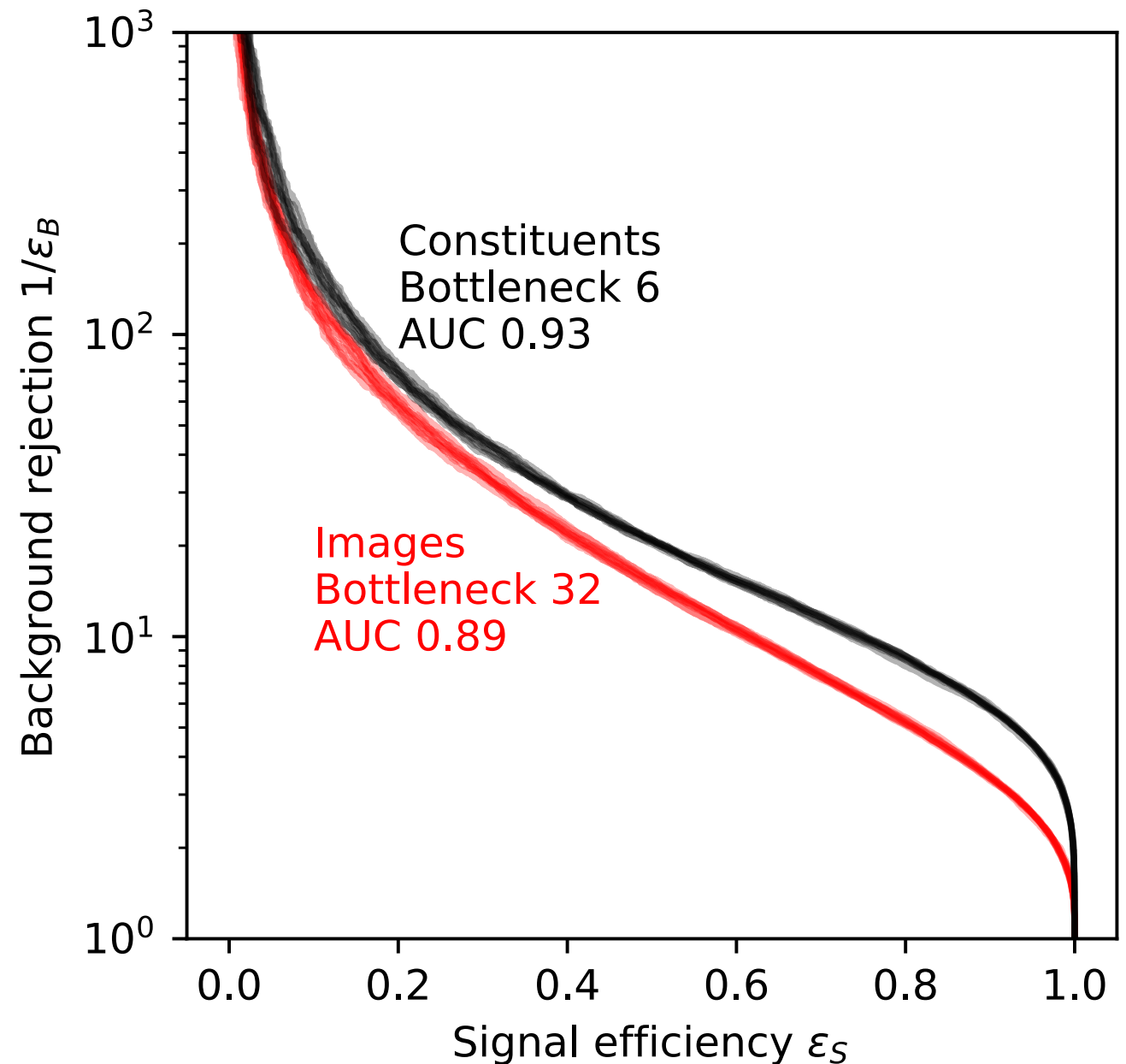


# Architecture



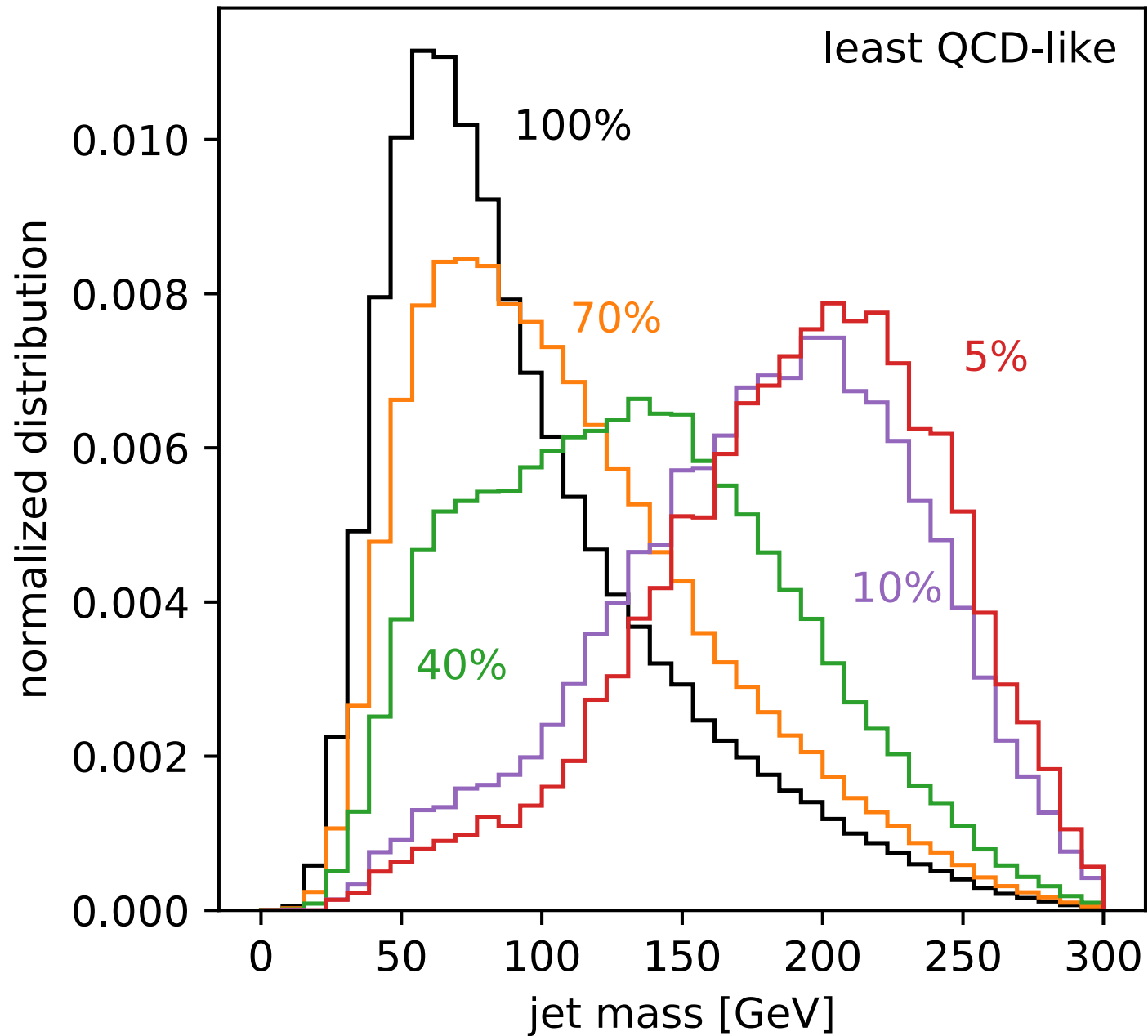
*Convolutional network*

*Autoencoder will also work with other network architectures. Tested physics inspired, constituent based LoLa\* architecture.*



\* from: *Deep-learning Top Taggers & No End to QCD*  
A Butter, GK, T Plehn, M Russell  
1707.08966

# What about mass?



- Without additional constraints the autoencoder also learns the kinematics of the training sample
- How to avoid?



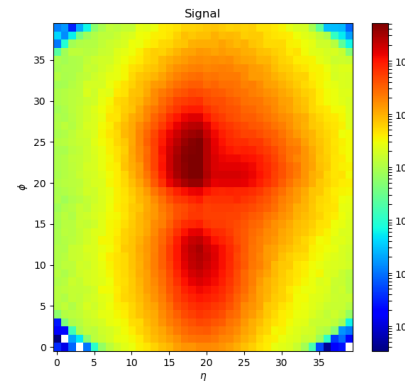
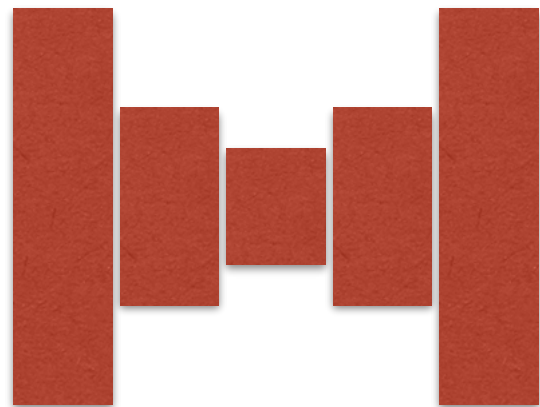
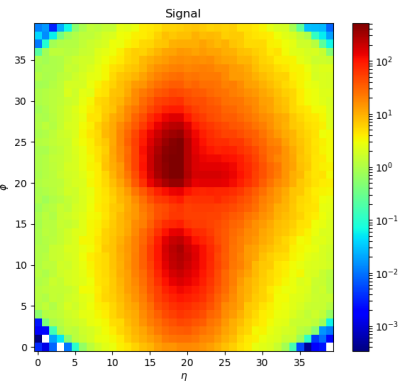
# Adversarial Training

# Combined Setup

Input

Autoencoder

Output

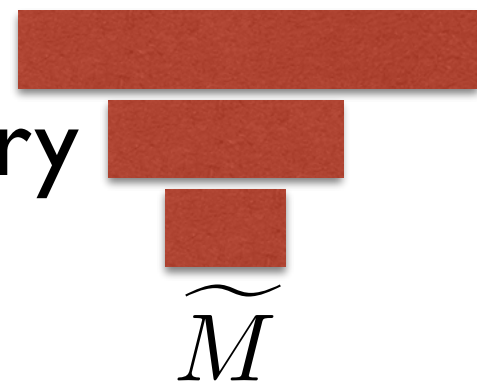


$$L_{\text{Auto}} = \sum_{\text{Pixels } ij} \left( X_{ij} - \tilde{X}_{ij} \right)$$

$X_{ij}$

$\tilde{X}_{ij}$

Adversary



$\tilde{M}$

$$L_{\text{Adv}} = \text{CCE} \left( M, \tilde{M}(X_{ij} - \tilde{X}_{ij}) \right)$$

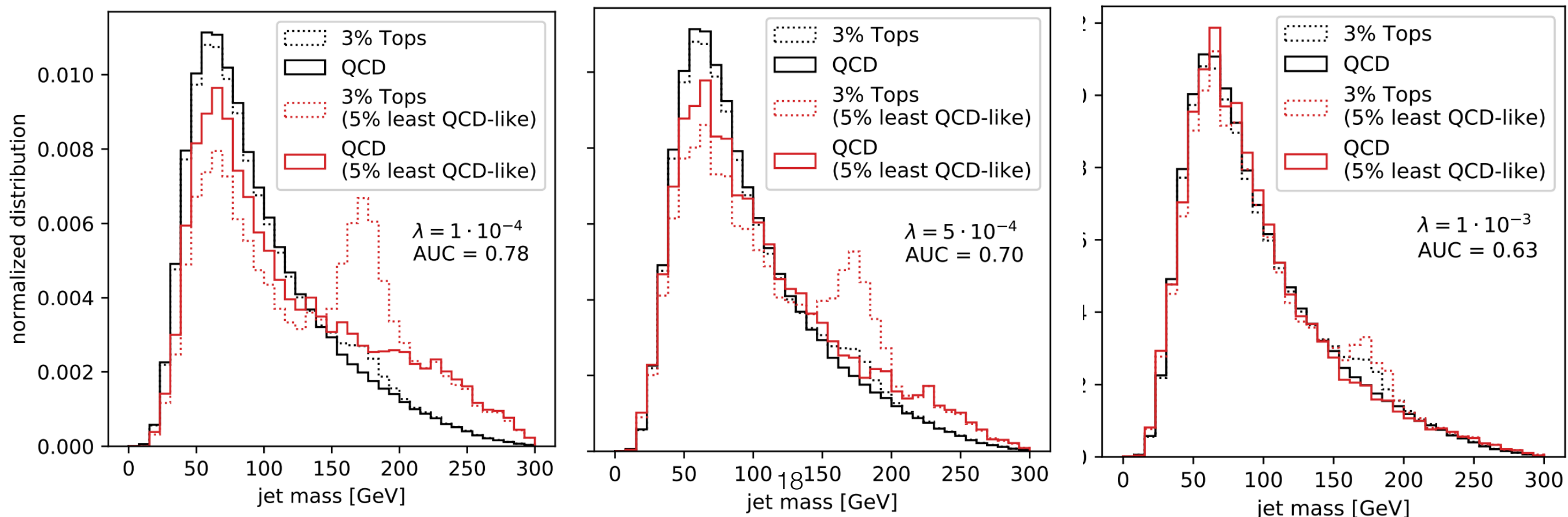
$$L = L_{\text{Auto}} - \lambda L_{\text{Adv}}$$

# Mass Sculpting

- Counteract with adversary:

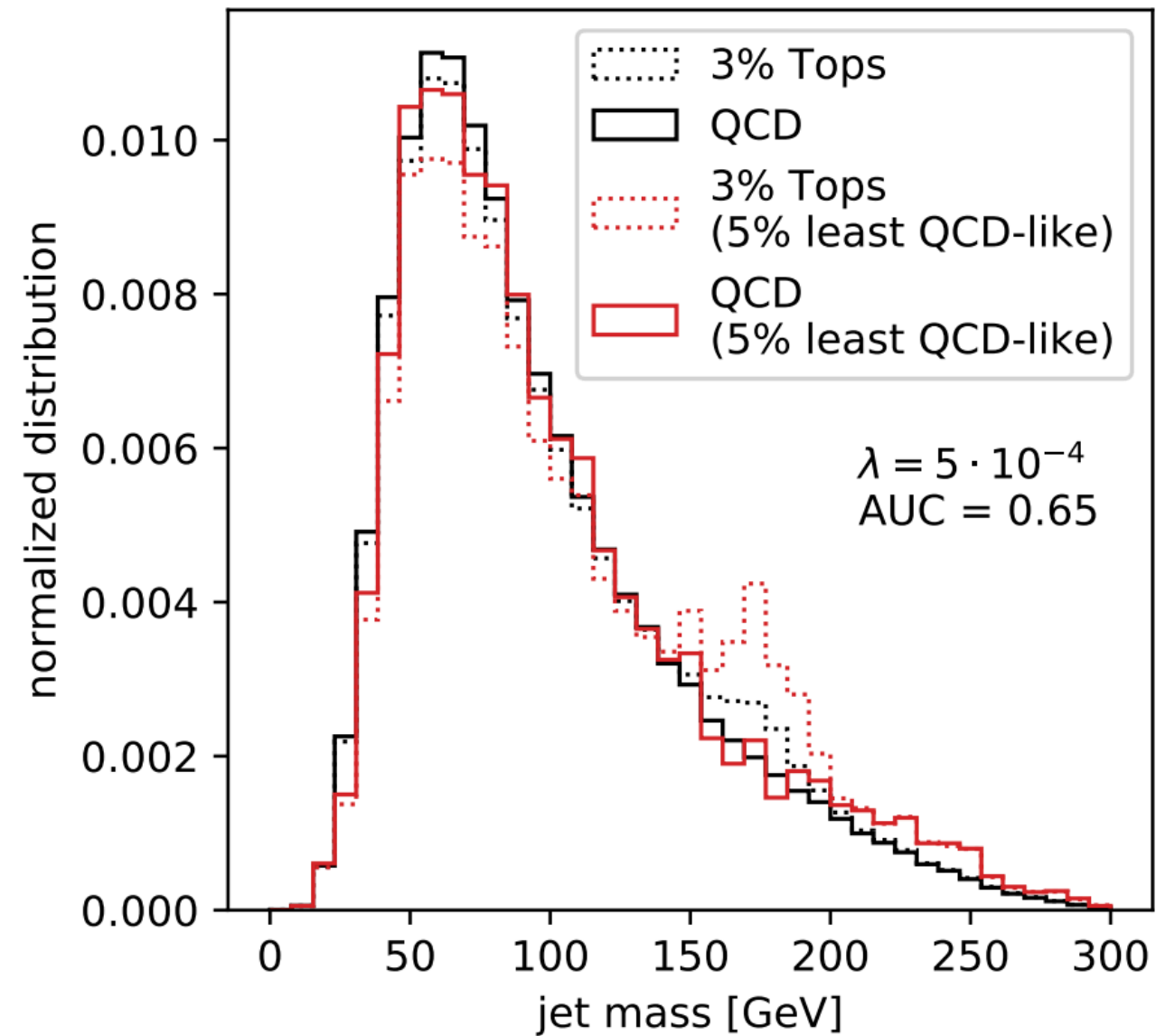
$$L = L_{\text{Auto}} - \lambda L_{\text{Adv}}$$

- Tune mass dependency with Lagrange multiplier



# Signal contamination

- Procedure works also when signal is present in training data
- This means a search for exotic new physics with unknown shower patterns (dark showers) could be done using data-only training





# Dark Showers

# Recap

- We now have a tool that can identify anomalous jets..
  - ..purely **trained on data** in an unsupervised way
  - ..**decorrelated** from arbitrary variables (like mass)
- Potential usecase:
  - **Dark shower jets**

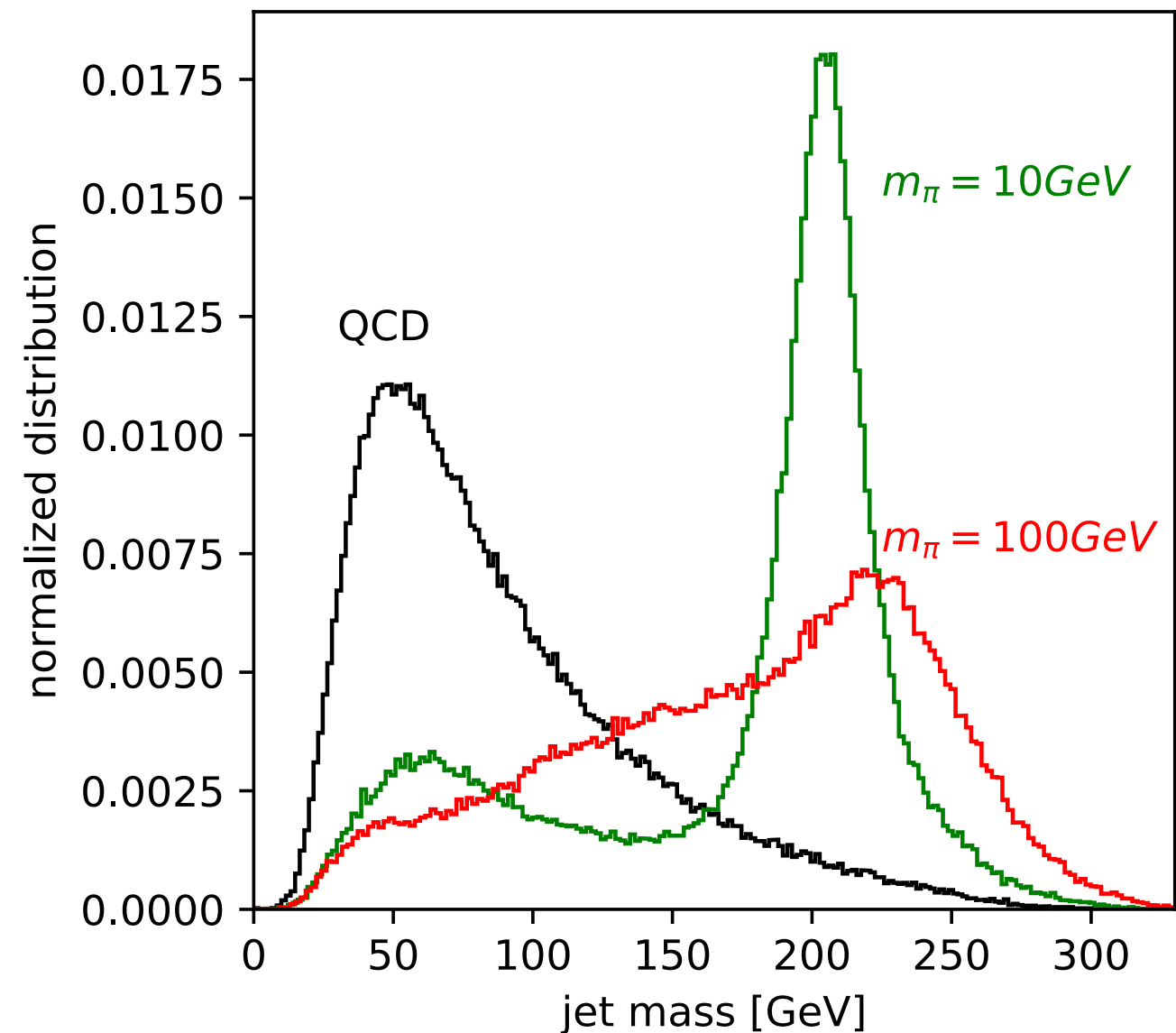
*Dark multi-jet  
shower*



# Model

$$pp \rightarrow q_v \bar{q}_v \rightarrow q\bar{q} + \cancel{E}_T$$

- Heavy quark  $q_v$  pair-produced
- Decay to SM partner + dark boson  $b$
- Hadronise into dark mesons  $\pi$  (stable or not)
- Assume:
  - Dark  $SU(3)_c$ ,  $\alpha=0.1$
  - $m_\pi = 2m_b$
  - $m_q = 200 \text{ GeV}$



*Visible Effects of Invisible Hidden Valley Radiation*

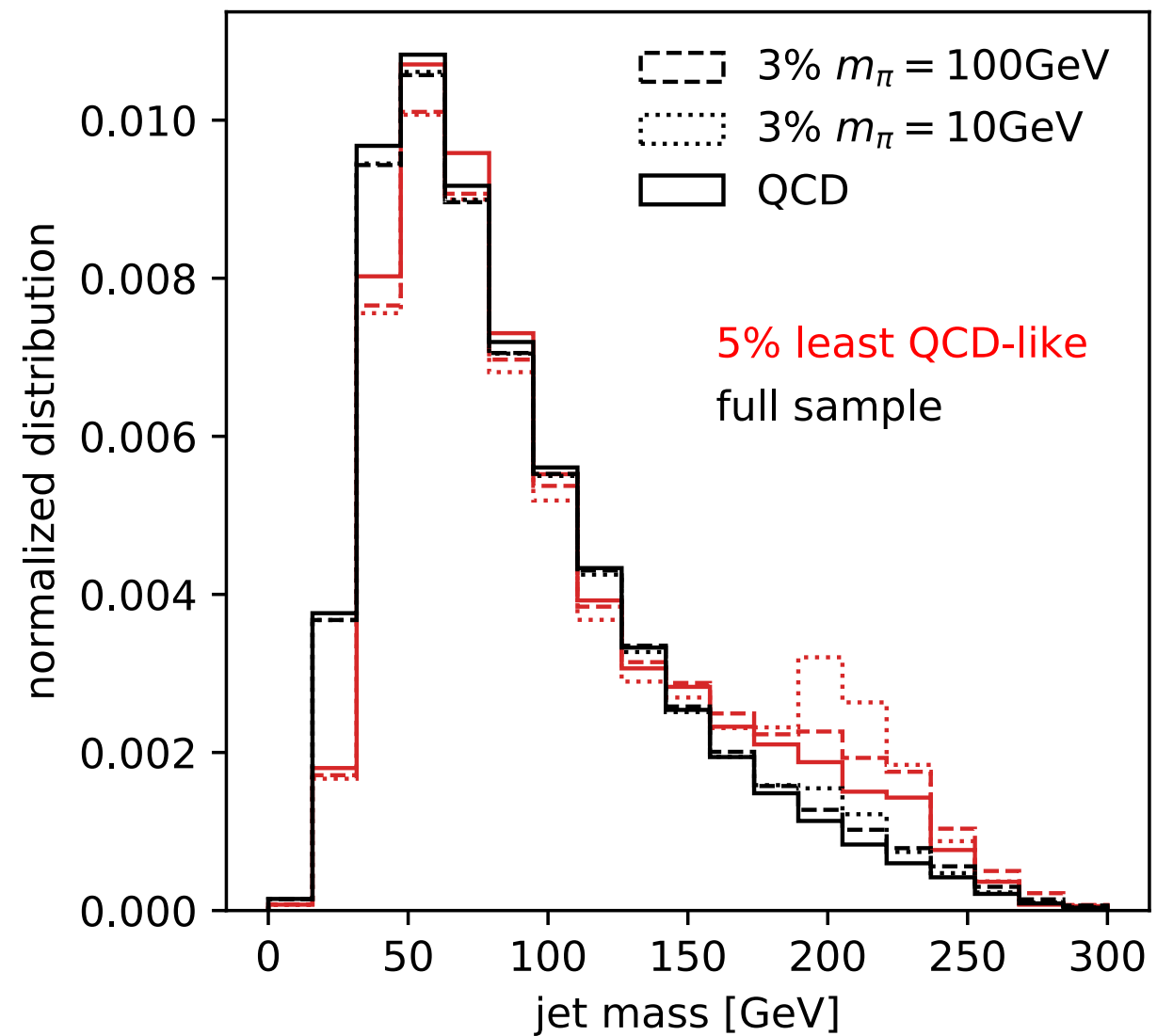
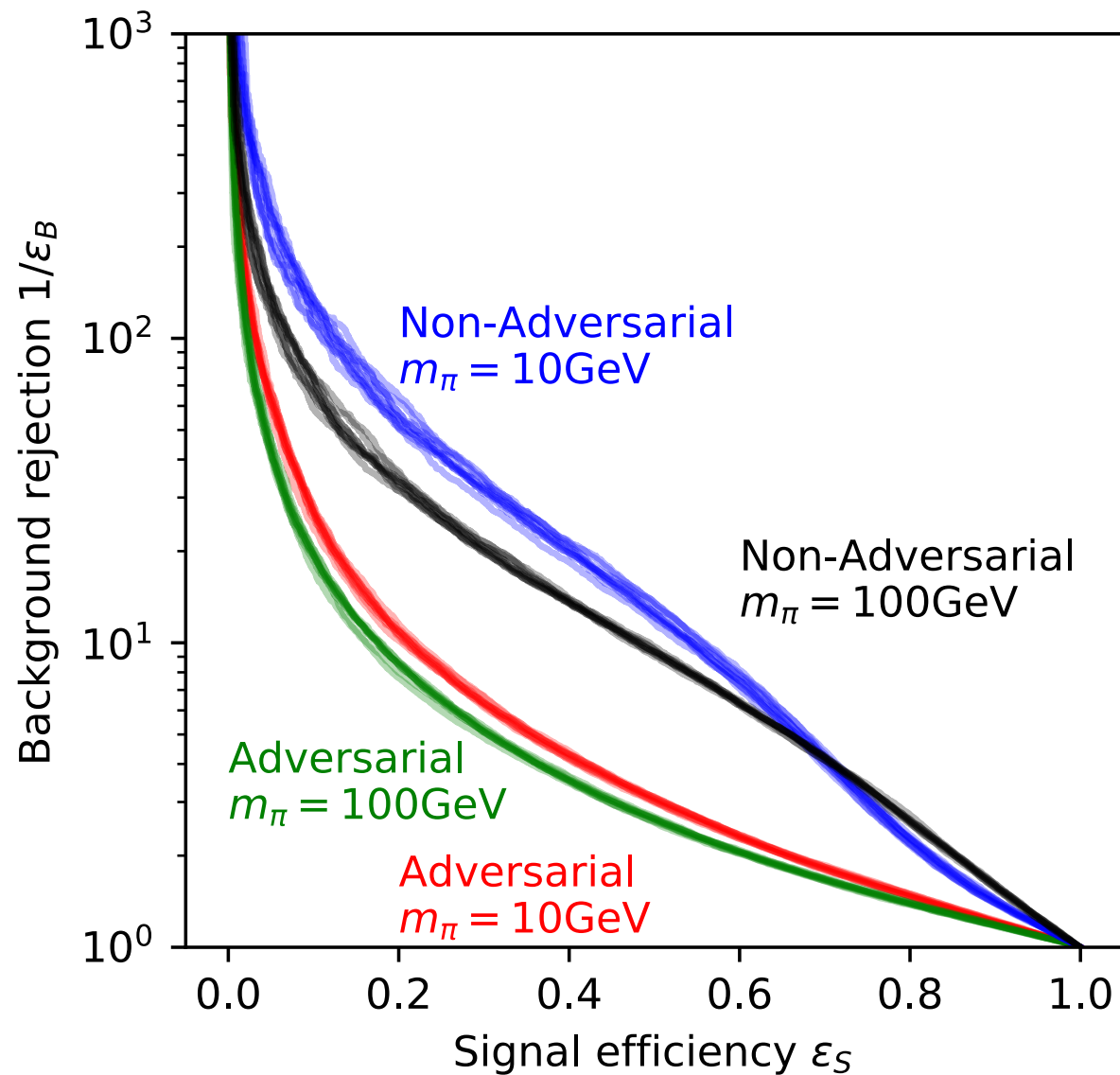
L Carloni, T Sjostrand, JHEP 1009 (2010)

*Discerning Secluded Sector gauge structures*

L Carloni, J Rathsman, T Sjostrand, JHEP 1104

(2011)

# Results



- Identify dark showers vs QCD
- Sensitivity will depend on model parameters



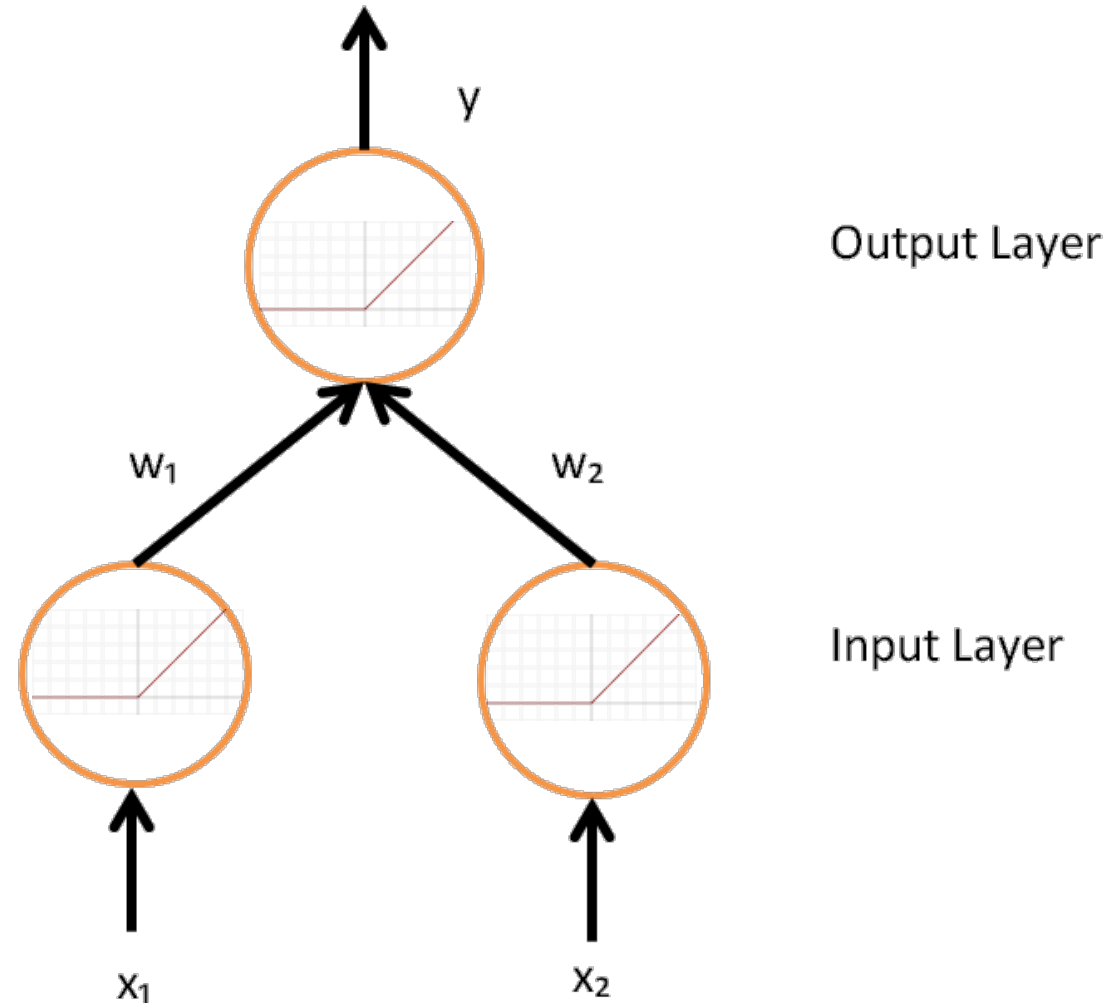
# Conclusions

- Propose a new method based on unsupervised deep networks find non-SM physics as anomaly
- Can be trained from data and made independent of mass
- Explained for images, but can work with any neural network architecture
- Anti-QCD tagger: Orthogonal approach to dedicated searches

*Thank you!*

# Backup

# A Very Simple Network



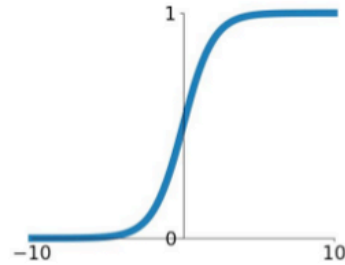
$$y = f(f(x_1)w_1 + f(x_2)w_2)$$
$$f(x) = \Theta(x) \cdot x$$

# Activation Functions

## Activation Functions

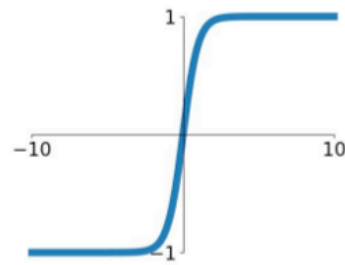
### Sigmoid

$$\sigma(x) = \frac{1}{1+e^{-x}}$$



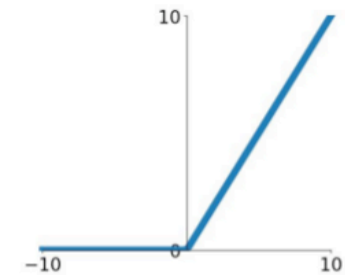
### tanh

$$\tanh(x)$$



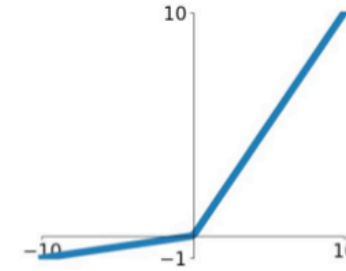
### ReLU

$$\max(0, x)$$



### Leaky ReLU

$$\max(0.1x, x)$$

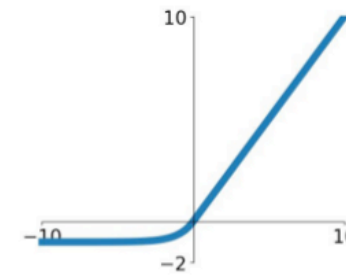


### Maxout

$$\max(w_1^T x + b_1, w_2^T x + b_2)$$

### ELU

$$\begin{cases} x & x \geq 0 \\ \alpha(e^x - 1) & x < 0 \end{cases}$$



Softmax  
(for final classification layer)

$$\sigma : \mathbb{R}^K \rightarrow (0, 1)^K$$
$$\sigma(\mathbf{z})_j = \frac{e^{z_j}}{\sum_{k=1}^K e^{z_k}} \quad \text{for } j = 1, \dots, K.$$



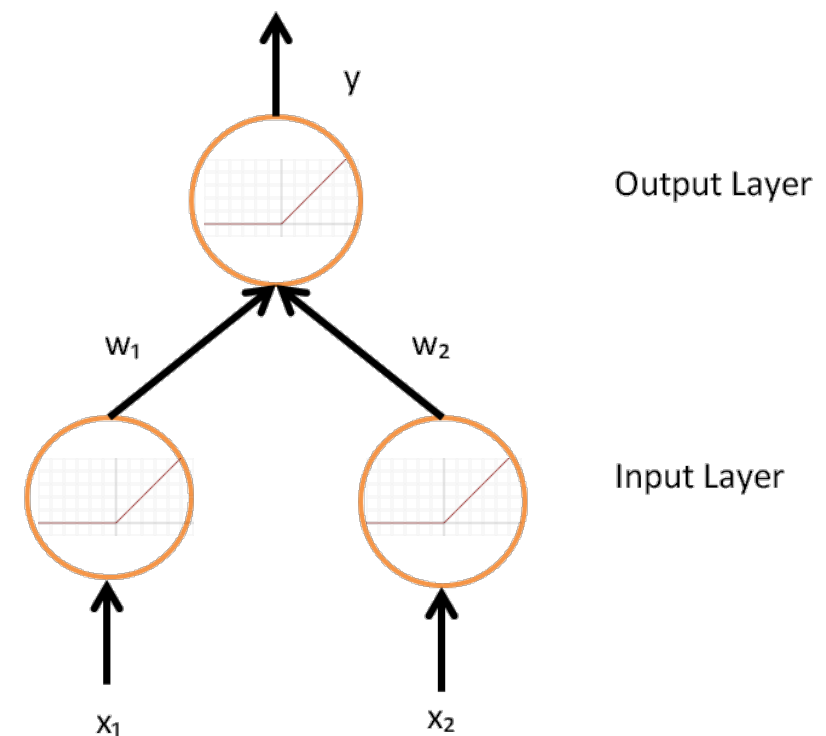
# How do networks learn?

- *Backpropagation + Gradient descent*
- Pass input  $(x_1, x_2)$  to ANN
- Calculate output  $(\hat{y})$  and difference to true value  $(y)$   
This is the loss function  $L$
- Find gradient of loss function with respect to weights
- Use gradient to find new weights

## *Regression Problem:*

$$L(y, \hat{y}) = (y - \hat{y})^2$$

$$w_{t+1} = w_t - \eta \frac{\partial L}{\partial w_t} \equiv w_t - \eta \nabla L(w_t)$$



# Optimisers

$$w_{t+1} = w_t - \eta \nabla L(w_t)$$

(stochastic/batched) gradient descent

$$w_{t+1} = w_t - \eta \nabla L(w_t) + \alpha \Delta w_t$$

+ momentum term

$$m_{t+1} = \beta_1 m_t + (1 - \beta_1) \nabla L$$

$$v_{t+1} = \beta_2 v_t + (1 - \beta_2) (\nabla L)^2$$

$$\hat{m}_{t+1} = \frac{m_{t+1}}{1 - \beta_1^t}$$

$$\hat{v}_{t+1} = \frac{v_{t+1}}{1 - \beta_2^t}$$

$$w_{t+1} = w_t - \eta \frac{\hat{m}_{t+1}}{\sqrt{\hat{m}_{t+1} + \epsilon}}$$

**Adam**

(a good starting point)

# Classification

$$S = - \sum p_i \ln p_i$$

- Entropy: *Optimal number of bits needed to encode when the probability distribution is known*

$$S = - \sum p_i \ln \hat{p}_i$$

- Cross Entropy: *We do not know the true probability*

$$L = \sum_{\text{Samples}} -y_s \ln \hat{y}_s - (1 - y_s) \ln(1 - \hat{y}_s)$$

Samples

True class

image is cat: 0

image is dog: 1

Predicted class

DNN output between 0 and 1

***Minimize cross entropy: approximate true distribution***