

# Grand Unification: Proton Lifetime and Topological Defects

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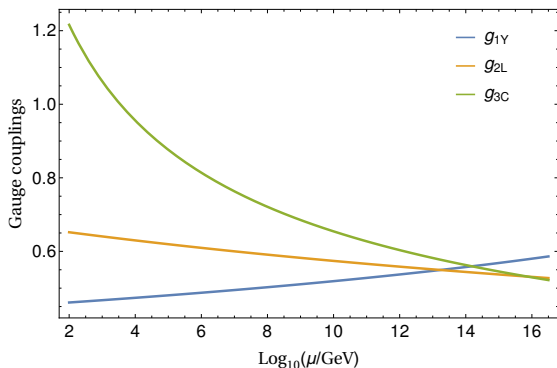


WHEPP-2019, IIT Guwahati

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# The Standard Model

- Known elementary particles are described by the Standard Model gauge symmetry :  $SU(2)_L \otimes U(1)_Y \otimes SU(3)_C$  .
- The gauge couplings evolve with the renormalization scale:



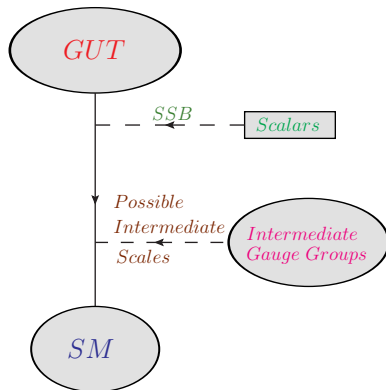
# Unanswered questions in SM

- There are too many free parameters in SM.
- Electric charge quantization can not be understood.
- Can not produce enough baryon asymmetry of the universe.
- Neutrino is massless in SM (no left-handed anti-neutrino  $(\nu^c)_L$ ).
- Gravity has not been included in the Standard Model.

# Grand Unification beyond the SM

- The basic idea in a Grand Unified Theory (GUT) is that the SM,  $SU(2)_L \otimes U(1)_Y \otimes SU(3)_C$ , is embedded in a larger simple group,  $\mathcal{G}$ .

## Schematic view



# Motivation towards the Grand Unification

- Renormalization Group Evolution of Standard Model gauge couplings gives the hint of the possibility of the Grand Unified Theories.
- Imposition of higher symmetry may constraint some free parameters.
- All fermions including  $(\nu^c)_L$  can be put in one representation in GUT.
- One way to understand the charge quantization.


# Prediction of GUTs and Constraints

- Presence of lepto-quark bosons  $\Rightarrow$  Prediction of proton decay. But it is yet to be observed!
- Super-Kamiokande<sup>1</sup> experiment puts a stringent constraint on the partial lifetime for the **Golden Channel** ( $p \rightarrow \pi^0 e^+$ ):

$$\tau(p \rightarrow \pi^0 e^+) > 1.6 \times 10^{34} \text{ years.}$$

- Formation of topological defects like domain wall, monopole.

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<sup>1</sup>Super-K Collaboration, K. Abe et al., PRL 113 (Sep, 2014), PRD 95 (2017). 

# Proton decay operators

- Integrate out the heavy lepto-quark gauge bosons  $\Rightarrow$   $(B - L)$ -conserving dimension-six proton decay operators:

$$\mathcal{O}_I^{d=6} = \Omega_1^2 \epsilon^{ijk} \epsilon^{ab} \overline{u_{i\alpha}^C} \gamma^\mu Q_{ja\alpha} \overline{e_\beta^C} \gamma_\mu Q_{kb\beta}$$

$$\mathcal{O}_{II}^{d=6} = \Omega_1^2 \epsilon^{ijk} \epsilon^{ab} \overline{u_{i\alpha}^C} \gamma^\mu Q_{ja\alpha} \overline{d_{k\beta}^C} \gamma_\mu L_{b\beta}$$

$$\mathcal{O}_{III}^{d=6} = \Omega_2^2 \epsilon^{ijk} \epsilon^{ab} \overline{d_{i\alpha}^C} \gamma^\mu Q_{jb\alpha} \overline{u_{k\beta}^C} \gamma_\mu L_{a\beta}$$

$$\mathcal{O}_{IV}^{d=6} = \Omega_2^2 \epsilon^{ijk} \epsilon^{ab} \overline{d_{i\alpha}^C} \gamma^\mu Q_{jb\alpha} \overline{\nu_\beta^C} \gamma_\mu Q_{ka\beta} .$$

$\Omega_1$  [ $\Omega_2$ ] are Wilson coefficients after integrating out the heavy gauge bosons  $(X, Y) = (2, 5/6, 3)$  [ $(X', Y') = (2, -1/6, 3)$ ].



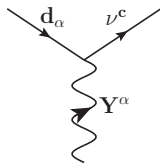
# Prediction of Proton Decay

- Mediation of lepto-quark gauge bosons  $\Rightarrow$  proton decay into meson plus antilepton :

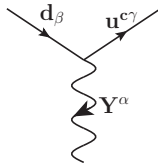
$$p \rightarrow M + \bar{l}$$

$M \in \{\pi^+, \pi^0, K^+, K^0, \eta\}$  and  $l \in \{e, \mu, \nu_{e,\mu,\tau}\}$ .

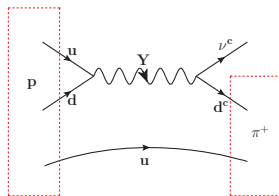
- Example:



Lepto-quark vertex



Di-quark vertex



$p \rightarrow \pi^+ \nu$

- Selection rules :  $\Delta B = \Delta L = -1$  and  $\Delta S = 0, 1$ .

# Lifetime bounds for nucleon decay into meson plus antilepton

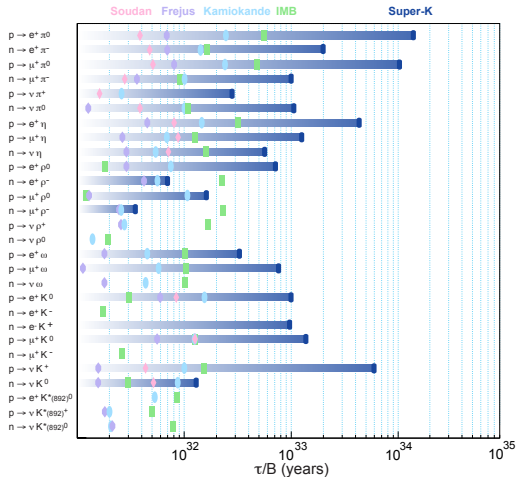


Image source : Working Group Report: Baryon Number Violation, K. S. Babu et al.

# Proton Lifetime for $p \rightarrow \pi^0 e^+$

- $p \rightarrow \pi^0 e^+$  and  $p \rightarrow \pi^+ \bar{\nu}$  have the most dominating branching ratios in the context of GUTs, whereas  $p \rightarrow \pi^0 e^+$  has the highest experimental exclusion  $\Rightarrow$  **We need to look into  $\tau(p \rightarrow \pi^0 e^+)$  only.**

$$\tau(p \rightarrow \pi^0 e^+) = \left[ \frac{m_p}{32\pi} \left( 1 - \frac{m_{\pi^0}^2}{m_p^2} \right)^2 A_L^2 \frac{g_U^4}{4M_X^4} (1 + |V_{ud}|^2)^2 \times (A_{SR}^2 |\langle \pi^0 | (ud)_{RuL} | p \rangle|^2 + A_{SL}^2 |\langle \pi^0 | (ud)_{LuL} | p \rangle|^2) \right]^{-1},$$

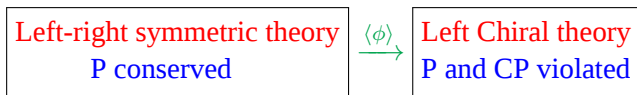
where,  $A_{SL(R)}$  are short-range renormalization factors, and  $A_L$  is the long-range renormalization factor of the proton decay operators. The matrix elements from lattice QCD<sup>2</sup> :

$$\langle \pi^0 | (ud)_{RuL} | p \rangle = -0.131, \quad \langle \pi^0 | (ud)_{LuL} | p \rangle = 0.134.$$

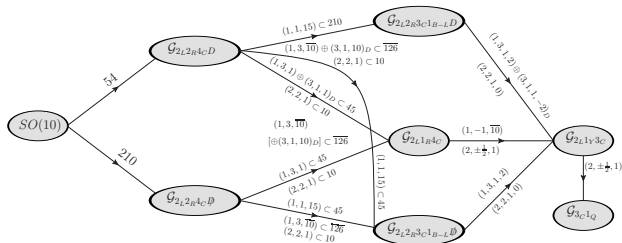
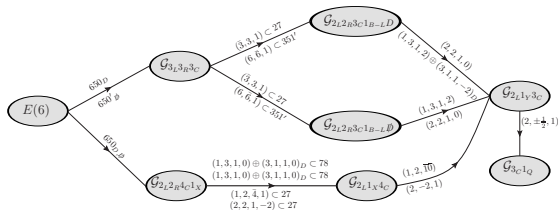
<sup>2</sup>Y. Aoki, T. Izubuchi, E. Shintani and A. Soni, **PRD 96, 1, 014506 (2017)**.

# Pattern of symmetry breaking

- Minimal direct breaking of GUT, based on  $SU(5)$ , suffers from non-unification of gauge couplings, excluded by proton lifetime bound and so on.
- Either, non-minimal GUTs with exotic SM multiplets.
- Or, we have to look into one or more intermediate step breaking from GUT to SM based on higher rank gauge groups like  $SO(10)$  and  $E(6)$ .
- Unification through left-right symmetric intermediate step  $\Rightarrow$



# Breaking paths of E(6) and SO(10) to SM



# Symmetry breaking and Threshold Correction

- The matching condition<sup>3</sup> for the symmetry breaking,  $\mathcal{G}_p \rightarrow \mathcal{G}_d$ , at the scale  $\mu$

$$\frac{1}{\alpha_d(\mu)} - \frac{C_2(\mathcal{G}_d)}{12\pi} = \left( \frac{1}{\alpha_p(\mu)} - \frac{C_2(\mathcal{G}_p)}{12\pi} \right) - \frac{\Lambda_d(\mu)}{12\pi},$$

with threshold correction:

$$\Lambda_d(\mu) = -21 \operatorname{Tr}(t_{dV}^2 \ln \frac{M_V}{\mu}) + 2\eta \operatorname{Tr}(t_{dS}^2 \ln \frac{M_S}{\mu}) + 8\kappa \operatorname{Tr}(t_{dF}^2 \ln \frac{M_F}{\mu}).$$

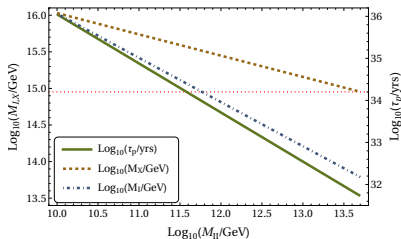
$M_V$ ,  $M_S$  and  $M_F$  are masses of heavy vector, scalar and fermion fields.

- Parameterize threshold effect by:  $R = \frac{M_{S/F}}{M_V}$ ,  
with  $M_V$  is degenerate with  $\mu$ .

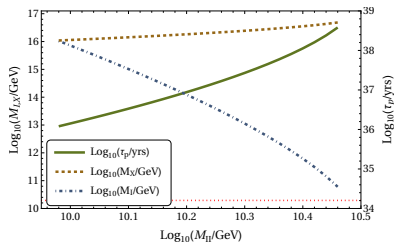
<sup>3</sup>S. Weinberg, Phys. Lett. 91B, 51 (1980).



# Two step breaking and $\tau_p$ compatible with $R = 1$



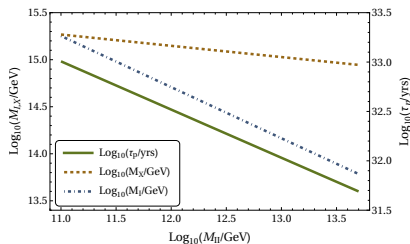
$SO(10) \rightarrow \mathcal{G}_{2_L 2_R 4_C D} \rightarrow \mathcal{G}_{2_L 2_R 3_C 1_{B-L} D} \rightarrow SM$



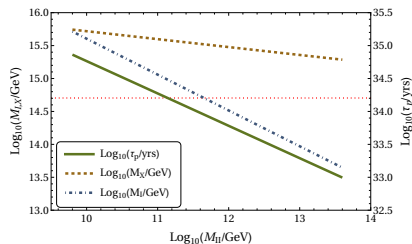
$SO(10) \rightarrow \mathcal{G}_{2_L 2_R 4_C D} \rightarrow \mathcal{G}_{2_L 2_R 3_C 1_{B-L} D} \rightarrow SM$



# Two step breaking and $\tau_p$ compatible with $R \in [1/2 : 2]$



$R = 1$

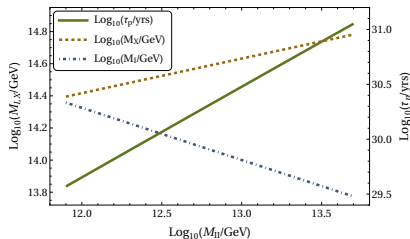


$R \in [1/2 : 2]$

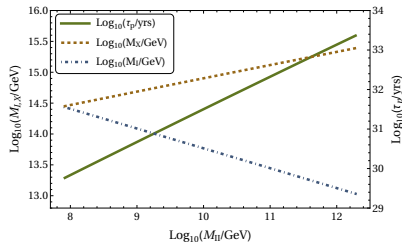
$$SO(10) \rightarrow \mathcal{G}_{2_L 2_R 4_C D} \rightarrow \mathcal{G}_{2_L 2_R 3_C 1_{B-L} D} \rightarrow \text{SM}.$$

Chakraborty, King, RM 1901.05867

# Two step breaking and $\tau_p$ incompatible even with $R \in [1/10 : 10]$



$R = 1$



$R \in [1/10 : 10]$

$$SO(10) \rightarrow \mathcal{G}_{2_L 2_R 4_C D} \rightarrow \mathcal{G}_{2_L 1_R 4_C} \rightarrow \text{SM.}$$

# Effect of dimension-5 operator on the unification scenarios

- At high scale ( $M_X$ ) there can be correction due to non - renormalizable dimensional-5 operator:

$$-\frac{\eta}{M_{\text{Pl}}} \left[ \frac{1}{4} \text{Tr}(\mathbf{F}^{\mu\nu} \phi_D \mathbf{F}_{\mu\nu}) \right]$$

$\phi_D$  comes from the symmetric product of two adjoints.

- Gauge couplings will get modified as:

$$g_U^2 = g_i^2(M_X)(1 + \epsilon\delta_i)$$

$\epsilon = \eta v_D / (2M_{\text{Pl}}) \sim \mathcal{O}(M_X / M_{\text{Pl}})$  and  $\delta$  depends on intermediate gauge group (group theoretic factor).

# Example: Group theoretic factors: $\delta_i$

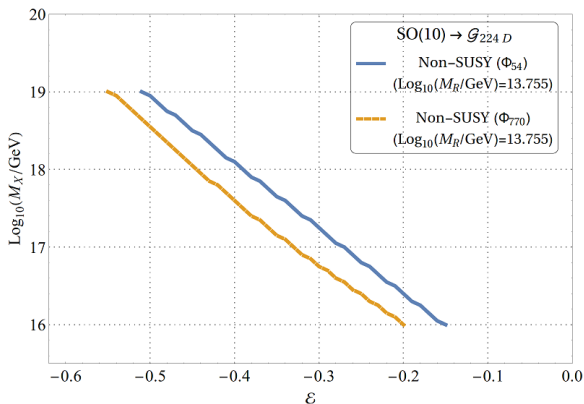
Group	Scalar Representation	$\delta_{3L}$	$\delta_{3R}$	$\delta_{3C}$
$E(6)$	650	$\frac{1}{2\sqrt{2}}$	$\frac{1}{2\sqrt{2}}$	$\frac{-1}{\sqrt{2}}$
$E(6)$	$650_{\mathcal{D}}$	$\frac{3}{2\sqrt{6}}$	$\frac{-3}{2\sqrt{6}}$	0

Table:  $E(6) \rightarrow \mathcal{G}_{3_L 3_R 3_C}$ .

Group	Scalar Representation	$\delta_{2L}$	$\delta_{2R}$	$\delta_{4C}$
$SO(10)$	54	$\frac{3}{2\sqrt{15}}$	$\frac{3}{2\sqrt{15}}$	$\frac{-1}{\sqrt{15}}$
$SO(10)$	210	$\frac{1}{\sqrt{2}}$	$\frac{-1}{\sqrt{2}}$	0
$SO(10)$	770	$\frac{5}{3\sqrt{5}}$	$\frac{5}{3\sqrt{5}}$	$\frac{2}{3\sqrt{5}}$

Table:  $SO(10) \rightarrow \mathcal{G}_{2_L 2_R 4_C}$ .

# Example: Effect of Dim-5 operator



Chakraborty, Raychaudhuri 0812.2783

Chakraborty, RM, Mohanty, Patra, Srivastava 1711.11391

# Topological defects associated with spontaneous symmetry breaking

- Topological defects may appear during the SSB of a group  $\mathcal{G}$  down to its subgroup  $\mathcal{H}$ .
- The vacuum manifold:  $\mathcal{M} = \mathcal{G}/\mathcal{H}$ .
- Non-trivial homotopy group  $\Pi_k(\mathcal{M})$  of the vacuum manifold implies formation of topological defects.
- Various types of topological defects which can be formed are : domain walls ( $k = 0$ ), cosmic strings ( $k = 1$ ), monopoles ( $k = 2$ ) etc.

GUT $\rightarrow \mathcal{G}_I \rightarrow \mathcal{G}_{II} \rightarrow \text{SM}$	Topological defects		
	GUT $\rightarrow \mathcal{G}_I$	$\mathcal{G}_I \rightarrow \mathcal{G}_{II}$	$\mathcal{G}_{II} \rightarrow \text{SM}$
$E(6) \rightarrow \mathcal{G}_{3L3R3CD} \rightarrow \mathcal{G}_{2L2R3C1LRD} \rightarrow \text{SM}$	Unstable $\mathbb{Z}_2$ -strings + $\mathbb{Z}_3$ -monopoles	Stable monopoles	Domain walls + embedded strings
$E(6) \rightarrow \mathcal{G}_{3L3R3C} \rightarrow \mathcal{G}_{2L2R3C1LR\emptyset} \rightarrow \text{SM}$	$\mathbb{Z}_3$ -monopoles	Stable monopoles	Embedded strings
$E(6) \rightarrow \mathcal{G}_{2L2R4C1XD} \rightarrow \mathcal{G}_{2L1X4C} \rightarrow \text{SM}$	Unstable $\mathbb{Z}_2$ -strings + stable monopoles + unstable $\mathbb{Z}_2$ -monopoles	Domain walls	Embedded strings
$E(6) \rightarrow \mathcal{G}_{2L2R4C1X\emptyset} \rightarrow \mathcal{G}_{2L1X4C} \rightarrow \text{SM}$	Stable monopoles + unstable $\mathbb{Z}_2$ -monopoles	No defects	Embedded strings
$SO(10) \rightarrow \mathcal{G}_{2L2R4CD} \rightarrow \mathcal{G}_{2L2R3C1B-LD} \rightarrow \text{SM}$	$\mathbb{Z}_2$ -strings (stable upto $M_{II}$ ) + $\mathbb{Z}_2$ -monopoles	Stable monopoles	Domain walls + embedded strings
$SO(10) \rightarrow \mathcal{G}_{2L2R4CD} \rightarrow \mathcal{G}_{2L2R3C1B-L\emptyset} \rightarrow \text{SM}$	Unstable $\mathbb{Z}_2$ -strings + $\mathbb{Z}_2$ -monopoles	Domain walls + stable monopoles	Embedded strings
$SO(10) \rightarrow \mathcal{G}_{2L2R4C\emptyset} \rightarrow \mathcal{G}_{2L2R3C1B-L\emptyset} \rightarrow \text{SM}$	$\mathbb{Z}_2$ -monopoles	Stable monopoles	Embedded strings
$SO(10) \rightarrow \mathcal{G}_{2L2R4CD} \rightarrow \mathcal{G}_{2L1R4C} \rightarrow \text{SM}$	Unstable $\mathbb{Z}_2$ -strings + $\mathbb{Z}_2$ -monopoles	Domain walls + stable monopoles	Embedded strings
$SO(10) \rightarrow \mathcal{G}_{2L2R4C\emptyset} \rightarrow \mathcal{G}_{2L1R4C} \rightarrow \text{SM}$	$\mathbb{Z}_2$ -monopoles	Stable monopoles	Embedded strings

Chakraborty, King, RM 1901.05867; Lazarides, Shafi 1904.06880

- Topological defects like stable domain walls and monopoles should be formed before the end of inflation.
- Upper bound on monopole number per comoving volume  $Y_M = n_M/s < 10^{-27}$  as obtained from MACRO.
- The monopole number density after inflation:  $\sim H^3 \exp[-3N]$ ,  $N$  be the number of e-fold after the formation of monopoles.
- The MACRO bound gives:  $N > \log(H/T_R) + 20$ ,  $T_R =$  reheat temperature.

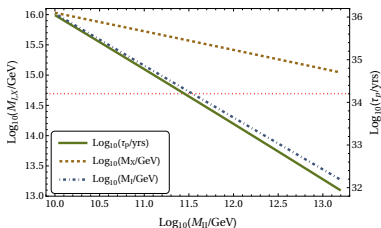
Nefer, Shafi 1510.04442



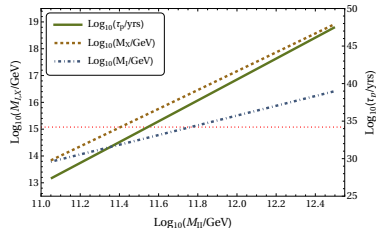
- Many Non-SUSY GUTs are incompatible with the Super-K proton lifetime bound unless we take the effect of threshold correction into account.
- Choosing two different sets,  $R \in [1/2 : 2]$  and  $R \in [1/10 : 10]$ , we have noticed that most of the scenarios can be made safe apart from  $SO(10) \rightarrow \mathcal{G}_{2_L 2_R 4_C D} \rightarrow \mathcal{G}_{2_L 1_R 4_C} \rightarrow \text{SM}$ .
- Effect of dimension-5 operators can improve the proton lifetime for specific breaking paths.
- Topological defects e.g., domain walls, monopoles conflict cosmological observations unless they are inflated away.

*Thank You*

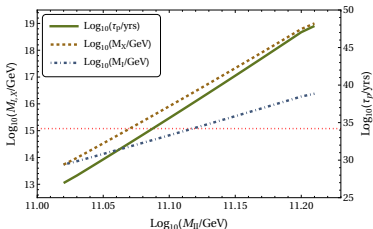
# Two step breaking and $\tau_p$ compatible with $R = 1$



$$E(6) \rightarrow \mathcal{G}_{3L3R3C} \rightarrow \mathcal{G}_{2L2R3C1B-LD} \rightarrow SM$$

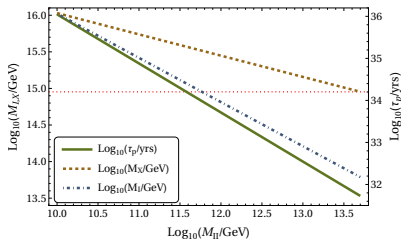


$$E(6) \rightarrow \mathcal{G}_{2L2R4C1XD} \rightarrow \mathcal{G}_{2L1X4C} \rightarrow SM$$

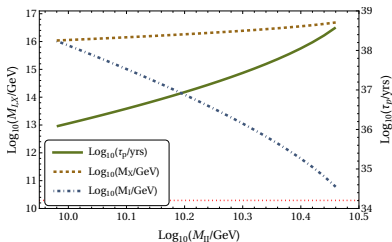


$$E(6) \rightarrow \mathcal{G}_{2L2R4C1XD} \rightarrow \mathcal{G}_{2L1X4C} \rightarrow SM$$

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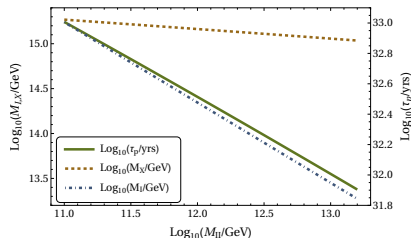


$\text{SO}(10) \rightarrow \mathcal{G}_{2_L 2_R 4_C D} \rightarrow \mathcal{G}_{2_L 2_R 3_C 1_{B-L} D} \rightarrow \text{SM}$

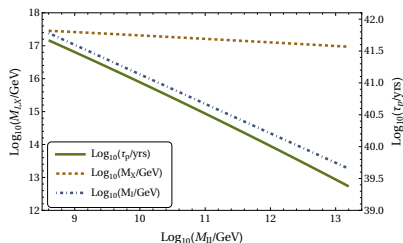


$\text{SO}(10) \rightarrow \mathcal{G}_{2_L 2_R 4_C D} \rightarrow \mathcal{G}_{2_L 2_R 3_C 1_{B-L} D} \rightarrow \text{SM}$

# Two step breaking and $\tau_p$ compatible with $R \in [1/2 : 2]$



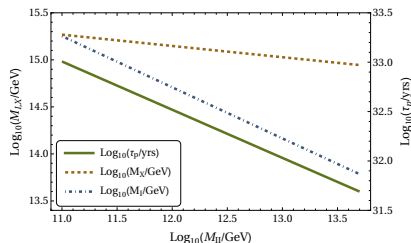
$R = 1$



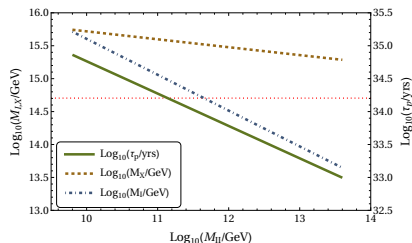
$R \in [1/2 : 2]$

$$E(6) \rightarrow \mathcal{G}_{3_L 3_R 3_C D} \rightarrow \mathcal{G}_{2_L 2_R 3_C 1_{B-L} D} \rightarrow \text{SM}$$

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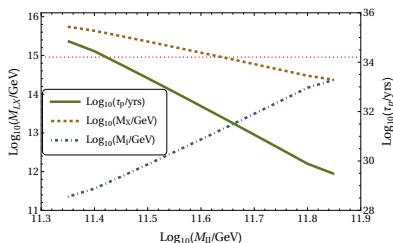
$R = 1$



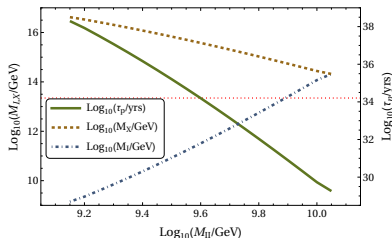
$R \in [1/2 : 2]$

$$SO(10) \rightarrow \mathcal{G}_{2_L 2_R 4_C D} \rightarrow \mathcal{G}_{2_L 2_R 3_C 1_{B-L} D} \rightarrow \text{SM.}$$

# Two step breaking and $\tau_p$ compatible with $R \in [1/2 : 2]$



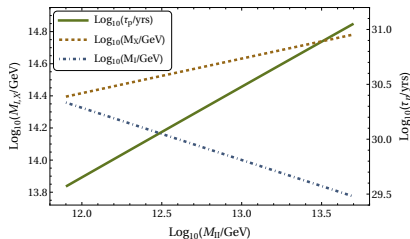
$R = 1$



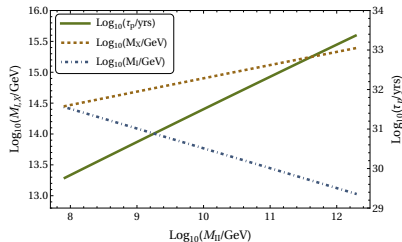
$R \in [1/2 : 2]$

$$SO(10) \rightarrow \mathcal{G}_{2_L 2_R 4_C \not{D}} \rightarrow \mathcal{G}_{2_L 1_R 4_C} \rightarrow \text{SM}.$$

# Two step breaking and $\tau_p$ incompatible even with $R \in [1/10 : 10]$



$R = 1$



$R \in [1/10 : 10]$

$$SO(10) \rightarrow \mathcal{G}_{2_L 2_R 4_C D} \rightarrow \mathcal{G}_{2_L 1_R 4_C} \rightarrow \text{SM.}$$



# For Further Reading I

-  J. Chakraborty, S. F. King and R. Maji, arXiv:1901.05867 [hep-ph].
-  J. Chakraborty, R. Maji, S. K. Patra, T. Srivastava and S. Mohanty, Phys. Rev. D **97**, no. 9, 095010 (2018) [arXiv:1711.11391 [hep-ph]].