

# Summary of single top measurements in CMS



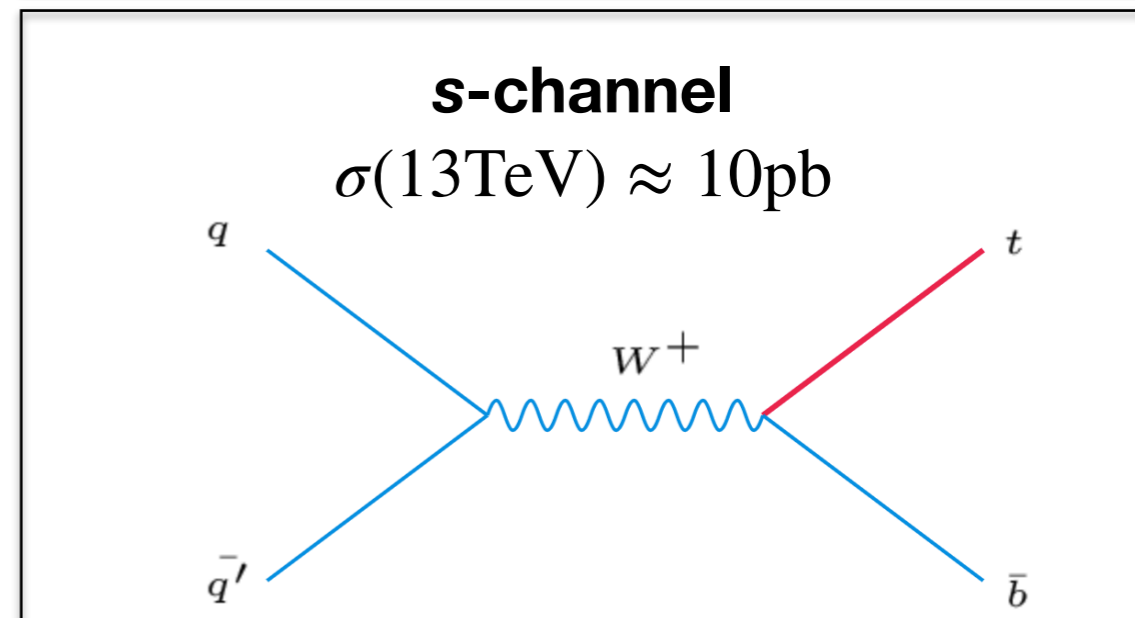
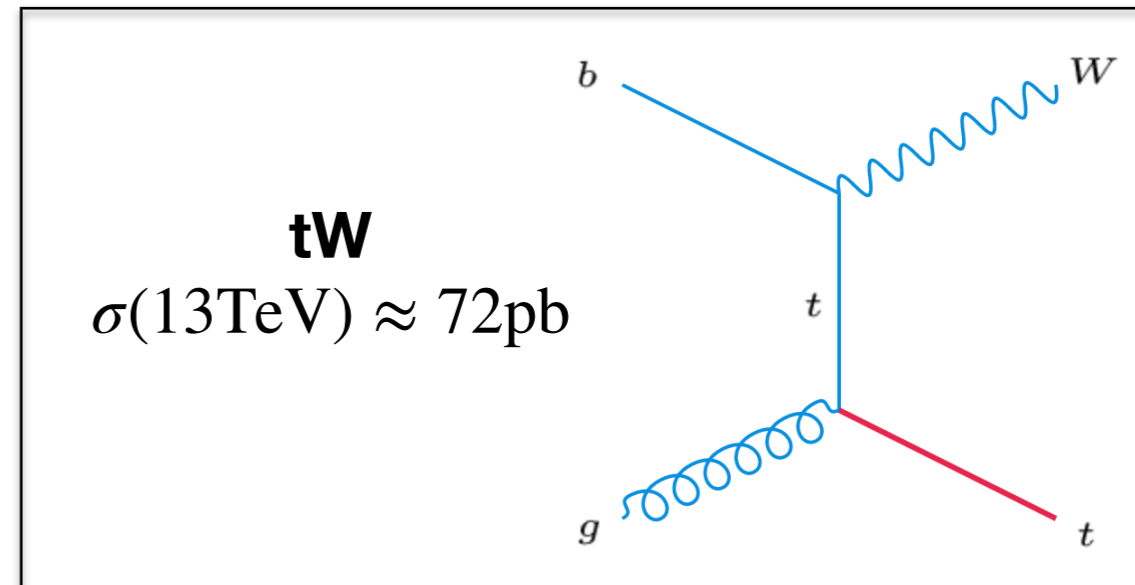
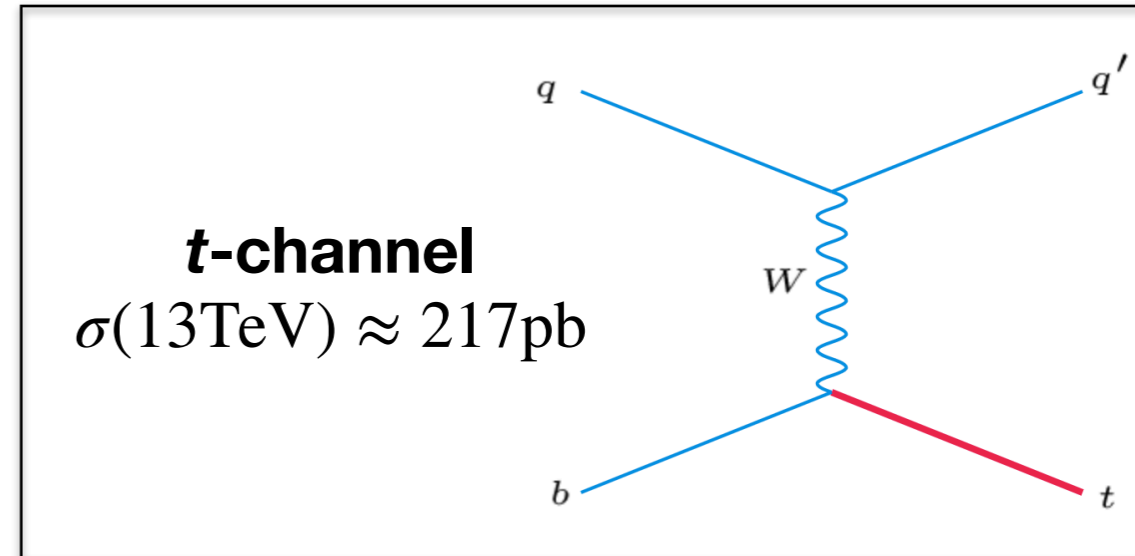
## 5th CMS Single Top Workshop



Soureek Mitra (Karlsruhe Institute of Technology)  
on behalf of the CMS collaboration

# Introduction

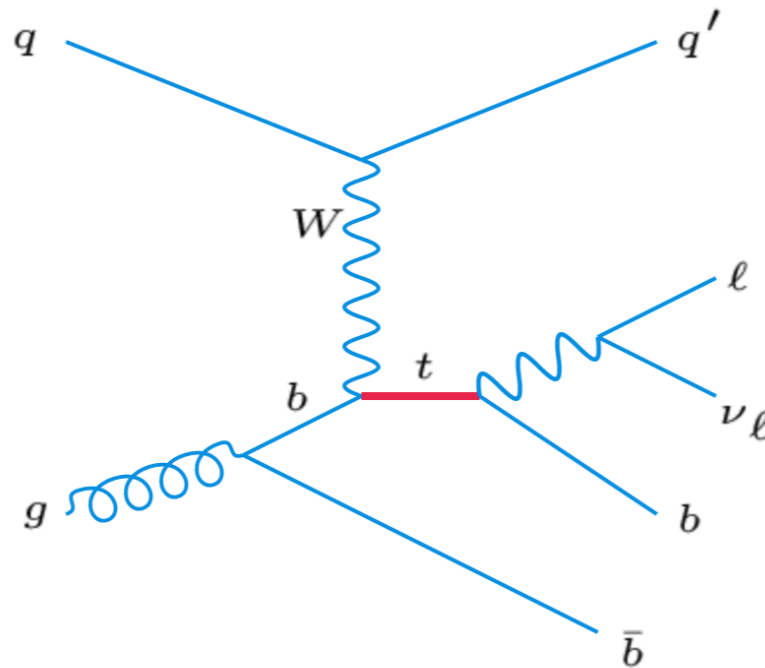
- Single top quark production occurs via electroweak interaction
- Interesting for various reasons
  - Precision measurement of SM parameters:
    - ➔  $|V_{tb}|$
    - ➔  $m_{top}$
  - Sensitive to u/d quark ratio in proton PDFs
  - Powerful probe for BSM physics:
    - ➔ anomalous  $tWb$  coupling
    - ➔ FCNC in production
    - ➔ new resonances ( $W'$ ,  $H^\pm$ )
  - Important to understand rare processes:
    - ➔ Single top +  $X$ , where  $X = Z, \gamma, H$
  - Factory to tune MC generators:
    - ➔ unfolded distributions
    - ➔ fiducial measurements
- In this talk ➔ recent results from CMS (Run -II)



# t-channel

## Topology

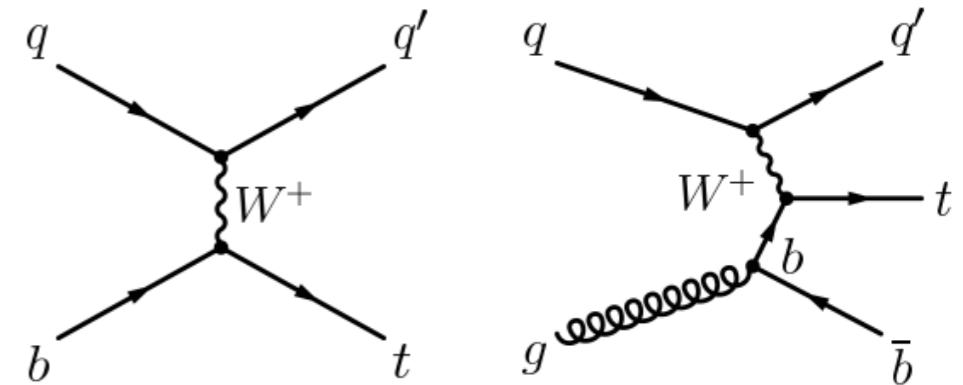
- Spectator jet with high  $|\eta|$
- 1 isolated high- $p_T$  lepton
- high- $p_T$ , central b-jet
- Missing  $p_T$



$$t - \text{ch} : \sigma(13\text{TeV}) = 217.0^{+9.1}_{-7.7} \text{pb}$$

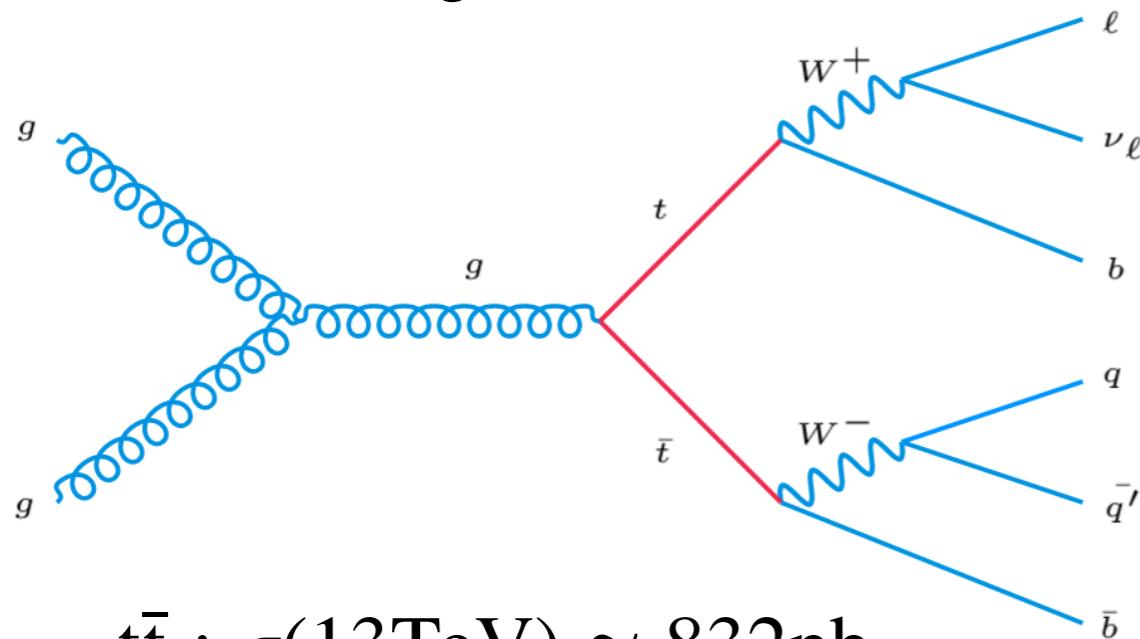
## Modeling

- 5FS :  $2 \rightarrow 2$  process; b-quark from proton
- 4FS :  $2 \rightarrow 3$  process; gluon in the initial state

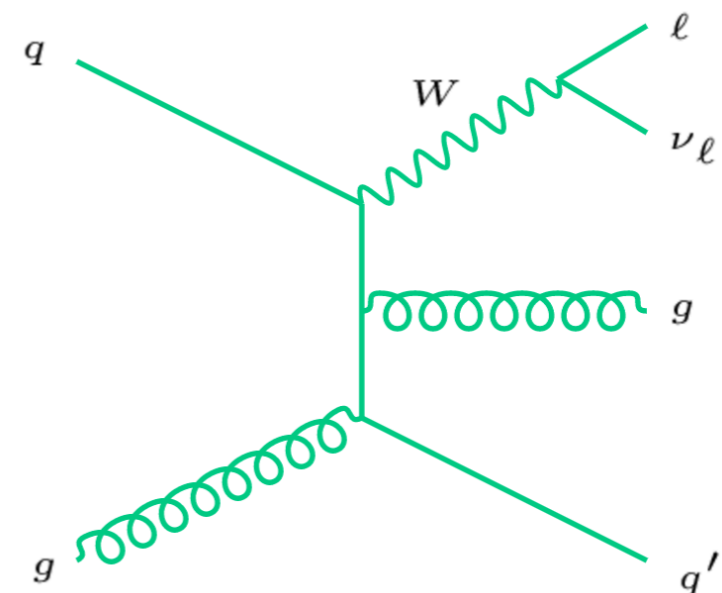


Normalization from 5FS calculation  
Modeling from 4FS simulation

## Dominant Backgrounds



$$t\bar{t} : \sigma(13\text{TeV}) \approx 832 \text{pb}$$



$$W + \text{jets} : \sigma(13\text{TeV}) \approx 61527 \text{pb}$$



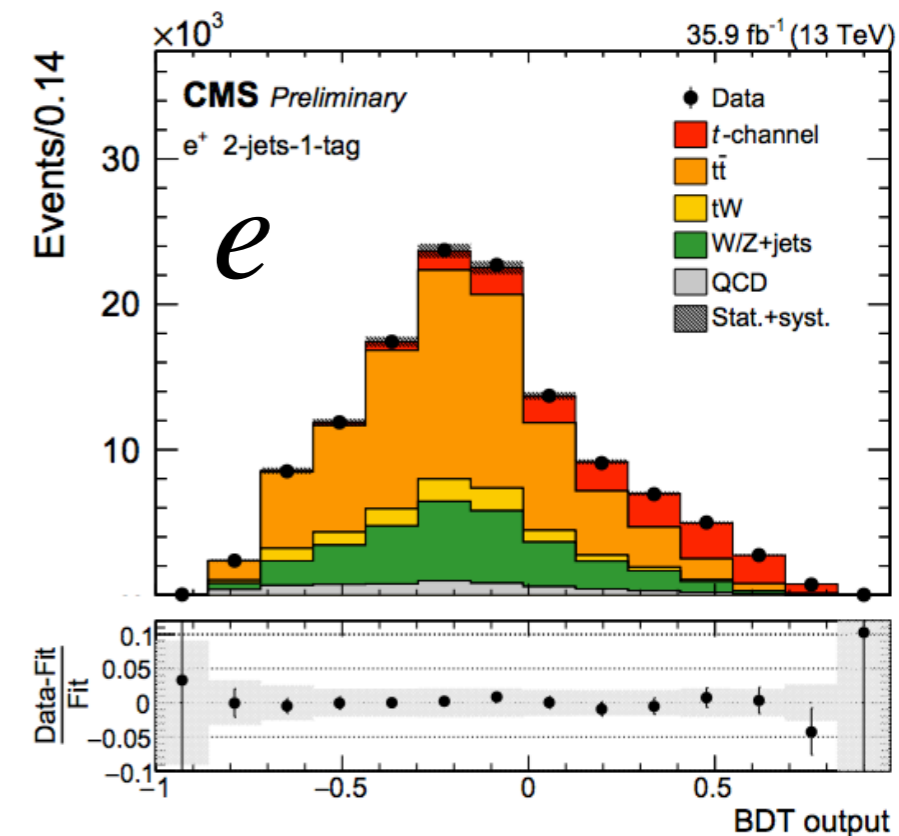
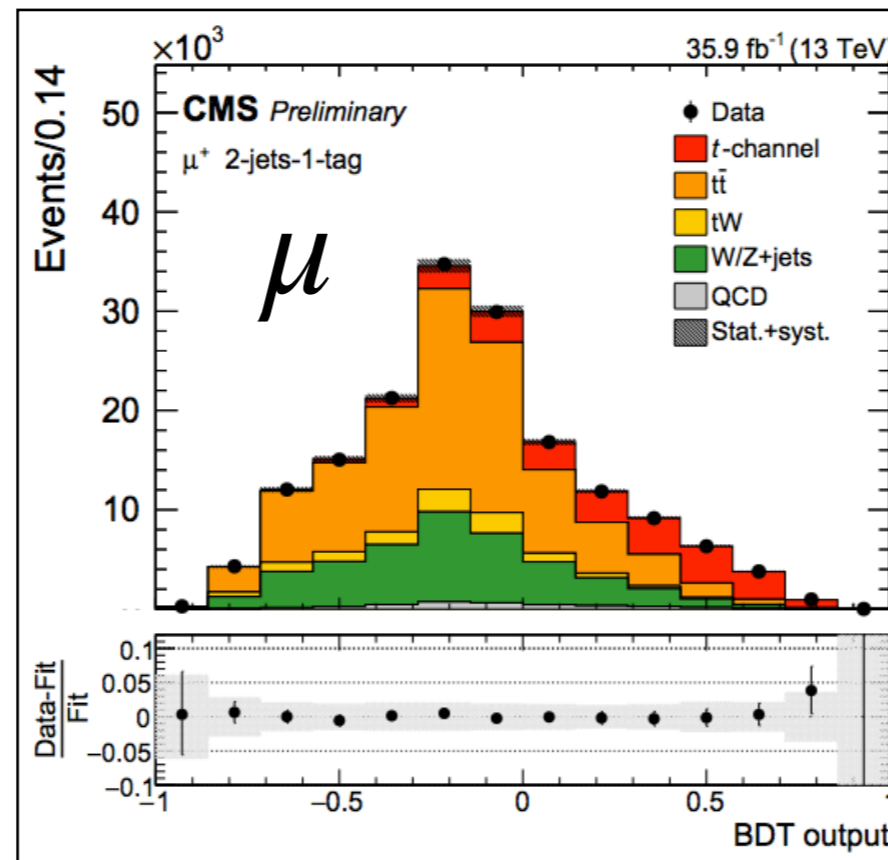
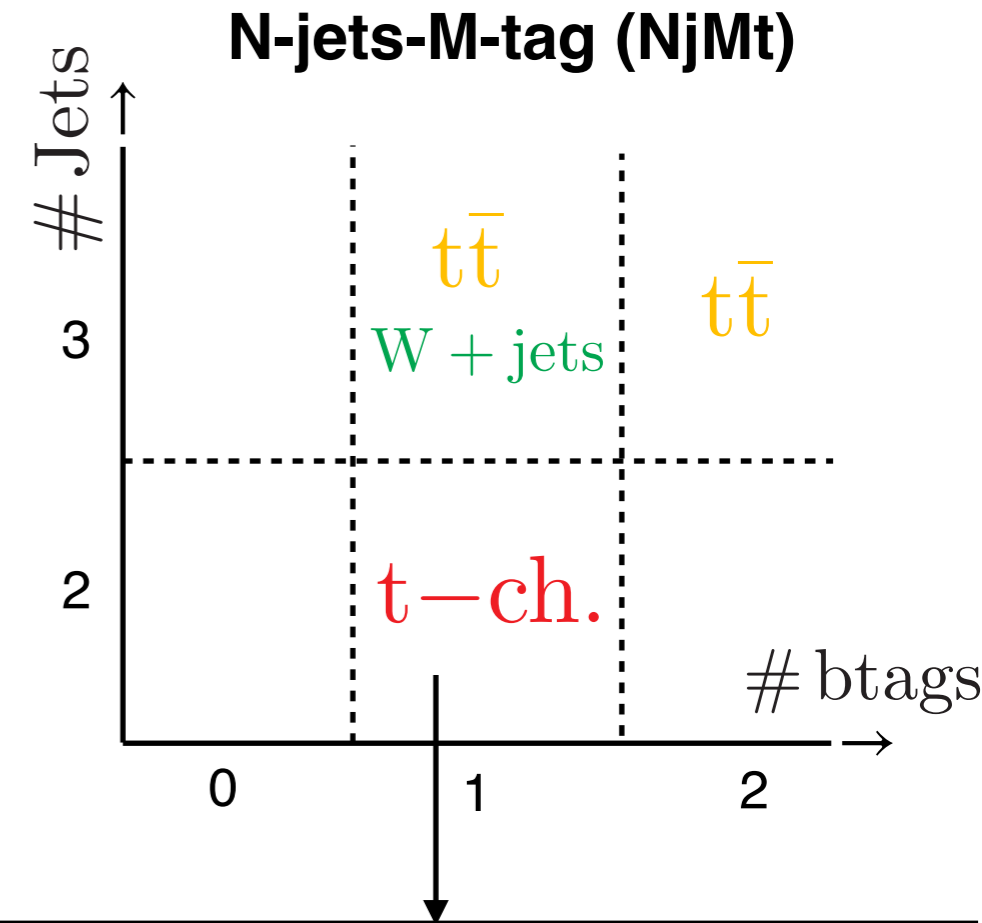
# *t*-channel @13 TeV - Inclusive (CMS-PAS-TOP-17-011)

- Analysis with 2016 data ( $35.9 \text{ fb}^{-1}$ )
- Event Selection
  - Exactly 1 isolated  $\mu$  ( $e$ ) with  $p_T > 26$  (35) GeV,  $|\eta| < 2.4$  (2.1)
  - Additional lepton veto:  $p_T > 10$  GeV & relaxed isolation
  - 2 - 3 jets with  $p_T > 40$  GeV,  $|\eta| < 4.7$
  - 1- 2 b-tags corresponding to “tight” WP (light quark mistag prob. = 0.1%)
  - $m_T(W) > 50$  GeV ( $\mu$ ) and Missing  $p_T > 30$  GeV ( $e$ ) to reject QCD

- QCD estimate from SB data ➤ inverting lepton Iso / ID

- Separate BDTs for  $\mu$  and  $e$

- trained in 2j1t
- evaluated in 2j1t, 3j1t & 3j2t



# *t*-channel @13 TeV - Inclusive (CMS-PAS-TOP-17-011)

- Simultaneous ML fit in SR and CR
  - # BDT distributions:  $12 = 3(2j1t, 3j1t, 3j2t) \times 2(\mu, e) \times 2(+, -)$
- Free Parameters:  $\sigma_{t\text{-ch},t}$ ,  $\sigma_{t\text{-ch},\bar{t}}$ ,  $R_{t\text{-ch}} = \sigma_{t\text{-ch},t}/\sigma_{t\text{-ch},\bar{t}}$ 
  - Any 2 of the 3 allowed to float during fit ➤ correlations taken into account
- Uncertainty sources:

	$\Delta R/R$	$\Delta\sigma/\sigma(t)$	$\Delta\sigma/\sigma(\bar{t})$	
<b>Externalized</b> ➤ Fit performed with varied templates	PDF <i>t</i> channel	1.4	0.7	0.6
	PS-scale <i>t</i> channel	1.1	12.5	13.8
	ME-PS scale matching <i>t</i> channel	0.2	1.5	1.8
	$\mu_R/\mu_F$ scale <i>t</i> channel	0.1	6.3	6.2
<b>Profiled</b> ➤ Included in fit as nuisance parameters	QCD normalization	2.1	1.7	3.8
	JES	1.9	6.6	8.4
	$t\bar{t}$ modeling and normalization	1.9	0.8	3.2
	Top quark $p_T$	1.2	4.0	5.2
	MC sample size	0.9	1.8	0.5
	$\mu_R/\mu_F$ scale	0.8	1.0	0.3
	Pileup	0.4	1.4	1.8
	Muon and electron efficiencies	0.3	0.1	0.5
	JER	0.2	0.4	0.7
	b tagging	0.2	1.2	1.4
	PDF	0.1	0.1	0.2
	Unclustered energy	0.1	0.4	0.6
	W/Z+jets normalization	0.1	0.9	0.9
	tW normalization	< 0.1	0.2	0.2

# $t$ -channel @13 TeV - Inclusive (CMS-PAS-TOP-17-011)

meas.

$$\sigma_{t\text{-ch},t} = 136.3 \pm 1.1(\text{stat}) \pm 3.4(\text{prof}) \pm 19.4(\text{ext}) \pm 3.4(\text{lumi})\text{pb} = 136.3 \pm 20.0\text{pb}$$

$$\sigma_{t\text{-ch},\bar{t}} = 82.7 \pm 1.1(\text{stat}) \pm 2.7(\text{prof}) \pm 12.6(\text{ext}) \pm 2.1(\text{lumi})\text{pb} = 82.7 \pm 13.1\text{pb}$$

$$\sigma_{t\text{-ch},t+\bar{t}} = 219.0 \pm 1.5(\text{stat}) \pm 6.1(\text{prof}) \pm 32.0(\text{ext}) \pm 5.5(\text{lumi})\text{pb} = 219.0 \pm 33.1\text{pb}$$

$$R_{t\text{-ch}} = 1.65 \pm 0.02(\text{stat}) \pm 0.03(\text{prof}) \pm 0.03(\text{ext}) = 1.65 \pm 0.05$$

theory

$$\sigma_{t\text{-ch},t} = 136.0^{+4.1}_{-2.9}(\text{scale}) \pm 3.5(\text{PDF} + \alpha_s)\text{pb}$$

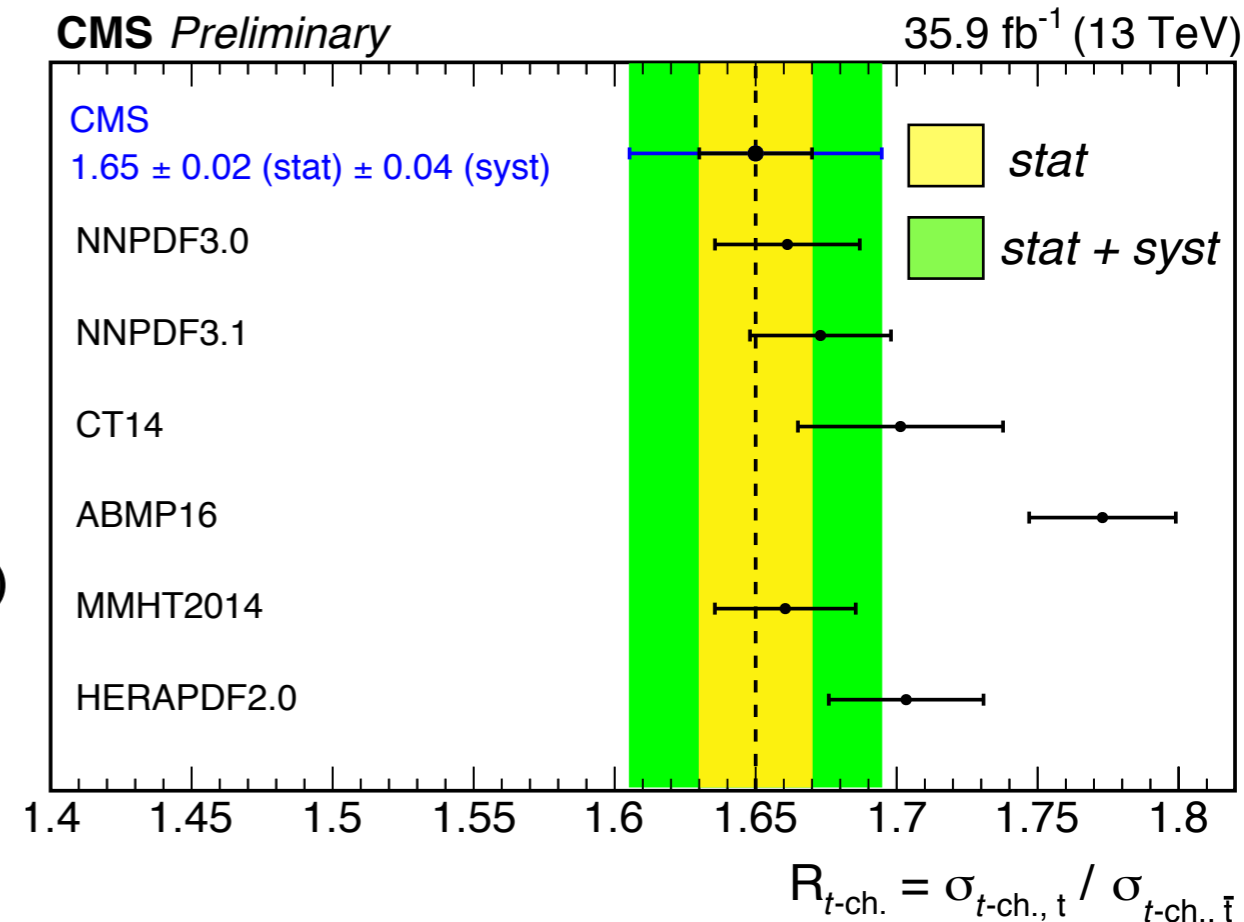
$$\sigma_{t\text{-ch},\bar{t}} = 81.0^{+2.5}_{-1.7}(\text{scale}) \pm 3.2(\text{PDF} + \alpha_s)\text{pb}$$

$$\sigma_{t\text{-ch},t+\bar{t}} = 217.0^{+6.6}_{-4.6}(\text{scale}) \pm 6.2(\text{PDF} + \alpha_s)\text{pb}$$

$$|V_{tb}| \gg |V_{td}|, |V_{ts}|$$

$$|f_{LV}V_{tb}| = \sqrt{\frac{\sigma_{t\text{-ch}}^{\text{meas.}}}{\sigma_{t\text{-ch}}^{\text{theory}}}} = 1.00 \pm 0.05(\text{exp}) \pm 0.02(\text{theo})$$

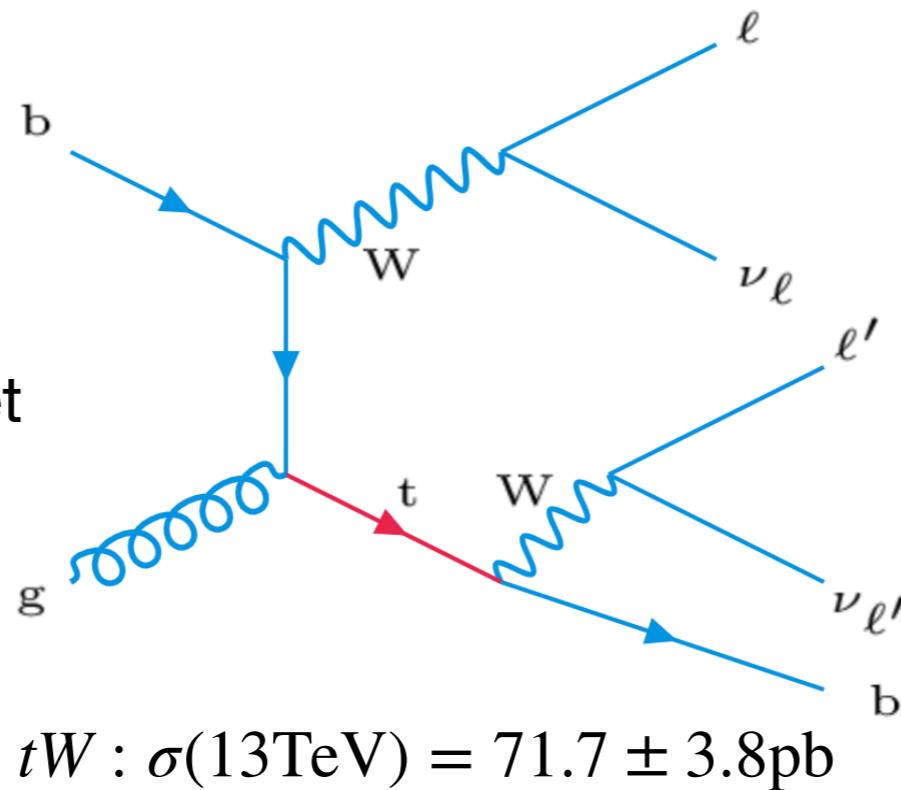
$$\text{SM} : f_{LV} = 1$$



# *tW*

## Topology

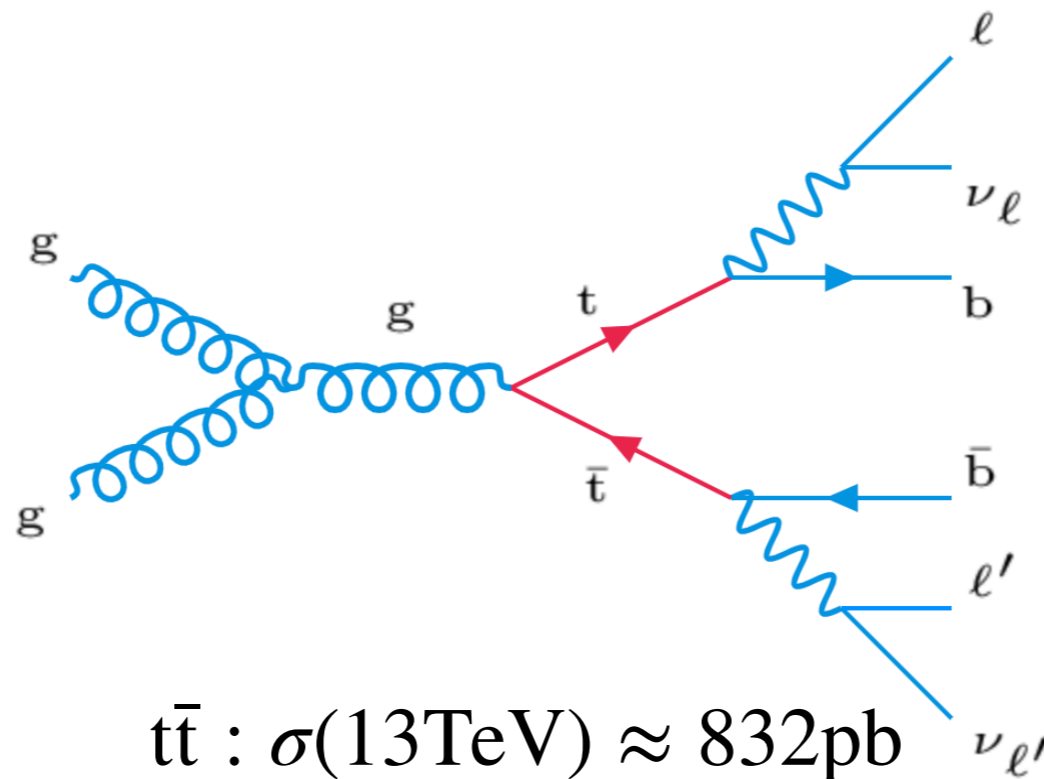
- 2 opposite charged isolated leptons
- 1 high- $p_T$  central b-jet
- Missing  $p_T$



## Modeling

- Interference with  $t\bar{t}$  @NLO
- DR: Remove  $t\bar{t}$  diagrams  
→ keep / drop interference terms
- DS: Add a local subtraction term to cancel resonant  $t\bar{t}$  contribution  
→ keep interference terms

## Dominant Background



# $tW$ @13 TeV - Inclusive (JHEP 10 (2018) 117)

- Analysis in  $e\mu$  final state with  $35.9 \text{ fb}^{-1}$  data collected in 2016

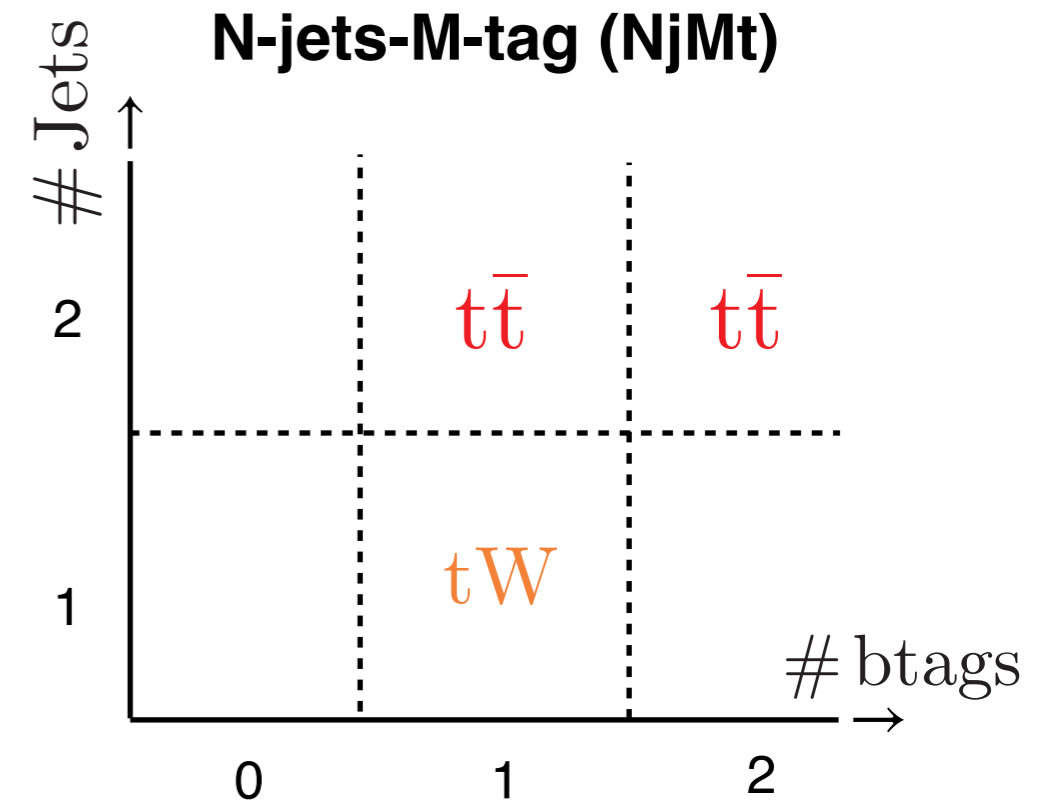
- Event Selection

- **single and di-lepton triggers** for maximum eff. ( $\approx 98\%$ )
- $\geq 2$  isolated OS leptons with  $p_T > 20 \text{ GeV}$ ,  $|\eta| < 2.4$
- $\geq 1$  jet with  $p_T > 30 \text{ GeV}$  and  $|\eta| < 2.4$
- **b-tagging “medium” WP** ( light quark mistag prob. = 1% )

- **BDTs trained in 1j1t & 2j1t** for S-B separation

- Signal extraction:

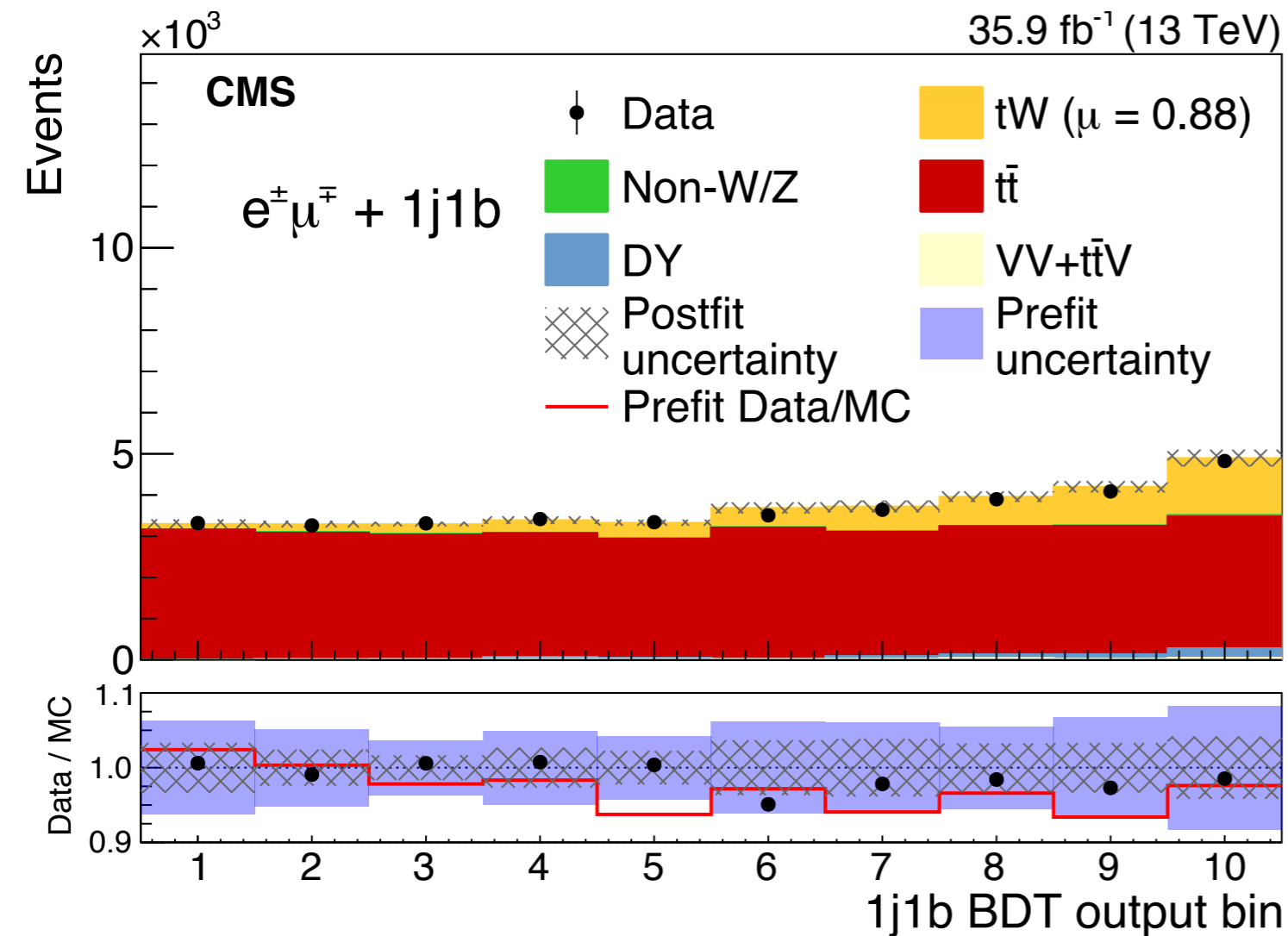
- **Simultaneous ML fit to BDTs in 1j1t & 2j1t** along with  $p_T(j2)$  in 2j2t



	Prefit		Postfit	
	tW	$t\bar{t}$	tW	$t\bar{t}$
<b>1j1t</b>	$6147 \pm 442$	$30622 \pm 1862$	$5440 \pm 604$	$30592 \pm 582$
<b>2j1t</b>	$3125 \pm 294$	$48484 \pm 1984$	$2888 \pm 321$	$47436 \pm 612$
<b>2j2t</b>	$725 \pm 85$	$25052 \pm 2411$	$719 \pm 88$	$25114 \pm 281$



# $tW$ @13 TeV - Inclusive (JHEP 10 (2018) 117)



Source	Uncertainty (%)
<b>Experimental</b>	
Trigger efficiencies	2.7
Electron efficiencies	3.2
Muon efficiencies	3.1
JES	3.2
Jet energy resolution	1.8
b tagging efficiency	1.4
Mistag rate	0.2
Pileup	3.3
<b>Modeling</b>	
$t\bar{t}$ $\mu_R$ and $\mu_F$ scales	2.5
$tW$ $\mu_R$ and $\mu_F$ scales	0.9
Underlying event	0.4
Matrix element/PS matching	1.8
Initial-state radiation	0.8
Final-state radiation	0.8
Color reconnection	2.0
B fragmentation	1.9
Semileptonic B decay	1.5
PDFs	1.5
DR-DS	1.3
<b>Background normalization</b>	
$t\bar{t}$	2.8
VV	0.4
Drell-Yan	1.1
Non-W/Z leptons	1.6
$t\bar{t}V$	0.1
MC finite sample size	1.6
Full phase space extrapolation	2.9
Total systematic (excluding integrated luminosity)	10.1
Integrated luminosity	3.3
Statistical	2.8
Total	11.1

$$\sigma(tW) = 63.1 \pm 1.8(\text{stat}) \pm 6.4(\text{syst}) \pm 2.1(\text{lumi})\text{pb}$$

$$\sigma_{\text{SM}} = 71.7 \pm 1.8(\text{scale}) \pm 3.4(\text{PDF})\text{pb}$$

# New Physics search in $tW$ (CMS-PAS-TOP-17-020)

- New physics scale ( $\Lambda$ ) too high to appear directly in the available energy  
 → integrate it out to form  $\mathcal{L}_{\text{eff}}$  which includes new couplings ( $c_i / \Lambda^2$ )

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \frac{1}{\Lambda^2} \sum_i c_i \mathcal{O}_i + \dots$$

- Analysis with  $35.9 \text{ fb}^{-1}$  data collected in 2016

## Event selection:

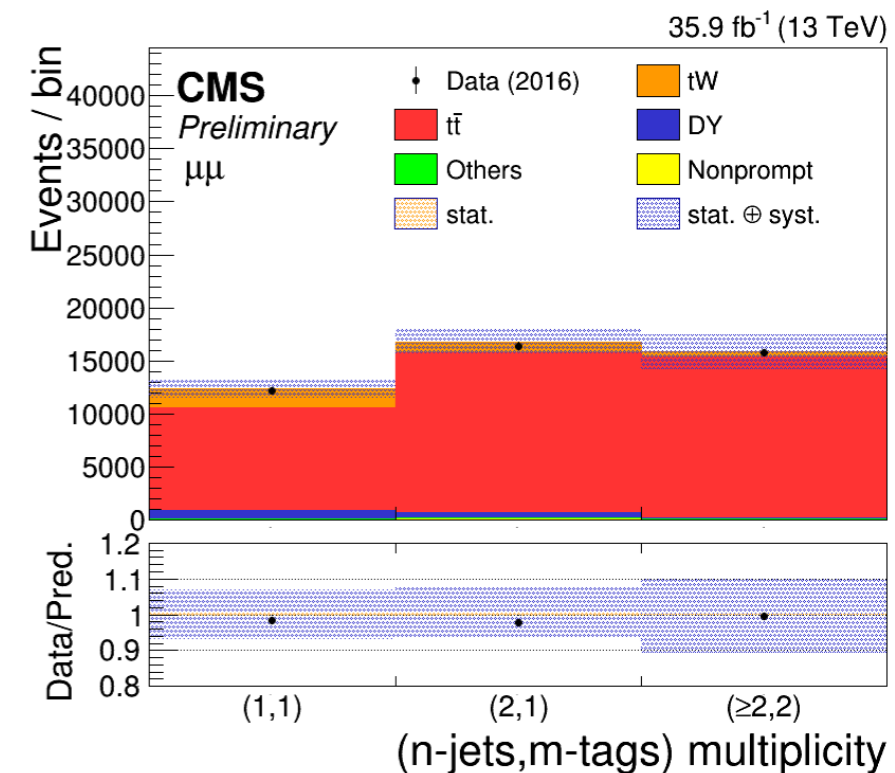
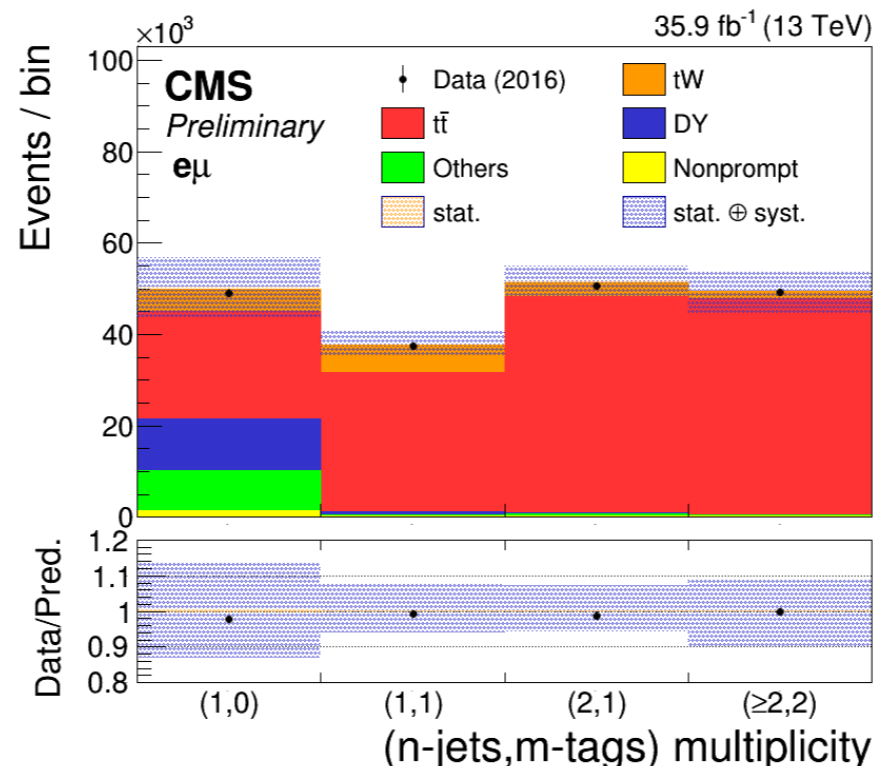
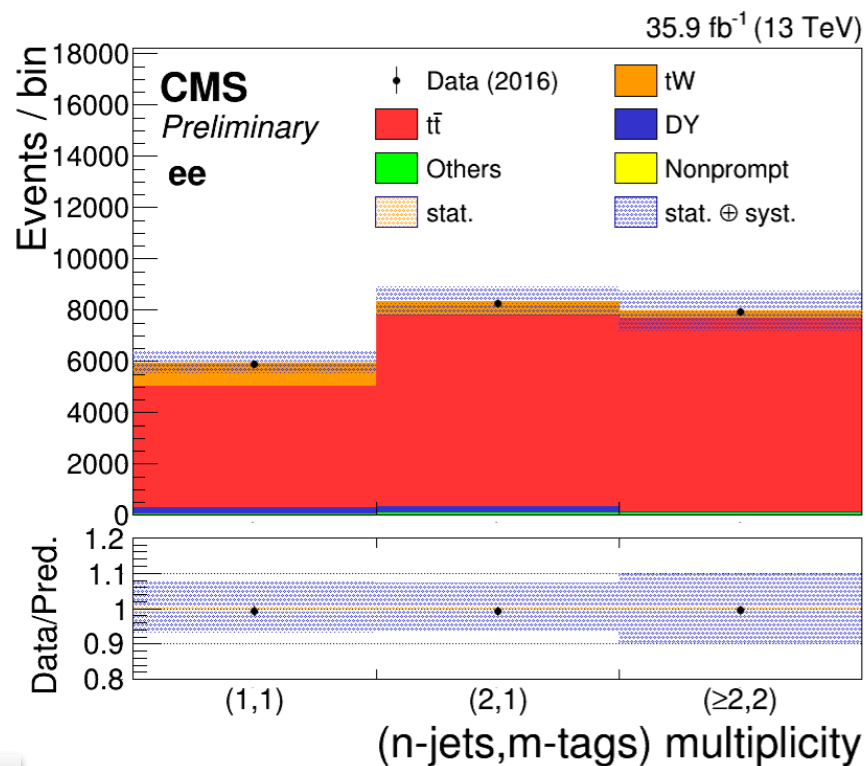
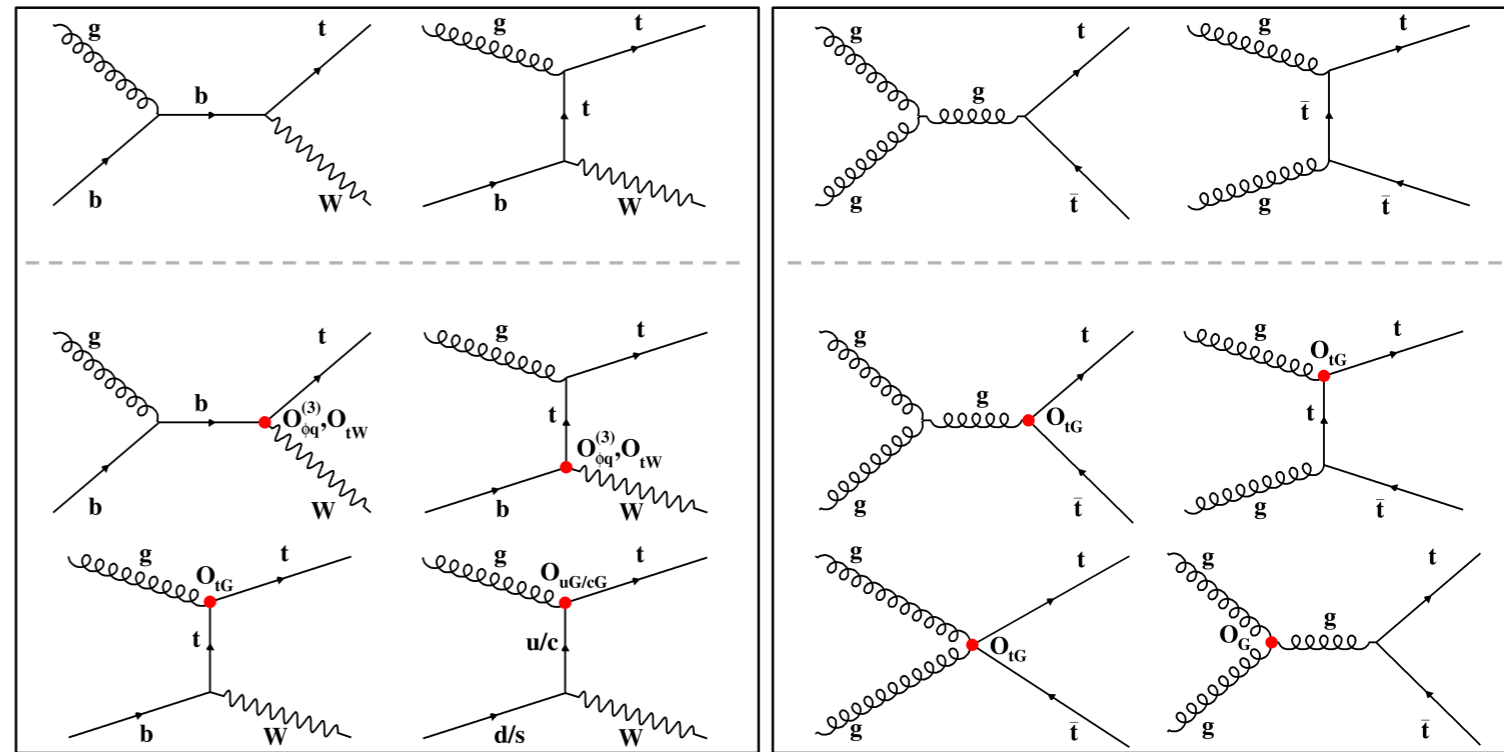
di-lepton ( $ee, e\mu, \mu\mu$ ) + jets events

→ Separated by lepton flavor

→  $t\bar{t}$  :  $\geq 2$  jets (2 b jets)

→  $tW$  : 1-2 jets (0-1 b jet)

- Signal extraction is performed using NN → training dependent on analysis channel



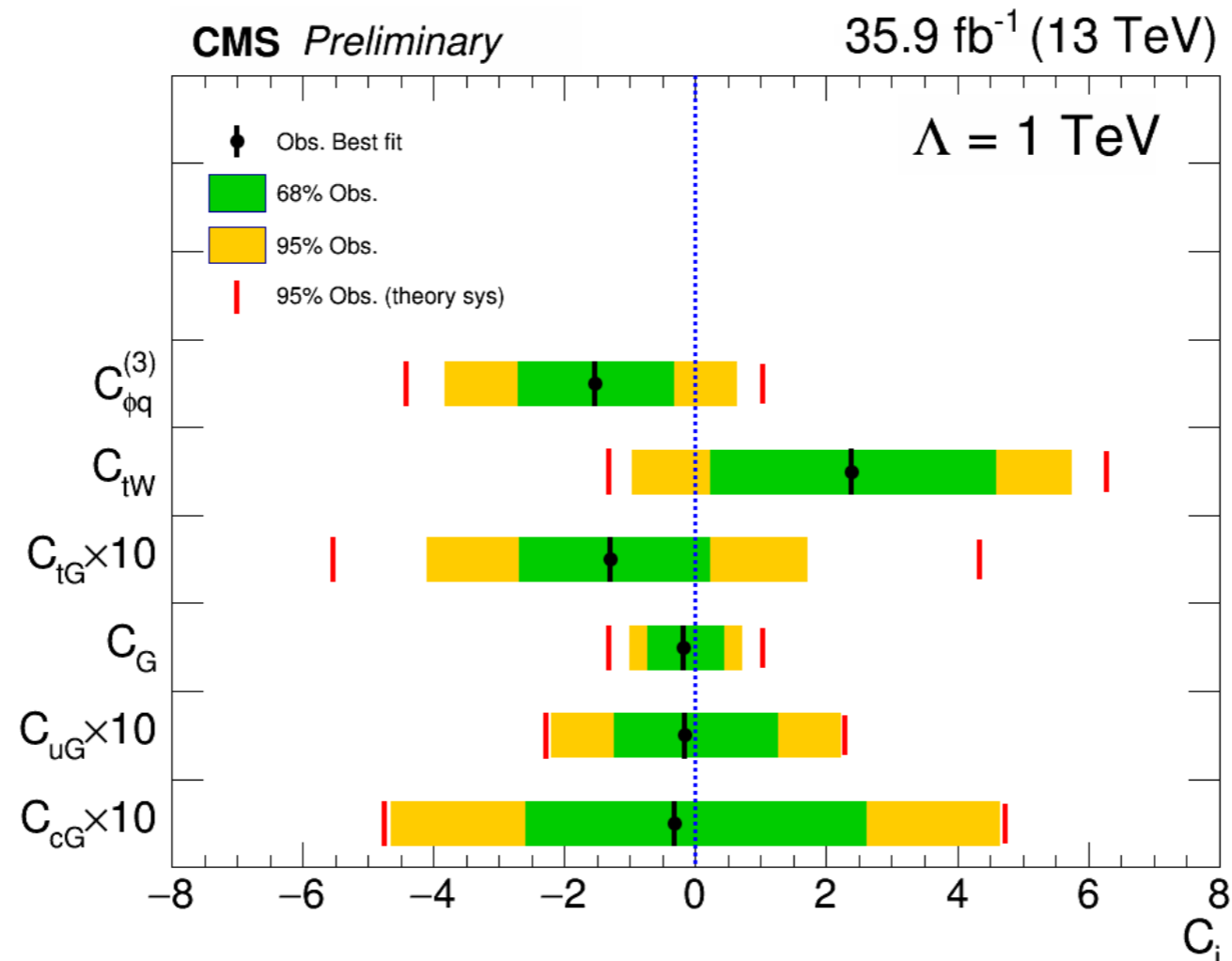
# New Physics search in $tW$ (CMS-PAS-TOP-17-020)

- Limits on 6 EFT operators that affect  $t\bar{t}$  and  $tW$  are obtained for  $\Lambda = 1$  TeV
- Limits on  $C_{uG}$  and  $C_{cG}$  translated to observed (expected) limits on FCNC BRs @95% CLs

$$\mathcal{B}(t \rightarrow ug) < 0.12(0.22) \%$$

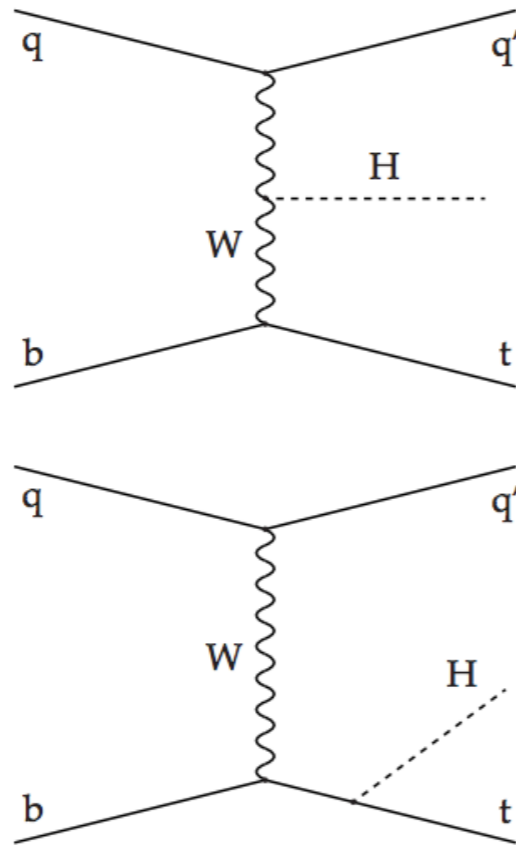
$$\mathcal{B}(t \rightarrow cg) < 0.53(1.05) \%$$

- First experimental bound on  $C_G$  coupling



# Single top + Higgs ( $tH$ )

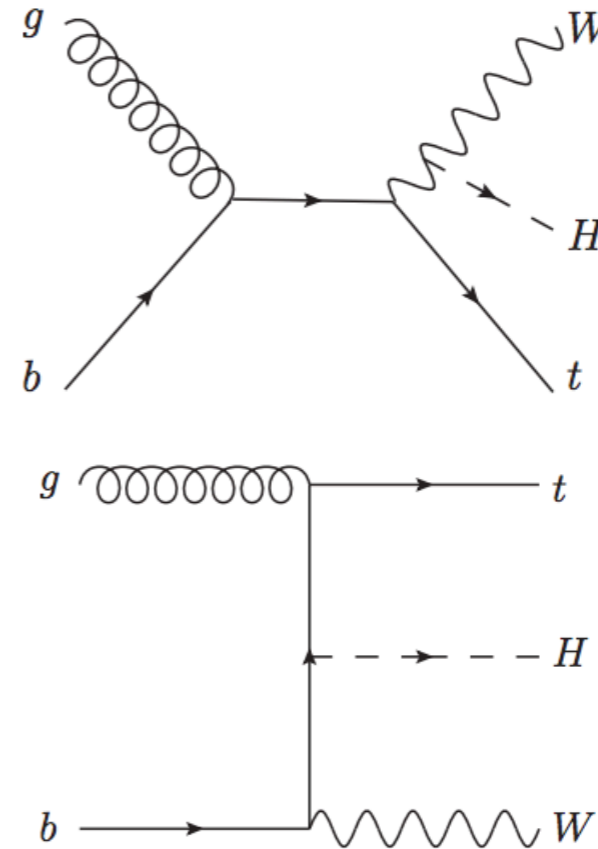
tHq :  $\sigma_{\text{SM}}(13\text{TeV}) \approx 71\text{fb}$



$$\kappa_V = \frac{g_{\text{HVV}}}{g_{\text{HVV}}^{\text{SM}}}$$

$$\kappa_t = \frac{y_t}{y_t^{\text{SM}}}$$

tHW :  $\sigma_{\text{SM}}(13\text{TeV}) \approx 15\text{fb}$

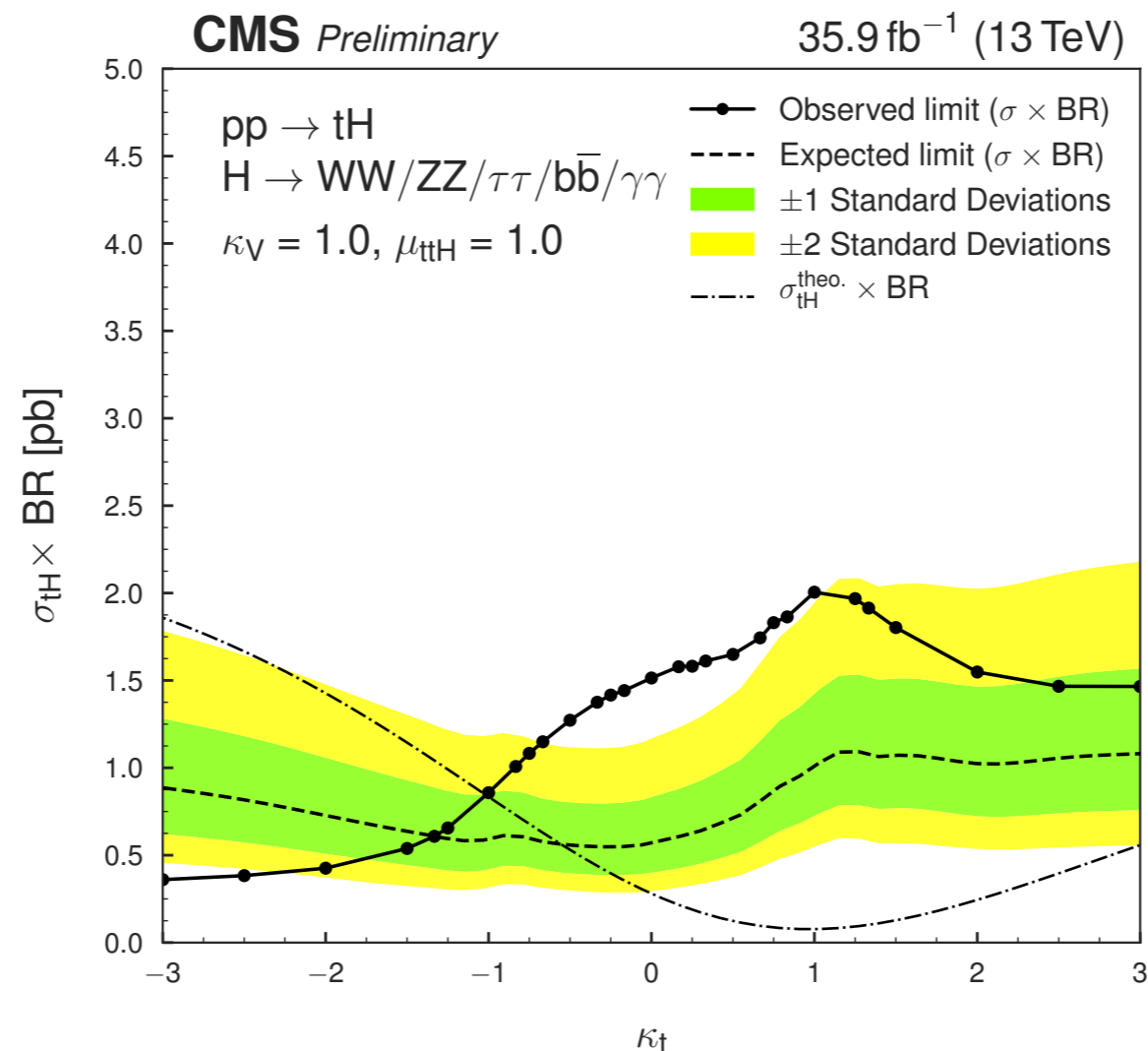


- In SM: **Destructive interference** leads to very small rates
- Inverted Top Coupling (ITC): **Constructive interference**  $\rightarrow \kappa_t = -1, \kappa_V = 1$
- Combination of decay channels based on 2016 data ( $35.9\text{fb}^{-1}$ )  $\rightarrow$  [CMS-PAS-HIG-18-009](#)
  - Leptonic top + b-jets ( $H \rightarrow bb^-$ ) [CMS-PAS-HIG-17-016](#)
  - Multilepton ( $H \rightarrow WW/ZZ/\tau\tau$ ) [CMS-PAS-HIG-17-005](#)
  - Leptonic top +  $\gamma\gamma$  ( $H \rightarrow \gamma\gamma$ ) [arXiv:1804.02716](#) (Submitted to JHEP)

# tH Combination

- Simultaneous ML fit on discriminator outputs of multi-lepton & bb̄ channels and m<sub>γγ</sub> for γγ
- Luminosity, b-tagging and theoretical uncertainties are considered to be fully correlated
- Profile likelihood scan as a function of κ<sub>t</sub> ⇒ κ<sub>t</sub> ∈ [-0.9, -0.5] & [1.0, 2.1] for κ<sub>V</sub> = 1
- Limits on tH cross-section:
  - Observed :  $\sigma_{tH}^{\text{Fid.}} \times \text{BR} < 2.0 \text{ pb}$
  - Expected :  $\sigma_{tH}^{\text{Fid.}} \times \text{BR} < 0.9 \text{ pb}$

SM : κ<sub>t</sub> = κ<sub>V</sub> = 1.0, μ<sub>ttH</sub> = 1.0

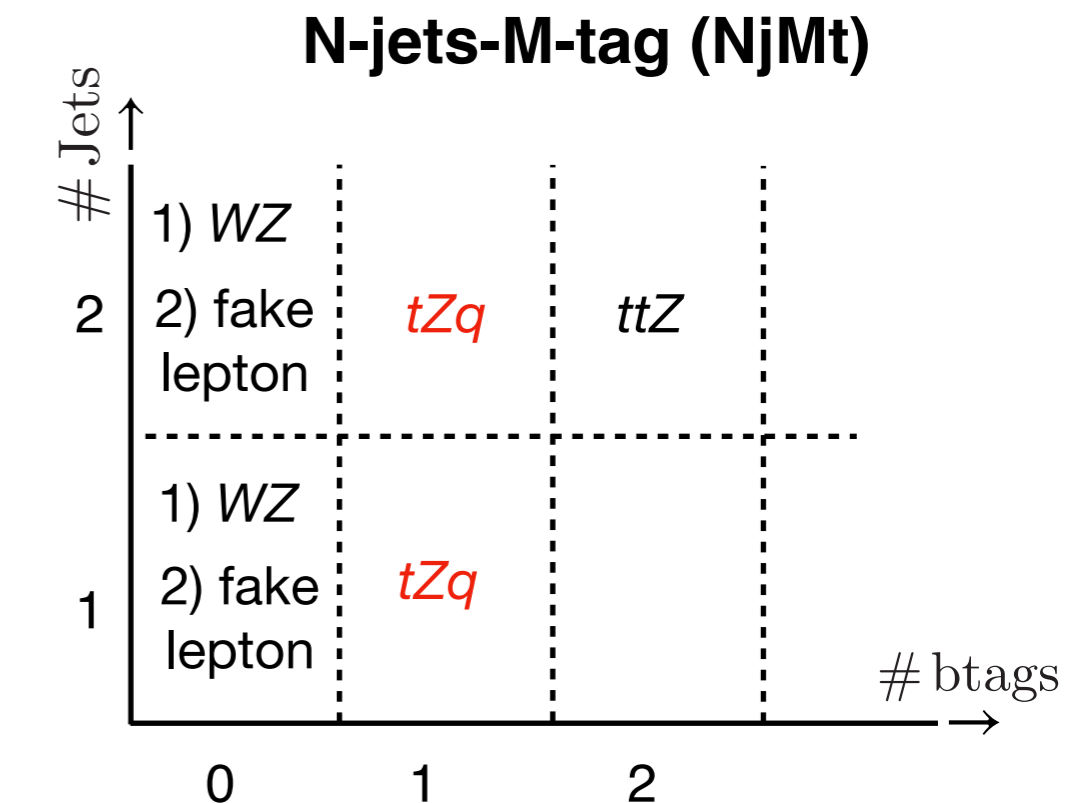
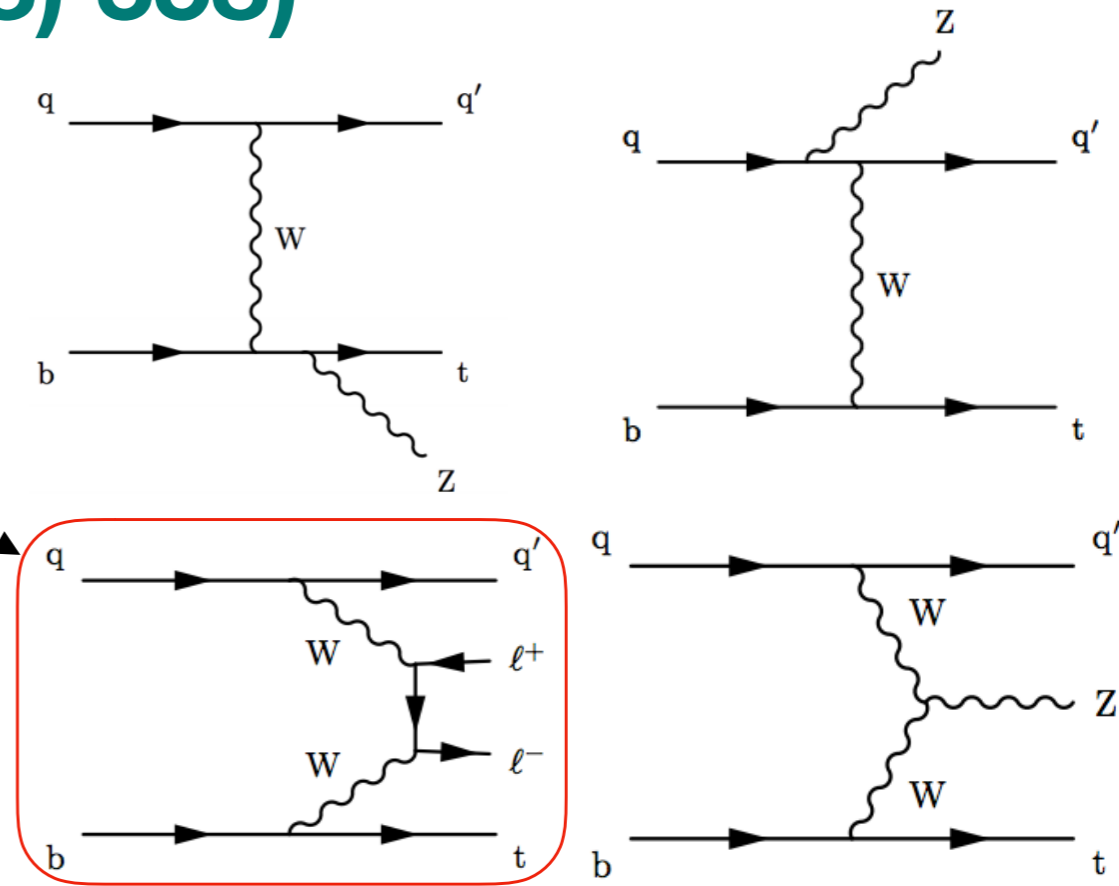


CMS-PAS-HIG-18-009

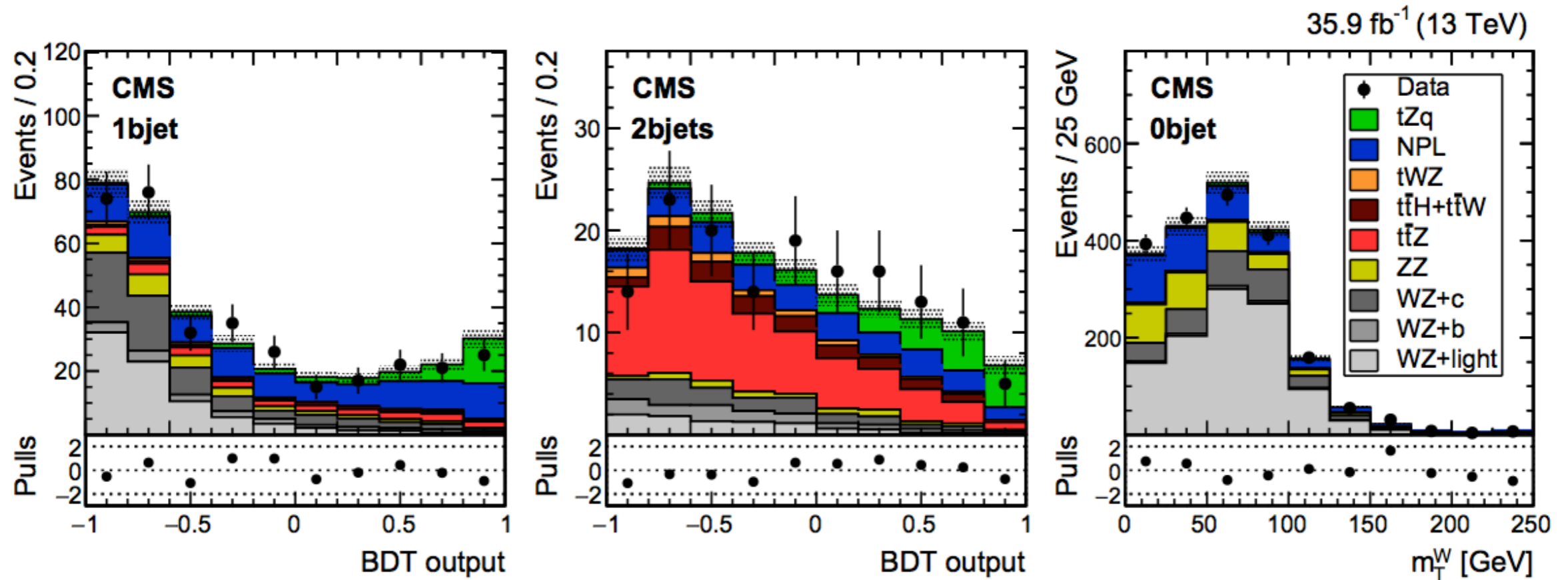


# Evidence for $tZq$ (PLB 779 (2018) 358)

- Analysis with 2016 data ( $35.9 \text{ fb}^{-1}$ )
- Inclusion of non-resonant  $t\ell^+\ell^-q$  contribution
- Ref. cross-section @NLO
- Event Selection:
  - $eee, ee\mu, \mu\mu e, \mu\mu\mu$  selected using **single, di- and tri-lepton triggers**
  - **3 isolated leptons** with  $p_T > 25 \text{ GeV}, |\eta| < 2.4$  (2.5)
  - **2 same-flavor OS leptons** with  $|m_{\ell\ell} - 91| < 15 \text{ GeV}$
  - $\geq 1$  jet with  $p_T > 30 \text{ GeV}, |\eta| < 4.5$
  - “loose” WP for b-tags ( light quark mistag prob.  $\approx 10\%$  )
- Data-driven background:
  - Events with fake leptons (**3rd**) modeled using SB data  $\rightarrow$  inversion of lepton isolation
- Simultaneous ML fit to BDTs in SR and CR



# Evidence for $tZq$ (PLB 779 (2018) 358)



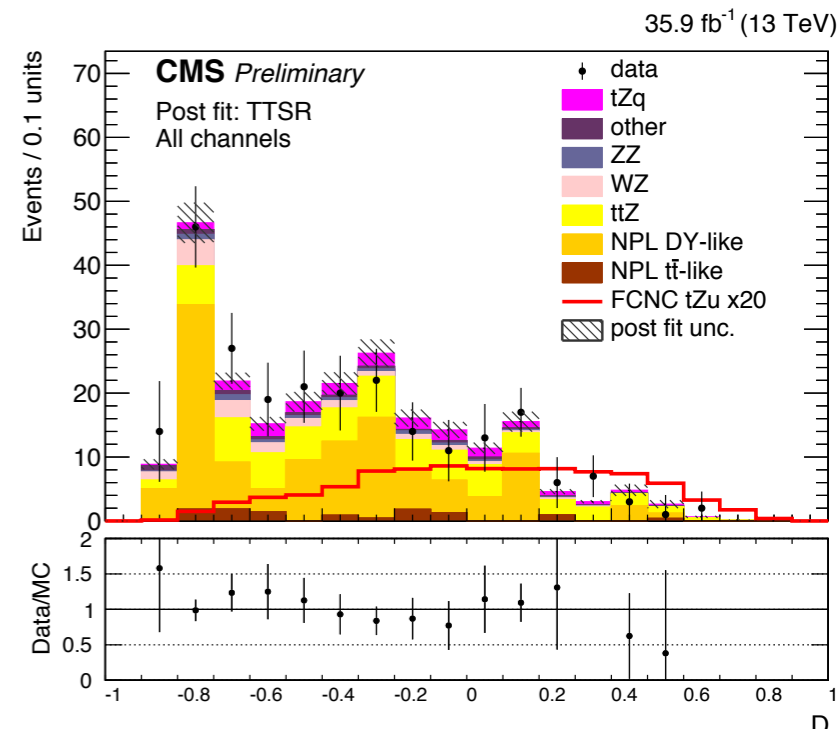
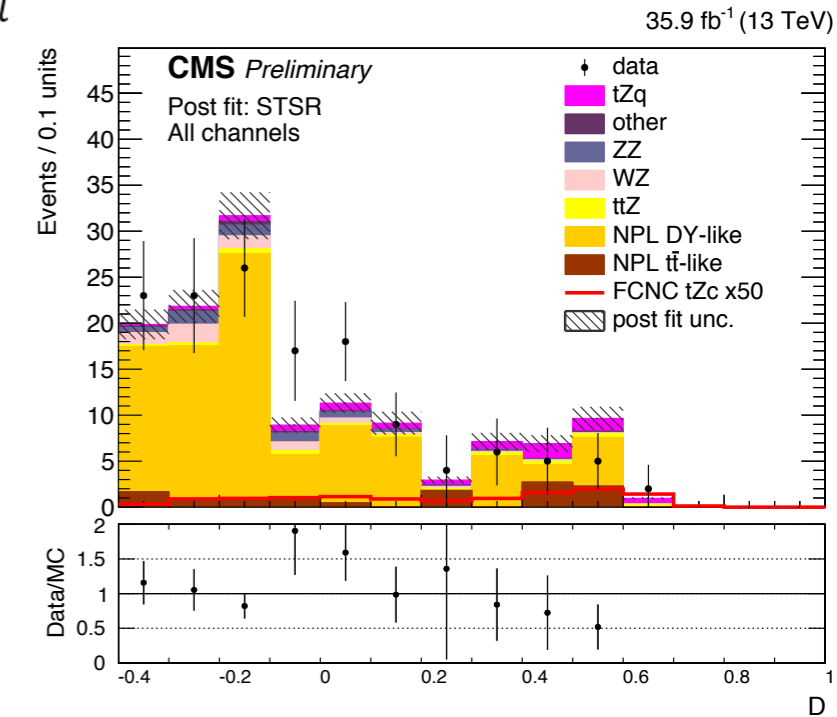
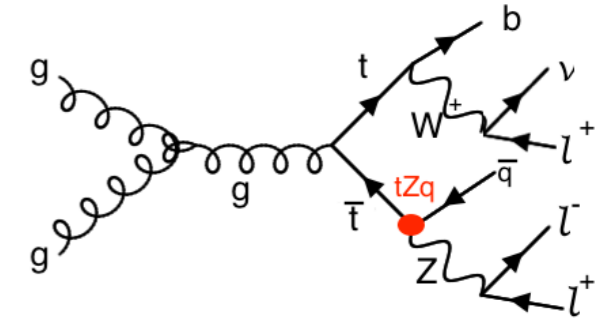
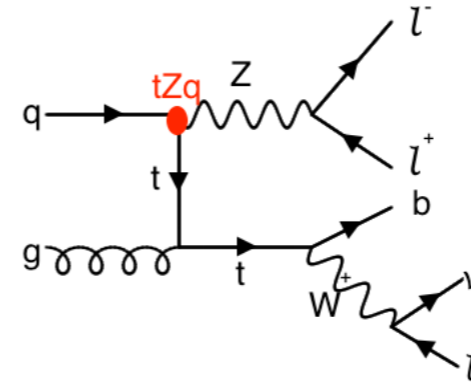
- **Observed (Expected) significance of 3.7 (3.1) all channels combined**

$$\left. \begin{aligned} \sigma(t\ell^+\ell^-q) &= 123_{-31}^{+33}(\text{stat})_{-23}^{+29}(\text{syst})\text{fb} \\ \sigma_{\text{SM}} &= 94.2_{-1.8}^{+1.9}(\text{scale}) \pm 2.5(\text{PDF})\text{fb} \end{aligned} \right\} m_{\ell^+\ell^-} > 30\text{GeV}$$

- Dominant uncertainties:
  - Statistics dominated
  - Estimation of non-prompt bkg.
  - PS scale
  - b-tagging
  - $ttZ$  cross-section.

# FCNC searches in $tZq$ (CMS-PAS-TOP-17-017)

- SM:
  - FCNC is forbidden @ LO
  - Can appear at higher orders with very low BR  
 $\mathcal{B}(t \rightarrow cZ) \approx 10^{-14}$ ,  $\mathcal{B}(t \rightarrow uZ) \approx 10^{-17}$
- Several BSM models predict FCNC enhancement of 7-8 orders of magnitude
- **Same event selection as  $tZq$  analysis**  $\rightarrow 3\ell$  final state
- **2 simultaneous likelihood fits**: one for **single top FCNC** & one for  **$t\bar{t}$  FCNC**
- 4 lepton categories ( $eee$ ,  $ee\mu$ ,  $\mu\mu e$ ,  $\mu\mu\mu$ ) and 5 regions



	WZ control region (WZCR)	single top quark signal region (STSR)	top quark pair signal region (TTSR)	single top quark control region (STCR)	top quark pair control region (TTCR)
Number of jets	$\geq 1, \leq 3$	1	$\geq 2, \leq 3$	1	$\geq 2, \leq 3$
Number of b jets	0	1	$\geq 1$	1	$\geq 1$
$ M(Z_{\text{reco}}) - M_Z  < 7.5 \text{ GeV}$	Yes	Yes	Yes	No	No

- Observed (expected) upper limits @95% CL

$$\mathcal{B}(t \rightarrow uZ) < 0.024(0.015) \%$$

$$\mathcal{B}(t \rightarrow cZ) < 0.045(0.037) \%$$

# Evidence for $t\gamma q$ (arXiv:1808.02913, accepted by PRL)

- Associated production of a single top quark ( $t$ -ch.) with a  $\gamma$
- Event Selection:
  - **Standard 2j1t selection** for  $t$ -ch. single top in  $\mu$  + jets final state
  - **Missing  $p_T > 30$  GeV**
  - **1 isolated  $\gamma$  with  $p_T > 25$  GeV,  $|\eta| < 1.44$**
  - **$\Delta R(X, \gamma) > 0.5$ ,  $X = \mu$  or jets**
- ➔ Removes overlap b/w single top+“soft”  $\gamma$  (PS) & single top + “hard”  $\gamma$  (ME)

- **Bkg with real photons estimated from simulation**

- **Bkg with fake photons estimated from SB data**

➔ loose ID and isolation/shower shape inversion

- ML fit to **BDT** discriminant to extract signal

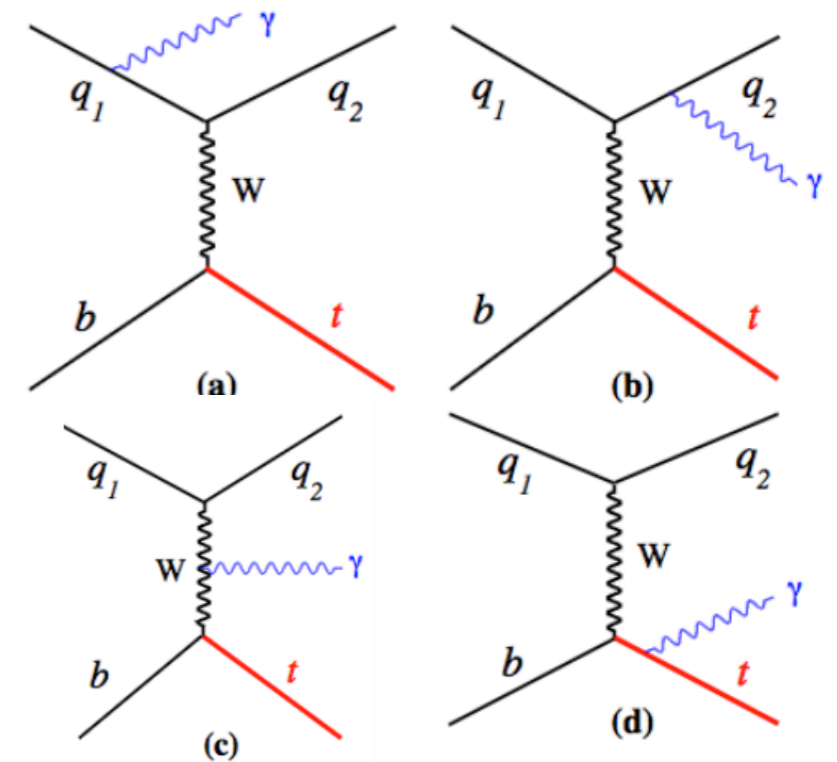
- **Observed (Expected) significance of 4.4 (3.0)**

$$\sigma^{\text{Fid.}}(\text{pp} \rightarrow t\gamma j) \mathcal{B}(t \rightarrow \mu\nu b) = 115 \pm 17(\text{stat}) \pm 30(\text{syst})\text{fb}$$

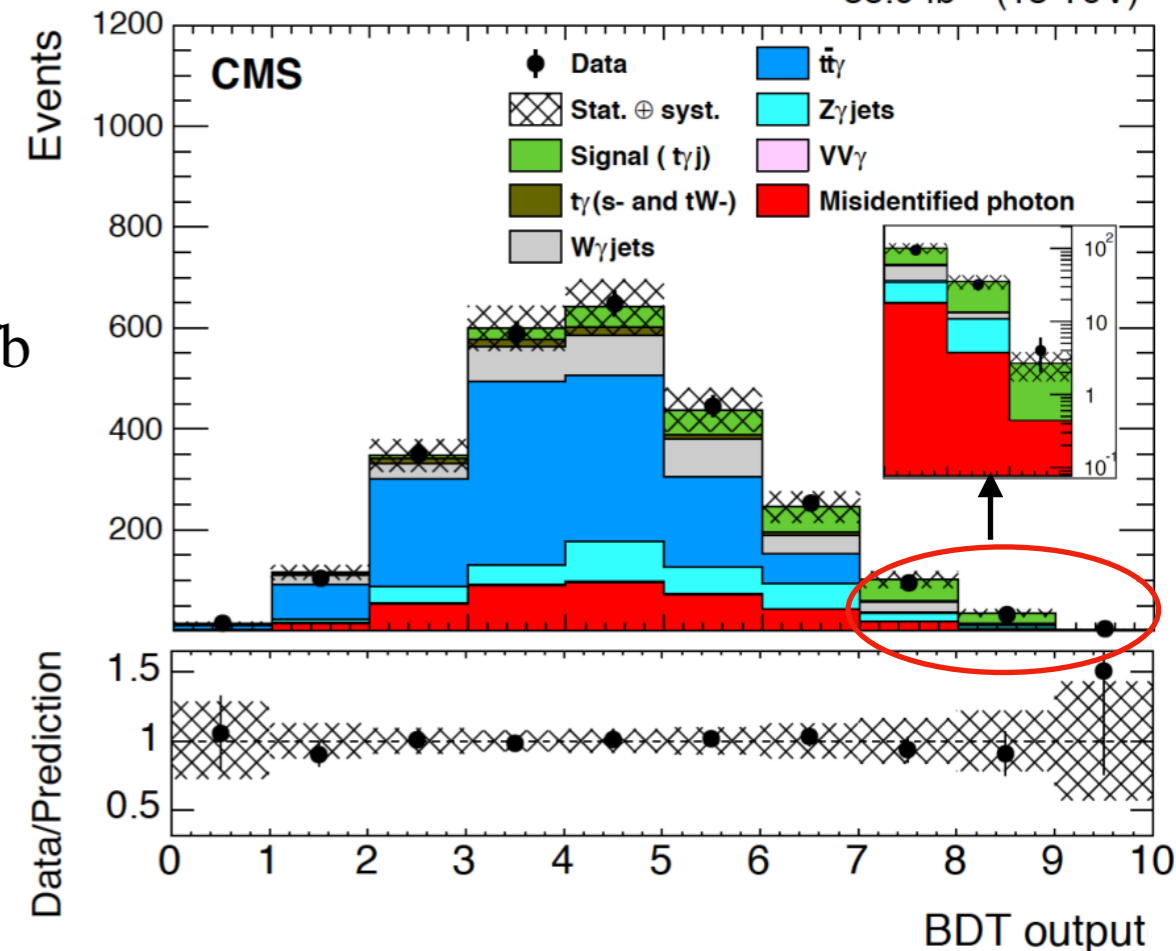
$$\sigma_{\text{SM}}^{\text{Fid.}} = 81 \pm 4\text{fb}$$

- **Dominant Uncertainties:**

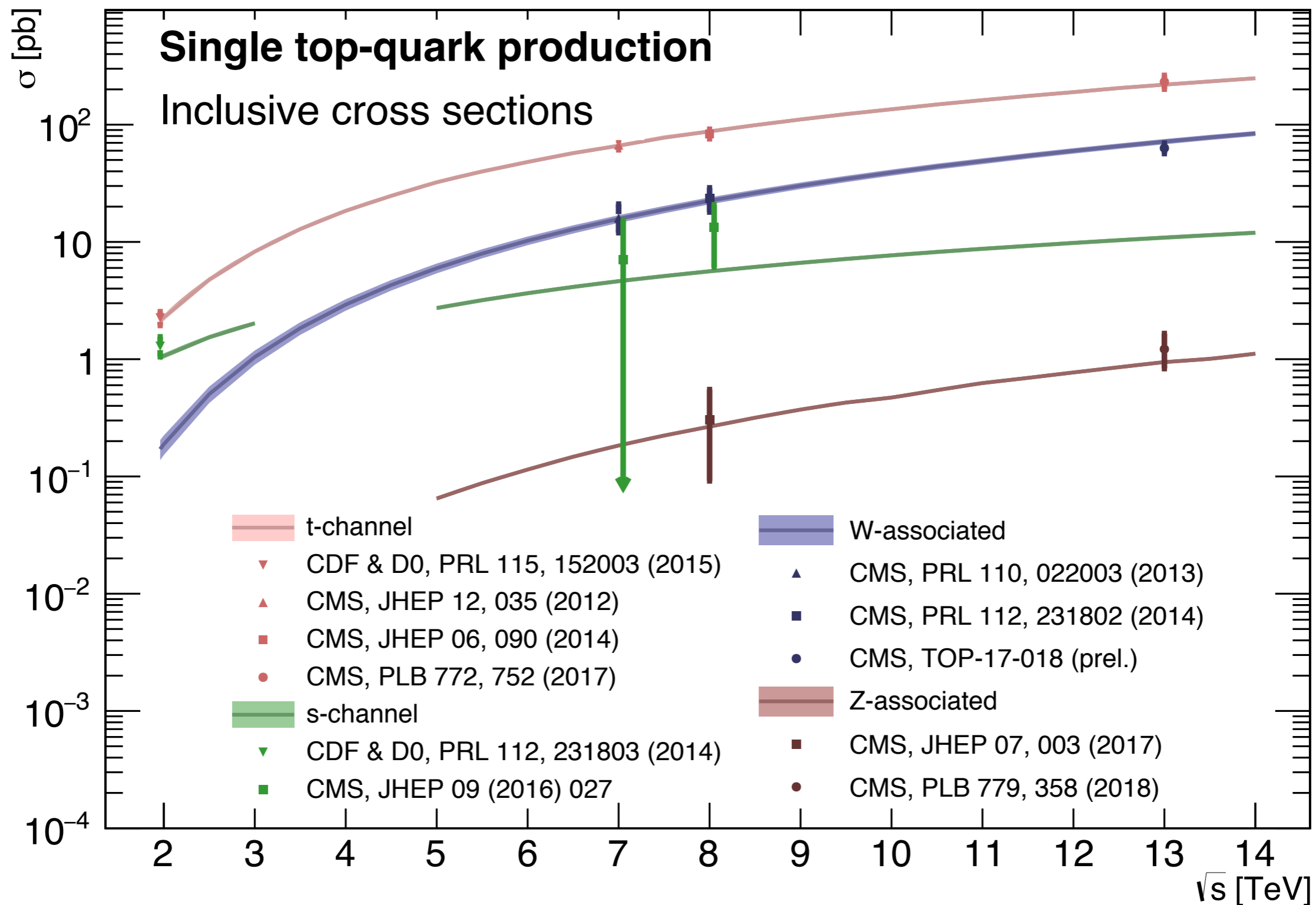
- JES  $\sim 12\%$
- Signal modeling  $\sim 9\%$
- Estimation of  $Z\gamma$ +jets Bkg.  $\sim 8\%$
- b-tag/mistag  $\sim 7\%$



35.9 fb<sup>-1</sup> (13 TeV)



# CMS Summary





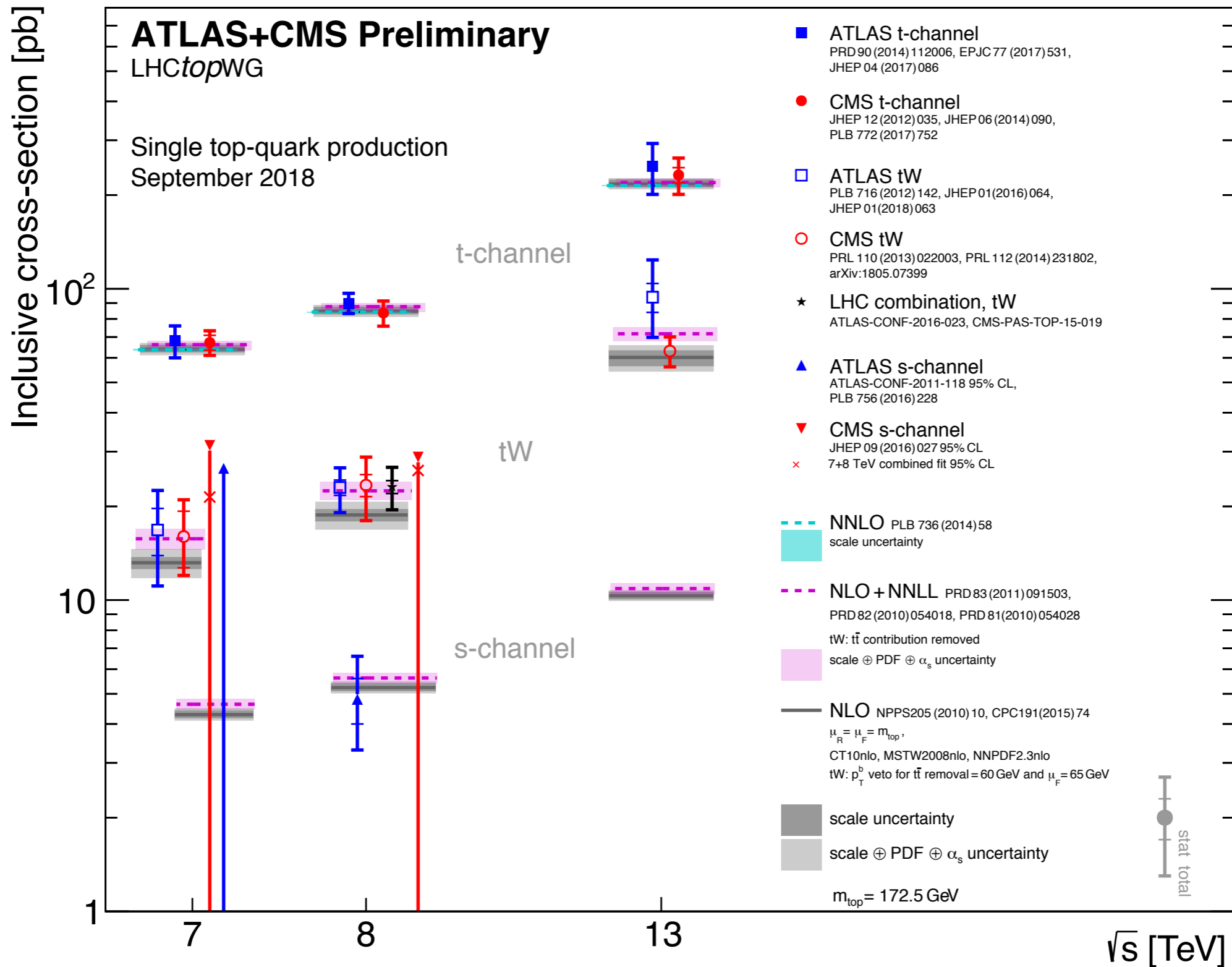
# Concluding Remarks

- Precise measurements of the  $t$ -ch cross-sections and  $R_{t\text{-ch}}$  at 13 TeV  
→ results in agreement with the SM within uncertainties
- $R_{t\text{-ch}}$  provides an extra handle to constrain different PDF predictions
- Current  $t$ -ch measurements are dominated by modeling uncertainties  
→ Need better understanding of MC generator
- $tW$  cross-section has also been measured with good precision
- Observation of  $s$ -ch → a definite future target
- Detailed study of rare processes ( $tZq$ ,  $tHq$ ,  $t\gamma q$ ) will shed light on the nature of the couplings

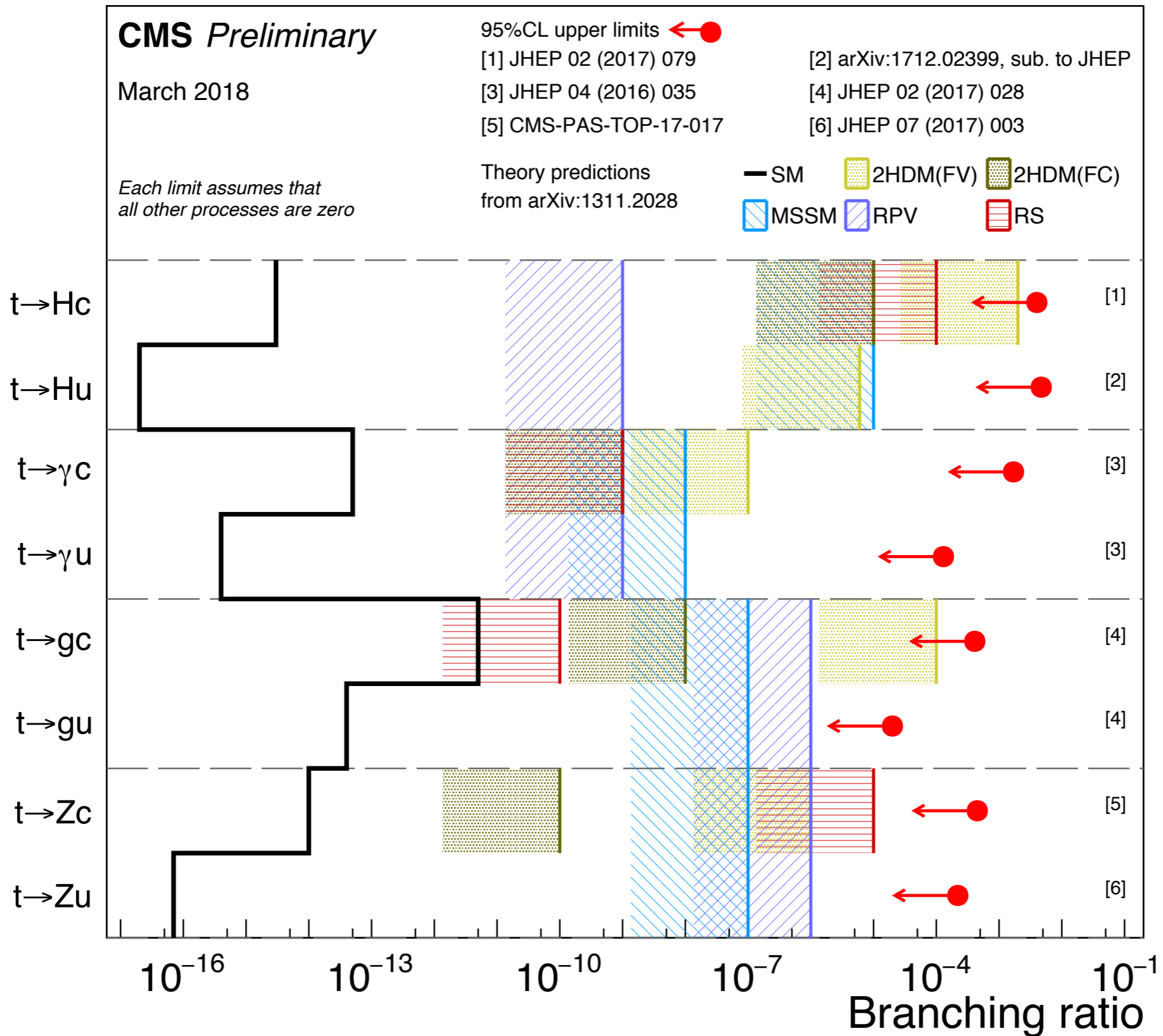
*Thank You*

**Back Up**

# LHC Summary



# CMS Summary of FCNC searches



# *t*-channel @13 TeV - Inclusive (CMS-PAS-TOP-17-011)

Variable	Description
Light-quark jet $ \eta $	Absolute value of the pseudorapidity of the light-quark jet
Dijet mass	Invariant mass of the light-quark jet and the b-tagged jet associated to the top quark decay
Top quark mass	Invariant mass of the top quark reconstructed from the lepton, the neutrino and the b-tagged jet associated to the top quark decay
$\Delta R$ (lepton, b jet)	$\Delta R$ between the momentum vectors of the lepton and the b-tagged jet associated to the top quark decay
$\cos(\theta^*)$	Cosine of the angle between the lepton and the light-quark jet in the rest frame of the top quark
Jet $p_T$ sum	Scalar sum of the transverse momentum of the light-quark jet and the b-tagged jet associated to the top quark decay
$m_T^W$	Transverse mass of the W boson
$p_T^{\text{miss}}$	Missing momentum in the transverse plane of the event
$\Delta R$ (light jet, b jet)	$\Delta R$ between the momentum vectors of the light-quark jet and the b-tagged jet associated to the top quark decay
Lepton $ \eta $	Absolute value of the pseudorapidity of the selected lepton
W boson $ \eta $	Absolute value of the pseudorapidity of the reconstructed W boson
Light-quark jet mass	Invariant mass of the light-quark jet



# New Physics search in $tW$ - Operator Expressions

$$\begin{aligned}
 O_{\phi q}^{(3)} &= (\phi^\dagger \tau^I D_\mu \phi) (\bar{q} \gamma^\mu \tau^I q), & L_{\text{eff}} &= \frac{C_{\phi q}^{(3)}}{\sqrt{2}\Lambda^2} g v^2 \bar{b} \gamma^\mu P_L t W_\mu^- + h.c., \\
 O_{tW} &= (\bar{q} \sigma^{\mu\nu} \tau^I t) \tilde{\phi} W_{\mu\nu}^I, & L_{\text{eff}} &= -2 \frac{C_{tW}}{\Lambda^2} v \bar{b} \sigma^{\mu\nu} P_R t \partial_\nu W_\mu^- + h.c., \\
 O_{tG} &= (\bar{q} \sigma^{\mu\nu} \lambda^A t) \tilde{\phi} G_{\mu\nu}^A, & L_{\text{eff}} &= \frac{C_{tG}}{\sqrt{2}\Lambda^2} v (\bar{t} \sigma^{\mu\nu} \lambda^A t) G_{\mu\nu}^A + h.c., \\
 O_G &= f_{ABC} G_\mu^{Av} G_\nu^{B\rho} G_\rho^{C\mu}, & L_{\text{eff}} &= \frac{C_G}{\Lambda^2} f_{ABC} G_\mu^{Av} G_\nu^{B\rho} G_\rho^{C\mu} + h.c., \\
 O_{u(c)G} &= (\bar{q} \sigma^{\mu\nu} \lambda^A t) \tilde{\phi} G_{\mu\nu}^A, & L_{\text{eff}} &= \frac{C_{u(c)G}}{\sqrt{2}\Lambda^2} v (\bar{u} (\bar{c}) \sigma^{\mu\nu} \lambda^A t) G_{\mu\nu}^A + h.c.,
 \end{aligned}$$

# $t$ -channel @13 TeV - Differential (CMS-PAS-TOP-16-004)

- Analysis with 2015 data ( $2.3 \text{ fb}^{-1}$ ) in  $\mu + \text{jets}$  final state
- Standard 2J1T event selection
- BDT trained with variables uncorrelated to top  $p_T$  and  $l_{\text{T}}$
- BDT  $> 0.6$  to obtain signal enriched sample
- Bkg. subtracted data unfolded to compare with prediction
- Dominant uncertainties:
  - Data statistics
  - $\mu_R / \mu_F$  scale
  - top quark mass
  - JEC
- Harder  $p_T$  spectrum in data compared to prediction in signal enriched region

