

# New Physics Mining with Deep Learning at the LHC

Maurizio Pierini



O. Cerri et al., [arXiv:1812.XXXXX](#)  
O. Cerri et al., [arXiv:19YY.ZZZZZ](#)



# About this seminar

- *An idea we are working on since a while (there is always something useful to make it better)*
- *Work is still in progress. Results are preliminary, but we are refining them to get soon a paper out*
- *Work done in collaboration with Caltech CMS group*



**OLMO CERRI**  
**(PHD STUDENT)**



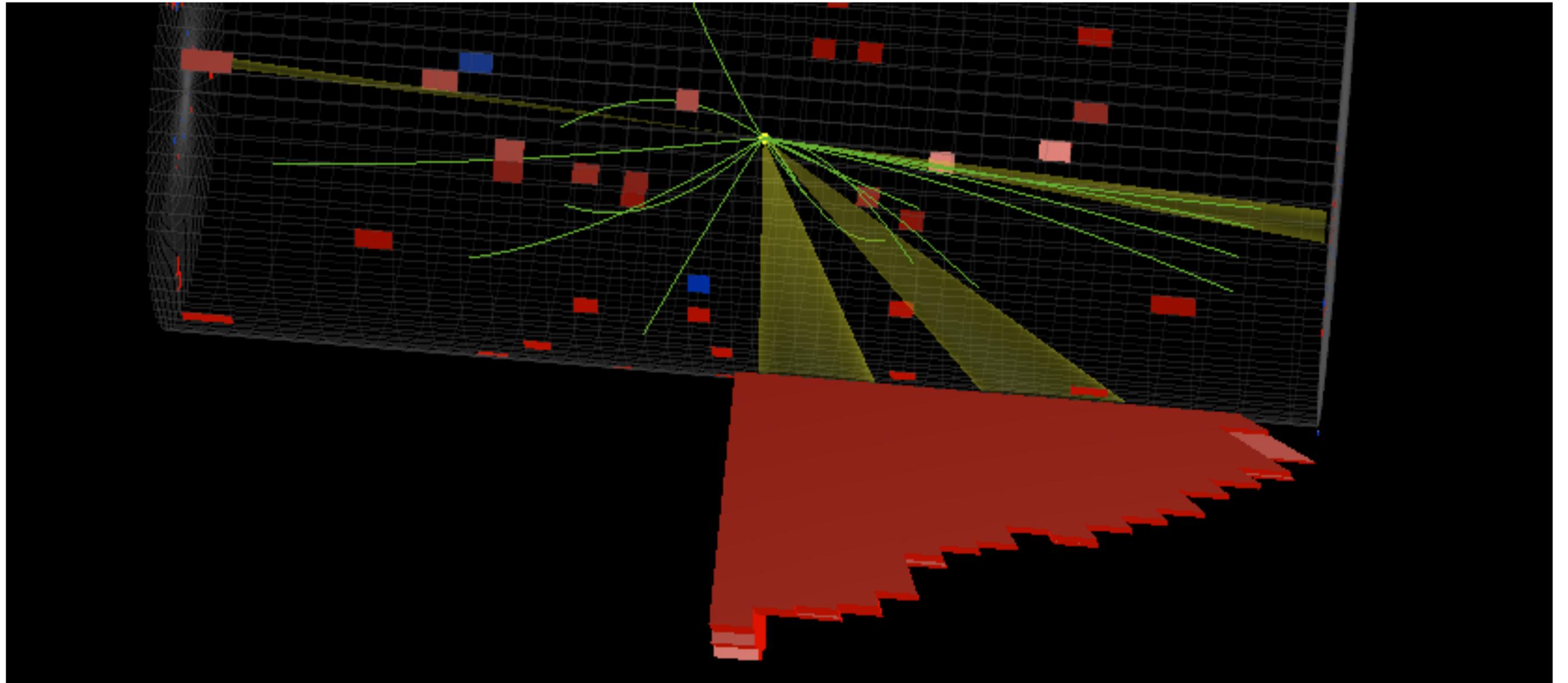
**THONG NGUYEN**  
**(PHD STUDENT)**



**MARIA SPIROPULU**



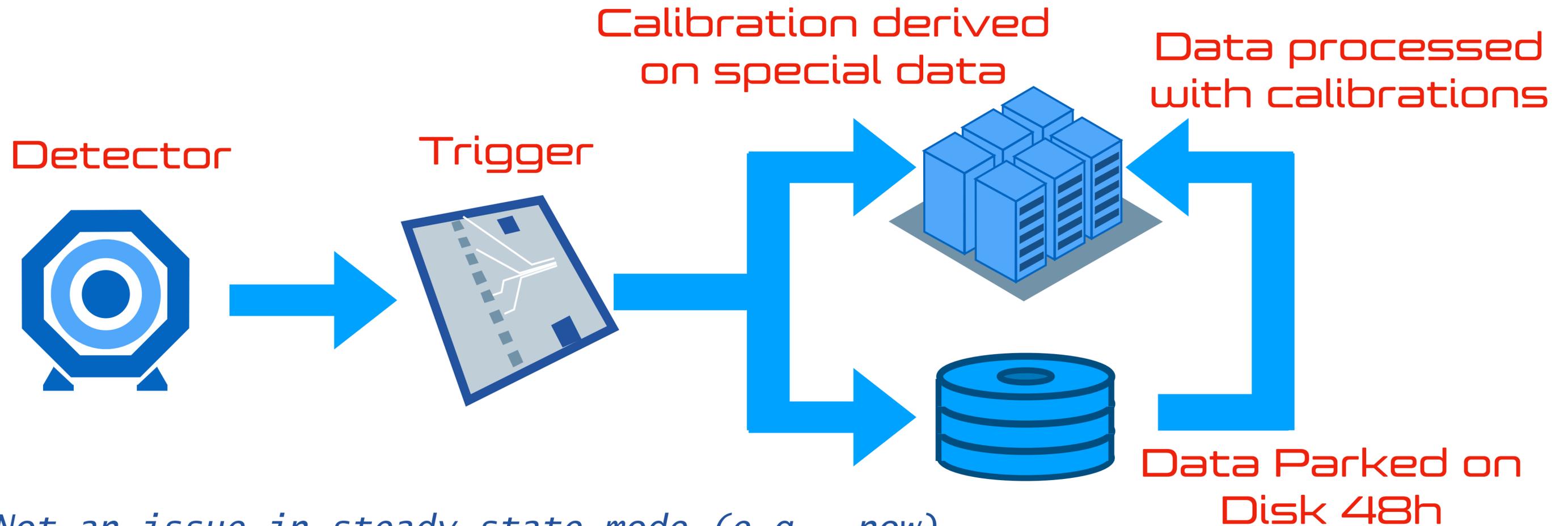
**JEAN-ROCH VLIMANT**



# BSM as Anomalous events at LHC Run I

# The CMS data-flow

◎ Traditionally, data take 2-7 days before becoming available for analysis



◎ Not an issue in steady-state mode (e.g., now)

◎ A substantial delay at startup, particularly if you hope for an early discovery

◎ This is why we implemented in 2009 an alarm system for special physics events

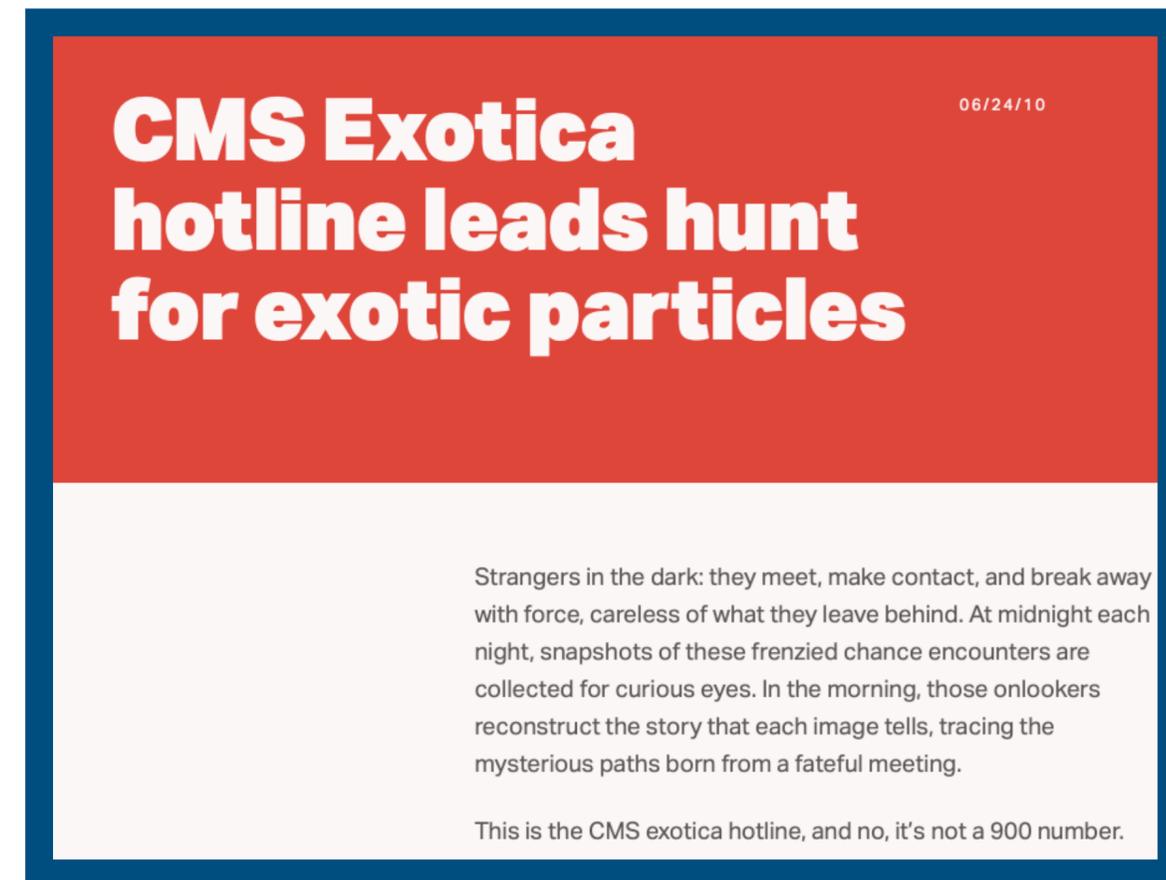
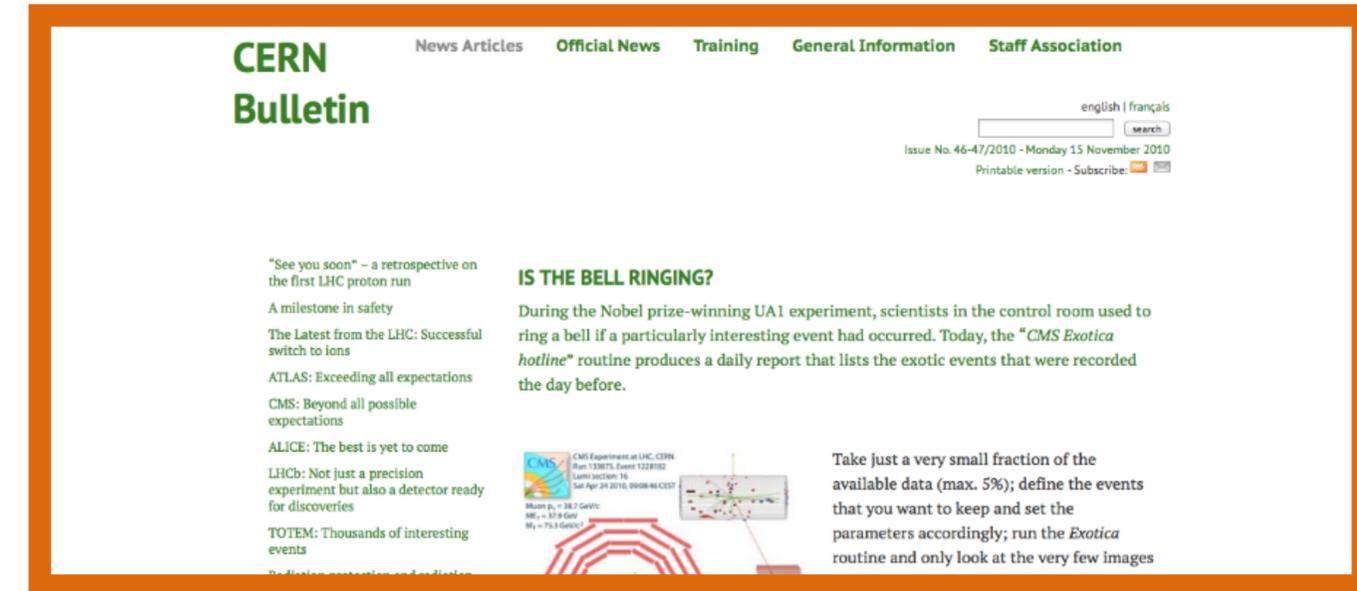
# The Exotica Hotline

- *Back in 2009, we implemented a set of triggers to catch rare (and possibly interesting events)*
- *high- $P_t$  jets, muons, electrons, photons or taus*
- *large lepton multiplicity*
- *large di-object invariant mass*
- *Stored  $O(10)$  events/day, processed in real time*
- *Studied by experts (visual inspection of event displays)*

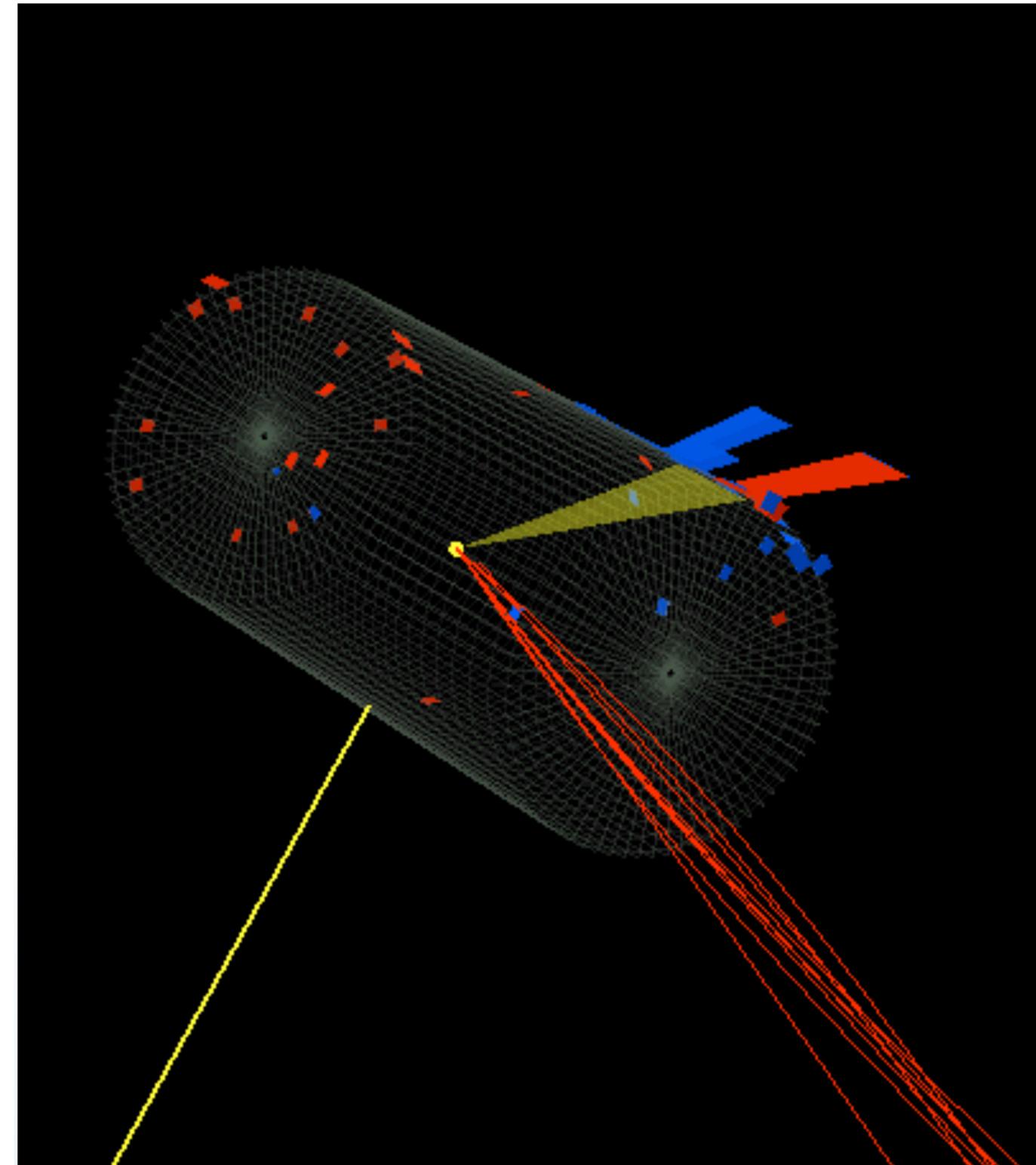
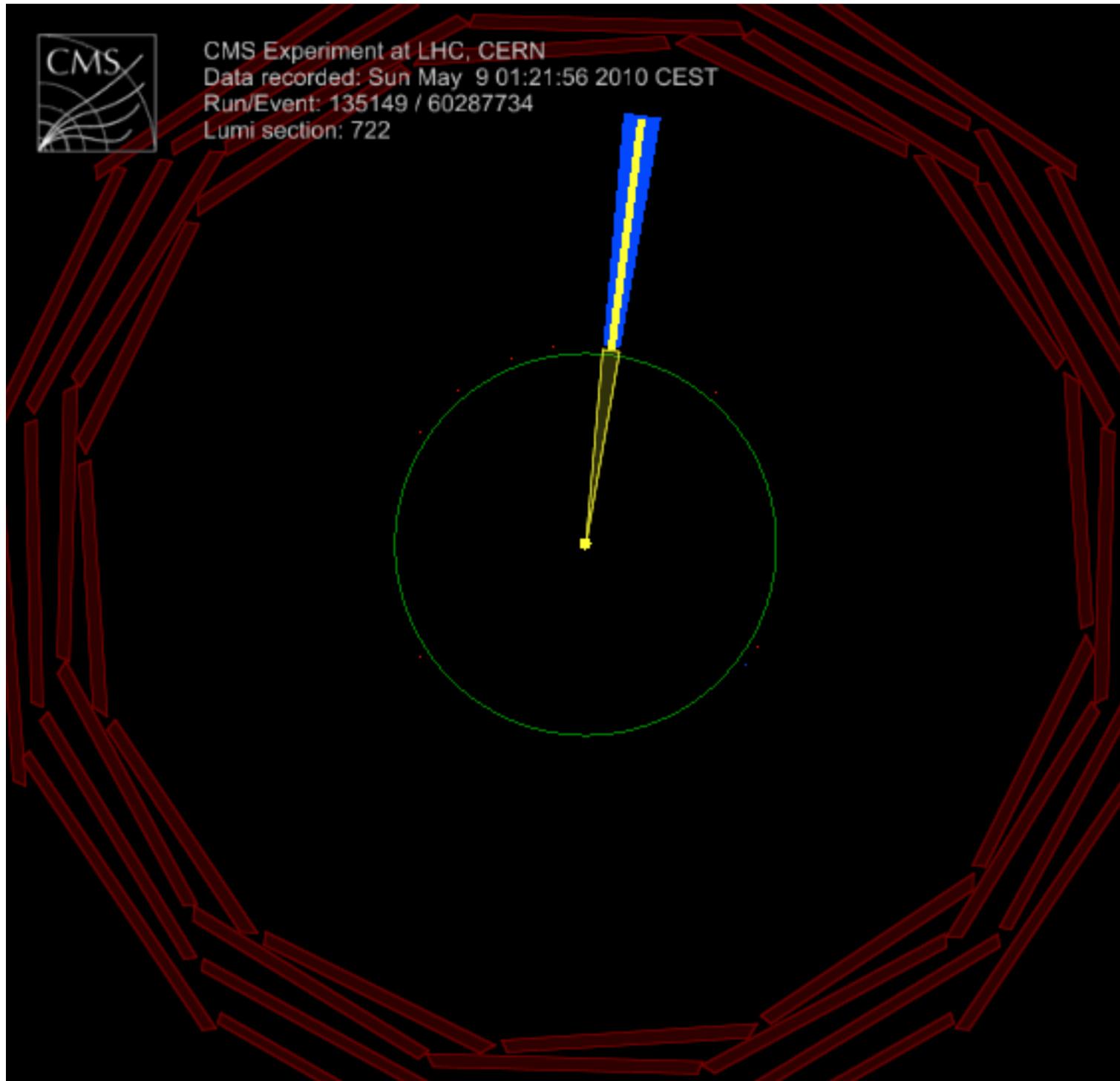
- **Jets**
  - ◆ at least 1 jet with  $p_T > 200$  GeV [updated from 150]
  - ◆ at least 5 jets with  $p_T > 40$  GeV
- **MET/HT**
  - ◆ MET  $> 250$  GeV
  - ◆ HT  $> 300$  GeV, calculated summing jets with  $p_T > 30$  GeV
- **Electrons**
  - ◆ at least 1 electron with  $p_T > 100$  GeV
  - ◆ at least 2 electrons with  $p_T > 15$  GeV
- **Photons**
  - ◆ at least 1 photon with  $p_T > 100$  GeV
  - ◆ at least 3 photons with  $p_T > 20$  GeV
- **Muons**
  - ◆ at least 1 muon with  $p_T > 100$  GeV
  - ◆ at least 2 muons with  $p_T > 15$  GeV
- **Tracks**
  - ◆ at least 600 tracks
- **dE/dX**
  - ◆ at least 1 track with  $p_T > 50$  GeV,  $dE/dx > 5.6$  MeV/cm

# The ringing bell...

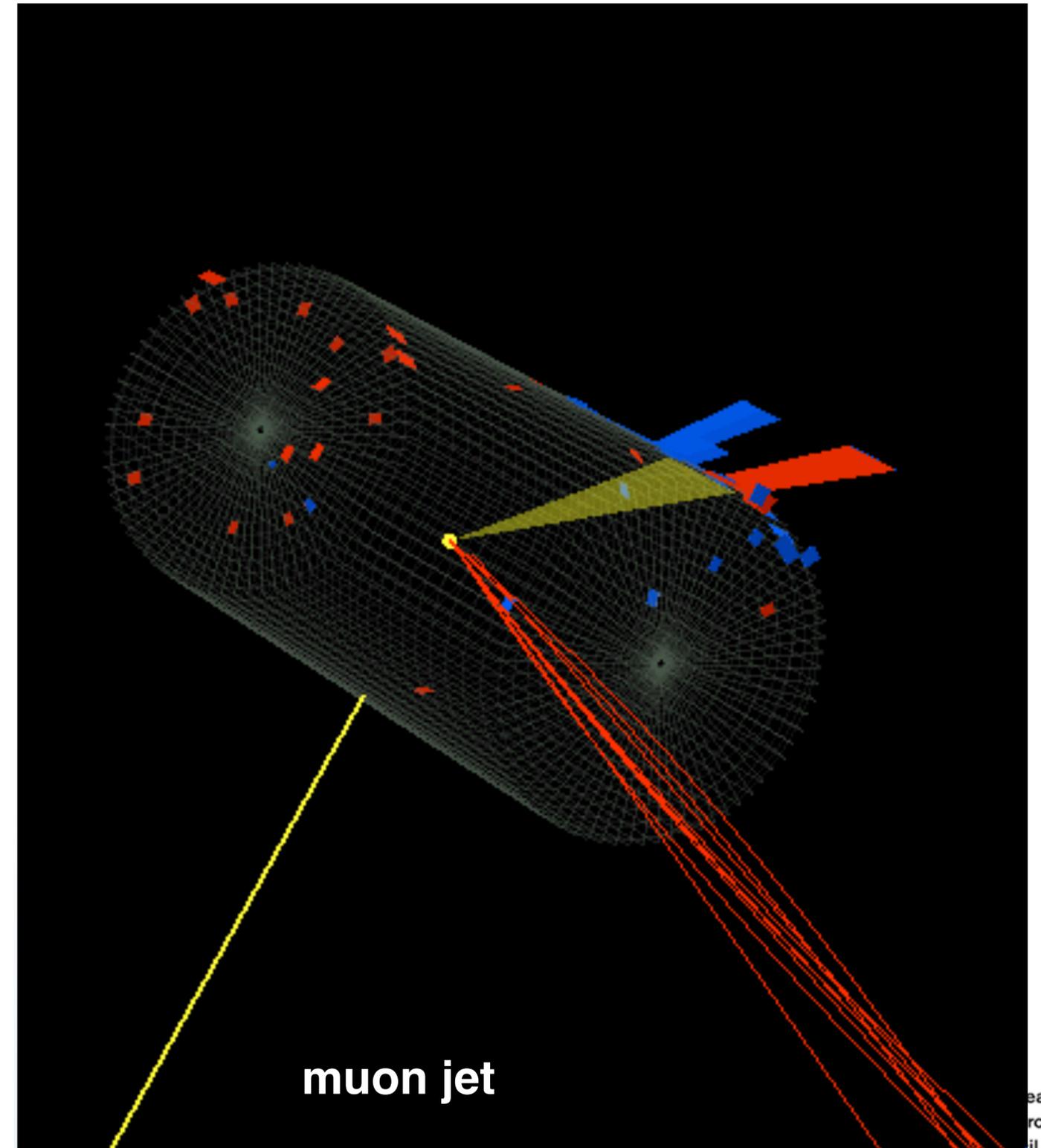
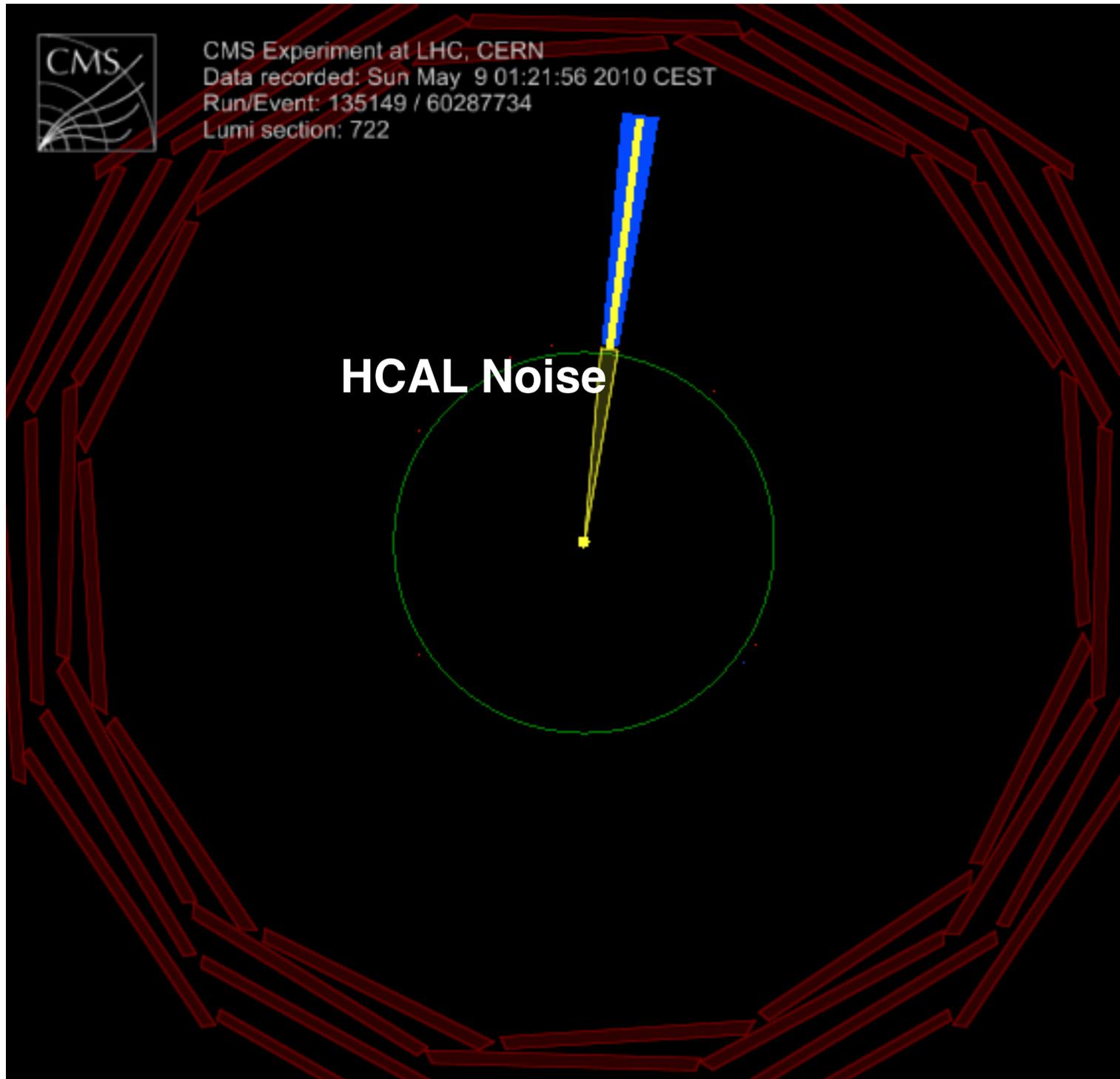
- *The system was deployed in 2010, with a real-time alert system and a team of expert scanners*
- *It got some attention back then*
- *It was actually very effective in discovering something (which unfortunately was not new physics)*



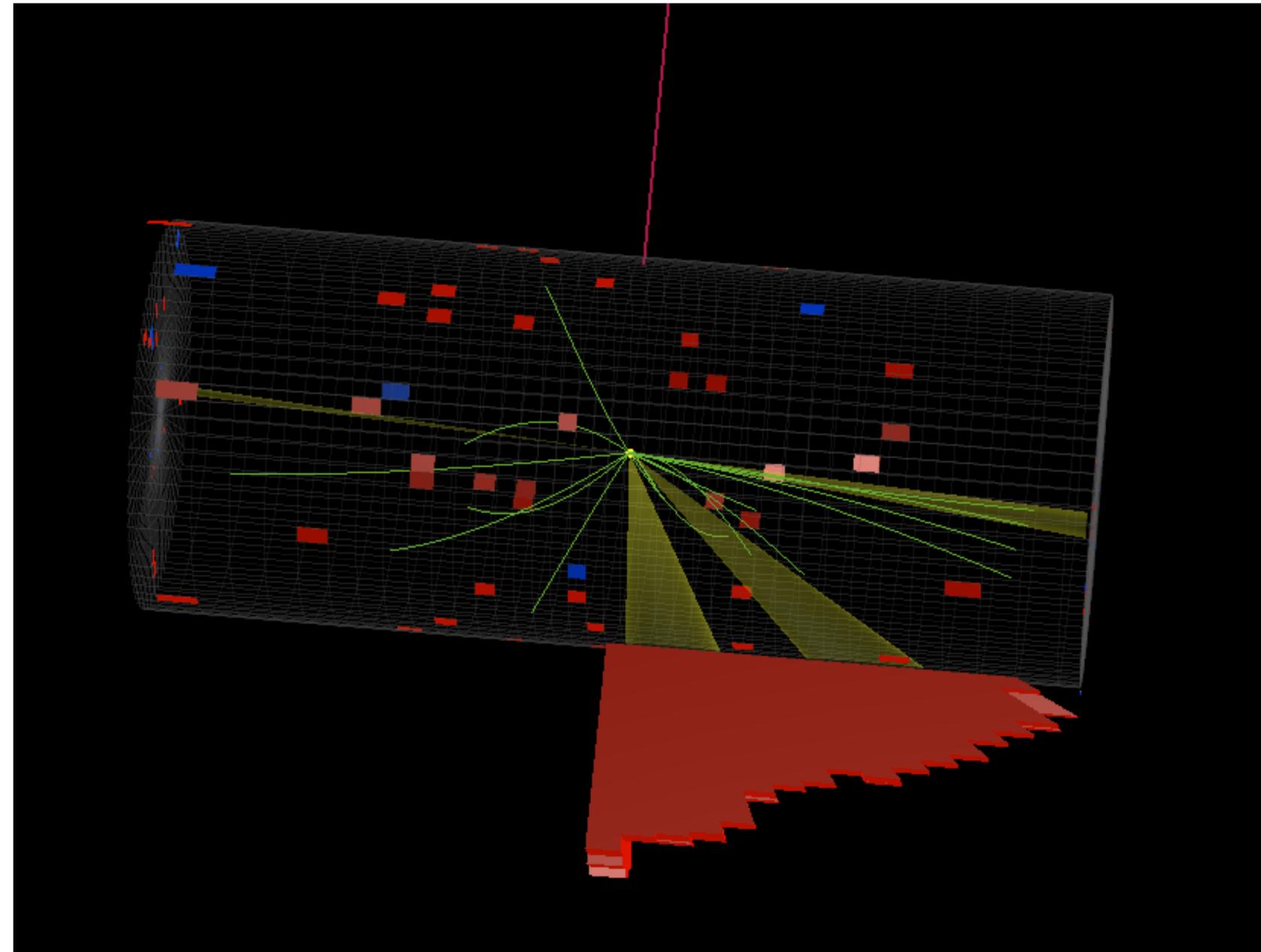
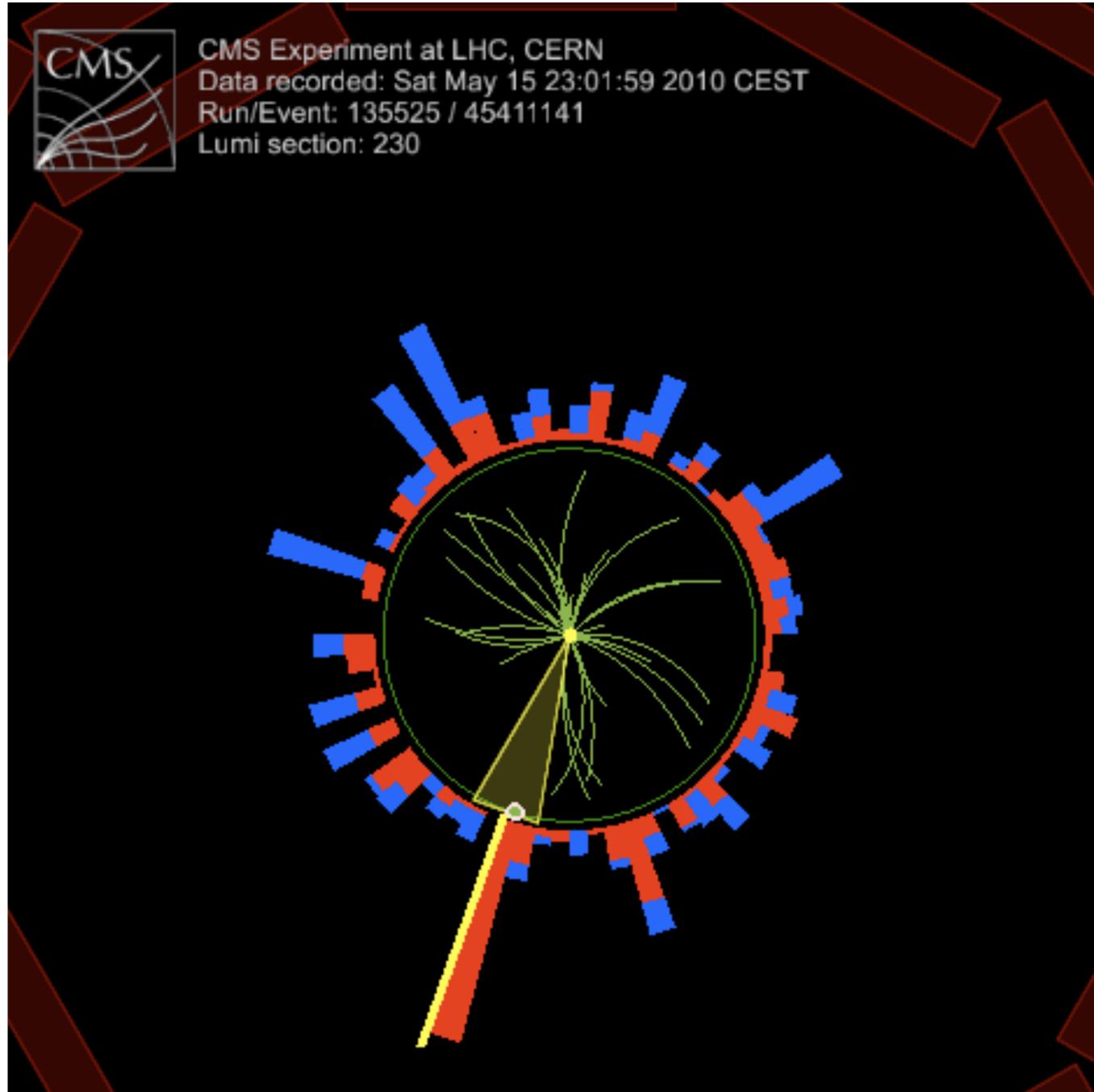
# What was “found”



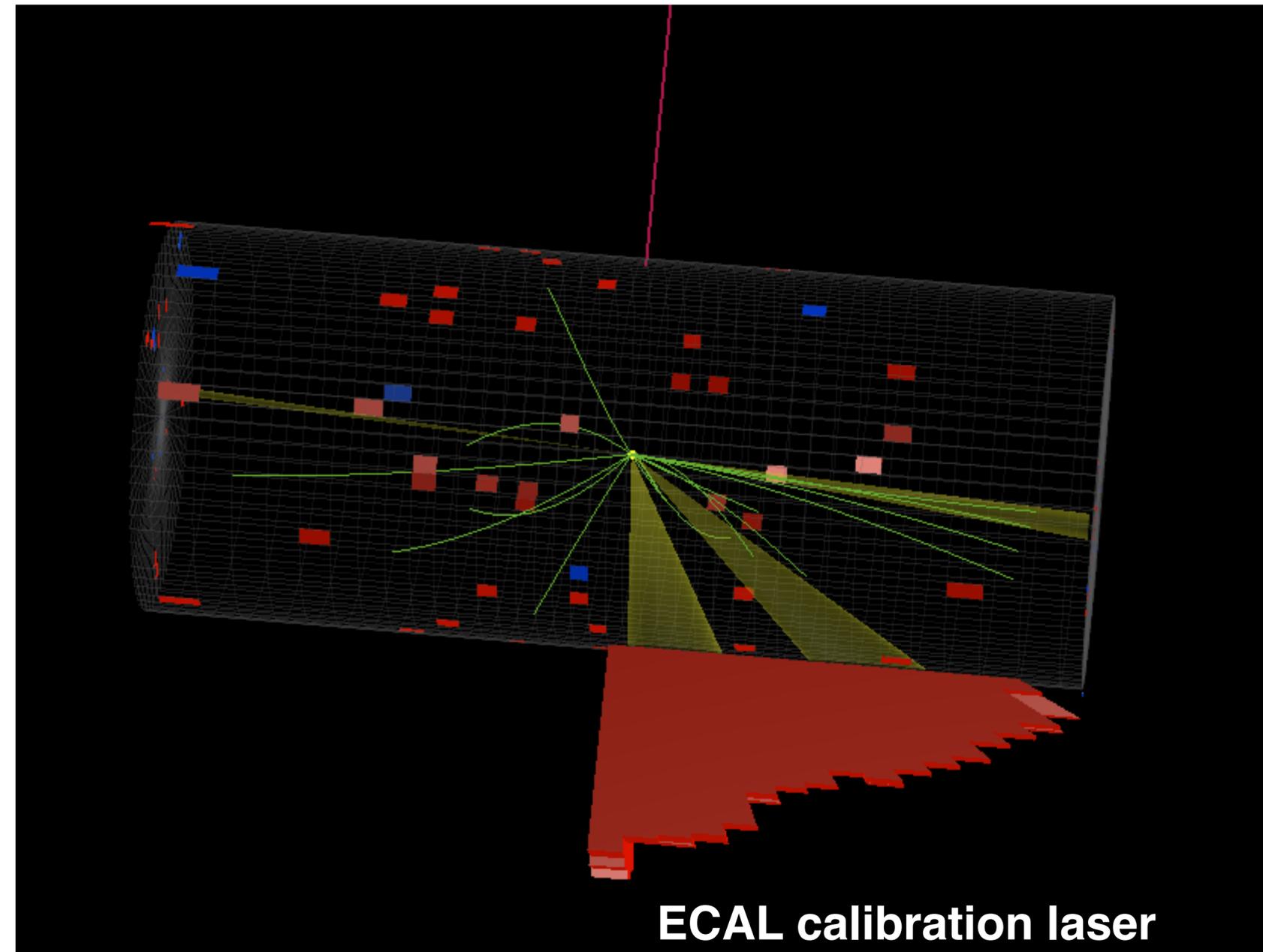
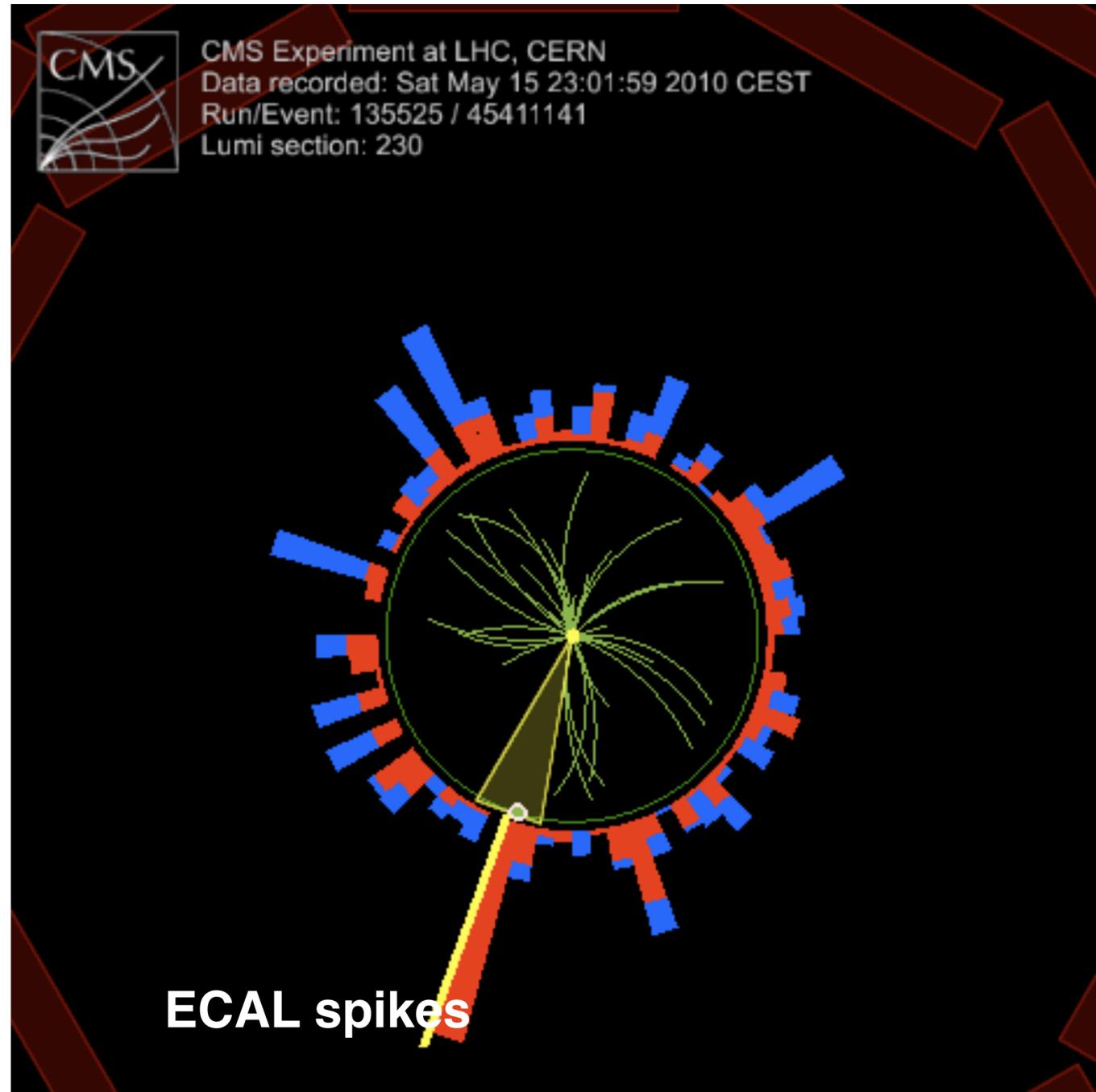
# What was “found”



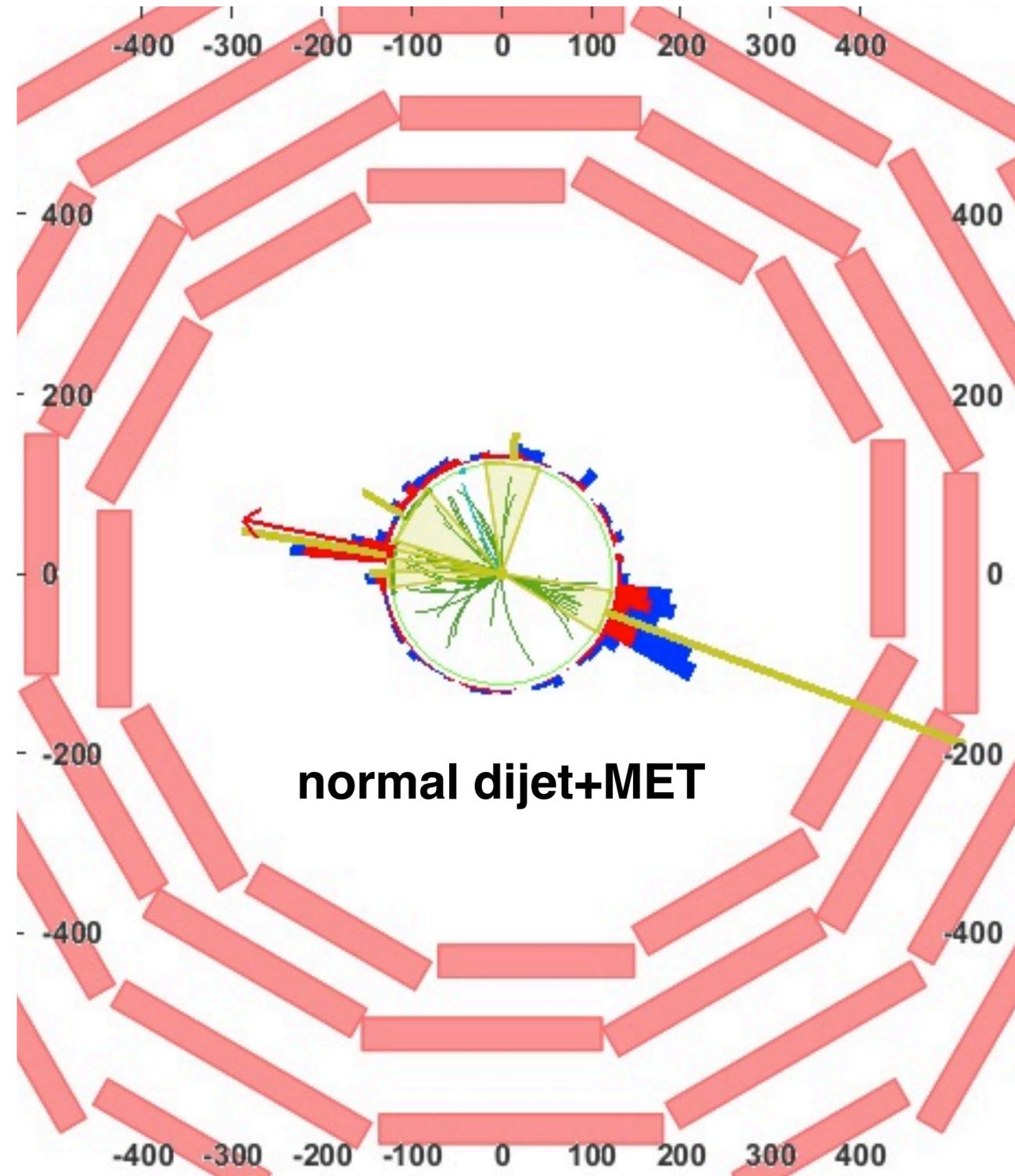
# What was “found”



# What was “found”

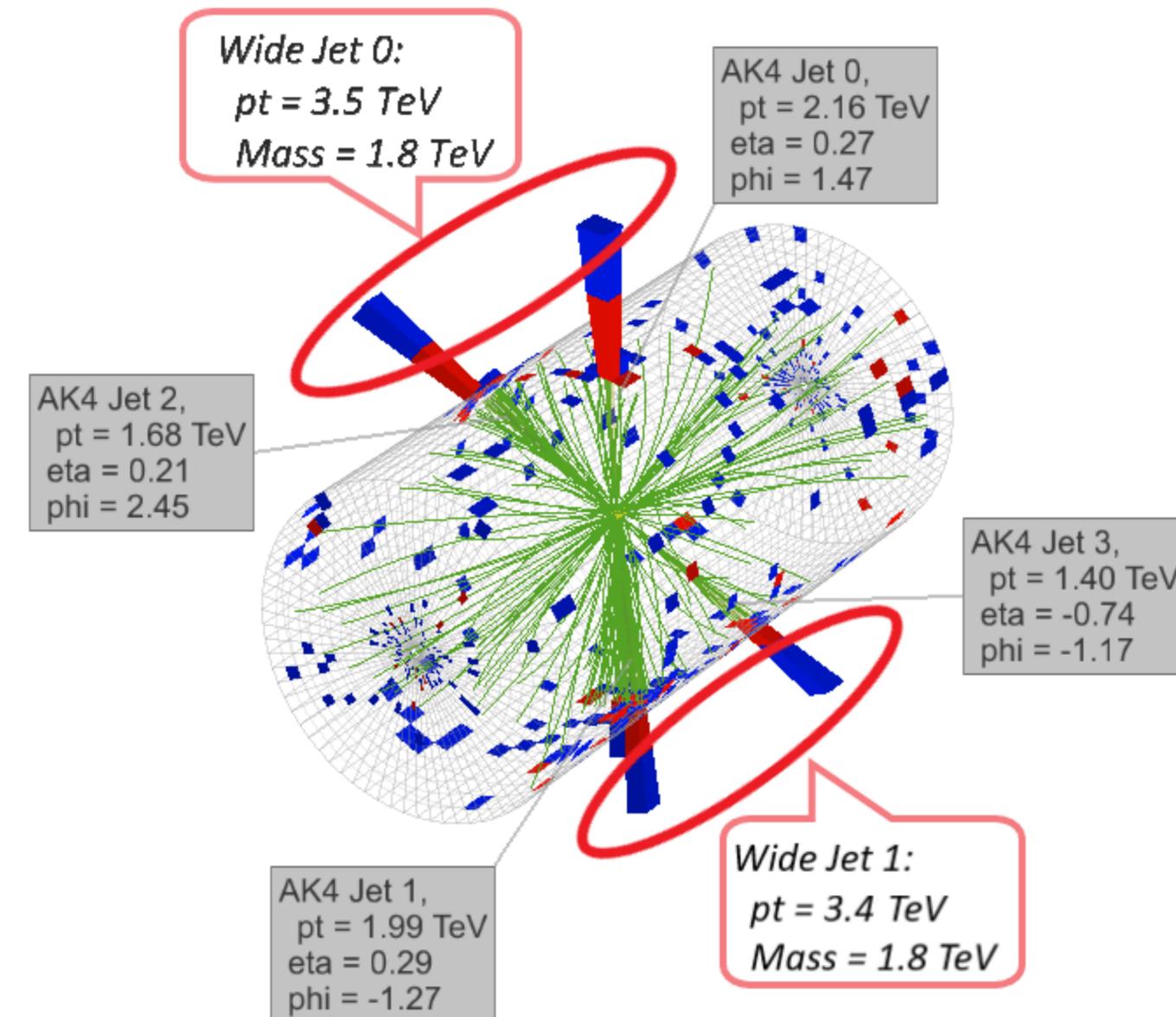
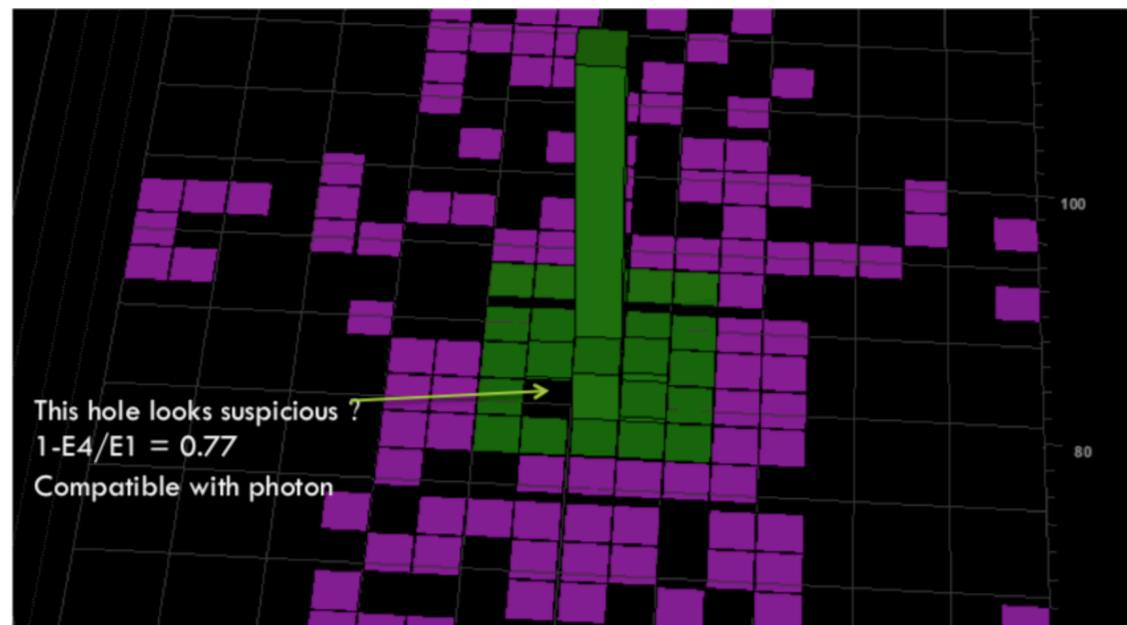


# What was “found”



# Exotica hotline today

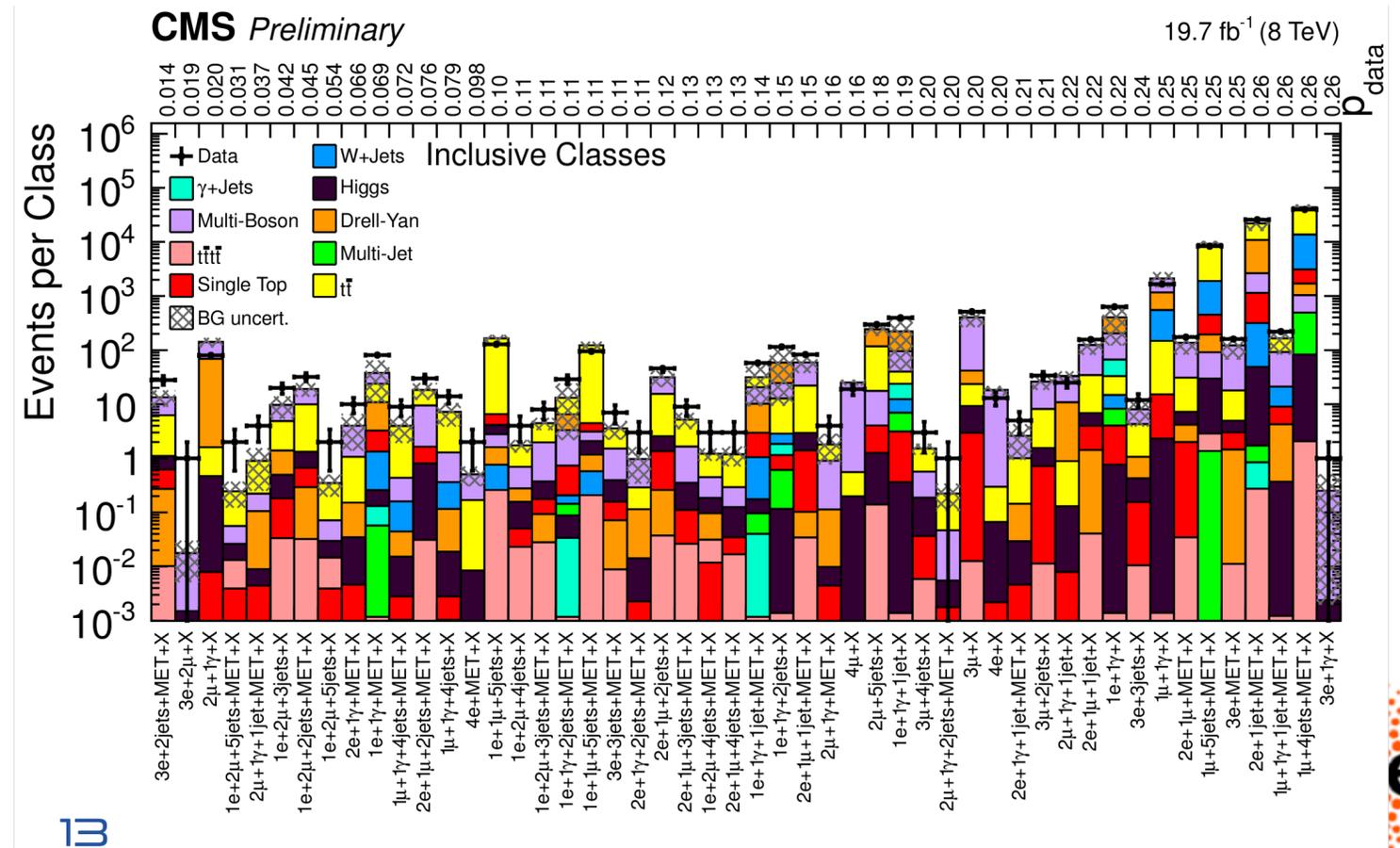
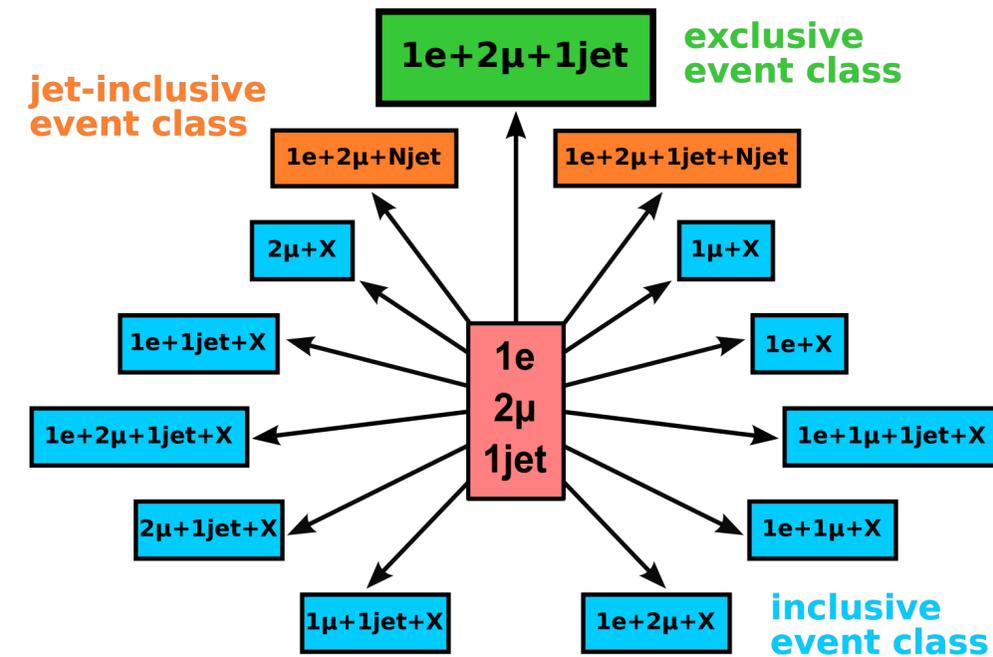
- Given the integrated luminosity and the typical turnaround time for an analysis, physics can wait 48h
- Anomalous events are now looked for using standard data stream
- Instead, exotica hotline is still useful at startup, to early catch problems with reconstruction (e.g., with MET)
- The early-alert system was retired in 2015, just after Run II started



CMS Experiment at LHC, CERN  
 Data recorded: Sat Oct 28 12:41:12 2017 EEST  
 Run/Event: 305814 / 971086788  
 Lumi section: 610  
 Dijet Mass: 8 TeV

# What we do today

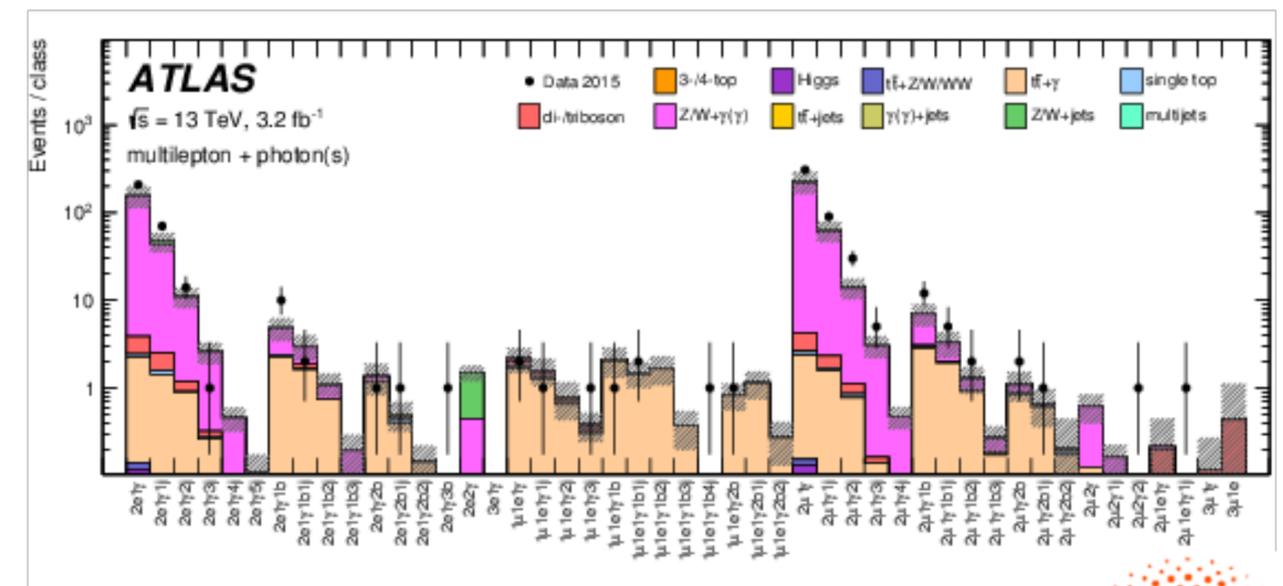
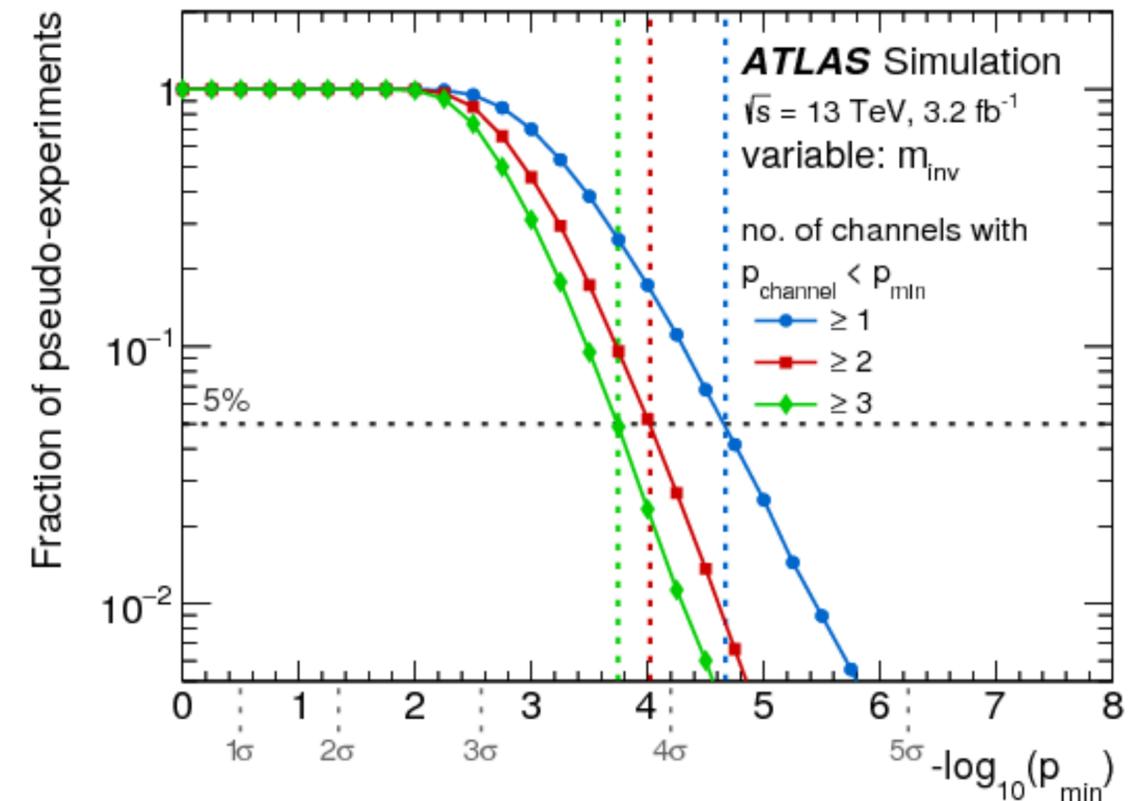
- Model independent analyses are performed at colliders since Tevatron
- Plot a lot of histograms for data and compare them to what you expect on Monte Carlo
- Look for a discrepancy
- If you find it, try to exclude any instruments-driven explanation

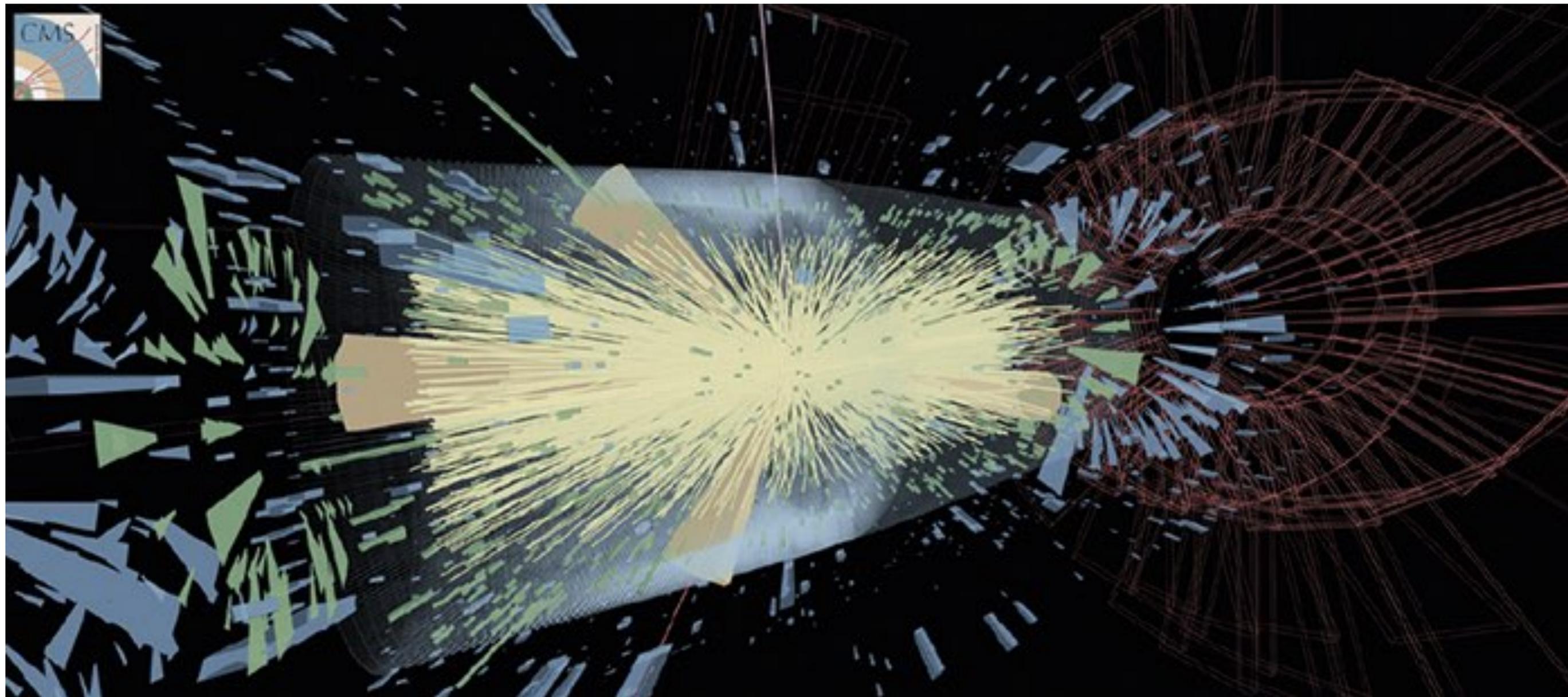


# Trial factor

- *The issue with this approach is the trial factor (look-elsewhere effects)*

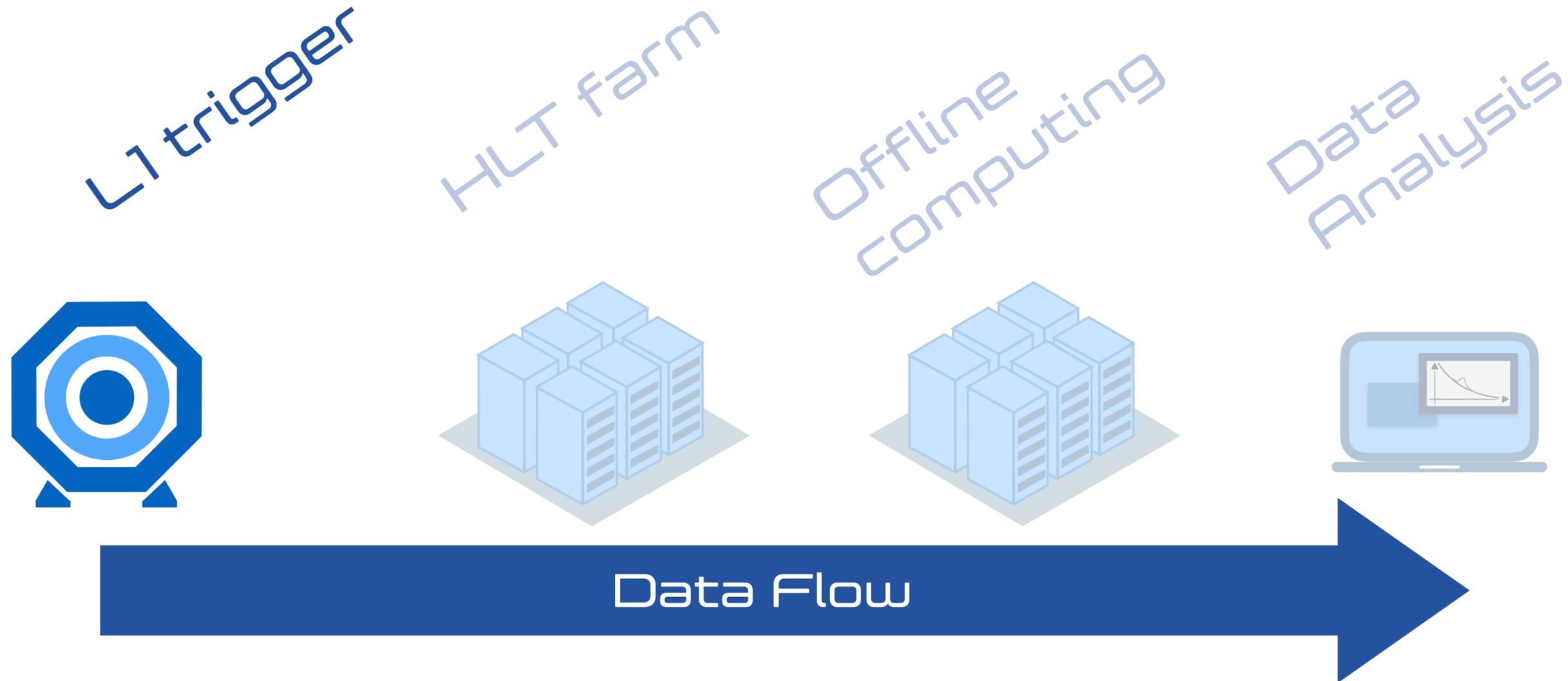
  - *one sets a  $p$ -value threshold  $\alpha$  (e.g., 5%) to define the alarm*
  - *a fraction  $\sim \alpha$  of the bins will be off even in absence of an anomaly*
  - *for large number of bins, this dilutes discovery power*
- *ATLAS came out with a proposal: use the analysis to identify an excess, but establish the significance with a traditional method on an independent dataset*
- *This is the same spirit we have in mind for what follows*





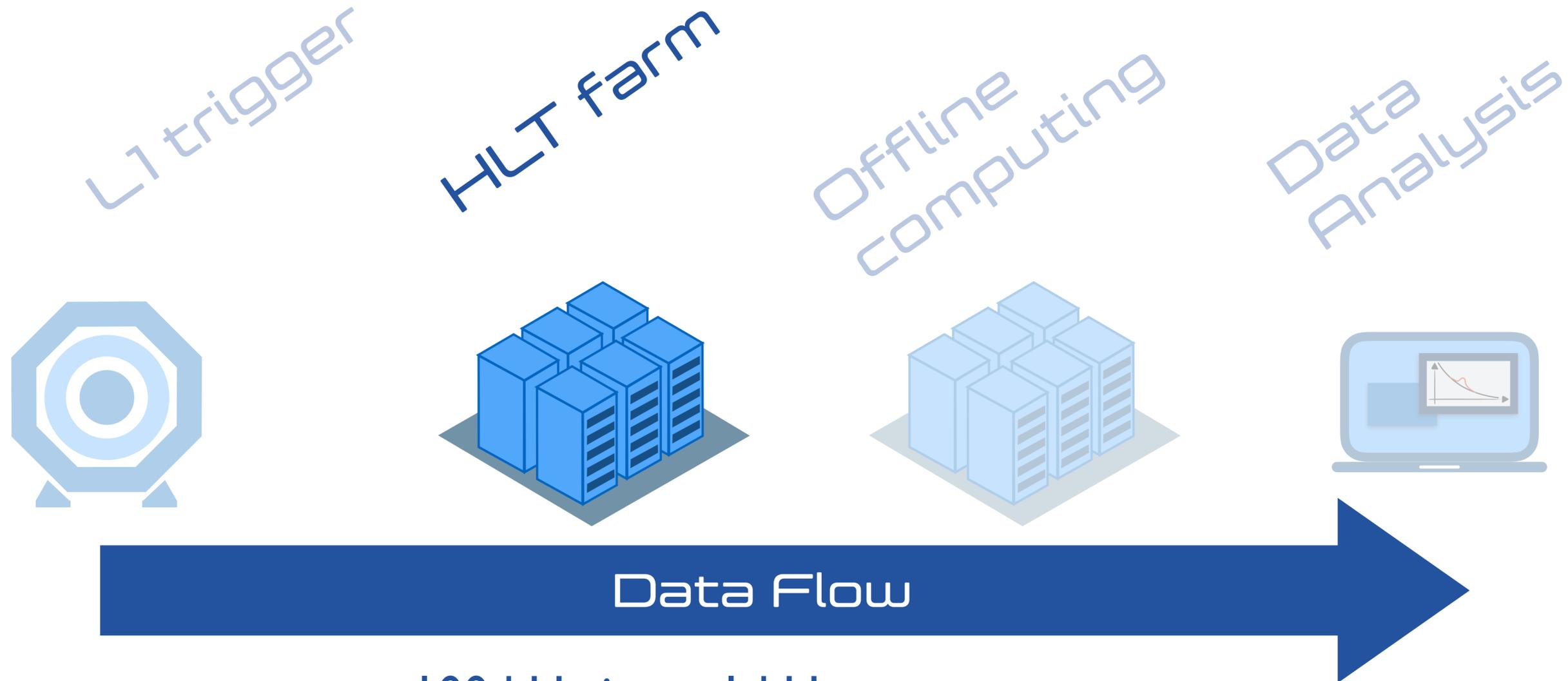
# The LHC Big Data Problem

# The LHC Big Data problem



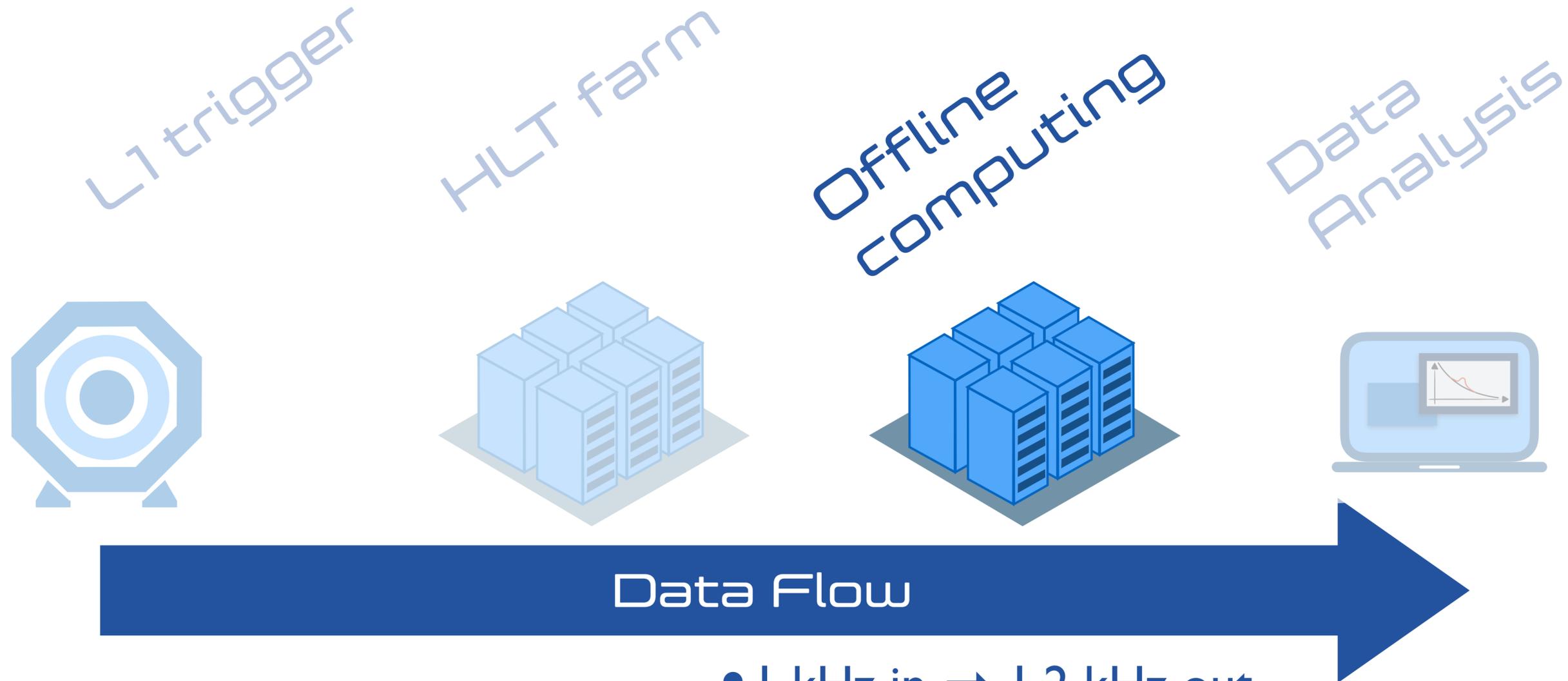
- 40 MHz in  $\rightarrow$  100 kHz out
- $\sim$  500 KB / event
- Processing time:  $\sim$  10  $\mu$ s
- Based on coarse local reconstructions
- FPGAs / Hardware implemented

# The LHC Big Data problem



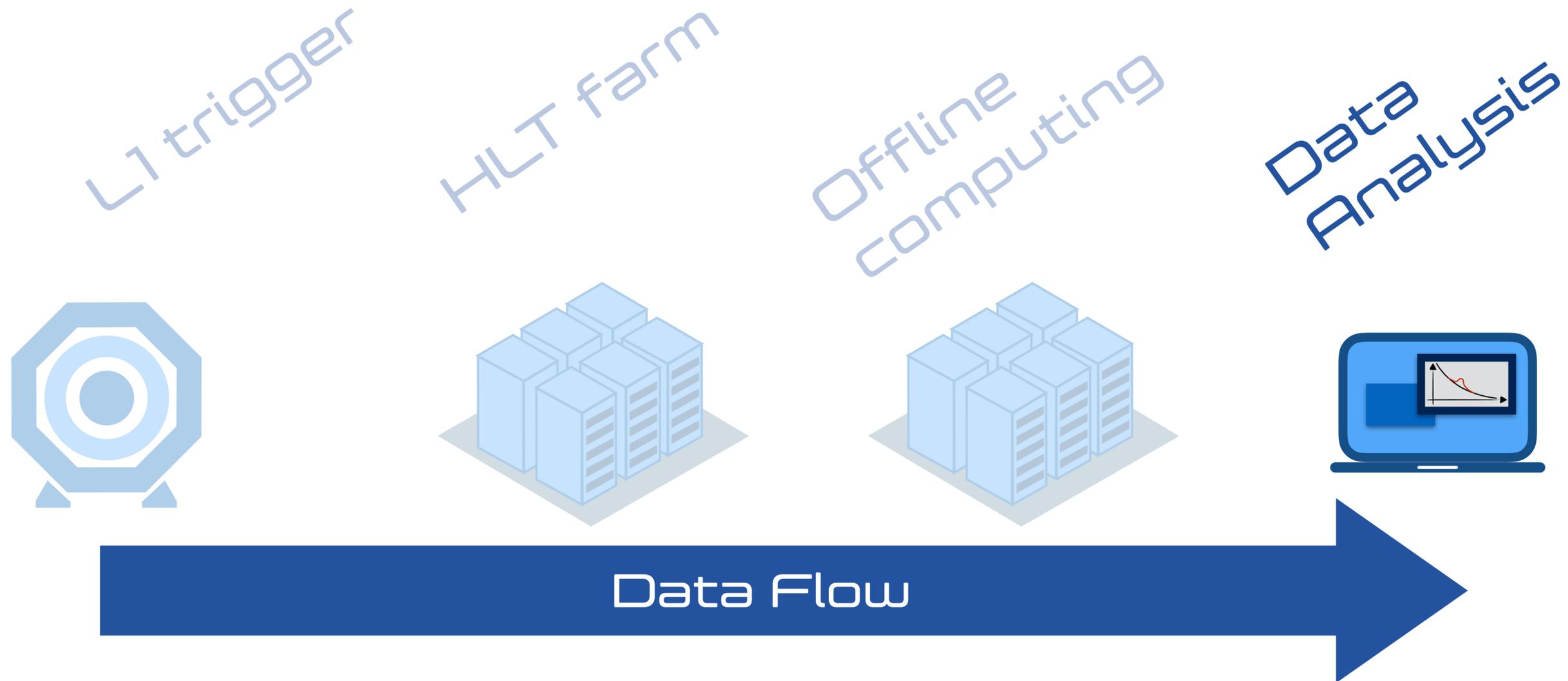
- 100 kHz in  $\rightarrow$  1 kHz out
- $\sim$  500 KB / event
- Processing time:  $\sim$ 30 ms
- Based on simplified global reconstructions
- Software implemented on CPUs

# The LHC Big Data problem



- 1 kHz in  $\rightarrow$  1.2 kHz out
- $\sim$  1 MB / 200 kB / 30 kB per event
- Processing time:  $\sim$  20 s
- Based on accurate global reconstructions
- Software implemented on CPUs

# The LHC Big Data problem



- Up to  $\sim 500$  Hz In  $\rightarrow$  100-1000 events out
- $<30$  KB per event
- Processing time irrelevant
- User-written code + centrally produced selection algorithms

# New Physics Mining

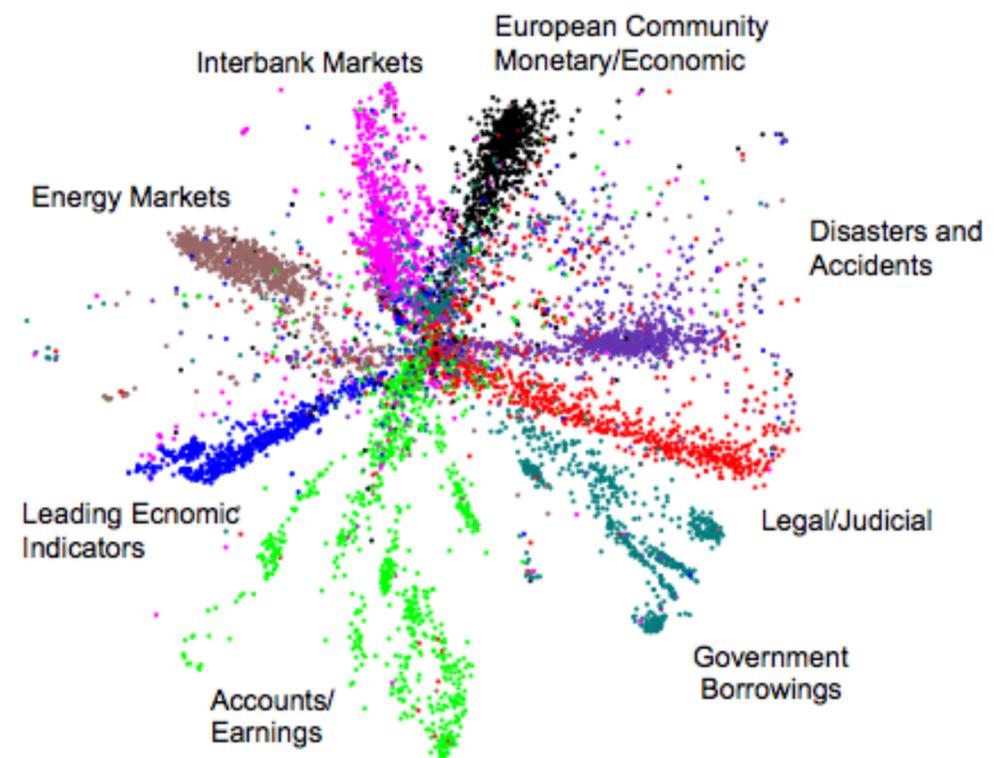
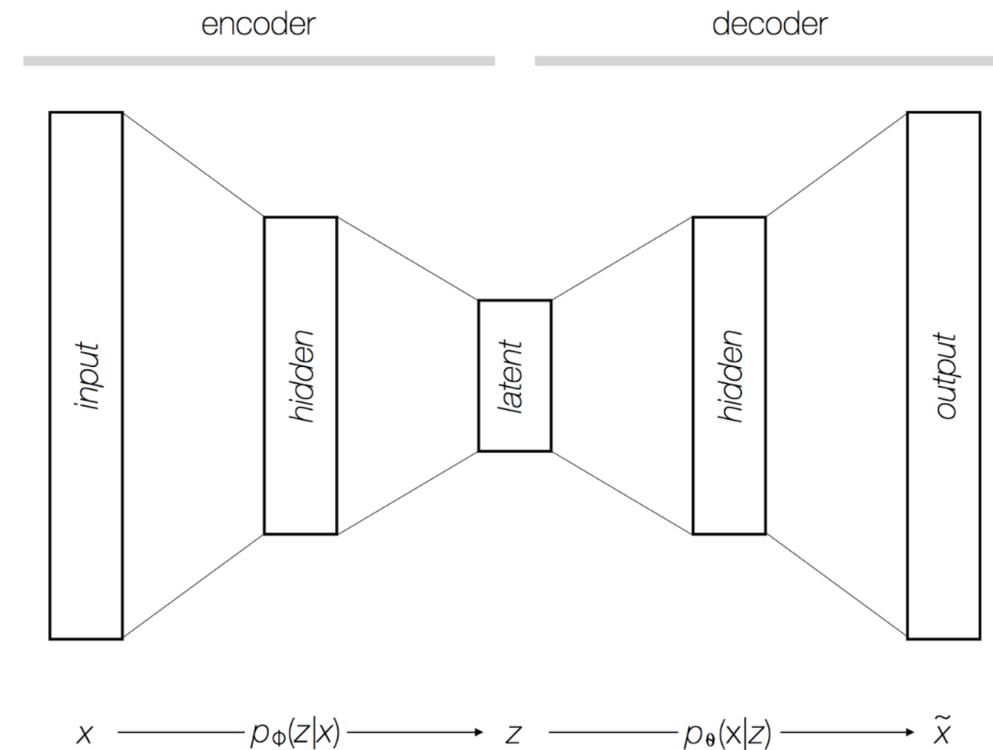
- ◎ *With such a tight selection to be made, the risk of discarding events is not negligible*
  - ◎ *Particularly because we found no new physics in the data we collected*
  - ◎ *The problem starts with the need to assume a specific model, to then make sure that we trigger on it. What if we never considered the right model?*
- ◎ *We would like to deploy in the trigger system an algorithm that selects anomalous events*
  - ◎ *Data-driven approach (data mining) that could guide the next generation of new-physics searches*
  - ◎ *We don't want to define what "anomaly" means based on BSM hypotheses (as it was done with the hotline)*
  - ◎ *We would like to do this using Deep Learning*



# Anomaly Detection

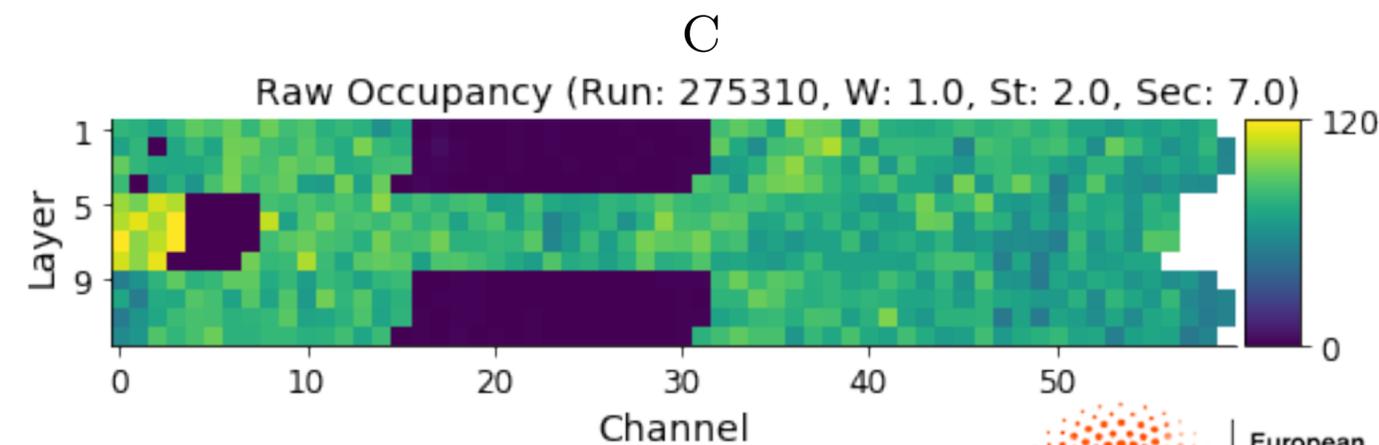
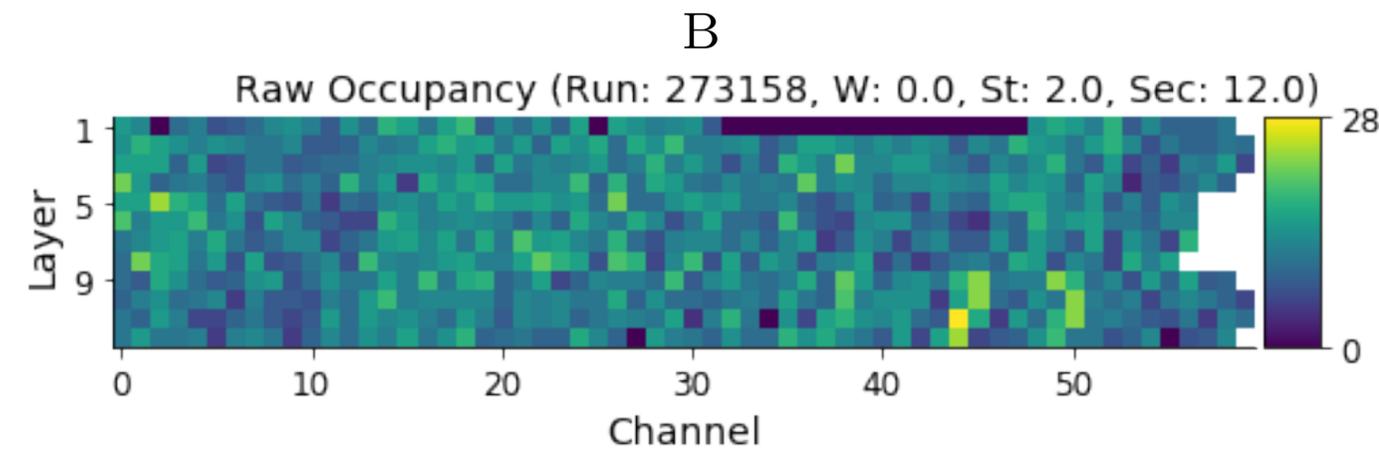
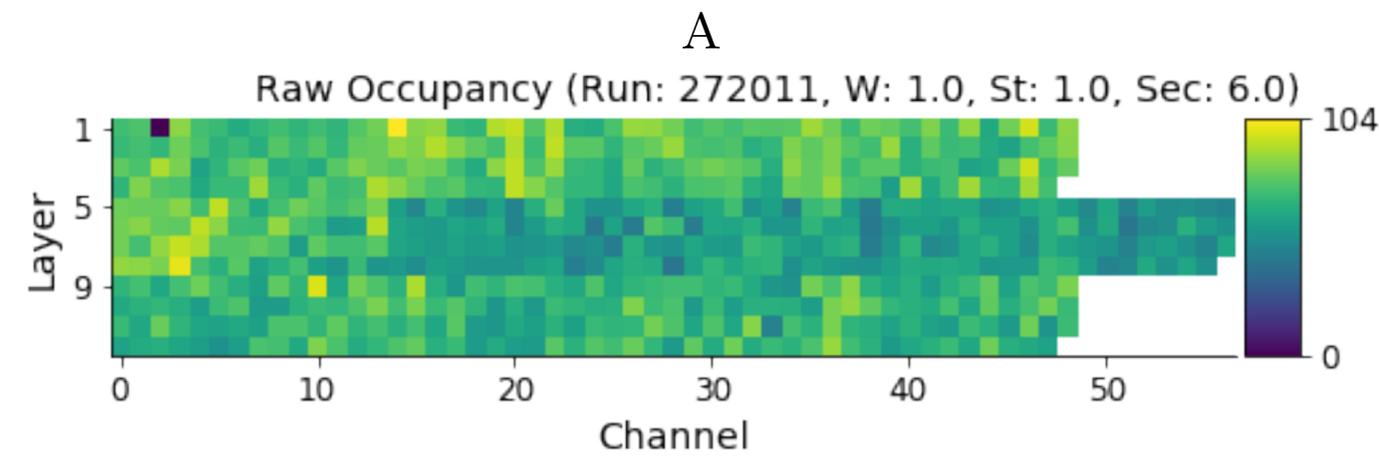
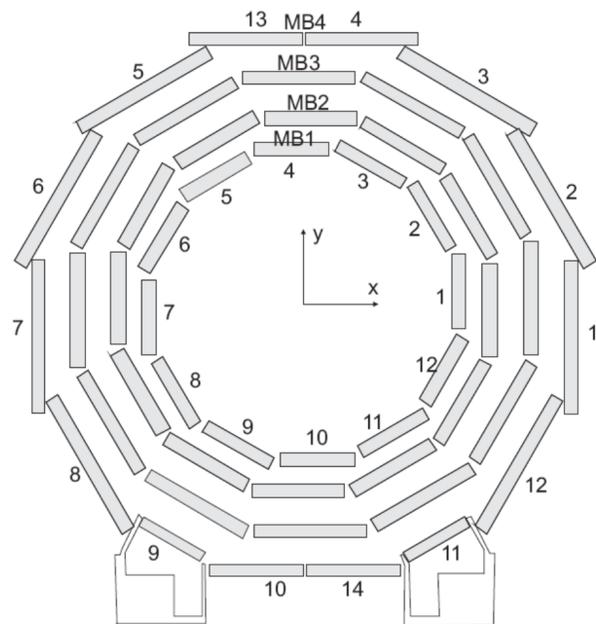
# Autoencoders in a nutshell

- Autoencoders are compression-decompression algorithms that learn to describe a given dataset in terms of points in a lower-dimension latent space
- UNSUPERVISED algorithm, used for data compression, generation, clustering (replacing PCA), etc.
- Used in particular for anomaly detection: when applied on events of different kind, compression-decompression tuned on refer sample might fail
- One can define anomalous any event whose decompressed output is "far" from the input, in some metric (e.g., the metric of the auto-encoder loss)



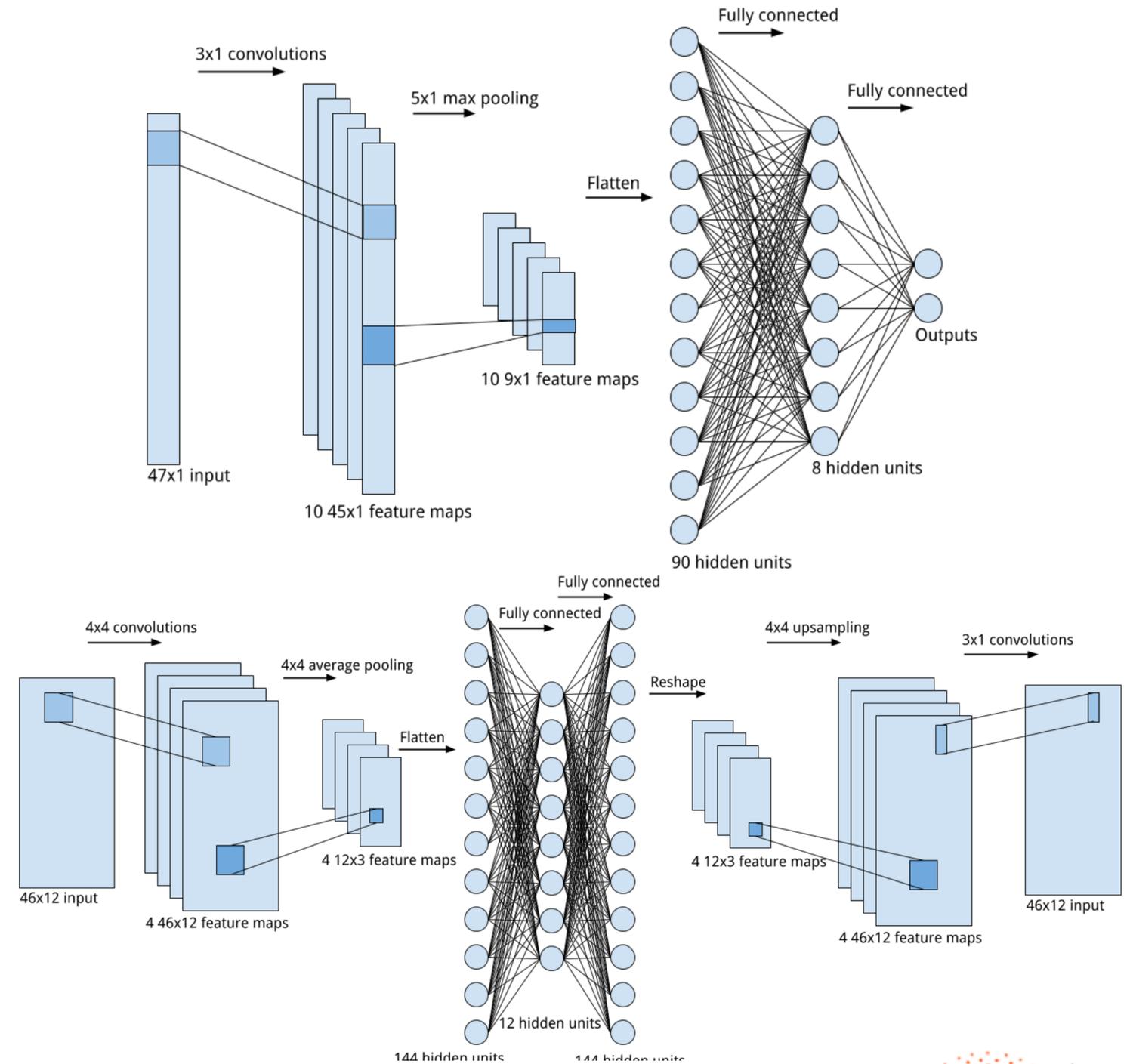
# Example: Data Quality Monitoring

- When taking data, >1 person watches for anomalies in the detector 24/7
- At this stage no global processing of the event
- Instead, local information from detector components available (e.g., detector occupancy in a certain time window)



# Example: Data Quality Monitoring

- Given the nature of these data, ConvNN are a natural analysis tool. Two approaches pursued
- Classify good vs bad data. Works if failure mode is known
- Use autoencoders to assess data “typicality”. Generalises to unknown failure modes

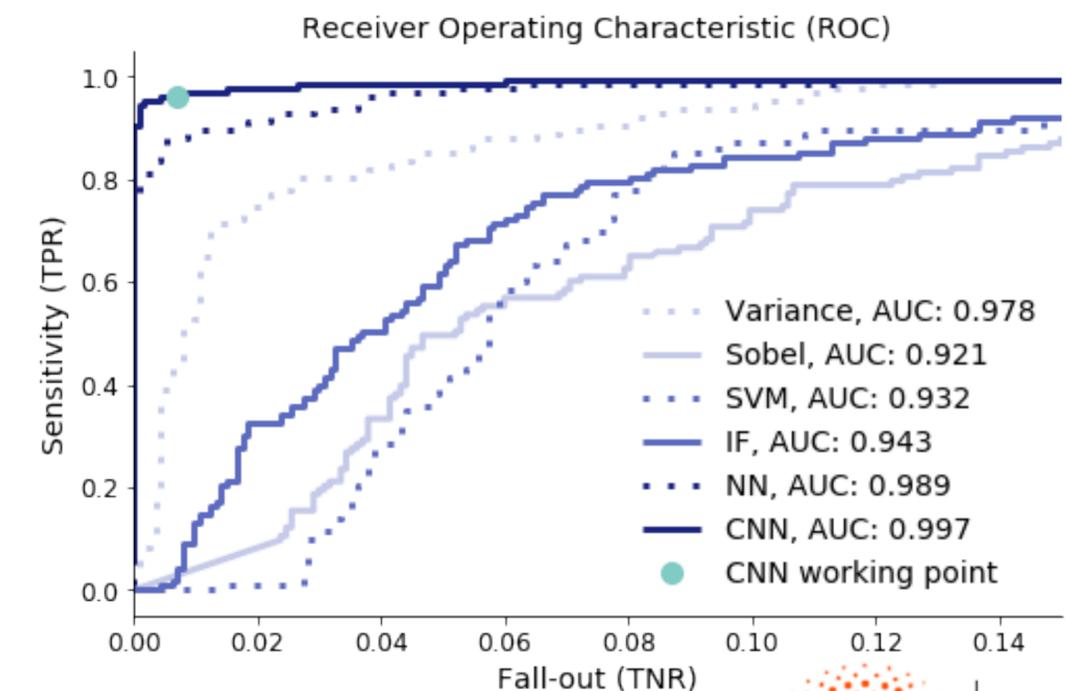
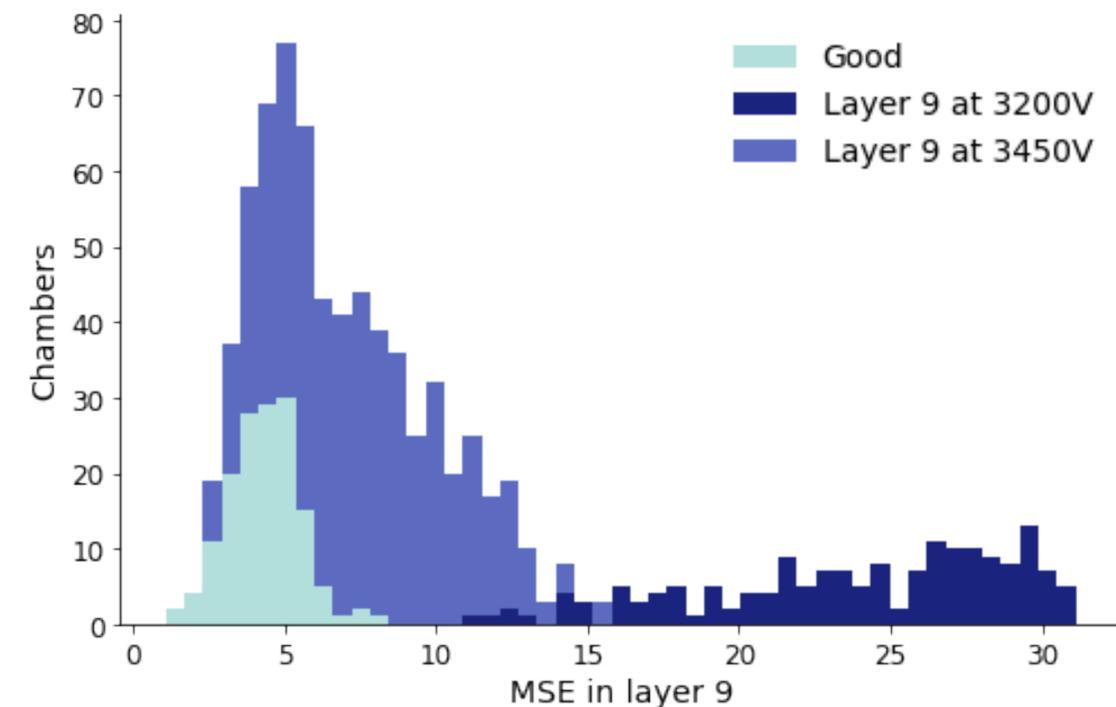


A. Pol et al., to appear soon

[Pol, G. Cerminara, C. Germain, MP and A. Seth arXiv:1808.00911](#)

# Example: Data Quality Monitoring

- Given the nature of these data, ConvNN are a natural analysis tool. Two approaches pursued
- Classify good vs bad data. Works if failure mode is known
- Use autoencoders to assess data “typicality”. Generalises to unknown failure modes

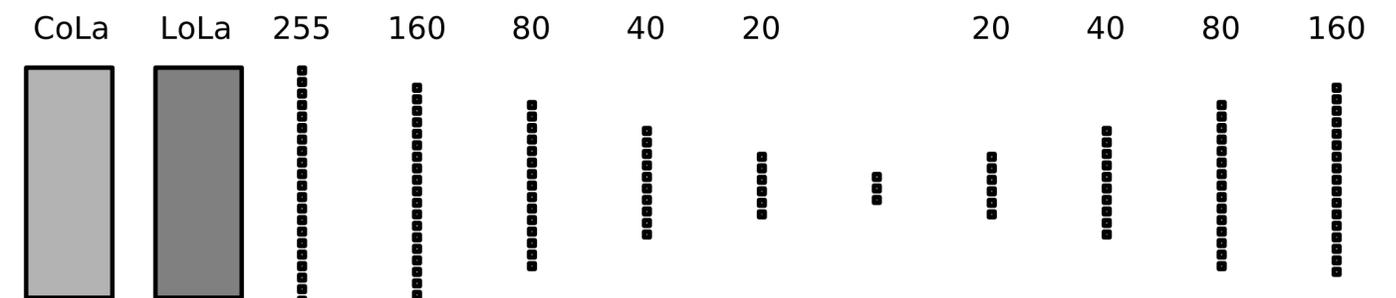
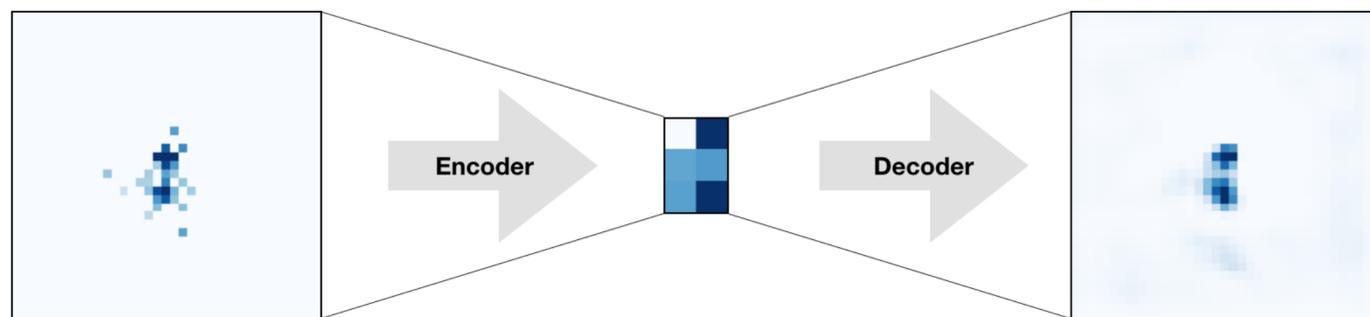
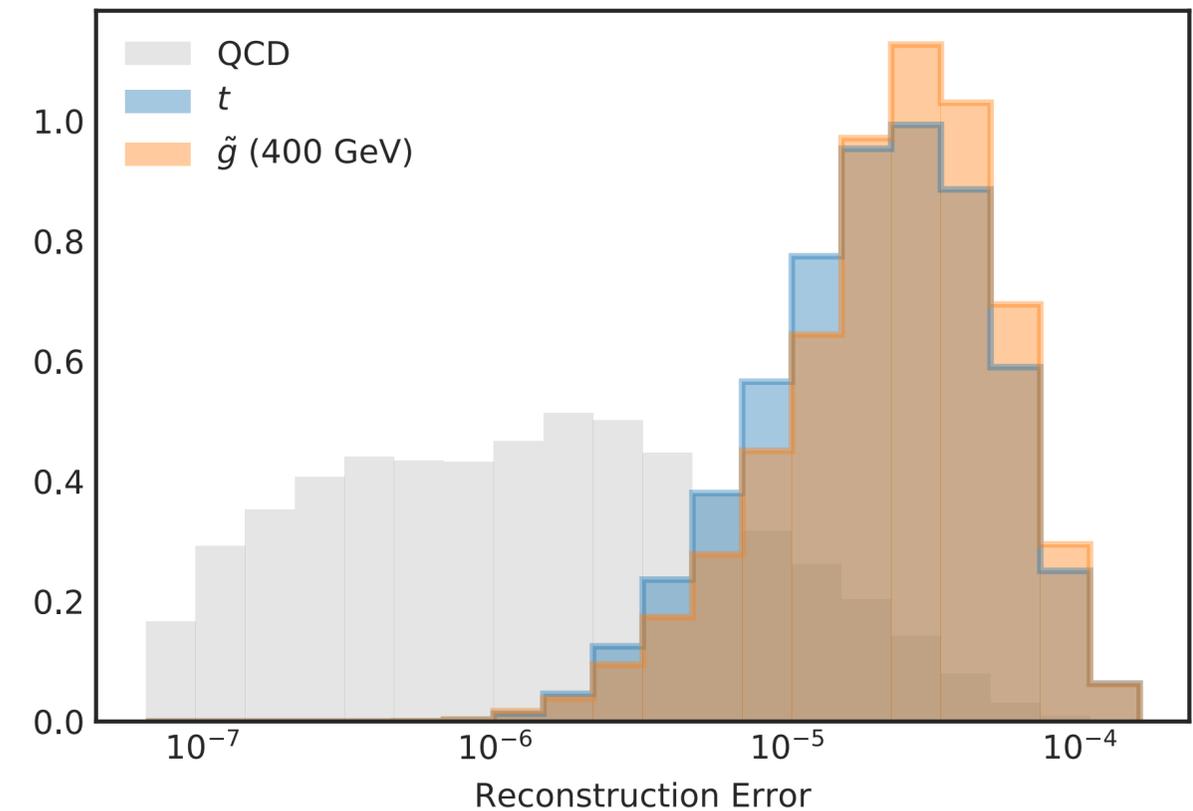


A. Pol et al., to appear soon

[Pol, G. Cerminara, C. Germain, MP and A. Seth arXiv:1808.00911](#)

# Example: Jet autoencoders

- Idea applied to tagging jets, in order to define a QCD-jet veto
- Applied in a BSM search (e.g., dijet resonance) could highlight new physics signal
- Based on image and physics-inspired representations of jets



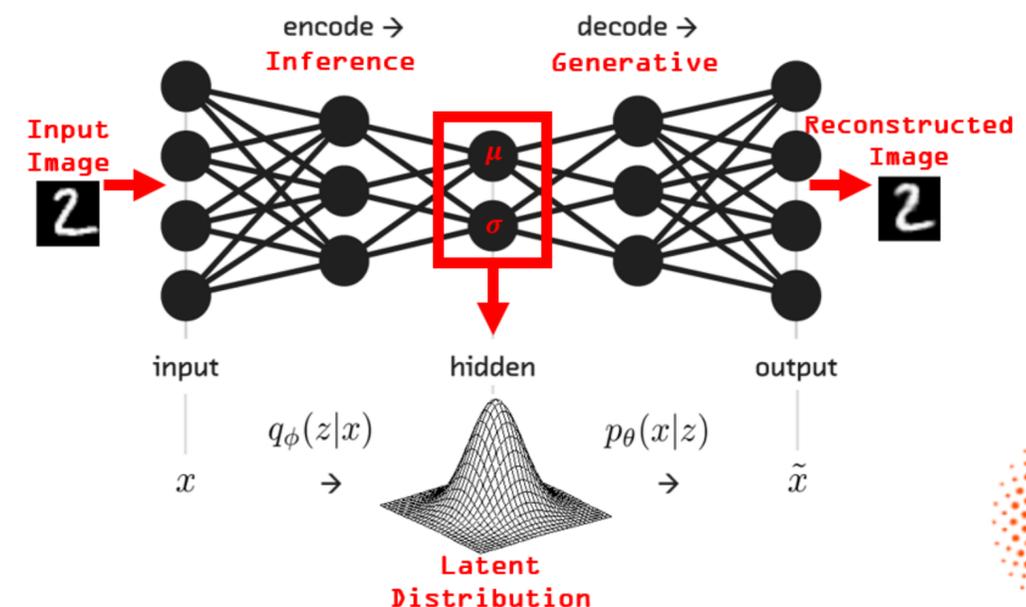
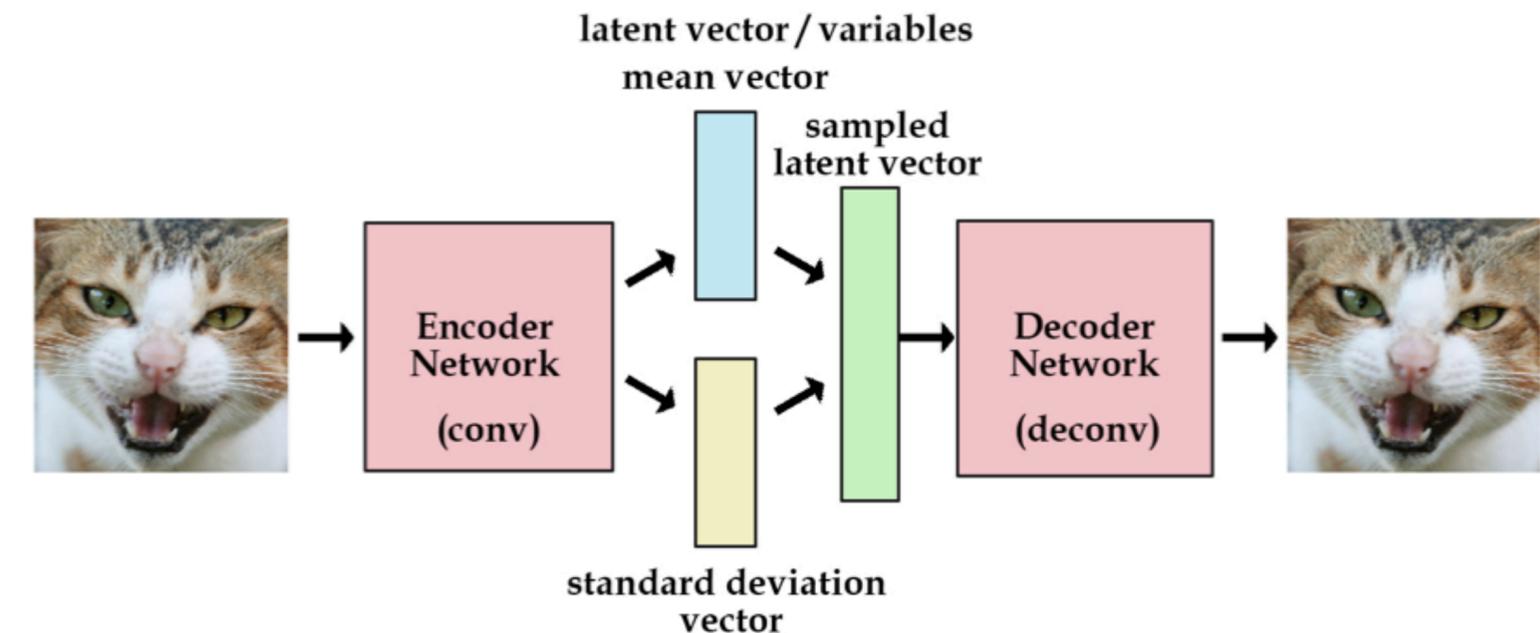
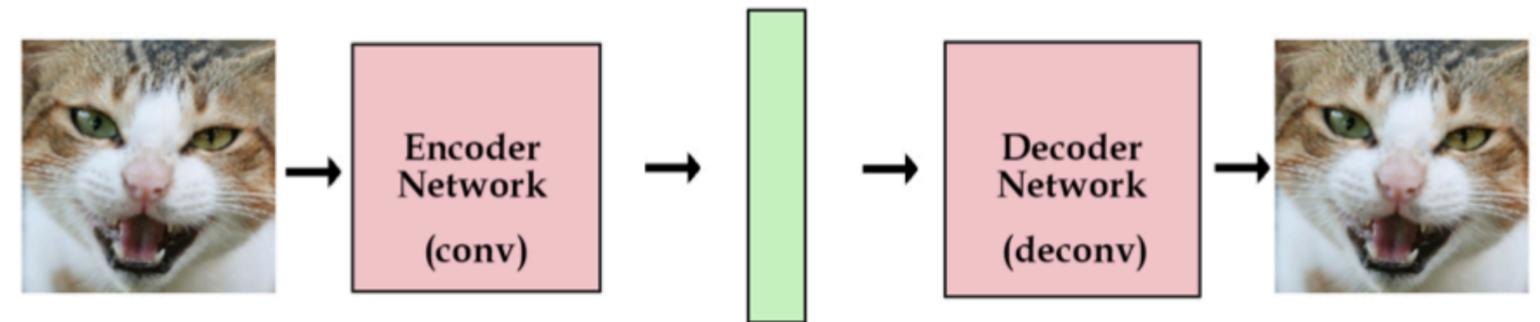
$$\tilde{k}_j = \begin{pmatrix} \tilde{k}_{0,j} \\ \tilde{k}_{1,j} \\ \tilde{k}_{2,j} \\ \tilde{k}_{3,j} \end{pmatrix} \xrightarrow{\text{LoLa}} \begin{pmatrix} \tilde{k}_{0,j} \\ \tilde{k}_{1,j} \\ \tilde{k}_{2,j} \\ \tilde{k}_{3,j} \\ \sqrt{\tilde{k}_j^2} \end{pmatrix}$$

[Farina et al., arXiv:1808.08992](#)

[Heimel et al., arXiv:1808.08979](#)

# Variational Autoencoders

- We investigated variational autoencoders
- Unlike traditional AEs, VAEs try to associate a multi-Dim pdf to a given image
- can be used to generate new examples
- comes with a probabilistic description of the input
- tends to work better than traditional AEs



# Our use case: $\ell+X$ @HLT

- Consider a stream of data coming from L1
- Passed L1 because of 1 lepton ( $e, m$ ) with  $p_T > 23$  GeV
- At HLT, very loose isolation applied
- Sample mainly consists of  $W, Z, tt$  & QCD (for simplicity, we ignore the rest)

Standard Model processes					
Process	Acceptance	Trigger efficiency	Cross section [nb]	Events fraction	Event /month
$W$	55.6%	68%	58	59.2%	110M
QCD	0.08%	9.6%	$1.6 \cdot 10^5$	33.8%	63M
$Z$	16%	77%	20	6.7%	12M
$t\bar{t}$	37%	49%	0.7	0.3%	0.6M

- We consider 21 features, typically highlighting the difference between these SM processes (no specific BSM signal in mind)

- The isolated-lepton transverse momentum  $p_T^\ell$ .
- The three isolation quantities (CHPFISO, NEUPFISO, GAMMAPFISO) for the isolated lepton, computed with respect to charged particles, neutral hadrons and photons, respectively.
- The lepton charge.
- A boolean flag (ISELE) set to 1 when the trigger lepton is an electron, 0 otherwise.
- $S_T$ , i.e. the scalar sum of the  $p_T$  of all the jets, leptons, and photons in the event with  $p_T > 30$  GeV and  $|\eta| < 2.6$ . Jets are clustered from the reconstructed PF candidates, using the FASTJET [23] implementation of the anti- $k_T$  jet algorithm [24], with jet-size parameter  $R=0.4$ .
- The number of jets entering the  $S_T$  sum ( $N_J$ ).
- The invariant mass of the set of jets entering the  $S_T$  sum ( $M_J$ ).
- The number of these jets being identified as originating from a  $b$  quark ( $N_b$ ).
- The missing transverse momentum, decomposed into its parallel ( $p_{T,\parallel}^{\text{miss}}$ ) and orthogonal ( $p_{T,\perp}^{\text{miss}}$ ) components with respect to the isolated lepton direction. The missing transverse momentum is defined as the negative sum of the PF-candidate  $p_T$  vectors:

$$\vec{p}_T^{\text{miss}} = - \sum_q \vec{p}_T^q. \quad (2)$$

- The transverse mass,  $M_T$ , of the isolated lepton  $\ell$  and the  $E_T^{\text{miss}}$  system, defined as:

$$M_T = \sqrt{2p_T^\ell E_T^{\text{miss}}(1 - \cos \Delta\phi)}, \quad (3)$$

with  $\Delta\phi$  the azimuth separation between the lepton and  $\vec{p}_T^{\text{miss}}$  vector, and  $E_T^{\text{miss}}$  the absolute value of  $\vec{p}_T^{\text{miss}}$ .

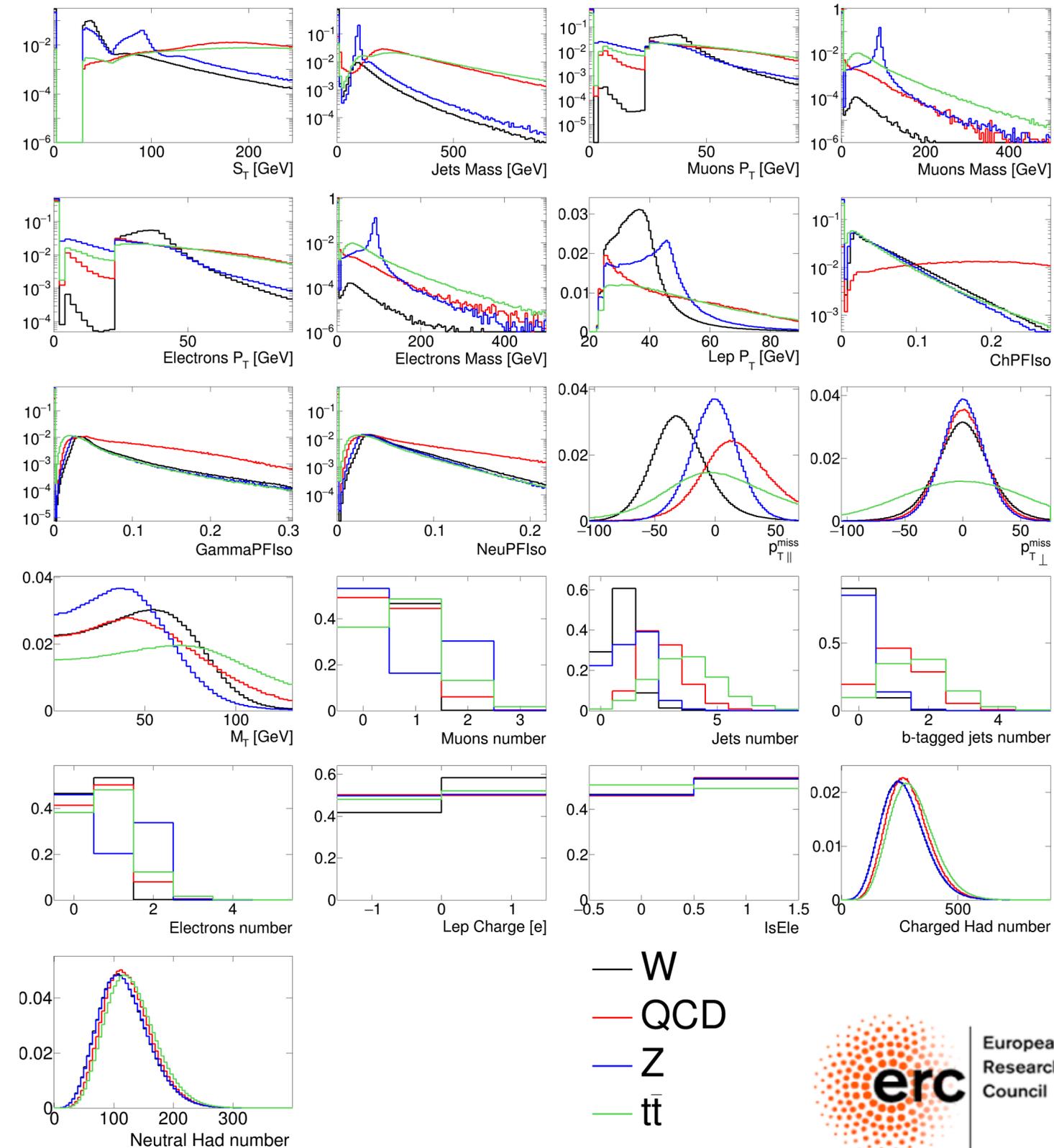
- The number of selected muons ( $N_\mu$ ).
- The invariant mass of this set of muons ( $M_\mu$ ).
- The total transverse momentum of these muons ( $p_{T,TOT}^\mu$ ).
- The number of selected electrons ( $N_e$ ).
- The invariant mass of this set of electrons ( $M_e$ ).
- The total transverse momentum of these electrons ( $p_{T,TOT}^e$ ).
- The number of reconstructed charged hadrons.
- The number of reconstructed neutral hadrons.

# Our use case: $\ell+X$ @HLT

- Consider a stream of data coming from L1
- Passed L1 because of 1 lepton ( $e, m$ ) with  $p_T > 23$  GeV
- At HLT, very loose isolation applied
- Sample mainly consists of  $W, Z, tt$  & QCD (for simplicity, we ignore the rest)

Standard Model processes					
Process	Acceptance	Trigger efficiency	Cross section [nb]	Events fraction	Event /month
$W$	55.6%	68%	58	59.2%	110M
QCD	0.08%	9.6%	$1.6 \cdot 10^5$	33.8%	63M
$Z$	16%	77%	20	6.7%	12M
$t\bar{t}$	37%	49%	0.7	0.3%	0.6M

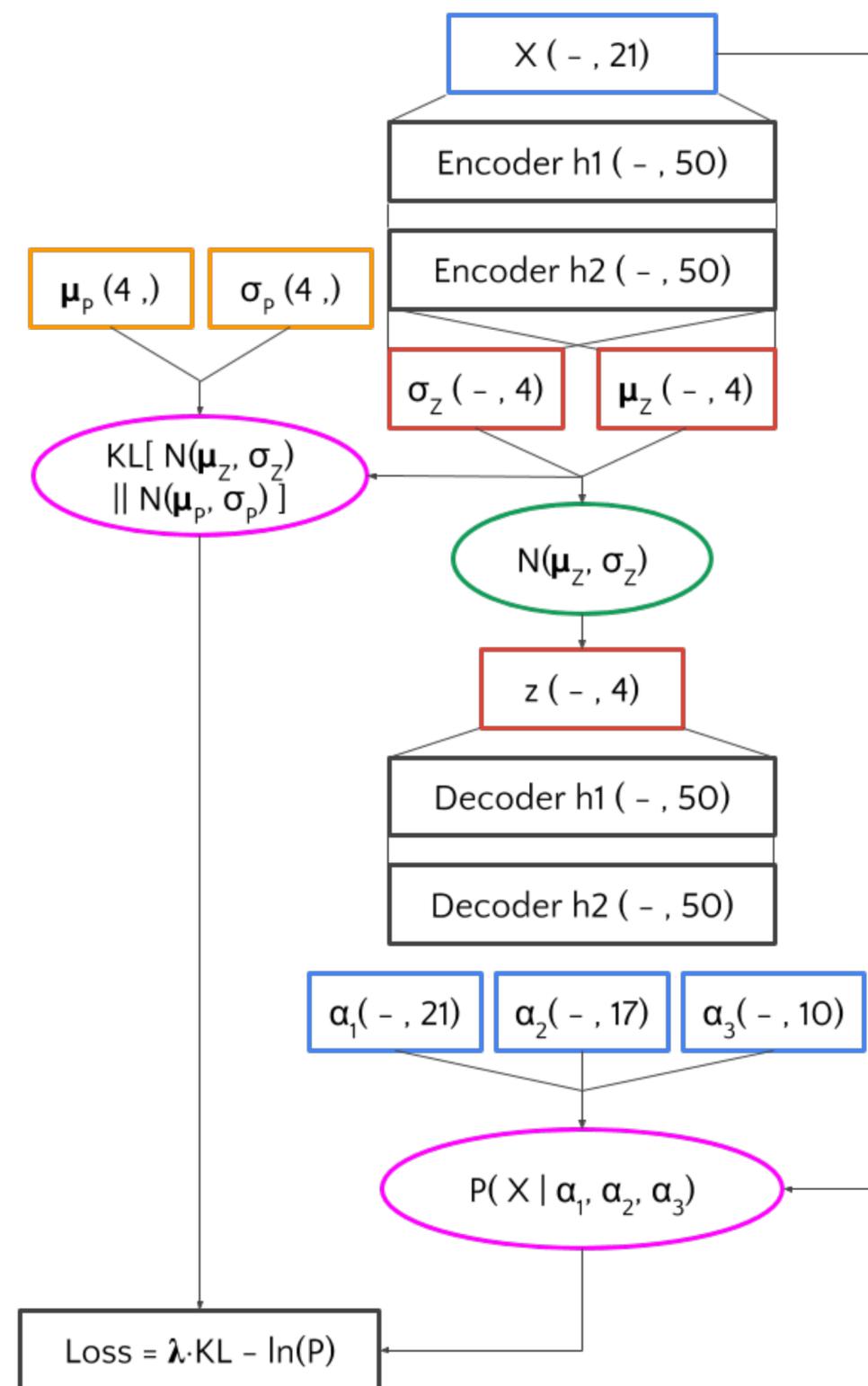
- We consider 21 features, typically highlighting the difference between these SM processes (no specific BSM signal in mind)



# Standard Model VAE

- We train a VAE on a cocktail of SM events (weighted by  $xsec$ )
- **ENCODER:** 21 inputs, 2 hidden layers  $\rightarrow$  4Dim latent space

  - hidden nodes =  $\mu$  and  $\sigma$  of the Gaussian pdfs describing the hidden variables
- **DECODER:** from a random sample in the 4D space  $\rightarrow$  2 hidden layers  $\rightarrow$  parameters describing the shape of the 21Dim input space



# The Loss Function

$$\text{LOSS}_{\text{Tot}} = \text{LOSS}_{\text{reco}} + \lambda D_{\text{KL}}$$

- Loss function described as the sum of two terms (scaled by a tuned  $\lambda$  parameter that makes the two contribution numerically similar)

- Reconstruction loss: likelihood of the input 21Dim point, given the shape parameters reconstructed from it

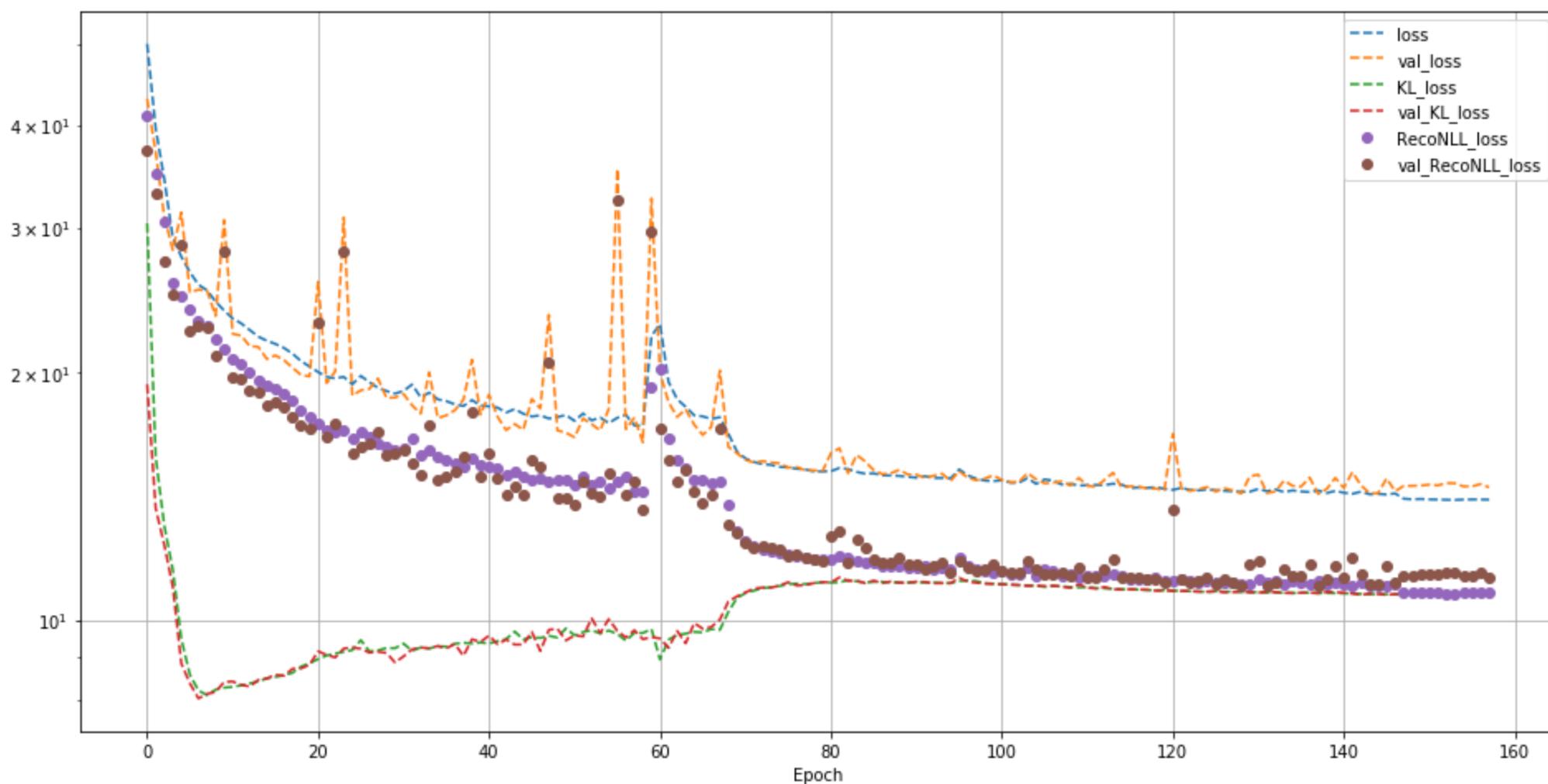
- KL loss:

$$\begin{aligned} \text{LOSS}_{\text{reco}} &= -\frac{1}{k} \sum_i \ln (P(x | \alpha_1, \alpha_2, \alpha_3)) \\ &= -\frac{1}{k} \sum_{i,j} \ln \left( f_j(x_{i,j} | \alpha_1^{i,j}, \alpha_2^{i,j}, \alpha_3^{i,j}) \right) \end{aligned}$$

$$\begin{aligned} D_{\text{KL}} &= \frac{1}{k} \sum_i D_{\text{KL}} (N(\mu_z^i, \sigma_z^i) || N(\mu_P, \sigma_P)) \\ &= \frac{1}{2k} \sum_{i,j} \left( \sigma_P^j \sigma_z^{i,j} \right)^2 + \left( \frac{\mu_P^j - \mu_z^{i,j}}{\sigma_P^j} \right)^2 + \ln \frac{\sigma_P^j}{\sigma_z^{i,j}} - 1 \end{aligned}$$

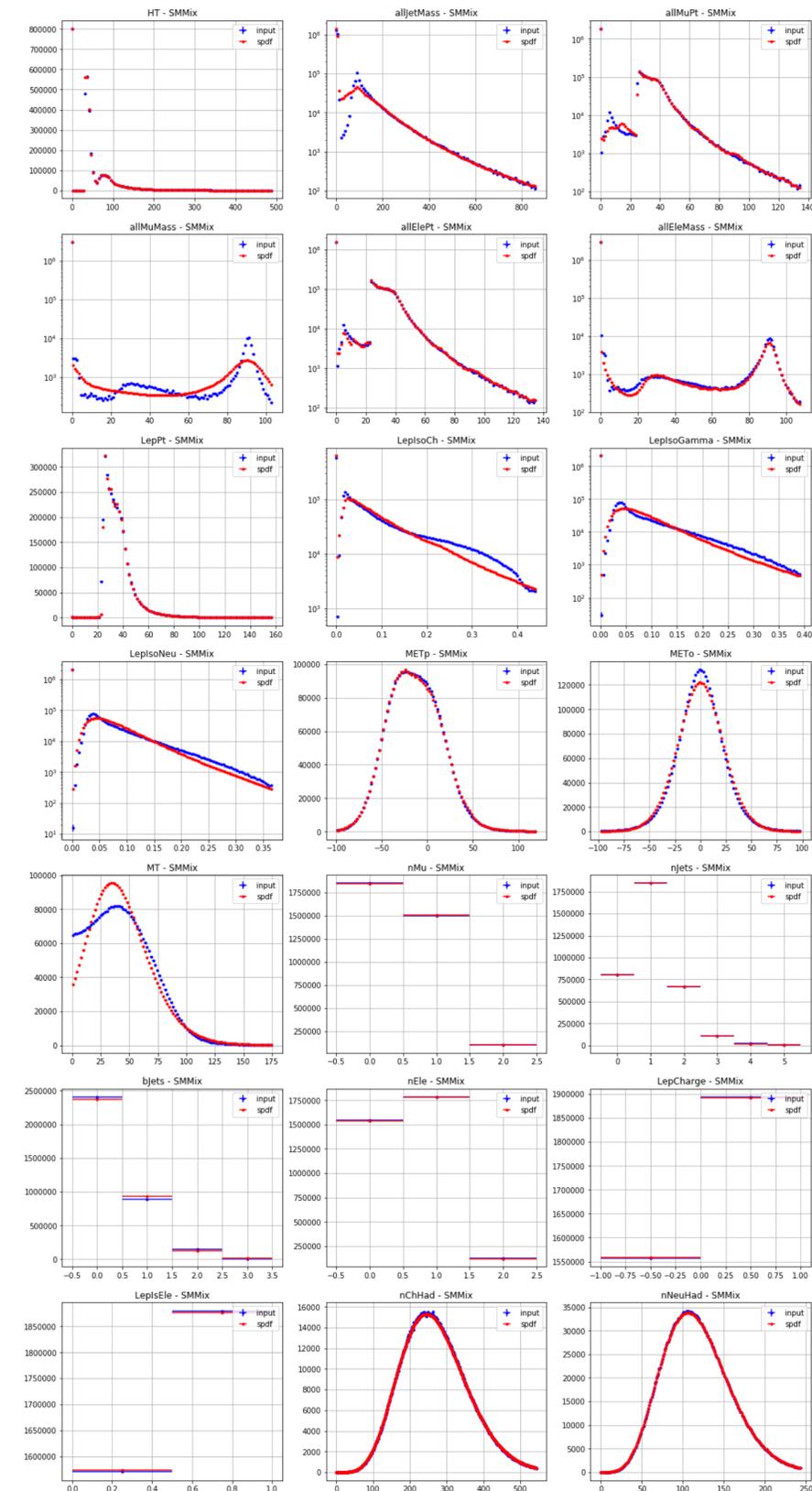
# Training

- Thanks to choice of  $l$ , two terms simultaneously minimized by minimizing the sum
- Training converges after  $\sim 100$  epochs (i.e., looping 100 times on the input dataset)
- Model implemented in Keras+TensorFlow. Trained on iBanks GPU cluster @Caltech



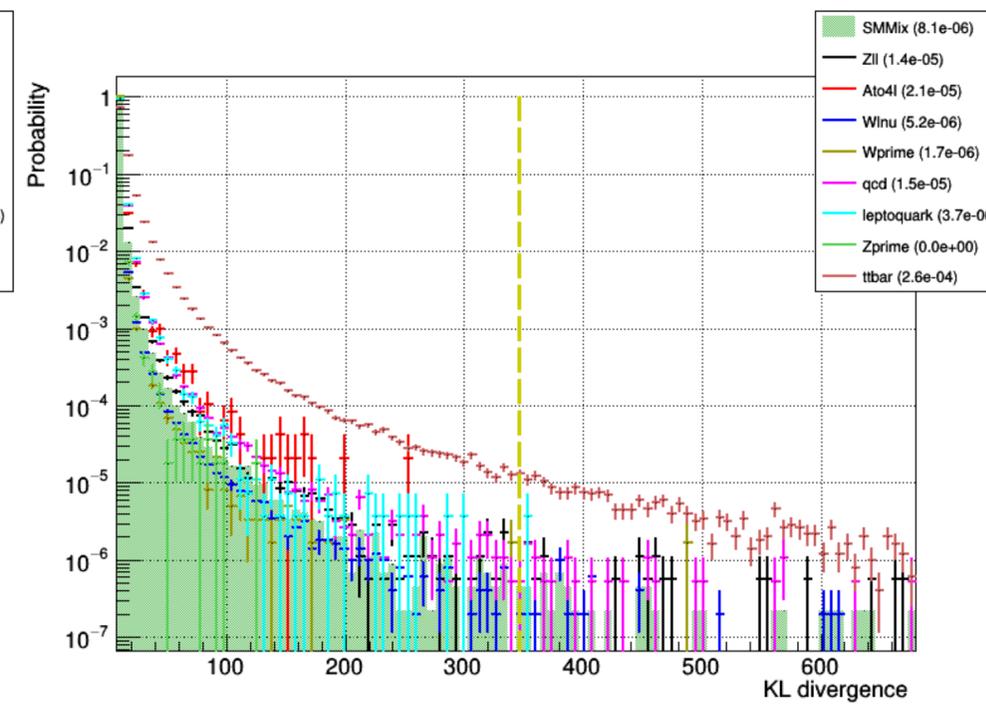
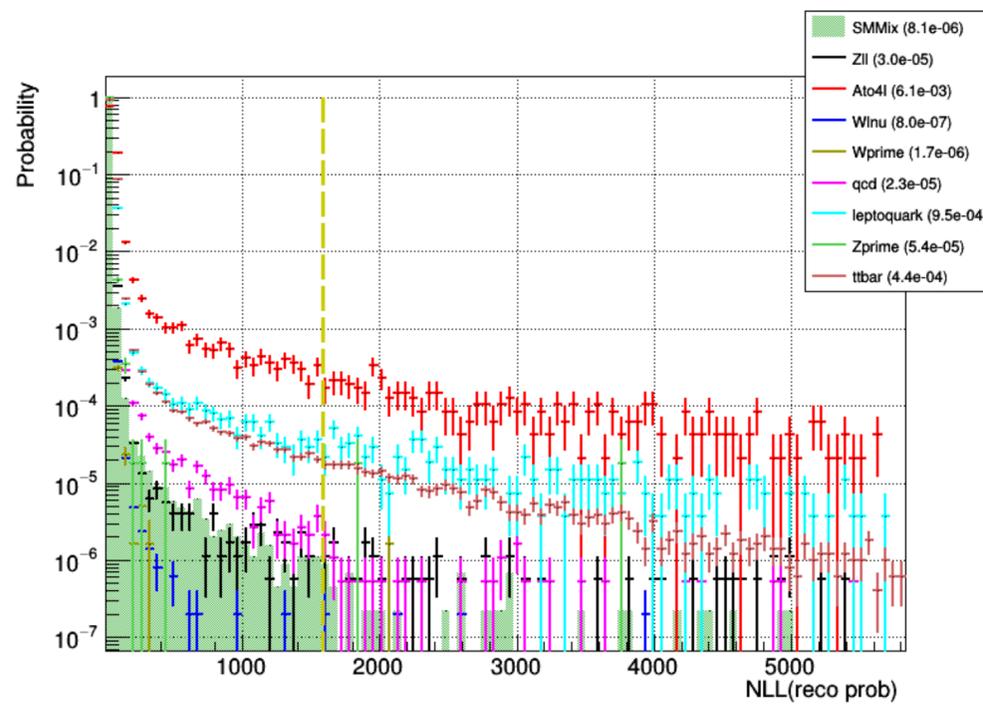
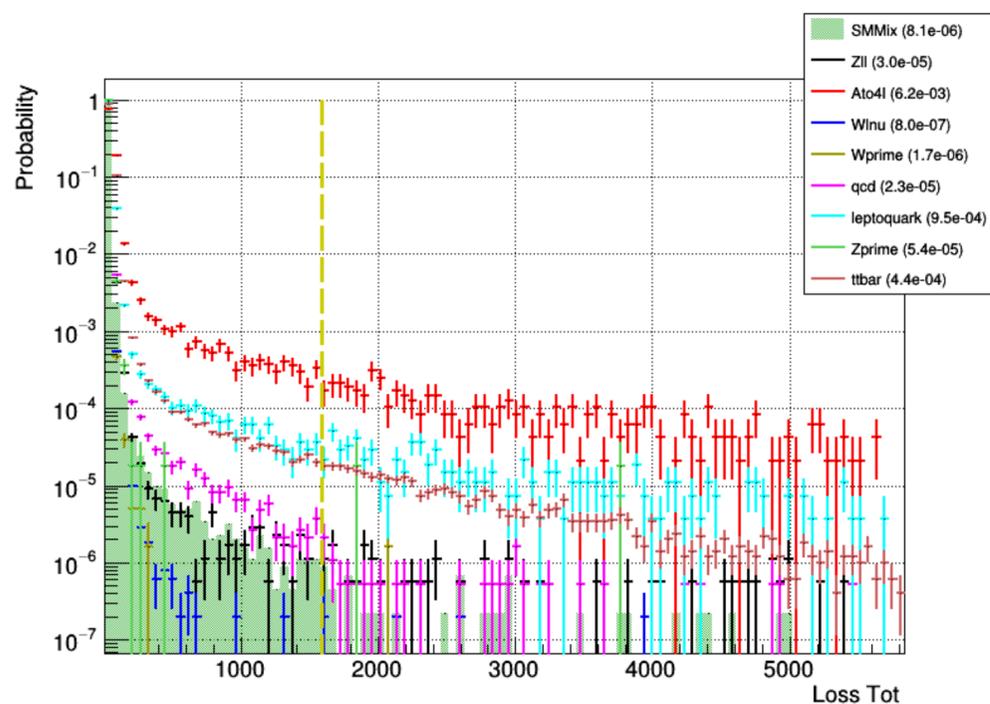
# Standard Model encoding

- First post-training check consists in verifying encoding-decoding capability, comparing input data to those generated sampling from decoder
- Reasonable agreement observed, with small discrepancy here and there
- NOTICE THAT:** this would be a suboptimal event generator, but we want to use it for anomaly detection
- no guarantee that the best autoencoder is the best anomaly detector (no anomaly detection rate in the loss function)
- pros & cons of an unsupervised/semisupervised approach



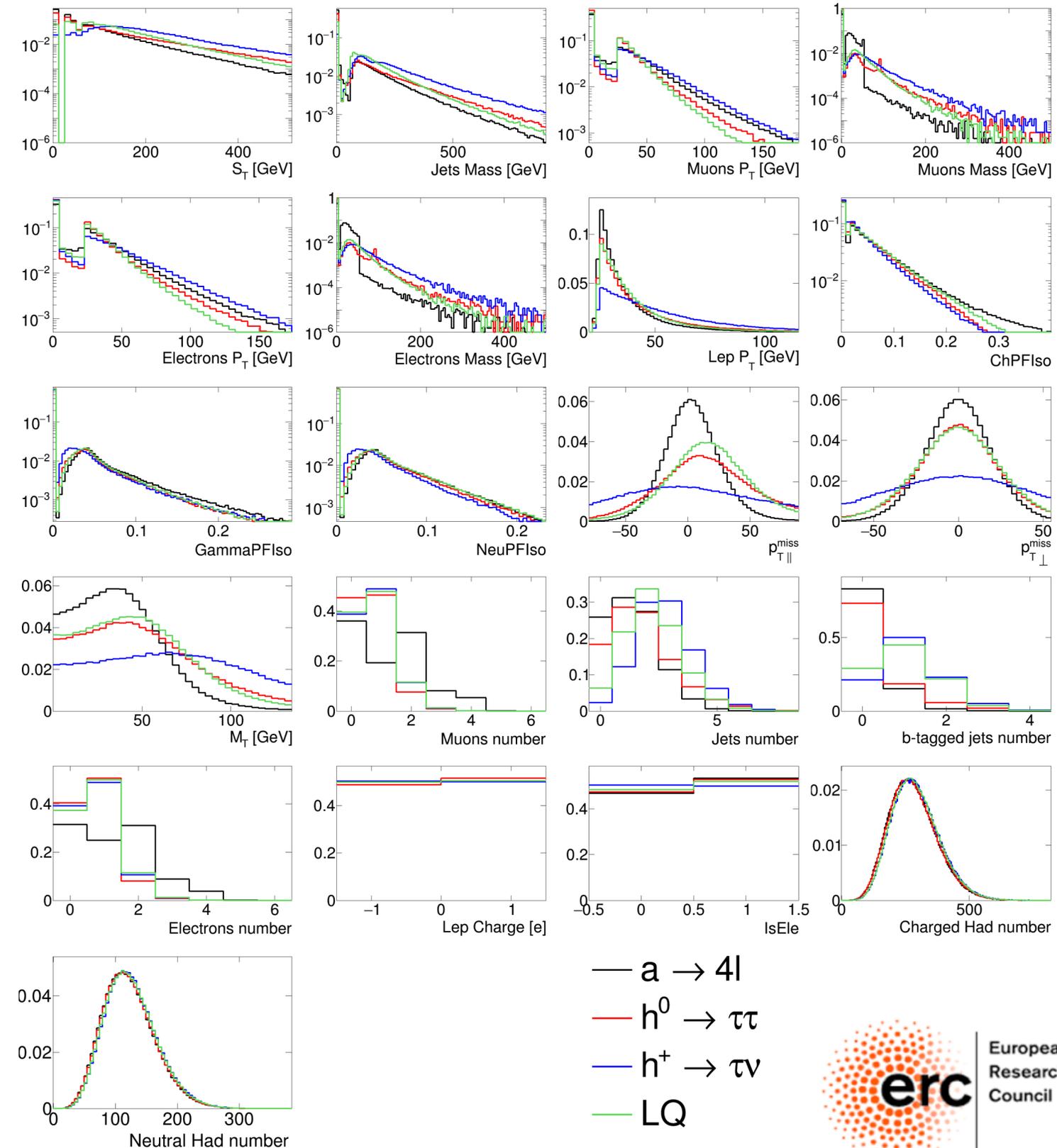
# Defining anomaly

- ⊙ Anomaly defined as a  $p$ -value threshold on a given test statistics
- ⊙ Loss function an obvious choice
- ⊙ Some part of a loss could be more sensitive than others
- ⊙ We tested different options and found the total loss to behave better



# Some BSM benchmark

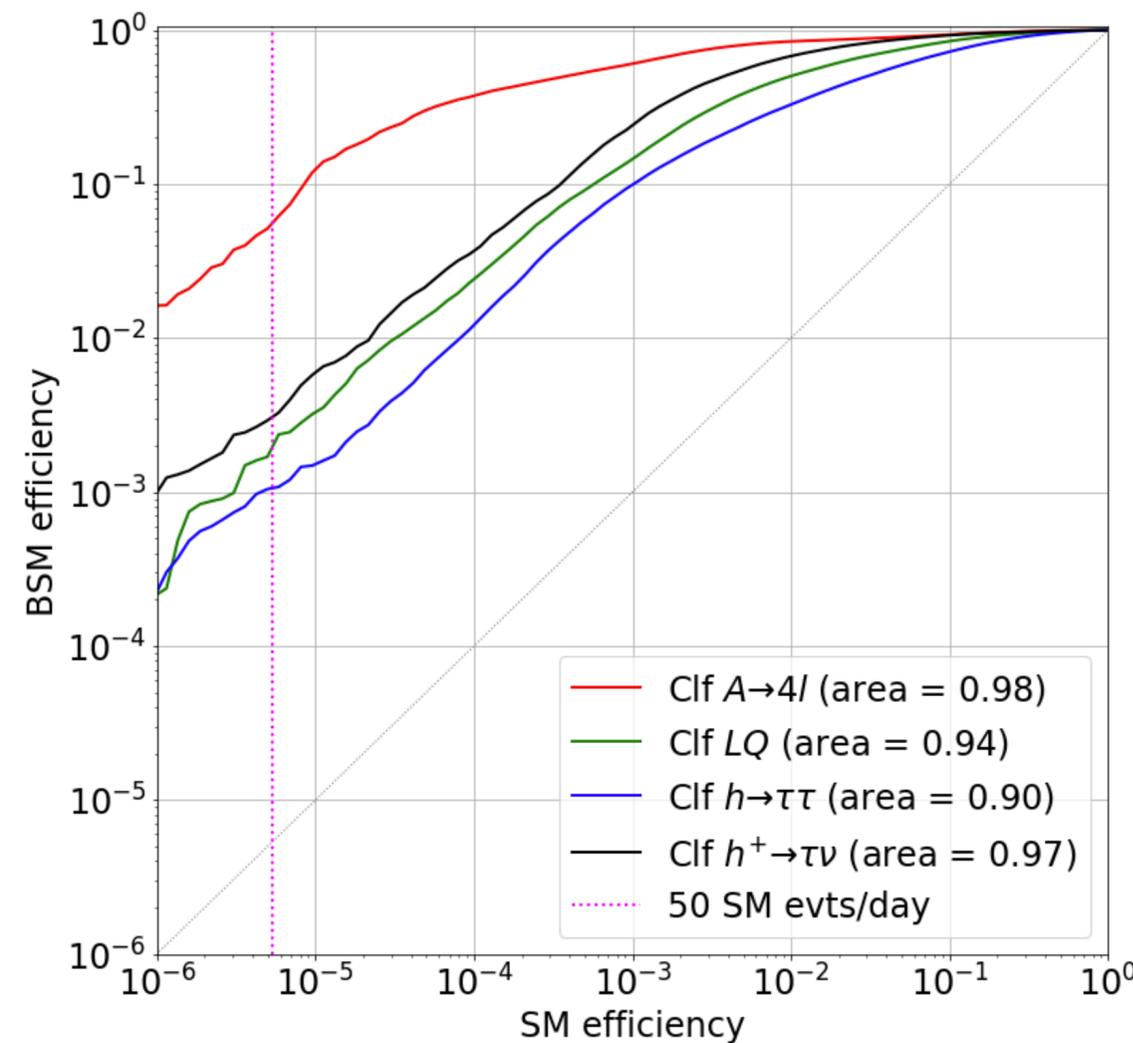
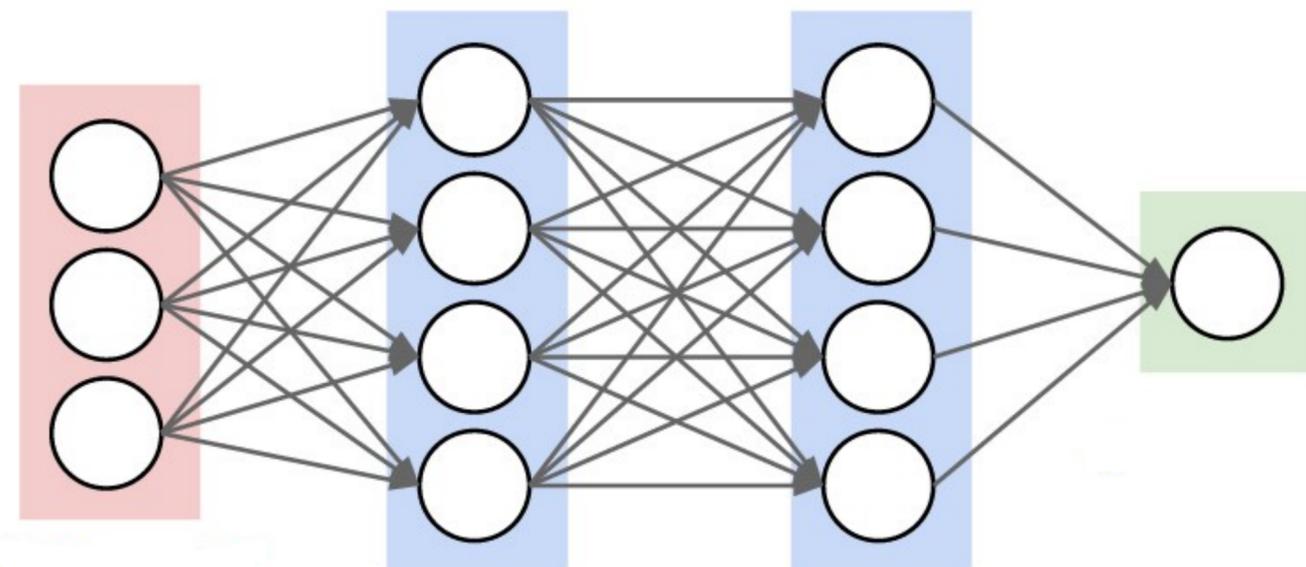
- We consider four BSM benchmark models, to give some sense of VAEs potential
- Leptoquark with mass 80 GeV,  $LQ \rightarrow b\tau$
- A scalar boson with mass 50 GeV,  $a \rightarrow Z^*Z^* \rightarrow 4\ell$
- A scalar scalar boson with mass 60 GeV,  $h \rightarrow \tau\tau$
- A charged scalar boson with mass 60 GeV,  $h^\pm \rightarrow \tau\nu$



BSM benchmark processes				
Process	Acceptance	Trigger efficiency	Total efficiency	Cross-section 100 events/month
$h^0 \rightarrow \tau\tau$	9%	70%	6%	335 fb
$h^0 \rightarrow \tau\nu$	18%	69%	12%	163 fb
$LQ \rightarrow b\tau$	19%	62%	12%	166 fb
$a \rightarrow 4\ell$	5%	98%	5%	436 fb

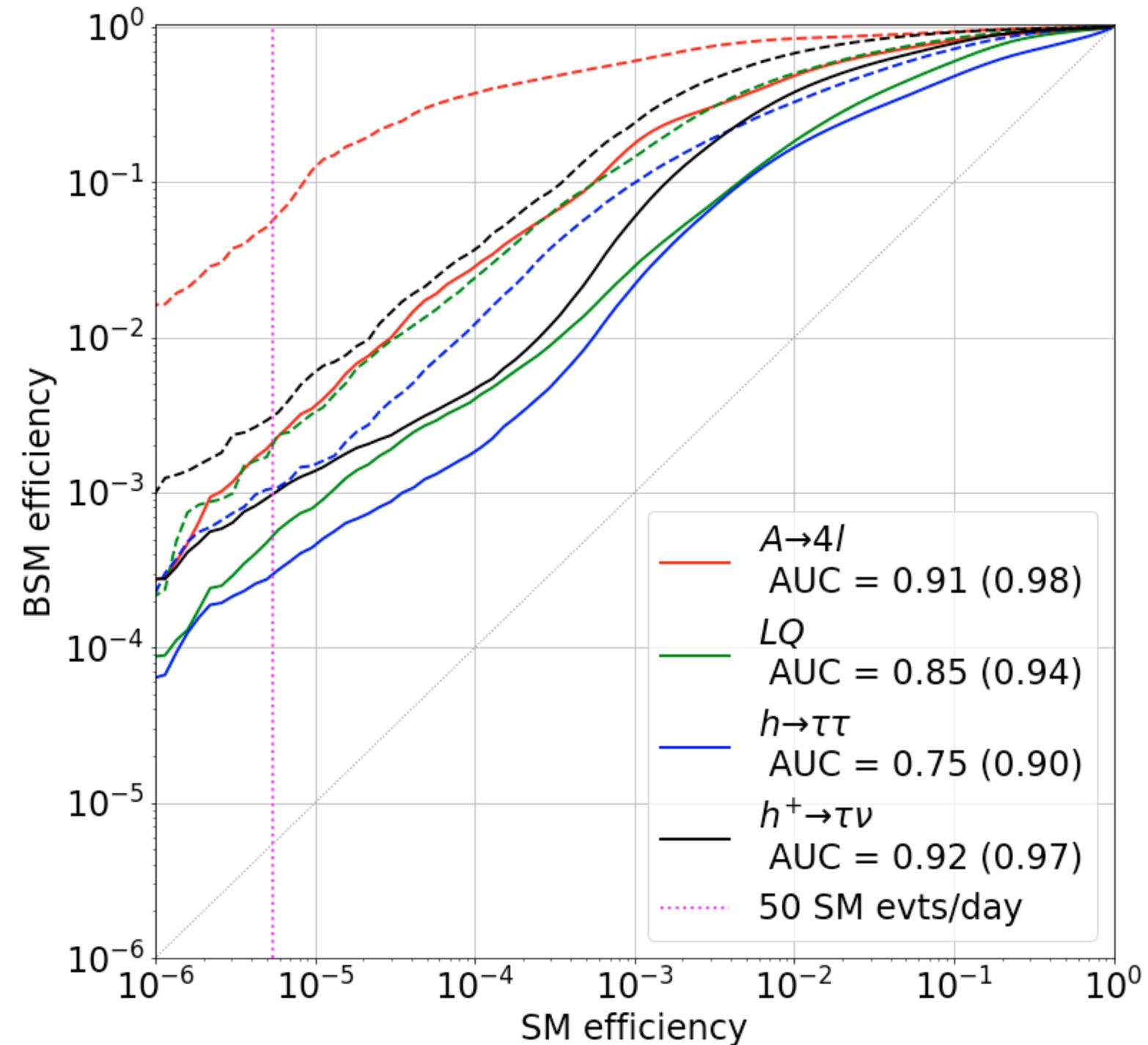
# Benchmark comparison

- VAE's performances benchmarked against supervised classifiers
- For each BSM model
  - take same inputs as VAE
  - train a fully-supervised classifier to separate signal from background
  - use supervised performances as a reference to aim to with the unsupervised approach
- Done for our 4 BSM models using dense neural networks



# Performances

- Evaluate general discrimination power by ROC curve and area under curve (AUC)
- clearly worse than supervised
- but not so far
- Fixing SM acceptance rate at 50 events/day (assuming  $L=XXX$ )
- competitive results considering unsupervised nature of the algorithm



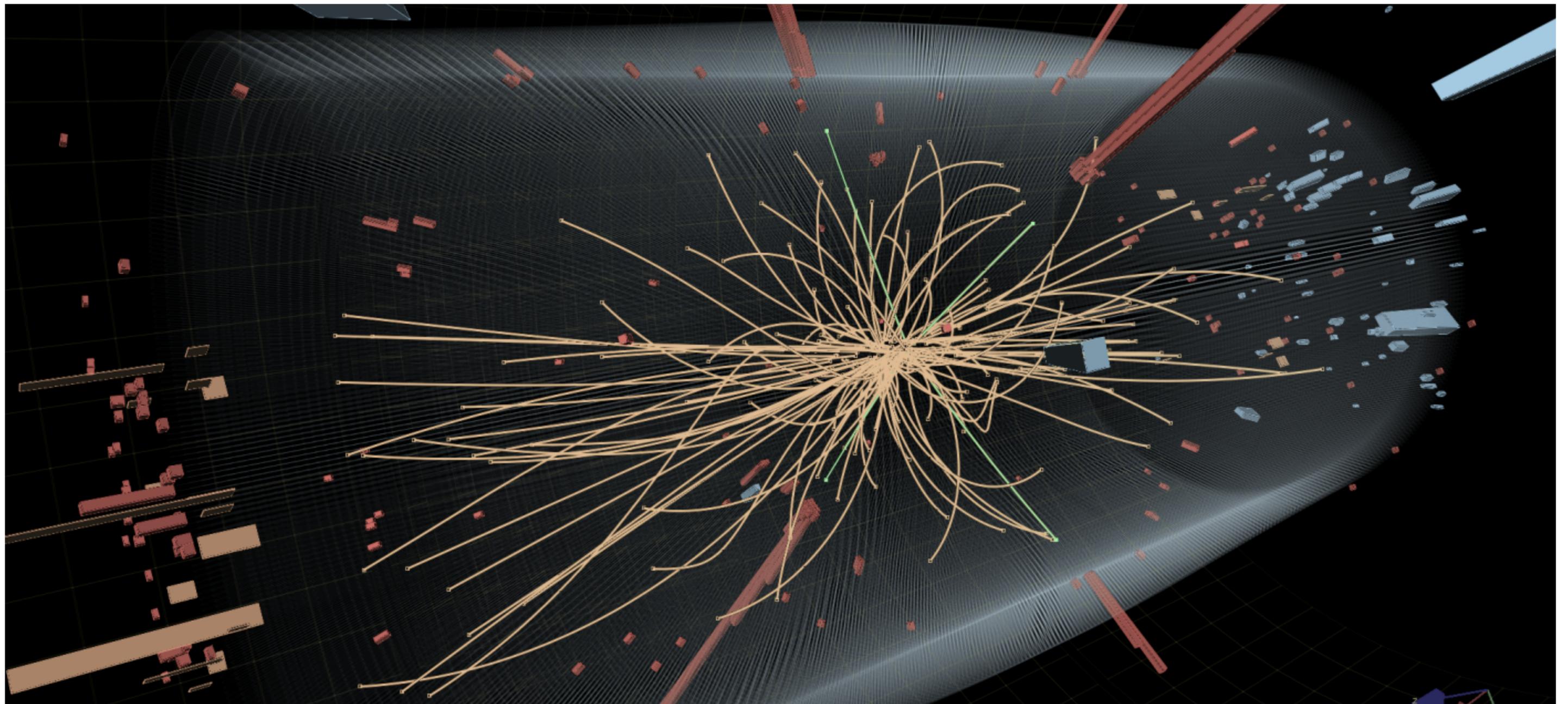
# Performances

- *Small efficiency but still much larger than for SM processes*
- *Allows to probe 10-100 pb cross sections for reasonable amount of collected signal events*

Process	Efficiency for ~30 evt/day	xsec for 100 evt/ month [pb]	xsec for S/B~1/3 [pb]
$a \rightarrow 4\ell$	$2.8 \cdot 10^{-3}$	7.1	27
$LQ \rightarrow \tau b$	$6.5 \cdot 10^{-4}$	31	120
$h \rightarrow \tau\tau$	$3.6 \cdot 10^{-4}$	56	220
$h^\pm \rightarrow \tau\nu$	$1.2 \cdot 10^{-3}$	17	67

# 1/2 way to model independence

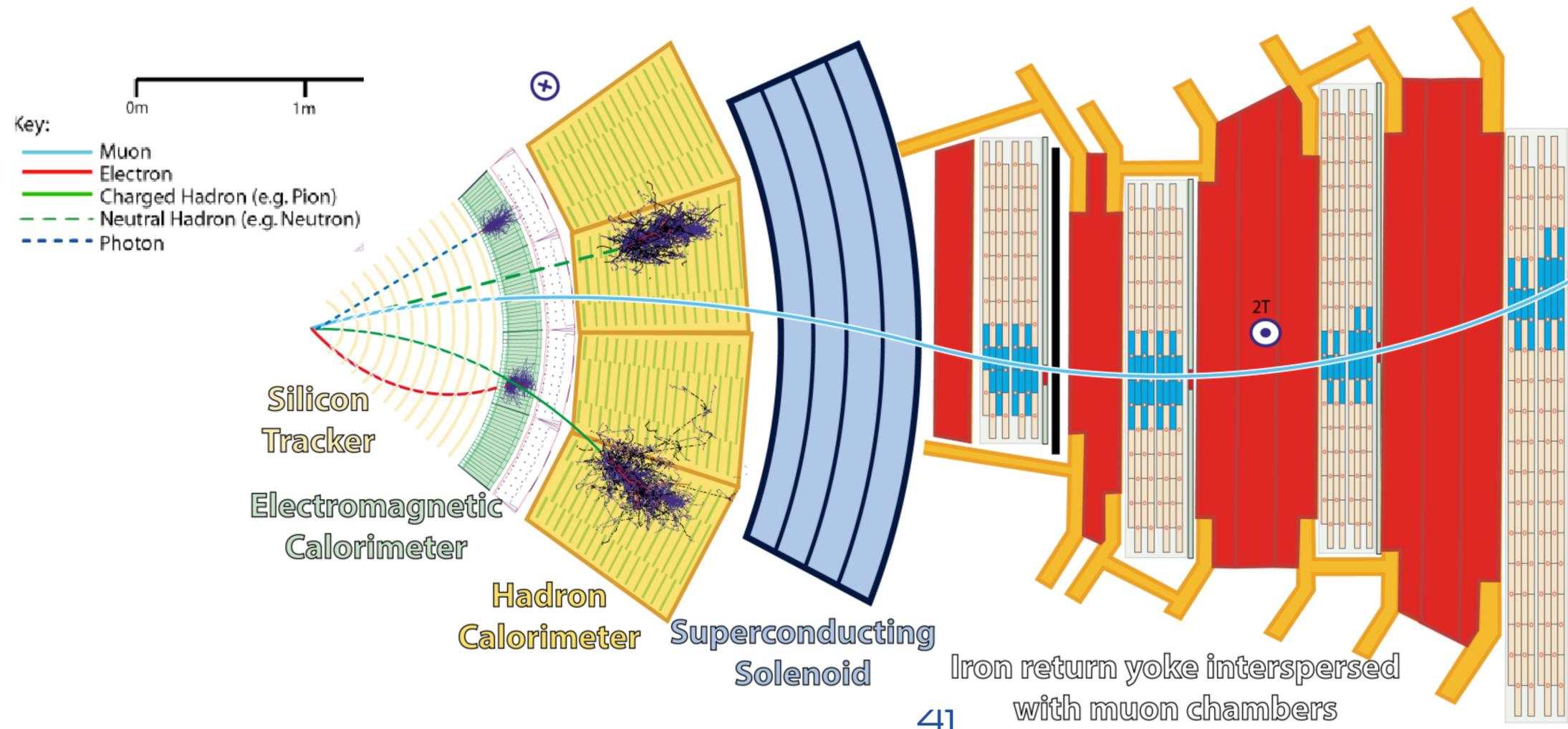
- ◎ *Procedure designed to be model independent*
  - ◎ *Training done only on SM*
  - ◎ *Algorithm that defines anomaly tuned only on number of selected SM events (false positive rate)*
- ◎ *Still, residual model dependence present*
  - ◎ *Based on physics-motivated observables*
  - ◎ *List not tailored on specific models and general enough to offer good performances in principle*
  - ◎ *But one cannot prove that performances on specific BSM models will generalise*
- ◎ *Can we go beyond this limitation and define something really BSM agnostic?*



# Particle Flow, Recurrent Networks & Model Independence

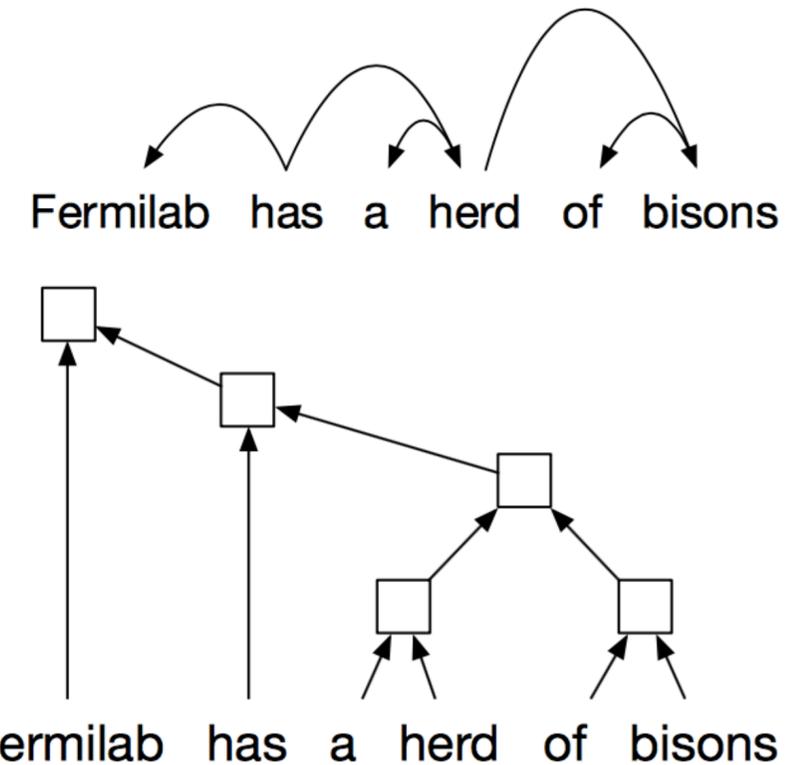
# Particle Flow

- ◉ CMS uses PF to combine sub-detector information and produce a list of reconstructed particles
- ◉ Anything (jets, MET, resonances, etc) is reconstructed from these particles
- ◉ One could generalise the VAE new-physics-detection algorithm and make it PF compliant
  - ◉ integrated in the reconstruction flow @HLT
  - ◉ can abstract from model dependence inherited by any physics-motivated HLF choice



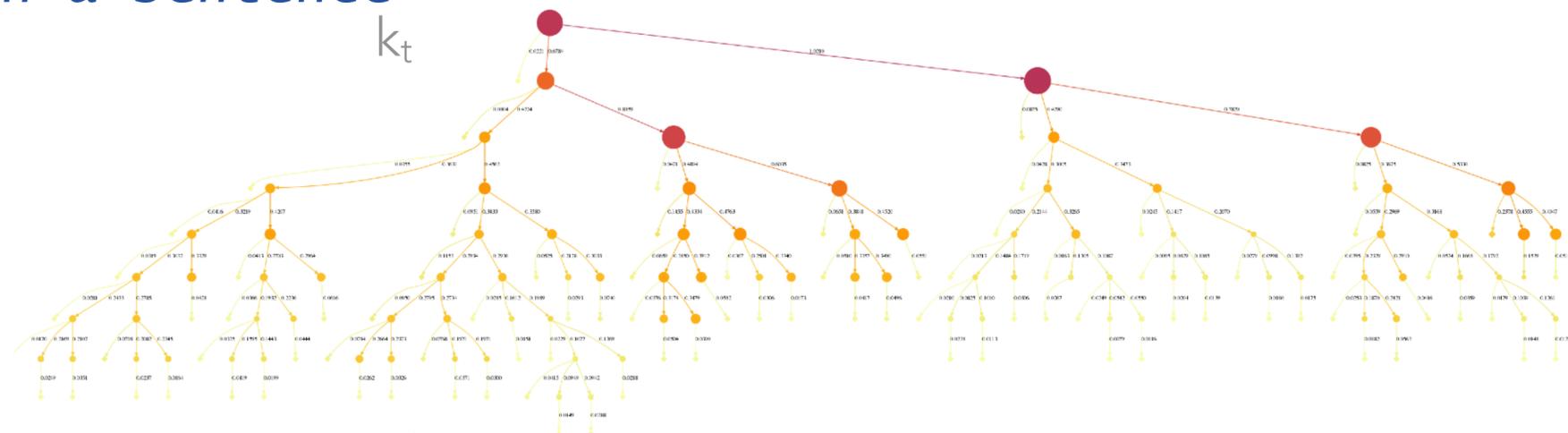
# LHC events & language processing

- ⦿ *PF reco is not the best match for computing vision techniques (e.g., convolutional neural networks) don't work*
- ⦿ *one would have to convert the particles to a pixelated images, loosing resolution*
- ⦿ *Instead, list of particles can be processed by Deep Learning architectures designed for natural language processing (RNN, LSTMs, GRUs, ...)*



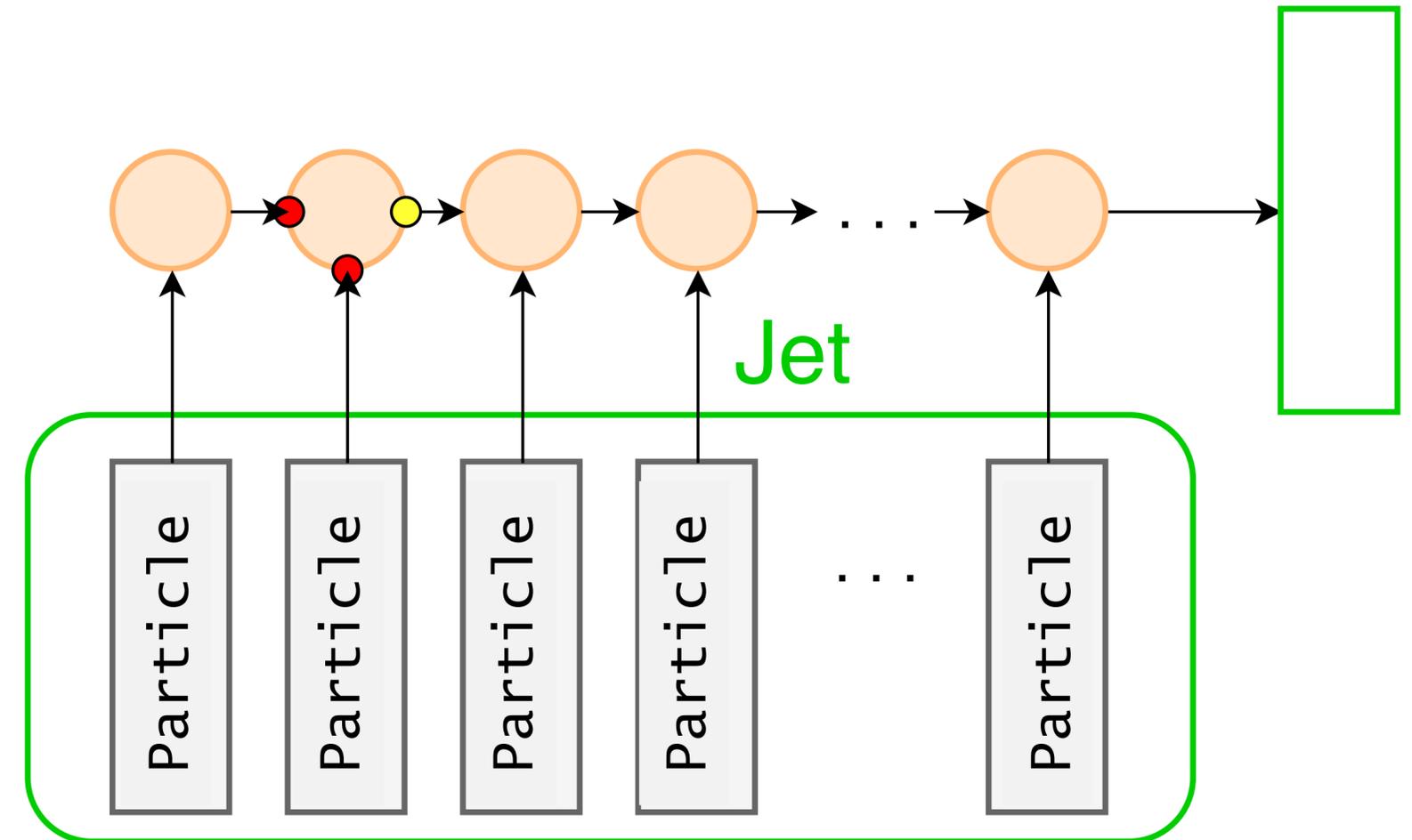
⦿ *particles as words in a sentence*

⦿ *QCD is the grammar*



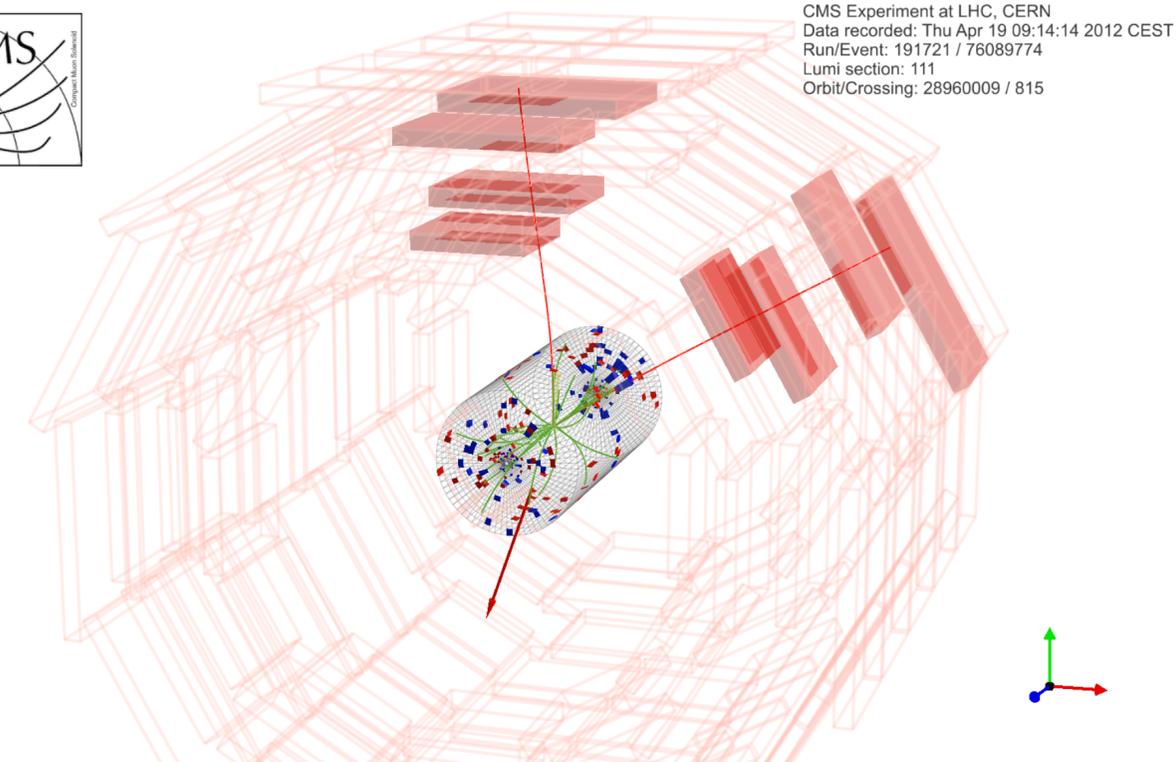
# Recurrent Neural Networks

- *A network architecture suitable to process an ordered sequence of inputs*
- *words in text processing*
- *a time series*
- *particles in a list*
- *Could be used for a single jet or the full event*
- *Next step: graph networks (active research direction)*

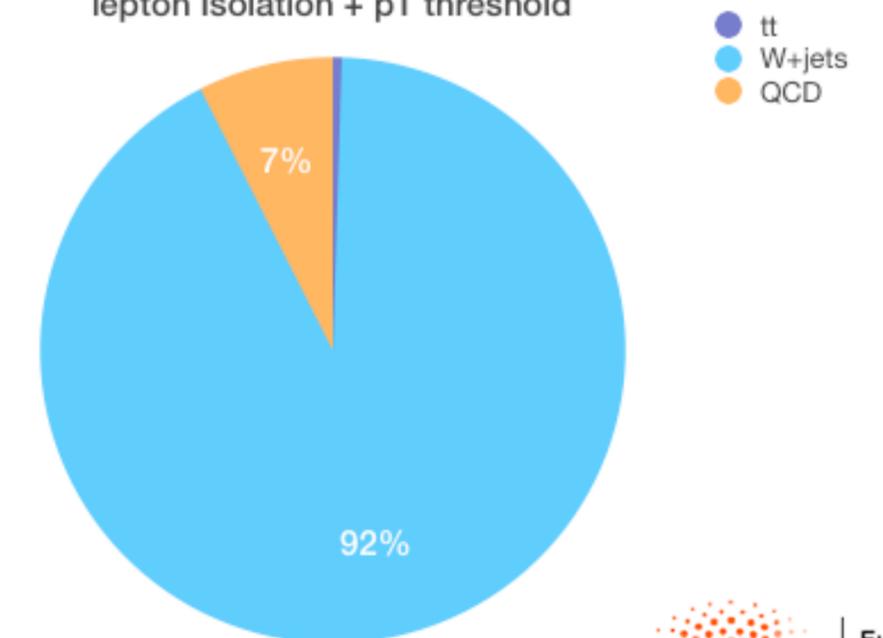


## A typical example: leptonic triggers

- ◎ at the LHC, producing an isolated electron or muon is very rare. Typical smoking gun that something interesting happened (Z,W,top,H production) -> TAKE THEM!
- ◎ Triggers like those are very central to ATLAS/CMS physics
- ◎ The sample selected is enriched in interesting events, but still contaminated by non-interesting ones
- ◎ Can we clean this up w/o biasing the physics? yes, with ML



lepton Isolation + pT threshold



# A Topology Classifier

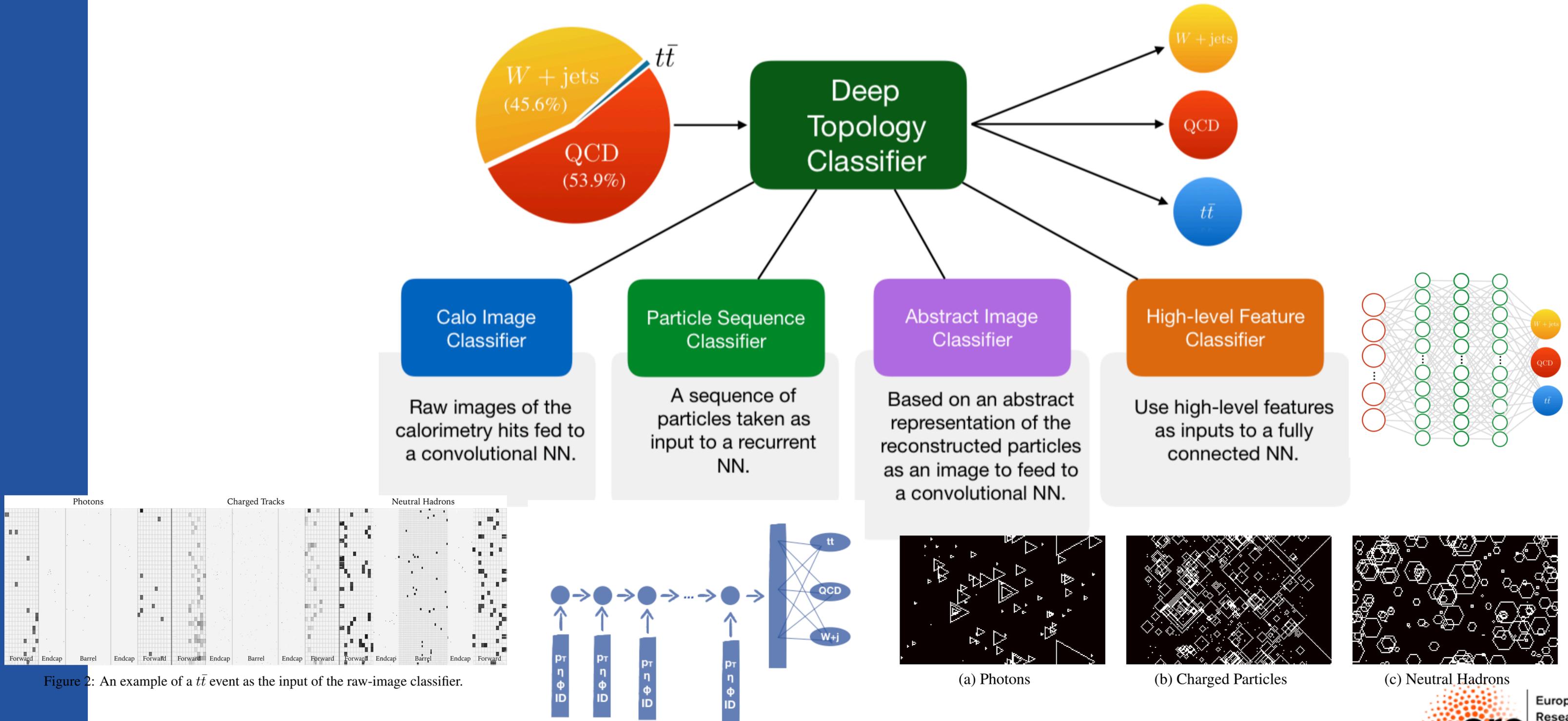
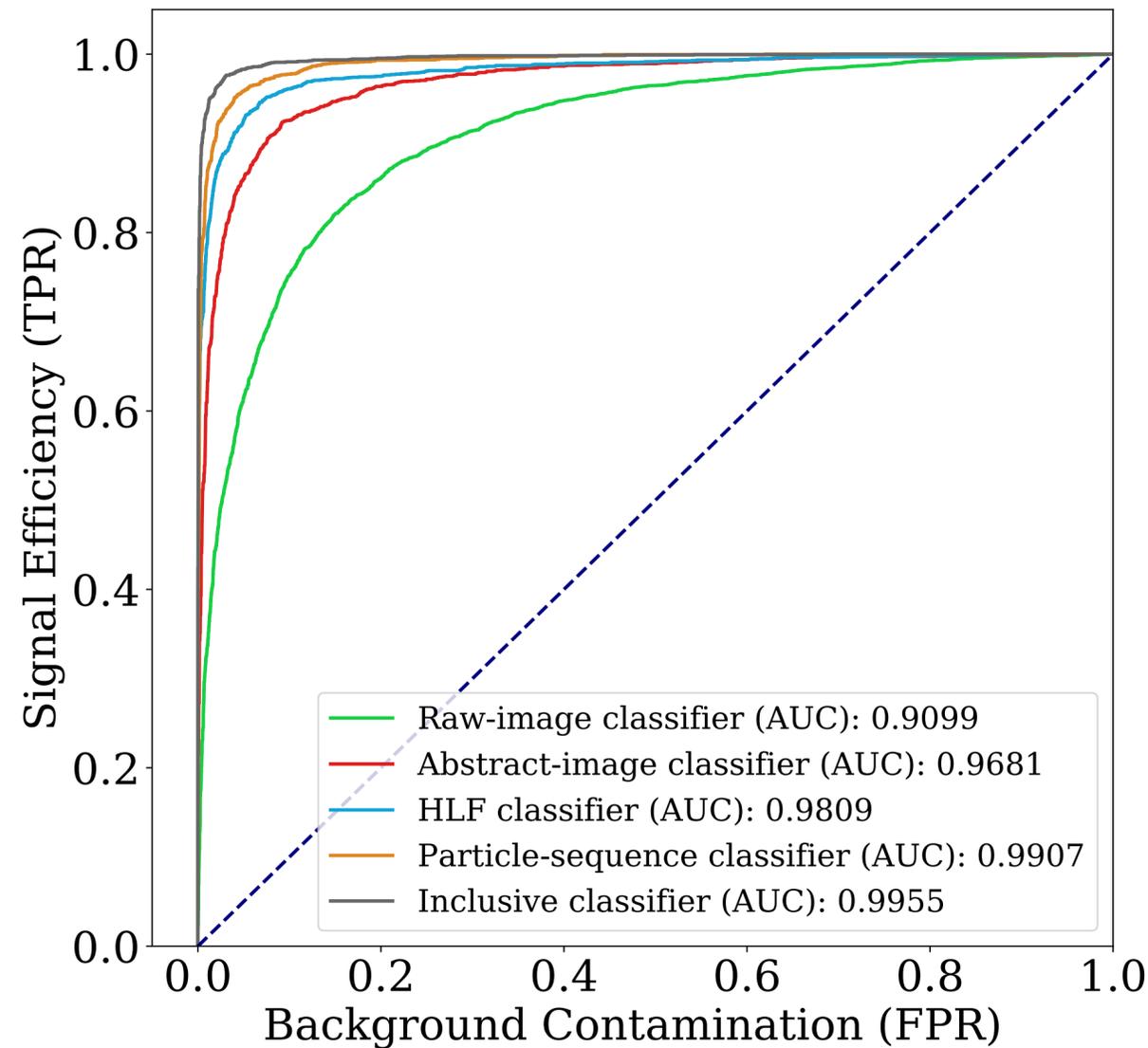
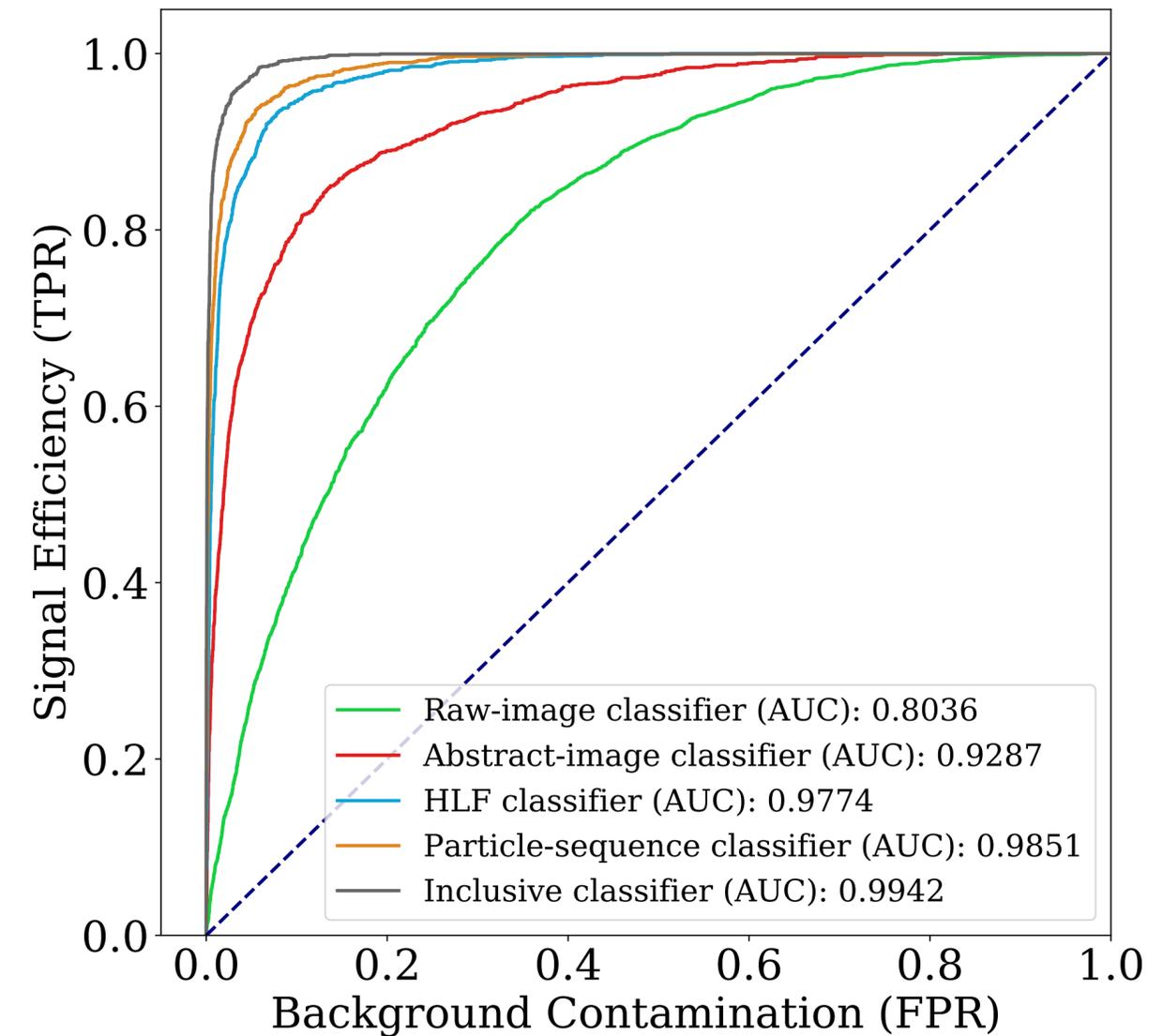


Figure 2: An example of a  $t\bar{t}$  event as the input of the raw-image classifier.

# Selection performances



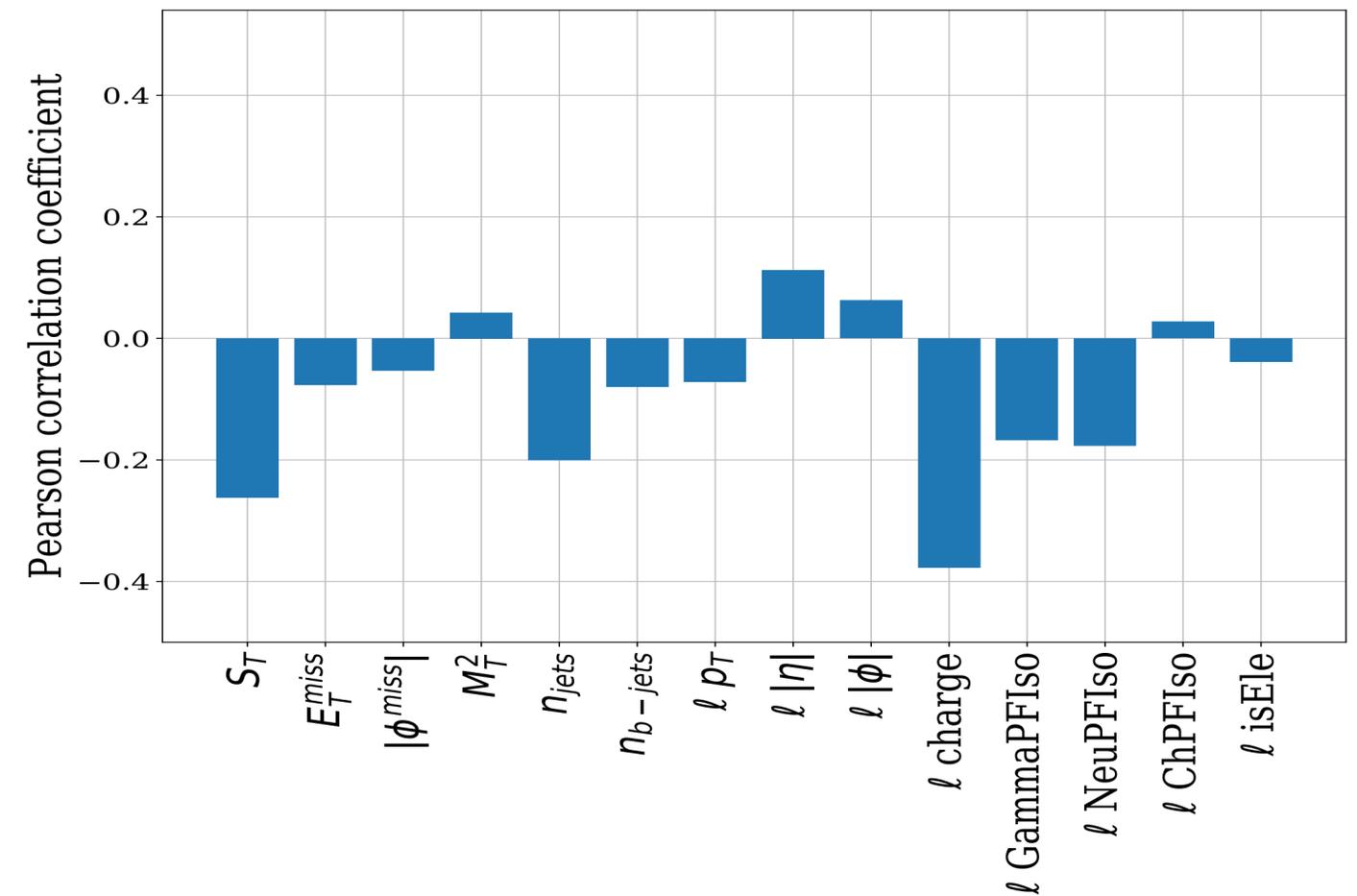
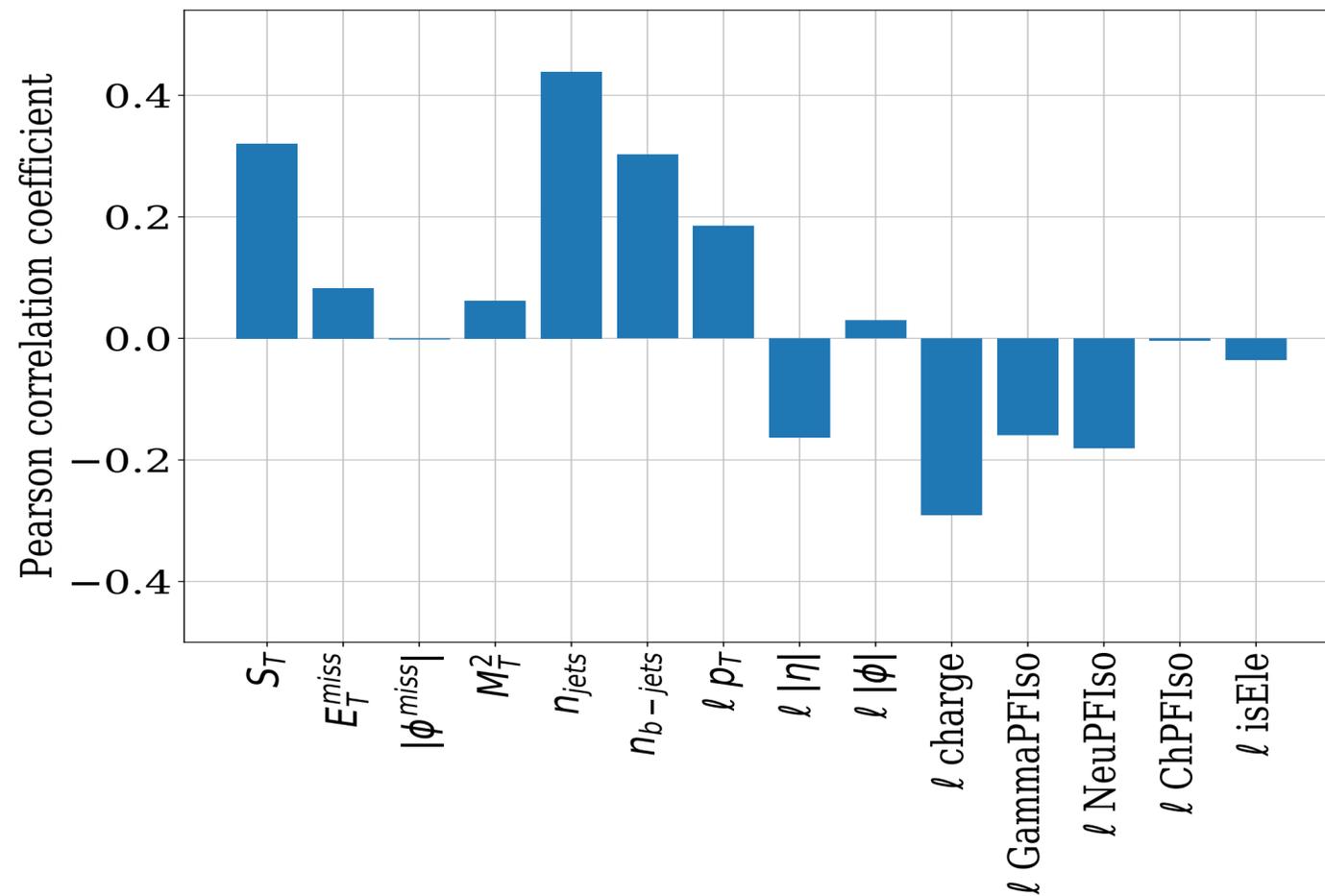
(a)  $t\bar{t}$  selector



(b)  $W$  selector

**Can select 99% of the top events and reduce the fraction of written events by a factor  $\sim 7$**

# Selection performances



## What is the network learning?

- $t\bar{t}$  events are more crowded than  $W$  events
- leptons in  $W$  and  $t\bar{t}$  events are isolated from other particles

# VAE with PF particles

- Issues:

- variable number of particles/event as input

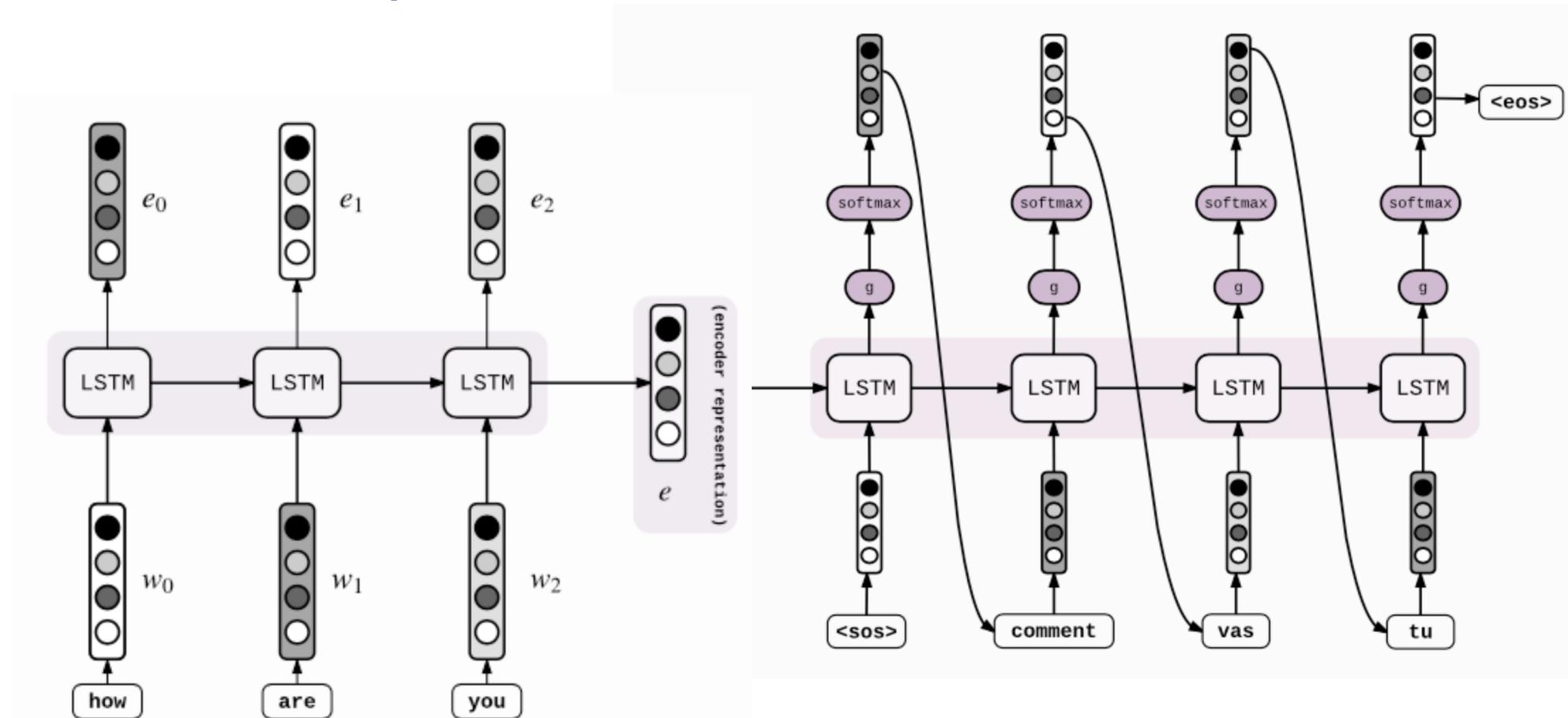
- need to return particles as output

- Networks used for translation

- start from a sentence in language

- code its meaning in some latent space  $z$

- translate to some other language, generating words from  $z$

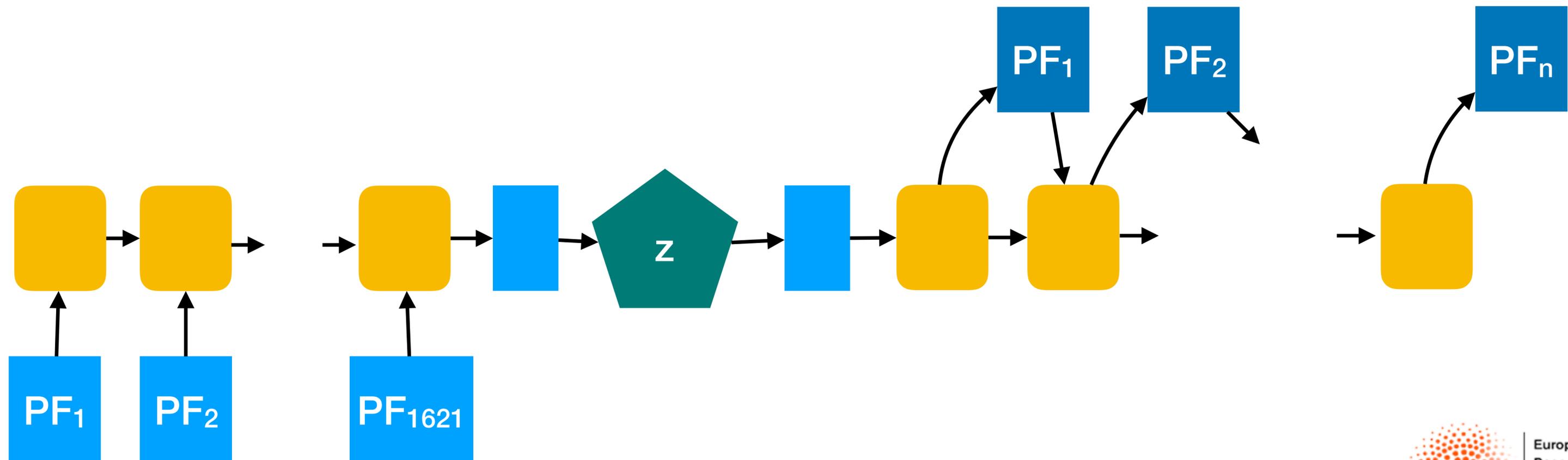


# VAE with PF particles

⦿ *Issues:*

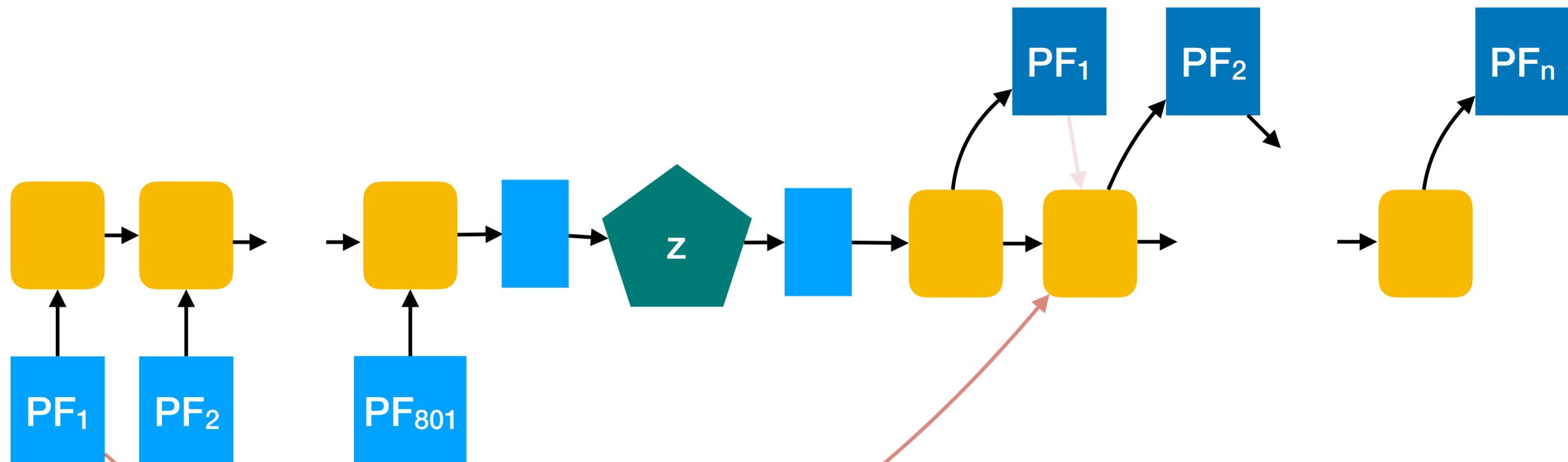
⦿ *variable number of particles/event as input*

⦿ *need to return particles as output*



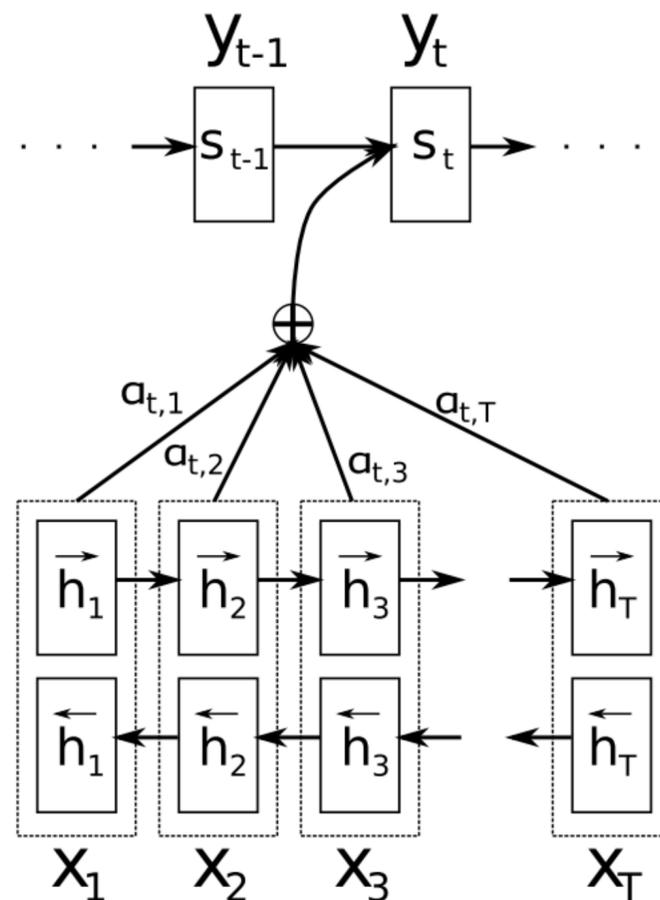
# Teacher forcing

- At early stage of training, the decoder can't reconstruct a reasonable first PF candidate; autoregressive mechanism propagates it into a wrong chain of particles.
- Teacher-forcing:** under some probability  $k$ , feed the target as the next input instead of using the previous prediction.  $k$  decreases as the epoch number increases.



# Adding Attention

- Attention allows the decoder to focus on which part of the inputs is relevant to the next prediction.



the **Encoder** generates  $h_1, h_2, h_3, \dots, h_T$  from the inputs  $X_1, X_2, X_3, \dots, X_T$

$a$  is the **Alignment model** which is a **feedforward neural network** that is trained with all the other components of the proposed system

$$e_{ij} = a(s_{i-1}, h_j)$$

The **Alignment model** scores ( $e$ ) how well each encoded input ( $h$ ) matches the current output of the decoder ( $s$ ).

The alignment scores are normalized using a **softmax function**.

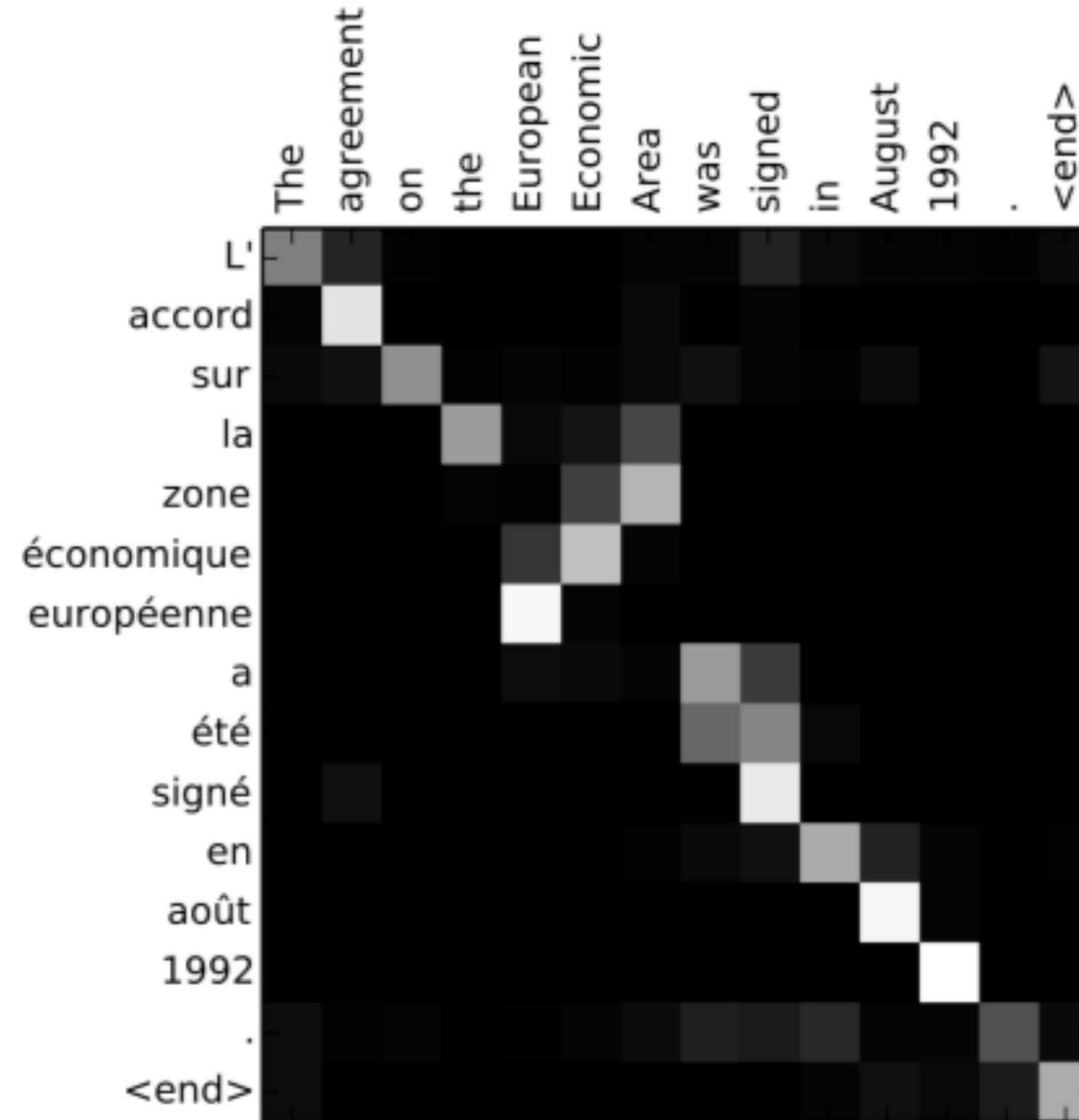
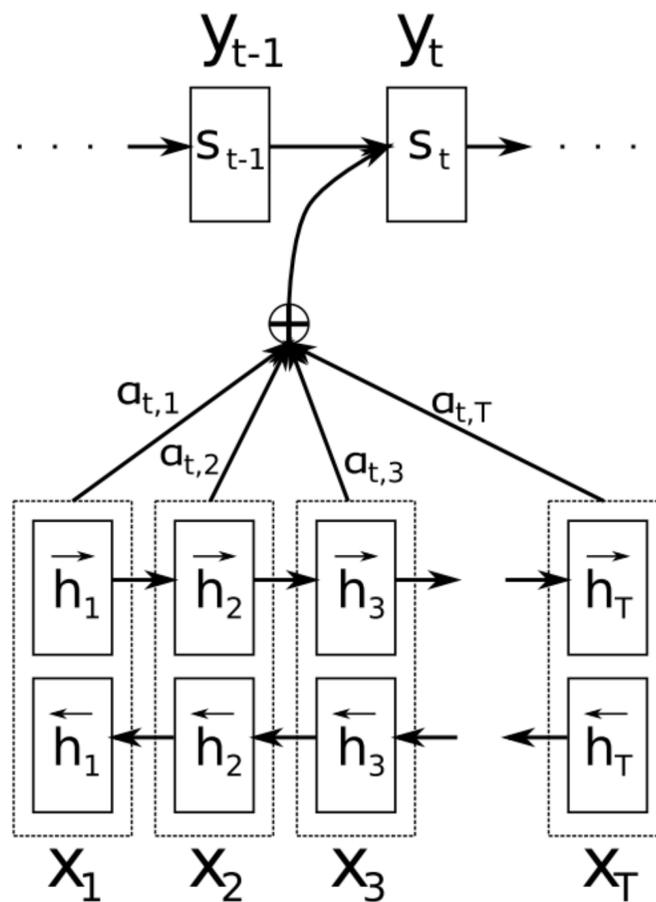
$$\alpha_{ij} = \frac{\exp(e_{ij})}{\sum_{k=1}^{T_x} \exp(e_{ik})}$$

The context vector is a weighted sum of the **annotations** ( $h_j$ ) and **normalized alignment scores**.

$$c_i = \sum_{j=1}^{T_x} \alpha_{ij} h_j$$

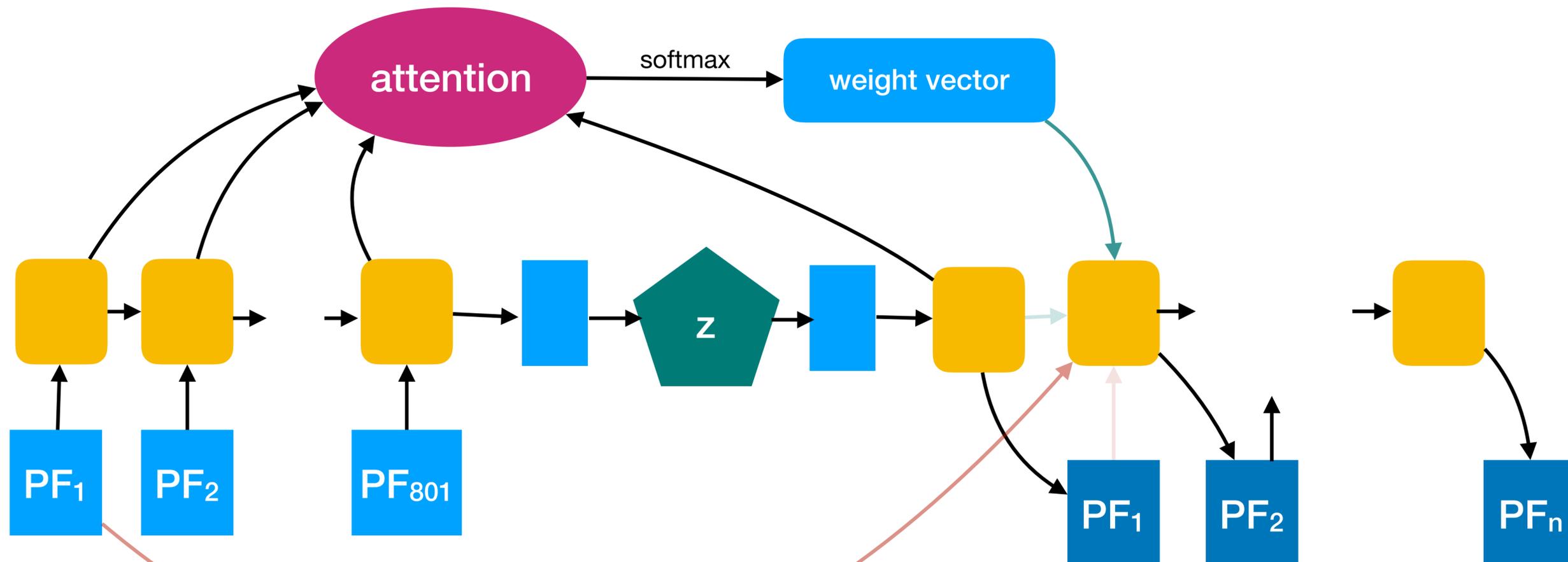
# Adding Attention

- Attention allows the decoder to focus on which part of the inputs is relevant to the next prediction.



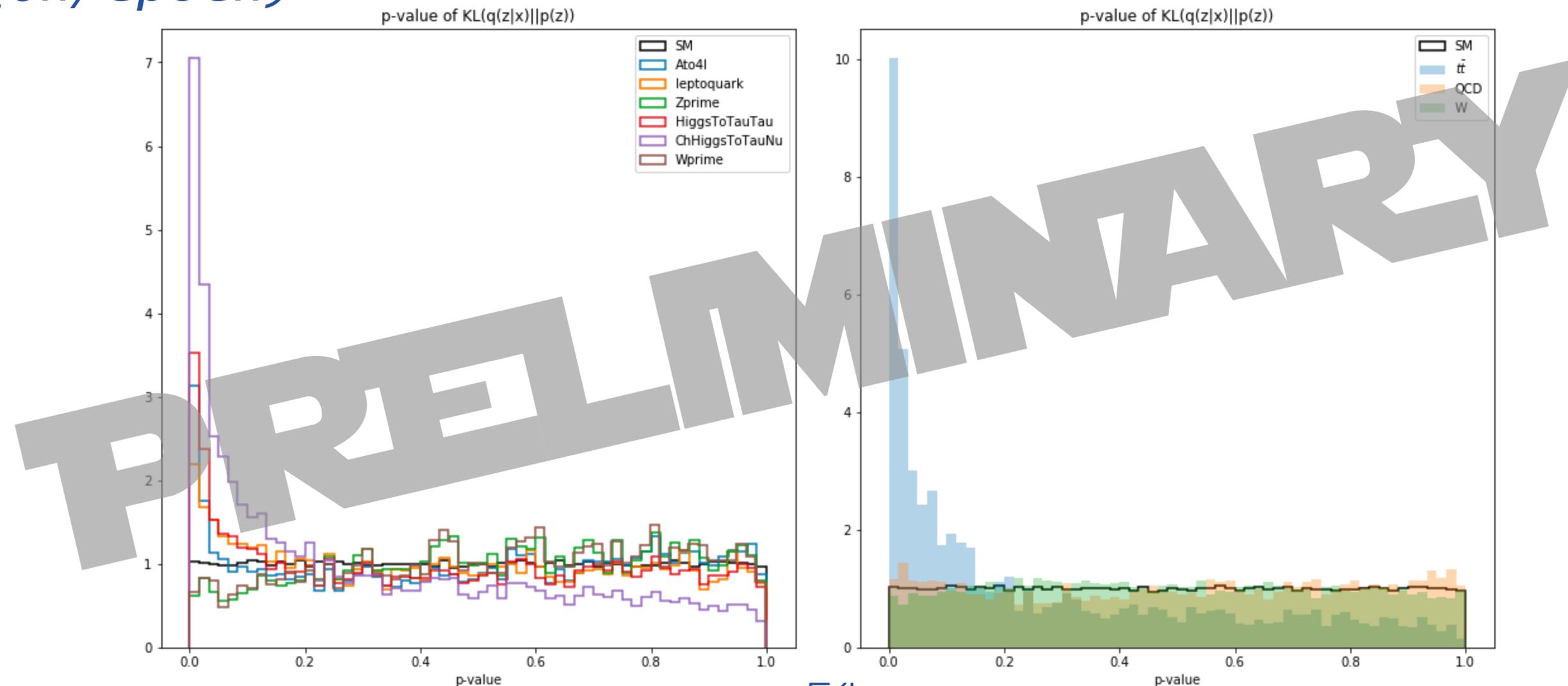
# Adding Attention

- Attention allows the decoder to focus on which part of the inputs is relevant to the next prediction.



# Performances

- (Preliminary) results trained on a small subset of the initial dataset (90K events)
- Due to architecture complexity, training is much slower (6h/epoch)



# Performances

- ⦿ (Preliminary) results trained on a small subset of the initial dataset (90K events)
- ⦿ Due to architecture complexity, training is much slower (6h/epoch)

Process	p-value = 0.05	p-value = 0.01	p-value = 0.001	p-value = 0.0001
$a \rightarrow 4\ell$	0.100	0.036	0.007	0.002
$LQ \rightarrow \tau b$	0.090	0.021	0.003	0.001
$h \rightarrow \tau\tau$	0.124	0.040	0.010	0.004
$h^\pm \rightarrow \tau\nu$	0.232	0.079	0.018	0.006

# Performances

- ⦿ (Preliminary) results trained on a small subset of the initial dataset (90K events)
- ⦿ Due to architecture complexity, training is much slower (6h/epoch)

Process	Efficiency for ~300 evt/day	xsec for 10 evt/ month [pb]	xsec for S/B~1/3 [pb]
$a \rightarrow 4\ell$	$3.3 \cdot 10^{-4}$	7.2	$1.5 \cdot 10^3$
$LQ \rightarrow tb$	$5.8 \cdot 10^{-4}$	4.1	850
$h \rightarrow \tau\tau$	$1.1 \cdot 10^{-3}$	2.2	450
$h^\pm \rightarrow \tau\nu$	$1.4 \cdot 10^{-3}$	1.7	340



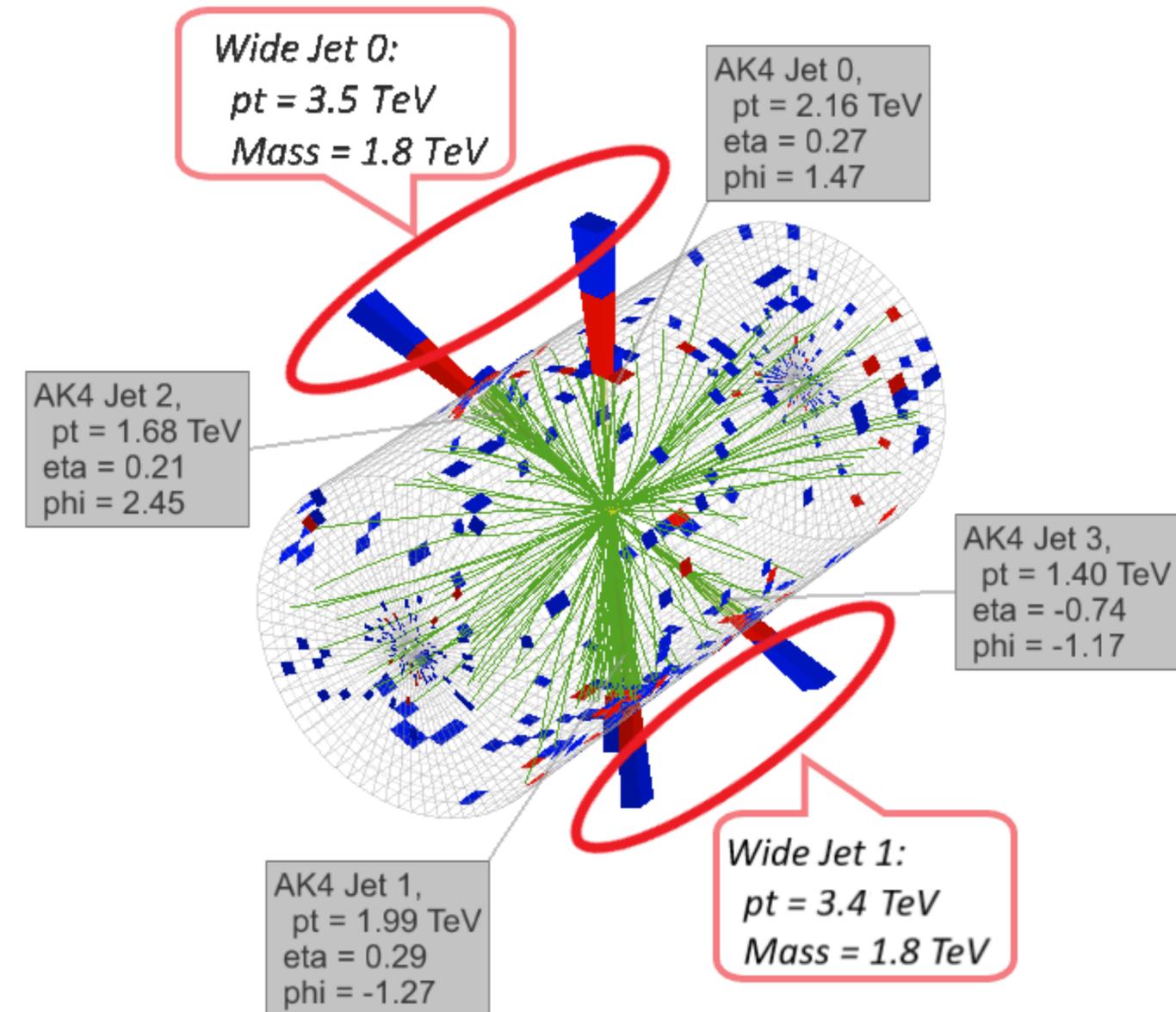
# How to use such an algorithm

# Not a discovery per-se

- As for model-independent searches, not a discovery tool per se
- One needs extra ingredients to translate what is found into a meaningful hypothesis test
- Learn from data (data mining) and use the knowledge on new data
- Add information (e.g., expected background model) and use “bsm-agnostic” hypothesis testing
- Scan the events with some advanced tool
- ...

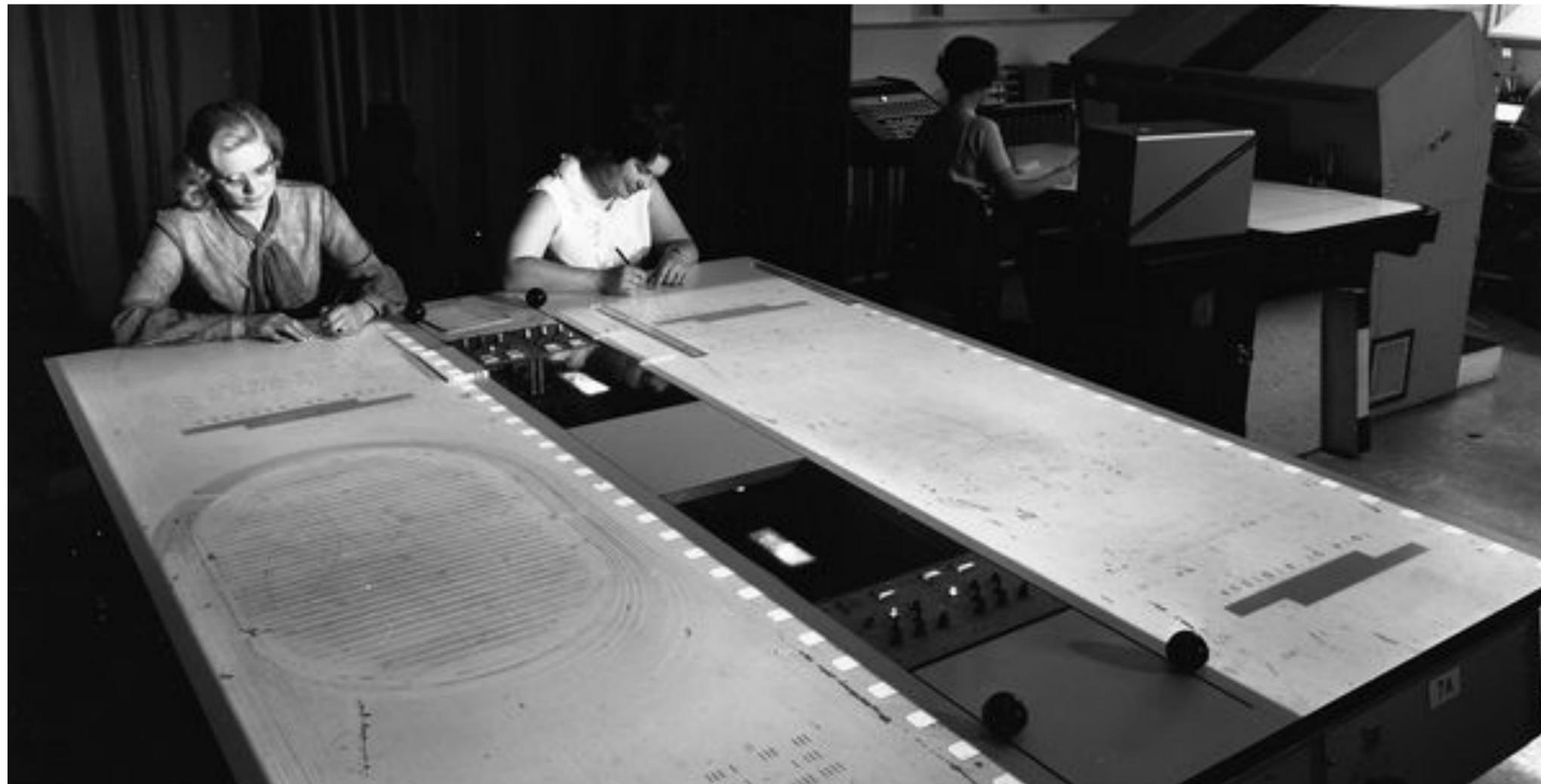


Not clear what to do with a lot of these



CMS Experiment at LHC, CERN  
 Data recorded: Sat Oct 28 12:41:12 2017 EEST  
 Run/Event: 305814 / 971086788  
 Lumi section: 610  
 Dijet Mass: 8 TeV

# Visual inspection



- *Nothing new (we used to do this in the past)*
- *In principle, one could release a catalog for you to play with it*

# Learning NP from a Machine

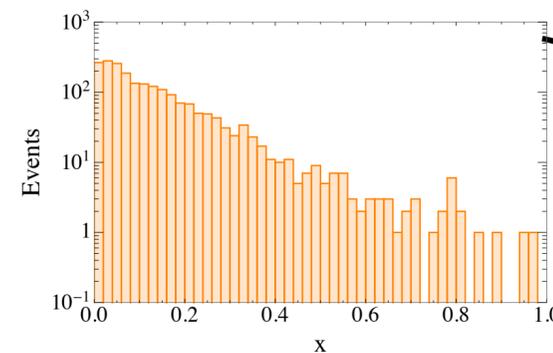
With description of the SM samples that would be selected (e.g., MC if MC accurate) one could run hypothesis testing w/o specifying the signal model

This would allow to “isolate” the anomalous events looking at the returned contribution to the likelihood ratio

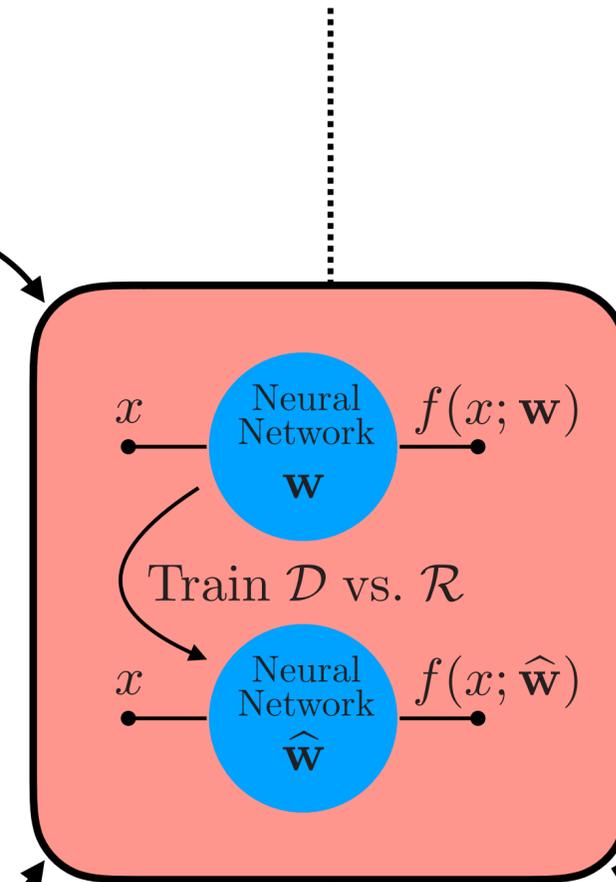
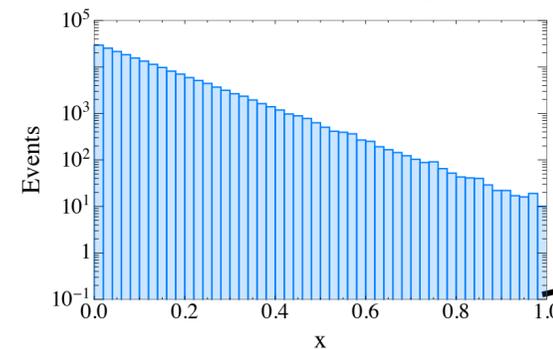
$$t(\mathcal{D}) = 2 \log \left[ \frac{e^{-N(\hat{\mathbf{w}})}}{e^{-N(\mathbf{R})}} \prod_{x \in \mathcal{D}} \frac{n(x|\hat{\mathbf{w}})}{n(x|\mathbf{R})} \right] = -2 \text{Min}_{\{\mathbf{w}\}} \left[ N(\mathbf{w}) - N(\mathbf{R}) - \sum_{x \in \mathcal{D}} f(x; \mathbf{w}) \right]$$

## INPUT

Data sample  $\mathcal{D}$

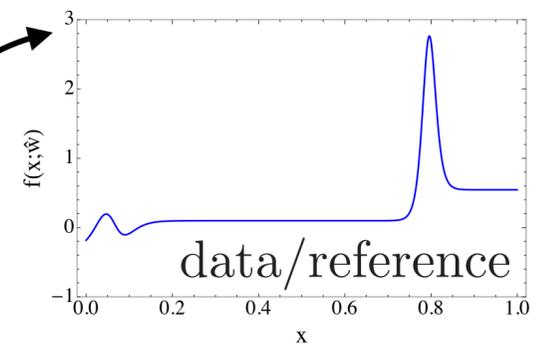


Reference sample  $\mathcal{R}$



## OUTPUT

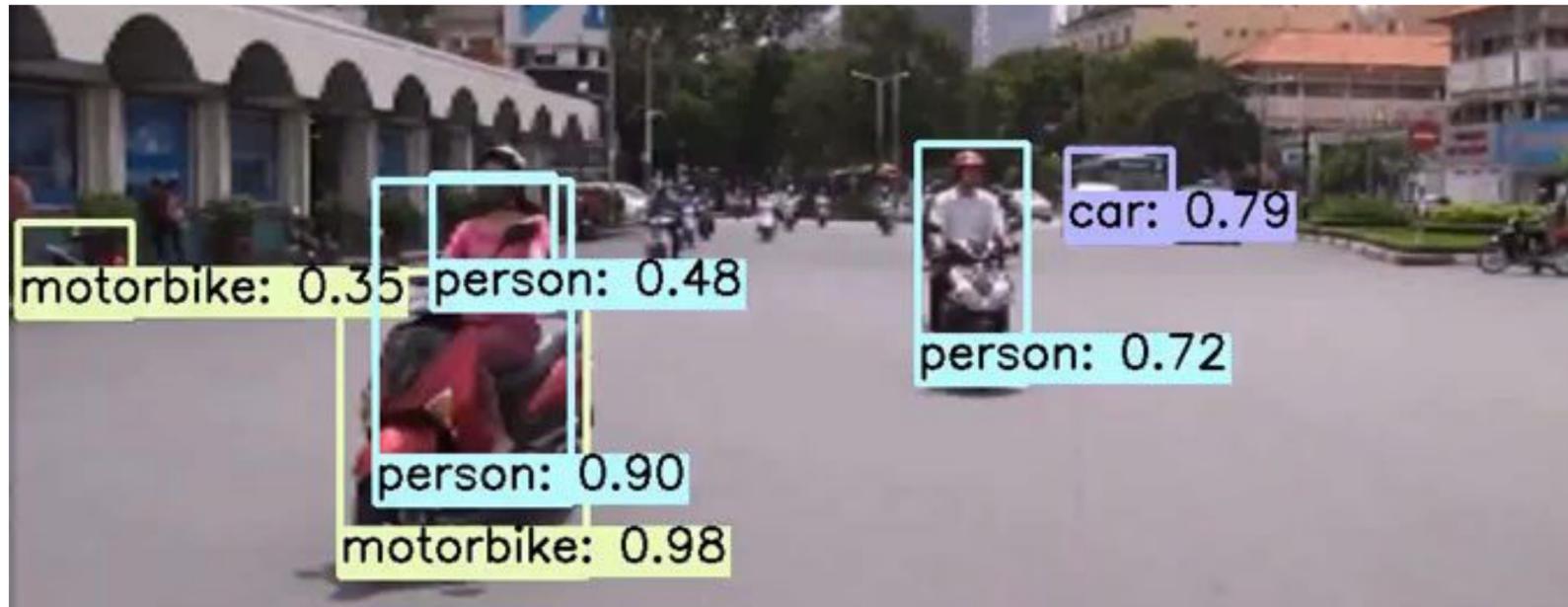
Dist. log ratio



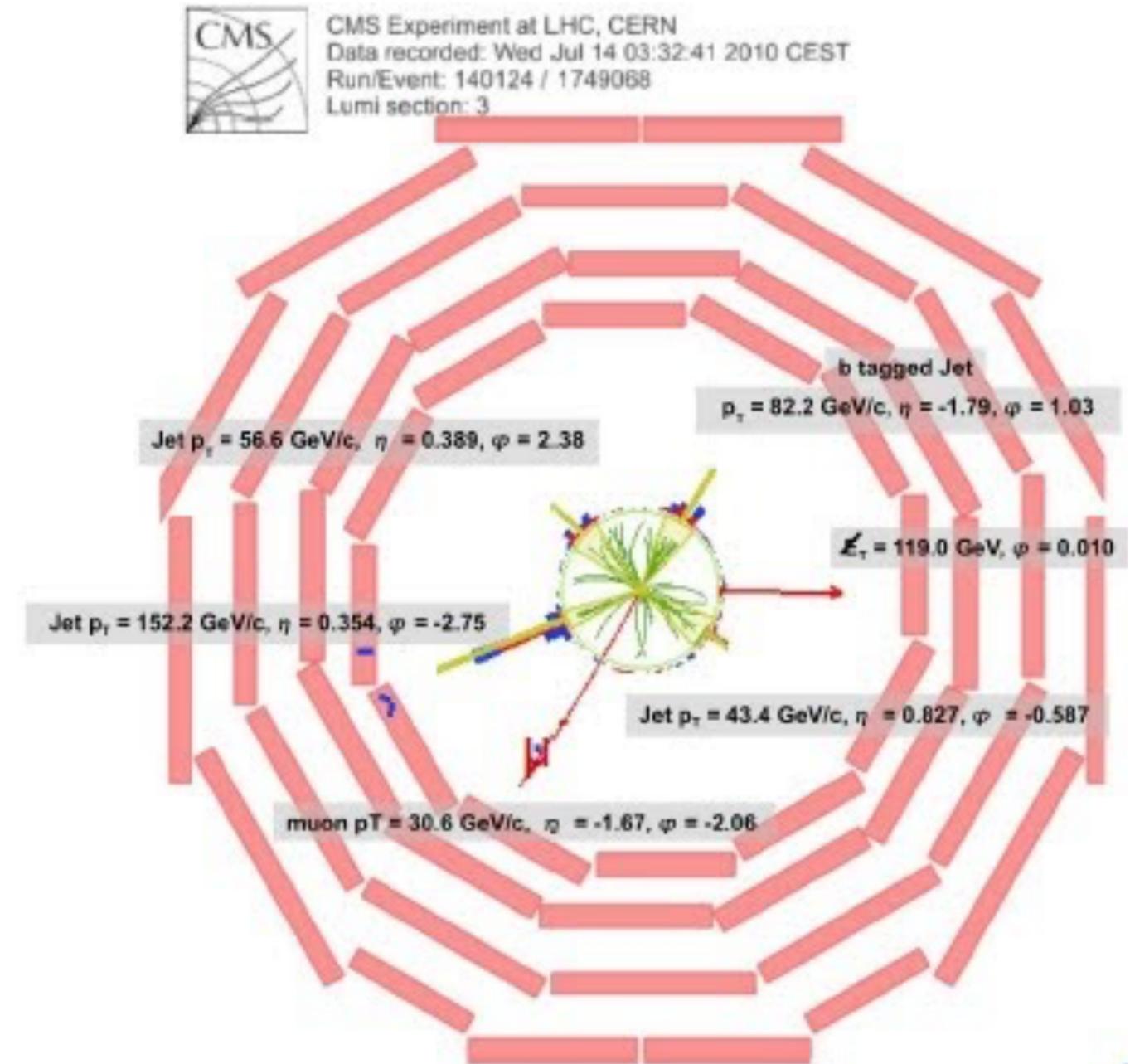
$$f(x; \hat{\mathbf{w}}) \simeq \log \left[ \frac{n(x|\mathbf{T})}{n(x|\mathbf{R})} \right]$$

Test statistic  $t$  computed on the data sample  $\mathcal{D}$

$$t(\mathcal{D}) = -2 \text{Min}_{\{\mathbf{w}\}} L[f]$$



- *BSM events might still be made of standard objects (jets, leptons, etc)*
- *Single Shot Multibox Detector could tell us what is in each event*
- *Multiplicity might be enough to highlight a pattern in the selected anomalies*



# Conclusions

- ◎ *The LHC Big Data problem might be fooling us: are we rejecting new physics events because we started with the wrong portfolio of BSM scenarios?*
- ◎ *We need an alternative strategy to act as an insurance, while we keep following the canonical strategy*
- ◎ *We propose to use autoencoders as anomaly detection tools running in the trigger, to let the data guide our search*
- ◎ *The ultimate goal is to select  $O(10)$  events/day and create a catalog of anomalous events, for further study within and outside the collaborations*
- ◎ *Hopefully, this might open our eyes towards new directions*



# Backup

# Pdf modeling

- **Clipped Log-normal +  $\delta$  function:** used to describe  $S_T$ ,  $M_J$ ,  $p_T^\mu$ ,  $M_\mu$ ,  $p_T^e$ ,  $M_e$ , isolated-lepton  $p_T$ , ChPFIso, NeuPFIso and GammaPFIso:

$$P(x | \alpha_1, \alpha_2, \alpha_3) = \begin{cases} \alpha_3 \delta(x) + \frac{1-\alpha_3}{x\alpha_2\sqrt{2\pi}} \exp\left(-\frac{(\ln x - \alpha_1)^2}{2\alpha_2^2}\right) & \text{for } x \geq 10^{-4} \\ 0 & \text{for } x < 10^{-4} \end{cases} \quad (9)$$

- **Gaussian:** used for  $p_{T,\parallel}^{\text{miss}}$  and  $p_{T,\perp}^{\text{miss}}$ :

$$P(x | \alpha_1, \alpha_2) = \frac{1}{\alpha_2\sqrt{2\pi}} \exp\left(-\frac{(x - \alpha_1)^2}{2\alpha_2^2}\right) \quad (10)$$

- **Truncated Gaussian:** a Gaussian truncated for negative values and normalized to unit area for  $X > 0$ . Used to model  $M_T$ :

$$P(x | \alpha_1, \alpha_2) = \Theta(x) \cdot \frac{1 + 0.5 \cdot (1 + \operatorname{erf}\frac{-\alpha_1}{\alpha_2\sqrt{2}})}{\alpha_2\sqrt{2\pi}} \exp\left(-\frac{(x - \alpha_1)^2}{2\alpha_2^2}\right) \quad (11)$$

- **Discrete truncated Gaussian:** like the truncated Gaussian, but normalized to be evaluated on integers (i.e.  $\sum_{n=0}^{\infty} P(n) = 1$ ). This function is used to describe  $N_\mu$ ,  $N_e$ ,  $N_b$  and  $N_J$ . It is written as:

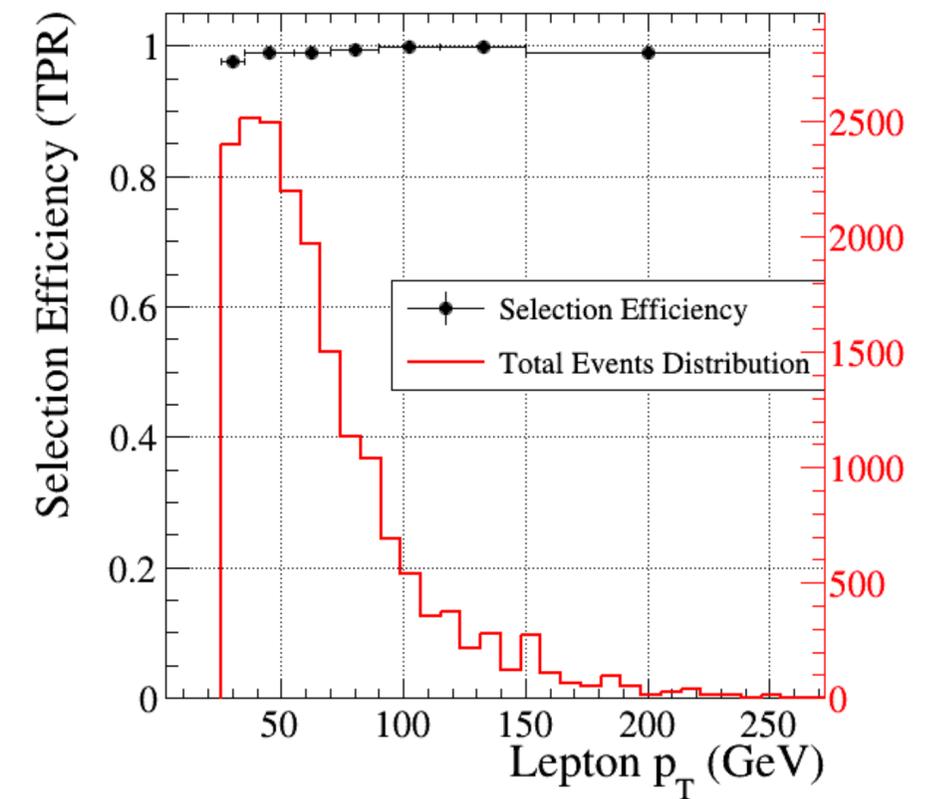
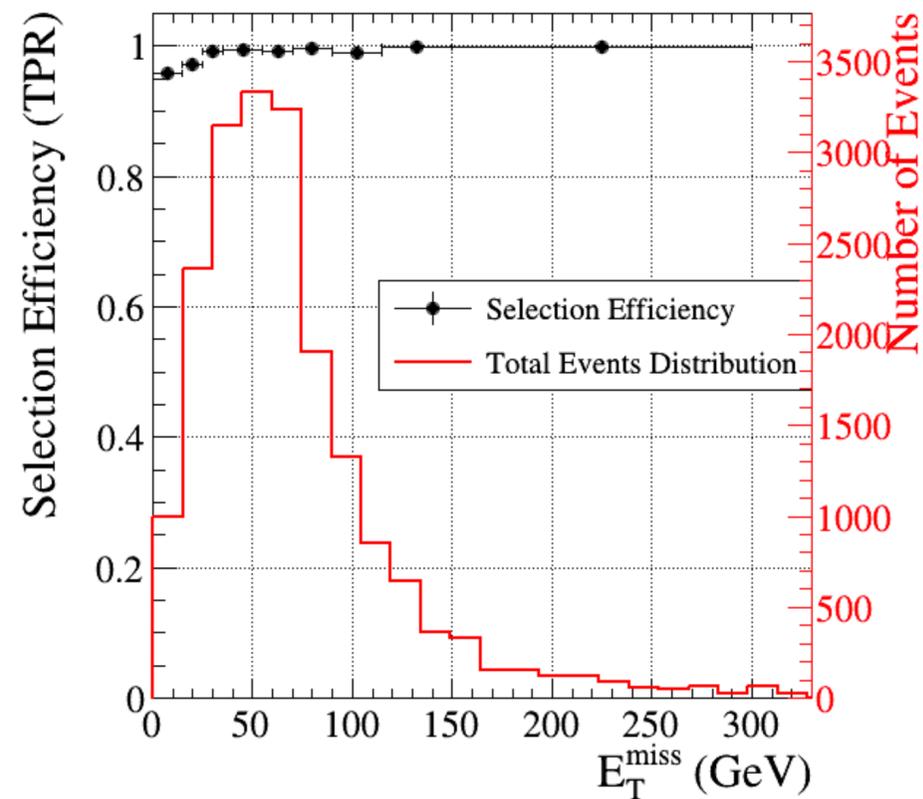
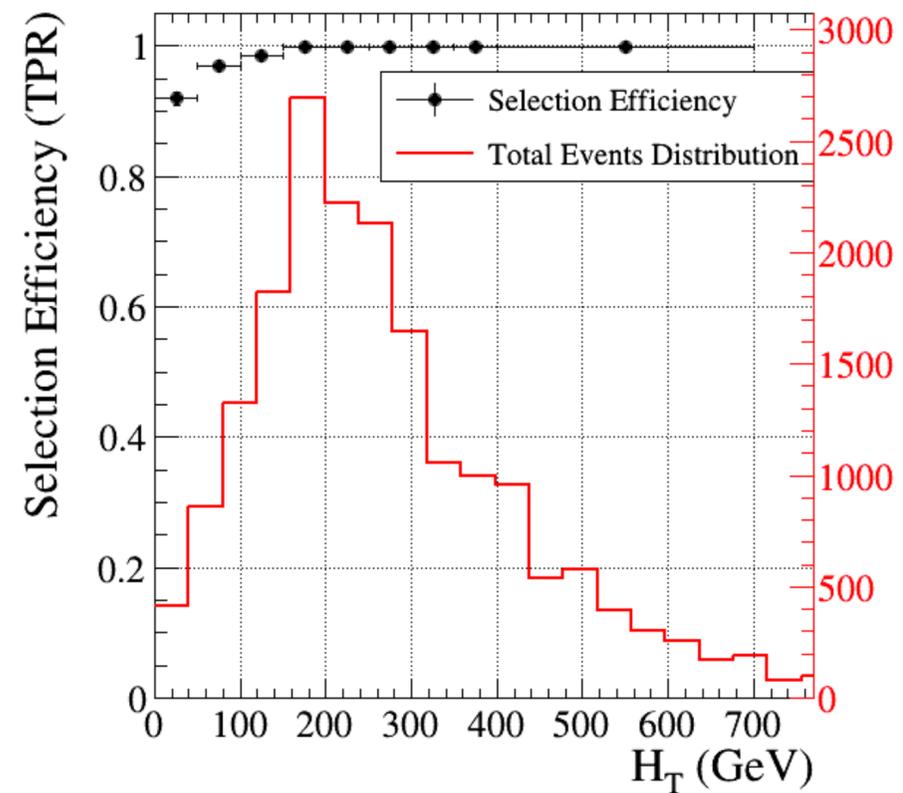
$$P(n | \alpha_1, \alpha_2) = \Theta(x) \left[ \operatorname{erf}\left(\frac{n + 0.5 - \alpha_1}{\alpha_2\sqrt{2}}\right) - \operatorname{erf}\left(\frac{n - 0.5 - \alpha_1}{\alpha_2\sqrt{2}}\right) \right] \mathcal{N}, \quad (12)$$

where the normalization factor  $\mathcal{N}$  is set to:

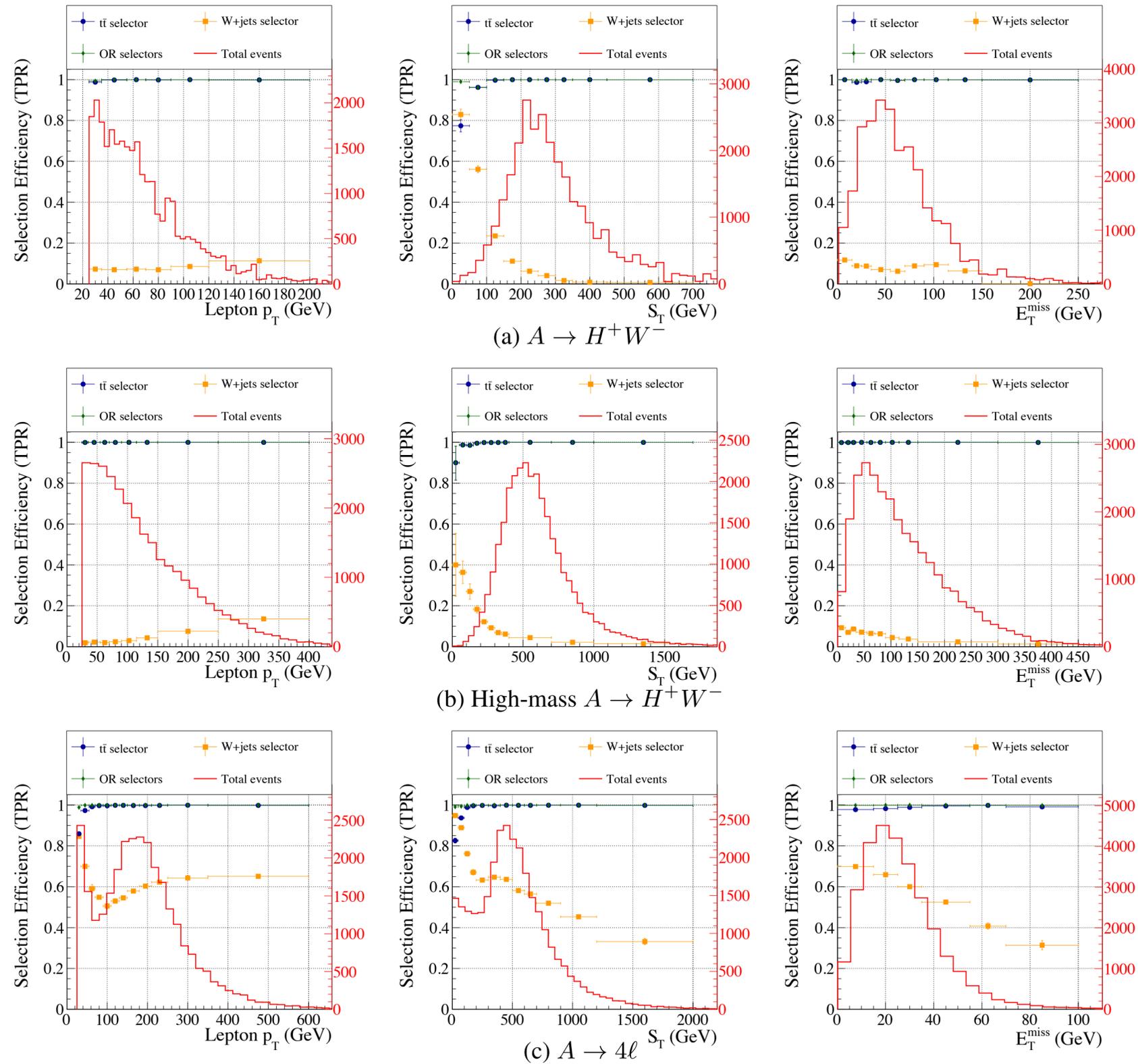
$$\mathcal{N} = 1 + \frac{1}{2} \left( 1 + \operatorname{erf}\left(\frac{-0.5 - \alpha_1}{\alpha_2\sqrt{2}}\right) \right) \quad (13)$$

# Kinematic Bias?

- With 99% signal efficiency, bias on kinematic variables within the uncertainty of a trigger-efficiency measurement



# TOPCLASS: do we kill New Physics?



# TOPCLASS: do we kill New Physics?

