



中国科学院高能物理研究所
Institute of High Energy Physics
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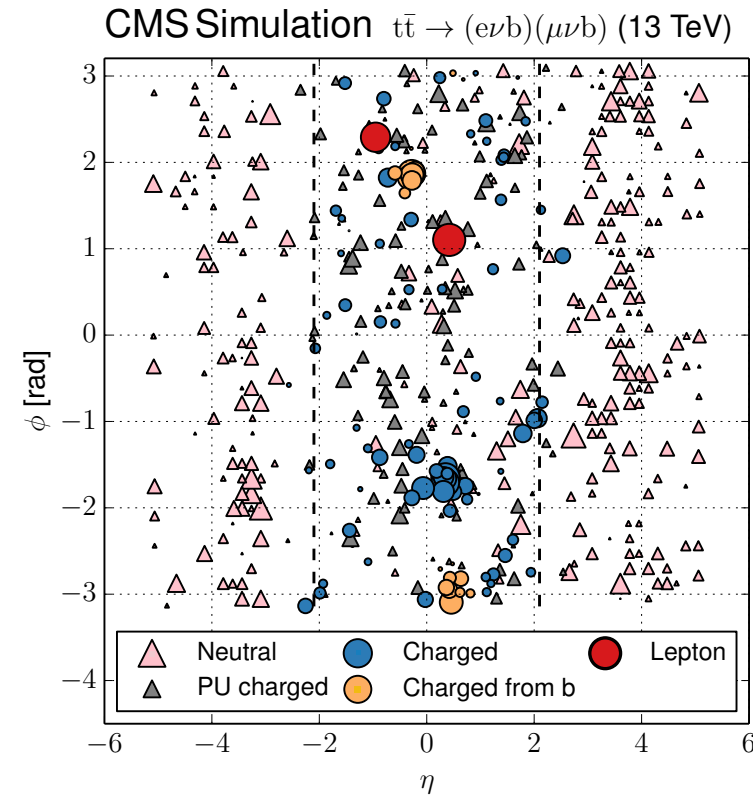
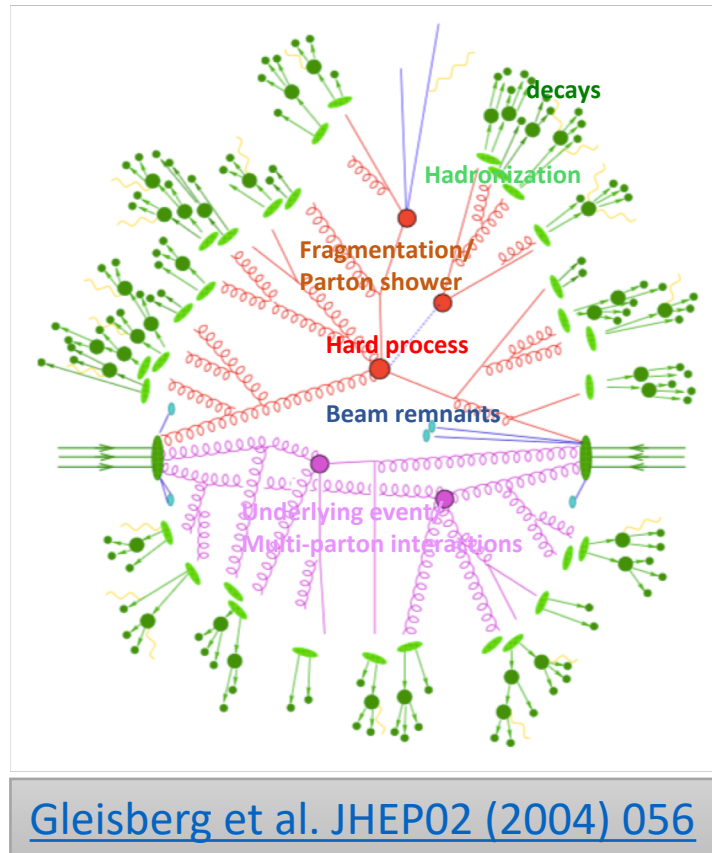
QCD Monte Carlo model tuning studies in CMS

Efe Yazgan

XXVII International Workshop on Deep Inelastic Scattering and Related
Subjects

Torino (Italy), 8-12 April 2019

Underlying Event

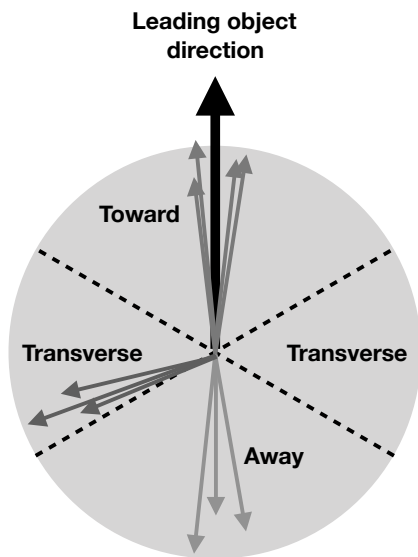


CMS, EPJ C 79 (2019) 123

- **UE:** activity in addition to the hard process
 - Consists of beam-beam remnants and multi-parton interactions + some contribution from the hard process
 - Requires modeling of BBR, MPI, hadronization, ISR, FSR.
 - Need to tune the adjustable parameters in MCs.

Comparisons of predictions for UE observables from previous tunes to 13 TeV data

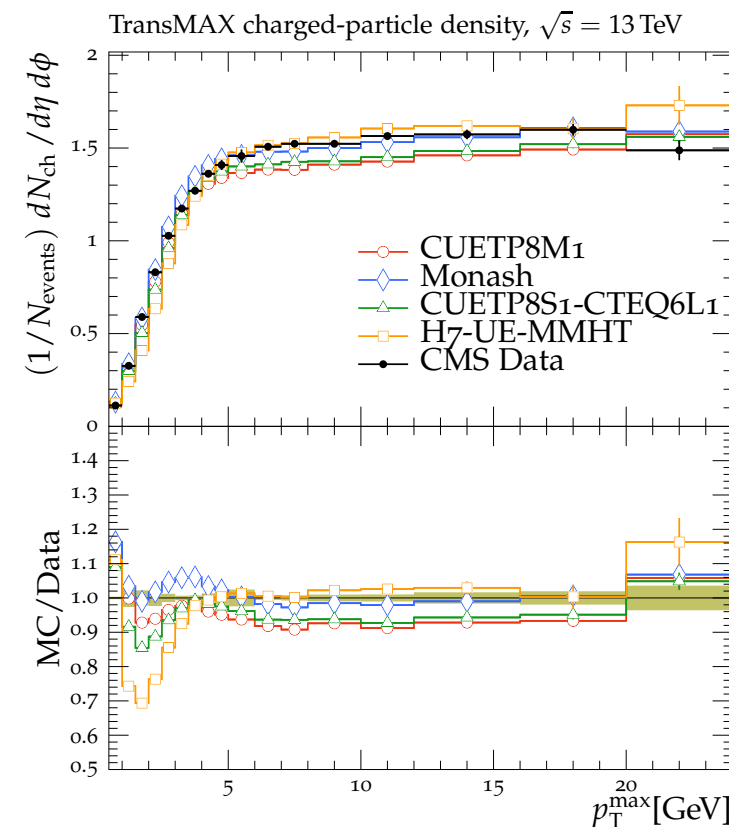
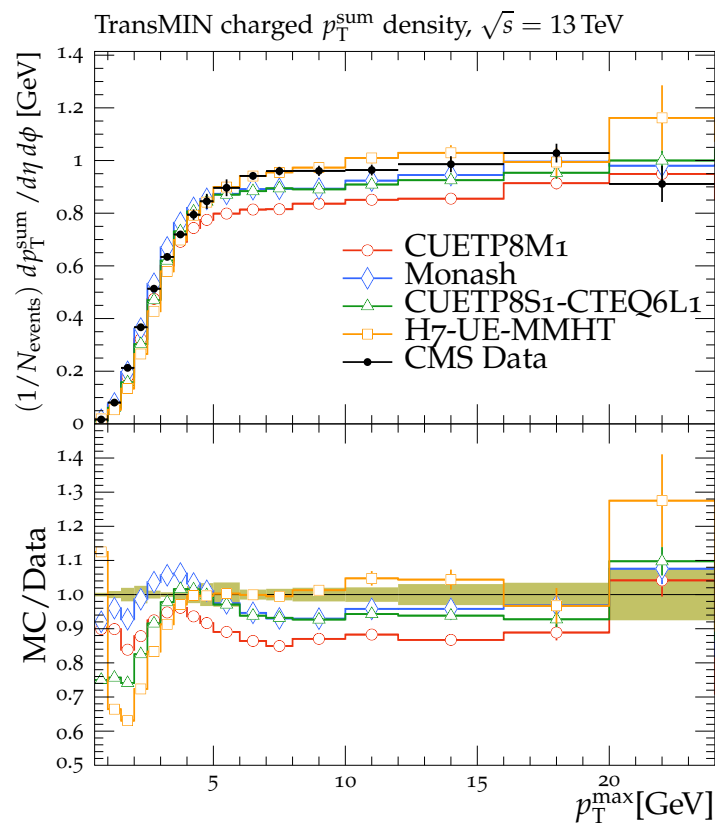
arXiv:1903.12179



$$p_T > 0.5 \text{ GeV}$$

$$|\eta| < 0.8$$

$$p_T^{max} = \max(p_T^{trk,i})$$



- Main CMS tune (CUETP8M1 based on Monash tune) used until 2017 analyses does not describe well the central values of the data at 13 TeV. [EPJC 76 \(2016\) 155](#)
- CUETP8M1: α_s and shower parameters kept as in Monash $\rightarrow \alpha_s^{\text{ISR/FSR}} = 0.1365$ despite the preferred values of 0.130 in LO and 0.118 in NLO matrix elements/ PDF sets.
 - α_s^{FSR} in Monash \rightarrow by fitting Pythia8 predictions to LEP event shapes and α_s^{ISR} is just assumed to be the same as α_s^{FSR} .
 - $\alpha_s^{\text{MPI}} = 0.130$ set to the value preferred in the LO PDF set.

Revisiting Shower Parameters and Tunes

- Starting from parton shower in ttbar events [CMS-PAS-TOP-16-021](#)
 - CUETP8M2T4 tune ($\alpha_s^{\text{ISR}} \sim 0.11$)
- UE in ttbar events at 13 TeV [EPJC 79 \(2019\) 123](#)
 - $\alpha_s^{\text{FSR}} \sim 0.118$ agrees better with data.
- Jet substructure in ttbar events at 13 TeV [PRD98 \(2018\) 092014](#)
 - $\alpha_s^{\text{FSR}} \sim 0.115$.
- New CMS tunes using (N)(N)LO PDF sets in PS [arXiv:1903.12179](#)
 - CPX tunes (consistent treatment of PDF+ α_s in matrix element and parton shower)
- UE in Z+jets events at 13 TeV [JHEP07 \(2018\) 032](#) and [arXiv:1903.12179](#)

CUETP8M2T4 Event Tune

CMS-PAS-TOP-16-021

- CUETP8M1 not only bad in describing the UE but its predictions overshoot the data for large jet multiplicities when out of the box parameters are used (in Monash-based tunes: $\alpha_s^{ISR}=0.1365$)
- Effect also observed with 8 TeV data.

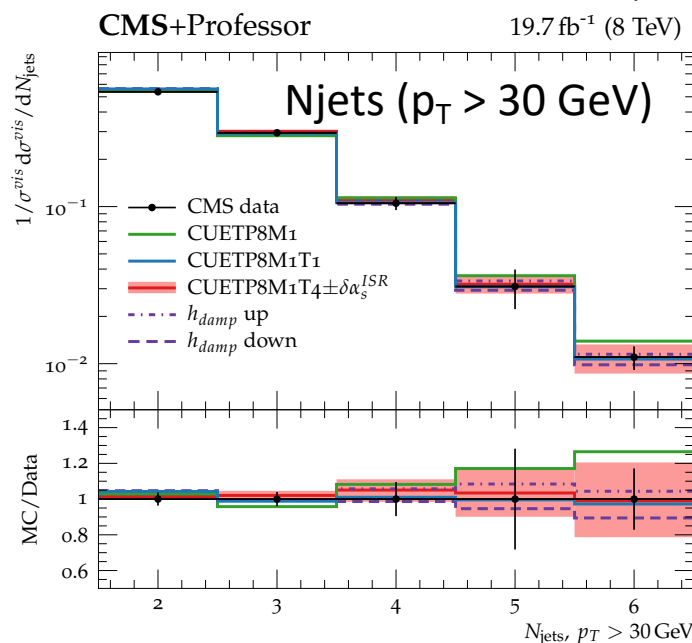
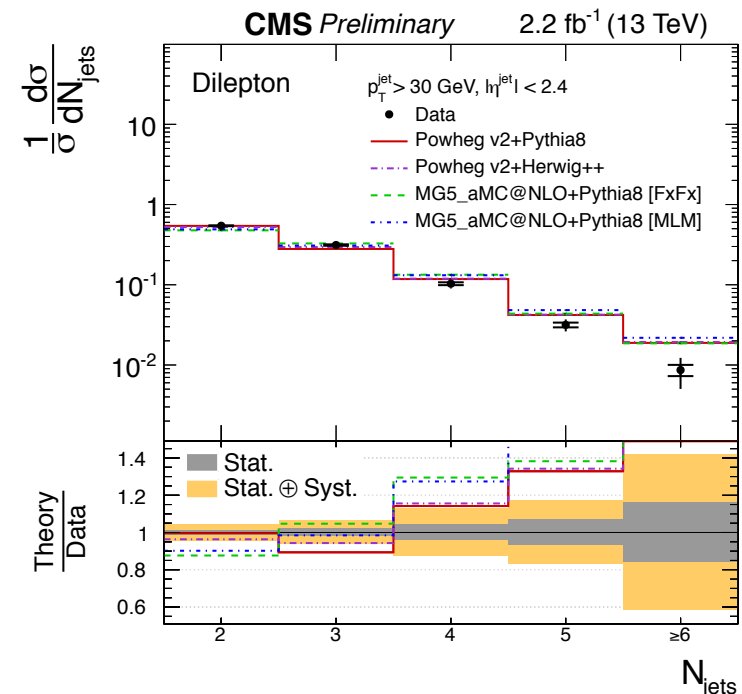
Tune α_s^{ISR} using 8 TeV $t\bar{t}$ N_{jets} (using the parton-shower dominated region) and $t\bar{t}$ jet p_T data \rightarrow

$$\alpha_s^{ISR} = 0.1108^{+0.0145}_{-0.0142}$$

$$h_{damp} = 1.581^{+0.658}_{-0.585} m_t$$

SpaceShower:RapidityOrdering=on

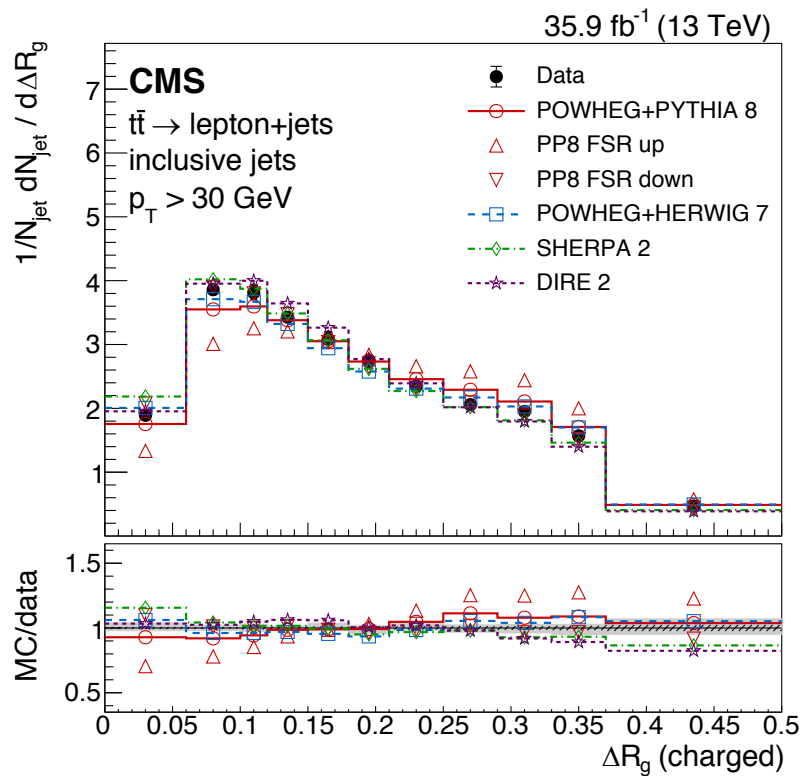
- \rightarrow Significantly lower α_s^{ISR} cures the overshoot of CUETP8M1 at high jet multiplicities.
- \rightarrow UE event tune starting with fixed lower α_s^{ISR} describes the UE & min-bias (and top quark) significantly data better.



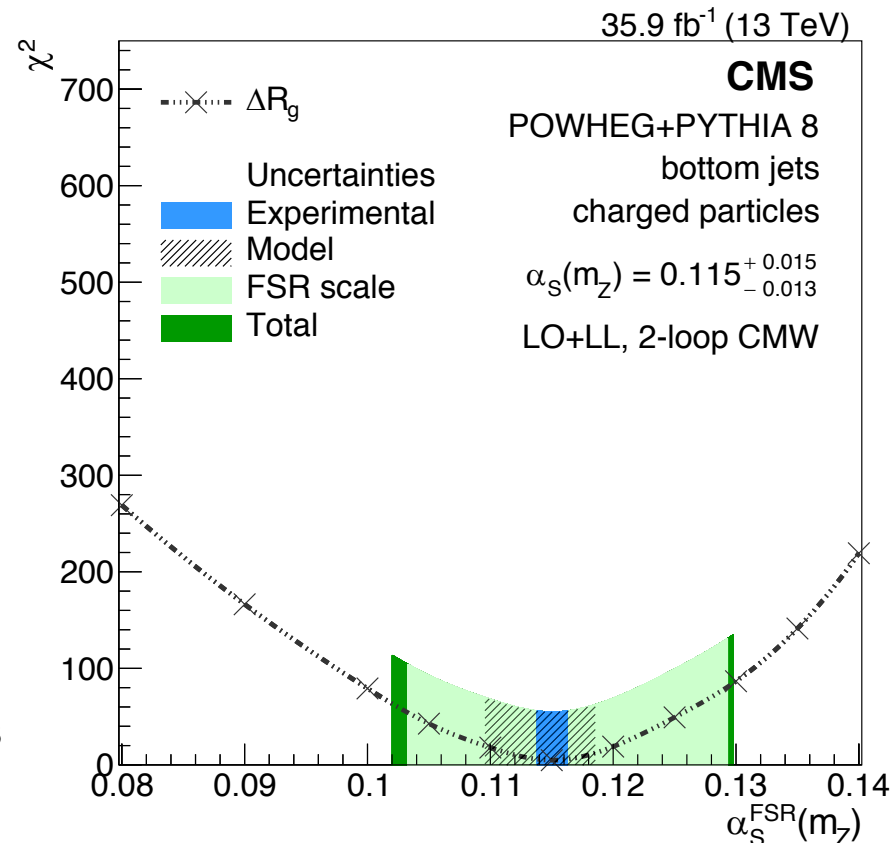
α_s^{FSR} from jet substructure in $t\bar{t}$ l+jets events

- Measured using charged+neutral and with only charged jet constituents (particle $p_T > 1$ GeV).
- b, light, or gluon jet enriched samples.

[PRD98 \(2018\) 092014](#)



Angle between groomed subjects
at particle level (correlated to jet width)



Angle between groomed subjects
at particle level.

Pythia8:
 CUETP8M2T4 for $t\bar{t}$
 CUETP8M1 for the rest.

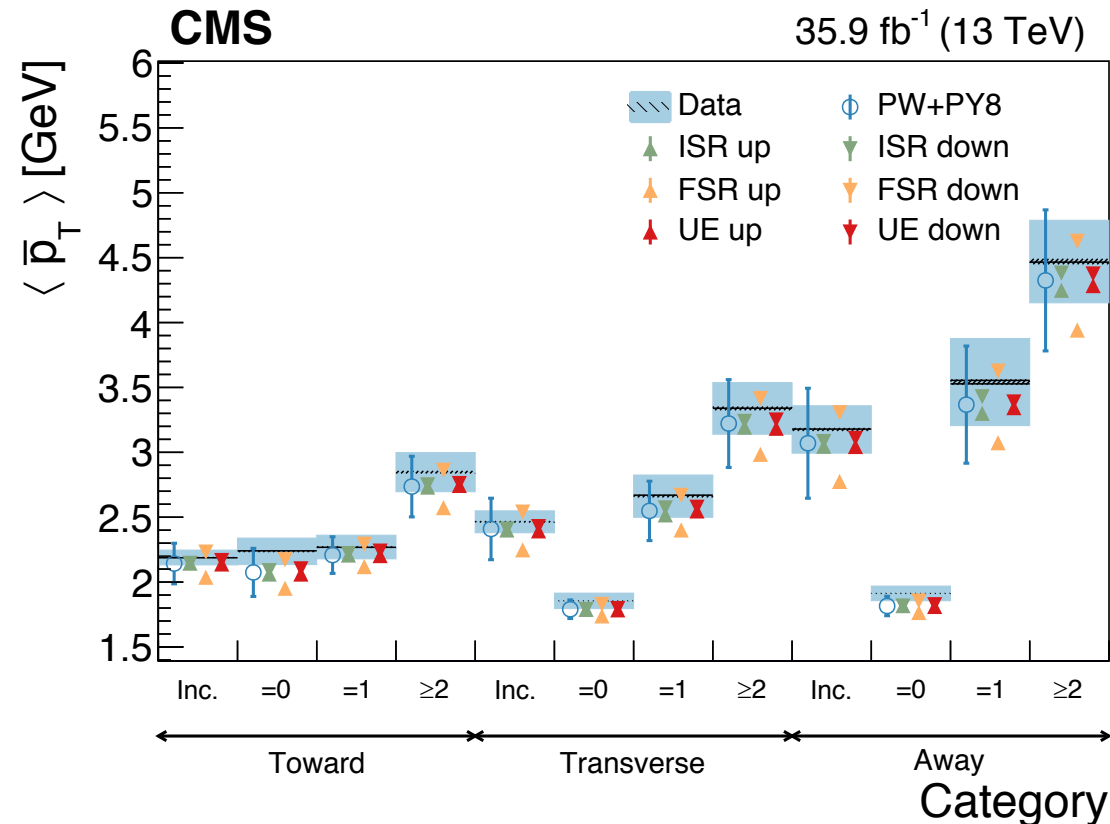
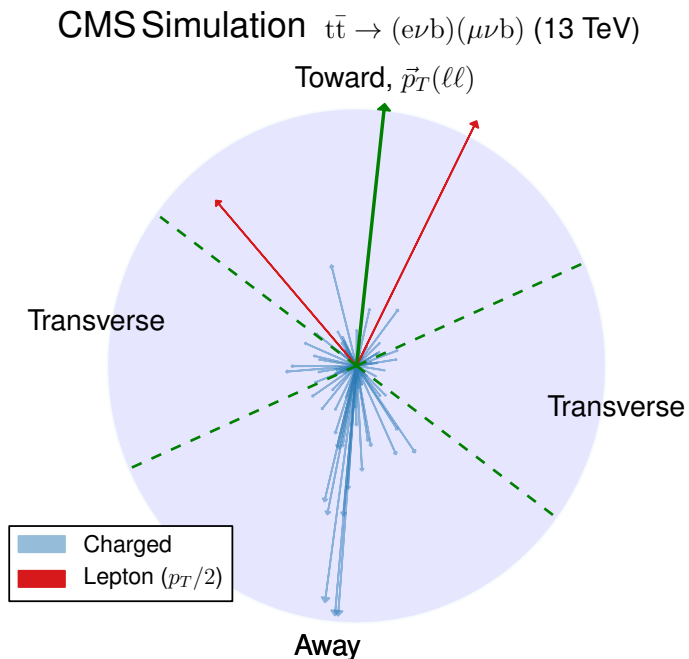
None of the default tunes yield a good overall description of the data.

Powheg+Pythia8 with LO+LL, 2-loop :

$$\alpha_s^{FSR}(M_Z) = 0.115^{+0.015}_{-0.013}$$

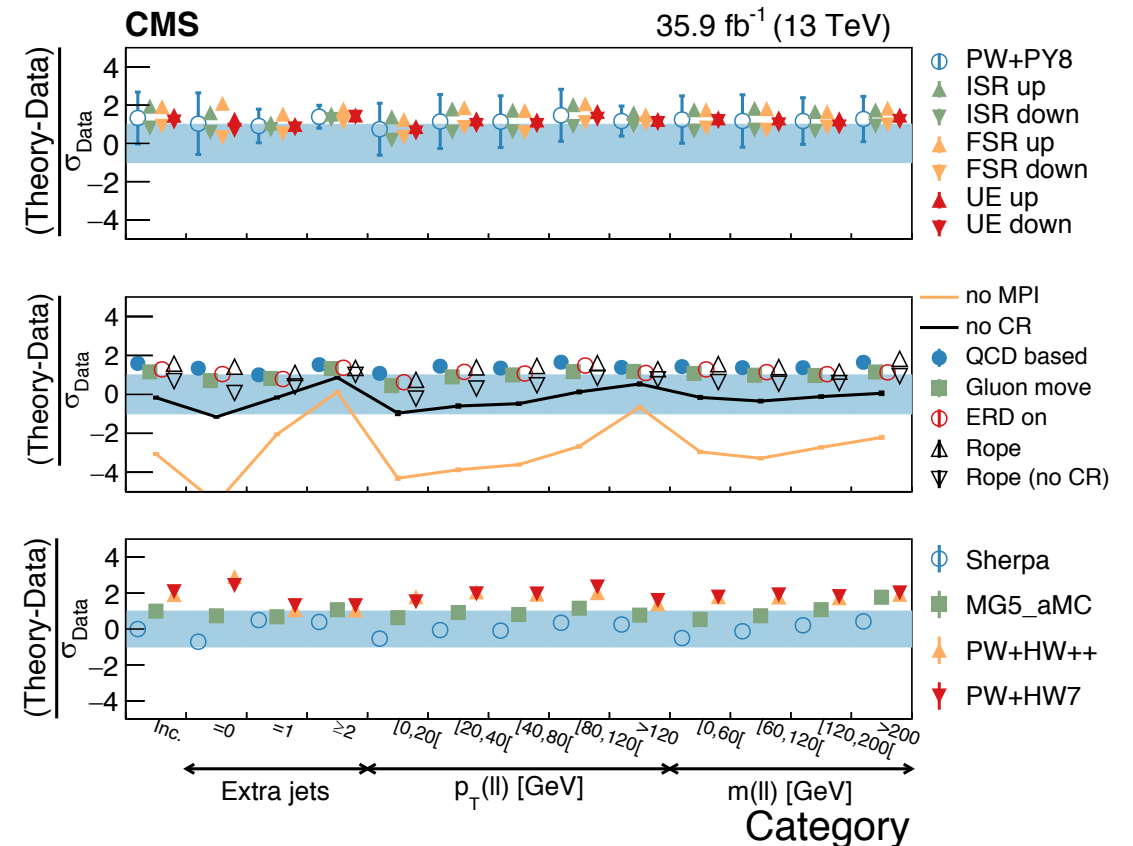
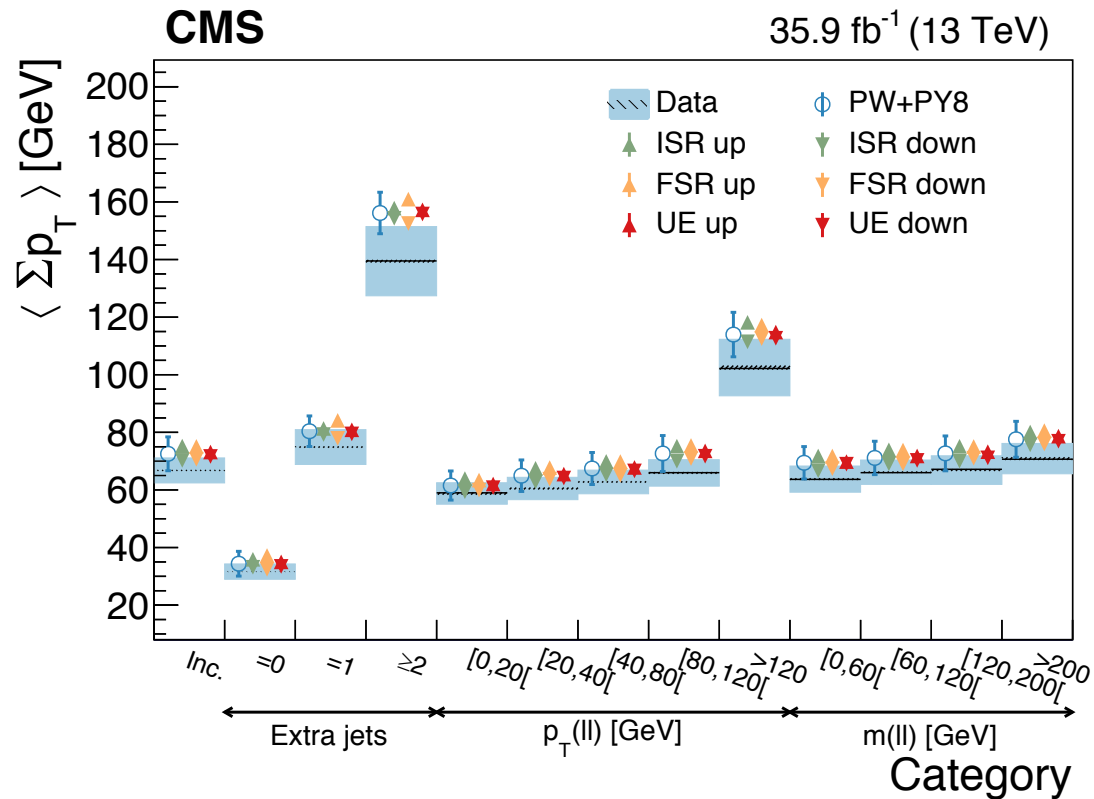
α_s^{FSR} from Underlying Event in $t\bar{t}$

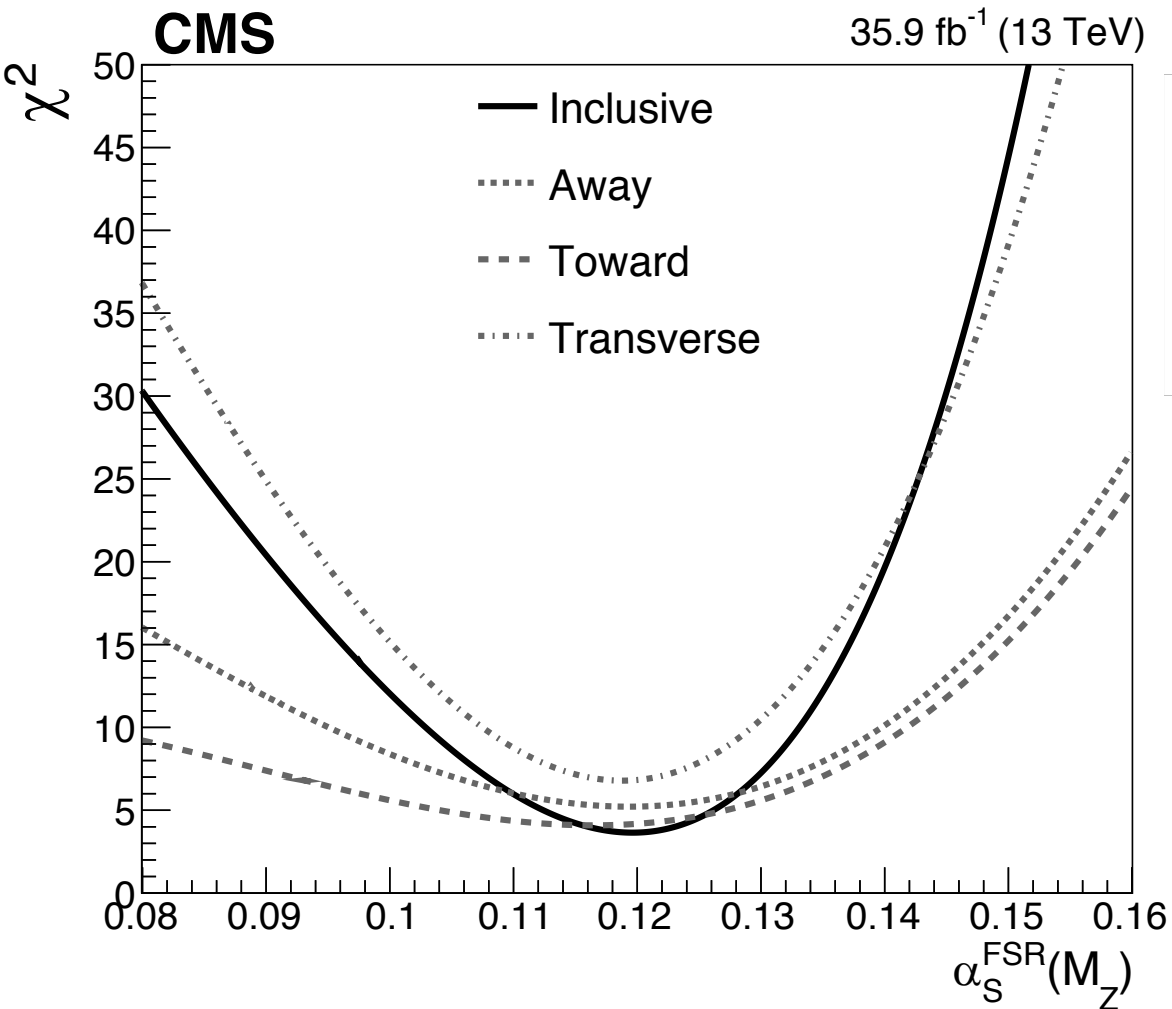
- Measurement of the UE for the first time at a scale of $> 2m_t$.
- > 200 distributions investigated in different categories to enhance sensitivity to the modeling of MPI, color reconnection, $\alpha_s^{\text{FSR}}(M_Z)$ in Pythia8.
- Measurement unfolded to particle level.
- Good agreement of POWHEG+PYTHIA8 with CUETP8M2T4 in UE event regions.



Underlying Event in ttbar Events

- Data disfavor default settings in HERWIG++, HERWIG7, and SHERPA → Need tuning.
- Choice of NLO ME generator (Powheg or MG5_aMC@NLO[FxFx] + Pythia8) doesn't impact UE in ttbar.
- Overall, these measurements characterize, for the first time, UE properties in ttbar production.
- *No deviation from universality hypothesis at energy scales > 350 GeV.*





$p_T(\ell\ell)$ region	Inclusive	Away	Toward	Transverse
Best fit $\alpha_s^{\text{FSR}}(M_Z)$	0.120	0.119	0.116	0.119
68% CI	[-0.006,+0.006]	[-0.011,+0.010]	[-0.013,+0.011]	[-0.006,+0.006]
95% CI	[-0.013,+0.011]	[-0.022,+0.019]	[-0.030,+0.021]	[-0.013,+0.012]
μ_R / M_Z	2.3	2.4	2.9	2.4
68% CI	[1.7,3.3]	[1.4,4.9]	[1.6,7.4]	[1.7,3.5]

- Data prefer $\alpha_s^{\text{FSR}}(M_Z) \sim 0.118 \rightarrow$ significantly lower than assumed in Monash but similar to new (N)NLO tunes of CMS, i.e. CP3-5.
- Uncertainties correspond to a $\sim\sqrt{2}$ variation of μ_R (instead of a ~ 2 variation).

α_s Consistency in ME and PS and PDF Choices

- PDF and $\alpha_s(M_Z)$ appear in ME, PS, and MPI models.
- $\alpha_s(M_Z)$ at (N)NLO = 0.118 (=world average) and LO = 0.130
- Different strategies are adopted
 - CMS & ATLAS tunes traditionally based on LO PDFs.
 - PYTHIA tunes are mostly based on LO PDFs.
 - Sherpa tunes are based on NNLO PDFs.
 - HERWIG7 provide tunes based on NLO PDFs (in which MPI is still based on LO PDF).
- Using the same PDF set and $\alpha_s(M_Z)$ value in the ME and in the simulation of the PS components in matched configurations advocated
 - i.e. If ME is at NLO, then use $N^{\geq 1}$ LO PDF in ME and PS.
 - Effect depends on the configuration and process.

Cooper et al. EPJC72 (2012) 2078

New CMS Tunes using LO PDF

arXiv:1903.12179

PYTHIA8 parameter	CP1	CP2	
PDF Set	NNPDF3.1 LO	NNPDF3.1 LO	Fixed inputs
$\alpha_S(m_Z)$	0.130	0.130	
SpaceShower:rapidityOrder	off	off	
MultipartonInteractions:EcmRef [GeV]	7000	7000	
$\alpha_S^{\text{ISR}}(m_Z)$ value/order	0.1365/LO	0.130/LO	
$\alpha_S^{\text{FSR}}(m_Z)$ value/order	0.1365/LO	0.130/LO	
$\alpha_S^{\text{MPI}}(m_Z)$ value/order	0.130/LO	0.130/LO	
$\alpha_S^{\text{ME}}(m_Z)$ value/order	0.130/LO	0.130/LO	
MultipartonInteractions:pT0Ref [GeV]	2.4	2.3	Fitted parameters
MultipartonInteractions:ecmPow	0.15	0.14	
MultipartonInteractions:coreRadius	0.54	0.38	
MultipartonInteractions:coreFraction	0.68	0.33	
ColorReconnection:range	2.63	2.32	
χ^2/dof	0.89	0.54	

New CMS Tunes using (N)NLO PDFs

PYTHIA8 parameter	CP3	CP4	CP5	
PDF Set	NNPDF3.1 NLO	NNPDF3.1 NNLO	NNPDF3.1 NNLO	
$\alpha_S(m_Z)$	0.118	0.118	0.118	Fixed inputs
SpaceShower:rapidityOrder	off	off	on	
MultipartonInteractions:EcmRef [GeV]	7000	7000	7000	
$\alpha_S^{ISR}(m_Z)$ value/order	0.118/NLO	0.118/NLO	0.118/NLO	
$\alpha_S^{FSR}(m_Z)$ value/order	0.118/NLO	0.118/NLO	0.118/NLO	
$\alpha_S^{MPI}(m_Z)$ value/order	0.118/NLO	0.118/NLO	0.118/NLO	
$\alpha_S^{ME}(m_Z)$ value/order	0.118/NLO	0.118/NLO	0.118/NLO	
MultipartonInteractions:pT0Ref [GeV]	1.52	1.48	1.41	Fitted parameters
MultipartonInteractions:ecmPow	0.02	0.02	0.03	
MultipartonInteractions:coreRadius	0.54	0.60	0.76	
MultipartonInteractions:coreFraction	0.39	0.30	0.63	
ColorReconnection:range	4.73	5.61	5.18	
χ^2/dof	0.76	0.80	1.04	

New CMS Tunes using (N)NLO PDFs

PYTHIA8 parameter	CP3	CP4	CP5	
PDF Set	NNPDF3.1 NLO	NNPDF3.1 NNLO	NNPDF3.1 NNLO	Fixed inputs
$\alpha_S(m_Z)$	0.118	0.118	0.118	
SpaceShower:rapidityOrder	off	off	on	
MultipartonInteractions:EcmRef [GeV]	7000	7000	7000	
$\alpha_S^{ISR}(m_Z)$ value/order	0.118/NLO	0.118/NLO	0.118/NLO	
$\alpha_S^{FSR}(m_Z)$ value/order	0.118/NLO	0.118/NLO	0.118/NLO	
$\alpha_S^{MPI}(m_Z)$ value/order	0.118/NLO	0.118/NLO	0.118/NLO	
$\alpha_S^{ME}(m_Z)$ value/order	0.118/NLO	0.118/NLO	0.118/NLO	
MultipartonInteractions:pT0Ref [GeV]	1.52	1.48	1.41	Fitted parameters
MultipartonInteractions:ecmPow	0.02	0.02	0.03	
MultipartonInteractions:coreRadius	0.54	0.60	0.76	
MultipartonInteractions:coreFraction	0.39	0.30	0.63	
ColorReconnection:range	4.73	5.61	5.18	
χ^2/dof	0.76	0.80	1.04	

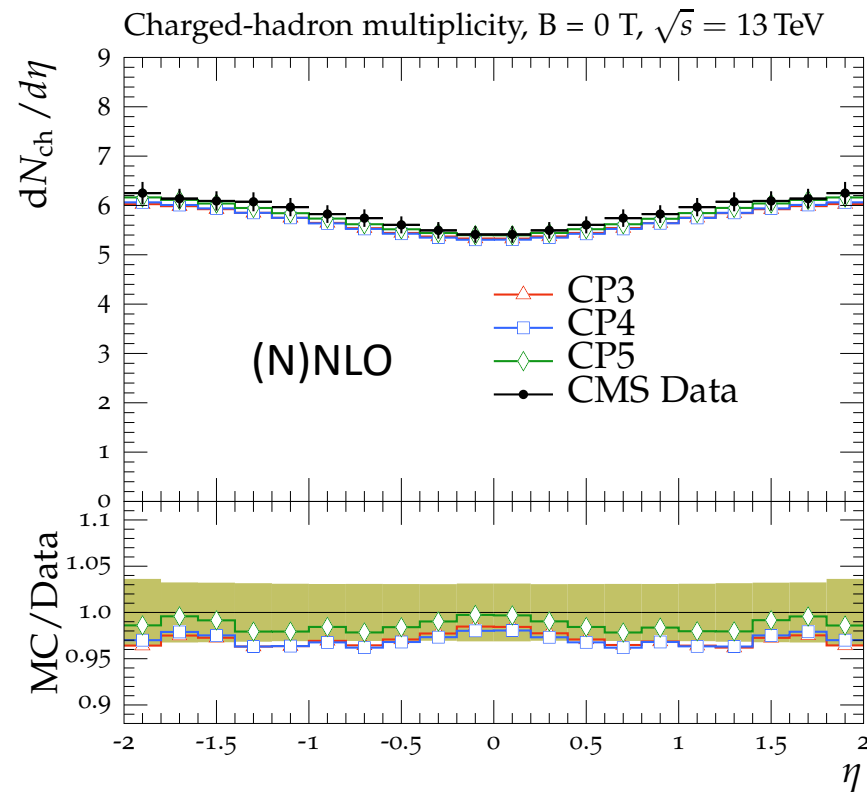
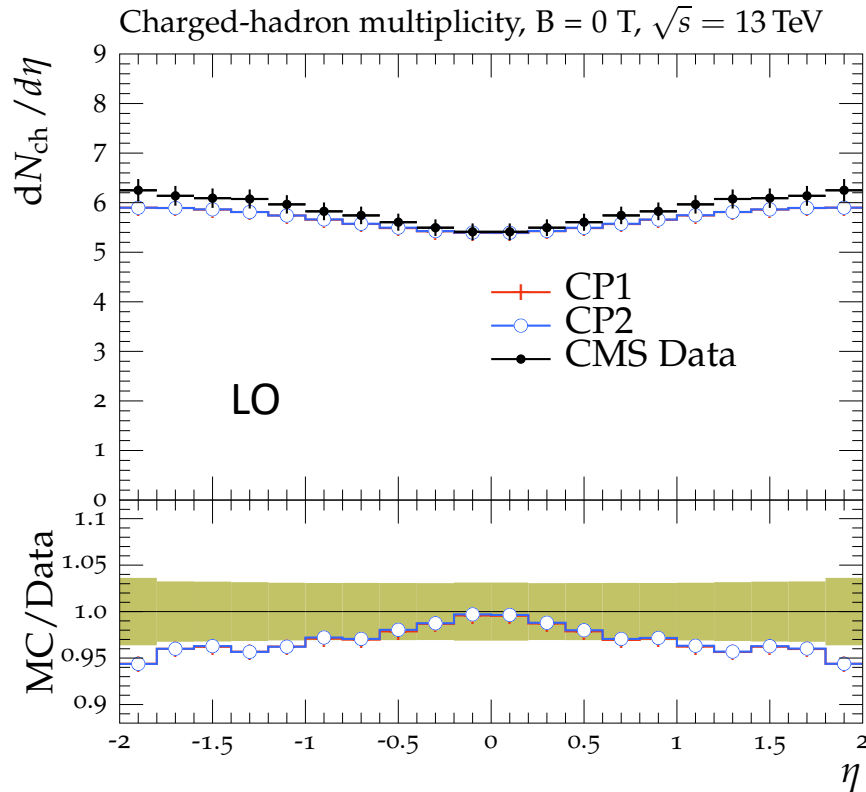
The overlap between two protons modelled by a double-gaussian --> better reproduce 7 TeV CMS data

[CMS-PAS-FSQ-12-020](#)

Data used for the fits:
 charged particle and p_T^{sum} densities in transMIN, transMax vs p_T^{max} at $\sqrt{s} = 1.96, 7$ and 13 TeV.
 + charged-particle multiplicity vs η at $\sqrt{s}=13$ TeV.

α_s Consistency in ME and PS and PDF Choices – MinBias

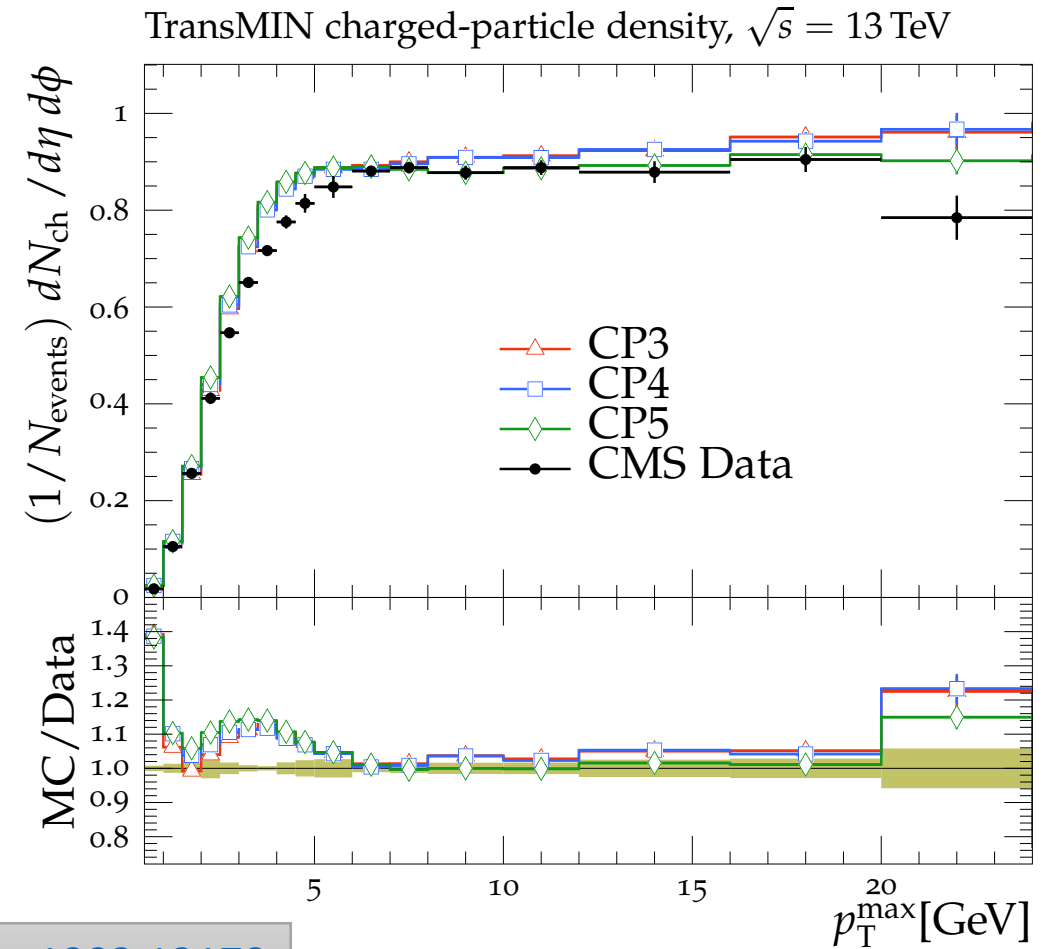
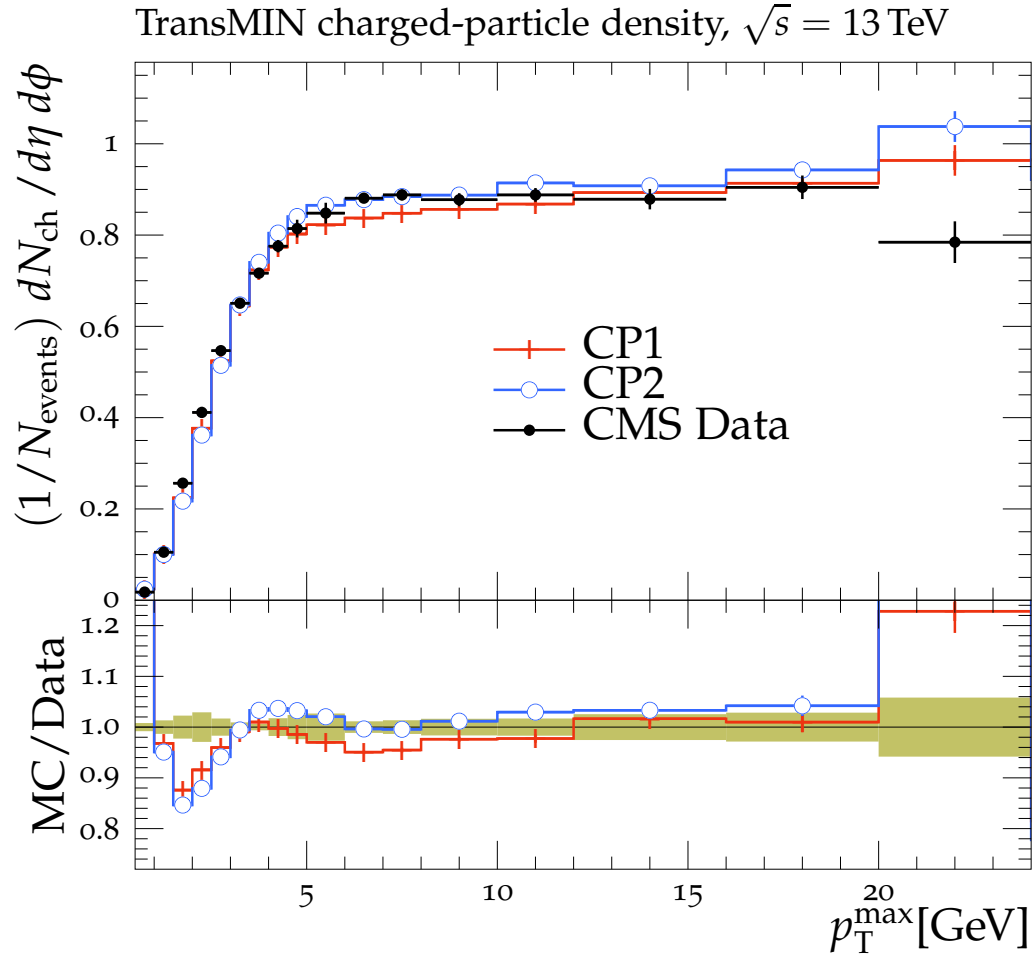
[arXiv:1903.12179](https://arxiv.org/abs/1903.12179)



$$|\eta| < 2, B = 0 \text{ Tesla}$$

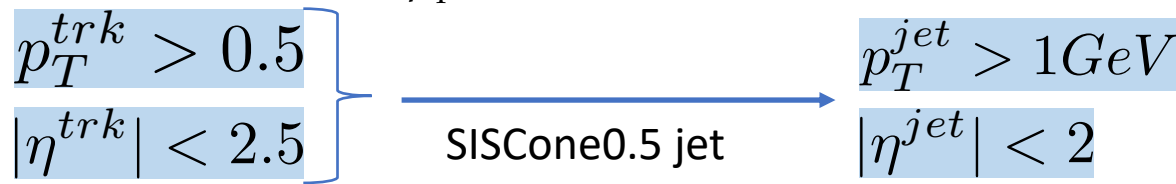
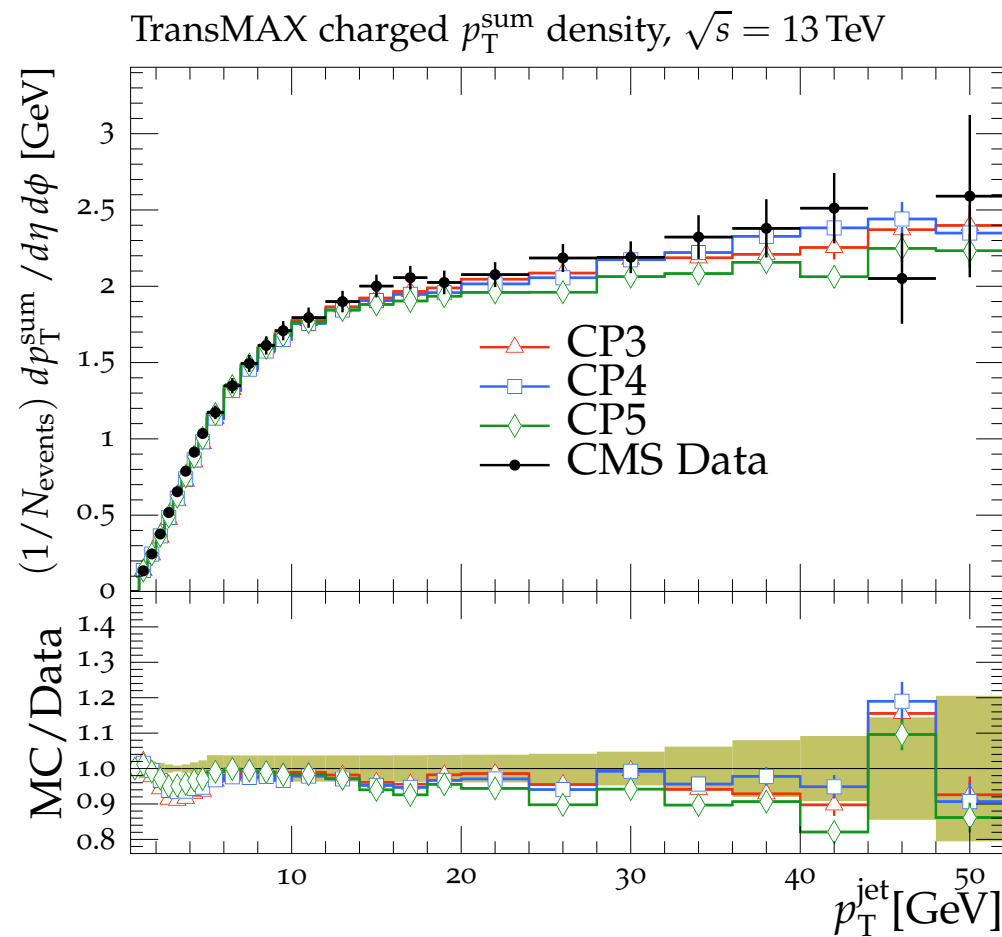
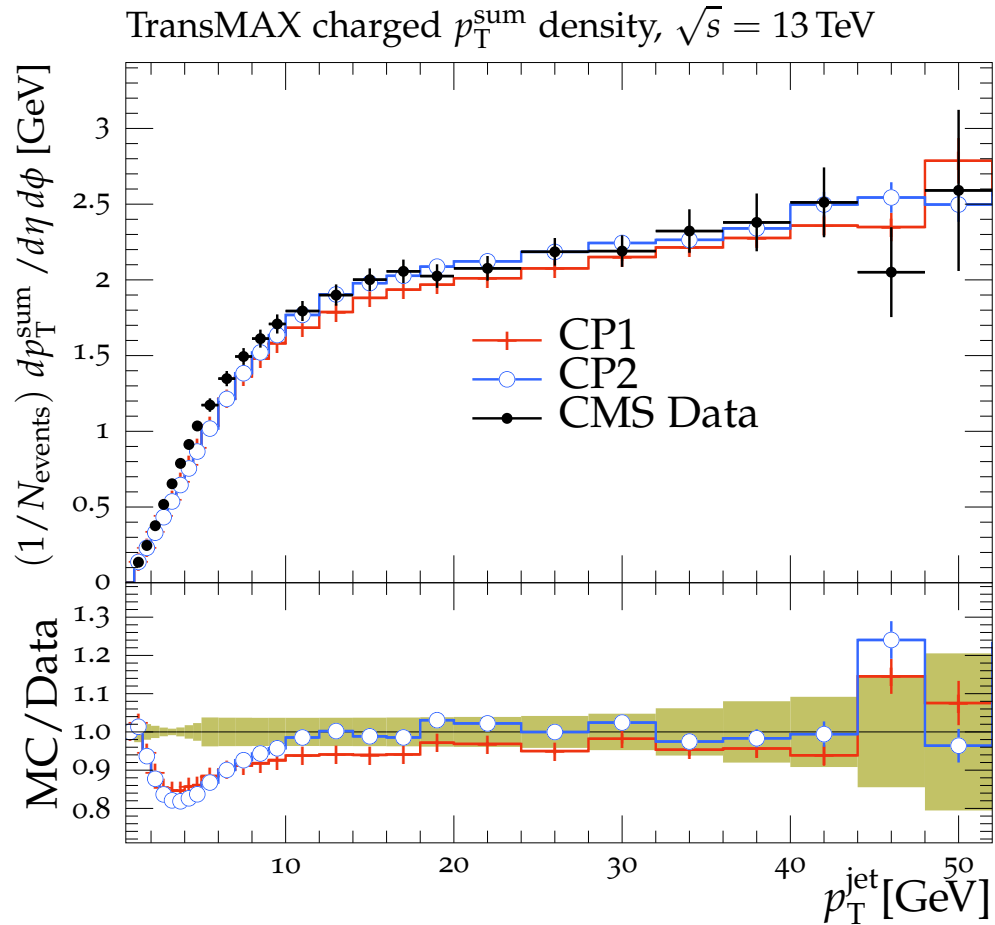
- Central values of min-bias data are described within $\sim 5\%$ of uncertainties by tunes with LO, NLO, and NNLO NNPDF3.1 sets.
 - Central values of the LO-PDF-tunes worse description of data for $|\eta| > 1.5$

α_s Consistency in ME and PS and PDF Choices – Underlying Event



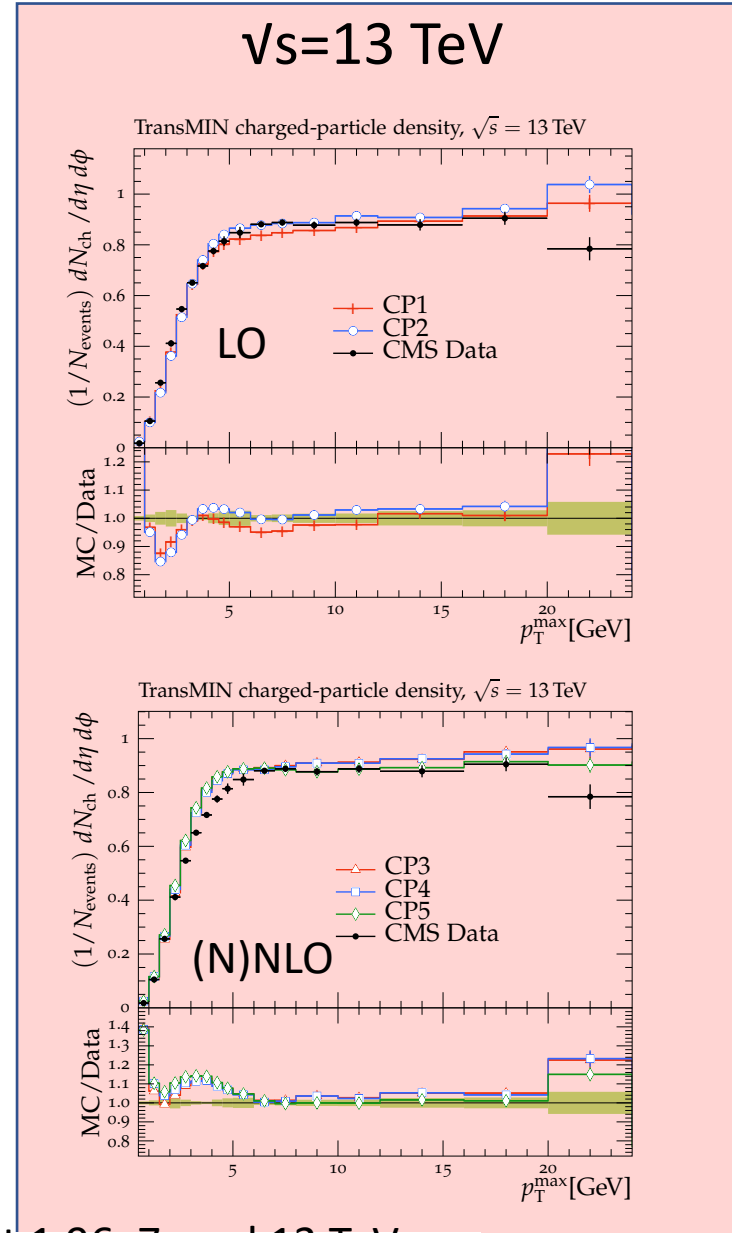
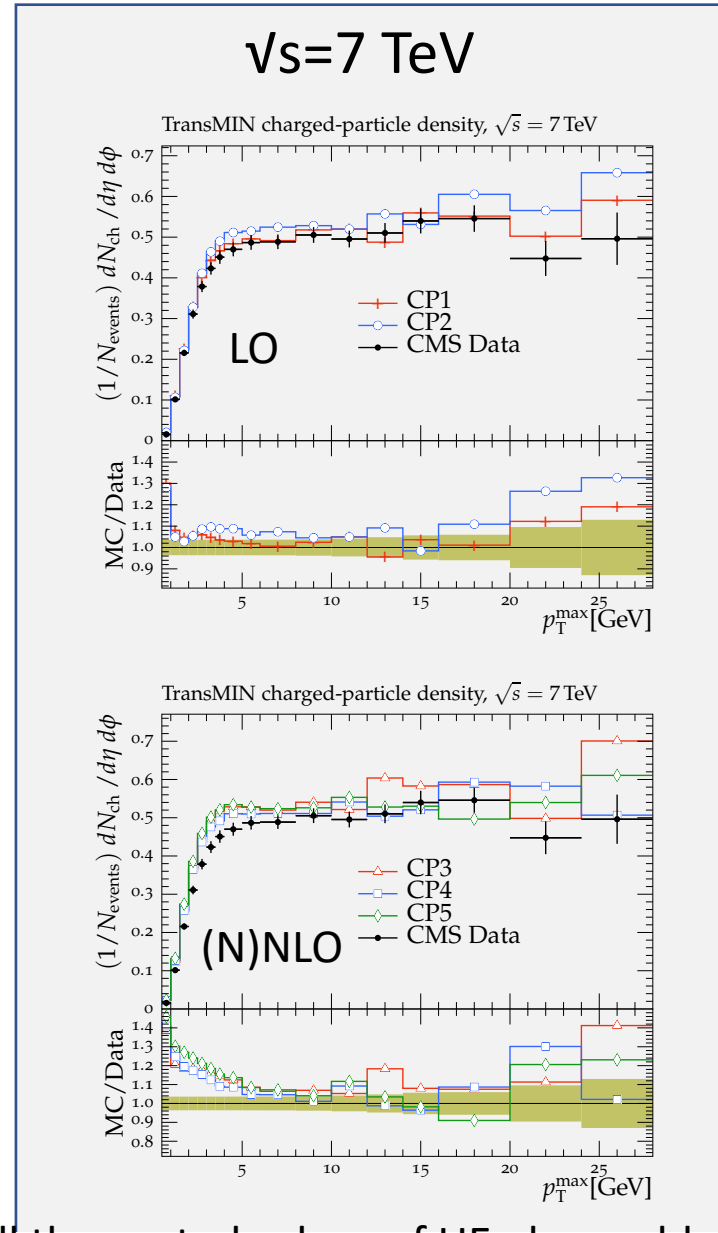
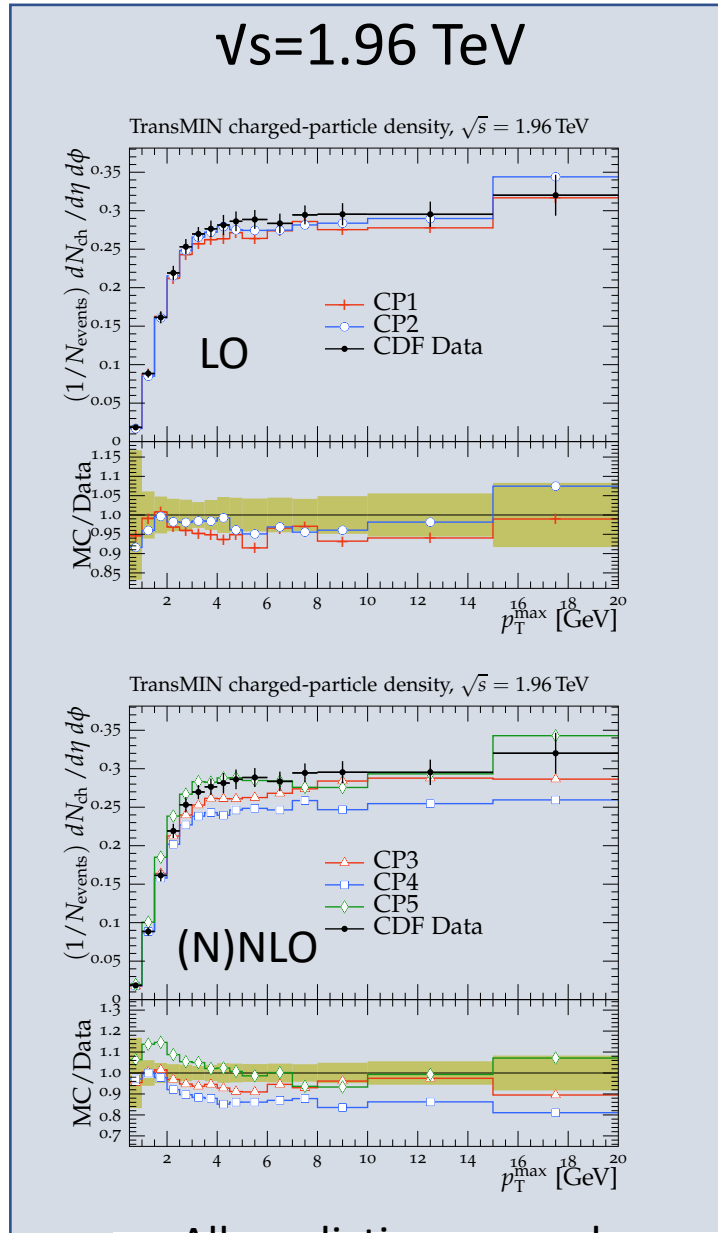
[arXiv:1903.12179](https://arxiv.org/abs/1903.12179)

- 13 TeV UE data are described at the same level by tunes with LO, NLO, and NNLO NNPDF3.1 sets.



- Lower p_T better described by (N)NLO PDF tunes.

CPX and Energy Dependence



- All predictions reproduce well the central values of UE observables at 1.96, 7, and 13 TeV.
- LO-PDF tunes slightly better in describing the energy dependence.

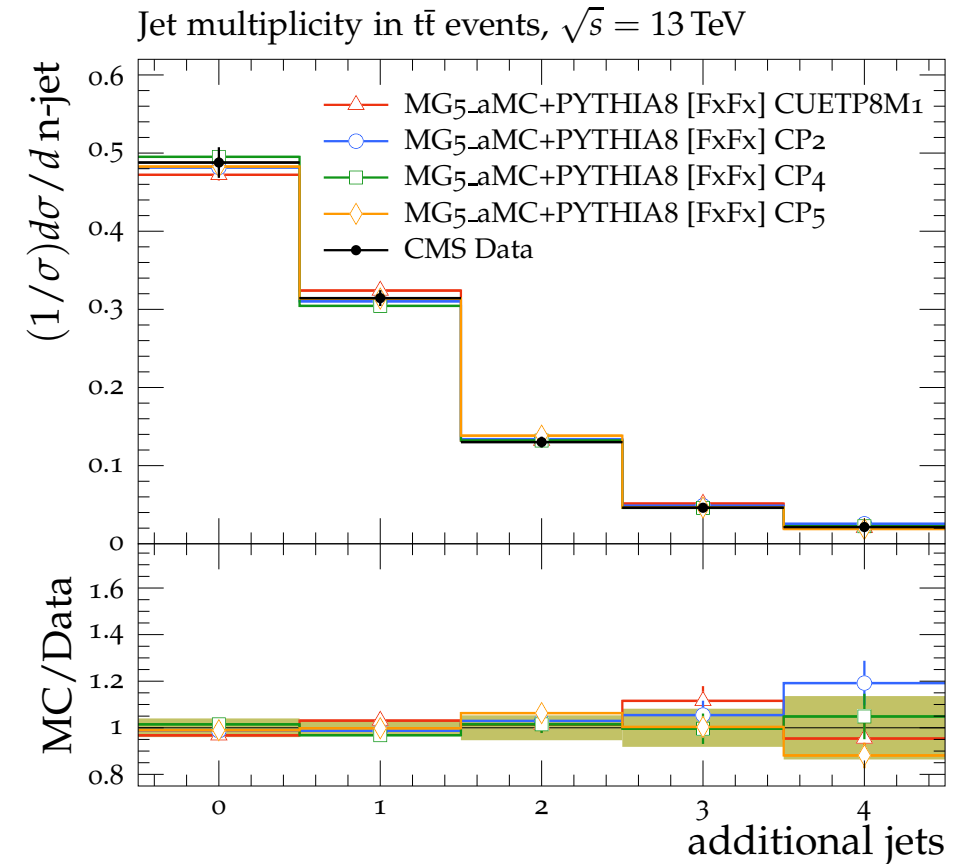
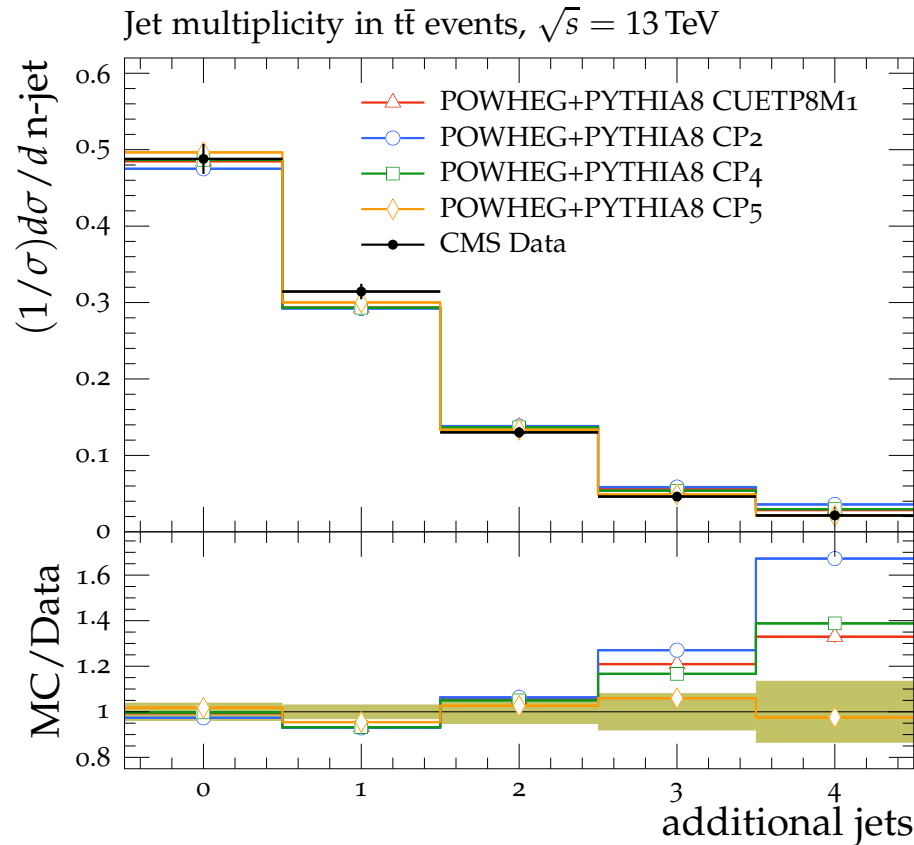
CPX and ttbar

arXiv:1903.12179

Matrix elements:

- POWHEG: inclusive ttbar at NLO, additional jet at LO
 - hdamp tuned using 8 TeV data and CP5 tune
- MG5_aMC[FxFx]: ≤ 2 parton at NLO 3rd at LO
- NNPDF3.1 NNLO
 - $\alpha_s(m_Z)=0.118$ for both cases

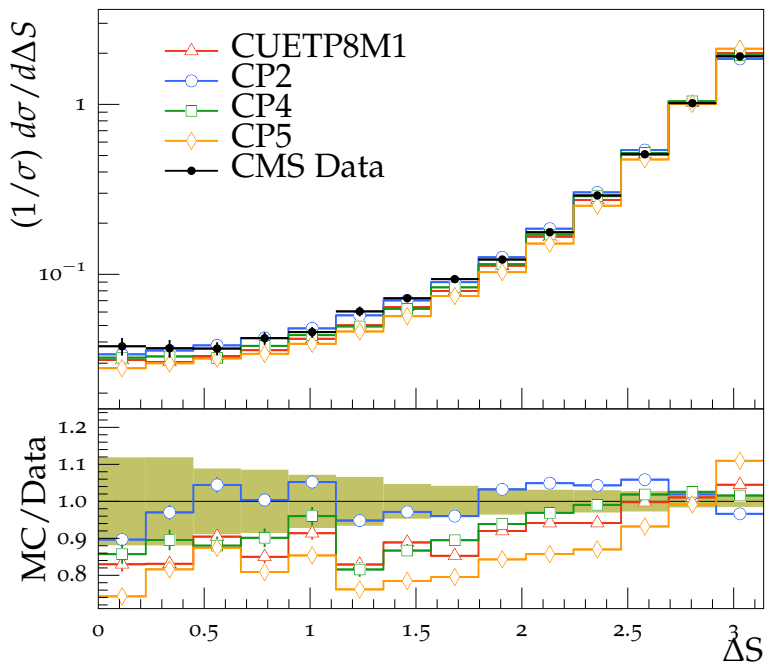
$$\mu_R = \mu_F = m_T^t = \sqrt{m_t^2 + p_T^2}$$



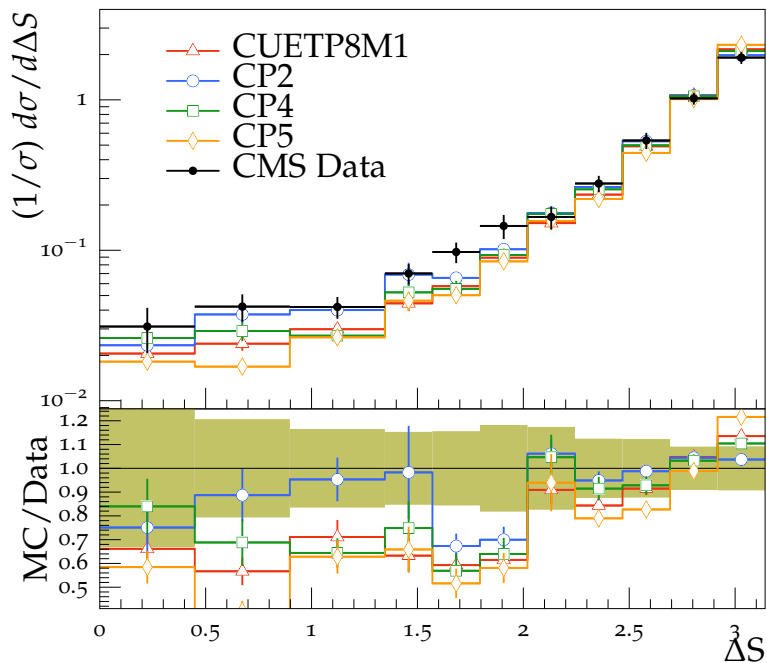
- CP5 (and CUETP8M2T4) \rightarrow RapidityOrdering for ISR = on
 - Makes a big difference in POWHEG+PYTHIA8
- All predictions equivalently good for MG5_aMC+PYTHIA8 [FxFx]

CPX and double parton scattering

Normalized ΔS in $pp \rightarrow 4j$ in $|\eta| < 4.7$, $\sqrt{s} = 7$ TeV



Normalized ΔS in $pp \rightarrow 2b+2j+X$, $\sqrt{s} = 7$ TeV



Final state	Generator	σ_{eff} [mb] ($\sqrt{s} = 7$ TeV)
4j	PYTHIA8	$19.0^{+4.7}_{-3.0}$ [5]
2b2j	PYTHIA8	$23.2^{+3.3}_{-2.5}$ [64]

	$\sqrt{s} = 7$ TeV σ_{eff} [mb]	$\sqrt{s} = 13$ TeV σ_{eff} [mb]
CP1	$26.3^{+1.0}_{-1.7}$	$27.8^{+1.1}_{-1.4}$
CP2	$24.7^{+1.0}_{-1.6}$	$26.0^{+1.0}_{-1.3}$
CP3	$24.1^{+1.0}_{-1.5}$	$25.2^{+1.0}_{-1.3}$
CP4	$23.9^{+1.0}_{-1.5}$	$25.3^{+1.1}_{-1.4}$
CP5	$24.0^{+1.0}_{-1.6}$	$25.3^{+1.0}_{-1.3}$

[arXiv:1903.12179](https://arxiv.org/abs/1903.12179)

$$\Delta S = \arccos \left(\frac{\vec{p}_{T,1} \cdot \vec{p}_{T,2}}{|\vec{p}_{T,1}| |\vec{p}_{T,2}|} \right)$$

- DPS effective cross sections from the individual measurements and different tunes compatible within $\sim 1\sigma$.
- (N)NLO PDF tunes or RapidityOrdering gives worse predictions for DPS sensitive observables for CPX tunes.
- CUETP8M1 already not good (although LO and even w/o rapidity ordering)

CPX and Z+Jets

arXiv:1903.12179

Matrix elements:

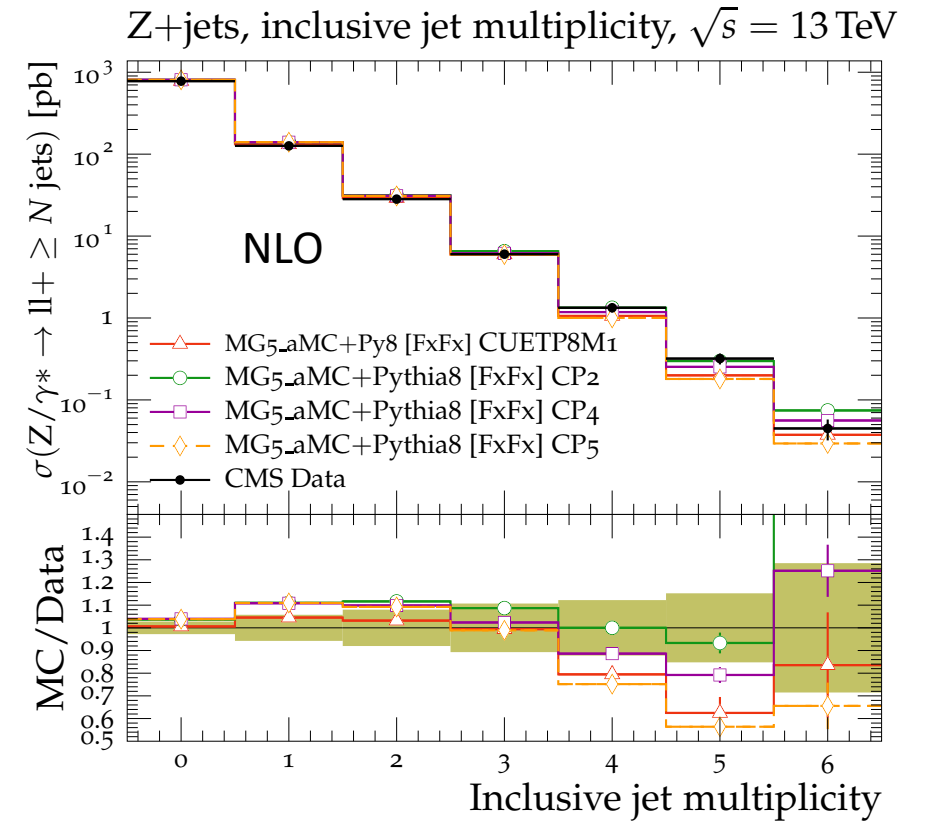
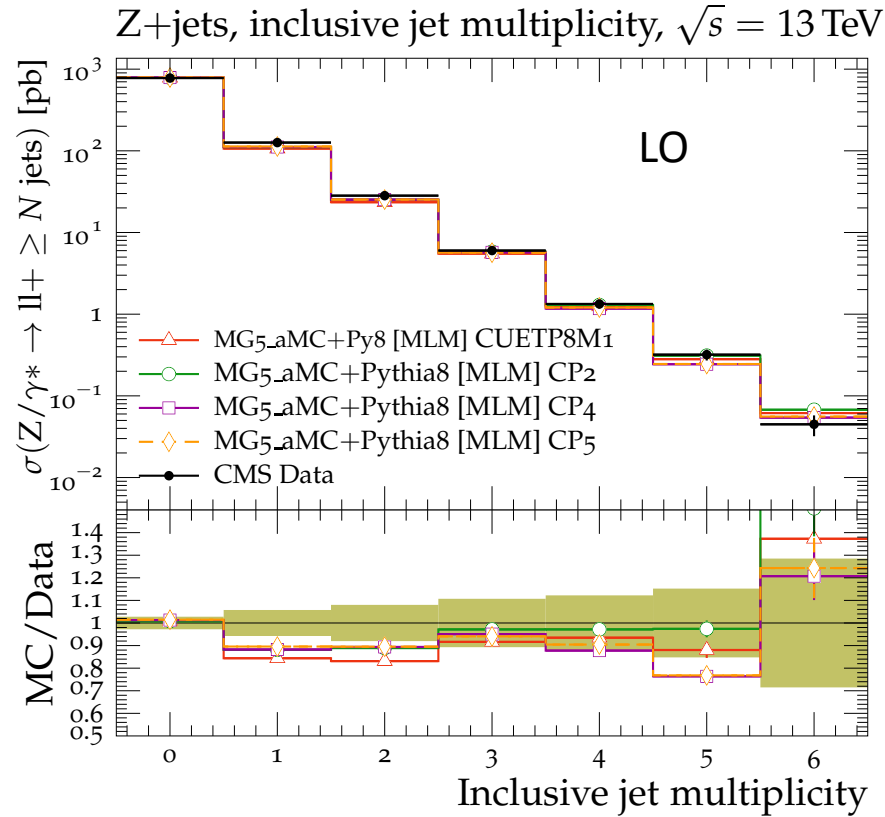
- LO: ≤ 3 partons
- NLO: ≤ 2 parton at NLO 3rd at LO
- NNPDF3.1 NNLO
- $\alpha_s(m_Z)=0.118$ for both cases

$$p_T^{\ell\ell} > 20 \text{ GeV}, |y^{\ell\ell}| < 2.4,$$

$$|m^{\ell\ell} - 20| < 91 \text{ GeV}$$

$$p_T^{\text{jet}} > 30 \text{ GeV},$$

$$|y^{\text{jet}}| < 2.4$$



- Little sensitivity to the tune for low multiplicities.
- All tunes describe the central values of Njets reasonably well.
- CP2 has a slightly better description of the central values.
- CUETP8M1 and CP5 undershoot the data at the PS dominated region with at least 4 jets.

CPX and Z+Jets

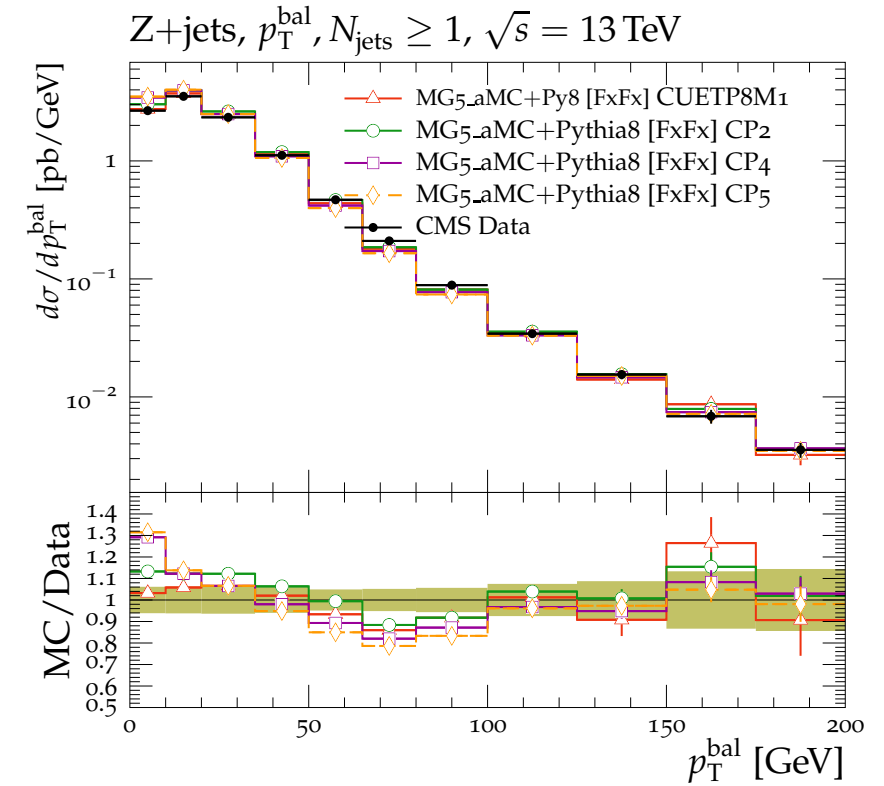
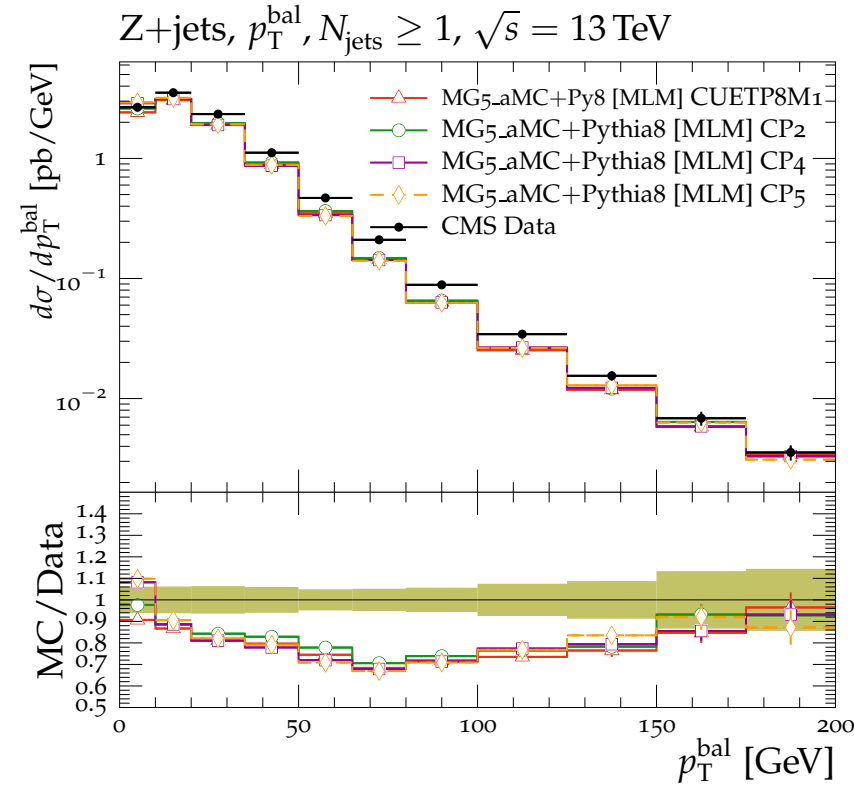
arXiv:1903.12179

$$p_T^{bal} = |\vec{p}_T(Z) + \sum_{jets} \vec{p}_T(j_i)|$$

$$p_T^{jet} > 30 \text{ GeV},$$

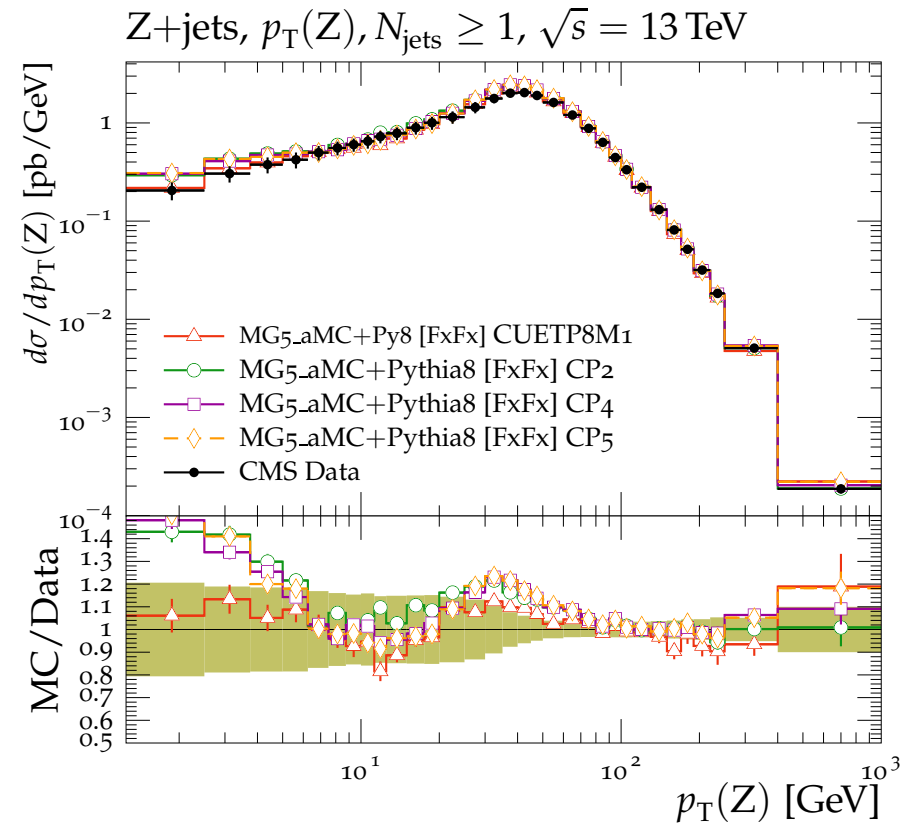
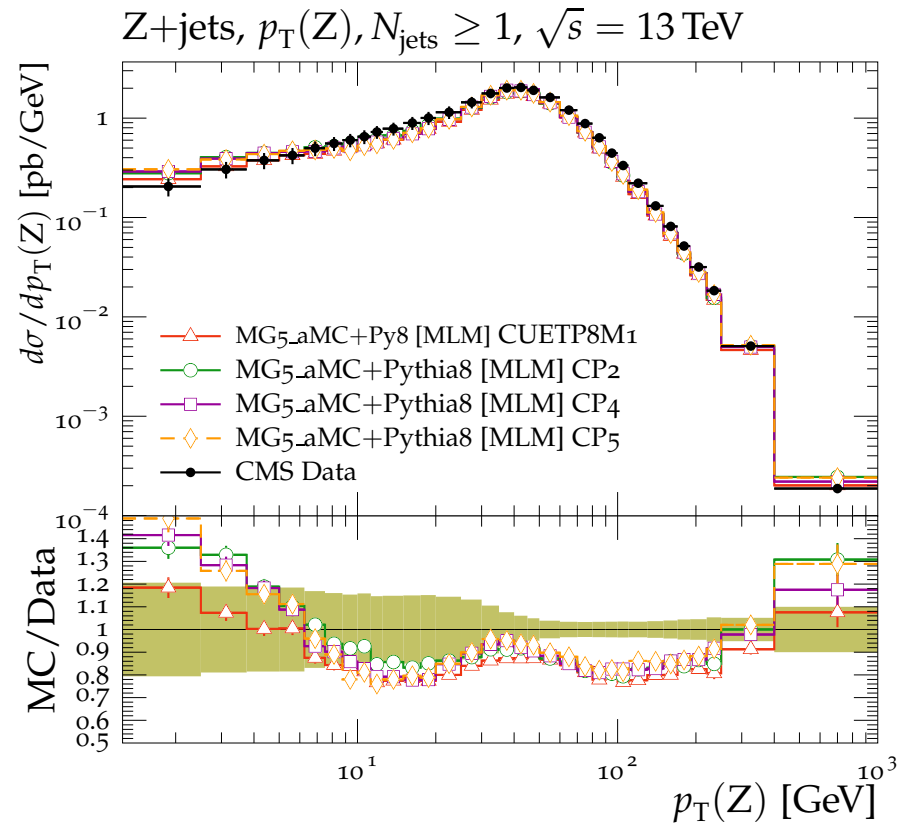
$$|y^{jet}| < 2.4$$

- Hadronic activity not clustered in jets → imbalance
 - Main contribution from forward jets
 - Gluon radiation with $p_T > 30 \text{ GeV}$ not clustered.



- Different predictions from tunes $p_T^{bal} < 20 \text{ GeV}$.
 - In this region LO-PDF tunes better describe the data for FxFx.

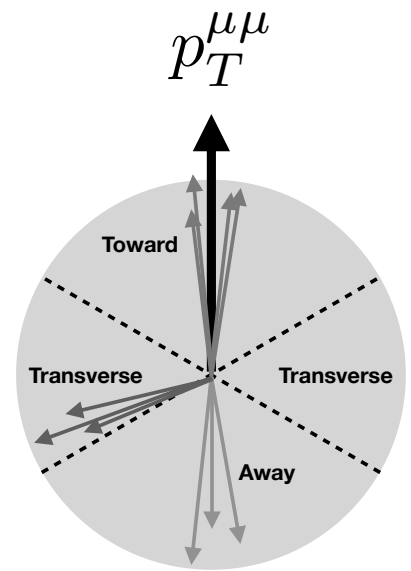
CPX and Z+Jets



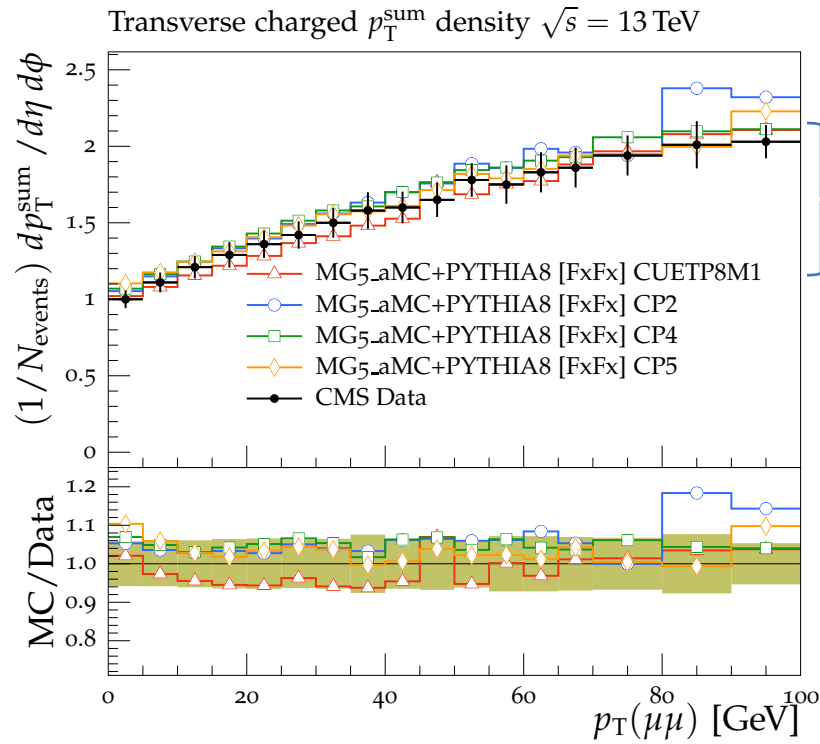
- MLM: poor agreement with data
- FxFx: all tunes give reasonable agreement for $p_T(Z) > \sim 5 \text{ GeV}$
- $p_T(Z) < 10 \text{ GeV}$: description by CUETP8M1 is better

UE in Z+Jets Events

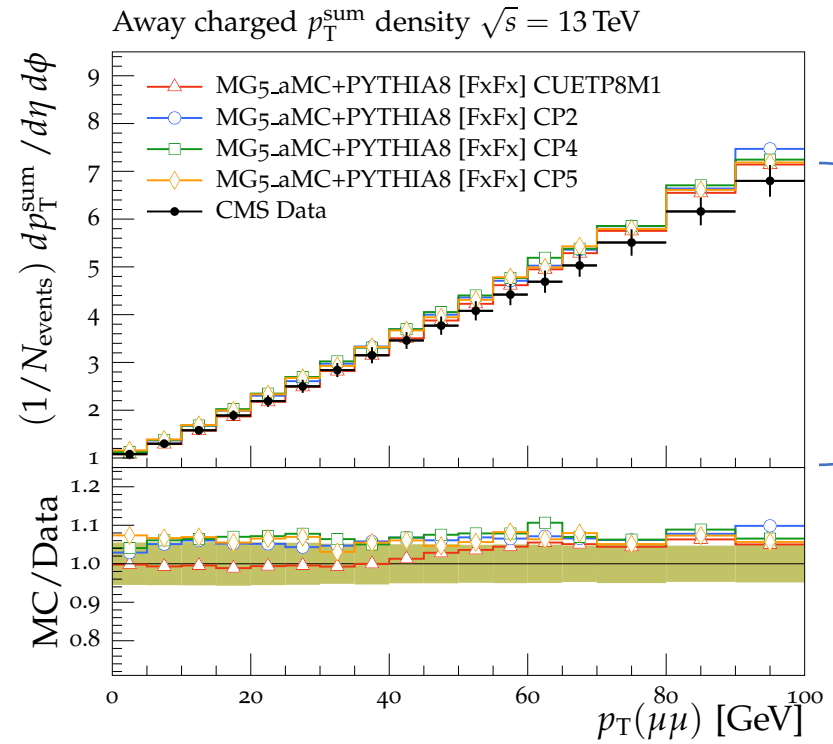
arXiv:1903.12179



$p_T^\mu > 20, 10 \text{ GeV},$
 $|M^{\mu\mu} - 20| = 91 \text{ GeV}$
 $p_T^{trk} > 0.5 \text{ GeV}, |\eta| < 2$



~ 1 GeV



~ 7 GeV

- Central values of the UE observables are, in general, well described by all tunes.
- Away region dominated by hadronic recoil system correlated with $p_T(\mu\mu)$
- $UE \neq 0$ when $p_T(\mu\mu) \rightarrow 0$ because of the large initial scale in Z boson events \rightarrow significant overlap between transverse parton densities of the colliding protons \rightarrow Larger # MPI
- UE activity becomes similar in different regions with $p_T(\mu\mu) \sim 0$ \leftarrow activity in the three regions mostly due to varying ISR/FSR contributions.

Summary - 1

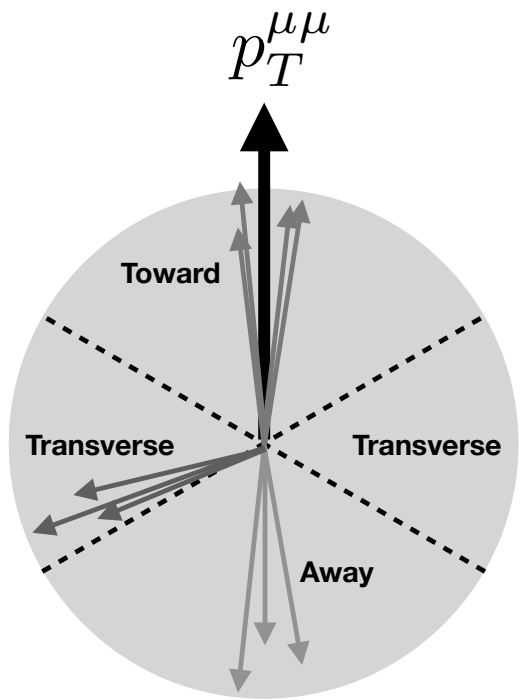
- New CMS tunes CUETP8M2T4 and CP1-5 describe the central values of the data better than CUETP8M1.
- ttbar data starting to help reduce modelling uncertainties.
- None of the tunes prior to CPX describe the ttbar jet sub-structure data
- ttbar jet data
 - $N_{\text{jets}} \rightarrow \alpha_s^{\text{ISR}}(M_Z) \sim 0.118$ (also by azimuthal dijet correlation data)
 - groomed subjects $\rightarrow \alpha_s^{\text{FSR}}(M_Z) \sim 0.118$
- First ever measurement of underlying event in ttbar events.
 - Universality of UE up to energy scale of > 350 GeV tested.

Summary - 2

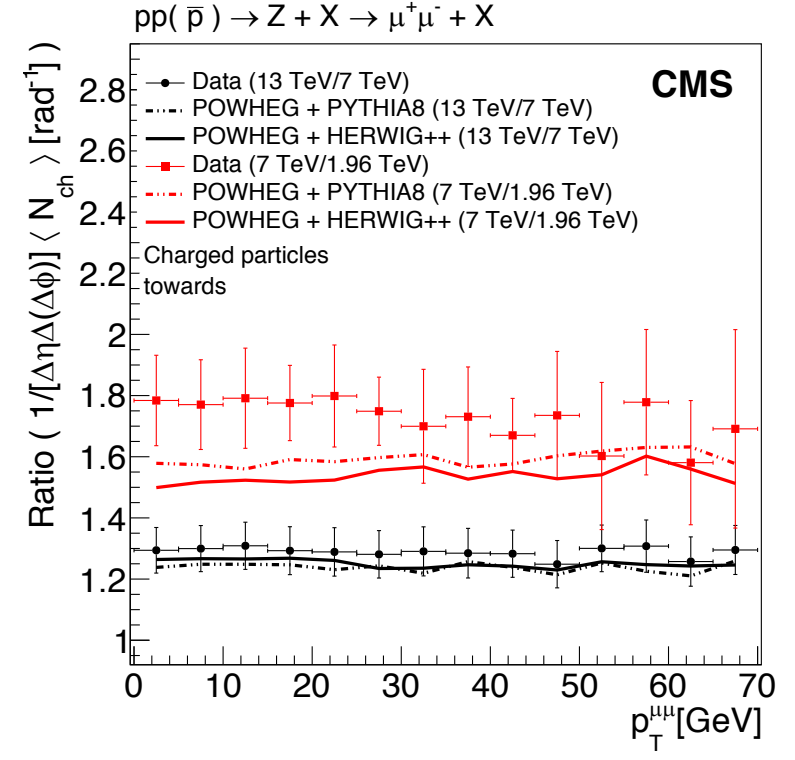
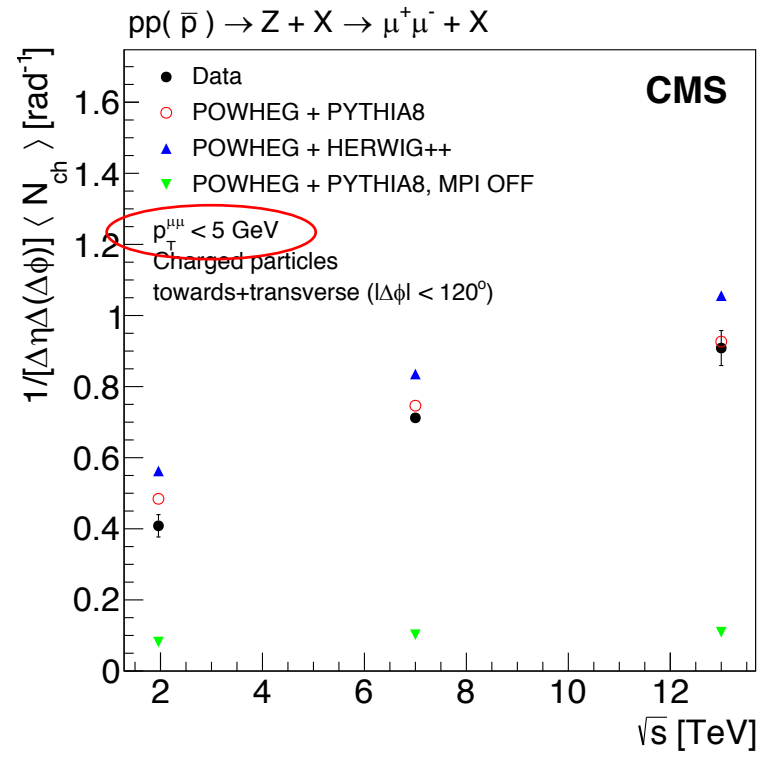
- Tunes are tested for which $\alpha_s(M_Z)$ used for the hard scattering, ISR, FSR, and MPI are chosen consistently with the order of the PDF used.
- For the first time, predictions from PYTHIA8 with (N)NLO-PDF-based tunes are shown to reliably describe the central values of min-bias and underlying event data with similar or better level agreement to predictions from LO-PDF tunes.
- Irrespective of the order of NNPDF3.1 PDF order, predictions from CPX tunes reproduce the UE from 1.96-13 TeV reasonably well (and better than CUETP8M1).
- CPX tunes simultaneously describe the N_{ch} in diffractive and inelastic collisions.
- CPX tunes describe the min-bias data up to $|\eta| < 4.7$.
- No tune describes the very forward region ($-6.6 < \eta < -5.2$).
- New tunes tested against min-bias, UE, $t\bar{t}$, DY, dijet, V+jets, DPS data.

Additional Material

UE in Z+Jets Events



$p_T^{\mu\mu} > 20, 10 \text{ GeV}$,
 $|M^{\mu\mu} - 20| = 91 \text{ GeV}$
 $p_T^{trk} > 0.5 \text{ GeV}, |\eta| < 2$



- $p_T(\mu\mu) < 5 \text{ GeV} \rightarrow$ Mainly MPI
 - very small contribution from radiation
- UE activity ~doubles w/ logarithmic increase from $\sqrt{s}=1.96$ to 13 TeV.
- Powheg+Pythia8 provides better description.

- The increase in UE from 7 to 13 TeV is described well by simulations but underestimate the UE evolution from 1.96 to 7 TeV